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OMB No 1545-0047

2008

Return of Organization Exempt From Income Tax

Department of the Treasury

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements Internal Revenue Service Inspection A For the 2008 calendar year, or tax year beginning 10-01-2008 and ending 09-30-2009 D Employer identification number **B** Check if applicable Please use IRS Smithsonian Institution Address change 53-0206027 label or Doing Business As E Telephone number Name change print or type. See Specific (202) 633-1000 Initial return Number and street (or P O box if mail is not delivered to street address) Room/suite Instruc-**G Gross receipts** \$ 1,610,360,432 Termination tions. City or town, state or country, and ZIP + 4 Washington, DC 20560 Amended return Application pending Name and address of Principal Officer **H(a)** Is this a group return for Dr G Wayne Clough ΓYes **Γ**Nο affiliates? 1000 Jefferson Dr SW Washington, DC 20560 H(b) Are all affiliates included? ┌ Yes ┌ No Tax-exempt status **✓** 501(c) (3) **◄** (insert no) 4947(a)(1) or 527 (If "No," attach a list See instructions) Group Exemption Number 🕨 Web site: www Smithsonian org L Year of Formation 1846 M State of legal domicile Part I Summary Briefly describe the organization's mission or most significant activities The increase and diffusion of knowledge Activities & Governance Check this box 🗂 if the organization discontinued its operations or disposed of more than 25% of its assets Number of voting members of the governing body (Part VI, line 1a) . Number of independent voting members of the governing body (Part VI, line 1b) . . 17 Total number of employees (Part V, line 2a) 5,697 Total number of volunteers (estimate if necessary) 6,000 7a Total gross unrelated business revenue from Part VIII, line 12, column (C) . 16,804,697 Net unrelated business taxable income from Form 990-T, line 34 909,609 **Prior Year Current Year** Contributions and grants (Part VIII, line 1h) . 248,370,335 987,555,559 Program service revenue (Part VIII, line 2g) . 123,657,070 96,168,217 10 Investment income (Part VIII, column (A), lines 3, 4, and 7d) . 57,932,340 -33,895,776 11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) 63,522,171 65,033,695 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12 493,481,916 1,114,861,695 12) 13 Grants and similar amounts paid (Part IX, column (A), lines 1-3) 5,363,132 14,155,899 14 Benefits paid to or for members (Part IX, column (A), line 4) 0 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-15 Expenses 160,447,791 560,540,681 10) Professional fundraising fees (Part IX, column (A), line 11e) 308,216 3,708,702 16a (Total fundraising expenses, Part IX, column (D), line 25 $\frac{21,578,695}{}$ b 17 240,417,082 502,589,801 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f) Total expenses—add lines 13-17 (must equal Part IX, line 25, column (A)) 1,080,995,083 18 406,536,221 19 Revenue less expenses Subtract line 18 from line 12 86,945,695 33,866,612 89 **Beginning of Year End of Year** Assets (1 Bafaec 20 Total assets (Part X, line 16) 1,873,395,543 3,208,128,190 21 Total liabilities (Part X, line 26) 274,885,915 692,121,205 22 Net assets or fund balances Subtract line 21 from line 20 1,598,509,628 2,516,006,985 Part II Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge Please ***** 2010-08-13 Sign Signature of officer Here Alice C Maroni CFO Type or print name and title Date Check if Preparer's PTIN (See Gen Inst) Preparer's signature Paid empolyed 🕨 🤽 Preparer's Firm's name (or yours EIN ▶ if self-employed), address, and ZIP + 4 **Use Only**

May the IRS discuss this return with the preparer shown above? (See instructions)

Yes Γ Νο

Part III Statement of Program Service Accomplishments (See the instructions.)

1	Briefly describe the organization's mission
	The increase and diffusion of knowledge
2	Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?
	If "Yes," describe these new services on Schedule O
3	Did the organization cease conducting or make significant changes in how it conducts any program
3	services?
	If "Yes," describe these changes on Schedule O
4	Describe the exempt purpose achievements for each of the organization's three largest program services by expenses
	Section 501(c)(3) and (4) organizations and 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported
4a	(Code) (Expenses \$ 327,108,226 including grants of \$ 14,155,899) (Revenue \$ 37,145,229)
	Research and Collections - The Smithsonian collection of nearly 137 million objects (art, artifacts and scientific specimens) are the heart of the Institution Care of collections involves the work of registrars, conservators, museum specialists, designers, curators and editors. About 126 million objects are part of the natural history
	collections and primarily used for research purposes, by both Smithsonian scientists and researchers from around the world. In some cases, the museum has the
	definitive, irreplaceable collection of a certain species, which is essential for comparative studies. The collections represent our rich national heritage and the immense diversity of the natural and cultural worlds. The collections are fundamental to our work and to that of countless scholars and many federal agencies, it is
	our responsibility to preserve them for future generations. To ensure they remain available, we improved collection storage and continued to develop our plan to
	digitize the collections so that they are accessible to the public and researchers around the world
<u> </u>	/Codo
4b	(Code) (Expenses \$ 244,560,748 including grants of \$) (Revenue \$ 59,380,285) Education, Public Programs and Exhibitions - The Smithsonian opened 90 new exhibits this year, 52 exhibits traveled to venues in all 50 states. One of many
	examples related to this activity was the reopening of the Smithsonian's National Museum of American History in November 2008 following a two year renovation
	The public was invited to see the Star Spangled Banner, one of the icons of the Institution's collection, in an entirely new way with a full exhibition of the history of this flag and an interactive station to allow visitors to create their own personalized tours of the flag. In addition, many other exhibitions were updated for the
	reopening including military history, presidential history and American popular culture. The National Air and Space Museum celebrated the 40th anniversary of the
	Apollo 11 moon landing with an exhibit featuring space-related objects from its collection. Two of the highlights of this exhibit included the Arago collection and public programs with the Apollo 11 astronauts. The Smithsonian also celebrated the inauguration of President Obama with special exhibits and programs in January.
	2009 These special exhibits and programs included "The American Presidency" A Glorious Burden", "Presidents in Waiting" and "First Ladies at the Smithsonian" In total, the Smithsonian museums served approximately 30 million visitors in FY2009
	total, the similarithaseants served approximately 50 million visitors in 1 12005
4c	(Code) (Expenses \$ 55,356,151 including grants of \$) (Revenue \$ 62,806,836)
	Associate Membership - The Smithsonian Associates provides educational and cultural programs that highlight and complement the work of the Smithsonian
	Institution through a wide variety of formats including performances, lectures, courses, workshops and special events on the National Mall and across the country During its more than 40 year history, The Smithsonian Associates has become the nation's largest museum-based continuing education program. Each year The
	Smithsonian Associates creates and presents nearly 1,000 educational and cultural events www SmithsonianAssociates org
// cl	Other program services (Describe in Schedule O.)
4d	Other program services (Describe in Schedule O) (Expenses \$ including grants of \$) (Revenue \$)
4e	Total program service expenses \$ 627,025,125 Must equal Part IX, Line 25, column (B).

Form **990** (2008)

Part IV	Chec	klist of	Required	Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1	Yes	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2		No
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3		No
4	Section 501(c)(3) organizations Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II	4	Yes	
5	Section $501(c)(4)$, $501(c)(5)$, and $501(c)(6)$ organizations. Is the organization subject to the section $6033(e)$ notice and reporting requirement and proxy tax? If "Yes," complete Schedule C, Part III	5		
6	Did the organization maintain any donor advised funds or any accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I.	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," complete Schedule D, Part II	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8	Yes	
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9		No
10	Did the organization hold assets in term, permanent,or quasi-endowments? If "Yes," complete Schedule D, Part V	10	Yes	
11	Did the organization report an amount in Part X, lines 10, 12, 13, 15, or 25? If "Yes," complete Schedule D, Parts VI, VIII, VIII, IX, or X as applicable	11	Yes	
12	Did the organization receive an audited financial statement for the year for which it is completing this return that was prepared in accordance with GAAP? If "Yes," complete Schedule D, Parts XI, XII, and XIII .	12	Yes	
13	Is the organization a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		No
14a	Did the organization maintain an office, employees, or agents outside of the U.S.?	14a	Yes	
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the U S ? If "Yes," complete Schedule F, Part I	14b	Yes	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Part II	15	Yes	
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If</i> "Yes," complete Schedule F, Part III	16	Yes	
17	Did the organization report more than \$15,000 on Part IX, column (A), line 11e? If "Yes," complete Schedule G, Part I	17	Yes	
18	Did the organization report more than \$15,000 total on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18	Yes	
19	Did the organization report more than \$15,000 on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19		Νo
20	Did the organization operate one or more hospitals? If "Yes," complete Schedule H	20		Νο
21	Did the organization report more than \$5,000 on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21	Yes	
22	Did the organization report more than \$5,000 on Part IX, column (A), line 2° If "Yes," complete Schedule I, Parts I and III	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5? If "Yes," complete Schedule J	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer questions 24b-24d and complete Schedule K. If "No," go to question 25	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		Yes	<u> </u>
	Did the organization maintain an escrow account other than a refunding escrow at any time during the year	24b	Yes	
А	to defease any tax-exempt bonds?	24c	Yes	
	Section $501(c)(3)$ and $501(c)(4)$ organizations. Did the organization engage in an excess benefit transaction with	24d	, 03	N.o.
b	a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		No
26	from a prior year? If "Yes," complete Schedule L, Part I	25b		No
	disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L,	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, or substantial contributor, or to a person related to such an individual? If "Yes," complete Schedule L, Part III	27		Νο

Part IV Checklist of Required Schedules (Continued)

			Yes	No
28	During the tax year, did any person who is a current or former officer, director, trustee, or key employee			
а	Have a direct business relationship with the organization (other than as an officer, director, trustee, or employee), or an indirect business relationship through ownership of more than 35% in another entity (individually or collectively with other person(s) listed in Part VII, Section A)? If "Yes," complete Schedule L, Part			
		28a		Νo
b	Have a family member who had a direct or indirect business relationship with the organization? If "Yes," complete Schedule L, Part IV	28b		Νο
С	Serve as an officer, director, trustee, key employee, partner, or member of an entity (or a shareholder of a professional corporation) doing business with the organization? If "Yes," complete Schedule L, Part IV.	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M 🕏	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II	32		Νο
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations section 301 7701-2 and 301 7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33		Νο
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1	34		Νo
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35		Νo
36	501(c)(3) organizations Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2	36		Νo
37	Did the organization conduct more than 5 percent of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		Νo

Ра	Statements Regarding Other IRS Filings and Tax Compliance			
			Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal			
	of U.S. Information Returns. Enter -0- if not applicable			
	1a 3,214			
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable 1b			
С	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	Yes	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax		100	
24	Statements filed for the calendar year ending with or within the year covered by this			
	return			
b	If at least one is reported in 2a, did the organization file all required federal employment tax returns?	2b	Yes	
2-	Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return.	20	165	
3 a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	3a	Yes	
ь	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O	3b	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority			
	over, a financial account in a foreign country (such as a bank account, securities account, or other financial	4-		
	account)?	4a	Yes	
b	AR, AS, AU, BA, BE, BC, BR, CA, CI, CH, CO, EZ, DA,			
	EG , FI , FR , GM , GH , GR , HK , HU , IN , ID , EI , IS , IT , JA , JO , KZ , KE , KS , KU , LE , MY , MP , MX , MO , WA , NL , NZ ,			
	NI , NO , MU , PK , PM , PE , RP , PL , PO , QA , RS , SA , SG ,			
	SN , SF , SP , SW , SZ , TW , TH , TS , TX , TU , UP , AE , UK ,			
	If "Yes," enter the name of the foreign country VM, ZA, ZI See the instructions for exceptions and filing requirements for Form TD F 90-22.1, <i>Report of Foreign Bank and</i>			
	Financial Accounts.			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		Νo
ь	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		Νο
_	If "Yes," to 5a or 5b, did the organization file Form 8886-T, <i>Disclosure by Tax-Exempt Entity Regarding Prohibited</i>			
•	Tax Shelter Transaction?	5c		
6a	Did the organization solicit any contributions that were not tax deductible?	6a		Νο
ь	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts			
	were not tax deductible?	6b		
7	Organizations that may receive deductible contributions under section 170(c).			
а	Did the organization provide goods or services in exchange for any quid pro quo contribution of \$75 or	7a	Yes	
	more?	76	V	
	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	Yes	
С	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c		Νο
d	If "Yes," indicate the number of Forms 8282 filed during the year 7d			
е	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal	_		
	benefit contract?	7e		No No
	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f _		No
	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?	7h		
8	Section 501(c)(3) and other sponsoring organizations maintaining donor advised funds and section 509(a)(3)			
	supporting organizations. Did the supporting organization, or a fund maintained by a sponsoring organization, have			
	excess business holdings at any time during the year?	8		
9	Section 501(c)(3) and other sponsoring organizations maintaining donor advised funds.			_ _
	Did the organization make any taxable distributions under section 4966?	9a		
	Did the organization make a distribution to a donor, donor advisor, or related person?	9b		
	Section 501(c)(7) organizations. Enter	,,,		
10				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities			
11	Section 501(c)(12) organizations Enter			
	Gross income from members or shareholders			
а	11a			
b	Gross income from other sources (Do not net amounts due or paid to other sources			
	against amounts due or received from them)			
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
	If "Yes," enter the amount of tax-exempt interest received or accrued during the			
_	vear			

Part VI Governance, Management, and Disclosure (Sections A, B, and C request information about policies not required by the Internal Revenue Code.)

Section A	A. Governi	ing Body	and	Management	i

			Yes	No
	For each "Yes" response to lines 2-7 below, and for a "No" response to lines 8 or 9b below, describe the circumstances, processes, or changes in Schedule O. See instructions.			
1a	Enter the number of voting members of the governing body 1a 17			
b	Enter the number of voting members that are independent 1b 17			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2		Νο
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3		Νo
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?	4		Νo
5	Did the organization become aware during the year of a material diversion of the organization's assets?	5		Νo
6	Does the organization have members or stockholders?	6		Νo
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a	Yes	
ь	Are any decisions of the governing body subject to approval by members, stockholders, or other persons? \cdot \cdot	7b		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following			
а	the governing body?	8a	Yes	
ь	each committee with authority to act on behalf of the governing body?	8b	Yes	
9a	Does the organization have local chapters, branches, or affiliates?	9a		Νo
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	9b		
10	Was a copy of the Form 990 provided to the organization's governing body before it was filed? All organizations must describe in Schedule O the process, if any, the organization uses to review the Form 990	10	Yes	
11	Is there any officer, director or trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	11		Νο

Section B. Policies

			Yes	No
12a	Does the organization have a written conflict of interest policy? If "No", go to line 13 \cdot .	12a	Yes	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	Yes	
13	Does the organization have a written whistleblower policy?	13	Yes	
14	Does the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision			
а	The organization's CEO, Executive Director, or top management official?	15a	Yes	
b	Other officers or key employees of the organization?	15b	Yes	
	Describe the process in Schedule O			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	Yes	
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable Federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b	Vas	
		TOD	Yes	l

Section C. Disclosure

- 17 List the States with which a copy of this Form 990 is required to be filed
- Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c) (3)s only) available for public inspection. Indicate how you make these available. Check all that apply own website. another's website. upon request
- 19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public See Additional Data Table
- 20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization

Alice Maroni 1000 Jefferson Dr SW Washington, DC 20560 (202) 633-1000

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Section A Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Use Schedule J-2 if additional space is needed

Check this box if the organization did not compensate any officer, director, trustee or key employee

- * List all of the organization's **current** officers, directors, trustees (whether individuals or organizations) and key employees regardless of amount of compensation, and current key employees. Enter -0- in columns (D), (E), and (F) if no compensation was paid
- * List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- * List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- * List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

The check this box in the organization and i	·	(C) Position (check all that apply)							(E)	(F)
(A) Name and Title	(B) Average hours per week	rerage	Reportable compensation from the organization (W-	Estimated amount of other compensation from the organization and related organizations						
							-			

Part VII Continued

			tion that a			all			(F)	(F)
(A) Name and Title	(B) A verage hours per week	Individual Trustee or Director	Institutional Trustee	Оппо	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	Estimated amount of other compensation from the organization and related organizations
			-				1			
							1			
							-			
			+				+			
							t			
			\vdash		\dashv		\vdash			
			+							
1b Total		٠			-		-	8,661,751	. (1,169,315

2 Total number of individuals (including those in 1a) who received more than \$100,000 in reportable compensation from the organization ▶498

			Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If</i> " <i>Yes</i> ," <i>complete Schedule J for such individual</i>	3	Yes	
4	For any individual listed online 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual	4	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? If "Yes," complete Schedule I for such person	5		Νο

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization

\$100,000 of compensation from the organization					
(A) Name and business address	(B) Description of services	(C) Compensation			
Turner Construction Company 3865 Wilson Blvd Arlington, VA 22203	Construction	34,807,971			
Design and Productions 7110 Rainwater Place Lorton, VA 22079	Exhibition Design	11,786,838			
G-W Management Services LLC 11600 Nebel St Rockville, MD 20852	Construction	7,980,487			
Hensel Phelps Construction Company 4437 Brookfield Corp Drive Chantilly, VA 201511691	Construction	7,017,008			
Associated Builders Inc 4903 Baltimore Ave Hyattsville, MD 20781	Construction	6,603,359			
2 Total number of independent contractors (including those in 1) who received more than \$100,000 in compensation from the organization					

Statement of Revenue

					(A) Total Revenue	(B) Related or Exempt Function Revenue	(C) Unrelated Business Revenue	(D) Revenue Excluded from Tax under IRC 512, 513, or 514
	1a	Federated can	npaigns 1a	62,894				, ,
粪쏲	b	Membership d	ues	11,382,405				
Contributions, gifts, grants and other similar amounts			1b					
ું.	С	Fundraising ev	vents 1c	165,085				
#_#	d	Related organ	ızatıons1d					
ું.≣	e	Government gran	nts (contributions) 1e	856,366,239				
<u>ੂੰ</u> ਨੂੰ	f	All other contribut	tions, gifts, grants, and	119,578,936	-	ŀ		
돌	-		not included above					
<u>=</u> =	g	Noncash cont	ributions included in					
⊼્ર		lines 1a-1f \$	3,132,309					
<u> </u>	h	Total (Add line	es 1a-1f)		987,555,559			
				Business Code				
en.	2a	Subscriptions-ma	gazıne	511,120	38,679,968	38,679,968		
ie.	ь	Visitors/Members,	/Emplo	900,099	33,292,990			33,292,990
Program Service Revenue	С	Theater Income		711,110	11,506,363	10,739,532	766,831	
¥C.	d	Tours/Classes		900,099	9,282,525	9,282,525		
<i>3</i> 3	e	Traveling Exhibition	ons	900,099	1,791,945	1,791,945		
Ē	f							
ž Ž	•	All other progi	ram service revenue]	1,614,426	1,614,426		
š	g		es 2a-2f					
	3	► \$ 96,168,21 Investment in	./ .come (including divi	dends. Interest				
			amounts)	· · · · ·	8,378,896			8,378,896
	_			▶				
	4	THEORIE LIQUI INVE	estment of tax-exempt be	ona proceeds				
	5	Royalties .			5,442,865			5,442,865
			(ı) Real	(11) Personal				
	6a	Gross Rents						
	b	Less rental expenses						
	С	Rental income or (loss)						
	d	` '	ome or (loss)					
			(1) C = = 1 mb = =	(··) O th a n				
	7a	Gross amount	(ı) Securities 425,136,191	(II) O ther 360,549				
	/ 4	from sales of assets other	,					
		than inventory						
	b	Less cost or other basis and	467,765,415	5,997				
	_	sales expenses Gain or (loss)	-42,629,224	354,552				
	C			334,332	-42,274,672			-42,274,672
	d	Net gain or (lo		. ▶				12,217,012
Other Revenue	8a	events (not in \$5 of contribution 1c) See Part	o,685 ns reported on line					
Ве			a	165,085				
<u>a</u>	ь	Less directe	xpensesb					
¥	с	Net income or	(loss) from fundrais	ing events	-75,616			-75,616
-	9a	Gross income activities See Complete Schel exceeds \$15,00	e part IV , line 19 dule G if total	-				
		7 = 5/00	a					
	ь	Less directe	xpensesb					
	с	Net income or	(loss) from gaming a					
	10a	Gross sales of	f inventory, less	<u>▶</u>				
	100	returns and al	lowances .					
	 L	1	a	72,423,127				
	b	Less cost of			44,822,103	43,628,580	1 103 523	
	С	Net income or Miscellaneou	(loss) from sales of	Business Code	44,022,103	43,028,380	1,193,523	
	11a			541,800	14,844,343		14,844,343	
		Magazine & O	mine Adve	3.1,000	2.,017,343		2.,011,043	
	b							
	С							
	d	All other reve	nue					
	e	Total. Add line	es 11a-11d					
	12	Total Povers	a. Add lines 1h, 2g, 3	\$ 14,844,343 8 4 5 6d 7d	1,114,861,695	105,736,976	16,804,697	4,764,463
		8c,			, , , , , ,	,,	,,,	,,,,,,,,,,
	j	9c, 10c, and 1	l1e	. ▶				Form 990 (2008)

Part IX Statement of Functional Expenses

A	Section 501(c)(3) and 501(c)(4) orgalizations must complete column (A) but are not re-).
Do ı	not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the U S See Part IV, line 21	59,902	59,902		
2	Grants and other assistance to individuals in the U.S. See Part IV, line 22	9,170,343	9,170,343		
3	Grants and other assistance to governments, organizations and individuals outside the U S See Part IV, lines 15 and 16	4,925,654	4,925,654		
4	Benefits paid to or for members				
5	Compensation of current officers, directors, trustees, and key employees	8,497,275	1,682,771	6,432,389	382,115
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	2,458,539	1,959,640	498,899	
7	Other salaries and wages	430,406,618	234,448,223	498,899	11,131,341
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	46,768,918	25,475,653	20,083,709	1,209,556
9	Other employee benefits	42,096,753	22,930,663	18,077,368	1,088,722
10	Payroll taxes	30,312,578	16,511,665	13,016,957	783,956
11	Fees for services (non-employees)				·
а	Management				
ь	Legal	435,730	227,671	208,059	
c	Accounting	816,556	<u> </u>	816,556	
d	Lobbying			·	
e	Professional fundraising See Part IV, line 17	3,708,702			3,708,702
f	Investment management fees	759,593		759,593	· · · · · · · · · · · · · · · · · · ·
g	Other	,			
12	Advertising and promotion	507,840	193,612	235,323	78,905
13	Office expenses	45,711,829	23,505,813	21,375,586	830,430
14	Information technology	18,345,337		18,345,337	,
15	Royalties	302,855	302,855	, ,	
16	Occupancy	92,395,951	13,385,663	79,010,288	
17	Travel	10,377,653	8,549,358	1,507,169	321,126
18	Payments of travel or entertainment expenses for any Federal, state or local public officials	, ,	, ,	, ,	, <u>, </u>
19	Conferences, conventions and meetings	2,594,412	2,137,339	376,792	80,281
20	Interest	2,268,802		2,268,802	· · ·
21	Payments to affiliates				
22	Depreciation, depletion, and amortization	105,853,076	105,853,076		
23	Insurance	1,037,535	463,805	573,730	
24	Other expenses—Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below)				
а	Other Services	120,701,481	37,097,392	82,050,756	1,553,333
b	Program Producton	94,513,700	93,200,632	927,155	385,913
с	Collections, Equipment,	5,967,451	6,028,461	-85,325	24,315
d	Cost Allocation	0	18,914,934	-18,914,934	
f	All other expenses				
25	Total functional expenses. Add lines 1 through 24f	1,080,995,083	627,025,125	432,391,263	21,578,695
26	Joint Costs. Check if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation	10010981	4,667,924	, -,	5,343,058

Dart V	Balance	Shoot
Part X	Balance	Sheet

				(A)		(B)
	ا ـ		В	eginning of year	_	End of year
	1	Cash—non-interest-bearing	⊢	61,234,965	1	397,201,310
	2	Savings and temporary cash investments	· · ⊢	54,916,624	2	103,354,586
	3	Pledges and grants receivable, net	• • ⊢	194,029,524	3	169,952,149
	4	Accounts receivable, net	••⊢	38,601,292	4	35,895,499
	5	Receivables from current and former officers, directors, trustees, key employees other related parties <i>Complete Part II of Schedule L</i>	s or		5	
	6	Receivables from other disqualified persons (as defined under section $4958(f)(1 \text{ persons described in section } 4958(c)(3)(B)$ Complete Part II of Schedule L			6	
	7	Notes and loans receivable, net			7	
	8	Inventories for sale or use		13,154,485	8	9,734,886
2	9	Prepaid expenses and deferred charges	. [16,389,718	9	14,167,770
ssets	10a	Land, buildings, and equipment cost basis 10a 2,782,	811,247			
⋖			011,247			
	Ь	Less accumulated depreciation Complete Part VI of Schedule D	918,498	475,251,617	10c	1,535,892,749
	11	Investments—publicly traded securities		263,644,085	11	327,139,323
	12	Investments—other securities See Part IV, line 11 Complete Part VII of Schedule D		742,227,456	12	599,984,073
	13	Investments—program-related See Part IV, line 11 Complete Part VIII of Schedule D.			13	
	14	Intangible assets			14	
	15	Other assets See Part IV, line 11 Complete Part IX of Schedule		13,945,777	1-7	14,805,845
	13	D		10,545,777	15	14,000,040
	16	Total assets. Add lines 1 through 15 (must equal line 34)		1,873,395,543	16	3,208,128,190
	17	Accounts payable and accrued expenses .		106,388,346	17	275,782,898
	18	Grants payable			18	
	19	Deferred revenue		56,574,292	19	305,457,623
	20	Tax-exempt bond liabilities		111,923,277	20	110,880,684
eS.	21	Escrow account liability Complete Part IV of Schedule D			21	
Liabilities	22	Payable to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified				
Εij		persons Complete Part II of Schedule L			22	
_	23	Secured mortgages and notes payable to unrelated third parties			23	
	24	Unsecured notes and loans payable			24	
	25	Other liabilities Complete Part X of Schedule D			25	
	26	Total liabilities. Add lines 17 through 25		274,885,915	26	692,121,205
		Organizations that follow SFAS 117, check here ▶ 🔽 and complete lines 27				
φ̈́		through 29, and lines 33 and 34.				
an	27	Unrestricted net assets		924,996,643	27	1,848,235,518
Balance	28	Temporarily restricted net assets		439,268,952	28	430,298,484
됟	29	Permanently restricted net assets		234,244,033	29	237,472,983
r Fund		Organizations that do not follow SFAS 117, check here ► ☐ and complete lines 30 through 34.				
s or	30	Capital stock or trust principal, or current funds			30	
ě	31	Paid-in or capital surplus, or land, building or equipment fund			31	
Assets	32	Retained earnings, endowment, accumulated income, or other funds			32	
Net /	33	Total net assets or fund balances		1,598,509,628	33	2,516,006,985
Z	34	Total liabilities and net assets/fund balances		1,873,395,543		3,208,128,190
	⁻					
Pa	rt XI	Financial Statements and Reporting				

Dowl VI	Financial	Ctatamanta	and Reporting
7.11.5	l Financiai	Statements	and Reporting

			Yes	No
1	Accounting method used to prepare the Form 990			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		No
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
c	If "Yes" to lines 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	Yes	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	Yes	
b	If "Yes," did the organization undergo the required audit or audits?	3b	Yes	

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As Filed Data -

DLN: 93493225022020

Employer identification number

OMB No 1545-0047

SCHEDULE A (Form 990 or 990EZ)

Department of the Treasury Internal Revenue Service

Name of the organization

Public Charity Status and Public Support

To be completed by all section 501(c)(3) organizations and section 4947(a)(1)nonexempt charitable trusts. Attach to Form 990 or Form 990-EZ. See separate instructions.

Open to Public Inspection

Smith	sonian :	Institution									
									-020602		
	rt I			harity Status (to be co	•				Instruct	ions)	
The	organı			ation because it is (Please							
1	<u> </u>	•		nurches, or association of ch			Section 1	L70(b)(1)((A)(i).		
2	Γ	A school d	escribed in Sec	t ion 170(b)(1)(A)(ii). (Attac	ch Schedu	ıle E)					
3	Γ	A hospital	or a cooperativ	e hospital service organizati	on describ	bed in Sec	t ion 170(l	o)(1)(A)(i	ii). (Attac	h Schedul	le H)
4	Γ	A medical	research organi	zatıon operated ın conjunctı	on with a l	hospital d	escribed ii	n Section :	170(b)(1)	(A)(iii). E	nter the
		hospital's i	name, city, and	state							
5	Γ	An organiz	atıon operated 1	or the benefit of a college or	universit	y owned o	r operated	by a gove	ernmental	unıt desc	rıbed ın
		Section 170(b)(1)(A)(iv). (Complete Part II)									
6	Γ	A federal, s	tate, or local g	overnment or governmental	unıt descr	ıbed ın Se	ct ion 170	(b)(1)(A)	(v).		
7	<u>~</u>	An organiz	ation that norm	ally receives a substantial p	art of its s	support fro	m a gove	rnmental u	ınıt or fron	n the gene	ral public
		described i	n Section 170(l	o)(1)(A)(vi) (Complete Par	tII)						
8	Γ	A commun	ity trust describ	ed in Section 170(b)(1)(A)	(vi) (Com	nplete Par	tII)				
9	Γ	Anorganiz	ation that norm	ally receives (1) more than	331/3% o	fits supp	ort from co	ontribution	ıs, membe	rship fees	, and gross
		receipts fro	m activities re	ated to its exempt functions	s—subject	to certair	n exceptio	ns, and (2) no more	than 331/	'3% of
		ıts support	from gross inve	estment income and unrelate	ed busines	ss taxable	ıncome (l	ess sectio	on 511 tax	() from bu	sınesses
		acquired by	the organizati	on after June 30, 1975 See	Section 5	09(a)(2).	(Complete	e Part III)		
10	Γ	An organiza	atıon organızed	and operated exclusively to	test for p	ublic safe	ty See Se	ct ion 509((a)(4). (Se	ee instruc	tions)
11	Γ			and operated exclusively fo							
				orted organizations describe						Section 5	09(a)(3). Check
		_		type of supporting organiza			nes lle t nally Integ		n d	□ Type	III - Other
e	Г	•	• •	rtify that the organization is			-				
_	,	•	-	agers and other than one or			•			•	· ·
		section 50									
f		_		d a written determination fro	m the IRS	that it is	a Type I,	Type II o	r Type III	supportin	ng organization,
g		check this		as the organization accepted	d any dift	or contrib	ution from	any of the	1		ı
9		following pe		as the organization decepted	a any gne	or contrib	acron mom	any or the	•		
		(i) a perso	n who directly o	r indirectly controls, either a	alone or to	gether wi	th persons	describe	d ın (ıı)		Yes No
		and (III) be	low, the govern	ng body of the the supported	d organiza	tion?				11g	(i)
		(ii) a famıly	/ member of a p	erson described in (i) above	?					11g(ii)
		(iii) a 35%	controlled enti	ty of a person described in ((i) or (ii) al	bove?				11g(iii)
h		Provide the	following infor	nation about the organizatio	ns the org	janızatıon	supports				
					1		1		1		
		ame of	(ii) EIN	(iii) Type of organization		s the	I .	ou notify	I	s the	(vii) A mount of
		oorted nization		(described on lines 1 - 9 above or IRC section		ation in Iisted in		nızatıon) of your	_	ation in rganized	support?
	Organ	112411011		(See Instructions))		verning	supp			US?	
						ment?					
					Yes	No	Yes	No	Yes	No	

Total

Part II Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi) (Complete only if you checked the box on line 5, 7, or 8 of Part I.)

	(Complete only if you chec	keu ille box o	II IIIIe 5, 7, or	8 OF Part 1.)				
	ublic Support		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,,	, n = 1			
	endar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e)	2008	(f) Total
1	Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants")	334,858,674	296,261,869	319,342,958	292,705,489	99	98,937,964	2,242,106,954
2	Tax revenues levied for the organization's benefit and either paid to or expended on							
_	its behalf The value of services or facilities							
3	furnished by a governmental unit to the organization without charge							
4	Total. Add line 1-3	334,858,674	296,261,869	319,342,958	292,705,489	998,937,964		2,242,106,954
5	The portion of total contribution by each							
	person (other than a government unit or publicly supported organization) included on line 1 that exceed 2% of the amount shown on line 11, column (f)							
6	Public Support subtract line 5 from line 4							2,242,106,954
T	otal Support	'	•	'	<u>'</u>			
	endar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e)	2008	(f) Total
7	A mounts from line 4	334,858,674	25,580,470	319,342,958	292,705,489	99	8,937,964	2,242,106,954
8	Gross income from interest, dividends,							
	payments received on securities loans, rents, royalties and income from similar sources	24,389,505	25,580,470	26,450,773	15,896,019	1	13,846,365	106,163,132
9	Net income from unrelated business activities, whether or not the business is regularly carried on	548,251	634,660	879,612	291,563		910,609	3,264,695
10	Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)							
11	Total Support (Add lines 7 through 10)							2,351,534,781
12	Gross receipts from related activities, etc	(See instruction	ıs)			12		688,182,081
13 C	First Five Years. If the Form 990 is for the organization, check this box and stop here omputation of Public Support Perc		rst, second, third	d, fourth, or fifth	tax year as a 5	01(c)(3)	▶□
14	Public Support Percentage for 2008 (line 6	column (f) dıvıd	led by line 11 co	lumn (f))		14		95.350 %
15	Public Support Percentage for 2007 Scheo	lule A , Part IV - A	, line 26f			15		91.370 %
	33 1/3% Test - 2008. If the organization di and stop here. The organization qualifies a 33 1/3% Test - 2007. If the organization di	s a publicly supp	orted organizati	on	·			
17a	box and stop here. The organization qualifi 10% Facts and Circumstances Test - 2008. more, and if the organization meets the "fa organization meets the "facts and circums"	If the organization	on did not check cances" test, che	a box on line 1. eck this box and	stop here. Exp	laın ın	Part IV h	
b	10% Facts and Circumstances Test - 2007. more, and if the organization meets the "fa	If the organization of the community of	on did not check cances" test, che	a box on line 1 eck this box and	3, 16a, 16b, or I stop here. Exp	17a ai Iain in	nd line 15 Part IV h	ıs 10% or ow
18	the organization meets the "facts and circu Private Foundation. If the organization did							· ►□

Pa	Support Schedule for On (Complete only if you ched				(2)		
	ction A. Public Support		_	,			
Cale	ndar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
1	Gifts, grants, contributions, and						
	membership fees received (Do not						
2	include any "unusual grants ") Gross receipts from admissions,						
2	merchandise sold or services performed,						
	or facilities furnished in any activity that						
	is related to the organization's tax-						
	exempt purpose						
3	Gross receipts from activities that are						
	not an unrelated trade or business under						
	section 513						
4	Tax revenues levied for the						
	organization's benefit and either paid to						
	or expended on its behalf						
5	The value of services or facilities						
	furnished by a governmental unit to the						
_	organization without charge						
6	Total Add lines 1-5						
7a	A mounts included on lines 1, 2, and 3						
	received from disqualified persons Amounts included on lines 2 and 3						
D	received from other than disqualified						
	persons that exceed the greater of 1% of						
	the total of lines 9, 10c, 11, and 12 for						
	the year or \$5,000						
c	Total of lines 7a and 7b						
8	Public Support (Substract line 7c from						
_	line 6)						
То	tal Support						
Cale	ndar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
9	A mounts from line 6						
10a	Gross income from interest, dividends,						
	payments received on securities loans,						
	rents, royalties and income from similar						
	sources						
b	Unrelated business taxable income (less						
	section 511 taxes) from businesses						
	acquired after 30 June, 1975		+				
С	Add lines 10a and 10b						
11	Net income from unrelated business						
	activities not included in line 10b, whether or not the business is regularly						
	carried on						
12	Other income Do not include gain or loss						
	from the sale of capital assets						
	(Explain in Part IV)						
13	Total Support (Add lines 9, 10c, 11 and						
	12)						
14	First Five Years If the Form 990 is for the	organızatıon's fı	rst, second, thir	d, fourth, or fifth	ntax year as a 5	01(c)(3) organı	zation,
	check this box and stop here						▶ □
	manufaction of Dublic Compact Days						
15	mputation of Public Support Perc Public Support Percentage for 2008 (line		dod by line 12 o	olumn (fl)		T 4= T	
			•	.orumin (1))		15	
16	Public Support Percentage for 2007 Sche	dule A , Part IV -	A, line 27g			16	
		D					
Co	mputation of Investment Income Investment Income Percentage for 2008 (ne 13 column /f	<u> </u>	17	
				-	"	17	
ΤQ	Investment Income Percentage from 2007	ocnequie A , Pa	TLIV-A, IINE 2/	H		18	

19a 33 1/3% Tests - 2008. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line

17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization **33 1/3% Tests - 2007.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization

Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions

Schedule A (Form 990 or 990-EZ) 2008

▶□

Part IV	Supplemental Information. Complete this part to provide the information required by Part II, line 10; Part II, line 17a or 17b, or Part III, line 12. Provide and any other additional information. (see instructions)						
	Facts and Circumstances Test						

Schedule A (Form 990 or 990-EZ) 2008

OMB No 1545-0047

SCHEDULE C

(Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

Open to Public Inspection

To be completed by organizations described below. Attach to Form 990 or Form 990-EZ

If the organization answered "Yes," to Form 990, Part IV, Line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities)

- ◆ Section 501(c)(3) organizations complete Parts I-A and B Do not complete Part I-C
- ◆ Section 501(c) (other than section 501(c)(3)) organizations complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations complete Part I-A only

If the organization answered "Yes," to Form 990, Part IV, Line 4, or Form 990EZ, Part VI, line 47 (Lobbying Activities)

- ◆ Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) complete Part II-A Do not complete Part II-B
- ◆ Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

	ection 501(c)(4), (5), or (6) organi	s," to Form 990, Part IV, Line 5 (zations complete Part III	Proxy (ax)				
	me of the organization			Employer ider	ntıfıcatıon number		
				53-0206027			
Par		by all organizations exemple the instructions for Scheduk		n 501(c) and section	527		
1	•	ganızatıon's dırect and ındırect pol		tivities in Part IV			
2	Political expenditures				\$	0	
3	V olunteer hours						
Par	To be completed b for Schedule C for d	oy all organizations exempetails.)	t under sectio	n 501(c)(3). (See the	instructions		
1	Enter the amount of any excise	e tax incurred by the organization i	under section 4955	5	\$	0	
2	Enter the amount of any excise	e tax incurred by organization man	agers under sectio	n 4955	\$	0	
3	If the organization incurred in	a section 4955 tax, did it file Form	. 4720 for this year	٠٦	┌ Yes ┌ No	D	
4a	Was a correction made?				┌ Yes ┌ No	D	
ь	If "Yes," describe in Part IV						
Par		y all organizations exempts for Schedule C for details.)	t under section	n 501(c), except sect	tion 501(c)(3).		
1	Enter the amount directly expe	ended by the filing organization for	section 527 exemp	pt function activities	\$		
2	Enter the amount of the filing of 527 exempt funtion activities	organization's internal funds contri	buted to other orga	nizations for section	\$		
3	Total of direct and indirect exe 1120-POL, line 17b	empt function expenditures Add lin	nes 1 and 2 and ent	ter here and on Form	\$		
4	Did the filing organization file I	Form 1120-POL for this year?			┌ Yes		
5	were made Enter the amount p political contributions received	nd Employer Identification Number paid and indicate if the amount was d and promptly and directly deliver action committee (PAC) If additio	paid from the filing ed to a separate po	g organization's own interna olitical organization, such a	ıl funds or were s a separate		
	(a) Name	(b) Address	(c) EIN	(d) A mount paid from filing organization's internal funds If none, enter - 0 -	(e) A mount of politic contributions receive and promptly and directly delivered to separate political organization If none enter -0-	e d a	
						_	
						_	

d Grassroots non-taxable amount

f Grassroots lobbying expenditures

e Grassroots ceiling amount (150% of line d, column (e))

P	art II-A To be completed by (election under sec						768
	Check If the filing organization	belongs to an affili	ated group				
<u>B</u>	Check If the filing organization Limits on Lo (The term "expenditure	bbying Expend	litures—		oly	(a) Filing Organization's Totals	(b) Affiliated Group Totals
1a	Total lobbying expenditures to influe	nce public opinion	(grass roots lob	bying)			
ь	Total lobbying expenditures to influe	nce a legislative b	ody (direct lobby	yıng)			
c	Total lobbying expenditures (add lines 1a and 1b)						
d	Other exempt purpose expenditures						
e	Total exempt purpose expenditures	(add lines 1c and 1	Ld)				
f	Lobbying nontaxable amount Enters columns— If the amount on line 1e, column (a) or (b) is: Not over \$500,000		taxable amount				
	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% o	of the excess over \$	500,000			
	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% o	of the excess over \$	51,000,000			
	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of	f the excess over \$1	1,500,000			
	Over \$17,000,000	\$1,000,000					
	Grassroots nontaxable amount (ente	er 25% of line 1f)					
h	Subtract line 1g from line 1a Enter	0- ıflıne g ıs more	than line a				
i	Subtract line 1f from line 1c Enter-	0- ıflıne fıs more t	than line c				
j	If there is an amount other than zero section 4911 tax for this year?	on either line 1h c	or line 11, did the	organization file	Form 4720 rep	porting Yes No	
	(Some organizations tha columns below.		on 501(h) el	ection do not	: have to cor		he five
	Lobb	ying Expendit	ures During	4-Year Avera	ging Period		
	Calendar year (or fisca beginning in)	l year	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) Total
_2a	Lobbying non-taxable amount						
	Lobbying ceiling amount (150% of line 2a, column(e))						
	: Total lobbying expenditures						

Schedule C (Form 990 or 990-EZ) 2008

Part II-B	To be completed by organizations exempt under section 501(c)(3) that have NOT filed Form
	5768 (election under section 501(h)). (See the instructions for Schedule C for details.)

		(a	1)	(b)
		Yes	No	A mount
L	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
а	Volunteers?		Νo	
Ь	Paid staff or management (include compensation in expenses reported on lines c through i)?	Yes		
c	Media advertisements?		Νo	
d	Mailings to members, legislators, or the public?		Νo	
е	Publications, or published or broadcast statements?		Νo	
f	Grants to other organizations for lobbying purposes?		Νo	
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		16,224
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means?		Νo	
i	Other activities If "Yes," describe in Part IV		Νo	
j	Total lines 1c through			16,224
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
ь	If "Yes" enter the amount of any tax incurred under section 4912			
	If "Yes" enter the amount of any tax incurred by organization managers under section 4912		ļ	
	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			
)ar	+ III-A. To be completed by all organizations exempt under section 501(c)(4)	section	501(c)(5) or

Part IIII-A To be completed by all organizations exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6). (See the instructions for Schedule C for details.)

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2		
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3		

Part III-B To be completed by all organizations exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, questions 1 and 2 are answered "No" OR if Part III-A, question 3 is answered "Yes." (See the instructions for Schedule C for details.)

1	Dues, assessments and similar amounts from members	1 \$
2	Section 162(e) non-deductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).	
а	Current Year	2a \$
b	Carryover from last year	2b \$
c	Total	2c \$
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3 \$
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political	
	expenditure next year?	4 \$

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i Also, complete this part for any additional information

Taxable amount of lobbying and political expenditures (line 2c total minus 3 and 4)

Ident if ier	Return Reference	Explanation
Part II-B, Line 11	Explanation of O ther Lobbying A ctivities	The Smithsonian Institution solicited support for capital improvements and educational programs for the Cooper-Hewitt National Design Museum and the George Gustave Heye Center of the National Museum of the American Indian (both in New York City) from New York City and the State of New York Smithsonian staff met with public officials on a limited number of occasions, and the value of staff time spent in connection with these meetings was \$16,224

5 \$

Part IV Supplemental Information					
Ident if ier	Return Reference	Explanation			

Schedule C (Form 990 or 990EZ) 2008

OMB No 1545-0047

Open to Public Inspection

SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service

▶ Attach to Form 990. To be completed by organizations that answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.

Name of the organization **Employer identification number** Smithsonian Institution

Supplemental Financial Statements

			53-0206	
Par	Organizations Maintaining Donor A organization answered "Yes" to Form 99		Funds or A	ccounts. Complete if th
	organization answered res to form 5	(a) Donor advised funds	(b) Fu	ınds and other accounts
1 7	Fotal number at end of year			
2 /	Aggregate Contributions to (during year)			
3 /	Aggregate Grants from (durıng year)			
4	Aggregate value at end of year			
	Did the organization inform all donors and donor adv funds are the organization's property, subject to the		onor advised	┌ Yes ┌ No
	Did the organization inform all grantees, donors, and used only for charitable purposes and not for the bei impermissible private benefit?			┌ Yes
Part	Conservation Easements. Complete	of the organization answered "Yes"	to Form 990), Part IV, line 7.
	Purpose(s) of conservation easements held by the of Preservation of land for public use (e.g., recreated Protection of natural habitated Preservation of open space	_	•	ımportantly land area rıc structure
	Complete lines 2a-2d if the organization held a qua on the last day of the tax year	lified conservation contribution in the for	m of a conser	vation easement
				Held at the End of the Ye
а	Total number of conservation easements		2a	
b	Total acreage restricted by conservation easemen	ts	2b	
c	Number of conservation easements on a certified h	nistoric structure included in (a)	2c	
d	Number of conservation easements included in (c)	acquired after 8/17/06	2d	
	Number of conservation easements modified, transf	erred, released, extinguished, or termina	ted by the org	anization during
	the taxable year 🕨			
	Number of states where property subject to conserv	ation easement is located 🕨		
;	Does the organization have a written policy regardin enforcement of the conservation easements it holds	ig the periodic monitoring, inspection, vio	olations, and	┌ Yes ┌ No
,	Staff or volunteer hours devoted to monitoring, inspe	ecting and enforcing easements during th	ne year ►	
	Amount of expenses incurred in monitoring, inspect	ing, and enforcing easements during the	year 🟲 \$	
	Does each conservation easement reported on line . 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)?	2(d) above satisfy the requirements of so	ection	┌ Yes ┌ No
	In Part XIV, describe how the organization reports of balance sheet, and include, if applicable, the text of the organization's accounting for conservation ease	the footnote to the organization's financi		
art	Organizations Maintaining Collection Complete if the organization answered		, or Other :	Similar Assets.
	If the organization elected, as permitted under SFAS art, historical treasures, or other similar assets held provide, in Part XIV, the text of the footnote to its fi	d for public exhibition, education or resea	rch in furthera	
_	If the organization elected, as permitted under SFAS historical treasures, or other similar assets held for provide the following amounts relating to these item	public exhibition, education, or research		•
	(i) Revenues included in Form 990, Part VIII, line :	1		> \$
	(ii) Assets included in Form 990, Part X		ı	► \$
:	If the organization received or held works of art, his following amounts required to be reported under SFA		for financial g	ain, provide the
а	Revenues included in Form 990, Part VIII, line 1			▶ \$
b	Assets included in Form 990 Part Y			.

<u>'ar</u>	Till Organizations Maintaining Collections of <i>F</i>	Art, His	tori	<u>cal Trea</u>	sures, or Oth	<u>er Similar Ass</u>	ets (co	<u> intinued)</u>
3	Using the organization's accession and other records, check items (check all that apply)	any of th	ne foll	owing that	are a significant	use of its collecti	on	
а	Public exhibition	d	~	Loan or e	xchange program	าร		
b	Scholarly research	e	Γ	Other				
c	Preservation for future generations							
4	Provide a description of the organization's collections and ex Part XIV	plaın hov	w they	further th	ne organization's (exempt purpose ır	ı	
5	During the year, did the organization solicit or receive donati assets to be sold to raise funds rather than to be maintained						Yes	┌ No
Pai	t IV Trust, Escrow and Custodial Arrangement					wered "Yes" to	Form 9	90,
	Part IV, line 9, or reported an amount on Form							
1a	Is the organization an agent, trustee, custodian or other interincluded on Form 990, Part X?	rmediary	for c	ontributior	ns or other assets		Yes	┌ No
Ь	If "Yes," explain why in Part XIV and complete the following t	table				_		
						A me	ount	
С	Beginning balance				1c			
d	Additions during the year				1d			
e	Distributions during the year				1e			
f	Ending balance				1f			
2a	Did the organization include an amount on Form 990, Part \boldsymbol{X} ,	line 21?				Γ	Yes	☐ No
	If "Yes," explain the arrangement in Part XIV							
Pa	rt V Endowment Funds. Complete if the organization							
	(a)Current Year)Prior	Year (c)Two Years Back (d) Three Years Back	(e)Four Y	ears Back
la	Beginning of year balance 906,268,							
b	Contributions							
C	Investment earnings or losses405,							
d	Grants or scholarships							
e	Other expenditures for facilities -48,536, and programs	000						
f	Administrative expenses	000						
g	End of year balance	000						
2	Provide the estimated percentage of the year end balance he	—— Id as						
а	Board designated or quasi-endowment ► 54 000 %							
ь	Permanent endowment • 46 000 %							
c	Term endowment ▶ 0 %							
3a	Are there endowment funds not in the possession of the orga	nızatıon [.]	that a	re held an	ıd admınıstered fo	or the		
	organization by						Yes	No
	(i) unrelated organizations		•			3a(i		Νο
_	(ii) related organizations					3a(ii)	No
	If "Yes" to 3a(II), are the related organizations listed as requ					Зь		
1	Describe in Part XIV the intended uses of the organization's				Dawt V June 10			
7 01	t VI Investments—Land, Buildings, and Equipn	nent. S		orm 990, ost or other		'. 		
	Description of investment		, ,	basis estment)	(b)Cost or other basis (other)	(c) Depreciation	(d) Boo	ok value
la	Land			·	12,530,301	·	1	.2,530,30
ь	Buildings				2,146,738,140	1,059,836,696	1,08	6,901,444
c	Leasehold improvements				109,575,396	18,296,754	9	1,278,642
d	Equipment				228,176,000	168,785,048	5	9,390,952
e	Other				285,791,410		28	5,791,410

1,535,892,749

28114411 Investments—Other Securities.	See Form 990, Part X, line 12.	
(a) Description of security or cateory (including name of security)	(b)Book value	(c) Method of valuation Cost or end-of-year market value
Financial derivatives and other financial products		Cost of cha of year market value
Closely-held equity interests		
Other Global & emerging markets	201,677,695	F
Other Absolute return	335,766,235	
Other Private equity & venture capital	42,185,399	
Other Real estate & commodities	20,354,744	F
Tabel (Column (h) abould aqual Form 000, Bort V, col (B) line 12	\ b	
Total. (Column (b) should equal Form 990, Part X, col (B) line 12	599,984,073	
Part VIII Investments—Program Related	See Form 990, Part X, line 13.	
(a) Description of investment type	(b) Book value	(c) Method of valuation
	(2,200	Cost or end-of-year market value
		
Total. (Column (b) should equal Form 990, Part X, col (B) line 13) F	
Part IX Other Assets. See Form 990, Part	X, line 15.	
	scription	(b) Book value
Interest in Net Assets of Friends of the National Zoo		12,836,246
Contributed non-collection items held for trade		1,969,599
Total. (Column (b) should equal Form 990, Part X, col.(B)	line 15.)	▶ 14,805,845
Part X Other Liabilities. See Form 990, P	art X, line 25.	
(a) Description of Liability	(b) A mount	
Federal Income Taxes		
Total, (Column (h) should equal Form 990, Part X, col (B) line 25	1.	

Par	t XI Reconciliation of Change in Net Assets from Form 990 to Financial Stateme	nts	
1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	1,114,861,695
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	1,080,995,083
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	33,866,612
4	Net unrealized gains (losses) on investments	4	39,956,009
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	4,768,655
9	Total adjustments (net) Add lines 4 - 8	9	44,724,664
10	Excess or (deficit) for the year per financial statements. Combine lines 3 and 9	10	78,591,276
Part	t XIII Reconciliation of Revenue per Audited Financial Statements With Revenue	per R	leturn
1	Total revenue, gains, and other support per audited financial		1,193,665,752
_	statements	1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
а	Net unrealized gains on investments		
Ь	Donated services and use of facilities		
с	Recoveries of prior year grants		
d	Other (Describe in Part XIV)	_	
e	Add lines 2a through 2d	2e	47,927,739
3	Subtract line 2e from line 1	3	1,145,738,013
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b . 4a 759,593		
Ь	Other (Describe in Part XIV)	4-	20.076.210
C E	Add lines 4a and 4b	4c 5	-30,876,318
5 Dart	Reconciliation of Expenses per Audited Financial Statements With Expense		1,114,861,695
1	Total expenses and losses per addited financial statements	1	1,115,074,477
2	A mounts included on line 1 but not on Form 990, Part IX, line 25		
а	Donated services and use of facilities		
ь	Prior year adjustments	┪	
с	Losses reported on Form 990, Part IX, line 25	1	
d	Other (Describe in Part XIV)	1	
e	Add lines 2a through 2d	2e	34,838,987
3	Subtract line 2e from line 1	3	1,080,235,490
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
а	Investment expenses not included on Form 990, Part VIII, line 7b 4a 759,593		
b	Other (Describe in Part XIV) 4b		
c	Add lines 4a and 4b	4c	759,593
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18)	5	1,080,995,083
Par	t XIV Supplemental Information		

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part XIV, lines 1b and 2b, Part V, line 4, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b

Identifier Return Reference Explanation

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93493225022020

2008

OMB No 1545-0047

Department of the Treasury

Internal Revenue Service

SCHEDULE F

(Form 990)

► Attach to Form 990. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

Statement of Activities Outside the United States

Open to Public Inspection

Name of the organization	Employer identification number
Smithsonian Institution	
	53-0206027

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

- 2 For grant makers. Describe in Part IV the organization's procedures for monitoring the use of grant funds outside the United States
- 3 Activites per Region (Use Schedule F-1 (Form 990) if additional space is needed)

Activites per Region (o	se schedule r-1	(i oiiii əəo) ii auc	illional space is needed)		
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures in
Central America and the Caribbean			Grants to Recipients Located in the Region		588,930
Central America and the Caribbean	7	382	Program Services	Research facilities	23,354,898
East Asia & Pacific	0		Grants to Recipients Located in the Region		984,804
Europe	0		Grants to Recipients Located in the Region		2,055,228
Mıddle East & North Africa			Grants to Recipients Located in the Region		27,583
North America			Grants to Recipients Located in the Region		260,982
Russia & Newly Independent States			Grants to Recipients Located in the Region		102,069
South America			Grants to Recipients Located in the Region		805,915
South Asia			Grants to Recipients Located in the Region		16,388
Sub-Saharan Africa			Grants to Recipients Located in the Region		83,755
Europe	0		Program Services	Research	130,673
South America			Program Services	Research	86,759
East Asia & Pacific			Program Services	Research	105,000
	7	382			28,602,984
For Paparwork Poduction Act No	tico coo the inetri	ustiana fan Farm Of	Cat No. I		shadula E (Form 990) 2009

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) A mount of cash grant	(f) Manner of cash disbursement	(g) A mount of of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other
		North America	A cademic appointment stipend	30,000	EFT			

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Use Schedule F-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) A mount of cash grant	(e) Manner of cash disbursement	(f) A mount of non- cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)			
A cademic Appointment Stipends	Central America and the Caribbean	91		Direct Deposits & Checks	0					
A cademic Appointment Stipends	East Asia and the Pacific	56		Direct Deposits & Checks	0					
A cademic Appointment Stipends	Europe	98		Direct Deposits & Checks	0					
A cademic Appointment Stipends	Middle East and North Africa	5	•	Direct Deposits & Checks	0					
A cademic Appointment Stipends	North America	26		Direct Deposits & Checks	0					
A cademic Appointment Stipends	Russia and the Newly Independent States	14	· · · · · · · · · · · · · · · · · · ·	Direct Deposits & Checks	0					
A cademic Appointment Stipends	South America	94		Direct Deposits & Checks	0					
A cademic Appointment Stipends	South Asia	2	•	Direct Deposits & Checks	0					
A cademic Appointment Stipends	Sub-Saharan Africa	5	•	Direct Deposits & Checks	0					
	Schedule F (Form 990) 2008									

Part IV Supplemental Info		
Complete this part to	provide the information required	ın Part I, line 2, and any other additional information.
Identifier Procedure for Monitoring Grants Outside the U S	ReturnReference	Explanation Schedule F, Part I, Line 2 The Office of Fellowships has central management and administrative responsibility for the Smithsonian programs of research fellowships and other academic appointments for undergraduate, graduate students, postdoctoral and senior scholars Eighty percent of these appointments will undergo a competitive review process. An official letter /agreement is provided to each award recipient identifying the award title, dates of tenure, stipend allowances, and required responsibilities for holding this position. All recipients either will identify a financial institution for receipt of their monetary award or they will receive US Treasury checks. Depending on the tenure of the appointment, payments can range from one lump sum, biweekly payments or monthly payments. Most fellowship appointments are awarded for one to two years. On occasion, we have visiting scholars or fellows who are conducting research in another country. Payments are usually submitted as stated above, however, on occasion, there may be one or two who request that their payments be sent to the country of their research. At the end of tenure, a final report of their research accomplishments is provided for our records. Smithsonian research staff who serve as advisors to these fellows, students and scholars are usually in the field during their tenure. The individual Smithsonian research centers monitor the progress of their fellows especially those in other countries, and the administrative management of funds is managed through the Institution's central administration for accountability.
Schedule F, Part IV	O ther Information	Per Form 990 instructions, stipends are reported on Schedule F if the person receiving the stipend is living or residing outside the United States at the time the stipend is paid or distributed However, many of these stipend recipients later travelled to the Smithsonian in the U.S. to perform their research
		Simensoman in the C S to periodin their resources.
-		
1		

Software ID: Software Version:

EIN: 53-0206027

Name: Smithsonian Institution

Form 990 Schedule F Part II - Grants and Other Assistance to Organizations or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) A mount of cash grant	(f) Manner of cash disbursement	(g) A mount of non- cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			A cademic appointment stipend	30,000	EFT			

DLN: 93493225022020

OMB No 1545-0047

V Yes I No

SCHEDULE G (Form 990 or 990-EZ) **Supplemental Information Regarding Fundraising or Gaming Activities**

x Attach to Form 990 or Form 990-EZ. Must be completed by organizations that answer "Yes" to Form 990, Part IV, lines 17, 18, or 19, and by organizations that enter more than \$15,000 on Form 990-EZ, line 6a.

Open to Public Inspection

Employer identification number

Name of the organization Smithsonian Institution

Department of the Treasury

Internal Revenue Service

53-0206027 Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17.

- Indicate whether the organization raised funds through any of the following activities. Check all that apply
- Mail solicitations
- Email solicitations
- Phone solicitations
- In-person solicitations

- e 🔽 Solicitation of non-government grants
- f 🔽 Solicitation of government grants
- g 🔽 Special fundraising events
- If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization Form 990-EZ filers are not required to complete this table

Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising activities?

(i) Name of Individual or entity (fundraiser)	(ii) Activity	fundrais custo cont	Did ser have ody or rol of utions?	(iv) Gross receipts from activity	(v) A mount paid to (or retained by) fundraiser listed in col (i)	(vi) A mount paid to (or retained by) organization
Marts & Lundy	Capital campaign advising		No	0	323,556	0
Epsilon Data	Direct mail		No	0	2,204,009	0
Sanky Communications	Online fundraising		No	0	139,280	0
J Richard Taft Organization	Marketing & planned giving		No	0	120,716	0
Avalon Consulting Group	Telemarketing & capital campaign		No	0	356,937	0
Direct Advantage Marketing	Telemarketing & capital campaign		No	0	315,853	0
Danıller & Company	Fundraising strategy		No	0	165,286	0
Brakely Briscoe	Fundraising strategy		No	0	83,065	0
Total			F			

List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing

Pa	rt II	Fundraising Events. Comp more than \$15,000 on Form	olete if the organization 990-EZ, line 6a. List o	on answered "Yes" to events with gross rec	Form 990, Part IV, lin eipts greater than \$5,	5,000.					
			(a) Event #1	(b) Event #2	(c) O ther Events		tal Eve				
			Shriver Event (event type)	Young Benefactors Gala (event type)	6 (total number)	(Add col	(a) th	rough			
Ф	1	Cuana mananata	51,050		83,677		21	5,770			
Revenue	2	Gross receipts Less Charitable contributions	51,050	43,544	70,491		16	5,085			
<u>LE</u>	3	Gross revenue (line 1 minus line 2)		37,499	13,186		5	0,685			
	4	Cash Prizes	0	C	0						
şeş	5	Non-cash Prizes	0	C	0						
Expenses	6	Rent/Facility costs	0	C	0						
	7	Other direct expenses	18,837	53,919	53,545		12	6,301			
Direct	8	Direct expense summary Add line	es 4 through 7 in column	(d)			12	6,301			
	9	Net income summary Combine lin	nes 3 and 8 ın column (d)		🕨		-7	5,616			
Par	t II	Gaming. Complete if the org \$15,000 on Form 990-EZ, lin		'Yes" to Form 990, Pa	art IV, line 19, or repo	rted mo	re thar	1			
Revenue			(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) O ther gaming		(d) Total gaming (Add col (a) through col (c))				
ш.	1	Gross revenue									
	2	Cash prizes									
Expenses	3	Non-cash prizes									
ញ់ ស្ល	4	Rent/facility costs									
Direct	5	Other direct expenses									
	6	Volunteer labor	┌ Yes <u> </u>	Yes%	│ Yes						
	7	Direct expense summary Add lines	2 through 5 in column (d)							
	8	Net gaming income summary Comb	bine lines 1 and 7 in colu	ımn (d)	•						
9		ter the state(s) in which the organizat the organization licensed to operate (Yes	No			
a b		No," Explain	gaming detivities in each	To these states		· 9a					
10a b		re any of the organization's gaming li Yes," Explain	censes revoked, suspen	ded or terminated during	g the tax year?	10a					
11	Doe	es the organization operate gaming a	ctivities with nonmembe	rs?							
12	Is t	the organization a grantor, beneficiar med to administer charitable gaming?	y or trustee of a trust or	a member of a partnersh	up or other entity						

			
13	Indicate the percentage of gaming activity operated in		
а	The organization's facility		
b	An outside facility		
14	Provide the name and address of the person who prepares the organization's gaming/special events books and records		
	Name •		
	Address •		
15a	Does the organization have a contract with a third party from whom the organization receives gaming revenue?	15a	
Ь	If "Yes," enter the amount of gaming revenue received by the organization > \$ and the amount of gaming revenue retained by the third party > \$		
c	If "Yes," enter name and address		
	Name •		
	Address 🟲		
16	Gaming manager information		
	Name 🟲		
	Gaming manager compensation 🕨 \$		
	Description of services provided 🟲		
	Director/officer Employee Independent contractor		
17	Mandatory distributions		
а	Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?	17a	
b	Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year 📂 💲		

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Grants and Other Assistance to Organizations, Governments and Individuals in the U.S.

OMB No 1545-0047

DLN: 93493225022020

Open to Public

Department of the Treasury Internal Revenue Service Name of the organization

Schedule I

(Form 990)

Complete if the organization answered "Yes," on Form 990, Part IV, lines 21 or 22. Attach to Form 990.

Inspection

Employer identification number

Smithsonian Institution						53-0206027	
		ts and Assistance		nco the grantees! slig	ubility for the grants as a	rejetance and	
Does the organization ma the selection criteria used							✓ Yes 🗀
2 Describe in Part IV the or	<u> </u>		<u> </u>				
Part IV and Sch		y recipient that rece onal space is		00. Check this box	tes. Complete if the of		
1(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Michigan412 Maynard St Ann Arbor, MI 48109			15,000				A cademic A ppointment Stipend
Florida Institute of Technology150 West University Blvd Melbourne,FL 32901	59-6046500		7,535				A cademic A ppointment Stipend
Harvard College24 Quincy St Cambridge, MA 02138	04-2103580		23,304				A cademic A ppointment Stipend
University of CA-Santa BarbaraPayroll Office-saasb 3201 Santa Barbara, CA 93106			8,000				A cademic A ppointment Stipend
University of WashingtonBox 352900 Seattle, WA 98195	91-6001537		6,063				A cademic A ppointment Stipend
2 Enter total number of sec	tion 501(c)(3) and	<u>I</u> government	1	l			5
organizations							
For Panerwork Reduction Act Noti				Cat No. 50055			hedule I (Form 990) 2008

Part III Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Use Schedule I-1 (Form 990) if additional space is needed.

(a)Type of grant or assistance	(b) Number of recipients	(c) A mount of cash grant	(d)A mount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
A cademic Appointment Stipends	824	9,170,343			

Part IV Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

See Additional Data Table

Ident if ier	Return Reference	Explanation
Procedure for Monitoring Grants in the U S	Part I, Line 2	Schedule I, Part I, Line 2 The Office of Fellowships has central management and administrative responsibility for the Smithsonian programs of research fellowships and other academic appointments for undergraduate, graduate students, postdoctoral and senior scholars. Eighty percent of these appointments will undergo a competitive review process. An official letter/agreement is provided to each award recipient identifying the award title, dates of tenure, stipend allowances, and required responsibilities for holding this position. All recipients either will identify a financial institution for receipt of their monetary award or they will receive US Treasury checks. Depending on the tenure of the appointment, payments can range from one lump sum, biweekly payments or monthly payments. Most fellowship appointments are awarded for one to two years. At the end of tenure, a final report of their research accomplishments is provided for our records. Smithsonian research staff who serve as advisors to these fellows, students and scholars are usually in the field during their tenure. The individual Smithsonian research centers monitor the progress of their fellows, and the administrative management of funds is managed through the Institution's central administration for accountability.

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DLN: 93493225022020

OMB No 1545-0047

2008

Open to Public Inspection

Schedule J (Form 990)

Department of the Treasury Internal Revenue Service

For certain Officers, Directors, Trustees, Key Employees, and Highest **Compensated Employees**

Compensation Information

► Attach to Form 990. To be completed by organizations that answered "Yes" to Form 990, Part IV, line 23.

Name of the organization Smithsonian Institution

Employer identification number 53-0206027 Questions Pegarding Compensation

Pe	Questions Regarding Compensation	11				
					Yes	Νο
1a	Check the appropriate box(es) if the organization pro 990, Part VII, Section A, line 1a Complete Part III		•			
	First class or charter travel	Γ	Housing allowance or residence for personal use			
	Travel for companions	Γ	Payments for business use of personal residence			
	▼ Tax idemnification and gross-up payments	Γ	Health or social club dues or initiation fees			
	Discretionary spending account	Γ	Personal services (e g , maid, chauffeur, chef)			
b	If line 1a is checked, did the organization follow a wi provision of all the expenses described above? If "N		, , ,	1b	Yes	
2	Did the organization require substantiation prior to r officers, directors, trustees, and the CEO/Executive		• • • • • • • • • • • • • • • • • • • •	2	Yes	
3	Indicate which, if any, of the following the organizationganization's CEO/Executive Director Check all the		y			
	Compensation committee	 	Written employment contract			
	Independent compensation consultant	<u>ন</u>	Compensation survey or study Approval by the board or compensation committee			
	Form 990 of other organizations	10	Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990,	Part VII	I, Section A, line 1a			
а	Receive a severance payment or change of control p	ayment	?	4a		Νo
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?					Νo
c	c Participate in, or receive payment from, an equity-based compensation arrangement?					Νo
	If "Yes" to any of lines 4a-c, list the persons and pr	ovide th	e applicable amounts for each item in Part III			
	501(c)(3) and 501(c)(4) organizations only must co	mplete	lines 5-8.			
5	For persons listed in form 990, Part VII, Section A, compensation contingent on the revenues of	line 1a,	did the organization pay or accrue any			
а	The organization?			5a		Νo
b	Any related organization?			5b		Νo
	If "Yes," to line 5a or 5b, describe in Part III					
6	For persons listed in form 990, Part VII, Section A, compensation contingent on the net earnings of	line 1a,	did the organization pay or accrue any			
а	The organization?			6a	Yes	
b	Any related organization?			6b		Νo
	If "Yes," to line 6a or 6b, describe in Part III					
7	For persons listed in form 990, Part VII, Section A, payments not described in lines 5 and 6? If "Yes," o			7		No
8	Were any amounts reported in Form 990, Part VII, public to the initial contract exception described in Part III		•	8		No

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(I)-(III) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name	(B) Breakdown o	f W-2 and/or 1099-MI	SC compensation	(C) Deferred	(D) Nontaxable	(E) Total of columns	(F) Compensation	
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other compensation	compensation	benefits	(B)(ı)-(D)	reported in prior Form 990 or Form 990-EZ	
See Addıtıonal Data Table (i)								
(ii)							
(i)								
(ii)							
(i)								
(ii)							
(i)								
(ii)							
(i)								
(ii)							
(i)								
(ii)							
(i)								
(ii)							
(i)								
(ii)							

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8 Also complete this part for any additional information

Ident if ier	Ret urn Ref erence	Explanat ion
	Part I, Line 1a	Charter travel Dr G Wayne Clough and Dr Scott Miller traveled to Mpala, Kenya on official business in June 2009 Drs Clough and Miller traveled on commercial flights between Washington, DC and Nairobi, Kenya, however, there were no commercial flights between Nairobi and this area on the relevant dates, and travel via the lengthy overland route was deemed unsafe Therefore, use of charter flights was approved in advance by General Counsel and the Under Secretary for Finance and Administration, consistent with Smithsonian policy. As this was an ordinary and necessary business expense, it was not treated as taxable compensation. Tax indemnification and gross-up payments. The Smithsonian reimbursed Ira Rubinoff for the payment of federal income taxes associated with expense and allowance reimbursements on which the Smithsonian erroneously had not withheld taxes. The reimbursement was treated as taxable compensation to Dr. Rubinoff.
	Part I, Line 6	Certain employees of Smithsonian Enterprises (SE) are eligible to participate in the Smithsonian Enterprises Management Incentive Plan This eligibility is determined on an annual basis. Even if eligible to participate, a performance review rating of "Fully Successful" must be received. The Plan includes both financial and individual (operational) goals, and these goals must be met in order to receive an incentive payment. There are different performance levels, with each successive level giving a higher incentive payment. In addition, the eligible person must be employed by the organization on the last day of the fiscal year in order to receive payment.
Supplemental Information	Part III	Part II The difference for some individuals between this year's total reported compensation and the compensation reported in the prior Form 990 is due to the addition this year in the compensation totals of certain payments that are now are clearly required by the instructions to be included. In previous years, the Smithsonian interpreted the instructions as not requiring the inclusion of certain payments in total compensation, such as the employer's retirement plan contributions and other non-taxable benefits. In addition, Dr. G. Wayne Clough started as Smithsonian Secretary in July 2008, so only 6 months of compensation for the 2008 calendar year is reported in Part VII and Schedule J. Judith Leonard, General Counsel, started in June, 2009, as so is reported on Part VII and Schedule J. as an officer during the 2009 fiscal year, however, since she was not employed during the 2008 calendar year, no compensation is reported for her.
	1	

Software ID: **Software Version:**

EIN: 53-0206027

Name: Smithsonian Institution

i orini 990, Schedule	c J, Fait II - Office	is, Directors, Truste	ces, key Lilipioyees,	, and ingliest compet	isateu Lilipioyees
		•	•		

Form 990, Schedule J, Part		II - Officers, Direc	tors, Trustees, Ke	y Employees, and	Highest Compens	sated Employees			
(A) Name		(B) Breakdown o	f W-2 and/or 1099-MIS	2 and/or 1099-MISC compensation		(D) Nontaxable	(E) Total of columns	(F) Compensation reported in prior Form	
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation	compensation	benefits	(B)(ı)-(D)	990 or Form 990-EZ	
G Wayne Clough	(ı) (ıı)	235,446		9,660	13,709	1,515	260,330	101,429	
Charles R Alcock	(I) (II)	139,730	3,000	459	18,620	2,011	163,820	106,266	
Virginia Clark	(I) (II)	326,590		18,463	34,000	19,146	398,199	247,014	
Richard Kurin	(ı) (ıı)	226,937	10,000	22,862	32,544	7,099	299,442	176,758	
John Lapiana	(ı) (ıı)	165,847	10,000	209	23,278	6,504	205,838		
Evelyn Lieberman	(ı) (ıı)	236,292	10,000	17,997	34,000	1,240	299,529		
Alice Maroni	(ı) (ıı)	297,902		18,284	34,000	17,054	367,240	224,549	
Era Marshall	(ı) (ıı)	158,808		8,188		13,821	180,817		
Alison McNally	(ı) (ıı)	162,084	10,000	20,500		6,510	199,094		
Scott Miller	(ı) (ıı)	139,748	8,000	7,245	7,245	18,212	180,450		
Thomas Ott	(ı) (ıı)	350,000	191,431	12,154	33,804	23,628	611,017	500,963	
Anne Ryan	(ı) (ıı)	153,268		13,168	23,172	22,518	212,126		
Marsha Shaines	(ı) (ıı)	162,313	10,000	20,500		1,765	194,578		
Sudeep Anand	(ı) (ıı)	223,931	5,000	21,403	31,006	12,131	293,471		
Gregory Bokman	(ı) (ıı)	197,102	56,471	15,752	27,269	18,787	315,381		
William Brubaker	(ı) (ıı)			15,808	34,000	3,691	307,438		
Amy Chen	(ı) (ıı)	254,284	100,000	21,063	30,500	3,347	409,194	287,830	
Bruce Dauer	(ı) (ıı)	254,565		18,592	34,000	3,446	310,603		
James Douglas	(ı) (ıı)	221,279		20,497	31,415	4,671	277,862		
Robert Fraga	(ı) (ıı)	155,041		13,959		19,763	188,763		
Clair Gill	(ı) (ıı)	222,956		22,308	31,253	3,668	280,185		
Mary Payne	(ı) (ıı)	230,819		20,579	30,925	7,798	290,121		
Ann Speyer	(ı) (ıı)	262,867		14,375	31,625	1,264	310,131	194,357	
A ndrew Zino	(ı) (ıı)	158,166	10,000	15,500	7,945	18,397	210,008		
Kerry Bianchi	(ı) (ıı)	205,769	80,000	262	32,733	19,919	338,683	269,374	
Alan Chu	(ı) (ıı)	200,424	62,430	15,756	31,751	19,528	329,889	246,799	
John Dailey	(ı) (ıı)	289,614	5,400	22,808	34,000	2,757	354,579		
Lisa Dunham	(ı) (ıı)	183,297	55,197	15,734	28,602	14,315	297,145		
Carey Winfrey	(ı) (ıı)	275,887	71,240	20,851	34,225	21,786	423,989	326,317	
John Huerta	(I) (II)	195,157		17,712	31,020	9,315	253,204	183,621	
Ira Rubinoff	(ı) (ıı)	196,523	17,000	23,941	27,724	1,112	266,300		
Cristian Samper	(ı) (ıı)	300,972	60,000	308	34,000	15,605	410,885	285,400	
Scott Rockman	(ı) (ıı)	167,788	73,049	121,291	16,375	12,252	390,755	319,562	

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For Paperwork Reduction Act Notice, see the Instructions for Form 990.

DLN: 93493225022020

OMB No 1545-0047

2008

2000

Supplemental Information on Tax Exempt Bonds

Department of the Treasury
Internal Revenue Service
Name of the organization

Schedule K

(Form 990)

To be completed by organizations that answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Schedule O.

Open to Public
Inspection
Employer identification number

Schedule K (Form 990) 2008

	e of the organization									Employer	identifica	tion num	ber	
5 m	thsonian Institution									53-0206	5027			
P	art I Bond Issues (Required	for 2008)		_						•	_			
	(a) Issuer Name (b) Issuer EIN (c) CUSIP #		(d) Date Issued		(e) Issue Price		(f) Description of Purpose		Purpose	(g) Defeased		(h) O n Behalf of Issuer		
											Yes	No	Yes	No
A	Fairfax County Economic Development Authority	54-0787833	30382EC75	12-03-	2003	77,	545,000	To construc	t a museun	n		x	×	
Pa	Proceeds (Optional for	2008)			l .					_				
					A		В		С	Г)		E	
1	Total Proceeds of Issue			7	77,545,000									
2	Gross Proceeds in Reserve Funds													
3														
4	Other Unspent Proceeds													
5	Issuance Costs from Proceeds				530,475									
6	Working Capital Expenditures from	n Proceeds												
7	Capital Expenditures from Proceed	ds		7	77,014,525									
8	Year of Substantial Completion			20	03									
				Yes	No	Yes	No	Yes	No	Yes	No	Ye	:s	No
9	Were the bonds issued as part of a	a current refunding iss	ue?		Х									
10	Were the bonds issued as part of a	an advance refunding i	ssue?		х									
11	Has the final allocation of proceed	s been made?		Х										
12				х										
Pa	rt IIII Private Business Use	(Optional for 2008)		1				<u> </u>		Γ				
							3		C	D		+	<u>E</u>	
	W/2 - the engage at the engage		mbar of an II C	Yes	No	Yes	No	Yes	No	Yes	No	Ye	S	No
1	Was the organization a partner in a which owned property financed by		iniber of all LLC,		Х									
2	Are there any lease arrangements which may result in private busine	•	nanced property		х									
F	Danieronio Dadovskia u Ask Nakias, sas kla	- T., -1 1: 6 F				C-+ N- F01	025			_	-141-1/	/F	101 2005	

Cat No 50193E

Schedule K (Form 990) 2008

Part III Private Business Use (Continued)

			A	l	В	1 '	C	[)	,	E
		Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts with respect to the		X								
	financed property which may result in private business use?		^								
3b	A re there any research agreements with respect to the financed property which may result in private business use?		×								
3с	Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property?		x								
4	Enter the percentage of financed property used in a private business use by entities other than a 501(c)(3) organization or a state or local government		0 %								
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another 501(c)(3) organization, or a state or local government		0 %								
6	Total of lines 4 and 5		0 %								
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?	Х									
Pai	t IV Arbitrage (Optional for 2008)										
			A	ı	В		С	1)		E
		Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
1	Has a Form 8038-T been filed wth respect to the bond issue?		Х								
2	Is the bond issue a variable rate issue?	Χ									
3a	Has the organization or the government issuer identified a hedge with respect to the bond issue on its books and records?		х								
b	Name of provider										
С	Term of hedge				_						
4a	Were gross proceeds invested in a GIC?		Х								
b	Name of provider										
С	Term of GIC										
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?		х								
5	Were any gross proceeds invested beyond an available temporary period?		Х								
6	Did the bond issue qualify for an exception to rebate?		l x								

OMB No 1545-0047

Open to Public Inspection

SCHEDULE M (Form 990)

Department of the Treasury Internal Revenue Service

To be completed by organizations that answered "Yes" on Form 990, Part IV, lines 29 or 30. Attach to Form 990

Non-Cash Contributions

Name of the organization **Employer identification number** Smithsonian Institution

					53-0206027	
Pa	rt I Types of Property			·		
		(a) Check If applicable	(b) Number of Contributions	(c) Revenues reported on Form 990, Part VIII, line 1g	(d) Method of de reven	etermining
1	Art-Works of art	X	4,523	0		
2	Art—Historical treasures .		,			
	Art—Fractional Interests	X	15	0		
4	Books and publications			-		
5	Clothing and household					
-	goods					
6	Cars and other vehicles					_
7	Boats and planes					
8	Intellectual property					
9	Securities—Publicly traded .	Х	90	8,194,073	Cost or selling price	
10	Securities—Closely held stock .					
11	Securities—Partnership, LLC, or trust interests					
12	Securities—Miscellaneous					
13	Qualified conservation contribution (historic structures)					
14	Qualified conservation contribution (other)					
15	Real estate—Residential .					
16	Real estate—Commercial					
17	Real estate—Other					
18	Collectibles	Х	14,380	0		
19	Food inventory					
20	Drugs and medical supplies .					
21	Taxıdermy					
22	Historical artifacts	Х	3,234	0		
	Scientific specimens	Х	202,227	0		
24	Archeological artifacts	X	1,540	0		
	Archival					
	Records - Cubic/Linear					
25	Other (describe Feet)	X	26,291	0		
	Archival					
	Records-					
	Other (describe <u>Items</u>)	X	1,133	0		
	Other (describe)					
	Other (describe)					
29	Number of Forms 8283 received which the organization complete Acknowledgement	d Form 828		ar for contributions for	29	45
30=	During the year, did the organiza	ition receiv	e hy contribution any prope	erty reported in Part I lines	1-28 that it must	Yes No
	hold for at		- 1, continuation any prope	, .oportou in ruic 1, illies	o mat it mast	
	least three years from the date o	of the initial	contribution, and which is	not required to be used for	exempt purposes	
	for the entire holding period? .					30a No
b	If "Yes", describe the arrangeme	ent in Part 1	ΞI			
31	Does the organization have a gif	tacceptand	ce policy that requires the i	review of any non-standard	contributions?	31 Yes
32a	Does the organization hire or us contributions?	e third part	es or related organizations	to solicit, process, or sell	non-cash	32a No
h	If "Yes", describe in Part II					
	If the organization did not report checked, describe in Part II	revenuesı	n Column (c) for a type of p	property for which Column (a	a)ıs	
	silesked, describe in rait II					

Part II Supplemental Information. Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

32b, and 33. Also complete this part for any additional information.							
Identifier	ReturnReference	Explanation					
Non Reporting of Revenue	Part I, Line 33	In accordance with professional practice,as allowed by SFAS 116, the Smithsonian does not place a monetary value on collections acquired by donation					
		Schodulo M (Form 990) 200					

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SCHEDULE 0

Department of the Treasury Internal Revenue Service

(Form 990)

Supplemental Information to Form 990

DLN: 93493225022020 OMB No 1545-0047

Inspection

Name of the organization Smithsonian Institution

▶ Attach to Form 990. To be completed by organizations to provide additional information for responses to specific questions for the Form 990 or to provide any additional information.

Employer identification number

53-0206027

ldentifier	Return Reference	Explanation
Form 990, Part VI, Section A, line 7a		Pursuant to federal statute, the Board of Regents consists of the Vice President of the United States, the Chief Justice of the United States, three members of the U.S. Senate, three members of the U.S. House of Representatives, and nine additional Regents. The three Senators are appointed by the President Pro Tempore of the U.S. Senate, and the three members of the House of Representatives are appointed by the Speaker of the House of Representatives. Their appointment terms coincide with the terms for which they are elected, and they may be reappointed if re-elected. The citizen Regents are nominated by the Board of Regents, and appointed by a Joint Resolution of Congress which is signed by the President of the United States.

ldentifier	Return Reference	Explanation
Form 990, Part VI, Section A, Ine 10		The Form 990 (return), Return of Organization Exempt from Income Tax, is prepared by the Office of the Comptroller of the Smthsonian Institution (Institution) Prior to filing, the return is reviewed by the Smithsonian's Office of General Counsel and the Institution's outside auditors, if deemed necessary Once these reviews are completed, and before filing the return, the Comptroller performs a comprehensive review of the return and all related documents with a sub-committee of the Audit and Review Committee of the Board of Regents (the Institution's governing board). The full Audit and Review Committee then holds a special meeting, the sole purpose of which is to review the return in detail, and the Secretary of the Smithsonian also reviews the return. The return then is provided to the full Board of Regents via the Regent's password protected internal website before it is filed with the Internal Revenue Service. The Board is offered the opportunity to contact the Comptroller with any questions before the return is filed.

ldentifier	Return Reference	Explanation
Form 990, Part VI, Section B, line 12c		All key employees and officers are required to complete and file annually a Confidential Financial Disclosure Report These Reports—which require disclosure of certain assets, earned income, liabilities, outside positions, outside contracts and agreements, gifts, hospitality, reimbursements, and other interests related to the employee's Smithsonian position—are reviewed by the Institution's Office of General Counsel for compliance with applicable conflict of interest policies and laws. In addition to this required reporting, all key employees are required to comply with the Institution's Standards of Conduct, which, among other things, requires that employees not engage in private or personal activities that might conflict or appear to conflict with Smithsonian interests, requires that employees obtain Office of General Counsel approval before engaging in a related outside activity for compensation, and requires employees to consult with the Office of General Counsel whenever a doubt exists as to whether an activity or planned activity violates the Standards. All members of the Smithsonian's governing body are required to complete and file annually the Board of Regents Annual Disclosure Statement. These statements—which require reporting by members of outside positions and substantial shareholding in for-profit business entities, outside positions in non-profit entities, and interests and affiliations of the member or the member's immediate family that have or sought to have a relationship to the Smithsonian-are reviewed by the institution's General Counsel for compliance with applicable conflict of interest policies and laws. In addition to this required reporting, all members of the Smithsonian's governing body are required to comply with the Board of Regents Ethics Guidelines, which, among other things, define conflicts of interest and establish procedures for disclosing and reporting of conflicts and recusal from decision—making.

Identifier	Return Reference	Explanation
Form 990, Part VI, Section B, line 15		The Smthsonian has both Federal and Trust executive employees. Below are summaries of how compensation for each type is determined. Trust. When setting compensation levels, the Smthsonian ensures that the compensation paid is both reasonable and comparable to that offered by comparable organizations to executives with similar duties and responsibilities. In determining comparability, the Smthsonian relies upon verifiable information provided by highly regarded, independent compensation consultants. The Board of Regents hirred Pricew aterhouseCoopers as consultants. The Committee on Compensation and Human Resources prepares for its annual compensation review from information learned during its meetings with the Secretary and senior Smthsonian staff, which are conducted at least three times a year, in addition to four regularly scheduled meetings of the full Board. In preparing for their annual analysis of compensation, the Committee is well informed on the Institution's progress toward achieving its annual financial and budgetary goals. For those positions subject to a market-based compensation approach, the Committee's review is aided by a comparative survey performed by a highly regarded, independent outside consultant. Currently, this survey is performed every two years. During the "off" year, the data is traditionally aged using an aging factor provided by the expert consultant. To determine compensation comparables, the Compensation and Human Resources Committee, upon considering the recommendations of the compensation consultant, and in keeping with accepted practices, determines the types of organizations that are similarly situated, and requires the compensation consultant to compare the duties and responsibilities of the specific Smthsonian positions with those in similarly situated organizations to ensure that the positions are functionally comparable. Those decisions are documented as part of the final compensation materials. Federal Unlike with its Trust executives, the Smthsonian does not rely upon

ldentifier	Return Reference	Explanation
Form 990, Part VI, Section C, line 19		The Smithsonian makes these documents available on its public wiebsite (www.smithsonian.org) The documents can also be viewed at the Comptroller's office or mailed

ldentifier	Return Reference	Explanation
Schedule G, Part I, Line 2b, Column (v)	Explanation of Fundraising Payments	As the Smthsonian has multiple fundraisers, some of whom work on the same campaign, it is not possible to accurately report the exact amount of revenue associated with each fundraiser. Please note that none of the fundraisers had control of any contributions, all were paid under the terms of negotiated contracts.

Identifier	Return Reference	Explanation
Form 990, Page 1 Line K	, , 1	The Smithsonian Institution is a trust instrumentality of the United States, created by Congress, and organized pursuant to 20 U.S.C. sec. 41 et seq.

ldentifier	Return Reference	Explanation
Form 990, Page 1, Line M		As a trust instrumentality of the United States, the Smithsonian Institution is a federal entity that is not domiciled in any state

ldentifier	Return Reference	Explanation
Form 990, Part I, Line 8	Income and expense variance between years	The significant variance in income and expenses between the Smithsonian's FY2008 (tax year 2007) and FY2009 (tax year 2008)Form 990 reporting is the result of including, for the first time, the Smithsonian's federally appropriated funds income and expenditures in its FY2009 return. The Smithsonian determined in the past that federally appropriated funds were not required to be included in its Form 990. While the public has extensive access to information regarding the Smithsonian's appropriated fund income and expenditures, in the interest of providing the public with a more comprehensive view of the Smithsonian's activities and greater transparency about its total revenues and expenses, the Smithsonian has elected to include those federally appropriated funds in this and future Form 990s.

ldentifier	Return Reference	Explanation
Schedule G, Part I, line 3		The Smithsonian is a trust instrumentality of the United States and as such is exempt from state regulations pursuant to the Supremacy Clause of the U.S. Constitution. All states that have inquired about Smithsonian fundraising solicitation registration have acknowledged this exemption.

ldentifier	Return Reference	Explanation
Form 990, Schedule L	Schedule L not required to be filed	This schedule will not be filed as the Smithsonian is aware of no reportable transactions with interested persons as defined by the Internal Revenue Code. The Smithsonian performed the following due diligence to determine that Schedule L was not required for this tax year. All current and former Regents, Officers and Key Employees were sent a 990 Questionnaire covering the organization's fiscal year (10/1/2008-9/30/2009). This questionnaire asked each of these persons five questions regarding business and personal relationships with the Smithsonian Institution, current and former Regents, Officers and Key Employees. To assist in answering questions accurately, each person was provided with the IRS definition of relevant terminology, along with a listing of all persons determined to be current and former Regents, Officers and Key Employees. Per review of the returned questionnaires, it was determined that no transactions occurred between the organization and/or any interested persons.

ldentifier	Return Reference	Explanation
Form 990, Part VII and Schedule J-2	Smithsonian Institution abbreviations used in return	The following are Smithsonian Institution abbreviations found in the body of this return NASM - National Air and Space Museum OCon - Office of Contracting OEEMA - Office of Equal Employment and Minority Affairs OFEO - Office of Facilities, Engineering and Operations OGR - Office of Government Relations OPA - Office of Public Affairs OPMB - Office of Planning, Management and Budget OUSHC - Office of the Under Secretary of History and Culture SE - Smithsonian Enterprises

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DLN: 93493225022020

OMB No 1545-0172

Department of the Treasury

Internal Revenue Service

Depreciation and Amortization (Including Information on Listed Property)

		▶	See separate instruction	s. 🕨 Attach	to your tax r	eturn.			Attachment Sequence No. 67
Name(s) show	vn on return		Business or a	ctivity to which	this form rel	ates	Iden	t if ying	g number
Smithsonian :	Institution		5 000 D.	10			F 2 6	2000	27
Part I	Flection ⁻	To Expense (Form 990 Pa Certain Property Un	-	179		53-0	2060	21
		•	isted property, comple			nplete Par	τI.		
1 Maxımum	amount See	the instructions	for a higher limit for cert	taın busınesses				1	250,000
2 Total cos	t of section 1	79 property plac	ced in service (see instru	ctions) .				2	
3 Threshold	cost of sect	ion 179 property	y before reduction in limit	atıon (see ınstr	uctions) .			3	800,000
4 Reduction	ı ın lımıtatıon	Subtract line 3	from line 2 If zero or les	s, enter -0-				4	
5 Dollar lım	itation for tax	year Subtract	line 4 from line 1 If zero	or less, enter - 0	0- If married	filing			
separatel	y, see instruc	tions						5	
									•
	(a) D	escription of pro	perty	1	(business us only)	e (c) El	ected	cost	
6					Office (1
-									1
7 Listed pro	perty Enter	the amount from	line 29		. 7				
8 Total elec	ted cost of s	ection 179 prop	erty Add amounts in coli	umn (c), lines 6	and 7 .	·		8	
9 Tentative	deduction E	nter the smaller	of line 5 or line 8 .					9	
10 Carryove	rofdisallowe	d deduction from	line 13 of your 2007 Fo	rm 4562 .				10	
11 Business inc	ome limitation	Enter the smaller of	business income (not less tha	n zero) or line 5 (se	ee instructions)			11	
12 Section 1	79 expense o	deduction Add I	ines 9 and 10, but do not	enter more tha	n line 11 •			12	
13 Carryove	rofdisallowe	d deduction to 2	009 Add lines 9 and 10,	less line 12	. 13				
Note: Do n	ot use Part .	II or Part III b	pelow for listed proper	ty. Instead, u	ise Part V.				
Part II	Special De	epreciation A	Allowance and Othe	r Depreciati	on (Do no	t include lis	sted pi	operty	(See instructions)
			lified property (other than	listed property) placed in s	ervice durir	ng the	14	
	see instruction	ons) ction 168(f)(1) e	alastian					15	
	-	ction 100(i)(1) (cluding ACRS)	election				•	16	105,853,076
Part III			Do not include listed i	roperty) (Se	e instruction	ns)	• •	10	105,855,070
urt 111	MACKO DC	preciation (ction A	se mod dede	,,,,			
17 MACRS d	eductions for	assets placed i	n service in tax years be	gınnıng before 2	2008 .			17	
18 If you ar	e electing t	o group any a	ssets placed in servic	e during the t	ax year into	one or n	nore		
general	asset accou	ınts, check hei	re			!	▶ □		
Secti	on B—Ass	ets Placed in	Service During 200	08 Tax Year	Using the	General	Dep	recia	tion System
		(b) Month and	(c) Basis for						
(a) Classi		year placed in	depreciation (business/investment	(d) Recovery	(e) Conven	tion (f)	Metho	od	(g)Depreciation
prop	erty	service	use	period					deduction
10-2			only—see instructions)						
b 5-year pr									
c 7 - year pr	• •								
d 10-year	· · · · · · · · · · · · · · · · · · ·								
e 15-year	property								
f 20-year p	roperty								
g 25-year	property			25 yrs			S/L		
h Residenti	al rental			27 5 yrs	MM		S/L		
property				27 5 yrs	MM		S/L		
i Nonreside property	intial real			39 yrs	M M M M		S/L S/L		
F F 7	Sect io	n C—Assets Plac	Led in Service During 2008	l 8 Tax Year Usind	1			Svste	em
20a Class life							S/L		
b 12-year		<u> </u>		12 yrs			s/L		
c 40-year				40 yrs	ММ		S/L		
Part IV		y (See instruc							
•		amount from line					•	21	
and on the	e appropriate	lines of your ret	14 through 17, lines 19 urn Partnerships and So	corporations—se	ee instr .	e 21 Ente	r here	22	105,853,076
			service during the curren tion 263A costs	t year, enter the	e 23				

Form 4562 (2008) Page 2 Listed Property (Include automobiles, certain other vehicles, cellular telephones, certain computers, and Part V property used for entertainment, recreation, or amusement.) **Note:** For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable. Section A—Depreciation and Other Information (Caution: See the instructions for limits for passenger automobiles.) 24a Do you have evidence to support the business/investment use claimed? Yes No 24b If "Yes," is the evidence written? Yes No (c) (i) (e) (b) Business/ (d) (h) (a) (g) Basis for depreciation Flected Type of property (list Date placed in investment Cost or other Recovery Method/ Depreciation/ section 179 (business/investment Convention deduction vehicles first) service basis use period cost use only) percentage 25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions) 25 26 Property used more than 50% in a qualified business use % % 27 Property used 50% or less in a qualified business use S/L -% S/L -28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1 28 29 Add amounts in column (i), line 26 Enter here and on line 7, page 1 Section B—Information on Use of Vehicles Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles (a) (b) (c) (d) (e) (f) 30 Total business/investment miles driven during the Vehicle 2 Vehicle 3 Vehicle 4 Vehicle 1 Vehicle 5 Vehicle 6 year (do not include commuting miles) 31 Total commuting miles driven during the year 32 Total other personal (noncommuting) miles driven 33 Total miles driven during the year Add lines 30 through 32 34 Was the vehicle available for personal use Yes No Yes No Yes No Yes No Yes No Yes No during off-duty hours? 35 Was the vehicle used primarily by a more than 5% owner or related person? **36** Is another vehicle available for personal use? Section C—Questions for Employers Who Provide Vehicles for Use by Their Employees Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons (see instructions) 37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your Yes No **38** Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners . . . 39 Do you treat all use of vehicles by employees as personal use? 40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received? _ 41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions) . . . Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles Part VI **Amortization** (b) (e) (d) (f) (c) (a) Date A mortization A mortizable Code A mortization for Description of costs amortization period or

amount section this year beains percentage 42 A mortization of costs that begins during your 2008 tax year (see instructions) 43 A mortization of costs that began before your 2008 tax year 43 44 Total. Add amounts in column (f) See the instructions for where to report 44

Form 990, Part VII - Section Aaa

		(C) Position (check all that apply)							(5)	(F)
(A) Name and Title	(B) Average hours per week	Individual Trustee or Director	Institutional Trustee	Officei	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	Estimated amount of other compensation from the organization and related organizations
Thomas Ott , President, SE	50 00			Х				553,585	0	57,432
Anne Ryan , Inspector General	50 00			Х				166,436	0	45,690
Marsha Shaines , Deputy General Counsel	50 00			х				192,813	0	1,765
Sudeep Anand , Treasurer	50 00				Х			250,334	0	43,137
Gregory Bokman , CFO-SE	50 00				Χ			269,325	0	46,056
William Brubaker , Director-OFEO	50 00				Χ			269,747	0	37,691
Amy Chen , Chief Investment Officer	50 00				Χ			375,347	0	33,847
Bruce Dauer , Director-OPMB	50 00				Χ			273,157	0	37,446
James Douglas , Director-Human Resources	50 00				х			241,776	0	36,086
Robert Fraga , Director-O Con	50 00				Х			169,000	0	19,763
Clair Gill , Deputy Director, OFEO	50 00				Х			245,264	0	34,921
Mary Payne , Director-OGR	50 00				Χ			251,398	0	38,723
Ann Speyer , Chief Information Office	50 00				Χ			277,242	0	32,889
Andrew Zino , Comptroller	50 00				Х			183,666	0	26,342
Kerry Bianchi , Publisher Magazine Group	50 00					×		286,031	0	52,652
Alan Chu , General Mgr-SE Magazıne	50 00					Х		278,610	0	51,279
John Dailey , Director-NASM	50 00					Х		317,822	0	36,757
Lisa Dunham , Circulation Director	50 00					Х		254,228	0	42,917
Carey Winfrey , Editor	50 00					Х		367,978	0	56,011
John Huerta , General Counsel	50 00						Χ	212,869	0	40,335
Ira Rubinoff , Under Sec -Science	50 00						Χ	237,464	0	28,836
Cristian Samper , Acting Secretary	50 00						Χ	361,280	0	49,605
Scott Rockman , Exec VP-Member Svcs	50 00						Х	362,128	0	28,627

Form 990, Part VIII - Statement of Revenue - 2a - 2g Program Service Revenue -

	Business Code	(A) Total Revenue	(B) Related or Exempt Function	(C) Unrelated Business	(D) Revenue Excluded from Tax under IRC
a Subscriptions-magazine	511,120	38,679,968	Revenue 38,679,968	Revenue	512, 513, or 514
b Visitors/Members/Emplo	900,099	33,292,990			33,292,990
c Theater Income	711,110	11,506,363	10,739,532	766,831	
d Tours/Classes	900,099	9,282,525	9,282,525		
e Traveling Exhibitions	900,099	1,791,945	1,791,945		

Software ID: Software Version:

EIN: 53-0206027

Name: Smithsonian Institution

Form 990, Part VII - Section Aaa

Form 990, Part VII - Section Aaa										
		(C) Position (check all that apply)							(F)	(F)
(A) Name and Title	(B) Average hours per week	Individual Trustee or Director	Institutional Trustee	Officei	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	Estimated amount of other compensation from the organization and related organizations
Honorable John Roberts , Regent		Х						0	0	0
Honorable Joseph Biden , Regent		Х						0	0	0
Honorable Richard Cheney , Regent		Х						0	0	0
Honorable Xavier Becerra , Regent		Х						0	0	0
Honorable Thad Cochran , Regent		Х						0	0	0
Honorable Chris Dodd , Regent		Х						0	0	0
Honorable Sam Johnson , Regent		Х						0	0	0
Honorable Patrick Leahy , Regent		Х						0	0	0
Honorable Doris Matsui , Regent		Х						0	0	0
Mr Elı Broad , Regent		Х						0	0	0
Ms France A Cordova , Regent		Х						0	0	0
Dr Philip Frost , Regent		Х						0	0	0
Ms Shirley Ann Jackson , Regent		X						0	0	0
Mr Robert P Kogod , Regent		X						0	0	0
Mr John McCarter , Regent		Х						0	0	0
Mr David Rubenstein , Regent		Х						0	0	0
Mr Roger Sant , Regent		Х						0	0	0
Mr Alan G Spoon , Regent		X						0	0	0
Ms Patricia Stonesifer , Regent		Х						0	0	0
G Wayne Clough , Secretary	50 00			Х				245,106	0	15,224
Charles R Alcock , Acting Under Sec- Science	50 00			x				143,189	0	20,631
Vırgınıa Clark , Dırector-External Affaır	50 00			Х				345,053	0	53,146
Richard Kurin , Under Sec - OUSHC	50 00			Х				259,799		/
John Lapiana , Chief of Staff-Regents	50 00			Х				176,056	0	29,782
Judith Leonard , General Counsel	50 00			Х				0	0	0
Evelyn Lieberman , Director-OPA	50 00			Х				264,289	0	35,240
Alice Maroni , Chief Financial Officer	50 00			Х				316,186	0	51,054
Era Marshall , Director-OEEMA	50 00			Х				166,996	0	13,821
Alıson McNally , Under Sec -Fın & Admın	50 00			х				192,584	0	6,510
Scott Miller , Acting Under Sec-Science	50 00			Х				154,993	0	25,457