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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2008

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2008, or tax year beginning DEC 1, 2008, and ending NOV 30, 2009

G Check all that apply: ☐ Initial return ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation 36 FOUNDATION, INC.		A Employer identification number 36-4201500
	Number and street (or P.O. box number if mail is not delivered to street address) C/O EWA, 150 S. WACKER		B Telephone number 312-419-9204
	Room/suite 410		C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
	City or town, state, and ZIP code CHICAGO, IL 60606		
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,358,946. (Part I, column (d) must be on cash basis)		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	0.		N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	2,658.	2,658.		STATEMENT 2
	4 Dividends and interest from securities	15,738.	15,738.		STATEMENT 3
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<116,445.>			STATEMENT 1
	b Gross sales price for all assets on line 6a	388,050.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	<98,049.>	18,396.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees				
	17 Interest				
	18 Taxes				
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	1,629.	0.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	1,629.	0.		0.
	25 Contributions, gifts, grants paid	167,600.			167,600.
26 Total expenses and disbursements. Add lines 24 and 25	169,229.	0.		167,600.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<267,278.>				
b Net investment income (if negative, enter -0-)		18,396.			
c Adjusted net income (if negative, enter -0-)			N/A		

LHA For Privacy Act and Paperwork Reduction Act Notice, see the instructions.

Form 990-PF (2008)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	23,214.	19,019.	19,019.
	2 Savings and temporary cash investments	868,553.	313,857.	313,857.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	640,203.	932,657.	1,026,070.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	1,531,970.	1,265,533.	1,358,946.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	1,531,970.	1,265,533.		
30 Total net assets or fund balances	1,531,970.	1,265,533.		
31 Total liabilities and net assets/fund balances	1,531,970.	1,265,533.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,531,970.
2 Enter amount from Part I, line 27a	2	<267,278.>
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 5	3	841.
4 Add lines 1, 2, and 3	4	1,265,533.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,265,533.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a MID-CONTINENT CAPITAL (SEE ATTACHED STATEMENT)		VARIOUS	VARIOUS
c MID-CONTINENT CAPITAL (SEE ATTACHED STATEMENT)		VARIOUS	VARIOUS
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b 181,501.		194,056.	<12,555.>
c			
d 206,549.		310,439.	<103,890.>
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			<12,555.>
c			
d			<103,890.>
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	<116,445.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2007	104,300.	1,663,743.	.062690
2006	92,650.	1,513,841.	.061202
2005	69,000.	1,339,728.	.051503
2004	278,570.	1,235,591.	.225455
2003	97,170.	1,153,818.	.084216

2 Total of line 1, column (d)	2	.485066
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.097013
4 Enter the net value of noncharitable-use assets for 2008 from Part X, line 5	4	1,313,905.
5 Multiply line 4 by line 3	5	127,466.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	184.
7 Add lines 5 and 6	7	127,650.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	167,600.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling letter: _____ (attach copy of ruling letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	184.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	184.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	184.
6	Credits/Payments:		
a	2008 estimated tax payments and 2007 overpayment credited to 2008	6a	
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	0.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	184.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: Credited to 2009 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2008 or the taxable year beginning in 2008 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address **▶ N/A**

14 The books are in care of **▶ JOHN D. MABIE** Telephone no. **▶ 312-419-9204**
 Located at **▶ 150 S. WACKER, SUITE 410, CHICAGO, IL** ZIP+4 **▶ 60606**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year **▶ 15** **N/A**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2008?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2008, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2008?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ▶ _____ , _____ , _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____ , _____ , _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2008 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2008.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2008?		4b X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If you answered "Yes" to 6b, also file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN D. MABIE	DIRECTOR			
150 S. WACKER, SUITE 410				
CHICAGO, ILLINOIS 60606	0.00	0.	0.	0.
MARTHA G. MABIE	DIRECTOR			
150 S. WACKER, SUITE 410				
CHICAGO, ILLINOIS 60606	0.00	0.	0.	0.
E. CLARK GRIMES	DIRECTOR			
118 BUTLER LANE				
NEW CANAAN, CONNECTICUT 06840	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	645,787.
b	Average of monthly cash balances	1b	688,127.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,333,914.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,333,914.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	20,009.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,313,905.
6	Minimum investment return. Enter 5% of line 5	6	65,695.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	65,695.
2a	Tax on investment income for 2008 from Part VI, line 5	2a	184.
b	Income tax for 2008. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	184.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	65,511.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	65,511.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	65,511.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	167,600.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	167,600.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	184.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	167,416.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2007	(c) 2007	(d) 2008
1 Distributable amount for 2008 from Part XI, line 7				65,511.
2 Undistributed income, if any, as of the end of 2007				
a Enter amount for 2007 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2008:				
a From 2003	40,549.			
b From 2004	221,940.			
c From 2005	5,559.			
d From 2006	23,485.			
e From 2007	22,605.			
f Total of lines 3a through e	314,138.			
4 Qualifying distributions for 2008 from Part XII, line 4: ▶ \$	167,600.			
a Applied to 2007, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2008 distributable amount				65,511.
e Remaining amount distributed out of corpus	102,089.			
5 Excess distributions carryover applied to 2008 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	416,227.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2007. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2008. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2009				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2003 not applied on line 5 or line 7	40,549.			
9 Excess distributions carryover to 2009. Subtract lines 7 and 8 from line 6a	375,678.			
10 Analysis of line 9:				
a Excess from 2004	221,940.			
b Excess from 2005	5,559.			
c Excess from 2006	23,485.			
d Excess from 2007	22,605.			
e Excess from 2008	102,089.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2008, enter the date of the ruling

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

- b 85% of line 2a**

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(i)(3)(B)(i)**

- b "Endowment" alternative test** - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:**

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)**

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

JOHN D. MABIE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:**

- b The form in which applications should be submitted and information and materials they should include:**

- c Any submission deadlines:**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|---|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer or trustee <u>[Signature]</u>		Date <u>Feb 15 2000</u>		Title <u>PRESIDENT</u>	
	Paid Preparer's Use Only	Preparer's signature <u>[Signature]</u>	Date <u>2/3/10</u>	Check if self-employed <input type="checkbox"/>	Preparer's identifying number <u>P00234390</u>	
Firm's name (or yours if self-employed), address, and ZIP code <u>EVERGREEN WEALTH ADVISORS</u> <u>150 S. WACKER #410</u> <u>CHICAGO, IL 60606</u>				EIN <u>[Blank]</u>		
				Phone no. <u>312-419-9204</u>		

FORM 990-PF	GAIN OR (LOSS) FROM SALE OF ASSETS	STATEMENT	1
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(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
MID-CONTINENT CAPITAL (SEE ATTACHED STATEMENT)		VARIOUS	VARIOUS	
(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
181,501.	194,056.	0.	0.	<12,555.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
MID-CONTINENT CAPITAL (SEE ATTACHED STATEMENT)		VARIOUS	VARIOUS	
(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
206,549.	310,439.	0.	0.	<103,890.>

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	<116,445.>

FORM 990-PF	INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS	STATEMENT	2
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SOURCE	AMOUNT
BAC MONEY MARKET	2,658.
HARRIS BANK WINNETKA	0.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	2,658.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	3
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
BANK OF AMERICA	15,738.	0.	15,738.
TOTAL TO FM 990-PF, PART I, LN 4	15,738.	0.	15,738.

FORM 990-PF	OTHER EXPENSES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
IL CHARITY BUREAU FUND	15.	0.		0.
BANK FEES	1,591.	0.		0.
SECRETARY OF STATE FILING FEE	23.	0.		0.
TO FORM 990-PF, PG 1, LN 23	1,629.	0.		0.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	5
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DESCRIPTION	AMOUNT
UNREALIZED ASSET APPREC./DEPRECIATION	841.
TOTAL TO FORM 990-PF, PART III, LINE 3	841.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
VARIOUS SECURITIES	932,657.	1,026,070.
TOTAL TO FORM 990-PF, PART II, LINE 10B	932,657.	1,026,070.

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 7

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
AMERICAN REFUGEE COMMITTEE, 430 OAK GROVE ST., STE. 204, MINNEAPOLIS, MN 554	N/A CHARITABLE	OTHER PUBLIC CHARITY	1,000.
ARCHITECTS RESEARCH FOUNDATION, 440 NORTH WELLS STREET, CHICAGO, IL 60610	N/A CHARITABLE	OTHER PUBLIC CHARITY	1,000.
ART INSTITUTE OF CHICAGO, 111 S. MICHIGAN AVENUE, CHICAGO, IL 60603	N/A CHARITABLE	OTHER PUBLIC CHARITY	7,000.
BRAIN RESEARCH FOUNDATION, 120 S. LASALLE #1300, CHICAGO, IL 60603	N/A CHARITABLE	OTHER PUBLIC CHARITY	6,000.
BUEHLER CENTER ON AGING, 750 NORTH LAKE SHORE DRIVE, CHICAGO, IL 60611-3152	N/A CHARITABLE	OTHER PUBLIC CHARITY	1,000.
CANCER RESEARCH FOUNDATION, 135 S LA SALLE ST # 3708, CHICAGO, IL 60603-4101	N/A CHARITABLE	OTHER PUBLIC CHARITY	2,500.
CHICAGO BOTANIC GARDEN, 1000 LAKE COOK ROAD, GLENCOE, IL 60022	N/A CHARITABLE	OTHER PUBLIC CHARITY	1,000.
CATHOLIC THEOLOGICAL UNION, 5416 SOUTH CORNELL AVENUE, CHICAGO, IL 60615-560	N/A CHARITABLE	OTHER PUBLIC CHARITY	5,000.

CHICAGO CHILD CARE SOCIETY, 5467 S. UNIVERSITY AVENUE, CHICAGO, IL 60615-301	N/A	OTHER PUBLIC CHARITY	1,000.
	CHARITABLE		
CHICAGO COMMUNITY TRUST, 111 E. WACKER DR., STE. 1400, CHICAGO, IL 60601	N/A	OTHER PUBLIC CHARITY	10,000.
	CHARITABLE		
CHICAGO COUNCIL ON GLOBAL AFFAIRS, 332 S. MICHIGAN #1100, CHICAGO, IL 60604	N/A	OTHER PUBLIC CHARITY	3,000.
	CHARITABLE		
CHICAGO FOUNDATION FOR EDUCATION, 400 N. MICHIGAN AVE., STE. 720, CHICAGO, I	N/A	OTHER PUBLIC CHARITY	1,000.
	CHARITABLE		
CHICAGO HISTORICAL SOCIETY, 1601 NORTH CLARK STREET, CHICAGO, IL 60610	N/A	OTHER PUBLIC CHARITY	1,000.
	CHARITABLE		
CHICAGO HUMANITIES FESTIVAL, 500 N. DEARBORN , SUITE 825 , CHICAGO , IL 6065	N/A	OTHER PUBLIC CHARITY	1,000.
	CHARITABLE		
CHICAGO SHAKESPEARE THEATER, SUITE 825, CHICAGO, IL 60611	N/A	OTHER PUBLIC CHARITY	500.
	CHARITABLE		
EXECUTIVE SERVICE CORPS, 25 E. WASHINGTON, CHICAGO, IL 60602	N/A	OTHER PUBLIC CHARITY	1,000.
	CHARITABLE		
FRIENDS AT LOBLOLLY, 7407 SE HILL, HOBE SOUND, FL 33455	N/A	OTHER PUBLIC CHARITY	600.
	CHARITABLE		

GOLDEN APPLE FOUNDATION, 8 SOUTH MICHIGAN AVE., STE. 700, CHICAGO, IL 60603	N/A	OTHER PUBLIC CHARITY	2,000.
	CHARITABLE		
GREEN MOUNTAIN COLLEGE, ONE BRENNAN CIRCLE, POULTNEY, VT 05764	N/A	OTHER PUBLIC CHARITY	15,000.
	CHARITABLE		
HADLEY SCHOOL FOR THE BLIND, 700 ELM STREET, WINNETKA, IL 60093	N/A	OTHER PUBLIC CHARITY	5,000.
	CHARITABLE		
HOBE SOUND COMMUNITY CHEST, P.O. BOX 511, HOBE SOUND, FL 33475	N/A	OTHER PUBLIC CHARITY	1,000.
	CHARITABLE		
HORIZON HOSPICE, 833 W. CHICAGO AVENUE, CHICAGO, IL 60622	N/A	OTHER PUBLIC CHARITY	2,500.
	CHARITABLE		
HORIZONS AT GREENS FARMS ACADEMY, 35 BEACHSIDE AVE, GREENS FARMS, CT 06838-0	N/A	OTHER PUBLIC CHARITY	500.
	CHARITABLE		
HOWARD AREA COMMUNITY CENTER, 7646 N. PAULINA, CHICAGO, IL 60626	N/A	OTHER PUBLIC CHARITY	1,000.
	CHARITABLE		
HYDE PARK ART CENTER, 5020 SOUTH CORNELL AVENUE, CHICAGO, IL 60615-3016	N/A	OTHER PUBLIC CHARITY	1,000.
	CHARITABLE		
JUPITER MEDICAL CENTER FOUNDATION, 1210 SOUTH OLD DIXIE HIGHWAY, JUPITER, FL	N/A	OTHER PUBLIC CHARITY	7,000.
	CHARITABLE		

KENILWORTH UNION CHURCH, KENILWORTH DRIVE, KENILWORTH, IL 60092	N/A	OTHER PUBLIC CHARITY	4,000.
	CHARITABLE		
LINCOLN PARK ZOO, P.O. BOX 14903 / CANNON DRIVE AT FULLERTON PARKWAY, CHICAG	N/A	OTHER PUBLIC CHARITY	500.
	CHARITABLE		
LOBLOLLYPOP FOUNDATION, 7407 SE HILL, HOBE SOUND, FL 33455	N/A	OTHER PUBLIC CHARITY	10,000.
	CHARITABLE		
MARINE CORPS- LAW ENFORCEMENT FOUNDATION	N/A	OTHER PUBLIC CHARITY	2,000.
10 ROCKEFELLER PLAZA, NEW YORK, NY 10020	CHARITABLE		
MILLENIUM PARK, 201 EAST RANDOLPH STREET, CHICAGO, IL 60602	N/A	OTHER PUBLIC CHARITY	10,000.
	CHARITABLE		
MUSIC INSTITUTE OF CHICAGO, 300 GREEN BAY RD, WINNETKA, IL 60093	N/A	OTHER PUBLIC CHARITY	500.
	CHARITABLE		
NORTH SHORE SENIOR CENTER, 161 NORTHFIELD ROAD, NORTHFIELD, IL 60093	N/A	OTHER PUBLIC CHARITY	1,000.
	CHARITABLE		
NORTHWESTERN UNIVERSITY, 750 N. LAKE SHORE DRIVE, CHICAGO, IL 60611	N/A	OTHER PUBLIC CHARITY	15,000.
	CHARITABLE		
PERSPECTIVES CHARTER SCHOOL, 601 S. LASALLE, CHICAGO, IL 60605	N/A	OTHER PUBLIC CHARITY	2,000.
	CHARITABLE		

POSSE FOUNDATION, 111 W. JACKSON, N/A CHICAGO, IL 60604		OTHER PUBLIC CHARITY	500.
	CHARITABLE		
PRESBYTERIAN FRONTIER FELLOWSHIP, N/A 7132 PORTLAND AVENUE, RICHFIELD, MN 55423		OTHER PUBLIC CHARITY	500.
	CHARITABLE		
ROLAND PARK COUNTRY SCHOOL, 5204 N/A ROLAND AVENUE, BALTIMORE, MD 21210		OTHER PUBLIC CHARITY	1,000.
	CHARITABLE		
SANTA FOR THE VERY POOR, P.O. BOX N/A 2136, CHICAGO, IL 60696		OTHER PUBLIC CHARITY	500.
	CHARITABLE		
SOUTHWEST CHICAGO PADS, 3121 N. N/A 71ST STREET, CHICAGO, IL 60629		OTHER PUBLIC CHARITY	1,000.
	CHARITABLE		
STENN FUND OVARIAN CANCER, 420 N/A EAST SUPERIOR STREET, CHICAGO, IL 60611		OTHER PUBLIC CHARITY	5,000.
	CHARITABLE		
THE FIELD MUSEUM, 1400 S. LAKE N/A SHORE DRIVE, CHICAGO, IL 60605		OTHER PUBLIC CHARITY	2,000.
	CHARITABLE		
THRESHOLDS, 1700 MORSE AVE., N/A CHICAGO, IL 60626		OTHER PUBLIC CHARITY	2,000.
	CHARITABLE		
UNIVERSITY OF CHICAGO, 5801 S. N/A ELLIS AVENUE ADM 601, CHICAGO, IL 60637		OTHER PUBLIC CHARITY	7,000.
	CHARITABLE		

UNIVERSITY OF CHICAGO HOSPITAL, 5841 SOUTH MARYLAND AVENUE, CHICAGO, IL 6063	N/A	OTHER PUBLIC CHARITY	11,000.
	CHARITABLE		
WBEZ, 848 EAST GRAND AVE , CHICAGO, IL 60611	N/A	OTHER PUBLIC CHARITY	5,000.
	CHARITABLE		
WILLIAMS COLLEGE, PO BOX 231, WILLIAMSTOWN, MA 01267	N/A	OTHER PUBLIC CHARITY	1,000.
	CHARITABLE		
WINNETKA COMMUNITY HOUSE, 620 LINCOLN AVENUE, WINNETKA, IL 60093	N/A	OTHER PUBLIC CHARITY	500.
	CHARITABLE		
WINNETKA-NORTHFIELD UNITED WAY, 620 LINCOLN AVENUE #202, WINNETKA, IL 60093	N/A	OTHER PUBLIC CHARITY	1,000.
	CHARITABLE		
WTTW CHANNEL 11, 5400 N. ST. LOUIS, CHICAGO, IL 60625	N/A	OTHER PUBLIC CHARITY	6,000.
	CHARITABLE		
TOTAL TO FORM 990-PF, PART XV, LINE 3A			167,600.

Form **4720**Department of the Treasury
Internal Revenue Service**Return of Certain Excise Taxes Under Chapters
41 and 42 of the Internal Revenue Code**
(Sections 170(f)(10), 664(c)(2), 4911, 4912, 4941, 4942, 4943, 4944, 4945, 4955, 4958, 4965, 4966, and 4967)
▶ See separate instructions.

OMB No. 1545-0052

2008For calendar year 2008 or other tax year beginning **DEC 1**, 2008, and ending **NOV 30**, 2009.

Name of organization or entity

36 FOUNDATION, INC.

Employer identification number

36-4201500

Number, street, and room or suite no. (or P.O. box if mail is not delivered to street address)

C/O EWA, 150 S. WACKER, NO. 410

Check box for type of annual return:

☐ Form 990☐ Form 990-EZ☒ Form 990-PF☐ Form 5227

City or town, state, and ZIP code

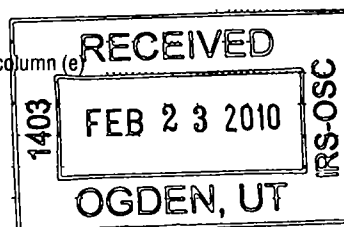
CHICAGO, IL 60606

Yes	No
	X
N/A	

A Is the organization a foreign private foundation within the meaning of section 4948(b)?**B** Has corrective action been taken on any taxable event that resulted in Chapter 42 taxes being reported on this form? (Enter "N/A" if not applicable)

If "Yes," attach a detailed description and documentation of the corrective action taken and, if applicable, enter the fair market value of any property recovered as a

result of the correction ▶ \$. If "No," (i.e., any uncorrected acts, or transactions), attach an explanation (see page 4 of the instructions).

Part I Taxes on Organization (Sections 170(f)(10), 664(c)(2), 4911(a), 4912(a), 4942(a), 4943(a), 4944(a)(1), 4945(a)(1), 4955(a)(1), 4965(a)(1), and 4966(a)(1))**1** Tax on undistributed income - Schedule B, line 4**2** Tax on excess business holdings - Schedule C, line 7**3** Tax on investments that jeopardize charitable purpose - Schedule D, Part I, column (e)**4** Tax on taxable expenditures - Schedule E, Part I, column (g)**5** Tax on political expenditures - Schedule F, Part I, column (e)**6** Tax on excess lobbying expenditures - Schedule G, line 4**7** Tax on disqualifying lobbying expenditures - Schedule H, Part I, column (e)**8** Tax on premiums paid on personal benefit contracts**9** Tax on being a party to prohibited tax shelter transactions - Schedule J, Part I, column (h)**10** Tax on taxable distributions - Schedule K, Part I, column (f)**11** Tax on charitable remainder trust's unrelated business taxable income. Attach schedule**12** Total (add lines 1 - 11)**Part II-A Taxes on Managers, Self-Dealers, Disqualified Persons, Donors, Donor Advisors, and Related Persons**
(Sections 4912(b), 4941(a), 4944(a)(2), 4945(a)(2), 4955(a)(2), 4958(a), 4965(a)(2), 4966(a)(2), and 4967(a))

(a) Name and address of person subject to tax

(b) Taxpayer
identification number

a	b	c	d

	(c) Tax on self-dealing - Schedule A, Part II, col. (d), and Part III, col. (d)	(d) Tax on investments that jeopardize charitable purpose - Schedule D, Part II, col. (d)	(e) Tax on taxable expenditures - Schedule E, Part II, col. (d)	(f) Tax on political expenditures - Schedule F, Part II, col. (d)
a				
b				
c				
d				
Total				

	(g) Tax on disqualifying lobbying expenditures - Sch H, Part II, col. (d)	(h) Tax on excess benefit transactions - Sch I, Part II, col. (d), and Part III, col. (d)	(i) Tax on being a party to prohibited tax shelter transactions - Schedule J, Part II, col. (d)	(j) Tax on taxable distributions - Schedule K, Part II, col. (d)
a				
b				
c				
d				
Total				

	(k) Tax on prohibited benefits - Sch L, Part II, col. (d) and Part III, col. (d)	(l) Total - Add cols. (c) through (k)
a		
b		
c		
d		
Total		

824081
01-27-09

LHA For Privacy Act and Paperwork Reduction Act Notice, see page 15 of the instructions.

Form 4720 (2008)

09480203 796142 36FND

2008.05000 36 FOUNDATION, INC.

36FND__1

Part II-B Summary of Taxes (See **Tax Payments** on page 3 of the instructions)

1 Enter the taxes listed in Part II-A, column (I), that apply to managers, self-dealers, disqualified persons, donors, donor advisors, and related persons who sign this form. If all sign, enter the total amount from Part II-A, column (I)	1	
2 Total tax. Add Part I, line 12, and Part II-B, line 1. (Make check(s) or money order(s) payable to the United States Treasury.)	2	

SCHEDULE A - Initial Taxes on Self-Dealing (Section 4941)

Part I Acts of Self-Dealing and Tax Computation			
(a) Act number	(b) Date of act	(c) Description of act	
1			
2			
3			
4			
5			
(d) Question number from Form 990-PF, Part VII-B, or Form 5227, Part VI-B, applicable to the act	(e) Amount involved in act	(f) Initial tax on self-dealing (10% of col. (e))	(g) Tax on foundation managers (if applicable) (lesser of \$20,000 or 5% of col. (e))

Part II Summary of Tax Liability of Self-Dealers and Proration of Payments			
(a) Names of self-dealers liable for tax	(b) Act no. from Part I, col. (a)	(c) Tax from Part I, col. (f), or prorated amount	(d) Self-dealer's total tax liability (add amounts in col. (c)) (see page 6 of the instructions)

Part III Summary of Tax Liability of Foundation Managers and Proration of Payments			
(a) Names of foundation managers liable for tax	(b) Act no. from Part I, col. (a)	(c) Tax from Part I, col. (g), or prorated amount	(d) Manager's total tax liability (add amounts in col. (c)) (see page 6 of the instructions)

SCHEDULE B - Initial Tax on Undistributed Income (Section 4942)

1 Undistributed income for years before 2007 (from Form 990-PF for 2008, Part XIII, line 6d)	1	
2 Undistributed income for 2007 (from Form 990-PF for 2008, Part XIII, line 6e)	2	
3 Total undistributed income at end of current tax year beginning in 2008 and subject to tax under section 4942 (add lines 1 and 2)	3	
4 Tax - Enter 30% of line 3 here and on page 1, Part I, line 1	4	

SCHEDULE C - Initial Tax on Excess Business Holdings (Section 4943)**Business Holdings and Computation of Tax**

If you have taxable excess holdings in more than one business enterprise, attach a separate schedule for each enterprise. Refer to the instructions on page 7 for each line item before making any entries.

Name and address of business enterprise

Employer identification number

Form of enterprise (corporation, partnership, trust, joint venture, sole proprietorship, etc.)

	(a) Voting stock (profits interest or beneficial interest)	(b) Value	(c) Nonvoting stock (capital interest)
1 Foundation holdings in business enterprise	1		
2 Permitted holdings in business enterprise	2		
3 Value of excess holdings in business enterprise	3		
4 Value of excess holdings disposed of within 90 days; or, other value of excess holdings not subject to section 4943 tax (attach explanation)	4		
5 Taxable excess holdings in business enterprise - line 3 minus line 4	5		
6 Tax - Enter 10% of line 5	6		
7 Total tax - Add amounts on line 6, columns (a), (b), and (c); enter total here and on page 1, Part I, line 2	7		

SCHEDULE D - Initial Taxes on Investments That Jeopardize Charitable Purpose (Section 4944)**Part I Investments and Tax Computation**

(a) Investment number	(b) Date of investment	(c) Description of investment	(d) Amount of investment	(e) Initial tax on foundation (10% of col. (d))	(f) Initial tax on foundation managers (if applicable) - (lesser of \$10,000 or 10% of col. (d))
1					
2					
3					
4					
5					
Total - column (e). Enter here and on page 1, Part I, line 3					
Total - column (f). Enter total (or prorated amount) here and in Part II, column (c), below					

Part II Summary of Tax Liability of Foundation Managers and Proration of Payments

(a) Names of foundation managers liable for tax	(b) Investment no. from Part I, col. (a)	(c) Tax from Part I, col. (f), or prorated amount	(d) Manager's total tax liability (add amounts in col. (c)) (see page 10 of the instructions)

SCHEDULE E - Initial Taxes on Taxable Expenditures (Section 4945)

Part I Expenditures and Computation of Tax				
(a) Item number	(b) Amount	(c) Date paid or incurred	(d) Name and address of recipient	(e) Description of expenditure and purposes for which made
1				
2				
3				
4				
5				
(f) Question number from Form 990-PF, Part VII-B, or Form 5227, Part VI-B, applicable to the expenditure			(g) Initial tax imposed on foundation (20% of col. (b))	(h) Initial tax imposed on foundation managers (if applicable) (lesser of \$10,000 or 5% of col. (b))
Total - column (g). Enter here and on page 1, Part I, line 4				
Total - column (h). Enter total (or prorated amount) here and in Part II, column (c), below				

Part II Summary of Tax Liability of Foundation Managers and Proration of Payments			
(a) Names of foundation managers liable for tax	(b) Item no. from Part I, col. (a)	(c) Tax from Part I, col. (h), or prorated amount	(d) Manager's total tax liability (add amounts in col. (c)) (see page 10 of the instructions)

SCHEDULE F - Initial Taxes on Political Expenditures (Section 4955)

Part I Expenditures and Computation of Tax					
(a) Item number	(b) Amount	(c) Date paid or incurred	(d) Description of political expenditure	(e) Initial tax imposed on organization or foundation (10% of col. (b))	(f) Initial tax imposed on managers (if applicable) (lesser of \$5,000 or 2½% of col. (b))
1					
2					
3					
4					
5					
Total - column (e). Enter here and on page 1, Part I, line 5					
Total - column (f). Enter total (or prorated amount) here and in Part II, column (c), below					

Part II Summary of Tax Liability of Organization Managers or Foundation Managers and Proration of Payments			
(a) Names of organization managers or foundation managers liable for tax	(b) Item no. from Part I, col. (a)	(c) Tax from Part I, col. (f), or prorated amount	(d) Manager's total tax liability (add amounts in col. (c)) (see page 11 of the instructions)

SCHEDULE G - Tax on Excess Lobbying Expenditures (Section 4911)

1	Excess of grassroots expenditures over grassroots nontaxable amount (from Schedule C (Form 990 or 990-EZ), Part II-A, column (b), line 1h). (See page 11 of the instructions before making entry.)	1	
2	Excess of lobbying expenditures over lobbying nontaxable amount (from Schedule C (Form 990 or 990-EZ), Part II-A, column (b), line 1i). (See page 11 of the instructions before making entry.)	2	
3	Taxable lobbying expenditures - enter the larger of line 1 or line 2	3	
4	Tax - Enter 25% of line 3 here and on page 1, Part I, line 6	4	

SCHEDULE H - Taxes on Disqualifying Lobbying Expenditures (Section 4912)

Part I Expenditures and Computation of Tax					
(a) Item number	(b) Amount	(c) Date paid or incurred	(d) Description of lobbying expenditures	(e) Tax imposed on organization (5% of col. (b))	(f) Tax imposed on organization managers (if applicable) - (5% of col. (b))
1					
2					
3					
4					
5					
Total - column (e). Enter here and on page 1, Part I, line 7					
Total - column (f). Enter total (or prorated amount) here and in Part II, column (c), below					

Part II Summary of Tax Liability of Organization Managers and Proration of Payments			
(a) Names of organization managers liable for tax	(b) Item no. from Part I, col. (a)	(c) Tax from Part I, col. (f), or prorated amount	(d) Manager's total tax liability (add amounts in col. (c)) (see page 11 of the instructions)

SCHEDULE I - Initial Taxes on Excess Benefit Transactions (Section 4958)

Part I Excess Benefit Transactions and Tax Computation		
(a) Transaction number	(b) Date of transaction	(c) Description of transaction
1		
2		
3		
4		
5		
(d) Amount of excess benefit	(e) Initial tax on disqualified persons (25% of col. (d))	(f) Tax on organization managers (if applicable) (lesser of \$20,000 or 10% of col. (d))

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SCHEDULE I - Initial Taxes on Excess Benefit Transactions (Section 4958) *Continued*

Part II	Summary of Tax Liability of Disqualified Persons and Proration of Payments
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[illegible]

Part III	Summary of Tax Liability of 501(c)(3) & (4) Organization Managers and Proration of Payments
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(a) Names of 501(c)(3) & (4) organization managers liable for tax	(b) Trans no from Part I, col (a)	(c) Tax from Part I, col (f), or prorated amount	(d) Manager's total tax liability (add amounts in col (c)) (see page 13 of the instructions)

SCHEDULE J - Taxes on Being a Party to Prohibited Tax Shelter Transactions (Section 4965)

Part I **Prohibited Tax Shelter Transactions (PTST) and Tax Imposed on the Tax-Exempt Entity**
(see page 13 of the instructions)

(a) Transaction number	(b) Transaction date	(c) Type of transaction 1 - Listed 2 - Subsequently listed 3 - Confidential 4 - Contractual protection	(d) Description of transaction		
1					
2					
3					
4					
5					
(e) Did the tax-exempt entity know or have reason to know this transaction was a PTST when it became a party to the trans.? Answer Yes or No			(f) Net income attributable to the PTST	(g) 75% of proceeds attributable to the PTST	(h) Tax imposed on the tax-exempt entity (see page 14 of the instructions)
Total - column (h). Enter here and on page 1, Part I, line 9					

Total - column (h). Enter here and on page 1, Part I, line 9
824102
01-27-09

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Part II Tax Imposed on Entity Managers (Section 4965) Continued

(a) Name of entity manager	(b) Transaction number from Part I, col (a)	(c) Tax - enter \$20,000 for each transaction listed in col (b) for each manager in col (a)	(d) Manager's total tax liability (add amounts in col (c))

**SCHEDULE K - Taxes on Taxable Distributions of Sponsoring Organizations Maintaining Donor
Advised Funds** (Section 4966). See page 14 of the instructions.

Part I	Taxable Distributions and Tax Computation
---------------	--

(a) Item number	(b) Name of sponsoring organization and donor advised fund	(c) Description of distribution
1	.	
2		
3		
4		

(d) Date of distribution	(e) Amount of distribution	(f) Tax imposed on organization (20% of col. (e))	(g) Tax on fund managers (lesser of 5% of col. (e) or \$10,000)	
Total - column (f). Enter here and on page 1, Part I, line 10				

Total - column (g). Enter total (or prorated amount) here and in Part II, column (c), below

Part II	Summary of Tax Liability of Fund Managers and Proration of Payments
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(a) Name of fund managers liable for tax	(b) Item no. from Part I, col (a)	(c) Tax from Part I, col (g), or prorated amount	(d) Manager's total tax liability (add amounts in col (c)) (see instructions)

SCHEDULE L - Taxes on Prohibited Benefits Distributed From Donor Advised Funds (Section 4967).

See page 14 of the instructions.

Part I Prohibited Benefits and Tax Computation		
(a) Item number	(b) Date of prohibited benefit	(c) Description of benefit
1		
2		
3		
4		
5		
(d) Amount of prohibited benefit	(e) Tax on prohibited benefit (125% of col. (d)) (see instructions)	(f) Tax on fund managers (if applicable) (lesser of 10% of col. (d) or \$10,000) (see instructions)

Part II Summary of Tax Liability of Donors, Donor Advisors, Related Persons and Proration of Payments			
(a) Names of donors, donor advisor, or related persons liable for tax	(b) Item no. from Part I, col. (a)	(c) Tax from Part I, col. (e) or prorated amount	(d) Donor, donor advisor, or related persons total tax liability (add amounts in col. (c)) (see instructions)

Part III Tax Liability of Fund Managers and Proration of Payments			
(a) Names of fund managers liable for tax	(b) Item no. from Part I, col. (a)	(c) Tax from Part I, col. (f) or prorated amount	(d) Fund manager's total tax liability (add amounts in col. (c)) (see instructions)

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee *[Signature]* Title PRESIDENT Date Feb 18 2010

Signature (and organization or entity name if applicable) of manager, self-dealer, disqualified person, donor, donor advisor, or related person _____ Date _____

Signature (and organization or entity name if applicable) of manager, self-dealer, disqualified person, donor, donor advisor, or related person _____ Date _____

Signature (and organization or entity name if applicable) of manager, self-dealer, disqualified person, donor, donor advisor, or related person _____ Date _____

Signature (and organization or entity name if applicable) of manager, self-dealer, disqualified person, donor, donor advisor, or related person _____ Date _____

**Paid
Preparer's
Use Only**

Preparer's signature *[Signature]*

Date 2/3/10

Check
if self-
employed ☐

Preparer's SSN or PTIN
P00234390

Firm's name (or
yours if self-employed),
address, and ZIP code

EVERGREEN WEALTH ADVISORS
150 S. WACKER #410
CHICAGO, IL 60606

EIN **20-8096688**

Phone no. **312-419-9204**

MID-CONTINENT CAPITAL, L.L.C.

REALIZED GAINS AND LOSSES

078 - 36 Foundation, Inc.

From 12-01-08 Through 11-30-09

Open Date	Close Date	Quantity	Security	Cost		Gain Or Loss	
				Basis	Proceeds	Short Term	Long Term
11-14-08	02-18-09	2,000	Textron Inc	29,040.00	13,219.92	-15,820.08	
12-05-06	02-18-09	2,000	Comcast Corp Pfd Ser B 7.00%	51,500.00	43,946.95		-7,553.05
11-10-05	03-24-09	1,500	General Electric	51,892.95	15,284.91		-36,608.04
11-14-08	03-24-09	5,000	Blackrock Credit Allocation Inc Tr IV	41,094.00	31,266.82	-9,827.18	
05-04-06	05-07-09	1,000	Pozen	14,415.00	7,150.41		-7,264.59
09-12-07	05-07-09	3,000	Pozen	36,060.00	21,451.24		-14,608.76
08-10-06	05-07-09	1,000	Pozen	9,150.00	7,150.42		-1,999.58
03-21-03	08-17-09	1	Kinder Morgan LLC	20.53	11.39		-9.14
05-21-09	09-01-09	7,615	Citigroup Inc	23,218.61	37,769.42	14,550.81	
11-10-05	09-09-09	1,000	Procter & Gamble Co	56,517.95	53,558.62		-2,959.33
05-20-09	09-09-09	500	Monsanto	46,440.00	41,538.93	-4,901.07	
08-25-05	09-09-09	2,000	Royal Bank Scotland Pfd Ser N 6.35%	52,147.95	20,719.46		-31,428.49
12-20-06	11-23-09	5,000	Sally Beauty Co	38,735.00	37,275.53		-1,459.47
09-09-09	11-23-09	2,000	Comcast Cl A-Spec	32,920.00	28,234.87	-4,685.13	
05-21-09	11-23-09	7,000	Citigroup Inc	21,343.43	29,471.33	8,127.90	
TOTAL GAINS						22,678.71	0.00
TOTAL LOSSES						-35,233.46	-103,890.45
TOTAL REALIZED GAIN/LOSS				504,495.42	388,050.22	-12,554.75	-103,890.45
				19,105.56	18,150.1		
CAPITAL GAIN DISTRIBUTIONS				3,001.39	2,045.49		