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**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No 1545-0052

**2008**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2008, or tax year beginning 12/01, 2008, and ending 11/30, 2009

G Check all that apply: Initial return ☐ Final return ☐ Amended return ☒ Address change ☐ Name change ☐

Use the IRS label Otherwise, print or type See Specific Instructions	Name of foundation <b>E &amp; S VAN HOUTEN MEMORIAL FUND</b>		A Employer identification number <b>22-6311438</b>
	Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	B Telephone number (see page 10 of the instructions) <b>(908) 598-3984</b>
	<b>1525 WEST WT HARRIS BLVD</b>		
	City or town, state, and ZIP code <b>CHARLOTTE, NC 28288-5709</b>		

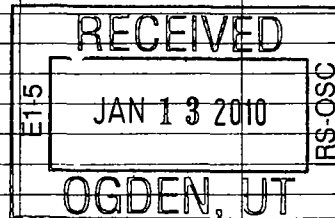
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☒ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 17,435,375.**

J Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_ (Part I, column (d) must be on cash basis)

C If exemption application is pending, check here ☐  
D 1 Foreign organizations, check here ☐  
2 Foreign organizations meeting the 85% test, check here and attach computation ☐  
E If private foundation status was terminated under section 507(b)(1)(A), check here ☐  
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))				(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)					
	2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B.					
	3	Interest on savings and temporary cash investments					
	4	Dividends and interest from securities		586,251.	579,295.		STMT 1
	5a	Gross rents					
	b	Net rental income or (loss)					
	6a	Net gain or (loss) from sale of assets not on line 10		-1,277,975.			
	b	Gross sales price for all assets on line 6a		5,821,771.			
	7	Capital gain net income (from Part IV, line 2)					
	8	Net short-term capital gain					
	9	Income modifications					
Operating and Administrative Expenses	10a	Gross sales less returns and allowances					
	b	Less: Cost of goods sold					
	c	Gross profit or (loss) (attach schedule)					
	11	Other income (attach schedule)					
	12	Total. Add lines 1 through 11		-691,724.	579,295.		
	13	Compensation of officers, directors, trustees, etc.		137,454.	137,454.		
	14	Other employee salaries and wages					
	15	Pension plans, employee benefits					
	16a	Legal fees (attach schedule)	STMT 2	23,566.	NONE	NONE	23,566.
	b	Accounting fees (attach schedule)	STMT 3	9,000.	NONE	NONE	9,000.
	c	Other professional fees (attach schedule)					
	17	Interest					
	18	Taxes (attach schedule) (see page 14 of the instructions)	STMT 4	15,184.	6,634.		
	19	Depreciation (attach schedule) and depletion					
	20	Occupancy					
	21	Travel, conferences, and meetings					
	22	Printing and publications					
	23	Other expenses (attach schedule)					
	24	Total operating and administrative expenses. Add lines 13 through 23		185,204.	144,088.	NONE	32,566.
	25	Contributions, gifts, grants paid		596,000.			596,000.
	26	Total expenses and disbursements. Add lines 24 and 25		781,204.	144,088.	NONE	628,566.
	27	Subtract line 26 from line 12					
	a	Excess of revenue over expenses and disbursements		-1,472,928.			
	b	Net investment income (if negative, enter -0-)			435,207		
	c	Adjusted net income (if negative, enter -0-)					



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing . . . . .			NONE	
	2	Savings and temporary cash investments . . . . .	205,323.	324,823.	324,823.	
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ *		*	NONE	
		Less allowance for doubtful accounts ▶			NONE	NONE
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10 a	Investments - U S and state government obligations (attach schedule) .			NONE	NONE
	b	Investments - corporate stock (attach schedule) . . . . .			NONE	NONE
	c	Investments - corporate bonds (attach schedule) . . . . .			NONE	NONE
	11	Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶	NONE		NONE	
	12	Investments - mortgage loans . . . . .			NONE	
	13	Investments - other (attach schedule) . . . . .	18,423,750.	17,193,840.	17,110,552.	
14	Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶) . . . . .			NONE	NONE	
16	<b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .	18,629,073.	17,518,663.	17,435,375.		
Liabilities	17	Accounts payable and accrued expenses . . . . .				
	18	Grants payable . . . . .				
	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, and other disqualified persons .				
	21	Mortgages and other notes payable (attach schedule) . . . . .				
	22	Other liabilities (describe ▶) . . . . .				
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .					
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted . . . . .				
	25	Temporarily restricted . . . . .				
	26	Permanently restricted . . . . .				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds . . . . .	18,544,491.	17,518,663.		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .				
	29	Retained earnings, accumulated income, endowment, or other funds . .	84,582.	NONE		
	30	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	18,629,073.	17,518,663.		
	31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	18,629,073.	17,518,663.		

### Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	18,629,073.
2	Enter amount from Part I, line 27a . . . . .	2	-1,472,928.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 5	3	362,518.
4	Add lines 1, 2, and 3 . . . . .	4	17,518,663.
5	Decreases not included in line 2 (itemize) ▶	5	
6	<b>Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .</b>	6	17,518,663.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
<b>1a</b> SEE PART IV DETAIL					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss) . . . . .			<b>2</b>	-1,277,975.	
<div style="display: flex; align-items: center;"> <div style="margin-right: 10px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div> <div style="font-size: 2em;">}</div> </div>					
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8. . . . .			<b>3</b>		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2007	1,108,896.	19,240,025.	0.05763485235
2006	1,040,735.	22,001,091.	0.04730379053
2005	1,184,848.	20,892,638.	0.05671126834
2004	954,634.	20,382,615.	0.04683569797
2003	825,090.	19,493,811.	0.04232574123
<b>2</b> Total of line 1, column (d) . . . . .			<b>2</b> 0.25081135042
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .			<b>3</b> 0.05016227008
<b>4</b> Enter the net value of noncharitable-use assets for 2008 from Part X, line 5 . . . . .			<b>4</b> 14,872,806.
<b>5</b> Multiply line 4 by line 3 . . . . .			<b>5</b> 746,054.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b) . . . . .			<b>6</b> 4,352.
<b>7</b> Add lines 5 and 6 . . . . .			<b>7</b> 750,406.
<b>8</b> Enter qualifying distributions from Part XII, line 4 . . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.			<b>8</b> 628,566.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	8,704.
Date of ruling letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	8,704.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	8,704.
6 Credits/Payments			
a 2008 estimated tax payments and 2007 overpayment credited to 2008	6a	13,654.	
b Exempt foreign organizations-tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	NONE	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	13,654.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	4,950.	
11 Enter the amount of line 10 to be Credited to 2009 estimated tax	11	4,950. Refunded	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions)		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2008 or the taxable year beginning in 2008 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(3)? If "Yes," attach schedule (see page 20 of the instructions) . . . . .	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? . . . . .	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	13	X	
Website address <input type="checkbox"/> N/A				
14	The books are in care of <input type="checkbox"/> SEE STATEMENT 6 Telephone no <input type="checkbox"/>			
Located at <input type="checkbox"/> ZIP + 4 <input type="checkbox"/>				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . .	15		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? . . . . .	1b	X
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2008? . . . . .	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2008, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2008? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years <input type="checkbox"/>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions) . . . . .	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="checkbox"/>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2008 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2008) . . . . .	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2008? . . . . .	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

- 5a During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
- If "Yes," attach the statement required by Regulations section 53.4945-5(d)
- 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If you answered "Yes" to 6b, also file Form 8870
- 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b

6b

7b

X

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		137,454.	-0-	-0-

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions) If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ NONE

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions) If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services . . . . . NONE

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See page 24 of the instructions.	
3 NONE	
Total. Add lines 1 through 3 . . . . .	

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	15,090,412.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	8,883.
<b>c</b>	Fair market value of all other assets (see page 24 of the instructions)	<b>1c</b>	NONE
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	15,099,295.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	NONE
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	15,099,295.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	<b>4</b>	226,489.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	14,872,806.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	743,640.

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	743,640.
<b>2a</b>	Tax on investment income for 2008 from Part VI, line 5	<b>2a</b>	8,704.
<b>b</b>	Income tax for 2008 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	8,704.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	734,936.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	NONE
<b>5</b>	Add lines 3 and 4	<b>5</b>	734,936.
<b>6</b>	Deduction from distributable amount (see page 25 of the instructions)	<b>6</b>	NONE
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	734,936.

**Part XII Qualifying Distributions** (see page 25 of the instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	628,566.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	NONE
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	NONE
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	NONE
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	628,566.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	<b>5</b>	N/A
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	628,566.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2007	(c) 2007	(d) 2008
<b>1</b> Distributable amount for 2008 from Part XI, line 7 . . . . .				734,936.
<b>2</b> Undistributed income, if any, as of the end of 2007				
<b>a</b> Enter amount for 2007 only . . . . .			NONE	
<b>b</b> Total for prior years 20 <u>06</u> , 20 <u>  </u> , 20 <u>  </u> . . . . .		NONE		
<b>3</b> Excess distributions carryover, if any, to 2008				
<b>a</b> From 2003 . . . . .	NONE			
<b>b</b> From 2004 . . . . .	NONE			
<b>c</b> From 2005 . . . . .	NONE			
<b>d</b> From 2006 . . . . .	NONE			
<b>e</b> From 2007 . . . . .	141,962.			
<b>f</b> Total of lines 3a through e . . . . .	141,962.			
<b>4</b> Qualifying distributions for 2008 from Part XI, line 4 ▶ \$ <u>628,566.</u>				
<b>a</b> Applied to 2007, but not more than line 2a . . . . .			NONE	
<b>b</b> Applied to undistributed income of prior years (Election required - see page 26 of the instructions) . . . . .		NONE		
<b>c</b> Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . . . .	NONE			
<b>d</b> Applied to 2008 distributable amount . . . . .				628,566.
<b>e</b> Remaining amount distributed out of corpus . . . . .	NONE			
<b>5</b> Excess distributions carryover applied to 2008 . (If an amount appears in column (d), the same amount must be shown in column (a) )	106,370.			106,370.
<b>6</b> Enter the net total of each column as indicated below.				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	35,592.			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		NONE		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
<b>d</b> Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions . . . . .		NONE		
<b>e</b> Undistributed income for 2007 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions . . . . .			NONE	
<b>f</b> Undistributed income for 2008 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2009 . . . . .				
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .	NONE			
<b>8</b> Excess distributions carryover from 2003 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .	NONE			
<b>9</b> Excess distributions carryover to 2009. Subtract lines 7 and 8 from line 6a . . . . .	35,592.			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2004 . . . . .	NONE			
<b>b</b> Excess from 2005 . . . . .	NONE			
<b>c</b> Excess from 2006 . . . . .	NONE			
<b>d</b> Excess from 2007 . . . . .	35,592.			
<b>e</b> Excess from 2008 . . . . .				

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2008, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2008	(b) 2007	(c) 2006	(d) 2005	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test - enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i). . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)) or royalties). . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 27 of the instructions.)**1 Information Regarding Foundation Managers**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

N/A

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>  SEE STATEMENT 8				
<b>Total</b> .....			<b>3a</b>	596,000.
<b>b Approved for future payment</b>				
<b>Total</b> .....			<b>3b</b>	

[illegible]

(See worksheet in line 13 instructions on page 28 to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions )
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NOT APPLICABLE



**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS					176,596.	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					182,557.	
629,743.00		55581.916 DODGE & COX INCOME FD COM PROPERTY TYPE: SECURITIES 690,327.00					08/07/2006 -60,584.00	12/01/2008
21,286.00		1980.06 EVERGREEN INTL BOND FUND CL I (F PROPERTY TYPE: SECURITIES 20,117.00					07/30/2004 1,169.00	12/01/2008
356,908.00		58033.881 GOLDEN SMALL CORE VALUE FD INS PROPERTY TYPE: SECURITIES 407,398.00					11/10/2008 -50,490.00	12/01/2008
22,265.00		3075.277 GOLDEN LARGE CORE VALUE FD INS PROPERTY TYPE: SECURITIES 31,860.00					08/07/2006 -9,595.00	12/01/2008
26,761.00		3340.902 GOLDMAN SACHS LARGE CAP VALUE PROPERTY TYPE: SECURITIES 30,102.00					11/10/2008 -3,341.00	12/01/2008
4,282.00		466.921 ARTIO INTL EQUITY II-I PROPERTY TYPE: SECURITIES 6,182.00					08/07/2006 -1,900.00	12/01/2008
10,130.00		1090.451 PIMCO FOREIGN BOND FUND-INST PROPERTY TYPE: SECURITIES 11,253.00					08/07/2006 -1,123.00	12/01/2008
14,227.00		1773.974 PIMCO EMERGING MARKETS BOND FD PROPERTY TYPE: SECURITIES 19,815.00					08/07/2006 -5,588.00	12/01/2008
161,860.00		18024.474 TOUCHSTONE MID CAP FUND CL Y PROPERTY TYPE: SECURITIES 186,059.00					11/10/2008 -24,199.00	12/01/2008
717,443.00		79893.475 TOUCHSTONE MID CAP FUND CL Y PROPERTY TYPE: SECURITIES 1200000.00					08/07/2006 -482557.00	12/01/2008

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**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
1704439.00		199816.944 WESTERN ASSET CORE BOND PORTF PROPERTY TYPE: SECURITIES 1909686.00				11/10/2008 -205247.00	12/01/2008
97,461.00		7904.407 ARTIO TOTAL RETURN BOND FD-I PROPERTY TYPE: SECURITIES 97,857.00				12/01/2008 -396.00	03/02/2009
164,046.00		13997.115 DODGE & COX INCOME FD COM PROPERTY TYPE: SECURITIES 173,844.00				08/07/2006 -9,798.00	03/02/2009
19,390.00		1748.458 GOLDMAN SACHS GROWTH OPPORTUNI PROPERTY TYPE: SECURITIES 21,768.00				12/01/2008 -2,378.00	03/02/2009
19,879.00		1871.856 JANUS MID CAP VALUE FUND CL I PROPERTY TYPE: SECURITIES 22,032.00				12/01/2008 -2,153.00	03/02/2009
81,482.00		8115.77 PIMCO FDS PAC INVT MGMT SER TOTA PROPERTY TYPE: SECURITIES 83,674.00				12/01/2008 -2,192.00	03/02/2009
48,519.00		7616.787 PIMCO FDS PAC INVT MGMT SER HIG PROPERTY TYPE: SECURITIES 73,045.00				08/07/2006 -24,526.00	03/02/2009
19,549.00		2145.913 PIMCO FOREIGN BOND FUND-INST PROPERTY TYPE: SECURITIES 22,146.00				08/07/2006 -2,597.00	03/02/2009
61,643.00		7591.559 PIMCO EMERGING MARKETS BOND FD PROPERTY TYPE: SECURITIES 84,798.00				08/07/2006 -23,155.00	03/02/2009
35,968.00		5954.893 PIONEER HIGH YIELD FD-Y PROPERTY TYPE: SECURITIES 64,192.00				11/29/2006 -28,224.00	03/02/2009
25,708.00		3924.842 ROYCE VALUE PLUS FUND-INV PROPERTY TYPE: SECURITIES 27,631.00				12/01/2008 -1,923.00	03/02/2009



**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
19,838.00		2081.655 SSGA EMERGING MARKETS FUND CL S PROPERTY TYPE: SECURITIES 65,572.00				10/19/2007 -45,734.00	03/02/2009
55,523.00		5155.382 ARTIO INTERNATIONAL EQUITY II-I PROPERTY TYPE: SECURITIES 68,257.00				08/07/2006 -12,734.00	06/01/2009
188,014.00		7132.562 DODGE & COX INTERNATIONAL STOCK PROPERTY TYPE: SECURITIES 288,515.00				12/28/2007 -100501.00	06/01/2009
57,529.00		3467.704 GOLDMAN SACHS GROWTH OPPORTUNI PROPERTY TYPE: SECURITIES 43,173.00				12/01/2008 14,356.00	06/01/2009
5,638.00		617.539 GOLDMAN SACHS LARGE CAP VALUE F PROPERTY TYPE: SECURITIES 5,564.00				11/10/2008 74.00	06/01/2009
18,927.00		1298.142 JANUS MID CAP VALUE FUND CL I PROPERTY TYPE: SECURITIES 15,279.00				12/01/2008 3,648.00	06/01/2009
117,874.00		8191.364 MORGAN STANLEY INSTITUTIONAL FU PROPERTY TYPE: SECURITIES 239,532.00				07/03/2007 -121658.00	06/01/2009
23,643.00		3110.94 PIMCO COMMODITY REALRETURN STRAT PROPERTY TYPE: SECURITIES 48,002.00				08/07/2006 -24,359.00	06/01/2009
171,142.00		16221.995 ROWE T PRICE REAL ESTATE FD CO PROPERTY TYPE: SECURITIES 325,661.00				03/28/2007 -154519.00	06/01/2009
30,590.00		3209.864 ROYCE VALUE PLUS FUND-INV PROPERTY TYPE: SECURITIES 22,597.00				12/01/2008 7,993.00	06/01/2009
174,555.00		11054.773 SSGA EMERGING MARKETS FUND CL PROPERTY TYPE: SECURITIES 243,588.00				12/14/2007 -69,033.00	06/01/2009

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
11,466.00		1011.994 ARTIO INTERNATIONAL EQUITY II-I PROPERTY TYPE: SECURITIES 13,399.00					08/07/2006 -1,933.00	09/01/2009
60,421.00		2081.321 DODGE & COX INTERNATIONAL STOCK PROPERTY TYPE: SECURITIES 81,858.00					08/07/2006 -21,437.00	09/01/2009
14,587.00		1901.864 GOLDEN SMALL CORE VALUE FD INS PROPERTY TYPE: SECURITIES 13,489.00					06/01/2009 1,098.00	09/01/2009
5,347.00		617.465 GOLDEN LARGE CORE VALUE FD INS PROPERTY TYPE: SECURITIES 6,397.00					08/07/2006 -1,050.00	09/01/2009
9,269.00		530.29 GOLDMAN SACHS GROWTH OPPORTUNITI PROPERTY TYPE: SECURITIES 6,602.00					12/01/2008 2,667.00	09/01/2009
14,469.00		1494.731 GOLDMAN SACHS LARGE CAP VALUE PROPERTY TYPE: SECURITIES 13,468.00					11/10/2008 1,001.00	09/01/2009
5,088.00		282.994 JANUS INVT FD MID CAP VALUE FD C PROPERTY TYPE: SECURITIES 3,895.00					12/01/2008 1,193.00	09/01/2009
93,571.00		5500.951 MORGAN STANLEY INSTITUTIONAL FU PROPERTY TYPE: SECURITIES 157,877.00					08/07/2006 -64,306.00	09/01/2009
1,562.00		192.319 PIMCO FDS PAC INVT MGMT SER HIGH PROPERTY TYPE: SECURITIES 1,844.00					08/07/2006 -282.00	09/01/2009
13,978.00		1721.449 PIONEER HIGH YIELD FD-Y PROPERTY TYPE: SECURITIES 18,506.00					08/07/2006 -4,528.00	09/01/2009
100,494.00		8822.997 ROWE T PRICE REAL ESTATE FD COM PROPERTY TYPE: SECURITIES 202,929.00					08/07/2006 -102435.00	09/01/2009

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
9,486.00		940.182 ROYCE VALUE PLUS FUND-INV PROPERTY TYPE: SECURITIES 6,619.00					12/01/2008 2,867.00	09/01/2009
5,998.00		363.538 SSGA EMERGING MARKETS FUND CL S PROPERTY TYPE: SECURITIES 7,623.00					08/07/2006 -1,625.00	09/01/2009
10,620.00		1448.83 WELLS FARGO ADVANTAGE ENDEAVOR S PROPERTY TYPE: SECURITIES 15,714.00					12/14/2007 -5,094.00	09/01/2009
TOTAL GAIN(LOSS) .....		.....					----- -1277975. =====	

## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
ARTIO TOTAL RETURN BOND FD-I	36,743.	36,743.
DODGE & COX INTERNATIONAL STOCK FD	33,847.	33,847.
DODGE & COX INCOME FD COM	72,981.	72,981.
EVERGREEN INTL BOND FUND CL I (FD #460)	34,344.	34,344.
EVERGREEN INST MONEY MARKET FUND CL I (F	2,273.	2,273.
GOLDEN LARGE CORE VALUE FD INS	9,321.	9,321.
GOLDMAN SACHS LARGE CAP VALUE FUND INST	13,465.	13,465.
JANUS MID CAP VALUE FUND CL I	7,061.	7,061.
ARTIO TOTAL RETURN BOND FD CL I	22,793.	22,793.
ARTIO INTL EQUITY II-I	29,885.	29,885.
PIMCO FDS PAC INVT MGMT SER TOTAL RETURN	73,656.	73,656.
PIMCO FDS PAC INVT MGMT SER HIGH YLD FD	41,598.	41,598.
PIMCO FOREIGN BOND FUND-INST	11,607.	11,607.
PIMCO EMERGING MARKETS BOND FD INS CL	41,724.	41,724.
PIMCO COMMODITY REALRETURN STRATEGY FD I	30,523.	30,523.
PIONEER HIGH YIELD FD-Y	39,354.	39,354.
ROWE T PRICE REAL ESTATE FD COM	64,356.	57,400.
SSGA EMERGING MARKETS FUND CL S	20,402.	20,402.
WELLS FARGO ADVANTAGE ENDEAVOR SELECT FD	2.	2.
WESTERN ASSET CORE BOND PORTFOLIO CL I	316.	316.
TOTAL	586,251.	579,295.
	=====	=====

FORM 990PF, PART I - LEGAL FEES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
LEGAL FEES - INCOME (ALLOCABLE	23,566.			23,566.
TOTALS	23,566.	NONE	NONE	23,566.
	=====	=====	=====	=====

FORM 990PF, PART I - ACCOUNTING FEES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE (NON-ALLOC	9,000.			9,000.
TOTALS	9,000.	NONE	NONE	9,000.
	=====	=====	=====	=====

FORM 990PF, PART I - TAXES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FEDERAL ESTIMATES - PRINCIPAL	8,550.	
FOREIGN TAXES ON QUALIFIED FOR	5,557.	5,557.
FOREIGN TAXES ON NONQUALIFIED	1,077.	1,077.
	-----	-----
TOTALS	15,184.	6,634.
	=====	=====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES  
=====

DESCRIPTION -----	AMOUNT -----
BOOK VALUE ADJUSTMENT	362,518.
	-----
TOTAL	362,518.
	=====



E & S VAN HOUTEN MEMORIAL FUND

22-6311438

FORM 990PF, PART VII-A, LINE 14 - BOOKS ARE IN THE CARE OF  
=====

NAME: WACHOVIA BANK  
CHARITABLE SERVICES  
ADDRESS: 1525 WEST WT HARRIS BLVD  
CHARLOTTE, NC 28288-5709  
  
TELEPHONE NUMBER: (704) 590-2826

STATEMENT 6

E & S VAN HOUTEN MEMORIAL FUND

22-6311438

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

WACHOVIA BANK

ADDRESS:

1525 WEST WT HARRIS BLVD

CHARLOTTE, NC 28288-5709

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40

COMPENSATION ..... 137,454.

TOTAL COMPENSATION:

137,454.

=====

STATEMENT 7

E & S VAN HOUTEN MEMORIAL FUND 22-6311438  
FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID  
=====

RECIPIENT NAME:

SEE ATTACHED

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 596,000.

TOTAL GRANTS PAID:

596,000.

=====

STATEMENT 8

149 BENEFICIARY DISTRIBUTIONS  
DISTRIBUTIONS NOT MATCHED TO BENEFICIARY

12/24/08	CHARITABLE CONTRIBUTION VACAMAS PROGRAMS FOR YOUTH CHALLENGE COURSE CHARACTER DEVELOPMENT FOR 50 PATERSON YOUTH. GRANTED 9/09/2008 FOR: PAID TO: VACAMAS PROGRAMS FOR YOUTH TR TRAN#000000000000003 TR CD=865 REG= PORT=INC	-10000.00	-10000.00	081200058 BENE DISTRIBUTION WITH NO SSN **CHANGED** 12/28/09 PM
02/05/09	CHARITABLE CONTRIBUTION SAINT VINCENT ACADEMY TODAY AND TOMORROW CAMPAIGN SECOND OF FOUR PAYMENTS GRANTED MARCH 25, 2008 FOR: PAID TO: SAINT VINCENT ACADEMY TR TRAN#000000000000002 TR CD=865 REG= PORT=INC	-50000.00	-50000.00	090200007 BENE DISTRIBUTION WITH NO SSN **CHANGED** 12/28/09 PM
02/05/09	CHARITABLE CONTRIBUTION HOLY NAME HEALTH CARE FOUNDATION STATE-OF-THE ART EMERGENCY CARE CENTER. GRANTED MARCH 25, 2008 SECOND OF FIVE PAYMENTS. FOR: PAID TO: HOLY NAME HEALTH CARE FOUNDATION TR TRAN#000000000000003 TR CD=865 REG= PORT=INC	-50000.00	-50000.00	090200008 BENE DISTRIBUTION WITH NO SSN **CHANGED** 12/28/09 PM
02/05/09	CHARITABLE CONTRIBUTION CHRISTIAN HEALTH CARE CENTER RENOVATE 8 RESIDENT ROOMS WITHIN HERITAGE MANOR EAST 2 OF 2 FINAL PAYMENT. GRANTED MARCH 25, 2008 FOR: PAID TO: CHRISTIAN HEALTH CARE CENTER TR TRAN#000000000000004 TR CD=865 REG= PORT=INC	-100000.00	-100000.00	090200009 BENE DISTRIBUTION WITH NO SSN **CHANGED** 12/28/09 PM
03/18/09	CHARITABLE CONTRIBUTION GREATER LIFE, INC. AFTER SCHOOL PROGRAMS FOR GANG KIDS, FEATURING "KIDSTITUTE" EDUCATION ENRICHMENT. GRANTED FEBRUARY 23, 2009 FOR: PAID TO: GREATER LIFE, INC. TR TRAN#000000000000001 TR CD=865 REG=0 PORT=INC	-10000.00	-10000.00	090300036 BENE DISTRIBUTION WITH NO SSN **CHANGED** 12/28/09 PM
03/18/09	CHARITABLE CONTRIBUTION EVA'S VILLAGE FOR PURCHASING MEDICATION FOR UNINSURED POPULATION GRANTED FEBRUARY 23, 2009 FOR: PAID TO: EVA'S VILLAGE TR TRAN#000000000000003 TR CD=865 REG=0 PORT=INC	-10000.00	-10000.00	090300038 BENE DISTRIBUTION WITH NO SSN **CHANGED** 12/28/09 PM
03/18/09	CHARITABLE CONTRIBUTION CHILTON MEMORIAL HOSPITAL FOUNDATION PEDIATRIC CLINIC RENOVATION PROJECT. GRANTED FEBRUARY 23, 2009	-10000.00	-10000.00	090300037 BENE DISTRIBUTION WITH NO SSN **CHANGED** 12/28/09 PM

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149	BENEFICIARY DISTRIBUTIONS (CONTINUED) DISTRIBUTIONS NOT MATCHED TO BENEFICIARY (CONTINUED) ----- FOR: PAID TO: CHILTON MEMORIAL HOSPITAL FOUNDATION TR TRAN#=000000000000002 TR CD=865 REG=0 PORT=INC				
03/18/09	CHARITABLE CONTRIBUTION ST. JOSEPH'S ELEMENTARY SCHOOL PARTI AL SCHOLARSHIPS IN 2009 / 2010. GRANTED FEBRUARY 23, 2009 FOR: PAID TO: ST. JOSEPH'S ELEMENTARY SCHOOL TR TRAN#=000000000000005 TR CD=865 REG=0 PORT=INC	-15000.00	-15000.00	BENE DISTRIBUTION WITH NO SSN **CHANGED** 12/28/09 PM	090300040
03/18/09	CHARITABLE CONTRIBUTION HUDSON COUNTY COURT APPOINTED SPECIA L ADVOCATES SUPPORT FOR FUNDING SAFE AND PERMANENT HOMES FOR FOSTER CHILDREN. GRANTED FEBRUARY 23, 2009 FOR: PAID TO: HUDSON COUNTY COURT APPOINTED SPECIAL AD TR TRAN#=000000000000006 TR CD=865 REG=0 PORT=INC	-10000.00	-10000.00	BENE DISTRIBUTION WITH NO SSN **CHANGED** 12/28/09 PM	090300041
03/18/09	CHARITABLE CONTRIBUTION VISITING HOMEMAKER HOME HEALTH AIDE SERVICE OF BERGEN COUNTY BEGIN AN EMERGENCY RESPITE TO HELP CARETAKERS. GRANTED FEBRUARY 23, 2009 FOR: PAID TO: VISITING HOMEMAKER HOME HEALTH AIDE SERV TR TRAN#=000000000000004 TR CD=865 REG=0 PORT=INC	-10000.00	-10000.00	BENE DISTRIBUTION WITH NO SSN **CHANGED** 12/28/09 PM	090300039
03/18/09	CHARITABLE CONTRIBUTION WEST BERGEN MENTAL HEALTHCARE CHILDR EN'S DEPRESSION INTERVENTION GRANTED FEBRUARY 23, 2009 FOR: PAID TO: WEST BERGEN MENTAL HEALTHCARE TR TRAN#=000000000000007 TR CD=865 REG=0 PORT=INC	-10000.00	-10000.00	BENE DISTRIBUTION WITH NO SSN **CHANGED** 12/28/09 PM	090300042
03/19/09	CHARITABLE CONTRIBUTION MERIDIAN HEALTH AFFILIATED FOUNDATIO N MERIDIAN HEALTH OFFER ENHANCEMENT PROGRAM GRANTED FEBRUARY 23, 2009 FOR: PAID TO: MERIDIAN HEALTH AFFILIATED FOUNDATION TR TRAN#=000000000000004 TR CD=865 REG=0 PORT=INC	-25000.00	-25000.00	BENE DISTRIBUTION WITH NO SSN **CHANGED** 12/28/09 PM	090300045
03/19/09	CHARITABLE CONTRIBUTION ROBERT WOOD JOHNSON UNIVERSITY HOSPI TAL AT RAHWAY NURSING SCHOLARSHIPS AT ROBERT WOOD JOHNSON UN IVERSITY HOSPITAL AT RAHWAY. GRANTED FEBRUARY 23, 2009 FOR: PAID TO: ROBERT WOOD JOHNSON UNIVERSITY HOSPITAL TR TRAN#=000000000000003 TR CD=865 REG=0 PORT=INC	-15000.00	-15000.00	BENE DISTRIBUTION WITH NO SSN **CHANGED** 12/28/09 PM	090300044
03/20/09	CHARITABLE CONTRIBUTION COLLEGE OF SAINT ELIZABETH CSE DEPAR TMENT OF NURSING SCHOLARSHIP FUND GRANTED FEBRUARY 23, 2009 FOR: PAID TO: COLLEGE OF SAINT ELIZABETH TR TRAN#=000000000000005 TR CD=865 REG=0 PORT=INC	-10000.00	-10000.00	BENE DISTRIBUTION WITH NO SSN **CHANGED** 12/28/09 PM	090300049
03/20/09	CHARITABLE CONTRIBUTION ST. PAUL'S EPISCOPAL CHURCH SUPPORT FOR AFTER SCHOOL PROGRAM GRANTED FEBRUARY 23, 2009 FOR: PAID TO: ST. PAUL'S EPISCOPAL CHURCH TR TRAN#=000000000000006 TR CD=865 REG=0 PORT=INC	-10000.00	-10000.00	BENE DISTRIBUTION WITH NO SSN **CHANGED** 12/28/09 PM	090300050
03/20/09	CHARITABLE CONTRIBUTION CHILDREN'S AID AND FAMILY SERVICES, INC. MEDICALLY NEEDY FOSTER CARE PROGRAM. GRANTED FEBRUARY 2 3, 2009 FOR: PAID TO: CHILDREN'S AID AND FAMILY SERVICES, INC. TR TRAN#=000000000000007 TR CD=865 REG=0 PORT=INC	-10000.00	-10000.00	BENE DISTRIBUTION WITH NO SSN **CHANGED** 12/28/09 PM	090300051
03/20/09	CHARITABLE CONTRIBUTION EASTERN CHRISTIAN SCHOOL ASSOCIATION EMERGENCY SCHOLARSHIP AID PROGRAM GRANTED FEBRUARY 23, 2009 FOR: PAID TO: EASTERN CHRISTIAN SCHOOL ASSOCIATION TR TRAN#=000000000000003 TR CD=865 REG=0 PORT=INC	-25000.00	-25000.00	BENE DISTRIBUTION WITH NO SSN **CHANGED** 12/28/09 PM	090300047
03/20/09	CHARITABLE CONTRIBUTION RAMAPO COLLEGE FOUNDATION SCHOLARSHI PS FOR NURSING STUDENTS. GRANTED FEBRUARY 23, 2009 FOR: PAID TO: RAMAPO COLLEGE FOUNDATION TR TRAN#=000000000000004 TR CD=865 REG=0 PORT=INC	-10000.00	-10000.00	BENE DISTRIBUTION WITH NO SSN **CHANGED** 12/28/09 PM	090300048
03/23/09	CHARITABLE CONTRIBUTION NEWARK BOYS CHORUS SCHOOL NBCS SCHOL	-20000.00	-20000.00		090300053

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149 BENEFICIARY DISTRIBUTIONS (CONTINUED)				
DISTRIBUTIONS NOT MATCHED TO BENEFICIARY (CONTINUED)				
-----				
ARSHIP PROGRAM GRANTED FEBRUARY 23, 2009				BENE DISTRIBUTION WITH NO SSN
FOR:				**CHANGED** 12/28/09 PM
PAID TO:				
NEWARK BOYS CHORUS SCHOOL				
TR TRAN#=000000000000003 TR CD=865 REG=0 PORT=INC				
03/23/09 CHARITABLE CONTRIBUTION WILLIAM PATERSON UNIVERSITY FOUNDATI	-10000.00	-10000.00		090300054
ON SCHOLARSHIPS FOR NURSING STUDENTS (2009-2010) FEBRUARY 23				BENE DISTRIBUTION WITH NO SSN
, 2009				**CHANGED** 12/28/09 PM
FOR:				
PAID TO:				
WILLIAM PATERSON UNIVERSITY FOUNDATION				
TR TRAN#=000000000000004 TR CD=865 REG=0 PORT=INC				
03/25/09 CHARITABLE CONTRIBUTION TRI-COUNTY SCHOLARSHIP FUND SCHOLARS	-10000.00	-10000.00		090300057
HIPS FOR DISADVANTAGED STUDENTS IN PASSAIC COUNTY GRANTED FE				BENE DISTRIBUTION WITH NO SSN
BRUARY 23, 2009				**CHANGED** 12/28/09 PM
FOR:				
PAID TO:				
TRI-COUNTY SCHOLARSHIP FUND				
TR TRAN#=000000000000003 TR CD=865 REG=0 PORT=INC				
03/27/09 CHARITABLE CONTRIBUTION FELICIAN COLLEGE PURCHASE OF A LAERD	-15000.00	-15000 00		090300059
AL SIM MAN ADULT SIMULATOR PACKAGE. GRANTED FEBRUARY 23, 200				BENE DISTRIBUTION WITH NO SSN
9				**CHANGED** 12/28/09 PM
FOR:				
PAID TO:				
FELICIAN COLLEGE				
TR TRAN#=000000000000003 TR CD=865 REG=0 PORT=INC				
03/31/09 CHARITABLE CONTRIBUTION TRINITAS HEALTH FOUNDATION TRINITAS	-10000.00	-10000.00		090300061
MATERNAL/CHILD HEALTH CENTER: STRENGTHENING THE SAFETY NET.				BENE DISTRIBUTION WITH NO SSN
GRANTED FEBRUARY 23, 2009				**CHANGED** 12/28/09 PM
FOR:				
PAID TO:				
TRINITAS HEALTH FOUNDATION				
TR TRAN#=000000000000002 TR CD=865 REG= PORT=INC				
04/03/09 CHARITABLE CONTRIBUTION CHILDREN'S DAY NURSERY AND FAMILIY C	-10000.00	-10000.00		090400009
ENTER NEW, COMMERCIAL GRADE FLOOR COVERING OF OLD, CRACKED F				BENE DISTRIBUTION WITH NO SSN
FLOORS IN CLASSROOMS, KITCHEN AND OTHER RMS. OF HISTORICAL DA				**CHANGED** 12/28/09 PM
YCARC CTR.				
FOR:				
PAID TO:				
CHILDREN'S DAY NURSERY AND FAMILIY CENTE				
TR TRAN#=000000000000004 TR CD=865 REG= PORT=INC				
10/23/09 CHARITABLE CONTRIBUTION ALBERT SCHWEITZER FELLOWSHIP MAXIMIZ	-5000 00	-5000 00		0910000017
E THE IMPACT OF NJ ACHWEITZER FELLOWS IN REDUCING HEALTH DIS				**CHANGED** 12/28/09 PM
PARITIES THROUGHOUT NEW JERSEY AREA GRANTED 9/11/09				
FOR:				
PAID TO:				
ALBERT SCHWEITZER FELLOWSHIP				
TR TRAN#=000000000000001 TR CD=865 REG=0 PORT=INC				
10/23/09 CHARITABLE CONTRIBUTION ARC OF ESSEX COUNTY, INC STEPPING S	-5000 00	-5000 00		0910000018
TONES EARLY INTERVENTION TUITION REDUCTION FUND GRANTED 9/1				**CHANGED** 12/28/09 PM
1/2009				
FOR:				
PAID TO:				
ARC OF ESSEX COUNTY, INC				
TR TRAN#=000000000000002 TR CD=865 REG=0 PORT=INC				
10/23/09 CHARITABLE CONTRIBUTION ARC OF UNION COUNTY BRIGHT BEGINNING	-10000 00	-10000 00		0910000019
S CHILD DEVELOPMENT CENTER PLAYGROUND RESURFACING GRANTED SE				**CHANGED** 12/28/09 PM
PTEMBER 11, 2009				
FOR:				
PAID TO:				
ARC OF UNION COUNTY				
TR TRAN#=000000000000003 TR CD=865 REG=0 PORT=INC				
10/23/09 CHARITABLE CONTRIBUTION DREW UNIVERSITY "EXPLORING NEW APPRO	-25000 00	-25000 00		0910000020
ACHES TO MATH & SCIENCE PEDAGOGY A SUMMER WORKSHOP FOR CURR				**CHANGED** 12/28/09 PM
ENT & PROSPECTIVE K-12 TEACHERS"				
FOR:				
PAID TO:				
DREW UNIVERSITY				
TR TRAN#=000000000000004 TR CD=865 REG=0 PORT=INC				
10/23/09 CHARITABLE CONTRIBUTION ESSEX COUNTY COLLEGE FOUNDATION NURS	-20000 00	-20000 00		0910000021
ING SCHOLARSHIPS AT ESSEX COUNTY COLLEGE GRANTED SEPTEMBER				**CHANGED** 12/28/09 PM
11, 2009				
FOR:				
PAID TO:				
ESSEX COUNTY COLLEGE FOUNDATION				
TR TRAN#=000000000000005 TR CD=865 REG=0 PORT=INC				
10/23/09 CHARITABLE CONTRIBUTION PAPER MILL PLAYHOUSE ADOPT-A-SCHOOL	-10000 00	-10000 00		0910000022

TAX  
CODE/DATE TRANSACTIONS

TAXABLE

INCOME

PRINCIPAL

EDIT  
NUMBER

## 149 BENEFICIARY DISTRIBUTIONS (CONTINUED)

DISTRIBUTIONS NOT MATCHED TO BENEFICIARY (CONTINUED)

	PROJECT GRANTED SEPTEMBER 11, 2009			**CHANGED** 12/28/09 PM
	FOR			
	PAID TO			
	PAPER MILL PLAYHOUSE			
	TR TRAN#=000000000000006 TR CD=865 REG=0 PORT=INC			
10/23/09	CHARITABLE CONTRIBUTION RECORDING FOR THE BLIND & DYSLXIC T	-5000 00	-5000 00	0910000023
	O GIVE BLIND NJ STUDENTS AUDIO TEXTBOOKS GRANTED SEPTEMBER			**CHANGED** 12/28/09 PM
	11, 2009			
	FOR			
	PAID TO			
	RECORDING FOR THE BLIND & DYSLXIC			
	TR TRAN#=000000000000007 TR CD=865 REG=0 PORT=INC			
10/23/09	CHARITABLE CONTRIBUTION ROUNDAABOUT THEATRE COMPANY ARTS-IN-E	-6000 00	-6000 00	0910000024
	DUCTION PROGRAMMING GRANTED SEPTEMBER 11, 2009			**CHANGED** 12/28/09 PM
	FOR			
	PAID TO			
	ROUNDABOUT THEATRE COMPANY			
	TR TRAN#=000000000000008 TR CD=865 REG=0 PORT=INC			
10/23/09	CHARITABLE CONTRIBUTION SEEING EYE, INC PUPPY RAISER SCHOLA	-5000 00	-5000 00	0910000025
	RSHIPS GRANTED SEPTEMBER 11, 2009			**CHANGED** 12/28/09 PM
	FOR			
	PAID TO			
	SEEING EYE, INC			
	TR TRAN#=000000000000009 TR CD=865 REG=0 PORT=INC			
10/23/09	CHARITABLE CONTRIBUTION ST JOSEPH'S WAYNE HOSPITAL FOUNDATI	-20000 00	-20000 00	0910000026
	ON WOUND CARE BEDS GRANTED SEPTEMBER 11, 2009			**CHANGED** 12/28/09 PM
	FOR			
	PAID TO			
	ST JOSEPH'S WAYNE HOSPITAL FOUNDATION			
	TR TRAN#=000000000000010 TR CD=865 REG=0 PORT=INC			
10/26/09	CHARITABLE CONTRIBUTION HACKENSACK UNIVERSITY MEDICAL CENTER	-20000 00	-20000 00	0910000028
	FOUNDATION DEVELOPMENT OF A SENIOR ONCOLOGY CARE CENTER FI			**CHANGED** 12/28/09 PM
	NAL PAYMENT OF 2 GRANTED OCTOBER 7, 2008			
	FOR			
	PAID TO			
	HACKENSACK UNIVERSITY MEDICAL CENTER FOU			
	TR TRAN#=000000000000003 TR CD=865 REG=0 PORT=INC			
	TOTAL CODE 149 - BENEFICIARY DISTRIBUTIONS	-596000 00	-596000 00	0 00
		=====	=====	=====

**SCHEDULE D**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

# Capital Gains and Losses

► **Attach to Form 1041, Form 5227, or Form 990-T. See the separate instructions for Form 1041 (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

**2008**

Name of estate or trust <b>E &amp; S VAN HOUTEN MEMORIAL FUND</b>	Employer identification number <b>22-6311438</b>
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**Note.** Form 5227 filers need to complete *only* Parts I and II

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
<b>1a</b> SHORT-TERM CAPITAL GAIN DIVIDENDS		STMT 1			176,596.

<b>b</b> Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b . . . . .	<b>1b</b>	-257,422.
<b>2</b> Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .	<b>2</b>	
<b>3</b> Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .	<b>3</b>	
<b>4</b> Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2007 Capital Loss Carryover Worksheet . . . . .	<b>4</b>	( )
<b>5</b> Net short-term gain or (loss). Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back . . . . .	<b>5</b>	-80,826.

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
<b>6a</b> LONG-TERM CAPITAL GAIN DIVIDENDS		STMT 1			182,557.

<b>b</b> Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b . . . . .	<b>6b</b>	-1,379,706.
<b>7</b> Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .	<b>7</b>	
<b>8</b> Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .	<b>8</b>	
<b>9</b> Capital gain distributions . . . . .	<b>9</b>	
<b>10</b> Gain from Form 4797, Part I . . . . .	<b>10</b>	
<b>11</b> Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2007 Capital Loss Carryover Worksheet . . . . .	<b>11</b>	( )
<b>12</b> Net long-term gain or (loss) Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back . . . . .	<b>12</b>	-1,197,149.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2008



**Part III Summary of Parts I and II****Caution:** Read the instructions *before* completing this part

		(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
<b>13</b>	<b>Net short-term gain or (loss)</b> . . . . .	<b>13</b>		-80,826.
<b>14</b>	<b>Net long-term gain or (loss).</b>			
a	Total for year . . . . .	<b>14a</b>		-1,197,149.
b	Unrecaptured section 1250 gain (see line 18 of the wrksh) . . . . .	<b>14b</b>		
c	28% rate gain . . . . .	<b>14c</b>		
<b>15</b>	<b>Total net gain or (loss)</b> Combine lines 13 and 14a . . . . . ▶	<b>15</b>		-1,277,975.

**Note:** If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

**Part IV Capital Loss Limitation**

<b>16</b>	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the <b>smaller</b> of	<b>16</b>	( 3,000 )
a	The loss on line 15, column (3) or b \$3,000 . . . . .		

**Note:** If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

**Caution.** Skip this part and complete the worksheet on page 8 of the instructions if

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

<b>17</b>	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . . . .	<b>17</b>		
<b>18</b>	Enter the <b>smaller</b> of line 14a or 15 in column (2) but not less than zero . . . . .	<b>18</b>		
<b>19</b>	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . . . .	<b>19</b>		
<b>20</b>	Add lines 18 and 19 . . . . .	<b>20</b>		
<b>21</b>	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶	<b>21</b>		
<b>22</b>	Subtract line 21 from line 20. If zero or less, enter -0- . . . . .	<b>22</b>		
<b>23</b>	Subtract line 22 from line 17. If zero or less, enter -0- . . . . .	<b>23</b>		
<b>24</b>	Enter the <b>smaller</b> of the amount on line 17 or \$2,200 . . . . .	<b>24</b>		
<b>25</b>	Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> <b>Yes</b> Skip lines 25 and 26, go to line 27 and check the "No" box. <input type="checkbox"/> <b>No.</b> Enter the amount from line 23 . . . . .	<b>25</b>		
<b>26</b>	Subtract line 25 from line 24 . . . . .	<b>26</b>		
<b>27</b>	Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> <b>Yes</b> Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> <b>No.</b> Enter the <b>smaller</b> of line 17 or line 22 . . . . .	<b>27</b>		
<b>28</b>	Enter the amount from line 26 (If line 26 is blank, enter -0-) . . . . .	<b>28</b>		
<b>29</b>	Subtract line 28 from line 27 . . . . .	<b>29</b>		
<b>30</b>	Multiply line 29 by 15% ( 15 ) . . . . .	<b>30</b>		
<b>31</b>	Figure the tax on the amount on line 23. Use the 2008 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions) . . . . .	<b>31</b>		
<b>32</b>	Add lines 30 and 31 . . . . .	<b>32</b>		
<b>33</b>	Figure the tax on the amount on line 17. Use the 2008 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions) . . . . .	<b>33</b>		
<b>34</b>	<b>Tax on all taxable income.</b> Enter the <b>smaller</b> of line 32 or line 33 here and on line 1a of Schedule G, Form 1041 (or line 36 of Form 990-T) . . . . .	<b>34</b>		

Schedule D (Form 1041) 2008

Department of the Treasury  
Internal Revenue Service

▶ **See instructions for Schedule D (Form 1041)**  
▶ **Attach to Schedule D to list additional transactions for lines 1a and 6a.**

2008

22-6311438

<b>1b Total</b> Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . .	-257,422.00
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Schedule D-1 (Form 1041) 2008

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

E &amp; S VAN HOUTEN MEMORIAL FUND

22-6311438

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 55581.916 DODGE & COX INCOME FD COM	08/07/2006	12/01/2008	629,743.00	690,327.00	-60,584.00
1980.06 EVERGREEN INTL BOND FUND CL I (FD #460)	07/30/2004	12/01/2008	21,286.00	20,117.00	1,169.00
3075.277 GOLDEN LARGE CORE VALUE FD INS	08/07/2006	12/01/2008	22,265.00	31,860.00	-9,595.00
466.921 ARTIO INTL EQUITY II-I	08/07/2006	12/01/2008	4,282.00	6,182.00	-1,900.00
1090.451 PIMCO FOREIGN BOND FUND-INST	08/07/2006	12/01/2008	10,130.00	11,253.00	-1,123.00
1773.974 PIMCO EMERGING MARKETS BOND FD INS CL	08/07/2006	12/01/2008	14,227.00	19,815.00	-5,588.00
79893.475 TOUCHSTONE MID CAP FUND CL Y	08/07/2006	12/01/2008	717,443.00	1,200,000.00	-482,557.00
13997.115 DODGE & COX INCOME FD COM	08/07/2006	03/02/2009	164,046.00	173,844.00	-9,798.00
7616.787 PIMCO FDS PAC INVT MGMT SER HIGH YLD FD I	08/07/2006	03/02/2009	48,519.00	73,045.00	-24,526.00
2145.913 PIMCO FOREIGN BOND FUND-INST	08/07/2006	03/02/2009	19,549.00	22,146.00	-2,597.00
7591.559 PIMCO EMERGING MARKETS BOND FD INS CL	08/07/2006	03/02/2009	61,643.00	84,798.00	-23,155.00
5954.893 PIONEER HIGH YIELD FD-Y	11/29/2006	03/02/2009	35,968.00	64,192.00	-28,224.00
2081.655 SSGA EMERGING MARKETS FUND CL S	10/19/2007	03/02/2009	19,838.00	65,572.00	-45,734.00
5155.382 ARTIO INTERNATIONAL AL EQUITY II-I	08/07/2006	06/01/2009	55,523.00	68,257.00	-12,734.00
7132.562 DODGE & COX INTERNATIONAL STOCK FD	12/28/2007	06/01/2009	188,014.00	288,515.00	-100,501.00
8191.364 MORGAN STANLEY INSTITUTIONAL FUND INC - IN	07/03/2007	06/01/2009	117,874.00	239,532.00	-121,658.00
3110.94 PIMCO COMMODITY REALRETURN STRATEGY FD I	08/07/2006	06/01/2009	23,643.00	48,002.00	-24,359.00
16221.995 ROWE T PRICE REAL ESTATE FD COM	03/28/2007	06/01/2009	171,142.00	325,661.00	-154,519.00
11054.773 SSGA EMERGING MARKETS FUND CL S	12/14/2007	06/01/2009	174,555.00	243,588.00	-69,033.00
1011.994 ARTIO INTERNATIONAL AL EQUITY II-I	08/07/2006	09/01/2009	11,466.00	13,399.00	-1,933.00
2081.321 DODGE & COX INTERNATIONAL STOCK FD	08/07/2006	09/01/2009	60,421.00	81,858.00	-21,437.00
617.465 GOLDEN LARGE CORE VALUE FD INS	08/07/2006	09/01/2009	5,347.00	6,397.00	-1,050.00
5500.951 MORGAN STANLEY INSTITUTIONAL FUND INC - IN	08/07/2006	09/01/2009	93,571.00	157,877.00	-64,306.00
192.319 PIMCO FDS PAC INVT MGMT SER HIGH YLD FD INSTL	08/07/2006	09/01/2009	1,562.00	1,844.00	-282.00
1721.449 PIONEER HIGH YIELD FD-Y	08/07/2006	09/01/2009	13,978.00	18,506.00	-4,528.00

**6b Total** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . .

Schedule D-1 (Form 1041) 2008



## FEDERAL CAPITAL GAIN DIVIDENDS

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## SHORT-TERM CAPITAL GAIN DIVIDENDS

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DODGE & COX INTERNATIONAL STOCK FD	88.00
DODGE & COX INTERNATIONAL STOCK FD	1,823.00
ARTIO TOTAL RETURN BOND FD CL I	10,870.00
PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD I	36,554.00
PIMCO FOREIGN BOND FUND-INST	14,043.00
PIMCO COMMODITY REALRETURN STRATEGY FD I	110,389.00
ROYCE VALUE PLUS FUND-INV	
ROYCE VALUE PLUS FUND-INV	2,829.00

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TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS

176,596.00

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## LONG-TERM CAPITAL GAIN DIVIDENDS

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## 15% RATE CAPITAL GAIN DIVIDENDS

DODGE & COX INTERNATIONAL STOCK FD	48,479.00
GOLDMAN SACHS GROWTH OPPORTUNITIES INSTITUTI	39,166.00
JANUS MID CAP VALUE FUND CL I	12,015.00
ARTIO TOTAL RETURN BOND FD CL I	466.00
PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD I	20,821.00
PIMCO EMERGING MARKETS BOND FD INS CL	6,639.00
PIMCO COMMODITY REALRETURN STRATEGY FD I	18,280.00
ROYCE VALUE PLUS FUND-INV	5,470.00
WELLS FARGO ADVANTAGE ENDEAVOR SELECT FD	31,222.00

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TOTAL 15% RATE CAPITAL GAIN DIVIDENDS

182,557.00

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TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS

182,557.00

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RUN DEC 24 09  
AT 1:40 PM

WACHOVIA BANK, N.A.  
INVEST AFC/AFP INTERFACE

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AS OF 12/24/09

DETAIL LIST  
SELECTED ACCOUNTS 11/30/09 THROUGH 11/30/09  
SETTLE DATE POSITION FOR VAN HOUTEN FDN AS OF 11/30/09

CUSIP #	SECURITY NAME	UNITS / ORIG	FACE	BOOK VALUE	MARKET VALUE	FED TAX COST	STATE TAX COST
PRINCIPAL							
=====							
TEMPORARY INVESTMENTS							
-----							
300250602	EVERGREEN INST MONEY MARKET FUND CL I (FD #495)			127,974.95	127,974.95		
TOTAL							
				127,974.95	127,974.95		
MUTUAL FUNDS - FIXED TAXABLE							
-----							
04315J209	ARTIO TOTAL RETURN BOND FD-I	97,915.749		1,222,357.02	1,331,654.19	1,222,357.02	1,222,357.02
256210105	DODGE & COX INCOME FD	113,501.055		1,411,440.63	1,490,268.85	1,409,679.93	1,409,679.93
299908830	EVERGREEN INTERNATIONAL BOND FD CL I (FD #460)	28,922.72		296,084.47	347,361.87	296,084.47	296,084.47
693390700	PIMCO TOTAL RETURN FD INST CL	135,183.598		1,398,472.50	1,492,426.92	1,398,472.50	1,398,472.50
693390841	PIMCO HIGH YIELD FD INSTL CL	59,678.214		558,038.58	515,022.99	553,796.91	553,796.91
693390882	PIMCO FOREIGN BOND FD U.S. DOLLAR - HEDGED INST CL	32,064.984		326,140.47	334,437.78	326,140.47	326,140.47
693391559	PIMCO EMERGING MARKETS BOND FD INS CL	65,757.635		723,027.68	683,221.83	723,027.68	723,027.68
72369B406	PIONEER HIGH YIELD FD CL Y	59,449.012		615,280.36	526,123.76	612,794.50	612,794.50
TOTAL	MUTUAL FUNDS - FIXED TAXABLE	592,472.967		6,550,841.71	6,720,518.19	6,542,353.48	6,542,353.48
MUTUAL FUNDS - OTHER							
-----							
722005667	PIMCO COMMODITY REALRETURN STRATEGY FD INSTL	85,880.806		1,019,069.06	732,563.28	1,008,968.24	1,008,968.24
779919109	T ROWE PRICE REAL ESTATE FD	94,181.271		1,451,471.92	1,222,472.90	1,239,082.71	1,239,082.71
TOTAL	MUTUAL FUNDS - OTHER	180,062.077		2,470,540.98	1,955,036.18	2,248,050.95	2,248,050.95

DETAIL LIST  
SELECTED ACCOUNTS 11/30/09 THROUGH 11/30/09  
SETTLE DATE POSITION FOR - VAN HOUTEN FDN AS OF 11/30/09

CUSIP #	SECURITY NAME	UNITS / ORIG. FACE	BOOK VALUE	MARKET VALUE	FED TAX COST	STATE TAX COST
MUTUAL FUNDS - EQUITY						
-----						
34984T857	GOLDEN SMALL CORE VALUE FD INS CL	51,730 838	348,631.15	395,740 91	347,959.27	347,959.27
34984T881	GOLDEN LARGE CORE VALUE FD INS	91,994.819	926,173 37	863,831.35	925,940 31	925,940.31
38142Y401	GOLDMAN SACHS GROWTH OPPORTUNITIES FD INS CL	31,775.828	395,609.05	613,909 00	395,609.05	395,609 05
38142Y773	GOLDMAN SACHS LARGE CAP VALUE FD CL I	97,904.262	863,681.21	1,031,910 92	863,283.45	863,283.45
47103C241	JANUS INVT FD MID CAP VALUE FD CL I	30,947.853	425,946 55	594,817.73	425,946 55	425,946.55
780905212	ROYCE VALUE PLUS FUND-INV	39,322 877	276,833.06	414,856.35	276,833.06	276,833 06
949915565	WELLS FARGO ADVANTAGE ENDEAVOR SELECT FD CL I	129,161.403	1,143,954.45	1,062,998 35	1,141,072.27	1,141,072 27
TOTAL	MUTUAL FUNDS - EQUITY	472,837.88	4,380,828.84	4,978,064.61	4,376,643 96	4,376,643.96
MUTUAL FUNDS - INTERNATIONAL EQUITY						
-----						
04315J837	ARTIO INTERNATIONAL EQUITY II-I	77,157.055	984,426.12	939,772.93	976,422.03	976,422 03
256206103	DODGE & COX INTERNATIONAL STOCK FD	29,757 505	1,020,743.70	939,444 43	966,426.02	966,426 02
61744J317	MORGAN STANLEY INSTITUTIONAL FUND INC - INTERNATIONAL REAL ESTATE CL I (FD #1)	36,737 445	805,864.02	677,805.86	708,806.17	708,806.17
784924425	SSGA EMERGING MARKETS FUND CL S	48,565 03	980,594.61	899,910 01	936,393.74	936,393 74
TOTAL	MUTUAL FUNDS - INTERNATIONAL EQUITY	192,217.035	3,791,628 45	3,456,933.23	3,588,047 96	3,588,047 96
PRINCIPAL CASH						
0 00						
*****	PRINCIPAL TOTAL	1,437,589.959	17,321,814.93	17,238,527.16	16,755,096 35	16,755,096.35

RUN DEC 24 09  
AT 1.40 PM

WACHOVIA BANK, N.A  
INVEST AFC/AFP INTERFACE

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AS OF 12/24/09

DETAIL LIST  
SELECTED ACCOUNTS 11/30/09 THROUGH 11/30/09  
SETTLE DATE POSITION FOR 31555555 VAN HOUTEN FDN AS OF 11/30/09

CUSIP #	SECURITY NAME	UNITS / ORIG	FACE	BOOK VALUE	MARKET VALUE	FED TAX COST	STATE TAX COST
INCOME							
=====							
TEMPORARY INVESTMENTS							
-----							
300250602	EVERGREEN INST MONEY MARKET FUND CL I (FD #495)			196,847.90	196,847.90		
TOTAL	TEMPORARY INVESTMENTS			196,847.90	196,847.90		
INCOME CASH							
			0.00	0.00			
*****	INCOME TOTAL			196,847.90	196,847.90	0.00	0.00
ASSET FILE TOTAL		1,437,589.959	17,518,662.83	17,435,375.06	16,755,096.35	16,755,096.35	