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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2008

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2008, or tax year beginning **OCTOBER 1**, 2008, and ending **SEPTEMBER 30**, 2009

G Check all that apply: ☐ Initial return ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation ARNTZ FAMILY FOUNDATION		A Employer identification number 68-6109096
	Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	B Telephone number (see page 10 of the instructions) 415.382.1188
	City or town, state, and ZIP code NOVATO, CA. 94949		C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 11,619,896		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	

Part I. Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	111,001	111,001		
	4 Dividends and interest from securities				
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a 1,184,506		0		
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)	0			
	11 Other income (attach schedule)	35,799			
	12 Total. Add lines 1 through 11	146,823	111,024	0	
	13 Compensation of officers, directors, trustees, etc.	72,598	8,799		63,799
	14 Other employee salaries and wages	2,487	1,243		1,244
	15 Pension plans, employee benefits	(1,433)	(1,433)		
	16a Legal fees (attach schedule)	22,965	15,470		7,495
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest	13,887	888	7,730	5,269
	18 Taxes (attach schedule) (see page 14 of the instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications	40,450	19,494		20,956
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	150,954	44,461	7,730	98,763
	25 Contributions, gifts, grants paid	371,275			371,275
	26 Total expenses and disbursements. Add lines 24 and 25	522,229	44,461	7,730	470,038
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	(375,406)			
	b Net investment income (if negative, enter -0-)		66,563		
	c Adjusted net income (if negative, enter -0-)			0	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	3,967	41,075	41,075
	2 Savings and temporary cash investments	74,059	580,507	580,507
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	3,951,681	3,244,561	3,444,666
	b Investments—corporate stock (attach schedule)	489,299	491,911	494,568
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶			
Liabilities	Less: accumulated depreciation (attach schedule) ▶	401,438	394,985	394,985
	12 Investments—mortgage loans	6,944,620	6,306,197	6,664,095
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶	22,270	70	
	15 Other assets (describe ▶ <u>PREPAID INCOME TAX</u>)			
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	11,887,334	11,059,306	11,619,896
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	11,887,334	11,059,306	
Net Assets or Fund Balances	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see page 17 of the instructions)	11,887,334	11,059,306	
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	11,887,334	11,059,306	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,887,334
2 Enter amount from Part I, line 27a	2	(375,406)
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	11,511,928
5 Decreases not included in line 2 (itemize) ▶ <u>CAPITAL LOSS</u>	5	452,622
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	11,059,306

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SCHEDULE C & D - ATTACHED		P		
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			0
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			(452,622)
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	(452,622)

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2007	756,695	13,198,845	0.0573
2006	632,376	14,768,665	0.0428
2005	616,895	13,436,723	0.0459
2004	581,497	13,180,352	0.0441
2003	597,872	11,512,231	0.0519

2 Total of line 1, column (d)	2	0.2420
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.0484
4 Enter the net value of noncharitable-use assets for 2008 from Part X, line 5	4	9,826,603
5 Multiply line 4 by line 3	5	475,608
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	656
7 Add lines 5 and 6	7	476,264
8 Enter qualifying distributions from Part XII, line 4	8	470,037

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling letter. _____ (attach copy of ruling letter if necessary—see instructions)	1	1,311
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3 Add lines 1 and 2	3	1,311
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,311
6 Credits/Payments.		
a 2008 estimated tax payments and 2007 overpayment credited to 2008	6a	7,000
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	7,000
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	5,689
11 Enter the amount of line 10 to be: Credited to 2009 estimated tax Refunded	11	5,689

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. ► \$ _____ (2) On foundation managers. ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ► _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2008 or the taxable year beginning in 2008 (see instructions for Part XIV on page 27)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
14	The books are in care of ▶ ARNTZ FAMILY FOUNDATION Telephone no. ▶ 415.382.1188 Located at ▶ 19 PAMARON WAY, NOVATO, CA. ZIP+4 ▶ 94949			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2008?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2008, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2008? ☐ Yes ☐ No If "Yes," list the years ▶ 20 , 20 , 20 , 20		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions.)	2b	X
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☐ No		
b	If "Yes," did it have excess business holdings in 2008 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2008)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2008?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐ **5b**
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
If "Yes," attach the statement required by Regulations section 53.4945–5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If you answered "Yes" to 6b, also file Form 8870 **6b**
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 23 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
K ALLAN ARNTZ 19 PAMARON WAY, NOVATO, CA.	TRUSTEE 2 HOURS	0		
KATHERINE J JONES SAME	TRUSTEE 2 HOURS	0		
THOMAS E ARNTZ SAME	TRUSTEE 2 HOURS	0		
DONALD M ARNTZ SAME	TRUSTEE 2 HOURS	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NANCY R BAKERINK 229 SHERMAN, SCOTTS VALLEY, CA	DIRECTOR 25 HOURS	55,000		

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 THE FOUNDATION CONTRIBUTES EXCLUSIVELY TO PUBLIC CHARITIES AND 501(C)(3) ORGANIZATIONS - SEE SCHEDULE OF CONTRIBUTIONS ATTACHED	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See page 24 of the instructions.	
3 NONE	

Total. Add lines 1 through 3 ▶ 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	9,528,991
b	Average of monthly cash balances	1b	447,256
c	Fair market value of all other assets (see page 25 of the instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	9,976,247
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	9,976,247
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	149,644
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	9,826,603
6	Minimum investment return. Enter 5% of line 5	6	491,330

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	491,330
2a	Tax on investment income for 2008 from Part VI, line 5	2a	
b	Income tax for 2008. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	0
3	Distributable amount before adjustments Subtract line 2c from line 1	3	491,330
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	491,330
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	491,330

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes	1a	470,037
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1b	
b	Program-related investments—total from Part IX-B		
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	470,037
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	470,037

Qualifies for the section 4940(e) reduction of tax in 2008 year.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2007	(c) 2007	(d) 2008
1 Distributable amount for 2008 from Part XI, line 7				491,330
2 Undistributed income, if any, as of the end of 2008:				
a Enter amount for 2007 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2007:				
a From 2003				
b From 2004				
c From 2005				
d From 2006				
e From 2007				61,064
f Total of lines 3a through e	61,064			
4 Qualifying distributions for 2008 from Part XII, line 4: ► \$ 470,037				
a Applied to 2007, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
d Applied to 2008 distributable amount				470,037
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2008 (If an amount appears in column (d), the same amount must be shown in column (a).)				21,293
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	61,064			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions		0		
e Undistributed income for 2007. Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2008. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2009				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see page 27 of the instructions)	21,293			
8 Excess distributions carryover from 2003 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2009. Subtract lines 7 and 8 from line 6a	39,771			
10 Analysis of line 9:				
a Excess from 2004				
b Excess from 2005				
c Excess from 2006				
d Excess from 2007				39,771
e Excess from 2008				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2008, enter the date of the ruling
- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year				(e) Total
	(a) 2008	(b) 2007	(c) 2006	(d) 2005	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter:					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter % of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV. Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SCHEDULE ATTACHED	N/A	N/A	SUPPORT OF PUBLIC CHARITIES	371,275
Total			▶ 3a	371,275
b <i>Approved for future payment</i>				
Total			▶ 3b	0

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions on page 28 to verify calculations.)

[illegible]

ARNTZ FAMILY FOUNDATION
990PF INFORMATION SCHEDULES
FIN 68-61019096

PART 1, LINE 11-OTHER INCOME

Investment partnership income - principally pass thru interest
and dividends, net of partnership expenses

\$ 36,799

<i>Revenue & expenses</i>	<i>Investment income</i>	<i>Charitable purposes</i>	<i>Adjustment Net Income</i>
-----------------------------------	------------------------------	--------------------------------	----------------------------------

PART 1, LINE 16 - ACCOUNTING FEES

K. H. Wm. Krueger, CPA - Tax preparation and investment
consultation

22,965	15,470	7,495
<u>22,965</u>	<u>15,470</u>	<u>7,495</u>

PART 1, LINE 16c - OTHER PROFESSIONS FEES

Friedman, Kaplan, Seiler & Adelman - legal services

<u>(1,433)</u>	<u>(1,433)</u>
----------------	----------------

PART 1, LINE 18 - TAXES

Federal Income Taxes
State filing fee
Payroll taxes

7,730		7,730
85	85	
6,072	803	5,269
<u>13,887</u>	<u>888</u>	<u>5,269</u>
		<u>7,730</u>

PART 1, LINE 23 - OTHER DEDUCTIONS

Auto expenses
Dues & memberships
Foreign tax withheld
Insurance
Investment interest
Investment managements fees
Trustee meetings and seminars
Office expenses
Site Inspections
Travel

2,126		2,126
815		815
3,524	3,524	
3,257	186	3,071
66	66	
15,718	15,718	
12,253		12,253
1,205		1,205
488		488
998		998
<u>40,450</u>	<u>19,494</u>	<u>20,956</u>

ARNTZ FAMILY FOUNDATION
990PF INFORMATION SCHEDULES
FIN 68-61019096

PART II,LINE 10a b/c -Corporate Securities	Cost	Fair Market Value
<i>Brandes Asset MNGT .- Managed Foreign Securities</i>	\$ 1,184,798	\$ 933,169
<i>Zacks Investment Management -Managed Domestic Securities</i>	288,362	315,895
<i>Gruber McBaine International preferred shares</i>	622,993	938,512
<i>Master Limited Partnerships, traded on the NYSE</i>	670,801	838,285
<i>Gardner Lewis Investment Trust</i>	222,356	166,686
<i>Primecap Odyssey Fund</i>	255,251	252,119
	<u>\$ 3,244,561</u>	<u>\$ 3,444,666</u>

PART II,LINE 10b b/c - Corporate Bonds

<i>Closed End Funds</i>	<u>\$ 491,911</u>	<u>\$ 494,568</u>
(Funds traded on the New York and American Stock Exchanges)		

PART II,LINE 13b/c -OTHER INVESTMENTS

<i>Falcon Point High Yield Fund</i>	\$ 1,041,201	\$ 876,388
(Investment in Convertible Securities)		
<i>Litespeed Fund</i>	850,000	1,041,466
(Investment in Distressed Debt)		
<i>Torry Intl Partners</i>	944,408	752,564
(Investment in Foreign Securities)		
<i>Wells Street Partners</i>	1,180,000	1,472,432
(Investment in Real Estate Investment Trusts)		
<i>Ecotrust Forest Services, LLC</i>	487,998	493,476
(Investment in renewable forests)		
<i>Dunlap Capital Fund</i>	1,165,364	1,398,853
(Investment in Domestic Securities)		
<i>Horizon Investments</i>	536,971	528,916
(Investment in Domestic Securities)		
<i>Sustainability Investments</i>	100,255	100,000
(Investment in Domestic State Up Companies)		
	<u>\$ 6,306,197</u>	<u>\$ 6,664,095</u>
 Total Investments	 <u>\$ 10,042,669</u>	 <u>\$ 10,603,329</u>

All of the above investment partnership report income annually on December 31st. Distributions can be requested at least quarterly. All investments consist of cash and marketable securities that are valued at least quarterly by the investment manager. All partnerships have annual financial audits conducted by Certified Public Accounting Firms that have issued unqualified opinions as to the partnerships financial statements.

**ARNTZ FAMILY FOUNDATION
990PF INFORMATION SCHEDULES
FIN 68-61019096**

PART IV, CAPITAL GAINS AND LOSSES

MLP and Equity Capital Gains and Losses - See Schedule D	(210,479)
Investment partnerships - See below	8,858
MLP's - See Schedule E	(20,010)
Mutual Funds- Capital Gains Distribution	4,301
Closed End Funds - See Schedule F	868
Brandes Asset Management - See Schedule G	(76,351)
National Advisors Trust - See Schedule H	(9,871)
Horizon -See Schedule I	(66,425)
Zacks Investments - See Schedule K	(83,513)
	<u>\$ (452,622)</u>

**PASS-THRU GAINS (LOSSES) FROM
INVESTMENT PARTNERSHIPS:**

	<i>Short</i>	<i>Long</i>	<i>Total</i>
Falcon Point High Yield Fund	(10,493)	(26,816)	(37,309)
Dunlap Capital Partners	68,467	(22,300)	46,167
	<u>57,974</u>	<u>(49,116)</u>	<u>8,858</u>

ARNTZ FAMILY FOUNDATION
990PF INFORMATION SCHEDULES
FIN 68-61019096

CAPITAL GAINS AND LOSSES - INVESTMENT PARTNERSHIPS

<i>Description</i>	<i>acquired</i>	<i>sold</i>	<i>sales price</i>	<i>cost</i>	<i>gain [loss]</i>
Torry Global Fund	1/1/2507	12/31/2008	100,000	138,089	(38,089)
MLP's - See Schedule J	2005-08	2009	32,675	217,168	(184,493)
MLP's - Basis Adjustment				(8,977)	8,977
Bayou Fund, LLC	10/1/2004	7/30/2009	3,126		3,126
			<u>\$ 135,801</u>	<u>\$ 346,280</u>	<u>\$ (210,479)</u>

All securities sold had been purchased by the Foundation

Arntz Family Foundation
Charles Schwab Account (MLP'S) #3020-4221
September 30, 2009

68-6109096 Arntz Family Foundation

Security	Quantity	Cost	Proceeds	Realized Gain/Loss	Purchase Date	Close Date	Holding Period
Blackrock Global Energy	1,000 000	\$30,279 95	\$22,086 44	(\$8,193 51)	7/2/2007	9/14/2009	Long
Blackrock Global Energy	1,000 000	\$30,109 95	\$22,086 44	(\$8,023 51)	10/4/2007	9/14/2009	Long
Blackrock Global Energy	1,000 000	\$29,759 95	\$22,086 44	(\$7,673 51)	11/19/2007	9/14/2009	Long
Kinder Morgan MGMT LLC	730 928	\$19,436 83	\$33,902 77	\$14,465 94	7/16/2004	9/14/2009	Long
Kinder Morgan MGMT LLC	867 876	\$22,669 95	\$40,254 86	\$17,584 91	8/25/2004	9/14/2009	Long
Penn West Energy	1,500 000	\$48,384 95	\$20,195 53	(\$28,189 42)	12/7/2006	9/14/2009	Long
Magellan Midstream Partners	Cash In Lieu		\$18 82	\$18 82	9/20/2006	9/14/2009	Long

Grand Total Long Term: \$180,641.58 \$160,631.30 (\$20,010.28)

68-6109096 Arntz Family Foundation

Security	Quantity	Cost	Proceeds	Realized Gain/Loss	Purchase Date	Close Date	Holding Period
				\$0 00			Short
				\$0 00			Short
				\$0 00			Short

Grand Total Short Term: \$0.00 \$0.00 \$0.00

Grand Total Stocks: \$180,641.58 \$160,631.30 (\$20,010.28)

Schedule E

Arntz Family Foundation
Charles Schwab Account (Bonds) #4198-2965
September 30, 2009

68-6109096 Arntz Family Foundation

Security	Quantity	Cost	Proceeds	Realized Gain/Loss	Purchase Date	Close Date	Holding Period
Western Asset Managed High	4,000 000	\$31,395.00	\$21,425.91	(\$9,969.09)	5/7/2004	4/9/2009	Long
Western Asset Managed High	2,000 000	\$10,827.95	\$8,570.36	(\$2,257.59)	2/19/2008	4/9/2009	Long
Alliancebernstein Global		\$0.00	\$1,712.00	\$1,712.00	12/31/2009	12/31/2009	Long
Western Asset Varbl Rate		\$0.00	\$108.00	\$108.00	9/25/2009	9/25/2009	Long

Grand Total Long Term:

\$42,222.95 \$31,816.27 (\$10,406.68)

68-6109096 Arntz Family Foundation

Security	Quantity	Cost	Proceeds	Realized Gain/Loss	Purchase Date	Close Date	Holding Period
Pimco Foreign Bond	1,405 226	\$0.00	\$11,129.39	\$11,129.39	12/10/2008	12/10/2008	Short
Western Asset Varbl Rate		\$0.00	\$145.50	\$145.50	9/25/2009	9/25/2009	Short
				\$0.00			

Grand Total Short Term:

\$0.00 \$11,274.89 \$11,274.89

Grand Total Stocks:

\$42,222.95 \$43,091.16 \$868.21

SCOTT/LE T

Arntz Family Foundation
 Brandes Stock Realized Gain/Loss
 September 30, 2009

Security	Quantity	Cost	Proceeds	Realized Gain/Loss	Purchase Date	Close Date	Holding Period
Novartis AG	90 000	\$4,626 63	\$4,568 49	(\$58 14)	05/06/08	10/20/08	Short
Royal Bank Scotland	0 800	\$0 00	\$15 44	\$15 44	11/07/08	11/07/08	Short
TNT NV-ADR	0 875	\$0 00	\$16 06	\$16 06	04/28/09	04/28/09	Short
HSBC HLDGS PLC RTS XXX	0 915	\$0 00	\$7 79	\$7 79	03/23/09	04/22/09	Short
Wolseley PLC ADR RTS	1,370 000	\$0 00	\$2,864 53	\$2,864 53	05/06/09	05/08/09	Short
Infinion Technologies AG ADR	75 000	\$230 52	\$413 10	\$182 58	08/17/09	09/09/09	Short
Infinion Technologies AG ADR	849 000	\$2,609 44	\$4,706 64	\$2,097 20	08/17/09	09/23/09	Short
			\$0 00	\$0 00			Short
			\$0 00	\$0 00			Short
			\$0 00	\$0 00			Short

Grand Total Short Term \$7,466 59 \$12,592 05 \$5,125 46

Security	Quantity	Cost	Proceeds	Realized Gain/Loss	Purchase Date	Close Date	Holding Period
Acorn Company Limited	1,760 000	\$20,490 78	\$13,916 77	(\$6,574 01)	Various	10/23/08	Long
Mitsui Sumitomo	90 000	\$1,882 52	\$1,459 89	(\$422 63)	10/30/06	10/20/08	Long
Deutsche Telekom AG	420 000	\$7,397 60	\$5,440 64	(\$1,956 96)	Various	11/21/08	Long
Swisscom Sponsored ADR	45 000	\$1,486 35	\$1,390 86	(\$95 49)	04/08/04	11/04/08	Long
Wolters Kluwer N V	30 000	\$513 00	\$539 23	\$26 23	05/05/04	11/24/08	Long
Nippon Teleg & Tel	260 000	\$6,657 26	\$6,481 66	(\$175 60)	05/05/04	12/08/08	Long
Nortel Networks Corp New	937 000	\$23,231 68	\$75 70	(\$23,155 98)	Various	01/21/09	Long
CIBA Specialty XXX	305 000	\$7,191 84	\$6,566 54	(\$625 30)	Various	04/16/09	Long
Glaxosmithkline Plc-Adr	155 000	\$6,640 00	\$4,739 81	(\$1,900 19)	Various	04/06/09	Long
KT CORP SP ADR	270 000	\$5,096 79	\$3,898 42	(\$1,200 37)	Various	04/07/09	Long
Ericsson (LM) TEL-SP ADR	235 000	\$3,882 91	\$2,268 91	(\$1,614 00)	Various	06/26/09	Long
Astrazeneca PLC	95 000	\$5,092 34	\$4,135 97	(\$956 37)	05/25/07	07/07/09	Long
Ericsson (LM) TEL-SP ADR	410 000	\$6,038 85	\$4,273 98	(\$1,764 87)	10/19/07	07/23/09	Long
Kingfisher PLC-SPONS ADR	470 000	\$4,313 20	\$2,889 57	(\$1,423 63)	07/19/07	07/13/09	Long
Kingfisher PLC-SPONS ADR	125 000	\$1,147 13	\$842 11	(\$305 02)	07/19/07	07/22/09	Long
SK Telecommunications CO LMT	180 000	\$3,863 59	\$2,874 12	(\$989 47)	Various	07/20/09	Long
Thomson SPON ADR	1,625 000	\$26,986 51	\$1,388 69	(\$25,597 82)	Various	07/17/09	Long
HSBC Holdings PLC-SPON	45 000	\$4,111 40	\$2,457 70	(\$1,653 70)	01/18/07	08/07/09	Long
Mitsubishi UFJ Financial ADR	990 000	\$9,109 65	\$6,210 41	(\$2,899 24)	Various	08/10/09	Long
Infinion Technologies AG ADR	55 000	\$523 46	\$293 26	(\$230 20)	02/08/06	09/04/09	Long
Infinion Technologies AG ADR	650 000	\$6,249 17	\$3,465 84	(\$2,783 33)	03/03/06	09/04/09	Long
Infinion Technologies AG ADR	55 000	\$522 01	\$293 26	(\$228 75)	03/08/06	09/04/09	Long
Infinion Technologies AG ADR	400 000	\$3,378 53	\$2,132 83	(\$1,245 70)	02/13/08	09/04/09	Long
Infinion Technologies AG ADR	260 000	\$2,196 03	\$1,432 06	(\$763 97)	02/13/08	09/09/09	Long
Infinion Technologies AG ADR	660 000	\$5,224 96	\$3,635 25	(\$1,589 71)	02/22/08	09/09/09	Long
				\$0 00			

Grand Total Long Term \$169,413 16 \$87,936 68 (\$81,476 48)

Grand Total Stocks \$176,879 75 \$100,528 73 (\$76,351 02)

Scitex Corp G

Arntz Famil. Indatton
Brouwer Janāchowski Inc Account #17035001419
For Tax Year Ending September 30, 2009
General Ledger Account # 1667

[illegible]

Primecap Odyssey Funds Growth				Cost	Reinvest	Cost of Shares Sold	Total Current Cost	Selling Price	Gain (Loss)	Dividends
DATE	# SHARES	Unit Price								
Feb-06	19407 997	12 88		250,000 00						
Dec-06	85 843	13 97			1,199 22					
Dec-06	26 938	13 97			376 32					
Dec-06	106 473	13 97			1,487 43					
Dec-07	53 591	14 32			767 43					
Dec-07	33 580	14 32			480 87					
Dec-07	19 737	14 32			282 63					
Dec-08	70 967	9 26			657 15					657 15
							255,251 05			
19805 126										

open DATE	# SHARES	Unit Price	Cost	Reinvest	Cost of Shares Sold	Total Current Cost	Selling Price	Gain (Loss)	Dividends
		<u>0 000</u>				<u>0 00</u>			
Total Book Value of Stocks - Account 1667						477,607.32		(9,871.33)	657.15

Total Book Value of Stocks - Account 1667

Section - H

Arntz Family Foundation Horizon Stock Realized Gain/Loss September 30, 2009

68-6109096 Arntz Family Foundation

Security	Quantity	Cost	Proceeds	Realized Gain/Loss	Purchase Date	Close Date	Holding Period
Cooper Industries Inc Cl	340 000	\$14,840 72	\$7,322 89	(\$7,517 83)	09/19/08	12/01/08	Short
Helmerich & Payne Inc	295 000	\$13,968 22	\$7,247 30	(\$6,720 92)	09/25/08	01/09/09	Short
Rowan Cos Inc Com	500 000	\$11,204 50	\$7,840 40	(\$3,364 10)	10/07/08	01/09/09	Short
QCOM	300 000	\$14,499 92	\$10,340 15	(\$4,159 77)	09/19/08	01/31/09	Short
Apache Corp Com	120 000	\$14,618 74	\$7,464 03	(\$7,154 71)	09/19/08	04/08/09	Short
Lexmark International Inc	440 000	\$14,097 16	\$7,422 04	(\$6,575 12)	09/25/08	04/08/09	Short
Cummins Inc Com	250 000	\$14,444 98	\$7,887 47	(\$6,557 51)	09/22/08	07/13/09	Short
Fastenal Co Com	275 000	\$14,451 22	\$8,267 61	(\$6,183 61)	09/22/08	07/13/09	Short
Nucor Corp Com	285 000	\$13,543 20	\$11,720 63	(\$1,822 57)	09/22/08	07/13/09	Short
Smith Intl Inc Com	240 000	\$16,043 76	\$5,346 83	(\$10,696 93)	09/22/08	07/13/09	Short
The Travelers Companies Inc Com	285 000	\$12,993 12	\$11,315 94	(\$1,677 18)	09/22/08	07/13/09	Short
Airgas Inc Com	250 000	\$14,914 70	\$11,019 61	(\$3,895 09)	09/19/08	08/17/09	Short
				\$0 00			Short
				\$0 00			Short
Grand Total Short Term		\$169,620 24	\$103,194 90	(\$66,425 34)			

68-6109096 Arntz Family Foundation

Security	Quantity	Cost	Proceeds	Realized Gain/Loss	Purchase Date	Close Date	Holding Period
				\$0 00			- ong
				\$0 00			- ong
				\$0 00			- ong
				\$0 00			- ong
				\$0 00			- ong
Grand Total Long Term		\$0 00	\$0 00	\$0 00			
Grand Total Stocks		\$169,620 24	\$103,194 90	(\$66,425 34)			

Arntz Family Foundation
Charles Schwab Account (Bonds) #4198-2965
September 30, 2009

68-6109096 Arntz Family Foundation

Security	Quantity	Original Cost	Proceeds	Realized Gain/Loss	Purchase Date	Close Date	Holding Period
Atlas Pipeline Holdings	1,000 000	\$21,029 95	\$1,395 52	(\$19,634 43)	2/22/2006	3/26/2009	
Atlas Pipeline Holdings	1,000 000	\$2,908 95	\$1,395 51	(\$1,513 44)	1/21/2009	3/26/2009	
Atlas Pipeline Partners, LP	500 000	\$21,631 48	\$1,847 74	(\$19,783 74)	4/15/2005	3/26/2009	
Atlas Pipeline Partners, LP	500 000	\$22,384 95	\$1,847 75	(\$20,537 20)	10/18/2005	3/26/2009	
Atlas Pipeline Partners, LP	500 000	\$20,884 95	\$1,847 74	(\$19,037 21)	11/22/2005	3/26/2009	
Atlas Pipeline Partners, LP	500 000	\$20,469 95	\$1,847 73	(\$18,622 22)	11/30/2005	3/26/2009	
Eagle Rock Energy Partners	3,000 000	\$45,008 95	\$11,060 76	(\$33,948 19)	4/15/2008	5/4/2009	
Constellation Energy Partners	1,000 000	\$19,828 95	\$3,830 95	(\$15,998 00)	7/2/2008	6/1/2009	
Hiland Partners LP	1,000 000	\$43,019 90	\$7,600 85	(\$35,419 05)	10/18/2005	9/14/2009	

Grand Total MLP Sales.

\$217,168 03

\$32,674.55

(\$184,493.48)

Schwab J

Zacks Investment Management
 REALIZED GAINS AND LOSSES
 4X4D Artnz Family Foundation
 GS Acct # 4X4D
 Rep Herb Brown
 From 10-01-08 Through 09-30-09

Open Date	Close Date	Quantity	Security	Gain Or Loss				
				Cost Basis	Proceeds	Short Term	Mid-Term	Long Term
02-11-09	03-25-09	1	RESMED INC	42	32	-9		
10-30-08	03-25-09	1	RAYTHEON CO	49	37	-12		
12-04-08	03-25-09	1	SUNOCO INC	36	27	-9		
11-05-08	03-25-09	1	AT&T INC	28	26	-2		
11-05-08	03-25-09	1	TAIWAN SEMICONDUCTOR	8	9	1		
10-30-08	03-25-09	1	TOTAL SYSTEM SERVICES	13	13	0		
11-05-08	03-25-09	1	WELLS FARGO & CO	35	16	-19		
10-30-08	03-30-09	27	GENENTECH	2255	2565	310		
10-30-08	05-13-09	0	HARRIS STRATEX NETWORK	1	1	0		
10-30-08	05-18-09	1	APPLE COMPUTER	111	124	13		
03-25-09	05-18-09	125	A K STEEL CORP	964	1545	582		
03-25-09	05-18-09	1	ANADARKO PETROLEUM	42	44	3		
03-25-09	05-18-09	37	ASHLAND INC	316	950	635		
10-30-08	05-18-09	1	AUTOZONE INC	122	157	36		
10-30-08	05-18-09	19	CELGENE CORP	1207	746	-461		
10-30-08	05-18-09	1	GOOGLE INC	363	387	24		
11-05-08	05-18-09	24	JP MORGAN/CHASE	1016	860	-156		
10-30-08	05-18-09	4	MCDONALDS CORP	231	213	-18		
11-05-08	05-18-09	27	3M CO	1770	1591	-179		
10-30-08	05-18-09	4	PRAXAIR INC	252	286	34		
11-05-08	05-18-09	18	AT&T INC	513	451	-61		
11-05-08	05-18-09	1	TRAVELERS COS	42	39	-3		
11-05-08	05-18-09	84	TAIWAN SEMICONDUCTOR	687	881	194		
11-05-08	07-01-09	11	ACE LIMITED	606	499	-108		
02-11-09	07-01-09	1	GILEAD SCIENCES	50	47	-3		
10-30-08	07-01-09	20	GILEAD SCIENCES	934	941	7		
11-05-08	07-01-09	17	HEINZ (H.J.) CO	764	628	-135		
11-05-08	07-01-09	25	INTEL CORP	396	430	34		
05-18-09	07-01-09	1	JUNIPER NETWORKS	22	24	2		
10-30-08	07-01-09	57	JUNIPER NETWORKS	1072	1390	318		
05-18-09	07-01-09	1	MONSANTO CO	90	74	-16		
10-30-08	07-01-09	11	MONSANTO CO	969	814	-155		
10-30-08	07-01-09	19	NIKE INC CL B	1043	996	-47		
05-18-09	07-01-09	3	RAYONIER INC	112	109	-3		
11-05-08	07-01-09	13	RAYONIER INC	411	474	62		
11-05-08	07-01-09	23	VF CORP	1297	1289	-8		
05-18-09	08-25-09	0	SEAHAWK DRILLING	39	3	-36		
10-30-08	08-25-09	0	SEAHAWK DRILLING	67	6	-60		
TOTAL GAINS						73253	12354	2436
TOTAL LOSSES						-28978	-131939	-10639
						669097	585584	44275
						-119585	-8203	
TOTAL REALIZED GAIN/LOSS						-83,512		

Zacks Investment Management believes the information contained herein to be accurate and reliable
 All information contained in this report came from a third party (Goldman Sachs) which is believed to be accurate
 and reliable, however accuracy cannot be guaranteed

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Zacks Investment Management
 REALIZED GAINS AND LOSSES
 4X4D Artzn Family Foundation
 GS Acct # 4X4D
 Rep: Herb Brown
 From 10-01-08 Through 09-30-09

Open Date	Close Date	Quantity	Security	Gain Or Loss		
				Cost Basis	Proceeds	Short Term Mid-Term Long Term
09-12-07	10-06-08	-141	HAVERTY FURNIT	1528	1471	-57
04-13-07	10-06-08	-63	HYTHIAM INC	90	434	344
01-17-07	10-06-08	-153	HYTHIAM INC	218	1510	1292
09-12-07	10-06-08	-40	ICU MEDICAL	1220	1517	298
04-13-07	10-06-08	-82	INSTEEL INDS	833	1607	774
09-12-07	10-06-08	-109	INTERNAP NETWRK	296	1498	1202
09-12-07	10-06-08	-85	INNOVATIVE SOLU	494	1519	1026
09-12-07	10-06-08	-33	ITC HOLDINGS CP	1570	1546	-24
09-12-07	10-06-08	-38	I2 TECHNOLOGIES	466	534	68
01-17-07	10-06-08	-65	I2 TECHNOLOGIES	797	1499	702
09-12-07	10-06-08	-75	JO-ANN STORES A	1354	1432	77
09-12-07	10-06-08	-39	JAMBA INC	26	277	251
04-13-07	10-06-08	-175	JAMBA INC	116	1576	1460
09-12-07	10-06-08	-8	KENSEY NASH CORP	248	188	-60
04-13-07	10-06-08	-4	KENSEY NASH CORP	124	118	-6
01-17-07	10-06-08	-51	KENSEY NASH CORP	1579	1579	0
09-12-07	10-06-08	-80	LABRANCHE & CO	355	391	36
04-13-07	10-06-08	-20	LABRANCHE & CO	89	178	89
01-17-07	10-06-08	-162	LABRANCHE & CO	719	1497	778
09-12-07	10-06-08	-140	LAKES ENTMNT	804	1427	624
09-12-07	10-06-08	-89	LIBBEY INC	620	1501	881
09-12-07	10-06-08	-45	LCA-VISION INC	154	1494	1340
09-12-07	10-06-08	-76	LIFETIME BRANDS	693	1471	778
09-12-07	10-06-08	-12	LANDAMERICA FIN	255	513	258
01-17-07	10-06-08	-21	LANDAMERICA FIN	447	1291	845
09-12-07	10-06-08	-246	LIGAND PHARMACEUTICALS	556	1468	912
09-12-07	10-06-08	-103	LUMINEX CORP	2185	1514	-671
09-12-07	10-06-08	-74	CCE SPINCO INC	1014	1502	488
09-12-07	10-06-08	-59	MARTEK BIOSCS	1545	1553	8
09-12-07	10-06-08	-78	MIDAS INC	1002	1466	464
09-12-07	10-06-08	-77	MEDIVATION INC	1527	1538	11
09-12-07	10-06-08	-10	MEDIFAST INC	55	61	6
04-13-07	10-06-08	-68	MEDIFAST INC	371	476	105
01-17-07	10-06-08	-153	MEDIFAST INC	835	1482	647
09-12-07	10-06-08	-55	MEDIA GENERAL	563	1517	954
09-12-07	10-06-08	-31	MGP INGREDIENTS	68	427	359
04-13-07	10-06-08	-8	MGP INGREDIENTS	18	161	143
01-17-07	10-06-08	-72	MGP INGREDIENTS	159	1566	1407
09-12-07	10-06-08	-32	M/I SCHOT HOMES	585	474	-111
04-13-07	10-06-08	-19	M/I SCHOT HOMES	347	497	150
01-17-07	10-06-08	-44	M/I SCHOT HOMES	804	1566	762
04-13-07	10-06-08	-110	MCMORAN EXPLOR	1863	1613	-250
09-12-07	10-06-08	-107	MANNATECH INC	427	683	256
04-13-07	10-06-08	-105	MANNATECH INC	419	1586	1167
09-12-07	10-06-08	-43	NASH FINCH CO	1614	1552	-61
09-12-07	10-06-08	-37	ENPRO INDUSTRIES	1201	1539	338
09-12-07	10-06-08	-3	NVR INC	1628	1449	-178
04-13-07	10-06-08	-114	NXSTAGE MEDICAL	499	1624	1125
09-12-07	10-06-08	-53	ORLEANS HOMEBLD	212	322	110
04-13-07	10-06-08	-187	ORLEANS HOMEBLD	749	1451	702
01-18-08	10-06-08	-39	OMNITURE INC	521	1129	608
09-12-07	10-06-08	-96	OLD NATL BCP	1680	1484	-195

Zacks Investment Management
REALIZED GAINS AND LOSSES
4X4D Artz Family Foundation
GS Acct # 4X4D
Rep Herb Brown
From 10-01-08 Through 09-30-09

Open Date	Close Date	Quantity	Security	Gain Or Loss				
				Cost Basis	Proceeds	Short Term	Mid-Term	Long Term
00-12-07	10-06-08	-13	TURBOCHEF TECH	65	158	93		
04-13-07	10-06-08	-10	TURBOCHEF TECH	50	147	97		
01-17-07	10-06-08	-97	TURBOCHEF TECH	486	1536	1050		
09-12-07	10-06-08	-20	PARALLEL PETROL	119	351	232		
04-13-07	10-06-08	-68	PARALLEL PETROL	404	1658	1254		
09-12-07	10-06-08	-89	PHARMERICA CORP	1817	1468	-349		
09-12-07	10-06-08	-98	PINNACL AIRLNS	361	1481	1120		
09-12-07	10-06-08	-65	PERFICIENT INC	371	1527	1156		
09-12-07	10-06-08	-113	PRG-SCHULTZ INT	463	1427	964		
09-12-07	10-06-08	-101	PARKERVISON	669	1418	749		
01-17-07	10-06-08	-234	PRESSTEK INC	1168	1510	343		
09-12-07	10-06-08	-111	RACKABLE SYSTMS	966	1493	528		
01-17-07	10-06-08	-49	ROYAL GOLD INC	1679	1558	-121		
09-12-07	10-06-08	-5	RTI INTL METALS	72	329	256		
04-13-07	10-06-08	-17	RTI INTL METALS	245	1601	1356		
09-12-07	10-06-08	-80	APEX SILVER	145	1496	1352		
09-12-07	10-06-08	-96	SPARTAN MOTORS	316	1526	1210		
01-17-07	10-06-08	-47	SIMPSON MFG INC	1113	1435	322		
09-12-07	10-06-08	-110	SOUTHWEST WATER	1178	1484	306		
09-12-07	10-06-08	-75	3D SYSTEMS CORP	882	1543	661		
09-12-07	10-06-08	-231	TERREMARK WRLWD	1387	1506	119		
03-12-07	10-06-08	-57	TOREADOR RES CP	440	571	131		
04-13-07	10-06-08	-28	TOREADOR RES CP	216	525	309		
01-17-07	10-06-08	-60	TOREADOR RES CP	463	1526	1063		
01-17-07	10-06-08	-41	TRICO MARINE SV	515	1290	775		
09-12-07	10-06-08	-5	TRICO MARINE SV	63	158	96		
09-12-07	10-06-08	-19	THOMAS WEISEL	149	271	121		
04-13-07	10-06-08	-9	THOMAS WEISEL	71	163	92		
01-17-07	10-06-08	-80	THOMAS WEISEL	629	1556	927		
09-12-07	10-06-08	-10	UNISOURCE ENRGY	258	295	37		
04-13-07	10-06-08	-41	UNISOURCE ENRGY	1058	1583	525		
09-12-07	10-06-08	-31	USEC INC	114	401	286		
01-17-07	10-06-08	-86	USEC INC	317	1131	814		
09-12-07	10-06-08	-31	UNIVERSAL CORP	1429	1447	18		
09-12-07	10-06-08	-296	VIVUS INC	2104	1489	-615		
09-12-07	10-06-08	-20	WABASH NATIONAL	155	242	88		
04-13-07	10-06-08	-2	WABASH NATIONAL	15	30	15		
01-17-07	10-06-08	-103	WABASH NATIONAL	796	1575	779		
09-12-07	10-06-08	-10	WORLD ACCEPTANC	294	304	11		
04-13-07	10-06-08	-7	WORLD ACCEPTANC	205	283	77		
01-17-07	10-06-08	-32	WORLD ACCEPTANC	939	1457	517		
09-12-07	10-06-08	-42	CROSSTEX ENERGY	607	1483	876		
09-12-07	10-06-08	-67	ZALE CORP	1570	1520	-51		
09-12-07	10-06-08	49	ATLAS AIR WORLD	2490	1527		-964	
09-12-07	10-06-08	93	ANCHOR BCP-WISC	2535	649		-1886	
09-12-07	10-06-08	168	ACETO CORP	1532	1317		-215	
09-12-07	10-06-08	220	ACTEL CORP	2572	2637		64	
09-12-07	10-06-08	61	ADVENT SOFTWARE	2617	1770		-847	
03-12-07	10-06-08	127	AFFIRM INS HLDG	1525	279		-1246	
06-18-07	10-06-08	157	AMTRUST FIN SVC	2627	1504		-1123	
01-17-07	10-06-08	38	ATLAS PIPELINE	896	723			-173
09-12-07	10-06-08	58	AIR METHODS CRP	2537	1509		-1028	

Zacks Investment Management
REALIZED GAINS AND LOSSES
4X4D Artz Family Foundation
GS Acct # 4X4D
Rep Herb Brown
From 10-01-08 Through 09-30-09

Open Date	Close Date	Quantity	Security	Gain Or Loss				
				Cost Basis	Proceeds	Short Term	Mid-Term	Long Term
09-12-07	10-06-08	84	APPLD INDL TECH	2552	1991		-561	
09-12-07	10-06-08	82	ALLEGiant TRAVL	2452	2355		-97	
09-12-07	10-06-08	240	ALLION HEALTHCR	1583	1143		-440	
09-12-07	10-06-08	160	AMCOMP INC	1567	1899		331	
09-12-07	10-06-08	166	ALBANY MOLECULAR RESEARCH	2544	2201		-343	
09-12-07	10-06-08	149	AMERISAFE INC	2381	2410		30	
01-17-07	10-06-08	154	AMER SOFTWARE A	1104	662			-441
01-17-07	10-06-08	86	ANIKA THERAPEUT	1132	570			-562
09-12-07	10-06-08	67	AEGEAN MARINE	1524	928		-596	
09-12-07	10-06-08	52	SMITH (A.O.) CORP	2457	1654		-803	
01-17-07	10-06-08	260	ARBINET-THEXCHG	1492	601			-890
09-12-07	10-06-08	42	ARBINET-THEXCHG	213	97		-116	
09-12-07	10-06-08	58	ARCH CHEMICALS	2491	1755		-735	
09-12-07	10-06-08	71	ARROW FINL CORP	1554	1983		429	
09-12-07	10-06-08	88	ARUBA NETWORKS	1539	328		-1211	
09-12-07	10-06-08	247	ON ASSIGNMENT	2482	1553		-928	
09-12-07	10-06-08	80	AMER SAFETY INS	1509	1048		-462	
09-12-07	10-06-08	48	ATLAS EGY RSRCS	1546	878		-668	
01-17-07	10-06-08	255	AVIGEN INC	1539	805			-735
09-12-07	10-06-08	29	AVIGEN INC	159	91		-67	
09-12-07	10-06-08	98	ARMSTRONG WORLD	3971	2539		-1432	
09-12-07	10-06-08	65	AXSYS TECH INC	1634	3480		1846	
04-13-07	10-06-08	105	AXSYS TECH INC	1834	5622		3787	
09-12-07	10-06-08	47	BROOKFIELD ASST	1498	1024		-475	
06-18-07	10-06-08	56	CREDICORP LTD	3359	2749		-610	
09-12-07	10-06-08	11	CREDICORP LTD	657	540		-117	
04-13-07	10-06-08	39	BCP RHODE ISLD	1686	1077		-610	
09-12-07	10-06-08	1	BCP RHODE ISLD	38	28		-10	
01-17-07	10-06-08	48	BREITBURN EGY	1131	625			-506
09-12-07	10-06-08	64	BLUE COAT SYSTEMS INC	2556	707		-1848	
09-12-07	10-06-08	3	BELDEN CDT INC	142	76		-67	
01-12-07	10-06-08	50	BELDEN CDT INC	1986	1263			-723
09-12-07	10-06-08	301	BGC PARTNRS INC	2459	1032		-1427	
09-12-07	10-06-08	116	B&G FOODS CL-A	1537	634		-904	
09-12-07	10-06-08	30	BIO-RAD LABS	2574	2755		181	
09-12-07	10-06-08	163	BUCKEYE TECHNOLOGIES	2322	1068		-1254	
01-17-07	10-06-08	51	BAKER (MICHAEL)	1127	1148			21
09-12-07	10-06-08	194	BLOUNT INTL	2442	1837		-605	
09-12-07	10-06-08	58	BANCO MACRO-ADR	1528	1022		-507	
09-12-07	10-06-08	80	BADGER METER	2628	2923		294	
04-13-07	10-06-08	30	BOK FINL CORP	1485	1551		66	
09-12-07	10-06-08	87	BAYTEX ENERGY	1605	1601		-4	
09-12-07	10-06-08	93	BANCTRUST FINL	1518	1172		-346	
09-12-07	10-06-08	368	CHINA AUTO SYS	2456	1205		-1251	
09-12-07	10-06-08	285	CAPTARIS INC	1537	1300		-237	
01-17-07	10-06-08	92	CASCADE FINL CP	1583	602			-981
04-13-07	10-06-08	3	CASCADE FINL CP	49	20		-30	
09-12-07	10-06-08	666	CATALYST SEMICO	3839	2281		-1558	
09-12-07	10-06-08	57	CENTRAL EUR DIS	2680	2097		-583	
04-13-07	10-06-08	174	CEVA INC	1305	1245		-60	
04-13-07	10-06-08	152	CULP INC	1130	755		-375	
09-12-07	10-06-08	61	CIRCOR INT'L INC	2550	2311		-239	

Zacks Investment Management
 REALIZED GAINS AND LOSSES
 4X4D Artnz Family Foundation
 GS Acct # 4X4D
 Rep Herb Brown
 From 10-01-08 Through 09-30-09

Open Date	Close Date	Quantity	Security	Gain Or Loss				
				Cost Basis	Proceeds	Short Term	Mid-Term	Long Term
09-12-07	10-06-08	140	COMSYS IT PTNRS	2287	1150		-1137	
09-12-07	10-06-08	91	CHECKPOINT SYSTEMS	2547	1407		-1141	
09-12-07	10-06-08	215	CANDELA CORP	1576	375		-1201	
04-13-07	10-06-08	117	CENTER BANCORP	1786	937		-849	
09-12-07	10-06-08	12	CENTER BANCORP	145	96		-48	
04-27-07	10-06-08	0	CENTER BANCORP	0	3		3	
09-12-07	10-06-08	39	COVIDIEN-LTD	1637	1927		291	
01-17-07	10-06-08	48	CHESAPEAKE UTIL	1411	1452			41
06-18-07	10-06-08	31	CPFL ENERGI-ADR	1850	1476		-375	
09-12-07	10-06-08	57	CAPITAL PRODUCT	1464	501		-963	
09-12-07	10-06-08	262	CONS PORTFOLIO	1535	495		-1040	
01-17-07	10-06-08	179	CARRIAGE SVCS-A	976	543			-433
04-13-07	10-06-08	65	CONN WATER SVC	1601	1666		66	
09-12-07	10-06-08	128	CENVEO INC	2349	759		-1590	
09-12-07	10-06-08	82	WILLIAMS(C)JNGY	2554	4055		1500	
09-12-07	10-06-08	57	CNX GAS CORP	1590	1020		-570	
09-12-07	10-06-08	45	DANAOS CORP	1557	467		-1091	
09-12-07	10-06-08	311	DARLING INTL	2669	2676		7	
01-17-07	10-06-08	53	DUCOMMUN INC DE	1217	1019			-198
09-12-07	10-06-08	16	DELHAIZE-LE	1526	904		-622	
09-12-07	10-06-08	11	DEERFIELD CAPITAL CORP	1372	5		-1367	
03-12-07	10-06-08	19	DG FASTCHANNEL	427	340		-87	
01-17-07	10-06-08	100	DG FASTCHANNEL	1324	1791			467
09-12-07	10-06-08	180	DENISON MINES	1590	356		-1234	
09-12-07	10-06-08	39	DARWIN PROFESSN	905	1226		321	
01-17-07	10-06-08	65	DARWIN PROFESSN	1486	2043			557
04-13-07	10-06-08	32	DRYSHIPS INC	821	793		-28	
09-12-07	10-06-08	251	DOVER MOTORSPRT	1559	1216		-343	
09-12-07	10-06-08	65	DREW INDS INC	2465	903		-1562	
09-12-07	10-06-08	33	DAWSON GEOPHYS	2594	998		-1596	
09-12-07	10-06-08	181	EDGEWATER TECH	1521	707		-813	
09-12-07	10-06-08	102	ELOYALTY CORP	1492	441		-1051	
06-18-07	10-06-08	46	ENGLOBAL CORP	515	357		-158	
09-12-07	10-06-08	232	ENGLOBAL CORP	2105	1799		-306	
09-12-07	10-06-08	224	ERESEARCH TECH	2568	2283		-286	
06-18-07	10-06-08	36	ENERPLUS RSRCS	1698	1046		-652	
09-12-07	10-06-08	34	ERIE INDEMNITY	2064	1361		-703	
01-17-07	10-06-08	30	ERIE INDEMNITY	1669	1201			-468
04-13-07	10-06-08	3	ERIE INDEMNITY	157	120		-37	
06-18-07	10-06-08	34	ELBIT SYSTEMS	1550	1664		114	
09-12-07	10-06-08	137	ESSA BANCORP	1554	1852		297	
09-12-07	10-06-08	105	EURAND NV-ORD	1547	1457		-90	
09-12-07	10-06-08	41	EV ENERGY PTNR	1532	601		-931	
09-12-07	10-06-08	84	EXCEL MARITIME CARRIERS	3975	898		-3077	
09-12-07	10-06-08	235	FALCONSTOR SFTW	2556	933		-1623	
09-12-07	10-06-08	75	FCSTONE GRP INC	2370	1106		-1264	
09-12-07	10-06-08	98	FRESH DEL MONTE PRODUCE	2587	1840		-747	
09-12-07	10-06-08	240	1800FLOWERS COM	2508	1193		-1315	
03-12-07	10-06-08	34	FMC CORP	1557	1395		-162	
09-12-07	10-06-08	71	FOSSIL INC	2657	1686		-972	
09-12-07	10-06-08	143	FIRSTSERVICE CP	3819	1778		-2041	
06-18-07	10-06-08	61	FOSTER LB CO	1637	1477		-161	

Zacks Investment Management
 REALIZED GAINS AND LOSSES
 4X4D Artnz Family Foundation
 GS Acct # 4X4D
 Rep Herb Brown
 From 10-01-08 Through 09-30-09

Open Date	Close Date	Quantity	Security	Gain Or Loss				
				Cost Basis	Proceeds	Short Term	Mid-Term	Long Term
09-12-07	10-06-08	91	STEALTHGAS INC	1543	910		-633	
01-17-07	10-06-08	112	GLOBECOMM SYSTM	1135	857			-278
09-12-07	10-06-08	40	GARDNER DENVER	1500	1052		-448	
09-12-07	10-06-08	104	GEOEYE INC	2492	1835		-656	
09-12-07	10-06-08	72	GULF ISLAND FAB	2488	1940		-547	
01-17-07	10-06-08	159	GILAT SATELLITE	1395	742			-653
04-13-07	10-06-08	14	GILAT SATELLITE	113	65		-48	
09-12-07	10-06-08	68	GIVEN IMAGING	1813	589		-1224	
01-17-07	10-06-08	138	GP STRATEGIES	1167	885			-282
09-12-07	10-06-08	155	GSI GROUP INC	1614	446		-1167	
09-12-07	10-06-08	151	GRAFTECH INTL	2512	1519		-992	
09-12-07	10-06-08	201	HALLMARK FINL	2556	1778		-778	
09-12-07	10-06-08	265	HEALTH GRADES	1547	726		-821	
01-17-07	10-06-08	190	HI/FN INC	1122	566			-556
09-12-07	10-06-08	123	HERCULES INC	2419	1991		-428	
09-12-07	10-06-08	137	HEALTHSPRING IN	2501	2429		-71	
09-12-07	10-06-08	208	HERCULES TECH	2482	1829		-652	
09-12-07	10-06-08	159	HEADWATERS INC	2387	1674		-712	
09-12-07	10-06-08	109	HAWK CORP	1532	1771		238	
09-12-07	10-06-08	93	INTERACTV DATA	2487	2032		-455	
09-12-07	10-06-08	82	II-VI INC	2546	2568		22	
09-12-07	10-06-08	174	IMMERSION CORP	2488	791		-1697	
04-13-07	10-06-08	127	INTERPHASE	1370	315		-1055	
09-12-07	10-06-08	23	INTERPHASE	239	57		-182	
04-13-07	10-06-08	148	INTEGR SILI SOL	851	328		-523	
09-12-07	10-06-08	108	INVACARE CORP	2529	2454		-75	
12-28-07	10-06-08	506	ISHARES TR-2000	39051	29578	-9473		
09-12-07	10-06-08	151	COMMUN SYS INC	1584	1514		-70	
09-12-07	10-06-08	123	JDA SOFTWARE	2549	1587		-962	
09-12-07	10-06-08	37	KAISER ALUMINUM	2482	1331		-1151	
09-12-07	10-06-08	78	KAMAN CORP A	2494	1908		-586	
01-17-07	10-06-08	110	KEITHLEY INSTR	1459	789			-670
09-12-07	10-06-08	45	KEITHLEY INSTR	444	323		-122	
01-12-07	10-06-08	137	KEY TECHNOLOGY	2044	2555			511
04-13-07	10-06-08	126	LA BARGE	1698	1800		102	
09-12-07	10-06-08	169	LYDALL INC	1560	1366		-194	
09-12-07	10-06-08	48	LADISH COMPANY	2545	797		-1748	
09-12-07	10-06-08	58	CHINA LIFE INS	4152	3013		-1139	
09-12-07	10-06-08	106	FIDELITY SOUTHN	1570	429		-1142	
09-12-07	10-06-08	172	LIMELIGHT NETWK	1557	368		-1188	
09-12-07	10-06-08	173	ELONG INC-ADR	1669	1181		-488	
09-12-07	10-06-08	277	MERCER INTL SBI	2467	794		-1673	
09-12-07	10-06-08	36	MIDDLEBY CORP	2440	1549		-892	
01-17-07	10-06-08	46	MI DEVLPMNTS -A	1604	695			-909
09-12-07	10-06-08	3	MI DEVLPMNTS -A	95	45		-50	
04-13-07	10-06-08	40	MARTIN MIDSTRM	1598	648		-950	
04-13-07	10-06-08	136	MET-PRO CORP	1562	1585		24	
09-12-07	10-06-08	144	MATERIAL SCI CP	1568	811		-757	
01-17-07	10-06-08	70	NEOGEN CORP	1019	1857			838
09-12-07	10-06-08	151	NATURAL GAS SVC	2509	2172		-337	
09-12-07	10-06-08	200	NUMEREX CP-CL A	1745	560		-1185	
01-17-07	10-06-08	32	INERGY HLDGS LP	1264	613			-651

Zacks Investment Management
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4X4D Artnz Family Foundation
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Rep Herb Brown
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Open Date	Close Date	Quantity	Security	Gain Or Loss				
				Cost Basis	Proceeds	Short Term	Mid-Term	Long Term
09-12-07	10-06-08	65	NORTHRIM BCP	1575	990		-585	
04-13-07	10-06-08	46	NATURAL RESOURCE PARTNERS LP	1612	883		-729	
09-12-07	10-06-08	440	NETSCOUT SYSTMS	4095	3650		-445	
09-12-07	10-06-08	256	NEXSTAR BRDCSTG	2520	370		-2151	
09-12-07	10-06-08	35	OCEANEERING INT'L	2564	1190		-1374	
09-12-07	10-06-08	221	OSTEOTECH INC	1635	865		-770	
09-12-07	10-06-08	184	PROVIDENT NY BP	2495	2395		-100	
09-12-07	10-06-08	59	POTLATCH CORP	2461	2439		-21	
01-17-07	10-06-08	124	PERCEPTRON INC	1148	644			-504
04-13-07	10-06-08	2	PERCEPTRON INC	18	10		-7	
09-12-07	10-06-08	87	PROVIDENCE SVC	2533	616		-1917	
09-12-07	10-06-08	259	PAIN THERAPEUT	2490	2423		-67	
09-12-07	10-06-08	53	PENN WEST EGY	1579	933		-646	
09-12-07	10-06-08	57	PENN WEST EGY	1568	990		-578	
09-12-07	10-06-08	515	QIAO XING MOBIL	4050	1268		-2782	
09-12-07	10-06-08	167	ARIES MARITIME	1574	203		-1371	
09-12-07	10-06-08	167	ROCKY BRANDS	1582	485		-1097	
04-13-07	10-06-08	214	RCM TECH INC	1714	388		-1326	
09-12-07	10-06-08	132	RENTRAK CORP	1579	1655		76	
09-12-07	10-06-08	221	PRINCETON RVIEW	1628	1464		-164	
09-12-07	10-06-08	309	REPLIGEN	1344	1237		-107	
06-18-07	10-06-08	88	RIVIERA HLDGS	3256	462		-2794	
09-12-07	10-06-08	2	RIVIERA HLDGS	54	10		-44	
09-12-07	10-06-08	79	RRSAT GBL COMM	1516	807		-709	
09-12-07	10-06-08	102	RIVERVIEW BANC	1544	525		-1019	
09-12-07	10-06-08	71	SAFETY INS GRP	2519	2895		376	
04-13-07	10-06-08	41	SCHNITZER STEEL	1893	1153		-740	
09-12-07	10-06-08	142	SEABRIGHT INSUR	2436	1820		-616	
09-12-07	10-06-08	111	SPECTRUM CONTRL	1611	832		-778	
09-12-07	10-06-08	61	SPSS INC	2477	1545		-932	
09-12-07	10-06-08	80	csSTAR/O	1498	881		-617	
09-12-07	10-06-08	77	STELLARONE CORP	1556	1335		-221	
09-12-07	10-06-08	18	SUNTRUST BANKS	1525	851		-674	
09-12-07	10-06-08	48	STANTEC INC	1586	976		-610	
09-12-07	10-06-08	112	STERLING CONSTR	2553	1560		-993	
09-12-07	10-06-08	297	SILVERLEAF RSRT	1455	308		-1147	
09-12-07	10-06-08	144	TRANSALTA CORP	4094	3363		-732	
06-18-07	10-06-08	64	TBS INTL LTD-A	1466	621		-845	
09-12-07	10-06-08	87	FIRST FINL-IND	2505	3782		1277	
09-12-07	10-06-08	155	TIER TECH INC	1559	917		-642	
04-13-07	10-06-08	65	TELVENT GIT SA	1226	962		-264	
04-13-07	10-06-08	44	TUTOR PERINI	1701	917		-783	
09-12-07	10-06-08	22	TERRA INDS INC	557	412		-145	
06-18-07	10-06-08	75	TERRA INDS INC	1644	1404		-240	
09-12-07	10-06-08	23	TRANSATLANTIC HOLDINGS	1555	1096		-459	
09-12-07	10-06-08	69	TRIMBLE NAVIGATION LTD	2428	1397		-1031	
09-12-07	10-06-08	190	TRIMAS CORP	2440	1056		-1384	
09-12-07	10-06-08	79	TUPPERWARE CORP	2468	1934		-534	
06-18-07	10-06-08	65	UTD FIRE&CASLT	2321	1769		-552	
09-12-07	10-06-08	61	UGI CORP	1563	1499		-64	
09-12-07	10-06-08	132	UNILEVER NV	4104	3469		-635	
04-13-07	10-06-08	101	WASHINGTON BNKG	1589	839		-750	

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4X4D Artnz Family Foundation
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Open Date	Close Date	Quantity	Security	Gain Or Loss				
				Cost Basis	Proceeds	Short Term	Mid-Term	Long Term
09-12-07	10-06-08	112	WENDYS/ARDBYS GP	27	465		438	
09-12-07	10-06-08	256	SM&A	1581	700		-881	
09-12-07	10-06-08	129	WP CAREY&CO LLC	4061	2996		-1065	
09-12-07	10-06-08	65	WESBANCO INC	1865	1674		-191	
01-17-07	10-06-08	65	WESBANCO INC	1685	1659			-26
04-13-07	10-06-08	11	WESBANCO INC	239	272		33	
02-28-08	10-06-08	1	WESBANCO INC	0	26	26		
09-12-07	10-06-08	177	X-RITE	2533	508		-2025	
09-12-07	10-06-08	266	IXIA	2481	1815		-666	
09-12-07	10-06-08	109	ZOLL MEDICAL CO	2671	2809		138	
10-06-08	10-13-08	2	BON-TON STORES	5	4	-1		
04-13-07	10-13-08	1	MET-PRO CORP	11	10		-1	
10-30-08	12-04-08	5	APPLE COMPUTER	553	469	-85		
10-30-08	12-04-08	43	ACE LIMITED	2421	2053	-368		
11-05-08	12-04-08	3	ACE LIMITED	165	143	-22		
10-30-08	12-04-08	19	ALCON INC	1694	1638	-56		
10-30-08	12-04-08	20	ACCENTURE LTD	638	599	-39		
10-30-08	12-04-08	16	ADOBE SYSTEMS	430	340	-91		
10-30-08	12-04-08	23	ANALOG DEVICES	485	388	-98		
10-30-08	12-04-08	18	AUTODESK INC	393	282	-111		
11-05-08	12-04-08	12	AMEREN CORP	400	411	11		
11-05-08	12-04-08	10	ASTORIA FINANCIAL	195	170	-25		
10-30-08	12-04-08	12	AFLAC INC	517	523	6		
11-05-08	12-04-08	27	ARTHUR J GALLAGHER	670	656	-14		
10-30-08	12-04-08	5	AMAZON COM	282	248	-34		
10-30-08	12-04-08	136	ACTIVISION INC	1702	1454	-248		
10-30-08	12-04-08	17	AVON PRODUCTS	367	360	-7		
10-30-08	12-04-08	8	AUTOZONE INC	973	941	-32		
11-05-08	12-04-08	11	BANKAMERICA	263	165	-99		
10-30-08	12-04-08	12	BIAGEN IDEC INC	481	505	24		
11-05-08	12-04-08	9	BANK OF NY MELLON CORP	310	266	-43		
10-30-08	12-04-08	15	BMC SOFTWARE INC	370	349	-21		
11-05-08	12-04-08	29	BRISTOL MEYERS	626	617	-9		
11-05-08	12-04-08	9	BRITISH PETROLEUM	458	408	-51		
11-05-08	12-04-08	18	CITIGROUP	256	144	-112		
10-30-08	12-04-08	27	COMPUTER ASSOCIATES	472	418	-53		
10-30-08	12-04-08	6	CELGENE CORP	381	324	-57		
10-30-08	12-04-08	9	CHESAPEAKE ENERGY CORP	191	121	-70		
10-30-08	12-04-08	10	CUMMINS INC	314	237	-76		
10-30-08	12-04-08	15	CANADIAN NAT'L RAILWAY	649	524	-126		
11-05-08	12-04-08	14	CONOCOPHILLIPS	777	679	-97		
10-30-08	12-04-08	24	CISCO SYSTEMS	429	383	-46		
11-05-08	12-04-08	6	CHEVRONTEXACO	465	445	-19		
11-05-08	12-04-08	19	DR HORTON INC	142	158	16		
10-30-08	12-04-08	25	DISNEY (WALT) CO	621	558	-63		
10-30-08	12-04-08	23	ECHOSTAR COMMUNICATIONS	372	245	-128		
10-30-08	12-04-08	10	GENENTECH	835	743	-92		
11-05-08	12-04-08	76	DPL INC	1667	1572	-95		
11-05-08	12-04-08	18	DUKE ENERGY CORP	290	267	-23		
10-30-08	12-04-08	25	EBAY INC	384	340	-45		
10-30-08	12-04-08	9	EXPRESS SCRIPTS	516	502	-13		
11-05-08	12-04-08	5	EXELON CORP	279	276	-3		

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Open Date	Close Date	Quantity	Security	Gain Or Loss				
				Cost Basis	Proceeds	Short Term	Mid-Term	Long Term
11-05-08	12-04-08	20	FIFTH THIRD BANCORP	256	160	-96		
10-30-08	12-04-08	10	FLUOR CORP	392	460	68		
11-05-08	12-04-08	33	GENERAL ELECTRIC	689	594	-95		
10-30-08	12-04-08	44	GILEAD SCIENCES	2055	2034	-21		
10-30-08	12-04-08	11	GOODRICH (B.F) CO	403	362	-41		
10-30-08	12-04-08	28	HASBRO INC	787	757	-31		
11-05-08	12-04-08	9	HOME DEPOT	207	216	9		
11-05-08	12-04-08	10	HEINZ (H J.) CO	449	376	-73		
10-30-08	12-04-08	12	HONEYWELL INC	359	315	-45		
10-30-08	12-04-08	34	H & R BLOCK	640	683	43		
10-30-08	12-04-08	18	HARRIS CORP	650	600	-50		
11-05-08	12-04-08	6	INTL BUS MACHINES	553	477	-76		
10-30-08	12-04-08	4	INTL BUS MACHINES	363	318	-45		
11-05-08	12-04-08	48	INTEL CORP	760	637	-123		
10-30-08	12-04-08	48	INGERSOLL-RAND	862	738	-124		
11-05-08	12-04-08	11	JOHNSON & JOHNSON INC	675	631	-45		
10-30-08	12-04-08	19	JUNIPER NETWORKS	357	308	-50		
11-05-08	12-04-08	14	JP MORGAN/CHASE	593	449	-144		
11-05-08	12-04-08	11	KELLOGG CO	570	457	-114		
11-05-08	12-04-08	6	KRAFT FOODS	182	160	-22		
11-05-08	12-04-08	9	LILLY (ELI) & CO	317	313	-4		
11-05-08	12-04-08	11	LINCOLN NATIONAL	234	139	-95		
10-30-08	12-04-08	16	MCDONALDS CORP	926	973	47		
11-05-08	12-04-08	18	MERRILL LYNCH	350	224	-126		
10-30-08	12-04-08	12	MEDCO HLTH SOL	436	468	32		
11-05-08	12-04-08	7	3M CO	459	418	-41		
10-30-08	12-04-08	5	MONSANTO CO	440	378	-63		
11-05-08	12-04-08	2	MERCK & CO	61	52	-9		
11-05-08	12-04-08	3	MORGAN STANLEY	57	43	-14		
10-30-08	12-04-08	6	METTLER-TOLEDO INT'L	455	449	-6		
10-30-08	12-04-08	18	NIKE INC CL B	988	954	-33		
11-05-08	12-04-08	4	NORTHROP GRUMMAN CORP	192	162	-29		
10-30-08	12-04-08	6	NORFOLK SOUTHERN	344	284	-60		
10-30-08	12-04-08	9	NORTHERN TRUST	503	430	-74		
11-05-08	12-04-08	13	ONEOK INC	411	347	-63		
10-30-08	12-04-08	6	PRICELINE COM	335	359	23		
10-30-08	12-04-08	18	PRIDE INTL INC	319	247	-72		
10-30-08	12-04-08	18	PUBLIC SV ENTRP	528	541	12		
11-05-08	12-04-08	49	PFIZER INC	876	813	-63		
11-05-08	12-04-08	1	PROCTER & GAMBLE	65	62	-3		
11-05-08	12-04-08	17	PROGRESS ENERGY	678	672	-6		
11-05-08	12-04-08	6	PHILIP MORRIS INT'L	274	248	-26		
11-05-08	12-04-08	17	PNC FINANCIAL SERVICES	1235	848	-387		
11-05-08	12-04-08	12	PINNACLE WEST CAPITAL	379	356	-23		
10-30-08	12-04-08	32	PRUDENTIAL FINANCIAL	973	703	-270		
10-30-08	12-04-08	13	PRAXAIR INC	819	686	-133		
10-30-08	12-04-08	10	RESMED INC	333	362	29		
11-05-08	12-04-08	15	DONNELLEY (R.R) & SONS	257	187	-70		
10-30-08	12-04-08	12	RAYTHEON CO	586	593	7		
11-05-08	12-04-08	8	RAYONIER INC	253	240	-14		
10-30-08	12-04-08	58	SPRINT NEXTEL	202	156	-46		
11-05-08	12-04-08	11	SPECTRA ENERGY	210	160	-50		

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Open Date	Close Date	Quantity	Security	Gain Or Loss				
				Cost Basis	Proceeds	Short Term	Mid-Term	Long Term
10-30-08	12-04-08	3	SCHLUMBERGER LT	156	123	-33		
10-30-08	12-04-08	17	ST JUDE MEDICAL	630	509	-121		
10-30-08	12-04-08	9	STATE STREET BANK	383	359	-24		
11-05-08	12-04-08	43	AT&T INC	1225	1241	16		
11-05-08	12-04-08	11	INTEGRYS ENERGY GROUP	536	473	-64		
10-30-08	12-04-08	19	TJX COMPANIES	512	379	-134		
11-05-08	12-04-08	2	TRAVELERS COS	84	81	-3		
11-05-08	12-04-08	52	TAIWAN SEMICONDUCTOR	425	333	-93		
10-30-08	12-04-08	21	TOTAL SYSTEM SERVICES	275	294	20		
11-05-08	12-04-08	10	TIME WARNER INC	111	95	-16		
10-30-08	12-04-08	25	TEXAS INSTRUMENTS	473	373	-100		
10-30-08	12-04-08	62	TYCO INT'L LTD	1483	1314	-169		
11-05-08	12-04-08	3	UNITED TECHNOLOGIES	165	143	-22		
11-05-08	12-04-08	6	VF CORP	338	324	-14		
11-05-08	12-04-08	15	VERIZON COMMUNICATIONS	478	497	19		
11-05-08	12-04-08	27	WELLS FARGO & CO	935	761	-174		
10-30-08	12-04-08	23	WASTE MANAGEMENT	718	689	-29		
11-05-08	12-04-08	16	WARNER MUSIC GP	63	41	-22		
11-05-08	12-04-08	13	WAL-MART STORES	722	721	-1		
11-05-08	12-04-08	7	WEYERHAUSER CO	249	254	6		
11-05-08	12-04-08	4	WYETH	136	138	2		
11-05-08	01-02-09	0	BANKAMERICA	5	2	-3		
10-30-08	01-21-09	24	STATE STREET BANK	1020	379	-641		
11-05-08	02-11-09	2	ACE LIMITED	110	86	-24		
10-30-08	02-11-09	2	ACCENTURE LTD	64	64	0		
10-30-08	02-11-09	59	ANALOG DEVICES	1244	1224	-20		
10-30-08	02-11-09	51	AUTODESK INC	1115	885	-230		
10-30-08	02-11-09	2	AFLAC INC	86	44	-42		
10-30-08	02-11-09	2	AVON PRODUCTS	43	43	0		
10-30-08	02-11-09	2	BIOGEN IDEC INC	80	102	22		
10-30-08	02-11-09	2	BMC SOFTWARE INC	49	58	9		
11-05-08	02-11-09	5	BRITISH PETROLEUM	255	219	-35		
10-30-08	02-11-09	4	COMPUTER ASSOCIATES	70	73	3		
10-30-08	02-11-09	46	CANADIAN NAT'L RAILWAY	1991	1644	-347		
10-30-08	02-11-09	4	ECHOSTAR COMMUNICATIONS	65	52	-13		
11-05-08	02-11-09	5	DUKE ENERGY CORP	80	75	-5		
10-30-08	02-11-09	2	EBAY INC	31	27	-4		
10-30-08	02-11-09	2	GOODRICH (B F.) CO	73	79	6		
10-30-08	02-11-09	2	HASBRO INC	56	48	-8		
11-05-08	02-11-09	7	HOME DEPOT	161	156	-5		
11-05-08	02-11-09	2	HEINZ (H.J.) CO	90	70	-19		
10-30-08	02-11-09	2	HONEYWELL INC	60	64	5		
10-30-08	02-11-09	2	HARRIS CORP	72	85	13		
10-30-08	02-11-09	2	JUNIPER NETWORKS	38	31	-6		
11-05-08	02-11-09	15	KELLOGG CO	778	618	-160		
11-05-08	02-11-09	3	KRAFT FOODS	91	75	-16		
11-05-08	02-11-09	51	LINCOLN NATIONAL	1084	741	-344		
10-30-08	02-11-09	3	MCDONALDS CORP	174	171	-2		
11-05-08	02-11-09	5	MEDCO HLTH SOL	182	230	49		
10-30-08	02-11-09	4	MICROSOFT CORP	93	77	-16		
11-05-08	02-11-09	8	NUCOR CORP	302	342	40		
11-05-08	02-11-09	2	ONEOK INC	63	56	-8		

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				Cost Basis	Proceeds	Short Term	Mid-Term	Long Term
10-30-08	02-11-09	22	PRICELINE COM	1229	1577	348		
10-30-08	02-11-09	2	PRIDE INTL INC	35	35	0		
11-05-08	02-11-09	18	PROGRESS ENERGY	718	695	-23		
11-05-08	02-11-09	46	PHILIP MORRIS INT'L	2101	1671	-430		
11-05-08	02-11-09	5	PINNACLE WEST CAPITAL	158	168	10		
10-30-08	02-11-09	2	PRAXAIR INC	126	136	10		
11-05-08	02-11-09	78	DONNELLEY (R.R.) & SONS	1335	721	-614		
10-30-08	02-11-09	154	SPRINT NEXTEL	536	352	-184		
11-05-08	02-11-09	2	SPECTRA ENERGY	38	29	-9		
10-30-08	02-11-09	3	SCHLUMBERGER LT	156	130	-26		
01-21-09	02-11-09	5	S&P 500 DEPOSITARY RECEIPTS	404	418	14		
12-04-08	02-11-09	2	SUNOCO INC	73	77	4		
11-05-08	02-11-09	51	INTEGRYS ENERGY GROUP	2487	2161	-326		
11-05-08	02-11-09	8	TRAVELERS COS	335	319	-16		
11-05-08	02-11-09	8	TAIWAN SEMICONDUCTOR	65	66	1		
10-30-08	02-11-09	7	TOTAL SYSTEM SERVICES	92	91	0		
10-30-08	02-11-09	70	TEXAS INSTRUMENTS	1326	1127	-198		
11-05-08	02-11-09	86	WARNER MUSIC GP	340	184	-155		
11-05-08	02-11-09	10	WAL-MART STORES	556	483	-73		
11-05-08	02-11-09	38	WEYERHAUSER CO	1349	1003	-346		
11-05-08	02-11-09	2	WYETH	68	86	18		
2-04-08	02-11-09	2	EXXON MOBIL	156	151	-5		
02-11-09	03-13-09	1	TIME WARNER CAB	36	16	-20		
10-30-08	03-25-09	46	ADOBE SYSTEMS	1237	997	-240		
11-05-08	03-25-09	76	ARTHUR J GALLAGHER	1886	1326	-561		
02-11-09	03-25-09	9	ARTHUR J GALLAGHER	158	157	-1		
10-30-08	03-25-09	55	AVON PRODUCTS	1186	1073	-113		
10-30-08	03-25-09	3	AUTOZONE INC	365	478	113		
11-05-08	03-25-09	1	BANKAMERICA	24	7	-17		
02-11-09	03-25-09	1	BRISTOL MEYERS	23	21	-2		
11-05-08	03-25-09	1	CITIGROUP	14	3	-11		
10-30-08	03-25-09	1	COMPUTER ASSOCIATES	17	17	-1		
10-30-08	03-25-09	1	CUMMINS INC	31	26	-6		
10-30-08	03-25-09	1	CISCO SYSTEMS	18	16	-1		
11-05-08	03-25-09	1	CHEVRONTExACO	77	69	-9		
02-11-09	03-25-09	1	DU PONT CORP	23	23	-1		
02-11-09	03-25-09	1	DR HORTON INC	9	10	1		
10-30-08	03-25-09	1	EXPRESS SCRIPTS	57	46	-11		
11-05-08	03-25-09	1	FIFTH THIRD BANCORP	13	2	-11		
02-11-09	03-25-09	1	FLUOR CORP	42	36	-6		
10-30-08	03-25-09	79	HASBRO INC	2222	1877	-345		
11-05-08	03-25-09	1	HOME DEPOT	23	23	0		
11-05-08	03-25-09	1	INTEL CORP	16	15	-1		
02-11-09	03-25-09	1	JDS UNIPHASE	3	4	1		
11-05-08	03-25-09	1	KELLOGG CO	52	37	-15		
02-11-09	03-25-09	1	MARVELL TECHNOLOGY GROUP	8	9	1		
10-30-08	03-25-09	24	METTLER-TOLEDO INT'L	1820	1166	-654		
02-11-09	03-25-09	1	METTLER-TOLEDO INT'L	61	49	-12		
11-05-08	03-25-09	1	NORTHROP GRUMMAN CORP	48	43	-5		
11-05-08	03-25-09	68	ONEOK INC	2148	1550	-598		
11-05-08	03-25-09	1	PFIZER INC	18	14	-4		
11-05-08	03-25-09	46	PINNACLE WEST CAPITAL	1453	1215	-238		

**ARNTZ FAMILY FOUNDATION
CONTRIBUTIONS
10/1/08 – 9/30/09**

1Sky Education Fund 6930 Carroli Ave, Ste 1000 Takoma Park, MD 20912	5,000
Alzheimer Association North Bay Chapter 1060 La Avenida Street Mountain View, CA 94043	10,000
American Lung Association National Headquarters 1301 Pennsylvania Ave, NW, Suite 800 Washington, DC 20004	500
As You Sow 311 California Street, Suite 510 San Francisco, CA 94104	10,000
Big Brothers Big Sister Marin and Napa Counties 1618 Second Street San Rafael, CA 94901	2,500
Blue Planet Run Foundation 500 Sansome St., Suite 205 San Francisco, CA 94111	5,000
Blue Ribbon Coalition 4555 Burley Dr, Suite A Pocatello, ID 83202	1,000
California State Parks Foundation 50 Francisco St, Suite 110 San Francisco, CA 94133	2,000
The Ceres Community Project 5280 Gravenstein Hwy N Sebastopol, CA 95472	1,500

Arntz Family Foundation Contributions (con't)**2**

Collective Heritage Institute Old Lamy Schoolhouse 6 Cerro Circle Lamy, NM 87540	6,000
Colorado University Foundation 4740 Walnut Street Boulder, CO 8031	5,000
Committee on the Shelterless P.O. Box 2744 Petaluma, CA 94953	1,000
The Coral Reef Alliance 417 Montgomery Street, Suite 205 San Francisco, CA 94104	15,000
Earth Island Institute 300 Broadway, Suite 28 San Francisco, CA 94133	15,000
Earthjustice Legal Defense Fund 426 17 th St. 6 th Floor Oakland, CA 94612	10,000
Ecologic Development Fund 25 Mt. Auburn Street, Suite 203 Cambridge, MA 02138	15,000
Ecotrust 721 NW Ninth Ave., Suite 200 Portland, OR 97209	10,000
Enviroment America Research and Policy Center Inc 218 D Street SE, 2nd Floor Washington, D.C. 20003	5,000
Environment California 1107 9 th Street, Suite 601 Sacramento, CA 95814	20,000

Arntz Family Foundation Contributions (con't)**3**

Grassroots International 179 Boylston Street, 4 th Floor Boston MA 02130 USA	10,000
Greenbelt Alliance 631 Howard St., Suite 510 San Francisco, CA 94105	15,000
Greenpeace Fund Inc 702 H Street NW, Suite 300 Washington, DC 20001	2,000
The Hesperian Foundation 1919 Addison St., #304 Berkeley, CA 94704	3,000
Hospice By The Bay 17 East Sir Francis Drake Blvd Larkspur, CA 94939	500
International Development Exchange 827 Valencia Street, Suite 101 San Francisco, CA 94110-1736	10,000
International Planned Parenthood Federation Western Hemisphere Region, Inc 120 Wall Street, 9 th Floor New York, NY 10005	10,000
KQED 2601 Mariposa Street San Francisco, CA 94110-1400	1,500
KTEH Signal Society 1585 Schallenberger Road San Jose, CA 95131-2434	1,500
The Leukemia & Lymphoma Society Northern California Chapter 1390 Market St. San Francisco, CA 94102-5306	500

Arntz Family Foundation Contributions (con't)**4**

Marin Community Clinic 300 Professional Center Drive, Suite 311 Novato, CA 94947	10,000
Marin Conservation League 1623 A Fifth Avenue San Rafael, CA 94901	1,000
Marin County Open Space District 3501 Civic Center Drive San Rafael, CA 94903	5,000
Marine Conservation Biology Institute 14301 Arnold Drive, Suite 25 Glen Ellen, CA 95442	10,000
Marine Stewardship Council 2110 N Pacific Street, Suite 102 Seattle, WA 98103	5,000
Mill Valley School Community Foundation 409 Sycamore Avenue Mill Valley, CA 94941	1,000
Natural Capital Institute Green For All 1611 Telegraph Ave, Suite 600 Oakland, CA 94612	10,000
Novato Human Needs Center 1907 Novato Boulevard Novato, CA 94947	500
Ocean Foundation 1990 N Street NW, Suite 250 Washington, DC 20036	10,000
PCI-Media Impact Inc 777 United Nations Plaza New York, NY 10017	10,000

Arntz Family Foundation Contributions (con't)	5
Pesticide Action Network 49 Powell St., Suite 500 San Francisco, CA 94102	10,000
Planned Parenthood Mar Monte 1691 The Alameda San Jose, CA 95126	10,000
Rain Forest Action Network 221 Pine St., Suite 500 San Francisco, CA 94104	10,000
Rocky Mountain Institute 2317 Snowmass Creek Road Snowmass, CO 81654	10,000
San Francisco Education Fund 47 Kearny Street Suite 200 San Francisco, CA 94108	500
St Vincent de Paul High School P.O. Box 517 Petaluma, CA 94953	2,400
Seacology 1623 Solano Avenue Berkeley, CA 94707	20,500
Shining Star Kids P.O. Box 827 Sonoma, CA 95476	1,000
Sierra Club Foundation 85 Second Street, Suite 750 San Francisco, CA 94105-3465	1,000
Sierra Nevada Alliance P.O. Box 7989 South Lake Tahoe, CA 96158	10,000
Sonoma County Wildlife Rescue 403 Mecham Road Petaluma, CA 94952	1,500

Arntz Family Foundation Contributions (con't)**6**

Summerfield Waldorf School 655 Willowside Road Santa Rosa, Ca 95041	17,625
Terra Linda High School 320 Nova Albion Way San Rafael, CA 94903	250
Tides Center Box 29907 San Francisco, CA 94129-0907	5,000
Trees, Water, and People 633 Remington Street Fort Collins, CO 80524	10,000
Well Network P.O. Box 3380 Vista, CA 92085	5,000
Wildlife Conservation Network Inc 25745 Bassett Lane Los Altos, CA 94022 USA	500
Total Contributions	<u>\$ 371,275</u>

Proof of Publication

Proof of Publication (2015.5 CCP)

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State of California, County of Marin

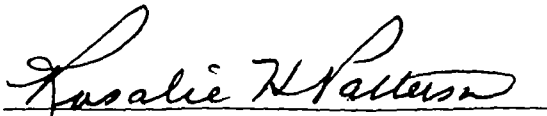
I am a citizen of the United States and a resident of the county aforesaid. I am over the age of eighteen years, and not a party to or interest in the above-entitled matter. I am the publisher of The Point Reyes Light, a newspaper of general circulation, printed and published in the town of Point Reyes Station, county of Marin and which newspaper has been adjudged a newspaper for general circulation by the Superior Court of the County of Marin, State of California, under the date April 26, 1949, Case Number 1803077, that the notice of which annexed is a printed copy (set in type not smaller than nonpareil), has been published in each regular and entire issue of said newspaper and not in any supplement thereof on the following dates to wit

Feb 25, 2010

I certify (or declare) under penalty of perjury that the foregoing is true and correct.

Date at Pt Reyes Station, California,

this 25th day of February,
20 10


Signature

Proof of Publication

In accordance with Internal Revenue Service Code section 6104, notice is hereby given that a copy of Form 990-PF of Amtz Family Foundation for year ended September 30, 2009 is available for inspection at its principal office, 19 Pamaron Way, Novato, CA 94949, between 9 A.M. and 5 P.M., M-F, by any citizen requesting such inspection within 180 days of this notice publication. Persons requesting such inspection should do so in the manner prescribed in I.R.C. section 6104(d) and the related regulations.
Dated: February 23, 2010
Amtz Family Foundation
19 Pamaron Way
Novato, CA 94949
(415) 382-1188
Published in the Point Reyes Light
2/25/10