Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2008

For calendar year 2008, or tax year beginning NOV 1, 2008 , and ending OCT 31, 2009

G Check all that apply: [ ] Initial return [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: HARRY DENT FAMILY FOUNDATION, INC.

Number and street (or P O box number if mail is not delivered to street address) / Room/suite: P.O. BOX 506, 755 CENTER STREET

City or town, state, and ZIP code: LEWISTON, NY 14092

A Employer identification number: 16-0849923

B Telephone number: (716)754-8276

C If exemption application is pending, check here ▶ [ ]

D 1. Foreign organizations, check here ▶ [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 4,586,547.

J Accounting method: [ ] Cash [X] Accrual
[ ] Other (specify) ______
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,129.	1,124.		STATEMENT 2
	4 Dividends and interest from securities	161,811.	161,856.		STATEMENT 3
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<297,275.>			STATEMENT 1
	b Gross sales price for all assets on line 6a 2,909,683.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	<134,335.>	162,980.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	60,842.	30,421.		30,421.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	18,403.	9,201.		9,202.
	16a Legal fees				
	b Accounting fees STMT 4	9,550.	4,775.		4,775.
	c Other professional fees STMT 5	36,418.	36,418.		0.
	17 Interest				
	18 Taxes STMT 6	3,142.	3,142.		0.
	19 Depreciation and depletion				
	20 Occupancy	10,194.	5,097.		5,097.
	21 Travel, conferences, and meetings	559.	279.		280.
	22 Printing and publications				
	23 Other expenses STMT 7	9,571.	4,704.		4,867.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	148,679.	94,037.		54,642.
	25 Contributions, gifts, grants paid	80,000.			80,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	228,679.	94,037.		134,642.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	<363,014.>			
	b **Net investment income** (if negative, enter -0-)		68,943.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)	Beginning of year	End of year	
	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets			
1 Cash - non-interest-bearing	19,721.	38,375.	38,375.
2 Savings and temporary cash investments	173,671.	429,956.	429,956.
3 Accounts receivable ▶			
Less allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons			
7 Other notes and loans receivable ▶			
Less allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U S and state government obligations STMT 8	598,697.	444,982.	462,048.
b Investments - corporate stock STMT 9	2,998,808.	2,598,049.	2,593,109.
c Investments - corporate bonds STMT 10	1,051,708.	823,396.	840,731.
11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶			
12 Investments - mortgage loans			
13 Investments - other STMT 11	84,470.	214,268.	215,355.
14 Land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶			
15 Other assets (describe ▶ DUE FROM BROKER)	0.	6,973.	6,973.
16 **Total assets** (to be completed by all filers)	4,927,075.	4,555,999.	4,586,547.
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable			
22 Other liabilities (describe ▶ STATEMENT 12)	10,323.	2,261.	
23 **Total liabilities** (add lines 17 through 22)	10,323.	2,261.	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds	6,856,683.	6,856,683.	
28 Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	<1,939,931.>	<2,302,945.>	
30 **Total net assets or fund balances**	4,916,752.	4,553,738.	
31 **Total liabilities and net assets/fund balances**	4,927,075.	4,555,999.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,916,752.
2 Enter amount from Part I, line 27a	2	<363,014.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	4,553,738.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,553,738.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	2,909,683.		3,206,958.	<297,275.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				<297,275.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** <297,275.>

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2007	241,914.	5,348,910.	.045227
2006	323,676.	5,834,238.	.055479
2005	340,856.	5,627,570.	.060569
2004	332,876.	5,572,358.	.059737
2003	274,144.	5,737,196.	.047784

2	Total of line 1, column (d)	2	.268796
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.053759
4	Enter the net value of noncharitable-use assets for 2008 from Part X, line 5	4	4,191,473.
5	Multiply line 4 by line 3	5	225,329.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	689.
7	Add lines 5 and 6	7	226,018.
8	Enter qualifying distributions from Part XII, line 4	8	134,642.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

Part VI | Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling letter ________ (attach copy of ruling letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	1,379.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3	Add lines 1 and 2			3	1,379.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	1,379.
6	Credits/Payments				
a	2008 estimated tax payments and 2007 overpayment credited to 2008	6a	4,589.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	4,589.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	3,210.
11	Enter the amount of line 10 to be **Credited to 2009 estimated tax** ▶	3,210.	**Refunded** ▶	11	0.

Part VII-A | Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
	If the answer is "Yes" to **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2008 or the taxable year beginning in 2008 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JANE E. GAILEY Telephone no ▶716-754-8276

Located at ▶SAME ADDRESS AS ON PAGE 1 ZIP+4 ▶14092

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2008?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2008, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2008? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____ , ____ , ____ , ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____ , ____ , ____ , ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2008 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2008.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2008?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If you answered "Yes" to 6b, also file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		60,842.	13,825.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0.
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0.

Form **990-PF** (2008)

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Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	4,061,105.
b	Average of monthly cash balances	1b	194,198.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	4,255,303.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,255,303.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	63,830.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,191,473.
6	**Minimum investment return.** Enter 5% of line 5	6	209,574.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	209,574.
2a	Tax on investment income for 2008 from Part VI, line 5	2a	1,379.		
b	Income tax for 2008 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,379.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	208,195.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	208,195.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	208,195.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	134,642.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	134,642.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	134,642.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2007	(c) 2007	(d) 2008
1 Distributable amount for 2008 from Part XI, line 7				208,195.
2 Undistributed income, if any, as of the end of 2007				
a Enter amount for 2007 only			87,947.	
b Total for prior years ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2008				
a From 2003				
b From 2004				
c From 2005				
d From 2006				
e From 2007				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2008 from Part XII, line 4 ▶ $ 134,642.				
a Applied to 2007, but not more than line 2a			87,947.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2008 distributable amount				46,695.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2008 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2007 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2008 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2009				161,500.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2003 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2009. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2004				
b Excess from 2005				
c Excess from 2006				
d Excess from 2007				
e Excess from 2008				

Form 990-PF (2008)

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01-02-09

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2008, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2008	(b) 2007	(c) 2006	(d) 2005	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

SEE ATTACHMENT J

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHMENT J

c Any submission deadlines

SEE ATTACHMENT J

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHMENT J

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
DENT NEUROSCIENCES RESEARCH CTR INC, 3980 SHERIDAN DR 6TH FL, AMHERST, NY 14	NONE	501(C)(3)	RESEARCH	80,000.
Total			▶ 3a	80,000.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

823611 01-02-09

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,129.	
4 Dividends and interest from securities			14	161,811.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	<297,275.>	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		<134,335.>	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 <134,335.>

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		NOT APPLICABLE	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Jane E. Yarbey | 2/22/10 | Secretary

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature | Date 2/15/10 | Check if self-employed ☐ | Preparer's identifying number P00155344

Firm's name (or yours if self-employed), address, and ZIP code: LUMSDEN & MCCORMICK, LLP 403 MAIN ST. SUITE 430 BUFFALO, NY 14203

EIN 16-0765486

Phone no (716)856-3300

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	WESTERN ASSET - SEE ATTACHMENT I	P	VARIOUS	VARIOUS
b	DELAWARE INTERNATIONAL - SEE ATTACHMENT I	P	VARIOUS	VARIOUS
c	CLEARBRIDGE MULTI CAP GROWTH - SEE ATTACHMENT I	P	VARIOUS	VARIOUS
d	NOTTINGHAM ADVISORS INC - SEE ATTACHMENT I	P	VARIOUS	VARIOUS
e	LORD ABBETT LC VALUE - SEE ATTACHMENT I	P	VARIOUS	VARIOUS
f	LAZARD INTERNATIONAL - SEE ATTACHMENT I	P	VARIOUS	VARIOUS
g	JENNISON LARGE CAP GROWTH - SEE ATTACHMENT I	P	VARIOUS	VARIOUS
h	HANSBERGER EMERGING MKTS - SEE ATTACHMENT I	P	VARIOUS	VARIOUS
i	PENN CAPITAL - SEE ATTACHMENT I	P	VARIOUS	VARIOUS
j	NFJ DIVIDEND VALUE - SEE ATTACHMENT I	P	VARIOUS	VARIOUS
k	ENRON CORP SECURITIES LITIGATION PROCEEDS	P	VARIOUS	VARIOUS
l	TYCO INTL LTD SECURITIES LITIGATION PROCEEDS	P	VARIOUS	VARIOUS
m	MERRILL LYNCH SECURITIES LITIGATION PROCEEDS	P	VARIOUS	VARIOUS
n	CARDINAL HEALTH SECURITIES LITIGATION PROCEEDS	P	VARIOUS	VARIOUS
o	CHIRON CORP SECURITIES LITIGATION PROCEEDS	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,488,809.		1,421,375.	67,434.
b	277,919.		312,191.	<34,272.>
c	87,876.		92,999.	<5,123.>
d	140,024.		152,567.	<12,543.>
e	132,139.		192,850.	<60,711.>
f	134,001.		194,527.	<60,526.>
g	311,932.		329,714.	<17,782.>
h	21,428.		40,146.	<18,718.>
i	2,017.		2,081.	<64.>
j	217,322.		376,506.	<159,184.>
k	2,468.			2,468.
l	71.			71.
m	6.			6.
n	71.			71.
o	6.			6.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				67,434.
b				<34,272.>
c				<5,123.>
d				<12,543.>
e				<60,711.>
f				<60,526.>
g				<17,782.>
h				<18,718.>
i				<64.>
j				<159,184.>
k				2,468.
l				71.
m				6.
n				71.
o				6.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

823591
04-25-08

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	COLA COLA CO SECURITIES LITIGATION PROCEEDS	P	VARIOUS	VARIOUS
b	50,000 COUNTRYWIDE HOME LNS INC. 4.0%, DUE 3/22/1	P	03/13/07	12/19/08
c	25,000 FEDERAL NATL MTG ASSN GLOBAL DEBS 4.375%,	P	12/22/03	11/05/08
d	25,000 FNMA 5.0%, DUE 3/1/36	P	01/09/08	12/10/08
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	426.			426.
b	47,625.		47,625.	0.
c	25,583.		24,853.	730.
d	19,960.		19,524.	436.
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				426.
b				0.
c				730.
d				436.
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	<297,275.>
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

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04-25-08

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
WESTERN ASSET - SEE ATTACHMENT I	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,488,809.	1,421,375.	0.	0.	67,434.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
DELAWARE INTERNATIONAL - SEE ATTACHMENT I	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
277,919.	312,191.	0.	0.	<34,272.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
CLEARBRIDGE MULTI CAP GROWTH - SEE ATTACHMENT I	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
87,876.	92,999.	0.	0.	<5,123.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
NOTTINGHAM ADVISORS INC - SEE ATTACHMENT I	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
140,024.	152,567.	0.	0.	<12,543.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LORD ABBETT LC VALUE - SEE ATTACHMENT I	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
132,139.	192,850.	0.	0.	<60,711.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LAZARD INTERNATIONAL - SEE ATTACHMENT I	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
134,001.	194,527.	0.	0.	<60,526.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
JENNISON LARGE CAP GROWTH - SEE ATTACHMENT I	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
311,932.	329,714.	0.	0.	<17,782.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
[illegible]SBERGER EMERGING MKTS - SEE ATTACHMENT I	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
21,428.	40,146.	0.	0.	<18,718.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
PENN CAPITAL - SEE ATTACHMENT I	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,017.	2,081.	0.	0.	<64.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
NFJ DIVIDEND VALUE - SEE ATTACHMENT I	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
217,322.	376,506.	0.	0.	<159,184.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ENRON CORP SECURITIES LITIGATION PROCEEDS	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,468.	0.	0.	0.	2,468.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
[illegible]O INTL LTD SECURITIES LITIGATION PROCEEDS	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
71.	0.	0.	0.	71.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
MERRILL LYNCH SECURITIES LITIGATION PROCEEDS	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
6.	0.	0.	0.	6.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
CARDINAL HEALTH SECURITIES LITIGATION [illegible]CEEDS	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
71.	0.	0.	0.	71.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
CHIRON CORP SECURITIES LITIGATION PROCEEDS	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
6.	0.	0.	0.	6.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
COCA COLA CO SECURITIES LITIGATION PROCEEDS	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
426.	0.	0.	0.	426.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
50,000 COUNTRYWIDE HOME LNS INC. 4.0%, DUE 3/22/11	PURCHASED	03/13/07	12/19/08

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
47,625.	47,625.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
2[illegible]000 FEDERAL NATL MTG ASSN GLOBAL DEBS 4.375%, DUE 9/15/12	PURCHASED	12/22/03	11/05/08

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
25,583.	24,853.	0.	0.	730.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
25,000 FNMA 5.0%, DUE 3/1/36	PURCHASED	01/09/08	12/10/08

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
19,960.	19,524.	0.	0.	436.

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	<297,275.>

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	AMOUNT
LAZARD TAX-FREE	5.
WESTERN ASSET MONEY FUNDS	1,124.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	1,129.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
VARIOUS FUNDS-DIVIDENDS	69,289.	0.	69,289.
VARIOUS FUNDS-INTEREST	92,522.	0.	92,522.
TOTAL TO FM 990-PF, PART I, LN 4	161,811.	0.	161,811.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	9,550.	4,775.		4,775.
TO FORM 990-PF, PG 1, LN 16B	9,550.	4,775.		4,775.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT AND CUSTODIAN FEES	36,418.	36,418.		0.
TO FORM 990-PF, PG 1, LN 16C	36,418.	36,418.		0.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	3,142.	3,142.		0.
TO FORM 990-PF, PG 1, LN 18	3,142.	3,142.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	6,640.	3,320.		3,320.
OFFICE EXPENSE	1,544.	772.		772.
TELEPHONE	899.	449.		450.
NYS FILING FEE	250.	0.		250.
BANK FEE	88.	88.		0.
RETIREMENT PLAN FEE	150.	75.		75.
TO FORM 990-PF, PG 1, LN 23	9,571.	4,704.		4,867.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 8

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
U.S. TREASURY BONDS-SEE ATTACHMENT D	X		444,982.	462,048.
TOTAL U.S. GOVERNMENT OBLIGATIONS			444,982.	462,048.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			444,982.	462,048.

FORM 990-PF — CORPORATE STOCK — STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK SB 9112-SEE ATTACHMENT G	272,150.	394,487.
CORPORATE STOCK SB 9617-SEE ATTACHMENT C	210,695.	139,334.
CORPORATE STOCK SB 3911-SEE ATTACHMENT A	278,033.	283,881.
CORPORATE STOCK SB 6818	0.	0.
CORPORATE STOCK SB 7213-SEE ATTACHMENT E	670,177.	619,859.
CORPORATE STOCK SB 5416-SEE ATTACHMENT F	469,669.	439,509.
CORPORATE STOCK SB 7813-SEE ATTACHMENT B	388,989.	435,048.
CORPORATE STOCK SB 5711-SEE ATTACHMENT H	211,939.	190,262.
CORPORATE STOCK SB 74707-SEE ATTACHMENT K	96,397.	90,729.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,598,049.	2,593,109.

FORM 990-PF — CORPORATE BONDS — STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS-SEE ATTACHMENT D	823,396.	840,731.
TOTAL TO FORM 990-PF, PART II, LINE 10C	823,396.	840,731.

FORM 990-PF — OTHER INVESTMENTS — STATEMENT 11

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CLOSED END FUNDS - SEE ATTACHMENT C	COST	187,109.	185,808.
25,000 RIO TINTO FINANCE PLCP	COST	27,159.	29,547.
TOTAL TO FORM 990-PF, PART II, LINE 13		214,268.	215,355.

FORM 990-PF — OTHER LIABILITIES — STATEMENT 12

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
DUE TO BROKER	10,323.	0.
TAXES PAYABLE	0.	2,261.
TOTAL TO FORM 990-PF, PART II, LINE 22	10,323.	2,261.

FORM 990-PF — PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS — STATEMENT 13

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
HARRY M. DENT III 1809 N. CLEVELAND AVE CHICAGO, IL 60614	PRESIDENT/DIRECTOR 1.00	0.	0.	0.
GERALD M. KUFNER 73 STRAUSS LANE RHINEBECK, NY 12572	DIRECTOR 1.00	0.	0.	0.
[illegible]AN L. KIMBERLY 50 LEXINGTON AVENUE BUFFALO, NY 14222	TREASURER/DIRECTOR 1.00	0.	0.	0.
JANE E. GAILEY 343 MAIN STREET YOUNGSTOWN, NY 14174	SECRETARY/ASST TREASURER 28.00	60,092.	13,825.	0.
HEIDI D. ARTHURS 3819 LOWER RIVER RD YOUNGSTOWN, NY 14174	VICE PRESIDENT/DIRECTOR 1.00	0.	0.	0.
DALE B. DEMYANICK 403 MAIN ST, SUITE 430 BUFFALO, NY 14203	DIRECTOR 1.00	250.	0.	0.
GLORIA G. DENT 4333 N. OCEAN BLVD, #AS2 DELRAY BEACH, FL 33483	DIRECTOR 1.00	0.	0.	0.
PETER H. DENT P.O. BOX 4639 SANTA FE, NM 87505	DIRECTOR 1.00	0.	0.	0.

GEORGIA D. KNISLEY 27 LAMOREE ROAD RHINEBECK, NY 12572	DIRECTOR 1.00	0.	0.	0.
[illegible]EN D. LENAHAN 90 HALLAM ROAD BUFFALO, NY 14216	DIRECTOR 1.00	0.	0.	0.
CHRISTOPHER D. LENAHAN 227 MIDDLESEX ROAD BUFFALO, NY 14216	DIRECTOR 1.00	0.	0.	0.
SCOTT STRAUSSER 806 WILSON LANE HINSDALE, IL 60521	DIRECTOR 1.00	250.	0.	0.
LISBETH L. WALLS P.O. BOX 782 BUFFALO, NY 14205	DIRECTOR 1.00	250.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		60,842.	13,825.	0.

ATTACHMENT A

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HARRY DENT FAMILY FOUNDATION
EIN 16-0849923

Reserved
Client Statement

October 1 - October 31, 2009

HARRY DENT FAMILY FDTN., INC. *Account number 356-13139-11 346*

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
15	COVIDIEN PLC DUBLIN-USD	COV	04/08/08	$ 685 94	$ 45 729	$ 42 12	$ 631 80	($ 54 14) LT		
29			04/09/08	1,321 76	45 577	42 12	1,221 48	(100 28) LT		

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Reserved
Client Statement
October 1 - October 31, 2009

HARRY DENT FAMILY FDTN., INC. *Account number 356-13139-11 346*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
18	COVIDIEN PLC DUBLIN-USD	COV	04/24/08	$ 851 43	$ 47 301	$ 42 12	$ 758 16	($ 93 27) LT		
11			07/17/08	530 27	48 206	42 12	463 32	(66 95) LT		
14			02/25/09	499.98	35 712	42.12	589 68	89.70 ST		
87				**3,889.38**	**44.706**		**3,664.44**	**(224.94)**	**1.709**	**62 64**
8	AT&T INC	T	08/19/05	163 34	20 446	25 67	205.36	42 02 LT		
5			12/13/05	123 55	24.744	25 67	128 35	4.80 LT		
5			12/14/05	123 66	24.765	25 67	128.35	4.69 LT		
23			12/15/05	568 55	24 754	25 67	590 41	21 86 LT		
45			01/20/06	1,108 70	24 672	25 67	1,155 15	46 45 LT		
27			01/23/06	664 29	24 638	25 67	693.09	28 80 LT		
26			01/25/07	959 28	36.895	25 67	667 42	(291.86) LT		
139				**3,711.37**	**26.701**		**3,568.13**	**(143.24)**	**6.388**	**227.96**
1	ABBOTT LABORATORIES	ABT	04/27/07	56 31	56.307	50 57	50 57	(5 74) LT		
20			04/30/07	1,132 69	56 634	50 57	1,011 40	(121.29) LT		
7			05/03/07	401 31	57.329	50 57	353 99	(47 32) LT		
32			06/04/07	1,777 49	55 546	50.57	1,618 24	(159.25) LT		
4			06/13/07	213 85	53 463	50 57	202 28	(11 57) LT		
8			12/31/07	449 07	56 133	50 57	404 56	(44 51) LT		
72				**4,030 72**	**55 982**		**3,641 04**	**(389 68)**	**3.163**	**115 20**
24	ADOBE SYSTEMS INC (DE)	ADBE	08/18/08	1,054 67	43 944	32 94	790 56	(264 11) LT		
21			09/02/08	913.01	43 476	32 94	691 74	(221.27) LT		
45				**1,967 68**	**43 726**		**1,482 30**	**(485 38)**		
13	ALLERGAN INC	AGN	09/02/08	722 40	55 569	56 25	731 25	8 85 LT		
13			02/23/09	521 60	40 123	56 25	731 25	209 65 ST		
6			07/27/09	312 55	52 091	56 25	337 50	24 95 ST		
32				**1,556 55**	**48.642**		**1,800.00**	**243 45**	**.355**	**6.40**
1	AMGEN INC	AMGN	11/07/07	56 28	56 281	53 62	53 62	(2 66) LT		
34			11/08/07	1,913 53	56.28	53.62	1,823 08	(90.45) LT		
20			11/21/07	1,062 73	53 136	53 62	1,072 40	9 67 LT		
17			03/03/08	769.78	45 281	53 62	911 54	141 76 LT		
6			03/13/09	300 13	50 022	53 62	321 72	21.59 ST		
19			07/10/09	1,099 07	57 845	53 62	1,018 78	(80 29) ST		
7			07/21/09	409 43	58.489	53 62	375 34	(34 09) ST		
3			09/09/09	177 19	59 063	53 62	160 86	(16 33) ST		
107				**5,788 14**	**54 095**		**5,737 34**	**(50 80)**		

ATTACHMENT A

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HARRY DENT F LY FOUNDATION
EIN 16-0849923

Reserved
Client Statement

October 1 - October 31, 2009

HARRY DENT FAMILY FDTN., INC. **Account number 356-13139-11 346**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
39	ANNALY CAPITAL MANAGEMENT INC	NLY	07/15/08	$ 558 77	$ 14 327	$ 16 91	$ 659 49	$ 100 72 LT		
40			09/16/08	566 87	14 171	16 91	676 40	109 53 LT		
5			11/06/08	67.78	13 556	16 91	84 55	16 77 ST		
49			02/05/09	729 21	14 881	16 91	828 59	99 38 ST		
133				**1,922 63**	**14 456**		**2,249 03**	**326 40**	**16.321**	**367.08**
2	AON CORP	AOC	09/05/07	87.04	43 52	38 51	77 02	(10 02) LT		
20			01/18/08	859 51	42.975	38 51	770 20	(89.31) LT		
26			02/11/08	1,066 29	41 011	38 51	1,001.26	(65 03) LT		
60			05/01/09	2,206 41	36 773	38 51	2,310 60	104 19 ST		
108				**4,219 25**	**39.067**		**4,159 08**	**(60 17)**	**1.558**	**64 80**
8	BB&T CORP	BBT	03/17/08	253 67	31 708	23 91	191 28	(62.39) LT		
71			06/12/08	1,902 49	26.795	23 91	1,697 61	(204 88) LT		
57			07/01/08	1,324 42	23 235	23 91	1,362 87	38 45 LT		
136				**3,480.58**	**25.593**		**3,251.76**	**(228 82)**	**2 509**	**81.60**
21.6378	BANK NEW YORK MELLON CORP	BK	03/16/07	893 68	41.351	26.66	576 86	(316.82) LT		
9 4226			03/26/07	405 00	43 033	26 66	251 21	(153 79) LT		
7 5381			03/27/07	324 48	43 097	26 66	200 97	(123 51) LT		
4 7113			03/30/07	201 97	42 92	26 66	125 60	(76 37) LT		
3.769			04/05/07	162 08	43 056	26 66	100 48	(61 60) LT		
33 9212			04/25/07	1,463 55	43.197	26 66	904 34	(559 21) LT		
35			08/21/07	1,478 84	42.252	26 66	933 10	(545 74) LT		
71			08/22/07	2,976 16	41 917	26 66	1,892 86	(1,083 30) LT		
18			08/24/07	757.61	42.089	26 66	479 88	(277 73) LT		
53			07/18/08	1,894 66	35 748	26 66	1,412 98	(481 68) LT		
5			09/18/08	162 78	32 555	26 66	133 30	(29 48) LT		
25			09/30/08	755 39	30 215	26 66	666.50	(88 89) LT		
288				**11,476 20**	**39 848**		**7,678.08**	**(3,798 12)**	**1 35**	**103 68**
43	BARRICK GOLD CORP CAD	ABX	09/24/09	1,553 01	36 116	35 93	1,544 99	(8 02) ST		
16			10/21/09	624 99	39 061	35 93	574 88	(50 11) ST		
59				**2,178 00**	**36 915**		**2,119 87**	**(58.13)**	**1 113**	**23 60**
4	BEST BUY INC	BBY	11/13/08	86 60	21 65	38 18	152 72	66 12 ST		
29			11/20/08	543 97	18 757	38 18	1,107 22	563 25 ST		
6			01/09/09	168 00	27 999	38 18	229 08	61 08 ST		
39				**798 57**	**20 476**		**1,489.02**	**690 45**	**1 466**	**21 84**

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HARRY DENT LY FOUNDATION
EIN . 16-0849923

Reserved Client Statement
October 1 - October 31, 2009

HARRY DENT FAMILY FDTN., INC. *Account number 356-13139-11 346*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
84	BOSTON SCIENTIFIC CORP	BSX	02/29/08	$ 1,056 22	$ 12 574	$ 8 12	$ 682 08	($ 374 14) LT		
95			03/03/08	1,193 71	12 565	8 12	771 40	(422 31) LT		
27			03/04/08	339 63	12.578	8 12	219 24	(120 39) LT		
161			03/10/08	1,985 48	12.332	8 12	1,307.32	(678 16) LT		
45			03/27/08	578.76	12.861	8.12	365 40	(213 36) LT		
80			03/28/08	1,023 97	12.799	8.12	649.60	(374 37) LT		
5			06/23/08	61.30	12.26	8 12	40 60	(20 70) LT		
55			07/23/08	684 51	12 445	8 12	446 60	(237 91) LT		
80			07/24/08	983 91	12 298	8.12	649 60	(334 31) LT		
22			09/17/08	270 82	12 31	8 12	178 64	(92 18) LT		
102			12/30/08	747.82	7 331	8 12	828 24	80 42 ST		
756				**8,926.13**	**11.807**		**6,138.72**	**(2,787.41)**		
21	CANADIAN NATL RAILWAY CO	CNI	06/22/09	866 92	41 282	48 24	1,013 04	146.12 ST		
12			07/09/09	462.78	38.565	48 24	578.88	116 10 ST		
9			08/21/09	445 34	49 482	48.24	434 16	(11 18) ST		
42				**1,775.04**	**42.263**		**2,026 08**	**251 04**	**1.994**	**40 40**
18	CARNIVAL CORP (PAIRED STOCK)	CCL	11/20/08	275 70	15.316	29 12	524 16	248 46 ST		
42			11/24/08	720 42	17 152	29 12	1,223 04	502 62 ST		
44			03/13/09	903 56	20 535	29 12	1,281 28	377 72 ST		
29			06/30/09	742 90	25 617	29 12	844 48	101.58 ST		
133				**2,642.58**	**19 869**		**3,872.96**	**1,230.38**		
4	CATERPILLAR INC	CAT	07/26/07	318 01	79 503	55 06	220 24	(97 77) LT		
17			09/25/07	1,295 89	76.229	55 06	936.02	(359.87) LT		
3			05/19/08	255 17	85 055	55 06	165 18	(89 99) LT		
24			05/13/09	873 01	36 375	55 06	1,321 44	448 43 ST		
18			06/30/09	596 38	33 132	55 06	991 08	394 70 ST		
66				**3,338.46**	**50.583**		**3,633.96**	**295 50**	**3.051**	**110 88**
38	CHEVRON CORP	CVX	07/16/07	3,522 52	92 697	76 54	2,908.52	(614 00) LT		
14			09/11/09	987 83	70 559	76 54	1,071 56	83 73 ST		
22			09/14/09	1,552 44	70 565	76 54	1,683 88	131 44 ST		
10			09/18/09	729 96	72 996	76 54	765 40	35 44 ST		
5			10/01/09	346 72	69 344	76 54	382 70	35.98 ST		
89				**7,139 47**	**80 219**		**6,812 06**	**(327 41)**	**3 553**	**242.08**
12	COCA COLA ENTERPRISES INC	CCE	05/29/07	274 12	22 843	19 07	228 84	(45 28) LT		
23			06/14/07	517 44	22.497	19 07	438 61	(78 83) LT		

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HARRY DENT [redacted]ILY FOUNDATION
EIN · 16-084992[redacted]

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October 1 - October 31, 2009

HARRY DENT FAMILY FDTN., INC. Account number 356-13139-11 346

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
20	COCA COLA ENTERPRISES INC	CCE	12/10/07	$ 505 54	$ 25 277	$ 19 07	$ 381 40	($ 124 14) LT		
29			12/11/07	732 17	25 247	19 07	553 03	(179 14) LT		
26			01/25/08	614 40	23 63	19 07	495 82	(118 58) LT		
47			03/26/08	1,161 85	24 72	19 07	896 29	(265 56) LT		
157				**3,805 52**	**24.239**		**2,993.99**	**(811 53)**	**1.678**	**50.24**
102	COMCAST CORP CL A	CMCSA	10/12/09	**1,569.14**	15.383	14 50	**1,479.00**	**(90 14)** ST	**1.862**	**27 54**
34	CONOCOPHILLIPS	COP	09/21/09	**1,568 70**	46.138	50 18	**1,706.12**	**137 42** ST	**3.985**	**68 00**
19	DELTA AIR LINES INC	DAL	08/15/07	307 58	16 188	7 14	135 66	(171 92) LT		
75	(NEW)		08/16/07	1,142 60	15 234	7.14	535 50	(607 10) LT		
65			12/11/07	1,120 21	17 234	7 14	464 10	(656 11) LT		
40			07/28/08	275 16	6 878	7 14	285 60	10 44 LT		
30			08/06/08	253 22	8 44	7 14	214 20	(39 02) LT		
37			08/07/08	315.21	8 519	7 14	264.18	(51 03) LT		
55			10/20/08	498 33	9 06	7 14	392 70	(105 63) LT		
40			10/21/08	387 11	9 677	7 14	285 60	(101 51) LT		
14			10/22/08	139 94	9 995	7 14	99 96	(39.98) LT		
40			10/27/08	307 74	7 693	7 14	285 60	(22 14) LT		
30			10/28/08	235 88	7 862	7 14	214 20	(21 68) LT		
55			11/24/08	384 22	6 985	7 14	392 70	8 48 ST		
161			12/18/08	1,769.20	10 988	7 14	1,149 54	(619 66) ST		
66			12/31/08	746 88	11.316	7.14	471 24	(275 64) ST		
67			01/13/09	737 11	11.001	7 14	478 38	(258.73) ST		
16			01/15/09	166 99	10 437	7 14	114 24	(52 75) ST		
810				**8,787 38**	**10.849**		**5,783 40**	**(3,003 98)**		
21	DEVON ENERGY CORP NEW	DVN	06/30/09	1,138 27	54 203	64 71	1,358 91	220 64 ST		
11			10/22/09	774 85	70 441	64 71	711 81	(63 04) ST		
32				**1,913.12**	**59.785**		**2,070 72**	**157 60**	**989**	**20 48**
57	DOW CHEMICAL CO	DOW	07/27/09	1,144 78	20.083	23 48	1,338.36	193 58 ST		
40			08/27/09	850 96	21.274	23 48	939 20	88 24 ST		
7			10/01/09	174.80	24 97	23 48	164 36	(10 44) ST		
29			10/22/09	734 21	25 317	23 48	680.92	(53 29) ST		
27			10/28/09	663 51	24.574	23 48	633 96	(29 55) ST		
160				**3,568 26**	**22 302**		**3,756 80**	**188 54**	**2 555**	**96 00**
7	EOG RESOURCES INC	EOG	03/18/08	854 36	122 051	81 66	571 62	(282 74) LT		
10			03/28/08	1,190 36	119 035	81 66	816.60	(373 76) LT		

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HARRY DENT FAMILY FDTN., INC. *Account number 356-13139-11 346*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
14	EOG RESOURCES INC	EOG	09/29/08	$ 1,176 91	$ 84 064	$ 81 66	$ 1,143 24	($ 33 67) LT		
31				**3,221.63**	**103 924**		**2,531.46**	**(690 17)**	**.71**	**17 98**
12	EATON CORP	ETN	07/16/03	479 66	39.972	60 45	725 40	245 74 LT		
18			04/28/05	1,055 39	58 632	60 45	1,088 10	32.71 LT		
11			02/28/06	767 03	69 73	60 45	664 95	(102.08) LT		
9			04/23/08	755 46	83 939	60 45	544 05	(211 41) LT		
25			06/30/08	2,139 96	85 598	60 45	1,511 25	(628 71) LT		
13			12/29/08	605 83	46 602	60 45	785 85	180 02 ST		
88				**5,803 33**	**65 947**		**5,319.60**	**(483 73)**	**3 308**	**176 00**
11	EL PASO CORP	EP	12/20/05	135 25	12 295	9 81	107 91	(27 34) LT		
18			12/21/05	221 33	12 295	9 81	176.58	(44.75) LT		
83			03/01/06	1,066 65	12.851	9 81	814.23	(252 42) LT		
5			12/15/06	76 50	15.30	9 81	49 05	(27 45) LT		
59			12/18/06	892 13	15.12	9 81	578.79	(313 34) LT		
53			02/06/08	862 26	16 269	9 81	519 93	(342 33) LT		
229				**3,254 12**	**14.21**		**2,246.49**	**(1,007 63)**	**2.038**	**45 80**
31	EMERSON ELECTRIC CO	EMR	07/31/09	**1,135.31**	36 622	37 75	**1,170 25**	**34 94** ST	**3 496**	**40 92**
29	EXXON MOBIL CORP	XOM	04/28/05	1,637 92	56 48	71.67	2,078 43	440 51 LT		
31			01/09/07	2,226 54	71 823	71 67	2,221 77	(4 77) LT		
14			07/24/08	1,128.34	80 595	71.67	1,003 38	(124 96) LT		
8			10/12/09	560 67	70 083	71 67	573 36	12 69 ST		
82				**5,553.47**	**67 725**		**5,876.94**	**323.47**	**2 344**	**137.76**
157	FORD MOTOR COMPANY	F	05/12/09	856 11	5 452	7 00	1,099.00	242 89 ST		
80			05/19/09	441 31	5 516	7 00	560.00	118.69 ST		
75			06/04/09	477 38	6 365	7 00	525 00	47 62 ST		
96			07/07/09	536 90	5 592	7 00	672 00	135 10 ST		
102			09/30/09	759 30	7 444	7 00	714 00	(45 30) ST		
510				**3,071 00**	**6.022**		**3,570 00**	**499 00**		
28	FRANKLIN RESOURCES INC	BEN	11/20/08	1,490 98	53.249	104 63	2,929 64	1,438 66 ST		
14			12/01/08	790 46	56 461	104 63	1,464 82	674 36 ST		
3			12/05/08	182 71	60 902	104 63	313 89	131 18 ST		
11			12/26/08	652 04	59.276	104 63	1,150 93	498 89 ST		
12			03/13/09	573 14	47.761	104 63	1,255 56	682 42 ST		
68				**3,689 33**	**54 255**		**7,114 84**	**3,425 51**	**802**	**57 12**

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HARRY DENT FAMILY FDTN., INC. *Account number 356-13139-11 346*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
18	GENERAL ELECTRIC CO	GE	06/09/06	$ 612 47	$ 34 025	$ 14 26	$ 256 68	($ 355 79) LT		
25			07/07/06	834 40	33 376	14 26	356 50	(477 90) LT		
10			08/25/06	338 00	33.799	14.26	142.60	(195 40) LT		
22			10/27/06	775 57	35 253	14 26	313 72	(461 85) LT		
103			12/20/06	3,934 67	38 20	14 26	1,468 78	(2,465 89) LT		
71			02/06/07	2,578 57	36 317	14 26	1,012 46	(1,566 11) LT		
3			02/09/07	107 22	35.738	14 26	42.78	(64 44) LT		
38			09/05/07	1,467 01	38.605	14 26	541 88	(925 13) LT		
50			11/26/08	798 93	15 978	14 26	713 00	(85 93) ST		
6			11/28/08	102 21	17.034	14 26	85 56	(16 65) ST		
346				**11,549 05**	**33.379**		**4,933.96**	**(6,615.09)**	**2.805**	**138.40**
4	GOLDMAN SACHS GROUP INC	GS	11/18/08	244 35	61 088	170 17	680 68	436 33 ST		
23			11/21/08	1,180 69	51.334	170 17	3,913 91	2,733.22 ST		
6			12/02/08	384 39	64.065	170 17	1,021 02	636 63 ST		
1			12/31/08	85 65	85 647	170 17	170 17	84 52 ST		
22			02/03/09	1,827 89	83 086	170 17	3,743.74	1,915 85 ST		
8			02/09/09	777 10	97 137	170 17	1,361 36	584 26 ST		
64				**4,500 07**	**70.314**		**10,890 88**	**6,390 81**	**.822**	**89 60**
29	HALLIBURTON CO HOLDINGS CO	HAL	05/04/09	640 01	22.069	29 21	847 09	207 08 ST		
37			06/02/09	884 41	23 903	29 21	1,080 77	196 36 ST		
72			06/30/09	1,494 00	20 75	29.21	2,103 12	609 12 ST		
31			09/09/09	782 63	25 246	29 21	905 51	122 88 ST		
169				**3,801.05**	**22 491**		**4,936 49**	**1,135 44**	**1 232**	**60 84**
10	HERTZ GLOBAL HOLDINGS INC	HTZ	11/07/07	183 59	18 359	9 31	93 10	(90 49) LT		
55			11/08/07	1,022 82	18 596	9 31	512 05	(510 77) LT		
10			11/12/07	186 47	18 647	9 31	93 10	(93 37) LT		
5			11/13/07	93 18	18 635	9 31	46 55	(46 63) LT		
20			11/14/07	363 71	18 185	9 31	186 20	(177 51) LT		
10			11/16/07	178 06	17 806	9 31	93 10	(84.96) LT		
49			11/19/07	846 75	17 28	9 31	456 19	(390 56) LT		
25			12/12/07	396 55	15 862	9 31	232 75	(163 80) LT		
18			12/13/07	285 84	15 879	9 31	167 58	(118 26) LT		
22			12/31/07	347 84	15.811	9 31	204 82	(143 02) LT		
56			08/07/08	501 54	8 956	9 31	521 36	19 82 LT		
95			02/24/09	315 21	3 318	9 31	884 45	569 24 ST		

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Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
80	HERTZ GLOBAL HOLDINGS INC	HTZ	02/25/09	$ 258 75	$ 3 234	$ 9 31	$ 744 80	$ 486 05 ST		
135			02/26/09	440 38	3 262	9 31	1,256 85	816 47 ST		
590				**5,420.69**	**9.188**		**5,492.90**	**72.21**		
5	HESS CORP	HES	09/17/08	404 27	80 853	54 74	273 70	(130 57) LT		
8			03/13/09	479 64	59 955	54 74	437 92	(41.72) ST		
5			03/30/09	273 47	54 693	54 74	273.70	.23 ST		
10			03/31/09	546 29	54.629	54 74	547.40	1 11 ST		
19			06/02/09	1,213 61	63 874	54 74	1,040 06	(173.55) ST		
47				**2,917.28**	**62.07**		**2,572.78**	**(344.50)**	**.73**	**18 80**
16	HEWLETT PACKARD CO	HPQ	07/27/07	746 46	46 653	47 46	759 36	12 90 LT		
10			08/27/07	478 70	47 869	47 46	474 60	(4 10) LT		
42			08/28/07	1,997 17	47.551	47 46	1,993 32	(3.85) LT		
68				**3,222 33**	**47 387**		**3,227.28**	**4.95**	**.674**	**21.76**
100	HOME DEPOT INC	HD	03/13/09	**2,056 75**	20 567	25 09	**2,509 00**	**452.25** ST	**3 587**	**90 00**
52	INTEL CORP	INTC	04/01/09	776 61	14 934	19 11	993 72	217.11 ST		
22			04/09/09	352 93	16 042	19 11	420 42	67 49 ST		
74				**1,129.54**	**15 264**		**1,414 14**	**284 60**	**2 93**	**41 44**
25	J CREW GROUP INC	JCG	06/12/08	840 73	33 629	40 78	1,019 50	178 77 LT		
3			06/16/08	100 95	33 651	40 78	122 34	21 39 LT		
40			08/04/08	1,165 25	29 131	40 78	1,631 20	465 95 LT		
17			08/07/08	491 24	28 896	40 78	693 26	202 02 LT		
85				**2,598.17**	**30.567**		**3,466 30**	**868 13**		
61	JPMORGAN CHASE & CO	JPM	04/28/05	2,141 10	35 10	41 77	2,547.97	406.87 LT		
35			10/19/07	1,585 24	45.292	41 77	1,461 95	(123 29) LT		
72			12/31/07	3,119 47	43 326	41 77	3,007 44	(112 03) LT		
64			03/03/08	2,555 08	39 923	41 77	2,673 28	118 20 LT		
62			06/11/08	2,334 39	37 651	41 77	2,589 74	255 35 LT		
20			06/20/08	779 90	38 995	41 77	835 40	55 50 LT		
23			09/15/08	925 54	40 241	41 77	960.71	35 17 LT		
30			12/01/08	870 00	29 00	41.77	1,253 10	383 10 ST		
367				**14,310 72**	**38 994**		**15,329 59**	**1,018 87**	**478**	**73 40**
49	JOHNSON & JOHNSON	JNJ	08/04/09	2,982 22	60.861	59 05	2,893 45	(88 77) ST		
12			09/11/09	725 21	60 433	59 05	708 60	(16 61) ST		
4			09/21/09	242 50	60 625	59 05	236 20	(6 30) ST		
65				**3,949.93**	**60 768**		**3,838.25**	**(111 68)**	**3 319**	**127.40**

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Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
2	KOHLS CORP	KSS	10/03/08	$ 84 89	$ 42 446	$ 57 22	$ 114 44	$ 29 55 LT		
25			10/20/08	770 07	30 802	57 22	1,430 50	660 43 LT		
21			10/27/08	592 98	28 237	57 22	1,201 62	608 64 LT		
18			11/13/08	507 15	28 175	57.22	1,029 96	522.81 ST		
8			12/31/08	289.36	36 169	57 22	457 76	168 40 ST		
74				**2,244 45**	**30.33**		**4,234 28**	**1,989 83**		
2	KRAFT FOODS INC CLASS A	KFT	07/20/05	61 29	30 643	27 52	55 04	(6 25) LT		
7			07/22/05	213 72	30 531	27 52	192 64	(21 08) LT		
13			07/25/05	397 29	30 56	27 52	357 76	(39 53) LT		
1			07/29/05	30 59	30 592	27 52	27 52	(3 07) LT		
20			03/31/06	605 20	30.26	27.52	550 40	(54.80) LT		
7			04/03/06	211 64	30 234	27 52	192 64	(19 00) LT		
25			07/21/06	749 25	29.969	27 52	688 00	(61 25) LT		
19			12/29/06	678 51	35 711	27 52	522 88	(155 63) LT		
39			11/16/07	1,257 42	32.241	27 52	1,073 28	(184 14) LT		
24			12/10/07	835 82	34 826	27 52	660 48	(175 34) LT		
157				**5,040 73**	**32 107**		**4,320 64**	**(720.09)**	**4 215**	**182 12**
11	KROGER CO	KR	07/12/05	213 34	19 394	23 13	254 43	41 09 LT		
10			07/13/05	193 79	19 379	23 13	231 30	37 51 LT		
10			11/09/05	189 21	18 921	23 13	231 30	42 09 LT		
10			12/15/05	189 67	18.967	23 13	231 30	41.63 LT		
10			12/16/05	189 83	18 982	23 13	231 30	41 47 LT		
10			04/07/06	200 33	20 033	23 13	231 30	30 97 LT		
30			12/19/06	707 79	23 593	23 13	693 90	(13 89) LT		
10			01/03/07	231 50	23 15	23 13	231 30	(20) LT		
55			04/08/08	1,356 04	24 655	23 13	1,272 15	(83 89) LT		
59			04/09/08	1,436 04	24 339	23 13	1,364 67	(71 37) LT		
17			10/30/09	395 00	23 235	23 13	393 21	(1 79) ST		
232				**5,302.64**	**22.866**		**5,366.16**	**63 62**	**1.642**	**88 16**
24	M & T BK CORP	MTB	12/19/08	1,351 91	56 329	62 85	1,508 40	156 49 ST		
5			12/30/08	271 03	54 206	62 85	314 25	43 22 ST		
5			12/31/08	283 86	56 772	62 85	314 25	30 39 ST		
18			03/13/09	665 90	36 994	62 85	1,131 30	465 40 ST		
52				**2,572 70**	**49 475**		**3,268 20**	**695 50**	**4.455**	**145.60**

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Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
38	MARATHON OIL CORP	MRO	09/24/09	$ 1,223 36	$ 32 193	$ 31 97	$ 1,214 86	($ 8 50) ST		
11			10/01/09	342 49	31 135	31 97	351 67	9 18 ST		
20			10/21/09	712 32	35.616	31.97	639 40	(72.92) ST		
69				**2,278.17**	**33.017**		**2,205 93**	**(72.24)**	**3.002**	**66.24**
99	MARRIOTT INTL INC NEW CL A	MAR	03/13/09	1,518 67	15 443	25 06	2,480.94	962.27 ST		
25			06/30/09	547 01	22.028	25 06	626 50	79.49 ST		
124				**2,065 68**	**16.659**		**3,107.44**	**1,041 76**	**1.396**	**43.40**
23	MASCO CORP DE	MAS	09/17/08	414 34	18 014	11 75	270 25	(144 09) LT		
49			11/03/08	488 18	9 962	11 75	575 75	87 57 ST		
5			12/29/08	51.84	10 368	11 75	58 75	6 91 ST		
10			12/31/08	109.77	10.977	11 75	117 50	7 73 ST		
5			01/02/09	54.78	10 956	11 75	58.75	3.97 ST		
24			01/07/09	263 68	10 986	11.75	282 00	18.32 ST		
116				**1,382.59**	**11 919**		**1,363.00**	**(19.59)**	**2.553**	**34 80**
70	MERCK & CO INC	MRK	08/04/09	**2,079 53**	29.707	30 93	**2,165 10**	**85 57** ST	**4 914**	**106.40**
25	METLIFE INC	MET	06/17/09	724 75	28.99	34 03	850 75	126 00 ST		
22			06/18/09	669 86	30 448	34 03	748 66	78 80 ST		
30			07/01/09	892 26	29 742	34 03	1,020 90	128 64 ST		
77				**2,286.87**	**29 70**		**2,620.31**	**333 44**	**2 174**	**56 98**
6	MOODYS CORPORATION	MCO	05/20/08	265 71	44 285	23.68	142 08	(123.63) LT		
32			05/21/08	1,222.57	38.205	23 68	757.76	(464 81) LT		
17			07/21/08	591 25	34 779	23 68	402 56	(188 69) LT		
14			09/17/08	477 00	34 071	23 68	331 52	(145 48) LT		
55			02/25/09	973.52	17 70	23 68	1,302 40	328 88 ST		
124				**3,530 05**	**28.468**		**2,936 32**	**(593 73)**	**.76**	**22 32**
40	MORGAN STANLEY	MS	03/19/09	880 36	22 009	32 12	1,284 80	404 44 ST		
15			04/02/09	354 09	23 605	32 12	481 80	127 71 ST		
67			04/23/09	1,452 87	21.684	32 12	2,152 04	699 17 ST		
7			05/07/09	199 93	28.561	32 12	224 84	24 91 ST		
57			05/08/09	1,466 12	25.721	32 12	1,830 84	364 72 ST		
186				**4,353 37**	**23 405**		**5,974 32**	**1,620 95**	**622**	**37 20**
19	OCCIDENTAL PETROLEUM CORP-DEL	OXY	03/24/09	**1,115.84**	58 728	75 88	**1,441 72**	**325 88** ST	**1.739**	**25 08**
42	OMNICOM GROUP INC	OMC	05/12/09	1,325 05	31 548	34 28	1,439 76	114 71 ST		
19			10/02/09	684 55	36.029	34 28	651 32	(33 23) ST		
61				**2,009.60**	**32 944**		**2,091 08**	**81.48**	**1.75**	**36 60**

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Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
39	P G & E CORPORATION	PCG	10/01/09	**$ 1,572.68**	$ 40 325	$ 40 89	**$ 1,594 71**	**$ 22 03** ST	**4.108%**	**$ 65 52**
13	PNC FINANCIAL SERVICES GROUP	PNC	12/19/07	841 76	64 75	48 94	636 22	(205 54) LT		
13			02/19/08	815 77	62 751	48 94	636 22	(179 55) LT		
33			04/11/08	2,128 59	64 502	48 94	1,615 02	(513 57) LT		
31			04/18/08	2,062 44	66 53	48 94	1,517 14	(545.30) LT		
11			06/20/08	632 75	57.522	48 94	538 34	(94 41) LT		
4			07/16/08	212 84	53.209	48 94	195 76	(17.08) LT		
105				**6,694.15**	**63.754**		**5,138.70**	**(1,555 45)**	**.817**	**42 00**
8	J C PENNEY CO INC	JCP	10/08/08	215 27	26 909	33 13	265 04	49 77 LT		
67			10/20/08	1,438 91	21 476	33 13	2,219 71	780 80 LT		
20			10/27/08	388.06	19.403	33 13	662 60	274.54 LT		
95				**2,042.24**	**21.497**		**3,147.35**	**1,105.11**	**2.414**	**76.00**
17	PETROBRAS	PBR	09/23/09	774 30	45.546	46 22	785 74	11 44 ST		
15			10/20/09	743.65	49.576	46 22	693 30	(50.35) ST		
32				**1,517 95**	**47.436**		**1,479 04**	**(38.91)**	**.77**	**11.39**
20	PRAXAIR INC	PX	04/28/05	906 04	45 301	79 44	1,588.80	682 76 LT		
6			05/16/08	563 49	93 915	79 44	476 64	(86 85) LT		
26				**1,469 53**	**56 52**		**2,065 44**	**595 91**	**2 014**	**41.60**
30	PROGRESS ENERGY INC	PGN	04/28/05	1,247 60	41 586	37 53	1,125 90	(121.70) LT		
4			10/10/07	188.11	47.027	37 53	150 12	(37 99) LT		
34				**1,435.71**	**42.227**		**1,276.02**	**(159.69)**	**6.608**	**84.32**
54	PULTE HOMES INC	PHM	05/20/09	535 51	9 916	9 01	486 54	(48 97) ST		
89			06/04/09	757 86	8 515	9 01	801 89	44 03 ST		
43			08/04/09	499 70	11 621	9 01	387 43	(112 27) ST		
25			09/09/09	308 23	12 329	9 01	225 25	(82 98) ST		
21			09/18/09	272 27	12 965	9 01	189 21	(83 06) ST		
34			09/30/09	372 52	10 956	9 01	306 34	(66 18) ST		
266				**2,746.09**	**10 324**		**2,396.66**	**(349 43)**		
100	REGIONS FINANCIAL CORP (NEW)	RF	09/30/09	626 08	6 26	4 84	484 00	(142 08) ST		
32			10/14/09	193 96	6 061	4 84	154 88	(39 08) ST		
132				**820.04**	**6.212**		**638 88**	**(181 16)**	**826**	**5 28**
49	SCHLUMBERGER LTD	SLB	04/28/05	1,678.25	34 25	62 20	3,047 80	1,369 55 LT		
2			05/04/05	66.89	33 445	62 20	124.40	57 51 LT		
8			05/12/05	268 16	33 519	62 20	497 60	229 44 LT		
6			04/12/06	370 01	61 667	62 20	373 20	3 19 LT		

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Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
15	SCHLUMBERGER LTD	SLB	12/18/06	$ 975 56	$ 65 037	$ 62 20	$ 933 00	($ 42 56) LT		
12			12/21/06	762 87	63 572	62 20	746 40	(16 47) LT		
11			11/24/08	506 55	46 05	62.20	684 20	177 65 ST		
13			05/15/09	687 38	52 875	62 20	808 60	121 22 ST		
18			06/22/09	946.76	52.597	62 20	1,119 60	172 84 ST		
134				**6,262 43**	**46.735**		**8,334.80**	**2,072 37**	**1 35**	**112.56**
15	STATE STREET CORP	STT	04/13/09	575 11	38 34	41 98	629 70	54 59 ST		
16			04/14/09	580 12	36 257	41 98	671 68	91 56 ST		
18			05/12/09	668 18	37 12	41 98	755 64	87 46 ST		
15			05/27/09	651.03	43 401	41 98	629 70	(21 33) ST		
64				**2,474.44**	**38.663**		**2,686.72**	**212 28**	**.095**	**2 56**
44	SUNCOR ENERGY INC NEW	SU	10/28/09	**1,493.05**	33.932	33 02	**1,452.88**	**(40.17)** ST	**1.108**	**16 10**
95	SUNTRUST BANKS INC	STI	06/30/09	1,551 95	16 336	19 11	1,815 45	263 50 ST		
24			07/01/09	389 27	16 219	19 11	458.64	69.37 ST		
69			09/24/09	1,572 23	22.785	19 11	1,318 59	(253 64) ST		
23			10/19/09	483 83	21 036	19 11	439 53	(44 30) ST		
211				**3,997.28**	**18.944**		**4,032.21**	**34.93**	**.209**	**8 44**
22	TARGET CORP	TGT	10/16/08	772 05	35 093	48 43	1,065 46	293 41 LT		
13			11/06/08	483 27	37 174	48 43	629 59	146 32 ST		
10			11/18/08	297 67	29 767	48 43	484 30	186 63 ST		
19			11/19/08	570 88	30.046	48 43	920 17	349.29 ST		
6			12/12/08	220 02	36.67	48 43	290 58	70 56 ST		
42			12/18/08	1,510 73	35 969	48 43	2,034 06	523 33 ST		
59			12/26/08	1,914 25	32 444	48 43	2,857 37	943 12 ST		
2			03/16/09	59 81	29.905	48 43	96 86	37 05 ST		
173				**5,828 68**	**33.692**		**8,378.39**	**2,549.71**	**1 404**	**117.64**
16	TEVA PHARMACEUTICAL INDS LTD ADR	TEVA	06/22/06	505 65	31 603	50 48	807 68	302 03 LT		
11			06/23/06	346 15	31 468	50 48	555.28	209 13 LT		
45			03/30/07	1,678 87	37 308	50 48	2,271 60	592 73 LT		
31			05/10/07	1,187 83	38 317	50 48	1,564 88	377 05 LT		
4			06/29/07	164 50	41 124	50 48	201 92	37.42 LT		
107				**3,883 00**	**36 29**		**5,401 36**	**1,518 36**	**.442**	**23 93**
45	TIME WARNER INC	TWX	01/07/09	**1,042.44**	23 165	30 12	**1,355 40**	**312 96** ST	**2 49**	**33.75**
11	TIME WARNER CABLE INC	TWC	01/07/09	334 49	31 224	39 44	433 84	99 35 ST		
6			08/07/09	210 91	35 151	39 44	236 64	25 73 ST		

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Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
12	TIME WARNER CABLE INC	TWC	09/30/09	$ 521 93	$ 43 494	$ 39 44	$ 473 28	($ 48 65) ST		
29				**1,067 33**	**36.804**		**1,143.76**	**76 43**		
22	UNITEDHEALTH GROUP INC	UNH	02/24/09	534 07	24.275	25.95	570 90	36 83 ST		
35			02/27/09	706 85	20 195	25 95	908 25	201 40 ST		
16			03/16/09	344 98	21 561	25 95	415 20	70 22 ST		
26			03/27/09	551.46	21 209	25.95	674 70	123.24 ST		
11			09/30/09	273.77	24.887	25 95	285 45	11.68 ST		
110				**2,411.13**	**21.919**		**2,854 50**	**443.37**	**.115**	**3 30**
22	VERIZON COMMUNICATIONS	VZ	05/01/09	666 92	30 314	29 59	650 98	(15 94) ST		
24			05/29/09	697 96	29.081	29 59	710 16	12 20 ST		
43			06/19/09	1,277 57	29.71	29 59	1,272 37	(5.20) ST		
89				**2,642.45**	**29 69**		**2,633.51**	**(8.94)**	**6.421**	**169.10**
8	WAL-MART STORES INC	WMT	02/08/08	391 56	48 944	49 68	397 44	5 88 LT		
20			02/15/08	985 83	49 291	49.68	993 60	7.77 LT		
28				**1,377 39**	**49 193**		**1,391.04**	**13.65**	**2 194**	**30 52**
26	WELLS FARGO & CO NEW	WFC	11/19/07	786 16	30 237	27 52	715 52	(70 64) LT		
25			02/08/08	736 61	29 464	27 52	688 00	(48 61) LT		
49			02/11/08	1,439 49	29 377	27 52	1,348 48	(91 01) LT		
34			03/03/08	983 75	28 933	27 52	935 68	(48 07) LT		
197			06/26/08	4,803 06	24.381	27 52	5,421 44	618.38 LT		
40			11/13/08	1,076 78	26 919	27 52	1,100 80	24 02 ST		
5			11/14/08	138 44	27 687	27 52	137 60	(84) ST		
25			11/17/08	689 56	27.582	27 52	688 00	(1.56) ST		
13			12/31/08	373 02	28 694	27 52	357 76	(15 26) ST		
32			05/11/09	898 07	28 064	27 52	880 64	(17 43) ST		
446				**11,924.94**	**26.738**		**12,273 92**	**348 98**	**726**	**89.20**
8	XTO ENERGY INC	XTO	05/22/08	537 29	67 16	41 56	332 48	(204 81) LT		
31			05/23/08	2,032 01	65 548	41 56	1,288 36	(743 65) LT		
17			10/28/09	718 99	42.293	41 56	706 52	(12 47) ST		
56				**3,288 29**	**58.719**		**2,327.36**	**(960.93)**	**1.203**	**28 00**
15	ZIONS BANCORP	ZION	10/16/08	530 84	35 389	14 16	212 40	(318 44) LT		
30			10/17/08	1,060 33	35 344	14 16	424 80	(635 53) LT		
14			10/20/08	485 78	34 698	14.16	198 24	(287.54) LT		
16			01/07/09	377 47	23 592	14 16	226 56	(150 91) ST		
84			03/16/09	897 66	10 686	14 16	1,189 44	291 78 ST		

ATTACHMENT A

HARRY DENT FAMILY FOUNDATION
EIN 16-0849923

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Client Statement

October 1 - October 31, 2009

HARRY DENT FAMILY FDTN., INC. ***Account number 356-13139-11 346***

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
32	ZIONS BANCORP	ZION	09/09/09	$ 520 63	$ 16 269	$ 14 16	$ 453 12	($ 67 51) ST		
5			09/25/09	88 36	17.672	14 16	70 80	(17 56) ST		
5			09/28/09	88 48	17 696	14.16	70 80	(17 68) ST		
6			09/30/09	107 23	17.87	14.16	84 96	(22.27) ST		
21			10/14/09	386.72	18 415	14 16	297 36	(89 36) ST		
228				**4,543 50**	**19.928**		**3,228 48**	**(1,315 02)**	**.282**	**9 12**
Total common stocks and options				**$ 278,033.50**			**$ 283,880.68**	**$ 25,565.94 ST**	**1.64**	
								($ 19,718 76) LT		**$ 4,682.87**

HARRY DENT FAMILY FOUNDATION
EIN. 16-0849923

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October 1 - October 31, 2009

HARRY DENT FAMILY FDTN., INC. ***Account number 356-70178-13 346***

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
5	ALCON INC	ACL	01/07/05	$ 394 03	$ 78 806	$ 142 79	$ 713 95	$ 319 92 LT		
15			02/13/06	1,702 50	113 50	142 79	2,141 85	439 35 LT		

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HARRY DENT FAMILY FDTN., INC. *Account number 356-70178-13 346*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
5	ALCON INC	ACL	03/24/06	$ 535 95	$ 107 19	$ 142.79	$ 713 95	$ 178 00 LT		
12			08/15/07	1,585 91	132 159	142 79	1,713 48	127 57 LT		
9			07/07/08	1,470 40	163 377	142 79	1,285 11	(185 29) LT		
20			10/30/08	1,781 39	89 069	142 79	2,855 80	1,074 41 ST		
12			10/31/08	1,038.35	86 529	142 79	1,713 48	675 13 ST		
78				**8,508.53**	**109.084**		**11,137 62**	**2,629 09**	**2 552**	**284.31**
3	WEATHERFORD INTERNATIONAL LTD -CHF	WFT	06/10/09	64 78	21 593	17 53	52 59	(12 19) ST		
49			06/11/09	1,097.40	22 396	17 53	858 97	(238 43) ST		
111			06/12/09	2,508 79	22 601	17 53	1,945 83	(562 96) ST		
69			06/30/09	1,367 01	19 811	17 53	1,209 57	(157 44) ST		
72			09/11/09	1,582 43	21 978	17 53	1,262.16	(320 27) ST		
304				**6,620.41**	**21.778**		**5,329.12**	**(1,291.29)**		
20	ABBOTT LABORATORIES	ABT	06/20/07	1,100 28	55 013	50 57	1,011 40	(88.88) LT		
33			10/25/07	1,766 87	53 541	50 57	1,668 81	(98 06) LT		
25			01/24/08	1,425 71	57.028	50 57	1,264.25	(161 46) LT		
38			09/23/08	2,229.92	58.682	50 57	1,921 66	(308 26) LT		
116				**6,522.78**	**56 231**		**5,866 12**	**(656.66)**	**3.163**	**185.60**
228	ADOBE SYSTEMS INC (DE)	ADBE	01/18/07	8,796 13	38 579	32 94	7,510 32	(1,285 81) LT		
50			06/01/09	1,466 43	29 328	32 94	1,647 00	180 57 ST		
278				**10,262 56**	**36 916**		**9,157.32**	**(1,105.24)**		
86	ADVANCED MICRO DEVICES INC	AMD	10/01/09	472 87	5.498	4 60	395 60	(77 27) ST		
112			10/02/09	593 60	5 30	4 60	515 20	(78 40) ST		
108			10/05/09	596 47	5 522	4 60	496.80	(99 67) ST		
306				**1,662 94**	**5 434**		**1,407 60**	**(255 34)**		
3	AGILENT TECHNOLOGIES INC	A	08/10/09	71 41	23 803	24 74	74 22	2 81 ST		
48			08/17/09	1,126 77	23 474	24 74	1,187 52	60 75 ST		
22			09/03/09	553 30	25 15	24 74	544 28	(9 02) ST		
20			09/03/09	501 67	25 083	24 74	494 80	(6 87) ST		
31			09/10/09	858 88	27.705	24 74	766 94	(91 94) ST		
30			09/17/09	854 65	28 488	24 74	742 20	(112 45) ST		
18			09/23/09	513 00	28.50	24 74	445 32	(67 68) ST		
19			09/24/09	529 87	27 887	24 74	470 06	(59 81) ST		
191				**5,009 55**	**26 228**		**4,725 34**	**(284 21)**		
13	AMAZON COM INC	AMZN	11/20/07	1,048 17	80 628	118 81	1,544 53	496 36 LT		
12			12/20/07	1,075 81	89 65	118 81	1,425 72	349 91 LT		

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HARRY DENT FAMILY FDTN., INC. *Account number 356-70178-13 346*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
12	AMAZON COM INC	AMZN	12/21/07	$ 1,099 28	$ 91 606	$ 118 81	$ 1,425 72	$ 326 44 LT		
19			02/11/08	1,417 87	74 624	118 81	2,257 39	839 52 LT		
13			04/18/08	1,036 68	79.744	118 81	1,544 53	507 85 LT		
31			04/21/08	2,484 41	80.142	118 81	3,683 11	1,198 70 LT		
26			04/24/08	2,025 78	77.914	118.81	3,089 06	1,063 28 LT		
24			10/20/08	1,217.22	50.717	118 81	2,851 44	1,634 22 LT		
7			02/27/09	448 68	64.097	118 81	831.67	382 99 ST		
157				**11,853 90**	**75 503**		**18,653.17**	**6,799 27**		
14	ANALOG DEVICES INC	ADI	05/20/09	332 22	23 73	25 63	358 82	26 60 ST		
26			05/21/09	609 82	23 454	25 63	666 38	56 56 ST		
37			06/03/09	892 68	24.126	25 63	948 31	55.63 ST		
15			06/10/09	383 72	25 581	25 63	384 45	73 ST		
15			06/11/09	386 25	25 749	25 63	384 45	(1 80) ST		
15			06/12/09	379 27	25 284	25 63	384 45	5 18 ST		
22			09/22/09	600 35	27.288	25 63	563 86	(36.49) ST		
19			09/25/09	517 34	27 228	25 63	486.97	(30 37) ST		
163				**4,101.65**	**25 163**		**4,177.69**	**76.04**	**3.121**	**130.40**
11	APPLE INC	AAPL	08/12/08	1,959 53	178 138	188 50	2,073 50	113 97 LT		
2			10/09/08	182 24	91.12	188 50	377 00	194 76 LT		
15			10/17/08	1,498 30	99.886	188 50	2,827 50	1,329 20 LT		
14			11/25/08	1,257.05	89 789	188 50	2,639 00	1,381 95 ST		
11			12/04/08	1,020 71	92.791	188 50	2,073 50	1,052 79 ST		
6			12/29/08	517 67	86.277	188 50	1,131 00	613 33 ST		
13			03/12/09	1,240 08	95.391	188 50	2,450 50	1,210.42 ST		
9			03/31/09	950 67	105 63	188 50	1,696 50	745 83 ST		
16			08/12/09	2,650 75	165 671	188 50	3,016 00	365 25 ST		
97				**11,277 00**	**116 258**		**18,284.50**	**7,007 50**		
3	BAIDU INC SPON ADR RPTNG ORD SHS CL A	BIDU	04/16/09	610 51	203 502	377 92	1,133 76	523 25 ST		
3			05/06/09	761 65	253.884	377 92	1,133 76	372 11 ST		
5			05/13/09	1,150 00	230 00	377 92	1,889 60	739.60 ST		
2			10/27/09	736 83	368 414	377 92	755 84	19 01 ST		
13				**3,258 99**	**250 692**		**4,912.96**	**1,653 97**		
8	BAXTER INTL INC	BAX	02/14/07	398 74	49.842	54 06	432 48	33 74 LT		
18			02/15/07	900 00	50 00	54 06	973 08	73 08 LT		
26			04/18/07	1,427 66	54 91	54 06	1,405 56	(22 10) LT		

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HARRY DENT FAMILY FOUNDATION
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HARRY DENT FAMILY FDTN., INC. ***Account number 356-70178-13 346***

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
27	BAXTER INTL INC	BAX	06/29/07	$ 1,526 80	$ 56 548	$ 54 06	$ 1,459 62	($ 67.18) LT		
14			01/23/08	838 85	59 918	54 06	756 84	(82 01) LT		
10			04/11/08	605 76	60.576	54 06	540 60	(65 16) LT		
23			09/12/08	1,568 83	68.209	54 06	1,243 38	(325 45) LT		
12			09/25/08	793 43	66.118	54 06	648 72	(144.71) LT		
15			06/01/09	759 34	50.622	54 06	810.90	51.56 ST		
153				**8,819 41**	**57 643**		**8,271.18**	**(548 23)**	**1.923**	**159.12**
29	CVS CAREMARK CORP	CVS	01/04/08	1,068 58	36.847	35 30	1,023 70	(44.88) LT		
19			01/07/08	725 81	38.20	35 30	670 70	(55.11) LT		
46			05/12/08	1,895 81	41.213	35 30	1,623 80	(272.01) LT		
34			07/02/08	1,312 78	38.611	35 30	1,200 20	(112 58) LT		
41			11/14/08	1,219 93	29 754	35 30	1,447 30	227 37 ST		
20			05/18/09	613 24	30.662	35.30	706 00	92 76 ST		
25			05/20/09	761 30	30 452	35 30	882 50	121 20 ST		
214				**7,597 45**	**35 502**		**7,554 20**	**(43.25)**	**864**	**65 27**
31	CADBURY PLC ADR	CBY	01/07/09	1,143.55	36.888	50 64	1,569 84	426.29 ST		
7			01/23/09	216 58	30 939	50 64	354 48	137 90 ST		
24			03/10/09	695 69	28 987	50 64	1,215 36	519 67 ST		
26			03/18/09	783 24	30 124	50 64	1,316 64	533 40 ST		
88				**2,839 06**	**32.262**		**4,456.32**	**1,617 26**	**2.091**	**93.19**
3	CELGENE CORP	CELG	05/07/08	189 16	63.054	51 05	153 15	(36 01) LT		
27			05/09/08	1,668 47	61 795	51 05	1,378 35	(290 12) LT		
14			05/22/08	814 46	58.175	51 05	714 70	(99.76) LT		
25			06/18/08	1,505 06	60 202	51 05	1,276 25	(228 81) LT		
9			06/27/08	568 26	63 14	51 05	459 45	(108 81) LT		
16			07/16/08	1,155 37	72 21	51 05	816 80	(338 57) LT		
22			07/25/08	1,633 31	74 241	51 05	1,123 10	(510 21) LT		
13			05/19/09	509 20	39 168	51 05	663 65	154.45 ST		
22			05/26/09	883 26	40.148	51 05	1,123 10	239 84 ST		
151				**8,926 55**	**59.116**		**7,708.55**	**(1,218 00)**		
62	CISCO SYS INC	CSCO	01/18/07	1,635 25	26 375	22 81	1,414 22	(221 03) LT		
10			02/07/07	284 70	28.47	22 81	228.10	(56 60) LT		
67			11/09/07	1,928 70	28 786	22 81	1,528 27	(400 43) LT		
67			01/15/08	1,738 30	25 944	22 81	1,528 27	(210 03) LT		
58			10/20/08	1,075 98	18 551	22 81	1,322 98	247 00 LT		

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HARRY DENT FAMILY FDTN., INC. *Account number 356-70178-13 346*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
87	CISCO SYS INC	CSCO	03/18/09	$ 1,455 59	$ 16 73	$ 22 81	$ 1,984 47	$ 528 88 ST		
63			03/24/09	1,056 03	16 762	22 81	1,437 03	381 00 ST		
27			09/03/09	580 82	21.512	22 81	615 87	35.05 ST		
27			09/03/09	579 39	21.459	22 81	615 87	36.48 ST		
468				**10,334.76**	**22 083**		**10,675 08**	**340 32**		
19	COACH INC	COH	05/07/09	488 74	25.723	32 97	626 43	137.69 ST		
24			05/11/09	600 31	25.013	32 97	791 28	190 97 ST		
35			05/21/09	839 92	23.997	32 97	1,153 95	314 03 ST		
78				**1,928 97**	**24 73**		**2,571 66**	**642.69**	**.909**	**23 40**
10	COLGATE PALMOLIVE CO	CL	07/03/07	654 39	65 439	78 63	786 30	131 91 LT		
16			10/24/07	1,169 59	73 099	78 63	1,258 08	88 49 LT		
11			10/26/07	813 99	73.999	78 63	864 93	50 94 LT		
10			09/12/08	796 57	79 657	78 63	786 30	(10 27) LT		
13			10/07/08	955 19	73 475	78.63	1,022 19	67 00 LT		
15			01/20/09	953 36	63 557	78 63	1,179 45	226 09 ST		
11			02/27/09	664 21	60 382	78 63	864 93	200 72 ST		
4			08/05/09	286 00	71.50	78 63	314 52	28 52 ST		
8			08/06/09	571 18	71.396	78 63	629 04	57 86 ST		
3			08/07/09	213 90	71 30	78 63	235 89	21 99 ST		
101				**7,078.38**	**70.083**		**7,941.63**	**863.25**	**2 238**	**177.76**
36	COSTCO WHOLESALE CORP NEW	COST	06/29/07	2,119 66	58.879	56 85	2,046 60	(73 06) LT		
22			12/13/07	1,472 62	66.937	56 85	1,250 70	(221 92) LT		
26			07/17/08	1,923 94	73.997	56 85	1,478.10	(445 84) LT		
17			10/09/08	968 00	56 941	56 85	966 45	(1 55) LT		
101				**6,484 22**	**64 20**		**5,741 85**	**(742 37)**	**1 266**	**72 72**
163	WALT DISNEY CO	DIS	01/18/07	5,755 27	35 308	27 37	4,461 31	(1,293 96) LT		
19			08/29/07	630 88	33 204	27 37	520 03	(110 85) LT		
22			08/30/07	738.11	33 55	27 37	602 14	(135 97) LT		
36			02/09/09	695 25	19 312	27 37	985 32	290 07 ST		
28			09/09/09	748 86	26.744	27 37	766 36	17 50 ST		
268				**8,568 37**	**31 972**		**7,335 16**	**(1,233 21)**	**1 278**	**93 80**
58	GILEAD SCIENCES INC	GILD	09/09/05	1,278 04	22 035	42 55	2,467 90	1,189 86 LT		
216			01/18/07	7,091 85	32 832	42 55	9,190 80	2,098 95 LT		
44			09/12/08	2,119 73	48 175	42 55	1,872 20	(247 53) LT		
318				**10,489 62**	**32 986**		**13,530 90**	**3,041.28**		

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HARRY DENT FAMILY FDTN., INC. **Account number 356-70178-13 346**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
3	GOLDMAN SACHS GROUP INC	GS	09/17/08	$ 314 98	$ 104 994	$ 170 17	$ 510 51	$ 195 53 LT		
2			10/13/08	190 00	95 00	170 17	340 34	150 34 LT		
14			11/21/08	718.30	51 306	170 17	2,382 38	1,664 08 ST		
16			02/04/09	1,413 70	88.356	170 17	2,722 72	1,309 02 ST		
18			03/11/09	1,637 69	90 982	170 17	3,063 06	1,425 37 ST		
9			03/30/09	940 05	104 449	170 17	1,531 53	591 48 ST		
21			04/14/09	2,574 46	122 593	170 17	3,573 57	999 11 ST		
4			04/15/09	464 00	116 00	170 17	680 68	216 68 ST		
87				**8,253 18**	**94.864**		**14,804 79**	**6,551 61**	**822**	**121 80**
3	GOOGLE INC CLASS A	GOOG	02/01/06	1,188 79	396 262	536 12	1,608 36	419 57 LT		
2			02/07/06	732 86	366 428	536 12	1,072.24	339.38 LT		
3			02/14/06	1,039 32	346.44	536 12	1,608 36	569 04 LT		
2			04/25/06	857.05	428 526	536 12	1,072 24	215 19 LT		
3			05/16/06	1,118 82	372 94	536 12	1,608 36	489 54 LT		
4			03/07/07	1,830 20	457 55	536 12	2,144 48	314 28 LT		
4			03/14/07	1,768 04	442 01	536 12	2,144 48	376 44 LT		
6			02/04/08	2,963 20	493 866	536 12	3,216 72	253 52 LT		
3			09/19/08	1,338 47	446 157	536 12	1,608 36	269 89 LT		
6			11/25/08	1,642 61	273 768	536 12	3,216 72	1,574 11 ST		
3			12/23/08	891 45	297 149	536 12	1,608 36	716.91 ST		
39				**15,370 81**	**394 123**		**20,908 68**	**5,537.87**		
48	HEWLETT PACKARD CO	HPQ	01/19/07	2,010 88	41 893	47 46	2,278 08	267 20 LT		
62			02/22/07	2,514 14	40.55	47 46	2,942.52	428 38 LT		
34			05/30/08	1,612 77	47 434	47 46	1,613 64	87 LT		
27			12/29/08	948 10	35 114	47 46	1,281.42	333 32 ST		
24			10/20/09	1,169 04	48 709	47 46	1,139 04	(30 00) ST		
24			10/21/09	1,174 46	48 936	47 46	1,139 04	(35 42) ST		
219				**9,429.39**	**43.057**		**10,393 74**	**964 35**	**674**	**70.08**
10	ILLUMINA INC	ILMN	09/24/09	391.78	39 177	32 10	321 00	(70 78) ST		
12			10/06/09	526 20	43 849	32 10	385 20	(141 00) ST		
6			10/15/09	259 50	43 249	32 10	192 60	(66 90) ST		
4			10/16/09	174 77	43 691	32 10	128 40	(46 37) ST		
5			10/20/09	212 20	42 44	32 10	160 50	(51 70) ST		
7			10/21/09	293 71	41 958	32 10	224 70	(69 01) ST		

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HARRY DENT FAMILY FDTN., INC. Account number 356-70178-13 346

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
12	ILLUMINA INC	ILMN	10/26/09	$ 497 44	$ 41 453	$ 32 10	$ 385 20	($ 112 24) ST		
56				**2,355 60**	**42.064**		**1,797.60**	**(558 00)**		
66	JPMORGAN CHASE & CO	JPM	09/15/09	2,862 26	43.367	41 77	2,756 82	(105 44) ST		
13			10/02/09	541 37	41.643	41.77	543 01	1 64 ST		
18			10/14/09	849 55	47.197	41 77	751 86	(97.69) ST		
97				**4,253 18**	**43 847**		**4,051 69**	**(201 49)**	**478**	**19.40**
32	JOHNSON CONTROLS INC	JCI	07/06/09	650 43	20.326	23 92	765 44	115 01 ST		
21			07/08/09	415 56	19.788	23 92	502 32	86 76 ST		
67			09/01/09	1,645 23	24.555	23 92	1,602 64	(42 59) ST		
120				**2,711 22**	**22 594**		**2,870.40**	**159.18**	**2.173**	**62.40**
5	KOHLS CORP	KSS	01/12/09	182 43	36.485	57 22	286 10	103.67 ST		
13			02/05/09	493 97	37.997	57 22	743 86	249 89 ST		
23			02/09/09	882 19	38.355	57 22	1,316 06	433 87 ST		
13			03/11/09	482 50	37.115	57 22	743 86	261.36 ST		
30			03/24/09	1,268.88	42.296	57 22	1,716 60	447.72 ST		
9			03/30/09	379 27	42.141	57 22	514 98	135.71 ST		
35			06/11/09	1,620 47	46.299	57 22	2,002 70	382 23 ST		
128				**5,309 71**	**41 482**		**7,324 16**	**2,014.45**		
5	MARRIOTT INTL INC NEW CL A	MAR	09/09/09	117 36	23 472	25 06	125 30	7.94 ST		
16			09/10/09	386 79	24 174	25 06	400 96	14 17 ST		
27			09/11/09	662 86	24.55	25 06	676 62	13 76 ST		
19			09/15/09	482 74	25 407	25 06	476 14	(6 60) ST		
16			09/16/09	423 00	26 437	25 06	400 96	(22 04) ST		
49			09/22/09	1,350 03	27 551	25 06	1,227 94	(122 09) ST		
20			10/08/09	532 44	26 621	25 06	501 20	(31 24) ST		
19			10/09/09	500 75	26 355	25 06	476 14	(24 61) ST		
171				**4,455 97**	**26 058**		**4,285 26**	**(170 71)**	**1 396**	**59 85**
9	MASTERCARD INC	MA	02/06/09	1,412 07	156 896	219 02	1,971 18	559 11 ST		
4			02/19/09	631 07	157 767	219 02	876 08	245 01 ST		
6			03/02/09	916.91	152 818	219 02	1,314 12	397 21 ST		
12			03/25/09	1,956 73	163 06	219 02	2,628 24	671 51 ST		
6			05/20/09	1,049 98	174 997	219 02	1,314 12	264 14 ST		
9			05/27/09	1,530 00	170 00	219 02	1,971 18	441 18 ST		
4			08/04/09	805 65	201 412	219 02	876 08	70 43 ST		
50				**8,302.41**	**166.048**		**10,951.00**	**2,648 59**	**273**	**30 00**

ATTACHMENT B

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HARRY DENT [illegible]ILY FOUNDATION
EIN: 16-0849923

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HARRY DENT FAMILY FDTN., INC. *Account number 356-70178-13 346*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Average % yield	Anticipated Income (annualized)
17	MEDCO HEALTH SOLUTIONS INC	MHS	05/30/08	$ 822 08	$ 48 357	$ 56 12	$ 954 04	$ 131 96	LT		
21			06/04/08	1,050 00	50 00	56 12	1,178 52	128 52	LT		
2			06/05/08	100 17	50 086	56 12	112 24	12 07	LT		
18			06/06/08	895 44	49 746	56 12	1,010.16	114 72	LT		
17			06/06/08	846 94	49 82	56 12	954 04	107.10	LT		
14			06/09/08	688 61	49.186	56 12	785 68	97 07	LT		
25			07/02/08	1,166 79	46 671	56.12	1,403 00	236 21	LT		
17			10/09/08	661 73	38.925	56 12	954 04	292 31	LT		
35			02/27/09	1,462 59	41 788	56 12	1,964 20	501 61	ST		
32			06/12/09	1,439.08	44 971	56 12	1,795 84	356.76	ST		
198				**9,133.43**	**46 128**		**11,111.76**	**1,978.33**			
26	MICROSOFT CORP	MSFT	12/11/07	898.79	34 569	27 73	720 98	(177 81)	LT		
86			01/15/08	2,934.17	34.118	27 73	2,384 78	(549 39)	LT		
118			06/30/09	2,807.47	23 792	27 73	3,272 14	464 67	ST		
84			07/02/09	1,964 41	23 385	27.73	2,329.32	364 91	ST		
35			07/27/09	813 89	23 254	27.73	970 55	156 66	ST		
59			08/04/09	1,395 90	23 659	27 73	1,636 07	240 17	ST		
119			09/09/09	2,956 40	24 843	27 73	3,299 87	343 47	ST		
527				**13,771 03**	**26.131**		**14,613 71**	**842 68**		**1.875**	**274.04**
60	MYLAN INC	MYL	11/14/07	841 09	14 018	16 24	974 40	133 31	LT		
114			12/11/07	1,576 48	13 828	16 24	1,851 36	274 88	LT		
174				**2,417.57**	**13 894**		**2,825 76**	**408 19**			
83	NETAPP INC	NTAP	09/10/09	2,020 42	24 342	27 05	2,245 15	224 73	ST		
38			09/17/09	940 21	24 742	27 05	1,027 90	87 69	ST		
17			09/24/09	441 57	25 974	27 05	459 85	18 28	ST		
14			09/25/09	363 88	25 991	27 05	378 70	14 82	ST		
14			10/02/09	363 12	25 936	27 05	378 70	15 58	ST		
24			10/09/09	691.72	28 821	27 05	649 20	(42 52)	ST		
36			10/13/09	1,045 03	29 028	27 05	973 80	(71 23)	ST		
226				**5,865 95**	**25 956**		**6,113 30**	**247.35**			
16	NIKE INC CL B	NKE	11/14/07	1,019 73	63 733	62 18	994 88	(24 85)	LT		
45			11/26/07	2,856 98	63 488	62 18	2,798 10	(58 88)	LT		
48			11/30/07	3,159 97	65.832	62.18	2,984 64	(175 33)	LT		
20			10/09/08	1,114 07	55 703	62 18	1,243 60	129 53	LT		
7			10/15/09	450 46	64 351	62 18	435 26	(15 20)	ST		

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HARRY DENT FAMILY FDTN., INC. *Account number 356-70178-13 346*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
8	NIKE INC CL B	NKE	10/16/09	$ 517 21	$ 64 651	$ 62 18	$ 497 44	($ 19 77) ST		
144				**9,118.42**	**63 322**		**8,953 92**	**(164.60)**	**1 608**	**144 00**
31	NOVARTIS AG ADR	NVS	10/20/09	1,613 93	52 062	51.95	1,610 45	(3 48) ST		
31			10/21/09	1,611 73	51 991	51 95	1,610 45	(1 28) ST		
27			10/22/09	1,406 65	52 098	51 95	1,402 65	(4 00) ST		
89				**4,632.31**	**52.048**		**4,623 55**	**(8.76)**	**2 798**	**129 41**
17	OCCIDENTAL PETROLEUM CORP-DEL	OXY	10/30/08	860 07	50.592	75 88	1,289 96	429 89 ST		
32			11/06/08	1,653 84	51 682	75 88	2,428 16	774 32 ST		
15			11/14/08	727 08	48 472	75 88	1,138 20	411 12 ST		
14			01/27/09	784 35	56 024	75 88	1,062 32	277 97 ST		
20			02/09/09	1,171 81	58 59	75 88	1,517 60	345 79 ST		
18			06/09/09	1,240 25	68.902	75 88	1,365 84	125 59 ST		
6			06/11/09	419 69	69.949	75 88	455 28	35.59 ST		
4			06/12/09	277 23	69 308	75 88	303.52	26 29 ST		
14			07/01/09	929.17	66.369	75 88	1,062 32	133 15 ST		
140				**8,063 49**	**57 596**		**10,623 20**	**2,559 71**	**1 739**	**184 80**
64	ORACLE CORP	ORCL	10/30/08	1,164 68	18.198	21 10	1,350 40	185 72 ST		
46			10/31/08	828 00	17 999	21 10	970 60	142 60 ST		
30			11/03/08	545 05	18 168	21 10	633 00	87 95 ST		
16			11/06/08	277 10	17 318	21 10	337 60	60 50 ST		
20			11/07/08	349 47	17.473	21 10	422 00	72 53 ST		
50			12/04/08	785 99	15 719	21 10	1,055 00	269 01 ST		
22			03/19/09	392 47	17.839	21 10	464 20	71 73 ST		
248				**4,342.76**	**17.511**		**5,232.80**	**890 04**	**947**	**49 60**
16	PEPSICO INC	PEP	09/09/08	1,132 62	70 788	60 55	968 80	(163 82) LT		
38			09/10/08	2,730 64	71.859	60 55	2,300 90	(429 74) LT		
36			09/11/08	2,607 48	72 43	60 55	2,179 80	(427 68) LT		
25			04/27/09	1,226 60	49 063	60 55	1,513.75	287 15 ST		
5			04/29/09	252 29	50.457	60 55	302 75	50 46 ST		
30			06/05/09	1,642 95	54 764	60 55	1,816 50	173 55 ST		
150				**9,592.58**	**63.951**		**9,082 50**	**(510 08)**	**2 972**	**270 00**
28	PETROBRAS	PBR	04/09/09	997 23	35 615	46 22	1,294 16	296 93 ST		
23			04/16/09	799 25	34.75	46 22	1,063.06	263 81 ST		
20			04/28/09	642 23	32 111	46 22	924 40	282 17 ST		
18			04/30/09	620 90	34 494	46 22	831 96	211 06 ST		

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HARRY DENT FAMILY FDTN., INC. **Account number 356-70178-13 346**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
37	PETROBRAS	PBR	06/08/09	$ 1,574 65	$ 42 558	$ 46 22	$ 1,710 14	$ 135 49 ST		
22			09/08/09	944 28	42 921	46 22	1,016 84	72 56 ST		
148				**5,578 54**	**37.693**		**6,840 56**	**1,262 02**	**.77**	**52 69**
32	PRECISION CASTPARTS CORP	PCP	10/28/09	**3,118 76**	97.461	95 53	**3,056.96**	**(61 80)** ST	**.125**	**3.84**
39	QUALCOMM INC	QCOM	02/27/07	1,598 98	40.999	41 33	1,611 87	12 89 LT		
36			01/15/08	1,419 33	39 425	41.33	1,487 88	68 55 LT		
28			07/11/08	1,342.64	47.951	41 33	1,157 24	(185.40) LT		
35			08/14/08	1,959 90	55.997	41 33	1,446 55	(513 35) LT		
25			08/15/08	1,402 25	56 09	41 33	1,033 25	(369 00) LT		
29			10/09/08	1,195 79	41 234	41 33	1,198 57	2 78 LT		
43			10/21/08	1,642 07	38 187	41 33	1,777 19	135 12 LT		
18			11/05/08	637 68	35 426	41 33	743.94	106.26 ST		
24			11/06/08	806 96	33 623	41.33	991 92	184 96 ST		
38			11/24/08	1,222 95	32.182	41 33	1,570 54	347.59 ST		
13			03/31/09	509 80	39 215	41.33	537 29	27 49 ST		
11			04/02/09	445 68	40 516	41 33	454 63	8 95 ST		
339				**14,184 03**	**41.841**		**14,010.87**	**(173 16)**	**1.645**	**230 52**
8	ROCHE HLDG LTD SPON ADR	RHHBY	12/23/08	293 60	36.70	39 90	319 20	25 60 ST		
15			01/14/09	569 00	37 933	39 90	598 50	29 50 ST		
10			01/15/09	379 89	37 989	39.90	399.00	19 11 ST		
43			03/12/09	1,296 43	30 149	39 90	1,715 70	419 27 ST		
40			04/23/09	1,183 37	29 584	39 90	1,596 00	412 63 ST		
44			08/07/09	1,708 91	38.838	39 90	1,755 60	46 69 ST		
28			09/29/09	1,141.41	40.764	39 90	1,117 20	(24 21) ST		
11			09/30/09	445 63	40 512	39 90	438 90	(6 73) ST		
199				**7,018 24**	**35.268**		**7,940.10**	**921.86**	**1 666**	**132.34**
20	SALESFORCE COM INC	CRM	06/15/09	813 29	40 664	56 75	1,135 00	321 71 ST		
26			06/18/09	1,035 87	39.841	56 75	1,475 50	439 63 ST		
8			07/27/09	355 03	44.379	56 75	454 00	98 97 ST		
11			08/05/09	494 19	44 926	56 75	624 25	130 06 ST		
9			08/07/09	422 56	46 951	56.75	510.75	88 19 ST		
6			09/10/09	332 70	55 449	56 75	340 50	7 80 ST		
80				**3,453 64**	**43.171**		**4,540 00**	**1,086 36**		
17	SAP AG SPONS ADR-USD	SAP	04/06/09	625 22	36 777	45 27	769 59	144 37 ST		
26			04/07/09	955 49	36.749	45 27	1,177 02	221 53 ST		

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HARRY DENT FAMILY FDTN., INC. *Account number 356-70178-13 346*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
9	SAP AG SPONS ADR-USD	SAP	04/15/09	$ 350 14	$ 38 904	$ 45 27	$ 407 43	$ 57 29 ST		
8			04/16/09	308 10	38 512	45 27	362 16	54 06 ST		
19			04/29/09	746 51	39.29	45 27	860 13	113 62 ST		
16			04/30/09	615 86	38.491	45 27	724.32	108 46 ST		
15			07/23/09	678 43	45 228	45 27	679 05	62 ST		
10			09/10/09	514.05	51 404	45 27	452 70	(61 35) ST		
9			09/11/09	462 06	51.339	45.27	407 43	(54 63) ST		
129				**5,255 86**	**40.743**		**5,839 83**	**583 97**	**2.451**	**143 19**
6	SCHLUMBERGER LTD	SLB	03/12/08	511 52	85 253	62 20	373 20	(138 32) LT		
11			03/27/08	945 80	85 981	62 20	684 20	(261 60) LT		
8			05/07/08	828 37	103 546	62 20	497 60	(330 77) LT		
12			07/01/08	1,299 83	108.319	62 20	746 40	(553 43) LT		
9			07/09/08	882 00	98.00	62 20	559 80	(322 20) LT		
22			07/18/08	2,221 33	100 969	62 20	1,368 40	(852 93) LT		
12			02/10/09	536.54	44 711	62 20	746 40	209 86 ST		
16			08/28/09	915 20	57.199	62 20	995 20	80 00 ST		
17			09/11/09	1,021 60	60 094	62 20	1,057 40	35 80 ST		
15			10/13/09	958 05	63 87	62 20	933 00	(25 05) ST		
128				**10,120 24**	**79.064**		**7,961 60**	**(2,158.64)**	**1.35**	**107.52**
8	SCHWAB CHARLES CORP	SCHW	09/27/07	173 72	21 714	17 34	138 72	(35.00) LT		
24			10/26/07	545 98	22 749	17.34	416 16	(129 82) LT		
33			10/31/07	757 75	22 962	17 34	572 22	(185 53) LT		
24			11/01/07	551 24	22.968	17 34	416 16	(135 08) LT		
14			11/02/07	319.34	22 81	17 34	242 76	(76 58) LT		
46			04/16/08	918 10	19.958	17 34	797 64	(120 46) LT		
13			12/16/08	210 75	16 211	17 34	225 42	14 67 ST		
35			12/23/08	518 42	14 812	17 34	606 90	88 48 ST		
69			01/06/09	1,120 87	16.244	17.34	1,196 46	75 59 ST		
22			01/16/09	324 55	14 752	17 34	381 48	56 93 ST		
47			03/31/09	720 94	15 339	17 34	814 98	94 04 ST		
51			10/16/09	922 15	18 081	17 34	884.34	(37 81) ST		
386				**7,083.81**	**18 352**		**6,693.24**	**(390 57)**	**1 384**	**92 64**
18	SHIRE PLC ADR	SHPGY	09/23/08	912 47	50 692	53 30	959 40	46 93 LT		
24			09/26/08	1,217 57	50 731	53 30	1,279 20	61 63 LT		
16			09/30/08	759 49	47 468	53 30	852 80	93 31 LT		

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Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
11	SHIRE PLC ADR	SHPGY	11/18/08	$ 455 46	$ 41 405	$ 53 30	$ 586 30	$ 130 84 ST		
12			11/19/08	498 00	41 50	53 30	639 60	141 60 ST		
9			10/22/09	459 45	51 049	53 30	479 70	20 25 ST		
90				**4,302.44**	**47.805**		**4,797.00**	**494.56**	**.557**	**26.73**
18	SOUTHWESTERN ENERGY CO	SWN	12/27/07	505 92	28 106	43 58	784 44	278 52 LT		
19	DEL		05/08/08	849 93	44 733	43 58	828 02	(21.91) LT		
28			08/15/08	971 96	34 712	43 58	1,220 24	248 28 LT		
30			08/18/08	1,061 84	35.394	43 58	1,307 40	245 56 LT		
37			09/09/08	1,109 87	29 996	43 58	1,612 46	502 59 LT		
27			05/12/09	1,077 10	39 892	43 58	1,176 66	99 56 ST		
159				**5,576 62**	**35 073**		**6,929.22**	**1,352.60**		
23	SUNCOR ENERGY INC NEW	SU	09/16/09	846 83	36 818	33 02	759 46	(87 37) ST		
33			09/17/09	1,218 79	36 933	33 02	1,089 66	(129.13) ST		
33			09/22/09	1,217 81	36.903	33 02	1,089 66	(128.15) ST		
34			09/23/09	1,222 11	35 944	33 02	1,122.68	(99.43) ST		
123				**4,505.54**	**36.63**		**4,061 46**	**(444 08)**	**1.108**	**45.02**
4	TARGET CORP	TGT	08/27/09	188 20	47 05	48 43	193 72	5 52 ST		
7			08/28/09	331 10	47 30	48 43	339 01	7 91 ST		
26			08/31/09	1,220 52	46 943	48 43	1,259 18	38 66 ST		
48			09/01/09	2,235 35	46 569	48 43	2,324 64	89.29 ST		
13			09/03/09	611 00	47.00	48 43	629 59	18 59 ST		
15			09/04/09	704 94	46 996	48 43	726 45	21 51 ST		
20			09/09/09	952 00	47.60	48 43	968 60	16 60 ST		
22			09/10/09	1,054 49	47 931	48 43	1,065 46	10 97 ST		
155				**7,297 60**	**47 081**		**7,506.65**	**209 05**	**1.404**	**105.40**
44	TEVA PHARMACEUTICAL INDS	TEVA	09/17/07	1,905 60	43 309	50 48	2,221 12	315 52 LT		
37	LTD ADR		09/24/07	1,627 16	43 977	50 48	1,867 76	240 60 LT		
20			07/22/08	883 31	44 165	50 48	1,009 60	126 29 LT		
42			07/23/08	1,927.45	45.891	50.48	2,120 16	192.71 LT		
27			01/20/09	1,153 59	42 725	50 48	1,362 96	209 37 ST		
13			06/16/09	624 26	48 02	50 48	656 24	31 98 ST		
183				**8,121 37**	**44 379**		**9,237 84**	**1,116 47**	**.442**	**40 92**
29	TIFFANY & CO NEW	TIF	08/27/09	970 30	33 458	39 29	1,139 41	169 11 ST		
29			09/01/09	1,014 96	34 998	39 29	1,139 41	124 45 ST		
11			09/28/09	413 67	37 606	39 29	432 19	18 52 ST		

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Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
8	TIFFANY & CO NEW	TIF	10/01/09	$ 303 54	$ 37 942	$ 39 29	$ 314 32	$ 10 78 ST		
77				**2,702 47**	**35 097**		**3,025.33**	**322 86**	1.73	**52 36**
10	UNILEVER PLC SPONS ADR NEW	UL	09/30/09	286 45	28 645	29 83	298 30	11 85 ST		
41			10/01/09	1,166 51	28 451	29 83	1,223 03	56 52 ST		
26			10/12/09	770 52	29.635	29 83	775 58	5.06 ST		
76			10/14/09	2,247 16	29 567	29.83	2,267 08	19.92 ST		
153				**4,470 64**	**29 22**		**4,563 99**	**93 35**	**3 151**	**143 82**
81	UNION PACIFIC CORP	UNP	10/23/09	**4,620 26**	57 04	55 14	**4,466 34**	**(153 92)** ST	**1 958**	**87 48**
20	UNITED TECHNOLOGIES CORP	UTX	08/11/09	1,116 21	55.81	61 45	1,229 00	112 79 ST		
9			08/19/09	503 69	55.965	61 45	553 05	49 36 ST		
29				**1,619.90**	**55 859**		**1,782.05**	**162 15**	**2.506**	**44.66**
28	VERTEX PHARMACEUTICALS INC	VRTX	02/20/09	939 66	33 559	33.56	939 68	02 ST		
11			02/23/09	362.51	32.955	33 56	369 16	6.65 ST		
19			02/27/09	592 24	31 17	33 56	637 64	45.40 ST		
11			08/05/09	407 26	37.023	33.56	369 16	(38.10) ST		
15			08/06/09	529 50	35.30	33 56	503 40	(26 10) ST		
84				**2,831 17**	**33.704**		**2,819 04**	**(12.13)**		
38	VISA INC COM CL A	V	04/14/08	2,520 87	66.338	75 76	2,878 88	358 01 LT		
22			04/17/08	1,459 46	66 338	75 76	1,666 72	207 26 LT		
17			05/12/08	1,338 55	78.738	75.76	1,287 92	(50 63) LT		
15			07/11/08	1,151 98	76 798	75 76	1,136 40	(15 58) LT		
8			08/27/08	583 77	72 971	75 76	606 08	22 31 LT		
35			09/23/08	2,323 10	66 374	75 76	2,651 60	328 50 LT		
19			10/13/08	1,028 02	54 106	75 76	1,439 44	411 42 LT		
34			03/24/09	1,830 80	53 847	75 76	2,575 84	745 04 ST		
188				**12,236.55**	**65 088**		**14,242.88**	**2,006.33**	**.659**	**94.00**
12	VMWARE INC CL A COM	VMW	10/06/09	491 24	40 936	38 43	461 16	(30 08) ST		
15			10/09/09	634 50	42 30	38 43	576 45	(58 05) ST		
19			10/12/09	829 67	43 666	38.43	730 17	(99 50) ST		
16			10/13/09	698 18	43 636	38 43	614 88	(83 30) ST		
16			10/14/09	716 70	44 793	38.43	614 88	(101 82) ST		
26			10/16/09	1,166 65	44 871	38 43	999 18	(167 47) ST		
10			10/20/09	439 92	43 992	38 43	384 30	(55 62) ST		

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Client Statement
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HARRY DENT FAMILY FDTN., INC. *Account number 356-70178-13 346*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
9	VMWARE INC	VMW	10/22/09	$ 390 16	$ 43 35	$ 38 43	$ 345 87	($ 44 29) ST		
123	CL A COM			5,367.02	43.634		4,726.89	(640 13)		
Total common stocks and options				**$ 388,988.81**			**$ 435,047.64**	**$ 37,778.55 ST**	**.94**	
								$ 8,280.28 LT		**$ 4,104.08**

ATTACHMENT C

HARRY DENT ILY FOUNDATION
EIN 16-0849923

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HARRY DENT FAMILY FDTN., INC. *Account number 356-01096-17 346*

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
700	ABIOMED INC	ABMD	08/29/08	$ 12,604 90	$ 18 007	$ 9 05	$ 6,335 00	($ 6,269 90) LT		
300	CARDIONET INC	BEAT	10/17/08	7,143 36	23.811	5 91	1,773 00	(5,370 36) LT		
200	CONOCOPHILLIPS	COP	06/15/06	12,226 24	61 131	50 18	10,036 00	(2,190.24) LT	3 985	400 00
550	GENERAL ELECTRIC CO	GE	02/27/97	9,825 05	17 863	14 26	7,843 00	(1,982 05) LT	2 805	220 00
300	JOHNSON & JOHNSON	JNJ	11/09/04	17,923 41	59 744	59 05	17,715 00	(208.41) LT	3 319	588 00
125	M & T BK CORP	MTB	12/27/07	10,177 88	81 423	62 85	7,856 25	(2,321 63) LT		
75			06/19/08	5,702 43	76 032	62 85	4,713 75	(988.68) LT		
200				15,880 31	79.402		12,570.00	(3,310.31)	4.455	560.00

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HARRY DENT ILY FOUNDATION
EIN: 16-0849923

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HARRY DENT FAMILY FDTN., INC. *Account number 356-01096-17 346*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
325	MEDTRONIC INC	MDT	01/18/07	$ 17,677 01	$ 54 39	$ 35 70	$ 11,602 50	($ 6,074 51) LT		
175			03/12/07	8,715 00	49 80	35 70	6,247 50	(2,467 50) LT		
500				**26,392 01**	**52.784**		**17,850.00**	**(8,542.01)**	**2.296**	**410.00**
775	NMT MEDICAL INC	NMTI	10/24/07	5,245 67	6 768	1 69	1,309 75	(3,935.92) LT		
225			08/29/08	893 30	3 97	1 69	380 25	(513 05) LT		
1,000			10/17/08	1,700 00	1 70	1 69	1,690.00	(10 00) LT		
2,000				**7,838.97**	**3 919**		**3,380.00**	**(4,458 97)**		
600	TEXAS INSTRUMENTS INC	TXN	11/01/00	**28,131 06**	46 885	23 45	**14,070 00**	**(14,061 06)** LT	**2 046**	**288.00**
1,025	TRANS1 INC	TSON	03/07/08	10,582 92	10 324	3 97	4,069 25	(6,513 67) LT		
525			08/28/08	4,385 06	8 352	3 97	2,084 25	(2,300 81) LT		
475			11/11/08	3,273 75	6 892	3 97	1,885 75	(1,388 00) ST		
2,025				**18,241.73**	**9.008**		**8,039.25**	**(10,202 48)**		
250	UNITED TECHNOLOGIES CORP	UTX	04/19/05	**12,263 00**	49 052	61 45	**15,362.50**	**3,099 50** LT	**2.506**	**385 00**
150	VALERO ENERGY CORP-NEW	VLO	09/27/06	7,537 50	50 25	18 10	2,715 00	(4,822 50) LT		
150			12/18/06	8,049.00	53 66	18.10	2,715 00	(5,334.00) LT		
150			08/29/08	5,250 00	35 00	18 10	2,715 00	(2,535.00) LT		
250			10/17/08	4,737 48	18 949	18 10	4,525 00	(212 48) LT		
700				**25,573.98**	**36 534**		**12,670 00**	**(12,903 98)**	**3.314**	**420.00**
100	WELLPOINT INC	WLP	12/27/07	8,802 70	88 027	46 76	4,676 00	(4,126 70) LT		
150			05/07/08	7,848 00	52.32	46.76	7,014 00	(834 00) LT		
250				**16,650.70**	**66 603**		**11,690.00**	**(4,960.70)**		
Total common stocks and options				**$ 210,694.72**			**$ 139,333.75**	**($ 1,388.00) ST**	**2.34**	
								($ 69,972.97) LT		**$ 3,271.00**

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HARRY DENT ILY FOUNDATION
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Exchange traded & closed end funds

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
200	ISHARES TR S&P GLBL HLTHCARE SECTOR INDEX FD Equity portfolio	IXJ	10/17/08	$ 9,190 32	$ 45.951	$ 47 685	$ 9,537 00	$ 346 68 LT		
100			08/06/09	4,593 00	45.93	47 685	4,768 50	175 50 ST		
300				13,783 32	45 944		14,305.50	522 18	3 003	429.60
300	ISHARES TR MSCI EAFE INDEX FD Equity portfolio	EFA	08/06/09	15,323 85	51.079	53 30	15,990.00	666.15 ST		
200			09/11/09	10,929 84	54.649	53 30	10,660 00	(269 84) ST		
400			09/23/09	22,467 68	56 169	53 30	21,320 00	(1,147 68) ST		
900				48,721 37	54.135		47,970.00	(751 37)	2 787	1,337.40
100	ISHARES RUSSELL 1000 VALUE IND FUND Equity portfolio	IWD	11/10/08	5,149 00	51 49	53 71	5,371 00	222 00 ST		
500			08/06/09	26,229 40	52.458	53 71	26,855.00	625 60 ST		
600				31,378 40	52 297		32,226.00	847 60	1 953	629 40
425	ISHARES RUSSELL 1000 GROWTH INDEX FUND Equity portfolio	IWF	11/06/06	22,928 75	53.95	45 72	19,431 00	(3,497 75) LT		
375			10/17/08	14,984 25	39 958	45 72	17,145 00	2,160 75 LT		
800				37,913 00	47 391		36,576 00	(1,337 00)	1 36	497.60
200	ISHARES TR S&P SM CAP 600 INDX FUND Equity portfolio	IJR	10/01/09	10,233.70	51.168	49 42	9,884 00	(349 70) ST	1.029	101.80
500	POWERSHARES EXCHANGE-TRADED FD WATER RES PORT Equity portfolio	PHO	10/17/08	7,163 30	14.326	15.72	7,860 00	696 70 LT	.108	8 50
600	SELECT SECTOR SPDR TR FINANCIAL Equity portfolio	XLF	10/17/08	9,701 40	16 169	14 05	8,430 00	(1,271 40) LT	1 338	112 80
400	VANGUARD SPECIALIZED DIV APPRECIATION ETF Equity portfolio	VIG	09/04/09	17,140.68	42.851	44 20	17,680.00	539.32 ST	2 09	369 60

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HARRY DENT FAMILY FDTN., INC. *Account number 356-01096-17 346*

Exchange traded & closed end funds *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
200	VANGUARD MID-CAP ETF Equity portfolio	VO	10/01/09	$ 11,073 40	$ 55 367	$ 54 38	$ 10,876 00	($ 197.40) ST	06%	$ 6 60
Total closed end fund equity allocation							**$ 185,807 50**			
Total exchange traded funds and closed end funds				**$ 187,108.57**			**$ 185,807.50**	**$ 263.95 ST**	**1.88**	
								($ 1,565.02) LT		**$ 3,493.30**

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HARRY DENT FAMILY FDTN., INC. **Account number 356-01090-13 346**

Mortgage and asset backed securities

Current Value is calculated as follows. Original Principal Amount x Factor x Price = Current Value

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated Income (annualized)
50,000	GNMA PL#550763X DTD 12/01/2005 INT. 05 000% MATY 12/15/2035 FACTOR 67587285 Curr face $ 33,793 64 Int paid monthly	05/19/06 36213CZ45	$ 31,662 21	$ 95 703	$ 103.00 $ 140 81	$ 34,807.45	$ 3,145.24 LT	4 854%	$ 1,889 68
29,000	FNMA PL#745336 DTD 02/01/2006 INT 05 000% MATY 03/01/2036 FACTOR 67265251 Curr face $ 19,506 92 Int paid monthly	01/09/08 31403DBD0	19,329 69	99 281	103.935 81 28	20,274 52	944 83 LT	4.81	975 34
33,000	FNMA PL#745418 DTD 03/01/2006 INT 05 500% MATY 04/01/2036 FACTOR 61347654 Curr face $ 20,244 73 Int paid monthly	01/09/08 31403DDX4	20,515 24	100 984	105 626 92.79	21,383 69	868 45 LT	5 207	1,113.46
50,000	FNMA PL#257307 DTD 07/01/2008 INT 06 000% MATY 08/01/2038 FACTOR 76899314 Curr face $ 38,449 66 Int paid monthly	08/18/09 31371NYC3	40,436 90	105 093	105 50 192 25	40,564 39	127 49 ST	5.687	2,306 97
Total mortgage and asset backed securities 162,000			$ 111,944.04 1		$ 507.13	$ 117,030.05 2	$ 127.49 ST $ 4,958.52 LT	5.19	$ 6,085 45

111,944.04 + 711,451.80 - Σ 1 823,395.84 = CORPORATE BONDS BOOK VALUE	117,030.05 + 723,700.80 = Σ 2 840,730.85 CORPORATE BONDS FAIR MARKET VALUE

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HARRY DENT FAMILY FDTN.. INC. *Account number 356-01090-13 346*

Corporate bonds

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
50,000	COMCAST CORP NOTES-BK/ENTRY	06/10/03	$ 55,768 50	$ 111 537	100 927	$ 50,463 50	($ 5,305 00)	LT	5 796	$ 0 00
	DTD 01/10/2003	20030NAA9	$ 50,199 50	$ 100 399	$ 861 25		$ 264.00	LT	$ 2,925 00	$ 264 00
	INT 05 850% MATY 01/15/2010									
	Rating BAA1/BBB +									
15,000	VERIZON GLOBAL	08/13/07	15,848 55	105 657	106 151	15,922 65	74.10	LT	6 829	0 00
	DTD 06/01/01	92344GAL0	15,295 95	101 973	453 12		626 70	LT	1,087.50	626 70
	INT 07 250% MATY 12/01/2010									
	Rating A3/A									

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HARRY DENT FAMILY FDTN., INC. *Account number 356-01090-13 346*

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
25,000	CONOCO FUNDING CO	05/21/08	$ 26,762 75	$ 107 051	109 932	**$ 27,483 00**	$ 720.25	LT	**5 776**	**$ 0.00**
	DTD 10/11/01	20825UAB0	**$ 26,049 75**	$ 104 199	**$ 70.56**		**$ 1,433.25**	LT	**$ 1,587.50**	**$ 1,433.25**
	INT 06 350% MATY 10/15/2011									
	Rating A1/A									
25,000	TIME WARNER INC NOTES	02/07/07	25,114 75	100 459	106 907	**26,726 75**	1,612 00	LT	**5 144**	**0 00**
	BOOK/ENTRY	887317AB1	**25,053 00**	100 212	**634 03**		**1,673.75**	LT	**1,375 00**	**1,673.75**
	DD 11/13/2006									
	INT 05 500% MATY 11/15/2011									
	Rating BAA2/BBB									
25,000	WALT DISNEY COMPANY	01/15/04	28,125 75	112 503	110 277	27,569.25	(556 50)	LT		0 00
	DTD 2/28/02	25468PBX3	26,017 50	104 07			1,551 75	LT		1,551 75
25,000	INT 06 375% MATY 03/01/2012	09/29/04	27,677 25	110 709	110 277	27,569 25	(108 00)	LT		0 00
	Rating A2/A		25,945 25	103 781			1,624 00	LT		1,624 00
50,000			55,803 00	111 60		**55,138 50**	(664 50)		**5 78**	**0.00**
			51,962 75	103 90	**531 25**		**3,175 75**		**3,187.50**	**3,175.75**
25,000	MERRILL LYNCH & CO I	01/31/08	25,119 25	100 477	104 571	26,142 75	1,023.50	LT		0 00
	DTD 02/05/2008	59018YM40	25,079 00	100 316			1,063 75	LT		1,063 75
15,000	INT 05 450% MATY 02/05/2013	08/18/09	15,284 70	101 898	104 571	15,685 65	400 95	ST		0 00
	Rating A2/A		15,269 25	101 795			416 40	ST		416 40
40,000			40,403 95	101 00		**41,828 40**	1,424 45		**5 211**	**0 00**
			40,348 25	100 90	**520 78**		**1,480 15**		**2,180 00**	**1,480 15**
40,000	HOUSEHOLD FINANCE CORP	08/18/09	40,716 00	101 79	103 981	**41,592 40**	876 40	ST	**4 568**	**0 00**
	DTD 7/21/03	441812KD5	**40,682 40**	101 706	**559 44**		**910.00**	ST	**1,900 00**	**910.00**
	INT 04 750% MATY 07/15/2013									
	Rating A3/A									
25,000	AMERICAN EXPRESS CR	07/20/09	26,790 75	107 163	112 307	**28,076.75**	1,286 00	ST	**6 50**	**0.00**
	DTD 08/20/2008	0258M0CY3	**26,682 25**	106 729	**359 93**		**1,394.50**	ST	**1,825 00**	**1,394.50**
	INT 07 300% MATY. 08/20/2013									
	Rating A2/BBB +									
25,000	PACIFIC GAS AND ELECTRIC	06/25/09	27,583 75	110 335	112 421	28,105 25	521 50	ST		0 00
	BOOK/ENTRY	694308GP6	27,402 75	109 611			702 50	ST		702 50
25,000	DTD 11/18/2008	09/17/09	28,092 75	112 371	112 421	28,105 25	12 50	ST		0 00
	INT 06 250% MATY 12/01/2013		28,020 00	112 08			85 25	ST		85 25
50,000	Rating A3/BBB +		55,676 50	111 40		**56,210 50**	534 00		**5 559**	**0 00**
			55,422 75	110 80	**1,302 08**		**787.75**		**3,125 00**	**787.75**

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HARRY DENT FAMILY FDTN., INC. *Account number 356-01090-13 346*

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
25,000	TIME WARNER CABLE INC BOOK/ENTRY DTD 03/26/2009 INT 07 500% MATY 04/01/2014 Rating BAA2/BBB	04/23/09 88732JAR9	$ 26,394 50 $ 26,269.50	$ 105 578 $ 105 078	115 107 $ 156 25	$ 28,776 75	$ 2,382 25 $ 2,507.25	ST ST	6 515 $ 1,875.00	$ 0 00 $ 2,507 25
40,000	DOMINION RESOURCES DTD 07/14/2005 INT 05 150% MATY 07/15/2015 Rating BAA2/A-	09/21/09 25746UAW9	42,258 80 42,224 00	105 647 105 56	106 12 606 56	42,448 00	189 20 224 00	ST ST	4 852 2,060.00	0 00 224 00
50,000	CISCO SYSTEMS INC DTD 02/22/2006 INT: 05.500% MATY 02/22/2016 Rating A1/A+	05/01/09 17275RAC6	52,772 50 52,601 50	105 545 105 203	109 907 527 08	54,953 50	2,181 00 2,352 00	ST ST	5 004 2,750.00	0 00 2,352 00
25,000	ANADARKO PETE CORP SR NOTES BK/ENTRY-DTD 09/19/2006 INT: 05 950% MATY 09/15/2016 Rating BAA3/BBB-	10/26/09 032511AX5	26,605 25 26,604 50	106 421 106 418	107 25 190 07	26,812 50	207.25 208 00	ST ST	5 547 1,487 50	0 00 208.00
15,000	XTO ENERGY INC SR NOTES BOOK/ENTRY DD 7/19/2007 INT· 06 250% MATY 08/01/2017 Rating. BAA2/BBB	08/13/07 98385XAL0	15,254 25 15,208 80	101 695 101 392	109 029 234 38	16,354 35	1,100 10 1,145 55	LT LT	5 732 937 50	0 00 1,145.55
25,000	HEWLETT PACKARD CO BDS BOOK ENTRY DTD 03/03/08 INT 05 500% MATY 03/01/2018 Rating A2/A	02/11/09 428236AS2	26,557 00 26,456 50	106 228 105 826	108 244 229 17	27,061 00	504 00 604 50	ST ST	5 081 1,375 00	0 00 604 50
25,000	GLAXOSMITHKLINE CAP DTD 05/13/2008 INT· 05 650% MATY 05/15/2018 Rating A1/A+	07/07/08 377372AD9	24,832 00 24,832 00	99 328 99 328	110 079 651.32	27,519 75	2,687 75 2,687 75	LT LT	5 132 1,412.50	0 00 2,687.75
25,000	PROCTER & GAMBLE CO BOOK/ENTRY DTD 02/06/2009 INT 04 700% MATY 02/15/2019 Rating AA3/AA-	02/18/09 742718DN6	25,317 00 25,298 00	101 268 101 192	103 859 248 06	25,964 75	647 75 666 75	ST ST	4 525 1,175 00	0 00 666 75

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HARRY DENT FAMILY FDTN., INC. **Account number 356-01090-13 346**

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
10,000	JPMORGAN CHASE & CO BOOK/ENTRY	04/22/09 46625HHL7	$ 9,918 20 $ 9,918 20	$ 99 182 $ 99 182	109 751	$ 10,975 10	$ 1,056 90 ST $ 1,056 90 ST		$ 0 00 $ 1,056 90
15,000	DTD 04/23/2009 INT 06 300% MATY 04/23/2019	08/13/09	16,276 80 16,257 30	108 512 108 382	109 751	16,462 65	185 85 ST 205 35 ST		0 00 205 35
25,000	Rating AA3/A +		26,195 00 26,175 50	104 80 104 70	35 00	27,437 75	1,242 75 1,262 25	5 74 1,575.00	0 00 1,262.25
25,000	GENERAL ELEC CAP CORP GLOBAL MED TERM NOTES BOOK/ENTRY DTD 3/20/02 INT 06 750% MATY 03/15/2032 Rating AA2/AA +	09/29/04 36962GXZ2	28,971 25 28,608 25	115 885 114 433	105 101 215 63	26,275.25	(2,696 00) LT (2,333 00) LT	6 422 1,687 50	0 00 (2,333 00)
25,000	AT&T INC DTD 08/31/2007 INT 06 500% MATY 09/01/2037 Rating: A2/A	07/28/09 00206RAD4	25,800 50 25,796 75	103 202 103 187	106 629 270 83	26,657 25	856.75 ST 860 50 ST	6 095 1,625 00	0 00 860 50
50,000	MICROSOFT CORP BOOK/ENTRY DTD 05/18/2009 INT 05 200% MATY 06/01/2039 Rating AAA/AAA	06/17/09 594918AD6	47,609 00 47,609 00	95 218 95 218	99 995 1,177 22	49,997 50	2,388 50 ST 2,388 50 ST	5 20 2,600 00	0 00 2,388 50
Total corporate bonds 675,000			$ 711,451 80 $ 699,380.90	1	$ 9,834 01	$ 723,700.80	2 $ 14,582.40 ST $ 9,737.50 LT	5 49 $ 39,752.50	$ 0 00 $ 24,319.90

Government & government sponsored entity (GSE) bonds

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
25,000	U S TREASURY NOTES-SER C 2010 DTD 08/15/2000 INT: 05 750% MATY 08/15/2010	01/28/05 9128276J6	$ 27,482 40 $ 25,386 00	$ 109 929 $ 101 544	104 246 $ 304 69	$ 26,061 50	($ 1,420 90) LT $ 675.50 LT	5 515 $ 1,437.50	$ 0.00 $ 675.50
25,000	U S TREASURY NOTES SER G-2012 DTD 02/28/2007 INT 04 625% MATY 02/29/2012	03/27/07 912828GK0	25,148 45 25,073.25	100 593 100 293	108 141 198 03	27,035 25	1,886 80 LT 1,962.00 LT	4 276 1,156 25	0 00 1,962 00
25,000	FEDERAL HOME LOAN BANK CONS BONDS-BK/ENTRY DTD 06/11/2007 INT 05 375% MATY 06/13/2014	04/27/09 3133XLDG5	28,094 50 27,807 75	112 378 111 231	112 406 515 10	28,101 50	7.00 ST 293.75 ST	4 781 1,343 75	0 00 293 75

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HARRY DENT FAMILY FDTN., INC. *Account number 356-01090-13 346*

Government & government sponsored entity (GSE) bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
50,000	U S TREASURY NOTES SER B-2015	01/10/06	$ 48,484 40	$ 96 968	107 953	**$ 53,976 50**	$ 5,492 10	LT	**3 705**	**$ 559 60**
	DTD 02/15/2005	912828DM9	**$ 48,484 40**	$ 96 968	**$ 423 91**		**$ 5,492.10**	LT	**$ 2,000 00**	**$ 4,932 50**
	INT: 04 000% MATY 02/15/2015									
15,000	FEDERAL HOME LOAN MTG CORP	11/26/07	14,844 05	98 96	108 25	16,237 50	1,393 45	LT		0 00
	GLOBAL DEBS BOOK/ENTRY	3134A4VC5	14,844 05	98 96			1,393 45	LT		1,393 45
10,000	DTD 07/14/2005	07/28/09	10,606 14	106 061	108 25	10,825 00	218.86	ST		0 00
	INT. 04.375% MATY 07/17/2015		10,582 40	105 824			242.60	ST		242 60
25,000			25,450 19	101 80		**27,062 50**	1,612 31		**4 041**	**0 00**
			25,426 45	101 70	**315 97**		**1,636.05**		**1,093 75**	**1,636 05**
50,000	U S TREASURY BONDS OF 2015	05/15/87	55,062 50	110 125	140 281	**70,140 50**	15,078 00*	LT	**7 039**	**0 00**
	DTD 11/29/1985	912810DT2	**52,255 00**	104 51	**2,280 91**		**17,885 50***	LT	**4,937.50**	**17,885 50**
	INT 09 875% MATY 11/15/2015									
50,000	FEDERAL NATL MTG ASSN GLOBAL	05/06/09	56,329 50	112 659	112 00	**56,000 00**	(329 50)	ST	**4 687**	**0 00**
	DEBS-BK/ENTRY	31359MW41	**55,961 00**	111 922	**335 42**		**39 00**	ST	**2,625.00**	**39 00**
	DTD 08/17/2006									
	INT: 05 250% MATY 09/15/2016									
25,000	FEDERAL HOME LOAN BANK CONS	10/08/09	25,000 00	100 00	99 719	**24,929 75**	(70 25)	ST	**3 635**	**0 00**
	BONDS BK/ENTRY	3133XVBD2	**25,000 00**	100 00	**42 80**		**(70.25)**	ST	**906 25**	**(70 25)**
	DTD 10/14/2009									
	INT 03 625% MATY: 10/14/2016									
	Next call on 10/14/10 @ 100 000									
25,000	U S TREASURY NOTES SER E-2018	04/16/09	27,468 75	109 875	105 164	26,291 00	(1,177 75)	ST		0 00
	DTD 08/15/08	912828JH4	27,342 75	109 371			(1,051 75)	ST		(1,051 75)
25,000	INT 04 000% MATY 08/15/2018	07/27/09	25,586 93	102 347	105 164	26,291 00	704 07	ST		0 00
			25,572 00	102 288			719 00	ST		719 00
50,000			53,055 68	106 10		**52,582 00**	(473 68)		**3 803**	**0 00**
			52,914 75	105 80	**423 91**		**(332.75)**		**2,000.00**	**(332 75)**
25,000	US TSY INFLATION INDEX BDS CPI	11/26/07	26,760 12	101 937	100 891	**27,424 44**	664 32	LT	**1 982**	**0 00**
	U NON-SEASONALLY ADJ	912810FS2	**27,658 89**	101 761	**161 04**		**(234 45)**	LT	**543 64**	**(234 45)**
	DTD 01/31/2006									
	INT. 02 000% MATY 01/15/2026									
	Factor. 1 08729									
	Curr face $ 27,182 25									
25,000	FEDERAL HOME LN BKS	04/21/09	27,920 00	111 68	107 813	**26,953 25**	(966 75)	ST	**5 101**	**0 00**
	PREASSIGN 00023	3133XGAY0	**27,891 75**	111 567	**404 86**		**(938.50)**	ST	**1,375 00**	**(938.50)**
	INT 05 500% MATY 07/15/2036									

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HARRY DENT FAMILY FOUNDATION
EIN. 16-084992[illegible]

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HARRY DENT FAMILY FDTN., INC. ***Account number 356-01090-13 346***

Government & government sponsored entity (GSE) bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
40,000	U S TREASURY BOND SER MAY 2038	02/05/09	$ 46,193 76	$ 115 484	104 453	$ 41,781.20	($ 4,412 56) ST	4 308	$ 0 00
	DTD 08/15/08	912810PX0	$ 46,107.20	$ 115 268	$ 831 52		($ 4,326 00) ST	$ 1,800.00	($ 4,326 00)
	INT 04 500% MATY 05/15/2038								
Total government & government sponsored entity (GSE) bonds			**$ 444,981.50**		**$ 6,238.16**	**$ 462,048.39**	**($ 5,092.15) ST**	**4.59**	**$ 559.60**
415,000			**$ 439,966.44**				**$ 27,174.10 LT**	**$ 21,218.64**	**$ 21,522.35**

ATTACHMENT E

HARRY DENT FAMILY FOUNDATION
EIN· 16-0849923

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Client Statement

October 1 - October 31, 2009

HARRY DENT FAMILY FDTN., INC. *Account number 356-20272-13 502*

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
166	AMCOR LTD ADR NEW -USD-	AMCRY	04/19/06	$ 3,606 30	$ 21 724	$ 20 37	$ 3,381 42	($ 224 88) LT		
166			10/27/09	3,432 88	20 68	20 37	3,381 42	(51 46) ST		
332				**7,039.18**	**21.202**		**6,762.84**	**(276.34)**	**5.218**	**352.92**
86	BG GROUP PLC SPON ADR	BRGYY	04/19/06	5,920.40	68.841	86 46	7,435 56	1,515.16 LT		
39			07/18/08	4,265 43	109.369	86 46	3,371 94	(893 49) LT		
15			09/24/08	1,601.77	106 784	86 46	1,296 90	(304 87) LT		
30			10/27/09	2,809 20	93.64	86 46	2,593 80	(215 40) ST		
170				**14,596.80**	**85.864**		**14,698.20**	**101 40**	**1.138**	**167.28**
12	BP PLC SPONS ADR	BP	05/03/05	732 37	61 03	56 62	679 44	(52 93) LT		
145			12/01/05	9,715 00	67 00	56 62	8,209 90	(1,505 10) LT		
137			04/19/06	10,353.80	75.575	56.62	7,756 94	(2,596 86) LT		
20			03/12/07	1,221 79	61 089	56 62	1,132 40	(89 39) LT		
11			03/13/07	670 17	60 924	56 62	622 82	(47 35) LT		
3			03/14/07	179 08	59 692	56 62	169 86	(9 22) LT		
32			07/10/07	2,378 23	74 319	56 62	1,811 84	(566 39) LT		
5			12/14/07	373 47	74.693	56.62	283 10	(90 37) LT		
24			12/17/07	1,766 73	73 613	56 62	1,358 88	(407 85) LT		
44			10/29/09	2,557 72	58 13	56 62	2,491 28	(66 44) ST		
433				**29,948 36**	**69.165**		**24,516 46**	**(5,431 90)**	**5.934**	**1,454.88**
85	BNP PARIBAS SPON ADR	BNPQY	03/18/09	**1,763 91**	20 751	37 69	**3,203 65**	**1,439.74** ST	**1.377**	**44.12**
463	BANCO SANTANDER S A	STD	04/19/06	6,728 69	14.532	16 06	7,435 78	707 09 LT		
471			10/27/09	7,893 96	16 76	16 06	7,564 26	(329 70) ST		
934				**14,622 65**	**15 656**		**15,000 04**	**377 39**	**5 217**	**782.69**
42	BAYER A G SPONSORED ADR	BAYRY	04/19/06	1,744 26	41 53	69 20	2,906 40	1,162.14 LT		
13			11/19/08	621 87	47 835	69 20	899 60	277 73 ST		
9			11/20/08	422 70	46 966	69 20	622 80	200 10 ST		
66			10/27/09	4,761 24	72.14	69 20	4,567 20	(194 04) ST		
130				**7,550.07**	**58 077**		**8,996.00**	**1,445.93**	**1.984**	**178.49**
109	CANON INC ADR	CAJ	12/01/05	4,157 26	38 208	37 66	4,104 94	(52 32) LT		
288			04/19/06	13,780 35	47 848	37 66	10,846.08	(2,934 27) LT		
60			09/12/07	3,189 95	53 165	37 66	2,259 60	(930 35) LT		
59			04/17/08	2,876 73	48 758	37 66	2,221 94	(654 79) LT		
92			10/31/08	3,128 32	34 003	37 66	3,464 72	336 40 ST		
6			02/05/09	165 23	27 539	37 66	225 96	60 73 ST		
614				**27,297.84**	**44 459**		**23,123 24**	**(4,174 60)**	**2 822**	**652 68**

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HARRY DENT FAMILY FDTN., INC. ***Account number 356-20272-13 502***

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
174	CARREFOUR SA UNSPON ADR	CRERY	02/18/09	$ 1,167 45	$ 6 709	$ 8 51	$ 1,480 74	$ 313 29 ST		
102			03/11/09	639 14	6 266	8 51	868 02	228 88 ST		
44			03/12/09	284 97	6 476	8.51	374 44	89 47 ST		
142			07/17/09	1,259 92	8 872	8 51	1,208 42	(51 50) ST		
75			08/20/09	663 89	8 851	8 51	638 25	(25 64) ST		
15			08/21/09	133 79	8 919	8 51	127 65	(6 14) ST		
69			08/26/09	641 70	9 30	8 51	587.19	(54.51) ST		
2			08/27/09	17.81	8 906	8 51	17 02	(79) ST		
649			10/27/09	5,724 18	8 82	8 51	5,522 99	(201 19) ST		
1,272				**10,532 85**	**8 281**		**10,824.72**	**291 87**	**2.608**	**282 38**
50	DEUTSCHE TELEKOM AG SP ADR	DT	10/16/06	805 40	16 108	13 54	677.00	(128.40) LT		
126			10/23/06	2,033 29	16.137	13 54	1,706 04	(327 25) LT		
7			10/24/06	113 39	16 198	13 54	94.78	(18 61) LT		
33			03/12/07	547 83	16.60	13 54	446 82	(101 01) LT		
67			03/13/07	1,109 41	16 558	13 54	907.18	(202.23) LT		
33			03/13/07	546 30	16 554	13 54	446 82	(99.48) LT		
119			04/13/07	2,114 19	17 766	13 54	1,611 26	(502 93) LT		
7			04/13/07	122 63	17.518	13 54	94 78	(27 85) LT		
139			05/09/07	2,379 08	17 115	13 54	1,882 06	(497 02) LT		
40			07/05/07	743 89	18.597	13 54	541 60	(202 29) LT		
91			07/06/07	1,692 44	18.598	13 54	1,232 14	(460 30) LT		
752			10/27/09	10,580 64	14 07	13 54	10,182 08	(398 56) ST		
1,464				**22,788 49**	**15 566**		**19,822 56**	**(2,965 93)**	**7.651**	**1,516.70**
1,070	FOSTERS GROUP LTD NEW SPONS ADR	FBRWY	04/19/06	4,592.86	4 292	4 87	5,210 90	618 04 LT		
1,078			10/27/09	5,411 56	5 02	4 87	5,249 86	(161 70) ST		
2,148				**10,004 42**	**4 658**		**10,460.76**	**456 34**	**3.963**	**414 56**
168	GLAXOSMITHKLINE PLC SP ADR	GSK	12/01/05	8,442 64	50 253	41 16	6,914 88	(1,527 76) LT		
238			04/19/06	12,699 68	53 36	41 16	9,796.08	(2,903 60) LT		
36			10/11/06	1,956 39	54 344	41 16	1,481 76	(474 63) LT		
2			10/12/06	110 22	55 108	41 16	82 32	(27 90) LT		
4			01/23/07	222 46	55.615	41 16	164 64	(57 82) LT		
3			01/24/07	165 44	55.146	41 16	123 48	(41 96) LT		
3			01/25/07	165 13	55 043	41 16	123.48	(41 65) LT		
4			01/26/07	218 07	54 517	41 16	164 64	(53 43) LT		
8			01/29/07	435 96	54 495	41 16	329 28	(106 68) LT		

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HARRY DENT FAMILY FDTN., INC. *Account number 356-20272-13 502*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
4	GLAXOSMITHKLINE PLC SP ADR	GSK	01/30/07	$ 218 36	$ 54 589	$ 41 16	$ 164 64	($ 53 72) LT		
3			01/31/07	162 57	54 188	41 16	123 48	(39 09) LT		
35			12/14/07	1,866.96	53.341	41 16	1,440 60	(426.36) LT		
77			12/24/08	2,759 21	35.833	41.16	3,169.32	410.11 ST		
92			10/27/09	3,805 12	41.36	41 16	3,786.72	(18 40) ST		
43			10/29/09	1,781 06	41.42	41 16	1,769 88	(11.18) ST		
720				**35,009 27**	**48.624**		**29,635 20**	**(5,374.07)**	**4.506**	**1,335 60**
833	HONG-KONG ELECTRIC HLDGS SPONS ADR	HGKGY	04/19/06	4,059 20	4 873	5 26	4,381 58	322 38 LT		
847			10/27/09	4,514 51	5 33	5 26	4,455 22	(59 29) ST		
1,680				**8,573 71**	**5 103**		**8,836.80**	**263 09**	**4 486**	**396.48**
19	IBERDROLA ADR	IBDRY	09/12/08	802 40	42 231	36 04	684 76	(117 64) LT		
22			09/15/08	906 36	41 198	36 04	792.88	(113 48) LT		
29			09/23/08	1,222 74	42 163	36 04	1,045 16	(177 58) LT		
14			09/24/08	593 63	42 402	36.04	504 56	(89.07) LT		
36			10/22/08	1,027 39	28.538	36.04	1,297 44	270 05 LT		
19			10/23/08	529 45	27.865	36 04	684 76	155.31 LT		
40			02/02/09	1,221 08	30.526	36 04	1,441 60	220 52 ST		
23			07/15/09	724 76	31.511	36 04	828 92	104 16 ST		
14			07/16/09	445 97	31 854	36 04	504 56	58 59 ST		
4			08/21/09	138 68	34 67	36 04	144 16	5 48 ST		
27			08/26/09	964.98	35 74	36 04	973 08	8.10 ST		
252			10/27/09	9,361.80	37.15	36 04	9,082 08	(279.72) ST		
499				**17,939 24**	**35 95**		**17,983.96**	**44 72**	**4 053**	**729.04**
353	ING GROEP NV SPONS ADR	ING	04/19/06	13,907.82	39.398	12 90	4,553 70	(9,354 12) LT		
14			02/28/08	482 80	34.485	12 90	180 60	(302 20) LT		
61			02/29/08	2,065 17	33 855	12 90	786 90	(1,278 27) LT		
445			10/27/09	5,945 20	13 36	12 90	5,740 50	(204 70) ST		
873				**22,400 99**	**25 66**		**11,261.70**	**(11,139 29)**		
4	KAO CORP-JPY SPONS ADR	KCRPY	04/19/06	1,078 73	269 683	220 02	880 08	(198.65) LT		
7			05/10/06	1,996 20	285 171	220 02	1,540 14	(456 06) LT		
9			05/22/06	2,349 04	261 004	220 02	1,980 18	(368 86) LT		
5			05/23/06	1,320 03	264 005	220 02	1,100 10	(219 93) LT		
5			10/30/06	1,293 32	258 664	220 02	1,100.10	(193 22) LT		
1			10/31/06	260 82	260 82	220 02	220 02	(40 80) LT		
2			11/06/06	518 20	259 101	220 02	440 04	(78 16) LT		

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HARRY DENT FAMILY FDTN., INC. *Account number 356-20272-13 502*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
8	KAO CORP-JPY	KCRPY	07/03/07	$ 2,094 43	$ 261 803	$ 220 02	$ 1,760 16	($ 334 27) LT		
45	SPONS ADR		10/27/09	10,338 75	229 75	220 02	9,900 90	(437 85) ST		
86				**21,249.52**	**247.087**		**18,921 72**	**(2,327.80)**	**2 468**	**467.07**
432	NATIONAL AUSTRALIA BK LTD	NABZY	04/19/06	12,017 38	27.818	26 20	11,318.40	(698 98) LT		
446	SPONSORED ADR -USD-		10/27/09	12,488 00	28 00	26 20	11,685 20	(802 80) ST		
878				**24,505 38**	**27 91**		**23,003 60**	**(1,501 78)**	**4 576**	**1,052.72**
83	NATIONAL GRID PLC	NGG	04/19/06	4,230 31	50.967	49 61	4,117 63	(112.68) LT		
84	GBP SPONS ADR NEW		10/27/09	4,116 00	49.00	49 61	4,167 24	51 24 ST		
167				**8,346 31**	**49 978**		**8,284.87**	**(61 44)**	**5 424**	**449 40**
378	NIPPON TEL & TEL SPON ADR	NTT	04/19/06	8,209 51	21 718	20 54	7,764 12	(445 39) LT		
380			10/27/09	7,740 60	20 37	20.54	7,805 20	64 60 ST		
758				**15,950.11**	**21.042**		**15,569.32**	**(380.79)**	**2.599**	**404.77**
56	NOVARTIS AG ADR	NVS	02/06/06	3,081.92	55 034	51 95	2,909 20	(172 72) LT		
75			07/11/06	4,171 43	55 619	51 95	3,896 25	(275 18) LT		
27			04/24/07	1,574 82	58 326	51.95	1,402.65	(172.17) LT		
24			04/27/07	1,415 31	58 971	51 95	1,246 80	(168 51) LT		
7			04/27/07	412 99	58 999	51 95	363 65	(49 34) LT		
7			04/30/07	411 67	58 809	51 95	363 65	(48 02) LT		
31			05/18/07	1,761 91	56 835	51 95	1,610 45	(151 46) LT		
11			05/18/07	625 61	56 873	51 95	571 45	(54 16) LT		
27			06/06/07	1,516 81	56 178	51.95	1,402 65	(114.16) LT		
16			06/07/07	890 79	55 674	51 95	831 20	(59 59) LT		
43			06/19/07	2,390 27	55 587	51.95	2,233 85	(156 42) LT		
37			07/09/07	2,047.11	55 327	51 95	1,922 15	(124 96) LT		
31			10/23/07	1,614 87	52 092	51 95	1,610 45	(4 42) LT		
10			10/23/07	521 68	52 167	51 95	519 50	(2 18) LT		
16			04/24/08	800 46	50.029	51 95	831 20	30 74 LT		
131			10/27/09	6,846 06	52 26	51 95	6,805 45	(40 61) ST		
35			10/29/09	1,832 25	52 35	51 95	1,818 25	(14 00) ST		
584				**31,915 96**	**54.651**		**30,338.80**	**(1,577 16)**	**2.798**	**849.14**
136	RWE AG SPONS ADR -USD	RWEOY	04/19/06	12,095 55	88 937	87 70	11,927 20	(168.35) LT		
135			10/27/09	12,252 60	90 76	87 70	11,839 50	(413.10) ST		
271				**24,348 15**	**89 846**		**23,766 70**	**(581 45)**	**4 888**	**1,161 78**

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HARRY DENT FAMILY FDTN., INC. *Account number 356-20272-13 502*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
247	REED ELSEVIER NV	ENL	04/19/06	$ 8,306 37	$ 33 725	$ 23 17	$ 5,722 99	($ 2,583 38) LT		
262			10/27/09	6,274 90	23 95	23 17	6,070 54	(204 36) ST		
509				**14,581 27**	**28 647**		**11,793.53**	**(2,787.74)**	**4 087**	**482.02**
140	ROYAL DUTCH SHELL PLC ADR	RDSA	04/19/06	9,639 86	68 856	59 41	8,317 40	(1,322 46) LT		
13	CL A		08/13/07	970 63	74 663	59 41	772 33	(198.30) LT		
13			08/13/07	965 41	74 262	59 41	772 33	(193 08) LT		
170			10/27/09	10,797.40	63 514	59 41	10,099 70	(697 70) ST		
336				**22,373 30**	**66.587**		**19,961.76**	**(2,411.54)**	**3.728**	**744 24**
130	SASOL LTD SPONS ADR	SSL	04/19/06	5,578 19	42 909	37 39	4,860 70	(717 49) LT		
142			10/27/09	5,675 74	39 97	37 39	5,309 38	(366 36) ST		
272				**11,253.93**	**41.375**		**10,170.08**	**(1,083 85)**	**2 901**	**295.12**
12	SEVEN & I HLDGS CO LTD-JPY	SVNDY	02/17/09	601 48	50.123	44 19	530.28	(71 20) ST		
12			02/18/09	602 49	50.207	44 19	530 28	(72 21) ST		
8			03/11/09	325 04	40.63	44 19	353 52	28.48 ST		
18			03/12/09	724 04	40.224	44 19	795 42	71 38 ST		
4			04/27/09	178 70	44 676	44.19	176.76	(1 94) ST		
23			04/28/09	1,021 89	44 43	44 19	1,016 37	(5 52) ST		
27			08/26/09	1,308 15	48 45	44 19	1,193.13	(115 02) ST		
2			09/15/09	94 15	47 075	44 19	88 38	(5 77) ST		
108			10/27/09	4,761 72	44.09	44 19	4,772.52	10 80 ST		
214				**9,617 66**	**44 942**		**9,456 68**	**(161.00)**	**1.231**	**116.42**
16	SIEMENS A G SPONS ADR	SI	09/15/08	1,537 52	96 095	90 02	1,440 32	(97 20) LT		
19			09/22/08	1,905 42	100 285	90 02	1,710 38	(195.04) LT		
3			09/26/08	292 52	97 505	90 02	270 06	(22 46) LT		
18			09/29/08	1,676 48	93 137	90 02	1,620 36	(56 12) LT		
56			10/27/09	5,425 84	96 89	90 02	5,041 12	(384 72) ST		
112				**10,837 78**	**96 766**		**10,082 24**	**(755 54)**	**1.701**	**171 58**
188	SINGAPORE TELECOMMUNICATIO	SGAPY	08/03/07	4,308 47	22 917	20 85	3,919 80	(388 67) LT		
32	SPONSORED ADR NEW		06/09/08	857 42	26.794	20 85	667 20	(190 22) LT		
38			06/10/08	1,011 59	26 62	20 85	792 30	(219 29) LT		
75			07/23/08	1,972 50	26 30	20 85	1,563 75	(408 75) LT		
37			08/14/08	913 83	24 698	20 85	771 45	(142 38) LT		
40			08/15/08	983 26	24 581	20 85	834 00	(149 26) LT		
56			11/19/08	889 83	15 889	20 85	1,167 60	277 77 ST		
35			11/20/08	547 24	15 635	20 85	729 75	182 51 ST		

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HARRY DENT FAMILY FDTN., INC. *Account number 356-20272-13 502*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
130	SINGAPORE TELECOMMUNICATIO SPONSORED ADR NEW	SGAPY	03/23/09	$ 2,150 97	$ 16 545	$ 20 85	$ 2,710 50	$ 559 53 ST		
631				**13,635 11**	**21 609**		**13,156 35**	**(478 76)**	**3.875**	**509 85**
48	SOCIETE GENERALE SPON ADR	SCGLY	04/19/06	1,442 77	30 057	14 05	674 40	(768 37) LT		
57			07/18/07	2,177 40	38 20	14 05	800 85	(1,376 55) LT		
22			05/07/08	515 95	23.452	14 05	309.10	(206 85) LT		
88			05/08/08	2,012 24	22 866	14.05	1,236 40	(775 84) LT		
16			06/02/09	168 26	10.516	14 05	224 80	56 54 ST		
462			10/22/09	6,613 71	14 315	14 05	6,491 10	(122 61) ST		
623			10/27/09	8,933 82	14.34	14 05	8,753 15	(180 67) ST		
1,316				**21,864 15**	**16.614**		**18,489.80**	**(3,374 35)**	**2 042**	**377.69**
338	STORA ENSO OYJ ADR	SEOAY	04/19/06	5,085 57	15 328	7 54	2,548.52	(2,537 05) LT		
10			03/01/07	158 87	16 168	7 54	75.40	(83 47) LT		
3			03/01/07	47.59	16.146	7 54	22 62	(24 97) LT		
25			03/05/07	394 21	16.05	7 54	188 50	(205 71) LT		
376			10/27/09	2,895 20	7 70	7 54	2,835 04	(60 16) ST		
752				**8,581 44**	**11 411**		**5,670 08**	**(2,911 36)**	**3.58**	**203 04**
140 3219	TAIWAN SEMICONDUCTOR MFG CO LTD ADR	TSM	12/11/07	1,396 84	9 963	9 54	1,338 67	(58 17) LT		
37 3373			12/12/07	366 40	9 822	9 54	356 20	(10 20) LT		
35 3191			12/13/07	340 66	9 654	9 54	336.94	(3 72) LT		
34 31			01/09/08	305 67	8 917	9 54	327 32	21 65 LT		
63 5744			01/10/08	563 18	8 866	9 54	606 50	43.32 LT		
56 5106			01/11/08	496 86	8 80	9 54	539 11	42 25 LT		
82 7476			01/15/08	727 01	8 794	9 54	789 41	62 40 LT		
55 5014			05/07/08	614 12	11 075	9.54	529 48	(84 64) LT		
27 2461			05/08/08	297 62	10 933	9 54	259 93	(37 69) LT		
24 2188			05/08/08	264 57	10 934	9 54	231 05	(33 52) LT		
100 9128			05/09/08	1,088 98	10 801	9 54	962 71	(126 27) LT		
669			10/27/09	6,656 55	9 95	9 54	6,382 26	(274 29) ST		
1,327				**13,118.46**	**9.886**		**12,659.58**	**(458.88)**	**3.815**	**483.03**
37	TAKEDA PHARMACEUTICAL CO LTD-JPY	TKPHY	06/06/08	1,044 45	28 228	19 80	732 60	(311 85) LT		
26			06/09/08	727 49	27 98	19 80	514 80	(212.69) LT		
18			06/10/08	494 61	27.478	19 80	356 40	(138 21) LT		
27			06/11/08	735 49	27 24	19 80	534 60	(200 89) LT		
34			06/12/08	909 18	26 74	19 80	673 20	(235 98) LT		
17			07/03/08	436 22	25.659	19 80	336 60	(99 62) LT		

ATTACHMENT E

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HARRY DENT FAMILY FDTN., INC. *Account number 356-20272-13 502*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
28	TAKEDA PHARMACEUTICAL CO	TKPHY	07/07/08	$ 693 75	$ 24 776	$ 19 80	$ 554 40	($ 139 35) LT		
38	LTD-JPY		07/08/08	954 94	25 13	19 80	752 40	(202 54) LT		
30			07/18/08	726 10	24.203	19 80	594 00	(132 10) LT		
14			07/23/08	350 16	25 011	19 80	277 20	(72 96) LT		
32			07/29/08	794 57	24 83	19 80	633 60	(160.97) LT		
41			09/22/08	1,010 80	24 653	19 80	811.80	(199 00) LT		
5			09/25/08	124 70	24 94	19 80	99 00	(25.70) LT		
19			09/30/08	483 10	25 426	19 80	376 20	(106 90) LT		
371			10/27/09	7,138 04	19 24	19 80	7,345 80	207 76 ST		
737				**16,623.60**	**22 556**		**14,592 60**	**(2,031.00)**	**4.318**	**630 14**
31 0753	TELECOM CORP NEW ZEALAND	NZT	06/06/06	493 36	15.891	8 96	278.43	(214 93) LT		
24.1546	LTD SPONSORED ADR		06/09/06	380.69	15.775	8 96	216 43	(164 26) LT		
48 3093			08/03/06	715 28	14 82	8 96	432 85	(282 43) LT		
99 4608			08/04/06	1,420 86	14.299	8 96	891 17	(529.69) LT		
105			07/23/08	1,389 24	13 23	8 96	940 80	(448 44) LT		
334			10/27/09	3,066 12	9 18	8 96	2,992 64	(73 48) ST		
642				**7,465 55**	**11 629**		**5,752 32**	**(1,713.23)**	**6 517**	**374 93**
151	TELEFONICA S A SPON ADR	TEF	04/19/06	7,078 82	46 879	83 93	12,673 43	5,594 61 LT		
152			10/27/09	12,844 00	84 50	83 93	12,757 36	(86 64) ST		
303				**19,922 82**	**65 752**		**25,430.79**	**5,507.97**	**3.749**	**953.54**
352	TELSTRA LTD SPONS ADR	TLSYY	04/19/06	4,831 76	13.726	14 60	5,139 20	307 44 LT		
92			05/11/09	1,127 29	12 253	14 60	1,343 20	215 91 ST		
31			05/12/09	374 63	12.084	14 60	452 60	77 97 ST		
474			10/27/09	7,053 12	14 88	14 60	6,920 40	(132 72) ST		
949				**13,386 80**	**14 106**		**13,855.40**	**468.60**	**7.26**	**1,005 94**
209	TOKIO MARINE HLDGS INC	TKOMY	04/19/06	8,559.15	40 952	25 56	5,342 04	(3,217 11) LT		
2	ADR		01/18/07	74 59	37 295	25 56	51 12	(23 47) LT		
1			01/19/07	37 15	37 154	25 56	25 56	(11 59) LT		
27			01/25/07	1,010 39	37.421	25 56	690 12	(320 27) LT		
11			01/26/07	400 46	36 405	25 56	281 16	(119 30) LT		
36			03/13/07	1,281 41	35.594	25 56	920 16	(361.25) LT		
30			03/14/07	1,038 64	34 621	25 56	766 80	(271 84) LT		
317			10/27/09	7,956 70	25 10	25 56	8,102 52	145 82 ST		
633				**20,358 49**	**32 162**		**16,179 48**	**(4,179 01)**	**1.658**	**268 39**

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HARRY DENT FAMILY FDTN., INC. *Account number 356-20272-13 502*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
139	TOTAL S A SPONS ADR	TOT	12/01/05	$ 8,698 21	$ 62 577	$ 60 07	$ 8,349 73	($ 348 48) LT		
174			04/19/06	11,953 85	68 70	60 07	10,452 18	(1,501 67) LT		
3			01/16/07	200 11	66.703	60 07	180 21	(19 90) LT		
42			01/17/07	2,798 49	66 63	60.07	2,522 94	(275 55) LT		
84			10/27/09	5,320 56	63 34	60.07	5,045.88	(274 68) ST		
442				**28,971.22**	**65 546**		**26,550 94**	**(2,420.28)**	**4.516**	**1,199.15**
90	TOYOTA MOTOR CORP ADR NEW	TM	04/19/06	10,326.59	114 739	78.89	7,100 10	(3,226 49) LT		
87			10/27/09	6,906 06	79 38	78 89	6,863 43	(42 63) ST		
177				**17,232 65**	**97 36**		**13,963 53**	**(3,269.12)**	**2 443**	**341 26**
202	UNILEVER PLC SPONS ADR NEW	UL	12/01/05	4,422 52	21.893	29 83	6,025 66	1,603 14 LT		
460 117			04/19/06	10,601 25	23.067	29 83	13,725 29	3,124.04 LT		
98 883			05/11/06	2,348.54	23.778	29 83	2,949 68	601 14 LT		
94			07/10/07	3,163 59	33 655	29 83	2,804 02	(359 57) LT		
120			09/24/08	3,302 89	27.524	29.83	3,579 60	276 71 LT		
96			10/29/09	2,933 76	30 56	29.83	2,863 68	(70 08) ST		
1,071				**26,772 55**	**24 998**		**31,947 93**	**5,175 38**	**3.151**	**1,006 74**
8	UNITED OVERSEAS BANK LTD SPONS ADR	UOVEY	09/25/06	161 90	20 237	24 45	195 60	33 70 LT		
34			10/11/06	717 00	21 088	24 45	831 30	114 30 LT		
31			10/12/06	659 89	21.286	24 45	757 95	98 06 LT		
30			10/17/06	640.97	21.365	24.45	733 50	92 53 LT		
75			11/13/08	1,204 00	16 053	24 45	1,833 75	629 75 ST		
180			10/27/09	4,464 00	24.80	24 45	4,401 00	(63 00) ST		
358				**7,847 76**	**21 921**		**8,753.10**	**905 34**	**3 386**	**296.42**
303	UPM KYMMENE CORP SPN ADR	UPMKY	04/19/06	7,111 67	23 47	11 93	3,614 79	(3,496 88) LT		
315			10/27/09	3,666 60	11 64	11 93	3,757 95	91 35 ST		
618				**10,778 27**	**17.441**		**7,372 74**	**(3,405 53)**	**3 168**	**233.60**
17	VODAFONE GROUP PLC SPONS ADR NEW	VOD	06/05/08	525 47	30 91	22 19	377 23	(148 24) LT		
75			06/30/08	2,224 63	29 661	22 19	1,664.25	(560 38) LT		
109			07/29/08	2,859 75	26 236	22 19	2,418 71	(441 04) LT		
93			09/26/08	2,169 05	23 323	22 19	2,063 67	(105 38) LT		
70			08/06/09	1,465 80	20.94	22 19	1,553 30	87.50 ST		
15			08/20/09	327 76	21 85	22 19	332 85	5 09 ST		
54			08/21/09	1,179 35	21 839	22 19	1,198 26	18 91 ST		
69			08/26/09	1,499 30	21 729	22 19	1,531 11	31 81 ST		
60			10/16/09	1,319 76	21 996	22 19	1,331 40	11 64 ST		

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HARRY DENT FAMILY FDTN., INC. *Account number 356-20272-13 502*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
16	VODAFONE GROUP PLC SPONS	VOD	10/20/09	$ 353 27	$ 22 079	$ 22.19	$ 355 04	$ 1 77 ST		
578	ADR NEW			13,924 14	24 09		12,825 82	(1,098 32)	5.43	696.49
153	ZURICH FINCL SVCS SPON ADR	ZFSVY	03/16/09	1,979 35	12 936	22 90	3,503 70	1,524 35 ST		
22			04/29/09	398 68	18 122	22 90	503 80	105 12 ST		
14			09/18/09	331 45	23.674	22 90	320 60	(10 85) ST		
47			09/21/09	1,103 76	23.484	22 90	1,076 30	(27 46) ST		
5			09/22/09	118 86	23 772	22.90	114.50	(4 36) ST		
18			10/21/09	442 86	24 603	22 90	412 20	(30 66) ST		
11			10/22/09	271 39	24 671	22 90	251 90	(19 49) ST		
270				4,646 35	17 209		6,183 00	1,536.65	3 454	213.57
Total common stocks and options				$ 670,176.51			$ 619,858.87	$ 773.60 ST	3.75	
								($ 51,091.24) LT		$ 23,295.86

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HARRY DENT FAMILY FDTN., INC. **Account number 356-19354-16 346**

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
305	AT&T INC	T	12/07/05	**$ 7,614 29**	$ 24 964	$ 25 67	**$ 7,829 35**	**$ 215 06** LT	**6 388 %**	**$ 500 20**
20	ALLSTATE CORP	ALL	03/02/06	1,081 36	54 067	29 57	591 40	(489 96) LT		
80			03/09/06	4,362 42	54 53	29 57	2,365 60	(1,996 82) LT		
80			03/13/06	4,365 90	54 573	29 57	2,365 60	(2,000 30) LT		

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HARRY DENT FAMILY FDTN., INC. *Account number 356-19354-16 346*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
5	ALLSTATE CORP	ALL	03/15/06	$ 274 42	$ 54 884	$ 29 57	$ 147 85	($ 126 57) LT		
85			03/17/06	4,632 13	54 495	29 57	2,513 45	(2,118 68) LT		
60			11/13/08	1,526 15	25.435	29 57	1,774 20	248.05 ST		
330				**16,242.38**	**49.219**		**9,758.10**	**(6,484.28)**	**2.705**	**264.00**
170	ALTRIA GROUP INC	MO	11/28/05	2,875 19	16.912	18 11	3,078 70	203.51 LT		
50			04/25/07	1,068 70	21.374	18 11	905 50	(163.20) LT		
155			04/10/08	3,356.59	21 655	18 11	2,807.05	(549 54) LT		
100			04/11/08	2,134.28	21.342	18 11	1,811 00	(323 28) LT		
155			04/16/08	3,335 32	21.518	18 11	2,807 05	(528 27) LT		
60			03/11/09	984 28	16.404	18 11	1,086 60	102 32 ST		
110			03/13/09	1,809.72	16.452	18 11	1,992.10	182 38 ST		
800				**15,564.08**	**19.455**		**14,488.00**	**(1,076.08)**	**7.509**	**1,088.00**
95	AMEREN CORP	AEE	08/27/07	4,760 64	50 112	24 34	2,312 30	(2,448 34) LT		
15			09/17/07	779.16	51 944	24 34	365 10	(414 06) LT		
70			09/18/07	3,690 43	52.72	24 34	1,703 80	(1,986 63) LT		
30			10/01/07	1,599 16	53 305	24 34	730 20	(868.96) LT		
60			10/02/07	3,202 67	53 377	24 34	1,460 40	(1,742 27) LT		
20			10/15/07	1,072.05	53.602	24 34	486 80	(585 25) LT		
290				**15,104 11**	**52.083**		**7,058.60**	**(8,045.51)**	**6 327**	**446 60**
60	ANNALY CAPITAL MANAGEMENT INC	NLY	06/27/08	926.71	15 445	16 91	1,014 60	87 89 LT		
35			06/30/08	548 57	15.673	16 91	591.85	43 28 LT		
195			07/08/08	2,980 83	15 286	16 91	3,297 45	316 62 LT		
215			07/16/08	3,066 14	14.261	16 91	3,635 65	569 51 LT		
200			07/17/08	2,913 42	14 567	16.91	3,382 00	468 58 LT		
180			03/24/09	2,580 14	14 334	16 91	3,043 80	463 66 ST		
145			04/03/09	2,047 46	14 12	16 91	2,451 95	404 49 ST		
1,030				**15,063 27**	**14 625**		**17,417 30**	**2,354 03**	**16 321**	**2,842 80**
25	BECTON DICKINSON & CO	BDX	05/22/09	1,651 77	66 07	68 36	1,709 00	57 23 ST		
90			05/26/09	6,026 24	66 958	68 36	6,152 40	126 16 ST		
5			06/01/09	340 13	68 026	68 36	341 80	1.67 ST		
120				**8,018 14**	**66.818**		**8,203 20**	**185 06**	**1 93**	**158 40**
20	BOEING CO	BA	08/14/08	1,302.87	65 143	47.80	956 00	(346 87) LT		
70			02/03/09	2,912 39	41 605	47 80	3,346 00	433 61 ST		
95			02/06/09	4,080 93	42 957	47 80	4,541 00	460 07 ST		
185				**8,296.19**	**44 844**		**8,843.00**	**546.81**	**3 514**	**310 80**

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HARRY DENT FAMILY FDTN., INC. **Account number 356-19354-16 346**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
47 8649	CENTURYTEL INC	CTL	02/20/09	$ 1,202 44	$ 25 166	$ 32 46	$ 1,553 69	$ 351 25 ST		
20 5135			02/23/09	509 04	24.859	32 46	665 87	156 83 ST		
54 7027			03/02/09	1,347 24	24 672	32 46	1,775 65	428 41 ST		
13 6757			03/04/09	341 80	25.037	32 46	443 91	102 11 ST		
20 5135			03/05/09	505 66	24.693	32 46	665 87	160 21 ST		
20 5135			03/12/09	524 20	25.599	32 46	665 87	141 67 ST		
75 2162			03/13/09	1,833.29	24 416	32 46	2,441 52	608 23 ST		
253				**6,263 67**	**24.758**		**8,212.38**	**1,948 71**	**8 626**	**708 40**
135	CHEVRON CORP	CVX	11/28/05	**7,736 85**	57.31	76 54	**10,332 90**	**2,596 05** LT	**3.553**	**367 20**
25	CONOCOPHILLIPS	COP	02/23/06	1,536 15	61 446	50 18	1,254 50	(281 65) LT		
35			02/27/06	2,175.72	62.163	50 18	1,756 30	(419 42) LT		
40			02/28/06	2,450 84	61.27	50.18	2,007.20	(443.64) LT		
80			03/02/06	5,011 37	62 642	50.18	4,014.40	(996 97) LT		
180				**11,174 08**	**62 078**		**9,032.40**	**(2,141.68)**	**3 985**	**360.00**
10	DIAMOND OFFSHORE DRILLING INC	DO	12/26/07	1,481 97	148.197	95 25	952 50	(529 47) LT		
25			01/02/08	3,528 58	141 143	95 25	2,381 25	(1,147 33) LT		
26			01/07/08	3,483 97	133 998	95 25	2,476 50	(1,007 47) LT		
40			01/12/09	2,329 83	58 245	95 25	3,810 00	1,480 17 ST		
80			07/27/09	7,409 18	92.614	95 25	7,620 00	210 82 ST		
5			07/29/09	451 50	90 30	95.25	476 25	24.75 ST		
186				**18,685.03**	**100.457**		**17,716.50**	**(968.53)**	**.524**	**93.00**
25	R R DONNELLEY & SONS CO	RRD	03/29/06	815 56	32 622	20.08	502 00	(313 56) LT		
30			04/03/06	993 39	33 113	20 08	602 40	(390.99) LT		
25			04/05/06	832 25	33.29	20 08	502 00	(330 25) LT		
25			04/10/06	825 37	33 014	20 08	502 00	(323 37) LT		
30			04/12/06	988 28	32 942	20 08	602 40	(385 88) LT		
15			04/26/06	496 80	33.119	20 08	301 20	(195 60) LT		
10			04/27/06	331 33	33 132	20 08	200.80	(130 53) LT		
30			05/01/06	1,013 18	33.772	20 08	602 40	(410 78) LT		
25			05/04/06	838 41	33 536	20 08	502.00	(336 41) LT		
60			05/05/06	2,025 49	33 758	20 08	1,204 80	(820 69) LT		
15			05/17/06	499 65	33 31	20 08	301 20	(198 45) LT		
30			05/18/06	1,000 34	33 344	20 08	602 40	(397 94) LT		
50			06/08/06	1,554 32	31 086	20 08	1,004 00	(550 32) LT		
25			06/09/06	770 20	30 808	20 08	502 00	(268 20) LT		

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MorganStanley SmithBarney

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HARRY DENT FAMILY FDTN., INC. ***Account number 356-19354-16 346***

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
15	R R DONNELLEY & SONS CO	RRD	06/13/06	$ 453 45	$ 30 23	$ 20 08	$ 301 20	($ 152 25) LT		
505			03/25/09	4,069 29	8 058	20 08	10,140 40	6,071 11 ST		
915				**17,507.31**	**19.134**		**18,373.20**	**865 89**	**5.179**	**951.60**
45	EDISON INTERNATIONAL	EIX	03/04/09	1,127 09	25 046	31.82	1,431 90	304 81 ST		
75			03/10/09	1,853 93	24 719	31 82	2,386 50	532 57 ST		
50			03/17/09	1,408 01	28 16	31 82	1,591 00	182 99 ST		
65			03/20/09	1,884 91	28.998	31 82	2,068 30	183 39 ST		
235				**6,273 94**	**26.698**		**7,477 70**	**1,203.76**	**3 896**	**291.40**
35	ENCANA CORP-CAD	ECA	08/25/08	2,490 93	71 169	55 39	1,938 65	(552 28) LT		
10			08/26/08	729 81	72 981	55 39	553 90	(175 91) LT		
35			09/02/08	2,474 33	70 695	55 39	1,938 65	(535 68) LT		
55			09/08/08	3,748.17	68 148	55 39	3,046 45	(701 72) LT		
45			09/15/08	2,929 01	65 089	55 39	2,492 55	(436 46) LT		
180				**12,372 25**	**68.735**		**9,970 20**	**(2,402 05)**	**2 888**	**288 00**
5	GLAXOSMITHKLINE PLC SP ADR	GSK	11/28/05	247 15	49 43	41 16	205 80	(41 35) LT		
110			05/30/07	5,771 79	52 47	41 16	4,527 60	(1,244.19) LT		
40			05/31/07	2,076 37	51 909	41 16	1,646 40	(429 97) LT		
100			06/06/07	5,105 02	51 05	41 16	4,116 00	(989 02) LT		
100			06/12/07	5,204 29	52 042	41 16	4,116 00	(1,088 29) LT		
25			06/14/07	1,302 13	52 085	41 16	1,029 00	(273 13) LT		
50			06/15/07	2,617.55	52 35	41.16	2,058 00	(559 55) LT		
50			03/25/09	1,502 80	30 056	41 16	2,058 00	555 20 ST		
480				**23,827 10**	**49.64**		**19,756 80**	**(4,070 30)**	**4 506**	**890 40**
47	GOLDMAN SACHS GROUP INC	GS	10/06/09	**8,786 31**	186 942	170 17	**7,997 99**	**(788 32)** ST	**822**	**65.80**
30	HARRIS CORP-DELAWARE-	HRS	01/20/09	1,213 53	40 451	41 72	1,251 60	38 07 ST		
20			01/21/09	832 05	41 602	41 72	834 40	2 35 ST		
40			01/28/09	1,786 76	44 669	41 72	1,668 80	(117 96) ST		
40			02/09/09	1,756 82	43 92	41 72	1,668 80	(88 02) ST		
25			02/17/09	1,021 63	40 865	41 72	1,043 00	21 37 ST		
20			02/18/09	813 68	40 684	41 72	834 40	20.72 ST		
75			03/25/09	2,174 93	28 999	41 72	3,129 00	954 07 ST		
250				**9,599 40**	**38 398**		**10,430 00**	**830 60**	**2 109**	**220 00**
125	HOME DEPOT INC	HD	01/16/08	3,242 16	25 937	25 09	3,136 25	(105 91) LT		
85			01/18/08	2,305 89	27 128	25 09	2,132 65	(173 24) LT		
10			01/23/08	276 87	27 686	25 09	250 90	(25 97) LT		

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Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
85	HOME DEPOT INC	HD	01/24/08	$ 2,499 80	$ 29 409	$ 25 09	$ 2,132 65	($ 367 15) LT		
305				**8,324 72**	**27.294**		**7,662 45**	**(672 27)**	**3 587**	**274.50**
200	HUDSON CITY BANCORP INC	HCBK	07/06/09	2,663 76	13 318	13 14	2,628.00	(35 76) ST		
185			07/08/09	2,454 51	13 267	13 14	2,430 90	(23 61) ST		
175			07/09/09	2,364 18	13.509	13 14	2,299 50	(64 68) ST		
560				**7,482.45**	**13 362**		**7,358 40**	**(124.05)**	**4 566**	**336 00**
20	INTL BUSINESS MACHINES CORP	IBM	04/02/09	1,983 69	99.184	120 61	2,412 20	428 51 ST		
15			04/07/09	1,487 07	99 137	120 61	1,809 15	322 08 ST		
20			04/15/09	1,960 39	98.019	120 61	2,412 20	451 81 ST		
17			04/23/09	1,719 51	101.147	120 61	2,050 37	330 86 ST		
72				**7,150 66**	**99 315**		**8,683 92**	**1,533.26**	**1.824**	**158.40**
85	JOHNSON & JOHNSON	JNJ	05/04/09	4,528 49	53 276	59 05	5,019 25	490 76 ST		
55			05/15/09	3,040 94	55.289	59 05	3,247 75	206.81 ST		
140				**7,569.43**	**54 067**		**8,267 00**	**697.57**	**3 319**	**274 40**
145	KIMBERLY CLARK CORP	KMB	11/28/05	**8,523 10**	58 78	61 16	**8,868 20**	**345 10** LT	**3 924**	**348 00**
87	KRAFT FOODS INC CLASS A	KFT	05/02/07	2,916 20	33 519	27 52	2,394.24	(521.96) LT		
40			05/03/07	1,335 66	33 391	27 52	1,100 80	(234 86) LT		
175			05/09/07	5,717 13	32 669	27 52	4,816 00	(901 13) LT		
302				**9,968 99**	**33 01**		**8,311 04**	**(1,657 95)**	**4 215**	**350.32**
260	MARATHON OIL CORP	MRO	11/28/05	**7,546 50**	29 025	31 97	**8,312.20**	**765.70** LT	**3.002**	**249 60**
25	MATTEL INC DE	MAT	11/28/05	419 81	16 792	18 93	473 25	53 44 LT		
145			12/06/05	2,386 70	16 46	18 93	2,744 85	358 15 LT		
70			12/07/05	1,153 29	16 475	18 93	1,325 10	171 81 LT		
250			12/08/05	4,104 03	16 416	18 93	4,732 50	628 47 LT		
105			12/14/05	1,775 21	16 906	18 93	1,987 65	212 44 LT		
595				**9,839 04**	**16.536**		**11,263 35**	**1,424.31**	**3 961**	**446 25**
175	MCGRAW HILL COS INC	MHP	08/28/09	5,876 92	33 582	28 78	5,036 50	(840 42) ST		
85			08/31/09	2,828 01	33 27	28 78	2,446 30	(381 71) ST		
260				**8,704 93**	**33 481**		**7,482 80**	**(1,222.13)**	**3 127**	**234.00**
55	MEDTRONIC INC	MDT	02/17/09	1,908 74	34.704	35 70	1,963 50	54 76 ST		
45			02/20/09	1,530 16	34 003	35 70	1,606 50	76 34 ST		
45			02/24/09	1,555 13	34.558	35 70	1,606 50	51 37 ST		
60			02/26/09	1,966 10	32 768	35 70	2,142 00	175 90 ST		
205				**6,960 13**	**33 952**		**7,318 50**	**358 37**	**2 296**	**168 10**

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HARRY DENT FAMILY FDTN., INC. *Account number 356-19354-16 346*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
15	METLIFE INC	MET	04/23/09	$ 419 01	$ 27 933	$ 34 03	$ 510 45	$ 91 44 ST		
55			04/24/09	1,580 73	28 74	34 03	1,871 65	290 92 ST		
205			05/04/09	5,619 97	27.414	34.03	6,976 15	1,356 18 ST		
275				**7,619.71**	**27.708**		**9,358.25**	**1,738 54**	**2.174**	**203.50**
100	MICROSOFT CORP	MSFT	10/21/08	2,432 73	24 327	27.73	2,773 00	340 27 LT		
90			10/28/08	1,965 85	21.842	27.73	2,495 70	529 85 LT		
120			11/03/08	2,732 83	22.773	27 73	3,327 60	594 77 ST		
105			11/11/08	2,196 08	20 915	27 73	2,911 65	715 57 ST		
415				**9,327 49**	**22 476**		**11,507.95**	**2,180 46**	**1 876**	**215.80**
170	NEW YORK COMMUNITY BANCORP	NYB	01/20/09	2,149 36	12.643	10 79	1,834 30	(315 06) ST		
95			01/26/09	1,194 13	12.569	10.79	1,025 05	(169.08) ST		
60			01/27/09	682 00	11 366	10 79	647 40	(34 60) ST		
60			01/28/09	781.93	13 032	10 79	647.40	(134 53) ST		
75			01/29/09	985 90	13.145	10.79	809 25	(176 65) ST		
135			02/04/09	1,639 79	12.146	10 79	1,456 65	(183 14) ST		
25			02/06/09	318 06	12 722	10 79	269 75	(48 31) ST		
620				**7,751 17**	**12 502**		**6,689 80**	**(1,061 37)**	**9 267**	**620 00**
55	NORFOLK SOUTHERN CORP	NSC	03/19/09	1,750 41	31 825	46 62	2,564 10	813 69 ST		
45			03/24/09	1,493 95	33 198	46 62	2,097 90	603 95 ST		
20			03/27/09	697 50	34 874	46 62	932 40	234 90 ST		
30			03/30/09	1,003 18	33 439	46 62	1,398 60	395 42 ST		
45			04/03/09	1,683 14	37.403	46 62	2,097 90	414 76 ST		
195				**6,628 18**	**33 991**		**9,090 90**	**2,462 72**	**2 917**	**265 20**
185	NORTHROP GRUMMAN CORP	NOC	10/26/09	**9,383 57**	50 722	50 13	**9,274 05**	**(109 52)** ST	**3 431**	**318.20**
35	PFIZER INC	PFE	06/18/04	1,238 70	35 391	17 03	596 05	(642 65) LT		
185			11/10/04	5,093 51	27 532	17 03	3,150 55	(1,942 96) LT		
20			12/05/05	425 80	21 29	17 03	340 60	(85 20) LT		
40			04/21/06	993 90	24 847	17 03	681 20	(312 70) LT		
175			04/24/06	4,317 71	24 672	17.03	2,980 25	(1,337.46) LT		
180			04/26/06	4,498 94	24 994	17 03	3,065 40	(1,433 54) LT		
165			05/01/06	4,206 21	25.492	17 03	2,809 95	(1,396 26) LT		
195			05/02/06	4,931 76	25 291	17 03	3,320 85	(1,610 91) LT		
995				**25,706 53**	**25 836**		**16,944 85**	**(8,761 68)**	**3 758**	**636 80**
190	REYNOLDS AMERICAN INC	RAI	11/28/05	**8,327 70**	43 83	48 48	**9,211 20**	**883 50** LT	**7 425**	**684 00**

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Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
25	ROYAL DUTCH SHELL PLC ADR	RDSA	09/25/07	$ 2,090 43	$ 83 617	$ 59 41	$ 1,485 25	($ 605 18) LT		
60	CL A		10/01/07	5,001 17	83 352	59 41	3,564 60	(1,436 57) LT		
60			10/05/07	4,789 82	79 83	59 41	3,564 60	(1,225.22) LT		
145				**11,881.42**	**81.941**		**8,614 45**	**(3,266.97)**	**3.728**	**321.18**
105	SUPERVALU INC	SVU	02/18/09	1,857 92	17 694	15 87	1,666 35	(191 57) ST		
90			02/19/09	1,605 36	17.837	15 87	1,428 30	(177 06) ST		
40			02/24/09	705 54	17 638	15 87	634 80	(70 74) ST		
55			02/25/09	981 23	17 84	15 87	872 85	(108 38) ST		
35			03/04/09	565 62	16.16	15 87	555 45	(10 17) ST		
65			03/05/09	997 11	15 34	15 87	1,031 55	34 44 ST		
390				**6,712.78**	**17.212**		**6,189.30**	**(523.48)**	**4.41**	**273.00**
40	3M COMPANY	MMM	05/29/08	3,096 85	77.421	73 57	2,942 80	(154 05) LT		
45			06/04/08	3,469 57	77 101	73 57	3,310 65	(158 92) LT		
50			06/09/08	3,782.67	75 653	73.57	3,678.50	(104.17) LT		
135				**10,349 09**	**76.66**		**9,931.95**	**(417.14)**	**2.772**	**275.40**
15	TOTAL S A SPONS ADR	TOT	12/13/07	1,247.36	83.157	60 07	901.05	(346.31) LT		
45			12/26/07	3,677 81	81 729	60 07	2,703 15	(974 66) LT		
35			01/03/08	2,982.12	85 203	60 07	2,102 45	(879 67) LT		
5			01/08/08	428 08	85 616	60 07	300 35	(127 73) LT		
35			01/09/08	3,030 53	86.586	60 07	2,102 45	(928 08) LT		
135				**11,365.90**	**84.192**		**8,109.45**	**(3,256.45)**	**4.516**	**366.26**
177	TRAVELERS COMPANIES INC	TRV	11/28/05	**8,239.35**	46 55	49 79	**8,812.83**	**573 48** LT	**2 651**	**233 64**
120	V F CORP	VFC	11/28/05	**6,732 00**	56 10	71 04	**8,524 80**	**1,792 80** LT	**3 378**	**288 00**
95	VALERO ENERGY CORP-NEW	VLO	04/14/09	1,950 56	20 532	18 10	1,719 50	(231 06) ST		
75			04/16/09	1,562 99	20 839	18 10	1,357 50	(205 49) ST		
110			04/20/09	2,195 92	19.962	18 10	1,991.00	(204 92) ST		
80			04/23/09	1,673 28	20 916	18 10	1,448 00	(225 28) ST		
360				**7,382.76**	**20 508**		**6,516.00**	**(866 76)**	**3 314**	**216.00**
230	VERIZON COMMUNICATIONS	VZ	11/28/05	**7,094 44**	30 845	29 59	**6,805 70**	**(288.74)** LT	**6.421**	**437 00**
80	WASTE MGMT INC DEL	WM	01/23/08	2,294 53	28 681	29 88	2,390 40	95 87 LT		
65			01/31/08	2,103.61	32 363	29 88	1,942 20	(161 41) LT		
35			02/05/08	1,149 20	32 834	29 88	1,045 80	(103 40) LT		
90			02/07/08	2,891 61	32 129	29 88	2,689 20	(202 41) LT		
270				**8,438 95**	**31.255**		**8,067 60**	**(371 35)**	**3 882**	**313 20**

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HARRY DENT FAMILY FDTN., INC. *Account number 356-19354-16 346*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
3	WINDSTREAM CORP	WIN	08/14/06	$ 38 54	$ 12 847	$ 9 64	$ 28 92	($ 9 62) LT		
135			08/15/06	1,773 99	13 14	9 64	1,301 40	(472 59) LT		
90			08/23/06	1,165 74	12 952	9 64	867.60	(298 14) LT		
270			08/24/06	3,512 40	13 008	9 64	2,602.80	(909 60) LT		
135			09/06/06	1,795.47	13.299	9 64	1,301 40	(494 07) LT		
100			09/07/06	1,332.10	13 321	9 64	964 00	(368.10) LT		
110			09/08/06	1,481 80	13.47	9 64	1,060 40	(421.40) LT		
843				11,100 04	13 167		8,126 52	(2,973 52)	10 373	843 00
240	XEROX CORP	XRX	06/23/08	3,280 01	13 666	7 52	1,804 80	(1,475 21) LT		
65			06/26/08	885 48	13.622	7 52	488 80	(396 68) LT		
145			06/27/08	1,950 00	13.448	7 52	1,090 40	(859 60) LT		
245			07/07/08	3,299 59	13 467	7 52	1,842 40	(1,457.19) LT		
185			07/15/08	2,441 54	13.197	7 52	1,391 20	(1,050 34) LT		
55			07/16/08	724 01	13.163	7 52	413.60	(310 41) LT		
570			03/25/09	2,848 86	4 998	7 52	4,286 40	1,437.54 ST		
1,505				15,429 49	10 252		11,317 60	(4,111 89)	2 26	255 85
Total common stocks and options				**$ 469,668.91**			**$ 439,508.88**	**$ 19,647.48 ST**	**4.42**	
								($ 49,807.71) LT		**$ 19,452.20**

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Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
118 5052	COVIDIEN PLC DUBLIN-USD	COV	06/01/99	$ 6,617 37	$ 55 84	$ 42 12	$ 4,991 44	($ 1,625 93) LT		
2 4948			01/27/06	84 32	33 798	42 12	105 08	20 76 LT		
121				**6,701.69**	**55 386**		**5,096 52**	**(1,605 17)**	**1 709**	**87.12**
188 8039	SEAGATE TECHNOLOGY -USD	STX	08/23/99	2,686 18	14 233	13 95	2,633 81	(52 37) LT		
219 1961			11/30/99	2,596 06	11 848	13 95	3,057 79	461 73 LT		
30			06/12/06	669 58	22.319	13 95	418 50	(251 08) LT		
438				**5,951.82**	**13 589**		**6,110 10**	**158 28**		
1,505	WEATHERFORD INTERNATIONAL LTD -CHF	WFT	01/29/04	**15,442 09**	10 26	17 53	**26,382 65**	**10,940.56** LT		

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Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
118 5052	TYCO ELECTRONICS LTD	TEL	06/01/99	$ 6,092 14	$ 51 408	$ 21 25	$ 2,518 24	($ 3,573 90) LT		
2 4948			01/27/06	77 62	31.112	21 25	53 01	(24 61) LT		
121				**6,169 76**	**50.99**		**2,571.25**	**(3,598.51)**	**3.011**	**77.44**
118 5052	TYCO INTL LTD CHF	TYC	06/01/99	8,132 59	68 626	33.55	3,975.85	(4,156.74) LT		
2.4948			01/27/06	103 63	41 538	33 55	83 70	(19 93) LT		
121				**8,236 22**	**68.068**		**4,059.55**	**(4,176.67)**	**2 384**	**96.80**
640	ALKERMES INC	ALKS	06/01/99	**8,000 00**	12 50	7 97	**5,100 80**	**(2,899.20)** LT		
67	ANADARKO PETROLEUM CORP	APC	07/24/08	3,918 24	58 481	60 93	4,082 31	164 07 LT		
358			10/24/08	10,415 44	29 093	60 93	21,812 94	11,397 50 LT		
80			10/27/08	2,249 65	28 12	60 93	4,874 40	2,624 75 LT		
505				**16,583 33**	**32.838**		**30,769.65**	**14,186.32**	**.59**	**181.80**
30	AUTODESK INC	ADSK	01/06/06	1,271 36	42 378	24 93	747.90	(523 46) LT		
52			08/01/08	1,681 25	32.331	24 93	1,296 36	(384 89) LT		
240			04/27/09	4,578.72	19.078	24 93	5,983 20	1,404.48 ST		
322				**7,531.33**	**23.389**		**8,027.46**	**496.13**		
700	BIOGEN IDEC INC	BIIB	06/01/99	**6,031.66**	8 616	42 13	**29,491 00**	**23,459.34** LT		
215	BROADCOM CORP CL A	BRCM	04/27/09	**5,226.27**	24 308	26 61	**5,721 15**	**494.88** ST		
840	CABLEVISION SYSTEMS CORP CABLEVISION NY GROUP CL A	CVC	04/27/09	**13,555 92**	16 138	22 96	**19,286 40**	**5,730.48** ST	**1 742**	**336 00**
1,097 0437	COMCAST CORP CL A - SPL	CMCSK	01/26/04	25,172.32	22.953	14 02	15,380 55	(9,791.77) LT		
104 9617			06/18/04	2,048.84	19 526	14 02	1,471 56	(577 28) LT		
14 9946			07/20/04	274 97	18 344	14 02	210 22	(64.75) LT		
140			06/23/08	2,700 52	19 289	14 02	1,962 80	(737.72) LT		
10			01/14/09	142 15	14 215	14 02	140 20	(1 95) ST		
1,367				**30,338 80**	**22 194**		**19,165 33**	**(11,173.47)**	**1 925**	**369.09**
55	CREE INC	CREE	10/06/05	1,348 15	24 511	42 10	2,315 50	967 35 LT		
280			04/27/06	8,195 15	29 268	42 10	11,788 00	3,592 85 LT		
80			06/01/06	1,914 41	23 93	42 10	3,368 00	1,453 59 LT		
415				**11,457 71**	**27 609**		**17,471 50**	**6,013 79**		
2	DISCOVERY COMMUNICATIONS INC SER A	DISCA	08/09/04	**24 00**	12 00	27.50	**55.00**	**31.00** LT		
8	DOLBY LABORATORIES INC CLASS A	DLB	10/16/08	227 75	28 468	41 94	335 52	107 77 LT		
41			10/23/08	1,205 62	29.405	41 94	1,719 54	513 92 LT		
38			10/24/08	1,139 33	29 982	41 94	1,593 72	454 39 LT		
34			10/27/08	1,035 53	30 456	41 94	1,425 96	390 43 LT		
30			10/28/08	876 68	29.222	41 94	1,258 20	381.52 LT		

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HARRY DENT FAMILY FDTN., INC. *Account number 356-01091-12 346*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Average % yield	Anticipated Income (annualized)
47	DOLBY LABORATORIES INC CLASS A	DLB	10/29/08	$ 1,397 77	$ 29 739	$ 41 94	$ 1,971 18	$ 573 41	LT		
27			10/30/08	826 91	30 626	41 94	1,132 38	305 47	ST		
225				**6,709.59**	**29.82**		**9,436.50**	**2,726 91**			
5	FLUOR CORP NEW	FLR	11/20/08	152 34	30 469	44 42	222 10	69 76	ST		
255			04/27/09	10,193 52	39 974	44 42	11,327.10	1,133.58	ST		
260				**10,345 86**	**39 792**		**11,549.20**	**1,203 34**		**1 125**	**130 00**
230	FOREST LABORATORIES INC	FRX	06/01/99	2,745.63	11.937	27 67	6,364 10	3,618 47	LT		
460			09/15/99	5,353 14	11 637	27 67	12,728 20	7,375 06	LT		
690				**8,098.77**	**11 737**		**19,092 30**	**10,993 53**			
205	FREEPORT MCMORAN COPPER & GOLD CL B	FCX	02/11/09	**5,784 32**	28.216	73 36	**15,038 80**	**9,254.48**	ST		
400	GENZYME CORP	GENZ	06/01/99	8,299 99	20.191	50 60	20,240 00	11,940 01	LT		
40			03/15/04	1,885.20	47.13	50 60	2,024 00	138.80	LT		
440				**10,185 19**	**23 148**		**22,264 00**	**12,078 81**			
385	L 3 COMMUNICATIONS HLDGS INC	LLL	09/15/99	**7,606.38**	19 756	72 29	**27,831 65**	**20,225 27**	LT	**1.936**	**539.00**
491	LIBERTY MEDIA HLDG CORP INTERACTIVE SER A	LINTA	06/02/08	8,319 07	16 943	11 32	5,558 12	(2,760 95)	LT		
280			06/03/08	4,757 79	16.992	11 32	3,169 60	(1,588 19)	LT		
771				**13,076 86**	**16.961**		**8,727.72**	**(4,349.14)**			
1	LIBERTY MEDIA HLDG CORP CAP SER A	LCAPA	08/09/04	9 61	9 61	20 69	20 69	11 08	LT		
47			06/04/08	703 58	14 969	20 69	972 43	268 85	LT		
17			06/11/08	254 98	14.999	20 69	351 73	96 75	LT		
20			06/27/08	291 33	14 566	20 69	413 80	122.47	LT		
85				**1,259 50**	**14 818**		**1,758 65**	**499 15**			
219	LIBERTY MEDIA CORP SER A LIBERTY ENTERTAINMENT	LMDIA	04/27/09	**5,277.69**	24 099	30 82	**6,749 58**	**1,471 89**	ST		
159	NATIONAL OILWELL VARCO INC	NOV	06/01/99	3,810 86	24 097	40 99	6,517.41	2,706 55	LT		
81			10/24/08	1,948 56	24 056	40 99	3,320 19	1,371 63	LT		
40			10/27/08	1,017 96	25 449	40 99	1,639 60	621 64	LT		
280				**6,777.38**	**24 205**		**11,477 20**	**4,699 82**			
10	NUCOR CORP	NUE	02/17/09	408 02	40 801	39 85	398 50	(9 52)	ST		
20			02/20/09	778 98	38.949	39 85	797 00	18 02	ST		
100			02/24/09	3,710 14	37.101	39 85	3,985 00	274 86	ST		
45			04/27/09	1,753 92	38 976	39 85	1,793 25	39 33	ST		
175				**6,651 06**	**38.006**		**6,973 75**	**322 69**		**3.513**	**245.00**
180	PALL CORP	PLL	09/19/05	**4,947 03**	27 483	31 74	**5,713 20**	**766.17**	LT	**1 827**	**104.40**

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HARRY DENT FAMILY FOUNDATION
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HARRY DENT FAMILY FDTN., INC. *Account number 356-01091-12 346*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
160	SANDISK CORP	SNDK	05/31/06	$ 9,167 20	$ 57 295	$ 20 48	$ 3,276 80	($ 5,890 40) LT		
20			06/08/06	1,024 94	51 246	20 48	409 60	(615 34) LT		
70			06/27/06	3,595 31	51 361	20 48	1,433 60	(2,161.71) LT		
10			10/12/07	490 37	49 037	20 48	204 80	(285.57) LT		
260				**14,277 82**	**54 915**		**5,324 80**	**(8,953 02)**		
1,045	STANDARD MICROSYSTEMS CORP	SMSC	06/01/99	**8,360.00**	8 00	19 26	**20,126 70**	**11,766.70** LT		
295	TEVA PHARMACEUTICAL INDS LTD ADR	TEVA	01/23/04	**8,828.82**	29 962	50 48	**14,891.60**	**6,062 78** LT	**.442**	**65.96**
835	UNITEDHEALTH GROUP INC	UNH	06/01/99	6,001 57	7 187	25 95	21,668.25	15,666 68 LT		
100			05/01/08	3,295 94	32 959	25 95	2,595 00	(700 94) LT		
935				**9,297.51**	**9.944**		**24,263.25**	**14,965.74**	**.115**	**28.05**
118	VERTEX PHARMACEUTICALS INC	VRTX	04/27/09	**3,416 01**	28.949	33 56	**3,960 08**	**544.07** ST		
Total common stocks and options				**$ 272,150.39**			**$ 394,487.34**	**$ 20,729.83** ST	**.57**	
								$ 101,607.12 LT		**$ 2,260.66**

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HARRY DENT FAMILY FDTN., INC. *Account number 356-73257-11 346*

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
21	CREDICORP LTD -USD	BAP	10/23/07	$ 1,514 94	$ 72 14	$ 69 03	$ 1,449 63	($ 65 31) LT		
2			02/21/08	145 30	72 65	69 03	138 06	(7.24) LT		
6			10/22/09	463 44	77 24	69 03	414 18	(49 26) ST		
29				**2,123.68**	**73 23**		**2,001.87**	**(121 81)**	**2.172**	**43 50**

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HARRY DENT FAMILY FDTN., INC. *Account number 356-73257-11 346*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
75	CHECK POINT SOFTWARE TECH	CHKP	10/23/07	$ 1,901 81	$ 25 357	$ 31 05	$ 2,328 75	$ 426 94 LT		
22	Exchange rate 2672010		10/22/09	677 16	30 78	31 05	683 10	5 94 ST		
97	Shares traded in: Israeli shekels			**2,578.97**	**26 587**		**3,011.85**	**432.88**		
1,416	ADVANCED INFO SERVICES PUB	AVIFY	10/23/07	3,894 00	2 75	3 00	4,248 00	354 00 LT		
31	CO LTD SPONS ADR		02/12/08	94 55	3 05	3 00	93 00	(1 55) LT		
39			02/21/08	126.75	3 25	3.00	117 00	(9.75) LT		
438			10/22/09	1,314 00	3 00	3 00	1,314 00	0.00		
1,924				**5,429 30**	**2 822**		**5,772 00**	**342.70**	**4 833**	**278.98**
253	AKBANK TURK ANONIM	AKBTY	10/23/07	4,250 40	16 80	10 80	2,732 40	(1,518 00) LT		
74	SIRKETI-ADR		10/22/09	893.92	12.08	10 80	799.20	(94 72) ST		
327				**5,144 32**	**15 732**		**3,531 60**	**(1,612 72)**	**1.055**	**37 28**
51	ALUMINUM CORP OF CHINA ADR	ACH	10/23/07	4,025 43	78 93	27 20	1,387 20	(2,638 23) LT		
70			09/15/08	1,217 92	17 398	27 20	1,904 00	686 08 LT		
35			10/22/09	1,020 25	29 15	27 20	952.00	(68.25) ST		
156				**6,263.60**	**40.151**		**4,243 20**	**(2,020.40)**	**1.176**	**49.92**
33	AMERICA MOVIL S A B DE CV	AMX	10/23/07	2,213 31	67 07	44.13	1,456.29	(757 02) LT		
2	SER L SPONS ADR		02/21/08	123 34	61.67	44 13	88 26	(35 08) LT		
10			10/22/09	476 10	47 61	44 13	441 30	(34.80) ST		
45				**2,812.75**	**62 506**		**1,985 85**	**(826.90)**	**1.028**	**20 43**
147	BANCO BRADESCO SPONS ADR	BBD	10/23/07	3,088 96	21 013	19 70	2,895 90	(193 06) LT		
43	(NEW)		10/22/09	899 99	20 93	19 70	847 10	(52 89) ST		
190				**3,988.95**	**20 994**		**3,743 00**	**(245 95)**	**507**	**19 00**
26	BANCO SANTANDER-CHILE-CLP	SAN	11/20/08	792 46	30 479	52 64	1,368 64	576 18 ST		
8	ADR		10/22/09	447 92	55 99	52 64	421 12	(26.80) ST		
34				**1,240 38**	**36 482**		**1,789 76**	**549 38**	**3 022**	**54 09**
61	BANCOLOMBIA SA	CIB	10/23/07	2,137.44	35 04	39 58	2,414 38	276.94 LT		
18	BANCOLOMBIA C-COP		10/22/09	765 18	42.51	39 58	712 44	(52 74) ST		
79				**2,902 62**	**36 742**		**3,126 82**	**224 20**	**3.14**	**98 20**
85	CENTRAIS ELETRICAS BRASILEIRAS SPON ADR	EBR	04/28/09	**1,105 65**	13 007	14 34	**1,218 90**	**113 25** ST	**1.241**	**15 13**
83	CHINA MOBILE LTD	CHL	10/23/07	8,165 54	98 38	46 73	3,878 59	(4,286 95) LT		
17	SPONSORED ADR		07/16/08	1,133 33	66 666	46 73	794 41	(338 92) LT		
26			05/05/09	1,234 71	47 488	46 73	1,214 98	(19 73) ST		
38			10/22/09	1,881 00	49 50	46 73	1,775 74	(105 26) ST		
164				**12,414 58**	**75 699**		**7,663 72**	**(4,750 86)**	**3 415**	**261 74**

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HARRY DENT FAMILY FDTN., INC. **Account number 356-73257-11 346**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
34	CHINA PETROLEUM&CHEM ADR	SNP	10/23/07	$ 5,260 14	$ 154 71	$ 84 36	$ 2,868 24	($ 2,391 90) LT		
1			02/21/08	110 15	110 15	84 36	84 36	(25 79) LT		
11			10/22/09	955 57	86.87	84 36	927 96	(27 61) ST		
46				**6,325 86**	**137.519**		**3,880.56**	**(2,445.30)**	**2.452**	**95.17**
52	COMPANHIA SIDERURGICA NACIONAL SPONSORED ADR REPSTG ORD SHS -USD-	SID	02/12/08	1,792 53	34.471	33.16	1,724.32	(68 21) LT		
36			05/08/09	771 05	21 418	33 16	1,193 76	422 71 ST		
26			10/22/09	960 96	36 96	33 16	862 16	(98.80) ST		
114				**3,524.54**	**30 917**		**3,780 24**	**255.70**	**3 576**	**135.20**
87	COMPANHIA ENERGETICA DE MINAS SP ADR	CIG	10/23/07	1,395 10	16 176	15 79	1,373 73	(21.37) LT		
27			10/22/09	446 85	16 55	15 79	426 33	(20 52) ST		
114				**1,841.95**	**16.157**		**1,800.06**	**(41.89)**	**4.509**	**81.17**
23	COMPANHIA DE BEBIDAS DAS AMERS SPONS ADR	ABV	02/12/08	1,804 81	78 469	90 08	2,071.84	267.03 LT		
6			10/22/09	569 82	94 97	90 08	540 48	(29.34) ST		
29				**2,374 63**	**81.884**		**2,612 32**	**237 69**	**1 012**	**26 45**
29	DBS GROUP HLDG LTD SP ADR	DBSDY	08/12/08	1,529 17	52.73	36 51	1,058 79	(470 38) LT		
16			09/15/08	730 42	45.651	36 51	584 16	(146.26) LT		
45			03/26/09	1,041 54	23 145	36 51	1,642 95	601 41 ST		
27			10/22/09	1,009 80	37 40	36 51	985 77	(24 03) ST		
117				**4,310 93**	**36 846**		**4,271 67**	**(39.26)**	**4 59**	**196.09**
205	DATANG INTL PWR GENERATION SPONSORED ADR	DIPGY	10/23/07	4,817 50	23.50	9 40	1,927 00	(2,890 50) LT		
50			05/07/08	677 50	13 55	9.40	470 00	(207.50) LT		
75			10/22/09	797 25	10 63	9 40	705 00	(92 25) ST		
330				**6,292 25**	**19 067**		**3,102 00**	**(3,190 25)**	**2 872**	**89.10**
170	DOCTOR REDDY'S LABORATORY LIMITED ADR	RDY	10/23/07	2,771 00	16 30	21 73	3,694 10	923 10 LT		
65			08/08/08	963 35	14 82	21 73	1,412 45	449 10 LT		
69			10/22/09	1,339 98	19 42	21 73	1,499 37	159 39 ST		
304				**5,074 33**	**16 692**		**6,605 92**	**1,531 59**	**506**	**33 44**
52	ECOPETROL SA ADR	EC	10/13/08	1,128 63	21 704	25 79	1,341 08	212 45 LT		
15			10/22/09	424 50	28 30	25 79	386.85	(37 65) ST		
67				**1,553 13**	**23 181**		**1,727 93**	**174 80**	**4.086**	**70 62**
164	EMPRESAS ICA S.A DE C V SPONSORED ADR-NEW	ICA	03/26/09	1,075 68	6 559	8.72	1,430 08	354 40 ST		
49			10/22/09	520 38	10.62	8 72	427 28	(93 10) ST		
213				**1,596 06**	**7 493**		**1,857 36**	**261 30**		

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HARRY DENT FAMILY FDTN., INC. **Account number 356-73257-11 346**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
122	ENERSIS S A SPONSORED ADR	ENI	10/23/07	$ 2,318 00	$ 19 00	$ 17 68	$ 2,156 96	($ 161 04) LT		
36	-USD-		10/22/09	668 52	18 57	17 68	636 48	(32 04) ST		
158				**2,986.52**	**18.902**		**2,793 44**	**(193.08)**	**2.024**	**56 56**
52	FOMENTO ECONOMICO MEXICANO	FMX	10/23/07	2,002 00	38 50	43 31	2,252 12	250 12 LT		
15	S A B DE CV SPONS ADR		10/22/09	662 85	44 19	43 31	649 65	(13.20) ST		
67				**2,664 85**	**39.774**		**2,901.77**	**236 92**	**.831**	**24 12**
69	GAZPROM OAO SPONS ADR	OGZPY	10/23/07	3,198 15	46 35	23 63	1,630 47	(1,567.68) LT		
35			05/07/08	1,952 24	55 778	23 63	827 05	(1,125 19) LT		
52			10/13/08	938 60	18 05	23 63	1,228 76	290 16 LT		
46			10/22/09	1,221 76	26 56	23 63	1,086 98	(134 78) ST		
202				**7,310.75**	**36 192**		**4,773.26**	**(2,537.49)**	**.139**	**6.67**
72	GRUPO TELEVISA SA DE CV	TV	04/28/09	1,099 94	15.277	19.36	1,393 92	293 98 ST		
21	SPON ADR		10/22/09	427 77	20 37	19.36	406 56	(21.21) ST		
93				**1,527.71**	**16 427**		**1,800 48**	**272 77**	**795**	**14 32**
106	GRUPO FIN BANORTE SPON ADR	GBOOY	06/25/09	1,303 80	12.30	17.30	1,833 80	530 00 ST		
33			10/22/09	586 08	17 76	17.30	570 90	(15.18) ST		
139				**1,889 88**	**13 596**		**2,404 70**	**514 82**	**.352**	**8.48**
39	HDFC BANK LTD ADR	HDB	10/23/07	4,504 50	115 50	110 61	4,313 79	(190 71) LT		
12			10/22/09	1,429 08	119 09	110 61	1,327 32	(101 76) ST		
51				**5,933.58**	**116.345**		**5,641.11**	**(292.47)**	**.541**	**30.55**
36	HUANENG POWER INTL SP ADR	HNP	12/05/08	905 32	25 147	25 51	918 36	13 04 ST		
38			03/26/09	1,004 23	26.427	25 51	969 38	(34 85) ST		
22			10/22/09	636 02	28.91	25 51	561 22	(74 80) ST		
96				**2,545 57**	**26 516**		**2,448 96**	**(96 61)**	**2 214**	**54 24**
121	IMPALA PLATINUM HOLDINGS	IMPUY	10/23/07	4,198 70	34 70	22 00	2,662 00	(1,536 70) LT		
35	LTD SPONSORED ADR		10/22/09	796 95	22 77	22 00	770 00	(26 95) ST		
156				**4,995 65**	**32 023**		**3,432 00**	**(1,563 65)**	**1 609**	**55 22**
46	INFOSYS TECHNOLOGIE SP ADR	INFY	10/23/07	2,292 41	49 835	46 00	2,116 00	(176 41) LT		
20			05/07/08	875 20	43 76	46 00	920 00	44 80 LT		
24			08/08/08	987 58	41 149	46 00	1,104 00	116 42 LT		
28			10/22/09	1,340 08	47.86	46 00	1,288 00	(52 08) ST		
118				**5,495 27**	**46.57**		**5,428 00**	**(67.27)**	**993**	**53 93**
88	ITAU UNIBANCO BANCO HLDG	ITUB	05/05/09	1,224 00	13 909	19 14	1,684 32	460 32 ST		
26	S A ADR		10/22/09	542 62	20 87	19 14	497 64	(44 98) ST		
114				**1,766 62**	**15.497**		**2,181 96**	**415 34**	**334**	**7.30**

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Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
159	JSC MMC NORILSK NICKEL JSC	NILSY	10/23/07	$ 4,523 55	$ 28 45	$ 12 82	$ 2,038 38	($ 2,485 17) LT		
45	SON ADR		10/22/09	672 75	14 95	12 82	576 90	(95 85) ST		
204				**5,196.30**	**25.472**		**2,615.28**	**(2,581.02)**	**5 678**	**148.51**
541	JOHNSON ELECTRIC HLDG SPON	JELCY	09/15/08	2,211 39	4 087	4 33	2,342 53	131 14 LT		
159	ADR -USD-		10/22/09	747 30	4 70	4 33	688 47	(58 83) ST		
700				**2,958 69**	**4 227**		**3,031 00**	**72.31**	**3 464**	**105.00**
40	KB FINANCIAL GROUP INC ADR	KB	10/23/07	3,192 80	79 82	47 44	1,897 60	(1,295.20) LT		
30			07/29/08	1,700 11	56 67	47 44	1,423 20	(276 91) LT		
36			05/08/09	1,348 48	37 457	47 44	1,707 84	359 36 ST		
8			09/08/09	239 58		47 44	379 52	139 94 ST		
37			10/22/09	1,922 52	51 96	47 44	1,755 28	(167 24) ST		
151				**8,403 49**	**55.652**		**7,163.44**	**(1,240.05)**	**4.325**	**309.85**
36	MOBILE TELESYSTEMS OJSC	MBT	10/23/07	2,750.76	76 41	45.30	1,630 80	(1,119 96) LT		
11	SPON ADR		10/22/09	597 41	54 31	45 30	498 30	(99 11) ST		
47				**3,348.17**	**71 238**		**2,129.10**	**(1,219 07)**	**5.975**	**127.23**
90	NASPERS LTD SPON ADR	NPSNY	02/12/08	1,696 50	18 85	36.00	3,240 00	1,543.50 LT		
26			10/22/09	977 34	37 59	36 00	936 00	(41 34) ST		
116				**2,673.84**	**23 05**		**4,176 00**	**1,502.16**	**.708**	**29 58**
59	NEDBANK GROUP LTD	NDBKY	08/12/08	1,615 23	27 376	30 15	1,778 85	163 62 LT		
2	SPONSORED ADR		03/31/09	36 38	18 19	30 15	60 30	23 92 ST		
59			05/08/09	1,240 62	21 027	30 15	1,778 85	538 23 ST		
37			10/22/09	1,245 79	33 67	30 15	1,115 55	(130 24) ST		
157				**4,138 02**	**26 357**		**4,733.55**	**595 53**	**3 976**	**188 24**
143	NET SERVICOS DE COMUNICACA	NETC	05/08/09	1,196 20	8 365	12 29	1,757 47	561 27 ST		
43	S A NEW ADR		10/22/09	573 62	13 34	12 29	528 47	(45 15) ST		
186				**1,769 82**	**9.515**		**2,285.94**	**516.12**	**.179**	**4 09**
28	LUKOIL OIL SPONS ADR	LUKOY	10/23/07	2,361 80	84 35	57 21	1,601 88	(759 92) LT		
12			05/08/09	592 20	49 35	57 21	686 52	94 32 ST		
12			10/22/09	785 04	65 42	57 21	686 52	(98 52) ST		
52				**3,739 04**	**71 905**		**2,974 92**	**(764 12)**	**1 09**	**32 45**
76	POLYUS GOLD ADR	OPYGY	10/23/07	1,700 50	22.375	26 67	2,026 92	326 42 LT		
23	ADR		10/22/09	683 56	29 72	26 67	613 41	(70 15) ST		
99	USD			**2,384 06**	**24 081**		**2,640 33**	**256.27**	**288**	**7 62**

ATTACHMENT H

HARRY DENT FAMILY FOUNDATION
EIN. 16-0849923

MorganStanley SmithBarney

Reserved
Client Statement
October 1 - October 31, 2009

HARRY DENT FAMILY FDTN., INC. *Account number 356-73257-11 346*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
35	ORASCOM CONSTRUCTION INDUSTRIE-SPOM GDR REG S	ORSDF	06/15/09	$ 1,272 60	$ 36 36	$ 49 25	$ 1,723 75	$ 451 15 ST		
11			10/22/09	539 00	49 00	49 25	541 75	2 75 ST		
46				**1,811 60**	**39.383**		**2,265.50**	**453.90**	**4 02**	**91.08**
19	POSCO SPON ADR	PKX	10/23/07	3,111 06	163 74	102 12	1,940 28	(1,170 78) LT		
1			02/21/08	134 08	134 08	102 12	102.12	(31 96) LT		
9			05/08/09	791 89	87 988	102 12	919 08	127.19 ST		
8			10/22/09	902 08	112 76	102 12	816 96	(85 12) ST		
37				**4,939 11**	**133 489**		**3,778 44**	**(1,160 67)**	**1 273**	**48 10**
11	PETROCHINA CO LTD ADR	PTR	02/12/08	1,610 68	146 425	120 04	1,320 44	(290 24) LT		
6			05/07/08	880 86	146 81	120 04	720 24	(160 62) LT		
5			10/22/09	652 68	130 535	120 04	600 20	(52 48) ST		
22				**3,144.22**	**142.919**		**2,640 88**	**(503.34)**	**2.972**	**78.50**
88	PETROBRAS	PBR	10/23/07	3,597.00	40 875	46 22	4,067 36	470 36 LT		
4			02/21/08	238 66	59.665	46 22	184 88	(53 78) LT		
53			10/13/08	1,536 77	28.995	46 22	2,449 66	912 89 LT		
43			10/22/09	2,148 28	49 96	46.22	1,987 46	(160 82) ST		
188				**7,520 71**	**40 004**		**8,689 36**	**1,168 65**	**.77**	**66.93**
17	PT INDOSAT-ADR-USD	IIT	10/23/07	779 28	45 84	26 50	450 50	(328 78) LT		
3			02/21/08	122 43	40 81	26 50	79 50	(42 93) LT		
20				**901.71**	**45.086**		**530 00**	**(371.71)**	**2 735**	**14.50**
70	SK TELECOM LTD SPON ADR	SKM	10/23/07	**2,199 40**	31.42	16 71	**1,169.70**	**(1,029.70)** LT	**3 524**	**41.23**
36	SASOL LTD SPONS ADR	SSL	02/12/08	1,779 50	49 43	37.39	1,346 04	(433 46) LT		
28			07/29/08	1,443 60	51 557	37 39	1,046 92	(396 68) LT		
19			10/22/09	781.66	41 14	37 39	710 41	(71 25) ST		
83				**4,004 76**	**48 25**		**3,103 37**	**(901 39)**	**2.901**	**90 06**
19	SINOPEC SHANGHAI SPONS ADR	SHI	10/23/07	**1,622 79**	85 41	39 36	**747 84**	**(874 95)** LT	**3.305**	**24.72**
472 6791	TAIWAN SEMICONDUCTOR MFG CO LTD ADR	TSM	10/23/07	4,638 25	9 834	9 54	4,509 36	(128 89) LT		
90 3209			08/08/08	927 63	10 293	9 54	861 66	(65 97) LT		
167			10/22/09	1,696.39	10 158	9 54	1,593 18	(103 21) ST		
730				**7,262 27**	**9 948**		**6,964 20**	**(298.07)**	**3.815**	**265 72**
78	TELE NORTE LESTE PARTICIPACOES *TLPP,-BRL SPONSORED ADR REPSTG PFD	TNE	10/23/07	1,717 56	22 02	19 06	1,486 68	(230 88) LT		
23			10/22/09	448 73	19.51	19 06	438 38	(10 35) ST		
101				**2,166 29**	**21.448**		**1,925 06**	**(241 23)**	**12 376**	**238 26**

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Reserved Client Statement

October 1 - October 31, 2009

HARRY DENT FAMILY FDTN., INC. Account number 356-73257-11 346

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
132	TELECOM ARGENTINA S A	TEO	03/26/09	$ 1,043 76	$ 7 907	$ 16 90	$ 2,230 80	$ 1,187 04 ST		
39	ADR		10/22/09	689 13	17 67	16 90	659 10	(30 03) ST	-	
171				**1,732.89**	**10 134**		**2,889 90**	**1,157.01**	**6.42**	**185.54**
20	TELEFONOS DE MEXICO SP ADR	TMX	10/23/07	414.99	20 749	16 61	332 20	(82 79) LT		
49	SPONSORED ADR REPSTG SH SR L		08/08/08	1,230 83	25.119	16 61	813 89	(416 94) LT		
69				**1,645 82**	**23 852**		**1,146 09**	**(499 73)**	**3.847**	**44 09**
14	TENARIS S A	TS	10/23/07	718 06	51.29	35 62	498 68	(219 38) LT		
25	SPONSORED ADR		09/15/08	1,133 48	45 339	35 62	890 50	(242 98) LT		
12			10/22/09	477 36	39.78	35 62	427 44	(49 92) ST		
51				**2,328 90**	**45 665**		**1,816 62**	**(512 28)**	**2.414**	**43 86**
59	TEVA PHARMACEUTICAL INDS	TEVA	10/23/07	2,614 29	44.31	50.48	2,978 32	364 03 LT		
2	LTD ADR		02/21/08	95 86	47 93	50 48	100 96	5.10 LT		
19			10/22/09	965 58	50 82	50 48	959 12	(6 46) ST		
80				**3,675.73**	**45 947**		**4,038.40**	**362 67**	**.442**	**17 89**
75	TIGER BRANDS LTD	TBLMY	03/26/09	1,084.47	14 459	20 14	1,510 50	426 03 ST		
22	SPONSORED ADR NEW		10/22/09	460.24	20 92	20 14	443 08	(17 16) ST		
97				**1,544.71**	**15.925**		**1,953.58**	**408 87**	**3.922**	**76 63**
129	VALE SA SPON ADR	VALEP	10/23/07	3,648 12	28 28	23 10	2,979 90	(668 22) LT		
6	REPSTG 1 CLASS A PFD		02/21/08	176 76	29 46	23 10	138 60	(38 16) LT		
44			09/15/08	873 40	19 85	23 10	1,016 40	143 00 LT		
52			10/13/08	633 26	12 178	23 10	1,201 20	567 94 LT		
68			10/22/09	1,632 00	24.00	23 10	1,570 80	(61 20) ST		
299				**6,963 54**	**23 289**		**6,906.90**	**(56 64)**	**.974**	**67 28**
45	WAL-MART DE MEXICO SA DE	WMMVY	03/14/08	1,687 50	37 50	34 81	1,566 45	(121 05) LT		
14	CV SPON ADR		10/22/09	523 32	37 38	34 81	487 34	(35 98) ST		
59				**2,210 82**	**37 472**		**2,053 79**	**(157 03)**	**1.255**	**25 78**
220	YANZHOU COAL MINING CO LTD	YZC	10/23/07	4,619 56	20 998	15 30	3,366 00	(1,253 56) LT		
65	SPONS ADR REPSTG H SHS		10/22/09	1,017 90	15 66	15 30	994 50	(23 40) ST		
285				**5,637.46**	**19 781**		**4,360.50**	**(1,276 96)**	**3 444**	**150.20**
Total common stocks and options				**$ 211,939.04**			**$ 190,262.00**	**$ 4,448.43 ST** **($ 26,125.47) LT**	**2.33**	**$ 4,439.84**
Total portfolio value				**$ 218,599 34**			**$ 196,922.30**	**$ 4,448.43 ST** **($ 26,125.47) LT**	**2.25**	**$ 4,443.83**

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HARRY DENT[illegible]ILY FOUNDATION
EIN: 16-0849923

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Client Statement

October 1 - October 31, 2009

WESTERN ASSET

HARRY DENT FAMILY FDTN., INC.   Account number 356-01090-13 346

GAIN/LOSS DETAILS

Realized gain or loss

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
AT&T INC	09/19/07	15,000	$ 15,466 80	$ 103 11	106 466	$ 503.10 LT	$ 515.55 LT	$ 515 55
DTD 08/31/2007	10/13/09 Sold		$ 15,454 35	$ 103 02	15,969.90			$ 0 00
DUE 09/01/2037 RATE 6 500	02/02/09	10,000	10,236 00	102 36	106 466	410 60 ST	413 10 ST	413 10
YTM 6 018	10/13/09 Sold		10,233.50	102 33	10,646 60			0 00
	Total	25,000	$ 25,702 80		$ 26,616 50	$ 913 70	$ 928 65	$ 928.65
								$ 0.00
ANADARKO PETROLEUM C	09/25/06	25,000	25,604 50	102 41	102 909	122.75 LT	148.00 LT	148 00
DTD 09/19/2006	10/26/09 Sold		25,579.25	102.31	25,727 25			0.00
DUE 09/15/2036 RATE 6 450								
YTM 6 225								
BAXTER INTL INC	12/12/07	15,000	15,010 64	100 07	112 514	1,866.46 LT	1,867.80 LT	1,867 80
DTD 12/07/2007	10/13/09 Sold		15,009.30	100 06	16,877 10			0 00
DUE 12/01/2027 RATE 6 250								
YTM 5 177								

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HARRY DENT ILY FOUNDATION
EIN: 16-084992

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October 1 - October 31, 2009

WESTERN ASSET

HARRY DENT FAMILY FDTN., INC. **Account number 356-01090-13 346**

Realized gain or loss *continued*

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
BEAR STEARNS CO INC DTD 10/02/2007 DUE 10/02/2017 RATE 6.400 YTM 5.364	12/12/07 08/13/09 Sold	15,000	$ 14,743 05 $ 14,743.05	$ 98 28 $ 98 28	106 741 16,011 15	$ 1,268.10 LT	$ 1,268 10 LT	$ 1,268 10 $ 0.00
BELLSOUTH TELECOMMUNICATION DTD 09/13/2004 DUE 09/15/2009 RATE 4.200	08/22/07 09/15/09 Redemption	15,000	14,730 90 14,730.90	98 20 98 20	 15,000 00	269 10 LT	269.10 LT	0.00 269 10
	05/21/08 09/15/09 Redemption	35,000	35,197 75 35,000.00	100 56 100 00	 35,000.00	(197.75) LT	0.00 LT	0 00 0.00
	Total	50,000	$ 49,928 65		$ 50,000 00	$ 71 35	$ 269.10	$ 0.00 $ 269.10
CHASE MANHATTAN CORP DTD 06/09/2000 GLOBAL BOND DUE 06/15/2010 RATE 7 875	07/11/00 08/13/09 Sold	50,000	50,444 00 50,043.00	100 88 100 08	105 051 52,525 50	2,081 50 LT	2,482.50 LT	2,482.50 0.00
CONOCO FUNDING CO DTD 10/11/01 DUE 10/15/2011 RATE 6 350 YTM 1 346	10/17/06 10/13/09 Sold	25,000	26,134 50 25,490.25	104 53 101 96	109 828 27,457.00	1,322.50 LT	1,966.75 LT	1,966 75 0.00
FHLMC PL#G01979 DTD 12/01/2005 DUE 12/01/2035 RATE 5 000 YIELDS VARY DUE TO CHANGES IN PREPAYMENT SPEEDS SPECIFICS	01/19/06 04/23/09 Sold	50,000	35,737.29	97 35	102 937 38,144 17	2,406 88 LT	2,406 88 LT	2,406 88 0 00
FHLMC PL#G04448 DTD 06/01/2008 DUE 07/01/2038 RATE 5 500 YIELDS VARY DUE TO CHANGES IN PREPAYMENT SPEEDS SPECIFICS	09/19/08 02/10/09 Sold	50,000	47,805 55	100 78	102 515 48,611.58	806 03 ST	806 03 ST	806 03 0 00
FEDERAL HOME LOAN BANK CONS BONDS-BK/ENTRY DTD 06/11/2007 DUE 06/13/2014 RATE 5 375	04/27/09 10/13/09 Sold	25,000	28,094 50 27,832.50	112 37 111 33	111 78 27,945.00	(149 50) ST	112 50 ST	112 50 0.00
FEDERAL FARM CREDIT BANK CONS BDS BOOK/ENTRY DTD 09/30/2008 DUE 10/07/2013 RATE 3 875	06/11/09 07/17/09 Sold	25,000	25,802 50 25,784.25	103 21 103 13	104 29 26,072 50	270 00 ST	288 25 ST	288 25 0.00
FEDERAL FARM CR BKS DTD 08/16/2006 DUE 08/16/2021 RATE 5 875 YIELD/CL 3 548 081613 100 000	02/20/09 04/27/09 Sold	50,000	54,700 00 54,647.50	109 40 109 29	109 20 54,600 00	(100 00) ST	(47 50) ST	(47 50) 0.00

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HARRY DENT FAMILY FOUNDATION
EIN 16-0849923

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Client Statement

October 1 - October 31, 2009

WESTERN ASSET

HARRY DENT FAMILY FDTN., INC. *Account number 356-01090-13 346*

Realized gain or loss *continued*

Description	Original Trade Date/ Closing Trade Date		Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)		Adjusted Realized gain/(loss)		Capital gain/(loss)/ Ordinary Income
FEDERAL HOME LOAN MTG CORP	03/10/06		25,000	$ 23,644 00	$ 94 57	107 258	$ 3,170.50	LT	$ 3,170 50	LT	$ 2,816 50
GLOBAL DEBS BOOK/ENTRY	01/30/09	Sold		$ 23,644.00	$ 94 57	26,814 50					$ 354.00
DTD 07/14/2005											
DUE 07/17/2015 RATE 4 375											
FEDERAL HOME LOAN MTG CORP	05/03/07		25,000	24,017 88	96 07	108 063	2,998.07	LT	2,998 07	LT	2,745 94
GLOBAL DEBS BOOK/ENTRY	10/13/09	Sold		24,017.88	96 07	27,015 95					252.13
DTD 07/14/2005	11/26/07		10,000	9,896 03	98 96	108 063	910.35	LT	910.35	LT	910 35
DUE 07/17/2015 RATE 4.375	10/13/09	Sold		9,896.03	98 96	10,806 38					0.00
	Total		60,000	$ 57,557.91		$ 64,636 83	$ 7,078.92		$ 7,078.92		$ 6,472 79
											$ 606.13
FEDERAL NATL MTG ASSN GLOBAL	10/10/07		25,000	28,097 25	112 38	120 638	2,062 40	LT	2,226.65	LT	2,226.65
NOTES-BK/ENTRY	10/13/09	Sold		27,933.00	111 73	30,159 65					0.00
DTD 05/15/1999											
DUE 05/15/2029 RATE 6 250											
FEDERAL NATL MTG ASSN	01/30/09		25,000	26,004 75	104 01	106 80	695.25	ST	696 00	ST	696.00
DTD 04/18/2006	04/16/09	Sold		26,004.00	104 01	26,700 00					0.00
DUE 04/18/2036 RATE 6.000											
YIELD/CL 4 843 041816 100.000											
FEDERAL NATL MTG ASSN GLOBAL	12/22/03		25,000	24,852 52	99 41	107 809	2,099 73	LT	2,099.73	LT	2,099.73
DEBS-BK/ENTRY	03/06/09	Sold		24,852 52	99 41	26,952 25					0.00
DTD 09/23/2002											
DUE 09/15/2012 RATE 4 375											
FEDERAL NATL MTG ASSN GLOBAL	01/08/08		25,000	25,844 18	103 37	108 279	1,225 57	LT	1,453 75	LT	1,453.75
DEBS-BK/ENTRY	05/12/09	Sold		25,616 00	102 46	27,069.75					0 00
DTD 09/23/2002	Total		50,000	$ 50,696 70		$ 54,022 00	$ 3,325 30		$ 3,553 48		$ 3,553 48
DUE 09/15/2012 RATE 4 375											$ 0 00
FEDERAL NATL MTG ASSN GLOBAL	05/06/09		25,000	28,164 75	112 65	112 324	(83 62)	ST	83.38	ST	83 38
DEBS-BK/ENTRY	10/13/09	Sold		27,997.75	111 99	28,081.13					0.00
DTD 08/17/2006											
DUE 09/15/2016 RATE 5 250											
FEDERAL NATL MTG ASSN	05/12/09		25,000	25,261 00	101 04	101 093	12 45	ST	25 95	ST	25 95
NOTES BK/ENTRY	10/08/09	Sold		25,247.50	100 99	25,273 45					0 00
DTD 03/10/2009											
DUE 03/10/2016 RATE 4 000											
FEDERAL NATL MTG ASSN MED	03/06/09		25,000	25,000 00	100 00	100 26	65 00	ST	65.00	ST	65 00
TERM NTS BOOK/ENTRY	06/11/09	Sold		25,000.00	100 00	25,065 00					0.00
DTD 03/19/2009											
DUE 03/19/2012 RATE 2 500											

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HARRY DENT ILY FOUNDATION
EIN. 16-084992

MorganStanley
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Client Statement
October 1 - October 31, 2009

WESTERN ASSET

HARRY DENT FAMILY FDTN., INC. *Account number 356-01090-13 346*

Realized gain or loss *continued*

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)		Adjusted Realized gain/(loss)		Capital gain/(loss)/ Ordinary Income
FNMA PL#888029 DTD 11/01/2006 DUE 12/01/2036 RATE 6 000 YIELD 5 30 10 23 YR AVG LIFE YIELDS VARY DUE TO CHANGES IN	06/08/07 05/13/09 Sold	55,000	**$ 33,701 98**	$ 98 68	105 00 **36,080 08**	$ 2,378 10	LT	$ 2,378.10	LT	$ 2,378 10 $ 0 00
FNMA PL#963138 DTD 04/01/2008 DUE 05/01/2023 RATE 5 000 YIELD 4.36 6.11 YR AVG LIFE YIELDS VARY DUE TO CHANGES IN	05/08/08 06/04/09 Sold	25,000	**20,743 83**	100 81	103 187 **21,196 56**	452.73	LT	452 73	LT	452 73 0.00
GNMA PL#672755X DTD 06/01/2008 DUE 06/15/2038 RATE 5 000 YIELD 4 75 10 71 YR AVG LIFE YIELDS VARY DUE TO CHANGES IN	12/10/08 01/29/09 Sold	25,000	**25,187 59**	102 09	102 093 **24,704 07**	(483.52)	ST	(483 52)	ST	(483 52) 0 00
GNMA PL#697858X DTD 02/01/2009 DUE 02/15/2039 RATE 6 000 YIELD 5 32 11.08 YR AVG LIFE YIELDS VARY DUE TO CHANGES IN	05/13/09 08/18/09 Sold	50,000	**42,123.44**	105 18	105 375 **41,847.48**	(275 96)	ST	(275 96)	ST	(275 96) 0 00
GLAXOSMITHKLINE CAP DTD 05/13/2008 DUE 05/15/2018 RATE 5 650 YTM 4 333	07/07/08 10/13/09 Sold	25,000	24,832 00 **24,832 00**	99 32 99 32	109 35 **27,337 50**	**2,505.50**	**LT**	**2,505 50**	**LT**	2,505 50 **0.00**
IBM CORP DTD 11/27/02 DUE 11/29/2012 RATE 4.750 YTM 2 605	02/27/03 06/25/09 Sold	25,000	25,725 00 **25,289 25**	102 90 101 15	106 961 **26,740 25**	**1,015.25**	**LT**	**1,451.00**	**LT**	1,451.00 **0.00**
JPMORGAN CHASE & CO BOOK/ENTRY DTD 04/23/2009 DUE 04/23/2019 RATE 6 300	04/22/09 10/13/09 Sold	15,000	14,877 30 **14,877.30**	99 18 99 18	109 592 **16,438.80**	**1,561.50**	**ST**	**1,561.50**	**ST**	1,561 50 **0.00**
JP MORGAN CHASE COMMERCIAL SEC REMIC SER 2006-CB15 CLASS A4 5 814% DTD 06/01/2006 MTY 06/12/2043	08/07/06 07/21/09 Sold	50,000	**50,630 85**	101 26	90 328 **45,164 05**	(5,466 80)	LT	(5,466 80)	LT	(5,466 80) 0 00
PROCTER & GAMBLE CO DTD 11/25/2003 DUE 12/15/2015 RATE 4 850 YTM 3 540	02/08/05 02/18/09 Sold	25,000	25,583 25 **25,398 25**	102 33 101 59	107 861 **26,965.25**	**1,382 00**	**LT**	**1,567.00**	**LT**	1,567 00 **0 00**
UNITED MEXICAN STATES -USD BK/ENTRY DTD 3/3/03 DUE 03/03/2015 RATE 6 625 YTM 4 875	11/05/08 05/07/09 Sold	25,000	24,475 00 **24,475 00**	97 90 97 90	108 75 **27,187 50**	**2,712 50**	**ST**	**2,712 50**	**ST**	2,678 75 **33 75**

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HARRY DENT FAMILY FOUNDATION
EIN. 16-0849923

Reserved Client Statement

October 1 - October 31, 2009

WESTERN ASSET

HARRY DENT FAMILY FDTN., INC. **Account number 356-01090-13 346**

Realized gain or loss *continued*

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)		Adjusted Realized gain/(loss)		Capital gain/(loss)/ Ordinary Income
UNITED PARCEL SERVICE NOTES BK/ENTRY DTD 03/24/2009 DUE 04/01/2014 RATE 3.875	03/20/09 05/01/09 Sold	50,000	$ 50,183 00 $ 50,178 00	$ 100 36 $ 100 35	103 014 51,507.00	$ 1,324 00	ST	$ 1,329.00	ST	$ 1,329 00 $ 0 00
U S TREASURY BONDS OF 2015 DTD 11/29/1985 DUE 11/15/2015 RATE 9 875 YIELD 2 642MTY	05/15/87 10/13/09 Sold	40,000	44,050 00 41,811.20	110 12 104 52	140 421 56,168.76	12,118 76*	LT	14,357 56*	LT	14,357 56 0 00
U S TREASURY BONDS DTD 02/15/1999 DUE 02/15/2029 RATE 5 250 YIELD 3.901MTY	10/23/08 02/05/09 Sold	65,000	72,840 63 72,774 65	112 06 111 96	118 628 77,108 79	4,268.16	ST	4,334 14	ST	4,334 14 0 00
U S TREASURY BOND SER MAY 2038 DTD 05/15/08 DUE 05/15/2038 RATE 4 500 YIELD 3 718MTY	02/05/09 04/21/09 Sold	25,000	28,871 10 28,856.25	115 48 115 42	113 824 28,456 05	(415 05)	ST	(400.20)	ST	(400 20) 0.00
U S TREASURY NOTES SER B-2015 DTD 02/15/2005 DUE 02/15/2015 RATE 4 000 YIELD 2 419MTY	01/10/06 10/13/09 Sold	25,000	24,242 20 24,242.20	96 96 96 96	107 867 26,966.80	2,724.60	LT	2,724 60	LT	2,448 05 276.55
U S TREASURY NOTES SER F 2016 DTD 11/15/2006 DUE 11/15/2016 RATE 4 625 YIELD 2 703MTY	01/29/07 05/06/09 Sold	75,000	73,429 73 73,429.73	97 90 97 90	113 00 84,750 00	11,320 27	LT	11,320 27	LT	11,320 27 0.00
U S TREASURY NOTES SER G-2012 DTD 02/28/2007 DUE 02/29/2012 RATE 4 625 YIELD 1 086MTY	03/27/07 10/13/09 Sold	25,000	25,148 45 25,074 75	100 59 100 29	108 285 27,071.30	1,922 85	LT	1,996.55	LT	1,996.55 0.00
UNITED TECHNOLOGIES BDS BK/ENTRY DTD 05/16/08 DUE 07/15/2038 RATE 6 125	02/02/09 10/13/09 Sold	25,000	26,179 50 26,166.75	104 71 104 66	111 602 27,900.50	1,721.00	ST	1,733.75	ST	1,733.75 0 00
WACHOVIA CORP SUB NOTES BK/ENTRY-DTD 02/06/2004 DUE 02/15/2014 RATE 4 875 YTM 4 231	08/01/05 10/13/09 Sold	25,000	24,849 75 24,849 75	99 39 99 39	102 518 25,629 50	779 75	LT	779.75	LT	779 75 0 00
WAL MART STORES INC NOTES BK/ENTRY DTD 08/10/1999 DUE 08/10/2009 RATE 6 875	10/27/00 03/12/09 Sold	50,000	49,814 00 49,814 00	99 62 99 62	102 122 51,061 00	1,247 00	LT	1,247.00	LT	1,247 00 0 00

MorganStanley
SmithBarney

HARRY DENT FAMILY FOUNDATION
EIN: 16-0849923

Reserved Client Statement

October 1 - October 31, 2009

WESTERN ASSET

HARRY DENT FAMILY FDTN., INC. **Account number 356-01090-13 346**

Realized gain or loss *continued*

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
WELLS FARGO & CO	06/28/04	25,000	$ 24,041 25	$ 96 16	90 032	($ 1,533 25) LT	($ 1,533.25) LT	($ 1,533 25)
DTD 11/06/2002	04/22/09 Sold		$ 24,041.25	$ 96 16	22,508 00			$ 0 00
DUE 11/15/2014 RATE 5 000								
YTM 7 210								
WYETH NOTES-BK/ENTRY	03/23/07	25,000	24,830 25	99 32	102 987	916 50 LT	916 50 LT	916 50
DTD 03/27/2007	06/17/09 Sold		24,830 25	99 32	25,746.75			0 00
DUE 04/01/2037 RATE 5 950	05/21/08	25,000	24,524 50	98 09	102 987	1,222 25 LT	1,222 25 LT	1,222.25
YTM 5 733	06/17/09 Sold		24,524.50	98 09	25,746.75			0.00
	Total	50,000	$ 49,354 75		$ 51,493 50	$ 2,138.75	$ 2,138.75	$ 2,138.75
								$ 0.00
Total Long Term this period						$ 29,837.09	$ 32,997 13	
Total Short Term this period						$ 3,472.43	$ 3,930.18	
Total realized gain or (loss) this period			$ 416,545.26		$ 453,472.57	$ 33,309.52	$ 36,927.31	
Total realized Ordinary income this period								$ 528.68
Total realized Capital gain or (loss) this period								$ 36,398.63
Total Long Term year-to-date						$ 55,094 77	$ 59,702.49	
Total Short Term year-to-date						$ 12,338 84	$ 12,953 92	
Total realized gain or (loss) year-to-date			$ 1,416,152.14		$ 1,488,808.55	$ 67,433.61	$ 72,656.41	

ATTACHMENT I

HARRY DENT FAMILY FOUNDATION
EIN 16-0849923

citi smith barney

Harry Dent Family Fdtn., Inc.
Delaware International
Attn: Jane E. Gailey
P.O. Box 506
Lewiston NY 14092-0506

Realized Gain/Loss - Summary
(11/01/2008 - 10/31/2009)

Prepared by ARTHURS MALOF HULSHOFF GROUP
Ph. 716 849 4834

As of 11/12/2009

Acct. 356-20272-13

Short Term

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
BARRICK GOLD CORP CAD	ABX	141.000	$5,433.53	$5,144.95	($288.58)
ALLIANZ SE ADR	AZSEY	1,003.000	8,580.42	11,815.03	3,234.61
BAE SYSTEMS PLC SPON ADR	BAESY	22.000	489.97	462.00	(27.97)
BANCO BILBAO VIZCAYA-SP	BBV	485.000	8,711.03	8,875.27	164.24
BARCLAYS PLC-ADR	BCS	362.000	4,254.67	8,068.77	3,814.10
BHP BILLITON LTD SPONS	BHP	80.000	4,460.84	5,751.85	1,291.01
BNP PARIBAS SPON ADR	BNPQY	47.000	938.05	1,894.05	956.00
ANHEUSER-BUSCH INBEV SPONS	BUD	79.000	2,944.69	3,838.51	893.82
CREDIT SUISSE GROUP ADR	CS	179.000	7,076.61	9,714.08	2,637.47
ESPIRIT HOLDGS LTD UNSPON	ESHDY	499.000	6,738.35	6,821.15	82.80
FANUC LTD JAPAN-JPY	FANUY	115.000	4,736.69	4,918.42	181.73
HSBC HLDG PLC SP ADR NEW	HBC	176.000	7,624.30	9,809.98	2,185.68
HEINEKEN N V ADR -USD-	HINKY	124.000	1,724.60	2,749.00	1,024.40
HOYA CORP SPONSORED ADR	HOCPY	35.000	642.25	748.29	106.04
IMPERIAL TOBACCO GROUP PLC	ITYBY	18.000	868.66	1,074.57	205.91
LVMH MOET HENNESSY LOUIS	LVMUY	269.000	4,226.77	5,648.85	1,422.08
LLOYDS TSB GRP PLC SP ADR	LYG	1,573.000	10,948.83	8,479.84	(2,468.99)
MITSUBISHI EST CO LTD ADR	MITEY	29.000	4,436.64	4,305.51	(131.13)
MERCK KGAA ADR	MKGAY	96.000	2,475.27	3,141.99	666.72
NOKIA CORP SPONSORED ADR	NOK	227.000	2,629.11	2,973.62	344.51
NOVO-NORDISK A S ADR	NVO	85.000	4,000.51	5,540.15	1,539.64
PRUDENTIAL PLC ADR	PUK	524.000	6,356.40	10,406.37	4,049.97
RECKITT BENCKISER GP ADR	RBGPY	351.000	2,728.62	3,492.36	763.74
ROYAL BK SCOTLAND GROUP	RBS	71.000	6,068.33	487.97	(5,580.36)
ROCHE HLDG LTD SPON ADR	RHHBY	23.000	655.18	923.65	268.47
SAP AG SPONS ADR-USD	SAP	69.000	3,410.84	3,523.73	112.89
SOCIETE GENERALE SPON ADR	SCGLY	1,316.000	0.01	1,045.52	1,045.51

ATTACHMENT I

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HARRY DENT FAMILY FOUNDATION
EIN. 16-0849923

Harry Dent Family Fdtn., Inc.
Delaware International
Attn: Jane E. Gailey
P.O. Box 506
Lewiston NY 14092-0506

Realized Gain/Loss - Summary
(11/01/2008 - 10/31/2009)

Prepared by ARTHURS MALOF HULSHOFF GROUP
Ph. 716 849 4834

As of 11/12/2009

Acct. 356-20272-13

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
SUMITOMO MITSUI FINL GROUP	SMFJY	809.000	$2,801.81	$2,677.72	($124.09)
SANOFI-AVENTIS	SNY	171.000	5,318.69	6,627.79	1,309.10
BANCO SANTANDER S.A.	STD	617.000	0.01	113.53	113.52
SUN HUNG KAI PPTYS LTD	SUHJY	239.000	3,107.00	3,606.41	499.41
TESCO PLC SPONSORED ADR	TSCDY	139.000	2,285.52	2,706.26	420.74
UBS AG (NEW)	UBS	382.000	7,217.24	6,650.44	(566.80)
ZURICH FINCL SVCS SPON ADR	ZFSVY	19.000	245.80	454.47	208.67
Short Term Totals:		**10,374.000**	**$134,137.24**	**$154,492.10**	**$20,354.86**
Long Term					
HBOS PLC	42205M106001	743.000	$13,296.68	$676.20	($12,620.48)
ALLIANZ SE ADR	AZSEY	39.000	456.64	459.41	2.77
BAE SYSTEMS PLC SPON ADR	BAESY	255.000	8,187.22	5,354.85	(2,832.37)
BAYER A G SPONSORED ADR	BAYRY	3.000	124.59	161.87	37.28
BNP PARIBAS SPON ADR	BNPQY	119.000	5,453.93	4,870.91	(583.02)
BP PLC SPONS ADR	BP	6.000	453.45	282.05	(171.40)
BRITISH AMERICAN TOBACCO	BTI	184.000	11,596.87	11,994.65	397.78
CANON INC ADR	CAJ	9.000	430.64	306.69	(123.95)
DEUTSCHE TELEKOM AG SP ADR	DT	27.000	434.91	309.75	(125.16)
ENI SPA SPONSORED ADR	E	109.000	7,045.48	5,727.80	(1,317.68)
REED ELSEVIER NV	ENL	9.000	302.66	200.64	(102.02)
ERICSSON L M TEL CO CL B	ERIC	280.000	2,065.09	2,878.74	813.65
FOSTERS GROUP LTD NEW	FBRWY	3.000	12.88	11.99	(0.89)
FORTIS NL	FORSY	322.000	10,741.20	1,030.37	(9,710.83)
HONG-KONG ELECTRIC HLDGS	HGKGY	6.000	29.24	33.47	4.23
HEINEKEN N V ADR -USD-	HINKY	36.000	547.52	798.10	250.58
HOYA CORP SPONSORED ADR	HOCPY	249.000	7,024.40	5,323.47	(1,700.93)
ING GROEP NV SPONS ADR	ING	12.000	472.79	121.57	(351.22)

ATTACHMENT I

HARRY DENT [illegible]ILY FOUNDATION
EIN: 16-0849923

citi smith barney

Harry Dent Family Fdtn., Inc.
Delaware International
Attn: Jane E. Gailey
P.O. Box 506
Lewiston NY 14092-0506

Realized Gain/Loss - Summary
(11/01/2008 - 10/31/2009)

Prepared by ARTHURS MALOF HULSHOFF GROUP
Ph. 716 849 4834

As of 11/12/2009

Acct. 356-20272-13

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
IMPERIAL TOBACCO GROUP PLC	ITYBY	158.000	$12,273.72	$9,432.35	($2,841.37)
KAO CORP-JPY	KCRPY	12.000	3,236.20	3,396.00	159.80
LLOYDS TSB GRP PLC SP ADR	LYG	290.000	11,076.12	937.65	(10,138.47)
NATIONAL AUSTRALIA BK LTD	NABZY	61.000	1,696.89	1,752.66	55.77
NESTLE S A SPONSORED ADR	NSRGY	120.000	3,747.46	5,566.65	1,819.19
NIPPON TEL & TEL SPON ADR	NTT	57.000	1,237.95	1,295.79	57.84
TELECOM CORP NEW ZEALAND	NZT	18.000	285.78	153.43	(132.35)
PRUDENTIAL PLC ADR	PUK	151.000	2,360.53	2,998.78	638.25
ROCHE HLDG LTD SPON ADR	RHHBY	190.000	8,689.66	7,630.21	(1,059.45)
RWE AG SPONS ADR -USD	RWEOY	16.000	1,423.01	1,245.27	(177.74)
SOCIETE GENERALE SPON ADR	SCGLY	380.000	11,421.97	5,517.45	(5,904.52)
SINGAPORE TELECOMMUNICATIO	SGAPY	103.000	2,298.81	2,264.73	(34.08)
SUMITOMO MITSUI FINL GROUP	SMFJY	803.000	7,096.45	2,657.86	(4,438.59)
SANOFI-AVENTIS	SNY	218.000	9,580.22	8,449.46	(1,130.76)
SASOL LTD SPONS ADR	SSL	9.000	386.18	305.36	(80.82)
BANCO SANTANDER S.A.	STD	154.000	2,238.05	1,888.56	(349.49)
TELEFONICA S.A. SPON ADR	TEF	62.000	2,906.55	4,221.94	1,315.39
TAKEDA PHARMACEUTICAL CO	TKPHY	3.000	84.68	58.73	(25.95)
TOYOTA MOTOR CORP ADR NEW	TM	34.000	3,901.16	2,833.43	(1,067.73)
TOTAL S.A SPONS ADR	TOT	31.000	2,129.70	1,714.68	(415.02)
TESCO PLC SPONSORED ADR	TSCDY	237.000	3,920.29	4,614.27	693.98
TAIWAN SEMICONDUCTOR MFG	TSM	12.000	122.74	117.34	(5.40)
UNILEVER PLC SPONS ADR NEW	UL	24.000	535.77	674.12	138.35
UNITED OVERSEAS BANK LTD	UOVEY	3.000	60.71	59.54	(1.17)
UPM KYMMENE CORP SPN ADR	UPMKY	6.000	140.83	50.99	(89.84)
VODAFONE GROUP PLC SPONS	VOD	138.000	4,265.59	3,144.93	(1,120 66)
ZURICH FINCL SVCS SPON ADR	ZFSVY	414.000	12,260.90	9,902.62	(2,358.28)

citi smith barney

Harry Dent Family Fdtn., Inc.
Delaware International
Attn: Jane E. Gailey
P.O. Box 506
Lewiston NY 14092-0506

Realized Gain/Loss - Summary
(11/01/2008 - 10/31/2009)

Prepared by ARTHURS MALOF HULSHOFF GROUP
Ph. 716 849 4834

As of 11/12/2009

Acct. 356-20272-13

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
Long Term Totals:		**6,115.000**	**$178,054.11**	**$123,427.33**	**($54,626.78)**
Other					
HBOS PLC	42205M106001	—	—	0.28	—
Other Totals:		**—**	**—**	**0.28**	**—**
(11/01/2008 - 10/31/2009) Short & Long Term Totals:		**16,489.000**	**$312,191.35**	**$277,919.43**	**($34,271.92)**

** Gain/Loss is only calculated when an original cost basis is available

The above summary/prices/quotes/statistics have been obtained from sources believed reliable but are not necessarily complete and cannot be guaranteed The information contained in monthly account statements and confirmations reflects all transactions processed by Smith Barney, and as such supersedes all other reports for financial and tax purposes

ATTACHMENT I

HARRY DENT FAMILY FOUNDATION
EIN: 16-0849923

citi smith barney

Harry Dent Family Fdtn., Inc.
Attn: Jane E. Gailey
Clearbridge Multi Cap Growth
P.O. Box 506
Lewiston NY 14092-0506

Realized Gain/Loss - Summary
(11/01/2008 - 10/31/2009)

Prepared by THE ARTHURS GROUP
Ph. 716-849-4800

As of 11/12/2009

Acct. 356-01091-12

Short Term

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
BROADCOM CORP CL A	BRCM	10.000	$243.08	$310.09	$67.01
CABLEVISION SYSTEMS CORP	CVC	65.000	1,048.97	1,547.61	498.64
DOLBY LABORATORIES INC	DLB	10.000	284.68	401.28	116.60
FREEPORT MCMORAN COPPER & GOL	FCX	15.000	423.24	1,144.47	721.23
FLUOR CORP NEW	FLR	15.000	484.74	741.88	257.14
LIBERTY MEDIA CORP SER A	LMDIA	6.000	144.59	182.27	37.68
NUCOR CORP	NUE	10.000	408.02	459.28	51.26
VERTEX PHARMACEUTICALS INC	VRTX	10.000	282.53	341.99	59.46
Short Term Totals:		**141.000**	**$3,319.85**	**$5,128.87**	**$1,809.02**
Long Term					
AUTODESK INC	ADSK	10.000	$183.88	$250.49	$66.61
ASTORIA FINANCIAL CORP	AF	1,575.000	18,065.87	12,086.23	(5,979.64)
ALKERMES INC	ALKS	40.000	500.00	351.99	(148.01)
ANADARKO PETROLEUM CORP	APC	50.000	2,591.67	3,440.91	849.24
BIOGEN IDEC INC	BIIB	110.000	947.83	5,492.16	4,544.33
COMCAST CORP CL A - SPL	CMCSK	105 000	2,409.29	1,540.68	(868.61)
CREE INC	CREE	30.000	735.35	1,199.96	464.61
CIRRUS LOGIC INC	CRUS	1,620.000	12,251.25	6,305.84	(5,945.41)
DISCOVERY COMMUNICATION INC	DISCK	2 000	21.55	40.99	19.44
FOREST LABORATORIES INC	FRX	55.000	656.56	1,631.80	975.24
GENZYME CORP	GENZ	120.000	2,490.00	7,936.58	5,446.58
LIBERTY MEDIA HLDG CORP	LINTA	60.000	1,012.13	721.78	(290.35)
L 3 COMMUNICATIONS HLDGS INC	LLL	60.000	1,185.42	4,599.60	3,414.18
LIBERTY MEDIA CORP SER A	LMDIA	4.000	54.00	121.52	67.52
NATIONAL OILWELL VARCO INC	NOV	11.000	263.64	512.14	248.50
NEW YORK COMMUNITY BANCORP	NYB	1,177.000	13,915.84	13,456.88	(458.96)

HARRY DENT FAMILY FOUNDATION
EIN. 16-0849923

citi smith barney

Harry Dent Family Fdtn., Inc.
Attn: Jane E. Gailey
Clearbridge Multi Cap Growth
P.O. Box 506
Lewiston NY 14092-0506

Realized Gain/Loss - Summary
(11/01/2008 - 10/31/2009)

Prepared by THE ARTHURS GROUP
Ph. 716-849-4800

As of 11/12/2009

Acct. 356-01091-12

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
PALL CORP	PLL	10.000	$274.83	$334.79	$59.96
QUANTUM CORP DLT & STORAGE	QTM	1,110.000	18,109.11	309.79	(17,799.32)
STANDARD MICROSYSTEMS CORP	SMSC	85.000	680.00	1,869.10	1,189.10
SANDISK CORP	SNDK	15.000	859.42	333.14	(526.28)
SEAGATE TECHNOLOGY	STX	30.000	426.82	481.18	54.36
TEVA PHARMACEUTICAL INDS	TEVA	345.000	10,325.23	15,616.58	5,291.35
UNITEDHEALTH GROUP INC	UNH	75.000	539.06	1,856.95	1,317.89
WEATHERFORD INTERNATIONAL	WFT	115.000	1,179.96	2,256.53	1,076.57
Long Term Totals:		**6,814.000**	**$89,678.71**	**$82,747.61**	**($6,931.10)**
(11/01/2008 - 10/31/2009) Short & Long Term Totals:		**6,955.000**	**$92,998.56**	**$87,876.48**	**($5,122.08)**

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ATTACHMENT I

citi smith barney

HARRY DENT FAMILY FOUNDATION
EIN· 16-0849923

Harry Dent Family Fdtn., Inc.
Attn: Jane E. Gailey
Nottingham Advisors Inc.
P.O. Box 506
Lewiston NY 14092-0506

Realized Gain/Loss - Summary
(11/01/2008 - 10/31/2009)

Prepared by THE ARTHURS GROUP
Ph. 716-849-4800

As of 11/12/2009

Acct. 356-01096-17

Short Term

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
AMERICA MOVIL S.A.B DE CV	AMX	100.000	$3,575.00	$4,255.93	$680.93
CAMECO CORP	CCJ	350.000	5,404.00	9,494.13	4,090.13
KRAFT FOODS INC CLASS A	KFT	375.000	9,986.25	10,477.34	491.09
MOOG INC CL A	MOGA	300.000	8,327.64	9,852.70	1,525.06
ISHARES TRUST S&P GLOBAL	WOOD	200.000	6,561.94	6,914.84	352.90
SELECT SECTOR SPDR	XLK	500.000	8,545.00	9,134.76	589.76
SELECT SECTOR SPDR	XLY	200.000	4,231.90	4,746.67	514.77
Short Term Totals:		**2,025.000**	**$46,631.73**	**$54,876.37**	**$8,244.64**
Long Term					
AMERICA MOVIL S.A.B DE CV	AMX	275.000	$17,886.52	$11,703.80	($6,182.72)
ANGIODYNAMICS INC	ANGO	600.000	10,021.20	8,250.80	(1,770.40)
BED BATH & BEYOND	BBBY	300.000	11,441.50	11,958.05	516.55
CAMECO CORP	CCJ	350.000	13,139.00	9,494.14	(3,644.86)
CISCO SYS INC	CSCO	600.000	19,125.00	12,917.66	(6,207.34)
ISHARES RUSSELL 1000 GROWTH	IWF	100.000	5,395.00	4,433.14	(961.86)
WALGREEN CO NEW	WAG	350.000	12,930.59	13,096.87	166.28
WASTE MGMT INC DEL	WM	450.000	15,996.74	13,293.46	(2,703.28)
Long Term Totals:		**3,025.000**	**$105,935.55**	**$85,147.92**	**($20,787.63)**
(11/01/2008 - 10/31/2009) Short & Long Term Totals:		**5,050.000**	**$152,567.28**	**$140,024.29**	**($12,542.99)**

** Gain/Loss is only calculated when an original cost basis is available

ATTACHMENT I

HARRY DENT FAMILY FOUNDATION
EIN 16-0849923

citi smith barney

Harry Dent Family Fdtn., Inc.
Attn: Jane E. Gailey
Lord Abbett Lc Value
P.O. Box 506
Lewiston NY 14092-0506

Realized Gain/Loss - Summary
(11/01/2008 - 10/31/2009)

Prepared by THE ARTHURS GROUP
Ph. 716-849-4800

As of 11/12/2009

Acct. 356-13139-11

Short Term

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
ACE LIMITED	ACE	31.000	$1,730.88	$1,331.05	($399.83)
BANK OF AMERICA CORP	BAC	439.000	18,178.10	1,951.50	(16,226.60)
BEST BUY INC	BBY	121.000	4,151.20	4,608.15	456.95
BECTON DICKINSON & CO	BDX	20.000	1,749.03	1,243.52	(505.51)
FRANKLIN RESOURCES INC	BEN	20.000	1,545.38	1,969.11	423.73
CARDINAL HEALTH INC	CAH	24.000	1,163.81	840.27	(323.54)
CARNIVAL CORP	CCL	17.000	260.39	564.05	303.66
CAPITAL ONE FINL CORP	COF	170.000	7,379.58	2,799.13	(4,580.45)
ROCKWELL COLLINS INC	COL	33.000	1,023.90	1,264.28	240.38
CVS CAREMARK CORP	CVS	17.000	474.52	521.13	46.61
FREEPORT MCMORAN COPPER & GOL	FCX	78.000	2,330.62	1,811.75	(518.87)
FIFTH THIRD BANCORP	FITB	239.000	3,023.10	334.14	(2,688.96)
GOLDMAN SACHS GROUP INC	GS	37.000	4,036.63	4,216.83	180.20
HARLEY-DAVIDSON INC	HOG	65.000	2,138.75	1,023.00	(1,115.75)
HSN INC	HSNI	14.000	228.64	22.43	(206.21)
J C PENNEY CO INC	JCP	45.000	1,346.26	1,376.53	30.27
KOHLS CORP	KSS	43.000	2,100.09	2,085.02	(15.07)
MARRIOTT INTL INC NEW CL A	MAR	1.000	13.94	19.50	5.56
MASCO CORP DE	MAS	26.000	477.36	184.19	(293.17)
MONSANTO CO NEW	MON	7.000	573.79	527.46	(46.33)
MORGAN STANLEY	MS	13.000	286.12	369.05	82.93
MICROSOFT CORP	MSFT	147.000	4,289.52	2,798.59	(1,490.93)
ANNALY CAPITAL MANAGEMENT INC	NLY	154.000	2,399.28	2,179.30	(219.98)
SCHWAB CHARLES CORP	SCHW	144.000	3,136.37	2,139.74	(996.63)
SUNTRUST BANKS INC	STI	36.000	1,408.44	438.62	(969.82)
TARGET CORP	TGT	19.000	666.77	850.48	183.71
TIME WARNER CABLE INC	TWC	—	8.98	8.08	(0.90)

HARRY DENT FAMILY FOUNDATION
EIN: 16-0849923

citi smith barney

Harry Dent Family Fdtn., Inc.
Attn: Jane E. Gailey
Lord Abbett Lc Value
P.O. Box 506
Lewiston NY 14092-0506

Realized Gain/Loss - Summary
(11/01/2008 - 10/31/2009)

Prepared by THE ARTHURS GROUP
Ph. 716-849-4800

As of 11/12/2009

Acct. 356-13139-11

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
US BANCORP DEL NEW	USB	29.000	$897.40	$421.54	($475.86)
WAL-MART STORES INC	WMT	46.000	2,228.07	2,563.90	335.83
WESTERN UNION COMPANY (THE)	WU	90.000	2,075.75	1,278.71	(797.04)
Short Term Totals:		**2,125.000**	**$71,322.67**	**$41,741.05**	**($29,581.62)**
Long Term					
ABBOTT LABORATORIES	ABT	69.000	$3,761.29	$3,350.73	($410.56)
ADOBE SYSTEMS INC (DE)	ADBE	17.000	747.06	565.92	(181.14)
ARCHER-DANIELS-MIDLAND CO	ADM	174.000	7,642.31	5,024.77	(2,617.54)
AMGEN INC	AMGN	4.000	225.13	193.09	(32.04)
AON CORP	AOC	119.000	5,074.19	4,769.93	(304.26)
BANK OF AMERICA CORP	BAC	52.000	3,201.07	247.52	(2,953.55)
BB&T CORP	BBT	40.000	1,282.54	988.97	(293.57)
BANK NEW YORK MELLON CORP	BK	170.000	5,892.82	5,198.36	(694.46)
BOSTON SCIENTIFIC CORP	BSX	31.000	389.80	343.48	(46.32)
COCA COLA ENTERPRISES INC	CCE	241.000	5,219.20	4,120.94	(1,098.26)
CAMPBELL SOUP CO	CPB	99.000	3,516.70	2,800.21	(716.49)
CVS CAREMARK CORP	CVS	94.000	3,074.59	2,709.62	(364.97)
CHEVRON CORP	CVX	17.000	1,578.62	1,119.11	(459.51)
EL PASO CORP	EP	107 000	1,315.83	1,069.86	(245.97)
GENERAL ELECTRIC CO	GE	134.000	4,760.54	1,658.77	(3,101 77)
HESS CORP	HES	34.000	3,853.92	1,890.70	(1,963.22)
HEWLETT PACKARD CO	HPQ	29 000	1,310.60	1,322.20	11.60
HSN INC	HSNI	39.000	956.77	64.57	(892.20)
HERTZ GLOBAL HOLDINGS INC	HTZ	204.000	5,043.42	2,143.03	(2,900.39)
IAC/INTERACTIVECORP	IACI	133.000	3,739.71	2,113.18	(1,626.53)
SUN MICROSYSTEMS INC NEW	JAVA	229.000	4,780.88	914.23	(3,866.65)
JPMORGAN CHASE & CO	JPM	84.000	2,931.06	3,168.20	237.14

ATTACHMENT I

HARRY DENT FAMILY FOUNDATION
EIN: 16-0849923

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Harry Dent Family Fdtn., Inc.
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P.O. Box 506
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(11/01/2008 - 10/31/2009)

Prepared by THE ARTHURS GROUP
Ph 716-849-4800

As of 11/12/2009

Acct. 356-13139-11

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
KRAFT FOODS INC CLASS A	KFT	67.000	$2,142.52	$1,762.11	($380.41)
KROGER CO	KR	59.000	1,050.32	1,498.61	448.29
KOHLS CORP	KSS	31.000	1,315.85	1,849.85	534.00
LOWES COMPANIES INC	LOW	145.000	3,509.44	2,867.82	(641.62)
MASCO CORP DE	MAS	42.000	759.72	575.53	(184.19)
MOODYS CORPORATION	MCO	17.000	752.86	428.48	(324.38)
MICROSOFT CORP	MSFT	154.000	4,843.08	3,029.63	(1,813.45)
ORACLE CORP	ORCL	260.000	5,191.04	5,398.93	207.89
P G & E CORPORATION	PCG	87.000	3,418.81	3,114.91	(303.90)
PROGRESS ENERGY INC	PGN	38.000	1,620.53	1,446.51	(174.02)
PNC FINANCIAL SERVICES GROUP	PNC	9.000	582.76	466.01	(116.75)
PRAXAIR INC	PX	23.000	857.81	1,583.88	726.07
QUALCOMM INC	QCOM	100.000	4,266.87	4,312.68	45.81
SCHWAB CHARLES CORP	SCHW	13.000	259.94	199.85	(60.09)
SUNTRUST BANKS INC	STI	39.000	3,018.14	475.18	(2,542.96)
AT&T INC	T	79.000	1,613.02	2,142.39	529.37
TEVA PHARMACEUTICAL INDS	TEVA	62.000	1,959.41	2,999.41	1,040.00
TICKETMASTER ENTERTAINMENT CO	TKTM	53.000	2,116.84	211.65	(1,905.19)
US BANCORP DEL NEW	USB	35.000	1,156.59	508.76	(647.83)
WELLS FARGO & CO NEW	WFC	39.000	1,198.64	1,108.80	(89.84)
WASTE MGMT INC DEL	WMI	56.000	1,795.86	1,501.15	(294.71)
WAL-MART STORES INC	WMT	92.000	4,511.93	4,630.36	118.43
WESTERN UNION COMPANY (THE)	WU	42.000	1,019.49	672.94	(346.55)
EXXON MOBIL CORP	XOM	14.000	790.72	968.57	177.85
XTO ENERGY INC	XTO	22.000	1,477.53	866.46	(611.07)
Long Term Totals:		**3,699.000**	**$121,527.77**	**$90,397.86**	**($31,129.91)**

ATTACHMENT I

HARRY DENT FAMILY FOUNDATION
EIN 16-0849923

citi smith barney

Harry Dent Family Fdtn., Inc.
Attn: Jane E. Gailey
Lord Abbett Lc Value
P.O. Box 506
Lewiston NY 14092-0506

Realized Gain/Loss - Summary
(11/01/2008 - 10/31/2009)

Prepared by THE ARTHURS GROUP
Ph. 716-849-4800

As of 11/12/2009

Acct. 356-13139-11

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
(11/01/2008 - 10/31/2009) Short & Long Term Totals:		**5,824.000**	**$192,850.44**	**$132,138.91**	**($60,711.53)**

** Gain/Loss is only calculated when an original cost basis is available

The above summary/prices/quotes/statistics have been obtained from sources believed reliable but are not necessarily complete and cannot be guaranteed The information contained in monthly account statements and confirmations reflects all transactions processed by Smith Barney, and as such supersedes all other reports for financial and tax purposes

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Harry Dent Family Fdtn., Inc.
Attn: Jane E. Gailey
Lazard - International
P.O. Box 506
Lewiston NY 14092-0506

Realized Gain/Loss - Summary
(11/01/2008 - 10/31/2009)

Prepared by THE ARTHURS GROUP
Ph 716-849-4800

As of 11/12/2009

Acct. 356-17968-18

Short Term

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
ALLIANZ SE ADR	AZ	365.000	$6,017.55	$3,334.46	($2,683.09)
BARCLAYS PLC-ADR	BCS	123.000	1,181.40	2,392.61	1,211.21
BNP PARIBAS SPON ADR	BNPQY	111.000	2,096.50	3,166.45	1,069.95
DEUTSCHE BANK-EUR	DB	51.000	3,087.77	4,263.34	1,175.57
ERICSSON L M TEL CO CL B	ERIC	263.000	2,685.81	2,444.35	(241.46)
GDF SUEZ-EUR	GDFZY	107.000	7,143.03	3,679.39	(3,463.64)
GROUPE DANONE SPONS ADR	GDNNY	95.000	1,628.49	998.04	(630.45)
NOKIA CORP SPONSORED ADR	NOK	142.000	1,811.01	2,089.85	278.84
PRUDENTIAL PLC ADR	PUK	24.000	380.30	320.43	(59.87)
RECKITT BENCKISER GP ADR	RBGPY	310.000	2,488.09	2,830.78	342.69
ROYAL DUTCH SHELL PLC ADR	RDSA	31.000	1,675.92	1,427.37	(248.55)
BANCO SANTANDER S.A.	STD	862.000	4,165.04	2,281.99	(1,883.05)
VODAFONE GROUP PLC SPONS	VOD	79.000	2,441.90	1,418.70	(1,023.20)
Short Term Totals:		**2,563.000**	**$36,802.81**	**$30,647.76**	**($6,155.05)**

Long Term

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
ADIDAS AG SPON ADR	ADDYY	148.000	$4,009.76	$2,411.90	($1,597.86)
ALLIANZ SE ADR	AZ	137.000	3,101.92	1,414.68	(1,687.24)
BAE SYSTEMS PLC SPON ADR	BAESY	257.000	9,972.35	5,631.44	(4,340.91)
BP PLC SPONS ADR	BP	18.000	1,098.56	912.57	(185.99)
BRITISH AMERICAN TOBACCO	BTI	16.000	959.65	959.49	(0.16)
CANON INC ADR	CAJ	26.000	991.64	885.01	(106.63)
DIAGEO PLC SPON ADR-NEW	DEO	175.000	10,202.50	8,457.57	(1,744.93)
ENI SPA SPONSORED ADR	E	103.000	5,626.27	4,456.23	(1,170.04)
E.ON AG SPONS ADR	EONGY	218.000	14,436.41	8,340.53	(6,095.88)
ERICSSON L M TEL CO CL B	ERIC	454 000	9,360.05	3,709.13	(5,650.92)
GDF SUEZ-EUR	GDFZY	127.000	6,876.12	5,710.29	(1,165.83)

ATTACHMENT I

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(11/01/2008 - 10/31/2009)

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Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
GROUPE DANONE SPONS ADR	GDNNY	335.000	$5,697.60	$3,127.69	($2,569.91)
HSBC HLDG PLC SP ADR NEW	HBC	54.000	4,369.14	2,259.11	(2,110.03)
HEINEKEN N V ADR -USD-	HINKY	118.000	1,794.66	2,573.73	779.07
IMPERIAL TOBACCO GROUP PLC	ITYBY	40.000	2,400.80	2,053.77	(347.03)
NOKIA CORP SPONSORED ADR	NOK	385.000	8,087.02	5,256.13	(2,830.89)
NESTLE S A SPONSORED ADR	NSRGY	113.000	3,362.88	4,049.84	686.96
NOVARTIS AG ADR	NVS	174.000	9,303.05	6,261.92	(3,041.13)
PRUDENTIAL PLC ADR	PUK	363.000	10,790.33	3,637.12	(7,153.21)
ROYAL DUTCH SHELL PLC ADR	RDSA	164.000	11,351.51	8,099.75	(3,251.76)
ROCHE HLDG LTD SPON ADR	RHHBY	194.000	9,219.86	7,082.54	(2,137.32)
SINGAPORE TELECOMMUNICATIO	SGAPY	70.000	1,529.11	1,606.45	77.34
BANCO SANTANDER S.A.	STD	236.000	4,827.05	1,644.43	(3,182.62)
TOTAL S.A SPONS ADR	TOT	22.000	1,295.22	1,190.34	(104.88)
TELUS CORP-NON VTG SHS	TU	244.000	10,748.91	6,144.82	(4,604.09)
UNILEVER PLC SPONS ADR NEW	UL	45.000	985.21	1,154.31	169.10
VODAFONE GROUP PLC SPONS	VOD	214.000	5,326.76	4,322.65	(1,004.11)
Long Term Totals:		**4,450.000**	**$157,724.34**	**$103,353.44**	**($54,370.90)**
(11/01/2008 - 10/31/2009) Short & Long Term Totals:		**7,013.000**	**$194,527.15**	**$134,001.20**	**($60,525.95)**

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ATTACHMENT I

citi smith barney

HARRY DENT [illegible]ILY FOUNDATION
EIN: 16-0849923

Harry Dent Family Fdtn., Inc.
Jennison - Large Cap Growth
Attn: Jane E. Gailey
P.O. Box 506
Lewiston NY 14092-0506

Realized Gain/Loss - Summary
(11/01/2008 - 10/31/2009)

Prepared by THE ARTHURS GROUP
Ph 716-849-4800

As of 11/12/2009

Acct. 356-70178-13

Short Term

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
AGILENT TECHNOLOGIES INC	A	14.000	$333.25	$386.53	$53.28
APPLE INC	AAPL	35.000	5,726.65	3,167.25	(2,559.40)
ANALOG DEVICES INC	ADI	12.000	284.76	343.67	58.91
APPLIED MATERIALS INC DELAWARE	AMAT	434.000	4,828.82	5,767.20	938.38
ADVANCED MICRO DEVICES INC	AMD	24.000	131.97	149.99	18.02
AMGEN INC	AMGN	39.000	2,389.39	2,145.33	(244.06)
BANK OF AMERICA CORP	BAC	364.000	4,153.52	5,955.34	1,801.82
BAIDU INC SPON ADR RPTNG	BIDU	7.000	1,401.95	2,785.58	1,383.63
CADBURY PLC ADR	CBY	52.000	1,827.53	2,637.31	809.78
COACH INC	COH	5.000	128.62	175.44	46.82
SALESFORCE.COM INC	CRM	6.000	245.26	373.25	127.99
GENENTECH INC	DNA	13.000	1,038.28	1,235.00	196.72
EXPEDITORS INTL OF WASH INC	EXPD	125.000	4,524.36	4,064.26	(460.10)
FIRST SOLAR INC	FSLR	42.000	7,113.15	5,337.96	(1,775.19)
GENZYME CORP	GENZ	38.000	2,749.43	1,963.94	(785.49)
GOLDMAN SACHS GROUP INC	GS	13.000	2,349.19	897.35	(1,451.84)
HOME DEPOT INC	HD	87.000	1,720.50	2,102.19	381.69
INTL BUSINESS MACHINES CORP	IBM	74.000	6,733.97	8,974.32	2,240.35
INFOSYS TECHNOLOGIE SP ADR	INFY	52.000	1,334.89	1,639.45	304.56
INTEL CORP	INTC	561.000	8,297.35	10,905.01	2,607.66
JOHNSON CONTROLS INC	JCI	8.000	162.61	215.52	52.91
JPMORGAN CHASE & CO	JPM	53.000	2,178.71	2,032.02	(146.69)
KLA-TENCOR CORP	KLAC	124.000	2,523.73	3,313.67	789.94
COCA-COLA CO	KO	87.000	4,704.57	3,741.27	(963.30)
KOHLS CORP	KSS	10.000	364.86	606.48	241.62
LOCKHEED MARTIN CORP	LMT	108.000	10,653.79	8,067.24	(2,586.55)
MASTERCARD INC	MA	3.000	470.69	660.22	189.53

ATTACHMENT I

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EIN. 16-0849923

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Harry Dent Family Fdtn., Inc.
Jennison - Large Cap Growth
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(11/01/2008 - 10/31/2009)

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Acct. 356-70178-13

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
MARRIOTT INTL INC NEW CL A	MAR	10.000	$234.72	$281.69	$46.97
MEDCO HEALTH SOLUTIONS INC	MHS	12.000	594.45	543.26	(51.19)
MONSANTO CO NEW	MON	6.000	475.87	451.93	(23.94)
MORGAN STANLEY	MS	171.000	3,257.13	2,433.27	(823.86)
NETAPP INC	NTAP	15.000	365.14	443.23	78.09
NVIDIA CORP	NVDA	167.000	3,883.74	1,368.48	(2,515.26)
ORACLE CORP	ORCL	46.000	837.12	858.96	21.84
OCCIDENTAL PETROLEUM CORP-DEL	OXY	15.000	1,119.90	909.25	(210.65)
PETROBRAS	PBR	28.000	997.24	1,342.80	345.56
PEPSICO INC	PEP	18.000	1,274.19	985.87	(288.32)
ROCHE HLDG LTD SPON ADR	RHHBY	80.000	2,782.46	2,757.56	(24.90)
RESEARCH IN MOTION LTD-CAD	RIMM	153.000	7,740.99	10,762.17	3,021.18
RAYTHEON COMPANY NEW	RTN	18.000	1,137.14	903.39	(233.75)
SAP AG SPONS ADR-USD	SAP	9.000	331.00	471.31	140.31
STARBUCKS CORP	SBUX	142.000	2,119.96	2,730.74	610.78
STAPLES INC	SPLS	108.000	1,695.65	2,291.62	595.97
SUNCOR ENERGY INC NEW	SU	95.000	3,156.08	2,783.96	(372.12)
SOUTHWESTERN ENERGY CO	SWN	21.000	590.25	634.23	43.98
TARGET CORP	TGT	12.000	564.60	618.82	54.22
TIFFANY & CO NEW	TIF	6.000	192.54	239.42	46.88
THERMO FISHER SCIENTIFIC INC	TMO	17.000	744.44	611.59	(132.85)
UNILEVER PLC SPONS ADR NEW	UL	12.000	343.74	357.83	14.09
UNITEDHEALTH GROUP INC	UNH	112.000	2,941.34	2,686.32	(255.02)
UNION PACIFIC CORP	UNP	37.000	2,188.75	1,465.65	(723.10)
UNITED TECHNOLOGIES CORP	UTX	18.000	1,244.51	825.13	(419.38)
VISA INC COM CL A	V	35 000	2,077.23	1,527.33	(549.90)
VERTEX PHARMACEUTICALS INC	VRTX	5.000	167.80	172.04	4.24
WEATHERFORD INTERNATIONAL	WFT	22.000	475.06	434.26	(40.80)

ATTACHMENT I

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Jennison - Large Cap Growth
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Acct. 356-70178-13

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
WAL-MART STORES INC	WMT	105.000	$5,913.07	$5,231.49	($681.58)
WYETH	WYE	54.000	2,396.42	2,314.04	(82.38)
XTO ENERGY INC	XTO	147.000	5,050.79	5,656.32	605.53
Short Term Totals:		**4,086.000**	**$135,265.12**	**$134,736.75**	**($528.37)**
Long Term					
APPLE INC	AAPL	16.000	$2,876.18	$3,005.54	$129.36
ABBOTT LABORATORIES	ABT	133.000	7,075.67	6,574.19	(501.48)
ALCON INC	ACL	35.000	2,779.10	3,448.29	669.19
ADOBE SYSTEMS INC (DE)	ADBE	20.000	771.59	708.18	(63.41)
AMAZON COM INC	AMZN	45.000	3,936.41	3,492.17	(444.24)
BAXTER INTL INC	BAX	82.000	4,043.74	4,393.56	349.82
CELGENE CORP	CELG	33.000	2,078.97	1,759.35	(319.62)
COLGATE PALMOLIVE CO	CL	113.000	7,578.31	6,854.02	(724.29)
COSTCO WHOLESALE CORP NEW	COST	41.000	2,357.85	2,091.74	(266.11)
CISCO SYS INC	CSCO	441.000	11,631.42	8,185.49	(3,445.93)
CVS CAREMARK CORP	CVS	150.000	5,889.22	4,383.95	(1,505.27)
WALT DISNEY CO	DIS	60.000	2,118.50	1,344.76	(773.74)
GENENTECH INC	DNA	216.000	15,445.32	18,925.55	3,480.23
GILEAD SCIENCES INC	GILD	184.000	3,596.92	8,311.77	4,714.85
GOOGLE INC	GOOG	16.000	5,194.98	7,740.53	2,545.55
GOLDMAN SACHS GROUP INC	GS	12.000	1,668.08	1,699.60	31.52
HEWLETT PACKARD CO	HPQ	139.000	5,841.44	4,644.89	(1,196.55)
INFOSYS TECHNOLOGIE SP ADR	INFY	123.000	5,569.63	3,692.60	(1,877.03)
COCA-COLA CO	KO	18.000	1,063.34	764.96	(298.38)
LAZARD LTD	LAZ	68.000	2,786.44	1,901.93	(884.51)
MEDCO HEALTH SOLUTIONS INC	MHS	46.000	2,283.80	2,222.69	(61.11)
MONSANTO CO NEW	MON	140.000	8,273.13	11,036.42	2,763.29

HARRY DENT ILY FOUNDATION
EIN· 16-0849923

citi smith barney

Harry Dent Family Fdtn., Inc.
Jennison - Large Cap Growth
Attn: Jane E. Gailey
P.O. Box 506
Lewiston NY 14092-0506

Realized Gain/Loss - Summary
(11/01/2008 - 10/31/2009)

Prepared by THE ARTHURS GROUP
Ph. 716-849-4800

As of 11/12/2009

Acct. 356-70178-13

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
MICROSOFT CORP	MSFT	157.000	$5,465.34	$3,273.32	($2,192.02)
MYLAN INC	MYL	42.000	588.77	617.84	29.07
OCCIDENTAL PETROLEUM CORP-DEL	OXY	23.000	1,991.70	1,825.72	(165.98)
PEPSICO INC	PEP	99.000	6,495.69	5,267.81	(1,227.88)
QUALCOMM INC	QCOM	123.000	5,261.45	5,176.33	(85.12)
RESEARCH IN MOTION LTD-CAD	RIMM	82.000	7,213.08	5,771.52	(1,441.56)
RAYTHEON COMPANY NEW	RTN	114.000	7,350.33	5,257.58	(2,092.75)
SCHWAB CHARLES CORP	SCHW	346.000	6,498.86	5,743.79	(755.07)
SHIRE PLC ADR	SHPGY	6.000	304.16	295.38	(8.78)
SCHLUMBERGER LTD	SLB	113.000	9,290.61	4,692.96	(4,597.65)
SOUTHWESTERN ENERGY CO	SWN	67.000	1,883.17	2,995.86	1,112.69
TEVA PHARMACEUTICAL INDS	TEVA	95.000	3,828.44	4,707.59	879.15
THERMO FISHER SCIENTIFIC INC	TMO	172.000	9,967.85	5,832.14	(4,135.71)
UNITED TECHNOLOGIES CORP	UTX	140.000	9,271.68	6,372.76	(2,898.92)
VISA INC COM CL A	V	15.000	904.22	1,119.72	215.50
WAL-MART STORES INC	WMT	166.000	9,552.95	8,288.99	(1,263.96)
WYETH	WYE	65.000	3,720.04	2,773.47	(946.57)
Long Term Totals:		**3,956.000**	**$194,448.38**	**$177,194.96**	**($17,253.42)**
(11/01/2008 - 10/31/2009) Short & Long Term Totals:		**8,042.000**	**$329,713.50**	**$311,931.71**	**($17,781.79)**

** Gain/Loss is only calculated when an original cost basis is available.

ATTACHMENT I

HARRY DENT FAMILY FOUNDATION
EIN. 16-0849923

citi smith barney

Harry Dent Family Fdtn., Inc.
Hansberger - Emerging Markets
Attn: Jane E. Gailey
P.O. Box 506
Lewiston NY 14092-0506

Realized Gain/Loss - Summary
(11/01/2008 - 10/31/2009)

Prepared by THE ARTHURS GROUP
Ph. 716-849-4800

As of 11/12/2009

Acct. 356-73257-11

Short Term

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
COMPANHIA DE BEBIDAS DAS	ABV	23.000	$0.01	$1.06	$1.05
CHINA MEDICAL TECH SPON	CMED	119.000	3,058.13	2,457.32	(600.81)
DBS GROUP HLDG LTD SP ADR	DBSDY	49.000	105.47	220.25	114.78
ECOPETROL SA ADR	EC	1.000	21.70	16.21	(5.49)
JOHNSON ELECTRIC HLDG SPON	JELCY	16.000	65.40	26.39	(39.01)
NEDBANK GROUP LTD	NDBKY	2.000	54.75	27.49	(27.26)
NII HLDGS INC CLASS B NEW	NIHD	61.000	2,917.85	868.43	(2,049.42)
TELEMIG CELULAR	TMB	93.000	884.84	1,192.64	307.80
ULTRAPETROL (BAHAMAS) LTD	ULTR	6.000	96.66	13.72	(82.94)
VOTORANTIM CELULOSE E	VCP	111.000	2,549.60	1,258.94	(1,290.66)
WAL-MART DE MEXICO SA DE	WMMVY	2.000	75.00	38.03	(36.97)
Short Term Totals:		**483.000**	**$9,829.41**	**$6,120.48**	**($3,708.93)**

Long Term

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
COMPANHIA DE BEBIDAS DAS	ABV	1.000	$78.47	$38.10	($40.37)
ALUMINUM CORP OF CHINA ADR	ACH	3.000	236.79	38.16	(198.63)
AKBANK TURK ANONIM	AKBTY	14.000	235.20	61.59	(173.61)
AMERICA MOVIL S.A.B DE CV	AMX	55.000	3,688.85	1,624.32	(2,064.53)
ADVANCED INFO SERVICES PUB	AVIFY	107.000	294.25	219.34	(74.91)
CREDICORP LTD -USD	BAP	25.000	1,803.50	1,144.06	(659.44)
BANCO BRADESCO SPONS ADR	BBD	6.000	126.08	50.70	(75.38)
CHECK POINT SOFTWARE TECH	CHKP	2 000	50.72	43.57	(7.15)
CHINA MOBILE LTD	CHL	4.000	393.52	176.01	(217.51)
BANCOLOMBIA SA	CIB	2.000	70.08	33.57	(36.51)
COMPANHIA ENERGETICA DE	CIG	63.000	1,250.78	959.78	(291.00)
CHINA MEDICAL TECH SPON	CMED	18.000	887.02	356.25	(530.77)
DATANG INTL PWR GENERATION	DIPGY	12 000	282.00	97.79	(184.21)

HARRY DENT FAMILY FOUNDATION
EIN: 16-0849923

citi smith barney

Harry Dent Family Fdtn., Inc.
Hansberger - Emerging Markets
Attn: Jane E. Gailey
P.O. Box 506
Lewiston NY 14092-0506

Realized Gain/Loss - Summary
(11/01/2008 - 10/31/2009)

Prepared by THE ARTHURS GROUP
Ph. 716-849-4800

As of 11/12/2009

Acct. 356-73257-11

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
ENERSIS S.A. SPONSORED ADR	ENI	7.000	$133.00	$96.33	($36.67)
FOMENTO ECONOMICO MEXICANO	FMX	45.000	1,732.50	1,202.10	(530.40)
GRUPO CARSO SPONSORED ADR	GPOVY	222.000	1,787.10	1,131.32	(655.78)
HDFC BANK LTD ADR	HDB	3.000	346.50	147.74	(198.76)
PT INDOSAT-ADR-USD	IIT	27.000	1,237.68	837.14	(400.54)
IMPALA PLATINUM HOLDINGS	IMPUY	6.000	208.20	75.89	(132.31)
INFOSYS TECHNOLOGIE SP ADR	INFY	54.000	2,691.09	1,864.44	(826.65)
KB FINANCIAL GROUP INC ADR	KB	13.000	1,037.66	556.33	(481.33)
LUKOIL OIL SPONS ADR	LUKOY	1.000	84.35	32.37	(51.98)
MOBILE TELESYSTEMS OJSC	MBT	1.000	76.41	24.41	(52.00)
JSC MINING & SMELTING SP	NILSY	11.000	312.95	105.80	(207.15)
NASPERS LTD SPON ADR	NPSNY	4.000	75.40	56.79	(18.61)
GAZPROM OAO SPONS ADR	OGZPY	3.000	139.05	38.03	(101.02)
POLYUS GOLD ADR	OPYGY	4.000	89.50	67.19	(22.31)
PETROBRAS	PBR	3.000	122.63	80.91	(41.72)
POSCO SPON ADR	PKX	10.000	1,637.40	459.62	(1,177.78)
PETROCHINA CO LTD ADR	PTR	1.000	146.42	70.36	(76.06)
DOCTOR REDDY'S LAB ADR	RDY	9.000	146.70	69.15	(77.55)
COMPANHIA VALE DO RIO DOCE	RIOPR	5.000	141.40	57.10	(84.30)
SINOPEC SHANGHAI SPONS ADR	SHI	1.000	85.41	23.07	(62.34)
COMPANHIA SIDERURGICA	SID	2.000	68.94	26.61	(42.33)
SK TELECOM LTD SPON ADR	SKM	2.000	62.84	26.33	(36.51)
CHINA PETROLEUM&CHEM ADR	SNP	3.000	464.13	156.23	(307.90)
SASOL LTD SPONS ADR	SSL	3 000	148.29	74.45	(73.84)
TEVA PHARMACEUTICAL INDS	TEVA	34 000	1,506.54	1,600.90	94.36
TELEFONOS DE MEXICO SP ADR	TMX	2.000	41.50	26.69	(14.81)
TELE NORTE LESTE	TNE	4.000	88.08	46.35	(41.73)
TENARIS S A	TS	42.000	2,154.18	815.35	(1,338.83)

ATTACHMENT I

HARRY DENT [illegible]ILY FOUNDATION
EIN. 16-0849923

citi smith barney

Harry Dent Family Fdtn., Inc.
Hansberger - Emerging Markets
Attn: Jane E. Gailey
P.O. Box 506
Lewiston NY 14092-0506

Realized Gain/Loss - Summary
(11/01/2008 - 10/31/2009)

Prepared by THE ARTHURS GROUP
Ph. 716-849-4800

As of 11/12/2009

Acct. 356-73257-11

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
TAIWAN SEMICONDUCTOR MFG	TSM	21.000	$205.19	$169.32	($35.87)
ULTRAPETROL (BAHAMAS) LTD	ULTR	212.000	3,801.16	484.95	(3,316.21)
YANZHOU COAL MINING CO LTD	YZC	7.000	146.99	41.10	(105.89)
Long Term Totals:		**1,073.000**	**$30,316.45**	**$15,307.61**	**($15,008.84)**
Other					
RTS KB FINANCIAL GP	KBRT	1.000	—	12.69	—
Other Totals:		**1.000**	**—**	**12.69**	**—**
(11/01/2008 - 10/31/2009) Short & Long Term Totals:		**1,556.000**	**$40,145.86**	**$21,428.09**	**($18,717.77)**

** Gain/Loss is only calculated when an original cost basis is available

ATTACHMENT I

citi smith barney

HARRY DENT [illegible]ILY FOUNDATION
EIN 16-0849923

Harry Dent Family Fdtn., Inc.
Penn Capital - Sm/Mid Cap Core
Attn: Jane E. Gailey
P.O. Box 506
Lewiston NY 14092-0506

Realized Gain/Loss - Summary
(11/01/2008 - 10/31/2009)

Prepared by THE ARTHURS GROUP
Ph. 716-849-4800

As of 11/12/2009

Acct. 356-74707-15

Short Term

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
BLUE COAT SYSTEMS INC-NEW	BCSI	10.000	$247.50	$236.73	($10.77)
COMMSCOPE INC	CTV	19.000	551.00	538.08	(12.92)
GUESS INC	GES	7.000	273.70	271.38	(2.32)
MAGELLAN HEALTH SVCS INC-NEW	MGLN	8.000	243.44	260.01	16.57
SEAGATE TECHNOLOGY	STX	49.000	765.38	711.15	(54.23)
Short Term Totals:		**93.000**	**$2,081.02**	**$2,017.35**	**($63.67)**
(11/01/2008 - 10/31/2009) Short & Long Term Totals:		**93.000**	**$2,081.02**	**$2,017.35**	**($63.67)**

** Gain/Loss is only calculated when an original cost basis is available

ATTACHMENT I

citi smith barney

HARRY DENT FAMILY FOUNDATION
EIN 16-0849923

Harry Dent Family Fdtn., Inc.
Attn: Jane E. Gailey
Nfj Dividend Value
P.O. Box 506
Lewiston NY 14092-0506

Realized Gain/Loss - Summary
(11/01/2008 - 10/31/2009)

Prepared by THE ARTHURS GROUP
Ph. 716-849-4800

As of 11/12/2009

Acct. 356-19354-16

Short Term

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
ALCOA INC	AA	410.000	$4,160.93	$3,068.14	($1,092.79)
BANK OF AMERICA CORP	BAC	205.000	3,367.84	1,088.74	(2,279.10)
BLACK & DECKER CORPORATION	BDK	55.000	1,656.59	1,709.69	53.10
CARDINAL HEALTH INC	CAH	205.000	6,861.02	7,077.18	216.16
CATERPILLAR INC	CAT	35.000	1,231.19	1,235.60	4.41
CENTURYTEL INC	CTL	—	11.15	13.92	2.77
DOW CHEMICAL CO	DOW	135.000	2,126.68	957.21	(1,169.47)
GENERAL ELECTRIC CO	GE	675.000	13,593.20	7,998.55	(5,594.65)
HALLIBURTON CO HOLDINGS CO	HAL	115.000	2,030.67	2,547.19	516.52
HARTFORD FINL SVCS GROUP INC	HIG	190.000	13,418.69	853.26	(12,565.43)
HOST HOTELS & RESORTS INC	HST	800.000	13,324.88	4,953.81	(8,371.07)
HARRIS STRATEX NETWORKS INC	HSTX	62.000	332.69	401.90	69.21
LINCOLN NATIONAL CORP -IND-	LNC	710.000	22,024.63	8,573.05	(13,451.58)
3M COMPANY	MMM	50.000	3,911.50	2,945.91	(965.59)
ANNALY CAPITAL MANAGEMENT INC	NLY	115.000	1,776.18	1,747.54	(28.64)
PNC FINANCIAL SERVICES GROUP	PNC	480.000	15,350.18	16,662.23	1,312.05
WELLS FARGO & CO NEW	WFC	440.000	11,791.38	7,976.39	(3,814.99)
WHIRLPOOL CORP	WHR	85.000	2,670.40	3,066.91	396.51
Short Term Totals:		**4,768.000**	**$119,639.80**	**$72,877.22**	**($46,762.58)**

Long Term

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
ALCOA INC	AA	415.000	$15,530.22	$3,534.38	($11,995.84)
BOEING CO	BA	175.000	11,562.80	8,373.67	(3,189.13)
BANK OF AMERICA CORP	BAC	308.000	14,372.35	2,094.47	(12,277.88)
BLACK & DECKER CORPORATION	BDK	195.000	17,141.80	6,239.08	(10,902.72)
CATERPILLAR INC	CAT	195 000	14,244.76	6,884.16	(7,360.60)
CBS CORP NEW CLASS B	CBS	525.000	13,993.46	2,007.67	(11,985.79)

ATTACHMENT I

HARRY DENT [illegible]MILY FOUNDATION
EIN. 16-0849923

citi smith barney

Harry Dent Family Fdtn., Inc.
Attn: Jane E. Gailey
Nfj Dividend Value
P.O. Box 506
Lewiston NY 14092-0506

Realized Gain/Loss - Summary
(11/01/2008 - 10/31/2009)

Prepared by THE ARTHURS GROUP
Ph. 716-849-4800

As of 11/12/2009

Acct. 356-19354-16

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
CHEVRON CORP	CVX	30.000	$1,719.30	$2,331.45	$612.15
DIAMOND OFFSHORE DRILLING INC	DO	45.000	6,095.04	3,123.32	(2,971.72)
DOW CHEMICAL CO	DOW	690.000	30,044.16	6,234.30	(23,809.86)
GLAXOSMITHKLINE PLC SP ADR	GSK	105.000	4,725.97	3,931.54	(794.43)
HALLIBURTON CO HOLDINGS CO	HAL	270.000	8,855.70	6,085.73	(2,769.97)
HOME DEPOT INC	HD	165.000	4,250.73	3,932.25	(318.48)
INTEL CORP	INTC	525.000	11,146.47	6,819.90	(4,326.57)
JPMORGAN CHASE & CO	JPM	340.000	10,109.76	10,609.05	499.29
KRAFT FOODS INC CLASS A	KFT	70.000	2,338.38	1,858.84	(479.54)
KIMBERLY CLARK CORP	KMB	80.000	4,702.40	4,502.79	(199.61)
LINCOLN NATIONAL CORP -IND-	LNC	230.000	11,918.60	2,154.73	(9,763.87)
MATTEL INC DE	MAT	100.000	1,634.36	1,232.09	(402.27)
OCCIDENTAL PETROLEUM CORP-DEL	OXY	220.000	8,553.60	12,886.36	4,332.76
PFIZER INC	PFE	265.000	8,536.21	4,491.00	(4,045.21)
REYNOLDS AMERICAN INC	RAI	65.000	2,848.95	3,018.16	169.21
ROYAL DUTCH SHELL PLC ADR	RDSA	40.000	3,316.02	1,870.09	(1,445.93)
SEAGATE TECHNOLOGY	STX	580.000	10,150.00	3,433.93	(6,716.07)
AT&T INC	T	65.000	1,622.72	1,689.32	66.60
TOTAL S.A SPONS ADR	TOT	30.000	2,494.72	1,586.51	(908.21)
TRAVELERS COMPANIES INC	TRV	120.000	5,586.00	4,736.54	(849.46)
V F CORP	VFC	40.000	2,244.00	2,370.17	126.17
VERIZON COMMUNICATIONS	VZ	115.000	3,675.08	3,518.12	(156.96)
WHIRLPOOL CORP	WHR	155.000	12,563.32	5,122.92	(7,440.40)
WINDSTREAM CORP	WIN	275.000	3,540.79	2,282.54	(1,258.25)
WASTE MGMT INC DEL	WMI	85.000	2,637.52	2,248.39	(389.13)
WYETH	WYE	310.000	14,481.02	13,241.12	(1,239.90)
Long Term Totals:		**6,828.000**	**$266,636.21**	**$144,444.59**	**($122,191.62)**

HARRY DENT FAMILY FOUNDATION
EIN: 16-084992[illegible]

citi smith barney

Harry Dent Family Fdtn., Inc.
Attn: Jane E. Gailey
Nfj Dividend Value
P.O. Box 506
Lewiston NY 14092-0506

Realized Gain/Loss - Summary
(11/01/2008 - 10/31/2009)

Prepared by THE ARTHURS GROUP
Ph 716-849-4800

As of 11/12/2009

Acct. 356-19354-16

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
(11/01/2008 - 10/31/2009) Short & Long Term Totals:		**11,596.000**	**$386,276.01**	**$217,321.81**	**($168,954.20)**
EXCESS ALLOCATED BASIS			(9,770.00)		9,770.00
			376,506.01		$159,184.20

** Gain/Loss is only calculated when an original cost basis is available

FYE 10/31/09
FORM 990-PF
PART XV

HARRY DENT FAMILY FOUNDATION, INC.
ID NO. 16-0849923
SUPPLEMENTAL INFORMATION

Information regarding Grant and Loan Programs

Line 2) (a) Applications should be addressed to:

Miss Jane E. Gailey
Harry Dent Family Foundation, Inc.
P.O. Box 506
Lewiston, New York 14092
Tel: 716 754-8276

(b) The form on which applications should be submitted and information they should include:

Applications by any exempt organization dedicated to the furtherance of education, science, musical arts, literary or religious purposes may be submitted in letter form, either containing or accompanied by information which includes the name of the organization, whether or not it is exempt under the rules of the Internal Revenue Service, its current budget, summary of last year's receipts and disbursements and information regarding application of the funds being requested.

(c) No submission deadlines are imposed.

(d) This corporation is limited by its Charter to granting funds to exempt organizations dedicated to the furtherance of education, science, musical arts, charitable, benevolent and religious uses and purposes for the moral, ethical, physical, mental and intellectual well-being and progress of mankind.

The territory in which the operations of the corporation are principally conducted is the County of Erie and County of Niagara in the State of New York but may be carried on elsewhere throughout the United States of America.

MorganStanley SmithBarney

Reserved Client Statement
October 31, 2009

HARRY DENT FAMILY FDTN., INC. **Account number 356-74707-15 346**

PORTFOLIO DETAILS

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
18	RENAISSANCE RE HLDGS LTD	RNR	10/27/09	$ 1,011 78	$ 56 21	$ 52.50	$ 945.00	($ 66 78) ST	1.828%	$ 17.28
22	MILLICOM INTL CELLULAR SA NEW	MICC	10/27/09	1,453.98	66.09	62.68	1,378.96	(75 02) ST		
209	ADC TELECOMMUNICATIONS INC NEW	ADCT	10/27/09	1,420.36	6 796	6 49	1,356.41	(63.95) ST		
29	AGCO CORP	AGCO	10/27/09	835.49	28.81	28 11	815.19	(20 30) ST		
47	ABERCROMBIE & FITCH CO CLASS A	ANF	10/27/09	1,665.21	35.43	32.82	1,542 54	(122.67) ST	2 132	32 90
4	ALLEGHANY CORP DEL	Y	10/27/09	1,002.00	250 50	250 00	1,000.00	(2 00) ST		
39	ALLEGHENY ENERGY INC	AYE	10/27/09	1,000 74	25.66	22 82	889.98	(110 76) ST	2 629	23 40
35	ALPHA NATURAL RESOURCES INC	ANR	10/27/09	1,246.00	35 60	33 97	1,188 95	(57 05) ST		

MorganStanley
SmithBarney

Reserved
Client Statement
October 31, 2009

HARRY DENT FAMILY FDTN., INC. *Account number 356-74707-15 346*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
48	AMERIPRISE FINANCIAL INC	AMP	10/27/09	$ 1,747.68	$ 36 41	$ 34 67	$ 1,664.16	($ 83.52) ST	1.961 %	$ 32.64
31	BALLY TECHNOLOGIES INC	BYI	10/27/09	1,261.70	40.70	39.39	1,221.09	(40.61) ST		
32	BLUE COAT SYSTEMS INC-NEW	BCSI	10/27/09	792.00	24.75	22.28	712.96	(79.04) ST		
66	BRINKER INTL INC	EAT	10/27/09	885.72	13.42	12.64	834.24	(51.48) ST	3.481	29.04
30	CEPHALON INC	CEPH	10/27/09	1,635.00	54.50	54.58	1,637.40	2 40 ST		
360	CHIMERA INVESTMENT CORP	CIM	10/27/09	1,367.10	3.797	3.49	1,256.40	(110.70) ST	13 753	172.80
28	CLIFFS NATURAL RESOURCES	CLF	10/27/09	1,006 60	35.95	35.57	995.96	(10.64) ST	.449	4.48
70	COMERICA INC	CMA	10/27/09	2,076.20	29.66	27.75	1,942.50	(133.70) ST	72	14.00
26	COMMSCOPE INC	CTV	10/27/09	754.00	29.00	27.02	702 52	(51.48) ST		
29	COMSTOCK RES INC NEW	CRK	10/27/09	1,318.34	45.46	41.09	1,191.61	(126.73) ST		
113	CONSTELLATION BRANDS INC CL A	STZ	10/27/09	1,838.06	16.266	15.82	1,787.66	(50.40) ST		
21	CONTINENTAL RESOURCES INC	CLR	10/27/09	886.20	42.20	37 21	781.41	(104.79) ST		
16	CROWN CASTLE INTERNATIONAL	CCI	10/27/09	506.40	31.65	30.22	483 52	(22.88) ST		
90	EXCO RESOURCES INC-NEW	XCO	10/27/09	1,586.70	17.63	15.62	1,405.80	(180.90) ST	.64	9.00
14	ENERGIZER HLDGS INC	ENR	10/27/09	877.52	62.68	60.87	852.18	(25.34) ST		
170	FINISAR CORP NEW	FNSR	10/27/09	1,391.62	8.186	7.45	1,266.50	(125.12) ST		
197	FULTON FINANCIAL CORP-PA	FULT	10/27/09	1,705.63	8.658	8.26	1,627.22	(78.41) ST	1.452	23 64
64	GAMESTOP CORP NEW CL A	GME	10/27/09	1,562.88	24.42	24.29	1,554.56	(8.32) ST		
23	GUESS INC	GES	10/27/09	899.30	39 10	36.55	840 65	(58.65) ST	1.367	11.50
93	HAIN CELESTIAL GROUP INC	HAIN	10/27/09	1,679 58	18.06	17.54	1,631.22	(48.36) ST		
28	HARTFORD FINL SVCS GROUP INC	HIG	10/27/09	688 52	24.59	24.52	686.56	(1.96) ST	.815	5.60
100	HOLOGIC INC	HOLX	10/27/09	1,543.61	15 436	14.78	1,478.00	(65.61) ST		
66	HUB GROUP INC CL A	HUBG	10/27/09	1,696.86	25.71	24.86	1,640.76	(56.10) ST		

MorganStanley
SmithBarney

Reserved
Client Statement
October 31, 2009

HARRY DENT FAMILY FDTN., INC. **Account number 356-74707-15 346**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
11	ITT EDUCATIONAL SERVICES INC	ESI	10/27/09	$ 1,095 49	$ 99 59	$ 90.35	$ 993.85	($ 101.64) ST		
44	INTEGRA LIFESCIENCES HOLDINGS CORP	IART	10/27/09	1,364 00	31.00	30.54	1,343.76	(20.24) ST		
60	JACK IN THE BOX INC	JACK	10/27/09	1,122.00	18.70	18.76	1,125.60	3 60 ST		
74	KANSAS CITY SOUTHERN INDS NEW	KSU	10/27/09	1,941 76	26.24	24 23	1,793.02	(148.74) ST		
369	KEYCORP -NEW	KEY	10/27/09	2,213 45	5.998	5 39	1,988.91	(224.54) ST	.742	14.76
24	LABORATORY CORP AMER HLDGS NEW	LH	10/27/09	1,702.80	70.95	68 89	1,653.36	(49.44) ST		
60	LEGG MASON INC	LM	10/27/09	1,858.20	30 97	29.11	1,746.60	(111.60) ST	.412	7.20
40	LINCOLN NATIONAL CORP -IND-	LNC	10/27/09	980.80	24.52	23 83	953.20	(27.60) ST	.167	1.60
45	MAGELLAN HEALTH SVCS INC-NEW	MGLN	10/27/09	1,369.35	30.43	32 13	1,445.85	76 50 ST		
171	MARSHALL & ILSLEY CORP NEW	MI	10/27/09	930.22	5 439	5.32	909 72	(20 50) ST	.751	6.84
87	MICROSEMI CORP	MSCC	10/27/09	1,230.18	14 14	13.31	1,157.97	(72.21) ST		
97	NII HLDGS INC CLASS B NEW	NIHD	10/27/09	2,877.02	29.66	26.93	2,612.21	(264.81) ST		
259	NOVELL INC	NOVL	10/27/09	1,097 85	4 238	4.09	1,059 31	(38.54) ST		
60	NTELOS HOLDINGS CORP	NTLS	10/27/09	981.00	16.35	15.10	906.00	(75.00) ST	6.887	62.40
23	OSI PHARMACEUTICALS INC	OSIP	10/27/09	744.28	32.36	32.22	741.06	(3.22) ST		
35	OLD DOMINION FGHT LINES INC	ODFL	10/27/09	943.95	26 97	25.99	909.65	(34 30) ST		
152	ON SEMICONDUCTOR CORP	ONNN	10/27/09	1,075 86	7.078	6.69	1,016 88	(58.98) ST		
336	OPNEXT INC	OPXT	10/27/09	889.06	2.646	2.46	826.56	(62 50) ST		
12			10/30/09	29 43	2.452	2.46	29.52	09 ST		
348				918.49	2.639		856.08	(62.41)		
75	OWENS ILLINOIS INC NEW	OI	10/27/09	2,656 50	35.42	31 88	2,391.00	(265.50) ST		
138	PMC SIERRA INC	PMCS	10/27/09	1,182.55	8 569	8.52	1,175 76	(6 79) ST		
40	PACTIV CORP	PTV	10/27/09	952 00	23 80	23 09	923 60	(28.40) ST		
68	PETROHAWK ENERGY CORP	HK	10/27/09	1,759.16	25 87	23.52	1,599 36	(159.80) ST		
58	PHARMACEUTICAL PRODUCT DEV INC	PPDI	10/27/09	1,359 52	23 44	21 55	1,249.90	(109 62) ST	2.784	34.80

ATTACHMENT K

HARRY DENT FAMILY FOUNDATION
EIN: 16-0849923

MorganStanley SmithBarney

Reserved Client Statement
October 31, 2009

HARRY DENT FAMILY FDTN., INC. *Account number 356-74707-15 346*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
151	PINNACLE ENTMT INC	PNK	10/27/09	$ 1,534.92	$ 10.165	$ 8 45	$ 1,275.85	($ 258.97) ST		
91	PROLOGIS SH BEN INT	PLD	10/27/09	1,111.11	12.21	11.33	1,031 03	(80.08) ST	5.285	54.60
14	RALCORP HLDGS INC NEW	RAH	10/27/09	774.20	55.30	53 70	751 80	(22.40) ST		
15	RANGE RESOURCES CORP	RRC	10/27/09	815.25	54.35	50.05	750 75	(64.50) ST	.319	2.40
54	REGAL ENTERTAINMENT GROUP CL A	RGC	10/27/09	611 82	11.33	12 61	680.94	69.12 ST	5.709	38 88
257	REGIONS FINANCIAL CORP (NEW)	RF	10/27/09	1,325.09	5 156	4.84	1,243.88	(81.21) ST	.826	10.28
71	SBA COMMUNICATIONS CORP	SBAC	10/27/09	2,127 87	29.97	28.21	2,002 91	(124.96) ST		
59	SAVVIS INC-NEW	SVVS	10/27/09	1,051.97	17 83	14 79	872.61	(179.36) ST		
25	SMITH INTERNATIONAL INC DE	SII	10/29/09	723.67	28.946	27.73	693.25	(30.42) ST	1 73	12.00
37	SMITHFIELD FOODS INC DE	SFD	10/27/09	498 39	13.47	13.34	493.58	(4.81) ST		
93	SUNTRUST BANKS INC	STI	10/27/09	1,806.06	19 42	19 11	1,777.23	(28.83) ST	.209	3.72
492	SYNOVUS FINANCIAL CORP	SNV	10/27/09	1,209.09	2.457	2.22	1,092.24	(116.85) ST		
106			10/30/09	247 20	2.332	2 22	235.32	(11.88) ST		
598				1,456.29	2.435		1,327 56	(128.73)	1.801	23.92
31	ULTRA PETROLEUM CORP-CAD	UPL	10/27/09	1,642.38	52.98	48.55	1,505.05	(137 33) ST		
13	V F CORP	VFC	10/27/09	954.85	73 45	71.04	923.52	(31.33) ST	3.378	31.20
129	VALUECLICK INC	VCLK	10/27/09	1,621 81	12.572	9 84	1,269 36	(352.45) ST		
19	VARIAN MEDICAL SYSTEMS INC	VAR	10/27/09	819.09	43 11	40.98	778.62	(40.47) ST		
67	VERISIGN INC	VRSN	10/27/09	1,587 23	23 69	22.81	1,528.27	(58.96) ST		
410	WET SEAL INC CL A	WTSLA	10/27/09	1,409 58	3 438	3 19	1,307.90	(101.68) ST		
89	WRIGHT MEDICAL GROUP INC	WMGI	10/27/09	1,487.19	16.71	16 25	1,446.25	(40.84) ST		
85	ZIONS BANCORP	ZION	10/27/09	1,339 60	15 76	14.16	1,203.60	(136 00) ST	.282	3.40
Total common stocks and options				$ 96,397.58			$ 90,728.62	($ 5,668.96) ST	.75	
								$ 0.00 LT		$ 684.28