



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label Otherwise, print or type. See Specific Instructions.	Name of foundation EATON FAMILY FOUNDATION		A Employer identification number 02-0552091
	C/O CHRISTOPHER EATON		B Telephone number (513) 984-5494
	Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
	9101 HOFFMAN FARM LANE		
City or town, state, and ZIP code CINCINNATI, OH 45242			
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,250,714.		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	233,406.		N/A	
2	Check ☒ if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	48,505.	46,152.		STATEMENT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-54,428.			
b	Gross sales price for all assets on line 6a	360,553.			
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total. Add lines 1 through 11	227,483.	46,152.		
13	Compensation of officers, directors, trustees, etc.	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees STMT 2	13,006.	0.		0.
c	Other professional fees				
17	Interest				
18	Taxes STMT 3	699.	0.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses STMT 4	9,623.	0.		0.
24	Total operating and administrative expenses. Add lines 13 through 23	23,328.	0.		0.
25	Contributions, gifts, grants paid	86,150.			86,150.
26	Total expenses and disbursements. Add lines 24 and 25	109,478.	0.		86,150.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	118,005.			
b	Net investment income (if negative, enter -0-)		46,152.		
c	Adjusted net income (if negative enter -0-)			N/A	

EATON FAMILY FOUNDATION
C/O CHRISTOPHER EATON

02-0552091

Page 2

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	95,837.	150,293.	150,293.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 5	1,028,370.	1,091,919.	1,100,421.
	14 Land, buildings, and equipment: basis ▶ Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	1,124,207.	1,242,212.	1,250,714.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	1,124,207.	1,242,212.	
	30 Total net assets or fund balances	1,124,207.	1,242,212.	
	31 Total liabilities and net assets/fund balances	1,124,207.	1,242,212.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,124,207.
2 Enter amount from Part I, line 27a	2	118,005.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,242,212.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,242,212.

EATON FAMILY FOUNDATION
C/O CHRISTOPHER EATON
Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a MERRILL LYNCH -SEE ATTACHED		VARIOUS	VARIOUS
b MERRILL LYNCH -SEE ATTACHED		VARIOUS	VARIOUS
c MERRILL LYNCH -SEE ATTACHED		VARIOUS	VARIOUS
d CAPITAL GAINS DIVIDENDS			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 221,447.		240,934.	-19,487.
b 88,000.		124,343.	-36,343.
c 49,704.		49,704.	0.
d 1,402.			1,402.
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			-19,487.
b			-36,343.
c			0.
d			1,402.
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-54,428.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	65,000.	925,041.	.070267
2007	64,000.	1,084,693.	.059003
2006	53,504.	760,814.	.070325
2005	53,747.	550,410.	.097649
2004	67,944.	373,163.	.182076

2 Total of line 1, column (d)	2	.479320
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.095864
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,034,791.
5 Multiply line 4 by line 3	5	99,199.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	462.
7 Add lines 5 and 6	7	99,661.
8 Enter qualifying distributions from Part XII, line 4	8	86,150.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	923.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	923.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	923.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	975.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	975.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	52.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☐ 52. Refunded ☐ 0.	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ OH		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

N/A

EATON FAMILY FOUNDATION
C/O CHRISTOPHER EATON

02-0552091

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► CHRISTOPHER K. EATON Telephone no. ► (513) 904-5494 Located at ► 9101 HOFFMAN FARM LANE, CINCINNATI, OH ZIP+4 ► 45242			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CHRISTOPHER K. EATON 9101 HOFFMAN FARM LANE CINCINNATI, OH 45242	DIRECTOR/PRESIDENT 0.00	0.	0.	0.
COLLEEN S. EATON 400 CHAMBERS STREET NEW YORK, NY 10282	SECRETARY/TREASURER 0.00	0.	0.	0.
MICHAEL B. EATON 28 LAIGHT STREET #6D NEW YORK, NY 10013	VICE PRESIDENT 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2009)

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

(continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	900,247.
b	Average of monthly cash balances	1b	150,302.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,050,549.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,050,549.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	15,758.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,034,791.
6	Minimum investment return. Enter 5% of line 5	6	51,740.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	51,740.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	923.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	923.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	50,817.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	50,817.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	50,817.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	86,150.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	86,150.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	86,150.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

EATON FAMILY FOUNDATION
C/O CHRISTOPHER EATON

02-0552091

Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				50,817.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004	49,436.			
b From 2005	26,471.			
c From 2006	16,086.			
d From 2007	10,912.			
e From 2008	19,783.			
f Total of lines 3a through e	122,688.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$	86,150.			
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				50,817.
e Remaining amount distributed out of corpus	35,333.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	158,021.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	49,436.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	108,585.			
10 Analysis of line 9:				
a Excess from 2005	26,471.			
b Excess from 2006	16,086.			
c Excess from 2007	10,912.			
d Excess from 2008	19,783.			
e Excess from 2009	35,333.			

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
CHILDREN'S HOSPITAL MEDICAL CENTER 3333 BURNET AVENUE CINCINNATI, OH 45229	NONE	501 (C) (3)	MEDICAL	20,000.
ACE TRIBECA PARTNERSHIP 113 SPRING STREET, SUITE 3 NY, NY 10012	NONE	501 (C) (3)	AID FOR HOMELESS	5,000.
KENYON COLLEGE COLLEGE RELATIONS OFFICE GAMBIER, OH 43022	NONE	501 (C) (3)	EDUCATIONAL	60,000.
NOELLE ELIZABETH BRAUN MEMORIAL FOUNDATION PO BOX 499016 CINCINNATI, OH 45249	NONE	501 (C) (3)	AID FOR CHILDREN	250.
OUR DAILY BREAD 3000 KRAFT AVE SE GRAND RAPIDS, MI 49512	NONE	501 (C) (3)	RELIGIOUS	900.
Total			3a	86,150.
<i>b Approved for future payment</i>				
NONE				
Total			3b	0.

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash (2) Other assets b Other transactions: (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		Yes	No
	1a(1)		X
	1a(2)		X
	1b(1)		X
	1b(2)		X
	1b(3)		X
	1b(4)		X
	1b(5)		X
	1b(6)		X
	1c		X

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee

Date _____

Title

Preparer's
signature

Date

☐ Check if self-employed

Preparer's identifying number

Firm's name (or yours if self-employed).

HOW & ASSOCIATES, LLC
9130 GALLERIA COURT STE 312
NAPLES, FL 34109

EIN ▶ 26-1566770

Phone no. (239) 254-1041

Form **990-PF** (2009)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

EATON FAMILY FOUNDATION
C/O CHRISTOPHER EATON

Employer identification number

02-0552091

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

- ☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

EATON FAMILY FOUNDATION
C/O CHRISTOPHER EATON

Employer identification number

02-0552091

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	THE EDWIN EATON, JR. CLAT 1 & 2 C/O SANTA FE TRUST P.O. BOX 22790 SANTA FE, NM 87502-2790	\$ _____	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
-------------	--	-----------	---

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
IRS	19.	0.	19.
LAZARD LTD K-1 INCOME	10.	0.	10.
MERRILL LYNCH-04052	38,276.	681.	37,595.
MERRILL LYNCH-04054	11,602.	721.	10,881.
TOTAL TO FM 990-PF, PART I, LN 4	49,907.	1,402.	48,505.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
-------------	-----------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	10,020.	0.		0.
BOOKKEEPING	2,986.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	13,006.	0.		0.

FORM 990-PF

TAXES

STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN INCOME TAX	499.	0.		0.
STATE FILING FEE	200.	0.		0.
TO FORM 990-PF, PG 1, LN 18	699.	0.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	9,623.	0.		0.
TO FORM 990-PF, PG 1, LN 23	9,623.	0.		0.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	5
-------------	-------------------	-----------	---

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MERRILL LYNCH 6PW-04054 & 6PW-04052	COST	1,091,919.	1,100,421.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,091,919.	1,100,421.



Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
24 of 87

EATON FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

Your Tax Reporting Statement information that follows is a personal summary of your account activity and is not reported to the IRS.

The Capital Gain and Loss Transaction section is provided to facilitate your review and may not include return of capital, sales load deferral, or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains. The cost basis for these securities may not have been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income received. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that an election was in effect to amortize premium paid on the purchase of taxable bonds.

Dividend and Interest details are repeated in the following pages for your convenience. Additionally, we have included items such as margin interest and select account fees if applicable. Please discuss the deductibility of these items with your tax advisor. For select clients, we have also included a summary of Visa charges and checking activity.

Merrill Lynch is neither a tax return preparer or tax advisor. Please see your tax advisor for more information.

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SHORT TERM CAPITAL GAINS								
AEON N.V. NY REG SHS	5.0000	11/18/08	06/16/09			28.91	21.39	7.52
	10.0000	11/18/08	06/17/09			55.03	42.80	12.23
	20.0000	11/18/08	06/18/09			117.49	85.60	31.89
	15.0000	11/18/08	06/19/09			88.06	64.20	23.86
	10.0000	11/18/08	06/22/09			54.82	42.80	12.02
	33.0000	11/18/08	06/23/09			176.33	141.25	35.08
		Security Subtotal				520.64	398.04	122.60
AXA -SPONS ADR	79.0000	12/04/09	12/04/09			45.80	45.80	0.00
AMAZON COM INC COM	15.0000	12/04/08	03/27/09			1,069.46	746.63	322.83
AMPHENOL CORP CL A NEW	30.0000	03/11/09	08/27/09			1,037.33	777.83	259.50
	5.0000	03/12/09	08/27/09			172.89	130.18	42.71
	13.0000	03/12/09	08/28/09			453.72	338.50	115.22
		Security Subtotal				1,663.94	1,246.51	417.43
AMERICAN TOWER CORP CL A	19.0000	03/05/09	09/28/09			698.40	525.19	173.21
	25.0000	03/05/09	09/29/09			912.96	691.04	221.92
		Security Subtotal				1,611.36	1,216.23	395.13



EATON FAMILY FOUNDATION

Account No.
6PW-04052Taxpayer No.
02-0552091Page
25 of 87

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Life-to-Date	Amortization/Accretion	Sales Price	Cost Basis	Gain or (Loss)
ALTERA CORP COM	90.0000	02/17/09	05/26/09			1,463.64	1,346.14	117.50
AOL INC	7.0000	05/01/09	12/30/09			161.60	118.18	43.42
ALPHA NATURAL RESOURCES	15.0000	07/06/09	11/03/09			544.12	342.18	201.94
BMC SOFTWARE INC	9.0000	02/20/09	05/05/09			308.07	259.30	48.77
BROADCOM CORP CALIF CL A	45.0000	11/18/08	03/31/09			905.15	630.45	274.70
BASF SE SPONSORED ADR	8.0000	10/21/08	07/08/09			306.20	269.29	36.91
	2.0000	10/21/08	07/08/09			76.56	67.32	9.24
	3.0000	10/21/08	07/09/09			116.17	100.98	15.19
Security Subtotal						498.93	437.59	61.34
BHP BILLITON LTD ADR	40.0000	02/09/09	04/09/09			1,870.75	1,836.26	34.49
	30.0000	07/21/09	10/28/09			2,003.28	1,795.98	207.30
Security Subtotal						3,874.03	3,632.24	241.79
BOEING COMPANY	5.0000	12/23/08	10/26/09			244.46	205.36	39.10
	10.0000	12/23/08	10/30/09			483.80	410.72	73.08
Security Subtotal						728.26	616.08	112.18
CARDINAL HEALTH INC OHIO	10.0000	03/03/09	08/26/09			340.69	308.16	32.53
	25.0000	03/04/09	08/26/09			851.73	773.83	77.90
	25.0000	08/12/09	08/27/09			849.09	829.12	19.97
Security Subtotal						2,041.51	1,911.11	130.40
CATERPILLAR INC DEL	25.0000	11/18/08	05/26/09			880.63	876.46	4.17
	5.0000	11/18/08	05/27/09			175.89	175.30	0.59
Security Subtotal						1,056.52	1,051.76	4.76
CA INC	90.0000	03/02/09	05/28/09			1,538.22	1,527.02	11.20
CEPHALON INC COM	17.0000	12/02/08	02/23/09			1,231.53	1,204.12	27.41



Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
26 of 87

EATON FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
COVIDIEN PLC SHS	7.0000	12/02/08	07/22/09		250.30	242.07	8.23
	6.0000	12/05/08	07/22/09		214.55	210.14	4.41
	10.0000	03/30/09	07/22/09		357.58	334.92	22.66
	21.0000	05/13/09	07/22/09		750.93	741.58	9.35
		Security Subtotal			1,573.36	1,528.71	44.65
CUMMINS INC COM	15.0000	04/30/09	09/21/09		668.90	512.48	156.42
DREAMWORKS ANIMATION INC	30.0000	11/18/08	06/12/09		856.27	702.87	153.40
DIGITAL RLTY TR INC	20.0000	12/02/08	03/12/09		612.07	513.80	98.27
DEUTSCHE BK AG REG SHS	5.0000	11/18/08	04/20/09		240.04	143.36	96.68
	19.0000	11/18/08	04/21/09		904.00	544.80	359.20
	16.0000	11/18/08	04/23/09		822.81	458.79	364.02
		Security Subtotal			1,966.85	1,146.95	819.90
DOLLAR TREE INC	10.0000	12/23/08	09/30/09		484.45	419.66	64.79
ENCANA CORP	10.0000	12/23/08	11/16/09		558.10	424.84	133.26
	10.0000	12/23/08	11/17/09		544.97	424.84	120.13
		Security Subtotal			1,103.07	849.68	253.39
FMC CORP COM NEW	29.0000	04/02/09	08/14/09		1,474.20	1,384.43	89.77
FREEMPT-MCMRAN CPR & GLD	20.0000	02/05/09	04/07/09		840.64	551.29	289.35
	50.0000	02/05/09	07/07/09		2,267.03	1,378.23	888.80
		Security Subtotal			3,107.67	1,929.52	1,178.15



EATON FAMILY FOUNDATION

Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
27 of 87

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
FACTSET RESH SYS INC	15.0000	11/24/08	02/20/09			589.84	545.69	44.15
	5.0000	11/24/08	05/15/09			279.81	181.90	97.91
	5.0000	11/24/08	05/18/09			276.90	181.90	95.00
	9.0000	11/25/08	05/21/09			488.47	331.85	156.62
	1.0000	12/23/08	05/21/09			54.28	44.11	10.17
	9.0000	12/23/08	05/22/09			482.76	397.04	85.72
Security Subtotal						2,172.06	1,682.49	489.57
FMC TECHS INC COM	25.0000	11/18/08	01/23/09			688.30	654.25	34.05
GUESS INC COM	7.0000	06/26/09	09/10/09			253.64	182.72	70.92
GOLDMAN SACHS GROUP INC	5.0000	03/13/09	07/08/09			689.81	495.20	194.61
	10.0000	03/13/09	11/02/09			1,704.13	990.42	713.71
	7.0000	04/02/09	11/02/09			1,192.90	798.98	393.92
Security Subtotal						3,586.84	2,284.60	1,302.24
GENENTECH INC NEW	20.0000	05/09/08	03/13/09			1,880.05	1,370.52	509.53
GAMESTOP CORP NEW CL A	25.0000	11/18/08	03/06/09			559.94	544.71	15.23
HALLIBURTON COMPANY	75.0000	11/18/08	07/29/09			1,598.74	1,267.35	331.39
HARTFORD FINL SVCS GROUP	20.0000	11/18/08	01/12/09			307.08	180.48	126.60
	40.0000	11/18/08	02/17/09			400.54	360.96	39.58
Security Subtotal						707.62	541.44	166.18
HONEYWELL INTL INC DEL	55.0000	09/14/09	12/11/09			2,244.13	2,182.54	61.59
HOST HOTELS & RESORTS	55.0000	11/18/08	01/13/09			362.50	351.44	11.06
HUDSON CITY BANCORP INC	14.0000	01/21/09	08/21/09			187.57	169.63	17.94



Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
28 of 87

EATON FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
HSBC HLDG PLC SP ADR	10.0000	01/09/09	08/10/09			553.14	482.15	70.99
	9.0000	01/09/09	08/11/09			486.89	433.94	52.95
	7.0000	01/22/09	08/11/09			378.70	256.37	122.33
	9.0000	01/22/09	10/01/09			501.48	329.63	171.85
	6.0000	04/08/09	10/01/09			334.32	110.92	223.40
	10.0000	04/08/09	10/02/09			547.78	184.88	362.90
Security Subtotal						2,802.31	1,797.89	1,004.42
HEWITT ASSOCIATES INC	3.0000	11/18/08	05/29/09			86.57	78.44	8.13
	22.0000	11/18/08	06/01/09			634.37	575.29	59.08
Security Subtotal						720.94	653.73	67.21
HARRIS STRATEX NETWORKS	13.0000	05/27/09	06/11/09			85.88	69.42	16.46
HANSEN NATURAL CORP	38.0000	06/05/09	11/06/09			1,271.79	1,245.26	26.53
	6.0000	06/12/09	11/06/09			200.81	191.07	9.74
Security Subtotal						1,472.60	1,436.33	36.27
INTEL CORP	55.0000	11/18/08	02/25/09			703.02	698.42	4.60
INGERSOLL RAND CO LTD A	10.0000	11/11/08	04/16/09			161.48	158.18	3.30
	7.0000	11/18/08	04/16/09			113.04	102.32	10.72
Security Subtotal						274.52	260.50	14.02
INGERSOLL-RAND PLC	33.0000	11/18/08	08/13/09			976.54	482.37	494.17
	12.0000	12/04/08	08/13/09			355.10	181.79	173.31
	25.0000	12/26/08	08/13/09			739.82	412.23	327.59
Security Subtotal						2,071.46	1,076.39	995.07
JPMORGAN CHASE & CO	20.0000	03/24/09	09/18/09			898.24	577.62	320.62
LINCARE HLDGS INC	10.0000	07/30/09	09/23/09			320.06	265.36	54.70
LINCOLN NTL CORP IND NPV	300.0000	11/18/08	05/06/09			4,125.85	3,520.12	605.73



EATON FAMILY FOUNDATION

Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
29 of 87

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Life-to-Date	Amortization/Accretion	Sales Price	Cost Basis	Gain or (Loss)
LOWE'S COMPANIES INC	30.0000	07/22/09	10/15/09			646.76	624.32	22.44
MARVELL TECHNOLOGY GROUP	35.0000	04/13/09	09/11/09			566.50	355.95	210.55
MAGNA INTL INC CL A VTG	15.0000	11/18/08	05/15/09			471.43	386.25	85.18
	5.0000	11/18/08	05/18/09			155.90	128.76	27.14
Security Subtotal						627.33	515.01	112.32
MCDONALDS CORP COM	10.0000	04/08/08	02/04/09			581.51	557.70	23.81
NOBLE CORP NAMEN-AKT	20.0000	12/10/08	06/24/09			624.70	522.91	101.79
	31.0000	12/11/08	06/24/09			968.30	830.94	137.36
Security Subtotal						1,593.00	1,353.85	239.15
NORFOLK SOUTHERN CORP	30.0000	03/24/09	11/13/09			1,545.93	1,018.02	527.91
	5.0000	03/24/09	11/16/09			258.73	169.67	89.06
	30.0000	03/27/09	11/16/09			1,552.43	1,047.20	505.23
Security Subtotal						3,357.09	2,234.89	1,122.20
ON SEMICONDUCTOR CRP COM	135.0000	11/18/08	02/26/09			490.21	434.69	55.52
OMNICARE INC	35.0000	11/18/08	02/26/09			805.12	797.94	7.18
PRICELINE COM INC	9.0000	03/12/09	05/12/09			980.86	704.54	276.32
	6.0000	03/12/09	10/30/09			982.47	469.70	512.77
Security Subtotal						1,963.33	1,174.24	789.09
PNC FINCL SERVICES GROUP	25.0000	02/17/09	10/08/09			1,107.36	663.43	443.93
	55.0000	02/20/09	10/08/09			2,436.20	1,285.57	1,150.63
Security Subtotal						3,543.56	1,949.00	1,594.56
PHILIP MORRIS INTL INC	25.0000	01/14/09	07/20/09			1,093.88	1,035.18	58.70
PETRO CANADA	11.0000	11/18/08	05/14/09			402.56	220.82	181.74



Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
30 of 87

EATON FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
PROCTER & GAMBLE CO	10.0000	05/19/09	11/25/09		629.82	531.18	98.64
QUEST DIAGNOSTICS INC	26.0000	02/26/09	09/18/09		1,319.87	1,265.13	54.74
QUALCOMM INC	15.0000	12/15/08	10/08/09		627.44	504.54	122.90
RED HAT INC	15.0000	01/09/09	06/03/09		296.20	226.51	69.69
	13.0000	01/09/09	06/04/09		259.12	196.31	62.81
	13.0000	01/09/09	11/04/09		353.47	196.32	157.15
Security Subtotal					908.79	619.14	289.65
ST JUDE MEDICAL INC	20.0000	12/23/08	05/06/09		681.84	646.22	35.62
SCHLUMBERGER LTD	25.0000	11/24/08	07/07/09		1,242.83	1,155.96	86.87
SILICONWARE PRECSN SPADR	28.0000	11/18/08	06/17/09		166.95	111.64	55.31
SALESFORCE COM INC	7.0000	05/29/09	09/10/09		391.93	262.30	129.63
	8.0000	05/29/09	12/02/09		514.92	299.77	215.15
	20.0000	05/29/09	12/11/09		1,266.00	749.44	516.56
Security Subtotal					2,172.85	1,311.51	861.34
SUNCOR ENERGY INC NEW	15.0000	11/18/08	08/10/09		500.94	238.09	262.85
	28.0000	11/18/08	08/11/09		900.74	444.46	456.28
Security Subtotal					1,401.68	682.55	719.13
SONY CORP ADR NEW	7.0000	10/23/08	04/16/09		174.73	163.25	11.48
SOUTHWESTERN ENERGY CO	7.0000	03/27/09	05/20/09		287.40	223.51	63.89
	20.0000	03/27/09	07/23/09		844.98	638.59	206.39
	14.0000	03/27/09	07/24/09		587.47	447.03	140.44
Security Subtotal					1,719.85	1,309.13	410.72



EATON FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

Account No.
6PW-04052Taxpayer No.
02-0552091Page
31 of 87

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SUPERVALU INC DEL COM	10.0000	08/12/09	11/19/09			151.50	148.59	2.91
	10.0000	08/12/09	11/20/09			150.69	148.60	2.09
						<u>302.19</u>	<u>297.19</u>	<u>5.00</u>
TJX COS INC NEW	30.0000	11/18/08	08/19/09			1,031.97	591.22	440.75
TENARIS S A ADR	8.0000	10/07/08	10/05/09			271.49	254.76	16.73
	11.0000	11/04/08	10/05/09			373.29	258.47	114.82
	8.0000	11/06/08	10/05/09			271.49	158.62	112.87
	30.0000	12/23/08	10/05/09			1,018.10	613.01	405.09
						<u>1,934.37</u>	<u>1,284.86</u>	<u>649.51</u>
TERRA INDUSTRIES INC COM	21.0000	10/14/08	03/23/09			573.70	514.21	59.49
	18.0000	11/18/08	03/23/09			491.76	267.28	224.48
	17.0000	11/18/08	05/08/09			434.12	252.44	181.68
	10.0000	12/10/08	05/08/09			255.37	150.63	104.74
	20.0000	12/30/08	05/08/09			510.75	311.68	199.07
						<u>2,265.70</u>	<u>1,496.24</u>	<u>769.46</u>
TEEKAY CORP	7.0000	11/18/08	07/29/09			120.33	104.71	15.62
	18.0000	11/18/08	07/30/09			313.83	269.27	44.56
						<u>434.16</u>	<u>373.98</u>	<u>60.18</u>
TARGET CORP COM	15.0000	03/18/09	04/15/09			569.04	461.88	107.16
	15.0000	03/18/09	05/13/09			607.87	461.88	145.99
	25.0000	03/18/09	05/29/09			973.11	769.82	203.29
	15.0000	03/26/09	05/29/09			583.88	510.68	73.20
						<u>2,733.90</u>	<u>2,204.26</u>	<u>529.64</u>
URBAN OUTFITTERS INC	30.0000	11/18/08	01/08/09			442.44	430.12	12.32
UNITED STS STL CORP NEW	50.0000	07/20/09	09/11/09			2,266.23	1,950.75	315.48



Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
32 of 87

EATON FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
VOLVO AKTIEBOLAGET ADR	71.0000 104.0000	11/18/08 11/18/08	02/17/09 02/19/09			352.20 482.73 834.93	294.65 431.60 726.25	57.55 51.13 108.68
Security Subtotal								
WALGREEN CO	20.0000 50.0000	04/29/09 04/20/09	12/18/09 12/18/09			732.33 1,830.81 2,563.14	629.91 1,499.17 2,129.08	102.42 331.64 434.06
Security Subtotal								
YUM BRANDS INC	25.0000	11/18/08	06/24/09			822.27	619.37	202.90
WYETH	50.0000	11/18/08	02/25/09			2,078.27	1,716.92	361.35
Short Term Capital Gains Subtotal						103,252.96	80,679.03	22,573.93

SHORT TERM CAPITAL LOSSES

U.S. TRSY INFLATION NOTE	1000.0000	04/09/08	03/26/09	(31.89)(A)	(13.00)(A)	1,110.27	1,126.39(C)	(16.12)
ADOBE SYS DEL PV\$ 0.001	15.0000	09/18/08	01/14/09			319.46	573.88	(254.42)
AIR FRANCE KLM SPON ADR	11.0000 8.0000 2.0000 25.0000	09/30/08 10/14/08 10/14/08 11/18/08	03/26/09 03/26/09 03/31/09 03/31/09			105.24 76.55 17.41 217.71 416.91	249.70 159.40 39.85 311.25 760.20	(144.46) (82.85) (22.44) (93.54) (343.29)
Security Subtotal								
APOLLO GROUP INC CL A	20.0000	01/16/09	03/26/09			1,550.26	1,757.57	(207.31)
AMERICAN TOWER CORP CL A	4.0000	09/08/08	08/07/09			130.36	158.62	(28.26)



EATON FAMILY FOUNDATION

Account No.
6PW-04052Taxpayer No.
02-0552091Page
33 of 87

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
AMERISOURCEBERGEN CORP	20.0000	12/15/08	03/11/09			566.83	660.50	(93.67)
	5.0000	12/16/08	03/11/09			141.71	169.14	(27.43)
	10.0000	12/23/08	03/12/09			278.21	344.54	(66.33)
	12.0000	12/16/08	03/12/09			333.84	405.96	(72.12)
Security Subtotal						1,320.59	1,580.14	(259.55)
ALCOA INC	120.0000	11/18/08	01/28/09			1,051.73	1,120.39	(68.66)
	40.0000	11/18/08	02/06/09			338.10	373.46	(35.36)
	35.0000	11/18/08	02/09/09			297.06	326.79	(29.73)
Security Subtotal						1,686.89	1,820.64	(133.75)
AETNA INC NEW	45.0000	01/07/09	07/21/09			1,094.64	1,311.77	(217.13)
	15.0000	01/08/09	07/21/09			364.88	435.52	(70.64)
	20.0000	01/14/09	07/21/09			486.51	520.41	(33.90)
	20.0000	01/28/09	07/21/09			486.51	667.17	(180.66)
	5.0000	01/28/09	07/22/09			122.86	166.80	(43.94)
Security Subtotal						2,555.40	3,101.67	(546.27)
ALLIANT TECHSYSTEMS INC	10.0000	01/08/09	03/11/09			639.51	846.80	(207.29)
	7.0000	01/09/09	03/11/09			447.67	592.98	(145.31)
Security Subtotal						1,087.18	1,439.78	(352.60)
AECOM TECH CORP	35.0000	12/08/08	08/25/09			977.73	1,064.07	(86.34)
	9.0000	12/08/08	08/26/09			248.76	273.63	(24.87)
Security Subtotal						1,226.49	1,337.70	(111.21)
ARCELORMITTAL SA,	10.0000	02/12/08	01/16/09			234.24	702.86	(468.62)
	5.0000	04/15/08	01/16/09			117.13	423.64	(306.51)
Security Subtotal						351.37	1,126.50	(775.13)
BANCO SANTANDER SA ADR	13.0000	02/14/08	01/16/09			103.37	225.20	(121.83)

Account No.
6PW-04052Taxpayer No.
02-0552091Page
34 of 87

EATON FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
BROADCOM CORP CALIF CL A	35.0000	07/11/08	01/09/09		587.41	979.52	(392.11)
	28.0000	07/11/08	03/31/09		563.20	783.62	(220.42)
	9.0000	07/25/08	03/31/09		181.03	208.97	(27.94)
Security Subtotal					1,331.64	1,972.11	(640.47)
BARD C R INC	12.0000	10/06/08	07/22/09		878.32	1,069.12	(190.80)
BP PLC SPON ADR	10.0000	04/08/08	01/14/09		426.41	637.40	(210.99)
BANK OF AMERICA CORP	95.0000	11/18/08	01/28/09		691.39	1,405.86	(714.47)
BASF SE SPONSORED ADR	8.0000	08/01/08	07/09/09		309.77	502.57	(192.80)
BNP PARIBAS SPONSORD ADR	1.0000	02/14/08	01/09/09		23.42	45.17	(21.75)
	11.0000	05/15/08	01/09/09		257.69	600.27	(342.58)
Security Subtotal					281.11	645.44	(364.33)
BLOCK H&R INC	60.0000	12/16/08	04/14/09		948.65	1,272.52	(323.87)
	15.0000	12/29/08	04/14/09		237.17	329.28	(92.11)
Security Subtotal					1,185.82	1,601.80	(415.98)
BRISTOL-MYERS SQUIBB CO	100.0000	02/26/09	07/20/09		1,979.14	2,060.63	(81.49)
CARDINAL HEALTH INC OHIO	10.0000	02/25/09	08/26/09		340.68	353.77	(13.09)
	20.0000	02/26/09	08/26/09		681.38	688.74	(7.36)
Security Subtotal					1,022.06	1,042.51	(20.45)
CITRIX SYSTEMS INC COM	30.0000	12/15/08	01/30/09		648.70	682.87	(34.17)
	22.0000	12/16/08	01/30/09		475.72	519.51	(43.79)
	15.0000	12/29/08	01/30/09		324.36	346.47	(22.11)
Security Subtotal					1,448.78	1,548.85	(100.07)
CVS CAREMARK CORP	60.0000	07/24/09	11/11/09		1,795.02	2,046.25	(251.23)



EATON FAMILY FOUNDATION

Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
35 of 87

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
CANON INC ADR REP5SH	5.0000	07/22/08	05/14/09			166.33	241.23	(74.90)
	5.0000	07/22/08	05/15/09			170.06	241.23	(71.17)
	15.0000	07/23/08	05/15/09			510.20	731.68	(221.48)
Security Subtotal						846.59	1,214.14	(367.55)
COSTCO WHOLESALE CRP DEL	35.0000	11/04/08	04/21/09			1,564.57	1,941.73	(377.16)
	15.0000	11/24/08	04/21/09			670.53	736.31	(65.78)
Security Subtotal						2,235.10	2,678.04	(442.94)
CEMEX SAB DE CV SPND ADR	15.0000	01/14/09	07/07/09			125.53	137.33	(11.80)
	26.0000	01/14/09	07/08/09			208.03	238.04	(30.01)
	39.0000	01/15/09	07/08/09			312.06	333.16	(21.10)
	36.0000	01/15/09	07/09/09			285.63	307.55	(21.92)
Security Subtotal						931.25	1,016.08	(84.83)
CENTERPOINT ENERGY INC	102.0000	12/19/08	03/17/09			1,038.16	1,280.17	(242.01)
	30.0000	12/30/08	03/17/09			305.35	370.37	(65.02)
Security Subtotal						1,343.51	1,650.54	(307.03)
CBS CORP NEW CL B	20.0000	04/08/08	02/26/09			93.27	446.80	(353.53)
	90.0000	11/18/08	02/26/09			419.75	531.17	(111.42)
	215.0000	11/18/08	03/04/09			832.00	1,268.91	(436.91)
Security Subtotal						1,345.02	2,246.88	(901.86)
COVIDIEN LTD	10.0000	09/18/08	04/02/09			325.90	544.97	(219.07)
	19.0000	09/18/08	04/03/09			601.43	1,035.45	(434.02)
Security Subtotal						927.33	1,580.42	(653.09)
CME GROUP INC	6.0000	05/29/09	07/22/09			1,659.52	1,885.48	(225.96)
CALPINE CORP	80.0000	06/01/09	08/27/09			962.97	1,157.15	(194.18)
	18.0000	06/01/09	08/28/09			214.91	260.37	(45.46)
Security Subtotal						1,177.88	1,417.52	(239.64)



Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
36 of 87

EATON FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
COVIDIEN PLC SHS	10.0000	09/18/08	07/21/09		359.97	544.98	(185.01)
	1.0000	09/18/08	07/22/09		35.75	54.50	(18.75)
Security Subtotal					395.72	599.48	(203.76)
CHUBB CORP	20.0000	09/22/08	04/29/09		805.71	1,194.48	(388.77)
	15.0000	09/22/08	04/30/09		594.82	895.87	(301.05)
Security Subtotal					1,400.53	2,090.35	(689.82)
COCA COLA COM	5.0000	09/11/08	07/24/09		245.33	268.93	(23.60)
DUN & BRADSTREET COR-NEW	15.0000	12/02/08	08/03/09		1,073.37	1,167.04	(93.67)
	5.0000	01/30/09	08/03/09		357.80	382.90	(25.10)
Security Subtotal					1,431.17	1,549.94	(118.77)
DREAMWORKS ANIMATION INC	40.0000	10/14/08	06/11/09		1,141.48	1,161.22	(19.74)
DEUTSCHE BK AG REG SHS	10.0000	07/22/08	04/20/09		480.06	893.72	(413.66)
DISH NETWORK CORPORATION	55.0000	05/20/09	09/09/09		932.18	977.28	(45.10)
	25.0000	05/22/09	09/09/09		423.73	425.76	(2.03)
Security Subtotal					1,355.91	1,403.04	(47.13)
DEERE CO	40.0000	05/06/09	05/21/09		1,660.74	1,867.29	(206.55)
DEVRY INC DEL	14.0000	10/30/08	05/05/09		562.05	782.96	(220.91)
DOW CHEMICAL CO	85.0000	11/18/08	02/23/09		649.65	1,743.19	(1,093.54)
	20.0000	12/24/08	02/23/09		152.87	392.16	(239.29)
Security Subtotal					802.52	2,135.35	(1,332.83)
EXXON MOBIL CORP COM	30.0000	11/21/08	04/13/09		2,050.44	2,093.00	(42.56)



Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
37 of 87

EATON FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
ENDO PHARMACEUTICALS HLDGS	18.0000	11/18/08	05/06/09			291.65	354.06	(62.41)
	30.0000	11/11/08	05/07/09			490.25	592.97	(102.72)
	18.0000	11/12/08	05/07/09			294.16	355.14	(60.98)
	17.0000	11/18/08	05/07/09			277.82	334.39	(56.57)
Security Subtotal						1,353.88	1,636.56	(282.68)
FPL GROUP INC	15.0000	04/08/08	01/06/09			768.08	993.97	(225.89)
FTI CONSULTING INC COM	20.0000	03/12/09	10/02/09			839.27	963.31	(124.04)
	4.0000	03/12/09	10/05/09			166.14	192.67	(26.53)
Security Subtotal						1,005.41	1,155.98	(150.57)
F5 NETWORKS INC COM	52.0000	11/24/08	03/16/09			1,057.64	1,223.31	(165.67)
FMC TECHS INC COM	5.0000	09/12/08	01/23/09			137.66	245.85	(108.19)
FOSTER WHEELER AG	15.0000	08/07/08	03/04/09			215.65	817.64	(601.99)
	15.0000	08/08/08	03/04/09			215.65	815.81	(600.16)
	6.0000	09/12/08	03/04/09			86.26	250.63	(164.37)
	30.0000	11/18/08	03/04/09			431.33	574.50	(143.17)
Security Subtotal						948.89	2,458.58	(1,509.69)
GEN-PROBE INC DELAWARE	10.0000	03/16/09	07/28/09			419.11	451.15	(32.04)
	17.0000	03/16/09	07/29/09			710.60	766.97	(56.37)
	5.0000	06/25/09	07/29/09			209.01	218.22	(9.21)
Security Subtotal						1,338.72	1,436.34	(97.62)
GENERAL ELECTRIC	65.0000	09/18/08	04/16/09			768.34	1,505.84	(737.50)
	5.0000	09/22/08	04/16/09			59.11	134.48	(75.37)
	55.0000	09/22/08	04/20/09			627.08	1,479.38	(852.30)
	45.0000	11/18/08	04/20/09			513.08	696.98	(183.90)
Security Subtotal						1,967.61	3,816.68	(1,849.07)



EATON FAMILY FOUNDATION

Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
38 of 87

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
GAMESTOP CORP NEW CL A	35.0000	03/11/08	03/06/09			783.91	1,604.67	(820.76)
	10.0000	04/08/08	03/06/09			223.97	554.20	(330.23)
		Security Subtotal				1,007.88	2,158.87	(1,150.99)
GILEAD SCIENCES INC COM	25.0000	04/18/08	02/05/09			1,274.50	1,287.86	(13.36)
	15.0000	04/07/09	12/31/09			651.82	712.72	(60.90)
	10.0000	07/06/09	12/31/09			434.55	458.07	(23.52)
		Security Subtotal				2,360.87	2,458.65	(97.78)
HARTFORD FINL SVCS GROUP	110.0000	11/18/08	02/24/09			898.05	992.66	(94.61)
HOST HOTELS & RESORTS	100.0000	02/19/08	01/13/09			659.05	1,683.97	(1,024.92)
	15.0000	02/29/08	01/13/09			98.85	242.61	(143.76)
	70.0000	03/03/08	01/13/09			461.34	1,171.90	(710.56)
	25.0000	03/04/08	01/13/09			164.76	416.03	(251.27)
	140.0000	11/18/08	01/14/09			864.73	894.61	(29.88)
		Security Subtotal				2,248.73	4,409.12	(2,160.39)
HUDSON CITY BANCORP INC	40.0000	11/18/08	08/21/09			535.90	674.36	(138.46)
HSBC HLDG PLC SP ADR	5.0000	10/14/08	08/10/09			276.56	371.41	(94.85)
HEWITT ASSOCIATES INC	14.0000	02/12/08	01/09/09			403.11	542.67	(139.56)
HESS CORP	30.0000	06/01/09	06/17/09			1,592.65	2,083.43	(490.78)
HILL ROM HLDGS	15.0000	10/27/08	01/09/09			217.32	328.75	(111.43)
	25.0000	10/28/08	01/09/09			362.21	549.41	(187.20)
	20.0000	11/18/08	01/09/09			289.78	429.60	(139.82)
	10.0000	11/18/08	01/12/09			142.42	214.80	(72.38)
		Security Subtotal				1,011.73	1,522.56	(510.83)
HEINZ H J CO PV 25CT	10.0000	12/23/08	06/26/09			354.15	378.00	(23.85)



EATON FAMILY FOUNDATION

Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
39 of 87

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired		Date Liquidated		Amortization/Accretion		Sales Price	Cost Basis	Gain or (Loss)
		Cover of Short	Short Sale	Year-to-Date	Life-to-Date	Year-to-Date	Life-to-Date			
HEWLETT PACKARD CO DEL	40.0000	06/05/08	02/24/09					1,185.43	1,937.66	(752.23)
	20.0000	06/05/08	05/08/09					679.17	968.83	(289.66)
		Security Subtotal						1,864.60	2,906.49	(1,041.89)
INVESTM TECH GRP INC NEW	5.0000	03/31/09	05/15/09					100.71	127.38	(26.67)
	25.0000	04/01/09	05/15/09					503.60	649.42	(145.82)
	10.0000	04/01/09	05/18/09					205.12	259.78	(54.66)
	10.0000	04/02/09	05/18/09					205.13	276.40	(71.27)
		Security Subtotal						1,014.56	1,312.98	(298.42)
INGERSOLL RAND CO LTD A	18.0000	07/21/08	04/09/09					297.94	640.81	(342.87)
	12.0000	11/10/08	04/09/09					198.64	204.32	(5.68)
	1.0000	11/10/08	04/16/09					16.14	17.03	(0.89)
		Security Subtotal						512.72	862.16	(349.44)
ING GP NV SPSP ADR	25.0000	07/22/08	03/12/09					102.74	840.23	(737.49)
	20.0000	07/23/08	03/12/09					82.20	692.35	(610.15)
	8.0000	07/23/08	03/13/09					33.48	276.95	(243.47)
	80.0000	11/18/08	03/13/09					334.91	699.86	(364.95)
		Security Subtotal						553.33	2,509.39	(1,956.06)
JUNIPER NETWORKS INC	68.0000	12/11/08	03/27/09					1,066.63	1,189.72	(123.09)
	20.0000	12/29/08	03/27/09					313.72	341.60	(27.88)
	105.0000	01/21/09	04/07/09					1,671.75	1,730.89	(59.14)
		Security Subtotal						3,052.10	3,262.21	(210.11)
JPMORGAN CHASE & CO	55.0000	09/19/08	07/07/09					1,792.70	2,502.71	(710.01)
LOCKHEED MARTIN CORP	5.0000	09/05/08	08/05/09					371.84	574.99	(203.15)
	5.0000	09/17/08	08/05/09					371.85	554.36	(182.51)
		Security Subtotal						743.69	1,129.35	(385.66)
LAFARGE ADR NEW	10.0000	04/08/08	01/15/09					135.27	439.00	(303.73)



Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
40 of 87

EATON FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
LOWE'S COMPANIES INC	75.0000	12/04/08	10/14/09		1,631.97	1,704.16	(72.19)
	5.0000	12/04/08	10/15/09		107.79	113.62	(5.83)
		Security Subtotal			1,739.76	1,817.78	(78.02)
METLIFE INC COM	30.0000	12/08/08	01/14/09		830.98	908.77	(77.79)
	25.0000	12/08/08	01/15/09		675.85	757.32	(81.47)
		Security Subtotal			1,506.83	1,666.09	(159.26)
MONSANTO CO NEW DEL COM	5.0000	08/12/09	10/30/09		334.53	406.45	(71.92)
MCDONALDS CORP COM	10.0000	07/09/08	02/04/09		581.52	593.32	(11.80)
NISSAN MTR LTD SPN ADR	74.0000	07/28/08	01/20/09		522.24	1,159.05	(636.81)
	17.0000	11/18/08	01/20/09		119.98	122.30	(2.32)
	73.0000	11/18/08	01/28/09		465.07	525.19	(60.12)
		Security Subtotal			1,107.29	1,806.54	(699.25)
NCR CORP NEW	80.0000	08/06/09	10/22/09		831.35	1,083.76	(252.41)
	24.0000	08/07/09	10/22/09		249.41	332.31	(82.90)
		Security Subtotal			1,080.76	1,416.07	(335.31)
ON SEMICONDUCTOR CRP COM	202.0000	09/16/08	02/26/09		733.49	1,437.13	(703.64)
OMNICARE INC	15.0000	09/15/08	01/16/09		421.56	477.31	(55.75)
	15.0000	09/16/08	01/16/09		421.57	473.99	(52.42)
	17.0000	09/16/08	02/26/09		391.05	537.20	(146.15)
		Security Subtotal			1,234.18	1,488.50	(254.32)
PRINCIPAL FINANCIAL GRP	53.0000	08/21/09	12/04/09		1,221.83	1,466.35	(244.52)
PLAINS EXPL AND PRODCN	64.0000	02/18/09	03/31/09		1,119.48	1,305.50	(186.02)



EATON FAMILY FOUNDATION

Account No.
6PW-04052Taxpayer No.
02-0552091Page
41 of 87

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
PNC FINCL SERVICES GROUP	30.0000	12/09/08	01/20/09			847.83	1,671.67	(823.84)
	30.0000	01/12/09	03/09/09			588.77	1,436.62	(847.85)
	40.0000	01/20/09	03/11/09			998.89	1,086.69	(87.80)
	30.0000	02/17/09	03/11/09			749.17	796.10	(46.93)
Security Subtotal						3,184.66	4,991.08	(1,806.42)
PHILIP MORRIS INTL INC	40.0000	07/14/08	05/19/09			1,682.74	2,140.15	(457.41)
	25.0000	09/11/08	07/20/09			1,093.87	1,362.25	(268.38)
Security Subtotal						2,776.61	3,502.40	(725.79)
PETRO CANADA	46.0000	07/23/08	05/14/09			1,683.40	2,152.42	(469.02)
PROCTER & GAMBLE CO	30.0000	09/18/08	03/24/09			1,420.18	2,165.10	(744.92)
REPUBLIC SERVICES INC	52.0000	01/30/09	04/01/09			883.58	1,372.87	(489.29)
RAYTHEON CO DELAWARE NEW	20.0000	03/24/08	02/09/09			961.31	1,270.18	(308.87)
	25.0000	03/25/08	03/13/09			846.86	1,610.71	(763.85)
	15.0000	04/08/08	03/18/09			514.76	998.25	(483.49)
Security Subtotal						2,322.93	3,879.14	(1,556.21)
ST JUDE MEDICAL INC	25.0000	10/27/08	05/06/09			852.30	875.07	(22.77)
	45.0000	02/18/09	05/28/09			1,686.62	1,723.04	(36.42)
Security Subtotal						2,538.92	2,598.11	(59.19)
SUNOCO INC PV\$1 PA	24.0000	11/18/08	02/17/09			858.32	904.95	(46.63)
	20.0000	12/23/08	02/17/09			715.28	823.45	(108.17)
Security Subtotal						1,573.60	1,728.40	(154.80)
SILICONWARE PRECSN SPADR	52.0000	07/24/08	06/15/09			324.49	363.38	(38.89)
	33.0000	07/25/08	06/15/09			205.93	229.82	(23.89)
	17.0000	07/25/08	06/16/09			105.40	118.40	(13.00)
	28.0000	07/28/08	06/16/09			173.60	193.93	(20.33)
	27.0000	07/28/08	06/17/09			160.97	187.02	(26.05)
Security Subtotal						970.39	1,092.55	(122.16)



EATON FAMILY FOUNDATION

Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
42 of 87

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SAIC INC	14.0000	10/01/08	03/12/09		242.16	281.63	(39.47)
	50.0000	11/18/08	03/12/09		864.89	902.92	(38.03)
	20.0000	12/29/08	03/12/09		345.96	380.00	(34.04)
		Security Subtotal			1,453.01	1,564.55	(111.54)
SONY CORP ADR NEW	7.0000	10/21/08	04/16/09		174.73	179.48	(4.75)
STAPLES INC	35.0000	06/25/08	04/29/09		725.32	878.80	(153.48)
SUPERVALU INC DEL COM	30.0000	02/18/09	11/11/09		494.70	529.87	(35.17)
	20.0000	02/18/09	11/13/09		318.00	353.25	(35.25)
	15.0000	02/18/09	11/16/09		237.39	264.94	(27.55)
	10.0000	02/25/09	11/16/09		158.26	181.79	(23.53)
	20.0000	02/25/09	11/17/09		312.81	363.58	(50.77)
	10.0000	02/25/09	11/18/09		155.54	181.79	(26.25)
	15.0000	02/25/09	11/19/09		227.24	272.70	(45.46)
		Security Subtotal			1,903.94	2,147.92	(243.98)
TENARIS S A ADR	5.0000	10/02/08	10/02/09		167.79	170.06	(2.27)
TERRA INDUSTRIES INC COM	24.0000	10/14/08	01/16/09		488.19	587.66	(99.47)
3M COMPANY	5.0000	05/28/08	02/09/09		270.28	384.18	(113.90)
	20.0000	05/28/08	02/10/09		1,065.80	1,536.74	(470.94)
	5.0000	06/03/08	02/10/09		266.46	380.88	(114.42)
		Security Subtotal			1,602.54	2,301.80	(699.26)
URBAN OUTFITTERS INC	25.0000	02/12/08	01/07/09		349.76	751.05	(401.29)
	10.0000	04/08/08	01/07/09		139.91	319.70	(179.79)
	5.0000	04/08/08	01/08/09		73.73	159.85	(86.12)
	5.0000	11/25/08	01/08/09		73.74	88.01	(14.27)
		Security Subtotal			637.14	1,318.61	(681.47)



EATON FAMILY FOUNDATION

Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
43 of 87

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
UNITED UTILS GROUP ADR	11.0000	08/12/09	10/15/09			155.27	165.24	(9.97)
	14.0000	08/12/09	10/16/09			198.36	210.32	(11.96)
						353.63	375.56	(21.93)
VOTRNTM CEL PN SP5D ADR	14.0000	09/22/08	03/24/09			69.62	294.63	(225.01)
	1.0000	09/26/08	03/24/09			4.98	16.63	(11.65)
	9.0000	09/26/08	03/25/09			45.19	149.72	(104.53)
	2.0000	09/29/08	03/25/09			10.04	31.28	(21.24)
	8.0000	11/18/08	03/25/09			40.19	53.99	(13.80)
	15.0000	11/18/08	04/03/09			84.68	101.23	(16.55)
	5.0000	11/18/08	04/06/09			28.91	33.74	(4.83)
	32.0000	11/18/08	04/07/09			189.99	215.99	(26.00)
						473.60	897.21	(423.61)
VOLVO AKTIEBOLAGET ADR	101.0000	07/25/08	02/17/09			501.01	1,203.74	(702.73)
XTO ENERGY INC	45.0000	01/06/09	06/29/09			1,711.17	1,843.77	(132.60)
WAL-MART STORES INC	5.0000	06/12/08	04/09/09			252.15	297.51	(45.36)
	15.0000	06/24/08	04/09/09			756.47	864.69	(108.22)
	10.0000	06/27/08	04/09/09			504.32	569.73	(65.41)
						1,512.94	1,731.93	(218.99)
WALGREEN CO	20.0000	04/20/09	07/23/09			598.36	599.66	(1.30)
WHIRLPOOL CORP	30.0000	11/18/08	04/17/09			1,103.51	1,104.78	(1.27)
WELLS FARGO & CO NEW DEL	55.0000	07/17/08	01/15/09			1,102.55	1,477.84	(375.29)
	5.0000	07/17/08	01/15/09			100.24	138.23	(37.99)
	50.0000	07/17/08	03/12/09			651.53	1,382.32	(730.79)
	60.0000	12/08/08	03/12/09			781.84	1,906.54	(1,124.70)
						2,636.16	4,904.93	(2,268.77)



Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
44 of 87

EATON FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
WELLS FARGO & CO NEW DEL							
					118,193.63	160,254.76	(42,061.13)
Short Term Capital Losses Subtotal							
							(19,487.20)
NET SHORT TERM CAPITAL GAIN (LOSS)							
LONG TERM CAPITAL GAINS							
U.S. TRSY INFLATION NOTE	13000.0000-	10/15/07	03/26/09	(378.05)(A)	14,433.50	13,996.32(C)	437.18
	2000.0000-	10/29/07	03/26/09	(58.16)(A)	2,220.54	2,182.07(C)	38.47
		Security Subtotal			16,654.04	16,178.39	475.65
AFFILIATED COMP SVCS A	22.0000	03/04/08	10/21/09		1,154.31	1,130.12	24.19
	10.0000	04/08/08	10/21/09		524.70	508.90	15.80
		Security Subtotal			1,679.01	1,639.02	39.99
BAXTER INTERNTL INC	10.0000	10/10/07	02/18/09		577.92	557.04	20.88
	5.0000	10/10/07	02/25/09		285.82	278.52	7.30
		Security Subtotal			863.74	835.56	28.18
COLGATE PALMOLIVE	5.0000	10/10/07	07/21/09		367.53	363.43	4.10
DOLLAR TREE INC	20.0000	05/29/08	09/29/09		965.05	757.70	207.35
	9.0000	05/29/08	09/30/09		436.00	340.97	95.03
		Security Subtotal			1,401.05	1,098.67	302.38
INTL BUSINESS MACHINES	8.0000	06/24/08	12/16/09		1,028.85	988.43	40.42
ORACLE CORP \$0.01 DEL	45.0000	10/19/07	09/18/09		976.14	951.01	25.13
	15.0000	10/26/07	09/18/09		325.38	318.45	6.93
		Security Subtotal			1,301.52	1,269.46	32.06
TJX COS INC NEW	5.0000	01/08/08	06/25/09		159.17	134.39	24.78
	26.0000	10/10/07	06/25/09		827.67	768.30	59.37
	10.0000	01/08/08	08/19/09		343.98	268.81	75.17
		Security Subtotal			1,330.82	1,171.50	159.32



Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
45 of 87

EATON FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
TELENORTE LES PART SPADR	22.0000	11/18/08	12/16/09			482.99	253.22	229.77
	25.0000	11/18/08	12/17/09			527.74	287.75	239.99
	8.0000	11/18/08	12/18/09			165.84	92.08	73.76
Security Subtotal						1,176.57	633.05	543.52
Long Term Capital Gains Subtotal						25,803.13	24,177.51	1,625.62
LONG TERM CAPITAL LOSSES								
ADOBE SYS DEL PV\$ 0.001	30.0000	10/10/07	01/14/09			638.91	1,357.50	(718.59)
	15.0000	10/26/07	01/14/09			319.45	701.25	(381.80)
	10.0000	01/08/08	01/14/09			212.97	397.10	(184.13)
Security Subtotal						1,171.33	2,455.85	(1,284.52)
AMERICAN TOWER CORP CL A	20.0000	10/10/07	04/30/09			657.34	852.75	(195.41)
	15.0000	10/10/07	08/07/09			488.80	639.57	(150.77)
	10.0000	10/26/07	08/07/09			325.87	453.30	(127.43)
	15.0000	04/08/08	08/07/09			488.81	605.55	(116.74)
	16.0000	09/08/08	09/28/09			588.11	634.49	(46.38)
Security Subtotal						2,548.93	3,185.66	(636.73)
ALCOA INC	60.0000	10/10/07	01/28/09			525.85	2,311.87	(1,786.02)
	10.0000	10/26/07	01/28/09			87.64	392.17	(304.53)
	20.0000	01/08/08	01/28/09			175.28	635.20	(459.92)
Security Subtotal						788.77	3,339.24	(2,550.47)
BANCO SANTANDER SA ADR	20.0000	01/09/08	01/16/09			159.02	400.82	(241.80)
BP PLC SPON ADR	1.0000	01/08/08	01/14/09			42.63	74.60	(31.97)
BANK OF AMERICA CORP	55.0000	10/10/07	01/28/09			400.27	2,861.65	(2,461.38)
	15.0000	10/26/07	01/28/09			109.16	715.50	(606.34)
	15.0000	01/08/08	01/28/09			109.16	582.30	(473.14)
Security Subtotal						618.59	4,159.45	(3,540.86)



EATON FAMILY FOUNDATION

Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
46 of 87

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Life-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
BAXTER INTERNTL INC	5.0000	10/10/07	06/03/09			240.94	278.52	(37.58)
	5.0000	10/26/07	06/03/09			240.95	299.35	(58.40)
Security Subtotal						481.89	577.87	(95.98)
BLACK AND DECKER CRP COM	10.0000	10/10/07	05/06/09			388.18	821.07	(432.89)
	15.0000	10/10/07	05/06/09			566.76	1,231.61	(664.85)
	10.0000	10/10/07	05/07/09			369.54	821.08	(451.54)
	20.0000	01/08/08	05/08/09			709.08	1,311.75	(602.67)
Security Subtotal						2,033.56	4,185.51	(2,151.95)
BOEING COMPANY	25.0000	08/08/08	10/26/09			1,222.28	1,670.78	(448.50)
	25.0000	08/12/08	10/26/09			1,222.28	1,652.49	(430.21)
Security Subtotal						2,444.56	3,323.27	(878.71)
CATERPILLAR INC DEL	5.0000	12/03/07	05/26/09			176.12	362.31	(186.19)
	5.0000	12/06/07	05/26/09			176.12	371.31	(195.19)
	10.0000	01/08/08	05/26/09			352.25	680.50	(328.25)
	15.0000	12/07/07	05/26/09			528.37	1,112.27	(583.90)
Security Subtotal						1,232.86	2,526.39	(1,293.53)
CBS CORP NEW CL B	30.0000	01/08/08	02/26/09			139.91	744.90	(604.99)
	15.0000	10/26/07	02/26/09			69.95	434.25	(364.30)
Security Subtotal						209.86	1,179.15	(969.29)
CISCO SYSTEMS INC COM	25.0000	10/10/07	06/29/09			474.64	832.25	(357.61)
COCA COLA COM	10.0000	10/26/07	07/24/09			490.64	615.00	(124.36)
	15.0000	04/07/08	07/24/09			735.97	906.74	(170.77)
	25.0000	04/08/08	07/24/09			1,226.63	1,508.25	(281.62)
Security Subtotal						2,453.24	3,029.99	(576.75)



EATON FAMILY FOUNDATION

Account No.
6PW-04052Taxpayer No.
02-0552091Page
47 of 87

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
COLGATE PALMOLIVE	10.0000	10/10/07	03/18/09			578.28	726.84	(148.56)
	10.0000	10/10/07	05/19/09			643.08	726.84	(83.76)
	10.0000	10/26/07	07/21/09			735.07	740.00	(4.93)
	10.0000	04/08/08	07/21/09			735.08	784.80	(49.72)
		Security Subtotal				2,691.51	2,978.48	(286.97)
DANAHER CORP DEL COM	15.0000	10/10/07	07/21/09			955.74	1,226.70	(270.96)
	75.0000	10/10/07	02/02/09			846.97	3,353.25	(2,506.28)
	45.0000	10/10/07	02/17/09			394.17	2,011.95	(1,617.78)
	20.0000	10/26/07	02/17/09			175.19	886.60	(711.41)
	20.0000	01/08/08	02/17/09			175.20	747.87	(572.67)
EXELON CORPORATION	20.0000	01/08/08	02/23/09			152.85	747.87	(595.02)
		Security Subtotal				1,744.38	7,747.54	(6,003.16)
	20.0000	10/10/07	04/30/09			919.01	1,541.20	(622.19)
	5.0000	10/26/07	04/30/09			229.75	398.45	(168.70)
	10.0000	04/08/08	04/30/09			459.52	826.70	(367.18)
ENCANA CORP		Security Subtotal				1,608.28	2,766.35	(1,158.07)
	5.0000	08/29/08	11/12/09			281.78	373.93	(92.15)
	15.0000	08/29/08	11/13/09			831.54	1,121.80	(290.26)
	5.0000	09/08/08	11/13/09			277.19	338.07	(60.88)
	20.0000	09/08/08	11/16/09			1,116.19	1,352.31	(236.12)
FPL GROUP INC		Security Subtotal				2,506.70	3,186.11	(679.41)
	10.0000	10/17/07	01/06/09			512.04	634.31	(122.27)
	15.0000	10/16/07	01/06/09			768.06	950.90	(182.84)
		Security Subtotal				1,280.10	1,585.21	(305.11)
	6.0000	11/05/07	01/23/09			165.18	342.06	(176.88)
FMC TECHS INC COM	9.0000	11/16/07	01/23/09			247.78	470.61	(222.83)
	10.0000	01/08/08	01/23/09			275.31	603.08	(327.77)
		Security Subtotal				688.27	1,415.75	(727.48)



EATON FAMILY FOUNDATION

Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
48 of 87

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
GILEAD SCIENCES INC COM	15.0000	04/18/08	12/16/09		646.40	772.72	(126.32)
	25.0000	04/18/08	12/31/09		1,086.36	1,287.88	(201.52)
	5.0000	11/18/08	12/31/09		217.27	226.26	(8.99)
Security Subtotal					1,950.03	2,286.86	(336.83)
HALLIBURTON COMPANY	15.0000	01/11/08	07/28/09		329.57	540.16	(210.59)
	15.0000	01/14/08	07/28/09		329.58	547.70	(218.12)
	20.0000	01/22/08	07/28/09		439.44	638.75	(199.31)
	20.0000	01/23/08	07/28/09		439.45	620.86	(181.41)
	10.0000	01/23/08	07/29/09		213.16	310.43	(97.27)
Security Subtotal					1,751.20	2,657.90	(906.70)
HUDSON CITY BANCORP INC	61.0000	04/30/08	08/21/09		817.25	1,185.77	(368.52)
HEWITT ASSOCIATES INC	22.0000	02/12/08	05/29/09		634.80	852.77	(217.97)
HEINZ H J CO PV 25CT	35.0000	04/02/08	06/25/09		1,249.44	1,672.41	(422.97)
	2.0000	04/02/08	06/26/09		70.82	95.57	(24.75)
Security Subtotal					1,320.26	1,767.98	(447.72)
INTEL CORP	80.0000	01/31/08	02/20/09		1,021.55	1,661.78	(640.23)
	45.0000	02/04/08	02/20/09		574.63	963.10	(388.47)
	20.0000	02/04/08	02/24/09		253.35	428.04	(174.69)
	10.0000	02/04/08	02/25/09		127.82	214.03	(86.21)
Security Subtotal					1,977.35	3,266.95	(1,289.60)
JPMORGAN CHASE & CO	20.0000	01/08/08	07/07/09		651.89	806.60	(154.71)
JOHNSON AND JOHNSON COM	20.0000	10/10/07	02/27/09		1,011.10	1,314.80	(303.70)
	5.0000	10/26/07	02/27/09		252.78	321.00	(68.22)
	5.0000	10/26/07	05/19/09		278.89	321.00	(42.11)
Security Subtotal					1,542.77	1,956.80	(414.03)



EATON FAMILY FOUNDATION

Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
49 of 87

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
LOCKHEED MARTIN CORP	5.0000	10/09/07	02/06/09			395.63	557.34	(161.71)
	10.0000	10/09/07	07/20/09			811.41	1,114.68	(303.27)
	5.0000	10/09/07	08/05/09			371.83	557.34	(185.51)
	5.0000	10/26/07	08/05/09			371.83	542.45	(170.62)
	5.0000	04/08/08	08/05/09			371.83	515.20	(143.37)
Security Subtotal						2,322.53	3,287.01	(964.48)
LAFARGE ADR NEW	14.0000	10/10/07	01/15/09			189.36	584.50	(395.14)
	10.0000	01/08/08	01/15/09			135.26	421.00	(285.74)
Security Subtotal						324.62	1,005.50	(680.88)
MONSANTO CO NEW DEL COM	5.0000	10/26/07	10/30/09			334.52	472.85	(138.33)
	5.0000	04/08/08	10/30/09			334.52	599.88	(265.36)
	10.0000	09/09/08	10/30/09			669.04	1,010.96	(341.92)
Security Subtotal						1,338.08	2,083.69	(745.61)
MCDONALDS CORP COM	10.0000	10/26/07	02/04/09			581.50	584.70	(3.20)
NORTH TRUST CORP	5.0000	10/19/07	03/24/09			317.66	346.00	(28.34)
	25.0000	10/19/07	05/06/09			1,344.19	1,730.00	(385.81)
	5.0000	11/13/07	05/06/09			268.85	393.15	(124.30)
Security Subtotal						1,930.70	2,469.15	(538.45)
OCCIDENTAL PETE CORP CAL	20.0000	10/10/07	03/24/09			1,179.79	1,329.75	(149.96)
	20.0000	10/10/07	03/27/09			1,161.94	1,329.76	(167.82)
	10.0000	10/10/07	06/17/09			626.89	664.88	(37.99)
Security Subtotal						2,968.62	3,324.39	(355.77)
ORACLE CORP \$0.01 DEL	5.0000	10/10/07	09/18/09			108.45	114.01	(5.56)
PEPSICO INC	15.0000	10/10/07	08/05/09			876.43	1,105.50	(229.07)



EATON FAMILY FOUNDATION

Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
50 of 87

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
QUALCOMM INC	10.0000	02/19/08	05/08/09		415.26	428.13	(12.87)
	5.0000	04/08/08	05/08/09		207.64	209.55	(1.91)
	5.0000	04/08/08	10/08/09		209.14	209.55	(0.41)
	20.0000	06/12/08	10/08/09		836.58	983.29	(146.71)
	15.0000	07/31/08	10/08/09		627.43	834.12	(206.69)
		Security Subtotal			2,296.05	2,664.64	(368.59)
SCHLUMBERGER LTD	25.0000	10/10/07	05/06/09		1,402.41	2,657.55	(1,255.14)
	5.0000	01/08/08	07/07/09		248.56	497.40	(248.84)
	5.0000	04/08/08	07/07/09		248.56	447.30	(198.74)
	5.0000	10/10/07	07/07/09		248.56	531.52	(282.96)
		Security Subtotal			2,148.09	4,133.77	(1,985.68)
STAPLES INC	30.0000	06/25/08	07/23/09		647.60	753.26	(105.66)
TENARIS S A ADR	1.0000	10/02/08	10/05/09		33.93	34.02	(0.09)
TELENORTE LES PART SPADR	30.0000	07/24/08	12/16/09		658.61	671.13	(12.52)
	18.0000	07/25/08	12/16/09		395.16	398.11	(2.95)
		Security Subtotal			1,053.77	1,069.24	(15.47)
TEEKAY CORP	13.0000	07/25/08	07/29/09		223.47	556.36	(332.89)
UNITED UTILS GROUP ADR	15.0000	07/30/08	10/14/09		211.27	415.11	(203.84)
	4.0000	07/30/08	10/15/09		56.45	110.70	(54.25)
		Security Subtotal			267.72	525.81	(258.09)
VERIZON COMMUNICATNS COM	20.0000	10/10/07	06/01/09		587.06	908.78	(321.72)
	10.0000	10/26/07	06/01/09		293.53	455.28	(161.75)
	10.0000	01/08/08	06/01/09		293.53	424.42	(130.89)
	10.0000	04/08/08	06/01/09		293.54	367.20	(73.66)
		Security Subtotal			1,467.66	2,155.68	(688.02)



EATON FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
51 of 87

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
WAL-MART STORES INC	10.0000	06/27/08	07/20/09		483.80	56.74	(85.94)
	15.0000	07/09/08	07/20/09		725.70	876.53	(150.83)
					<u>1,209.50</u>	<u>1,446.27</u>	<u>(236.77)</u>
WHIRLPOOL CORP	5.0000	01/08/08	04/08/09		156.65	378.85	(222.20)
	5.0000	12/17/07	04/08/09		156.64	403.57	(246.93)
	10.0000	12/20/07	04/08/09		313.30	809.43	(496.13)
	10.0000	12/12/07	04/08/09		313.29	836.42	(523.13)
	5.0000	01/08/08	04/16/09		183.25	378.85	(195.60)
					<u>1,123.13</u>	<u>2,807.12</u>	<u>(1,683.99)</u>
YUM BRANDS INC	13.0000	10/10/07	02/19/09		377.92	492.18	(114.26)
	7.0000	10/10/07	06/24/09		230.23	265.02	(34.79)
	15.0000	01/08/08	06/24/09		493.36	563.70	(70.34)
					<u>1,101.51</u>	<u>1,320.90</u>	<u>(219.39)</u>
WYETH	10.0000	11/09/07	01/12/09		370.30	457.29	(86.99)
	20.0000	11/09/07	02/19/09		857.44	914.61	(57.17)
	25.0000	11/13/07	02/19/09		1,071.81	1,167.46	(95.65)
	10.0000	11/13/07	02/23/09		420.28	466.99	(46.71)
	15.0000	01/08/08	02/23/09		630.44	717.60	(87.16)
					<u>3,350.27</u>	<u>3,723.95</u>	<u>(373.68)</u>
Long Term Capital Losses Subtotal					62,154.04	100,122.79	(37,968.75)

NET LONG TERM CAPITAL GAIN (LOSS)

(36,343.13)

OTHER TRANSACTIONS

HSBC HOLDINGS PLC RTS	40.0000	04/14/09	04/14/09		5.67	N/A	N/A
AOL INC	.0000	12/24/09	12/24/09		6.46	N/A	N/A
CENTURYTEL INC	.0000	07/30/09	07/30/09		6.19	N/A	N/A



Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
52 of 87

EATON FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
CEMEX SAB DE CV SPND ADR	112.0000	06/17/09	06/17/09			5.14	N/A	N/A
HARRIS STRATEX NETWORKS	55.0000	06/04/09	06/04/09			3.23	N/A	N/A
SUNCOR ENERGY INC NEW	.0000	08/21/09	08/21/09			16.12	N/A	N/A
Other Transactions Subtotal						42.81		

TOTAL CAPITAL GAINS AND LOSSES
TOTAL REPORTABLE GROSS PROCEEDS
DIFFERENCE

309,446.57 365,234.09 (55,830.33)
309,446.57
0.00 *

Note: Capital gains and losses in this statement are not reported to the IRS.

• Difference reflects items that are included in the reportable gross proceeds section of your statement but are not included in the gain/loss section (e.g., liquidation of fractional shares and the proceeds from certain tender offers). It also reflects items that are included in the gain/loss section of your statement but not in the reportable gross proceeds section (e.g., option premium received).

N/A - Results which cannot be calculated because of insufficient data in the Capital Gain or (Loss) Column and are not included in the realized capital gain and loss summary.

• - Bonds purchased at a discount show accretion.

(A) - Year-to-Date and Life-to-Date amortization/accretion figures are net adjustments and may include original issue discount, and/or premium or market discount adjustments, if applicable. These figures assume that the client has made all elections to amortize bond premium and accrete market discount on a current basis. A negative figure indicates a net reduction in cost basis due to such factors as premium amortization.

(C) - The cost basis reflects adjustments for amortized and/or accreted amounts.

REALIZED CAPITAL GAIN AND LOSS SUMMARY

	SHORT TERM GAINS	SHORT TERM LOSSES	LONG TERM GAINS	LONG TERM LOSSES
TOTAL	22,573.93	(42,061.13)	1,625.62	(37,968.75)



Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
17 of 30

EATON FAMILY FOUNDATION

2009 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SHORT TERM CAPITAL GAINS								
FHLMC G0 343205 50% 20		01/15/09	01/15/09			321.15	321.15	0.00
		02/17/09	02/17/09			746.88	746.88	0.00
		03/16/09	03/16/09			1,020.27	1,020.27	0.00
		04/15/09	04/15/09			939.91	939.91	0.00
		05/15/09	05/15/09			849.59	849.59	0.00
		06/15/09	06/15/09			671.21	671.21	0.00
		07/15/09	07/15/09			724.89	724.89	0.00
		08/17/09	08/17/09			551.90	551.90	0.00
		09/15/09	09/15/09			334.14	334.14	0.00
		10/15/09	10/15/09			289.28	289.28	0.00
		11/16/09	11/16/09			331.67	331.67	0.00
		12/15/09	12/15/09			376.11	376.11	0.00
		01/15/10	01/15/10			459.51	459.51	0.00
		Security Subtotal				7,616.51	7,616.51	0.00
FNMA P735580 05%20		01/26/09	01/26/09			99.31	99.31	0.00
		02/25/09	02/25/09			162.40	162.40	0.00
		03/27/09	03/27/09			258.97	258.97	0.00
		04/27/09	04/27/09			277.16	277.16	0.00
		05/26/09	05/26/09			312.96	312.96	0.00
		06/25/09	06/25/09			288.77	288.77	0.00
		07/27/09	07/27/09			284.22	284.22	0.00
		08/25/09	08/25/09			210.83	210.83	0.00
		09/25/09	09/25/09			159.76	159.76	0.00
		10/26/09	10/26/09			148.57	148.57	0.00
		11/25/09	11/25/09			165.29	165.29	0.00
		12/28/09	12/28/09			177.56	177.56	0.00
		01/25/10	01/25/10			186.42	186.42	0.00
		Security Subtotal				2,732.22	2,732.22	0.00



EATON FAMILY FOUNDATION

Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
18 of 30

2009 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
FNMA P889579 06%20		01/26/09	01/26/09		298.49	298.49	0.00
		02/25/09	02/25/09		558.55	558.55	0.00
		03/25/09	03/25/09		693.75	693.75	0.00
		04/27/09	04/27/09		630.73	630.73	0.00
		05/26/09	05/26/09		545.06	545.06	0.00
		06/25/09	06/25/09		564.04	564.04	0.00
		07/27/09	07/27/09		583.92	583.92	0.00
		08/25/09	08/25/09		555.11	555.11	0.00
		09/25/09	09/25/09		560.73	560.73	0.00
		10/26/09	10/26/09		496.96	496.96	0.00
		11/25/09	11/25/09		566.35	566.35	0.00
		12/28/09	12/28/09		431.27	431.27	0.00
		01/25/10	01/25/10		639.62	639.62	0.00
Security Subtotal					7,124.58	7,124.58	0.00
FNMA P190391 06%20		01/26/09	01/26/09		251.20	251.20	0.00
FHLMC G0 4877 06%20		01/15/09	01/15/09		36.88	36.88	0.00
		02/17/09	02/17/09		132.30	132.30	0.00
		03/16/09	03/16/09		95.40	95.40	0.00
		04/15/09	04/15/09		171.09	171.09	0.00
		05/15/09	05/15/09		142.55	142.55	0.00
		06/15/09	06/15/09		148.98	148.98	0.00
		07/15/09	07/15/09		76.89	76.89	0.00
		08/17/09	08/17/09		127.49	127.49	0.00
		09/15/09	09/15/09		76.01	76.01	0.00
		10/15/09	10/15/09		140.65	140.65	0.00
		11/16/09	11/16/09		129.10	129.10	0.00
		12/15/09	12/15/09		104.46	104.46	0.00
		01/15/10	01/15/10		75.84	75.84	0.00
Security Subtotal					1,457.64	1,457.64	0.00



EATON FAMILY FOUNDATION

Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
19 of 30

2009 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
FNMA P995069 06% 20		01/26/09	01/26/09		53.46	53.46	0.00
		02/25/09	02/25/09		152.46	152.46	0.00
		03/25/09	03/25/09		181.70	181.70	0.00
		04/27/09	04/27/09		160.70	160.70	0.00
		05/26/09	05/26/09		180.38	180.38	0.00
		06/25/09	06/25/09		141.41	141.41	0.00
		07/27/09	07/27/09		162.01	162.01	0.00
		08/25/09	08/25/09		135.90	135.90	0.00
		09/25/09	09/25/09		103.77	103.77	0.00
		10/26/09	10/26/09		103.39	103.39	0.00
		11/25/09	11/25/09		116.16	116.16	0.00
		12/28/09	12/28/09		117.83	117.83	0.00
		01/25/10	01/25/10		157.89	157.89	0.00
Security Subtotal					1,767.06	1,767.06	0.00
FNMA P992033 06%20		01/26/09	01/26/09		277.54	277.54	0.00
		02/25/09	02/25/09		798.15	798.15	0.00
		03/25/09	03/25/09		928.80	928.80	0.00
		04/27/09	04/27/09		988.96	988.96	0.00
		05/26/09	05/26/09		746.42	746.42	0.00
		06/25/09	06/25/09		557.20	557.20	0.00
		07/27/09	07/27/09		641.18	641.18	0.00
		08/25/09	08/25/09		400.61	400.61	0.00
		09/25/09	09/25/09		299.37	299.37	0.00
		10/26/09	10/26/09		137.29	137.29	0.00
		11/25/09	11/25/09		241.94	241.94	0.00
		12/28/09	12/28/09		365.05	365.05	0.00
		01/25/10	01/25/10		465.88	465.88	0.00
Security Subtotal					6,848.39	6,848.39	0.00
NET SHORT TERM CAPITAL GAIN (LOSS)					27,797.60	27,797.60	0.00

OTHER TRANSACTIONS

FHLMC GO 343205 50% 20	6000.0000	01/15/08	05/08/09		4,710.90	4,619.74	N/C
FNMA P735580 05%20	2000.0000	05/16/08	05/08/09		1,313.06	1,259.33	N/C



Account No.
6PW-04052

Taxpayer No.
02-0552091

Page
20 of 30

EATON FAMILY FOUNDATION

2009 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
FNMA P190391 06%20	16000.0000	10/24/08	01/08/09			15,882.70	15,553.30	N/C
OTHER TRANSACTIONS SUBTOTAL								
						21,906.66	21,432.37	0.00
						49,704.26	49,229.97	
						49,704.26		

TOTAL CAPITAL GAINS AND LOSSES
TOTAL REPORTABLE GROSS PROCEEDS

Note: Capital gains and losses in this statement are not reported to the IRS.

This transaction denotes the gain or loss on the sale of an option. While option sales are not subject to 1099-B reporting, the gain or loss information is relevant for tax return preparation.

Difference reflects items that are included in the reportable gross proceeds section of your statement but are not included in the gain/loss section (e.g., liquidation of fractional shares and the proceeds from certain tender offers). It also reflects items that are included in the gain/loss section of your statement but not in the reportable gross proceeds section (e.g., option premium received).

Indicates that an option premium has been included in the calculation.

(P) Short Sale

(S) Marked to Market

(M) Because of complex tax rules, the holding period used for trades resulting from covering short against the box should be reviewed to verify applicability.

(CSVB) The cost basis for this tax lot has been adjusted to include an option premium and a portion of the Unlimited Advantage fee assessed in the previous years starting in 2000.

(D) This transaction may be subject to reduced capital gains tax rates on five year gains. Please consult your tax advisor for more information.

(L5) Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interest, derivative

N/C products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero coupon issues.

N/A Results which cannot be calculated because of insufficient data in the Capital Gain or (Loss) Column and are not included in the realized capital gain and loss summary.

(B) Bonds purchased at a premium show amortization.

• Bonds purchased at a discount show accretion.

(C) The cost basis reflects adjustments for amortized and/or accreted amounts.

(E) The cost basis reflects adjustments for amortized and/or accreted amounts. In addition, the cost basis for this tax lot has also been adjusted by a portion of the Unlimited Advantage fee assessed in the previous years starting in 2000.

(F) Year-to-Date and Life-to-Date amortization/accretion figures are net adjustments and many include original issue discount, and/or premium or market discount

applicable. These figures assume that the client has made all elections to amortize bond premium and accrete market discount on a current basis. A negative figure indicates a net reduction in cost basis due to such factors as premium amortization. Please note that these figures may include Unlimited Advantage Fees cost basis

adjustments.

(D) If held from original issuance, the basis shown takes into account negative and positive adjustments over the life of the instrument. However if loss is shown, consult your Tax Advisor to determine the character of the loss as ordinary or capital. If purchased in the secondary market or hold multiple lots of the same security, the

basis shown is your cost plus original issue discount accrued during the time you held the security. Acquisition premium or market discount have not been taken into account. Accordingly, in calculating gain/loss, you may have to make adjustments to your cost basis. Please consult your tax advisor to determine the amount of any

such adjustment.