Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning 01-01-2009 , and ending 12-31-2009

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
Zachary and Lindsey Gund Foundation

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
14 Nassau Street

City or town, state, and ZIP code
Princeton, NJ 08542

A Employer identification number
01-0752786

B Telephone number (see page 10 of the instructions)
(609) 921-3633

C If exemption application is pending, check here ☐
D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 1,150,932

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	197,219			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	269	269		
	4	Dividends and interest from securities	16,803	16,803		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-152,885			
	b	Gross sales price for all assets on line 6a 612,667				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	61,406	17,072		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	11,000	11,000		0
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions)				
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	7,345	7,345		0
	24	**Total operating and administrative expenses.** Add lines 13 through 23	18,345	18,345		0
	25	Contributions, gifts, grants paid	57,900			57,900
	26	**Total expenses and disbursements.** Add lines 24 and 25	76,245	18,345		57,900
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-14,839			
	b	**Net investment income** (if negative, enter -0-)		0		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing	154,406	167,949	167,949
2	Savings and temporary cash investments			
3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	1,012,581	984,308	982,983
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,166,987	1,152,257	1,150,932
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☑ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted	1,166,987	1,152,257	
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see page 17 of the instructions)	1,166,987	1,152,257	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	1,166,987	1,152,257	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,166,987
2	Enter amount from Part I, line 27a	2	-14,839
3	Other increases not included in line 2 (itemize) ▶	3	109
4	Add lines 1, 2, and 3	4	1,152,257
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,152,257

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-152,885
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	61,892	921,489	0 067165
2007	88,212	875,772	0 100725
2006	56,889	855,465	0 066501
2005	36,300	599,332	0 060567
2004	20,000	398,731	0 050159

2	**Total** of line 1, column (d)	2	0 345117
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 069023
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	829,974
5	Multiply line 4 by line 3	5	57,287
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	0
7	Add lines 5 and 6	7	57,287
8	Enter qualifying distributions from Part XII, line 4	8	57,900

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b		1	0
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2		3	0
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	0
6	Credits/Payments			
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a 3,288		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	3,288
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	3,288
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 3,288	**Refunded** ▶	11	0

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	If the answer is "Yes" to ***1a*** *or* ***1b,*** *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		No
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ NJ			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10	Yes	

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶Theodore W Baker Telephone no ▶(609) 921-3633

Located at ▶14 Nassau Street Princeton NJ ZIP+4 ▶08542

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
G Zachary Gund 14 Nassau Street Princeton, NJ 08542	Trustee 0 50	0	0	0
Lindsey S Gund 14 Nassau Street Princeton, NJ 08542	Trustee 0 50	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3. . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	808,139
b	Average of monthly cash balances		1b	34,474
c	Fair market value of all other assets (see page 24 of the instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	842,613
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	842,613
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)		4	12,639
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	829,974
6	**Minimum investment return.** Enter 5% of line 5		6	41,499

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	41,499
2a	Tax on investment income for 2009 from Part VI, line 5	2a		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	0
3	Distributable amount before adjustments Subtract line 2c from line 1		3	41,499
4	Recoveries of amounts treated as qualifying distributions		4	0
5	Add lines 3 and 4		5	41,499
6	Deduction from distributable amount (see page 25 of the instructions)		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	41,499

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	57,900
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	57,900
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	57,900

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7					41,499
2 Undistributed income, if any, as of the end of 2008					
a Enter amount for 2008 only.				0	
b Total for prior years 20___, 20___, 20___			0		
3 Excess distributions carryover, if any, to 2009					
a From 2004.	872				
b From 2005.	6,638				
c From 2006.	15,505				
d From 2007.	45,847				
e From 2008.	15,818				
f **Total** of lines 3a through e.		84,680			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 57,900					
a Applied to 2008, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)			0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .		0			
d Applied to 2009 distributable amount.					41,499
e Remaining amount distributed out of corpus		16,401			
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		101,081			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .			0		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				0	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)		0			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)		872			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a		100,209			
10 Analysis of line 9					
a Excess from 2005. . . .	6,638				
b Excess from 2006. . . .	15,505				
c Excess from 2007. . . .	45,847				
d Excess from 2008. . . .	15,818				
e Excess from 2009. . . .	16,401				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	**(b)** 2008	**(c)** 2007	**(d)** 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				57,900
b *Approved for future payment* See Additional Data Table				
Total . . . . ▶ **3b**				32,500

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	269	
4 Dividends and interest from securities. . . .			14	16,803	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			14	-152,885	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		-135,813	0

13 **Total.** Add line 12, columns (b), (d), and (e). . . . . 13 -135,813

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2010-04-29 | ******

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's Signature	Date	Check if self-employed ☐	Preparer's identifying number (see **Signature** on page 30 of the instructions)
Firm's name (or yours if self-employed), address, and ZIP code	GUND INVESTMENT CORPORATION 14 NASSAU STREET PRINCETON, NJ 08542	EIN Phone no (609) 921-3633

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491119007020

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

2009

Name of organization
Zachary and Lindsey Gund Foundation

Employer identification number
01-0752786

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule—

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An Organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box in the heading of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
Zachary and Lindsey Gund Foundation

Employer identification number
01-0752786

Part I **Contributors** (see Instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	Gordon Llura Gund CLAT 4 14 Nassau Street Princeton, NJ 08542	$ 197,219	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization	Employer identification number
Zachary and Lindsey Gund Foundation	01-0752786

Part II **Noncash Property** (see Instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization	**Employer identification number**
Zachary and Lindsey Gund Foundation	01-0752786

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than $1,000 for the year.** (Complete columns **(a)** through **(e) and** the following line entry)
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Software ID: 09000028
Software Version: 2009.03050
EIN: 01-0752786
Name: Zachary and Lındsey Gund Foundatıon

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
CME GROUP INC	P	2007-03-20	2009-01-13
CME GROUP INC	P	2007-08-01	2009-01-13
GENERAL ELECTRIC CO	P	2008-11-20	2009-01-13
***TOYOTA MOTOR CORP -SPON ADR	P	2008-05-29	2009-01-13
APPLE INC	P	2006-05-26	2009-01-15
APPLE INC	P	2006-07-18	2009-01-15
APPLE INC	P	2006-08-03	2009-01-15
CITIGROUP INC	P	2005-01-06	2009-01-15
CITIGROUP INC	P	2007-09-12	2009-01-15
CITIGROUP INC	P	2008-02-05	2009-01-15
***ALCON INC	P	2006-04-21	2009-01-21
***ALCON INC	P	2007-02-27	2009-01-21
MCKESSON CORP	P	2007-09-11	2009-01-22
GOOGLE INC-CL A	P	2005-07-26	2009-01-30
AFLAC INC	P	2008-12-22	2009-02-02
DELL INC	P	2008-11-20	2009-02-02
CONOCOPHILLIPS	P	2006-12-08	2009-02-03
CONOCOPHILLIPS	P	2007-01-18	2009-02-03
CONOCOPHILLIPS	P	2007-09-11	2009-02-03
ASHLAND INC	P	2008-03-13	2009-02-05
TEXTRON INC	P	2008-12-22	2009-02-05
CITIGROUP INC	P	2008-02-11	2009-02-06
CITIGROUP INC	P	2008-02-20	2009-02-06
CITIGROUP INC	P	2008-02-27	2009-02-06
CME GROUP INC	P	2007-08-01	2009-02-06
CME GROUP INC	P	2007-08-23	2009-02-06
***BP PLC-SPONS ADR	P	2007-10-24	2009-02-09
***BP PLC-SPONS ADR	P	2007-10-26	2009-02-09
***BP PLC-SPONS ADR	P	2008-01-23	2009-02-09
BLACK & DECKER CORP	P	2006-11-09	2009-02-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
183		532	-349
731		2,231	-1,500
1,443		1,315	128
954		1,484	-530
746		576	170
994		629	366
249		207	42
76		979	-903
114		1,371	-1,257
419		3,058	-2,639
924		1,198	-274
756		1,147	-391
916		1,272	-356
1,022		884	138
463		913	-450
976		1,063	-87
601		920	-319
462		632	-170
462		821	-359
241		1,630	-1,389
356		913	-558
294		1,937	-1,643
196		1,258	-1,061
196		1,273	-1,077
757		2,231	-1,474
189		553	-363
455		754	-299
1,136		1,935	-799
682		918	-236
415		1,197	-783

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-349
			-1,500
			128
			-530
			170
			366
			42
			-903
			-1,257
			-2,639
			-274
			-391
			-356
			138
			-450
			-87
			-319
			-170
			-359
			-1,389
			-558
			-1,643
			-1,061
			-1,077
			-1,474
			-363
			-299
			-799
			-236
			-783

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
ACTIVISION BLIZZARD INC	P	2008-09-24	2009-02-18
ACTIVISION BLIZZARD INC	P	2008-10-23	2009-02-18
BANK OF AMERICA CORP	P	2007-07-25	2009-02-20
BANK OF AMERICA CORP	P	2008-01-15	2009-02-20
BANK OF AMERICA CORP	P	2008-06-04	2009-02-20
BANK OF AMERICA CORP	P	2008-12-30	2009-02-20
JPMORGAN CHASE & CO	P	2008-01-15	2009-02-20
SPRINT NEXTEL CORP	P	2003-12-29	2009-02-20
SPRINT NEXTEL CORP	P	2005-06-02	2009-02-20
SPRINT NEXTEL CORP	P	2007-09-19	2009-02-20
***ERICSSON (LM) TEL-SP ADR	P	2008-09-10	2009-02-27
***ERICSSON (LM) TEL-SP ADR	P	2008-09-10	2009-02-27
***ERICSSON (LM) TEL-SP ADR	P	2008-09-10	2009-02-27
***ERICSSON (LM) TEL-SP ADR	P	2008-09-10	2009-02-27
***ERICSSON (LM) TEL-SP ADR	P	2008-09-10	2009-02-27
***ERICSSON (LM) TEL-SP ADR	P	2008-09-10	2009-02-27
CATERPILLAR INC	P	2007-11-02	2009-03-03
CATERPILLAR INC	P	2008-01-15	2009-03-03
DEERE & CO	P	2007-10-12	2009-03-04
AMERICAN INTERNATIONAL GROUP	P	2005-12-12	2009-03-10
AMERICAN INTERNATIONAL GROUP	P	2006-05-09	2009-03-10
AMERICAN INTERNATIONAL GROUP	P	2006-09-15	2009-03-10
AMERICAN INTERNATIONAL GROUP	P	2006-11-14	2009-03-10
AMERICAN INTERNATIONAL GROUP	P	2007-02-27	2009-03-10
AMERICAN INTERNATIONAL GROUP	P	2007-09-11	2009-03-10
AMERICAN INTERNATIONAL GROUP	P	2007-09-19	2009-03-10
AMERICAN INTERNATIONAL GROUP	P	2008-02-11	2009-03-10
AMERICAN INTERNATIONAL GROUP	P	2008-02-12	2009-03-10
AMERICAN INTERNATIONAL GROUP	P	2008-03-19	2009-03-10
WELLS FARGO & COMPANY	P	2009-02-10	2009-03-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
810		1,343	-533
477		616	-140
53		713	-660
440		4,781	-4,341
299		2,747	-2,448
528		1,923	-1,395
2,129		4,327	-2,198
235		1,102	-867
235		1,630	-1,395
157		906	-749
41		53	-11
41		53	-11
786		1,003	-217
41		53	-11
41		53	-11
41		53	-11
114		370	-256
341		989	-647
400		1,159	-760
6		1,119	-1,113
14		2,633	-2,619
7		1,182	-1,176
3		639	-636
8		1,427	-1,419
13		2,254	-2,242
13		2,366	-2,354
9		1,127	-1,118
9		1,144	-1,135
9		1,124	-1,115
690		1,083	-393

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-533
			-140
			-660
			-4,341
			-2,448
			-1,395
			-2,198
			-867
			-1,395
			-749
			-11
			-11
			-217
			-11
			-11
			-11
			-256
			-647
			-760
			-1,113
			-2,619
			-1,176
			-636
			-1,419
			-2,242
			-2,354
			-1,118
			-1,135
			-1,115
			-393

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
J C PENNEY CO INC	P	2008-02-11	2009-03-11
J C PENNEY CO INC	P	2008-11-10	2009-03-11
J C PENNEY CO INC	P	2008-11-10	2009-03-11
ABBOTT LABORATORIES	P	2007-09-11	2009-03-18
CME GROUP INC	P	2007-09-11	2009-03-18
GOLDMAN SACHS GROUP INC	P	2008-10-30	2009-03-18
GENENTECH INC	P	2005-12-12	2009-03-18
GENENTECH INC	P	2006-09-15	2009-03-18
GENENTECH INC	P	2007-06-28	2009-03-18
GENENTECH INC	P	2007-09-11	2009-03-18
GOOGLE INC-CL A	P	2005-07-26	2009-03-18
GOOGLE INC-CL A	P	2005-12-12	2009-03-18
JPMORGAN CHASE & CO	P	2008-01-15	2009-03-18
JPMORGAN CHASE & CO	P	2008-01-17	2009-03-18
JPMORGAN CHASE & CO	P	2008-09-26	2009-03-18
JACOBS ENGINEERING GROUP INC	P	2009-01-20	2009-03-18
MEDCO HEALTH SOLUTIONS INC	P	2007-09-11	2009-03-18
PROCTER & GAMBLE CO	P	2008-01-15	2009-03-18
PROCTER & GAMBLE CO	P	2008-09-10	2009-03-18
SCHWAB (CHARLES) CORP	P	2008-10-23	2009-03-18
SCHWAB (CHARLES) CORP	P	2009-01-23	2009-03-18
***TEVA PHARMACEUTICAL-SP ADR	P	2007-11-07	2009-03-18
BERNSTEIN EMERGING MARKETS	P	2006-09-19	2009-03-18
BERNSTEIN EMERGING MARKETS	P	2006-09-19	2009-03-18
***ROYAL DUTCH SHELL PLC-ADR	P	2007-09-17	2009-03-20
***ROYAL DUTCH SHELL PLC-ADR	P	2008-04-01	2009-03-20
TRAVELERS COS INC/THE	P	2007-06-26	2009-03-20
JPMORGAN CHASE & CO	P	2008-01-17	2009-03-24
MORGAN STANLEY	P	2007-08-09	2009-03-24
MONSANTO CO	P	2007-02-08	2009-03-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
815		2,455	-1,641
81		101	-20
81		101	-20
493		519	-27
940		2,176	-1,235
1,545		1,473	72
1,874		1,907	-32
469		395	73
937		757	180
2,718		2,257	461
676		589	86
676		826	-150
319		472	-153
346		528	-182
657		1,013	-355
618		695	-78
823		881	-58
483		697	-213
242		363	-121
506		626	-120
72		72	0
907		892	16
6,550		16,900	-10,350
		31	-31
227		405	-178
1,586		2,429	-843
994		1,323	-329
431		609	-178
617		1,557	-940
998		653	345

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-1,641
			-20
			-20
			-27
			-1,235
			72
			-32
			73
			180
			461
			86
			-150
			-153
			-182
			-355
			-78
			-58
			-213
			-121
			-120
			0
			16
			-10,350
			-31
			-178
			-843
			-329
			-178
			-940
			345

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
NVIDIA CORP	P	2008-09-04	2009-03-25
SCHLUMBERGER LTD	P	2006-02-22	2009-03-25
SCHLUMBERGER LTD	P	2007-02-05	2009-03-25
GENENTECH INC	P	2007-09-11	2009-03-26
GENENTECH INC	P	2008-10-03	2009-03-26
GENENTECH INC	P	2008-10-16	2009-03-26
GENENTECH INC	P	2008-11-18	2009-03-26
GENENTECH INC	P	2009-01-23	2009-03-26
PFIZER INC	P	2006-03-31	2009-03-26
PFIZER INC	P	2006-05-16	2009-03-26
BUNGE LTD	P	2008-11-07	2009-04-06
BUNGE LTD	P	2009-01-13	2009-04-06
BUNGE LTD	P	2009-01-13	2009-04-06
TIME WARNER INC	P		2009-04-06
TIME WARNER CABLE INC	P		2009-04-06
***NOKIA CORP-SPON ADR	P	2008-10-06	2009-04-06
SUPERVALU INC	P	2008-01-17	2009-04-06
ABBOTT LABORATORIES	P	2007-09-11	2009-04-08
ABBOTT LABORATORIES	P	2007-11-15	2009-04-08
COLGATE-PALMOLIVE CO	P	2007-07-16	2009-04-08
COLGATE-PALMOLIVE CO	P	2007-11-23	2009-04-08
***DEUTSCHE BANK AG-REGISTERED	P	2007-08-23	2009-04-08
***DEUTSCHE BANK AG-REGISTERED	P	2007-09-11	2009-04-08
***DEUTSCHE BANK AG-REGISTERED	P	2008-01-15	2009-04-08
HARTFORD FINANCIAL SVCS GRP	P	2008-01-15	2009-04-08
HARTFORD FINANCIAL SVCS GRP	P	2008-06-13	2009-04-08
HARTFORD FINANCIAL SVCS GRP	P	2008-07-03	2009-04-08
HARTFORD FINANCIAL SVCS GRP	P	2008-07-18	2009-04-08
TJX COMPANIES INC	P	2008-12-19	2009-04-08
TJX COMPANIES INC	P	2009-01-23	2009-04-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,010		1,149	-138
539		701	-162
359		523	-164
95		78	17
1,900		1,754	146
1,425		1,239	186
950		808	142
1,900		1,664	236
216		379	-163
577		997	-420
1,452		1,084	368
116		97	19
581		486	95
7			7
15			15
977		1,219	-242
736		1,403	-667
566		675	-109
218		273	-56
964		1,093	-129
542		711	-169
540		1,485	-945
315		872	-556
720		1,958	-1,238
155		1,251	-1,097
155		1,095	-940
155		974	-820
206		1,179	-973
2,246		1,760	486
132		100	32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-138
			-162
			-164
			17
			146
			186
			142
			236
			-163
			-420
			368
			19
			95
			7
			15
			-242
			-667
			-109
			-56
			-129
			-169
			-945
			-556
			-1,238
			-1,097
			-940
			-820
			-973
			486
			32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
WYETH	P	2008-08-26	2009-04-09
BUNGE LTD	P	2009-01-13	2009-04-13
BUNGE LTD	P	2009-01-23	2009-04-13
MORGAN STANLEY	P	2007-08-09	2009-04-13
MORGAN STANLEY	P	2007-09-05	2009-04-13
MORGAN STANLEY	P	2007-09-11	2009-04-13
METLIFE INC	P	2007-09-11	2009-04-13
METLIFE INC	P	2007-09-19	2009-04-13
J C PENNEY CO INC	P	2008-11-10	2009-04-13
LOCKHEED MARTIN CORP	P	2008-09-24	2009-04-14
LOCKHEED MARTIN CORP	P	2008-10-16	2009-04-14
ABBOTT LABORATORIES	P	2007-11-15	2009-04-16
COLGATE-PALMOLIVE CO	P	2007-11-23	2009-04-16
COLGATE-PALMOLIVE CO	P	2007-11-27	2009-04-16
GOOGLE INC-CL A	P	2006-08-03	2009-04-20
PROCTER & GAMBLE CO	P	2008-10-03	2009-04-20
GANNETT CO	P	2008-05-13	2009-04-21
GANNETT CO	P	2008-07-09	2009-04-21
MOLSON COORS BREWING CO -B	P	2008-12-04	2009-04-23
MOLSON COORS BREWING CO -B	P	2009-01-23	2009-04-23
***TOYOTA MOTOR CORP -SPON ADR	P	2008-05-29	2009-04-24
AUTOLIV INC	P	2007-03-16	2009-04-28
AUTOLIV INC	P	2008-12-17	2009-04-28
ABBOTT LABORATORIES	P	2007-11-15	2009-04-28
ABBOTT LABORATORIES	P	2008-01-17	2009-04-28
***COOPER INDUSTRIES LTD-CL A	P	2009-03-12	2009-04-28
THE WALT DISNEY CO	P	2008-10-16	2009-04-28
THE WALT DISNEY CO	P	2008-12-02	2009-04-28
MICROSOFT CORP	P	2008-01-31	2009-04-28
MICROSOFT CORP	P	2009-02-18	2009-04-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,903		1,904	-1
745		632	113
172		135	36
132		311	-179
264		626	-362
132		318	-187
570		1,275	-705
142		347	-205
528		405	123
661		975	-315
440		530	-90
850		1,093	-243
296		395	-99
827		1,105	-278
1,140		1,120	20
977		1,351	-375
340		3,366	-3,026
124		780	-656
546		617	-71
364		424	-60
1,608		1,979	-371
424		1,042	-619
635		578	57
216		273	-57
864		1,198	-334
641		465	176
487		588	-101
585		616	-32
703		1,125	-422
703		638	65

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-1
			113
			36
			-179
			-362
			-187
			-705
			-205
			123
			-315
			-90
			-243
			-99
			-278
			20
			-375
			-3,026
			-656
			-71
			-60
			-371
			-619
			57
			-57
			-334
			176
			-101
			-32
			-422
			65

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
MICROSOFT CORP	P	2009-03-25	2009-04-28
MOTOROLA INC	P	2008-06-18	2009-04-28
PHILIP MORRIS INTERNATIONAL	P	2005-01-06	2009-04-28
PHILIP MORRIS INTERNATIONAL	P	2007-02-27	2009-04-28
WAL-MART STORES INC	P	2008-07-22	2009-04-28
WAL-MART STORES INC	P	2008-10-01	2009-04-28
***ROYAL DUTCH SHELL PLC-ADR	P	2008-04-08	2009-04-29
TJX COMPANIES INC	P	2009-01-23	2009-04-29
PACCAR INC	P	2009-04-14	2009-04-30
BECTON DICKINSON & CO	P	2008-07-17	2009-05-01
BECTON DICKINSON & CO	P	2008-08-06	2009-05-01
COCA-COLA CO/THE	P	2008-01-15	2009-05-01
EOG RESOURCES INC	P	2007-10-08	2009-05-01
***ERICSSON (LM) TEL-SP ADR	P	2008-09-10	2009-05-01
***ERICSSON (LM) TEL-SP ADR	P	2008-10-20	2009-05-01
HEWLETT-PACKARD CO	P	2003-12-30	2009-05-01
HEWLETT-PACKARD CO	P	2006-12-21	2009-05-01
MICROSOFT CORP	P	2009-03-25	2009-05-01
MICROSOFT CORP	P	2009-03-31	2009-05-01
PHILIP MORRIS INTERNATIONAL	P	2006-06-16	2009-05-01
PHILIP MORRIS INTERNATIONAL	P	2007-06-04	2009-05-01
PHILIP MORRIS INTERNATIONAL	P	2007-08-09	2009-05-01
GILEAD SCIENCES INC	P	2005-06-14	2009-05-04
MCDONALD'S CORP	P	2007-02-27	2009-05-04
MCDONALD'S CORP	P	2008-03-13	2009-05-04
***TEVA PHARMACEUTICAL-SP ADR	P	2007-11-07	2009-05-04
***TEVA PHARMACEUTICAL-SP ADR	P	2008-01-15	2009-05-04
BECTON DICKINSON & CO	P	2008-08-06	2009-05-05
BECTON DICKINSON & CO	P	2008-10-16	2009-05-05
COLGATE-PALMOLIVE CO	P	2007-11-27	2009-05-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
502		450	52
691		1,084	-393
702		610	92
369		441	-72
731		866	-134
1,706		2,092	-386
691		1,089	-398
564		399	165
706		624	82
611		833	-223
366		506	-139
847		1,277	-430
727		843	-116
167		211	-45
666		635	31
896		568	328
358		410	-52
200		180	20
600		554	46
590		595	-5
553		744	-190
221		285	-63
671		328	343
526		452	74
158		161	-4
221		223	-2
663		704	-41
245		337	-92
612		702	-90
926		1,184	-258

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			52
			-393
			92
			-72
			-134
			-386
			-398
			165
			82
			-223
			-139
			-430
			-116
			-45
			31
			328
			-52
			20
			46
			-5
			-190
			-63
			343
			74
			-4
			-2
			-41
			-92
			-90
			-258

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
MOLSON COORS BREWING CO -B	P	2009-01-23	2009-05-05
GENERAL MILLS INC	P	2008-10-28	2009-05-06
GENERAL MILLS INC	P	2008-12-02	2009-05-06
GENERAL MILLS INC	P	2009-01-23	2009-05-06
HONEYWELL INTERNATIONAL INC	P	2009-01-20	2009-05-06
***ALCON INC	P	2007-09-11	2009-05-07
ALTRIA GROUP INC	P	2005-01-06	2009-05-07
ALTRIA GROUP INC	P	2006-06-16	2009-05-07
ALTRIA GROUP INC	P	2006-06-20	2009-05-07
ALTRIA GROUP INC	P	2007-02-27	2009-05-07
LOCKHEED MARTIN CORP	P	2008-10-16	2009-05-07
LOCKHEED MARTIN CORP	P	2009-01-28	2009-05-07
MICROSOFT CORP	P	2009-03-31	2009-05-07
NVIDIA CORP	P	2008-09-04	2009-05-07
NVIDIA CORP	P	2008-09-17	2009-05-07
PACCAR INC	P	2009-04-14	2009-05-07
QUALCOMM INC	P	2008-06-17	2009-05-07
QUALCOMM INC	P	2008-07-11	2009-05-07
***TEVA PHARMACEUTICAL-SP ADR	P	2008-01-15	2009-05-07
***TEVA PHARMACEUTICAL-SP ADR	P	2008-01-22	2009-05-07
JACOBS ENGINEERING GROUP INC	P	2009-01-20	2009-05-08
JACOBS ENGINEERING GROUP INC	P	2009-01-23	2009-05-08
PEPSICO INC	P	2003-12-29	2009-05-08
PEPSICO INC	P	2007-04-25	2009-05-08
COCA-COLA CO/THE	P	2008-01-15	2009-05-11
COCA-COLA CO/THE	P	2008-07-09	2009-05-11
BRISTOL-MYERS SQUIBB CO	P	2008-11-20	2009-05-12
PEPSICO INC	P	2007-04-25	2009-05-12
PEPSICO INC	P	2007-09-18	2009-05-12
TJX COMPANIES INC	P	2009-01-23	2009-05-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
631		636	-5
415		514	-98
467		556	-88
156		177	-22
657		652	5
963		1,381	-418
320		270	50
269		263	6
185		184	1
67		78	-11
238		265	-27
952		1,002	-50
676		646	30
164		172	-9
927		831	96
493		468	26
1,048		1,227	-180
210		240	-30
222		235	-13
443		464	-20
212		232	-20
636		591	46
593		561	32
396		535	-140
214		319	-105
428		514	-86
1,634		1,596	39
345		468	-124
148		211	-63
1,129		797	332

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-5
			-98
			-88
			-22
			5
			-418
			50
			6
			1
			-11
			-27
			-50
			30
			-9
			96
			26
			-180
			-30
			-13
			-20
			-20
			46
			32
			-140
			-105
			-86
			39
			-124
			-63
			332

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
DEVON ENERGY CORPORATION	P	2008-08-08	2009-05-15
HOME DEPOT INC	P	2008-10-17	2009-05-15
HOME DEPOT INC	P	2009-01-23	2009-05-15
PRUDENTIAL FINANCIAL INC	P	2009-01-23	2009-05-15
ABBOTT LABORATORIES	P	2008-01-17	2009-05-20
ABBOTT LABORATORIES	P	2008-05-16	2009-05-20
ALLSTATE CORP	P	2007-09-11	2009-05-20
CELGENE CORP	P	2007-09-12	2009-05-20
JACOBS ENGINEERING GROUP INC	P	2009-01-23	2009-05-20
VIACOM INC-CLASS B	P	2008-12-23	2009-05-20
SCHWAB (CHARLES) CORP	P	2009-01-23	2009-05-21
SCHWAB (CHARLES) CORP	P	2009-04-29	2009-05-21
GAP INC/THE	P	2009-01-23	2009-05-22
GAP INC/THE	P	2009-03-05	2009-05-22
CISCO SYSTEMS INC	P	2006-11-08	2009-05-26
CISCO SYSTEMS INC	P	2006-11-16	2009-05-26
CISCO SYSTEMS INC	P	2006-11-29	2009-05-26
METLIFE INC	P	2007-09-19	2009-05-27
METLIFE INC	P	2008-10-08	2009-05-27
WESTERN DIGITAL CORP	P	2008-05-19	2009-05-27
MONSANTO CO	P	2007-02-27	2009-05-28
MONSANTO CO	P	2007-09-11	2009-05-28
NETAPP INC	P	2009-04-20	2009-05-28
SAFEWAY INC	P	2008-05-29	2009-05-28
WESTERN DIGITAL CORP	P	2008-05-19	2009-05-28
WESTERN DIGITAL CORP	P	2008-05-30	2009-05-28
THE WALT DISNEY CO	P	2008-12-17	2009-05-29
WYETH	P	2008-08-26	2009-05-29
WYETH	P	2008-11-20	2009-05-29
***DEUTSCHE BANK AG-REGISTERED	P	2008-02-11	2009-06-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
672		994	-322
973		781	192
973		865	108
3,340		2,167	1,173
218		299	-82
654		823	-169
1,053		2,157	-1,104
1,008		1,739	-731
606		591	15
1,369		1,130	239
1,343		1,155	188
168		184	-17
1,473		1,080	393
736		456	280
925		1,252	-327
370		538	-168
185		270	-85
622		1,390	-767
622		530	92
1,143		1,585	-442
792		532	261
475		429	46
748		709	39
1,197		1,897	-700
123		176	-53
370		556	-186
960		940	20
223		212	12
893		674	218
695		1,094	-399

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-322
			192
			108
			1,173
			-82
			-169
			-1,104
			-731
			15
			239
			188
			-17
			393
			280
			-327
			-168
			-85
			-767
			92
			-442
			261
			46
			39
			-700
			-53
			-186
			20
			12
			218
			-399

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
***DEUTSCHE BANK AG-REGISTERED	P	2008-03-20	2009-06-01
EMERSON ELECTRIC CO	P	2007-05-29	2009-06-01
SCHWAB (CHARLES) CORP	P	2009-04-29	2009-06-01
FRANKLIN RESOURCES INC	P	2007-02-27	2009-06-04
FRANKLIN RESOURCES INC	P	2007-08-23	2009-06-04
FRANKLIN RESOURCES INC	P	2007-09-11	2009-06-04
HEWLETT-PACKARD CO	P	2006-12-21	2009-06-04
MONSANTO CO	P	2007-09-11	2009-06-04
PHILIP MORRIS INTERNATIONAL	P	2008-07-09	2009-06-12
***SANOFI-AVENTIS ADR	P	2008-12-19	2009-06-12
***SANOFI-AVENTIS ADR	P	2009-02-03	2009-06-12
MORGAN STANLEY	P	2007-09-11	2009-06-18
MORGAN STANLEY	P	2007-09-21	2009-06-18
MORGAN STANLEY	P	2007-11-02	2009-06-18
MORGAN STANLEY	P	2008-01-07	2009-06-18
COMCAST CORP-CL A	P	2009-01-23	2009-06-23
PHILIP MORRIS INTERNATIONAL	P	2007-02-27	2009-06-23
PHILIP MORRIS INTERNATIONAL	P	2007-09-11	2009-06-23
HEWLETT-PACKARD CO	P	2006-12-21	2009-06-24
HEWLETT-PACKARD CO	P	2007-02-27	2009-06-24
TRAVELERS COS INC/THE	P	2007-09-11	2009-06-24
TRAVELERS COS INC/THE	P	2007-09-11	2009-06-24
AMGEN INC	P	2008-07-02	2009-06-25
AMGEN INC	P	2008-07-09	2009-06-25
AMGEN INC	P	2008-11-07	2009-06-25
AMGEN INC	P	2009-01-23	2009-06-25
TYSON FOODS INC-CL A	P	2008-05-13	2009-06-25
MONSANTO CO	P	2007-09-11	2009-06-26
EOG RESOURCES INC	P	2007-12-18	2009-06-29
EOG RESOURCES INC	P	2008-01-07	2009-06-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
69		109	-40
667		949	-281
546		553	-7
436		714	-278
363		665	-302
800		1,380	-581
1,074		1,230	-157
1,476		1,287	189
651		804	-153
1,826		1,799	28
664		585	79
420		955	-536
420		961	-541
420		885	-466
140		244	-104
969		1,034	-65
415		441	-26
2,076		2,342	-266
1,126		1,230	-105
188		198	-10
206		251	-46
1,646		2,010	-364
311		293	18
779		769	9
467		518	-51
883		908	-26
1,001		1,436	-435
833		786	46
954		1,228	-274
750		970	-221

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-40
			-281
			-7
			-278
			-302
			-581
			-157
			189
			-153
			28
			79
			-536
			-541
			-466
			-104
			-65
			-26
			-266
			-105
			-10
			-46
			-364
			18
			9
			-51
			-26
			-435
			46
			-274
			-221

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
EOG RESOURCES INC	P	2008-03-03	2009-06-29
METLIFE INC	P	2008-10-08	2009-06-29
CARDINAL HEALTH INC	P	2008-04-25	2009-06-30
***NOKIA CORP-SPON ADR	P	2008-10-06	2009-07-02
***NOKIA CORP-SPON ADR	P	2008-11-20	2009-07-02
PROCTER & GAMBLE CO	P	2009-04-14	2009-07-08
ALLSTATE CORP	P	2007-09-11	2009-07-09
ALLSTATE CORP	P	2007-09-17	2009-07-09
CARDINAL HEALTH INC	P	2008-04-25	2009-07-09
EOG RESOURCES INC	P	2008-03-03	2009-07-09
EOG RESOURCES INC	P	2008-04-11	2009-07-09
EXXON MOBIL CORP	P	2007-03-19	2009-07-10
EXXON MOBIL CORP	P	2007-03-21	2009-07-10
AMGEN INC	P	2009-01-23	2009-07-13
AMGEN INC	P	2009-04-14	2009-07-13
CHEVRON CORP	P	2006-07-13	2009-07-13
CHEVRON CORP	P	2006-07-24	2009-07-13
CHEVRON CORP	P	2007-03-05	2009-07-13
CHEVRON CORP	P	2007-03-23	2009-07-13
CHEVRON CORP	P	2007-09-11	2009-07-13
EOG RESOURCES INC	P	2008-04-11	2009-07-13
EOG RESOURCES INC	P	2008-07-11	2009-07-13
EOG RESOURCES INC	P	2009-01-20	2009-07-13
APACHE CORP	P	2008-06-23	2009-07-16
APACHE CORP	P	2008-10-10	2009-07-16
HEWLETT-PACKARD CO	P	2007-02-27	2009-07-16
HEWLETT-PACKARD CO	P	2007-03-09	2009-07-16
HEWLETT-PACKARD CO	P	2007-09-11	2009-07-16
MCDONALD'S CORP	P	2008-03-13	2009-07-16
MCDONALD'S CORP	P	2008-10-09	2009-07-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
614		1,099	-485
1,200		1,060	140
926		1,578	-652
727		813	-86
291		260	31
1,154		1,045	109
356		809	-453
475		1,091	-616
894		1,578	-684
312		611	-298
500		1,026	-527
1,302		1,416	-114
325		362	-36
463		428	35
867		711	157
62		65	-3
803		853	-50
618		669	-51
618		736	-118
1,297		1,855	-557
126		257	-131
628		1,168	-540
503		498	5
371		712	-340
742		663	80
978		990	-12
978		1,008	-30
196		249	-53
970		915	56
856		819	37

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-485
			140
			-652
			-86
			31
			109
			-453
			-616
			-684
			-298
			-527
			-114
			-36
			35
			157
			-3
			-50
			-51
			-118
			-557
			-131
			-540
			5
			-340
			80
			-12
			-30
			-53
			56
			37

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
REGIONS FINANCIAL CORP	P	2009-05-20	2009-07-16
THE WALT DISNEY CO	P	2008-12-17	2009-07-20
***ROYAL DUTCH SHELL PLC-ADR	P	2008-04-08	2009-07-20
***ROYAL DUTCH SHELL PLC-ADR	P	2009-01-23	2009-07-20
***ROYAL DUTCH SHELL PLC-ADR	P	2009-02-10	2009-07-20
LIBERTY ENTERTAINMENT-CL A	P	2009-04-21	2009-07-22
ELI LILLY & CO	P	2009-03-26	2009-07-22
MONSANTO CO	P	2007-09-11	2009-07-22
MONSANTO CO	P	2007-10-29	2009-07-22
MONSANTO CO	P	2008-04-08	2009-07-22
LIBERTY ENTERTAINMENT-CL A	P	2009-04-21	2009-07-23
DU PONT (E I) DE NEMOURS	P	2009-04-17	2009-07-27
EASTMAN CHEMICAL COMPANY	P	2008-11-07	2009-07-27
EMERSON ELECTRIC CO	P	2008-10-23	2009-07-27
EMERSON ELECTRIC CO	P	2009-01-23	2009-07-27
PHILIP MORRIS INTERNATIONAL	P	2008-07-09	2009-07-27
THE WALT DISNEY CO	P	2009-01-23	2009-08-03
EOG RESOURCES INC	P	2009-01-20	2009-08-03
EOG RESOURCES INC	P	2009-01-23	2009-08-03
CISCO SYSTEMS INC	P	2006-11-29	2009-08-04
CISCO SYSTEMS INC	P	2007-01-23	2009-08-04
CISCO SYSTEMS INC	P	2007-02-27	2009-08-04
CISCO SYSTEMS INC	P	2007-03-09	2009-08-04
CISCO SYSTEMS INC	P	2007-09-11	2009-08-04
CISCO SYSTEMS INC	P	2007-09-11	2009-08-04
MCDONALD'S CORP	P	2008-10-09	2009-08-04
CARDINAL HEALTH INC	P	2008-05-13	2009-08-06
CARDINAL HEALTH INC	P	2008-12-02	2009-08-06
CARDINAL HEALTH INC	P	2009-03-19	2009-08-06
EMERSON ELECTRIC CO	P	2009-01-23	2009-08-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,147		1,100	47
872		823	49
256		363	-107
1,533		1,404	130
767		764	3
662		594	68
1,894		1,848	46
399		357	41
797		956	-158
478		715	-237
539		475	64
756		709	47
721		593	127
735		658	76
367		326	41
699		804	-104
893		714	179
154		124	29
538		443	95
447		541	-94
559		661	-102
1,117		1,326	-209
559		654	-95
112		160	-48
335		480	-144
1,375		1,365	10
987		1,663	-675
494		471	23
658		643	15
1,218		1,140	77

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			47
			49
			-107
			130
			3
			68
			46
			41
			-158
			-237
			64
			47
			127
			76
			41
			-104
			179
			29
			95
			-94
			-102
			-209
			-95
			-48
			-144
			10
			-675
			23
			15
			77

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
MONSANTO CO	P	2008-04-08	2009-08-06
MONSANTO CO	P	2008-09-10	2009-08-06
APACHE CORP	P	2009-01-20	2009-08-07
APACHE CORP	P	2009-01-23	2009-08-07
PROCTER & GAMBLE CO	P	2008-09-10	2009-08-07
PROCTER & GAMBLE CO	P	2008-10-03	2009-08-07
SAFEWAY INC	P	2008-05-29	2009-08-07
SAFEWAY INC	P	2008-09-05	2009-08-07
SAFEWAY INC	P	2008-11-20	2009-08-07
TYSON FOODS INC-CL A	P	2008-05-13	2009-08-07
TYSON FOODS INC-CL A	P	2008-12-22	2009-08-07
CHEVRON CORP	P	2007-09-11	2009-08-10
CHEVRON CORP	P	2008-07-28	2009-08-10
CHEVRON CORP	P	2009-01-23	2009-08-10
HARTFORD FINANCIAL SVCS GRP	P	2008-07-18	2009-08-12
HARTFORD FINANCIAL SVCS GRP	P	2009-01-23	2009-08-12
HARTFORD FINANCIAL SVCS GRP	P	2009-07-02	2009-08-12
***TAIWAN SEMICONDUCTOR MFG CO	P		2009-08-18
***COOPER INDUSTRIES LTD-CL A	P	2009-03-12	2009-08-18
EXXON MOBIL CORP	P	2007-03-21	2009-08-19
EXXON MOBIL CORP	P	2008-02-01	2009-08-19
GENWORTH FINANCIAL INC-CL A	P	2005-12-12	2009-08-19
GENWORTH FINANCIAL INC-CL A	P	2006-09-13	2009-08-19
GENWORTH FINANCIAL INC-CL A	P	2006-11-27	2009-08-19
GENWORTH FINANCIAL INC-CL A	P	2007-02-27	2009-08-19
GENWORTH FINANCIAL INC-CL A	P	2007-09-11	2009-08-19
EOG RESOURCES INC	P	2009-01-23	2009-08-20
EMERSON ELECTRIC CO	P	2009-01-23	2009-08-20
MONSANTO CO	P	2008-09-10	2009-08-24
***ACE LTD	P	2009-01-23	2009-08-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
420		596	-176
252		302	-49
786		663	123
87		73	14
260		363	-103
1,350		1,849	-499
278		474	-196
463		639	-175
927		957	-30
168		269	-101
897		637	260
1,662		2,119	-457
693		828	-135
69		70	-1
294		885	-590
883		606	278
1,079		629	451
4			4
1,102		788	314
1,011		1,085	-74
2,360		3,015	-655
118		504	-385
237		1,064	-827
118		494	-376
158		710	-553
552		2,021	-1,469
589		507	82
868		815	53
592		704	-112
757		661	96

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-176
			-49
			123
			14
			-103
			-499
			-196
			-175
			-30
			-101
			260
			-457
			-135
			-1
			-590
			278
			451
			4
			314
			-74
			-655
			-385
			-827
			-376
			-553
			-1,469
			82
			53
			-112
			96

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ALLSTATE CORP	P	2007-09-17	2009-08-26
ALLSTATE CORP	P	2008-03-07	2009-08-26
BAXTER INTERNATIONAL INC	P	2008-04-23	2009-08-26
METLIFE INC	P	2008-10-31	2009-08-26
***ERICSSON (LM) TEL-SP ADR	P	2008-10-20	2009-08-27
***ERICSSON (LM) TEL-SP ADR	P	2008-12-24	2009-08-27
NIKE INC -CL B	P	2008-05-02	2009-08-27
HEWLETT-PACKARD CO	P	2007-09-11	2009-08-28
EASTMAN CHEMICAL COMPANY	P	2008-11-07	2009-09-04
JPMORGAN CHASE & CO	P	2008-01-17	2009-09-04
JPMORGAN CHASE & CO	P	2008-03-13	2009-09-04
JPMORGAN CHASE & CO	P	2008-09-26	2009-09-04
BAXTER INTERNATIONAL INC	P	2008-10-03	2009-09-09
BAXTER INTERNATIONAL INC	P	2008-10-09	2009-09-09
TIME WARNER CABLE	P	2009-05-06	2009-09-09
PROCTER & GAMBLE CO	P	2008-10-30	2009-09-10
PROCTER & GAMBLE CO	P	2009-04-14	2009-09-10
GOLDMAN SACHS GROUP INC	P	2007-09-04	2009-09-11
JPMORGAN CHASE & CO	P	2008-09-26	2009-09-11
NUCOR CORP	P	2009-05-06	2009-09-11
ALTRIA GROUP INC	P	2007-02-27	2009-09-14
ALTRIA GROUP INC	P	2007-06-04	2009-09-14
ALTRIA GROUP INC	P	2007-08-09	2009-09-14
ALTRIA GROUP INC	P	2007-09-11	2009-09-14
ALTRIA GROUP INC	P	2008-08-14	2009-09-14
ALTRIA GROUP INC	P	2009-04-15	2009-09-14
COCA-COLA CO/THE	P	2008-07-09	2009-09-16
COCA-COLA CO/THE	P	2008-07-17	2009-09-16
EMERSON ELECTRIC CO	P	2009-01-23	2009-09-16
EMERSON ELECTRIC CO	P	2009-03-31	2009-09-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
288		545	-258
432		705	-274
1,425		1,519	-94
570		462	109
515		436	79
702		551	151
1,232		1,491	-259
1,345		1,493	-148
1,505		1,187	318
212		203	9
339		298	41
509		486	23
560		680	-120
168		183	-15
626		538	88
559		624	-66
56		48	8
1,223		1,266	-43
852		810	42
696		675	21
289		312	-24
271		329	-58
271		315	-44
902		1,036	-134
1,876		2,223	-347
902		834	68
262		257	4
785		761	24
405		326	79
405		285	120

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-258
			-274
			-94
			109
			79
			151
			-259
			-148
			318
			9
			41
			23
			-120
			-15
			88
			-66
			8
			-43
			42
			21
			-24
			-58
			-44
			-134
			-347
			68
			4
			24
			79
			120

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
FLUOR CORP	P	2007-11-23	2009-09-16
MEDCO HEALTH SOLUTIONS INC	P	2007-11-01	2009-09-16
MEDCO HEALTH SOLUTIONS INC	P	2008-01-15	2009-09-16
MCDONALD'S CORP	P	2008-10-09	2009-09-16
MCDONALD'S CORP	P	2008-11-18	2009-09-16
MICROSOFT CORP	P	2009-03-31	2009-09-16
APPLE INC	P	2006-08-03	2009-09-18
TEXTRON INC	P	2009-07-21	2009-09-18
***TOYOTA MOTOR CORP -SPON ADR	P	2009-05-07	2009-09-21
BERNSTEIN EMERGING MARKETS	P	2006-09-19	2009-09-21
BERNSTEIN EMERGING MARKETS	P	2006-12-12	2009-09-21
BERNSTEIN EMERGING MARKETS	P	2007-09-11	2009-09-21
BERNSTEIN INTERNATIONAL	P	2003-02-26	2009-09-21
BERNSTEIN INTERNATIONAL	P	2003-12-05	2009-09-21
BERNSTEIN INTERNATIONAL	P	2003-12-23	2009-09-21
BERNSTEIN INTERNATIONAL	P	2004-12-10	2009-09-21
BERNSTEIN INTERNATIONAL	P	2005-01-06	2009-09-21
BERNSTEIN INTERNATIONAL	P	2005-12-07	2009-09-21
BERNSTEIN INTERNATIONAL	P	2005-12-12	2009-09-21
ALTRIA GROUP INC	P	2009-07-09	2009-09-22
AT&T INC	P	2007-09-11	2009-09-22
AT&T INC	P	2007-09-17	2009-09-22
AT&T INC	P	2007-09-25	2009-09-22
AT&T INC	P	2007-10-30	2009-09-22
AK STEEL HOLDING CORP	P	2009-08-20	2009-09-22
ALLSTATE CORP	P	2008-03-07	2009-09-22
APACHE CORP	P	2008-06-23	2009-09-22
APACHE CORP	P	2008-06-23	2009-09-22
APACHE CORP	P	2008-07-24	2009-09-22
APACHE CORP	P	2009-01-23	2009-09-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
837		1,005	-169
1,115		972	143
279		254	24
831		819	12
388		386	2
753		554	199
1,483		552	931
1,069		591	478
1,322		1,312	10
15,133		22,820	-7,686
4,726		6,622	-1,896
18,340		32,756	-14,416
9,409		7,812	1,597
297		343	-47
22,983		26,900	-3,917
408		548	-140
14,142		19,500	-5,358
762		1,195	-433
13,499		21,579	-8,080
537		491	46
401		595	-195
935		1,413	-478
668		1,062	-394
668		1,037	-370
699		614	85
913		1,411	-498
93		142	-49
559		854	-295
1,210		1,410	-200
466		364	101

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-169
			143
			24
			12
			2
			199
			931
			478
			10
			-7,686
			-1,896
			-14,416
			1,597
			-47
			-3,917
			-140
			-5,358
			-433
			-8,080
			46
			-195
			-478
			-394
			-370
			85
			-498
			-49
			-295
			-200
			101

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
APPLE INC	P	2007-02-27	2009-09-22
ARCHER-DANIELS-MIDLAND CO	P	2009-01-06	2009-09-22
ARCHER-DANIELS-MIDLAND CO	P	2009-01-20	2009-09-22
BB&T CORP	P	2009-09-15	2009-09-22
BAXTER INTERNATIONAL INC	P	2008-10-09	2009-09-22
COSTCO WHOLESALE CORP	P	2008-01-31	2009-09-22
COSTCO WHOLESALE CORP	P	2008-02-27	2009-09-22
COSTCO WHOLESALE CORP	P	2008-02-27	2009-09-22
CHEVRON CORP	P	2009-01-23	2009-09-22
CBS CORP-CLASS B	P	2006-04-20	2009-09-22
CBS CORP-CLASS B	P	2006-06-28	2009-09-22
CME GROUP INC	P	2008-01-15	2009-09-22
CAPITAL ONE FINANCIAL CORP	P	2009-01-15	2009-09-22
CAPITAL ONE FINANCIAL CORP	P	2009-01-15	2009-09-22
CATERPILLAR INC	P	2009-05-27	2009-09-22
CELGENE CORP	P	2007-09-12	2009-09-22
CELGENE CORP	P	2007-11-15	2009-09-22
DEVON ENERGY CORPORATION	P	2008-08-08	2009-09-22
DEVON ENERGY CORPORATION	P	2008-09-24	2009-09-22
***DEUTSCHE BANK AG-REGISTERED	P	2008-03-20	2009-09-22
***DEUTSCHE BANK AG-REGISTERED	P	2008-06-13	2009-09-22
DELL INC	P	2009-07-15	2009-09-22
DANAHER CORP	P	2009-03-06	2009-09-22
DU PONT (E I) DE NEMOURS	P	2009-04-17	2009-09-22
DU PONT (E I) DE NEMOURS	P	2009-05-18	2009-09-22
EOG RESOURCES INC	P	2009-01-28	2009-09-22
EXXON MOBIL CORP	P	2008-02-27	2009-09-22
EXXON MOBIL CORP	P	2009-03-19	2009-09-22
ENSCO INTERNATIONAL INC	P	2009-05-18	2009-09-22
FREEPORT-MCMORAN COPPER	P	2009-05-05	2009-09-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,294		601	693
1,876		1,867	8
289		256	32
724		696	28
696		732	-35
1,059		1,220	-161
294		322	-27
118		129	-11
1,091		1,050	41
378		736	-357
252		530	-278
929		1,844	-916
1,860		1,243	618
1,488		994	494
1,889		1,233	656
1,365		1,739	-374
273		324	-51
1,357		1,717	-360
714		1,013	-298
698		982	-284
776		959	-184
632		491	140
682		500	183
510		426	85
340		280	60
834		692	142
1,752		2,240	-489
1,051		1,048	3
634		490	145
730		493	237

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			693
			8
			32
			28
			-35
			-161
			-27
			-11
			41
			-357
			-278
			-916
			618
			494
			656
			-374
			-51
			-360
			-298
			-284
			-184
			140
			183
			85
			60
			142
			-489
			3
			145
			237

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
GOLDMAN SACHS GROUP INC	P	2007-09-04	2009-09-22
GOLDMAN SACHS GROUP INC	P	2007-09-11	2009-09-22
GOLDMAN SACHS GROUP INC	P	2008-09-10	2009-09-22
GOLDMAN SACHS GROUP INC	P	2008-09-16	2009-09-22
GOOGLE INC-CL A	P	2006-08-03	2009-09-22
GOOGLE INC-CL A	P	2007-01-12	2009-09-22
GOOGLE INC-CL A	P	2007-02-27	2009-09-22
GILEAD SCIENCES INC	P	2005-06-14	2009-09-22
GILEAD SCIENCES INC	P	2006-02-22	2009-09-22
***INGERSOLL-RAND PLC	P	2009-06-09	2009-09-22
HEWLETT-PACKARD CO	P	2007-09-11	2009-09-22
HOME DEPOT INC	P	2009-01-23	2009-09-22
ILLINOIS TOOL WORKS	P	2009-05-27	2009-09-22
INTEL CORP	P	2008-09-04	2009-09-22
JPMORGAN CHASE & CO	P	2008-01-17	2009-09-22
JPMORGAN CHASE & CO	P	2008-03-13	2009-09-22
JPMORGAN CHASE & CO	P	2008-09-26	2009-09-22
JPMORGAN CHASE & CO	P	2008-09-26	2009-09-22
JPMORGAN CHASE & CO	P	2009-01-23	2009-09-22
KOHLS CORP	P	2008-08-14	2009-09-22
KOHLS CORP	P	2008-09-22	2009-09-22
ELI LILLY & CO	P	2009-03-26	2009-09-22
ELI LILLY & CO	P	2009-04-13	2009-09-22
LIMITED BRANDS INC	P	2009-01-09	2009-09-22
LOWE'S COS INC	P	2009-01-23	2009-09-22
LOWE'S COS INC	P	2009-03-03	2009-09-22
LOWE'S COS INC	P	2009-04-28	2009-09-22
METLIFE INC	P	2008-11-19	2009-09-22
MEDCO HEALTH SOLUTIONS INC	P	2008-01-15	2009-09-22
MASCO CORP	P	2009-05-29	2009-09-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
184		181	3
1,104		1,106	-3
1,839		1,589	250
920		650	269
501		373	128
501		502	-1
1,502		1,363	140
230		109	121
1,380		914	466
1,437		1,022	416
707		746	-39
837		649	188
875		671	203
1,279		1,379	-101
781		690	91
322		261	61
1,056		932	125
322		284	38
505		252	254
1,110		962	148
277		244	34
164		168	-4
2,129		2,115	14
603		336	268
639		599	40
234		155	80
426		414	11
591		294	297
555		509	46
1,640		1,226	414

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3
			-3
			250
			269
			128
			-1
			140
			121
			466
			416
			-39
			188
			203
			-101
			91
			61
			125
			38
			254
			148
			34
			-4
			14
			268
			40
			80
			11
			297
			46
			414

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MERCK & CO INC	P	2005-12-12	2009-09-22
MERCK & CO INC	P	2006-11-24	2009-09-22
MERCK & CO INC	P	2008-03-13	2009-09-22
MERCK & CO INC	P	2008-04-08	2009-09-22
MERCK & CO INC	P	2008-05-29	2009-09-22
MERCK & CO INC	P	2008-05-29	2009-09-22
MERCK & CO INC	P	2008-06-19	2009-09-22
MOTOROLA INC	P	2008-06-18	2009-09-22
MOTOROLA INC	P	2008-12-04	2009-09-22
MOTOROLA INC	P	2009-03-12	2009-09-22
***NEXEN INC	P	2009-05-27	2009-09-22
NEWS CORP	P	2008-12-01	2009-09-22
NEWS CORP	P	2008-12-01	2009-09-22
NEWS CORP	P	2008-12-03	2009-09-22
NVR INC	P	2009-07-08	2009-09-22
OCCIDENTAL PETROLEUM CORP	P	2009-01-28	2009-09-22
OCCIDENTAL PETROLEUM CORP	P	2009-01-28	2009-09-22
J C PENNEY CO INC	P	2008-11-10	2009-09-22
PEPSICO INC	P	2007-09-18	2009-09-22
PEPSICO INC	P	2007-09-25	2009-09-22
PROCTER & GAMBLE CO	P	2009-04-14	2009-09-22
QUALCOMM INC	P	2008-07-11	2009-09-22
RRI ENERGY INC	P	2008-09-03	2009-09-22
RRI ENERGY INC	P	2009-05-18	2009-09-22
SCHLUMBERGER LTD	P	2007-02-27	2009-09-22
SCHLUMBERGER LTD	P	2007-06-19	2009-09-22
SPRINT NEXTEL CORP	P	2007-09-19	2009-09-22
SPRINT NEXTEL CORP	P	2008-01-15	2009-09-22
TARGET CORP	P	2009-04-20	2009-09-22
TARGET CORP	P	2009-04-20	2009-09-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
785		710	75
314		442	-128
628		842	-214
1,413		1,844	-431
316		391	-76
471		587	-116
1,610		1,818	-209
442		434	9
2,875		1,410	1,465
221		88	134
958		914	45
858		501	357
617		358	259
1,295		795	501
664		481	183
1,815		1,360	454
789		591	198
680		405	274
705		844	-139
764		926	-162
860		713	147
1,111		1,199	-88
593		1,345	-752
35		23	12
614		633	-19
307		428	-121
769		3,172	-2,403
550		1,559	-1,009
485		396	88
242		198	44

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			75
			-128
			-214
			-431
			-76
			-116
			-209
			9
			1,465
			134
			45
			357
			259
			501
			183
			454
			198
			274
			-139
			-162
			147
			-88
			-752
			12
			-19
			-121
			-2,403
			-1,009
			88
			44

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
***TEVA PHARMACEUTICAL-SP ADR	P	2008-01-22	2009-09-22
VALERO ENERGY CORP	P	2009-07-21	2009-09-22
VISA INC - CLASS A SHRS	P	2009-04-30	2009-09-22
***VODAFONE GROUP PLC-SP ADR	P	2009-04-28	2009-09-22
WAL-MART STORES INC	P	2008-10-01	2009-09-22
WAL-MART STORES INC	P	2008-10-16	2009-09-22
XL CAPITAL LTD -CLASS A	P	2005-12-12	2009-09-22
XL CAPITAL LTD -CLASS A	P	2008-08-28	2009-09-22
APACHE CORP	P	2009-01-23	2009-09-28
***BP PLC-SPONS ADR	P	2008-01-23	2009-10-05
***BP PLC-SPONS ADR	P	2008-01-28	2009-10-05
***BP PLC-SPONS ADR	P	2009-01-23	2009-10-05
HEWLETT-PACKARD CO	P	2007-10-02	2009-10-05
VISA INC - CLASS A SHRS	P	2009-04-30	2009-10-06
VISA INC - CLASS A SHRS	P	2009-05-06	2009-10-06
LOWE'S COS INC	P	2009-04-28	2009-10-08
AMERICAN TOWER CORP-CL A	P	2009-07-10	2009-10-09
CATERPILLAR INC	P	2009-07-14	2009-10-09
JPMORGAN CHASE & CO	P	2009-01-23	2009-10-09
MCDONALD'S CORP	P	2008-11-18	2009-10-12
MCDONALD'S CORP	P	2009-01-28	2009-10-12
APACHE CORP	P	2009-01-23	2009-10-13
APACHE CORP	P	2009-05-29	2009-10-13
GILEAD SCIENCES INC	P	2006-02-22	2009-10-15
GILEAD SCIENCES INC	P	2006-08-03	2009-10-15
GOOGLE INC-CL A	P	2007-02-27	2009-10-19
MEDCO HEALTH SOLUTIONS INC	P	2008-01-15	2009-10-21
MEDCO HEALTH SOLUTIONS INC	P	2008-04-24	2009-10-21
APACHE CORP	P	2009-05-29	2009-10-22
EMERSON ELECTRIC CO	P	2009-03-31	2009-10-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,029		927	102
1,556		1,341	215
893		805	88
583		452	131
513		598	-85
513		504	9
340		1,339	-999
426		501	-76
465		364	101
769		918	-149
1,025		1,263	-238
769		606	163
1,139		1,274	-134
340		335	5
476		468	8
625		622	4
1,465		1,225	239
1,313		788	525
2,263		1,144	1,119
170		166	5
1,136		1,185	-49
292		219	74
584		503	81
466		305	161
233		155	78
1,102		908	194
851		763	88
284		251	32
608		503	104
600		428	172

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			102
			215
			88
			131
			-85
			9
			-999
			-76
			101
			-149
			-238
			163
			-134
			5
			8
			4
			239
			525
			1,119
			5
			-49
			74
			81
			161
			78
			194
			88
			32
			104
			172

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
***TEVA PHARMACEUTICAL-SP ADR	P	2008-01-22	2009-10-22
***TEVA PHARMACEUTICAL-SP ADR	P	2008-04-18	2009-10-22
FREEPORT-MCMORAN COPPER	P	2009-05-05	2009-10-23
CHEVRON CORP	P	2009-01-23	2009-10-27
LIMITED BRANDS INC	P	2009-01-09	2009-10-28
EOG RESOURCES INC	P	2009-01-28	2009-10-29
EOG RESOURCES INC	P	2009-01-29	2009-10-29
EOG RESOURCES INC	P	2009-01-30	2009-10-29
SCHLUMBERGER LTD	P	2007-06-19	2009-11-02
DU PONT (E I) DE NEMOURS	P	2009-05-18	2009-11-03
***GLAXOSMITHKLINE PLC-SPON AD	P	2009-01-13	2009-11-03
***GLAXOSMITHKLINE PLC-SPON AD	P	2009-01-13	2009-11-04
SCHERING-PLOUGH CORP	P	2008-04-28	2009-11-04
SCHERING-PLOUGH CORP	P	2009-03-24	2009-11-04
SCHERING-PLOUGH CORP	P	2009-04-30	2009-11-04
SCHERING-PLOUGH CORP	P	2009-05-21	2009-11-04
UNION PACIFIC CORP	P	2009-05-05	2009-11-04
ALTERA CORPORATION	P	2009-07-17	2009-11-05
***GLAXOSMITHKLINE PLC-SPON AD	P	2009-01-13	2009-11-05
***GLAXOSMITHKLINE PLC-SPON AD	P	2009-01-23	2009-11-05
COLGATE-PALMOLIVE CO	P	2007-11-27	2009-11-06
COLGATE-PALMOLIVE CO	P	2009-01-23	2009-11-06
COLGATE-PALMOLIVE CO	P	2009-01-28	2009-11-06
AETNA INC	P	2009-09-09	2009-11-11
CBS CORP-CLASS B	P	2006-06-28	2009-11-11
CBS CORP-CLASS B	P	2007-09-11	2009-11-11
***TAIWAN SEMICONDUCTOR-SP ADR	P	2009-05-13	2009-11-11
***TAIWAN SEMICONDUCTOR-SP ADR	P	2009-08-26	2009-11-11
ALLSTATE CORP	P	2009-01-23	2009-11-13
ALLSTATE CORP	P	2009-02-10	2009-11-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
760		696	64
405		376	30
2,481		1,479	1,002
761		700	61
1,038		575	463
256		208	48
683		550	133
512		410	102
622		857	-235
803		700	103
800		754	46
201		189	12
840		634	206
525		514	11
525		488	37
473		449	24
775		680	95
1,303		1,125	178
806		754	52
605		508	97
80		79	1
800		618	182
800		630	170
594		580	14
261		530	-269
719		1,698	-979
768		755	13
666		692	-26
578		526	52
722		546	177

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			64
			30
			1,002
			61
			463
			48
			133
			102
			-235
			103
			46
			12
			206
			11
			37
			24
			95
			178
			52
			97
			1
			182
			170
			14
			-269
			-979
			13
			-26
			52
			177

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
MERCK & CO INC	P		2009-11-13
CISCO SYSTEMS INC	P	2007-09-11	2009-11-13
METLIFE INC	P	2008-11-19	2009-11-13
METLIFE INC	P	2009-01-20	2009-11-13
PROCTER & GAMBLE CO	P	2009-04-14	2009-11-13
APACHE CORP	P	2008-07-24	2009-11-16
APACHE CORP	P	2008-07-29	2009-11-16
LINCOLN NATIONAL CORP	P	2009-02-17	2009-11-18
CELGENE CORP	P	2007-11-15	2009-11-19
CELGENE CORP	P	2008-01-15	2009-11-19
UNITED TECHNOLOGIES CORP	P	2009-09-14	2009-11-19
US BANCORP	P	2009-05-13	2009-11-23
BERNSTEIN EMERGING MARKETS	P	2007-09-11	2009-11-23
BERNSTEIN EMERGING MARKETS	P	2007-12-11	2009-11-23
BERNSTEIN INTERNATIONAL	P	2005-12-12	2009-11-23
BERNSTEIN INTERNATIONAL	P	2006-12-12	2009-11-23
BERNSTEIN INTERNATIONAL	P	2007-02-27	2009-11-23
BERNSTEIN INTERNATIONAL	P	2007-09-11	2009-11-23
AMAZON COM INC	P	2009-05-21	2009-11-24
AETNA INC	P	2009-09-09	2009-11-24
***ALCON INC	P	2007-09-11	2009-11-24
***ALCON INC	P	2008-01-15	2009-11-24
ALTRIA GROUP INC	P	2009-07-09	2009-11-24
ALTRIA GROUP INC	P	2009-07-10	2009-11-24
AT&T INC	P	2007-11-07	2009-11-24
AT&T INC	P	2008-01-15	2009-11-24
***ARCELORMITTAL-NY REGISTERED	P	2009-05-29	2009-11-24
***ARCELORMITTAL-NY REGISTERED	P	2009-08-05	2009-11-24
ALLSTATE CORP	P	2009-02-10	2009-11-24
APPLE INC	P	2007-02-27	2009-11-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
25			25
942		1,279	-337
343		196	147
514		394	120
1,419		1,093	326
1,002		1,085	-82
1,504		1,609	-106
1,750		920	830
545		647	-103
817		804	14
1,422		1,290	132
1,180		877	303
7,522		12,394	-4,872
4,728		6,823	-2,095
1,956		3,021	-1,064
9,945		16,405	-6,459
9,425		15,850	-6,425
5,323		9,401	-4,077
1,862		1,065	797
594		580	14
148		138	10
591		591	1
388		327	61
194		164	29
1,357		1,967	-610
950		1,327	-377
981		823	158
392		379	14
729		546	184
3,074		1,288	1,785

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			25
			-337
			147
			120
			326
			-82
			-106
			830
			-103
			14
			132
			303
			-4,872
			-2,095
			-1,064
			-6,459
			-6,425
			-4,077
			797
			14
			10
			1
			61
			29
			-610
			-377
			158
			14
			184
			1,785

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
APPLE INC	P	2007-11-15	2009-11-24
BANK OF AMERICA CORP	P	2009-08-31	2009-11-24
BAXTER INTERNATIONAL INC	P	2008-10-09	2009-11-24
***CREDIT SUISSE GROUP-SPON AD	P	2009-05-11	2009-11-24
CBS CORP-CLASS B	P	2007-09-11	2009-11-24
CBS CORP-CLASS B	P	2008-01-15	2009-11-24
CME GROUP INC	P	2008-03-05	2009-11-24
CATERPILLAR INC	P	2009-07-14	2009-11-24
CISCO SYSTEMS INC	P	2007-09-11	2009-11-24
DELL INC	P	2009-07-15	2009-11-24
THE WALT DISNEY CO	P	2009-01-23	2009-11-24
THE WALT DISNEY CO	P	2009-03-31	2009-11-24
DU PONT (E I) DE NEMOURS	P	2009-05-18	2009-11-24
DU PONT (E I) DE NEMOURS	P	2009-05-28	2009-11-24
EOG RESOURCES INC	P	2009-01-30	2009-11-24
EOG RESOURCES INC	P	2009-03-03	2009-11-24
FORD MOTOR CO	P	2009-11-06	2009-11-24
FREEPORT-MCMORAN COPPER	P	2009-05-05	2009-11-24
GOLDMAN SACHS GROUP INC	P	2008-10-30	2009-11-24
GOLDMAN SACHS GROUP INC	P	2008-12-02	2009-11-24
GOOGLE INC-CL A	P	2007-03-20	2009-11-24
GOOGLE INC-CL A	P	2007-09-11	2009-11-24
HEWLETT-PACKARD CO	P	2008-01-15	2009-11-24
ILLINOIS TOOL WORKS	P	2009-06-29	2009-11-24
INTEL CORP	P	2009-04-28	2009-11-24
JPMORGAN CHASE & CO	P	2008-09-26	2009-11-24
JPMORGAN CHASE & CO	P	2008-10-30	2009-11-24
JPMORGAN CHASE & CO	P	2009-01-23	2009-11-24
KOHLS CORP	P	2008-09-22	2009-11-24
KROGER CO	P	2008-12-04	2009-11-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,025		830	194
1,134		1,239	-104
1,106		1,219	-113
821		616	206
459		1,080	-622
131		237	-106
642		1,017	-375
582		315	266
833		1,119	-286
715		614	101
302		204	98
453		271	182
347		280	67
521		414	107
265		205	60
884		483	402
528		457	71
856		493	363
171		98	73
1,198		449	750
1,167		891	276
1,750		1,559	191
1,259		1,130	129
740		569	171
1,169		907	262
976		932	45
637		554	82
637		343	293
807		731	76
803		946	-143

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			194
			-104
			-113
			206
			-622
			-106
			-375
			266
			-286
			101
			98
			182
			67
			107
			60
			402
			71
			363
			73
			750
			276
			191
			129
			171
			262
			45
			82
			293
			76
			-143

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
LOWE'S COS INC	P	2009-04-29	2009-11-24
MEDCO HEALTH SOLUTIONS INC	P	2008-04-24	2009-11-24
MERCK & CO INC	P	2008-04-28	2009-11-24
MERCK & CO INC	P	2008-06-19	2009-11-24
***NEXEN INC	P	2009-05-27	2009-11-24
NEWS CORP	P	2009-01-14	2009-11-24
OCCIDENTAL PETROLEUM CORP	P	2009-06-29	2009-11-24
***PETROLEO BRASILEIRO S A -AD	P	2009-05-29	2009-11-24
PEPSICO INC	P	2007-11-23	2009-11-24
PFIZER INC	P	2006-05-16	2009-11-24
PFIZER INC	P	2006-06-13	2009-11-24
QUALCOMM INC	P	2008-07-22	2009-11-24
***RIO TINTO PLC-SPON ADR	P	2009-09-17	2009-11-24
***RIO TINTO PLC-SPON ADR	P	2009-09-17	2009-11-24
SCHLUMBERGER LTD	P	2007-08-15	2009-11-24
SCHLUMBERGER LTD	P	2007-09-11	2009-11-24
TRAVELERS COS INC/THE	P	2007-11-19	2009-11-24
TIME WARNER INC	P	2004-06-28	2009-11-24
TIME WARNER INC	P	2005-01-06	2009-11-24
TIME WARNER INC	P	2007-09-11	2009-11-24
TIME WARNER INC	P	2008-05-16	2009-11-24
***TEVA PHARMACEUTICAL-SP ADR	P	2008-04-18	2009-11-24
US BANCORP	P	2009-05-13	2009-11-24
UNION PACIFIC CORP	P	2009-05-05	2009-11-24
VISA INC - CLASS A SHRS	P	2009-05-06	2009-11-24
WAL-MART STORES INC	P	2008-10-16	2009-11-24
WESTERN DIGITAL CORP	P	2008-05-30	2009-11-24
WESTERN DIGITAL CORP	P	2008-08-25	2009-11-24
***PETROLEO BRASILEIRO-SPON AD	P	2009-09-25	2009-11-25
OCCIDENTAL PETROLEUM CORP	P	2009-01-28	2009-12-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,121		1,110	12
945		754	191
1,750		864	886
182		178	4
601		571	30
657		450	207
1,074		860	214
1,027		882	145
625		752	-127
184		249	-65
645		814	-169
914		909	5
630		540	90
1,261		1,080	181
654		889	-235
654		991	-337
796		772	24
157		65	92
1,039		1,398	-359
724		942	-218
441		518	-77
533		469	63
593		438	155
643		523	121
645		535	109
822		756	66
943		927	16
189		140	48
694		569	125
164		118	46

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			12
			191
			886
			4
			30
			207
			214
			145
			-127
			-65
			-169
			5
			90
			181
			-235
			-337
			24
			92
			-359
			-218
			-77
			63
			155
			121
			109
			66
			16
			48
			125
			46

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
OCCIDENTAL PETROLEUM CORP	P	2009-06-29	2009-12-01
TEXTRON INC	P	2009-07-21	2009-12-01
GILEAD SCIENCES INC	P	2006-08-03	2009-12-02
VIACOM INC-CLASS B	P	2008-12-23	2009-12-02
VIACOM INC-CLASS B	P	2009-03-12	2009-12-02
VIACOM INC-CLASS B	P	2009-03-12	2009-12-02
CATERPILLAR INC	P	2009-07-14	2009-12-08
CATERPILLAR INC	P	2009-07-28	2009-12-08
LOWE'S COS INC	P	2009-01-23	2009-12-08
METLIFE INC	P	2009-01-20	2009-12-15
METLIFE INC	P	2009-01-22	2009-12-15
PROCTER & GAMBLE CO	P	2009-04-14	2009-12-15
***ACE LTD	P	2009-01-23	2009-12-16
***ACE LTD	P	2009-04-17	2009-12-16
AOL INC	P		2009-12-16
BLACK & DECKER CORP	P	2009-09-02	2009-12-16
DANAHER CORP	P	2009-03-06	2009-12-16
TIME WARNER INC	P	2008-05-16	2009-12-16
LOWE'S COS INC	P	2009-05-27	2009-12-18
TARGET CORP	P	2009-04-20	2009-12-22
TARGET CORP	P	2009-04-29	2009-12-22
***ARCELORMITTAL-NY REGISTERED	P	2009-08-05	2009-12-24
***COOPER INDUSTRIES PLC-CL A	P	2009-03-12	2009-12-30
INTEL CORP	P	2009-06-12	2009-12-29
CENTEX CORP	P	2008-05-20	2009-01-06
TECH DATA CORP	P	2003-12-29	2009-01-08
BECTON DICKINSON & CO	P	2008-04-15	2009-01-13
BECTON DICKINSON & CO	P	2008-07-17	2009-01-13
CME GROUP INC	P	2007-02-27	2009-01-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
822		662	160
614		323	292
1,069		712	357
300		174	126
1,650		797	853
600		290	310
284		158	126
1,135		856	279
544		479	65
552		394	157
736		498	238
1,182		903	279
493		441	52
49		46	4
5			5
1,939		1,268	671
1,095		749	345
452		517	-65
590		493	97
487		396	91
243		204	39
902		757	145
1,072		582	490
814		648	166
916		1,674	-758
191		403	-212
1,299		1,512	-213
144		167	-22
173		522	-349

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			160
			292
			357
			126
			853
			310
			126
			279
			65
			157
			238
			279
			52
			4
			5
			671
			345
			-65
			97
			91
			39
			145
			490
			166
			-758
			-212
			-213
			-22
			-349

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Bucknell University701 Moore Avenue Lewisburg, PA 178372030	None	501(c)(3)	To help further charitable purposes of organization	250
Ron Burton Training VillagePO Box 252 Hubbardstown, MA 01452	None	501(c)(3)	To help further charitable purposes of organization	2,500
Concord Art Association37 Lexington Road Concord, MA 01742	None	501(c)(3)	To help further charitable purposes of organization	5,000
Cranberry Cup Charitable Association 950 Winter ST Suite 3200 Waltham, MA 02451	None	501(c)(3)	To help further charitable purposes of organization	2,000
Drumlin Farm Wildlife Sanctuary co Mass Audobon Society208 South Great Road Lincoln, MA 01773	None	501(c)(3)	To help further charitable purposes of organization	10,000
Epiphany School154 Centre Street Dorchester, MA 02124	None	501(c)(3)	To help further charitable purposes of organization	2,500
Foundation Fighting Blindness265 Willard Street Quincy, MA 02169	None	501(c)(3)	To help further charitable purposes of organization	4,600
Groton SchoolPO Box 991 Groton, MA 01450	None	501(c)(3)	To help further charitable purposes of organization	5,100
Kellogg School of Management-Northwestern University2001 Sheridan Road Evanston, IL 60208	None	501(c)(3)	To help further charitable purposes of organization	19,250
Nantucket Conservation Foundation PO Box 13 Nantucket, MA 025540013	None	501(c)(3)	To help further charitable purposes of organization	3,000
Nantucket Ice3 Hinckley Lane Nantucket, MA 025540013	None	501(c)(3)	To help further charitable purposes of organization	1,000
On the Rise Inc341 Broadway Cambridge, MA 02139	None	501(c)(3)	To help further charitable purposes of organization	200
One Percent for the PlanetPO Box 650 Waitsfield, VT 05673	None	501(c)(3)	To help further charitable purposes of organization	500
Emerson Health Care133 Old Road to Nine Acre Corner Concord, MA 01742	None	501(c)(3)	To help further charitable purposes of organization	200
Pingree School537 Highland Street South Hamilton, MA 019821399	None	501(c)(3)	To help further charitable purposes of organization	150
Total . . . . ▶ **3a**				57,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Trout Unlimited1300 N 17th Street Suite 500 Arlington, VA 22209	None	501(c)(3)	To help further charitable purposes of organization	150
University of Vermont97 Speer Street Patrick Gymnasium Burlington, VT 05405	None	501(c)(3)	To help further charitable purposes of organization	1,500
Total . . . . ▶ **3a**				57,900

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TY 2009 Accounting Fees Schedule

Name: Zachary and Lindsey Gund Foundation

EIN: 01-0752786

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Gund Investment Corp	11,000	11,000		0

TY 2009 Investments Corporate Stock Schedule

Name: Zachary and Lindsey Gund Foundation

EIN: 01-0752786

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Sanford Bernstein,Custodian	984,308	982,983

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TY 2009 Other Expenses Schedule

Name: Zachary and Lindsey Gund Foundation

EIN: 01-0752786

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Sanford Bernstein Management Fee	7,318	7,318		0
Bank Fees	27	27		0

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491119007020

TY 2009 Other Increases Schedule

Name: Zachary and Lindsey Gund Foundation

EIN: 01-0752786

Description	Amount
PRIOR YEAR ADJUSTMENT-Reversed	109

TY 2009 Substantial Contributors Schedule

Name: Zachary and Lindsey Gund Foundation

EIN: 01-0752786

Name	Address
Gordon & Llura Gund CLT #4	14 Nassau Street Princeton, NJ 08542