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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Department of the Treasury
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions	Name of foundation MARY E BROCK TRUST # 3020003342		A Employer identification number 02-6030934
	Number and street (or P O box number if mail is not delivered to street address) Room/suite C/O CITIZENS BANK 870 WESTMINSTER ST		B Telephone number (see the instructions) (603) 634-7752
	City or town State ZIP code PROVIDENCE RI 02903		C If exemption application is pending, check here ☐
	H Check type of organization ☐ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☒ Other taxable private foundation		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 496,108.		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
		(Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc., received (att sch)				
	2 Check ☒ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	166.	166.		
	4 Dividends and interest from securities	11,668.	11,668.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	-34,494.			
	b Gross sales price for all assets on line 6a	295,977.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	-22,660.	11,834.			
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc.	5,612.	2,806.		2,806.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch)				
	c Other professional fees (attach sch)				
	17 Interest				
	18 Taxes (attach schedule X see instr.)				
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
23 Other expenses (attach schedule)	740.	370.		370.	
24 Total operating and administrative expenses. Add lines 13 through 23	6,352.	3,176.		3,176.	
25 Contributions, gifts, grants paid	23,660.			23,660.	
26 Total expenses and disbursements. Add lines 24 and 25	30,012.	3,176.		26,836.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-52,672.				
b Net investment income (if negative, enter -0-)		8,658.			
c Adjusted net income (if negative, enter -0-)					

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing	242.	585.	585.
	2 Savings and temporary cash investments	33,862.	31,197.	31,197.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)			
	c Investments — corporate bonds (attach schedule)			
LIABILITIES	11 Investments — land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)	508,409.	465,339.	464,326.
	14 Land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)			
	15 Other assets (describe)			
	16 Total assets (to be completed by all filers — see instructions Also, see page 1, item I)	542,513.	497,121.	496,108.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
NET ASSETS OR FUND BALANCES	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)			
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
NET ASSETS OR FUND BALANCES	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	532,796.	489,478.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	9,717.	7,643.	
	30 Total net assets or fund balances (see the instructions)	542,513.	497,121.	
	31 Total liabilities and net assets/fund balances (see the instructions)	542,513.	497,121.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	542,513.
2 Enter amount from Part I, line 27a	2	-52,672.
3 Other increases not included in line 2 (itemize) SEE ATTACHED SCHEDULE	3	7,280.
4 Add lines 1, 2, and 3	4	497,121.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	497,121.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a PLEASE SEE ATTACHED		P	Various	12/31/09
b.				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 295,977.		330,471.	-34,494.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			-34,494.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) — [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]		2	-34,494.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	28,179.	522,709.	0.053910
2007	30,919.	613,015.	0.050438
2006	33,786.	581,004.	0.058151
2005	32,463.	565,627.	0.057393
2004	34,038.	558,991.	0.060892

2 Total of line 1, column (d)	2	0.280784
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.056157
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	436,978.
5 Multiply line 4 by line 3	5	24,539.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	87.
7 Add lines 5 and 6	7	24,626.
8 Enter qualifying distributions from Part XII, line 4	8	26,836.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instr.)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	87.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	87.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	87.
6 Credits/Payments			
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a	761.	
b Exempt foreign organizations — tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	761.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	674.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☒ 674. Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ _____ (2) On foundation managers ☒ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) NH - New Hampshire		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities Continued

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>RBS CITIZENS NA</u> Telephone no. <u>(603) 634-7752</u> Located at <u>875 ELM ST., MANCHESTER, NH</u> ZIP + 4 <u>03101</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

1 a During the year did the foundation (either directly or indirectly):

- (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No
- (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No
- (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No
- (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No
- (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No
- (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No

b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).

a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No

If 'Yes,' list the years 20, 20, 20, 20

b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)

c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
20, 20, 20, 20

3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?☐ Yes ☒ No

b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)

4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?

	Yes	No
11		X
12		X
13	X	
14		
15		
1a		
1b		X
1c		X
2		
2a		
2b		
2c		
3a		
3b		
4a		X
4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☒ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?**5b****6b****7b****X****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RBS CITIZENS NA 875 ELM STREET MANCHESTER NH 03101	TRUSTEE	5.00	5,612.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
0				
0				
0				
0				

Total number of other employees paid over \$50,000

None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services -- (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	417,161.
b Average of monthly cash balances	1b	26,471.
c Fair market value of all other assets (see instructions)	1c	
d Total (add lines 1a, b, and c)	1d	443,632.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	443,632.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	6,654.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	436,978.
6 Minimum investment return. Enter 5% of line 5	6	21,849.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	21,849.
2a Tax on investment income for 2009 from Part VI, line 5	2a	87.	
b Income tax for 2009 (This does not include the tax from Part VI)	2b		
c Add lines 2a and 2b	2c		87.
3 Distributable amount before adjustments Subtract line 2c from line 1	3		21,762.
4 Recoveries of amounts treated as qualifying distributions	4		
5 Add lines 3 and 4	5		21,762.
6 Deduction from distributable amount (see instructions)	6		
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7		21,762.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	26,836.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	26,836.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	87.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	26,749.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				21,762.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only			0.	
b Total for prior years: 20__, 20__, 20__				
3 Excess distributions carryover, if any, to 2009:				
a From 2004	5,317.			
b From 2005	4,444.			
c From 2006	5,288.			
d From 2007	1,172.			
e From 2008	2,283.			
f Total of lines 3a through e	18,504.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 26,836.				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2009 distributable amount				21,762.
e Remaining amount distributed out of corpus.	5,074.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	23,578.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	5,317.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	18,261.			
10 Analysis of line 9:				
a Excess from 2005	4,444.			
b Excess from 2006	5,288.			
c Excess from 2007	1,172.			
d Excess from 2008	2,283.			
e Excess from 2009	5,074.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

- c Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon

- a 'Assets' alternative test – enter**

- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b** 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c 'Support' alternative test – enter.

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

Part XV **Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)

- ## 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed

- b** The form in which applications should be submitted and information and materials they should include

- c Any submission deadlines:

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED SCHEDULE	N/A	501 (C) (3)	GENERAL OBLIGATION	23,660.
Total			3a	23,660.
b Approved for future payment				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	166.	
4	Dividends and interest from securities			14	11,668.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	-34,494.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue.					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				-22,660.	
13	Total. Add line 12, columns (b), (d), and (e)				13	-22,660.

(See worksheet in the instructions for line 13 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Supporting Statement of:**Form 990-PF, p1/Line 23(a)-1**

Description	Amount
TAX PREPARATION FEE	500.
NH FILING FEES	140.
BOND PREMIUM	100.
Total	<u>740.</u>

INCREASES PART III, LINE 3

TAX BASIS ASSETS SOLD	330471.00
BOOK VALUE ASSETS SOLD	323191.00
DIFFERENCE BETWEEN BOOK AND TAX COST	7280.00

ADD:

TAX REFUND	0.00
DISTRIBUTIONS FROM CTF'S	0.00
MISCELLANEOUS	0.00

TOTAL ADDITIONS 7280.00

GAINLOSS

MARY BROCK TRUST #3020003342			PART IV				
EIN #02-6030934							
FYE 12/31/2009							
GAIN/LOSS REPORT							
			DATE	DATE			
SHARES	DESCRIPTION	ACQUIRED	SOLD	PROCEEDS	COST	GAIN/LOSS	
267.842	TEMPLETON FUND	3/31/2008	1/2/2009	\$2,991.79	\$3,222.14		(\$230.35)
638.499	VANGUARD FUND	9/30/2008	1/2/2009	\$6,755.32	\$6,576.54		\$178.78
103.337	VANGUARD FUND	3/31/2008	1/2/2009	\$1,190.44	\$1,338.22		(\$147.78)
144.697	DREYFUS FUND	9/30/2008	3/31/2009	\$2,203.73	\$3,504.56		(\$1,300.83)
94.222	PIMCO FUND	3/31/2008	3/31/2009	\$589.83	\$1,726.15		(\$1,136.32)
574.994	TEMPLETON FUND	3/31/2008	3/31/2009	\$6,353.68	\$6,917.18		(\$563.50)
102.711	VANGUARD FUND	9/30/2008	3/31/2009	\$1,095.93	\$1,057.92		\$38.01
39.609	VANGUARD FUND	3/31/2008	3/31/2009	\$480.06	\$512.94		(\$32.88)
408.816	DREYFUS FUND	9/30/2008	6/30/2009	\$7,387.31	\$9,901.52		(\$2,514.21)
92.328	VANGUARD FUND	9/30/2008	6/30/2009	\$979.60	\$950.98		\$28.62
518.641	VANGUARD FUND	3/31/2009	6/30/2009	\$5,393.87	\$5,342.00		\$51.87
170.398	VANGUARD FUND	6/30/2009	9/30/2009	\$2,133.38	\$2,070.33		\$63.05
65.733	SCOUT FUND	6/30/2009	11/30/2009	\$786.82	\$721.75		\$65.07
216.845	SCOUT FUND	9/30/2009	11/30/2009	\$2,595.64	\$2,652.02		(\$56.38)
161.571	TEMPLETON FUND	9/30/2009	11/30/2009	\$3,152.26	\$3,155.48		(\$3.22)
440.162	VANGUARD FUND	12/31/2008	11/30/2009	\$10,154.54	\$8,561.16		\$1,593.38
119.787	VANGUARD FUND	3/31/2009	11/30/2009	\$2,763.49	\$2,049.55		\$713.94
176.721	VANGUARD FUND	9/30/2009	11/30/2009	\$4,076.95	\$3,947.95		\$129.00
							(\$3,123.75)
			CAPITAL GAIN DISTRIBUTIONS				\$86.41
			COMMON TRUST FUND GAIN/LOSS				\$0.00
			TOTAL SHORT TERM GAIN				(\$3,037.34)
2,428.0300	VANGUARD FUND	9/29/2006	1/2/2009	\$25,494.31	\$24,887.31		\$607.00
21.1540	COLUMBIA FUND	6/30/2006	3/31/2009	\$340.15	\$623.83		(\$283.68)
84.1860	TEMPLETON FUND	9/29/2006	3/31/2009	\$692.01	\$1,762.86		(\$1,070.85)

GAINLOSS

MARY BROCK TRUST #3020003342		PART IV					
EIN #02-6030934							
FYE 12/31/2009							
GAIN/LOSS REPORT							
SHARES	DESCRIPTION	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST	GAIN/LOSS	
301.7080	COLUMBIA FUND	6/30/2006	6/30/2009	\$5,865.21	\$8,897.37	(\$3,032.16)	
641.9980	DREYFUS FUND	6/4/2004	6/30/2009	\$11,600.90	\$15,459.31	(\$3,858.41)	
203.8890	PIMCO FUND	3/31/2008	6/30/2009	\$1,455.77	\$3,735.24	(\$2,279.47)	
166.5360	TEMPLETON FUND	3/31/2008	6/30/2009	\$1,963.46	\$2,003.43	(\$39.97)	
51.4170	TEMPLETON FUND	9/29/2006	6/30/2009	\$560.45	\$1,076.68	(\$516.23)	
936.7840	VANGUARD FUND	3/31/2006	6/30/2009	\$9,939.28	\$9,517.72	\$421.56	
128.5230	VANGUARD FUND	6/4/2004	6/30/2009	\$2,494.65	\$3,641.06	(\$1,146.41)	
1,788.9390	VANGUARD FUND	9/29/2006	6/30/2009	\$18,604.97	\$18,336.62	\$268.35	
85.4600	VANGUARD FUND	12/29/2006	6/30/2009	\$888.78	\$875.96	\$12.82	
3.7330	COLUMBIA FUND	6/30/2006	9/30/2009	\$87.32	\$110.09	(\$22.77)	
196.7330	VANGUARD FUND	3/31/2006	9/30/2009	\$2,114.88	\$1,998.81	\$116.07	
241.8760	VANGUARD FUND	3/31/2008	9/30/2009	\$3,028.29	\$3,132.29	(\$104.00)	
996.6330	VANGUARD FUND	3/31/2006	9/30/2009	\$10,803.50	\$10,065.99	\$737.51	
424.7190	VANGUARD FUND	12/29/2006	9/30/2009	\$4,603.96	\$4,353.37	\$250.59	
13.5970	VANGUARD FUND	1/31/2002	9/30/2009	\$1,094.56	\$1,110.41	(\$15.85)	
7.1980	VANGUARD FUND	4/30/2002	9/30/2009	\$579.44	\$587.83	(\$8.39)	
102.0410	VANGUARD FUND	7/31/2002	9/30/2009	\$8,214.32	\$8,333.26	(\$118.94)	
40.9830	VANGUARD FUND	9/30/2002	9/30/2009	\$3,299.14	\$3,346.91	(\$47.77)	
323.3210	COLUMBIA FUND	6/30/2006	11/30/2009	\$7,536.62	\$9,534.73	(\$1,998.11)	
13.9260	COLUMBIA FUND	6/11/2008	11/30/2009	\$324.62	\$384.37	(\$59.75)	
120.3030	COLUMBIA FUND	9/30/2008	11/30/2009	\$2,804.26	\$2,865.62	(\$61.36)	
497.7130	DREYFUS FUND	6/4/2004	11/30/2009	\$10,695.86	\$11,984.92	(\$1,289.06)	
226.0250	PIMCO FUND	3/31/2008	11/30/2009	\$1,928.00	\$4,140.78	(\$2,212.78)	
80.9230	PIMCO FUND	9/30/2008	11/30/2009	\$690.27	\$1,106.22	(\$415.95)	
14.6480	TEMPLETON FUND	6/30/2006	11/30/2009	\$285.78	\$349.93	(\$64.15)	
37.9770	TEMPLETON FUND	9/29/2006	11/30/2009	\$740.93	\$968.79	(\$227.86)	
39.3400	TEMPLETON FUND	6/30/2008	11/30/2009	\$767.52	\$961.48	(\$193.96)	
86.4400	TEMPLETON FUND	9/2/2008	11/30/2009	\$1,686.45	\$1,947.50	(\$261.05)	
1.4830	TEMPLETON FUND	9/29/2006	11/30/2009	\$21.00	\$31.05	(\$10.05)	

MARY BROCK TRUST #3020003342		PART IV				
EIN #02-6030934						
FYE 12/31/2009						
GAIN/LOSS REPORT						
		DATE	DATE			
SHARES	DESCRIPTION	ACQUIRED	SOLD	PROCEEDS	COST	GAIN/LOSS
39.7440	TEMPLETON FUND	12/15/2006	11/30/2009	\$562.77	\$808.40	(\$245.63)
149.5370	TEMPLETON FUND	3/30/2007	11/30/2009	\$2,117.44	\$3,068.49	(\$951.05)
37.4670	TEMPLETON FUND	12/17/2007	11/30/2009	\$530.54	\$755.97	(\$225.43)
2,696.2000	VANGUARD FUND	6/4/2004	11/30/2009	\$62,201.33	\$76,383.35	(\$14,182.02)
332.0210	VANGUARD FUND	1/31/2002	11/30/2009	\$27,803.45	\$27,114.73	\$688.72
						(\$31,840.49)
				CAPITAL GAIN DISTRIBUTIONS		\$384.08
				COMMON TRUST FUND GAIN/LOSS		\$0.00
				TOTAL LONG TERM GAIN		(\$31,456.41)
				TOTAL GAIN		(\$34,493.75)

PART IV

CITIZENS BANK	TRANSACTIONS FROM 01/01/09 TO 12/31/09 - ALL PORTFOLIO 01/08/10 08:38	ADMIN PG 1
3020003342	MARY BROCK T/U/W	PRIN. CASH INCOME CASH
06/15	CASH DISBURSEMENT	950.00-
	PAID TO JADE BREWER	
	SCHOLARSHIP	
	T/A CHECK # 881332 SEQ # 7	
	PAID FOR NO ONE	
	56 - SPAULDING HIGH SCHOOL AWARDEE	
	BATCH NO. CS000365 TRANS NO. 01	
	DISBURSEMENT CODE: 148	
06/15	CASH DISBURSEMENT	950.00-
	PAID TO JESSICA KIERSTEAD	
	SCHOLARSHIP	
	T/A CHECK # 881333 SEQ # 8	
	PAID FOR NO ONE	
	56 - SPAULDING HIGH SCHOOL AWARDEE	
	BATCH NO. CS000365 TRANS NO. 02	
	DISBURSEMENT CODE: 148	
06/15	CASH DISBURSEMENT	950.00-
	PAID TO DUSTIN CARROLL	
	SCHOLARSHIP	
	T/A CHECK # 881334 SEQ # 9	
	PAID FOR NO ONE	
	56 - SPAULDING HIGH SCHOOL AWARDEE	
	BATCH NO. CS000365 TRANS NO. 03	
	DISBURSEMENT CODE: 148	
06/15	CASH DISBURSEMENT	950.00-
	PAID TO MARK MACGREGOR	
	SCHOLARSHIP	
	T/A CHECK # 881335 SEQ # 10	
	PAID FOR NO ONE	
	56 - SPAULDING HIGH SCHOOL AWARDEE	
	BATCH NO. CS000365 TRANS NO. 04	
	DISBURSEMENT CODE: 148	
06/15	CASH DISBURSEMENT	1,900.00-
	PAID TO HANNAH CARBONNEAU	
	SCHOLARSHIP	
	T/A CHECK # 881336 SEQ # 11	
	PAID FOR NO ONE	
	56 - SALEM HIGH SCHOOL AWARDEE	
	BATCH NO. CS000365 TRANS NO. 05	
	DISBURSEMENT CODE: 148	
06/15	CASH DISBURSEMENT	1,900.00-
	PAID TO BRIAN GUPTILL	
	SCHOLARSHIP	
	T/A CHECK # 881337 SEQ # 12	
	PAID FOR NO ONE	
	56 - SALEM HIGH SCHOOL AWARDEE	
	BATCH NO. CS000365 TRANS NO. 06	
	DISBURSEMENT CODE: 148	
06/15	CASH DISBURSEMENT	1,527.24-
	PAID TO SALEM PUBLIC LIBRARY	
	TRUSTEES, KELLEY LIBRARY	
	234 MAIN STREET	

SALEM NH 03079
DIST TO/FOR BENEFICIARY
T/A CHECK # 881357 SEQ # 32
PAID FOR CB9025990
21 - DISTRIBUTION TO BENEFICIARY
BATCH NO. CS000368 TRANS NO. 01
DISBURSEMENT CODE: 307

06/15 CASH DISBURSEMENT 3,827.57-

PAID TO MEADERBORO COMMUNITY CHURCH
GARY E. KUSNIERZ, TREASURER
51 EVANS ROAD
ROCHESTER NH 03867

DIST TO/FOR BENEFICIARY
T/A CHECK # 881358 SEQ # 33
PAID FOR CB9026006
21 - DISTRIBUTION TO BENEFICIARY
BATCH NO. CS000368 TRANS NO. 02
DISBURSEMENT CODE: 307

06/15 CASH DISBURSEMENT 2,290.85-

PAID TO ORDER OF THE EASTERN STAR OF NH
JEAN VAN STELTEN, GRAND SECRETARY
PO BOX 215
TILTON NH 03276-0215

DIST TO/FOR BENEFICIARY
T/A CHECK # 881359 SEQ # 34
PAID FOR CB9026014
21 - DISTRIBUTION TO BENEFICIARY
BATCH NO. CS000368 TRANS NO. 03
DISBURSEMENT CODE: 307

06/15 CASH DISBURSEMENT 763.62-

PAID TO ROCHESTER PUBLIC LIBRARY
C/O TRUSTEES
65 SOUTH MAIN STREET
ROCHESTER NH 03867

DIST TO/FOR BENEFICIARY
T/A CHECK # 881360 SEQ # 35
PAID FOR CB9026022
21 - DISTRIBUTION TO BENEFICIARY
BATCH NO. CS000368 TRANS NO. 04
DISBURSEMENT CODE: 307

06/15 CASH DISBURSEMENT 7,650.41-

PAID TO PLEASANT STREET METHODIST CHURCH
ATTN: BERNARD H CAMPBELL
8 PLEASANT STREET
SALEM DEPOT, NH 03079

DIST TO/FOR BENEFICIARY
T/A CHECK # 881361 SEQ # 36
PAID FOR CB9026030
21 - DISTRIBUTION TO BENEFICIARY
BATCH NO. CS000368 TRANS NO. 05
DISBURSEMENT CODE: 307

CITIZENS BANK	TRANSACTIONS FROM 01/01/09 TO 12/31/09 - ALL PORTFOLIO 01/08/10 08:38	ADMIN	PG	3
3020003342	MARY BROCK T/U/W	PRIN. CASH	INCOME CASH	
MISCELLANEOUS DISBURSEMENTS				
148 - SCHOLARSHIP		0.00	7,600.00-	
307 - DIST TO/FOR BENEFICIARY		0.00	16,059.69-	

Total

23,659.69

MARY BROCK T/U/W
ACCOUNT: 3020003342

ASSET SUMMARY

PAGE: 1

AS OF 12/31/09

ASSET CATEGORY	MARKET VALUE	PERCENT OF ACCOUNT	FEDERAL TAX COST	BOOK VALUE	ESTIMATED ANNUAL INCOME	INCOME YIELD AT MARKET
+						
PRINCIPAL PORTFOLIO						
+						
MONEY MARKET FUNDS	24,138.69	4.87 %	24,138.69	24,138.69	24	0.10 %
DOMESTIC EQUITY MUTUAL FUNDS	283,600.75	57.17 %	264,840.30	279,666.71	4,401	1.55 %
INTL EQUITY MUTUAL FUNDS	62,496.11	12.60 %	58,272.73	69,428.20	1,523	2.44 %
TAXABLE FIXED INCOME FUNDS	118,228.97	23.83 %	115,452.95	116,244.66	5,294	4.48 %
+						
PRINCIPAL PORTFOLIO TOTAL	488,464.52	98.46 %	462,704.67	489,478.26	11,243	2.30 %
INCOME PORTFOLIO						
+						
INCOME CASH	584.85	0.12 %	584.85	584.85		
MONEY MARKET FUNDS	7,058.36	1.42 %	7,058.36	7,058.36	7	0.10 %
+						
INCOME PORTFOLIO TOTAL	7,643.21	1.54 %	7,643.21	7,643.21	7	0.10 %
+						
TOTAL ASSETS	496,107.73	100.00 %	470,347.88	497,121.47	11,250	2.27 %

LIST OF ASSETS

MARY BROCK T/U/W
ACCOUNT: 3020003342

AS OF 12/31/09

PAGE: 2

QUANTITY/ PRICE PER SHARE	ASSET DESCRIPTION	MARKET VALUE % OF ACCOUNT	FED TAX COST	BOOK VALUE	ESTIMATED ANNUAL INCOME	INCOME YIELD AT MARKET
+	PRINCIPAL PORTFOLIO					
+	MONEY MARKET FUNDS					
	24,138.6900 RBS CITIZENS N.A. CASH SWEEP ACCOUNT 1.0000 CUSIP NO: 990110702	24,138.69 4.87 %	24,138.69	24,138.69	24	0.10 %
+	DOMESTIC EQUITY MUTUAL FUNDS					
	675.8700 COLUMBIA ACORN FUND CL Z #492 24.6800 CUSIP NO: 197199409	16,680.47 3.36 %	14,861.75	17,298.44	33	0.20 %
	732.7630 DREYFUS MIDCAP INDEX FUND 22.6000 CUSIP NO: 712223106	16,560.44 3.34 %	13,257.45	17,578.29	174	1.05 %
	2,899.9280 PIMCO FDS PAC INVT MGMT SER 8.2800 COMMODITY REALRETURN STRATEGY FD INSTL CUSIP NO: 722005667	24,011.40 4.84 %	27,477.33	31,510.82	1,438	5.99 %
	2,620.4410 SCOUT SMALL CAP FUND 12.7600 CUSIP NO: 81063U305	33,436.83 6.74 %	28,772.44	29,017.12	0	0.00 %
	6,355.4060 VANGUARD MORGAN GROWTH FD#26 15.2700 CUSIP NO: 921928107	97,047.05 19.56 %	94,187.12	94,187.12	699	0.72 %
	1,130.3450 VANGUARD 500 INDEX FUND 84.8100 SIGNAL SHARES #1340 CUSIP NO: 922908496	95,864.56 19.32 %	86,284.21	90,074.92	2,057	2.15 %
+	TOTAL DOMESTIC EQUITY MUTUAL FUNDS	283,600.75 57.17 %	264,840.30	279,666.71	4,401	1.55 %

LIST OF ASSETS

MARY BROCK T/U/W
ACCOUNT: 3020003342

PAGE: 3

AS OF 12/31/09

QUANTITY/ PRICE PER SHARE	ASSET DESCRIPTION	MARKET VALUE % OF ACCOUNT	FED TAX COST	BOOK VALUE	ESTIMATED ANNUAL INCOME	INCOME YIELD AT MARKET
INTL EQUITY MUTUAL FUNDS						
2,401.1720 19.3000	TEMPLETON INSTITUTIONAL FOREIGN EQUITY SERIES CUSIP NO: 880210505	46,342.62 9.34 %	41,941.25	51,389.67	1,198	2.59 %
1,120.9920 14.4100	TEMPLETON INSTL FDS INC EMERGING MKTS SER CUSIP NO: 880210208	16,153.49 3.26 %	16,331.48	18,038.53	325	2.01 %
TOTAL INTL EQUITY MUTUAL FUNDS		62,496.11 12.60 %	58,272.73	69,428.20	1,523	2.44 %
TAXABLE FIXED INCOME FUNDS						
958.6620 12.6900	TEMPLETON GLOBAL BOND ADVISOR #616 CUSIP NO: 880208400	12,165.42 2.45 %	11,716.60	11,814.12	545	4.48 %
1,672.9580 10.6400	VANGUARD ADMIRAL GNMA FD #536 CUSIP NO: 922031794	17,800.27 3.59 %	17,456.70	17,536.96	734	4.12 %
1,125.1770 5.4700	VANGUARD HIGH YIELD CORP FUND (#29) CUSIP NO: 922031208	6,154.72 1.24 %	5,997.49	5,997.49	456	7.41 %
463.0910 12.5500	VANGUARD INFLATION-PROTECTED SEC 119 CUSIP NO: 922031869	5,811.79 1.17 %	5,740.36	5,872.52	99	1.70 %
7,117.2360 10.7200	VANGUARD INTERMEDIATE-TERM BOND INDEX FUND SIGNAL SHS #1350 CUSIP NO: 921937843	76,296.77 15.38 %	74,541.80	75,023.57	3,459	4.53 %
TOTAL TAXABLE FIXED INCOME FUNDS		118,228.97 23.83 %	115,452.95	116,244.66	5,294	4.48 %

MARY BROCK T/U/W
ACCOUNT: 3020003342

LIST OF ASSETS

AS OF 12/31/09

PAGE: 5

QUANTITY/ PRICE PER SHARE	ASSET DESCRIPTION	MARKET VALUE % OF ACCOUNT	FED TAX COST	BOOK VALUE	ESTIMATED ANNUAL INCOME	INCOME YIELD AT MARKET
+	INCOME PORTFOLIO					
	INCOME CASH	584.85 0.12 %	584.85	584.85		
+	MONEY MARKET FUNDS					
	7,058.3600 RBS CITIZENS N.A. CASH SWEEP ACCOUNT 1.0000 CUSIP NO: 990110702	7,058.36 1.42 %	7,058.36	7,058.36	7	0.10 %
+	INCOME PORTFOLIO TOTAL	7,643.21 1.54 %	7,643.21	7,643.21	7	0.09 %
+	TOTAL ASSETS	496,107.73 100.00 %	470,347.88	497,121.47	11,250	2.27 %