S 4- 1

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: HERBERT HARRIS TR B/O RIDGE CH

Number and street (or P O box number if mail is not delivered to street address): P O BOX 1802 — Room/suite:

City or town, state, and ZIP code: PROVIDENCE, RI 02901-1802

A Employer identification number: 01-6080166

B Telephone number (see page 10 of the instructions): ( ) -

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 415,244.

J Accounting method: [X] Cash ☐ Accrual
☐ Other (specify) ____
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ [X] if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	14,546.	14,472.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-25,843.			
	b Gross sales price for all assets on line 6a 191,266.				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	589.			STMT 1
	12 **Total.** Add lines 1 through 11	-10,708.	14,472.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	7,093.	4,256.		2,837.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) STMT 2	845.	NONE	NONE	845.
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions) STMT 3	108.	108.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 **Total operating and administrative expenses.** Add lines 13 through 23	8,046.	4,364.	NONE	3,682.
	25 Contributions, gifts, grants paid	17,133.			17,133.
	26 **Total expenses and disbursements** Add lines 24 and 25	25,179.	4,364.	NONE	20,815.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-35,887.			
	b **Net investment income** (if negative, enter -0-)		10,108.		
	c **Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
1	Cash - non-interest-bearing	NONE	NONE	
2	Savings and temporary cash investments	15,104.	12,272.	12,272.
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less. allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
7	Other notes and loans receivable (attach schedule) ▶ *		* NONE	
	Less allowance for doubtful accounts ▶	NONE	NONE	NONE
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments - U S and state government obligations (attach schedule)	NONE	NONE	NONE
b	Investments - corporate stock (attach schedule)	424,676.	392,216.	402,972.
c	Investments - corporate bonds (attach schedule)	NONE	NONE	NONE
11	Investments - land, buildings, and equipment basis ▶ NONE			
	Less accumulated depreciation (attach schedule) ▶	NONE	NONE	
12	Investments - mortgage loans	NONE	NONE	
13	Investments - other (attach schedule)	NONE	NONE	NONE
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)	NONE	NONE	NONE
16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	439,780.	404,488.	415,244.
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)			
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ X			
27	Capital stock, trust principal, or current funds	439,780.	404,488.	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	NONE	NONE	
30	**Total net assets or fund balances** (see page 17 of the instructions)	439,780.	404,488.	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	439,780.	404,488.	

(Section labels: Assets — lines 1–16; Liabilities — lines 17–23; Net Assets or Fund Balances — lines 24–31)

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	439,780.
2	Enter amount from Part I, line 27a	2	-35,887.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 4	3	603.
4	Add lines 1, 2, and 3	4	404,496.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 5	5	8.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	404,488.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g , real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo., day, yr.)
1a	SEE PART IV DETAIL			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-25,843.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8 . . .		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	39,852.	441,726.	0.09021882343
2007			
2006			
2005			
2004			

2 **Total** of line 1, column (d) . . .	2	0.09021882343
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0.09021882343
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 . . .	4	362,175.
5 Multiply line 4 by line 3 . . .	5	32,675.
6 Enter 1% of net investment income (1% of Part I, line 27b) . . .	6	101.
7 Add lines 5 and 6 . . .	7	32,776.
8 Enter qualifying distributions from Part XII, line 4 . . .	8	20,815.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______________ (attach copy of ruling letter if necessary - see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	202.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3	Add lines 1 and 2		3	202.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	202.
6	Credits/Payments:			
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a 232.		
b	Exempt foreign organizations-tax withheld at source	6b NONE		
c	Tax paid with application for extension of time to file (Form 8868)	6c NONE		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	232.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	30.
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 30. **Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ▶$ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either. • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ STMT 6			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ PRIVATE BANK TAX SERVICES Telephone no. ▶ (401)278-6823
Located at ▶ PO BOX 1802, PROVIDENCE, RI ZIP + 4 ▶ 02901-1802

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If "Yes," list the years ▶ ________, ________, ________, ________			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ________, ________, ________, ________			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		7,093.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
SEE STATEMENT 9		NONE

Total number of others receiving over $50,000 for professional services . . . . ▶ NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions 3 NONE	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	352,894.
b	Average of monthly cash balances	1b	14,796.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	367,690.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	367,690.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	5,515.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	362,175.
6	**Minimum investment return.** Enter 5% of line 5	6	18,109.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	18,109.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	202.		
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	202.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	17,907.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	17,907.
6	Deduction from distributable amount (see page 25 of the instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	17,907.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	20,815.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	20,815.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	20,815.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				17,907.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			NONE	
b Total for prior years 20____,20____,20____		NONE		
3 Excess distributions carryover, if any, to 2009				
a From 2004 . . . . . . NONE				
b From 2005 . . . . . . NONE				
c From 2006 . . . . . . NONE				
d From 2007 . . . . . . NONE				
e From 2008 . . . . . . 17,997.				
f **Total** of lines 3a through e	17,997.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 20,815.				
a Applied to 2008, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)		NONE		
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)	NONE			
d Applied to 2009 distributable amount				17,907.
e Remaining amount distributed out of corpus	2,908.			
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	NONE			NONE
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	20,905.			
b Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions		NONE		
e Undistributed income for 2008 Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions			NONE	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	NONE			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	NONE			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	20,905.			
10 Analysis of line 9:				
a Excess from 2005 . . . NONE				
b Excess from 2006 . . . NONE				
c Excess from 2007 . . . NONE				
d Excess from 2008 . . . 17,997.				
e Excess from 2009 . . . 2,908.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 10				
Total . . . . ▶ **3a**				17,133.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	14,546.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-25,843.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b FEDERAL TAX REFUND			1	589.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				-10,708.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 -10,708.

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee: Austin Wentworth — AUSTIN WENTWORTH | Date: 03/09/2010 | Title: SVP Tax

Paid Preparer's Use Only

Preparer's signature	Date	Check if self-employed ☐	Preparer's identifying number (See **Signature** on page 30 of the instructions)
Firm's name (or yours if self-employed), address, and ZIP code		EIN	Phone no

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					1,575.	
		25. ILLINOIS TOOL WORKS INCORPORATED PROPERTY TYPE: SECURITIES					08/14/2008	01/08/2009
894.00		1,228.00					-334.00	
		20. SOUTHERN CO PROPERTY TYPE: SECURITIES					08/14/2008	01/08/2009
714.00		742.00					-28.00	
		10. SOUTHERN CO PROPERTY TYPE: SECURITIES					09/18/2008	01/08/2009
357.00		395.00					-38.00	
		15. NUCOR CORP PROPERTY TYPE: SECURITIES					12/20/2007	01/13/2009
627.00		863.00					-236.00	
		5. NUCOR CORP PROPERTY TYPE: SECURITIES					08/26/2008	01/13/2009
209.00		254.00					-45.00	
		50. CITIGROUP INC PROPERTY TYPE: SECURITIES					11/29/2004	01/15/2009
201.00		2,682.00					-2,481.00	
		20. HEINZ H J CO PROPERTY TYPE: SECURITIES					11/17/2008	01/15/2009
691.00		807.00					-116.00	
		50. J P MORGAN CHASE & CO COM PROPERTY TYPE: SECURITIES					02/23/2006	01/22/2009
1,067.00		2,063.00					-996.00	
		25. ENTERGY CORP NEW COM PROPERTY TYPE: SECURITIES					08/02/2006	01/23/2009
1,934.00		1,951.00					-17.00	
		10. AIR PRODUCTS AND CHEMICALS INC PROPERTY TYPE: SECURITIES					12/03/2008	01/28/2009
560.00		466.00					94.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		438.211 COLUMBIA VALUE AND RESTRUCTURING PROPERTY TYPE: SECURITIES					08/26/2008	01/28/2009
13,046.00		22,555.00					-9,509.00	
		15. EXXON MOBIL CORPORATION PROPERTY TYPE: SECURITIES					04/18/2008	02/05/2009
1,182.00		1,410.00					-228.00	
		25. CUMMINS ENGINE INC PROPERTY TYPE: SECURITIES					01/13/2009	02/10/2009
643.00		663.00					-20.00	
		35. HOME DEPOT INC PROPERTY TYPE: SECURITIES					12/16/2008	02/10/2009
797.00		837.00					-40.00	
		15. INTERCONTINENTAL EXCHANGE INC COM PROPERTY TYPE: SECURITIES					01/08/2009	02/10/2009
976.00		972.00					4.00	
		45. KRAFT FOODS INC CL A COM PROPERTY TYPE: SECURITIES					12/08/2008	02/25/2009
1,072.00		1,259.00					-187.00	
		75. NEWS CORP CL A PROPERTY TYPE: SECURITIES					02/04/2008	02/25/2009
440.00		1,455.00					-1,015.00	
		30. NEWS CORP CL A PROPERTY TYPE: SECURITIES					06/04/2008	02/25/2009
176.00		543.00					-367.00	
		50. WELLS FARGO COMPANY PROPERTY TYPE: SECURITIES					01/25/2008	02/25/2009
625.00		1,531.00					-906.00	
		35. WELLS FARGO COMPANY PROPERTY TYPE: SECURITIES					03/26/2008	02/25/2009
437.00		1,076.00					-639.00	
		10. NORTHROP CORPORATION PROPERTY TYPE: SECURITIES					10/03/2008	03/06/2009
341.00		578.00					-237.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		10. NORTHROP CORPORATION PROPERTY TYPE: SECURITIES					01/13/2009	03/06/2009
341.00		473.00					-132.00	
		15. FIRSTENERGY CORP PROPERTY TYPE: SECURITIES					11/17/2008	03/10/2009
572.00		825.00					-253.00	
		25. UNITED PARCEL SERVICE CL B PROPERTY TYPE: SECURITIES					02/10/2009	03/10/2009
1,021.00		1,135.00					-114.00	
		10. APPLE COMPUTER INCORPORATED PROPERTY TYPE: SECURITIES					03/22/2007	03/19/2009
1,010.00		939.00					71.00	
		10. GENENTECH INC PROPERTY TYPE: SECURITIES					08/11/2008	03/26/2009
950.00		974.00					-24.00	
		35. SCHERING PLOUGH CORP COM PROPERTY TYPE: SECURITIES					03/11/2009	04/08/2009
822.00		729.00					93.00	
		41. TIME WARNER INC NEW COM PROPERTY TYPE: SECURITIES					11/17/2008	04/08/2009
889.00		813.00					76.00	
		10. TIME WARNER CABLE INC COM PROPERTY TYPE: SECURITIES					11/17/2008	04/08/2009
266.00		260.00					6.00	
		15. L-3 COMMUNICATIONS HLDGS INC COM PROPERTY TYPE: SECURITIES					07/03/2008	04/16/2009
1,077.00		1,341.00					-264.00	
		10. PRECISION CASTPARTS CORPORATION PROPERTY TYPE: SECURITIES					08/19/2008	04/16/2009
611.00		1,003.00					-392.00	
		45. US BANCORP DEL COM NEW PROPERTY TYPE: SECURITIES					01/22/2009	04/16/2009
797.00		634.00					163.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		30. STATE STREET CORP PROPERTY TYPE: SECURITIES					12/03/2008	04/17/2009
1,047.00		1,068.00					-21.00	
		.667 TIME WARNER INC NEW COM PROPERTY TYPE: SECURITIES					11/17/2008	04/17/2009
15.00		13.00					2.00	
		.459 TIME WARNER CABLE INC COM PROPERTY TYPE: SECURITIES					11/17/2008	04/17/2009
13.00		12.00					1.00	
		40. PEPSICO INCORPORATED PROPERTY TYPE: SECURITIES					02/27/2002	04/21/2009
1,969.00		2,038.00					-69.00	
		35. MCDONALDS CORP PROPERTY TYPE: SECURITIES					04/21/2005	05/05/2009
1,865.00		1,037.00					828.00	
		10. MCDONALDS CORP PROPERTY TYPE: SECURITIES					06/06/2006	05/05/2009
533.00		331.00					202.00	
		15. UNION PAC CORP COM PROPERTY TYPE: SECURITIES					07/17/2008	05/08/2009
780.00		1,100.00					-320.00	
		15. UNION PAC CORP COM PROPERTY TYPE: SECURITIES					01/13/2009	05/08/2009
780.00		665.00					115.00	
		40. ANALOG DEVICES INC PROPERTY TYPE: SECURITIES					12/16/2008	05/11/2009
839.00		782.00					57.00	
		20. XTO ENERGY INC COM PROPERTY TYPE: SECURITIES					02/05/2009	05/14/2009
819.00		769.00					50.00	
		30. NOBLE CORP COM PROPERTY TYPE: SECURITIES					02/23/2007	05/14/2009
873.00		1,084.00					-211.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		30. METLIFE INC PROPERTY TYPE: SECURITIES					01/16/2009	05/15/2009
902.00		851.00					51.00	
		1473.884 COLUMBIA INCOME FUND CLASS Z SH PROPERTY TYPE: SECURITIES					01/18/2007	08/07/2009
13,162.00		14,297.00					-1,135.00	
		10. DEVON ENERGY CORPORATION PROPERTY TYPE: SECURITIES					01/04/2007	08/11/2009
642.00		641.00					1.00	
		15. SCHLUMBERGER LIMITED PROPERTY TYPE: SECURITIES					04/14/2008	08/11/2009
795.00		1,363.00					-568.00	
		5. SCHLUMBERGER LIMITED PROPERTY TYPE: SECURITIES					04/18/2008	08/11/2009
265.00		507.00					-242.00	
		10. SCHLUMBERGER LIMITED PROPERTY TYPE: SECURITIES					05/07/2008	08/11/2009
530.00		1,027.00					-497.00	
		20. WAL-MART STORES INCORPORATED PROPERTY TYPE: SECURITIES					04/09/2008	08/11/2009
1,000.00		1,077.00					-77.00	
		20. WAL-MART STORES INCORPORATED PROPERTY TYPE: SECURITIES					06/12/2008	08/11/2009
1,000.00		1,178.00					-178.00	
		10. WAL-MART STORES INCORPORATED PROPERTY TYPE: SECURITIES					11/25/2008	08/11/2009
500.00		543.00					-43.00	
		11. TRANSOCEAN LTD COM PROPERTY TYPE: SECURITIES					06/22/2007	08/11/2009
820.00		1,472.00					-652.00	
		40. AVON PRODUCTS INC PROPERTY TYPE: SECURITIES					12/20/2006	08/31/2009
1,262.00		1,332.00					-70.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		15. CSX CORP PROPERTY TYPE: SECURITIES					03/17/2008	08/31/2009
634.00		742.00					-108.00	
		10. CSX CORP PROPERTY TYPE: SECURITIES					11/04/2008	08/31/2009
423.00		466.00					-43.00	
		20. CADBURY PLC SPONSORED ADR PROPERTY TYPE: SECURITIES					05/21/2008	08/31/2009
755.00		1,079.00					-324.00	
		38. LIBERTY MEDIA CORP ENTERTAINMENT NEW PROPERTY TYPE: SECURITIES					05/05/2009	08/31/2009
1,055.00		911.00					144.00	
		25. MERCK & CO INC COM PROPERTY TYPE: SECURITIES					01/04/2007	08/31/2009
814.00		1,135.00					-321.00	
		25. MERCK & CO INC COM PROPERTY TYPE: SECURITIES					04/12/2007	08/31/2009
814.00		1,139.00					-325.00	
		15. PRICE T ROWE GROUP INC COM PROPERTY TYPE: SECURITIES					07/01/2008	08/31/2009
672.00		805.00					-133.00	
		20. PRICE T ROWE GROUP INC COM PROPERTY TYPE: SECURITIES					11/25/2008	08/31/2009
897.00		588.00					309.00	
		25. ROGERS COMMUNICATIONS INC CL B PROPERTY TYPE: SECURITIES					08/28/2007	08/31/2009
682.00		1,108.00					-426.00	
		10. AIR PRODUCTS AND CHEMICALS INC PROPERTY TYPE: SECURITIES					12/03/2008	10/01/2009
764.00		466.00					298.00	
		25. AMERICAN EXPRESS CO PROPERTY TYPE: SECURITIES					08/09/2006	10/01/2009
826.00		1,303.00					-477.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		25. AMGEN INC PROPERTY TYPE: SECURITIES					04/23/2008	10/01/2009
1,495.00		1,072.00					423.00	
		15. BOEING CO PROPERTY TYPE: SECURITIES					10/24/2008	10/01/2009
794.00		692.00					102.00	
		40. CIGNA CORPORATION PROPERTY TYPE: SECURITIES					04/08/2009	10/01/2009
1,139.00		750.00					389.00	
		20. CAMPBELL SOUP CO PROPERTY TYPE: SECURITIES					11/25/2008	10/01/2009
644.00		641.00					3.00	
		15. CAMPBELL SOUP CO PROPERTY TYPE: SECURITIES					01/15/2009	10/01/2009
483.00		435.00					48.00	
		100. CISCO SYSTEMS INCORPORATED PROPERTY TYPE: SECURITIES					10/14/1998	10/01/2009
2,322.00		1,305.00					1,017.00	
		25. CISCO SYSTEMS INCORPORATED PROPERTY TYPE: SECURITIES					01/07/2003	10/01/2009
581.00		368.00					213.00	
		25. CISCO SYSTEMS INCORPORATED PROPERTY TYPE: SECURITIES					09/01/2005	10/01/2009
581.00		444.00					137.00	
		25. COCA COLA CO COM PROPERTY TYPE: SECURITIES					07/13/2004	10/01/2009
1,330.00		1,268.00					62.00	
		25. COCA COLA CO COM PROPERTY TYPE: SECURITIES					11/22/2005	10/01/2009
1,330.00		1,059.00					271.00	
		15. COLGATE PALMOLIVE CO PROPERTY TYPE: SECURITIES					01/15/2009	10/01/2009
1,135.00		935.00					200.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		20. CULLEN FROST BANKERS INC COM PROPERTY TYPE: SECURITIES					12/06/2007	10/01/2009
1,030.00		1,076.00					-46.00	
		15. DEVON ENERGY CORPORATION PROPERTY TYPE: SECURITIES					01/04/2007	10/01/2009
994.00		961.00					33.00	
		15. EXELON CORP COM PROPERTY TYPE: SECURITIES					11/28/2007	10/01/2009
740.00		1,233.00					-493.00	
		15. EXELON CORP COM PROPERTY TYPE: SECURITIES					01/25/2008	10/01/2009
740.00		1,119.00					-379.00	
		20. EXPRESS SCRIPTS INC CL A PROPERTY TYPE: SECURITIES					04/12/2007	10/01/2009
1,540.00		863.00					677.00	
		10. FREEPORT-MCMORAN COPPER & GOLD INC C PROPERTY TYPE: SECURITIES					05/07/2008	10/01/2009
669.00		1,177.00					-508.00	
		10. FREEPORT-MCMORAN COPPER & GOLD INC C PROPERTY TYPE: SECURITIES					08/26/2008	10/01/2009
669.00		885.00					-216.00	
		15. GENZYME CORPORATION PROPERTY TYPE: SECURITIES					11/25/2008	10/01/2009
838.00		1,011.00					-173.00	
		15. GRAINGER W W INCORPORATED PROPERTY TYPE: SECURITIES					04/16/2009	10/01/2009
1,317.00		1,199.00					118.00	
		100. INTEL CORPORATION PROPERTY TYPE: SECURITIES					06/30/2008	10/01/2009
1,939.00		2,172.00					-233.00	
		40. JOHNSON & JOHNSON PROPERTY TYPE: SECURITIES					08/16/2002	10/01/2009
2,405.00		2,242.00					163.00	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		10. KIMBERLY CLARK CORP COM PROPERTY TYPE: SECURITIES					08/11/2008	10/01/2009
583.00		615.00					-32.00	
		15. KIMBERLY CLARK CORP COM PROPERTY TYPE: SECURITIES					08/11/2008	10/01/2009
875.00		875.00						
		40. KROGER CO PROPERTY TYPE: SECURITIES					05/18/2007	10/01/2009
828.00		1,180.00					-352.00	
		35. MEDTRONIC INC PROPERTY TYPE: SECURITIES					02/26/2009	10/01/2009
1,284.00		1,189.00					95.00	
		15. METLIFE INC PROPERTY TYPE: SECURITIES					01/16/2009	10/01/2009
562.00		426.00					136.00	
		15. METLIFE INC PROPERTY TYPE: SECURITIES					01/21/2009	10/01/2009
562.00		397.00					165.00	
		60. ORACLE SYS CORP PROPERTY TYPE: SECURITIES					01/14/2008	10/01/2009
1,218.00		1,326.00					-108.00	
		15. ORACLE SYS CORP PROPERTY TYPE: SECURITIES					02/20/2008	10/01/2009
304.00		290.00					14.00	
		10. POTASH CORP SASK INC PROPERTY TYPE: SECURITIES					04/27/2009	10/01/2009
890.00		827.00					63.00	
		10. PRAXAIR INCORPORATED PROPERTY TYPE: SECURITIES					01/28/2009	10/01/2009
803.00		632.00					171.00	
		20. QUALCOMM INC PROPERTY TYPE: SECURITIES					09/04/2007	10/01/2009
875.00		793.00					82.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		20. QUALCOMM INC PROPERTY TYPE: SECURITIES					04/14/2008	10/01/2009
875.00		831.00					44.00	
		40. TEXAS INSTRS INC PROPERTY TYPE: SECURITIES					12/16/2008	10/01/2009
928.00		635.00					293.00	
		15. UNITED TECHNOLOGIES CORP PROPERTY TYPE: SECURITIES					05/22/2002	10/01/2009
906.00		512.00					394.00	
		25. WATERS CORP PROPERTY TYPE: SECURITIES					04/12/2007	10/01/2009
1,389.00		1,496.00					-107.00	
		15. ZIMMER HLDGS INC COM PROPERTY TYPE: SECURITIES					07/25/2008	10/01/2009
796.00		1,028.00					-232.00	
		75. COMCAST CORP PROPERTY TYPE: SECURITIES					09/19/2008	10/02/2009
1,159.00		1,577.00					-418.00	
		35. COMCAST CORP PROPERTY TYPE: SECURITIES					09/30/2008	10/02/2009
541.00		671.00					-130.00	
		10. MONSANTO CO NEW COM PROPERTY TYPE: SECURITIES					10/14/2008	10/02/2009
751.00		894.00					-143.00	
		32. EXXON MOBIL CORPORATION PROPERTY TYPE: SECURITIES					04/18/2008	10/13/2009
2,239.00		3,008.00					-769.00	
		25. EXXON MOBIL CORPORATION PROPERTY TYPE: SECURITIES					09/19/2008	10/13/2009
1,749.00		2,026.00					-277.00	
		5. EXXON MOBIL CORPORATION PROPERTY TYPE: SECURITIES					10/14/2008	10/13/2009
350.00		363.00					-13.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		15. EXXON MOBIL CORPORATION PROPERTY TYPE: SECURITIES					04/08/2009	10/13/2009
1,049.00		1,026.00					23.00	
		10. HESS CORP COM PROPERTY TYPE: SECURITIES					08/11/2008	10/13/2009
581.00		942.00					-361.00	
		15. HESS CORP COM PROPERTY TYPE: SECURITIES					04/23/2009	10/13/2009
871.00		765.00					106.00	
		20. JACOBS ENGR GROUP INC PROPERTY TYPE: SECURITIES					10/01/2009	10/13/2009
880.00		906.00					-26.00	
		25. LIFE TECHNOLOGIES CORP COM PROPERTY TYPE: SECURITIES					10/01/2009	10/13/2009
1,199.00		1,155.00					44.00	
		5. LOCKHEED MARTIN CORPORATION PROPERTY TYPE: SECURITIES					02/13/2008	10/13/2009
370.00		542.00					-172.00	
		10. LOCKHEED MARTIN CORPORATION PROPERTY TYPE: SECURITIES					04/14/2008	10/13/2009
740.00		1,034.00					-294.00	
		25. ACE LTD COM PROPERTY TYPE: SECURITIES					01/23/2007	10/13/2009
1,336.00		1,469.00					-133.00	
		40. ALTERA CORPORATION PROPERTY TYPE: SECURITIES					10/01/2009	10/15/2009
866.00		816.00					50.00	
		25. CVS CAREMARK CORP COM PROPERTY TYPE: SECURITIES					05/15/2009	10/15/2009
937.00		760.00					177.00	
		10. CVS CAREMARK CORP COM PROPERTY TYPE: SECURITIES					10/01/2009	10/15/2009
375.00		357.00					18.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		10. JOHNSON & JOHNSON PROPERTY TYPE: SECURITIES					08/16/2002	10/15/2009
607.00		561.00					46.00	
		25. JOHNSON & JOHNSON PROPERTY TYPE: SECURITIES					06/09/2003	10/15/2009
1,516.00		1,319.00					197.00	
		55. UNITEDHEALTH GROUP INC PROPERTY TYPE: SECURITIES					10/01/2009	10/19/2009
1,363.00		1,376.00					-13.00	
		25. KLA INSTRS CORP PROPERTY TYPE: SECURITIES					10/01/2009	10/21/2009
887.00		875.00					12.00	
		15. BIOGEN IDEC INC PROPERTY TYPE: SECURITIES					10/01/2009	10/23/2009
667.00		752.00					-85.00	
		35. HALLIBURTON CO PROPERTY TYPE: SECURITIES					08/11/2009	10/26/2009
1,053.00		795.00					258.00	
		50. DEAN FOODS CO NEW COM PROPERTY TYPE: SECURITIES					10/01/2009	11/03/2009
841.00		902.00					-61.00	
		25. WELLS FARGO COMPANY PROPERTY TYPE: SECURITIES					08/11/2009	11/03/2009
687.00		687.00						
		95. BOSTON SCIENTIFIC CORPORATION PROPERTY TYPE: SECURITIES					10/01/2009	11/05/2009
764.00		984.00					-220.00	
		50. LOWES COMPANIES INCORPORATED PROPERTY TYPE: SECURITIES					02/10/2009	11/05/2009
990.00		938.00					52.00	
		25. NORFOLK SOUTHERN CORP PROPERTY TYPE: SECURITIES					05/08/2009	11/06/2009
1,290.00		952.00					338.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		25. ACCENTURE PLC CL A COM PROPERTY TYPE: SECURITIES					10/01/2009	11/06/2009
976.00		925.00					51.00	
		30. ANALOG DEVICES INC PROPERTY TYPE: SECURITIES					10/01/2009	11/12/2009
830.00		816.00					14.00	
		20. BRISTOL MYERS SQUIBB CO COM PROPERTY TYPE: SECURITIES					11/05/2008	11/17/2009
481.00		428.00					53.00	
		20. BJ'S WHOLESALE CLUB INC PROPERTY TYPE: SECURITIES					10/13/2009	11/18/2009
703.00		721.00					-18.00	
		10. POLO RALPH LAUREN CORPORATION PROPERTY TYPE: SECURITIES					10/01/2009	11/18/2009
790.00		751.00					39.00	
		15. STARWOOD HOTELS & RESORTS WORLDWIDE PROPERTY TYPE: SECURITIES					10/25/2006	11/18/2009
500.00		921.00					-421.00	
		25. BEST BUY INCORPORATED PROPERTY TYPE: SECURITIES					10/01/2009	11/19/2009
1,072.00		941.00					131.00	
		20. AMERICAN EXPRESS CO PROPERTY TYPE: SECURITIES					11/03/2009	11/30/2009
822.00		714.00					108.00	
		10. BRISTOL MYERS SQUIBB CO COM PROPERTY TYPE: SECURITIES					11/05/2008	11/30/2009
254.00		214.00					40.00	
		50. BRISTOL MYERS SQUIBB CO COM PROPERTY TYPE: SECURITIES					10/01/2009	11/30/2009
1,271.00		1,122.00					149.00	
		50. COMCAST CORP PROPERTY TYPE: SECURITIES					11/05/2009	11/30/2009
728.00		711.00					17.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		40. CORNING INC PROPERTY TYPE: SECURITIES					08/31/2009	11/30/2009
659.00		604.00					55.00	
		30. FPL GROUP INC COM PROPERTY TYPE: SECURITIES					01/23/2007	11/30/2009
1,557.00		1,641.00					-84.00	
		85. FIFTH THIRD BANCORP COM PROPERTY TYPE: SECURITIES					10/01/2009	11/30/2009
848.00		848.00						
		10. P G & E CORPORATION PROPERTY TYPE: SECURITIES					01/08/2009	11/30/2009
424.00		381.00					43.00	
		30. CORNING INC PROPERTY TYPE: SECURITIES					08/31/2009	12/02/2009
530.00		453.00					77.00	
		35. STAPLES INCORPORATED PROPERTY TYPE: SECURITIES					07/09/2008	12/02/2009
835.00		786.00					49.00	
		15. STAPLES INCORPORATED PROPERTY TYPE: SECURITIES					10/01/2009	12/02/2009
358.00		348.00					10.00	
		15. FEDEX CORP PROPERTY TYPE: SECURITIES					10/13/2009	12/04/2009
1,301.00		1,152.00					149.00	
		25. MORGAN STANLEY DEAN WITTER DISCOVER PROPERTY TYPE: SECURITIES					04/23/2009	12/04/2009
763.00		539.00					224.00	
		25. PRUDENTIAL FINL INC COM PROPERTY TYPE: SECURITIES					02/10/2009	12/08/2009
1,164.00		686.00					478.00	
		155.27 COLUMBIA ACORN FUND CLASS Z SHARE PROPERTY TYPE: SECURITIES					10/22/2002	12/15/2009
3,733.00		2,282.00					1,451.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		1536.145 COLUMBIA MULTI-ADVISOR INT'L EQ PROPERTY TYPE: SECURITIES					04/23/2008	12/15/2009
17,804.00		25,500.00					-7,696.00	
		207.106 COLUMBIA MULTI-ADVISOR INT'L EQU PROPERTY TYPE: SECURITIES					07/21/2008	12/15/2009
2,400.00		3,055.00					-655.00	
		25. BAXTER INTERNATIONAL INC PROPERTY TYPE: SECURITIES					04/14/2008	12/17/2009
1,448.00		1,490.00					-42.00	
		15. BROADCOM CORP CL A PROPERTY TYPE: SECURITIES					10/01/2009	12/17/2009
469.00		449.00					20.00	
		15. CHEVRONTEXACO CORP COM PROPERTY TYPE: SECURITIES					08/11/2008	12/17/2009
1,151.00		1,252.00					-101.00	
		35. CONOCOPHILLIPS COM PROPERTY TYPE: SECURITIES					10/13/2009	12/17/2009
1,760.00		1,778.00					-18.00	
		10. CONOCOPHILLIPS COM PROPERTY TYPE: SECURITIES					10/26/2009	12/17/2009
503.00		511.00					-8.00	
		55. PFIZER INC PROPERTY TYPE: SECURITIES					09/30/2008	12/17/2009
1,000.00		988.00					12.00	
		40. TEXAS INSTRS INC PROPERTY TYPE: SECURITIES					10/15/2009	12/17/2009
1,011.00		931.00					80.00	
		25. HONEYWELL INTERNATIONAL INC PROPERTY TYPE: SECURITIES					04/27/2007	12/18/2009
982.00		1,356.00					-374.00	
		5. HONEYWELL INTERNATIONAL INC PROPERTY TYPE: SECURITIES					10/01/2009	12/18/2009
196.00		184.00					12.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		30. CAMERON INT'L CORP COM PROPERTY TYPE: SECURITIES					05/14/2009	12/23/2009
1,225.00		835.00					390.00	
TOTAL GAIN(LOSS)							-25,843.	

JSA
9E1730 1 000

HERBERT HARRIS TR B/O RIDGE CH 01-6080166

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
FEDERAL TAX REFUND		589.
	TOTALS	589.

STATEMENT 1

HERBERT HARRIS TR B/O RIDGE CH 01-6080166

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE (NON-ALLOC	845.			845.
TOTALS	845.	NONE	NONE	845.

HERBERT HARRIS TR B/O RIDGE CH 01-6080166

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	3.	3.
FOREIGN TAXES ON QUALIFIED FOR	78.	78.
FOREIGN TAXES ON NONQUALIFIED	27.	27.
TOTALS	108.	108.

HERBERT HARRIS TR B/O RIDGE CH 01-6080166

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
TYE INC ADJ		603.
	TOTAL	603.

STATEMENT 4

HERBERT HARRIS TR B/O RIDGE CH 01-6080166

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
ROUNDING		8.
	TOTAL	8.

STATEMENT 5

HERBERT HARRIS TR B/O RIDGE CH 01-6080166

STATE(S) WHERE THE FOUNDATION IS REGISTERED
===
ME

STATEMENT 6

HERBERT HARRIS TR B/O RIDGE CH 01-6080166

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
BANK OF AMERICA
ADDRESS:
TWO PORTLAND SQUARE
PORTLAND, ME 04101
TITLE:
TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40
COMPENSATION .... 7,093.

TOTAL COMPENSATION: 7,093.

STATEMENT 7

HERBERT HARRIS TR B/O RIDGE CH 01-6080166

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

EMPLOYEE NAME:
NONE

HERBERT HARRIS TR B/O RIDGE CH 01-6080166

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS
==

NAME:
NONE

HERBERT HARRIS TR B/O RIDGE CH 01-6080166
FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
SECOND BAPTIST CH OF ST GEORGE
ADDRESS:
TREASURER
TENANTS HBR, ME 04860-5827
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SUPPORTS NAMED ORGANIZATION
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID .... 17,133.

TOTAL GRANTS PAID: 17,133.

STATEMENT 10

SCHEDULE D (Form 1041)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2009

Name of estate or trust: HERBERT HARRIS TR B/O RIDGE CH

Employer identification number: 01-6080166

Note: *Form 5227 filers need to complete only Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-7,801.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	( )
5 **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	-7,801.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS		STMT 1			1,575.

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-19,617.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	( )
12 **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶	12	-18,042.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Part III **Summary of Parts I and II**
Caution: *Read the instructions* ***before*** *completing this part.*

			(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			-7,801.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			-18,042.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			-25,843.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and* ***do not*** *complete Part IV If line 15, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet,*** *as necessary*

Part IV **Capital Loss Limitation**

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) **or** b $3,000 . . . 16 (3,000)

Note: *If the loss on line 15, column (3), is more than $3,000,* ***or*** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *on page 7 of the instructions to figure your capital loss carryover*

Part V **Tax Computation Using Maximum Capital Gains Rates**

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the worksheet on page 8 of the instructions if:*

- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18	
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20	Add lines 18 and 19	20	
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21	
22	Subtract line 21 from line 20. If zero or less, enter -0-	22	
23	Subtract line 22 from line 17. If zero or less, enter -0-	23	
24	Enter the **smaller** of the amount on line 17 or $2,300	24	
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ **No.** Enter the amount from line 23	25	
26	Subtract line 25 from line 24	26	
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22	27	
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29	Subtract line 28 from line 27	29	
30	Multiply line 29 by 15% (.15)	30	
31	Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32	Add lines 30 and 31	32	
33	Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: HERBERT HARRIS TR B/O RIDGE CH

Employer identification number: 01-6080166

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 25. ILLINOIS TOOL WORKS INCORPORATED	08/14/2008	01/08/2009	894.00	1,228.00	-334.00
20. SOUTHERN CO	08/14/2008	01/08/2009	714.00	742.00	-28.00
10. SOUTHERN CO	09/18/2008	01/08/2009	357.00	395.00	-38.00
5. NUCOR CORP	08/26/2008	01/13/2009	209.00	254.00	-45.00
20. HEINZ H J CO	11/17/2008	01/15/2009	691.00	807.00	-116.00
10. AIR PRODUCTS AND CHEMICALS INC	12/03/2008	01/28/2009	560.00	466.00	94.00
438.211 COLUMBIA VALUE AND RESTRUCTURING FUND CLASS Z	08/26/2008	01/28/2009	13,046.00	22,555.00	-9,509.00
15. EXXON MOBIL CORPORATION	04/18/2008	02/05/2009	1,182.00	1,410.00	-228.00
25. CUMMINS ENGINE INC	01/13/2009	02/10/2009	643.00	663.00	-20.00
35. HOME DEPOT INC	12/16/2008	02/10/2009	797.00	837.00	-40.00
15. INTERCONTINENTAL EXCHANGE INC COM	01/08/2009	02/10/2009	976.00	972.00	4.00
45. KRAFT FOODS INC CL A COM	12/08/2008	02/25/2009	1,072.00	1,259.00	-187.00
30. NEWS CORP CL A	06/04/2008	02/25/2009	176.00	543.00	-367.00
35. WELLS FARGO COMPANY	03/26/2008	02/25/2009	437.00	1,076.00	-639.00
10. NORTHROP CORPORATION	10/03/2008	03/06/2009	341.00	578.00	-237.00
10. NORTHROP CORPORATION	01/13/2009	03/06/2009	341.00	473.00	-132.00
15. FIRSTENERGY CORP	11/17/2008	03/10/2009	572.00	825.00	-253.00
25. UNITED PARCEL SERVICE CL B	02/10/2009	03/10/2009	1,021.00	1,135.00	-114.00
10. GENENTECH INC	08/11/2008	03/26/2009	950.00	974.00	-24.00
35. SCHERING PLOUGH CORP COM	03/11/2009	04/08/2009	822.00	729.00	93.00
41. TIME WARNER INC NEW COM	11/17/2008	04/08/2009	889.00	813.00	76.00
10. TIME WARNER CABLE INC COM	11/17/2008	04/08/2009	266.00	260.00	6.00
15. L-3 COMMUNICATIONS HLDGS INC COM	07/03/2008	04/16/2009	1,077.00	1,341.00	-264.00
10. PRECISION CASTPARTS CORPORATION	08/19/2008	04/16/2009	611.00	1,003.00	-392.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: HERBERT HARRIS TR B/O RIDGE CH

Employer identification number: 01-6080166

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 45. US BANCORP DEL COM NEW	01/22/2009	04/16/2009	797.00	634.00	163.00
30. STATE STREET CORP	12/03/2008	04/17/2009	1,047.00	1,068.00	-21.00
.667 TIME WARNER INC NEW COM	11/17/2008	04/17/2009	15.00	13.00	2.00
.459 TIME WARNER CABLE INC COM	11/17/2008	04/17/2009	13.00	12.00	1.00
15. UNION PAC CORP COM	07/17/2008	05/08/2009	780.00	1,100.00	-320.00
15. UNION PAC CORP COM	01/13/2009	05/08/2009	780.00	665.00	115.00
40. ANALOG DEVICES INC	12/16/2008	05/11/2009	839.00	782.00	57.00
20. XTO ENERGY INC COM	02/05/2009	05/14/2009	819.00	769.00	50.00
30. METLIFE INC	01/16/2009	05/15/2009	902.00	851.00	51.00
10. WAL-MART STORES INCORPORATED	11/25/2008	08/11/2009	500.00	543.00	-43.00
10. CSX CORP	11/04/2008	08/31/2009	423.00	466.00	-43.00
38. LIBERTY MEDIA CORP ENTERTAINMENT NEW COM SER A	05/05/2009	08/31/2009	1,055.00	911.00	144.00
20. PRICE T ROWE GROUP INC COM	11/25/2008	08/31/2009	897.00	588.00	309.00
10. AIR PRODUCTS AND CHEMICALS INC	12/03/2008	10/01/2009	764.00	466.00	298.00
15. BOEING CO	10/24/2008	10/01/2009	794.00	692.00	102.00
40. CIGNA CORPORATION	04/08/2009	10/01/2009	1,139.00	750.00	389.00
20. CAMPBELL SOUP CO	11/25/2008	10/01/2009	644.00	641.00	3.00
15. CAMPBELL SOUP CO	01/15/2009	10/01/2009	483.00	435.00	48.00
15. COLGATE PALMOLIVE CO	01/15/2009	10/01/2009	1,135.00	935.00	200.00
15. GENZYME CORPORATION	11/25/2008	10/01/2009	838.00	1,011.00	-173.00
15. GRAINGER W W INCORPORATED	04/16/2009	10/01/2009	1,317.00	1,199.00	118.00
35. MEDTRONIC INC	02/26/2009	10/01/2009	1,284.00	1,189.00	95.00
15. METLIFE INC	01/16/2009	10/01/2009	562.00	426.00	136.00
15. METLIFE INC	01/21/2009	10/01/2009	562.00	397.00	165.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: HERBERT HARRIS TR B/O RIDGE CH

Employer identification number: 01-6080166

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 10. POTASH CORP SASK INC	04/27/2009	10/01/2009	890.00	827.00	63.00
10. PRAXAIR INCORPORATED	01/28/2009	10/01/2009	803.00	632.00	171.00
40. TEXAS INSTRS INC	12/16/2008	10/01/2009	928.00	635.00	293.00
10. MONSANTO CO NEW COM	10/14/2008	10/02/2009	751.00	894.00	-143.00
5. EXXON MOBIL CORPORATION	10/14/2008	10/13/2009	350.00	363.00	-13.00
15. EXXON MOBIL CORPORATION	04/08/2009	10/13/2009	1,049.00	1,026.00	23.00
15. HESS CORP COM	04/23/2009	10/13/2009	871.00	765.00	106.00
20. JACOBS ENGR GROUP INC	10/01/2009	10/13/2009	880.00	906.00	-26.00
25. LIFE TECHNOLOGIES CORP COM	10/01/2009	10/13/2009	1,199.00	1,155.00	44.00
40. ALTERA CORPORATION	10/01/2009	10/15/2009	866.00	816.00	50.00
25. CVS CAREMARK CORP COM	05/15/2009	10/15/2009	937.00	760.00	177.00
10. CVS CAREMARK CORP COM	10/01/2009	10/15/2009	375.00	357.00	18.00
55. UNITEDHEALTH GROUP INC	10/01/2009	10/19/2009	1,363.00	1,376.00	-13.00
25. KLA INSTRS CORP	10/01/2009	10/21/2009	887.00	875.00	12.00
15. BIOGEN IDEC INC	10/01/2009	10/23/2009	667.00	752.00	-85.00
35. HALLIBURTON CO	08/11/2009	10/26/2009	1,053.00	795.00	258.00
50. DEAN FOODS CO NEW COM	10/01/2009	11/03/2009	841.00	902.00	-61.00
25. WELLS FARGO COMPANY	08/11/2009	11/03/2009	687.00	687.00	
95. BOSTON SCIENTIFIC CORPORATION	10/01/2009	11/05/2009	764.00	984.00	-220.00
50. LOWES COMPANIES INCORPORATED	02/10/2009	11/05/2009	990.00	938.00	52.00
25. NORFOLK SOUTHERN CORP	05/08/2009	11/06/2009	1,290.00	952.00	338.00
25. ACCENTURE PLC CL A COM	10/01/2009	11/06/2009	976.00	925.00	51.00
30. ANALOG DEVICES INC	10/01/2009	11/12/2009	830.00	816.00	14.00
20. BJ'S WHOLESALE CLUB INC	10/13/2009	11/18/2009	703.00	721.00	-18.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: HERBERT HARRIS TR B/O RIDGE CH

Employer identification number: 01-6080166

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 10. POLO RALPH LAUREN CORPORATION	10/01/2009	11/18/2009	790.00	751.00	39.00
25. BEST BUY INCORPORATED	10/01/2009	11/19/2009	1,072.00	941.00	131.00
20. AMERICAN EXPRESS CO	11/03/2009	11/30/2009	822.00	714.00	108.00
50. BRISTOL MYERS SQUIBB CO COM	10/01/2009	11/30/2009	1,271.00	1,122.00	149.00
50. COMCAST CORP	11/05/2009	11/30/2009	728.00	711.00	17.00
40. CORNING INC	08/31/2009	11/30/2009	659.00	604.00	55.00
85. FIFTH THIRD BANCORP COM	10/01/2009	11/30/2009	848.00	848.00	
10. P G & E CORPORATION	01/08/2009	11/30/2009	424.00	381.00	43.00
30. CORNING INC	08/31/2009	12/02/2009	530.00	453.00	77.00
15. STAPLES INCORPORATED	10/01/2009	12/02/2009	358.00	348.00	10.00
15. FEDEX CORP	10/13/2009	12/04/2009	1,301.00	1,152.00	149.00
25. MORGAN STANLEY DEAN WITTER DISCOVER & CO	04/23/2009	12/04/2009	763.00	539.00	224.00
25. PRUDENTIAL FINL INC COM	02/10/2009	12/08/2009	1,164.00	686.00	478.00
15. BROADCOM CORP CL A	10/01/2009	12/17/2009	469.00	449.00	20.00
35. CONOCOPHILLIPS COM	10/13/2009	12/17/2009	1,760.00	1,778.00	-18.00
10. CONOCOPHILLIPS COM	10/26/2009	12/17/2009	503.00	511.00	-8.00
40. TEXAS INSTRS INC	10/15/2009	12/17/2009	1,011.00	931.00	80.00
5. HONEYWELL INTERNATIONAL INC	10/01/2009	12/18/2009	196.00	184.00	12.00
30. CAMERON INT'L CORP COM	05/14/2009	12/23/2009	1,225.00	835.00	390.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . -7,801.00

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	Employer identification number
HERBERT HARRIS TR B/O RIDGE CH	01-6080166

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 15. NUCOR CORP	12/20/2007	01/13/2009	627.00	863.00	-236.00
50. CITIGROUP INC	11/29/2004	01/15/2009	201.00	2,682.00	-2,481.00
50. J P MORGAN CHASE & CO COM	02/23/2006	01/22/2009	1,067.00	2,063.00	-996.00
25. ENTERGY CORP NEW COM	08/02/2006	01/23/2009	1,934.00	1,951.00	-17.00
75. NEWS CORP CL A	02/04/2008	02/25/2009	440.00	1,455.00	-1,015.00
50. WELLS FARGO COMPANY	01/25/2008	02/25/2009	625.00	1,531.00	-906.00
10. APPLE COMPUTER INCORPORATED	03/22/2007	03/19/2009	1,010.00	939.00	71.00
40. PEPSICO INCORPORATED	02/27/2002	04/21/2009	1,969.00	2,038.00	-69.00
35. MCDONALDS CORP	04/21/2005	05/05/2009	1,865.00	1,037.00	828.00
10. MCDONALDS CORP	06/06/2006	05/05/2009	533.00	331.00	202.00
30. NOBLE CORP COM	02/23/2007	05/14/2009	873.00	1,084.00	-211.00
1473.884 COLUMBIA INCOME FUND CLASS Z SHARES	01/18/2007	08/07/2009	13,162.00	14,297.00	-1,135.00
10. DEVON ENERGY CORPORATION	01/04/2007	08/11/2009	642.00	641.00	1.00
15. SCHLUMBERGER LIMITED	04/14/2008	08/11/2009	795.00	1,363.00	-568.00
5. SCHLUMBERGER LIMITED	04/18/2008	08/11/2009	265.00	507.00	-242.00
10. SCHLUMBERGER LIMITED	05/07/2008	08/11/2009	530.00	1,027.00	-497.00
20. WAL-MART STORES INCORPORATED	04/09/2008	08/11/2009	1,000.00	1,077.00	-77.00
20. WAL-MART STORES INCORPORATED	06/12/2008	08/11/2009	1,000.00	1,178.00	-178.00
11. TRANSOCEAN LTD COM	06/22/2007	08/11/2009	820.00	1,472.00	-652.00
40. AVON PRODUCTS INC	12/20/2006	08/31/2009	1,262.00	1,332.00	-70.00
15. CSX CORP	03/17/2008	08/31/2009	634.00	742.00	-108.00
20. CADBURY PLC SPONSORED ADR	05/21/2008	08/31/2009	755.00	1,079.00	-324.00
25. MERCK & CO INC COM	01/04/2007	08/31/2009	814.00	1,135.00	-321.00
25. MERCK & CO INC COM	04/12/2007	08/31/2009	814.00	1,139.00	-325.00
15. PRICE T ROWE GROUP INC COM	07/01/2008	08/31/2009	672.00	805.00	-133.00
6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b					

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: HERBERT HARRIS TR B/O RIDGE CH

Employer identification number: 01-6080166

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 25. ROGERS COMMUNICATIONS INC CL B	08/28/2007	08/31/2009	682.00	1,108.00	-426.00
25. AMERICAN EXPRESS CO	08/09/2006	10/01/2009	826.00	1,303.00	-477.00
25. AMGEN INC	04/23/2008	10/01/2009	1,495.00	1,072.00	423.00
100. CISCO SYSTEMS INCORPORATED	10/14/1998	10/01/2009	2,322.00	1,305.00	1,017.00
25. CISCO SYSTEMS INCORPORATED	01/07/2003	10/01/2009	581.00	368.00	213.00
25. CISCO SYSTEMS INCORPORATED	09/01/2005	10/01/2009	581.00	444.00	137.00
25. COCA COLA CO COM	07/13/2004	10/01/2009	1,330.00	1,268.00	62.00
25. COCA COLA CO COM	11/22/2005	10/01/2009	1,330.00	1,059.00	271.00
20. CULLEN FROST BANKERS INC COM	12/06/2007	10/01/2009	1,030.00	1,076.00	-46.00
15. DEVON ENERGY CORPORATION	01/04/2007	10/01/2009	994.00	961.00	33.00
15. EXELON CORP COM	11/28/2007	10/01/2009	740.00	1,233.00	-493.00
15. EXELON CORP COM	01/25/2008	10/01/2009	740.00	1,119.00	-379.00
20. EXPRESS SCRIPTS INC CL A	04/12/2007	10/01/2009	1,540.00	863.00	677.00
10. FREEPORT-MCMORAN COPPER & GOLD INC COM	05/07/2008	10/01/2009	669.00	1,177.00	-508.00
10. FREEPORT-MCMORAN COPPER & GOLD INC COM	08/26/2008	10/01/2009	669.00	885.00	-216.00
100. INTEL CORPORATION	06/30/2008	10/01/2009	1,939.00	2,172.00	-233.00
40. JOHNSON & JOHNSON	08/16/2002	10/01/2009	2,405.00	2,242.00	163.00
10. KIMBERLY CLARK CORP COM	08/11/2008	10/01/2009	583.00	615.00	-32.00
15. KIMBERLY CLARK CORP COM	08/11/2008	10/01/2009	875.00	875.00	
40. KROGER CO	05/18/2007	10/01/2009	828.00	1,180.00	-352.00
60. ORACLE SYS CORP	01/14/2008	10/01/2009	1,218.00	1,326.00	-108.00
15. ORACLE SYS CORP	02/20/2008	10/01/2009	304.00	290.00	14.00
20. QUALCOMM INC	09/04/2007	10/01/2009	875.00	793.00	82.00
20. QUALCOMM INC	04/14/2008	10/01/2009	875.00	831.00	44.00
15. UNITED TECHNOLOGIES CORP	05/22/2002	10/01/2009	906.00	512.00	394.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

HERBERT HARRIS TR B/O RIDGE CH

Employer identification number

01-6080166

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a	25. WATERS CORP	04/12/2007	10/01/2009	1,389.00	1,496.00	-107.00
	15. ZIMMER HLDGS INC COM	07/25/2008	10/01/2009	796.00	1,028.00	-232.00
	75. COMCAST CORP	09/19/2008	10/02/2009	1,159.00	1,577.00	-418.00
	35. COMCAST CORP	09/30/2008	10/02/2009	541.00	671.00	-130.00
	32. EXXON MOBIL CORPORATION	04/18/2008	10/13/2009	2,239.00	3,008.00	-769.00
	25. EXXON MOBIL CORPORATION	09/19/2008	10/13/2009	1,749.00	2,026.00	-277.00
	10. HESS CORP COM	08/11/2008	10/13/2009	581.00	942.00	-361.00
	5. LOCKHEED MARTIN CORPORATION	02/13/2008	10/13/2009	370.00	542.00	-172.00
	10. LOCKHEED MARTIN CORPORATION	04/14/2008	10/13/2009	740.00	1,034.00	-294.00
	25. ACE LTD COM	01/23/2007	10/13/2009	1,336.00	1,469.00	-133.00
	10. JOHNSON & JOHNSON	08/16/2002	10/15/2009	607.00	561.00	46.00
	25. JOHNSON & JOHNSON	06/09/2003	10/15/2009	1,516.00	1,319.00	197.00
	20. BRISTOL MYERS SQUIBB CO COM	11/05/2008	11/17/2009	481.00	428.00	53.00
	15. STARWOOD HOTELS & RESORTS WORLDWIDE INC COM	10/25/2006	11/18/2009	500.00	921.00	-421.00
	10. BRISTOL MYERS SQUIBB CO COM	11/05/2008	11/30/2009	254.00	214.00	40.00
	30. FPL GROUP INC COM	01/23/2007	11/30/2009	1,557.00	1,641.00	-84.00
	35. STAPLES INCORPORATED	07/09/2008	12/02/2009	835.00	786.00	49.00
	155.27 COLUMBIA ACORN FUND CLASS Z SHARES	10/22/2002	12/15/2009	3,733.00	2,282.00	1,451.00
	1536.145 COLUMBIA MULTI-ADVISOR INT'L EQUITY	04/23/2008	12/15/2009	17,804.00	25,500.00	-7,696.00
	207.106 COLUMBIA MULTI-ADVISOR INT'L EQUITY	07/21/2008	12/15/2009	2,400.00	3,055.00	-655.00
	25. BAXTER INTERNATIONAL INC	04/14/2008	12/17/2009	1,448.00	1,490.00	-42.00
	15. CHEVRONTEXACO CORP COM	08/11/2008	12/17/2009	1,151.00	1,252.00	-101.00
	55. PFIZER INC	09/30/2008	12/17/2009	1,000.00	988.00	12.00
	25. HONEYWELL INTERNATIONAL INC	04/27/2007	12/18/2009	982.00	1,356.00	-374.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . -19,617.00

HERBERT HARRIS TR B/O RIDGE CH 01-6080166

FEDERAL CAPITAL GAIN DIVIDENDS

LONG-TERM CAPITAL GAIN DIVIDENDS

15% RATE CAPITAL GAIN DIVIDENDS

BRISTOL MYERS SQUIBB CO COM	24.00	
ENRON CORPORATION	1,516.00	
SPX CORP	18.00	
CLASS ACTIONS SETTLEMENT FOR AIG INC (SEC)	17.00	
TOTAL 15% RATE CAPITAL GAIN DIVIDENDS		1,575.00
TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS		1,575.00

STATEMENT 1

Bank of America

SETTLEMENT DATE

ASSET SUMMARY

AS OF 12/31/09

ACCOUNT
61-11-622-8532148

HERBERT HARRIS TR B/O RIDGE CH

	FEDERAL TAX COST	MARKET VALUE	% OF ACCOUNT	CURRENT YIELD	EST ANNUAL INCOME
CASH AND CASH EQUIVALENTS					
MONEY MARKET FUNDS	12,272.28	12,272.28	2.955	0.000	0.00
FIXED INCOME					
MUTUAL FUNDS-FIXED	146,689.28	142,924.02	34.419	5.894	8,423.39
EQUITIES					
CONSUMER DISCRETIONARY	10,383.57	10,822.65	2.606	1.366	147.80
CONSUMER STAPLES	12,250.31	12,242.35	2.948	2.834	346.90
ENERGY	13,208.48	14,397.90	3.467	1.439	207.20
FINANCIALS	17,071.40	19,383.75	4.668	0.889	172.30
HEALTH CARE	15,813.01	16,961.15	4.085	1.786	303.00
INDUSTRIALS	15,583.30	14,474.45	3.486	2.342	339.00
INFORMATION TECHNOLOGY	21,780.49	28,524.22	6.869	0.723	206.10
MATERIALS	3,768.46	4,228.10	1.018	2.084	88.10
TELECOMMUNICATION SERVICES	6,286.43	5,988.50	1.442	5.886	352.50
UTILITIES	4,133.88	4,244.25	1.022	3.092	131.25
MUTUAL FUNDS-EQUITY	95,789.52	95,552.98	23.011	1.205	1,151.09
OTHER EQUITIES	29,457.19	33,226.94	8.002	2.423	805.02
TOTAL EQUITIES	245,526.04	260,047.24	62.625	1.634	4,250.26
ACCOUNT TOTAL	404,487.60	415,243.54	100.000	3.052	12,673.65

Bank of America

SETTLEMENT DATE

ASSET DETAIL

AS OF 12/31/09

ACCOUNT
61-11-622-8532148

HERBERT HARRIS TR B/O RIDGE CH

UNITS	ASSET DESCRIPTION	ORIGINAL COST	FEDERAL TAX COST	MARKET VALUE	% OF ACCOUNT	CURRENT YIELD	EST ANNUAL INCOME
	CASH AND CASH EQUIVALENTS						
	MONEY MARKET FUNDS						
6,481.940	COLUMBIA GOVERNMENT PLUS RESERVES G TRUST CLASS (INCOME INVESTMENT) CUSIP NO: 19765M874	6,481.94	6,481.94	6,481.94	1.561	0.000	0.00
5,790.340	COLUMBIA GOVERNMENT PLUS RESERVES G TRUST CLASS CUSIP NO: 19765M874	5,790.34	5,790.34	5,790.34	1.394	0.000	0.00
	TOTAL MONEY MARKET FUNDS	12,272.28	12,272.28	12,272.28	2.955	0.000	0.00
	TOTAL CASH AND CASH EQUIVALENTS	12,272.28	12,272.28	12,272.28	2.955	0.000	0.00
	FIXED INCOME						
	MUTUAL FUNDS-FIXED						
15,204.683	COLUMBIA INCOME FUND CLASS Z SHARES CUSIP NO: 19765N518 SEC YIELD: 5.480%	146,765.82	146,689.28	142,924.02	34.419	5.894	8,423.39
	TOTAL MUTUAL FUNDS-FIXED	146,765.82	146,689.28	142,924.02	34.419	5.894	8,423.39
	TOTAL FIXED INCOME	146,765.82	146,689.28	142,924.02	34.419	5.894	8,423.39
	EQUITIES						
	CONSUMER DISCRETIONARY						
25.000	ADVANCED AUTO PTS INC CUSIP NO: 00751Y106	959.84	959.84	1,012.00	0.244	0.593	6.00

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UNITS	ASSET DESCRIPTION	ORIGINAL COST	FEDERAL TAX COST	MARKET VALUE	% OF ACCOUNT	CURRENT YIELD	EST ANNUAL INCOME
5.000	AMAZON COM INC CUSIP NO: 023135106	708.68	708.68	672.60	0.162	0.000	0.00
50.000	AMERICAN EAGLE OUTFITTERS NEW CUSIP NO: 02553E106	823.75	823.75	849.00	0.204	2.356	20.00
10.000	APOLLO GROUP INC CL A CUSIP NO: 037604105	599.78	599.78	605.80	0.146	0.000	0.00
30.000	CARNIVAL CORP PANAMA CUSIP NO: 143658300	975.45	975.45	950.70	0.229	5.049	48.00
30.000	DARDEN RESTAURANTS INC CUSIP NO: 237194105	1,001.55	1,001.55	1,052.10	0.253	2.851	30.00
20.000	DIRECTV CL A COM CUSIP NO: 25490A101	525.51	525.51	667.00	0.161	0.000	0.00
15.000	HANESBRANDS INC CUSIP NO: 410345102	366.33	366.33	361.65	0.087	0.000	0.00
25.000	PENNEY J C CO INC CUSIP NO: 708160106	816.88	816.88	665.25	0.160	3.006	20.00
35.000	STARBUCKS CORP CUSIP NO: 855244109	743.23	743.23	807.10	0.194	0.000	0.00
35.000	TARGET CORP CUSIP NO: 87612E106	1,482.32	1,482.32	1,692.95	0.408	1.406	23.80
50.000	VIACOM INC CL B COM CUSIP NO: 92553P201	1,380.25	1,380.25	1,486.50	0.358	0.000	0.00

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HERBERT HARRIS TR B/O RIDGE CH

UNITS	ASSET DESCRIPTION	ORIGINAL COST	FEDERAL TAX COST	MARKET VALUE	% OF ACCOUNT	CURRENT YIELD	EST ANNUAL INCOME
	TOTAL CONSUMER DISCRETIONARY	10,383.57	10,383.57	10,822.65	2.606	1.366	147.80
CONSUMER STAPLES							
40.000	AVON PRODS INC CUSIP NO: 054303102	1,351.64	1,412.46	1,260.00	0.303	2.667	33.60
25.000	CLOROX CO CUSIP NO: 189054109	1,453.62	1,453.62	1,525.00	0.367	3.279	50.00
15.000	GENERAL MLS INC CUSIP NO: 370334104	975.98	975.98	1,062.15	0.256	2.768	29.40
15.000	KIMBERLY CLARK CORP CUSIP NO: 494368103	884.77	932.38	955.65	0.230	3.767	36.00
15.000	MOLSON COORS BREWING CO CL B COM CUSIP NO: 60871R209	752.45	752.45	677.40	0.163	2.126	14.40
30.000	PEPSICO INC CUSIP NO: 713448108	1,758.41	1,758.41	1,824.00	0.439	2.961	54.00
55.000	PROCTER & GAMBLE CO CUSIP NO: 742718109	3,342.45	3,342.45	3,334.65	0.803	2.903	96.80
30.000	WAL-MART STORES INC CUSIP NO: 931142103	1,622.56	1,622.56	1,603.50	0.386	2.039	32.70
	TOTAL CONSUMER STAPLES	12,141.88	12,250.31	12,242.35	2.947	2.834	346.90
ENERGY							
50.000	NABORS INDS INC ISIN BMG6359F1032 BERMUDA CUSIP NO: G6359F103	1,450.79	1,450.79	1,094.50	0.264	0.000	0.00

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HERBERT HARRIS TR B/O RIDGE CH

UNITS	ASSET DESCRIPTION	ORIGINAL COST	FEDERAL TAX COST	MARKET VALUE	% OF ACCOUNT	CURRENT YIELD	EST ANNUAL INCOME
65.000	WEATHERFORD INTL LTD SWITZERLAND CUSIP NO: H27013103	1,250.24	1,250.24	1,164.15	0.280	0.000	0.00
20.000	NOBLE CORP ISIN CH0033347318 SWITZERLAND CUSIP NO: H5833N103	819.95	819.95	814.00	0.196	0.393	3.20
10.000	TRANSOCEAN LTD SWITZERLAND CUSIP NO: H8817H100	832.71	832.71	828.00	0.199	0.000	0.00
20.000	APACHE CORP CUSIP NO: 037411105	1,486.55	1,486.55	2,063.40	0.497	0.582	12.00
25.000	CABOT OIL & GAS CORP CUSIP NO: 127097103	780.38	780.38	1,089.75	0.262	0.275	3.00
45.000	CHEVRON CORP CUSIP NO: 166764100	3,525.05	3,448.35	3,464.55	0.834	3.533	122.40
20.000	EXXON MOBIL CORP CUSIP NO: 30231G102	1,493.90	1,493.90	1,363.80	0.328	2.464	33.60
25.000	OCCIDENTAL PETE CORP DEL CUSIP NO: 674599105	1,148.36	1,148.36	2,033.75	0.490	1.623	33.00
10.000	SOUTHWESTERN ENERGY CO CUSIP NO: 845467109	497.25	497.25	482.00	0.116	0.000	0.00
TOTAL	ENERGY	13,285.18	13,208.48	14,397.90	3.466	1.439	207.20
FINANCIALS							
25.000	ACE LTD SWITZERLAND CUSIP NO: H0023R105	1,468.87	1,468.87	1,260.00	0.303	2.460	31.00

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UNITS	ASSET DESCRIPTION	ORIGINAL COST	FEDERAL TAX COST	MARKET VALUE	% OF ACCOUNT	CURRENT YIELD	EST ANNUAL INCOME
50.000	DISCOVER FINL SVCS CUSIP NO: 254709108	710.75	710.75	735.50	0.177	0.544	4.00
25.000	GOLDMAN SACHS GROUP INC CUSIP NO: 38141G104	1,793.77	1,793.77	4,221.00	1.017	0.829	35.00
100.000	J P MORGAN CHASE & CO CUSIP NO: 46625H100	4,153.19	4,166.48	4,167.00	1.004	0.480	20.00
50.000	MORGAN STANLEY CUSIP NO: 617446448	1,078.87	1,078.87	1,480.00	0.356	0.676	10.00
25.000	PNC FINL SVCS GROUP INC CUSIP NO: 693475105	1,643.63	1,643.63	1,319.75	0.318	0.758	10.00
15.000	PRICE T ROWE GROUP INC CUSIP NO: 74144T108	721.89	721.89	798.75	0.192	1.878	15.00
35.000	PRINCIPAL FINL GROUP INC CUSIP NO: 74251V102	978.35	978.35	841.40	0.203	2.080	17.50
20.000	STATE STR CORP CUSIP NO: 857477103	1,077.83	1,077.83	870.80	0.210	0.092	0.80
50.000	US BANCORP DEL COM NEW CUSIP NO: 902973304	806.32	818.38	1,125.50	0.271	0.888	10.00
95.000	WELLS FARGO & CO NEW COM CUSIP NO: 949746101	2,612.58	2,612.58	2,564.05	0.617	0.741	19.00
TOTAL	FINANCIALS	17,046.05	17,071.40	19,383.75	4.668	0.889	172.30
HEALTH CARE							
45.000	ABBOTT LABS CUSIP NO: 002824100	2,124.51	2,194.43	2,429.55	0.585	2.964	72.00

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HERBERT HARRIS TR B/O RIDGE CH

UNITS	ASSET DESCRIPTION	ORIGINAL COST	FEDERAL TAX COST	MARKET VALUE	% OF ACCOUNT	CURRENT YIELD	EST ANNUAL INCOME
40.000	AMERISOURCEBERGEN CORP CUSIP NO: 03073E105	886.56	886.56	1,042.80	0.251	1.227	12.80
10.000	BARD C R INC CUSIP NO: 067383109	845.65	845.65	779.00	0.188	0.873	6.80
20.000	CIGNA CORP CUSIP NO: 125509109	606.15	606.15	705.40	0.170	0.113	0.80
30.000	CAREFUSION CORP CUSIP NO: 14170T101	718.23	718.23	750.30	0.181	0.000	0.00
35.000	GILEAD SCIENCES INC CUSIP NO: 375558103	1,751.18	1,751.18	1,514.45	0.365	0.000	0.00
20.000	HUMANA INC CUSIP NO: 444859102	750.07	750.07	877.80	0.211	0.000	0.00
45.000	JOHNSON & JOHNSON CUSIP NO: 478160104	2,817.67	2,817.67	2,898.45	0.698	3.043	88.20
30.000	MEDCO HLTH SOLUTIONS INC CUSIP NO: 58405U102	1,656.66	1,656.66	1,917.30	0.462	0.000	0.00
170.000	PFIZER INC CUSIP NO: 717081103	2,795.30	2,711.31	3,092.30	0.745	3.958	122.40
20.000	THERMO FISHER SCIENTIFIC CORP CUSIP NO: 883556102	875.10	875.10	953.80	0.230	0.000	0.00
	TOTAL HEALTH CARE	15,827.08	15,813.01	16,961.15	4.086	1.786	303.00
INDUSTRIALS							
20.000	COOPER INDS PLC NEW COM IRELAND CUSIP NO: G24140108	781.38	781.38	852.80	0.205	2.345	20.00

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HERBERT HARRIS TR B/O RIDGE CH

UNITS	ASSET DESCRIPTION	ORIGINAL COST	FEDERAL TAX COST	MARKET VALUE	% OF ACCOUNT	CURRENT YIELD	EST ANNUAL INCOME
35.000	DOVER CORP CUSIP NO: 260003108	1,331.23	1,331.23	1,456.35	0.351	2.499	36.40
25.000	DUN & BRADSTREET CORP DEL NEW CUSIP NO: 26483E100	2,225.40	2,225.40	2,109.25	0.508	1.612	34.00
180.000	GENERAL ELEC CO CUSIP NO: 369604103	6,031.37	6,133.63	2,723.40	0.656	2.644	72.00
30.000	HONEYWELL INTL INC CUSIP NO: 438516106	1,323.22	1,105.84	1,176.00	0.283	3.087	36.30
35.000	PARKER HANNIFIN CORP CUSIP NO: 701094104	1,356.52	1,356.52	1,885.80	0.454	1.856	35.00
20.000	UNITED PARCEL SVC INC CL B CUSIP NO: 911312106	1,114.35	1,114.35	1,147.40	0.276	3.138	36.00
45.000	UNITED TECHNOLOGIES CORP CUSIP NO: 913017109	1,534.95	1,534.95	3,123.45	0.752	2.219	69.30
TOTAL	INDUSTRIALS	15,698.42	15,583.30	14,474.45	3.485	2.342	339.00
INFORMATION	TECHNOLOGY						
10.000	APPLE INC CUSIP NO: 037833100	938.57	938.57	2,107.32	0.507	0.000	0.00
25.000	BROADCOM CORP CL A CUSIP NO: 111320107	736.80	730.02	786.75	0.189	0.000	0.00
45.000	CISCO SYS INC CUSIP NO: 17275R102	1,059.46	1,059.46	1,077.30	0.259	0.000	0.00
100.000	EMC CORP CUSIP NO: 268648102	1,953.00	1,953.00	1,747.00	0.421	0.000	0.00

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HERBERT HARRIS TR B/O RIDGE CH

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40.000	EBAY INC CUSIP NO: 278642103	694.20	694.20	941.20	0.227	0.000	0.00
5.000	GOOGLE INC CL A COM CUSIP NO: 38259P508	2,457.63	2,457.63	3,099.90	0.747	0.000	0.00
100.000	HEWLETT PACKARD CO CUSIP NO: 428236103	2,837.38	2,837.38	5,151.00	1.240	0.621	32.00
25.000	INTERNATIONAL BUSINESS MACHS CUSIP NO: 459200101	2,130.41	2,130.41	3,272.50	0.788	1.681	55.00
5.000	MASTERCARD INC CL A COM CUSIP NO: 57636Q104	1,012.38	1,012.38	1,279.90	0.308	0.234	3.00
175.000	MICROSOFT CORP CUSIP NO: 594918104	5,360.49	4,389.72	5,334.00	1.285	1.706	91.00
50.000	NCR CORP NEW CUSIP NO: 62886E108	632.69	632.69	556.50	0.134	0.000	0.00
35.000	NOVELLUS SYS INC CUSIP NO: 670008101	671.47	671.47	816.90	0.197	0.000	0.00
20.000	TERADATA CORP DELAWARE COM CUSIP NO: 88076W103	568.52	568.52	628.60	0.151	0.000	0.00
45.000	WESTERN UNION CO CUSIP NO: 959802109	848.93	848.93	848.25	0.204	0.318	2.70
35.000	XILINX INC CUSIP NO: 983919101	856.11	856.11	877.10	0.211	2.554	22.40

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UNITS	ASSET DESCRIPTION	ORIGINAL COST	FEDERAL TAX COST	MARKET VALUE	% OF ACCOUNT	CURRENT YIELD	EST ANNUAL INCOME
	TOTAL INFORMATION TECHNOLOGY	22,758.04	21,780.49	28,524.22	6.868	0.723	206.10
MATERIALS							
30.000	CELANESE CORP DEL SER A COM CUSIP NO: 150870103	747.45	747.45	963.00	0.232	0.498	4.80
10.000	PPG INDS INC CUSIP NO: 693506107	601.52	601.52	585.40	0.141	3.690	21.60
35.000	PACKAGING CORP AMER CUSIP NO: 695156109	714.88	714.88	805.35	0.194	2.608	21.00
5.000	RIO TINTO PLC SPONSORED ADR UNITED KINGDOM CUSIP NO: 767204100	1,025.78	1,025.78	1,076.95	0.259	2.526	27.20
45.000	STEEL DYNAMICS INC CUSIP NO: 858119100	678.83	678.83	797.40	0.192	1.693	13.50
	TOTAL MATERIALS	3,768.46	3,768.46	4,228.10	1.018	2.084	88.10
TELECOMMUNICATION SERVICES							
125.000	AT&T INC CUSIP NO: 00206R102	3,810.79	3,810.79	3,503.75	0.844	5.994	210.00
75.000	VERIZON COMMUNICATIONS INC CUSIP NO: 92343V104	2,475.64	2,475.64	2,484.75	0.598	5.735	142.50
	TOTAL TELECOMMUNICATION SERVICES	6,286.43	6,286.43	5,988.50	1.442	5.886	352.50
UTILITIES							

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60.000	AES CORP CUSIP NO: 00130H105	877.50	877.50	798.60	0.192	0.000	0.00
20.000	FPL GROUP INC CUSIP NO: 302571104	1,093.71	1,093.71	1,056.40	0.254	3.578	37.80
20.000	PG&E CORP CUSIP NO: 69331C108	759.17	758.18	893.00	0.215	3.763	33.60
45.000	PUBLIC SVC ENTERPRISE GROUP CUSIP NO: 744573106	1,404.49	1,404.49	1,496.25	0.360	4.000	59.85
	TOTAL UTILITIES	4,134.87	4,133.88	4,244.25	1.021	3.092	131.25
MUTUAL FUNDS-EQUITY							
591.744	COLUMBIA ACORN FUND CLASS Z SHARES CUSIP NO: 197199409	9,240.38	9,647.21	14,604.24	3.517	0.199	29.00
239.752	COLUMBIA ACORN INTERNATIONAL FUND CLASS Z SHARES CUSIP NO: 197199813	8,158.75	8,158.75	8,213.90	1.978	1.550	127.31
1,031.977	COLUMBIA MULTI-ADVISOR INTL EQUITY FUND CLASS Z SHARES CUSIP NO: 19765H677	16,278.42	15,221.67	11,754.22	2.831	4.021	472.65
945.383	COLUMBIA SMALL CAP VALUE FUND II CLASS Z SHARES CUSIP NO: 19765J764	10,944.88	10,944.88	10,389.76	2.502	0.628	65.23
950.621	COLUMBIA MID CAP VALUE FUND CLASS Z SHARES CUSIP NO: 19765J830	12,344.19	12,344.19	10,532.88	2.537	0.903	95.06

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UNITS	ASSET DESCRIPTION	ORIGINAL COST	FEDERAL TAX COST	MARKET VALUE	% OF ACCOUNT	CURRENT YIELD	EST ANNUAL INCOME
435.765	COLUMBIA SMALL CAP GROWTH I FUND CLASS Z SHARES CUSIP NO: 19765P596	11,434.94	11,434.94	10,493.22	2.527	0.000	0.00
369.509	HARBOR INTERNATIONAL FUND INSTL CL CUSIP NO: 411511306	20,396.88	20,396.88	20,274.96	4.883	1.285	260.50
180.000	SPDR METALS & MNG ETF CUSIP NO: 78464A755	7,641.00	7,641.00	9,289.80	2.237	1.091	101.34
	TOTAL MUTUAL FUNDS-EQUITY	96,439.44	95,789.52	95,552.98	23.012	1.205	1,151.09
OTHER EQUITIES							
229.000	POWERSHARES WATER RESOURCES PORT CUSIP NO: 73935X575	5,116.89	5,116.89	3,860.94	0.930	1.720	66.41
498.000	VANGUARD INTL EQUITY INDEX FDS VANGUARD EMERGING MKTS ETF CUSIP NO: 922042858	17,015.52	17,015.52	20,418.00	4.917	1.329	271.41
200.000	VANGUARD REIT ETF CUSIP NO: 922908553	7,324.78	7,324.78	8,948.00	2.155	5.221	467.20
	TOTAL OTHER EQUITIES	29,457.19	29,457.19	33,226.94	8.002	2.423	805.02
	TOTAL EQUITIES	247,226.61	245,526.04	260,047.24	62.621	1.634	4,250.26
TOTAL FOR ACCOUNT		406,264.71	404,487.60	415,243.54	100.000	3.052	12,673.65