



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2008

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2008, or tax year beginning 12/01, 2008, and ending 11/30, 2009
G Check all that apply: Initial return ☐ Final return ☐ Amended return ☐ Address change ☐ Name change ☐

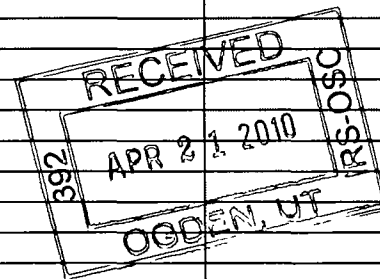
Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
E. R. ROBERTS FAMILY FOUNDATION
Number and street (or P O box number if mail is not delivered to street address) Room/suite
C/O MR. EDWARD ROBERTS
575 MADISON AVENUE 1006
City or town, state, and ZIP code
NEW YORK, NY 10022-2511

A Employer identification number
31-1772701
B Telephone number (see page 10 of the instructions)
() -
C If exemption application is pending, check here ☐
D 1 Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization. ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation
I Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 474,382.**
J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
	3 Interest on savings and temporary cash investments	17.	17.		
	4 Dividends and interest from securities	12,163.	12,163.		STMT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-32,576.			
	b Gross sales price for all assets on line 6a	557,798.			
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
Operating and Administrative Expenses	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	-20,396.	12,180.		
	13 Compensation of officers, directors, trustees, etc.	NONE			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	788.	788.		
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)*	230.			230.
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	1,018.	788.		230.
	25 Contributions, gifts, grants paid	24,900.			24,900.
	26 Total expenses and disbursements. Add lines 24 and 25	25,918.	788.		25,130.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-46,314.			
	b Net investment income (if negative, enter -0-)		11,392.		
	c Adjusted net income (if negative, enter -0-)			-0-	



Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	38,562.	57,851.	57,851.
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule)	477,208.	411,605.	416,531.
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	515,770.	469,456.	474,382.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	515,770.	469,456.	
	30 Total net assets or fund balances (see page 17 of the instructions)	515,770.	469,456.	
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	515,770.	469,456.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	515,770.
2 Enter amount from Part I, line 27a	2	-46,314.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	469,456.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	469,456.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	-32,576.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8.					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2007	30,493.	504,681.	0.060420
2006	69,511.	598,709.	0.116101
2005	211,989.	641,433.	0.330493
2004	201,857.	754,771.	0.267441
2003	221,578.	724,627.	0.305782
2 Total of line 1, column (d)			2 1.080237
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.216047
4 Enter the net value of noncharitable-use assets for 2008 from Part X, line 5			4 442,338.
5 Multiply line 4 by line 3			5 95,566.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 114.
7 Add lines 5 and 6			7 95,680.
8 Enter qualifying distributions from Part XII, line 4			8 25,130.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	228.
Date of ruling letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		2	
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	228.
3 Add lines 1 and 2		4	NONE
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	228.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2008 estimated tax payments and 2007 overpayment credited to 2008	6 a 365.		
b Exempt foreign organizations-tax withheld at source	6 b NONE		
c Tax paid with application for extension of time to file (Form 8868)	6 c NONE		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d		7	365.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	137.
11 Enter the amount of line 10 to be Credited to 2009 estimated tax ▶ 137. Refunded ▶		11	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ NY, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2008 or the taxable year beginning in 2008 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(3)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ N/A				
14	The books are in care of ▶ TAXPAYER Telephone no. ▶ 212-605-0591			
Located at ▶ 575 MADISON AVENUE, NEW YORK, NY ZIP + 4 ▶ 10022				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here N/A			
and enter the amount of tax-exempt interest received or accrued during the year		15		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b	N/A
Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2008?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2008, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2008?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2008 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2008)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2008?	4b	X

Form 990-PF (2008)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No **N/A**

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** X

If you answered "Yes" to 6b, also file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
EDWARD R. ROBERTS 575 MADISON AVENUE	DIRECTOR 0-5 HOURS	PER WEEK NONE	NONE	NONE
MARC ROBERTS 25 CENTRAL PARK WEST	DIRECTOR 0-5 HOURS	PER WEEK NONE	NONE	NONE
NANCY C. ROBERTS 25 CENTRAL PARK WEST	DIRECTOR 0-5 HOURS	PER WEEK NONE	NONE	NONE

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐ NONE

Form 990-PF (2008)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NOT APPLICABLE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3	

Form 990-PF (2008)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	397,072.
b	Average of monthly cash balances	1b	52,002.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	449,074.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	449,074.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	6,736.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	442,338.
6	Minimum investment return. Enter 5% of line 5	6	22,117.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	22,117.
2a	Tax on investment income for 2008 from Part VI, line 5	2a	228.
b	Income tax for 2008 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	228.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	21,889.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	21,889.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	21,889.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	25,130.
b	Program-related investments - total from Part IX-B	1b	NONE
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	25,130.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	25,130.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2007	(c) 2007	(d) 2008
1 Distributable amount for 2008 from Part XI, line 7				21,889.
2 Undistributed income, if any, as of the end of 2007				
a Enter amount for 2007 only			NONE	
b Total for prior years 20 06 20 20		NONE		
3 Excess distributions carryover, if any, to 2008				
a From 2003	186,141.			
b From 2004	164,904.			
c From 2005	177,239.			
d From 2006	40,554.			
e From 2007	5,624.			
f Total of lines 3a through e	574,462.			
4 Qualifying distributions for 2008 from Part XII, line 4 ▶ \$ 25,130.				
a Applied to 2007, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2008 distributable amount				21,889.
e Remaining amount distributed out of corpus	3,241.			
5 Excess distributions carryover applied to 2008 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	577,703.			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions		NONE		
e Undistributed income for 2007. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions			NONE	
f Undistributed income for 2008 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2009				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2003 not applied on line 5 or line 7 (see page 27 of the instructions)	186,141.			
9 Excess distributions carryover to 2009. Subtract lines 7 and 8 from line 6a	391,562.			
10 Analysis of line 9				
a Excess from 2004	164,904.			
b Excess from 2005	177,239.			
c Excess from 2006	40,554.			
d Excess from 2007	5,624.			
e Excess from 2008	3,241.			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2008, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2008	(b) 2007	(c) 2006	(d) 2005	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 27 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 5

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT 6				
Total			▶ 3a	24,900.
b Approved for future payment				
Total			▶ 3b	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					1,640.	
169,172.		SEE SCHEDULE #1 ATTACHED PROPERTY TYPE: SECURITIES 155,955.				P	VAR	VAR
		SEE SCHEDULE #1 ATTACHED PROPERTY TYPE: SECURITIES 434,419.				P	VAR	VAR
386,975.		TIME WARNER - CASH-IN-LIEU					-47,444.	
11.							VAR	VAR
							11.	
TOTAL GAIN(LOSS)							----- -32,576. =====	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
INTEREST FROM SECURITIES	60.	60.
DIVIDENDS FROM SECURITIES	12,103.	12,103.
	-----	-----
TOTAL	12,163.	12,163.
	=====	=====

FORM 990PF, PART I - OTHER PROFESSIONAL FEES
=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
INVESTMENT ADVISORY FEES	788.	788.
	-----	-----
TOTALS	788.	788.
	=====	=====

FORM 990PF, PART I - TAXES
=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
-----	-----	-----
FEDERAL EXCISE TAX	230.	230.
	-----	-----
TOTALS	230.	230.
	=====	=====

E. R. ROBERTS FAMILY FOUNDATION

31-1772701

FORM 990PF, PART II - CORPORATE STOCK
=====

DESCRIPTION

ENDING
BOOK VALUE

ENDING
FMV

SEE SCHEDULE #2 ATTACHED

411,605.

416,531.

TOTALS

411,605.

416,531.

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS
=====

EDWARD ROBERTS	575 MADISON AVENUE	NEW YORK, NY	10022
MARC ROBERTS	25 CENTRAL PARK WEST	NEW YORK, NY	10025
NANCY ROBERTS	25 CENTRAL PARK WEST	NEW YORK, NY	10025

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
LONGHOUSE RESERVE 133 HANDS CREEK ROAD EAST HAMPTON, NY 11937	NONE PUBLIC CHARITY	CHARITABLE	5,000.
METROPOLITAN OPERA 70 LINCOLN CENTER PLAZA NEW YORK, NY 10023	NONE PUBLIC CHARITY	CHARITABLE	10,000.
METROPOLITAN MUSEUM OF ART 1000 FIFTH AVENUE NEW YORK, NY 10028	NONE PUBLIC CHARITY	CHARITABLE	2,400.
CULVER PALMS FAMILY YMCA 4500 SEPULVEDA BLVD CULVER CITY, CA 90230	NONE PUBLIC CHARITY	CHARITABLE	2,500.
NEW YORK PRESBYTERIAN HOSPITAL 3959 BROADWAY NEW YORK, NY 10032	NONE PUBLIC CHARITY	CHARITABLE	5,000.

TOTAL CONTRIBUTIONS PAID
24,900.
=====

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► **Attach to Form 1041, Form 5227, or Form 990-T. See the separate instructions for Form 1041 (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

2008

Name of estate or trust

E. R. ROBERTS FAMILY FOUNDATION

Employer identification number

31-1772701

Note: Form 5227 filers need to complete *only* Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	13,217.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2007 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back	5	13,217.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-47,433.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	1,640.
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2007 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back	12	-45,793.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2008

Part III Summary of Parts I and II**Caution:** Read the instructions *before* completing this part.

	(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13 Net short-term gain or (loss)	13		13, 217.
14 Net long-term gain or (loss):			
a Total for year	14a		-45, 793.
b Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b		
c 28% rate gain	14c		
15 Total net gain or (loss). Combine lines 13 and 14a	15		-32, 576.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of	16	(3,000.)
a The loss on line 15, column (3) or b \$3,000		

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the worksheet on page 8 of the instructions if

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . .	17		
18 Enter the smaller of line 14a or 15 in column (2) but not less than zero	18		
19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . .	19		
20 Add lines 18 and 19	20		
21 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . ▶	21		
22 Subtract line 21 from line 20. If zero or less, enter -0-	22		
23 Subtract line 22 from line 17. If zero or less, enter -0-	23		
24 Enter the smaller of the amount on line 17 or \$2,200	24		
25 Is the amount on line 23 equal to or more than the amount on line 24?	25		
<input type="checkbox"/> Yes. Skip lines 25 and 26, go to line 27 and check the "No" box.			
<input type="checkbox"/> No. Enter the amount from line 23	25		
26 Subtract line 25 from line 24	26		
27 Are the amounts on lines 22 and 26 the same?	27		
<input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31			
<input type="checkbox"/> No. Enter the smaller of line 17 or line 22	27		
28 Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29 Subtract line 28 from line 27	29		
30 Multiply line 29 by 15% (15)	30		
31 Figure the tax on the amount on line 23. Use the 2008 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions)	31		
32 Add lines 30 and 31	32		
33 Figure the tax on the amount on line 17. Use the 2008 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions)	33		
34 Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on line 1a of Schedule G, Form 1041 (or line 36 of Form 990-T)	34		

Schedule D (Form 1041) 2008

Department of the Treasury
Internal Revenue Service

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2008

Name of estate or trust

E. R. ROBERTS FAMILY FOUNDATION

Employer identification number

31-1772701

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

[illegible]

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b	13,217.
---	---------

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2008

Employer identification number

6a

[illegible]

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b	-47,433.
--	----------

JSA
8F 1222 2 000

E R ROBERTS FAMILY FOUNDATION
 EIN 31-1772701
 A/c # A-20934-005
 FYE 11/30/2009

SCHEDULE # 1

<u>Securities</u>	<u>Purchase Date</u>	<u>Date of Sale</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>
<u>SHORT-TERM</u>					
CHUBB CORP	09/16/08	12/10/08	238 52	271 01	(32 49) S/T
PRINCIPAL FINANCIAL GROUP	01/03/08	12/12/08	171 96	681 93	(509 97) S/T
C R BARD INC	04/30/08	12/17/08	407 16	472 43	(65 27) S/T
BRISTOL MYERS SQUIES CO	07/09/08	01/06/09	111 71	107 85	3 86 S/T
BRISTOL MYERS SQUIES CO	07/09/08	01/13/09	109 71	107 85	1 86 S/T
BAKER HUGHES INC	07/18/08	01/23/09	460 32	1,048 32	(588 00) S/T
MARATHON OIL CORP	02/22/08	02/05/09	135 44	253 13	(117 69) S/T
BRISTOL MYERS SQUIES CO	07/07/08	02/05/09	113 34	102 91	10 43 S/T
ECOLAB INC	01/13/09	02/09/09	176 64	169 04	7 60 S/T
BRISTOL MYERS SQUIES CO	07/07/08	02/18/09	208 39	202 27	6 12 S/T
NEWS CORP INC	04/14/08	02/20/09	331 65	505 14	(173 49) S/T
STATE STREET CORP	10/14/08	03/02/09	111 75	275 49	(163 74) S/T
D R HORTON INC	01/26/09	04/08/09	96 91	64 92	31 99 S/T
QUALCOMM INC	09/29/08	04/09/09	208 18	205 56	2 62 S/T
ECOLAB INC	11/13/08	04/22/09	374 81	323 34	51 47 S/T
CORNING INC	08/06/08	05/01/09	213 79	275 26	(61 47) S/T
AMERICAN EXPRESS CO	03/18/09	05/05/09	398 50	193 91	204 59 S/T
D R HORTON INC	01/26/09	05/14/09	138 77	97 37	41 40 S/T
CONSOLIDATED EDISON INC	01/01/09	05/14/09	524 47	591 28	(66 81) S/T
SCHLUMBERGER LTD	02/05/09	05/22/09	523 37	57 67	465 70 S/T
METLIFE INC	12/10/08	06/02/09	161 76	159 32	2 44 S/T
CONOCOPHILLIPS	03/17/09	06/04/09	777 77	803 86	(26 09) S/T
ZIMMER HOLDINGS INC	02/06/09	07/02/09	404 62	399 80	4 82 S/T
ROCKWELL AUTOMATION INC	04/09/09	07/08/09	148 45	135 26	13 19 S/T
BNBS CORP	01/28/09	07/08/09	378 67	676 94	(298 27) S/T
LAM RESEARCH CORP	01/29/09	07/21/09	150 88	103 50	47 38 S/T
JUNIPER NETWORKS INC	02/06/09	07/22/09	255 20	164 15	91 05 S/T
SOUTHERN CO	05/14/09	07/22/09	159 07	142 66	16 41 S/T
JUNIPER NETWORKS INC	02/06/09	07/23/09	132 02	82 07	49 95 S/T
LAM RESEARCH CORP	10/28/08	07/24/09	319 34	199 24	120 10 S/T
WELLPOINT INC	04/09/09	07/28/09	269 87	201 74	68 13 S/T
TIME WARNER CABLE INC	02/20/09	07/29/09	390 44	426 90	(36 46) S/T
CATERPILLAR INC	04/30/09	08/06/09	235 31	181 58	53 73 S/T
URBAN OUTFITTERS INC	04/01/09	08/13/09	142 26	77 34	64 92 S/T
MASTERCARD INC-CLASS A	07/15/09	08/25/09	628 81	546 01	82 80 S/T
GOOGLE INC CLASS A	01/14/09	08/25/09	1,886 19	1,524 43	361 76 S/T
ALTRIA GROUP INC	01/20/09	08/25/09	819 87	706 21	113 66 S/T
ANADARKO PETROLEUM CORP	05/22/09	08/25/09	808 92	651 92	157 00 S/T
BANK OF AMERICA CORP	02/05/09	08/25/09	1,854 25	1,706 51	147 74 S/T
CARDINAL HEALTH INC	06/08/09	08/25/09	346 59	303 01	43 58 S/T
CATERPILLAR INC	04/15/09	08/25/09	719 53	453 97	265 56 S/T
CISCO SYSTEMS INC	07/22/09	08/25/09	2,139 56	2,135 67	3 89 S/T
CELGENE CORPORATION	02/05/09	08/25/09	1,032 63	1,035 58	(2 95) S/T
DEERS & CO	04/15/09	08/25/09	465 18	335 01	130 17 S/T
EMERSON ELECTRIC CO	06/08/09	08/25/09	373 79	348 32	25 47 S/T
DEVON ENERGY CORP	03/17/09	08/25/09	1,001 36	823 39	177 97 S/T
KOG RESOURCES INC	07/01/09	08/25/09	372 54	338 39	34 15 S/T
GILEAD SCIENCES INC	12/04/08	08/25/09	922 77	924 60	(1 83) S/T
GOLDMAN SACHS GROUP INC	12/23/08	08/25/09	2,139 35	1,562 10	577 25 S/T
BAXTER INTERNATIONAL INC	07/01/09	08/25/09	857 52	741 33	116 19 S/T
GENERAL MILLS INC	01/20/09	08/25/09	297 54	302 13	(4 59) S/T
FREEMONT-MCMORAN COPPER & GOLD	07/07/09	08/25/09	1,279 16	1,015 08	264 08 S/T
CHEVRON CORP	07/08/09	08/25/09	1,199 99	1,144 90	55 09 S/T
CORNING INC	01/08/09	08/25/09	943 19	890 28	52 91 S/T
GENERAL ELECTRIC CO	10/02/08	08/25/09	645 28	725 28	(80 00) S/T
BANK OF NEW YORK MELLON CORP	08/06/09	08/25/09	1,311 71	1,299 31	12 40 S/T
AMERIPRIDE FINANCIAL INC	12/12/08	08/25/09	302 59	250 31	52 28 S/T
EXXON MOBIL CORP	05/13/09	08/25/09	3,020 35	3,014 66	5 69 S/T

E R ROBERTS FAMILY FOUNDATION
 EIN 31-1772701
 A/c # A-20934-005
 FYE 11/30/2009

SCHEDULE # 1

<u>Securities</u>	<u>Purchase Date</u>	<u>Date of Sale</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>
BB & T CORP	05/13/09	08/25/09	548 58	381 51	167 07 S/T
EDISON INTERNATION	05/13/09	08/25/09	848 97	825 74	23 23 S/T
APACHE CORP	03/17/09	08/25/09	1,379 64	1,355 93	23 71 S/T
BRISTOL MYERS SQUIES CO	07/22/09	08/25/09	643 85	606 57	37 28 S/T
CVS/CAREMARK CORPORATION	01/28/09	08/25/09	1,210 52	1,116 66	93 86 S/T
ADVANCED AUTO PARTS INC	10/07/08	08/25/09	392 60	326 40	66 20 S/T
ACIN CORP	07/30/09	08/25/09	209 99	199 57	10 42 S/T
DOW CHEMICAL CO	05/22/09	08/25/09	878 77	548 82	329 95 S/T
WALT DISNEY CO	02/20/09	08/25/09	1,887 85	1,667 21	220 64 S/T
MCKESSON CORP	04/01/09	08/25/09	565 48	347 43	218 05 S/T
MICROSOFT CORP	07/24/09	08/25/09	3,431 31	3,290 68	140 63 S/T
MERCK & CO INC	10/10/08	08/25/09	655 58	578 25	77 33 S/T
NETAPP INC	03/23/09	08/25/09	219 79	155 32	64 47 S/T
OCCIDENTAL PETROLLUM CORP	10/15/08	08/25/09	821 68	699 74	121 94 S/T
PACCAR INC	03/12/09	08/25/09	941 97	769 57	172 40 S/T
PARKER-HANNIFIN CORP	08/13/09	08/25/09	558 01	487 59	70 42 S/T
PAYCHEX INC	07/01/09	08/25/09	709 98	654 21	55 77 S/T
SOUTHWESTERN ENERGY CO	06/08/09	08/25/09	397 88	427 99	(30 11) S/T
STAPLE	03/10/09	08/25/09	980 07	917 68	62 39 S/T
URBAN OUTFITTERS INC	01/14/09	08/25/09	438 13	216 58	221 55 S/T
STARWOOD HOTELS & RESORTS	07/01/09	08/25/09	610 58	424 51	186 07 S/T
XILINX CORP	07/23/09	08/25/09	663 88	635 32	28 56 S/T
NOBLE CORPORATION	05/22/09	08/25/09	357 79	308 85	48 94 S/T
COVIDIEN PLC	07/01/09	08/25/09	992 97	929 02	63 95 S/T
JUNIPER NETWORKS INC	04/01/09	08/25/09	473 58	320 95	152 63 S/T
ABBOTT LABORATORIES	06/08/09	08/25/09	1,615 20	1,630 68	(15 48) S/T
PFIZER INC	03/01/09	08/25/09	1,347 16	1,104 30	242 86 S/T
PEPSICO INC	10/15/08	08/25/09	1,379 48	1,362 17	17 31 S/T
WYETH	01/28/09	08/25/09	721 93	638 77	83 16 S/T
JOHNSON CONTROLS INC	03/19/09	08/25/09	1,099 83	964 17	135 66 S/T
THE TRAVELERS COMPANIES INC	03/02/09	08/25/09	727 63	630 73	96 90 S/T
MORGAN STANLEY	04/29/09	08/25/09	1,057 32	947 35	109 97 S/T
AETNA INC	07/28/09	08/25/09	898 17	828 74	69 43 S/T
WAL MART STORES INC	03/02/09	08/25/09	1,189 43	1,172 43	17 00 S/T
INTERNATIONAL GAME TECHNOLOGY	06/24/09	08/25/09	309 89	232 08	77 81 S/T
NORWOLK BOUTHERN CORP	01/14/09	08/25/09	2,205 58	2,149 46	56 12 S/T
NATIONAL SEMICONDUCTOR CORP	04/09/09	08/25/09	741 98	601 88	140 10 S/T
KB HOME	07/28/09	08/25/09	274 64	250 93	23 71 S/T
US BANCORP DEL	04/02/09	08/25/09	1,097 47	1,029 83	67 64 S/T
WELLS FARGO & CO	03/17/09	08/25/09	1,377 46	1,119 30	258 16 S/T
NIKE INC	10/08/08	08/25/09	556 78	559 34	(2 56) S/T
MONBANTO CO	06/24/09	08/25/09	590 22	577 63	12 59 S/T
PHILIP MORRIS INTERNATIONAL	05/22/09	08/25/09	1,051 85	939 29	112 56 S/T
V F CORP	06/17/09	08/25/09	469 12	400 75	68 37 S/T
METLIFE INC	01/21/09	08/25/09	394 38	272 05	122 33 S/T
PRUDENTIAL FINANCIAL INC	06/02/09	08/25/09	515 38	322 23	193 15 S/T
SCHLUMBERGER LTD	01/27/09	08/25/09	840 27	605 49	234 78 S/T
AXIB CAPITAL BUILDINGS LTD	09/16/08	08/25/09	149 69	169 95	(20 26) S/T
SAFEWAY INC	02/27/09	08/25/09	671 28	661 93	9 35 S/T
TIME WARNER INC	02/20/09	08/25/09	1,377 56	1,163 42	214 14 S/T
ACR LTD	04/29/09	08/25/09	511 88	447 46	64 42 S/T
HEMLETT-PACKARD CO	03/18/09	08/25/09	1,892 77	1,580 35	312 42 S/T
SOUTHERN CO	05/14/09	08/25/09	315 59	285 33	30 26 S/T
CITIGROUP INC	08/13/09	08/25/09	543 93	461 13	82 80 S/T
SPRINT NEXTEL CORP	07/29/09	08/25/09	511 76	367 57	144 19 S/T
ISHARKS RUSSELL 2000 INDEX FUND	08/25/09	10/07/09	10,531 53	10,246 25	285 28 S/T
JPMORGAN ASIA EQUITY FUND	05/14/09	10/07/09	10,908 58	8,500 00	2408 58 S/T
JPMORGAN US EQUITY FUND	08/25/09	10/07/09	20,606 79	20,000 00	606 79 S/T
HIGHWOOD GROWTH FUND	08/25/09	10/07/09	10,092 50	10,000 00	92 50 S/T
RIVERSOURCE INTL SEE INC	08/25/09	10/07/09	10,846 36	10,000 00	846 36 S/T
GOLDMAN SAHS TRUST	04/08/09	10/07/09	3,829 55	3,000 00	829 55 S/T

E R ROBERTS FAMILY FOUNDATION
 EIN 31-1772701
 A/c # A-20934-005
 FYE 11/30/2009

SCHEDULE # 1

<u>Securities</u>	<u>Purchase Date</u>	<u>Date of Sale</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>
MANNING & MAPIER FUND INC	08/25/09	10/07/09	20,751 78	20,000 00	751 78 S/T
TOTAL SHORT-TERM			169,171.89	155,954.53	13,217.36

<u>Securities</u>	<u>Purchase Date</u>	<u>Date of Sale</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>
LONG-TERM					
ORACLE CORP	08/18/04	12/04/08	237 53	153 87	83 66 L/T
AT&T INC	10/10/07	12/08/08	299 07	420 36	(121 29) L/T
MATTHEWS PACIFIC TIGER FUND-CL	02/16/06	12/08/08	4,703 89	7,000 00	(2296 11) L/T
MORGAN STANLEY	10/24/07	12/16/08	147 87	620 92	(473 05) L/T
PHILIP MORRIS INTERNATIONAL	07/17/02	12/16/08	204 96	115 27	89 69 L/T
WELLS FARGO & CO	06/04/07	12/23/08	135 29	181 34	(46 05) L/T
PHILIP MORRIS INTERNATIONAL	07/17/02	01/13/09	211 52	115 28	96 24 L/T
DANAHER CORP	01/04/08	01/13/09	265 01	428 84	(163 83) L/T
YUM BRANDS INC	01/09/08	01/14/09	143 12	185 41	(42 29) L/T
NEWS CORP INC	06/29/07	01/15/09	360 09	982 44	(622 35) L/T
APPLE INC	01/08/08	01/15/09	161 73	359 98	(198 25) L/T
COLGATE PALMOLIVE CO	01/09/08	01/20/09	315 71	406 47	(90 76) L/T
AFLAC INC	01/03/08	01/21/09	176 91	315 75	(138 84) L/T
ADVANCED AUTO PARTS INC	01/07/08	01/21/09	155 87	179 44	(23 57) L/T
COLGATE PALMOLIVE CO	01/09/08	01/23/09	303 51	406 47	(102 96) L/T
XTO ENERGY CORP	01/03/08	01/27/09	183 77	267 35	(83 58) L/T
SCHERING-PLOUGH CORP	08/03/07	01/28/09	186 00	293 18	(107 18) L/T
CISCO SYSTEMS INC	06/12/07	01/29/09	241 78	395 26	(153 48) L/T
BANK OF NEW YORK MELLON CORP	01/08/08	02/05/09	141 34	243 89	(102 55) L/T
UNITED TECHNOLOGIES CORP	12/01/05	02/06/09	245 52	271 89	(26 37) L/T
ABBOTT LABORATORIES	10/22/07	02/06/09	284 84	257 24	27 60 L/T
C R BARD INC	01/03/08	02/06/09	173 32	187 68	(14 36) L/T
PEPSICO INC	01/09/08	02/06/09	53 35	78 91	(25 56) L/T
BANK OF NEW YORK MELLON CORP	01/08/08	02/17/09	237 20	487 79	(250 59) L/T
MERCK & CO INC	01/28/08	02/18/09	566 22	1,037 42	(471 20) L/T
AMERIPRISE FINANCIAL INC	01/04/08	02/18/09	182 09	538 75	(356 66) L/T
XTO ENERGY CORP	01/03/08	02/19/09	320 40	534 70	(214 30) L/T
NEWS CORP INC	04/14/08	VAR		598 79	(598 79) L/T
AFLAC INC	01/03/08	02/23/09	82 10	315 75	(233 65) L/T
GENERAL ELECTRIC CO	01/14/08	02/27/09	86 59	353 96	(267 37) L/T
GENERAL ELECTRIC CO	01/14/08	02/27/09	130 76	521 70	(390 94) L/T
NIKE INC	01/07/08	02/27/09	203 65	308 90	(105 25) L/T
ADVANCED AUTO PARTS INC	01/07/08	03/02/09	181 56	179 45	2 11 L/T
GILEAD SCIENCES INC	01/07/08	03/03/09	226 13	234 54	(8 41) L/T
BRISTOL MYERS SQUIES CO	01/07/08	VAR	6 26		6 26 L/T
ADVANCED AUTO PARTS INC	01/07/08	03/10/09	189 72	179 44	10 28 L/T
ABBOTT LABORATORIES	08/01/07	03/10/09	235 20	253 81	(18 61) L/T
DANAHER CORP	01/04/08	03/12/09	266 43	428 85	(162 42) L/T
MORGAN STANLEY	08/18/04	03/13/09	125 83	206 28	(80 45) L/T
MARATHON OIL CORP	02/22/08	03/17/09	246 88	506 26	(259 38) L/T
MORGAN STANLEY	08/18/04	03/17/09	222 61	412 56	(189 95) L/T
CISCO SYSTEMS INC	06/12/07	03/17/09	156 53	261 26	(104 73) L/T
INTERNATION BUSINESS MACHINES COF	02/21/03	03/18/09	454 77	395 60	59 17 L/T
DANAHER CORP	01/04/08	03/19/09	110 05	171 54	(61 49) L/T
CISCO SYSTEMS INC	03/01/07	03/23/09	165 53	260 28	(94 75) L/T
MERCK & CO INC	01/26/07	03/23/09	271 63	460 06	(188 43) L/T
AETNA INC	01/09/08	04/01/09	241 34	588 91	(347 57) L/T
CISCO SYSTEMS INC	03/01/07	04/01/09	173 17	260 27	(87 10) L/T
INTERNATIONAL BUSINESS MACHINES C	02/21/03	04/01/09	97 49	79 12	18 37 L/T
FIRSTENERGY CORP	01/04/08	04/01/09	579 71	1,092 30	(512 59) L/T
WELLS FARGO & CO	06/13/07	04/02/09	301 44	722 70	(421 26) L/T
XILINX CORP	11/09/07	04/03/09	199 18	232 51	(33 33) L/T
BOEING CO	09/27/05	04/06/09	183 86	328 77	(144 91) L/T

E R ROBERTS FAMILY FOUNDATION
 EIN 31-1772701
 A/c # A-20934-005
 FYE 11/30/2009

SCHEDULE # 1

<u>Securities</u>	<u>Purchase Date</u>	<u>Date of Sale</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>
FIDELITY ADVISOR HIGH INCOME ADVAN	11/15/07	04/08/09	2,773 83	5,000 00	(2226 17) L/T
JPMORGAN SHORT DURATION BOND FUI	03/14/08	04/08/09	15,000 00	15,182 76	(182 76) L/T
SCHLUMBERGER LTD	01/12/07	04/09/09	223 78	287 64	(63 86) L/T
AETNA INC	01/09/08	04/09/09	126 32	294 45	(168 13) L/T
DANAHER CORP	01/04/08	04/15/09	269 42	428 84	(159 42) L/T
BANK OF AMERICA CORP	01/31/08	04/29/09	172 59	844 94	(672 35) L/T
WELLS FARGO & CO	06/13/07	04/29/09	204 90	357 35	(152 45) L/T
INTERNATIONAL BUSINESS MACHINES C	02/21/03	04/29/09	208 44	158 24	50 20 L/T
XILINX CORP	11/09/07	04/29/09	201 26	232 52	(31 26) L/T
CORNING INC	08/07/08	VAR		8 89	(8 89) L/T
SCHLUMBERGER LTD	01/12/07	05/13/09	265 84	287 65	(21 81) L/T
JOHNSON CONTROLS INC	01/04/06	05/13/09	176 42	248 34	(71 92) L/T
ISHARES RUSSELL 1000 GROWTH INDEX	11/15/07	05/14/09	3,858 90	6,039 00	(2180 10) L/T
INTERNATIONAL BUSINESS MACHINES C	02/21/03	05/22/09	206 14	158 24	47 90 L/T
SCHLUMBERGER LTD	02/05/09	VAR		25 95	(25 95) L/T
KOHL'S CORP	12/17/07	05/22/09	205 57	237 87	(32 30) L/T
MERCK & CO INC	01/26/07	06/03/09	273 93	459 46	(185 53) L/T
C R BARD INC	01/03/08	06/05/09	592 56	750 71	(158 15) L/T
DANAHER CORP	01/04/08	06/08/09	504 69	686 15	(181 46) L/T
DEVON ENERGY CORP	10/05/06	06/08/09	320 37	310 26	10 11 L/T
MERCK & CO INC	04/02/07	06/08/09	255 77	450 25	(194 48) L/T
NIKE INC	01/07/08	06/18/09	222 68	247 12	(24 44) L/T
SAFEWAY INC	09/12/07	06/24/09	102 79	158 11	(55 32) L/T
NIKE INC	01/07/08	06/24/09	53 73	61 78	(8 05) L/T
YUM BRANDS INC	01/09/08	06/24/09	164 12	185 42	(21 30) L/T
CVS/CAREMARK CORPORATION	01/07/08	07/01/09	158 88	189 21	(30 33) L/T
EXXON MOBIL CORP	01/07/08	07/01/09	636 30	837 18	(200 88) L/T
SAFEWAY INC	09/12/07	07/06/09	99 22	158 10	(58 88) L/T
EXXON MOBIL CORP	01/07/08	07/07/09	334 34	465 10	(130 76) L/T
YAHOO INC	01/10/08	07/29/09	460 07	698 47	(238 40) L/T
TD AMERITRADE HOLDING CORPORATIO	04/18/07	08/10/09	192 81	156 62	36 19 L/T
GENERAL ELECTRIC CO	03/02/04	08/13/09	282 99	655 70	(372 71) L/T
GOOGLE INC CLASS A	01/15/09	VAR		96 27	(96 27) L/T
APPLE INC	01/08/08	08/25/09	1,356 84	886 21	470 63 L/T
BANK OF AMERICA CORP	02/05/09	VAR		1,243 05	(1243 05) L/T
CISCO SYSTEMS INC	07/22/09	VAR	163 03		163 03 L/T
CELGENE CORPORATION	02/05/09	VAR	35 34		35 34 L/T
DEVON ENERGY CORP	03/17/09	VAR	3 89		3 89 L/T
AT&T INC	01/10/08	08/25/09	1,188 41	1,936 80	(748 39) L/T
BOEING CO	09/27/05	08/25/09	484 98	657 55	(172 57) L/T
GILEAD SCIENCES INC	12/04/08	VAR		11 55	(11 55) L/T
GOLDMAN SACHS GROUP INC	12/23/08	VAR		52 60	(52 60) L/T
FREEMONT-MCMORAN COPPER & GOLD	07/07/09	VAR		316 42	(316 42) L/T
CORNING INC	01/08/09	VAR	25 78		25 78 L/T
GENERAL ELECTRIC CO	10/02/08	VAR		636 67	(636 67) L/T
INTERNATIONAL BUSINESS MACHINES C	02/21/03	08/25/09	1,190 56	791 20	399 36 L/T
EXELON CORP	07/09/08	08/25/09	764 68	1,345 66	(580 98) L/T
BANK OF NEW YORK MELLON CORP	08/06/09	VAR		428 48	(428 48) L/T
AMERIPRIDE FINANCIAL INC	12/12/08	VAR		118 08	(118 08) L/T
EXXON MOBIL CORP	05/13/09	VAR	240 96		240 96 L/T
EDISON INTERNATIONAL	05/13/09	VAR		164 16	(164 16) L/T
APACHE CORP	03/17/09	VAR		235 72	(235 72) L/T
BRISTOL MYERS SQUIER CO	07/22/09	VAR	47 63		47 63 L/T
CVS/CAREMARK CORPORATION	01/28/09	VAR	253 44		253 44 L/T
ADVANCED AUTO PARTS INC	10/07/08	VAR	40 38		40 38 L/T
WALT DISNEY CO	02/20/09	VAR		172 19	(172 19) L/T
MICROSOFT CORP	07/24/09	VAR		115 61	(115 61) L/T
MERCK & CO INC	10/10/08	VAR		112 93	(112 93) L/T
OCCIDENTAL PETROLEUM CORP	10/15/08	VAR	286 79		286 79 L/T
PACCAR INC	03/12/09	VAR		48 53	(48 53) L/T
QUALCOMM INC	01/14/08	08/25/09	1,475 20	1,562 81	(87 61) L/T

E R ROBERTS FAMILY FOUNDATION
 EIN 31-1772701
 A/c # A-20934-005
 FYE 11/30/2009

SCHEDULE # 1

<u>Securities</u>	<u>Purchase Date</u>	<u>Date of Sale</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>
PRAKAIK INC	01/14/08	08/25/09	1,631 44	930 11	701 33 L/T
STAPLE	03/10/09	VAR		40 27	(40 27) L/T
TD AMERIPRADE HOLDING CORPORATIO	04/18/07	08/25/09	277 49	234 93	42 56 L/T
XILINX CORP	07/23/09	VAR		16 83	(16 83) L/T
VERIZON COMMUNICATIONS INC	02/27/08	08/25/09	1,731 90	2,008 97	(277 07) L/T
ABBOTT LABORATORIES	06/08/09	VAR		48 03	(48 03) L/T
SCHERING- PLOUGH CORP	10/22/07	08/25/09	1,693 15	1,725 81	(32 66) L/T
PROCTER & GAMBLE CO	01/10/08	08/25/09	2,144 34	2,530 29	(385 95) L/T
YUM BRANDS INC	07/18/08	08/25/09	527 08	536 48	(9 40) L/T
PEPSICO INC	10/15/08	VAR		407 26	(407 26) L/T
JOHNSON CONTROLS INC	03/19/09	VAR	21 54		21 54 L/T
MORGAN STANLEY	04/29/09	VAR		81 74	(81 74) L/T
AETNA INC	07/28/09	VAR		114 76	(114 76) L/T
WAL MART STORES INC	03/02/09	VAR	110 03		110 03 L/T
NORWOLK BOUTHERN CORP	01/14/09	VAR	150 35		150 35 L/T
CISCO CORP	05/02/08	08/25/09	633 23	786 44	(153 21) L/T
US BANCORP DEL	04/02/09	VAR		386 28	(386 28) L/T
NIKE INC	10/08/08	VAR		30 51	(30 51) L/T
MONBANTO CO	06/24/09	VAR		164 38	(164 38) L/T
PHILIP MORRIS INTERNATIONAL	05/22/09	VAR	360 21		360 21 L/T
UNITED TECHNOLOGIES CORP	12/01/05	08/25/09	1,498 46	1,349 97	148 49 L/T
SAFEWAY INC	02/27/09	VAR		163 87	(163 87) L/T
TIME WARNER INC	02/20/09	VAR		132 23	(132 23) L/T
HEMLETT-PACKARD CO	03/18/09	VAR	1,013 95		1013 95 L/T
JPMORGAN VALUE OPPORTUNITIES INST	02/22/08	10/07/09	10,154 66	13,398 07	(3243 41) L/T
JPMORGAN INTRSPID AMERICA FUND	02/15/05	10/07/09	10,527 58	12,229 22	(1701 64) L/T
JPMORGAN INTREPID GROWTH FUND	02/16/06	10/07/09	8,553 55	10,000 00	(1446 45) L/T
JPMORGAN INTREPID INTERNATIONAL FI	04/25/07	10/07/09	18,542 24	30,000 00	(11457 76) L/T
JPMORGAN HIGH YIELD BOND FUND	03/14/08	10/07/09	9,906 91	10,000 00	(93 09) L/T
JPMORGAN SHORT DURATION BOND FUI	03/14/08	10/07/09	238,330 26	234,817 27	3512 99 L/T
DODGE & COX INTERNATIONAL STOCK	04/25/07	10/07/09	27,283 43	39,271 09	(11987 66) L/T
TOTAL LONG-TERM			386,974.70	434,419.13	(47,444.43)
TOTAL SHORT-TERM & LONG-TERM			556,146.59	590,373.67	(34,227 08)

J.P.Morgan

SCHEDULE # 2

EIN: 31-1772701

E R ROBERTS FAMILY FOUNDATION ACCT. A20934005
For the Period 11/1/09 to 11/30/09

Other Assets Detail

	Quantity	Price	Estimated Value	Cost	Estimated Gain/Loss
Other					
JPMORGAN ACCESS BALANCED FUND	27,367.362	15.22	416,531.25	411,605.12	4,926.13
SELECT CL					
48121A-75-3 JXBS X					