



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

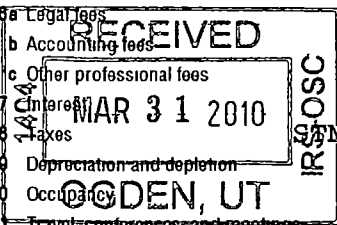
For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions	Name of foundation THE STEVEN W AND RUTH KATZ FAMILY FOUNDATION INC.		A Employer identification number 02-0652257
	Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	B Telephone number 973-535-1888
	City or town, state, and ZIP code LIVINGSTON, NJ 07039		C If exemption application is pending, check here ☐
	H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 619,045.		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		299.		N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		6,889.	6,889.		STATEMENT 1
4 Dividends and interest from securities		6,071.	6,071.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		2,768.			
b Gross sales price for all assets on line 6a					
7 Capital gain net income (from Part IV, line 2)			2,768.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		16,027.	15,728.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees					
17 Interest					
18 Taxes		356.	57.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		7,858.	7,858.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		8,214.	7,915.		0.
25 Contributions, gifts, grants paid		99,350.			99,350.
26 Total expenses and disbursements. Add lines 24 and 25		107,564.	7,915.		99,350.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-91,537.			
b Net investment income (if negative, enter -0-)			7,813.		
c Adjusted net income (if negative, enter -0-)				N/A	

SCANNED APR 13 2010



68

**THE STEVEN W AND RUTH KATZ FAMILY
FOUNDATION INC.**

02-0652257

Page 2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		175,189.	38,765.	38,765.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 5		146,657.	164,003.	163,520.
	b	Investments - corporate stock STMT 6		290,921.	346,372.	394,732.
	c	Investments - corporate bonds STMT 7		50,000.	22,090.	22,028.
11	Investments - land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers)		662,767.	571,230.	619,045.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		662,767.	571,230.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
29	Retained earnings, accumulated income, endowment, or other funds		0.	0.		
30	Total net assets or fund balances		662,767.	571,230.		
31	Total liabilities and net assets/fund balances		662,767.	571,230.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	662,767.
2	Enter amount from Part I, line 27a	2	-91,537.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	571,230.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	571,230.

**THE STEVEN W AND RUTH KATZ FAMILY
FOUNDATION INC.**

02-0652257

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE SCHEDULE ATTACHED	P	VARIOUS	VARIOUS
b SEE SCHEDULE ATTACHED	P	VARIOUS	VARIOUS
c SEE SCHEDULE ATTACHED	P	VARIOUS	VARIOUS
d SEE SCHEDULE ATTACHED	P	VARIOUS	VARIOUS
e CIL	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			-7,671.
b			-17,520.
c			9,128.
d			18,767.
e			64.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-7,671.
b			-17,520.
c			9,128.
d			18,767.
e			64.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,768.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	120,500.	649,503.	.185526
2007	164,400.	567,067.	.289913
2006	105,000.	430,774.	.243747
2005	189,230.	336,986.	.561537
2004	82,200.	244,115.	.336727

2 Total of line 1, column (d)	2	1.617450
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.323490
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	622,347.
5 Multiply line 4 by line 3	5	201,323.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	78.
7 Add lines 5 and 6	7	201,401.
8 Enter qualifying distributions from Part XII, line 4	8	99,350.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

**THE STEVEN W AND RUTH KATZ FAMILY
FOUNDATION INC.**

02-0652257

Page 4

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	156.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	156.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	156.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		156.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2010 estimated tax	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NONE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2009)

**THE STEVEN W AND RUTH KATZ FAMILY
FOUNDATION INC.**

02-0652257

Page 5

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>STEVEN & RUTH KATZ</u> Located at ► <u>10 STONEHAM DRIVE, LIVINGSTON, NJ</u>	Telephone no	► <u>973-535-1888</u>	
		ZIP+4	► <u>07039</u>	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ► _____, _____, _____	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		X

Form 990-PF (2009)

**THE STEVEN W AND RUTH KATZ FAMILY
FOUNDATION INC.**

02-0652257

Page 6

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(a))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STEVEN W. KATZ 10 STONEHAM DRIVE LIVINGSTON, NJ 07039	TREASURER 0.00	0.	0.	0.
RUTH KATZ 10 STONEHAM DRIVE LIVINGSTON, NJ 07039	TRUSTEE 0.00	0.	0.	0.
WAYNE ZUCKERMAN 36 CHELSEA DRIVE LIVINGSTON, NJ 07039	TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2009)

**THE STEVEN W AND RUTH KATZ FAMILY
FOUNDATION INC.**

02-0652257

Page 7

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
	0.
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2009)

**THE STEVEN W AND RUTH KATZ FAMILY
FOUNDATION INC.**

02-0652257

Page 8

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	455,080.
b Average of monthly cash balances	1b	176,744.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	631,824.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	631,824.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	9,477.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	622,347.
6 Minimum investment return. Enter 5% of line 5	6	31,117.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	31,117.
2a Tax on investment income for 2009 from Part VI, line 5	2a	156.
b Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	156.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	30,961.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	30,961.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	30,961.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	99,350.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	99,350.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	99,350.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

**THE STEVEN W AND RUTH KATZ FAMILY
FOUNDATION INC.**

02-0652257

Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				30,961.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004	70,012.			
b From 2005	172,521.			
c From 2006	83,754.			
d From 2007	136,576.			
e From 2008	88,324.			
f Total of lines 3a through e	551,187.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$	99,350.			
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				30,961.
e Remaining amount distributed out of corpus	68,389.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	619,576.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	70,012.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	549,564.			
10 Analysis of line 9:				
a Excess from 2005	172,521.			
b Excess from 2006	83,754.			
c Excess from 2007	136,576.			
d Excess from 2008	88,324.			
e Excess from 2009	68,389.			

N/A

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

- b 85% of line 2a**

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- Subtract line 2d from line 2c

- a "Assets" alternative test - enter,
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

- ### 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 9

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:**

- b The form in which applications should be submitted and information and materials they should include**

- c Any submission deadlines:**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
INTEREST INCOME	6,889.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	6,889.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS	6,071.	0.	6,071.
TOTAL TO FM 990-PF, PART I, LN 4	6,071.	0.	6,071.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	57.	57.		0.
FEDERAL TAX	299.	0.		0.
TO FORM 990-PF, PG 1, LN 18	356.	57.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EMA ANNUAL FEE	150.	150.		0.
INVESTMENT ADVISORY FEES	7,708.	7,708.		0.
TO FORM 990-PF, PG 1, LN 23	7,858.	7,858.		0.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	5
-------------	--	-----------	---

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE SECURITY SCHEDULE 1 ATTACHED	X		63,124.	61,531.
SEE SECURITY SCHEDULE 2 ATTACHED	X		100,879.	101,989.
TOTAL U.S. GOVERNMENT OBLIGATIONS			164,003.	163,520.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			164,003.	163,520.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
-------------	-----------------	-----------	---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE SECURITY SCHEDULE 1 ATTACHED	182,064.	208,627.
SEE SECURITY SCHEDULE 2 ATTACHED	164,308.	186,105.
TOTAL TO FORM 990-PF, PART II, LINE 10B	346,372.	394,732.

FORM 990-PF	CORPORATE BONDS	STATEMENT	7
-------------	-----------------	-----------	---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE SECURITY SCHEDULE 1 ATTACHED	19,093.	19,015.
SEE SECURITY SCHEDULE 2 ATTACHED	2,997.	3,013.
TOTAL TO FORM 990-PF, PART II, LINE 10C	22,090.	22,028.

FORM 990-PF	EXPLANATION CONCERNING PART VII-A, LINE 8B	STATEMENT	8
-------------	--	-----------	---

EXPLANATION

THE STATE OF NEW JERSEY, NEW JERSEY OFFICE OF ATTORNEY GENERAL NO LONGER
REQUIRES A FOUNDATION WHICH RECEIVED CHARITABLE CONTRIBUTIONS ONLY FROM
THE FOUNDER TO REGISTER. THEREFORE NJ DOES NOT REQUIRE THE SUBMISSION OF
THE FEDERAL FORM 990PF.

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 9

NAME OF MANAGER

STEVEN W. KATZ
RUTH KATZ

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 10

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
AMERICAN FRIENDS OF THE HEBREW UNIVERSITY ONE BATTERY PARK PLAZA, 25TH FLOOR NEW YORK, NY 10004	NONE CHARITABLE	N/P	3,600.
ANDY BOVIN MEMORIAL FUND 36 UPPER OVERLOOK ROAD, PO 220 SUMMIT, NJ 079020220	NONE CHARITABLE	N/P	500.
CARDOZO SCHOOL OF LAW 55 FIFTH AVENUE NEW YORK, NY 10003	NONE CHARITABLE	N/P	50,000.
CHABAD HOUSE - LUBAVITCH, INC. 170 COLLEGE AVENUE NEW BRUNSWICK, NJ 08901	NONE CHARITABLE	N/P	10,000.
CORNELL HILLEL 300 DAY HALL ITHACA, NY 148532801	NONE CHARITABLE	N/P	2,500.
JOSEPH KUSHNER HEBREW ACADEMY 110 SOUTH ORANGE AVE LIVINGSTON, NJ 07039	NONE CHARITABLE	N/P	5,000.

THE STEVEN W AND RUTH KATZ FAMILY FOUNDA

02-0652257

SYRACUSE UNIVERSITY 820 COMSTOCK AVE, ROOM 100 SYRACUSE, NY 13210	NONE CHARITABLE	N/P	10,000.
UJA OF METROWEST 901 ROUTE 10 WHIPPANY, NJ 079811156	NONE CHARITABLE	N/P	12,500.
PREP FOR PREP 328 WEST 71ST STREET NEW YORK, NY 10023	NONE CHARITABLE	N/P	1,000.
FIFTH AVENUE SYNAGOGUE 5 EAST 62ND STREET NEW YORK, NY 10065	NONE CHARITABLE	N/P	500.
CURE BREAST CANCER FOUNDATION 1122 CLIFTON AVE CLIFTON, NJ 07013	NONE CHARITABLE	N/P	2,500.
DOCTORS WITHOUT BORDERS USA PO BOX 5030 HAGERSTOWN, MD 21741	NONE CHARITABLE	N/P	1,250.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

99,350.

EMATM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

FISCAL YEAR ACTIVITY

Date	Transaction	Quantity	Description	Price	Debit	Credit
Other Activity						
01/13/09	Journal Entry		ML CONSULTS FEE		1,262.12	
03/19/09	Fgn Div Tax		TEVA PHARMACTCL INDS ADR		2.74	
04/07/09	Journal Entry		ML CONSULTS FEE 2Q2009		1,192.74	
04/21/09	Cash in Lieu		TIME WARNER INC SHS			14.63
04/27/09	Cash in Lieu		TIME WARNER CABLE INC			25.85
06/03/09	Fgn Div Tax		TEVA PHARMACTCL INDS ADR		2.71	
07/07/09	Journal Entry		ML CONSULTS FEE 3Q2009		1,284.72	
08/07/09	Cash in Lieu		MARRIOTT INTL INC NEW A			5.64
08/27/09	Fgn Div Tax		TEVA PHARMACTCL INDS ADR		2.81	
09/11/09	Cash in Lieu		MARRIOTT INTL INC NEW A			6.03
09/30/09	Fgn Div Tax		CANADIAN NATL RAILWAY CO		0.85	
10/06/09	Journal Entry		ML CONSULTS FEE 4Q2009		1,380.82	
11/10/09	Transferred		TFR FM 438-02016			3,523.18
11/11/09	Journal Entry		TFR FM 438-02016			15.30
11/17/09	Journal Entry		TFR FM 438-02016			3.30
12/04/09	Fgn Div Tax		TEVA PHARMACTCL INDS ADR		2.82	
12/07/09	Fgn Div Tax		PETREO BRAS VTG SPD ADR		0.46	
12/08/09	Journal Entry		TFR FM 438-02016		2.61	
12/15/09	Fgn Div Tax		BARRICK GOLD CORPORATION		1.23	
12/24/09	Cash in Lieu		AOL INC			10.77
12/29/09	Journal Entry		TR TO 72502291		6,500.00	
12/29/09	Fgn Div Tax		PETREO BRAS VTG SPD ADR		0.30	
12/31/09	Fgn Div Tax		CANADIAN NATL RAILWAY CO		0.87	
	Net Total				11,637.80	3,604.70

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
6,000.0000	FNMA P685665 05%2018	03/09/04	01/08/09	1,667.31	1,671.86	N/C
2,000.0000	FEDERAL HOME LN MTG CORP	12/19/08	12/31/08	2,225.61	2,240.90	(15.29) ST
5,369.0000	FNMA P852932 05%2021	12/21/06	01/08/09	3,584.40	3,433.86	N/C
16.0000	ABBOTT LABS	05/04/07	01/23/09	843.31	931.06	(87.75) LT

PLEASE SEE REVERSE SIDE

x 99999999 Page 74 of 81 Statement Period Year Ending 12/31/09 Account No. 725-02272

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
23.0000	AON CORP COM	07/17/07	01/08/09	997.93	962.86	35.07 LT
34.0000	BB&T CORPORATION	10/16/07	01/23/09	678.24	1,317.88	(639.64) LT
17.0000	BB&T CORPORATION	05/01/08	01/23/09	339.12	620.78	(281.66) ST
53.0000	CVS CAREMARK CORP	02/27/07	01/08/09	1,538.83	1,659.55	(120.72) LT
13.0000	CATERPILLAR INC DEL	09/05/07	01/08/09	582.88	976.95	(414.07) LT
5.0000	FREERT-MCMRAN CPR & GLD	05/23/07	01/23/09	113.82	373.46	(259.64) LT
7.0000	FREERT-MCMRAN CPR & GLD	06/05/07	01/23/09	159.36	543.58	(384.22) LT
2.0000	FREERT-MCMRAN CPR & GLD	10/28/08	01/23/09	45.54	48.74	(3.20) ST
19.0000	HARLEY DAVIDSON INC WIS	10/02/08	01/23/09	217.60	676.29	(458.69) ST
3.0000	HARLEY DAVIDSON INC WIS	10/28/08	01/23/09	34.36	57.01	(22.65) ST
5.0000	KRAFT FOODS INC VA CL A	04/20/04	01/08/09	139.75	156.08	(16.33) LT
6.0000	KRAFT FOODS INC VA CL A	07/22/05	01/08/09	167.70	184.62	(16.92) LT
9.0000	KRAFT FOODS INC VA CL A	12/20/06	01/08/09	251.56	324.44	(72.88) LT
33.0000	BANK OF AMERICA CORP	10/09/08	02/10/09	187.53	717.02	(529.49) ST
42.0000	BANK OF AMERICA CORP	10/23/08	02/10/09	238.67	965.49	(726.82) ST
50.0000	BANK OF AMERICA CORP	10/28/08	02/10/09	284.15	1,033.16	(749.01) ST
43.0000	US BANCORP (NEW)	07/19/07	02/04/09	610.07	1,389.14	(779.07) LT
22.0000	US BANCORP (NEW)	09/25/08	02/04/09	312.13	760.46	(448.33) ST
2.0000	US BANCORP (NEW)	09/30/08	02/04/09	28.37	68.80	(40.43) ST
8.0000	US BANCORP (NEW)	11/21/08	02/04/09	113.51	167.58	(54.07) ST
14.0000	WAL-MART STORES INC	12/20/06	02/04/09	648.58	643.30	5.28 LT
1,000.0000	FEDERAL HOME LN MTG CORP	12/19/08	02/27/09	1,098.64	1,116.61	(17.97) ST
3,000.0000	FEDERAL NATL MTG ASSOC	12/18/08	03/03/09	3,309.01	3,403.47	(94.46) ST
5,000.0000	FEDERAL NATL MTG ASSOC	01/05/09	03/03/09	5,515.03	5,548.63	(33.60) ST
2,000.0000	US TSY 4.500% FEB 15 2036	11/28/08	03/03/09	2,229.52	2,317.29	(87.77) ST
2,000.0000	US TSY 4.500% FEB 15 2036	12/31/08	03/03/09	2,229.53	2,678.71	(449.18) ST
24.0000	ABBOTT LABS	05/04/07	03/17/09	1,173.04	1,396.59	(223.55) LT
22.0000	AON CORP COM	07/17/07	03/17/09	834.98	921.01	(86.03) LT
101.0000	BANK OF AMERICA CORP	10/28/08	03/17/09	607.16	2,087.00	(1,479.84) ST
49.0000	BANK OF AMERICA CORP	11/05/08	03/17/09	294.56	1,136.31	(841.75) ST
8.0000	BANK OF AMERICA CORP	11/21/08	03/17/09	48.10	82.48	(34.38) ST
30.0000	CAPITAL ONE FINL	04/22/08	02/26/09	432.64	1,416.67	(984.03) ST
21.0000	CAPITAL ONE FINL	09/25/08	02/26/09	302.85	1,028.05	(725.20) ST
11.0000	CHEVRON CORP	07/19/07	03/17/09	714.21	1,021.33	(307.12) LT
80.0000	FIFTH THIRD BANCORP	08/06/08	02/26/09	189.41	1,207.72	(1,018.31) ST
7.0000	FIFTH THIRD BANCORP	09/30/08	02/26/09	16.57	76.97	(60.40) ST
2.0000	FIFTH THIRD BANCORP	10/08/08	02/26/09	4.73	22.88	(18.15) ST
5.0000	FIFTH THIRD BANCORP	10/28/08	02/26/09	11.84	42.02	(30.18) ST
7.0000	FIFTH THIRD BANCORP	11/21/08	02/26/09	16.59	47.54	(30.95) ST
8.0000	GOLDMAN SACHS GROUP INC	10/02/08	03/17/09	777.11	1,050.33	(273.22) ST

PLEASE SEE REVERSE SIDE

Page 75 of 81
Statement Period Year Ending 12/31/09
Account No. 725-02272

EMA™ Fiscal Statement

STEVEN & RUTH KATZ FAMILY

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
2.0000	GOLDMAN SACHS GROUP INC	10/28/08	03/17/09	194.28	170.52	23.76 ST
7.0000	GOLDMAN SACHS GROUP INC	11/05/08	03/26/09	775.30	642.21	133.09 ST
2.0000	GOLDMAN SACHS GROUP INC	11/21/08	03/26/09	221.51	104.25	117.26 ST
2.0000	GOLDMAN SACHS GROUP INC	12/03/08	03/26/09	221.52	137.98	83.54 ST
40.0000	KROGER CO	12/20/06	03/26/09	880.69	926.80	(46.11) LT
2.0000	KROGER CO	02/07/07	03/26/09	44.04	52.12	(8.08) LT
9.0000	MICROSOFT CORP	12/21/07	03/06/09	138.67	323.06	(184.39) LT
39.0000	MICROSOFT CORP	02/21/08	03/06/09	600.93	1,102.12	(501.19) LT
14.0000	MICROSOFT CORP	02/21/08	03/17/09	233.37	395.64	(162.27) LT
9.0000	MICROSOFT CORP	09/30/08	03/17/09	150.02	232.23	(82.21) ST
2.0000	MICROSOFT CORP	10/08/08	03/17/09	33.33	46.55	(13.22) ST
23.0000	MICROSOFT CORP	10/20/08	03/17/09	383.41	553.14	(169.73) ST
6.0000	MICROSOFT CORP	10/28/08	03/17/09	100.02	129.27	(29.25) ST
6.0000	MICROSOFT CORP	11/21/08	03/17/09	100.03	111.82	(11.79) ST
17.0000	PRAXAIR INC	03/08/04	03/26/09	558.03	297.57	260.46 LT
17.0000	SUNTRUST BKS INC COM	08/24/07	02/26/09	227.54	1,386.17	(1,158.63) LT
2.0000	SUNTRUST BKS INC COM	09/30/08	02/26/09	26.76	87.00	(60.24) ST
18.0000	SUNTRUST BKS INC COM	10/20/08	02/26/09	240.93	741.61	(500.68) ST
2.0000	SUNTRUST BKS INC COM	11/21/08	02/26/09	26.78	41.00	(14.22) ST
15.0000	TEVA PHARMACTCL INDS ADR	06/27/06	03/17/09	682.26	479.07	203.19 LT
11.0000	TICKETMASTER ENTERTINMNT	05/17/07	03/26/09	44.64	493.11	(448.47) LT
6.0000	TICKETMASTER ENTERTINMNT	06/01/07	03/26/09	24.35	236.11	(211.76) LT
10.0000	WAL-MART STORES INC	12/20/06	03/06/09	488.92	459.50	29.42 LT
1,000.0000	FNMA P906274 05 50%2037	12/21/06	04/27/09	748.28	716.40	N/C
4,000.0000	FNMA P937906 05 50%2037	08/31/07	04/27/09	3,319.30	3,126.21	N/C
7,000.0000	FNMA P969064 05 50%2038	03/10/08	04/27/09	6,453.10	6,138.40	N/C
19.0000	BANK NEW YORK MELLON	03/08/04	04/06/09	532.05	697.23	(165.18) LT
7.0000	BANK NEW YORK MELLON	11/23/04	04/06/09	196.02	229.60	(33.58) LT
11.0000	BANK NEW YORK MELLON	12/12/06	04/06/09	308.04	470.13	(162.09) LT
5.0000	BANK NEW YORK MELLON	12/20/06	04/06/09	140.02	210.42	(70.40) LT
12.0000	BANK NEW YORK MELLON	12/20/06	04/17/09	363.02	505.02	(142.00) LT
8.0000	CAPITAL ONE FINL	09/25/08	04/06/09	104.66	391.64	(286.98) ST
2.0000	CAPITAL ONE FINL	09/30/08	04/06/09	26.16	94.17	(68.01) ST
5.0000	CAPITAL ONE FINL	10/28/08	04/06/09	65.41	177.54	(112.13) ST
4.0000	CAPITAL ONE FINL	11/21/08	04/06/09	52.33	98.81	(46.48) ST
24.0000	CAPITAL ONE FINL	12/10/08	04/06/09	313.99	761.98	(447.99) ST
9.0000	CAPITAL ONE FINL	03/03/09	04/06/09	117.75	94.37	23.38 ST
6.0000	GENERAL ELECTRIC	08/29/06	04/17/09	72.92	204.33	(131.41) LT
8.0000	GENERAL ELECTRIC	12/05/06	04/17/09	97.23	281.84	(184.61) LT
48.0000	GENERAL ELECTRIC	12/20/06	04/17/09	583.45	1,834.07	(1,250.62) LT

PLEASE SEE REVERSE SIDE

EMASM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
10.0000	SCHWAB CHARLES CORP NEW	11/15/07	04/06/09	157.76	239.04	(81.28) LT
51.0000	SCHWAB CHARLES CORP NEW	02/06/08	04/06/09	804.60	1,052.06	(247.46) LT
4.0000	SCHWAB CHARLES CORP NEW	10/08/08	04/06/09	63.10	81.40	(18.30) ST
14.0000	SCHWAB CHARLES CORP NEW	10/28/08	04/06/09	220.87	220.49	0.38 ST
8.0000	SCHWAB CHARLES CORP NEW	03/03/09	04/06/09	126.22	98.44	27.78 ST
1.0000	ABBOTT LABS	05/04/07	05/14/09	44.88	58.20	(13.32) LT
13.0000	ABBOTT LABS	02/06/08	05/14/09	583.47	754.54	(171.07) LT
74.0000	ANNALY CAP MGMT INC	07/17/08	05/08/09	1,078.73	1,072.44	6.29 ST
26.0000	ARCHER DANIELS MIDLD	05/15/08	05/14/09	652.59	1,131.81	(479.22) ST
27.0000	BEST BUY CO INC	10/02/08	04/29/09	1,021.43	960.32	61.11 ST
16.0000	CARDINAL HEALTH INC OHIO	10/20/08	05/21/09	579.92	650.82	(70.90) ST
2.0000	CARDINAL HEALTH INC OHIO	10/28/08	05/21/09	72.49	69.36	3.13 ST
2.0000	CARDINAL HEALTH INC OHIO	03/03/09	05/21/09	72.50	63.38	9.12 ST
23.0000	CAMPBELL SOUP CO	10/16/07	05/14/09	611.95	829.35	(217.40) LT
1.0000	CAMPBELL SOUP CO	02/06/08	05/14/09	26.61	31.64	(5.03) LT
13.0000	CHEVRON CORP	07/19/07	05/08/09	913.50	1,207.03	(293.53) LT
16.0000	COCA COLA ENTERPRISES	10/05/06	04/29/09	268.06	331.96	(63.90) LT
31.0000	COCA COLA ENTERPRISES	12/20/06	04/29/09	519.37	620.62	(101.25) LT
5.0000	COCA COLA ENTERPRISES	07/11/07	04/29/09	83.77	120.04	(36.27) LT
7.0000	GOLDMAN SACHS GROUP INC	12/03/08	04/29/09	886.15	482.93	403.22 ST
17.0000	QUALCOMM INC	08/08/07	05/14/09	690.33	675.50	14.83 LT
22.0000	ROCKWELL COLLINS INC	03/17/09	05/08/09	866.84	694.12	172.72 ST
44.0000	WESTERN UN CO	05/09/08	04/29/09	746.04	1,012.66	(266.62) ST
16.0000	ACE LIMITED	10/09/08	05/14/09	695.24	729.25	(34.01) ST
4.0000	ACE LIMITED	03/03/09	05/14/09	173.81	142.26	31.55 ST
13.0000	WAL-MART STORES INC	12/20/06	05/14/09	637.82	597.35	40.47 LT
6.0000	WAL-MART STORES INC	07/11/07	05/14/09	294.39	284.84	9.55 LT
25.0000	ANNALY CAP MGMT INC	07/17/08	06/04/09	366.23	362.31	3.92 ST
27.0000	CAMPBELL SOUP CO	02/06/08	06/25/09	796.51	854.39	(57.88) LT
4.0000	CAMPBELL SOUP CO	09/30/08	06/25/09	118.00	153.12	(35.12) ST
2.0000	CAMPBELL SOUP CO	10/08/08	06/25/09	59.00	76.68	(17.68) ST
7.0000	CAMPBELL SOUP CO	11/21/08	06/25/09	206.51	241.78	(35.27) ST
5.0000	CAMPBELL SOUP CO	03/03/09	06/25/09	147.51	133.44	14.07 ST
8.0000	CVS CAREMARK CORP	02/27/07	06/04/09	241.35	250.50	(9.15) LT
2.0000	CVS CAREMARK CORP	09/30/08	06/04/09	60.33	65.55	(5.22) ST
13.0000	CVS CAREMARK CORP	10/28/08	06/04/09	392.20	322.36	69.84 ST
4.0000	CVS CAREMARK CORP	03/03/09	06/04/09	120.68	101.75	18.93 ST
10.0000	CVS CAREMARK CORP	04/06/09	06/04/09	301.71	282.25	19.46 ST
29.0000	IAC INTERACTIVECORP	05/17/07	06/17/09	473.09	867.87	(394.78) LT
13.0000	IAC INTERACTIVECORP	06/01/07	06/17/09	212.07	415.55	(203.48) LT

PLEASE SEE REVERSE SIDE

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
7 0000	IAC INTERACTIVECORP	09/30/08	06/17/09	114.19	112.07	2.12 ST
3 0000	IAC INTERACTIVECORP	10/28/08	06/17/09	48.94	47.48	1.46 ST
7 0000	IAC INTERACTIVECORP	11/21/08	06/17/09	114.20	98.45	15.75 ST
4 0000	IAC INTERACTIVECORP	03/03/09	06/17/09	65.27	59.67	5.60 ST
24 0000	WASTE MANAGEMENT INC NEW	12/20/06	05/28/09	662.95	870.01	(207.06) LT
6 0000	WASTE MANAGEMENT INC NEW	09/30/08	05/28/09	185.74	188.65	(22.91) ST
7 0000	WASTE MANAGEMENT INC NEW	10/28/08	05/28/09	193.36	186.42	6.94 ST
3 0000	WASTE MANAGEMENT INC NEW	11/21/08	05/28/09	82.87	86.38	(3.51) ST
4 0000	WASTE MANAGEMENT INC NEW	03/03/09	05/28/09	110.50	103.96	6.54 ST
35 0000	BB&T CORPORATION	05/01/08	07/10/09	718.86	1,278.09	(559.23) LT
5 0000	BB&T CORPORATION	07/17/08	07/10/09	102.70	136.05	(33.35) ST
87 0000	COCA COLA ENTERPRISES	07/12/07	07/06/09	1,434.08	2,110.90	(676.82) LT
35 0000	COCA COLA ENTERPRISES	05/15/08	07/10/09	575.14	752.25	(177.11) LT
16 0000	EXXON MOBIL CORP COM	12/20/06	07/10/09	1,094.91	1,223.36	(128.45) LT
5 0000	MONSANTO CO NEW DEL COM	03/26/09	07/10/09	354.59	437.73	(83.14) ST
23 0000	ORACLE CORP \$0.01 DEL	08/01/07	07/21/09	502.19	446.73	55.46 LT
42 0000	ORACLE CORP \$0.01 DEL	09/28/07	07/21/09	917.05	909.01	8.04 LT
6 0000	WESTERN UN CO	05/09/08	07/10/09	96.69	138.10	(41.41) LT
5 0000	WESTERN UN CO	09/30/08	07/10/09	80.58	119.38	(38.80) ST
3 0000	WESTERN UN CO	10/28/08	07/10/09	48.34	41.43	6.91 ST
6 0000	WESTERN UN CO	11/21/08	07/10/09	96.70	68.07	28.63 ST
7 0000	WESTERN UN CO	03/03/09	07/10/09	112.82	75.55	37.27 ST
39 0000	ARCHER DANIELS MIDLD	05/15/08	08/26/09	193.75	304.72	(110.97) LT
2 0000	ARCHER DANIELS MIDLD	06/26/08	08/26/09	1,079.53	1,274.55	(195.02) LT
18 0000	AON CORP COM	07/17/07	07/30/09	79.44	83.73	(4.29) LT
2 0000	AON CORP COM	09/28/07	07/30/09	714.97	806.54	(91.57) LT
16 0000	AON CORP COM	02/06/08	07/30/09	79.45	85.98	(6.53) LT
3 0000	BEST BUY CO INC	10/02/08	08/07/09	630.98	569.08	61.90 ST
3 0000	BEST BUY CO INC	10/28/08	08/07/09	118.31	66.70	51.61 ST
45 0000	GENERAL ELECTRIC	11/18/08	08/07/09	118.32	64.08	54.24 ST
35 0000	GENERAL ELECTRIC	12/20/06	08/07/09	668.48	1,719.46	(1,050.98) LT
3 0000	GENERAL ELECTRIC	01/31/07	08/07/09	519.93	1,260.00	(740.07) LT
25 0000	JPMORGAN CHASE & CO	02/16/07	08/07/09	44.57	107.98	(63.41) LT
28 0000	JPMORGAN CHASE & CO	03/08/04	07/30/09	967.85	1,075.76	(107.91) LT
41 0000	KOHL'S CORP WISC PV 1CT	12/20/06	07/30/09	1,084.01	1,349.88	(265.87) LT
5 0000	PRAXAIR INC	10/09/08	08/07/09	2,187.77	1,477.17	710.60 ST
10 0000	PRAXAIR INC	03/08/04	08/07/09	392.45	185.99	206.46 LT
5 0000	WAL-MART STORES INC	12/20/06	08/07/09	784.93	623.10	161.83 LT
18 0000	WAL-MART STORES INC	07/11/07	08/19/09	258.03	237.37	20.66 LT
		02/21/08	08/19/09	928.93	896.35	32.58 LT

PLEASE SEE REVERSE SIDE

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
3,000.0000	US TSY 3.125% SEP 30 2013	07/31/09	08/31/09	3,133.35	3,111.14	22.21 ST
44.0000	BANK NEW YORK MELLON	12/20/06	09/18/09	1,336.89	1,851.78	(514.89) LT
8.0000	FRANKLIN RES INC	10/02/08	09/11/09	781.90	725.65	56.25 ST
3.0000	FRANKLIN RES INC	10/28/08	09/11/09	293.21	163.58	129.63 ST
2.0000	FRANKLIN RES INC	12/03/08	09/11/09	195.48	114.72	80.76 ST
69.0000	HERTZ GLOBAL HOLDINGS IN	08/08/07	09/03/09	632.46	1,631.33	(998.87) LT
3.0000	BANK NEW YORK MELLON	12/20/06	09/28/09	88.91	126.26	(37.35) LT
30.0000	BANK NEW YORK MELLON	03/30/07	09/28/09	889.18	1,254.96	(365.78) LT
25.0000	BEST BUY CO INC	11/18/08	10/01/09	923.08	534.07	389.01 ST
1.0000	BEST BUY CO INC	11/21/08	10/01/09	36.93	17.31	19.62 ST
24.0000	EL PASO CORPORATION	01/17/06	10/09/09	248.65	318.36	(69.71) LT
15.0000	EL PASO CORPORATION	03/14/06	10/09/09	155.41	183.01	(27.60) LT
25.0000	EL PASO CORPORATION	12/20/06	10/09/09	259.02	375.74	(116.72) LT
9.0000	FRANKLIN RES INC	12/03/08	09/28/09	911.24	516.27	394.97 ST
12.0000	HESS CORP	05/30/08	10/09/09	682.19	1,458.78	(776.59) LT
2.0000	HESS CORP	09/30/08	10/09/09	113.70	163.03	(49.33) LT
1.0000	HESS CORP	10/28/08	10/09/09	56.85	44.38	12.47 ST
19.0000	HEWLETT PACKARD CO DEL	05/23/07	09/28/09	910.15	872.27	37.88 LT
11.0000	HEWLETT PACKARD CO DEL	09/06/07	09/28/09	526.94	549.14	(22.20) LT
61.0000	ORACLE CORP \$0.01 DEL	09/28/07	10/14/09	1,293.26	1,320.24	(26.98) LT
6.0000	ORACLE CORP \$0.01 DEL	09/30/08	10/14/09	127.20	118.20	9.00 LT
4.0000	ORACLE CORP \$0.01 DEL	10/08/08	10/14/09	84.80	68.00	16.80 LT
14.0000	ORACLE CORP \$0.01 DEL	10/28/08	10/14/09	296.81	221.83	74.98 ST
9.0000	ORACLE CORP \$0.01 DEL	11/21/08	10/14/09	190.81	138.74	52.07 ST
15.0000	ORACLE CORP \$0.01 DEL	03/03/09	10/09/09	486.62	229.85	88.18 ST
13.0000	PROGRESS ENERGY INC	03/08/04	10/09/09	711.23	618.28	(131.66) LT
19.0000	PROGRESS ENERGY INC	12/20/06	10/09/09	888.71	932.70	(221.47) LT
27.0000	J C PENNEY CO COM	10/02/08	10/01/09	888.71	887.38	1.33 ST
2.0000	J C PENNEY CO COM	10/28/08	10/01/09	65.83	37.65	28.18 ST
9.0000	J C PENNEY CO COM	11/05/08	10/01/09	296.24	206.22	90.02 ST
54.0000	WELLS FARGO & CO NEW DEL	09/20/07	09/28/09	1,532.87	1,972.67	(439.80) LT
25.0000	WELLS FARGO & CO NEW DEL	10/26/07	09/28/09	709.67	856.74	(147.07) LT
3,000.0000	US TSY 3.125% SEP 30 2013	10/21/09	11/09/09	3,148.82	3,132.70	16.12 ST
3,000.0000	US TSY 3.125% SEP 30 2013	10/30/09	11/09/09	3,148.82	3,143.60	5.22 ST
21.0000	AON CORP COM	02/06/08	11/06/09	823.72	902.88	(79.16) LT
1.0000	AON CORP COM	09/30/08	11/06/09	39.23	44.99	(5.76) LT
77.0000	LOWE'S COMPANIES INC	10/02/08	11/19/09	1,654.80	1,784.31	(129.51) LT
2.0000	LOWE'S COMPANIES INC	10/08/08	11/19/09	42.98	39.22	3.76 LT
8.0000	LOWE'S COMPANIES INC	10/28/08	11/19/09	171.93	138.36	33.57 LT
6.0000	LOWE'S COMPANIES INC	11/21/08	11/19/09	128.94	97.80	31.14 ST

PLEASE SEE REVERSE SIDE

Page 79 of 81
Statement Period Year Ending 12/31/09
Account No 725-02272

EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
7.0000	LOWE'S COMPANIES INC	03/03/09	11/19/09	150.45	99.89	50.56 ST
21.0000	QUALCOMM INC	08/08/07	11/18/09	952.92	834.46	118.46 LT
4.0000	QUALCOMM INC	09/30/08	11/18/09	181.51	166.84	14.67 LT
3.0000	QUALCOMM INC	10/28/08	11/18/09	136.13	104.25	31.88 LT
4.0000	QUALCOMM INC	11/21/08	11/18/09	181.51	117.26	64.25 ST
4.0000	QUALCOMM INC	03/03/09	11/18/09	181.52	133.07	48.45 ST
1,000.0000	FNMA P725424 05 50%2034 COR	06/17/05	12/14/09	365.07	348.83	N/C
1,000.0000	FNMA P906274 05 50%2037 COR	12/21/06	12/14/09	622.58	585.14	N/C
3,000.0000	FNMA P937906 05 50%2037 COR	08/31/07	12/14/09	2,005.16	1,853.91	N/C
1.0000	AOL INC	01/23/09	12/18/09	23.36	22.52	0.84 ST
1.0000	AOL INC	03/03/09	12/18/09	23.37	12.51	10.86 ST
5.0000	AON CORP	09/30/08	12/21/09	188.05	224.89	(36.84) LT
2.0000	AON CORP	10/08/08	12/21/09	75.22	79.17	(3.95) LT
5.0000	AON CORP	10/28/08	12/21/09	188.05	176.30	11.75 LT
10.0000	AON CORP	03/03/09	12/21/09	376.11	374.15	1.96 ST
15.0000	AON CORP	05/08/09	12/21/09	564.18	552.27	11.91 ST
10.0000	AON CORP	05/20/09	12/21/09	376.12	366.08	10.04 ST
210.0000	BOSTON SCIENTIFIC CORP	03/17/08	12/15/09	1,882.60	2,584.18	(701.58) LT
71.0000	BOSTON SCIENTIFIC CORP	08/06/08	12/15/09	636.50	904.79	(268.29) LT
24.0000	BOSTON SCIENTIFIC CORP	09/30/08	12/15/09	215.15	287.92	(72.77) LT
1.0000	BOSTON SCIENTIFIC CORP	10/08/08	12/15/09	8.97	9.37	(0.40) LT
33.0000	HOME DEPOT INC	03/17/09	12/15/09	957.38	704.43	252.95 ST
32.0000	KRAFT FOODS INC VA CL A	12/20/06	12/18/09	860.94	1,153.60	(292.66) LT
1.0000	KOHL'S CORP WISC PV 1CT	10/09/08	12/18/09	53.13	36.03	17.10 LT
26.0000	KOHL'S CORP WISC PV 1CT	11/05/08	12/18/09	1,381.41	884.25	497.16 LT
7.0000	KOHL'S CORP WISC PV 1CT	11/21/08	12/18/09	371.91	179.59	192.32 LT
1.0000	KOHL'S CORP WISC PV 1CT	02/04/09	12/18/09	53.14	37.40	15.74 ST
24.0000	MOODY'S CORP	06/26/08	12/15/09	624.66	847.49	(222.83) LT
7.0000	MOODY'S CORP	10/28/08	12/15/09	182.19	127.44	54.75 LT
22.0000	MOODY'S CORP	02/04/09	12/15/09	572.60	489.79	82.81 ST
4.0000	MOODY'S CORP	03/03/09	12/15/09	104.11	67.14	36.97 ST
43.0000	MOODY'S CORP	03/06/09	12/15/09	1,119.19	699.36	419.83 ST
45.0000	PETROHAWK ENERGY CORP	11/18/09	12/18/09	1,101.89	988.39	113.50 ST
5.0000	TEVA PHARMACTCL INDS ADR	06/27/06	12/18/09	264.25	159.69	104.56 LT
31.0000	TEVA PHARMACTCL INDS ADR	12/20/06	12/18/09	1,638.42	979.91	658.51 LT

Realized Capital Gain and Loss Summary.

Short Term (7,671.06)

Long Term (17,519.53)

PLEASE SEE REVERSE SIDE

Page
80 of 81Statement Period
Year Ending 12/31/09Account No
725-02272

EMASM Fiscal Statement

THE STEVEN W AND RUTH KATZ

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
50,000.0000	STATE OF ISRAEL 4.00% 2009	03/12/04	01/02/09	50,000.00	N/A	N/C
50,000.0000	FEDERAL NATL MTG ASSOC	01/31/08	04/20/09	50,000.00	50,000.00	0.00
51.0000	AETNA INC NEW	09/28/06	09/02/09	1,467.36	1,978.97	(511.61) LT
9.0000	AETNA INC NEW	09/29/06	09/02/09	258.95	352.95	(94.00) LT
41.0000	AMERICA MOVIL SAB DE CV	03/27/06	09/02/09	1,785.49	1,404.66	380.83 LT
7.0000	AMERICA MOVIL SAB DE CV	08/03/06	09/02/09	304.85	247.88	56.97 LT
2.0000	AT&T INC	09/23/03	09/02/09	51.04	44.51	6.53 LT
26.0000	AT&T INC	08/08/05	09/02/09	663.57	635.56	28.01 LT
10.0000	AT&T INC	08/22/05	09/02/09	255.22	215.76	39.46 LT
16.0000	AT&T INC	08/23/05	09/02/09	408.35	324.00	84.35 LT
16.0000	AT&T INC	08/24/05	09/02/09	408.35	323.77	84.58 LT
11.0000	AT&T INC	12/15/05	09/02/09	280.74	272.73	8.01 LT
19.0000	AT&T INC	01/20/06	09/02/09	484.92	469.22	15.70 LT
10.0000	AT&T INC	01/25/07	09/02/09	255.23	368.46	(113.23) LT
4.0000	APPLE INC	01/25/06	09/02/09	663.10	288.65	364.45 LT
6.0000	APPLE INC	07/27/06	09/02/09	994.65	385.35	609.30 LT
54.0000	BMC SOFTWARE INC	09/27/06	09/02/09	1,899.27	1,491.70	407.57 LT
42.0000	BMC SOFTWARE INC	09/28/06	09/02/09	1,477.22	1,169.51	307.71 LT
23.0000	BANK NEW YORK MELLON	09/23/03	09/02/09	645.59	747.38	(101.79) LT
5.0000	BANK NEW YORK MELLON	01/27/04	09/02/09	140.34	188.64	(48.30) LT
9.0000	BANK NEW YORK MELLON	02/12/04	09/02/09	140.34	161.83	(21.49) LT
6.0000	BANK NEW YORK MELLON	10/22/04	09/02/09	252.62	311.97	(59.35) LT
6.0000	BANK NEW YORK MELLON	08/04/05	09/02/09	168.42	183.37	(14.95) LT
1.0000	BANK NEW YORK MELLON	11/09/05	09/02/09	168.42	189.86	(21.44) LT
3.0000	BANK NEW YORK MELLON	12/20/05	09/02/09	28.07	31.60	(3.53) LT
16.0000	CSX CORP	02/01/06	09/02/09	84.21	94.71	(10.50) LT
21.0000	CSX CORP	09/02/09	09/08/09	733.73	671.35	62.38 ST
58.0000	CSX CORP	09/02/09	09/11/09	973.24	881.14	92.10 ST
34.0000	CHINA MOBILE LTD SPN ADR	09/02/09	09/22/09	2,677.85	2,433.66	244.19 ST
9.0000	CHINA MOBILE LTD SPN ADR	11/03/06	09/02/09	1,635.69	1,455.38	180.31 LT
24.0000	CHINA MOBILE LTD SPN ADR	12/08/06	09/02/09	432.97	373.39	59.58 LT
1.0000	CHINA MOBILE LTD SPN ADR	05/17/07	09/02/09	48.11	948.03	206.59 LT
44.0000	CHEVRON CORP	10/03/06	09/02/09	3,007.98	48.13	(0.02) LT
17.0000	CHEVRON CORP	10/30/06	09/02/09	1,162.18	2,789.78	218.20 LT
3.0000	CME GROUP INC	08/09/05	09/02/09	837.81	1,138.43	23.75 LT
2.0000	CME GROUP INC	08/23/05	09/02/09	558.54	872.08	(34.27) LT
2.0000	CME GROUP INC	11/16/05	09/02/09	558.55	552.18	6.36 LT
74.0000	CISCO SYSTEMS INC COM	09/05/06	09/02/09	1,557.62	757.20	(198.65) LT
					1,638.97	(41.35) LT

Fiscal Statement

THE STEVEN W AND RUTH KATZ

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
15.0000	CUMMINS INC COM	09/02/09	09/08/09	710.68	667.11	43.57 ST
39.0000	EXXON MOBIL CORP COM	09/23/03	09/02/09	2,671.53	1,445.13	1,226.40 LT
8.0000	EXXON MOBIL CORP COM	11/07/03	09/02/09	548.00	284.26	263.74 LT
7.0000	EXXON MOBIL CORP COM	11/07/03	09/02/09	479.50	248.72	230.78 LT
1.0000	EXXON MOBIL CORP COM	11/10/03	09/02/09	68.50	35.50	33.00 LT
1.0000	EXXON MOBIL CORP COM	11/10/03	09/02/09	68.50	35.50	33.00 LT
4.0000	EXXON MOBIL CORP COM	11/14/03	09/02/09	274.00	143.77	130.23 LT
2.0000	EXXON MOBIL CORP COM	11/14/03	09/02/09	137.00	71.89	65.11 LT
8.0000	EXXON MOBIL CORP COM	02/05/04	09/02/09	548.03	322.62	225.41 LT
4.0000	EXXON MOBIL CORP COM	02/05/04	09/08/09	283.26	161.32	121.94 LT
14.0000	EXXON MOBIL CORP COM	12/31/04	09/08/09	991.41	718.30	273.11 LT
12.0000	EXXON MOBIL CORP COM	12/31/04	09/08/09	849.79	615.69	234.10 LT
1.0000	EXXON MOBIL CORP COM	09/10/07	09/08/09	70.82	84.22	(13.40) LT
15.0000	EXELON CORPORATION	09/02/09	09/08/09	732.27	732.71	(0.44) ST
27.0000	EMERSON ELEC CO	09/23/03	09/02/09	978.99	736.03	242.96 LT
4.0000	EMERSON ELEC CO	06/21/05	09/02/09	145.03	130.09	14.94 LT
2.0000	EMERSON ELEC CO	06/22/05	09/02/09	72.51	65.07	7.44 LT
4.0000	EMERSON ELEC CO	06/23/05	09/02/09	145.03	130.07	14.96 LT
6.0000	EMERSON ELEC CO	10/07/05	09/02/09	217.56	209.72	7.84 LT
8.0000	EMERSON ELEC CO	11/01/05	09/02/09	290.09	281.23	8.86 LT
10.0000	FREERT-MCMRAN CPR & GLD	09/02/09	09/25/09	682.51	617.59	64.92 ST
2.0000	GENL DYNAMICS CORP COM	06/01/05	09/02/09	116.27	108.12	8.15 LT
8.0000	GENL DYNAMICS CORP COM	06/03/05	09/02/09	465.10	431.47	33.63 LT
12.0000	GENL DYNAMICS CORP COM	06/27/05	09/02/09	697.66	656.34	41.32 LT
30.0000	GENL DYNAMICS CORP COM	03/27/06	09/02/09	1,744.16	1,946.40	(202.24) LT
7.0000	GENL DYNAMICS CORP COM	07/20/06	09/02/09	406.98	476.31	(69.33) LT
25.0000	GOLDMAN SACHS GROUP INC	03/27/06	09/02/09	3,967.89	3,877.25	90.64 LT
3.0000	GOOGLE INC CL A	11/02/05	09/02/09	1,361.30	1,140.30	221.00 LT
3.0000	GOOGLE INC CL A	04/21/06	09/02/09	1,361.30	1,344.60	16.70 LT
1.0000	GOOGLE INC CL A	08/08/06	09/02/09	453.77	382.81	70.96 LT
38.0000	HEWLETT PACKARD CO DEL	03/23/05	09/02/09	1,683.75	749.41	934.34 LT
26.0000	HEWLETT PACKARD CO DEL	03/23/05	09/02/09	1,152.04	512.75	639.29 LT
4.0000	HEWLETT PACKARD CO DEL	03/24/05	09/02/09	177.23	78.96	98.27 LT
89.0000	HEWLETT PACKARD CO DEL	09/28/06	09/02/09	3,943.55	3,178.20	765.35 LT
8.0000	ILLUMINA INC COM	09/02/09	09/22/09	320.71	282.53	38.18 ST
26.0000	JUNIPER NETWORKS INC	09/02/09	09/25/09	686.23	599.03	87.20 ST
12.0000	JPMORGAN CHASE & CO	04/10/02	09/02/09	492.73	419.83	72.90 LT
1.0000	JPMORGAN CHASE & CO	04/12/02	09/02/09	41.07	32.47	8.60 LT
15.0000	JPMORGAN CHASE & CO	04/12/02	09/17/09	673.18	487.10	186.08 LT
30.0000	LOCKHEED MARTIN CORP	03/27/06	09/02/09	2,244.40	2,277.60	(33.20) LT

PLEASE SEE REVERSE SIDE

Page 53 of 59
Statement Period Year Ending 12/31/09
Account No. 725-02291

THE STEVEN W AND RUTH KATZ

EMASM Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
4.0000	LOCKHEED MARTIN CORP	08/10/06	09/02/09	299.25	326.94	(27.69) LT
8.0000	LOCKHEED MARTIN CORP	09/05/06	09/02/09	598.51	673.09	(74.58) LT
8.0000	LOCKHEED MARTIN CORP	09/07/06	09/02/09	598.51	665.56	(67.05) LT
5.0000	LOCKHEED MARTIN CORP	09/28/06	09/02/09	374.07	427.24	(53.17) LT
49.0000	LAFARGE ADR NEW	03/27/06	09/02/09	989.77	1,250.56	(260.79) LT
24.0000	MCKESSON CORPORATION COM	09/28/06	09/02/09	1,339.64	1,269.93	69.71 LT
29.0000	MCKESSON CORPORATION COM	09/29/06	09/02/09	1,618.74	1,549.09	69.65 LT
2.0000	MONSANTO CO NEW DEL COM	11/07/02	09/02/09	162.77	17.34	145.43 LT
14.0000	MONSANTO CO NEW DEL COM	11/08/02	09/02/09	1,139.43	120.61	1,018.82 LT
14.0000	MONSANTO CO NEW DEL COM	09/23/03	09/02/09	1,139.43	174.79	964.64 LT
5.0000	MONSANTO CO NEW DEL COM	08/04/06	09/02/09	406.94	229.99	176.95 LT
35.0000	MERCK&CO INC	02/01/06	09/02/09	1,080.81	1,205.16	(124.35) LT
14.0000	MERCK&CO INC	02/02/06	09/02/09	432.32	483.03	(50.71) LT
69.0000	MERCK&CO INC	09/28/06	09/02/09	2,130.76	2,898.90	(768.14) LT
34.0000	NASDAQ OMX GRP INC	09/02/09	09/08/09	707.96	719.43	(11.47) ST
13.0000	NORTH TRUST CORP	11/16/05	09/02/09	740.58	670.16	70.42 LT
10.0000	NORTH TRUST CORP	07/17/06	09/02/09	512.73	486.44	26.29 LT
16.0000	OCCIDENTAL PETE CORP CAL	09/28/06	09/08/09	1,191.66	770.69	420.97 LT
11.0000	POLO RALPH LAUREN CORP A	09/02/09	09/08/09	746.75	707.63	39.12 ST
12.0000	PETROLEO BRAS VTG SPD ADR	10/11/06	09/02/09	484.78	248.64	236.14 LT
8.0000	PETROLEO BRAS VTG SPD ADR	01/19/07	09/02/09	323.19	189.20	133.99 LT
24.0000	PETROLEO BRAS VTG SPD ADR	07/13/07	09/02/09	969.57	824.68	144.89 LT
26.0000	PETROLEO BRAS VTG SPD ADR	09/04/07	09/02/09	1,050.38	834.67	215.71 LT
20.0000	PRAXAIR INC	09/23/03	09/02/09	1,490.56	618.28	872.28 LT
3.0000	PRECISION CASTPARTS	04/18/06	09/02/09	269.99	191.68	78.31 LT
4.0000	PRECISION CASTPARTS	06/09/06	09/02/09	359.98	222.12	137.86 LT
1.0000	PRECISION CASTPARTS	08/08/06	09/02/09	89.99	61.52	28.47 LT
5.0000	PRECISION CASTPARTS	09/26/06	09/02/09	450.00	306.51	143.49 LT
5.0000	RAYTHEON CO DELAWARE NEW	08/02/05	09/02/09	232.09	196.78	35.31 LT
9.0000	RAYTHEON CO DELAWARE NEW	08/04/05	09/02/09	417.76	353.96	63.80 LT
10.0000	RAYTHEON CO DELAWARE NEW	08/05/05	09/02/09	464.18	391.73	72.45 LT
8.0000	RAYTHEON CO DELAWARE NEW	02/15/06	09/02/09	371.35	344.41	26.94 LT
18.0000	RAYTHEON CO DELAWARE NEW	03/27/06	09/02/09	835.54	821.52	14.02 LT
7.0000	RAYTHEON CO DELAWARE NEW	09/28/06	09/02/09	324.94	335.63	(10.69) LT
2.0000	RAYTHEON CO DELAWARE NEW	09/10/07	09/02/09	92.84	118.26	(25.42) LT
25.0000	RED HAT INC	09/02/09	09/02/09	632.11	566.93	65.18 ST
9.0000	SIEMENS AG ADR	03/27/06	09/02/09	755.43	808.11	(52.68) LT
5.0000	SIEMENS AG ADR	10/11/06	09/02/09	419.69	421.58	(1.89) LT
2.0000	SIEMENS AG ADR	10/26/06	09/02/09	167.88	182.30	(14.42) LT

PLEASE SEE REVERSE SIDE

Statement Period
Year Ending 12/31/09
Page 54 of 59
Account No. 725-02291

EMATM Fiscal Statement

THE STEVEN W AND RUTH KATZ

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
22.0000	THERMO FISHER SCIENTIFIC	09/02/09	09/25/09	975.55	951.48	24.07 ST
18.0000	UNION PACIFIC CORP	04/12/02	09/11/09	1,119.91	526.60	593.31 LT
6.0000	UNION PACIFIC CORP	04/01/04	09/11/09	373.31	179.19	194.12 LT
122.0000	YUM BRANDS INC	03/27/06	09/02/09	4,065.26	2,996.32	1,068.94 LT
11.0000	AIR PRODUCTS&CHEM	09/02/09	10/20/09	906.01	808.81	97.20 ST
38.0000	ABB LTD SPON ADR	09/02/09	10/07/09	745.73	703.34	42.39 ST
16.0000	ALTERA CORP COM	09/02/09	10/09/09	334.07	297.57	36.50 ST
11.0000	ACTIVISION BLIZZARD INC	09/02/09	10/09/09	138.81	128.34	10.47 ST
26.0000	APACHE CORP	09/02/09	10/14/09	2,580.61	2,168.62	411.99 ST
255.0000	D R HORTON INC	09/02/09	10/22/09	3,231.83	3,110.87	120.96 ST
2.0000	FREERT-MCMRAN CPR & GLD	09/02/09	10/09/09	147.67	123.51	24.16 ST
14.0000	FREERT-MCMRAN CPR & GLD	09/02/09	10/23/09	1,153.99	864.64	289.35 ST
1.0000	GOLDMAN SACHS GROUP INC	03/27/06	10/09/09	188.36	155.08	33.28 LT
9.0000	JUNIPER NETWORKS INC	09/02/09	10/09/09	245.99	207.35	38.64 ST
4.0000	LOCKHEED MARTIN CORP	09/28/06	10/09/09	299.58	341.79	(42.21) LT
19.0000	LAUDER ESTEE COS INC A	09/02/09	10/22/09	813.52	645.99	167.53 ST
115.0000	NASDAQ OMX GRP INC	09/02/09	10/14/09	2,386.12	2,433.39	(47.27) ST
41.0000	NASDAQ OMX GRP INC	09/02/09	10/15/09	836.13	867.56	(31.43) ST
23.0000	NATIONAL-OILWELL VARCO	09/02/09	10/23/09	1,088.61	828.22	260.39 ST
31.0000	NETAPP INC	09/02/09	10/07/09	810.13	692.84	117.29 ST
33.0000	PACCAR INC	09/02/09	10/22/09	1,289.96	1,158.54	131.42 ST
25.0000	POLO RALPH LAUREN CORP A	09/02/09	10/07/09	1,878.10	1,608.24	269.86 ST
14.0000	POLO RALPH LAUREN CORP A	09/02/09	10/08/09	1,059.45	900.63	158.82 ST
9.0000	QIAGEN NV	09/25/09	10/09/09	192.49	185.35	7.14 ST
41.0000	RED HAT INC	09/02/09	10/02/09	1,103.68	929.76	173.92 ST
15.0000	SCHWAB CHARLES CORP NEW	02/16/05	10/09/09	291.59	163.33	128.26 LT
270.0000	TAIWAN S MANUFCTRING ADR	09/02/09	10/07/09	2,734.08	2,856.36	(122.28) ST
4.0000	THERMO FISHER SCIENTIFIC	09/02/09	10/09/09	181.83	172.99	8.84 ST
10.0000	UNION PACIFIC CORP	06/01/04	10/23/09	571.69	298.65	273.04 LT
12.0000	UNION PACIFIC CORP	06/02/04	10/23/09	686.03	347.66	338.37 LT
2.0000	UNION PACIFIC CORP	06/02/04	10/23/09	114.33	58.59	55.74 LT
8.0000	UNION PACIFIC CORP	03/29/06	10/23/09	457.36	371.49	85.87 LT
8.0000	UNION PACIFIC CORP	03/30/06	10/23/09	457.36	370.65	86.71 LT
25.0000	VERIZON COMMUNICATNS COM	09/02/09	10/07/09	736.79	757.93	(21.14) ST
110.0000	VERIZON COMMUNICATNS COM	09/02/09	10/14/09	3,182.69	3,334.91	(152.22) ST
13.0000	VISA INC CL A SHRS	09/02/09	10/20/09	977.19	912.84	64.35 ST
2,000.0000	U.S. TRSY INFLATION NOTE	09/02/09	11/19/09	2,601.61	2,525.45	76.16 ST
1,000.0000	US TSY 4.000% APR 15 2010	09/02/09	11/19/09	1,015.50	1,017.58	(2.08) ST
2,000.0000	US TSY 4.125% AUG 31 2012	09/02/09	11/19/09	2,167.19	2,152.33	14.86 ST
6.0000	AMAZON COM INC COM	09/02/09	11/12/09	783.93	469.02	314.91 ST

EMATM Fiscal Statement

THE STEVEN W AND RUTH KATZ

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
9.0000	AMAZON COM INC COM	09/02/09	10/28/09	1,104.79	703.52	401.27 ST
229.0000	ACTIVISION BLIZZARD INC	09/02/09	11/12/09	2,653.46	2,671.88	(18.42) ST
75.0000	ACTIVISION BLIZZARD INC	09/22/09	11/12/09	869.04	927.06	(58.02) ST
19.0000	ILLINOIS TOOL WORKS INC	09/02/09	11/12/09	925.46	777.27	148.19 ST
80.0000	NUCOR CORPORATION	09/02/09	11/03/09	3,101.19	3,455.17	(363.98) ST
4.0000	SCHWAB CHARLES CORP NEW	02/16/05	11/03/09	68.99	43.56	25.43 LT
20.0000	SCHWAB CHARLES CORP NEW	05/11/05	11/03/09	344.98	218.96	126.02 LT
1.0000	SCHWAB CHARLES CORP NEW	05/12/05	11/03/09	17.25	11.00	6.25 LT
1,000.0000	GENL DYNAMICS COR 4.25% 13	09/03/09	12/03/09	1,068.00	1,075.75	(7.75) ST
1,000.0000	GOLDMAN SACHS GRO 5.70% 12	09/03/09	12/03/09	1,087.50	1,072.92	14.58 ST
1,000.0000	CONCOPHILLIPS 4.75% 2012	09/03/09	12/03/09	1,084.85	1,074.41	10.44 ST
1,000.0000	JP MORGAN CHASE & 5.75% 13	09/03/09	12/03/09	1,076.93	1,063.95	12.98 ST
1,000.0000	VERIZON GLOBAL FD 4.37% 13	09/03/09	12/03/09	1,061.10	1,078.40	(17.30) ST
1,000.0000	WAL-MART STORES 4.55% 2013	09/03/09	12/03/09	1,086.42	1,078.18	8.24 ST
2,000.0000	FEDERAL NATL MTG ASSOC	09/02/09	12/03/09	2,095.55	2,094.02	1.53 ST
1,000.0000	US TSY 4.250% AUG 15 2013	09/02/09	12/03/09	1,097.81	1,092.45	5.36 ST
1,000.0000	US TSY 6.125% AUG 15 2029	09/02/09	12/03/09	1,253.32	1,284.18	(30.86) ST
2,000.0000	US TSY 4.500% NOV 15 2015	09/02/09	12/03/09	2,228.13	2,205.11	23.02 ST
1,000.0000	US TSY 5.125% JUN 30 2011	09/02/09	12/03/09	1,071.52	1,068.18	3.34 ST
1,000.0000	US TSY 4.750% AUG 15 2017	09/02/09	12/03/09	1,119.26	1,113.00	6.26 ST
1,000.0000	US TSY 3.500% FEB 15 2018	09/02/09	12/03/09	1,025.23	1,024.65	0.58 ST
1,000.0000	US TSY 4.375% FEB 15 2038	09/02/09	12/03/09	1,006.21	1,052.01	(45.80) ST
1,000.0000	NM GENL ELEC CAP CORP BE	09/03/09	12/03/09	1,082.70	1,066.27	16.43 ST
15.0000	AIR PRODUCTS&CHEM	09/02/09	12/03/09	1,259.71	1,102.93	156.78 ST
30.0000	ABB LTD SPON ADR	09/02/09	12/03/09	567.36	555.27	12.09 ST
10.0000	AMAZON COM INC COM	09/02/09	12/03/09	1,447.74	781.70	666.04 ST
5.0000	AMAZON COM INC COM	09/02/09	12/08/09	670.79	390.85	279.94 ST
70.0000	ALTERA CORP COM	09/02/09	12/03/09	1,537.86	1,301.88	235.98 ST
5.0000	ALCON INC	09/02/09	12/03/09	794.67	647.90	146.77 ST
10.0000	ACCENTURE PLC SHS	09/02/09	12/03/09	421.51	335.57	85.94 ST
25.0000	ACCENTURE PLC SHS	09/02/09	12/14/09	1,048.15	838.93	209.22 ST
30.0000	ACCENTURE PLC SHS	09/02/09	12/15/09	1,248.97	1,006.73	242.24 ST
29.0000	APACHE CORP	09/02/09	12/01/09	2,805.28	2,418.85	386.43 ST
7.0000	APPLE INC	07/27/06	12/03/09	1,381.61	449.58	932.03 LT
3.0000	APPLE INC	07/28/06	12/03/09	592.13	194.13	398.00 LT
35.0000	BARRICK GOLD CORPORATION	09/02/09	12/03/09	1,639.82	1,318.41	321.41 ST
35.0000	BANK NEW YORK MELLON	10/23/09	12/03/09	954.44	1,041.53	(87.09) ST
15.0000	BAXTER INTERNTL INC	09/02/09	12/03/09	849.01	837.13	11.88 ST
30.0000	CAMECO CORP COM	09/02/09	12/03/09	934.47	766.13	168.34 ST
15.0000	CREDIT SUISSE GP SP ADR	10/07/09	- 12/03/09	795.75	854.84	(59.09) ST

PLEASE SEE REVERSE SIDE

THE STEVEN W AND RUTH KATZ

EMASM Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
20.0000	CONSOL ENERGY INC COM	09/02/09	12/03/09	923.73	724.94	198.79 ST
5.0000	CME GROUP INC	10/14/09	12/03/09	1,634.30	1,559.54	94.76 ST
10.0000	DANAHER CORP DEL COM	09/08/09	12/03/09	718.69	652.12	66.57 ST
85.0000	DENBURY RES INC	09/02/09	12/03/09	1,146.78	1,245.94	(99.16) ST
30.0000	DISNEY (WALT) CO COM STK	09/02/09	12/03/09	918.88	763.11	155.77 ST
10.0000	EOG RESOURCES INC	09/08/09	12/03/09	869.57	740.82	128.75 ST
15.0000	EXELON CORPORATION	09/02/09	12/03/09	750.47	732.71	17.76 ST
15.0000	FREEMT-MCMRAN CPR & GLD	09/02/09	12/03/09	1,257.56	926.40	341.16 ST
25.0000	FLUOR CORP NEW DEL COM	09/02/09	12/03/09	1,070.98	1,281.86	(210.88) ST
5.0000	GOLDMAN SACHS GROUP INC	03/27/06	12/03/09	833.67	775.45	58.22 LT
10.0000	GENERAL MILLS	09/02/09	12/03/09	685.19	585.99	99.20 ST
20.0000	GILEAD SCIENCES INC COM	09/02/09	12/03/09	938.02	912.19	25.83 ST
20.0000	ILLINOIS TOOL WORKS INC	09/02/09	12/03/09	972.45	818.18	154.27 ST
65.0000	ILLUMINA INC COM	09/02/09	12/03/09	1,903.27	2,295.63	(392.36) ST
25.0000	JUNIPER NETWORKS INC	09/02/09	12/03/09	676.24	576.00	100.24 ST
1.0000	JPMORGAN CHASE & CO	09/12/02	12/03/09	42.18	22.00	20.18 LT
12.0000	JPMORGAN CHASE & CO	09/13/02	12/03/09	506.27	263.20	243.07 LT
8.0000	JPMORGAN CHASE & CO	09/19/02	12/03/09	337.52	159.16	178.36 LT
2.0000	JPMORGAN CHASE & CO	03/12/03	12/03/09	84.38	40.49	43.89 LT
2.0000	JPMORGAN CHASE & CO	10/21/03	12/03/09	84.38	63.60	20.78 LT
2.0000	JPMORGAN CHASE & CO	10/21/03	12/03/09	83.41	63.60	19.81 LT
7.0000	JPMORGAN CHASE & CO	10/22/03	12/14/09	291.95	211.23	80.72 LT
7.0000	JPMORGAN CHASE & CO	10/30/03	12/14/09	291.95	211.98	79.97 LT
5.0000	JPMORGAN CHASE & CO	11/03/03	12/14/09	208.53	170.80	37.73 LT
6.0000	JPMORGAN CHASE & CO	11/05/03	12/14/09	250.24	213.19	37.05 LT
11.0000	JPMORGAN CHASE & CO	11/06/03	12/14/09	458.78	341.19	117.59 LT
14.0000	JPMORGAN CHASE & CO	10/30/06	12/14/09	583.91	663.39	(79.48) LT
15.0000	JOHNSON CONTROLS INC	11/16/05	12/03/09	406.79	336.15	70.64 LT
5.0000	JOHNSON CONTROLS INC	03/02/06	12/03/09	135.60	117.86	17.74 LT
15.0000	LOCKHEED MARTIN CORP	09/28/06	12/03/09	1,171.73	1,281.73	(110.00) LT
20.0000	LAUDER ESTEE COS INC A	09/02/09	12/03/09	996.18	679.98	316.20 ST
2.0000	MONSANTO CO NEW DEL COM	08/04/06	12/03/09	165.19	92.01	73.18 LT
7.0000	MONSANTO CO NEW DEL COM	08/07/06	12/03/09	578.19	319.88	258.31 LT
6.0000	MONSANTO CO NEW DEL COM	08/22/06	12/03/09	495.60	282.16	213.44 LT
10.0000	MEDCO HEALTH SOLUTIONS I	09/02/09	12/03/09	638.41	554.69	83.72 ST
25.0000	NATIONAL-OILWELL VARCO	09/02/09	12/03/09	1,086.98	900.25	186.73 ST
30.0000	NETAPP INC	09/02/09	12/03/09	953.45	670.49	282.96 ST
15.0000	OCCIDENTAL PETE CORP CAL	09/28/06	12/03/09	1,208.98	722.53	486.45 LT
9.0000	OCCIDENTAL PETE CORP CAL	09/28/06	12/08/09	688.66	433.51	255.15 LT
97.0000	PACCAR INC	09/02/09	12/03/09	3,559.28	3,405.41	153.87 ST

EMASM Fiscal Statement

THE STEVEN W AND RUTH KATZ

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
75.0000	PROLOGIS	10/22/09	12/03/09	1,026.11	955.50	70.61 ST
15.0000	PEPSICO INC	10/07/09	12/03/09	947.71	913.45	34.26 ST
23.0000	PEPSICO INC	10/07/09	12/14/09	1,404.00	1,400.63	3.37 ST
21.0000	PEPSICO INC	10/14/09	12/14/09	1,281.92	1,279.20	2.72 ST
20.0000	PROCTER & GAMBLE CO	09/02/09	12/03/09	1,262.97	1,057.39	205.58 ST
15.0000	QIAGEN NV	09/25/09	12/03/09	334.34	308.92	25.42 ST
40.0000	QUALCOMM INC	09/02/09	12/03/09	1,791.15	1,825.99	(34.84) ST
45.0000	RED HAT INC	09/02/09	12/03/09	1,257.00	1,020.48	236.52 ST
45.0000	SHAW GROUP INC	09/02/09	12/03/09	1,305.96	1,338.18	(32.22) ST
10.0000	SEMPRA ENERGY	09/02/09	12/03/09	548.39	493.29	55.10 ST
15.0000	SCHLUMBERGER LTD	05/15/02	12/03/09	951.59	415.02	536.57 LT
19.0000	SCHWAB CHARLES CORP NEW	05/12/05	12/01/09	342.96	209.02	133.94 LT
53.0000	SCHWAB CHARLES CORP NEW	11/16/05	12/01/09	956.69	786.53	170.16 LT
20.0000	SCHWAB CHARLES CORP NEW	10/26/06	12/01/09	361.01	351.03	9.98 LT
5.0000	SCHWAB CHARLES CORP NEW	10/27/06	12/01/09	90.25	88.24	2.01 LT
5.0000	SCHWAB CHARLES CORP NEW	10/30/06	12/01/09	90.25	89.96	0.29 LT
11.0000	SCHWAB CHARLES CORP NEW	10/31/06	12/01/09	198.56	200.76	(2.20) LT
30.0000	SCHWAB CHARLES CORP NEW	09/02/09	12/01/09	541.52	529.80	11.72 ST
75.0000	SCHWAB CHARLES CORP NEW	09/22/09	12/01/09	1,353.83	1,368.68	(14.85) ST
15.0000	SALESFORCE COM INC	09/02/09	12/03/09	984.27	772.49	211.78 ST
45.0000	TD AMERITRADE HLDG CORP	09/02/09	12/03/09	873.53	858.59	14.94 ST
20.0000	THERMO FISHER SCIENTIFIC	09/02/09	12/03/09	964.62	864.99	99.63 ST
20.0000	TOYOTA MOTOR CORP ADR	09/02/09	12/03/09	1,699.95	1,683.91	16.04 ST
10.0000	UNITED PARCEL SVC CL B	09/11/09	12/03/09	577.69	585.05	(7.36) ST
33.0000	UNITED PARCEL SVC CL B	09/11/09	12/08/09	1,906.31	1,930.69	(24.38) ST
11.0000	UNITED PARCEL SVC CL B	10/07/09	12/08/09	635.44	610.10	25.34 ST
25.0000	VMWARE, INC	09/02/09	12/03/09	1,054.57	847.00	207.57 ST
10.0000	VISA INC CL A SHRS	09/02/09	12/03/09	818.95	702.19	116.76 ST
15.0000	WAL-MART STORES INC	09/02/09	12/03/09	816.73	764.20	52.53 ST
15.0000	WEYERHAEUSER CO	12/01/09	12/03/09	550.27	598.95	51.32 ST

Realized Capital Gain and Loss Summary.

Short Term 9,128.44

Long Term 18,766.96

PLEASE SEE REVERSE SIDE
Page 58 of 59
Statement Period Year Ending 12/31/09
Account No. 725-02291

THE STEVEN W AND RUTH KATZ

EMASM Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Cash and Money Funds							
	CASH		212.89	212.89			
	Total Cash and Money Funds		212.89	212.89		0.00	
Government Securities							
1,000	FEDERAL HOME LN MTG CORP CALLABLE BONDS 04.500% JUL 06 2010	09/02/09	1,020.29	1,020.45	0.16	21.88	45.00
2,000	FEDERAL NATL MTG ASSOC NOTES 05.375% JUN 12 2017	09/02/09	2,257.87	2,217.50	(40.37)	5.67	108.00
1,000	FEDERAL NATL MTG ASSOC NOTES 04.875% MAY 18 2012	09/02/09	1,080.88	1,079.06	(1.82)	5.82	49.00
6,000	FEDERAL NATL MTG ASSOC NOTES 04.500% FEB 15 2011	09/02/09	6,264.85	6,260.64	(4.21)	102.00	270.00
3,000	FEDERAL NATL MTG ASSOC BONDS 06.625% NOV 15 2030	09/02/09	3,836.70	3,605.64	(231.06)	25.40	199.00
1,000	U.S. TREASURY NOTE 4.250% AUG 15 2013 CUSIP: 912828BH2	09/02/09	1,090.68	1,079.06	(11.62)	15.94	43.00
4,000	U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 109.7620/4,390.48	11/19/09	4,379.12	4,316.24	(62.88)	63.75	170.00

EMASM Fiscal Statement

THE STEVEN W AND RUTH KATZ

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Government Securities							
2,000	U S TREASURY BOND 7.250% MAY 15 2016 07.250% MAY 15 2016	09/02/09	2,533.27	2,475.78	(57.49)	18.43	145.00
2,000	U S TREASURY BOND 6.125% AUG 15 2029 06.125% AUG 15 2029	09/02/09	2,566.99	2,401.26	(165.73)	45.94	123.00
1,000	U S TREASURY BOND ORIGINAL UNIT/TOTAL COST: 126.0780/1,260.78	11/19/09	1,259.82	1,200.63	(59.19)	22.97	62.00
9,000	U S TREASURY NOTE 4.500% NOV 15 2015 CUSIP: 912828EN6	09/02/09	9,912.25	9,746.01	(166.24)	51.46	405.00
6,000	U S TREASURY NOTE 5.125% JUN 30 2011 CUSIP: 912828FK1	09/02/09	6,390.01	6,375.72	(14.29)		308.00
7,000	U S TREASURY NOTE 4.750% AUG 15 2017 CUSIP: 912828HA1	09/02/09	7,784.28	7,595.56	(188.72)	124.69	333.00
2,000	U.S. TREASURY NOTE 2.750% FEB 15 2019 CUSIP: 912828KD1	09/02/09	1,911.88	1,841.26	(70.62)	20.63	55.00

PLEASE SEE REVERSE SIDE
Page 6 of 59
Statement Period
Year Ending 12/31/09
Account No.
725-02291

Fiscal Statement

THE STEVEN W AND RUTH KATZ

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Government Securities							
2,000	U.S. TREASURY NOTE 3.500% FEB 15 2018 CUSIP: 912828HR4	09/02/09	2,048.92	1,983.60	(65.32)	26.25	70.00
2,000	U.S. TREASURY BOND 4.375% FEB 15 2038 CUSIP: 912810PW2	09/02/09	2,103.89	1,920.00	(183.89)	32.81	88.00
5,000	U.S. TREASURY BOND 07.125% FEB 15 2023 CUSIP: 912810EP9	09/02/09	6,682.18	6,412.50	(269.68)	133.59	357.00
Total Government Securities			63,123.88	61,530.91	(1,592.97)	717.23	2,830.00
Corporate Bonds							
2,000	GENERAL DYNAMICS CORP COMPANY GUARNT GLB 04.250% MAY 15 2013	09/03/09	2,148.81	2,108.52	(40.29)	10.86	85.00
2,000	GOLDMAN SACHS GROUP INC GLB 05.700% SEP 01 2012	09/03/09	2,142.61	2,151.32	8.71	38.00	114.00
2,000	CITIGROUP INC FDIC TLGP GUARANTEED 02.875% DEC 09 2011	09/02/09	2,060.41	2,060.00	(0.41)	3.51	58.00
2,000	CONCOPHILLIPS COMPANY GUARNT GLB 04.750% OCT 15 2012	09/03/09	2,145.62	2,143.08	(2.54)	20.06	95.00

PLEASE SEE REVERSE SIDE
Statement Period
Year Ending 12/31/09
Page 7 of 59
Account No. 725-02291



Fiscal Statement

THE STEVEN W AND RUTH KATZ

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Corporate Bonds							
2,000	JP MORGAN CHASE & CO SUBORDINATED GLB 05.750% JAN 02 2013	09/03/09	2,125.47	2,132.98	7.51	57.18	115.00
2,000	US BANCORP FDIC TLGP GUARANTEED 02.250% MAR 13 2012	09/08/09	2,033.02	2,033.28	0.26	13.50	45.00
2,000	VERIZON GLOBAL FDG CORP GLB 04.375% JUN 01 2013	09/03/09	2,154.05	2,098.66	(55.39)	7.29	88.00
2,000	WAL-MART STORES GLB 04.550% MAY 01 2013	09/03/09	2,153.58	2,130.92	(22.66)	15.17	91.00
2,000	NM GENL ELEC CAP CORP BE BONDS SER MTNA 06.000% JUN 15 2012	09/03/09	2,129.37	2,155.82	26.45	5.33	120.00
Total Corporate Bonds			19,092.94	19,014.58	(78.36)	170.90	811.00

PLEASE SEE REVERSE SIDE
Page 8 of 59
Statement Period
Year Ending 12/31/09
Account No.
725-02291

THE STEVEN W AND RUTH KATZ

EMASM Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
39	AIR PRODUCTS&CHEM	09/02/09	2,867.65	3,161.34	293.69	71.00
97	ABB LTD SPON ADR	09/02/09	1,795.39	1,852.70	57.31	
36	ABB LTD SPON ADR	12/08/09	647.28	687.60	40.32	
25	AMAZON COM INC COM	09/02/09	1,954.26	3,363.00	1,408.74	
9	AMAZON COM INC COM	09/08/09	716.25	1,210.68	494.43	
199	ALTERA CORP COM	09/02/09	3,701.07	4,503.37	802.30	40.00
15	ALCON INC	09/02/09	1,943.70	2,465.25	521.55	55.00
2	APPLE INC	07/28/06	129.43	421.46	292.03	
12	APPLE INC	07/31/06	805.86	2,528.78	1,722.92	
1	APPLE INC	08/08/06	66.51	210.73	144.22	
3	APPLE INC	08/10/06	192.70	632.19	439.49	
9	APPLE INC	09/07/06	650.99	1,896.58	1,245.59	
85	BARRICK GOLD CORPORATION	09/02/09	3,201.88	3,347.30	145.42	34.00
37	BARRICK GOLD CORPORATION	10/14/09	1,487.19	1,457.06	(30.13)	15.00
18	BARRICK GOLD CORPORATION	11/03/09	681.21	708.84	27.63	8.00
16	BARRICK GOLD CORPORATION	12/01/09	724.49	630.08	(94.41)	7.00
83	BANK NEW YORK MELLON CORP	10/23/09	2,469.93	2,321.51	(148.42)	30.00

EMASM Fiscal Statement

THE STEVEN W AND RUTH KATZ

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
26	BANK NEW YORK MELLON CORP	11/12/09	725.45	727.22	1.77	10.00
23	BANK NEW YORK MELLON CORP	12/01/09	610.03	643.31	33.28	9.00
30	BANK NEW YORK MELLON CORP	12/14/09	810.94	839.10	28.16	11.00
8	BANK NEW YORK MELLON CORP	12/15/09	215.20	223.76	8.56	3.00
65	BAXTER INTERNTL INC	09/02/09	3,627.59	3,814.20	186.61	76.00
115	CAMECO CORP COM	09/02/09	2,936.88	3,699.55	762.67	26.00
15	CREDIT SUISSE GP SP ADR	10/07/09	854.85	737.40	(117.45)	1.00
16	CREDIT SUISSE GP SP ADR	10/08/09	920.37	786.56	(133.81)	1.00
15	CREDIT SUISSE GP SP ADR	11/12/09	834.54	737.40	(97.14)	1.00
40	CONSOL ENERGY INC COM	09/02/09	1,449.90	1,992.00	542.10	16.00
22	CONSOL ENERGY INC COM	12/01/09	1,036.11	1,095.60	59.49	9.00
5	CME GROUP INC	10/14/09	1,559.55	1,679.80	120.25	23.00
2	CME GROUP INC	10/20/09	637.56	671.92	34.36	10.00
2	CME GROUP INC	10/30/09	617.64	671.92	54.28	10.00
4	CME GROUP INC	12/15/09	1,324.58	1,343.84	19.26	19.00

PLEASE SEE REVERSE SIDE
 Statement Period Year Ending 12/31/09
 Account No. 725-02291
 Page 10 of 59

EMASM Fiscal Statement

THE STEVEN W AND RUTH KATZ

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
80	CALPINE CORP	12/09/09	905.26	880.00	(25.26)	
103	CALPINE CORP	12/10/09	1,177.57	1,133.00	(44.57)	
85	CUMMINS INC COM	09/02/09	3,780.35	3,898.10	117.75	60.00
26	DANAHER CORP DEL COM	09/08/09	1,695.53	1,955.20	259.67	5.00
20	DANAHER CORP DEL COM	10/22/09	1,418.11	1,504.00	85.89	4.00
265	DENBURY RES INC	09/02/09	3,884.43	3,922.00	37.57	
64	DENBURY RES INC	10/14/09	1,012.61	947.20	(65.41)	
105	DISNEY (WALT) CO COM STK	09/02/09	2,670.93	3,386.25	715.32	37.00
19	EQUINIX INC	12/15/09	2,019.92	2,016.85	(3.07)	
24	EOG RESOURCES INC	09/08/09	1,777.98	2,335.20	557.22	14.00
9	EOG RESOURCES INC	12/01/09	796.40	875.70	79.30	6.00
45	EXELON CORPORATION	09/02/09	2,198.14	2,199.15	1.01	95.00
54	FREERT-MCMRAN CPR & GLD	09/02/09	3,335.05	4,335.66	1,000.61	33.00
12	FREERT-MCMRAN CPR & GLD	11/03/09	897.25	963.48	66.23	8.00
45	FLUOR CORP NEW DEL COM	09/02/09	2,307.36	2,026.80	(280.56)	23.00
8	FLUOR CORP NEW DEL COM	10/07/09	386.84	360.32	(26.52)	4.00
30	FLUOR CORP NEW DEL COM	11/03/09	1,345.50	1,351.20	5.70	15.00

EMASM Fiscal Statement

THE STEVEN W AND RUTH KATZ

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
18	FLUOR CORP NEW DEL COM	11/12/09	797.95	810.72	12.77	9.00
1	GOLDMAN SACHS GROUP INC	03/27/06	155.10	168.84	13.74	2.00
2	GOLDMAN SACHS GROUP INC	04/10/06	325.35	337.68	12.33	3.00
7	GOLDMAN SACHS GROUP INC	08/22/06	1,080.03	1,181.88	101.85	10.00
5	GOLDMAN SACHS GROUP INC	09/27/06	841.85	844.20	2.35	7.00
10	GOLDMAN SACHS GROUP INC	12/14/09	1,653.34	1,688.40	35.06	14.00
45	GENERAL MILLS	09/02/09	2,636.98	3,186.45	549.47	89.00
45	GILEAD SCIENCES INC COM	09/02/09	2,052.45	1,947.15	(105.30)	
14	GILEAD SCIENCES INC COM	09/22/09	644.62	605.78	(38.84)	
18	GILEAD SCIENCES INC COM	10/22/09	805.60	778.86	(26.74)	
11	GILEAD SCIENCES INC COM	12/14/09	517.01	475.97	(41.04)	
66	ILLINOIS TOOL WORKS INC	09/02/09	2,700.00	3,167.34	467.34	82.00
147	ILLUMINA INC COM	09/02/09	5,191.67	4,509.96	(681.71)	
20	ILLUMINA INC COM	10/28/09	683.48	613.60	(69.88)	
69	ILLUMINA INC COM	12/01/09	2,064.29	2,116.92	52.63	
115	JUNIPER NETWORKS INC	09/02/09	2,649.60	3,067.05	417.45	
26	JUNIPER NETWORKS INC	12/14/09	693.52	693.42	(0.10)	

THE STEVEN W AND RUTH KATZ

EMASM Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
37	JOHNSON CONTROLS INC	03/02/06	872.22	1,007.88	135.66	20.00
15	JOHNSON CONTROLS INC	09/12/06	351.26	408.60	57.34	8.00
3	JOHNSON CONTROLS INC	03/09/07	95.55	81.72	(13.83)	2.00
40	JOHNSON CONTROLS INC	09/02/09	954.36	1,089.60	135.24	21.00
29	JOHNSON CONTROLS INC	09/08/09	728.99	789.96	60.97	16.00
13	LOCKHEED MARTIN CORP	09/28/06	1,110.85	979.55	(131.30)	33.00
1	LOCKHEED MARTIN CORP	09/10/07	97.48	75.35	(22.13)	3.00
19	LOCKHEED MARTIN CORP	10/16/09	1,438.78	1,431.65	(7.13)	48.00
19	LOCKHEED MARTIN CORP	10/23/09	1,376.11	1,431.65	55.54	48.00
76	LAUDER ESTEE COS INC A	09/02/09	2,583.97	3,675.36	1,091.39	42.00
1	MONSANTO CO NEW DEL COM	08/22/06	47.03	81.75	34.72	2.00
7	MONSANTO CO NEW DEL COM	08/24/06	329.00	572.25	243.25	8.00
6	MONSANTO CO NEW DEL COM	09/06/06	286.35	490.50	204.15	7.00
13	MONSANTO CO NEW DEL COM	10/16/06	595.24	1,062.75	467.51	14.00
2	MONSANTO CO NEW DEL COM	05/17/07	125.56	163.50	37.94	3.00
55	MEDCO HEALTH SOLUTIONS I	09/02/09	3,050.83	3,515.05	464.22	
40	MANPOWER INC	12/03/09	2,054.36	2,183.20	128.84	30.00

THE STEVEN W AND RUTH KATZ

EMASM Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
87	NATIONAL-OILWELL VARCO INC	09/02/09	3,132.88	3,835.83	702.95	
21	NATIONAL-OILWELL VARCO INC	12/01/09	920.64	925.89	5.25	
109	NETAPP INC	09/02/09	2,436.15	3,745.24	1,309.09	
8	OCCIDENTAL PETE CORP CAL	09/28/06	385.36	650.80	265.44	11.00
50	OCCIDENTAL PETE CORP CAL	09/02/09	3,608.50	4,067.50	459.00	66.00
60	PROLOGIS REIT	10/22/09	764.40	821.40	57.00	36.00
97	PROLOGIS REIT	10/23/09	1,231.58	1,327.93	96.35	59.00
61	PROLOGIS REIT	11/03/09	707.08	835.09	128.01	37.00
60	PROLOGIS REIT	11/12/09	788.99	821.40	32.41	36.00
60	PROCTER & GAMBLE CO	09/02/09	3,172.17	3,637.80	465.63	106.00
18	PROCTER & GAMBLE CO	09/22/09	1,028.18	1,091.34	63.16	32.00
95	QIAGEN NV	09/25/09	1,956.51	2,121.35	164.84	
110	QUALCOMM INC	09/02/09	5,021.50	5,088.60	67.10	75.00
20	QUALCOMM INC	09/22/09	890.46	925.20	34.74	14.00

THE STEVEN W AND RUTH KATZ

EMASM Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
8	QUALCOMM INC	10/07/09	337.83	370.08	32.25	6.00
13	QUALCOMM INC	12/14/09	583.84	601.38	17.54	9.00
159	RED HAT INC	09/02/09	3,605.70	4,913.10	1,307.40	
135	SHAW GROUP INC	09/02/09	4,014.55	3,881.25	(133.30)	
11	SHAW GROUP INC	10/20/09	313.25	316.25	3.00	
35	SEMPRA ENERGY	09/02/09	1,726.56	1,959.30	232.74	55.00
15	SEMPRA ENERGY	09/08/09	739.14	839.70	100.56	24.00
15	SEMPRA ENERGY	12/08/09	823.93	839.70	15.77	24.00
19	SCHLUMBERGER LTD	05/15/02	525.71	1,236.71	711.00	16.00
22	SCHLUMBERGER LTD	06/06/02	540.92	1,431.98	891.06	19.00
16	SCHLUMBERGER LTD	09/23/03	405.64	1,041.44	635.80	14.00
2	SCHLUMBERGER LTD	04/18/05	67.15	130.18	63.03	2.00
12	SCHLUMBERGER LTD	04/18/05	402.90	781.08	378.18	11.00
1	SCHLUMBERGER LTD	04/12/06	61.70	65.09	3.39	1.00
75	SALESFORCE COM INC	09/02/09	3,862.47	5,532.75	1,670.28	
170	TD AMERITRADE HLDG CORP	09/02/09	3,243.59	3,294.60	51.01	

EMASM Fiscal Statement

THE STEVEN W AND RUTH KATZ

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
59	THERMO FISHER SCIENTIFIC INC	09/02/09	2,551.74	2,813.71	261.97	
19	THERMO FISHER SCIENTIFIC INC	11/12/09	852.11	906.11	54.00	
45	TOYOTA MOTOR CORP ADR	09/02/09	3,788.80	3,787.20	(1.60)	50.00
5	TOYOTA MOTOR CORP ADR	10/07/09	385.78	420.80	35.02	6.00
9	TOYOTA MOTOR CORP ADR	11/12/09	701.45	757.44	55.99	10.00
55	VMWARE, INC.	09/02/09	1,863.40	2,330.90	467.50	
5	VMWARE, INC.	10/02/09	195.17	211.90	16.73	
22	VMWARE, INC.	10/05/09	868.71	932.36	63.65	
27	VISA INC CL A SHRS	09/02/09	1,895.93	2,361.42	465.49	14.00
70	WAL-MART STORES INC	09/02/09	3,566.32	3,741.50	175.18	77.00
51	WEYERHAEUSER CO	12/01/09	2,036.46	2,200.14	163.68	11.00
5	WEYERHAEUSER CO	12/14/09	212.53	215.70	3.17	1.00
10	WEYERHAEUSER CO	12/15/09	449.16	431.40	(17.76)	2.00
Total Equities			182,063.83	208,627.24	26,563.41	2,134.00

PLEASE SEE REVERSE SIDE
Page 16 of 59
Statement Period
Year Ending 12/31/09
Account No.
725-02291

EMASM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Cash and Money Funds							
	CASH		160.43	160.43			
2,039	ML BANK DEPOSIT PROGRAM	10/31/08	2,039.00	2,039.00			8.15
	Total Cash and Money Funds		2,199.43	2,199.43			8.15
Government Securities							
5,000	FNMA P255179 05 50% 2034 AMORTIZED FACTOR 0.368771540 AMORTIZED VALUE 1,843	03/09/04	1,898.60	1,937.97	39.37	8.45	102.00
4,000	FEDERAL NATL MTG ASSOC NOTES 05.000% MAR 15 2016	03/31/09	4,418.57	4,347.52	(71.05)	58.89	200.00
15,000	FEDERAL NATL MTG ASSOC ORIGINAL UNIT/TOTAL COST. 111.5439/16,731.59	04/27/09	16,576.91	16,303.20	(273.71)	220.83	750.00
3,000	U.S. TREASURY BOND 6.125% AUG 15 2029 06.125% AUG 15 2029	10/28/08	3,679.43	3,601.89	(77.54)	68.91	184.00
2,000	U.S. TREASURY BOND ORIGINAL UNIT/TOTAL COST. 149.9850/2,999.70	12/18/08	2,962.48	2,401.26	(561.22)	45.94	123.00
2,000	U.S. TREASURY BOND ORIGINAL UNIT/TOTAL COST. 128.0745/2,561.49	11/30/09	2,559.95	2,401.26	(158.69)	45.94	123.00

EMASM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Government Securities							
3,000	U.S. TREASURY NOTE 4.000% AUG 15 2018 CUSIP 912828JH4	08/31/09	3,140.03	3,063.99	(76.04)	45.00	120.00
3,000	U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 104.6763/3,140.29	11/09/09	3,138.37	3,063.99	(74.38)	45.00	120.00
4,000	U.S. TREASURY BOND 4.500% FEB 15 2036 CUSIP 912810FT0	06/18/09	3,946.89	3,940.00	(6.89)	67.50	180.00
7,218	FNMA P725424 05 50%2034 AMORTIZED FACTOR 0.345216830 AMORTIZED VALUE 2,491	06/17/05	2,517.87	2,618.95	101.08	11.40	138.00
5,000	FNMA P357797 05 50%2035 AMORTIZED FACTOR 0.490291110 AMORTIZED VALUE 2,451	07/28/05	2,470.23	2,575.04	104.81	11.25	135.00
5,000	FNMA P256022 05 50% 2035 AMORTIZED FACTOR 0.609326480 AMORTIZED VALUE 3,046	10/18/05	3,023.07	3,200.23	177.16	13.95	168.00
5,015	FNMA P256022 05 50% 2035 AMORTIZED VALUE 3,055	12/05/05	2,999.91	3,209.83	209.92	13.99	169.00
26,267	FNMA P256199 05% 2021 AMORTIZED FACTOR 0.532992160 AMORTIZED VALUE 14,000	12/14/09	14,826.99	14,684.33	(142.66)	58.31	700.00

PLEASE SEE REVERSE SIDE
Statement Period
Year Ending 12/31/09
Account No.
725-02272

STEVEN & RUTH KATZ FAMILY

EMASM Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Government Securities							
40,000	FNMA P906274 05 50%2037 AMORTIZED FACTOR 0.588732700 AMORTIZED VALUE 23,549	12/21/06	23,405.81	24,696.08	1,290.27	108.00	1,296.00
9,000	FNMA P937906 05 50%2037 AMORTIZED FACTOR 0.632043900 AMORTIZED VALUE 5,688	08/31/07	5,561.74	5,960.96	399.22	26.10	313.00
5,000	FNMA P969064 05 50%2038 AMORTIZED FACTOR 0.759834400 AMORTIZED VALUE 3,799	03/10/08	3,751.68	3,981.21	229.53	17.40	209.00
Total Government Securities			100,878.53	101,987.71	1,109.18	866.86	5,030.00
Corporate Bonds							
3,000	JPMORGAN CHASE & CO FDIC TLGP GUARANTEED 02.125% DEC 26 2012	06/30/09	2,997.26	3,013.23	15.97	0.89	64.00
Total Corporate Bonds			2,997.26	3,013.23	15.97	0.89	64.00

PLEASE SEE REVERSE SIDE
 Page 7 of 81
 Statement Period Year Ending 12/31/09
 Account No. 725-02272

EMASM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
13	ABBOTT LABS	02/06/08	754.54	701.87	(52.67)	21.00
7	ABBOTT LABS	09/30/08	405.41	377.93	(27.48)	12.00
2	ABBOTT LABS	10/08/08	110.00	107.98	(2.02)	4.00
5	ABBOTT LABS	10/28/08	262.55	269.95	7.40	8.00
11	ABBOTT LABS	11/21/08	532.01	593.89	61.88	18.00
7	ABBOTT LABS	03/03/09	330.81	377.93	47.12	12.00
32	ADOBE SYS DEL PV\$ 0.001	09/25/08	1,278.99	1,176.96	(102.03)	
4	ADOBE SYS DEL PV\$ 0.001	10/28/08	96.68	147.12	50.44	
3	ADOBE SYS DEL PV\$ 0.001	11/21/08	62.77	110.34	47.57	
3	ADOBE SYS DEL PV\$ 0.001	03/03/09	48.94	110.34	61.40	
39	ARCH COAL INC	12/14/09	814.62	867.75	53.13	15.00
4	ANNALY CAP MGMT INC	07/17/08	57.98	69.40	11.42	12.00
4	ANNALY CAP MGMT INC	09/30/08	55.47	69.40	13.93	12.00
11	ANNALY CAP MGMT INC	10/28/08	127.05	190.85	63.80	33.00
38	ANNALY CAP MGMT INC	11/18/08	494.44	659.30	164.86	114.00
6	ANNALY CAP MGMT INC	11/21/08	68.92	104.10	35.18	18.00
20	ANNALY CAP MGMT INC	03/03/09	265.64	347.00	81.36	60.00

EMASM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
9	ALLERGAN INC	02/10/09	369.68	567.09	197.41	2.00
9	ALLERGAN INC	03/06/09	326.29	567.09	240.80	2.00
5	AT& T INC	12/20/06	171.68	140.15	(31.53)	9.00
41	AT& T INC	03/30/07	1,617.81	1,149.23	(468.58)	69.00
14	AT& T INC	09/30/08	403.64	392.42	(11.22)	24.00
4	AT& T INC	10/08/08	99.90	112.12	12.22	7.00
20	AT& T INC	11/21/08	476.43	560.60	84.17	34.00
13	AT& T INC	03/03/09	298.08	364.39	66.31	22.00
27	AMGEN INC COM PV \$0.0001	12/21/07	1,291.23	1,527.39	236.16	
3	AMGEN INC COM PV \$0.0001	10/28/08	169.36	169.71	0.35	
3	AMGEN INC COM PV \$0.0001	11/21/08	156.43	169.71	13.28	
13	AMGEN INC COM PV \$0.0001	02/04/09	736.92	735.41	(1.51)	
3	AMGEN INC COM PV \$0.0001	03/03/09	144.90	169.71	24.81	
4	AMGEN INC COM PV \$0.0001	04/16/09	185.91	226.28	40.37	
6	AMGEN INC COM PV \$0.0001	08/07/09	365.95	339.42	(26.53)	
12	ARCHER DANIELS MIDLD	06/26/08	392.17	375.72	(16.45)	7.00
5	ARCHER DANIELS MIDLD	09/30/08	104.83	156.55	51.72	3.00

EMASM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
2	ARCHER DANIELS MIDLD	10/08/08	33.83	62.62	28.79	2.00
4	ARCHER DANIELS MIDLD	10/28/08	76.11	125.24	49.13	3.00
8	ARCHER DANIELS MIDLD	11/21/08	186.53	250.48	63.95	5.00
12	ARCHER DANIELS MIDLD	03/03/09	309.22	375.72	66.50	7.00
40	ARCHER DANIELS MIDLD	12/18/09	1,217.42	1,252.40	34.98	23.00
25	BARRICK GOLD CORPORATION	10/01/09	910.18	984.50	74.32	10.00
16	BARRICK GOLD CORPORATION	11/18/09	703.91	630.08	(73.83)	7.00
31	BARRICK GOLD CORPORATION	12/18/09	1,219.90	1,220.78	0.88	13.00
39	BB&T CORPORATION	07/17/08	1,061.24	989.43	(71.81)	24.00
13	BB&T CORPORATION	09/30/08	481.47	329.81	(151.66)	8.00
3	BB&T CORPORATION	10/08/08	106.55	76.11	(30.44)	2.00
21	BB&T CORPORATION	11/21/08	458.07	532.77	74.70	13.00
8	BB&T CORPORATION	03/03/09	126.43	202.96	76.53	5.00
1	BANK NEW YORK MELLON CORP	03/30/07	41.84	27.97	(13.87)	1.00
18	BANK NEW YORK MELLON CORP	04/13/07	808.94	503.46	(305.48)	7.00

PLEASE SEE REVERSE SIDE
Page 10 of 81
Statement Period Year Ending 12/31/09
Account No. 725-02272

STEVEN & RUTH KATZ FAMILY

EMASM Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
54	BANK NEW YORK MELLON CORP	09/10/07	2,131.65	1,510.38	(621.27)	20.00
6	BANK NEW YORK MELLON CORP	09/30/08	194.82	167.82	(27.00)	3.00
4	BANK NEW YORK MELLON CORP	10/08/08	102.58	111.88	9.30	2.00
27	BANK NEW YORK MELLON CORP	10/28/08	750.90	755.19	4.29	10.00
14	BANK NEW YORK MELLON CORP	11/18/08	394.77	391.58	(3.19)	6.00
17	BANK NEW YORK MELLON CORP	11/21/08	406.52	475.49	68.97	7.00
29	BANK NEW YORK MELLON CORP	03/03/09	643.66	811.13	167.47	11.00
5	BEST BUY CO INC	11/21/08	86.56	197.30	110.74	3.00
18	BEST BUY CO INC	02/26/09	526.23	710.28	184.05	11.00
8	BEST BUY CO INC	03/03/09	223.38	315.68	92.30	5.00
6	BOSTON SCIENTIFIC CORP	10/08/08	56.29	54.00	(2.29)	
25	BOSTON SCIENTIFIC CORP	10/28/08	173.55	225.00	51.45	
46	BOSTON SCIENTIFIC CORP	11/05/08	407.46	414.00	6.54	

PLEASE SEE REVERSE SIDE
 Page 11 of 81
 Statement Period Year Ending 12/31/09
 Account No. 725-02272

X 99999996

EMASM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
126	BOSTON SCIENTIFIC CORP	02/10/09	1,090.98	1,134.00	43.02	
27	BOSTON SCIENTIFIC CORP	03/03/09	184.12	243.00	58.88	
58	COMCAST CORP NEW CL A	10/14/09	891.25	977.88	86.63	22.00
14	CANADIAN NATL RAILWAY CO	07/06/09	581.58	761.04	179.46	
10	CANADIAN NATL RAILWAY CO	08/26/09	494.31	543.60	49.29	
44	CARNIVAL CORP PAIRED SHS	12/03/08	927.75	1,394.36	466.61	
8	CARNIVAL CORP PAIRED SHS	03/03/09	145.58	253.52	107.94	
31	CARNIVAL CORP PAIRED SHS	03/17/09	663.48	982.39	318.91	
4	CARNIVAL CORP PAIRED SHS	07/21/09	109.57	126.76	17.19	
4	CHEVRON CORP	07/19/07	371.40	307.96	(63.44)	11.00
10	CHEVRON CORP	03/28/08	853.44	769.90	(83.54)	28.00
2	CHEVRON CORP	09/30/08	162.12	153.98	(8.14)	6.00
4	CHEVRON CORP	10/28/08	254.13	307.96	53.83	11.00
2	CHEVRON CORP	11/21/08	130.85	153.98	23.13	6.00
25	CHEVRON CORP	09/28/09	1,795.98	1,924.75	128.77	68.00
19	CONOCOPHILLIPS	09/28/09	871.16	970.33	99.17	38.00
1	CATERPILLAR INC DEL	09/05/07	75.15	56.99	(18.16)	2.00

EMASM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
9	CATERPILLAR INC DEL	02/21/08	640.46	512.91	(127.55)	16.00
4	CATERPILLAR INC DEL	10/28/08	131.07	227.96	96.89	7.00
2	CATERPILLAR INC DEL	03/03/09	44.38	113.98	69.60	4.00
14	CATERPILLAR INC DEL	05/14/09	509.16	797.86	288.70	24.00
21	CLIFFS NATURAL RESOURCES INC	12/18/09	940.63	967.89	27.26	8.00
21	COVIDIEN PLC SHS	04/22/08	978.33	1,005.69	27.36	16.00
10	COVIDIEN PLC SHS	05/15/08	499.59	478.90	(20.69)	8.00
4	COVIDIEN PLC SHS	09/30/08	210.38	191.56	(18.82)	3.00
5	COVIDIEN PLC SHS	10/28/08	198.17	239.45	41.28	4.00
18	COVIDIEN PLC SHS	02/26/09	617.58	862.02	244.44	13.00
12	COCA COLA ENTERPRISES	05/15/08	257.92	254.40	(3.52)	4.00
22	COCA COLA ENTERPRISES	09/30/08	361.30	466.40	105.10	8.00
5	COCA COLA ENTERPRISES	10/08/08	67.30	106.00	38.70	2.00
9	COCA COLA ENTERPRISES	10/28/08	79.19	190.80	111.61	3.00
27	COCA COLA ENTERPRISES	11/21/08	201.34	572.40	371.06	9.00
19	COCA COLA ENTERPRISES	03/03/09	210.42	402.80	192.38	7.00

EMA Fiscal Statement

STEVEN & RUTH KATZ FAMILY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
11	DEVON ENERGY CORP NEW	08/07/09	704.96	808.50	103.54	8.00
119	DELTA AIR LINES INC	09/10/07	2,094.72	1,354.22	(740.50)	
12	DELTA AIR LINES INC	09/30/08	82.65	136.56	53.91	
22	DELTA AIR LINES INC	10/28/08	169.95	250.36	80.41	
185	DELTA AIR LINES INC	12/23/08	1,895.88	2,105.30	209.42	
116	DELTA AIR LINES INC	01/23/09	1,183.71	1,320.08	136.37	
41	DELTA AIR LINES INC	03/03/09	167.83	466.58	298.75	
33	DOW CHEMICAL CO	07/30/09	721.09	911.79	190.70	20.00
23	DOW CHEMICAL CO	09/03/09	458.62	635.49	176.87	14.00
34	DOW CHEMICAL CO	12/18/09	899.44	939.42	39.98	21.00
10	EATON CORP	03/08/04	599.00	636.20	37.20	20.00
15	EATON CORP	12/20/06	1,142.25	954.30	(187.95)	30.00
20	EATON CORP	05/09/08	1,710.34	1,272.40	(437.94)	40.00
4	EATON CORP	09/30/08	220.00	254.48	34.48	8.00
3	EATON CORP	10/28/08	120.47	190.86	70.39	6.00
6	EATON CORP	11/21/08	230.36	381.72	151.36	12.00
5	EATON CORP	03/03/09	168.63	318.10	149.47	10.00

PLEASE SEE REVERSE SIDE
 Page 14 of 81
 Statement Period Year Ending 12/31/09
 Account No. 725-02272

x 99599999

EMASM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
8	EXXON MOBIL CORP COM	12/20/06	611.69	545.52	(66.17)	14.00
27	EXXON MOBIL CORP COM	01/18/07	1,938.87	1,841.13	(97.74)	46.00
4	EXXON MOBIL CORP COM	11/21/08	281.35	272.76	(8.59)	7.00
6	EXXON MOBIL CORP COM	03/03/09	391.57	409.14	17.57	11.00
12	EXXON MOBIL CORP COM	12/15/09	834.12	818.28	(15.84)	21.00
15	EXXON MOBIL CORP COM	12/18/09	1,020.02	1,022.85	2.83	26.00
19	EXXON MOBIL CORP COM	12/28/09	1,311.95	1,295.61	(16.34)	32.00
12	EOG RESOURCES INC	03/31/08	1,442.01	1,167.60	(274.41)	7.00
2	EOG RESOURCES INC	09/30/08	175.81	194.60	18.79	2.00
3	EOG RESOURCES INC	03/03/09	137.33	291.90	154.57	2.00
30	EL PASO CORPORATION	12/20/06	450.91	294.90	(156.01)	2.00
53	EL PASO CORPORATION	02/16/07	793.68	520.99	(272.69)	3.00
9	EL PASO CORPORATION	09/30/08	109.89	88.47	(21.42)	1.00
3	EL PASO CORPORATION	10/08/08	25.41	29.49	4.08	1.00
13	EL PASO CORPORATION	10/28/08	95.98	127.79	31.81	1.00
6	EL PASO CORPORATION	11/21/08	33.74	58.98	25.24	1.00
23	EL PASO CORPORATION	03/03/09	139.04	226.09	87.05	1.00

EMA Fiscal Statement

STEVEN & RUTH KATZ FAMILY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
47	EL PASO CORPORATION	12/14/09	455.52	462.01	6.49	2.00
18	EMERSON ELEC CO	08/19/09	625.91	766.80	140.89	25.00
89	FORD MOTOR CO NEW	05/14/09	469.96	890.00	420.04	
55	FORD MOTOR CO NEW	05/20/09	298.46	550.00	251.54	
113	FORD MOTOR CO NEW	07/10/09	645.72	1,130.00	484.28	
37	FORD MOTOR CO NEW	10/14/09	286.20	370.00	83.80	
15	FRANKLIN RES INC	12/03/08	860.47	1,580.25	719.78	14.00
11	FRANKLIN RES INC	02/04/09	565.79	1,158.85	593.06	10.00
4	FRANKLIN RES INC	03/03/09	175.73	421.40	245.67	4.00
12	FRANKLIN RES INC	03/17/09	557.10	1,264.20	707.10	11.00
11	GOLDMAN SACHS GROUP INC	12/03/08	758.89	1,857.24	1,098.35	16.00
19	GOLDMAN SACHS GROUP INC	01/08/09	1,602.32	3,207.96	1,605.64	27.00
10	GOLDMAN SACHS GROUP INC	03/03/09	845.30	1,688.40	843.10	14.00
20	GENERAL ELECTRIC	02/16/07	719.91	302.60	(417.31)	8.00
22	GENERAL ELECTRIC	03/30/07	781.00	332.86	(448.14)	9.00
20	GENERAL ELECTRIC	09/30/08	485.24	302.60	(182.64)	8.00
5	GENERAL ELECTRIC	10/08/08	105.08	75.65	(29.43)	2.00

PLEASE SEE REVERSE SIDE
 Page 16 of 81
 Statement Period Year Ending 12/31/09
 Account No. 725-02272

EMASM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
16	GENERAL ELECTRIC	10/28/08	288.14	242.08	(46.06)	7.00
6	GENERAL ELECTRIC	11/21/08	79.20	90.78	11.58	3.00
53	GENERAL ELECTRIC	12/10/08	955.80	801.89	(153.91)	22.00
49	GENERAL ELECTRIC	03/03/09	350.35	741.37	391.02	20.00
18	HALLIBURTON COMPANY	05/08/09	417.93	541.62	123.69	7.00
23	HALLIBURTON COMPANY	06/04/09	528.37	692.07	163.70	9.00
45	HALLIBURTON COMPANY	07/10/09	850.91	1,354.05	503.14	17.00
9	HALLIBURTON COMPANY	09/11/09	232.92	270.81	37.89	4.00
2	HESS CORP	10/29/08	88.78	121.00	32.22	1.00
9	HESS CORP	03/06/09	493.40	544.50	51.10	4.00
6	HESS CORP	03/17/09	368.12	363.00	(5.12)	3.00
6	HESS CORP	04/06/09	345.28	363.00	17.72	3.00
6	HESS CORP	06/17/09	326.82	363.00	36.18	3.00
21	HERTZ GLOBAL HOLDINGS IN	08/08/07	496.50	250.32	(246.18)	
66	HERTZ GLOBAL HOLDINGS IN	12/21/07	1,079.03	786.72	(292.31)	
86	HERTZ GLOBAL HOLDINGS IN	08/25/08	780.90	1,025.12	244.22	
17	HERTZ GLOBAL HOLDINGS IN	09/30/08	123.68	202.64	78.96	

EMA Fiscal Statement

STEVEN & RUTH KATZ FAMILY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
7	HERTZ GLOBAL HOLDINGS IN	10/08/08	47.25	83.44	36.19	
7	HERTZ GLOBAL HOLDINGS IN	10/28/08	27.68	83.44	55.76	
38	HERTZ GLOBAL HOLDINGS IN	11/21/08	77.07	452.96	375.89	
19	HERTZ GLOBAL HOLDINGS IN	03/03/09	62.13	226.48	164.35	
128	HERTZ GLOBAL HOLDINGS IN	04/06/09	595.12	1,525.76	930.64	
19	HEWLETT PACKARD CO DEL	09/06/07	948.54	978.69	30.15	7.00
5	HEWLETT PACKARD CO DEL	09/30/08	225.68	257.55	31.87	2.00
6	HEWLETT PACKARD CO DEL	10/28/08	187.85	309.06	121.21	2.00
3	HEWLETT PACKARD CO DEL	11/21/08	99.63	154.53	54.90	1.00
6	HEWLETT PACKARD CO DEL	03/03/09	169.99	309.06	139.07	2.00
32	HOME DEPOT INC	03/17/09	683.10	925.76	242.66	29.00
33	INTEL CORP	04/06/09	516.04	673.20	157.16	19.00
19	INTEL CORP	04/29/09	292.87	387.60	94.73	11.00
13	INTEL CORP	08/26/09	249.86	265.20	15.34	8.00
16	JPMORGAN CHASE & CO	12/20/06	771.36	666.72	(104.64)	4.00
53	JPMORGAN CHASE & CO	01/17/08	2,136.22	2,208.51	72.29	11.00
22	JPMORGAN CHASE & CO	03/18/08	933.22	916.74	(16.48)	5.00

STEVEN & RUTH KATZ FAMILY

EMASM Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
55	JPMORGAN CHASE & CO	06/26/08	2,022.96	2,291.85	268.89	11.00
12	JPMORGAN CHASE & CO	09/30/08	549.81	500.04	(49.77)	3.00
5	JPMORGAN CHASE & CO	10/08/08	208.56	208.35	(0.21)	1.00
16	JPMORGAN CHASE & CO	10/28/08	537.91	666.72	128.81	4.00
13	JPMORGAN CHASE & CO	11/21/08	268.13	541.71	273.58	3.00
25	JPMORGAN CHASE & CO	03/03/09	533.21	1,041.75	508.54	5.00
14	J CREW GROUP INC	06/26/08	470.69	626.36	155.67	
33	J CREW GROUP INC	06/04/09	880.57	1,476.42	595.85	
28	JOHNSON AND JOHNSON COM	08/07/09	1,689.54	1,803.48	113.94	55.00
6	JOHNSON AND JOHNSON COM	09/18/09	365.17	386.46	21.29	12.00
32	KRAFT FOODS INC VA CL A	12/20/06	1,153.61	869.76	(283.85)	38.00
27	KRAFT FOODS INC VA CL A	01/25/07	950.94	733.86	(217.08)	32.00
5	KRAFT FOODS INC VA CL A	10/08/08	153.42	135.90	(17.52)	6.00
11	KRAFT FOODS INC VA CL A	10/28/08	291.89	298.98	7.09	13.00
15	KRAFT FOODS INC VA CL A	03/03/09	330.03	407.70	77.67	18.00
22	KOHL'S CORP WISC PV 1CT	02/04/09	823.03	1,186.46	363.43	
8	KOHL'S CORP WISC PV 1CT	03/03/09	278.05	431.44	153.39	

PLEASE SEE REVERSE SIDE
 Statement Period
 Year Ending 12/31/09 Account No. 725-02272
 Page 19 of 81

x 99999999

Fiscal Statement

STEVEN & RUTH KATZ FAMILY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
27	KROGER CO	02/07/07	703.71	554.31	(149.40)	11.00
71	KROGER CO	07/17/08	2,057.31	1,457.63	(599.68)	27.00
4	KROGER CO	09/30/08	105.89	82.12	(23.77)	2.00
3	KROGER CO	10/08/08	76.11	61.59	(14.52)	2.00
11	KROGER CO	10/28/08	283.07	225.83	(57.24)	5.00
12	KROGER CO	11/21/08	298.17	246.36	(51.81)	5.00
6	KROGER CO	11/06/09	139.04	123.18	(15.86)	3.00
14	M&T BANK CORPORATION	12/23/08	749.80	936.46	186.66	40.00
2	M&T BANK CORPORATION	03/03/09	68.92	133.78	64.86	6.00
21	M&T BANK CORPORATION	03/17/09	821.99	1,404.69	582.70	59.00
34	MORGAN STANLEY	03/26/09	866.48	1,006.40	139.92	7.00
39	MORGAN STANLEY	04/29/09	893.16	1,154.40	261.24	8.00
19	MORGAN STANLEY	05/08/09	517.68	562.40	44.72	4.00
25	MORGAN STANLEY	05/14/09	662.07	740.00	77.93	5.00
70	MARRIOTT INTL INC NEW A	03/17/09	1,058.17	1,907.50	849.33	
52	METLIFE INC COM	07/30/09	1,733.70	1,838.20	104.50	39.00
21	MARATHON OIL CORP	09/28/09	680.00	655.62	(24.38)	21.00

PLEASE SEE REVERSE SIDE
 Page 20 of 81
 Statement Period Year Ending 12/31/09
 Account No. 725-02272

EMASM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
9	MARATHON OIL CORP	10/14/09	304.97	280.98	(23.99)	9.00
9	MARATHON OIL CORP	11/18/09	310.98	280.98	(30.00)	9.00
21	MOSAIC CO	12/15/09	1,287.79	1,254.33	(33.46)	5.00
40	MERCK AND CO INC SHS	08/07/09	1,218.39	1,461.60	243.21	61.00
23,870	FRAC MARRIOTT INTL INC NEW A	12/16/09	6.44	0.00		
57	MASCO CORP	10/09/08	806.42	787.17	(19.25)	18.00
4	MASCO CORP	11/21/08	28.64	55.24	26.60	2.00
68	MASCO CORP	01/23/09	613.22	939.08	325.86	21.00
10	MASCO CORP	03/03/09	48.63	138.10	89.47	3.00
12	OCCIDENTAL PETE CORP CAL	03/26/09	704.47	976.20	271.73	16.00
26	OMNICOM GROUP COM	05/14/09	816.10	1,017.90	201.80	16.00
8	OMNICOM GROUP COM	10/09/09	289.74	313.20	23.46	5.00
33	PULTE HOMES INC COM	05/28/09	285.97	330.00	44.03	
51	PULTE HOMES INC COM	06/17/09	452.35	510.00	57.65	
18	PULTE HOMES INC COM	08/26/09	240.49	180.00	(60.49)	
42	PULTE HOMES INC COM	10/09/09	440.78	420.00	(20.78)	

EMASM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
22	PG&E CORP	10/09/09	908.41	982.30	73.89	37.00
9	PETROLEO BRAS VTG SPD ADR	09/28/09	410.17	429.12	18.95	4.00
7	PROGRESS ENERGY INC	12/20/06	343.64	287.07	(56.57)	18.00
3	PROGRESS ENERGY INC	09/30/08	129.89	123.03	(6.86)	8.00
4	PROGRESS ENERGY INC	10/28/08	149.11	164.04	14.93	10.00
4	PROGRESS ENERGY INC	11/21/08	147.18	164.04	16.86	10.00
4	PROGRESS ENERGY INC	03/03/09	135.67	164.04	28.37	10.00
16	PNC FINCL SERVICES GROUP	01/17/08	919.30	844.64	(74.66)	7.00
11	PNC FINCL SERVICES GROUP	02/21/08	683.87	580.69	(103.18)	5.00
26	PNC FINCL SERVICES GROUP	05/09/08	1,748.87	1,372.54	(376.33)	11.00
5	PNC FINCL SERVICES GROUP	09/30/08	358.48	263.95	(94.53)	2.00
6	PNC FINCL SERVICES GROUP	10/28/08	356.42	316.74	(39.68)	3.00
4	PNC FINCL SERVICES GROUP	11/21/08	163.78	211.16	47.38	2.00
6	PNC FINCL SERVICES GROUP	03/03/09	155.27	316.74	161.47	3.00
38	J C PENNEY CO COM	11/05/08	870.71	1,011.18	140.47	31.00
10	J C PENNEY CO COM	11/21/08	144.59	266.10	121.51	8.00
9	J C PENNEY CO COM	03/03/09	133.74	239.49	105.75	8.00

PLEASE SEE REVERSE SIDE
 Page 22 of 81
 Statement Period Year Ending 12/31/09
 Account No. 725-02272

X 99999999

EMA Fiscal Statement

STEVEN & RUTH KATZ FAMILY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
8	PRAXAIR INC	12/20/06	498.48	642.48	144.00	13.00
2	PRAXAIR INC	09/30/08	144.31	160.62	16.31	4.00
5	PRAXAIR INC	10/28/08	255.04	401.55	146.51	8.00
60	REGIONS FINL CORP	10/09/09	355.55	317.40	(38.15)	3.00
21	STATE STREET CORP	04/16/09	701.75	914.34	212.59	1.00
20	STATE STREET CORP	07/06/09	928.69	870.80	(57.89)	1.00
42	SCHLUMBERGER LTD	12/20/06	2,748.21	2,733.78	(14.43)	36.00
6	SCHLUMBERGER LTD	09/30/08	469.53	390.54	(78.99)	6.00
12	SCHLUMBERGER LTD	10/28/08	525.87	781.08	255.21	11.00
2	SCHLUMBERGER LTD	03/03/09	73.39	130.18	56.79	2.00
5	SCHLUMBERGER LTD	05/28/09	282.50	325.45	42.95	5.00
8	SCHLUMBERGER LTD	08/19/09	425.27	520.72	95.45	7.00
38	SUNCOR ENERGY INC NEW	12/15/09	1,342.26	1,341.78	(0.48)	
31	SUNTRUST BKS INC COM	07/10/09	470.02	628.99	158.97	2.00
46	SUNTRUST BKS INC COM	07/21/09	678.01	933.34	255.33	2.00
47	SUNTRUST BKS INC COM	10/01/09	1,017.96	953.63	(64.33)	2.00
10	SUNTRUST BKS INC COM	11/18/09	220.57	202.90	(17.67)	1.00

PLEASE SEE REVERSE SIDE
 Statement Period
 Year Ending 12/31/09 Account No. 725-02272
 Page 23 of 81

x 95959599

EMASM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
9	TEVA PHARMACTCL INDS ADR	12/20/06	284.49	505.62	221.13	5.00
20	TEVA PHARMACTCL INDS ADR	04/13/07	743.30	1,123.60	380.30	10.00
3	TEVA PHARMACTCL INDS ADR	09/30/08	134.04	168.54	34.50	2.00
7	TEVA PHARMACTCL INDS ADR	10/28/08	269.04	393.26	124.22	4.00
8	TEVA PHARMACTCL INDS ADR	11/21/08	326.96	449.44	122.48	4.00
7	TEVA PHARMACTCL INDS ADR	03/03/09	307.86	393.26	85.40	4.00
21	TARGET CORP COM	10/20/08	795.83	1,015.77	219.94	15.00
24	TARGET CORP COM	12/03/08	805.78	1,160.88	355.10	17.00
63	TARGET CORP COM	01/08/09	2,388.29	3,047.31	659.02	43.00
16	TARGET CORP COM	03/03/09	431.92	773.92	342.00	11.00
16	TIME WARNER INC SHS	01/23/09	320.77	466.24	145.47	12.00
11	TIME WARNER INC SHS	03/03/09	178.26	320.54	142.28	9.00
4	TIME WARNER CABLE INC SHS	01/23/09	113.11	165.56	52.45	
2	TIME WARNER CABLE INC SHS	03/03/09	62.85	82.78	19.93	
11	TIME WARNER CABLE INC SHS	10/14/09	457.10	455.29	(1.81)	

PLEASE SEE REVERSE SIDE
 Statement Period
 Year Ending 12/31/09 Account No.
 725-02272

EMASM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
17	UNITEDHEALTH GROUP INC	03/02/09	308.03	518.16	210.13	1.00
21	UNITEDHEALTH GROUP INC	03/06/09	349.19	640.08	290.89	1.00
29	UNITEDHEALTH GROUP INC	04/06/09	626.07	883.92	257.85	1.00
8	UNITEDHEALTH GROUP INC	11/18/09	230.33	243.84	13.51	1.00
13	VERIZON COMMUNICATNS COM	05/08/09	388.44	430.69	42.25	25.00
42	VERIZON COMMUNICATNS COM	06/25/09	1,304.61	1,391.46	86.85	80.00
28	XTO ENERGY INC	06/26/08	1,874.91	1,302.84	(572.07)	14.00
6	XTO ENERGY INC	11/21/08	164.44	279.18	114.74	3.00
3	XTO ENERGY INC	03/03/09	89.78	139.59	49.81	2.00
7	XTO ENERGY INC	11/18/09	301.35	325.71	24.36	4.00
18	WAL-MART STORES INC	02/21/08	896.35	962.10	65.75	20.00
6	WAL-MART STORES INC	09/30/08	350.34	320.70	(29.64)	7.00
2	WAL-MART STORES INC	10/08/08	111.00	106.90	(4.10)	3.00
43	ZIONS BANCORP COM	10/20/08	1,455.45	551.69	(903.76)	2.00
2	ZIONS BANCORP COM	11/21/08	48.44	25.66	(22.78)	1.00
4	ZIONS BANCORP COM	03/03/09	36.16	51.32	15.16	1.00
54	ZIONS BANCORP COM	03/26/09	583.50	692.82	109.32	3.00

EMA Fiscal Statement

STEVEN & RUTH KATZ FAMILY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
26	ZIONS BANCORP COM	11/06/09	361.33	333.58	(27.75)	2.00
21	WELLS FARGO & CO NEW DEL	10/26/07	719.68	566.79	(152.89)	5.00
53	WELLS FARGO & CO NEW DEL	03/28/08	1,565.09	1,430.47	(134.62)	11.00
7	WELLS FARGO & CO NEW DEL	09/30/08	252.93	188.93	(64.00)	2.00
3	WELLS FARGO & CO NEW DEL	10/08/08	95.60	80.97	(14.63)	1.00
53	WELLS FARGO & CO NEW DEL	10/09/08	1,485.08	1,430.47	(54.61)	11.00
51	WELLS FARGO & CO NEW DEL	12/03/08	1,359.17	1,376.49	17.32	11.00
17	WELLS FARGO & CO NEW DEL	02/10/09	282.65	458.83	176.18	4.00
51	WELLS FARGO & CO NEW DEL	03/03/09	573.44	1,376.49	803.05	11.00
Total Equities			164,307.36	186,105.16	21,804.24	3,029.00

PLEASE SEE REVERSE SIDE
 Page 26 of 81
 Statement Period Year Ending 12/31/09
 Account No. 725-02272