Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2008

For calendar year 2008, or tax year beginning 12/1/2008 **, and ending** 11/30/2009

G Check all that apply: ☐ Initial return ☐ Final return ☐ Amended return ☒ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: John C Griswold Foundation

Number and street (or P O box number if mail is not delivered to street address): c/o Foundation Source, 501 Silverside Road — Room/suite: 123

City or town, state, and ZIP code: Wilmington DE 19809-1377

A Employer identification number: 13-2978937

B Telephone number (see page 10 of the instructions): (800) 839-1754

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 12,547,748

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc , received (attach schedule)	606,859			
2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	348,264	344,943		
5 a	Gross rents				
b	Net rental income or (loss)				
6 a	Net gain or (loss) from sale of assets not on line 10	-194,081			
b	Gross sales price for all assets on line 6a 2,576,998				
7	Capital gain net income (from Part IV, line 2)		0		
8	Net short-term capital gain				
9	Income modifications				
10 a	Gross sales less returns and allowances				
b	Less. Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	**Total.** Add lines 1 through 11	761,042	344,943		
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc.				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16 a	Legal fees (attach schedule)	844			844
b	Accounting fees (attach schedule)	37,047			37,047
c	Other professional fees (attach schedule)	119,445	119,445		
17	Interest				
18	Taxes (attach schedule) (see page 14 of the instructions)	6,914	514		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings	14,425			14,425
22	Printing and publications	181			181
23	Other expenses (attach schedule)	40,893	482		40,411
24	**Total operating and administrative expenses.** Add lines 13 through 23	219,749	120,441		92,908
25	Contributions, gifts, grants paid	765,750			765,750
26	**Total expenses and disbursements.** Add lines 24 and 25	985,499	120,441		858,658
27	Subtract line 26 from line 12.				
a	**Excess of revenue over expenses and disbursements**	-224,457			
b	**Net investment income** (if negative, enter -0-)		224,502		
c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	691,902	149,576	149,576
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments—U S and state government obligations (attach schedule)	1,362,158	1,090,802	1,203,836
	b Investments—corporate stock (attach schedule)	5,875,518	7,308,885	8,345,462
	c Investments—corporate bonds (attach schedule)	1,543,694	2,709,308	2,839,959
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)		6,162	8,915
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)	2,265,927		
	16 **Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	11,739,199	11,264,733	12,547,748
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	11,739,199	11,264,733	
	30 **Total net assets or fund balances** (see page 17 of the instructions)	11,739,199	11,264,733	
	31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	11,739,199	11,264,733	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,739,199
2	Enter amount from Part I, line 27a	2	-224,457
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	11,514,742
5	Decreases not included in line 2 (itemize) ▶ Prior Period Adjustment	5	250,009
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	11,264,733

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	Publicly-Traded Securities			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,576,998		2,771,079	-194,081
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-194,081
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2007	803,821	13,633,185	0.058961
2006	981,458	14,982,245	0.065508
2005	912,770	13,957,079	0 065398
2004	804,923	13,424,297	0 059960
2003	771,829	13,235,112	0 058317

2	**Total** of line 1, column (d)	2	0 308144
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.061629
4	Enter the net value of noncharitable-use assets for 2008 from Part X, line 5	4	11,269,921
5	Multiply line 4 by line 3	5	694,554
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,245
7	Add lines 5 and 6	7	696,799
8	Enter qualifying distributions from Part XII, line 4	8	858,658

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling letter: ________________ (attach copy of ruling letter if necessary—see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	2,245
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0
3	Add lines 1 and 2			3	2,245
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	2,245
6	Credits/Payments				
a	2008 estimated tax payments and 2007 overpayment credited to 2008	6a	11,251		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	0		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	11,251
8	Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	9,006
11	Enter the amount of line 10 to be **Credited to 2009 estimated tax** ▶		9,006 **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation. ▶ $ ______________ **(2)** On foundation managers ▶ $ ______________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2008 or the taxable year beginning in 2008 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities (continued)

				Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)		11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?		12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		13	X	

Website address ▶

14 The books are in care of ▶ c/o Foundation Source Telephone no ▶ 1-800-839-1754
Located at ▶ 501 Silverside Road, Suite 123 Wilmington, DE ZIP+4 ▶ 19809-1377

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2008?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2008, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2008?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20______ , 20______ , 20 ______ , 20______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20 ______ , 20________ , 20______ , 20 ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2008 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2008)*		3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2008?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a Dunng the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?		**5b**	N/A
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If "Yes," attach the statement required by Regulations section 53 4945–5(d)*	☐ Yes ☒ No		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If you answered "Yes" to 6b, also file Form 8870*		**6b**	X (No)
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**	N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHMENT				

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
UBS Financial Services, Inc. 8044 Montgomery Rd Cincinnati OH 45236	Investment Management Services	108,340

Total number of others receiving over $50,000 for professional services ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out chantable, etc , purposes		
a	Average monthly fair market value of securities	1a	11,135,908
b	Average of monthly cash balances	1b	305,636
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	11,441,544
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	11,441,544
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	171,623
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	11,269,921
6	**Minimum investment return.** Enter 5% of line 5	6	563,496

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	563,496
2a	Tax on investment income for 2008 from Part VI, line 5	2a	2,245		
b	Income tax for 2008. (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	2,245
3	Distributable amount before adjustments Subtract line 2c from line 1			3	561,251
4	Recoveries of amounts treated as qualifying distributions			4	0
5	Add lines 3 and 4			5	561,251
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	561,251

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	858,658
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out chantable, etc , purposes	2	0
3	Amounts set aside for specific chantable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	858,658
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	2,245
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	856,413

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

			(a) Corpus	(b) Years prior to 2007	(c) 2007	(d) 2008
1	Distributable amount for 2008 from Part XI, line 7					561,251
2	Undistributed income, if any, as of the end of 2007.					
a	Enter amount for 2007 only				0	
b	Total for prior years: 20____, 20____, 20___			0		
3	Excess distributions carryover, if any, to 2008					
a	From 2003	122,199				
b	From 2004	150,678				
c	From 2005	221,146				
d	From 2006	261,956				
e	From 2007	127,311				
f	**Total** of lines 3a through e		883,290			
4	Qualifying distributions for 2008 from Part XII, line 4 ► $ 858,658					
a	Applied to 2007, but not more than line 2a				0	
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)			0		
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions)					
d	Applied to 2008 distributable amount					561,251
e	Remaining amount distributed out of corpus		297,407			
5	Excess distributions carryover applied to 2008. *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0			0
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		1,180,697			
b	Prior years' undistributed income Subtract line 4b from line 2b					
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions					
e	Undistributed income for 2007 Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions				0	
f	Undistributed income for 2008. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2009					0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)					
8	Excess distributions carryover from 2003 not applied on line 5 or line 7 (see page 27 of the instructions)		122,199			
9	**Excess distributions carryover to 2009.** Subtract lines 7 and 8 from line 6a		1,058,498			
10	Analysis of line 9.					
a	Excess from 2004	150,678				
b	Excess from 2005	221,146				
c	Excess from 2006	261,956				
d	Excess from 2007	127,311				
e	Excess from 2008	297,407				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2008, enter the date of the ruling . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2008	(b) 2007	(c) 2006	(d) 2005	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . .	0				0
b 85% of line 2a . . .	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed . . .	0				0
d Amounts included in line 2c not used directly for active conduct of exempt activities . . .					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . .	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter					
(1) Value of all assets . . .					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . .	0				0
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . .					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . .					0
(3) Largest amount of support from an exempt organization . . .					0
(4) Gross investment income . . .					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* SEE ATTACHMENT				
Total . . . . ▶ **3a**				765,750
b *Approved for future payment* NONE				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See page 28 of the instructions)
1 Program service revenue.					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	348,264	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-194,081	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0		154,183	0

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 154,183

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions.)
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date 9/30/2010 — Title PRESIDENT

Paid Preparer's Use Only

Preparer's signature	Date 09/29/2010	Check if self-employed ☐	Preparer's identifying number (see Signature on page 30 of the instructions)
Firm's name (or yours if self-employed), address, and ZIP code	FOUNDATION SOURCE 55 WALLS DRIVE, FAIRFIELD, CT 06824	EIN ▶ Phone no. (800) 839-1754	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

2009

Name of the organization	**Employer identification number**
John C. Griswold Foundation	13 2978937

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33⅓% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
John C. Griswold Foundation	13 2978937

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	The John C. Griswold Charitable Lead Trust c/o William Ernst, Trustee, 5086 Wooster Road, Cincinnati, OH 45226	$ 606,859.00	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

John C. Griswold Foundation
EIN: 13-2978937
Taxable Year Ending November 30, 2009

Form 990-PF, Part I, Line 16a – Legal Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purpose
GENERAL GOVERNANCE MATTERS AND COUNSELING	$844	$0	-	$844
TOTAL:	**$844**	**$0**	-	**$844**

John C. Griswold Foundation
EIN: 13-2978937
Taxable Year Ending November 30, 2009

Form 990-PF, Part I, Line 16b – Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purpose
ACCOUNTING SERVICES	$10,612	$0	-	$10,612
PREPARATION OF ANNUAL RETURN AND TAX CONSULTATIONS	$15,708	$0	-	$15,708
REVIEW OF ANNUAL RETURN AND TAX CONSULTATIONS	$10,727	$0	-	$10,727
TOTAL:	**$37,047**	**$0**	-	**$37,047**

John C. Griswold Foundation
EIN: 13-2978937
Taxable Year Ending November 30, 2009

Form 990-PF, Part I, Line 16c – Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purpose
FEE FOR INVESTMENT MANAGEMENT SERVICES	$49,272	$49,272	-	$0
INVESTMENT MANAGEMENT SERVICES	$70,173	$70,173	-	$0
TOTAL:	**$119,445**	**$119,445**	-	**$0**

John C. Griswold Foundation
EIN: 13-2978937
Taxable Year Ending November 30, 2009

Form 990-PF, Part I, Line 18 – Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purpose
ESTIMATED TAX FOR 2009	$6,400	$0	-	$0
FOREIGN TAX PAID	$514	$514	-	$0
TOTAL:	**$6,914**	**$514**	-	**$0**

John C. Griswold Foundation
EIN: 13-2978937
Taxable Year Ending November 30, 2009

Form 990-PF, Part I, Line 23 – Other expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purpose
ADMINISTRATIVE FEES	$39,166	$0	-	$39,166
BANK CHARGE	$482	$482	-	$0
FOUNDATION DUES & MEMBERSHIPS	$495	$0	-	$495
STATE OR LOCAL FILING FEES	$750	$0	-	$750
TOTAL:	**$40,893**	**$482**	-	**$40,411**

John C. Griswold Foundation
EIN: 13-2978937
Taxable Year Ending November 30, 2009

Form 990-PF, Part II, Line 10a - Columns (b) & (c) – U.S. and State Government Obligations

Shares	Description/Symbol/CUSIP Number		Line 10a - Column (b) Book Value	Line 10a - Column (c) Fair Market Value
150000	FHLB - 5 250% - 06/18/2014 (3133X7FK5)		$153,945 46	$170,067 00
200000	FEDERAL NATL MTG ASSN 5 12500% 04/15/2011 (31359MM26)		$200,022.88	$212,824 00
200000	FNMA - 5.250% - 09/15/2016 (31359MW41)		$201,435 08	$227,502.00
125000	FNMA - 5 375% - 06/12/2017 (31398ADM1)		$127,531.86	$143,417 50
56973	MASS RRB SER 2005-1 CL A-3 - 4 130% - 09/15/2013 (575800AD9)		$55,582.57	$58,814 25
150000	US T NOTES - 4 750% - 08/15/2017 (912828HA1)		$150,936.66	$169,899.00
200000	US T NOTE - 4.250% - 08/15/2015 (UST081515)		$201,347 04	$221,312 00
		TOTAL	**$1,090,802**	**$1,203,836**

John C. Griswold Foundation
EIN: 13-2978937
Taxable Year Ending November 30, 2009

Form 990-PF, Part II, Line 10b - Columns (b) & (c) - Investments: Corporate Stock

Shares	Description/Symbol	Line 10b - Column (b) Book Value	Line 10b - Column (c) Fair Market Value
2225	AGILENT TECHNOLOGIES INC (A)	$81,276.36	$64,347.00
3935	ALCOA INC (AA)	$98,150 44	$49,266 20
240	ADVANCE AUTO PARTS INC (AAP)	$10,276 60	$9,432.00
1000	APPLE INC (AAPL)	$38,600.00	$199,910.00
2000	ABBOTT LABS (ABT)	$106,380.00	$108,980.00
1800	ACCENTURE PLC (ACN)	$60,071 55	$73,872 00
340	ALLIANCE DATA SYSTEM CORP (ADS)	$13,557 40	$20,736.60
2635	AMERICAN EAGLES OUTFITTERS INC (AEO)	$38,080 44	$40,526 30
248	AETNA INC. (AET)	$6,224.80	$7,219 28
258	AFLAC INC. (AFL)	$4,883 91	$11,875.74
2080	ALLSTATE CORP (ALL)	$41,811 59	$59,092.80
670	ALTERA CORPORATION (ALTR)	$13,453 60	$14,090 10
248	AMETEK INC (AME)	$8,371 61	$9,066.88
2545	AMGEN INC (AMGN)	$101,330.85	$143,410 75
238	AMERICAN TOWER CORP CL A (AMT)	$7,633.61	$9,738.96
380	ANSYS INC (ANSS)	$11,616.60	$14,797 20
1000	APACHE CORPORATION (APA)	$45,290.00	$95,280.00
90	APOLLO GROUP INC CL A (APOL)	$6,456.40	$5,136 30
145	AIRGAS INC (ARG)	$8,402 75	$6,706.25
2350	AVNET INC. (AVT)	$41,399.48	$64,037 50
2000	AMERICAN EXPRESS CO (AXP)	$91,031.20	$83,660 00
120	AUTOZONE INC (AZO)	$10,425 60	$17,744.40
440	BOEING CO (BA)	$21,786.78	$23,060.40
4895	BANK OF AMERICA CORP (BAC)	$132,672 97	$77,585 75
320	BED BATH & BEYOND INC (BBBY)	$8,083 20	$11,955 20
1500	BEST BUY INC. (BBY)	$79,232 10	$64,245.00
100	BROWN FORMAN CORP CL B (BF-B)	$5,638 80	$5,117 00
262	GEN CABLE CP (BGC)	$4,356 67	$7,692.32

John C. Griswold Foundation
EIN: 13-2978937
Taxable Year Ending November 30, 2009

Form 990-PF, Part II, Line 10b - Columns (b) & (c) - Investments: Corporate Stock

Shares	Description/Symbol	Line 10b - Column (b) Book Value	Line 10b - Column (c) Fair Market Value
800	BHP BILLITON LIMITED (BHP)	$40,087.60	$60,240.00
279	PEABODY ENERGY CORP (BTU)	$8,954 98	$12,404 34
225	CEPHALON, INC (CEPH)	$16,883.10	$12,363.75
96	CHURCH & DWIGHT COMMON (CHD)	$5,575 68	$5,667 84
254	CHECK POINT SOFTWARE TECHNOLOGIES LTD (CHKP)	$7,151 52	$8,023 86
300	C H ROBINSON WORLDWIDE INC. (CHRW)	$7,576 50	$16,725 00
170	CHATTEM INC (CHTT)	$9,926.30	$11,192.80
810	CONOCOPHILLIPS (COP)	$54,263.16	$41,933.70
5500	CISCO SYSTEMS INC (CSCO)	$7,412.16	$128,700.00
274	CSX CORP (CSX)	$9,857.18	$13,009 52
637	COGNIZANT TECHNOLOGY SOLUTIONS CORPORATION - CLASS (CTSH)	$15,342.14	$27,983 41
2700	CVS CAREMARK CORP (CVS)	$99,840 87	$83,727 00
2635	COMMUNITY HEALTH (CYH)	$91,777.24	$80,393.85
1595	DU PONT DE NEMOURS (DD)	$81,153.60	$55,155 10
1200	DEERE CO (DE)	$68,364.00	$64,212 00
190	QUEST DIAGNOSTICS (DGX)	$10,060 50	$11,008 60
520	DICK'S SPORTING GDS (DKS)	$10,284.80	$10,795 20
105	DIGITAL RLTY TR INC (DLR)	$4,759.91	$5,109 30
219	DOLLAR TREE INC. (DLTR)	$7,829 99	$10,724 43
195	DOVER CORP (DOV)	$7,889.70	$7,971.60
260	DARDEN RESTAURANTS INC (DRI)	$7,620 06	$8,171.80
600	DIRECTV GROUP (DTV)	$10,393.59	$18,978.00
90	DAVITA INC COMMON (DVA)	$4,722 30	$5,331 60
1400	ECOLAB INC (ECL)	$43,776.32	$62,874.00
5100	ISHARES MSCI EMERGING MARKETS INDEX FD (EEM)	$165,010 22	$206,652.00
15000	ISHARES TRUST MSCI EAFE INDEX FUND (EFA)	$827,515 37	$830,850.00
4000	EMC CORP-MASS (EMC)	$76,337 50	$67,320 00
2400	EMERSON ELECTRIC CO (EMR)	$60,667 00	$99,384 00
202	EMERGENCY MED SVCS (EMS)	$9,655 60	$9,746 50
13500	ISHARES MSCI PACIFIC EX-JAPAN (EPP)	$439,501.00	$564,975 00

John C. Griswold Foundation
EIN: 13-2978937
Taxable Year Ending November 30, 2009

Form 990-PF, Part II, Line 10b - Columns (b) & (c) - Investments: Corporate Stock

Shares	Description/Symbol	Line 10b - Column (b) Book Value	Line 10b - Column (c) Fair Market Value
115	EQUINIX, INC. (EQIX)	$9,999 25	$11,061 85
1967	EXPRESS SCRIPTS INC (ESRX)	$26,677 44	$168,768 60
405	EATON CORP (ETN)	$18,179 68	$25,879 50
12000	ISHARES MSCI-HONG KONG (EWH)	$146,369.40	$190,320.00
590	FIDELITY NATIONAL INFORMATION SERVICES INC (FIS)	$13,614 25	$13,334 00
510	FISERV INC (FISV)	$20,389.80	$23,582.40
1213	FLUOR CORP (FLR)	$83,414.47	$51,528.24
7865	GENERAL ELECTRIC CO (GE)	$138,632 00	$125,997 30
1200	GILEAD SCIENCES INC (GILD)	$56,061 46	$55,332.00
273	GAMESTOP CORP (GME)	$7,125 30	$6,663 93
125	GOOGLE INC CL A (GOOG)	$56,130 63	$72,875.00
256	GLOBAL PAYMENTS INC (GPN)	$8,489.92	$13,122 56
4375	GAP INC (GPS)	$80,937 50	$93,712 50
300	GOLDMAN SACHS GROUP (GS)	$42,737 34	$50,898 00
165	GRAINGER W W INC. (GWW)	$12,611 65	$16,120 50
2195	HALLIBURTON COMPANY (HAL)	$40,281 30	$64,445.20
440	HANSEN NATURAL CORPORATION (HANS)	$13,727 90	$15,386 80
4465	HERCULES OFFSHORE INC (HERO)	$84,451 19	$22,816 15
2760	HEALTH NET INC (HNT)	$117,525 58	$58,567 20
214	H.J. HEINZ COMPANY (HNZ)	$8,799.09	$9,084 30
2500	HEWLETT PACKARD CO (HPQ)	$80,323 20	$122,650.00
205	HUMANA INC (HUM)	$8,687.56	$8,509.55
103	INTERCNTNTLEXCHANGE (ICE)	$9,617 06	$10,999.37
80	INTUITIVE SURGICAL, INC (ISRG)	$10,803 20	$22,443.20
134	JONES LANG LASALLE (JLL)	$4,271.92	$6,816 58
580	JUNIPER NETWORKS (JNPR)	$12,124 60	$15,155 40
1945	JP MORGAN CHASE & CO (JPM)	$84,756 90	$82,643.05
390	LIBERTY GLOBAL INC (LBTYA)	$11,942 40	$7,523.10
270	LABORATORY CORP AMER HLDGS (LH)	$14,512.80	$19,699 20
290	LINEAR TECHNOLOGY CORP (LLTC)	$11,333 20	$7,821.30

John C. Griswold Foundation
EIN: 13-2978937
Taxable Year Ending November 30, 2009

Form 990-PF, Part II, Line 10b - Columns (b) & (c) - Investments: Corporate Stock

Shares	Description/Symbol	Line 10b - Column (b) Book Value	Line 10b - Column (c) Fair Market Value
330	LINCARE HOLDINGS, INC. (LNCR)	$14,553 00	$11,721 60
4535	LOWES COMPANIES INC (LOW)	$92,450 23	$98,908 35
1385	LIFEPOINT HOSPITALS, INC (LPNT)	$38,438 77	$40,206 55
161	LENDER PROCESSING SERVICES INC (LPS)	$5,493 32	$6,726 58
205	LANDSTAR SYSTEM (LSTR)	$6,330 40	$7,650 60
60	LIBERTY MEDIA CORP STARZ (LSTZA)	$1,626 32	$2,871 00
2250	MACY'S INC (M)	$38,225 16	$36,697 50
2458	MARRIOTT INTERNATIONAL INC. CL A (MAR)	$78,811.87	$63,219 66
830	MICROCHIP TECHNOLOGY INC (MCHP)	$20,315 40	$21,779 20
205	MCKESSON CORP (MCK)	$7,920 88	$12,714.10
2265	MEDTRONIC INC (MDT)	$53,836 19	$96,126 60
1550	METLIFE INC. (MET)	$45,035 82	$52,994 50
294	MCAFEE INC (MFE)	$10,938 56	$11,216 10
159	MOHAWK INDS INC (MHK)	$12,163.08	$6,531 72
700	MONSANTO CO (MON)	$79,529.65	$56,525 00
1980	MARATHON OIL CORP COM (MRO)	$88,815.99	$64,587.60
174	MSCI INC (MSCI)	$4,051.76	$5,301.78
4000	MICROSOFT CORPORATION (MSFT)	$100,895 00	$117,640 00
660	NALCO CHEMICAL (NLC)	$12,270.20	$16,143 60
515	NUCOR CP (NUE)	$20,416.04	$21,841 15
2000	NOVARTIS AG ADR (NVS)	$122,465 00	$111,200 00
1780	OMNICARE INC (OCR)	$65,297 02	$41,260 40
1500	ONEOK INC (OKE)	$42,448.50	$60,030 00
3200	ORACLE CORP (ORCL)	$67,556 76	$70,656 00
93	PRICELINE COM INCORPORATED (PCLN)	$6,377.62	$19,913 16
76	PRECISION CASTPARTS (PCP)	$5,214 70	$7,879 68
2300	PEPSICO INC (PEP)	$100,510 25	$143,106.00
440	PETSMART INC. COM (PETM)	$12,208 60	$11,325.60
3045	PFIZER INC (PFE)	$81,423.30	$55,327.65
2000	PROCTER GAMBLE CO (PG)	$34,814 51	$124,700 00

John C. Griswold Foundation
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Form 990-PF, Part II, Line 10b - Columns (b) & (c) - Investments: Corporate Stock

Shares	Description/Symbol	Line 10b - Column (b) Book Value	Line 10b - Column (c) Fair Market Value
800	PNC FINANCIAL GROUP INC. (PNC)	$34,993 40	$45,608 00
1355	PRUDENTIAL FINCL INC (PRU)	$59,595 04	$67,546.75
3525	PATTERSON-UTI ENERGY INC (PTEN)	$83,961.51	$54,249 75
2200	QUALCOMM INC (QCOM)	$91,047.00	$99,000.00
180	ROBERT HALF INTL INC (RHI)	$4,870.21	$4,019 40
690	TRANSOCEAN LTD (RIG)	$33,365 78	$58,919 10
375	ROCKWOOD HOLDINGS INC (ROC)	$7,274.20	$8,441.25
215	ROPER INDUSTRIES NEW COMMON (ROP)	$9,321.90	$11,188.60
388	RANGE RESOURCES CORP DEL (RRC)	$12,540.72	$18,286 44
314	SHIRE PHARMACEUTICALS, INC (SHPGY PK)	$14,720 04	$18,485.18
1500	SCHLUMBERGER LTD (SLB)	$43,035 00	$95,835.00
298	STERICYCLE INC (SRCL)	$6,466.60	$16,309.54
415	STEC, INC (STEC)	$9,473 17	$5,141 85
510	ST. JUDE MED INC (STJ)	$12,622 50	$18,722.10
300	QUESTAR CP (STR)	$9,975.00	$11,901 00
2291	SOUTHWESTERN ENERGY (SWN)	$63,718.89	$100,712 36
1805	SAFEWAY INC NEW (SWY)	$39,823 10	$42,862.50
150	SYBASE INC (SY)	$4,110 90	$6,036 00
1500	STRYKER CORPORATION (SYK)	$64,845 00	$75,600 00
2500	TARGET CORPORATION (TGT)	$135,122 32	$116,400 00
740	TJX COMPANIES INC (TJX)	$17,900.60	$28,401.20
800	THERMO FISHER SCIENTIFIC INC (TMO)	$45,493 00	$37,784 00
212	T. ROWE PRICE ASSOCIATES (TROW)	$9,368 92	$10,373.16
1055	TRAVELERS COMPANIES INC (THE) (TRV)	$38,213 11	$55,271.45
2455	TEXAS INSTRUMENTS INC (TXN)	$43,939 25	$62,086.95
2195	UNITEDHEALTH GROUP INC (UNH)	$59,303.48	$62,930.65
2785	UNUM CORP (UNM)	$69,709 39	$53,026 40
87	URS CORP (URS)	$3,523.50	$3,614 85
1600	UNITED TECHNOLOGIES CORP (UTX)	$98,420 96	$107,584.00
2220	WESCO INTL INC (WCC)	$71,632 84	$57,942 00

John C. Griswold Foundation
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Form 990-PF, Part II, Line 10b - Columns (b) & (c) - Investments: Corporate Stock

Shares	Description/Symbol		Line 10b - Column (b) Book Value	Line 10b - Column (c) Fair Market Value
293	WADDELL&REED FIN INC (WDR)		$8,576 11	$8,535 09
5140	WELLS FARGO & CO (WFC)		$157,753 36	$144,125.60
575	MEMC ELECTRONICS MATERIALS INC (WFR)		$32,223 49	$6,923 00
562	WEATHERFORD INTL (WFT)		$9,379.38	$9,385.40
188	BERKLEY W R CP (WRB)		$4,147 26	$4,645 48
285	WEIGHT WATCHERS INTL (WTW)		$12,751 05	$7,888.80
590	WESTERN UNION CO (WU)		$13,422 03	$10,885 50
1797	XL GROUP PLC (XL)		$26,593 93	$32,903 07
1800	EXXON MOBIL CORP (XOM)		$34,003 88	$135,126.00
		TOTAL	**$7,308,885**	**$8,345,462**

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Form 990-PF, Part II, Line 10c - Columns (b) & (c) - Investments: Corporate Bonds

Shares	Description/Symbol/CUSIP Number	Line 10c - Column (b) Book Value	Line 10c - Column (c) Fair Market Value
100000	ABBOTT LABS NOTE - 5.600% - 11/30/2017 (002819AB6)	$105,738 32	$110,700 00
100000	BERKSHIRE HATHAWAY FIN CORP 5 0% 08/15/2013 (084664BG5)	$107,475 33	$109,557 00
150000	CATERPILLAR INC NOTES - 5.700% - 08/15/2016 (149123BM2)	$151,164.51	$163,414.50
150000	CHASE ISS TR SER 2005-A7 - 4 550% - 03/15/2013 (161571AQ7)	$147,015 00	$155,242.50
150000	CHEVRON CORP - 3 95% - 03/03/2014 (166751AH0)	$154,383 00	$161,058 00
150000	CISCO SYSTEMS INC 5% 2/22/16 (17275RAC6)	$156,693 00	$168,043.50
200000	CITIGROUP INC NOTES - 6 000% - 02/21/2012 (172967BJ9)	$208,649.86	$211,800.00
150000	GE CAP CORP - 6 125% - 02/22/2011 (36962GWB6)	$150,019 68	$158,535.00
100000	GOLDMAN SACHS 5% DUE 1/15/2011 (38141GEF7)	$96,638.89	$104,311 00
100000	JP MORGAN CHASE - 4.500% - 01/15/2012 (46625HCA6)	$99,737.50	$105,801 00
200000	MERRILL LYNCH & CO NOTES - 5 000% - 01/15/2015 (59018YUW9)	$192,254 00	$205,076 00
100000	MORGAN STANLEY NT - 6 600% - 04/01/2012 (617446HC6)	$105,512 16	$109,239 00
100000	NATIONAL RURAL UTIL CORP - 4.750% - 03/01/2014 (637423DC6)	$101,626.31	$108,349 00
100000	ORACLE CORP NOTES 04.95000% 04/15/2013 (68389XAD7)	$108,358 75	$109,529 00
75000	ORACLE CORP - 5.25% - 01/15/2016 (68402LAC8)	$73,758.75	$82,854.00
200000	PG&E ENERGY REC FD 2005-1 A4 - 4 370% - 06/25/2014 (693401AD3)	$193,240.00	$210,826 00
150000	PECO - 6.520% - 12/31/2010 (705220AM3)	$167,504.69	$154,495.50
200000	UNITED PARCEL SRVC NOTE 05 50000% 01/15/2018 CALL (911312AH9)	$210,284.00	$222,572.00
100000	WACHOVIA CORP - 5 500% - 05/01/2013 (92976WBJ4)	$100,714.90	$108,228.99
75000	WELLS FARGO & CO - 6 375% - 08/01/2011 (949746CE9)	$78,539.73	$80,327.25
	TOTAL:	**$2,709,308**	**$2,839,959**

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Form 990-PF, Part II, Line 13 - Investments - Other

Asset Description		Line 13 - Column (b) Book Value	Line 13 - Column (c) Fair Market Value
LAZARD LTD (LAZ)		$6,161.70	$8,914.80
	TOTAL INVESTMENTS - OTHER	$6,162	$8,915

John C. Griswold Foundation
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Form 990-PF, Part VIII, Line 1 - List all foundation officers, directors, trustees, foundation managers and their compensation

(a) Name and address		(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Allyson Griswold Dayak c/o Foundation Source 501 Silverside Road Suite 123 Wilmington, DE 19809-1377	<1	President / Vice President / Trustee hour(s) per week Removed as Vice President on 10/11/09	$0.00	$0.00	$0 00
Jacqueline G Moore c/o Foundation Source 501 Silverside Road Suite 123 Wilmington, DE 19809-1377	<1	Chairman Emeritus hour(s) per week	$0 00	$0 00	$0 00
Jeffrey W Earls c/o Foundation Source 501 Silverside Road Suite 123 Wilmington, DE 19809-1377	<1	President Emeritus / Trustee hour(s) per week	$0 00	$0.00	$0 00
D Ross Griswold, Jr. c/o Foundation Source 501 Silverside Road Suite 123 Wilmington, DE 19809-1377	<1	Vice President / Trustee hour(s) per week Removed as Vice President on 10/11/09	$0 00	$0 00	$0 00
John G Earls c/o Foundation Source 501 Silverside Road Suite 123 Wilmington, DE 19809-1377	<1	Vice President / Trustee hour(s) per week	$0 00	$0 00	$0 00
Lynda Earls c/o Foundation Source 501 Silverside Road Suite 123 Wilmington, DE 19809-1377	<1	Advisory Committee / Secretary hour(s) per week	$0 00	$0 00	$0 00

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Form 990-PF, Part VIII, Line 1 - List all foundation officers, directors, trustees, foundation managers and their compensation

(a) Name and address		(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Mark Griswold c/o Foundation Source 501 Silverside Road Suite 123 Wilmington, DE 19809-1377	<1	Advisory Committee / Secretary / Trustee hour(s) per week	$0.00	$0 00	$0.00
James R Donnelley c/o Foundation Source 501 Silverside Road Suite 123 Wilmington, DE 19809-1377	<1	Treasurer / Trustee hour(s) per week	$0.00	$0.00	$0.00
Christopher B Earls c/o Foundation Source 501 Silverside Road Suite 123 Wilmington, DE 19809-1377	<1	Advisory Committee / Trustee hour(s) per week	$0 00	$0 00	$0 00
David Earls c/o Foundation Source 501 Silverside Road Suite 123 Wilmington, DE 19809-1377	<1	Advisory Committee / Trustee hour(s) per week	$0.00	$0.00	$0 00
Michael G. Earls c/o Foundation Source 501 Silverside Road Suite 123 Wilmington, DE 19809-1377	<1	Advisory Committee / Trustee hour(s) per week	$0 00	$0.00	$0.00

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Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Recipient name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AIDS ASSISTANCE PROGRAM 1276 N PALM CANYON DR STE 108, PALM SPRINGS, CA 92262	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$12,083.00
AIDS SERVICE FOUNDATION OF GREATER KANSAS CITY PO BOX 32192, KANSAS CITY, MO 64171	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$5,000.00
AMIGOS DE BOLSA CHICA PO BOX 1563, HUNTINGTN BCH, CA 92647	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$15,000.00
BELLFLOWER KIWANIS FOUNDATION PO BOX 601, BELLFLOWER, CA 90707	N/A	509(a)(2)	"KEEP IT FLYING" FLAG PROGRAM	$5,000 00
BOLSA CHICA CONSERVANCY 3842 WARNER AVE, HUNTINGTN BCH, CA 92649	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$50,000 00
BOLSA CHICA CONSERVANCY 3842 WARNER AVE, HUNTINGTN BCH, CA 92649	N/A	509(a)(1)	TERN RESERVE DIVISION	$5,000 00
CHICAGO YOUTH CENTERS 218 S. WABASH AVENUE, SUITE 600, CHICAGO, IL 60604	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$20,000.00
CINCINNATI BALLET COMPANY INC 1555 CENTRAL PKWY, CINCINNATI, OH 45214	N/A	509(a)(1)	CHARITABLE EVENT	$25,000 00

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Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Recipient name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CINCINNATI CHAMBER ORCHESTRA INC 105 W 4TH ST, CINCINNATI, OH 45202	N/A	509(a)(2)	GENERAL & UNRESTRICTED	$2,000 00
CINCINNATI MUSEUM ASSOCIATION 953 EDEN PARK DRIVE, CINCINNATI, OH 45202	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$10,000 00
CINCINNATI OPERA ASSOCIATION 1243 ELM STREET MUSIC HALL, CINCINNATI, OH 45202	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$75,000 00
CINCINNATI PLAYHOUSE IN THE PARK PO BOX 6537, CINCINNATI, OH 45206	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$5,000 00
CINCINNATI SYMPHONY ORCHESTRA 1241 ELM ST, CINCINNATI, OH 45202	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$20,000 00
CLINICA VERDE 109 CAMINO VIS, ST HELENA, CA 94574	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$10,000.00
COMMUNITY SCHOOL INC PO BOX 2118, SUN VALLEY, ID 83353	N/A	509(a)(1)	GRISWOLD SCHOLARSHIP	$17,000 00
DEVELOPING POTENTIAL INC 120 W WALNUT ST, INDEPENDENCE, MO 64050	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$10,000 00

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Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Recipient name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FIRST STEP HOME INC 2203 FULTON AVE, CINCINNATI, OH 45206	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$6,000 00
FOUNDATION WORKSHOP FOR THE HANDICAPPED 12600 3RD ST, GRANDVIEW, MO 64030	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$1,250 00
FRIENDS OF SHIPLEY NATURE CENTER 17829 GOLDENWEST ST, HUNTINGTON BEACH, CA 92647	N/A	509(a)(1)	WILD GARDEN PROGRAM	$6,250 00
HEART OF AMERICA SHAKESPEARE FESTIVAL 3619 BROADWAY, KANSAS CITY, MO 64111	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$20,000.00
HORIZONS AT GREENS FARMS ACADEMY 35 BEACHSIDE AVENUE, GREEN FARMS, CT 06436	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$10,000 00
JOHN L MAGRO FOUNDATION PO BOX 43032, CINCINNATI, OH 45243	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$20,000.00
LANTERMAN HIGH SCHOOL 2328 ST JAMES PLACE, LOS ANGELES, CA 90007	N/A	509(a)(1)	SPORTS PROGRAM	$5,000 00
LINTON INCORPORATED 1241 ELM ST CINCINNATI MUSIC HALL, CINCINNATI, OH 45202	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$2,500 00

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Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Recipient name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LITERACY CENTER WEST 3015 PHILLIPS AVE, CINCINNATI, OH 45205	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$3,500.00
MAKE-A-WISH FOUNDATION OF ORANGE COUNTY 14232 RED HILL AVE, TUSTIN, CA 92780	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$6,250 00
MILLIKIN UNIVERSITY 1184 W MALN ST, DECATUR, IL 62522	N/A	509(a)(1)	GRISWOLD PHYSICAL EDUCATION CENTER	$50,000 00
MILLIKIN UNIVERSITY 1184 W MALN ST, DECATUR, IL 62522	N/A	509(a)(1)	PROJECT CONFIRM THE CAMPAIGN FOR ENTREPRENEURSHIP	$25,000.00
MILLIKIN UNIVERSITY 1184 W MALN ST, DECATUR, IL 62522	N/A	509(a)(1)	CAMPAIGN FOR ENTREPRENEURSHIP	$25,000 00
MOUNT VERNON LADIES ASSOCIATION OF THE UNION PO BOX 110, MT VERNON, VA 22121	N/A	509(a)(2)	GENERAL & UNRESTRICTED	$10,000.00
MY STUFF BAGS FOUNDATION 5347 STERLING CENTER DR, WESTLAKE VLG, CA 91361	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$12,083 00
NATIONAL MULTIPLE SCLEROSIS SOCIETY OHIO VALLEY CHAPTER 4460 LAKE FOREST DR STE 236, BLUE ASH, OH 45242	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$5,000 00

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Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Recipient name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEAR & FAR AID ASSOCIATION INC PO BOX 717, SOUTHPORT, CT 06890	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$12,000 00
ORANGEWOOD CHILDREN'S HOME 401 THE CITY DRIVE, ORANGE, CA 92868	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$10,000.00
POWELL GARDENS INC 1609 NW US HIGHWAY 50, KINGSVILLE, MO 64061	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$20,000 00
PROSPECT HOUSE INC 682 HAWTHORNE AVE, CINCINNATI, OH 45205	N/A	509(a)(2)	GENERAL & UNRESTRICTED	$35,250.00
QUALITY DEER MANAGEMENT ASSOCIATION PO BOX 160, BOGART, GA 30622	N/A	509(a)(2)	REACH PROGRAM	$2,000 00
QUEEN CITY FOUNDATION PO BOX 3145, CINCINNATI, OH 45201	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$2,000 00
SHUNPIKE ARTS COLLECTIVE 3518 FREMONT AVE N 118, SEATTLE, WA 98103	N/A	509(a)(1)	YOUTH SPEAKS PROGRAM	$5,000 00
SOUTH CAROLINA COASTAL CONSERVATION LEAGUE INC PO BOX 1765, CHARLESTON, SC 29402	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$10,000 00

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Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Recipient name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SOUTHPORT CONGREGATIONAL CHURCH - UNITED CHURCH OF CHRIST P.O. BOX 366, SOUTHPORT, CT 06890	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$12,000.00
ST HELENA MONTESSORI SCHOOL INC 1343 SPRING ST, ST HELENA, CA 94574	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$10,000.00
ST. MARY'S CHURCH 2853 ERIE AVENUE, CINCINNATI, OH 45208	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$1,250 00
STUDENTS RUN AMERICA 6505 ZELZAH AVE, RESEDA, CA 91335	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$5,000.00
TAFT MUSEUM OF ART 316 PIKE ST, CINCINNATI, OH 45202	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$1,000 00
THE CONNECTICUT AUDUBON SOCIETY INCORPORATED 2325 BURR ST, FAIRFIELD, CT 06824	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$25,000 00
THERAPEUTIC RIDING CENTER OF HUNTINGTON BEACH INC PO BOX 2298, HUNTINGTN BCH, CA 92647	N/A	509(a)(2)	GENERAL & UNRESTRICTED	$12,084 00
UNITY SCHOOL OF CHRISTIANITY 1901 NW BLUE PKWY, UNITY VILLAGE, MO 64065	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$5,000 00

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Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Recipient name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNIVERSITY OF CALIFORNIA IRVINE FOUNDATION 4199 CAMPUS DR STE 400, IRVINE, CA 92612	N/A	509(a)(2)	ORTHOPEDIC BIOMECHANICS LAB IN THE DEPARTMENT OF ORTHOPEDIC SURGERY	$15,000 00
UNIVERSITY OF CINCINNATI FOUNDATION PO BOX 19970, CINCINNATI, OH 45219	N/A	509(a)(1)	WADDELL CENTER FOR MULTIPLE SCLEROSIS	$55,000 00
UNIVERSITY OF SOUTHERN CALIFORNIA LOS ANGELES, CA 90089	N/A	509(a)(2)	GENERAL & UNRESTRICTED	$25,000.00
VOCAL ARTS ENSEMBLE OF CINCINNATI PO BOX 8404, CINCINNATI, OH 45208	N/A	509(a)(2)	GENERAL & UNRESTRICTED	$7,000 00
WORTHY JOHNSON SCHOLARSHIP TRUST INC PO BOX 2783, WESTPORT, CT 06880	N/A	509(a)(1)	GENERAL & UNRESTRICTED	$2,250 00
			TOTAL:	$765,750