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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2008Department of the Treasury
Internal Revenue Service**Note:** The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2008, or tax year beginning Oct 1, 2008, and ending Sep 30, 2009

☒ G Check all that apply
 ☐ Initial return
 ☐ Final return
 ☐ Amended return
 ☐ Address change
 ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation J. Marion Sims Foundation, Inc.		A Employer identification number 57-0355295
	Number and street (or P O box number if mail is not delivered to street address) Room/suite Post Office Box 818		B Telephone number (see the instructions) (803) 286-8772
	City or town State ZIP code Lancaster SC 29721-0868		C If exemption application is pending, check here ☐
			D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 62,891,157.			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method. ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc. received (att sch)	280,870.			
	2 Ck ☒ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	1,935,744.	1,935,744.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	-10,000,201.			
	b Gross sales price for all assets on line 6a	78,557,662.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
Unrealized gain/losses	7,342,298.				
12 Total. Add lines 1 through 11	-441,289.	1,935,744.			
ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc	163,807.	23,663.		140,144.
	14 Other employee salaries and wages	276,969.	24,119.		252,850.
	15 Pension plans, employee benefits	140,449.	13,013.		127,436.
	16a Legal fees (attach schedule) L-16a Stmt	1,119.	104.		1,015.
	b Accounting fees (attach sch) L-16b Stmt	15,879.	6,048.		9,831.
	c Other prof fees (attach sch) L-16c Stmt	448,771.	447,097.		1,674.
	17 Interest				
	18 Taxes (attach schedule) See Line 18 Stmt	40,576.			
	19 Depreciation (attach sch) and depletion	33,164.	10,376.		
	20 Occupancy	14,062.	2,109.		11,953.
	21 Travel, conferences, and meetings	45,519.	8,810.		36,709.
	22 Printing and publications				
	23 Other expenses (attach schedule) See Line 23 Stmt	145,675.	11,428.		134,247.
	24 Total operating and administrative expenses. Add lines 13 through 23	1,325,990.	546,767.		715,859.
	25 Contributions, gifts, grants paid	2,759,700.			3,105,442.
26 Total expenses and disbursements. Add lines 24 and 25	4,085,690.	546,767.		3,821,301.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-4,526,979.				
b Net investment income (if negative, enter -0-)		1,388,977.			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
ASSETS	1 Cash — non-interest-bearing	11,536.	27,488.	27,488.	
	2 Savings and temporary cash investments	353,600.	410,363.	410,363.	
	3 Accounts receivable				
	Less: allowance for doubtful accounts				
	4 Pledges receivable				
	Less: allowance for doubtful accounts				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)				
	7 Other notes and loans receivable (attach sch)				
	Less: allowance for doubtful accounts				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges	45,655.	31,510.	31,510.	
	10a Investments — U S and state government obligations (attach schedule) L-10a Stmt	7,331,764.	9,820,657.	9,820,657.	
	b Investments — corporate stock (attach schedule) L-10b Stmt	33,733,159.	29,235,587.	29,235,587.	
	c Investments — corporate bonds (attach schedule) L-10c Stmt	17,458,930.	15,381,170.	15,381,170.	
LIABILITIES	11 Investments — land, buildings, and equipment, basis				
	Less: accumulated depreciation (attach schedule)				
	12 Investments — mortgage loans				
	13 Investments — other (attach schedule) L-13 Stmt	7,818,904.	6,727,600.	6,727,600.	
	14 Land, buildings, and equipment basis	1,189,570.			
	Less: accumulated depreciation (attach schedule) L-14 Stmt	213,818.	1,002,029.	975,752.	975,752.
	15 Other assets (describe L-15 Stmt)	160.	281,030.	281,030.	
	16 Total assets (to be completed by all filers — see instructions. Also, see page 1, item I)	67,755,737.	62,891,157.	62,891,157.	
	17 Accounts payable and accrued expenses	106,966.	87,662.		
	18 Grants payable	3,179,704.	2,833,962.		
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, & other disqualified persons				
FUND ASSETS	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe L-22 Stmt)	82,158.	109,603.		
	23 Total liabilities (add lines 17 through 22)	3,368,828.	3,031,227.		
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds				
	28 Paid-in or capital surplus, or land, building, and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds	64,386,909.	59,859,930.		
	30 Total net assets or fund balances (see the instructions)	64,386,909.	59,859,930.		
	31 Total liabilities and net assets/fund balances (see the instructions)	67,755,737.	62,891,157.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	64,386,909.
2 Enter amount from Part I, line 27a	2	-4,526,979.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	59,859,930.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	59,859,930.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a Barclays realized losses (see schedule)	P	Various	Various
b Security dispositions (pp. 361-477)	P	Various	Various
c Capital gain distributions (pp. 478-509)	P	Various	Various
d Assets recd/delvd (pp. 510-532)	P	Various	Various
e Other realized gains	P	Various	Various

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 0.		6,425,984.	-6,425,984.
b 77,634,798.		81,894,062.	-4,259,264.
c 175,820.		0.	175,820.
d 394,974.		237,817.	157,157.
e 352,070.		0.	352,070.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a			-6,425,984.
b			-4,259,264.
c 0.	0.	0.	175,820.
d 0.	0.	0.	157,157.
e 0.	0.	0.	352,070.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-10,000,201.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2007	3,475,255.	75,001,739.	0.046336
2006	4,588,574.	81,151,941.	0.056543
2005	4,038,163.	76,072,459.	0.053083
2004	3,938,961.	71,997,389.	0.054710
2003	4,607,558.	70,887,516.	0.064998

2 Total of line 1, column (d)	2	0.275670
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.055134
4 Enter the net value of noncharitable-use assets for 2008 from Part X, line 5	4	53,939,123.
5 Multiply line 4 by line 3	5	2,973,880.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	13,890.
7 Add lines 5 and 6	7	2,987,770.
8 Enter qualifying distributions from Part XII, line 4	8	3,822,334.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling letter: _____ (attach copy of ruling letter if necessary – see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	13,890.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	13,890.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	13,890.
6 Credits/Payments			
a 2008 estimated tax pmts and 2007 overpayment credited to 2008	6 a	16,940.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	16,940.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,050.	
11 Enter the amount of line 10 to be Credited to 2009 estimated tax ☐ 3,050. Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) ☐		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2008 or the taxable year beginning in 2008 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities Continued

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(3)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>www.jmsims.org</u>	13	X	
14	The books are in care of <u>James T. Morton</u> Telephone no <u>(803) 286-8772</u> Located at <u>800 N. White Street</u> <u>Lancaster, SC</u> ZIP + 4 <u>29721-0818</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly).

- (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No
- (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No
- (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No
- (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No
- (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No
- (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No

b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)?

Organizations relying on a current notice regarding disaster assistance check here ☐

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2008?

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2008, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2008?

If 'Yes,' list the years 20__, 20__, 20__, 20__

b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)

c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.

20__, 20__, 20__, 20__

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?

☐ Yes ☒ No

b If 'Yes,' did it have excess business holdings in 2008 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2008.)

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2008?

	Yes	No
1b		X
1c		X
2b		
3b		
4a		X
4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?**5b**

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b**

If you answered 'Yes' to 6b, also file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**7b****b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
James T. Morton PO Box 818 Lancaster SC 29721	President 45.00	125,907.	18,886.	0.
Dexter L. Cook, Jr., MD PO Box 818 Lancaster SC 29721	Board chair 6.00	6,600.	0.	0.
R. Malcolm Edwards, MD PO Box 818 Lancaster SC 29721	Vice Chair 4.00	2,200.	0.	0.
See Information about Officers, Directors, Trustees, Etc.		29,100.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
E. Brown Crenshaw, Jr., CPA PO Box 818 Lancaster SC 29721	VP for Fin. & Admin. 40.00	93,750.	14,062.	0.
Mary D. Henderson PO Box 818 Lancaster SC 29721	Program Officer 40.00	68,579.	10,287.	0.
0				
0				
0				

Total number of other employees paid over \$50,000

None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Atlantic Asset Management, Inc. PO Box 25250 Overland Park KS 66225	Investment manager	66,515.
Barclays Global Investors PO Box 60000 San Francisco CA 94160	Investment manager	72,382.
RogersCasey PO Box 18239 Bridgeport CT 06601	Investment consultant	85,462.
Total number of others receiving over \$50,000 for professional services		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 None	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 None	0.
2	
All other program-related investments See instructions.	
3	
Total. Add lines 1 through 3	None

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	54,710,294.
b Average of monthly cash balances	1b	50,237.
c Fair market value of all other assets (see instructions)	1c	
d Total (add lines 1a, b, and c)	1d	54,760,531.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	54,760,531.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	821,408.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	53,939,123.
6 Minimum investment return. Enter 5% of line 5	6	2,696,956.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	2,696,956.
2a Tax on investment income for 2008 from Part VI, line 5	2a	13,890.
b Income tax for 2008 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	13,890.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	2,683,066.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	2,683,066.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	2,683,066.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	3,821,301.
b Program-related investments — total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	1,033.
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,822,334.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	13,890.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,808,444.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

BAA

Form 990-PF (2008)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2007	(c) 2007	(d) 2008
1 Distributable amount for 2008 from Part XI, line 7				2,683,066.
2 Undistributed income, if any, as of the end of 2007.				
a Enter amount for 2007 only			0.	
b Total for prior years. 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2008				
a From 2003	179,373.			
b From 2004	439,023.			
c From 2005	310,863.			
d From 2006	657,532.			
e From 2007	0.			
f Total of lines 3a through e	1,586,791.			
4 Qualifying distributions for 2008 from Part XII, line 4 ▶ \$ 3,822,334.				
a Applied to 2007, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2008 distributable amount				2,683,066.
e Remaining amount distributed out of corpus	1,139,268.			
5 Excess distributions carryover applied to 2008 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,726,059.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2007 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2008 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2009				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2003 not applied on line 5 or line 7 (see instructions)	179,373.			
9 Excess distributions carryover to 2009. Subtract lines 7 and 8 from line 6a	2,546,686.			
10 Analysis of line 9				
a Excess from 2004	439,023.			
b Excess from 2005	310,863.			
c Excess from 2006	657,532.			
d Excess from 2007	0.			
e Excess from 2008	1,139,268.			

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2008, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

[illegible]

- b** 85% of line 2a

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a 'Assets' alternative test – enter

- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(i)(3)(B)(i)

- b** 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c 'Support' alternative test – enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)**

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)**

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

None

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc. Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed

James T. Morton, President

800 North White Street, PO Box 818

Lancaster SC 29721-0818 (803) 286-8772

- b** The form in which applications should be submitted and information and materials they should include

See attached grant application, guidelines and requirements.

- c Any submission deadlines**

Semi-annual (April 15th and October 15th)

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See attached grant application, guidelines and requirements.

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See attached schedule SC 29720	N/A	See attached	See attached	3,105,442.
Total			3a	3,105,442.
b Approved for future payment See attached schedule SC 29720		See attached	See attached	2,735,150.
Total			3b	2,735,150.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	1,935,744.	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-10,000,201.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)				-8,064,457.	
13 Total. Add line 12, columns (b), (d), and (e)				13	-8,064,457.

(See worksheet in the instructions for line 13 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of

- (1) Cash
- (2) Other assets

b Other transactions:

- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitations

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

	Yes	No
1a (1)		X
1a (2)		X
1b (1)		X
1b (2)		X
1b (3)		X
1b (4)		X
1b (5)		X
1b (6)		X
1c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

SIGN HERE

Signature of officer or trustee

|02/12/10

► President

**Paid
Pre-
parer's
Use
Only**Preparer's signature 

Date _____

Check if self-employed ☐

Preparer's Identifying number
(See **Signature** in the instrs)

Firm's name (or yours if self-employed), address, and ZIP code

Non-Paid Preparer

FIN ▶

Phone no ▶

BAA

Form 990-PF (2008)

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes (see the instructions)	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Current federal excise tax	13,421.			
Deferred excise tax	27,155.			

Total 40,576.

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Insurance	16,730.	3,399.		13,331.
Contract services	384.	0.		384.
Organizational affiliations	10,895.	0.		10,895.
Subscriptions and publications	964.	0.		964.
Professional development	915.	261.		654.
Repairs and maintenance	11,675.	1,751.		9,924.
Office exp. and postage	24,421.	3,663.		20,758.
Janitorial service	4,530.	680.		3,850.
Meetings expense	6,067.	1,674.		4,393.
Miscellaneous	1,432.	0.		1,432.
Grants program expenses	57,241.	0.		57,241.
Communications and public relatio	3,655.	0.		3,655.
Community development	4,898.	0.		4,898.
Training	1,868.	0.		1,868.

Total 145,675. 11,428. 134,247.

Form 990-PF, Page 6, Part VIII, Line 1

Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person ☒ Business ☐ Polly Jackson PO Box 818 Lancaster SC 29721	Secretary 4.00	4,400.	0.	0.
Person ☒ Business ☐ Stanley D. Johnson PO Box 818 Lancaster SC 29721	Treasurer 4.00	4,950.	0.	0.
Person ☒ Business ☐ David R. Blackwell PO Box 818 Lancaster SC 29721	Trustee 4.00	1,250.	0.	0.
Person ☒ Business ☐ Miriam M. Boucher PO Box 818 Lancaster SC 29721	Trustee 4.00	4,600.	0.	0.

Form 990-PF, Page 6, Part VIII, Line 1

Continued

Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person ☒ Business ☐ Phyllis B. Bunkley PO Box 818 Lancaster SC 29721	Trustee 4.00	1,900.	0.	0.
Person ☒ Business ☐ Charles M. Harrell PO Box 818 Lancaster SC 29721	Trustee 4.00	4,700.	0.	0.
Person ☒ Business ☐ Pamela Y. Temple PO Box 818 Lancaster SC 29721	Trustee 4.00	2,200.	0.	0.
Person ☒ Business ☐ Robert K. Folks PO Box 818 Lancaster SC 29721	Trustee 4.00	2,250.	0.	0.
Person ☒ Business ☐ Marvin Starks PO Box 818 Lancaster SC 29721	Trustee 4.00	1,850.	0.	0.
Person ☒ Business ☐ Donna Herchek PO Box 818 Lancaster SC 29721	Committee 1.00	300.	0.	0.
Person ☒ Business ☐ Art K. Collier PO Box 818 Lancaster SC 29721	Committee 1.00	200.	0.	0.
Person ☒ Business ☐ Tim Hallman PO Box 818 Lancaster SC 29721	Committee 1.00	300.	0.	0.
Person ☒ Business ☐ Debbie Jaillette PO Box 818 Lancaster SC 29721	Committee member 1.00	200.	0.	0.

Total

29,100. 0. 0.

Form 990-PF, Page 1, Part I, Line 16a

L-16a Stmt

Line 16a - Legal Fees:		Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Name of Provider	Type of Service Provided				
Ogletree, Deakins, Na	Legal	1,119.	104.		1,015.
Total		<u>1,119.</u>	<u>104.</u>		<u>1,015.</u>

Form 990-PF, Page 1, Part I, Line 16b

L-16b Stmt

Line 16b - Accounting Fees:		Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Name of Provider	Type of Service Provided				
Moore Stephens Tiller	Audit services	14,853.	5,941.		8,912.
Thompson & Davis, CPA	Payroll services	1,026.	107.		919.
Total		<u>15,879.</u>	<u>6,048.</u>		<u>9,831.</u>

Form 990-PF, Page 1, Part I, Line 16c

L-16c Stmt

Line 16c - Other Professional Fees:		Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Name of Provider	Type of Service Provided				
Alliance Benefit	Retirement administrati	1,583.	164.		1,419.
MHM, Inc.	125 Plan setup and admi	285.	30.		255.
Various	Investment management f	312,182.	312,182.		0.
RogersCasey	Investment consultant f	85,462.	85,462.		0.
US Bank	Investment custodial fe	49,259.	49,259.		0.
Total		<u>448,771.</u>	<u>447,097.</u>		<u>1,674.</u>

Form 990-PF, Page 2, Part II, Line 10a

L-10a Stmt

Line 10a - Investments - US and State Government Obligations:	End of Year		End of Year	
	State and Local Obligations Book Value	State and Local Obligations FMV	US Government Obligations Book Value	US Government Obligations FMV
U S treasury and agency obligations			9,820,657.	9,820,657.
Total			<u>9,820,657.</u>	<u>9,820,657.</u>

Form 990-PF, Page 2, Part II, Line 10b

L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
Domestic common stock (pp 21-32)	2,987,674.	2,987,674.
Foreign common stock (p. 33)	10,607.	10,607.
Barclays Global large cap mutual fund (p. 33)	17,966,120.	17,966,120.
AXA Rosenberg Intl. investment partnership (p. 33)	5,193,240.	5,193,240.
AllianceBernstein emerging mkt inv. partnership (p. 33)	3,077,946.	3,077,946.
Total	<u>29,235,587.</u>	<u>29,235,587.</u>

Form 990-PF, Page 2, Part II, Line 10c

L-10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year	
	Book Value	Fair Market Value
Corporate obligations (pp 11-17)	6,687,759.	6,687,759.
Foreign obligations (pp 17-18)	481,003.	481,003.
PIMCO Real Return Fund (p. 33)	4,047,886.	4,047,886.
Western Asset Mgt. High Yield Bond Fund (p. 33)	4,164,522.	4,164,522.
Total	<u>15,381,170.</u>	<u>15,381,170.</u>

Form 990-PF, Page 2, Part II, Line 13

L-13 Stmt

Line 13 - Investments - Other:	End of Year	
	Book Value	Fair Market Value
Arden Alt Adv SPC USD CL A (Hedge fund of fund)	3,648,611.	3,648,611.
AEW Real Estate Secs Value Fund (REIT) (p. 33)	2,943,658.	2,943,658.
Accrued investment income	135,331.	135,331.
Total	<u>6,727,600.</u>	<u>6,727,600.</u>

Form 990-PF, Page 2, Part II, Line 14

L-14 Stmt

Line 14b - Description of Land, Buildings, and Equipment	(a) Cost/Other Basis	(b) Accumulated Depreciation	(c) Book Value
Building	840,260.	109,915.	730,345.
Furniture and fixtures	58,049.	49,386.	8,663.
Computer equipment	44,028.	38,664.	5,364.
Office equipment	16,184.	15,853.	331.
Land	231,049.	0.	231,049.
Total	<u>1,189,570.</u>	<u>213,818.</u>	<u>975,752.</u>

Form 990-PF, Page 2, Part II, Line 15

Other Assets Stmt

Line 15 - Other Assets:	Beginning Year Book Value	End of Year	
		Book Value	Fair Market Value
Security deposits	160.	160.	160.
Charitable gift receivable	0.	280,870.	280,870.
Total	<u>160.</u>	<u>281,030.</u>	<u>281,030.</u>

Form 990-PF, Page 2, Part II, Line 22

Other Liab Stmt

Line 22 - Other Liabilities:	Beginning Year Book Value	Ending Year Book Value
Deferred tax	38,185.	65,341.
Retirement payable	43,973.	44,262.
Total	<u>82,158.</u>	<u>109,603.</u>



J. Marion Sims Foundation, Inc.
Part II – Balance Sheets – Lines 10a, 10b, 10c, and 13
EI#: 57-0355295

For the Fiscal Year Ended 9/30/09

ACCOUNT 320078300
PAGE 8

SHARES OR FACE AMOUNT	DESCRIPTION	COST	MARKET VALUE	UNIT/ MARKET PRICE	ACCRUED INCOME	YIELD ON MARKET
MONEY MARKETS						
9.00	BARCLAYS GLOBAL INV MONEY MKT FD B CUSIP: 978994101	9.00	9.00	1.0000	0.00	0.00
0.47	BARCLAYS GLOBAL INVESTORS CASH CUSIP: 948994108	0.47	0.47	1.0000	0.00	0.00
68,138.38	FIRST AMER PRIME OBLIG FD CL Z CUSIP: 31846V625	68,138.38	68,138.38	1.0000	7.44	0.19
1,906.31	FIRST AMER PRIME OBLIG FD CL Z CUSIP: 31846V625	1,906.31	1,906.31	1.0000	0.30	0.19
0.00	FIRST AMER PRIME OBLIG FD CL Z CUSIP: 31846V625	0.00	0.00	1.0000	33.37	0.00
0.03	FIRST AMER PRIME OBLIG FD CL Z CUSIP: 31846V625	0.03	0.03	1.0000	0.00	0.00
48.88	FIRST AMER PRIME OBLIG FD CL Z CUSIP: 31846V625	48.88	48.88	1.0000	0.01	0.19
33,957.33	FIRST AMER PRIME OBLIG FD CL Z CUSIP: 31846V625	33,957.33	33,957.33	1.0000	5.77	0.19
769.37	FIRST AMER PRIME OBLIG FD CL Z CUSIP: 31846V625	769.37	769.37	1.0000	0.12	0.19
2.38	FIRST AMER PRIME OBLIG FD CL Z CUSIP: 31846V625	2.38	2.38	1.0000	0.00	0.19
TOTAL MONEY MARKETS		104,832.15	104,832.15		47.01	0.19



CU J. MARION SIMS FDN - COMBINED

ACCOUNT 320078300
PAGE 9INVESTMENT PORTFOLIO
AS OF 9/30/09

SHARES OR FACE AMOUNT	DESCRIPTION	COST	MARKET VALUE	UNIT/ MARKET PRICE	ACCRUED INCOME	YIELD ON MARKET
US TREAS & AGENCY OBLIGATIONS						
165,000.00	F C B DEB CUSIP: 31331YH94 328390030	2.750% 5/04/10	167,372.70	101.4380	1,852.81	2.71
1,540,000.00	U S TREASURY NT CUSIP: 912828LW8 328390030	1.000% 9/30/11	1,541,201.20	100.0780	589.30	1.00
75,000.00	U S TREASURY NT CUSIP: 912828LM0	1.375% 9/15/12	74,871.00	99.8280	45.58	1.38
335,000.00	F N M A M T N CUSIP: 31359MSL8 328390030	4.375% 7/17/13	362,952.40	108.3440	3,012.67	4.04
275,000.00	F N M A M T N CUSIP: 31398AXJ6 328390030	2.500% 5/15/14	274,397.75	99.7810	2,597.22	2.51
790,000.00	U S TREASURY NT CUSIP: 912828LK4 328390030	2.375% 8/31/14	792,962.50	100.3750	1,606.73	2.37
179,347.71	F H L M C MLTCL MTG CUSIP: 31397KPG0 328390030	5.500% 10/15/14	183,578.52	102.3590	822.04	5.37
360,411.55	F N M A GTD REMIC CUSIP: 31395NP92 328390030	6.000% 6/25/15	382,836.36	106.2220	1,802.06	5.65
59,530.15	S B A GTD DEV PRTN CUSIP: 831641EA9 328390030	5.408% 2/10/16	63,065.05	105.9380	536.57	5.11
360,000.00	F H L B DEB CUSIP: 3133XMQ87 328390030	5.000% 11/17/17	393,300.00	109.2500	6,700.00	4.58
257,203.20	U S TREASURY I P CUSIP: 912828LA6 328390030	1.875% 7/15/19	264,759.83	102.9380	950.22	1.82
905,000.00	U S TREASURY NT CUSIP: 912828LJ7 328390030	3.625% 8/15/19	928,901.05	102.6410	4,191.91	3.53



CU J. MARION SIMS FDN - COMBINED

INVESTMENT PORTFOLIO
AS OF 9/30/09ACCOUNT 320078300
PAGE 10

SHARES OR FACE AMOUNT	DESCRIPTION	COST	MARKET VALUE	UNIT/ MARKET PRICE	ACCRUED INCOME	YIELD ON MARKET
139,518.82 F N M A #971074 CUSIP: 31414NJT2 328390030	5.000%	1/01/24	144,424.29	104.9920	581.33	4.76
151,521.33 F N M A #971072 CUSIP: 31414NJR6 328390030	4.500%	2/01/24	155,577.48	103.6980	568.21	4.34
239,781.87 F N M A #AA5026 CUSIP: 31416NSQ6 328390030	4.000%	3/01/24	241,841.00	101.8860	799.27	3.93
431,740.71 F H L M C GD G13593 CUSIP: 3128MCGA7 328390030	4.500%	7/01/24	440,982.66	103.6360	1,619.03	4.34
85,000.00 U S TREASURY BD CUSIP: 912810FF0 328390030	5.250%	11/15/28	97,534.18	116.0940	1,685.56	4.52
331,019.70 U S TREASURY I P CUSIP: 912810PZ5 328390030	2.500%	1/15/29	334,816.19	107.4690	1,754.04	2.33
212,171.98 F N M A #872808 CUSIP: 31409JU99 328390030	5.737%	6/01/36	214,988.99	105.8980	1,000.72	5.42
415,134.07 F N M A #959539 CUSIP: 31413YPY1 328390030	5.995%	12/01/37	424,425.89	105.8700	2,072.83	5.66
568,491.00 F H L M C GD A81223 CUSIP: 312927LC8 328390030	6.500%	8/01/38	585,724.74	106.7330	3,079.33	6.09
468,460.37 F N M A #AA4434 CUSIP: 31416M4U5 328390030	5.000%	3/01/39	481,214.20	103.4420	1,951.93	4.83
193,010.48 F N M A #AA4425 CUSIP: 31416M4K7 328390030	5.500%	3/01/39	198,907.45	104.7400	884.62	5.25
264,972.40 F N M A #AA2523 CUSIP: 31416KYV4 328390030	5.500%	3/01/39	272,795.59	104.7400	1,214.47	5.25
139,365.80 F N M A #935235 CUSIP: 31412UPU8 328390030	5.500%	5/01/39	144,532.13	104.7400	638.76	5.25



CU J. MARION SIMS FDN - COMBINED

INVESTMENT PORTFOLIO
AS OF 9/30/09ACCOUNT 320078300
PAGE 11

SHARES OR FACE AMOUNT	DESCRIPTION	COST	MARKET VALUE	UNIT/ MARKET PRICE	ACCRUED INCOME	YIELD ON MARKET
457,483.90	F N M A #935228 CUSIP: 31412UPM6 328390030	470,493.60	473,230.50	103.4420	1,906.18	4.83
80,000.00	U S TREASURY BD CUSIP: 912810QCS 328390030	83,865.18	86,250.40	107.8130	459.78	4.17
TOTAL US TREAS & AGENCY OBLIGATIONS		9,561,467.32	9,820,657.48		44,923.17	3.71

CORPORATE OBLIGATIONS

50,000.00	INTL LEASE FIN CORP CUSIP: 459745FP5 328390030	49,806.87	48,558.50	97.1170	1,152.78	5.15
75,000.00	SIMON PPTY GROUP LP CUSIP: 828807BL0 328390030	71,945.25	75,752.25	101.0030	1,015.83	4.56
45,000.00	COX COMMUN INC CUSIP: 224044AY3 328390030	47,859.75	47,618.55	105.8190	1,453.13	7.32
50,000.00	UNITEDHEALTH GROUP CUSIP: 91324PAP7 328390030	50,166.50	51,966.00	103.9320	116.67	5.05
45,000.00	A O L TIME WARNER CUSIP: 00184AAB1 328390030	45,318.41	48,094.20	106.8760	1,400.63	6.32
50,647.47	AMERICREDIT AUTO CUSIP: 03061NHT9 328390030	49,677.28	51,014.16	100.7240	120.69	3.41
85,000.00	JPMORGAN CHASE CUSIP: 481247AA2 328390030	85,184.45	88,198.55	103.7630	885.42	3.01
170,000.00	GEN ELEC CAP CRP CUSIP: 36967HAD9 328390030	170,698.70	175,943.20	103.4960	1,586.67	2.90



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40,000.00	INTL LEASE FIN CUSIP: 45974VA99 328390030	40,481.60	34,372.80	85.9320	276.00	6.29
101,612.43	LONG BEACH AUTO REC CUSIP: 542391CA3 328390030	99,943.10	101,335.03	99.7270	191.92	4.26
65,000.00	XEROX CORP CUSIP: 984121BS1 328390030	61,100.00	68,156.40	104.8560	1,350.56	5.25
35,000.00	PSEG POWER CUSIP: 69362BAM4 328390030	36,882.65	38,421.95	109.7770	810.83	6.33
85,000.00	BANK OF AMERICA CORP 3.125% CUSIP: 06050BAA9 328390030	85,800.70	88,406.80	104.0080	782.12	3.01
170,000.00	JPMORGAN CHASE CUSIP: 481247AE4 328390030	169,915.00	172,492.20	101.4660	993.44	2.10
75,000.00	HCP INC CUSIP: 421915EB1 328390030	70,875.00	77,322.75	103.0970	1,290.00	6.26
50,000.00	ENERGY TRANSFER PART 5.650% CUSIP: 29273RAD1 328390030	50,628.50	52,726.50	105.4530	470.83	5.36
70,000.00	ANTHEM INC CUSIP: 03674BAC8 328390030	72,145.50	76,281.80	108.9740	793.33	6.24
55,419.13	HOUSEHOLD AUTOMOTIVE 4.940% CUSIP: 44328FAM1 328390030	54,938.54	56,988.05	102.8310	106.47	4.80
50,000.00	INTL LEASE FIN CUSIP: 45974VB72 328390030	50,705.00	40,098.00	80.1960	53.13	7.95
50,000.00	CITIGROUP INC CUSIP: 172967EQ0 328390030	50,706.00	51,166.50	102.3330	1,298.61	5.38
55,000.00	AMERICAN EXPRESS CUSIP: 0258M0CW7 328390030	54,087.00	58,314.85	106.0270	1,337.38	5.54



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75,000.00	SIMON PROP GP IN CUSIP: 828807BY2 328390030	5/30/13 5.300%	74,614.25	102.2380	1,336.04	5.18
50,000.00	COX COMMUNICATIONS CUSIP: 224044BF3 328390030	6/01/13 4.625%	48,065.50	103.8840	770.83	4.45
55,000.00	NORTHEAST UTILITIES CUSIP: 664397AG1 328390030	6/01/13 5.650%	54,979.10	103.2520	1,035.83	5.47
145,000.00	GE EQUIPMENT MID CUSIP: 36161FAC9 328390030	6/17/13 2.340%	144,974.77	100.0510	188.50	2.34
60,000.00	DAIMLERCHRYSLER NA CUSIP: 233835AW7 328390030	11/15/13 6.500%	63,933.60	107.7350	1,473.33	6.03
45,000.00	ASSURANT INC CUSIP: 04621XAC2 328390030	2/15/14 5.625%	43,213.50	102.9080	323.44	5.47
35,000.00	CENTERPOINT HOUS CUSIP: 15189XAJ7 328390030	3/01/14 7.000%	34,992.30	113.6460	204.17	6.16
100,000.00	MORGAN STANLEY CUSIP: 61748AAE6 328390030	4/01/14 4.750%	86,014.17	99.2620	2,375.00	4.79
60,000.00	GENERAL ELECTRIC CUSIP: 36962G4C5 328390030	5/13/14 5.900%	59,933.40	107.2290	1,357.00	5.50
80,000.00	CITIBANK CR CARD CUSIP: 17305ECN3 328390030	6/20/14 4.400%	79,867.20	105.6930	987.57	4.16
105,000.00	WACHOVIA BANK NA CUSIP: 92976GAD3 328390030	2/01/15 4.875%	88,725.00	102.5610	853.13	4.75
135,000.00	AMERICAN EXPRESS CUSIP: 02582JDS6 328390030	3/16/15 0.31338%	116,690.63	97.8700	18.80	0.32
70,000.00	HEALTH CARE REIT CUSIP: 42217KAM8 328390030	5/15/15 5.875%	69,834.90	95.1060	1,553.61	6.18



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95,000.00	BECKMAN COULTER CUSIP: 075811AE9 328390030	98,039.20	103,637.40	109.0920	2,058.33	5.50
105,000.00	CENTERPOINT ENERGY CUSIP: 15200DAC1 328390030	104,684.18	113,535.45	108.1290	890.76	4.71
45,000.00	PRUDENTIAL FIN CUSIP: 74432QBJ3 328390030	44,895.15	44,684.10	99.2980	95.00	4.78
41,918.67	FEDERAL EXPRESS CUSIP: 31331FAZ4 328390030	45,880.01	44,433.79	106.0000	621.24	6.62
142,583.71	SMALL BUSINESS CUSIP: 831641EB7 328390030	142,583.71	148,064.63	103.8440	656.36	5.32
50,000.00	CATERPILLAR FIN SERV CUSIP: 14912LY6 328390030	49,442.00	52,687.00	105.3740	122.22	5.22
80,000.00	PROLOGIS 2006 CUSIP: 743410AL6 328390030	80,460.40	71,558.40	89.4480	2,300.00	6.43
50,000.00	MERRILL LYNCH & CO CUSIP: 5901884M7 328390030	47,756.50	50,106.50	100.2130	1,134.38	6.04
45,000.00	METLIFE INC CUSIP: 59156RAU2 328390030	44,893.35	50,220.45	111.6010	1,029.38	6.05
120,000.00	BANK OF AMER CRP CUSIP: 06051GEA3 328390030	125,917.80	126,138.00	105.1150	1,365.00	6.18
105,000.00	CRH AMERICA INC CUSIP: 12626PAG8 328390030	102,884.50	107,641.80	102.5160	17.50	5.85
65,000.00	CONSUMERS FDG LLC CUSIP: 210523AF3 328390030	67,932.62	72,522.45	111.5730	738.40	5.16
95,000.00	HEALTH CARE PPTY CUSIP: 421915EJ4 328390030	88,455.25	88,723.35	93.3930	965.83	6.43



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55,000.00	PRUDENTIAL FIN INC CUSIP: 74432QAY1 328390030	6/15/17	55,242.55	100.4410	987.86	6.07
65,000.00	JP MORGAN CHASE CUSIP: 48121CYK6 328390030	6.000% 10/01/17	68,363.75	105.1750	1,950.00	5.71
45,000.00	GOLDMAN SACHS GP CUSIP: 38141GFG4 328390030	5.950% 1/18/18	46,686.15	103.7470	542.94	5.74
75,000.00	CRED SUIS NY CUSIP: 22541HCC4 328390030	6.000% 2/15/18	78,522.00	104.6960	575.00	5.73
65,000.00	VERIZON COMM INC CUSIP: 92343VAM6 328390030	6.100% 4/15/18	70,199.35	107.9990	1,828.31	5.65
75,000.00	XEROX CORPORATION CUSIP: 984121BW2 328390030	6.350% 5/15/18	77,970.75	103.9610	1,799.17	6.11
65,000.00	COMCAST CORP CUSIP: 20030NAW1 328390030	5.700% 5/15/18	68,338.40	105.1360	1,399.67	5.42
45,000.00	NEVADA POWER CO CUSIP: 641423BW7 328390030	6.500% 8/01/18	49,229.55	109.3990	487.50	5.94
40,000.00	ENTERPRISE OP LL CUSIP: 29379VAC7 328390030	6.500% 1/31/19	43,675.20	109.1880	440.56	5.95
40,000.00	SEMPRA ENERGY CUSIP: 816851AK5 328390030	9.800% 2/15/19	51,230.00	128.0750	500.89	7.65
60,000.00	WELLPOINT INC CUSIP: 94973VAR8 328390030	7.000% 2/15/19	68,205.60	113.6760	536.67	6.16
60,000.00	TIME WARNER CABLE CUSIP: 88732JAS7 328390030	8.250% 4/01/19	72,522.00	120.8700	2,543.75	6.83
125,000.00	DOW CHEMICAL CO CUSIP: 260543BX0 328390030	8.550% 5/15/19	140,518.75	112.4150	4,096.88	7.61



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70,000.00	CITIGROUP INC CUSIP: 172967EV9 328390030	8.500\$ 5/22/19	79,018.10	112.8830	2,132.08	7.53
100,000.00	CAPITAL ONE BANK CUSIP: 140420MV9 328390030	8.800\$ 7/15/19	115,596.00	115.5960	2,346.67	7.61
50,000.00	CENTERPOINT ENERGY CUSIP: 15200DAD9 328390030	5.170\$ 8/01/19	54,342.00	108.6840	430.83	4.76
260,000.00	GE EQUIPMENT MID CUSIP: 36161FAD7 328390030	3.130\$ 11/16/20	260,093.60	100.0360	452.11	3.13
35,000.00	AOL TIME WARNER CUSIP: 00184AAG0 328390030	7.700\$ 5/01/32	39,577.65	113.0790	1,122.92	6.81
121,811.58	MASTR ASSET CUSIP: 55265KXU8 328390030	5.500\$ 6/25/33	114,488.27	93.9880	557.88	5.85
139,681.68	STRUCTURED ASSET CUSIP: 86359A4B6 328390030	5.500\$ 10/25/33	133,091.50	95.2820	640.21	5.77
65,000.00	VIACOM INC CUSIP: 925524AX8 328390030	6.875\$ 4/30/36	68,665.35	105.6390	1,874.39	6.51
1,778.89	RENAISSANCE HOME CUSIP: 759950GV4 328390030	5.608\$ 5/25/36	1,646.02	92.5310	8.31	6.06
51,576.55	GREENWICH CAP COML CUSIP: 396789FP9 328390030	4.344\$ 6/10/36	51,544.57	99.9380	186.76	4.35
158,906.37	RESIDENTIAL ASST CUSIP: 75156WAB9 328390030	0.36775\$ 7/25/36	150,388.99	94.6400	9.44	0.39
65,000.00	WESTERN UNION CO CUSIP: 959802AH2 328390030	6.200\$ 11/17/36	65,249.60	100.3840	1,500.06	6.18
70,000.00	MERRILL LYNCH & CO CUSIP: 59022CAJ2 328390030	6.110\$ 1/29/37	66,581.20	95.1160	736.59	6.42



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45,000.00	UNITEDHEALTH GROUP CUSIP: 91324PAX0 328390030	41,718.60	46,770.75	103.9350	861.25	6.25
40,000.00	EQUIFAX INC CUSIP: 294429AG0 328390030	40,092.40	39,298.00	98.2450	700.00	7.13
120,000.00	JPM CHASE CAPITAL CUSIP: 46631VAA9 328390030	112,344.85	120,764.40	100.6370	4,080.00	6.76
125,000.00	GOLDMAN SACHS GROUP CUSIP: 38141GFD1 328390030	111,967.00	129,003.75	103.2030	4,218.75	6.54
60,000.00	AMERICAN EXPRESS CUSIP: 025816AZ2 328390030	62,079.97	73,219.80	122.0330	163.00	6.68
65,000.00	GENERAL ELEC CAP CRP CUSIP: 36962G4B7 328390030	64,648.35	68,076.45	104.7330	1,005.47	6.57
65,000.00	ALTRIA GROUP INC CUSIP: 02209SAH6 328390030	64,975.95	90,225.20	138.8080	1,012.92	7.35
60,000.00	CVS CAREMARK CUSIP: 126650BR0 328390030	59,762.40	61,033.20	101.7220	204.17	6.02
80,000.00	EXELON GENERATION CUSIP: 30161MAG8 328390030	81,352.80	81,652.80	102.0660	111.11	6.12
265,000.00	BEAR STEARNS COML MT CUSIP: 07383FQU5 328390030	267,277.34	273,795.35	103.3190	1,046.75	4.59
TOTAL CORPORATE OBLIGATIONS		6,399,206.61	6,687,759.26		85,048.96	5.17

FOREIGN OBLIGATIONS



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115,000.00	CIA BRAS BEBIDAS CUSIP: 20441XAB8 328390030	136,097.14	134,550.00	117.0000	3,555.42	8.98
30,000.00	BARCLAYS BANK PLC CUSIP: 06738GUZ1 328390030	31,021.70	32,238.60	107.4620	86.29	5.07
70,000.00	TELECOM IT CAP CUSIP: 87927VAQ1 328390030	67,508.20	72,468.90	103.5270	1,837.50	5.07
45,000.00	XSTRATA CANADA CORP CUSIP: 655422AU7 328390030	43,444.80	41,588.10	92.4180	728.75	5.95
30,000.00	PETROBRAS INTL CUSIP: 71645WAM3 328390030	29,813.28	31,116.90	103.7230	146.88	5.67
60,000.00	AMERICA MOVIL SA CUSIP: 02364WAP0 328390030	54,409.37	60,624.00	101.0400	1,388.34	6.06
85,000.00	TRANS CANADA PL CUSIP: 893526829 328390030	104,648.60	108,462.55	127.6030	1,368.80	5.98
TOTAL FOREIGN OBLIGATIONS		466,943.09	481,049.05		9,111.98	6.61

DOMESTIC COMMON STOCKS

MATERIALS

254.00	AIRGAS INC CUSIP: 009363102 328390080	7,786.09	12,285.98	48.3700	0.00	1.49
1,020.00	ALLIED NEVADA GOLD CORP CUSIP: 019344100 328390080	8,147.97	9,985.80	9.7900	0.00	0.00



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2,291.00	CALGON CARBON CORP CUSIP: 129603106 328390080	32,764.53	33,975.53	14.8300	0.00	0.00
210.00	EAGLE MATERIALS INC CUSIP: 26969P108 328390080	6,163.73	6,001.80	28.5800	0.00	1.40
441.00	GREIF INC CL A CUSIP: 397624107 328390080	25,953.78	24,277.05	55.0500	167.58	2.76
1,828.00	HORSEHEAD HOLDING CORP CUSIP: 440694305 328390080	14,497.74	21,424.16	11.7200	0.00	0.00
481.00	KOPPERS HLDGS INC CUSIP: 50060P106 328390080	13,123.78	14,261.65	29.6500	71.72	2.97
650.00	ROCK TENN CO CL A CUSIP: 772739207 328390080	25,758.63	30,621.50	47.1100	0.00	1.27
TOTAL MATERIALS		134,196.25	152,833.47		239.30	1.15
INDUSTRIALS						
3,493.00	AIRTRAN HLDGS INC CUSIP: 00949P108 328390080	15,550.86	21,831.25	6.2500	0.00	0.00
440.00	AMERICAN SUPERCONDUCTOR CORP CUSIP: 030111108 328390080	11,722.89	14,757.60	33.5400	0.00	0.00
58.00	A123 SYSTEMS INC CUSIP: 03739T108 328390080	783.00	1,236.56	21.3200	0.00	0.00
720.00	BALDOR ELECTRIC CUSIP: 057741100 328390080	20,481.61	19,684.80	27.3400	0.00	2.49



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430.00	COINSTAR INC CUSIP: 19259P300 328390080	13,513.15	14,181.40	32.9800	0.00	0.00
222.00	EXLSERVICE HOLDINGS INC CUSIP: 302081104 328390080	3,033.14	3,298.92	14.8600	0.00	0.00
1,693.00	HEADWATERS INCORPORATED CUSIP: 42210P102 328390080	6,715.15	6,551.91	3.8700	0.00	0.00
1,174.00	HUB GROUP INC CL A CUSIP: 443320106 328390080	34,238.99	26,825.90	22.8500	0.00	0.00
324.00	IPG PHOTONICS CORP CUSIP: 44980X109 328390080	4,250.68	4,924.80	15.2000	0.00	0.00
729.00	LUMBER LIQUIDATORS INC CUSIP: 55003Q103 328390080	15,271.25	15,812.01	21.6900	0.00	0.00
4,839.00	METALICO INC CUSIP: 591176102 328390080	20,060.29	20,178.63	4.1700	0.00	0.00
408.00	MWI VETERINARY SUPPLY INC CUSIP: 55402X105 328390080	12,304.25	16,299.60	39.9500	0.00	0.00
637.00	MYR GROUP INC DE CUSIP: 55405W104 328390080	10,258.68	13,434.33	21.0900	0.00	0.00
590.00	NORDSON CORP CUSIP: 655663102 328390080	24,107.57	33,093.10	56.0900	0.00	1.36
303.00	OLD DOMINION FIGHT LINE INC CUSIP: 679580100 328390080	9,270.24	9,220.29	30.4300	0.00	0.00
2,295.00	ON ASSIGNMENT INC CUSIP: 682159108 328390080	13,515.72	13,425.75	5.8500	0.00	0.00
631.00	ROFIN SINAR TECHNOLOGIES INC CUSIP: 775043102 328390080	15,789.28	14,487.76	22.9600	0.00	0.00



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1,173.00	ROVI CORP CUSIP: 779376102 328390080	21,032.09	39,412.80	33.6000	0.00	0.00
533.00	TETRA TECH INC CUSIP: 88162G103 328390080	12,141.35	14,140.49	26.5300	0.00	0.00
2,148.00	UNIVERSAL DISPLAY CORPORATION CUSIP: 91347P105 328390080	16,123.52	25,647.12	11.9400	0.00	0.00
926.00	WMS INDS INC CUSIP: 929297109 328390080	30,066.71	41,262.56	44.5600	0.00	0.00
190.00	WOODWARD GOVERNOR CO CUSIP: 980745103 328390080	4,583.60	4,609.40	24.2600	0.00	0.99
	TOTAL INDUSTRIALS	314,814.02	374,316.98		0.00	0.25
	ENERGY					
693.00	ATLAS AMERICA INC CUSIP: 049167109 328390080	17,827.43	18,759.51	27.0700	0.00	0.00
795.00	BRIGHAM EXPL CO CUSIP: 109178103 328390080	7,555.94	7,218.60	9.0800	0.00	0.00
283.00	DRIL QUIP INC CUSIP: 262037104 328390080	10,583.39	14,048.12	49.6400	0.00	0.00
2,343.00	MARINER ENERGY INC CUSIP: 56845T305 328390080	31,118.13	33,223.74	14.1800	0.00	0.00
707.00	PENN VA CORP CUSIP: 707882106 328390080	12,669.71	16,197.37	22.9100	0.00	0.98



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2,237.00	VANTAGE DRILLING CO CUSIP: G93205113 328390080	3,475.60	4,093.71	1.8300	0.00	0.00
277.00	WHITING PETROLEUM CORP CUSIP: 966387102 328390080	9,874.73	15,949.66	57.5800	0.00	0.00
TOTAL ENERGY		93,104.93	109,490.71		0.00	0.15

CONSUMER DISCRETIONARY

1,010.00	BJS RESTAURANTS INC CUSIP: 09180C106 328390080	10,940.37	15,139.90	14.9900	0.00	0.00
482.00	CALIFORNIA PIZZA KITCHEN INC CUSIP: 13054D109 328390080	6,357.72	7,528.84	15.6200	0.00	0.00
220.00	CAPELLA EDUCATION CO CUSIP: 139594105 328390080	12,719.81	14,814.80	67.3400	0.00	0.00
2,614.00	CHARMING SHOPPES INC CUSIP: 161133103 328390080	6,362.07	12,887.02	4.9300	0.00	0.00
1,456.00	CHICOS FAS INC CUSIP: 168615102 328390080	11,698.99	18,928.00	13.0000	0.00	0.00
151.00	CHIPOTLE MEXICAN GRILL CL A CUSIP: 169656105 328390080	8,441.20	14,654.55	97.0500	0.00	0.00
36.00	CITI TRENDS INC CUSIP: 17306X102 328390080	893.65	1,024.92	28.4700	0.00	0.00
1,974.00	COLDWATER CREEK INC CUSIP: 193068103 328390080	12,312.41	16,186.80	8.2000	0.00	0.00



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796.00	COOPER TIRE & RUBR CO CUSIP: 216831107 328390080	11,696.90	13,993.68	17.5800	0.00	2.39
700.00	HOVNANIAN ENTERPRISES INC CL A CUSIP: 442487203 328390080	3,355.17	2,688.00	3.8400	0.00	0.00
2,360.00	ICONIX BRAND GROUP INC CUSIP: 451055107 328390080	35,498.51	29,429.20	12.4700	0.00	0.00
2,615.00	IMAX CORP CUSIP: 45245E109 328390080	21,347.17	24,607.15	9.4100	0.00	0.00
188.00	J CREW GROUP INC CUSIP: 46612H402 328390080	3,574.25	6,734.16	35.8200	0.00	0.00
873.00	JARDEN CORP CUSIP: 471109108 328390080	13,817.61	24,505.11	28.0700	42.23	1.07
1,037.00	MAIDENFORM BRANDS INC CUSIP: 560305104 328390080	12,393.10	16,654.22	16.0600	0.00	0.00
624.00	NETLOGIC MICROSYSTEMS INC CUSIP: 64118B100 328390080	16,566.33	28,080.00	45.0000	0.00	0.00
3,309.00	SHUFFLE MASTER INC CUSIP: 825549108 328390080	24,724.11	31,170.78	9.4200	0.00	0.00
965.00	SKECHERS U S A INC CUSIP: 830566105 328390080	17,233.11	16,540.10	17.1400	0.00	0.00
1,010.00	TUPPERWARE BRANDS CORP CUSIP: 899896104 328390080	33,298.92	40,319.20	39.9200	189.20	2.51
1,801.00	ULTA SALON COSMETICS & FRAGRANCE CUSIP: 90384S303 328390080	25,400.48	29,734.51	16.5100	0.00	0.00
674.00	WARNACO GROUP INC CUSIP: 934390402 328390080	17,830.05	29,561.64	43.8600	0.00	0.00



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8,711.00	WET SEAL INC CL A CUSIP: 961840105 328390080	38,858.41	32,927.58	3.7800	0.00	0.00
380.00	ZUMIEZ INC CUSIP: 989817101 328390080	6,174.33	6,235.80	16.4100	0.00	0.00
2,187.00	99 CENTS ONLY STORES CUSIP: 65440K106 328390080	24,615.09	29,415.15	13.4500	0.00	0.00
TOTAL CONSUMER DISCRETIONARY		376,109.76	463,761.11		231.43	0.35
CONSUMER STAPLES						
3,535.00	CHIQUITA BRANDS INTL CUSIP: 170032809 328390080	57,135.32	57,125.60	16.1600	0.00	0.00
538.00	DIAMOND FOODS INC CUSIP: 252603105 328390080	11,077.87	17,065.36	31.7200	0.00	0.57
821.00	SCHWEITZER MAUDUIT INTL INC CUSIP: 808541106 328390080	30,858.60	44,629.56	54.3600	0.00	1.10
TOTAL CONSUMER STAPLES		99,071.79	118,820.52		0.00	0.50
HEALTH CARE						
364.00	ALLOS THERAPEUTICS INC CUSIP: 019777101 328390080	2,946.93	2,639.00	7.2500	0.00	0.00



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450.00	AMAG PHARMACEUTICALS INC CUSIP: 00163U106	18,803.05	19,656.00	43.6800	0.00	0.00
	328390080					
797.00	ATHENAHEALTH INC CUSIP: 04685W103	28,162.84	30,580.89	38.3700	0.00	0.00
	328390080					
1,246.00	BIO-REFERENCE LABS INC CUSIP: 09057G602	31,335.37	42,862.40	34.4000	0.00	0.00
	328390080					
2,693.00	BIOMIMETIC THERAPEUTICS INC CUSIP: 09064X101	29,736.08	32,881.53	12.2100	0.00	0.00
	328390080					
1,461.00	CATALYST HEALTH SOLUTIONS INC CUSIP: 14888B103	33,298.25	42,588.15	29.1500	0.00	0.00
	328390080					
5,600.00	CELL THERAPEUTICS INC CUSIP: 150934503	9,078.50	6,888.00	1.2300	0.00	0.00
	328390080					
616.00	CEPHEID INC CUSIP: 15670R107	8,719.10	8,143.52	13.2200	0.00	0.00
	328390080					
1,628.00	EMERGENT BIOSOLUTIONS INC CUSIP: 29089Q105	22,122.27	28,750.48	17.6600	0.00	0.00
	328390080					
307.00	HAEMONETICS CORP CUSIP: 405024100	17,372.30	17,228.84	56.1200	0.00	0.00
	328390080					
450.00	HMS HLDGS CORP CUSIP: 40425J101	17,939.88	17,203.50	38.2300	0.00	0.00
	328390080					
1,330.00	HUMAN GENOME SCIENCES INC CUSIP: 444903108	19,483.73	25,030.60	18.8200	0.00	0.00
	328390080					
1,415.00	IMMUNOGEN INC CUSIP: 45253H101	11,717.41	11,475.65	8.1100	0.00	0.00
	328390080					
350.00	IMPAX LABORATORIES INC CUSIP: 45256B101	3,079.70	3,059.00	8.7400	0.00	0.00
	328390080					



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2,101.00	INSPIRE PHARMACEUTICALS INC CUSIP: 457733103 328390080	11,177.36	10,967.22	5.2200	0.00	0.00
720.00	ISIS PHARMACEUTICALS CUSIP: 464330109 328390080	11,666.48	10,490.40	14.5700	0.00	0.00
520.00	MARTEK BIOSCIENCES CORP CUSIP: 572901106 328390080	16,618.73	11,746.80	22.5900	0.00	0.00
1,802.00	MICKOMET INC CUSIP: 59509C105 328390080	9,719.22	12,001.32	6.6600	0.00	0.00
195.00	MYRIAD PHARMACEUTICALS INC CUSIP: 62856H107 328390080	676.52	1,142.70	5.8600	0.00	0.00
492.00	NUVASIVE INC CUSIP: 670704105 328390080	19,262.25	20,545.92	41.7600	0.00	0.00
343.00	ONCOGENEX PHARMACEUTICALS INC CUSIP: 68230A106 328390080	11,119.24	12,348.00	36.0000	0.00	0.00
300.00	ONYX PHARMACEUTICALS INC CUSIP: 683399109 328390080	10,234.29	8,991.00	29.9700	0.00	0.00
200.00	OWENS & MINOR INC CUSIP: 690732102 328390080	7,526.47	9,050.00	45.2500	0.00	2.03
1,522.00	PONARD PHARMACEUT CUSIP: 732449301 328390080	11,446.25	11,384.56	7.4800	0.00	0.00
977.00	QUIDEL CORP CUSIP: 74838J101 328390080	13,030.63	15,856.71	16.2300	0.00	0.00
1,369.00	REGENERON PHARMACEUTICALS INC CUSIP: 75886F107 328390080	21,560.85	26,421.70	19.3000	0.00	0.00
673.00	RESMED INC CUSIP: 761152107 328390080	24,761.00	30,419.60	45.2000	0.00	0.00



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839.00	SEATTLE GENETICS INC /WA CUSIP: 812578102 328390080	8,196.14	11,771.17	14.0300	0.00	0.00
489.00	SIRONA DENTAL SYSTEMS INC CUSIP: 82966C103 328390080	12,149.79	14,547.75	29.7500	0.00	0.00
1,596.00	THORATEC CORP CUSIP: 885175307 328390080	31,526.44	48,310.92	30.2700	0.00	0.00
150.00	UNITED THERAPEUTICS CORP CUSIP: 91307C102 328390080	6,621.56	7,348.50	48.9900	0.00	0.00
360.00	VANDA PHARMACEUTICALS INC CUSIP: 921659108 328390080	5,425.33	4,190.40	11.6400	0.00	0.00
1,725.00	VOLCANO CORP CUSIP: 928645100 328390080	28,598.38	29,014.50	16.8200	0.00	0.00
TOTAL HEALTH CARE		515,112.34	585,536.73		0.00	0.03
FINANCE						
1,932.00	BROADPOINT GLEACHER SECURITIES INC CUSIP: 11134A103 328390080	12,593.27	16,112.88	8.3400	0.00	0.00
607.00	KW INC CUSIP: 482423100 328390080	14,810.91	19,557.54	32.2200	0.00	0.00
454.00	NAVIGATORS GROUP INC CUSIP: 638904102 328390080	23,724.51	24,970.00	55.0000	0.00	0.00
762.00	PROSPERITY BANCSHARES INC CUSIP: 743606105 328390080	21,771.45	26,509.98	34.7900	104.78	1.78



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390.00	SIGNATURE BK CUSIP: 82669G104	10,375.57	11,310.00	29.0000	0.00	0.00
	328390080					
155.00	STIFEL FINL CORP CUSIP: 860630102	8,680.00	8,509.50	54.9000	0.00	0.00
	328390080					
1,303.00	TEXAS CAPITAL BANCSHARES INC CUSIP: 88224Q107	22,659.01	21,942.52	16.8400	0.00	0.00
	328390080					
609.00	TOWER GROUP INC CUSIP: 891777104	15,381.31	14,853.51	24.3900	0.00	1.15
	328390080					
3,253.00	WESTERN ALLIANCE BANCORPORATION CUSIP: 957638109	20,263.16	20,526.43	6.3100	0.00	0.00
	328390080					
246.00	WESTWOOD HLDGS GROUP INC CUSIP: 961765104	9,779.23	8,536.20	34.7000	73.80	3.81
	328390080					
	TOTAL FINANCE	160,038.42	172,828.56		178.58	0.56
	TELECOMMUNICATION SERVICES					
840.00	INTERDIGITAL INC CUSIP: 45867G101	21,382.32	19,454.40	23.1600	0.00	0.00
	328390080					
964.00	NEUTRAL TANDEM INC CUSIP: 64128B108	17,591.32	21,940.64	22.7600	0.00	0.00
	328390080					
	TOTAL TELECOMMUNICATION SERVICES	38,973.64	41,395.04		0.00	0.00



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OTHER ASSETS						
370.00	AMERICAN MED SYS HLDGS INC CUSIP: 02744M108 328390080	6,284.82	6,260.40	16.9200	0.00	0.00
1,269.00	PRIVATEBANCORP INC CUSIP: 742962103 328390080	33,572.63	31,039.74	24.4600	0.00	0.16
TOTAL OTHER ASSETS		39,857.45	37,300.14		0.00	0.14
INFORMATION TECHNOLOGY						
1,171.00	A N S Y S INC CUSIP: 03662Q105 328390080	27,636.71	43,818.82	37.4200	0.00	0.00
314.00	ABOVENET INC CUSIP: 00374N107 328390080	13,016.97	15,310.64	48.7600	0.00	0.00
1,034.00	ATHEROS COMMUNICATIONS INC CUSIP: 04743P108 328390080	20,902.80	27,432.02	26.5300	0.00	0.00
773.00	BLACKBOARD INC CUSIP: 091935502 328390080	19,982.91	29,203.94	37.7800	0.00	0.00
1,973.00	CAVIUM NETWORKS INC CUSIP: 14965A101 328390080	35,056.03	42,360.31	21.4700	0.00	0.00
440.00	COMMScope INC CUSIP: 203372107 328390080	9,071.42	13,169.20	29.9300	0.00	0.00
1,085.00	EBIX INC CUSIP: 278715206 328390080	32,868.66	60,065.60	55.3600	0.00	0.00



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1,018.00 F E I CO CUSIP: 30241LL109 328390080		24,202.12	25,093.70	24.6500	0.00	0.00
695.00 FORMFACTOR INC CUSIP: 346375108 328390080		15,393.51	16,624.40	23.9200	0.00	0.00
2,916.00 GSI COMMERCE INC CUSIP: 36238G102 328390080		40,332.93	56,307.96	19.3100	0.00	0.00
400.00 HITITE MICROWAVE CORP CUSIP: 43365Y104 328390080		12,198.22	14,712.00	36.7800	0.00	0.00
2,219.00 INTERNET CAPITAL GROUP INC CUSIP: 46059C205 328390080		22,971.15	18,550.84	8.3600	0.00	0.00
4,530.00 KULICKE & SOFFA INDS INC CUSIP: 501242101 328390080		23,508.83	27,315.90	6.0300	0.00	0.00
520.00 MASTEC INC CUSIP: 576323109 328390080		6,063.15	6,318.00	12.1500	0.00	0.00
1,202.00 MEDASSETS INC CUSIP: 584045108 328390080		22,166.69	27,129.14	22.5700	0.00	0.00
1,002.00 MICROS SYS INC CUSIP: 594901100 328390080		28,524.31	30,250.38	30.1900	0.00	0.00
2,897.00 MICROSEMI CORP CUSIP: 595137100 328390080		47,760.67	45,743.63	15.7900	0.00	0.00
500.00 MICROSTRATEGY INC CL A CUSIP: 594972408 328390080		26,171.83	35,770.00	71.5400	0.00	0.00
878.00 MONOLITHIC PWR SYS INC CUSIP: 609839105 328390080		15,682.35	20,589.10	23.4500	0.00	0.00
631.00 NCI INC CL A CUSIP: 62866K104 328390080		17,382.29	18,084.46	28.6600	0.00	0.00



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580.00	POLYCOM INC CUSIP: 73172K104 328390080	15,343.43	15,515.00	26.7500	0.00	0.00
5,040.00	R F MICRO DEVICES INC CUSIP: 749941100 328390080	13,385.78	27,367.20	5.4300	0.00	0.00
651.00	RIVERBED TECHNOLOGY INC CUSIP: 768573107 328390080	9,240.94	14,295.96	21.9600	0.00	0.00
484.00	RUBICON TECHNOLOGY INC CUSIP: 78112T107 328390080	7,619.47	7,182.56	14.8400	0.00	0.00
1,328.00	SKYWORKS SOLUTIONS INC CUSIP: 83088M102 328390080	13,958.13	17,582.72	13.2400	0.00	0.00
363.00	STANDARD MICROSYSTEMS CORP CUSIP: 853626109 328390080	8,273.13	8,425.23	23.2100	0.00	0.00
410.00	STEC INC CUSIP: 784774101 328390080	14,723.09	12,049.90	29.3900	0.00	0.00
3,321.00	SUCCESSFACTORS INC CUSIP: 864596101 328390080	26,139.99	46,726.47	14.0700	0.00	0.00
424.00	SXC HEALTH SOLUTIONS CORP CUSIP: 78505P100 328390080	13,080.23	19,838.96	46.7900	0.00	0.00
282.00	SYBASE INC CUSIP: 871130100 328390080	8,609.41	10,969.80	38.9000	0.00	0.00
1,113.00	TALEO CORP CL A CUSIP: 87424N104 328390080	19,694.56	25,198.32	22.6400	0.00	0.00
160.00	TESSERA TECH INC CUSIP: 88164L100 328390080	4,134.02	4,462.40	27.8900	0.00	0.00
1,237.00	ULTIMATE SOFTWARE GROUP INC CUSIP: 90385D107 328390080	22,275.53	35,526.64	28.7200	0.00	0.00



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276.00	VARIAN SEMICONDUCTOR EQUIP CUSIP: 922207105	7,292.90	9,063.84	32.8400	0.00	0.00
328390080						
1,267.00	VEECO INSTRS INC DEL CUSIP: 922417100	26,072.55	29,546.44	23.3200	0.00	0.00
328390080						
1,823.00	VIASAT INC CUSIP: 92552V100	44,658.38	48,455.34	26.5800	0.00	0.00
328390080						
730.00	VITACOST COM INC CUSIP: 92847A200	8,724.99	7,971.60	10.9200	0.00	0.00
328390080						
406.00	VOLTERRA SEMICONDUCTOR CORP CUSIP: 928708106	7,808.02	7,454.16	18.3600	0.00	0.00
328390080						
264.00	WABTEC CORP CUSIP: 929740108	5,850.36	9,907.92	37.5300	0.00	0.11
328390080						
TOTAL INFORMATION TECHNOLOGY		737,778.46	931,390.50		0.00	0.00
TOTAL DOMESTIC COMMON STOCKS		2,509,057.06	2,987,673.76		649.31	0.21
FOREIGN STOCKS						
209.00	VISTAPRINT NV CUSIP: N93540107	7,022.02	10,606.75	50.7500	0.00	0.00
328390080						



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TOTAL FOREIGN STOCKS						
		7,022.02	10,606.75		0.00	0.00
COLLECTIVE INVESTMENT FUNDS						
1,128,355.38	AEW REAL ESTATE SECS VALUE FUND CUSIP: 990035578	2,878,645.26	2,943,657.55	2.6088	0.00	0.00
401,753.34	AXA ROSENBERG INTL ALL CAP CUSIP: 994993103	4,373,145.41	5,193,239.61	12.9264	0.00	0.00
26,512.09	EMERGING MKT VALUE DELAWARE BUS TR CUSIP: 994951069	1,567,033.03	3,077,946.00	116.0959	0.00	0.00
	328390110					
TOTAL COLLECTIVE INVESTMENT FUNDS						
		8,818,823.70	11,214,843.16		0.00	0.00
MUTUAL FUNDS						
31,762.39	ARDEN ALT ADV SPC USD CL A SR-0507 CUSIP: 9AMMF07D6	4,043,144.00	3,648,610.70	114.8720	0.00	0.00
	328390040					
597,276.05	BARCLAYS GLOBAL INV ALPHA TILTS FD B CUSIP: 949995104	16,545,116.94	17,966,120.33	30.0801	0.00	0.00
	328390010					
376,197.60	PIMCO REAL RETURN FUND CUSIP: 693391104	4,258,291.36	4,047,886.14	10.7600	-4,449.55	3.00
	328390130					
774,074.78	SALOMON BROTHERS INST HGH YLD BD FD CUSIP: 994993731	5,153,624.22	4,164,522.29	5.3800	0.00	0.00
	328390100					



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	TOTAL MUTUAL FUNDS	30,000,176.52	29,827,139.46		-4,449.55	0.41

OPTIONS, FUTURES & FORWARDS

-1.00	EDH0L - 90 DAY EURO	3/10	3/21/10	0.00	0.00	0.0000	0.00	0.00	0.00
	CUSIP: 9NWFC5126								
	328390030								
1.00	EDMOA - FF EURO CME	6/10	6/15/10	0.00	0.00	0.0000	0.00	0.00	0.00
	CUSIP: 9NWFC5282								
	328390030								
-1.00	EDM0L - FF EURO CME	6/10	6/18/10	0.00	0.00	0.0000	0.00	0.00	0.00
	CUSIP: 9NWFC5175								
	328390030								
-1.00	ED29L - S&P MINI	12/09	12/15/09	0.00	0.00	0.0000	0.00	0.00	0.00
	CUSIP: 9NWFC5233								
	328390030								
-2.00	TU29L - 2 YEAR TREASURIES	12/17/09	12/17/09	0.00	0.00	0.0000	0.00	0.00	0.00
	CUSIP: 9NWFC760								
	328390030								
3.00	TY29A US 10YR NOTE	12/09	12/19/09	0.00	0.00	0.0000	0.00	0.00	0.00
	CUSIP: 9NWFC869								
	328390030								
-4.00	US29L US LONG BOND	12/09	12/19/09	0.00	0.00	0.0000	0.00	0.00	0.00
	CUSIP: 9NWFC836								
	328390030								
TOTAL OPTIONS, FUTURES & FORWARDS				0.00	0.00	0.0000	0.00	0.00	0.00

PRINCIPAL CASH



CU J. MARION SIMS FDN - COMBINED

INVESTMENT PORTFOLIO
AS OF 9/30/09

ACCOUNT 320078300
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SHARES OR FACE AMOUNT	DESCRIPTION	COST	MARKET VALUE	UNIT/ MARKET PRICE	ACCRUED INCOME	YIELD ON MARKET
PRINCIPAL CASH 328390030		305,530.76	305,530.76		0.00	0.00
TOTAL PRINCIPAL CASH		305,530.76	305,530.76		0.00	0.00



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AS OF 9/30/09

ACCOUNT 320078300
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SHARES OR FACE AMOUNT	DESCRIPTION	COST	MARKET VALUE	UNIT/ MARKET PRICE	ACCRUED INCOME	YIELD ON MARKET
	TOTAL ASSETS	58,173,059.23	61,440,091.83		135,330.88	1.42
		=====			=====	=====
	ADJUSTMENT FOR ACCRUED INCOME		135,330.88			

	GRAND TOTAL		61,575,422.71			
			=====			

PUBLICLY TRADED ASSETS ARE VALUED IN ACCORDANCE WITH MARKET QUOTATION OR VALUATION METHODS FROM SERVICES BELIEVED BY US TO BE RELIABLE. ASSETS, WHICH ARE NOT PUBLICLY TRADED, MAY REFLECT VALUES FROM OTHER EXTERNAL SOURCES OR SPECIAL VALUATIONS PREPARED BY US. ASSETS FOR WHICH A CURRENT VALUE IS NOT AVAILABLE MAY BE REFLECTED AS NOT VALUED, AT PAR VALUE, OR AT A NOMINAL VALUE OF \$1.00. VALUES SHOWN DO NOT NECESSARILY REFLECT PRICES AT WHICH ASSETS COULD HAVE BEEN BOUGHT OR SOLD. VALUES ARE UPDATED BASED ON INTERNAL POLICY AND MAY BE UPDATED LESS FREQUENTLY THAN STATEMENT GENERATION.

TIME OF TRADE EXECUTION AND TRADING PARTY (IF NOT DISCLOSED) WILL BE PROVIDED UPON REQUEST.

WE PROVIDE A CASH MANAGEMENT ADMINISTRATIVE SERVICE FOR THE TEMPORARY INVESTMENT OF PRINCIPAL AND INCOME BALANCES IN YOUR ACCOUNT. THE FEE FOR PROVIDING THIS SERVICE WILL NOT EXCEED \$0.42 PER MONTH FOR EACH \$1,000 OF THE AVERAGE DAILY BALANCE INVESTED UNDER THE CASH MANAGEMENT ADMINISTRATIVE SERVICE. THE CHARGE FOR THIS SERVICE HAS BEEN DEDUCTED FROM YOUR ACCOUNT.

NO CASH MANAGEMENT ADMINISTRATIVE FEE IS CHARGED ON ACCOUNTS WHERE WE HAVE INVESTMENT DISCRETION.

FOR FURTHER INFORMATION, PLEASE CONTACT YOUR ADMINISTRATOR.



J. Marion Sims Foundation, Inc.
Part IV – Capital Gains and Losses for Tax on
Investment Income – Line 1b
E1#: 57-0355295
For the Fiscal Year Ended 9/30/09

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CU J. MARION SIMS FDN - COMBINED

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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
S A L E S							
=====							
MONEY MARKETS							

FIRST AMER PRIME OBLIG FD CL Z							
CUSIP 31846V625							
10/01/08	10/01/08	328390000	20,000.0000	1.00	20,000.00	20,000.00-	0.00
10/01/08	10/01/08	328390080	8,102.5300	1.00	8,102.53	8,102.53-	0.00
10/02/08	10/02/08	328390030	39,812.9500	1.00	39,812.95	39,812.95-	0.00
10/02/08	10/02/08	328390080	4,477.7800	1.00	4,477.78	4,477.78-	0.00
10/03/08	10/03/08	328390000	30,000.0000	1.00	30,000.00	30,000.00-	0.00
10/03/08	10/03/08	328390030	66,564.2000	1.00	66,564.20	66,564.20-	0.00
10/03/08	10/03/08	328390080	8,854.1300	1.00	8,854.13	8,854.13-	0.00
10/06/08	10/06/08	328390080	5,501.1600	1.00	5,501.16	5,501.16-	0.00
10/09/08	10/09/08	328390030	50,216.7100	1.00	50,216.71	50,216.71-	0.00
10/10/08	10/10/08	328390030	4,533.9600	1.00	4,533.96	4,533.96-	0.00
10/14/08	10/14/08	328390030	50,865.6700	1.00	50,865.67	50,865.67-	0.00
10/14/08	10/14/08	328390080	15,194.7400	1.00	15,194.74	15,194.74-	0.00
10/15/08	10/15/08	328390080	16,434.9600	1.00	16,434.96	16,434.96-	0.00
10/16/08	10/16/08	328390080	31,073.7100	1.00	31,073.71	31,073.71-	0.00
10/17/08	10/17/08	328390000	40,000.0000	1.00	40,000.00	40,000.00-	0.00
10/21/08	10/21/08	328390080	3,898.7400	1.00	3,898.74	3,898.74-	0.00
10/22/08	10/22/08	328390080	7,667.3700	1.00	7,667.37	7,667.37-	0.00



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SECURITY DISPOSITIONS
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CU J. MARION SIMS FDN - COMBINED

TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
10/23/08	10/23/08	328390030	34,838.8100	1.00	34,838.81	34,838.81-	0.00
10/29/08	10/29/08	328390030	144,166.0800	1.00	144,166.08	144,166.08-	0.00
10/30/08	10/30/08	328390030	359,105.0400	1.00	359,105.04	359,105.04-	0.00
10/30/08	10/30/08	328390030	230,250.9600	1.00	230,250.96	230,250.96-	0.00
10/31/08	10/31/08	328390030	160,122.2700	1.00	160,122.27	160,122.27-	0.00
11/03/08	11/03/08	328390030	42,083.8000	1.00	42,083.80	42,083.80-	0.00
11/04/08	11/04/08	328390030	154,337.0000	1.00	154,337.00	154,337.00-	0.00
11/05/08	11/05/08	328390080	3,262.4100	1.00	3,262.41	3,262.41-	0.00
11/12/08	11/12/08	328390030	470,258.0700	1.00	470,258.07	470,258.07-	0.00
11/12/08	11/12/08	328390080	1,061.3600	1.00	1,061.36	1,061.36-	0.00
11/17/08	11/17/08	328390080	669.7900	1.00	669.79	669.79-	0.00
11/18/08	11/18/08	328390030	772,616.4100	1.00	772,616.41	772,616.41-	0.00
11/18/08	11/18/08	328390080	11,240.9100	1.00	11,240.91	11,240.91-	0.00
11/19/08	11/19/08	328390030	89,612.4400	1.00	89,612.44	89,612.44-	0.00
11/19/08	11/19/08	328390080	10,819.5700	1.00	10,819.57	10,819.57-	0.00
11/20/08	11/20/08	328390030	4,544.4900	1.00	4,544.49	4,544.49-	0.00
11/21/08	11/21/08	328390080	15,548.6200	1.00	15,548.62	15,548.62-	0.00
11/24/08	11/24/08	328390030	43,288.2100	1.00	43,288.21	43,288.21-	0.00
11/25/08	11/25/08	328390030	31,594.7100	1.00	31,594.71	31,594.71-	0.00
11/25/08	11/25/08	328390080	11,665.8400	1.00	11,665.84	11,665.84-	0.00
11/26/08	11/26/08	328390000	30,000.0000	1.00	30,000.00	30,000.00-	0.00



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CU J. MARION SIMS FDN - COMBINED

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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
11/26/08	11/26/08	328390030	10,234.7500	1.00	10,234.75	10,234.75-	0.00
11/28/08	11/28/08	328390080	4,698.3100	1.00	4,698.31	4,698.31-	0.00
12/01/08	12/01/08	328390030	503,784.6700	1.00	503,784.67	503,784.67-	0.00
12/02/08	12/02/08	328390030	10,926.8200	1.00	10,926.82	10,926.82-	0.00
12/02/08	12/02/08	328390080	6,287.5100	1.00	6,287.51	6,287.51-	0.00
12/03/08	12/03/08	328390080	4,126.1600	1.00	4,126.16	4,126.16-	0.00
12/04/08	12/04/08	328390080	3,343.9000	1.00	3,343.90	3,343.90-	0.00
12/08/08	12/08/08	328390080	6,641.8900	1.00	6,641.89	6,641.89-	0.00
12/09/08	12/09/08	328390030	3,995.2900	1.00	3,995.29	3,995.29-	0.00
12/09/08	12/09/08	328390030	84,078.2800	1.00	84,078.28	84,078.28-	0.00
12/09/08	12/09/08	328390080	1,701.2200	1.00	1,701.22	1,701.22-	0.00
12/10/08	12/10/08	328390030	220,912.2200	1.00	220,912.22	220,912.22-	0.00
12/11/08	12/11/08	328390080	7,892.7500	1.00	7,892.75	7,892.75-	0.00
12/12/08	12/12/08	328390080	988.6400	1.00	988.64	988.64-	0.00
12/16/08	12/16/08	328390030	3,215.1800	1.00	3,215.18	3,215.18-	0.00
12/17/08	12/17/08	328390030	125,545.3200	1.00	125,545.32	125,545.32-	0.00
12/19/08	12/19/08	328390080	1,571.8200	1.00	1,571.82	1,571.82-	0.00
12/22/08	12/22/08	328390030	6,321.8700	1.00	6,321.87	6,321.87-	0.00
12/22/08	12/22/08	328390080	20,756.0300	1.00	20,756.03	20,756.03-	0.00
12/23/08	12/23/08	328390030	557,371.3900	1.00	557,371.39	557,371.39-	0.00
12/23/08	12/23/08	328390080	8,538.4000	1.00	8,538.40	8,538.40-	0.00



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
12/24/08	12/24/08	328390030	304.6800	1.00	304.68	304.68-	0.00
12/26/08	12/26/08	328390030	10,689.4900	1.00	10,689.49	10,689.49-	0.00
12/26/08	12/26/08	328390080	1,368.2300	1.00	1,368.23	1,368.23-	0.00
12/29/08	12/29/08	328390030	174,464.2600	1.00	174,464.26	174,464.26-	0.00
12/29/08	12/29/08	328390030	618.7000	1.00	618.70	618.70-	0.00
12/29/08	12/29/08	328390080	2,435.4000	1.00	2,435.40	2,435.40-	0.00
12/30/08	12/30/08	328390030	622.8300	1.00	622.83	622.83-	0.00
12/30/08	12/30/08	328390080	581.0000	1.00	581.00	581.00-	0.00
12/31/08	12/31/08	328390030	4,048.4500	1.00	4,048.45	4,048.45-	0.00
12/31/08	12/31/08	328390080	1,733.8900	1.00	1,733.89	1,733.89-	0.00
1/02/09	1/02/09	328390030	21,475.6900	1.00	21,475.69	21,475.69-	0.00
1/05/09	1/05/09	328390080	17,112.7800	1.00	17,112.78	17,112.78-	0.00
1/06/09	1/06/09	328390000	25,000.0000	1.00	25,000.00	25,000.00-	0.00
1/06/09	1/06/09	328390080	15,054.9400	1.00	15,054.94	15,054.94-	0.00
1/07/09	1/07/09	328390030	364.5900	1.00	364.59	364.59-	0.00
1/08/09	1/08/09	328390030	86,547.9600	1.00	86,547.96	86,547.96-	0.00
1/09/09	1/09/09	328390030	14,166.2900	1.00	14,166.29	14,166.29-	0.00
1/12/09	1/12/09	328390000	25,000.0000	1.00	25,000.00	25,000.00-	0.00
1/13/09	1/13/09	328390030	3,607.3800	1.00	3,607.38	3,607.38-	0.00
1/13/09	1/13/09	328390030	4,737.5000	1.00	4,737.50	4,737.50-	0.00
1/14/09	1/14/09	328390030	426,287.8100	1.00	426,287.81	426,287.81-	0.00

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CU J. MARION SIMS FDN - COMBINED

TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
1/15/09	1/15/09	328390030	5,311.9200	1.00	5,311.92	5,311.92-	0.00
1/22/09	1/22/09	328390030	70,793.1300	1.00	70,793.13	70,793.13-	0.00
1/22/09	1/22/09	328390080	5,216.1500	1.00	5,216.15	5,216.15-	0.00
1/23/09	1/23/09	328390000	40,000.0000	1.00	40,000.00	40,000.00-	0.00
1/23/09	1/23/09	328390030	79,193.6600	1.00	79,193.66	79,193.66-	0.00
1/27/09	1/27/09	328390030	20,186.0300	1.00	20,186.03	20,186.03-	0.00
1/27/09	1/27/09	328390080	41,334.0200	1.00	41,334.02	41,334.02-	0.00
1/28/09	1/28/09	328390030	51,999.8700	1.00	51,999.87	51,999.87-	0.00
1/29/09	1/29/09	328390030	47,963.8900	1.00	47,963.89	47,963.89-	0.00
1/30/09	1/30/09	328390030	53,587.0100	1.00	53,587.01	53,587.01-	0.00
1/30/09	1/30/09	328390080	47,018.0800	1.00	47,018.08	47,018.08-	0.00
2/02/09	2/02/09	328390030	2,342.2500	1.00	2,342.25	2,342.25-	0.00
2/03/09	2/03/09	328390030	36,299.7900	1.00	36,299.79	36,299.79-	0.00
2/04/09	2/04/09	328390080	278,899.3700	1.00	278,899.37	278,899.37-	0.00
2/05/09	2/05/09	328390080	10,361.6500	1.00	10,361.65	10,361.65-	0.00
2/06/09	2/06/09	328390000	6,925.6100	1.00	6,925.61	6,925.61-	0.00
2/06/09	2/06/09	328390030	30,000.0000	1.00	30,000.00	30,000.00-	0.00
2/10/09	2/10/09	328390030	4,578.5500	1.00	4,578.55	4,578.55-	0.00
2/10/09	2/10/09	328390080	188,741.9600	1.00	188,741.96	188,741.96-	0.00
2/10/09	2/10/09	328390080	251.2600	1.00	251.26	251.26-	0.00
2/11/09	2/11/09	328390030	21,340.5800	1.00	21,340.58	21,340.58-	0.00



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
2/11/09	2/11/09	328390080	8,825.6600	1.00	8,825.66	8,825.66-	0.00
2/12/09	2/12/09	328390030	508,851.1700	1.00	508,851.17	508,851.17-	0.00
2/13/09	2/13/09	328390000	40,000.0000	1.00	40,000.00	40,000.00-	0.00
2/17/09	2/17/09	328390030	74,107.0400	1.00	74,107.04	74,107.04-	0.00
2/17/09	2/17/09	328390030	81,968.4500	1.00	81,968.45	81,968.45-	0.00
2/18/09	2/18/09	328390080	18,797.3000	1.00	18,797.30	18,797.30-	0.00
2/20/09	2/20/09	328390030	37,941.1800	1.00	37,941.18	37,941.18-	0.00
2/20/09	2/20/09	328390080	4,041.6900	1.00	4,041.69	4,041.69-	0.00
2/23/09	2/23/09	328390030	213,355.4500	1.00	213,355.45	213,355.45-	0.00
2/24/09	2/24/09	328390080	2,574.6700	1.00	2,574.67	2,574.67-	0.00
2/25/09	2/25/09	328390030	179,233.6100	1.00	179,233.61	179,233.61-	0.00
2/25/09	2/25/09	328390080	12,249.0800	1.00	12,249.08	12,249.08-	0.00
2/26/09	2/26/09	328390080	1,261.7500	1.00	1,261.75	1,261.75-	0.00
2/27/09	2/27/09	328390030	89,781.0400	1.00	89,781.04	89,781.04-	0.00
2/27/09	2/27/09	328390080	14,573.2400	1.00	14,573.24	14,573.24-	0.00
3/02/09	3/02/09	328390030	28,738.4700	1.00	28,738.47	28,738.47-	0.00
3/02/09	3/02/09	328390080	7,296.1000	1.00	7,296.10	7,296.10-	0.00
3/03/09	3/03/09	328390030	27,947.0600	1.00	27,947.06	27,947.06-	0.00
3/03/09	3/03/09	328390050	20.0000	1.00	20.00	20.00-	0.00
3/04/09	3/04/09	328390030	48,108.2200	1.00	48,108.22	48,108.22-	0.00
3/04/09	3/04/09	328390050	1,906,154.4000	1.00	1,906,154.40	1,906,154.40-	0.00

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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
3/04/09	3/04/09	328390080	291.4900	1.00	291.49	291.49-	0.00
3/05/09	3/05/09	328390030	1,364.0500	1.00	1,364.05	1,364.05-	0.00
3/05/09	3/05/09	328390080	2,609.5000	1.00	2,609.50	2,609.50-	0.00
3/09/09	3/09/09	328390080	4,851.9100	1.00	4,851.91	4,851.91-	0.00
3/10/09	3/10/09	328390030	254,576.8500	1.00	254,576.85	254,576.85-	0.00
3/11/09	3/11/09	328390080	5,961.6100	1.00	5,961.61	5,961.61-	0.00
3/13/09	3/13/09	328390030	44,060.8500	1.00	44,060.85	44,060.85-	0.00
3/16/09	3/16/09	328390030	53,939.6900	1.00	53,939.69	53,939.69-	0.00
3/16/09	3/16/09	328390080	4,678.0200	1.00	4,678.02	4,678.02-	0.00
3/17/09	3/17/09	328390030	97,030.1400	1.00	97,030.14	97,030.14-	0.00
3/18/09	3/18/09	328390030	304,623.5800	1.00	304,623.58	304,623.58-	0.00
3/18/09	3/18/09	328390080	21,744.7000	1.00	21,744.70	21,744.70-	0.00
3/19/09	3/19/09	328390030	82,247.6300	1.00	82,247.63	82,247.63-	0.00
3/19/09	3/19/09	328390080	117.9900	1.00	117.99	117.99-	0.00
3/20/09	3/20/09	328390000	50,000.0000	1.00	50,000.00	50,000.00-	0.00
3/20/09	3/20/09	328390030	137,159.4300	1.00	137,159.43	137,159.43-	0.00
3/23/09	3/23/09	328390080	1,810.5100	1.00	1,810.51	1,810.51-	0.00
3/24/09	3/24/09	328390080	13,307.0000	1.00	13,307.00	13,307.00-	0.00
3/25/09	3/25/09	328390030	19,130.4700	1.00	19,130.47	19,130.47-	0.00
3/25/09	3/25/09	328390080	4,073.1900	1.00	4,073.19	4,073.19-	0.00
3/27/09	3/27/09	328390000	200,000.0000	1.00	200,000.00	200,000.00-	0.00

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CU J. MARION SIMS FDN - COMBINED

TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
3/27/09	3/27/09	328390030	83,544.8000	1.00	83,544.80	83,544.80-	0.00
3/27/09	3/27/09	328390080	1,871.5700	1.00	1,871.57	1,871.57-	0.00
3/30/09	3/30/09	328390030	2,207.7700	1.00	2,207.77	2,207.77-	0.00
3/30/09	3/30/09	328390080	6,614.7000	1.00	6,614.70	6,614.70-	0.00
3/31/09	3/31/09	328390030	291,653.3500	1.00	291,653.35	291,653.35-	0.00
4/01/09	4/01/09	328390030	697,306.9300	1.00	697,306.93	697,306.93-	0.00
4/01/09	4/01/09	328390080	13,318.2200	1.00	13,318.22	13,318.22-	0.00
4/02/09	4/02/09	328390080	3,240.9300	1.00	3,240.93	3,240.93-	0.00
4/03/09	4/03/09	328390000	60,000.0000	1.00	60,000.00	60,000.00-	0.00
4/03/09	4/03/09	328390030	13,549.5700	1.00	13,549.57	13,549.57-	0.00
4/08/09	4/08/09	328390030	40,182.0000	1.00	40,182.00	40,182.00-	0.00
4/13/09	4/13/09	328390080	8,438.5400	1.00	8,438.54	8,438.54-	0.00
4/14/09	4/14/09	328390030	9,400.0100	1.00	9,400.01	9,400.01-	0.00
4/14/09	4/14/09	328390080	7,774.9700	1.00	7,774.97	7,774.97-	0.00
4/15/09	4/15/09	328390030	1,500.0200	1.00	1,500.02	1,500.02-	0.00
4/15/09	4/15/09	328390080	1,275.5300	1.00	1,275.53	1,275.53-	0.00
4/16/09	4/16/09	328390050	40.3700	1.00	40.37	40.37-	0.00
4/16/09	4/16/09	328390080	6,249.7100	1.00	6,249.71	6,249.71-	0.00
4/17/09	4/17/09	328390000	50,000.0000	1.00	50,000.00	50,000.00-	0.00
4/17/09	4/17/09	328390030	115,190.1200	1.00	115,190.12	115,190.12-	0.00
4/17/09	4/17/09	328390080	7,788.6500	1.00	7,788.65	7,788.65-	0.00



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
4/20/09	4/20/09	328390030	317,574.8300	1.00	317,574.83	317,574.83-	0.00
4/21/09	4/21/09	328390030	120,547.7800	1.00	120,547.78	120,547.78-	0.00
4/22/09	4/22/09	328390080	3,945.6800	1.00	3,945.68	3,945.68-	0.00
4/23/09	4/23/09	328390000	75,000.0000	1.00	75,000.00	75,000.00-	0.00
4/23/09	4/23/09	328390080	306.7600	1.00	306.76	306.76-	0.00
4/27/09	4/27/09	328390030	32,486.4500	1.00	32,486.45	32,486.45-	0.00
4/27/09	4/27/09	328390080	3,091.9300	1.00	3,091.93	3,091.93-	0.00
4/30/09	4/30/09	328390030	13,094.9700	1.00	13,094.97	13,094.97-	0.00
5/01/09	5/01/09	328390000	450,000.0000	1.00	450,000.00	450,000.00-	0.00
5/01/09	5/01/09	328390030	44,310.2100	1.00	44,310.21	44,310.21-	0.00
5/04/09	5/04/09	328390030	61,658.1000	1.00	61,658.10	61,658.10-	0.00
5/04/09	5/04/09	328390080	16,622.2300	1.00	16,622.23	16,622.23-	0.00
5/05/09	5/05/09	328390030	72,621.8800	1.00	72,621.88	72,621.88-	0.00
5/05/09	5/05/09	328390030	159,785.5700	1.00	159,785.57	159,785.57-	0.00
5/05/09	5/05/09	328390080	9,546.5400	1.00	9,546.54	9,546.54-	0.00
5/06/09	5/06/09	328390080	8,211.1600	1.00	8,211.16	8,211.16-	0.00
5/08/09	5/08/09	328390050	0.0100	1.00	0.01	0.01-	0.00
5/11/09	5/11/09	328390000	135,000.0000	1.00	135,000.00	135,000.00-	0.00
5/11/09	5/11/09	328390030	43,352.6600	1.00	43,352.66	43,352.66-	0.00
5/12/09	5/12/09	328390030	921,204.1000	1.00	921,204.10	921,204.10-	0.00
5/13/09	5/13/09	328390030	340,672.1800	1.00	340,672.18	340,672.18-	0.00



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
5/13/09	5/13/09	328390080	8,003.2600	1.00	8,003.26	8,003.26-	0.00
5/14/09	5/14/09	328390080	6,489.0100	1.00	6,489.01	6,489.01-	0.00
5/15/09	5/15/09	328390000	20,000.0000	1.00	20,000.00	20,000.00-	0.00
5/15/09	5/15/09	328390030	188,044.9000	1.00	188,044.90	188,044.90-	0.00
5/15/09	5/15/09	328390080	8,446.6000	1.00	8,446.60	8,446.60-	0.00
5/18/09	5/18/09	328390080	1,820.5100	1.00	1,820.51	1,820.51-	0.00
5/19/09	5/19/09	328390030	170,738.5400	1.00	170,738.54	170,738.54-	0.00
5/19/09	5/19/09	328390080	14,155.6500	1.00	14,155.65	14,155.65-	0.00
5/20/09	5/20/09	328390030	113,286.0100	1.00	113,286.01	113,286.01-	0.00
5/20/09	5/20/09	328390080	7,837.3700	1.00	7,837.37	7,837.37-	0.00
5/22/09	5/22/09	328390030	1,062.4500	1.00	1,062.45	1,062.45-	0.00
5/22/09	5/22/09	328390080	7,055.1400	1.00	7,055.14	7,055.14-	0.00
5/26/09	5/26/09	328390030	93,641.6600	1.00	93,641.66	93,641.66-	0.00
5/26/09	5/26/09	328390080	16,659.6100	1.00	16,659.61	16,659.61-	0.00
5/28/09	5/28/09	328390000	40,000.0000	1.00	40,000.00	40,000.00-	0.00
5/29/09	5/29/09	328390030	44,893.3500	1.00	44,893.35	44,893.35-	0.00
5/29/09	5/29/09	328390030	638,130.7100	1.00	638,130.71	638,130.71-	0.00
6/01/09	6/01/09	328390030	41,421.9800	1.00	41,421.98	41,421.98-	0.00
6/01/09	6/01/09	328390080	16,281.7800	1.00	16,281.78	16,281.78-	0.00
6/02/09	6/02/09	328390080	18,134.5500	1.00	18,134.55	18,134.55-	0.00
6/03/09	6/03/09	328390030	2,795.2600	1.00	2,795.26	2,795.26-	0.00



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
6/03/09	6/03/09	328390080	9,930.9200	1.00	9,930.92	9,930.92-	0.00
6/04/09	6/04/09	328390080	2,498.9200	1.00	2,498.92	2,498.92-	0.00
6/05/09	6/05/09	328390030	349.9600	1.00	349.96	349.96-	0.00
6/05/09	6/05/09	328390080	4,584.3700	1.00	4,584.37	4,584.37-	0.00
6/08/09	6/08/09	328390030	111,070.7700	1.00	111,070.77	111,070.77-	0.00
6/09/09	6/09/09	328390030	173,107.5300	1.00	173,107.53	173,107.53-	0.00
6/09/09	6/09/09	328390030	114,317.2700	1.00	114,317.27	114,317.27-	0.00
6/09/09	6/09/09	328390080	10,588.9100	1.00	10,588.91	10,588.91-	0.00
6/10/09	6/10/09	328390080	2,708.7400	1.00	2,708.74	2,708.74-	0.00
6/11/09	6/11/09	328390030	282,133.6100	1.00	282,133.61	282,133.61-	0.00
6/12/09	6/12/09	328390000	225,000.0000	1.00	225,000.00	225,000.00-	0.00
6/12/09	6/12/09	328390080	4,375.7900	1.00	4,375.79	4,375.79-	0.00
6/15/09	6/15/09	328390030	467,569.9200	1.00	467,569.92	467,569.92-	0.00
6/16/09	6/16/09	328390030	443.8600	1.00	443.86	443.86-	0.00
6/16/09	6/16/09	328390030	33,063.3600	1.00	33,063.36	33,063.36-	0.00
6/19/09	6/19/09	328390000	45,000.0000	1.00	45,000.00	45,000.00-	0.00
6/19/09	6/19/09	328390030	2,009.3600	1.00	2,009.36	2,009.36-	0.00
6/22/09	6/22/09	328390030	219,786.6700	1.00	219,786.67	219,786.67-	0.00
6/22/09	6/22/09	328390080	2,031.5000	1.00	2,031.50	2,031.50-	0.00
6/23/09	6/23/09	328390080	31,124.1300	1.00	31,124.13	31,124.13-	0.00
6/24/09	6/24/09	328390080	10,108.7400	1.00	10,108.74	10,108.74-	0.00



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
6/25/09	6/25/09	328390030	77,334.8300	1.00	77,334.83	77,334.83-	0.00
6/29/09	6/29/09	328390030	13,501.8800	1.00	13,501.88	13,501.88-	0.00
6/30/09	6/30/09	328390030	411,306.4900	1.00	411,306.49	411,306.49-	0.00
6/30/09	6/30/09	328390080	22,104.8300	1.00	22,104.83	22,104.83-	0.00
7/01/09	7/01/09	328390030	849,036.4800	1.00	849,036.48	849,036.48-	0.00
7/02/09	7/02/09	328390000	225,000.0000	1.00	225,000.00	225,000.00-	0.00
7/06/09	7/06/09	328390030	431,187.4700	1.00	431,187.47	431,187.47-	0.00
7/06/09	7/06/09	328390080	19,039.2100	1.00	19,039.21	19,039.21-	0.00
7/07/09	7/07/09	328390080	112.8000	1.00	112.80	112.80-	0.00
7/08/09	7/08/09	328390080	1,237.6200	1.00	1,237.62	1,237.62-	0.00
7/14/09	7/14/09	328390080	16,134.2400	1.00	16,134.24	16,134.24-	0.00
7/15/09	7/15/09	328390080	8,962.2700	1.00	8,962.27	8,962.27-	0.00
7/16/09	7/16/09	328390030	213,468.7700	1.00	213,468.77	213,468.77-	0.00
7/16/09	7/16/09	328390080	9,111.6500	1.00	9,111.65	9,111.65-	0.00
7/17/09	7/17/09	328390030	155,768.5100	1.00	155,768.51	155,768.51-	0.00
7/17/09	7/17/09	328390080	18,156.1300	1.00	18,156.13	18,156.13-	0.00
7/21/09	7/21/09	328390080	3,363.2600	1.00	3,363.26	3,363.26-	0.00
7/24/09	7/24/09	328390000	125,000.0000	1.00	125,000.00	125,000.00-	0.00
7/24/09	7/24/09	328390080	228.8400	1.00	228.84	228.84-	0.00
7/27/09	7/27/09	328390080	6,668.0000	1.00	6,668.00	6,668.00-	0.00
7/28/09	7/28/09	328390030	59,228.7000	1.00	59,228.70	59,228.70-	0.00



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
7/28/09	7/28/09	328390080	4,996.6200	1.00	4,996.62	4,996.62-	0.00
7/29/09	7/29/09	328390030	2,006.2300	1.00	2,006.23	2,006.23-	0.00
7/29/09	7/29/09	328390080	1,986.4100	1.00	1,986.41	1,986.41-	0.00
7/31/09	7/31/09	328390030	1,337.5200	1.00	1,337.52	1,337.52-	0.00
8/03/09	8/03/09	328390030	1,518.7300	1.00	1,518.73	1,518.73-	0.00
8/03/09	8/03/09	328390080	7,898.9200	1.00	7,898.92	7,898.92-	0.00
8/04/09	8/04/09	328390030	74,687.1400	1.00	74,687.14	74,687.14-	0.00
8/04/09	8/04/09	328390030	453,237.6200	1.00	453,237.62	453,237.62-	0.00
8/04/09	8/04/09	328390080	8,039.7000	1.00	8,039.70	8,039.70-	0.00
8/05/09	8/05/09	328390080	2,641.6800	1.00	2,641.68	2,641.68-	0.00
8/06/09	8/06/09	328390030	2,222.0800	1.00	2,222.08	2,222.08-	0.00
8/06/09	8/06/09	328390080	53,239.3800	1.00	53,239.38	53,239.38-	0.00
8/07/09	8/07/09	328390000	3,433.5600	1.00	3,433.56	3,433.56-	0.00
8/07/09	8/07/09	328390030	50,000.0000	1.00	50,000.00	50,000.00-	0.00
8/07/09	8/07/09	328390030	625.0100	1.00	625.01	625.01-	0.00
8/10/09	8/10/09	328390030	113,820.6000	1.00	113,820.60	113,820.60-	0.00
8/11/09	8/11/09	328390080	10,272.7900	1.00	10,272.79	10,272.79-	0.00
8/12/09	8/12/09	328390080	55.3600	1.00	55.36	55.36-	0.00
8/13/09	8/13/09	328390030	4,574.9600	1.00	4,574.96	4,574.96-	0.00
8/13/09	8/13/09	328390030	185,697.4600	1.00	185,697.46	185,697.46-	0.00
8/14/09	8/14/09	328390080	46.7700	1.00	46.77	46.77-	0.00



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
8/17/09	8/17/09	328390000	30,000.0000	1.00	30,000.00	30,000.00-	0.00
8/17/09	8/17/09	328390080	15,002.2900	1.00	15,002.29	15,002.29-	0.00
8/18/09	8/18/09	328390030	397,409.2100	1.00	397,409.21	397,409.21-	0.00
8/19/09	8/19/09	328390030	96,411.6500	1.00	96,411.65	96,411.65-	0.00
8/19/09	8/19/09	328390080	6,219.0600	1.00	6,219.06	6,219.06-	0.00
8/20/09	8/20/09	328390030	180,041.5800	1.00	180,041.58	180,041.58-	0.00
8/24/09	8/24/09	328390030	68,569.4100	1.00	68,569.41	68,569.41-	0.00
8/25/09	8/25/09	328390030	265,322.9100	1.00	265,322.91	265,322.91-	0.00
8/25/09	8/25/09	328390080	20,932.0600	1.00	20,932.06	20,932.06-	0.00
8/26/09	8/26/09	328390030	52,446.7900	1.00	52,446.79	52,446.79-	0.00
8/27/09	8/27/09	328390030	1,878.1200	1.00	1,878.12	1,878.12-	0.00
8/27/09	8/27/09	328390030	1,538.4900	1.00	1,538.49	1,538.49-	0.00
8/27/09	8/27/09	328390080	2,149.5000	1.00	2,149.50	2,149.50-	0.00
8/28/09	8/28/09	328390030	123,734.3600	1.00	123,734.36	123,734.36-	0.00
8/28/09	8/28/09	328390080	8,796.1300	1.00	8,796.13	8,796.13-	0.00
8/31/09	8/31/09	328390030	9,541.9800	1.00	9,541.98	9,541.98-	0.00
8/31/09	8/31/09	328390030	82,593.7800	1.00	82,593.78	82,593.78-	0.00
8/31/09	8/31/09	328390080	17.8800	1.00	17.88	17.88-	0.00
9/01/09	9/01/09	328390030	87.5000	1.00	87.50	87.50-	0.00
9/01/09	9/01/09	328390080	1,464.9000	1.00	1,464.90	1,464.90-	0.00
9/02/09	9/02/09	328390030	144,716.0200	1.00	144,716.02	144,716.02-	0.00



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
9/03/09	9/03/09	328390030	237,521.9100	1.00	237,521.91	237,521.91-	0.00
9/08/09	9/08/09	328390030	205,103.0000	1.00	205,103.00	205,103.00-	0.00
9/08/09	9/08/09	328390080	6,135.2700	1.00	6,135.27	6,135.27-	0.00
9/09/09	9/09/09	328390080	14,993.5400	1.00	14,993.54	14,993.54-	0.00
9/11/09	9/11/09	328390030	376,497.3300	1.00	376,497.33	376,497.33-	0.00
9/14/09	9/14/09	328390080	11,710.1000	1.00	11,710.10	11,710.10-	0.00
9/15/09	9/15/09	328390030	84,635.0800	1.00	84,635.08	84,635.08-	0.00
9/18/09	9/18/09	328390000	20,000.0000	1.00	20,000.00	20,000.00-	0.00
9/18/09	9/18/09	328390030	220,178.1400	1.00	220,178.14	220,178.14-	0.00
9/22/09	9/22/09	328390030	90,757.8600	1.00	90,757.86	90,757.86-	0.00
9/23/09	9/23/09	328390030	3,068.6100	1.00	3,068.61	3,068.61-	0.00
9/23/09	9/23/09	328390030	66,393.3000	1.00	66,393.30	66,393.30-	0.00
9/23/09	9/23/09	328390080	10,285.0300	1.00	10,285.03	10,285.03-	0.00
9/24/09	9/24/09	328390080	2,855.5400	1.00	2,855.54	2,855.54-	0.00
9/25/09	9/25/09	328390030	72,123.3700	1.00	72,123.37	72,123.37-	0.00
9/28/09	9/28/09	328390030	637.5100	1.00	637.51	637.51-	0.00
9/29/09	9/29/09	328390080	18,939.0500	1.00	18,939.05	18,939.05-	0.00
9/30/09	9/30/09	328390030	154,395.9800	1.00	154,395.98	154,395.98-	0.00
TOTAL MONEY MARKETS			25,319,466.8900		25,319,466.89	25,319,466.89-	0.00

US TREAS & AGENCY SHORT TERM OBLIG



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	12/17/09	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
U S TREASURY BILL								
1/12/09	1/12/09	CUSIP 912795S69 328390030		145,000.0000	99.59	144,400.55	144,400.55-	0.00
1/14/09	1/16/09	328390030		455,000.0000	99.59	453,118.95	453,118.95-	0.00
3/17/09	3/17/09	328390030		45,000.0000	99.55	44,797.19	44,813.96-	16.77-
TOTAL US TREAS & AGENCY SHORT TE						642,316.69	642,333.46-	16.77-

US TREAS & AGENCY OBLIGATIONS

F H L M C M T N 6.875% 9/15/10								
11/03/08	11/05/08	CUSIP 3134A3SH5 328390030		245,000.0000	106.93	261,965.27	262,050.29-	85.02-
F H L M C DEB 6.750% 3/15/31								
12/18/08	12/26/08	CUSIP 3134A4AA2 328390030		25,000.0000	151.28	37,820.18	29,763.03-	8,057.15
8/20/09	8/21/09	328390030		35,000.0000	126.77	44,370.20	43,540.18-	830.02
F H L M C MLTCL MTG 5.500% 10/15/14								
10/23/08	10/24/08	CUSIP 31397KPG0 328390030		104,742.3200	100.53	105,298.76	104,717.78-	580.98
F N M A M T N 3.875% 7/12/13								
11/03/08	11/05/08	CUSIP 31398ASD5 328390030		275,000.0000	99.29	273,042.55	270,334.08-	2,708.47
F N M A M T N 5.000% 2/13/17								
12/09/08	12/10/08	CUSIP 31359M4D2 328390030		110,000.0000	110.02	121,017.93	111,820.61-	9,197.32



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	F N M A M T N	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
4/15/09	4/16/09	328390030		70,000.0000	111.07	77,747.95	71,158.57-	6,589.38
			5.000\$ 10/15/11					
12/04/08	12/05/08	328390030	F N M A #257080 CUSIP 31359MZ30	165,000.0000	106.76	176,146.91	172,872.32-	3,274.59
12/15/08	12/18/08	328390030	F N M A #257080 CUSIP 31371NQ99	395,374.4200	102.75	406,247.22	404,702.79-	1,544.43
10/30/08	11/07/08	328390030	F N M A #908050 CUSIP 31411GZP0	188,658.8500	97.63	184,178.20	188,540.94-	4,362.74-
3/30/09	3/31/09	328390030	F N M A #928106 CUSIP 31412LDK3	270,000.0500	104.39	281,854.75	280,209.44-	1,645.31
10/29/08	11/04/08	328390030	F N M A #947936 CUSIP 31413KSZ5	100,505.7800	99.50	100,003.26	101,094.69-	1,091.43-
12/15/08	12/18/08	328390030	F N M A #948702 CUSIP 31413LNT2	268,138.5500	102.56	275,009.60	269,599.93-	5,409.67
5/08/09	5/12/09	328390030	F N M A #959539 CUSIP 31413YPY1	451,541.5700	104.69	472,707.58	463,412.58-	9,295.00
4/14/09	4/17/09	328390030	F N M A #962074 CUSIP 31414CJT6	76,232.3300	103.13	78,614.60	77,748.09-	866.51



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
3/09/09	3/12/09	328390030	476,989.9200	103.41	493,237.39	479,002.22-	14,235.17
F N M A #964333							
3/13/09	3/17/09	CUSIP 31414EY65 328390030	340,903.8200	103.39	352,462.60	335,917.14-	16,545.46
U S TREASURY BD							
10/21/08	10/22/08	CUSIP 912810PX0 328390030	60,000.0000	105.33	63,196.64	62,209.19-	987.45
11/05/08	11/06/08	328390030	30,000.0000	105.22	31,565.51	31,076.49-	489.02
11/13/08	11/14/08	328390030	10,000.0000	104.46	10,446.05	10,358.83-	87.22
11/13/08	11/14/08	328390030	20,000.0000	104.59	20,918.67	20,717.66-	201.01
12/12/08	12/15/08	328390030	5,000.0000	126.99	6,349.20	5,179.42-	1,169.78
12/18/08	12/19/08	328390030	25,000.0000	140.29	35,073.14	25,897.07-	9,176.07
1/02/09	1/05/09	328390030	25,000.0000	136.53	34,132.71	25,897.08-	8,235.63
1/07/09	1/08/09	328390030	20,000.0000	127.20	25,438.98	20,717.66-	4,721.32
U S TREASURY BD							
9/17/09	9/22/09	CUSIP 912810QC5 328390030	60,000.0000	104.83	62,899.22	62,898.89-	0.33
U S TREASURY BD							
10/08/08	10/09/08	CUSIP 912810FF0 328390030	35,000.0000	114.54	40,089.90	38,069.64-	2,020.26
10/21/08	10/22/08	328390030	25,000.0000	109.68	27,419.82	27,198.16-	221.66
10/22/08	10/23/08	328390030	20,000.0000	110.04	22,006.95	21,758.53-	248.42
10/30/08	11/04/08	328390030	20,000.0000	106.74	21,346.80	21,746.33-	399.53-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
11/04/08	11/05/08	328390030	50,000.0000	106.59	53,294.72	54,365.82-	1,071.10-
11/25/08	11/26/08	328390030	55,000.0000	119.48	65,714.04	59,869.33-	5,844.71
11/26/08	11/28/08	328390030	50,000.0000	119.60	59,800.58	54,426.67-	5,373.91
12/18/08	12/19/08	328390030	25,000.0000	134.01	33,502.83	27,213.33-	6,289.50
12/17/08	12/22/08	328390030	130,000.0000	133.19	173,143.23	141,509.33-	31,633.90
12/12/08	12/23/08	328390030	65,000.0000	126.33	82,113.02	70,754.68-	11,358.34
1/02/09	1/05/09	328390030	20,000.0000	132.07	26,413.98	21,770.67-	4,643.31
1/08/09	1/09/09	328390030	20,000.0000	125.52	25,103.83	21,770.67-	3,333.16
1/27/09	1/28/09	328390030	60,000.0000	122.00	73,197.66	66,955.57-	6,242.09
1/28/09	1/30/09	328390030	20,000.0000	121.08	24,214.84	22,318.52-	1,896.32
1/29/09	1/30/09	328390030	70,000.0000	118.08	82,651.95	78,114.83-	4,537.12
2/03/09	2/04/09	328390030	60,000.0000	117.96	70,776.56	66,955.56-	3,821.00
2/04/09	2/05/09	328390030	50,000.0000	118.25	59,123.05	55,796.31-	3,326.74
3/05/09	3/09/09	328390030	20,000.0000	120.84	24,167.97	23,500.78-	667.19
3/12/09	3/13/09	328390030	20,000.0000	118.75	23,750.00	23,500.78-	249.22
3/20/09	3/23/09	328390030	40,000.0000	122.58	49,031.25	47,018.75-	2,012.50
3/27/09	3/30/09	328390030	40,000.0000	122.18	48,870.31	47,018.75-	1,851.56
6/29/09	6/30/09	328390030	35,000.0000	112.54	39,390.04	38,836.33-	553.71
8/13/09	8/17/09	U S TREASURY I P CUSIP 912828KM1 328390030	223,110.8000	99.78	222,622.75	224,874.56-	2,251.81-

1.250% 4/15/14



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	1.750*	1/15/28	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
6/10/09	6/12/09	U S TREASURY I P CUSIP 912810PV4 328390030	0.875*	1/31/11	330,258.5000	89.56	295,787.77	319,789.17-	24,001.40-
3/03/09	3/09/09	U S TREASURY NT CUSIP 912828JY7 328390030	0.875*	2/28/11	975,000.0000	99.94	974,428.71	974,038.48-	390.23
3/17/09	3/17/09	U S TREASURY NT CUSIP 912828KE9 328390030			155,000.0000	99.79	154,679.10	154,806.25-	127.15-
3/26/09	4/02/09	328390030			1,060,000.0000	99.89	1,058,840.63	1,058,260.94-	579.69
4/22/09	4/23/09	U S TREASURY NT CUSIP 912828KH2 328390030	0.875*	3/31/11	195,000.0000	99.83	194,672.46	194,702.05-	29.59-
5/04/09	5/07/09	328390030			1,200,000.0000	99.95	1,199,343.75	1,198,166.50-	1,177.25
6/04/09	6/05/09	U S TREASURY NT CUSIP 912828KL3 328390030	0.875*	4/30/11	175,000.0000	99.97	174,938.48	174,814.70-	123.78
6/09/09	6/11/09	328390030			1,145,000.0000	99.27	1,136,680.87	1,143,787.64-	7,106.77-
6/17/09	6/18/09	U S TREASURY NT CUSIP 912828KU3 328390030	0.875*	5/31/11	85,000.0000	99.48	84,555.08	84,329.30-	225.78
6/23/09	6/24/09	328390030			175,000.0000	99.48	174,083.98	173,619.14-	464.84
6/23/09	6/26/09	328390030			835,000.0000	99.53	831,085.94	828,411.33-	2,674.61
		U S TREASURY NT CUSIP 912828JV3	0.875*	12/31/10					



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
1/16/09	1/16/09	328390030	295,000.0000	100.25	295,736.51	295,759.44-	22.93-
1/20/09	1/20/09	328390030	555,000.0000	100.25	556,385.64	556,428.78-	43.14-
1/28/09	1/29/09	328390030	435,000.0000	100.06	435,237.89	436,119.85-	881.96-
2/10/09	2/19/09	328390030	565,000.0000	99.90	564,426.17	566,454.52-	2,028.35-
U S TREASURY NT CUSIP 912828LG3							
8/11/09	8/12/09	328390030	180,000.0000	99.62	179,310.94	179,100.00-	210.94
9/02/09	9/03/09	328390030	1,625,000.0000	100.21	1,628,364.25	1,617,718.75-	10,645.50
U S TREASURY NT CUSIP 912828LV0							
9/02/09	9/04/09	328390030	175,000.0000	100.22	175,375.98	175,197.07-	178.91
9/24/09	9/30/09	328390030	1,685,000.0000	100.17	1,687,896.09	1,686,718.56-	1,177.53
U S TREASURY NT CUSIP 912828LW8							
9/28/09	9/30/09	328390030	90,000.0000	100.03	90,028.13	90,056.25-	28.12-
U S TREASURY NT CUSIP 912828KB5							
2/17/09	2/18/09	328390030	375,000.0000	99.68	373,798.83	376,171.88-	2,373.05-
U S TREASURY NT CUSIP 912828LF5							
8/05/09	8/06/09	328390030	1,695,000.0000	99.83	1,692,086.72	1,695,133.82-	3,047.10-
U S TREASURY NT CUSIP 912828JS0							
1/12/09	1/14/09	328390030	745,000.0000	101.07	752,944.72	707,001.50-	45,943.22



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
1.375\$ 2/15/12							
U S TREASURY NT							
CUSIP 912828KC3							
3/10/09	3/11/09	328390030	110,000.0000	99.79	109,767.97	110,343.75-	575.78-
3/12/09	3/13/09	328390030	110,000.0000	99.98	109,978.52	110,343.75-	365.23-
3/16/09	3/18/09	328390030	145,000.0000	99.95	144,926.37	145,453.13-	526.76-
1.375\$ 3/15/12							
U S TREASURY NT							
CUSIP 912828KG4							
4/08/09	4/09/09	328390030	110,000.0000	100.18	110,193.36	109,908.99-	284.37
4/16/09	5/04/09	328390030	195,000.0000	100.45	195,868.36	194,838.67-	1,029.69
1.375\$ 4/15/12							
U S TREASURY NT							
CUSIP 912828KK5							
5/06/09	5/07/09	328390030	135,000.0000	99.96	134,947.27	135,263.32-	316.05-
5/12/09	5/15/09	328390030	165,000.0000	100.21	165,348.05	165,321.83-	26.22
1.375\$ 5/15/12							
U S TREASURY NT							
CUSIP 912828KP4							
6/04/09	6/05/09	328390030	175,000.0000	99.70	174,466.80	174,691.98-	225.18-
6/10/09	6/12/09	328390030	495,000.0000	98.59	488,039.06	494,128.73-	6,089.67-
1.500\$ 7/15/12							
U S TREASURY NT							
CUSIP 912828LB4							
8/12/09	8/13/09	328390030	120,000.0000	99.35	119,221.88	120,318.75-	1,096.87-
8/17/09	8/18/09	328390030	410,000.0000	99.98	409,903.91	411,089.06-	1,185.15-
1.500\$ 12/31/13							
U S TREASURY NT							
CUSIP 912828JW1							
1/27/09	1/29/09	328390030	40,000.0000	99.64	39,856.25	39,944.73-	88.48-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
1/30/09	2/02/09	328390030	425,000.0000	98.39	418,160.16	424,412.77-	6,252.61-
U S TREASURY NT							
CUSIP 912828JZ4							
3/02/09	3/03/09	328390030	50,000.0000	99.70	49,851.56	49,650.38-	201.18
3/02/09	3/03/09	328390030	415,000.0000	99.63	413,459.96	412,098.14-	1,361.82
3/05/09	3/09/09	328390030	35,000.0000	99.87	34,953.52	34,755.26-	198.26
3/09/09	3/10/09	328390030	140,000.0000	99.54	139,349.22	139,021.06-	328.16
3/12/09	3/13/09	328390030	70,000.0000	99.38	69,567.97	69,510.53-	57.44
3/25/09	3/30/09	328390030	195,000.0000	99.92	194,847.66	193,986.50-	861.16
U S TREASURY NT							
CUSIP 912828KJ8							
4/08/09	4/09/09	328390030	100,000.0000	99.52	99,515.63	100,021.65-	506.02-
4/28/09	4/29/09	328390030	105,000.0000	99.48	104,450.39	104,979.22-	528.83-
4/28/09	4/29/09	328390030	105,000.0000	99.45	104,417.58	104,979.22-	561.64-
5/12/09	5/13/09	328390030	175,000.0000	98.73	172,771.49	172,806.06-	34.57-
5/08/09	5/13/09	328390030	780,000.0000	98.23	766,197.66	770,221.26-	4,023.60-
5/12/09	5/14/09	328390030	325,000.0000	98.74	320,886.72	320,925.53-	38.81-
U S TREASURY NT							
CUSIP 912828LH1							
8/20/09	8/21/09	328390030	265,000.0000	100.59	266,552.74	266,516.90-	35.84
9/03/09	9/04/09	328390030	180,000.0000	101.00	181,807.03	181,030.35-	776.68
9/15/09	9/16/09	328390030	75,000.0000	101.02	75,761.72	75,429.31-	332.41



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
1.750\$ 11/15/11							
U S TREASURY NT							
CUSIP 912828JUS							
11/13/08	11/24/08	328390030	115,000.0000	100.63	115,718.36	114,987.73-	730.63
12/11/08	12/12/08	328390030	580,000.0000	102.03	591,779.31	581,162.09-	10,617.22
1/15/09	1/20/09	328390030	375,000.0000	102.19	383,203.13	375,751.35-	7,451.78
1.875\$ 4/30/14							
U S TREASURY NT							
CUSIP 912828KN9							
5/13/09	5/14/09	328390030	105,000.0000	99.56	104,532.42	103,965.59-	566.83
6/04/09	6/08/09	328390030	95,000.0000	97.19	92,331.84	93,605.53-	1,273.69-
6/15/09	6/16/09	328390030	280,000.0000	96.22	269,401.56	275,889.99-	6,488.43-
6/26/09	6/29/09	328390030	150,000.0000	97.08	145,623.05	147,026.61-	1,403.56-
6/30/09	7/02/09	328390030	470,000.0000	97.00	455,900.00	460,683.37-	4,783.37-
1.875\$ 6/15/12							
U S TREASURY NT							
CUSIP 912828KX7							
6/17/09	6/18/09	328390030	55,000.0000	100.41	55,225.59	54,896.88-	328.71
7/13/09	7/15/09	328390030	540,000.0000	101.45	547,846.88	539,194.14-	8,652.74
2.000\$ 11/30/13							
U S TREASURY NT							
CUSIP 912828JT8							
1/02/09	1/05/09	328390030	100,000.0000	102.48	102,480.13	100,292.61-	2,187.52
1/12/09	1/14/09	328390030	325,000.0000	102.42	332,871.09	325,950.97-	6,920.12
2.375\$ 8/31/14							
U S TREASURY NT							
CUSIP 912828LK4							
9/08/09	9/09/09	328390030	75,000.0000	99.99	74,994.14	74,740.90-	253.24
9/11/09	9/11/09	328390030	110,000.0000	100.53	110,584.38	109,620.00-	964.38



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
9/28/09	9/29/09	328390030	35,000.0000	100.21	35,073.83	34,918.95-	154.88
U S TREASURY NT CUSIP 912828KY5 328390030							
7/01/09	7/02/09	328390030	180,000.0000	100.40	180,717.19	180,478.12-	239.07
7/09/09	7/13/09	328390030	35,000.0000	101.49	35,520.90	35,092.97-	427.93
8/11/09	8/12/09	328390030	55,000.0000	99.85	54,918.36	55,384.27-	465.91-
8/12/09	8/13/09	328390030	75,000.0000	99.74	74,806.64	75,524.01-	717.37-
8/18/09	8/19/09	328390030	260,000.0000	100.97	262,528.91	262,039.65-	489.26
9/02/09	9/09/09	328390030	270,000.0000	101.41	273,796.88	272,118.10-	1,678.78
U S TREASURY NT CUSIP 912828KD1 328390030							
2/18/09	2/20/09	328390030	50,000.0000	100.12	50,058.59	50,320.31-	261.72-
3/05/09	3/06/09	328390030	95,000.0000	99.16	94,198.44	94,238.73-	40.29-
3/05/09	3/09/09	328390030	20,000.0000	99.45	19,890.63	19,839.73-	50.90
3/09/09	3/10/09	328390030	20,000.0000	98.92	19,784.38	19,839.73-	55.35-
3/12/09	3/17/09	328390030	55,000.0000	98.86	54,372.66	25,675.60-	28,697.06
4/09/09	4/13/09	328390030	35,000.0000	98.59	34,507.81	23,439.20-	11,068.61
4/16/09	4/21/09	328390030	75,000.0000	99.25	74,440.43	59,989.63-	14,450.80
5/13/09	5/14/09	328390030	210,000.0000	96.83	203,330.86	188,250.23-	15,080.63
U S TREASURY NT CUSIP 912828JQ4 328390030							
11/13/08	11/14/08	328390030	110,000.0000	101.98	112,173.85	111,458.11-	715.74
11/26/08	11/28/08	328390030	245,000.0000	103.56	253,708.16	250,001.16-	3,707.00



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12/03/08	12/05/08	328390030	110,000.0000	105.45	115,998.44	112,245.41-	3,753.03
U S TREASURY NT CUSIP 912828KQ2							
5/26/09	5/28/09	328390030	60,000.0000	96.51	57,904.69	59,962.50-	2,057.81-
6/16/09	6/17/09	328390030	100,000.0000	94.92	94,921.88	96,338.77-	1,416.89
6/17/09	6/18/09	328390030	20,000.0000	95.89	19,178.13	19,267.75-	89.62-
6/24/09	6/26/09	328390030	80,000.0000	96.17	76,931.25	76,637.65-	293.60
6/26/09	6/29/09	328390030	40,000.0000	96.89	38,756.25	38,318.83-	437.42
6/26/09	6/29/09	328390030	100,000.0000	96.61	96,609.38	95,797.06-	812.32
7/10/09	7/13/09	328390030	40,000.0000	98.25	39,300.00	38,357.41-	942.59
7/23/09	7/24/09	328390030	30,000.0000	95.61	28,682.81	28,818.59-	135.78-
7/30/09	8/03/09	328390030	35,000.0000	95.20	33,318.36	33,621.69-	303.33-
8/13/09	8/14/09	328390030	125,000.0000	96.11	120,131.84	119,654.93-	476.91
8/17/09	8/18/09	328390030	105,000.0000	97.06	101,907.42	100,510.14-	1,397.28
8/19/09	8/20/09	328390030	230,000.0000	97.42	224,052.34	220,165.07-	3,887.27
8/24/09	8/25/09	328390030	290,000.0000	96.13	278,762.50	277,599.43-	1,163.07
U S TREASURY NT CUSIP 912828LJ7							
9/08/09	9/09/09	328390030	50,000.0000	101.25	50,626.95	50,563.47-	63.48
9/11/09	9/14/09	328390030	130,000.0000	102.55	133,316.02	131,412.83-	1,903.19
9/28/09	9/29/09	328390030	40,000.0000	102.58	41,032.81	40,520.22-	512.59
U S TREASURY NT CUSIP 912828JR2							
3.750\$ 11/15/18							



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11/25/08	11/26/08	328390030	55,000.0000	105.31	57,921.66	55,138.29-	2,783.37
11/26/08	11/28/08	328390030	130,000.0000	106.15	137,992.45	130,326.87-	7,665.58
12/02/08	12/03/08	328390030	110,000.0000	109.09	120,002.69	110,276.58-	9,726.11
1/07/09	1/08/09	328390030	55,000.0000	110.64	60,852.12	55,138.29-	5,713.83
2/05/09	2/06/09	328390030	45,000.0000	107.00	48,150.00	46,647.73-	1,502.27
2/12/09	2/13/09	328390030	70,000.0000	108.48	75,933.59	72,563.13-	3,370.46
2/17/09	2/18/09	328390030	170,000.0000	109.34	185,884.38	176,224.75-	9,659.63
2/23/09	2/24/09	328390030	45,000.0000	108.11	48,650.98	45,113.15-	3,537.83

4.000% 8/15/18

U S TREASURY NT
CUSIP 912828JH4

10/20/08	10/21/08	328390030	140,000.0000	100.75	141,049.44	143,116.93-	2,067.49-
11/04/08	11/05/08	328390030	60,000.0000	100.81	60,487.26	60,974.93-	487.67-
11/05/08	11/06/08	328390030	100,000.0000	102.25	102,249.60	101,624.89-	624.71
11/13/08	11/14/08	328390030	20,000.0000	102.88	20,575.70	20,324.98-	250.72
11/17/08	11/19/08	328390030	500,000.0000	102.68	513,398.44	508,124.45-	5,273.99

4.500% 11/30/11

U S TREASURY NT
CUSIP 912828GA2

10/03/08	10/03/08	328390030	395,000.0000	107.04	422,787.54	415,397.64-	7,389.90
9/30/08	10/07/08	328390030	170,000.0000	106.63	181,261.93	178,778.73-	2,483.20
10/16/08	10/17/08	328390030	90,000.0000	107.79	97,009.85	94,647.56-	2,362.29
10/20/08	10/21/08	328390030	150,000.0000	107.70	161,548.33	157,745.94-	3,802.39
11/10/08	11/17/08	328390030	725,000.0000	108.10	783,736.33	771,772.97-	11,963.36



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TOTAL US TREAS & AGENCY OBLIGATI			35,221,456.9100		35,692,492.36	35,305,463.44	387,028.92
CORPORATE OBLIGATIONS							

A O L TIME WARNER 6.750% 4/15/11							
CUSIP 00184AAB1							
3/17/09	3/20/09	328390030					
9/10/09	9/15/09	328390030	25,000.0000	100.98	25,243.75	25,176.90	66.85
			25,000.0000	106.81	26,701.50	25,176.89	1,524.61
AMERICAN EXPRESS 8.150% 3/19/38							
CUSIP 025816AZ2							
2/19/09	2/24/09	328390030					
2/23/09	2/26/09	328390030	30,000.0000	103.28	30,982.80	34,196.97	3,214.17
9/09/09	9/14/09	328390030	20,000.0000	104.22	20,843.60	22,797.97	1,954.37
			30,000.0000	116.03	34,808.10	31,039.99	3,768.11
AMERICREDIT AUTO 3.430% 7/06/11							
CUSIP 03061NHT9							
4/21/09	4/24/09	328390030					
			22,919.5800	94.00	21,544.41	22,480.54	936.13
AMERICREDIT AUTO 5.530% 1/06/14							
CUSIP 030612AC9							
3/19/09	3/24/09	328390030					
			135,000.0000	70.00	94,500.00	134,953.17	40,453.17
AMVESCAP PLC 5.375% 12/15/14							
CUSIP 03235EAP5							
7/27/09	7/30/09	328390030					
			55,000.0000	92.00	50,600.00	53,443.20	2,843.20
AOL TIME WARNER 7.700% 5/01/32							
CUSIP 00184AAGO							
9/11/09	9/18/09	328390030					
			30,000.0000	113.85	34,154.40	29,595.60	4,558.80



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5/07/09	5/12/09	AT&T INC CUSIP 00206RAG7 328390030	6.300\$ 1/15/38	35,000.0000	91.07	31,874.50	34,845.65-	2,971.15-
6/22/09	6/25/09	AT&T INC CUSIP 00206RAS1 328390030	6.550\$ 2/15/39	55,000.0000	102.31	56,268.30	54,690.35-	1,577.95
6/11/09	6/16/09	BANC AMER MTG SECS CUSIP 05949ATG5 328390030	6.000\$ 10/25/34	205,000.0000	91.75	188,087.50	204,615.63-	16,528.13-
4/16/09	4/21/09	BANC OF AMERICA MTGE CUSIP 05949AQB9 328390030	5.750\$ 8/25/34	245,000.0000	91.00	222,950.00	246,071.88-	23,121.88-
10/30/08	11/04/08	BANK OF AMERICA CUSIP 06051GDX4 328390030	5.650\$ 5/01/18	75,000.0000	86.54	64,906.50	74,598.75-	9,692.25-
6/22/09	6/25/09	BANK OF AMERICA CUSIP 060505DP6 328390030	5.750\$ 12/01/17	90,000.0000	88.36	79,524.90	76,851.00-	2,673.90
9/18/09	9/23/09	BANK OF AMERICA CUSIP 06051GDY2 328390030	7.375\$ 5/15/14	30,000.0000	111.91	33,571.50	29,833.50-	3,738.00
8/21/09	8/26/09	BANK OF AMERICA CORP CUSIP 060505BU7 328390030	4.500\$ 8/01/10	50,000.0000	102.38	51,189.00	49,232.00-	1,957.00
		BOEING CO CUSIP 097023AT2	5.125\$ 2/15/13					



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10/31/08	11/05/08	328390030	50,000.0000	94.93	47,463.00	48,279.00-	816.00-
		BOEING CO	5.875*	2/15/40			
		CUSIP 097023BA2					
7/23/09	7/30/09	328390030	30,000.0000	98.71	29,612.70	29,304.00-	308.70
		BOEING CO	6.875*	3/15/39			
		CUSIP 097023AX3					
8/21/09	8/26/09	328390030	45,000.0000	116.68	52,505.10	44,060.85-	8,444.25
		BROWN FORMAN	5.000*	2/01/14			
		CUSIP 115637AJ9					
1/07/09	1/12/09	328390030	30,000.0000	100.81	30,242.40	29,890.20-	352.20
		CAPITAL ONE FIN	6.150*	9/01/16			
		CUSIP 14040HAN5					
6/19/09	6/24/09	328390030	105,000.0000	87.00	91,350.00	88,643.50-	2,706.50
		CARDINAL HEALTH INC	4.000*	6/15/15			
		CUSIP 14149YAG3					
10/31/08	11/05/08	328390030	45,000.0000	73.12	32,902.65	39,750.75-	6,848.10-
		CATERPILLAR FINL SE	7.150*	2/15/19			
		CUSIP 14912L4E8					
3/17/09	3/25/09	328390030	20,000.0000	93.67	18,734.40	19,966.00-	1,231.60-
5/21/09	5/27/09	328390030	35,000.0000	101.37	35,480.55	34,940.50-	540.05
		CENTERPOINT ENERGY	5.090*	8/01/15			
		CUSIP 15200DAC1					
4/21/09	4/24/09	328390030	5,000.0000	100.25	5,012.50	4,984.96-	27.54
		CIT GROUP INC	7.625*	11/30/12			
		CUSIP 125577AZ9					



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10/01/08	10/06/08	328390030	35,000.0000	59.00	20,650.00	29,050.00-	8,400.00-
		CITI GROUP INC	1.46479*	2/13/12			
		CUSIP 125581CU0					
10/01/08	10/06/08	328390030	55,000.0000	52.00	28,600.00	43,174.45-	14,574.45-
		CITIGROUP INC	5.500*	4/11/13			
		CUSIP 172967EQ0					
11/19/08	11/20/08	328390030	25,000.0000	91.21	22,802.00	25,353.00-	2,551.00-
		CITIGROUP INC	6.500*	8/19/13			
		CUSIP 172967EUL					
6/22/09	6/25/09	328390030	25,000.0000	96.65	24,161.50	24,985.25-	823.75-
		CITIGROUP MTG LN	0.36813*	8/25/36			
		CUSIP 172983AC2					
5/08/09	5/13/09	328390030	122,598.0600	71.00	87,044.62	114,379.64-	27,335.02-
5/14/09	5/19/09	328390030	98,676.1800	70.50	69,566.92	92,061.38-	22,494.46-
		COCA COLA CO	4.875*	3/15/19			
		CUSIP 191216AM2					
3/03/09	3/06/09	328390030	110,000.0000	99.81	109,791.00	108,984.70-	806.30
		COCA COLA ENTER	7.375*	3/03/14			
		CUSIP 191219BT0					
4/23/09	4/29/09	328390030	40,000.0000	114.25	45,700.80	39,958.80-	5,742.00
		COMCAST CORP	6.400*	5/15/38			
		CUSIP 20030NAX9					
4/03/09	4/08/09	328390030	45,000.0000	87.44	39,345.75	38,198.70-	1,147.05
		COMCAST CORP	6.950*	8/15/37			
		CUSIP 20030NAV3					



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9/17/09	9/22/09	328390030	60,000.0000	112.14	67,280.40	60,242.40-	7,038.00
COMMONWLT EDIS							
8/27/09	9/01/09	CUSIP 202795HU7 328390030	5.800*	3/15/18			
CONOCOPHILLIPS							
3/17/09	3/20/09	CUSIP 20825CAQ7 328390030	6.500*	2/01/39	48,114.45	44,913.60-	3,200.85
CRED SUIS NY							
9/09/09	9/14/09	CUSIP 22541HCC4 328390030	6.000*	2/15/18	28,490.70	29,568.00-	1,077.30-
CVS CAREMARK CORP							
3/17/09	3/20/09	CUSIP 126650BJ8 328390030	6.250*	6/01/27	36,129.10	35,272.38-	856.72
8/21/09	8/26/09	328390030	30,000.0000	91.01	27,302.70	29,672.76-	2,370.06-
EMBARQ CORP							
7/13/09	7/16/09	CUSIP 29078EAB1 328390030	7.082*	6/01/16	69,632.55	64,290.97-	5,341.58
ENERGY TRANSFER PART							
3/17/09	3/20/09	CUSIP 29273RAD1 328390030	5.650*	8/01/12	49,500.00	48,741.25-	758.75
ENTERPRISE OP LL							
11/20/08	11/21/08	CUSIP 29379VAC7 328390030	6.500*	1/31/19	27,836.40	30,377.10-	2,540.70-
GATX CORP							
		CUSIP 36804PAL0	5.500*	2/15/12	31,879.60	41,312.00-	9,432.40-



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5/12/09	5/15/09	328390030	55,000.0000	95.00	52,250.00	54,898.80-	2,648.80-
		GEN ELEC CAP CRP	5.625*	5/01/18			
		CUSIP 36962G3U6					
1/06/09	1/09/09	328390030	65,000.0000	97.34	63,269.70	64,826.45-	1,556.75-
8/26/09	8/31/09	328390030	60,000.0000	99.45	59,667.00	52,931.40-	6,735.60
		GENERAL ELEC CAP	5.450*	1/15/13			
		CUSIP 36962GZY3					
3/26/09	3/31/09	328390030	60,000.0000	96.91	58,145.40	53,100.00-	5,045.40
		GENERAL ELECTRIC CO	5.250*	12/06/17			
		CUSIP 369604BC6					
3/26/09	3/31/09	328390030	60,000.0000	93.72	56,231.40	50,100.00-	6,131.40
		GENERAL MILLS INC	5.250*	8/15/13			
		CUSIP 370334BG8					
8/12/09	8/17/09	328390030	30,000.0000	107.18	32,154.00	29,935.20-	2,218.80
		GENWORTH FINANCIAL	5.650*	6/15/12			
		CUSIP 37247DAJ5					
3/06/09	3/11/09	328390030	60,000.0000	41.00	24,600.00	59,983.80-	35,383.80-
		GOODRICH CORP	6.125*	3/01/19			
		CUSIP 382388AV8					
9/10/09	9/15/09	328390030	55,000.0000	108.05	59,428.05	54,573.20-	4,854.85
		GREENWICH CAP COML	5.224*	4/10/37			
		CUSIP 396789LL1					
5/04/09	5/07/09	328390030	415,000.0000	86.34	358,326.56	388,715.63-	30,389.07-
		GS MTG SECS CORP	5.99936*	8/10/45			
		CUSIP 36246LAC5					



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1/09/09	1/14/09	328390030	310,000.0000	71.00	220,100.00	307,819.96-	87,719.96-
		HOUSEHOLD FINANCE	6.375*	10/15/11			
		CUSIP 441812JW5					
4/24/09	4/29/09	328390030	45,000.0000	97.25	43,762.50	47,642.85-	3,880.35-
		HSBC FINANCE CORP	5.500*	1/19/16			
		CUSIP 40429CFN7					
4/09/09	4/15/09	328390030	95,000.0000	77.50	73,625.00	93,005.35-	19,380.35-
		INTL PAPER CO	9.375*	5/15/19			
		CUSIP 460146CD3					
6/23/09	6/26/09	328390030	35,000.0000	100.50	35,175.00	34,171.90-	1,003.10
		J P MORGAN MTG TR	6.500*	6/25/37			
		CUSIP 46630WBC3					
3/13/09	3/26/09	328390030	168,621.5600	62.50	105,388.47	168,937.72-	63,549.25-
		JOHN DEERE CAPITAL	5.400*	10/17/11			
		CUSIP 24422EQD4					
5/07/09	5/12/09	328390030	30,000.0000	105.45	31,633.80	30,079.20-	1,554.60
		JP MORGAN CHASE	5.27964*	1/12/43			
		CUSIP 46625YV2					
5/04/09	5/07/09	328390030	430,000.0000	86.63	372,487.50	412,919.14-	40,431.64-
		JP MORGAN CHASE JPM	6.400*	5/15/38			
		CUSIP 46625HHF0					
1/06/09	1/09/09	328390030	60,000.0000	114.18	68,508.00	59,307.60-	9,200.40
		JP MORGAN MORTGAGE	6.000*	6/25/37			
		CUSIP 46630WBS5					
3/13/09	3/26/09	328390030	345,415.4400	62.50	215,884.65	345,523.38-	129,638.73-



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		JPMORGAN CHASE	6.300*	4/23/19			
		CUSIP 46625HHL7					
8/26/09	8/31/09	328390030	90,000.0000	109.89	98,895.60	89,874.90-	9,020.70
		KEYCORP	6.500*	5/14/13			
		CUSIP 49326EBB5					
10/09/08	10/15/08	328390030	60,000.0000	49.25	29,550.00	59,973.60-	30,423.60-
		KINDER MORGAN EN	6.950*	1/15/38			
		CUSIP 494550AW6					
3/25/09	3/30/09	328390030	25,000.0000	83.35	20,838.00	24,863.75-	4,025.75-
		KRAFT FOODS INC	6.125*	2/01/18			
		CUSIP 50075NAU8					
1/23/09	1/28/09	328390030	55,000.0000	99.64	54,800.35	56,045.55-	1,245.20-
		KRAFT FOODS INC	6.875*	1/26/39			
		CUSIP 50075NAW4					
3/17/09	3/25/09	328390030	20,000.0000	93.69	18,738.40	19,994.80-	1,256.40-
8/20/09	8/25/09	328390030	40,000.0000	113.30	45,320.80	39,989.60-	5,331.20
		LB UBS COML MTG TR 5.24865*	6/15/29				
		CUSIP 52108HE34					
4/21/09	4/24/09	328390030	5,000.0000	87.50	4,375.00	4,993.21-	618.21-
7/09/09	7/14/09	328390030	425,000.0000	94.00	399,500.00	424,423.20-	24,923.20-
		LB UBS COMM MRTG TRU 5.337*	9/15/39				
		CUSIP 50179MAC5					
2/10/09	2/13/09	328390030	95,000.0000	70.75	67,212.50	95,471.67-	28,259.17-
		LBUBS COMMERCIAL	5.689*	3/15/32			
		CUSIP 52108MFQ1					



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2/10/09	2/13/09	328390030	155,000.0000	75.00	116,250.00	155,767.90-	39,517.90-
LOCKHEED MARTIN CORP 4.121\$ 3/14/13							
4/23/09	4/28/09	CUSIP 539830AS8 328390030	50,000.0000	101.85	50,924.00	50,000.00-	924.00
8/26/09	8/31/09	MAGELLAN MIDSTREAM CUSIP 559080ACO 328390030	45,000.0000	104.42	46,986.30	44,818.65-	2,167.65
4/24/09	4/29/09	MASTR ASSET CUSIP 55265KKU8 328390030	9,362.4700	83.00	7,770.85	9,101.26-	1,330.41-
6/12/09	6/17/09	MASTR ASSET SECURI CUSIP 57643MHD9 328390030	155,000.0000	92.75	143,762.50	149,790.62-	6,028.12-
11/19/08	11/20/08	MERRILL LYNCH CUSIP 59018YN64 328390030	25,000.0000	93.08	23,269.50	23,847.36-	577.86-
2/09/09	2/12/09	328390030	15,000.0000	92.49	13,872.60	14,308.41-	435.81-
6/22/09	6/25/09	328390030	45,000.0000	90.94	40,923.90	42,925.23-	2,001.33-
9/18/09	9/23/09	MERRILL LYNCH & CO CUSIP 59018YJ36 328390030	65,000.0000	106.10	68,963.70	62,410.40-	6,553.30
9/09/09	9/14/09	METLIFE INC CUSIP 59156RAR9 328390030	55,000.0000	109.50	60,223.90	55,192.50-	5,031.40



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9/11/09	9/16/09	MIDAMERICAN ENERGY CUSIP 595620AF2 328390030	45,000.0000	108.54	48,842.10	45,850.50-	2,991.60
9/10/09	9/15/09	MORGAN STANLEY CUSIP 61748AAE6 328390030	45,000.0000	99.68	44,857.35	38,706.38-	6,150.97
2/19/09	2/24/09	MORGAN STANLEY CAP CUSIP 61755YAH7 328390030	90,000.0000	33.50	30,150.00	87,482.81-	57,332.81-
6/22/09	6/25/09	NATIONAL CITY BANK CUSIP 63534PAG2 328390030	25,000.0000	90.69	22,671.50	21,375.00-	1,296.50
8/31/09	9/03/09	NEWS AMER INC CUSIP 652482BQ2 328390030	35,000.0000	103.22	36,126.65	29,652.70-	6,473.95
9/14/09	9/17/09	328390030	60,000.0000	103.61	62,163.60	50,833.20-	11,330.40
1/30/09	2/04/09	NISSAN AUTO LEASE CUSIP 65480AAC3 328390030	91,760.5500	99.94	91,703.20	91,201.39-	501.81
4/23/09	4/28/09	NSTAR ELEC CO CUSIP 67021CAE7 328390030	45,000.0000	105.03	47,264.40	44,803.35-	2,461.05
6/22/09	6/25/09	OMNICOM GROUP INC CUSIP 681919AS5 328390030	40,000.0000	99.00	39,599.20	40,164.80-	565.60-



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4/20/09	4/23/09	ORACLE CORP CUSIP 68389XAC9 328390030	5.750\$ 4/15/18	107.74	53,869.50	50,714.00-	3,155.50
3/17/09	3/20/09	PACIFIC GAS ELEC CUSIP 694308GD3 328390030	4.800\$ 3/01/14				
8/19/09	8/24/09		20,000.0000	99.50	19,899.60	19,009.00-	890.60
			35,000.0000	105.75	37,012.50	33,265.75-	3,746.75
2/27/09	3/04/09	PEPSICO INC CUSIP 713448BK3 328390030	3.750\$ 3/01/14				
			25,000.0000	100.16	25,040.50	24,947.00-	93.50
9/14/09	9/17/09	PNC BANK NA CUSIP 69349LAE8 328390030	6.875\$ 4/01/18				
			50,000.0000	107.79	53,894.00	52,484.00-	1,410.00
10/31/08	11/05/08	PNC FUNDING CORP CUSIP 693476BB8 328390030	5.625\$ 2/01/17				
			40,000.0000	86.99	34,794.00	39,278.40-	4,484.40-
9/09/09	9/14/09	PROGRESS ENERGY CUSIP 743263AN5 328390030	7.050\$ 3/15/19				
			85,000.0000	115.69	98,332.25	84,652.35-	13,679.90
8/19/09	8/24/09	PROLOGIS CUSIP 743410AJ1 328390030	5.625\$ 11/15/15				
			60,000.0000	88.84	53,301.60	47,400.00-	5,901.60
9/11/09	9/16/09	PROLOGIS CUSIP 743410AU6 328390030	7.625\$ 8/15/14				
			60,000.0000	100.09	60,051.60	59,693.40-	358.20



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9/11/09	9/16/09	PRUDENTIAL FIN CUSIP 74432QBD6 328390030	5.608*	5/25/36	45,000.0000	100.86	45,385.20	43,787.25-	1,597.95
4/24/09	4/29/09	RENAISSANCE HOME CUSIP 759950GV4 328390030			10,000.0000	65.00	6,500.00	9,200.00-	2,700.00-
9/11/09	9/28/09	328390030			79,454.0600	93.00	73,888.16	73,097.74-	790.42
5/14/09	5/26/09	RESIDENTIAL ACCREDIT CUSIP 76110HPZ6 328390030	6.000*	5/25/36	334,946.7900	39.00	130,629.25	223,950.44-	93,321.19-
12/16/08	12/29/08	RESIDENTIAL ASSET CUSIP 45661EBT0 328390030	5.100*	9/15/14	251,876.3400	55.50	139,791.37	252,418.64-	112,627.27-
8/27/09	9/01/09	SBC COMMUNICATIONS CUSIP 78387GAP8 328390030	6.150*	6/15/18	45,000.0000	107.92	48,564.45	42,616.35-	5,948.10
3/17/09	3/20/09	SEMPRA ENERGY CUSIP 816851AJ8 328390030			30,000.0000	89.04	26,712.60	29,988.60-	3,276.00-
9/22/09	9/25/09	328390030			40,000.0000	107.84	43,134.00	39,984.80-	3,149.20
4/21/09	4/24/09	SMALL BUSINESS CUSIP 831641EB7 328390030	9.750*	3/15/19	9,892.1600	102.50	10,139.47	9,892.16-	247.31
		SMITH INTL INC CUSIP 832110AL4							



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3/18/09	3/24/09	328390030	85,000.0000	101.89	86,608.20	84,959.20-	1,649.00
STARBUCKS CORP							
10/21/08	10/24/08	CUSIP 855244AC3 328390030					
11/18/08	11/21/08	328390030	30,000.0000	89.24	26,770.50	30,017.51-	3,247.01-
			65,000.0000	83.00	53,950.00	65,037.94-	11,087.94-
STRUCTURED ASSET							
4/24/09	4/29/09	CUSIP 86359A4B6 328390030					
			9,860.6200	83.00	8,184.32	9,654.16-	1,469.84-
TIME WARNER CABL							
6/22/09	6/25/09	CUSIP 88732JAN8 328390030					
			85,000.0000	107.07	91,006.10	78,999.85-	12,006.25
TIME WARNER CABLE							
12/10/08	12/15/08	CUSIP 88732JAH1 328390030					
			80,000.0000	84.30	67,442.40	79,404.55-	11,962.15-
TIME WARNER CABLE							
9/11/09	9/16/09	CUSIP 88732JAU2 328390030					
			60,000.0000	109.92	65,953.20	58,278.60-	7,674.60
TIME WARNER CABLE							
8/21/09	8/26/09	CUSIP 88732JAS7 328390030					
			75,000.0000	120.59	90,438.75	76,544.25-	13,894.50
VERIZON COMM INC							
1/06/09	1/09/09	CUSIP 92343VAK0 328390030					
			35,000.0000	104.56	36,595.65	34,667.85-	1,927.80
VERIZON COMM INC							
		CUSIP 92343VAU8					
			7,350	4/01/39			



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8/31/09	9/03/09	328390030			30,000.0000	118.59	35,577.90	29,486.40-	6,091.50
9/09/09	9/14/09	328390030			55,000.0000	118.79	65,336.15	54,058.40-	11,277.75
1/06/09	1/09/09	VERIZON COMM INC CUSIP 92343VAR5 328390030	8.950*	3/01/39	30,000.0000	128.00	38,399.40	29,244.90-	9,154.50
8/27/09	9/01/09	VERIZON GLOBAL CUSIP 92344GAT3 328390030	7.375*	9/01/12	40,000.0000	113.08	45,230.80	43,790.40-	1,440.40
11/19/08	11/24/08	VIACOM INC CUSIP 925524AX8 328390030	6.875*	4/30/36	40,000.0000	70.89	28,356.00	39,517.12-	11,161.12-
6/22/09	6/25/09	328390030			60,000.0000	93.66	56,195.40	59,275.68-	3,080.28-
9/10/09	9/15/09	328390030			35,000.0000	105.73	37,006.55	36,263.15-	743.40
9/14/09	9/17/09	WACHOVIA BANK NA CUSIP 92976GAJ0 328390030	6.600*	1/15/38	30,000.0000	105.82	31,746.90	23,676.71-	8,070.19
9/18/09	9/23/09	328390030			65,000.0000	108.63	70,611.45	51,299.54-	19,311.91
10/31/08	11/05/08	WACHOVIA CORP CUSIP 92976WBJ4 328390030	5.500*	5/01/13	35,000.0000	94.09	32,932.55	34,047.65-	1,115.10-
6/22/09	6/25/09	328390030			75,000.0000	103.04	77,280.00	68,585.25-	8,694.75
11/19/08	11/20/08	WALGREEN CO CUSIP 931422AD1 328390030	4.875*	8/01/13	40,000.0000	100.70	40,278.40	39,843.60-	434.80



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3/25/09	3/30/09	328390030	45,000.0000	105.53	47,485.80	44,824.05-	2,661.75
WELLPOINT INC							
1/23/09	1/28/09	CUSIP 94973VAK3 328390030	5.250*	1/15/16			
WELLPOINT INC							
9/17/09	9/22/09	CUSIP 94973VAN7 328390030	6.375*	6/15/37			
WELLPOINT INC							
2/09/09	2/12/09	CUSIP 94973VAR8 328390030	7.000*	2/15/19			
WELLS FARGO CO							
8/17/09	8/20/09	CUSIP 94974AC0 328390030	2.125*	6/15/12			
WELLS FARGO CO							
8/17/09	8/20/09	CUSIP 94974AA4 328390030	3.000*	12/09/11			
WESTERN UNION CO							
3/17/09	3/20/09	CUSIP 959802AB5 328390030	5.930*	10/01/16			
8/26/09	8/31/09	328390030	20,000.0000.	90.75	18,149.00	19,807.12-	1,658.12-
9/09/09	9/14/09	328390030	60,000.0000	107.75	64,647.00	58,442.29-	6,204.71
WFS FINL TR							
4/21/09	4/24/09	CUSIP 962215AE9 328390030	4.390*	5/17/13			
WFS FINL TR							
						7,810.79-	77.23-



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XTO ENERGY INC							
		5.900*	8/01/12				
		CUSIP 98385XAK2					
11/19/08	11/20/08	328390030	40,000.0000	93.51	37,404.40	40,977.32-	3,572.92-
8/26/09	8/31/09	328390030	55,000.0000	108.14	59,475.35	56,343.83-	3,131.52
YUM BRANDS INC							
		6.250*	3/15/18				
		CUSIP 988498AC5					
6/24/09	6/29/09	328390030	80,000.0000	101.49	81,192.00	73,955.20-	7,236.80
TOTAL CORPORATE OBLIGATIONS							
			9,703,195.4800		8,589,811.25	9,338,390.79-	748,579.54-
FOREIGN OBLIGATIONS							

AMERICA MOVIL SA							
		6.125*	11/15/37				
		CUSIP 02364WAF0					
3/17/09	3/20/09	328390030	15,000.0000	74.23	11,134.95	13,602.34-	2,467.39-
8/31/09	9/03/09	328390030	30,000.0000	100.04	30,012.90	27,204.69-	2,808.21
BARCLAYS BANK PLC							
		5.450*	9/12/12				
		CUSIP 06738GUZ1					
11/19/08	11/20/08	328390030	40,000.0000	97.96	39,182.00	41,362.27-	2,180.27-
3/17/09	3/20/09	328390030	20,000.0000	100.65	20,129.80	20,681.13-	551.33-
9/10/09	9/15/09	328390030	15,000.0000	107.74	16,161.30	15,510.85-	650.45
BARRICK GOLD CORP							
		6.950*	4/01/19				
		CUSIP 067901AB4					
3/19/09	3/25/09	328390030	15,000.0000	99.79	14,968.65	14,773.95-	194.70
BHP FINANCE USA							
		5.500*	4/01/14				
		CUSIP 055451AG3					



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5/06/09	5/11/09	328390030	55,000.0000	107.88	59,334.00	54,816.30-	4,517.70
DIAGEO CAPITAL PLC							
3/17/09	3/25/09	CUSIP 25243YAM1 328390030	30,000.0000	98.41	29,522.10	29,966.40-	444.30-
8/19/09	8/24/09	328390030	40,000.0000	107.56	43,025.20	39,955.20-	3,070.00
NOVARTIS SEC INV							
3/17/09	3/25/09	CUSIP 66989GA8 328390030	20,000.0000	99.67	19,934.40	19,964.40-	30.00-
4/23/09	4/28/09	328390030	35,000.0000	101.78	35,622.65	34,937.70-	684.95
PETROBRAS INTL							
11/19/08	11/20/08	CUSIP 71645WAM3 328390030	40,000.0000	83.00	33,200.00	39,751.04-	6,551.04-
12/15/08	12/18/08	328390030	30,000.0000	85.25	25,573.80	29,813.28-	4,239.48-
TELECOM IT CAP							
1/26/09	1/29/09	CUSIP 87927VAQ1 328390030	60,000.0000	83.60	50,156.40	58,164.00-	8,007.60-
TELECOM IT CAP							
6/23/09	6/26/09	CUSIP 87927VAV0 328390030	40,000.0000	102.19	40,873.60	37,776.80-	3,096.80
6/23/09	7/10/09	328390030	30,000.0000	102.04	30,611.10	28,332.60-	2,278.50
TELEFONICA EMISIONES							
5/07/09	5/12/09	CUSIP 87938WAC7 328390030	60,000.0000	107.99	64,790.40	62,580.10-	2,210.30
THOMSON REUTERS CORP							
		CUSIP 884903BB0					



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3/17/09	3/20/09	328390030	30,000.0000	90.70	27,208.50	29,771.85-	2,563.35-
8/28/09	9/02/09	328390030	40,000.0000	111.45	44,580.80	39,695.80-	4,885.00
TRANSOCEAN INC							
CUSIP 893830AT6							
10/20/08	10/23/08	328390030	75,000.0000	75.78	56,834.25	79,107.00-	22,272.75-
VODAFONE GROUP PLC							
CUSIP 92857WAP5							
1/26/09	1/29/09	328390030	40,000.0000	97.29	38,916.40	39,894.10-	977.70-
VODAFONE GROUP PLC							
CUSIP 92857WAQ3							
3/17/09	3/20/09	328390030	25,000.0000	92.04	23,010.00	24,761.08-	1,751.08-
4/23/09	4/28/09	328390030	70,000.0000	97.50	68,245.80	69,331.02-	1,085.22-
TOTAL FOREIGN OBLIGATIONS							
					823,029.00	851,753.90-	28,724.90-
DOMESTIC COMMON STOCKS							
A N S Y S INC							
CUSIP 03662Q105							
10/02/08	10/07/08	328390080	30.0000	33.40	1,002.15	708.03-	294.12
11/14/08	11/19/08	328390080	130.0000	28.32	3,681.19	3,068.12-	613.07
11/18/08	11/21/08	328390080	40.0000	28.53	1,141.32	944.04-	197.28
7/17/09	7/22/09	328390080	140.0000	31.12	4,356.47	3,304.13-	1,052.34
7/21/09	7/24/09	328390080	49.0000	30.58	1,498.32	1,156.44-	341.88
8/19/09	8/24/09	328390080	180.0000	35.40	6,371.60	4,248.17-	2,123.43



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ACTUANT CORPORATION CUSIP 00508X203							
11/24/08	11/28/08	328390080	21.0000	16.07	337.48	709.73-	372.25-
11/25/08	12/01/08	328390080	260.0000	15.42	4,010.47	8,787.09-	4,776.62-
12/02/08	12/05/08	328390080	254.0000	16.36	4,154.22	8,584.30-	4,430.08-
12/03/08	12/08/08	328390080	15.0000	16.43	246.51	506.95-	260.44-
ADVENT SOFTWARE INC CUSIP 007974108							
10/29/08	11/03/08	328390080	120.0000	18.63	2,235.69	5,016.65-	2,780.96-
12/04/08	12/09/08	328390080	170.0000	20.88	3,549.36	7,106.92-	3,557.56-
4/28/09	5/01/09	328390080	60.0000	32.53	1,951.89	2,508.32-	556.43-
6/10/09	6/15/09	328390080	40.0000	30.51	1,220.54	1,672.22-	451.68-
6/11/09	6/16/09	328390080	100.0000	30.98	3,098.13	4,180.54-	1,082.41-
6/30/09	7/06/09	328390080	211.0000	32.57	6,872.99	8,820.92-	1,947.93-
AEROPOSTALE INC CUSIP 007865108							
5/06/09	5/11/09	328390080	80.0000	36.12	2,889.51	2,072.45-	817.06
5/07/09	5/12/09	328390080	40.0000	36.79	1,471.56	1,036.23-	435.33
7/23/09	7/28/09	328390080	70.0000	37.55	2,628.62	1,813.40-	815.22
8/04/09	8/07/09	328390080	180.0000	35.73	6,431.39	4,663.02-	1,768.37
8/13/09	8/18/09	328390080	60.0000	35.71	2,142.76	1,554.34-	588.42
8/31/09	9/03/09	328390080	100.0000	39.21	3,921.10	2,590.56-	1,330.54
9/02/09	9/08/09	328390080	290.0000	38.50	11,165.67	7,512.65-	3,653.02



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AIRGAS INC							
12/02/08	12/05/08	CUSIP 009363102 328390080	10.0000	32.11	321.08	306.54-	14.54
12/03/08	12/08/08	328390080	60.0000	32.14	1,928.28	1,839.23-	89.05
12/04/08	12/09/08	328390080	10.0000	32.47	324.74	306.54-	18.20
1/26/09	1/29/09	328390080	70.0000	37.56	2,629.01	2,145.77-	483.24
1/27/09	1/30/09	328390080	210.0000	37.00	7,770.29	6,437.31-	1,332.98
6/16/09	6/19/09	328390080	60.0000	40.67	2,439.91	1,839.23-	600.68
6/22/09	6/25/09	328390080	100.0000	39.99	3,999.40	3,065.39-	934.01
6/23/09	6/26/09	328390080	120.0000	39.77	4,772.41	3,678.46-	1,093.95
6/24/09	6/29/09	328390080	36.0000	40.01	1,440.23	1,103.54-	336.69
6/25/09	6/30/09	328390080	90.0000	40.03	3,602.45	2,758.85-	843.60
8/19/09	8/24/09	328390080	60.0000	44.46	2,667.59	1,839.23-	828.36
8/31/09	9/03/09	328390080	60.0000	46.55	2,793.14	1,839.23-	953.91
AIRTRAN HLDGS INC							
5/08/09	5/13/09	CUSIP 00949P108 328390080	1,100.0000	7.40	8,142.53	4,362.85-	3,779.68
6/02/09	6/05/09	328390080	940.0000	5.96	5,603.10	3,728.25-	1,874.85
ALEXION PHARMACEUTICALS INC							
11/21/08	11/26/08	CUSIP 015351109 328390080	450.0000	29.57	13,304.35	15,747.30-	2,442.95-
12/22/08	12/26/08	328390080	100.0000	34.45	3,444.55	3,499.40-	54.85-
4/16/09	4/21/09	328390080	45.0000	34.77	1,564.57	1,601.57-	37.00-



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4/23/09	4/28/09	328390080	145.0000	33.53	4,861.20	5,160.60-	299.40-
5/07/09	5/12/09	328390080	40.0000	33.95	1,357.99	1,423.62-	65.63-
5/21/09	5/27/09	328390080	50.0000	32.94	1,647.09	1,779.52-	132.43-
6/17/09	6/22/09	328390080	80.0000	38.08	3,046.44	2,847.22-	199.22
7/01/09	7/07/09	328390080	39.0000	39.60	1,544.28	1,388.03-	156.25
7/02/09	7/08/09	328390080	20.0000	39.05	781.08	711.81-	69.27
7/16/09	7/21/09	328390080	100.0000	38.77	3,877.39	3,559.04-	318.35
7/17/09	7/22/09	328390080	70.0000	38.38	2,686.59	2,491.32-	195.27
8/31/09	9/03/09	328390080	270.0000	44.75	12,082.15	9,609.40-	2,472.75
12/17/08	12/22/08	ALLEGiant TRAVEL CO CUSIP 01748X102 328390080	100.0000	44.54	4,454.24	3,371.26-	1,082.98
4/13/09	4/16/09	328390080	120.0000	51.06	6,127.04	4,186.82-	1,940.22
4/15/09	4/20/09	328390080	120.0000	52.95	6,353.85	4,186.82-	2,167.03
6/10/09	6/15/09	328390080	100.0000	40.31	4,030.65	3,489.00-	541.65
6/16/09	6/19/09	328390080	100.0000	35.45	3,544.55	3,489.00-	55.55
6/17/09	6/22/09	328390080	170.0000	35.15	5,975.92	5,931.33-	44.59
6/18/09	6/23/09	328390080	55.0000	35.98	1,979.03	1,918.97-	60.06
6/19/09	6/24/09	328390080	50.0000	35.95	1,797.49	1,744.51-	52.98
6/23/09	6/26/09	328390080	7.0000	33.37	233.59	244.23-	10.64-

ALLOS THERAPEUTICS INC
CUSIP 019777101



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9/23/09	9/28/09	328390080	550.0000	8.48	4,664.97	4,452.78-	212.19
ALLSCRIPTS HEALTHCARE SOLUTIONS INC							
2/09/09	2/12/09	CUSIP 01988P108 328390080	349.0000	7.96	2,776.80	2,641.80-	135.00
2/11/09	2/17/09	328390080	196.0000	8.27	1,621.28	1,483.64-	137.64
ALPHA NATURAL RESOURCES INC							
9/30/08	10/03/08	CUSIP 02076X102 328390080	80.0000	47.29	3,783.03	6,293.84-	2,510.81-
ALTAS ENERGY RESOURCES LLC							
12/03/08	12/08/08	CUSIP 049303100 328390080	20.0000	14.34	286.87	549.71-	262.84-
12/04/08	12/09/08	328390080	40.0000	13.92	556.91	1,099.42-	542.51-
12/05/08	12/10/08	328390080	20.0000	12.87	257.39	549.71-	292.32-
12/29/08	1/02/09	328390080	120.0000	11.64	1,397.20	3,298.25-	1,901.05-
7/07/09	7/10/09	328390080	50.0000	18.36	918.02	1,305.34-	387.32-
AMERICAN ECOLOGY CORP							
10/28/08	10/31/08	CUSIP 025533407 328390080	20.0000	18.59	371.89	590.67-	218.78-
1/14/09	1/20/09	328390080	30.0000	19.81	594.23	886.01-	291.78-
1/30/09	2/04/09	328390080	80.0000	19.90	1,592.16	2,362.69-	770.53-
2/10/09	2/13/09	328390080	50.0000	20.00	1,000.04	1,476.68-	476.64-
2/12/09	2/18/09	328390080	129.0000	17.46	2,252.48	3,809.84-	1,557.36-
2/13/09	2/19/09	328390080	70.0000	17.40	1,218.07	2,067.35-	849.28-



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2/17/09	2/20/09	328390080	40.0000	17.21	688.46	1,181.35-	492.89-
3/09/09	3/12/09	328390080	35.0000	14.58	510.39	1,033.67-	523.28-
3/10/09	3/13/09	328390080	81.0000	15.12	1,224.89	2,392.24-	1,167.35-
3/17/09	3/20/09	328390080	57.0000	16.04	914.37	1,683.42-	769.05-
3/18/09	3/23/09	328390080	88.0000	16.53	1,455.01	2,598.96-	1,143.95-
AMERICAN ITALIAN PASTA CO CL A CUSIP 027070101							
8/07/09	8/12/09	328390080	46.0000	30.88	1,420.27	1,454.98-	34.71-
8/10/09	8/13/09	328390080	136.0000	30.49	4,146.95	4,301.68-	154.73-
8/11/09	8/14/09	328390080	130.0000	29.53	3,838.58	4,111.90-	273.32-
8/21/09	8/26/09	328390080	137.0000	30.69	4,204.31	4,333.31-	129.00-
8/24/09	8/27/09	328390080	90.0000	30.72	2,764.93	2,846.70-	81.77-
8/25/09	8/28/09	328390080	100.0000	30.81	3,081.38	3,163.01-	81.63-
8/26/09	8/31/09	328390080	140.0000	30.23	4,232.03	4,428.21-	196.18-
8/27/09	9/01/09	328390080	91.0000	30.20	2,748.60	2,878.34-	129.74-
AMERIGON INCORPORATED CUSIP 03070L300							
9/29/08	10/02/08	328390080	50.0000	7.08	353.85	688.59-	334.74-
10/02/08	10/07/08	328390080	80.0000	5.51	440.76	1,101.75-	660.99-
10/07/08	10/10/08	328390080	100.0000	4.78	478.21	1,377.19-	898.98-
10/08/08	10/14/08	328390080	400.0000	4.72	1,886.26	5,508.75-	3,622.49-
10/09/08	10/15/08	328390080	200.0000	4.63	926.01	2,754.36-	1,828.35-



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AMERON INTL CORP DEL CUSIP 030710107							
12/18/08	12/23/08	328390080	40.0000	58.75	2,350.00	2,162.30-	187.70
12/19/08	12/24/08	328390080	70.0000	59.40	4,157.82	3,784.02-	373.80
12/22/08	12/26/08	328390080	36.0000	54.96	1,978.51	1,946.07-	32.44
ARBITRON INC CUSIP 03875Q108							
11/17/08	11/20/08	328390080	240.0000	24.28	5,827.59	11,295.34-	5,467.75-
11/19/08	11/24/08	328390080	370.0000	18.07	6,684.94	17,413.64-	10,728.70-
11/21/08	11/26/08	328390080	101.0000	14.05	1,419.54	4,753.46-	3,333.92-
11/24/08	11/28/08	328390080	204.0000	14.18	2,892.72	9,601.03-	6,708.31-
ARCH CHEMICALS INC CUSIP 03937R102							
9/02/09	9/08/09	328390080	50.0000	28.34	1,417.06	1,338.09-	78.97
9/03/09	9/09/09	328390080	141.0000	28.20	3,976.80	3,773.43-	203.37
9/04/09	9/10/09	328390080	147.0000	28.23	4,149.94	3,933.99-	215.95
9/08/09	9/11/09	328390080	88.0000	28.43	2,502.17	2,355.03-	147.14
ARENA RES INC CUSIP 040049108							
12/05/08	12/10/08	328390080	40.0000	19.92	796.64	1,024.49-	227.85-
1/08/09	1/13/09	328390080	130.0000	28.19	3,665.29	3,354.66-	310.63
1/15/09	1/21/09	328390080	40.0000	23.31	932.42	1,032.20-	99.78-
4/01/09	4/06/09	328390080	190.0000	25.91	4,923.19	4,902.96-	20.23



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5/08/09	5/13/09	328390080	45.0000	35.24	1,585.94	1,161.23-	424.71
5/14/09	5/19/09	328390080	100.0000	30.12	3,011.60	2,580.51-	431.09
6/03/09	6/08/09	328390080	58.0000	35.37	2,051.37	1,496.70-	554.67
6/08/09	6/11/09	328390080	150.0000	34.98	5,246.26	3,870.75-	1,375.51
6/10/09	6/15/09	328390080	161.0000	35.21	5,669.05	4,154.60-	1,514.45
ATHEROS COMMUNICATIONS INC							
3/25/09	3/30/09	CUSIP 04743P108 328390080	120.0000	14.71	1,765.13	2,614.32-	849.19-
9/30/09	9/30/09	ATLAS AMERICA INC CUSIP 049167109 328390080	0.6800	26.46	17.99	17.49-	0.50
6/10/09	6/15/09	AXSYS TECHNOLOGIES INC CUSIP 054615109 328390080	160.0000	53.46	8,554.23	9,139.09-	584.86-
6/19/09	6/24/09	328390080	156.0000	53.44	8,336.93	8,910.61-	573.68-
6/22/09	6/25/09	328390080	125.0000	53.46	6,682.46	7,139.91-	457.45-
6/23/09	6/26/09	328390080	13.0000	53.46	694.98	742.55-	47.57-
7/08/09	7/13/09	B E AEROSPACE INC CUSIP 073302101 328390080	150.0000	11.78	1,767.75	2,486.20-	718.45-
7/15/09	7/20/09	328390080	469.0000	13.77	6,459.98	7,773.53-	1,313.55-
4/07/09	4/13/09	BADGER METER INC CUSIP 056525108 328390080	45.0000	31.06	1,397.66	1,271.52-	126.14



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4/09/09	4/15/09	328390080	10.0000	31.90	318.99	282.56-	36.43
4/21/09	4/24/09	328390080	110.0000	34.14	3,755.58	3,108.16-	647.42
4/29/09	5/04/09	328390080	120.0000	38.70	4,643.96	3,390.72-	1,253.24
5/01/09	5/06/09	328390080	37.0000	39.32	1,454.85	1,045.48-	409.37
5/04/09	5/07/09	328390080	30.0000	39.94	1,198.12	847.69-	350.43
BALDOR ELECTRIC CUSIP 057741100							
9/26/08	10/01/08	328390080	151.0000	31.07	4,691.16	5,301.63-	610.47-
9/29/08	10/02/08	328390080	90.0000	25.90	2,330.56	3,159.92-	829.36-
9/30/08	10/03/08	328390080	209.0000	27.65	5,778.16	7,338.03-	1,559.87-
BANKRATE INC CUSIP 06646V108							
2/04/09	2/09/09	328390080	100.0000	30.33	3,032.74	3,812.53-	779.79-
BIO RAD LABS INC CL A CUSIP 090572207							
12/16/08	12/19/08	328390080	20.0000	68.25	1,365.06	2,003.64-	638.58-
12/19/08	12/24/08	328390080	40.0000	70.01	2,800.30	4,007.28-	1,206.98-
4/03/09	4/08/09	328390080	50.0000	67.66	3,383.23	5,009.10-	1,625.87-
4/06/09	4/09/09	328390080	3.0000	67.64	202.93	300.55-	97.62-
4/09/09	4/15/09	328390080	110.0000	67.52	7,427.73	11,020.01-	3,592.28-
BIO-REFERENCE LABS INC CUSIP 09057G602							
12/18/08	12/23/08	328390080	100.0000	27.31	2,730.87	2,514.88-	215.99



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BIOMARIN PHARMACEUTICAL INC CUSIP 09061G101							
11/21/08	11/26/08	328390080	400.0000	14.32	5,728.84	11,314.80-	5,585.96-
1/05/09	1/08/09	328390080	180.0000	17.82	3,207.45	5,091.66-	1,884.21-
2/19/09	2/24/09	328390080	339.0000	12.67	4,296.12	9,280.46-	4,984.34-
3/27/09	4/01/09	328390080	240.0000	13.24	3,177.87	6,570.24-	3,392.37-
4/02/09	4/07/09	328390080	280.0000	12.45	3,485.28	7,665.28-	4,180.00-
4/03/09	4/08/09	328390080	247.0000	12.15	3,000.83	6,761.88-	3,761.05-
4/06/09	4/09/09	328390080	305.0000	11.76	3,586.56	8,349.67-	4,763.11-
BJS RESTAURANTS INC CUSIP 09180C106							
4/01/09	4/06/09	328390080	40.0000	13.79	551.68	433.28-	118.40
4/02/09	4/07/09	328390080	100.0000	14.27	1,427.06	1,083.21-	343.85
4/03/09	4/08/09	328390080	220.0000	14.17	3,117.56	2,383.05-	734.51
4/06/09	4/09/09	328390080	50.0000	13.93	696.68	541.60-	155.08
4/30/09	5/05/09	328390080	100.0000	16.65	1,664.59	1,083.20-	581.39
5/01/09	5/06/09	328390080	10.0000	16.20	162.00	108.32-	53.68
6/16/09	6/19/09	328390080	45.0000	14.92	671.38	487.44-	183.94
6/17/09	6/22/09	328390080	120.0000	14.85	1,781.59	1,299.85-	481.74
9/24/09	9/29/09	328390080	50.0000	14.92	745.81	541.60-	204.21
9/25/09	9/30/09	328390080	110.0000	14.77	1,624.65	1,191.52-	433.13

BLACKBOARD INC
CUSIP 091935502



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3/12/09	3/17/09	328390080	170.0000	29.58	5,028.47	4,394.68-	633.79
5/07/09	5/12/09	328390080	180.0000	28.86	5,194.71	4,653.20-	541.51
6/24/09	6/29/09	328390080	47.0000	27.47	1,290.86	1,215.00-	75.86
7/01/09	7/07/09	328390080	140.0000	29.49	4,127.94	3,619.15-	508.79
7/02/09	7/08/09	328390080	100.0000	28.95	2,895.29	2,585.12-	310.17
7/15/09	7/20/09	328390080	80.0000	30.05	2,403.67	2,068.08-	335.59
7/31/09	8/05/09	328390080	20.0000	34.04	680.79	517.02-	163.77

BROCADE COMMUNICATIONS SYS
CUSIP 111621306

10/24/08	10/29/08	328390080	1,450.0000	3.13	4,534.27	11,532.05-	6,997.78-
12/29/08	1/02/09	328390080	643.0000	2.76	1,774.66	5,113.88-	3,339.22-
12/30/08	1/05/09	328390080	230.0000	2.67	614.64	1,829.22-	1,214.58-
8/18/09	8/21/09	328390080	760.0000	8.02	6,095.04	4,730.14-	1,364.90
8/19/09	8/24/09	328390080	670.0000	7.87	5,270.42	4,169.99-	1,100.43
8/25/09	8/28/09	328390080	530.0000	7.67	4,063.56	3,298.65-	764.91
8/26/09	8/31/09	328390080	140.0000	7.55	1,057.67	871.34-	186.33
8/31/09	9/03/09	328390080	2,097.0000	7.21	15,116.24	13,051.45-	2,064.79

CALIFORNIA PIZZA KITCHEN INC
CUSIP 13054D109

10/30/08	11/04/08	328390080	10.0000	9.74	97.42	156.47-	59.05-
10/31/08	11/05/08	328390080	48.0000	9.69	465.36	751.08-	285.72-
10/31/08	11/05/08	328390080	140.0000	9.63	1,348.27	2,190.63-	842.36-



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CEPHEID INC
CUSIP 15670R107



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
12/18/08	12/23/08	328390080	340.0000	11.51	3,913.13	4,812.49-	899.36-
12/31/08	1/06/09	328390080	49.0000	10.61	519.95	693.56-	173.61-
1/02/09	1/07/09	328390080	80.0000	10.30	823.99	1,132.35-	308.36-
1/09/09	1/14/09	328390080	130.0000	8.98	1,167.25	1,840.07-	672.82-
1/13/09	1/16/09	328390080	57.0000	7.15	407.45	806.80-	399.35-
8/18/09	8/21/09	CHARMING SHOPPES INC CUSIP 161133103 328390080	1,050.0000	5.26	5,522.85	2,555.53-	2,967.32
4/23/09	4/28/09	CHIPOTLE MEXICAN GRILL CL A CUSIP 169656105 328390080	56.0000	91.66	5,132.84	3,130.51-	2,002.33
4/30/09	5/05/09	328390080	50.0000	81.73	4,086.44	2,795.10-	1,291.34
2/20/09	2/25/09	CHIQUITA BRANDS INTL CUSIP 170032809 328390080	10.0000	8.14	81.43	164.88-	83.45-
7/27/09	7/30/09	COMMScope INC CUSIP 203372107 328390080	200.0000	27.29	5,458.33	4,123.37-	1,334.96
9/04/09	9/10/09	328390080	140.0000	25.86	3,620.72	2,886.36-	734.36
3/25/09	3/30/09	COMPASS MINERALS INTERNATIONAL CUSIP 20451N101 328390080	10.0000	57.24	572.38	527.65-	44.73
3/26/09	3/31/09	328390080	110.0000	58.47	6,431.39	5,804.14-	627.25
4/02/09	4/07/09	328390080	10.0000	55.58	555.84	527.65-	28.19



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
4/15/09	4/20/09	328390080	130.0000	49.55	6,441.81	6,859.44-	417.63-
4/16/09	4/21/09	328390080	50.0000	48.88	2,444.10	2,638.25-	194.15-
4/28/09	5/01/09	328390080	140.0000	49.83	6,975.66	7,387.09-	411.43-
5/06/09	5/11/09	328390080	90.0000	50.46	4,541.10	4,748.83-	207.73-

COMSTOCK RESOURCES INC
CUSIP 205768203

10/06/08	10/09/08	328390080	90.0000	35.93	3,233.92	7,249.91-	4,015.99-
6/23/09	6/26/09	328390080	200.0000	33.15	6,630.84	14,220.71-	7,589.87-
6/26/09	7/01/09	328390080	110.0000	33.19	3,650.40	7,821.38-	4,170.98-

CONCEPTUS INC
CUSIP 206016107

9/30/08	10/03/08	328390080	140.0000	16.65	2,330.78	2,545.46-	214.68-
10/01/08	10/06/08	328390080	110.0000	16.32	1,795.71	2,000.01-	204.30-
10/02/08	10/07/08	328390080	90.0000	16.25	1,462.78	1,636.37-	173.59-
10/03/08	10/08/08	328390080	80.0000	16.42	1,313.70	1,454.55-	140.85-
10/06/08	10/09/08	328390080	100.0000	14.67	1,466.76	1,818.19-	351.43-
10/07/08	10/10/08	328390080	136.0000	14.90	2,026.12	2,472.73-	446.61-
10/08/08	10/14/08	328390080	146.0000	13.75	2,007.49	2,654.55-	647.06-
10/09/08	10/15/08	328390080	60.0000	13.69	821.43	1,090.91-	269.48-
10/20/08	10/23/08	328390080	140.0000	12.26	1,716.26	2,545.47-	829.21-
10/21/08	10/24/08	328390080	280.0000	12.12	3,394.31	5,090.92-	1,696.61-
10/27/08	10/30/08	328390080	248.0000	9.62	2,384.60	4,509.10-	2,124.50-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
10/28/08	10/31/08	328390080	300.0000	9.15	2,744.38	5,454.55-	2,710.17-
CONCHO RES INC CUSIP 20605P101							
6/12/09	6/17/09	328390080	30.0000	32.06	961.70	812.95-	148.75
6/17/09	6/22/09	328390080	140.0000	29.27	4,097.40	3,793.76-	303.64
6/18/09	6/23/09	328390080	160.0000	28.93	4,628.55	4,335.73-	292.82
6/19/09	6/24/09	328390080	80.0000	29.20	2,335.78	2,167.87-	167.91
6/25/09	6/30/09	328390080	90.0000	28.39	2,554.77	2,438.84-	115.93
DATA DOMAIN INC CUSIP 23767P109							
1/13/09	1/16/09	328390080	44.0000	18.96	834.23	1,077.68-	243.45-
1/14/09	1/20/09	328390080	90.0000	18.55	1,669.44	2,204.33-	534.89-
4/01/09	4/06/09	328390080	49.0000	12.15	595.59	1,200.14-	604.55-
5/22/09	5/28/09	328390080	380.0000	24.13	9,169.58	7,915.25-	1,254.33
5/26/09	5/29/09	328390080	387.0000	24.71	9,562.29	8,061.06-	1,501.23
DECKERS OUTDOOR CORP CUSIP 243537107							
2/26/09	3/03/09	328390080	80.0000	54.97	4,397.95	5,990.87-	1,592.92-
DIAMOND FOODS INC CUSIP 252603105							
10/21/08	10/24/08	328390080	130.0000	29.36	3,816.90	2,634.27-	1,182.63
10/22/08	10/27/08	328390080	46.0000	28.99	1,333.69	932.12-	401.57
10/23/08	10/28/08	328390080	140.0000	28.70	4,017.58	2,836.90-	1,180.68



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
10/31/08	11/05/08	328390080	20.0000	29.28	585.68	405.27-	180.41
3/31/09	4/03/09	328390080	100.0000	27.94	2,794.22	2,059.09-	735.13
4/01/09	4/06/09	328390080	20.0000	27.53	550.70	411.82-	138.88
4/02/09	4/07/09	328390080	70.0000	28.65	2,005.75	1,441.36-	564.39
4/03/09	4/08/09	328390080	110.0000	28.43	3,127.14	2,265.00-	862.14
4/06/09	4/09/09	328390080	210.0000	28.31	5,944.30	4,324.09-	1,620.21
4/07/09	4/13/09	328390080	50.0000	27.80	1,390.08	1,029.54-	360.54
5/07/09	5/12/09	328390080	100.0000	26.20	2,619.74	2,059.09-	560.65
5/29/09	6/03/09	328390080	58.0000	29.24	1,696.09	1,194.27-	501.82
6/12/09	6/17/09	328390080	38.0000	27.96	1,062.45	782.45-	280.00
6/16/09	6/19/09	328390080	20.0000	27.08	541.67	411.82-	129.85
6/17/09	6/22/09	328390080	20.0000	26.68	533.51	411.82-	121.69
9/18/09	9/23/09	328390080	28.0000	29.71	831.98	576.54-	255.44
DIGITALGLOBE INC CUSIP 25389M877							
6/09/09	6/12/09	328390080	54.0000	17.95	969.12	1,055.40-	86.28-
6/10/09	6/15/09	328390080	130.0000	18.00	2,339.49	2,540.78-	201.29-
8/05/09	8/10/09	328390080	100.0000	18.10	1,809.94	1,948.51-	138.57-
9/23/09	9/28/09	328390080	303.0000	22.30	6,756.27	5,903.97-	852.30
DIODES INC CUSIP 254543101							
9/21/09	9/24/09	328390080	150.0000	20.61	3,091.57	2,697.57-	394.00



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
9/22/09	9/25/09	328390080	211.0000	19.60	4,135.85	3,794.60-	341.25
DYNAMIC MATLS CORP CUSIP 267888105							
7/23/09	7/28/09	328390080	120.0000	17.12	2,054.80	2,389.97-	335.17-
7/24/09	7/29/09	328390080	160.0000	16.96	2,714.27	3,186.63-	472.36-
7/27/09	7/30/09	328390080	10.0000	16.95	169.55	199.17-	29.62-
7/28/09	7/31/09	328390080	100.0000	16.95	1,694.95	1,991.64-	296.69-
7/30/09	8/04/09	328390080	200.0000	16.63	3,326.53	3,983.28-	656.75-
EBIX INC CUSIP 278715206							
7/21/09	7/24/09	328390080	11.0000	37.23	409.55	333.23-	76.32
ECLIPSYS CORP CUSIP 278856109							
1/21/09	1/26/09	328390080	690.0000	11.26	7,766.66	15,652.97-	7,886.31-
1/22/09	1/27/09	328390080	898.0000	8.03	7,206.67	20,371.54-	13,164.87-
ENERGY CONVERSION DEVICES INC CUSIP 292659109							
5/07/09	5/12/09	328390080	160.0000	15.89	2,542.15	8,561.83-	6,019.68-
5/08/09	5/13/09	328390080	130.0000	15.99	2,078.81	6,956.49-	4,877.68-
EXCO RESOURCES INC CUSIP 269279402							
10/03/08	10/08/08	328390080	150.0000	11.64	1,746.41	2,858.85-	1,112.44-
10/06/08	10/09/08	328390080	120.0000	9.61	1,153.51	2,287.08-	1,133.57-
4/09/09	4/15/09	328390080	320.0000	11.47	3,669.72	5,602.06-	1,932.34-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
6/15/09	6/18/09	328390080	153.0000	14.08	2,154.75	2,678.49-	523.74-
6/16/09	6/19/09	328390080	574.0000	13.34	7,657.42	10,048.70-	2,391.28-
F E I CO							
7/27/09	7/30/09	CUSIP 30241L109 328390080	39.0000	24.86	969.39	927.20-	42.19
7/28/09	7/31/09	328390080	142.0000	24.33	3,454.95	3,375.93-	79.02
7/29/09	8/03/09	328390080	60.0000	24.12	1,447.44	1,426.46-	20.98
F T I CONSULTING INC							
11/12/08	11/17/08	CUSIP 302941109 328390080	41.0000	43.01	1,763.39	2,489.13-	725.74-
11/13/08	11/18/08	328390080	30.0000	41.97	1,259.23	1,821.31-	562.08-
2/24/09	2/27/09	328390080	161.0000	37.26	5,998.17	9,774.37-	3,776.20-
FACTSET RESH SYS INC							
11/26/08	12/02/08	CUSIP 303075105 328390080	110.0000	38.86	4,274.74	6,481.53-	2,206.79-
12/04/08	12/09/08	328390080	90.0000	37.93	3,413.36	5,303.07-	1,889.71-
12/05/08	12/10/08	328390080	80.0000	34.12	2,729.74	4,713.84-	1,984.10-
12/11/08	12/16/08	328390080	60.0000	38.64	2,318.12	3,535.37-	1,217.25-
FLIR SYSTEMS INC							
1/22/09	1/27/09	CUSIP 302445101 328390080	410.0000	27.81	11,400.84	12,123.43-	722.59-
6/03/09	6/08/09	328390080	270.0000	22.23	6,002.13	7,983.72-	1,981.59-
6/05/09	6/10/09	328390080	130.0000	24.26	3,154.04	3,844.01-	689.97-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
6/08/09	6/11/09	328390080	190.0000	23.93	4,545.97	5,618.17-	1,072.20-
6/11/09	6/16/09	328390080	160.0000	24.47	3,915.36	4,731.09-	815.73-
6/15/09	6/18/09	328390080	210.0000	24.29	5,101.87	6,209.58-	1,107.71-
GEN PROBE INC							
10/03/08	10/08/08	CUSIP 36866T103 328390080	240.0000	50.79	12,188.59	11,564.90-	623.69
10/07/08	10/10/08	328390080	120.0000	47.71	5,724.70	5,782.46-	57.76-
10/08/08	10/14/08	328390080	10.0000	47.32	473.20	481.87-	8.67-
10/20/08	10/23/08	328390080	80.0000	44.39	3,551.50	3,854.97-	303.47-
10/31/08	11/05/08	328390080	42.0000	46.99	1,973.56	2,023.85-	50.29-
11/03/08	11/06/08	328390080	30.0000	47.01	1,410.27	1,445.61-	35.34-
12/12/08	12/17/08	328390080	107.0000	38.47	4,116.19	5,156.03-	1,039.84-
GENOMIC HEALTH INC							
6/02/09	6/05/09	CUSIP 37244C101 328390080	227.0000	18.79	4,266.20	4,934.00-	667.80-
GREIF INC CL A							
12/08/08	12/11/08	CUSIP 397624107 328390080	140.0000	28.15	3,941.39	8,749.06-	4,807.67-
12/10/08	12/15/08	328390080	149.0000	29.67	4,420.53	9,311.49-	4,890.96-
12/11/08	12/16/08	328390080	60.0000	30.91	1,854.52	3,749.59-	1,895.07-
GSI COMMERCE INC							
1/02/09	1/07/09	CUSIP 36238G102 328390080	60.0000	10.31	618.73	829.90-	211.17-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
2/10/09	2/13/09	328390080	350.0000	9.96	3,484.34	4,841.06-	1,356.72-
8/21/09	8/26/09	328390080	90.0000	17.07	1,536.67	1,244.84-	291.83

H C C INS HLDGS INC
CUSIP 404132102

5/05/09	5/08/09	328390080	210.0000	24.41	5,125.33	5,060.01-	65.32
9/16/09	9/21/09	328390080	236.0000	28.38	6,697.43	5,686.48-	1,010.95
9/17/09	9/22/09	328390080	50.0000	28.32	1,415.96	1,204.76-	211.20
9/18/09	9/23/09	328390080	247.0000	27.80	6,866.07	5,951.54-	914.53
9/21/09	9/24/09	328390080	170.0000	27.66	4,702.75	4,096.19-	606.56
9/22/09	9/25/09	328390080	225.0000	27.59	6,207.45	5,421.43-	786.02

HAEMONETICS CORP
CUSIP 405024100

1/05/09	1/08/09	328390080	60.0000	54.81	3,288.47	3,510.02-	221.55-
4/01/09	4/06/09	328390080	120.0000	55.49	6,659.11	7,020.05-	360.94-
4/06/09	4/09/09	328390080	80.0000	50.46	4,036.43	4,680.03-	643.60-
4/16/09	4/21/09	328390080	90.0000	51.13	4,601.87	5,265.04-	663.17-
5/07/09	5/12/09	328390080	40.0000	50.84	2,033.71	2,340.02-	306.31-
7/30/09	8/04/09	328390080	33.0000	59.13	1,951.33	1,867.38-	83.95
7/31/09	8/05/09	328390080	40.0000	59.02	2,360.72	2,263.48-	97.24
8/05/09	8/10/09	328390080	70.0000	55.02	3,851.50	3,961.12-	109.62-

HARMONIC INC
CUSIP 413160102

10/27/08	10/30/08	328390080	1,390.0000	6.56	9,117.23	13,943.97-	4,826.74-
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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
6/08/09	6/11/09	328390080	354.0000	5.84	2,069.03	3,551.20-	1,482.17-
6/09/09	6/12/09	328390080	200.0000	6.07	1,213.20	2,006.33-	793.13-
7/07/09	7/10/09	328390080	447.0000	5.54	2,474.92	4,484.15-	2,009.23-
7/08/09	7/13/09	328390080	390.0000	5.32	2,073.22	3,912.34-	1,839.12-
7/22/09	7/27/09	328390080	480.0000	5.86	2,814.45	4,815.19-	2,000.74-
7/23/09	7/28/09	328390080	400.0000	5.89	2,357.13	4,012.66-	1,655.53-
7/24/09	7/29/09	328390080	440.0000	5.95	2,619.56	4,413.93-	1,794.37-
7/27/09	7/30/09	328390080	454.0000	6.07	2,755.79	4,554.38-	1,798.59-
HITTITE MICROWAVE CORP CUSIP 43365Y104							
9/16/09	9/21/09	328390080	100.0000	37.14	3,713.70	3,049.55-	664.15
HORNBECK OFFSHORE SERVICES CUSIP 440543106							
10/06/08	10/09/08	328390080	110.0000	29.90	3,288.80	4,512.75-	1,223.95-
2/18/09	2/23/09	328390080	280.0000	14.12	3,952.25	10,758.02-	6,805.77-
3/10/09	3/13/09	328390080	354.0000	11.32	4,007.71	13,601.22-	9,593.51-
I B E R I A B A N K CORPORATION CUSIP 450828108							
4/01/09	4/06/09	328390080	30.0000	47.03	1,410.85	1,307.76-	103.09
4/15/09	4/20/09	328390080	90.0000	49.28	4,435.33	3,923.28-	512.05
5/07/09	5/12/09	328390080	33.0000	49.01	1,617.41	1,438.54-	178.87
5/08/09	5/13/09	328390080	110.0000	49.00	5,390.06	4,795.12-	594.94
6/23/09	6/26/09	328390080	20.0000	42.29	845.87	871.84-	25.97-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
ICONIX BRAND GROUP INC							
CUSIP 451055107							
5/04/09	5/07/09	328390080	270.0000	14.97	4,041.52	4,061.27-	19.75-
8/06/09	8/11/09	328390080	120.0000	17.14	2,056.83	1,805.00-	251.83
8/07/09	8/12/09	328390080	220.0000	17.29	3,804.54	3,309.18-	495.36
8/21/09	8/26/09	328390080	80.0000	17.37	1,389.59	1,203.34-	186.25
II-VI INC							
CUSIP 902104108							
10/02/08	10/07/08	328390080	20.0000	35.06	701.18	446.74-	254.44
12/03/08	12/08/08	328390080	40.0000	15.57	622.80	893.49-	270.69-
12/16/08	12/19/08	328390080	32.0000	18.03	576.89	714.79-	137.90-
12/17/08	12/22/08	328390080	100.0000	18.05	1,805.02	2,233.72-	428.70-
12/18/08	12/23/08	328390080	10.0000	17.98	179.81	223.37-	43.56-
12/26/08	12/31/08	328390080	137.0000	17.99	2,464.64	3,060.20-	595.56-
12/29/08	1/02/09	328390080	150.0000	17.95	2,692.93	3,350.59-	657.66-
4/20/09	4/23/09	328390080	30.0000	20.48	614.31	670.12-	55.81-
4/21/09	4/24/09	328390080	131.0000	20.06	2,627.76	2,926.18-	298.42-
7/14/09	7/17/09	328390080	50.0000	19.78	989.19	1,116.86-	127.67-
7/15/09	7/20/09	328390080	100.0000	20.69	2,069.39	2,233.72-	164.33-



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7/16/09	7/21/09	328390080	40.0000	20.99	839.55	893.49-	53.94-
7/17/09	7/22/09	328390080	60.0000	20.43	1,225.51	1,340.23-	114.72-
7/20/09	7/23/09	328390080	20.0000	20.47	409.41	446.75-	37.34-
7/27/09	7/30/09	328390080	100.0000	22.31	2,230.79	2,233.72-	2.93-
7/28/09	7/31/09	328390080	120.0000	22.55	2,706.13	2,680.47-	25.66
8/19/09	8/24/09	328390080	61.0000	23.79	1,451.35	1,362.57-	88.78
8/20/09	8/25/09	328390080	140.0000	23.98	3,357.18	3,127.20-	229.98
8/21/09	8/26/09	328390080	144.0000	24.03	3,460.73	3,216.56-	244.17
8/24/09	8/27/09	328390080	30.0000	23.80	714.09	670.12-	43.97
8/25/09	8/28/09	328390080	120.0000	23.64	2,836.90	2,680.48-	156.42
8/26/09	8/31/09	328390080	121.0000	24.29	2,939.66	2,702.81-	236.85
ILLUMINA INC							
2/03/09	2/06/09	CUSIP 452327109 328390080	180.0000	27.75	4,994.72	5,792.34-	797.62-
5/05/09	5/08/09	328390080	70.0000	36.91	2,584.00	2,252.58-	331.42
7/17/09	7/22/09	328390080	40.0000	31.77	1,270.70	1,287.19-	16.49-
9/11/09	9/16/09	328390080	170.0000	37.49	6,373.93	5,470.56-	903.37
9/15/09	9/18/09	328390080	120.0000	36.97	4,436.06	3,861.57-	574.49
9/16/09	9/21/09	328390080	80.0000	37.42	2,993.50	2,574.38-	419.12
9/17/09	9/22/09	328390080	120.0000	38.28	4,593.02	3,861.57-	731.45

IMMUCOR INC
CUSIP 452526106



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1/27/09	1/30/09	328390080	50.0000	28.09	1,404.64	1,539.93-	135.29-
3/10/09	3/13/09	328390080	370.0000	21.23	7,856.31	11,395.51-	3,539.20-
4/03/09	4/08/09	328390080	120.0000	24.05	2,885.75	3,695.83-	810.08-
4/06/09	4/09/09	328390080	90.0000	23.81	2,142.72	2,771.88-	629.16-
4/24/09	4/29/09	328390080	360.0000	15.90	5,722.48	11,087.51-	5,365.03-
5/05/09	5/08/09	328390080	396.0000	15.64	6,191.85	12,196.30-	6,004.45-

INTERNET CAPITAL GROUP INC
CUSIP 46059C205

10/31/08	11/05/08	328390080	150.0000	5.60	840.14	1,638.64-	798.50-
11/17/08	11/20/08	328390080	50.0000	4.22	211.23	546.21-	334.98-
11/18/08	11/21/08	328390080	60.0000	4.04	242.65	655.45-	412.80-
11/19/08	11/24/08	328390080	20.0000	3.93	78.59	218.49-	139.90-
11/20/08	11/25/08	328390080	20.0000	3.47	69.50	218.48-	148.98-
3/25/09	3/30/09	328390080	360.0000	3.91	1,408.99	3,932.73-	2,523.74-
3/26/09	3/31/09	328390080	150.0000	4.10	614.84	1,638.63-	1,023.79-
4/01/09	4/06/09	328390080	42.0000	4.10	172.39	458.82-	286.43-
4/02/09	4/07/09	328390080	50.0000	4.46	223.03	546.20-	323.17-

INVENTIV HEALTH INC
CUSIP 46122E105

11/07/08	11/13/08	328390080	133.0000	12.03	1,599.67	2,695.72-	1,096.05-
11/10/08	11/14/08	328390080	234.0000	12.13	2,838.28	4,742.83-	1,904.55-
11/11/08	11/14/08	328390080	34.0000	11.68	397.26	689.13-	291.87-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
11/12/08	11/17/08	328390080	35.0000	11.27	394.52	709.40-	314.88-
11/13/08	11/18/08	328390080	300.0000	11.68	3,502.69	6,080.56-	2,577.87-
12/17/08	12/22/08	328390080	242.0000	11.66	2,821.36	4,904.98-	2,083.62-
12/18/08	12/23/08	328390080	96.0000	11.59	1,112.72	1,945.77-	833.05-
12/19/08	12/24/08	328390080	61.0000	11.65	710.47	1,236.38-	525.91-

ITC HLDGS CORP
CUSIP 465685105

6/15/09	6/18/09	328390080	20.0000	43.95	878.97	817.67-	61.30
6/17/09	6/22/09	328390080	90.0000	43.55	3,919.80	3,679.54-	240.26
9/04/09	9/10/09	328390080	100.0000	45.46	4,545.88	4,088.38-	457.50
9/14/09	9/17/09	328390080	90.0000	46.00	4,140.11	3,679.53-	460.58
9/15/09	9/18/09	328390080	70.0000	45.88	3,211.62	2,861.85-	349.77

ITRON INC
CUSIP 465741106

10/14/08	10/17/08	328390080	60.0000	76.55	4,593.13	5,277.93-	684.80-
10/22/08	10/27/08	328390080	20.0000	53.41	1,068.13	1,759.30-	691.17-
2/09/09	2/12/09	328390080	60.0000	59.13	3,547.58	4,651.26-	1,103.68-
3/25/09	3/30/09	328390080	110.0000	46.74	5,141.91	8,527.31-	3,385.40-
3/26/09	3/31/09	328390080	115.0000	47.00	5,404.47	8,914.91-	3,510.44-

IXIA
CUSIP 45071R109

4/16/09	4/21/09	328390080	100.0000	5.90	590.27	861.43-	271.16-
4/17/09	4/22/09	328390080	200.0000	6.01	1,201.98	1,722.87-	520.89-



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4/20/09	4/23/09	328390080	30.0000	5.86	175.74	258.43-	82.69-
4/21/09	4/24/09	328390080	45.0000	5.86	263.82	387.64-	123.82-
5/08/09	5/13/09	328390080	150.0000	5.41	811.88	1,292.15-	480.27-
5/11/09	5/14/09	328390080	49.0000	5.37	262.91	422.10-	159.19-
5/12/09	5/15/09	328390080	90.0000	5.49	494.20	775.29-	281.09-
5/15/09	5/20/09	328390080	130.0000	5.72	743.33	1,119.86-	376.53-
5/18/09	5/21/09	328390080	41.0000	5.73	235.13	353.19-	118.06-
5/19/09	5/22/09	328390080	38.0000	5.85	222.41	327.34-	104.93-
5/20/09	5/26/09	328390080	90.0000	5.75	517.16	775.29-	258.13-
5/22/09	5/28/09	328390080	60.0000	5.73	343.85	516.86-	173.01-
5/26/09	5/29/09	328390080	70.0000	5.75	402.77	603.00-	200.23-
6/11/09	6/16/09	328390080	60.0000	6.31	378.64	516.86-	138.22-
7/22/09	7/27/09	328390080	49.0000	7.21	353.27	422.10-	68.83-
7/23/09	7/28/09	328390080	300.0000	7.49	2,248.11	2,584.30-	336.19-
7/27/09	7/30/09	328390080	150.0000	7.51	1,125.84	1,292.16-	166.32-
7/28/09	7/31/09	328390080	220.0000	7.54	1,657.98	1,895.15-	237.17-
8/03/09	8/06/09	328390080	60.0000	7.30	438.09	516.86-	78.77-
8/04/09	8/07/09	328390080	90.0000	7.40	666.38	775.29-	108.91-
8/05/09	8/10/09	328390080	60.0000	7.27	435.93	516.86-	80.93-
8/06/09	8/11/09	328390080	10.0000	7.01	70.09	86.14-	16.05-
8/07/09	8/12/09	328390080	270.0000	6.98	1,885.52	2,325.88-	440.36-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
8/10/09	8/13/09	328390080	60.0000	6.84	410.17	516.86-	106.69-
8/11/09	8/14/09	328390080	60.0000	6.70	401.99	516.86-	114.87-
8/12/09	8/17/09	328390080	109.0000	6.70	730.74	938.96-	208.22-
8/13/09	8/18/09	328390080	85.0000	6.59	560.36	732.22-	171.86-
8/14/09	8/19/09	328390080	16.0000	6.51	104.09	137.83-	33.74-
8/18/09	8/21/09	328390080	64.0000	6.32	404.54	551.31-	146.77-
8/19/09	8/24/09	328390080	40.0000	6.25	250.12	344.57-	94.45-
8/20/09	8/25/09	328390080	73.0000	6.28	458.36	628.85-	170.49-
8/21/09	8/26/09	328390080	90.0000	6.23	560.29	775.28-	214.99-

JACK IN THE BOX INC
CUSIP 466367109

7/30/09	8/04/09	328390080	130.0000	21.85	2,840.39	3,132.19-	291.80-
8/05/09	8/10/09	328390080	100.0000	22.15	2,214.52	2,409.37-	194.85-
8/06/09	8/11/09	328390080	220.0000	22.30	4,906.09	5,300.63-	394.54-
8/06/09	8/11/09	328390080	54.0000	22.12	1,194.55	1,301.06-	106.51-
8/07/09	8/12/09	328390080	51.0000	21.99	1,121.74	1,228.78-	107.04-
8/10/09	8/13/09	328390080	81.0000	21.85	1,769.66	1,951.60-	181.94-

JAMES RIV COAL CO
CUSIP 47035207

12/03/08	12/08/08	328390080	200.0000	9.17	1,833.50	3,461.54-	1,628.04-
12/04/08	12/09/08	328390080	230.0000	7.52	1,728.67	3,980.76-	2,252.09-
12/05/08	12/10/08	328390080	234.0000	6.78	1,585.41	4,050.00-	2,464.59-



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JARDEN CORP							
9/21/09	9/24/09	CUSIP 471109108 328390080	270.0000	26.40	7,127.65	4,273.49-	2,854.16
9/25/09	9/30/09	328390080	137.0000	26.27	3,598.88	2,168.40-	1,430.48
J2 GLOBAL COMMUNICATIONS INC							
7/06/09	7/09/09	CUSIP 46626E205 328390080	250.0000	20.35	5,086.69	5,445.64-	358.95-
7/21/09	7/24/09	328390080	110.0000	23.45	2,579.31	2,396.08-	183.23
7/22/09	7/27/09	328390080	120.0000	23.56	2,827.43	2,613.91-	213.52
7/23/09	7/28/09	328390080	108.0000	23.86	2,576.44	2,352.51-	223.93
KENDLE INTL INC							
10/28/08	10/31/08	CUSIP 48880L107 328390080	150.0000	19.90	2,985.67	6,111.25-	3,125.58-
1/05/09	1/08/09	328390080	150.0000	23.49	3,523.86	6,111.25-	2,587.39-
1/09/09	1/14/09	328390080	10.0000	20.89	208.91	407.42-	198.51-
1/12/09	1/15/09	328390080	300.0000	20.06	6,017.15	12,222.49-	6,205.34-
1/16/09	1/22/09	328390080	149.0000	17.74	2,643.75	6,070.51-	3,426.76-
1/21/09	1/26/09	328390080	70.0000	17.86	1,249.90	2,851.92-	1,602.02-
1/23/09	1/28/09	328390080	130.0000	17.87	2,322.91	5,296.41-	2,973.50-
2/26/09	3/03/09	328390080	160.0000	19.25	3,080.17	6,518.66-	3,438.49-
2/27/09	3/04/09	328390080	153.0000	18.60	2,846.01	6,233.48-	3,387.47-
KENNAMETAL INC							
CUSIP 489170100							



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12/12/08	12/17/08	328390080	130.0000	19.23	2,499.30	3,442.13-	942.83-
2/26/09	3/03/09	328390080	120.0000	16.94	2,033.31	3,177.35-	1,144.04-
3/20/09	3/25/09	328390080	30.0000	15.28	458.41	794.34-	335.93-
6/23/09	6/26/09	328390080	344.0000	17.31	5,953.38	9,108.40-	3,155.02-
6/24/09	6/29/09	328390080	80.0000	17.66	1,412.82	2,118.23-	705.41-
6/25/09	6/30/09	328390080	496.0000	18.04	8,949.48	13,133.05-	4,183.57-
KOPPERS HLDGS INC CUSIP 50060P106							
11/24/08	11/28/08	328390080	40.0000	17.26	690.45	1,696.31-	1,005.86-
11/25/08	12/01/08	328390080	280.0000	17.15	4,803.09	11,874.16-	7,071.07-
2/26/09	3/03/09	328390080	200.0000	13.74	2,748.84	8,481.55-	5,732.71-
3/09/09	3/12/09	328390080	154.0000	9.44	1,453.09	6,530.80-	5,077.71-
3/10/09	3/13/09	328390080	137.0000	9.63	1,318.99	5,809.85-	4,490.86-
LAWSON SOFTWARE INC CUSIP 52078P102							
10/03/08	10/08/08	328390080	910.0000	6.38	5,806.94	7,616.06-	1,809.12-
10/21/08	10/24/08	328390080	960.0000	5.24	5,035.07	8,034.52-	2,999.45-
10/23/08	10/28/08	328390080	930.0000	5.10	4,742.78	7,783.44-	3,040.66-
LUMINEX CORP CUSIP 55027E102							
12/11/08	12/16/08	328390080	130.0000	23.36	3,037.24	2,633.42-	403.82
12/12/08	12/17/08	328390080	20.0000	20.88	417.55	405.14-	12.41
12/15/08	12/18/08	328390080	60.0000	20.15	1,208.85	1,215.43-	6.58-



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12/19/08	12/24/08	328390080	40.0000	21.00	840.18	810.28-	29.90
1/02/09	1/07/09	328390080	90.0000	21.43	1,928.29	1,823.14-	105.15
1/05/09	1/08/09	328390080	120.0000	21.08	2,529.81	2,430.85-	98.96
1/08/09	1/13/09	328390080	160.0000	18.17	2,907.31	3,241.14-	333.83-
4/09/09	4/15/09	328390080	235.0000	17.28	4,060.24	4,760.42-	700.18-
MARINER ENERGY INC CUSIP 56845T305							
12/04/08	12/09/08	328390080	43.0000	7.96	342.48	761.17-	418.69-
12/05/08	12/10/08	328390080	28.0000	7.45	208.72	495.65-	286.93-
7/31/09	8/05/09	328390080	420.0000	11.59	4,869.22	5,578.16-	708.94-
9/02/09	9/08/09	328390080	560.0000	11.94	6,684.93	7,437.52-	752.59-
MARTEK BIOSCIENCES CORP CUSIP 572901106							
4/03/09	4/08/09	328390080	210.0000	17.93	3,764.64	6,711.40-	2,946.76-
4/06/09	4/09/09	328390080	190.0000	17.96	3,411.89	6,072.22-	2,660.33-
4/16/09	4/21/09	328390080	40.0000	18.47	738.90	1,278.36-	539.46-
4/23/09	4/28/09	328390080	110.0000	17.06	1,876.94	3,515.50-	1,638.56-
5/07/09	5/12/09	328390080	140.0000	19.40	2,716.13	4,474.27-	1,758.14-
MARVEL ENTERTAINMENT INC CUSIP 57383T103							
2/09/09	2/12/09	328390080	30.0000	26.68	800.50	713.84-	86.66
5/05/09	5/08/09	328390080	270.0000	32.73	8,838.19	6,424.59-	2,413.60
6/17/09	6/22/09	328390080	120.0000	36.07	4,328.65	2,855.37-	1,473.28



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6/26/09	7/01/09	328390080	27.0000	35.79	966.35	642.46-	323.89
7/27/09	7/30/09	328390080	150.0000	39.02	5,853.68	3,569.22-	2,284.46
9/01/09	9/04/09	328390080	530.0000	48.45	25,677.83	12,611.23-	13,066.60
9/08/09	9/11/09	328390080	230.0000	48.08	11,058.11	5,472.81-	5,585.30
9/10/09	9/15/09	328390080	180.0000	48.84	8,791.67	4,283.05-	4,508.62
9/15/09	9/18/09	328390080	120.0000	49.57	5,948.70	2,855.38-	3,093.32
9/17/09	9/22/09	328390080	183.0000	49.85	9,122.88	4,354.43-	4,768.45

MASIMO CORP
CUSIP 574795100

1/02/09	1/07/09	328390080	270.0000	29.48	7,959.52	8,546.91-	587.39-
2/26/09	3/03/09	328390080	50.0000	26.86	1,343.03	1,582.76-	239.73-
2/27/09	3/04/09	328390080	140.0000	26.32	3,684.88	4,431.73-	746.85-
4/03/09	4/08/09	328390080	80.0000	29.85	2,387.95	2,532.41-	144.46-
4/06/09	4/09/09	328390080	180.0000	29.61	5,329.19	5,697.94-	368.75-
4/07/09	4/13/09	328390080	10.0000	29.50	294.98	316.55-	21.57-
6/12/09	6/17/09	328390080	190.0000	25.84	4,910.50	6,014.49-	1,103.99-
6/16/09	6/19/09	328390080	153.0000	24.77	3,790.02	4,843.25-	1,053.23-

MEDAREX INC
CUSIP 583916101

1/07/09	1/12/09	328390080	1,080.0000	5.15	5,556.88	9,788.79-	4,231.91-
1/13/09	1/16/09	328390080	431.0000	5.47	2,355.87	3,906.45-	1,550.58-
1/14/09	1/20/09	328390080	80.0000	5.37	429.47	725.09-	295.62-



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1/16/09	1/22/09	328390080	616.0000	5.32	3,278.76	5,583.25-	2,304.49-
7/27/09	7/30/09	328390080	200.0000	15.85	3,170.91	1,626.36-	1,544.55
7/29/09	8/03/09	328390080	429.0000	15.86	6,805.91	3,488.56-	3,317.35

MET PRO CORP
CUSIP 590876306

2/26/09	3/03/09	328390080	19.0000	7.18	136.50	211.81-	75.31-
3/05/09	3/10/09	328390080	110.0000	6.51	715.86	1,226.29-	510.43-
3/06/09	3/11/09	328390080	97.0000	6.38	618.55	1,081.37-	462.82-
3/09/09	3/12/09	328390080	145.0000	6.28	910.67	1,616.48-	705.81-
3/10/09	3/13/09	328390080	179.0000	6.44	1,152.78	1,995.51-	842.73-

MICROS SYS INC
CUSIP 594901100

12/04/08	12/09/08	328390080	30.0000	15.98	479.31	898.46-	419.15-
1/27/09	1/30/09	328390080	160.0000	14.98	2,396.86	4,791.78-	2,394.92-
1/28/09	2/02/09	328390080	10.0000	14.96	149.56	299.49-	149.93-
2/05/09	2/10/09	328390080	130.0000	15.23	1,980.41	3,893.32-	1,912.91-
8/31/09	9/03/09	328390080	150.0000	28.01	4,201.92	4,270.11-	68.19-
9/15/09	9/18/09	328390080	160.0000	29.68	4,749.37	4,554.78-	194.59

MICROSEMI CORP
CUSIP 595137100

12/12/08	12/17/08	328390080	150.0000	13.65	2,048.07	3,441.54-	1,393.47-
1/23/09	1/28/09	328390080	234.0000	8.08	1,891.52	5,368.79-	3,477.27-



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MONOLITHIC PWR SYS INC CUSIP 609839105							
3/20/09	3/25/09	328390080	300.0000	15.42	4,627.47	4,868.55-	241.08-
3/23/09	3/26/09	328390080	80.0000	15.99	1,278.93	1,298.28-	19.35-
3/25/09	3/30/09	328390080	110.0000	15.08	1,659.14	1,785.14-	126.00-
3/26/09	3/31/09	328390080	120.0000	15.61	1,872.66	1,947.43-	74.77-
7/20/09	7/23/09	328390080	133.0000	23.45	3,118.40	2,212.26-	906.14
7/21/09	7/24/09	328390080	50.0000	23.15	1,157.65	831.68-	325.97
MYR GROUP INC DE CUSIP 55405W104							
11/14/08	11/19/08	328390080	180.0000	6.87	1,236.48	2,623.24-	1,386.76-
MYRIAD GENETICS INC CUSIP 62855J104							
10/02/08	10/07/08	328390080	110.0000	64.86	7,135.08	5,717.03-	1,418.05
10/29/08	11/03/08	328390080	130.0000	57.67	7,496.80	6,756.49-	740.31
4/23/09	4/28/09	328390080	39.0000	37.54	1,464.05	1,065.16-	398.89
6/17/09	6/22/09	328390080	170.0000	35.75	6,078.07	4,642.98-	1,435.09
6/26/09	7/01/09	328390080	139.0000	36.20	5,031.44	3,675.77-	1,355.67
7/01/09	7/07/09	328390080	110.0000	26.79	2,947.11	2,908.88-	38.23
7/16/09	7/21/09	328390080	160.0000	25.13	4,021.51	4,231.09-	209.58-
8/17/09	8/20/09	328390080	203.0000	24.89	5,053.30	5,368.20-	314.90-
MYRIAD PHARMACEUTICALS INC CUSIP 62856H107							



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7/02/09	7/02/09	328390080	0.5000	4.00	2.00	1.73-	0.27
NATIONAL RETAIL PROPERTIES INC							
CUSIP 637417106							
11/25/08	12/01/08	328390080	250.0000	12.60	3,149.63	5,617.45-	2,467.82-
12/12/08	12/17/08	328390080	330.0000	14.04	4,632.64	7,415.03-	2,782.39-
3/09/09	3/12/09	328390080	350.0000	12.92	4,521.73	7,864.43-	3,342.70-
3/12/09	3/17/09	328390080	220.0000	14.24	3,133.26	4,943.35-	1,810.09-
6/29/09	7/02/09	328390080	350.0000	17.03	5,960.41	7,864.42-	1,904.01-
NAVIGATORS GROUP INC							
CUSIP 638904102							
2/12/09	2/18/09	328390080	40.0000	54.47	2,178.72	2,090.27-	88.45
2/13/09	2/19/09	328390080	100.0000	55.30	5,529.86	5,225.67-	304.19
8/10/09	8/13/09	328390080	10.0000	50.25	502.51	522.57-	20.06-
8/13/09	8/18/09	328390080	30.0000	50.57	1,516.97	1,567.70-	50.73-
NEUTRAL TANDEM INC							
CUSIP 64128B108							
2/26/09	3/03/09	328390080	36.0000	19.59	705.40	656.94-	48.46
3/17/09	3/20/09	328390080	420.0000	21.99	9,234.61	7,664.27-	1,570.34
4/02/09	4/07/09	328390080	47.0000	25.90	1,217.37	857.67-	359.70
5/06/09	5/11/09	328390080	26.0000	28.95	752.68	474.45-	278.23
5/07/09	5/12/09	328390080	31.0000	28.95	897.42	565.70-	331.72
5/08/09	5/13/09	328390080	48.0000	28.95	1,389.56	875.91-	513.65
5/28/09	6/02/09	328390080	37.0000	28.95	1,071.12	675.19-	395.93



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5/29/09	6/03/09	328390080	45.0000	28.81	1,296.28	821.17-	475.11
6/01/09	6/04/09	328390080	110.0000	29.69	3,266.16	2,007.30-	1,258.86
6/02/09	6/05/09	328390080	70.0000	31.64	2,214.71	1,277.38-	937.33
6/09/09	6/12/09	328390080	30.0000	30.37	911.04	547.45-	363.59
6/10/09	6/15/09	328390080	100.0000	28.85	2,884.76	1,824.83-	1,059.93
7/28/09	7/31/09	328390080	150.0000	30.00	4,500.72	2,737.24-	1,763.48
7/31/09	8/05/09	328390080	100.0000	31.05	3,105.39	1,824.82-	1,280.57
8/12/09	8/17/09	328390080	50.0000	27.43	1,371.71	912.41-	459.30
8/13/09	8/18/09	328390080	70.0000	27.00	1,890.15	1,277.38-	612.77
8/20/09	8/25/09	328390080	140.0000	24.81	3,473.74	2,554.75-	918.99
9/16/09	9/21/09	328390080	199.0000	23.89	4,754.95	3,631.41-	1,123.54
9/17/09	9/22/09	328390080	270.0000	23.08	6,231.73	4,927.03-	1,304.70
NUANCE COMMUNICATIONS INC CUSIP 67020Y100							
1/13/09	1/16/09	328390080	200.0000	9.37	1,874.16	3,788.96-	1,914.80-
3/17/09	3/20/09	328390080	650.0000	9.33	6,062.45	11,668.01-	5,605.56-
4/07/09	4/13/09	328390080	360.0000	11.66	4,196.05	6,462.27-	2,266.22-
8/05/09	8/10/09	328390080	520.0000	13.25	6,890.18	9,334.42-	2,444.24-
8/19/09	8/24/09	328390080	190.0000	12.02	2,283.74	3,410.65-	1,126.91-
8/21/09	8/26/09	328390080	230.0000	12.39	2,848.78	4,128.68-	1,279.90-

NUVASIVE INC
CUSIP 670704105



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11/19/08	11/24/08	328390080	80.0000	32.48	2,598.62	3,680.32-	1,081.70-
1/06/09	1/09/09	328390080	80.0000	34.11	2,729.09	3,680.32-	951.23-

OLD DOMINION FGHT LINE INC
CUSIP 679580100

10/02/08	10/07/08	328390080	36.0000	23.93	861.53	1,117.89-	256.36-
10/08/08	10/14/08	328390080	135.0000	24.95	3,368.34	4,192.08-	823.74-
12/31/08	1/06/09	328390080	80.0000	28.62	2,289.28	2,484.19-	194.91-
1/20/09	1/23/09	328390080	130.0000	21.62	2,810.39	4,036.82-	1,226.43-

OMNICELL INC
CUSIP 68213N109

10/07/08	10/10/08	328390080	35.0000	11.80	412.89	456.79-	43.90-
10/08/08	10/14/08	328390080	70.0000	11.56	809.52	913.57-	104.05-
10/14/08	10/17/08	328390080	60.0000	10.63	637.88	783.06-	145.18-
10/17/08	10/22/08	328390080	242.0000	9.46	2,290.41	3,158.36-	867.95-
10/20/08	10/23/08	328390080	241.0000	9.39	2,262.75	3,145.31-	882.56-
10/21/08	10/24/08	328390080	120.0000	9.26	1,110.66	1,566.13-	455.47-
10/22/08	10/27/08	328390080	251.0000	8.63	2,165.88	3,275.83-	1,109.95-
10/23/08	10/28/08	328390080	345.0000	8.33	2,875.07	4,502.61-	1,627.54-
10/24/08	10/29/08	328390080	98.0000	8.33	816.36	1,279.00-	462.64-

ONYX PHARMACEUTICALS INC
CUSIP 683399109

11/21/08	11/26/08	328390080	180.0000	23.55	4,239.85	7,242.59-	3,002.74-
2/11/09	2/17/09	328390080	100.0000	31.43	3,143.10	4,023.66-	880.56-



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2/20/09	2/25/09	328390080	110.0000	28.67	3,153.31	4,426.03-	1,272.72-
4/16/09	4/21/09	328390080	48.0000	25.42	1,220.34	1,931.35-	711.01-
4/28/09	5/01/09	328390080	122.0000	25.72	3,137.38	4,908.88-	1,771.50-
9/17/09	9/22/09	328390080	160.0000	34.75	5,559.72	5,458.29-	101.43

OSI PHARMACEUTICALS INC
CUSIP 671040103

2/10/09	2/13/09	328390080	138.0000	40.10	5,534.02	5,944.54-	410.52-
2/11/09	2/17/09	328390080	160.0000	40.62	6,498.74	6,892.22-	393.48-
2/13/09	2/19/09	328390080	130.0000	41.14	5,348.18	5,599.93-	251.75-
4/16/09	4/21/09	328390080	50.0000	33.96	1,698.22	2,023.47-	325.25-
5/05/09	5/08/09	328390080	100.0000	32.27	3,226.86	4,046.96-	820.10-
5/11/09	5/14/09	328390080	31.0000	33.17	1,028.27	1,254.56-	226.29-
6/01/09	6/04/09	328390080	240.0000	31.28	7,506.71	9,712.69-	2,205.98-
6/23/09	6/26/09	328390080	140.0000	27.47	3,845.17	5,665.75-	1,820.58-
6/24/09	6/29/09	328390080	220.0000	27.24	5,992.74	8,903.31-	2,910.57-
6/26/09	7/01/09	328390080	228.0000	29.00	6,612.78	9,227.06-	2,614.28-

OWENS & MINOR INC
CUSIP 690732102

7/21/09	7/24/09	328390080	60.0000	43.09	2,585.69	2,257.95-	327.74
8/05/09	8/10/09	328390080	140.0000	43.79	6,131.01	5,268.53-	862.48
9/23/09	9/28/09	328390080	120.0000	44.80	5,376.24	4,515.89-	860.35

P M C - SIERRA INC
CUSIP 69344F106



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5/01/09	5/06/09	328390080	420.0000	7.71	3,236.89	2,651.71-	585.18
5/04/09	5/07/09	328390080	440.0000	7.97	3,505.25	2,777.98-	727.27
5/08/09	5/13/09	328390080	320.0000	7.19	2,301.25	2,020.35-	280.90
5/18/09	5/21/09	328390080	319.0000	6.98	2,226.27	2,014.05-	212.22

PENN VA CORP
CUSIP 707882106
328390080

10/17/08	10/22/08	328390080	60.0000	31.97	1,918.34	2,888.24-	969.90-
1/15/09	1/21/09	328390080	130.0000	22.37	2,907.74	6,257.86-	3,350.12-
3/06/09	3/11/09	328390080	22.0000	9.95	219.00	1,059.02-	840.02-
3/09/09	3/12/09	328390080	19.0000	9.95	189.13	914.61-	725.48-
3/17/09	3/20/09	328390080	23.0000	9.70	223.20	1,107.16-	883.96-
3/18/09	3/23/09	328390080	25.0000	9.70	242.62	1,203.43-	960.81-
3/19/09	3/24/09	328390080	70.0000	9.96	696.89	3,369.62-	2,672.73-
3/31/09	4/03/09	328390080	157.0000	11.36	1,783.36	7,557.57-	5,774.21-
4/01/09	4/06/09	328390080	178.0000	11.37	2,024.59	8,568.44-	6,543.85-

PERRIGO CO
CUSIP 714290103
328390080

11/19/08	11/24/08	328390080	37.0000	33.39	1,235.49	1,269.87-	34.38-
11/24/08	11/28/08	328390080	40.0000	32.95	1,318.00	1,372.83-	54.83-
11/25/08	12/01/08	328390080	200.0000	33.01	6,602.18	6,864.16-	261.98-
1/05/09	1/08/09	328390080	100.0000	31.12	3,111.71	3,432.07-	320.36-
2/03/09	2/06/09	328390080	210.0000	23.30	4,893.60	7,207.37-	2,313.77-



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4/02/09	4/07/09	328390080	190.0000	24.34	4,625.44	6,520.94-	1,895.50-
4/03/09	4/08/09	328390080	200.0000	23.61	4,722.13	6,864.15-	2,142.02-
4/27/09	4/30/09	328390080	40.0000	25.79	1,031.53	1,372.83-	341.30-
4/28/09	5/01/09	328390080	160.0000	25.45	4,071.89	5,491.32-	1,419.43-
6/08/09	6/11/09	328390080	120.0000	26.19	3,143.18	4,118.49-	975.31-
6/10/09	6/15/09	328390080	153.0000	25.72	3,935.55	5,251.08-	1,315.53-
PHASE FORWARD INC CUSIP 71721R406							
10/28/08	10/31/08	328390080	300.0000	11.82	3,546.25	5,350.29-	1,804.04-
1/26/09	1/29/09	328390080	215.0000	13.74	2,953.73	3,834.36-	880.63-
1/27/09	1/30/09	328390080	300.0000	13.71	4,113.69	5,350.29-	1,236.60-
1/28/09	2/02/09	328390080	32.0000	13.91	445.17	570.70-	125.53-
1/29/09	2/03/09	328390080	44.0000	13.71	603.12	784.71-	181.59-
2/03/09	2/06/09	328390080	54.0000	13.37	721.76	963.04-	241.28-
2/05/09	2/10/09	328390080	36.0000	13.43	483.37	642.03-	158.66-
2/06/09	2/11/09	328390080	30.0000	12.78	383.29	535.03-	151.74-
3/10/09	3/13/09	328390080	240.0000	11.65	2,795.14	4,280.23-	1,485.09-
4/06/09	4/09/09	328390080	133.0000	13.20	1,755.39	2,371.95-	616.56-
4/07/09	4/13/09	328390080	40.0000	12.76	510.21	713.37-	203.16-
4/15/09	4/20/09	328390080	220.0000	12.70	2,795.02	3,923.55-	1,128.53-
4/16/09	4/21/09	328390080	30.0000	12.42	372.75	535.03-	162.28-
4/17/09	4/22/09	328390080	34.0000	12.45	423.34	606.36-	183.02-



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4/21/09	4/24/09	328390080	100.0000	11.10	1,109.88	1,783.43-	673.55-
4/22/09	4/27/09	328390080	370.0000	10.04	3,713.89	6,598.67-	2,884.78-
6/02/09	6/05/09	328390080	48.0000	14.20	681.64	856.05-	174.41-
8/17/09	8/20/09	328390080	486.0000	11.98	5,821.20	8,667.46-	2,846.26-
PONTIARD PHARMACEUT							
9/17/09	9/22/09	CUSIP 732449301 328390080	50.0000	7.30	365.15	376.03-	10.88-
9/21/09	9/24/09	328390080	210.0000	7.07	1,485.31	1,579.31-	94.00-
9/22/09	9/25/09	328390080	540.0000	7.19	3,884.06	4,061.10-	177.04-
PRIVATEBANCORP INC							
1/13/09	1/16/09	CUSIP 742962103 328390080	30.0000	24.19	725.78	986.23-	260.45-
2/24/09	2/27/09	328390080	26.0000	11.57	300.92	854.73-	553.81-
5/06/09	5/11/09	328390080	60.0000	23.97	1,438.48	1,972.45-	533.97-
7/27/09	7/30/09	328390080	160.0000	23.68	3,789.50	4,232.96-	443.46-
8/20/09	8/25/09	328390080	30.0000	25.63	769.03	793.68-	24.65-
8/26/09	8/31/09	328390080	140.0000	25.56	3,578.97	3,703.84-	124.87-
PROSPERITY BANCSHARES INC							
10/07/08	10/10/08	CUSIP 743606105 328390080	10.0000	33.94	339.40	283.40-	56.00
10/08/08	10/14/08	328390080	38.0000	33.01	1,254.54	1,076.92-	177.62
11/07/08	11/13/08	328390080	130.0000	31.64	4,113.21	3,684.21-	429.00
11/10/08	11/14/08	328390080	10.0000	32.86	328.57	283.40-	45.17



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11/11/08	11/14/08	328390080	80.0000	32.85	2,628.22	2,267.20-	361.02
12/05/08	12/10/08	328390080	10.0000	29.58	295.83	283.40-	12.43
12/17/08	12/22/08	328390080	140.0000	27.96	3,914.94	3,967.61-	52.67-
8/19/09	8/24/09	328390080	100.0000	35.32	3,531.76	2,857.14-	674.62
PSYCHIATRIC SOLUTIONS INC CUSIP 74439HI08							
12/09/08	12/12/08	328390080	130.0000	27.92	3,629.75	2,843.71-	786.04
12/16/08	12/19/08	328390080	100.0000	27.63	2,763.33	2,187.47-	575.86
12/19/08	12/24/08	328390080	170.0000	29.63	5,037.87	3,718.69-	1,319.18
12/30/08	1/05/09	328390080	160.0000	27.55	4,407.60	3,499.95-	907.65
1/02/09	1/07/09	328390080	170.0000	27.36	4,650.97	3,718.69-	932.28
1/12/09	1/15/09	328390080	280.0000	24.58	6,881.72	6,124.91-	756.81
1/27/09	1/30/09	328390080	150.0000	25.79	3,868.71	3,281.19-	587.52
2/25/09	3/02/09	328390080	130.0000	27.38	3,559.26	2,843.71-	715.55
2/26/09	3/03/09	328390080	200.0000	19.00	3,799.79	4,374.94-	575.15-
3/10/09	3/13/09	328390080	185.0000	13.37	2,473.39	4,046.80-	1,573.41-
QUALITY SYSTEMS INC CUSIP 747582104							
2/09/09	2/12/09	328390080	38.0000	36.81	1,398.89	1,298.91-	99.98
3/24/09	3/27/09	328390080	50.0000	46.55	2,327.45	1,709.09-	618.36
3/27/09	4/01/09	328390080	30.0000	47.41	1,422.20	1,025.46-	396.74
4/02/09	4/07/09	328390080	90.0000	45.91	4,131.65	3,076.36-	1,055.29



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4/06/09	4/09/09	328390080	122.0000	46.06	5,619.34	4,170.18-	1,449.16
7/20/09	7/23/09	R F MICRO DEVICES INC CUSIP 749941100 328390080	720.0000	4.00	2,879.85	1,912.26-	967.59
7/21/09	7/24/09	REGAL BELOIT CORP CUSIP 758750103 328390080	138.0000	46.10	6,361.57	5,261.52-	1,100.05
7/31/09	8/05/09	328390080	30.0000	46.46	1,393.77	1,143.81-	249.96
8/20/09	8/25/09	328390080	159.0000	45.47	7,229.13	6,062.19-	1,166.94
8/21/09	8/26/09	328390080	74.0000	45.98	3,402.47	2,821.40-	581.07
7/17/09	7/22/09	RESMED INC CUSIP 761152107 328390080	70.0000	39.93	2,795.20	2,575.44-	219.76
7/21/09	7/24/09	328390080	40.0000	39.48	1,579.06	1,471.68-	107.38
7/22/09	7/27/09	328390080	40.0000	40.07	1,602.63	1,471.68-	130.95
7/16/09	7/21/09	RIVERBED TECHNOLOGY INC CUSIP 768573107 328390080	240.0000	23.55	5,651.20	3,406.80-	2,244.40
7/20/09	7/23/09	328390080	220.0000	23.58	5,188.49	3,122.90-	2,065.59
10/02/08	10/07/08	ROCK TENN CO CL A CUSIP 772739207 328390080	70.0000	38.75	2,712.17	2,475.84-	236.33
1/14/09	1/20/09	328390080	153.0000	29.18	4,464.53	5,411.49-	946.96-
1/15/09	1/21/09	328390080	10.0000	29.01	290.07	353.69-	63.62-



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3/23/09	3/26/09	328390080	146.0000	26.00	3,795.53	5,163.90-	1,368.37-
3/25/09	3/30/09	328390080	11.0000	27.39	301.33	389.06-	87.73-
RTI BIOLOGICS INC CUSIP 74975N105							
10/13/08	10/16/08	328390080	444.0000	7.90	3,507.89	3,802.02-	294.13-
10/14/08	10/17/08	328390080	80.0000	7.88	630.14	685.05-	54.91-
10/17/08	10/22/08	328390080	517.0000	5.92	3,058.45	4,427.10-	1,368.65-
SANGAMO BIOSCIENCES INC CUSIP 800677106							
10/22/08	10/27/08	328390080	100.0000	6.80	679.55	1,340.34-	660.79-
10/28/08	10/31/08	328390080	200.0000	6.03	1,205.03	2,680.70-	1,475.67-
10/30/08	11/04/08	328390080	365.0000	7.12	2,599.55	4,892.26-	2,292.71-
SBA COMMUNICATIONS CORP CUSIP 78388J106							
4/02/09	4/07/09	328390080	380.0000	25.92	9,850.22	8,751.84-	1,098.38
6/10/09	6/15/09	328390080	150.0000	23.62	3,542.89	3,454.67-	88.22
6/11/09	6/16/09	328390080	44.0000	23.70	1,043.02	1,013.36-	29.66
7/07/09	7/10/09	328390080	42.0000	22.69	952.80	967.31-	14.51-
7/08/09	7/13/09	328390080	50.0000	22.64	1,131.89	1,151.56-	19.67-
7/17/09	7/22/09	328390080	170.0000	25.07	4,262.27	3,915.29-	346.98
7/23/09	7/28/09	328390080	200.0000	27.02	5,404.18	4,606.23-	797.95
8/21/09	8/26/09	328390080	370.0000	25.10	9,287.80	8,521.53-	766.27
8/24/09	8/27/09	328390080	130.0000	24.70	3,211.48	2,994.04-	217.44



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9/15/09	9/18/09	328390080	60.0000	25.78	1,546.80	1,381.87-	164.93
9/16/09	9/21/09	328390080	190.0000	26.65	5,063.09	4,375.92-	687.17
9/17/09	9/22/09	328390080	410.0000	27.29	11,190.00	9,442.78-	1,747.22
9/22/09	9/25/09	328390080	180.0000	27.88	5,018.29	4,145.60-	872.69
9/25/09	9/30/09	328390080	273.0000	26.79	7,312.47	6,287.51-	1,024.96

SEATTLE GENETICS INC /WA
CUSIP 812578102

8/06/09	8/11/09	328390080	43.0000	11.87	510.41	420.07-	90.34
8/07/09	8/12/09	328390080	180.0000	11.81	2,125.02	1,758.41-	366.61
8/11/09	8/14/09	328390080	330.0000	10.98	3,623.99	3,223.76-	400.23

SENSIENT TECHNOLOGIES CORP
CUSIP 81725T100

6/11/09	6/16/09	328390080	122.0000	24.13	2,944.34	2,789.66-	154.68
6/12/09	6/17/09	328390080	169.0000	23.71	4,007.54	3,864.38-	143.16
6/15/09	6/18/09	328390080	2.0000	23.26	46.52	45.73-	0.79

SEQUENOM INC
CUSIP 817337405

1/27/09	1/30/09	328390080	390.0000	23.21	9,052.82	4,374.68-	4,678.14
1/28/09	2/02/09	328390080	375.0000	22.73	8,523.28	4,206.41-	4,316.87
4/23/09	4/28/09	328390080	100.0000	13.74	1,374.18	1,367.53-	6.65
4/30/09	5/05/09	328390080	860.0000	3.60	3,093.67	11,760.75-	8,667.08-
5/19/09	5/22/09	328390080	657.0000	3.03	1,988.87	8,984.66-	6,995.79-



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SMITH & WESSON HOLDING CORP CUSIP 831756101							
10/21/08	10/24/08	328390080	1,060.0000	2.06	2,184.75	7,000.85-	4,816.10-
10/29/08	11/03/08	328390080	1,190.0000	1.63	1,943.13	7,859.44-	5,916.31-
10/31/08	11/05/08	328390080	749.0000	2.01	1,504.65	4,946.80-	3,442.15-
11/28/08	12/03/08	328390080	412.0000	1.98	816.24	2,721.06-	1,904.82-
12/01/08	12/04/08	328390080	293.0000	2.06	602.87	1,935.12-	1,332.25-
SOLARWINDS INC CUSIP 83416B109							
5/21/09	5/27/09	328390080	41.0000	13.80	565.88	512.50-	53.38
SUCCESSFACTORS INC CUSIP 864596101							
10/30/08	11/04/08	328390080	30.0000	7.25	217.57	323.59-	106.02-
11/03/08	11/06/08	328390080	348.0000	7.07	2,459.16	3,753.61-	1,294.45-
12/17/08	12/22/08	328390080	110.0000	6.43	706.86	1,186.49-	479.63-
12/18/08	12/23/08	328390080	290.0000	6.29	1,823.82	3,128.02-	1,304.20-
12/23/08	12/29/08	328390080	29.0000	5.47	158.77	312.80-	154.03-
12/26/08	12/31/08	328390080	43.0000	5.28	227.20	463.82-	236.62-
1/09/09	1/14/09	328390080	50.0000	5.77	288.28	539.31-	251.03-
1/13/09	1/16/09	328390080	57.0000	5.71	325.46	614.82-	289.36-
1/14/09	1/20/09	328390080	46.0000	5.71	262.65	496.17-	233.52-
1/20/09	1/23/09	328390080	162.0000	5.74	930.68	1,747.38-	816.70-



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SUPERIOR ENERGY SVCS INC							
CUSIP 868157108							
6/24/09	6/29/09	328390080	130.0000	17.61	2,288.85	2,899.89-	611.04-
6/26/09	7/01/09	328390080	320.0000	17.18	5,497.80	7,138.21-	1,640.41-
SVB FINL GROUP							
CUSIP 78486Q101							
1/23/09	1/28/09	328390080	129.0000	23.60	3,043.99	6,243.68-	3,199.69-
3/19/09	3/24/09	328390080	51.0000	19.87	1,013.41	2,468.43-	1,455.02-
3/20/09	3/25/09	328390080	100.0000	19.47	1,947.10	4,840.06-	2,892.96-
4/08/09	4/14/09	328390080	330.0000	16.29	5,375.07	15,972.21-	10,597.14-
SYBASE INC							
CUSIP 871130100							
7/01/09	7/07/09	328390080	160.0000	31.22	4,995.28	4,884.78-	110.50
7/17/09	7/22/09	328390080	80.0000	31.78	2,542.71	2,442.39-	100.32
9/04/09	9/10/09	328390080	90.0000	35.18	3,166.19	2,747.69-	418.50
TETRA TECH INC							
CUSIP 88162G103							
3/30/09	4/02/09	328390080	44.0000	20.32	894.26	928.36-	34.10-
4/24/09	4/29/09	328390080	59.0000	22.06	1,301.67	1,244.85-	56.82
9/10/09	9/15/09	328390080	240.0000	28.43	6,823.14	5,467.02-	1,356.12
9/11/09	9/16/09	328390080	70.0000	27.96	1,957.22	1,594.55-	362.67
9/15/09	9/18/09	328390080	170.0000	27.97	4,754.92	3,872.48-	882.44

TEXAS CAPITAL BANCSHARES INC
CUSIP 88224Q107



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4/23/09	4/28/09	328390080	550.0000	12.39	6,814.98	9,873.01-	3,058.03-
4/24/09	4/29/09	328390080	210.0000	12.51	2,627.98	3,769.68-	1,141.70-
TEXAS INDS INC CUSIP 882491103							
1/06/09	1/09/09	328390080	50.0000	34.48	1,724.10	1,430.36-	293.74
1/09/09	1/14/09	328390080	30.0000	29.35	880.41	858.21-	22.20
1/16/09	1/22/09	328390080	110.0000	27.55	3,029.97	3,146.79-	116.82-
2/24/09	2/27/09	328390080	80.0000	17.56	1,404.99	2,288.57-	883.58-
THERMADYNE HOLDINGS CORP CUSIP 883435307							
12/02/08	12/05/08	328390080	10.0000	6.97	69.66	185.05-	115.39-
12/03/08	12/08/08	328390080	42.0000	7.18	301.42	777.19-	475.77-
1/23/09	1/28/09	328390080	54.0000	5.20	280.67	999.24-	718.57-
1/26/09	1/29/09	328390080	76.0000	4.13	313.69	1,406.35-	1,092.66-
1/27/09	1/30/09	328390080	63.0000	3.95	248.85	1,165.79-	916.94-
2/26/09	3/03/09	328390080	80.0000	2.21	176.57	1,480.36-	1,303.79-
2/27/09	3/04/09	328390080	85.0000	1.99	169.16	1,572.89-	1,403.73-
3/03/09	3/06/09	328390080	24.0000	1.98	47.42	444.11-	396.69-
3/04/09	3/09/09	328390080	69.0000	2.03	140.02	1,276.81-	1,136.79-
3/05/09	3/10/09	328390080	11.0000	2.07	22.73	203.55-	180.82-
3/06/09	3/11/09	328390080	39.0000	1.98	77.10	721.68-	644.58-
3/10/09	3/13/09	328390080	28.0000	1.89	52.82	518.12-	465.30-



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3/11/09	3/16/09	328390080	55.0000	2.21	121.63	1,017.76-	896.13-
3/12/09	3/17/09	328390080	79.0000	1.76	138.80	1,461.86-	1,323.06-
3/13/09	3/18/09	328390080	41.0000	2.17	88.91	758.68-	669.77-
3/16/09	3/19/09	328390080	54.0000	2.10	113.22	999.24-	886.02-
3/17/09	3/20/09	328390080	51.0000	2.00	102.07	943.73-	841.66-
3/18/09	3/23/09	328390080	96.0000	1.90	182.75	1,776.44-	1,593.69-
THESTREET.COM INC CUSIP 88368Q103							
5/13/09	5/18/09	328390080	143.0000	1.87	267.40	1,185.36-	917.96-
5/18/09	5/21/09	328390080	49.0000	1.87	91.62	406.18-	314.56-
5/19/09	5/22/09	328390080	126.0000	1.87	235.61	1,044.44-	808.83-
5/20/09	5/26/09	328390080	570.0000	1.94	1,105.66	4,724.86-	3,619.20-
5/26/09	5/29/09	328390080	61.0000	1.95	118.75	505.64-	386.89-
5/27/09	6/01/09	328390080	84.0000	1.95	163.92	696.29-	532.37-
5/28/09	6/02/09	328390080	60.0000	1.89	113.43	497.36-	383.93-
5/29/09	6/03/09	328390080	241.0000	1.88	452.53	1,997.69-	1,545.16-
6/01/09	6/04/09	328390080	131.0000	1.90	249.29	1,085.89-	836.60-
6/02/09	6/05/09	328390080	149.0000	1.91	285.11	1,235.10-	949.99-
6/03/09	6/08/09	328390080	73.0000	1.89	138.21	605.12-	466.91-
6/04/09	6/09/09	328390080	215.0000	1.89	406.26	1,782.20-	1,375.94-
6/05/09	6/10/09	328390080	10.0000	1.91	19.14	82.89-	63.75-
6/08/09	6/11/09	328390080	238.0000	1.91	453.70	1,972.85-	1,519.15-



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THORATEC CORP CUSIP 885175307							
10/21/08	10/24/08	328390080	44.0000	28.50	1,254.21	776.23-	477.98
10/22/08	10/27/08	328390080	280.0000	27.12	7,593.35	4,939.68-	2,653.67
1/14/09	1/20/09	328390080	50.0000	29.44	1,471.85	882.09-	589.76
1/15/09	1/21/09	328390080	190.0000	28.12	5,342.41	3,351.92-	1,990.49
2/04/09	2/09/09	328390080	51.0000	26.57	1,355.04	899.73-	455.31
2/05/09	2/10/09	328390080	200.0000	26.40	5,280.85	3,528.33-	1,752.52
4/16/09	4/21/09	328390080	40.0000	26.46	1,058.57	746.43-	312.14
5/04/09	5/07/09	328390080	20.0000	29.94	598.73	373.21-	225.52
5/06/09	5/11/09	328390080	280.0000	29.65	8,301.42	5,225.00-	3,076.42
TRANSDIGM GROUP INC CUSIP 893641100							
6/26/09	7/01/09	328390080	89.0000	36.28	3,228.88	3,481.33-	252.45-
TRUE RELIGION APPAREL INC CUSIP 89784N104							
12/05/08	12/10/08	328390080	500.0000	12.51	6,255.71	12,606.66-	6,350.95-
12/10/08	12/15/08	328390080	160.0000	13.68	2,189.60	4,034.13-	1,844.53-
12/11/08	12/16/08	328390080	246.0000	12.70	3,123.15	6,202.47-	3,079.32-
T3 ENERGY SERVICES INC CUSIP 87306E107							
10/17/08	10/22/08	328390080	48.0000	20.78	997.50	2,647.22-	1,649.72-
10/22/08	10/27/08	328390080	40.0000	20.71	828.25	2,206.02-	1,377.77-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
10/29/08	11/03/08	328390080	20.0000	18.97	379.44	1,103.01-	723.57-
11/21/08	11/26/08	328390080	207.0000	10.75	2,226.14	11,416.13-	9,189.99-
11/24/08	11/28/08	328390080	59.0000	12.31	726.50	3,253.87-	2,527.37-

ULTIMATE SOFTWARE GROUP INC
CUSIP 90385D107

10/29/08	11/03/08	328390080	150.0000	13.02	1,952.98	2,701.15-	748.17-
12/19/08	12/24/08	328390080	30.0000	15.58	467.52	540.23-	72.71-
4/14/09	4/17/09	328390080	43.0000	18.40	791.17	774.33-	16.84
4/16/09	4/21/09	328390080	113.0000	18.86	2,130.87	2,034.87-	96.00
4/16/09	4/21/09	328390080	47.0000	18.86	886.39	846.36-	40.03
4/28/09	5/01/09	328390080	10.0000	18.34	183.44	180.08-	3.36

UNDER ARMOUR INC CL A
CUSIP 904311107

1/14/09	1/20/09	328390080	270.0000	19.03	5,138.91	6,300.75-	1,161.84-
7/07/09	7/10/09	328390080	130.0000	20.92	2,719.21	2,552.16-	167.05
7/15/09	7/20/09	328390080	230.0000	21.65	4,979.95	4,515.37-	464.58
7/20/09	7/23/09	328390080	232.0000	22.52	5,224.41	4,554.64-	669.77

UNITED ONLINE INC
CUSIP 911268100

10/21/08	10/24/08	328390080	345.0000	7.48	2,581.54	5,576.86-	2,995.32-
10/22/08	10/27/08	328390080	50.0000	7.09	354.61	808.24-	453.63-
10/30/08	11/04/08	328390080	171.0000	7.27	1,242.33	2,764.18-	1,521.85-
10/31/08	11/05/08	328390080	400.0000	7.32	2,929.58	6,465.92-	3,536.34-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
11/03/08	11/06/08	328390080	144.0000	7.49	1,078.64	2,327.73-	1,249.09-
11/04/08	11/07/08	328390080	190.0000	7.19	1,366.19	3,071.31-	1,705.12-
11/05/08	11/10/08	328390080	410.0000	6.85	2,806.68	6,627.56-	3,820.88-
11/06/08	11/12/08	328390080	100.0000	7.31	731.24	1,616.47-	885.23-

UNITED THERAPEUTICS CORP
CUSIP 91307C102

11/07/08	11/13/08	328390080	18.0000	87.66	1,577.85	1,745.23-	167.38-
5/27/09	6/01/09	328390080	80.0000	77.88	6,230.65	5,650.40-	580.25
7/27/09	7/30/09	328390080	70.0000	86.93	6,084.77	4,944.10-	1,140.67
7/28/09	7/31/09	328390080	30.0000	86.93	2,607.84	2,118.90-	488.94
8/10/09	8/13/09	328390080	100.0000	91.43	9,143.24	7,062.98-	2,080.26
9/22/09	9/25/09	328390080	50.0000	98.21	4,910.54	2,207.18-	2,703.36

URBAN OUTFITTERS INC
CUSIP 917047102

12/19/08	12/24/08	328390080	230.0000	14.96	3,441.88	3,756.96-	315.08-
12/22/08	12/26/08	328390080	190.0000	14.35	2,727.28	3,103.58-	376.30-
12/23/08	12/29/08	328390080	30.0000	14.42	432.61	490.04-	57.43-

VARIAN SEMICONDUCTOR EQUIP
CUSIP 922207105

7/20/09	7/23/09	328390080	142.0000	28.99	4,116.51	3,752.14-	364.37
7/21/09	7/24/09	328390080	70.0000	28.73	2,010.88	1,849.65-	161.23

VOLCANO CORP
CUSIP 928645100



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1/27/09	1/30/09	328390080	120.0000	13.37	1,604.05	1,998.48-	394.43-
2/05/09	2/10/09	328390080	46.0000	13.69	629.57	766.08-	136.51-
2/06/09	2/11/09	328390080	100.0000	13.43	1,343.17	1,665.40-	322.23-
5/01/09	5/06/09	328390080	40.0000	12.80	512.01	666.16-	154.15-
5/04/09	5/07/09	328390080	50.0000	12.85	642.51	832.70-	190.19-
5/05/09	5/08/09	328390080	245.0000	12.39	3,035.18	4,080.23-	1,045.05-
WABTEC CORP CUSIP 929740108							
3/23/09	3/26/09	328390080	220.0000	26.63	5,858.41	4,875.31-	983.10
6/29/09	7/02/09	328390080	110.0000	32.96	3,625.90	2,437.65-	1,188.25
7/20/09	7/23/09	328390080	160.0000	33.74	5,398.26	3,545.68-	1,852.58
7/22/09	7/27/09	328390080	80.0000	34.10	2,727.80	1,772.84-	954.96
7/24/09	7/29/09	328390080	280.0000	32.45	9,085.17	6,204.93-	2,880.24
WARNACO GROUP INC CUSIP 934390402							
7/21/09	7/24/09	328390080	150.0000	34.63	5,194.20	3,968.12-	1,226.08
WASTE CONNECTIONS INC CUSIP 941053100							
5/07/09	5/12/09	328390080	140.0000	24.75	3,464.63	4,723.55-	1,258.92-
6/11/09	6/16/09	328390080	90.0000	26.75	2,407.66	3,036.57-	628.91-
6/15/09	6/18/09	328390080	120.0000	25.79	3,094.64	4,048.76-	954.12-
6/17/09	6/22/09	328390080	200.0000	25.77	5,153.36	6,747.93-	1,594.57-
6/23/09	6/26/09	328390080	140.0000	24.83	3,476.35	4,723.55-	1,247.20-



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6/24/09	6/29/09	328390080	200.0000	25.04	5,007.47	6,747.93-	1,740.46-
6/25/09	6/30/09	328390080	198.0000	25.24	4,997.11	6,680.46-	1,683.35-
WATSON WYATT WORLDWIDE INC A CUSIP 942712100							
6/12/09	6/17/09	328390080	139.0000	39.95	5,553.39	6,857.59-	1,304.20-
6/16/09	6/19/09	328390080	30.0000	39.97	1,199.10	1,480.06-	280.96-
6/29/09	7/02/09	328390080	101.0000	37.43	3,780.80	4,982.85-	1,202.05-
WENDYS ARBYS GROUP INCCL A DELAWARE CUSIP 950587105							
6/05/09	6/10/09	328390080	900.0000	4.04	3,633.02	4,611.43-	978.41-
6/08/09	6/11/09	328390080	1,260.0000	3.92	4,943.73	6,456.00-	1,512.27-
6/18/09	6/23/09	328390080	1,370.0000	3.97	5,437.24	7,019.62-	1,582.38-
6/19/09	6/24/09	328390080	233.0000	3.88	905.16	1,193.85-	288.69-
6/23/09	6/26/09	328390080	1,240.0000	3.63	4,501.95	6,353.52-	1,851.57-
6/24/09	6/29/09	328390080	1,041.0000	3.58	3,722.93	5,333.88-	1,610.95-
WESTERN ALLIANCE BANCORPORATION CUSIP 957638109							
5/06/09	5/11/09	328390080	57.0000	8.03	457.47	291.15-	166.32
WHITING PETROLEUM CORP CUSIP 966387102							
12/05/08	12/10/08	328390080	110.0000	25.66	2,822.21	4,196.33-	1,374.12-
1/13/09	1/16/09	328390080	350.0000	34.98	12,242.23	13,149.31-	907.08-
3/20/09	3/25/09	328390080	120.0000	27.68	3,321.98	4,163.46-	841.48-



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6/10/09	6/15/09	328390080	110.0000	45.09	4,959.55	3,921.38-	1,038.17
6/17/09	6/22/09	328390080	210.0000	37.56	7,888.52	7,486.28-	402.24
6/23/09	6/26/09	328390080	60.0000	32.27	1,935.92	2,138.93-	203.01-
7/07/09	7/10/09	328390080	100.0000	30.82	3,081.82	3,564.90-	483.08-
7/08/09	7/13/09	328390080	80.0000	30.63	2,450.59	2,851.91-	401.32-

WILSHIRE BANCORP INC
CUSIP 97186T108

11/26/08	12/02/08	328390080	260.0000	6.79	1,766.61	3,434.42-	1,667.81-
1/30/09	2/04/09	328390080	152.0000	6.84	1,039.76	2,007.81-	968.05-
2/03/09	2/06/09	328390080	69.0000	6.80	469.38	911.44-	442.06-
2/04/09	2/09/09	328390080	20.0000	6.84	136.75	264.19-	127.44-
2/05/09	2/10/09	328390080	53.0000	6.83	362.06	700.09-	338.03-
2/06/09	2/11/09	328390080	10.0000	7.06	70.62	132.09-	61.47-
2/09/09	2/12/09	328390080	10.0000	7.01	70.07	132.09-	62.02-
2/10/09	2/13/09	328390080	10.0000	6.55	65.53	132.09-	66.56-
2/11/09	2/17/09	328390080	10.0000	6.28	62.76	132.09-	69.33-
2/18/09	2/23/09	328390080	10.0000	5.78	57.85	132.10-	74.25-
2/19/09	2/24/09	328390080	10.0000	5.82	58.16	132.09-	73.93-
2/20/09	2/25/09	328390080	10.0000	5.32	53.18	132.10-	78.92-
2/24/09	2/27/09	328390080	70.0000	5.06	354.45	924.65-	570.20-
2/27/09	3/04/09	328390080	30.0000	4.74	142.22	396.28-	254.06-
3/10/09	3/13/09	328390080	210.0000	3.61	758.95	2,773.97-	2,015.02-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
WMS INDS INC							
CUSIP 929297109							
8/05/09	8/10/09	328390080	150.0000	40.66	6,098.78	4,870.41-	1,228.37
99 CENTS ONLY STORES							
CUSIP 65440K106							
8/13/09	8/18/09	328390080	342.0000	13.67	4,674.63	3,849.28-	825.35
8/14/09	8/19/09	328390080	60.0000	13.45	806.96	675.31-	131.65
9/17/09	9/22/09	328390080	440.0000	13.54	5,959.42	4,952.29-	1,007.13
TOTAL DOMESTIC COMMON STOCKS			146,963.1800		2,572,059.41	3,107,693.29-	535,633.88-

FOREIGN STOCKS

GENCO SHIPPING & TRADING LTD

CUSIP Y2685T107							
10/13/08	10/16/08	328390080	31.0000	19.51	604.66	1,795.49-	1,190.83-
6/03/09	6/08/09	328390080	200.0000	25.04	5,008.85	6,718.85-	1,710.00-
6/23/09	6/26/09	328390080	90.0000	20.64	1,857.90	3,023.48-	1,165.58-
6/30/09	7/06/09	328390080	296.0000	21.39	6,331.33	9,943.89-	3,612.56-

VISTAPRINT LTD

CUSIP G93762204							
10/29/08	11/03/08	328390080	250.0000	18.02	4,504.40	8,108.15-	3,603.75-
8/21/09	8/26/09	328390080	110.0000	42.38	4,661.69	3,695.79-	965.90
8/26/09	8/31/09	328390080	100.0000	41.66	4,166.25	3,359.82-	806.43

WILLEROS GROUP INC
CUSIP 969199108



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10/06/08	10/09/08	328390080	110.0000	15.01	1,650.88	3,844.65-	2,193.77-
10/22/08	10/27/08	328390080	160.0000	15.01	2,401.10	5,592.20-	3,191.10-
10/27/08	10/30/08	328390080	59.0000	12.88	760.14	2,062.13-	1,301.99-
10/28/08	10/31/08	328390080	165.0000	12.12	1,999.58	5,766.96-	3,767.38-
10/30/08	11/04/08	328390080	237.0000	15.26	3,617.75	8,283.46-	4,665.71-
TOTAL FOREIGN STOCKS			1,808.0000		37,564.53	62,194.87-	24,630.34-

MUTUAL FUNDS

NORTHPOINTE SM VAL INSTL CL
CUSIP 63867V325
328390050

3/02/09	3/03/09	475,282.7840	4.01	1,905,883.96	5,300,783.81-	3,394,899.85-
TOTAL MUTUAL FUNDS		475,282.7840		1,905,883.96	5,300,783.81-	3,394,899.85-

TOTAL SALES

PRINCIPAL PAYDOWNS

US TREAS & AGENCY OBLIGATIONS

F H L M C GD A81223 6.500# 8/01/38
CUSIP 312927LC8
328390030
10/15/08 10/15/08 580.7200 100.00 580.72 597.42- 16.70-
11/15/08 11/17/08 328390030 609.7200 100.00 609.72 627.25- 17.53-
12/15/08 12/15/08 328390030 691.1700 100.00 691.17 711.04- 19.87-



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1/15/09	1/15/09	328390030	636.8400	100.00	636.84	655.15-	18.31-
2/15/09	2/17/09	328390030	690.7400	100.00	690.74	710.60-	19.86-
3/15/09	3/16/09	328390030	1,787.2800	100.00	1,787.28	1,838.66-	51.38-
4/15/09	4/15/09	328390030	611.1500	100.00	611.15	628.72-	17.57-
5/15/09	5/15/09	328390030	637.4200	100.00	637.42	656.75-	19.33-
6/15/09	6/15/09	328390030	38,261.2400	100.00	38,261.24	39,421.12-	1,159.88-
7/15/09	7/15/09	328390030	12,243.4100	100.00	12,243.41	12,614.57-	371.16-
8/15/09	8/17/09	328390030	17,847.4200	100.00	17,847.42	18,388.46-	541.04-
9/15/09	9/15/09	328390030	10,997.9400	100.00	10,997.94	11,331.34-	333.40-

F H L M C GD G13593 4.500% 7/01/24
CUSIP 3128MCGA7

7/15/09	7/15/09	328390030	1,872.0400	100.00	1,872.04	1,912.11-	40.07-
8/15/09	8/17/09	328390030	4,088.5400	100.00	4,088.54	4,176.06-	87.52-
9/15/09	9/15/09	328390030	2,298.7100	100.00	2,298.71	2,347.92-	49.21-

F H L M C MLTCL MTG 5.500% 10/15/14
CUSIP 31397KPG0

10/15/08	10/15/08	328390030	3,522.7100	100.00	3,522.71	3,521.88-	0.83
11/15/08	11/17/08	328390030	5,720.9800	100.00	5,720.98	2,791.93-	2,929.05
12/15/08	12/15/08	328390030	4,388.1400	100.00	4,388.14	2,141.49-	2,246.65
1/15/09	1/15/09	328390030	6,685.1800	100.00	6,685.18	3,262.48-	3,422.70
2/15/09	2/17/09	328390030	15,210.3100	100.00	15,210.31	7,422.88-	7,787.43
3/15/09	3/16/09	328390030	19,780.6700	100.00	19,780.67	9,653.30-	10,127.37



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4/15/09	4/15/09	328390030	18,929.7600	100.00	18,929.76	9,238.04-	9,691.72
5/15/09	5/15/09	328390030	15,258.8200	100.00	15,258.82	7,907.60-	7,351.22
6/15/09	6/15/09	328390030	15,306.9100	100.00	15,306.91	7,932.52-	7,374.39
7/15/09	7/15/09	328390030	16,304.7500	100.00	16,304.75	8,449.62-	7,855.13
8/15/09	8/17/09	328390030	13,455.0900	100.00	13,455.09	6,972.84-	6,482.25
9/15/09	9/15/09	328390030	10,749.1500	100.00	10,749.15	5,570.54-	5,178.61
F N M A #AA2523 CUSIP 31416KYV4							
4/25/09	4/27/09	328390030	5,325.0600	100.00	5,325.06	5,478.16-	153.10-
5/25/09	5/26/09	328390030	355.6700	100.00	355.67	366.17-	10.50-
6/25/09	6/25/09	328390030	5,680.7700	100.00	5,680.77	5,848.49-	167.72-
7/25/09	7/27/09	328390030	9,153.1400	100.00	9,153.14	9,423.39-	270.25-
8/25/09	8/25/09	328390030	368.5400	100.00	368.54	379.42-	10.88-
9/25/09	9/25/09	328390030	3,713.7800	100.00	3,713.78	3,823.43-	109.65-
F N M A #AA4425 CUSIP 31416M4K7							
4/25/09	4/27/09	328390030	233.2300	100.00	233.23	240.12-	6.89-
5/25/09	5/26/09	328390030	10,876.5200	100.00	10,876.52	11,208.83-	332.31-
6/25/09	6/25/09	328390030	198.8600	100.00	198.86	204.94-	6.08-
7/25/09	7/27/09	328390030	226.7900	100.00	226.79	233.72-	6.93-
8/25/09	8/25/09	328390030	203.7000	100.00	203.70	209.92-	6.22-
9/25/09	9/25/09	328390030	225.2000	100.00	225.20	232.08-	6.88-



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F N M A #AA4434 CUSIP 31416M4U5 328390030							
4/25/09	4/27/09		1,829.3500	100.00	1,829.35	1,878.51-	49.16-
5/25/09	5/26/09		4,126.9600	100.00	4,126.96	4,239.32-	112.36-
6/25/09	6/25/09		8,027.8600	100.00	8,027.86	8,246.42-	218.56-
7/25/09	7/27/09		8,431.6000	100.00	8,431.60	8,661.15-	229.55-
8/25/09	8/25/09		5,730.4500	100.00	5,730.45	5,886.47-	156.02-
9/25/09	9/25/09		3,234.3300	100.00	3,234.33	3,322.39-	88.06-
F N M A #AA5026 CUSIP 31416NSQ6 328390030							
4/25/09	4/27/09		1,315.3600	100.00	1,315.36	1,325.64-	10.28-
5/25/09	5/26/09		1,222.0500	100.00	1,222.05	1,232.54-	10.49-
6/25/09	6/25/09		1,201.0800	100.00	1,201.08	1,211.39-	10.31-
7/25/09	7/27/09		1,184.7400	100.00	1,184.74	1,194.92-	10.18-
8/25/09	8/25/09		1,624.4700	100.00	1,624.47	1,638.42-	13.95-
9/25/09	9/25/09		3,556.0500	100.00	3,556.05	3,586.59-	30.54-
F N M A #257080 CUSIP 31371NQ99 328390030							
10/25/08	10/27/08		6,205.2400	100.00	6,205.24	6,351.64-	146.40-
11/25/08	11/25/08		1,670.2100	100.00	1,670.21	1,709.62-	39.41-
12/25/08	12/26/08		6,388.9600	100.00	6,388.96	6,539.70-	150.74-
F N M A #872808 CUSIP 31409JU99							
			5.737%	6/01/36			



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
10/25/08	10/27/08	328390030	366.6000	100.00	366.60	369.56-	2.96-
11/25/08	11/25/08	328390030	6,816.4900	100.00	6,816.49	6,871.40-	54.91-
12/25/08	12/26/08	328390030	398.8100	100.00	398.81	402.02-	3.21-
1/25/09	1/26/09	328390030	333.0700	100.00	333.07	335.76-	2.69-
2/25/09	2/25/09	328390030	371.9300	100.00	371.93	374.93-	3.00-
3/25/09	3/25/09	328390030	367.1000	100.00	367.10	370.06-	2.96-
4/25/09	4/27/09	328390030	12,307.3200	100.00	12,307.32	12,406.47-	99.15-
5/25/09	5/26/09	328390030	361.3000	100.00	361.30	364.31-	3.01-
6/25/09	6/25/09	328390030	4,817.8100	100.00	4,817.81	4,857.89-	40.08-
7/25/09	7/27/09	328390030	9,941.5400	100.00	9,941.54	10,024.25-	82.71-
8/25/09	8/25/09	328390030	7,361.4900	100.00	7,361.49	7,422.75-	61.26-
9/25/09	9/25/09	328390030	6,104.7200	100.00	6,104.72	6,185.77-	81.05-
F N M A #908050 5.000# 12/01/21							
CUSIP 31411GZP0							
10/25/08	10/27/08	328390030	3,426.2400	100.00	3,426.24	3,424.10-	2.14
11/25/08	11/25/08	328390030	1,597.2400	100.00	1,597.24	1,596.24-	1.00
F N M A #935228 5.000# 5/01/39							
CUSIP 31412UPM6							
6/25/09	6/25/09	328390030	701.9900	100.00	701.99	721.95-	19.96-
7/25/09	7/27/09	328390030	554.4600	100.00	554.46	570.23-	15.77-
8/25/09	8/25/09	328390030	692.9900	100.00	692.99	712.70-	19.71-
9/25/09	9/25/09	328390030	566.6600	100.00	566.66	582.77-	16.11-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
F N M A #935235 CUSIP 31412UPU8							
6/25/09	6/25/09	328390030	148.0600	100.00	148.06	153.55-	5.49-
7/25/09	7/27/09	328390030	159.9300	100.00	159.93	165.86-	5.93-
8/25/09	8/27/09	328390030	181.3100	100.00	181.31	188.03-	6.72-
9/25/09	9/25/09	328390030	144.9000	100.00	144.90	150.27-	5.37-
F N M A #947936 CUSIP 31413KSZ5							
10/25/08	10/27/08	328390030	7,026.7200	100.00	7,026.72	7,067.90-	41.18-
11/25/08	11/28/08	328390030	3,348.8900	100.00	3,348.89	3,367.15-	18.26-
12/25/08	12/29/08	328390030	4,305.4500	100.00	4,305.45	4,328.92-	23.47-
F N M A #948702 CUSIP 31413LNT2							
10/25/08	10/27/08	328390030	755.5800	100.00	755.58	775.17-	19.59-
11/25/08	11/25/08	328390030	724.5800	100.00	724.58	743.37-	18.79-
12/25/08	12/26/08	328390030	640.8500	100.00	640.85	657.46-	16.61-
1/25/09	1/26/09	328390030	9,784.3500	100.00	9,784.35	10,038.03-	253.68-
2/25/09	2/25/09	328390030	754.9600	100.00	754.96	774.54-	19.58-
3/25/09	3/25/09	328390030	732.8900	100.00	732.89	751.89-	19.00-
4/25/09	4/27/09	328390030	46,334.7100	100.00	46,334.71	47,536.05-	1,201.34-
5/25/09	5/26/09	328390030	39,879.3400	100.00	39,879.34	40,927.76-	1,048.42-
F N M A #959539 CUSIP 31413PY1							
			5.995*	12/01/37			



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10/25/08	10/27/08	328390030	3,913.7500	100.00	3,913.75	4,006.19-	92.44-
11/25/08	11/28/08	328390030	16,272.5400	100.00	16,272.54	16,596.06-	323.52-
12/25/08	12/26/08	328390030	645.6100	100.00	645.61	658.37-	12.76-
1/25/09	1/26/09	328390030	563.1100	100.00	563.11	574.24-	11.13-
2/25/09	2/25/09	328390030	710.2700	100.00	710.27	724.31-	14.04-
3/25/09	3/25/09	328390030	9,328.4800	100.00	9,328.48	9,512.88-	184.40-
4/25/09	4/27/09	328390030	12,765.7500	100.00	12,765.75	13,019.57-	253.82-
5/25/09	5/26/09	328390030	4,592.7700	100.00	4,592.77	4,684.09-	91.32-
6/25/09	6/25/09	328390030	21,965.5700	100.00	21,965.57	22,402.32-	436.75-
7/25/09	7/27/09	328390030	484.9400	100.00	484.94	494.58-	9.64-
8/25/09	8/25/09	328390030	7,701.7100	100.00	7,701.71	7,854.85-	153.14-
9/25/09	9/25/09	328390030	25,317.3000	100.00	25,317.30	25,883.97-	566.67-
F N M A #962074 CUSIP 31414CJT6			6.000\$	3/01/38			
10/25/08	10/27/08	328390030	3,737.0400	100.00	3,737.04	3,752.81-	15.77-
11/25/08	11/25/08	328390030	5,547.4600	100.00	5,547.46	5,570.86-	23.40-
12/25/08	12/26/08	328390030	4,071.8500	100.00	4,071.85	4,089.03-	17.18-
1/25/09	1/26/09	328390030	4,054.9600	100.00	4,054.96	4,072.07-	17.11-
2/25/09	2/25/09	328390030	9,241.6400	100.00	9,241.64	9,280.63-	38.99-
3/25/09	3/25/09	328390030	9,197.6100	100.00	9,197.61	9,236.41-	38.80-

F N M A #964333
CUSIP 31414EY65

5.000\$ 7/01/23



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
10/25/08	10/27/08	328390030	1,323.5900	100.00	1,323.59	1,303.74-	19.85
11/25/08	11/28/08	328390030	2,716.0300	100.00	2,716.03	2,676.30-	39.73
12/25/08	12/26/08	328390030	1,987.4800	100.00	1,987.48	1,958.41-	29.07
1/25/09	1/26/09	328390030	1,572.4800	100.00	1,572.48	1,549.48-	23.00
2/25/09	2/25/09	328390030	6,473.1300	100.00	6,473.13	6,378.46-	94.67
3/25/09	3/25/09	328390030	15,066.9300	100.00	15,066.93	14,846.53-	220.40
F N M A #971072 4.500\$ 2/01/24							
CUSIP 31414NJR6							
3/25/09	3/25/09	328390030	457.7700	100.00	457.77	466.50-	8.73-
4/25/09	4/27/09	328390030	664.4900	100.00	664.49	679.12-	14.63-
5/25/09	5/26/09	328390030	1,134.2100	100.00	1,134.21	1,164.57-	30.36-
6/25/09	6/25/09	328390030	3,857.9800	100.00	3,857.98	3,961.25-	103.27-
7/25/09	7/27/09	328390030	1,127.7800	100.00	1,127.78	1,157.97-	30.19-
8/25/09	8/25/09	328390030	1,044.5300	100.00	1,044.53	1,072.50-	27.97-
9/25/09	9/25/09	328390030	2,900.3000	100.00	2,900.30	2,977.94-	77.64-
F N M A #971074 5.000\$ 1/01/24							
CUSIP 31414NJT2							
3/25/09	3/25/09	328390030	151.7400	100.00	151.74	155.68-	3.94-
4/25/09	4/27/09	328390030	360.1300	100.00	360.13	371.16-	11.03-
5/25/09	5/26/09	328390030	3,546.4200	100.00	3,546.42	3,671.10-	124.68-
6/25/09	6/25/09	328390030	8,576.6000	100.00	8,576.60	8,878.14-	301.54-
7/25/09	7/27/09	328390030	2,977.2700	100.00	2,977.27	3,081.95-	104.68-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
8/25/09	8/25/09	328390030	861.3000	100.00	861.30	891.59-	30.29-
9/25/09	9/25/09	328390030	7,914.6700	100.00	7,914.67	8,192.95-	278.28-
F N M A GTD REMIC 6.000% 6/25/15							
9/25/09	9/25/09	CUSIP 31395NP92 328390030					
			4,384.1500	100.00	4,384.15	4,625.28-	241.13-
G N M A GTD REMIC 6.380% 10/16/20							
10/16/08	10/16/08	CUSIP 38373MAN0 328390030					
			270,052.9800	100.00	270,052.98	270,846.11-	793.13-
S B A GTD DEV PRTN 5.408% 2/10/16							
11/10/08	11/10/08	CUSIP 831641EA9 328390030					
			884.1900	100.00	884.19	886.02-	1.83-
2/10/09	2/10/09	328390030	2,624.9900	100.00	2,624.99	2,630.42-	5.43-
5/10/09	5/11/09	328390030	5,452.8100	100.00	5,452.81	5,464.10-	11.29-
5/10/09	6/17/09	328390030	5,452.8100-	100.00	5,452.81-	5,464.10	11.29
5/10/09	6/17/09	328390030	5,452.8100	100.00	5,452.81	5,464.10-	11.29-
8/10/09	8/10/09	328390030	1,836.5000	100.00	1,836.50	1,840.30-	3.80-
TOTAL US TREAS & AGENCY OBLIGATI						993,549.87	58,034.27

CORPORATE OBLIGATIONS

AMERICREDIT AUTO 3.430% 7/06/11							
10/06/08	10/06/08	CUSIP 03061NHT9 328390030					
			9,607.4900	100.00	9,607.49	9,423.45-	184.04
11/06/08	11/06/08	328390030	10,258.9200	100.00	10,258.92	10,062.41-	196.51



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12/06/08	12/08/08	328390030	8,462.5900	100.00	8,462.59	8,300.48-	162.11
1/06/09	1/06/09	328390030	8,528.5400	100.00	8,528.54	8,365.17-	163.37
2/06/09	2/06/09	328390030	9,663.2300	100.00	9,663.23	9,478.13-	185.10
3/06/09	3/09/09	328390030	8,769.1800	100.00	8,769.18	8,601.21-	167.97
4/06/09	4/06/09	328390030	8,644.9900	100.00	8,644.99	8,479.38-	165.61
5/06/09	5/06/09	328390030	5,732.0800	100.00	5,732.08	5,622.28-	109.80
6/06/09	6/08/09	328390030	5,453.0900	100.00	5,453.09	5,348.64-	104.45
7/06/09	7/06/09	328390030	5,267.0900	100.00	5,267.09	5,166.19-	100.90
8/06/09	8/06/09	328390030	6,311.2800	100.00	6,311.28	6,190.39-	120.89
9/06/09	9/08/09	328390030	5,170.4000	100.00	5,170.40	5,071.35-	99.05
AMERICREDIT AUTO 5.65993% 1/06/11							
10/06/08	10/06/08	CUSIP 03063TAB0 328390030	10,029.1800	100.00	10,029.18	10,029.14-	0.04
11/06/08	11/06/08	328390030	9,389.1500	100.00	9,389.15	9,389.11-	0.04
12/06/08	12/08/08	328390030	8,125.0200	100.00	8,125.02	8,124.99-	0.03
1/06/09	1/06/09	328390030	7,207.4400	100.00	7,207.44	7,207.41-	0.03
2/06/09	2/06/09	328390030	11,163.9500	100.00	11,163.95	11,163.90-	0.05
3/06/09	3/09/09	328390030	5,435.9800	100.00	5,435.98	5,435.96-	0.02
CITIGROUP MTG LN 0.36813% 8/25/36							
10/25/08	10/27/08	CUSIP 172983AC2 328390030	13,440.8600	100.00	13,440.86	12,701.61-	739.25
11/25/08	11/25/08	328390030	24,031.9200	100.00	24,031.92	22,420.93-	1,610.99



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12/25/08	12/26/08	328390030	12,869.5700	100.00	12,869.57	12,006.85-	862.72
1/25/09	1/26/09	328390030	14,853.9100	100.00	14,853.91	13,858.18-	995.73
2/25/09	2/25/09	328390030	13,446.2000	100.00	13,446.20	12,544.83-	901.37
3/25/09	3/25/09	328390030	9,471.8200	100.00	9,471.82	8,836.87-	634.95
4/25/09	4/27/09	328390030	12,401.9000	100.00	12,401.90	11,570.53-	831.37
CREDIT SUISSE FRST 6.300% 11/11/30							
10/21/08	10/22/08	CUSIP 22540AJW1 328390030	16,763.8600	100.00	16,763.86	16,836.61-	72.75-
FEDERAL EXPRESS 7.020% 1/15/16							
1/15/09	1/15/09	CUSIP 31331FAZ4 328390030	1,352.7200	100.00	1,352.72	1,480.55-	127.83-
GREENWICH CAP COML 4.344% 6/10/36							
10/10/08	10/15/08	CUSIP 396789FP9 328390030	58,016.6200	100.00	58,016.62	57,472.16-	544.46
11/10/08	11/14/08	328390030	62,006.8500	100.00	62,006.85	61,424.94-	581.91
12/12/08	12/15/08	328390030	17,118.9300	100.00	17,118.93	16,958.28-	160.65
1/12/09	1/12/09	328390030	14,540.3900	100.00	14,540.39	14,403.94-	136.45
2/12/09	2/13/09	328390030	16,460.9600	100.00	16,460.96	16,306.48-	154.48
3/12/09	3/12/09	328390030	4,597.5500	100.00	4,597.55	4,554.40-	43.15
4/10/09	4/13/09	328390030	7,104.2300	100.00	7,104.23	7,037.56-	66.67
5/12/09	5/13/09	328390030	4,135.5600	100.00	4,135.56	4,096.87-	38.69
6/12/09	6/15/09	328390030	3,298.6600	100.00	3,298.66	3,267.80-	30.86
7/10/09	7/10/09	328390030	3,246.3400	100.00	3,246.34	3,215.97-	30.37



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8/12/09	8/13/09	328390030	3,332.8100	100.00	3,332.81	3,301.63-	31.18
9/14/09	9/15/09	328390030	4,148.8200	100.00	4,148.82	4,116.98-	31.84
HOUSEHOLD AUTOMOTIVE 4.940% 11/19/12							
5/17/09	5/21/09	CUSIP 44328FAM1 328390030	790.1700	100.00	790.17	783.32-	6.85
6/17/09	6/17/09	328390030	3,470.9200	100.00	3,470.92	3,440.82-	30.10
7/17/09	7/17/09	328390030	3,411.5000	100.00	3,411.50	3,381.92-	29.58
8/17/09	8/17/09	328390030	3,536.4400	100.00	3,536.44	3,505.77-	30.67
9/17/09	9/17/09	328390030	3,371.8400	100.00	3,371.84	3,342.60-	29.24
J P MORGAN MTG TR 6.500% 6/25/37							
10/25/08	10/27/08	CUSIP 46630WBC3 328390030	1,266.1000	100.00	1,266.10	1,268.47-	2.37-
11/25/08	11/25/08	328390030	495.5400	100.00	495.54	496.47-	0.93-
12/25/08	12/26/08	328390030	1,628.0600	100.00	1,628.06	1,631.11-	3.05-
1/25/09	1/26/09	328390030	485.2400	100.00	485.24	486.15-	0.91-
2/25/09	2/25/09	328390030	4,007.9700	100.00	4,007.97	4,015.49-	7.52-
3/25/09	3/27/09	328390030	515.1000	100.00	515.10	516.07-	0.97-
JP MORGAN MORTGAGE 6.000% 6/25/37							
10/25/08	10/27/08	CUSIP 46630WBB5 328390030	3,474.0700	100.00	3,474.07	3,475.16-	1.09-
11/25/08	11/25/08	328390030	19,854.8400	100.00	19,854.84	19,861.04-	6.20-
12/25/08	12/26/08	328390030	4,482.3000	100.00	4,482.30	4,483.70-	1.40-
1/25/09	1/26/09	328390030	1,093.0900	100.00	1,093.09	1,093.43-	0.34-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
2/25/09	2/25/09	328390030	11,566.2100	100.00	11,566.21	11,569.82-	3.61-
3/25/09	3/27/09	328390030	1,126.9900	100.00	1,126.99	1,127.34-	0.35-
LONG BEACH AUTO REC 4.250% 4/15/12							
CUSIP 542391CA3							
10/15/08	10/15/08	328390030	8,514.7400	100.00	8,514.74	8,392.34-	122.40
11/15/08	11/17/08	328390030	11,343.3800	100.00	11,343.38	11,180.32-	163.06
12/15/08	12/15/08	328390030	8,057.7000	100.00	8,057.70	7,941.87-	115.83
1/15/09	1/15/09	328390030	8,270.4400	100.00	8,270.44	8,151.55-	118.89
2/15/09	2/17/09	328390030	8,520.3100	100.00	8,520.31	8,397.83-	122.48
3/15/09	3/16/09	328390030	7,909.6100	100.00	7,909.61	7,795.91-	113.70
4/15/09	4/15/09	328390030	7,363.2300	100.00	7,363.23	7,257.38-	105.85
5/15/09	5/15/09	328390030	7,526.0000	100.00	7,526.00	7,402.36-	123.64
6/15/09	6/15/09	328390030	7,204.0200	100.00	7,204.02	7,085.67-	118.35
7/15/09	7/15/09	328390030	7,263.3300	100.00	7,263.33	7,144.00-	119.33
8/15/09	8/17/09	328390030	7,244.4300	100.00	7,244.43	7,125.41-	119.02
9/15/09	9/15/09	328390030	7,219.1700	100.00	7,219.17	7,100.57-	118.60

MASTR ASSET 5.500% 6/25/33

CUSIP 55265KKU8

10/25/08	10/27/08	328390030	308.7000	100.00	308.70	300.09-	8.61
11/25/08	11/25/08	328390030	437.6800	100.00	437.68	425.47-	12.21
12/25/08	12/26/08	328390030	281.3300	100.00	281.33	273.48-	7.85
1/25/09	1/26/09	328390030	1,056.6800	100.00	1,056.68	1,027.20-	29.48



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
2/25/09	2/26/09	328390030	734.1900	100.00	734.19	713.71-	20.48
3/25/09	3/25/09	328390030	2,328.3000	100.00	2,328.30	2,263.34-	64.96
4/25/09	4/27/09	328390030	1,572.7000	100.00	1,572.70	1,528.82-	43.88
5/25/09	5/26/09	328390030	3,274.9200	100.00	3,274.92	3,183.56-	91.36
6/25/09	6/25/09	328390030	3,499.8200	100.00	3,499.82	3,402.18-	97.64
7/25/09	7/27/09	328390030	4,034.3000	100.00	4,034.30	3,921.74-	112.56
8/25/09	8/25/09	328390030	2,202.1900	100.00	2,202.19	2,140.75-	61.44
9/25/09	9/25/09	328390030	933.0300	100.00	933.03	907.00-	26.03
NISSAN AUTO LEASE 5.110% 3/15/10							
CUSIP 65480AAC3							
10/15/08	10/15/08	328390030	20,710.9900	100.00	20,710.99	20,584.78-	126.21
11/15/08	11/17/08	328390030	20,084.0300	100.00	20,084.03	19,961.64-	122.39
12/15/08	12/15/08	328390030	16,628.3300	100.00	16,628.33	16,527.00-	101.33
1/15/09	1/15/09	328390030	17,558.7500	100.00	17,558.75	17,451.75-	107.00
RENAISSANCE HOME 5.608% 5/25/36							
CUSIP 759950GV4							
6/25/09	6/26/09	328390030	804.0700	100.00	804.07	739.74-	64.33
7/25/09	7/28/09	328390030	1,771.4800	100.00	1,771.48	1,629.76-	141.72
8/25/09	8/26/09	328390030	1,191.5000	100.00	1,191.50	1,096.18-	95.32
RESIDENTIAL ACCREDIT 5.750% 1/25/34							
CUSIP 76110HPZ6							
10/25/08	10/27/08	328390030	425.2800	100.00	425.28	312.66-	112.62
11/25/08	11/25/08	328390030	428.5500	100.00	428.55	315.06-	113.49



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CU J. MARION SIMS FDN - COMBINED

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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
12/25/08	12/26/08	328390030	431.2600	100.00	431.26	317.06-	114.20
1/25/09	1/26/09	328390030	449.4100	100.00	449.41	330.40-	119.01
2/25/09	2/25/09	328390030	1,486.8200	100.00	1,486.82	1,093.10-	393.72
3/25/09	3/25/09	328390030	1,607.4900	100.00	1,607.49	1,181.82-	425.67
4/25/09	4/27/09	328390030	1,319.6100	100.00	1,319.61	970.17-	349.44
5/25/09	5/27/09	328390030	719.1900	100.00	719.19	480.86-	238.33
RESIDENTIAL ASSET 6.000% 5/25/36							
CUSIP 45661EBT0							
10/25/08	10/27/08	328390030	1,095.4400	100.00	1,095.44	1,097.80-	2.36-
11/25/08	11/25/08	328390030	3,047.5700	100.00	3,047.57	3,054.13-	6.56-
RESIDENTIAL ASST 0.36775% 7/25/36							
CUSIP 75156WAB9							
2/25/09	2/25/09	328390030	17,617.6700	100.00	17,617.67	16,324.22-	1,293.45
3/25/09	3/25/09	328390030	22,319.3100	100.00	22,319.31	20,680.69-	1,638.62
4/25/09	4/27/09	328390030	23,279.7000	100.00	23,279.70	21,570.57-	1,709.13
5/25/09	5/26/09	328390030	20,981.2400	100.00	20,981.24	19,283.07-	1,698.17
6/25/09	6/25/09	328390030	17,532.8900	100.00	17,532.89	16,113.83-	1,419.06
7/25/09	7/27/09	328390030	22,090.9300	100.00	22,090.93	20,302.95-	1,787.98
8/25/09	8/25/09	328390030	21,544.1000	100.00	21,544.10	19,800.37-	1,743.73
9/25/09	9/25/09	328390030	21,649.2900	100.00	21,649.29	19,897.05-	1,752.24
SLM STUDENT LN TR 0.68425% 6/15/18							
CUSIP 78443CBS2							
9/15/08	10/02/08	328390030	51,158.2400-	100.00	51,158.24-	51,176.23	17.99



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
9/15/08	10/02/08	328390030	8,670.0300	100.00	8,670.03	8,673.08-	3.05-
12/15/08	12/15/08	328390030	22,293.1200	100.00	22,293.12	22,300.96-	7.84-
3/15/09	3/16/09	328390030	8,896.8500	100.00	8,896.85	8,899.98-	3.13-
6/15/09	6/15/09	328390030	10,876.4500	100.00	10,876.45	10,818.61-	57.84
9/15/09	9/16/09	328390030	35,630.9500	100.00	35,630.95	35,441.49-	189.46

5.524\$ 3/10/16

SMALL BUSINESS
CUSIP 831641EB7

3/10/09	3/10/09	328390030	1,725.3500	100.00	1,725.35	1,725.35-	0.00
9/10/09	9/10/09	328390030	5,798.7800	100.00	5,798.78	5,798.78-	0.00

5.500\$ 10/25/33

STRUCTURED ASSET
CUSIP 86359A4B6

10/25/08	10/27/08	328390030	169.5600	100.00	169.56	166.01-	3.55
11/25/08	11/25/08	328390030	121.1400	100.00	121.14	118.60-	2.54
12/25/08	12/26/08	328390030	119.8200	100.00	119.82	117.31-	2.51
1/25/09	1/26/09	328390030	438.4900	100.00	438.49	429.31-	9.18
2/25/09	2/25/09	328390030	235.1700	100.00	235.17	230.25-	4.92
3/25/09	3/25/09	328390030	817.0000	100.00	817.00	799.89-	17.11
4/25/09	4/27/09	328390030	259.1800	100.00	259.18	253.75-	5.43
5/25/09	5/26/09	328390030	712.6200	100.00	712.62	697.70-	14.92
6/25/09	6/25/09	328390030	1,474.7600	100.00	1,474.76	1,443.88-	30.88
7/25/09	7/27/09	328390030	365.9100	100.00	365.91	358.25-	7.66
8/25/09	8/25/09	328390030	290.3700	100.00	290.37	284.29-	6.08



SECURITY DISPOSITIONS
10/01/08 TO 9/30/09

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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
9/25/09	9/25/09	328390030	453.6800	100.00	453.68	444.18-	9.50
WFS FINL TR			4.390%	5/17/13			
10/17/08	10/17/08	CUSIP 962215AE9					
		328390030	12,110.0800	100.00	12,110.08	12,108.71-	1.37
11/17/08	11/17/08	328390030	11,937.2700	100.00	11,937.27	11,935.92-	1.35
12/17/08	12/17/08	328390030	10,541.0100	100.00	10,541.01	10,539.81-	1.20
1/17/09	1/20/09	328390030	11,334.4200	100.00	11,334.42	11,333.13-	1.29
2/17/09	2/17/09	328390030	11,372.7600	100.00	11,372.76	11,371.47-	1.29
3/17/09	3/17/09	328390030	10,940.2400	100.00	10,940.24	10,939.00-	1.24
4/17/09	4/17/09	328390030	11,077.1400	100.00	11,077.14	11,075.88-	1.26
5/17/09	5/19/09	328390030	8,226.4400	100.00	8,226.44	8,225.51-	0.93
6/17/09	6/17/09	328390030	7,705.0400	100.00	7,705.04	7,704.17-	0.87
7/17/09	7/17/09	328390030	7,597.9700	100.00	7,597.97	7,597.11-	0.86
8/17/09	8/17/09	328390030	7,511.2300	100.00	7,511.23	7,510.37-	0.86
9/17/09	9/18/09	328390030	1,768.3800	100.00	1,768.38	1,768.18-	0.20
TOTAL CORPORATE OBLIGATIONS			1,058,624.5300		1,058,624.53	1,030,466.15-	28,158.38
TOTAL PRINCIPAL PAYDOWNS					2,052,174.40	1,965,981.75-	86,192.65
TOTAL SECURITY DISPOSITIONS					77,634,798.49	81,894,062.20-	4,259,263.71



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J. Marion Sims Foundation, Inc.
Part IV - Capital Gains and Losses for Tax on
Investment Income - Line 1c
EI#: 57-0355295
For the Fiscal Year Ended 9/30/09

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DATE	DESCRIPTION	COST/MARKET
10/01/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3,645.24
10/02/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,675.26
10/03/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,030.18
10/06/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	11,740.16
10/07/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	12,890.61
10/08/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	893.78
10/09/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	8,959.39
10/10/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV CHASE VARIATION MARGIN 328390030	4,533.96-



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CAPITAL GAINS DISTRIBUTIONS
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DATE	DESCRIPTION	COST/MARKET
10/14/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	8,925.00
10/15/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	7,451.54
10/16/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	770.75
10/17/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	676.59
10/20/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	9,512.50
10/21/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	5,781.23-
10/22/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	5,346.51
10/23/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	10,627.70-



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DATE	DESCRIPTION	COST/MARKET
10/24/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	12,426.55-
10/27/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3,636.15-
10/28/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,982.82
10/29/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	9,412.93
10/30/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	7,142.18
10/31/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	122.27-
11/03/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,125.00-
11/04/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,712.27



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DATE	DESCRIPTION	COST/MARKET
11/05/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	5,381.26
11/06/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV CHASE VARIATION MARGIN 328390030	3,346.12
11/07/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	646.12
11/10/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV CHASE VARIATION MARGIN 328390030	2,168.76
11/12/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	297.60-
11/13/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	7,287.51-
11/14/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	9,226.14-
11/17/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	14,262.53-



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DATE	DESCRIPTION	COST/MARKET
11/18/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	6,190.64
11/19/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	5,537.47-
11/20/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	7,415.60-
11/21/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	13,950.00-
11/24/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	9,415.67-
11/26/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	10,234.75-
11/28/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	10,944.92-
12/01/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	12,299.92-



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DATE	DESCRIPTION	COST/MARKET
12/02/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	10,926.82-
12/03/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	976.57
12/04/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	956.22
12/05/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3,462.49-
12/08/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3,995.29-
12/09/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,157.82
12/10/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,251.61-
12/11/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,182.78



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DATE	DESCRIPTION	COST/MARKET
12/11/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	674.55-
12/16/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3,215.18-
12/17/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	545.32-
12/18/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	514.03-
12/19/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	6,321.87-
12/22/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	921.88-
12/23/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	806.25
12/24/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	304.68-



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DATE	DESCRIPTION	COST/MARKET
12/26/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	10.94-
12/29/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3.16-
12/29/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION FROM 12/15 328390030	1,077.71
12/30/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	120.34
12/30/08	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION FROM 12/12 328390030	1,820.34
12/31/08	PIMCO REAL RETURN FUND CAPITAL GAINS DISTRIBUTION DUE 12/10/08 AT \$.3911 PER SHARE CUSIP 693391104 328390130	137,851.81
1/02/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3,814.49
1/06/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	6,248.07



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DATE	DESCRIPTION	COST/MARKET
1/07/09	CASH RECEIPT	2,355.47
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
1/07/09	CASH RECEIPT	1,094.43
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION FROM 1/05/09	
	328390030	
1/08/09	CASH RECEIPT	606.17-
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
1/09/09	CASH RECEIPT	1,782.86
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
1/12/09	CASH RECEIPT	389.50-
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
1/12/09	CASH RECEIPT	4,048.45-
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION-12/31/08	
	328390030	
1/13/09	CASH RECEIPT	4,737.50-
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
1/14/09	CASH RECEIPT	1,059.37-
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	



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DATE	DESCRIPTION	COST/MARKET
1/15/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURE MARGIN VARIATION 328390030	8,781.26-
1/16/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,904.22-
1/21/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	5,177.01
1/22/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	7,673.40
1/23/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	4,970.36
1/23/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION FROM 1/20/09 328390030	4,948.44
1/27/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	437.50
1/28/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	4,564.80-



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DATE	DESCRIPTION	COST/MARKET
1/29/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	9,321.92
1/30/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	4,409.36
2/02/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,992.98-
2/03/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	12,747.45-
2/04/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	11,829.72
2/05/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION-FROM 1/26 328390030	104.72-
2/05/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,615.28
2/06/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,829.75



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DATE	DESCRIPTION	COST/MARKET
2/09/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	10,838.99
2/10/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	960.91
2/11/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	21,340.58-
2/12/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,236.40
2/13/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3,142.16-
2/17/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	6,751.51
2/18/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	15,579.67-
2/19/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	6,545.33



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DATE	DESCRIPTION	COST/MARKET
2/20/09	CASH RECEIPT	11,981.24
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
2/23/09	CASH RECEIPT	4,546.83-
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
2/24/09	CASH RECEIPT	3,345.32
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
2/25/09	CASH RECEIPT	1,762.57-
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
2/26/09	CASH RECEIPT	8,965.60
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
2/27/09	CASH RECEIPT	3,390.72
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
3/02/09	CASH RECEIPT	3,791.47-
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
3/03/09	CASH RECEIPT	8,950.43-
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	

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DATE	DESCRIPTION	COST/MARKET
3/04/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	451.60-
3/05/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,364.05-
3/06/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,753.14-
3/09/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	790.60-
3/10/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,365.60
3/11/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	801.09
3/12/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,112.46
3/13/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	604.69



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DATE	DESCRIPTION	COST/MARKET
3/16/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,423.45-
3/17/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,319.96
3/18/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	682.05
3/19/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,404.72
3/20/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,525.33-
3/23/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV CHASE VARIATION MARGIN 328390030	228.16-
3/24/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,371.55
3/25/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,431.20-



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DATE	DESCRIPTION	COST/MARKET
3/26/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,715.62
3/27/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,903.17-
3/30/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	440.61-
3/31/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	900.00-
4/01/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3,015.64-
4/02/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3,631.48-
4/03/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	6,515.59
4/06/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	8,578.17

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DATE	DESCRIPTION	COST/MARKET
4/07/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3,317.99
4/09/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	406.59-
4/13/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	7,899.96
4/14/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	7,543.78-
4/14/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION FROM 4/8/09 328390030	1,856.23-
4/15/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,500.02-
4/16/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	483.99
4/17/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	4,812.48



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DATE	DESCRIPTION	COST/MARKET
4/20/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	6,865.65
4/21/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	9,256.18-
4/22/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,187.52
4/23/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	9,662.02
4/24/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,896.83-
4/27/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	5,675.04
4/28/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3,828.09-
4/29/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	6,862.47



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DATE	DESCRIPTION	COST/MARKET
4/30/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	6,484.32
5/01/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	861.74
5/04/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	655.53
5/05/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	854.66-
5/06/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3,246.93
5/07/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3,926.58
5/08/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	11,118.83
5/11/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,612.42



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DATE	DESCRIPTION	COST/MARKET
5/12/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	7,431.16-
5/14/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,909.35-
5/15/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,009.40-
5/18/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,696.81
5/18/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION FROM 5/13/09 328390030	621.90-
5/19/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	8,731.34
5/20/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,690.55
5/21/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,062.45-



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DATE	DESCRIPTION	COST/MARKET
5/22/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	5,683.36
5/26/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,218.85
5/27/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	859.35
5/28/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	7,281.20
5/29/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	618.71-
6/01/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	7,372.23-
6/02/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	5,050.57
6/03/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,795.26-



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DATE	DESCRIPTION	COST/MARKET
6/04/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	349.96-
6/05/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	381.24
6/08/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,825.02-
6/09/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,168.76-
6/10/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,401.98
6/11/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,211.03
6/12/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,256.26
6/15/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	493.74

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DATE	DESCRIPTION	COST/MARKET
6/16/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	968.01
6/17/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	37.53
6/18/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	131.28-
6/19/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,009.36-
6/22/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	738.72
6/23/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,206.28
6/24/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	93.75-
6/26/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,721.89



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DATE	DESCRIPTION	COST/MARKET
6/29/09	CASH RECEIPT	15.64-
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
6/30/09	MYRIAD GENETICS INC	.00
	CAPITAL GAINS DISTRIBUTION	
	DUE 6/30/09 AT \$.8673 PER SHARE	
	CUSIP 62855J104	
	328390080	
6/30/09	CASH RECEIPT	87.53
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
7/01/09	CASH RECEIPT	715.64-
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
7/01/09	CASH RECEIPT	178.15-
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION FROM 6/25	
	328390030	
7/02/09	CASH RECEIPT	1,179.16
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
7/06/09	CASH RECEIPT	1,831.24
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
7/07/09	CASH RECEIPT	1,453.11
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	

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DATE	DESCRIPTION	COST/MARKET
7/08/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	668.75
7/09/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,721.89
7/10/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,853.15
7/13/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	743.75-
7/14/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	262.89-
7/15/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,353.14
7/16/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,359.38
7/17/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	734.43

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DATE	DESCRIPTION	COST/MARKET
7/20/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	824.96
7/21/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	34.39
7/22/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	518.71
7/23/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,240.69
7/24/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	415.65-
7/27/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	168.73
7/28/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,559.38
7/29/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,006.23-



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7/30/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,553.15-
7/31/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,337.52-
8/03/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,518.73-
8/04/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,107.03
8/05/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,765.62
8/06/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,749.26
8/07/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	625.01-
8/10/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,237.51



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DATE	DESCRIPTION	COST/MARKET
8/12/09	CASH RECEIPT	3,496.92-
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
8/12/09	CASH RECEIPT	2,287.48-
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
8/13/09	CASH RECEIPT	1,978.13
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
8/14/09	CASH RECEIPT	2,346.85-
	SHORT-TERM CAPITAL GAIN DIV	
	US9L US LONG BOND (CBT) 09 9/19/09	
	FUTURES MARGIN VARIATION	
	CUSIP 9WVFC0846	
	328390030	
8/17/09	CASH RECEIPT	1,318.74-
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
8/18/09	CASH RECEIPT	1,441.41-
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
8/19/09	CASH RECEIPT	1,040.66
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
8/20/09	CASH RECEIPT	1,543.79-
	SHORT-TERM CAPITAL GAIN DIV	
	CHASE VARIATION MARGIN	
	328390030	



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DATE	DESCRIPTION	COST/MARKET
8/21/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,493.73-
8/24/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3,837.50
8/25/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURE MARGIN VARIATION 328390030	3,493.77-
8/26/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,878.12-
8/27/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,245.07-
8/28/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,265.64
8/31/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	193.76
9/01/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,562.50-

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DATE	DESCRIPTION	COST/MARKET
9/02/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	666.38-
9/03/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3,612.48-
9/04/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,146.86
9/08/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	4,609.37
9/10/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	615.61
9/11/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	5,543.75-
9/14/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	337.86-
9/15/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	881.25



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CAPITAL GAINS DISTRIBUTIONS
FOR 10/01/08 TO 9/30/09

320078300
CU J. MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST/MARKET
9/16/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,178.11
9/17/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	190.64
9/18/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 9/9/09 328390030	1,324.64
9/18/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,253.14-
9/21/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,325.00
9/22/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	481.25
9/23/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	168.75-
9/24/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,087.50-



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CAPITAL GAINS DISTRIBUTIONS
FOR 10/01/08 TO 9/30/09

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CU J. MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST/MARKET
9/25/09	CASH RECEIPT	34.36-
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
9/28/09	CASH RECEIPT	1,137.50-
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
9/29/09	CASH RECEIPT	1,315.64-
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	
9/30/09	CASH RECEIPT	84.36-
	SHORT-TERM CAPITAL GAIN DIV	
	FUTURES MARGIN VARIATION	
	328390030	

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TOTAL CAPITAL GAINS DISTRIBUTIONS	175,819.91
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J. Marion Sims Foundation, Inc.
Part IV - Capital Gains and Losses for Tax on
Investment Income - Line 1d

EI#: 57-0355295

For the Fiscal Year Ended 9/30/09

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CU J. MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST	MARKET
10/01/08	F H L M C MLTCL MTG 5.5% 10/15/14 FREE RECEIPT 157,113.47 SHS/UNITS TO REFLECT COLLATERAL HELD BY JP MORGAN CUSIP 31397KPG0 328390030	99.98	158,940.70
10/20/08	FVZ8L - US 5YRNOTE 12/08 12/20/08 FREE RECEIPT 3 SHS/UNITS CUSIP 9MWFC9060 328390030	0.00	0.00
10/20/08	FVZ8L - US 5YRNOTE 12/08 12/20/08 FREE RECEIPT 3 SHS/UNITS CUSIP 9MWFC9060 328390030	0.00	0.00
10/20/08	FVZ8L - US 5YRNOTE 12/08 12/20/08 FREE RECEIPT 4 SHS/UNITS CUSIP 9MWFC9060 328390030	0.00	0.00
10/20/08	US 2 YR NOTE 12/08 12/20/08 FREE RECEIPT 4 SHS/UNITS CUSIP 9MWFC9169 328390030	0.00	0.00
10/22/08	USZ8L - US LONG BOND 12/08 1/01/09 FREE RECEIPT 1 SHS/UNITS CUSIP 9MWFC7429 328390030	0.00	0.00
10/23/08	FVZ8L - US 5YRNOTE 12/08 12/20/08 FREE RECEIPT 3 SHS/UNITS CUSIP 9MWFC9060 328390030	0.00	0.00
11/07/08	USZ8L - US LONG BOND 12/08 1/01/09 FREE RECEIPT 2 SHS/UNITS CUSIP 9MWFC7429 328390030	0.00	0.00



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ASSETS RECEIVED
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DATE	DESCRIPTION	COST	MARKET
11/14/08	US 2 YR NOTE 12/08 12/20/08 FREE RECEIPT 1 SHS/UNITS CUSIP 9MWFC9169 328390030	0.00	0.00
11/14/08	US 2 YR NOTE 12/08 12/20/08 FREE RECEIPT 2 SHS/UNITS CUSIP 9MWFC9169 328390030	0.00	0.00
12/02/08	US LONG BOND MAR09 3/21/09 FREE RECEIPT 3 SHS/UNITS CUSIP 9MWFC9524 328390030	0.00	0.00
12/02/08	US 10YR NOTE FUT MAR09 3/21/09 FREE RECEIPT 5 SHS/UNITS CUSIP 9MWFC9532 328390030	0.00	0.00
12/02/08	US 10YR NOTE FUT MAR09 3/21/09 FREE RECEIPT 6 SHS/UNITS CUSIP 9MWFC9540 328390030	0.00	0.00
12/02/08	US 2 YR NOTE FUT MAR 09 4/01/09 FREE RECEIPT 5 SHS/UNITS CUSIP 9MWFC9607 328390030	0.00	0.00
12/02/08	US 2 YR NOTE FUT MAR 09 4/01/09 FREE RECEIPT 1 SHS/UNITS CUSIP 9MWFC9607 328390030	0.00	0.00
12/02/08	US 5YR NOTE CBT MAR 09 4/04/09 FREE RECEIPT 3 SHS/UNITS CUSIP 9MWFC9573 328390030	0.00	0.00



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ASSETS RECEIVED
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CU J. MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST	MARKET
1/08/09	US 2 YR NOTE FUT MAR 09 4/01/09 FREE RECEIPT 3 SHS/UNITS CUSIP 9MWFC9607 328390030	0.00	0.00
1/08/09	US 5YR NOTE CBT MAR 09 4/04/09 FREE RECEIPT 1 SHS/UNITS CUSIP 9MWFC9573 328390030	0.00	0.00
1/12/09	US LONG BOND MAR09 3/21/09 FREE RECEIPT 1 SHS/UNITS CUSIP 9MWFC9524 328390030	0.00	0.00
1/13/09	U S TREASURY NT 1.25% 11/30/10 FREE RECEIPT 45,000 SHS/UNITS RECEIVED FROM BEARS STEARNS COLLATERAL CUSIP 912828JS0 328390030	1.00	45,492.30
2/03/09	EDH9A - FIN FUT E\$CME 3/16 3/16/09 FREE RECEIPT 6 SHS/UNITS CUSIP 9MWFC4822 328390030	0.00	0.00
2/03/09	EDM9A - FIN FUT E\$CME 6/15/09 FREE RECEIPT 6 SHS/UNITS CUSIP 9MWFC4848 328390030	0.00	0.00
2/03/09	EDU9A - PF EURO DOLLAR 9/09 9/15/09 FREE RECEIPT 6 SHS/UNITS CUSIP 9MWFC5290 328390030	0.00	0.00
2/03/09	EDZ9A - S&P MINI 12/09 12/15/09 FREE RECEIPT 6 SHS/UNITS CUSIP 9MWFC5324 328390030	0.00	0.00



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ASSETS RECEIVED
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CU J. MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST	MARKET
2/03/09	US 2 YR NOTE FUT MAR 09 4/01/09 FREE RECEIPT 6 SHS/UNITS CUSIP 9MWFC9607 328390030	0.00	0.00
2/05/09	US 2 YR NOTE FUT MAR 09 4/01/09 FREE RECEIPT 5 SHS/UNITS CUSIP 9MWFC9607 328390030	0.00	0.00
2/12/09	US 2 YR NOTE FUT MAR 09 4/01/09 FREE RECEIPT 3 SHS/UNITS CUSIP 9MWFC9607 328390030	0.00	0.00
2/25/09	US 2 YR NOTE FUT MAR 09 4/01/09 FREE RECEIPT 14 SHS/UNITS CUSIP 9MWFC9607 328390030	0.00	0.00
2/25/09	US 5YR NOTE CBT MAR 09 4/04/09 FREE RECEIPT 7 SHS/UNITS CUSIP 9MWFC9573 328390030	0.00	0.00
2/25/09	USM9L JUN 09 US T BOND 6/19 6/19/09 FREE RECEIPT 2 SHS/UNITS CUSIP 9MWFC0044 328390030	0.00	0.00
3/03/09	EDU9A - FF EURODOLLAR 9/09 9/15/09 FREE RECEIPT 2 SHS/UNITS CUSIP 9MWFC5290 328390030	0.00	0.00
3/03/09	TYM9A - FIN FUT 10YRCBT 6/1 6/19/09 FREE RECEIPT 6 SHS/UNITS CUSIP 9MWFC7775 328390030	0.00	0.00



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ASSETS RECEIVED
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CU J. MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST	MARKET
3/17/09	U S TREASURY NT 2.75% 2/15/19 FREE RECEIPT 45,000 SHS/UNITS RECEIVED FROM BEAR STEARNS COLLATERAL SUBSTITUTION CUSIP 912828KD1 328390030	1.00	44,226.45
3/17/09	USM9L JUN 09 US T BOND 6/19 6/19/09 FREE RECEIPT 1 SHS/UNITS CUSIP 9MWFC0044 328390030	0.00	0.00
3/18/09	TYM9L - FIN FUT 10YRCBT 6/1 6/19/09 FREE RECEIPT 3 SHS/UNITS CUSIP 9MWFC0002 328390030	0.00	0.00
3/20/09	FVM9L - US 5YR NOTE CBT JUN 7/18/09 FREE RECEIPT 2 SHS/UNITS CUSIP 9MWFC9979 328390030	0.00	0.00
3/20/09	US 2YR NOTE CBT JUN 09 7/18 7/18/09 FREE RECEIPT 3 SHS/UNITS CUSIP 9MWFC0085 328390030	0.00	0.00
3/26/09	U S TREASURY NT 0.875% 2/28/11 FREE RECEIPT 45,000 SHS/UNITS DIRECTED SWAP FROM ATLANTIC FINANCIAL CUSIP 912828KE9 328390030	45,000.00	44,963.10
4/02/09	EDHOA - 90 DAY EURO 3/10 3/16/10 FREE RECEIPT 5 SHS/UNITS CUSIP 9MWFC5274 328390030	0.00	0.00
4/02/09	EDM9A - FIN FUT E\$CME 6/15/09 FREE RECEIPT 5 SHS/UNITS CUSIP 9MWFC4848 328390030	0.00	0.00



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ASSETS RECEIVED
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CU J. MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST	MARKET
4/02/09	EDU9A - FF EURODOLLAR 9/09 9/15/09 FREE RECEIPT 5 SHS/UNITS CUSIP 9MWFC5290 328390030	0.00	0.00
4/02/09	ED29A - S&P MINI 12/09 12/15/09 FREE RECEIPT 5 SHS/UNITS CUSIP 9MWFC5324 328390030	0.00	0.00
4/02/09	US 2YR NOTE CBT JUN 09 7/18 7/18/09 FREE RECEIPT 8 SHS/UNITS CUSIP 9MWFC0085 328390030	0.00	0.00
4/03/09	U S TREASURY NT 2.75% 2/15/19 FREE RECEIPT 45,000 SHS/UNITS RECEIVED FROM JPMCHASE COLLATERAL SUBSTITUTION CUSIP 912828KD1 328390030	1.00	44,986.05
4/07/09	US 2YR NOTE CBT JUN 09 7/18 7/18/09 FREE RECEIPT 2 SHS/UNITS CUSIP 9MWFC0085 328390030	0.00	0.00
4/09/09	US 2YR NOTE CBT JUN 09 7/18 7/18/09 FREE RECEIPT 1 SHS/UNITS CUSIP 9MWFC0085 328390030	0.00	0.00
4/16/09	USM9L JUN 09 US T BOND 6/19 6/19/09 FREE RECEIPT 1 SHS/UNITS CUSIP 9MWFC0044 328390030	0.00	0.00
5/07/09	TYM9L - FIN FUT 10YRCBT 6/1 6/19/09 FREE RECEIPT 4 SHS/UNITS CUSIP 9MWFC0002 328390030	0.00	0.00



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ASSETS RECEIVED
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DATE	DESCRIPTION	COST	MARKET
5/28/09	TU09A US 2YR NOTE (CBT) 09/ 9/19/09 FREE RECEIPT 2 SHS/UNITS CUSIP 9MWFC0614 328390030	0.00	0.00
5/28/09	TU09L US 2YR NOTE (CBT) 09/ 9/19/09 FREE RECEIPT 13 SHS/UNITS CUSIP 9MWFC0820 328390030	0.00	0.00
5/28/09	US09L US LONG BOND (CBT) 09 9/19/09 FREE RECEIPT 5 SHS/UNITS CUSIP 9MWFC0846 328390030	0.00	0.00
6/02/09	EDM0A - FF EURO CME 6/10 6/15/10 FREE RECEIPT 1 SHS/UNITS CUSIP 9MWFC5282 328390030	0.00	0.00
6/02/09	TY09A US 10YR NOTE 09/09 9/19/09 FREE RECEIPT 4 SHS/UNITS CUSIP 9MWFC0838 328390030	0.00	0.00
6/10/09	BIOMIMETIC THERAPEUTICS RTS 6/15/09 FREE RECEIPT 2,354 SHS/UNITS RIGHTS RECEIVED FROM CUSIP 09064X101 CUSIP 09064X994 328390080	0.00	0.00
6/10/09	TU09L US 2YR NOTE (CBT) 09/ 9/19/09 FREE RECEIPT 1 SHS/UNITS CUSIP 9MWFC0820 328390030	0.00	0.00
6/10/09	TU09L US 2YR NOTE (CBT) 09/ 9/19/09 FREE RECEIPT 1 SHS/UNITS CUSIP 9MWFC0820 328390030	0.00	0.00



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ASSETS RECEIVED
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DATE	DESCRIPTION	COST	MARKET
6/10/09	USU9L US LONG BOND (CBT) 09 9/19/09 FREE RECEIPT 1 SHS/UNITS CUSIP 9MWFC0846 328390030	0.00	0.00
6/11/09	FVU9A US 5YR NOTE (CBT) 09/ 9/19/09 FREE RECEIPT 2 SHS/UNITS CUSIP 9MWFC0622 328390030	0.00	0.00
6/11/09	TU09L US 2YR NOTE (CBT) 09/ 9/19/09 FREE RECEIPT 2 SHS/UNITS CUSIP 9MWFC0820 328390030	0.00	0.00
6/22/09	TU09A US 2YR NOTE (CBT) 09/ 9/19/09 FREE RECEIPT 2 SHS/UNITS CUSIP 9MWFC0614 328390030	0.00	0.00
6/30/09	MYRIAD PHARMACEUTICALS INC FREE RECEIPT 195.5 SHS/UNITS CUSIP 62856H107 328390080	678.25	924.72
7/07/09	EDU9A - FF EURODOLLAR 9/09 9/15/09 FREE RECEIPT 3 SHS/UNITS CUSIP 9MWFC5290 328390030	0.00	0.00
7/07/09	EDZ9A - S&P MINI 12/09 12/15/09 FREE RECEIPT 3 SHS/UNITS CUSIP 9MWFC5324 328390030	0.00	0.00
7/07/09	TU09L US 2YR NOTE (CBT) 09/ 9/19/09 FREE RECEIPT 4 SHS/UNITS CUSIP 9MWFC0820 328390030	0.00	0.00



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ASSETS RECEIVED
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DATE	DESCRIPTION	COST	MARKET
7/14/09	USU9L US LONG BOND (CBT) 09 9/19/09 FREE RECEIPT 1 SHS/UNITS CUSIP 9MWFC0846 328390030	0.00	0.00
7/20/09	ROVI CORP FREE RECEIPT 1,173 SHS/UNITS SHARES RECEIVED FROM MACROVISION SOLUTIONS CORP (55611C108) DUE TO NAME CHANGE CUSIP 779376102 328390080	21,032.09	29,313.27
8/05/09	EDHOL - 90 DAY EURO 3/10 3/21/10 FREE RECEIPT 1 SHS/UNITS CUSIP 9MWFC5126 328390030	0.00	0.00
8/05/09	EDMOL - FF EURO CME 6/10 6/18/10 FREE RECEIPT 1 SHS/UNITS CUSIP 9MWFC5175 328390030	0.00	0.00
8/05/09	EDU9L - FF EURO DOLLAR 9/09 9/15/09 FREE RECEIPT 1 SHS/UNITS CUSIP 9MWFC5191 328390030	0.00	0.00
8/05/09	EDZ9L - S&P MINI 12/09 12/15/09 FREE RECEIPT 1 SHS/UNITS CUSIP 9MWFC5233 328390030	0.00	0.00
8/27/09	TUZ9L - 2 YEAR TREASURIES 12/17/09 FREE RECEIPT 2 SHS/UNITS CUSIP 9MWFC760 328390030	0.00	0.00
8/27/09	TYZ9A US 10YR NOTE 12/09 12/19/09 FREE RECEIPT 3 SHS/UNITS CUSIP 9MWFC869 328390030	0.00	0.00



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ASSETS RECEIVED
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CU J. MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST	MARKET
8/27/09	US99L US LONG BOND (CBT) 09 9/19/09 FREE RECEIPT 2 SHS/UNITS CUSIP 9MWFC0846 328390030	0.00	0.00
8/27/09	US29L US LONG BOND 12/09 12/19/09 FREE RECEIPT 4 SHS/UNITS CUSIP 9MWFC836 328390030	0.00	0.00
9/04/09	VISTAPRINT NV FREE RECEIPT 209 SHS/UNITS SHARES RECEIVED FROM VISTAPRINT LTD (G93762204) DUE TO REORGANIZATION CUSIP N93540107 328390080	7,022.02	8,282.67
9/30/09	ATLAS AMERICA INC FREE RECEIPT 693.68 SHS/UNITS SHARES RECEIVED FROM ATLAS ENERGY LLC (049303100) DUE TO MERGER CUSIP 049167109 328390080	17,844.92	17,844.92
TOTAL ASSETS RECEIVED		91,680.26	394,974.18



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ASSETS DELIVERED
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CU J. MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST	MARKET
10/20/08	FVZ8L - US 5YRNOTE 12/08 12/20/08 DISTRIBUTED 6.00 SHARES/UNITS CUSIP 9MWFC9060 328390030	0.00	0.00
10/20/08	FVZ8L - US 5YRNOTE 12/08 12/20/08 DISTRIBUTED 4.00 SHARES/UNITS CUSIP 9MWFC9060 328390030	0.00	0.00
10/29/08	USZ8L - US LONG BOND 12/08 1/01/09 DISTRIBUTED 1.00 SHARES/UNITS CUSIP 9MWFC7429 328390030	0.00	0.00
10/31/08	FVZ8L - US 5YRNOTE 12/08 12/20/08 DISTRIBUTED 1.00 SHARES/UNITS CUSIP 9MWFC9060 328390030	0.00	0.00
12/02/08	US 10YR NOTE FUT MAR09 3/21/09 DISTRIBUTED 6.00 SHARES/UNITS CUSIP 9MWFC9540 328390030	0.00	0.00
12/02/08	USZ8L - US LONG BOND 12/08 1/01/09 DISTRIBUTED 2.00 SHARES/UNITS CUSIP 9MWFC7429 328390030	0.00	0.00
12/23/08	U S TREASURY NT 1.25% 11/30/10 DISTRIBUTED 45,000.00 SHARES/UNITS DELIVERED TO BEARS STEARNS FOR C3134A4AA2 CUSIP 912828J50 328390030	45,450.00-	45,386.55-
12/31/08	RESIDENTIAL ASSET 6% 5/25/36 DISTRIBUTED 3,047.57 SHARES/UNITS CUSIP 45661EBT0 328390030	3,054.13-	1,869.68-



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ASSETS DELIVERED
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DATE	DESCRIPTION	COST	MARKET
1/30/09	BARCLAYS GLOBAL INVESTORS CASH DISTRIBUTED 0.07 SHARES/UNITS CUSIP 948994108 328390010	0.07-	0.07-
2/02/09	US LONG BOND MAR09 3/21/09 DISTRIBUTED 4.00 SHARES/UNITS CUSIP 9MWFC9524 328390030	0.00	0.00
2/03/09	US 10YR NOTE FUT MAR09 3/21/09 DISTRIBUTED 5.00 SHARES/UNITS CUSIP 9MWFC9532 328390030	0.00	0.00
2/19/09	U S TREASURY NT 2.75% 2/15/19 DISTRIBUTED 45,000.00 SHARES/UNITS SWAP FOR CUSIP 912828JR2 CUSIP 912828KD1 328390030	45,288.28-	45,070.20-
2/26/09	EDH8A - 90 DAY EURO DOLLAR 3/17/08 DISTRIBUTED 2.00 SHARES/UNITS EXPIRED CUSIP 9MWFC4277 328390030	0.00	0.00
2/26/09	EDH8L- 90DAY EURO\$3/08 3/17/08 DISTRIBUTED 2.00 SHARES/UNITS EXPIRED CUSIP 9MWFC5100 328390030	0.00	0.00
2/26/09	EDM7A - FF EURO CME 6/18/07 6/18/07 DISTRIBUTED 8.00 SHARES/UNITS EXPIRED CUSIP 9MWFC4517 328390030	0.00	0.00



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ASSETS DELIVERED
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DATE	DESCRIPTION	COST	MARKET
2/26/09	EDM7L - FF EURO CME 6/19/07 6/19/07 DISTRIBUTED 8.00 SHARES/UNITS EXPIRED CUSIP 9MWFC5142 328390030	0.00	0.00
2/26/09	EDM8A - FIN FUT ESCME 6/16 6/16/08 DISTRIBUTED 2.00 SHARES/UNITS EXPIRED CUSIP 9MWFC4830 328390030	0.00	0.00
2/26/09	EDM8L - FF EURO CME 6/08 6/17/08 DISTRIBUTED 2.00 SHARES/UNITS EXPIRED CUSIP 9MWFC5159 328390030	0.00	0.00
2/26/09	EDU7 USTN EURO \$9/30/07 9/20/07 DISTRIBUTED 2.00 SHARES/UNITS EXPIRED CUSIP 9MWFC2107 328390030	0.00	0.00
2/26/09	EDU7L - EURO DOLLAR FUTURE 9/30/07 DISTRIBUTED 1.00 SHARES/UNITS EXPIRED CUSIP 9MWFC4376 328390030	0.00	0.00
2/26/09	EDU8A - 90 DAY EURO 9/08 9/15/08 DISTRIBUTED 1.00 SHARES/UNITS EXPIRED CUSIP 9MWFC4301 328390030	0.00	0.00
2/26/09	EDU8L - 90 DAY EURO 9/08 9/15/08 DISTRIBUTED 3.00 SHARES/UNITS EXPIRED CUSIP 9MWFC5068 328390030	0.00	0.00



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CU J. MARION SIMS FDN - COMBINED

DATE	DESCRIPTION	COST	MARKET
2/26/09	EDZ7L - S&P MINI 12/07 12/18/07 DISTRIBUTED 1.00 SHARES/UNITS EXPIRED CUSIP 9MWFC5217 328390030	0.00	0.00
2/26/09	EDZ8L - S&P MINI 12/08 12/16/08 DISTRIBUTED 4.00 SHARES/UNITS EXPIRED CUSIP 9MWFC5225 328390030	0.00	0.00
2/26/09	EURO\$09/06 FUTURE 9/20/06 DISTRIBUTED 2.00 SHARES/UNITS EXPIRED CUSIP 783792UP7 328390030	200.00-	2.00-
2/26/09	FVM8A - FIN FUT US 5YR 6/08 6/20/08 DISTRIBUTED 2.00 SHARES/UNITS EXPIRED CUSIP 9MWFC7841 328390030	0.00	0.00
2/26/09	FVM8L - FIN FUT US 5YR 6/08 6/30/08 DISTRIBUTED 2.00 SHARES/UNITS EXPIRED CUSIP 9MWFC7817 328390030	0.00	0.00
2/26/09	FVU8L - US 5YRNOTE 9/08 9/19/08 DISTRIBUTED 4.00 SHARES/UNITS EXPIRED CUSIP 9MWFC8468 328390030	0.00	0.00
2/26/09	FVZ7L - US 5YR 12/07 12/31/07 DISTRIBUTED 1.00 SHARES/UNITS EXPIRED CUSIP 9MWFC6892 328390030	0.00	0.00



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ASSETS DELIVERED
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CU J. MARION SIMS FDN - COMBINED

DATE	DESCRIPTION	COST	MARKET
2/26/09	TUH7A - US 2YR NOTE MAR07 3/30/07 DISTRIBUTED 5.00 SHARES/UNITS EXPIRED CUSIP 9MWFC5530 328390030	0.00	0.00
2/26/09	TUH8A - US 2YR MAR08 3/31/08 DISTRIBUTED 3.00 SHARES/UNITS EXPIRED CUSIP 9MWFC7353 328390030	0.00	0.00
2/26/09	TUM7A - US 2YR NOTE JUN07 6/19/07 DISTRIBUTED 2.00 SHARES/UNITS EXPIRED CUSIP 9MWFC5969 328390030	0.00	0.00
2/26/09	TUM8A - US 2YRNOTE 6/08 6/20/08 DISTRIBUTED 3.00 SHARES/UNITS EXPIRED CUSIP 9MWFC8039 328390030	0.00	0.00
2/26/09	TUM8L - US 2YRNOTE 6/08 6/20/08 DISTRIBUTED 2.00 SHARES/UNITS EXPIRED CUSIP 9MWFC8070 328390030	0.00	0.00
2/26/09	TUU7A - US 2YR NOTE SEP07 9/28/07 DISTRIBUTED 3.00 SHARES/UNITS EXPIRED CUSIP 9MWFC6454 328390030	0.00	0.00
2/26/09	TUU7L - US 2YR NOTE SEP07 9/28/07 DISTRIBUTED 3.00 SHARES/UNITS EXPIRED CUSIP 9MWFC6488 328390030	0.00	0.00



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ASSETS DELIVERED
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CU J. MARION SIMS FDN - COMBINED

DATE	DESCRIPTION	COST	MARKET
2/26/09	TU08A - US 2YRNOTE 9/08 10/04/08 DISTRIBUTED 2.00 SHARES/UNITS EXPIRED CUSIP 9MWFC8476 328390030	0.00	0.00
2/26/09	TU27A - US 2YR NOTE DEC07 12/21/07 DISTRIBUTED 5.00 SHARES/UNITS EXPIRED CUSIP 9MWFC6918 328390030	0.00	0.00
2/26/09	TYH8L - US 10YR NOTE MAR08 3/19/08 DISTRIBUTED 9.00 SHARES/UNITS EXPIRED CUSIP 9MWFC7387 328390030	0.00	0.00
2/26/09	TYM7A - US 10YR NOTE JUN07 6/20/07 DISTRIBUTED 5.00 SHARES/UNITS EXPIRED CUSIP 9MWFC6041 328390030	0.00	0.00
2/26/09	TYM8L - US 10YRNOTE JUN08 6/20/08 DISTRIBUTED 5.00 SHARES/UNITS EXPIRED CUSIP 9MWFC7759 328390030	0.00	0.00
2/26/09	TYU8L - US 10YRNOTE 9/08 9/19/08 DISTRIBUTED 1.00 SHARES/UNITS EXPIRED CUSIP 9MWFC8450 328390030	0.00	0.00
2/26/09	TYZ7A - US 10YRNOTE 12/07 12/19/07 DISTRIBUTED 2.00 SHARES/UNITS EXPIRED CUSIP 9MWFC6827 328390030	0.00	0.00



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ASSETS DELIVERED
FOR 10/01/08 TO 9/30/09

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CU J. MARION SIMS FDN - COMBINED

DATE	DESCRIPTION	COST	MARKET
2/26/09	US 2 YR NOTE 12/08 12/20/08 DISTRIBUTED 5.00 SHARES/UNITS EXPIRED CUSIP 9MWFC9169 328390030	0.00	0.00
2/26/09	USM7L - US LONG BOND 6/07 6/20/07 DISTRIBUTED 21.00 SHARES/UNITS EXPIRED CUSIP 9MWFC5951 328390030	0.00	0.00
2/26/09	USM8L - US LONG BND 6/08 6/20/08 DISTRIBUTED 10.00 SHARES/UNITS EXPIRED CUSIP 9MWFC7882 328390030	0.00	0.00
2/26/09	USTN 06/06 10 YR 6/30/06 DISTRIBUTED 3.00 SHARES/UNITS EXPIRED CUSIP 783793HO3 328390030	300.00-	3.00-
2/26/09	USTN 12/06 10YR 12/31/06 DISTRIBUTED 3.00 SHARES/UNITS EXPIRED CUSIP 783793JI4 328390030	100.00-	3.00-
2/26/09	USTN 12/06 2YR 12/31/06 DISTRIBUTED 6.00 SHARES/UNITS EXPIRED CUSIP 783793JK9 328390030	400.00-	6.00-
2/26/09	US08A - US30YRBND 9/08 9/19/08 DISTRIBUTED 1.00 SHARES/UNITS EXPIRED CUSIP 9MWFC8500 328390030	0.00	0.00



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ASSETS DELIVERED
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CU J. MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST	MARKET
2/26/09	US27A - US LONG BOND 12/07 12/21/07 DISTRIBUTED 1.00 SHARES/UNITS EXPIRED CUSIP 9MWFC6942 328390030	0.00	0.00
3/03/09	EDH9A - FIN FUT E\$CME 3/16 3/16/09 DISTRIBUTED 7.00 SHARES/UNITS CUSIP 9MWFC4822 328390030	0.00	0.00
3/03/09	EDM9A - FIN FUT E\$CME 6/15/09 DISTRIBUTED 6.00 SHARES/UNITS CUSIP 9MWFC4848 328390030	0.00	0.00
3/03/09	EDU9A - FF EURO DOLLAR 9/09 9/15/09 DISTRIBUTED 6.00 SHARES/UNITS CUSIP 9MWFC5290 328390030	0.00	0.00
3/03/09	EDZ9A - S&P MINI 12/09 12/15/09 DISTRIBUTED 6.00 SHARES/UNITS CUSIP 9MWFC5324 328390030	0.00	0.00
3/17/09	U S TREASURY NT 0.875% 2/28/11 DISTRIBUTED 45,000.00 SHARES/UNITS DELIVERED TO JPM CHASE CUSIP 912828KE9 328390030	44,943.75-	44,887.50-
3/24/09	US 2YR NOTE CBT JUN 09 7/18 7/18/09 DISTRIBUTED 3.00 SHARES/UNITS CUSIP 9MWFC0085 328390030	0.00	0.00
3/25/09	EDH9L - 90 DAY EURO 3/09 3/17/09 DISTRIBUTED 3.00 SHARES/UNITS CUSIP 9MWFC5118 328390030	0.00	0.00



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ASSETS DELIVERED
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CU J. MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST	MARKET
3/26/09	U S TREASURY NT 2.75% 2/15/19 DISTRIBUTED 45,000.00 SHARES/UNITS ATLANTIC DIRECTED SWAP CUSIP 912828KD1 328390030	30,216.26-	44,915.85-
4/02/09	FVM9L - US 5YR NOTE CBT JUN 7/18/09 DISTRIBUTED 1.00 SHARES/UNITS CUSIP 9MWFC9979 328390030	0.00	0.00
4/02/09	TYM9A - FIN FUT 10YRCBT 6/1 6/19/09 DISTRIBUTED 4.00 SHARES/UNITS CUSIP 9MWFC7775 328390030	0.00	0.00
4/24/09	US 2 YR NOTE FUT MAR 09 4/01/09 DISTRIBUTED 31.00 SHARES/UNITS CUSIP 9MWFC9607 328390030	0.00	0.00
4/24/09	US 5YR NOTE CBT MAR 09 4/04/09 DISTRIBUTED 11.00 SHARES/UNITS CUSIP 9MWFC9573 328390030	0.00	0.00
5/27/09	CITIGROUP MTG LN 0.36813% 8/25/36 DISTRIBUTED 0.33 SHARES/UNITS CUSIP 172983AC2 328390030	0.31-	0.23-
5/28/09	US 2YR NOTE CBT JUN 09 7/18 7/18/09 DISTRIBUTED 8.00 SHARES/UNITS CUSIP 9MWFC0085 328390030	0.00	0.00
5/28/09	USM9L JUN 09 US T BOND 6/19 6/19/09 DISTRIBUTED 3.00 SHARES/UNITS CUSIP 9MWFC0044 328390030	0.00	0.00



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ASSETS DELIVERED
FOR 10/01/08 TO 9/30/09

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CU J. MARION SIMS FDN - COMBINED

DATE	DESCRIPTION	COST	MARKET
6/02/09	EDH0A - 90 DAY EURO 3/10 3/16/10 DISTRIBUTED 5.00 SHARES/UNITS CUSIP 9MWFC5274 328390030	0.00	0.00
6/02/09	EDM9A - FIN FUT E\$CME 6/15/09 DISTRIBUTED 5.00 SHARES/UNITS CUSIP 9MWFC4848 328390030	0.00	0.00
6/02/09	EDU9A - FF EURO DOLLAR 9/09 9/15/09 DISTRIBUTED 7.00 SHARES/UNITS CUSIP 9MWFC5290 328390030	0.00	0.00
6/02/09	EDZ9A - S&P MINI 12/09 12/15/09 DISTRIBUTED 5.00 SHARES/UNITS CUSIP 9MWFC5324 328390030	0.00	0.00
6/11/09	TU09L US 2YR NOTE (CBT) 09/ 9/19/09 DISTRIBUTED 4.00 SHARES/UNITS CUSIP 9MWFC0820 328390030	0.00	0.00
6/18/09	U S TREASURY I P 1.75% 1/15/28 DISTRIBUTED 110.50 SHARES/UNITS ZERO OUT ACCOUNT DUE TO SALES/ CPI ADJUSTMENTS CUSIP 912810PV4 328390030	107.00-	0.00
6/22/09	BIOMIMETIC THERAPEUTICS RTS 6/15/09 DISTRIBUTED 2,354.00 SHARES/UNITS CUSIP 09064X994 328390080	0.00	23.54-
7/02/09	EDM9L - FF EURO CME 6/09 6/16/09 DISTRIBUTED 5.00 SHARES/UNITS CUSIP 9MWFC5167 328390030	0.00	0.00



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ASSETS DELIVERED
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CU J. MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST	MARKET
7/02/09	TYM9A - FIN FUT 10YRCBT 6/1 6/19/09 DISTRIBUTED 2.00 SHARES/UNITS CUSIP 9MWFC7775 328390030	0.00	0.00
7/02/09	TYM9L - FIN FUT 10YRCBT 6/1 6/19/09 DISTRIBUTED 7.00 SHARES/UNITS CUSIP 9MWFC0002 328390030	0.00	0.00
7/07/09	TU09A US 2YR NOTE (CBT) 09/ 9/19/09 DISTRIBUTED 2.00 SHARES/UNITS CUSIP 9MWFC0614 328390030	0.00	0.00
7/07/09	TYU9A US 10YR NOTE 09/09 9/19/09 DISTRIBUTED 1.00 SHARES/UNITS CUSIP 9MWFC0838 328390030	0.00	0.00
7/20/09	MACROVISION SOLUTIONS CORP DISTRIBUTED 1,173.00 SHARES/UNITS NAME CHANGE TO ROVI CUSIP: 779376102 CUSIP 55611C108 328390080	21,032.09-	29,313.27-
8/05/09	EDU9A - FF EURODOLLAR 9/09 9/15/09 DISTRIBUTED 3.00 SHARES/UNITS CUSIP 9MWFC5290 328390030	0.00	0.00
8/05/09	EDZ9A - S&P MINI 12/09 12/15/09 DISTRIBUTED 3.00 SHARES/UNITS CUSIP 9MWFC5324 328390030	0.00	0.00
8/05/09	TYU9A US 10YR NOTE 09/09 9/19/09 DISTRIBUTED 2.00 SHARES/UNITS CUSIP 9MWFC0838 328390030	0.00	0.00



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ASSETS DELIVERED
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CU J. MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST	MARKET
8/20/09	U S TREASURY I P 1.25% 4/15/14 DISTRIBUTED 61.60 SHARES/UNITS ZERO OUT ACCOUNT DUE TO SALES/CPI ADJUSTMENTS CUSIP 912828KM1 328390030	62.09-	0.00
8/27/09	TYU9A US 10YR NOTE 09/09 9/19/09 DISTRIBUTED 1.00 SHARES/UNITS CUSIP 9MWFC0838 328390030	0.00	0.00
8/27/09	USU9L US LONG BOND (CBT) 09 9/19/09 DISTRIBUTED 6.00 SHARES/UNITS CUSIP 9MWFC0846 328390030	0.00	0.00
9/04/09	VISTAPRINT LTD DISTRIBUTED 209.00 SHARES/UNITS NAME CHANGE TO VISTAPRINT NV CUSIP: N93540107 CUSIP G93762204 328390080	7,022.02-	8,282.67-
9/18/09	EDU9L - FF EURO DOLLAR 9/09 9/15/09 DISTRIBUTED 1.00 SHARES/UNITS CUSIP 9MWFC5191 328390030	0.00	0.00
9/25/09	FVU9A US 5YR NOTE (CBT) 09/ 9/19/09 DISTRIBUTED 2.00 SHARES/UNITS EXPIRED CUSIP 9MWFC0622 328390030	0.00	0.00
9/25/09	TU09A US 2YR NOTE (CBT) 09/ 9/19/09 DISTRIBUTED 2.00 SHARES/UNITS EXPIRED CUSIP 9MWFC0614 328390030	0.00	0.00



ASSETS DELIVERED
FOR 10/01/08 TO 9/30/09

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CU J. MARION SIMS FDN - COMBINED

DATE	DESCRIPTION	COST	MARKET
9/25/09	TU09L US 2YR NOTE (CBT) 09/ 9/19/09 DISTRIBUTED 2.00 SHARES/UNITS EXPIRED CUSIP 9MWFC0820 328390030	0.00	0.00
9/25/09	US09L US LONG BOND (CBT) 09 9/19/09 DISTRIBUTED 2.00 SHARES/UNITS EXPIRED CUSIP 9MWFC0846 328390030	0.00	0.00
9/30/09	ALTAS ENERGY RESOURCES LLC DISTRIBUTED 598.00 SHARES/UNITS MERGED INTO ATLAS AMERICA INC (049167109) AT AN EXCHANGE RATE OF 1.16 CUSIP 049303100 328390080	15,611.83-	18,053.62-
TOTAL ASSETS DELIVERED		213,787.83-	237,817.18-

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
EI#: 57-0355295
For the Fiscal Year Ended 9/30/09

Schedule of Appropriations and Payments

9/30/2009

	Beginning Balance	Newly Allocated	Amended	Amount Paid	Ending Balance
Recipient and/or Purpose	2009	2009	2009	2009	2009
Adopt A Leader	\$15,712.00	\$0.00	-\$3,594.54	\$12,117.46	\$0.00
P.O. Box 1835					
Lancaster, SC 29721					
<i>Adopt A Leader</i>					
\$91,870.46					
2007					
American National Red Cross and its	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00
Constituent Chapters and Branches					
200 Piedmont Blvd.					
Rock Hill, SC 29732					
<i>"Family Emergency Assistance Program"</i>					
\$15,000.00					
2009					
CareNet, Inc.	\$444,762.00	\$0.00	\$0.00	\$444,762.00	\$0.00
338 West Meeting Street					
Medical Arts V, Suite H					
Lancaster, SC 29720					
<i>"CareNet Health Care Center"</i>					
\$818,456.00					
2008					
CareNet, Inc.	\$0.00	\$529,522.00	\$0.00	\$0.00	\$529,522.00
338 West Meeting Street					
Medical Arts V, Suite H					
Lancaster, SC 29720					
<i>"Care Health Center"</i>					
\$529,522.00					
2009					
Catawba Care Coalition Inc.	\$45,000.00	\$0.00	\$0.00	\$45,000.00	\$0.00
1151 Camden Avenue					
Rock Hill, SC 29732					
<i>Holistic Health Collaborative</i>					
\$202,500.00					
2007					
Catawba Public Health District	\$54,540.00	\$0.00	\$0.00	\$54,540.00	\$0.00
P. O. Box 817					
Lancaster, SC 29721					
<i>"Healthy and Whole Continued Expansion"</i>					
\$159,967.00					
2007					
Chester County Literacy Council	\$69,901.00	\$0.00	\$0.00	\$69,901.00	\$0.00
100 Center Street, Suite B					
Chester, SC 29706					
<i>ALT 08: Guided Academic Preparation</i>					
<i>Program (GAPP)</i>					
\$69,901.00					
2008					
Chester County Literacy Council	\$0.00	\$69,901.00	\$5,000.00	\$0.00	\$74,901.00
100 Center Street, Suite B					
Chester, SC 29706					
<i>ALT 09: Guided Academic Preparation</i>					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
EI#: 57-0355295
For the Fiscal Year Ended 9/30/09

Schedule of Appropriations and Payments

9/30/2009

Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
<i>Program (GAPP)</i>					
\$74,901 00					
2009					
Chester County School District	\$94,532 00	\$0.00	\$0.00	\$53,373.00	\$41,159.00
109 Hinton Street					
Chester, SC 29706					
<i>"Social Worker for Great Falls and</i>					
<i>Lewisville Attendance Centers"</i>					
\$159,410.00					
2008					
Chester County School District	\$31,136 00	\$0.00	\$0 00	\$0.00	\$31,136 00
109 Hinton Street					
Chester, SC 29706					
<i>"A Structured System of Extra Help"</i>					
<i>Lewisville High School</i>					
\$89,372.00					
2008					
Chester County School District	\$0.00	\$498.00	\$0.00	\$498.00	\$0.00
109 Hinton Street					
Chester, SC 29706					
<i>Elizabeth McDonald</i>					
<i>'How Many Clowns Can You Fit in a</i>					
<i>Class?"</i>					
<i>Graet Falls Elementary</i>					
\$498.00					
2009					
Chester County School District	\$0 00	\$500.00	\$0.00	\$500 00	\$0.00
109 Hinton Street					
Chester, SC 29706					
<i>Torri Darrell Barber</i>					
<i>"Coast-to-Coast Personal Finance</i>					
<i>Adventure"</i>					
<i>Great Falls High</i>					
\$500.00					
2009					
Chester County School District	\$0 00	\$496.00	\$0 00	\$496.00	\$0.00
109 Hinton Street					
Chester, SC 29706					
<i>Shannon Mills</i>					
<i>"Ultimate Fitness Web Site Design</i>					
<i>Simulation"</i>					
<i>Great Falls High</i>					
\$496.00					
2009					
Chester County School District	\$0 00	\$500.00	\$0.00	\$500.00	\$0.00
109 Hinton Street					
Chester, SC 29706					
<i>Jennifer Page Cannon</i>					
<i>"Around the World"</i>					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
EI#: 57-0355295
For the Fiscal Year Ended 9/30/09

Schedule of Appropriations and Payments

9/30/2009

Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
<i>Lewisville Elementary</i>					
\$500.00					
2009					
Chester County School District	\$0.00	\$498.00	\$0.00	\$498.00	\$0.00
109 Hinton Street					
Chester, SC 29706					
<i>Felicia Minter</i>					
<i>"Takin A Ride on the Wild Side"</i>					
<i>Great Falls Middle</i>					
\$498 00					
2009					
Chester County School District	\$0.00	\$500.00	\$0.00	\$500 00	\$0.00
109 Hinton Street					
Chester, SC 29706					
<i>Casie Cate</i>					
<i>"Data Notebooks"</i>					
<i>Great Falls Elementary</i>					
\$500.00					
2009					
Chester County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
109 Hinton Street					
Chester, SC 29706					
<i>Angelique Newton</i>					
<i>"www.africa.com"</i>					
<i>Great Falls High</i>					
\$500.00					
2009					
Chester County School District	\$0.00	\$495.00	\$0.00	\$495.00	\$0.00
109 Hinton Street					
Chester, SC 29706					
<i>Jayson Jones</i>					
<i>"Letters on Leather"</i>					
<i>Great Falls Elementary</i>					
\$495 00					
2009					
Chester County School District	\$0 00	\$489 00	\$0.00	\$489.00	\$0 00
109 Hinton Street					
Chester, SC 29706					
<i>Jerry Digh</i>					
<i>"Tune in to Learn"</i>					
<i>Great Falls Elementary</i>					
\$489.00					
2009					
Chester County School District	\$0.00	\$487.00	\$0.00	\$487.00	\$0.00
109 Hinton Street					
Chester, SC 29706					
<i>Mary Bemis</i>					
<i>"Coach's Play Book"</i>					
<i>Great Falls High</i>					
\$487.00					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
EI#: 57-0355295
For the Fiscal Year Ended 9/30/09

Schedule of Appropriations and Payments

9/30/2009

Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
2009					
Chester County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
109 Hinton Street					
Chester, SC 29706					
<i>Robin Taylor</i>					
<i>"Take Home Writing Center"</i>					
<i>Great Falls Elementary</i>					
\$500.00					
2009					
Chester County School District	\$0.00	\$471.00	\$0.00	\$471.00	\$0.00
109 Hinton Street					
Chester, SC 29706					
<i>Tammy Schofield</i>					
<i>"Supplementing State Standards for</i>					
<i>Student Success"</i>					
<i>Great Falls High</i>					
\$471.00					
2009					
Chester County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
109 Hinton Street					
Chester, SC 29706					
<i>Judith Province</i>					
<i>"Fabrics"</i>					
<i>Great Falls Elementary</i>					
\$500.00					
2009					
Chester County School District	\$0.00	\$490.00	\$0.00	\$490.00	\$0.00
109 Hinton Street					
Chester, SC 29706					
<i>Stephanie Barber</i>					
<i>"Animals 2x2"</i>					
<i>Great Falls Elementary</i>					
\$490.00					
2009					
Chester County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
109 Hinton Street					
Chester, SC 29706					
<i>Tracey Hyatt</i>					
<i>"Exploring Careers Today"</i>					
<i>Lewisville Middle</i>					
\$500.00					
2009					
Chester County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
109 Hinton Street					
Chester, SC 29706					
<i>Rebecca Banks</i>					
<i>"Writing Center"</i>					
<i>Great Falls Elementary</i>					
\$500.00					
2009					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
EI#: 57-0355295
For the Fiscal Year Ended 9/30/09

Schedule of Appropriations and Payments

9/30/2009

	Beginning Balance	Newly Allocated	Amended	Amount Paid	Ending Balance
Recipient and/or Purpose	2009	2009	2009	2009	2009
Chester County School District	\$0.00	\$495.00	\$0.00	\$495.00	\$0.00
109 Hinton Street					
Chester, SC 29706					
<i>Cindy Taylor (joint with Amelia Ellison)</i>					
<i>"Measuring for Treasures"</i>					
<i>Great Falls High School</i>					
\$495.00					
2009					
Chester County School District	\$0 00	\$495.00	\$0 00	\$495.00	\$0.00
109 Hinton Street					
Chester, SC 29706					
<i>Amelia Ellison (joint with Cindy Taylor)</i>					
<i>"Measuring for Treasures"</i>					
<i>Great Falls High</i>					
\$495.00					
2009					
Chester County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
109 Hinton Street					
Chester, SC 29706					
<i>Dale Hinson</i>					
<i>"Exploring Magnetism"</i>					
<i>Great Falls Elementary</i>					
\$500.00					
2009					
Children's Attention Home, Inc.	\$15,394.00	\$0 00	\$0.00	\$15,394 00	\$0.00
PO Box 2912					
Rock Hill, SC 29732-2912					
<i>"Health and Wellness Project"</i>					
\$34,857.00					
2008					
The Childrens Council	\$50,049.00	\$0.00	\$0.00	\$50,049.00	\$0.00
Post Office Box 171					
Lancaster, SC 29721					
<i>ALT 08: Skills for Success</i>					
\$50,049 00					
2008					
The Childrens Council	\$0.00	\$50,049.00	\$0 00	\$0.00	\$50,049.00
Post Office Box 171					
Lancaster, SC 29721					
<i>ALT 09: Skills for Success</i>					
\$50,049 00					
2009					
Christian Services Inc.	\$15,500.00	\$0.00	\$0.00	\$15,500.00	\$0 00
101 S . White Street					
Lancaster, SC 29720					
<i>"Food Connection and Resource Rescue"</i>					
\$85,300.00					
2007					
Christian Services Inc.	\$78,744.00	\$0.00	\$0.00	\$78,744.00	\$0.00
101 S . White Street					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
EI#: 57-0355295
For the Fiscal Year Ended 9/30/09

Schedule of Appropriations and Payments

9/30/2009

Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Lancaster, SC 29720					
<i>ALT 08: Up With Literacy and Basic Skills</i>					
\$78,744.00					
2008					
Christian Services Inc.	\$0.00	\$43,710 00	\$0.00	\$0.00	\$43,710.00
101 S . White Street					
Lancaster, SC 29720					
<i>"Food Connections Food Pantry"</i>					
\$43,710.00					
2009					
Christian Services Inc.	\$0.00	\$109,992.00	\$0 00	\$0.00	\$109,992 00
101 S White Street					
Lancaster, SC 29720					
<i>ALC 09: Up With Literacy and Basic Skills</i>					
\$109,992 00					
2009					
Columbus Parker Track Club	\$21,667 00	\$0 00	\$0.00	\$21,667 00	\$0.00
P.O Box 145					
Lancaster, SC 29721					
<i>Columbus Parker Track Club</i>					
<i>"Columbus Parker Track Club"</i>					
\$65,000 00					
2007					
Communities In Schools of Lancaster County	\$155,260 00	\$0.00	\$0.00	\$77,630.00	\$77,630.00
P.O. Box 3241					
Lancaster, SC 29721					
<i>Challenge Grant 08: YouthBuild</i>					
\$155,260.00					
2008					
Communities In Schools of Chester County, Inc.	\$30,940 00	\$0.00	\$0.00	\$30,940 00	\$0 00
109 Hinton Street					
Chester, SC 29706					
<i>"Helping Families Succeed"</i>					
\$127,647.00					
2007					
Deliverance Word of Faith Church	\$88,086.00	\$0 00	\$0.00	\$44,064.00	\$44,022.00
PO Box 1772					
Lancaster, SC 29720					
<i>Challenge Grant 08: Southside Adult Family Literacy Project</i>					
\$88,086.00					
2008					
Faith, Hope, & Victory Christian Church	\$57,459.00	\$0.00	\$0.00	\$57,459 00	\$0.00
P.O. Box 2787					
Lancaster, SC 29721					
<i>ALT08: The Community Powerhouse Family Literacy Program</i>					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
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Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
\$57,459.00					
2008					
Faith, Hope, & Victory Christian Church	\$0.00	\$57,459.00	\$5,500.00	\$0.00	\$62,959.00
P.O. Box 2787					
Lancaster, SC 29721					
<i>ALT 09- The Community Powerhouse</i>					
\$62,959.00					
2009					
Family Connection of SC, Inc.	\$3,400.00	\$0.00	\$0.00	\$3,400.00	\$0.00
2712 Middleburg Drive					
Suite 103-B					
Columbia, SC 29204					
<i>"Supporting Special Families in Lancaster"</i>					
\$6,800.00					
2008					
Family Promise of Lancaster County, Inc.	\$0.00	\$184,662.00	\$0.00	\$59,292.00	\$125,370.00
P.O. Box 854					
Lancaster, SC 29721					
<i>OE - Family Promise of Lancaster County</i>					
\$184,662.00					
2009					
First Steps	\$86,450.00	\$0.00	\$0.00	\$48,629.00	\$37,821.00
115 W Arch St.					
Suite 105					
Lancaster, SC 29720					
<i>Step by Step Family Resource</i>					
\$145,077.00					
2007					
Fort Lawn Community Center, Inc.	\$235,680.00	\$0.00	\$0.00	\$117,840.00	\$117,840.00
PO Box 103					
Fort Lawn, SC 29714					
<i>Challenge Grant 08. FLCC Literacy Project</i>					
\$235,680.00					
2008					
Friends of Juvenile Justice	\$25,000.00	\$0.00	\$0.00	\$25,000.00	\$0.00
P.O. Box 21069					
Columbia, SC 29221					
<i>The Community Connections Center</i>					
\$50,000.00					
2007					
Good Samaritan Medical Clinic	\$0.00	\$51,300.00	\$0.00	\$51,300.00	\$0.00
139 Church Street					
Chester, SC 29706					
<i>OE - Direct Patient Care and Great Falls Initiative</i>					
\$51,300.00					
2009					
HOPE in Lancaster, Inc.	\$690,000.00	\$0.00	\$0.00	\$575,000.00	\$115,000.00

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Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
P O. Box 166 Lancaster, SC 29721 <i>"Helping Those in Crisis"</i> \$920,000.00 2008					
HOPE in Lancaster, Inc.	\$0.00	\$20,250.00	\$0.00	\$20,250.00	\$0.00
P.O. Box 166 Lancaster, SC 29721 <i>OE - Assessing the Capacity of HOPE</i> \$20,250 00 2009					
HOPE in Lancaster, Inc.	\$0.00	\$468,000.00	\$0.00	\$0.00	\$468,000.00
P.O. Box 166 Lancaster, SC 29721 <i>"Helping Those in Crisis"</i> \$468,000.00 2009					
Housing Authority of Lancaster County Inc.	\$75,905.00	\$0.00	\$0.00	\$75,905.00	\$0.00
P O. Box 1235 Lancaster, SC 29720 <i>ALT 08: Map to Success Family Literacy Program</i> \$75,905.00 2008					
Housing Authority of Lancaster County Inc.	\$0 00	\$75,905 00	\$0.00	\$0 00	\$75,905.00
P O. Box 1235 Lancaster, SC 29720 <i>ALT 09: Map to Success Family Literacy Program</i> \$75,905.00 2009					
Kershaw Area Resource Exchange (KARE)	\$0.00	\$110,123 00	\$0.00	\$38,500.00	\$71,623.00
P.O. Box 364 Kershaw, SC 29067 <i>OE - Direct Assistance</i> \$110,123.00 2009					
Keystone Substance Abuse Services	\$20,000.00	\$0.00	\$0.00	\$20,000.00	\$0.00
P.O. Box 4437 Rock Hill, SC 29732 <i>Keystone Detoxification and Bridging Program</i> \$75,000.00 2006					
Keystone Substance Abuse Services	\$0.00	\$18,000.00	\$0.00	\$0.00	\$18,000.00
P.O. Box 4437 Rock Hill, SC 29732					

J. Marion Sims Foundation, Inc.
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Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
<i>"Economic Crisis Bridging Detoxification"</i>					
\$18,000 00					
2009					
Lancaster Area Literacy Cooperative	\$294,862.00	\$0.00	\$0.00	\$0.00	\$294,862.00
P.O. Box 3241					
Lancaster, SC 29721					
<i>Lancaster Area Literacy Cooperative</i>					
<i>"LALC Start-up"</i>					
\$494,712 00					
2008					
Lancaster County	\$0.00	\$444,495.00	\$0.00	\$64,500.00	\$379,995.00
116 West Dunlap					
PO Box 1809					
Lancaster, SC 29720					
<i>Lancaster County (joint project with</i>					
<i>Council on Aging)</i>					
<i>"Lancaster Health Ride"</i>					
\$444,495 00					
2009					
Lancaster County Council of the Arts	\$0.00	\$17,500 00	\$0 00	\$0.00	\$17,500.00
P. O. Box 613					
201 W. Gay Street					
Lancaster, SC 29721					
<i>"The Future of the Arts in Lancaster"</i>					
\$17,500.00					
2009					
Lancaster County Council on Aging	\$0 00	\$12,000.00	\$0.00	\$12,000 00	\$0.00
P.O. Box 1296					
Lancaster, SC 29721					
<i>"New Bus for Indian Land Satellite Senior</i>					
<i>Center"</i>					
\$12,000.00					
2009					
Lancaster County Literacy Council	\$73,000.00	\$0.00	\$0.00	\$73,000.00	\$0 00
610 W. Meeting Street					
Lancaster, SC 29720					
<i>ALT 08: Reading for Workplace Success</i>					
\$73,000.00					
2008					
Lancaster County Literacy Council	\$0.00	\$73,000.00	\$0.00	\$0 00	\$73,000.00
610 W. Meeting Street					
Lancaster, SC 29720					
<i>ALT 09. Reading for Workplace Success</i>					
\$73,000.00					
2009					
Lancaster County School District	\$100.00	\$0.00	\$0.00	\$0.00	\$100.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Lancaster High School</i>					
<i>Kristie Taylor, Journalism Teacher</i>					

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Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
<i>Publish Student Newspaper</i>					
\$500.00					
2002					
Lancaster County School District	\$20,819.00	\$0.00	\$0.00	\$20,819 00	\$0 00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Investment for Life</i>					
\$100,664 00					
2006					
Lancaster County School District	\$60,722.00	\$0 00	\$0.00	\$30,361 00	\$30,361 00
300 S Catawba Street					
Lancaster, SC 29720					
<i>Swimming and Water Safety</i>					
\$91,083.00					
2007					
Lancaster County School District	\$61,000.00	\$0.00	\$0.00	\$61,000.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>ALT:08 The Home Literacy Trail</i>					
\$61,000 00					
2008					
Lancaster County School District	\$0 00	\$300 00	\$0.00	\$300.00	\$0 00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Quanchanet Johnson</i>					
<i>"Clinton Ladies Club"</i>					
<i>Clinton Elementary</i>					
\$300 00					
2009					
Lancaster County School District	\$0.00	\$500 00	\$0.00	\$500.00	\$0 00
300 S Catawba Street					
Lancaster, SC 29720					
<i>Sheila Sturgis (joint with Lisa Bridges)</i>					
<i>"ALEKS"</i>					
<i>Andrew Jackson High</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500 00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Shandra Hall (joint with Jan Baskins)</i>					
<i>"Shoot for Your Goals"</i>					
<i>McDonald Green Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Scott Kendrick (joint with Lisa Hallman)</i>					
<i>"Dream Catchers on My Mind"</i>					

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Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
<i>Buford Middle</i>					
\$500.00					
2009					
Lancaster County School District	\$0 00	\$475.00	\$0.00	\$475.00	\$0 00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Robin Mitchell (joint with Debbie Vickery)</i>					
<i>"Brain Pop"</i>					
<i>Indian Land Elementary School</i>					
\$475.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0 00	\$500 00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Robin Champion</i>					
<i>"Photography for Upper Classmen"</i>					
<i>Indian Land High School</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500 00	\$0 00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Kim Poore</i>					
<i>"Pulling Strings for Interest and</i>					
<i>Interaction"</i>					
<i>Brooklyn Springs</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0 00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Susan Morrison</i>					
<i>"DRUM (Discipline, Respect & Unity</i>					
<i>through Music)"</i>					
<i>Indian Land Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0 00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Lisa Hallman (joint with Scott Kendrick)</i>					
<i>"Dream Catchers on My Mind"</i>					
<i>Buford Middle</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Kurt Weeks</i>					

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Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
<i>"Pneumatic Robotics"</i>					
<i>Buford High School</i>					
\$500.00					
2009					
Lancaster County School District	\$0 00	\$500.00	\$0.00	\$500.00	\$0 00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Jennifer Matthews</i>					
<i>"Little Baby Chicks!"</i>					
<i>Indian Land Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500 00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Jan Baskins (joint with Shandra Hall)</i>					
<i>"Shoot for Your Goals"</i>					
<i>McDonald Green Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$475.00	\$0.00	\$475.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Deborah Vickery (joint with Robin Mitchell)</i>					
<i>"Brain Pop"</i>					
<i>Indian Land Middle School</i>					
\$475.00					
2009					
Lancaster County School District	\$0.00	\$494.00	\$0.00	\$494.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Brittany Crumminger</i>					
<i>"Dive into Literacy Using a Hands-On Approach"</i>					
<i>Indian Land Elementary</i>					
\$494.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500 00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Lisa Bridges (joint with Sheila Sturgis)</i>					
<i>"ALEKS"</i>					
<i>Andrew Jackson High</i>					
\$500.00					
2009					
Lancaster County School District	\$0 00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					

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Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
<i>Anita Robinson</i>					
<i>"Let Me Learn More"</i>					
<i>Buford Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0 00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Leigh Ann Lilly</i>					
<i>"Choral Music for SMS Choral Program"</i>					
<i>South Middle</i>					
\$500.00					
2009					
Lancaster County School District	\$0 00	\$500.00	\$0.00	\$500 00	\$0 00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Julie Creel (joint with Holly Lyver)</i>					
<i>"Model Readers"</i>					
<i>South Middle</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500 00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Olivia Terzo (joint with Brian Lucchesi)</i>					
<i>"Strength Training for Health Related</i>					
<i>Fitness Activities"</i>					
<i>Indian Land High</i>					
\$500.00					
2009					
Lancaster County School District	\$0 00	\$500 00	\$0 00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Holly Lyver (joint with Julie Creel)</i>					
<i>"Model Readers"</i>					
<i>South Middle</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Brian Lucchesi (joint with Olivia Terzo)</i>					
<i>"Strength Training for Health Related</i>					
<i>Fitness Activities"</i>					
<i>Indian land High</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$498.00	\$0 00	\$498.00	\$0.00
300 S. Catawba Street					

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Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Lancaster, SC 29720					
Angela Steele					
"BMS 6th Grade Olympics"					
Buford Middle					
\$498.00					
2009					
Lancaster County School District	\$0 00	\$500 00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
Amy Wamsley					
"Center Activities/Dramatic Play"					
Indian Land Elementary					
\$500 00					
2009					
Lancaster County School District	\$0 00	\$490 00	\$0.00	\$490 00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
Denise Trufan					
"Every Day is Earth Day"					
Indian Land Elementary					
\$490.00					
2009					
Lancaster County School District	\$0 00	\$500.00	\$0.00	\$500.00	\$0 00
300 S. Catawba Street					
Lancaster, SC 29720					
Erica Anderson					
"Reading Assessment and Reinforcement"					
Indian Land Elementary					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
Shawanda George					
"No Peek Typing Masks/USB Drives"					
South Middle					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$495.00	\$0 00	\$495.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
Tara Adams					
"Listening & Playing Can EQUAL					
Learning"					
Buford Elementary					
\$495.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					

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Lancaster, SC 29720					
<i>Phedrian Lester (joint with Ms. Tallent)</i>					
<i>"Building Blocks to Success at ILMS"</i>					
<i>Indian Land Middle School</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0 00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Ms. Tallent (joint with Phedrian Lester)</i>					
<i>"Building Blocks to Success at ILMS"</i>					
<i>Indian Land Middle School</i>					
\$500 00					
2009					
Lancaster County School District	\$0.00	\$363.00	\$0.00	\$363.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Judy Orman (joint with Aaryn Campbell)</i>					
<i>"BrainPop Jr.com"</i>					
<i>Indian Land Elementary</i>					
\$363.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Janice Bradley</i>					
<i>"Books to Share and Enjoy Reading"</i>					
<i>Heath Springs Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0 00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Charlotte Keefer</i>					
<i>"Espanol Bowl"</i>					
<i>Indian Land Elementary</i>					
\$500 00					
2009					
Lancaster County School District	\$0.00	\$363.00	\$0.00	\$363.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Aaryn Campbell (joint with Judy Orman)</i>					
<i>"BrainPop Jr.com"</i>					
<i>Indian Land Elementary</i>					
\$363.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					

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Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
<i>Marley Beckham</i>					
<i>"ELA Workshop"</i>					
<i>Indian Land Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0 00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Tisha Simmons</i>					
<i>"HSE Students Travel the World"</i>					
<i>Heath Springs Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$491.00	\$0 00	\$491.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Deborah Knight</i>					
<i>"Leaping into Reading and Math"</i>					
<i>Buford Elementary</i>					
\$491.00					
2009					
Lancaster County School District	\$0 00	\$477.00	\$0.00	\$477.00	\$0 00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Pamela Wade</i>					
<i>"Writing Intervention"</i>					
<i>Indian Land Middle</i>					
\$477.00					
2009					
Lancaster County School District	\$0.00	\$500 00	\$0 00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Melanie Tanczos (joint with Vicki Scott)</i>					
<i>"Let's Learn Language"</i>					
<i>Indian Land Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0 00	\$500.00	\$0 00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Liz Yarbrough (joint with Sandra Cauthen)</i>					
<i>"Centers with Focus"</i>					
<i>North Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Liz James (joint with Reginald Partee)</i>					

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Schedule of Appropriations and Payments

9/30/2009

Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
<i>"Kindling an Interest in Reading"</i>					
<i>South Middle</i>					
\$500.00					
2009					
Lancaster County School District	\$0 00	\$500.00	\$0 00	\$500.00	\$0 00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Kimberly Mattevi (joint with Paula Stegall)</i>					
<i>"SOS - Save One Student"</i>					
<i>A.R. Rucker</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Heather McManus</i>					
<i>"Science in Kindergarten"</i>					
<i>Indian Land Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$302.00	\$0.00	\$302 00	\$0 00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Erica Workman Joefreda</i>					
<i>"Instant Literacy Centers"</i>					
<i>Erwin Elementary</i>					
\$302.00					
2009					
Lancaster County School District	\$0 00	\$375.00	\$0.00	\$375.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Cindy Wilson</i>					
<i>"Gentlemen's Club"</i>					
<i>Clinton Elementary</i>					
\$375.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Sandra Cauthen (joint with Liz Yarbrough)</i>					
<i>"Centers with Focus"</i>					
<i>North Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$486.00	\$0 00	\$486.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Erin Wendt (joint with Tricia Eichorn)</i>					
<i>"Intervention Connections"</i>					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
EI#: 57-0355295
For the Fiscal Year Ended 9/30/09

Schedule of Appropriations and Payments

9/30/2009

Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
<i>Indian Land Elementary</i>					
\$486.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Paula Stegall (joint with Kimberly Mattevi)</i>					
<i>"SOS" Save One Student"</i>					
<i>A.R. Rucker</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Susan Wallace</i>					
<i>"I'm Crazy About My ABC's"</i>					
<i>Indian Land Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Tammy Shirley</i>					
<i>"Enjoying Earth"</i>					
<i>South Middle</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$486.00	\$0.00	\$486.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Tricia Eichorn (joint with Erin Wendt)</i>					
<i>"Intervention Connections"</i>					
<i>Indian Land Elementary</i>					
\$486.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Valisha Singleton</i>					
<i>"Under Construction"</i>					
<i>Indian Land Middle</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Vicki Scott (joint with Melanie Tanczos)</i>					
<i>"Let's Learn Language"</i>					
<i>Indian Land Elementary</i>					
\$500.00					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
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Schedule of Appropriations and Payments

9/30/2009

Recipient and/or Purpose 2009	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Reginald Partee (joint with Liz James)</i>					
<i>"Kindling an Interest in Reading"</i>					
<i>South Middle</i>					
\$500.00					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Hilda Chasnoff</i>					
<i>"School to Home Reading Connection"</i>					
<i>Indian Land Elementary</i>					
\$500.00					
Lancaster County School District	\$0.00	\$481.00	\$0.00	\$481.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Katherine Denham (joint with Diane Peters)</i>					
<i>"A Wall of Faces"</i>					
<i>South Middle School</i>					
\$481.00					
Lancaster County School District	\$0.00	\$481.00	\$0.00	\$481.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Diane Peters (joint with Katherine Denham)</i>					
<i>"A Wall of Faces"</i>					
<i>South Middle School</i>					
\$481.00					
Lancaster County School District	\$0.00	\$498.00	\$0.00	\$498.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Courtney Bright</i>					
<i>"Reading Comprehension Empowerment"</i>					
<i>South Middle School</i>					
\$498.00					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Angela McGee</i>					
<i>"Therapeutic Play"</i>					
<i>North Elementary</i>					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
EI#: 57-0355295
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Schedule of Appropriations and Payments

9/30/2009

Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0 00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Sheila Jenkins</i>					
<i>"Butterflies"</i>					
<i>Clinton Elementary</i>					
\$500 00					
2009					
Lancaster County School District	\$0.00	\$459.00	\$0 00	\$459.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Jerry Wright</i>					
<i>"Algebra Improvement"</i>					
<i>South Middle</i>					
\$459.00					
2009					
Lancaster County School District	\$0.00	\$471.00	\$0.00	\$471.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Kristen Wilson (joint with Joye Schwartz)</i>					
<i>"Take Home Backpacks"</i>					
<i>North Elementary</i>					
\$471.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0 00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Susan Hagins</i>					
<i>"Educaching"</i>					
<i>Andrew Jackson Middle</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$499.00	\$0.00	\$499 00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Susan Autry</i>					
<i>"Listening Center"</i>					
<i>Erwin Elementary</i>					
\$499.00					
2009					
Lancaster County School District	\$0.00	\$298.00	\$0.00	\$298.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Sherri Alston (joint with David McDonald)</i>					
<i>"About Teen Health & Wellness: Real</i>					
<i>Life, Real Answers"</i>					
<i>Indian Land Middle</i>					
\$298.00					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
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Schedule of Appropriations and Payments

9/30/2009

Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
2009					
Lancaster County School District	\$0.00	\$500.00	\$0 00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
Sandra Cox (joint with Christopher Timmons)					
"Etiquette Education"					
Andrew Jackson Middle School					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500 00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
Michelle Reece (joint with Lisa Willis)					
"Let's Learn About Life Cycles"					
North Elementary					
\$500.00					
2009					
Lancaster County School District	\$0 00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
Lisa Willis (joint with Michelle Reece)					
"Let's Learn About Life Cycles"					
North Elementary					
\$500.00					
2009					
Lancaster County School District	\$0 00	\$350.00	\$0 00	\$350.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
Laurie Ritter					
"A Stitch in Time Saves Nine"					
Indian Land Middle					
\$350.00					
2009					
Lancaster County School District	\$0 00	\$439 00	\$0.00	\$439 00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
Brandi Brueck					
"Learning Science Activity Tubs"					
Erwin Elementary					
\$439.00					
2009					
Lancaster County School District	\$0.00	\$471 00	\$0.00	\$471.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
Joye Schwartz (joint with Kristen Wilson)					
"Take Home Backpacks"					
North Elementary					
\$471.00					
2009					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
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Schedule of Appropriations and Payments

9/30/2009

	Beginning Balance	Newly Allocated	Amended	Amount Paid	Ending Balance
Recipient and/or Purpose	2009	2009	2009	2009	2009
Lancaster County School District	\$0 00	\$428.00	\$0.00	\$428.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Donna Wilder</i>					
<i>"Smartboard Technology - Airliner</i>					
<i>Wireless Slate"</i>					
<i>Erwin Elementary</i>					
\$428.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Donna Wallace</i>					
<i>"8th Grade Geometry"</i>					
<i>South Middle School</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$298.00	\$0.00	\$298.00	\$0 00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>David McDonald (joint with Sherrri Alston)</i>					
<i>"About Teen Health & Wellness: Real</i>					
<i>Life, Real Answers"</i>					
<i>Indian Land Middle</i>					
\$298.00					
2009					
Lancaster County School District	\$0.00	\$480.00	\$0.00	\$480.00	\$0 00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Courtney Brooks</i>					
<i>"Centered" Around Learning</i>					
<i>Erwin Elementary</i>					
\$480.00					
2009					
Lancaster County School District	\$0 00	\$500.00	\$0 00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Christopher Timmons (joint with Sandra</i>					
<i>Cox)</i>					
<i>"Etiquette Education"</i>					
<i>Andrew Jackson Middle School</i>					
\$500.00					
2009					
Lancaster County School District	\$0 00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Karen Matlock</i>					
<i>"Test Busters"</i>					
<i>Lancaster High School</i>					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
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Schedule of Appropriations and Payments

9/30/2009

Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
\$500.00					
2009					
Lancaster County School District	\$0.00	\$463.00	\$0.00	\$463 00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Mary Jashinsky (joint with Ashley Cawley)</i>					
<i>"Stretch Your Mind"</i>					
<i>North Elementary</i>					
\$463.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500 00	\$0 00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Michelle White</i>					
<i>"Chemistry for Everyone"</i>					
<i>A.R. Rucker</i>					
\$500.00					
2009					
Lancaster County School District	\$0 00	\$476.00	\$0.00	\$476.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Pam Fields</i>					
<i>"Readers Theatre: Integrating Reading</i>					
<i>with The Arts"</i>					
<i>Buford Elementary</i>					
\$476.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500 00	\$0 00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Ronda McCain (joint with Cathy Hucks)</i>					
<i>"Jacket Jam Poetry Slam"</i>					
<i>Buford Middle</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$155.00	\$0.00	\$155 00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Shannon Walton</i>					
<i>"Graphing Calculator Technology for the</i>					
<i>21st Century"</i>					
<i>Indian Land Middle</i>					
\$155.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0 00	\$500 00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Shantay Stover (joint with Jennifer</i>					
<i>Jaillette)</i>					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
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Schedule of Appropriations and Payments

9/30/2009

Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
<i>"Sensational Science"</i>					
<i>A.R. Rucker</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$407.00	\$0.00	\$407.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Sharon Minors</i>					
<i>"I Really Know My Facts"</i>					
<i>Erwin Elementary</i>					
\$407.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Wendi Dunlap</i>					
<i>"History Comes Alive"</i>					
<i>South Middle</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$459.00	\$0.00	\$459.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Kim Fitzgerald (joint with Brittney Howell)</i>					
<i>"Dissection Labs"</i>					
<i>Indian Land Middle</i>					
\$459.00					
2009					
Lancaster County School District	\$0.00	\$459.00	\$0.00	\$459.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Brittney Howell (joint with Kim Fitzgerald)</i>					
<i>"Dissection Labs"</i>					
<i>Indian Land Middle</i>					
\$459.00					
2009					
Lancaster County School District	\$0.00	\$497.00	\$0.00	\$497.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Sydnie Shaw</i>					
<i>"Too Small to See"</i>					
<i>Clinton Elementary</i>					
\$497.00					
2009					
Lancaster County School District	\$0.00	\$455.00	\$0.00	\$455.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Amanda Hilton</i>					
<i>"Growing and Learning"</i>					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
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9/30/2009

Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
<i>Erwin Elementary</i>					
\$455.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Jennifer Jaillette (joint with Shantay Stover)</i>					
<i>"Sensational Science"</i>					
<i>A.R. Rucker</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$495.00	\$0.00	\$495.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Debbie Rowell</i>					
<i>"Forensic Detective Lab Activity"</i>					
<i>Lancaster High School</i>					
\$495 00					
2009					
Lancaster County School District	\$0 00	\$500.00	\$0.00	\$500.00	\$0 00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Cathy Hucks (joint with Ronda McCain)</i>					
<i>"Jacket Jam Poetry Slam"</i>					
<i>Buford Middle</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Brenda Bailey</i>					
<i>"Getting Smarter Using the Smartboard"</i>					
<i>Brooklyn Springs</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$483.00	\$0.00	\$483.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Betsy Vick (joint with Allison Horton)</i>					
<i>"Math Sharks"</i>					
<i>Buford Elementary</i>					
\$483.00					
2009					
Lancaster County School District	\$0 00	\$301.00	\$0 00	\$301.00	\$0 00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Ashley Parker</i>					
<i>"Instant Literacy Centers"</i>					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
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Schedule of Appropriations and Payments

9/30/2009

Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
<i>Erwin Elementary</i>					
\$301.00					
2009					
Lancaster County School District	\$0.00	\$463.00	\$0.00	\$463.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Ashley Cawley (joint with Mary Jashinsky)</i>					
<i>"Stretch Your Mind"</i>					
<i>North Elementary</i>					
\$463.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Crystal Fields</i>					
<i>"The Cow Really Jumped Over the Moon"</i>					
<i>Buford Middle</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$454.00	\$0.00	\$454.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Kay Sehorn</i>					
<i>"Poetry Celebration"</i>					
<i>Indian Land Middle</i>					
\$454.00					
2009					
Lancaster County School District	\$0.00	\$483.00	\$0.00	\$483.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Allison Horton (joint with Betsy Vick)</i>					
<i>"Math Sharks"</i>					
<i>Buford Elementary</i>					
\$483.00					
2009					
Lancaster County School District	\$0.00	\$498.00	\$0.00	\$498.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Mindy Ries</i>					
<i>"Geometry Unit & Centers"</i>					
<i>North Elementary</i>					
\$498.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Lynn Morris</i>					
<i>"Literacy Circle Center"</i>					
<i>Discovery School</i>					
\$500.00					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
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9/30/2009

Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
2009					
Lancaster County School District	\$0 00	\$487.00	\$0.00	\$487.00	\$0 00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Louise Rutledge</i>					
<i>"Challenge Game-Kids Become an</i>					
<i>Eggspert in Math"</i>					
<i>A.R. Rucker</i>					
\$487 00					
2009					
Lancaster County School District	\$0 00	\$500.00	\$0.00	\$500 00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Lori Small</i>					
<i>"Hands-On Math"</i>					
<i>North Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0 00	\$498 00	\$0.00	\$498.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Leigh Hardin (joint with Amy Harris)</i>					
<i>"Mathematics Manipulatives for Everyday</i>					
<i>Mathematics"</i>					
<i>Indian Land Elementary</i>					
\$498.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0 00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Patricia McFadden</i>					
<i>"Pass Pleasers"</i>					
<i>Erwin Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0 00	\$500 00	\$0 00	\$500 00	\$0 00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Kelly Davis (joint with Judy Myers)</i>					
<i>"Mathematics Manipulatives for Everyday</i>					
<i>Math"</i>					
<i>Indian Land Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$499.00	\$0.00	\$499.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Susan Plyler (joint with Tamí Ethridge)</i>					
<i>"Beavers Math Club"</i>					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
EI#: 57-0355295
For the Fiscal Year Ended 9/30/09

Schedule of Appropriations and Payments

9/30/2009

Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
<i>Brooklyn Springs Elementary</i>					
\$499.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Katrina Beaty (joint with Jennifer Fleming)</i>					
<i>"Math Out of the Box"</i>					
<i>Brooklyn Springs</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Kathy Wall (joint with Staci Faulkenberry)</i>					
<i>"Mustange Muses"</i>					
<i>South Middle</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Kim Threatt (joint with Erin Kirkley)</i>					
<i>"Weather Watch"</i>					
<i>North Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Patti Burris</i>					
<i>"Metamorphosis"</i>					
<i>Brooklyn Springs Elementary"</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$427.00	\$0.00	\$427.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Rhonda Catha-Kramer (joint with Justin Thomas)</i>					
<i>"WSMS Broadcast and Learning Studio"</i>					
<i>South Middle</i>					
\$427.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Robbi Simon (joint with Jessica Martinelli)</i>					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
EI#: 57-0355295
For the Fiscal Year Ended 9/30/09

Schedule of Appropriations and Payments

9/30/2009

Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
<i>"Everyday Math"</i>					
<i>Indian Land Elementary</i>					
\$500 00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S Catawba Street					
Lancaster, SC 29720					
<i>Sarah Watts (joint with Jessalyn Massie)</i>					
<i>"Everyday Mathematics"</i>					
<i>Indian Land Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$480.00	\$0.00	\$480.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Tiffany Evans (joint with Jonathan Jens)</i>					
<i>"Map Manipulatives"</i>					
<i>Indian Land Middle</i>					
\$480.00					
2009					
Lancaster County School District	\$0 00	\$499 00	\$0.00	\$499.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Tami Ethridge (joing with Susan Plyler)</i>					
<i>"Beavers Math Club"</i>					
<i>Brooklyn Springs Elementary</i>					
\$499.00					
2009					
Lancaster County School District	\$0 00	\$359.00	\$0.00	\$359.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Teresa French</i>					
<i>"The Odyssey"</i>					
<i>A.R. Rucker</i>					
\$359.00					
2009					
Lancaster County School District	\$0.00	\$486.00	\$0 00	\$486.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Tia Harden</i>					
<i>"Listening Center"</i>					
<i>Indian Land Elementary</i>					
\$486.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Brandy Engel</i>					
<i>"Mixed Ability Fridays"</i>					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
EI#: 57-0355295
For the Fiscal Year Ended 9/30/09

Schedule of Appropriations and Payments

9/30/2009

Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
<i>North Elementary</i>					
\$500 00					
2009					
Lancaster County School District	\$0 00	\$451.00	\$0.00	\$451 00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Kathryn Knox</i>					
<i>"Blood: Biological Life Source & Learning Resource"</i>					
<i>Lancaster High School</i>					
\$451.00					
2009					
Lancaster County School District	\$0 00	\$500 00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Staci Faulkenberry (joint with Kathy Wall)</i>					
<i>"Mustang Muses"</i>					
<i>South Middle</i>					
\$500.00					
2009					
Lancaster County School District	\$0 00	\$500.00	\$0.00	\$500.00	\$0 00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Amy Montgomery</i>					
<i>"Thinking Outside the Box"</i>					
<i>North Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0 00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Cheryl Chalmers</i>					
<i>"A Day in the Life of"</i>					
<i>Indian Land Middle</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$498.00	\$0 00	\$498 00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Amy Harris (joint with Leigh Hardin)</i>					
<i>"Mathematics Manipulatives for Everyday Mathematics"</i>					
<i>Indian Land Elementary</i>					
\$498 00					
2009					
Lancaster County School District	\$0.00	\$427 00	\$0.00	\$427.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Justin Thomas (Rhonda Catha-Kramer)</i>					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
EI#: 57-0355295
For the Fiscal Year Ended 9/30/09

Schedule of Appropriations and Payments

9/30/2009

Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
<i>"WSMS Broadcast & Learning Studio"</i>					
<i>South Middle</i>					
\$427.00					
2009					
Lancaster County School District	\$0.00	\$485.00	\$0.00	\$485.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Casey Burgess</i>					
<i>"Write the Right Way"</i>					
<i>North Elementary</i>					
\$485 00					
2009					
Lancaster County School District	\$0.00	\$208.00	\$0.00	\$208.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Dana Shull</i>					
<i>"This is Not Your Parents 1989 Chemistry Class"</i>					
<i>Indian Land High School</i>					
\$208 00					
2009					
Lancaster County School District	\$0 00	\$326.00	\$0.00	\$326.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Derelle Ballard</i>					
<i>"Picture Me Reading"</i>					
<i>Erwin Elementary</i>					
\$326.00					
2009					
Lancaster County School District	\$0.00	\$300.00	\$0.00	\$300.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Emily Dunning</i>					
<i>"Interactive Social Studies Software"</i>					
<i>Indian Land Middle</i>					
\$300.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Erin Kirkley (joint with Kim Threatt)</i>					
<i>"Weather Watch"</i>					
<i>North Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0 00	\$498.00	\$0.00	\$498.00	\$0 00
300 S. Catawba Street					
Lancaster, SC 29720					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
EI#: 57-0355295
For the Fiscal Year Ended 9/30/09

Schedule of Appropriations and Payments

9/30/2009

Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
<i>Greta Beaver</i>					
<i>"Book Buddies"</i>					
<i>Erwin Elementary</i>					
\$498.00					
2009					
Lancaster County School District	\$0.00	\$279.00	\$0.00	\$279.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Gwen Tucker</i>					
<i>"Expanding Expression"</i>					
<i>Erwin Elementary</i>					
\$279.00					
2009					
Lancaster County School District	\$0.00	\$499.00	\$0.00	\$499.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Jennifer Auten (joint project with Judy Mountain)</i>					
<i>"Everyday Mathematics"</i>					
<i>Indian Land Elementary</i>					
\$499.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Jennifer Fleming (joint with Katrina Beaty)</i>					
<i>"Math Out of the Box"</i>					
<i>Brooklyn Springs</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Jessalyn Massie (joint with Sarah Watts)</i>					
<i>"Everyday Mathematics"</i>					
<i>Indian Land Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Jessica Martinelli (joint with Robbi Simon)</i>					
<i>"Everday Math"</i>					
<i>Indian Land Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$451.00	\$0.00	\$451.00	\$0.00
300 S. Catawba Street					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
EI#: 57-0355295
For the Fiscal Year Ended 9/30/09

Schedule of Appropriations and Payments

9/30/2009

Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Lancaster, SC 29720					
<i>Jill Laney</i>					
<i>"Adventures with Read Alongs"</i>					
<i>Erwin Elementary</i>					
\$451.00					
2009					
Lancaster County School District	\$0.00	\$480.00	\$0.00	\$480.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Jonathan Jens (joint with Tiffany Evans)</i>					
<i>"Map Manipulatives"</i>					
<i>Indian Land Middle</i>					
\$480.00					
2009					
Lancaster County School District	\$0.00	\$499.00	\$0.00	\$499.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Judy Mountain (joint with Jennifer Auten)</i>					
<i>"Everyday Mathematics"</i>					
<i>Indian Land Elementary</i>					
\$499.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Judy Myers (joint with Kelly Davis)</i>					
<i>"Mathematics Manipulatives for Everyday Math"</i>					
<i>Indian Land Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$337.00	\$0.00	\$337.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Julie Bivens</i>					
<i>"Learning is Fun"</i>					
<i>Erwin Elementary</i>					
\$337.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					
Lancaster, SC 29720					
<i>Adam Cole</i>					
<i>"Everyday Mathematics"</i>					
<i>Indian Land Elementary</i>					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
300 S. Catawba Street					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
EI#: 57-0355295
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Schedule of Appropriations and Payments

9/30/2009

Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Lancaster, SC 29720					
Jane Hudson					
"Heat and Motion, How Do They Work?"					
North Elementary					
\$500.00					
2009					
Lancaster County School District	\$0.00	\$81,650.00	\$0.00	\$0.00	\$81,650.00
300 S. Catawba Street					
Lancaster, SC 29720					
ALC 09: The Home Literacy Trail					
\$81,650.00					
2009					
Lancaster Fatherhood Project	\$163,037.00	\$0.00	\$0.00	\$90,194.00	\$72,843.00
P.O. Box 1896					
Lancaster, SC 29721					
"Reconnecting Low-income, Non-custodial					
Fathers to their Children"					
\$270,035.00					
2008					
Life Skills Opportunity Corporation	\$24,600.00	\$0.00	\$0.00	\$24,600.00	\$0.00
P O Box 2821					
Lancaster, SC 29720					
"Quality Child Care Voucher Program"					
\$36,900.00					
2008					
Life Skills Opportunity Corporation	\$0.00	\$27,500.00	\$0.00	\$0.00	\$27,500.00
P O. Box 2821					
Lancaster, SC 29720					
"Quality Child Care Voucher Program"					
\$27,500.00					
2009					
Learning Institute for Tomorrow	\$117,327.00	\$0.00	\$0.00	\$117,327.00	\$0.00
1609 Pageland Highway					
Lancaster, SC 29720					
ALT 08: LIFT					
\$117,327.00					
2008					
Mt. Calvary Outreach Center	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
3838 Fork Hill Road					
Kershaw, SC					
"LAMP (Leadership and Mentoring					
Program"					
\$10,000.00					
2009					
Multicultural Information Center	\$37,824.00	\$0.00	\$0.00	\$37,824.00	\$0.00
P.O. Box 267					
Lancaster, SC 29721					
ALT 08: Empowering our Future Today					
\$37,824.00					
2008					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
EI#: 57-0355295
For the Fiscal Year Ended 9/30/09

Schedule of Appropriations and Payments

9/30/2009

Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
Multicultural Information Center	\$0.00	\$42,824.00	\$0.00	\$0.00	\$42,824.00
P.O. Box 267 Lancaster, SC 29721 <i>ALT 09: Empowering Our Future Today</i> \$42,824.00 2009					
Palmetto Citizens Against Sexual Assau	\$0 00	\$25,750.00	\$0.00	\$25,750.00	\$0.00
106 North York Street Lancaster, SC 29720 <i>"Colposcopy System"</i> \$25,750.00 2009					
Parkison Association of Mecklenburg County	\$20,000 00	\$0.00	\$0.00	\$20,000.00	\$0 00
P.O. Box 33053 Charlotte, NC 28233 <i>"Tri-County Parkinson's Project"</i> \$20,000 00 2008					
Safe Passage	\$71,232.00	\$0 00	-\$21,003.00	\$50,229.00	\$0.00
P O. Box 11458 Rock Hill, SC 29731 <i>"Lancaster Shelter"</i> \$85,845 00 2008					
University of South Carolina, Lancaster	\$142,160 00	\$0.00	-\$36,114.00	\$68,824.00	\$37,222 00
Post Office Box 889 Lancaster , SC 29721-0889 <i>Project ACCESS: a Health Enhancement Program for Low Income Citizens</i> \$224,646 00 2006					
University of South Carolina, Lancaster	\$167,030.00	\$0 00	\$0.00	\$167,030.00	\$0.00
Post Office Box 889 Lancaster , SC 29721-0889 <i>"Diabetes Education Clinic"</i> \$518,022.00 2007					
University of South Carolina, Lancaster	\$0.00	\$55,000.00	-\$400 00	\$0.00	\$54,600.00
Post Office Box 889 Lancaster , SC 29721-0889 <i>"Project Access"</i> \$54,600.00 2009					
University of South Carolina Research Foundation	\$54,340.00	\$0.00	\$0.00	\$27,170.00	\$27,170.00
901 Sumter Street 5th Floor Byrnes Building Columbia, SC 29208 <i>Challenge Grant 08: Improving the Health</i>					

J. Marion Sims Foundation, Inc.
Part XV Supplementary Info. (continued)
Lines 3a and 3b - Grants paid during the Year or Approved for Future Payment
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Schedule of Appropriations and Payments

9/30/2009

Recipient and/or Purpose	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
<i>Literacy of Health Care Consumers in Southern Lancaster County</i>					
\$54,340.00					
2008					
Winthrop University	\$0.00	\$7,400.00	\$0.00	\$7,400.00	\$0.00
114 Tillman Building					
701 Oakland Avenue					
Rock Hill, SC 29733					
<i>Winthrop University</i>					
<i>"Nonprofit Managment Certificate Program"</i>					
\$7,400.00					
2009					
York Technical College Foundation	\$0.00	\$58,000 00	\$0.00	\$0 00	\$58,000 00
452 South Anderson Road					
Rock Hill, SC 29730					
<i>ALT 09: Bridge to Enhanced Skills Training (BEST)</i>					
\$58,000 00					
2009					
Grand Totals (225 items)	\$3,713,170.00	\$2,735,150 00	-\$ 50,611.54	\$3,105,442.46	\$3,292,266.00

J. MARIO FUNDING P

J. Marion Sims Foundation, Inc.
Part XV – Supplementary Information – Lines 2b
and 2d
EI#: 57-0355295
For the Fiscal Year Ended 9/30/09

The J. Marion Sims Foundation, Inc. supports programs and projects of prevention and education that enhance health and wellness in Lancaster County and the communities of Great Falls and Fort Lawn, South Carolina.

- All funded projects will serve the citizens of the Foundation's giving area.
- The Foundation will give priority to grant applicants for programs of community service that provide ongoing support.
- The Foundation seeks to make grants that will have significant and lasting impact on the community.
- Prospective grantees are encouraged to propose innovative collaborations and partnerships which respond to community needs.
- Proposals should emphasize preventive health care and creative ways of solving longstanding health and wellness concerns.
- The Foundation will favor proposals for specific projects, rather than for general operations.

FUNDING GUIDELINES

- Awards can be granted only to organizations and institutions exempt from federal taxation under Section 501 (c)(3) of the Internal Revenue Code.
- All grants will be in accordance with the Foundation's status as a tax-exempt 501 (c)(3) organization.
- Single-year and multi-year grants will be awarded. Seed grants will not exceed three years.
- While the Foundation has no limit on the amount that can be requested, Trustees will consider availability of funds and the impact of a large request.
- Since the Foundation's Board of Trustees feels strongly that the orientation of grants should be in the area of projects and programs, **funding in the following areas may be limited:**
 - Operations
 - Capital improvement projects
 - Scholarships, conferences, or seminars that result in professional advancement but provide no benefit to the greater community
 - Seed grants that do not address an urgent community need and do not demonstrate the potential for ongoing community support

The Foundation does not make grants to or for the following:

- Individuals
- Indigent Care
- Endowments
- Loans
- Retire accumulated debt
- Legislative lobbying or other political purposes
- Programs or projects generally considered to be the role of government
- Projects of organizations that primarily benefit their own members or adherents
- Tickets to charitable events or dinners
- Indirect costs, including payments of a percentage of a grant to a local organization's national affiliate

APPLICATION PROCESS

Step # 1 Plan ahead to apply.

The decision to apply for funding should be made after careful planning and budgeting. Visit the Foundation's website (www.jmsims.org) or contact the Foundation to request guidelines and application materials.

Step # 2 Contact the Foundation to schedule a meeting with the Program Officer for Responsive Grants.

If your organization would like to submit a proposal that fits the Foundation's mission, contact the Foundation well in advance (we recommend 60-90 days before the deadline) to schedule an appointment.

Step # 3 Meet with the Program Officer at least 30 days before the grant deadline.

The Program Officer will discuss your proposal and may invite your nonprofit to undergo a free organizational assessment designed to help nonprofits with their planning efforts.

Step # 4 Submit a grant proposal.

Refer to the checklist provided to ensure all materials and attachments are submitted. All documents must be received in the Foundation's office by 5:00pm on the due date.

The Foundation will acknowledge receipt of your proposal.

Each proposal will be reviewed by the Foundation's Grants Committee. This committee may request an interview and will make recommendations to the Board of Trustees. The Board has final authority for all requests.

Step # 5 Receive notification from the Foundation about funding.

The Foundation will notify all applicants in writing of the Board's decision.

APPLICATION TIMETABLE

The Foundation has two Responsive Grant application deadlines:

April 15
October 15

IF YOU WISH TO SUBMIT AN APPLICATION FOR THE APRIL 15 DEADLINE:

- Contact the Foundation to schedule a meeting with the program officer in **January or February**.
- Meet with the program officer to discuss your proposal by **March 15**.
- Submit your proposal, which must be received in the Foundation's office by 5:00pm on **APRIL 15**.
- You will receive notification about the board's decision, normally made in **August**.

IF YOU WISH TO SUBMIT AN APPLICATION FOR THE OCTOBER 15 DEADLINE:

- Contact the Foundation to schedule a meeting with the program officer in **July or August**.
- Meet with the program officer to discuss your proposal by **September 15**.
- Submit your proposal, which must be received in the Foundation's office by 5:00pm on **OCTOBER 15**.
- You will receive notification about the board's decision, normally made in **February**.

NOTE: *If the application deadline falls on a weekend or holiday, the deadline shall be 5:00 p.m. on the next business day. Applications received after the deadline date may be considered in the next grant cycle.*

GRANTEES' ACCOUNTABILITY

- The Foundation may request a site visit.
- Expenditure reports will be required. Independent financial audits may also be required of grantees.
- Grantees will be asked to conduct a formal self-evaluation at the end of the grant period, including a written report. Reports may also be required at other times during the grant period.

RESPONSIVE GRANT APPLICATION REQUIREMENTS

To make the most effective use of limited resources, the Foundation has outlined its funding priorities and guidelines. Please review them carefully. For your convenience, a space is provided for you to check once each application requirement has been completed. Use of this checklist will help ensure the application is submitted correctly and includes all required attachments. If you have any questions about how to comply with these requirements, please contact Foundation staff.

- All proposal information must be **typed with a minimum of 10 pt. font**. Margins must be no less than one inch.
- Please submit **one copy** of your application and supporting documents **in the order listed below**.
- Please use only binder clips to secure your materials. **Do not staple or bind any part of your proposal** in any other way. Documents that are typically bound—such as audit reports—must also be submitted unbound.
- The Foundation **will not accept** materials sent via email or facsimile—only original hardcopies.
- Applications **must be received in the Foundation's office by 5:00pm** on the due date.
- Proposals adhering to these guidelines will receive full consideration by the Foundation.

CHECKLIST

- ___ **A. Advance consultation meeting** conducted with a Foundation program officer at least 30 days before the grant application deadline. (Meeting must take place prior to March 15 for the April proposal deadline and prior to September 15 for the October proposal deadline.)
- ___ **B. A cover letter** addressed to the Foundation's president of **no more than one page** that concisely states the following:
 - ___ (a) name of the project/program for which funding is being requested
 - ___ (b) amount requested from the J. Marion Sims Foundation
 - ___ (c) one-to-two sentence description of the project/program and what you hope to accomplish
 - ___ (d) brief description of how this project/program will be sustained after funding from the J. Marion Sims Foundation has been expended

- Checklist continued next page

- ___ C. A proposal narrative of **no more than three (3) single-spaced pages** that includes the following: **(Please use the underlined words as headers in your proposal.)**
- ___ **PROJECT OVERVIEW** Provide a brief introduction to your organization and a summary of the proposed project/program. Describe how your organization proposes to improve the health and wellness of the residents of Lancaster County, Fort Lawn and/or Great Falls, South Carolina.
- ___ **NEED** Describe the need for this project/program. Be sure to include numerical information about the geographical area to be served. (Relevant and up-to-date data about the residents of Lancaster County, Fort Lawn and/or Great Falls, SC should be included.)
- ___ **POTENTIAL** Identify current research or other evidence that supports why your proposed project/program might be successful in addressing this need.
- ___ **BACKGROUND** Provide a brief explanation about how this project/program was developed. Did you involve representatives of your target population(s) in the planning and design of this program? If so, how?
- ___ **SCHEDULE** Give a brief list of the major steps for this project and identify the date by which each step is projected to be completed.
- ___ **QUALIFICATIONS** Include a couple of sentences about how the organization and personnel concerned are qualified to implement the proposed project/program.
- ___ D. **FORM #1: RESPONSIVE GRANT APPLICATION** (must use form provided)
This form must be signed by the Board Chairman and Executive Director of the applicant organization. If the applicant organization is exempt due to its affiliation with a central organization such as county governmental agency, school district, or other similar organization, the proposal must also show evidence of coordination through the central organization with the appropriate signature on the application.
- ___ E. **FORM #2: GOALS, OBJECTIVES, AND MEASURES** (must use form provided)
This form enables applicants to identify project goals, state plans for fulfilling these goals, and describe how progress will be measured.
- ___ F. **FORM #3: PROJECT BUDGET** (must use form provided)
This Microsoft Excel form requires applicants to list projected revenue (from all sources) and expenses for each year of this project that funding is being requested from the J. Marion Sims Foundation. For example, if you are requesting funding for three years for your project, you will complete all three columns provided on the line-item budget form.
- ___ G. **FORM #4: FUNDS REQUESTED FROM J. MARION SIMS FOUNDATION** (must use form provided)
This Microsoft Excel form requires applicants to list only the expense items that are proposed to be paid for with grant funds from the J. Marion Sims Foundation. This form also includes a section for a budget justification so that organizations may explain how they arrived at the amounts listed for each expense line item.

- Checklist continued next page

H. ATTACHMENTS

- ___ **ATTACHMENT 1:** An overall budget for the applicant organization. The budget should show sources of income as well as expenditure items. This information can be provided in any format.
- ___ **ATTACHMENT 2:** Job descriptions for positions to be funded by this grant, if applicable.
- ___ **ATTACHMENT 3:** A list of the names, addresses and professional affiliation of the current Board of Directors.
- ___ **ATTACHMENT 4:** If this project/program is a collaborative effort or involves other organizations, please attach letters from each partnering organization describing their level of commitment. These letters should specify how partners will collaborate with the applicant organization to implement this program, such as contributing time, materials, meeting space, etc.
- ___ **ATTACHMENT 5:** Information regarding the status of regulatory approval for your project/program, if applicable.
- ___ **ATTACHMENT 6:** A copy of the applicant's most recent IRS 501(c)(3) letter. If the applicant is exempt as a local affiliate of a national organization, attach certification of membership. (Note: Please be sure not to send your Federal Tax ID number or your State Tax Exemption Notice, as these items do not meet this requirement.)
- ___ **ATTACHMENT 7:** One copy of the applicant's most recent audit. If no audit exists, please explain and attach the most recent financial statements.
- ___ **ATTACHMENT 8:** A copy of the organization's IRS Form 990 for the last three years.

FORM #1 – RESPONSIVE GRANT APPLICATION
J. MARION SIMS FOUNDATION, INC.

(Revised 2009)

1. Legal Name of Organization (as it appears on 501©(3) letter)

Name and Job Title of Chief Executive Officer/Executive Director:

Address:

Phone:

Fax:

Email:

Website:

2. Mission of your organization:

3. Name of project for which funding is requested:

Project director or contact person for this project:

Address (if different from above):

Phone:

Email:

4. Project Abstract (Please provide an abstract, or summary, of your project using no more than 50 words.)

5. What is your total budget for this project? \$

6. What is the amount you are requesting for this project from the J. Marion Sims Foundation?

\$ Year One	\$ Year Two (if requested)	\$ Year Three (if requested)	\$ Total Amount Requested
----------------	----------------------------------	------------------------------------	------------------------------

(These amounts must equal the totals presented in Forms #3 and #4)

7. Will receiving a grant in the amount requested jeopardize your status as a public charity?
(Contact Foundation staff if you are unsure about how to answer this question.)

8. Is this a new or existing project?

9. When is this project scheduled to begin? To end?

10. If this program is to be sustained over time, what are your plans for long-term funding?

11. How many people will receive direct services from your organization as a result of this grant? (Please specify whether this number is a duplicated or unduplicated number.)

12. How will this project help your organization carry out its mission?

13. How will this project address the health and wellness needs of Lancaster County and/or the communities of Fort Lawn and Great Falls?

14. Do you need any licensing, zoning or other regulatory approval to conduct this project?

If yes, please provide information in Attachment #5.

15. Does this project need to be coordinated through a central organization?

If yes, please have the appropriate person sign below to indicate support and understanding of the proposal.

Print Name and Title

Signature

Date

16. Approval of Board Chairman and Executive Director:

We approve submission of this grant request. We certify that the applicant organization does not discriminate on the basis of race, color, age, sex, or national origin. We also certify that our most recent IRS notification of our organization's 501 (c)(3) status is attached to this application and that the organization has received no notice from the IRS of any proposal, threat or suggestion to revoke or modify this determination.

Executive Director (typed)

Signature

Date

FORM #2 – GOALS, OBJECTIVES AND MEASURES

J. MARION SIMS FOUNDATION

Name of Organization:

Project Title:

Complete the following for each program goal. **The space provided will expand as you enter your text.** If the number of goals or objectives exceeds the amount of space provided below, please copy and paste additional selections to accommodate your responses.

EXAMPLE GOAL #1: To implement a new physical health initiative for senior citizens in the JKL program.

- **Objective:** to provide exercise fitness activities
- **Strategies/Activities to reach this objective:** yoga classes, water aerobics, walking trail
- **How many/how much?** Yoga classes and water aerobics will be offered twice each week at the JKL facility. Seniors can also use the walking trail. At least 75% of our seniors (approximately 30 individuals) will take part in yoga and/or water aerobics and 85% (34 seniors) will walk at least 1 mile each week.
- **What will the outcomes be of these activities and how will you measure the results?** We will collect weight, BMI, blood pressure, and treadmill endurance information from all seniors before we start this program. We will collect this same information every month to determine progress. At least 90% of participating seniors will show improvements in these health measures by the end of the first quarter.

GOAL #1:

- **Objective:**
 - **Strategies/Activities to reach this objective:**
 - **How many/how much?**
 - **What will the outcomes be of these activities and how will you measure the results?**
-
- **Objective:**
 - **Strategies/Activities to reach this objective:**
 - **How many/how much?**
 - **What will the outcomes be of these activities and how will you measure the results?**

GOAL #2:

- **Objective:**
 - **Strategies/Activities to reach this objective:**
 - **How many/how much?**
 - **What will the outcomes be of these activities and how will you measure the results?**
-
- **Objective:**
 - **Strategies/Activities to reach this objective:**

- How many/how much?
- What will the outcomes be of these activities and how will you measure the results?

GOAL #3:

- Objective:
- Strategies/Activities to reach this objective:
- How many/how much?
- What will the outcomes be of these activities and how will you measure the results?

- Objective:
- Strategies/Activities to reach this objective:
- How many/how much?
- What will the outcomes be of these activities and how will you measure the results?

GOAL #4:

- Objective:
- Strategies/Activities to reach this objective:
- How many/how much?
- What will the outcomes be of these activities and how will you measure the results?

- Objective:
- Strategies/Activities to reach this objective:
- How many/how much?
- What will the outcomes be of these activities and how will you measure the results?

FORM #3 - PROJECT BUDGET

J. MARION SIMS FOUNDATION

Name of Organization:	Date:
Name of Project:	Total Project Cost: \$

<u>PROJECT REVENUE:</u>	<u>Year One</u>	<u>Year Two</u>	<u>Year Three</u>	<u>Total</u>
1. <u>Existing Funders (funding has been approved)</u>				
a. _____				\$0
b. _____				\$0
c. _____				\$0
d. _____				\$0
e. _____				\$0
f. _____				\$0
g. _____				\$0
2. <u>Pending Funding (funding is under consideration)</u>				
a. J. Marion Sims Foundation				\$0
b. _____				\$0
c. _____				\$0
d. _____				\$0
e. _____				\$0
f. _____				\$0
3. <u>Funding that will be pursued</u>				
a. _____				\$0
b. _____				\$0
c. _____				\$0
d. _____				
e. _____				
f. _____				
Total Project Revenue	\$0	\$0	\$0	\$0

<u>PROJECT EXPENSES:</u>	<u>Year One</u>	<u>Year Two</u>	<u>Year Three</u>	<u>Total</u>
1. <u>Personnel, payroll taxes, fringes</u>				
a. _____				\$0
b. _____				\$0
c. _____				\$0
d. _____				\$0
e. _____				\$0
f. _____				\$0
g. _____				\$0
h. _____				\$0
i. _____				\$0
j. _____				\$0
k. _____				\$0
2. <u>Materials, supplies, etc.</u>	<u>Year One</u>	<u>Year Two</u>	<u>Year Three</u>	<u>Total</u>
a. _____				\$0

FORM #3 - PROJECT BUDGET

J. MARION SIMS FOUNDATION				\$0
c.				\$0
d.				\$0
e.				\$0
f.				\$0
g.				\$0
h.				\$0
i.				\$0
j.				\$0
k.				\$0

3. Occupancy, other

a.				\$0
b.				\$0
c.				\$0
d.				\$0
e.				\$0
f.				\$0
g.				\$0
h.				\$0
i.				\$0
j.				\$0
k.				\$0

4. Equipment, other capital

a.				0
b.				0
c.				0
d.				0
e.				0
f.				0
g.				0
h.				0

Total Project Expenses*	0	0	0	0
--------------------------------	----------	----------	----------	----------

**Total Expenses should equal Total Revenue.*

Other Information:

In-Kind Contributions

	<u>Year One</u>	<u>Year Two</u>	<u>Year Three</u>	<u>Total</u>
a.				\$0
b.				\$0
c.				\$0
d.				\$0
e.				\$0
f.				\$0
g.				\$0
h.				\$0
Total In-Kind Contributions	\$0	\$0	\$0	\$0

FORM #4 - FUNDS REQUESTED FROM THE J. MARION SIMS FOUNDATION J. MARION SIMS FOUNDATION

Name of Organization:
Name of Project:

Please enter ONLY the amount you are requesting from the J. Marion Sims Foundation for Year One: _____

If applicable, please enter the amount requested from the J. Marion Sims Foundation for Year Two: _____

If applicable, please enter the amount requested from the J. Marion Sims Foundation for Year Three: _____

TOTAL REQUESTED FROM THE J. MARION SIMS FOUNDATION: \$0

YEAR ONE

Please list all expenses you are proposing to pay for using funds from the J. Marion Sims Foundation and provide a brief explanation.
A sample form is provided below (scroll down).

PROJECT EXPENSES:	Year One	JUSTIFICATION
1. <u>Personnel, payroll taxes, fringes</u>		
a. _____	_____	_____
b. _____	_____	_____
c. _____	_____	_____
d. _____	_____	_____
e. _____	_____	_____
f. _____	_____	_____
g. _____	_____	_____
h. _____	_____	_____
i. _____	_____	_____
j. _____	_____	_____
k. _____	_____	_____

FORM #4 - FUNDS REQUESTED FROM THE J. MARION SIMS FOUNDATION J. MARION SIMS FOUNDATION

	Materials, supplies, etc.	Year One
2. a.		
b.		
c.		
d.		
e.		
f.		
g.		
h.		
i.		
j.		
k.		
3. <u>Occupancy, other</u>		
a.		
b.		
c.		
d.		
e.		
f.		
g.		
h.		
i.		
j.		
k.		
4. <u>Equipment, other capital</u>		
a.		
b.		
c.		
d.		
e.		
f.		
g.		
h.		
Year One Expenses*		\$0

*This amount should equal the amount you are requesting from the J. Marion Sims Foundation for Year One.

FORM #4 - FUNDS REQUESTED FROM THE J. MARION SIMS FOUNDATION J. MARION SIMS FOUNDATION

Name of Organization:
Name of Project:

YEAR TWO

PROJECT EXPENSES:	Year Two	JUSTIFICATION
1. <u>Personnel, payroll taxes, fringes</u>		
a.		
b.		
c.		
d.		
e.		
f.		
g.		
h.		
i.		
j.		
k.		
2. <u>Materials, supplies, etc.</u>		
a.		
b.		
c.		
d.		
e.		
f.		
g.		
h.		
i.		
j.		
k.		

FORM #4 - FUNDS REQUESTED FROM THE J. MARION SIMS FOUNDATION J. MARION SIMS FOUNDATION

3.	Occupancy, other	Year Two
a.		
b.		
c.		
d.		
e.		
f.		
g.		
h.		
i.		
j.		
k.		
4.	Equipment, other capital	
a.		
b.		
c.		
d.		
e.		
f.		
g.		
h.		
Year Two Expenses*		\$0

**This amount should equal the amount you are requesting from the J. Marion Sims Foundation for Year Two.*

FORM #4 - FUNDS REQUESTED FROM THE J. MARION SIMS FOUNDATION J. MARION SIMS FOUNDATION

Name of Organization:
Name of Project:

YEAR THREE

PROJECT EXPENSES:	Year Three	JUSTIFICATION
1. <u>Personnel, payroll taxes, fringes</u>		
a.		
b.		
c.		
d.		
e.		
f.		
g.		
h.		
i.		
j.		
k.		
2. <u>Materials, supplies, etc.</u>		
a.		
b.		
c.		
d.		
e.		
f.		
g.		
h.		
i.		
j.		
k.		

FORM #4 - FUNDS REQUESTED FROM THE J. MARION SIMS FOUNDATION J. MARION SIMS FOUNDATION

3.	Occupancy, other	Year Three
a.		
b.		
c.		
d.		
e.		
f.		
g.		
h.		
i.		
j.		
k.		

4.	Equipment, other capital	Year Three Expenses*	\$0
a.			
b.			
c.			
d.			
e.			
f.			
g.			
h.			

**This amount should equal the amount you are requesting from the J Marion Sims Foundation for Year Three*

FORM #4 - FUNDS REQUESTED FROM THE J. MARION SIMS FOUNDATION J. MARION SIMS FOUNDATION

SAMPLE SAMPLE SAMPLE SAMPLE SAMPLE SAMPLE SAMPLE

Name of Organization:	LANCASTER FERRET RESCUE
Name of Project:	FERRET PLACEMENT PROJECT

Please enter ONLY the amount you are requesting from the J. Marion Sims Foundation for Year One: \$30,827

If applicable, please enter the amount requested from the J. Marion Sims Foundation for Year Two: \$16,810

If applicable, please enter the amount requested from the J. Marion Sims Foundation for Year Three: \$0

TOTAL REQUESTED FROM THE J. MARION SIMS FOUNDATION: \$47,637

YEAR ONE

Please list all expenses you are proposing to pay for using funds from the J. Marion Sims Foundation and provide a brief explanation.

PROJECT EXPENSES:		Year One	JUSTIFICATION
1. <u>Personnel, payroll taxes, fringes</u>			
a. Executive Director	\$10,000	FT Director's salary is \$40,000; 25% of ED's time spent on this project; 25% of position requested	
b. Administrative Assistant	\$9,000	Admin will spend 15 hrs/wk on this project; \$12/hr x 15 hrs/wk x 50 weeks	
c. Payroll taxes	\$1,454	Requesting payroll taxes corresponding to portion of payroll requested: \$19,000 x .0765	
d. Health and life insurance	\$1,575	Insurance for Exec Dir = \$6,300; requesting 25% of this amount	
e.			
f.			
g.			
h.			
i.			
j.			
k.			

FORM #4 - FUNDS REQUESTED FROM THE J. MARION SIMS FOUNDATION J. MARION SIMS FOUNDATION

2. Materials, supplies, etc.		Year One
a. Brochures		\$3,750
b. Office supplies		\$1,200
c. Pet supplies		\$500
d.		
e.		
f.		
g.		
h.		
i.		
j.		
k.		

Printing cost for 5,000 color brochures @ \$.75 per brochure
 Misc office supplies - paper, pens, ink cartridges, binder clips, etc.
 Most supplies will be donated; this amount helps to cover food, bedding, etc. not donated

3. Occupancy, other		
a. Storage facility		\$540
b. Travel		\$2,808
c.		
d.		
e.		
f.		
g.		
h.		
i.		
j.		
k.		

Storage fees = \$60/month; needed for 9 months only, then moving to new facility with storage
 Mileage reimbursement for rescue volunteers; avg. 400 miles/month x 12 months x .585/mile

4. Equipment, other capital		
a.		
b.		
c.		
d.		
e.		
f.		
g.		
h.		

Year One Expenses*		
		\$30,827

*This amount should equal the amount you are requesting from the J Marion Sims Foundation for Year One.

FORM #4 - FUNDS REQUESTED FROM THE J. MARION SIMS FOUNDATION J. MARION SIMS FOUNDATION

Name of Organization:	LANCASTER FERRET RESCUE
Name of Project:	FERRET PLACEMENT PROJECT

YEAR TWO

PROJECT EXPENSES:		Year Two	JUSTIFICATION
1.	Personnel, payroll taxes, fringes		
a.	Marketing Specialist	\$8,000	Contracted position - total annual contract for services \$8,000; requesting 100% of this position
b.			
c.			
d.			
e.			
f.			
g.			
h.			
i.			
j.			
k.			
2.	Materials, supplies, etc.		
a.	Pet supplies	\$500	Most supplies will be donated; this amount helps to cover food, bedding, etc. not donated
b.			
c.			
d.			
e.			
f.			
g.			
h.			
i.			
j.			
k.			

FORM #4 - FUNDS REQUESTED FROM THE J. MARION SIMS FOUNDATION J. MARION SIMS FOUNDATION

	Occupancy, other	Year Two	
3.			
a.	Website	\$4,800	Development of website to help place ferrets with families; fee for development and maintenance
b.	Travel	\$3,510	Mileage reimbursement for rescue volunteers; avg. 500 miles/month x 12 months x .585/mile
c.			
d.			
e.			
f.			
g.			
h.			
i.			
j.			
k.			
4.			
	Equipment, other capital		
a.			
b.			
c.			
d.			
e.			
f.			
g.			
h.			
	Year Two Expenses*	\$16,810	

**This amount should equal the amount you are requesting from the J. Marion Sims Foundation for Year Two.*