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Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

OMB No 1545 0047

Department of the Treasury Internal Revenue Service

► The organization may have to use a copy of this return to satisfy state reporting requirements

2000
- 10 m
Open to Rublic Inspection

	For th	e 2008 calen	dar year,	or tax year beginning	12/01	, 2008, and endin	<u>g 11/.</u>	30	, 2	1009	
В	Check if	applicable						D Employe	r Identifica	tion Number	
	Ade	dress change	Please use IRS label	FRANK J. BATTA	GLIA			52-1	27327	7	
	\vdash	me change	or print or type	SIGNAL 13 FOUN				E Telephor			
	\vdash	-	See	P.O. BOX 24801						056	
	Init	ial return	specific Instruc-	BALTIMORE, MD				410-	335-1	056	—
	Ter	mination	tions								
	Am	ended return					į	G Gross re	ceipts \$	1,443,884	4.
	Пар	plication pending	F Name a	and address of principal officer	BARBARA BRANI	ONER	H(a) is this	a group return	for affiliate	s? Yes X	No
		, ,		AS C ABOVE			H(b) Are all	affiliates inclu	ded?		No
_					1 \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	N	If No	altach a list (see instruct		
<u> </u>		exempt statu		(c) (3) ◄ (inser	t no) 4947(a)(1						
<u>J</u>	<u>Web</u>	site: ► N/						exemption nui			
K	Туре	of organization	X Corpora	ation Trust Assoc	ation Other ►	L Year of Format	ion 1982	2 M s	ate of legal	domicile MD	
Pa	art I	Summa			-						
Ь—				ganization's mission or	most significant activiti	es TO PROVI	DE EIN	ANCTAL.	SHPPO	RT IN THE	
				O ACTIVE BALTI							
ဥ											
nar	1			ELL AS TO PROV	エカド シアロのアダインけて	52 IN THESE	SAME T	OPICE"	ULPAK.	TMENT	
œ		EMPLOYEE			·						
<u>₹</u>		Check this bo		if the organization disc		or disposed of mo	re than 2	5% of its a			2.5
2	1		-	bers of the governing t				-	3		<u>35</u>
Aş8	4			it voting members of th	e governing body (Part	VI, line 1b)			4		35
œ	I			yees (Part V, line 2a)				ļ	5		1
>৳				eers (estimate if neces					6		0
₹.	ı			ousiness revenue from		nn (C)		1	7 a		0.
Revenue NIV 04 2016	b	Net unrelated	d business	taxable income from F	orm 990-T, line 34				7 b		0.
	}						P	rior Year	}	Current Year	
丿	8	Contributions	and gran	its (Part VIII, line 1h)				29,2	91	136,49	5.
= e	1			ue (Part VIII, line 2g)							<u></u>
₹₹	1	-		art VIII, column (A), line	as 3 (1 and 7d)			-41,6	11	-102,18	<u></u>
٩				III, column (A), lines 5,		-\		37,5		152,10	
a										34,47	
	12	Total revenue	e — <u>add II</u>	nes 8 through 11 (mus	egyai Part VIII, columi	n (A), line 12)		25,2			
	13 (Grants and s	ımılar am	unts part (Faut IX) vo	unter (A), lines 1-3)			107,2	07.	52,53	8.
				members (Part IX, colu							
	15	Salaries, othe	er comper	ာဆေရn, employee ge ၇	Mill (Partix), column (A	4), lines 5-10)	Į.	22,0	00.	28,40	9.
Ses	16a 1	Professional	fundraisin	ig tees (Part IX, column	(A) Judes (e)						
Expenses	1			1 1111							, T.
X	b	Total fundrais	sing expe	nses (Part IX, cotumn (U), Ime 25)		<u> </u>				
_	17 (Other expens	ses (Part i	X column A dinos -	a-11d, 1911-24f)			20,4	48.	39,04	<u>7.</u>
	18	Total expens	es Add li	nes 13-17 (must equal	Part IX, column (A), lın	e 25)		149,6	55.	119,99	4.
	19	Revenue less	expense	s Subtract line 18 from	line 12			-124,4	05.	-85,52	<u>3</u> .
5 8					·		Basis			End of Year	
	1	T-1-11-	/D - 4 V 1	10)				ning of Ye			<u> </u>
Net Assets Fund Balan	I	Total assets	-	•				<u>, 903, 4</u>		1,817,52	
# £	21	Total liabilitie	es (Part X	, line 26)				36,5	91.	18,26	<u>3.</u>
Zű	22	Net assets or	fun <u>d ba</u> la	ances Subtract line 21	from line 20		1	.,866,8	45.	1,799,26	1.
På	art II	Signate	ure Bloc	ck							
		Under nenaltie	es of periury	I declare that I have examined	this return, including accompa	nving schedules and stat	ements and	to the hest of	my knowles	dge and helief, it is	
		true, correct, a	and complete	I declare that I have examined Declaration of preparer (other	than officer) is based on all in	•					
Sig	~n		(Sel	(Hall	/		1	10-	- 14	1-2010	>
He	yıı	Signature	Dispitical .	· · ·			L	10	/-/		
110		7		0				 			
		40	MN		IKIS	IKEA	130K	ER	<u> </u>	10-14-1	
		Type or p	rint name an	d title							
				-		Date		heck if	Prepai	rer's identifying number istructions)	er
Pa	id							elf mployed ►		.550	
Pr		Preparer's signature	► TAM	ES E. CRISP		الاأوا	"ا ما		$\square_{N/A}$		
	rer's	<u> </u>	UAN		ACCOCTAMBO D	7	••		I IA/ W		
Us		Firm's name (ASSOCIATES P.	А.		_			
Or		employed), address, and		S CHARLES ST S'			E		<u>/A</u>		
		ZIP + 4	BAL	TIMORE, MD 212	01-3102		P	hone no	(410)	685-5512	
Ма	y the If	RS discuss th	nis return	with the preparer show	n above? (see instructi	ons).				X Yes N	0
_				rwork Reduction Act N				TEEA0112L		Form 990 (20	
-			• -		,						

Form 990 (2008) FRANK J. BATTAGLIA

Part IV Checklist of Required Schedules

	· ·		162	110
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If 'Yes,' complete Schedule A	1	Х	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If 'Yes,' complete Schedule C, Part I	3		Х
4	Section 501(c)(3) organizations Did the organization engage in lobbying activities? If 'Yes,' complete Schedule C, Part II	4		X
5	Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations. Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? If 'Yes,' complete Schedule C, Part III	5		
6	Did the organization maintain any donor advised funds or any accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If 'Yes,' complete Schedule D, Part I	6		Х
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If 'Yes,' complete Schedule D, Part II	7		Х
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If 'Yes,' complete Schedule D, Part III	8		х
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If 'Yes,' complete Schedule D, Part IV	9		Х
10	Did the organization hold assets in term, permanent, or quasi-endowments? If 'Yes,' complete Schedule D, Part V	10		Х
11	Did the organization report an amount in Part X, lines 10, 12, 13, 15, or 25° If 'Yes,' complete Schedule D, Parts VI, VIII, IX, or X as applicable	11	Х	
12	Did the organization receive an audited financial statement for the year for which it is completing this return that was prepared in accordance with GAAP? If 'Yes,' complete Schedule D, Parts XI, XII, and XIII	12	Х	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If 'Yes,' complete Schedule E	13		X
14 a	Did the organization maintain an office, employees, or agents outside of the U.S.?	14a		Х
ŀ	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the U.S.? If 'Yes,' complete Schedule F, Part I	14b		х
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If 'Yes,' complete Schedule F, Part II	15		Х
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If 'Yes,' complete Schedule F, Part III	16		х
17	Did the organization report more than \$15,000 on Part IX, column (A), line 11e? If 'Yes,' complete Schedule G, Part I	17		Х
18	Did the organization report more than \$15,000 total on Part VIII, lines 1c and 8a? If 'Yes,' complete Schedule G, Part II	18	X	
19	Did the organization report more than \$15,000 on Part VIII, line 9a? If 'Yes,' complete Schedule G, Part III	19		X
20	Did the organization operate one or more hospitals? If 'Yes,' complete Schedule H	20		X
21	Did the organization report more than \$5,000 on Part IX, column (A), line 17 If 'Yes,' complete Schedule I, Parts I and II	21	X	
22	Did the organization report more than \$5,000 on Part IX, column (A), line 2 ⁷ If 'Yes,' complete Schedule I, Parts I and III	22	Х	
23	Did the organization answer 'Yes' to Part VII, Section A, questions 3, 4, or 5° If 'Yes,' complete Schedule J	23		Х
24 a	a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, and that was issued after December 31, 2002? If 'Yes,' answer questions 24b-24d and complete Schedule K. If 'No, go to question 25	24a		x
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		<u> </u>
	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
c	Did the organization act as an 'on behalf of' issuer for bonds outstanding at any time during the year?	24d		
25 a	a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If 'Yes,' complete Schedule L, Part I	25a		Х
ı	Did the organization become aware that it had engaged in an excess benefit transaction with a disqualified person from a prior year? If 'Yes,' complete Schedule L, Part I	25b		х
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If 'Yes,' complete Schedule L, Part II	26		Х
27	contributor, or to a person related to such an individual? If 'Yes,' complete Schedule L, Part III	27		х
BAA		Form	990	(2008)

Part IV Checklist of Required Schedules (continued)

	·		Yes	No_
28	During the tax year, did any person who is a current or former officer, director, trustee, or key employee	·		
	a Have a direct business relationship with the organization (other than as an officer, director, trustee, or employee), or an indirect business relationship through ownership of more than 35% in another entity (individually or collectively		-	
	with other person(s) listed in Part VII, Section A)? If 'Yes,' complete Schedule L, Part IV	28a		X
	b Have a family member who had a direct or indirect business relationship with the organization? If 'Yes,' complete Schedule L, Part IV	28b		Х
	c Serve as an officer, director, trustee, key employee, partner, or member of an entity (or a shareholder of a professional corporation) doing business with the organization? If 'Yes,' complete Schedule L, Part IV	28c		х
29	Did the organization receive more than \$25,000 in non-cash contributions? If 'Yes,' complete Schedule M	29		Х
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If 'Yes,' complete Schedule M	30		х
31	Did the organization liquidate, terminate, or dissolve and cease operations? If 'Yes,' complete Schedule N, Part I	31		х
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If 'Yes,' complete Schedule N, Part II	32		Х
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? If 'Yes,' complete Schedule R, Part I	33		Х
34	Was the organization related to any tax-exempt or taxable entity? If 'Yes,' complete Schedule R, Parts II, III, IV, and V, line 1	34		Х
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' complete Schedule R, Part V, line 2	35		Х
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If 'Yes,' complete Schedule R, Part V, line 2	36		Х
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If 'Yes,' complete Schedule R, Part VI	37		Х
BA		Form	990	(2008)

Part V Statements Regarding Other IRS Filings and Tax Compliance No Yes 1a Enter the number reported in Box 3 of form 1096, Annual Summary and Transmittal of U S 0 Information Returns Enter -0- if not applicable 1 a 0 b Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable 1b c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? 1 c 2a Enter the number of employees reported on Form W-3. Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return X 2b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? 2b Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return (see instructions) 3a Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return 3a Х b If 'Yes' has it filed a Form 990-T for this year? If 'No,' provide an explanation in Schedule O 3b 4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account) X 4a **b** If 'Yes,' enter the name of the foreign country See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts 5 a Х 5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? 5b Х c If 'Yes,' to question 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction? 5 c 6a Did the organization solicit any contributions that were not tax deductible? Χ 6a b If 'Yes,' did the organization include with every solicitation an express statement that such contributions or gifts were not deductible? 6b 7 Organizations that may receive deductible contributions under section 170(c). a Did the organization provide goods or services in exchange for any guid pro guo contribution of more than \$75? 7 a X b If 'Yes,' did the organization notify the donor of the value of the goods or services provided? 7 b c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file 7 c Х Form 8282 d If 'Yes,' indicate the number of Forms 8282 filed during the year 7d e Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal X benefit contract? 7 e X f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 7 f X g For all contributions of qualified intellectual property, did the organization file Form 8899 as required? 7g 7h X h For all contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required? Section 501(c)(3) and other sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a fund maintained by a sponsoring organization, have excess business holdings at any time during the year? 8 9 Section 501(c)(3) and other sponsoring organizations maintaining donor advised funds. a Did the organization make any taxable distributions under section 4966? 9a **b** Did the organization make any distribution to a donor, donor advisor, or related person? 9b 10 Section 501(c)(7) organizations. Enter a Initiation fees and capital contributions included on Part VIII, line 12 10a b Gross Receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b 11 Section 501(c)(12) organizations. Enter a Gross income from other members or shareholders 11 a b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them).

12 a

12b

12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?

b If 'Yes,' enter the amount of tax-exempt interest received or accrued during the year

Part VI Governance, Management and Disclosure (Sections A, B, and C request information about policies not required by the Internal Revenue Code)

Sec	tion A.	Governing Body and Management									
	For each	'Yes' response to lines 2-7b below, and for a 'No' response to lines 8 or 9b below, s, or changes in Schedule O See instructions	describe the circumstances,		Yes	No					
1 :	•	number of voting members of the governing body	1a 35	1	1 m						
		number of voting members that are independent	1b 35	,	1019 T						
	Did any o	officer, director, trustee, or key employee have a family relationship or a business rector, trustee or key employee?	1	2	, ·	X					
3	Did the d	rganization delegate control over management duties customarily performed by or s, directors or trustees, or key employees to a management company or other pers	under the direct supervision	3		Х					
4		rganization make any significant changes to its organizational documents	5077	4		X					
		prior Form 990 was filed?		-							
5											
6	Does the	organization have members or stockholders?		6		X					
7 a	Does the	organization have members, stockholders, or other persons who may elect one or glody?	more members of the	7 a		X					
ŀ	Are any	decisions of the governing body subject to approval by members, stockholders, or o	other persons?	7 b		X					
8	Did the o	rganization contemporaneously document the meetings held or written actions und ring	dertaken during the year by								
ā	The gove	rning body?		8a	Х						
ŀ	Each cor	nmittee with authority to act on behalf of the governing body?		8 b	Х						
9 8	Does the	organization have local chapters, branches, or affiliates?		9a		<u>X</u>					
ŀ	If 'Yes,' o and bran	loes the organization have written policies and procedures governing the activities ches to ensure their operations are consistent with those of the organization?	of such chapters, affiliates,	9b							
10	Was a co describe	py of the Form 990 provided to the organization's governing body before it was file in Schedule O the process, if any, the organization uses to review the Form 990	ed? All organizations must SEE SCHEDULE O	10	х						
	organıza	any officer, director or trustee, or key employee listed in Part VII, Section A, who can smalling address? If 'Yes,' provide the names and addresses in Schedule O	annot be reached at the	11		Х					
Sec	tion B.	Policies			I						
					Yes	No					
		organization have a written conflict of interest policy? If 'No,' go to line 13		12 a		X					
	to conflic		· ·	12b		Х					
	Schedule	organization regularly and consistently monitor and enforce compliance with the policy of the compliance with the compliance with the compliance with the policy of the compliance with the co	oolicy? If 'Yes,' describe in	12 c		X					
		organization have a written whistleblower policy?		13	1,,	X					
14	Does the	organization have a written document retention and destruction policy?		14	X	157914					
15	persons,	rocess for determining compensation of the following persons include a review and comparability data, and contemporaneous substantiation of the deliberation and di			1 32 ·						
		nization's CEO, Executive Director, or top management official?		15 a	X	1,,-					
		icers of key employees of the organization? SEE SCHEDULE O		15 b	N 1/8	X					
		the process in Schedule O (see instructions)			'						
	entity du	rganization invest in, contribute assets to, or participate in a joint venture or similaring the year?	J	16 a		X					
ı	lf 'Yes,'∣ n joint v status w	nas the organization adopted a written policy or procedure requiring the organization enture arrangements under applicable federal tax law, and taken steps to safeguar th respect to such arrangements?	on to evaluate its participation rd the organization's exempt	16 b							
Sec	tion C.	<u> </u>									
17	List the s	states with which a copy of this Form 990 is required to be filed - MD									
18	Section (5104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, n. Indicate how you make these available. Check all that apply	and 990-T (501(c)(3)s only) a	vailab	le for	public					
	Own	website Another's website X Upon request									
19	Describe statemer	In Schedule O whether (and if so, how) the organization makes its governing docutes available to the public SEE SCHEDULE O	uments, conflict of interest pol	ісу, а	nd fin	ancıal					
20	State the	name, physical address, and telephone number of the person who possesses the RA BRANDNER 3906 BRIAR POINT ROAD BALTIMORE MD 2122	books and records of the org								
	2	The state of the s									
BAA				<u> </u>	000	(2008)					

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Use Schedule J-2 if additional space is needed

Check this box if the organization did not compensate any officer, director, trustee, or key employee

- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and current key employees. Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) or more than \$100,000 from the organization and any related organizations

Garage Contract

- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

(A)	(B)	Jule	<u>y</u> (c)	211 0010	, <u>.</u>	(D)	(E)	(F)
Name and Title	Average	Position (check all that apply)						Reportable	Reportable compensation from	Estimated
	hours per week	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	compensation from the organization (W 2/1099-MISC)	compensation from related organizations (W 2/1099-MISC)	amount of other compensation from the organization and related organizations
WILLIAM L. JEWS										
PRESIDENT	1	Х		X				0.	0.	0.
MARTIN G. KNOTT, JR.										
VICE PRESIDENT	1	_X		X				0.	0.	0.
TERRY E. NOLAN	1 .							_	_	_
SECRETARY	1	Х		Х				0.	0.	0.
JOHN PATERAKIS		١.,		٠.						•
TREASURER	1	Х		X	_	-		0.	0.	0.
LEONARD J. ATTMAN	1	_. ,						0.	0	0
DIRECTOR STUART M. BROOKS	1	Х						0.	0.	0.
DIRECTOR	1	X						0.	0.	0.
RICHARD CERNAK	<u> </u>					<u> </u>	-	0.	<u> </u>	<u> </u>
DIR EMERITUS	1	Х					1	0.	0.	0.
PAIGE T. DAVIS, SR.								0.	0.	<u> </u>
DIRECTOR	1	Х				ļ		0.	0.	0.
NICHOLAS A. DEFELICE								0.	0.	<u></u>
DIRECTOR	1 1	Х			1			0.	0.	0.
JOSEPH A. DEFRANCIS		<u> </u>								
DIRECTOR	1 1	Х						0.	0.	0.
GREGORY DEVOU										
DIRECTOR	1 1	X			į			0.	0.	0.
SCOTT DONAHOO										
DIRECTOR	1	X						0.	0.	0.
JOHN B. FRANZONE										
DIRECTOR	1	X						0.	0.	0.
BILL HOOPER										
DIRECTOR	11	X						0.	0.	0.
JULIE LANDAU]				ĺ					
DIRECTOR	1	Х		<u>L</u> .			ļ	0.	0.	0.
LOUIS J. GRASMICK	1	ĺ								
DIR EMERITUS	1	X	<u> </u>	<u> </u>	<u> </u>	ļ		0.	0.	0.
RICHARD A. GROSSI		1								
DIRECTOR	1	X	<u> </u>		<u> </u>		<u> </u>	0.	0.	0.

Part VIII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (cont.)										
· (A)	(B)			(0	c)			(D)	(E)	(F)
Name and Title	Average hours	<u> </u>	tion (that a		Reportable compensation from	Reportable compensation from	Estimated
	per week	individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated	Former	compensation from the organization (W-2/1099-MISC)	compensation from related organizations (W 2/1099-MISC)	amount of other compensation from the organization and related organizations
CARL T. JULIO										-
DIRECTOR	1	Х						0.	0.	0.
PAMELA W. LAPIDES										
DIRECTOR	1	X						0.	0.	<u> </u>
KIP MANDRIS										
DIRECTOR	1	X						0.	0.	0.
LOUIS P. MATHEWS, JR.					1					
DIRECTOR	1	X						0.	0.	0.
GUY MATRICCIANI, JR.]				1					
DIRECTOR	1	X						0.	0.	0.
LOU MAZZULLI										
DIRECTOR	1	X						0.	0.	0.
DAVID H. NEVINS	İ									
DIRECTOR	1	X			L_	L		0.	0.	0.
JAMES P. O'CONOR										
DIRECTOR	11	X						0.	0.	0.
OSBORNE A PAYNE										
DIR EMERITUS	1	X						0.	0.	0.
MARY JO PONS										
DIR EMERITUS	1	Х		<u> </u>	_	<u></u>		0.	0.	0.
H. FRANCES REAVES										
DIRECTOR	1	Х			ļ			0.	0.	0.
MARTIN R. RESNICK	l				1	}				
DIRECTOR	1_	Х			-	L		0.	0.	0.
VICKIE ROSELLINI	_							_	_	_
DIRECTOR	1	X		l		<u></u>	<u> </u>	0.	0.	0.
1 b Total							•	29,167.	0.	0.
2 Total number of individuals (including those in 1a) who received more than \$100,000 in reportable compensation from the										

organization > 0

3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? If 'Yes,' complete Schedule J for such individual	 3	. 1	X
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If 'Yes' complete Schedule J for such individual	4	-	X
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? If 'Yes,' complete Schedule J for such person	5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization

(A) Name and business address	(B) Description of Services	(C) Compensation
		-

2 Total number of independent contractors (including those in 1) who received more than \$100,000 in compensation from the organization ► 0

Yes No

Total revenue Total revenue Total revenue Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	Revenue excluded from tax under sections 512, 513, or 514
b Membership dues c Fundraising events d Related organizations e Government grants (contributions) f All other contributions, gifts, grants, and similar amounts not included above g Noncash contribus included in lns 1a-1f h Total. Add lines 1a-1f 2a Business Code 2a d e f All other program service revenue			
Business Code 2a b c d e f All other program service revenue			
g Total. Add lines 2a-2f		·	l
3 Investment income (including dividends, interest and other similar amounts) 63,126. 4 Income from investment of tax-exempt bond proceeds Royalties (i) Real (ii) Personal 6a Gross Rents	if the	N.	63,126.
b Less rental expenses c Rental income or (loss) d Net rental income or (loss) 7a Gross amount from sales of assets other than inventory (i) Securities (ii) Other 1, 223, 487.	6,4		
b Less cost or other basis and sales expenses c Gain or (loss) d Net gain or (loss) 8a Gross income from fundraising events (not including \$ 25,000.			-165,306.
of contributions reported on line 1c) See Part IV, line 18 b Less direct expenses c Net income or (loss) from fundraising events 9a Gross income from gaming activities See Part IV, line 19 b Less direct expenses b	156.		
c Net income or (loss) from gaming activities 10 a Gross sales of inventory, less returns and allowances b Less cost of goods sold c Net income or (loss) from sales of inventory Miscellaneous Revenue Business Code			
11a	156.	0.	-102,180.

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

	not include amounts reported on lines 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1		21,000.	21,000.	general expenses	CAPOLISCS
2	Grants and other assistance to individuals in the U.S. See Part IV, line 22	31,538.	31,538.	*	***
3	Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16	32,030	32,000		***************************************
4	Benefits paid to or for members				` :
5	Compensation of current officers, directors, trustees, and key employees	26,333.	0.	26,333.	0.
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1) and persons described in section 4958(c)(3)(B)	0.	0.	0.	0.
7	Other salaries and wages				
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)				
9	Other employee benefits	0.036	-	0.070	
10	Payroll taxes	2,076.		2,076.	
	Fees for services (non-employees) a Management				
	o Legal				
	: Accounting				
	1 Lobbying				
•	Prof fundraising svcs See Part IV, In 17			· ·	
f	Investment management fees				
ç	g Other				
12	Advertising and promotion				
13	Office expenses	12,480.		12,480.	
14	Information technology				
15	Royalties				
16	Occupancy Travel				
17 18	Payments of travel or entertainment expenses for any federal, state, or local public officials.				
19	Conferences, conventions, and meetings				
20	Interest				
21	Payments to affiliates				
22		241.		241.	
23 24	Other expenses Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25				80 y 2 y
	below) a INVESTMENT FEES	11,919.		11,919.	
	b PROFESSIONAL FEES	11,519.		11,557.	
	c INSURANCE	1,634.		1,634.	
	d TELEPHONE	1,216.		1,216.	
(ADMINISTRATIVE EXPENSES	2,313.			
	f All other expenses.	110 001	50 500	65.456	
	Total functional expenses Add lines 1 through 24f	119,994.	52,538.	67,456.	0.
26	Joint Costs. Check here ► if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				
BAA					Form 990 (2008)

Pa	nt X	Balance Sheet					
			(A) Beginning of year		(B End of) f year	
	1	Cash – non-interest-bearing	500.	1		5	500.
	2	Savings and temporary cash investments	138,621.	2	2:	31,3	361.
	3	Pledges and grants receivable, net		3			
	4	Accounts receivable, net		4			
	5	Receivables from current and former officers, directors, trustees, key employees, or other related parties. Complete Part II of Schedule L.		5			
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L		6			
Ą	7	Notes and loans receivable, net		7			
Š	8	Inventories for sale or use		8			
A S E T S	9	Prepaid expenses and deferred charges		9			
,	_	Land, buildings, and equipment cost basis 10a 1, 203.					
		Less accumulated depreciation Complete Part VI of					
		Schedule D 10b 783.	661.	10 c			120.
	11	Investments – publicly-traded securities	1,755,984.	11	1,5	/6,4	184.
	12	Investments – other securities See Part IV, line 11		12			
	13	Investments – program-related See Part IV, line 11		13			
	14	Intangible assets		14			
	15	Other assets See Part IV, line 11	7,676.	15			759.
		Total assets Add lines 1 through 15 (must equal line 34)	1,903,442.	16		17,5	
	17	Accounts payable and accrued expenses	22,347.	17		11, 5	
	18	Grants payable	14,250.	18		6,7	750.
	19	Deferred revenue		19			
Ĭ	20	Tax-exempt bond liabilities.		20			
A B	21	Escrow account liability Complete Part IV of Schedule D		21			
l L T	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II		* *	<u>. 4. '</u>		
i E S		of Schedule L		22			
Š	1	Secured mortgages and notes payable to unrelated third parties		23			
	1	Unsecured notes and loans payable		24			
	25	Other liabilities Complete Part X of Schedule D		25			
	26	Total liabilities. Add lines 17 through 25	36,597.	26		18,2	<u> 263.</u>
N E T		Organizations that follow SFAS 117, check here ► X and complete lines					
		27 through 29 and lines 33 and 34.					
Ş	27	Unrestricted net assets	1,587,870.	27		20,2	
Ē T S	28	Temporarily restricted net assets	278,975.	28	2	78,9	<u> ₹75.</u>
	29	Permanently restricted net assets		29			
O R		Organizations that do not follow SFAS 117, check here ► and complete					
FUZD		lines 30 through 34.	-				-
Ď	30	Capital stock or trust principal, or current funds		30			
B	31	Paid-in or capital surplus, or land, building, and equipment fund		31			
Ā	32	Retained earnings, endowment, accumulated income, or other funds		32			
BALANCES	33	Total net assets or fund balances.	1,866,845.	33		99,2	
	34	Total liabilities and net assets/fund balances.	1,903,442.	34	1,8	17,5	<u>524.</u>
Pa	art X	Financial Statements and Reporting			- r		
1	I Ac	counting method used to prepare the Form 990 X Cash Accrual	Other			Yes	No
2	2a We	ere the organization's financial statements compiled or reviewed by an independent	accountant?		2 a		X
	b We	ere the organization's financial statements audited by an independent accountant?			2b	Х	
	c If '	Yes' to 2a or 2b, does the organization have a committee that assumes responsibilities, or compilation of its financial statements and selection of an independent according	ty for oversight of the a	udıt,	2c	Х	
:		a result of a federal award, was the organization required to undergo an audit or audit Act and OMB Circular A-133?					
				-			X
		Yes,' did the organization undergo the required audit or audits?			3b		
BA	Α				Form	990	(2008

A. C. A. S. C. A.

SCHEDULE A (Form 990 or 990-EZ)

Public Charity Status and Public Support

► Attach to Form 990 or Form 990-EZ. ► See separate instructions.

OMB No 1545-0047

To be completed by all section 501 (c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts.

Open to Public Inspection

Department of the Treasury Internal Revenue Service Name of the organization

FRANK J. BATTAGLIA

SIGNAL 13 FOUNDATION.

Employer identification number

52-1273277

Part I Reason for Public Charity Status (All organizations must complete this part.) (see instructions) The organization is not a private foundation because it is (Please check only one organization) A church, convention of churches or association of churches described in section 170(b)(1)(A)(i). 2 A school described in section 170(b)(1)(A)(ii). (Attach Schedule E) 3 A hospital or cooperative hospital service organization described in section 170(b)(1)(A)(iii). (Attach Schedule H) A medical research organization operated in conjunction with a hospital described in section 170(bX1)(AX)(iii) Enter the hospital's 4 name, city, and state An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 5 170(b)(1)(A)(iv). (Complete Part II) 6 A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v). An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(bX1XAXvi). (Complete Part II) 8 A community trust described in section 170(b)(1)(A)(vi). (Complete Part II) An organization that normally receives (1) more than 33-1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions — subject to certain exceptions, and (2) no more than 33-1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after 9 June 30, 1975 See section 509(a)(2). (Complete Part III) 10 An organization organized and operated exclusively to test for public safety. See section 509(a)(4). (see instructions) An organization organized and operated exclusively for the benefit of, to perform the functions of, or carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h 11 Type III- Other Type II Type III - Functionally integrated By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section If the organization received a written determination from the IRS that is a Type I, Type II or Type III supporting organization, check this box Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons? Yes Nο (i) a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? 11 g (i) a family member of a person described in (i) above? 11 g (ii) a 35% controlled entity of a person described in (i) or (ii) above? 11 g (iii) Provide the following information about the organizations the organization supports h (III) Type of organization (described on lines 1-9 above or IRC section (see instructions)) (v) Did you notify the organization in col (i) of your support? (ı) Name of Supported Organization (II) EIN (vii) Amount of Support (iv) Is the (vi) is the organization in col organization in col (i) listed in your governing document? Yes No Yes No Yes No

BAA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule A (Form 990 or 990-EZ) 2008

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I)

Sec	tion A. Public Support						
Cale: begi:	ndar year (or fiscal year nning in) ►	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
1	Gifts, grants, contributions and membership fees received (Do not include 'unusual grants ')	54,596.	530,887.	48,232.	29,291.	136,495.	799,501.
2	Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf						0.
3	The value of services or facilities furnished to the organization by a governmental unit without charge Do not include the value of services or facilities generally furnished to the public without charge	3,000.	3,000.	3,000.	3,000.	3,000.	15,000.
4	Total. Add lines 1-3	57,596.	533,887.	51,232.	32,291.	139,495.	814,501.
5	The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						16,948.
	Public support. Subtract line 5 from line 4						797,553.
Sec	tion B. Total Support						
Cale begi	ndar year (or fiscal year nning in) ▶	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
7	Amounts from line 4	57,596.	533,887.	51,232.	32,291.	139,495.	814,501.
8	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income form similar sources	47,763.	62,931.	92,155.	75,993.	63,126.	341,968.
9	Net income form unrelated business activities, whether or not the business is regularly carried on						0.
10	Other income Do not include gain or loss form the sale of capital assets (Explain in Part IV) SEE PART IV	4,925.	338,563.	49,468.	82,388.	20,776.	496,120.
11	Total support. Add lines 7 through 10						1,652,589.
12	Gross receipts from related activ	rities, etc (see ins	structions)			12	0.
	First five years. If the Form 990 organization, check this box and	stop here		d, third, fourth,	or fifth tax year as	s a section 501(c)	(3)
Sec	tion C. Computation of Pu						10.0:
14	11 ,		•	e 11, column (f)		14	48.3%
15	Public support percentage for 20	JU/ Schedule A, P	art IV-A, line 26f			15	53.0 %
16 a	a 33-1/3 support test — 2008. If the and stop here. The organization	e organization did qualifies as a pul	not check the book of clicly supported or	x on line 13, and ganization.	the line 14 is 33	-1/3 % or more, cl	heck this box
ŀ	33-1/3 support test — 2007. If the and stop here. The organization				a, and line 15 is 3	3-1/3% or more, o	theck this box
17 á	a 10%-facts-and-circumstances to or more, and if the organization the organization meets the 'fact	meets the 'facts-a	and-circumstances	d' test, check this	box and stop her	re. Explain in Part	IV how
	o 10%-facts-and-circumstances to or more, and if the organization organization meets the 'facts-an	meets the 'facts-a d-circumstances'	and-circumstances test The organiz	s' test, check this zation qualifies as	box and stop he i s a publicly suppo	r e. Explain in Part irted organization	IV how the
	Private foundation. If the organ	zation did not che	eck a box on line,	13, 16a, 16b <u>, 17</u> a			
BAA	•				Sn	nedule A (Form 9)	90 or 990-EZ) 2008

Rårt'lll Support Schedule for Organizations Described in Section 509(a)(2) (Complete only if you checked the box on line 9 of Part I) Section A. Public Support Calendar year (or fiscal yr beginning in) (a) 2004 **(b)** 2005 (c) 2006 (d) 2007 (e) 2008 (f) Total Gifts, grants, contributions and membership fees received (Do not include 'unusual grants') Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in a activity that is related to the organization's tax-exempt purpose Gross receipts from activities that are not an unrelated trade or business under section 513 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf The value of services or facilities furnished by a governmental unit to the organization without charge 6 Total. Add lines 1-5 7a Amounts included on lines 1, 2. 3 received from disqualified persons b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of 1% of the total of lines 9, 10c, 11, and 12 for the year or \$5,000 c Add lines 7a and 7b 8 Public support (Subtract line 7c from line 6) Section B. Total Support Calendar year (or fiscal yr beginning in) ► (a) 2004 **(b)** 2005 (c) 2006 (d) 2007 (e) 2008 (f) Total 9 Amounts from line 6 10 a Gross income from interest. dividends, payments received on securities loans, rents, royalties and income form similar sources **b** Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 c Add lines 10a and 10b 11 Net income from unrelated business activities not included inline 10b. whether or not the business is regularly carried on Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV) \$ 0 13 Total support. (add Ins 9, 10c, 11, and 12) First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) ▶ [organization, check this box and stop here Section C. Computation of Public Support Percentage 15 Public support percentage for 2008 (line 8, column (f) divided by line 13, column (f)) 15 % 16 16 Public support percentage from 2007 Schedule A, Part IV-A, line 27g % Section D. Computation of Investment Income Percentage 17 % Investment income percentage for 2008 (line 10c, column (f) divided by line 13, column (f)) 18 Investment income percentage from 2007 Schedule A, Part IV-A, line 27h 18 % 19a 33-1/3 support tests - 2008. If the organization did not check the box on line 14, and line 15 is more than 33-1/3%, and line 17 is not more than 33-1/3%, check this box and stop here. The organization qualifies as a publicly supported organization b 33-1/3 support tests - 2007. If the organization did not check a box on line 14 or 19a, and line 16 is more than 33-1/3%, and line 18 is not more than 33-1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

Part IV	Supple Part II	ementa	al Info	rmatio	on. Cor	mplete	this pa	art to p	rovide t	he expl	anation	required	l by Par	t II, line	: 10;	
`		-	74 01	170,	<u> </u>	111, 1111	. 12.1	101140	arry our	- uuui	tionar in		11. (500	motract		_
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Schedule A (Form 990 or 990-EZ) 2008 FRANK J. BATTAGLIA

52-1273277

Page 4

SCHEDULE D (Form 990)

Supplemental Financial Statements

OMB No 1545-0047

2008

Department of the Treasury Internal Revenue Service Name of the organization Attach to Form 990. To be completed by organizations that answered 'Yes,' to Form 990, Part IV, lines 6, 7, 8, 9, 10, 11, or 12.

Open to Public Inspection

Name of the organization		Employer Identification number
FRANK J. BATTAGLIA		52-1273277
Part I Organizations Maintaining Dono	r Advised Funds or Other Similar Fur	nds or Accounts Complete if
the organization answered 'Yes'	to Form 990, Part IV, line 6.	
	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and do funds are the organization's property, subject	nor advisors in writing that the assets held in d to the organization's exclusive legal control?	onor advised Yes No
6 Did the organization inform all grantees, done used only for charitable purposes and not for impermissible private benefit??	ors, and donor advisors in writing that grant fun the benefit of the donor or donor advisor or oth	ds may be ner Yes No
Part II Conservation Easements Compl	ete if the organization answered 'Yes'	to Form 990, Part IV, line 7.
1 Purpose(s) of conservation easements held to	by the organization (check all that apply)	
Preservation of land for public use (e g ,	recreation or pleasure) Preservation	of an historically important land area
Protection of natural habitat	Preservation	of certified historic structure
Preservation of open space		
2 Complete lines 2a-2d if the organization held of the tax year	a qualified conservation contribution in the form	m of a conservation easement on the last day
of the tax year		Held at the End of the Year
a Total number of conservation easements		2a
b Total acreage restricted by conservation ease	ements	2 b
c Number of conservation easements on a cert		2c
d Number of conservation easements included		2d
3 Number of conservation easements modified		ated by the organization during the taxable
year ►		
4 Number of states where property subject to o	onservation easement is located ►	
enforcement of the conservation easement it		Yes No
	g, inspecting, and enforcing easements during	-
7 Amount of expenses incurred in monitoring,	inspecting, and enforcing easements during the	year ►\$
8 Does each conservation easement reported of 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)?	on line 2(d) above satisfy the requirements of so	ection Yes No
9 In Part XIV, describe how the organization repor include, if applicable, the text of the footnote conservation easements	ts conservation easements in its revenue and expe to the organization's financial statements that	nse statement, and balance sheet, and describes the organization's accounting for
Part III Organizations Maintaining Colle	ections of Art, Historical Treasures, o	r Other Similar Assets
· · · · · · · · · · · · · · · · · · ·	swered 'Yes' to Form 990, Part IV, line	
1 a If the organization elected, as permitted under treasures, or other similar assets held for pul the text of the footnote to its financial statem	olic exhibition, education, or research in further	
b If the organization elected, as permitted under treasures, or other similar assets held for pul amounts relating to these items	er SFAS 116, not to report in its revenue staten blic exhibition, education, or research in further	nent and balance sheet works of art, historical ance of public service, provide the following
(i) Revenues included in Form 990, Part VII	I, line 1	▶ \$
(ii) Assets included in Form 990, Part X		* \$
2 If the organization received or held works of amounts required to be reported under SFAS		for financial gain, provide the following
a Revenues included in Form 990, Part VIII, lin	e 1	▶ \$
b Assets included in Form 990, Part X		- \$

Schedule D (Form 990) 2008	FRANK J.	BATTAGLTA

	27		

Page 2

Part III Organizations Mainta	ining Colle	ections	of Art, Histo	rica	l Treasures, or	Other Similar	Asset	s (conti	nued)
Using the organization's accession that apply)	on and other	records, o	check any of th	e folle	owing that are a si	gnificant use of its	collect	tion items	(check all
a Public exhibition			d Loan	or exc	change programs				
b Scholarly research			e Other						
c Preservation for future gener	ations								
4 Provide a description of the orga Part XIV	nızatıon's col	llections a	and explain hov	w they	further the organ	ızatıon's exempt p	urpose	ın	
5 During the year, did the organiza assets to be sold to raise funds r	tion solicit or ather than to	receive of be main	donations of ar tained as part	t, hist of the	orical treasures, o organization's col	r other similar lection?		Yes	No
Part IV Trust, Escrow and Cu IV, line 9, or reported	stodial Ar an amoun	rangem t on For	ents Compl m 990, Part	ete i X, I	f organization a ine 21.	answered 'Yes'	to Fo	rm 990,	Part
1a Is the organization an agent, trus included on Form 990, Part X?	stee, custodia	an, or oth	er intermediary	for c	ontributions or oth	er assets not		Yes	No
b If 'Yes,' explain the arrangement	in Part XIV	and comp	lete the follow	ing ta	ble	,			
							Ar	mount	
c Beginning balance						1 c			
d Additions during the year						1 d			
e Distributions during the year						1 e			
f Ending balance						1 f			
2a Did the organization include an a	mount on Fo	rm 990, F	Part X, line 217	,				Yes	No
b If 'Yes,' explain the arrangement	in Part XIV						_		
Part V Endowment Funds Co		rganiza	tion answer	ed 'Y	es' to Form 99	0, Part IV, line	10.		
	(a) Current	t year	(b) Prior yea	r	(c) Two years back	(d) Three years	back	(e) Four y	years back
1a Beginning of year balance								-	
b Contributions					*>	1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1		4 (8 1
c Investment earnings or losses						724 🗮 🕫	*	¥.	
d Grants or scholarships						• ,		Š.:	1
Other expenditures for facilities and programs									
f Administrative expenses						, ,	, , , , , , , , , , , , , , , , , , ,		. *
g End of year balance									
2 Provide the estimated percentag	e of the year	end bala	nce held as						
a Board designated or quasi-endov			%						
b Permanent endowment ►									
c Term endowment ►	{ 								
3a Are there endowment funds not organization by	ın the posses	ssion of th	ne organization	that	are held and admi	nistered for the	_	Ye	s No
(i) unrelated organizations							3	3a(i)	
(ii) related organizations							3	Ba(ii)	
b If 'Yes' to 3a(II), are the related of	organizations	listed as	required on Se	chedu	le R?		L,	3b	
4 Describe in Part XIV the intende	d uses of the	organiza	tion's endowm	ent fu	nds				
Part VI Investments—Land, B	uildings, a	and Equ	i <mark>ipment.</mark> See	e For	m 990, Part X,	line 10.			
Description of investment	t 		or other basis vestment)		Cost or other basis (other)	(c) Depreciation	1	(d) Book	: Value
1 a Land									
b Buildings									
c Leasehold improvements									
d Equipment					1,203.	78	33.		420.
e Other					,				
Total. Add lines 1a-1e (Column (d) sh	ould equal Fo	orm 990.	Part X. column	(B).	line 10(c))		•		420.
BAA		,	,	` //	.,,	S	chedule	e D (Form	990) 2008

Schedule D (Form 990) 2008 FRANK J. BATTAGLIA	Α	52-1273277 Page 3
Part VII Investments-Other Securities See Fo	orm 990, Part X, line 12.	N/A
 (a) Description of security or category (including name of security) 	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Financial derivatives and other financial products		
Closely-held equity interests		
Other		
Total (Column (b) should equal Form 990 Part X, col (B) line 12)		
Part VIII Investments-Program Related (See I	Form 990, Part X, line 1:	3) N/A
(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
		oost of cha of year market value
Total Column (b)(should equal Form 990, Part X, Col (B) line 13)	*	
		2
Part IX Other Assets (See Form 990, Part X,		(b) Book value
Part IX Other Assets (See Form 990, Part X,	line 15) N/A	(b) Book value
Part IX Other Assets (See Form 990, Part X,	line 15) N/A	(b) Book value
Part IX Other Assets (See Form 990, Part X,	line 15) N/A	(b) Book value
Part IX Other Assets (See Form 990, Part X,	line 15) N/A	(b) Book value
Part IX Other Assets (See Form 990, Part X,	line 15) N/A	(b) Book value
Part IX Other Assets (See Form 990, Part X,	line 15) N/A	(b) Book value
Part IX Other Assets (See Form 990, Part X,	line 15) N/A	(b) Book value
Part IX Other Assets (See Form 990, Part X,	line 15) N/A	(b) Book value
Part IX Other Assets (See Form 990, Part X,	line 15) N/A	(b) Book value
Part IX Other Assets (See Form 990, Part X, (a) De Total. Column (b) Total (should equal Form 990, Part X, co	line 15) N/A scription	(b) Book value
Total. Column (b) Total (should equal Form 990, Part X, co Part X Other Liabilities (See Form 990, Part X, co	line 15) N/A scription	
Total. Column (b) Total (should equal Form 990, Part X, co Part X Other Liabilities (See Form 990, Part X, co (a) Description of Liability	line 15) N/A scription	
Total. Column (b) Total (should equal Form 990, Part X, co Part X Other Liabilities (See Form 990, Part X, co	Inne 15) N/A scription of (B), line 15) X, line 25)	
Total. Column (b) Total (should equal Form 990, Part X, co Part X Other Liabilities (See Form 990, Part X, co (a) Description of Liability	Inne 15) N/A scription of (B), line 15) X, line 25)	
Total. Column (b) Total (should equal Form 990, Part X, co Part X Other Liabilities (See Form 990, Part X, co (a) Description of Liability	Inne 15) N/A scription of (B), line 15) X, line 25)	
Total. Column (b) Total (should equal Form 990, Part X, co Part X Other Liabilities (See Form 990, Part X, co (a) Description of Liability	Inne 15) N/A scription of (B), line 15) X, line 25)	
Total. Column (b) Total (should equal Form 990, Part X, co Part X Other Liabilities (See Form 990, Part X, co (a) Description of Liability	Inne 15) N/A scription of (B), line 15) X, line 25)	
Total. Column (b) Total (should equal Form 990, Part X, co Part X Other Liabilities (See Form 990, Part X, co (a) Description of Liability	Inne 15) N/A scription of (B), line 15) X, line 25)	
Total. Column (b) Total (should equal Form 990, Part X, co Part X Other Liabilities (See Form 990, Part X, co (a) Description of Liability	Inne 15) N/A scription of (B), line 15) X, line 25)	
Total. Column (b) Total (should equal Form 990, Part X, co Part X Other Liabilities (See Form 990, Part X, co (a) Description of Liability	Inne 15) N/A scription of (B), line 15) X, line 25)	
Total. Column (b) Total (should equal Form 990, Part X, co Part X Other Liabilities (See Form 990, Part X, co (a) Description of Liability	Inne 15) N/A scription of (B), line 15) X, line 25)	
Total. Column (b) Total (should equal Form 990, Part X, co Part X Other Liabilities (See Form 990, Part X, co (a) Description of Liability	Inne 15) N/A scription of (B), line 15) X, line 25)	
Total. Column (b) Total (should equal Form 990, Part X, co Part X Other Liabilities (See Form 990, Part X, co (a) Description of Liability	Inne 15) N/A scription of (B), line 15) X, line 25)	

In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48

		2-1273277	Page 4
Par	t XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements		
1	Total revenue (Form 990, Part VIII,column (A), line 12)		34,471.
2	Total expenses (Form 990, Part IX, column (A), line 25)		119,994.
3	Excess or (deficit) for the year Subtract line 2 from line 1		-85,523.
4	Net unrealized gains (losses) on investments		400,164.
5	Donated services and use of facilities		
6	Investment expenses		
7	Prior period adjustments		
8	Other (Describe in Part XIV) SEE PART XIV		19,417.
9	Total adjustments (net) Add lines 4-8		419,581.
10	Excess or (deficit) for the year per financial statements. Combine lines 3 and 9		334,058.
Pai	t XII Reconciliation of Revenue per Audited Financial Statements With Revenue per R	eturn	
1	Total revenue, gains, and other support per audited financial statements	1	436,646.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
	Net unrealized gains on investments 2a 400, 164	<u>-</u>	
	Donated services and use of facilities 2b	_	
	Recoveries of prior year grants.	-	
	Other (Describe in Part XIV)	-	400 164
_	Add lines 2a through 2d	2e	400,164.
3	1 1	3	36,482.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
	Investments expenses not included on Form 990, Part VIII, line 7b	4	
	Other (Describe in Part XIV) SEE PART XIV 4b -2,011	- 1 . l	2 011
	Add lines 4a and 4b	4c	-2,011.
	Total revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12) † XIII Reconciliation of Expenses per Audited Financial Statements With Expenses pe		34,471.
		1	102,588.
1 2	Total expenses and losses per audited financial statements Amounts included on line 1 but not on Form 990, Part IX, line 25		102,300.
	a Donated services and use of facilities 23		
	Prior year adjustments 2b		
	c Losses reported on Form 990, Part IX, line 25	-	
	d Other (Describe in Part XIV) SEE PART XIV 2d 2,013	1	
	Add lines 2a through 2d	- 2e	2,013.
	Subtract line 2e from line 1	3	100,575.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		200,0:0:
	Investments expenses not included on Form 990, Part VIII, line 7b		
	Other (Describe in Part XIV) SEE PART XIV 4b 19,419		
	Add lines 4a and 4b	4c	19,419.
	Total expenses Add lines 3 and 4c (This should equal Form 990, Part I, line 18)	5	119,994.
	t XIV Supplemental Information	•	
Corr line	plete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part I'4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b	V, lines 1b and	2b, Part V,
			. – – – – –
	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~		<del>-</del>

Schedule D (Form 990) 2008  Rart*XIV Supplemental Information (continued)	Page <b>5</b>
Rart*XIV Supplemental Information (continued)	
•	

TEEA3305L 07/24/08

Schedule D (Form 990) 2008

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#### SCHEDULE G (Form 990 or 990-EZ)

## Supplemental Information Regarding Fundraising or Gaming Activities

4, 1 . . . . . . .

OMB No 1545 0047

2008

Department of the Treasury Internal Revenue Service Must be completed by organizations that answer 'Yes' to Form 990, Part IV, lines 17, 18, or 19, and by organizations that enter more than \$15,000 on Form 990-EZ, line 6a.

Open to Public Inspection

Jame of the organization FRANK J. BATT		TNO	Employer identification number			
SIGNAL 13 FOU					52-127327	
Part I Fundraising Activities.						line 17.
1 Indicate whether the organization	raised funds thi	rough any	of the foll		· · ·	
Mail solicitations				Solicitation of non-	-	
Email solicitations				Solicitation of gove	rnment grants	
Phone solicitations				Special fundraising	events	
In-person solicitations						
Co Dud the assessment on house well-to-				-171-1		
2a Did the organization have written of employees listed in Form 990, Par	or oral agreeme t VII) or entity i	ent with ar in connect	iy inaiviau ion with n	iai (including officers, di irofessional fundraising	rectors, trustees or key services?	Yes X No
· •	•		•	•		
<b>b</b> If 'Yes,' list the ten highest paid in compensated at least \$5,000 by the	uividuais or em le organization	Form 990	EZ filers i	are not required to com	under which the lundra plete this table	ilser is to be
					(v) Amount paid to	·
(i) Name of Individual	(ii) Activity		fundraiser	(iv) Gross receipts	(or retained by)	(vi) Amount paid to
or entity (fundraiser)	have custody or control from activity f		fundraiser listed in	(or retained by)		
<del></del>		<del> </del>			col (ı)	organization
		Yes	No			
		1				
			-			
						i
					<del></del>	
			j			
				-		
Total			<b>&gt;</b>			0.
2   1   cal   cal	-1					
3 List all states in which the organiz or licensing	ation is register	ed or lice	nsed to so	olicit funds or has been	notified it is exempt fro	m registration
,						
		<b></b>				
		<b></b>				<del>-</del>
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				<del>-</del> -		

 $\phi^{*} = \phi^{*}$  .

rai		reported more than \$15,000 on F	orm 990-EZ. line 6	a. List events with	gross receipts grea	ater than	\$5.00	00.		
	<u></u> :		(a) Event #1 BLUE THUNDER E	(b) Event #2	(c) Other Events	(d) Tota (Add col	al Ever	 nts		
R			(event type)	(event type)	(total number)		(c))			
REVENUE	1	Gross receipts	36,627.				36,6	<u>527 .</u>		
Ĕ	2	Less Charitable contributions	25,000.				25,0	000.		
	3	Gross revenue (line 1 minus line 2)	11,627.				11,6	527.		
	4	Cash prizes	'							
DIRECT	5	Non-cash prizes								
	6	Rent/facility costs								
EXPEZSES	7	Other direct expenses	20,620.				20,6	520.		
		Direct expense summary Add lines 4- th Net income summary Combine lines 3 a	<b>&gt;</b>		20,620. -8,993.					
Par	t III	<b>Gaming.</b> Complete if the organiza \$15,000 on Form 990-EZ, line 6a	ation answered 'Ye	s' to Form 990, Pa	rt IV, line 19, or rep	oorted mo	ore th	nan		
RE>E20E			(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	<b>(d)</b> Tota (Add col col	al gamı (a) thr (c))	ing ough		
U E	1	Gross revenue								
_	2	Cash prizes								
D P E N S E S T S	3	Non-cash prizes								
Č S T E S	4	Rent/facility costs								
	5	Other direct expenses								
	6	Volunteer labor	Yes%	Yes%	Yes%			·		
	7	Direct expense summary Add lines 2 thr	ough 5 in column (d)		•					
	8	Net gaming income summary Combine	lines 1 and 7 in column	(d)	•		1	T		
9	Ent	er the state(s) in which the organization of	nerates gaming activitie	os			YES	NO		
_		ne organization licensed to operate gaming				9a	1			
ŀ	If 'N	lo,' Explain								
					<b>_</b>					
	10 a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?									
<b>b</b> If 'Yes,' Explain										
11		s the organization operate gaming activities	es with popmembers?							
11 12				ember of a partnership	or other entity formed t					
=	Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?									

•		YES	NO
13 Indicate the percentage of gaming activity operated in			
a The organization's facility	]		į
b An outside facility 13b %	1		ł
14 Provide the name and address of the person who prepares the organization's gaming/special events books and records			ļ
Name ►			:
Address •			:
15a Does the organization have a contact with a third party from whom the organization receives gaming revenue?	15a	-	'
b If 'Yes,' enter the amount of gaming revenue received by the organization \$ and the amount		$\neg$	
of gaming revenue retained by the third party \$			1
c If 'Yes,' enter name and address			ĺ
			[
Name •			1
		1	
Address •			
16 Gaming manager information			1 1 1
Name •			
Name			
Gaming manager compensation > \$			
		. 1	
Description of services provided			
☐ Director/officer ☐ Employee ☐ Independent contractor			
17 Mandatory distributions			
a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?	17a	-	
<b>b</b> Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the			
organization's own exempt activities during the tax year ▶ \$			
RAA TESASTORI OZURIOS Schedule G (Form 99	n or go	犯・Fフト	2008

. . . . . . . . . . . .

52-1273277 Page **3** 

Schedule G (Form 990 or 990-EZ) 2008 FRANK J. BATTAGLIA

## SCHEDULE, J-2 (Form 990)

#### **Continuation Sheet for Form 990**

OMB No 1545-0047

2008

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.

Name of the Organization Employer Identification number FRANK J. BATTAGLIA 52–1273277

Part I Continuation: Officers Employees	, Directors,	irus	iee:	s, r	vey	Emp	ю	ees, and nighest	Compensated	
(A)	(B)				C)			(D)	(E)	(F)
Name and Title	Average hours per week	Pos	ition (		k all i	that app	_	Reportable compensation from	Reportable	Estimated amount of other
	per week	or d	Insti	Officer	řey	Highest compensated employee	Former	the organization (W-2/1099 MISC)	Reportable compensation from related organizations (W-2/1099-MISC)	compensation from the
		Individual trustee or director	Institutional trust	ĕ	Key employee	est c loye	ner	(	(,	organization and related
		2 5	nal t		oloye	omp				organizations
		stee	ruste		rō	ensa				
			tee			ated				
HENRY A. ROSENBERG, JR.	_								_	_
DIRECTOR DIAGRAM TR	1	X						0.	0.	0.
GEORGE L. RUSSELL, JR.	,	, v						0		0
DIR EMERITUS JIM STREETT	1	X						0.	0.	0.
DIRECTOR	1	X						0.	0.	0.
KAREN SCHUSTER	<u> </u>	^						0.	0.	<u></u>
DIRECTOR	1	X						0.	0.	0.
HANAN SIBEL		**			-		<b></b>	0.	0.	<u> </u>
DIRECTOR	1	Х						0.	0.	0.
JOHN VONTRAN										·····
DIRECTOR	1	X						0.	0.	0.
JORDAN WERTLIEB										
DIRECTOR	1	X						0.	0.	0.
PAUL G. WOOD										
DIRECTOR	1	X						0.	0.	0.
KENNY BROWN	_								_	
DIRECTOR	11	X						0.	0.	0.
MARC DUBIN		,,								
DIRECTOR BARBARA BRANDNER	1	X		-				0.	0.	0.
EXECUTIVE DIREC	20			X				29,167.	0.	0.
EXECUTIVE DIREC	20			^	<u> </u>	-	-	23,107.	0.	<u> </u>
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# SCHEDULE I (Form 990)

Grants and Other Assistance to Organizations, Governments and Individuals in the U.S.

OMB No 1545-0047

•;•

Open to Public Inspection

► Complete if the organization answered 'Yes,' on Form 990, Part IV, lines 21 or 22.

► Attatch to Form 990.

Employer identification number 52-1273277 FRANK J. BATTAGLIA Department of the Treasury Internal Revenue Service Name of the organization

Schedule I (Form 990) 2008	S	12/19/08	TEEA3901L 12/19/08	for Form 990.	ee the Instructions	uction Act Notice, so	BAA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.
•	,					tions	3 Enter total number of other organizations
<b>A</b>					organizations	(3) and government	2 Enter total number of section 501(c)(3) and government organizations
					-		
<del></del>							
(h) Purpose of grant or assistance	(g) Description of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(e) Amount of non-cash assistance	(d) Amount of cash grant	(c) IRC section if applicable	(b) EIN	1 (a) Name and address of organization or government
Yes' on Form ,000. Use ►X	tion answered more than \$5	te if the organiza	ed States. Comple this box if no one i	I Organizations in the United States. Complete if the organization answered 'Yes' on F more than \$5,000. Check this box if no one recipient received more than \$5,000. Use pace is needed	ents and Organ received more the litional space is	nce to Governm y recipient that i Form 990) if add	Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered 'Yes' on Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed
]		SEE PART IV	States	use of grant funds in the United States	intoring the use of g	procedures for mor	2 Describe in Part IV the organization's procedures for monitoring the
X Yes No	ce, and	he grants or assistan	grantees' eligibility for t	of the grants or assistance, the grantees' eligibility for the grants or assistance, and		ds to substantiate the he grants or assistar	1 Does the organization maintain records to substantiate the amount the selection criteria used to award the grants or assistance?
					ance	rants and Assist	Part   General Information on Grants and Assistance

BATTAGLIA

FRANK J.

Schedule I (Form 990) 2008

Part III

Page 2

Grants and Other Assistance to Individuals in the United States. Complete if the organization answered 'Yes' on Form 990, Part IV, line 22. Use Schedule I-1 (Form 990) if additional space is needed (f) Description of non-cash assistance Parily Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information (e) Method of valuation (book, FMV, appraisal, other) RECEIVES AND REVIEWS THE REQUIRED INFORMATION FROM THE SCHOLARSHIP RECIPIENTS, AS THE BOARD OF DIRECTOR'S GRANT ASSESSMENT COMMITTEE MONITORS THE USE OF THE GRANT AWARDS AND REVIEWS EXPENDITURES FUNDED BY THE AWARDS. THE SCHOLARSHIP COMMITTEE (d) Amount of non-cash assistance PART I, LINE 2 - GRANTMAKER'S DESCRIPTION OF HOW GRANTS ARE USED. 31,538 (c) Amount of cash grant 19 DESCRIBED IN THE SCHOLARSHIP APPLICATION. (b) Number of recipients (a) Type of grant or assistance FINANCIAL ASSISTANCE BAA

Schedule I (Form 990) 2008

## SCHEDULE O (Form 990)

#### **Supplemental Information to Form 990**

OMB No 1545 0047

Department of the Treasury Internal Revenue Service

► Attach to Form 990. To be completed by organizations to provide additional information for responses to specific questions for the Form 990 or to provide any additional information.

Name of the organization FRANK J. BATTAGLIA SIGNAL 13 FOUNDATION, INC.	Employer identification number 52-1273277
FORM 990, PART VI, LINE 10 - FORM 990 REVIEW PROCESS	02 12/02//
THE FORM 990 IS REVIEWED BY THE ORGANIZATION'S BOARD	
FORM 990, PART VI, LINE 15B - COMPENSATION REVIEW & APPROV	AL PROCESS FOR OFFICERS & KEY EMPLOYEE
THE BOARD OF DIRECTORS REVIEWS THE PERFORMANCE OF THE	EXECUTIVE DIRECTOR ON AN
ANNUAL BASIS AND DECIDES ON AN APPROPRIATE INCREASE I	N COMPENSATION, IF ANY.
FORM 990, PART VI, LINE 19 - OTHER ORGANIZATION DOCUMENTS I	PUBLICLY AVAILABLE
THE ORGANIZATION'S PROVIDES ITS GOVERNING DOCUMENTS,	FORM 990 AND FINANCIAL
STATEMENTS UPON RECEIPT OF A WRITTEN REQUEST.	
	<del>-</del>

2008

7. ·

## SCHEDULE A, PART IV - SUPPLEMENTAL INFORMATION PAGE 5

FRANK J. BATTAGLIA SIGNAL 13 FOUNDATION, INC.

52-1273277

NATURE AND SOURCE		2008	2007	2006	2005	2004
SPECIAL EVENTS	TOTAL 3	20,776. \$ 20,776.	82,388. \$ 82,388.	\$ 49,468. \$ 49,468.	338,563. \$ 338,563.	4,925. \$ 4,925.

20	^	O
ZU	U	O

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#### **SCHEDULE D, PART XIV - SUPPLEMENTAL INFORMATION PAGE 6**

FRANK J. BATTAGLIA SIGNAL 13 FOUNDATION, INC.

*** * * * * * * * * *

52-1273277

-12,847.

SCHEDULE D, PART XI, LINE 8
OTHER CHANGES IN NET ASSETS OR FUND BALANCES

GAAP ADJUSTMENT - ACCRUALS

TOTAL \$ 19,417. \$ 19,417.

#### SCHEDULE D, PART XII, LINE 4B OTHER REVENUE INCLUDED ON FORM 990 BUT NOT INCLUDED IN F/S

ACCOUNTS PAYABLE, SPECIAL EVENTS ACCRUED INTEREST RECEIVABLE 11/08 ACCRUED INTEREST RECEIVABLE 11/09 INVESTMENT EXPENSES

7,676. -8,759. 11,919. TOTAL \$ -2,011.

\$

## SCHEDULE D, PART XIII, LINE 2D OTHER EXPENSES AND LOSSES PER AUDITED F/S

PAYABLE ADJUSTMENT

TOTAL \$ 2,013. \$ 2,013.

#### SCHEDULE D, PART XIII, LINE 4C OTHER REVENUE INCLUDED ON FORM 990 BUT NOT INCLUDED IN F/S

INVESTMENT EXPENSES SCHOLARSHIP PAYABLE 1109

\$ 11,919. 7,500. TOTAL \$ 19,419.

Form 8868	(Rev 4-2009)	Page	2
• If you a	re filing for an Additional (Not Automatic) 3-Month Extension, complete only	y Part II and check this box	
Note. Only	complete Part II if you have already been granted an automatic 3-month exte	ension on a previously filed Form 8868	_
• If you a	re filing for an Automatic 3-Month Extension, complete only Part I (on page	1)	_
Partill	Additional (Not Automatic) 3-Month Extension of Time. Only	file the original (no copies needed).	_
	Name of Exempt Organization	Employer identification number	
Type or	FRANK J. BATTAGLIA		
print	SIGNAL 13 FOUNDATION, INC.	52-1273277	
File by the	Number, street, and room or suite number. If a P.O. box, see instructions	For IRS use only	
extended due date for	GROSS MENDELSOHN & ASSOCIATES P.A.		
filing the return See	36 S CHARLES ST STE 1800		ê
instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions		.51
	BALTIMORE, MD 21201-3102		ր:
	of return to be filed (File a separate application for each return)		
X Form 9		Form 1041-A Form 6069	
Form 9		Form 4720 Form 8870	
Form 9		Form 5227	_
	not complete Part II if you were not already granted an automatic 3-month e	xtension on a previously filed Form 8868.	_
	ks are in care of BARBARA BRANDNER		
-	one No ► 410-335-1056 FAX No. ►		$\neg$
	rganization does not have an office or place of business in the United States		
	s for a Group Return, enter the organization's four digit Group Exemption Nur		!
	p, check this box If it is for part of the group, check this box	and attach a list with the names and EINs of all	
	ne extension is for	10	—
	lest an additional 3-month extension of time until $10/15$ , 20 1		
		08 , and ending 11/30 , 20 09	
	·	Final return Change in accounting period	
	In detail why you need the extension TAXPAYER RESPECTFULLY		
GAI	HER INFORMATION NECESSARY TO FILE A COMPLETE AND	ACCURATE TAX RETURN.	
nonre	application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tent fundable credits. See instructions	8a \$	
P4),,	application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable of ents made. Include any prior year overpayment allowed as a credit and any form 8868.	credits and estimated tax amount paid previously 8b\$	
c Balai with	ice Due. Subtract line 8b from line 8a Include your payment with this form, of TD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment	or, if required, deposit t System). See instrs. 8c\$	
	Signature and Verificatio		_
Under penaltie correct, and co	s of perjury, I declare that I have examined this form, including accompanying schedules and statement implete and that I am authorized to prepare this form	ts, and to the best of my knowledge and belief, it is true,	
Signature -	Jam E. Cury Title - CPA	Date ► 7/7/13	_
ваа	FIFZ0502L 03/11/09	Form <b>8868</b> (Rev 4-200	09)

## Form **8868** (Rev April 2009)

Application for Extension of Time To File an Exempt Organization Return

Department of the Treasury Internal Revenue Service

► File a separate application for each return.

OMB No 1545-1709

If you are	filing for an Automatic 3-Month	Extension, complete only Part I and check this box			►  X
		omatic) 3-Month Extension, complete only Part II (or			
		dy been granted an automatic 3-month extension on		filed Form 8868	
Partiling	<b>Automatic 3-Month Extens</b>	ion of Time. Only submit original (no copie	s needed).		
•	·	requesting an automatic 6-month extension — check			► 🗌
All other corp income tax re	porations (including 1120-C filers) eturns	, partnerships, REMICS, and trusts must use Form 7	004 to reques	t an extension of time	e to file
the additiona Form 990-T	ling (e-file). Generally, you can el I below (6 months for a corporation I (not automatic) 3-month extensions Instead, you must submit the full Int www.irs.gov/efile.and.click.on.e	ectronically file Form 8868 if you want a 3-month aut on required to file Form 990-T). However, you cannot on or (2) you file Forms 990-BL, 6069, or 8870, grou y completed and signed page 2 (Part II) of Form 8866 e-file for Charities & Nonprofits	omatic extens file Form 886 p returns, or a 3 For more di	sion of time to file on 8 electronically if (1) a composite or conso etails on the electron	e of the you want lidated ic filing of
	Name of Exempt Organization	· · · · · · · · · · · · · · · · · · ·		Employer identification no	umber
Type or	FRANK J. BATTAGLIA				
print	SIGNAL 13 FOUNDATION	, INC.		52-1273277	
File by the due date for	Number, street, and room or suite number	If a P O box, see instructions		14	
filing your return See	P.O. BOX 24801				
instructions	City, town or post office, state, and ZIP cod	e For a foreign address, see instructions			
	BALTIMORE, MD 21220-	0801			
Check type o	of return to be filed (file a separat	e application for each return).			
X Form 990		Form 990-T (corporation)	Form 472	20	
Form 990	)-BL	Form 990-T (section 401(a) or 408(a) trust)	Form 522	27	
Form 990	)-EZ	Form 990-T (trust other than above)	Form 606	69	
Form 990	)-PF	Form 1041-A	Form 887	70	
Telephone If the orga If this is f check this the exten	or a Group Return, enter the orga s box F lf it is for part of the sion will cover	FAX No ► or place of business in the United States, check this anization's four digit Group Exemption Number (GEN) ne group, check this box ► and attach a list with	If the names a		
		ns for a corporation required to file Form 990-T) exter			
	$\frac{7}{15}$ , 20 $\frac{10}{10}$ , to file ension is for the organization's re	the exempt organization return for the organization naturn for:	amed above		
▶ ∏	calendar year 20 or				
<b>►</b> X	tax year beginning 12/01	$_{\rm 1}$ , 20 $08$ , and ending $11/30$ , 20 $0$	09_		
2 If this ta	ax year is for less than 12 months	s, check reason	ırn 🔲 C	Change in accounting	period
	pplication is for Form 990-BL, 99 indable credits. See instructions.	D-PF, 990-T, 4720, or 6069, enter the tentative tax, le	ess any	3a \$	0.
b If this a made 1	pplication is for Form 990-PF or 9 nclude any prior year overpayme	990-T, enter any refundable credits and estimated tax nt allowed as a credit	payments	3b\$	0.
c Balance deposit See ins	• Due. Subtract line 3b from line with FTD coupon or, if required, tructions	Ba Include your payment with this form, or, if require by using EFTPS (Electronic Federal Tax Payment Sy	d, stem)	3c \$	0.
Caution. If yo payment insti		c fund withdrawal with this Form 8868, see Form 845	3-EO and For	rm 8879-EO for	
BAA For Pri	vacy Act and Paperwork Reducti	on Act Notice, see instructions.		Form <b>8868</b> (Re	ev 4-2009)