



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2008

Department of the Treasury
Internal Revenue Service (77)

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2008, or tax year beginning 10/01, 2008, and ending 9/30, 2009

G Check all that apply: Initial return ☐ Final return ☐ Amended return ☐ Address change ☐ Name change ☐Use the
IRS label
Otherwise,
print
or type
See Specific
InstructionsThe Eichenberg-Larson Charitable
Foundation
c/o 20 Pacifica #1430
Irvine, Ca 92618

A Employer identification number

33-0431855

B Telephone number (see the instructions)

(949) 753-1430

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year
(from Part II, column (c), line 16)

\$ 5,711,411.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received (att sch)

2 Ck ☒ if the foundn is not req to att Sch B

3 Interest on savings and temporary cash investments

91,740.

91,740.

4 Dividends and interest from securities

146,095.

146,095.

5a Gross rents

b Net rental income or (loss)

6a Net gain/(loss) from sale of assets not on line 10

-1,071,038.

b Gross sales price for all assets on line 6a

5,926,830.

7 Capital gain net income (from Part IV, line 2)

0.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit/(loss) (att sch)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

-833,203.

237,835.

0.

13 Compensation of officers, directors, trustees, etc

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach sch)

c Other prof fees (attach sch) See St 1

23,648.

23,648.

17 Interest

18 Taxes (attach schedule) See Stmt 2

463.

470.

19 Depreciation (attach sch) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

24 Total operating and administrative expenses. Add lines 13 through 23

24,111.

24,118.

25 Contributions, gifts, grants paid Part XV

310,000.

310,000.

26 Total expenses and disbursements. Add lines 24 and 25

334,111.

24,118.

0.

310,000.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

-1,167,314.

b Net investment income (if negative, enter 0-)

213,717.

c Adjusted net income (if negative, enter 0-)

0.

SCANNED MAY 24 2010

ADMINISTRATIVE
OPERATING
EXPENSESP
8

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
					(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing					
	2	Savings and temporary cash investments			484,339.	345,210.	345,217.
	3	Accounts receivable	34,582.				
		Less allowance for doubtful accounts			17,443.	34,582.	34,582.
	4	Pledges receivable					
		Less allowance for doubtful accounts					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)					
	7	Other notes and loans receivable (attach sch)					
		Less allowance for doubtful accounts					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges			410.	410.	410.
	10a	Investments — U S and state government obligations (attach schedule)					
	b	Investments — corporate stock (attach schedule) Statement 3			3,631,243.	2,803,786.	3,002,146.
	c	Investments — corporate bonds (attach schedule) Statement 4			1,303,636.	2,275,769.	2,329,056.
	11	Investments — land, buildings, and equipment: basis					
	Less accumulated depreciation (attach schedule)						
12	Investments — mortgage loans						
13	Investments — other (attach schedule)			1,190,000.			
14	Land, buildings, and equipment basis						
	Less accumulated depreciation (attach schedule)						
15	Other assets (describe)						
16	Total assets (to be completed by all filers — see instructions Also, see page 1, item I)			6,627,071.	5,459,757.	5,711,411.	
LIABILITIES	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, & other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe)					
	23	Total liabilities (add lines 17 through 22)			0.	0.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☐						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒						
	27	Capital stock, trust principal, or current funds					
	28	Paid-in or capital surplus, or land, building, and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds			6,627,071.	5,459,757.	
	30	Total net assets or fund balances (see the instructions)			6,627,071.	5,459,757.	
	31	Total liabilities and net assets/fund balances (see the instructions)			6,627,071.	5,459,757.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,627,071.
2	Enter amount from Part I, line 27a	2	-1,167,314.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	5,459,757.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	5,459,757.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a See Statement 5				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	-1,071,038.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8		3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2007	358,017.	6,971,415.	0.051355
2006	368,707.	7,376,852.	0.049982
2005	320,000.	6,905,258.	0.046341
2004	280,000.	6,469,343.	0.043281
2003	280,000.	6,060,236.	0.046203

2 Total of line 1, column (d)	2	0.237162
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.047432
4 Enter the net value of noncharitable-use assets for 2008 from Part X, line 5	4	5,408,365.
5 Multiply line 4 by line 3	5	256,530.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,137.
7 Add lines 5 and 6	7	258,667.
8 Enter qualifying distributions from Part XII, line 4	8	310,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling letter _____ (attach copy of ruling letter if necessary — see instructions)		1	2,137.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,137.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	2,137.
6 Credits/Payments			
a 2008 estimated tax pmts and 2007 overpayment credited to 2008	6a	4,417.	
b Exempt foreign organizations — tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	4,417.	
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,280.	
11 Enter the amount of line 10 to be. Credited to 2009 estimated tax 2,280. Refunded	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) CA		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2008 or the taxable year beginning in 2008 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

BAA

Form 990-PF (2008)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If you answered 'Yes' to 6b, also file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Robert Eichenberg c/o 20 Pacifica, Suite 1430 Irvine, CA 92618	President & 0	0.	0.	0.
LaDorna Eichenberg c/o 20 Pacifica, Suite 1430 Irvine, CA 92618	CFO 0	0.	0.	0.
Jorli Perine 20 Pacifica, Suite 1430 Irvine, CA 92618	Secretary 0	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services – (see instructions). If none, enter **NONE**.

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		

Total number of others receiving over \$50,000 for professional services 0**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

BAA

Form 990-PF (2008)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	3,599,110.
b Average of monthly cash balances	1b	1,891,616.
c Fair market value of all other assets (see instructions)	1c	
d Total (add lines 1a, b, and c)	1d	5,490,726.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	5,490,726.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	82,361.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,408,365.
6 Minimum investment return. Enter 5% of line 5	6	270,418.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	270,418.
2a Tax on investment income for 2008 from Part VI, line 5	2a	2,137.	
b Income tax for 2008 (This does not include the tax from Part VI.)	2b		
c Add lines 2a and 2b	2c	2,137.	
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	268,281.	
4 Recoveries of amounts treated as qualifying distributions	4		
5 Add lines 3 and 4	5	268,281.	
6 Deduction from distributable amount (see instructions)	6		
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	268,281.	

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	310,000.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	310,000.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2,137.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	307,863.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

BAA

Form 990-PF (2008)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2007	(c) 2007	(d) 2008
1 Distributable amount for 2008 from Part XI, line 7				268,281.
2 Undistributed income, if any, as of the end of 2007.				
a Enter amount for 2007 only			306,797.	
b Total for prior years. 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2008				
a From 2003				
b From 2004				
c From 2005				
d From 2006				
e From 2007				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2008 from Part XII, line 4: ▶ \$ 310,000.				
a Applied to 2007, but not more than line 2a			306,797.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2008 distributable amount				3,203.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2008 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2007 Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2008 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2009				265,078.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2003 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2009. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9.				
a Excess from 2004				
b Excess from 2005				
c Excess from 2006				
d Excess from 2007				
e Excess from 2008				

N/A

- (4) Gross investment income**

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Statement 7				
Total			3a	310,000.
b Approved for future payment				
Total			3b	

Statement 1
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Asset management fees	\$ 23,648.	\$ 23,648.		
Total	<u>\$ 23,648.</u>	<u>\$ 23,648.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

Statement 2
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Foreign Taxes Paid	\$ 403.	\$ 410.		
Filing Fees	10.	10.		
Attorney General Registration Fee	50.	50.		
Total	<u>\$ 463.</u>	<u>\$ 470.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

Statement 3
Form 990-PF, Part II, Line 10b
Investments - Corporate Stocks

Corporate Stocks	Valuation Method	Book Value	Fair Market Value
ARTIO INTERNATIONAL EQUITY II FD CL I	Mkt Val	\$ 150,828.	\$ 138,450.
ARTIO INTERNATIONAL EQUITY II FD CL I	Mkt Val	24,940.	25,861.
ARTIO INTERNATIONAL EQUITY II FD CL I	Mkt Val	51,120.	61,507.
FEDERATED STRATEGIC VALUE FUND IS	Mkt Val	211,156.	232,393.
FEDERATED STRATEGIC VALUE FUND IS	Mkt Val	27,520.	28,032.
HARBOR INTL FUND	Mkt Val	165,755.	157,581.
HARBOR INTL FUND	Mkt Val	34,938.	43,347.
HARBOR INTL FUND	Mkt Val	24,510.	25,825.
ISHARES RUSSELL 2000 INDEX FD	Mkt Val	66,552.	80,361.
ISHARES RUSSELL 2000 INDEX FD	Mkt Val	8,544.	8,674.
ISHARES TR RUSSELL MIDCAP INDEX FD	Mkt Val	132,105.	161,919.
ISHARES TR RUSSELL MIDCAP INDEX FD	Mkt Val	16,764.	17,071.
LOOMIS SAYLES BOND FUND INSTL CLASS	Mkt Val	30,530.	31,701.
LOOMIS SAYLES BOND FUND INSTL CLASS	Mkt Val	211,622.	236,573.
PIA SHORT TERM SECURITIES FUND	Mkt Val	262,025.	262,285.
PIA SHORT TERM SECURITIES FUND	Mkt Val	38,700.	38,700.
PIMCO FDS - ALL ASSET ALL AUTH P	Mkt Val	193,548.	189,719.
PIMCO FDS - ALL ASSET ALL AUTH P	Mkt Val	5,093.	5,234.
PIMCO FDS - ALL ASSET ALL AUTH P	Mkt Val	23,220.	23,551.
PIMCO TOTAL RETURN FUND CL P	Mkt Val	183,886.	193,080.
PIMCO TOTAL RETURN FUND CL P	Mkt Val	374.	386.
PIMCO TOTAL RETURN FUND CL P	Mkt Val	24,510.	24,851.
PRINCIPAL PREFERRED SECURITIES FUND	Mkt Val	169,627.	194,895.
PRINCIPAL PREFERRED SECURITIES FUND	Mkt Val	29,240.	30,549.
TCW TOTAL RETURN BOND FUND CLASS N	Mkt Val	30,530.	30,736.
TCW TOTAL RETURN BOND FUND CLASS N	Mkt Val	224,594.	229,213.

Statement 3 (continued)
Form 990-PF, Part II, Line 10b
Investments - Corporate Stocks

<u>Corporate Stocks</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
VANGUARD WORLD FD MEGA CAP 300 INDEX-ET	Mkt Val	\$ 204,501.	\$ 237,044.
VANGUARD WORLD FD MEGA CAP 300 INDEX-ET	Mkt Val	26,230.	26,372.
VICTORY DIVERSIFIED STOCK FD	Mkt Val	202,444.	237,008.
VICTORY DIVERSIFIED STOCK FD	Mkt Val	28,380.	29,228.
	Total	<u>\$ 2,803,786.</u>	<u>\$ 3,002,146.</u>

Statement 4
Form 990-PF, Part II, Line 10c
Investments - Corporate Bonds

<u>Corporate Bonds</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
AMERICAN EXPRESS BANK, FSB *CERTIFICATE	Mkt Val	\$ 95,000.	\$ 98,653.
AMERICAN EXPRESS CENTURION BK *CERTIFIC	Mkt Val	95,000.	97,198.
AOL TIME WARNER INC BK/ENTRY-DTD 04/08/	Mkt Val	54,647.	55,041.
APPALACHIAN POWER CO BOOK/ENTRY DD8/17/	Mkt Val	25,267.	26,849.
AT&T BROADBAND CORP DTD 11/18/2002 R/MD	Mkt Val	27,924.	29,036.
AVON PRODUCTS INC BOOK/ENTRY DTD 03/02/	Mkt Val	26,501.	27,183.
BALTIMORE GAS AND ELECTRIC CO BOOK/ENTR	Mkt Val	25,892.	27,170.
BRITISH TELECOM PLC NOTES DTD 12/12/00	Mkt Val	27,099.	26,917.
CAPITAL ONE, N.A. - VA *CERTIFICATE OF	Mkt Val	95,000.	96,991.
CAPMARK BANK - UT *CERTIFICATE OF DEPOS	Mkt Val	15,000.	15,367.
CENTEX CORP DTD 11/2/2004 R/MD 4.55	Mkt Val	29,090.	29,290.
CHARLES SCHWAB CORP DTD 06/05/2009 R/MD	Mkt Val	25,243.	26,361.
CIGNA CORP DTD 10/19/2001 R/MD 6.375	Mkt Val	25,659.	26,611.
COCA COLA BOTTLING C DTD 11/21/2002 R/M	Mkt Val	52,834.	53,265.
CONAGRA FOODS INC BOOK/ENTRY DTD 04/14/	Mkt Val	26,475.	27,444.
CONSOLIDATED NAT GAS DTD 11/18/2004 R/M	Mkt Val	25,381.	26,488.
CORNING INC DEBS-REG DTD 9/24/1993 R/MD	Mkt Val	26,550.	27,237.
CRH AMERICA INC GLOBAL NOTES BOOK/ENTRY	Mkt Val	25,152.	25,803.
CRH AMERICA INC NOTES BOOK/ENTRY DD 9/1	Mkt Val	25,606.	25,972.
DPL INC SENIOR NOTES DTD 8/31/2001 R/MD	Mkt Val	26,615.	26,920.
ENBRIDGE INC BK/ENTRY-DTD 06/05/2007 R/	Mkt Val	10,140.	10,978.
ESTEE LAUDER COS INC BOOK/ENTRY DTD 11/	Mkt Val	22,745.	23,060.
FEDEX CORP BOOK/ENTRY DTD 01/16/2009 R/	Mkt Val	27,031.	28,191.
FIFTH THIRD BANK NW OHIO BOOK/ENTRY DTD	Mkt Val	50,954.	51,571.
FORD MOTOR CREDIT CORP NOTES BK/ENTRY-D	Mkt Val	100,048.	100,186.
G E GLOBAL INSURANCE NOTES-BK/ENTRY DTD	Mkt Val	85,656.	86,767.
GATX CORP BK/ENTRY DTD 04/30/2009 R/MD	Mkt Val	27,617.	28,131.
GE CAPITAL FINANCIAL INC, - UT *CERTIFI	Mkt Val	95,000.	97,552.
GE MONEY BANK - UT *CERTIFICATE OF DEPO	Mkt Val	95,000.	96,993.
GOLDMAN SACHS BANK USA - UT *CERTIFICAT	Mkt Val	95,000.	97,520.
GOODRICH CORP NOTES-BK/ENTRY DTD 12/10/	Mkt Val	28,422.	27,724.
HASBRO INC BOOK/ENTRY DTD 05/13/2009 R/	Mkt Val	25,761.	27,194.
INDIANA MICH PWR CO DTD 11/22/2002 R/MD	Mkt Val	54,650.	54,785.
INTUIT INC BOOK/ENTRY DD 3/12/2007 R/MD	Mkt Val	25,621.	26,238.
ITT CORP BK/ENTRY DTD 05/01/2009 R/MD	Mkt Val	25,344.	26,069.
KINDER MORGAN ENERGY DTD 08/28/07 R/MD	Mkt Val	25,874.	26,935.
LOEWS CORP DEBS -REG- DTD 4/15/1986 R/M	Mkt Val	15,970.	16,104.
LUBRIZOL CORP SR NOTES BK/ENTRY DTD 09/	Mkt Val	26,588.	26,844.
MERRILL LYNCH & CO I DTD 02/05/2008 R/M	Mkt Val	49,625.	51,846.
MORGAN STANLEY BANK - UT *CERTIFICATE O	Mkt Val	95,000.	98,841.

Statement 4 (continued)
Form 990-PF, Part II, Line 10c
Investments - Corporate Bonds

<u>Corporate Bonds</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
NOBLE CORP DTD 11/21/2008 R/MD 7.375	Mkt Val	\$ 26,093.	\$ 26,907.
NORDSTROM INC BOOK/ENTRY DTD 05/26/2009	Mkt Val	25,581.	27,425.
SARA LEE CORP DTD 09/10/2001 R/MD 6.2	Mkt Val	19,077.	19,437.
SMITH INTL INC DTD 02/16/2001 R/MD 6.	Mkt Val	25,806.	25,646.
SOVEREIGN BANK - PA *CERTIFICATE OF DEP	Mkt Val	95,000.	97,198.
STAPLES INC BOOK/ENTRY DTD 01/15/2009 R	Mkt Val	29,575.	30,031.
SUNOCO LOGISTICS PARTNER DTD 02/08/2002	Mkt Val	26,312.	26,380.
UNITED HEALTH GROUP DTD 11/19/2007 GLOB	Mkt Val	25,765.	26,706.
UST INC DTD 7/15/02 R/MD 6.625	Mkt Val	27,260.	27,375.
VALERO ENERGY CORP DTD 04/15/2002 R/MD	Mkt Val	26,293.	26,906.
WASHINGTON MUTUAL BANK - NV *CERTIFICAT	Mkt Val	60,000.	62,840.
WEATHERFORD INTERNAT DTD 12/15/2007 GLO	Mkt Val	53,528.	53,616.
XEROX CORP DTD 08/10/2004 R/MD 6.875	Mkt Val	25,970.	26,665.
XEROX CORP DTD 08/10/2004 R/MD 6.875	Mkt Val	26,482.	26,665.
XTO ENERGY DTD 01/22/2004 R/MD 4.90	Mkt Val	25,046.	25,934.
	Total	\$ 2,275,769.	\$ 2,329,056.

Statement 5
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

<u>Item</u>	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>
1	DANAHER CORP	Purchased	1/13/2003	10/15/2008
2	MOTOROLA INC DE	Purchased	12/31/2007	10/06/2008
3	MOTOROLA INC DE	Purchased	1/07/2008	10/06/2008
4	NASDAQ OMX GROUP INC	Purchased	9/11/2008	10/09/2008
5	CHINA UNICOM LTD-SPONS ADR	Purchased	9/11/2008	10/15/2008
6	BROOKFIELD ASSET MGMT INC	Purchased	9/11/2008	11/03/2008
7	FRANKLIN RESOURCES INC	Purchased	9/11/2008	11/24/2008
8	PHILIP MORRIS INTL INC	Purchased	1/15/2004	11/24/2008
9	PHILIP MORRIS INTL INC	Purchased	6/21/2006	11/24/2008
10	ALLEGHENY ENERGY INC	Purchased	9/11/2008	12/10/2008
11	ANGLO AMERICAN PLC ADR	Purchased	9/11/2008	12/10/2008
12	ANGLO AMERICAN PLC ADR	Purchased	10/30/2008	12/10/2008
13	ANGLO AMERICAN PLC ADR	Purchased	11/24/2008	12/10/2008
14	BEIJING CAPITAL INTL	Purchased	9/11/2008	12/10/2008
15	BERKSHIRE HATHAWAY INC CL B	Purchased	9/11/2008	12/10/2008
16	BHP BILLITON LTD SPONS	Purchased	9/11/2008	12/10/2008
17	BROOKFIELD ASSET MGMT INC	Purchased	9/11/2008	12/10/2008
18	BURLINGTON NORTHERN SANTA FE	Purchased	9/11/2008	12/10/2008
19	CARNIVAL CORP	Purchased	9/11/2008	12/10/2008
20	CHINA LIFE INSURANCE CO	Purchased	9/11/2008	12/10/2008
21	CHINA UNICOM HONG KONG LTD	Purchased	9/11/2008	12/10/2008
22	CME GROUP INC	Purchased	9/11/2008	12/10/2008
23	CNOOC LTD SPONS ADR	Purchased	9/11/2008	12/10/2008
24	DEUTSCHE BOERSE AG,	Purchased	9/11/2008	12/10/2008
25	EL PASO CORP	Purchased	9/11/2008	12/10/2008
26	ENCANA CORP-CAD	Purchased	9/11/2008	12/10/2008
27	GAZPROM OAO SPONS ADR	Purchased	9/11/2008	12/10/2008
28	HENDERSON LAND DEVELOPMENT	Purchased	10/23/2008	12/10/2008
29	HUANENG POWER INTL SP ADR	Purchased	10/16/2008	12/10/2008

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
30	ICICI BANK LTD-SPONS ADR-	Purchased	9/11/2008	12/10/2008
31	IMPERIAL OIL LTD NEW	Purchased	9/11/2008	12/10/2008
32	LAS VEGAS SANDS CORP	Purchased	9/11/2008	12/10/2008
33	LEGG MASON INC	Purchased	9/11/2008	12/10/2008
34	LEUCADIA NATIONAL CORP	Purchased	9/11/2008	12/10/2008
35	LONDON STOCK EXCHANGE	Purchased	10/16/2008	12/10/2008
36	MASTERCARD INC	Purchased	9/11/2008	12/10/2008
37	NASDAQ OMX GROUP INC	Purchased	9/11/2008	12/10/2008
38	PHILIP MORRIS INTL INC	Purchased	6/21/2006	12/10/2008
39	RELIANT ENERGY INC	Purchased	9/11/2008	12/10/2008
40	RIO TINTO PLC-GBP	Purchased	9/11/2008	12/10/2008
41	TIME WARNER INC	Purchased	9/11/2008	12/10/2008
42	UNION PACIFIC CORP	Purchased	3/10/2004	12/10/2008
43	UNION PACIFIC CORP	Purchased	9/11/2008	12/10/2008
44	VISA INC COM CL A	Purchased	9/11/2008	12/10/2008
45	WALT DISNEY CO	Purchased	11/21/2008	12/10/2008
46	CLEARWATER PAPER CORP	Purchased	9/09/2008	12/17/2008
47	DOW CHEMICAL CO	Purchased	9/09/2008	12/30/2008
48	NUVEEN QUALITY PFD INCOME FD 2	Purchased	3/06/2008	10/17/2008
49	NUVEEN QUALITY PFD INCOME FD 2	Purchased	3/07/2008	10/17/2008
50	BLACKROCK PREFERRED	Purchased	12/03/2007	10/20/2008
51	CALAMOS CONV & HIGH INCOME FD	Purchased	12/03/2007	10/20/2008
52	BLACKROCK PREFERRED	Purchased	12/03/2007	10/21/2008
53	BLACKROCK PREFERRED	Purchased	12/03/2007	10/23/2008
54	PIMCO CORPORATE OPPORTUNITY FD	Purchased	12/05/2007	11/07/2008
55	BLACKROCK PREFERRED	Purchased	12/03/2007	12/08/2008
56	CALAMOS CONVERTIBLE	Purchased	12/03/2007	12/11/2008
57	CALAMOS CONVERTIBLE	Purchased	12/03/2007	12/12/2008
58	ABERDEEN ASIA-PACIFIC PRIME	Purchased	12/03/2007	12/17/2008
59	CALAMOS CONVERTIBLE	Purchased	12/03/2007	12/17/2008
60	WESTERN ASSET HIGH INCOME	Purchased	9/05/2008	12/17/2008
61	WESTERN ASSET HIGH INCOME	Purchased	9/04/2008	12/17/2008
62	WESTERN ASSET HIGH INCOME	Purchased	10/01/2008	12/17/2008
63	ABERDEEN ASIA-PACIFIC PRIME	Purchased	12/03/2007	12/18/2008
64	ABERDEEN ASIA-PACIFIC PRIME	Purchased	12/03/2007	12/18/2008
65	BLACKROCK PREFERRED	Purchased	12/03/2007	12/19/2008
66	PIMCO CORPORATE OPPORTUNITY FD	Purchased	12/05/2007	12/19/2008
67	DWS HIGH INCOME TR	Purchased	12/04/2007	12/22/2008
68	ABERDEEN ASIA-PACIFIC PRIME	Purchased	12/03/2007	12/26/2008
69	ABERDEEN ASIA-PACIFIC PRIME	Purchased	12/03/2007	12/26/2008
70	BLACKROCK PREFERRED	Purchased	12/03/2007	12/26/2008
71	BLACKROCK LIMITED DURATION	Purchased	12/03/2007	12/29/2008
72	ADVENT SOFTWARE INC	Purchased	3/06/2006	12/11/2008
73	ANADARKO PETROLEUM CORP	Purchased	6/28/2006	12/11/2008
74	ANADARKO PETROLEUM CORP	Purchased	9/26/2006	12/11/2008
75	ANADARKO PETROLEUM CORP	Purchased	1/04/2007	12/11/2008
76	ANADARKO PETROLEUM CORP	Purchased	12/21/2006	12/11/2008
77	ANADARKO PETROLEUM CORP	Purchased	3/06/2006	12/11/2008
78	AUTODESK INC	Purchased	3/06/2006	12/11/2008
79	AUTODESK INC	Purchased	8/01/2008	12/11/2008
80	BIOGEN IDEC INC	Purchased	3/06/2006	12/11/2008
81	BROADCOM CORP CL A	Purchased	7/12/2006	12/11/2008
82	BROADCOM CORP CL A	Purchased	7/21/2006	12/11/2008
83	CABLEVISION SYSTEMS CORP	Purchased	3/06/2006	12/11/2008
84	CABLEVISION SYSTEMS CORP	Purchased	4/09/2008	12/11/2008
85	COMCAST CORP CL A - SPL	Purchased	3/06/2006	12/11/2008

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
86	COVIDIEN LTD	Purchased	3/06/2006	12/11/2008
87	CREE INC	Purchased	5/28/2008	12/11/2008
88	DOLBY LABORATORIES INC	Purchased	10/24/2008	12/11/2008
89	DOLBY LABORATORIES INC	Purchased	10/16/2008	12/11/2008
90	DOLBY LABORATORIES INC	Purchased	10/27/2008	12/11/2008
91	DOLBY LABORATORIES INC	Purchased	10/23/2008	12/11/2008
92	DOLBY LABORATORIES INC	Purchased	10/28/2008	12/11/2008
93	DOLBY LABORATORIES INC	Purchased	10/29/2008	12/11/2008
94	DOLBY LABORATORIES INC	Purchased	10/30/2008	12/11/2008
95	FLUOR CORP NEW	Purchased	11/19/2008	12/11/2008
96	FLUOR CORP NEW	Purchased	11/20/2008	12/11/2008
97	FOREST LABORATORIES INC	Purchased	12/24/2007	12/11/2008
98	GENZYME CORP	Purchased	4/04/2006	12/11/2008
99	GENZYME CORP	Purchased	5/02/2006	12/11/2008
100	L 3 COMMUNICATIONS HLDGS INC	Purchased	3/06/2006	12/11/2008
101	L 3 COMMUNICATIONS HLDGS INC	Purchased	5/30/2006	12/11/2008
102	LIBERTY GLOBAL INC CLASS A	Purchased	3/06/2006	12/11/2008
103	LIBERTY MEDIA CORP SER A	Purchased	3/06/2006	12/11/2008
104	LIBERTY MEDIA HLDG CORP	Purchased	3/06/2006	12/11/2008
105	LIBERTY MEDIA HLDG CORP	Purchased	3/17/2008	12/11/2008
106	LIBERTY MEDIA HLDG CORP CAP	Purchased	6/24/2008	12/11/2008
107	NATIONAL OILWELL VARCO INC	Purchased	10/24/2008	12/11/2008
108	NATIONAL OILWELL VARCO INC	Purchased	8/12/2008	12/11/2008
109	NATIONAL OILWELL VARCO INC	Purchased	10/27/2008	12/11/2008
110	PALL CORP	Purchased	3/06/2006	12/11/2008
111	SANDISK CORP	Purchased	7/09/2008	12/11/2008
112	SEAGATE TECHNOLOGY	Purchased	12/24/2007	12/11/2008
113	TYCO ELECTRONICS LTD	Purchased	3/06/2006	12/11/2008
114	TYCO INTL LTD	Purchased	3/06/2006	12/11/2008
115	UNITEDHEALTH GROUP INC	Purchased	5/01/2008	12/11/2008
116	UNITEDHEALTH GROUP INC	Purchased	4/29/2008	12/11/2008
117	UNITEDHEALTH GROUP INC	Purchased	4/30/2008	12/11/2008
118	VERTEX PHARMACEUTICALS INC	Purchased	11/04/2008	12/11/2008
119	VERTEX PHARMACEUTICALS INC	Purchased	10/31/2008	12/11/2008
120	VERTEX PHARMACEUTICALS INC	Purchased	11/05/2008	12/11/2008
121	VERTEX PHARMACEUTICALS INC	Purchased	11/03/2008	12/11/2008
122	VERTEX PHARMACEUTICALS INC	Purchased	11/11/2008	12/11/2008
123	VERTEX PHARMACEUTICALS INC	Purchased	11/13/2008	12/11/2008
124	VERTEX PHARMACEUTICALS INC	Purchased	11/12/2008	12/11/2008
125	VERTEX PHARMACEUTICALS INC	Purchased	11/17/2008	12/11/2008
126	WEATHERFORD INTL LTD NEW	Purchased	3/06/2006	12/11/2008
127	GOOGLE INC	Purchased	12/11/2008	12/18/2008
128	EXXON MOBIL CORP	Purchased	12/11/2008	12/22/2008
129	CENTURY CASINOS INC	Purchased	2/13/2008	10/15/2008
130	DIGIRAD CORP	Purchased	2/13/2008	10/15/2008
131	CENTURY CASINOS INC	Purchased	2/13/2008	10/21/2008
132	DIGIRAD CORP	Purchased	2/13/2008	10/21/2008
133	CENTURY CASINOS INC	Purchased	2/13/2008	10/23/2008
134	CENTURY CASINOS INC	Purchased	2/13/2008	11/05/2008
135	DIGIRAD CORP	Purchased	2/13/2008	11/05/2008
136	CENTURY CASINOS INC	Purchased	2/13/2008	11/07/2008
137	DIGIRAD CORP	Purchased	2/13/2008	11/07/2008
138	CENTURY CASINOS INC	Purchased	2/13/2008	11/11/2008
139	DIGIRAD CORP	Purchased	2/13/2008	11/11/2008
140	CENTURY CASINOS INC	Purchased	2/13/2008	11/13/2008
141	CENTURY CASINOS INC	Purchased	2/13/2008	11/18/2008

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

<u>Item</u>	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>
142	CENTURY CASINOS INC	Purchased	2/13/2008	11/19/2008
143	CENTURY CASINOS INC	Purchased	2/13/2008	11/20/2008
144	A.D.A.M. INC	Purchased	3/06/2006	11/26/2008
145	A.D.A.M. INC	Purchased	3/09/2006	11/26/2008
146	ACACIA RESH CORP	Purchased	9/25/2008	11/26/2008
147	ACACIA RESH CORP	Purchased	9/17/2008	11/26/2008
148	ATRION CORP	Purchased	2/13/2008	11/26/2008
149	AXSYS TECHNOLOGIES INC	Purchased	12/10/2007	11/26/2008
150	AZZ INC	Purchased	3/24/2008	11/26/2008
151	BOLT TECHNOLOGY CORP	Purchased	3/20/2008	11/26/2008
152	BOLT TECHNOLOGY CORP	Purchased	3/24/2008	11/26/2008
153	BOLT TECHNOLOGY CORP	Purchased	3/25/2008	11/26/2008
154	CEDAR SHOPPING CENTERS INC NEW	Purchased	3/06/2006	11/26/2008
155	CERAGON NETWORKS LTD	Purchased	8/27/2008	11/26/2008
156	CERAGON NETWORKS LTD	Purchased	8/25/2008	11/26/2008
157	CERAGON NETWORKS LTD	Purchased	9/02/2008	11/26/2008
158	CHASE CORP	Purchased	12/10/2007	11/26/2008
159	CHASE CORP	Purchased	12/11/2007	11/26/2008
160	CHASE CORP	Purchased	2/13/2008	11/26/2008
161	CTS CORP	Purchased	8/26/2008	11/26/2008
162	CTS CORP	Purchased	9/03/2008	11/26/2008
163	DIGIRAD CORP	Purchased	2/13/2008	11/26/2008
164	DXP ENTERPRISES INC (NEW)	Purchased	3/31/2008	11/26/2008
165	DXP ENTERPRISES INC (NEW)	Purchased	4/01/2008	11/26/2008
166	DXP ENTERPRISES INC (NEW)	Purchased	5/05/2008	11/26/2008
167	G WILLI-FOOD INTL LTD	Purchased	6/15/2007	11/26/2008
168	G WILLI-FOOD INTL LTD	Purchased	7/05/2007	11/26/2008
169	G WILLI-FOOD INTL LTD	Purchased	7/11/2007	11/26/2008
170	G WILLI-FOOD INTL LTD	Purchased	7/06/2007	11/26/2008
171	G WILLI-FOOD INTL LTD	Purchased	7/18/2007	11/26/2008
172	GILAT SATELLITE	Purchased	3/25/2008	11/26/2008
173	GILAT SATELLITE	Purchased	3/26/2008	11/26/2008
174	GILAT SATELLITE	Purchased	3/27/2008	11/26/2008
175	HIGHLAND DISTRESSED	Purchased	6/15/2007	11/26/2008
176	HIGHLAND DISTRESSED	Purchased	7/05/2007	11/26/2008
177	HIGHLAND DISTRESSED	Purchased	6/05/2007	11/26/2008
178	HIGHLAND DISTRESSED	Purchased	7/06/2007	11/26/2008
179	HIGHLAND DISTRESSED	Purchased	7/18/2007	11/26/2008
180	HIGHLAND DISTRESSED	Purchased	7/11/2007	11/26/2008
181	HIGHLAND DISTRESSED	Purchased	7/26/2007	11/26/2008
182	ICO INC (NEW)	Purchased	6/13/2007	11/26/2008
183	ICO INC (NEW)	Purchased	7/11/2007	11/26/2008
184	ICO INC (NEW)	Purchased	7/05/2007	11/26/2008
185	ICO INC (NEW)	Purchased	7/06/2007	11/26/2008
186	ICO INC (NEW)	Purchased	7/18/2007	11/26/2008
187	INTERSTATE HOTELS & RESORTS	Purchased	3/16/2006	11/26/2008
188	INVENTURE GROUP INC	Purchased	3/06/2006	11/26/2008
189	K-TRON INTERNATIONAL INC	Purchased	5/31/2006	11/26/2008
190	K-TRON INTERNATIONAL INC	Purchased	2/13/2008	11/26/2008
191	KNOLOGY INC	Purchased	3/29/2006	11/26/2008
192	LAKELAND INDS INC	Purchased	6/03/2008	11/26/2008
193	LAKELAND INDS INC	Purchased	6/30/2008	11/26/2008
194	LAKELAND INDS INC	Purchased	7/02/2008	11/26/2008
195	LANDEC CORPORATION	Purchased	12/10/2007	11/26/2008
196	MEDICAL ACTION INDUSTRIES INC	Purchased	9/17/2008	11/26/2008
197	MET-PRO CORP	Purchased	9/10/2007	11/26/2008

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

<u>Item</u>	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>
198	MET-PRO CORP	Purchased	9/05/2007	11/26/2008
199	MET-PRO CORP	Purchased	12/10/2007	11/26/2008
200	MET-PRO CORP	Purchased	12/11/2007	11/26/2008
201	MET-PRO CORP	Purchased	2/13/2008	11/26/2008
202	PATRIOT CAPITAL FUNDING INC	Purchased	3/15/2006	11/26/2008
203	PSYCHEMEDICS CORP	Purchased	12/10/2007	11/26/2008
204	PSYCHEMEDICS CORP	Purchased	2/13/2008	11/26/2008
205	QUIDEL CORP NEW	Purchased	3/06/2006	11/26/2008
206	RRSAT GLOBAL COMM NETWORK	Purchased	12/10/2007	11/26/2008
207	RRSAT GLOBAL COMM NETWORK	Purchased	12/11/2007	11/26/2008
208	RRSAT GLOBAL COMM NETWORK	Purchased	2/13/2008	11/26/2008
209	SHENGDA TECH INC	Purchased	12/10/2007	11/26/2008
210	SHENGDA TECH INC	Purchased	2/13/2008	11/26/2008
211	SIFCO INDUSTRIES INC	Purchased	9/06/2007	11/26/2008
212	SIFCO INDUSTRIES INC	Purchased	9/10/2007	11/26/2008
213	SIFCO INDUSTRIES INC	Purchased	9/05/2007	11/26/2008
214	SIFCO INDUSTRIES INC	Purchased	2/13/2008	11/26/2008
215	SPECTRUM CONTROL INC	Purchased	12/06/2006	11/26/2008
216	SPECTRUM CONTROL INC	Purchased	12/11/2006	11/26/2008
217	SPECTRUM CONTROL INC	Purchased	12/05/2006	11/26/2008
218	SPECTRUM CONTROL INC	Purchased	12/12/2006	11/26/2008
219	SPECTRUM CONTROL INC	Purchased	2/13/2008	11/26/2008
220	STEALTHGAS INC	Purchased	9/26/2008	11/26/2008
221	STEALTHGAS INC	Purchased	9/30/2008	11/26/2008
222	SUN HYDRAULICS INC	Purchased	10/13/2006	11/26/2008
223	SUN HYDRAULICS INC	Purchased	10/20/2006	11/26/2008
224	SUN HYDRAULICS INC	Purchased	10/23/2006	11/26/2008
225	TELULAR CORPORATION -NEW-	Purchased	12/11/2007	11/26/2008
226	TELULAR CORPORATION -NEW-	Purchased	12/10/2007	11/26/2008
227	TELULAR CORPORATION -NEW-	Purchased	2/13/2008	11/26/2008
228	TWIN DISC INC	Purchased	3/06/2006	11/26/2008
229	WONDER AUTO TECHNOLOGY INC	Purchased	7/11/2007	11/26/2008
230	WONDER AUTO TECHNOLOGY INC	Purchased	6/15/2007	11/26/2008
231	WONDER AUTO TECHNOLOGY INC	Purchased	7/18/2007	11/26/2008
232	WONDER AUTO TECHNOLOGY INC	Purchased	7/05/2007	11/26/2008
233	WONDER AUTO TECHNOLOGY INC	Purchased	7/25/2007	11/26/2008
234	WONDER AUTO TECHNOLOGY INC	Purchased	7/26/2007	11/26/2008
235	WORLD ACCEP CORP DEL	Purchased	12/11/2007	11/26/2008
236	WORLD ACCEP CORP DEL	Purchased	12/10/2007	11/26/2008
237	ANIMAL HEALTH INTL INC	Purchased	9/26/2008	12/10/2008
238	ANIMAL HEALTH INTL INC	Purchased	9/26/2008	12/11/2008
239	ANIMAL HEALTH INTL INC	Purchased	10/22/2008	12/11/2008
240	ANIMAL HEALTH INTL INC	Purchased	10/27/2008	12/12/2008
241	POSCO SPON ADR	Purchased	5/19/2008	10/02/2008
242	STILLWATER MINING CO	Purchased	1/17/2008	10/30/2008
243	STILLWATER MINING CO	Purchased	3/18/2008	10/30/2008
244	STILLWATER MINING CO	Purchased	4/04/2008	10/30/2008
245	POSCO SPON ADR	Purchased	5/19/2008	10/31/2008
246	POSCO SPON ADR	Purchased	5/30/2008	10/31/2008
247	POSCO SPON ADR	Purchased	7/10/2008	10/31/2008
248	TYSON FOODS INC-CL A	Purchased	6/10/2008	11/13/2008
249	TYSON FOODS INC-CL A	Purchased	6/03/2008	11/13/2008
250	APACHE CORP	Purchased	2/09/2007	11/18/2008
251	HORNBECK OFFSHORE SERVICES	Purchased	10/23/2007	11/18/2008
252	HORNBECK OFFSHORE SERVICES	Purchased	9/07/2007	11/18/2008
253	HORNBECK OFFSHORE SERVICES	Purchased	10/20/2008	11/18/2008

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

<u>Item</u>	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>
254	KAISER ALUMINUM CORP	Purchased	6/11/2008	11/18/2008
255	TENARIS S A	Purchased	2/14/2008	11/18/2008
256	KAISER ALUMINUM CORP	Purchased	6/11/2008	11/21/2008
257	LUKOIL OIL SPONS ADR	Purchased	9/23/2008	11/21/2008
258	OCCIDENTAL PETROLEUM CORP-DEL	Purchased	10/04/2007	11/21/2008
259	SOUTHWESTERN ENERGY CO	Purchased	7/25/2007	11/21/2008
260	ULTRA PETROLEUM CORP-CAD	Purchased	9/20/2007	11/21/2008
261	WEATHERFORD INTL LTD NEW	Purchased	12/14/2006	11/21/2008
262	CAMECO CORP	Purchased	7/31/2007	11/24/2008
263	CAMECO CORP	Purchased	12/11/2007	11/24/2008
264	DRIL QUIP INC	Purchased	5/09/2008	11/24/2008
265	PETROLEO BRASILEIRO SA ADR	Purchased	3/07/2006	11/24/2008
266	SOUTHWESTERN ENERGY CO	Purchased	7/25/2007	11/24/2008
267	STILLWATER MINING CO	Purchased	4/04/2008	11/24/2008
268	STILLWATER MINING CO	Purchased	5/30/2008	11/24/2008
269	TENARIS S A	Purchased	2/14/2008	11/24/2008
270	TYSON FOODS INC-CL A	Purchased	6/10/2008	11/24/2008
271	TYSON FOODS INC-CL A	Purchased	6/16/2008	11/24/2008
272	ULTRA PETROLEUM CORP-CAD	Purchased	9/20/2007	11/24/2008
273	TYSON FOODS INC-CL A	Purchased	6/16/2008	11/25/2008
274	ACERGY S.A. ADR	Purchased	1/11/2008	11/26/2008
275	AGRIUM INC USD	Purchased	5/14/2007	11/26/2008
276	AGRIUM INC USD	Purchased	5/16/2007	11/26/2008
277	ALCOA INC	Purchased	12/11/2007	11/26/2008
278	ALCOA INC	Purchased	3/12/2008	11/26/2008
279	ANGLO AMERICAN PLC ADR	Purchased	2/15/2008	11/26/2008
280	APACHE CORP	Purchased	2/09/2007	11/26/2008
281	ARCH COAL INC	Purchased	4/12/2007	11/26/2008
282	BAKER HUGHES INC	Purchased	5/09/2008	11/26/2008
283	BAKER HUGHES INC	Purchased	5/27/2008	11/26/2008
284	BHP BILLITON LTD SPONS	Purchased	3/12/2008	11/26/2008
285	BUCYRUS INTERNATIONAL INC	Purchased	8/07/2008	11/26/2008
286	BUNGE LIMITED	Purchased	1/29/2008	11/26/2008
287	BUNGE LIMITED	Purchased	5/22/2008	11/26/2008
288	CAMECO CORP	Purchased	12/11/2007	11/26/2008
289	CAMECO CORP	Purchased	12/11/2007	11/26/2008
290	CF INDUSTRIES HOLDINGS INC	Purchased	8/08/2007	11/26/2008
291	CF INDUSTRIES HOLDINGS INC	Purchased	5/17/2007	11/26/2008
292	CHESAPEAKE ENERGY CORP	Purchased	10/31/2008	11/26/2008
293	CHICAGO BRIDGE&IRON NV SHS	Purchased	5/31/2007	11/26/2008
294	CLIFFS NATURAL RESOURCES	Purchased	7/03/2008	11/26/2008
295	COMPANHIA VALE DI RIO ADR	Purchased	8/20/2008	11/26/2008
296	CONSOL ENERGY INC	Purchased	3/28/2007	11/26/2008
297	DRIL QUIP INC	Purchased	5/09/2008	11/26/2008
298	FOSTER WHEELER LTD BERMUDA	Purchased	3/28/2007	11/26/2008
299	FOSTER WHEELER LTD BERMUDA	Purchased	5/31/2007	11/26/2008
300	FREEPORT MCMORAN COPPER & GOLD	Purchased	2/01/2008	11/26/2008
301	FREEPORT MCMORAN COPPER & GOLD	Purchased	2/08/2008	11/26/2008
302	GERDAU SA SPONS ADR	Purchased	2/01/2008	11/26/2008
303	GOLDCORP INC NEW	Purchased	11/19/2008	11/26/2008
304	GREAT BASIN GOLD LTD	Purchased	3/03/2008	11/26/2008
305	GREAT BASIN GOLD LTD	Purchased	3/31/2008	11/26/2008
306	IPATH DOW JONES AIG LIVESTOCK	Purchased	6/26/2008	11/26/2008
307	KINROSS GOLD CORP-CAD	Purchased	11/19/2008	11/26/2008
308	MCDERMOTT INTERNATIONAL INC	Purchased	10/04/2007	11/26/2008
309	MONSANTO CO NEW	Purchased	10/30/2008	11/26/2008

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

<u>Item</u>	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>
310	MOSAIC COMPANY	Purchased	7/31/2007	11/26/2008
311	MOSAIC COMPANY	Purchased	11/15/2007	11/26/2008
312	NATIONAL OILWELL VARCO INC	Purchased	12/28/2007	11/26/2008
313	NEWMONT MINING CORP	Purchased	7/24/2008	11/26/2008
314	NEXEN INC	Purchased	6/28/2007	11/26/2008
315	NORSK HYDRO A S SPONS ADR	Purchased	11/07/2007	11/26/2008
316	NUCOR CORP	Purchased	3/14/2007	11/26/2008
317	OCCIDENTAL PETROLEUM CORP-DEL	Purchased	10/04/2007	11/26/2008
318	PEABODY ENERGY CORP	Purchased	1/12/2007	11/26/2008
319	PEABODY ENERGY CORP	Purchased	3/07/2006	11/26/2008
320	PETROLEO BRASILEIRO SA ADR	Purchased	3/07/2006	11/26/2008
321	POTASH CORP SASK INC-	Purchased	4/26/2007	11/26/2008
322	SADIA SA ADR	Purchased	6/17/2008	11/26/2008
323	SCHNITZER STEEL INDS INC CLA A	Purchased	3/05/2008	11/26/2008
324	SIMS METAL MANAGEMENT LTD	Purchased	4/22/2008	11/26/2008
325	SOUTHWESTERN ENERGY CO	Purchased	7/25/2007	11/26/2008
326	STATOILHYDRO ASA SPONS	Purchased	6/30/2008	11/26/2008
327	STILLWATER MINING CO	Purchased	5/30/2008	11/26/2008
328	STILLWATER MINING CO	Purchased	5/30/2008	11/26/2008
329	T-3 ENERGY SVCS INC	Purchased	10/09/2007	11/26/2008
330	T-3 ENERGY SVCS INC	Purchased	10/10/2007	11/26/2008
331	TECK COMINCO LTD CL B SUB	Purchased	2/15/2008	11/26/2008
332	TECK COMINCO LTD CL B SUB	Purchased	2/25/2008	11/26/2008
333	TENARIS S A	Purchased	2/14/2008	11/26/2008
334	TENARIS S A	Purchased	3/05/2008	11/26/2008
335	TERRA INDS INC	Purchased	10/02/2007	11/26/2008
336	TOTAL S.A SPONS ADR	Purchased	6/20/2008	11/26/2008
337	TRANSOCEAN INC	Purchased	12/12/2006	11/26/2008
338	TRANSOCEAN INC	Purchased	4/20/2007	11/26/2008
339	TYSON FOODS INC-CL A	Purchased	6/16/2008	11/26/2008
340	TYSON FOODS INC-CL A	Purchased	6/16/2008	11/26/2008
341	ULTRA PETROLEUM CORP-CAD	Purchased	9/20/2007	11/26/2008
342	UNITED STATES STEEL CORP NEW	Purchased	8/29/2008	11/26/2008
343	VALERO ENERGY CORP-NEW	Purchased	8/29/2008	11/26/2008
344	WEATHERFORD INTL LTD NEW	Purchased	12/28/2007	11/26/2008
345	WEATHERFORD INTL LTD NEW	Purchased	12/14/2006	11/26/2008
346	XTO ENERGY INC	Purchased	10/31/2008	11/26/2008
347	YAMANA GOLD INC-CAD	Purchased	11/09/2007	11/26/2008
348	STATOILHYDRO ASA SPONS	Purchased	6/30/2008	12/03/2008
349	STILLWATER MINING CO	Purchased	5/30/2008	12/08/2008
350	STILLWATER MINING CO	Purchased	6/26/2008	12/08/2008
351	STILLWATER MINING CO	Purchased	6/26/2008	12/10/2008
352	STILLWATER MINING CO	Purchased	6/26/2008	12/10/2008
353	STILLWATER MINING CO	Purchased	7/10/2008	12/10/2008
354	STILLWATER MINING CO	Purchased	9/09/2008	12/10/2008
355	TYSON FOODS INC-CL A	Purchased	6/16/2008	12/10/2008
356	TYSON FOODS INC-CL A	Purchased	10/20/2008	12/10/2008
357	BAKER HUGHES INC	Purchased	5/27/2008	12/11/2008
358	NUCOR CORP	Purchased	3/14/2007	12/12/2008
359	SADIA SA ADR	Purchased	6/17/2008	12/12/2008
360	SADIA SA ADR	Purchased	8/07/2008	12/12/2008
361	BAKER HUGHES INC	Purchased	5/27/2008	12/16/2008
362	BAKER HUGHES INC	Purchased	8/29/2008	12/16/2008
363	SOUTHWESTERN ENERGY CO	Purchased	7/25/2007	12/16/2008
364	NUCOR CORP	Purchased	3/14/2007	12/31/2008
365	NUCOR CORP	Purchased	2/19/2008	12/31/2008

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

<u>Item</u>	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>
366	VIRTUS ALTERNATIVES	Purchased	9/10/2008	10/23/2008
367	PIMCO ALL ASSET ALL AUTHORITY	Purchased	9/10/2008	11/26/2008
368	PIMCO ALL ASSET ALL AUTHORITY	Purchased	9/10/2008	11/26/2008
369	SPDR DB INTL GOVT INFLATION	Purchased	9/11/2008	10/02/2008
370	SPDR DB INTL GOVT INFLATION	Purchased	9/11/2008	10/09/2008
371	PIMCO DEVELOPING LOCAL MKT FD	Purchased	9/11/2008	10/21/2008
372	CITIGROUP ABINGDON FUTURES	Purchased	10/01/2008	12/31/2008
373	CITIGROUP ORION FUTURES FUND	Purchased	10/01/2008	12/31/2008
374	ARTIO INTERNATIONAL EQUITY	Purchased	9/11/2008	11/26/2008
375	HARBOR INTL FUND	Purchased	9/11/2008	11/26/2008
376	IVY ASSET STRATEGY FUND	Purchased	9/11/2008	11/26/2008
377	IVY ASSET STRATEGY FUND	Purchased	9/11/2008	12/01/2008
378	ABERDEEN ASIA-PACIFIC PRIME	Purchased	1/25/2008	8/28/2009
379	ABERDEEN ASIA-PACIFIC PRIME	Purchased	3/03/2008	8/28/2009
380	ABERDEEN ASIA-PACIFIC PRIME	Purchased	2/20/2008	8/28/2009
381	ABERDEEN ASIA-PACIFIC PRIME	Purchased	1/16/2008	8/28/2009
382	ABERDEEN ASIA-PACIFIC PRIME	Purchased	4/10/2008	8/28/2009
383	ABERDEEN ASIA-PACIFIC PRIME	Purchased	12/03/2007	8/28/2009
384	ABERDEEN ASIA-PACIFIC PRIME	Purchased	1/24/2008	8/28/2009
385	ABERDEEN ASIA-PACIFIC PRIME	Purchased	1/29/2008	8/28/2009
386	ABERDEEN ASIA-PACIFIC PRIME	Purchased	2/25/2008	8/28/2009
387	ABERDEEN ASIA-PACIFIC PRIME	Purchased	3/05/2008	8/28/2009
388	ABERDEEN ASIA-PACIFIC PRIME	Purchased	1/07/2008	8/28/2009
389	ABERDEEN ASIA-PACIFIC PRIME	Purchased	1/17/2008	8/28/2009
390	ABERDEEN ASIA-PACIFIC PRIME	Purchased	1/24/2008	8/28/2009
391	ABERDEEN ASIA-PACIFIC PRIME	Purchased	1/28/2008	8/28/2009
392	ABERDEEN ASIA-PACIFIC PRIME	Purchased	1/30/2008	8/28/2009
393	ABERDEEN ASIA-PACIFIC PRIME	Purchased	2/21/2008	8/28/2009
394	ABERDEEN ASIA-PACIFIC PRIME	Purchased	12/28/2007	8/28/2009
395	ABERDEEN ASIA-PACIFIC PRIME	Purchased	2/26/2008	8/28/2009
396	ABERDEEN ASIA-PACIFIC PRIME	Purchased	12/31/2007	8/28/2009
397	ABERDEEN ASIA-PACIFIC PRIME	Purchased	3/04/2008	8/28/2009
398	ABERDEEN ASIA-PACIFIC PRIME	Purchased	1/02/2008	8/28/2009
399	ABERDEEN ASIA-PACIFIC PRIME	Purchased	3/11/2008	8/28/2009
400	ABERDEEN ASIA-PACIFIC PRIME	Purchased	1/03/2008	8/28/2009
401	ABERDEEN ASIA-PACIFIC PRIME	Purchased	1/04/2008	8/28/2009
402	ABERDEEN ASIA-PACIFIC PRIME	Purchased	4/11/2008	8/28/2009
403	ABERDEEN ASIA-PACIFIC PRIME	Purchased	4/22/2008	8/28/2009
404	ABERDEEN ASIA-PACIFIC PRIME	Purchased	4/14/2008	8/28/2009
405	ABERDEEN ASIA-PACIFIC PRIME	Purchased	4/21/2008	8/28/2009
406	ABERDEEN ASIA-PACIFIC PRIME	Purchased	4/30/2008	8/28/2009
407	ABERDEEN ASIA-PACIFIC PRIME	Purchased	5/06/2008	8/28/2009
408	ADOBE SYSTEMS INC (DE)	Purchased	3/20/2009	6/24/2009
409	ADOBE SYSTEMS INC (DE)	Purchased	2/23/2009	6/24/2009
410	ADOBE SYSTEMS INC (DE)	Purchased	5/06/2009	6/24/2009
411	AGL RESOURCES INC	Purchased	12/10/2008	6/24/2009
412	ALCOA INC	Purchased	2/05/2009	6/24/2009
413	ALCON INC	Purchased	1/13/2009	6/24/2009
414	ALCON INC	Purchased	1/23/2009	6/24/2009
415	ALCON INC	Purchased	2/11/2009	6/24/2009
416	ALLIANCEBERNSTEIN INCOME	Purchased	10/15/2007	8/28/2009
417	ALLIANCEBERNSTEIN INCOME	Purchased	9/28/2007	8/28/2009
418	ALLIANCEBERNSTEIN INCOME	Purchased	10/12/2007	8/28/2009
419	ALLIANCEBERNSTEIN INCOME	Purchased	10/18/2007	8/28/2009
420	ALLIANCEBERNSTEIN INCOME	Purchased	9/30/2008	8/28/2009
421	ALLIANCEBERNSTEIN INCOME	Purchased	10/19/2007	8/28/2009

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

<u>Item</u>	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>
422	ALLIANCEBERNSTEIN INCOME	Purchased	10/31/2008	8/28/2009
423	ALLIANCEBERNSTEIN INCOME	Purchased	3/18/2008	8/28/2009
424	ALLIANCEBERNSTEIN INCOME	Purchased	10/16/2008	8/28/2009
425	ALLIANCEBERNSTEIN INCOME	Purchased	9/18/2008	8/28/2009
426	ALLIANCEBERNSTEIN INCOME	Purchased	11/05/2008	8/28/2009
427	ALLIANCEBERNSTEIN INCOME	Purchased	9/26/2008	8/28/2009
428	ALLIANCEBERNSTEIN INCOME	Purchased	11/21/2008	8/28/2009
429	ALLIANCEBERNSTEIN INCOME	Purchased	12/03/2008	8/28/2009
430	ALLIANCEBERNSTEIN INCOME	Purchased	3/10/2009	8/28/2009
431	ALLIANCEBERNSTEIN INCOME	Purchased	3/10/2009	8/31/2009
432	ALTRIA GROUP INC	Purchased	2/05/2009	6/23/2009
433	ALTRIA GROUP INC	Purchased	12/10/2008	6/24/2009
434	AMGEN INC	Purchased	12/11/2008	6/24/2009
435	AMGEN INC	Purchased	3/27/2009	6/24/2009
436	AMGEN INC	Purchased	5/13/2009	6/24/2009
437	ANADARKO PETROLEUM CORP	Purchased	1/04/2007	6/24/2009
438	ANADARKO PETROLEUM CORP	Purchased	10/24/2008	6/24/2009
439	ANADARKO PETROLEUM CORP	Purchased	4/02/2009	6/24/2009
440	AOL TIME WARNER INC	Purchased	1/11/2006	6/23/2009
441	ARTIO INTERNATIONAL EQUITY II	Purchased	9/11/2008	8/06/2009
442	AT&T INC	Purchased	12/10/2008	6/24/2009
443	AT&T INC	Purchased	3/03/2009	6/24/2009
444	AT&T INC	Purchased	4/02/2009	6/24/2009
445	BARRICK GOLD CORP CAD	Purchased	12/18/2008	6/24/2009
446	BARRICK GOLD CORP CAD	Purchased	2/18/2009	6/24/2009
447	BARRICK GOLD CORP CAD	Purchased	12/11/2008	6/24/2009
448	BCE INC-CAD	Purchased	1/16/2009	6/24/2009
449	BCE INC-CAD	Purchased	3/03/2009	6/24/2009
450	BEAR STEARNS CO INC-BK/ENTRY	Purchased	1/26/2004	6/24/2009
451	BP PLC SPONS ADR	Purchased	12/10/2008	6/24/2009
452	BRISTOL MYERS SQUIBB CO	Purchased	12/10/2008	6/24/2009
453	CALAMOS CONV & HIGH INCOME FD	Purchased	3/05/2008	8/28/2009
454	CALAMOS CONV & HIGH INCOME FD	Purchased	3/06/2008	8/28/2009
455	CALAMOS CONV & HIGH INCOME FD	Purchased	3/07/2008	8/28/2009
456	CALAMOS CONV & HIGH INCOME FD	Purchased	4/03/2008	8/28/2009
457	CALAMOS CONV & HIGH INCOME FD	Purchased	10/14/2008	8/28/2009
458	CALAMOS CONVERTIBLE	Purchased	12/04/2007	8/28/2009
459	CALAMOS CONVERTIBLE	Purchased	12/03/2007	8/28/2009
460	CALAMOS CONVERTIBLE	Purchased	3/07/2008	8/28/2009
461	CALAMOS CONVERTIBLE	Purchased	8/08/2008	8/28/2009
462	CALAMOS CONVERTIBLE	Purchased	4/03/2008	8/28/2009
463	CALAMOS CONVERTIBLE	Purchased	8/07/2008	8/28/2009
464	CENTURYTEL INC	Purchased	12/10/2008	6/24/2009
465	CHEVRON CORP	Purchased	6/05/2009	6/24/2009
466	CHEVRON CORP	Purchased	3/06/2009	6/24/2009
467	CHEVRON CORP	Purchased	6/15/2009	6/24/2009
468	CIT GROUP INC GLOBAL NOTES	Purchased	4/21/2005	7/13/2009
469	CITIGROUP INC	Purchased	5/19/2008	9/10/2009
470	COCA-COLA CO	Purchased	3/03/2009	6/24/2009
471	COCA-COLA CO	Purchased	12/16/2008	6/24/2009
472	COCA-COLA CO	Purchased	1/08/2009	6/24/2009
473	COLGATE PALMOLIVE CO	Purchased	4/07/2009	6/24/2009
474	COLGATE PALMOLIVE CO	Purchased	5/01/2009	6/24/2009
475	CONAGRA FOODS INC	Purchased	12/10/2008	6/24/2009
476	CONOCOPHILLIPS	Purchased	2/04/2009	6/24/2009
477	CURRENCYSHARES AUSTRALIAN DLR	Purchased	5/04/2009	8/28/2009

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

<u>Item</u>	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>
478	CURRENCYSHARES AUSTRALIAN DLR	Purchased	5/06/2009	8/28/2009
479	CURRENCYSHARES AUSTRALIAN DLR	Purchased	5/19/2009	8/28/2009
480	CURRENCYSHARES CDN DLR TR	Purchased	5/04/2009	8/28/2009
481	CURRENCYSHARES CDN DLR TR	Purchased	5/06/2009	8/28/2009
482	CURRENCYSHARES CDN DLR TR	Purchased	5/19/2009	8/28/2009
483	CVS CAREMARK CORP	Purchased	12/11/2008	6/24/2009
484	CVS CAREMARK CORP	Purchased	3/27/2009	6/24/2009
485	DELL INC	Purchased	4/28/2009	6/24/2009
486	DEUTSCHE TELEKOM AG SP ADR	Purchased	12/10/2008	6/24/2009
487	DIAGEO PLC SPON ADR-NEW	Purchased	2/04/2009	6/24/2009
488	DOMINION RESOURCES INC VA NEW	Purchased	2/04/2009	6/24/2009
489	DUKE ENERGY CORP	Purchased	12/10/2008	6/24/2009
490	EATON VANCE FLOATING RATE	Purchased	6/22/2009	8/28/2009
491	EATON VANCE FLOATING RATE	Purchased	7/21/2009	8/28/2009
492	EATON VANCE FLOATING RATE	Purchased	6/19/2009	8/28/2009
493	EATON VANCE FLOATING RATE	Purchased	8/13/2009	8/28/2009
494	EATON VANCE FLOATING RATE	Purchased	8/13/2009	8/28/2009
495	EATON VANCE LTD DURATION	Purchased	3/12/2008	8/28/2009
496	EATON VANCE LTD DURATION	Purchased	12/03/2007	8/28/2009
497	EATON VANCE LTD DURATION	Purchased	3/27/2008	8/28/2009
498	EATON VANCE LTD DURATION	Purchased	3/28/2008	8/28/2009
499	EATON VANCE LTD DURATION	Purchased	8/12/2008	8/28/2009
500	EATON VANCE LTD DURATION	Purchased	8/13/2008	8/28/2009
501	EATON VANCE SR INCOME TR SBI	Purchased	7/17/2009	8/28/2009
502	EATON VANCE SR INCOME TR SBI	Purchased	8/05/2009	8/28/2009
503	EATON VANCE SR INCOME TR SBI	Purchased	8/10/2009	8/28/2009
504	EATON VANCE SR INCOME TR SBI	Purchased	8/06/2009	8/28/2009
505	EATON VANCE SR INCOME TR SBI	Purchased	8/11/2009	8/28/2009
506	EATON VANCE SR INCOME TR SBI	Purchased	8/07/2009	8/28/2009
507	EATON VANCE SR INCOME TR SBI	Purchased	8/12/2009	8/28/2009
508	EATON VANCE SR INCOME TR SBI	Purchased	8/13/2009	8/28/2009
509	EATON VANCE SR INCOME TR SBI	Purchased	8/13/2009	8/28/2009
510	ELI LILLY & CO	Purchased	12/10/2008	6/24/2009
511	ELI LILLY & CO	Purchased	2/04/2009	6/24/2009
512	EMC CORP-MASS	Purchased	12/11/2008	6/24/2009
513	EMC CORP-MASS	Purchased	5/20/2009	6/24/2009
514	ENI SPA SPONSORED ADR	Purchased	12/10/2008	6/24/2009
515	ESTEE LAUDER COS INC CL A	Purchased	12/11/2008	6/24/2009
516	EVERGREEN MULTI-SECTOR	Purchased	2/05/2008	8/28/2009
517	EVERGREEN MULTI-SECTOR	Purchased	2/06/2008	8/28/2009
518	EVERGREEN MULTI-SECTOR	Purchased	9/25/2008	8/28/2009
519	EVERGREEN MULTI-SECTOR	Purchased	4/16/2008	8/28/2009
520	EVERGREEN MULTI-SECTOR	Purchased	5/06/2008	8/28/2009
521	EVERGREEN MULTI-SECTOR	Purchased	3/28/2008	8/28/2009
522	EVERGREEN MULTI-SECTOR	Purchased	11/02/2007	8/28/2009
523	EVERGREEN MULTI-SECTOR	Purchased	4/17/2008	8/28/2009
524	EVERGREEN MULTI-SECTOR	Purchased	1/29/2008	8/28/2009
525	EVERGREEN MULTI-SECTOR	Purchased	5/23/2008	8/28/2009
526	EVERGREEN MULTI-SECTOR	Purchased	1/30/2008	8/28/2009
527	EVERGREEN MULTI-SECTOR	Purchased	10/01/2008	8/28/2009
528	EVERGREEN MULTI-SECTOR	Purchased	1/31/2008	8/28/2009
529	EVERGREEN MULTI-SECTOR	Purchased	10/02/2008	8/28/2009
530	EXELON CORP	Purchased	12/11/2008	6/23/2009
531	FEDERATED STRATEGIC VALUE FUND	Purchased	6/24/2009	8/06/2009
532	FRANCE TELECOM SA SPON ADR	Purchased	12/10/2008	6/24/2009
533	GENERAL ELECTRIC CO	Purchased	2/09/2009	6/24/2009

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

<u>Item</u>	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>
534	GENERAL ELECTRIC CO	Purchased	12/11/2008	6/24/2009
535	GENERAL ELECTRIC CO	Purchased	2/18/2009	6/24/2009
536	GENERAL ELECTRIC CO	Purchased	3/10/2009	6/24/2009
537	GLAXOSMITHKLINE PLC SP ADR	Purchased	12/10/2008	6/24/2009
538	GOLDMAN SACHS GROUP INC	Purchased	12/11/2008	6/24/2009
539	GTE CALIF INC DEBS-BK/ENTRY	Purchased	6/17/2004	9/01/2009
540	GTE NORTHWEST INC	Purchased	11/22/2004	6/24/2009
541	H J HEINZ CO	Purchased	12/10/2008	6/24/2009
542	H J HEINZ CO	Purchased	3/05/2009	6/24/2009
543	HALLIBURTON CO HOLDINGS CO	Purchased	12/11/2008	6/24/2009
544	HARBOR INTL FUND	Purchased	9/11/2008	8/06/2009
545	HELIOS ADVANTAGE INCOME FUND	Purchased	6/17/2008	8/28/2009
546	HELIOS ADVANTAGE INCOME FUND	Purchased	3/25/2009	8/28/2009
547	HELIOS ADVANTAGE INCOME FUND	Purchased	7/09/2008	8/28/2009
548	HELIOS ADVANTAGE INCOME FUND	Purchased	5/22/2008	8/28/2009
549	HELIOS ADVANTAGE INCOME FUND	Purchased	2/12/2008	8/28/2009
550	HELIOS ADVANTAGE INCOME FUND	Purchased	12/03/2007	8/28/2009
551	HELIOS ADVANTAGE INCOME FUND	Purchased	6/16/2008	8/28/2009
552	HELIOS ADVANTAGE INCOME FUND	Purchased	12/17/2007	8/28/2009
553	HELIOS ADVANTAGE INCOME FUND	Purchased	6/18/2008	8/28/2009
554	HELIOS ADVANTAGE INCOME FUND	Purchased	12/18/2007	8/28/2009
555	HELIOS ADVANTAGE INCOME FUND	Purchased	7/10/2008	8/28/2009
556	HELIOS ADVANTAGE INCOME FUND	Purchased	1/11/2008	8/28/2009
557	HELIOS ADVANTAGE INCOME FUND	Purchased	3/25/2009	8/28/2009
558	HELIOS ADVANTAGE INCOME FUND	Purchased	1/14/2008	8/28/2009
559	HELIOS ADVANTAGE INCOME FUND	Purchased	5/04/2009	8/28/2009
560	HELIOS ADVANTAGE INCOME FUND	Purchased	7/09/2009	8/28/2009
561	HELIOS ADVANTAGE INCOME FUND	Purchased	5/13/2009	8/28/2009
562	HELIOS ADVANTAGE INCOME FUND	Purchased	7/13/2009	8/28/2009
563	HELIOS ADVANTAGE INCOME FUND	Purchased	5/14/2009	8/28/2009
564	HELIOS ADVANTAGE INCOME FUND	Purchased	7/10/2009	8/28/2009
565	HELIOS ADVANTAGE INCOME FUND	Purchased	6/05/2009	8/28/2009
566	HELIOS ADVANTAGE INCOME FUND	Purchased	7/30/2009	8/28/2009
567	HELIOS ADVANTAGE INCOME FUND	Purchased	6/24/2009	8/28/2009
568	HELIOS ADVANTAGE INCOME FUND	Purchased	7/31/2009	8/28/2009
569	HELIOS ADVANTAGE INCOME FUND	Purchased	8/04/2009	8/28/2009
570	HELIOS STRATEGIC INCOME FUND	Purchased	1/29/2009	8/28/2009
571	HELIOS STRATEGIC INCOME FUND	Purchased	1/23/2009	8/28/2009
572	HELIOS STRATEGIC INCOME FUND	Purchased	12/03/2007	8/28/2009
573	HELIOS STRATEGIC INCOME FUND	Purchased	1/14/2009	8/28/2009
574	HELIOS STRATEGIC INCOME FUND	Purchased	12/17/2007	8/28/2009
575	HELIOS STRATEGIC INCOME FUND	Purchased	1/28/2009	8/28/2009
576	HELIOS STRATEGIC INCOME FUND	Purchased	2/13/2008	8/28/2009
577	HELIOS STRATEGIC INCOME FUND	Purchased	7/16/2008	8/28/2009
578	HELIOS STRATEGIC INCOME FUND	Purchased	1/30/2009	8/28/2009
579	HELIOS STRATEGIC INCOME FUND	Purchased	2/11/2009	8/28/2009
580	HELIOS STRATEGIC INCOME FUND	Purchased	2/09/2009	8/28/2009
581	HELIOS STRATEGIC INCOME FUND	Purchased	2/10/2009	8/28/2009
582	HELIOS STRATEGIC INCOME FUND	Purchased	2/12/2009	8/28/2009
583	HESS CORP	Purchased	4/07/2009	6/24/2009
584	HESS CORP	Purchased	4/22/2009	6/24/2009
585	HIGHLAND CREDIT STRATEGIES	Purchased	7/01/2009	8/28/2009
586	HIGHLAND CREDIT STRATEGIES	Purchased	6/30/2009	8/28/2009
587	HIGHLAND CREDIT STRATEGIES	Purchased	7/02/2009	8/28/2009
588	HIGHLAND CREDIT STRATEGIES	Purchased	7/07/2009	8/28/2009
589	HIGHLAND CREDIT STRATEGIES	Purchased	7/08/2009	8/28/2009

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

<u>Item</u>	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>
590	HIGHLAND CREDIT STRATEGIES	Purchased	7/21/2009	8/28/2009
591	HOME DEPOT INC	Purchased	5/21/2008	6/23/2009
592	ILLINOIS TOOL WORKS INC	Purchased	3/10/2009	6/24/2009
593	ING PRIME RATE TRUST SBI	Purchased	6/05/2009	8/28/2009
594	ING PRIME RATE TRUST SBI	Purchased	6/11/2009	8/28/2009
595	ING PRIME RATE TRUST SBI	Purchased	4/29/2009	8/28/2009
596	ING PRIME RATE TRUST SBI	Purchased	8/11/2009	8/28/2009
597	ING PRIME RATE TRUST SBI	Purchased	8/07/2008	8/28/2009
598	ING PRIME RATE TRUST SBI	Purchased	6/06/2008	8/28/2009
599	ING PRIME RATE TRUST SBI	Purchased	6/02/2009	8/28/2009
600	ING PRIME RATE TRUST SBI	Purchased	6/24/2008	8/28/2009
601	ING PRIME RATE TRUST SBI	Purchased	8/05/2008	8/28/2009
602	ING PRIME RATE TRUST SBI	Purchased	6/25/2008	8/28/2009
603	ING PRIME RATE TRUST SBI	Purchased	8/12/2008	8/28/2009
604	ING PRIME RATE TRUST SBI	Purchased	6/26/2008	8/28/2009
605	ING PRIME RATE TRUST SBI	Purchased	5/27/2009	8/28/2009
606	ING PRIME RATE TRUST SBI	Purchased	6/27/2008	8/28/2009
607	ING PRIME RATE TRUST SBI	Purchased	6/03/2009	8/28/2009
608	ING PRIME RATE TRUST SBI	Purchased	7/14/2008	8/28/2009
609	ING PRIME RATE TRUST SBI	Purchased	7/16/2008	8/28/2009
610	ING PRIME RATE TRUST SBI	Purchased	6/30/2009	8/28/2009
611	ING PRIME RATE TRUST SBI	Purchased	6/04/2008	8/28/2009
612	ING PRIME RATE TRUST SBI	Purchased	8/12/2009	8/28/2009
613	ING PRIME RATE TRUST SBI	Purchased	6/05/2008	8/28/2009
614	INSHARES TR FTSE NAREIT MTG	Purchased	3/18/2009	8/28/2009
615	INSHARES TR FTSE NAREIT MTG	Purchased	4/07/2009	8/28/2009
616	INSHARES TR FTSE NAREIT MTG	Purchased	3/19/2009	8/28/2009
617	INSHARES TR FTSE NAREIT MTG	Purchased	7/16/2009	8/28/2009
618	INSHARES TR FTSE NAREIT MTG	Purchased	4/01/2009	8/28/2009
619	INSHARES TR FTSE NAREIT MTG	Purchased	4/17/2009	8/28/2009
620	INSHARES TR FTSE NAREIT MTG	Purchased	4/03/2009	8/28/2009
621	INSHARES TR FTSE NAREIT MTG	Purchased	7/28/2009	8/28/2009
622	INSHARES TR FTSE NAREIT MTG	Purchased	4/06/2009	8/28/2009
623	INSHARES TR FTSE NAREIT MTG	Purchased	7/29/2009	8/28/2009
624	INSHARES TR FTSE NAREIT MTG	Purchased	7/31/2009	8/28/2009
625	INTEL CORP	Purchased	12/11/2008	6/24/2009
626	INVESCO LTD	Purchased	12/11/2008	6/24/2009
627	ISHARES BARCLAYS MBS BOND FD	Purchased	4/01/2009	8/28/2009
628	ISHARES BARCLAYS MBS BOND FD	Purchased	4/02/2009	8/28/2009
629	ISHARES BARCLAYS MBS BOND FD	Purchased	3/18/2009	8/28/2009
630	ISHARES BARCLAYS MBS BOND FD	Purchased	5/08/2009	8/28/2009
631	ISHARES BARCLAYS TREAS	Purchased	1/29/2009	8/28/2009
632	ISHARES BARCLAYS TREAS	Purchased	4/07/2009	8/28/2009
633	ISHARES RUSSELL 2000 INDEX FD	Purchased	6/24/2009	8/06/2009
634	ISHARES TR RUSSELL MIDCAP	Purchased	6/24/2009	8/06/2009
635	JOHNSON & JOHNSON	Purchased	12/11/2008	6/24/2009
636	JOHNSON & JOHNSON	Purchased	12/22/2008	6/24/2009
637	JOHNSON & JOHNSON	Purchased	1/16/2009	6/24/2009
638	JOHNSON & JOHNSON	Purchased	2/04/2009	6/24/2009
639	JUNIPER NETWORKS INC	Purchased	4/07/2009	6/24/2009
640	KIMBERLY CLARK CORP	Purchased	12/10/2008	6/24/2009
641	KRAFT FOODS INC CLASS A	Purchased	12/10/2008	6/24/2009
642	LOOMIS SAYLES BOND FUND INSTL	Purchased	6/24/2009	8/06/2009
643	LOWES COMPANIES INC	Purchased	12/11/2008	6/24/2009
644	LOWES COMPANIES INC	Purchased	2/09/2009	6/24/2009
645	MCDONALDS CORP	Purchased	12/11/2008	6/24/2009

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
646	MCDONALDS CORP	Purchased	3/06/2009	6/24/2009
647	MERCK & CO INC	Purchased	12/11/2008	6/24/2009
648	MERCK & CO INC	Purchased	2/05/2009	6/24/2009
649	MERCK & CO INC	Purchased	4/17/2009	6/24/2009
650	MERCK & CO INC	Purchased	6/05/2009	6/24/2009
651	MICROSOFT CORP	Purchased	12/11/2008	6/24/2009
652	MICROSOFT CORP	Purchased	1/05/2009	6/24/2009
653	MICROSOFT CORP	Purchased	1/23/2009	6/24/2009
654	MONSANTO CO NEW	Purchased	6/17/2009	6/24/2009
655	MORGAN STANLEY	Purchased	11/15/2007	8/28/2009
656	MORGAN STANLEY EMERGING MKTS	Purchased	3/30/2009	8/28/2009
657	MORGAN STANLEY EMERGING MKTS	Purchased	2/18/2009	8/28/2009
658	MORGAN STANLEY EMERGING MKTS	Purchased	8/05/2008	8/28/2009
659	MORGAN STANLEY EMERGING MKTS	Purchased	1/07/2009	8/28/2009
660	MORGAN STANLEY EMERGING MKTS	Purchased	8/06/2008	8/28/2009
661	MORGAN STANLEY EMERGING MKTS	Purchased	3/24/2009	8/28/2009
662	MORGAN STANLEY EMERGING MKTS	Purchased	8/07/2008	8/28/2009
663	MORGAN STANLEY EMERGING MKTS	Purchased	1/05/2009	8/28/2009
664	MORGAN STANLEY EMERGING MKTS	Purchased	8/28/2008	8/28/2009
665	MORGAN STANLEY EMERGING MKTS	Purchased	1/26/2009	8/28/2009
666	MORGAN STANLEY EMERGING MKTS	Purchased	9/02/2008	8/28/2009
667	MORGAN STANLEY EMERGING MKTS	Purchased	3/23/2009	8/28/2009
668	MORGAN STANLEY EMERGING MKTS	Purchased	9/03/2008	8/28/2009
669	MORGAN STANLEY EMERGING MKTS	Purchased	3/27/2009	8/28/2009
670	MORGAN STANLEY EMERGING MKTS	Purchased	9/09/2008	8/28/2009
671	MORGAN STANLEY EMERGING MKTS	Purchased	3/31/2009	8/28/2009
672	MORGAN STANLEY EMERGING MKTS	Purchased	12/22/2008	8/28/2009
673	MORGAN STANLEY EMERGING MKTS	Purchased	4/01/2009	8/28/2009
674	MORGAN STANLEY EMERGING MKTS	Purchased	4/03/2009	8/28/2009
675	MORGAN STANLEY EMERGING MKTS	Purchased	4/06/2009	8/28/2009
676	NEWMONT MINING CORP	Purchased	1/14/2009	6/24/2009
677	NEWMONT MINING CORP	Purchased	2/03/2009	6/24/2009
678	NEWS CORP CLASS A NEW	Purchased	12/11/2008	6/24/2009
679	NICHOLAS-APPLEGATE CONV & INCM	Purchased	10/23/2008	8/28/2009
680	NICHOLAS-APPLEGATE CONV & INCM	Purchased	11/07/2008	8/28/2009
681	NICHOLAS-APPLEGATE CONV & INCM	Purchased	10/21/2008	8/28/2009
682	NICHOLAS-APPLEGATE CONV & INCM	Purchased	12/10/2008	8/28/2009
683	NICHOLAS-APPLEGATE CONV & INCM	Purchased	12/04/2007	8/28/2009
684	NICHOLAS-APPLEGATE EQUITY &	Purchased	4/17/2009	8/28/2009
685	NICHOLAS-APPLEGATE EQUITY &	Purchased	4/30/2009	8/28/2009
686	NICHOLAS-APPLEGATE EQUITY &	Purchased	4/23/2009	8/28/2009
687	NICHOLAS-APPLEGATE EQUITY &	Purchased	5/01/2009	8/28/2009
688	NICHOLAS-APPLEGATE EQUITY &	Purchased	4/23/2009	8/28/2009
689	NICHOLAS-APPLEGATE EQUITY &	Purchased	5/05/2009	8/28/2009
690	NICHOLAS-APPLEGATE EQUITY &	Purchased	5/06/2009	8/28/2009
691	NICHOLAS-APPLEGATE EQUITY &	Purchased	5/07/2009	8/28/2009
692	NICHOLAS-APPLEGATE EQUITY &	Purchased	5/27/2009	8/28/2009
693	NORTHERN TRUST CORP	Purchased	5/01/2009	6/24/2009
694	NORTHERN TRUST CORP	Purchased	3/17/2009	6/24/2009
695	NORTHERN TRUST CORP	Purchased	6/03/2009	6/24/2009
696	NORTHERN TRUST CORP	Purchased	4/22/2009	6/24/2009
697	NUCOR CORP	Purchased	2/26/2009	6/24/2009
698	NUCOR CORP	Purchased	3/17/2009	6/24/2009
699	NUCOR CORP	Purchased	3/20/2009	6/24/2009
700	NUCOR CORP	Purchased	5/22/2009	6/24/2009
701	NUVEEN MULTI-STRATEGY INCOME	Purchased	12/29/2008	8/28/2009

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
702	NUVEEN MULTI-STRATEGY INCOME	Purchased	3/19/2009	8/28/2009
703	NUVEEN MULTI-STRATEGY INCOME	Purchased	9/03/2008	8/28/2009
704	NUVEEN MULTI-STRATEGY INCOME	Purchased	3/04/2008	8/28/2009
705	NUVEEN MULTI-STRATEGY INCOME	Purchased	2/04/2009	8/28/2009
706	NUVEEN MULTI-STRATEGY INCOME	Purchased	3/06/2008	8/28/2009
707	NUVEEN MULTI-STRATEGY INCOME	Purchased	8/20/2008	8/28/2009
708	NUVEEN MULTI-STRATEGY INCOME	Purchased	3/13/2008	8/28/2009
709	NUVEEN MULTI-STRATEGY INCOME	Purchased	9/04/2008	8/28/2009
710	NUVEEN MULTI-STRATEGY INCOME	Purchased	5/20/2008	8/28/2009
711	NUVEEN MULTI-STRATEGY INCOME	Purchased	12/30/2008	8/28/2009
712	NUVEEN MULTI-STRATEGY INCOME	Purchased	5/21/2008	8/28/2009
713	NUVEEN MULTI-STRATEGY INCOME	Purchased	2/18/2009	8/28/2009
714	NUVEEN MULTI-STRATEGY INCOME	Purchased	5/23/2008	8/28/2009
715	NUVEEN MULTI-STRATEGY INCOME	Purchased	3/19/2009	8/28/2009
716	NUVEEN MULTI-STRATEGY INCOME	Purchased	5/27/2008	8/28/2009
717	NUVEEN MULTI-STRATEGY INCOME	Purchased	3/03/2008	8/28/2009
718	NUVEEN MULTI-STRATEGY INCOME	Purchased	4/07/2008	8/28/2009
719	NUVEEN MULTI-STRATEGY INCOME	Purchased	3/04/2008	8/28/2009
720	NUVEEN MULTI-STRATEGY INCOME	Purchased	5/06/2008	8/28/2009
721	NUVEEN MULTI-STRATEGY INCOME	Purchased	3/13/2008	8/28/2009
722	NUVEEN MULTI-STRATEGY INCOME	Purchased	5/05/2008	8/28/2009
723	NUVEEN MULTI-STRATEGY INCOME	Purchased	4/03/2008	8/28/2009
724	NUVEEN MULTI-STRATEGY INCOME	Purchased	8/20/2008	8/28/2009
725	NUVEEN MULTI-STRATEGY INCOME	Purchased	4/04/2008	8/28/2009
726	NUVEEN MULTI-STRATEGY INCOME	Purchased	9/25/2008	8/28/2009
727	NUVEEN MULTI-STRATEGY INCOME	Purchased	2/04/2009	8/28/2009
728	NUVEEN MULTI-STRATEGY INCOME	Purchased	12/29/2008	8/28/2009
729	NUVEEN MULTI-STRATEGY INCOME	Purchased	2/18/2009	8/28/2009
730	NUVEEN MULTI-STRATEGY INCOME	Purchased	1/08/2009	8/28/2009
731	NUVEEN MULTI-STRATEGY INCOME	Purchased	3/18/2009	8/28/2009
732	NUVEEN MULTI-STRATEGY INCOME	Purchased	3/18/2009	8/28/2009
733	NUVEEN MULTI-STRATEGY INCOME	Purchased	3/19/2009	8/28/2009
734	ORACLE CORP	Purchased	12/11/2008	6/24/2009
735	ORACLE CORP	Purchased	1/23/2009	6/24/2009
736	PEPSICO INC	Purchased	12/11/2008	6/24/2009
737	PFIZER INC	Purchased	3/13/2009	6/24/2009
738	PHILIP MORRIS CAPITAL CORP	Purchased	6/23/2003	7/16/2009
739	PHILIP MORRIS INTL INC	Purchased	6/21/2006	6/24/2009
740	PHILIP MORRIS INTL INC	Purchased	6/22/2006	6/24/2009
741	PHILIP MORRIS INTL INC	Purchased	9/11/2008	6/24/2009
742	PIA SHORT TERM SECURITIES FUND	Purchased	6/24/2009	8/06/2009
743	PIMCO CORPORATE OPPORTUNITY FD	Purchased	3/06/2009	8/28/2009
744	PIMCO CORPORATE OPPORTUNITY FD	Purchased	2/26/2009	8/28/2009
745	PIMCO CORPORATE OPPORTUNITY FD	Purchased	3/02/2009	8/28/2009
746	PIMCO CORPORATE OPPORTUNITY FD	Purchased	2/27/2009	8/28/2009
747	PIMCO CORPORATE OPPORTUNITY FD	Purchased	3/03/2009	8/28/2009
748	PIMCO CORPORATE OPPORTUNITY FD	Purchased	3/09/2009	8/28/2009
749	PIMCO FDS - ALL ASSET ALL AUTH	Purchased	9/10/2008	6/24/2009
750	PIMCO FDS - ALL ASSET ALL AUTH	Purchased	9/10/2008	8/06/2009
751	PNC FINANCIAL SERVICES GROUP	Purchased	3/13/2009	6/24/2009
752	POWERSHARES DB G10 CURRENCY	Purchased	5/20/2009	8/28/2009
753	POWERSHARES DB G10 CURRENCY	Purchased	5/19/2009	8/28/2009
754	POWERSHARES DB G10 CURRENCY	Purchased	7/24/2009	8/28/2009
755	POWERSHARES DB G10 CURRENCY	Purchased	5/19/2009	8/28/2009
756	PRINCIPAL PREFERRED SECURITIES	Purchased	6/24/2009	8/06/2009
757	PROCTER & GAMBLE CO	Purchased	12/11/2008	6/24/2009

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
758	PROCTER & GAMBLE CO	Purchased	3/03/2009	6/24/2009
759	PROGRESS ENERGY INC	Purchased	12/10/2008	6/24/2009
760	REYNOLDS AMERICAN INC	Purchased	12/10/2008	6/24/2009
761	ROYAL DUTCH SHELL PLC ADR	Purchased	12/10/2008	6/24/2009
762	SCANA CORP NEW	Purchased	12/10/2008	6/24/2009
763	SCHLUMBERGER LTD	Purchased	12/11/2008	6/24/2009
764	SCHWAB CHARLES CORP	Purchased	12/11/2008	6/24/2009
765	SHERWIN WILLIAMS CO	Purchased	1/28/2009	6/24/2009
766	SHERWIN WILLIAMS CO	Purchased	3/10/2009	6/24/2009
767	SOUTHERN CO	Purchased	12/10/2008	6/24/2009
768	TAIWAN SEMICONDUCTOR MFG	Purchased	12/11/2008	6/24/2009
769	TARGET CORP	Purchased	12/11/2008	6/24/2009
770	TARGET CORP	Purchased	3/05/2009	6/24/2009
771	TEMPLETON GLOBAL INCOME FD	Purchased	10/23/2008	8/28/2009
772	TEMPLETON GLOBAL INCOME FD	Purchased	10/08/2008	8/28/2009
773	TEMPLETON GLOBAL INCOME FD	Purchased	10/02/2008	8/28/2009
774	TEMPLETON GLOBAL INCOME FD	Purchased	7/07/2008	8/28/2009
775	TEMPLETON GLOBAL INCOME FD	Purchased	10/20/2008	8/28/2009
776	TEMPLETON GLOBAL INCOME FD	Purchased	7/23/2008	8/28/2009
777	TEMPLETON GLOBAL INCOME FD	Purchased	10/01/2008	8/28/2009
778	TEMPLETON GLOBAL INCOME FD	Purchased	7/24/2008	8/28/2009
779	TEMPLETON GLOBAL INCOME FD	Purchased	10/03/2008	8/28/2009
780	TEMPLETON GLOBAL INCOME FD	Purchased	7/25/2008	8/28/2009
781	TEMPLETON GLOBAL INCOME FD	Purchased	10/14/2008	8/28/2009
782	TEMPLETON GLOBAL INCOME FD	Purchased	9/24/2008	8/28/2009
783	TEMPLETON GLOBAL INCOME FD	Purchased	10/20/2008	8/28/2009
784	TEMPLETON GLOBAL INCOME FD	Purchased	9/25/2008	8/28/2009
785	TEMPLETON GLOBAL INCOME FD	Purchased	2/24/2009	8/28/2009
786	TEMPLETON GLOBAL INCOME FD	Purchased	9/26/2008	8/28/2009
787	TEMPLETON GLOBAL INCOME FD	Purchased	3/10/2009	8/28/2009
788	TEMPLETON GLOBAL INCOME FD	Purchased	5/08/2009	8/28/2009
789	TEMPLETON GLOBAL INCOME FD	Purchased	3/30/2009	8/28/2009
790	TEMPLETON GLOBAL INCOME FD	Purchased	4/01/2009	8/28/2009
791	TEMPLETON GLOBAL INCOME FD	Purchased	5/13/2009	8/28/2009
792	TEMPLETON GLOBAL INCOME FD	Purchased	5/14/2009	8/28/2009
793	TEVA PHARMACEUTICAL INDS	Purchased	2/09/2009	6/24/2009
794	TEVA PHARMACEUTICAL INDS	Purchased	6/03/2009	6/24/2009
795	TEVA PHARMACEUTICAL INDS	Purchased	2/09/2009	6/24/2009
796	TEVA PHARMACEUTICAL INDS	Purchased	6/17/2009	6/24/2009
797	TOLL BROS INC	Purchased	12/11/2008	6/24/2009
798	TYCO INTL LTD CHF	Purchased	2/11/2009	6/24/2009
799	TYCO INTL LTD CHF	Purchased	3/13/2009	6/24/2009
800	TYCO INTL LTD CHF	Purchased	4/02/2009	6/24/2009
801	UNILEVER PLC SPONS ADR NEW	Purchased	12/10/2008	6/24/2009
802	UNITED PARCEL SERVICE CL B	Purchased	12/11/2008	6/24/2009
803	UNITED PARCEL SERVICE CL B	Purchased	2/23/2009	6/24/2009
804	US BANCORP DEL NEW	Purchased	3/27/2009	6/24/2009
805	US BANCORP DEL NEW	Purchased	12/11/2008	6/24/2009
806	US BANCORP DEL NEW	Purchased	5/13/2009	6/24/2009
807	VAN KAMPEN SENIOR INCOME TR	Purchased	6/03/2008	8/28/2009
808	VAN KAMPEN SENIOR INCOME TR	Purchased	6/04/2008	8/28/2009
809	VAN KAMPEN SENIOR INCOME TR	Purchased	3/05/2009	8/28/2009
810	VAN KAMPEN SENIOR INCOME TR	Purchased	6/04/2008	8/28/2009
811	VAN KAMPEN SENIOR INCOME TR	Purchased	2/10/2009	8/28/2009
812	VAN KAMPEN SENIOR INCOME TR	Purchased	6/05/2008	8/28/2009
813	VAN KAMPEN SENIOR INCOME TR	Purchased	12/17/2008	8/28/2009

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
814	VAN KAMPEN SENIOR INCOME TR	Purchased	6/05/2008	8/28/2009
815	VAN KAMPEN SENIOR INCOME TR	Purchased	2/12/2009	8/28/2009
816	VAN KAMPEN SENIOR INCOME TR	Purchased	6/06/2008	8/28/2009
817	VAN KAMPEN SENIOR INCOME TR	Purchased	7/24/2008	8/28/2009
818	VAN KAMPEN SENIOR INCOME TR	Purchased	6/09/2008	8/28/2009
819	VAN KAMPEN SENIOR INCOME TR	Purchased	1/14/2009	8/28/2009
820	VAN KAMPEN SENIOR INCOME TR	Purchased	6/10/2008	8/28/2009
821	VAN KAMPEN SENIOR INCOME TR	Purchased	2/11/2009	8/28/2009
822	VAN KAMPEN SENIOR INCOME TR	Purchased	6/11/2008	8/28/2009
823	VAN KAMPEN SENIOR INCOME TR	Purchased	3/03/2009	8/28/2009
824	VAN KAMPEN SENIOR INCOME TR	Purchased	6/12/2008	8/28/2009
825	VAN KAMPEN SENIOR INCOME TR	Purchased	3/06/2009	8/28/2009
826	VAN KAMPEN SENIOR INCOME TR	Purchased	6/27/2008	8/28/2009
827	VAN KAMPEN SENIOR INCOME TR	Purchased	3/06/2009	8/28/2009
828	VAN KAMPEN SENIOR INCOME TR	Purchased	6/19/2009	8/28/2009
829	VAN KAMPEN SENIOR INCOME TR	Purchased	6/08/2009	8/28/2009
830	VAN KAMPEN SENIOR INCOME TR	Purchased	6/19/2009	8/28/2009
831	VAN KAMPEN SENIOR INCOME TR	Purchased	6/22/2009	8/28/2009
832	VANGUARD WORLD FD	Purchased	6/24/2009	8/06/2009
833	VERIZON COMMUNICATIONS	Purchased	12/10/2008	6/24/2009
834	VERIZON NEW ENGLAND INC SENIOR	Purchased	9/01/2006	6/23/2009
835	VICTORY DIVERSIFIED STOCK FD	Purchased	6/24/2009	8/06/2009
836	VODAFONE GROUP PLC SPONS	Purchased	12/10/2008	6/24/2009
837	WAL-MART STORES INC	Purchased	2/18/2009	6/24/2009
838	WAL-MART STORES INC	Purchased	12/11/2008	6/24/2009
839	WAL-MART STORES INC	Purchased	2/03/2009	6/24/2009
840	WELLPOINT INC	Purchased	6/17/2009	6/24/2009
841	WINDSTREAM CORP	Purchased	12/10/2008	6/24/2009
842	YAHOO INC	Purchased	12/11/2008	6/24/2009
843	YAHOO INC	Purchased	5/01/2009	6/24/2009
844	YAHOO INC	Purchased	5/06/2009	6/24/2009
845	LEXINGTON REALTY TRUST	Purchased	3/26/2009	6/17/2009
846	CITIGROUP EMERGING CTA	Purchased	10/01/2008	3/31/2009
847	ACERGY S.A. ADR	Purchased	1/11/2008	1/22/2009
848	ACERGY S.A. ADR	Purchased	1/29/2008	1/22/2009
849	ACERGY S.A. ADR	Purchased	2/05/2008	1/22/2009
850	ACERGY S.A. ADR	Purchased	5/22/2008	1/22/2009
851	AGRIUM INC USD	Purchased	8/02/2007	1/22/2009
852	AGRIUM INC USD	Purchased	9/09/2008	1/22/2009
853	AGRIUM INC USD	Purchased	5/16/2007	1/22/2009
854	ALCOA INC	Purchased	12/03/2008	1/22/2009
855	ALCOA INC	Purchased	3/12/2008	1/22/2009
856	ALCOA INC	Purchased	6/09/2008	1/22/2009
857	ANGLO AMERICAN PLC ADR	Purchased	2/15/2008	1/22/2009
858	ANGLO AMERICAN PLC ADR	Purchased	7/01/2008	1/22/2009
859	APACHE CORP	Purchased	2/09/2007	1/22/2009
860	APACHE CORP	Purchased	7/10/2008	1/22/2009
861	APACHE CORP	Purchased	8/21/2008	1/22/2009
862	APACHE CORP	Purchased	10/30/2008	1/22/2009
863	ARCH COAL INC	Purchased	8/29/2008	1/22/2009
864	ARCH COAL INC	Purchased	3/05/2008	1/22/2009
865	ARCH COAL INC	Purchased	7/11/2008	1/22/2009
866	ARCH COAL INC	Purchased	4/12/2007	1/22/2009
867	ARCH COAL INC	Purchased	11/24/2008	1/22/2009
868	ARCH COAL INC	Purchased	2/14/2008	1/22/2009
869	ARCH COAL INC	Purchased	12/12/2008	1/22/2009

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
870	BHP BILLITON LTD SPONS	Purchased	7/01/2008	1/22/2009
871	BHP BILLITON LTD SPONS	Purchased	3/12/2008	1/22/2009
872	BHP BILLITON LTD SPONS	Purchased	4/04/2008	1/22/2009
873	BUCYRUS INTERNATIONAL INC	Purchased	8/07/2008	1/22/2009
874	BUNGE LIMITED	Purchased	5/22/2008	1/22/2009
875	BUNGE LIMITED	Purchased	6/24/2008	1/22/2009
876	BUNGE LIMITED	Purchased	11/25/2008	1/22/2009
877	CAMECO CORP	Purchased	12/11/2007	1/02/2009
878	CAMECO CORP	Purchased	1/17/2008	1/02/2009
879	CAMECO CORP	Purchased	8/21/2008	1/02/2009
880	CAMECO CORP	Purchased	7/15/2008	1/02/2009
881	CAMECO CORP	Purchased	8/29/2008	1/22/2009
882	CAMECO CORP	Purchased	8/21/2008	1/22/2009
883	CAMECO CORP	Purchased	11/18/2008	1/22/2009
884	CENTURY ALUMINUM COMPANY	Purchased	8/23/2006	1/02/2009
885	CENTURY ALUMINUM COMPANY	Purchased	3/12/2008	1/02/2009
886	CF INDUSTRIES HOLDINGS INC	Purchased	8/08/2007	1/22/2009
887	CF INDUSTRIES HOLDINGS INC	Purchased	9/09/2008	1/22/2009
888	CHESAPEAKE ENERGY CORP	Purchased	10/31/2008	1/09/2009
889	CHICAGO BRIDGE&IRON NV SHS	Purchased	3/18/2008	1/22/2009
890	CHICAGO BRIDGE&IRON NV SHS	Purchased	4/04/2008	1/22/2009
891	CHICAGO BRIDGE&IRON NV SHS	Purchased	2/05/2008	1/22/2009
892	CHICAGO BRIDGE&IRON NV SHS	Purchased	10/20/2008	1/22/2009
893	CHICAGO BRIDGE&IRON NV SHS	Purchased	5/31/2007	1/22/2009
894	CLIFFS NATURAL RESOURCES	Purchased	10/01/2008	1/22/2009
895	CLIFFS NATURAL RESOURCES	Purchased	7/03/2008	1/22/2009
896	CLIFFS NATURAL RESOURCES	Purchased	12/03/2008	1/22/2009
897	CLIFFS NATURAL RESOURCES	Purchased	9/09/2008	1/22/2009
898	CLIFFS NATURAL RESOURCES	Purchased	1/08/2009	1/22/2009
899	COMPANHIA VALE DI RIO ADR	Purchased	8/20/2008	1/22/2009
900	COMPANHIA VALE DI RIO ADR	Purchased	1/08/2009	1/22/2009
901	CONSOL ENERGY INC	Purchased	2/05/2008	1/22/2009
902	CONSOL ENERGY INC	Purchased	3/05/2008	1/22/2009
903	CONSOL ENERGY INC	Purchased	3/28/2007	1/22/2009
904	CONSOL ENERGY INC	Purchased	9/09/2008	1/22/2009
905	DRIL QUIP INC	Purchased	9/22/2008	1/22/2009
906	DRIL QUIP INC	Purchased	11/18/2008	1/22/2009
907	DRIL QUIP INC	Purchased	5/09/2008	1/22/2009
908	FOSTER WHEELER LTD BERMUDA	Purchased	5/31/2007	1/22/2009
909	FOSTER WHEELER LTD BERMUDA	Purchased	9/07/2007	1/22/2009
910	FOSTER WHEELER LTD BERMUDA	Purchased	4/04/2008	1/22/2009
911	FOSTER WHEELER LTD BERMUDA	Purchased	6/03/2008	1/22/2009
912	FREEPORT MCMORAN COPPER & GOLD	Purchased	2/08/2008	1/22/2009
913	FREEPORT MCMORAN COPPER & GOLD	Purchased	2/20/2008	1/22/2009
914	GAZPROM OAO SPONS ADR	Purchased	9/07/2007	1/22/2009
915	GAZPROM OAO SPONS ADR	Purchased	8/17/2007	1/22/2009
916	GAZPROM OAO SPONS ADR	Purchased	9/23/2008	1/22/2009
917	GAZPROM OAO SPONS ADR	Purchased	10/20/2008	1/22/2009
918	GERDAU SA SPONS ADR	Purchased	8/21/2008	1/22/2009
919	GERDAU SA SPONS ADR	Purchased	2/01/2008	1/22/2009
920	GOLDCORP INC NEW	Purchased	11/19/2008	1/22/2009
921	GOLDCORP INC NEW	Purchased	11/24/2008	1/22/2009
922	GREAT BASIN GOLD LTD	Purchased	4/01/2008	1/08/2009
923	GREAT BASIN GOLD LTD	Purchased	4/01/2008	1/22/2009
924	GREAT BASIN GOLD LTD	Purchased	3/31/2008	1/08/2009
925	GREAT BASIN GOLD LTD	Purchased	4/01/2008	1/08/2009

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
926	GREAT BASIN GOLD LTD	Purchased	6/04/2008	1/22/2009
927	HESS CORP	Purchased	12/30/2008	1/22/2009
928	HESS CORP	Purchased	12/17/2008	1/22/2009
929	HESS CORP	Purchased	12/31/2008	1/22/2009
930	IPATH DOW JONES AIG LIVESTOCK	Purchased	7/10/2008	1/22/2009
931	IPATH DOW JONES AIG LIVESTOCK	Purchased	8/21/2008	1/22/2009
932	IPATH DOW JONES AIG LIVESTOCK	Purchased	6/26/2008	1/22/2009
933	IPATH DOW JONES AIG LIVESTOCK	Purchased	11/18/2008	1/22/2009
934	IPATH DOW JONES AIG LIVESTOCK	Purchased	11/25/2008	1/22/2009
935	KINROSS GOLD CORP-CAD	Purchased	11/21/2008	1/22/2009
936	KINROSS GOLD CORP-CAD	Purchased	11/24/2008	1/22/2009
937	KINROSS GOLD CORP-CAD	Purchased	11/19/2008	1/22/2009
938	KINROSS GOLD CORP-CAD	Purchased	12/01/2008	1/22/2009
939	KINROSS GOLD CORP-CAD	Purchased	12/03/2008	1/22/2009
940	KINROSS GOLD CORP-CAD	Purchased	1/21/2009	1/22/2009
941	MCDERMOTT INTERNATIONAL INC	Purchased	10/04/2007	1/22/2009
942	MCDERMOTT INTERNATIONAL INC	Purchased	4/04/2008	1/22/2009
943	MCDERMOTT INTERNATIONAL INC	Purchased	3/12/2008	1/22/2009
944	MCDERMOTT INTERNATIONAL INC	Purchased	6/03/2008	1/22/2009
945	MCDERMOTT INTERNATIONAL INC	Purchased	3/18/2008	1/22/2009
946	MCDERMOTT INTERNATIONAL INC	Purchased	10/20/2008	1/22/2009
947	MONSANTO CO NEW	Purchased	12/16/2008	1/22/2009
948	MONSANTO CO NEW	Purchased	12/12/2008	1/22/2009
949	MONSANTO CO NEW	Purchased	10/30/2008	1/22/2009
950	MONSANTO CO NEW	Purchased	12/17/2008	1/22/2009
951	MONSANTO CO NEW	Purchased	11/07/2008	1/22/2009
952	MONSANTO CO NEW	Purchased	11/25/2008	1/22/2009
953	MOSAIC COMPANY	Purchased	11/15/2007	1/22/2009
954	MOSAIC COMPANY	Purchased	3/03/2008	1/22/2009
955	MOSAIC COMPANY	Purchased	9/09/2008	1/22/2009
956	MOSAIC COMPANY	Purchased	11/18/2008	1/22/2009
957	MOSAIC COMPANY	Purchased	11/28/2008	1/22/2009
958	NATIONAL OILWELL VARCO INC	Purchased	12/28/2007	1/22/2009
959	NATIONAL OILWELL VARCO INC	Purchased	10/02/2008	1/22/2009
960	NATIONAL OILWELL VARCO INC	Purchased	11/21/2008	1/22/2009
961	NEWMONT MINING CORP	Purchased	7/24/2008	1/22/2009
962	NEWMONT MINING CORP	Purchased	11/21/2008	1/22/2009
963	NEWMONT MINING CORP	Purchased	12/01/2008	1/22/2009
964	NEXEN INC	Purchased	6/28/2007	1/22/2009
965	NEXEN INC	Purchased	8/21/2008	1/22/2009
966	NEXEN INC	Purchased	4/04/2008	1/22/2009
967	NEXEN INC	Purchased	9/22/2008	1/22/2009
968	NORSK HYDRO A S SPONS ADR	Purchased	11/07/2007	1/22/2009
969	NORSK HYDRO A S SPONS ADR	Purchased	2/29/2008	1/22/2009
970	NORSK HYDRO A S SPONS ADR	Purchased	11/08/2007	1/22/2009
971	NORSK HYDRO A S SPONS ADR	Purchased	11/15/2007	1/22/2009
972	NORSK HYDRO A S SPONS ADR	Purchased	3/12/2008	1/22/2009
973	NUCOR CORP	Purchased	2/19/2008	1/22/2009
974	NUCOR CORP	Purchased	3/03/2008	1/22/2009
975	NUCOR CORP	Purchased	7/10/2008	1/22/2009
976	OCCIDENTAL PETROLEUM CORP-DEL	Purchased	10/04/2007	1/22/2009
977	OCCIDENTAL PETROLEUM CORP-DEL	Purchased	7/10/2008	1/22/2009
978	PEABODY ENERGY CORP	Purchased	8/29/2008	1/22/2009
979	PEABODY ENERGY CORP	Purchased	1/12/2007	1/22/2009
980	PETROLEO BRASILEIRO SA ADR	Purchased	3/07/2006	1/22/2009
981	PETROLEO BRASILEIRO SA ADR	Purchased	2/05/2008	1/22/2009

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

<u>Item</u>	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>
982	PETROLEO BRASILEIRO SA ADR	Purchased	3/12/2008	1/22/2009
983	POTASH CORP SASK INC-	Purchased	9/07/2007	1/22/2009
984	POTASH CORP SASK INC-	Purchased	4/26/2007	1/22/2009
985	POTASH CORP SASK INC-	Purchased	10/23/2007	1/22/2009
986	POTASH CORP SASK INC-	Purchased	11/25/2008	1/22/2009
987	POTASH CORP SASK INC-	Purchased	9/22/2008	1/22/2009
988	POTASH CORP SASK INC-	Purchased	11/28/2008	1/22/2009
989	POTASH CORP SASK INC-	Purchased	10/20/2008	1/22/2009
990	RIO TINTO PLC-GBP	Purchased	3/12/2008	1/22/2009
991	RIO TINTO PLC-GBP	Purchased	3/03/2008	1/22/2009
992	RIO TINTO PLC-GBP	Purchased	3/18/2008	1/22/2009
993	RIO TINTO PLC-GBP	Purchased	7/01/2008	1/22/2009
994	SADIA SA ADR	Purchased	8/07/2008	1/22/2009
995	SADIA SA ADR	Purchased	8/12/2008	1/22/2009
996	SCHNITZER STEEL INDS INC CLA A	Purchased	3/05/2008	1/22/2009
997	SCHNITZER STEEL INDS INC CLA A	Purchased	12/03/2008	1/22/2009
998	SIMS METAL MANAGEMENT LTD	Purchased	4/22/2008	1/22/2009
999	SIMS METAL MANAGEMENT LTD	Purchased	4/30/2008	1/22/2009
1000	SIMS METAL MANAGEMENT LTD	Purchased	7/10/2008	1/22/2009
1001	SOUTHWESTERN ENERGY CO	Purchased	7/25/2007	1/21/2009
1002	SOUTHWESTERN ENERGY CO	Purchased	8/01/2007	1/21/2009
1003	SOUTHWESTERN ENERGY CO	Purchased	8/01/2007	1/22/2009
1004	SOUTHWESTERN ENERGY CO	Purchased	12/11/2007	1/22/2009
1005	SOUTHWESTERN ENERGY CO	Purchased	3/18/2008	1/22/2009
1006	SPDR GOLD TR GOLD SHS	Purchased	11/26/2008	1/22/2009
1007	SPDR GOLD TR GOLD SHS	Purchased	12/01/2008	1/22/2009
1008	SPDR GOLD TR GOLD SHS	Purchased	12/17/2008	1/22/2009
1009	STATOILHYDRO ASA SPONS	Purchased	6/30/2008	1/22/2009
1010	T-3 ENERGY SVCS INC	Purchased	3/19/2008	1/22/2009
1011	T-3 ENERGY SVCS INC	Purchased	10/10/2007	1/22/2009
1012	T-3 ENERGY SVCS INC	Purchased	4/04/2008	1/22/2009
1013	TECK COMINCO LTD CL B SUB	Purchased	2/25/2008	1/22/2009
1014	TENARIS S A	Purchased	3/05/2008	1/21/2009
1015	TENARIS S A	Purchased	3/18/2008	1/22/2009
1016	TENARIS S A	Purchased	3/05/2008	1/22/2009
1017	TENARIS S A	Purchased	5/12/2008	1/22/2009
1018	TENARIS S A	Purchased	9/22/2008	1/22/2009
1019	TENARIS S A	Purchased	10/20/2008	1/22/2009
1020	TENARIS S A	Purchased	12/12/2008	1/22/2009
1021	TERRA INDS INC	Purchased	10/02/2007	1/22/2009
1022	TERRA INDS INC	Purchased	3/18/2008	1/22/2009
1023	TERRA INDS INC	Purchased	9/09/2008	1/22/2009
1024	TOTAL S.A SPONS ADR	Purchased	6/20/2008	1/22/2009
1025	TOTAL S.A SPONS ADR	Purchased	9/22/2008	1/22/2009
1026	TRANSOCEAN LTD SWITZERLAND	Purchased	8/21/2008	1/22/2009
1027	TRANSOCEAN LTD SWITZERLAND	Purchased	4/20/2007	1/22/2009
1028	TRANSOCEAN LTD SWITZERLAND	Purchased	12/12/2008	1/22/2009
1029	TRANSOCEAN LTD SWITZERLAND	Purchased	12/28/2007	1/22/2009
1030	TRANSOCEAN LTD SWITZERLAND	Purchased	12/16/2008	1/22/2009
1031	TRANSOCEAN LTD SWITZERLAND	Purchased	12/17/2008	1/22/2009
1032	ULTRA PETROLEUM CORP-CAD	Purchased	9/20/2007	1/22/2009
1033	ULTRA PETROLEUM CORP-CAD	Purchased	9/20/2007	1/21/2009
1034	ULTRA PETROLEUM CORP-CAD	Purchased	12/11/2007	1/22/2009
1035	ULTRA PETROLEUM CORP-CAD	Purchased	9/09/2008	1/22/2009
1036	UNITED STATES STEEL CORP NEW	Purchased	9/22/2008	1/22/2009
1037	UNITED STATES STEEL CORP NEW	Purchased	8/29/2008	1/22/2009

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

<u>Item</u>	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>
1038	UNITED STATES STEEL CORP NEW	Purchased	10/01/2008	1/22/2009
1039	VALERO ENERGY CORP-NEW	Purchased	8/29/2008	1/22/2009
1040	WEATHERFORD INTL LTD NEW	Purchased	7/30/2008	1/22/2009
1041	WEATHERFORD INTL LTD NEW	Purchased	8/29/2008	1/22/2009
1042	WEATHERFORD INTL LTD NEW	Purchased	12/28/2007	1/22/2009
1043	WEATHERFORD INTL LTD NEW	Purchased	10/02/2008	1/22/2009
1044	WEATHERFORD INTL LTD NEW	Purchased	12/03/2008	1/22/2009
1045	XTO ENERGY INC	Purchased	10/31/2008	1/22/2009
1046	XTO ENERGY INC	Purchased	11/17/2008	1/22/2009
1047	XTO ENERGY INC	Purchased	1/09/2009	1/22/2009
1048	YAMANA GOLD INC-CAD	Purchased	11/19/2008	1/22/2009
1049	YAMANA GOLD INC-CAD	Purchased	11/09/2007	1/22/2009
1050	YAMANA GOLD INC-CAD	Purchased	12/03/2008	1/22/2009
1051	YAMANA GOLD INC-CAD	Purchased	5/12/2008	1/22/2009
1052	A.D.A.M. INC	Purchased	12/11/2006	4/08/2009
1053	A.D.A.M. INC	Purchased	3/09/2006	4/08/2009
1054	A.D.A.M. INC	Purchased	12/07/2006	4/08/2009
1055	A.D.A.M. INC	Purchased	2/13/2008	4/08/2009
1056	ACACIA RESH CORP	Purchased	9/25/2008	4/08/2009
1057	ACACIA RESH CORP	Purchased	9/26/2008	4/08/2009
1058	ATRION CORP	Purchased	2/13/2008	4/08/2009
1059	AXSYS TECHNOLOGIES INC	Purchased	12/10/2007	3/12/2009
1060	AXSYS TECHNOLOGIES INC	Purchased	2/13/2008	4/08/2009
1061	AXSYS TECHNOLOGIES INC	Purchased	12/10/2007	4/08/2009
1062	AZZ INC	Purchased	3/24/2008	4/08/2009
1063	AZZ INC	Purchased	6/06/2008	4/08/2009
1064	AZZ INC	Purchased	3/25/2008	4/08/2009
1065	AZZ INC	Purchased	6/26/2008	4/08/2009
1066	AZZ INC	Purchased	3/26/2008	4/08/2009
1067	BOLT TECHNOLOGY CORP	Purchased	3/25/2008	4/08/2009
1068	BOLT TECHNOLOGY CORP	Purchased	3/26/2008	4/08/2009
1069	BOLT TECHNOLOGY CORP	Purchased	9/03/2008	4/08/2009
1070	BOLT TECHNOLOGY CORP	Purchased	9/04/2008	4/08/2009
1071	CEDAR SHOPPING CENTERS INC NEW	Purchased	3/06/2006	4/08/2009
1072	CEDAR SHOPPING CENTERS INC NEW	Purchased	2/13/2008	4/08/2009
1073	CERAGON NETWORKS LTD	Purchased	9/05/2008	4/08/2009
1074	CERAGON NETWORKS LTD	Purchased	9/10/2008	4/08/2009
1075	CERAGON NETWORKS LTD	Purchased	9/02/2008	4/08/2009
1076	CERAGON NETWORKS LTD	Purchased	9/11/2008	4/08/2009
1077	CHASE CORP	Purchased	2/13/2008	4/08/2009
1078	CTS CORP	Purchased	9/04/2008	4/08/2009
1079	CTS CORP	Purchased	9/03/2008	4/08/2009
1080	CTS CORP	Purchased	9/05/2008	4/08/2009
1081	DXP ENTERPRISES INC (NEW)	Purchased	5/05/2008	4/08/2009
1082	DXP ENTERPRISES INC (NEW)	Purchased	6/11/2008	4/08/2009
1083	DXP ENTERPRISES INC (NEW)	Purchased	6/12/2008	4/08/2009
1084	G WILLI-FOOD INTL LTD	Purchased	7/18/2007	4/08/2009
1085	G WILLI-FOOD INTL LTD	Purchased	7/25/2007	4/08/2009
1086	G WILLI-FOOD INTL LTD	Purchased	9/10/2007	4/08/2009
1087	G WILLI-FOOD INTL LTD	Purchased	7/26/2007	4/08/2009
1088	G WILLI-FOOD INTL LTD	Purchased	7/27/2007	4/08/2009
1089	G WILLI-FOOD INTL LTD	Purchased	2/13/2008	4/08/2009
1090	HIGHLAND DISTRESSED	Purchased	7/26/2007	4/08/2009
1091	HIGHLAND DISTRESSED	Purchased	7/26/2007	4/08/2009
1092	HIGHLAND DISTRESSED	Purchased	9/19/2007	4/08/2009
1093	HIGHLAND DISTRESSED	Purchased	7/27/2007	4/08/2009

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

<u>Item</u>	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>
1094	HIGHLAND DISTRESSED	Purchased	2/13/2008	4/08/2009
1095	HIGHLAND DISTRESSED	Purchased	9/10/2007	4/08/2009
1096	HIGHLAND DISTRESSED	Purchased	12/10/2007	4/08/2009
1097	HIGHLAND DISTRESSED	Purchased	9/13/2007	4/08/2009
1098	HIGHLAND DISTRESSED	Purchased	9/17/2007	4/08/2009
1099	ICO INC (NEW)	Purchased	9/10/2007	4/08/2009
1100	ICO INC (NEW)	Purchased	7/26/2007	4/08/2009
1101	ICO INC (NEW)	Purchased	7/27/2007	4/08/2009
1102	ICO INC (NEW)	Purchased	7/18/2007	4/08/2009
1103	ICO INC (NEW)	Purchased	2/13/2008	4/08/2009
1104	ICO INC (NEW)	Purchased	7/25/2007	4/08/2009
1105	INTERSTATE HOTELS & RESORTS	Purchased	3/15/2007	4/08/2009
1106	INTERSTATE HOTELS & RESORTS	Purchased	6/03/2008	4/08/2009
1107	INTERSTATE HOTELS & RESORTS	Purchased	6/09/2008	4/08/2009
1108	INTERSTATE HOTELS & RESORTS	Purchased	6/05/2008	4/08/2009
1109	INTERSTATE HOTELS & RESORTS	Purchased	3/16/2006	4/08/2009
1110	INTERSTATE HOTELS & RESORTS	Purchased	3/12/2007	4/08/2009
1111	INTERSTATE HOTELS & RESORTS	Purchased	6/10/2008	4/08/2009
1112	INTERSTATE HOTELS & RESORTS	Purchased	6/11/2008	4/08/2009
1113	INVENTURE GROUP INC	Purchased	3/15/2006	4/08/2009
1114	INVENTURE GROUP INC	Purchased	3/06/2006	4/08/2009
1115	INVENTURE GROUP INC	Purchased	2/13/2008	4/08/2009
1116	KNOLOGY INC	Purchased	3/29/2006	4/08/2009
1117	K-TRON INTERNATIONAL INC	Purchased	2/13/2008	4/08/2009
1118	LAKELAND INDS INC	Purchased	7/02/2008	4/08/2009
1119	LAKELAND INDS INC	Purchased	7/14/2008	4/08/2009
1120	LAKELAND INDS INC	Purchased	7/15/2008	4/08/2009
1121	LANDEC CORPORATION	Purchased	12/11/2007	4/08/2009
1122	LANDEC CORPORATION	Purchased	12/10/2007	4/08/2009
1123	LANDEC CORPORATION	Purchased	2/13/2008	4/08/2009
1124	MEDICAL ACTION INDUSTRIES INC	Purchased	10/07/2008	4/08/2009
1125	MEDICAL ACTION INDUSTRIES INC	Purchased	9/17/2008	4/08/2009
1126	MEDICAL ACTION INDUSTRIES INC	Purchased	10/09/2008	4/08/2009
1127	MEDICAL ACTION INDUSTRIES INC	Purchased	10/14/2008	4/08/2009
1128	MEDICAL ACTION INDUSTRIES INC	Purchased	10/14/2008	4/08/2009
1129	MEDICAL ACTION INDUSTRIES INC	Purchased	10/15/2008	4/08/2009
1130	MET-PRO CORP	Purchased	2/13/2008	4/08/2009
1131	PATRIOT CAPITAL FUNDING INC	Purchased	3/15/2006	4/08/2009
1132	PATRIOT CAPITAL FUNDING INC	Purchased	2/13/2008	4/08/2009
1133	PSYCHEMEDICS CORP	Purchased	5/01/2008	4/08/2009
1134	PSYCHEMEDICS CORP	Purchased	2/13/2008	4/08/2009
1135	PSYCHEMEDICS CORP	Purchased	5/06/2008	4/08/2009
1136	PSYCHEMEDICS CORP	Purchased	5/08/2008	4/08/2009
1137	PSYCHEMEDICS CORP	Purchased	6/12/2008	4/08/2009
1138	QUIDEL CORP NEW	Purchased	3/06/2006	4/08/2009
1139	RRSAT GLOBAL COMM NETWORK	Purchased	2/13/2008	4/08/2009
1140	RRSAT GLOBAL COMM NETWORK	Purchased	6/04/2008	4/08/2009
1141	SHENANDOAH TELECOMMUNICATION	Purchased	10/07/2008	4/08/2009
1142	SHENANDOAH TELECOMMUNICATION	Purchased	10/09/2008	4/08/2009
1143	SHENGDA TECH INC	Purchased	2/13/2008	4/08/2009
1144	SHENGDA TECH INC	Purchased	3/27/2008	4/08/2009
1145	SIFCO INDUSTRIES INC	Purchased	2/13/2008	4/08/2009
1146	SPECTRUM CONTROL INC	Purchased	6/09/2008	4/08/2009
1147	SPECTRUM CONTROL INC	Purchased	2/13/2008	4/08/2009
1148	SPECTRUM CONTROL INC	Purchased	6/10/2008	4/08/2009
1149	SPECTRUM CONTROL INC	Purchased	6/12/2008	4/08/2009

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
1150	STEALTHGAS INC	Purchased	9/30/2008	4/08/2009
1151	STEALTHGAS INC	Purchased	10/06/2008	4/08/2009
1152	STEALTHGAS INC	Purchased	10/07/2008	4/08/2009
1153	SUN HYDRAULICS INC	Purchased	10/24/2006	4/08/2009
1154	SUN HYDRAULICS INC	Purchased	10/26/2006	4/08/2009
1155	SUN HYDRAULICS INC	Purchased	10/23/2006	4/08/2009
1156	SUN HYDRAULICS INC	Purchased	11/02/2006	4/08/2009
1157	SUN HYDRAULICS INC	Purchased	12/11/2006	4/08/2009
1158	SUN HYDRAULICS INC	Purchased	12/12/2006	4/08/2009
1159	TELULAR CORPORATION -NEW-	Purchased	2/13/2008	4/08/2009
1160	TWIN DISC INC	Purchased	3/06/2006	4/08/2009
1161	WONDER AUTO TECHNOLOGY INC	Purchased	9/13/2007	4/08/2009
1162	WONDER AUTO TECHNOLOGY INC	Purchased	7/26/2007	4/08/2009
1163	WONDER AUTO TECHNOLOGY INC	Purchased	2/13/2008	4/08/2009
1164	WONDER AUTO TECHNOLOGY INC	Purchased	7/27/2007	4/08/2009
1165	WORLD ACCEP CORP DEL	Purchased	12/11/2007	4/08/2009
1166	WORLD ACCEP CORP DEL	Purchased	2/13/2008	4/08/2009
1167	ALCOA INC	Purchased	12/11/2008	3/05/2009
1168	ALCOA INC	Purchased	12/11/2008	2/18/2009
1169	ALCOA INC	Purchased	12/18/2008	3/05/2009
1170	ANADARKO PETROLEUM CORP	Purchased	1/04/2007	5/22/2009
1171	APPLE INC	Purchased	12/11/2008	3/03/2009
1172	APPLE INC	Purchased	12/11/2008	2/18/2009
1173	APPLE INC	Purchased	12/11/2008	1/23/2009
1174	APPLE INC	Purchased	12/11/2008	1/05/2009
1175	ARCHER-DANIELS-MIDLAND CO	Purchased	12/11/2008	2/09/2009
1176	ARCHER-DANIELS-MIDLAND CO	Purchased	12/11/2008	2/03/2009
1177	ARCHER-DANIELS-MIDLAND CO	Purchased	12/11/2008	1/28/2009
1178	BANK OF AMERICA CORP	Purchased	12/11/2008	1/28/2009
1179	BOEING CO	Purchased	12/11/2008	5/13/2009
1180	BOEING CO	Purchased	12/11/2008	5/01/2009
1181	BOEING CO	Purchased	12/11/2008	4/22/2009
1182	BOEING CO	Purchased	12/11/2008	4/02/2009
1183	CITIGROUP INC	Purchased	12/11/2008	1/23/2009
1184	COMCAST CORP CL A	Purchased	12/11/2008	3/05/2009
1185	COMCAST CORP CL A	Purchased	12/11/2008	1/28/2009
1186	COMCAST CORP CL A	Purchased	12/11/2008	3/20/2009
1187	CVS CAREMARK CORP	Purchased	12/11/2008	5/06/2009
1188	DIAGEO PLC SPON ADR-NEW	Purchased	12/11/2008	3/17/2009
1189	DIAGEO PLC SPON ADR-NEW	Purchased	12/11/2008	3/13/2009
1190	EATON CORP	Purchased	12/11/2008	1/28/2009
1191	EATON CORP	Purchased	12/11/2008	1/13/2009
1192	ESTEE LAUDER COS INC CL A	Purchased	12/11/2008	4/28/2009
1193	EXELON CORP	Purchased	12/11/2008	2/11/2009
1194	EXXON MOBIL CORP	Purchased	12/11/2008	4/07/2009
1195	GENENTECH INC	Purchased	12/11/2008	3/26/2009
1196	GENENTECH INC	Purchased	12/11/2008	3/10/2009
1197	GOLDMAN SACHS GROUP INC	Purchased	12/11/2008	4/02/2009
1198	GOOGLE INC	Purchased	12/11/2008	2/11/2009
1199	HALLIBURTON CO HOLDINGS CO	Purchased	12/11/2008	2/09/2009
1200	INTEL CORP	Purchased	12/11/2008	2/18/2009
1201	JOHNSON & JOHNSON	Purchased	12/11/2008	3/20/2009
1202	JPMORGAN CHASE & CO	Purchased	12/11/2008	2/18/2009
1203	JPMORGAN CHASE & CO	Purchased	12/11/2008	3/03/2009
1204	MCDONALDS CORP	Purchased	12/11/2008	3/13/2009
1205	MCDONALDS CORP	Purchased	12/11/2008	2/09/2009

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
1206	MCDONALDS CORP	Purchased	12/11/2008	6/17/2009
1207	MCDONALDS CORP	Purchased	12/11/2008	6/03/2009
1208	MICROSOFT CORP	Purchased	12/11/2008	4/07/2009
1209	ORACLE CORP	Purchased	12/11/2008	6/17/2009
1210	ORACLE CORP	Purchased	12/11/2008	6/03/2009
1211	PEPSICO INC	Purchased	12/11/2008	6/17/2009
1212	PEPSICO INC	Purchased	12/11/2008	3/27/2009
1213	PEPSICO INC	Purchased	12/11/2008	3/20/2009
1214	PNC FINANCIAL SERVICES GROUP	Purchased	1/05/2009	4/22/2009
1215	PNC FINANCIAL SERVICES GROUP	Purchased	3/13/2009	4/22/2009
1216	PROCTER & GAMBLE CO	Purchased	12/11/2008	5/01/2009
1217	PUBLIC SERVICE ENTERPRISE GRP	Purchased	12/11/2008	3/20/2009
1218	SHERWIN WILLIAMS CO	Purchased	1/28/2009	4/28/2009
1219	TARGET CORP	Purchased	12/11/2008	5/22/2009
1220	TARGET CORP	Purchased	12/11/2008	4/22/2009
1221	UNITED PARCEL SERVICE CL B	Purchased	12/11/2008	4/07/2009
1222	US BANCORP DEL NEW	Purchased	12/11/2008	5/01/2009
1223	WELLS FARGO & CO NEW	Purchased	12/11/2008	2/18/2009
1224	YAHOO INC	Purchased	12/11/2008	3/13/2009
1225	ABERDEEN ASIA-PACIFIC PRIME	Purchased	12/03/2007	6/26/2009
1226	ABERDEEN ASIA-PACIFIC PRIME	Purchased	12/03/2007	5/29/2009
1227	ABERDEEN ASIA-PACIFIC PRIME	Purchased	12/03/2007	5/28/2009
1228	ABERDEEN ASIA-PACIFIC PRIME	Purchased	12/03/2007	5/22/2009
1229	ABERDEEN ASIA-PACIFIC PRIME	Purchased	12/03/2007	5/14/2009
1230	ABERDEEN ASIA-PACIFIC PRIME	Purchased	12/03/2007	4/30/2009
1231	ABERDEEN ASIA-PACIFIC PRIME	Purchased	12/03/2007	4/29/2009
1232	ABERDEEN ASIA-PACIFIC PRIME	Purchased	12/03/2007	4/28/2009
1233	ABERDEEN ASIA-PACIFIC PRIME	Purchased	12/03/2007	4/01/2009
1234	ALLIANCEBERNSTEIN INCOME	Purchased	9/28/2007	6/30/2009
1235	ALLIANCEBERNSTEIN INCOME	Purchased	9/28/2007	7/01/2009
1236	ALLIANCEBERNSTEIN INCOME	Purchased	9/28/2007	8/03/2009
1237	ALLIANCEBERNSTEIN INCOME	Purchased	9/28/2007	7/31/2009
1238	ALLIANCEBERNSTEIN INCOME	Purchased	9/28/2007	7/01/2009
1239	BLACKROCK CORPORATE HIGH YIELD	Purchased	9/09/2008	3/25/2009
1240	BLACKROCK CORPORATE HIGH YIELD	Purchased	12/03/2007	4/17/2009
1241	BLACKROCK CORPORATE HIGH YIELD	Purchased	12/03/2007	2/11/2009
1242	BLACKROCK CORPORATE HIGH YIELD	Purchased	12/03/2007	5/19/2009
1243	BLACKROCK CORPORATE HIGH YIELD	Purchased	9/10/2008	3/25/2009
1244	BLACKROCK CORPORATE HIGH YIELD	Purchased	8/29/2008	3/24/2009
1245	BLACKROCK CORPORATE HIGH YIELD	Purchased	8/29/2008	3/23/2009
1246	BLACKROCK CORPORATE HIGH YIELD	Purchased	12/03/2007	3/26/2009
1247	BLACKROCK CORPORATE HIGH YIELD	Purchased	8/29/2008	3/25/2009
1248	BLACKROCK CORPORATE HIGH YIELD	Purchased	12/03/2007	4/30/2009
1249	BLACKROCK CORPORATE HIGH YIELD	Purchased	8/29/2008	3/24/2009
1250	BLACKROCK CORPORATE HIGH YIELD	Purchased	12/03/2007	4/29/2009
1251	BLACKROCK CORPORATE HIGH YIELD	Purchased	9/08/2008	5/19/2009
1252	BLACKROCK CORPORATE HIGH YIELD	Purchased	8/27/2008	5/19/2009
1253	BLACKROCK CORPORATE HIGH YIELD	Purchased	9/08/2008	5/20/2009
1254	BLACKROCK CORPORATE HIGH YIELD	Purchased	9/09/2008	5/20/2009
1255	BLACKROCK LIMITED DURATION	Purchased	12/03/2007	2/25/2009
1256	BLACKROCK LIMITED DURATION	Purchased	12/03/2007	2/12/2009
1257	BLACKROCK LIMITED DURATION	Purchased	12/03/2007	2/23/2009
1258	BLACKROCK LIMITED DURATION	Purchased	12/03/2007	3/17/2009
1259	BLACKROCK LIMITED DURATION	Purchased	12/03/2007	3/18/2009
1260	BLACKROCK LIMITED DURATION	Purchased	12/03/2007	1/29/2009
1261	BLACKROCK LIMITED DURATION	Purchased	12/03/2007	3/16/2009

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

<u>Item</u>	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>
1262	BLACKROCK LIMITED DURATION	Purchased	12/03/2007	2/11/2009
1263	BLACKROCK LIMITED DURATION	Purchased	12/03/2007	2/24/2009
1264	BLACKROCK LIMITED DURATION	Purchased	12/03/2007	2/05/2009
1265	BLACKROCK LIMITED DURATION	Purchased	12/03/2007	2/13/2009
1266	BLACKROCK LIMITED DURATION	Purchased	12/03/2007	2/04/2009
1267	BLACKROCK LIMITED DURATION	Purchased	12/03/2007	3/31/2009
1268	BLACKROCK LIMITED DURATION	Purchased	12/03/2007	2/03/2009
1269	BLACKROCK LIMITED DURATION	Purchased	2/28/2008	3/31/2009
1270	BLACKROCK LIMITED DURATION	Purchased	5/21/2008	4/30/2009
1271	BLACKROCK LIMITED DURATION	Purchased	2/28/2008	4/03/2009
1272	BLACKROCK LIMITED DURATION	Purchased	5/20/2008	4/21/2009
1273	BLACKROCK LIMITED DURATION	Purchased	2/28/2008	4/02/2009
1274	BLACKROCK LIMITED DURATION	Purchased	5/20/2008	4/29/2009
1275	BLACKROCK LIMITED DURATION	Purchased	2/29/2008	4/03/2009
1276	BLACKROCK LIMITED DURATION	Purchased	5/21/2008	5/04/2009
1277	BLACKROCK LIMITED DURATION	Purchased	5/06/2008	4/03/2009
1278	BLACKROCK LIMITED DURATION	Purchased	5/20/2008	4/09/2009
1279	BLACKROCK LIMITED DURATION	Purchased	5/06/2008	4/08/2009
1280	BLACKROCK LIMITED DURATION	Purchased	5/20/2008	4/21/2009
1281	BLACKROCK LIMITED DURATION	Purchased	5/08/2008	4/08/2009
1282	BLACKROCK LIMITED DURATION	Purchased	5/21/2008	4/29/2009
1283	BLACKROCK LIMITED DURATION	Purchased	5/09/2008	4/08/2009
1284	BLACKROCK LIMITED DURATION	Purchased	5/21/2008	5/01/2009
1285	BLACKROCK LIMITED DURATION	Purchased	5/19/2008	4/08/2009
1286	BLACKROCK LIMITED DURATION	Purchased	6/30/2008	5/04/2009
1287	BLACKROCK LIMITED DURATION	Purchased	5/19/2008	4/09/2009
1288	BLACKROCK LIMITED DURATION	Purchased	8/18/2008	5/04/2009
1289	BLACKROCK LIMITED DURATION	Purchased	8/19/2008	5/21/2009
1290	BLACKROCK LIMITED DURATION	Purchased	8/19/2008	5/04/2009
1291	BLACKROCK LIMITED DURATION	Purchased	8/19/2008	5/20/2009
1292	BLACKROCK LIMITED DURATION	Purchased	8/19/2008	5/19/2009
1293	BLACKROCK LIMITED DURATION	Purchased	8/19/2008	5/21/2009
1294	BLACKROCK LIMITED DURATION	Purchased	8/19/2008	5/19/2009
1295	BLACKROCK LIMITED DURATION	Purchased	8/19/2008	5/22/2009
1296	BLACKROCK LIMITED DURATION	Purchased	8/20/2008	5/22/2009
1297	BLACKROCK PREFERRED	Purchased	12/03/2007	1/23/2009
1298	BLACKROCK PREFERRED	Purchased	12/04/2007	4/06/2009
1299	BLACKROCK PREFERRED	Purchased	12/04/2007	1/27/2009
1300	BLACKROCK PREFERRED	Purchased	12/03/2007	1/16/2009
1301	BLACKROCK PREFERRED	Purchased	12/03/2007	1/22/2009
1302	BLACKROCK PREFERRED	Purchased	12/03/2007	1/12/2009
1303	BLACKROCK PREFERRED	Purchased	12/04/2007	4/07/2009
1304	BLACKROCK PREFERRED	Purchased	12/03/2007	1/27/2009
1305	BLACKROCK PREFERRED	Purchased	12/04/2007	4/03/2009
1306	BLACKROCK PREFERRED	Purchased	12/03/2007	1/05/2009
1307	BLACKROCK PREFERRED	Purchased	12/04/2007	1/29/2009
1308	BLACKROCK PREFERRED	Purchased	4/01/2008	4/09/2009
1309	BLACKROCK PREFERRED	Purchased	12/04/2007	4/09/2009
1310	BLACKROCK PREFERRED	Purchased	12/05/2007	4/09/2009
1311	CALAMOS CONV & HIGH INCOME FD	Purchased	12/03/2007	1/07/2009
1312	CALAMOS CONV & HIGH INCOME FD	Purchased	12/03/2007	6/04/2009
1313	CALAMOS CONV & HIGH INCOME FD	Purchased	12/03/2007	5/27/2009
1314	CALAMOS CONV & HIGH INCOME FD	Purchased	12/03/2007	6/04/2009
1315	CALAMOS CONV & HIGH INCOME FD	Purchased	12/03/2007	5/26/2009
1316	CALAMOS CONV & HIGH INCOME FD	Purchased	12/03/2007	6/03/2009
1317	CALAMOS CONV & HIGH INCOME FD	Purchased	12/03/2007	5/26/2009

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
1318	CALAMOS CONV & HIGH INCOME FD	Purchased	12/03/2007	6/03/2009
1319	CALAMOS CONV & HIGH INCOME FD	Purchased	12/03/2007	5/22/2009
1320	CALAMOS CONV & HIGH INCOME FD	Purchased	12/03/2007	6/02/2009
1321	CALAMOS CONV & HIGH INCOME FD	Purchased	12/03/2007	1/08/2009
1322	CALAMOS CONV & HIGH INCOME FD	Purchased	3/05/2008	6/08/2009
1323	CALAMOS CONV & HIGH INCOME FD	Purchased	3/05/2008	6/04/2009
1324	CALAMOS GLOBAL DYNAMIC INCOME	Purchased	12/29/2008	5/08/2009
1325	CALAMOS GLOBAL DYNAMIC INCOME	Purchased	12/30/2008	5/26/2009
1326	CALAMOS GLOBAL DYNAMIC INCOME	Purchased	12/30/2008	5/26/2009
1327	CALAMOS GLOBAL DYNAMIC INCOME	Purchased	12/30/2008	5/08/2009
1328	CURRENCYSHARES AUSTRALIAN DLR	Purchased	5/01/2009	8/10/2009
1329	CURRENCYSHARES AUSTRALIAN DLR	Purchased	5/04/2009	8/10/2009
1330	CURRENCYSHARES CDN DLR TR	Purchased	5/01/2009	8/10/2009
1331	CURRENCYSHARES CDN DLR TR	Purchased	5/04/2009	8/10/2009
1332	DWS HIGH INCOME TR	Purchased	12/04/2007	1/28/2009
1333	DWS HIGH INCOME TR	Purchased	12/04/2007	4/29/2009
1334	DWS HIGH INCOME TR	Purchased	12/04/2007	1/30/2009
1335	DWS HIGH INCOME TR	Purchased	12/04/2007	4/16/2009
1336	DWS HIGH INCOME TR	Purchased	12/04/2007	2/12/2009
1337	DWS HIGH INCOME TR	Purchased	12/04/2007	4/15/2009
1338	DWS HIGH INCOME TR	Purchased	12/04/2007	1/29/2009
1339	DWS HIGH INCOME TR	Purchased	12/04/2007	4/02/2009
1340	DWS HIGH INCOME TR	Purchased	12/04/2007	2/13/2009
1341	DWS HIGH INCOME TR	Purchased	12/04/2007	1/27/2009
1342	DWS HIGH INCOME TR	Purchased	12/04/2007	6/02/2009
1343	DWS HIGH INCOME TR	Purchased	12/04/2007	1/13/2009
1344	DWS HIGH INCOME TR	Purchased	12/04/2007	5/01/2009
1345	DWS HIGH INCOME TR	Purchased	12/04/2007	6/09/2009
1346	DWS HIGH INCOME TR	Purchased	12/04/2007	5/04/2009
1347	DWS HIGH INCOME TR	Purchased	12/04/2007	6/08/2009
1348	DWS HIGH INCOME TR	Purchased	12/04/2007	4/30/2009
1349	DWS HIGH INCOME TR	Purchased	12/04/2007	6/02/2009
1350	DWS HIGH INCOME TR	Purchased	12/04/2007	4/29/2009
1351	EVERGREEN INCOME ADVANTAGE FD	Purchased	12/03/2007	2/09/2009
1352	EVERGREEN INCOME ADVANTAGE FD	Purchased	12/03/2007	2/09/2009
1353	EVERGREEN INCOME ADVANTAGE FD	Purchased	1/25/2008	4/01/2009
1354	EVERGREEN INCOME ADVANTAGE FD	Purchased	12/03/2007	2/03/2009
1355	EVERGREEN INCOME ADVANTAGE FD	Purchased	12/03/2007	1/27/2009
1356	EVERGREEN INCOME ADVANTAGE FD	Purchased	12/03/2007	2/04/2009
1357	EVERGREEN INCOME ADVANTAGE FD	Purchased	12/03/2007	4/01/2009
1358	EVERGREEN INCOME ADVANTAGE FD	Purchased	12/03/2007	1/28/2009
1359	EVERGREEN INCOME ADVANTAGE FD	Purchased	12/03/2007	3/26/2009
1360	EVERGREEN INCOME ADVANTAGE FD	Purchased	1/25/2008	4/02/2009
1361	EVERGREEN INCOME ADVANTAGE FD	Purchased	12/03/2007	3/25/2009
1362	EVERGREEN INCOME ADVANTAGE FD	Purchased	1/25/2008	4/09/2009
1363	EVERGREEN INCOME ADVANTAGE FD	Purchased	12/03/2007	3/24/2009
1364	EVERGREEN INCOME ADVANTAGE FD	Purchased	3/05/2008	4/09/2009
1365	EVERGREEN INCOME ADVANTAGE FD	Purchased	4/08/2008	4/21/2009
1366	EVERGREEN INCOME ADVANTAGE FD	Purchased	3/05/2008	4/17/2009
1367	EVERGREEN INCOME ADVANTAGE FD	Purchased	4/08/2008	4/17/2009
1368	EVERGREEN INCOME ADVANTAGE FD	Purchased	3/06/2008	4/17/2009
1369	EVERGREEN INCOME ADVANTAGE FD	Purchased	8/20/2008	4/21/2009
1370	ISHARES TR	Purchased	2/11/2009	7/09/2009
1371	ISHARES TR	Purchased	2/11/2009	7/07/2009
1372	ISHARES TR	Purchased	2/11/2009	3/10/2009
1373	ISHARES TR	Purchased	5/01/2009	7/09/2009

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

<u>Item</u>	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>
1374	MFS GOVERNMENT MARKETS	Purchased	6/18/2009	6/24/2009
1375	MFS GOVERNMENT MARKETS	Purchased	6/18/2009	6/23/2009
1376	MFS MULTIMARKET INCM TR SBI	Purchased	9/16/2008	6/23/2009
1377	MFS MULTIMARKET INCM TR SBI	Purchased	2/29/2008	2/26/2009
1378	MFS MULTIMARKET INCM TR SBI	Purchased	4/01/2008	6/23/2009
1379	MFS MULTIMARKET INCM TR SBI	Purchased	2/29/2008	2/10/2009
1380	MFS MULTIMARKET INCM TR SBI	Purchased	3/12/2008	6/22/2009
1381	MFS MULTIMARKET INCM TR SBI	Purchased	3/03/2008	4/01/2009
1382	MFS MULTIMARKET INCM TR SBI	Purchased	9/16/2008	6/25/2009
1383	MFS MULTIMARKET INCM TR SBI	Purchased	3/03/2008	2/26/2009
1384	MFS MULTIMARKET INCM TR SBI	Purchased	3/12/2008	6/19/2009
1385	MFS MULTIMARKET INCM TR SBI	Purchased	3/05/2008	4/01/2009
1386	MFS MULTIMARKET INCM TR SBI	Purchased	3/13/2008	6/22/2009
1387	MFS MULTIMARKET INCM TR SBI	Purchased	3/06/2008	4/01/2009
1388	MFS MULTIMARKET INCM TR SBI	Purchased	4/01/2008	6/22/2009
1389	MFS MULTIMARKET INCM TR SBI	Purchased	3/06/2008	5/28/2009
1390	MFS MULTIMARKET INCM TR SBI	Purchased	9/16/2008	6/24/2009
1391	MFS MULTIMARKET INCM TR SBI	Purchased	3/07/2008	5/28/2009
1392	MFS MULTIMARKET INCM TR SBI	Purchased	10/09/2008	6/25/2009
1393	MFS MULTIMARKET INCM TR SBI	Purchased	3/07/2008	6/19/2009
1394	MFS MULTIMARKET INCM TR SBI	Purchased	10/09/2008	6/29/2009
1395	MFS MULTIMARKET INCM TR SBI	Purchased	10/13/2008	6/29/2009
1396	MFS MULTIMARKET INCM TR SBI	Purchased	10/09/2008	6/26/2009
1397	MFS MULTIMARKET INCM TR SBI	Purchased	10/13/2008	6/30/2009
1398	MFS MULTIMARKET INCM TR SBI	Purchased	10/13/2008	7/01/2009
1399	MFS MULTIMARKET INCM TR SBI	Purchased	11/21/2008	7/01/2009
1400	MFS MULTIMARKET INCM TR SBI	Purchased	11/21/2008	7/21/2009
1401	NUVEEN FLOATING RATE INCOME FD	Purchased	7/11/2008	1/06/2009
1402	NUVEEN FLOATING RATE INCOME FD	Purchased	7/14/2008	1/06/2009
1403	NUVEEN FLOATING RATE INCOME FD	Purchased	7/11/2008	1/02/2009
1404	NUVEEN FLOATING RATE INCOME FD	Purchased	7/14/2008	1/09/2009
1405	NUVEEN FLOATING RATE INCOME FD	Purchased	7/14/2008	1/08/2009
1406	NUVEEN FLOATING RATE INCOME FD	Purchased	9/25/2008	1/09/2009
1407	NUVEEN QUALITY PFD INCOME FD 2	Purchased	3/07/2008	3/24/2009
1408	NUVEEN QUALITY PFD INCOME FD 2	Purchased	3/07/2008	3/23/2009
1409	NUVEEN QUALITY PFD INCOME FD 2	Purchased	3/18/2008	3/24/2009
1410	NUVEEN QUALITY PFD INCOME FD 2	Purchased	8/20/2008	3/25/2009
1411	NUVEEN QUALITY PFD INCOME FD 2	Purchased	3/18/2008	3/25/2009
1412	NUVEEN QUALITY PFD INCOME FD 2	Purchased	3/25/2008	3/25/2009
1413	NUVEEN QUALITY PFD INCOME FD 2	Purchased	12/02/2008	3/25/2009
1414	NUVEEN QUALITY PFD INCOME FD 2	Purchased	2/18/2009	3/25/2009
1415	PIMCO CORPORATE OPPORTUNITY FD	Purchased	12/06/2007	3/24/2009
1416	PIMCO CORPORATE OPPORTUNITY FD	Purchased	12/06/2007	2/03/2009
1417	PIMCO CORPORATE OPPORTUNITY FD	Purchased	12/05/2007	1/02/2009
1418	PIMCO CORPORATE OPPORTUNITY FD	Purchased	12/06/2007	1/06/2009
1419	PIMCO CORPORATE OPPORTUNITY FD	Purchased	12/06/2007	1/02/2009
1420	PIMCO CORPORATE OPPORTUNITY FD	Purchased	12/28/2007	3/24/2009
1421	PIMCO CORPORATE OPPORTUNITY FD	Purchased	2/24/2009	5/05/2009
1422	PIMCO CORPORATE OPPORTUNITY FD	Purchased	12/28/2007	3/24/2009
1423	PIMCO CORPORATE OPPORTUNITY FD	Purchased	12/02/2008	3/25/2009
1424	PIMCO CORPORATE OPPORTUNITY FD	Purchased	2/23/2009	5/05/2009
1425	PIMCO CORPORATE OPPORTUNITY FD	Purchased	12/02/2008	3/24/2009
1426	PIMCO CORPORATE OPPORTUNITY FD	Purchased	2/26/2009	5/05/2009
1427	PIMCO CORPORATE OPPORTUNITY FD	Purchased	12/03/2008	3/25/2009
1428	PIMCO CORPORATE OPPORTUNITY FD	Purchased	2/23/2009	3/25/2009
1429	PIMCO CORPORATE OPPORTUNITY FD	Purchased	2/23/2009	5/05/2009

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
1430	PIMCO CORPORATE OPPORTUNITY FD	Purchased	2/23/2009	5/04/2009
1431	TEMPLETON EMERGING MARKETS	Purchased	2/10/2009	7/14/2009
1432	TEMPLETON EMERGING MARKETS	Purchased	2/17/2009	7/20/2009
1433	TEMPLETON EMERGING MARKETS	Purchased	2/17/2009	7/15/2009
1434	TEMPLETON EMERGING MARKETS	Purchased	2/23/2009	7/22/2009
1435	TEMPLETON EMERGING MARKETS	Purchased	2/12/2009	7/15/2009
1436	TEMPLETON EMERGING MARKETS	Purchased	2/05/2009	7/14/2009
1437	TEMPLETON EMERGING MARKETS	Purchased	2/10/2009	7/15/2009
1438	TEMPLETON EMERGING MARKETS	Purchased	2/17/2009	7/17/2009
1439	TEMPLETON EMERGING MARKETS	Purchased	2/02/2009	7/13/2009
1440	TEMPLETON EMERGING MARKETS	Purchased	2/23/2009	7/21/2009
1441	TEMPLETON EMERGING MARKETS	Purchased	2/02/2009	7/14/2009
1442	TEMPLETON EMERGING MARKETS	Purchased	2/23/2009	7/20/2009
1443	TEMPLETON EMERGING MARKETS	Purchased	4/29/2009	7/22/2009
1444	TEMPLETON EMERGING MARKETS	Purchased	4/29/2009	7/24/2009
1445	TEMPLETON GLOBAL INCOME FD	Purchased	4/29/2008	6/26/2009
1446	TEMPLETON GLOBAL INCOME FD	Purchased	5/01/2008	7/15/2009
1447	TEMPLETON GLOBAL INCOME FD	Purchased	4/28/2008	6/25/2009
1448	TEMPLETON GLOBAL INCOME FD	Purchased	4/17/2008	6/25/2009
1449	TEMPLETON GLOBAL INCOME FD	Purchased	5/01/2008	6/26/2009
1450	TEMPLETON GLOBAL INCOME FD	Purchased	4/17/2008	6/19/2009
1451	TEMPLETON GLOBAL INCOME FD	Purchased	5/13/2008	7/16/2009
1452	TEMPLETON GLOBAL INCOME FD	Purchased	4/17/2008	6/18/2009
1453	TEMPLETON GLOBAL INCOME FD	Purchased	4/28/2008	6/26/2009
1454	TEMPLETON GLOBAL INCOME FD	Purchased	4/17/2008	6/17/2009
1455	TEMPLETON GLOBAL INCOME FD	Purchased	4/30/2008	6/26/2009
1456	TEMPLETON GLOBAL INCOME FD	Purchased	4/17/2008	1/22/2009
1457	TEMPLETON GLOBAL INCOME FD	Purchased	5/01/2008	7/16/2009
1458	TEMPLETON GLOBAL INCOME FD	Purchased	4/17/2008	1/21/2009
1459	TEMPLETON GLOBAL INCOME FD	Purchased	5/12/2008	7/16/2009
1460	TEMPLETON GLOBAL INCOME FD	Purchased	4/23/2008	6/25/2009
1461	TEMPLETON GLOBAL INCOME FD	Purchased	4/23/2008	6/25/2009
1462	TEMPLETON GLOBAL INCOME FD	Purchased	5/13/2008	7/20/2009
1463	TEMPLETON GLOBAL INCOME FD	Purchased	5/13/2008	7/17/2009
1464	TEMPLETON GLOBAL INCOME FD	Purchased	7/07/2008	7/20/2009
1465	WESTERN ASSET GLOBAL HIGH	Purchased	3/17/2009	6/29/2009
1466	WESTERN ASSET GLOBAL HIGH	Purchased	3/17/2009	6/26/2009
1467	WESTERN ASSET GLOBAL HIGH	Purchased	3/31/2009	6/29/2009
1468	ALASKA COMM SYSTEMS GROUP	Purchased	9/09/2008	4/08/2009
1469	AMERICAN CAMPUS CMNTYS INC	Purchased	9/09/2008	4/08/2009
1470	AMERICAN CAPITAL LTD	Purchased	9/09/2008	4/08/2009
1471	BIOMED REALTY TRUST INC	Purchased	9/09/2008	4/08/2009
1472	CATERPILLAR INC	Purchased	12/30/2008	4/08/2009
1473	CBS CORP NEW CLASS B	Purchased	1/24/2005	4/08/2009
1474	CBS CORP NEW CLASS B	Purchased	9/08/2005	4/08/2009
1475	CBS CORP NEW CLASS B	Purchased	6/08/2005	4/08/2009
1476	CBS CORP NEW CLASS B	Purchased	9/09/2005	4/08/2009
1477	CBS CORP NEW CLASS B	Purchased	6/24/2005	4/08/2009
1478	CBS CORP NEW CLASS B	Purchased	9/12/2005	4/08/2009
1479	CBS CORP NEW CLASS B	Purchased	9/09/2008	4/08/2009
1480	CLEARWATER PAPER CORP	Purchased	9/09/2008	4/08/2009
1481	COLONIAL PPTYS TRUST SBI	Purchased	9/09/2008	4/08/2009
1482	DIGITAL REALTY TR INC	Purchased	9/09/2008	4/08/2009
1483	E I DU PONT DE NEMOURS & CO	Purchased	9/09/2008	4/08/2009
1484	EDUCATION REALTY TRUST INC	Purchased	9/09/2008	4/08/2009
1485	GATX CORP	Purchased	9/09/2008	4/08/2009

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
1486	HERCULES TECHNOLOGY GROWTH	Purchased	9/09/2008	4/08/2009
1487	HERCULES TECHNOLOGY GROWTH	Purchased	2/23/2009	4/08/2009
1488	HIGHLAND DISTRESSED	Purchased	9/09/2008	4/08/2009
1489	KIMBALL INTERNATIONAL INC CL B	Purchased	9/09/2008	4/08/2009
1490	LEXINGTON REALTY TRUST	Purchased	9/09/2008	4/08/2009
1491	MACQUARIE INFRASTRUCTURE CO	Purchased	9/09/2008	4/08/2009
1492	MFS GOVERNMENT MARKETS	Purchased	2/19/2009	4/08/2009
1493	MFS GOVERNMENT MARKETS	Purchased	2/27/2009	4/08/2009
1494	MFS GOVERNMENT MARKETS	Purchased	2/18/2009	4/08/2009
1495	MFS GOVERNMENT MARKETS	Purchased	1/30/2009	4/08/2009
1496	MICROCHIP TECHNOLOGY INC	Purchased	3/27/2009	4/08/2009
1497	NEWELL RUBBERMAID INC	Purchased	9/09/2008	4/08/2009
1498	OLIN CORP	Purchased	9/09/2008	4/08/2009
1499	PATRIOT CAPITAL FUNDING INC	Purchased	9/09/2008	4/08/2009
1500	PCCW LTD SPONSORED ADR NEW	Purchased	9/09/2008	4/08/2009
1501	POTLATCH CORP NEW	Purchased	9/09/2008	4/08/2009
1502	RAMCO GERSHENSON PROPERTIES	Purchased	9/09/2008	4/08/2009
1503	SIMON PPTY GROUP INC NEW	Purchased	9/09/2008	4/08/2009
1504	SOUTHERN COPPER CORP DEL	Purchased	9/09/2008	4/08/2009
1505	TELECOM CORP NEW ZEALAND	Purchased	9/09/2008	4/08/2009
1506	TORTOISE ENERGY INFRASTRUCTURE	Purchased	9/09/2008	4/08/2009
1507	TUPPERWARE CORP	Purchased	9/09/2008	4/08/2009
1508	U-STORE-IT TRUST	Purchased	9/09/2008	4/08/2009
1509	VEOLIA ENVIRONNEMENT	Purchased	1/06/2009	4/08/2009
1510	AVON PRODUCTS INC	Purchased	3/06/2009	6/05/2009
1511	FRONTIER COMMUNICATIONS CORP	Purchased	12/10/2008	3/06/2009
1512	GALLAGHER ARTHUR J & CO	Purchased	12/10/2008	2/05/2009
1513	GENERAL ELECTRIC CO	Purchased	1/08/2009	3/03/2009
1514	GENERAL ELECTRIC CO	Purchased	12/10/2008	3/03/2009
1515	HSBC HLDG PLC SP ADR NEW	Purchased	12/10/2008	1/15/2009
1516	NEW YORK COMMUNITY BANCORP	Purchased	12/10/2008	3/06/2009
1517	PFIZER INC	Purchased	12/10/2008	2/04/2009
1518	PNC FINANCIAL SERVICES GROUP	Purchased	12/10/2008	2/04/2009
1519	REYNOLDS AMERICAN INC	Purchased	12/10/2008	1/08/2009
1520	US BANCORP DEL NEW	Purchased	12/10/2008	2/04/2009
1521	WELLS FARGO & CO NEW	Purchased	12/10/2008	2/04/2009
1522	WINDSTREAM CORP	Purchased	12/10/2008	3/03/2009
1523	WYETH	Purchased	12/10/2008	2/04/2009
1524	ANCHORBANK, F.S.B. - WI	Purchased	12/04/2008	9/18/2009
1525	ANCHORBANK, F.S.B. - WI	Purchased	12/03/2008	9/18/2009
1526	BEAR STEARNS CO INC SR NOTES	Purchased	11/15/2007	2/05/2009
1527	CAPITAL ONE BANK (USA), N.A.	Purchased	12/03/2008	9/18/2009
1528	COLONIAL BANK - AL	Purchased	12/09/2008	8/25/2009
1529	WACHOVIA CORP	Purchased	5/21/2008	2/05/2009
1530	WESTERNBANK PUERTO RICO - PR	Purchased	10/16/2007	9/18/2009
1531	Multi-Strrt Ser G Fund	Purchased	9/24/2008	4/30/2009

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
1	25,000.		25,000.	0.			\$	0.
2	5,969.		15,495.	-9,526.				-9,526.
3	596.		1,433.	-837.				-837.
4	2,239.		2,554.	-315.				-315.
5	2.		2.	0.				0.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
6	1,925.		3,133.	-1,208.				\$ -1,208.
7	4,332.		6,969.	-2,637.				-2,637.
8	1,679.		1,120.	559.				559.
9	129.		114.	15.				15.
10	6,316.		8,225.	-1,909.				-1,909.
11	631.		1,120.	-489.				-489.
12	883.		921.	-38.				-38.
13	1,295.		1,186.	109.				109.
14	2,272.		3,274.	-1,002.				-1,002.
15	10,553.		11,600.	-1,047.				-1,047.
16	885.		1,186.	-301.				-301.
17	7,300.		14,203.	-6,903.				-6,903.
18	8,449.		11,424.	-2,975.				-2,975.
19	5,449.		9,817.	-4,368.				-4,368.
20	7,913.		9,068.	-1,155.				-1,155.
21	5,190.		5,916.	-726.				-726.
22	5,464.		8,475.	-3,011.				-3,011.
23	9,122.		11,306.	-2,184.				-2,184.
24	6,020.		7,472.	-1,452.				-1,452.
25	2,533.		5,040.	-2,507.				-2,507.
26	8,303.		11,585.	-3,282.				-3,282.
27	2,980.		5,753.	-2,773.				-2,773.
28	3,650.		3,452.	198.				198.
29	2,155.		1,833.	322.				322.
30	1,541.		2,722.	-1,181.				-1,181.
31	9,118.		11,569.	-2,451.				-2,451.
32	935.		6,300.	-5,365.				-5,365.
33	4,132.		9,101.	-4,969.				-4,969.
34	4,182.		8,791.	-4,609.				-4,609.
35	4,035.		4,516.	-481.				-481.
36	1,566.		2,449.	-883.				-883.
37	6,803.		8,217.	-1,414.				-1,414.
38	168.		152.	16.				16.
39	2,462.		7,250.	-4,788.				-4,788.
40	367.		1,190.	-823.				-823.
41	7,721.		10,998.	-3,277.				-3,277.
42	3,197.		1,996.	1,201.				1,201.
43	1,918.		3,040.	-1,122.				-1,122.
44	2,218.		2,954.	-736.				-736.
45	2,847.		2,377.	470.				470.
46	13.		24.	-11.				-11.
47	3,212.		7,452.	-4,240.				-4,240.
48	683.		1,358.	-675.				-675.
49	409.		805.	-396.				-396.
50	276.		545.	-269.				-269.
51	44.		69.	-25.				-25.
52	219.		432.	-213.				-213.
53	902.		1,822.	-920.				-920.
54	41.		57.	-16.				-16.
55	279.		676.	-397.				-397.
56	492.		974.	-482.				-482.
57	15.		30.	-15.				-15.
58	4,383.		6,157.	-1,774.				-1,774.

The Eichenberg-Larson Charitable
Foundation

33-0431855

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i)-(j)	(l) Gain (Loss)
59	605.		1,184.	-579.				\$ -579.
60	136.		211.	-75.				-75.
61	209.		324.	-115.				-115.
62	174.		215.	-41.				-41.
63	475.		652.	-177.				-177.
64	22.		30.	-8.				-8.
65	8.		19.	-11.				-11.
66	2,655.		3,818.	-1,163.				-1,163.
67	797.		1,501.	-704.				-704.
68	2,834.		3,924.	-1,090.				-1,090.
69	1,038.		1,437.	-399.				-399.
70	511.		1,240.	-729.				-729.
71	663.		986.	-323.				-323.
72	3,767.		4,542.	-775.				-775.
73	3,770.		4,454.	-684.				-684.
74	754.		857.	-103.				-103.
75	905.		986.	-81.				-81.
76	3,393.		3,838.	-445.				-445.
77	1,131.		1,488.	-357.				-357.
78	1,827.		4,132.	-2,305.				-2,305.
79	1,618.		3,007.	-1,389.				-1,389.
80	12,013.		11,511.	502.				502.
81	1,321.		2,196.	-875.				-875.
82	1,816.		2,613.	-797.				-797.
83	2,722.		3,192.	-470.				-470.
84	3,080.		5,080.	-2,000.				-2,000.
85	13,436.		15,821.	-2,385.				-2,385.
86	5,572.		5,144.	428.				428.
87	1,564.		2,604.	-1,040.				-1,040.
88	500.		540.	-40.				-40.
89	250.		256.	-6.				-6.
90	444.		487.	-43.				-43.
91	528.		559.	-31.				-31.
92	389.		409.	-20.				-20.
93	750.		803.	-53.				-53.
94	250.		276.	-26.				-26.
95	2,546.		1,662.	884.				884.
96	2,546.		1,523.	1,023.				1,023.
97	6,113.		9,497.	-3,384.				-3,384.
98	4,536.		4,735.	-199.				-199.
99	8,749.		7,893.	856.				856.
100	5,867.		7,037.	-1,170.				-1,170.
101	2,416.		2,727.	-311.				-311.
102	1,508.		2,312.	-804.				-804.
103	4,115.		5,176.	-1,061.				-1,061.
104	1,012.		7,755.	-6,743.				-6,743.
105	5.		32.	-27.				-27.
106	955.		4,785.	-3,830.				-3,830.
107	1,188.		1,131.	57.				57.
108	1,668.		4,560.	-2,892.				-2,892.
109	505.		509.	-4.				-4.
110	6,414.		6,833.	-419.				-419.
111	3,260.		5,850.	-2,590.				-2,590.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
112	1,187.		6,525.	-5,338.			\$	-5,338.
113	2,471.		4,735.	-2,264.				-2,264.
114	3,181.		6,321.	-3,140.				-3,140.
115	7,195.		10,053.	-2,858.				-2,858.
116	2,005.		2,838.	-833.				-833.
117	1,298.		1,824.	-526.				-526.
118	74.		81.	-7.				-7.
119	270.		281.	-11.				-11.
120	74.		82.	-8.				-8.
121	442.		489.	-47.				-47.
122	295.		324.	-29.				-29.
123	172.		186.	-14.				-14.
124	909.		989.	-80.				-80.
125	737.		809.	-72.				-72.
126	5,419.		11,128.	-5,709.				-5,709.
127	2,838.		2,706.	132.				132.
128	1,565.		1,684.	-119.				-119.
129	213.		599.	-386.				-386.
130	129.		366.	-237.				-237.
131	316.		1,034.	-718.				-718.
132	170.		507.	-337.				-337.
133	126.		435.	-309.				-309.
134	112.		381.	-269.				-269.
135	80.		282.	-202.				-202.
136	81.		326.	-245.				-245.
137	60.		254.	-194.				-194.
138	126.		762.	-636.				-636.
139	150.		592.	-442.				-442.
140	236.		1,197.	-961.				-961.
141	45.		218.	-173.				-173.
142	97.		490.	-393.				-393.
143	79.		463.	-384.				-384.
144	2,435.		9,244.	-6,809.				-6,809.
145	501.		1,694.	-1,193.				-1,193.
146	386.		643.	-257.				-257.
147	454.		800.	-346.				-346.
148	4,057.		5,857.	-1,800.				-1,800.
149	4,766.		2,966.	1,800.				1,800.
150	1,905.		3,076.	-1,171.				-1,171.
151	354.		685.	-331.				-331.
152	724.		1,427.	-703.				-703.
153	491.		1,010.	-519.				-519.
154	1,676.		5,097.	-3,421.				-3,421.
155	495.		776.	-281.				-281.
156	714.		1,127.	-413.				-413.
157	742.		1,241.	-499.				-499.
158	1,018.		2,076.	-1,058.				-1,058.
159	905.		1,924.	-1,019.				-1,019.
160	566.		1,323.	-757.				-757.
161	444.		1,073.	-629.				-629.
162	860.		2,086.	-1,226.				-1,226.
163	369.		1,790.	-1,421.				-1,421.
164	790.		1,174.	-384.				-384.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
165	527.		800.	-273.				\$ -273.
166	790.		1,170.	-380.				-380.
167	105.		557.	-452.				-452.
168	254.		1,425.	-1,171.				-1,171.
169	60.		356.	-296.				-296.
170	135.		760.	-625.				-625.
171	142.		760.	-618.				-618.
172	402.		1,611.	-1,209.				-1,209.
173	449.		1,960.	-1,511.				-1,511.
174	779.		3,450.	-2,671.				-2,671.
175	101.		1,004.	-903.				-903.
176	43.		422.	-379.				-379.
177	201.		2,027.	-1,826.				-1,826.
178	43.		427.	-384.				-384.
179	101.		969.	-868.				-868.
180	43.		424.	-381.				-381.
181	65.		601.	-536.				-536.
182	288.		706.	-418.				-418.
183	612.		1,942.	-1,330.				-1,330.
184	612.		1,892.	-1,280.				-1,280.
185	288.		928.	-640.				-640.
186	198.		593.	-395.				-395.
187	697.		4,697.	-4,000.				-4,000.
188	1,756.		3,795.	-2,039.				-2,039.
189	1,651.		1,193.	458.				458.
190	330.		573.	-243.				-243.
191	2,533.		2,837.	-304.				-304.
192	132.		248.	-116.				-116.
193	528.		995.	-467.				-467.
194	231.		437.	-206.				-206.
195	2,208.		4,422.	-2,214.				-2,214.
196	527.		894.	-367.				-367.
197	856.		907.	-51.				-51.
198	856.		905.	-49.				-49.
199	1,284.		1,428.	-144.				-144.
200	1,284.		1,418.	-134.				-134.
201	535.		555.	-20.				-20.
202	1,047.		4,698.	-3,651.				-3,651.
203	377.		794.	-417.				-417.
204	1,094.		2,508.	-1,414.				-1,414.
205	4,683.		3,899.	784.				784.
206	993.		2,194.	-1,201.				-1,201.
207	993.		2,181.	-1,188.				-1,188.
208	1,291.		2,495.	-1,204.				-1,204.
209	1,863.		4,177.	-2,314.				-2,314.
210	142.		498.	-356.				-356.
211	213.		787.	-574.				-574.
212	255.		922.	-667.				-667.
213	255.		917.	-662.				-662.
214	616.		1,835.	-1,219.				-1,219.
215	161.		362.	-201.				-201.
216	723.		1,684.	-961.				-961.
217	241.		536.	-295.				-295.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
218	402.		930.	-528.				\$ -528.
219	261.		637.	-376.				-376.
220	186.		827.	-641.				-641.
221	78.		333.	-255.				-255.
222	218.		202.	16.				16.
223	1,305.		1,300.	5.				5.
224	798.		805.	-7.				-7.
225	268.		1,331.	-1,063.				-1,063.
226	268.		1,329.	-1,061.				-1,061.
227	346.		955.	-609.				-609.
228	1,185.		2,339.	-1,154.				-1,154.
229	145.		269.	-124.				-124.
230	145.		288.	-143.				-143.
231	617.		1,134.	-517.				-517.
232	544.		1,013.	-469.				-469.
233	290.		528.	-238.				-238.
234	163.		299.	-136.				-136.
235	1,044.		1,746.	-702.				-702.
236	760.		1,288.	-528.				-528.
237	122.		675.	-553.				-553.
238	27.		150.	-123.				-123.
239	107.		558.	-451.				-451.
240	129.		654.	-525.				-525.
241	2,505.		4,447.	-1,942.				-1,942.
242	30.		69.	-39.				-39.
243	218.		1,073.	-855.				-855.
244	311.		1,407.	-1,096.				-1,096.
245	207.		430.	-223.				-223.
246	1,725.		3,393.	-1,668.				-1,668.
247	138.		248.	-110.				-110.
248	233.		708.	-475.				-475.
249	132.		462.	-330.				-330.
250	75.		71.	4.				4.
251	123.		272.	-149.				-149.
252	70.		150.	-80.				-80.
253	211.		267.	-56.				-56.
254	114.		326.	-212.				-212.
255	416.		684.	-268.				-268.
256	306.		1,303.	-997.				-997.
257	1,940.		4,703.	-2,763.				-2,763.
258	168.		261.	-93.				-93.
259	118.		98.	20.				20.
260	210.		339.	-129.				-129.
261	275.		650.	-375.				-375.
262	255.		707.	-452.				-452.
263	675.		1,768.	-1,093.				-1,093.
264	126.		400.	-274.				-274.
265	230.		280.	-50.				-50.
266	510.		334.	176.				176.
267	165.		1,039.	-874.				-874.
268	256.		1,383.	-1,127.				-1,127.
269	301.		570.	-269.				-269.
270	78.		200.	-122.				-122.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
271	233.		570.	-337.				\$ -337.
272	239.		339.	-100.				-100.
273	327.		789.	-462.				-462.
274	249.		1,117.	-868.				-868.
275	303.		375.	-72.				-72.
276	424.		538.	-114.				-114.
277	21.		76.	-55.				-55.
278	258.		942.	-684.				-684.
279	250.		627.	-377.				-377.
280	542.		494.	48.				48.
281	361.		833.	-472.				-472.
282	204.		480.	-276.				-276.
283	306.		775.	-469.				-469.
284	275.		491.	-216.				-216.
285	175.		580.	-405.				-405.
286	353.		1,042.	-689.				-689.
287	78.		237.	-159.				-159.
288	118.		275.	-157.				-157.
289	377.		864.	-487.				-487.
290	577.		631.	-54.				-54.
291	105.		83.	22.				22.
292	121.		131.	-10.				-10.
293	266.		1,081.	-815.				-815.
294	398.		1,636.	-1,238.				-1,238.
295	148.		315.	-167.				-167.
296	449.		585.	-136.				-136.
297	240.		686.	-446.				-446.
298	68.		87.	-19.				-19.
299	91.		207.	-116.				-116.
300	151.		541.	-390.				-390.
301	125.		456.	-331.				-331.
302	381.		799.	-418.				-418.
303	263.		204.	59.				59.
304	318.		1,230.	-912.				-912.
305	221.		899.	-678.				-678.
306	458.		579.	-121.				-121.
307	351.		284.	67.				67.
308	117.		670.	-553.				-553.
309	152.		176.	-24.				-24.
310	206.		268.	-62.				-62.
311	88.		189.	-101.				-101.
312	459.		1,192.	-733.				-733.
313	266.		387.	-121.				-121.
314	431.		746.	-315.				-315.
315	30.		134.	-104.				-104.
316	550.		996.	-446.				-446.
317	269.		326.	-57.				-57.
318	363.		557.	-194.				-194.
319	73.		135.	-62.				-62.
320	257.		280.	-23.				-23.
321	379.		376.	3.				3.
322	128.		676.	-548.				-548.
323	210.		535.	-325.				-325.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
324	255.		944.	-689.				\$ -689.
325	965.		550.	415.				415.
326	204.		449.	-245.				-245.
327	277.		1,427.	-1,150.				-1,150.
328	244.		1,239.	-995.				-995.
329	130.		437.	-307.				-307.
330	65.		228.	-163.				-163.
331	95.		759.	-664.				-664.
332	12.		111.	-99.				-99.
333	301.		570.	-269.				-269.
334	60.		148.	-88.				-88.
335	583.		1,110.	-527.				-527.
336	485.		723.	-238.				-238.
337	383.		467.	-84.				-84.
338	18.		22.	-4.				-4.
339	118.		248.	-130.				-130.
340	414.		877.	-463.				-463.
341	555.		734.	-179.				-179.
342	118.		537.	-419.				-419.
343	253.		493.	-240.				-240.
344	468.		1,352.	-884.				-884.
345	172.		325.	-153.				-153.
346	262.		239.	23.				23.
347	394.		1,026.	-632.				-632.
348	78.		187.	-109.				-109.
349	15.		72.	-57.				-57.
350	667.		2,491.	-1,824.				-1,824.
351	520.		1,667.	-1,147.				-1,147.
352	144.		465.	-321.				-321.
353	137.		421.	-284.				-284.
354	171.		329.	-158.				-158.
355	630.		1,227.	-597.				-597.
356	150.		230.	-80.				-80.
357	924.		2,583.	-1,659.				-1,659.
358	175.		249.	-74.				-74.
359	375.		2,005.	-1,630.				-1,630.
360	5.		23.	-18.				-18.
361	938.		2,669.	-1,731.				-1,731.
362	61.		160.	-99.				-99.
363	792.		550.	242.				242.
364	93.		125.	-32.				-32.
365	793.		1,090.	-297.				-297.
366	268,262.		346,000.	-77,738.				-77,738.
367	24,349.		30,000.	-5,651.				-5,651.
368	355,651.		438,191.	-82,540.				-82,540.
369	105,111.		109,073.	-3,962.				-3,962.
370	79,909.		91,076.	-11,167.				-11,167.
371	171,707.		200,000.	-28,293.				-28,293.
372	144,253.		130,000.	14,253.				14,253.
373	142,626.		130,000.	12,626.				12,626.
374	46,000.		62,602.	-16,602.				-16,602.
375	30,000.		43,797.	-13,797.				-13,797.
376	124,000.		153,054.	-29,054.				-29,054.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
377	131,251.		166,946.	-35,695.				\$ -35,695.
378	3,971.		4,048.	-77.				-77.
379	112.		117.	-5.				-5.
380	975.		1,001.	-26.				-26.
381	1,175.		1,209.	-34.				-34.
382	446.		472.	-26.				-26.
383	5,604.		5,759.	-155.				-155.
384	934.		956.	-22.				-22.
385	728.		746.	-18.				-18.
386	411.		422.	-11.				-11.
387	781.		822.	-41.				-41.
388	117.		118.	-1.				-1.
389	1,410.		1,451.	-41.				-41.
390	59.		60.	-1.				-1.
391	1,116.		1,139.	-23.				-23.
392	35.		36.	-1.				-1.
393	3,689.		3,784.	-95.				-95.
394	3,583.		3,535.	48.				48.
395	147.		152.	-5.				-5.
396	1,762.		1,744.	18.				18.
397	787.		828.	-41.				-41.
398	1,286.		1,287.	-1.				-1.
399	1,516.		1,585.	-69.				-69.
400	235.		235.	0.				0.
401	470.		470.	0.				0.
402	552.		584.	-32.				-32.
403	106.		112.	-6.				-6.
404	511.		545.	-34.				-34.
405	529.		560.	-31.				-31.
406	1,451.		1,532.	-81.				-81.
407	2,890.		3,055.	-165.				-165.
408	988.		718.	270.				270.
409	3,950.		2,424.	1,526.				1,526.
410	1,129.		1,045.	84.				84.
411	2,896.		2,677.	219.				219.
412	25,644.		24,774.	870.				870.
413	3,308.		2,555.	753.				753.
414	2,510.		1,805.	705.				705.
415	1,939.		1,406.	533.				533.
416	363.		366.	-3.				-3.
417	1,648.		1,683.	-35.				-35.
418	1,339.		1,351.	-12.				-12.
419	686.		694.	-8.				-8.
420	428.		398.	30.				30.
421	1,186.		1,204.	-18.				-18.
422	121.		98.	23.				23.
423	97.		97.	0.				0.
424	484.		390.	94.				94.
425	2,897.		2,671.	226.				226.
426	508.		419.	89.				89.
427	904.		860.	44.				44.
428	2,679.		1,873.	806.				806.
429	1,557.		1,233.	324.				324.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
430	1,467.		1,137.	330.			\$	330.
431	2.		1.	1.				1.
432	27,393.		26,263.	1,130.				1,130.
433	5,050.		4,656.	394.				394.
434	2,965.		3,306.	-341.				-341.
435	869.		885.	-16.				-16.
436	1,278.		1,211.	67.				67.
437	266.		246.	20.				20.
438	2,834.		1,862.	972.				972.
439	1,993.		1,919.	74.				74.
440	46,913.		46,085.	828.				828.
441	22,895.		26,570.	-3,675.				-3,675.
442	8,814.		10,034.	-1,220.				-1,220.
443	2,988.		2,736.	252.				252.
444	1,743.		1,872.	-129.				-129.
445	1,112.		1,106.	6.				6.
446	1,247.		1,385.	-138.				-138.
447	3,674.		3,336.	338.				338.
448	2,758.		2,602.	156.				156.
449	2,236.		2,006.	230.				230.
450	45,767.		45,739.	28.				28.
451	9,299.		9,136.	163.				163.
452	9,220.		9,744.	-524.				-524.
453	166.		199.	-33.				-33.
454	3,750.		4,472.	-722.				-722.
455	3,872.		4,616.	-744.				-744.
456	1,084.		1,284.	-200.				-200.
457	4,447.		3,015.	1,432.				1,432.
458	12,202.		16,175.	-3,973.				-3,973.
459	5,817.		7,809.	-1,992.				-1,992.
460	1,284.		1,639.	-355.				-355.
461	994.		1,174.	-180.				-180.
462	78.		100.	-22.				-22.
463	4,990.		5,918.	-928.				-928.
464	2,910.		2,463.	447.				447.
465	1,659.		1,755.	-96.				-96.
466	2,721.		2,367.	354.				354.
467	2,456.		2,633.	-177.				-177.
468	32,500.		50,004.	-17,504.				-17,504.
469	46,875.		48,818.	-1,943.				-1,943.
470	2,609.		2,124.	485.				485.
471	2,657.		2,520.	137.				137.
472	2,899.		2,705.	194.				194.
473	3,816.		3,244.	572.				572.
474	707.		612.	95.				95.
475	3,528.		2,504.	1,024.				1,024.
476	4,076.		4,449.	-373.				-373.
477	337.		296.	41.				41.
478	2,614.		2,309.	305.				305.
479	2,614.		2,403.	211.				211.
480	274.		255.	19.				19.
481	2,562.		2,382.	180.				180.
482	2,653.		2,505.	148.				148.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
483	6,065.		5,634.	431.				\$ 431.
484	1,454.		1,332.	122.				122.
485	2,438.		2,033.	405.				405.
486	7,380.		9,518.	-2,138.				-2,138.
487	5,570.		5,319.	251.				251.
488	6,638.		7,398.	-760.				-760.
489	7,419.		7,554.	-135.				-135.
490	5,209.		4,589.	620.				620.
491	2,480.		2,250.	230.				230.
492	425.		376.	49.				49.
493	980.		992.	-12.				-12.
494	874.		884.	-10.				-10.
495	3,725.		3,815.	-90.				-90.
496	13,900.		15,500.	-1,600.				-1,600.
497	2,599.		2,673.	-74.				-74.
498	1,321.		1,352.	-31.				-31.
499	1,932.		1,905.	27.				27.
500	1,112.		1,097.	15.				15.
501	5,720.		5,218.	502.				502.
502	344.		333.	11.				11.
503	21.		20.	1.				1.
504	1,260.		1,227.	33.				33.
505	1,010.		989.	21.				21.
506	26.		25.	1.				1.
507	474.		465.	9.				9.
508	744.		732.	12.				12.
509	1,754.		1,725.	29.				29.
510	4,537.		4,773.	-236.				-236.
511	2,420.		2,744.	-324.				-324.
512	5,769.		4,641.	1,128.				1,128.
513	2,545.		2,347.	198.				198.
514	8,795.		8,729.	66.				66.
515	1,628.		1,436.	192.				192.
516	2,944.		3,532.	-588.				-588.
517	2,395.		2,855.	-460.				-460.
518	963.		944.	19.				19.
519	910.		1,067.	-157.				-157.
520	3,091.		3,721.	-630.				-630.
521	4,349.		5,093.	-744.				-744.
522	963.		1,173.	-210.				-210.
523	268.		315.	-47.				-47.
524	2,275.		2,698.	-423.				-423.
525	2,020.		2,421.	-401.				-401.
526	214.		254.	-40.				-40.
527	749.		688.	61.				61.
528	535.		638.	-103.				-103.
529	2,770.		2,536.	234.				234.
530	4,466.		4,961.	-495.				-495.
531	10,054.		9,507.	547.				547.
532	4,558.		5,181.	-623.				-623.
533	678.		707.	-29.				-29.
534	1,845.		2,647.	-802.				-802.
535	1,369.		1,259.	110.				110.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
536	1,178.		880.	298.				\$ 298.
537	9,327.		9,089.	238.				238.
538	3,311.		1,594.	1,717.				1,717.
539	25,000.		25,000.	0.				0.
540	51,140.		50,802.	338.				338.
541	9,639.		9,947.	-308.				-308.
542	1,885.		1,660.	225.				225.
543	8,740.		7,027.	1,713.				1,713.
544	26,763.		30,448.	-3,685.				-3,685.
545	1,046.		2,087.	-1,041.				-1,041.
546	605.		502.	103.				103.
547	63.		117.	-54.				-54.
548	348.		772.	-424.				-424.
549	307.		963.	-656.				-656.
550	1,436.		6,716.	-5,280.				-5,280.
551	211.		422.	-211.				-211.
552	173.		662.	-489.				-489.
553	469.		939.	-470.				-470.
554	62.		233.	-171.				-171.
555	65.		123.	-58.				-58.
556	116.		416.	-300.				-300.
557	177.		147.	30.				30.
558	85.		304.	-219.				-219.
559	28.		24.	4.				4.
560	516.		497.	19.				19.
561	43.		38.	5.				5.
562	1,250.		1,204.	46.				46.
563	10.		9.	1.				1.
564	1,023.		985.	38.				38.
565	498.		496.	2.				2.
566	472.		478.	-6.				-6.
567	687.		678.	9.				9.
568	5.		5.	0.				0.
569	38.		39.	-1.				-1.
570	194.		158.	36.				36.
571	50.		40.	10.				10.
572	1,240.		6,538.	-5,298.				-5,298.
573	688.		581.	107.				107.
574	220.		960.	-740.				-740.
575	125.		102.	23.				23.
576	540.		1,810.	-1,270.				-1,270.
577	57.		99.	-42.				-42.
578	727.		601.	126.				126.
579	347.		290.	57.				57.
580	615.		514.	101.				101.
581	3.		3.	0.				0.
582	348.		293.	55.				55.
583	2,419.		2,578.	-159.				-159.
584	1,577.		1,525.	52.				52.
585	11.		10.	1.				1.
586	4,639.		4,008.	631.				631.
587	505.		436.	69.				69.
588	335.		293.	42.				42.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
589	335.		292.	43.			\$	43.
590	2,682.		2,378.	304.				304.
591	51,141.		49,742.	1,399.				1,399.
592	2,078.		1,604.	474.				474.
593	6,107.		5,455.	652.				652.
594	3,181.		2,815.	366.				366.
595	4,240.		3,488.	752.				752.
596	1,821.		1,822.	-1.				-1.
597	3,683.		4,280.	-597.				-597.
598	116.		148.	-32.				-32.
599	344.		308.	36.				36.
600	488.		609.	-121.				-121.
601	246.		288.	-42.				-42.
602	283.		354.	-71.				-71.
603	1,858.		2,156.	-298.				-298.
604	4,826.		6,026.	-1,200.				-1,200.
605	4,240.		3,661.	579.				579.
606	2,364.		2,942.	-578.				-578.
607	1,974.		1,777.	197.				197.
608	5,262.		6,197.	-935.				-935.
609	395.		451.	-56.				-56.
610	1,844.		1,648.	196.				196.
611	1,830.		2,348.	-518.				-518.
612	51.		51.	0.				0.
613	1,788.		2,294.	-506.				-506.
614	444.		379.	65.				65.
615	1,642.		1,403.	239.				239.
616	1,849.		1,555.	294.				294.
617	2,248.		2,059.	189.				189.
618	296.		244.	52.				52.
619	2,707.		2,336.	371.				371.
620	118.		101.	17.				17.
621	1,242.		1,194.	48.				48.
622	592.		503.	89.				89.
623	3,017.		2,840.	177.				177.
624	2,677.		2,577.	100.				100.
625	9,345.		8,111.	1,234.				1,234.
626	5,543.		4,075.	1,468.				1,468.
627	2,334.		2,325.	9.				9.
628	2,227.		2,219.	8.				8.
629	3,925.		3,917.	8.				8.
630	5,834.		5,799.	35.				35.
631	13,340.		12,960.	380.				380.
632	7,943.		7,885.	58.				58.
633	8,163.		7,300.	863.				863.
634	17,834.		15,801.	2,033.				2,033.
635	6,657.		6,992.	-335.				-335.
636	1,221.		1,292.	-71.				-71.
637	2,497.		2,574.	-77.				-77.
638	5,659.		5,913.	-254.				-254.
639	4,630.		3,242.	1,388.				1,388.
640	10,111.		10,193.	-82.				-82.
641	10,220.		10,667.	-447.				-447.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
642	48,775.		45,818.	2,957.				\$ 2,957.
643	10,210.		11,980.	-1,770.				-1,770.
644	1,173.		1,214.	-41.				-41.
645	4,212.		4,515.	-303.				-303.
646	2,675.		2,412.	263.				263.
647	5,101.		5,298.	-197.				-197.
648	2,360.		2,803.	-443.				-443.
649	1,396.		1,417.	-21.				-21.
650	1,726.		1,786.	-60.				-60.
651	7,737.		6,372.	1,365.				1,365.
652	870.		759.	111.				111.
653	1,129.		828.	301.				301.
654	4,065.		4,096.	-31.				-31.
655	26,661.		25,484.	1,177.				1,177.
656	1,260.		843.	417.				417.
657	1,207.		896.	311.				311.
658	1,101.		1,300.	-199.				-199.
659	1,234.		1,036.	198.				198.
660	504.		590.	-86.				-86.
661	1,167.		827.	340.				340.
662	2,600.		3,049.	-449.				-449.
663	438.		353.	85.				85.
664	3,210.		3,533.	-323.				-323.
665	3,011.		2,478.	533.				533.
666	703.		768.	-65.				-65.
667	2,043.		1,461.	582.				582.
668	531.		575.	-44.				-44.
669	3,130.		2,187.	943.				943.
670	1,406.		1,490.	-84.				-84.
671	2,600.		1,786.	814.				814.
672	849.		585.	264.				264.
673	66.		46.	20.				20.
674	1,061.		756.	305.				305.
675	1,472.		1,052.	420.				420.
676	3,059.		2,581.	478.				478.
677	922.		843.	79.				79.
678	3,009.		2,674.	335.				335.
679	627.		407.	220.				220.
680	1,950.		1,207.	743.				743.
681	1,096.		805.	291.				291.
682	3,832.		1,673.	2,159.				2,159.
683	4,369.		7,570.	-3,201.				-3,201.
684	1,406.		1,091.	315.				315.
685	1,241.		987.	254.				254.
686	3,993.		3,075.	918.				918.
687	15.		12.	3.				3.
688	344.		265.	79.				79.
689	2,124.		1,758.	366.				366.
690	449.		371.	78.				78.
691	1,899.		1,591.	308.				308.
692	1,346.		1,149.	197.				197.
693	1,062.		1,052.	10.				10.
694	1,594.		1,738.	-144.				-144.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
695	1,594.		1,654.	-60.			\$	-60.
696	1,328.		1,429.	-101.				-101.
697	2,159.		1,728.	431.				431.
698	1,889.		1,339.	550.				550.
699	1,754.		1,454.	300.				300.
700	1,799.		1,606.	193.				193.
701	2,436.		1,580.	856.				856.
702	1,778.		955.	823.				823.
703	1,740.		2,359.	-619.				-619.
704	1,566.		2,555.	-989.				-989.
705	767.		545.	222.				222.
706	1,959.		3,153.	-1,194.				-1,194.
707	4,034.		5,299.	-1,265.				-1,265.
708	264.		403.	-139.				-139.
709	251.		336.	-85.				-85.
710	1,959.		3,228.	-1,269.				-1,269.
711	1,166.		763.	403.				403.
712	1,050.		1,726.	-676.				-676.
713	1,695.		1,062.	633.				633.
714	857.		1,399.	-542.				-542.
715	1,385.		743.	642.				642.
716	135.		220.	-85.				-85.
717	3,022.		4,931.	-1,909.				-1,909.
718	1,538.		2,426.	-888.				-888.
719	766.		1,245.	-479.				-479.
720	2,001.		3,197.	-1,196.				-1,196.
721	356.		533.	-177.				-177.
722	269.		430.	-161.				-161.
723	2,062.		3,207.	-1,145.				-1,145.
724	1,471.		1,933.	-462.				-462.
725	645.		1,000.	-355.				-355.
726	2,236.		2,231.	5.				5.
727	2,303.		1,614.	689.				689.
728	2,632.		1,778.	854.				854.
729	1,806.		1,122.	684.				684.
730	2,129.		1,588.	541.				541.
731	537.		285.	252.				252.
732	2,498.		1,280.	1,218.				1,218.
733	457.		248.	209.				209.
734	5,282.		4,099.	1,183.				1,183.
735	809.		636.	173.				173.
736	4,554.		4,476.	78.				78.
737	3,599.		3,550.	49.				49.
738	46,000.		46,000.	0.				0.
739	2,003.		1,828.	175.				175.
740	626.		572.	54.				54.
741	4,715.		6,184.	-1,469.				-1,469.
742	32,192.		32,192.	0.				0.
743	4,066.		1,805.	2,261.				2,261.
744	566.		320.	246.				246.
745	2,020.		1,128.	892.				892.
746	103.		57.	46.				46.
747	3,667.		1,974.	1,693.				1,693.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
748	2,020.		856.	1,164.			\$	1,164.
749	129,068.		141,638.	-12,570.				-12,570.
750	2,247.		2,382.	-135.				-135.
751	2,595.		1,786.	809.				809.
752	3,866.		3,640.	226.				226.
753	5,385.		5,090.	295.				295.
754	6,257.		6,083.	174.				174.
755	916.		866.	50.				50.
756	15,755.		14,259.	1,496.				1,496.
757	3,557.		4,104.	-547.				-547.
758	5,488.		5,119.	369.				369.
759	5,826.		6,242.	-416.				-416.
760	4,720.		5,369.	-649.				-649.
761	8,159.		8,107.	52.				52.
762	2,407.		2,674.	-267.				-267.
763	13,492.		10,685.	2,807.				2,807.
764	10,188.		9,936.	252.				252.
765	1,495.		1,394.	101.				101.
766	1,068.		922.	146.				146.
767	9,916.		11,615.	-1,699.				-1,699.
768	5,266.		3,978.	1,288.				1,288.
769	5,574.		5,390.	184.				184.
770	1,637.		1,121.	516.				516.
771	2,703.		2,131.	572.				572.
772	539.		402.	137.				137.
773	2,830.		2,471.	359.				359.
774	137.		130.	7.				7.
775	484.		390.	94.				94.
776	1,406.		1,346.	60.				60.
777	329.		286.	43.				43.
778	2,191.		2,100.	91.				91.
779	2,100.		1,840.	260.				260.
780	520.		501.	19.				19.
781	1,132.		903.	229.				229.
782	2,712.		2,465.	247.				247.
783	1,187.		948.	239.				239.
784	1,077.		979.	98.				98.
785	6,190.		4,467.	1,723.				1,723.
786	1,963.		1,763.	200.				200.
787	648.		471.	177.				177.
788	940.		835.	105.				105.
789	1,452.		1,182.	270.				270.
790	849.		700.	149.				149.
791	5,980.		5,250.	730.				730.
792	685.		600.	85.				85.
793	1,176.		1,052.	124.				124.
794	1,417.		1,389.	28.				28.
795	992.		884.	108.				108.
796	1,181.		1,204.	-23.				-23.
797	2,801.		3,354.	-553.				-553.
798	2,765.		2,487.	278.				278.
799	1,291.		930.	361.				361.
800	1,896.		1,493.	403.				403.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
801	8,974.		8,207.	767.			\$	767.
802	5,841.		6,264.	-423.				-423.
803	1,545.		1,333.	212.				212.
804	1,309.		1,155.	154.				154.
805	1,468.		2,060.	-592.				-592.
806	796.		780.	16.				16.
807	1,066.		1,834.	-768.				-768.
808	747.		1,285.	-538.				-538.
809	68.		42.	26.				26.
810	793.		1,366.	-573.				-573.
811	2,926.		2,247.	679.				679.
812	355.		610.	-255.				-255.
813	984.		657.	327.				327.
814	1,278.		2,200.	-922.				-922.
815	729.		564.	165.				165.
816	1,720.		2,950.	-1,230.				-1,230.
817	2,448.		3,804.	-1,356.				-1,356.
818	1,077.		1,845.	-768.				-768.
819	2,499.		1,803.	696.				696.
820	707.		1,211.	-504.				-504.
821	1,077.		831.	246.				246.
822	251.		427.	-176.				-176.
823	779.		479.	300.				300.
824	1,935.		3,254.	-1,319.				-1,319.
825	725.		436.	289.				289.
826	2,010.		3,328.	-1,318.				-1,318.
827	2,219.		1,320.	899.				899.
828	7.		6.	1.				1.
829	1,149.		1,005.	144.				144.
830	556.		499.	57.				57.
831	93.		83.	10.				10.
832	18,289.		16,678.	1,611.				1,611.
833	8,658.		9,491.	-833.				-833.
834	94,050.		91,646.	2,404.				2,404.
835	20,123.		18,219.	1,904.				1,904.
836	7,169.		7,123.	46.				46.
837	1,257.		1,282.	-25.				-25.
838	7,640.		8,650.	-1,010.				-1,010.
839	1,112.		1,094.	18.				18.
840	25,121.		25,717.	-596.				-596.
841	2,545.		2,728.	-183.				-183.
842	1,971.		1,669.	302.				302.
843	1,655.		1,540.	115.				115.
844	1,429.		1,394.	35.				35.
845	65.		51.	14.				14.
846	140,864.		130,000.	10,864.				10,864.
847	15.		64.	-49.				-49.
848	139.		531.	-392.				-392.
849	701.		2,430.	-1,729.				-1,729.
850	273.		1,464.	-1,191.				-1,191.
851	1,567.		2,079.	-512.				-512.
852	219.		474.	-255.				-255.
853	1,504.		1,843.	-339.				-339.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
854	116.		128.	-12.			\$	-12.
855	697.		3,166.	-2,469.				-2,469.
856	390.		1,934.	-1,544.				-1,544.
857	937.		3,165.	-2,228.				-2,228.
858	141.		549.	-408.				-408.
859	2,559.		2,470.	89.				89.
860	73.		121.	-48.				-48.
861	146.		232.	-86.				-86.
862	73.		76.	-3.				-3.
863	448.		1,576.	-1,128.				-1,128.
864	139.		469.	-330.				-330.
865	201.		874.	-673.				-673.
866	186.		435.	-249.				-249.
867	155.		135.	20.				20.
868	216.		728.	-512.				-512.
869	495.		520.	-25.				-25.
870	818.		1,826.	-1,008.				-1,008.
871	632.		1,193.	-561.				-561.
872	223.		431.	-208.				-208.
873	566.		2,254.	-1,688.				-1,688.
874	2,362.		6,388.	-4,026.				-4,026.
875	525.		1,337.	-812.				-812.
876	44.		39.	5.				5.
877	96.		196.	-100.				-100.
878	248.		458.	-210.				-210.
879	306.		488.	-182.				-182.
880	745.		1,643.	-898.				-898.
881	282.		509.	-227.				-227.
882	631.		1,159.	-528.				-528.
883	216.		185.	31.				31.
884	373.		1,175.	-802.				-802.
885	124.		741.	-617.				-617.
886	2,244.		2,927.	-683.				-683.
887	88.		216.	-128.				-128.
888	404.		524.	-120.				-120.
889	135.		507.	-372.				-372.
890	135.		599.	-464.				-464.
891	145.		599.	-454.				-454.
892	62.		78.	-16.				-16.
893	145.		540.	-395.				-395.
894	693.		1,481.	-788.				-788.
895	693.		2,984.	-2,291.				-2,291.
896	156.		135.	21.				21.
897	89.		284.	-195.				-195.
898	491.		626.	-135.				-135.
899	480.		1,024.	-544.				-544.
900	492.		548.	-56.				-56.
901	178.		513.	-335.				-335.
902	459.		1,368.	-909.				-909.
903	204.		312.	-108.				-108.
904	153.		288.	-135.				-135.
905	161.		340.	-179.				-179.
906	69.		53.	16.				16.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i)-(j)	(l) Gain (Loss)
907	759.		1,886.	-1,127.				\$ -1,127.
908	298.		725.	-427.				-427.
909	255.		702.	-447.				-447.
910	149.		434.	-285.				-285.
911	21.		78.	-57.				-57.
912	376.		1,549.	-1,173.				-1,173.
913	641.		2,800.	-2,159.				-2,159.
914	25.		82.	-57.				-57.
915	607.		1,944.	-1,337.				-1,337.
916	139.		349.	-210.				-210.
917	89.		118.	-29.				-29.
918	34.		94.	-60.				-60.
919	980.		1,964.	-984.				-984.
920	1,153.		879.	274.				274.
921	134.		128.	6.				6.
922	266.		790.	-524.				-524.
923	741.		2,432.	-1,691.				-1,691.
924	237.		747.	-510.				-510.
925	269.		799.	-530.				-530.
926	244.		740.	-496.				-496.
927	323.		319.	4.				4.
928	1,130.		1,043.	87.				87.
929	484.		494.	-10.				-10.
930	129.		175.	-46.				-46.
931	1,351.		1,843.	-492.				-492.
932	354.		490.	-136.				-136.
933	837.		895.	-58.				-58.
934	837.		901.	-64.				-64.
935	551.		406.	145.				145.
936	207.		173.	34.				34.
937	1,188.		816.	372.				372.
938	362.		307.	55.				55.
939	69.		58.	11.				11.
940	1,412.		1,399.	13.				13.
941	264.		1,452.	-1,188.				-1,188.
942	91.		518.	-427.				-427.
943	81.		415.	-334.				-334.
944	41.		253.	-212.				-212.
945	20.		99.	-79.				-79.
946	10.		19.	-9.				-9.
947	934.		889.	45.				45.
948	311.		287.	24.				24.
949	934.		1,054.	-120.				-120.
950	156.		150.	6.				6.
951	545.		619.	-74.				-74.
952	311.		293.	18.				18.
953	1,380.		2,580.	-1,200.				-1,200.
954	168.		546.	-378.				-378.
955	168.		397.	-229.				-229.
956	269.		256.	13.				13.
957	269.		234.	35.				35.
958	523.		1,639.	-1,116.				-1,116.
959	713.		1,247.	-534.				-534.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
960	618.		489.	129.			\$	129.
961	1,181.		1,404.	-223.				-223.
962	489.		319.	170.				170.
963	204.		157.	47.				47.
964	1,428.		3,297.	-1,869.				-1,869.
965	81.		192.	-111.				-111.
966	162.		369.	-207.				-207.
967	13.		27.	-14.				-14.
968	24.		105.	-81.				-81.
969	314.		1,349.	-1,035.				-1,035.
970	517.		2,386.	-1,869.				-1,869.
971	372.		1,532.	-1,160.				-1,160.
972	30.		126.	-96.				-96.
973	2,241.		3,653.	-1,412.				-1,412.
974	79.		130.	-51.				-51.
975	236.		398.	-162.				-162.
976	1,716.		2,085.	-369.				-369.
977	54.		83.	-29.				-29.
978	300.		822.	-522.				-522.
979	1,267.		2,042.	-775.				-775.
980	661.		660.	1.				1.
981	240.		535.	-295.				-295.
982	160.		378.	-218.				-218.
983	573.		708.	-135.				-135.
984	502.		439.	63.				63.
985	860.		1,301.	-441.				-441.
986	72.		59.	13.				13.
987	358.		897.	-539.				-539.
988	430.		368.	62.				62.
989	72.		78.	-6.				-6.
990	511.		2,620.	-2,109.				-2,109.
991	85.		452.	-367.				-367.
992	85.		421.	-336.				-336.
993	170.		937.	-767.				-767.
994	41.		229.	-188.				-188.
995	226.		1,219.	-993.				-993.
996	1,312.		2,474.	-1,162.				-1,162.
997	71.		49.	22.				22.
998	234.		651.	-417.				-417.
999	1,078.		2,955.	-1,877.				-1,877.
1000	105.		320.	-215.				-215.
1001	59.		39.	20.				20.
1002	1,071.		756.	315.				315.
1003	173.		126.	47.				47.
1004	403.		380.	23.				23.
1005	345.		385.	-40.				-40.
1006	1,099.		1,044.	55.				55.
1007	423.		382.	41.				41.
1008	507.		513.	-6.				-6.
1009	1,272.		2,806.	-1,534.				-1,534.
1010	224.		786.	-562.				-562.
1011	348.		1,274.	-926.				-926.
1012	261.		923.	-662.				-662.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
1013	300.		2,634.	-2,334.				\$ -2,334.
1014	954.		2,364.	-1,410.				-1,410.
1015	427.		1,062.	-635.				-635.
1016	78.		197.	-119.				-119.
1017	58.		162.	-104.				-104.
1018	39.		99.	-60.				-60.
1019	155.		194.	-39.				-39.
1020	175.		182.	-7.				-7.
1021	1,101.		1,680.	-579.				-579.
1022	783.		1,703.	-920.				-920.
1023	298.		586.	-288.				-288.
1024	3,290.		5,701.	-2,411.				-2,411.
1025	139.		199.	-60.				-60.
1026	487.		1,323.	-836.				-836.
1027	390.		657.	-267.				-267.
1028	536.		613.	-77.				-77.
1029	682.		2,058.	-1,376.				-1,376.
1030	829.		953.	-124.				-124.
1031	146.		170.	-24.				-24.
1032	490.		847.	-357.				-357.
1033	1,170.		1,920.	-750.				-750.
1034	163.		350.	-187.				-187.
1035	261.		402.	-141.				-141.
1036	601.		2,053.	-1,452.				-1,452.
1037	30.		134.	-104.				-104.
1038	60.		145.	-85.				-85.
1039	1,490.		2,253.	-763.				-763.
1040	737.		2,811.	-2,074.				-2,074.
1041	30.		116.	-86.				-86.
1042	677.		2,419.	-1,742.				-1,742.
1043	578.		1,164.	-586.				-586.
1044	329.		327.	2.				2.
1045	408.		409.	-1.				-1.
1046	476.		479.	-3.				-3.
1047	613.		675.	-62.				-62.
1048	484.		284.	200.				200.
1049	449.		888.	-439.				-439.
1050	105.		78.	27.				27.
1051	1,355.		2,799.	-1,444.				-1,444.
1052	138.		295.	-157.				-157.
1053	2,004.		7,017.	-5,013.				-5,013.
1054	304.		628.	-324.				-324.
1055	498.		1,323.	-825.				-825.
1056	887.		869.	18.				18.
1057	1,890.		1,800.	90.				90.
1058	6,943.		10,413.	-3,470.				-3,470.
1059	2,560.		2,754.	-194.				-194.
1060	2,011.		1,957.	54.				54.
1061	603.		636.	-33.				-33.
1062	1,086.		1,628.	-542.				-542.
1063	1,448.		1,967.	-519.				-519.
1064	2,171.		3,316.	-1,145.				-1,145.
1065	965.		1,287.	-322.				-322.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
1066	241.		368.	-127.				\$ -127.
1067	319.		646.	-327.				-327.
1068	572.		1,236.	-664.				-664.
1069	817.		1,800.	-983.				-983.
1070	1,225.		2,711.	-1,486.				-1,486.
1071	389.		2,583.	-2,194.				-2,194.
1072	1,104.		5,728.	-4,624.				-4,624.
1073	1,196.		2,334.	-1,138.				-1,138.
1074	644.		1,242.	-598.				-598.
1075	713.		1,424.	-711.				-711.
1076	552.		1,061.	-509.				-509.
1077	3,604.		10,317.	-6,713.				-6,713.
1078	473.		1,695.	-1,222.				-1,222.
1079	382.		1,413.	-1,031.				-1,031.
1080	763.		2,712.	-1,949.				-1,949.
1081	669.		1,170.	-501.				-501.
1082	892.		1,679.	-787.				-787.
1083	1,785.		3,351.	-1,566.				-1,566.
1084	102.		600.	-498.				-498.
1085	109.		640.	-531.				-531.
1086	122.		545.	-423.				-423.
1087	163.		924.	-761.				-761.
1088	27.		154.	-127.				-127.
1089	633.		2,756.	-2,123.				-2,123.
1090	278.		1,646.	-1,368.				-1,368.
1091	32.		200.	-168.				-168.
1092	86.		481.	-395.				-395.
1093	64.		385.	-321.				-321.
1094	182.		672.	-490.				-490.
1095	150.		832.	-682.				-682.
1096	428.		2,016.	-1,588.				-1,588.
1097	150.		843.	-693.				-693.
1098	86.		457.	-371.				-371.
1099	61.		374.	-313.				-313.
1100	689.		3,505.	-2,816.				-2,816.
1101	345.		1,695.	-1,350.				-1,350.
1102	51.		269.	-218.				-218.
1103	517.		2,011.	-1,494.				-1,494.
1104	162.		892.	-730.				-730.
1105	132.		2,886.	-2,754.				-2,754.
1106	32.		374.	-342.				-342.
1107	32.		379.	-347.				-347.
1108	26.		315.	-289.				-289.
1109	218.		3,762.	-3,544.				-3,544.
1110	23.		562.	-539.				-539.
1111	21.		242.	-221.				-221.
1112	23.		273.	-250.				-250.
1113	2,349.		5,083.	-2,734.				-2,734.
1114	184.		411.	-227.				-227.
1115	656.		966.	-310.				-310.
1116	3,988.		5,292.	-1,304.				-1,304.
1117	3,369.		6,301.	-2,932.				-2,932.
1118	222.		437.	-215.				-215.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
1119	570.		1,116.	-546.				\$ -546.
1120	760.		1,500.	-740.				-740.
1121	1,074.		2,597.	-1,523.				-1,523.
1122	254.		612.	-358.				-358.
1123	2,091.		3,440.	-1,349.				-1,349.
1124	258.		304.	-46.				-46.
1125	775.		1,150.	-375.				-375.
1126	258.		290.	-32.				-32.
1127	258.		335.	-77.				-77.
1128	172.		223.	-51.				-51.
1129	86.		103.	-17.				-17.
1130	7,322.		9,427.	-2,105.				-2,105.
1131	1,172.		8,624.	-7,452.				-7,452.
1132	26.		166.	-140.				-140.
1133	296.		831.	-535.				-535.
1134	830.		2,421.	-1,591.				-1,591.
1135	652.		1,839.	-1,187.				-1,187.
1136	296.		855.	-559.				-559.
1137	178.		509.	-331.				-331.
1138	5,592.		7,520.	-1,928.				-1,928.
1139	6,352.		9,982.	-3,630.				-3,630.
1140	1,221.		1,251.	-30.				-30.
1141	898.		693.	205.				205.
1142	449.		306.	143.				143.
1143	2,764.		11,944.	-9,180.				-9,180.
1144	230.		604.	-374.				-374.
1145	3,892.		8,226.	-4,334.				-4,334.
1146	818.		1,199.	-381.				-381.
1147	3,398.		5,291.	-1,893.				-1,893.
1148	503.		728.	-225.				-225.
1149	566.		829.	-263.				-263.
1150	559.		1,531.	-972.				-972.
1151	97.		203.	-106.				-106.
1152	292.		511.	-219.				-219.
1153	1,120.		1,092.	28.				28.
1154	1,344.		1,305.	39.				39.
1155	747.		732.	15.				15.
1156	448.		426.	22.				22.
1157	224.		212.	12.				12.
1158	672.		636.	36.				36.
1159	1,909.		4,073.	-2,164.				-2,164.
1160	2,221.		3,793.	-1,572.				-1,572.
1161	224.		371.	-147.				-147.
1162	652.		1,164.	-512.				-512.
1163	3,672.		9,238.	-5,566.				-5,566.
1164	336.		594.	-258.				-258.
1165	749.		1,428.	-679.				-679.
1166	2,164.		4,146.	-1,982.				-1,982.
1167	234.		429.	-195.				-195.
1168	1,054.		1,584.	-530.				-530.
1169	751.		1,373.	-622.				-622.
1170	865.		822.	43.				43.
1171	1,177.		1,242.	-65.				-65.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
1172	2,098.		2,102.	-4.			\$	-4.
1173	1,509.		1,624.	-115.				-115.
1174	2,961.		2,962.	-1.				-1.
1175	1,303.		1,229.	74.				74.
1176	1,865.		1,857.	8.				8.
1177	1,569.		1,529.	40.				40.
1178	1,295.		2,778.	-1,483.				-1,483.
1179	1,456.		1,371.	85.				85.
1180	1,640.		1,612.	28.				28.
1181	1,709.		1,814.	-105.				-105.
1182	3,583.		3,829.	-246.				-246.
1183	1,546.		3,330.	-1,784.				-1,784.
1184	1,348.		1,776.	-428.				-428.
1185	2,001.		2,048.	-47.				-47.
1186	1,159.		1,472.	-313.				-313.
1187	2,578.		2,300.	278.				278.
1188	2,420.		3,044.	-624.				-624.
1189	2,222.		2,878.	-656.				-656.
1190	1,250.		1,214.	36.				36.
1191	1,573.		1,439.	134.				134.
1192	1,851.		1,867.	-16.				-16.
1193	1,051.		1,036.	15.				15.
1194	2,522.		2,967.	-445.				-445.
1195	3,895.		3,193.	702.				702.
1196	1,650.		1,402.	248.				248.
1197	1,711.		1,040.	671.				671.
1198	4,638.		3,909.	729.				729.
1199	2,070.		1,723.	347.				347.
1200	1,764.		1,821.	-57.				-57.
1201	2,003.		2,272.	-269.				-269.
1202	1,634.		2,278.	-644.				-644.
1203	1,202.		1,679.	-477.				-477.
1204	1,101.		1,281.	-180.				-180.
1205	2,363.		2,441.	-78.				-78.
1206	1,445.		1,525.	-80.				-80.
1207	1,521.		1,525.	-4.				-4.
1208	1,537.		1,588.	-51.				-51.
1209	2,412.		1,984.	428.				428.
1210	708.		579.	129.				129.
1211	2,122.		2,106.	16.				16.
1212	1,775.		1,790.	-15.				-15.
1213	2,108.		2,212.	-104.				-104.
1214	1,856.		2,109.	-253.				-253.
1215	42.		27.	15.				15.
1216	981.		1,173.	-192.				-192.
1217	1,281.		1,329.	-48.				-48.
1218	574.		498.	76.				76.
1219	2,050.		1,885.	165.				165.
1220	1,420.		1,319.	101.				101.
1221	1,634.		1,657.	-23.				-23.
1222	900.		1,241.	-341.				-341.
1223	676.		1,319.	-643.				-643.
1224	1,061.		1,006.	55.				55.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
1225	4,309.		4,720.	-411.			\$	-411.
1226	868.		954.	-86.				-86.
1227	3,428.		3,803.	-375.				-375.
1228	284.		314.	-30.				-30.
1229	5,728.		6,561.	-833.				-833.
1230	183.		223.	-40.				-40.
1231	4,415.		5,384.	-969.				-969.
1232	1,515.		1,859.	-344.				-344.
1233	1,456.		1,835.	-379.				-379.
1234	316.		338.	-22.				-22.
1235	332.		354.	-22.				-22.
1236	1,569.		1,623.	-54.				-54.
1237	4,094.		4,227.	-133.				-133.
1238	4,024.		4,293.	-269.				-269.
1239	764.		1,187.	-423.				-423.
1240	4,688.		7,957.	-3,269.				-3,269.
1241	52.		84.	-32.				-32.
1242	2,683.		4,080.	-1,397.				-1,397.
1243	927.		1,437.	-510.				-510.
1244	286.		447.	-161.				-161.
1245	390.		609.	-219.				-219.
1246	1,078.		1,944.	-866.				-866.
1247	1,515.		2,355.	-840.				-840.
1248	993.		1,608.	-615.				-615.
1249	1,285.		2,010.	-725.				-725.
1250	3,819.		6,253.	-2,434.				-2,434.
1251	686.		887.	-201.				-201.
1252	308.		393.	-85.				-85.
1253	288.		367.	-79.				-79.
1254	863.		1,101.	-238.				-238.
1255	826.		1,118.	-292.				-292.
1256	320.		411.	-91.				-91.
1257	133.		181.	-48.				-48.
1258	525.		723.	-198.				-198.
1259	429.		592.	-163.				-163.
1260	920.		1,249.	-329.				-329.
1261	1,985.		2,728.	-743.				-743.
1262	4,226.		5,423.	-1,197.				-1,197.
1263	181.		247.	-66.				-66.
1264	815.		1,101.	-286.				-286.
1265	614.		789.	-175.				-175.
1266	2,969.		4,010.	-1,041.				-1,041.
1267	246.		329.	-83.				-83.
1268	109.		148.	-39.				-39.
1269	37.		46.	-9.				-9.
1270	25.		32.	-7.				-7.
1271	388.		477.	-89.				-89.
1272	1,354.		1,690.	-336.				-336.
1273	100.		123.	-23.				-23.
1274	25.		32.	-7.				-7.
1275	737.		912.	-175.				-175.
1276	424.		521.	-97.				-97.
1277	325.		409.	-84.				-84.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i)-(j)	(l) Gain (Loss)
1278	49.		63.	-14.			\$	-14.
1279	455.		583.	-128.				-128.
1280	625.		774.	-149.				-149.
1281	246.		315.	-69.				-69.
1282	215.		268.	-53.				-53.
1283	98.		126.	-28.				-28.
1284	26.		32.	-6.				-6.
1285	185.		237.	-52.				-52.
1286	706.		841.	-135.				-135.
1287	1,371.		1,753.	-382.				-382.
1288	411.		461.	-50.				-50.
1289	420.		476.	-56.				-56.
1290	449.		505.	-56.				-56.
1291	209.		231.	-22.				-22.
1292	562.		621.	-59.				-59.
1293	441.		505.	-64.				-64.
1294	1,347.		1,487.	-140.				-140.
1295	1,074.		1,227.	-153.				-153.
1296	468.		535.	-67.				-67.
1297	722.		1,634.	-912.				-912.
1298	49.		149.	-100.				-100.
1299	753.		1,663.	-910.				-910.
1300	175.		376.	-201.				-201.
1301	42.		94.	-52.				-52.
1302	108.		225.	-117.				-117.
1303	355.		1,080.	-725.				-725.
1304	25.		56.	-31.				-31.
1305	1,781.		5,474.	-3,693.				-3,693.
1306	1,642.		3,775.	-2,133.				-2,133.
1307	625.		1,327.	-702.				-702.
1308	25.		65.	-40.				-40.
1309	3,203.		9,477.	-6,274.				-6,274.
1310	755.		2,254.	-1,499.				-1,499.
1311	318.		483.	-165.				-165.
1312	182.		234.	-52.				-52.
1313	10.		14.	-4.				-4.
1314	590.		758.	-168.				-168.
1315	113.		152.	-39.				-39.
1316	21.		28.	-7.				-7.
1317	3,404.		4,577.	-1,173.				-1,173.
1318	32.		41.	-9.				-9.
1319	895.		1,213.	-318.				-318.
1320	1,205.		1,558.	-353.				-353.
1321	1,482.		2,233.	-751.				-751.
1322	11.		13.	-2.				-2.
1323	365.		451.	-86.				-86.
1324	2,728.		2,201.	527.				527.
1325	476.		377.	99.				99.
1326	34.		27.	7.				7.
1327	1,196.		972.	224.				224.
1328	2,599.		2,263.	336.				336.
1329	2,264.		1,995.	269.				269.
1330	2,486.		2,277.	209.				209.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
1331	2,210.		2,037.	173.			\$	173.
1332	596.		908.	-312.				-312.
1333	108.		168.	-60.				-60.
1334	184.		276.	-92.				-92.
1335	120.		193.	-73.				-73.
1336	536.		795.	-259.				-259.
1337	34.		54.	-20.				-20.
1338	13.		20.	-7.				-7.
1339	19.		30.	-11.				-11.
1340	103.		153.	-50.				-50.
1341	1,027.		1,575.	-548.				-548.
1342	3,522.		5,040.	-1,518.				-1,518.
1343	386.		578.	-192.				-192.
1344	792.		1,200.	-408.				-408.
1345	1,015.		1,446.	-431.				-431.
1346	294.		444.	-150.				-150.
1347	290.		415.	-125.				-125.
1348	430.		661.	-231.				-231.
1349	31.		44.	-13.				-13.
1350	70.		109.	-39.				-39.
1351	985.		1,599.	-614.				-614.
1352	3,367.		5,467.	-2,100.				-2,100.
1353	336.		601.	-265.				-265.
1354	373.		611.	-238.				-238.
1355	636.		1,105.	-469.				-469.
1356	573.		941.	-368.				-368.
1357	336.		623.	-287.				-287.
1358	600.		1,035.	-435.				-435.
1359	1,105.		1,987.	-882.				-882.
1360	629.		1,089.	-460.				-460.
1361	235.		423.	-188.				-188.
1362	3,667.		6,161.	-2,494.				-2,494.
1363	508.		917.	-409.				-409.
1364	918.		1,515.	-597.				-597.
1365	275.		454.	-179.				-179.
1366	247.		401.	-154.				-154.
1367	288.		465.	-177.				-177.
1368	2,733.		4,441.	-1,708.				-1,708.
1369	783.		1,170.	-387.				-387.
1370	2,140.		2,065.	75.				75.
1371	6,233.		6,047.	186.				186.
1372	4,246.		4,941.	-695.				-695.
1373	5,503.		5,447.	56.				56.
1374	245.		238.	7.				7.
1375	368.		357.	11.				11.
1376	158.		144.	14.				14.
1377	209.		235.	-26.				-26.
1378	79.		80.	-1.				-1.
1379	1,455.		1,553.	-98.				-98.
1380	96.		96.	0.				0.
1381	67.		74.	-7.				-7.
1382	391.		356.	35.				35.
1383	82.		92.	-10.				-10.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
1384	476.		472.	4.			\$	4.
1385	21.		23.	-2.				-2.
1386	692.		689.	3.				3.
1387	166.		183.	-17.				-17.
1388	318.		319.	-1.				-1.
1389	545.		556.	-11.				-11.
1390	1,811.		1,651.	160.				160.
1391	517.		526.	-9.				-9.
1392	301.		234.	67.				67.
1393	589.		595.	-6.				-6.
1394	2,435.		1,874.	561.				561.
1395	562.		428.	134.				134.
1396	549.		423.	126.				126.
1397	6.		4.	2.				2.
1398	414.		315.	99.				99.
1399	207.		142.	65.				65.
1400	889.		617.	272.				272.
1401	1,874.		2,953.	-1,079.				-1,079.
1402	416.		654.	-238.				-238.
1403	1,220.		2,038.	-818.				-818.
1404	1,660.		2,669.	-1,009.				-1,009.
1405	52.		83.	-31.				-31.
1406	90.		120.	-30.				-30.
1407	213.		584.	-371.				-371.
1408	502.		1,432.	-930.				-930.
1409	369.		937.	-568.				-568.
1410	641.		1,422.	-781.				-781.
1411	511.		1,273.	-762.				-762.
1412	486.		1,295.	-809.				-809.
1413	641.		663.	-22.				-22.
1414	817.		819.	-2.				-2.
1415	531.		923.	-392.				-392.
1416	3,972.		4,957.	-985.				-985.
1417	2,494.		3,234.	-740.				-740.
1418	1,940.		2,343.	-403.				-403.
1419	11.		14.	-3.				-3.
1420	819.		1,332.	-513.				-513.
1421	1,114.		919.	195.				195.
1422	131.		213.	-82.				-82.
1423	690.		628.	62.				62.
1424	922.		782.	140.				140.
1425	926.		845.	81.				81.
1426	682.		516.	166.				166.
1427	33.		30.	3.				3.
1428	797.		768.	29.				29.
1429	1,286.		1,061.	225.				225.
1430	1,349.		1,124.	225.				225.
1431	1,842.		1,491.	351.				351.
1432	160.		124.	36.				36.
1433	1,131.		886.	245.				245.
1434	1,220.		813.	407.				407.
1435	73.		58.	15.				15.
1436	566.		452.	114.				114.

Statement 5 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
1437	2,579.		2,065.	514.				\$ 514.
1438	4,257.		3,295.	962.				962.
1439	193.		155.	38.				38.
1440	1,593.		1,062.	531.				531.
1441	205.		165.	40.				40.
1442	678.		457.	221.				221.
1443	1,283.		1,008.	275.				275.
1444	1,192.		920.	272.				272.
1445	743.		788.	-45.				-45.
1446	44.		45.	-1.				-1.
1447	179.		190.	-11.				-11.
1448	51.		56.	-5.				-5.
1449	632.		673.	-41.				-41.
1450	60.		65.	-5.				-5.
1451	2,144.		2,232.	-88.				-88.
1452	3,664.		3,993.	-329.				-329.
1453	145.		154.	-9.				-9.
1454	976.		1,064.	-88.				-88.
1455	137.		145.	-8.				-8.
1456	348.		411.	-63.				-63.
1457	9.		9.	0.				0.
1458	2,057.		2,426.	-369.				-369.
1459	849.		888.	-39.				-39.
1460	34.		36.	-2.				-2.
1461	2,737.		2,927.	-190.				-190.
1462	18.		18.	0.				0.
1463	44.		46.	-2.				-2.
1464	1,746.		1,719.	27.				27.
1465	1,651.		1,179.	472.				472.
1466	215.		154.	61.				61.
1467	135.		102.	33.				33.
1468	4,719.		7,954.	-3,235.				-3,235.
1469	6,479.		11,063.	-4,584.				-4,584.
1470	556.		6,260.	-5,704.				-5,704.
1471	2,591.		9,497.	-6,906.				-6,906.
1472	2,625.		3,880.	-1,255.				-1,255.
1473	22.		135.	-113.				-113.
1474	276.		1,566.	-1,290.				-1,290.
1475	359.		2,001.	-1,642.				-1,642.
1476	132.		753.	-621.				-621.
1477	515.		2,782.	-2,267.				-2,267.
1478	48.		274.	-226.				-226.
1479	48.		169.	-121.				-121.
1480	733.		2,412.	-1,679.				-1,679.
1481	989.		4,036.	-3,047.				-3,047.
1482	12,376.		16,490.	-4,114.				-4,114.
1483	5,164.		9,490.	-4,326.				-4,326.
1484	2,000.		5,841.	-3,841.				-3,841.
1485	4,418.		9,220.	-4,802.				-4,802.
1486	3,498.		6,147.	-2,649.				-2,649.
1487	224.		193.	31.				31.
1488	1,028.		2,430.	-1,402.				-1,402.
1489	4,386.		8,301.	-3,915.				-3,915.

	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)
Item	Gross Sales	Deprec. Allowed	Cost Basis	Gain (Loss)	FMV 12/31/69	Adj. Bas. 12/31/69	Excess (i) - (j)	Gain (Loss)
1490	857.		5,152.	-4,295.				\$ -4,295.
1491	527.		6,056.	-5,529.				-5,529.
1492	418.		451.	-33.				-33.
1493	348.		363.	-15.				-15.
1494	1,741.		1,868.	-127.				-127.
1495	836.		898.	-62.				-62.
1496	3,535.		3,487.	48.				48.
1497	2,568.		7,607.	-5,039.				-5,039.
1498	8,765.		13,264.	-4,499.				-4,499.
1499	1,086.		4,817.	-3,731.				-3,731.
1500	6,171.		7,442.	-1,271.				-1,271.
1501	7,835.		14,396.	-6,561.				-6,561.
1502	2,213.		7,357.	-5,144.				-5,144.
1503	5,220.		12,618.	-7,398.				-7,398.
1504	4,623.		5,314.	-691.				-691.
1505	3,105.		4,715.	-1,610.				-1,610.
1506	10,224.		13,876.	-3,652.				-3,652.
1507	7,254.		12,405.	-5,151.				-5,151.
1508	1,129.		6,701.	-5,572.				-5,572.
1509	3,448.		4,445.	-997.				-997.
1510	3,395.		1,939.	1,456.				1,456.
1511	3,093.		4,678.	-1,585.				-1,585.
1512	3,052.		3,836.	-784.				-784.
1513	940.		2,144.	-1,204.				-1,204.
1514	3,495.		9,024.	-5,529.				-5,529.
1515	5,028.		6,995.	-1,967.				-1,967.
1516	2,162.		3,201.	-1,039.				-1,039.
1517	9,213.		10,513.	-1,300.				-1,300.
1518	2,413.		4,427.	-2,014.				-2,014.
1519	5,008.		5,663.	-655.				-655.
1520	2,835.		5,511.	-2,676.				-2,676.
1521	3,497.		5,841.	-2,344.				-2,344.
1522	3,447.		4,892.	-1,445.				-1,445.
1523	6,316.		5,116.	1,200.				1,200.
1524	95,178.		95,000.	178.				178.
1525	95,178.		95,000.	178.				178.
1526	24,637.		24,936.	-299.				-299.
1527	96,157.		95,000.	1,157.				1,157.
1528	95,000.		95,000.	0.				0.
1529	49,745.		51,243.	-1,498.				-1,498.
1530	77,486.		75,000.	2,486.				2,486.
1531	530,533.		600,000.	-69,467.				-69,467.
							Total	\$-1071038.

Statement 6
Form 990-PF, Part XV, Line 1a
Foundation Managers - 2% or More Contributors

Robert Eichenberg
 LaDorna Eichenberg

Statement 7
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Alzheimer's Research ,	N/A	Public	Unrestricted	\$ 10,000.
American Cancer Society ,	N/A	Public	Unrestricted	20,000.
American Diabetes Assoc ,	N/A	Public	Unrestricted	5,000.
American Heart Assoc ,	N/A	Public	Unrestricted	10,000.
American Red Cross ,	N/A	Public	Unrestricted	15,000.
Assessment & Treatment Ct ,	N/A	Public	Unrestricted	5,000.
Brigham Young University ,	N/A	Public	Unrestricted	20,000.
Hoag Hospital Foundation ,	N/A	Public	Unrestricted	15,000.
Make-A-Wish Foundation ,	N/A	Public	Unrestricted	15,000.
Newport Beach Public Libr ,	N/A	Public	Unrestricted	5,000.
OC Performing Arts Center ,	N/A	Public	Unrestricted	10,000.
Osteogenesis Imperfecta Fdtn ,	N/A	Public	Unrestricted	10,000.
Salvation Army ,	N/A	Public	Unrestricted	15,000.
Share Our Selves ,	N/A	Public	Unrestricted	10,000.

Statement 7 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
West Point ,	N/A	Public	Unrestricted	\$ 20,000.
Huntsman Cancer Institute ,	N/A	Public	Ewings Sarcoma	15,000.
LDS Philantropies ,	N/A	Public	Unrestricted	20,000.
LDS Perpetual Education Fund ,	N/A	Public	Unrestricted	20,000.
South Coast Rep ,	N/A	Public	Unrestricted	15,000.
UCI Institute for Memory Impairments ,	N/A	Public	Unrestricted	15,000.
Laguna School of Art & Design ,	NA	Public	Unrestricted	10,000.
Balboa Theater ,	NA	Public	Unrestricted	10,000.
Huntsman Cancer Institute ,	N/A	Public	Melanoma Fund	10,000.
Mozart Orchestra ,	NA	Public	Unrestricted	10,000.
Total				\$ <u>310,000.</u>

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns*

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Type or print File by the due date for filing your return. See instructions.	Name of Exempt Organization	Employer identification number
	The Eichenberg-Larson Charitable Foundation	33-0431855
	Number, street, and room or suite number. If a P.O. box, see instructions.	
	c/o 20 Pacifica #1430	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	Irvine, Ca 92618	

Check type of return to be filed (file a separate application for each return)

- | | | |
|---|--|------------------------------------|
| ☐ Form 990 | ☐ Form 990-T (corporation) | ☐ Form 4720 |
| ☐ Form 990-BL | ☐ Form 990-T (section 401(a) or 408(a) trust) | ☐ Form 5227 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 6069 |
| ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 8870 |

- The books are in the care of ► The Donovan Company _____

Telephone No ► (949) 753-1430 _____ FAX No ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 5/15, 20 10, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ☐ calendar year 20__ or
- ☒ tax year beginning 10/01, 20 08, and ending 9/30, 20 09

- 2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 2,000.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 4,417.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions**BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.**Form **8868** (Rev 4-2009)