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Form **990-PF**

# Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

**2008**Department of the Treasury  
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2008, or tax year beginning **10/01/08**, and ending **9/30/09**Check all that apply: ☐ Initial return ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label.  
Otherwise, print or type.  
See Specific Instructions.

Name of foundation

**ARCANA FOUNDATION, INC**

Number and street (or P O box number if mail is not delivered to street address)

**C/O JP MORGAN SVCS PO BOX 6089**

Room/suite

City or town, state, and ZIP code

**NEWARK****DE 19714-6089****A Employer identification number****52-1515952****B Telephone number (see page 10 of the instructions)****202-789-7280****C If exemption application is pending, check here** ☐**D 1 Foreign organizations, check here** ☐**2. Foreign organizations meeting the****85% test, check here and attach computation** ☐**E If private foundation status was terminated under section 507(b)(1)(A), check here** ☐**F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here** ☐Check type of organization ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationFair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 532,374****J Accounting method** ☐ Cash ☒ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

## Part I Analysis of Revenue and Expenses (The total amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see pg 11 of the instructions))

(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
------------------------------------	---------------------------	-------------------------	---

- 1 Contributions, gifts, grants, etc., received (attach schedule)
- 2 Check ☒ if the foundation is **not** required to attach Sch B
- 3 Interest on savings and temporary cash investments
- 4 Dividends and interest from securities
- 5a Gross rents
- b Net rental income or (loss)
- 6a Net gain or (loss) from sale of assets not on line 10
- b Gross sales price for all assets on line 6a
- 7 Capital gain net income (from Part IV, line 2)

**15,586****15,586****-19,663****31,843****0****0**8 Net short-term capital gain  
9 Income modifications

10a Gross sales less returns &amp; allowances

b Less cost of goods sold

c Gross profit or (loss) (attach schedule)

d Other income (attach schedule)

11 Total. Add lines 1 through 11

**-4,077****15,586****0**

Operating and Administrative Expenses

- 13 Compensation of officers, directors, trustees, etc
- 14 Other employee salaries and wages
- 15 Pension plans, employee benefits
- 16a Legal fees (attach schedule)
- b Accounting fees (attach schedule)
- c Other professional fees (attach schedule) **STMT 1**
- 17 Interest
- 18 Taxes (attach schedule) (see page 14 of the instructions) **STMT 2**
- 19 Depreciation (attach schedule) and depletion
- 20 Occupancy
- 21 Travel, conferences, and meetings
- 22 Printing and publications
- 23 Other expenses (att sch) **STMT 3**
- 24 **Total operating and administrative expenses.**  
Add lines 13 through 23
- 25 Contributions, gifts, grants paid
- 26 **Total expenses and disbursements.** Add lines 24 and 25

**134****92****312****2,653****2,653****3,099****0****2,745****98,000****98,000****101,099****0****0****100,745**

27 Subtract line 26 from line 12

a **Excess of revenue over expenses & disbursements****-105,176**b **Net investment income** (if negative, enter -0-)**15,586**c **Adjusted net income** (if negative, enter -0-)**0**

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Form **990-PF** (2008)

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<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash—non-interest-bearing			1,111	-19,863	-19,863
	2 Savings and temporary cash investments			65,092	7,777	7,777
	3 Accounts receivable ▶	945				
	Less: allowance for doubtful accounts ▶			1,505	945	945
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)					
	7 Other notes and loans receivable (att. schedule) ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments—U S. and state government obligations (attach schedule)					
	b Investments—corporate stock (attach schedule) <b>SEE STMT 4</b>			180,942	154,479	141,784
	c Investments—corporate bonds (attach schedule) <b>SEE STMT 5</b>			395,000	395,000	401,553
	11 Investments—land, buildings, and equipment basis ▶					
<b>Liabilities</b>	Less: accumulated depreciation (attach sch.) ▶					
	12 Investments—mortgage loans					
	13 Investments—other (attach schedule) <b>SEE STATEMENT 6</b>				178	178
	14 Land, buildings, and equipment basis ▶					
	Less: accumulated depreciation (attach sch.) ▶					
	15 Other assets (describe ▶ )					
	16 <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)			643,650	538,516	532,374
	17 Accounts payable and accrued expenses			23,500	23,500	
<b>Net Assets or Fund Balances</b>	18 Grants payable					
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable (attach schedule)					
	22 Other liabilities (describe ▶ <b>SEE STATEMENT 7</b> )				42	
	23 <b>Total liabilities</b> (add lines 17 through 22)			23,500	23,542	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.					
	27 Capital stock, trust principal, or current funds			15,599,858	15,599,858	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund					
	29 Retained earnings, accumulated income, endowment, or other funds			-14,979,708	-15,085,020	
<b>Net Assets or Fund Balances</b>	30 <b>Total net assets or fund balances</b> (see page 17 of the instructions)			620,150	514,974	
	31 <b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions)			643,650	538,516	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	620,150
2 Enter amount from Part I, line 27a	2	-105,176
3 Other increases not included in line 2 (itemize)▶	3	
4 Add lines 1, 2, and 3	4	514,974
5 Decreases not included in line 2 (itemize)▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	514,974

Form 990-PF (2008) **ARCANA FOUNDATION, INC****52-1515952**Page **3****Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>	<b>SEE ATTACHED</b>	<b>P</b>	<b>VARIOUS</b>	<b>VARIOUS</b>
<b>b</b>	<b>CAPITAL GAIN DIVIDENDS</b>			
<b>c</b>				
<b>d</b>				
<b>e</b>				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 31,067		51,506	-20,439
<b>b</b> 776			776
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			-20,439
<b>b</b>			776
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>	<b>2</b>	-19,663
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of nonchantable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2007	131,019	651,161	0.201208
2006	161,706	766,572	0.210947
2005	237,139	938,484	0.252683
2004	626,806	1,052,724	0.595413
2003	603,606	1,375,495	0.438828

<b>2</b> Total of line 1, column (d)	<b>2</b>	1.699079
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.339816
<b>4</b> Enter the net value of nonchantable-use assets for 2008 from Part X, line 5	<b>4</b>	538,285
<b>5</b> Multiply line 4 by line 3	<b>5</b>	182,918
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	156
<b>7</b> Add lines 5 and 6	<b>7</b>	183,074
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.	<b>8</b>	100,745

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling letter (attach copy of ruling letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	312
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
<b>3</b>	Add lines 1 and 2	3	312
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	312
<b>6</b>	<b>Credits/Payments</b>		
<b>a</b>	2008 estimated tax payments and 2007 overpayment credited to 2008	6a	490
<b>b</b>	Exempt foreign organizations—tax withheld at source	6b	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	6c	
<b>d</b>	Backup withholding erroneously withheld	6d	
<b>7</b>	<b>Total credits and payments.</b> Add lines 6a through 6d	7	490
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	178
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2009 estimated tax</b> <b>178</b> <b>Refunded</b>	11	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <b>\$</b> _____ (2) On foundation managers. <b>\$</b> _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <b>\$</b> _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV		X
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <b>DC</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2008 or the taxable year beginning in 2008 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► <b>MS. PAULA HANSEN</b> <b>1156 FIFTEENTH STR NW</b> Located at ► <b>WASHINGTON, DC</b>	Telephone no. ► <b>202-789-7280</b> ZIP+4 ► <b>20005</b>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2008?	N/A	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2008, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2008? If "Yes," list the years ► 20 , 20 , 20 , 20	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions.)	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20 , 20 , 20 , 20	N/A	
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2008 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2008.)	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2008?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** **X**

If you answered "Yes" to 6b, also file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LADISLAUS VON HOFFMANN 2501 FOXHALL RD NW WAS DC	PR, TR, DIR 18	0	0	0
BEATRIX VON HOFFMAN 2501 FOXHALL RD NW WAS DC	VICE PR, DIR 9	0	0	0
HOLLY KENNEDY PASSANTINO 200 60TH ST. APT# 34A NY	SECRETARY 1	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions).**

If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **▶**

Form 990-PF (2008) **ARCANA FOUNDATION, INC****52-1515952**Page **7****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total number of others receiving over \$50,000 for professional services** ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1 N/A	Expenses

**Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

1 N/A	Amount

All other program-related investments. See page 24 of the instructions.

3	

**Total.** Add lines 1 through 3 ▶Form **990-PF** (2008)



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	511,461
<b>b</b>	Average of monthly cash balances	<b>1b</b>	35,021
<b>c</b>	Fair market value of all other assets (see page 24 of the instructions)	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	546,482
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	546,482
<b>4</b>	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	<b>4</b>	8,197
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	538,285
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	26,914

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part )

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	26,914
<b>2a</b>	Tax on investment income for 2008 from Part VI, line 5	<b>2a</b>	312
<b>b</b>	Income tax for 2008 (This does not include the tax from Part VI )	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	312
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	26,602
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	26,602
<b>6</b>	Deduction from distributable amount (see page 25 of the instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	26,602

**Part XII Qualifying Distributions** (see page 25 of the instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	100,745
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	100,745
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	100,745

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2007	(c) 2007	(d) 2008
<b>1</b> Distributable amount for 2008 from Part XI, line 7				<b>26,602</b>
<b>2</b> Undistributed income, if any, as of the end of 2007:				
<b>a</b> Enter amount for 2007 only				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2008				
<b>a</b> From 2003	<b>538,821</b>			
<b>b</b> From 2004	<b>575,136</b>			
<b>c</b> From 2005	<b>190,956</b>			
<b>d</b> From 2006	<b>124,187</b>			
<b>e</b> From 2007	<b>98,951</b>			
<b>f</b> Total of lines 3a through e	<b>1,528,051</b>			
<b>4</b> Qualifying distributions for 2008 from Part XII, line 4: ▶ \$ <b>100,745</b>				
<b>a</b> Applied to 2007, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
<b>d</b> Applied to 2008 distributable amount				<b>26,602</b>
<b>e</b> Remaining amount distributed out of corpus	<b>74,143</b>			
<b>5</b> Excess distributions carryover applied to 2008 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	<b>1,602,194</b>			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions				
<b>e</b> Undistributed income for 2007 Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions				
<b>f</b> Undistributed income for 2008 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2009				<b>0</b>
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
<b>8</b> Excess distributions carryover from 2003 not applied on line 5 or line 7 (see page 27 of the instructions)	<b>538,821</b>			
<b>9</b> Excess distributions carryover to 2009. Subtract lines 7 and 8 from line 6a	<b>1,063,373</b>			
<b>10</b> Analysis of line 9.				
<b>a</b> Excess from 2004	<b>575,136</b>			
<b>b</b> Excess from 2005	<b>190,956</b>			
<b>c</b> Excess from 2006	<b>124,187</b>			
<b>d</b> Excess from 2007	<b>98,951</b>			
<b>e</b> Excess from 2008	<b>74,143</b>			

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2008, enter the date of the ruling					
<b>b</b> Check box to indicate whether the foundation is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2008	(b) 2007	(c) 2006	(d) 2005	
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2) )  
**N/A**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.  
**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**  
Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed.  
**N/A**

**b** The form in which applications should be submitted and information and materials they should include:  
**N/A**

**c** Any submission deadlines:  
**N/A**

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:  
**N/A**

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year				
PROVIDENCE HEALTH FOUNDAT 1150 VARNUM ST., NE WASHINGTON DC 20017-2180	NONE	PUBLIC INCUBATOR	PROJECT	20,000
VISITORS SERVICES CENTER 1422 MASSACHUSETTS AVE, S WASHINGTON DC 20003	NONE	PUBLIC GENERAL	SUPPORT	2,500
LITTLE SISTERS OF THE POO 4200 HAREWOOD ROAD, N.E. WASHINGTON DC 20017	NONE	PUBLIC GENERAL	SUPPORT	3,000
NICK'S PLACE 4604 W.CAROLINE AVENUE BELTSVILLE MD 20705	NONE	PUBLIC GENERAL	SUPPORT	2,000
IONA SENIOR SERVICES 4125 ALBERMANRLE ST, NW WASHINGTON DC 20016	NONE	PUBLIC GENERAL	SUPPORT	2,500
GREEN DOOR 1623 SIXTEENTH STREET, NW WASHINGTON DC 20009	NONE	PUBLIC GENERAL	SUPPORT	2,500
DC CREATIVE WRITING 601 MISSISSIPPI AVE, SE WASHINGTON DC 20032	NONE	PUBLIC GENERAL	SUPPORT	500
COUNCIL/COURT EXCELLENCE 1111 14TH STREET, NW WASHINGTON DC 20005	NONE	PUBLIC JUVENILE JUSTICE	TRAINING	40,000
FREER SACKLER GALLERY P.O. BOX 37012, MRC 707 WASHINGTON DC 20013-7012	NONE	PUBLIC GENERAL	SUPPORT	25,000
<b>Total</b>			<b>3a</b>	<b>98,000</b>
<b>b</b> Approved for future payment N/A				
<b>Total</b>			<b>3b</b>	





## Federal Statements

Statement 1 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
MANAGEMENT I/M EXPENSE	\$ 134	\$	\$	\$ 92
TOTAL	\$ 134	\$ 0	\$ 0	\$ 92

Statement 2 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
EXCISE TAX	\$ 312	\$	\$	\$
TOTAL	\$ 312	\$ 0	\$ 0	\$ 0

Statement 3 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
EXPENSES	\$	\$	\$	\$
OFFICE EXPENSE	845			845
INSURANCE EXPENSES	1,808			1,808
TOTAL	\$ 2,653	\$ 0	\$ 0	\$ 2,653

**Federal Statements**

FYE: 9/30/2009

**Statement 4 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments**

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Basis of Valuation</u>	<u>Fair Market Value</u>
SCHEDULE ATTACHED	\$ 180,942	\$ 154,479	COST	\$ 141,784
TOTAL	<u>\$ 180,942</u>	<u>\$ 154,479</u>		<u>\$ 141,784</u>

**Statement 5 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments**

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Basis of Valuation</u>	<u>Fair Market Value</u>
SCHEDULE ATTACHED	\$ 395,000	\$ 395,000	COST	\$ 401,553
TOTAL	<u>\$ 395,000</u>	<u>\$ 395,000</u>		<u>\$ 401,553</u>

**Statement 6 - Form 990-PF, Part II, Line 13 - Other Investments**

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Basis of Valuation</u>	<u>Fair Market Value</u>
PREPAID EXCISE TAX	\$	\$ 178	COST	\$ 178
TOTAL	<u>\$ 0</u>	<u>\$ 178</u>		<u>\$ 178</u>

**Statement 7 - Form 990-PF, Part II, Line 22 - Other Liabilities**

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>
MORGAN I/M FEE PAYABLE	\$	\$ 42
TOTAL	<u>\$ 0</u>	<u>\$ 42</u>



Form 990-PF, Part XV, Line 1b - Managers Who Own 10% or More Stock

<u>Name of Manager</u>	<u>Amount</u>
NONE	\$ <u>                    </u>
TOTAL	\$ <u>                    0</u>

Taxable Dividends from Securities

<u>Description</u>	<u>Amount</u>	<u>Unrelated Business Code</u>	<u>Exclusion Code</u>	<u>Postal Code</u>
DIVIDENDS	\$ 15,586		14	
TOTAL	\$ 15,586			

ACCT 5897 A21504005  
FROM 10/01/2008  
TO 09/30/2009

J P MORGAN CHASE BANK, N A  
STATEMENT OF CAPITAL GAINS AND LOSSES  
ARCANA FOUNDATION INC

PAGE 13  
RUN 02/04/2010  
08 48 51 AM

TRUST YEAR ENDING 09/30/2009

TAX PREPARER JBA  
TRUST ADMINISTRATOR  
INVESTMENT OFFICER DDF

LEGEND F - FEDERAL S - STATE I - INHERITED  
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM
0000 FEDERAL HOME LN MTG CORP - 313400301 - MINOR = 31001						
SOLD		09/11/2009	0 19			
ACQ	0000		0 19			
1046 0250 JPMORGAN INTREPID GROWTH FUND - 4812A2207 - MINOR = 08010				0 00	0 19	LT15
SOLD		12/29/2008	15000 00			
ACQ	29 3520	06/08/2005	420 91	563 85	-142 94	LT15
	1016 6730	11/09/2007	14579 09	25000 00	-10420 91	LT15
5 0000 NORTEL NETWORKS CORP - 656568508 - MINOR = 31000						
SOLD		10/01/2008	11 44			
ACQ	5 0000	08/25/2008	11 44	0 01	11 43	ST
200 0000 S & P 500 DEP RCPTS - 78462F103 - MINOR = 31000						
SOLD		02/20/2009	15543 71			
ACQ	200 0000	08/15/2008	15543 71	25941 96	-10398 25	ST
0000 TYCO INTL LTD NEW - 902124106 - MINOR = 31001						
SOLD		03/17/2009	479 22			
ACQ	0000		479 22	0 00	479 22	LT15
0000 WASTE MGMT INC DE - 94106L109 - MINOR = 31000						
SOLD		11/12/2008	32 54			
ACQ	0000		32 54	0 00	32 54	LT15
**CHANGED** 11/19/08 AW4						
TOTALS			31067 10	51505 82		

SUMMARY OF CAPITAL GAINS/LOSSES

FEDERAL	SHORT TERM	LONG TERM 28%	LONG TERM 15%	ST WASH SALE	LT WASH SALE	1250 GAIN
SUBTOTAL FROM ABOVE	-10386 82	0 00	-10051 90	0 00	0 00	0 00*
COMMON TRUST FUND	0 00	0 00	0 00			0 00
CAPITAL GAIN DIVIDENDS	0 00	0 00	776 00			0 00
	-10386 82	0 00	-9275 90	0 00	0 00	0 00
STATE						
SUBTOTAL FROM ABOVE	-10386 82	0 00	-10051 90	0 00	0 00	0 00*
COMMON TRUST FUND	0 00	0 00	0 00			0 00
CAPITAL GAIN DIVIDENDS	0 00	0 00	776 00			0 00
	-10386 82	0 00	-9275 90	0 00	0 00	0 00

\* - 1250 GAIN DETAILED ON TRANS PAGES, NOT ABOVE

CAPITAL LOSS CARRYOVER

FEDERAL	0 00	0 00
DELAWARE	N/A	N/A

# J.P.Morgan

JPMorgan Chase Bank, N.A.  
P.O. Box 6076  
Newark, DE 19714 - 6076

00007712 DPB 802 165 27409 - NNYNNT 1 0000000000 D1 0000  
ARCANA FOUNDATION INC  
PAULA HANSEN  
1156 15TH ST NW SUITE 605  
WASHINGTON DC 20005-1767

Primary Account: 0000000000015063  
For the Period 9/1/09 to 9/30/09

## J.P. Morgan Team

Steven McKee (888) 207-6734  
Patrick M Dunn (800) 243-6727  
For assistance after business hours, 7 days a week.  
Hearing Impaired (800) 242-7383  
Online access: [www.MorganOnline.com](http://www.MorganOnline.com)



## JPMorgan Classic Business Checking

Checking Account Summary	Instances	Amount
Beginning Balance		6,389.31
Ending Balance	0	\$6,389.31

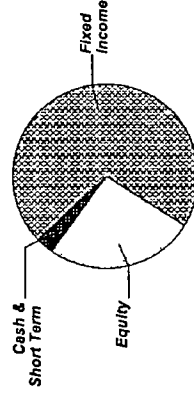
Amt.	Transfers	Donations	Awards	Ins
\$ 6,389.31				
(348.00)				
(25,000.00)				
(904.00)				
\$ (19,862.69)				

Please note this account had no activity during this statement period. The date of last activity for this account was 04/24/09

## Account Summary

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Equity	136,217.21	141,784.06	5,566.85	1,430.58	26%
Cash & Short Term	6,688.20	7,776.85	1,088.65	5.44	1%
Fixed Income	399,750.16	401,552.99	1,802.83	13,822.46	73%
<b>Market Value</b>	<b>\$542,655.57</b>	<b>\$551,113.90</b>	<b>\$8,458.33</b>		<b>100%</b>
Accruals	1,010.03	944.75	(65.28)		
<b>Market Value with Accruals</b>	<b>\$543,665.60</b>	<b>\$552,058.65</b>	<b>\$8,393.05</b>		

### Asset Allocation



Portfolio Activity	Current Period Value	Year-to-Date Value
<b>Beginning Market Value</b>	<b>542,655.57</b>	<b>614,288.75</b>
Withdrawals & Fees		(80,262.29)
<b>Net Contributions/Withdrawals</b>	<b>\$0.00</b>	<b>(\$80,262.29)</b>
Income & Distributions	1,088.46	16,152.23
Change In Investment Value	7,369.87	935.21
<b>Ending Market Value</b>	<b>\$551,113.90</b>	<b>\$551,113.90</b>
Accruals	944.75	944.75
<b>Market Value with Accruals</b>	<b>\$552,058.65</b>	<b>\$552,058.65</b>

ARCANA FOUNDATION INC ACCT A21504005  
For the Period 9/1/09 to 9/30/09

## Account Summary CONTINUED

<b>Tax Summary</b>	<b>Current Period Value</b>	<b>Year-to-Date Value</b>
Domestic Dividends/Distributions	1,088.07	15,930.25
Interest Income	0.39	221.98
<b>Taxable Income</b>	<b>\$1,088.46</b>	<b>\$16,152.23</b>

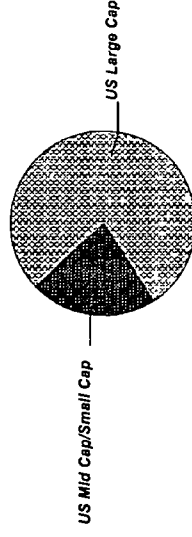
	<b>Current Period Value</b>	<b>Year-to-Date Value</b>
LT Capital Gain Distributions		770.82
ST Realized Gain/Loss		(10,386.82)
LT Realized Gain/Loss	0.19	(10,051.90)
<b>Realized Gain/Loss</b>	<b>\$0.19</b>	<b>(\$19,667.90)</b>

	<b>To-Date Value</b>
<b>Unrealized Gain/Loss</b>	<b>(\$6,142.28)</b>

## Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap	106,444.06	110,644.35	4,200.29	20%
US Mid Cap/Small Cap	29,773.15	31,139.71	1,366.56	6%
<b>Total Value</b>	<b>\$136,217.21</b>	<b>\$141,784.06</b>	<b>\$5,566.85</b>	<b>26%</b>

### Asset Categories



Market Value/Cost	Current Period Value
Market Value	141,784.06
Tax Cost	154,479.33
Unrealized Gain/Loss	(12,695.27)
Estimated Annual Income	1,430.58
Yield	1.01%

## Equity Detail

	Quantity	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income		Yield
						Accrued Dividends		
US Large Cap								
EATON VANCE SPL INVT TR VALUE FD CL I 277905-64-2 EILV X	1,063 076	15 95	16,956 06	15,000 00	1,956 06		301 91	1 78 %
JPMORGAN INTREPID GROWTH FUND SELECT SHARE CLASS FUND 1202 4812A2-20-7 JPGS X	1,532 335	18 39	28,179 64	29,436 15	(1,256 51)		179 28	0 64 %
JPMORGAN US LARGE CAP CORE PLUS FUND SELECT FUND 1002 4812A2-38-9 JLPS X	3,830 915	17 10	65,508 65	75,000 00	(9,491 35)		701 05	1 07 %
Total US Large Cap			\$110,644.35	\$119,436.15	(\$8,791.80)		\$1,182.24	1.07 %
US Mid Cap/Small Cap								
ISHARES RUSSELL 2000 INDEX FUND 464287-65-5 IWM	182 000	60 24	10,963 68	10,043 18	920 50		105 74	0 96 %
JPMORGAN MID CAP VALUE FUND SELECT SHARE CLASS (FUND 1100) 339183-10-5 JMVX X	1,114 082	18 11	20,176 03	25,000 00	(4,823 97)		142 60	0 71 %
Total US Mid Cap/Small Cap			\$31,139.71	\$35,043.18	(\$3,903.47)		\$248.34	0.80 %



## Cash & Short Term Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	6,688.20	7,776.85	1,088.65	1%

### Asset Categories



Cash &  
Short Term

Market Value/Cost	Current Period Value
Market Value	7,776.85
Tax Cost	7,776.85
Estimated Annual Income	5.44
Accrued Interest	0.45
Yield	0.07%

Note: <sup>1</sup> This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

## Cash & Short Term Detail

	Quantity	Price	Market Value	Tax Cost		Unrealized Gain/Loss	Estimated Annual Income		Yield
				Adjusted	Original		Accrued Interest		
<b>Cash</b>									
US DOLLAR	7,776.85	1.00	7,776.85	7,776.85			5.44	0.07%	<sup>1</sup>
							0.45		

## Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Fixed Income - Taxable	399,750 16	401,552 99	1,802 83	73%

### Asset Categories



Market Value/Cost	Current Period Value
Market Value	401,552 99
Tax Cost	395,000 00
Unrealized Gain/Loss	6,552 99
Estimated Annual Income	13,822 46
Accrued Interest	944 30
Yield	3 44 %

## Fixed Income Summary CONTINUED

### SUMMARY BY MATURITY

Fixed Income	Market Value	% of Bond Portfolio
Less than 5 years <sup>1</sup>	401,552 99	100%

### SUMMARY BY TYPE

Fixed Income	Market Value	% of Bond Portfolio
Mutual Funds	401,552 99	100%

<sup>1</sup>The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

## Fixed Income Detail

	Quantity	Price	Market Value	Tax Cost		Unrealized Gain/Loss	Estimated Annual Income		Yield
				Adjusted	Original		Accrued Interest		
US Fixed Income - Taxable									
JPMORGAN HIGH YIELD BOND FUND	2,570.694	7.45	19,151.67	20,000.00		(848.33)	1,416.45		7.40%
SELECT CLASS							133.68		
FUND 3580									
30-Day Annualized Yield 8.10%									
4812C0-80-3									
JPMORGAN SHORT DURATION BOND FUND	35,244.361	10.85	382,401.32	375,000.00		7,401.32	12,406.01		3.24%
SELECT CLASS							810.62		
FUND 3133									
30-Day Annualized Yield 2.39%									
4812C1-33-0									
Total US Fixed Income - Taxable			\$401,552.99	\$395,000.00		\$6,552.99	\$13,822.46	\$944.30	3.44%

## Portfolio Activity Summary

Transactions	Current Period Value	Year-To-Date Value*
<b>Beginning Cash Balance</b>	<b>6,688.20</b>	--
<b>INFLOWS</b>		
Income	1,088.46	16,152.23
<b>Total Inflows</b>	<b>\$1,088.46</b>	<b>\$16,152.23</b>
<b>OUTFLOWS</b>		
Withdrawals		(80,000.00)
Fees		(92.29)
Tax Payments		(170.00)
<b>Total Outflows</b>	<b>\$0.00</b>	<b>(\$80,262.29)</b>
<b>TRADE ACTIVITY</b>		
Settled Sales/Maturities/Redemptions	0.19	31,837.92
Settled Securities Purchased		(25,043.18)
<b>Total Trade Activity</b>	<b>\$0.19</b>	<b>\$6,794.74</b>
<b>Ending Cash Balance</b>	<b>\$7,776.85</b>	--

\* Year to date information is calculated on a fiscal year basis, beginning Oct 1, 2008

Form **8868**

(Rev. April 2009)

Department of the Treasury  
Internal Revenue Service**Application for Extension of Time To File an  
Exempt Organization Return**

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868****Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).**A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

**Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on e-file for Charities & Nonprofits.

<b>Type or print</b>	Name of Exempt Organization	Employer identification number
File by the due date for filing your return. See instructions.	<b>ARCANA FOUNDATION, INC</b>	<b>52-1515952</b>
	Number, street, and room or suite no. If a P O box, see instructions. <b>C/O JP MORGAN SVCS PO BOX 6089</b>	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>NEWARK DE 19714-6089</b>	

**Check type of return to be filed (file a separate application for each return)**

- |   |  |                                    |
|---|--|------------------------------------|
| <input type="checkbox"/> Form 990               | <input type="checkbox"/> Form 990-T (corporation)                | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL            | <input type="checkbox"/> Form 990-T (sec 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ            | <input type="checkbox"/> Form 990-T (trust other than above)     | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A                             | <input type="checkbox"/> Form 8870 |

- The books are in the care of ► **1156 FIFTEENTH STR NW**

Telephone No ► **202-789-7280**

FAX No ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **5/15/10**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ☐ calendar year or  
 ► ☒ tax year beginning **10/01/08**, and ending **9/30/09**

- 2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

<b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$	<b>312</b>
<b>b</b> If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$	<b>490</b>
<b>c Balance Due.</b> Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$	<b>0</b>

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)