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Form **990-EZ**

Department of the Treasury
Internal Revenue Service

Short Form
Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ Sponsoring organizations of donor advised funds and controlling organizations as defined in section 512(b)(13) must file Form 990. All other organizations with gross receipts less than $500,000 and total assets less than $1,250,000 at the end of the year may use this form.

▶ *The organization may have to use a copy of this return to satisfy state reporting requirements.*

OMB No. 1545-1150

2009

Open to Public Inspection

A For the 2009 calendar year, or tax year beginning January 1 , 2009, and ending December 31 , 20 09

B Check if applicable:	C Name of organization	D Employer identification number
☐ Address change	Maine Harness Horseman's Association (MHHA)	01-0377632
☐ Name change	Number and street (or P O. box, if mail is not delivered to street address) / Room/suite	E Telephone number
☐ Initial return	P.O. Box 436	207-623-6442
☐ Terminated	City or town, state or country, and ZIP + 4	F Group Exemption Number ▶
☐ Amended return	Augusta, ME 04332-0436	
☐ Application pending		

Please use IRS label or print or type. See Specific Instructions.

• *Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).*

G Accounting Method: ☑ Cash ☐ Accrual Other (specify) ▶

H Check ▶ ☐ if the organization is **not** required to attach Schedule B (Form 990, 990-EZ, or 990-PF).

I Website: ▶ www.meharness.com

J Tax-exempt status (check only one) — ☑ 501(c) (6) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

K Check ▶ ☐ if the organization is not a section 509(a)(3) supporting organization **and** its gross receipts are normally **not** more than $25,000. A Form 990-EZ or Form 990 return is not required, but if the organization chooses to file a return, be sure to file a complete return

L Add lines 5b, 6b, and 7b, to line 9 to determine gross receipts; if $500,000 or more, file Form 990 instead of Form 990-EZ ▶ $

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See the instructions for Part I.)

Revenue	1	Contributions, gifts, grants, and similar amounts received			1	
	2	Program service revenue including government fees and contracts			2	126,047
	3	Membership dues and assessments			3	40,850
	4	Investment income			4	
	5a	Gross amount from sale of assets other than inventory	5a	0		
	b	Less: cost or other basis and sales expenses	5b	0		
	c	Gain or (loss) from sale of assets other than inventory (Subtract line 5b from line 5a)			5c	0
	6	Special events and activities (complete applicable parts of Schedule G). If any amount is from gaming, check here ▶ ☐				
	a	Gross revenue (not including $ ________ of contributions reported on line 1)	6a	0		
	b	Less: direct expenses other than fundraising expenses	6b	0		
	c	Net income or (loss) from special events and activities (Subtract line 6b from line 6a)			6c	0
	7a	Gross sales of inventory, less returns and allowances	7a	0		
	b	Less: cost of goods sold	7b	0		
	c	Gross profit or (loss) from sales of inventory (Subtract line 7b from line 7a)			7c	
	8	Other revenue (describe ▶ Annual Banquet and Yearbook)			8	6,950
	9	**Total revenue.** Add lines 1, 2, 3, 4, 5c, 6c, 7c, and 8 ▶			9	173,847
Expenses	10	Grants and similar amounts paid (attach schedule)			10	
	11	Benefits paid to or for members			11	59,831
	12	Salaries, other compensation, and employee benefits			12	74,643
	13	Professional fees and other payments to independent contractors			13	11,361
	14	Occupancy, rent, utilities, and maintenance			14	26,346
	15	Printing, publications, postage, and shipping			15	37,005
	16	Other expenses (describe ▶)			16	
	17	**Total expenses.** Add lines 10 through 16 ▶			17	209,186
Net Assets	18	Excess or (deficit) for the year (Subtract line 17 from line 9)			18	(35,339)
	19	Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)			19	81,711
	20	Other changes in net assets or fund balances (attach explanation)			20	23,360
	21	Net assets or fund balances at end of year. Combine lines 18 through 20 ▶			21	69,732

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Part II Balance Sheets. If Total assets on line 25, column (B) are $1,250,000 or more, file Form 990 instead of Form 990-EZ.

	(See the instructions for Part II.)	(A) Beginning of year		(B) End of year
22	Cash, savings, and investments	69,527	22	75,488
23	Land and buildings	0	23	0
24	Other assets (describe ▶ Office Furniture)	12,184	24	12,184
25	**Total assets**	81,711	25	87,672
26	**Total liabilities** (describe ▶ Accounts Payable-2009 Operating Expenses)	0	26	17,940
27	**Net assets or fund balances** (line 27 of column (B) **must** agree with line 21)	81,711	27	69,732

SCANNED SEP 21 2010

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Part III **Statement of Program Service Accomplishments** (See the instructions for Part III.)

What is the organization's primary exempt purpose? **See By Laws attached.**

Describe what was achieved in carrying out the organization's exempt purposes. In a clear and concise manner, describe the services provided, the number of persons benefited, and other relevant information for each program title.

Expenses (Required for section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts, optional for others.)

28	**Promote the welfare of Harness Racing in Maine. Also, see By Laws attached.**		
	(Grants $ **None**) If this amount includes foreign grants, check here . . . . ▶ ☐	28a	
29	**2009 Membership was ### Horsemen and Horsewomen. State of Maine has two commercial race tracks and 9 agricultural fair race tracks which promote live harness racing.**		
	(Grants $ **None**) If this amount includes foreign grants, check here . . . . ▶ ☐	29a	
30	**The eleven race tracks operate under the rules and regulations of the Maine State Harness Racing Commission.**		
	(Grants $ **None**) If this amount includes foreign grants, check here . . . . ▶ ☐	30a	
31	Other program services (attach schedule)		
	(Grants $ **None**) If this amount includes foreign grants, check here . . . . ▶ ☐	31a	
32	**Total program service expenses** (add lines 28a through 31a) . . . . ▶	32	

Part IV **List of Officers, Directors, Trustees, and Key Employees.** List each one even if not compensated. (See the instructions for Part IV.)

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-.)	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
Timothy Powers, DVM **PO Box 339, Pittsfield, ME 04967**	**President, 10-20 Hours**	**0**	**0**	**0**
Michael Hitchcock **296 Bog Road, Hampden, ME 04444**	**Vice-President, 2-4 Hours**	**0**	**0**	**672.00**
Wallace Watson **PO Box 878, Gray, ME 04039**	**Secretary, 2-4 Hours**	**0**	**0**	**0**
Wendy Ireland **468 Indiana Road, W. Gardiner, ME 04345**	**Treasurer, 5-10 Hours**	**0**	**0**	**0**
Michael Graffam **613 Blackstrap Road, Falmouth, ME 04101**	**Director, 2-4 Hours**	**0**	**0**	**0**
Scott MacKenzie **88 Etna Road, Plymouth, ME 04969**	**Director, 2-4 Hours**	**0**	**0**	**0**
Don Marean **233 Bonney Eagle Road, Hollis, ME 04042**	**Director, 2-4 Hours**	**0**	**0**	**981.00**
Sheridan Smith **PO Box 651, W. Farmington, ME 04992**	**Director, 2-4 Hours**	**0**	**0**	**0**
Pat "Tuffy" Varnum **37 Longley Road, Greene, ME 04236**	**Director, 2-4 Hours**	**0**	**0**	**0**
Chandler Woodcock **259 Middle Street, Farmington, ME 04938**	**Executive Director, 40 Hrs.**	**57,000.00**	**0**	**7,132.00**
Rhonda Miller **110 Warren Road, Monmouth, ME 04259**	**Admin. Assistant, 40 Hours**	**9,968.00**	**0**	**0**

Part V **Other Information** (Note the statement requirements in the instructions for Part V.)

			Yes	No
33	Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity	33		✓
34	Were any changes made to the organizing or governing documents? If "Yes," attach a conformed copy of the changes	34		✓
35	If the organization had income from business activities, such as those reported on lines 2, 6a, and 7a (among others), but **not** reported on Form 990-T, attach a statement explaining why the organization did not report the income on Form 990-T.			
a	Did the organization have unrelated business gross income of $1,000 or more or was it subject to section 6033(e) notice, reporting, and proxy tax requirements?	35a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	35b		✓
36	Did the organization undergo a liquidation, dissolution, termination, or significant disposition of net assets during the year? If "Yes," complete applicable parts of Schedule N	36		✓
37a	Enter amount of political expenditures, direct or indirect, as described in the instructions. ▶ 37a 0			
b	Did the organization file **Form 1120-POL** for this year?	37b		✓
38a	Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still outstanding at the end of the period covered by this return?	38a		✓
b	If "Yes," complete Schedule L, Part II and enter the total amount involved 38b 0			
39	Section 501(c)(7) organizations. Enter:			
a	Initiation fees and capital contributions included on line 9 39a N/A			
b	Gross receipts, included on line 9, for public use of club facilities 39b N/A			
40a	Section 501(c)(3) organizations. Enter amount of tax imposed on the organization during the year under: section 4911 ▶ 0 ; section 4912 ▶ 0 ; section 4955 ▶ 0			
b	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year or is it aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	40b		✓
c	Section 501(c)(3) and 501(c)(4) organizations. Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ▶ 0			
d	Section 501(c)(3) and 501(c)(4) organizations. Enter amount of tax on line 40c reimbursed by the organization ▶ 0			
e	All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T.	40e		✓

41 List the states with which a copy of this return is filed. ▶

42a The organization's books are in care of ▶ Chandler Woodcock, Exec. Dir., MHHA Telephone no. ▶ 207-623-6442
Located at ▶ 185 State Street, Augusta, ME ZIP + 4 ▶ 04330

			Yes	No
b	At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	42b		✓
	If "Yes," enter the name of the foreign country: ▶ N/A See the instructions for exceptions and filing requirements for **Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.**			
c	At any time during the calendar year, did the organization maintain an office outside of the U.S.?	42c		✓
	If "Yes," enter the name of the foreign country: ▶ N/A			

43 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the tax year ▶ 43 N/A

			Yes	No
44	Did the organization maintain any donor advised funds? If "Yes," Form 990 must be completed instead of Form 990-EZ	44		✓
45	Is any related organization a controlled entity of the organization within the meaning of section 512(b)(13)? If "Yes," Form 990 must be completed instead of Form 990-EZ	45		✓

Part VI **Section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts only.** All section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts must answer questions 46–49b and complete the tables for lines 50 and 51.

		Line	Yes	No
46	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	46		✓
47	Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II	47	✓	
48	Is the organization a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	48		✓
49a	Did the organization make any transfers to an exempt non-charitable related organization?	49a		✓
b	If "Yes," was the related organization a section 527 organization?	49b		

50 Complete this table for the organization's five highest compensated employees (other than officers, directors, trustees and key employees) who each received more than $100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and address of each employee paid more than $100,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
"None"				

f Total number of other employees paid over $100,000 ▶

51 Complete this table for the organization's five highest compensated independent contractors who each received more than $100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and address of each independent contractor paid more than $100,000	(b) Type of service	(c) Compensation
"None"		

d Total number of other independent contractors each receiving over $100,000 ▶

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

▶ Signature of officer — Date

▶ Chandler E. Woodcock — 8-15-10

Type or print name and title

Paid Preparer's Use Only

Preparer's signature ▶ Scott F. Pratt	Date 8-15-10	Check if self-employed ▶ ☑	Preparer's identifying number (See instructions) 007-74-4532
Firm's name (or yours if self-employed), address, and ZIP + 4 ▶	Scott Pratt		EIN ▶
	177 Weeks Mills Rd., New Sharon, ME 04955		Phone no. ▶ 207-778-5647

May the IRS discuss this return with the preparer shown above? See instructions ▶ ☑ Yes ☐ No

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ Complete if the organization is described below.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2009

Open to Public Inspection

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations. Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations. Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)). Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)). Complete Part II-B Do not complete Part II-A

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations· Complete Part III.

Name of organization	Employer identification number
Maine Harness Horsemen's Association	01 0377632

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.

2 Political expenditures . . . ▶ $

3 Volunteer hours . . .

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 . . . ▶ $

2 Enter the amount of any excise tax incurred by organization managers under section 4955 . ▶ $

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? . . . ☐ Yes ☐ No

4a Was a correction made? . . . ☐ Yes ☐ No

b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities . . . ▶ $

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities . . . ▶ $

3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b . . . ▶ $

4 Did the filing organization file **Form 1120-POL** for this year? . . . ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A Check ▶ ☐ if the filing organization belongs to an affiliated group.
B Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	(a) Filing organization's totals	(b) Affiliated group totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b Total lobbying expenditures to influence a legislative body (direct lobbying)		
c Total lobbying expenditures (add lines 1a and 1b)		
d Other exempt purpose expenditures		
e Total exempt purpose expenditures (add lines 1c and 1d)		
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.		

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over $500,000	20% of the amount on line 1e
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000
Over $17,000,000	$1,000,000.

	(a) Filing organization's totals	(b) Affiliated group totals
g Grassroots nontaxable amount (enter 25% of line 1f)		
h Subtract line 1g from line 1a. If zero or less, enter -0-		
i Subtract line 1f from line 1c. If zero or less, enter -0-		

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ Yes ☐ No

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column (e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

		(a) Yes	(a) No	(b) Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	✓		
c	Media advertisements?		✓	
d	Mailings to members, legislators, or the public?		✓	
e	Publications, or published or broadcast statements?		✓	
f	Grants to other organizations for lobbying purposes?		✓	
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	✓		9,781
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		✓	
i	Other activities? If "Yes," describe in Part IV		✓	
j	Total. Add lines 1c through 1i			9,781
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		✓	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?		✓	

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3		

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; and Part II-B, line 1i. Also, complete this part for any additional information.

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Department of the Treasury
Internal Revenue Service
OGDEN UT 84201-0074

For assistance, call:
1-877-829-5500

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By

Notice Number: CP211A
Date: May 31, 2010

Taxpayer Identification Number:
01-0377632
Tax Form: 990
Tax Period: December 31, 2009

150780.732177.0467.010 1 AB 0.360 375

M H H A
PO BOX 436
AUGUSTA ME 04332

150780

APPLICATION FOR EXTENSION OF TIME TO FILE AN EXEMPT ORGANIZATION RETURN - APPROVED

We received and approved your Form 8868, Application for Extension of Time to File an Exempt Organization Return, for the return (form) and tax period identified above. Your extended due date to file your return is **August 15, 2010.**

When it's time to file your Form 990, 990-EZ, 990-PF or 1120-POL, you should consider filing electronically Electronic filing is the fastest, easiest and most accurate way to file your return. For more information, visit the Charities and Nonprofit web at www.irs.gov/eo. This site will provide information about

- The type of returns that can be filed electronically,
- approved e-File providers, and
- if you are required to file electronically.

If you have any questions, please call us at the number shown above, or you may write us at the address shown at the top of this letter.

SCHEDULE O (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.

▶ Attach to Form 990.

OMB No. 1545-0047

2009

Open to Public Inspection

Name of the organization	Employer identification number
Maine Harness Horsemens Associaton	01 : 0377632

Form 990-EZ

Line #20 Change in Net Assets

MHHA maintains the following Accounts:

1) General Checking Account

2) Sulky Insurance Account Balance Increase 1/1/09 to 12/31/09	$11,815
3) Scholarship Account Balance Increase 1/1/09 to 12/31/09	$2,694
4) Downeast Horsemen Scholarship Balance Increase 1/1/09 to 12/31/09	$204
5) Total Other Assets Balance Increase 1/1/09 to 12/31/09	$14,743
6) Year End Book Adjustment	$8,617
Total Line 20 Form 990 EZ	$23,360

ARTICLE I
PROLOGUE

Section I Purpose

The MHHA is an organization of owners, breeders, trainers and drivers of standardbred horses whose purpose is to promote the welfare of harness racing in the United States and Canada with special regard to the State of Maine area, and is so doing to promote the welfare of its members. Its objects are:

(a) To co-operate with all authority charged with the government of harness racing and to render such authority all possible assistance in the discharge of their duties.

(b) To recommend to governing authority changes in rules or regulations which, after due consideration by this association, seem to it to be in the best interest of harness racing.

(c) To co-operated with all associations conducting harness racing meetings, and advise them on the problems affecting the interest of the standardbred horse. To suggest to such associations possible improvements which after due consideration the MHHA believes will be beneficial to all concerned.

(d) To stand ready to advise with all horsemen interested in the sport of harness racing concerning their mutual problems.

(e) To affiliate with other organizations interested in harness racing upon such terms and conditions as may be mutually agreeable.

ARTICLE II
MEMBERSHIP

Section I Classes

There shall be three classes of membership as follows:

(a) Active members:

(1) Owners and breeders of standardbred horses holding eligibility certificates from the United States Trotting Association for the current or preceding year, or having registered a horse or horses with the United States Trotting Association during the preceding year. In the event a horse is owned in partnership under a stable name, or by a corporation, only the partner or partners, or owner or owners of the stable, or the corporation who pays the annual dues of the association shall be a member.

(2) Trainers and drivers licensed by the United States Trotting Association or by the Harness Racing Commission or Trotting Commission of any state during the current or preceding year.

(b) Associate Members

Any person, firm, corporation or association not qualified for active membership, but interested in the sport of harness racing.

(c) Honorary Members:

Any person, firm, corporation or association so honored by the Board of Directors of the Association at a regular meeting by the unanimous vote of those present.

SECTION II Application (Amended January 2002)

Application for Membership: All applications for membership shall be in writing to the Secretary and signed by the applicant. The applicant shall show that he has the necessary qualifications for membership and will accompany his application with the full amount of the annual dues for the current year, which will be returned to him if the application for membership is not accepted.

SECTION III Voting Privileges

Voting privileges of members: Only active members who shall have paid the annual dues of the association during the current year and shall be otherwise in good standing shall be eligible to vote at an annual meeting of the membership in each year, provided, however, that for the purpose of this section, a member shall have a grace period of sixty (60) days in which to pay his dues for the current year after the date on which they become due, during which time he shall remain eligible to vote.

SECTION IV Rejection or Revocation

Rejection or revocation of membership: The Board of Directors of the Association may reject the application for membership of any applicant whose membership in the Association would not be in the best interest of the sport of racing or this association. If the membership of any applicant is opposed by a member in good standing, his application shall be referred to the Board of Directors for determination. Such objection shall be filed in writing with the Secretary of this Association. The Board of Directors by a two-thirds vote of those present at a regularly called meeting may revoke or suspend the membership of any member who has been guilty of conduct prejudicial to the interest of the sport of harness racing and this Association, provided that any persons affected by this section shall have been afforded an opportunity to appear before the Board to present facts or make a statement with respect to such matter.

ARTICLE III
MEETINGS

SECTION I Annual and Special

Annual and Special meetings of the membership:

(a) Annual Meeting: The Annual business meeting of all members shall be held each year designated by the Board of Directors.

(I) An annual meeting of all members of the association shall be held once each calendar year at a time and place designated by the Board of Directors to act on the business as outlined under Article III, Section 3.

(b) Special Meetings: Special meeting of the membership of the Association may be called by the Board of Directors. The officers of the Association shall call a special meeting of the membership upon request in writing of 10% of active members as of the prior December 31, who are qualified to vote at such meeting. The time and place of such meeting shall be designated by the Board of Directors of the officers, dependent upon which is calling the meeting.

(c) Notice of meetings: Written notice of the time and place of such meetings, annual or special, shall be served by mail upon all members not less than seven (7) days nor more than (30) days before the meeting. Such notice shall be directed to the member at his address as recorded with the Association, and it shall be the duty and responsibility of the members to file with the Association his correct address or any change thereof.

(d) Purpose of meeting: The purpose of the meeting shall be the election of directors, election of officers, and the transaction of such other business as may properly come before such meeting as outlined in Article III, Section 3.

(e) Quorum: At least three times more Active Members of the Association than that of the active Board of Directors must also be present in order to constitute a quorum for the transaction of business at an annual or special meeting.

(f) Any active member may submit items to be included on agenda of any annual meeting. Request must be in writing and received forty five (45) days prior to the date of the meeting. All items subject to approval by the Board of Directors.

SECTION II Adjournment

Adjournment of annual or special meeting: Whenever at any meeting of the membership there shall be less than a quorum present, such meeting maybe adjourned from time to time by a majority of those present, provided, however, that no adjournments shall be for a period exceeding twenty (20) days at any one time. Any meeting at which a quorum is present may be adjourned for such time as may be fixed by the vote of the majority of the members present at the meeting. At any adjourned meeting at which a quorum is present, any business may be transacted which might have been transacted at the original meeting.

SECTION III Order of Business

Order of business: At the annual meeting of the Association, the order of business shall be as follows:

1. Filing of proof of notice of meeting.
2. Calling the roll of members entitled to vote.
3. Report of officers.
4. Reports of committees, if any.
5. Election of Directors.
6. Election of Officers.
7. Other business, if item is to be voted on is not on agenda, if first must have approval by [2/3 vote of active members] **simple majority of active member** present before being placed on the agenda to be acted upon.

ARTICLE IV (4)
BOARD OF DIRECTORS

SECTION I Management and Control

The Management, direction, and control of all policy, business, and the affairs of the Association shall be vested in the Board of Directors consisting of nine (9) members.

The name and prestige of the Association shall not be used by any one unless specifically authorized by the Board of Directors.

SECTION II Qualifications

Members of the Board of Directors must be active members of this Association in good standing and must maintain their standing at all times. Failure to do so shall automatically eliminate any member of the Board of Directors from the Board.

(Addendum: January 1990) Members seeking election by District, as provided in Section III hereinafter, must maintain a residence with the District to be represented and must so specify upon announcing candidacy or accepting nomination.

SECTION III Terms (amended January 1990)

Election to the Board of Directors shall be for a three (3) year term. Each year two (2) of the Directors shall be elected at large, and one (1) District. For purposes of this Section, the State shall be divided into three (3) Districts.

(a) District 1 consisting of York and Cumberland Counties;

(b) District 2 consisting of Sagadahoc, Kennebec, Androscoggin, Oxford, Franklin, Lincoln and Knox Counties;

(c) District 3 consisting of Penobscot, Aroostook, Washington, Piscataquis, Somerset, Hancock and Waldo Counties.

SECTION IV Election of (amended August 1982 &)

Voting for members of the Board of Directors by qualified members of MHHA shall be in person or by absentee ballot, as follows;

(1) All notices of annual meetings of the membership must be accompanied by a printed ballot in blank with a return self-addressed envelope. The ballots shall contain all names to come before the meeting. Amended January 2002 as follows: Article 4 Board of Directors; added to Section 4(1) - When all vacancies are uncontested because of a lack of members seeking election, the positions shall be filled from existing candidates. [The mailing of ballots and balloting at the Annual Meeting shall not be necessary.] **In that event, the mailing of ballots and balloting at the Annual Meeting shall not be necessary.**

(2) Only ballots duly and completely executed by qualified members who shall be in good standing shall be valid. In order to be valid, each member shall sign and legibly print their name on the return envelope marked BALLOT ENVELOPE in which the ballot is contained. None other than the active member in good standing shall be entitled to vote on any ballot at any meeting.

(3) In the event that a qualified member wishes to revoke or change his ballot or issue another in its place, he may do so provided that he exercise his right at least [one (1) business day] **one calendar day** prior to the scheduled meeting. The execution or issuance of a new ballot shall be considered a revocation of any previous ballot and such new ballot shall be effective as of the date upon which it is issued.

(4) A qualified member who is personally at an annual or special meeting and has not already voted, may cast his ballot at the site of the meeting for a period of time of one (1) hour prior to the scheduled meeting to one half (½) hour after the designated time of the meeting.

(5) Any qualified member of the Association who desires to become a candidate for membership on the Board of Directors, may do so by notifying the Executive Director of the Association of his intentions by registered letter, return receipt requested, mailed at least [fifty (50) days] **sixty (60) days** before the annual meeting. This is needed in order to give us time to get the lost of candidates mailed [thirty (30) business days] **forty five business days** before the annual meeting.

NEW FOR DISCUSSION: At least seventy five (75) days prior to the Annual Meeting, the Executive Director shall provide notice to the members of all Directors' terms that need to be filled at the Annual Meeting. Any qualified member of the Association, who desires to become a candidate for membership on the Board of Directors may do so by notifying the Executive Director of the Association of his intentions by registered mail, return receipt requested, mailed at least forty five (45) days before the meeting. This is needed in order to give us time to get the list of candidates mailed thirty (30) days before the Annual Meeting.

(6) At least thirty [(30) business days] **forty five calendar days** before the date of the meeting the Executive Director shall mail to all members of the Association, the names of all candidates nominated for membership to the Board of Directors and those who have become candidates by announcing their intention to do so by letter to the Executive Director as above described.

(7) Absentee ballots must be postmarked no later than [three (3) business days] **three (3) calendar days** before the annual meeting or special meeting.

SECTION V Vacancy

[Any vacancy on the Board of Directors through death, resignation, removal or other causes, shall be filled by appointment by the Board of Directors of an active member to fill the unexpired term.] **Any vacancy on the Board of Directors through death, resignation, removal or other causes shall be filled by appointment by the Board of Directors first by a candidate from the previous year's ballot, who was not elected, or second by an active member, to fill the unexpired term.**

SECTION VI Quorum

The presence of five (5) of the qualified Directors in person shall be necessary to constitute a quorum for the transaction of business.

SECTION VII Certificates of Membership

The certificate of membership shall be in such form as may be adopted by the Board of Directors.

SECTION VIII Meetings

The Board of Directors shall meet at least every sixty (60) days. They shall also meet whenever call by the President. On the request of three (3) members of the Board of Directors, the President shall call a meeting of the Board. Notices of all meetings of the Board shall be sent at least three (3) days prior to the meeting.

(Addendum: January 1995) In the event that a Director misses three (3) consecutive meetings, that Director may be removed by the Board by a majority vote of the other members of the Board.

SECTION IX Newsletter

The Board of Directors shall be responsible for an annual newsletter which will be sent to all members. **NEW FOR DISCUSSION:** [The newsletter shall contain relevant action of the Board of Directors and other pertinent information not of a personal nature.] **The newsletter shall contain relevant action of the Board of Directors, Director's terms that need to be filled at the Annual Meeting, and other pertinent information not of a personal nature.**

SECTION X Executive Director

The Board of Directors shall be responsible for employing an Executive Director and setting his or her salary and expense allotment and providing him or her with a job description.

SECTION XI Agenda for Meetings

The Board of Directors shall be responsible for preparing an agenda for annual and [summer] **special** meetings and notifying membership of same in advance of meeting.

ARTICLE V (5)
OFFICERS

SECTION I Election of

Election of officers:

(a) At each annual meeting, the President, under the order of business "Election of Officers", shall call for nominations individually for the offices of President, Vice-President, Secretary and Treasurer to succeed those who have the offices for the preceding year. Nominees for officers must be members of the Board of Directors for that year.

(b) If there is a contest for any office, the President of the Association shall appoint an election committee of three (3) from the qualified list of membership, in good standing, excluding the Board of Directors, to determine the qualifications of the members entitled to vote and to act as inspectors and tellers of such election.

(c) An officer of the Association shall attend upon the election committee and have available for their use a roster of all members of the Association in good standing.

(d) Each candidate may personally watch the vote count and check the report of the tellers.

(e) The election committee shall have the full power and authority to pass on any questions raised at such election.

(f) The election committee shall report in writing to the President of the Association giving the number of qualified votes cast and the final vote.

(g) The candidate receiving the greatest number of votes shall be declared elected to that office for the coming year.

(h) In case any questions are raised as to the legality of such election, the President shall take into custody the ballots cast and keep them safely for a period of at least ninety (90) days.

SECTION II Vacancy

Any vacancy for any office through death, resignation, or other cause shall be filled by the majority vote of the Board of Directors. Members eligible shall be the existing Board of Directors.

SECTION III Duties of President

The President, subject to approval of the Board of Directors, shall have the general management of the Association. He or she shall be responsible for the general enforcement of the by-laws of the Association, and the transaction of business of the Association, and reporting the Board of Directors. He or she shall perform all duties incident to his or her office and such other duties as may be required of him or her by the Board of Directors. He or she shall preside at all meeting of the membership and all meetings of the Board of Directors. He or **she shall** delegate any of his or her duties and require the assistance of any of the elected officers of the Association. Whenever a situation occurs or a problem arises that is not covered under the By/Laws of this Association, the President and Board of Directors will refer to Roberts Rules of Order.

When a Board Member requests that a meeting go into executive session, the following shall occur: 1. A majority vote of the directors present shall decide if a meeting will go into executive session; 2. Only directors are allowed in executive session; 3. Executive session shall be used only for purposes of discussing personnel and/or personal issues; and 4. Executive session can be called at anytime during the directors meeting, or be placed on the monthly meeting agenda, at the request of a director.

SECTION IV Duties of Vice-President

The Vice-President shall perform all of the duties of the President in the event of the incapacity or absence of the latter, also all duties delegated to him or her by the President and such other duties as may be directed by the Board of Directors from time to time, **with bond as the Board of Directors may require .**

SECTION V Duties of Secretary

The Secretary shall keep the minutes of the meetings of the Association, the meetings of the Board of Directors, and the meeting of the committee when designated. He or she shall attend to the giving and service of all notices of the Association. He or she shall have charge of the membership roll of the Association and such other books and papers as the Board may direct. He or she shall prepare such repots as may be required of him or her by the President of the Board of Directors. He or she shall be the custodian of the seal of the Association. The duties of the Secretary may be assumed by the Executive Director by a vote of the Board of Directors.

SECTION VI Duties of the Treasurer

The Treasurer shall have the care and custody of all funds and securities of the Association and shall deposit the same in the name of the Association in such banks, or trust company or trust companies as the Directors may elect. He or she shall cause to be maintained books of account and shall exhibit the same at any reasonable time to any Director of member of the Association upon application. He or she shall furnish such bond or bonds for the faithful performance of his or her duties as the Board of Directors may require. He or she shall report annually a statement of the Board of Directors from time to time. The duties of the Treasurer may be assumed by the Executive Director by a vote of the Board of Directors.

ARTICLE VI (6)
COMMITTEES

SECTION I - Joint Coordinating Committee MSBOA and MHHA

(a) Membership - Three (3) members of the Board of Directors shall be appointed by the President of the MHHA to serve on a joint committee containing two (2) members of the MSBOA Board of Directors.

(b) Chairperson - The Committee shall elect one of its members as chairperson at its first yearly meeting.

(c) Duties - The Committee shall meet at least twice per year to guide the work of the MHHA Executive Director and staff with attention to the following:

(i)- [ensuring] **encouraging** that race associations contribute their base purse to all betting sire stakes races;

(ii) – [ensuring] **encouraging** that races with five or more separate betting interests be considered betting races;

(iii) – [ensuring that the Executive Director and Staff of MHHA lobby on behalf of common interest of the MHHA and MSBOA; and] **encouraging that the Executive Director or hired lobbyist, and Staff of MHHA lobby on behalf of common interests of the MHHA and MSBOA; and**

(iv) – [ensuring] **encouraging** that the staff of the MHHA is available for reasonable support for MSBOA activities which are not in conflict with activities and policies of the MHHA.

ARTICLE VII (7)
FISCAL

SECTION I Fiscal Year

The fiscal year of this Association shall be January 1st through December 31st.

SECTION II Signatures

All notes, checks, drafts and orders for payment of money, certificates and papers, or documents of any kind requiring the signature of the Association or its seal shall be signed in the corporate name of the Association by such official as the Board of Directors may from time to time designate.

SECTION III Authorization to Expand

All proceeds, from whatever source or whatever nature, received or owned by the corporation shall be expended or distributed only as directed by the Board of Directors. The President and the Treasurer, however, shall have the authority to expend a sum not in excess of two hundred dollars ($200.00) without further authority. The Board of Directors shall annually draw up and approve a budget for expenditures for the fiscal year to be expended by the assigned official. **The budget must be approved by a majority of the membership present at the Annual Meeting.**

SECTION IV Compensation

(a) No Director of this Association shall receive compensation for his or her services as a Director.

(b) No officer of this Association shall receive compensation for his or her services expect as specifically established and authorized by the Board of Directors.

ARTICLE VIII (8)
SEAL

The Association shall have a common seal. A circular seal bearing the name of the Association and the year of its incorporation so hereby adopted as such common seal.

ARTICLE IX (9)
AMENDMENTS

These by-laws may be amended or repealed by two-thirds vote of the membership present at a duly called meeting of the general membership, provided, however, that the notice of the meeting at which a change in the by-laws is to be acted upon shall contain a statement of the proposed change.

AMENDMENTS
incorporated in these
REVISED BY-LAWS
May 1995

ARTICLE IV (4), Section II
Amended: January 1990
ARTICLE IV (4), Section III
Amended: January 1990
ARTICLE IV (4), Section IV
Amended: August 1982
ARTICLE IV (4), Section VIII
Amended: January 1995
ARTICLE IV (4), Section IV(1)
Amended: January 2002
ARTICLE II (2), Section II
Amended: January 2002
ARTICLE II, Section II a & b
Deleted: March, 2008
ARTICLE III, Section 1b
Deleted: March, 2008

ARTICLE III, Section 1e
Amended: March, 2008
ARTICLE IV, Section IV, Subsection 2
Amended: March, 2008
ARTICLE IV, Section IV, Subsection 5
Amended: March, 2008
ARTICLE IV, Section IV, Subsection 7
Amended: March, 2008
ARTICLE IV, Section IX
Amended: March, 2008
ARTICLE IV, Section X
Amended: March, 2008
ARTICLE V, Section III
Amended: March, 2008
ARTICLE VI, Section 1
Amended: March, 2008
ARTICLE VI - COMMITTEES
Added - March, 2008
ARTICLE VI - FISCAL
Re-numbered to Article VII - March, 2008
ARTICLE VII - SEAL
Re-numbered to Article VIII - March, 2008
ARTICLE VIII - AMENDMENTS
Re-numbered to Article IX - March, 2008

MHHA Balance Sheet as of 12/31/09

Asset	as of 12-31/08	as of 12-31/09	Increase/ (Decrease)
Cash in the Bank	32,502.00	20,131.18	(12,370.82)
Accounts Receivable	15,435.00	20,063.00	4,628.00
Prepaid Expenses	1,009.81		(1,009.81)
Office Furniture at cost	12,183.81	12,183.81	-
Sulky Insurance Account	6,134.00	17,949.41	11,815.41
Scholarship Account	6,237.35	8,931.00	2,693.65
Down East Horsemen Scholarship	8,208.83	8,413.26	204.43
Total Assets	81,710.80	87,671.66	5,960.86

Liabilities			
Current Liabilities	-	17,940.00	17,940.00
Short Term Liabilities	-	-	-
Long Term Liabilities	-	-	-
Total Liabilities	-	17,940.00	17,940.00
Net Worth	81,710.80	69,731.66	(11,979.14)

	A	B	C	D	E	F
1	[redacted]					
2						
3		INCOME	2009 BUDGET	2009 Year to Date	2009 Variance	2010 BUDGET
4		CASH IN BANK	$32,502	$32,502	$0	$20,131
5		ACCOUNTS RECEIVABLE- RACE TRACK INCOME	$15,435	$15,435	$0	$20,063
6		ACCOUNTS RECEIVABLE- Refund HHI INSURANCE	$0	$2,420	$2,420	$0
7		ACCOUNTS PAYABLE-2009 OPERATING EXPENSES	$0	$0	$0	($17,940)
8		ADVERTISING INCOME FOR 2009	$3,000	$2,650	($350)	$3,000
9		BANQUET RES. (250 Members * $25.00)for 2009	$6,250	$4,300	$1,950	$4,500
10		MEMBERSHIPS (885 Members * $50.00) (Actual 814)	$44,250	$40,650	($3,600)	$40,000
11		ASSOCIATE MEMBERSHIPS (10 * $20.00)	$0	$200	$200	$200
12		RACE TRACK FUNDING	$125,750	$126,047	$297	$162,260
13		USTA	N/A	N/A	N/A	$1,469
14		TOTALS	$227,187	$224,204	($2,983)	$233,683
15						
16	[redacted]					
17		Accounting Period January 1, 2010 through December 31, 2010				
18		EXPENSES	2009 BUDGET	2009 Year to Date	2009 Variance	2010 BUDGET
19	1	ACCOUNTING/AUDIT	$0	$0	$0	$0
20	2	ADs/BLANKETs/DONATIONS	$7,000	$5,137	$1,863	$6,000
21	3	ADMINISTRATION COST 2009 = $74,643				
22		ADMINISTRATION COST 2010 = $80,177				
23		A.) Executive Director's Salary	$57,000	$57,000	$0	$57,000
24		B.) Employee Benefits	$0	$0	$0	$0
25		C.) Payroll Taxes	$4,998	$4,998	$0	$5,000
26		D.) Administrative Assistant's Salary	$15,485	$9,968	$5,517	$15,500
27		E.) Payroll Taxes	$1,611	$1,611	($0)	$1,611
28		F.) Administration Expenses	$1,066	$1,066	$0	$1,066
29	4	ANNUAL / SPECIAL MEETINGS	$10,000	$10,677	($677)	$10,000
30	5	AWARDS	$3,000	$2,988	$12	$3,000
31	6	BANK CHARGES/REFUNDS/CREDIT CARD CHARGES	$1,500	$1,886	($386)	$2,000
32	7	ELECTION COST	$2,500	$782	$1,718	$2,500
33	8	HHI DUES	$5,085	$5,085	$0	$6,100
34	9	HHI INSURANCE 2009 = $54,776				
35		HHI INSURANCE 2010 = $53,186				
36		A.) Third Party Liab. (820 * $37.00)	$17,888	$30,081	($12,193)	$30,081
37		B.) Fire and Disaster (225 days raced * $60.00)	$15,060	$15,060	$0	$13,500
38		C.) Director's Liability Policy	$11,124	$8,855	$2,269	$8,855
39		D.) Office Equipment Policy	$750	$750	$0	$750
40	10	HHI MEETINGS	$4,000	$2,200	$1,800	$3,500
41	11	LEGAL	$5,000	$1,580	$3,420	$3,000
42	12	MHHA MEEETINGS	$2,000	$900	$1,100	$1,500
43	13	WEBSITE	$2,520	$2,520	$0	$4,020
44	14	MHHA NEWSLETTER	$6,000	$4,572	$1,428	$6,000
45	15	OFFICE RENT	$9,600	$9,700	($100)	$10,200
46	16	OFFICE SUPPLIES	$2,500	$2,100	$400	$2,500
47	17	POSTAGES / PRINTING	$2,000	$1,710	$290	$2,000
48	18	TELEPHONE	$4,500	$3,183	$1,317	$4,000
49	19	TRAVEL - EXC. DIRECTOR	$12,000	$7,132	$4,868	$10,000
50	20	TRAVEL - DIRECTORS	$4,000	$1,653	$2,347	$2,500
51	21	YEARBOOK for 2009	$5,000	$6,211	($1,211)	$6,500
52	22	CONTINGENCY	$0	$0	$0	$0
53	23	RACING EMERGENCY FUNDS	$2,000	$0	$2,000	$3,000
54	24	LOBBYIST	$12,000	$9,781	$2,219	$12,000
55		TOTALS	$227,187	$209,186	$18,001	$233,683
56		Funding rate for 2007= 1.85% for 2008= 2.11% for 2009= 1.4017% for 2010= 1.8087% 4 YEAR AVERAGE=1.7926%				