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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2008

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2008, or tax year beginning NOV 1, 2008, and ending OCT 31, 2009

G Check all that apply: ☐ Initial return ☐ Final return ☐ Amended return ☐ Address change ☐ Name changeUse the IRS
label.
Otherwise,
print
or type
See Specific
Instructions

Name of foundation

NEIL & SANDRA DEFEO FAMILY FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)

21 WOODY LN

Room/suite

City or town, state, and ZIP code

WESTPORT, CT 06880

A Employer identification number

26-6141110

B Telephone number

(203) 259-7100

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

\$ 3,562,929. (Part I, column (d) must be on cash basis.)

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and
expenses per books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable purposes
(cash basis only)

Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	20,010.	20,010.		STATEMENT 2
	4 Dividends and interest from securities	49,594.	49,594.		STATEMENT 3
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<111,485.>			STATEMENT 1
	b Gross sales price for all assets on line 6a	4,240,633.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	<41,881.>	69,604.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	10,500.	5,250.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees	STMT 4	32,388.		
	17 Interest				
	18 Taxes	STMT 5	186.		
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 Total operating and administrative expenses. Add lines 13 through 23	10,500.	37,824.		0.
	25 Contributions, gifts, grants paid	255,500.			255,500.
26 Total expenses and disbursements. Add lines 24 and 25	266,000.	37,824.		255,500.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<307,881.>				
b Net investment income (if negative, enter -0-)		31,780.			
c Adjusted net income (if negative, enter -0-)			N/A		

LHA For Privacy Act and Paperwork Reduction Act Notice, see the instructions.

Form 990-PF (2008)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	272,748.	855.	855.
	2 Savings and temporary cash investments		1,050,046.	1,155,957.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	2,954,406.	2,324,722.	2,406,117.
	c Investments - corporate bonds	501,315.		
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	3,728,469.	3,375,623.	3,562,929.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31 ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31 ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	3,728,469.	3,375,623.	
30 Total net assets or fund balances	3,728,469.	3,375,623.		
31 Total liabilities and net assets/fund balances	3,728,469.	3,375,623.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,728,469.
2 Enter amount from Part I, line 27a	2	<307,881.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	3,420,588.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 6	5	44,965.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,375,623.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SECURITIES - SEE ATTACHED	P	VARIOUS	VARIOUS
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,240,633.		4,352,118.	<111,485.>
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<111,485.>
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	<111,485.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2007	32,500.	4,044,701.	.008035
2006			
2005			
2004			
2003			

2 Total of line 1, column (d)	2	.008035
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.008035
4 Enter the net value of noncharitable-use assets for 2008 from Part X, line 5	4	3,071,387.
5 Multiply line 4 by line 3	5	24,679.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	318.
7 Add lines 5 and 6	7	24,997.
8 Enter qualifying distributions from Part XII, line 4	8	255,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling letter: _____ (attach copy of ruling letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	318.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	318.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-	5	318.
6	Credits/Payments:		
a	2008 estimated tax payments and 2007 overpayment credited to 2008	6a	
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	0.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	318.
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: Credited to 2009 estimated tax ☐ Refunded ☒	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2008 or the taxable year beginning in 2008 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ► N/A				
14	The books are in care of ► THE FOUNDATION	Telephone no. ►	(203) 259-7100	
Located at ► 21 WOODY LN, WESTPORT, CT		ZIP+4 ►	06880	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15	N/A	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2008?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2008, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2008?	☐ Yes ☒ No	
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2008 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2008.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2008?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If you answered "Yes" to 6b, also file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		10,500.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 CONTRIBUTIONS MADE TO VARIOUS NON-PROFIT ORGANIZATIONS.	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,716,594.
b	Average of monthly cash balances	1b	401,565.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	3,118,159.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,118,159.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	46,772.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,071,387.
6	Minimum investment return. Enter 5% of line 5	6	153,569.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	153,569.
2a	Tax on investment income for 2008 from Part VI, line 5	2a	318.
b	Income tax for 2008. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	318.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	153,251.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	153,251.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	153,251.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	255,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	255,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	318.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	255,182.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2007	(c) 2007	(d) 2008
1 Distributable amount for 2008 from Part XI, line 7				153,251.
2 Undistributed income, if any, as of the end of 2007				
a Enter amount for 2007 only			141,137.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2008:				
a From 2003				
b From 2004				
c From 2005				
d From 2006				
e From 2007				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2008 from Part XII, line 4: ► \$ 255,500.				
a Applied to 2007, but not more than line 2a			141,137.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2008 distributable amount				114,363.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2008 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2007. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2008. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2009				38,888.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2003 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2009. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2004				
b Excess from 2005				
c Excess from 2006				
d Excess from 2007				
e Excess from 2008				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2008, enter the date of the ruling

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:

- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:**

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)**

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NEIL DEFEO

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c** Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SPECIAL OPERATIONS FUND	NONE		HEALTH SERVICES	20,000.
WESTPORT COUNTY PLAYHOUSE	NONE		ARTS	70,000.
FSW	NONE		GENERAL FUND	10,000.
MUSIC AND ART CENTER FOR HUMANITY, 510 BARNUM AVENUE, BRIDGEPORT, CT 06608	NONE		GENERAL FUND	1,500.
DONORS CHOICE	NONE		GENERAL FUND	4,000.
PROSTATE CANCER FOUNDATION	NONE		MEDICAL	75,000.
MANHATTAN COLLEGE	NONE		EDUCATION	50,000.
INTERNATIONAL CRISIS GROUP	NONE		GENERAL FUND	25,000.
Total			3a	255,500.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

	Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a Transfers from the reporting foundation to a noncharitable exempt organization of:		
(1) Cash	1a(1)	X
(2) Other assets	1a(2)	X
b Other transactions:		
(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
(3) Rental of facilities, equipment, or other assets	1b(3)	X
(4) Reimbursement arrangements	1b(4)	X
(5) Loans or loan guarantees	1b(5)	X
(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.			
Sign Here	 Signature of officer or trustee		Date 10/6/10
	Title PRESIDENT		
Paid Preparer's Use Only	Preparer's signature 		Date 10/4/10
	Firm's name (or yours if self-employed), address, and ZIP code RSM MCGLADREY, INC. 850 CANAL STREET, 4TH FLOOR STAMFORD, CT 06902		Check if self-employed ☐ Preparer's identifying number EIN Phone no. (203) 327-3112

FORM 990-PF	GAIN OR (LOSS) FROM SALE OF ASSETS	STATEMENT	1
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(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SECURITIES - SEE ATTACHED		PURCHASED	VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
4,240,633.	4,352,118.	0.	0.	<111,485.>
CAPITAL GAINS DIVIDENDS FROM PART IV				0.
TOTAL TO FORM 990-PF, PART I, LINE 6A				<111,485.>

FORM 990-PF	INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS	STATEMENT	2
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SOURCE	AMOUNT
MERRILL LYNCH	5.
MORGAN STANLEY	20,005.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	20,010.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	3
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MORGAN STANLEY	49,594.	0.	49,594.
TOTAL TO FM 990-PF, PART I, LN 4	49,594.	0.	49,594.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT FEES	0.	32,388.		0.	
TO FORM 990-PF, PG 1, LN 16C	0.	32,388.		0.	

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAX	0.	186.		0.	
TO FORM 990-PF, PG 1, LN 18	0.	186.		0.	

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES			STATEMENT	6
DESCRIPTION	AMOUNT				
BOOK-TAX DIFFERENCE IN BASIS OF ASSETS SOLD	44,965.				
TOTAL TO FORM 990-PF, PART III, LINE 5	44,965.				

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
	2,324,722.	2,406,117.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,324,722.	2,406,117.		

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 8

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
NEIL DEFEO 21 WOODY LANE WESTPORT, CT 06880	DIRECTOR 1.00	3,500.	0.	0.
SANDRA DEFEO 21 WOODY LANE WESTPORT, CT 06880	DIRECTOR 2.00	3,500.	0.	0.
ELIZABETH DEFEO 21 WOODY LANE WESTPORT, CT 06880	DIRECTOR 3.00	3,500.	0.	0.
LAUREN DEFEO 21 WOODY LANE WESTPORT, CT 06880	DIRECTOR 3.00	0.	0.	0.
JULIA DEFEO 21 WOODY LANE WESTPORT, CT 06880	DIRECTOR 3.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		10,500.	0.	0.

Unrealized Gains in Base Amounts Using Carryover Basis by Pool - Lot Detail As of 10/31/2009

Lot #	Date Opened	Quantity	Base Unit Price	Base Basis	FX Rate	Close	Open	Market Value	Base Basis/Notional Cost	Market	Currency	Total
U.S. Dollar - USD												
ADEFF - NEIL AND SANDRA DEFFEO FAMILY FOUNDATION												
Long Positions												
ABB / ABB LTD												
169040	7/22/2008	4,000.00	18.530000	28.726000	1.000000	1.000000	1.000000	74,120.00	114,904.00	(40,784.00)	0.00	(40,784.00)
Total for ABB		4,000.00						74,120.00	114,904.00	(40,784.00)	0.00	(40,784.00)
ABC / AMERISOURCEBERGEN CORP												
184764	7/20/2009	600.00	22.150000	18.919900	1.000000	1.000000	1.000000	13,290.00	11,351.94	1,938.06	0.00	1,938.06
184779	7/20/2009	1,100.00	22.150000	18.890900	1.000000	1.000000	1.000000	24,365.00	20,779.99	3,585.01	0.00	3,585.01
Total for ABC		1,700.00						37,655.00	32,131.93	5,523.07	0.00	5,523.07
ADVS / ADVENT SOFTWARE INC												
169077	7/23/2008	2,200.00	38.220000	39.427000	1.000000	1.000000	1.000000	84,084.00	86,739.40	(2,655.40)	0.00	(2,655.40)
Total for ADVS		2,200.00						84,084.00	86,739.40	(2,655.40)	0.00	(2,655.40)
BAX / BAXTER INTL INC												
172737	9/30/2008	200.00	54.060000	64.963500	1.000000	1.000000	1.000000	10,812.00	12,992.70	(2,180.70)	0.00	(2,180.70)
172977	10/06/2008	300.00	54.060000	64.155700	1.000000	1.000000	1.000000	16,218.00	19,246.71	(3,028.71)	0.00	(3,028.71)
173293	10/07/2008	100.00	54.060000	63.328700	1.000000	1.000000	1.000000	5,406.00	6,332.87	(926.87)	0.00	(926.87)
173796	10/08/2008	600.00	54.060000	63.347600	1.000000	1.000000	1.000000	32,436.00	38,008.56	(5,572.56)	0.00	(5,572.56)
174510	10/10/2008	300.00	54.060000	58.485300	1.000000	1.000000	1.000000	16,218.00	17,545.59	(1,327.59)	0.00	(1,327.59)
176724	11/13/2008	200.00	54.060000	61.626100	1.000000	1.000000	1.000000	10,812.00	12,325.22	(1,513.22)	0.00	(1,513.22)
177113	11/21/2008	100.00	54.060000	50.205900	1.000000	1.000000	1.000000	5,406.00	5,020.59	385.41	0.00	385.41
180900	3/11/2009	300.00	54.060000	50.125300	1.000000	1.000000	1.000000	16,218.00	15,037.59	1,180.41	0.00	1,180.41
182614	6/04/2009	350.00	54.060000	46.470800	1.000000	1.000000	1.000000	18,921.00	16,264.78	2,656.22	0.00	2,656.22
Total for BAX		2,450.00						132,447.00	142,774.61	(10,327.61)	0.00	(10,327.61)
BMS / BEMIS COMPANY INC												
184442	7/15/2009	1,200.00	25.830000	26.491700	1.000000	1.000000	1.000000	30,996.00	31,790.04	(794.04)	0.00	(794.04)
184548	7/16/2009	100.00	25.830000	26.880100	1.000000	1.000000	1.000000	2,583.00	2,688.01	(105.01)	0.00	(105.01)
184469	7/16/2009	900.00	25.830000	26.669900	1.000000	1.000000	1.000000	23,247.00	24,000.21	(753.21)	0.00	(753.21)
184523	7/16/2009	100.00	25.830000	26.914400	1.000000	1.000000	1.000000	2,583.00	2,691.44	(108.44)	0.00	(108.44)
184498	7/16/2009	100.00	25.830000	26.909400	1.000000	1.000000	1.000000	2,583.00	2,690.94	(107.94)	0.00	(107.94)
185126	7/22/2009	1,800.00	25.830000	26.000000	1.000000	1.000000	1.000000	46,494.00	46,800.00	(306.00)	0.00	(306.00)
185058	7/23/2009	100.00	25.830000	26.245900	1.000000	1.000000	1.000000	2,583.00	2,624.59	(41.59)	0.00	(41.59)
185086	7/23/2009	100.00	25.830000	26.366700	1.000000	1.000000	1.000000	2,583.00	2,636.67	(53.67)	0.00	(53.67)
185029	7/23/2009	500.00	25.830000	26.328200	1.000000	1.000000	1.000000	12,915.00	13,164.10	(249.10)	0.00	(249.10)
Total for BMS		4,900.00						126,567.00	129,086.00	(2,519.00)	0.00	(2,519.00)
CAVM / CAVIUM NETWORKS INC												
183255	6/17/2009	400.00	18.960000	16.725700	1.000000	1.000000	1.000000	7,584.00	6,690.28	893.72	0.00	893.72
183232	6/17/2009	100.00	18.960000	16.507200	1.000000	1.000000	1.000000	1,896.00	1,650.72	245.28	0.00	245.28
183392	6/18/2009	500.00	18.960000	16.888300	1.000000	1.000000	1.000000	9,480.00	8,444.15	1,035.85	0.00	1,035.85
185421	8/21/2009	600.00	18.960000	20.932700	1.000000	1.000000	1.000000	11,376.00	12,552.62	(1,176.62)	0.00	(1,176.62)
Total for CAVM		1,600.00						30,336.00	29,344.77	991.23	0.00	991.23

Unrealized Gains in Base Amounts Using Carryover Basis by Pool - Lot Detail As of 10/31/2009

Lot #	Date Opened	Quantity	Base Unit Price	Base Unit Basis	FX Rate	Close	Open	Market Value	Base Basis/Notional Cost	Market	Base Gain/Loss	Currency	Total
U.S. Dollar - USD													
ADEFF - NEIL AND SANDRA DEFFEO FAMILY FOUNDATION													
Long Positions													
CCI / CROWN CASTLE INTL CORP													
169044	7/22/2008	2,800.00	30.220000	35.403100	1.000000	1.000000	1.000000	84,616.00	99,128.68	(14,512.68)	0.00		(14,512.68)
175893	10/28/2008	400.00	30.220000	18.100800	1.000000	1.000000	1.000000	12,088.00	7,240.32	4,847.68	0.00		4,847.68
176682	11/13/2008	500.00	30.220000	13.492100	1.000000	1.000000	1.000000	15,110.00	6,746.05	8,363.95	0.00		8,363.95
176830	11/14/2008	500.00	30.220000	14.532500	1.000000	1.000000	1.000000	15,110.00	7,266.25	7,843.75	0.00		7,843.75
177198	11/21/2008	600.00	30.220000	10.096300	1.000000	1.000000	1.000000	18,132.00	6,057.78	12,074.22	0.00		12,074.22
183836	6/24/2009	1,100.00	30.220000	23.390000	1.000000	1.000000	1.000000	33,242.00	25,729.00	7,513.00	0.00		7,513.00
Total for CCI		5,900.00						178,298.00	152,168.08	26,129.92	0.00		26,129.92
CLR / CONTINENTAL RESOURCES INC													
180709	3/10/2009	150.00	37.210000	17.473000	1.000000	1.000000	1.000000	5,581.50	2,620.95	2,960.55	0.00		2,960.55
180682	3/10/2009	600.00	37.210000	17.120200	1.000000	1.000000	1.000000	22,326.00	10,272.12	12,053.88	0.00		12,053.88
Total for CLR		750.00						27,907.50	12,893.07	15,014.43	0.00		15,014.43
COP / CONOCO-PHILLIPS													
186469	10/27/2009	700.00	50.180000	51.496700	1.000000	1.000000	1.000000	35,126.00	36,047.69	(921.69)	0.00		(921.69)
186545	10/30/2009	400.00	50.180000	50.114300	1.000000	1.000000	1.000000	20,072.00	20,045.72	26.28	0.00		26.28
186572	10/30/2009	400.00	50.180000	50.080100	1.000000	1.000000	1.000000	20,072.00	20,032.04	39.96	0.00		39.96
Total for COP		1,500.00						75,270.00	76,125.45	(855.45)	0.00		(855.45)
EMC / E M C CORP MASS													
182381	5/27/2009	1,500.00	16.470000	12.187500	1.000000	1.000000	1.000000	24,705.00	18,281.25	6,423.75	0.00		6,423.75
182354	5/27/2009	1,100.00	16.470000	12.199900	1.000000	1.000000	1.000000	18,117.00	13,419.89	4,697.11	0.00		4,697.11
182510	5/29/2009	800.00	16.470000	11.745500	1.000000	1.000000	1.000000	13,176.00	9,396.40	3,779.60	0.00		3,779.60
182483	5/29/2009	600.00	16.470000	11.700200	1.000000	1.000000	1.000000	9,882.00	7,020.12	2,861.88	0.00		2,861.88
182894	6/11/2009	900.00	16.470000	13.193100	1.000000	1.000000	1.000000	14,823.00	11,873.79	2,949.21	0.00		2,949.21
183928	6/25/2009	1,500.00	16.470000	13.453900	1.000000	1.000000	1.000000	24,705.00	20,180.85	4,524.15	0.00		4,524.15
Total for EMC		6,400.00						105,408.00	80,172.30	25,235.70	0.00		25,235.70
ESRX / EXPRESS SCRIPTS INC													
183070	6/04/2009	290.00	79.920000	61.000000	1.000000	1.000000	1.000000	23,176.80	17,690.00	5,486.80	0.00		5,486.80
182673	6/05/2009	250.00	79.920000	61.866920	1.000000	1.000000	1.000000	19,980.00	15,466.73	4,513.27	0.00		4,513.27
182908	6/11/2009	300.00	79.920000	62.499400	1.000000	1.000000	1.000000	23,976.00	18,749.82	5,226.18	0.00		5,226.18
183108	6/15/2009	500.00	79.920000	61.239100	1.000000	1.000000	1.000000	39,960.00	30,619.55	9,340.45	0.00		9,340.45
183281	6/17/2009	500.00	79.920000	63.992200	1.000000	1.000000	1.000000	39,960.00	31,996.10	7,963.90	0.00		7,963.90
Total for ESRX		1,840.00						147,052.80	114,522.20	32,530.60	0.00		32,530.60
GPS / GAP INC COM													
185246	8/03/2009	1,200.00	21.340000	16.847000	1.000000	1.000000	1.000000	25,608.00	20,216.40	5,391.60	0.00		5,391.60
185273	8/03/2009	800.00	21.340000	16.851300	1.000000	1.000000	1.000000	17,072.00	13,481.04	3,590.96	0.00		3,590.96
185387	8/21/2009	700.00	21.340000	19.400400	1.000000	1.000000	1.000000	14,938.00	13,580.28	1,357.72	0.00		1,357.72
185463	8/25/2009	1,700.00	21.340000	20.167700	1.000000	1.000000	1.000000	36,278.00	34,285.09	1,992.91	0.00		1,992.91
185490	8/25/2009	800.00	21.340000	19.931000	1.000000	1.000000	1.000000	17,072.00	15,944.80	1,127.20	0.00		1,127.20
Total for GPS		5,200.00						110,968.00	97,507.61	13,460.39	0.00		13,460.39

Unrealized Gains in Base Amounts Using Carryover Basis by Pool - Lot Detail As of 10/31/2009

Lot #	Date Opened	Quantity	Base Unit Price	Basis	FX Rate	Close	Open	Market Value	Base	Basis/Notional Cost	Market	Base Gain/Loss	Currency	Total
U.S. Dollar - USD														
Long Positions														
HMSY / HMS HLDGS CORP														
185197	7/31/2009	200.00	42.930000	36.696400	1.000000	1.000000	1.000000	8,586.00	7,339.28	1,246.72	1,246.72	0.00	0.00	1,246.72
185212	7/31/2009	200.00	42.930000	36.845700	1.000000	1.000000	1.000000	8,586.00	7,369.14	1,216.86	1,216.86	0.00	0.00	1,216.86
185248	8/11/2009	80.00	42.930000	35.764750	1.000000	1.000000	1.000000	3,434.40	2,861.18	573.22	573.22	0.00	0.00	573.22
Total for HMSY		480.00						20,606.40	17,569.60	3,036.80	3,036.80	0.00	0.00	3,036.80
LBTYA / LIBERTY GLOBAL INC														
180777	3/10/2009	3,300.00	20.530000	10.285000	1.000000	1.000000	1.000000	67,749.00	33,940.50	33,808.50	33,808.50	0.00	0.00	33,808.50
Total for LBTYA		3,300.00						67,749.00	33,940.50	33,808.50	33,808.50	0.00	0.00	33,808.50
PG / PROCTER & GAMBLE CO														
186595	10/30/2009	1,200.00	58.000000	58.265600	1.000000	1.000000	1.000000	69,600.00	69,918.72	(318.72)	(318.72)	0.00	0.00	(318.72)
Total for PG		1,200.00						69,600.00	69,918.72	(318.72)	(318.72)	0.00	0.00	(318.72)
QCOM / QUALCOMM INC														
169043	7/22/2008	2,500.00	41.330000	44.700000	1.000000	1.000000	1.000000	103,325.00	110,500.00	(7,175.00)	(7,175.00)	0.00	0.00	(7,175.00)
172686	9/30/2008	400.00	41.330000	41.118100	1.000000	1.000000	1.000000	16,532.00	16,447.24	84.76	84.76	0.00	0.00	84.76
Total for QCOM		2,900.00						119,857.00	126,947.24	(7,090.24)	(7,090.24)	0.00	0.00	(7,090.24)
QLGC / QLOGIC CORP														
185783	9/03/2009	1,100.00	17.540000	15.372000	1.000000	1.000000	1.000000	19,294.00	16,909.20	2,384.80	2,384.80	0.00	0.00	2,384.80
Total for QLGC		1,100.00						19,294.00	16,909.20	2,384.80	2,384.80	0.00	0.00	2,384.80
RE / EVEREST RE GROUP LTD														
184204	7/06/2009	270.00	87.490000	71.673111	1.000000	1.000000	1.000000	23,622.30	19,351.74	4,270.56	4,270.56	0.00	0.00	4,270.56
184177	7/06/2009	390.00	87.490000	71.439103	1.000000	1.000000	1.000000	34,121.10	27,861.25	6,259.85	6,259.85	0.00	0.00	6,259.85
184597	7/16/2009	415.00	87.490000	76.933205	1.000000	1.000000	1.000000	36,308.35	31,927.28	4,381.07	4,381.07	0.00	0.00	4,381.07
184718	7/17/2009	155.00	87.490000	77.029806	1.000000	1.000000	1.000000	13,560.95	11,939.62	1,621.33	1,621.33	0.00	0.00	1,621.33
184658	7/17/2009	65.00	87.490000	77.477231	1.000000	1.000000	1.000000	5,686.85	5,036.02	650.83	650.83	0.00	0.00	650.83
184638	7/17/2009	200.00	87.490000	77.272700	1.000000	1.000000	1.000000	17,498.00	15,454.54	2,043.46	2,043.46	0.00	0.00	2,043.46
184902	7/22/2009	300.00	87.490000	79.529800	1.000000	1.000000	1.000000	26,247.00	23,858.94	2,388.06	2,388.06	0.00	0.00	2,388.06
184926	7/22/2009	10.00	87.490000	79.485000	1.000000	1.000000	1.000000	874.90	794.85	80.05	80.05	0.00	0.00	80.05
Total for RE		1,805.00						157,919.45	136,224.24	21,695.21	21,695.21	0.00	0.00	21,695.21
RNR / RENAISSANCE HOLDINGS LTD														
177805	12/05/2008	150.00	52.500000	48.514733	1.000000	1.000000	1.000000	7,875.00	7,277.21	597.79	597.79	0.00	0.00	597.79
177963	12/10/2008	300.00	52.500000	47.341400	1.000000	1.000000	1.000000	15,750.00	14,202.42	1,547.58	1,547.58	0.00	0.00	1,547.58
177988	12/10/2008	100.00	52.500000	47.171200	1.000000	1.000000	1.000000	5,250.00	4,717.12	532.88	532.88	0.00	0.00	532.88
178008	12/10/2008	100.00	52.500000	46.745400	1.000000	1.000000	1.000000	5,250.00	4,674.54	575.46	575.46	0.00	0.00	575.46
179837	2/06/2009	300.00	52.500000	44.007300	1.000000	1.000000	1.000000	15,750.00	13,202.19	2,547.81	2,547.81	0.00	0.00	2,547.81
180474	2/24/2009	145.00	52.500000	45.414828	1.000000	1.000000	1.000000	7,612.50	6,585.15	1,027.35	1,027.35	0.00	0.00	1,027.35
180944	3/11/2009	200.00	52.500000	43.656400	1.000000	1.000000	1.000000	10,500.00	8,731.28	1,768.72	1,768.72	0.00	0.00	1,768.72
180925	3/11/2009	100.00	52.500000	43.559100	1.000000	1.000000	1.000000	5,250.00	4,355.91	894.09	894.09	0.00	0.00	894.09
181776	4/27/2009	200.00	52.500000	48.903600	1.000000	1.000000	1.000000	10,500.00	9,781.12	718.88	718.88	0.00	0.00	718.88
182936	6/11/2009	200.00	52.500000	48.322100	1.000000	1.000000	1.000000	10,500.00	9,664.42	835.58	835.58	0.00	0.00	835.58

Unrealized Gains in Base Amounts Using Carryover Basis by Pool - Lot Detail As of 10/31/2009

Lot #	Date Opened	Quantity	Base Unit		Basis	FX Rate		Open	Base		Market	Base Gain/(Loss)	
			Price	Unit		Close	Open		Market Value	Basis/Notional Cost		Currency	Total
U.S. Dollar - USD													
ADEFF - NEIL AND SANDRA DEFFO FAMILY FOUNDATION													
Long Positions													
RNR / RENAISSANCE HOLDINGS LTD													
183008	6/11/2009	100.00	52.500000		48.658900	1.000000	1.000000		5,250.00	4,865.89	384.11	0.00	384.11
Total for RNR		1,895.00							99,487.50	88,057.25	11,430.25	0.00	11,430.25
RST / ROSETTA STONE INC													
182791	6/10/2009	100.00	20.750000		23.185000	1.000000	1.000000		2,075.00	2,318.50	(241.50)	0.00	(241.50)
182815	6/10/2009	100.00	20.750000		23.372400	1.000000	1.000000		2,075.00	2,317.24	(262.24)	0.00	(262.24)
183175	6/17/2009	100.00	20.750000		25.077200	1.000000	1.000000		2,075.00	2,507.72	(432.72)	0.00	(432.72)
183553	6/22/2009	100.00	20.750000		25.275800	1.000000	1.000000		2,075.00	2,527.58	(452.58)	0.00	(452.58)
183762	6/23/2009	200.00	20.750000		24.186500	1.000000	1.000000		4,150.00	4,837.30	(687.30)	0.00	(687.30)
183636	6/23/2009	100.00	20.750000		24.844800	1.000000	1.000000		2,075.00	2,484.48	(409.48)	0.00	(409.48)
183736	6/23/2009	100.00	20.750000		24.395600	1.000000	1.000000		2,075.00	2,439.56	(364.56)	0.00	(364.56)
183709	6/23/2009	100.00	20.750000		24.421300	1.000000	1.000000		2,075.00	2,442.13	(367.13)	0.00	(367.13)
Total for RST		900.00							18,675.00	21,894.51	(3,219.51)	0.00	(3,219.51)
RYAAY / RYANAIR HLDGS PLC													
177764	12/05/2008	300.00	27.270000		27.687000	1.000000	1.000000		8,181.00	8,306.10	(125.10)	0.00	(125.10)
177875	12/08/2008	200.00	27.270000		29.953000	1.000000	1.000000		5,454.00	5,990.60	(536.60)	0.00	(536.60)
178048	12/10/2008	200.00	27.270000		29.481300	1.000000	1.000000		5,454.00	5,896.26	(442.26)	0.00	(442.26)
179357	12/12/2009	400.00	27.270000		26.020700	1.000000	1.000000		10,908.00	10,408.28	499.72	0.00	499.72
179382	12/21/2009	100.00	27.270000		26.029300	1.000000	1.000000		2,727.00	2,602.93	124.07	0.00	124.07
179596	12/27/2009	1,000.00	27.270000		25.956500	1.000000	1.000000		27,270.00	25,956.50	1,313.50	0.00	1,313.50
180799	3/11/2009	700.00	27.270000		23.543400	1.000000	1.000000		19,089.00	16,480.62	2,608.38	0.00	2,608.38
Total for RYAAY		2,900.00							79,083.00	75,641.05	3,441.95	0.00	3,441.95
TEVA / TEVA PHARMACEUTICAL INDS LTD													
177899	12/08/2008	900.00	50.480000		43.930400	1.000000	1.000000		45,432.00	39,537.36	5,894.64	0.00	5,894.64
178071	12/10/2008	300.00	50.480000		42.213300	1.000000	1.000000		15,144.00	12,663.99	2,480.01	0.00	2,480.01
180776	3/10/2009	200.00	50.480000		43.168800	1.000000	1.000000		10,096.00	8,633.76	1,462.24	0.00	1,462.24
180759	3/10/2009	100.00	50.480000		43.081000	1.000000	1.000000		5,048.00	4,308.10	739.90	0.00	739.90
182992	6/11/2009	600.00	50.480000		48.969300	1.000000	1.000000		30,288.00	29,381.58	906.42	0.00	906.42
Total for TEVA		2,100.00							106,008.00	94,524.79	11,483.21	0.00	11,483.21
TEX17 / TEREX CORP SENIOR SUB GLOBAL 8% DUE 13NOV2017													
167593	1/18/2008	250,000.00	90.250000		101.694400	1.000000	1.000000		223,625.00	254,236.00	(28,611.00)	0.00	(28,611.00)
Total for TEX17		250,000.00							223,625.00	254,236.00	(28,611.00)	0.00	(28,611.00)
TIJX / TIJX COS INC NEW													
172409	9/25/2008	3,000.00	37.350000		32.161000	1.000000	1.000000		112,050.00	96,483.00	15,567.00	0.00	15,567.00
175815	10/28/2008	1,200.00	37.350000		24.229700	1.000000	1.000000		44,820.00	29,075.64	15,744.36	0.00	15,744.36
176518	11/13/2008	300.00	37.350000		21.301100	1.000000	1.000000		11,203.00	6,390.33	4,814.67	0.00	4,814.67
Total for TIJX		4,500.00							168,073.00	131,948.97	36,126.03	0.00	36,126.03
USD / U.S. Dollar													
167412	1/01/1967	1,050,046.19	1.000000		1.000000	1.000000	1.000000		1,050,046.19	1,050,046.19	0.00	0.00	0.00

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actUnrealGL_Carryover LotReport

Dawson Capital Management, Inc

Unrealized Gains in Base Amounts Using Carryover Basis by Pool - Lot Detail As of 10/31/2009

Lot #	Date Opened	Quantity	Base Unit		FX Rate		Base		Market	Currency	Total
			Price	Basis	Close	Open	Market Value	Basis/Notional Cost			
U.S. Dollar - USD											
ADEFF - NEIL AND SANDRA DETEO FAMILY FOUNDATION											
Long Positions											
USD / U.S. Dollar											
Total for USD		1,050,046 19						1,050,046 19	0.00	0.00	0.00
VR / VALIDUS HOLDINGS LTD											
181926	5/12/2009	100 00	25 300000	23 108000	1 000000	1 000000	1 000000	2,530 00	2,310 80	219 20	219 20
181950	5/12/2009	200 00	25 300000	23 428700	1 000000	1 000000	1 000000	5,060 00	4,685 74	374 26	374 26
181983	5/13/2009	300 00	25 300000	23 342400	1 000000	1 000000	1 000000	7,590 00	7,002 72	587 28	587 28
182147	5/19/2009	500 00	25 300000	23 539400	1 000000	1 000000	1 000000	12,650 00	11,769 70	880 30	880 30
182224	5/20/2009	300 00	25 300000	23 125200	1 000000	1 000000	1 000000	7,590 00	6,937 56	652 44	652 44
182950	6/11/2009	600 00	25 300000	24 390900	1 000000	1 000000	1 000000	15,180 00	14,634 54	545 46	545 46
Total for VR		2,000 00						50,600 00	47,341 06	3,258 94	3,258 94
WAG / WALGREEN CO											
183139	6/16/2009	1,100 00	37 830000	30 559600	1 000000	1 000000	1 000000	41,613 00	33,615 56	7,997 44	7,997 44
183307	6/17/2009	900 00	37 830000	31 065400	1 000000	1 000000	1 000000	34,047 00	27,958 86	6,088 14	6,088 14
183470	6/18/2009	900 00	37 830000	31 597400	1 000000	1 000000	1 000000	34,047 00	28,437 66	5,609 34	5,609 34
183590	6/22/2009	500 00	37 830000	30 349500	1 000000	1 000000	1 000000	18,915 00	15,174 75	3,740 25	3,740 25
183820	6/24/2009	1,200 00	37 830000	30 010200	1 000000	1 000000	1 000000	45,396 00	36,012 24	9,383 76	9,383 76
Total for WAG		4,600 00						174,018 00	141,199 07	32,818 93	32,818 93
Total Long Position								3,556,756 84	3,374,767 81	181,989 03	181,989 03
Total for ADEFF - NEIL AND SANDRA DETEO FAMILY FOUNDATION											
Total for USD								3,556,756 84	3,374,767 81	181,989 03	181,989 03

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Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail For the Period 11/01/2008 to 10/31/2009

Lot #	Date Opened	Quantity	Base Proceeds	Basis	Long	Pre-Long	Short	Pre-Short	Ordinary	Total
U.S. Dollar - USD										
ADEFF - NEIL AND SANDRA DEFFO FAMILY FOUNDATION - JTD-ADEFF										
Long Positions										
Equity - E										
Common Stock - CS										
AAPL/APPLE INC										
Journal SK 466712	9/14/2009 SELL									
184798	7/20/2009	210,000	35,898.00	32,359.11	0.00	0.00	3,538.89	0.00	0.00	3,538.89
Total for Journal SK 466712		210,000	35,898.00	32,359.11	0.00	0.00	3,538.89	0.00	0.00	3,538.89
Journal SK 467381	9/16/2009 SELL									
184631	7/17/2009	90,000	16,371.34	13,501.41	0.00	0.00	2,869.93	0.00	0.00	2,869.93
184872	7/21/2009	110,000	20,009.42	16,657.89	0.00	0.00	3,351.53	0.00	0.00	3,351.53
Total for Journal SK 467381		200,000	36,380.76	30,159.30	0.00	0.00	6,221.46	0.00	0.00	6,221.46
Journal SK 468220	9/24/2009 SELL									
184631	7/17/2009	165,000	29,951.11	24,752.59	0.00	0.00	5,198.52	0.00	0.00	5,198.52
Total for Journal SK 468220		165,000	29,951.11	24,752.59	0.00	0.00	5,198.52	0.00	0.00	5,198.52
Journal SK 468806	10/2/2009 SELL									
184631	7/17/2009	295,000	53,435.03	44,254.64	0.00	0.00	9,180.39	0.00	0.00	9,180.39
Total for Journal SK 468806		295,000	53,435.03	44,254.64	0.00	0.00	9,180.39	0.00	0.00	9,180.39
Total AAPL - Long		870,000	155,664.90	131,525.64	0.00	0.00	24,139.26	0.00	0.00	24,139.26
ABB/ABB.LTD										
Journal SK 451880	4/14/2009 SELL									
169040	7/22/2008	1,100,000	16,367.24	31,598.60	0.00	0.00	(15,231.36)	0.00	0.00	(15,231.36)
Total for Journal SK 451880		1,100,000	16,367.24	31,598.60	0.00	0.00	(15,231.36)	0.00	0.00	(15,231.36)
Journal SK 464134	8/11/2009 SELL									
169040	7/22/2008	100,000	1,790.45	2,872.60	(1,082.15)	0.00	0.00	0.00	0.00	(1,082.15)
Total for Journal SK 464134		100,000	1,790.45	2,872.60	(1,082.15)	0.00	0.00	0.00	0.00	(1,082.15)
Total ABB - Long		1,200,000	18,157.69	34,471.20	(1,082.15)	0.00	(15,231.36)	0.00	0.00	(16,313.51)
ACE/ACE.LTD										
Journal SK 447153	3/2/2009 SELL									
174846	10/14/2008	400,000	14,848.59	19,297.44	0.00	0.00	(4,448.85)	0.00	0.00	(4,448.85)
176440	11/13/2008	100,000	3,712.15	5,121.59	0.00	0.00	(1,409.44)	0.00	0.00	(1,409.44)
Total for Journal SK 447153		500,000	18,560.74	24,419.03	0.00	0.00	(5,858.29)	0.00	0.00	(5,858.29)
Journal SK 447403	3/5/2009 SELL									
174846	10/14/2008	400,000	12,633.21	19,297.44	0.00	0.00	(6,664.23)	0.00	0.00	(6,664.23)
175137	10/15/2008	200,000	6,316.60	9,429.74	0.00	0.00	(3,113.14)	0.00	0.00	(3,113.14)
Total for Journal SK 447403		600,000	18,949.81	28,727.18	0.00	0.00	(9,777.37)	0.00	0.00	(9,777.37)
Journal SK 449867	3/20/2009 SELL									
175269	10/17/2008	200,000	7,784.50	9,338.10	0.00	0.00	(1,553.60)	0.00	0.00	(1,553.60)
176991	11/19/2008	100,000	3,892.24	4,131.44	0.00	0.00	(239.20)	0.00	0.00	(239.20)
177092	11/21/2008	100,000	3,892.25	4,309.13	0.00	0.00	(416.88)	0.00	0.00	(416.88)
177230	11/24/2008	100,000	3,892.25	4,696.00	0.00	0.00	(803.75)	0.00	0.00	(803.75)

Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail For the Period 11/01/2008 to 10/31/2009

Lot #	Date Opened	Quantity	Base Proceeds	Basis	Long	Pre-Long	Short	Pre-Short	Ordinary	Total
U.S. Dollar - USD										
ADEFF - NEIL AND SANDRA DEFFEO FAMILY FOUNDATION - JTD-ADEFF										
Long Positions										
Equity - E										
Common Stock - CS										
ACE/ACE LTD										
Journal SK 449867 3/20/2009 SELL		500,000	19,461.24	22,474.67	0.00	0.00	(3,013.43)	0.00	0.00	(3,013.43)
Total for Journal SK 449867		500,000	19,461.24	22,474.67	0.00	0.00	(3,013.43)	0.00	0.00	(3,013.43)
Total ACE - Long		500,000	19,461.24	22,474.67	0.00	0.00	(3,013.43)	0.00	0.00	(3,013.43)
ACI/ARCH COAL INC										
Journal SK 448710 3/11/2009 SELL		600,000	8,185.93	12,465.36	0.00	0.00	(4,279.43)	0.00	0.00	(4,279.43)
176061 10/29/2008		600,000	8,185.93	12,465.36	0.00	0.00	(4,279.43)	0.00	0.00	(4,279.43)
Total for Journal SK 448710		600,000	8,185.93	12,465.36	0.00	0.00	(4,279.43)	0.00	0.00	(4,279.43)
Journal SK 451500 4/9/2009 SELL		800,000	12,123.04	16,620.48	0.00	0.00	(4,497.44)	0.00	0.00	(4,497.44)
176061 10/29/2008		800,000	12,123.04	16,620.48	0.00	0.00	(4,497.44)	0.00	0.00	(4,497.44)
Total for Journal SK 451500		800,000	12,123.04	16,620.48	0.00	0.00	(4,497.44)	0.00	0.00	(4,497.44)
Journal SK 451758 4/14/2009 SELL		200,000	3,103.46	4,155.12	0.00	0.00	(1,051.66)	0.00	0.00	(1,051.66)
176061 10/29/2008		200,000	3,103.46	4,155.12	0.00	0.00	(1,051.66)	0.00	0.00	(1,051.66)
Journal SK 451758 4/14/2009 SELL		100,000	1,551.73	1,598.45	0.00	0.00	(46.72)	0.00	0.00	(46.72)
177531 11/26/2008		100,000	1,551.73	1,598.45	0.00	0.00	(46.72)	0.00	0.00	(46.72)
Journal SK 451758 4/14/2009 SELL		900,000	13,965.56	15,057.18	0.00	0.00	(1,091.62)	0.00	0.00	(1,091.62)
178086 12/10/2008		900,000	13,965.56	15,057.18	0.00	0.00	(1,091.62)	0.00	0.00	(1,091.62)
Total for Journal SK 451758		1,200,000	18,620.75	20,810.75	0.00	0.00	(2,190.00)	0.00	0.00	(2,190.00)
Journal SK 451774 4/14/2009 SELL		500,000	7,707.10	7,992.25	0.00	0.00	(285.15)	0.00	0.00	(285.15)
177531 11/26/2008		500,000	7,707.10	7,992.25	0.00	0.00	(285.15)	0.00	0.00	(285.15)
Total for Journal SK 451774		500,000	7,707.10	7,992.25	0.00	0.00	(285.15)	0.00	0.00	(285.15)
Journal SK 451790 4/14/2009 SELL		1,100,000	16,973.11	17,582.95	0.00	0.00	(609.84)	0.00	0.00	(609.84)
177531 11/26/2008		1,100,000	16,973.11	17,582.95	0.00	0.00	(609.84)	0.00	0.00	(609.84)
Total for Journal SK 451790		1,100,000	16,973.11	17,582.95	0.00	0.00	(609.84)	0.00	0.00	(609.84)
Journal SK 451806 4/14/2009 SELL		1,100,000	17,191.45	17,582.95	0.00	0.00	(391.50)	0.00	0.00	(391.50)
177531 11/26/2008		1,100,000	17,191.45	17,582.95	0.00	0.00	(391.50)	0.00	0.00	(391.50)
Total for Journal SK 451806		1,100,000	17,191.45	17,582.95	0.00	0.00	(391.50)	0.00	0.00	(391.50)
Total ACI - Long		5,300,000	80,801.38	93,054.74	0.00	0.00	(12,253.36)	0.00	0.00	(12,253.36)
AEI/AETNA INC NEW										
Journal SK 453122 4/21/2009 SELL		100,000	2,534.65	3,310.53	0.00	0.00	(775.88)	0.00	0.00	(775.88)
180116 2/12/2009		100,000	2,534.65	3,310.53	0.00	0.00	(775.88)	0.00	0.00	(775.88)
Total for Journal SK 453122		100,000	2,534.65	3,310.53	0.00	0.00	(775.88)	0.00	0.00	(775.88)
Journal SK 453147 4/21/2009 SELL		600,000	14,620.42	18,191.38	0.00	0.00	(3,572.96)	0.00	0.00	(3,572.96)
179502 1/26/2009		600,000	14,620.42	18,191.38	0.00	0.00	(3,572.96)	0.00	0.00	(3,572.96)
Journal SK 453147 4/21/2009 SELL		400,000	9,746.95	13,078.92	0.00	0.00	(3,331.97)	0.00	0.00	(3,331.97)
180079 2/12/2009		400,000	9,746.95	13,078.92	0.00	0.00	(3,331.97)	0.00	0.00	(3,331.97)
Journal SK 453147 4/21/2009 SELL		200,000	4,873.47	6,568.42	0.00	0.00	(1,694.95)	0.00	0.00	(1,694.95)
180116 2/12/2009		200,000	4,873.47	6,568.42	0.00	0.00	(1,694.95)	0.00	0.00	(1,694.95)
Total for Journal SK 453147		1,500,000	36,551.05	47,772.31	0.00	0.00	(11,221.26)	0.00	0.00	(11,221.26)
Journal SK 456678 5/19/2009 SELL		1,500,000	36,551.05	47,772.31	0.00	0.00	(11,221.26)	0.00	0.00	(11,221.26)

Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail For the Period 11/01/2008 to 10/31/2009

Lot #	Date Opened	Quantity	Base		Basis	Long	Pre-Long	Base Gain/Loss		Pre-Short	Ordinary	Total
			Proceeds	Loss				Short	Long			
U.S Dollar - USD												
ADEFF - NEIL AND SANDRA DEFFEO FAMILY FOUNDATION - JTD-ADEFF												
Long Positions												
Equity - E												
Common Stock - CS												
AET/AETNA INC NEW												
Journal SK 456678	5/19/2009	SELL										
179202	1/20/2009		7,558.84		7,810.44	0.00	0.00	(251.60)		0.00	0.00	(251.60)
179502	1/26/2009		17,637.31		21,225.61	0.00	0.00	(3,588.30)		0.00	0.00	(3,588.30)
Total for Journal SK 456678		1,000.000	25,196.15		29,036.05	0.00	0.00	(3,839.90)		0.00	0.00	(3,839.90)
Journal SK 456705	5/19/2009	SELL										
179202	1/20/2009		7,560.02		7,810.44	0.00	0.00	(250.42)		0.00	0.00	(250.42)
180848	3/11/2009		15,120.03		14,856.78	0.00	0.00	263.25		0.00	0.00	263.25
Total for Journal SK 456705		600.000	15,120.03		14,856.78	0.00	0.00			0.00	0.00	
Journal SK 456845	5/20/2009	SELL										
180824	3/11/2009		23,147.31		20,960.01	0.00	0.00	2,187.30		0.00	0.00	2,187.30
180969	3/11/2009		15,431.54		13,019.40	0.00	0.00	2,412.14		0.00	0.00	2,412.14
Total for Journal SK 456845		1,500.000	38,578.85		33,979.41	0.00	0.00	4,599.44		0.00	0.00	4,599.44
Total AET - Long		4,700.000	117,980.73		128,955.08	0.00	0.00	(10,974.35)		0.00	0.00	(10,974.35)
AMT / AMERICAN TOWER CORP												
Journal SK 455784	5/5/2009	SELL										
177439	11/26/2008		15,348.65		13,390.35	0.00	0.00	1,958.30		0.00	0.00	1,958.30
179516	1/26/2009		6,139.46		6,145.08	0.00	0.00	(5.62)		0.00	0.00	(5.62)
Total for Journal SK 455784		700.000	21,488.11		19,535.43	0.00	0.00	1,952.68		0.00	0.00	1,952.68
Journal SK 456013	5/7/2009	SELL										
177271	11/24/2008		32,717.22		26,277.57	0.00	0.00	6,439.65		0.00	0.00	6,439.65
177439	11/26/2008		14,871.47		13,390.35	0.00	0.00	1,481.12		0.00	0.00	1,481.12
Total for Journal SK 456013		500.000	47,588.69		39,667.92	0.00	0.00	7,920.77		0.00	0.00	7,920.77
Total AMT - Long		2,300.000	69,076.80		59,203.35	0.00	0.00	9,873.45		0.00	0.00	9,873.45
APC / ANADARKO PETE CORP												
Journal SK 456031	5/7/2009	SELL										
175558	10/21/2008		10,020.62		7,162.90	0.00	0.00	2,857.72		0.00	0.00	2,857.72
180305	2/19/2009		10,020.62		7,223.04	0.00	0.00	2,797.58		0.00	0.00	2,797.58
180333	2/19/2009		10,020.62		7,269.72	0.00	0.00	2,750.90		0.00	0.00	2,750.90
Total for Journal SK 456031		600.000	30,061.86		21,655.66	0.00	0.00	8,406.20		0.00	0.00	8,406.20
Journal SK 459050	6/12/2009	SELL										
181908	5/12/2009		15,055.89		13,691.28	0.00	0.00	1,364.61		0.00	0.00	1,364.61
Total for Journal SK 459050		300.000	15,055.89		13,691.28	0.00	0.00			0.00	0.00	
Journal SK 459064	6/12/2009	SELL										
181908	5/12/2009		14,994.33		13,691.28	0.00	0.00	1,303.05		0.00	0.00	1,303.05
Total for Journal SK 459064		300.000	14,994.33		13,691.28	0.00	0.00	1,303.05		0.00	0.00	1,303.05
Journal SK 463661	8/4/2009	SELL										

Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail For the Period 11/01/2008 to 10/31/2009

Lot #	Date Opened	Quantity	Proceeds	Basis	Long	Pre-Long	Base Gain/(Loss)		Pre-Short	Ordinary	Total
							Short				
U.S Dollar - USD											
ADETF - NEIL AND SANDRA DEFFEO FAMILY FOUNDATION - ITD-ADETF											
Long Positions											
Equity - E											
Common Stock - CS											
APC/ANADARKO PETE CORP											
Journal SK 463661 8/4/2009 SELL											
175558	10/21/2008	200 000	9,879.52	7,162.90	0.00	0.00	2,716.62	0.00	0.00	0.00	2,716.62
181908	5/12/2009	100 000	4,939.76	4,563.76	0.00	0.00	376.00	0.00	0.00	0.00	376.00
Total for Journal SK 463661		300 000	14,819.28	11,726.66	0.00	0.00	3,092.62	0.00	0.00	0.00	3,092.62
Journal SK 466431 9/11/2009 SELL											
175558	10/21/2008	500 000	29,177.74	17,907.25	0.00	0.00	11,270.49	0.00	0.00	0.00	11,270.49
Total for Journal SK 466431		500 000	29,177.74	17,907.25	0.00	0.00	11,270.49	0.00	0.00	0.00	11,270.49
Journal SK 467245 9/16/2009 SELL											
175558	10/21/2008	100 000	6,357.88	3,581.45	0.00	0.00	2,776.43	0.00	0.00	0.00	2,776.43
176079	10/29/2008	500 000	31,789.38	16,069.40	0.00	0.00	15,719.98	0.00	0.00	0.00	15,719.98
177172	11/21/2008	200 000	12,715.75	6,436.26	0.00	0.00	6,279.49	0.00	0.00	0.00	6,279.49
180431	2/24/2009	400 000	25,431.50	13,854.84	0.00	0.00	11,576.66	0.00	0.00	0.00	11,576.66
Total for Journal SK 467245		1,200 000	76,294.51	39,941.95	0.00	0.00	36,352.56	0.00	0.00	0.00	36,352.56
Total APC - Long		3,200 000	180,403.61	118,614.08	0.00	0.00	61,789.53	0.00	0.00	0.00	61,789.53
ARMH/ARM HOLDINGS PLC											
Journal SK 468469 9/30/2009 SELL											
185656	8/28/2009	400 000	2,790.01	2,610.48	0.00	0.00	179.53	0.00	0.00	0.00	179.53
185682	8/28/2009	400 000	2,790.00	2,605.28	0.00	0.00	184.72	0.00	0.00	0.00	184.72
Total for Journal SK 468469		800 000	5,580.01	5,215.76	0.00	0.00	364.25	0.00	0.00	0.00	364.25
Journal SK 468550 10/1/2009 SELL											
185682	8/28/2009	1,200 000	8,047.23	7,815.84	0.00	0.00	231.39	0.00	0.00	0.00	231.39
Total for Journal SK 468550		1,200 000	8,047.23	7,815.84	0.00	0.00	231.39	0.00	0.00	0.00	231.39
Journal SK 468578 10/1/2009 SELL											
185682	8/28/2009	700 000	4,658.59	4,559.24	0.00	0.00	99.35	0.00	0.00	0.00	99.35
185921	9/03/2009	500 000	3,327.56	3,095.65	0.00	0.00	231.91	0.00	0.00	0.00	231.91
Total for Journal SK 468578		1,200 000	7,986.15	7,654.89	0.00	0.00	327.26	0.00	0.00	0.00	327.26
Journal SK 468920 10/2/2009 SELL											
185921	9/03/2009	700 000	4,541.27	4,339.51	0.00	0.00	201.76	0.00	0.00	0.00	201.76
185949	9/03/2009	900 000	5,838.78	5,573.43	0.00	0.00	265.35	0.00	0.00	0.00	265.35
Total for Journal SK 468920		1,600 000	10,380.05	9,912.94	0.00	0.00	467.11	0.00	0.00	0.00	467.11
Journal SK 468948 10/2/2009 SELL											
185949	9/03/2009	800 000	5,203.70	4,954.16	0.00	0.00	249.54	0.00	0.00	0.00	249.54
Total for Journal SK 468948		800 000	5,203.70	4,954.16	0.00	0.00	249.54	0.00	0.00	0.00	249.54
Total ARMH - Long		5,600 000	37,197.14	35,557.59	0.00	0.00	1,639.55	0.00	0.00	0.00	1,639.55
BAX/BAXTER INTL INC											
Journal SK 462631 7/23/2009 SELL											

Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail For the Period 11/01/2008 to 10/31/2009

Lot #	Date Opened	Quantity	Base Proceeds	Basis	Long	Pre-Long	Short	Pre-Short	Ordinary	Total
U.S. Dollar - USD										
ADEIF - NEIL AND SANDRA DETEO FAMILY FOUNDATION - JTD-ADEIF										
Long Positions										
Equity - E										
Common Stock - CS										
BAX/BAXTER INTL INC										
Journal SK 462631 7/23/2009 SELL										
172737	9/30/2008	200.000	10,864.72	12,992.70	0.00	0.00	(2,127.98)	0.00	0.00	(2,127.98)
Total for Journal SK 462631		200.000	10,864.72	12,992.70	0.00	0.00	(2,127.98)	0.00	0.00	(2,127.98)
Journal SK 463366 7/31/2009 SELL										
172737	9/30/2008	200.000	11,346.70	12,992.70	0.00	0.00	(1,646.00)	0.00	0.00	(1,646.00)
Total for Journal SK 463366		200.000	11,346.70	12,992.70	0.00	0.00	(1,646.00)	0.00	0.00	(1,646.00)
Total BAX - Long		400.000	22,211.42	25,985.40	0.00	0.00	(3,773.98)	0.00	0.00	(3,773.98)
CCI/CROWN-CASTLE INTL CORP										
Journal SK 435962 11/28/2008 SELL										
169044	7/22/2008	1,000.000	13,574.92	35,403.10	0.00	0.00	(21,828.18)	0.00	0.00	(21,828.18)
Total for Journal SK 435962		1,000.000	13,574.92	35,403.10	0.00	0.00	(21,828.18)	0.00	0.00	(21,828.18)
Journal SK 438131 12/11/2008 SELL										
169044	7/22/2008	1,000.000	19,147.39	35,403.10	0.00	0.00	(16,255.71)	0.00	0.00	(16,255.71)
Total for Journal SK 438131		1,000.000	19,147.39	35,403.10	0.00	0.00	(16,255.71)	0.00	0.00	(16,255.71)
Journal SK 462167 7/20/2009 SELL										
169044	7/22/2008	200.000	5,093.76	7,080.62	0.00	0.00	(1,986.86)	0.00	0.00	(1,986.86)
Total for Journal SK 462167		200.000	5,093.76	7,080.62	0.00	0.00	(1,986.86)	0.00	0.00	(1,986.86)
Total CCI - Long		2,200.000	37,816.07	77,886.82	0.00	0.00	(40,070.75)	0.00	0.00	(40,070.75)
CHS/CHICOS FAS INC										
Journal SK 460292 6/25/2009 SELL										
182301	5/26/2009	1,800.000	18,424.50	15,583.50	0.00	0.00	2,841.00	0.00	0.00	2,841.00
Total for Journal SK 460292		1,800.000	18,424.50	15,583.50	0.00	0.00	2,841.00	0.00	0.00	2,841.00
Total CHS - Long		1,800.000	18,424.50	15,583.50	0.00	0.00	2,841.00	0.00	0.00	2,841.00
CLR/CONTINENTAL RESOURCES INC										
Journal SK 463666 8/4/2009 SELL										
180655	3/10/2009	50.000	1,720.42	875.50	0.00	0.00	844.92	0.00	0.00	844.92
Total for Journal SK 463666		50.000	1,720.42	875.50	0.00	0.00	844.92	0.00	0.00	844.92
Journal SK 463920 8/6/2009 SELL										
180655	3/10/2009	450.000	16,977.07	7,879.50	0.00	0.00	9,097.57	0.00	0.00	9,097.57
180709	3/10/2009	250.000	9,431.71	4,368.25	0.00	0.00	5,063.46	0.00	0.00	5,063.46
Total for Journal SK 463920		700.000	26,408.78	12,247.75	0.00	0.00	14,161.03	0.00	0.00	14,161.03
Total CLR - Long		750.000	28,129.20	13,123.25	0.00	0.00	15,005.95	0.00	0.00	15,005.95
DLB/DOLBY LABORATORIES INC										
Journal SK 435698 11/24/2008 SELL										

Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail
For the Period 11/01/2008 to 10/31/2009

Lot #	Date Opened	Quantity	Base Proceeds	Basis	Long	Pre-Long	Short	Pre-Short	Ordinary	Total
U.S. Dollar - USD										
ADEFF - NEIL AND SANDRA DEFFEO FAMILY FOUNDATION - JTD-ADEFF										
Long Positions										
Equity - E										
Common Stock - CS										
DLB / DOLBY LABORATORIES, INC										
Journal SK 435698	11/24/2008	SELL								
169039	7/22/2008		13,985.42	20,437.90	0.00	0.00	(6,452.48)	0.00	0.00	(6,452.48)
Total for Journal SK 435698			13,985.42	20,437.90	0.00	0.00	(6,452.48)	0.00	0.00	(6,452.48)
Journal SK 449828	3/20/2009	SELL								
169039	7/22/2008		6,632.00	8,175.16	0.00	0.00	(1,543.16)	0.00	0.00	(1,543.16)
Total for Journal SK 449828			6,632.00	8,175.16	0.00	0.00	(1,543.16)	0.00	0.00	(1,543.16)
Journal SK 452967	4/17/2009	SELL								
169039	7/22/2008		14,512.10	16,350.32	0.00	0.00	(1,838.22)	0.00	0.00	(1,838.22)
Total for Journal SK 452967			14,512.10	16,350.32	0.00	0.00	(1,838.22)	0.00	0.00	(1,838.22)
Journal SK 455107	4/29/2009	SELL								
169039	7/22/2008		15,979.90	16,350.32	0.00	0.00	(370.42)	0.00	0.00	(370.42)
Total for Journal SK 455107			15,979.90	16,350.32	0.00	0.00	(370.42)	0.00	0.00	(370.42)
Journal SK 462086	7/17/2009	SELL								
169039	7/22/2008		3,885.20	4,087.58	0.00	0.00	(202.38)	0.00	0.00	(202.38)
Total for Journal SK 462086			3,885.20	4,087.58	0.00	0.00	(202.38)	0.00	0.00	(202.38)
Journal SK 462483	7/22/2009	SELL								
169039	7/22/2008		16,133.58	16,350.32	0.00	0.00	(216.74)	0.00	0.00	(216.74)
Total for Journal SK 462483			16,133.58	16,350.32	0.00	0.00	(216.74)	0.00	0.00	(216.74)
Journal SK 462616	7/23/2009	SELL								
169039	7/22/2008		16,361.57	16,350.32	11.25	0.00	0.00	0.00	0.00	11.25
Total for Journal SK 462616			16,361.57	16,350.32	11.25	0.00	0.00	0.00	0.00	11.25
Journal SK 462686	7/24/2009	SELL								
169039	7/22/2008		4,197.64	4,087.58	110.06	0.00	0.00	0.00	0.00	110.06
Total for Journal SK 462686			4,197.64	4,087.58	110.06	0.00	0.00	0.00	0.00	110.06
Journal SK 463216	7/30/2009	SELL								
167846	7/07/2008		8,405.60	8,049.00	356.60	0.00	0.00	0.00	0.00	356.60
Total for Journal SK 463216			8,405.60	8,049.00	356.60	0.00	0.00	0.00	0.00	356.60
Journal SK 463240	7/30/2009	SELL								
167846	7/07/2008		7,150.01	6,841.65	308.36	0.00	0.00	0.00	0.00	308.36
Total for Journal SK 463240			7,150.01	6,841.65	308.36	0.00	0.00	0.00	0.00	308.36
Journal SK 463317	7/30/2009	SELL								
167846	7/07/2008		8,691.27	8,049.00	642.27	0.00	0.00	0.00	0.00	642.27
Total for Journal SK 463317			8,691.27	8,049.00	642.27	0.00	0.00	0.00	0.00	642.27
Journal SK 463386	7/31/2009	SELL								
167846	7/07/2008		24,955.63	24,147.00	808.63	0.00	0.00	0.00	0.00	808.63
Total for Journal SK 463386			24,955.63	24,147.00	808.63	0.00	0.00	0.00	0.00	808.63
Journal SK 463491	8/31/2009	SELL								

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Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail **For the Period 11/01/2008 to 10/31/2009**

Lot #	Date Opened	Quantity	Base Proceeds	Basis	Long	Pre-Long	Base Gain/Loss		Pre-Short	Ordinary	Total
							Short	Long			
U.S. Dollar - USD											
ADEFF - NEIL AND SANDRA DEPEO FAMILY FOUNDATION - JTD-ADEFF											
Long Positions											
Equity - E											
Common Stock - CS											
DLB/DOLBY LABORATORIES INC											
Journal SK 463491 8/3/2009 SELL											
167846	7/07/2008	200.000	8,382.48	8,049.00	333.48	0.00	0.00	0.00	0.00	0.00	333.48
Total for Journal SK 463491		200.000	8,382.48	8,049.00	333.48	0.00	0.00	0.00	0.00	0.00	333.48
Journal SK 463646 8/4/2009 SELL											
167846	7/07/2008	100.000	4,192.78	4,024.50	168.28	0.00	0.00	0.00	0.00	0.00	168.28
Total for Journal SK 463646		100.000	4,192.78	4,024.50	168.28	0.00	0.00	0.00	0.00	0.00	168.28
Journal SK 463739 8/5/2009 SELL											
167846	7/07/2008	200.000	8,202.60	8,049.00	153.60	0.00	0.00	0.00	0.00	0.00	153.60
Total for Journal SK 463739		200.000	8,202.60	8,049.00	153.60	0.00	0.00	0.00	0.00	0.00	153.60
Journal SK 463810 8/6/2009 SELL											
167846	7/07/2008	300.000	11,964.59	12,073.50	(108.91)	0.00	0.00	0.00	0.00	0.00	(108.91)
Total for Journal SK 463810		300.000	11,964.59	12,073.50	(108.91)	0.00	0.00	0.00	0.00	0.00	(108.91)
Journal SK 463985 8/7/2009 SELL											
167846	7/07/2008	530.000	21,246.78	21,329.85	(83.07)	0.00	0.00	0.00	0.00	0.00	(83.07)
182408	5/27/2009	170.000	6,815.00	6,179.40	0.00	0.00	635.60	0.00	0.00	0.00	635.60
Total for Journal SK 463985		700.000	28,061.78	27,509.25	(83.07)	0.00	635.60	0.00	0.00	0.00	552.53
Journal SK 464011 8/7/2009 SELL											
182408	5/27/2009	30.000	1,204.06	1,090.48	0.00	0.00	113.58	0.00	0.00	0.00	113.58
182457	5/28/2009	100.000	4,013.55	3,534.72	0.00	0.00	478.83	0.00	0.00	0.00	478.83
Total for Journal SK 464011		130.000	5,217.61	4,625.20	0.00	0.00	592.41	0.00	0.00	0.00	592.41
Total DLB - Long		5,300.000	206,911.76	213,606.60	2,700.55	0.00	(9,395.39)	0.00	0.00	0.00	(6,694.84)
DSX/DIANA SHIPPING INC											
Journal SK 455227 4/30/2009 SELL											
168996	7/22/2008	1,000.000	15,778.09	29,140.20	0.00	0.00	(13,362.11)	0.00	0.00	0.00	(13,362.11)
169022	7/22/2008	500.000	7,889.05	14,525.70	0.00	0.00	(6,636.65)	0.00	0.00	0.00	(6,636.65)
169553	8/01/2008	700.000	11,044.67	20,447.35	0.00	0.00	(9,402.68)	0.00	0.00	0.00	(9,402.68)
170184	8/19/2008	500.000	7,889.04	14,149.40	0.00	0.00	(6,260.36)	0.00	0.00	0.00	(6,260.36)
170211	8/19/2008	100.000	1,577.81	2,872.91	0.00	0.00	(1,295.10)	0.00	0.00	0.00	(1,295.10)
170374	8/28/2008	100.000	1,577.81	2,848.02	0.00	0.00	(1,270.21)	0.00	0.00	0.00	(1,270.21)
170400	8/29/2008	100.000	1,577.81	2,844.19	0.00	0.00	(1,266.38)	0.00	0.00	0.00	(1,266.38)
Total for Journal SK 455227		3,000.000	47,334.28	86,827.77	0.00	0.00	(39,493.49)	0.00	0.00	0.00	(39,493.49)
Journal SK 455401 5/1/2009 SELL											
170184	8/19/2008	100.000	1,622.64	2,829.88	0.00	0.00	(1,207.24)	0.00	0.00	0.00	(1,207.24)
170442	9/02/2008	800.000	12,981.11	22,454.72	0.00	0.00	(9,473.61)	0.00	0.00	0.00	(9,473.61)
170641	9/05/2008	500.000	8,113.19	11,798.25	0.00	0.00	(3,685.06)	0.00	0.00	0.00	(3,685.06)
171933	9/17/2008	200.000	3,245.27	4,689.06	0.00	0.00	(1,443.79)	0.00	0.00	0.00	(1,443.79)
Total for Journal SK 455401		1,600.000	25,962.21	41,771.91	0.00	0.00	(15,809.70)	0.00	0.00	0.00	(15,809.70)

Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail For the Period 11/01/2008 to 10/31/2009

Lot #	Date Opened	Quantity	Base		Long	Pre-Long	Base Gain/Loss		Pre-Short	Ordinary	Total
			Proceeds	Basis			Short				
U.S. Dollar - USD											
ADEFF - NCIL AND SANDRA DEFEFO FAMILY FOUNDATION - JTD-ADEFF											
Long Positions											
Equity - E											
Common Stock - CS											
DSX / DIANA SHIPPING INC											
Journal SK 455428	5/1/2009	SELL									
171239	9/11/2008	100 000	1,630.09	2,321.18	0.00	0.00	(691.09)	0.00	0.00	0.00	(691.09)
171933	9/17/2008	200 000	3,260.18	4,689.06	0.00	0.00	(1,428.88)	0.00	0.00	0.00	(1,428.88)
172065	9/18/2008	400 000	6,520.35	9,023.64	0.00	0.00	(2,503.29)	0.00	0.00	0.00	(2,503.29)
172387	9/25/2008	600 000	9,780.53	12,787.26	0.00	0.00	(3,006.73)	0.00	0.00	0.00	(3,006.73)
172515	9/26/2008	500 000	8,150.44	10,778.20	0.00	0.00	(2,627.76)	0.00	0.00	0.00	(2,627.76)
179643	1/28/2009	300 000	4,890.25	4,068.18	0.00	0.00	822.07	0.00	0.00	0.00	822.07
179659	1/28/2009	200 000	3,260.18	2,807.50	0.00	0.00	452.68	0.00	0.00	0.00	452.68
Total for Journal SK 455428		2,300 000	37,492.02	46,475.02	0.00	0.00	(8,983.00)	0.00	0.00	0.00	(8,983.00)
Total DSX - Long		6,900 000	110,788.51	175,074.70	0.00	0.00	(64,286.19)	0.00	0.00	0.00	(64,286.19)
DEVON ENERGY CORP NEW											
Journal SK 442428	1/9/2009	SELL									
176161	10/29/2008	100 000	6,631.14	7,595.18	0.00	0.00	(964.04)	0.00	0.00	0.00	(964.04)
Total for Journal SK 442428		100 000	6,631.14	7,595.18	0.00	0.00	(964.04)	0.00	0.00	0.00	(964.04)
Journal SK 442459	1/9/2009	SELL									
175426	10/17/2008	200 000	13,254.88	15,131.14	0.00	0.00	(1,876.26)	0.00	0.00	0.00	(1,876.26)
Total for Journal SK 442459		200 000	13,254.88	15,131.14	0.00	0.00	(1,876.26)	0.00	0.00	0.00	(1,876.26)
Journal SK 442576	1/12/2009	SELL									
175454	10/17/2008	100 000	6,416.59	7,415.78	0.00	0.00	(999.19)	0.00	0.00	0.00	(999.19)
175494	10/17/2008	15 000	962.49	1,081.71	0.00	0.00	(119.22)	0.00	0.00	0.00	(119.22)
177354	11/24/2008	85 000	5,454.10	6,256.63	0.00	0.00	(802.53)	0.00	0.00	0.00	(802.53)
Total for Journal SK 442576		200 000	12,833.18	14,754.12	0.00	0.00	(1,920.94)	0.00	0.00	0.00	(1,920.94)
Journal SK 442750	1/13/2009	SELL									
175494	10/17/2008	100 000	6,451.78	7,211.37	0.00	0.00	(759.59)	0.00	0.00	0.00	(759.59)
Total for Journal SK 442750		100 000	6,451.78	7,211.37	0.00	0.00	(759.59)	0.00	0.00	0.00	(759.59)
Journal SK 442777	1/13/2009	SELL									
175494	10/17/2008	85 000	5,483.48	6,129.66	0.00	0.00	(646.18)	0.00	0.00	0.00	(646.18)
176466	11/13/2008	15 000	967.67	1,051.65	0.00	0.00	(83.98)	0.00	0.00	0.00	(83.98)
Total for Journal SK 442777		100 000	6,451.15	7,181.31	0.00	0.00	(730.16)	0.00	0.00	0.00	(730.16)
Journal SK 442804	1/13/2009	SELL									
175879	10/28/2008	15 000	968.28	975.15	0.00	0.00	(6.87)	0.00	0.00	0.00	(6.87)
176466	11/13/2008	85 000	5,486.94	5,959.38	0.00	0.00	(472.44)	0.00	0.00	0.00	(472.44)
Total for Journal SK 442804		100 000	6,455.22	6,934.53	0.00	0.00	(479.31)	0.00	0.00	0.00	(479.31)
Journal SK 442831	1/13/2009	SELL									
175879	10/28/2008	100 000	6,448.87	6,500.98	0.00	0.00	(52.11)	0.00	0.00	0.00	(52.11)
Total for Journal SK 442831		100 000	6,448.87	6,500.98	0.00	0.00	(52.11)	0.00	0.00	0.00	(52.11)
Journal SK 443414	1/20/2009	SELL									

Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail For the Period 11/01/2008 to 10/31/2009

Lot #	Date Opened	Quantity	Base Proceeds	Basis	Long	Pre-Long	Short	Pre-Short	Ordinary	Total
U.S. Dollar - USD										
ADICFF - NEIL AND SANDRA DEEEO FAMILY FOUNDATION - JTD-ADICFF										
Long Positions										
Equity - E										
Common Stock - CS										
DVN / DEVON ENERGY CORP NEW										
Journal SK 443414	1/20/2009	SELL								
175879	10/28/2008		4,848.47	5,525.83	0.00	0.00	(677.36)	0.00	0.00	(677.36)
Total for Journal SK 443414			4,848.47	5,525.83	0.00	0.00	(677.36)	0.00	0.00	(677.36)
Total DVN - Long			63,374.69	70,834.46	0.00	0.00	(7,459.77)	0.00	0.00	(7,459.77)
EMC / EMC CORP MASS										
Journal SK 463367	7/31/2009	SELL								
183928	6/25/2009		3,014.92	2,690.78	0.00	0.00	324.14	0.00	0.00	324.14
Total for Journal SK 463367			3,014.92	2,690.78	0.00	0.00	324.14	0.00	0.00	324.14
Journal SK 466732	9/14/2009	SELL								
183928	6/25/2009		28,400.99	22,871.63	0.00	0.00	5,529.36	0.00	0.00	5,529.36
Total for Journal SK 466732			28,400.99	22,871.63	0.00	0.00	5,529.36	0.00	0.00	5,529.36
Total EMC - Long			31,415.91	25,562.41	0.00	0.00	5,853.50	0.00	0.00	5,853.50
ESRX / EXPRESS SCRIPTS INC										
Journal SK 466750	9/14/2009	SELL								
183419	6/18/2009		39,070.49	32,790.50	0.00	0.00	6,279.99	0.00	0.00	6,279.99
Total for Journal SK 466750			39,070.49	32,790.50	0.00	0.00	6,279.99	0.00	0.00	6,279.99
Total ESRX - Long			39,070.49	32,790.50	0.00	0.00	6,279.99	0.00	0.00	6,279.99
HCBK / HUDSON CITY BANCORP										
Journal SK 443440	1/20/2009	SELL								
175154	10/17/2008		2,582.78	3,474.82	0.00	0.00	(892.04)	0.00	0.00	(892.04)
Total for Journal SK 443440			2,582.78	3,474.82	0.00	0.00	(892.04)	0.00	0.00	(892.04)
Journal SK 443459	1/20/2009	SELL								
175103	10/15/2008		3,844.00	5,097.21	0.00	0.00	(1,253.21)	0.00	0.00	(1,253.21)
175121	10/15/2008		2,562.66	3,406.22	0.00	0.00	(843.56)	0.00	0.00	(843.56)
175354	10/17/2008		3,844.00	5,212.23	0.00	0.00	(1,368.23)	0.00	0.00	(1,368.23)
175719	10/24/2008		1,281.33	1,622.82	0.00	0.00	(341.49)	0.00	0.00	(341.49)
Total for Journal SK 443459			11,531.99	15,338.48	0.00	0.00	(3,806.49)	0.00	0.00	(3,806.49)
Journal SK 443478	1/20/2009	SELL								
175719	10/24/2008		2,591.82	3,245.64	0.00	0.00	(653.82)	0.00	0.00	(653.82)
Total for Journal SK 443478			2,591.82	3,245.64	0.00	0.00	(653.82)	0.00	0.00	(653.82)
Journal SK 443497	1/20/2009	SELL								
175719	10/24/2008		5,073.01	6,491.28	0.00	0.00	(1,418.27)	0.00	0.00	(1,418.27)
Total for Journal SK 443497			5,073.01	6,491.28	0.00	0.00	(1,418.27)	0.00	0.00	(1,418.27)
Journal SK 443515	1/20/2009	SELL								
175719	10/24/2008		3,768.54	4,868.46	0.00	0.00	(1,099.92)	0.00	0.00	(1,099.92)

Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail
For the Period 11/01/2008 to 10/31/2009

Lot #	Date Opened	Quantity	Base Proceeds	Basis	Long	Pre-Long	Base Gain/Loss Short	Pre-Short	Ordinary	Total
U.S. Dollar - USD										
ADEFF - NEIL AND SANDRA DEFFO FAMILY FOUNDATION - JTD-ADEFF										
Long Positions										
Equity - E										
Common Stock - CS										
ICBK/HUDSON CITY BANCORP										
Journal SK 443515 12/02/2009 SELL		300,000	3,768.54	4,868.46	0.00	0.00	(1,099.92)	0.00	0.00	(1,099.92)
Total for Journal SK 443515		2,000,000	25,548.14	33,418.68	0.00	0.00	(7,870.54)	0.00	0.00	(7,870.54)
ICLR/ICON PUB LTD CO										
Journal SK 465974 9/10/2009 SELL		400,000	9,220.28	8,717.40	0.00	0.00	502.88	0.00	0.00	502.88
184976 7/22/2009		400,000	9,220.28	8,717.40	0.00	0.00	502.88	0.00	0.00	502.88
Total for Journal SK 465974										
Journal SK 465993 9/10/2009 SELL		300,000	6,901.14	6,538.05	0.00	0.00	363.09	0.00	0.00	363.09
184976 7/22/2009		300,000	6,901.14	6,538.05	0.00	0.00	363.09	0.00	0.00	363.09
Total for Journal SK 465993										
Journal SK 466011 9/10/2009 SELL		100,000	2,297.89	2,179.35	0.00	0.00	118.54	0.00	0.00	118.54
184976 7/22/2009		100,000	2,297.89	2,179.35	0.00	0.00	118.54	0.00	0.00	118.54
Total for Journal SK 466011										
Journal SK 466367 9/11/2009 SELL		100,000	2,307.94	2,176.24	0.00	0.00	131.70	0.00	0.00	131.70
184976 7/22/2009		400,000	9,231.76	8,717.40	0.00	0.00	514.36	0.00	0.00	514.36
Total for Journal SK 466367		500,000	11,539.70	10,893.64	0.00	0.00	646.06	0.00	0.00	646.06
Journal SK 466386 9/11/2009 SELL		200,000	4,620.68	4,352.48	0.00	0.00	268.20	0.00	0.00	268.20
184961 7/22/2009		200,000	4,620.68	4,352.48	0.00	0.00	268.20	0.00	0.00	268.20
Total for Journal SK 466386		1,500,000	34,579.69	32,680.92	0.00	0.00	1,898.77	0.00	0.00	1,898.77
ICLR - Long										
IMA/INVERNESS MED INNOVATIONS INC										
Journal SK 462100 7/17/2009 SELL		200,000	6,545.43	4,991.46	0.00	0.00	1,553.97	0.00	0.00	1,553.97
175042 10/14/2008		200,000	6,545.43	4,991.46	0.00	0.00	1,553.97	0.00	0.00	1,553.97
Total for Journal SK 462100										
Journal SK 462875 7/28/2009 SELL		100,000	3,334.38	2,495.73	0.00	0.00	838.65	0.00	0.00	838.65
175042 10/14/2008		100,000	3,334.38	2,495.73	0.00	0.00	838.65	0.00	0.00	838.65
Total for Journal SK 462875										
Journal SK 462923 7/28/2009 SELL		100,000	3,301.07	2,495.73	0.00	0.00	805.34	0.00	0.00	805.34
175042 10/14/2008		100,000	3,301.07	2,495.73	0.00	0.00	805.34	0.00	0.00	805.34
Total for Journal SK 462923										
Journal SK 462966 7/29/2009 SELL		100,000	3,270.35	2,495.73	0.00	0.00	774.62	0.00	0.00	774.62
175042 10/14/2008		100,000	3,270.35	2,495.73	0.00	0.00	774.62	0.00	0.00	774.62
Total for Journal SK 462966		200,000	6,540.69	4,976.46	0.00	0.00	1,564.23	0.00	0.00	1,564.23
175036 10/14/2008										
Total for Journal SK 466593										
Journal SK 466593 9/11/2009 SELL										

Dawson Capital Management, Inc

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Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail For the Period 11/01/2008 to 10/31/2009

Lot #	Date Opened	Quantity	Base Proceeds	Basis	Long	Pre-Long	Short	Base Gain/Loss	Pre-Short	Ordinary	Total
U.S. Dollar - USD											
ADEFF - NEIL AND SANDRA DETLO FAMILY FOUNDATION - JTD-ADEFF											
Long Positions											
Equity - E											
Common Stock - CS											
IMA/INVERNESS MED INNOVATIONS IN											
Journal SK 466593	9/11/2009	SELL									
175056	10/14/2008		3,946.16	2,480.73	0.00	0.00	1,465.43	0.00	0.00	0.00	1,465.43
180873	3/11/2009		35,515.42	21,567.24	0.00	0.00	13,948.18	0.00	0.00	0.00	13,948.18
Total for Journal SK 466593		1,000.000	39,461.58	24,047.97	0.00	0.00	15,413.61	0.00	0.00	0.00	15,413.61
Journal SK 466921	9/14/2009	SELL									
175340	10/17/2008		31,975.90	18,200.80	0.00	0.00	13,775.10	0.00	0.00	0.00	13,775.10
180873	3/11/2009		7,993.97	4,792.72	0.00	0.00	3,201.25	0.00	0.00	0.00	3,201.25
Total for Journal SK 466921		200.000	39,969.87	22,993.52	0.00	0.00	16,976.35	0.00	0.00	0.00	16,976.35
Total IMA - Long		1,200.000	99,153.02	62,000.87	0.00	0.00	37,152.15	0.00	0.00	0.00	37,152.15
JPM/JPMORGAN CHASE & CO											
Journal SK 447429	3/5/2009	SELL									
167845	7/07/2008		4,884.63	10,255.98	0.00	0.00	(5,371.35)	0.00	0.00	0.00	(5,371.35)
172960	10/06/2008		9,769.26	26,085.06	0.00	0.00	(16,315.80)	0.00	0.00	0.00	(16,315.80)
Total for Journal SK 447429		600.000	14,653.89	36,341.04	0.00	0.00	(21,687.15)	0.00	0.00	0.00	(21,687.15)
Journal SK 450420	3/23/2009	SELL									
167845	7/07/2008		43,431.91	54,698.56	0.00	0.00	(11,266.65)	0.00	0.00	0.00	(11,266.65)
Total for Journal SK 450420		1,600.000	43,431.91	54,698.56	0.00	0.00	(11,266.65)	0.00	0.00	0.00	(11,266.65)
Journal SK 452905	4/17/2009	SELL									
167845	7/07/2008		16,809.11	17,093.30	0.00	0.00	(284.19)	0.00	0.00	0.00	(284.19)
Total for Journal SK 452905		500.000	16,809.11	17,093.30	0.00	0.00	(284.19)	0.00	0.00	0.00	(284.19)
Journal SK 452932	4/17/2009	SELL									
167845	7/07/2008		36,921.53	37,605.26	0.00	0.00	(683.73)	0.00	0.00	0.00	(683.73)
Total for Journal SK 452932		1,100.000	36,921.53	37,605.26	0.00	0.00	(683.73)	0.00	0.00	0.00	(683.73)
Total JPM - Long		4,100.000	111,816.44	145,738.16	0.00	0.00	(33,921.72)	0.00	0.00	0.00	(33,921.72)
LBTYA/LIBERTY GLOBAL INC											
Journal SK 462635	7/23/2009	SELL									
182880	6/11/2009		10,137.23	7,548.40	0.00	0.00	2,588.83	0.00	0.00	0.00	2,588.83
Total for Journal SK 462635		500.000	10,137.23	7,548.40	0.00	0.00	2,588.83	0.00	0.00	0.00	2,588.83
Journal SK 463368	7/31/2009	SELL									
182880	6/11/2009		6,301.33	4,529.04	0.00	0.00	1,772.29	0.00	0.00	0.00	1,772.29
Total for Journal SK 463368		300.000	6,301.33	4,529.04	0.00	0.00	1,772.29	0.00	0.00	0.00	1,772.29
Journal SK 463906	8/6/2009	SELL									
180777	3/10/2009		27,738.29	13,370.50	0.00	0.00	14,367.79	0.00	0.00	0.00	14,367.79
182880	6/11/2009		6,401.15	4,529.04	0.00	0.00	1,872.11	0.00	0.00	0.00	1,872.11
Total for Journal SK 463906		300.000	34,139.44	17,899.54	0.00	0.00	16,239.90	0.00	0.00	0.00	16,239.90

Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail
For the Period 11/01/2008 to 10/31/2009

Lot #	Date Opened	Quantity	Base Proceeds	Basis	Long	Pre-Long	Short	Pre-Short	Ordinary	Total
U S Dollar - USD										
ADEFF - NEIL AND SANDRA DEFFEO FAMILY FOUNDATION - JTD-ADEFF										
Long Positions										
Equity - E										
Common Stock - CS										
LBTA/LIBERTY GLOBAL INC										
Total LBTTVA - Long		2,400,000	50,578.00	29,976.98	0.00	0.00	20,601.02	0.00	0.00	20,601.02
LRCX/LAMRESEARCH CORP										
Journal SK 466404 9/11/2009 SELL		305,000	10,562.16	9,320.31	0.00	0.00	1,241.85	0.00	0.00	1,241.85
185516 8/26/2009		500,000	17,315.00	15,681.95	0.00	0.00	1,633.05	0.00	0.00	1,633.05
185570 8/28/2009		495,000	17,141.85	15,143.49	0.00	0.00	1,998.36	0.00	0.00	1,998.36
185893 9/01/2009		1,300,000	45,019.01	40,145.75	0.00	0.00	4,873.26	0.00	0.00	4,873.26
Total for Journal SK 466404										
Journal SK 468107 9/24/2009 SELL		795,000	26,783.34	24,293.93	0.00	0.00	2,489.41	0.00	0.00	2,489.41
185516 8/26/2009		205,000	6,906.39	6,233.48	0.00	0.00	672.91	0.00	0.00	672.91
185543 8/27/2009		1,000,000	33,689.73	30,527.41	0.00	0.00	3,162.32	0.00	0.00	3,162.32
Total for Journal SK 468107										
Journal SK 468605 10/1/2009 SELL		395,000	13,073.80	12,010.84	0.00	0.00	1,062.96	0.00	0.00	1,062.96
185543 8/27/2009		105,000	3,475.32	3,123.09	0.00	0.00	302.23	0.00	0.00	302.23
185722 9/01/2009		500,000	16,549.12	15,183.93	0.00	0.00	1,365.19	0.00	0.00	1,365.19
Total for Journal SK 468605										
Journal SK 468836 10/2/2009 SELL		185,000	6,022.35	5,590.68	0.00	0.00	431.67	0.00	0.00	431.67
185722 9/01/2009		380,000	12,370.24	11,397.83	0.00	0.00	972.41	0.00	0.00	972.41
185749 9/02/2009		565,000	18,392.59	16,988.51	0.00	0.00	1,404.08	0.00	0.00	1,404.08
Total for Journal SK 468836										
Total LRCX - Long		3,365,000	113,650.45	102,845.60	0.00	0.00	10,804.85	0.00	0.00	10,804.85
MCD/MCDONALDS CORP										
Journal SK 463573 8/3/2009 SELL		350,000	19,307.98	20,576.85	0.00	0.00	(1,268.87)	0.00	0.00	(1,268.87)
182319 5/26/2009		250,000	13,791.42	15,111.80	0.00	0.00	(1,320.38)	0.00	0.00	(1,320.38)
182546 6/02/2009		600,000	33,099.40	35,688.65	0.00	0.00	(2,589.25)	0.00	0.00	(2,589.25)
Total for Journal SK 463573										
Journal SK 463685 8/4/2009 SELL		150,000	8,249.07	8,818.65	0.00	0.00	(569.58)	0.00	0.00	(569.58)
182319 5/26/2009		300,000	16,498.14	17,588.07	0.00	0.00	(1,089.93)	0.00	0.00	(1,089.93)
182434 5/27/2009		150,000	8,249.06	8,766.00	0.00	0.00	(516.94)	0.00	0.00	(516.94)
183445 6/18/2009		600,000	32,996.27	35,172.72	0.00	0.00	(2,176.45)	0.00	0.00	(2,176.45)
Total for Journal SK 463685										
Journal SK 463857 8/6/2009 SELL		300,000	16,413.51	17,518.26	0.00	0.00	(1,104.75)	0.00	0.00	(1,104.75)
182568 6/11/2009		600,000	32,827.02	34,814.40	0.00	0.00	(1,987.38)	0.00	0.00	(1,987.38)
183333 6/17/2009		150,000	8,206.75	8,766.00	0.00	0.00	(559.25)	0.00	0.00	(559.25)
183445 6/18/2009		250,000	13,677.92	14,323.10	0.00	0.00	(645.18)	0.00	0.00	(645.18)
183914 6/25/2009		1,300,000	71,125.20	75,421.76	0.00	0.00	(4,296.56)	0.00	0.00	(4,296.56)
Total for Journal SK 463857										

Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail For the Period 11/01/2008 to 10/31/2009

Lot #	Date Opened	Quantity	Rate		Basis	Long	Pre-Long	Base Gain/(Loss)		Pre-Short	Ordinary	Total
			Proceeds					Short				
U.S Dollar - USD												
ADEFF - NEIL AND SANDRA DETEO FAMILY FOUNDATION - JTD-ADEFF												
Long Positions												
Equity - C												
Common Stock - CS												
MCD/MCDONALDS CORP												
Total MCD - Long		2,500,000	137,220.87		146,283.13	0.00	0.00		(9,062.26)	0.00	0.00	(9,062.26)
MDSO/MEDIDATA SOLUTIONS INC												
Journal SK 460392 6/25/2009 SELL		100,000	1,740.03		1,400.00	0.00	0.00		340.03	0.00	0.00	340.03
183946		100,000	1,740.03		1,400.00	0.00	0.00		340.03	0.00	0.00	340.03
Total for Journal SK 460392		100,000	1,740.03		1,400.00	0.00	0.00		340.03	0.00	0.00	340.03
Total MDSO - Long												
MHS/MEDCO HEALTH SOLUTIONS INC												
Journal SK 466618 9/11/2009 SELL		200,000	11,073.63		9,055.46	0.00	0.00		2,018.17	0.00	0.00	2,018.17
183374		200,000	11,073.63		9,055.46	0.00	0.00		2,018.17	0.00	0.00	2,018.17
Total for Journal SK 466618												
Journal SK 466634 9/11/2009 SELL		100,000	5,533.84		4,527.73	0.00	0.00		1,006.11	0.00	0.00	1,006.11
183374		100,000	5,533.84		4,527.73	0.00	0.00		1,006.11	0.00	0.00	1,006.11
Total for Journal SK 466634												
Journal SK 466651 9/11/2009 SELL		300,000	16,624.03		13,582.35	0.00	0.00		3,041.68	0.00	0.00	3,041.68
183357		100,000	5,541.35		4,527.73	0.00	0.00		1,013.62	0.00	0.00	1,013.62
183374		400,000	22,165.38		18,110.08	0.00	0.00		4,055.30	0.00	0.00	4,055.30
Total for Journal SK 466651												
Total MHS - Long		700,000	38,772.85		31,693.27	0.00	0.00		7,079.58	0.00	0.00	7,079.58
MON/MONSANTO CO NEW												
Journal SK 455552 5/1/2009 SELL		400,000	33,721.33		35,582.44	0.00	0.00		(1,861.11)	0.00	0.00	(1,861.11)
175013		400,000	33,721.33		35,582.44	0.00	0.00		(1,861.11)	0.00	0.00	(1,861.11)
Total for Journal SK 455552												
Journal SK 462636 7/23/2009 SELL		50,000	4,216.14		4,447.81	0.00	0.00		(231.67)	0.00	0.00	(231.67)
175013		50,000	4,216.14		4,447.81	0.00	0.00		(231.67)	0.00	0.00	(231.67)
Total for Journal SK 462636												
Journal SK 463882 8/6/2009 SELL		1,300,000	108,697.09		100,863.62	0.00	0.00		7,833.47	0.00	0.00	7,833.47
180778		1,300,000	108,697.09		100,863.62	0.00	0.00		7,833.47	0.00	0.00	7,833.47
Total for Journal SK 463882		1,750,000	146,634.56		140,893.87	0.00	0.00		5,740.69	0.00	0.00	5,740.69
Total MON - Long												
NE/NOBLE CORPORATION												
Journal SK 438134 12/11/2008 SELL		200,000	5,350.31		9,215.44	0.00	0.00		(3,865.13)	0.00	0.00	(3,865.13)
170798		200,000	5,350.31		9,215.44	0.00	0.00		(3,865.13)	0.00	0.00	(3,865.13)
170822		400,000	10,700.62		18,424.30	0.00	0.00		(7,723.68)	0.00	0.00	(7,723.68)
Total for Journal SK 438134												

Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail **For the Period 11/01/2008 to 10/31/2009**

Lot #	Date Opened	Quantity	Base Proceeds	Basis	Long	Pre-Long	Base Gain/Loss Short	Pre-Short	Ordinary	Total
U.S. Dollar - USD										
ADEFF - NEIL AND SANDRA DEFFEO FAMILY FOUNDATION - JTD-ADEFF										
Long Positions										
Equity - E										
Common Stock - CS										
NE/NOBLE CORPORATION										
Journal SK 451866 4/14/2009 SELL										
170822	9/08/2008	100 000	2,700 74	4,604 43	0 00	0 00	(1 903 69)	0 00	0 00	(1 903 69)
170856	9/08/2008	500 000	13,503 70	22,357 50	0 00	0 00	(8 851 80)	0 00	0 00	(8 851 80)
171428	9/16/2008	400 000	10,802 96	17,596 04	0 00	0 00	(6 793 08)	0 00	0 00	(6 793 08)
Total for Journal SK 451866										
1,000 000			27,007 40	44,557 97	0 00	0 00	(17,550 57)	0 00	0 00	(17 550 57)
Journal SK 452994 4/17/2009 SELL										
171069	9/09/2008	100 000	2,753 15	4,313 12	0 00	0 00	(1,559 97)	0 00	0 00	(1 559 97)
171094	9/09/2008	100 000	2,753 15	4,336 53	0 00	0 00	(1,583 38)	0 00	0 00	(1 583 38)
171116	9/09/2008	200 000	5,506 30	8,665 12	0 00	0 00	(3,158 82)	0 00	0 00	(3 158 82)
171142	9/09/2008	100 000	2,753 15	4,279 90	0 00	0 00	(1,526 75)	0 00	0 00	(1 526 75)
173369	10/07/2008	100 000	2,753 14	3,400 45	0 00	0 00	(647 31)	0 00	0 00	(647 31)
Total for Journal SK 452994										
600 000			16,518 89	24,995 12	0 00	0 00	(8 476 23)	0 00	0 00	(8 476 23)
Journal SK 455081 4/29/2009 SELL										
173369	10/07/2008	400 000	11,152 59	13,601 80	0 00	0 00	(2,449 21)	0 00	0 00	(2 449 21)
176105	10/29/2008	100 000	2,788 15	2,977 03	0 00	0 00	(188 88)	0 00	0 00	(188 88)
Total for Journal SK 455081										
500 000			13,940 74	16,578 83	0 00	0 00	(2 638 09)	0 00	0 00	(2 638 09)
Journal SK 455255 4/20/2009 SELL										
176105	10/29/2008	400 000	11,104 75	11,908 12	0 00	0 00	(803 37)	0 00	0 00	(803 37)
176492	11/13/2008	200 000	5,552 38	5,459 96	0 00	0 00	92 42	0 00	0 00	92 42
Total for Journal SK 455255										
600 000			16,657 13	17,368 08	0 00	0 00	(710 95)	0 00	0 00	(710 95)
Journal SK 455455 5/1/2009 SELL										
174547	10/10/2008	200 000	5,515 70	5,179 92	0 00	0 00	335 78	0 00	0 00	335 78
174573	10/10/2008	200 000	5,515 70	5,049 66	0 00	0 00	466 04	0 00	0 00	466 04
174601	10/10/2008	300 000	8,273 55	7,874 76	0 00	0 00	398 79	0 00	0 00	398 79
176492	11/13/2008	100 000	2,757 85	2,729 98	0 00	0 00	27 87	0 00	0 00	27 87
177288	11/24/2008	100 000	2,757 84	2,440 47	0 00	0 00	317 37	0 00	0 00	317 37
Total for Journal SK 455455										
900 000			24,820 64	23,274 79	0 00	0 00	1,545 85	0 00	0 00	1 545 85
Journal SK 455481 5/1/2009 SELL										
177288	11/24/2008	200 000	5,507 61	4,880 94	0 00	0 00	626 67	0 00	0 00	626 67
Total for Journal SK 455481										
200 000			5,507 61	4,880 94	0 00	0 00	626 67	0 00	0 00	626 67
Total NE - Long										
4,200 000			115,153 03	150,080 03	0 00	0 00	(34,927 00)	0 00	0 00	(34 927 00)
NVDA/NVIDIA CORP										
Journal SK 468633 10/1/2009 SELL										
185626	8/28/2009	1,200 000	16,901 56	17,727 60	0 00	0 00	(826 04)	0 00	0 00	(826 04)
Total for Journal SK 468633										
1,200 000			16,901 56	17,727 60	0 00	0 00	(826 04)	0 00	0 00	(826 04)
Journal SK 468864 10/2/2009 SELL										
185811	9/03/2009	1,200 000	16,620 41	17,327 52	0 00	0 00	(707 11)	0 00	0 00	(707 11)
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Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail **For the Period 11/01/2008 to 10/31/2009**

Lot #	Date Opened	Quantity	Basic		Basis	Long	Pre-Long	Base Gain/Loss		Pre-Short	Ordinary	Total
			Proceeds	Loss				Short				
U.S Dollar - USD												
ADEFF - NEIL AND SANDRA DEFTO FAMILY FOUNDATION - JTD-ADEFF												
Long Positions												
Equity - E												
Common Stock - CS												
NVDA / NVIDIA CORP												
Journal SK 468864	10/2/2009	SELL										
Total for Journal SK 468864			16,620.41		17,327.52	0.00	0.00	(707.11)		0.00	0.00	(707.11)
Total NVDA - Long			33,521.97		35,055.12	0.00	0.00	(1,533.15)		0.00	0.00	(1,533.15)
ORCL / ORACLE CORP												
Journal SK 463663	8/4/2009	SELL										
183494	6/18/2009		2,208.44		2,043.94	0.00	0.00	164.50		0.00	0.00	164.50
Total for Journal SK 463663			2,208.44		2,043.94	0.00	0.00	164.50		0.00	0.00	164.50
Journal SK 466821	9/14/2009	SELL										
182179	5/19/2009		31,879.28		26,629.96	0.00	0.00	5,249.32		0.00	0.00	5,249.32
183494	6/18/2009		4,554.18		4,087.88	0.00	0.00	466.30		0.00	0.00	466.30
Total for Journal SK 466821			36,433.46		30,717.84	0.00	0.00	5,715.62		0.00	0.00	5,715.62
Journal SK 467447	9/17/2009	SELL										
182179	5/19/2009		8,522.46		7,608.56	0.00	0.00	913.90		0.00	0.00	913.90
Total for Journal SK 467447			8,522.46		7,608.56	0.00	0.00	913.90		0.00	0.00	913.90
Journal SK 467476	9/17/2009	SELL										
182179	5/19/2009		8,492.26		7,608.56	0.00	0.00	883.70		0.00	0.00	883.70
Total for Journal SK 467476			8,492.26		7,608.56	0.00	0.00	883.70		0.00	0.00	883.70
Journal SK 467505	9/17/2009	SELL										
182179	5/19/2009		6,335.83		5,706.42	0.00	0.00	629.41		0.00	0.00	629.41
Total for Journal SK 467505			6,335.83		5,706.42	0.00	0.00	629.41		0.00	0.00	629.41
Journal SK 467631	9/17/2009	SELL										
175865	10/28/2008		2,139.66		1,644.57	0.00	0.00	495.09		0.00	0.00	495.09
182179	5/19/2009		34,234.64		30,434.24	0.00	0.00	3,800.40		0.00	0.00	3,800.40
Total for Journal SK 467631			36,374.30		32,078.81	0.00	0.00	4,295.49		0.00	0.00	4,295.49
Journal SK 468189	9/25/2009	SELL										
175865	10/28/2008		18,815.27		14,801.13	0.00	0.00	4,014.14		0.00	0.00	4,014.14
Total for Journal SK 468189			18,815.27		14,801.13	0.00	0.00	4,014.14		0.00	0.00	4,014.14
Journal SK 468523	10/1/2009	SELL										
175865	10/28/2008		8,123.83		6,578.28	0.00	0.00	1,545.55		0.00	0.00	1,545.55
Total for Journal SK 468523			8,123.83		6,578.28	0.00	0.00	1,545.55		0.00	0.00	1,545.55
Journal SK 468891	10/2/2009	SELL										
175865	10/28/2008		8,139.47		6,578.28	0.00	0.00	1,561.19		0.00	0.00	1,561.19
Total for Journal SK 468891			8,139.47		6,578.28	0.00	0.00	1,561.19		0.00	0.00	1,561.19
Total ORCL - Long			133,445.32		113,721.82	0.00	0.00	19,723.50		0.00	0.00	19,723.50
ORLY / O'REILLY AUTOMOTIVE INC												
Journal SK 460856	7/6/2009	SELL										

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Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail
For the Period 11/01/2008 to 10/31/2009

Lot #	Date Opened	Quantity	Proceeds	Base		Base Gain/Loss		Pre-Long	Pre-Short		Total
				Basis	Long	Short	Ordinary				
U.S. Dollar - USD											
ADCF - NEIL AND SANDRA DEFEO FAMILY FOUNDATION - JTD-ADEFF											
Long Positions											
Equity - F											
Common Stock - CS											
ORLY/O'REILLY AUTOMOTIVE INC											
Journal SK 460856	7/6/2009	SELL									
180398	2/24/2009		14,928.49	13,106.76	0.00	0.00	1,821.73	0.00	0.00	0.00	1,821.73
Total for Journal SK 460856			14,928.49	13,106.76	0.00	0.00	1,821.73	0.00	0.00	0.00	1,821.73
Journal SK 466666	9/11/2009	SELL									
180145	2/12/2009		18,293.37	14,124.65	0.00	0.00	4,168.72	0.00	0.00	0.00	4,168.72
180398	2/24/2009		10,976.03	9,810.07	0.00	0.00	1,165.96	0.00	0.00	0.00	1,165.96
Total for Journal SK 466666			29,269.40	23,934.72	0.00	0.00	5,334.68	0.00	0.00	0.00	5,334.68
Total ORLY - Long			44,197.89	37,061.48	0.00	0.00	7,136.41	0.00	0.00	0.00	7,136.41
PG/PROCTER & GAMBLE CO											
Journal SK 434650	11/14/2008	SELL									
167585	12/18/2007		32,372.31	30,245.00	0.00	0.00	2,127.31	0.00	0.00	0.00	2,127.31
Total for Journal SK 434650			32,372.31	30,245.00	0.00	0.00	2,127.31	0.00	0.00	0.00	2,127.31
Journal SK 434680	11/14/2008	SELL									
167585	12/18/2007		32,224.31	30,245.00	0.00	0.00	1,979.31	0.00	0.00	0.00	1,979.31
Total for Journal SK 434680			32,224.31	30,245.00	0.00	0.00	1,979.31	0.00	0.00	0.00	1,979.31
Journal SK 435553	11/24/2008	SELL									
167585	12/18/2007		25,613.85	24,196.00	0.00	0.00	1,417.85	0.00	0.00	0.00	1,417.85
Total for Journal SK 435553			25,613.85	24,196.00	0.00	0.00	1,417.85	0.00	0.00	0.00	1,417.85
Journal SK 435697	11/24/2008	SELL									
167585	12/18/2007		32,247.31	30,245.00	0.00	0.00	2,002.31	0.00	0.00	0.00	2,002.31
Total for Journal SK 435697			32,247.31	30,245.00	0.00	0.00	2,002.31	0.00	0.00	0.00	2,002.31
Journal SK 435961	11/28/2008	SELL									
167585	12/18/2007		63,964.64	60,490.00	0.00	0.00	3,474.64	0.00	0.00	0.00	3,474.64
Total for Journal SK 435961			63,964.64	60,490.00	0.00	0.00	3,474.64	0.00	0.00	0.00	3,474.64
Journal SK 439276	12/16/2008	SELL									
167585	12/18/2007		12,169.93	12,098.00	0.00	0.00	71.93	0.00	0.00	0.00	71.93
Total for Journal SK 439276			12,169.93	12,098.00	0.00	0.00	71.93	0.00	0.00	0.00	71.93
Journal SK 448709	3/11/2009	SELL									
167585	12/18/2007		11,345.13	15,182.99	(3,837.86)	0.00	0.00	0.00	0.00	0.00	(3,837.86)
Total for Journal SK 448709			11,345.13	15,182.99	(3,837.86)	0.00	0.00	0.00	0.00	0.00	(3,837.86)
Journal SK 456933	5/21/2009	SELL									
167585	12/18/2007		106,847.25	120,980.00	(14,132.75)	0.00	0.00	0.00	0.00	0.00	(14,132.75)
Total for Journal SK 456933			106,847.25	120,980.00	(14,132.75)	0.00	0.00	0.00	0.00	0.00	(14,132.75)
Total PG - Long			316,784.73	323,681.99	(17,970.61)	0.00	11,073.35	0.00	0.00	0.00	(6,897.26)

PNC / PNC FINL SVCS GROUP INC
Journal SK 443055 1/15/2009 SELL

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Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail For the Period 11/01/2008 to 10/31/2009

Lot #	Date Opened	Quantity	Base		Long	Pre-Long	Base Gain/(Loss)		Pre-Short	Ordinary	Total
			Proceeds	Basis			Short				
U.S. Dollar - USD											
ADEFF - NEIL AND SANDRA DEFFEO FAMILY FOUNDATION - JTD-ADEFF											
Long Positions											
Equity - E											
Common Stock - CS											
PNC / PNC FINL SVCS GROUP INC											
Journal SK 443055 1/15/2009 SELL											
174903	10/14/2008	200 000	8,192.95	13,699.12	0.00	0.00	(5,506.17)	0.00	0.00	0.00	(5,506.17)
174930	10/14/2008	100 000	4,096.48	6,770.48	0.00	0.00	(2,674.00)	0.00	0.00	0.00	(2,674.00)
Total for Journal SK 443055		300 000	12,289.43	20,469.60	0.00	0.00	(8,180.17)	0.00	0.00	0.00	(8,180.17)
Journal SK 443082 1/15/2009 SELL											
174930	10/14/2008	200 000	8,363.57	13,540.96	0.00	0.00	(5,177.39)	0.00	0.00	0.00	(5,177.39)
74957	10/14/2008	100 000	4,181.79	6,578.97	0.00	0.00	(2,397.18)	0.00	0.00	0.00	(2,397.18)
174982	10/14/2008	200 000	8,363.57	13,105.74	0.00	0.00	(4,742.17)	0.00	0.00	0.00	(4,742.17)
175297	10/17/2008	100 000	4,181.78	6,127.39	0.00	0.00	(1,945.61)	0.00	0.00	0.00	(1,945.61)
Total for Journal SK 443082		600 000	25,090.71	39,353.06	0.00	0.00	(14,262.35)	0.00	0.00	0.00	(14,262.35)
Journal SK 443109 1/15/2009 SELL											
175297	10/17/2008	100 000	4,230.64	6,127.39	0.00	0.00	(1,896.75)	0.00	0.00	0.00	(1,896.75)
175851	10/28/2008	100 000	4,230.64	6,060.53	0.00	0.00	(1,829.89)	0.00	0.00	0.00	(1,829.89)
177326	11/24/2008	100 000	4,230.65	4,916.49	0.00	0.00	(685.84)	0.00	0.00	0.00	(685.84)
Total for Journal SK 443109		300 000	12,691.93	17,104.41	0.00	0.00	(4,412.48)	0.00	0.00	0.00	(4,412.48)
Total PNC - Long		1,200 000	50,072.07	76,927.07	0.00	0.00	(26,835.00)	0.00	0.00	0.00	(26,835.00)
POT / POTASH CORP SASK INC											
Journal SK 454745 4/27/2009 SELL											
169042	7/23/2008	45 000	3,667.21	9,713.92	0.00	0.00	(6,046.71)	0.00	0.00	0.00	(6,046.71)
169088	7/23/2008	355 000	28,930.19	73,650.08	0.00	0.00	(44,719.89)	0.00	0.00	0.00	(44,719.89)
Total for Journal SK 454745		400 000	32,597.40	83,364.00	0.00	0.00	(50,766.60)	0.00	0.00	0.00	(50,766.60)
Journal SK 455049 4/29/2009 SELL											
169088	7/23/2008	45 000	3,844.98	9,335.92	0.00	0.00	(5,490.94)	0.00	0.00	0.00	(5,490.94)
169850	8/11/2008	138 000	11,791.29	22,221.11	0.00	0.00	(10,429.82)	0.00	0.00	0.00	(10,429.82)
179559	1/26/2009	217 000	18,541.37	16,914.76	0.00	0.00	1,626.61	0.00	0.00	0.00	1,626.61
Total for Journal SK 455049		400 000	34,177.64	48,471.79	0.00	0.00	(14,294.15)	0.00	0.00	0.00	(14,294.15)
Journal SK 455063 4/29/2009 SELL											
179559	1/26/2009	83 000	7,089.03	6,469.70	0.00	0.00	619.33	0.00	0.00	0.00	619.33
Total for Journal SK 455063		83 000	7,089.03	6,469.70	0.00	0.00	619.33	0.00	0.00	0.00	619.33
Total POT - Long		883 000	73,864.07	138,305.49	0.00	0.00	(64,441.42)	0.00	0.00	0.00	(64,441.42)
PRE / PARTNER LTD											
Journal SK 461088 7/17/2009 SELL											
177835	12/05/2008	90 000	5,828.46	6,503.09	0.00	0.00	(674.63)	0.00	0.00	0.00	(674.63)
177935	12/10/2008	300 000	19,428.22	20,371.56	0.00	0.00	(943.34)	0.00	0.00	0.00	(943.34)
182922	6/11/2009	150 000	9,066.50	9,444.18	0.00	0.00	(377.68)	0.00	0.00	0.00	(377.68)
Total for Journal SK 461088		540 000	34,323.18	36,318.83	0.00	0.00	(1,995.65)	0.00	0.00	0.00	(1,995.65)

Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail For the Period 11/01/2008 to 10/31/2009

Lot #	Date Opened	Quantity	Base Proceeds	Basis	Long	Pre-Long	Short	Pre-Short	Ordinary	Total
U.S. Dollar - USD										
ADEFF - NEIL AND SANDRA DCFEO FAMILY FOUNDATION - JTD-ADEFF										
Long Positions										
Equity - E										
Common Stock - CS										
PRE/PARTNERRE LTD										
Journal SK 461640 7/15/2009 SELL		5,000	322.10	337.29	0.00	0.00	(15.19)	0.00	0.00	(15.19)
182922	6/11/2009	5,000	322.10	337.29	0.00	0.00	(15.19)	0.00	0.00	(15.19)
Total for Journal SK 461640										
Journal SK 461661 7/15/2009 SELL		115,000	7,391.59	7,526.96	0.00	0.00	(135.37)	0.00	0.00	(135.37)
179856	2/06/2009	55,000	3,535.11	3,710.21	0.00	0.00	(175.10)	0.00	0.00	(175.10)
182922	6/11/2009	170,000	10,926.70	11,237.17	0.00	0.00	(310.47)	0.00	0.00	(310.47)
Total for Journal SK 461661										
Journal SK 461756 7/16/2009 SELL		85,000	5,455.05	5,563.40	0.00	0.00	(108.35)	0.00	0.00	(108.35)
179856	2/06/2009	165,000	10,589.21	10,430.11	0.00	0.00	159.10	0.00	0.00	159.10
180381	2/24/2009	250,000	16,044.26	15,993.51	0.00	0.00	50.75	0.00	0.00	50.75
Total for Journal SK 461756										
Journal SK 462294 7/21/2009 SELL		90,000	5,807.08	5,689.15	0.00	0.00	117.93	0.00	0.00	117.93
180381	2/24/2009	90,000	5,807.08	5,689.15	0.00	0.00	117.93	0.00	0.00	117.93
Total for Journal SK 462294										
Journal SK 462368 7/22/2009 SELL		45,000	2,951.51	2,844.58	0.00	0.00	106.93	0.00	0.00	106.93
180381	2/24/2009	250,000	16,397.28	13,863.95	0.00	0.00	2,533.33	0.00	0.00	2,533.33
180736	3/10/2009	120,000	7,870.69	6,724.45	0.00	0.00	1,146.24	0.00	0.00	1,146.24
180996	3/11/2009	415,000	27,219.48	23,432.98	0.00	0.00	3,786.50	0.00	0.00	3,786.50
Total for Journal SK 462368										
Total PRE - Long		1,460,000	94,642.80	93,008.93	0.00	0.00	1,633.87	0.00	0.00	1,633.87
QCOM/QUALCOMM INC										
Journal SK 435960 11/28/2008 SELL		400,000	13,225.92	18,558.44	0.00	0.00	(5,332.52)	0.00	0.00	(5,332.52)
170888	9/08/2008	400,000	13,225.92	18,558.44	0.00	0.00	(5,332.52)	0.00	0.00	(5,332.52)
Total for Journal SK 435960										
Journal SK 448708 3/11/2009 SELL		400,000	14,191.44	18,473.76	0.00	0.00	(4,282.32)	0.00	0.00	(4,282.32)
170990	9/09/2008	400,000	14,191.44	18,473.76	0.00	0.00	(4,282.32)	0.00	0.00	(4,282.32)
Total for Journal SK 448708										
Journal SK 462484 7/22/2009 SELL		200,000	9,664.75	9,236.88	0.00	0.00	427.87	0.00	0.00	427.87
170990	9/09/2008	200,000	9,664.75	9,236.88	0.00	0.00	427.87	0.00	0.00	427.87
Total for Journal SK 462484										
Journal SK 468660 10/1/2009 SELL		500,000	21,719.49	22,100.00	(380.51)	0.00	0.00	0.00	0.00	(380.51)
169043	7/22/2008	200,000	8,687.79	9,236.88	(549.09)	0.00	0.00	0.00	0.00	(549.09)
170990	9/09/2008	700,000	30,407.28	31,336.88	(929.60)	0.00	0.00	0.00	0.00	(929.60)
Total for Journal SK 468660										
Total QCOM - Long		1,700,000	67,489.39	77,605.96	(929.60)	0.00	(9,186.97)	0.00	0.00	(10,116.57)

Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail For the Period 11/01/2008 to 10/31/2009

Lot #	Date Opened	Quantity	Base		Long	Pre-Long	Base Gain/[Loss]		Pre-Short	Ordinary	Total
			Proceeds	Basis			Short				
U S Dollar - USD											
ADEFF - NEIL AND SANDRA DEFEO FAMILY FOUNDATION - JTD-ADEFF											
Long Positions											
Equity - E											
Common Stock - CS											
QLGC/LOGIC CORP											
Journal SK 468135	9/24/2009	SELL									
185596	8/28/2009		18,958.89	17,534.88	0.00	0.00	1,424.01	0.00	0.00	0.00	1,424.01
185783	9/03/2009		1,723.53	1,537.20	0.00	0.00	186.33	0.00	0.00	0.00	186.33
Total for Journal SK 468135			20,682.42	19,072.08	0.00	0.00	1,610.34	0.00	0.00	0.00	1,610.34
Total QLGC - Long			20,682.42	19,072.08	0.00	0.00	1,610.34	0.00	0.00	0.00	1,610.34
RIC / TPANSCOCEAN LTD											
Journal SK 455309	4/30/2009	SELL									
178769	1/05/2009		30,061.34	25,211.12	0.00	0.00	4,850.22	0.00	0.00	0.00	4,850.22
Total for Journal SK 455309			30,061.34	25,211.12	0.00	0.00	4,850.22	0.00	0.00	0.00	4,850.22
Journal SK 455504	5/1/2009	SELL									
178769	1/05/2009		10,182.67	8,403.70	0.00	0.00	1,778.97	0.00	0.00	0.00	1,778.97
178788	1/05/2009		30,365.33	16,358.07	0.00	0.00	4,007.26	0.00	0.00	0.00	4,007.26
Total for Journal SK 455504			30,548.00	24,761.77	0.00	0.00	5,786.23	0.00	0.00	0.00	5,786.23
Total RIC - Long			60,609.34	49,972.89	0.00	0.00	10,636.45	0.00	0.00	0.00	10,636.45
RMM / RESEARCH IN MOTION LTD											
Journal SK 436195	12/2/2008	SELL									
177456	11/26/2008		21,943.85	26,969.76	0.00	0.00	(5,025.91)	0.00	0.00	0.00	(5,025.91)
Total for Journal SK 436195			21,943.85	26,969.76	0.00	0.00	(5,025.91)	0.00	0.00	0.00	(5,025.91)
Journal SK 447126	3/2/2009	SELL									
177456	11/26/2008		11,348.13	13,484.88	0.00	0.00	(2,136.75)	0.00	0.00	0.00	(2,136.75)
177482	11/26/2008		11,348.13	13,170.36	0.00	0.00	(1,822.23)	0.00	0.00	0.00	(1,822.23)
179617	1/27/2009		5,674.06	8,071.58	0.00	0.00	(2,397.52)	0.00	0.00	0.00	(2,397.52)
Total for Journal SK 447126			28,370.32	34,726.82	0.00	0.00	(6,356.50)	0.00	0.00	0.00	(6,356.50)
Total RMM - Long			50,314.17	61,696.58	0.00	0.00	(11,382.41)	0.00	0.00	0.00	(11,382.41)
RNR / RENAISSANCE HOLDINGS LTD											
Journal SK 455201	4/30/2009	SELL									
181776	4/27/2009		28,764.76	29,343.36	0.00	0.00	(578.60)	0.00	0.00	0.00	(578.60)
Total for Journal SK 455201			28,764.76	29,343.36	0.00	0.00	(578.60)	0.00	0.00	0.00	(578.60)
Total RNR - Long			28,764.76	29,343.36	0.00	0.00	(578.60)	0.00	0.00	0.00	(578.60)
RST / ROSETTA STONE INC											
Journal SK 470341	10/26/2009	SELL									
184039	6/26/2009		2,104.31	2,671.00	0.00	0.00	(566.69)	0.00	0.00	0.00	(566.69)
Total for Journal SK 470341			2,104.31	2,671.00	0.00	0.00	(566.69)	0.00	0.00	0.00	(566.69)
Journal SK 470368	10/26/2009	SELL									

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Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail For the Period 11/01/2008 to 10/31/2009

Lot #	Date Opened	Quantity	Proceeds	Basis	Long	Pre-Long	Base Gain/Loss		Pre-Short	Ordinary	Total
							Short	Long			
U.S. Dollar - USD											
ADEFF - NEIL AND SANDRA DEFFEO FAMILY FOUNDATION - JTD-ADEFF											
Long Positions											
Equity - E											
Common Stock - CS											
RST/ROSETTA STONE INC											
Journal SK 470368	10/26/2009	SELL									
184013	6/26/2009		2,097.66	2,666.93	0.00	0.00	(569.27)	0.00	0.00	0.00	(569.27)
184039	6/26/2009		2,097.67	2,671.00	0.00	0.00	(573.33)	0.00	0.00	0.00	(573.33)
Total for Journal SK 470368			4,195.33	5,337.93	0.00	0.00	(1,142.60)	0.00	0.00	0.00	(1,142.60)
Journal SK 470471	10/27/2009	SELL									
182854	6/11/2009		2,090.67	2,570.79	0.00	0.00	(480.12)	0.00	0.00	0.00	(480.12)
Total for Journal SK 470471			2,090.67	2,570.79	0.00	0.00	(480.12)	0.00	0.00	0.00	(480.12)
Journal SK 470495	10/27/2009	SELL									
182854	6/11/2009		2,049.85	2,570.79	0.00	0.00	(520.94)	0.00	0.00	0.00	(520.94)
Total for Journal SK 470495			2,049.85	2,570.79	0.00	0.00	(520.94)	0.00	0.00	0.00	(520.94)
Journal SK 470949	10/28/2009	SELL									
182854	6/11/2009		4,085.21	5,141.58	0.00	0.00	(1,056.37)	0.00	0.00	0.00	(1,056.37)
Total for Journal SK 470949			4,085.21	5,141.58	0.00	0.00	(1,056.37)	0.00	0.00	0.00	(1,056.37)
Total RST - Long			14,525.37	18,292.09	0.00	0.00	(3,766.72)	0.00	0.00	0.00	(3,766.72)
RYAAY/RYANAIR HLDS PLC											
Journal SK 455865	5/6/2009	SELL									
178336	12/16/2008		14,661.62	15,568.00	0.00	0.00	(906.38)	0.00	0.00	0.00	(906.38)
Total for Journal SK 455865			14,661.62	15,568.00	0.00	0.00	(906.38)	0.00	0.00	0.00	(906.38)
Journal SK 455892	5/6/2009	SELL									
177875	12/08/2008		14,646.07	14,976.50	0.00	0.00	(330.43)	0.00	0.00	0.00	(330.43)
178336	12/16/2008		2,929.21	3,113.60	0.00	0.00	(184.39)	0.00	0.00	0.00	(184.39)
Total for Journal SK 455892			17,575.28	18,090.10	0.00	0.00	(514.82)	0.00	0.00	0.00	(514.82)
Total RYAAY - Long			32,236.90	33,658.10	0.00	0.00	(1,421.20)	0.00	0.00	0.00	(1,421.20)
SLB/SCHLUMBERGER LTD											
Journal SK 433582	11/11/2008	SELL									
171391	9/16/2008		4,888.62	8,288.19	0.00	0.00	(3,399.57)	0.00	0.00	0.00	(3,399.57)
172143	9/18/2008		14,665.87	24,636.12	0.00	0.00	(9,970.25)	0.00	0.00	0.00	(9,970.25)
172713	9/30/2008		14,665.87	23,217.75	0.00	0.00	(8,551.88)	0.00	0.00	0.00	(8,551.88)
173756	10/08/2008		4,888.62	6,630.51	0.00	0.00	(1,741.89)	0.00	0.00	0.00	(1,741.89)
Total for Journal SK 433582			39,108.98	62,772.57	0.00	0.00	(23,663.59)	0.00	0.00	0.00	(23,663.59)
Journal SK 438136	12/11/2008	SELL									
172937	10/06/2008		26,349.69	39,320.70	0.00	0.00	(12,971.01)	0.00	0.00	0.00	(12,971.01)
174478	10/10/2008		4,391.61	5,939.60	0.00	0.00	(1,547.99)	0.00	0.00	0.00	(1,547.99)
Total for Journal SK 438136			30,741.30	45,260.30	0.00	0.00	(14,519.00)	0.00	0.00	0.00	(14,519.00)
Total SLB - Long			69,850.28	108,032.87	0.00	0.00	(38,182.59)	0.00	0.00	0.00	(38,182.59)

Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail
For the Period 11/01/2008 to 10/31/2009

Lot #	Date Opened	Quantity	Base Proceeds	Basis	Long	Pre-Long	Short	Pre-Short	Ordinary	Total
U.S. Dollar - USD										
ADEFF - NEIL AND SANDRA DEFFO FAMILY FOUNDATION - JTD-ADEFF										
Long Positions										
Equity - E										
Common Stock - CS										
SON/SONOCO PRODS CO										
Journal SK 445078 2/5/2009 SELL	11/26/2008	1,100,000	22,529.63	27,657.52	0.00	0.00	(5,127.89)	0.00	0.00	(5,127.89)
177508		1,100,000	22,529.63	27,657.52	0.00	0.00	(5,127.89)	0.00	0.00	(5,127.89)
Total for Journal SK 445078		1,100,000	22,529.63	27,657.52	0.00	0.00	(5,127.89)	0.00	0.00	(5,127.89)
Total SON - Long										
SWN/SOUTHWESTERN ENERGY CO										
Journal SK 454848 4/28/2009 SELL		500,000	18,441.12	16,283.40	0.00	0.00	2,157.72	0.00	0.00	2,157.72
172036	9/18/2008	300,000	11,064.68	9,962.73	0.00	0.00	1,101.95	0.00	0.00	1,101.95
172547	9/26/2008	800,000	29,505.80	26,246.13	0.00	0.00	3,259.67	0.00	0.00	3,259.67
Total for Journal SK 454848										
Journal SK 455282 4/30/2009 SELL		600,000	21,758.98	19,540.08	0.00	0.00	2,218.90	0.00	0.00	2,218.90
172036	9/18/2008	600,000	21,758.98	19,540.08	0.00	0.00	2,218.90	0.00	0.00	2,218.90
Total for Journal SK 455282										
Journal SK 455526 5/1/2009 SELL		100,000	3,614.40	3,256.68	0.00	0.00	357.72	0.00	0.00	357.72
172036	9/18/2008	300,000	10,843.18	9,482.40	0.00	0.00	1,360.78	0.00	0.00	1,360.78
176778	11/14/2008	400,000	14,457.58	12,739.08	0.00	0.00	1,718.50	0.00	0.00	1,718.50
Total for Journal SK 455526										
Journal SK 463264 7/30/2009 SELL		300,000	12,314.44	9,422.85	0.00	0.00	2,891.59	0.00	0.00	2,891.59
172117	9/18/2008	100,000	4,104.81	3,154.29	0.00	0.00	950.52	0.00	0.00	950.52
176601	11/13/2008	400,000	16,419.25	12,577.14	0.00	0.00	3,842.11	0.00	0.00	3,842.11
Total for Journal SK 463264										
Journal SK 463279 7/30/2009 SELL		200,000	8,195.02	6,267.58	0.00	0.00	1,927.44	0.00	0.00	1,927.44
176806	11/14/2008	200,000	8,195.02	6,267.58	0.00	0.00	1,927.44	0.00	0.00	1,927.44
Total for Journal SK 463279										
Journal SK 466775 9/14/2009 SELL		400,000	16,425.98	9,788.40	0.00	0.00	6,637.58	0.00	0.00	6,637.58
173716	10/08/2008	600,000	24,638.96	15,503.34	0.00	0.00	9,135.62	0.00	0.00	9,135.62
173819	10/08/2008	100,000	4,106.49	3,133.26	0.00	0.00	973.23	0.00	0.00	973.23
176650	11/13/2008	500,000	20,532.47	13,245.10	0.00	0.00	7,287.37	0.00	0.00	7,287.37
177047	11/20/2008	1,600,000	65,703.90	41,670.10	0.00	0.00	24,033.80	0.00	0.00	24,033.80
Total for Journal SK 466775										
Total SWN - Long		4,000,000	156,040.53	119,040.11	0.00	0.00	37,000.42	0.00	0.00	37,000.42
TEVA/TEVA PHARMACEUTICAL INDS LTD										
Journal SK 463657 8/4/2009 SELL		100,000	5,282.03	4,896.93	0.00	0.00	385.10	0.00	0.00	385.10
182992	6/11/2009	100,000	5,282.03	4,896.93	0.00	0.00	385.10	0.00	0.00	385.10
Total for Journal SK 463657										
Total TEVA - Long										

Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail
For the Period 11/01/2008 to 10/31/2009

Lot #	Date Opened	Quantity	Basis		Long	Pre-Long	Base Gain/Loss		Pre-Short	Ordinary	Total
			Proceeds	Basis			Short				
U.S Dollar - USD											
ADEFF - NEIL AND SANDRA DEFFEO FAMILY FOUNDATION - JTD-ADEFF											
Long Positions											
Equity - E											
Common Stock - CS											
TEX / TEREX CORP NEW											
Journal SK 469134	10/6/2009	SELL									
167580	12/18/2007		78,717.97	205,320.00	(126,602.03)	0.00	0.00	0.00	0.00	0.00	(126,602.03)
Total for Journal SK 469134			78,717.97	205,320.00	(126,602.03)	0.00	0.00	0.00	0.00	0.00	(126,602.03)
Total TEX - Long			78,717.97	205,320.00	(126,602.03)	0.00	0.00	0.00	0.00	0.00	(126,602.03)
TIX / TIX COS INC NEW											
Journal SK 438135	12/11/2008	SELL									
172409	9/25/2008		20,142.88	32,161.00	0.00	0.00	(12,018.12)	0.00	0.00	0.00	(12,018.12)
Total for Journal SK 438135			20,142.88	32,161.00	0.00	0.00	(12,018.12)	0.00	0.00	0.00	(12,018.12)
Journal SK 449479	3/18/2009	SELL									
172409	9/25/2008		4,890.97	6,432.20	0.00	0.00	(1,541.23)	0.00	0.00	0.00	(1,541.23)
Total for Journal SK 449479			4,890.97	6,432.20	0.00	0.00	(1,541.23)	0.00	0.00	0.00	(1,541.23)
Journal SK 462336	7/21/2009	SELL									
172409	9/25/2008		7,036.81	6,432.20	0.00	0.00	604.61	0.00	0.00	0.00	604.61
Total for Journal SK 462336			7,036.81	6,432.20	0.00	0.00	604.61	0.00	0.00	0.00	604.61
Journal SK 462630	7/23/2009	SELL									
172409	9/25/2008		25,167.85	22,512.70	0.00	0.00	2,655.15	0.00	0.00	0.00	2,655.15
Total for Journal SK 462630			25,167.85	22,512.70	0.00	0.00	2,655.15	0.00	0.00	0.00	2,655.15
Total TIX - Long			57,238.51	67,538.10	0.00	0.00	(10,299.59)	0.00	0.00	0.00	(10,299.59)
UNH / UNITEDHEALTH GROUP INC											
Journal SK 448491	3/11/2009	SELL									
175372	10/17/2008		21,620.26	26,059.77	0.00	0.00	(4,439.51)	0.00	0.00	0.00	(4,439.51)
175389	10/17/2008		3,930.96	4,813.92	0.00	0.00	(882.96)	0.00	0.00	0.00	(882.96)
178312	12/16/2008		1,965.48	2,405.46	0.00	0.00	(439.98)	0.00	0.00	0.00	(439.98)
Total for Journal SK 448491			27,516.70	33,279.15	0.00	0.00	(5,762.45)	0.00	0.00	0.00	(5,762.45)
Journal SK 448653	3/11/2009	SELL									
175027	10/14/2008		13,445.03	16,342.83	0.00	0.00	(2,897.80)	0.00	0.00	0.00	(2,897.80)
Total for Journal SK 448653			13,445.03	16,342.83	0.00	0.00	(2,897.80)	0.00	0.00	0.00	(2,897.80)
Journal SK 451288	4/7/2009	SELL									
175027	10/14/2008		18,743.99	18,677.52	0.00	0.00	66.47	0.00	0.00	0.00	66.47
175928	10/28/2008		7,029.00	6,499.65	0.00	0.00	529.35	0.00	0.00	0.00	529.35
Total for Journal SK 451288			25,772.99	25,177.17	0.00	0.00	595.82	0.00	0.00	0.00	595.82
Journal SK 451315	4/7/2009	SELL									
175928	10/28/2008		16,469.87	15,165.85	0.00	0.00	1,304.02	0.00	0.00	0.00	1,304.02
Total for Journal SK 451315			16,469.87	15,165.85	0.00	0.00	1,304.02	0.00	0.00	0.00	1,304.02
Journal SK 451342	4/7/2009	SELL									
175928	10/28/2008		9,301.84	8,666.20	0.00	0.00	635.64	0.00	0.00	0.00	635.64

Dawson Capital Management, Inc

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Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail For the Period 11/01/2008 to 10/31/2009

Lot #	Date Opened	Quantity	Proceeds	Base	Long	Pre-Long	Short	Base Gain/Loss	Pre-Short	Ordinary	Total
U.S. Dollar - USD											
ADFT - NEIL AND SANDRA DEPEO FAMILY FOUNDATION - FID-ADFT											
Long Positions											
Equity - E											
Common Stock - Cs											
UNH/UNITEDHEALTH GROUP INC											
Journal SK 451342 4/7/2009 SELL											
17650	11/13/2008	400,000	9,101.84	7,545.80	0.00	0.00	1,756.04	0.00	0.00	0.00	1,756.04
Total for Journal SK 451342		800,000	18,603.68	16,212.00	0.00	0.00	2,391.68	0.00	0.00	0.00	2,391.68
Total UNH - Long		4,700,000	101,808.27	106,177.00	0.00	0.00	(4,168.73)	0.00	0.00	0.00	(4,168.73)
URBN/URBANOUTLETTERS INC											
Journal SK 451616 4/11/2009 SELL											
17538	10/28/2008	900,000	14,998.38	17,764.47	0.00	0.00	(2,766.09)	0.00	0.00	0.00	(2,766.09)
Total for Journal SK 451636		900,000	14,998.38	17,764.47	0.00	0.00	(2,766.09)	0.00	0.00	0.00	(2,766.09)
Total for Journal SK 454873											
Journal SK 454873 4/28/2009 SELL											
175838	10/28/2008	1,400,000	23,934.06	27,633.62	0.00	0.00	(3,699.56)	0.00	0.00	0.00	(3,699.56)
Total for Journal SK 454970 SELL		1,400,000	23,934.06	27,633.62	0.00	0.00	(3,699.56)	0.00	0.00	0.00	(3,699.56)
Journal SK 454970 4/29/2009 SELL											
176623	11/13/2008	400,000	6,859.42	7,895.32	0.00	0.00	(1,035.90)	0.00	0.00	0.00	(1,035.90)
176857	11/14/2008	400,000	6,859.42	7,895.32	0.00	0.00	(1,035.90)	0.00	0.00	0.00	(1,035.90)
Total for Journal SK 454970		800,000	14,596.31	12,894.16	0.00	0.00	1,702.18	0.00	0.00	0.00	1,702.18
Journal SK 454999 4/29/2009 SELL											
176623	11/13/2008	800,000	14,596.34	12,894.16	0.00	0.00	1,702.18	0.00	0.00	0.00	1,702.18
Total for Journal SK 454999		800,000	14,596.34	12,894.16	0.00	0.00	1,702.18	0.00	0.00	0.00	1,702.18
Journal SK 455026 4/29/2009 SELL											
177137	11/21/2008	500,000	9,181.11	8,058.85	0.00	0.00	1,122.26	0.00	0.00	0.00	1,122.26
177137	11/21/2008	400,000	7,344.89	5,792.08	0.00	0.00	1,552.81	0.00	0.00	0.00	1,552.81
Total for Journal SK 455026		900,000	16,526.00	13,850.93	0.00	0.00	2,675.07	0.00	0.00	0.00	2,675.07
Total URBN - Long		5,800,000	102,012.61	104,488.88	0.00	0.00	(2,176.27)	0.00	0.00	0.00	(2,176.27)
YR/VALIDUS HOLDINGS LTD											
Journal SK 469132 10/6/2009 SELL											
182950	6/11/2009	100,000	2,570.43	2,439.09	0.00	0.00	131.34	0.00	0.00	0.00	131.34
Total for Journal SK 469132		100,000	2,570.43	2,439.09	0.00	0.00	131.34	0.00	0.00	0.00	131.34
Total YR - Long		100,000	2,570.43	2,439.09	0.00	0.00	131.34	0.00	0.00	0.00	131.34
YRSK/VERISK ANALYTICS INC											
Journal SK 469281 10/7/2009 SELL											
186239	10/06/2009	200,000	5,394.86	4,400.00	0.00	0.00	994.86	0.00	0.00	0.00	994.86

Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail
For the Period 11/01/2008 to 10/31/2009

Lot #	Date Opened	Quantity	Base Proceeds	Basis	Long	Pre-Long	Short	Pre-Short	Ordinary	Total
U S Dollar - USD										
ADEFF - NEIL AND SANDRA DEFFEO FAMILY FOUNDATION - JTD-ADEFF										
Long Positions										
Equity - E										
Common Stock - CS										
VRISK / VERISK ANALYTICS INC										
Journal SK 469281	10/17/2009	SELL								
Total for Journal SK 469281		200 000	5,394.86	4,400.00	0.00	0.00	994.86	0.00	0.00	994.86
Total VRISK - Long		200 000	5,394.86	4,400.00	0.00	0.00	994.86	0.00	0.00	994.86
WAG / WALGREEN CO										
Journal SK 463655	8/4/2009	SELL								
183470	6/18/2009									
Total for Journal SK 463655		100 000	3,052.42	3,159.74	0.00	0.00	(107.32)	0.00	0.00	(107.32)
Total WAG - Long		100 000	3,052.42	3,159.74	0.00	0.00	(107.32)	0.00	0.00	(107.32)
WFC / WELLS FARGO & CO NEW										
Journal SK 443252	1/16/2009	SELL								
172347	9/23/2008									
172488	9/26/2008									
Total for Journal SK 443252		700 000	7,205.48	14,083.60	0.00	0.00	(6,878.12)	0.00	0.00	(6,878.12)
Journal SK 443282	1/16/2009	SELL								
172312	9/22/2008									
172347	9/23/2008									
Total for Journal SK 443282		200 000	16,246.16	31,479.39	0.00	0.00	(15,233.23)	0.00	0.00	(15,233.23)
Journal SK 447098	3/2/2009	SELL								
172312	9/22/2008									
173702	10/08/2008									
173976	10/09/2008									
175910	10/28/2008									
176365	11/06/2008									
Total for Journal SK 447098		300 000	3,141.47	8,100.00	0.00	0.00	(4,958.53)	0.00	0.00	(4,958.53)
Total WFC - Long		4,500 000	63,756.02	149,492.97	0.00	0.00	(85,736.95)	0.00	0.00	(85,736.95)
Total for Common Stock - Long			3,838,648.43	4,214,507.88	(143,883.84)	0.00	(211,975.61)	0.00	0.00	(375,859.45)
MUTUAL FUNDS - MF										
AHITX / AMERICAN FUNDS HELINCOME TR A										
Journal SK 435993	11/28/2008	SELL								
167591	12/18/2007									
169500	7/30/2008									
170353	7/01/2008									
170511	9/02/2008									
172798	9/30/2008									
176272	10/30/2008									
Total for Journal SK 435993		16,314 000	124,312.68	184,021.92	0.00	0.00	(59,709.24)	0.00	0.00	(59,709.24)
Total AHITX - Long			607.68	41.04	0.00	0.00	607.68	0.00	0.00	607.68
Total for Journal SK 435993			614.58	921.52	0.00	0.00	614.58	0.00	0.00	614.58
Total AHITX - Long			1,147.23	0.00	0.00	0.00	1,147.23	0.00	0.00	1,147.23

Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail
For the Period 11/01/2008 to 10/31/2009

Lot #	Date Opened	Quantity	Base Proceeds	Basis	Long	Pre-Long	Base Gain/Loss Short	Pre-Short	Ordinary	Total
U.S. Dollar - USD										
ADETF - NEIL AND SANDRA DETEO FAMILY FOUNDATION - JTD-ADETF										
Long Positions										
Equity - E										
MUTUAL FUNDS - MF										
AHITX / AMERICAN FUNDS HLINCOME TR A										
Journal SK 435993	11/28/2008	SELL								
Total for Journal SK 435993			127,644.73	184,021.92	0.00	0.00	(56,377.19)	0.00	0.00	(56,377.19)
Journal SK 437657	12/02/2008	SELL								
177917			1,239.82		0.00	0.00	1,239.82	0.00	0.00	1,239.82
Total for Journal SK 437657			1,239.82		0.00	0.00	1,239.82	0.00	0.00	1,239.82
Total AHITX - Long		16,314.000	128,884.55	184,021.92	0.00	0.00	(55,137.17)	0.00	0.00	(55,137.17)
GammaX / FEDERATED MARKET OPPORTUNITIES FUND A										
Journal SK 441793	1/5/2009	SELL								
167582	12/18/2007		238,365.44	294,400.00	(36,034.56)	0.00	0.00	0.00	0.00	(36,034.56)
170354	7/01/2008		1,562.59		0.00	0.00	1,562.59	0.00	0.00	1,562.59
176273	10/01/2008		2,077.65		0.00	0.00	2,077.65	0.00	0.00	2,077.65
178789	12/30/2008		11,093.87		0.00	0.00	11,093.87	0.00	0.00	11,093.87
Total for Journal SK 441793		23,552.000	273,099.55	294,400.00	(36,034.56)	0.00	14,734.11	0.00	0.00	(21,300.45)
Total GammaX - Long		23,552.000	273,099.55	294,400.00	(36,034.56)	0.00	14,734.11	0.00	0.00	(21,300.45)
Total for MUTUAL FUNDS - Long			401,984.10	478,421.92	(36,034.56)	0.00	(40,403.26)	0.00	0.00	(76,437.82)
Total for Equity - Long			4,240,632.53	4,692,929.80	(179,918.40)	0.00	(272,378.87)	0.00	0.00	(452,297.27)
Total for Long Positions			4,240,632.53	4,692,929.80	(179,918.40)	0.00	(272,378.87)	0.00	0.00	(452,297.27)
Total for JTD-ADETF			4,240,632.53	4,692,929.80	(179,918.40)	0.00	(272,378.87)	0.00	0.00	(452,297.27)
Total for USD			4,240,632.53	4,692,929.80	(179,918.40)	0.00	(272,378.87)	0.00	0.00	(452,297.27)

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Taxable Gain/Loss Data by Pool in Base Amounts - Transaction/Lot Detail
For the Period 11/01/2008 to 10/31/2009

Lot #	Date Opened	Quantity	Base		Long	Pre-Long	Base Gain/(Loss)		Pre-Short	Ordinary	Total
			Proceeds	Basis			Short				
U.S. Dollar - USD											
ADEFF - NEIL AND SANDRA DEFFO FAMILY FOUNDATION - JTD-ADEFF											
Long Positions											
Equity - E											
MUTUAL FUNDS - MF											
AHITX/AMERICAN FUNDS HELINCOME TRA											
Journal SK 435993 11/28/2008 SELL		16,314.000	127,644.73	184,021.92	0.00	0.00	(56,377.19)	0.00	0.00		(56,377.19)
Total for Journal SK 435993											
Journal SK 437657 12/9/2008 SELL		177917	1,239.82		0.00	0.00	1,239.82	0.00	0.00	0.00	1,239.82
Total for Journal SK 437657			1,239.82		0.00	0.00	1,239.82	0.00	0.00	0.00	1,239.82
Total AHITX - Long		16,314.000	128,884.55	184,021.92	0.00	0.00	(55,137.37)	0.00	0.00	0.00	(55,137.37)
EMAXX/FEDERATED MARKET OPPORTUNITIES FUND A											
Journal SK 441793 1/5/2009 SELL		23,552.000	258,365.44	294,400.00	(16,034.56)	0.00	0.00	0.00	0.00	0.00	(36,034.56)
167582 12/18/2007			1,562.59		0.00	0.00	1,562.59	0.00	0.00	0.00	1,562.59
170354 7/01/2008			2,077.65		0.00	0.00	2,077.65	0.00	0.00	0.00	2,077.65
176273 10/01/2008			11,093.87		0.00	0.00	11,093.87	0.00	0.00	0.00	11,093.87
178789 12/30/2008			273,099.55	294,400.00	(36,034.56)	0.00	14,734.11	0.00	0.00	0.00	(21,300.45)
Total for Journal SK 441793		23,552.000	273,099.55	294,400.00	(36,034.56)	0.00	14,734.11	0.00	0.00	0.00	(21,300.45)
Total EMAXX - Long		23,552.000	273,099.55	294,400.00	(36,034.56)	0.00	14,734.11	0.00	0.00	0.00	(21,300.45)
Total for MUTUAL FUNDS - Long			401,984.10	478,421.92	(36,034.56)	0.00	(40,403.26)	0.00	0.00	0.00	(76,437.82)
Total for Equity - Long			4,240,632.53	4,692,929.80	(179,918.40)	0.00	(272,378.87)	0.00	0.00	0.00	(452,297.27)
Total for Long Positions			4,240,632.53	4,692,929.80	(179,918.40)	0.00	(272,378.87)	0.00	0.00	0.00	(452,297.27)
Total for JTD-ADEFF			4,240,632.53	4,692,929.80	(179,918.40)	0.00	(272,378.87)	0.00	0.00	0.00	(452,297.27)
Total for USD			4,240,632.53	4,692,929.80	(179,918.40)	0.00	(272,378.87)	0.00	0.00	0.00	(452,297.27)

	Proceeds	Cost	Gain/ (Loss)
Total	4,240,633	4,692,930	(452,297)
P & G	(316,785)	(323,682)	6,897
Terex	(78,718)	(205,320)	126,602
AHITX	(128,885)	(184,022)	55,137
FMAXX	<u>(273,099)</u>	<u>(294,400)</u>	<u>21,301</u>
	3,443,146	3,685,506	(242,360)
P & G	316,785	123,073 *	193,712
Terex	78,718	42,224 *	36,494
AHITX	128,885	200,287 *	(71,402)
FMAXX	<u>273,099</u>	<u>301,028 *</u>	<u>(27,929)</u>
	4,240,633	4,352,118	(111,485)

* Actual Donated Cost