Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2008

For calendar year 2008, or tax year beginning Nov 1, **2008, and ending** Oct 31, **20** 09

G Check all that apply: ☐ Initial return ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: The Barden Foundation, Inc

Number and street (or P O box number if mail is not delivered to street address): 1146 Barnum Ave | Room/suite

City or town, state, and ZIP code: Bridgeport, CT 06610

A Employer identification number: 06-6054855

B Telephone number (see page 10 of the instructions): (203) 336 7532

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 4,802,960

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	160,950	160,950		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	160,950	160,950		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	53			53
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	5753			5753
	22 Printing and publications				
	23 Other expenses (attach schedule)	19,502	15,512		3990
	24 **Total operating and administrative expenses.** Add lines 13 through 23	25,308	15,512		9796
	25 Contributions, gifts, grants paid	204,000			204,000
	26 **Total expenses and disbursements.** Add lines 24 and 25	229,308	15,512		213,796
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	(68,358)			
	b **Net investment income** (if negative, enter -0-)		145,438		
	c **Adjusted net income** (if negative, enter -0-)				

RECEIVED
MAR 15 2010
OGDEN, UT
013 IRS-OSC

SCANNED MAR 17 2010
Revenue

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	88094	55,798	55,798
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	3236,133	3236133	2,770,312
	c Investments—corporate bonds (attach schedule)	2,127,363	2,084877	1,976,250
	11 Investments—land, buildings, and equipment. basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less. accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	5,451,590	5,376 809	4.802.960
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	5,451,590	5376 809	
	30 **Total net assets or fund balances** (see page 17 of the instructions)			
	31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	5,451,590	5,376,809	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,451,590
2 Enter amount from Part I, line 27a	2	(68,358)
3 Other increases not included in line 2 (itemize) ▶ Capital Losses	3	(6,423)
4 Add lines 1, 2, and 3	4	5,376,809
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,376,809

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	See Schedule Attached			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b	246852		253,275	<6,423>
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				<6,423>
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	0
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2007	308,428	4971 219	.06204
2006	315,371	5968,830	.05284
2005	303,185	5,667 688	.05349
2004	295,422	5,669 485	.05211
2003	305 234	5609 533	.05324

2 **Total** of line 1. column (d)	2	.27372
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.05474
4 Enter the net value of noncharitable-use assets for 2008 from Part X, line 5	4	4,311 877
5 Multiply line 4 by line 3	5	236,032
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1 454
7 Add lines 5 and 6	7	237486
8 Enter qualifying distributions from Part XII, line 4	8	213,796

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling letter: .... (attach copy of ruling letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	2909
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	2909
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	2909
6	Credits/Payments:		
a	2008 estimated tax payments and 2007 overpayment credited to 2008 — 6a: 7978		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	7978
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	5069
11	Enter the amount of line 10 to be: **Credited to 2009 estimated tax** ▶ 5069 | **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ Ø **(2)** On foundation managers. ▶ $ Ø			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ Ø			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ Delaware, Connecticut			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2008 or the taxable year beginning in 2008 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ The Borden Foundation, Inc. Telephone no. ▶ 203 336 7532
Located at ▶ 1146 Barnum Ave, Bridgeport, CT ZIP+4 ▶ 06610-0156

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2008?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2008, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2008? ☐ Yes ☒ No If "Yes," list the years ▶ 20..... , 20..... , 20..... , 20.....			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions.)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20.... , 20.... , 20.... , 20.....			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2008 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2008.)*	3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2008?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** X

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If you answered "Yes" to 6b, also file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Schedule	Attached			

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None		

Total number of others receiving over $50,000 for professional services . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 Contributions to Other Charitable Foundations	127,000
2 Scholarships	77,000
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	Ø
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4297042
b	Average of monthly cash balances	1b	80498
c	Fair market value of all other assets (see page 24 of the instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	4377540
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	4377540
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see page 25 of the instructions)	4	65,663
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,311,877
6	**Minimum investment return.** Enter 5% of line 5	6	215593

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	215,593
2a	Tax on investment income for 2008 from Part VI, line 5 — 2a: 2909		
b	Income tax for 2008. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	2909
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	212,604
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	212604
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	212,604

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	213,796
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	213,796
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	2909
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	210,897

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2007	(c) 2007	(d) 2008
1	Distributable amount for 2008 from Part XI, line 7				212,604
2	Undistributed income, if any, as of the end of 2007				
a	Enter amount for 2007 only				
b	Total for prior years: 20____,20____,20____				
3	Excess distributions carryover, if any, to 2008:				
a	From 2003 . . . 29,608				
b	From 2004 . . . 21,334				
c	From 2005 . . . 29,436				
d	From 2006 . . . 21 864				
e	From 2007 . . . 62,280				
f	**Total** of lines 3a through e	164,522			
4	Qualifying distributions for 2008 from Part XII, line 4: ▶ $ 213,796				
a	Applied to 2007, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
d	Applied to 2008 distributable amount				212,604
e	Remaining amount distributed out of corpus	1,192			
5	Excess distributions carryover applied to 2008 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	165,714			
b	Prior years' undistributed income. Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions				
e	Undistributed income for 2007. Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions				
f	Undistributed income for 2008. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2009				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8	Excess distributions carryover from 2003 not applied on line 5 or line 7 (see page 27 of the instructions)	29,608			
9	**Excess distributions carryover to 2009.** Subtract lines 7 and 8 from line 6a	136,106			
10	Analysis of line 9:				
a	Excess from 2004 . . . 21334				
b	Excess from 2005 . . . 29 436				
c	Excess from 2006 . . . 21,864				
d	Excess from 2007 . . . 62 280				
e	Excess from 2008 . . . 1,192				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2008, enter the date of the ruling . . . . . ▶ NA

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2008	(b) 2007	(c) 2006	(d) 2005	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter ⅔ of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

Scholarships: The Scholarship Committee, The Barden Foundation, Inc., 200 Park Ave, Danbury, CT 06810
Other Requests: Robin Bergman, The Barden Foundation, Inc. 1146 Barnum Ave. Danbury, CT 06610

b The form in which applications should be submitted and information and materials they should include:

Scholarships: See Attached
Other requests: A letter outlining the organization goals, requirements, recent financial statements

c Any submission deadlines:

March 31 for Scholarships, January 1 for other requests

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Some scholarships are restricted to son's and daughters of The Barden Corporation, Lacey Manufacturing Company, LLC, Winsted Precision Ball Company, Inc.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Schudules Attached			Contributions	127,000
			Scholarships	77,000
Total			▶ 3a	204,000
b *Approved for future payment*				
See Schedule Attached				235,000
Total			▶ 3b	235,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See page 28 of the instructions)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	160,950	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				160,950	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 160,950

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 28 of the instructions)
	Not Applicable

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Thomas Loeu Mirau | 3/8/2010 | President

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only			
Preparer's signature	Date	Check if self-employed ☐	Preparer's identifying number (see **Signature** on page 30 of the instructions)
Firm's name (or yours if self-employed), address, and ZIP code		EIN	
		Phone no ( )	

THE BARDEN FOUNDATION, INC.
IDENTIFICATION #06-6054855

STATEMENT REGARDING OFFICERS, COMPENSATION, ETC.

2009 Form 990PF - Part VIII - Item 1

	Title & Avg. Hrs. Per Wk. Devoted to Position	Contri. To Empl. Benefit Plans	Exp. Acct. Other All.	Comp.
Robin Bergman 64 Deer Run Rd Watertown, CT 06795	Treas./Secr./Trustee . PT	0	0	0
Thomas F. Loughman 34 Hawley Road Ext. Danbury, CT 06811	President PT	0	0	0
Stanley Noss 739 Pinehurst Way Palm Beach Gardens, FL 33418	Trustee PT	0	0	0
Robert P. More 715 Pinehurst Way Palm Beach Gardens, FL 33418	Trustee PT	0	0	0
Robert Davis 113 St Charles Court Abita Springs, LA 70420	Trustee	0	0	0
Jeannine Frink 11 Ruth Crossroad Winsted, CT 06098	Trustee PT	0	0	0

The Barden Foundation, Inc.
Donations
11/1/08 - 10/31/09

#06-6054855

Date	Description	Amount
4/21/2009	United Way of Northern Fairfield County	20000.00
4/21/2009	United Way of Northwest Conn., Inc	10000.00
4/21/2009	United Way of Eastern Fairfield County	9000.00
4/21/2009	American Cancer Society - Danbury & Bridgeport	4000.00
4/21/2009	American Red Cross Fairfield County	2000.00
4/21/2009	American Red Cross Danbury Chapter	2000.00
4/21/2009	American Red Cross - Greater Hartford Chapter	2000.00
4/21/2009	Danbury Hospital Development Fund, Inc.	19000.00
4/21/2009	St. Vincent's Medical Center Foundation	2000.00
4/21/2009	Norma Phrem Breast Cancer Center	2000.00
4/21/2009	Bridgeport Hospital Foundation, Inc.	20000.00
4/21/2009	New Milford Hospital Foundation, Inc.	2000.00
4/21/2009	BAYM, Inc.	1000.00
4/21/2009	Cardinal Shehan Center	1000.00
4/21/2009	Connecticut Yankee Council, BSA	2000.00
4/21/2009	Girl Scout Council of Connecticut	3000.00
4/21/2009	Junior Achievement of Western CT, Inc.	1000.00
4/21/2009	McGiveney Community Center	1000.00
4/21/2009	Winchester Youth Service Bureau	1000.00
4/21/2009	Colebrook Recreation Department	1000.00
4/21/2009	Foothill Visiting Nurse Service	1000.00
4/21/2009	Americares Ree Clinics Danbury & Bridgeport	3000.00
4/21/2009	Visiting Nurse Services of CT, Inc.	1000.00
4/21/2009	Ability Beyond Disability	4000.00
4/21/2009	Regional YMCA of Western CT	1000.00
4/21/2009	Winsted Area Childcare Center, Inc.	7000.00
4/21/2009	Prime Time House	1000.00
4/21/2009	Connecticut Special Olympics, Inc.	1000.00
4/21/2009	CT. Zoological Society	1000.00
4/21/2009	Town of Winchester Recreation Dept.	1000.00
4/21/2009	Interlude	1000.00
		127000.00

The Barden Foundation, Inc.
Scholarship
11/1/08 - 10/31/09

#06-6054855

Date	Description	Amount
1/17/2009	Assumption College - Kyle Chafe	1500.00
1/17/2009	Univ of Hartford. - D'Lea Cohen	1500.00
1/17/2009	Saint Anselm - John Kollar	1500.00
1/17/2009	Stone Hill College - Martha Szerszen	1500.00
1/17/2009	WCSU - Nicole Mendes	1500.00
1/17/2009	Champlain College - Jonathan Richard	1500.00
1/17/2009	Gettysburg College Deanna Rogers	1500.00
1/17/2009	Emerson College - Rebecca Bradshaw	1500.00
1/17/2009	Uconn - Bryan Leblanc	1500.00
1/17/2009	CCSU - Alex Miko	1500.00
1/17/2009	Yale - Valantin Savath	1500.00
1/17/2009	UNH - Nicole Baptista	1500.00
1/17/2009	Uconn Steven Ferreira	1500.00
1/17/2009	Uconn - Alex Giglo	1500.00
1/17/2009	Dowling College - Lauren Roberts	1500.00
1/17/2009	Sacred Heart Univ - Jessica Sousa	1500.00
1/17/2009	Northeastern Univ Lauren Ziluck	1500.00
1/17/2009	WCSU - Leslie Poleseno	1500.00
1/30/2009	UNC Charlotte - Alex Rosenthal	1500.00
1/30/2009	WCSU - Michael Olson	1500.00
2/5/2009	Northwestern CT CC	500.00
3/18/2009	Naugatuck Valley CC Foundation	2000.00
7/14/2009	WCSU - Leslie Poleseno	1500.00
7/14/2009	UNC Charlotte - Alex Rosenthal	1500.00
7/14/2009	WCSU - Nicole Mendes	1500.00
7/14/2009	WCSU - Michael Olson	1500.00
7/14/2009	Champlain College - Jonathan Richard	1500.00
7/14/2009	Gettysburg College Deanna Rogers	1500.00
7/14/2009	Emerson College - Rebecca Bradshaw	1500.00
7/14/2009	Uconn - Bryan Leblanc	1500.00
7/14/2009	CCSU - Alex Miko	1500.00
7/14/2009	Yale - Valantin Savath	1500.00
7/14/2009	UNH - Nicole Baptiste	1500.00
7/14/2009	Uconn - Steven Ferreira	1500.00
7/14/2009	Uconn - Alex Giglo	1500.00
7/14/2009	Dowling College - Lauren Roberts	1500.00
7/14/2009	Sacred Heart Univ - Jessica Sousa	1500.00
7/14/2009	Northeastern University Lauren Ziluck	1500.00
7/14/2009	University of Hartford -Sabrina Amaral	1500.00
7/14/2009	CCSU - Kevin Chaffee	1500.00
7/14/2009	Bloomsburg University - Oscar Brabant	1500.00
7/14/2009	Uconn - Michelle Krol	1500.00
7/14/2009	Stony Brook University - Gelainna Valera	1500.00
7/14/2009	College of St. Rose - Erik Hasler	1500.00
8/6/2009	WCSU Foundation	6000.00
8/12/2009	Housatonic CC Foundation	2000.00
8/12/2009	Norhtwestern Ct. CC	500.00
		74000.00

THE BARDEN FOUNDATION
Vanguard Group Funds
10/31/2008

	Funds at	
	Cost	Fair Market Value
Vanguard Fund		
High Yield Corp Fund Adm	424,012	297,727
Intenm- Term Bond Index	313,533	288,046
Intenm- Term Inves Gr Bond Adm	515,179	429,695
Long-term Bond Index	386,351	329,335
Short Term Inv GR Bond Index Adm	221,344	225,875
Intenm- Term Inves Gr Bond Adm	266,944	250,646
Investment - Bonds	2,127,363	1,821,325
Strategic Equity	216,597	121,594
Explorer Fund Admiral	235,673	133,569
Total Intl Stock Indx Adm	559,805	440,182
Total Stock Mkt Idx Adm	1,235,288	960,902
US growrh Adm	475,828	349,115
Windsor II Fund Adm	512,942	327,202
	-	
Investment - Stocks	3,236,134	2,332,564
	5,363,496	4,153,889

THE BARDEN FOUNDATION
Vanguard Group Funds
10/31/2009

	Funds at	
	Cost	Fair Market Value
Vanguard Fund		
High Yield Corp Fund Adm	424,012	407,747
Interim- Term Bond Index	313,533	345,336
Interim- Term Inves Gr Bond Adm	515,179	539,742
Long-term Bond Index	386,351	416,784
Short Term Inv GR Bond Index Adm	178,858	114,353
Interim- Term Inves Gr Bond Adm	266,944	152,888
Investment - Bonds	2,084,877	1,976,850
Strategic Equity	216,597	145,839
Explorer Fund Admiral	235,673	153,151
Total Intl Stock Indx Adm	559,805	592,477
Total Stock Mkt Idx Adm	1,235,288	1,068,710
US growrh Adm	475,828	405,616
Windsor II Fund Adm	512,942	404,519
	-	
Investment - Stocks	3,236,134	2,770,312
	5,321,011	4,747,162

#06-605485

THE BARDEN FOUNDATION, INC
FOR THE YEAR ENDED OCTOBER 31,2009
MISC EXPENSES

	AMOUNT
Fees For Investment Accounts	15,512
CSC Netwok Representation	504
Insurance	2,450
Supplies & Postage	95
Storage	941
TOTAL MISCELLANEOUS EXPENSES	19,502

THE BARDEN FOUNDATION, INC
FOR THE YEAR ENDED OCTOBER 31, 2009
TAXES

Delaware Franchise Tax	53
	53

06-6054855

The Barden Foundation, Inc
Part IV Capital Gains and Losses for Tax on Investment Income

(a) SECURITY	SHARES/ Face Value	(B) How Acquired	© Date Acquired	(d)DATE SOLD	(e) Sales Price	(g) COST	(h) & (l) Gain / Loss	GAIN/LOSS SHORT-TERM	GAIN/LOSS LONG-TERM
Vanguard Short-term Invest Grade Fund Adm	373 75	Purchase	Fund Distribution	1/26/2009	3,845 91	3,715 17	130 74		130 74
Vanguard Short-term Invest Grade Fund Adm	9,278 35	Purchase	Fund Distribution	3/4/3009	90,000 00	98,612 44	-8,612 44		-8,612 44
Vanguard Short-term Invest Grade Fund Adm	8,832 19	Purchase	Fund Distribution	3/4/3009	90,000 00	87,808 68	2,191 32		2,191 32
Vanguard Short-term Invest Grade Fund Adm	3,061 22	Purchase	Fund Distribution	4/13/2009	30,000 00	32,553 06	-2,553.06		-2,553 06
Vanguard Short-term Invest Grade Fund Adm	974 659	Purchase	Fund Distribution	4/13/2009	10,000 00	9,690 85	309 15		309 15
Vanguard Short-term Invest Grade Fund Adm	974 659	Purchase	Fund Distribution	4/13/2009	10,000 00	9,690 85	309.15		309 15
Vanguard Short-term Invest Grade Fund Adm	353 118	Purchase	Fund Distribution	4/27/2009	3,633 58	3,510 98	122 60		122 60
Vanguard Short-term Invest Grade Fund Adm	374 80	Purchase	Fund Distribution	7/27/2009	3,879 13	3,727 58	151 55		151 55
Vanguard Short-term Invest Grade Fund Adm	398 75	Purchase	Fund Distribution	10/26/2009	4,153 11	3,965 37	187 74		187 74
Vanguard interim Term Invest Gr Fund Adm		Purchase		3/26/2009	428 88		428 88		428 88
Vanguard Short-term Invest Grade Fund Adm		Purchase		3/26/2009	911.37		911 37		911 37
					246,851 98	253,274 98	-6,423 00	0 00	-6,423 00

	2010 Budget
Foothill Visiting Nurse Service	1,000
Americares Free Clinics Danbury & Bridgeport	3,000
Visiting Nurse Services of CT , Inc.	1,000
Ability Beyond Disability	4,000
M C C A	3,000
Subtotal	12,000
Total Health, Youth and Welfare Agencies	133,000
2 Education	
Scholarships	82,000
Total Education	82,000
3. Special	
Regional Y M C A of Western Connecticut	1,000
Winsted Area Child Care Center, Inc	7,000
Norhwest Connecticut YMCA	1,000
Prime Time House	1,000
Center for Woman and Families	1,000
Connecticut Special Olympics, Inc	1,000
Ct Zoological Society	1,000
Town of Winchester Recreation Department	1,000
Ann's Place	1,000
Interlude	2,000
Subtotal	17,000
4. Miscellaneous	3,000
Subtotal	3,000
Total Contributions & Scholarships	$ 235,000

The Barden Foundation, Inc.
Work Sheet Donations
For the Year Ended October 31,2010

		2010 Budget
1. Health and Welfare Agencies		
A United Way:		
United Way of Northern Fairfield County	$	23,000
United Way of Northwest Connecticut, Inc.		12,000
United Way of Eastern Fairfield County		12,000
Subtotal		47,000
B National Health Agencies·		
American Cancer Society - Danbury & Bridgeport		5,000
American Red Cross - Fairfied County		3,000
American Red Cross - Danbury Chapter		3,000
American Red Cross - Greater Hartford Chapter		2,000
Subtotal		13,000
C Hospitals and Nursing Home:		
Danbury Hospital Development Fund, Inc		22,000
St Vincent's Medical Centerl Foundation		2,000
Norma Phrem Breast Cancer Center		2,000
Bridgeport Hospital Foundation, Inc		20,000
New Milford Hospital Foundation, Inc.		2,000
Subtotal		48,000
D Youth Agencies:		
BAYM, Inc.		1,000
Cardinal Shehan Center		1,000
Connecticut Yankee Council BSA		3,000
Girl Scout Council of Connecticut		4,000
Junior Achievement of Western CT , Inc		1,000
McGiveney Community Center		1,000
Winchester Youth Service Bureau		1,000
Colebrook Recreation Department		1,000
Subtotal		13 000

E. Local Health and Welfare Agencies.

THE BARDEN FOUNDATION, INC.

IDENTIFICATION #06-6054855

SUPPLEMENTARY INFORMATION

SCHOLARSHIP PROGRAM

2009 FORM 990PF - PART XV - LINE 2B

Eligibility requirements for anyone applying for a Barden Foundation Scholarship are described in the enclosed brochure, "The Barden Foundation, Inc. Scholarship Award Program."

Also enclosed are the application forms:

1. Application for Scholarship to be completed by the candidate.

2. High School Certification to be completed by the candidate's high school principal.

SCHOLARSHIP AWARD PROGRAM

The Scholarship Award Program was created to provide an opportunity for sons and daughters of employees of divisions of The Barden Corporation to obtain financial assistance for a college education.

A Scholarship Award provides up to $3000 per year depending on college charges. Each award will be made on the basis of the eligibility requirements stated below.

An impartial Scholarship Committee will review applications and make final award decisions. A scholarship will not be granted in a year that, in the judgment of the Committee, no candidate qualifies.

Eligibility requirements

In order to be eligible for a Scholarship Award, a candidate must:

1. In the opinion of the judges, be of good moral character.
2. Be a son or daughter of a person employed by a division of The Barden Corporation at the time the Scholarship Award is given. This requirement may be waived in extenuating or unusual circumstances in case of reapplication for continuing scholarship assistance.
3. Expect to graduate from a secondary school in the school year in which the scholarship is granted and enter an accredited college or university in the next school year.
4. Take College Board Entrance Examinations in December of the school year in which the application is made.
5. At least once each academic year while receiving a Scholarship Award, the candidate must have a brief meeting with an Officer of the Corporation or Trustee of the Foundation.

Scholarship award recipients must send to the Scholarship Committee an official transcript of their academic and attendance records at the end of each term. In addition, they may reapply annually in writing for continuing assistance, based on their academic record and financial need, if they are attending an accredited college or university as undergraduates and meet the requirements of item (2) above.

Filing applications

Candidates applying for scholarship assistance must file their completed applications with the Scholarship Committee, The Barden Corporation, Corporate Headquarters, 200 Park Avenue, Danbury, Conn., 06810 not later than April 1 of the final year of a secondary school.

Awarding of scholarship

In determining the awards, the Scholarship Committee will weigh the relative merits of the applicants based upon scholastic achievement, participation in school activities, character and financial need.

The Scholarship Committee will make its decision in the spring of the school year in which the application is made. A decision will be made no later than the first week in May. Certification by the college or university to which the applicant has been admitted will be necessary before the actual award can be made. The award will be made directly to the college or university in the name of the scholarship recipient.

A candidate receiving scholarship assistance must notify the Scholarship Committee of acceptance within ten days from the date of granting of the scholarship.

The Barden Foundation Scholarship Fund

Application for Scholarship

Typewrite or print in ink all information in items 1-22. Answer items 23 and 24 in your own handwriting

Mail completed form to the Scholarship Committee, The Barden Foundation, 200 Park Avenue, Danbury, Connecticut 06810

I hereby apply for a scholarship under the Scholarship Program of the Barden Foundation, and submit the following information:

1. This application is for a scholarship to commence in the ________ semester of 20

2. Name (give last name first): Mr./Ms. ____________________

3. Full home address: ____________________ Phone: __

4. Birth date: ____________

5. Is your father living? Yes ☐ No ☐ Is your mother living? Yes ☐ No ☐

6. Full name and address of Barden employee on whose employment status you wish to place your application: Name (give last name first): ____________________

   Complete street address ____________________ Phone ________

7. List the names of persons living in your home as part of the family group:

   Father ____________________ Mother ____________________

   Brothers (give age of each) ____________ Sisters (give age of each) __

   ____________________ ____________________

   Other persons ____________________

8. Did you earn any money while in high school (including summers)? Yes ☐ No ☐

   How much did you earn in total? ____________ How did you earn it?

   ____

   ____

9. Describe in your own words your work activities during the past three years either for your family, at home or for outside employers ______________________________

____

____

10. All high schools and other secondary schools attended:

Name of school	City and State	Attendance dates Start date	End date	Graduation date	Principal

Important: If you have earned credits in high schools other than the one you are now attending (or last attended) be sure that all grades and credits earned there are included in your high school record to be furnished by your last school principal or high school certification.

11. What subjects have you found most interesting in your high school work?

First: ______________________ Second: ______________

12. Do you feel that your high school grades are an accurate index of your ability? Yes [ ] No [ ]

Comments: ______________________________

____

____

13. What special recognition have you received for outstanding schoolwork such as honors, prizes, or scholarships? ______________________________

____

14. Specify what part you have played in high school activities such as class or other school offices held, band or orchestra, athletics, dramatics, debate or oratory, school publications, pep club, etc:

Activity	Position held	Hours spent per week	Year of participation Fr.	Soph.	Jr.	Sr.

15. Specify what part you have played in *organized out-of-school activities* such as rank attained as Boy or Girl Scout, 4-H Club work, church organizations, etc.

Activity	Position held	Hours spent per week	Year of participation			
			Fr.	Soph.	Jr.	Sr

16. What are your favorite sports and recreational activities? ______

______

17. What are your hobbies? ______

18. What special recognition have you received in connection with your recreational activities or hobbies?

______

______

19. List the titles and authors of some of the books you have read during the past year outside of school requirements. ______

______

20. In what college or university do you wish to enroll?

First choice ______ Second choice ______ Third choice ______

Note: Your choice of college will in no way affect your chances of winning a scholarship.

21. Where do you plan to live at college?

At home ______ With friends or relatives ______ Dormitory ______

Rented room in private home ______ Other (specify) ______

22. What general course of study do you plan to take? ______

______

______

23. State in your own handwriting what your education objectives are, what you want to prepare yourself for by attending college, and the reasons why you have decided on these things

24. Since it will not be possible to have personal interviews with all applicants, write in your own handwriting anything that you would like to say if you were talking to the Scholarship Committee

Certification

I certify that all statements contained in the foregoing application are true and correct, that I have read the leaflet "The Barden Foundation Scholarship Fund," and that I believe myself eligible to apply for a scholarship under the provisions and conditions it contains. I have personally delivered the High School Certification form and return envelope to my high school principal or guidance counselor

Signed: ______________________

Date: ______________________

The Barden Foundation Scholarship Fund

High School Certification

As an applicant for a Barden Foundation Scholarship to commence in the _______________ semester of 20___, I hereby request that you, as my High School Principal, complete this form and mail it as indicated below.

____
Name of applicant (last name, first name, middle initial)

____
Address

____

____

____
Applicant Signature

This section to be completed by the high school principal or guidance counselor and mailed in the attached return envelope to the Scholarship Committee, The Barden Foundation, 200 Park Avenue, PO Box 2449, Danbury, Connecticut 06813.

1. Name of high school ____

2. Street address of high school ____

3. Entrance date of this applicant at this high school (month and year): ___________

4. This applicant is expected to graduate in (month and year): _______________

5. Based on his / her three years of high school work the applicant ranked, at the end of his junior year,

   number _________ from the top in a class of ___________ members.

6. In what connection have you known this applicant? _______________________

7. Has the applicant shown an outstanding scholastic aptitude of any kind? Yes [ ] No [ ]

   Comments: ____

   ____

8. Has the applicant shown an outstanding scholastic shortcoming of any kind? Yes [ ] No [ ]

   Comments: ____

   ____

9. Has the applicant attained any scholastic honors?

Yes ☐ No ☐ Comments: ____________________

10. Has the applicant ever been a serious disciplinary problem, either scholastically or otherwise?

Yes ☐ No ☐ Comments: ____________________

11. Has the applicant's attendance record been entirely satisfactory?

Yes ☐ No ☐ Comments: ____________________

12. In your opinion, has this student been working up to the true level of his/her ability?

Yes ☐ No ☐ Comments: ____________________

13. Have the applicant's studies been seriously affected by outside work, illness, or other factors?

Yes ☐ No ☐ Comments: ____________________

14. Specify what part the applicant has played in high school activities such as class or other school offices held, band or orchestra, athletics, dramatics, debate or oratory, school publications, pep club, etc.

Activity	Position of applicant	Hours per week	Year of participation				Contributions to success or failure of this activity		
			Fr.	Soph.	Jr.	Sr.	Large	Small	Indiff.

15. Applicant's scores on standard objective achievement tests, personality tests and vocational preference tests are desired if available. Include any scores from General Education Development Test.

Name of test	Form or edition	Year given	Point score	Percentile score	Average percentile this school