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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2008

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2008, or tax year beginning OCT 1, 2008, and ending SEP 30, 2009

G Check all that apply: ☐ Initial return ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation ERIK E AND EDITH H BERGSTROM FOUNDATION, A CHARITABLE TRUST		A Employer identification number 91-2155835
	Number and street (or P O box number if mail is not delivered to street address) P.O. BOX 520		B Telephone number (650) 323-0596
	City or town, state, and ZIP code PALO ALTO, CA 94302-0520		C If exemption application is pending, check here <input type="checkbox"/>
			D 1 Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>

H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 69,646,386. (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	1,010,150.		N/A	
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	1,902,651.	1,506,561.		STATEMENT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	205,122.			
b	Gross sales price for all assets on line 6a				
7	Capital gain net income (from Part IV, line 2)		350,579.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total. Add lines 1 through 11	3,117,923.	1,857,140.		
13	Compensation of officers, directors, trustees, etc	0.	0.		0.
14	Other employee salaries and wages	99,588.	49,794.		49,794.
15	Pension plans, employee benefits	26,048.	13,024.		13,024.
16a	Legal fees				
b	Accounting fees STMT 2	33,658.	16,829.		16,829.
c	Other professional fees				
17	Interest	566,250.	441,008.		0.
18	Taxes STMT 3	<61,009.>	0.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings	8,494.	0.		8,494.
22	Printing and publications				
23	Other expenses STMT 4	42,042.	26,398.		7,143.
24	Total operating and administrative expenses. Add lines 13 through 23	715,071.	547,053.		95,284.
25	Contributions, gifts, grants paid	2,385,596.			2,920,660.
26	Total expenses and disbursements. Add lines 24 and 25	3,100,667.	547,053.		3,015,944.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	17,256.			
b	Net investment income (if negative, enter -0-)		1,310,087.		
c	Adjusted net income (if negative, enter -0-)			N/A	

LHA For Privacy Act and Paperwork Reduction Act Notice, see the instructions.

Form 990-PF (2008)

SCANNED AUG 19 2010

Revenue
Operating and Administrative Expenses

**ERIK E AND EDITH H BERGSTROM FOUNDATION,
A CHARITABLE TRUST**

Form 990-PF (2008)

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Part II Balance Sheets <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	9,205.	1,522.	1,522.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	73,695,790.	65,495,866.	65,495,866.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶ STATEMENT 8)	3,804,966.	4,148,998.	4,148,998.	
16 Total assets (to be completed by all filers)	77,509,961.	69,646,386.	69,646,386.	
Liabilities	17 Accounts payable and accrued expenses	23,085.	12,947.	
	18 Grants payable	1,292,893.	754,183.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 9)	23,333,326.	20,940,139.	
23 Total liabilities (add lines 17 through 22)	24,649,304.	21,707,269.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> X and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted	49,243,102.	44,321,557.	
	25 Temporarily restricted	3,617,555.	3,617,560.	
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	52,860,657.	47,939,117.	
	31 Total liabilities and net assets/fund balances	77,509,961.	69,646,386.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	52,860,657.
2 Enter amount from Part I, line 27a	2	17,256.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 5	3	186,798.
4 Add lines 1, 2, and 3	4	53,064,711.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 6	5	5,125,594.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	47,939,117.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHMENT A		VARIOUS	VARIOUS
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			350,579.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			350,579.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	350,579.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2007	2,917,376.	60,653,181.	.048099
2006	4,107,178.	65,533,294.	.062673
2005	3,073,844.	59,006,861.	.052093
2004	3,913,273.	56,126,614.	.069722
2003	3,986,640.	50,005,270.	.079724

2 Total of line 1, column (d)	2	.312311
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.062462
4 Enter the net value of noncharitable-use assets for 2008 from Part X, line 5	4	34,473,003.
5 Multiply line 4 by line 3	5	2,153,253.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	13,101.
7 Add lines 5 and 6	7	2,166,354.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	3,015,944.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling letter: _____ (attach copy of ruling letter if necessary-see instructions)		1	13,101.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		3	13,101.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	13,101.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2008 estimated tax payments and 2007 overpayment credited to 2008	6a 46,000.	7	46,000.
b Exempt foreign organizations - tax withheld at source	6b	8	
c Tax paid with application for extension of time to file (Form 8868)	6c	9	
d Backup withholding erroneously withheld	6d	10	32,899.
7 Total credits and payments Add lines 6a through 6d		11	0.
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2009 estimated tax <input checked="" type="checkbox"/> 32,899. Refunded <input type="checkbox"/>			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6 X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7 X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2008 or the taxable year beginning in 2008 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address **► N/A**

14 The books are in care of **► JULIA RITA BOLOUS** Telephone no **► 650-323-0596**
 Located at **► P.O. BOX 520, PALO ALTO, CA** ZIP+4 **► 94302-0520**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here **►** ☐
 and enter the amount of tax-exempt interest received or accrued during the year **► 15** **N/A**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		X
Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2008?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2008, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2008?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ► _____, _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2008 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2008.)		X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2008?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)
5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

ATTACHMENT D

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐

5b

X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

ATTACHMENT D

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If you answered "Yes" to 6b, also file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

N/A

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors
1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ERIK E. BERGSTROM	CO-EXEC. DIRECTOR			
P.O. BOX 520				
PALO ALTO, CA 94302	35.00	0.	0.	0.
EDITH H. BERGSTROM	CO-EXEC. DIRECTOR			
P.O. BOX 520				
PALO ALTO, CA 94302	10.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JULIA RITA BOLOUS	FIN. DIR./PRGRM OFFICER			
P.O. BOX 520, PALO ALTO, CA 94302	35.00	78,510.	16,814.	

Total number of other employees paid over \$50,000

☐ 0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
	0.
2	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	55,933,319.
b	Average of monthly cash balances	1b	4,793.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	55,938,112.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	20,940,139.
3	Subtract line 2 from line 1d	3	34,997,973.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	524,970.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	34,473,003.
6	Minimum investment return. Enter 5% of line 5	6	1,723,650.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,723,650.
2a	Tax on investment income for 2008 from Part VI, line 5	2a	13,101.
b	Income tax for 2008. (This does not include the tax from Part VI.)	2b	21,266.
c	Add lines 2a and 2b	2c	34,367.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,689,283.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,689,283.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,689,283.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,015,944.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,015,944.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	13,101.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,002,843.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2007	(c) 2007	(d) 2008
1 Distributable amount for 2008 from Part XI, line 7				1,689,283.
2 Undistributed income, if any, as of the end of 2007				
a Enter amount for 2007 only			0.	
b Total for prior years.		0.		
3 Excess distributions carryover, if any, to 2008:				
a From 2003				
b From 2004	1,044,839.			
c From 2005	252,687.			
d From 2006	954,075.			
e From 2007	2,917,376.			
f Total of lines 3a through e	5,168,977.			
4 Qualifying distributions for 2008 from Part XII, line 4: ▶ \$ 3,015,944.				
a Applied to 2007, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions) **	3,015,944.			
d Applied to 2008 distributable amount				0.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2008 (If an amount appears in column (d), the same amount must be shown in column (a))	1,689,283.			1,689,283.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	6,495,638.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2007. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2008. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2009				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2003 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2009. Subtract lines 7 and 8 from line 6a	6,495,638.			
10 Analysis of line 9:				
a Excess from 2004				
b Excess from 2005				
c Excess from 2006	562,318.			
d Excess from 2007	2,917,376.			
e Excess from 2008	3,015,944.			

** SEE STATEMENT 10

Form 990-PF (2008)

823581
01-02-09

**ERIK E AND EDITH H BERGSTROM FOUNDATION,
A CHARITABLE TRUST**

Form 990-PF (2008)

91-2155835 Page 10

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2008, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2008	(b) 2007	(c) 2006	(d) 2005	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 11

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:
N/A

b The form in which applications should be submitted and information and materials they should include:
N/A

c Any submission deadlines:
N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
N/A

ERIK E AND EDITH H BERGSTROM FOUNDATION,
A CHARITABLE TRUST**Part XV. Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE ATTACHMENT B				2,920,660.
Total			▶ 3a	2,920,660.
b Approved for future payment SEE ATTACHMENT B				304,948.
Total			▶ 3b	304,948.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer or trustee <u>Rich E Bergstrom</u>		Date <u>1/8/2/10</u>	Title <u>CO-TRUSTEE</u>	
	Preparer's signature <u>Shaun Ziebach</u>	Date <u>7/29/10</u>	Check if self-employed <input type="checkbox"/>	Preparer's identifying number	
Paid Preparer's Use Only	Firm's name (or yours if self-employed), address and ZIP code <u>DELOITTE TAX LLP</u> <u>50 FREMONT STREET</u> <u>SAN FRANCISCO, CA 94105</u>		EIN <u> </u>		
			Phone no <u>415-783-4000</u>		

Form 990-PF (2008)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, and 990-PF.

OMB No 1545-0047

2008

Name of the organization

ERIK E AND EDITH H BERGSTROM FOUNDATION,
A CHARITABLE TRUST

Employer identification number

91-2155835

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**. (Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.)

General Rule

- ☒ For organizations filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990, or Form 990-EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on Form 990, Part VIII, line 1h or 2% of the amount on Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. (If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year.) ▶ \$ _____

Caution. Organizations that are not covered by the General Rule and/or the Special Rules do not file Schedule B (Form 990, 990-EZ, or 990-PF), but they **must** answer "No" on Part IV, line 2 of their Form 990, or check the box in the heading of their Form 990-EZ, or on line 2 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990. These instructions will be issued separately.

Schedule B (Form 990, 990-EZ, or 990-PF) (2008)

Name of organization
ERIK E AND EDITH H BERGSTROM FOUNDATION,
A CHARITABLE TRUST

Employer identification number

91-2155835

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ERIK E. BERGSTROM, TRUSTEE P.O. BOX 126 PALO ALTO, CA 94302	\$ 1,010,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Capital Gain / (Loss)

	Shares	Sold	Purchase	Proceeds	Basis	Gain/Loss	Debt/Basis %	990T	990PF
NON DEBT FINANCED									
ADX	9,310	3/3/2009	10/5/1993	64,757 69	117,072 32	(52,314 63)		-	(52,314 63)
ADX	690	3/11/2009	10/5/1993	4,589 05	8,659 50	(4,070 45)		-	(4,070 45)
ADX	2,500	3/2/2009	10/12/1993	17,031 75	31,462 00	(14,430 25)		-	(14,430 25)
ADX	17,000	3/2/2009	10/13/1993	115,815 59	215,136 70	(99,321 11)		-	(99,321 11)
ADX	2,800	3/4/2009	1/2/1987	17,518 33	36,622 88	(19,104 55)		-	(19,104 55)
ADX	1,700	3/4/2009	1/2/1987	10,669 46	22,235 32	(11,565 86)		-	(11,565 86)
ADX	500	3/4/2009	1/7/1987	3,138 10	6,457 17	(3,319 07)		-	(3,319 07)
ADX	3,000	3/4/2009	1/7/1987	18,681 49	38,742 90	(20,061 41)		-	(20,061 41)
ADX	1,000	3/4/2009	1/7/1987	6,254 33	12,914 40	(6,660 07)		-	(6,660 07)
ADX	1,000	3/4/2009	1/8/1987	6,254 34	12,916 50	(6,662 16)		-	(6,662 16)
ADX	3,000	3/10/2009	1/8/1987	18,556 11	38,749 80	(20,193 69)		-	(20,193 69)
ADX	5,000	3/4/2009	5/3/1993	30,979 90	70,448 00	(39,468 10)		-	(39,468 10)
ADX	2,000	3/9/2009	6/3/1993	12,069 96	28,373 80	(16,303 84)		-	(16,303 84)
ADX	5,000	3/4/2009	6/23/1993	30,984 90	70,866 00	(39,881 10)		-	(39,881 10)
GAM	CGD	12/26/2008				19,080 00		-	19,080 00
ADX	CGD	12/29/2008				540,000 00		-	540,000 00
ADX	CGD	3/2/2009				30,000 00		-	30,000 00
ADX	CGD	12/26/2008				406,345 00		-	406,345 00
ADX	CGD	3/2/2009				22,575 00		-	22,575 00
				357,301 00	710,657 29	664,643.71		-	664,643 71

DEBT FINANCED

ECF	10,000	10/01/08	10/31/2008	44,594 00	83,648 00	(39,054 00)	29 52%	(11,529 91)	(27,524 09)
TGI	45,000	10/01/08	10/31/2008	326,233 00	302,771 00	23,462 00	29 52%	6,926 69	16,535 31
CET	4,000	10/31/2008	5/29/2002	71,494 12	98,000 00	(26,505 88)	29 52%	(7,825 33)	(18,680 55)
CET	1,000	10/31/2008	5/29/2002	17,873 52	24,500 00	(6,626 48)	29 52%	(1,956 34)	(4,670 14)
ECF	55,000	11/01/08	11/30/2008	253,246 00	460,063 00	(206,817 00)	30 01%	(62,057 51)	(144,759 49)
CET	800	11/3/2008	5/29/2002	14,729 91	19,704 00	(4,974 09)	30 01%	(1,492 53)	(3,481 56)
CET	800	11/4/2008	6/10/2002	14,737 91	19,264 00	(4,526 09)	30 01%	(1,358 10)	(3,167 99)
CET	800	11/5/2008	6/5/2002	15,025 91	19,592 00	(4,566 09)	30 01%	(1,370 10)	(3,195 99)
CET	800	11/6/2008	5/29/2002	15,169 99	19,600 00	(4,430 01)	30 01%	(1,329 27)	(3,100 74)
CET	2,800	11/7/2008	6/4/2002	55,261 52	68,012 00	(12,750 48)	30 01%	(3,825 91)	(8,924 57)
CET	800	11/10/2008	5/29/2002	15,610 06	19,608 00	(3,997 94)	30 01%	(1,199 62)	(2,798 32)
CET	5,000	11/12/2008	5/30/2002	94,853 47	122,950 00	(28,096 53)	30 01%	(8,430 64)	(19,665 89)
CET	200	11/12/2008	6/4/2002	3,794 00	4,858 00	(1,064 00)	30 01%	(319 26)	(744 74)
CET	100	11/14/2008	5/2/2002	1,904 91	2,430 00	(525 09)	30 01%	(157 56)	(367 53)
CET	400	11/14/2008	5/29/2002	7,612 00	9,804 00	(2,192 00)	30 01%	(657 73)	(1,534 27)
CET	300	11/14/2008	6/4/2002	5,709 00	7,263 00	(1,554 00)	30 01%	(466 29)	(1,087 71)
ECF	10,000	12/01/08	12/31/2008	44,048 00	83,648 00	(39,600 00)	29 82%	(11,807 53)	(27,792 47)
ADX	CGD	12/26/08				903,335 00	29 82%	269,347 40	633,987 60
CET	CGD	12/26/08				373,510 00	29 82%	111,369 48	262,140 52
GAM	CGD	12/26/08				19,080 00	29 82%	5,689 08	13,390 92
SH	CGD	12/26/08				155 00	29 82%	46 22	108 78
ECF	25,000	01/01/09	1/31/2009	107,384 09	209,119 00	(101,734 91)	30 20%	(30,726 99)	(71,007 92)
PRO	5,000	01/01/09	1/31/2009	383,040 29	428,423 00	(45,382 71)	30 20%	(13,706 94)	(31,675 77)
SOR	100	1/9/2009	8/24/2007	3,142 52	6,477 00	(3,334 48)	30 20%	(1,007 11)	(2,327 37)
SOR	300	1/9/2009	8/24/2007	9,427 47	19,416 00	(9,988 53)	30 20%	(3,016 84)	(6,971 69)
SOR	200	1/9/2009	8/27/2007	6,284 80	12,862 00	(6,577 20)	30 20%	(1,986 51)	(4,590 69)
SOR	400	1/9/2009	8/27/2007	12,569 96	25,728 00	(13,158 04)	30 20%	(3,974 12)	(9,183 92)
SOR	500	1/9/2009	8/27/2007	15,712 45	32,115 00	(16,402 55)	30 20%	(4,954 06)	(11,448 49)
SOR	200	1/9/2009	8/27/2007	6,284 80	12,868 00	(6,583 20)	30 20%	(1,988 32)	(4,594 88)
SOR	300	1/9/2009	8/27/2007	9,427 47	19,296 00	(9,868 53)	30 20%	(2,980 59)	(6,887 94)
SOR	1,000	1/9/2009	8/29/2007	31,424 00	64,126 00	(32,702 00)	30 20%	(9,876 99)	(22,825 01)
LAQ	CGD	01/12/09				61,500 00	30 20%	18,574 85	42,925 16
SOR	600	1/21/2009	8/30/2007	16,450 17	38,639 94	(22,189 77)	30 20%	(6,701 98)	(15,487 79)
SOR	100	1/21/2009	8/30/2007	2,741 68	6,436 00	(3,694 32)	30 20%	(1,115 80)	(2,578 52)
SOR	300	1/21/2009	8/30/2007	8,225 04	19,311 00	(11,085 96)	30 20%	(3,348 29)	(7,737 67)
SOR	100	1/21/2009	8/30/2007	2,741 68	6,429 00	(3,687 32)	30 20%	(1,113 68)	(2,573 64)
SOR	600	1/21/2009	8/31/2007	16,450 08	38,574 00	(22,123 92)	30 20%	(6,682 09)	(15,441 83)
SOR	300	1/21/2009	8/31/2007	8,225 04	19,209 00	(10,983 96)	30 20%	(3,317 49)	(7,666 47)
ADX	1,000	1/26/2009	6/28/1999	7,473 95	19,125 70	(11,651 75)	30 20%	(3,519 18)	(8,132 57)
SOR	100	1/26/2009	9/4/2007	2,743 41	6,437 00	(3,693 59)	30 20%	(1,115 57)	(2 578 02)

Capital Gain / (Loss)

	Shares	Sold	Purchase	Proceeds	Basis	Gain/Loss	Debt/Basis %	990T	990PF
SOR	900	1/26/2009	9/5/2007	24,690 51	57,714 00	(33,023 49)	30 20%	(9,974 08)	(23,049 41)
ADX	9,000	1/27/2009	6/28/1999	67,369 69	172,131 30	(104,761 61)	30 20%	(31,641 15)	(73,120 46)
SOR	1,400	1/27/2009	9/4/2007	38,919 44	90,048 00	(51,128 56)	30 20%	(15,442 36)	(35,686 20)
SOR	100	1/27/2009	9/5/2007	2,779 96	6,422 00	(3,642 04)	30 20%	(1,100 01)	(2,542 03)
SOR	500	1/27/2009	9/5/2007	13,899 80	32,044 95	(18,145 15)	30 20%	(5,480 38)	(12,664 77)
SOR	1,459	1/27/2009	9/11/2007	40,559 62	95,016 08	(54,456 46)	30 20%	(16,447 48)	(38,008 98)
SOR	341	1/27/2009	9/11/2007	9,479 66	22,124 08	(12,644 42)	30 20%	(3,818 99)	(8,825 43)
SOR	200	1/27/2009	10/10/2007	5,559 94	12,847 98	(7,288 04)	30 20%	(2,201 21)	(5,086 83)
ADX	5,000	1/28/2009	6/28/1999	37,159 22	95,628 50	(58,469 28)	30 20%	(17,659 48)	(40,809 80)
ADX	1,000	1/29/2009	6/28/1999	7,663 95	19,125 70	(11,461 75)	30 20%	(3,461 79)	(7,999 96)
ADX	4,000	1/30/2009	6/28/1999	30,659 82	76,502 80	(45,842 98)	30 20%	(13,845 96)	(31,997 02)
PRO	2,000	02/01/09	2/28/2009	153,986 73	142,616 00	11,370 73	30 55%	3,473 99	7,896 74
ADX	5,000	2/2/2009	6/29/1999	39,426 04	95,628 50	(56,202 46)	30 55%	(17,170 98)	(39,031 48)
ADX	5,000	2/3/2009	6/29/1999	39,424 42	95,628 50	(56,204 08)	30 55%	(17,171 47)	(39,032 61)
ADX	10,000	2/19/2009	6/29/1999	76,085 17	189,583 00	(113,497 83)	30 55%	(34,675 86)	(78,821 97)
SOR	100	2/24/2009	9/10/2007	2,685 66	6,418 00	(3,732 34)	30 55%	(1,140 30)	(2,592 04)
SOR	800	02/24/09	10/11/2007	21,485 25	51,920 00	(30,434 75)	30 55%	(9,298 42)	(21,136 33)
ADX	3,000	2/26/2009	6/29/1999	21,563 87	56,749 80	(35,185 93)	30 55%	(10,750 01)	(24,435 92)
SOR	500	2/26/2009	9/5/2007	12,702 95	32,069 95	(19,367 00)	30 55%	(5,917 01)	(13,449 99)
SOR	600	2/26/2009	9/7/2007	15,243 54	38,550 00	(23,306 46)	30 55%	(7,120 59)	(16,185 87)
SOR	350	2/26/2009	9/12/2007	8,891 79	22,721 99	(13,830 20)	30 55%	(4,225 40)	(9,604 80)
SOR	50	2/26/2009	9/12/2007	1,266 49	3,240 00	(1,973 51)	30 55%	(602 95)	(1,370 56)
SOR	100	2/27/2009	9/12/2007	2,530 96	6,480 00	(3,949 04)	30 55%	(1,206 51)	(2,742 53)
SOR	500	2/27/2009	9/12/2007	12,654 80	32,460 95	(19,806 15)	30 55%	(6,051 17)	(13,754 98)
SOR	1,125	02/27/09	10/11/2007	28,473 19	72,709 50	(44,236 31)	30 55%	(13,515 08)	(30,721 23)
SOR	2,000	02/27/09	10/15/2007	50,619 01	130,246 00	(79,626 99)	30 55%	(24,327 64)	(55,299 35)
ADX	CGD	03/02/09				49,825 00	30 55%	15,222 53	34,602 47
ADX	500	3/11/2009	10/21/1993	3,325 35	6,386 08	(3,060 73)	30 55%	(935 11)	(2,125 62)
ADX	2,000	3/11/2009	10/21/1993	13,272 10	25,475 60	(12,203 50)	30 55%	(3,728 41)	(8,475 09)
SOR	700	3/22/2009	10/11/2007	17,562 90	45,359 93	(27,797 03)	30 55%	(8,492 55)	(19,304 48)
SOR	100	03/22/09	10/11/2007	2,502 99	6,452 00	(3,949 01)	30 55%	(1,206 50)	(2,742 51)
SOR	575	03/22/09	10/11/2007	14,433 41	37,144 50	(22,711 09)	30 55%	(6,938 69)	(15,772 40)
SOR	420	03/22/09	10/30/2007	11,718 00	26,635 20	(14,917 20)	30 55%	(4,557 50)	(10,359 70)
SOR	80	03/22/09	10/31/2007	2,231 97	5,127 99	(2,896 02)	30 55%	(884 79)	(2,011 23)
SOR	500	03/23/09	10/11/2007	13,939 97	32,389 95	(18,449 98)	30 55%	(5,636 84)	(12,813 14)
SOR	100	03/23/09	10/17/2007	2,767 00	6,508 00	(3,741 00)	30 55%	(1,142 95)	(2,598 05)
SOR	200	03/23/09	10/22/2007	5,534 96	12,830 00	(7,295 04)	30 55%	(2,228 78)	(5,066 26)
SOR	100	03/23/09	10/24/2007	2,767 00	6,370 00	(3,603 00)	30 55%	(1,100 79)	(2,502 21)
SOR	100	03/23/09	10/24/2007	2,766 98	6,367 00	(3,600 02)	30 55%	(1,099 88)	(2,500 14)
SOR	500	03/23/09	10/25/2007	13,889 97	31,885 00	(17,995 03)	30 55%	(5,497 84)	(12,497 19)
SOR	500	03/23/09	10/29/2007	13,919 97	31,716 00	(17,796 03)	30 55%	(5,437 04)	(12,358 99)
BCV	300	04/15/09	10/31/2008	3,429 00	3,153 00	276 00	30 56%	84 34	191 66
BCV	200	04/15/09	10/31/2008	2,285 90	2,151 98	133 92	30 56%	40 92	93 00
BCV	800	04/15/09	11/3/2008	9,055 84	8,656 00	399 84	30 56%	122 18	277 66
BCV	100	04/16/09	10/31/2008	1,124 97	1,056 99	67 98	30 56%	20 77	47 21
BCV	600	04/16/09	10/31/2008	6,749 82	6,390 00	359 82	30 56%	109 95	249 87
LAQ	500	5/21/2009	1/2/2003	13,199 66	4,970 00	8,229 66	30 58%	2,516 55	5,713 11
LAQ	1,000	5/22/2009	10/22/2002	27,209 40	9,404 00	17,805 40	30 58%	5,444 71	12,360 69
LAQ	200	5/22/2009	1/2/2003	5,410 00	1,960 00	3,450 00	30 58%	1,054 98	2,395 02
LAQ	500	5/22/2009	1/3/2003	13,414 65	4,890 00	8,524 65	30 58%	2,606 75	5,917 90
LAQ	100	5/22/2009	1/3/2003	2,704 90	978 00	1,726 90	30 58%	528 07	1,198 83
LAQ	100	5/22/2009	1/10/2003	2,704 90	1,033 00	1,671 90	30 58%	511 25	1,160 65
LAQ	100	5/22/2009	1/10/2003	2,704 89	1,020 00	1,684 89	30 58%	515 22	1,169 67
SOR	300	5/22/2009	10/10/2007	9,899 77	19,277 97	(9,378 20)	30 58%	(2,867 76)	(6,510 44)
SOR	200	5/22/2009	10/10/2007	6,600 83	12,851 98	(6,251 15)	30 58%	(1,911 54)	(4,339 61)
SOR	500	05/23/09	11/5/2007	16,749 61	32,226 00	(15,476 39)	30 58%	(4,732 53)	(10,743 86)
LAQ	347	5/26/2009	11/21/2002	9,646 24	3,331 20	6,315 04	30 58%	1,931 08	4,383 96
LAQ	153	5/26/2009	1/10/2003	4,253 40	1,580 49	2,672 91	30 58%	817 35	1,855 56
SOR	100	5/26/2009	10/10/2007	3,419 91	6,425 99	(3,006 08)	30 58%	(919 23)	(2,086 85)
LAQ	500	5/29/2009	1/2/2003	12,804 67	4,970 00	7,834 67	30 58%	2,395 76	5,438 91
LAQ	500	6/1/2009	11/1/2002	13,994 69	4,884 00	9,110 69	30 67%	2,794 34	6,316 35
LAQ	500	6/1/2009	11/1/2002	14,029 68	4,835 00	9,194 68	30 67%	2,820 10	6,374 58
LAQ	500	6/1/2009	11/1/2002	14,064 68	4,835 00	9,229 68	30 67%	2,830 84	6,398 84
SOR	400	06/04/09	10/23/2007	13,799 64	25,752 00	(11,952 36)	30 67%	(3,665 91)	(8,286 45)
SOR	500	06/04/09	10/26/2007	17,274 55	31,849 95	(14,575 40)	30 67%	(4,470 42)	(10,104 98)
SOR	61	06/05/09	10/23/2007	2,119 69	3,927 18	(1,807 49)	30 67%	(554 38)	(1,253 11)

Capital Gain / (Loss)

	Shares	Sold	Purchase	Proceeds	Basis	Gain/Loss	Debt/Basis %	990T	990PF
SOR	18	06/05/09	10/23/2007	625 58	1,155 60	(530 02)	30 67%	(162 56)	(367 46)
SOR	21	06/05/09	10/24/2007	729 73	1,334 76	(605 03)	30 67%	(185 57)	(419 46)
SOR	200	06/05/09	10/26/2007	6,949 80	12,739 98	(5,790 18)	30 67%	(1,775 91)	(4,014 27)
SOR	200	06/05/09	10/31/2007	6,949 80	12,804 14	(5,854 34)	30 67%	(1,795 58)	(4,058 76)
SOR	1,000	06/17/09	11/2/2007	35,299 19	63,676 00	(28,376 81)	30 67%	(8,703 45)	(19,673 36)
SOR	200	06/17/09	11/5/2007	7,029 84	12,880 00	(5,850 16)	30 67%	(1,794 30)	(4,055 86)
SOR	300	06/17/09	11/5/2007	10,544 75	19,307 97	(8,763 22)	30 67%	(2,687 77)	(6,075 45)
SOR	500	06/17/09	11/5/2007	17,649 59	32,200 00	(14,550 41)	30 67%	(4,462 76)	(10,087 65)
SOR	300	06/17/09	11/13/2007	10,574 75	19,176 00	(8,601 25)	30 67%	(2,638 09)	(5,963 16)
SOR	200	06/17/09	11/13/2007	7,049 84	12,804 00	(5,754 16)	30 67%	(1,764 86)	(3,989 30)
CET	CGD	06/19/09				2,470 00	30 67%	757 57	1,712 43
BCV	400	08/17/09	10/31/2008	5,568 00	4,304 00	1,264 00	30 68%	387 85	876 15
BCV	2,000	08/18/09	11/3/2008	27,880 00	21,820 00	6,060 00	30 68%	1,859 45	4,200 55
BCV	200	08/18/09	11/4/2008	2,787 21	2,206 00	581 21	30 68%	178 34	402 87
BCV	1,000	08/26/09	11/3/2008	13,799 74	10,815 90	2,983 84	30 68%	915 56	2,068 28
BCV	600	08/26/09	11/3/2008	8,296 69	6,510 00	1,786 69	30 68%	548 23	1,238 46
BCV	254	09/11/09	11/5/2008	3,500 12	2,840 64	659 48	30 70%	202 48	457 00
BCV	646	09/14/09	11/5/2008	8,948 55	7,370 80	1,577 75	30 70%	484 42	1,093 33
BCV	400	09/15/09	11/4/2008	5,560 00	4,408 00	1,152 00	30 70%	353 70	798 30
BCV	100	09/15/09	11/5/2008	1,397 00	1,141 00	256 00	30 70%	78 60	177 40
BCV	3,400	09/15/09	11/6/2008	47,426 84	39,310 00	8,116 84	30 70%	2,492 11	5,624 73
BCV	1,000	9/16/2009	11/14/2008	14,049 73	11,656 00	2,393 73	30 70%	734 95	1,658 78
ADJUST						(88,273 00)	30 70%	(27,102 46)	(61,170 54)
						<u>3,009,328 31</u>		<u>(145,456 56)</u>	<u>(314,065.12)</u>
						<u>3,366,629 31</u>		<u>(145,456 56)</u>	<u>350,578.59</u>

Grants & Contributions Paid During the Year or Approved for Future Payment:

- 1.) Fundación Mexicana para la Planeación Familiar, A C
Juárez 208, Tlalpan 14000, México D F
- | | |
|---------------------------------|----------------|
| Amount of grant approved | \$1,034,071 22 |
| Amount paid during the year | \$ 789,089 00 |
| Amount to be paid as of 9/30/09 | \$ 244,982 22 |

Foundation Status of Recipient

A contribution of \$1,034,071 22 was made to Fundación Mexicana para la Planeación Familiar, A C ("Mexfam"), a Mexican charitable organization, which is the Member Association in Mexico of the International Planned Parenthood Federation Mexfam is considered to be equivalent to a United States public charity exempt under Sec. 501 (c)(3) of the Internal Revenue Code of the United States of America as a publicly supported charity under Sec 509 (a)(1) and defined in Sec 170(b)(1)(A)(vi) of the Internal Revenue Code of the United States of America in order that BF may include the grant as a qualifying distribution in satisfaction of BF's minimum distribution requirements because (i) Mexfam is an organization that qualifies under Article 97 special authorization of the Income Tax Laws of the United Mexican States to issue tax-deductible receipts, and (ii) Article 17 of the Protocol adopted pursuant to Article 22, paragraph 2 of the Mexico-United States Income Tax Treaty provides that a Mexican charitable organization that so qualifies shall be treated as the equivalent of an organization described in Section 509(a)(1) of the Code

Purpose of Grant or Contribution

These grants were made to help support activities in Mexico to expand and improve services for reproductive health and for incentives for promoters in the rural areas

- 2.) Catholics for Choice
1436 U Street, N W , Suite 301, Washington, DC 20009
- | | |
|------------------------------------|---------------|
| Amount of grant approved | \$ 125,000 00 |
| Amount paid during the year | \$ 125,000 00 |
| Amount to be paid as of 9/30/09 | \$ 0 00 |

Foundation Status of Recipient

A contribution of \$125,000 00 was made to Catholics for Choice which is listed in the Internal Revenue Service Publication 78 Catholics for Choice is a publicly supported charity under Sec 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution

This grant provides partial support for the work of Catholics for Choice to improve reproductive health in South America.

- 3) International Planned Parenthood Federation
120 Wall Street, New York, NY 10005
- | | |
|---------------------------------|----------------|
| Amount of grants approved | \$ (44,681 56) |
| Amount paid during the year | \$ 0 00 |
| Amount to be paid as of 9/30/09 | \$ 0 00 |

Foundation Status of Recipient

An adjustment of \$44,681.56 was made to the grant of \$1,926,659.00 approved to International Planned Parenthood Federation in 2005. International Planned Parenthood Federation is a publicly supported charity under Sec. 509(a)(1) and defined in Sec. 170(b)(1)(A)(vi) and is an organization exempt under Sec. 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec. 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public.

Purpose of Grant or Contribution

These funds provide partial support to increase access to contraception in Bolivia.

4)	Ipas		
	300 Market Street, Suite 200, Chapel Hill, NC 27516		
	Amount of grant approved	\$ 535,000.00
	Amount paid during the year	.	\$ 475,000.00
	Amount to be paid as of 9/30/09		\$ 60,000.00

Foundation Status of Recipient

A contribution of \$535,000.00 was made to Ipas which is listed in the Internal Revenue Service Publication 78. Ipas is a publicly supported charity under Sec. 509(a)(1) and defined in Sec. 170(b)(1)(A)(vi) and is an organization exempt under Sec. 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec. 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public.

Purpose of Grant or Contribution

These funds are being used to fund part of the expenditures by Ipas for Latin American field operations to improve reproductive health services.

5)	International Women's Health Coalition		
	24 East 21 Street, New York, NY 10010		
	Amount of grant approved	\$ 75,000.00
	Amount paid during the year	\$ 75,000.00
	Amount to be paid as of 9/30/09	\$ 0.00

Foundation Status of Recipient

A grant of \$75,000.00 was approved to International Women's Health Coalition which is listed in the Internal Revenue Service Publication 78. International Women's Health Coalition is a publicly supported charity under Sec. 509(a)(1) and defined in Sec. 170(b)(1)(A)(vi) and is an organization exempt under Sec. 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec. 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public.

Purpose of Grant or Contribution

These funds are being used by the International Women's Health Coalition to support work in Brazil and Peru to expand and improve reproductive health care.

6)	Philanthropic Ventures Foundation
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1222 Preservation Park Way Oakland, CA 94612-1201

Amount of grant approved	\$240,000 00
Amount paid during the year	\$240,000 00
Amount to be paid as of 9/30/09	\$ 0 00

Foundation Status of Recipient

A grant of \$240,000 00 was approved to Philanthropic Ventures Foundation which is listed in the Internal Revenue Service Publication 78 Philanthropic Ventures Foundation is a publicly supported charity under Sec 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution

These funds provide support for the improvement of reproductive health in Latin America

- 7) Planned Parenthood Federation of America, Inc
810 Seventh Ave , New York, NY 10019

Amount of grant approved	\$296,053 00
Amount paid during the year	\$296,053 00
Amount to be paid as of 9/30/09	\$ 0 00

Foundation Status of Recipient

A grant of \$296,053 00 was approved to Planned Parenthood Federation of America, Inc which is listed in the Internal Revenue Service Publication 78 Planned Parenthood Federation of America, Inc is a publicly supported charity under Sec 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec. 501(c)(3) of the Internal Revenue Code It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution

These funds provide partial support for the provision of family planning and reproductive health services in Costa Rica, Ecuador, Guatemala, Nicaragua and Peru

- 8) Women's International Network for Guatemalan Solutions, Inc
793 Ashbury Street
San Francisco, CA 94117

Amount of grant approved	\$116,700 00
Amount paid during the year.	\$116,700 00
Amount to be paid as of 9/30/09	\$ 0 00

Foundation Status of Recipient

A grant of \$116,700 00 was approved to Women's International Network for Guatemalan Solutions ("Wings") which is listed in the Internal Revenue Service Publication 78 Population Services International is a publicly supported charity under Sec 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution

These funds are being used to support the provision of family planning in Guatemala

9)	World Neighbors, 4127 N W 122 Street, Oklahoma City, OK 73120	
	Amount of grant approved	\$ (3,645 00)
	Amount paid during the year	\$ 0 00
	Amount to be paid as of 9/30/09	\$ 0 00

Foundation Status of Recipient

An adjustment in the amount of \$3,645 was made to the grant of 45,000 00 approved in July, 2008 to World Neighbors Inc. World Neighbors, Inc. is a publicly supported charity under Sec 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public.

Purpose of Grant or Contribution

This grant was used by World Neighbors, Inc. to provide family planning as part of its agricultural extension and training work in Bolivia.

Grants paid during the year approved prior to October 1, 2008

1.)	Asociación Pro-Bienestar de la Familia de Guatemala 9a Calle0-57, Zona 1 Guatemala City, Guatemala	
	Amount of grant approved	\$2,436,435 12
	Amount paid during the year	\$ 439,131 00
	Amount to be paid as of 9/30/09	\$ 170,201 12

Foundation Status of Recipient

A grant of \$2,436,435 12 was approved to the Asociación Pro-Bienestar de la Familia de Guatemala ("APROFAM"), a Guatemalan charitable organization, which is the Member Association in Guatemala of the International Planned Parenthood Federation. APROFAM is **not** a U.S. section 501(c)(3) organization that is described in section 509(a)(1) of the Internal Revenue Code ("Code"). This is an expenditure responsibility grant.

Purpose of Grant or Contribution

This grant was approved to help support activities in Guatemala to expand and improve services for reproductive health in the Departments of Peten, Alta Verapaz, Baja Verapaz, Solola, Quetzaltenango, San Marcos, Huehuetenango, Totonicapan and Quiché.

2)	International Planned Parenthood Federation 120 Wall Street, New York, NY 10005
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Amount of grants approved	\$1,926,659 00
Amount paid during the year	\$ 355,917 44
Amount to be paid as of 9/30/09	\$ 249,000 00

Foundation Status of Recipient

A grant of \$1,926 659 00 was approved to International Planned Parenthood Federation which is listed in the Internal Revenue Service Publication 78 International Planned Parenthood Federation is a publicly supported charity under Sec 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution

These funds provide partial support to increase access to post abortion care and contraception in Barbados, Bolivia, Colombia, Mexico, Peru, Puerto Rico, Trinidad, Uruguay and Venezuela

- 3) Pathfinder International, Suite 217
Nine Galen Street, Watertown, MA 02172
- | | |
|---------------------------------|--------------|
| Amount of grant approved | 150,000 00 |
| Amount paid during the year | \$ 0 00 |
| Amount to be paid as of 9/30/09 | \$ 30,000 00 |

Foundation Status of Recipient

A contribution of \$150,000 00 was made to Pathfinder International which is listed in the Internal Revenue Service Publication 78 Pathfinder International is a publicly supported charity under Sec 509(a)(1) and defined in Sec. 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution

This grant was made to fund a contraceptive supply security project in Bolivia and Peru

- 4) World Neighbors,
4127 N W 122 Street,
Oklahoma City, OK 73120
- | | |
|---------------------------------|--------------|
| Amount of grant approved | \$ 45,000 00 |
| Amount paid during the year | \$ 8,770 00 |
| Amount to be paid as of 9/30/09 | \$ 0 00 |

Foundation Status of Recipient

A grant in the amount of \$45,000 00 was approved to World Neighbors Inc , which is listed in the Internal Revenue Service Publication 78 World Neighbors, Inc is a publicly supported charity under Sec 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution

This grant was used by World Neighbors, Inc to provide family planning as part of its agricultural extension and training work in Bolivia

Total grants approved	\$2,373,497 66
Total grants paid during the year	\$2,920,660 44
Total grants approved (during the year) to be paid as of 9/30/09	\$ 304,948 21

Total grants approved (prior years) to be paid	\$ 754,183 28
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ERIK E. AND EDITH H. BERGSTROM FOUNDATION,
A CHARITABLE TRUST

91-2155835

FORM 990-PF, PART VII-B, LINE 1a(3) - SELF-DEALING ACTIVITIES

OFFICE SPACE WAS FURNISHED, WITHOUT CHARGE, BY THIS FOUNDATION'S
MANAGER.

REFERENCE: INTERNAL REVENUE SERVICE REGULATION SECTION 53.4941 (d)-2

Grantee Name & Award Date	Grant Amount	Grant Purpose / Project Description	\$ Amount Expended by Grantee	To the Grantee's Knowledge, Grantee Has Diverted a	Date of Reports Received from Grantee	Dates and Results of Any Verification of the Grantee's Reports	
Asociación Pro-Bienestar de la Familia de Guatemala (APROFAM) 9a Calle 57 Zona 1 Guatemala City, Guatemala	2,436,435 12	Help support activities in Guatemala to expand and improve services for reproductive health in the departments of the Peten, Alta Verapaz, Baja Verapaz, San Marcos, Quetzaltenango, Huehuetenango, Solola, Totonicapan and Quiche.	2,266,234 00	No	01/31/06	-Copies of invoices of purchase of vehicle and motorcycles	-copies of expense reports and additional verification of copies of check numbers selected by the Foundation
					04/30/06		
					07/30/06		
					10/31/06		
					01/31/07		-Verification trips made on April 25 -28, July 16 -30,
					04/30/07		2006 and July 17 - 20, 2007
					07/30/07		by Edith H Bergstrom Co-Executive Director and Julia
					10/31/07		R Bolous Finance Director and Program Officer of the
					01/31/08		Foundation, both fluent in Spanish
					04/30/08		Copies of Aprofam/s audited financial reports
					07/30/08		APROFAM is the Member Association in Guatemala of the International Planned Parenthood Federation
					10/31/08		
					03/31/09		
					10/13 09		
					11/13/09		

Grant Amount

A grant for 48 months was approved on November, 2005 in the amount of \$2,436,435.12 to be paid in quarterly installments contingent upon certain requirements. During fiscal year 2005 \$329,782 was granted, in fiscal year 2006 \$358,071 was granted, in fiscal year 2007 \$570,520 was granted in 2008 \$567,740 was granted and in 2009 \$440,121 was granted.

Amount Expended by grantee

In fiscal years 2005 2006, 2007, 2008 and part of 2009 \$2,323,907.57 were spent from the grant approved on November, 2005.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDEND INCOME	1,902,651.	0.	1,902,651.
TOTAL TO FM 990-PF, PART I, LN 4	1,902,651.	0.	1,902,651.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	33,658.	16,829.		16,829.
TO FORM 990-PF, PG 1, LN 16B	33,658.	16,829.		16,829.

FORM 990-PF	TAXES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CURRENT FEDERAL EXCISE TAX	<61,009.>	0.		0.
TO FORM 990-PF, PG 1, LN 18	<61,009.>	0.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DSL/TELEPHONE EXPENSES	124.	62.		62.
OFFICE SUPPLIES	1,064.	532.		532.
MEMBERSHIPS	4,230.	0.		4,230.
POSTAGE	1,514.	757.		757.
COMPUTER NETWORK EXPENSE	2,402.	1,201.		1,201.
OTHER MISCELLANEOUS EXPENSES	549.	275.		275.
INVESTMENT MANAGEMENT FEES	31,987.	23,485.		0.
PRINTING	172.	86.		86.
TO FORM 990-PF, PG 1, LN 23	42,042.	26,398.		7,143.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	5
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DESCRIPTION	AMOUNT
PRESENT VALUE ADJUSTMENT ON REMINDER INTEREST IN REAL PROPERTY	186,798.
TOTAL TO FORM 990-PF, PART III, LINE 3	186,798.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	6
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DESCRIPTION	AMOUNT
UNREALIZED LOSS	5,125,592.
PRIOR PERIOD ADJUSTMENT	2.
TOTAL TO FORM 990-PF, PART III, LINE 5	5,125,594.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
ADAMS EXPRESS COMPANY	49,338,500.	49,338,500.
BANCROFT CONVERTIBLE FUND, INC	2,768,832.	2,768,832.
CENTRAL SECURITIES CORP.	3,309,800.	3,309,800.
GENERAL AMERICAN INVESTORS	3,569,100.	3,569,100.
LATIN AMERICA EQUITY FUND	880,147.	880,147.
SOURCE CAPITAL INC.	5,629,487.	5,629,487.
TOTAL TO FORM 990-PF, PART II, LINE 10B	65,495,866.	65,495,866.

FORM 990-PF	OTHER ASSETS	STATEMENT	8
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
UNRELATED BUSINESS INCOME TAXES RECEIVABLE	0.	50,000.	50,000.
FEDERAL EXCISE TAXES RECEIVABLE	0.	46,000.	46,000.
DEFERRED FEDERAL EXCISE TAX ASSET	187,411.	248,645.	248,645.
LONG TERM CONTRIBUTIONS RECEIVABLE	3,617,555.	3,804,353.	3,804,353.
TO FORM 990-PF, PART II, LINE 15	3,804,966.	4,148,998.	4,148,998.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	9
DESCRIPTION	BOY AMOUNT	EOY AMOUNT	
MARGIN DEBT LIABILITY	23,247,901.	20,940,139.	
TAXES PAYABLE	85,425.	0.	
TOTAL TO FORM 990-PF, PART II, LINE 22	23,333,326.	20,940,139.	

FORM 990-PF

ELECTION UNDER REGULATIONS SECTION
53.4942(A)-3(D)(2) TO TREAT
EXCESS QUALIFYING DISTRIBUTIONS
AS DISTRIBUTIONS OUT OF CORPUS

STATEMENT 10

THE ERIK E. AND EDITH H. BERGSTROM FOUNDATION HEREBY ELECTS TO TREAT
CURRENT YEAR QUALIFYING DISTRIBUTIONS IN EXCESS OF THE IMMEDIATELY
PRECEEDING YEARS' UNDISTRIBUTED INCOME AS MADE OUT OF CORPUS.

TAX YEAR 2004: \$1,044,839
TAX YEAR 2005: \$ 252,687
TAX YEAR 2006: \$ 391,757

SIGNED:

Edith E. Bergstrom
TRUSTEE

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 11

NAME OF MANAGER

ERIK E. BERGSTROM
EDITH H. BERGSTROM

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns*

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits

Type or print File by the due date for filing your return. See instructions.	Name of Exempt Organization ERIK E AND EDITH H BERGSTROM FOUNDATION, A CHARITABLE TRUST	Employer identification number 91-2155835
	Number, street, and room or suite no. If a P.O. box, see instructions P.O. BOX 520	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions PALO ALTO, CA 94302-0520	

Check type of return to be filed (file a separate application for each return)

- | | | |
|-------------------------------------------------|-------------------------------------------------------------------|------------------------------------|
| <input type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

JULIA RITA BOLOUS

- The books are in the care of ▶ **P.O. BOX 520, PALO ALTO, CA - 94302-0520**

Telephone No ▶ **650-323-0596**

FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

- 1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **MAY 17, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ▶ ☐ calendar year _____ or
- ▶ ☒ tax year beginning **OCT 1, 2008**, and ending **SEP 30, 2009**

- 2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	20,823.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	46,000.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions**LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.**Form **8868** (Rev. 4-2009)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II	Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)	
Type or print File by the extended due date for filing the return. See instructions	Name of Exempt Organization ERIK E AND EDITH H BERGSTROM FOUNDATION, A CHARITABLE TRUST	Employer identification number 91-2155835
	Number, street, and room or suite no. If a P O box, see instructions P.O. BOX 520	For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions PALO ALTO, CA 94302-0520	

Check type of return to be filed (File a separate application for each return)

- ☐ Form 990 ☐ Form 990-EZ ☐ Form 990-T (sec 401(a) or 408(a) trust) ☐ Form 1041-A ☐ Form 5227 ☐ Form 8870
☐ Form 990-BL ☒ Form 990-PF ☐ Form 990-T (trust other than above) ☐ Form 4720 ☐ Form 6069

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

JULIA RITA BOLOUS

- The books are in the care of ☒ P.O. BOX 520, PALO ALTO, CA - 94302-0520

Telephone No. ☒ 650-323-0596

FAX No. ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until AUGUST 16, 2010

5 For calendar year 2008, or other tax year beginning OCT 1, 2008, and ending SEP 30, 2009

6 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS REQUIRED IN ORDER TO GATHER INFORMATION TO PREPARE A COMPLETE AND ACCURATE RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	46,000.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	46,000.
c	Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ☒ Sharon Zibach

Title ☒ A member of the staff of Deloitte Tax LLP
50 Fremont Street, San Francisco, CA 94105

Date ☒ 5/5/10

Form 8868 (Rev 4-2009)