NOTICE 2008-6 STATUS CHANGE

Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation**

**Note:** The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

**2008**

**For calendar year 2008, or tax year beginning** 12/01 , 2008, and ending 11/30, 20 09

G Check all that apply: [X] Initial return [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: THE ARR TRUST D CHARITABLE TRUST

A Employer identification number: 36-6944752

Number and street (or P O box number if mail is not delivered to street address): BANK OF AMERICA,NA 231 S LASALLE ST

Room/suite:

B Telephone number (see page 10 of the instructions): (312) 923-1628

City or town, state, and ZIP code: CHICAGO, IL 60697

C If exemption application is pending, check here ▶ [ ]

D 1 Foreign organizations, check here ▶ [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation

[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 3,845,328.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) ____________ *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

**Part I Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc., received (attach schedule) | | | | |
| | 2 Check ▶ [ ] if the foundation is **not** required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 107,423. | 107,167. | | STMT 1 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | -59,725. | | | |
| | b Gross sales price for all assets on line 6a 785,870. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less: Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) | 34. | 34. | | STMT 2 |
| | 12 **Total.** Add lines 1 through 11 | 47,732. | 107,201. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 23,201. | 13,921. | | 9,280. |
| | 14 Other employee salaries and wages | | NONE | NONE | |
| | 15 Pension plans, employee benefits | | NONE | NONE | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) | | | | |
| | c Other professional fees (attach schedule) | | | | |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see page 14 of the instructions) STMT 3 | 3,566. | 816. | | |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | NONE | NONE | |
| | 22 Printing and publications | | NONE | NONE | |
| | 23 Other expenses (attach schedule) STMT 4 | 985. | 35. | | 950. |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 27,752. | 14,772. | NONE | 10,230. |
| | 25 Contributions, gifts, grants paid | 90,132. | | | 90,132. |
| | 26 Total expenses and disbursements Add lines 24 and 25 | 117,884. | 14,772. | NONE | 100,362. |
| | 27 Subtract line 26 from line 12: | | | | |
| | a Excess of revenue over expenses and disbursements | -70,152. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 92,429. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | | |

RECEIVED APR 13 2010 OGDEN, UT IRS-OSC

SCANNED APR 16 2010

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

| | | Beginning of year | End of year | |
|---|---|---|---|---|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| **Assets** 1 | Cash - non-interest-bearing | | | |
| 2 | Savings and temporary cash investments | 269,119. | 85,149. | 85,149. |
| 3 | Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| 4 | Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| 5 | Grants receivable | | | |
| 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) | | | |
| 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | Less: allowance for doubtful accounts ▶ NONE | | | |
| 8 | Inventories for sale or use | | | |
| 9 | Prepaid expenses and deferred charges | | | |
| 10 a | Investments - U S and state government obligations (attach schedule) | | | |
| b | Investments - corporate stock (attach schedule) | 3,620,127. | 3,734,092. | 3,760,179. |
| c | Investments - corporate bonds (attach schedule) | | | |
| 11 | Investments - land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | |
| 12 | Investments - mortgage loans | | | |
| 13 | Investments - other (attach schedule) | | | |
| 14 | Land, buildings, and equipment: basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | |
| 15 | Other assets (describe ▶ ) | | | |
| 16 | **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I) | 3,889,246. | 3,819,241. | 3,845,328. |
| **Liabilities** 17 | Accounts payable and accrued expenses | | | |
| 18 | Grants payable | | | |
| 19 | Deferred revenue | | | |
| 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| 21 | Mortgages and other notes payable (attach schedule) | | | |
| 22 | Other liabilities (describe ▶ ) | | | |
| 23 | **Total liabilities** (add lines 17 through 22) | | | |
| **Net Assets or Fund Balances** | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| 24 | Unrestricted | | | |
| 25 | Temporarily restricted | | | |
| 26 | Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ X** | | | |
| 27 | Capital stock, trust principal, or current funds | 3,889,246. | 3,819,241. | |
| 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| 30 | **Total net assets or fund balances** (see page 17 of the instructions) | 3,889,246. | 3,819,241. | |
| 31 | **Total liabilities and net assets/fund balances** (see page 17 of the instructions) | 3,889,246. | 3,819,241. | |

**Part III Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 3,889,246. |
| 2 | Enter amount from Part I, line 27a | 2 | -70,152. |
| 3 | Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 5 | 3 | 147. |
| 4 | Add lines 1, 2, and 3 | 4 | 3,819,241. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 3,819,241. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co ) | (b) How acquired P-Purchase D-Donation | (c) Date acquired (mo , day, yr.) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | SEE PART IV DETAIL | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

2 Capital gain net income or (net capital loss) . . . . . { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } 2 -59,725.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions).
If (loss), enter -0- in Part I, line 8. . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . } 3

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income N/A

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2007 | | | |
| 2006 | | | |
| 2005 | | | |
| 2004 | | | |
| 2003 | | | |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | 2 | |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . . . . . . . . | 3 | |
| 4 | Enter the net value of noncharitable-use assets for 2008 from Part X, line 5 . . . . . . . . . . | 4 | |
| 5 | Multiply line 4 by line 3 . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | 5 | |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) . . . . . . . . . . . . . . . . . . | 6 | |
| 7 | Add lines 5 and 6 . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | 7 | |
| 8 | Enter qualifying distributions from Part XII, line 4 . . . . . . . . . . . . . . . . . . . . . . . | 8 | |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling letter: __________ (attach copy of ruling letter if necessary - see instructions) . . . . | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b . . . . | 1 | 1,849. |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . | 2 | |
| 3 | Add lines 1 and 2 . . . | 3 | 1,849. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . | 4 | NONE |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- . . . | 5 | 1,849. |
| 6 | Credits/Payments: | | |
| a | 2008 estimated tax payments and 2007 overpayment credited to 2008 . . . . 6a 2,750. | | |
| b | Exempt foreign organizations-tax withheld at source . . . 6b NONE | | |
| c | Tax paid with application for extension of time to file (Form 8868) . . . 6c NONE | | |
| d | Backup withholding erroneously withheld . . . 6d | | |
| 7 | Total credits and payments. Add lines 6a through 6d . . . | 7 | 2,750. |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached . . . | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . ▶ | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . ▶ | 10 | 901. |
| 11 | Enter the amount of line 10 to be: **Credited to 2009 estimated tax** ▶ 901. **Refunded** ▶ | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . | 1b | | X |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? . . . | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . *If "Yes," attach a detailed description of the activities* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* . . . | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? . . . | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? . . . | 4b | N/A | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . *If "Yes," attach the statement required by General Instruction T.* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV* . . | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ STMT 6 | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* . . . | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2008 or the taxable year beginning in 2008 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV* . . . | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* . . . | 10 | | X |

**Part VII-A Statements Regarding Activities** *(continued)*

| | | Line | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ NONE

14 The books are in care of ▶ BANK OF AMERICA, N.A. Telephone no ▶ (312)923-1658
Located at ▶ 231 SOUTH LASALLE STREET, CHICAGO, IL ZIP + 4 ▶ 60697

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | | Line | Yes | No |
|---|---|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly): | | | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes | ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes | ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☒ Yes | ☐ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☒ Yes | ☐ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes | ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | ☐ Yes | ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? | | | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2008? | | | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | | | |
| a | At the end of tax year 2008, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2008? | ☐ Yes | ☒ No | | | |
| | If "Yes," list the years ▶ ______, ______, ______, ______ | | | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions.) | | | 2b | N/A | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______ | | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes | ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2008 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2008.)* | | | 3b | N/A | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2008? | | | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

5a During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** N/A
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
*If "Yes," attach the statement required by Regulations section 53.4945-5(d).*

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X
*If you answered "Yes" to 6b, also file Form 8870.*

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SEE STATEMENT 7 | | 23,201. | -0- | -0- |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | NONE | NONE | NONE |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ NONE

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | NONE |
| | | |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services ▶ NONE

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 NONE | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B Summary of Program-Related Investments** (see page 23 of the instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments See page 24 of the instructions | |
| 3 NONE | |
| **Total.** Add lines 1 through 3 ▶ | |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 3,236,974. |
| b | Average of monthly cash balances | 1b | 201,786. |
| c | Fair market value of all other assets (see page 24 of the instructions) | 1c | NONE |
| d | **Total** (add lines 1a, b, and c) | 1d | 3,438,760. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) [1e] | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | NONE |
| 3 | Subtract line 2 from line 1d | 3 | 3,438,760. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions) | 4 | 51,581. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 3,387,179. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 169,359. |

## Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 169,359. |
| 2a | Tax on investment income for 2008 from Part VI, line 5 | 2a | 1,849. | | |
| b | Income tax for 2008. (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 1,849. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 167,510. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | NONE |
| 5 | Add lines 3 and 4 | | | 5 | 167,510. |
| 6 | Deduction from distributable amount (see page 25 of the instructions) | | | 6 | NONE |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 167,510. |

## Part XII Qualifying Distributions (see page 25 of the instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes. | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 100,362. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | NONE |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | NONE |
| b | Cash distribution test (attach the required schedule) | 3b | NONE |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 100,362. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions) | 5 | |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 100,362. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

## Part XIII Undistributed Income (see page 26 of the instructions)

| | | (a) Corpus | (b) Years prior to 2007 | (c) 2007 | (d) 2008 |
|---|---|---|---|---|---|
| 1 Distributable amount for 2008 from Part XI, line 7 | | | | | 167,510. |
| 2 Undistributed income, if any, as of the end of 2007 | | | | | |
| a Enter amount for 2007 only | | | | NONE | |
| b Total for prior years 20____,20____,20____ | | | NONE | | |
| 3 Excess distributions carryover, if any, to 2008. | | | | | |
| a From 2003 | NONE | | | | |
| b From 2004 | NONE | | | | |
| c From 2005 | NONE | | | | |
| d From 2006 | NONE | | | | |
| e From 2007 | NONE | | | | |
| f **Total** of lines 3a through e | | NONE | | | |
| 4 Qualifying distributions for 2008 from Part XII, line 4: ▶ $ 100,362. | | | | | |
| a Applied to 2007, but not more than line 2a | | | | NONE | |
| b Applied to undistributed income of prior years (Election required - see page 26 of the instructions) | | | NONE | | |
| c Treated as distributions out of corpus (Election required - see page 26 of the instructions) | | NONE | | | |
| d Applied to 2008 distributable amount | | | | | 100,362. |
| e Remaining amount distributed out of corpus | | NONE | | | |
| 5 Excess distributions carryover applied to 2008 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | | NONE | | | NONE |
| 6 **Enter the net total of each column as indicated below:** | | | | | |
| a Corpus Add lines 3f, 4c, and 4e. Subtract line 5 | | NONE | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | | NONE | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | NONE | | |
| d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions | | | NONE | | |
| e Undistributed income for 2007. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions | | | | NONE | |
| f Undistributed income for 2008 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2009 | | | | | 67,148. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) | | NONE | | | |
| 8 Excess distributions carryover from 2003 not applied on line 5 or line 7 (see page 27 of the instructions) | | NONE | | | |
| 9 **Excess distributions carryover to 2009.** Subtract lines 7 and 8 from line 6a | | NONE | | | |
| 10 Analysis of line 9: | | | | | |
| a Excess from 2004 | NONE | | | | |
| b Excess from 2005 | NONE | | | | |
| c Excess from 2006 | NONE | | | | |
| d Excess from 2007 | NONE | | | | |
| e Excess from 2008 | NONE | | | | |

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2008, enter the date of the ruling . . . . . . . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2008 | (b) 2007 | (c) 2006 | (d) 2005 | (e) Total |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test - enter | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 27 of the instructions.)**

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year*<br><br>SEE STATEMENT 8 | | | | |
| **Total** . . . . . . . . ▶ **3a** | | | | 90,132. |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . ▶ **3b** | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income (a) Business code | (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | (d) Amount | (e) Related or exempt function income (See page 28 of the instructions.) |
|---|---|---|---|---|---|
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 107,423. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | -59,725. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: a | | | | | |
| b OTHER INCOME | | | 14 | 34. | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | | | 47,732. | |

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 47,732.

(See worksheet in line 13 instructions on page 28 to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 28 of the instructions.) |
|---|---|
| | NOT APPLICABLE |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | Yes | No |
|---|---|---|---|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Mary Frost    4/7/10    SR. V.P.

Signature of officer or trustee    BANK OF AMERICA, TRUSTEE    Date    Title

**Paid Preparer's Use Only**

| Preparer's signature | Date | Check if self-employed ☐ | Preparer's identifying number (See Signature on page 30 of the instructions) |
|---|---|---|---|
| Firm's name (or yours if self-employed), address, and ZIP code | | EIN | |
| | | Phone no | |

THE ARR TRUST D CHARITABLE TRUST
36-6944752
Balance Sheet
11/30/2009

| Cusip | Asset | Units | Basis | Market Value |
|---|---|---|---|---|
| 00206R102 | AT&T INC | 396 000 | 13,829 61 | 10,668 24 |
| 002824100 | ABBOTT LABS | 105.000 | 5,697 03 | 5,721 45 |
| 009158106 | AIR PRODS & CHEMS INC | 101.000 | 7,603 34 | 8,375 93 |
| 02209S103 | ALTRIA GROUP INC | 150 000 | 2,459 85 | 2,821.50 |
| 025537101 | AMERICAN ELEC PWR INC | 194 000 | 6,077.67 | 6,244 86 |
| 02553E106 | AMERICAN EAGLE OUTFITTERS NEW | 47 000 | 654 62 | 722.86 |
| 032654105 | ANALOG DEVICES INC | 79 000 | 1,948 69 | 2,369 21 |
| 053015103 | AUTOMATIC DATA PROCESSING INC | 73 000 | 2,603.24 | 3,171.85 |
| 054303102 | AVON PRODS INC | 157.000 | 5,404 86 | 5,377 25 |
| 055622104 | BP P L C | 50 000 | 3,017 13 | 2,859.00 |
| 101121101 | BOSTON PROPERTIES INC | 50 000 | 5,705.73 | 3,349.00 |
| 166764100 | CHEVRON CORP | 100 000 | 8,721.79 | 7,804 00 |
| 194162103 | COLGATE PALMOLIVE CO | 89 000 | 6,050 61 | 7,492.91 |
| 197199813 | COLUMBIA ACORN INTERNATIONAL | 3351 434 | 110,000 00 | 112,038.44 |
| 19765H347 | COLUMBIA LARGE CAP ENHANCED | 82479 707 | 834,694 64 | 885,007.26 |
| 19765H362 | COLUMBIA SHORT TERM BOND FUND | 41288.363 | 400,910 01 | 409,993.44 |
| 19765H453 | COLUMBIA TOTAL RETURN BOND FUND | 73149 524 | 727,834 22 | 713,939.35 |
| 19765H586 | COLUMBIA INTERNATIONAL VALUE | 7016 702 | 106,000.00 | 100,900 17 |
| 19765H636 | COLUMBIA MARSICO INTERNATIONAL | 9868 421 | 150,000 00 | 105,986.84 |
| 19765H727 | COLUMBIA CONVERTIBLE SECURITIES | 26971 951 | 372,752 36 | 341,464 90 |
| 19765J764 | COLUMBIA SMALL CAP VALUE FUND | 15581 558 | 165,000 00 | 160,490 05 |
| 19765L694 | COLUMBIA STRATEGIC INCOME FUND | 16806.723 | 100,000.00 | 97,815.13 |
| 19765P232 | COLUMBIA MID CAP GROWTH FUND | 14941.064 | 242,943.42 | 290,454 28 |
| 25243Q205 | DIAGEO PLC | 109 000 | 8,283.56 | 7,369 49 |
| 260003108 | DOVER CORP | 60.000 | 2,021.73 | 2,452 80 |
| 29364G103 | ENTERGY CORP NEW | 49 000 | 4,342.20 | 3,853 85 |
| 302571104 | FPL GROUP INC | 53.000 | 3,354.33 | 2,754 41 |
| 344849104 | FOOT LOCKER INC | 243 000 | 2,853.32 | 2,306 07 |
| 35671D782 | FREEPORT-MCMORAN COPPER & | 15 000 | 1,787 18 | 1,771 80 |
| 35671D857 | FREEPORT-MCMORAN COPPER & | 53 000 | 1,979 38 | 4,388.40 |
| 370334104 | GENERAL MLS INC | 137 000 | 8,439 06 | 9,316.00 |
| 372460105 | GENUINE PARTS CO | 119 000 | 3,896.81 | 4,263 77 |
| 382388106 | GOODRICH CORP | 108.000 | 4,999 27 | 6,408.72 |
| 423074103 | HEINZ H J CO | 75 000 | 3,217 57 | 3,183 75 |

THE ARR TRUST D CHARITABLE TRUST
36-6944752
Balance Sheet
11/30/2009

Bank of America

| Cusip | Asset | Units | Basis | Market Value |
|---|---|---|---|---|
| 437076102 | HOME DEPOT INC | 215.000 | 4,599.08 | 5,882 40 |
| 458140100 | INTEL CORP | 166 000 | 2,389.35 | 3,187 20 |
| 459200101 | INTERNATIONAL BUSINESS MACHS | 64 000 | 5,614 85 | 8,086 40 |
| 464287234 | ISHARES MSCI EMERGING MKTS | 3300 000 | 125,145 00 | 133,716.00 |
| 464287614 | ISHARES RUSSELL 1000 GROWTH | 700.000 | 33,054 00 | 33,999 00 |
| 46625H100 | J P MORGAN CHASE & CO | 215 000 | 9,106 07 | 9,135 35 |
| 478160104 | JOHNSON & JOHNSON | 160 000 | 10,838 33 | 10,054.40 |
| 50075N104 | KRAFT FOODS INC | 103.000 | 2,708 97 | 2,737 74 |
| 565849106 | MARATHON OIL CORP | 135 000 | 5,887 07 | 4,403 70 |
| 571748102 | MARSH & MCLENNAN COS INC | 202 000 | 5,734 00 | 4,555 10 |
| 580135101 | MCDONALDS CORP | 66.000 | 3,682.63 | 4,174 50 |
| 58933Y105 | MERCK & CO INC | 118 255 | 4,296 05 | 4,282 01 |
| 59156R108 | METLIFE INC | 107 000 | 5,964.76 | 3,658 33 |
| 61166W101 | MONSANTO CO | 28 000 | 2,208 54 | 2,261 00 |
| 617446448 | MORGAN STANLEY | 97.000 | 2,279 14 | 3,063 26 |
| 655664100 | NORDSTROM INC | 143.000 | 3,120 65 | 4,783 35 |
| 674599105 | OCCIDENTAL PETE CORP DEL | 161.000 | 7,966 02 | 13,007 19 |
| 69331C108 | PG&E CORP | 145 000 | 5,568 28 | 6,139 30 |
| 693475105 | PNC FINL SVCS GROUP INC | 64.000 | 4,614 25 | 3,648 64 |
| 693506107 | PPG INDS INC | 37 000 | 2,188 72 | 2,198 91 |
| 695156109 | PACKAGING CORP AMER | 102 000 | 1,460 90 | 2,031 84 |
| 701094104 | PARKER HANNIFIN CORP | 44 000 | 1,898 35 | 2,374 24 |
| 704549AG9 | PEABODY ENERGY CORP | 4000 000 | 4,197 50 | 3,920 00 |
| 717081103 | PFIZER INC | 304 000 | 4,378 93 | 5,523 68 |
| 718172109 | PHILIP MORRIS INTL INC | 149 000 | 7,257 50 | 7,165.41 |
| 722005667 | PIMCO COMMODITY REALRETURN | 9670 086 | 80,000 00 | 82,485 83 |
| 729251108 | PLUM CREEK TIMBER CO INC | 120.000 | 5,134 72 | 4,138 80 |
| 74144T108 | PRICE T ROWE GROUP INC | 47 000 | 1,163 21 | 2,299 71 |
| 89151E109 | TOTAL S A | 118 000 | 7,443 15 | 7,338 42 |
| 902973304 | US BANCORP DEL | 345 000 | 11,736 53 | 8,324.85 |
| 912909AE8 | UNITED STS STL CORP | 2000 000 | 2,670 84 | 3,177 50 |
| 913017109 | UNITED TECHNOLOGIES CORP | 115 000 | 7,578.08 | 7,732 60 |
| 918204108 | V F CORP | 34.000 | 2,757 90 | 2,472 48 |
| 92343V104 | VERIZON COMMUNICATIONS INC | 260.000 | 9,708 69 | 8,179 60 |

THE ARR TRUST D CHARITABLE TRUST
36-6944752
Balance Sheet
11/30/2009

Bank of America

| Cusip | Asset | Units | Basis | Market Value |
|---|---|---|---|---|
| 931142103 | WAL-MART STORES INC | 65.000 | 3,491 76 | 3,545 75 |
| 949746101 | WELLS FARGO & CO | 155 000 | 5,283 45 | 4,346.20 |
| 969457100 | WILLIAMS COS INC DEL | 361 000 | 4,462.60 | 7,180.29 |
| G98255105 | XL CAP LTD | 100 000 | 1,419.39 | 1,831 00 |
| | Cash/Cash Equivalent | 85149.170 | 85,149.17 | 85,149.17 |
| | | Totals: | 3,819,241.66 | 3,845,328.15 |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS | | | | | 8,357. | |
| | | 35. AT&T INC<br>PROPERTY TYPE: SECURITIES | | | | | 05/30/2007 | 10/08/2009 |
| 906.00 | | 1,430.00 | | | | | -524.00 | |
| | | 4. AT&T INC<br>PROPERTY TYPE: SECURITIES | | | | | 07/06/2007 | 10/08/2009 |
| 104.00 | | 163.00 | | | | | -59.00 | |
| | | 21. COLGATE PALMOLIVE CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 04/29/2009 |
| 1,252.00 | | 1,642.00 | | | | | -390.00 | |
| | | 43140.638 CMG SHORT TERM BOND FUND<br>PROPERTY TYPE: SECURITIES | | | | | 05/05/2008 | 07/15/2009 |
| 489,646.00 | | 500,000.00 | | | | | -10,354.00 | |
| | | 2257.336 COLUMBIA MARSICO GROWTH FUND CL<br>PROPERTY TYPE: SECURITIES | | | | | 12/21/2006 | 04/17/2009 |
| 30,000.00 | | 45,869.00 | | | | | -15,869.00 | |
| | | 2663.924 COLUMBIA MARSICO GROWTH FUND CL<br>PROPERTY TYPE: SECURITIES | | | | | 12/21/2006 | 11/19/2009 |
| 45,793.00 | | 54,131.00 | | | | | -8,338.00 | |
| | | 2332.09 COLUMBIA LARGE CAP ENHANCED CORE<br>PROPERTY TYPE: SECURITIES | | | | | 02/03/2003 | 11/19/2009 |
| 25,000.00 | | 23,601.00 | | | | | 1,399.00 | |
| | | 5055.612 COLUMBIA SHORT TERM BOND FUND C<br>PROPERTY TYPE: SECURITIES | | | | | 07/16/2009 | 10/27/2009 |
| 50,000.00 | | 49,090.00 | | | | | 910.00 | |
| | | 3405.221 COLUMBIA TOTAL RETURN BOND FUND<br>PROPERTY TYPE: SECURITIES | | | | | 03/31/1990 | 04/17/2009 |
| 30,000.00 | | 33,978.00 | | | | | -3,978.00 | |
| | | 1971.609 COLUMBIA CONVERTIBLE SECURITIES<br>PROPERTY TYPE: SECURITIES | | | | | 02/03/2003 | 11/19/2009 |
| 25,000.00 | | 27,248.00 | | | | | -2,248.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 20. DU PONT E I DE NEMOURS & CO<br>PROPERTY TYPE: SECURITIES | | | | | 05/30/2008 | 03/23/2009 |
| 433.00 | | 962.00 | | | | | -529.00 | |
| | | 6. DU PONT E I DE NEMOURS & CO<br>PROPERTY TYPE: SECURITIES | | | | | 05/30/2008 | 03/23/2009 |
| 131.00 | | 289.00 | | | | | -158.00 | |
| | | 24. DU PONT E I DE NEMOURS & CO<br>PROPERTY TYPE: SECURITIES | | | | | 05/29/2008 | 03/23/2009 |
| 524.00 | | 1,151.00 | | | | | -627.00 | |
| | | 25. DU PONT E I DE NEMOURS & CO<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 03/23/2009 |
| 546.00 | | 1,120.00 | | | | | -574.00 | |
| | | 15. DU PONT E I DE NEMOURS & CO<br>PROPERTY TYPE: SECURITIES | | | | | 10/29/2008 | 03/23/2009 |
| 328.00 | | 471.00 | | | | | -143.00 | |
| | | 2. DU PONT E I DE NEMOURS & CO<br>PROPERTY TYPE: SECURITIES | | | | | 10/29/2008 | 03/23/2009 |
| 44.00 | | 63.00 | | | | | -19.00 | |
| | | .681 ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 03/05/2009 |
| 46.00 | | 65.00 | | | | | -19.00 | |
| | | 3. ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 04/09/2009 |
| 197.00 | | 284.00 | | | | | -87.00 | |
| | | 6.319 ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 04/09/2009 |
| 417.00 | | 598.00 | | | | | -181.00 | |
| | | 11.681 ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 04/09/2009 |
| 770.00 | | 1,065.00 | | | | | -295.00 | |
| | | 10. ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 04/17/2009 |
| 673.00 | | 912.00 | | | | | -239.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 3.319 ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 11/06/2009 |
| 255.00 | | 298.00 | | | | | -43.00 | |
| | | 8.681 ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/18/2009 | 11/06/2009 |
| 668.00 | | 645.00 | | | | | 23.00 | |
| | | 5. ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/18/2009 | 11/06/2009 |
| 385.00 | | 371.00 | | | | | 14.00 | |
| | | 30. ENTERGY CORP NEW CONV PRFD 7.625%<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 02/17/2009 |
| 1,500.00 | | 1,713.00 | | | | | -213.00 | |
| | | 65. ENTERGY CORP NEW CONV PRFD 7.625%<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 02/17/2009 |
| 3,250.00 | | 3,859.00 | | | | | -609.00 | |
| | | 9. EXELON CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/31/2007 | 11/06/2009 |
| 423.00 | | 644.00 | | | | | -221.00 | |
| | | 6. EXELON CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/31/2007 | 11/06/2009 |
| 282.00 | | 429.00 | | | | | -147.00 | |
| | | 9. EXELON CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 11/06/2009 |
| 423.00 | | 576.00 | | | | | -153.00 | |
| | | 21. EXELON CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 11/09/2009 |
| 985.00 | | 1,344.00 | | | | | -359.00 | |
| | | 16. EXELON CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 11/09/2009 |
| 751.00 | | 948.00 | | | | | -197.00 | |
| | | 12. EXELON CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 11/09/2009 |
| 565.00 | | 711.00 | | | | | -146.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 32. EXELON CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 11/09/2009 |
| 1,510.00 | | 1,895.00 | | | | | -385.00 | |
| | | 10. FREEPORT-MCMORAN COPPER & GOLD INC C<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 03/05/2009 |
| 318.00 | | 659.00 | | | | | -341.00 | |
| | | 25. FREEPORT-MCMORAN COPPER & GOLD INC C<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 03/05/2009 |
| 795.00 | | 1,446.00 | | | | | -651.00 | |
| | | 15. FREEPORT-MCMORAN COPPER & GOLD INC C<br>PROPERTY TYPE: SECURITIES | | | | | 10/10/2008 | 03/05/2009 |
| 477.00 | | 548.00 | | | | | -71.00 | |
| | | .592 FREEPORT-MCMORAN COPPER & GOLD INC<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/2009 | 09/29/2009 |
| 40.00 | | 22.00 | | | | | 18.00 | |
| | | 3. FREEPORT-MCMORAN COPPER & GOLD INC CO<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/2009 | 10/08/2009 |
| 220.00 | | 112.00 | | | | | 108.00 | |
| | | 8. FREEPORT-MCMORAN COPPER & GOLD INC CO<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/2009 | 11/10/2009 |
| 665.00 | | 299.00 | | | | | 366.00 | |
| | | 29. GENERAL ELEC CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/31/2007 | 01/13/2009 |
| 434.00 | | 1,148.00 | | | | | -714.00 | |
| | | 1. GENERAL ELEC CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/31/2007 | 01/13/2009 |
| 15.00 | | 40.00 | | | | | -25.00 | |
| | | 43. GENERAL ELEC CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 01/13/2009 |
| 665.00 | | 1,562.00 | | | | | -897.00 | |
| | | 10. GENERAL ELEC CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 01/14/2009 |
| 141.00 | | 363.00 | | | | | -222.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 6. GENERAL ELEC CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 01/14/2009 |
| 85.00 | | 218.00 | | | | | -133.00 | |
| | | 176. GENERAL ELEC CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 03/05/2009 |
| 1,202.00 | | 6,394.00 | | | | | -5,192.00 | |
| | | 125. GENERAL ELEC CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 03/05/2009 |
| 854.00 | | 3,416.00 | | | | | -2,562.00 | |
| | | 25. GENERAL MLS INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 05/06/2009 |
| 1,309.00 | | 1,697.00 | | | | | -388.00 | |
| | | 22. GOODRICH B F CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 10/15/2009 |
| 1,233.00 | | 1,113.00 | | | | | 120.00 | |
| | | 1000. INTEL CORP CONV JR SUB DEB<br>PROPERTY TYPE: SECURITIES | | | | | 11/01/2007 | 01/28/2009 |
| 788.00 | | 1,120.00 | | | | | -332.00 | |
| | | 3000. INTEL CORP CONV JR SUB DEB<br>PROPERTY TYPE: SECURITIES | | | | | 07/13/2007 | 01/28/2009 |
| 2,363.00 | | 3,144.00 | | | | | -781.00 | |
| | | 2000. INTEL CORP CONV JR SUB DEB<br>PROPERTY TYPE: SECURITIES | | | | | 09/12/2008 | 01/28/2009 |
| 1,575.00 | | 1,926.00 | | | | | -351.00 | |
| | | 10. MERCK & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/31/2007 | 07/09/2009 |
| 269.00 | | 498.00 | | | | | -229.00 | |
| | | 10. MERCK & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 04/11/2007 | 07/09/2009 |
| 269.00 | | 456.00 | | | | | -187.00 | |
| | | 13. MERCK & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/14/2007 | 07/09/2009 |
| 349.00 | | 573.00 | | | | | -224.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 15. MERCK & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/12/2007 | 07/09/2009 |
| 403.00 | | 660.00 | | | | | -257.00 | |
| | | 15. MERCK & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/14/2007 | 07/09/2009 |
| 403.00 | | 660.00 | | | | | -257.00 | |
| | | 15. MERCK & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/09/2007 | 07/09/2009 |
| 403.00 | | 660.00 | | | | | -257.00 | |
| | | 17. MERCK & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 02/13/2007 | 07/09/2009 |
| 456.00 | | 748.00 | | | | | -292.00 | |
| | | 25. MERCK & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/06/2008 | 07/09/2009 |
| 671.00 | | 941.00 | | | | | -270.00 | |
| | | 50. MERCK & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 07/09/2009 |
| 1,343.00 | | 1,687.00 | | | | | -344.00 | |
| | | 5. MERCK & CO INC NEW CONV PFD 6%<br>PROPERTY TYPE: SECURITIES | | | | | 11/09/2007 | 11/20/2009 |
| 1,282.00 | | 1,282.00 | | | | | | |
| | | 10. MERCK & CO INC NEW CONV PFD 6%<br>PROPERTY TYPE: SECURITIES | | | | | 06/06/2008 | 11/20/2009 |
| 2,564.00 | | 1,925.00 | | | | | 639.00 | |
| | | 10. MERCK & CO INC NEW CONV PFD 6%<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 11/20/2009 |
| 2,564.00 | | 1,803.00 | | | | | 761.00 | |
| | | .91 METLIFE INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/16/2008 | 03/02/2009 |
| 20.00 | | 59.00 | | | | | -39.00 | |
| | | 160. METLIFE INC CONV PFD SER B EQUITY U<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 02/17/2009 |
| 2,007.00 | | 2,007.00 | | | | | | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 25. METLIFE INC CONV PFD SER B EQUITY UN<br>PROPERTY TYPE: SECURITIES | | | | | 05/22/2007 | 02/17/2009 |
| 314.00 | | 314.00 | | | | | | |
| | | 80. METLIFE INC CONV PFD SER B EQUITY UN<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 02/17/2009 |
| 1,004.00 | | 1,004.00 | | | | | | |
| | | 26. NORDSTROM INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/07/2009 | 08/12/2009 |
| 767.00 | | 607.00 | | | | | 160.00 | |
| | | 6. NORTHROP GRUMMAN CORP<br>PROPERTY TYPE: SECURITIES | | | | | 01/05/2009 | 10/08/2009 |
| 303.00 | | 292.00 | | | | | 11.00 | |
| | | 2. NORTHROP GRUMMAN CORP<br>PROPERTY TYPE: SECURITIES | | | | | 01/05/2009 | 10/08/2009 |
| 101.00 | | 97.00 | | | | | 4.00 | |
| | | 22. NORTHROP GRUMMAN CORP<br>PROPERTY TYPE: SECURITIES | | | | | 01/06/2009 | 10/08/2009 |
| 1,112.00 | | 1,066.00 | | | | | 46.00 | |
| | | 12. NORTHROP GRUMMAN CORP<br>PROPERTY TYPE: SECURITIES | | | | | 03/04/2009 | 10/08/2009 |
| 607.00 | | 437.00 | | | | | 170.00 | |
| | | 12. NUCOR CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 03/23/2009 | 07/16/2009 |
| 527.00 | | 477.00 | | | | | 50.00 | |
| | | 30. NUCOR CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/15/2009 | 07/16/2009 |
| 1,318.00 | | 1,186.00 | | | | | 132.00 | |
| | | 8. OCCIDENTAL PETE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 04/08/2009 |
| 456.00 | | 550.00 | | | | | -94.00 | |
| | | 23. OCCIDENTAL PETE CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 06/19/2009 |
| 1,483.00 | | 1,580.00 | | | | | -97.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 55. PPL CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 01/27/2009 |
| 1,748.00 | | 2,083.00 | | | | | -335.00 | |
| | | 1. PPL CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 01/27/2009 |
| 32.00 | | 35.00 | | | | | -3.00 | |
| | | 69. PPL CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 01/28/2009 |
| 2,191.00 | | 2,430.00 | | | | | -239.00 | |
| | | 35. PPL CORPORATION<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 02/03/2009 |
| 1,056.00 | | 1,232.00 | | | | | -176.00 | |
| | | 30. PACKAGING CORP AMER COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/19/2009 | 07/21/2009 |
| 591.00 | | 441.00 | | | | | 150.00 | |
| | | 28. PITNEY BOWES INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 06/19/2009 |
| 594.00 | | 1,009.00 | | | | | -415.00 | |
| | | 17. PITNEY BOWES INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 06/22/2009 |
| 356.00 | | 612.00 | | | | | -256.00 | |
| | | 25. PITNEY BOWES INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 06/22/2009 |
| 524.00 | | 901.00 | | | | | -377.00 | |
| | | 32. PITNEY BOWES INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/03/2008 | 06/22/2009 |
| 670.00 | | 1,117.00 | | | | | -447.00 | |
| | | 2. PITNEY BOWES INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/03/2008 | 06/22/2009 |
| 42.00 | | 69.00 | | | | | -27.00 | |
| | | 19. PITNEY BOWES INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 10/29/2008 | 06/22/2009 |
| 398.00 | | 454.00 | | | | | -56.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 48. PUBLIC SVC ENTERPRISE GROUP INC PROPERTY TYPE: SECURITIES | | | | | 01/27/2009 | 07/13/2009 |
| 1,499.00 | | 1,563.00 | | | | | -64.00 | |
| | | 7. PUBLIC SVC ENTERPRISE GROUP INC PROPERTY TYPE: SECURITIES | | | | | 01/27/2009 | 07/13/2009 |
| 219.00 | | 226.00 | | | | | -7.00 | |
| | | 4. PUBLIC SVC ENTERPRISE GROUP INC PROPERTY TYPE: SECURITIES | | | | | 01/27/2009 | 07/13/2009 |
| 125.00 | | 129.00 | | | | | -4.00 | |
| | | 51. PUBLIC SVC ENTERPRISE GROUP INC PROPERTY TYPE: SECURITIES | | | | | 01/27/2009 | 07/14/2009 |
| 1,593.00 | | 1,645.00 | | | | | -52.00 | |
| | | 42. PUBLIC SVC ENTERPRISE GROUP INC PROPERTY TYPE: SECURITIES | | | | | 02/03/2009 | 07/14/2009 |
| 1,312.00 | | 1,313.00 | | | | | -1.00 | |
| | | 12. REGAL ENTMT GROUP CL A COM PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 04/08/2009 |
| 170.00 | | 260.00 | | | | | -90.00 | |
| | | 3. REGAL ENTMT GROUP CL A COM PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 04/09/2009 |
| 44.00 | | 65.00 | | | | | -21.00 | |
| | | 23. REGAL ENTMT GROUP CL A COM PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 04/09/2009 |
| 335.00 | | 498.00 | | | | | -163.00 | |
| | | 2. REGAL ENTMT GROUP CL A COM PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 04/13/2009 |
| 29.00 | | 43.00 | | | | | -14.00 | |
| | | 8. REGAL ENTMT GROUP CL A COM PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 04/13/2009 |
| 117.00 | | 173.00 | | | | | -56.00 | |
| | | 2. REGAL ENTMT GROUP CL A COM PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 04/14/2009 |
| 29.00 | | 43.00 | | | | | -14.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 46. REGAL ENTMT GROUP CL A COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 04/17/2009 |
| 633.00 | | 995.00 | | | | | -362.00 | |
| | | 2. REGAL ENTMT GROUP CL A COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 08/12/2009 |
| 24.00 | | 43.00 | | | | | -19.00 | |
| | | 18. REGAL ENTMT GROUP CL A COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 08/12/2009 |
| 220.00 | | 389.00 | | | | | -169.00 | |
| | | 15. REGAL ENTMT GROUP CL A COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 08/13/2009 |
| 180.00 | | 324.00 | | | | | -144.00 | |
| | | 9. REGAL ENTMT GROUP CL A COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 08/14/2009 |
| 106.00 | | 195.00 | | | | | -89.00 | |
| | | 15. REGAL ENTMT GROUP CL A COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 10/08/2009 |
| 183.00 | | 324.00 | | | | | -141.00 | |
| | | 20. REGAL ENTMT GROUP CL A COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 10/09/2009 |
| 240.00 | | 433.00 | | | | | -193.00 | |
| | | 2. REGAL ENTMT GROUP CL A COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 10/09/2009 |
| 24.00 | | 31.00 | | | | | -7.00 | |
| | | 49. REGAL ENTMT GROUP CL A COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 10/09/2009 |
| 590.00 | | 762.00 | | | | | -172.00 | |
| | | 29. REGAL ENTMT GROUP CL A COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 10/09/2009 |
| 351.00 | | 451.00 | | | | | -100.00 | |
| | | 1. SCHERING PLOUGH CORP CONV PFD 6.000%<br>PROPERTY TYPE: SECURITIES | | | | | 08/22/2007 | 07/09/2009 |
| 224.00 | | 265.00 | | | | | -41.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 1. SCHERING PLOUGH CORP CONV PFD 6.000% PROPERTY TYPE: SECURITIES | | | | | 08/22/2007 | 07/09/2009 |
| 223.00 | | 265.00 | | | | | -42.00 | |
| | | 3. SCHERING PLOUGH CORP CONV PFD 6.000% PROPERTY TYPE: SECURITIES | | | | | 08/22/2007 | 07/10/2009 |
| 667.00 | | 795.00 | | | | | -128.00 | |
| | | 35. SYSCO CORP COM PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 07/28/2009 |
| 810.00 | | 1,191.00 | | | | | -381.00 | |
| | | 6. SYSCO CORP COM PROPERTY TYPE: SECURITIES | | | | | 03/30/2007 | 07/28/2009 |
| 139.00 | | 203.00 | | | | | -64.00 | |
| | | 18. SYSCO CORP COM PROPERTY TYPE: SECURITIES | | | | | 03/29/2007 | 07/28/2009 |
| 417.00 | | 606.00 | | | | | -189.00 | |
| | | 16. SYSCO CORP COM PROPERTY TYPE: SECURITIES | | | | | 03/29/2007 | 07/29/2009 |
| 373.00 | | 539.00 | | | | | -166.00 | |
| | | 6. SYSCO CORP COM PROPERTY TYPE: SECURITIES | | | | | 08/20/2007 | 07/29/2009 |
| 140.00 | | 201.00 | | | | | -61.00 | |
| | | 19. SYSCO CORP COM PROPERTY TYPE: SECURITIES | | | | | 08/17/2007 | 07/29/2009 |
| 442.00 | | 633.00 | | | | | -191.00 | |
| | | 20. SYSCO CORP COM PROPERTY TYPE: SECURITIES | | | | | 05/02/2007 | 07/29/2009 |
| 466.00 | | 660.00 | | | | | -194.00 | |
| | | 8. SYSCO CORP COM PROPERTY TYPE: SECURITIES | | | | | 04/17/2009 | 07/31/2009 |
| 188.00 | | 182.00 | | | | | 6.00 | |
| | | 21. SYSCO CORP COM PROPERTY TYPE: SECURITIES | | | | | 04/17/2009 | 07/31/2009 |
| 496.00 | | 478.00 | | | | | 18.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 2000. TRANSOCEAN INC CONV SR NT SER A CA<br>PROPERTY TYPE: SECURITIES | | | | | 06/06/2008 | 03/20/2009 |
| 1,815.00 | | 2,233.00 | | | | | -418.00 | |
| | | 1000. TRANSOCEAN INC CONV SR NT SER A CA<br>PROPERTY TYPE: SECURITIES | | | | | 09/12/2008 | 03/20/2009 |
| 908.00 | | 1,029.00 | | | | | -121.00 | |
| | | 7. V F CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 10/29/2007 | 05/08/2009 |
| 406.00 | | 595.00 | | | | | -189.00 | |
| | | 5. V F CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 10/30/2007 | 05/11/2009 |
| 283.00 | | 425.00 | | | | | -142.00 | |
| | | 1. V F CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 10/22/2007 | 05/11/2009 |
| 57.00 | | 84.00 | | | | | -27.00 | |
| | | 1. V F CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 10/26/2007 | 05/11/2009 |
| 57.00 | | 84.00 | | | | | -27.00 | |
| | | 2. V F CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 10/26/2007 | 05/12/2009 |
| 111.00 | | 168.00 | | | | | -57.00 | |
| | | 2. V F CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 10/23/2007 | 05/12/2009 |
| 111.00 | | 166.00 | | | | | -55.00 | |
| | | 4. V F CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 05/13/2009 |
| 217.00 | | 331.00 | | | | | -114.00 | |
| | | 2. V F CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 05/15/2009 |
| 110.00 | | 166.00 | | | | | -56.00 | |
| | | 4. V F CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 05/18/2009 |
| 222.00 | | 331.00 | | | | | -109.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 110. WELLS FARGO & CO NEW<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 01/27/2009 |
| 1,772.00 | | 3,968.00 | | | | | -2,196.00 | |
| | | 1. COOPER INDS LTD CL A NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 07/16/2009 |
| 30.00 | | 46.00 | | | | | -16.00 | |
| | | 79. COOPER INDS LTD CL A NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 01/25/2007 | 07/16/2009 |
| 2,405.00 | | 3,546.00 | | | | | -1,141.00 | |
| | | 40. COOPER INDS LTD CL A NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 07/16/2009 |
| 1,218.00 | | 1,756.00 | | | | | -538.00 | |
| TOTAL GAIN(LOSS) | | | | | | | -59,725. | |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| US GOVERNMENT INT REPORTED AS NONQUALIFI | 3,484. | 3,484. |
| FOREIGN DIVIDENDS | 4,966. | 4,966. |
| NONDIVIDEND DISTRIBUTIONS | 256. | |
| DOMESTIC DIVIDENDS | 30,521. | 30,521. |
| OTHER INTEREST | 217. | 217. |
| FOREIGN INTEREST | 34. | 34. |
| NONDISTRIBUTIVE OTHER INTEREST | 609. | 609. |
| ACCRUED MARKET DISCOUNT INTEREST | 4. | 4. |
| NONQUALIFIED FOREIGN DIVIDENDS | 2,388. | 2,388. |
| NONQUALIFIED DOMESTIC DIVIDENDS | 64,944. | 64,944. |
| TOTAL | 107,423. | 107,167. |

FORM 990PF, PART I - OTHER INCOME

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| OTHER INCOME | 34. | 34. |
| TOTALS | 34. | 34. |

FORM 990PF, PART I - TAXES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| FOREIGN TAX PAID ON DIV. | 64. | 64. |
| ESTIMATED TAX PAID | 2,750. | |
| FOREIGN TAX PAID ON DIV. | 636. | 636. |
| FOREIGN TAX PAID ON DIV. | 116. | 116. |
| TOTALS | 3,566. | 816. |

## FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | CHARITABLE PURPOSES |
|---|---|---|---|
| ILL ATTY GENL FILING FEE | 15. | | 15. |
| FED. FILING FEE | 35. | 35. | |
| B OF A TAX PREP FEE | 935. | | 935. |
| TOTALS | 985. | 35. | 950. |

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | | AMOUNT |
|---|---|---|
| ROC TIMING ADJUSTMENTS | | 147. |
| | TOTAL | 147. |

| STATE(S) WHERE THE FOUNDATION IS REGISTERED |
| --- |
| IL |

STATEMENT 6

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
BANK OF AMERICA, N.A
ADDRESS:
231 S LASALLE ST.
CHICAGO, IL 60697
TITLE:
TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40
COMPENSATION .............................................. 23,201.

TOTAL COMPENSATION: 23,201.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
SISTERS OF CHARITY OF THE
BLESSED VIRGIN MARY
ADDRESS:
1100 CARMEL DR
DUBUQUE, IA 52003
RELATIONSHIP:
N/A
PURPOSE OF GRANT:
CHARITABLE PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .......................................... 90,132.

TOTAL GRANTS PAID: 90,132.