Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note *The foundation may be able to use a copy of this return to satisfy state reporting requirements*

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , 2009, and ending ,

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label Otherwise, print or type See Specific Instructions

THE POLLIO FAMILY FOUNDATION, INC.
100 CAPE FLORIDA DRIVE
KEY BISCAYNE, FL 33149

A Employer identification number
03-0334275

B Telephone number (see the instructions)
305-365-8470

C If exemption application is pending, check here ► ☐
D 1 Foreign organizations, check here ► ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

H Check type of organization [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 1,381,438.

J Accounting method [X] Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc received (att sch)	65,428.			
	2 Ck ► ☐ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	20.	20.	20.	
	4 Dividends and interest from securities	32,587.	32,587.	32,587.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	-86,171.			
	b Gross sales price for all assets on line 6a 619,309.				
	7 Capital gain net income (from Part IV line 2)		0.		
	8 Net short-term capital gain			0.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule) SEE STATEMENT 1	1,899.		1,870.	
	12 **Total.** Add lines 1 through 11	13,763.	32,607.	34,477.	
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) SEE ST 2	3,150.			1,575.
	b Accounting fees (attach sch)				
	c Other prof fees (attach sch)				
	17 Interest				
	18 Taxes (attach schedule) SEE STMT 3	173.	173.		
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) SEE STATEMENT 4	6,892.	6,642.		250.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	10,215.	6,815.		1,825.
	25 Contributions, gifts, grants paid PART XV	79,200.			79,200.
	26 **Total expenses and disbursements.** Add lines 24 and 25	89,415.	6,815.	0.	81,025.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-75,652.			
	b **Net investment income** (if negative enter 0)		25,792.		
	c **Adjusted net income** (if negative, enter 0)			34,477.	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
ASSETS	1	Cash – non-interest-bearing	30,997.	24,060.	24,060.
	2	Savings and temporary cash investments	116,833.	134,833.	135,082.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7	Other notes and loans receivable (attach sch) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule) STATEMENT 5	1,451,548.	1,311,599.	1,222,296.
	c	Investments – corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers – see instructions Also, see page 1, item I)	1,599,378.	1,470,492.	1,381,438.
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons	12,239.		
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	12,239.	0.	
NET ASSETS OR FUND BALANCES		**Foundations that follow SFAS 117, check here ▶ [ ] and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,587,139.	1,470,492.	
	30	**Total net assets or fund balances** (see the instructions)	1,587,139.	1,470,492.	
	31	**Total liabilities and net assets/fund balances** (see the instructions)	1,599,378.	1,470,492.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,587,139.
2	Enter amount from Part I, line 27a	2	-75,652.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,511,487.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 6	5	40,995.
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	1,470,492.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	SEE STATEMENT 7			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	-86,171.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8	3	-12,927.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	85,599.	1,588,087.	0.053901
2007	80,826.	1,865,569.	0.043325
2006	6,330.	1,634,359.	0.003873
2005	66,243.	1,461,121.	0.045337
2004	56,201.	1,314,080.	0.042768

2	**Total** of line 1, column (d)	2	0.189204
3	Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.037841
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,142,401.
5	Multiply line 4 by line 3	5	43,230.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	258.
7	Add lines 5 and 6	7	43,488.
8	Enter qualifying distributions from Part XII, line 4	8	81,025.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1 Date of ruling or determination letter ________ (attach copy of letter if necessary - see instr.)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b			1	258.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3 Add lines 1 and 2			3	258.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	258.
6 Credits/Payments				
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a	1,000.		
b Exempt foreign organizations – tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments Add lines 6a through 6d			7	1,000.
8 Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►			9	0.
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►			10	742.
11 Enter the amount of line 10 to be **Credited to 2010 estimated tax** ► 742.	**Refunded** ►		11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ 0. **(2)** On foundation managers ► $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
If 'Yes,' attach a detailed description of the activities			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
If 'Yes,' attach the statement required by General Instruction T			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see the instructions) ► NY			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990 PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities Continued

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► JOSEPH L. POLLIO, JR. Telephone no ► 305-365-8807

Located at ► 100 CAPE FLORIDA DRIVE KEY BISCAYNE FL ZIP + 4 ► 33149

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

			Line	Yes	No
1 a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)?		1 b		X
	Organizations relying on a current notice regarding disaster assistance check here	► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see the instructions)		2 b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__				
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*		3 b	N/A	
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN H. LAVELLE 29 BRITISH AMERICAN BLVD. LATHAM, NY 12110	DIRECTOR 0	0.	0.	0.
JOSEPH L. POLLIO, JR. 100 CAPE FLORIDA DRIVE KEY BISCAYNE, FL 33149	PRES/CHAIRMA 0	0.	0.	0.
ELIZABETH T. POLLIO 100 CAPE FLORIDA DRIVE KEY BISCAYNE, FL 33149	SEC'Y/DIRECT 0	0.	0.	0.
PATRICIA MACK P.O. BOX 73 GRAFTON, VT 05146	DIRECTOR 0	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ►	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes a Average monthly fair market value of securities		1a	1,144,144.
b Average of monthly cash balances		1b	15,654.
c Fair market value of all other assets (see instructions)		1c	
d Total (add lines 1a, b, and c)		1d	1,159,798.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2 Acquisition indebtedness applicable to line 1 assets		2	0.
3 Subtract line 2 from line 1d		3	1,159,798.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)		4	17,397.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	1,142,401.
6 **Minimum investment return.** Enter 5% of line 5		6	57,120.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	57,120.
2a Tax on investment income for 2009 from Part VI, line 5	2a 258.		
b Income tax for 2009 (This does not include the tax from Part VI)	2b		
c Add lines 2a and 2b		2c	258.
3 Distributable amount before adjustments Subtract line 2c from line 1		3	56,862.
4 Recoveries of amounts treated as qualifying distributions		4	
5 Add lines 3 and 4		5	56,862.
6 Deduction from distributable amount (see instructions)		6	
7 **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	56,862.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	81,025.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	81,025.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	258.
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	80,767.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				56,862.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			78,664.	
b Total for prior years 20___, 20___, 20___		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ► $ 81,025.				
a Applied to 2008, but not more than line 2a			78,664.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2009 distributable amount				2,361.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a))*	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				54,501.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	**(b)** 2008	**(c)** 2007	**(d)** 2006	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** 'Assets' alternative test – enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* SEE STATEMENT 8				
Total			► **3a**	79,200.
b *Approved for future payment*				
Total			► **3b**	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) Related or exempt function income (see the instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	20.	
4 Dividends and interest from securities			14	32,587.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			1	29.	
8 Gain or (loss) from sales of assets other than inventory			18	-86,171.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a FEDERAL EXCISE TAX REFUND					1,870.
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				-53,535.	1,870.
13 **Total.** Add line 12, columns (b), (d), and (e)				13	-51,665.

(See worksheet in the instructions for line 13 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See the instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

SIGN HERE

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true correct and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

▶ [signature] Signature of officer or trustee	Date 2/24/10	▶ [signature] Title

Paid Preparer's Use Only	Preparer's signature ▶ JOHN H. LAVELLE, CPA, LL.M.	Date 2/9/10	Check if self employed ▶ ☐	Preparer's Identifying number (See Signature in the instrs) N/A
	Firm's name (or yours if self employed), address, and ZIP code ▶ LAVELLE & FINN, LLP 29 BRITISH AMERICAN BOULEVARD LATHAM, NY 12110-1405	EIN ▶ N/A	Phone no ▶ (518) 869-6227

Schedule B
(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, 990-EZ, or 990-PF**

OMB No 1545-0047

2009

Name of the organization
THE POLLIO FAMILY FOUNDATION, INC.

Employer identification number
03-0334275

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)(____) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule –

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules –

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (**1**) $5,000 or (**2**) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not aggregate to more than $1,000 (If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose Do not complete any of the Parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of $5,000 or more during the year) ► $ ________

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box in the heading of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization: THE POLLIO FAMILY FOUNDATION, INC.

Employer identification number: 03-0334275

Part I **Contributors** (see instructions.)

(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	RUTH POLLIO 100 CAPE FLORIDA DRIVE KEY BISCAYNE, FL 33149	$ 15,389.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
2	RUTH POLLIO 100 CAPE FLORIDA DRIVE KEY BISCAYNE, FL 33149	$ 50,039.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

Name of organization
THE POLLIO FAMILY FOUNDATION, INC.

Employer identification number
03-0334275

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	255 SHARES APPLE (AAPL)	$ 50,039.	12/03/09

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization: THE POLLIO FAMILY FOUNDATION, INC.

Employer identification number: 03-0334275

Part III ***Exclusively*** **religious, charitable, etc, individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than $1,000 for the year.** (Complete cols **(a)** through **(e)** and the following line entry)

For organizations completing Part III, enter total of *exclusively* religious, charitable, etc, contributions of **$1,000 or less** for the year (Enter this information once – see instructions) ► $ N/A

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
FEDERAL EXCISE TAX REFUND	$ 1,870.		$ 1,870.
OTHER INVESTMENT INCOME	29.		
TOTAL	$ 1,899.	$ 0.	$ 1,870.

STATEMENT 2
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LAVELLE & FINN, LLP	$ 3,150.			$ 1,575.
TOTAL	$ 3,150.	$ 0.	$ 0.	$ 1,575.

STATEMENT 3
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	$ 173.	$ 173.		
TOTAL	$ 173.	$ 173.	$ 0.	$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT CO. MANAGEMENT FEES	$ 6,642.	$ 6,642.		
NYS FILING FEE	250.			$ 250.
TOTAL	$ 6,892.	$ 6,642.	$ 0.	$ 250.

STATEMENT 5
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
EQUITIES- VALUATION ATTACHED	COST	$ 1,311,599.	$ 1,222,296.
	TOTAL	$ 1,311,599.	$ 1,222,296.

STATEMENT 6
FORM 990-PF, PART III, LINE 5
OTHER DECREASES

ACCRUED INTEREST RECONCILIATION	$ 67.
ADJUST DONATED STOCK TO COST	40,928.
TOTAL	$ 40,995.

STATEMENT 7
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	75.00 DELL INC	PURCHASED	11/24/2009	12/29/2009
2	20.00 ACE LTD	PURCHASED	4/20/2009	12/22/2009
3	40.00 LOWE'S COS INC	PURCHASED	6/19/2009	12/21/2009
4	17.34 BERNSTEIN EMERGING MARKETS PORTFOLIO	PURCHASED	12/08/2009	12/17/2009
5	10.00 FREEPORT-MCMORAN COPPER	PURCHASED	10/13/2009	12/17/2009
6	10.00 CORNING INC	PURCHASED	9/29/2009	12/17/2009
7	40.00 BLACK & DECKER CORP	PURCHASED	9/16/2009	12/17/2009
8	40.00 ARCELORMITTAL-NY REGISTERED	PURCHASED	8/05/2009	12/17/2009
9	21.00 FEDEX CORP	PURCHASED	8/04/2009	12/17/2009
10	12.00 AMAZON.COM INC	PURCHASED	6/23/2009	12/17/2009
11	40.00 NATIONAL - OILWELL INC	PURCHASED	6/02/2009	12/17/2009
12	10.00 AMAZON.COM INC	PURCHASED	5/26/2009	12/17/2009
13	10.00 FREEPORT-MCMORAN COPPER	PURCHASED	5/06/2009	12/17/2009
14	18.00 VISA INC - CLASS A SHRS	PURCHASED	5/06/2009	12/17/2009
15	55.00 LOWE'S COS INC	PURCHASED	4/21/2009	12/17/2009
16	30.00 PROCTER & GAMBLE CO	PURCHASED	4/15/2009	12/15/2009
17	50.00 ALLSTATE CORP	PURCHASED	2/09/2009	12/10/2009
18	17.00 CATERPILLAR INC	PURCHASED	7/14/2009	12/09/2009
19	350.00 REGIONS FINANCIAL CORP	PURCHASED	5/20/2009	12/04/2009
20	16.00 UNION PACIFIC CORP	PURCHASED	5/01/2009	12/03/2009
21	20.00 PETROLEO BRASILEIRO-SPON AD	PURCHASED	9/29/2009	11/25/2009
22	35.00 PETROLEO BRASILEIRO-SPON AD	PURCHASED	9/25/2009	11/25/2009
23	12.00 RIO TINTO PLC-SPON ADR	PURCHASED	9/18/2009	11/25/2009
24	35.00 PETROLEO BRASILEIRO S.A.-AD	PURCHASED	6/02/2009	11/24/2009
25	50.00 CISCO SYSTEMS INC	PURCHASED	11/05/2009	11/23/2009
26	75.00 US BANCORP	PURCHASED	8/31/2009	11/23/2009
27	5.00 US BANCORP	PURCHASED	5/21/2009	11/23/2009
28	5.00 US BANCORP	PURCHASED	5/21/2009	11/23/2009
29	30.00 GLAXOSMITHKLINE PLC-SPON AD	PURCHASED	2/09/2009	11/23/2009
30	40.00 GLAXOSMITHKLINE PLC-SPON AD	PURCHASED	1/13/2009	11/23/2009
31	30.00 UNITED TECHNOLOGIES CORP	PURCHASED	9/14/2009	11/19/2009
32	50.00 LINCOLN NATIONAL CORP	PURCHASED	1/29/2009	11/17/2009

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
33	32.00 PROCTER & GAMBLE CO	PURCHASED	4/15/2009	11/16/2009
34	21.00 EOG RESOURCES INC	PURCHASED	2/04/2009	11/16/2009
35	151.00 TAIWAN SEMICONDUCTOR-SP ADR	PURCHASED	5/07/2009	11/11/2009
36	80.00 TAIWAN SEMICONDUCTOR-SP ADR	PURCHASED	5/05/2009	11/11/2009
37	40.00 AETNA INC	PURCHASED	10/14/2009	11/09/2009
38	70.00 ALTERA CORPORATION	PURCHASED	7/20/2009	11/04/2009
39	50.00 SCHERING-PLOUGH CORP	PURCHASED	5/15/2009	11/04/2009
40	24.00 UNION PACIFIC CORP	PURCHASED	5/01/2009	11/04/2009
41	50.00 SCHERING-PLOUGH CORP	PURCHASED	3/20/2009	11/04/2009
42	20.00 FREEPORT-MCMORAN COPPER	PURCHASED	10/13/2009	10/30/2009
43	4.00 OCCIDENTAL PETROLEUM CORP	PURCHASED	6/22/2009	10/29/2009
44	8.00 OCCIDENTAL PETROLEUM CORP	PURCHASED	6/18/2009	10/29/2009
45	19.00 EOG RESOURCES INC	PURCHASED	2/04/2009	10/29/2009
46	95.00 ALTERA CORPORATION	PURCHASED	7/20/2009	10/28/2009
47	9.00 APACHE CORP	PURCHASED	3/31/2009	10/22/2009
48	25.00 EMERSON ELECTRIC CO	PURCHASED	2/19/2009	10/22/2009
49	15.00 APACHE CORP	PURCHASED	3/31/2009	10/12/2009
50	15.00 MCDONALD'S CORP	PURCHASED	3/31/2009	10/12/2009
51	20.00 MCDONALD'S CORP	PURCHASED	11/12/2008	10/12/2009
52	60.00 CROWN CASTLE INTL CORP	PURCHASED	7/29/2009	10/08/2009
53	20.00 CATERPILLAR INC	PURCHASED	7/28/2009	10/08/2009
54	50.00 AMERICAN TOWER CORP-CL A	PURCHASED	7/09/2009	10/08/2009
55	45.00 CATERPILLAR INC	PURCHASED	6/03/2009	10/08/2009
56	50.00 CAPITAL ONE FINANCIAL CORP	PURCHASED	1/20/2009	10/08/2009
57	5.00 VISA INC - CLASS A SHRS	PURCHASED	5/06/2009	10/06/2009
58	30.00 VISA INC - CLASS A SHRS	PURCHASED	4/30/2009	10/06/2009
59	12.00 APACHE CORP	PURCHASED	3/31/2009	9/28/2009
60	55.00 TEXTRON INC	PURCHASED	7/24/2009	9/25/2009
61	20.00 TOYOTA MOTOR CORP -SPON ADR	PURCHASED	4/30/2009	9/22/2009
62	65.00 NEWS CORP	PURCHASED	8/31/2009	9/21/2009
63	65.00 CAPITAL ONE FINANCIAL CORP	PURCHASED	1/20/2009	9/17/2009
64	25.00 EMERSON ELECTRIC CO	PURCHASED	2/19/2009	9/16/2009
65	40.00 MICROSOFT CORP	PURCHASED	2/19/2009	9/16/2009
66	30.00 MCDONALD'S CORP	PURCHASED	10/01/2008	9/16/2009
67	30.00 FREEPORT-MCMORAN COPPER & GOLD	PURCHASED	8/11/2009	9/15/2009
68	12.00 OCCIDENTAL PETROLEUM CORP	PURCHASED	6/18/2009	9/15/2009
69	10.00 FREEPORT-MCMORAN COPPER & GOLD	PURCHASED	5/06/2009	9/15/2009
70	25.00 COOPER INDUSTRIES PLC	PURCHASED	3/30/2009	9/15/2009
71	43.00 ALTRIA GROUP INC	PURCHASED	7/14/2009	9/11/2009
72	9.00 GOLDMAN SACHS GROUP INC	PURCHASED	5/11/2009	9/11/2009
73	25.00 NUCOR CORP	PURCHASED	5/05/2009	9/11/2009
74	48.00 JPMORGAN CHASE & CO	PURCHASED	10/14/2008	9/11/2009
75	50.00 ALTRIA GROUP INC	PURCHASED	10/02/2008	9/11/2009
76	16.00 PROCTER & GAMBLE CO	PURCHASED	4/15/2009	9/10/2009
77	40.00 BAXTER INTERNATIONAL INC	PURCHASED	10/15/2008	9/10/2009
78	2.00 BAXTER INTERNATIONAL INC	PURCHASED	10/01/2008	9/10/2009
79	40.00 TIME WARNER CABLE	PURCHASED	5/05/2009	9/09/2009
80	80.00 ELI LILLY & CO	PURCHASED	4/01/2009	9/08/2009
81	10.00 ELI LILLY & CO	PURCHASED	3/26/2009	9/08/2009
82	30.00 EASTMAN CHEMICAL COMPANY	PURCHASED	11/06/2008	9/04/2009
83	5.00 EASTMAN CHEMICAL COMPANY	PURCHASED	10/02/2008	9/04/2009
84	165.00 ERICSSON (LM) TEL-SP ADR	PURCHASED	10/02/2008	8/26/2009
85	40.00 CARDINAL HEALTH INC	PURCHASED	11/25/2008	8/21/2009
86	12.00 EOG RESOURCES INC	PURCHASED	3/31/2009	8/20/2009
87	35.00 EMERSON ELECTRIC CO	PURCHASED	2/19/2009	8/19/2009
88	100.00 NEWS CORP	PURCHASED	7/15/2009	8/13/2009

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
89	100.00 NEWS CORP	PURCHASED	6/29/2009	8/13/2009
90	10.00 APACHE CORP	PURCHASED	5/18/2009	8/07/2009
91	2.00 PROCTER & GAMBLE CO	PURCHASED	4/15/2009	8/07/2009
92	1.00 APACHE CORP	PURCHASED	3/31/2009	8/07/2009
93	35.00 PROCTER & GAMBLE CO	PURCHASED	10/15/2008	8/07/2009
94	25.00 EMERSON ELECTRIC CO	PURCHASED	4/23/2009	8/05/2009
95	5.00 EMERSON ELECTRIC CO	PURCHASED	2/19/2009	8/05/2009
96	15.00 EMERSON ELECTRIC CO	PURCHASED	12/18/2008	8/05/2009
97	75.00 SAFEWAY INC	PURCHASED	11/25/2008	8/05/2009
98	40.00 SAFEWAY INC	PURCHASED	11/06/2008	8/05/2009
99	50.00 TORCHMARK CORP	PURCHASED	5/05/2009	8/04/2009
100	30.00 MCDONALD'S CORP	PURCHASED	10/01/2008	8/04/2009
101	8.00 EOG RESOURCES INC	PURCHASED	3/31/2009	8/03/2009
102	45.00 THE WALT DISNEY CO.	PURCHASED	2/17/2009	8/03/2009
103	10.00 THE WALT DISNEY CO.	PURCHASED	1/28/2009	8/03/2009
104	70.00 LINCOLN NATIONAL CORP	PURCHASED	1/29/2009	7/31/2009
105	20.00 EMERSON ELECTRIC CO	PURCHASED	12/18/2008	7/31/2009
106	115.00 TYSON FOODS INC-CL A	PURCHASED	1/13/2009	7/30/2009
107	30.00 LIBERTY ENTERTAINMENT-CL A	PURCHASED	4/21/2009	7/23/2009
108	35.00 LIBERTY ENTERTAINMENT-CL A	PURCHASED	4/21/2009	7/22/2009
109	40.00 AUTOLIV INC	PURCHASED	12/08/2008	7/20/2009
110	45.00 THE WALT DISNEY CO.	PURCHASED	1/28/2009	7/17/2009
111	5.00 THE WALT DISNEY CO.	PURCHASED	1/28/2009	7/17/2009
112	35.00 CAPITAL ONE FINANCIAL CORP	PURCHASED	1/20/2009	7/17/2009
113	40.00 THE WALT DISNEY CO.	PURCHASED	11/25/2008	7/17/2009
114	3.00 APACHE CORP	PURCHASED	10/14/2008	7/17/2009
115	15.00 MCDONALD'S CORP	PURCHASED	10/01/2008	7/16/2009
116	7.00 PROCTER & GAMBLE CO	PURCHASED	11/12/2008	7/13/2009
117	29.00 PROCTER & GAMBLE CO	PURCHASED	9/19/2008	7/13/2009
118	30.00 AMGEN INC	PURCHASED	7/14/2008	7/13/2009
119	10.00 EOG RESOURCES INC	PURCHASED	7/14/2008	7/13/2009
120	70.00 ELI LILLY & CO	PURCHASED	3/26/2009	7/08/2009
121	25.00 NOKIA CORP-SPON ADR	PURCHASED	2/06/2009	7/02/2009
122	35.00 NOKIA CORP-SPON ADR	PURCHASED	2/05/2009	7/02/2009
123	40.00 METLIFE INC	PURCHASED	11/13/2008	6/26/2009
124	5.00 METLIFE INC	PURCHASED	11/13/2008	6/26/2009
125	5.00 TYSON FOODS INC-CL A	PURCHASED	1/13/2009	6/24/2009
126	130.00 TYSON FOODS INC-CL A	PURCHASED	1/13/2009	6/24/2009
127	180.00 COMCAST CORP-CL A	PURCHASED	4/15/2009	6/23/2009
128	35.00 AMGEN INC	PURCHASED	3/27/2009	6/19/2009
129	15.00 AMGEN INC	PURCHASED	7/14/2008	6/19/2009
130	30.00 PROCTER & GAMBLE CO	PURCHASED	10/15/2008	6/12/2009
131	20.00 EASTMAN CHEMICAL COMPANY	PURCHASED	10/02/2008	6/12/2009
132	1.00 PROCTER & GAMBLE CO	PURCHASED	9/19/2008	6/12/2009
133	20.00 PHILIP MORRIS INTERNATIONAL	PURCHASED	7/09/2008	6/12/2009
134	125.00 NVIDIA CORP	PURCHASED	11/26/2008	6/01/2009
135	90.00 SANOFI SYNTHELABO SA	PURCHASED	12/18/2008	5/29/2009
136	30.00 METLIFE INC	PURCHASED	11/13/2008	5/29/2009
137	45.00 SCHWAB (CHARLES) CORP	PURCHASED	10/27/2008	5/29/2009
138	25.00 WESTERN DIGITAL CORP	PURCHASED	8/20/2008	5/29/2009
139	5.00 WESTERN DIGITAL CORP	PURCHASED	8/20/2008	5/29/2009
140	100.00 WESTERN DIGITAL CORP	PURCHASED	6/05/2008	5/29/2009
141	60.00 NETAPP INC	PURCHASED	4/21/2009	5/28/2009
142	5.00 SCHWAB (CHARLES) CORP	PURCHASED	10/27/2008	5/22/2009
143	50.00 SCHWAB (CHARLES) CORP	PURCHASED	10/21/2008	5/22/2009
144	60.00 SAFEWAY INC	PURCHASED	11/06/2008	5/21/2009

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
145	21.00 JACOBS ENGINEERING GROUP INC	PURCHASED	3/31/2009	5/20/2009
146	4.00 JACOBS ENGINEERING GROUP INC	PURCHASED	1/09/2009	5/20/2009
147	30.00 ABBOTT LABORATORIES	PURCHASED	10/17/2008	5/20/2009
148	120.00 PRUDENTIAL FINANCIAL INC	PURCHASED	2/27/2009	5/18/2009
149	45.00 HOME DEPOT INC	PURCHASED	2/26/2009	5/15/2009
150	5.00 HOME DEPOT INC	PURCHASED	2/26/2009	5/15/2009
151	35.00 HOME DEPOT INC	PURCHASED	2/10/2009	5/15/2009
152	50.00 THE WALT DISNEY CO.	PURCHASED	10/17/2008	5/15/2009
153	25.00 DEVON ENERGY CORPORATION	PURCHASED	8/08/2008	5/15/2009
154	20.00 EASTMAN CHEMICAL COMPANY	PURCHASED	10/02/2008	5/14/2009
155	150.00 MOTOROLA INC	PURCHASED	9/25/2008	5/14/2009
156	424.09 BERNSTEIN TAX-MANAGED INTERNATIONAL PORTFOLIO	PURCHASED	4/14/2009	5/13/2009
157	135.00 BRISTOL-MYERS SQUIBB CO	PURCHASED	11/25/2008	5/12/2009
158	23.00 BAXTER INTERNATIONAL INC	PURCHASED	10/01/2008	5/12/2009
159	20.00 JACOBS ENGINEERING GROUP INC	PURCHASED	1/09/2009	5/08/2009
160	20.00 PACCAR INC	PURCHASED	4/07/2009	5/07/2009
161	45.00 MICROSOFT CORP	PURCHASED	2/19/2009	5/07/2009
162	5.00 MICROSOFT CORP	PURCHASED	2/10/2009	5/07/2009
163	20.00 LOCKHEED MARTIN CORP	PURCHASED	9/18/2008	5/07/2009
164	30.00 QUALCOMM INC	PURCHASED	6/11/2008	5/07/2009
165	30.00 HONEYWELL INTERNATIONAL INC	PURCHASED	1/20/2009	5/06/2009
166	30.00 MOLSON COORS BREWING CO -B	PURCHASED	11/25/2008	5/05/2009
167	10.00 COLGATE-PALMOLIVE CO	PURCHASED	9/18/2008	5/05/2009
168	20.00 BECTON DICKINSON & CO	PURCHASED	8/12/2008	5/05/2009
169	105.00 ERICSSON L M TEL CO	PURCHASED	10/02/2008	5/04/2009
170	55.00 MICROSOFT CORP	PURCHASED	2/10/2009	5/01/2009
171	50.00 TJX COMPANIES INC	PURCHASED	12/23/2008	5/01/2009
172	10.00 BECTON DICKINSON & CO	PURCHASED	8/12/2008	5/01/2009
173	25.00 BECTON DICKINSON & CO	PURCHASED	7/15/2008	5/01/2009
174	5.00 PHILIP MORRIS INTERNATIONAL	PURCHASED	7/09/2008	5/01/2009
175	25.00 PACCAR INC	PURCHASED	4/07/2009	4/30/2009
176	100.00 MICROSOFT CORP	PURCHASED	3/31/2009	4/30/2009
177	5.00 MICROSOFT CORP	PURCHASED	2/10/2009	4/30/2009
178	15.00 MONSANTO CO	PURCHASED	7/14/2008	4/30/2009
179	25.00 TJX COMPANIES INC	PURCHASED	12/23/2008	4/29/2009
180	10.00 ABBOTT LABORATORIES	PURCHASED	10/17/2008	4/28/2009
181	20.00 WAL-MART STORES INC	PURCHASED	8/04/2008	4/28/2009
182	50.00 NOKIA CORP-SPON ADR	PURCHASED	2/06/2009	4/21/2009
183	30.00 TOYOTA MOTOR CORP -SPON ADR	PURCHASED	11/25/2008	4/21/2009
184	25.00 MOTOROLA INC	PURCHASED	9/25/2008	4/21/2009
185	275.00 MOTOROLA INC	PURCHASED	6/18/2008	4/21/2009
186	75.00 GANNETT CO	PURCHASED	7/09/2008	4/16/2009
187	175.00 GANNETT CO	PURCHASED	5/19/2008	4/16/2009
188	8.00 BUNGE LTD	PURCHASED	2/09/2009	4/13/2009
189	12.00 BUNGE LTD	PURCHASED	11/07/2008	4/13/2009
190	30.00 J C PENNEY CO INC	PURCHASED	10/28/2008	4/13/2009
191	80.00 CORNING INC	PURCHASED	12/03/2008	4/09/2009
192	25.00 WYETH	PURCHASED	12/02/2008	4/09/2009
193	50.00 WYETH	PURCHASED	9/03/2008	4/09/2009
194	50.00 WYETH	PURCHASED	8/28/2008	4/09/2009
195	40.00 BUNGE LTD	PURCHASED	2/09/2009	4/08/2009
196	2.00 BUNGE LTD	PURCHASED	2/09/2009	4/08/2009
197	65.00 TJX COMPANIES INC	PURCHASED	12/23/2008	4/08/2009
198	15.00 COLGATE-PALMOLIVE CO	PURCHASED	3/11/2009	4/07/2009
199	75.00 NOKIA CORP-SPON ADR	PURCHASED	10/09/2008	4/07/2009

**STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
200	25.00 GENENTECH INC	PURCHASED	12/02/2008	3/26/2009
201	45.00 GENENTECH INC	PURCHASED	10/15/2008	3/26/2009
202	30.00 GENENTECH INC	PURCHASED	4/23/2008	3/26/2009
203	250.00 NVIDIA CORP	PURCHASED	11/26/2008	3/24/2009
204	30.00 ROYAL DUTCH SHELL PLC-ADR	PURCHASED	4/01/2008	3/23/2009
205	5.00 J C PENNEY CO INC	PURCHASED	10/28/2008	3/18/2009
206	35.00 J C PENNEY CO INC	PURCHASED	10/28/2008	3/18/2009
207	75.00 AMERICAN INTERNATIONAL GROUP	PURCHASED	5/12/2008	3/18/2009
208	275.00 FIFTH THIRD BANCORP	PURCHASED	12/23/2008	3/17/2009
209	55.00 ERICSSON L M TEL CO	PURCHASED	10/02/2008	3/13/2009
210	90.00 SUPERVALU INC	PURCHASED	4/08/2008	3/12/2009
211	70.00 WELLS FARGO & COMPANY	PURCHASED	2/09/2009	3/11/2009
212	95.00 ACTIVISION BLIZZARD INC	PURCHASED	10/21/2008	2/17/2009
213	10.00 TOYOTA MOTOR CORP -SPON ADR	PURCHASED	11/25/2008	2/09/2009
214	7.00 APPLE INC	PURCHASED	10/21/2008	2/09/2009
215	50.00 CITIGROUP INC	PURCHASED	2/26/2008	2/06/2009
216	100.00 CITIGROUP INC	PURCHASED	2/11/2008	2/06/2009
217	50.00 CITIGROUP INC	PURCHASED	2/07/2008	2/06/2009
218	75.00 ERICSSON L M TEL CO	PURCHASED	10/02/2008	2/05/2009
219	15.00 HARTFORD FINANCIAL SVCS GRP	PURCHASED	6/13/2008	2/04/2009
220	30.00 AFLAC INC	PURCHASED	1/09/2009	2/03/2009
221	95.00 TEXTRON INC	PURCHASED	12/22/2008	2/03/2009
222	200.00 DELL INC	PURCHASED	11/26/2008	1/30/2009
223	7.00 APACHE CORP	PURCHASED	7/22/2008	1/30/2009
224	50.00 CITIGROUP INC	PURCHASED	1/30/2008	1/15/2009
225	45.00 DOMINION RESOURCES INC/VA	PURCHASED	10/28/2008	1/13/2009
226	30.00 CATERPILLAR INC	PURCHASED	1/29/2008	1/05/2009
227	20.00 MERCK & CO. INC.	PURCHASED	4/28/2008	12/23/2009
228	1,418.84 ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT FD II CL I	PURCHASED	4/01/2005	12/18/2009
229	85.00 NEWS CORP	PURCHASED	12/11/2008	12/17/2009
230	20.00 CORNING INC	PURCHASED	12/03/2008	12/17/2009
231	45.00 METLIFE INC	PURCHASED	12/01/2008	12/17/2009
232	55.00 METLIFE INC	PURCHASED	12/01/2008	12/17/2009
233	150.00 CORNING INC	PURCHASED	11/21/2008	12/17/2009
234	300.00 MOTOROLA INC	PURCHASED	9/25/2008	12/17/2009
235	10.00 MEDCO HEALTH SOLUTIONS INC	PURCHASED	4/01/2008	12/17/2009
236	4.00 CME GROUP INC	PURCHASED	3/28/2008	12/17/2009
237	5.00 CELGENE CORP	PURCHASED	1/02/2008	12/17/2009
238	15.00 CELGENE CORP	PURCHASED	12/06/2007	12/17/2009
239	20.00 MEDCO HEALTH SOLUTIONS INC	PURCHASED	11/27/2007	12/17/2009
240	70.00 CISCO SYSTEMS INC	PURCHASED	11/08/2006	12/17/2009
241	20.00 KOHLS CORP	PURCHASED	8/29/2006	12/17/2009
242	622.13 BERNSTEIN EMERGING MARKETS PORTFOLIO	PURCHASED	5/02/2005	12/17/2009
243	488.95 BERNSTEIN TAX-MANAGED INTERNATIONAL PORTFOLIO	PURCHASED	4/01/2005	12/17/2009
244	2.00 GOOGLE INC-CL A	PURCHASED	4/01/2005	12/17/2009
245	26.00 TIME WARNER INC	PURCHASED	4/01/2005	12/15/2009
246	240.00 APPLE INC	PURCHASED	4/18/2005	12/10/2009
247	15.00 APPLE INC	PURCHASED	1/14/2005	12/10/2009
248	75.00 AT&T INC	PURCHASED	10/24/2007	12/07/2009
249	25.00 AT&T INC	PURCHASED	9/12/2007	12/07/2009
250	15.00 CHEVRON CORP	PURCHASED	8/14/2008	12/04/2009
251	13.00 CHEVRON CORP	PURCHASED	6/28/2007	12/04/2009
252	50.00 METLIFE INC	PURCHASED	11/13/2008	11/30/2009

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
253	35.00 MERCK & CO. INC.	PURCHASED	4/28/2008	11/23/2009
254	25.00 CISCO SYSTEMS INC	PURCHASED	11/21/2006	11/23/2009
255	8.00 PROCTER & GAMBLE CO	PURCHASED	11/12/2008	11/16/2009
256	25.00 CBS CORP-CLASS B	PURCHASED	5/09/2006	11/16/2009
257	140.00 CBS CORP-CLASS B	PURCHASED	4/13/2006	11/16/2009
258	3.00 MERCK & CO. INC.	PURCHASED	4/28/2008	11/13/2009
259	55.00 MERCK & CO. INC.	PURCHASED	4/08/2008	11/13/2009
260	5.00 MERCK & CO. INC.	PURCHASED	11/28/2006	11/13/2009
261	50.00 ALLSTATE CORP	PURCHASED	3/07/2008	11/12/2009
262	30.00 ALLSTATE CORP	PURCHASED	12/11/2007	11/12/2009
263	30.00 COLGATE-PALMOLIVE CO	PURCHASED	9/18/2008	11/06/2009
264	12.00 APACHE CORP	PURCHASED	10/14/2008	11/04/2009
265	8.00 APACHE CORP	PURCHASED	8/15/2008	11/04/2009
266	200.00 SCHERING-PLOUGH CORP	PURCHASED	4/28/2008	11/04/2009
267	35.00 CELGENE CORP	PURCHASED	12/06/2007	10/30/2009
268	30.00 WAL-MART STORES INC	PURCHASED	10/15/2008	10/21/2009
269	15.00 WAL-MART STORES INC	PURCHASED	8/04/2008	10/21/2009
270	10.00 TEVA PHARMACEUTICAL-SP ADR	PURCHASED	1/29/2008	10/21/2009
271	25.00 TEVA PHARMACEUTICAL-SP ADR	PURCHASED	1/18/2008	10/21/2009
272	35.00 MEDCO HEALTH SOLUTIONS INC	PURCHASED	11/27/2007	10/21/2009
273	10.00 PEPSICO INC	PURCHASED	5/01/2007	10/21/2009
274	25.00 PEPSICO INC	PURCHASED	4/01/2005	10/21/2009
275	5.00 GOOGLE INC-CL A	PURCHASED	4/01/2005	10/19/2009
276	45.00 GILEAD SCIENCES INC	PURCHASED	7/27/2005	10/15/2009
277	61.00 JPMORGAN CHASE & CO	PURCHASED	8/11/2006	10/08/2009
278	4.00 JPMORGAN CHASE & CO	PURCHASED	3/22/2006	10/08/2009
279	25.00 HEWLETT-PACKARD CO	PURCHASED	3/15/2007	10/05/2009
280	25.00 BP PLC-SPONS ADR	PURCHASED	3/25/2008	10/01/2009
281	35.00 BP PLC-SPONS ADR	PURCHASED	1/23/2008	10/01/2009
282	50.00 EXXON MOBIL CORP	PURCHASED	6/08/2006	10/01/2009
283	14.00 APPLE INC	PURCHASED	5/11/2005	9/21/2009
284	25.00 COCA-COLA CO/THE	PURCHASED	7/18/2008	9/16/2009
285	10.00 COCA-COLA CO/THE	PURCHASED	1/11/2008	9/16/2009
286	20.00 FLUOR CORP	PURCHASED	12/11/2007	9/16/2009
287	5.00 MEDCO HEALTH SOLUTIONS INC	PURCHASED	11/27/2007	9/16/2009
288	20.00 MEDCO HEALTH SOLUTIONS INC	PURCHASED	5/15/2007	9/16/2009
289	60.00 CBS CORP-CLASS B	PURCHASED	4/13/2006	9/16/2009
290	112.00 ALTRIA GROUP INC	PURCHASED	8/29/2008	9/11/2009
291	40.00 ALTRIA GROUP INC	PURCHASED	8/09/2007	9/11/2009
292	40.00 ALTRIA GROUP INC	PURCHASED	7/03/2007	9/11/2009
293	25.00 ALTRIA GROUP INC	PURCHASED	6/19/2006	9/11/2009
294	1.00 JPMORGAN CHASE & CO	PURCHASED	3/22/2006	9/11/2009
295	15.00 HEWLETT-PACKARD CO	PURCHASED	3/15/2007	8/28/2009
296	30.00 HEWLETT-PACKARD CO	PURCHASED	1/22/2007	8/28/2009
297	35.00 NIKE INC -CL B	PURCHASED	4/25/2008	8/27/2009
298	10.00 MONSANTO CO	PURCHASED	10/18/2007	8/24/2009
299	12.00 APACHE CORP	PURCHASED	8/15/2008	8/21/2009
300	20.00 APACHE CORP	PURCHASED	7/24/2008	8/21/2009
301	8.00 APACHE CORP	PURCHASED	7/22/2008	8/21/2009
302	15.00 CARDINAL HEALTH INC	PURCHASED	4/22/2008	8/21/2009
303	65.00 EXXON MOBIL CORP	PURCHASED	6/08/2006	8/19/2009
304	10.00 APACHE CORP	PURCHASED	7/22/2008	8/13/2009
305	25.00 HARTFORD FINANCIAL SVCS GRP	PURCHASED	7/08/2008	8/12/2009
306	50.00 HARTFORD FINANCIAL SVCS GRP	PURCHASED	7/03/2008	8/12/2009
307	10.00 HARTFORD FINANCIAL SVCS GRP	PURCHASED	6/13/2008	8/12/2009
308	12.00 CHEVRON CORP	PURCHASED	6/28/2007	8/10/2009

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
309	25.00 CHEVRON CORP	PURCHASED	5/31/2007	8/10/2009
310	8.00 CHEVRON CORP	PURCHASED	7/21/2006	8/10/2009
311	10.00 MONSANTO CO	PURCHASED	10/18/2007	8/06/2009
312	1.00 MONSANTO CO	PURCHASED	7/20/2006	8/06/2009
313	5.00 EOG RESOURCES INC	PURCHASED	7/14/2008	8/03/2009
314	20.00 CISCO SYSTEMS INC	PURCHASED	11/08/2006	8/03/2009
315	15.00 CISCO SYSTEMS INC	PURCHASED	11/08/2006	8/03/2009
316	85.00 CISCO SYSTEMS INC	PURCHASED	1/01/2050	8/03/2009
317	85.00 CARDINAL HEALTH INC	PURCHASED	4/22/2008	7/31/2009
318	25.00 EMERSON ELECTRIC CO	PURCHASED	6/19/2007	7/31/2009
319	20.00 PHILIP MORRIS INTERNATIONAL	PURCHASED	7/09/2008	7/27/2009
320	29.00 MONSANTO CO	PURCHASED	7/20/2006	7/22/2009
321	30.00 ROYAL DUTCH SHELL PLC-ADR	PURCHASED	4/08/2008	7/20/2009
322	30.00 ROYAL DUTCH SHELL PLC-ADR	PURCHASED	4/01/2008	7/20/2009
323	35.00 AUTOLIV INC	PURCHASED	3/15/2007	7/20/2009
324	10.00 APACHE CORP	PURCHASED	7/10/2008	7/17/2009
325	10.00 APACHE CORP	PURCHASED	6/09/2008	7/17/2009
326	25.00 MCDONALD'S CORP	PURCHASED	6/09/2008	7/16/2009
327	15.00 HEWLETT-PACKARD CO	PURCHASED	1/22/2007	7/16/2009
328	55.00 HEWLETT-PACKARD CO	PURCHASED	11/21/2006	7/16/2009
329	2.00 CHEVRON CORP	PURCHASED	7/21/2006	7/15/2009
330	33.00 CHEVRON CORP	PURCHASED	4/01/2005	7/15/2009
331	10.00 EOG RESOURCES INC	PURCHASED	1/18/2008	7/13/2009
332	8.00 EOG RESOURCES INC	PURCHASED	12/11/2007	7/13/2009
333	10.00 EXXON MOBIL CORP	PURCHASED	6/08/2006	7/10/2009
334	40.00 EXXON MOBIL CORP	PURCHASED	1/09/2006	7/10/2009
335	22.00 EOG RESOURCES INC	PURCHASED	12/11/2007	7/09/2009
336	5.00 CME GROUP INC	PURCHASED	3/04/2008	7/07/2009
337	100.00 CISCO SYSTEMS INC	PURCHASED	1/01/2050	7/01/2009
338	15.00 MONSANTO CO	PURCHASED	7/20/2006	6/26/2009
339	35.00 CARDINAL HEALTH INC	PURCHASED	6/05/2008	6/25/2009
340	30.00 EOG RES INC	PURCHASED	12/11/2007	6/25/2009
341	50.00 HEWLETT-PACKARD CO	PURCHASED	11/21/2006	6/24/2009
342	40.00 MORGAN STANLEY	PURCHASED	1/02/2008	6/22/2009
343	25.00 MORGAN STANLEY	PURCHASED	8/31/2007	6/22/2009
344	30.00 TRAVELERS COS INC/THE	PURCHASED	6/13/2007	6/22/2009
345	20.00 TRAVELERS COS INC/THE	PURCHASED	5/21/2007	6/22/2009
346	65.00 PHILIP MORRIS INTERNATIONAL	PURCHASED	4/01/2005	6/17/2009
347	26.00 CHEVRON CORP	PURCHASED	4/01/2005	6/16/2009
348	5.00 EOG RES INC	PURCHASED	1/18/2008	6/12/2009
349	11.00 EOG RES INC	PURCHASED	10/18/2007	6/12/2009
350	50.00 GENWORTH FINANCIAL INC-CL A	PURCHASED	1/19/2007	6/11/2009
351	75.00 GENWORTH FINANCIAL INC-CL A	PURCHASED	8/25/2006	6/11/2009
352	75.00 GENWORTH FINANCIAL INC-CL A	PURCHASED	8/24/2005	6/11/2009
353	18.00 CHEVRON CORP	PURCHASED	4/01/2005	6/10/2009
354	1.00 CHEVRON CORP	PURCHASED	4/01/2005	6/10/2009
355	25.00 HEWLETT-PACKARD CO	PURCHASED	11/21/2006	6/04/2009
356	27.00 MONSANTO CO	PURCHASED	7/20/2006	6/04/2009
357	13.00 FRANKLIN RESOURCES INC	PURCHASED	5/09/2006	6/04/2009
358	5.00 HEWLETT-PACKARD CO	PURCHASED	1/22/2007	6/02/2009
359	25.00 HEWLETT-PACKARD CO	PURCHASED	11/15/2006	6/02/2009
360	25.00 EMERSON ELECTRIC CO	PURCHASED	6/19/2007	6/01/2009
361	20.00 METLIFE INC	PURCHASED	8/23/2005	5/29/2009
362	21.00 MONSANTO CO	PURCHASED	7/20/2006	5/28/2009
363	5.00 CELGENE CORP	PURCHASED	3/04/2008	5/26/2009
364	15.00 ALLSTATE CORP	PURCHASED	12/11/2007	5/26/2009

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
365	35.00 ALLSTATE CORP	PURCHASED	12/03/2007	5/26/2009
366	5.00 ALLSTATE CORP	PURCHASED	9/20/2007	5/26/2009
367	25.00 CELGENE CORP	PURCHASED	6/26/2007	5/26/2009
368	10.00 CELGENE CORP	PURCHASED	5/11/2007	5/26/2009
369	100.00 CISCO SYSTEMS INC	PURCHASED	1/22/2007	5/26/2009
370	10.00 FRANKLIN RESOURCES INC	PURCHASED	11/29/2006	5/26/2009
371	15.00 FRANKLIN RESOURCES INC	PURCHASED	4/05/2005	5/26/2009
372	5.00 MACY'S INC	PURCHASED	8/06/2007	5/14/2009
373	50.00 MACY'S INC	PURCHASED	6/04/2007	5/14/2009
374	75.00 MACY'S INC	PURCHASED	5/15/2007	5/14/2009
375	20.00 MACY'S INC	PURCHASED	2/13/2007	5/14/2009
376	25.00 MCDONALD'S CORP	PURCHASED	8/11/2006	5/14/2009
377	25.00 MEDCO HEALTH SOLUTIONS INC	PURCHASED	5/15/2007	5/11/2009
378	14.00 ALCON INC	PURCHASED	4/01/2005	5/11/2009
379	30.00 COCA-COLA CO/THE	PURCHASED	1/11/2008	5/08/2009
380	25.00 PEPSICO INC	PURCHASED	4/01/2005	5/08/2009
381	20.00 TEVA PHARMACEUTICAL-SP ADR	PURCHASED	1/11/2008	5/07/2009
382	10.00 COLGATE-PALMOLIVE CO	PURCHASED	12/07/2007	5/05/2009
383	65.00 ALLSTATE CORP	PURCHASED	9/20/2007	5/05/2009
384	20.00 TEVA PHARMACEUTICAL-SP ADR	PURCHASED	1/11/2008	5/04/2009
385	10.00 BECTON DICKINSON & CO	PURCHASED	4/17/2008	5/01/2009
386	24.00 EOG RES INC	PURCHASED	10/18/2007	5/01/2009
387	32.00 PHILIP MORRIS INTERNATIONAL	PURCHASED	7/03/2007	5/01/2009
388	5.00 HEWLETT-PACKARD CO	PURCHASED	11/15/2006	5/01/2009
389	25.00 HEWLETT-PACKARD CO	PURCHASED	4/01/2005	5/01/2009
390	8.00 ALTRIA GROUP INC	PURCHASED	8/09/2007	4/30/2009
391	30.00 GILEAD SCIENCES INC	PURCHASED	7/27/2005	4/30/2009
392	125.00 ALTRIA GROUP INC	PURCHASED	4/01/2005	4/30/2009
393	50.00 MICROSOFT CORP	PURCHASED	1/01/2050	4/30/2009
394	25.00 ROYAL DUTCH SHELL PLC-ADR	PURCHASED	4/01/2008	4/29/2009
395	40.00 WAL-MART STORES INC	PURCHASED	2/12/2008	4/28/2009
396	25.00 ABBOTT LABORATORIES	PURCHASED	11/20/2007	4/28/2009
397	8.00 PHILIP MORRIS INTERNATIONAL	PURCHASED	8/09/2007	4/23/2009
398	25.00 PHILIP MORRIS INTERNATIONAL	PURCHASED	6/19/2006	4/23/2009
399	11.00 PHILIP MORRIS INTERNATIONAL	PURCHASED	4/01/2005	4/23/2009
400	6.00 GOOGLE INC-CL A	PURCHASED	4/01/2005	4/20/2009
401	5.00 COLGATE-PALMOLIVE CO	PURCHASED	12/07/2007	4/16/2009
402	25.00 ABBOTT LABORATORIES	PURCHASED	11/20/2007	4/16/2009
403	30.00 COLGATE-PALMOLIVE CO	PURCHASED	7/09/2007	4/16/2009
404	5.00 ABBOTT LABORATORIES	PURCHASED	6/19/2007	4/16/2009
405	20.00 METLIFE INC	PURCHASED	8/23/2005	4/14/2009
406	25.00 MORGAN STANLEY	PURCHASED	8/15/2007	4/13/2009
407	25.00 JPMORGAN CHASE & CO	PURCHASED	3/22/2006	4/13/2009
408	30.00 DEUTSCHE BANK AG REGISTERED	PURCHASED	8/27/2007	4/09/2009
409	105.00 PFIZER INC	PURCHASED	5/09/2006	4/09/2009
410	14.00 GOLDMAN SACHS GROUP INC	PURCHASED	4/28/2005	4/09/2009
411	35.00 ABBOTT LABORATORIES	PURCHASED	6/19/2007	4/08/2009
412	10.00 TEVA PHARMACEUTICAL-SP ADR	PURCHASED	1/18/2008	4/07/2009
413	5.00 COLGATE-PALMOLIVE CO	PURCHASED	12/07/2007	4/07/2009
414	20.00 TEVA PHARMACEUTICAL-SP ADR	PURCHASED	5/11/2007	4/07/2009
415	30.00 METLIFE INC	PURCHASED	8/23/2005	4/02/2009
416	50.00 METLIFE INC	PURCHASED	8/23/2005	4/02/2009
417	5.00 METLIFE INC	PURCHASED	8/23/2005	4/02/2009
418	40.00 MERCK & CO. INC.	PURCHASED	11/28/2006	3/31/2009
419	75.00 GENENTECH INC	PURCHASED	4/06/2005	3/26/2009
420	100.00 BANK OF AMERICA CORP	PURCHASED	2/13/2008	3/24/2009

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
421	50.00 BANK OF AMERICA CORP	PURCHASED	12/26/2007	3/24/2009
422	35.00 MORGAN STANLEY	PURCHASED	8/15/2007	3/24/2009
423	15.00 BANK OF AMERICA CORP	PURCHASED	4/09/2007	3/24/2009
424	60.00 ROYAL DUTCH SHELL PLC-ADR	PURCHASED	8/22/2007	3/23/2009
425	25.00 TRAVELERS COS INC/THE	PURCHASED	5/21/2007	3/20/2009
426	50.00 MERCK & CO. INC.	PURCHASED	11/15/2006	3/19/2009
427	75.00 J C PENNEY CO INC	PURCHASED	2/13/2008	3/18/2009
428	50.00 AMERICAN INTERNATIONAL GROUP	PURCHASED	2/12/2008	3/18/2009
429	35.00 AMERICAN INTERNATIONAL GROUP	PURCHASED	2/11/2008	3/18/2009
430	35.00 AMERICAN INTERNATIONAL GROUP	PURCHASED	10/12/2006	3/18/2009
431	30.00 AMERICAN INTERNATIONAL GROUP	PURCHASED	9/14/2006	3/18/2009
432	30.00 AMERICAN INTERNATIONAL GROUP	PURCHASED	8/24/2006	3/18/2009
433	20.00 AMERICAN INTERNATIONAL GROUP	PURCHASED	7/06/2006	3/18/2009
434	5.00 JPMORGAN CHASE & CO	PURCHASED	3/22/2006	3/18/2009
435	30.00 JPMORGAN CHASE & CO	PURCHASED	3/22/2006	3/18/2009
436	75.00 AMERICAN INTERNATIONAL GROUP	PURCHASED	3/06/2006	3/18/2009
437	100.00 AMERICAN INTERNATIONAL GROUP	PURCHASED	1/01/2050	3/18/2009
438	10.00 BANK OF AMERICA CORP	PURCHASED	4/09/2007	3/13/2009
439	50.00 BANK OF AMERICA CORP	PURCHASED	4/04/2007	3/13/2009
440	25.00 BANK OF AMERICA CORP	PURCHASED	4/01/2005	3/13/2009
441	25.00 DEERE & CO	PURCHASED	9/20/2007	3/04/2009
442	25.00 MORGAN STANLEY	PURCHASED	8/15/2007	2/25/2009
443	100.00 JPMORGAN CHASE & CO	PURCHASED	3/22/2006	2/24/2009
444	150.00 BANK OF AMERICA CORP	PURCHASED	4/01/2005	2/24/2009
445	375.00 SPRINT NEXTEL CORP	PURCHASED	4/01/2005	2/17/2009
446	3.00 APPLE INC	PURCHASED	7/16/2007	2/09/2009
447	25.00 MEDCO HEALTH SOLUTIONS INC	PURCHASED	5/15/2007	2/09/2009
448	100.00 CITIGROUP INC	PURCHASED	1/30/2008	2/06/2009
449	5.00 CME GROUP INC	PURCHASED	1/18/2008	2/06/2009
450	9.00 CME GROUP INC	PURCHASED	8/02/2007	2/06/2009
451	7.00 MONSANTO CO	PURCHASED	7/20/2006	2/06/2009
452	10.00 MONSANTO CO	PURCHASED	6/26/2006	2/06/2009
453	20.00 GILEAD SCIENCES INC	PURCHASED	7/27/2005	2/06/2009
454	35.00 HARTFORD FINANCIAL SVCS GRP	PURCHASED	1/02/2008	2/04/2009
455	25.00 MCKESSON CORP	PURCHASED	8/20/2007	2/04/2009
456	5.00 MCKESSON CORP	PURCHASED	6/29/2007	2/04/2009
457	5.00 BP PLC-SPONS ADR	PURCHASED	1/23/2008	2/03/2009
458	10.00 BP PLC-SPONS ADR	PURCHASED	1/23/2008	2/03/2009
459	70.00 BP PLC-SPONS ADR	PURCHASED	10/15/2007	2/03/2009
460	8.00 PHILIP MORRIS INTERNATIONAL	PURCHASED	7/03/2007	2/03/2009
461	5.00 BP PLC-SPONS ADR	PURCHASED	12/04/2006	2/03/2009
462	44.00 PHILIP MORRIS INTERNATIONAL	PURCHASED	4/01/2005	2/03/2009
463	5.00 PHILIP MORRIS INTERNATIONAL	PURCHASED	4/01/2005	2/03/2009
464	3.00 GOOGLE INC-CL A	PURCHASED	4/01/2005	1/30/2009
465	35.00 CISCO SYSTEMS INC	PURCHASED	10/18/2007	1/29/2009
466	75.00 CISCO SYSTEMS INC	PURCHASED	12/11/2006	1/29/2009
467	15.00 CONOCOPHILLIPS	PURCHASED	8/27/2007	1/28/2009
468	15.00 CONOCOPHILLIPS	PURCHASED	1/08/2007	1/28/2009
469	35.00 CONOCOPHILLIPS	PURCHASED	1/08/2007	1/28/2009
470	25.00 CONOCOPHILLIPS	PURCHASED	12/07/2006	1/28/2009
471	10.00 CONOCOPHILLIPS	PURCHASED	11/13/2006	1/28/2009
472	10.00 ABBOTT LABORATORIES	PURCHASED	6/19/2007	1/27/2009
473	5.00 ABBOTT LABORATORIES	PURCHASED	4/02/2007	1/27/2009
474	10.00 ABBOTT LABORATORIES	PURCHASED	3/15/2007	1/27/2009
475	5.00 GOOGLE INC-CL A	PURCHASED	4/01/2005	1/26/2009
476	35.00 ALCON INC	PURCHASED	4/01/2005	1/22/2009

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
477	30.00 APPLE INC	PURCHASED	5/11/2005	1/15/2009
478	150.00 CITIGROUP INC	PURCHASED	4/01/2005	1/15/2009
479	75.00 AT&T INC	PURCHASED	9/12/2007	1/13/2009
480	35.00 BLACK & DECKER CORP	PURCHASED	9/26/2006	1/13/2009
481	15.00 ALCON INC	PURCHASED	4/01/2005	1/13/2009
482	150.00 GENERAL ELECTRIC CO	PURCHASED	1/01/2050	1/13/2009
483	10.00 APPLE INC	PURCHASED	5/11/2005	1/06/2009
484	0.00 AOL INC	PURCHASED	1/01/2001	12/16/2009

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
1	1,088.		1,083.	5.				$ 5.
2	975.		887.	88.				88.
3	952.		757.	195.				195.
4	479.		489.	-10.				-10.
5	763.		750.	13.				13.
6	186.		152.	34.				34.
7	2,554.		1,976.	578.				578.
8	1,716.		1,514.	202.				202.
9	1,786.		1,430.	356.				356.
10	1,529.		924.	605.				605.
11	1,785.		1,600.	185.				185.
12	1,274.		751.	523.				523.
13	763.		523.	240.				240.
14	1,560.		1,204.	356.				356.
15	1,306.		1,088.	218.				218.
16	1,866.		1,449.	417.				417.
17	1,394.		1,143.	251.				251.
18	955.		536.	419.				419.
19	1,975.		1,400.	575.				575.
20	1,033.		784.	249.				249.
21	925.		776.	149.				149.
22	1,618.		1,327.	291.				291.
23	2,521.		2,158.	363.				363.
24	1,797.		1,579.	218.				218.
25	1,193.		1,194.	-1.				-1.
26	1,770.		1,691.	79.				79.
27	118.		90.	28.				28.
28	118.		90.	28.				28.
29	1,273.		1,106.	167.				167.
30	1,698.		1,508.	190.				190.
31	2,032.		1,843.	189.				189.
32	1,238.		863.	375.				375.
33	1,995.		1,546.	449.				449.
34	1,904.		1,446.	458.				458.
35	1,546.		1,553.	-7.				-7.
36	819.		888.	-69.				-69.
37	1,146.		1,045.	101.				101.
38	1,400.		1,208.	192.				192.
39	525.		496.	29.				29.
40	1,430.		1,176.	254.				254.
41	525.		500.	25.				25.
42	1,486.		1,499.	-13.				-13.
43	318.		246.	72.				72.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
44	635.		516.	119.				$ 119.
45	1,622.		1,308.	314.				314.
46	1,935.		1,639.	296.				296.
47	911.		583.	328.				328.
48	1,000.		757.	243.				243.
49	1,501.		971.	530.				530.
50	852.		819.	33.				33.
51	1,136.		1,115.	21.				21.
52	1,872.		1,624.	248.				248.
53	1,053.		856.	197.				197.
54	1,840.		1,528.	312.				312.
55	2,368.		1,646.	722.				722.
56	1,871.		1,176.	695.				695.
57	340.		335.	5.				5.
58	2,040.		2,013.	27.				27.
59	1,116.		777.	339.				339.
60	956.		607.	349.				349.
61	1,664.		1,595.	69.				69.
62	787.		695.	92.				92.
63	2,548.		1,529.	1,019.				1,019.
64	1,012.		757.	255.				255.
65	1,003.		730.	273.				273.
66	1,663.		1,872.	-209.				-209.
67	2,132.		1,851.	281.				281.
68	924.		774.	150.				150.
69	711.		523.	188.				188.
70	936.		659.	277.				277.
71	780.		715.	65.				65.
72	1,572.		1,238.	334.				334.
73	1,161.		1,102.	59.				59.
74	2,044.		2,075.	-31.				-31.
75	907.		1,025.	-118.				-118.
76	894.		773.	121.				121.
77	2,226.		2,420.	-194.				-194.
78	111.		132.	-21.				-21.
79	1,565.		1,355.	210.				210.
80	2,624.		2,688.	-64.				-64.
81	328.		336.	-8.				-8.
82	1,505.		1,195.	310.				310.
83	251.		265.	-14.				-14.
84	1,539.		1,382.	157.				157.
85	1,390.		1,270.	120.				120.
86	883.		683.	200.				200.
87	1,211.		1,060.	151.				151.
88	1,118.		879.	239.				239.
89	1,118.		927.	191.				191.
90	873.		786.	87.				87.
91	104.		97.	7.				7.
92	87.		65.	22.				22.
93	1,817.		2,195.	-378.				-378.
94	871.		820.	51.				51.
95	174.		151.	23.				23.
96	523.		543.	-20.				-20.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
97	1,399.		1,525.	-126.				$ -126.
98	746.		882.	-136.				-136.
99	1,956.		1,542.	414.				414.
100	1,650.		1,872.	-222.				-222.
101	615.		455.	160.				160.
102	1,148.		804.	344.				344.
103	255.		218.	37.				37.
104	1,397.		1,208.	189.				189.
105	729.		724.	5.				5.
106	1,303.		1,000.	303.				303.
107	808.		712.	96.				96.
108	927.		831.	96.				96.
109	1,236.		863.	373.				373.
110	1,102.		979.	123.				123.
111	122.		109.	13.				13.
112	933.		824.	109.				109.
113	980.		889.	91.				91.
114	228.		248.	-20.				-20.
115	856.		936.	-80.				-80.
116	369.		440.	-71.				-71.
117	1,527.		2,053.	-526.				-526.
118	1,735.		1,541.	194.				194.
119	628.		1,191.	-563.				-563.
120	2,350.		2,352.	-2.				-2.
121	364.		335.	29.				29.
122	509.		455.	54.				54.
123	1,193.		1,138.	55.				55.
124	149.		142.	7.				7.
125	62.		43.	19.				19.
126	1,610.		1,130.	480.				480.
127	2,491.		2,537.	-46.				-46.
128	1,854.		1,837.	17.				17.
129	795.		770.	25.				25.
130	1,581.		1,881.	-300.				-300.
131	813.		1,058.	-245.				-245.
132	53.		71.	-18.				-18.
133	868.		1,072.	-204.				-204.
134	1,356.		922.	434.				434.
135	2,851.		2,959.	-108.				-108.
136	934.		854.	80.				80.
137	787.		732.	55.				55.
138	626.		707.	-81.				-81.
139	125.		141.	-16.				-16.
140	2,505.		3,971.	-1,466.				-1,466.
141	1,122.		1,085.	37.				37.
142	86.		81.	5.				5.
143	865.		1,035.	-170.				-170.
144	1,190.		1,324.	-134.				-134.
145	848.		812.	36.				36.
146	162.		208.	-46.				-46.
147	1,307.		1,659.	-352.				-352.
148	4,688.		2,023.	2,665.				2,665.
149	1,095.		931.	164.				164.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
150	122.		103.	19.				$ 19.
151	851.		786.	65.				65.
152	1,204.		1,205.	-1.				-1.
153	1,526.		2,259.	-733.				-733.
154	765.		1,058.	-293.				-293.
155	858.		1,179.	-321.				-321.
156	5,000.		4,644.	356.				356.
157	2,758.		2,754.	4.				4.
158	1,169.		1,517.	-348.				-348.
159	848.		1,038.	-190.				-190.
160	658.		565.	93.				93.
161	869.		821.	48.				48.
162	97.		95.	2.				2.
163	1,587.		2,151.	-564.				-564.
164	1,257.		1,398.	-141.				-141.
165	985.		978.	7.				7.
166	1,262.		1,337.	-75.				-75.
167	617.		759.	-142.				-142.
168	1,223.		1,726.	-503.				-503.
169	915.		879.	36.				36.
170	1,100.		1,044.	56.				56.
171	1,394.		979.	415.				415.
172	611.		863.	-252.				-252.
173	1,526.		1,996.	-470.				-470.
174	184.		268.	-84.				-84.
175	883.		707.	176.				176.
176	2,028.		1,846.	182.				182.
177	101.		95.	6.				6.
178	1,268.		1,806.	-538.				-538.
179	705.		489.	216.				216.
180	432.		553.	-121.				-121.
181	975.		1,157.	-182.				-182.
182	717.		669.	48.				48.
183	2,259.		1,976.	283.				283.
184	131.		196.	-65.				-65.
185	1,444.		2,385.	-941.				-941.
186	274.		1,463.	-1,189.				-1,189.
187	639.		5,213.	-4,574.				-4,574.
188	458.		419.	39.				39.
189	688.		520.	168.				168.
190	792.		577.	215.				215.
191	1,217.		685.	532.				532.
192	1,057.		825.	232.				232.
193	2,115.		2,144.	-29.				-29.
194	2,115.		2,155.	-40.				-40.
195	2,241.		2,096.	145.				145.
196	112.		105.	7.				7.
197	1,717.		1,272.	445.				445.
198	901.		844.	57.				57.
199	942.		1,247.	-305.				-305.
200	2,375.		1,825.	550.				550.
201	4,275.		3,694.	581.				581.
202	2,850.		2,227.	623.				623.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
203	2,479.		1,844.	635.				$ 635.
204	1,400.		2,082.	-682.				-682.
205	89.		96.	-7.				-7.
206	626.		673.	-47.				-47.
207	98.		2,850.	-2,752.				-2,752.
208	512.		2,104.	-1,592.				-1,592.
209	475.		460.	15.				15.
210	1,337.		2,740.	-1,403.				-1,403.
211	839.		1,344.	-505.				-505.
212	905.		1,254.	-349.				-349.
213	707.		659.	48.				48.
214	719.		676.	43.				43.
215	196.		1,239.	-1,043.				-1,043.
216	393.		2,583.	-2,190.				-2,190.
217	196.		1,337.	-1,141.				-1,141.
218	616.		628.	-12.				-12.
219	228.		1,095.	-867.				-867.
220	740.		1,316.	-576.				-576.
221	791.		1,446.	-655.				-655.
222	1,915.		2,105.	-190.				-190.
223	533.		794.	-261.				-261.
224	190.		1,411.	-1,221.				-1,221.
225	1,556.		1,545.	11.				11.
226	1,395.		2,073.	-678.				-678.
227	750.		369.	381.				381.
228	11,450.		17,665.	-6,215.				-6,215.
229	1,125.		704.	421.				421.
230	371.		171.	200.				200.
231	1,608.		1,131.	477.				477.
232	1,966.		1,383.	583.				583.
233	2,783.		1,160.	1,623.				1,623.
234	2,448.		2,357.	91.				91.
235	630.		446.	184.				184.
236	1,304.		1,944.	-640.				-640.
237	254.		234.	20.				20.
238	762.		898.	-136.				-136.
239	1,260.		966.	294.				294.
240	1,626.		1,753.	-127.				-127.
241	1,068.		1,221.	-153.				-153.
242	17,172.		21,849.	-4,677.				-4,677.
243	7,300.		10,972.	-3,672.				-3,672.
244	1,190.		363.	827.				827.
245	788.		926.	-138.				-138.
246	47,926.		8,585.	39,341.				39,341.
247	2,995.		525.	2,470.				2,470.
248	2,088.		3,087.	-999.				-999.
249	696.		998.	-302.				-302.
250	1,179.		1,285.	-106.				-106.
251	1,022.		1,097.	-75.				-75.
252	1,661.		1,423.	238.				238.
253	1,273.		646.	627.				627.
254	596.		674.	-78.				-78.
255	499.		503.	-4.				-4.

**STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
256	334.		668.	-334.				$ -334.
257	1,868.		3,456.	-1,588.				-1,588.
258	100.		55.	45.				45.
259	1,829.		2,254.	-425.				-425.
260	166.		217.	-51.				-51.
261	1,450.		2,351.	-901.				-901.
262	870.		1,615.	-745.				-745.
263	2,399.		2,276.	123.				123.
264	1,187.		992.	195.				195.
265	791.		840.	-49.				-49.
266	2,100.		1,586.	514.				514.
267	1,791.		2,094.	-303.				-303.
268	1,550.		1,569.	-19.				-19.
269	775.		868.	-93.				-93.
270	512.		446.	66.				66.
271	1,281.		1,203.	78.				78.
272	1,986.		1,691.	295.				295.
273	622.		663.	-41.				-41.
274	1,555.		1,318.	237.				237.
275	2,756.		908.	1,848.				1,848.
276	2,095.		1,010.	1,085.				1,085.
277	2,806.		2,673.	133.				133.
278	184.		166.	18.				18.
279	1,139.		991.	148.				148.
280	1,304.		1,540.	-236.				-236.
281	1,826.		2,142.	-316.				-316.
282	3,388.		2,937.	451.				451.
283	2,550.		494.	2,056.				2,056.
284	1,308.		1,258.	50.				50.
285	523.		640.	-117.				-117.
286	1,116.		1,535.	-419.				-419.
287	279.		242.	37.				37.
288	1,115.		751.	364.				364.
289	761.		1,481.	-720.				-720.
290	2,032.		2,388.	-356.				-356.
291	726.		839.	-113.				-113.
292	726.		870.	-144.				-144.
293	454.		416.	38.				38.
294	43.		42.	1.				1.
295	672.		594.	78.				78.
296	1,345.		1,256.	89.				89.
297	1,960.		2,403.	-443.				-443.
298	846.		901.	-55.				-55.
299	1,053.		1,260.	-207.				-207.
300	1,755.		2,170.	-415.				-415.
301	702.		908.	-206.				-206.
302	521.		774.	-253.				-253.
303	4,382.		3,818.	564.				564.
304	880.		1,135.	-255.				-255.
305	491.		1,609.	-1,118.				-1,118.
306	981.		3,247.	-2,266.				-2,266.
307	196.		730.	-534.				-534.
308	831.		1,013.	-182.				-182.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
309	1,731.		2,045.	-314.				$ -314.
310	554.		520.	34.				34.
311	841.		901.	-60.				-60.
312	84.		42.	42.				42.
313	384.		596.	-212.				-212.
314	450.		501.	-51.				-51.
315	338.		376.	-38.				-38.
316	1,914.		1,971.	-57.				-57.
317	2,819.		4,389.	-1,570.				-1,570.
318	912.		1,224.	-312.				-312.
319	933.		1,072.	-139.				-139.
320	2,312.		1,231.	1,081.				1,081.
321	1,533.		2,178.	-645.				-645.
322	1,533.		2,082.	-549.				-549.
323	1,081.		2,027.	-946.				-946.
324	758.		1,197.	-439.				-439.
325	758.		1,404.	-646.				-646.
326	1,427.		1,458.	-31.				-31.
327	587.		628.	-41.				-41.
328	2,152.		2,189.	-37.				-37.
329	129.		130.	-1.				-1.
330	2,124.		1,951.	173.				173.
331	628.		849.	-221.				-221.
332	503.		713.	-210.				-210.
333	651.		587.	64.				64.
334	2,604.		2,378.	226.				226.
335	1,374.		1,961.	-587.				-587.
336	1,417.		2,520.	-1,103.				-1,103.
337	1,901.		2,319.	-418.				-418.
338	1,135.		637.	498.				498.
339	1,066.		1,995.	-929.				-929.
340	2,055.		2,674.	-619.				-619.
341	1,876.		1,990.	-114.				-114.
342	1,099.		2,019.	-920.				-920.
343	687.		1,549.	-862.				-862.
344	1,253.		1,621.	-368.				-368.
345	835.		1,120.	-285.				-285.
346	2,746.		2,241.	505.				505.
347	1,824.		1,537.	287.				287.
348	385.		424.	-39.				-39.
349	848.		902.	-54.				-54.
350	350.		1,711.	-1,361.				-1,361.
351	525.		2,537.	-2,012.				-2,012.
352	525.		2,317.	-1,792.				-1,792.
353	1,275.		1,064.	211.				211.
354	71.		59.	12.				12.
355	895.		995.	-100.				-100.
356	2,214.		1,146.	1,068.				1,068.
357	945.		1,203.	-258.				-258.
358	181.		209.	-28.				-28.
359	903.		1,008.	-105.				-105.
360	834.		1,224.	-390.				-390.
361	622.		988.	-366.				-366.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
362	1,664.		891.	773.				$ 773.
363	196.		282.	-86.				-86.
364	394.		808.	-414.				-414.
365	919.		1,791.	-872.				-872.
366	131.		280.	-149.				-149.
367	980.		1,443.	-463.				-463.
368	392.		636.	-244.				-244.
369	1,850.		2,651.	-801.				-801.
370	642.		1,056.	-414.				-414.
371	963.		1,029.	-66.				-66.
372	58.		168.	-110.				-110.
373	577.		2,016.	-1,439.				-1,439.
374	866.		3,031.	-2,165.				-2,165.
375	231.		880.	-649.				-649.
376	1,344.		871.	473.				473.
377	1,117.		939.	178.				178.
378	1,339.		1,244.	95.				95.
379	1,284.		1,921.	-637.				-637.
380	1,236.		1,318.	-82.				-82.
381	887.		961.	-74.				-74.
382	617.		800.	-183.				-183.
383	1,540.		3,642.	-2,102.				-2,102.
384	884.		961.	-77.				-77.
385	611.		828.	-217.				-217.
386	1,586.		1,969.	-383.				-383.
387	1,181.		1,574.	-393.				-393.
388	179.		202.	-23.				-23.
389	896.		546.	350.				350.
390	133.		168.	-35.				-35.
391	1,362.		673.	689.				689.
392	2,075.		1,906.	169.				169.
393	1,014.		1,358.	-344.				-344.
394	1,152.		1,735.	-583.				-583.
395	1,950.		2,016.	-66.				-66.
396	1,080.		1,384.	-304.				-304.
397	290.		379.	-89.				-89.
398	908.		941.	-33.				-33.
399	399.		379.	20.				20.
400	2,280.		1,090.	1,190.				1,190.
401	296.		400.	-104.				-104.
402	1,063.		1,384.	-321.				-321.
403	1,773.		1,985.	-212.				-212.
404	213.		273.	-60.				-60.
405	540.		988.	-448.				-448.
406	659.		1,448.	-789.				-789.
407	832.		1,039.	-207.				-207.
408	1,463.		3,761.	-2,298.				-2,298.
409	1,410.		2,685.	-1,275.				-1,275.
410	1,667.		1,496.	171.				171.
411	1,523.		1,910.	-387.				-387.
412	452.		481.	-29.				-29.
413	300.		400.	-100.				-100.
414	903.		771.	132.				132.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
415	754.		1,482.	-728.				$ -728.
416	1,257.		2,470.	-1,213.				-1,213.
417	126.		247.	-121.				-121.
418	1,071.		1,738.	-667.				-667.
419	7,125.		4,354.	2,771.				2,771.
420	777.		4,328.	-3,551.				-3,551.
421	389.		2,101.	-1,712.				-1,712.
422	863.		2,027.	-1,164.				-1,164.
423	117.		762.	-645.				-645.
424	2,800.		4,451.	-1,651.				-1,651.
425	994.		1,400.	-406.				-406.
426	1,314.		2,202.	-888.				-888.
427	1,342.		3,584.	-2,242.				-2,242.
428	65.		2,288.	-2,223.				-2,223.
429	46.		1,577.	-1,531.				-1,531.
430	46.		2,355.	-2,309.				-2,309.
431	39.		1,959.	-1,920.				-1,920.
432	39.		1,897.	-1,858.				-1,858.
433	26.		1,194.	-1,168.				-1,168.
434	133.		208.	-75.				-75.
435	798.		1,247.	-449.				-449.
436	98.		4,936.	-4,838.				-4,838.
437	131.		7,130.	-6,999.				-6,999.
438	59.		508.	-449.				-449.
439	294.		2,537.	-2,243.				-2,243.
440	147.		1,113.	-966.				-966.
441	666.		1,810.	-1,144.				-1,144.
442	543.		1,448.	-905.				-905.
443	2,077.		4,158.	-2,081.				-2,081.
444	679.		6,678.	-5,999.				-5,999.
445	1,039.		7,713.	-6,674.				-6,674.
446	308.		416.	-108.				-108.
447	1,180.		939.	241.				241.
448	393.		2,823.	-2,430.				-2,430.
449	946.		2,771.	-1,825.				-1,825.
450	1,703.		5,026.	-3,323.				-3,323.
451	589.		297.	292.				292.
452	841.		384.	457.				457.
453	1,051.		449.	602.				602.
454	531.		2,989.	-2,458.				-2,458.
455	1,145.		1,438.	-293.				-293.
456	229.		299.	-70.				-70.
457	210.		306.	-96.				-96.
458	420.		612.	-192.				-192.
459	2,941.		5,301.	-2,360.				-2,360.
460	303.		393.	-90.				-90.
461	210.		338.	-128.				-128.
462	1,664.		1,517.	147.				147.
463	189.		172.	17.				17.
464	1,022.		545.	477.				477.
465	562.		1,140.	-578.				-578.
466	1,204.		2,042.	-838.				-838.
467	750.		1,212.	-462.				-462.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
468	750.		1,020.	-270.				$ -270.
469	1,751.		2,379.	-628.				-628.
470	1,251.		1,731.	-480.				-480.
471	500.		629.	-129.				-129.
472	546.		546.	0.				0.
473	273.		279.	-6.				-6.
474	546.		536.	10.				10.
475	1,634.		908.	726.				726.
476	2,906.		3,110.	-204.				-204.
477	2,486.		1,058.	1,428.				1,428.
478	571.		6,734.	-6,163.				-6,163.
479	1,933.		2,995.	-1,062.				-1,062.
480	1,424.		2,778.	-1,354.				-1,354.
481	1,330.		1,333.	-3.				-3.
482	2,278.		4,988.	-2,710.				-2,710.
483	941.		353.	588.				588.
484	2.		0.	2.				2.
							TOTAL	$ -86,171.

STATEMENT 8
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUNDATION STATUS	PURPOSE OF GRANT	AMOUNT
GRACE COTTAGE FOUNDATION PO BOX 216 TOWNSHEND, VT 05353	NONE	501(C)(3)	GENERAL	$ 850.
SPCA-PINELLAS COUNTY 9099 130TH AVENUE NO. LARGO, FL 33773	NONE	501(C)(3)	GENERAL	3,000.
DOCTORS' HOSPITAL FOUNDATION 5000 UNIVERSITY DRIVE CORAL GABLES, FL 33146	NONE	501(C)(3)	MEDICAL	10,000.
TREE HOUSE ANIMAL FOUNDATION 1212 W. CARMEN AVENUE CHICAGO, IL 60640	NONE	501(C)(3)	HUMANE TREATMENT OF ANIMALS	3,000.
GRAFTON CHURCH 36 NORTH MAIN STREET RANDOLPH, VT 05060	NONE	501(C)(3)	RELIGIOUS	850.

STATEMENT 8 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
KEY BISCAYNE HISTORICAL SOCIETY 260 CRANDON BLVD. #14 KEY BISCAYNE, FL 33149	NONE	501(C)(3)	ARTS	$ 8,000.
BAHAMAS SEITH MINISTRY INTERNATIONAL , P.O. BOX N 9583 BAHAMAS	NONE	501(C)(3)	RELIGIOUS	2,500.
SHELTER ISLAND PRESBYTERIAN CHURCH 32 NORTH FERRY ROAD SHELTON ISLAND, NY 11964	NONE	501(C)(3)	RELIGIOUS	5,000.
PARKINSON RESEARCH FOUNDATION PO BOX 20256 SARASOTA , FL 34276	NONE	501C3	GENERAL	500.
CAT CAY MEDICAL CLINIC FOUNDATION 312 SOUTH CEDROS AVENUE, SUITE 322 SOLANA, CA 92075	NONE	PENDING	MEDICAL	40,000.
AFRICAN WILDLIFE FOUNDATION 26 BAKER STREET JOHANNESBURG, ROSEBANK 2196 SOUTH AFRICA	NONE	501(C)(3)	GENERAL	1,500.
ARMSTRONG ELEMENTARY SCHOOL 3600 CORNELL AVENUE DALLAS, TX 75205	NONE	501(C)(3)	EDUCATIONAL	3,500.
ACADEMY PREP FOUNDATION 2301 22ND AVENUE ST. PETERSBURG, FL 33712	NONE	501(C)(3)	EDUCATIONAL	500.
			TOTAL	$ 79,200.

PART II, LINE 20

THE LENDER FORGAVE THE LOAN IN DECEMBER OF 2009. IN ADDITION TO GIFTS OF SECURITIES TO THE FOUNDATION, SHE WISHED TO CONTRIBUTE CASH. RATHER THAN WRITE A CHECK TO THE FOUNDATION, SHE MADE A CONTRIBUTION BY WAY OF LOAN FORGIVENESS.

The Pollio Family Foundation, Inc.
EIN: 03-0334275

EXPENDITURE RESPONSIBILITY REPORT

Pursuant to Internal Revenue Code § 4945(d), The Pollio Family Foundation, Inc. is required to exercise expenditure responsibility with respect to its contributions to Cat Cay Medical Clinic Foundation. Therefore, the undersigned submits the following information:

1. On December 28, 2009, The Pollio Family Foundation, Inc. contributed Forty Thousand and 00/100 Dollars ($40,000.00) to the Cat Cay Medical Clinic Foundation having an address at 312 South Cedros Avenue – Suite 322, Solana Beach, California 92075-1979.

2. The grant was made to assist Cat Cay Medical Clinic Foundation with obtaining tax-exempt status and to facilitate the operation of a free medical clinic.

3. Based on the 2009 annual report, as of December 31, 2009, none of the grant was spent by Cat Cay Medical Clinic Foundation.

4. To the best of The Pollio Family Foundation, Inc.'s knowledge, the grantee has not diverted any funds from the purpose for which the grant was made.

5. The Pollio Family Foundation, Inc. received the 2009 annual report from Cat Cay Medical Clinic and said report is dated February 3, 2010.

THE POLLIO FAMILY FOUNDATION, INC.

By: Joseph L. Pollio, Jr.
Title: President

The Pollio Family Foundation, Inc.
03-0334275

Form 990-PF Attachment

Part II, Line 10(b) Detail

Reporting Currency: US dollars

Quantity		Ticker/Cusip	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asset Class
CASH											
4,994	CASH		- - -	$1 00	$1 00	$4,993 63	$4,993 63	$6	0 13%	0 4%	100 0%
TOTAL CASH						**$4,993.63**	**$4,993.63**	**$6**	**0.13%**	**0.4%**	**100%**
FIXED INCOME											
MUTUAL FUNDS											
		SNIDX									
5,642	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO		04/01/05	$13 25	$13 24	$74,761 18	$74,704 74	$3,388	4 03% **	5 5%	55 3%
3	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO		12/07/05	$13 10	$13 24	$43 15	$43 61	$2	4 03% **	0 0%	0 0%
924	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO		06/19/07	$12 98	$13 24	$12,000 00	$12,240 37	$555	4 03% **	0 9%	9 1%
2,265	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO		01/02/08	$13 26	$13 24	$30,028 91	$29,983 61	$1,360	4 03% **	2 2%	22 2%
1,354	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO		12/11/09	$13 29	$13 24	$18,000 00	$17,932 28	$813	4 03% **	1 3%	13 3%
10,189						$134,833 24	$134,904 61 $177 09 AI	$6,118	4 03%	9 9%	99 9%
TOTAL FIXED INCOME						**$134,833.24**	**$135,081.70**	**$6,118**	**4.03%**	**9.9%**	**100%**
EQUITIES											
DOMESTIC											
NON-FINANCIAL											
BUILDING MATERIAL - HEAT/PLUMB/AIR											
155	MASCO CORP	MAS	05/21/09	$9 84	$13 81	$1,525 93	$2,140 55	$46	2 17%	0 2%	0 2%
HOME BUILDING											
165	D R HORTON INC	DHI	06/15/09	$9 35	$10 87	$1,542 54	$1,793 55	$25	1 38%	0 1%	0 1%
3	NVR INC	NVR	07/08/09	$481 37	$710 71	$1,444 11	$2,132 13	$0	0 00%	0 2%	0 2%
2	NVR INC		08/24/09	$671 64	$710 71	$1,343 27	$1,421 42	$0	0 00%	0 1%	0 1%
5						$2,787 38	$3,553 55	$0	0 00%	0 3%	0 3%
135	PULTE HOMES INC	PHM	09/01/09	$12 38	$10 00	$1,671 77	$1,350 00	$0	0 00%	0 1%	0 1%

Reporting Currency: US dollars

Quantity	Ticker/Cusip	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asset Class
Total					$6,001 69	$6,697 10	$25	0 37%	0 5%	0 5%
MISC BUILDING										
80 QUANTA SERVICES INC	PWR	09/03/09	$22 91	$20 84	$1,832 46	$1,667 20	$0	0 00%	0 1%	0 1%
TOTAL NON-FINANCIAL					$9,360 08	$10,504 85	$71	0 68%	0 8%	0 9%
FINANCIAL										
PROPERTY - CASUALTY INSURANCE										
35 ACE LTD	ACE	02/27/09	$36 96	$50 40	$1,293 73	$1,764 00	$0	0 00%	0 1%	0 1%
5 ACE LTD		04/20/09	$44 37	$50 40	$221 84	$252 00	$0	0 00%	0 0%	0 0%
40					$1,515 57	$2,016 00	$0	0 00%	0 1%	0 2%
25 TRAVELERS COS INC/THE	TRV	06/13/07	$54 04	$49 86	$1,351 08	$1,246 50	$33	2 65%	0 1%	0 1%
25 TRAVELERS COS INC/THE		11/16/07	$52 82	$49 86	$1,320 48	$1,246 50	$33	2 65%	0 1%	0 1%
25 TRAVELERS COS INC/THE		01/02/08	$52 64	$49 86	$1,315 88	$1,246 50	$33	2 65%	0 1%	0 1%
75					$3,987 44	$3,739 50	$99	2 65%	0 3%	0 3%
50 XL CAPITAL LTD -CLASS A	XL	04/01/05	$71 82	$18 33	$3,591 06	$916 50	$20	2 18%	0 1%	0 1%
65 XL CAPITAL LTD -CLASS A		08/15/08	$19 90	$18 33	$1,293 81	$1,191 45	$26	2 18%	0 1%	0 1%
110 XL CAPITAL LTD -CLASS A		05/21/09	$9 71	$18 33	$1,067 92	$2,016 30	$44	2 18%	0 1%	0 2%
225					$5,952 79	$4,124 25	$90	2 18%	0 3%	0 3%
Total					$11,455 80	$9,879 75	$189	1 91%	0 7%	0 8%
MISC FINANCIAL										
60 AMERIPRISE FINANCIAL INC	AMP	10/30/09	$34 65	$38 82	$2,079 26	$2,329 20	$41	1 75%	0 2%	0 2%
40 AMERIPRISE FINANCIAL INC		11/05/09	$37 08	$38 82	$1,483 21	$1,552 80	$27	1 75%	0 1%	0 1%
100					$3,562 47	$3,882 00	$68	1 75%	0 3%	0 3%
1 CME GROUP INC	CME	03/28/08	$486 02	$335 95	$486 02	$335 95	$5	1 37%	0 0%	0 0%
10 CME GROUP INC		06/13/08	$402 21	$335 95	$4,022 12	$3,359 50	$46	1 37%	0 2%	0 3%
6 CME GROUP INC		10/15/08	$375 29	$335 95	$2,251 73	$2,015 70	$28	1 37%	0 1%	0 2%
17					$6,759 87	$5,711 15	$78	1 37%	0 4%	0 5%
75 CREDIT SUISSE GROUP-SPON AD	CS	05/11/09	$41 04	$49 16	$3,077 81	$3,687 00	$7	0 19%	0 3%	0 3%
20 CREDIT SUISSE GROUP-SPON AD		09/21/09	$54 05	$49 16	$1,080 92	$983 20	$2	0 19%	0 1%	0 1%
15 CREDIT SUISSE GROUP-SPON AD		09/22/09	$56 90	$49 16	$853 43	$737 40	$1	0 19%	0 1%	0 1%

Reporting Currency: US dollars

Quantity		Ticker/Cusip	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asset Class
110						$5,012 16	$5,407 60	$10	0 19%	0 4%	0 4%
15	DEUTSCHE BANK AG-REGISTERED	DB	11/15/07	$124 24	$70 91	$1,863 59	$1,063 65	$10	0 98%	0 1%	0 1%
20	DEUTSCHE BANK AG-REGISTERED		02/12/08	$111 61	$70 91	$2,232 29	$1,418 20	$14	0 98%	0 1%	0 1%
20	DEUTSCHE BANK AG-REGISTERED		03/14/08	$108 77	$70 91	$2,175 47	$1,418 20	$14	0 98%	0 1%	0 1%
15	DEUTSCHE BANK AG-REGISTERED		06/06/08	$101 06	$70 91	$1,515 93	$1,063 65	$10	0 98%	0 1%	0 1%
35	DEUTSCHE BANK AG-REGISTERED		05/12/09	$55 35	$70 91	$1,937 42	$2,481 85	$24	0 98%	0 2%	0 2%
105						$9,724 70	$7,445 55	$73	0 98%	0 5%	0 6%
22	FRANKLIN RESOURCES INC	BEN	05/09/06	$92.53	$105 35	$2,035 63	$2,317 70	$19	0 84%	0 2%	0 2%
5	FRANKLIN RESOURCES INC		11/29/06	$105 56	$105 35	$527 78	$526 75	$4	0 84%	0 0%	0 0%
18	FRANKLIN RESOURCES INC		11/05/09	$109 83	$105 35	$1,976 92	$1,896 30	$16	0 84%	0 1%	0 2%
10	FRANKLIN RESOURCES INC		11/12/09	$115 16	$105 35	$1,151 59	$1,053 50	$9	0 84%	0 1%	0 1%
55						$5,691 92	$5,794 25	$48	0 84%	0 4%	0 5%
5	GOLDMAN SACHS GROUP INC	GS	04/28/05	$106 88	$168 84	$534 39	$844 20	$7	0 83%	0 1%	0 1%
26	GOLDMAN SACHS GROUP INC		09/12/08	$157 36	$168 84	$4,091 37	$4,389 84	$36	0 83%	0 3%	0 4%
25	GOLDMAN SACHS GROUP INC		10/28/08	$95 25	$168 84	$2,381 23	$4,221 00	$35	0 83%	0 3%	0 3%
2	GOLDMAN SACHS GROUP INC		11/07/08	$79 43	$168 84	$158 86	$337 68	$3	0 83%	0 0%	0 0%
8	GOLDMAN SACHS GROUP INC		11/07/08	$79 43	$168 84	$635 45	$1,350 72	$11	0 83%	0 1%	0 1%
20	GOLDMAN SACHS GROUP INC		12/02/08	$64 08	$168 84	$1,281 60	$3,376 80	$28	0 83%	0 2%	0 3%
15	GOLDMAN SACHS GROUP INC		02/10/09	$96 90	$168 84	$1,453 44	$2,532 60	$21	0 83%	0 2%	0 2%
51	GOLDMAN SACHS GROUP INC		05/11/09	$137 58	$168 84	$7,016 52	$8,610 84	$71	0 83%	0 6%	0 7%
13	GOLDMAN SACHS GROUP INC		06/25/09	$143 21	$168 84	$1,861 74	$2,194 92	$18	0 83%	0 2%	0 2%
19	GOLDMAN SACHS GROUP INC		08/31/09	$163 34	$168 84	$3,103 49	$3,207 96	$27	0 83%	0 2%	0 3%
11	GOLDMAN SACHS GROUP INC		10/20/09	$184 94	$168 84	$2,034 34	$1,857 24	$15	0 83%	0 1%	0 2%
5	GOLDMAN SACHS GROUP INC		11/30/09	$166 46	$168 84	$832 29	$844 20	$7	0 83%	0 1%	0 1%
10	GOLDMAN SACHS GROUP INC		12/11/09	$166 07	$168 84	$1,660 68	$1,688 40	$14	0 83%	0 1%	0 1%
210						$27,045 40	$35,456 40	$294	0 83%	2 6%	2 9%
50	MORGAN STANLEY	MS	01/02/08	$50 47	$29 60	$2,523 72	$1,480 00	$10	0 68%	0 1%	0 1%
50	MORGAN STANLEY		02/15/08	$42 35	$29 60	$2,117 61	$1,480 00	$10	0 68%	0 1%	0 1%
75	MORGAN STANLEY		10/16/09	$32 48	$29 60	$2,435 71	$2,220 00	$15	0 68%	0 2%	0 2%
175						$7,077 04	$5,180 00	$35	0 68%	0 4%	0 4%

Reporting Currency: US dollars

Quantity	Ticker/Cusip	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asset Class
17 VISA INC - CLASS A SHRS	V	05/06/09	$66 91	$87 46	$1,137 43	$1,486 82	$8	0 57%	0 1%	0 1%
Total					$66,010 99	$70,363 77	$615	0 87%	5 2%	5 8%
LIFE INSURANCE										
65 PRINCIPAL FINANCIAL GROUP	PFG	10/16/09	$28 74	$24 04	$1,868 07	$1,562 60	$32	2 08%	0 1%	0 1%
90 UNUM GROUP	UNM	04/30/09	$16 31	$19 52	$1,467 61	$1,756 80	$30	1 69%	0 1%	0 1%
Total					$3,335 68	$3,319 40	$62	1 87%	0 2%	0 3%
MULTI-LINE INSURANCE										
185 AETNA INC	AET	09/24/09	$29 27	$31 70	$5,415 25	$5,864 50	$7	0 13%	0 4%	0 5%
BANKS - NYC										
50 BANK OF NEW YORK MELLON CORP	BK	04/20/09	$29 91	$27 97	$1,495 64	$1,398 50	$18	1 29%	0 1%	0 1%
14 JPMORGAN CHASE & CO	JPM	08/11/06	$43 82	$41 67	$613 46	$583 38	$3	0 48%	0 0%	0 0%
20 JPMORGAN CHASE & CO		11/27/07	$42 04	$41 67	$840 88	$833 40	$4	0 48%	0 1%	0 1%
50 JPMORGAN CHASE & CO		01/02/08	$42 44	$41 67	$2,121 94	$2,083 50	$10	0 48%	0 2%	0 2%
127 JPMORGAN CHASE & CO		10/14/08	$43 22	$41 67	$5,489 13	$5,292 09	$25	0 48%	0 4%	0 4%
25 JPMORGAN CHASE & CO		10/21/08	$40 65	$41 67	$1,016 37	$1,041 75	$5	0 48%	0 1%	0 1%
25 JPMORGAN CHASE & CO		05/18/09	$35 88	$41 67	$897 06	$1,041 75	$5	0 48%	0 1%	0 1%
25 JPMORGAN CHASE & CO		05/26/09	$35 65	$41 67	$891 14	$1,041 75	$5	0 48%	0 1%	0 1%
35 JPMORGAN CHASE & CO		05/27/09	$36 29	$41 67	$1,270 09	$1,458 45	$7	0 48%	0 1%	0 1%
40 JPMORGAN CHASE & CO		06/02/09	$35 25	$41 67	$1,410 00	$1,666 80	$8	0 48%	0 1%	0 1%
50 JPMORGAN CHASE & CO		06/03/09	$34 42	$41 67	$1,720 84	$2,083 50	$10	0 48%	0 2%	0 2%
75 JPMORGAN CHASE & CO		06/05/09	$34 88	$41 67	$2,616 29	$3,125 25	$15	0 48%	0 2%	0 3%
21 JPMORGAN CHASE & CO		06/12/09	$34 99	$41 67	$734 75	$875 07	$4	0 48%	0 1%	0 1%
79 JPMORGAN CHASE & CO		06/12/09	$34 99	$41 67	$2,764 07	$3,291 93	$16	0 48%	0 2%	0 3%
50 JPMORGAN CHASE & CO		06/19/09	$34 63	$41 67	$1,731 37	$2,083 50	$10	0 48%	0 2%	0 2%
49 JPMORGAN CHASE & CO		07/02/09	$32 90	$41 67	$1,611 95	$2,041 83	$10	0 48%	0 1%	0 2%
20 JPMORGAN CHASE & CO		12/23/09	$41 70	$41 67	$834 00	$833 40	$4	0 48%	0 1%	0 1%
20 JPMORGAN CHASE & CO		12/31/09	$41 98	$41 67	$839 64	$833 40	$4	0 48%	0 1%	0 1%
725					$27,402 98	$30,210 75	$145	0 48%	2 2%	2 5%
Total					$28,898 62	$31,609 25	$163	0 52%	2 3%	2 6%
MAJOR REGIONAL BANKS										

Quantity		Ticker/Cusip	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asset Class
105	BANK OF AMERICA CORP	BAC	09/03/09	$16 66	$15 06	$1,749 70	$1,581 30	$4	0 27%	0 1%	0 1%
300	BANK OF AMERICA CORP		12/07/09	$16 17	$15 06	$4,849 53	$4,518 00	$12	0 27%	0 3%	0 4%
50	BANK OF AMERICA CORP		12/08/09	$15 50	$15 06	$775 19	$753 00	$2	0 27%	0 1%	0 1%
455						$7,374 42	$6,852 30	$18	0 27%	0 5%	0 6%
135	BB&T CORP	BBT	09/14/09	$26 86	$25 37	$3,625 45	$3,424 95	$81	2 36%	0 3%	0 3%
165	US BANCORP	USB	05/15/09	$17 65	$22 51	$2,912 96	$3,714 15	$33	0 89%	0 3%	0 3%
75	US BANCORP		05/21/09	$18 00	$22 51	$1,349 78	$1,688 25	$15	0 89%	0 1%	0 1%
240						$4,262 74	$5,402 40	$48	0 89%	0 4%	0 4%
135	WELLS FARGO & COMPANY	WFC	11/24/09	$27 70	$26 99	$3,738 97	$3,643 65	$27	0 74%	0 3%	0 3%
65	WELLS FARGO & COMPANY		12/01/09	$28 03	$26 99	$1,821 90	$1,754 35	$13	0 74%	0 1%	0 1%
175	WELLS FARGO & COMPANY		12/17/09	$25 99	$26 99	$4,547 83	$4,723 25	$35	0 74%	0 3%	0 4%
375						$10,108 70	$10,121 25	$75	0 74%	0 7%	0 8%
	Total					$25,371 31	$25,800 90	$222	0 86%	1 9%	2 1%
TOTAL FINANCIAL						$140,487 65	$146,837 57	$1,259	0 86%	10 8%	12 0%
UTILITIES											
TELEPHONE											
50	AT&T INC	T	10/30/07	$41 49	$28 03	$2,074 41	$1,401 50	$84	5 99%	0 1%	0 1%
75	AT&T INC		11/07/07	$39 34	$28 03	$2,950 39	$2,102 25	$126	5 99%	0 2%	0 2%
125	AT&T INC		01/10/08	$38 92	$28 03	$4,864 53	$3,503 75	$210	5 99%	0 3%	0 3%
50	AT&T INC		04/23/09	$25 79	$28 03	$1,289 62	$1,401 50	$84	5 99%	0 1%	0 1%
75	AT&T INC		05/26/09	$23 87	$28 03	$1,790 58	$2,102 25	$126	5 99%	0 2%	0 2%
50	AT&T INC		06/15/09	$24 54	$28 03	$1,227 17	$1,401 50	$84	5 99%	0 1%	0 1%
50	AT&T INC		06/23/09	$24 62	$28 03	$1,230 92	$1,401 50	$84	5 99%	0 1%	0 1%
50	AT&T INC		07/21/09	$24 52	$28 03	$1,225 88	$1,401 50	$84	5 99%	0 1%	0 1%
50	AT&T INC		09/17/09	$26 40	$28 03	$1,319 78	$1,401 50	$84	5 99%	0 1%	0 1%
575						$17,973 28	$16,117 25	$966	5 99%	1 2%	1 3%
25	SPRINT NEXTEL CORP	S	04/01/05	$20 57	$3 66	$514 22	$91 50	$0	0 00%	0 0%	0 0%
200	SPRINT NEXTEL CORP		01/02/08	$13 04	$3 66	$2,608 36	$732 00	$0	0 00%	0 1%	0 1%
500	SPRINT NEXTEL CORP		04/09/08	$6 57	$3 66	$3,285 50	$1,830 00	$0	0 00%	0 1%	0 1%

Reporting Currency: US dollars

Quantity		Ticker/Cusip	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asset Class
200	SPRINT NEXTEL CORP		09/15/08	$6 81	$3 66	$1,361 50	$732 00	$0	0 00%	0 1%	0 1%
375	SPRINT NEXTEL CORP		07/31/09	$4 03	$3 66	$1,509 49	$1,372 50	$0	0 00%	0 1%	0 1%
400	SPRINT NEXTEL CORP		08/05/09	$4 02	$3 66	$1,606 40	$1,464 00	$0	0 00%	0 1%	0 1%
200	SPRINT NEXTEL CORP		08/17/09	$3 81	$3 66	$761 56	$732 00	$0	0 00%	0 1%	0 1%
1,900						$11,647 03	$6,954 00	$0	0 00%	0 5%	0 6%
135	VODAFONE GROUP PLC-SP ADR	VOD	05/05/09	$19 21	$23 09	$2,593 98	$3,117 15	$121	3 88%	0 2%	0 3%
35	VODAFONE GROUP PLC-SP ADR		10/09/09	$21 21	$23 09	$742 42	$808 15	$31	3 88%	0 1%	0 1%
170						$3,336 40	$3,925 30	$152	3 88%	0 3%	0 3%
	Total					$32,956 71	$26,996 55	$1,118	4 14%	2 0%	2 2%
ELECTRIC COMPANIES											
325	RRI ENERGY INC	RRI	05/18/09	$4 68	$5 72	$1,521 42	$1,859 00	$0	0 00%	0 1%	0 2%
TOTAL UTILITIES						$34,478 13	$28,855 55	$1,118	3 88%	2.1%	2 4%
CONSUMER GROWTH											
ENTERTAINMENT											
50	CBS CORP-CLASS B	CBS	05/09/06	$26 71	$14 05	$1,335 29	$702 50	$10	1 42%	0 1%	0 1%
100	CBS CORP-CLASS B		01/08/09	$8 59	$14 05	$859 16	$1,405 00	$20	1 42%	0 1%	0 1%
125	CBS CORP-CLASS B		02/27/09	$4 50	$14 05	$562 60	$1,756 25	$25	1 42%	0 1%	0 1%
275						$2,757 05	$3,863 75	$55	1 42%	0 3%	0 3%
80	THE WALT DISNEY CO	DIS	02/17/09	$17 87	$32 25	$1,429 89	$2,580 00	$28	1 09%	0 2%	0 2%
67	TIME WARNER INC	TWX	04/01/05	$35 61	$29 14	$2,385 66	$1,952 38	$50	2 57%	0 1%	0 2%
108	TIME WARNER INC		10/02/08	$26 77	$29 14	$2,891 14	$3,147 12	$81	2 57%	0 2%	0 3%
33	TIME WARNER INC		11/24/08	$16 35	$29 14	$539 49	$961 62	$25	2 57%	0 1%	0 1%
33	TIME WARNER INC		12/01/08	$18 07	$29 14	$596 39	$961 62	$25	2 57%	0 1%	0 1%
33	TIME WARNER INC		02/13/09	$18 15	$29 14	$598 95	$961 62	$25	2 57%	0 1%	0 1%
75	TIME WARNER INC		04/23/09	$20 40	$29 14	$1,530 35	$2,185 50	$56	2 57%	0 2%	0 2%
349						$8,541 98	$10,169 86	$262	2 57%	0 7%	0 8%
115	VIACOM INC-CLASS B	VIA/B	01/08/09	$19 19	$29 73	$2,206 62	$3,418 95	$0	0 00%	0 3%	0 3%
	Total					$14,935 54	$20,032 56	$345	1 72%	1 5%	1 6%
RADIO - TV BROADCASTING											

Quantity		Ticker/Cusip	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asset Class
110	COMCAST CORP-CL A	CMCSA	12/14/09	$17 53	$16 86	$1,928 38	$1,854 60	$42	2 24%	0 1%	0 2%
24	TIME WARNER CABLE	TWC	04/01/05	$52 38	$41 39	$1,257 18	$993 36	$0	0 00%	0 1%	0 1%
27	TIME WARNER CABLE		10/02/08	$40 65	$41 39	$1,097 61	$1,117 53	$0	0 00%	0 1%	0 1%
8	TIME WARNER CABLE		11/24/08	$25 60	$41 39	$204 81	$331 12	$0	0 00%	0 0%	0 0%
8	TIME WARNER CABLE		12/01/08	$28 30	$41 39	$226 41	$331 12	$0	0 00%	0 0%	0 0%
8	TIME WARNER CABLE		02/13/09	$28 42	$41 39	$227 39	$331 12	$0	0 00%	0 0%	0 0%
30	TIME WARNER CABLE		04/07/09	$26 14	$41 39	$784 22	$1,241 70	$0	0 00%	0 1%	0 1%
45	TIME WARNER CABLE		04/20/09	$28 06	$41 39	$1,262 60	$1,862 55	$0	0 00%	0 1%	0 2%
5	TIME WARNER CABLE		05/05/09	$33 88	$41 39	$169 40	$206 95	$0	0 00%	0 0%	0 0%
70	TIME WARNER CABLE		12/11/09	$42 48	$41 39	$2,973 69	$2,897 30	$0	0 00%	0 2%	0 2%
225						$8,203 31	$9,312 75	$0	0 00%	0 7%	0 8%
	Total					$10,131 69	$11,167 35	$42	0 37%	0 8%	0 9%
PUBLISHING - NEWSPAPERS											
290	NEWS CORP	NWSA	12/11/08	$8 29	$13 69	$2,403 52	$3,970 10	$35	0 88%	0 3%	0 3%
225	NEWS CORP		01/15/09	$7 91	$13 69	$1,779 73	$3,080 25	$27	0 88%	0 2%	0 3%
200	NEWS CORP		01/30/09	$6 52	$13 69	$1,304 32	$2,738 00	$24	0 88%	0 2%	0 2%
100	NEWS CORP		04/23/09	$7 51	$13 69	$750 68	$1,369 00	$12	0 88%	0 1%	0 1%
10	NEWS CORP		08/31/09	$10 69	$13 69	$106 90	$136 90	$1	0 88%	0 0%	0 0%
825						$6,345 15	$11,294 25	$99	0 88%	0 8%	0 9%
PUBLISHING											
12	GOOGLE INC-CL A	GOOG	04/01/05	$181 67	$619 98	$2,180 01	$7,439 76	$0	0 00%	0 5%	0 6%
6	GOOGLE INC-CL A		07/03/06	$421 58	$619 98	$2,529 50	$3,719 88	$0	0 00%	0 3%	0 3%
5	GOOGLE INC-CL A		11/29/06	$490 38	$619 98	$2,451 88	$3,099 90	$0	0 00%	0 2%	0 3%
5	GOOGLE INC-CL A		02/14/07	$465 66	$619 98	$2,328 29	$3,099 90	$0	0 00%	0 2%	0 3%
5	GOOGLE INC-CL A		06/19/07	$513 74	$619 98	$2,568 69	$3,099 90	$0	0 00%	0 2%	0 3%
5	GOOGLE INC-CL A		03/24/08	$437 81	$619 98	$2,189 05	$3,099 90	$0	0 00%	0 2%	0 3%
5	GOOGLE INC-CL A		08/04/08	$468 68	$619 98	$2,343 39	$3,099 90	$0	0 00%	0 2%	0 3%
43						$16,590 81	$26,659 14	$0	0 00%	2 0%	2 2%
DRUGS											
60	CELGENE CORP	CELG	01/02/08	$46 75	$55 68	$2,805 27	$3,340 80	$0	0 00%	0 2%	0 3%

Reporting Currency: US dollars

Quantity		Ticker/Cusip	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asset Class
20	CELGENE CORP		03/04/08	$56 32	$55 68	$1,126 36	$1,113 60	$0	0 00%	0 1%	0 1%
80						$3,931 63	$4,454 40	$0	0 00%	0 3%	0 4%
55	GILEAD SCIENCES INC	GILD	07/27/05	$22 45	$43 28	$1,234 53	$2,380 40	$0	0 00%	0 2%	0 2%
50	GILEAD SCIENCES INC		10/10/05	$23 89	$43 28	$1,194 25	$2,164 00	$0	0 00%	0 2%	0 2%
50	GILEAD SCIENCES INC		09/06/06	$31 10	$43 28	$1,554 80	$2,164 00	$0	0 00%	0 2%	0 2%
60	GILEAD SCIENCES INC		01/04/07	$32 09	$43 28	$1,925 36	$2,596 80	$0	0 00%	0 2%	0 2%
50	GILEAD SCIENCES INC		01/02/08	$45 43	$43 28	$2,271 65	$2,164 00	$0	0 00%	0 2%	0 2%
35	GILEAD SCIENCES INC		03/19/09	$44 78	$43 28	$1,567 33	$1,514 80	$0	0 00%	0 1%	0 1%
300						$9,747 92	$12,984 00	$0	0 00%	1 0%	1 1%
59	MERCK & CO INC	MRK	04/28/08	$18 47	$36 54	$1,089 57	$2,155 86	$90	4 16%	0 2%	0 2%
50	MERCK & CO INC		06/05/08	$38 60	$36 54	$1,930 02	$1,827 00	$76	4 16%	0 1%	0 1%
125	MERCK & CO INC		06/23/08	$35 77	$36 54	$4,470 93	$4,567 50	$190	4 16%	0 3%	0 4%
50	MERCK & CO INC		07/03/08	$38 41	$36 54	$1,920 47	$1,827 00	$76	4 16%	0 1%	0 1%
50	MERCK & CO INC		07/24/08	$32 57	$36 54	$1,628 66	$1,827 00	$76	4 16%	0 1%	0 1%
28	MERCK & CO INC		03/20/09	$24 32	$36 54	$681 02	$1,023 12	$43	4 16%	0 1%	0 1%
28	MERCK & CO INC		05/15/09	$24 12	$36 54	$675 29	$1,023 12	$43	4 16%	0 1%	0 1%
390						$12,395 96	$14,250 60	$593	4 16%	1 0%	1 2%
270	PFIZER INC	PFE	05/09/06	$25 57	$18 19	$6,903 69	$4,911 30	$194	3 96%	0 4%	0 4%
150	PFIZER INC		06/13/06	$23 24	$18 19	$3,486 45	$2,728 50	$108	3 96%	0 2%	0 2%
225	PFIZER INC		05/04/07	$27 08	$18 19	$6,093 77	$4,092 75	$162	3 96%	0 3%	0 3%
50	PFIZER INC		05/21/07	$27 46	$18 19	$1,372 85	$909 50	$36	3 96%	0 1%	0 1%
100	PFIZER INC		07/13/07	$25 97	$18 19	$2,597 10	$1,819 00	$72	3 96%	0 1%	0 1%
130	PFIZER INC		07/08/09	$14 74	$18 19	$1,915 73	$2,364 70	$94	3 96%	0 2%	0 2%
925						$22,369 59	$16,825 75	$666	3 96%	1 2%	1 4%
25	TEVA PHARMACEUTICAL-SP ADR	TEVA	01/29/08	$44 57	$56 18	$1,114 33	$1,404 50	$16	1 12%	0 1%	0 1%
50	TEVA PHARMACEUTICAL-SP ADR		03/05/08	$49 31	$56 18	$2,465 58	$2,809 00	$31	1 12%	0 2%	0 2%
50	TEVA PHARMACEUTICAL-SP ADR		08/06/08	$46 77	$56 18	$2,338 66	$2,809 00	$31	1 12%	0 2%	0 2%
50	TEVA PHARMACEUTICAL-SP ADR		08/12/08	$46 54	$56 18	$2,327 03	$2,809 00	$31	1 12%	0 2%	0 2%
175						$8,245 60	$9,831 50	$110	1 12%	0 7%	0 8%

Reporting Currency: US dollars

Quantity	Ticker/Cusip	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asset Class
50 VERTEX PHARMACEUTICALS INC	VRTX	11/24/09	$38 98	$42 85	$1,948 84	$2,142 50	$0	0 00%	0 2%	0 2%
Total					$58,639 54	$60,488 75	$1,369	2 26%	4 4%	4 9%
HOSPITAL SUPPLIES										
25 BAXTER INTERNATIONAL INC	BAX	10/15/08	$60 51	$58 68	$1,512 74	$1,467 00	$29	1 98%	0 1%	0 1%
20 BAXTER INTERNATIONAL INC		03/31/09	$51 06	$58 68	$1,021 24	$1,173 60	$23	1 98%	0 1%	0 1%
45					$2,533 98	$2,640 60	$52	1 98%	0 2%	0 2%
35 MEDCO HEALTH SOLUTIONS INC	MHS	04/01/08	$44 57	$63 91	$1,560 12	$2,236 85	$0	0 00%	0 2%	0 2%
Total					$4,094 10	$4,877 45	$52	1 07%	0 4%	0 4%
MEDICAL PRODUCTS										
16 ALCON INC	ACL	04/01/05	$88 87	$164 35	$1,421 95	$2,629 60	$58	2 22%	0 2%	0 2%
35 ALCON INC		07/21/09	$122 95	$164 35	$4,303 24	$5,752 25	$128	2 22%	0 4%	0 5%
16 ALCON INC		08/04/09	$131 32	$164 35	$2,101 18	$2,629 60	$58	2 22%	0 2%	0 2%
8 ALCON INC		09/23/09	$143 53	$164 35	$1,148 22	$1,314 80	$29	2 22%	0 1%	0 1%
10 ALCON INC		09/25/09	$140 94	$164 35	$1,409 36	$1,643 50	$36	2 22%	0 1%	0 1%
10 ALCON INC		10/22/09	$146 69	$164 35	$1,466 89	$1,643 50	$36	2 22%	0 1%	0 1%
10 ALCON INC		11/02/09	$142 46	$164 35	$1,424 55	$1,643 50	$36	2 22%	0 1%	0 1%
15 ALCON INC		12/11/09	$163 46	$164 35	$2,451 90	$2,465 25	$55	2 22%	0 2%	0 2%
120					$15,727 29	$19,722 00	$437	2 22%	1 4%	1 6%
45 COVIDIEN PLC	COV	12/11/09	$47 21	$47 89	$2,124 35	$2,155 05	$32	1 50%	0 2%	0 2%
Total					$17,851 64	$21,877 05	$470	2 15%	1 6%	1 8%
TOTAL CONSUMER GROWTH					$128,588 47	$156,396 55	$2,376	1 52%	11 5%	12 8%
CONSUMER STAPLES										
BEVERAGES & TOBACCO										
40 ANHEUSER-BUSH INBEV SPN ADR	BUD	11/10/09	$48 19	$52 03	$1,927 60	$2,081 20	$0	0 00%	0 2%	0 2%
TOBACCO										
183 ALTRIA GROUP INC	MO	07/09/09	$16 35	$19 63	$2,992 56	$3,592 29	$249	6 93%	0 3%	0 3%
7 ALTRIA GROUP INC		07/14/09	$16 62	$19 63	$116 35	$137 41	$10	6 93%	0 0%	0 0%
190					$3,108 91	$3,729 70	$258	6 93%	0 3%	0 3%
FOODS										
28 BUNGE LTD	BG	11/07/08	$43 36	$63 83	$1,214 18	$1,787 24	$24	1 32%	0 1%	0 1%

Reporting Currency: US dollars

Quantity	Ticker/Cusip	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asset Class
20 BUNGE LTD		12/05/08	$34 54	$63 83	$690 74	$1,276 60	$17	1 32%	0 1%	0 1%
27 BUNGE LTD		12/11/09	$62 39	$63 83	$1,684 63	$1,723 41	$23	1 32%	0 1%	0 1%
75					$3,589 55	$4,787 25	$63	1 32%	0 4%	0 4%
100 DEAN FOODS CO	DF	10/06/09	$18 82	$18 04	$1,881 58	$1,804 00	$0	0 00%	0 1%	0 1%
25 GENERAL MILLS INC	GIS	11/07/08	$65 09	$70 81	$1,627 26	$1,770 25	$49	2 77%	0 1%	0 1%
125 SMITHFIELD FOODS INC	SFD	06/19/09	$12 80	$15 19	$1,600 05	$1,898 75	$0	0 00%	0 1%	0 2%
Total					$8,698 44	$10,260 25	$112	1 09%	0 8%	0 8%
SUGAR REFINERS										
100 ARCHER-DANIELS-MIDLAND CO	ADM	01/06/09	$28 73	$31 31	$2,873 05	$3,131 00	$56	1 79%	0 2%	0 3%
50 ARCHER-DANIELS-MIDLAND CO		01/20/09	$25 63	$31 31	$1,281 43	$1,565 50	$28	1 79%	0 1%	0 1%
50 ARCHER-DANIELS-MIDLAND CO		02/04/09	$25 44	$31 31	$1,272 10	$1,565 50	$28	1 79%	0 1%	0 1%
200					$5,426 58	$6,262 00	$112	1 79%	0 5%	0 5%
BEVERAGES - SOFT, LITE & HARD										
125 CONSTELLATION BRANDS INC-A	STZ	08/13/09	$14 02	$15 93	$1,752 84	$1,991 25	$0	0 00%	0 1%	0 2%
125 CONSTELLATION BRANDS INC-A		12/11/09	$15 95	$15 93	$1,994 34	$1,991 25	$0	0 00%	0 1%	0 2%
250					$3,747 18	$3,982 50	$0	0 00%	0 3%	0 3%
25 PEPSICO INC	PEP	05/01/07	$66 25	$60 80	$1,656 36	$1,520 00	$45	2 96%	0 1%	0 1%
30 PEPSICO INC		12/20/07	$76 41	$60 80	$2,292 26	$1,824 00	$54	2 96%	0 1%	0 1%
15 PEPSICO INC		11/13/08	$53 86	$60 80	$807 91	$912 00	$27	2 96%	0 1%	0 1%
15 PEPSICO INC		12/02/08	$54 03	$60 80	$810 49	$912 00	$27	2 96%	0 1%	0 1%
20 PEPSICO INC		03/31/09	$52 10	$60 80	$1,042 01	$1,216 00	$36	2 96%	0 1%	0 1%
20 PEPSICO INC		08/13/09	$56 58	$60 80	$1,131 64	$1,216 00	$36	2 96%	0 1%	0 1%
125					$7,740 67	$7,600 00	$225	2 96%	0 6%	0 6%
Total					$11,487 85	$11,582 50	$225	1 94%	0 9%	0 9%
TOTAL CONSUMER STAPLES					$30,649 38	$33,915 65	$707	2 09%	2 5%	2 8%
CONSUMER CYCLICALS										
AUTOS & AUTO PARTS OEMS										
275 FORD MOTOR CO	F	11/13/09	$8 36	$10 00	$2,298 89	$2,750 00	$0	0 00%	0 2%	0 2%
175 FORD MOTOR CO		11/17/09	$8 91	$10 00	$1,559 85	$1,750 00	$0	0 00%	0 1%	0 1%

Reporting Currency: US dollars

Quantity	Ticker/Cusip	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asset Class
450					$3,858 74	$4,500 00	$0	0 00%	0 3%	0 4%
40 JOHNSON CONTROLS INC	JCI	08/11/09	$25 80	$27 24	$1,031 87	$1,089 60	$21	1 91%	0 1%	0 1%
35 JOHNSON CONTROLS INC		09/11/09	$27 14	$27 24	$950 06	$953 40	$18	1 91%	0 1%	0 1%
30 JOHNSON CONTROLS INC		11/12/09	$26 79	$27 24	$803 72	$817 20	$16	1 91%	0 1%	0 1%
105					$2,785 65	$2,860 20	$55	1 91%	0 2%	0 2%
Total					$6,644 39	$7,360 20	$55	0 74%	0 5%	0 6%
RETAILERS										
45 COSTCO WHOLESALE CORP	COST	01/29/08	$66 36	$59 17	$2,986 25	$2,662 65	$32	1 22%	0 2%	0 2%
5 COSTCO WHOLESALE CORP		05/15/08	$74 18	$59 17	$370 92	$295 85	$4	1.22%	0 0%	0 0%
20 COSTCO WHOLESALE CORP		06/16/08	$69 84	$59 17	$1,396 81	$1,183 40	$14	1 22%	0 1%	0 1%
15 COSTCO WHOLESALE CORP		01/26/09	$47 60	$59 17	$714 06	$887 55	$11	1 22%	0 1%	0 1%
45 COSTCO WHOLESALE CORP		01/29/09	$46 94	$59 17	$2,112 28	$2,662 65	$32	1 22%	0 2%	0 2%
25 COSTCO WHOLESALE CORP		03/31/09	$45 76	$59 17	$1,143 92	$1,479 25	$18	1 22%	0 1%	0 1%
155					$8,724 24	$9,171 35	$112	1 22%	0 7%	0 8%
80 HOME DEPOT INC	HD	10/22/08	$19 54	$28 93	$1,563 33	$2,314 40	$72	3 11%	0 2%	0 2%
35 HOME DEPOT INC		02/26/09	$20 69	$28 93	$724 07	$1,012 55	$32	3 11%	0 1%	0 1%
115					$2,287 40	$3,326 95	$104	3 11%	0 2%	0 3%
80 J C PENNEY CO INC	JCP	10/28/08	$19 24	$26 61	$1,538 88	$2,128 80	$64	3 01%	0 2%	0 2%
60 J C PENNEY CO INC		12/11/09	$28 47	$26 61	$1,708 08	$1,596 60	$48	3 01%	0 1%	0 1%
140					$3,246 96	$3,725 40	$112	3 01%	0 3%	0 3%
10 KOHLS CORP	KSS	08/29/06	$61 07	$53 93	$610 74	$539 30	$0	0 00%	0 0%	0 0%
20 KOHLS CORP		11/02/06	$70 10	$53 93	$1,402 08	$1,078 60	$0	0 00%	0 1%	0 1%
30 KOHLS CORP		01/22/07	$68 21	$53 93	$2,046 20	$1,617 90	$0	0 00%	0 1%	0 1%
70 KOHLS CORP		01/26/09	$38 90	$53 93	$2,722 90	$3,775 10	$0	0 00%	0 3%	0 3%
20 KOHLS CORP		03/31/09	$41 29	$53 93	$825 89	$1,078 60	$0	0 00%	0 1%	0 1%
20 KOHLS CORP		05/14/09	$42 17	$53 93	$843 44	$1,078 60	$0	0 00%	0 1%	0 1%
170					$8,451 25	$9,168 10	$0	0 00%	0 7%	0 8%
250 LIMITED BRANDS INC	LTD	12/18/08	$9 49	$19 24	$2,372 73	$4,810 00	$150	3 12%	0 4%	0 4%
80 LOWE'S COS INC	LOW	10/22/08	$18 40	$23 39	$1,471 73	$1,871 20	$29	1 54%	0 1%	0 2%

Reporting Currency: US dollars

Quantity	Ticker/Cusip	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asset Class
40 LOWE'S COS INC		02/24/09	$15 58	$23 39	$623 31	$935 60	$14	1 54%	0 1%	0 1%
20 LOWE'S COS INC		04/21/09	$19 78	$23 39	$395 64	$467 80	$7	1 54%	0 0%	0 0%
20 LOWE'S COS INC		06/19/09	$18 92	$23 39	$378 30	$467 80	$7	1 54%	0 0%	0 0%
19 LOWE'S COS INC		06/19/09	$18 91	$23 39	$359 38	$444 41	$7	1 54%	0 0%	0 0%
81 LOWE'S COS INC		07/09/09	$18 88	$23 39	$1,529 48	$1,894 59	$29	1 54%	0 1%	0 2%
260					$4,757 84	$6,081 40	$94	1 54%	0 4%	0 5%
45 MACY'S INC	M	08/06/07	$33 51	$16 76	$1,508 12	$754 20	$9	1 19%	0 1%	0 1%
75 MACY'S INC		07/09/08	$18 81	$16 76	$1,410 70	$1,257 00	$15	1 19%	0 1%	0 1%
140 MACY'S INC		06/18/09	$10 92	$16 76	$1,529 29	$2,346 40	$28	1 19%	0 2%	0 2%
260					$4,448 11	$4,357 60	$52	1 19%	0 3%	0 4%
575 OFFICE DEPOT INC	ODP	12/11/09	$6 75	$6 45	$3,882 98	$3,708 75	$0	0 00%	0 3%	0 3%
160 SUPERVALU INC	SVU	10/21/09	$16 82	$12 71	$2,690 42	$2,033 60	$111	5 47%	0 1%	0 2%
65 SUPERVALU INC		12/08/09	$13 43	$12 71	$873 01	$826 15	$45	5 47%	0 1%	0 1%
75 SUPERVALU INC		12/11/09	$13 46	$12 71	$1,009 45	$953 25	$52	5 47%	0 1%	0 1%
300					$4,572 88	$3,813 00	$209	5 47%	0 3%	0 3%
40 TARGET CORP	TGT	04/15/09	$38 65	$48 37	$1,545 91	$1,934 80	$27	1 41%	0 1%	0 2%
45 TARGET CORP		05/14/09	$41 14	$48 37	$1,851 49	$2,176 65	$31	1 41%	0 2%	0 2%
30 TARGET CORP		09/23/09	$48 23	$48 37	$1,446 83	$1,451 10	$20	1 41%	0 1%	0 1%
45 TARGET CORP		11/02/09	$48 66	$48 37	$2,189 73	$2,176 65	$31	1 41%	0 2%	0 2%
20 TARGET CORP		11/11/09	$50 19	$48 37	$1,003 83	$967 40	$14	1 41%	0 1%	0 1%
180					$8,037 79	$8,706 60	$122	1 41%	0 6%	0 7%
45 WAL-MART STORES INC	WMT	10/15/08	$52 30	$53 45	$2,353 39	$2,405 25	$49	2 04%	0 2%	0 2%
Total					$53,135 57	$59,274 40	$1,003	1 69%	4 4%	4 8%
TOTAL CONSUMER CYCLICALS					$59,779 96	$66,634 60	$1,057	1 59%	4 9%	5 5%
INDUSTRIAL RESOURCES										
MISC METALS										
50 FREEPORT-MCMORAN COPPER	FCX	05/06/09	$52 29	$80 29	$2,614 43	$4,014 50	$30	0 75%	0 3%	0 3%
40 FREEPORT-MCMORAN COPPER		07/08/09	$45 72	$80 29	$1,828 78	$3,211 60	$24	0 75%	0 2%	0 3%
90					$4,443 21	$7,226 10	$54	0 75%	0 5%	0 6%

Reporting Currency: US dollars

Quantity		Ticker/Cusip	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asset Class
STEEL											
130	AK STEEL HOLDING CORP	AKS	08/26/09	$20 54	$21 35	$2,670 10	$2,775 50	$26	0 94%	0 2%	0 2%
60	ARCELORMITTAL-NY REGISTERED	MT	06/02/09	$36 36	$45 75	$2,181 75	$2,745 00	$45	1 64%	0 2%	0 2%
45	ARCELORMITTAL-NY REGISTERED		08/05/09	$37 86	$45 75	$1,703 53	$2,058 75	$34	1 64%	0 2%	0 2%
105						$3,885 28	$4,803 75	$79	1 64%	0 4%	0 4%
120	STEEL DYNAMICS INC	STLD	11/17/09	$15 87	$17 72	$1,904 40	$2,126 40	$36	1 69%	0 2%	0 2%
	Total					$8,459 78	$9,705 65	$141	1 45%	0 7%	0 8%
CHEMICALS											
5	AIR PRODUCTS & CHEMICALS INC	APD	06/19/07	$81 58	$81 06	$407 90	$405 30	$9	2 22%	0 0%	0 0%
15	AIR PRODUCTS & CHEMICALS INC		10/12/07	$97 52	$81 06	$1,462 83	$1,215 90	$27	2 22%	0 1%	0 1%
15	AIR PRODUCTS & CHEMICALS INC		10/29/07	$98 58	$81 06	$1,478 64	$1,215 90	$27	2 22%	0 1%	0 1%
15	AIR PRODUCTS & CHEMICALS INC		11/20/09	$81 69	$81 06	$1,225 39	$1,215 90	$27	2 22%	0 1%	0 1%
25	AIR PRODUCTS & CHEMICALS INC		11/30/09	$82 48	$81 06	$2,061 91	$2,026 50	$45	2 22%	0 1%	0 2%
30	AIR PRODUCTS & CHEMICALS INC		12/11/09	$82 10	$81 06	$2,463 06	$2,431 80	$54	2 22%	0 2%	0 2%
105						$9,099 73	$8,511 30	$189	2 22%	0 6%	0 7%
50	DU PONT (E I) DE NEMOURS	DD	04/20/09	$27 05	$33 67	$1,352 73	$1,683 50	$82	4 87%	0 1%	0 1%
30	DU PONT (E I) DE NEMOURS		05/04/09	$29 22	$33 67	$876 51	$1,010 10	$49	4 87%	0 1%	0 1%
30	DU PONT (E I) DE NEMOURS		05/15/09	$26 99	$33 67	$809 82	$1,010 10	$49	4 87%	0 1%	0 1%
55	DU PONT (E I) DE NEMOURS		06/01/09	$29 84	$33 67	$1,641 13	$1,851 85	$90	4 87%	0 1%	0 2%
85	DU PONT (E I) DE NEMOURS		06/22/09	$24 31	$33 67	$2,066 41	$2,861 95	$139	4 87%	0 2%	0 2%
50	DU PONT (E I) DE NEMOURS		06/25/09	$25 13	$33 67	$1,256 64	$1,683 50	$82	4 87%	0 1%	0 1%
300						$8,003 24	$10,101 00	$492	4 87%	0 7%	0 8%
185	HUNTSMAN CORP	HUN	12/11/09	$10 57	$11 29	$1,955 75	$2,088 65	$74	3 54%	0 2%	0 2%
	Total					$19,058 72	$20,700 95	$755	3 65%	1 5%	1 7%
MISC INDUSTRIAL COMMODITIES											
65	VALE SA-SP ADR	VALE	11/25/09	$29 54	$29 03	$1,920 26	$1,886 95	$34	1 79%	0 1%	0 2%
TOTAL INDUSTRIAL RESOURCES						$33,881 97	$39,519 65	$983	2 49%	2 9%	3 2%
55	COOPER INDUSTRIES PLC-CL A	CBE	03/30/09	$26 36	$42 64	$1,449 63	$2,345 20	$55	2 35%	0 2%	0 2%
70	COOPER INDUSTRIES PLC-CL A		10/09/09	$39 14	$42 64	$2,739 94	$2,984 80	$70	2 35%	0 2%	0 2%
125						$4,189 57	$5,330 00	$125	2 35%	0 4%	0 4%

Reporting Currency: US dollars

Quantity	Ticker/Cusip	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asset Class
225 GENERAL ELECTRIC CO	GE	10/16/09	$16 16	$15 13	$3,635 01	$3,404 25	$90	2 64%	0 2%	0 3%
125 GENERAL ELECTRIC CO		11/17/09	$15 96	$15 13	$1,994 88	$1,891 25	$50	2 64%	0 1%	0 2%
75 GENERAL ELECTRIC CO		12/11/09	$15 98	$15 13	$1,198 37	$1,134 75	$30	2 64%	0 1%	0 1%
75 GENERAL ELECTRIC CO		12/17/09	$15 83	$15 13	$1,187 15	$1,134 75	$30	2 64%	0 1%	0 1%
500					$8,015 41	$7,565 00	$200	2 64%	0 6%	0 6%
MISC CAPITAL GOODS										
25 DANAHER CORP	DHR	02/27/09	$50 77	$75 20	$1,269 23	$1,880 00	$4	0 21%	0 1%	0 2%
15 DANAHER CORP		05/26/09	$61 10	$75 20	$916 51	$1,128 00	$2	0 21%	0 1%	0 1%
15 DANAHER CORP		07/08/09	$57 93	$75 20	$868 98	$1,128 00	$2	0 21%	0 1%	0 1%
55 DANAHER CORP		09/17/09	$68 39	$75 20	$3,761 32	$4,136 00	$9	0 21%	0 3%	0 3%
110					$6,816 04	$8,272 00	$18	0 21%	0 6%	0 7%
50 ILLINOIS TOOL WORKS	ITW	06/02/09	$36 06	$47 99	$1,803 04	$2,399 50	$62	2 58%	0 2%	0 2%
25 ILLINOIS TOOL WORKS		08/11/09	$39 85	$47 99	$996 14	$1,199 75	$31	2 58%	0 1%	0 1%
40 ILLINOIS TOOL WORKS		09/03/09	$41 04	$47 99	$1,641 43	$1,919 60	$50	2 58%	0 1%	0 2%
40 ILLINOIS TOOL WORKS		09/18/09	$44 59	$47 99	$1,783 54	$1,919 60	$50	2 58%	0 1%	0 2%
70 ILLINOIS TOOL WORKS		10/22/09	$48 61	$47 99	$3,402 78	$3,359 30	$87	2 58%	0 2%	0 3%
225					$9,626 93	$10,797 75	$279	2 58%	0 8%	0 9%
135 INGERSOLL-RAND PLC	IR	06/05/09	$22 84	$35 74	$3,083 62	$4,824 90	$38	0 78%	0 4%	0 4%
65 INGERSOLL-RAND PLC		07/22/09	$23 28	$35 74	$1,513 15	$2,323 10	$18	0 78%	0 2%	0 2%
55 INGERSOLL-RAND PLC		10/22/09	$34 92	$35 74	$1,920 81	$1,965 70	$15	0 78%	0 1%	0 2%
255					$6,517 58	$9,113 70	$71	0 78%	0 7%	0 7%
35 SPX CORP	SPW	06/24/09	$46 32	$54 70	$1,621 16	$1,914 50	$35	1 83%	0 1%	0 2%
95 TEXTRON INC	TXT	07/24/09	$11 03	$18 81	$1,047 66	$1,786 95	$8	0 43%	0 1%	0 1%
Total					$25,629 37	$31,884 90	$411	1 29%	2 3%	2 6%
DEFENSE										
50 NORTHROP GRUMMAN CORP	NOC	03/24/09	$41 87	$55 85	$2,093 47	$2,792 50	$86	3 08%	0 2%	0 2%
30 NORTHROP GRUMMAN CORP		08/19/09	$47 68	$55 85	$1,430 31	$1,675 50	$52	3 08%	0 1%	0 1%
25 NORTHROP GRUMMAN CORP		08/24/09	$49 60	$55 85	$1,240 09	$1,396 25	$43	3 08%	0 1%	0 1%
105					$4,763 87	$5,864 25	$181	3 08%	0 4%	0 5%
MACHINERY AGRICULTURAL										

Quantity	Ticker/Cusip	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asset Class
33 CATERPILLAR INC	CAT	07/14/09	$31 52	$56 99	$1,040 15	$1,880 67	$55	2 95%	0 1%	0 2%
TOTAL CAPITAL EQUIPMENT					$43,638 37	$52,524 82	$972	1 85%	3 9%	4 3%
TECHNOLOGY										
COMMUNICATION - EQUIP MFRS										
65 BROADCOM CORP-CL A	BRCM	11/10/09	$28 49	$31 45	$1,851 54	$2,044 25	$0	0 00%	0 2%	0 2%
95 CISCO SYSTEMS INC	CSCO	11/08/06	$25 04	$23 94	$2,379 00	$2,274.30	$0	0 00%	0 2%	0 2%
80 CISCO SYSTEMS INC		11/21/06	$26 96	$23 94	$2,156 41	$1,915 20	$0	0 00%	0 1%	0 2%
60 CISCO SYSTEMS INC		03/31/09	$16 57	$23 94	$994 12	$1,436 40	$0	0 00%	0 1%	0 1%
45 CISCO SYSTEMS INC		09/22/09	$23 39	$23 94	$1,052 50	$1,077 30	$0	0 00%	0 1%	0 1%
280					$6,582 03	$6,703 20	$0	0 00%	0 5%	0 5%
145 CORNING INC	GLW	09/29/09	$15 17	$19 31	$2,199 10	$2,799 95	$29	1 04%	0 2%	0 2%
50 CORNING INC		10/05/09	$14 70	$19 31	$735 04	$965 50	$10	1 04%	0 1%	0 1%
195					$2,934 14	$3,765 45	$39	1 04%	0 3%	0 3%
100 NOKIA CORP-SPON ADR	NOK	11/13/08	$12 93	$12 85	$1,293 17	$1,285 00	$55	4 25%	0 1%	0 1%
125 NOKIA CORP-SPON ADR		01/22/09	$12 22	$12 85	$1,527 69	$1,606 25	$68	4 25%	0 1%	0 1%
90 NOKIA CORP-SPON ADR		02/05/09	$13 00	$12 85	$1,170 12	$1,156 50	$49	4 25%	0 1%	0 1%
100 NOKIA CORP-SPON ADR		02/23/09	$10 20	$12 85	$1,020 16	$1,285 00	$55	4 25%	0 1%	0 1%
415					$5,011 14	$5,332 75	$227	4 25%	0 4%	0 4%
20 QUALCOMM INC	QCOM	06/11/08	$46 61	$46 26	$932 17	$925 20	$14	1 47%	0 1%	0 1%
50 QUALCOMM INC		07/10/08	$47 84	$46 26	$2,392 02	$2,313 00	$34	1 47%	0 2%	0 2%
35 QUALCOMM INC		07/18/08	$45 31	$46 26	$1,585 78	$1,619 10	$24	1 47%	0 1%	0 1%
40 QUALCOMM INC		07/21/08	$45 29	$46 26	$1,811 57	$1,850 40	$27	1 47%	0 1%	0 2%
25 QUALCOMM INC		09/29/08	$43 43	$46 26	$1,085 67	$1,156 50	$17	1 47%	0 1%	0 1%
100 QUALCOMM INC		11/12/08	$34 12	$46 26	$3,411 85	$4,626 00	$68	1 47%	0 3%	0 4%
50 QUALCOMM INC		03/31/09	$39 14	$46 26	$1,956 80	$2,313 00	$34	1 47%	0 2%	0 2%
320					$13,175 86	$14,803 20	$218	1 47%	1 1%	1 2%
Total					$29,554 71	$32,648 85	$483	1 48%	2 4%	2 7%
SEMICONDUCTORS										
75 INTEL CORP	INTC	09/11/08	$19 88	$20 40	$1,490 75	$1,530 00	$42	2 75%	0 1%	0 1%

Reporting Currency: US dollars

Quantity	Ticker/Cusip	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asset Class
70 INTEL CORP		04/21/09	$15 24	$20 40	$1,066 70	$1,428 00	$39	2 75%	0 1%	0 1%
50 INTEL CORP		05/07/09	$15 79	$20 40	$789 27	$1,020 00	$28	2 75%	0 1%	0 1%
180 INTEL CORP		05/14/09	$15 46	$20 40	$2,782 98	$3,672 00	$101	2 75%	0 3%	0 3%
50 INTEL CORP		07/02/09	$16 77	$20 40	$838 48	$1,020 00	$28	2 75%	0 1%	0 1%
125 INTEL CORP		07/15/09	$17 82	$20 40	$2,227 39	$2,550 00	$70	2 75%	0 2%	0 2%
115 INTEL CORP		07/20/09	$18 66	$20 40	$2,145 65	$2,346 00	$64	2 75%	0 2%	0 2%
60 INTEL CORP		09/15/09	$19 51	$20 40	$1,170 33	$1,224 00	$34	2 75%	0 1%	0 1%
75 INTEL CORP		11/27/09	$19 11	$20 40	$1,433 59	$1,530 00	$42	2 75%	0 1%	0 1%
800					$13,945 14	$16,320 00	$448	2 75%	1 2%	1 3%
55 KLA-TENCOR CORPORATION	KLAC	09/18/09	$35 38	$36 16	$1,946 14	$1,988 80	$33	1 66%	0 1%	0 2%
25 KLA-TENCOR CORPORATION		10/05/09	$34 19	$36 16	$854 80	$904 00	$15	1 66%	0 1%	0 1%
80					$2,800 94	$2,892 80	$48	1 66%	0 2%	0 2%
50 MOTOROLA INC	MOT	09/25/08	$7 86	$7 76	$392 86	$388 00	$0	0 00%	0 0%	0 0%
400 MOTOROLA INC		12/12/08	$4 07	$7 76	$1,628 16	$3,104 00	$0	0 00%	0 2%	0 3%
450					$2,021 02	$3,492 00	$0	0 00%	0 3%	0 3%
Total					$18,767 10	$22,704 80	$496	2 18%	1 7%	1 9%
COMPUTERS										
59 APPLE INC	AAPL	05/11/05	$35 25	$210 86	$2,079 93	$12,440 74	$0	0 00%	0 9%	1 0%
44 APPLE INC		03/13/08	$124 76	$210 86	$5,489 51	$9,277 84	$0	0 00%	0 7%	0 8%
18 APPLE INC		10/21/08	$96 58	$210 86	$1,738 49	$3,795 48	$0	0 00%	0 3%	0 3%
10 APPLE INC		06/19/09	$137 94	$210 86	$1,379 43	$2,108 60	$0	0 00%	0 2%	0 2%
131					$10,687 36	$27,622 66	$0	0 00%	2 0%	2 3%
200 DELL INC	DELL	07/15/09	$12 28	$14 36	$2,456 92	$2,872 00	$0	0 00%	0 2%	0 2%
50 DELL INC		08/05/09	$13 59	$14 36	$679 55	$718 00	$0	0 00%	0 1%	0 1%
125 DELL INC		08/12/09	$13 86	$14 36	$1,732 58	$1,795 00	$0	0 00%	0 1%	0 1%
50 DELL INC		11/24/09	$14 44	$14 36	$722 05	$718 00	$0	0 00%	0 1%	0 1%
75 DELL INC		12/08/09	$13 06	$14 36	$979 22	$1,077 00	$0	0 00%	0 1%	0 1%
500					$6,570 32	$7,180 00	$0	0 00%	0 5%	0 6%
155 EMC CORP/MASS	EMC	07/31/09	$15 15	$17 47	$2,348 08	$2,707 85	$0	0 00%	0 2%	0 2%
70 EMC CORP/MASS		09/23/09	$17 27	$17 47	$1,208 81	$1,222 90	$0	0 00%	0 1%	0 1%

Reporting Currency: US dollars

Quantity	Ticker/Cusip	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asset Class
100 EMC CORP/MASS		12/11/09	$16 62	$17 47	$1,661 73	$1,747 00	$0	0 00%	0 1%	0 1%
325					$5,218 62	$5,677 75	$0	0 00%	0 4%	0 5%
35 HEWLETT-PACKARD CO	HPQ	03/15/07	$39 63	$51 51	$1,387 01	$1,802 85	$11	0 62%	0 1%	0 1%
50 HEWLETT-PACKARD CO		01/02/08	$49 54	$51 51	$2,477 04	$2,575 50	$16	0 62%	0 2%	0 2%
75 HEWLETT-PACKARD CO		04/23/08	$48 02	$51 51	$3,601 78	$3,863 25	$24	0 62%	0 3%	0 3%
125 HEWLETT-PACKARD CO		07/24/08	$43 97	$51 51	$5,495 93	$6,438 75	$40	0 62%	0 5%	0 5%
285					$12,961 76	$14,680 35	$91	0 62%	1 1%	1 2%
Total					$35,438 06	$55,160 76	$91	0 17%	4 0%	4 5%
COMPUTER SERVICES/SOFTWARE										
8 AOL INC	AOL	04/01/05	$30 49	$23 28	$243 88	$186 24	$0	0 00%	0 0%	0 0%
10 AOL INC		10/02/08	$21 29	$23 28	$212 92	$232 80	$0	0 00%	0 0%	0 0%
3 AOL INC		11/24/08	$13 24	$23 28	$39 73	$69 84	$0	0 00%	0 0%	0 0%
3 AOL INC		12/01/08	$14 64	$23 28	$43 92	$69 84	$0	0 00%	0 0%	0 0%
3 AOL INC		02/13/09	$14 70	$23 28	$44 11	$69 84	$0	0 00%	0 0%	0 0%
7 AOL INC		04/23/09	$16 10	$23 28	$112 71	$162 96	$0	0 00%	0 0%	0 0%
34					$697 27	$791 52	$0	0 00%	0 1%	0 1%
18 MICROSOFT CORP	MSFT	10/16/09	$26 44	$30 49	$475 99	$548 82	$9	1 71%	0 0%	0 0%
14 MICROSOFT CORP		10/16/09	$26 44	$30 49	$370 21	$426 86	$7	1 71%	0 0%	0 0%
4 MICROSOFT CORP		10/16/09	$26 45	$30 49	$105 78	$121 96	$2	1 71%	0 0%	0 0%
34 MICROSOFT CORP		10/16/09	$26 44	$30 49	$899 09	$1,036 66	$18	1 71%	0 1%	0 1%
65 MICROSOFT CORP		10/29/09	$28 28	$30 49	$1,838 19	$1,981 85	$34	1 71%	0 1%	0 2%
135					$3,689 26	$4,116 15	$70	1 71%	0 3%	0 3%
120 SYMANTEC CORP	SYMC	11/26/08	$11 82	$17 89	$1,418 57	$2,146 80	$0	0 00%	0 2%	0 2%
55 SYMANTEC CORP		03/17/09	$13 43	$17 89	$738 85	$983 95	$0	0 00%	0 1%	0 1%
100 SYMANTEC CORP		03/20/09	$13 99	$17 89	$1,398 88	$1,789 00	$0	0 00%	0 1%	0 1%
50 SYMANTEC CORP		11/05/09	$17 42	$17 89	$871 21	$894 50	$0	0 00%	0 1%	0 1%
325					$4,427 51	$5,814 25	$0	0 00%	0 4%	0 5%
Total					$8,814 04	$10,721 92	$70	0 65%	0 8%	0 9%
COMPUTER/INSTRUMENTATION										
60 GARMIN LTD	GRMN	11/17/09	$32 10	$30 70	$1,925 75	$1,842 00	$45	2 44%	0 1%	0 2%

Reporting Currency: US dollars

Quantity	Ticker/Cusip	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asset Class
155 TYCO ELECTRONICS LTD	TEL	04/28/09	$16 54	$24 55	$2,564 03	$3,805 25	$50	1 30%	0 3%	0 3%
70 TYCO ELECTRONICS LTD		05/12/09	$17 72	$24 55	$1,240 35	$1,718 50	$22	1 30%	0 1%	0 1%
50 TYCO ELECTRONICS LTD		05/22/09	$16 93	$24 55	$846 54	$1,227 50	$16	1 30%	0 1%	0 1%
50 TYCO ELECTRONICS LTD		09/17/09	$23 50	$24 55	$1,175 10	$1,227 50	$16	1 30%	0 1%	0 1%
50 TYCO ELECTRONICS LTD		11/18/09	$24 33	$24 55	$1,216 49	$1,227 50	$16	1 30%	0 1%	0 1%
375					$7,042 51	$9,206 25	$120	1 30%	0 7%	0 8%
70 WESTERN DIGITAL CORP	WDC	08/20/08	$28 28	$44 15	$1,979 31	$3,090 50	$0	0 00%	0 2%	0 3%
50 WESTERN DIGITAL CORP		09/04/08	$25 97	$44 15	$1,298 72	$2,207 50	$0	0 00%	0 2%	0 2%
120					$3,278 03	$5,298.00	$0	0 00%	0 4%	0 4%
Total					$12,246 29	$16,346 25	$165	1 01%	1 2%	1 3%
TOTAL TECHNOLOGY					$104,820 20	$137,582 58	$1,306	0 95%	10 1%	11 3%
AIR FREIGHT										
25 FEDEX CORP	FDX	07/31/09	$67 65	$83 45	$1,691 28	$2,086 25	$11	0 53%	0 2%	0 2%
30 UNITED PARCEL SERVICE-CL B	UPS	09/15/09	$59 26	$57 37	$1,777 68	$1,721 10	$54	3 14%	0 1%	0 1%
Total					$3,468 96	$3,807 35	$65	1 71%	0 3%	0 3%
ENERGY										
OFFSHORE DRILLING										
50 ENSCO INTL PLC SPON ADR	ESV	05/18/09	$32 65	$39 94	$1,632 31	$1,997 00	$5	0 25%	0 1%	0 2%
OIL WELL EQUIPMENT & SERVICES										
30 CAMERON INTERNATIONAL CORP	CAM	11/23/07	$46 70	$41 80	$1,401 02	$1,254 00	$0	0 00%	0 1%	0 1%
30 CAMERON INTERNATIONAL CORP		12/20/07	$46 48	$41 80	$1,394 32	$1,254 00	$0	0 00%	0 1%	0 1%
75 CAMERON INTERNATIONAL CORP		03/31/09	$22 30	$41 80	$1,672 27	$3,135 00	$0	0 00%	0 2%	0 3%
135					$4,467 61	$5,643 00	$0	0 00%	0 4%	0 5%
50 SCHLUMBERGER LTD	SLB	02/07/06	$60 71	$65 09	$3,035 25	$3,254 50	$42	1 29%	0 2%	0 3%
25 SCHLUMBERGER LTD		02/13/07	$64 43	$65 09	$1,610 69	$1,627 25	$21	1 29%	0 1%	0 1%
60 SCHLUMBERGER LTD		06/19/07	$85 70	$65 09	$5,141 86	$3,905 40	$50	1 29%	0 3%	0 3%
15 SCHLUMBERGER LTD		08/21/07	$89 65	$65 09	$1,344 68	$976 35	$13	1 29%	0 1%	0 1%
50 SCHLUMBERGER LTD		12/07/07	$97 53	$65 09	$4,876 68	$3,254 50	$42	1 29%	0 2%	0 3%
25 SCHLUMBERGER LTD		10/27/08	$46 15	$65 09	$1,153 84	$1,627 25	$21	1 29%	0 1%	0 1%
25 SCHLUMBERGER LTD		11/07/08	$49 15	$65 09	$1,228 82	$1,627 25	$21	1 29%	0 1%	0 1%

Reporting Currency: US dollars

Quantity	Ticker/Cusip	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asset Class
250					$18,391 82	$16,272 50	$210	1 29%	1 2%	1 3%
Total					$22,859 43	$21,915 50	$210	0 96%	1 6%	1 8%
OILS - INTEGRATED INTERNATIONAL										
22 CHEVRON CORP	CVX	03/23/09	$67 75	$76 99	$1,490 57	$1,693 78	$60	3 53%	0 1%	0 1%
45 CIMAREX ENERGY CO-W/I	XEC	08/06/09	$37 12	$52 97	$1,670 30	$2,383 65	$11	0 45%	0 2%	0 2%
25 CIMAREX ENERGY CO-W/I		09/15/09	$41 26	$52 97	$1,031 47	$1,324 25	$6	0 45%	0 1%	0 1%
70					$2,701 77	$3,707 90	$17	0 45%	0 3%	0 3%
50 SUNCOR ENERGY INC	SU	10/13/09	$37 39	$35 31	$1,869 73	$1,765 50	$20	1 13%	0 1%	0 1%
25 SUNCOR ENERGY INC		11/27/09	$35 91	$35 31	$897 68	$882 75	$10	1 13%	0 1%	0 1%
75					$2,767 41	$2,648 25	$30	1 13%	0 2%	0 2%
Total					$6,959 75	$8,049 93	$107	1 32%	0 6%	0 7%
OILS - INTEGRATED DOMESTIC										
10 CONOCOPHILLIPS	COP	08/27/07	$80 80	$51 07	$808 00	$510 70	$20	3 92%	0 0%	0 0%
25 CONOCOPHILLIPS		09/17/07	$84 96	$51 07	$2,123 89	$1,276 75	$50	3 92%	0 1%	0 1%
25 CONOCOPHILLIPS		10/24/07	$81 53	$51 07	$2,038 24	$1,276 75	$50	3 92%	0 1%	0 1%
25 CONOCOPHILLIPS		10/29/07	$84 81	$51 07	$2,120 19	$1,276 75	$50	3 92%	0 1%	0 1%
50 CONOCOPHILLIPS		01/23/08	$71 21	$51 07	$3,560 36	$2,553 50	$100	3 92%	0 2%	0 2%
90 CONOCOPHILLIPS		10/02/09	$46 30	$51 07	$4,167 36	$4,596 30	$180	3 92%	0 3%	0 4%
50 CONOCOPHILLIPS		11/05/09	$51 92	$51 07	$2,595 87	$2,553 50	$100	3 92%	0 2%	0 2%
50 CONOCOPHILLIPS		11/17/09	$53 48	$51 07	$2,674 01	$2,553 50	$100	3 92%	0 2%	0 2%
325					$20,087 92	$16,597 75	$650	3 92%	1 2%	1 4%
20 DEVON ENERGY CORPORATION	DVN	09/15/08	$89 72	$73 50	$1,794 44	$1,470 00	$13	0 87%	0 1%	0 1%
15 DEVON ENERGY CORPORATION		09/16/08	$90 80	$73 50	$1,362 06	$1,102 50	$10	0 87%	0 1%	0 1%
25 DEVON ENERGY CORPORATION		01/30/09	$61 78	$73 50	$1,544 57	$1,837 50	$16	0 87%	0 1%	0 2%
15 DEVON ENERGY CORPORATION		02/12/09	$51 11	$73 50	$766 71	$1,102 50	$10	0 87%	0 1%	0 1%
15 DEVON ENERGY CORPORATION		03/10/09	$40 55	$73 50	$608 21	$1,102 50	$10	0 87%	0 1%	0 1%
15 DEVON ENERGY CORPORATION		04/02/09	$48 92	$73 50	$733 87	$1,102 50	$10	0 87%	0 1%	0 1%
25 DEVON ENERGY CORPORATION		07/14/09	$52 53	$73 50	$1,313 16	$1,837 50	$16	0 87%	0 1%	0 2%
15 DEVON ENERGY CORPORATION		09/02/09	$61 87	$73 50	$928 00	$1,102 50	$10	0 87%	0 1%	0 1%
20 DEVON ENERGY CORPORATION		11/24/09	$67 28	$73 50	$1,345 63	$1,470 00	$13	0 87%	0 1%	0 1%

Reporting Currency: US dollars

Quantity		Ticker/Cusip	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asset Class
20	DEVON ENERGY CORPORATION		12/11/09	$64 05	$73 50	$1,280 90	$1,470 00	$13	0 87%	0 1%	0 1%
185						$11,677 55	$13,597 50	$118	0 87%	1 0%	1 1%
70	NEXEN INC	NXY	05/21/09	$21 10	$23 93	$1,476 83	$1,675 10	$14	0 84%	0 1%	0 1%
55	NEXEN INC		06/01/09	$25 91	$23 93	$1,425 06	$1,316 15	$11	0 84%	0 1%	0 1%
45	NEXEN INC		07/17/09	$19 75	$23 93	$888 63	$1,076 85	$9	0 84%	0 1%	0 1%
170						$3,790 52	$4,068 10	$34	0 84%	0 3%	0 3%
12	OCCIDENTAL PETROLEUM CORP	OXY	01/30/09	$54 54	$81 35	$654 51	$976 20	$16	1 62%	0 1%	0 1%
33	OCCIDENTAL PETROLEUM CORP		01/30/09	$54 54	$81 35	$1,799 94	$2,684 55	$44	1 62%	0 2%	0 2%
11	OCCIDENTAL PETROLEUM CORP		06/22/09	$61 53	$81 35	$676 78	$894 85	$15	1 62%	0 1%	0 1%
15	OCCIDENTAL PETROLEUM CORP		07/02/09	$63 32	$81 35	$949 80	$1,220 25	$20	1 62%	0 1%	0 1%
29	OCCIDENTAL PETROLEUM CORP		08/13/09	$70 50	$81 35	$2,044 57	$2,359 15	$38	1 62%	0 2%	0 2%
28	OCCIDENTAL PETROLEUM CORP		09/03/09	$72 05	$81 35	$2,017 36	$2,277 80	$37	1 62%	0 2%	0 2%
15	OCCIDENTAL PETROLEUM CORP		10/22/09	$82 89	$81 35	$1,243 42	$1,220 25	$20	1 62%	0 1%	0 1%
143						$9,386 38	$11,633 05	$189	1 62%	0 9%	1 0%
130	VALERO ENERGY CORP	VLO	07/17/09	$17 33	$16 75	$2,252 76	$2,177 50	$78	3 58%	0 2%	0 2%
145	VALERO ENERGY CORP		08/03/09	$18 46	$16 75	$2,677 12	$2,428 75	$87	3 58%	0 2%	0 2%
100	VALERO ENERGY CORP		08/25/09	$18 92	$16 75	$1,892 33	$1,675 00	$60	3 58%	0 1%	0 1%
375						$6,822 21	$6,281 25	$225	3 58%	0 5%	0 5%
	Total					$51,764 58	$52,177 65	$1,216	2 33%	3 8%	4 3%
TOTAL ENERGY						$83,216 07	$84,140 08	$1,538	1 83%	6 2%	6 9%
MUTUAL FUNDS											
		ARIIX									
7,927	ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT FD II CL I		04/01/05	$12 45	$7 90	$98,693 28	$62,624 65	$1,807	2 89%	4 6%	5 1%
782	ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT FD II CL I		12/27/05	$14 09	$7 90	$11,022 65	$6,180 19	$178	2 89%	0 5%	0 5%
753	ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT FD II CL I		12/26/06	$17 09	$7 90	$12,862 95	$5,946 01	$172	2 89%	0 4%	0 5%
3,416	ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT FD II CL I		12/21/07	$11 30	$7 90	$38,605 67	$26,989 80	$779	2 89%	2 0%	2 2%
2,459	ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT FD II CL I		01/02/08	$11 55	$7 90	$28,400 00	$19,425 10	$561	2 89%	1 4%	1 6%

Reporting Currency: US dollars

Quantity		Ticker/Cusip	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asset Class
510	ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT FD II CL I		01/12/09	$5 78	$7 90	$2,950 00	$4,032 01	$116	2 89%	0 3%	0 3%
652	ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT FD II CL I		03/26/09	$5 14	$7 90	$3,350 00	$5,148 83	$149	2 89%	0 4%	0 4%
787	ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT FD II CL I		12/28/09	$7 82	$7 90	$6,154 34	$6,217 30	$179	2 89%	0 5%	0 5%
17,287						$202,038 89	$136,563 90	$3,941	2 89%	10 0%	11 2%
							$893 77 AI				
TOTAL DOMESTIC EQUITIES						**$874,408.13**	**$898,176.92**	**$15,394**	**1.03%**	**65.9%**	**73.5%**

INTERNATIONAL

INTERNATIONAL EQUITY MUTUAL FUNDS

Quantity		Ticker/Cusip	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asset Class
		SNIVX									
11,946	BERNSTEIN TAX-MANAGED INTERNATIONAL PORTFOLIO		04/01/05	$22 44	$15 28	$268,065 38	$182,532 92	$5,053	2 77% ***	13 4%	14 9%
756	BERNSTEIN TAX-MANAGED INTERNATIONAL PORTFOLIO		12/07/05	$23 57	$15 28	$17,819 61	$11,552 12	$320	2 77% ***	0 8%	0 9%
1,378	BERNSTEIN TAX-MANAGED INTERNATIONAL PORTFOLIO		12/12/06	$25 99	$15 28	$35,820 92	$21,059 77	$583	2 77% ***	1 5%	1 7%
1,064	BERNSTEIN TAX-MANAGED INTERNATIONAL PORTFOLIO		01/02/08	$24 72	$15 28	$26,300 00	$16,256 64	$450	2 77% ***	1 2%	1 3%
988	BERNSTEIN TAX-MANAGED INTERNATIONAL PORTFOLIO		10/28/08	$12 14	$15 28	$12,000 00	$15,103 79	$418	2 77% ***	1 1%	1 2%
299	BERNSTEIN TAX-MANAGED INTERNATIONAL PORTFOLIO		12/01/08	$11 02	$15 28	$3,300 00	$4,575 69	$127	2 77% ***	0 3%	0 4%
534	BERNSTEIN TAX-MANAGED INTERNATIONAL PORTFOLIO		01/26/09	$11 05	$15 28	$5,900 00	$8,158 56	$226	2 77% ***	0 6%	0 7%
164	BERNSTEIN TAX-MANAGED INTERNATIONAL PORTFOLIO		02/12/09	$11 00	$15 28	$1,800 00	$2,500 36	$69	2 77% ***	0 2%	0 2%
213	BERNSTEIN TAX-MANAGED INTERNATIONAL PORTFOLIO		03/19/09	$10 33	$15 28	$2,200 00	$3,254 21	$90	2 77% ***	0 2%	0 3%
311	BERNSTEIN TAX-MANAGED INTERNATIONAL PORTFOLIO		04/14/09	$10 95	$15 28	$3,406 25	$4,753 18	$132	2 77% ***	0 3%	0 4%
17,654						$376,612 16	$269,747 24	$7,467	2 77%	19 8%	22 1%

EMERGING MARKETS

EMERGING MARKETS MUTUAL FUNDS

Reporting Currency: US dollars

Quantity		Ticker/Cusip	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asset Class
		SCBMFZ									
608	BERNSTEIN EMERGING MARKETS PORTFOLIO		05/02/05	$35 12	$29 07	$21,344 99	$17,667 96	$308	1 74% ***	1 3%	1 4%
504	BERNSTEIN EMERGING MARKETS PORTFOLIO		12/07/05	$34 32	$29 07	$17,296 48	$14,650 58	$256	1 74% ***	1 1%	1 2%
401	BERNSTEIN EMERGING MARKETS PORTFOLIO		12/12/06	$36 69	$29 07	$14,703 03	$11,649 42	$203	1 74% ***	0 9%	1 0%
75	BERNSTEIN EMERGING MARKETS PORTFOLIO		12/11/07	$40 96	$29 07	$3,069 15	$2,178 22	$38	1 74% ***	0 2%	0 2%
283	BERNSTEIN EMERGING MARKETS PORTFOLIO		12/09/08	$14 72	$29 07	$4,164 99	$8,225 30	$143	1 74% ***	0 6%	0 7%
1,870						$60,578 64	$54,371 48	$948	1 74%	4 0%	4 4%
TOTAL EQUITIES						**$1,311,598.93**	**$1,222,295.64**	**$23,810**	**1.95%**	**89.7%**	**100%**
NET PORTFOLIO VALUE						**$1,451,425.80**	**$1,362,370.97**	**$29,934**	**2.15%**	**100.0%**	

AI = Accrued Income (interest and dividends)

Total portfolio cost includes the reinvestment of interest, dividends and capital gains distributions as well as sale proceeds and therefore is not equivalent to the capital you have invested in this account.

The above quantity rounds your fund shares to the nearest whole share For the fractional shares held, see your monthly brokerage statement.

** Fixed income fund yields are calculated using the 30 day S E C yield Municipal yields may include a portion of taxable income Estimated annual income for the fixed income portfolios is based on the monthly income dividends and does not include capital gains distributions Therefore yield will differ from estimated annual income

*** International and Emerging Market Fund yields are estimated based on the current dividends of the foreign stocks in the fund, net of estimated fund expenses The actual fund dividend can vary significantly due to gains and losses on foreign currency transactions The S E C yield calculation is not available