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Form **990**

Department of the Treasury

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

OMB No 1545-0047 2008

Open to Public

Internal	Revenue	Service F The c	organization may have to use a	copy of this return to satisfy s	tate reporting	requirements	Inspection
A Fo	r the .	2008 calendar ye	ar, or tax year beginning 12-0:	L-2008 and ending 11-30-200	9	D.F	untification mount
B Che	eck if a	pplicable Please	C Name of organization RIVERSIDE RESEARCH INSTITUT			ບ Employer ide	entification number
☐ Add	Iress ch	lange use IRS	Doing Business As			13-259324 E Telephone nu	
☐ Nar	ne cha	nge print or type. See				·	
┌ Inıt	ıal retu	rn Specific		ıf mail is not delivered to street addre	ess) Room/suite	(212) 564-	
┌ Ter	mınatıo	Instruc- tions.	156 WILLIAM STREET No 9TH FL			G Gross receipts	\$ 75,532,772
┌ Am	ended :	return	City or town, state or country, a	nd ZIP + 4		ł	
Apr	olication	ı pending	NEW YORK, NY 10038				
,			 ame and address of principal of	ficer	W-> * ·		•
			rd Annis	licei	affilia	s a group return tes?	for
			VILLIAM STREET No 9TH FL YORK,NY 10038				· _ · _
		14 - 44	10KK,N1 10036		1	l affiliates include	
T Ta:	x-exem	npt status 🔽 501(c) (3) 4 (insert no)	(1) or 527	l _	o," attach a list p exemption nui	(see instructions)
				(-, ,	H(c) Grou	p exemption has	ilbei F
		www.rri-usa			<u> </u>		_
		•	ation Trust Association Other	er 🏲	L Year of fo	rmation 1967	State of legal domicile NY
Pa	rt I	Summary					
	1		he organization's mission or m FITS ACTIVITIES ARE TO E	iost significant activities NGAGE IN AND SUPPORT SC	IENTIFIC RE	SEARCH	
3		THE NATORE OF	TIS ACTIVITIES ARE TO E	NOAGE IN AND SOLLOKE SE	ILWIII C KL	SEARCH	
Ē							
Ē.							
Governance	2	Check this box	f the organization disconting	nued its operations or disposed	of more than	25% of its asse	
	3	Number of voting	members of the governing boo	dy (Part VI, line 1a)		3	8
Activities &	4	Number of indepe	endent voting members of the q	governing body (Part VI, line 1t	o)	. 4	
Ě	5	Total number of e	employees (Part V , line 2a) .			5	
ਹੁ	6	Total number of v	olunteers (estimate if necess	ary)		6	(
∢	7a	Total gross unrel	ated business revenue from Pa	art VIII, line 12, column (C)		7a	ı
	ь	Net unrelated bus	siness taxable income from Fo	rm 990-T, line 34		71)
				Pric	or Year	Current Year	
-a.	8	Contributions a	nd grants (Part VIII, line 1h)			0	
Rayenue	9	Program service	e revenue (Part VIII, line 2g)			64,948,156	75,463,064
9.46	10	Investment inco	ome (Part VIII, column (A), lin	es 3, 4, and 7d)		76,544	59,708
立	11	Other revenue (Part VIII, column (A), lines 5,	6d, 8c, 9c, 10c, and 11e)		0	10,000
	12			equal Part VIII, column (A), lin	e	65,024,700	75,532,772
	13		lar amounts paid (Part IX, colu			03,024,700	73,332,772
	14		or for members (Part IX, colu				0
	15			fits (Part IX, column (A), lines !	<u> </u>		
\$	15	10)	compensation, employee bene	its (Part IX, Column (A), mes	-	48,578,126	56,480,520
Expenses	16a	Professional fun	ndraising fees (Part IX, column	(A), line 11e)			0
춫	ь	Total fundraising ex	penses (Part IX, column (D), line 25	, ▶0			
Ш	17	_		a-11d, 11f-24f)		14,283,006	14,351,505
	18	•	Add lines 13-17 (must equal	•		62,861,132	70,832,025
	19		xpenses Subtract line 18 from			2,163,568	4,700,747
<u>क</u> ुट				•	Beainni	ng of Year	End of Year
900 900 900	20	Total accets (D	art X, line 16)		2-9	25,271,422	31,946,247
3.45 B.35						· · ·	
Net Assets or Fund Balances	21		(Part X, line 26)			11,331,324	13,305,402
	22		ınd balances Subtract line 21	from line 20		13,940,098	18,640,845
Pai	t II	Signature B					
				ed this return, including accompanying of preparer (other than officer) is base			
		*****	,,		1	-08-27	,
Sign Here		Signature of offi	cer		Date		
11616	-	RICHARD GREET	NWALD VICE PRESIDENT				
		Type or print na					
		Preparer's L		Date	Check If	Preparer's identif	fying number
Paid		signature			self-	(see instructions	
Prepa	arer'e	F	L. DATOH ENDE MALTED C. CC		empolyed 🕨 🦳	1	
Use (Firm's name (or you if self-employed),	-	, 		EIN 🕨	
J 36 (-111 y	address, and ZIP +	4 1375 Broadway			DI.	42) 044 1122
			New York, NY 10018			Phone no 🕨 (2	12) 944-4433

	1990 (2000)				raye Z
Par	t IIII Statement of Program		lishments (see inst	tructions.)	
1	Briefly describe the organization's				
The	Institute advances the fund of scien	itific and engineering kr	owledge and its praction	al application to the bette	rment of the public welfare
2	Did the organization undertake any the prior Form 990 or 990-EZ?				┌ Yes ┌ No
	If "Yes," describe these new service				
3	Did the organization cease conductive services?		_	nducts, any program	┌ Yes ┌ No
	If "Yes," describe these changes o	n Schedule O			
4	Describe the exempt purpose achi Section 501(c)(3) and 501(c)(4) of allocations to others, the total exp	organizations and section	on 4947(a)(1) trusts ar	re required to report the an	
4a	(Code) (Expense	es \$ 66,248,195	ıncludıng grants of \$) (Revenue \$	75,463,064)
	The Institute is principally sponsored by to capabilities and systems for national secultrasound for the diagnosis and treatmeenther to the general public or, when governments	the U-S-Government in the unity In addition, the Institut int of diseases including pros	e is sponsored by the U.S. G tate cancer, breast cancer, a	Government to develop novel de	o enhance the development of new vices and methods employing
4b	(Code) (Expense	es \$	including grants of \$) (Revenue \$)
4c	(Code) (Expense	es \$	ıncludıng grants of \$) (Revenue \$)
4d	Other program services (Describ	pe in Schedule O)			
	(Expenses \$	including grants of	f\$) (Revenue \$)
4e	Total program service expenses	\$ 66,248,19	5 (Must equal Part IX,	, Lıne 25, column (B).)	

art IV	Checklis	t of Re	auired	Schedules
	CHECKIIS	L UI KE	:uuii eu	Scheuules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1	Yes	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2		No
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3		Νο
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II	4		No
5	Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations. Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? If "Yes," complete Schedule C, Part III	5		
6	Did the organization maintain any donor advised funds or any accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I.	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," complete Schedule D, Part II	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes,"	8		N o
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes,"			N o
10	complete Schedule D, Part IV	9		
10	Did the organization hold assets in term, permanent,or quasi-endowments? If "Yes," complete Schedule D, Part V 🕏	10		N o
11	Did the organization report an amount in Part X, lines 10, 12, 13, 15, or 25? If "Yes," complete Schedule D, Parts VI, VIII, VIII, IX, or X as applicable	11	Yes	
12	Did the organization receive an audited financial statement for the year for which it is completing this return that was prepared in accordance with GAAP? If "Yes," complete Schedule D, Parts XI, XII, and XIII .	12	Yes	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		No
14a	Did the organization maintain an office, employees, or agents outside of the U S ?	14a	Yes	
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the U S ? If "Yes," complete Schedule F, Part I	14b		No
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Part II	15		No
16	Did the organization report on Part IX, column (A), line 3, more than $$5,000$ of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Part III	16		No
17	Did the organization report more than \$15,000 on Part IX, column (A), line 11e? If "Yes," complete Schedule G, Part I	17		Νο
18	Did the organization report more than \$15,000 total on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18		Νο
19	Did the organization report more than \$15,000 on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19		Νο
20	Did the organization operate one or more hospitals? If "Yes," complete Schedule H	20		Νο
21	Did the organization report more than \$5,000 on Part IX, column (A), line 17 If "Yes," complete Schedule I, Parts I and II	21		Νο
22	Did the organization report more than \$5,000 on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22		Νο
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5? If "Yes," complete Schedule J	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer questions 24b-24d and complete Schedule K. If "No," go to question 25	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		N o
ь	Did the organization become aware that it had engaged in an excess benefit transaction with a disqualified person from a prior year? If "Yes," complete Schedule L, Part I	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, or substantial contributor, or to a person related to such an individual? If "Yes," complete Schedule L, Part III	27		No

Part IV Checklist of Required Schedules (Continued)

			165	140
28	During the tax year, did any person who is a current or former officer, director, trustee, or key employee			
а	Have a direct business relationship with the organization (other than as an officer, director, trustee, or employee), or an indirect business relationship through ownership of more than 35% in another entity (individually or collectively with other person(s) listed in Part VII, Section A)? If "Yes," complete Schedule L, Part IV	28a	Yes	
b	Have a family member who had a direct or indirect business relationship with the organization? If "Yes," complete Schedule L, Part IV	28b		Νο
С	Serve as an officer, director, trustee, key employee, partner, or member of an entity (or a shareholder of a professional corporation) doing business with the organization? If "Yes," complete Schedule L, Part IV.	28c	Yes	
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M	30		Νο
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		Νo
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If</i> "Yes," complete Schedule N, Part II	32		Νo
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? If "Yes," complete Schedule R, Part I	33		Νo
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1	34	Yes	
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35		Νo
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2	36		Νo
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		Νo

orm	990 (2008)					Page
	rt V Statements Regarding Other IRS Filings and Tax Complia	nce				
					Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmitt	:al				
	of U.S. Information Returns. Enter -0- if not applicable					
		1a	55			
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	1b	0			
_	Did the organization comply with backup withholding rules for reportable payment		dors and reportable			
Ī	gaming (gambling) winnings to prize winners?			1c		
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Ta					
	Statements filed for the calendar year ending with or within the year covered by the return		457			
ь	If at least one is reported on line 2a, did the organization file all required federal		ent tax returns?			
	Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e	e-file this	return (see	2b	Yes	
	instructions)					
3a	Did the organization have unrelated business gross income of \$1,000 or more dureturn?	-	year covered by this	3a		l No
ь	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in		0	3b		
	At any time during the calendar year, did the organization have an interest in, or					
	over, a financial account in a foreign country (such as a bank account, securities	account	, or other financial	4a		l Na
L	account)?			a		No
Ь	If "Yes," enter the name of the foreign country ►	1 Repor	t of Foreign Bank and			
	Financial Accounts	I, Repor	t of Foreign bank and			
5a	Was the organization a party to a prohibited tax shelter transaction at any time d	luring the	tax year?	5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibit	ed tax sl	nelter transaction?	5b		Νo
С	If "Yes," to question 5a or 5b, did the organization file Form 8886-T, Disclosure	by Tax-E	Exempt Entity Regarding			
	Prohibited Tax Shelter Transaction?			5c		
6a	Did the organization solicit any contributions that were not tax deductible?			6a		No
Ь	If "Yes," did the organization include with every solicitation an express statemen were not tax deductible?		_	6b		
7	Organizations that may receive deductible contributions under section 170(c).					
а	Did the organization provide goods or services in exchange for any quid pro quo	contribut	ion of more than	7a		No
	\$75?					
	If "Yes," did the organization notify the donor of the value of the goods or service			7b		
С	Did the organization sell, exchange, or otherwise dispose of tangible personal profile Form 8282?			7c		No.
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d	1			
е	Did the organization, during the year, receive any funds, directly or indirectly, to benefit contract?			7e		l No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a p			7f		No
	For all contributions of qualified intellectual property, did the organization file For			7g		No
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization					
	required?			7h		No
8	Section 501(c)(3) and other sponsoring organizations maintaining donor advised organizations. Did the supporting organization, or a fund maintained by a sponsor					
	business holdings at any time during the year?		· ·	8		
9	Section 501(c)(3) and other sponsoring organizations maintaining donor advised	f unds.				
а	Did the organization make any taxable distributions under section 4966?			9a		
Ь	Did the organization make a distribution to a donor, donor advisor, or related pers	son? .		9b		
10	Section 501(c)(7) organizations. Enter					
а	Initiation fees and capital contributions included on Part VIII, line 12	10a				
ь	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club	10b				
	facilities					
	Section 501(c)(12) organizations. Enter Gross Income from members or shareholders	11a	I			
	Gross income from other sources (Do not net amounts due or paid to other sources)					
,	against amounts due or received from them)	11b				

12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?

 \boldsymbol{b} . If "Yes," enter the amount of tax-exempt interest received or accrued during the

12a

12b

(212) 564-4545

Part VI Governance, Management, and Disclosure (Sections A, B, and C request information about policies not required by the Internal Revenue Code.)

Se	ction A. Governing Body and Management			
			Yes	No
	For each "Yes" response to lines 2-7b below, and for a "No" response to lines 8 or 9b below, describe the circumstances, process, or changes in Schedule O. See instructions.			
1a	Enter the number of voting members of the governing body 1a 8			
ь	Enter the number of voting members that are independent 1b 7			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2		Νο
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3		No
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?	4		Νο
5	Did the organization become aware during the year of a material diversion of the organization's assets?	5		No
6	Does the organization have members or stockholders?	6	Yes	
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a	Yes	
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	7b	Yes	
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following			
а	The governing body?	8a	Yes	
b	Each committee with authority to act on behalf of the governing body?	8b	Yes	
9a	Does the organization have local chapters, branches, or affiliates?	9a		Νo
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	9b		
10	Was a copy of the Form 990 provided to the organization's governing body before it was filed? All organizations must describe in Schedule O the process, if any, the organization uses to review the Form 990	10	Yes	
11	Is there any officer, director or trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	11		No
Se	ction B. Policies	1		
			Yes	No
	Does the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes	
	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b		Νο
	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c		Νο
13	Does the organization have a written whistleblower policy?	13	Yes	
14	Does the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision			
	The organization's CEO, Executive Director, or top management official?	15a	Yes	
Ь	Other officers or key employees of the organization?	15b	Yes	
	Describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		Νο
Ь	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its			
	participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		
Se	ection C. Disclosure			
17	List the States with which a copy of this Form 990 is required to be filed NY, CA			
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c) (3)s only) available for public inspection. Indicate how you make these available. Check all that apply Own website. Another's website. Upon request			
19	Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public. See Additional Data Table			
20	State the name, physical address, and telephone number of the person who possesses the books and records of the RICHARD GREENWALD 156 WILLIAM STREET NEW YORK, NY 10038	ne orga	ınızatıor	n ▶

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed. Use Schedule J-2 if additional space is needed
 - List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and current key employees Enter -0- in columns (D), (E), and (F) if no compensation was paid
 - List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
 - List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
 - List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

Check this box if the	•	ion did not compensate any officer,	direc	tor,	trus	tee o	rke	y employee		
	(B) Average hours per week	(C) Position (check all that apply)						· (D)	(E)	(F) Estimated
(A) Name and Title		x <u>I</u> ndividual	Institutional Trustee	Officei	Key employee	Highest compensated employee	Former	Reportable compensation from the organization (W- 2/1099- MISC)	Reportable compensation from related organizations (W- 2/1099- MISC)	amount of other compensation from the organization and related organizations
MELVIN WIENER consultant/VP	40 00	Х		х				775,426	0	200,455
LAWRENCE O'NEILL CHAIRMAN	5 00	Х		Х				110,000	0	0
ROBERT BERNSTEIN TRUSTEE	5 00	Х						21,000	0	0
STEPHEN BRODIE TRUSTEE	5 00	Х						21,000	0	0
VINCENT COYNE TRUSTEE	5 00	Х						21,000	0	0
ROBERT CALICO TRUSTEE	5 00	Х						15,000	0	0
JAMES MUNGENAST TRUSTEE	5 00	Х						21,000	0	0
THOMAS PITTS TRUSTEE	5 00	Х						24,000	0	0
LESLIE SELDIN TRUSTEE	5 00	Х						15,000	0	0
THOMAS O'MAHONY TRUSTEE	5 00	Х						6,000	0	0
MARVIN KING PRESIDENT	40 00			х				1,240,084	0	263,972
RICHARD ANNAS VICE PRESIDENT	40 00			х				373,962	0	66,123
ROSLYN RASKIN CORPORATE SECRETARY	40 00			х				257,969	0	54,292
RICHARD GREENWALD VICE PRESIDENT	40 00			х				633,080	0	158,119
JAMES BOWER JR RESEARCH DIRECTOR	40 00				х			301,573	0	89,001
ERNEST PENNINGTON RESEARCH DIRECTOR	40 00				х			268,571	0	74,120
JAMES MOLLISON RESEARCH DIRECTOR	40 00				х			266,509	0	68,466

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

		(C) Position (check all that apply)						(5)	(-)	1	(F)
(A) Name and Title	(B) Average hours		Institu		Ke)	Highest employe		(D) Reportable compensation from the	(E) Reportable compensation from related	amo	mated ount of ther ensation
Name and Title	per week	x <u>I</u> ndividual	Institutional Trustee	Officei	employee	Highest compensated employee	Former	organization (W- 2/1099- MISC)	organizations (W- 2/1099- MISC)	orga and	m the nization related izations
JOEL REIMAN RESEARCH DIRECTOR	40 00				х			203,151		0	40,405
NICK COOROUGH EXEC DIR RESEARCH	40 00					х		323,775		0	96,378
JOHN MORRIS RESEARCH DIRECTOR	40 00					х		252,841		0	30,963
ERNEST FELEPPA RESEARCH DIRECTOR	40 00					х		217,572		0	54,331
WILLIAM JACKSON EXEC DIR BUS OPS	40 00					х		214,310		0	63,821
FERDINAND CIRILLO JR MEMBER RESEARCH STAFF	40 00					x		192,562		0	41,179
			_	-							
				_							
			-	_							
1b Total						<u> </u>		5,775,385	0		1,301,625
		(including those in 1a) who receiv anization►224	ed mo	• ore t		_	,000				1,301,023
										Yes	No
		y former officer, director or trustee te Schedule J for such individual •	e, key •	e m p	oloy •	ee, or	highe •	est compensated	d employee		No
		line 1a, is the sum of reportable c ganizations greater than \$150,000							om the	Yes	
· ·		ne 1a receive or accrue compensat n? <i>If "Yes," complete Schedule J for</i>					ted o	rganization for s	ervices 5		No
Section B. Inde	pendent	Contractors									
		ir five highest compensated indepe n from the organization	ndent	cor	trac	tors t	hat r	eceived more th	an		
,	•	(A) Name and business address							(B) n of services		(C) ensation
										 	

(A) Name and business address	(B) Description of services	(C) Compensation
MACAULEY BROWN INC 4021 EXECUTIVE DRIVE DAYTON, OH 45430	ENGINEERING	1,307,727
NORTHROP GRUMAN MISSION SYSTEM 1 SPACE PARK DRIVE REDONDO BEACH, CA 90278	ENGINEERING	254,145
INNOVATIVE INTEGRATION 2390A WARD AVENUE SIMI VALLEY, CA 93065	ENGINEERING	234,395
THOMAS PEKTKE 7291 MEEKER CREEK DAYTON, OH 45414	ENGINEERING	212,599
HYPER COMP INC 2629 TOWNSGAGE ROAD WESTLAKE VILLAGE, CA 91361	ENGINEERING	195,116
2 Total number of independent contractors (including those in 1) who received more than \$	100,000 in compensation	

				(A) Total revenue	Related or exempt function revenue	Unrelated business revenue	Revenue excluded from tax under sections 512, 513, or 514
2 42	1a	Federated campaigns 1a					
and other similar amounts	b	Membership dues 1b	_				
າ≧∣	С	Fundraising events 1c					
e =	d	Related organizations 1d					
?을	e	Government grants (contributions)					
(등							
<u> </u>	f	All other contributions, gifts, grants, and similar amounts not included above					
₽ 🗧 📗	g	Noncash contributions included in					
<u> </u>		lines 1a-1f \$					
_ s <	h	Total. Add lines 1a-1f	. •				
œ.		В	ısıness Code				
E	2a	SCIENTIFIC Rsrch & DEV	541,700	75,463,064	75,463,064		
32 28	ь						
<u>а</u>	с						
<u> </u>							
33	d						
Ę	е						
Program Service Revenue	f	All other program service revenue					
ΔŤ	g	Total. Add lines 2a-2f		75,463,064			
	 3	Investment income (including dividends, ii		, =,==:			
		and other similar amounts)	. H	59,708			59,708
	4	Income from investment of tax-exempt bond proce					
	5	Royalties					
			ıı) Personal				
	6a	Gross Rents	,				
	Ь	Less rental					
		expenses					
	С	or (loss)					
	d	Net rental income or (loss)	🟲				
		(ı) Securities	(II) O ther				
	7a	Gross amount from sales of					
		assets other					
	ь	than inventory Less cost or					
	_	other basis and sales expenses					
	С	Gain or (loss)					
	d	Net gain or (loss)					
	8a	Gross income from fundraising					
Other Revenue		s of contributions reported on line 1c) See Part IV, line 18					
<u> </u>		a					
<u> </u>	b	Less direct expenses b					
בי ב	С	Net income or (loss) from fundraising even	ts 🟲				
	9a	Gross income from gaming activities See Part IV, line 19					
	b	Less direct expenses b					
	c	Net income or (loss) from gaming activitie	s				
	10a	Gross sales of inventory, less returns and allowances .					
	ь	Less cost of goods sold b					
	c	Net income or (loss) from sales of inventor	ry ►				
			isiness Code				
-	11a	ROYALTIES		10,000			10,000
	ь						•
	с						
	d	All other revenue		40.000			
	е 12	Total. Add lines 11a-11d	· · · ·	10,000 75,532,772	75,463,064		69,708
	12	Total Revenue. Add lines 1h, 2g, 3, 4, 5, 6	a, /d, 8c, ►	13,332,112	, 3,403,004	U	09,708

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.								
	ll other organizations must complete column (A) but are not required to	complete column	ns (B), (C), and (B)	(D). (C)	(D)			
	ot include amounts reported on lines 6b, o, 9b, and 10b of Part VIII.	(A) Total expenses	Program service expenses	Management and general expenses	Fundraising expenses			
1	Grants and other assistance to governments and organizations in the U S $$ See Part IV , line 21 $$							
2	Grants and other assistance to individuals in the U.S. See Part IV, line 22							
3	Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16							
4	Benefits paid to or for members							
5	Compensation of current officers, directors, trustees, and key employees	4,279,848	1,816,474	2,463,374				
6	Compensation not included above, to disqualified persons (as defined under section $4958(f)(1)$) and persons described in section $4958(c)(3)(B)$							
7	Other salaries and wages	39,990,340	39,453,327	537,013				
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	3,592,000	3,463,942	128,058				
9	Other employee benefits	5,747,993	5,114,033	633,960	_			
10	Payroll taxes	2,870,339	2,805,326	65,013				
11	Fees for services (non-employees)							
а	Management							
b	Legal	244,690		244,690				
С	Accounting	81,579		81,579				
d	Lobbying							
e	Professional fundraising See Part IV, line 17							
f	Investment management fees				_			
g	Other							
12	Advertising and promotion							
13	Office expenses	288,080	281,712	6,368	_			
14	Information technology							
15	Royalties							
16	Occupancy	2,814,423	2,712,451	101,972				
17	Travel	4,009,910	3,885,788	124,122				
18	Payments of travel or entertainment expenses for any federal, state, or local public officials							
19	Conferences, conventions, and meetings	317,221	281,146	36,075				
20	Interest	15,289		15,289				
21	Payments to affiliates							
22	Depreciation, depletion, and amortization	663,718	656,133	7,585				
23	Insurance	417,917	415,447	2,470				
24	Other expenses Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below)							
а	CONSULTING	3,698,954	3,649,255	49,699				
b	TELEPHONE	466,436	462,879	3,557				
С	EQUIPMENT RENTAL & MAIN	357,826	357,826					
d	RECRUITMENT	255,899	255,899					
e	MISCELLANEOUS EXPENSES	184,604	184,604					
f	All other expenses	534,959	451,953	83,006				
25	Total functional expenses. Add lines 1 through 24f	70,832,025	66,248,195	4,583,830	0			
26	Joint Costs. Check here ► ☐ If following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation							

Pa	rt X	Balance Sheet					<u> </u>	<u> </u>
					(A) Beginning of year		(B End of	-
	1	Cash—non-interest-bearing			2,866,474	1	1.	4,029,870
	2	Savings and temporary cash investments				2		
	3	Pledges and grants receivable, net				3		
	4	Accounts receivable, net			15,279,562	4	1:	3,560,089
	5	Receivables from current and former officers, directors, trustees other related parties Complete Part II of Schedule L				5		
	6	Receivables from other disqualified persons (as defined under se persons described in section 4958(c)(3)(B) Complete Part II of S	ction	4958(f)(1)) and		6		
	7	Notes and loans receivable, net				7		
\$5	8	Inventories for sale or use				8		
Assets	9	Prepaid expenses and deferred charges			1,800,898	9		1,090,290
₹	10a	Land, buildings, and equipment cost basis	10a	6,464,146				
	Ь	Less accumulated depreciation Complete Part VI of Schedule D	10b	3,441,452	1	10c	;	3,022,694
	11	Investments—publicly traded securities				11		
	12	Investments—other securities See Part IV, line 11	3,003,910	12				
	13	Investments—program-related See Part IV, line 11	189,424	13		190,967		
	14	Intangible assets			100,121	14		,
	15	Other assets See Part IV, line 11			61,338	15		52,337
	16	Total assets. Add lines 1 through 15 (must equal line 34)		•	25,271,422	16	3	1,946,247
	17	Accounts payable and accrued expenses .	•		7,324,796	17		8,687,887
	18	Grants payable			7,024,700	18		
	19	Deferred revenue			208,546	19		214,998
	20	Tax-exempt bond liabilities			200,540	20		214,330
<u>ن</u>				21				
Liabilities	21 Escrow account liability Complete Part IV of Schedule D 22 Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified					21		
Ē	persons Complete Part II of Schedule L					22		
_	23	Secured mortgages and notes payable to unrelated third parties	223,565	23		165,758		
	24	Unsecured notes and loans payable	-	-	,	24		<u> </u>
	25	Other liabilities Complete Part X of Schedule D	_		3,574,417	25		4,236,759
	26	Total liabilities. Add lines 17 through 25	•		11,331,324	26		3,305,402
— Э		Organizations that follow SFAS 117, check here ► ✓ and complethrough 29, and lines 33 and 34.	ete li	nes 27	11,001,021	20		
Balance	27	Unrestricted net assets			13,940,098	27	18	8,640,845
<u>छ</u>	28	Temporarily restricted net assets				28		
<u> </u>	29	Permanently restricted net assets				29		
Fund	23	Organizations that do not follow SFAS 117, check here ► ☐ and lines 30 through 34.	d com	plete		2.5		
ō	30	Capital stock or trust principal, or current funds	_			30		
sets	31	Paid-in or capital surplus, or land, building or equipment fund .				31		
ASS	32	Retained earnings, endowment, accumulated income, or other fur				32		
Net /	33	Total net assets or fund balances			13,940,098	33	1;	8,640,845
ž	34	Total liabilities and net assets/fund balances			25,271,422	34		1,946,247
Pa	art XI Financial Statements and Reporting							1,040,247
		- mandar statements and reporting					Vac	No
1	Acco	unting method used to prepare the Form 990	Accru	ial 「Other			Yes	No
2a	Were	the organization's financial statements compiled or reviewed by a	ın ınd	ependent account	ant?	2a		Νo
b	Were	the organization's financial statements audited by an independen	tacco	ountant?		2b	Yes	
C		es" to 2a or 2b, does the organization have a committee that assu , review, or compilation of its financial statements and selection o				2c	Yes	
3a	Sıngl	result of a federal award, was the organization required to undergoe Audit Act and OMB Circular A-133?				3a	Yes	
b	If "Y €	es," did the organization undergo the required audit or audits? .				3b	Yes	

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As Filed Data -

DLN: 93493250005520

Employer identification number

OMB No 1545-0047

SCHEDULE A

(Form 990 or 990EZ)

Department of the Treasury Internal Revenue Service

Name of the organization

Public Charity Status and Public Support

To be completed by all section 501(c)(3) organizations and section 4947(a)(1)nonexempt charitable trusts. Attach to Form 990 or Form 990-EZ. See separate instructions.

Open to Public Inspection

RIVERS	SIDE R	ESEARCH INST	ITUTE						250224			
Par	+ T	Peacon	for Public C	harity Status (to be co	mnlatad	hy all or	aanizatio		-259324 <i>-</i> Instruct			
				ation because it is (Please	•	-			msuucu	.10113)		
1				iurches, or association of ch					A)(i).			
2	,	•		ion 170(b)(1)(A)(ii). (Attac				-, -(-,(-,	/ (-/-			
3	_			e hospital service organizati			tion 170(l	b)(1)(A)(i	ii). (Attac	h Schedu	le H)	
4	Ė	•	•	zation operated in conjuncti			•	, , , , , , ,	• •		•	
	•		name, city, and	•					()()	. , ,		
5	Г	•		or the benefit of a college or	universit	v owned o	r operated	l by a gove	rnmental	unıt desc	rıbed ın	
	•	_	•	(Complete Part II)		•		, ,				
6	Г		ral, state, or local government or governmental unit described in Section 170(b)(1)(A)(v).									
7	Ė	•		ally receives a substantial p						n the gene	eral public	2
	·	=)(1)(A)(vi) (Complete Par		• •	•			-	•	
8	Г		-	ed in Section 170(b)(1)(A)	•	nplete Par	tII)					
9	V	An organiza	ation that norma	ally receives (1) more than	331/3% 0	fits supp	ort from c	ontributior	ıs, membe	rship fees	s, and gro	SS
		receipts fro	m activities rel	ated to its exempt functions	s—subject	to certair	nexceptio	ns, and (2) no more	than 331/	/3% of	
		ıts support	from gross inve	estment income and unrelate	ed busines	ss taxable	ıncome (l	ess sectio	on 511 ta:	x) from bu	sinesses	
		acquired by	the organization	on after June 30, 1975 See	Section 5	609(a)(2).	(Complete	e Part III)			
10	Γ	Anorganiza	atıon organızed	and operated exclusively to	test for p	ublic safe	ty See Se	ction 509	(a)(4). (S	ee instruc	tions)	
11	Γ	An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of										
				orted organizations describe						Section 5	09(a)(3)	.Check
		the box tha		type of supporting organiza Type II c		- Function			h d	□ Type	iIII - Otl	har
e	\vdash	·		rtify that the organization is			-					
_	'	•		agers and other than one or			•			•	•	
		section 50			•	, , , ,	•					,
f				d a written determination fro	m the IRS	5 that it is	a Type I,	Type II o	r Type III	supportir	ng organiz	zation,
		check this		as the organization accepted	d any gift	or contrib	ution from	any of the				J
g		following pe		as the organization accepted	a any gnt	or contrib		any or the	•			
		(i) a perso	n who directly o	r indirectly controls, either a	alone or to	gether wi	th persons	describe	d ın (ıı)		Yes	No
		and (111) bel	ow, the governi	ng body of the the supported	d organiza	tion?				11g	(i)	
		(ii) a family	/ member of a p	erson described in (i) above	?					11g((ii)	
		(iii) a 35%	controlled enti	ty of a person described in (i) or (ii) al	bove?				11g(iii)	
h		Provide the	following inform	nation about the organizatio	ns the org	ganızatıon	supports					
					T				1		1	
		ame of	(ii) EIN	(iii) Type of organization		sthe		ou notify		sthe	1	nount of
,		oorted nization		(described on lines 1-9 above or IRC section	_	ation in Iisted in		inization i) of your		ation in organized	supp	ort
`	Jigai	iizatioii		(See Instructions))		verning		ort?		US?		
						ment?						
					Yes	No	Yes	No	Yes	No		

Total

Part II	Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
	(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Pι	ıblic Support		, ,	,				
Cale	endar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e)	2008	(f) Total
1	Gifts, grants, contributions, and							
	membership fees received (Do not							
	include any "unusual grants ")							
2	Tax revenues levied for the organization's							
	benefit and either paid to or expended on							
_	its behalf The value of services or facilities					 		
3	furnished by a governmental unit to the							
	organization without charge							
4	Total. Add line 1-3					1		
5	The portion of total contribution by each							
5	person (other than a government unit or							
	publicly supported organization) included							
	on line 1 that exceed 2% of the amount							
	shown on line 11, column							
	· (f)							
6	Public Support subtract line 5 from line							
	4							
	otal Support		1		T			
	endar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) :	2008	(f) Total
7	A mounts from line 4							
8	Gross income from interest, dividends,							
	payments received on securities loans,							
	rents, royalties and income from similar							
_	sources							
9	Net income from unrelated business							
	activities, whether or not the business is regularly carried on							
10	Other income Do not include gain or loss							
10	from the sale of capital assets (Explain in							
	Part IV)							
11	Total Support (Add lines 7 through 10)							
12	Gross receipts from related activities, etc	(See instructio	ns)		•	12		
13	First Five Years. If the Form 990 is for the	organization's f	irst second thu	d fourth or fifth	ntay vearas a F		3)	
	organization, check this box and stop here		mat, second, tim	u, rouren, or mer	rtax year as a s	/O1(C)(C	• •	▶ □
								•
Co	omputation of Public Support Perc	entage						
14	Public Support Percentage for 2008 (line 6	5 column (f) dıvı	ded by line 11 c	olumn (f))		14		
15								
16a	33 1/3% Test - 2008. If the organization di	d not check the	box on line 13.	and line 14 is 3	3 1/3% or more.		this box	
	and stop here. The organization qualifies a				,			▶ □
b	33 1/3% Test - 2007. If the organization d				15 is 33 1/3% d	r more,	check th	
	box and stop here. The organization qualifi	es as a publicly	supported orga	nızatıon				▶ □
17a	10% Facts and Circumstances Test - 2008.							
	more, and if the organization meets the "fa		•					· —
	organization meets the "facts and circums							► □
Ь	10% Facts and Circumstances Test - 2007.							
	more, and if the organization meets the "fa		•					_
4.0	the organization meets the "facts and circu							n ▶
18	Private Foundation. If the organization did	not check the b	oux on line 13, 1	oa, 160, 1/a or	1/D, check this	oox an	u see	▶ □
	ınstructions							F-1

Part III Support Schedule for Organizations Described in IRC 509(a)(2)

(Complete only if you checked the box on line 9 of	imbiete oniv	vou	cneckea	tne box	on	iine	9	or Part 1
--	--------------	-----	---------	---------	----	------	---	-----------

	(Complete only if you check						
	ction A. Public Support						
Cale	ndar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
1	Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants")						
2	Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's taxexempt purpose	39,309,868	49,673,657	57,936,715	64,948,156	75,463,064	287,331,460
3	Gross receipts from activities that are not an unrelated trade or business under section 513						
4 5	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf The value of services or facilities						
	furnished by a governmental unit to the organization without charge	20, 200, 969	49,673,657	F7 026 71F	64,948,156	75 462 064	287,331,460
	Total Add lines 1-5 A mounts included on lines 1, 2, and 3 received from disqualified persons	39,309,868	49,673,637	57,936,715	64,948,136	75,463,064	287,331,460
	A mounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of 1% of the total of lines 9, 10c, 11, and 12 for the year or \$5,000						
	Total of lines 7a and 7b						
8	Public Support (Substract line 7c from						287,331,460
	line 6)						
10	tal Support			() 2 2 2 5	(1) 2027	() 2000	
	ndar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
Cale	A mounts from line 6	(a) 2004 39,309,868	(b) 2005 49,673,657	57,936,715	64,948,156	75,463,064	287,331,460
	· _ · _ · _ · · · _ · _						
9	A mounts from line 6 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources Unrelated business taxable income (less section 511 taxes) from businesses acquired after 30 June, 1975	39,309,868 71,683	49,673,657 161,461	57,936,715 127,421	64,948,156 76,544	75,463,064 59,708	287,331,460 496,817
9 10a	A mounts from line 6 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources Unrelated business taxable income (less section 511 taxes) from businesses acquired after 30 June, 1975 Add lines 10a and 10b	39,309,868	49,673,657	57,936,715	64,948,156	75,463,064	287,331,460
9 10a b	A mounts from line 6 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources Unrelated business taxable income (less section 511 taxes) from businesses acquired after 30 June, 1975 Add lines 10a and 10b Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on	39,309,868 71,683	49,673,657 161,461	57,936,715 127,421	64,948,156 76,544	75,463,064 59,708	287,331,460 496,817
9 10a b	A mounts from line 6 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources Unrelated business taxable income (less section 511 taxes) from businesses acquired after 30 June, 1975 Add lines 10a and 10b Net income from unrelated business activities not included in line 10b, whether or not the business is regularly	39,309,868 71,683	49,673,657 161,461	57,936,715 127,421	64,948,156 76,544	75,463,064 59,708	287,331,460 496,817
9 10a b c 11	A mounts from line 6 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources Unrelated business taxable income (less section 511 taxes) from businesses acquired after 30 June, 1975 Add lines 10a and 10b Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV) Total Support (Add lines 9, 10c, 11 and 12)	39,309,868 71,683 71,683	49,673,657 161,461 161,461	57,936,715 127,421 127,421	64,948,156 76,544 76,544	75,463,064 59,708 59,708	287,331,460 496,817 496,817 10,000 287,838,277
9 10a b c 11 12 13 14	A mounts from line 6 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources Unrelated business taxable income (less section 511 taxes) from businesses acquired after 30 June, 1975 Add lines 10a and 10b Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV) Total Support (Add lines 9, 10c, 11 and 12) First Five Years If the Form 990 is for the ocheck this box and stop here	39,309,868 71,683 71,683 rganization's fire	49,673,657 161,461 161,461	57,936,715 127,421 127,421	64,948,156 76,544 76,544	75,463,064 59,708 59,708	287,331,460 496,817 496,817 10,000 287,838,277
9 10a b c 11 12 13 14	A mounts from line 6 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources Unrelated business taxable income (less section 511 taxes) from businesses acquired after 30 June, 1975 Add lines 10a and 10b Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV) Total Support (Add lines 9, 10c, 11 and 12) First Five Years If the Form 990 is for the ocheck this box and stop here	39,309,868 71,683 71,683 rganization's fire	49,673,657 161,461 161,461 st, second, third	57,936,715 127,421 127,421 , fourth, or fifth t	64,948,156 76,544 76,544	75,463,064 59,708 59,708	287,331,460 496,817 496,817 10,000 287,838,277
9 10a b c 11 12 13 14	A mounts from line 6 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources Unrelated business taxable income (less section 511 taxes) from businesses acquired after 30 June, 1975 Add lines 10a and 10b Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV) Total Support (Add lines 9, 10c, 11 and 12) First Five Years If the Form 990 is for the ocheck this box and stop here	71,683 71,683 71,683 rganization's fire	49,673,657 161,461 161,461 st, second, third	57,936,715 127,421 127,421 , fourth, or fifth t	64,948,156 76,544 76,544	75,463,064 59,708 59,708	287,331,460 496,817 496,817 10,000 287,838,277
9 10a b c 11 12 13 14 Co 15 16	A mounts from line 6 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources Unrelated business taxable income (less section 511 taxes) from businesses acquired after 30 June, 1975 Add lines 10a and 10b Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV) Total Support (Add lines 9, 10c, 11 and 12) First Five Years If the Form 990 is for the ocheck this box and stop here mputation of Public Support Percet Public Support Percentage for 2008 (line 8)	rganization's fire	49,673,657 161,461 161,461 st, second, third	57,936,715 127,421 127,421 , fourth, or fifth t	64,948,156 76,544 76,544	75,463,064 59,708 59,708 10,000	287,331,460 496,817 496,817 10,000 287,838,277
9 10a b c 11 12 13 14 Co 15 16	A mounts from line 6 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources Unrelated business taxable income (less section 511 taxes) from businesses acquired after 30 June, 1975 Add lines 10a and 10b Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV) Total Support (Add lines 9, 10c, 11 and 12) First Five Years If the Form 990 is for the ocheck this box and stop here mputation of Public Support Percet Public Support Percentage for 2008 (line 8) Public Support Percentage for 2007 Sched	rganization's fire	49,673,657 161,461 161,461 st, second, third ed by line 13 cc	57,936,715 127,421 127,421 , fourth, or fifth tolumn (f))	64,948,156 76,544 76,544	75,463,064 59,708 59,708 10,000	287,331,460 496,817 496,817 10,000 287,838,277 ation,
9 10a b c 11 12 13 14 Co 15 16	A mounts from line 6 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources Unrelated business taxable income (less section 511 taxes) from businesses acquired after 30 June, 1975 Add lines 10a and 10b Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV) Total Support (Add lines 9, 10c, 11 and 12) First Five Years If the Form 990 is for the ocheck this box and stop here mputation of Public Support Percet Public Support Percentage for 2008 (line 8)	rganization's fire rganization's fire entage column (f) dividule A, Part IV - A Percentage ne 10c column (f)	49,673,657 161,461 161,461 st, second, third ed by line 13 co , line 27g	57,936,715 127,421 127,421 , fourth, or fifth tolumn (f))	64,948,156 76,544 76,544	75,463,064 59,708 59,708 10,000	287,331,460 496,817 496,817 10,000 287,838,277 ation,

	ie 13 column (f))	f) divided by	(line 10c column (f	e for 2008	Percentage	Investment Income	17
--	-------------------	---------------	---------------------	------------	------------	-------------------	----

19a 33 1/3% Tests - 2008. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

33 1/3% Tests - 2007. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and

line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions



▶▽

Part IV	Supplemental Information. Complete this part to provide the information required by Part II, line 10; Part II, line 17a or 17b, or Part III, line 12. Provide and any other additional information. (see instructions)							
	Facts and Circumstances Test							

Schedule A (Form 990 or 990-EZ) 2008

Additional Data

Software ID:

Software Version:

EIN: 13-2593244

Name: RIVERSIDE RESEARCH INSTITUTE

Form 990, Part IX - Statement of Functional Expenses - 24a - 24e Other Expenses

Do not include amounts reported on line 6b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
CONSULTING	3,698,954	3,649,255	49,699	
TELEPHONE	466,436	462,879	3,557	
EQUIPMENT RENTAL & MAIN	357,826	357,826		
RECRUITMENT	255,899	255,899		
MISCELLANEOUS EXPENSES	184,604	184,604		

OMB No 1545-0047

Open to Public Inspection

SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service

▶ Attach to Form 990. To be completed by organizations that answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.

Supplemental Financial Statements

	me of the organization ERSIDE RESEARCH INSTITUTE		Employer identification number				
Рa	rt I Organizations Maintaining Donor A	dvised Funds or Other Similar Fu	13-25932 unds or Ac				
	organization answered "Yes" to Form 99						
		(a) Donor advised funds	(b) Fun	ds and other accounts			
•	Total number at end of year						
	Aggregate contributions to (during year)						
3	Aggregate grants from (during year)						
ļ	Aggregate value at end of year						
i	Did the organization inform all donors and donor advi funds are the organization's property, subject to the	-	or advised	┌ Yes			
)	Did the organization inform all grantees, donors, and used only for charitable purposes and not for the ben impermissible private benefit?			┌ Yes ┌ No			
Pai	t II Conservation Easements. Complete	ıf the organization answered "Yes" to	<u>o Form 990,</u>	Part IV, line 7.			
2	Purpose(s) of conservation easements held by the o Preservation of land for public use (e.g., recreating Protection of natural habitat Preservation of open space Complete lines 2a-2d if the organization held a qual on the last day of the tax year	on or pleasure) Preservation of an Preservation of ce	rtified historic	: structure			
				Held at the End of the Year			
а	Total number of conservation easements		2a				
b	Total acreage restricted by conservation easement	2b					
c	Number of conservation easements on a certified h	2c					
d		2d					
u	Number of conservation easements included in (c)	,		<u> </u>			
•	Number of conservation easements modified, transfe	erred, released, extinguished, or terminate	d by the orga	nization during			
	the taxable year ▶						
	Number of states where property subject to conserva	ation easement is located ►					
i	Does the organization have a written policy regarding enforcement of the conservation easements it holds?		ations, and	☐ Yes ☐ No			
,	Staff or volunteer hours devoted to monitoring, inspe	cting and enforcing easements during the	year ►				
'	A mount of expenses incurred in monitoring, inspecti	ng, and enforcing easements during the ye	ear ► \$				
;	Does each conservation easement reported on line 2 $170(h)(4)(B)(I)$ and $170(h)(4)(B)(II)$?	2 (d) above satisfy the requirements of sec	tion	┌ Yes ┌ No			
)	In Part XIV, describe how the organization reports of balance sheet, and include, if applicable, the text of t the organization's accounting for conservation easer	the footnote to the organization's financial	•	•			
ar	Complete if the organization answered '		or Other Si	imilar Assets.			
.a	If the organization elected, as permitted under SFAS art, historical treasures, or other similar assets held provide, in Part XIV, the text of the footnote to its fir	for public exhibition, education or research	ch in furtheran				
b	If the organization elected, as permitted under SFAS historical treasures, or other similar assets held for provide the following amounts relating to these items	public exhibition, education, or research ir		•			
	(i) Revenues included in Form 990, Part VIII, line 1		ı	► \$			
	(ii) Assets included in Form 990, Part X		.	\$			
2	If the organization received or held works of art, hist- following amounts required to be reported under SFA	·		'			

Revenues included in Form 990, Part VIII, line 1

Assets included in Form 990, Part X

▶ \$

Part	IIII Organizations Maintaining Co	llections of Art	t, His	tori	cal T	reasu	ires, or C	<u> Othe</u>	r Similar	Asse	ts (co	ntınued)
3	Using the organization's accession and othe items (check all that apply)	r records, check an	y of th	ie foll	owing	that ar	e a sıgnıfıc	ant u	ise of its co	llection	1	
а	Public exhibition		d	Γ	Loan	orexc	hange prog	rams				
b	Scholarly research		e	Γ	O the	r						
С	Preservation for future generations											
4	Provide a description of the organization's co	ollections and expla	aın hov	v the y	/ furth	erthe	organızatıoı	n's ex	empt purpo	ose in		
5	During the year, did the organization solicit of assets to be sold to raise funds rather than t								nılar	Г	Yes	┌ No
Par	Trust, Escrow and Custodial A Part IV, line 9, or reported an an						anızatıon a	answ	ered "Yes	" to Fo	rm 9	90,
1a	Is the organization an agent, trustee, custod included on Form 990, Part X?	ıan or other ınterm	ediary	for c	ontribi	utions	or other ass	sets	not	Г	Yes	Г No
b	If "Yes," explain why in Part XIV and comple	te the following tab	le				Г			A mou	nt	
с	Beginning balance						ŀ	1c		Aillou		
d	Additions during the year						<u> </u>	1d				
e	Distributions during the year						ŀ	1e				
f	Ending balance						}	1f				
	S	000 B V I	. 212				L				Yes	
2a	Did the organization include an amount on Fo		e 21′							,	res	No
	If "Yes," explain the arrangement in Part XIV				-d !!\/-a		Farm 000	Day	at TV Juna	10		
24	t V Endowment Funds. Complete	(a)Current Year		Prior \			o Years Back		Three Years B)Four Ye	ears Back
1a	Beginning of year balance	(,	ν- /			()		'				
ь	Contributions											
c	Investment earnings or losses											
d	Grants or scholarships											
e	Other expenditures for facilities											
	and programs											
f	Administrative expenses											
g	End of year balance											
2	Provide the estimated percentage of the yea	r end balance held	as									
а	Board designated or quasi-endowment 🕨											
ь	Permanent endowment											
c	Term endowment ▶											
3a	Are there endowment funds not in the posses	ssion of the organiz	ation t	that a	re hel	d and a	admınıstere	d for	the			
	organization by	-							•		Yes	No
	(i) unrelated organizations			•				•		3a(i)		
	(ii) related organizations							•		3a(ii)		
_			d on S	ched	ule R?					3b		
	If "Yes" to 3a(II), are the related organizatio											
4	Describe in Part XIV the intended uses of th	e organization's en	dowme	ent fu	nds		V 1	10				
4		e organization's en	dowme	ent fu ee F	nds orm 9	90, P			I			
4	Describe in Part XIV the intended uses of th	e organization's en	dowme	ent fu ee F	nds	990, Parother	(b)Cost or o	other	(c) Deprec	ation	(d) Bo	ok value
4 Par	Describe in Part XIV the intended uses of the tVI Investments—Land, Buildings	e organization's en	dowme	ent fu ee F	nds orm 9 Cost o	990, Parother	(b)Cost or o	other		ation	(d) Bo	ok value
4 Par	Describe in Part XIV the intended uses of the tVI Investments—Land, Buildings Description of investment	e organization's en	dowme	ent fu ee F	nds orm 9 Cost o	990, Parother	(b)Cost or o	other		ation	(d) Bo	ok value
Par 1a L b E	Describe in Part XIV the intended uses of the tVI Investments—Land, Buildings Description of investment	e organization's en	dowme	ent fu ee F	nds orm 9 Cost o	990, Parother	(b)Cost or o	other	(c) Deprec	98,947		ok value 1,722,927
1a l b E	Describe in Part XIV the intended uses of the tVI Investments—Land, Buildings Description of investment and	e organization's en	dowme	ent fu ee F	nds orm 9 Cost o	990, Parother	(b)Cost or obasis (oth	other er)	(c) Depreci			
Par la l b E c l d E	Describe in Part XIV the intended uses of the tVI Investments—Land, Buildings Description of investment and	e organization's en	dowme	ent fu ee F	nds orm 9 Cost o	990, Parother	(b)Cost or obasis (oth	other er) 1,874	(c) Depreci	98,947		1,722,927

(a) Description of security or category		(c) Method of valuation
(including name of security)	(b)Book value	Cost or end-of-year market value
Financial derivatives and other financial products		
Closely-held equity interests		
Tabl. (Caluma (b) should assel 5 mm 2000 Bart V and (B) for 42)		
Total. (Column (b) should equal Form 990, Part X, col (B) line 12) Part VIII Investments—Program Related. Se		13
		(c) Method of valuation
(a) Description of investment type	(b) Book value	Cost or end-of-year market value
Total. (Column (b) should equal Form 990, Part X, col (B) line 13) Part IX Other Assets. See Form 990, Part X, II		
Part IX Other Assets. See Form 990, Part X, II (a) Descri		(b) Book value
(2) 2 3 3 3 3	, F. 1. 5 1.	(5) 25511 12/45
Total. (Column (b) should equal Form 990, Part X, col.(B) line	15.)	
Part X Other Liabilities. See Form 990, Part	X, line 25.	
(a) Description of Liability	(b) A mount	
Federal Income Taxes		
OTHER LIABILITIES	3,399,883	
DEFERRED RENT	836,876	
Table (Calum (b) about a surface 200 C 111 112)		
Total. (Column (b) should equal Form 990, Part X, col (B) line 25) ▶	4,236,759	

	XI Reconciliation of Change in Net Assets from Form 990 to Financial Statement	nts	
1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	75,532,772
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	70,832,025
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	4,700,747
4	Net unrealized gains (losses) on investments	4	
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	
9	Total adjustments (net) Add lines 4 - 8	9	0
10	Excess or (deficit) for the year per financial statements. Combine lines 3 and 9	10	4,700,747
Part	XII Reconciliation of Revenue per Audited Financial Statements With Revenue	oer R	
1	Total revenue, gains, and other support per audited financial		75,532,772
	statements	1	
2	A mounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains on investments		
Ь	Donated services and use of facilities		
C	Recoveries of prior year grants		
d	Other (Describe in Part XIV) 2d	_	_
е	Add lines 2a through 2d	2e	0
3	Subtract line 2e from line 1	3	75,532,772
4	A mounts included on Form 990, Part VIII, line 12, but not on line 1		
а	Investment expenses not included on Form 990, Part VIII, line 7b . 4a		
Ь	Other (Describe in Part XIV)		
C	Add lines 4a and 4b	4c	0
5	Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12)	5	75,532,772
жи. 1	Reconciliation of Expenses per Audited Financial Statements With Expenses Total expenses and losses per audited financial statements	s per	70,832,025
2	Amounts included on line 1 but not on Form 990, Part IX, line 25	<u> </u>	70,032,023
a	Donated services and use of facilities		
ь	Prior year adjustments	ł	
c	Losses reported on Form 990, Part IX, line 25		
d	Other (Describe in Part XIV)		
e	Add lines 2a through 2d	2e	0
3	Subtract line 2e from line 1	3	70,832,025
4	A mounts included on Form 990, Part IX, line 25, but not on line 1:	<u> </u>	, , , , , , , , , , , , , , , , , , , ,
a	Investment expenses not included on Form 990, Part VIII, line 7b 4a		
b	Other (Describe in Part XIV) 4b	-	
c	Add lines 4a and 4b	4c	0
-			
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18)	5	70,832,025

Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b

Identifier Return Reference Explanation

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93493250005520

OMB No 1545-0047

Schedule J (Form 990)

Department of the Treasury

Internal Revenue Service

Compensation Information

Compensated Employees

Attach to Form 990. To be completed by organizations that answered "Yes" to Form 990, Part IV, line 23.

For certain Officers, Directors, Trustees, Key Employees, and Highest

2008

Open to Public Inspection

Name of the organization RIVERSIDE RESEARCH INSTITUTE **Employer identification number**

13-2593244

Pa	rt I Questions Regarding Compensatio	n				
					Yes	Νo
1a	Check the appropriate box(es) if the organization pro 990, Part VII, Section A, line 1a Complete Part III					
	First class or charter travel		Housing allowance or residence for personal use			
	▼ Travel for companions	Г	Payments for business use of personal residence			
	Tax idemnification and gross-up payments		Health or social club dues or initiation fees			
	Discretionary spending account	Г	Personal services (e g , maid, chauffeur, chef)			
b	If line 1a is checked, did the organization follow a w provision of all the expenses described above? If "N			1b		No
2	Did the organization require substantiation prior to officers, directors, trustees, and the CEO/Executive			2		No
3	Indicate which, if any, of the following the organizatiorganization's CEO/Executive Director Check all the					
	✓ Compensation committee	Г	Written employment contract			
	✓ Independent compensation consultant	<u> </u>	Compensation survey or study			
	Form 990 of other organizations	ন	Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990,	Part V I	I, Section A, line 1a			
а	Receive a severance payment or change of control [paymen	t?	4a		No
b	Participate in, or receive payment from, a suppleme	ntal nor	nqualified retirement plan?	4b		Νo
c	Participate in, or receive payment from, an equity-b	ased co	ompensation arrangement?	4c		Νο
	If "Yes" to any of lines 4a-c, list the persons and pr	ovide tl	he applicable amounts for each item in Part III			
	501(c)(3) and 501(c)(4) organizations only must co	mplete	lines 5-8.			
5	For persons listed in form 990, Part VII, Section A, compensation contingent on the revenues of	line 1a	, did the organization pay or accrue any			
а	The organization?			5a		Νo
b	Any related organization?			5b		Νo
	If "Yes," to line 5a or 5b, describe in Part III					
6	For persons listed in form 990, Part VII, Section A, compensation contingent on the net earnings of	line 1a	, did the organization pay or accrue any			
а	The organization?			6a		No
b	Any related organization?			6b		No
	If "Yes," to line 6a or 6b, describe in Part III					
7	For persons listed in form 990, Part VII, Section A, payments not described in lines 5 and 6? If "Yes," (, ,	7	Yes	
8	Were any amounts reported in Form 990, Part VII, subject to the initial contract exception described in Part III			8		No

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(I)-(III) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred	(D) Nontaxable	(E) Total of columns	(F) Compensation
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other compensation	compensation	benefits	(B)(ı)-(D)	reported in prior Form 990 or Form 990-EZ
MELVIN WIENER	(1) (11)	491,730	277,000	6,696	178,840	21,615	975,881	781,11
MARVIN KING	(ı) (ıı)	703,956	532,000	4,128	241,917	22,055	1,504,056	1,231,65
RICHARD ANNAS	(ı) (ıı)	272,200	100,000	1,762	64,733	1,390	440,085	371,27
ROSLYN RASKIN	(ı) (ıı)	182,302	73,500	2,167	46,000	8,292	312,261	289,39
RICHARD GREENWALD	(ı) (ıı)	405,100	226,000	1,980	135,124	22,995	791,199	640,22
JAMES BOWER JR	(ı) (ıı)	246,024	55,000	549	71,387	17,614	390,574	262,14
ERNEST PENNINGTON	(ı) (ıı)	212,234	55,000	1,337	52,509	21,611	342,691	220,53
JAMES MOLLISON	(ı) (ıı)	210,679	55,000	830	67,314	1,152	334,975	217,36
JOEL REIMAN	(ı) (ıı)	162,940	40,000	211	20,750	19,655	243,556	
NICK COOROUGH	(I) (II)	267,637	55,000	1,138	78,764	17,614	420,153	282,35
JOHN MORRIS	(I) (II)	226,425	25,000	1,416	25,573	5,390	283,804	
ERNEST FELEPPA	(ı) (ıı)	215,005		2,567	43,915	10,416	271,903	
WILLIAM JACKSON	(ı) (ıı)	163,374	48,000	2,936	43,350	20,471	278,131	
FERDINAND CIRILLO JR	(ı) (ıı)	189,118		3,444	19,564	21,615	233,741	
	(i)							
	(ii)							

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8 Also complete this part for any additional information

Ident if ier	Ret urn Ref erence	Explanation
	Part I, Line 1a	The Institute paid for spousal travel for key employees at company functions approximately two times a year
	Part I, Line 7	As part of its officer compensation-setting process (described in Schedule O comments to Part VI, lines 15 a and b) the Board initially establishes a potential bonus amount for each officer early in the compensation year, and approves the actual amount to be paid later in the year (which amount is listed in Schedule J, Part II, column B(ii)) The Board considers the achievements and performance of the Institute, each officer's performance and contributions to RRI's mission, and the Institute's financial standing, when approving such bonuses

Software ID: Software Version:

EIN: 13-2593244

Name: RIVERSIDE RESEARCH INSTITUTE

Form 990, Schedule J, Pa	rt I	I - Officers, Direc	tors, Trustees, Ke	y Employees, and	Highest Compens	sated Employees		
(A) Name		(B) Breakdown of	W-2 and/or 1099-MIS	3C compensation	(C) Deferred	(D) Nontaxable	(E) Total of columns	(F) Compensation
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation	compensation	benefits	(B)(ı)-(D)	reported in prior Form 990 or Form 990-EZ
MELVIN WIENER	(ı) (ıı)	491,730	277,000	6,696	178,840	21,615	975,881	781,118
MARVIN KING	(ı) (ıı)	703,956	532,000	4,128	241,917	22,055	1,504,056	1,231,659
RICHARD ANNAS	(I) (II)	272,200	100,000	1,762	64,733	1,390	440,085	371,270
ROSLYN RASKIN	(I) (II)	182,302	73,500	2,167	46,000	8,292	312,261	289,398
RICHARD GREENWALD	(I) (II)		226,000	1,980	135,124	22,995	791,199	640,229
JAMES BOWER JR	(I) (II)		55,000	549	71,387	17,614	390,574	262,146
ERNEST PENNINGTON	(ı) (ıı)	212,234	55,000	1,337	52,509	21,611	342,691	220,534
JAMES MOLLISON	(ı) (ıı)	210,679	55,000	830	67,314	1,152	334,975	217,363
JOEL REIMAN	(I) (II)	162,940	40,000	211	20,750	19,655	243,556	
NICK COOROUGH	(I) (II)		55,000	1,138	78,764	17,614	420,153	282,357
JOHN MORRIS	(I) (II)	226,425	25,000	1,416	25,573	5,390	283,804	
ERNEST FELEPPA	(ı) (ıı)	215,005		2,567	43,915	10,416	271,903	
WILLIAM JACKSON	(ı) (ıı)	163,374	48,000	2,936	43,350	20,471	278,131	
FERDINAND CIRILLO JR	(I) (II)			3,444	19,564	21,615	233,741	

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DLN: 93493250005520

OMB No 1545-0047

Open to Public Inspection

Schedule L

(Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

► Attach to Form 990 or Form 990-EZ. ► To be completed by organizations that answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V lines 38b or 40b.

Transactions with Interested Persons

Name of the organization RIVERSIDE RESEARCH INSTITUTE

Employer identification number 13-2593244

To be completed by organization		163 011	101111 330, Fait IV	, mie	23a UI 25L	, or r	01111 9	30-EZ		c) Corr	
1 (a) Name of disqualifie	d person		(b) Des	criptio	on of trans a	iction			<u> </u>	Yes	No.
										103	110
2 Enter the amount of tax imposed on section 4958	the organization r	_	or disqualified pers			earui	nder F	\$			
3 Enter the amount of tax, If any, on III	ne 2, above, reimb	oursed by	the organization .				•	\$			
Part II Loans to and/or From	Interested P	ersons									
To be completed by organiza	tions that answer	ed "Yes"	on Form 990, Part	IV, lıı	ne 26, or Fo	rm 9	90-E	Z, Part	V , line	38a	
	(b) Loan to or					7-3	T	(f	-		
(a) Name of interested person and	from the	(c)	Original principal	(d) Ba	alance due	(e) In		Appr by boa		agree	ritten ment?
purpose	organization?		amount					committee		e?	
	To From	ו				Yes	No	Yes	No	Yes	No
otal			> \$					<u> </u>			
art III Grants or Assistance B To be completed by organ	enefitting Int	erested	l Persons	90, F	art IV, lın	e 27					
(a) Name of interested person			ween interested pe		(c)A m			at or ty	no of a	ccicta	200
(a) Name of interested person		and the d	organization		(C)AIII	ount c	or grai	it or ty	pe or a	1551514	iic e
Part IV Business Transactions	Involvina Int	erestec	l Persons								
To be completed by organ				90, P	art IV, lın	e 28	a, 28	b, or	28c.		
· · · · ·	(b) Relatio			Ī	,			<u> </u>		e) Sha	rıng of
(a) Name of interested person	between inte		(c) A mount of	.	(d) Descr	ıptıon	of tra	nsacti	on o	rganıza	
(,	person an organiza		transaction		(-,				\vdash	reven	
TEPHEN BRODIE	TRUSTEE		27	E 4 0	EGAL WO	D V			\dashv	Yes	No No
ILFIILN DRODIE	IKUSIEE		27,	240	LUAL WU	K.K.			_		IN U
				$\overline{}$					-+		
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SCHEDULE 0 (Form 990)

Department of the Treasury Internal Revenue Service

RIVERSIDE RESEARCH INSTITUTE

Supplemental Information to Form 990

OMB No 1545-0047

Open to Public Inspection

Name of the organization

▶ Attach to Form 990. To be completed by organizations to provide additional information for responses to specific questions for the Form 990 or to provide any additional information.

Employer identification number

13-2593244

ldentifier	Return Reference	Explanation
Form 990, Part VI, Section A, line 6		Trustees and members are the same

ldentifier	Return Reference	Explanation
Form 990, Part VI, Section A, line 7a		Trustees and members are the same

ldentifier	Return Reference	Explanation
Form 990, Part VI, Section A, line 7b		Trustees and members are the same

ldentifier Referenc		Explanation
Form 990, Part VI, Section A, line 10		RRI's President and Vice President Business Operations met with all Board Trustees in leadership roles and legal/financial counsel to understand the changes in the Form 990 and review RRI's Form 990 in draft form The final Form 990 was provided to all officers and Trustees sufficiently in advance of its filing to permit their final review before filing with the IRS

ldentifier	Return Reference	Explanation
Form 990, Part VI, Section B, line 12c		RRI's written conflict of interest policies are provided to Trustees in their By-Law's and to officers and all employees in the RRI handbook. The Board and management are instituting processes this year for more formalized annual review's of potential conflicts of interest.

ldentifier	Return Reference	Explanation
Form 990, Part VI, Section B, Iine 15		The Board's Compensation Committee, comprised solely of independent Trustees, annually review all officer compensation, discuss comparability data with an independent compensation consultant, and recommend compensation adjustments for approval by the entire Board (with recusals by any non-independent members, i.e., officers, who are on the Board) Board-approved officer compensation decisions are substantiated in the official records of the Compensation Committee and the Board. This process was followed for compensation decisions during this reporting year.

ldentifier	Return Reference	Explanation
Form 990, Part VI, Section C, line 19		THE ORGANIZATION OPERATES IN A HIGHLY CLASSIFIED SECTION OF THE GOVERNMENT SPONSORED RESEARCH AREA AND AS SUCH IS ONLY ALLOWED TO MAKE ITS DOCUMENTS AVAILABLE TO SUCH PERSONS AS ARE ALLOWED BY LAW TO SEE THEM

Identifier Reference		Explanation						
PAGE 11, PART XI, ITEM 2c, FINANCIAL STATEMENTS AND REPORTING	COMMITTEES	THE ORGANIZATION HAS AN AUDIT COMMITTEE WHICH AMONG OTHER RESPONSIBILITIES IS THE ANNUAL REVIEW AND APPROVAL OF THE OUTSIDE CPAFIRM AUDIT REPORT						

SCHEDULE R

(Form 990)

2008

OMB No 1545-0047

Open to Public Inspection

Related Organizations and Unrelated Partnerships

Attach to Form 990. To be completed by organizations that answerd "Yes" to Form 990, Part IV, lines 33, 34, 35, 36, or 37.

Department of the Treasury ► See separate instructions. Internal Revenue Service

Name of the organization RIVERSIDE RESEARCH INSTITUTE	Employer identif	fication number			
Part I Identification of Disregarded Entities				•	
(A) Name, address, and EIN of disregarded entity	(B) Primary activity	(C) Legal domicile (state or foreign country)	(D) Total income E	(E) nd-of-year assets	(F) Direct controlling entity
Part II Identification of Related Tax-Exempt Organizati	ons				
(A) Name, address, and EIN of related organization	(B) Primary activity	(C) Legal domicile (state or foreign country)	(D) Exempt Code section	(E) Public charity statu (if section 501(c)(3	(F) s Direct controlling)) entity

Part III Identification of Related Organizations Taxable as a Partnership

(A) (B) Name, address, and EIN of Primary activity related organization			(C) Legal domicile (state or foreign country)	(D) Direct controlling entity	(E) Predominant income(related, investment, unrelated)	(F) Share of total income	(G) Share of end-of- year assets	(H Disprop allocat	l) ortionate :ions?	Code V—UBI on Box 20 o		Gener mana partr	ral o agıng
								Yes	No	†	•	Yes	No
													<u> </u>
													_
David W. Identification of De	lated Ove		Tavabla as		au Tuust			<u> </u>	<u> </u>			<u> </u>	
Part IV Identification of Re (A) Name, address, and EIN of related organization.		(B) Primary activi		(C) egal domicile (state or foreign country)	(D) Direct controlling entity	(E) Type of entity (C corp, S corp, or trust)	(F) Share of total incom	e	Sha end-c	G) ire of of-year sets	(H Percei owne	ntage	
APPLIED RESEARCH SOLUTIONSINC 156 WILLIAM STREET NEW YORK, NY10038 13-3242455		RESEARCH		NY	RIVERSIDE RESEARCH INSTITUTE	E C	-1,54	12		190,967	100 00	00 %	
								+					

(5)

(6)

•
j

									
	Note. Complete line 1 if any entity is listed in Parts II, III or IV				Yes	No			
1 D	uring the tax year, did the orgranization engage in any of the following transactions with	one or more related organizations listed in Parts II-IV	7						
а	Receipt of (i) interest (ii) annuities (iii) royalties (iv) rent from a controlled entity			1a		No			
b	Gift, grant, or capital contribution to other organization(s)			1b		No			
c	Gift, grant, or capital contribution from other organization(s)			1c		No			
d	Loans or loan guarantees to or for other organization(s)			1d	Yes				
е	Loans or loan guarantees by other organization(s)			1e		No			
f	Sale of assets to other organization(s)			1f		No			
g	g Purchase of assets from other organization(s)								
h	Exchange of assets			1h		No			
i	Lease of facilities, equipment, or other assets to other organization(s)			1i		No			
j	Lease of facilities, equipment, or other assets from other organization(s)			1j		No			
k	Performance of services or membership or fundraising solicitations for other organizat	ion(s)		1k		No			
1	I Performance of services or membership or fundraising solicitations by other organization(s)								
m	Sharing of facilities, equipment, mailing lists, or other assets			1m		No			
n	Sharing of paid employees			1n		No			
0	Reimbursement paid to other organization for expenses			10		No			
р	Reimbursement paid by other organization for expenses			1 p		No			
q	O ther transfer of cash or property to other organization(s)			1q		No			
r	O ther transfer of cash or property from other organization(s)			1r		No			
2	If the answer to any of the above is "Yes," see the instructions for information on who r	must complete this line, including covered relationship:	s and transaction thresholds						
	(A)	(B)	(C)						
	Name of other organization(s)	Transaction type(a-r)	Amount Involved						
(1)	APPLIED RESEARCH SOLUTIONS INC	D			1,542	2			
(2)									
(3)									
(4)									

Part VI Unrelated Organizations Taxable as a Partnership

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships

									_			
(A) Name, address, and EIN of entity	(B) Primary activity	(C) Legal domicile (state or foreign country)	(D) Are all partners section 501(c)(3) organizations?		partners section 501(c)(3) organizations?		(E) Share of end-of-year assets			(G) Code V—UBI amount on Box 20 of K-1	(H) General o managing partner?)
			Yes	No		Yes	No		Yes	No		
			•	•		•		Sabadula	D / Form			