Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2008

For calendar year 2008, or tax year beginning NOV 1, 2008 , and ending OCT 31, 2009

G Check all that apply: [ ] Initial return [ ] Final return [ ] Amended return [X] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
Helmut Wolfgang Schumann Foundation
Law Offices of Stebbins Bradley, PA

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
41 South Park Street, ~~PO Box 382~~

City or town, state, and ZIP code
Hanover, NH 03755~~-0382~~

A Employer identification number
22-2573793

B Telephone number
603-643-3737

C If exemption application is pending, check here ▶[ ]
D 1. Foreign organizations, check here ▶[ ]
2. Foreign organizations meeting the 85% test, check here and attach computation ▶[ ]
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶[ ]
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶[ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 2,096,957.

J Accounting method: [X] Cash [ ] Accrual
[ ] Other (specify)
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	Line		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc, received			N/A	
	2	Check ▶ [X] if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	888.	888.		Statement 2
	4	Dividends and interest from securities	70,806.	70,806.		Statement 3
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-235,831.			Statement 1
	b	Gross sales price for all assets on line 6a 1,201,488.				
	7	Capital gain net income (from Part IV, line 2)		0.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income	3,063.	3,063.		Statement 4
	12	**Total.** Add lines 1 through 11	-161,074.	74,757.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees Stmt 5	8,187.	0.		0.
	b	Accounting fees Stmt 6	2,970.	0.		0.
	c	Other professional fees Stmt 7	30,808.	12,808.		0.
	17	Interest				
	18	Taxes Stmt 8	13,949.	0.		0.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses Stmt 9	34.	0.		0.
	24	Total operating and administrative expenses. Add lines 13 through 23	55,948.	12,808.		0.
	25	Contributions, gifts, grants paid	83,250.			83,250.
	26	Total expenses and disbursements. Add lines 24 and 25	139,198.	12,808.		83,250.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	-300,272.			
	b	**Net investment income** (if negative, enter -0-)		61,949.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing	18,457.	23,101.	23,101.
	2 Savings and temporary cash investments	880,080.	268,348.	268,348.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock Stmt 12	1,501,701.	1,807,229.	1,805,508.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers)	2,400,238.	2,098,678.	2,096,957.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [ ] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	2,400,238.	2,098,678.	Statement 11
	30 **Total net assets or fund balances**	2,400,238.	2,098,678.	
	31 **Total liabilities and net assets/fund balances**	2,400,238.	2,098,678.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,400,238.
2	Enter amount from Part I, line 27a	2	-300,272.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	2,099,966.
5	Decreases not included in line 2 (itemize) ▶ See Statement 10	5	1,288.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,098,678.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Attached - ST	P	Various	Various
b	See Attached - LT	P	Various	Various
c	Capital Gains Dividends			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	716,368.		716,488.	-120.
b	453,198.		720,831.	-267,633.
c	31,922.			31,922.
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				-120.
b				-267,633.
c				31,922.
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 -235,831.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2007	46,755.	2,296,532.	.020359
2006	104,250.	2,378,633.	.043828
2005	99,525.	2,310,403.	.043077
2004	88,850.	2,282,314.	.038930
2003	125,715.	2,295,108.	.054775

2	Total of line 1, column (d)	2	.200969
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.040194
4	Enter the net value of noncharitable-use assets for 2008 from Part X, line 5	4	1,921,770.
5	Multiply line 4 by line 3	5	77,244.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	619.
7	Add lines 5 and 6	7	77,863.
8	Enter qualifying distributions from Part XII, line 4	8	83,250.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling letter ______ (attach copy of ruling letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	619.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3	Add lines 1 and 2		3	619.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	619.
6	Credits/Payments			
a	2008 estimated tax payments and 2007 overpayment credited to 2008	6a 8,280.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	8,280.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	7,661.
11	Enter the amount of line 10 to be **Credited to 2009 estimated tax** ▶ 1,000. **Refunded** ▶		11	6,661.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
	If the answer is "Yes" to **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ▶ $ 0. **(2)** On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NH			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2008 or the taxable year beginning in 2008 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ Nicholas Harvey, Atty Telephone no ▶603-643-3737
Located at ▶PO Box 382, Hanover, NH ZIP+4 ▶03755-0382

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2008?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2008, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2008?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2008 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2008.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2008?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b	
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X (No)
If you answered "Yes" to 6b, also file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 13		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 None	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3 None	
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,741,003.
b	Average of monthly cash balances	1b	210,033.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	1,951,036.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	*Subtract line 2 from line 1d*	3	1,951,036.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	29,266.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,921,770.
6	**Minimum investment return.** Enter 5% of line 5	6	96,089.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain *foreign organizations check here* ▶ ☐ *and do not complete this part*)

1	Minimum investment return from Part X, line 6	1	96,089.
2a	Tax on investment income for 2008 from Part VI, line 5 — 2a: 619.		
b	Income tax for 2008 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	619.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	95,470.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	95,470.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	95,470.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	83,250.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	83,250.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	619.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	82,631.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2007	(c) 2007	(d) 2008
1 Distributable amount for 2008 from Part XI, line 7				95,470.
2 Undistributed income, if any, as of the end of 2007				
a Enter amount for 2007 only			0.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2008				
a From 2003 12,999.				
b From 2004				
c From 2005				
d From 2006				
e From 2007				
f Total of lines 3a through e	12,999.			
4 Qualifying distributions for 2008 from Part XII, line 4 ▶ $ 83,250.				
a Applied to 2007, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2008 distributable amount				83,250.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2008 (If an amount appears in column (d), the same amount must be shown in column (a).)	12,220.			12,220.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	779.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2007 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2008 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2009				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2003 not applied on line 5 or line 7	779.			
9 **Excess distributions carryover to 2009.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2004				
b Excess from 2005				
c Excess from 2006				
d Excess from 2007				
e Excess from 2008				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2008, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2008	(b) 2007	(c) 2006	(d) 2005	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Statement 14				
Total			▶ 3a	83,250.
b *Approved for future payment*				
None				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	888.	
4 Dividends and interest from securities			14	70,806.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			01	3,063.	
8 Gain or (loss) from sales of assets other than inventory			18	-235,831.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		-161,074.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 -161,074.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Petra Schumann · 3/8/10 Trustee
Signature of officer or trustee — Date — Title

Paid Preparer's Use Only

Preparer's signature: Peter Valiante — Date: 03/03/10 — Check if self-employed ☐ — Preparer's identifying number

Firm's name (or yours if self-employed), address, and ZIP code: Tyler, Simms & St.Sauveur CPAs PC
19 Morgan Drive
Lebanon, NH 03766
EIN
Phone no. 603-653-0044

Form 990-PF Gain or (Loss) from Sale of Assets Statement 1

(a) Description of Property	Manner Acquired	Date Acquired	Date Sold
See Attached - ST	Purchased	Various	Various

(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
716,368.	716,488.	0.	0.	-120.

(a) Description of Property	Manner Acquired	Date Acquired	Date Sold
See Attached - LT	Purchased	Various	Various

(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
453,198.	720,831.	0.	0.	-267,633.

Capital Gains Dividends from Part IV	31,922.
Total to Form 990-PF, Part I, line 6a	-235,831.

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 2

Source	Amount
IRS Interest	1.
Johnson Bank - Checking Account	74.
Johnson Bank - MM Account	813.
Total to Form 990-PF, Part I, line 3, Column A	888.

Form 990-PF Dividends and Interest from Securities Statement 3

Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
Johnson Bank	102,728.	31,922.	70,806.
Total to Fm 990-PF, Part I, ln 4	102,728.	31,922.	70,806.

Form 990-PF Other Income Statement 4

Description	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
Enron Securities Settlement	2,978.	2,978.	
Miscellaneous	3.	3.	
Federal Tax Refund	82.	82.	
Total to Form 990-PF, Part I, line 11	3,063.	3,063.	

Form 990-PF Legal Fees Statement 5

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Legal Fees	8,187.	0.		0.
To Fm 990-PF, Pg 1, ln 16a	8,187.	0.		0.

Form 990-PF Accounting Fees Statement 6

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Accounting Fees	2,970.	0.		0.
To Form 990-PF, Pg 1, ln 16b	2,970.	0.		0.

Form 990-PF Other Professional Fees Statement 7

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment Mgmt Fees	12,808.	12,808.		0.
Trustee Fees	18,000.	0.		0.
To Form 990-PF, Pg 1, ln 16c	30,808.	12,808.		0.

Form 990-PF Taxes Statement 8

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
State of New Hampshire Fee	75.	0.		0.
Federal Taxes Paid	12,997.	0.		0.
Foreign Tax Paid	877.	0.		0.
To Form 990-PF, Pg 1, ln 18	13,949.	0.		0.

Form 990-PF Other Expenses Statement 9

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Miscellaneous	34.	0.		0.
To Form 990-PF, Pg 1, ln 23	34.	0.		0.

Form 990-PF Other Decreases in Net Assets or Fund Balances Statement 10

Description	Amount
To adjust to broker's book account balances	1,288.
Total to Form 990-PF, Part III, line 5	1,288.

Form 990-PF	Other Funds	Statement 11

Description	(A) Beginning of Year	(B) End of Year
Endowment Fund	2,400,238.	2,098,678.
Total to Form 990-PF, Part II, line 29	2,400,238.	2,098,678.

Form 990-PF	Corporate Stock	Statement 12

Description	Book Value	Fair Market Value
See Attached Schedule - Domestic Corporate Stocks	1,079,530.	1,014,442.
See Attached Schedule - International Corporate Stocks	157,326.	193,585.
See Attached Schedule - Fixed Income	570,373.	597,481.
Total to Form 990-PF, Part II, line 10b	1,807,229.	1,805,508.

Form 990-PF Part VIII - List of Officers, Directors Trustees and Foundation Managers Statement 13

Name and Address	Title and Avrg Hrs/Wk	Compen-sation	Employee Ben Plan Contrib	Expense Account
Eric R Schumann Potala Co LLC, PO Box 101, Racine, WI 53401	Trustee 0.00	0.	0.	0.
Mary Schumann Drewek PO Box 253, Bridgewater Corners, VT 05035	Trustee 0.00	0.	0.	0.
Claudia Jacobson 701 Wintergreen Drive, Purcellville, VA 20132	Trustee 0.00	0.	0.	0.
Petra Schumann 510 Goodman Road, Pacifica, CA 94044	Trustee 0.00	0.	0.	0.
Alexandra Schumann Patchan 3242 Huntersworth Way, Glenwood, MD 21738	Trustee 0.00	0.	0.	0.
Daniel Schumann 29852 White Otter Lane, Laguna Niguel, CA 92677	Trustee 0.00	0.	0.	0.
Totals included on 990-PF, Page 6, Part VIII		0.	0.	0.

Form 990-PF Grants and Contributions Paid During the Year Statement 14

Recipient Name and Address	Recipient Relationship and Purpose of Grant	Recipient Status	Amount
American Red Cross	None		250.
Anza Borrego Foundation	None		100.
Artspan	None		500.
ASPCA	None		1,000.
Assumption College	None		20,000.
Billings Farm Museum	None		500.
Boston College	None		2,000.
California Academy of Sciences	None		250.
Casa Romantica	None		100.
Congregation Shaare Shalom	None		3,200.
Doctors Without Borders	None		1,000.

Emerick ES PTO	None	2,000.
Express Yourself Milwaukee	None	500.
Fine Arts Museum of San Francisco	None	250.
Foothill Family Services	None	250.
Free Wheelchair Mission	None	250.
Friends of Harvard Sailing	None	250.
Froedtert Hospital Foundation	None	500.
Girl Scout Troop #509	None	1,150.
Glenwood Middle School PTSA	None	2,000.
Harvard College Fund	None	250.
House of Ruth	None	1,000.
Independent Arts and Media	None	1,000.
KCET	None	500.

KCRW	None	100.
Kellogg Annual Fund	None	250.
Kohl Children's Museum	None	110.
KPBS	None	1,000.
KPCC	None	7,500.
KPFK	None	100.
Laguna Art Museum	None	100.
Los Angeles County Museum of Art	None	100.
Lucy Mackenzie Humane Society	None	1,000.
Margaret Jenkin's Dance Company	None	500.
McCormick School of Engineering	None	250.
Medical College of Wisconsin	None	250.
Mission San Juan Capistrano	None	100.

Montgomery County Humane Society	None	300.
Montshire Museum of Science	None	1,000.
Museum of Contemporary Art	None	100.
Museum of Latin American Art	None	100.
National Public Radio	None	5,000.
Orange County Museum of Art	None	100.
Orange County Rescue Mission	None	500.
Ortega Elementary School	None	1,000.
Pacifica Co-op Nursery	None	500.
Pacifica Education Foundation	None	2,500.
Pacifica Resource Center	None	500.
Placing Paws of Illinois NFP	None	500.
Reading to Kids	None	250.

Ronald McDonald House	None	2,000.
San Diego/Quail Botanical Gardens	None	100.
San Francisco International Art Festival	None	500.
San Francisco Museum of Modern Art	None	250.
Spindrift School of Performing Arts	None	500.
SS United States Conservancy	None	250.
St Paul's School	None	250.
The Art of Yoga Project	None	500.
The Humble Way	None	3,000.
The Salvation Army	None	250.
Union Rescue Mission	None	500.
Vermont Institute of Natural Science	None	500.

WBEZ	None	100.
WETA	None	1,500.
Wildwoods Foundation	None	250.
Woodstock Community Food Shelf	None	1,000.
Yerba Buena Center for the Arts	None	9,000.
Zoological Society of Milwaukee	None	290.
Total to Form 990-PF, Part XV, line 3a		83,250.

H W SCHUMANN FDN INV AGCY 752920004
Schedule D Detail of Short-term Capital Gains and Losses

EIN 22-2573793 10/31/09

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
65. ABBOTT LABS COM	03/03/2009	10/12/2009	3,233.07	2,993.14	239.93
10. ALCOA INC COM	10/29/2008	10/12/2009	142.90	111.35	31.55
570.933 ARTISAN INTL VALUE FUND-INV	04/15/2009	10/09/2009	13,160.00	9,585.97	3,574.03
105. BAKER HUGHES INC COM	03/03/2009	08/04/2009	4,410.43	2,854.46	1,555.97
45. BAKER HUGHES INC COM	03/03/2009	10/12/2009	2,045.95	1,223.34	822.61
50. BANK OF NEW YORK MELLON	12/26/2008	10/12/2009	1,414.51	1,306.37	108.14
85. BIOGEN IDEC INC	11/03/2008	03/03/2009	3,756.37	3,870.78	-114.41
125. BRISTOL MYERS SQUIBB CO	05/18/2009	10/12/2009	2,668.44	2,552.40	116.04
125. BRISTOL MYERS SQUIBB CO	05/18/2009	10/12/2009	-2,668.44	-2,552.40	-116.04
125. BRISTOL MYERS SQUIBB CO	05/18/2009	10/12/2009	2,826.28	2,552.40	273.88
610. CA INC	05/18/2009	09/17/2009	13,735.20	10,826.46	2,908.74
319.16 COLUMBIA ACORN FUND-Z	11/05/2008	04/15/2009	5,521.47	5,930.00	-408.53
1305.325 DODGE & COX INTL STOCK FUND	11/05/2008	10/09/2009	41,992.30	33,090.00	8,902.30
7591.025 DODGE & COX INCOME FD	11/05/2008	04/15/2009	89,650.00	86,234.04	3,415.96
1131.415 DODGE & COX INCOME FD	11/05/2008	10/09/2009	14,550.00	12,852.87	1,697.13
465. E M C CORP MASS COM	11/14/2008	10/12/2009	8,277.48	4,709.52	3,567.96
6. FAIRPOINT COMMUNICATIONS	12/11/2007	11/03/2008	24.54	56.10	-31.56
80. FRANKLIN RESOURCES INC	12/26/2008	10/12/2009	8,459.05	4,753.25	3,705.80
6855.621 GOLDMAN SACHS CORE FXD INC FD-INSTL	11/05/2008	04/15/2009	57,450.10	57,930.00	-479.90
5261.07 GOLDMAN SACHS GOVT INCOME-IN	11/05/2008	04/15/2009	79,600.00	76,601.18	2,998.82
6012.282 GOLDMAN SACHS GOVT INCOME-IN	11/05/2008	10/09/2009	92,348.65	87,538.82	4,809.83
105. JP MORGAN CHASE & CO	12/11/2007	11/14/2008	3,682.54	4,808.45	-1,125.91
55. MCDONALDS CORP COM	10/07/2009	10/12/2009	3,144.82	3,146.72	-1.90
65. MORGAN STANELY DEAN WITTER DISCOVER & CO COM NEW	06/18/2008	12/11/2008	911.70	3,204.40	-2,292.70
60. NORTHERN TR CORP COM	11/14/2008	10/12/2009	3,601.48	2,688.14	913.34
6182.497 OPTIQUE INTM FIXED INCOME	11/05/2008	04/15/2009	61,206.72	57,930.00	3,276.72
90. PROGRESS ENERGY INC	10/31/2008	10/12/2009	3,362.39	3,615.81	-253.42
195. PRUDENTIAL FINL INC	08/08/2008	12/11/2008	5,607.31	14,549.75	-8,942.44
Totals					

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H W SCHUMANN FDN INV AGCY 752920004
Schedule D Detail of Short-term Capital Gains and Losses

EIN 22-2573793 10/31/09

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
55. QUALCOMM INC COM	09/17/2009	10/12/2009	2,289.59	2,478.83	-189.24
342.737 T ROWE PRICE NEW ERA	04/15/2009	10/09/2009	14,700.00	10,494.61	4,205.39
3628.495 T ROWE PRICE INST HIGH YIELD	04/15/2009	10/09/2009	33,019.30	27,250.00	5,769.30
60. UNITED TECHNOLOGIES CORP	05/18/2009	10/12/2009	3,676.90	3,166.15	510.75
2047.211 VANGUARD WORLD FD INTL GROWTH PORTFOLIO	11/05/2008	04/15/2009	24,484.64	28,620.00	-4,135.36
6329.849 VANGUARD INTM TERM INV G-INV	04/15/2009	10/09/2009	60,766.55	54,500.00	6,266.55
1860.577 VANGUARD PACIFIC STOCK INDEX FD #72	11/05/2008	04/15/2009	14,326.44	15,480.00	-1,153.56
240. WELLPOINT INC	06/18/2008	06/03/2009	11,563.45	12,518.21	-954.76
985. AMERICAN INTL GROUP	08/08/2008	11/03/2008	1,615.39	38,872.57	-37,257.18
35. JOHNSON & JOHNSON COM	11/26/2007	11/03/2008	2,140.94	2,370.60	-229.66
190. PFIZER INC COM	12/11/2007	11/03/2008	3,303.85	4,626.16	-1,322.31
360. SOUTHERN CO COM	12/11/2007	11/05/2008	12,582.00	13,797.16	-1,215.16
235. WELLS FARGO CO COM	11/26/2007	11/03/2008	7,783.82	7,350.56	433.26
TOTALS			716,368.00	716,488.05	-120.05
Totals			~~688,942.00~~	~~649,471.00~~	~~39,471.00~~

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STATEMENT 6

H W SCHUMANN FDN INV AGCY 752920004

Schedule D Detail of Long-term Capital Gains and Losses

EIN 22-2573793 10/31/09

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
15% RATE CAPITAL GAINS (LOSSES)					
320. ALCOA INC COM	11/26/2007	10/12/2009	4,572.94	11,401.67	-6,828.73
60. BANK OF AMERICA CORP COM	12/11/2007	10/12/2009	1,054.22	2,798.30	-1,744.08
115. CATERPILLAR INC COM	08/08/2008	10/12/2009	6,137.60	8,250.18	-2,112.58
70. CHEVRONTEXACO CORP	11/26/2007	03/03/2009	4,032.04	5,997.41	-1,965.37
60. CHEVRONTEXACO CORP	11/26/2007	10/12/2009	4,419.01	5,140.63	-721.62
325. CISCO SYS INC COM	06/18/2008	10/12/2009	7,767.30	8,255.00	-487.70
40. COCA COLA CO COM	12/11/2007	10/12/2009	2,184.64	2,544.45	-359.81
1213.503 COLUMBIA ACORN FUND-Z	03/13/2008	04/15/2009	20,993.60	37,157.31	-16,163.71
155. COMCAST CORP-CL A	11/26/2007	10/12/2009	2,377.64	2,989.67	-612.03
30. CONOCOPHILLIPS	06/18/2008	10/12/2009	1,536.83	2,854.80	-1,317.97
170. DISNEY WALT CO COM	06/18/2008	10/12/2009	4,884.12	5,618.33	-734.21
1250.232 DODGE & COX INTL STOCK FUND	11/30/2007	04/15/2009	26,930.00	61,361.38	-34,431.38
1667.314 DODGE & COX INTL STOCK FUND	03/13/2008	10/09/2009	53,637.49	77,885.83	-24,248.34
185. DOMINION RESOURCES INC/VA	06/18/2008	10/12/2009	6,399.14	8,618.78	-2,219.64
70. DU PONT E I DE NEMOURS & CO COM	12/11/2007	10/12/2009	2,310.01	3,202.16	-892.15
70. EXXON MOBIL CORP COM	12/11/2007	10/12/2009	4,909.03	6,473.47	-1,564.44
290. GENERAL ELEC CO COM	12/11/2007	10/12/2009	4,712.63	10,671.26	-5,958.63
40. GENERAL MILLS INC COM	12/11/2007	10/12/2009	2,572.93	2,348.21	224.72
275. HOME DEPOT INC COM	12/11/2007	05/01/2009	7,166.18	7,856.43	-690.25
405. INTEL CORP COM	12/11/2007	10/12/2009	8,286.08	10,554.89	-2,268.81
20. INTERNATIONAL BUSINESS MACHS COM	11/26/2007	03/03/2009	1,761.04	2,074.30	-313.26
20. INTERNATIONAL BUSINESS MACHS COM	11/26/2007	10/12/2009	2,509.06	2,074.30	434.76
120. JP MORGAN CHASE & CO	11/26/2007	05/01/2009	4,024.71	4,929.60	-904.89
125. JP MORGAN CHASE & CO	11/26/2007	10/12/2009	5,692.58	5,135.00	557.58
25. JOHNSON & JOHNSON COM	11/26/2007	10/12/2009	1,553.79	1,681.60	-127.81
90. MEDTRONIC INC COM	08/20/2008	10/12/2009	3,327.29	4,934.43	-1,607.14
140. METLIFE INC COM	12/11/2007	10/12/2009	5,324.31	8,748.26	-3,423.95
Totals					

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H W SCHUMANN FDN INV AGCY 752920004

Schedule D Detail of Long-term Capital Gains and Losses

EIN 22-2573793 10/31/09

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
365. MICROSOFT CORP COM	12/11/2007	10/12/2009	9,376.67	12,145.35	-2,768.68
250. MORGAN STANELY DEAN WITTER DISCOVER & CO COM NEW	06/15/2006	12/11/2008	3,506.55	12,002.18	-8,495.63
35. OCCIDENTAL PETE CORP	08/01/2008	10/12/2009	2,802.91	2,786.73	16.18
90. ORACLE CORP COM	12/11/2007	10/12/2009	1,849.45	1,944.90	-95.45
75. PEPSICO INC COM	06/18/2008	10/12/2009	4,577.19	4,894.41	-317.22
220. PFIZER INC COM	12/11/2007	10/12/2009	3,724.83	5,119.73	-1,394.90
60. PROCTER & GAMBLE CO COM	12/11/2007	10/12/2009	3,460.52	4,471.42	-1,010.90
225. SCHLUMBERGER LTD COM	11/26/2007	05/13/2009	12,113.78	20,938.97	-8,825.19
105. SYSCO CORP COM	12/11/2007	10/12/2009	2,635.53	3,442.20	-806.67
240. TARGET CORP COM	12/11/2007	05/13/2009	9,863.37	13,291.10	-3,427.73
565. TEXAS INSTRS INC COM	12/11/2007	05/18/2009	9,999.90	16,339.38	-6,339.48
40. 3M CO	12/11/2007	05/18/2009	2,355.47	3,452.81	-1,097.34
70. 3M CO	11/26/2007	10/12/2009	5,260.42	5,750.33	-489.91
.3328 TIME WARNER INC	12/11/2007	04/07/2009	7.31	12.96	-5.65
170. TIME WARNER INC	12/11/2007	10/07/2009	5,069.83	6,507.78	-1,437.95
65. TIME WARNER INC	11/26/2007	10/12/2009	1,969.51	2,441.94	-472.43
.4023 TIME WARNER CABLE INC	12/11/2007	04/07/2009	11.13	20.57	-9.44
141. TIME WARNER CABLE INC	12/11/2007	05/22/2009	4,541.96	6,992.54	-2,450.58
4922.184 VANGUARD WORLD FD INTL GROWTH PORTFOLIO	03/13/2008	04/15/2009	58,869.32	134,379.71	-75,510.39
1864.821 VANGUARD CONVERTIBLE SECS FUND	06/24/2008	10/09/2009	22,900.00	25,007.25	-2,107.25
6480.559 VANGUARD PACIFIC STOCK INDEX FD #72	03/13/2008	04/15/2009	49,900.31	86,998.12	-37,097.81
55. VERIZON COMMUNICATIONS	12/11/2007	10/12/2009	1,576.63	2,491.96	-915.33
150. WAL MART STORES INC COM	11/26/2007	03/09/2009	7,305.07	6,781.20	523.87
50. WAL MART STORES INC COM	11/26/2007	10/12/2009	2,468.30	2,260.40	207.90
150. WALGREEN CO COM	11/26/2007	10/07/2009	5,786.98	5,972.25	-185.27
40. WALGREEN CO COM	11/26/2007	10/12/2009	1,556.80	1,592.60	-35.80
120. WELLS FARGO CO COM	11/26/2007	10/12/2009	3,541.22	3,610.83	-69.61
375. WYETH	12/11/2007	03/03/2009	15,090.71	17,623.49	-2,532.78
TOTAL 15% RATE CAPITAL GAINS (LOSSES)			453,198.00	720,831.00	-267,633.00
Totals			453,198.00	720,831.00	-267,633.00

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Asset Detail Section

Statement of Value and Activity

August 1, 2009 - October 31, 2009

Asset Detail

Description	Shares/Par Value Current Price	Market Value	Cost Basis Unrealized G/L	Est. Ann. Inc. Current Yield
Cash & Equivalents				
Corporate Money Market Fund	268,347.920 $1.000	$268,347.92	$268,347.92 $0.00	$268.35 0.10%
Total Cash & Equivalents		$268,347.92	$268,347.92 $0.00	$268.35
Taxable Fixed Income				
Dodge & Cox Income Fund #147 TICKER: DODIX	6,575.976 $12.980	$85,356.17	$74,703.09 $10,653.08	$4,570.30 5.35%
PIMCO Total Return Fund-Inst TICKER: PTTRX	31,107.685 $10.940	$340,318.07	$329,540.00 $10,778.07	$19,131.23 5.62%
Vanguard Inflation-Protected SEC 119 TICKER: VIPSX	8,445.644 $12.700	$107,259.68	$102,430.00 $4,829.68	$160.47 0.15%
Metropolitan West T/R Bond-I TICKER· MWTIX	6,513.292 $9.910	$64,546.72	$63,700.00 $846.72	$3,953.57 6.13%
Total Taxable Fixed Income		$597,480.64	$570,373.09 $27,107.55	$27,815.57
Domestic Equities				
Abbott Labs TICKER: ABT	265.000 $50.570	$13,401.05	$11,895.60 $1,505.45	$424.00 3.16%
ALCOA Inc TICKER: AA	460.000 $12.420	$5,713.20	$4,953.41 $759.79	$55.20 0.97%
AT & T Inc TICKER: T	635.000 $25.670	$16,300.45	$21,147.89 -$4,847.44	$1,041.40 6.39%
Baker Hughes Inc Com TICKER: BHI	145.000 $42.070	$6,100.15	$3,941.86 $2,158.29	$87.00 1.43%
Bank Amer Corp TICKER: BAC	375.000 $14.580	$5,467.50	$14,685.88 -$9,218.38	$15.00 0.27%
Bank of New York Mellon Corp TICKER: BK	345.000 $26.660	$9,197.70	$9,013.99 $183.71	$124.20 1.35%
Biogen IDEC Inc TICKER: BIIB	180.000 $42.130	$7,583.40	$7,882.75 -$299.35	$0.00 0.00%
Boeing Company TICKER: BA	235.000 $47.800	$11,233.00	$21,617.37 -$10,384.37	$394.80 3.51%
Bristol Myers Squibb Co TICKER: BMY	585.000 $21.800	$12,753.00	$11,945.23 $807.77	$725.40 5.69%
Caterpillar Inc TICKER: CAT	245.000 $55.060	$13,489.70	$14,527.01 -$1,037.31	$411.60 3.05%
Chevron Corporation TICKER: CVX	180.000 $76.540	$13,777.20	$14,342.35 -$565.15	$489.60 3.55%

Asset Detail Section (continued)

Statement of Value and Activity

August 1, 2009 - October 31, 2009

Description	Shares/Par Value Current Price	Market Value	Cost Basis Unrealized G/L	Est. Ann. Inc. Current Yield
Cisco Systems Inc TICKER: CSCO	550.000 $22.810	$12,545.50	$10,369.75 $2,175.75	$0.00 0.00%
Coca Cola Co TICKER: KO	180.000 $53.310	$9,595.80	$10,178.93 -$583.13	$295.20 3.08%
Comcast Corp-CL A TICKER: CMCSA	635.000 $14.500	$9,207.50	$11,207.98 -$2,000.48	$171.45 1.86%
ConocoPhillips TICKER: COP	260.000 $50.180	$13,046.80	$19,047.83 -$6,001.03	$520.00 3.99%
Dominion RES Inc VA TICKER: D	285.000 $34.090	$9,715.65	$10,554.89 -$839.24	$498.75 5.13%
Du Pont E I De Nemours & Co TICKER: DD	200.000 $31.820	$6,364.00	$9,028.00 -$2,664.00	$328.00 5.15%
E M C Corp Mass TICKER: EMC	545.000 $16.470	$8,976.15	$5,519.76 $3,456.39	$0.00 0.00%
Exxon Mobil Corp TICKER: XOM	380.000 $71.670	$27,234.60	$24,349.64 $2,884.96	$638.40 2.34%
Franklin Resources Inc TICKER: BEN	95.000 $104.630	$9,939.85	$5,644.48 $4,295.37	$79.80 0.80%
General Electric Corp TICKER: GE	815.000 $14.260	$11,621.90	$21,917.37 -$10,295.47	$326.00 2.80%
General Mills TICKER: GIS	100.000 $65.920	$6,592.00	$5,744.46 $847.54	$188.00 2.85%
Home Depot Inc TICKER: HD	355.000 $25.090	$8,906.95	$10,013.87 -$1,106.92	$319.50 3.59%
Hussman Strategic Growth Fund TICKER: HSGFX	18,013.442 $12.960	$233,454.21	$260,150.00 -$26,695.79	$450.34 0.19%
Intel Corp TICKER: INTC	815.000 $19.110	$15,574.65	$17,833.67 -$2,259.02	$456.40 2.93%
International Business Machines Corp TICKER: IBM	130.000 $120.610	$15,679.30	$13,482.93 $2,196.37	$286.00 1.82%
Johnson & Johnson TICKER: JNJ	265.000 $59.050	$15,648.25	$17,824.99 -$2,176.74	$519.40 3.32%
JP Morgan Chase & Co TICKER: JPM	285.000 $41.770	$11,904.45	$11,339.20 $565.25	$57.00 0.48%
Kimberly-Clark Corp TICKER: KMB	135.000 $61.160	$8,256.60	$9,415.26 -$1,158.66	$324.00 3.92%
McDonalds Corp Com TICKER: MCD	290.000 $58.610	$16,996.90	$15,816.30 $1,180.60	$638.00 3.75%
Medtronic Inc TICKER: MDT	355.000 $35.700	$12,673.50	$17,573.66 -$4,900.16	$291.10 2.30%
Metlife Inc Com TICKER: MET	345.000 $34.030	$11,740.35	$13,391.02 -$1,650.67	$255.30 2.17%
Microsoft Corp TICKER: MSFT	900.000 $27.730	$24,957.00	$23,303.00 $1,654.00	$468.00 1.88%

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Statement of Value and Activity

August 1, 2009 - October 31, 2009

Description	Shares/Par Value Current Price	Market Value	Cost Basis Unrealized G/L	Est. Ann. Inc. Current Yield
Northern Trust TICKER: NTRS	165.000 $50.250	$8,291.25	$7,392.40 $898.85	$184.80 2.23%
Occidental Pete Corp TICKER: OXY	125.000 $75.880	$9,485.00	$9,952.60 -$467.60	$165.00 1.74%
Oracle Corporation Com TICKER: ORCL	475.000 $21.100	$10,022.50	$8,768.35 $1,254.15	$95.00 0.95%
Pepsico Inc Com TICKER: PEP	215.000 $60.550	$13,018.25	$12,944.99 $73.26	$387.00 2.97%
Pfizer Inc TICKER: PFE	1,360.000 $17.030	$23,160.80	$26,756.40 -$3,595.60	$870.40 3.76%
Procter & Gamble Company TICKER: PG	345.000 $58.000	$20,010.00	$19,912.02 $97.98	$607.20 3.03%
Progress Energy Inc TICKER: PGN	350.000 $37.530	$13,135.50	$13,585.77 -$450.27	$868.00 6.61%
Qualcomm Inc Com TICKER: QCOM	315.000 $41.330	$13,018.95	$13,929.09 -$910.14	$214.20 1.64%
Schlumberger Ltd TICKER: SLB	235.000 $62.200	$14,617.00	$10,326.87 $4,290.13	$197.40 1.35%
Sysco Corp TICKER: SYY	395.000 $26.450	$10,447.75	$11,973.87 -$1,526.12	$379.20 3.63%
T Rowe Price New Era Fund TICKER: PRNEX	1,734.337 $40.870	$70,882.35	$53,105.39 $17,776.96	$849.83 1.20%
Time Warner Inc TICKER: TWX	328.000 $30.120	$9,879.36	$12,322.39 -$2,443.03	$246.00 2.49%
United Technologies Corp TICKER: UTX	105.000 $61.450	$6,452.25	$4,379.82 $2,072.43	$161.70 2.51%
Vanguard Convertible Secs Fund TICKER: VCVSX	8,707.723 $11.770	$102,489.90	$114,932.75 -$12,442.85	$3,709.49 3.62%
Verizon Communications TICKER: VZ	450.000 $29.590	$13,315.50	$17,088.03 -$3,772.53	$855.00 6.42%
Walgreen Company Com TICKER: WAG	165.000 $37.830	$6,241.95	$6,309.88 -$67.93	$90.75 1.45%
WalMart Stores Inc TICKER: WMT	395.000 $49.680	$19,623.60	$18,629.76 $993.84	$430.55 2.19%
Walt Disney Company TICKER: DIS	345.000 $27.370	$9,442 65	$10,229.32 -$786.67	$120.75 1.28%
Wells Fargo & Co TICKER: WFC	450.000 $27.520	$12,384.00	$12,954.56 -$570.56	$90.00 0.73%
3M Co TICKER: MMM	175.000 $73.570	$12,874.75	$14,375.83 -$1,501.08	$357.00 2.77%
Total Domestic Equities		$1,014,441.57	$1,079,530.42 -$65,088.85	$21,758.11

Asset Detail Section (continued)

Statement of Value and Activity

August 1, 2009 - October 31, 2009

Description	Shares/Par Value Current Price	Market Value	Cost Basis Unrealized G/L	Est. Ann. Inc. Current Yield
International Equities				
Artisan Intl Value Fund-Inv TICKER: ARTKX	3,217.036 $22.500	$72,383.31	$54,014.03 $18,369.28	$678.79 0.94%
Thornburg Intl Value Fd I TICKER: TGVIX	4,695.298 $24.420	$114,659.18	$95,600.00 $19,059.18	$1,441.46 1.26%
Tyco International Ltd TICKER: TYC	195.000 $33.550	$6,542.25	$7,711.76 -$1,169.51	$156.00 2.38%
Total International Equities		$193,584.74	$157,325.79 $36,258.95	$2,276.25
Total All Assets		**$2,073,854.87**	**$2,075,577.22 -$1,722.35**	**$52,118.28**