Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2008

Open to Public Inspection

A For the 2008 calendar year, or tax year beginning OCT 1, 2008 and ending SEP 30, 2009

B Check if applicable: ☐ Address change ☐ Name change ☐ Initial return ☐ Termination ☐ Amended return ☐ Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization
HAMPTON ROADS SHIPPING ASSOCIATION

Doing Business As

Number and street (or P O box if mail is not delivered to street address) | Room/suite
236 EAST PLUME STREET

City or town, state or country, and ZIP + 4
NORFOLK, VA 23510

D Employer identification number
23-7241557

E Telephone number
(757)622-2639

G Gross receipts $ 16,333,309.

F Name and address of principal officer ARTHUR J. MOYE, JR.
236 EAST PLUME STREET, NORFOLK, VA.

H(a) Is this a group return for affiliates? ☐ Yes ☒ No

H(b) Are all affiliates included? ☐ Yes ☐ No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶

I Tax exempt status ☒ 501(c) (6) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ HTTP://HRSA.PORTOFHAMPTONROADS.COM

K Type of organization: ☐ Corporation ☐ Trust ☒ Association ☐ Other ▶

L Year of formation: 1971 **M** State of legal domicile VA

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities PORT PROMOTION, LABOR NEGOTIATIONS, SAFETY PROGRAM, TRAINING OF WATERFRONT LABOR

2 Check this box ▶ ☐ if the organization discontinued its operations or disposed of more than 25% of its assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	0
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	0
5	Total number of employees (Part V, line 2a)	5	13
6	Total number of volunteers (estimate if necessary)	6	0
7a	Total gross unrelated business revenue from Part VIII, line 12, column (C)	7a	0.
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)		
	9	Program service revenue (Part VIII, line 2g)	5,272,183.	4,060,263.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	649,316.	<1,107,535.>
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	1,736.	
	12	Total revenue add lines 8 through 11 (must equal Part VIII, column (A), line 12)	5,923,235.	2,952,728.
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1 3)		
	14	Benefits paid to or for members (Part IX, column (A), line 4)		
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	1,804,420.	1,863,591.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)		
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶		
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f 24f)	3,320,634.	2,772,142.
	18	Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25)	5,125,054.	4,635,733.
	19	Revenue less expenses Subtract line 18 from line 12	798,181.	<1,683,005.>

			Beginning of Year	End of Year
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	23,255,987.	23,392,833.
	21	Total liabilities (Part X, line 26)	1,161,271.	1,504,676.
	22	Net assets or fund balances Subtract line 21 from line 20	22,094,716.	21,888,157.

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Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

▶ Signature of officer — 8/16/2010 Date

▶ ARTHUR J. MOYE, JR., EXEC VICE PRESIDENT
Type or print name and title

Paid Preparer's Use Only

Preparer's signature ▶ Date AUG 16 2010 Check if self-employed ▶ ☐ Preparer's identifying number (see instructions)

Firm's name (or yours if self-employed) address and ZIP + 4 ▶ GOODMAN & COMPANY, L.L.P.
ONE COMMERCIAL PLACE, SUITE 800
NORFOLK, VA 23510

EIN ▶

Phone no ▶ 757.624.5100

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

SCANNED SEP 13 2010

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Part III Statement of Program Service Accomplishments (see instructions)

1 Briefly describe the organization's mission
PORT PROMOTION, LABOR NEGOTIATIONS, SAFETY PROGRAM, AND TRAINING OF WATERFRONT LABOR.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990 EZ? ☐ Yes ☒ No
If "Yes", describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No
If "Yes", describe these changes on Schedule O

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses $ 147,032. including grants of $) (Revenue $)
PORT PROMOTION

4b (Code) (Expenses $ 199,415. including grants of $) (Revenue $)
HIRING CENTER

4c (Code) (Expenses $ 352,304. including grants of $) (Revenue $)
SAFETY PROGRAM

4d Other program services (Describe in Schedule O)
(Expenses $ 600,769. including grants of $) (Revenue $)

4e **Total program service expenses** ▶ $ 1,299,520. *(Must equal Part IX, Line 25, column (B))*

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1		X
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2		X
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		X
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities? *If "Yes," complete Schedule C, Part II*	4		
5	**Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations.** Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? *If "Yes," complete Schedule C, Part III*	5		X
6	Did the organization maintain any donor advised funds or any accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		X
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		X
10	Did the organization hold assets in term, permanent, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10		X
11	Did the organization report an amount in Part X, lines 10, 12, 13, 15, or 25? *If "Yes," complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable*	11	X	
12	Did the organization receive an audited financial statement for the year for which it is completing this return that was prepared in accordance with GAAP? *If "Yes," complete Schedule D, Parts XI, XII, and XIII*	12		X
13	Is the organization a school as described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		X
14a	Did the organization maintain an office, employees, or agents outside of the U S ?	14a		X
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, and program service activities outside the U S ? *If "Yes," complete Schedule F, Part I*	14b		X
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the United States? *If "Yes," complete Schedule F, Part II*	15		X
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the United States? *If "Yes," complete Schedule F, Part III*	16		X
17	Did the organization report more than $15,000 on Part IX, column (A), line 11e? *If "Yes," complete Schedule G, Part I*	17		X
18	Did the organization report more than $15,000 total on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		X
19	Did the organization report more than $15,000 on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		X
20	Did the organization operate one or more hospitals? *If "Yes," complete Schedule H*	20		X
21	Did the organization report more than $5,000 on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21		X
22	Did the organization report more than $5,000 on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22		X
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5? *If "Yes," complete Schedule J*	23	X	
24a	Did the organization have a tax exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer questions 24b-24d and complete Schedule K If "No", go to question 25*	24a		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		
b	Did the organization become aware that it had engaged in an excess benefit transaction with a disqualified person from a prior year? *If "Yes," complete Schedule L, Part I*	25b		
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II*	26		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, or substantial contributor, or to a person related to such an individual? *If "Yes," complete Schedule L, Part III*	27		X

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
28	During the tax year, did any person who is a current or former officer, director, trustee, or key employee			
a	Have a direct business relationship with the organization (other than as an officer, director, trustee, or employee), or an indirect business relationship through ownership of more than 35% in another entity (individually or collectively with other person(s) listed in Part VII, Section A)? *If "Yes," complete Schedule L, Part IV*	28a		X
b	Have a family member who had a direct or indirect business relationship with the organization? *If "Yes," complete Schedule L, Part IV*	28b		X
c	Serve as an officer, director, trustee, key employee, partner, or member of an entity (or a shareholder of a professional corporation) doing business with the organization? *If "Yes," complete Schedule L, Part IV*	28c		X
29	Did the organization receive more than $25,000 in non cash contributions? *If "Yes," complete Schedule M*	29		X
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701 3? *If "Yes," complete Schedule R, Part I*	33		X
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1*	34		X
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35		X
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		X

Part V Statements Regarding Other IRS Filings and Tax Compliance

				Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U S Information Returns Enter 0 if not applicable	1a	0		
b	Enter the number of Forms W-2G included in line 1a Enter 0 if not applicable	1b	0		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c		X
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	13		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?			2b		X
	Note If the sum of lines 1a and 2a is greater than 250, you may be required to *e-file* this return (see instructions)					
3a	Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?			3a		X
b	If "Yes," has it filed a Form 990 T for this year? *If "No," provide an explanation in Schedule O*			3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a		X
b	If "Yes," enter the name of the foreign country ▶ See the instructions for exceptions and filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		X
c	If "Yes," to question 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax Exempt Entity Regarding Prohibited Tax Shelter Transaction?			5c		
6a	Did the organization solicit any contributions that were not tax deductible?			6a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization provide goods or services in exchange for any quid pro quo contribution of more than $75?			7a		
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f		
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?			7g		
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098 C as required?			7h		
8	**Section 501(c)(3) and other sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8		
9	**Section 501(c)(3) and other sponsoring organizations maintaining donor advised funds.**					
a	Did the organization make any taxable distributions under section 4966?			9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?			9b		
10	**Section 501(c)(7) organizations.** Enter N/A					
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter N/A					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year N/A	12b				

Form **990** (2008)

832005
12-18-08

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Part VI Governance, Management, and Disclosure *(Sections A, B, and C request information about policies not required by the Internal Revenue Code)*

Section A. Governing Body and Management

For each "Yes" response to lines 2-7b below, and for a "No" response to lines 8 or 9b below, describe the circumstances, processes, or changes in Schedule O See instructions

			Yes	No
1a	Enter the number of voting members of the governing body — 1a: 0			
b	Enter the number of voting members that are independent — 1b: 0			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2		X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3		X
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?	4		X
5	Did the organization become aware during the year of a material diversion of the organization's assets?	5		X
6	Does the organization have members or stockholders?	6	X	
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a	X	
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	7b	X	
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following			
a	The governing body?	8a	X	
b	Each committee with authority to act on behalf of the governing body?	8b	X	
9a	Does the organization have local chapters, branches, or affiliates?	9a		X
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	9b		
10	Was a copy of the Form 990 provided to the organization's governing body before it was filed? All organizations must describe in Schedule O the process, if any, the organization uses to review the Form 990	10	X	
11	Is there any officer, director or trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*	11		X

Section B. Policies

			Yes	No
12a	Does the organization have a written conflict of interest policy? *If "No," go to line 13*	12a		X
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b		
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this is done*	12c		
13	Does the organization have a written whistleblower policy?	13		X
14	Does the organization have a written document retention and destruction policy?	14		X
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision			
a	The organization's CEO, Executive Director, or top management official?	15a	X	
b	Other officers or key employees of the organization? Describe the process in Schedule O (see instructions)	15b	X	
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		X
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ▶ NONE

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you make these available Check all that apply

☐ Own website ☐ Another's website ☒ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶

ARTHUR W. MOYE, JR. - (757) 622-2639

236 EAST PLUME STREET, NORFOLK, VA 23510

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Use Schedule J-2 if additional space is needed

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099 MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if the organization did not compensate any officer, director, trustee, or key employee

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply): Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099 MISC)	(F) Estimated amount of other compensation from the organization and related organizations
ROGER J. GIESINGER PRESIDENT	40.00							287,216.	0.	0.
ARTHUR W. MOYE, JR. EXECUTICE VICE PRESIDENT	25.00							121,829.	82,996.	0.
GEORGE H. BROWN CHAIRMAN OF THE BOARD	1.00							4,262.	0.	0.
JOSEPH P. RUDDY FIRST VICE PRESIDENT	1.00							1,560.	0.	0.
RAYMOND A. NEWLON SECOND VICE PRESIDENT	1.00							2,580.	0.	0.
JOSEPH A. DORTO DIRECTOR	1.00							4,355.	0.	0.
GEORGE DETORRES DIRECTOR	1.00							9,060.	0.	0.
JOHN R. DAVIS DIRECTOR	1.00							0.	0.	0.
CARL D. PARKER, III DIRECTOR	1.00							4,979.	0.	0.
COLIN G. MACPHERSON DIRECTOR	1.00							0.	0.	0.
MIKE V. ABBOT DIRECTOR	1.00							4,212.	0.	0.
CORINE BARBOUR DIRECTOR	1.00							3,529.	0.	0.
DAVID F. HOST DIRECTOR	1.00							0.	0.	0.
DEAN T. BUCKIUS COUNSEL	1.00							0.	0.	0.
ROLF A. WILLIAMS DIRECTOR	1.00							5,000.	0.	0.
KIP HINKLE DIRECTOR	1.00							0.	0.	0.
JOHN HUDGINS DIRECTOR	1.00							0.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply): Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099 MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
WILLIAM H. PARKER SECRETARY	1.00							0.	0.	0.
B. W. FRANKS, JR. DIRECTOR	1.00							0.	0.	0.
EDWARD MCCARTHY TREASURER	1.00							0.	0.	0.
ROBERT M. FUTCH DIRECTOR	1.00							0.	0.	0.
GERALD L. PARKS FORMER DIRECTOR	1.00						X	11,880.	0.	0.
1b Total ▶								460,462.	82,996.	0.

2 Total number of individuals (including those in 1a) who received more than $100,000 in reportable compensation from the organization ▶ 2

		Yes	No
3 Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3	X	
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization NONE

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including those in 1) who received more than $100,000 in compensation from the organization ▶ 0

Part VIII Statement of Revenue

Section	Line	Description	Box / Business Code	Amount	(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1 a	Federated campaigns	1a					
	b	Membership dues	1b					
	c	Fundraising events	1c					
	d	Related organizations	1d					
	e	Government grants (contributions)	1e					
	f	All other contributions, gifts, grants, and similar amounts not included above	1f					
	g	Noncash contributions included in lines 1a-1f $						
	h	**Total.** Add lines 1a 1f ▶						
Program Service Revenue			Business Code					
	2 a	MEMBERSHIP DUES AND AS	900099		2801004.	2801004.		
	b	TRAINING FEES	900099		1259259.	1259259.		
	c							
	d							
	e							
	f	All other program service revenue						
	g	**Total.** Add lines 2a 2f ▶			4060263.			
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts) ▶			756,658.	756,658.		
	4	Income from investment of tax-exempt bond proceeds ▶						
	5	Royalties ▶						
			(i) Real	(ii) Personal				
	6 a	Gross Rents						
	b	Less rental expenses						
	c	Rental income or (loss)						
	d	Net rental income or (loss) ▶						
			(i) Securities	(ii) Other				
	7 a	Gross amount from sales of assets other than inventory	11,516,388.					
	b	Less cost or other basis and sales expenses	13,380,581.					
	c	Gain or (loss)	<1,864,193.>					
	d	Net gain or (loss) ▶			<1,864,193.>	<1,864,193.>		
	8 a	Gross income from fundraising events (not including $ ______ of contributions reported on line 1c) See Part IV, line 18	a					
	b	Less direct expenses	b					
	c	Net income or (loss) from fundraising events ▶						
	9 a	Gross income from gaming activities See Part IV, line 19	a					
	b	Less direct expenses	b					
	c	Net income or (loss) from gaming activities ▶						
	10 a	Gross sales of inventory, less returns and allowances	a					
	b	Less cost of goods sold	b					
	c	Net income or (loss) from sales of inventory ▶						
		Miscellaneous Revenue	Business Code					
	11 a							
	b							
	c							
	d	All other revenue						
	e	**Total.** Add lines 11a-11d ▶						
	12	**Total Revenue** Add lines 1h 2g 3 4, 5, 6d 7d, 8c, 9c, 10c and 11e ▶			2952728.	2952728.	0.	0.

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U S See Part IV, line 21				
2 Grants and other assistance to individuals in the U S See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the U S See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	409,045.		409,045.	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	1,214,471.	961,844.	252,627.	
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	156,701.	43,384.	113,317.	
9 Other employee benefits				
10 Payroll taxes	83,374.	48,273.	35,101.	
11 Fees for services (non-employees)				
a Management				
b Legal	45,300.		45,300.	
c Accounting				
d Lobbying				
e Professional fundraising services See Part IV, line 17				
f Investment management fees				
g Other				
12 Advertising and promotion	50,885.	50,000.	885.	
13 Office expenses	26,602.	6,600.	20,002.	
14 Information technology	27,095.	17,222.	9,873.	
15 Royalties				
16 Occupancy	50,958.	7,644.	43,314.	
17 Travel	106,609.	17,211.	89,398.	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	16,880.		16,880.	
23 Insurance				
24 Other expenses. Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below)				
a SAFETY AND TRAINING	1,260,982.	1,236.	1,259,746.	
b TRANSPORTATION	322,226.		322,226.	
c BANK/INVESTMENT CHARGES	191,867.		191,867.	
d LABOR NEGOTIATIONS	181,465.	7,921.	173,544.	
e POST RETIREMENT BENEFIT	116,509.		116,509.	
f All other expenses	374,764.	138,185.	236,579.	
25 **Total functional expenses** Add lines 1 through 24f	4,635,733.	1,299,520.	3,336,213.	0.
26 **Joint Costs.** Check here ▶ ☐ if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

			(A) Beginning of year		(B) End of year
Assets	1	Cash - non interest bearing	1,337,804.	1	1,428,567.
	2	Savings and temporary cash investments	1,532,302.	2	771,186.
	3	Pledges and grants receivable, net		3	
	4	Accounts receivable, net	672,365.	4	592,556.
	5	Receivables from current and former officers, directors, trustees, key employees, or other related parties Complete Part II of Schedule L		5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Complete Part II of Schedule L		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sale or use		8	
	9	Prepaid expenses and deferred charges	59,312.	9	23,332.
	10a	Land, buildings, and equipment cost basis 10a 832,906.			
	b	Less accumulated depreciation Complete Part VI of Schedule D 10b 781,572.	65,283.	10c	51,334.
	11	Investments - publicly traded securities	19,588,921.	11	20,525,858.
	12	Investments - other securities See Part IV, line 11		12	
	13	Investments - program related See Part IV, line 11		13	
	14	Intangible assets		14	
	15	Other assets See Part IV, line 11		15	
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)	23,255,987.	16	23,392,833.
Liabilities	17	Accounts payable and accrued expenses	551,347.	17	823,394.
	18	Grants payable		18	
	19	Deferred revenue		19	
	20	Tax-exempt bond liabilities		20	
	21	Escrow account liability Complete Part IV of Schedule D		21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L		22	
	23	Secured mortgages and notes payable to unrelated third parties		23	
	24	Unsecured notes and loans payable		24	
	25	Other liabilities Complete Part X of Schedule D	609,924.	25	681,282.
	26	**Total liabilities.** Add lines 17 through 25	1,161,271.	26	1,504,676.
Net Assets or Fund Balances		**Organizations that follow SFAS 117, check here ▶ [X] and complete lines 27 through 29, and lines 33 and 34**			
	27	Unrestricted net assets	22,094,716.	27	21,888,157.
	28	Temporarily restricted net assets		28	
	29	Permanently restricted net assets		29	
		Organizations that do not follow SFAS 117, check here ▶ [ ] and complete lines 30 through 34.			
	30	Capital stock or trust principal, or current funds		30	
	31	Paid in or capital surplus, or land, building, or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
	33	Total net assets or fund balances	22,094,716.	33	21,888,157.
	34	Total liabilities and net assets/fund balances	23,255,987.	34	23,392,833.

Part XI Financial Statements and Reporting

			Yes	No
1	Accounting method used to prepare the Form 990 [ ] Cash [X] Accrual [ ] Other			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		X
b	Were the organization's financial statements audited by an independent accountant?	2b		X
c	If "Yes" to lines 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c		
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A 133?	3a		X
b	If "Yes," did the organization undergo the required audit or audits?	3b		

Schedule D (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

▶ Attach to Form 990. To be completed by organizations that answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.

OMB No 1545-0047

2008

Open to Public Inspection

Name of the organization: HAMPTON ROADS SHIPPING ASSOCIATION

Employer identification number: 23-7241557

Part I — Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization s exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor or other impermissible private benefit? ☐ Yes ☐ No

Part II — Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or pleasure)
☐ Preservation of an historically important land area
☐ Protection of natural habitat
☐ Preservation of certified historic structure
☐ Preservation of open space

2 Complete lines 2a-2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶ ____________

4 Number of states where property subject to conservation easement is located ▶ ____________

5 Does the organization have a written policy regarding the periodic monitoring, inspection, violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff or volunteer hours devoted to monitoring, inspecting, and enforcing easements during the year ▶ ____________

7 Amount of expenses incurred in monitoring, inspecting, and enforcing easements during the year ▶ $ ____________

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization s financial statements that describes the organization's accounting for conservation easements

Part III — Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ ____________

(ii) Assets included in Form 990, Part X ▶ $ ____________

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $ ____________

b Assets included in Form 990, Part X ▶ $ ____________

Part III | Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition

b ☐ Scholarly research

c ☐ Preservation for future generations

d ☐ Loan or exchange programs

e ☐ Other ________

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV | Trust, Escrow and Custodial Arrangements. Complete if organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV

Part V | Endowment Funds. Complete if organization answered "Yes" to Form 990, Part IV, line 10

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Investment earnings or losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the year end balance held as

a Board designated or quasi-endowment ▶ ________ %

b Permanent endowment ▶ ________ %

c Term endowment ▶ ________ %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

		Yes	No
(i) unrelated organizations	3a(i)		
(ii) related organizations	3a(ii)		
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIV the intended uses of the organization's endowment funds

Part VI | Investments - Land, Buildings, and Equipment. See Form 990, Part X, line 10

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Depreciation	(d) Book value
1a Land				
b Buildings		36,905.	19,099.	17,806.
c Leasehold improvements				
d Equipment		119,913.	108,314.	11,599.
e Other		676,088.	654,159.	21,929.
Total. Add lines 1a-1e *(Column (d) should equal Form 990, Part X, column (B), line 10(c).)* ▶				51,334.

Part VII Investments - Other Securities. See Form 990, Part X, line 12

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end of-year market value
Financial derivatives and other financial products		
Closely-held equity interests		
Other		
Total (Col (b) should equal Form 990, Part X, col (B) line 12) ▶		

Part VIII Investments - Program Related. See Form 990, Part X, line 13

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end of-year market value
Total. (Col (b) should equal Form 990, Part X, col (B) line 13) ▶		

Part IX Other Assets. See Form 990, Part X, line 15

(a) Description	(b) Book value
Total. *(Column (b) should equal Form 990, Part X, col (B) line 15)* ▶	

Part X Other Liabilities. See Form 990, Part X, line 25

(a) Description of liability	(b) Amount
Federal income taxes	
ACCRUED EXPENSES	128,415.
ILA FRINGE BENEFIT PAYABLE	28,155.
PAYROLL WITHHOLDINGS / ACCRUALS	7,491.
POST RETIREMENT BENEFIT PAYABLE	517,221.
Total. *(Column (b) should equal Form 990, Part X, col (B) line 25)* ▶	681,282.

In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48

Part XI | Reconciliation of Change in Net Assets from Form 990 to Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	2,952,728.
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	4,635,733.
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	<1,683,005.>
4	Net unrealized gains (losses) on investments	4	1,633,489.
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	<157,043.>
9	Total adjustments (net) Add lines 4-8	9	1,476,446.
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	<206,559.>

Part XII | Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	4,586,217.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains on investments	2a	1,633,489.		
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIV)	2d			
e	Add lines **2a** through **2d**			2e	1,633,489.
3	Subtract line **2e** from line **1**			3	2,952,728.
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV)	4b			
c	Add lines **4a** and **4b**			4c	0.
5	Total revenue Add lines **3** and **4c.** (This should equal Form 990, Part I, line 12)			5	2,952,728.

Part XIII | Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	4,635,733.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Losses reported on Form 990, Part IX, line 25	2c			
d	Other (Describe in Part XIV)	2d			
e	Add lines **2a** through **2d**			2e	0.
3	Subtract line **2e** from line **1**			3	4,635,733.
4	Amounts included on Form 990, Part IX, line 25, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV)	4b			
c	Add lines **4a** and **4b**			4c	0.
5	Total expenses Add lines **3** and **4c.** (This should equal Form 990, Part I, line 18)			5	4,635,733.

Part XIV | Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b

PART XI, LINE 8 - OTHER ADJUSTMENTS:

PRIOR PERIOD ADJUSTMENTS

SCHEDULE J (Form 990)	Compensation Information	OMB No 1545-0047
Department of the Treasury Internal Revenue Service	For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees ▶ Attach to Form 990. To be completed by organizations that answered "Yes" to Form 990, Part IV, line 23.	2008 Open to Public Inspection

Name of the organization: HAMPTON ROADS SHIPPING ASSOCIATION

Employer identification number: 23-7241557

Part I | Questions Regarding Compensation

			Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items ☐ First class or charter travel ☐ Housing allowance or residence for personal use ☐ Travel for companions ☐ Payments for business use of personal residence ☐ Tax indemnification and gross-up payments ☐ Health or social club dues or initiation fees ☐ Discretionary spending account ☐ Personal services (e g , maid, chauffeur, chef)			
b	If line 1a is checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	2	X	
3	Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply ☒ Compensation committee ☐ Written employment contract ☐ Independent compensation consultant ☒ Compensation survey or study ☐ Form 990 of other organizations ☒ Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a			
a	Receive a severance payment or change of control payment?	4a		X
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b		X
c	Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III	4c		X
	Only 501(c)(3) and 501(c)(4) organizations must complete lines 5-8.			
5	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of			
a	The organization?	5a		
b	Any related organization? If "Yes," to line 5a or 5b, describe in Part III	5b		
6	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of			
a	The organization?	6a		
b	Any related organization? If "Yes" to line 6a or 6b, describe in Part III	6b		
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7		
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III	8		

Part II **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use Schedule J-1 if additional space is needed

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
ROGER J. GIESINGER	(i)	159,989.	86,760.	40,467.	0.	0.	287,216.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
ARTHUR W. MOYE, JR.	(i)	75,496.	7,500.	38,833.	0.	0.	121,829.	0.
	(ii)	75,496.	7,500.	0.	0.	0.	82,996.	0.
GERALD L. PARKS	(i)	0.	0.	11,880.	0.	0.	11,880.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

▶ Attach to Form 990. To be completed by organizations to provide additional information for responses to specific questions for the Form 990 or to provide any additional information.

OMB No 1545-0047

2008

Open to Public Inspection

Name of the organization: HAMPTON ROADS SHIPPING ASSOCIATION

Employer identification number: 23-7241557

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

DISPATCHERS

EXPENSES $ 600769. INCLUDING GRANTS OF $ 0. REVENUE $ 0.

TRADE MISSIONS

FORM 990, PART VI, SECTION A, LINE 6: NO ONE COMMITTEE HAS AUTHORITY TO ACT ON BEHALF OF THE GOVERNING BODY.

FORM 990, PART VI, SECTION A, LINE 7A: NO ONE COMMITTEE HAS AUTHORITY TO ACT ON BEHALF OF THE GOVERNING BODY.

FORM 990, PART VI, SECTION A, LINE 7B: NO ONE COMMITTEE HAS AUTHORITY TO ACT ON BEHALF OF THE GOVERNING BODY.

FORM 990, PART VI, SECTION A, LINE 10: THE BOARD RECEIVES A COPY OF THE 990 BEFORE IT IS FILED.

FORM 990, PART VI, SECTION B, LINE 15: MEMBERS CONFIRM AND APPROVE THE SLATE OF OFFICERS AND DIRECTORS AT THE ANNUAL MEETING OF THE ASSOCIATION.

FORM 990, PART VI, SECTION C, LINE 19: AVAILABLE UPON REQUEST

FORM 990, PART VII CONTACT ADDRESSES FOR OFFICERS, DIRECTORS, ETC:

ROGER J. GIESINGER - 810 W. PRINCESS ANNE ROAD #401

NORFOLK, VA 23517

SCHEDULE O (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Information to Form 990

▶ Attach to Form 990. To be completed by organizations to provide additional information for responses to specific questions for the Form 990 or to provide any additional information.

OMB No 1545-0047

2008

Open to Public Inspection

Name of the organization: HAMPTON ROADS SHIPPING ASSOCIATION

Employer identification number: 23-7241557

ARTHUR W. MOYE, JR. - 5312 ROSAER PLACE

VIRGINIA BEACH, VA 23464

GEORGE H. BROWN - 1337 W. PRINCESS ANNE ROAD

NORFOLK, VA 23507

JOSEPH P. RUDDY - 1401 SHOVELLER AVENUE

VIRGINIA BEACH, VA 23454

RAYMOND A. NEWLON - 1705 RALLY DRIVE

VIRGINIA BEACH, VA 23454

JOSEPH A. DORTO - 901 ENFIELD CHASE

VIRGINIA BEACH, VA 23452

GEORGE DETORRES - 1305 KINGFISHER COURT

VIRGINIA BEACH, VA 23452

JOHN R. DAVIS - 613 PINTAL LANE

CHESAPEAKE, VA 23323

CARL D. PARKER, III - 6119 STUDELEY AVENUE

NORFOLK, VA 23508

COLIN G. MACPHERSON - 629 SECOTAN ROAD

SCHEDULE O (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

▶ Attach to Form 990. To be completed by organizations to provide additional information for responses to specific questions for the Form 990 or to provide any additional information.

OMB No 1545-0047

2008

Open to Public Inspection

Name of the organization: HAMPTON ROADS SHIPPING ASSOCIATION

Employer identification number: 23-7241557

VIRGINIA BEACH, VA 23451

MIKE V. ABBOT - 3905 HIGH STREET
PORTSMOUTH, VA 23707

CORINE BARBOUR - 5500 THOMPKINS COURT
VIRGINIA BEACH, VA 23464

DAVID F. HOST - 3524 ARTHUR DRIVE
SUFFOLK, VA 23438

DEAN T. BUCKIUS - 4165 EWELL ROAD
VIRGINIA BEACH, VA 23455

ROLF A. WILLIAMS - 858 JAMESTOWN CRESCENT
NORFOLK, VA 23508

KIP HINKLE - 11190 EAGLE WATCH
SMITHFIELD, VA 23430

JOHN HUDGINS - 5305 PEAKE LANE
PORTSMOUTH, VA 23703

WILLIAM H. PARKER - 2249 MARGARET DRIVE
VIRGINIA BEACH, VA 23456

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

▶ Attach to Form 990. To be completed by organizations to provide additional information for responses to specific questions for the Form 990 or to provide any additional information.

OMB No 1545-0047

2008

Open to Public Inspection

Name of the organization: HAMPTON ROADS SHIPPING ASSOCIATION

Employer identification number: 23-7241557

B. W. FRANKS, JR. - 500 PACIFIC AVENUE #803

VIRGINIA BEACH, VA 23451

GERALD L. PARKS - 27307 EVERGREEN LANE

HARBORTON, VA 23389

EDWARD MCCARTHY - 4405 MCCAAN QUAY

CHESAPEAKE, VA 23321

ROBERT M. FUTCH - 4565 SCHOONER BLVD

SUFFOLK, VA 23435

PART IV QUESTION 12

THE FINANCIAL STATEMENT AUDIT IS IN PROGRESS.

AT THE TIME OF THE FILING OF THE FORM 990, THE STATEMENTS HAVE NOT BEEN

ISSUED.

Form **4562** | **Depreciation and Amortization** 990 | OMB No 1545-0172

(Including Information on Listed Property)

Department of the Treasury Internal Revenue Service (99) ▶ See separate instructions. ▶ Attach to your tax return.

2008 Attachment Sequence No 67

Name(s) shown on return	Business or activity to which this form relates	Identifying number
HAMPTON ROADS SHIPPING ASSOCIATION	FORM 990 PAGE 10	23-7241557

Part I Election To Expense Certain Property Under Section 179 Note: *If you have any listed property, complete Part V before you complete Part I*

1	Maximum amount See the instructions for a higher limit for certain businesses	1	250,000.
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation	3	800,000.
4	Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost

7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the **smaller** of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2007 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2009 Add lines 9 and 10, less line 12 ▶	13	

Note: *Do not use Part II or Part III below for listed property Instead, use Part V*

Part II Special Depreciation Allowance and Other Depreciation (**Do not** include listed property)

14	Special depreciation for qualified property (other than listed property) placed in service during the tax year	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	16,880.

Part III MACRS Depreciation (**Do not** include listed property) (See instructions)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2008	17	
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B - Assets Placed in Service During 2008 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3 year property						
b 5-year property						
c 7 year property						
d 10 year property						
e 15-year property						
f 20 year property						
g 25-year property			25 yrs		S/L	
h Residential rental property	/		27 5 yrs	MM	S/L	
	/		27 5 yrs	MM	S/L	
i Nonresidential real property	/		39 yrs	MM	S/L	
	/			MM	S/L	

Section C - Assets Placed in Service During 2008 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year	/		40 yrs	MM	S/L	

Part IV Summary (See instructions)

21	Listed property Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations - see instr	22	16,880.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V **Listed Property** (Include automobiles, certain other vehicles, cellular telephones, certain computers, and property used for entertainment, recreation, or amusement)

Note: *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete* **only** *24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable*

Section A - Depreciation and Other Information (Caution: *See the instructions for limits for passenger automobiles* **)**

24a Do you have evidence to support the business/investment use claimed? ☐ Yes ☐ No 24b If "Yes," is the evidence written? ☐ Yes ☐ No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use						25		
26 Property used more than 50% in a qualified business use								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use								
		%				S/L -		
		%				S/L -		
		%				S/L -		
28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1						28		
29 Add amounts in column (i), line 26 Enter here and on line 7, page 1							29	

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person

If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

	(a) Vehicle		(b) Vehicle		(c) Vehicle		(d) Vehicle		(e) Vehicle		(f) Vehicle	
30 Total business/investment miles driven during the year (**do not** include commuting miles)												
31 Total commuting miles driven during the year												
32 Total other personal (noncommuting) miles driven												
33 Total miles driven during the year Add lines 30 through 32												
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
34 Was the vehicle available for personal use during off-duty hours?												
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use?												

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons

	Yes	No
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use?		

Note: *If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles*

Part VI **Amortization**

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2008 tax year					
43 Amortization of costs that began before your 2008 tax year				43	
44 **Total.** Add amounts in column (f) See the instructions for where to report				44	

Hampton Roads Shipping Association

All investments summarized

Realized Gain/Loss October 2008-September 2009

Account	Name	Cost Basis	Sale Price	ST Realized	LT Realized	Total gain (loss)
70.3-01a						
965699	Bank of America	873,411.31	701,357.37	(109,751.00)	(62,302.94)	(172,053 94)
6342133	Bank of America	1,494,758.78	1,202,860.08	(107,435.30)	(184,463 40)	(291,898 70)
1216464	Bank of America	1,666,959.87	1,709,439 06	3,375 44	39,103 75	42,479 19
965707	Bank of America	1,005,637.32	752,509.53	(122,340.25)	(130,787 54)	(253,127 79)
		5,040,767.28	4,366,166.04	(336,151.11)	(338,450.13)	(674,601.24)
70 3-01b						
27530	UBS	184,219.12	59,330.32	(25,650 12)	(99,238.68)	(124,888.80)
32028	UBS	-	-	-	-	-
32083	UBS	348,487 34	165,563.65	(53,746.45)	(129,177.24)	(182,923.69)
32084	UBS	151,395 47	86,151.86	(7,749.18)	(57,494 43)	(65,243 61)
32085	UBS	455,240.40	297,265.63	(94,623.01)	(63,351.76)	(157,974 77)
32086	UBS	5,349.26	6,438 80	(4.45)	1,093.99	1,089 54
32088	UBS	2,390,105.43	2,198,936.15	(14,977.95)	(176,191 33)	(191,169 28)
32089	UBS	582,019.80	354,463.80	(147,877.91)	(79,678 09)	(227,556.00)
		4,116,816.82	3,168,150.21	(344,629.07)	(604,037.54)	(948,666.61)
70.3-01c						
11009223	Scott & Stringfellow	21,839.89	15,024 20	-	(6,815.69)	(6,815.69)
19697056	Scott & Stringfellow	56,514.77	51,076.09	1,982.07	(7,420 75)	(5,438 68)
30503950	Scott & Stringfellow	204,798.29	162,104.75	4,387.38	(47,080 92)	(42,693 54)
48561272	Scott & Stringfellow	1,794,822.06	1,751,923.14	38,267.67	(81,166.59)	(42,898 92)
59312194	Scott & Stringfellow	1,609,815 79	1,609,560 53	6,507.23	(6,762.49)	(255 26)
83482308	Scott & Stringfellow	535,205.43	392,383.04	(18,552 45)	(124,269.94)	(142,822 39)
		4,222,996.23	3,982,071.75	32,591.90	(273,516.38)	(240,924.48)
		13,380,580.33	11,516,388 00			(1,864,192.33)

FTB

Scott + Stringfellow

Hampton Roads Shipping Association													
Scott & Stringfellow, Hobie Whitmore													
Realized Gain/Loss April 2009-September 2009													
Account	Quantity	Name	Symbol	Open Date	Open Amount	Close Date	Close Amount	Realized	% Gain/Loss	Open Price	Close Price	Adjusted Cost	Term
11009223	60	M&T BANK CORP	MTB	7/24/2006	12,569 90	9/1/2009	3,729 50	(8,840 40)	-70 33	209 24	62 16	-	Long
11009223	300	CITRIX SYSTEMS INC	CTXS	7/24/2006	9,269 99	9/24/2009	11,294 70	2,024 71	21 84	30 89	37 65	-	Long
								(6,815 69)					
19697056	295	COMCAST CRP NEW CL A SPL	CMCSK	8/5/2004	5,428 49	5/20/2009	4,150 54	(1,277 95)	-23 54	18 40	14 07	-	Long
19697056	430	CONOCOPHILLIPS	COP	8/5/2004	16,372 25	8/13/2009	19,014 81	2,642 56	16 14	38 07	44 22	-	Long
19697056	365	CONOCOPHILLIPS	COP	7/6/2006	24,925 85	8/13/2009	16,140 49	(8,785 36)	-35 25	68 29	44 22	-	Long
								(7,420 75)					
19697056	450	CISCO SYSTEMS INC	CSCO	10/22/2008	7,927 97	8/13/2009	9,647 74	1,719 77	21 69	17 61	21 44	-	Short
19697056	99	CISCO SYSTEMS INC	CSCO	4/28/2009	1,860 21	8/13/2009	2,122 51	262 30	14 1	18 79	21 44	-	Short
								(5,438.68)					
30503950	665	DOW CHEMICAL COMPANY	DOW	9/7/2001	23,156 46	4/30/2009	10,540 90	(12,615 56)	-54 48	34 75	15 85	-	Long
30503950	235	DOW CHEMICAL COMPANY	DOW	9/7/2001	8,183 10	4/30/2009	3,724 65	(4,458 45)	-54 48	34 75	15 85	-	Long
30503950	12	FAIRPOINT COMMNS INC	FRCMQ	9/7/2001	139 19	4/30/2009	13 79	(125 40)	-90 09	11 58	1 15	-	Long
30503950	800	BANK OF AMERICA CORP	BAC	9/7/2001	23,629 20	6/17/2009	10,144 53	(13,484 67)	-57 07	29 50	12 68	-	Long
30503950	800	INTEL CORP	INTC	4/10/2006	15,439 88	6/17/2009	12,983 66	(2,456 22)	-15 91	19 29	16 23	-	Long
30503950	1200	KONINKLIJKE PHILIPS NEW	PHG	9/7/2001	28,561 20	6/17/2009	21,781 09	(6,780 11)	-23 74	23 73	18 15	-	Long
30503950	500	BP PLC SPONS ADR	BP	9/7/2001	25,235 98	6/17/2009	24,149 67	(1,086 31)	-4 3	50 40	48 30	-	Long
30503950	400	KIMBERLY-CLARK CORP	KMB	9/25/2003	19,883 28	9/25/2009	23,103 40	3,220 12	16 2	49 70	57 76	-	Long
30503950	480	CONOCOPHILLIPS	COP	8/3/2005	31,032 96	9/25/2009	21,738 64	(9,294 32)	-29 95	64 65	45 29	-	Long
								(47,080 92)					
30503950	450	BANK OF AMERICA CORP	BAC	4/30/2009	4,041 00	6/17/2009	5,706 31	1,665 31	41 21	8 98	12 68	-	Short
30503950	600	KONINKLIJKE PHILIPS NEW	PHG	4/30/2009	10,775 52	6/17/2009	10,890 55	115 03	1 07	17 96	18 15	-	Short
30503950	300	KIMBERLY-CLARK CORP	KMB	4/30/2009	14,720 52	9/25/2009	17,327 56	2,607 04	17 71	49 07	57 76	-	Short
								4,387 38					
48561272	63	AMERN TOWER CORP CL A	AMT	7/6/2006	2,041 45	4/20/2009	2,004 60	(36 85)	-1 81	32 40	31 82	-	Long
48561272	25	AMERN TOWER CORP CL A	AMT	7/6/2006	808 41	4/20/2009	795 47	(12 94)	-1 6	32 33	31 82	-	Long
48561272	190	AMERN TOWER CORP CL A	AMT	8/17/2007	7,521 40	4/20/2009	6,045 64	(1,475 76)	-19 62	39 58	31 82	-	Long
48561272	285	AMERN TOWER CORP CL A	AMT	12/14/2007	11,627 52	4/20/2009	9,068 48	(2,559 04)	-22 01	40 79	31 82	-	Long
48561272	143	EXPEDITORS INTL WASH INC	EXPD	12/14/2006	6,239 56	4/20/2009	4,589 29	(1,650 27)	-26 45	43 63	32 09	-	Long
48561272	30	EXPEDITORS INTL WASH INC	EXPD	12/20/2007	1,345 14	4/20/2009	962 78	(382 36)	-28 43	44 83	32 09	-	Long
48561272	130	EXPEDITORS INTL WASH INC	EXPD	1/2/2008	5,770 12	4/20/2009	4,172 08	(1,598 04)	-27 7	44 38	32 09	-	Long
48561272	94	EXPEDITORS INTL WASH INC	EXPD	2/15/2008	3,823 62	4/20/2009	3,016 75	(806 87)	-21 1	40 67	32 09	-	Long
48561272	320	GENERAL DYNAMICS CORP	GD	7/5/2006	20,774 40	4/20/2009	14,363 17	(6,411 23)	-30 86	64 92	44 89	-	Long
48561272	220	GENERAL DYNAMICS CORP	GD	7/7/2006	14,649 80	4/20/2009	9,874 68	(4,775 12)	-32 6	66 59	44 89	-	Long
48561272	83	GENERAL DYNAMICS CORP	GD	7/20/2006	5,698 51	4/20/2009	3,725 44	(1,973 07)	-34 62	68 65	44 89	-	Long
48561272	30	GOLDMAN SACHS GROUP INC	GS	9/24/2007	6,403 32	4/20/2009	3,520 82	(2,882 50)	-45 02	213 44	117 36	-	Long
48561272	23	GOLDMAN SACHS GROUP INC	GS	10/3/2007	5,259 33	4/20/2009	2,699 30	(2,560 03)	-48 68	228 66	117 36	-	Long
48561272	66	MONSANTO COMPANY NEW	MON	8/22/2006	3,102 76	4/20/2009	5,162 72	2,059 96	66 39	47 01	78 23	-	Long
48561272	79	MONSANTO COMPANY NEW	MON	8/24/2006	3,711 63	4/20/2009	6,179 63	2,468 00	66 49	46 98	78 23	-	Long
48561272	86	MONSANTO COMPANY NEW	MON	9/1/2006	4,110 71	4/20/2009	6,727 19	2,616 48	63 65	47 79	78 23	-	Long

48561272	87	MONSANTO COMPANY NEW	MON	9/6/2006	4,155 56	4/20/2009	6,805 41	2,649 85	63 77	47 76	78 23	-	Long
48561272	173	MONSANTO COMPANY NEW	MON	10/13/2006	7,923 54	4/20/2009	13,532 61	5,609 07	70 79	45 80	78 23	-	Long
48561272	127	KANSAS CITY STHRN NEW	KSU	8/3/2007	4,270 48	4/20/2009	1,978 62	(2,291 86)	-53 67	33 62	15 58	-	Long
48561272	186	LOCKHEED MARTIN CORP	LMT	7/5/2006	13,579 86	4/20/2009	14,030 97	451 11	3 32	73 01	75 44	-	Long
48561272	128	LOCKHEED MARTIN CORP	LMT	7/7/2006	9,341 44	4/20/2009	9,655 72	314 28	3 36	72 98	75 44	-	Long
48561272	19	LOCKHEED MARTIN CORP	LMT	8/10/2006	1,548 49	4/20/2009	1,433 27	(115 22)	-7 44	81 49	75 44	-	Long
48561272	99	LOCKHEED MARTIN CORP	LMT	9/1/2006	8,279 69	4/20/2009	7,468 09	(811 60)	-9 8	83 63	75 44	-	Long
48561272	90	LOCKHEED MARTIN CORP	LMT	9/8/2006	7,533 41	4/20/2009	6,789 17	(744 24)	-9 88	83 70	75 44	-	Long
48561272	20	LOCKHEED MARTIN CORP	LMT	10/2/2007	2,196 69	4/20/2009	1,508 70	(687 99)	-31 32	109 83	75 44	-	Long
48561272	120	MCDONALDS CORP	MCD	6/26/2007	6,203 75	4/20/2009	6,638 56	434 81	7 01	51 69	55 32	-	Long
48561272	108	MCDONALDS CORP	MCD	7/2/2007	5,535 15	4/20/2009	5,974 70	439 55	7 94	51 25	55 32	-	Long
48561272	50	MCDONALDS CORP	MCD	10/4/2007	2,803 15	4/20/2009	2,766 06	(37 09)	-1 32	56 06	55 32	-	Long
48561272	86	MCDONALDS CORP	MCD	10/5/2007	4,819 84	4/20/2009	4,757 63	(62 21)	-1 29	56 04	55 32	-	Long
48561272	127	MCDONALDS CORP	MCD	1/25/2008	6,876 83	4/20/2009	7,025 80	148 97	2 17	54 14	55 32	-	Long
48561272	10	MCDONALDS CORP	MCD	3/25/2008	564 05	4/20/2009	553 28	(10 77)	-1 91	56 40	55 32	-	Long
48561272	104	NORFOLK SOUTHERN CORP	NSC	2/1/2008	5,752 34	4/20/2009	3,705 71	(2,046 63)	-35 58	55 31	35 63	-	Long
48561272	77	NORFOLK SOUTHERN CORP	NSC	2/11/2008	4,222 80	4/20/2009	2,743 65	(1,479 15)	-35 03	54 84	35 63	-	Long
48561272	50	NORFOLK SOUTHERN CORP	NSC	3/3/2008	2,661 97	4/20/2009	1,781 59	(880 38)	-33 07	53 23	35 63	-	Long
48561272	32	NORFOLK SOUTHERN CORP	NSC	3/4/2008	1,696 33	4/20/2009	1,140 21	(556 12)	-32 78	53 01	35 63	-	Long
48561272	80	PROCTER & GAMBLE COMPANY	PG	8/17/2007	5,200 42	4/20/2009	4,133 63	(1,066 79)	-20 51	65 00	51 67	-	Long
48561272	31	QUALCOMM INC	QCOM	11/20/2007	1,280 44	4/20/2009	1,222 29	(58 15)	-4 54	41 30	39 43	-	Long
48561272	121	QUALCOMM INC	QCOM	11/21/2007	5,010 94	4/20/2009	4,770 90	(240 04)	-4 79	41 41	39 43	-	Long
48561272	81	QUALCOMM INC	QCOM	3/26/2008	3,262 28	4/20/2009	3,193 74	(68 54)	-2 1	40 27	39 43	-	Long
48561272	152	SUNCOR ENERGY INC		9/14/2006	5,197 56	4/20/2009	3,538 62	(1,658 94)	-31 92	34 19	23 28	-	Long
48561272	70	SUNCOR ENERGY INC		3/2/2007	2,426 38	4/20/2009	1,629 62	(796 76)	-32 84	34 66	23 28	-	Long
48561272	256	SUNCOR ENERGY INC		12/4/2007	12,475 81	4/20/2009	5,959 79	(6,516 02)	-52 23	48 73	23 28	-	Long
48561272	34	TRANSOCEAN LTD NAMEN AKT	RIG	12/6/2007	4,486 73	4/20/2009	2,180 36	(2,306 37)	-51 4	131 96	64 13	-	Long
48561272	30	TRANSOCEAN LTD NAMEN AKT	RIG	12/7/2007	4,004 81	4/20/2009	1,923 85	(2,080 96)	-51 96	133 49	64 13	-	Long
48561272	30	TRANSOCEAN LTD NAMEN AKT	RIG	12/12/2007	4,175 33	4/20/2009	1,923 85	(2,251 48)	-53 92	139 17	64 13	-	Long
48561272	18	TRANSOCEAN LTD NAMEN AKT	RIG	12/24/2007	2,616 78	4/20/2009	1,154 31	(1,462 47)	-55 89	145 37	64 13	-	Long
48561272	93	TRANSOCEAN LTD NAMEN AKT	RIG	1/9/2008	12,974 51	4/20/2009	5,963 94	(7,010 57)	-54 03	139 51	64 13	-	Long
48561272	422	UNION PACIFIC CORP	UNP	7/5/2006	19,414 11	4/20/2009	19,390 69	(23 42)	-0 12	46 00	45 95	-	Long
48561272	360	UNION PACIFIC CORP	UNP	7/7/2006	16,192 80	4/20/2009	16,541 82	349 02	2 16	44 98	45 95	-	Long
48561272	80	UNION PACIFIC CORP	UNP	1/31/2008	5,003 68	4/20/2009	3,675 96	(1,327 72)	-26 53	62 54	45 95	-	Long
48561272	8	UNION PACIFIC CORP	UNP	2/1/2008	505 45	4/20/2009	367 61	(137 84)	-27 27	63 18	45 95	-	Long
48561272	14	ECOLAB INC	ECL	3/5/2007	577 62	4/20/2009	524 00	(53 62)	-9 28	41 25	37 43	-	Long
48561272	205	ECOLAB INC	ECL	9/19/2007	9,277 09	4/20/2009	7,672 96	(1,604 13)	-17 29	45 25	37 43	-	Long
48561272	28	ENBRIDGE INC	ENB	2/16/2006	857 76	4/20/2009	841 09	(16 67)	-1 94	30 63	30 04	-	Long
48561272	115	ENBRIDGE INC	ENB	2/17/2006	3,574 20	4/20/2009	3,454 50	(119 70)	-3 35	31 08	30 04	-	Long
48561272	430	ENBRIDGE INC	ENB	9/21/2007	15,531 43	4/20/2009	12,916 88	(2,614 55)	-16 83	36 11	30 04	-	Long
48561272	117	ENTERPRISE PROD PARTN LP	EPD	8/10/2004	2,396 24	4/20/2009	2,674 73	278 49	11 62	20 48	22 86	2,396 24	Long
48561272	200	ENTERPRISE PROD PARTN LP	EPD	11/5/2004	4,813 08	4/20/2009	4,572 20	(240 88)	-5	24 06	22 86	4,813 08	Long
48561272	70	ENTERPRISE PROD PARTN LP	EPD	7/26/2006	1,888 15	4/20/2009	1,600 27	(287 88)	-15 25	26 97	22 86	1,888 15	Long
48561272	55	ENTERPRISE PROD PARTN LP	EPD	7/27/2006	1,458 52	4/20/2009	1,257 35	(201 17)	-13 79	26 51	22 86	1,458 52	Long
48561272	40	ENTERPRISE PROD PARTN LP	EPD	7/28/2006	1,060 00	4/20/2009	914 44	(145 56)	-13 73	26 50	22 86	1,060 00	Long
48561272	205	ENTERPRISE PROD PARTN LP	EPD	9/8/2006	5,373 99	4/20/2009	4,686 50	(687 49)	-12 79	26 21	22 86	5,373 99	Long
48561272	205	ENTERPRISE PROD PARTN LP	EPD	9/11/2006	5,399 70	4/20/2009	4,686 50	(713 20)	-13 21	26 34	22 86	5,399 70	Long

48561272	170	ENTERPRISE PROD PARTN LP	EPD	9/12/2006	4,482 00	4/20/2009	3,886 37	(595 63)	-13 29	26 36	22 86	4,482 00	Long
48561272	35	ENTERPRISE PROD PARTN LP	EPD	9/13/2006	929 09	4/20/2009	800 13	(128 96)	-13 88	26 54	22 86	929 09	Long
48561272	40	ENTERPRISE PROD PARTN LP	EPD	9/15/2006	1,069 20	4/20/2009	914 44	(154 76)	-14 47	26 73	22 86	1,069 20	Long
48561272	68	PRAXAIR INC	PX	11/16/2006	4,300 10	4/20/2009	4,478 36	178 26	4 15	63 23	65 86	-	Long
48561272	131	PRAXAIR INC	PX	5/18/2007	9,040 09	4/20/2009	8,627 44	(412 65)	-4 56	69 00	65 86	-	Long
48561272	97	PRAXAIR INC	PX	7/25/2007	7,352 98	4/20/2009	6,388 28	(964 70)	-13 12	75 80	65 86	-	Long
48561272	114	PROCTER & GAMBLE COMPANY	PG	7/16/2007	7,203 93	4/20/2009	5,890 40	(1,313 53)	-18 23	63 19	51 67	-	Long
48561272	88	BLACKROCK INC	BLK	3/20/2008	17,430 92	4/20/2009	11,708 97	(5,721 95)	-32 83	198 07	133 06	-	Long
48561272	129	CH ROBINSON WORLDWID NEW	CHRW	11/8/2007	6,063 23	4/20/2009	6,166 04	102 81	1 7	47 00	47 80	-	Long
48561272	105	CH ROBINSON WORLDWID NEW	CHRW	12/3/2007	5,371 96	4/20/2009	5,018 87	(353 09)	-6 57	51 16	47 80	-	Long
48561272	719	CVS CAREMARK CORP	CVS	5/25/2007	27,853 77	4/20/2009	21,263 53	(6,590 24)	-23 66	38 73	29 57	-	Long
48561272	100	CVS CAREMARK CORP	CVS	1/23/2008	3,700 10	4/20/2009	2,957 37	(742 73)	-20 07	37 00	29 57	-	Long
48561272	66	COSTCO WHOLESALE CORP	COST	3/26/2008	4,380 99	4/20/2009	2,956 06	(1,424 93)	-32 53	66 37	44 79	-	Long
48561272	920	LOWES COMPANIES INC	LOW	7/5/2006	27,995 60	4/20/2009	18,189 21	(9,806 39)	-35 03	30 43	19 77	-	Long
48561272	563	LOWES COMPANIES INC	LOW	7/7/2006	16,214 40	4/20/2009	11,131 02	(5,083 38)	-31 35	28 80	19 77	-	Long
48561272	21	MASTERCARD INC CLASS A	MA	2/28/2007	2,169 50	4/20/2009	3,354 87	1,185 37	54 64	103 30	159 76	-	Long
48561272	44	MASTERCARD INC CLASS A	MA	3/13/2007	4,530 94	4/20/2009	7,029 26	2,498 32	55 14	102 97	159 76	-	Long
48561272	59	MASTERCARD INC CLASS A	MA	8/14/2007	7,863 65	4/20/2009	9,425 60	1,561 95	19 86	133 28	159 76	-	Long
48561272	64	MASTERCARD INC CLASS A	MA	10/2/2007	9,868 96	4/20/2009	10,224 38	355 42	3 6	154 20	159 76	-	Long
48561272	17	MASTERCARD INC CLASS A	MA	3/25/2008	3,897 28	4/20/2009	2,715 85	(1,181 43)	-30 31	229 25	159 76	-	Long
48561272	20	MCDONALDS CORP	MCD	4/18/2007	974 84	4/20/2009	1,106 42	131 58	13 5	48 74	55 32	-	Long
48561272	83	MCDONALDS CORP	MCD	5/14/2007	4,206 62	4/20/2009	4,591 67	385 05	9 15	50 68	55 32	-	Long
48561272	178	MCDONALDS CORP	MCD	5/15/2007	9,147 33	4/20/2009	9,847 19	699 86	7 65	51 38	55 32	-	Long
48561272	171	MCDONALDS CORP	MCD	5/16/2007	8,785 30	4/20/2009	9,459 94	674 64	7 68	51 37	55 32	-	Long
48561272	83	MCDONALDS CORP	MCD	5/18/2007	4,314 39	4/20/2009	4,591 67	277 28	6 43	51 98	55 32	-	Long
48561272	177	MCDONALDS CORP	MCD	5/21/2007	9,216 66	4/20/2009	9,791 87	575 21	6 24	52 07	55 32	-	Long
48561272	177	MCDONALDS CORP	MCD	5/22/2007	9,296 43	4/20/2009	9,791 87	495 44	5 33	52 52	55 32	-	Long
48561272	572	PLAINS ALL AMERN PIPELIN	PAA	3/24/2008	25,922 07	4/20/2009	22,594 36	(3,327 71)	-12 84	45 31	39 50	25,922 07	Long
48561272	69	PRAXAIR INC	PX	11/14/2006	4,311 25	4/20/2009	4,544 22	232 97	5 4	62 48	65 86	-	Long
								(81,166 59)					
48561272	290	AON CORP	AON	12/9/2008	12,669 90	4/20/2009	11,943 28	(726 62)	-5 74	43 68	41 18	-	Short
48561272	94	APPLE INC	AAPL	4/25/2008	15,856 83	4/20/2009	11,296 62	(4,560 21)	-28 76	168 68	120 18	-	Short
48561272	51	APPLE INC	AAPL	4/29/2008	8,710 67	4/20/2009	6,129 02	(2,581 65)	-29 64	170 79	120 18	-	Short
48561272	39	APPLE INC	AAPL	5/2/2008	7,026 34	4/20/2009	4,686 89	(2,339 45)	-33 3	180 16	120 18	-	Short
48561272	51	APPLE INC	AAPL	6/17/2008	9,153 91	4/20/2009	6,129 02	(3,024 89)	-33 04	179 48	120 18	-	Short
48561272	44	APPLE INC	AAPL	6/18/2008	7,895 34	4/20/2009	5,287 78	(2,607 56)	-33 03	179 43	120 18	-	Short
48561272	84	APPLE INC	AAPL	7/24/2008	13,543 87	4/20/2009	10,094 85	(3,449 02)	-25 47	161 23	120 18	-	Short
48561272	26	APPLE INC	AAPL	3/13/2009	2,500 55	4/20/2009	3,124 63	624 08	24 96	96 17	120 18	-	Short
48561272	141	BHP BILLITON PLC ADR	BBL	3/12/2009	5,033 78	4/20/2009	5,511 96	478 18	9 5	35 70	39 09	-	Short
48561272	139	BHP BILLITON PLC ADR	BBL	3/13/2009	5,324 37	4/20/2009	5,433 79	109 42	2 06	38 30	39 09	-	Short
48561272	24	ENTERPRISE PROD PARTN LP	EPD	11/7/2008	590 04	4/20/2009	548 70	(41 34)	-7 01	24 58	22 86	590 04	Short
48561272	103	GENERAL DYNAMICS CORP	GD	1/9/2009	5,978 40	4/20/2009	4,623 16	(1,355 24)	-22 67	58 04	44 89	-	Short
48561272	1268	GENERAL ELECTRIC COMPANY	GE	3/12/2009	11,749 67	4/20/2009	14,304 45	2,554 78	21 74	9 26	11 28	-	Short
48561272	42	GENZYME CORP	GENZ	1/29/2009	2,927 91	4/20/2009	2,285 15	(642 76)	-21 95	69 71	54 41	-	Short
48561272	40	GENZYME CORP	GENZ	1/30/2009	2,764 54	4/20/2009	2,176 35	(588 19)	-21 28	69 11	54 41	-	Short
48561272	120	GILEAD SCIENCES INC	GILD	12/22/2008	6,003 55	4/20/2009	5,318 26	(685 29)	-11 41	50 02	44 32	-	Short
48561272	54	GILEAD SCIENCES INC	GILD	12/23/2008	2,728 61	4/20/2009	2,393 21	(335 40)	-12 29	50 52	44 32	-	Short

48561272	53	GILEAD SCIENCES INC	GILD	1/28/2009	2,665 36	4/20/2009	2,348 91	(316 45)	-11 87	50 28	44 32	-	Short
48561272	122	ABBOTT LABORATORIES	ABT	11/5/2008	6,798 76	4/20/2009	5,397 14	(1,401 62)	-20 62	55 72	44 24	-	Short
48561272	70	ABBOTT LABORATORIES	ABT	1/23/2009	3,697 69	4/20/2009	3,096 72	(600 97)	-16 25	52 82	44 24	-	Short
48561272	34	ABBOTT LABORATORIES	ABT	1/28/2009	1,852 23	4/20/2009	1,504 12	(348 11)	-18 79	54 47	44 24	-	Short
48561272	103	ABBOTT LABORATORIES	ABT	1/30/2009	5,703 41	4/20/2009	4,556 60	(1,146 81)	-20 11	55 37	44 24	-	Short
48561272	109	ABBOTT LABORATORIES	ABT	2/9/2009	6,228 75	4/20/2009	4,822 03	(1,406 72)	-22 58	57 14	44 24	-	Short
48561272	93	ABBOTT LABORATORIES	ABT	2/19/2009	5,067 05	4/20/2009	4,114 23	(952 82)	-18 8	54 48	44 24	-	Short
48561272	162	ALCON INC	ACL	12/10/2008	13,217 32	4/20/2009	14,600 35	1,383 03	10 46	81 58	90 13	-	Short
48561272	50	ALCON INC	ACL	2/27/2009	4,232 29	4/20/2009	4,506 29	274 00	6 47	84 64	90 13	-	Short
48561272	20	MEDCO HEALTH SOLUTIONS	MHS	11/5/2008	839 50	4/20/2009	861 84	22 34	2 66	41 97	43 09	-	Short
48561272	154	MEDCO HEALTH SOLUTIONS	MHS	11/7/2008	6,272 19	4/20/2009	6,636 17	363 98	5 8	40 72	43 09	-	Short
48561272	110	MEDCO HEALTH SOLUTIONS	MHS	11/25/2008	4,400 12	4/20/2009	4,740 14	340 02	7 73	40 00	43 09	-	Short
48561272	53	MONSANTO COMPANY NEW	MON	10/9/2008	4,240 64	4/20/2009	4,145 82	(94 82)	-2 24	80 01	78 23	-	Short
48561272	112	MONSANTO COMPANY NEW	MON	1/7/2009	9,680 55	4/20/2009	8,760 99	(919 56)	-9 5	86 43	78 23	-	Short
48561272	92	MONSANTO COMPANY NEW	MON	1/28/2009	7,333 48	4/20/2009	7,196 57	(136 91)	-1 87	79 71	78 23	-	Short
48561272	200	HEWLETT-PACKARD COMPANY	HPQ	3/10/2009	5,337 46	4/20/2009	7,044 13	1,706 67	31 98	26 68	35 22	-	Short
48561272	158	HEWLETT-PACKARD COMPANY	HPQ	3/27/2009	5,227 10	4/20/2009	5,564 87	337 77	6 46	33 08	35 22	-	Short
48561272	123	INTL BUSINESS MACHS CORP	IBM	1/30/2009	11,418 55	4/20/2009	12,244 33	825 78	7 23	92 83	99 55	-	Short
48561272	32	INTL BUSINESS MACHS CORP	IBM	2/9/2009	3,082 96	4/20/2009	3,185 52	102 56	3 33	96 34	99 55	-	Short
48561272	332	JPMORGAN CHASE & COMPANY	JPM	10/16/2008	13,210 28	4/20/2009	10,584 35	(2,625 93)	-19 88	39 79	31 88	-	Short
48561272	156	JPMORGAN CHASE & COMPANY	JPM	11/5/2008	6,404 36	4/20/2009	4,973 36	(1,431 00)	-22 34	41 05	31 88	-	Short
48561272	231	JPMORGAN CHASE & COMPANY	JPM	3/13/2009	5,423 56	4/20/2009	7,364 41	1,940 85	35 79	23 47	31 88	-	Short
48561272	212	JPMORGAN CHASE & COMPANY	JPM	3/19/2009	5,333 31	4/20/2009	6,758 68	1,425 37	26 73	25 15	31 88	-	Short
48561272	383	JPMORGAN CHASE & COMPANY	JPM	3/23/2009	10,579 57	4/20/2009	12,210 26	1,630 69	15 41	27 62	31 88	-	Short
48561272	197	JPMORGAN CHASE & COMPANY	JPM	3/24/2009	5,612 59	4/20/2009	6,280 47	667 88	11 9	28 49	31 88	-	Short
48561272	228	JPMORGAN CHASE & COMPANY	JPM	3/25/2009	6,196 63	4/20/2009	7,268 79	1,072 16	17 3	27 17	31 88	-	Short
48561272	230	JOHNSON & JOHNSON	JNJ	11/5/2008	13,864 24	4/20/2009	12,219 90	(1,644 34)	-11 86	60 27	53 13	-	Short
48561272	430	JOHNSON & JOHNSON	JNJ	11/7/2008	25,580 40	4/20/2009	22,845 91	(2,734 49)	-10 69	59 48	53 13	-	Short
48561272	414	KANSAS CITY STHRN NEW	KSU	2/18/2009	7,787 17	4/20/2009	6,449 99	(1,337 18)	-17 17	18 80	15 58	-	Short
48561272	116	LOCKHEED MARTIN CORP	LMT	9/19/2008	12,864 97	4/20/2009	8,750 49	(4,114 48)	-31 98	110 90	75 44	-	Short
48561272	134	LOCKHEED MARTIN CORP	LMT	2/9/2009	10,821 73	4/20/2009	10,108 37	(713 36)	-6 59	80 75	75 44	-	Short
48561272	75	NIKE INC CLASS B	NKE	7/10/2008	4,163 20	4/20/2009	3,918 10	(245 10)	-5 89	55 50	52 24	-	Short
48561272	78	NIKE INC CLASS B	NKE	7/11/2008	4,396 41	4/20/2009	4,074 83	(321 58)	-7 31	56 36	52 24	-	Short
48561272	63	NIKE INC CLASS B	NKE	7/16/2008	3,598 43	4/20/2009	3,291 21	(307 22)	-8 54	57 11	52 24	-	Short
48561272	75	NIKE INC CLASS B	NKE	7/17/2008	4,386 01	4/20/2009	3,918 10	(467 91)	-10 67	58 48	52 24	-	Short
48561272	100	NIKE INC CLASS B	NKE	11/26/2008	5,186 05	4/20/2009	5,224 14	38 09	0 73	51 86	52 24	-	Short
48561272	80	NIKE INC CLASS B	NKE	12/8/2008	4,530 40	4/20/2009	4,179 31	(351 09)	-7 75	56 63	52 24	-	Short
48561272	89	NIKE INC CLASS B	NKE	12/9/2008	4,789 19	4/20/2009	4,649 52	(139 67)	-2 92	53 81	52 24	-	Short
48561272	49	NORFOLK SOUTHERN CORP	NSC	5/8/2008	3,036 44	4/20/2009	1,745 96	(1,290 48)	-42 5	61 96	35 63	-	Short
48561272	54	NORFOLK SOUTHERN CORP	NSC	5/29/2008	3,527 78	4/20/2009	1,924 12	(1,603 66)	-45 46	65 32	35 63	-	Short
48561272	40	NORFOLK SOUTHERN CORP	NSC	9/29/2008	2,640 89	4/20/2009	1,425 27	(1,215 62)	-46 03	66 02	35 63	-	Short
48561272	95	NORFOLK SOUTHERN CORP	NSC	10/23/2008	5,097 28	4/20/2009	3,385 02	(1,712 26)	-33 59	53 65	35 63	-	Short
48561272	100	NORFOLK SOUTHERN CORP	NSC	1/8/2009	4,748 73	4/20/2009	3,563 18	(1,185 55)	-24 97	47 48	35 63	-	Short
48561272	225	QUALCOMM INC	QCOM	7/24/2008	11,867 99	4/20/2009	8,871 52	(2,996 47)	-25 25	52 74	39 43	-	Short
48561272	108	QUALCOMM INC	QCOM	8/8/2008	5,972 67	4/20/2009	4,258 33	(1,714 34)	-28 7	55 30	39 43	-	Short
48561272	37	QUALCOMM INC	QCOM	10/30/2008	1,458 75	4/20/2009	1,458 87	0 12	0 01	39 42	39 43	-	Short
48561272	128	QUALCOMM INC	QCOM	10/31/2008	4,956 93	4/20/2009	5,046 91	89 98	1 82	38 72	39 43	-	Short

48561272	152	QUALCOMM INC	QCOM	3/10/2009	5,322 57	4/20/2009	5,993 20	670 63	12 6	35 01	39 43	-	Short
48561272	222	QUALCOMM INC	QCOM	3/19/2009	8,380 77	4/20/2009	8,753 27	372 50	4 44	37 75	39 43	-	Short
48561272	140	RANGE RESOURCES CORP	RRC	3/10/2009	5,363 67	4/20/2009	5,609 66	245 99	4 59	38 31	40 07	-	Short
48561272	132	RANGE RESOURCES CORP	RRC	3/27/2009	5,632 37	4/20/2009	5,289 12	(343 25)	-6 09	42 66	40 07	-	Short
48561272	166	ROCHE HLDNG LTD SPNS ADR	RHHBY	3/12/2009	5,037 19	4/20/2009	5,369 96	332 77	6 61	30 34	32 35	-	Short
48561272	93	ROCHE HLDNG LTD SPNS ADR	RHHBY	3/12/2009	2,829 22	4/20/2009	3,008 47	179 25	6 34	30 42	32 35	-	Short
48561272	253	ROCHE HLDNG LTD SPNS ADR	RHHBY	3/20/2009	8,194 32	4/20/2009	8,184 34	(9 98)	-0 12	32 38	32 35	-	Short
48561272	200	STARWOOD HTLS RSRTS WRLD	HOT	10/20/2008	4,161 30	4/20/2009	3,315 91	(845 39)	-20 32	20 80	16 58	-	Short
48561272	119	STARWOOD HTLS RSRTS WRLD	HOT	10/21/2008	2,554 58	4/20/2009	1,972 97	(581 61)	-22 77	21 46	16 58	-	Short
48561272	205	TRANSOCEAN LTD NAMEN AKT	RIG	10/10/2008	13,623 05	4/20/2009	13,146 33	(476 72)	-3 5	66 45	64 13	-	Short
48561272	2	TRANSOCEAN LTD NAMEN AKT	RIG	10/14/2008	172 35	4/20/2009	128 25	(44 10)	-25 59	86 17	64 13	-	Short
48561272	100	TRANSOCEAN LTD NAMEN AKT	RIG	2/9/2009	6,074 41	4/20/2009	6,412 84	338 43	5 57	60 74	64 13	-	Short
48561272	25	TRANSOCEAN LTD NAMEN AKT	RIG	2/9/2009	1,536 98	4/20/2009	1,603 21	66 23	4 31	61 47	64 13	-	Short
48561272	166	TRANSOCEAN LTD NAMEN AKT	RIG	2/10/2009	9,758 58	4/20/2009	10,645 36	886 78	9 09	58 78	64 13	-	Short
48561272	151	U S BANCORP DE NEW	USB	8/18/2008	4,631 88	4/20/2009	2,612 44	(2,019 44)	-43 6	30 67	17 30	-	Short
48561272	172	U S BANCORP DE NEW	USB	9/3/2008	5,591 38	4/20/2009	2,975 76	(2,615 62)	-46 78	32 50	17 30	-	Short
48561272	181	U S BANCORP DE NEW	USB	12/3/2008	4,626 96	4/20/2009	3,131 47	(1,495 49)	-32 32	25 56	17 30	-	Short
48561272	469	U S BANCORP DE NEW	USB	3/13/2009	6,703 23	4/20/2009	8,114 14	1,410 91	21 05	14 29	17 30	-	Short
48561272	499	U S BANCORP DE NEW	USB	3/25/2009	7,950 32	4/20/2009	8,633 19	682 87	8 59	15 93	17 30	-	Short
48561272	163	VISA INC CLASS A	V	4/30/2008	13 570 37	4/20/2009	9,302 69	(4,267 68)	-31 45	83 25	57 07	-	Short
48561272	54	VISA INC CLASS A	V	6/26/2008	4,268 46	4/20/2009	3,081 87	(1,186 59)	-27 8	79 04	57 07	-	Short
48561272	52	VISA INC CLASS A	V	6/27/2008	4,199 58	4/20/2009	2,967 72	(1,231 86)	-29 33	80 76	57 07	-	Short
48561272	54	VISA INC CLASS A	V	7/30/2008	4,202 38	4/20/2009	3,081 87	(1,120 51)	-26 66	77 82	57 07	-	Short
48561272	277	VISA INC CLASS A	V	10/8/2008	14,760 44	4/20/2009	15,808 86	1,048 42	7 1	53 28	57 07	-	Short
48561272	100	VISA INC CLASS A	V	10/14/2008	5,765 89	4/20/2009	5,707 17	(58 72)	-1 02	57 65	57 07	-	Short
48561272	181	VISA INC CLASS A	V	1/9/2009	10,018 06	4/20/2009	10,330 01	311 95	3 11	55 34	57 07	-	Short
48561272	240	WAL-MART STORES INC	WMT	10/3/2008	14,410 70	4/20/2009	11,827 23	(2,583 47)	-17 93	60 04	49 28	-	Short
48561272	370	WAL-MART STORES INC	WMT	10/16/2008	19,868 78	4/20/2009	18,233 64	(1,635 14)	-8 23	53 69	49 28	-	Short
48561272	106	WAL-MART STORES INC	WMT	10/17/2008	5,738 38	4/20/2009	5,223 69	(514 69)	-8 97	54 13	49 28	-	Short
48561272	224	WAL-MART STORES INC	WMT	10/20/2008	12,016 28	4/20/2009	11,038.74	(977 54)	-8 14	53 64	49 28	-	Short
48561272	67	WAL-MART STORES INC	WMT	2/19/2009	3,354 08	4/20/2009	3,301 79	(52 29)	-1 56	50 06	49 28	-	Short
48561272	185	SCHERING PLOUGH CORP		12/2/2008	2,943 28	4/20/2009	4,297 69	1,354 41	46 02	15 90	23 23	-	Short
48561272	356	SCHERING PLOUGH CORP		12/3/2008	5,659 40	4/20/2009	8,270 16	2,610 76	46 13	15 89	23 23	-	Short
48561272	173	SCHERING PLOUGH CORP		12/3/2008	2,725 96	4/20/2009	4,018 92	1,292 96	47 43	15 75	23 23	-	Short
48561272	400	SCHERING PLOUGH CORP		12/9/2008	6,398 64	4/20/2009	9,292 31	2,893 67	45 22	15 99	23 23	-	Short
48561272	10	SCHERING PLOUGH CORP		12/10/2008	156 50	4/20/2009	232 33	75 83	48 45	15 64	23 23	-	Short
48561272	110	WF PERP CL A 8% PERP A J	WFC J	12/30/2008	2,408 80	4/20/2009	2,007 44	(401 36)	-16 66	21 89	18 25	-	Short
48561272	26	WF PERP CL A 8% PERP A J	WFC J	12/31/2008	570 67	4/20/2009	474 48	(96 19)	-16 86	21 94	18 25	-	Short
48561272	75	WF PERP CL A 8% PERP A J	WFC J	3/18/2009	1,218 71	4/20/2009	1,368 73	150 02	12 31	16 24	18 25	-	Short
48561272	18	COSTCO WHOLESALE CORP	COST	7/30/2008	1,129 12	4/20/2009	806 19	(322 93)	-28 6	62 72	44 79	-	Short
48561272	54	COSTCO WHOLESALE CORP	COST	8/6/2008	3,545 85	4/20/2009	2,418 64	(1,127 21)	-31 79	65 66	44 79	-	Short
48561272	130	ECOLAB INC	ECL	11/13/2008	4,407 20	4/20/2009	4,865 80	458 60	10 41	33 90	37 43	-	Short
48561272	132	BHP BILLITON LIMITED ADR	BHP	3/10/2009	5,304 97	4/20/2009	5,914 78	609 81	11 5	40 18	44 81	-	Short
48561272	118	BHP BILLITON LIMITED ADR	BHP	3/19/2009	5,386 06	4/20/2009	5,287 46	(98 60)	-1 83	45 64	44 81	-	Short
48561272	325	CVS CAREMARK CORP	CVS	1/8/2009	9,500 79	4/20/2009	9,611 49	110 70	1 17	29 23	29 57	-	Short
48561272	266	COLGATEPALMOLIVE COMPANY	CL	12/23/2008	17,597 18	4/20/2009	16,148 87	(1,448 31)	-8 23	66 15	60 71	-	Short
48561272	50	COSTCO WHOLESALE CORP	COST	4/24/2008	3,513 37	4/20/2009	2,239 44	(1,273 93)	-36 26	70 26	44 79	-	Short

48561272	12	COSTCO WHOLESALE CORP	COST	4/25/2008	852 70	4/20/2009	537 46	(315 24)	-36 97	71 05	44 79	-	Short
48561272	141	COSTCO WHOLESALE CORP	COST	7/23/2008	8,909 79	4/20/2009	6,315 22	(2,594 57)	-29 12	63 19	44 79	-	Short
48561272	55	COSTCO WHOLESALE CORP	COST	7/28/2008	3,362 88	4/20/2009	2,463 38	(899 50)	-26 75	61 14	44 79	-	Short
48561272	61	COSTCO WHOLESALE CORP	COST	7/30/2008	3,831 74	4/20/2009	2,732 11	(1,099 63)	-28 7	62 81	44 79	-	Short
48561272	49	GOLDMAN SACHS GROUP INC	GS	4/24/2008	9,243 10	4/20/2009	5,750 68	(3,492 42)	-37 78	188 63	117 36	-	Short
48561272	97	GOLDMAN SACHS GROUP INC	GS	9/19/2008	12,854 76	4/20/2009	11,384 01	(1,470 75)	-11 44	132 52	117 36	-	Short
48561272	52	GOLDMAN SACHS GROUP INC	GS	11/25/2008	3,664 40	4/20/2009	6,102 77	2,438 37	66 54	70 46	117 36	-	Short
48561272	86	GOLDMAN SACHS GROUP INC	GS	3/23/2009	8,773 31	4/20/2009	10,093 04	1,319 73	15 04	102 01	117 36	-	Short
48561272	162	GOLDMAN SACHS GROUP INC	GS	3/25/2009	17,924 54	4/20/2009	19,012 51	1,087 97	6 07	110 64	117 36	-	Short
48561272	35	GOOGLE INC CLASS A	GOOG	10/30/2008	12,663 14	4/20/2009	13,343 75	680 61	5 37	361 80	381 26	-	Short
48561272	11	GOOGLE INC CLASS A	GOOG	10/31/2008	3,940 54	4/20/2009	4,193 75	253 21	6 43	358 23	381 26	-	Short
48561272	22	GOOGLE INC CLASS A	GOOG	1/29/2009	7,515 39	4/20/2009	8,387 50	872 11	11 6	341 60	381 26	-	Short
48561272	27	GOOGLE INC CLASS A	GOOG	2/9/2009	10,228 95	4/20/2009	10,293 75	64 80	0 63	378 85	381 26	-	Short
48561272	16	GOOGLE INC CLASS A	GOOG	2/18/2009	5,600 00	4/20/2009	6,100 02	500 02	8 93	350 00	381 26	-	Short
48561272	110	ABBOTT LABORATORIES	ABT	10/7/2008	6,209 15	4/20/2009	4,866 27	(1,342 88)	-21 63	56 44	44 24	-	Short
48561272	122	ABBOTT LABORATORIES	ABT	10/16/2008	6,683 53	4/20/2009	5,397 14	(1,286 39)	-19 25	54 78	44 24	-	Short
48561272	49	MASTERCARD INC CLASS A	MA	10/9/2008	7,652 89	4/20/2009	7,828 04	175 15	2 29	156 18	159 76	-	Short
48561272	20	MASTERCARD INC CLASS A	MA	1/28/2009	2,678 42	4/20/2009	3,195 14	516 72	19 29	133 92	159 76	-	Short
48561272	46	NORFOLK SOUTHERN CORP	NSC	1/9/2009	2,136 57	4/20/2009	1,639 11	(497 46)	-23 28	46 44	35 63	-	Short
48561272	768	ORACLE CORP	ORCL	10/27/2008	12,701 95	4/20/2009	14,100 11	1,398 16	11 01	16 53	18 36	-	Short
48561272	254	PEPSICO INC	PEP	11/24/2008	13,837 13	4/20/2009	12,702 62	(1,134 51)	-8 2	54 47	50 01	-	Short
48561272	447	PETROLEO BRASIL SPNS ADR	PBR	10/14/2008	14,508 86	4/20/2009	14,343 85	(165 01)	-1 14	32 45	32 09	-	Short
48561272	107	PETROLEO BRASIL SPNS ADR	PBR	1/29/2009	2,827 85	4/20/2009	3,433 54	605 69	21 42	26 42	32 09	-	Short
48561272	99	PETROLEO BRASIL SPNS ADR	PBR	1/30/2009	2,654 81	4/20/2009	3,176 82	522 01	19 66	26 81	32 09	-	Short
48561272	346	PETROLEO BRASIL SPNS ADR	PBR	3/19/2009	11,211 68	4/20/2009	11,102 87	(108 81)	-0 97	32 40	32 09	-	Short
48561272	148	PLAINS ALL AMERN PIPELIN	PAA	11/11/2008	5,705 07	4/20/2009	5,846 10	141 03	2 47	38 54	39 50	5,705 07	Short
48561272	66	POTASH CORP SASK INC	POT	2/10/2009	5,788 58	4/20/2009	5,445 52	(343 06)	-5 93	87 70	82 51	-	Short
48561272	81	POTASH CORP SASK INC	POT	3/9/2009	5,581 00	4/20/2009	6,683 14	1,102 14	19 75	68 90	82 51	-	Short
48561272	61	POTASH CORP SASK INC	POT	3/10/2009	4,427 13	4/20/2009	5,032 99	605 86	13 69	72 57	82 51	-	Short
48561272	190	NALCO HOLDINGS COMPANY	NLC	4/20/2009	2,576 62	5/1/2009	3,115 61	538 99	20 92	13 56	16 40	-	Short
48561272	490	WEATHERFORD INTL LTD REG	WFT	4/20/2009	6,685 42	5/4/2009	8,745 24	2,059 82	30 81	13 64	17 85	-	Short
48561272	695	PETROLEO BRASILEIRO SA	PBR'A	4/20/2009	17,757 19	5/7/2009	21,859 89	4,102 70	23 1	25 55	31 45	-	Short
48561272	425	PETROLEO BRASILEIRO SA	PBR'A	4/20/2009	10,858 71	5/8/2009	13,282 64	2,423 93	22 32	25 55	31 25	-	Short
48561272	310	HALLIBURTON COMPANY	HAL	4/20/2009	5,781 26	5/19/2009	6,828 87	1,047 61	18 12	18 65	22 03	-	Short
48561272	300	WEATHERFORD INTL LTD REG	WFT	4/20/2009	4,093 12	5/19/2009	5,811 39	1,718 27	41 98	13 64	19 37	-	Short
48561272	1190	SPIRIT AEROSYS HLDG CL A	SPR	4/20/2009	13,533 74	5/20/2009	16,781 42	3,247 68	24	11 37	14 10	-	Short
48561272	290	HALLIBURTON COMPANY	HAL	4/20/2009	5,408 27	5/20/2009	6,650 16	1,241 89	22 96	18 65	22 93	-	Short
48561272	335	WEATHERFORD INTL LTD REG	WFT	4/20/2009	4,570 65	5/20/2009	6,758 11	2,187 46	47 86	13 64	20 17	-	Short
48561272	170	PETROLEO BRASILEIRO SA	PBR'A	4/20/2009	4,343 49	6/1/2009	6,187 94	1,844 45	42 46	25 55	36 40	-	Short
48561272	1485	SPIRIT AEROSYS HLDG CL A	SPR	4/20/2009	16,888 74	6/4/2009	21,863 98	4,975 24	29 46	11 37	14 72	-	Short
48561272	1325	SPIRIT AEROSYS HLDG CL A	SPR	4/20/2009	15,069 08	6/8/2009	21,432 64	6,363 56	42 23	11 37	16 18	-	Short
48561272	1200	SPIRIT AEROSYS HLDG CL A	SPR	4/20/2009	13,647 45	6/10/2009	19,316 26	5,668 81	41 54	11 37	16 10	-	Short
48561272	570	HALLIBURTON COMPANY	HAL	4/20/2009	10,630 05	6/11/2009	13,846 99	3,216 94	30 26	18 65	24 29	-	Short
48561272	3060	SMITHFIELD FOODS INC	SFD	4/20/2009	30,501 53	6/26/2009	41,903 48	11,401 95	37 38	9 97	13 69	-	Short
48561272	1385	SMITHFIELD FOODS INC	SFD	4/20/2009	13,805 43	7/14/2009	18,457 42	4,651 99	33 7	9 97	13 33	-	Short
48561272	1355	SMITHFIELD FOODS INC	SFD	4/20/2009	13,506 39	7/15/2009	18,331 99	4,825 60	35 73	9 97	13 53	-	Short
48561272	25	SPX CORP	SPW	4/20/2009	1,053 99	8/4/2009	1,382 86	328 87	31 2	42 16	55 32	-	Short

48561272	105	SPX CORP	SPW	4/20/2009	4,426 72	8/12/2009	6,081 40	1,654 68	37 38	42 16	57 92	-	Short
48561272	240	SPX CORP	SPW	4/20/2009	10,118 21	9/9/2009	14,403 27	4,285 06	42 35	42 16	60 02	-	Short
48561272	335	SPX CORP	SPW	4/20/2009	14,123 34	9/11/2009	21,298 78	7,175 44	50 81	42 16	63 58	-	Short
48561272	175	HALLIBURTON COMPANY	HAL	4/20/2009	3,263 61	9/16/2009	4,872 94	1,609 33	49 31	18 65	27 85	-	Short
48561272	590	ALLSCRIPTS MISYS HC	MDRX	4/20/2009	6,902 19	9/21/2009	10,841 15	3,938 96	57 07	11 70	18 38	-	Short
48561272	1000	PETROLEO BRASILEIRO SA	PBR'A	4/20/2009	25,549 88	9/23/2009	38 926 49	13,376 61	52 35	25 55	38 93	-	Short
								38,267 67					
59312194	145000	UST NT INFLT 3 0 071512		8/9/2004	187,464 09	4/30/2009	180,701 60	(6,762 49)	-3 61	-	105 60	-	Long
								(6,762 49)					
59312194	184000	UST NT INFLT2 375 041511		3/25/2009	200,849 23	4/30/2009	201,261 08	411 85	0 21	-	102 32	-	Short
59312194	87000	FNMA 190391 6 0 090138		10/24/2008	77,016 50	5/5/2009	76,949 54	(66 96)	-0 09	-	104 38	-	Short
59312194	44000	FHLMC G04877 6 0 110138		10/29/2008	40,987 37	5/11/2009	41,901 85	914 48	2 23	-	104 66	-	Short
59312194	87000	FNMA 190391 6 0 090138		5/12/2009	77,035 55	5/12/2009	77,035 55	-	0	-	-	-	Short
59312194	103000	FNMA 889579 6 0 050138		10/28/2008	89,171 22	5/27/2009	90,309 44	1,138 22	1 28	-	104 28	-	Short
59312194	157000	FNMA 988580 6 0 080138		12/8/2008	141,385 79	5/27/2009	138,020 94	(3,364 85)	-2 38	-	104 50	-	Short
59312194	206000	FNMA 995069 6 0 000000		11/5/2008	192,226 63	5/27/2009	191,912 26	(314 37)	-0 16	-	104 56	-	Short
59312194	335000	FNMA 889579 6 0 050138		10/28/2008	290,022 90	5/28/2009	293,372 91	3,350 01	1 16	-	104 16	-	Short
59312194	60000	MORGAN STANLY 5 3 030113		5/4/2009	58,818 00	6/1/2009	60,021 00	1,203 00	2 05	98 03	100 04	-	Short
59312194	50000	IBM CORP 4 75 112912		5/7/2009	53,146 50	7/14/2009	53,945 50	799 00	1 5	106 29	107 89	-	Short
59312194	85000	FHLMC MTN 2 5 010714		4/30/2009	85,217 26	7/15/2009	84,520 86	(696 40)	-0 82	100 26	99 44	-	Short
59312194	60000	BEAR STEARNS 5 35 020112		5/4/2009	61,956 00	8/5/2009	63,783 00	1,827 00	2 95	103 26	106 31	-	Short
59312194	55000	SPRINT CORP 7 625 013011		7/14/2009	54,518 75	9/14/2009	55,825 00	1,306 25	2 4	99 13	101 50	-	Short
								6,507 23					
83482308	490	CANON INC ADR REPR 5 SHS	CAJ	8/5/2004	15,372 93	4/28/2009	14,386 07	(986 86)	-6 42	31 37	29 36	-	Long
83482308	3	CANON INC ADR REPR 5 SHS	CAJ	7/6/2006	148 08	4/28/2009	88 08	(60 00)	-40 52	49 36	29 36	-	Long
83482308	200	DEUTSCHE TELEKOM AG	DTEGY	10/16/2006	3,221 60	4/28/2009	2,182 08	(1,039 52)	-32 27	16 10	10 91	-	Long
83482308	200	DEUTSCHE TELEKOM AG	DTEGY	10/23/2006	3,227 44	4/28/2009	2,182 08	(1,045 36)	-32 39	16 13	10 91	-	Long
83482308	205	DEUTSCHE TELEKOM AG	DTEGY	3/9/2007	3,404 03	4/28/2009	2,236 64	(1,167 39)	-34 29	16 60	10 91	-	Long
83482308	195	DEUTSCHE TELEKOM AG	DTEGY	4/13/2007	3,416 11	4/28/2009	2,127 53	(1,288 58)	-37 72	17 51	10 91	-	Long
83482308	215	DEUTSCHE TELEKOM AG	DTEGY	5/8/2007	3,671 28	4/28/2009	2,345 74	(1,325 54)	-36 11	17 07	10 91	-	Long
83482308	40	DEUTSCHE TELEKOM AG	DTEGY	7/9/2007	749 35	4/28/2009	436 41	(312 94)	-41 76	18 73	10 91	-	Long
83482308	175	DEUTSCHE TELEKOM AG	DTEGY	7/10/2007	3,276 93	4/28/2009	1,909 37	(1,367 56)	-41 73	18 72	10 91	-	Long
83482308	10	NOVARTIS AG SPONS ADR	NVS	4/16/2007	567 55	4/28/2009	369 30	(198 25)	-34 93	56 75	36 93	-	Long
83482308	60	NOVARTIS AG SPONS ADR	NVS	4/25/2007	3,492 66	4/28/2009	2,215 80	(1,276 86)	-36 56	58 21	36 93	-	Long
83482308	60	NOVARTIS AG SPONS ADR	NVS	4/27/2007	3,538 27	4/28/2009	2,215 80	(1,322 47)	-37 38	58 97	36 93	-	Long
83482308	37	NOVARTIS AG SPONS ADR	NVS	5/15/2007	2,134 79	4/28/2009	1,366 41	(768 38)	-35 99	57 69	36 93	-	Long
83482308	23	NOVARTIS AG SPONS ADR	NVS	5/16/2007	1,318 94	4/28/2009	849 39	(469 55)	-35 6	57 34	36 93	-	Long
83482308	254	RWE AG SPONS ADR	RWEOY	8/5/2004	12,700 00	4/28/2009	17,634 76	4,934 76	38 86	50 00	69 43	-	Long
83482308	3	RWE AG SPONS ADR	RWEOY	7/6/2006	249 90	4/28/2009	208 29	(41 61)	-16 65	83 30	69 43	-	Long
83482308	310	REED ELSVR NV ADR NEW	ENL	8/5/2004	9,115 01	4/28/2009	6,753 06	(2,361 95)	-25 91	29 40	21 78	-	Long
83482308	116	REED ELSVR NV ADR NEW	ENL	2/3/2006	3,831 57	4/28/2009	2,526 95	(1,304 62)	-34 05	33 03	21 78	-	Long
83482308	4	REED ELSVR NV ADR NEW	ENL	7/6/2006	84 22	4/28/2009	87 14	2 92	3 47	21 05	21 78	-	Long
83482308	236	ROYAL DUTCH SHELL ADR	RDS'A	8/5/2004	11,790 56	4/28/2009	10,660 32	(1,130 24)	-9 59	49 96	45 17	-	Long
83482308	2	ROYAL DUTCH SHELL ADR	RDS'A	7/6/2006	135 18	4/28/2009	90 34	(44 84)	-33 17	67 59	45 17	-	Long
83482308	45	ROYAL DUTCH SHELL ADR	RDS'A	8/14/2007	3,306 60	4/28/2009	2,032 69	(1,273 91)	-38 53	73 48	45 17	-	Long
83482308	228	SASOL LIMITED SPONS ADR	SSL	8/5/2004	4,033 32	4/28/2009	6,655 14	2,621 82	65	17 69	29 19	-	Long
83482308	13	HONG KONG ELEC HLDG ADR	HGKGY	7/6/2006	59 80	4/28/2009	76 70	16 90	28 26	4 60	5 90	-	Long

83482308	590	ING GROEP NV SPONS ADR	ING	8/5/2004	13,876 80	4/28/2009	4,525 64	(9,351 16)	-67 39	23 52	7 67	-	Long
83482308	18	ING GROEP NV SPONS ADR	ING	9/17/2004	455 38	4/28/2009	138 07	(317 31)	-69 68	25 29	7 67	-	Long
83482308	4	ING GROEP NV SPONS ADR	ING	7/6/2006	156 88	4/28/2009	30 68	(126 20)	-80 44	39 22	7 67	-	Long
83482308	1	ING GROEP NV SPONS ADR	ING	7/12/2006	39 27	4/28/2009	7 67	(31 60)	-80 47	39 27	7 67	-	Long
83482308	130	ING GROEP NV SPONS ADR	ING	2/29/2008	4,401 19	4/28/2009	997 19	(3,404 00)	-77 34	33 85	7 67	-	Long
83482308	12	KAO CORP SPONS ADR	KCRPY	4/3/2006	3,200 96	4/28/2009	2,235 18	(965 78)	-30 17	266 74	186 27	-	Long
83482308	10	KAO CORP SPONS ADR	KCRPY	5/11/2006	2,851 25	4/28/2009	1,862 65	(988 60)	-34 67	285 12	186 27	-	Long
83482308	422	TELECOM CORP LTD ADR N Z	NZT	8/5/2004	9,432 62	4/28/2009	3,194 49	(6,238 13)	-66 13	22 35	7 57	-	Long
83482308	5	TELECOM CORP LTD ADR N Z	NZT	7/6/2006	77 54	4/28/2009	37 84	(39 70)	-51 2	15 50	7 57	-	Long
83482308	177	TELECOM CORP LTD ADR N Z	NZT	8/9/2006	2,578 31	4/28/2009	1,339 87	(1,238 44)	-48 03	14 56	7 57	-	Long
83482308	23	TELECOM CORP LTD ADR N Z	NZT	8/10/2006	307 58	4/28/2009	174 12	(133 46)	-43 39	13 37	7 57	-	Long
83482308	130	TELEFONICA S A SPONS ADR	TEF	8/5/2004	5,283 84	4/28/2009	7,432 42	2,148 58	40 66	40 64	57 17	-	Long
83482308	49	TELEFONICA S A SPONS ADR	TEF	4/7/2005	2,447 70	4/28/2009	2,801 46	353 76	14 45	49 95	57 17	-	Long
83482308	570	NTL AUSTRALIA BK ADR NEW	NABZY	8/5/2004	10,580 34	4/28/2009	8,521 27	(2,059 07)	-19 46	18 56	14 95	-	Long
83482308	200	NTL AUSTRALIA BK ADR NEW	NABZY	10/20/2004	4,064 19	4/28/2009	2,989 92	(1,074 27)	-26 43	20 32	14 95	-	Long
83482308	25	NTL AUSTRALIA BK ADR NEW	NABZY	10/21/2004	510 70	4/28/2009	373 74	(136 96)	-26 82	20 42	14 95	-	Long
83482308	35	NTL AUSTRALIA BK ADR NEW	NABZY	10/25/2004	718 79	4/28/2009	523 23	(195 56)	-27 21	20 53	14 95	-	Long
83482308	5	NTL AUSTRALIA BK ADR NEW	NABZY	7/6/2006	134 20	4/28/2009	74 76	(59 44)	-44 29	26 84	14 95	-	Long
83482308	128	NATIONAL GRID PLC ADR	NGG	8/5/2004	6,003 11	4/28/2009	5,145 45	(857 66)	-14 29	46 89	40 20	-	Long
83482308	7	NATIONAL GRID PLC ADR	NGG	6/29/2006	378 42	4/28/2009	281 39	(97 03)	-25 64	54 06	40 20	-	Long
83482308	2	NATIONAL GRID PLC ADR	NGG	7/6/2006	108 80	4/28/2009	80 41	(28 39)	-26 09	54 40	40 20	-	Long
83482308	1	TOYOTA MTR CORP SPON ADR	TM	7/6/2006	102 04	4/28/2009	77 47	(24 57)	-24 08	102 04	77 47	-	Long
83482308	518	UPM KYMMENE CORP ADR	UPMKY	8/5/2004	9,981 86	4/28/2009	4,501 30	(5,480 56)	-54 91	19 27	8 69	-	Long
83482308	4	UPM KYMMENE CORP ADR	UPMKY	7/6/2006	85 28	4/28/2009	34 76	(50 52)	-59 24	21 32	8 69	-	Long
83482308	91	UNILEVER PLC SPONS ADR	UL	3/18/2005	1,981 39	4/28/2009	1,756 25	(225 14)	-11 36	21 77	19 30	-	Long
83482308	72	UNILEVER PLC SPONS ADR	UL	3/21/2005	1,547 20	4/28/2009	1,389 56	(157 64)	-10 19	21 48	19 30	-	Long
83482308	90	UNILEVER PLC SPONS ADR	UL	3/22/2005	1,927 45	4/28/2009	1,736 95	(190 50)	-9 88	21 41	19 30	-	Long
83482308	333	UNILEVER PLC SPONS ADR	UL	1/24/2006	7,657 89	4/28/2009	6,426 73	(1,231 16)	-16 08	22 99	19 30	-	Long
83482308	189	UNILEVER PLC SPONS ADR	UL	3/1/2006	4,448 75	4/28/2009	3,647 60	(801 15)	-18 01	23 53	19 30	-	Long
83482308	180	TAIWAN SEMICON MFG CO	TSM	12/14/2007	1,737 03	4/28/2009	1,665 10	(71 93)	-4 14	9 65	9 25	-	Long
83482308	206	TAIWAN SEMICON MFG CO	TSM	12/17/2007	1,959 16	4/28/2009	1,905 61	(53 55)	-2 73	9 51	9 25	-	Long
83482308	216	TAIWAN SEMICON MFG CO	TSM	1/7/2008	1,875 08	4/28/2009	1,998 12	123 04	6 56	8 68	9 25	-	Long
83482308	211	TAIWAN SEMICON MFG CO	TSM	1/8/2008	1,853 36	4/28/2009	1,951 86	98 50	5 31	8 78	9 25	-	Long
83482308	1	SASOL LIMITED SPONS ADR	SSL	7/6/2006	38 35	4/28/2009	29 19	(9 16)	-23 89	38 35	29 19	-	Long
83482308	442	FORTIS NL SPONS ADR NEW		8/5/2004	8,239 44	4/28/2009	972 36	(7,267 08)	-88 2	18 64	2 20	-	Long
83482308	100	FORTIS NL SPONS ADR NEW		4/8/2005	2,443 21	4/28/2009	219 99	(2,223 22)	-91	24 43	2 20	-	Long
83482308	4	FORTIS NL SPONS ADR NEW		7/6/2006	119 44	4/28/2009	8 81	(110 63)	-92 62	29 86	2 20	-	Long
83482308	1800	FOSTERS BREWING GRP SPON	FBRWY	8/5/2004	5,904 00	4/28/2009	6,497 83	593 83	10 06	3 28	3 61	-	Long
83482308	11	FOSTERS BREWING GRP SPON	FBRWY	7/6/2006	45 87	4/28/2009	39 71	(6 16)	-13 43	4 17	3 61	-	Long
83482308	391	GLAXOSMITHKLINE PLC ADR	GSK	8/5/2004	15,804 22	4/28/2009	12,050 62	(3,753 60)	-23 75	40 42	30 82	-	Long
83482308	3	GLAXOSMITHKLINE PLC ADR	GSK	7/5/2006	166 20	4/28/2009	92 46	(73 74)	-44 37	55 39	30 82	-	Long
83482308	60	GLAXOSMITHKLINE PLC ADR	GSK	10/11/2006	3,260 65	4/28/2009	1,849 20	(1,411 45)	-43 29	54 34	30 82	-	Long
83482308	50	GLAXOSMITHKLINE PLC ADR	GSK	1/16/2007	2,733 22	4/28/2009	1,541 00	(1,192 22)	-43 62	54 66	30 82	-	Long
83482308	70	GLAXOSMITHKLINE PLC ADR	GSK	12/14/2007	3,733 91	4/28/2009	2,157 40	(1,576 51)	-42 22	53 34	30 82	-	Long
83482308	1405	HONG KONG ELEC HLDG ADR	HGKGY	8/5/2004	6,252 25	4/28/2009	8,289 28	2,037 03	32 58	4 45	5 90	-	Long
83482308	20	KAO CORP SPONS ADR	KCRPY	5/23/2006	5,280 11	4/28/2009	3,725 30	(1 554 81)	-29 45	264 00	186 27	-	Long
83482308	5	KAO CORP SPONS ADR	KCRPY	5/24/2006	1,297 89	4/28/2009	931 32	(366 57)	-28 24	259 57	186 27	-	Long

83482308	15	KAO CORP SPONS ADR	KCRPY	11/15/2006	3,905 13	4/28/2009	2,793 97	(1,111 16)	-28 45	260 34	186 27	-	Long
83482308	10	KAO CORP SPONS ADR	KCRPY	7/11/2007	2,701 51	4/28/2009	1,862 67	(838 84)	-31 05	270 15	186 27	-	Long
83482308	333	LLOYDS BANKING SPONS ADR	LYG	8/5/2004	10,236 42	4/28/2009	1,894 75	(8,341 67)	-81 49	30 74	5 69	-	Long
83482308	55	LLOYDS BANKING SPONS ADR	LYG	10/20/2004	1,736 63	4/28/2009	312 94	(1,423 69)	-81 98	31 57	5 69	-	Long
83482308	95	LLOYDS BANKING SPONS ADR	LYG	10/21/2004	3,012 86	4/28/2009	540 54	(2,472 32)	-82 06	31 71	5 69	-	Long
83482308	3	LLOYDS BANKING SPONS ADR	LYG	10/25/2004	94 12	4/28/2009	17 06	(77 06)	-81 87	31 37	5 69	-	Long
83482308	33	LLOYDS BANKING SPONS ADR	LYG	11/28/2005	3,313 07	4/28/2009	187 76	(3,125 31)	-94 33	100 39	5 69	-	Long
83482308	4	LLOYDS BANKING SPONS ADR	LYG	12/22/2005	515 83	4/28/2009	22 75	(493 08)	-95 59	128 95	5 69	-	Long
83482308	37	LLOYDS BANKING SPONS ADR	LYG	1/24/2006	4,141 16	4/28/2009	210 52	(3,930 64)	-94 92	111 92	5 69	-	Long
83482308	16	LLOYDS BANKING SPONS ADR	LYG	1/26/2006	1,864 49	4/28/2009	91 03	(1,773 46)	-95 12	116 53	5 69	-	Long
83482308	28	LLOYDS BANKING SPONS ADR	LYG	6/20/2006	3,222 50	4/28/2009	159 31	(3,063 19)	-95 06	115 08	5 69	-	Long
83482308	3	LLOYDS BANKING SPONS ADR	LYG	7/6/2006	119 85	4/28/2009	17 06	(102 79)	-85 77	39 95	5 69	-	Long
83482308	20	LLOYDS BANKING SPONS ADR	LYG	9/21/2006	2,736 01	4/28/2009	113 79	(2,622 22)	-95 84	136 80	5 69	-	Long
83482308	27	LLOYDS BANKING SPONS ADR	LYG	11/15/2006	3,570 07	4/28/2009	153 62	(3,416 45)	-95 7	132 22	5 69	-	Long
83482308	26	LLOYDS BANKING SPONS ADR	LYG	7/9/2007	2,845 75	4/28/2009	148 04	(2,697 71)	-94 8	109 45	5 69	-	Long
83482308	278	AMCOR LIMITED ADR NEW	AMCRY	8/5/2004	5,312 58	4/28/2009	3,641 70	(1,670 88)	-31 45	19 11	13 10	-	Long
83482308	2	AMCOR LIMITED ADR NEW	AMCRY	7/6/2006	41 04	4/28/2009	26 20	(14 84)	-36 16	20 52	13 10	-	Long
83482308	13	BG GROUP PLC ADR NEW	BRGYY	8/5/2004	418 08	4/28/2009	1,017 22	599 14	143 31	32 16	78 25	-	Long
83482308	94	BP PLC SPONS ADR	BP	8/5/2004	5,278 10	4/28/2009	4,014 63	(1,263 47)	-23 94	56 15	42 71	-	Long
83482308	527	BANCO SANTANDER SPON ADR	STD	8/5/2004	5,032 85	4/28/2009	4,389 84	(643 01)	-12 78	9 55	8 33	-	Long
83482308	500	BANCO SANTANDER SPON ADR	STD	10/20/2004	5,512 50	4/28/2009	4,164 93	(1,347 57)	-24 45	11 02	8 33	-	Long
83482308	11	BANCO SANTANDER SPON ADR	STD	7/6/2006	162 58	4/28/2009	91 62	(70 96)	-43 65	14 78	8 33	-	Long
83482308	1	BANCO SANTANDER SPON ADR	STD	7/13/2006	14 25	4/28/2009	8 35	(5 90)	-41 4	14 24	8 33	-	Long
83482308	37	BNP PARIBAS SPONS ADR	BNPQY	6/24/2005	1,259 61	4/28/2009	902 03	(357 58)	-28 39	34 04	24 38	-	Long
83482308	8	BNP PARIBAS SPONS ADR	BNPQY	7/6/2005	273 32	4/28/2009	195 03	(78 29)	-28 64	34 16	24 38	-	Long
83482308	62	BNP PARIBAS SPONS ADR	BNPQY	12/13/2005	2,514 01	4/28/2009	1,511 52	(1,002 49)	-39 88	40 54	24 38	-	Long
83482308	94	BNP PARIBAS SPONS ADR	BNPQY	1/30/2006	4,138 07	4/28/2009	2,291 65	(1,846 42)	-44 62	44 02	24 38	-	Long
83482308	2	BNP PARIBAS SPONS ADR	BNPQY	7/6/2006	98 40	4/28/2009	48 78	(49 62)	-50 43	49 20	24 38	-	Long
83482308	818	SOCIETE GENL FRANCE ADR	SCGLY	8/5/2004	13,497 00	4/28/2009	7,721 71	(5,775 29)	-42 79	16 50	9 44	-	Long
83482308	7	SOCIETE GENL FRANCE ADR	SCGLY	7/6/2006	207 55	4/28/2009	66 07	(141 48)	-68 17	29 65	9 44	-	Long
83482308	1	SOCIETE GENL FRANCE ADR	SCGLY	7/12/2006	29 65	4/28/2009	9 43	(20 22)	-68 2	29 65	9 44	-	Long
83482308	113	STORA ENSO CORP ADR	SEOAY	5/18/2005	1,503 18	4/28/2009	638 43	(864 75)	-57 53	13 30	5 65	-	Long
83482308	85	STORA ENSO CORP ADR	SEOAY	6/8/2005	1,151 75	4/28/2009	480 23	(671 52)	-58 3	13 55	5 65	-	Long
83482308	135	STORA ENSO CORP ADR	SEOAY	6/9/2005	1,791 21	4/28/2009	762 73	(1,028 48)	-57 42	13 26	5 65	-	Long
83482308	16	STORA ENSO CORP ADR	SEOAY	8/12/2005	222 08	4/28/2009	90 39	(131 69)	-59 3	13 88	5 65	-	Long
83482308	190	STORA ENSO CORP ADR	SEOAY	8/30/2005	2,542 12	4/28/2009	1,073 47	(1,468 65)	-57 77	13 37	5 65	-	Long
83482308	4	STORA ENSO CORP ADR	SEOAY	7/6/2006	54 69	4/28/2009	22 62	(32 07)	-58 64	13 67	5 65	-	Long
83482308	23	TELSTRA LTD SPONS ADR	TLSYY	4/6/2005	446 81	4/28/2009	262 19	(184 62)	-41 32	19 42	11 40	-	Long
83482308	160	TELSTRA LTD SPONS ADR	TLSYY	4/7/2005	3,102 99	4/28/2009	1,823 95	(1,279 04)	-41 22	19 39	11 40	-	Long
83482308	70	TELSTRA LTD SPONS ADR	TLSYY	9/6/2005	1,180 80	4/28/2009	797 97	(382 83)	-32 42	16 86	11 40	-	Long
83482308	230	TELSTRA LTD SPONS ADR	TLSYY	10/25/2005	3,639 87	4/28/2009	2,621 93	(1,017 94)	-27 97	15 82	11 40	-	Long
83482308	90	TELSTRA LTD SPONS ADR	TLSYY	2/8/2006	1,341 63	4/28/2009	1,025 97	(315 66)	-23 53	14 90	11 40	-	Long
83482308	21	TELSTRA LTD SPONS ADR	TLSYY	2/9/2006	319 81	4/28/2009	239 39	(80 42)	-25 15	15 22	11 40	-	Long
83482308	6	TELSTRA LTD SPONS ADR	TLSYY	7/6/2006	84 48	4/28/2009	68 42	(16 06)	-19 01	14 08	11 40	-	Long
83482308	61	NIPPON TEL&TEL SPONS ADR	NTT	11/30/2004	1,365 36	4/28/2009	1,166 33	(199 03)	-14 58	22 38	19 12	-	Long
83482308	378	NIPPON TEL&TEL SPONS ADR	NTT	12/1/2004	8,390 84	4/28/2009	7,227 47	(1,163 37)	-13 86	22 19	19 12	-	Long
83482308	180	NIPPON TEL&TEL SPONS ADR	NTT	4/7/2005	4,013 14	4/28/2009	3,441 65	(571 49)	-14 24	22 29	19 12	-	Long

83482308	105	NIPPON TEL&TEL SPONS ADR	NTT	3/8/2006	2,243 61	4/28/2009	2,007 63	(235 98)	-10 52	21 36	19 12	-	Long
83482308	5	NIPPON TEL&TEL SPONS ADR	NTT	7/6/2006	119 80	4/28/2009	95 62	(24 18)	-20 18	23 96	19 12	-	Long
83482308	179	TOKIO MARINE HLDGS ADR	TKOMY	8/5/2004	5,223 08	4/28/2009	4,861 51	(361 57)	-6 92	29 17	27 16	-	Long
83482308	285	TOTAL S A SPONS ADR	TOT	8/5/2004	13,571 65	4/28/2009	14,367 32	795 67	5 86	47 61	50 41	-	Long
83482308	60	TOTAL S A SPONS ADR	TOT	3/2/2006	3,741 78	4/28/2009	3,024 70	(717 08)	-19 16	62 36	50 41	-	Long
83482308	3	TOTAL S A SPONS ADR	TOT	7/6/2006	196 50	4/28/2009	151 23	(45 27)	-23 04	65 50	50 41	-	Long
83482308	80	TOTAL S A SPONS ADR	TOT	1/17/2007	5,308 06	4/28/2009	4,032 95	(1,275 11)	-24 02	66 35	50 41	-	Long
83482308	114	TOYOTA MTR CORP SPON ADR	TM	8/5/2004	8,773 44	4/28/2009	8,831 12	57 68	0 66	76 96	77 47	-	Long
83482308	50	TOYOTA MTR CORP SPON ADR	TM	10/20/2004	3,778 28	4/28/2009	3,873 30	95 02	2 51	75 56	77 47	-	Long
83482308	15	TOYOTA MTR CORP SPON ADR	TM	10/21/2004	1 130 80	4/28/2009	1,161 99	31 19	2 76	75 38	77 47	-	Long
83482308	25	TOYOTA MTR CORP SPON ADR	TM	4/7/2005	1,917 50	4/28/2009	1,936 65	19 15	1	76 70	77 47	-	Long
83482308	171	UNILEVER PLC SPONS ADR	UL	5/10/2006	4,011 35	4/28/2009	3,300 21	(711 14)	-17 73	23 45	19 30	-	Long
83482308	7	UNILEVER PLC SPONS ADR	UL	7/6/2006	158 76	4/28/2009	135 09	(23 67)	-14 91	22 68	19 30	-	Long
83482308	1	UNILEVER PLC SPONS ADR	UL	7/14/2006	21 99	4/28/2009	19 33	(2 66)	-12 1	21 98	19 30	-	Long
83482308	140	UTD OVERSEAS BK SPNS ADR	UOVEY	10/4/2006	2,972 06	4/28/2009	2,031 34	(940 72)	-31 65	21 22	14 51	-	Long
83482308	5	UTD OVERSEAS BK SPNS ADR	UOVEY	10/9/2006	105 73	4/28/2009	72 54	(33 19)	-31 39	21 14	14 51	-	Long
83482308	193	TOKIO MARINE HLDGS ADR	TKOMY	8/5/2004	5,631 57	8/10/2009	5,606 50	(25 07)	-0 45	29 17	29 05	-	Long
83482308	3	TOKIO MARINE HLDGS ADR	TKOMY	7/6/2006	90 80	8/10/2009	87 14	(3 66)	-4 03	30 26	29 05	-	Long
83482308	95	TOKIO MARINE HLDGS ADR	TKOMY	1/29/2007	3,377 02	8/10/2009	2,759 67	(617 35)	-18 28	35 54	29 05	-	Long
83482308	65	TOKIO MARINE HLDGS ADR	TKOMY	3/9/2007	2,327 85	8/10/2009	1,888 20	(439 65)	-18 89	35 81	29 05	-	Long
83482308	30	TOKIO MARINE HLDGS ADR	TKOMY	3/13/2007	1,067 84	8/10/2009	871 50	(196 34)	-18 39	35 59	29 05	-	Long
								(124,269 94)					
83482308	41	ROYAL BK SCOTLND GRP PLC	RBS	6/11/2008	3,555 55	4/28/2009	393 22	(3,162 33)	-88 94	86 72	9 59	-	Short
83482308	35	ROYAL BK SCOTLND GRP PLC	RBS	6/19/2008	3,150 50	4/28/2009	335 67	(2,814 83)	-89 35	90 01	9 59	-	Short
83482308	23	ROYAL BK SCOTLND GRP PLC	RBS	9/15/2008	1,812 48	4/28/2009	220 58	(1,591 90)	-87 83	78 80	9 59	-	Short
83482308	19	ROYAL BK SCOTLND GRP PLC	RBS	9/16/2008	1,207 71	4/28/2009	182 24	(1,025 47)	-84 91	63 56	9 59	-	Short
83482308	70	IBERDROLA SA REPSTG 1	IBDRY	9/15/2008	2,883 89	4/28/2009	2,083 14	(800 75)	-27 77	41 19	29 76	-	Short
83482308	45	IBERDROLA SA REPSTG 1	IBDRY	9/25/2008	1,947 13	4/28/2009	1,339 16	(607 97)	-31 22	43 26	29 76	-	Short
83482308	30	IBERDROLA SA REPSTG 1	IBDRY	9/26/2008	1,312 61	4/28/2009	892 77	(419 84)	-31 99	43 75	29 76	-	Short
83482308	25	IBERDROLA SA REPSTG 1	IBDRY	10/17/2008	752 65	4/28/2009	743 98	(8 67)	-1 15	30 10	29 76	-	Short
83482308	65	IBERDROLA SA REPSTG 1	IBDRY	10/22/2008	1,855 00	4/28/2009	1,934 37	79 37	4 28	28 53	29 76	-	Short
83482308	75	TAKEDA PHARM COMPANY LTD		10/8/2008	1,887 02	4/28/2009	1,334 99	(552 03)	-29 25	25 16	17 80	-	Short
83482308	90	TAIWAN SEMICON MFG CO	TSM	5/5/2008	1,003 50	4/28/2009	832 55	(170 95)	-17 04	11 15	9 25	-	Short
83482308	105	TAIWAN SEMICON MFG CO	TSM	5/6/2008	1,170 57	4/28/2009	971 30	(199 27)	-17 02	11 14	9 25	-	Short
83482308	137	TAIWAN SEMICON MFG CO	TSM	5/7/2008	1,518 01	4/28/2009	1,267 35	(250 66)	-16 51	11 08	9 25	-	Short
83482308	130	SINGAPORE TELECM ADR NEW	SGAPY	6/10/2008	3,460 70	4/28/2009	2,131 94	(1,328 76)	-38 4	26 62	16 40	-	Short
83482308	20	SINGAPORE TELECM ADR NEW	SGAPY	7/22/2008	519 91	4/28/2009	327 99	(191 92)	-36 91	25 99	16 40	-	Short
83482308	110	SINGAPORE TELECM ADR NEW	SGAPY	7/29/2008	2,838 18	4/28/2009	1,803 95	(1,034 23)	-36 44	25 80	16 40	-	Short
83482308	135	SINGAPORE TELECM ADR NEW	SGAPY	8/18/2008	3,321 77	4/28/2009	2,213 94	(1,107 83)	-33 35	24 60	16 40	-	Short
83482308	55	SINGAPORE TELECM ADR NEW	SGAPY	11/13/2008	867 05	4/28/2009	901 97	34 92	4 03	15 76	16 40	-	Short
83482308	55	SINGAPORE TELECM ADR NEW	SGAPY	11/14/2008	884 88	4/28/2009	901 97	17 09	1 93	16 08	16 40	-	Short
83482308	35	SINGAPORE TELECM ADR NEW	SGAPY	11/17/2008	558 36	4/28/2009	573 98	15 62	2 8	15 95	16 40	-	Short
83482308	10	SINGAPORE TELECM ADR NEW	SGAPY	11/18/2008	159 86	4/28/2009	164 02	4 16	2 6	15 98	16 40	-	Short
83482308	60	SOCIETE GENL FRANCE ADR	SCGLY	5/6/2008	1,418 89	4/28/2009	566 38	(852 51)	-60 08	23 64	9 44	-	Short
83482308	135	SOCIETE GENL FRANCE ADR	SCGLY	5/7/2008	3,166 03	4/28/2009	1,274 40	(1,891 63)	-59 75	23 45	9 44	-	Short
83482308	105	TAKEDA PHARM COMPANY LTD		6/5/2008	2,994 34	4/28/2009	1,868 95	(1,125 39)	-37 58	28 51	17 80	-	Short
83482308	20	TAKEDA PHARM COMPANY LTD		6/6/2008	564 56	4/28/2009	355 99	(208 57)	-36 94	28 22	17 80	-	Short

83482308	105	TAKEDA PHARM COMPANY LTD		6/12/2008	2,807 77	4/28/2009	1,868 95	(938 82)	-33 44	26 74	17 80	-	Short
83482308	20	TAKEDA PHARM COMPANY LTD		6/13/2008	527 88	4/28/2009	355 99	(171 89)	-32 56	26 39	17 80	-	Short
83482308	55	TAKEDA PHARM COMPANY LTD		7/1/2008	1,415 46	4/28/2009	978 97	(436 49)	-30 84	25 73	17 80	-	Short
83482308	35	TAKEDA PHARM COMPANY LTD		7/2/2008	908 70	4/28/2009	622 98	(285 72)	-31 44	25 96	17 80	-	Short
83482308	45	TAKEDA PHARM COMPANY LTD		7/3/2008	1,154 70	4/28/2009	800 97	(353 73)	-30 63	25 66	17 80	-	Short
83482308	125	TAKEDA PHARM COMPANY LTD		9/16/2008	3,134 96	4/28/2009	2,224 94	(910 02)	-29 03	25 07	17 80	-	Short
83482308	15	TAKEDA PHARM COMPANY LTD		10/7/2008	386 91	4/28/2009	266 99	(119 92)	-30 99	25 79	17 80	-	Short
83482308	165	UTD OVERSEAS BK SPNS ADR	UOVEY	11/13/2008	2,648 79	4/28/2009	2,394 10	(254 69)	-9 62	16 05	14 51	-	Short
83482308	355	BARRICK GOLD CORP	ABX	4/28/2009	10,347 90	6/18/2009	11,892 47	1,544 57	14 93	29 15	33 50	-	Short
83482308	744	NTT DOCOMO INC SPONS ADR	DCM	4/28/2009	10,468 01	6/18/2009	11,077 89	609 88	5 83	14 07	14 89	-	Short
83482308	787	NOKIA CORP SPONS ADR	NOK	4/28/2009	10,505 82	8/10/2009	10,333 35	(172 47)	-1 64	13 35	13 13	-	Short
83482308	681	TESCO PLC SPONSORED ADR	TSCDY	4/28/2009	10,432 92	9/25/2009	12,564 12	2,131 20	20 43	15 32	18 45	-	Short
								(18,552 45)					

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UBS

Prepared f.. Delta Largecap
VN 27530 • Delta Largecap • Basic Retail
Risk profile Moderate
Return objective. Capital Appreciation

Realized gain/(loss)

from 10/01/2008 to 09/30/2009

Quantity	Description	Purchase Date	Purchase Amount	Sale Date	Sale Amount	Gain or Loss: Realized Gain/(Loss)	Gain or Loss: Percent Gain/(Loss)	Term
170 00	BAKER HUGHES INC	06/22/2006	12,902 66	10/15/2008	6,070 32	-6,832 34	-52 95%	L
600.00	CITIGROUP INC	06/24/2003	26,083.26	12/29/2008	3,955.47	-22,127 79	-84 84%	L
300 00	CITIGROUP INC	04/18/2008	7,668 00	12/29/2008	1,977 74	-5,690 26	-74 21%	S
2,500.00	FLEXTRONICS INTL LTD	05/24/2007	28,266 36	10/15/2008	11,799 93	-16,466 43	-58 25%	L
550 00	INTL PAPER CO	09/07/2001	22,287.55	12/29/2008	6,222 22	-16,065 33	-72 08%	L
200.00	MERRILL LYNCH & CO **MERGER EFF 01/2009**	10/29/2001	9,188 00	12/29/2008	2,220.35	-6,967.65	-75 83%	L
200 00	MERRILL LYNCH & CO **MERGER EFF 01/2009**	11/15/2004	11,450.00	12/29/2008	2,220.35	-9,229 65	-80 61%	L
500.00	MORGAN STANLEY	09/07/2001	20,778 85	12/29/2008	7,169 95	-13,608 90	-65 49%	L
350 00	NEWFIELD EXPLORATION COS	06/22/2006	14,672.00	01/29/2009	6,731.41	-7,940 59	-54 12%	L
570 00	NEWFIELD EXPLORATION COS	03/18/2008	30,922.44	01/29/2009	10,962 58	-19,959 86	-64 55%	S
TOTAL GAINS:						**0.00**		
TOTAL LOSS:						**-124,888.80**		
SHORT TERM - TOTAL REALIZED GAIN/(LOSS):						**-25,650.12**		**S**
LONG TERM - TOTAL REALIZED GAIN/(LOSS):						**-99,238.68**		**L**
TOTAL REALIZED GAIN/(LOSS):			**184,219.12**		**59,330.32**	**-124,888.80**	**-67.79%**	

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Prepared for Cash
VN 32028 • Cash • BSA

Risk profile: Moderate
Return objective: Capital Appreciation

Realized gain/(loss)

from 10/01/2008 to 09/30/2009

Quantity	Description	Purchase Date	Purchase Amount	Sale Date	Sale Amount	Gain or Loss: Realized Gain/(Loss)	Gain or Loss: Percent Gain/(Loss)	Term
TOTAL GAINS:							0.00	
TOTAL LOSS:							0.00	
SHORT TERM - TOTAL REALIZED GAIN/(LOSS):						0.00		S
LONG TERM - TOTAL REALIZED GAIN/(LOSS):						0.00		L
TOTAL REALIZED GAIN/(LOSS):			0.00		0.00	0.00	N/A	

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UBS

Prepared for Davis Largecap
VN 32083 • Davis Largecap • Basic Retail
Risk profile. Moderate
Return objective Capital Appreciation

Realized gain/(loss)

from 10/01/2008 to 09/30/2009

Quantity	Description	Purchase Date	Purchase Amount	Sale Date	Sale Amount	Gain or Loss: Realized Gain/(Loss)	Gain or Loss: Percent Gain/(Loss)	Term
570.00	ALTRIA GROUP INC	06/15/2000	3,512.66	12/08/2008	8,511.08	4,998 42	142 30%	L
75 00	ALTRIA GROUP INC	06/15/2000	462 19	12/19/2008	1,164 20	702.01	151 89%	L
340.00	ALTRIA GROUP INC	07/06/2006	6,108.15	12/19/2008	5,277 72	-830 43	-13 60%	L
400 00	AMER INTL GROUP INC **REVERSE SPLIT EFF 06/09**	06/06/2002	25,860.00	12/23/2008	626 72	-25,233 28	-97 58%	L
235 00	AMER INTL GROUP INC **REVERSE SPLIT EFF 06/09**	08/05/2004	16,572.60	12/23/2008	368 20	-16,204 40	-97 78%	L
20.00	AMER INTL GROUP INC **REVERSE SPLIT EFF 06/09**	03/23/2005	1,127 01	12/23/2008	31 34	-1,095 67	-97 22%	L
465 00	AMER INTL GROUP INC **REVERSE SPLIT EFF 06/09**	07/06/2006	27,607 05	12/23/2008	728 56	-26,878 49	-97 36%	L
215 00	AMER INTL GROUP INC **REVERSE SPLIT EFF 06/09**	02/14/2008	9,860 22	12/23/2008	336.86	-9,523 36	-96 58%	S
290.00	AMER INTL GROUP INC **REVERSE SPLIT EFF 06/09**	05/20/2008	11,033 08	12/23/2008	454 37	-10,578 71	-95 88%	S
126.00	AMERIPRISE FINANCIAL INC	08/05/2004	3,921 52	01/02/2009	2,980.23	-941.29	-24 00%	L
230 00	AON CORP	08/05/2004	5,801 06	11/04/2008	9,846 84	4,045 78	69 74%	L
120 00	AON CORP	07/06/2006	4,155 60	11/04/2008	5,137.48	981 88	23 63%	L
42.00	BED BATH & BEYOND INC	02/04/2008	1,307 64	01/02/2009	1,097 96	-209 68	-16.03%	S
250 00	CITIGROUP INC	06/24/2003	10,868 03	03/11/2009	413 07	-10,454 96	-96 20%	L
35 00	CITIGROUP INC	08/05/2004	1,550 50	03/11/2009	57 83	-1,492 67	-96 27%	L
210 00	CITIGROUP INC	07/06/2006	10,359.30	03/11/2009	346 98	-10,012 32	-96 65%	L
303 00	COMCAST CORP NEW SPECIAL CL A	08/05/2004	5,575 70	01/02/2009	5,100 01	-475 69	-8 53%	L
40 00	CONOCOPHILLIPS	08/05/2004	1,523.00	01/02/2009	2,163 58	640 58	42 06%	L
92 00	COSTCO WHOLESALE CORP	08/05/2004	3,808.72	01/02/2009	4,901.01	1,092 29	28 68%	L
191.00	COVIDIEN LTD **NAME CHANGE 2009**	07/06/2006	6,702 53	11/14/2008	7,402 86	700 33	10 45%	L
65.00	CVS CAREMARK CORP	11/28/2005	1,949.98	01/02/2009	1,882 59	-67.39	-3 46%	L
285 00	DELL INC	12/07/2005	9,026 32	10/17/2008	3,736 02	-5,290.30	-58.61%	L
190 00	DELL INC	07/06/2006	4,575 20	10/17/2008	2,490 68	-2,084 52	-45 56%	L
190 00	DELL INC	11/21/2007	4,925.96	10/17/2008	2,490 68	-2,435 28	-49 44%	S
24 00	EXPRESS SCRIPTS INC	02/25/2008	1,577.02	01/02/2009	1,328.50	-248 52	-15 76%	S
355.00	H & R BLOCK INC	08/05/2004	8,731.23	03/31/2009	6,496 57	-2,234.66	-25 59%	L
30 00	HEWLETT PACKARD CO	01/15/2008	1,355.82	01/02/2009	1,092 36	-263 46	-19 43%	S
48.00	IRON MTN INC NEW	11/19/2004	986 59	01/02/2009	1,163 61	177 02	17 94%	L

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Prepared for Davis Largecap
VN 32083 • Davis Largecap • Basic Retail
Risk profile. Moderate
Return objective. Capital Appreciation

Realized gain/(loss) - from 10/01/2008 to 09/30/2009 (continued)

Quantity	Description	Purchase Date	Purchase Amount	Sale Date	Sale Amount	Gain or Loss: Realized Gain/(Loss)	Gain or Loss: Percent Gain/(Loss)	Term
775.00	JPMORGAN CHASE & CO	08/05/2004	28,636 25	01/26/2009	19,304 05	-9,332 20	-32 59%	L
90 00	JPMORGAN CHASE & CO	02/03/2005	3,370.32	01/26/2009	2,241 76	-1,128 56	-33.49%	L
5 00	JPMORGAN CHASE & CO	07/06/2006	211 95	01/26/2009	124.54	-87 41	-41 24%	L
310.00	MERRILL LYNCH & CO **MERGER EFF 01/2009**	01/04/2008	15,404 61	10/14/2008	6,477 26	-8,927 35	-57 95%	S
385.00	MERRILL LYNCH & CO **MERGER EFF 01/2009**	04/22/2008	17,813 49	10/14/2008	8,044.34	-9,769 15	-54 84%	S
255 00	MERRILL LYNCH & CO **MERGER EFF 01/2009**	06/17/2008	9,710 27	10/14/2008	5,328.07	-4,382 20	-45.13%	S
100.00	MERRILL LYNCH & CO **MERGER EFF 01/2009**	06/17/2008	3,807.95	10/30/2008	1,800 42	-2,007 53	-52 72%	S
625 00	MERRILL LYNCH & CO **MERGER EFF 01/2009**	08/07/2008	16,495 19	10/30/2008	11,252 62	-5,242 57	-31.78%	S
645.00	PHILIP MORRIS INTL INC	06/15/2000	9,057 45	02/27/2009	21,927.23	12,869 78	142 09%	L
25 00	PHILIP MORRIS INTL INC	07/06/2006	1,023 43	02/27/2009	849.89	-173 54	-16.96%	L
121 00	SCHERING PLOUGH CORP **MERGER EFF: 11/09**	11/07/2008	1,799 92	01/02/2009	2,092.31	292 39	16 24%	S
360.00	SPRINT NEXTEL CORP SERIES 1 COMMON STOCK	03/30/2006	8,419.64	10/20/2008	1,258 48	-7,161.16	-85 05%	L
730 00	SPRINT NEXTEL CORP SERIES 1 COMMON STOCK	07/06/2006	14,461 30	10/20/2008	2,551.91	-11,909 39	-82.35%	L
246.00	TYCO ELECTRONICS LTD	07/06/2006	7,947.41	03/24/2009	2,634 25	-5,313.16	-66.85%	L
73 00	TYCO ELECTRONICS LTD	01/02/2009	1,232 74	03/24/2009	781.71	-451 03	-36.59%	S
24 00	WACHOVIA CORP ** MERGER EFF 01/2009**	08/05/2004	1,233.26	10/02/2008	92 42	-1,140 84	-92.51%	L
305 00	WACHOVIA CORP ** MERGER EFF 01/2009**	07/06/2006	17,017 48	10/02/2008	1,174 48	-15,843 00	-93 10%	L
TOTAL GAINS:						26,500.48		
TOTAL LOSS:						-209,424.17		
SHORT TERM - TOTAL REALIZED GAIN/(LOSS):						-53,746.45		S
LONG TERM - TOTAL REALIZED GAIN/(LOSS):						-129,177.24		L
TOTAL REALIZED GAIN/(LOSS):			348,487.34		165,563.65	-182,923.69	-52.49%	

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Custom Time Periods If represented on this report, the performance start date and the performance end date have been selected by your Financial Advisor in order to provide performance and account activity information for your account for the specified period of time only As a result only a portion of your account's activity and performance information is presented in the performance report, and, therefore, presents a distorted representation of your account's activity and performance

Investors outside the U S are subject to securities and tax regulations within their applicable jurisdiction that are not addressed in this report Nothing in this report shall be construed to be a solicitation to buy or offer to sell any security, product or service to any non-U S investor, nor shall any such security, product or service be solicited, offered or sold in any jurisdiction where such activity would be contrary to the securities laws or other local laws and regulations or would subject UBS to any registration requirement within such jurisdiction

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Prepared for Delta Smallcap
VN 32084 • Delta Smallcap • Basic Retail
Risk profile: Moderate
Return objective Capital Appreciation

Realized gain/(loss)

from 10/01/2008 to 09/30/2009

Quantity	Description	Purchase Date	Purchase Amount	Sale Date	Sale Amount	Gain or Loss Realized Gain/(Loss)	Gain or Loss Percent Gain/(Loss)	Term
750.00	CHEESECAKE FACTORY INC	10/31/2007	16,746.50	10/07/2008	8,997.32	-7,749 18	-46.27%	S
350.00	DRS TECHNOLOGIES INC **MERGERS**	04/24/2007	18,059 00	10/22/2008	28,350.00	10,291.00	56.99%	L
300 00	ETHAN ALLEN INTERIORS INC	07/24/2006	10,525.20	10/29/2008	5,767 01	-4,758 19	-45 21%	L
200 00	ETHAN ALLEN INTERIORS INC	04/24/2007	7,257 99	10/29/2008	3,844.68	-3,413 31	-47.03%	L
0 96	FIRST HORIZON NATL CORP	07/24/2006	35 58	04/01/2009	10 68	-24.90	-69 98%	L
0 38	FIRST HORIZON NATL CORP	07/24/2006	14 60	01/01/2009	4 19	-10 41	-71 30%	L
0.92	FIRST HORIZON NATL CORP	07/24/2006	35.90	10/01/2008	9 15	-26 75	-74 51%	L
350 00	IMATION CORP	07/24/2006	13,965 00	12/23/2008	4,309 17	-9,655.83	-69 14%	L
1,000 00	LSI INDS INC	10/30/2007	18,688 00	02/13/2009	5,250.07	-13,437 93	-71 91%	L
1,200 00	MENTOR GRAPHICS CORP	10/11/2007	18,929.00	12/23/2008	5,922 44	-13,006 56	-68 71%	L
1,200 00	MITCHAM INDUSTRIES INC	02/02/2007	15,933 60	12/23/2008	4,297 77	-11,635 83	-73 03%	L
350 00	POLARIS INDUSTRIES INC (MINN)	07/24/2006	13,911.10	10/07/2008	12,778.42	-1,132 68	-8.14%	L
1,000.00	RPC INC	06/04/2007	17,294.00	02/13/2009	6,610 96	-10,683 04	-61 77%	L
TOTAL GAINS:							10,291.00	
TOTAL LOSS:							-75,534.61	
SHORT TERM - TOTAL REALIZED GAIN/(LOSS):						-7,749.18		S
LONG TERM - TOTAL REALIZED GAIN/(LOSS):						-57,494.43		L
TOTAL REALIZED GAIN/(LOSS):			151,395.47		86,151.86	-65,243.61	-43.09%	

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UBS Financial Services Inc offers a number of investment advisory programs to clients, acting in our capacity as an investment adviser, including comprehensive financial planning, discretionary account management, non-discretionary investment advisory programs, and advice on the selection of investment managers and mutual funds offered through our investment advisory programs. When we act as your investment adviser, we will have a written agreement with you expressly acknowledging our investment advisory relationship with you and describing our obligations to you At the beginning of our advisory relationship, we will give you our Form ADV brochure(s) for the program(s) you selected that provide detailed information about, among other things, the advisory services we provide, our fees, our personnel, our other business activities and financial industry affiliations and conflicts between our interests and your interests

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Gain/Loss reporting for Puerto Rico Income Tax Purposes Gain/(Loss) reporting may be prepared solely for Puerto Rico income tax purposes only If you have received gain/(loss) reporting for Puerto Rico income tax purposes only and are NOT subject to Puerto Rico income taxes, you have received this reporting in error and you should contact your Financial Advisor immediately Pursuant to the Puerto Rico Internal Revenue Code (PRIRC) long-term capital gains are derived from the sale or exchange of capital assets held longer than six (6) months For the purposes of this report only, long term gains and losses are represented by assets held for a period of more than six (6) months Both the Firm and your Financial Advisor will rely solely upon your representations and will not make the determination of whether you are subject to Puerto Rico income taxes If you have received this reporting and you are NOT subject to Puerto Rico income taxes, the information provided in this reporting is inaccurate and should not be relied upon by you or your advisers for purposes other than determining realized gain/loss for Puerto Rico income tax purposes Neither UBS Financial Services Inc nor its employees provide tax or legal advice You should consult with your tax and/or legal advisors regarding your personal circumstances

Gain/Loss 60/40 - Index options listed in this report may be subject to IRS Tax Code - section 1256 categorizing them as broad-based index options If so the index may be eligible to be treated as 60% long term and 40% short terms for tax purposes Please contact your tax professional to determine eligibility

Pricing All securities are priced using the closing price reported on the last business day preceding the date of this report Every reasonable attempt has been made to accurately price securities, however, we make no warranty with respect to any security's price Please refer to the back of the first page of your UBS Financial Services Inc accounts statement for important information regarding the pricing used for certain types of securities, the sources of pricing data and other qualifications concerning the pricing of securities To determine the value of securities in your account, we generally rely on third party quotation services If a price is unavailable or believed to be unreliable, we may determine the price in good faith and may use other sources such as the last recorded transaction When securities are held at another custodian or if you hold illiquid or restricted securities for which there is no published price, we will generally rely on the value provided by the custodian or issuer of that security.

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Prepared for Nuebgr Allcap
VN 32085 • Nuebgr Allcap • Basic Retail
Risk profile. Moderate
Return objective: Capital Appreciation

Realized gain/(loss)

from 10/01/2008 to 09/30/2009

						Gain or Loss		
Quantity	Description	Purchase Date	Purchase Amount	Sale Date	Sale Amount	Realized Gain/(Loss)	Percent Gain/(Loss)	Term
130 00	ABBOTT LABS	10/07/2008	7,338 08	03/02/2009	6,130 23	-1,207 85	-16 46%	S
69 00	AIRGAS INC	10/08/2007	3,625 53	10/13/2008	2,600 97	-1,024 56	-28 26%	L
300 00	AIRGAS INC	12/06/2007	15,708 48	10/13/2008	11,308 55	-4,399 93	-28 01%	S
18 00	ALCON INC	12/10/2008	1,468 59	03/03/2009	1,407 16	-61 43	-4 18%	S
135 00	AMER TOWER CORP CL A	09/20/2005	3,333.24	03/05/2009	3,724 01	390 77	11 72%	L
185 00	AMER TOWER CORP CL A	06/13/2006	5,171 88	03/05/2009	5,103 27	-68 61	-1 33%	L
170 00	AMER TOWER CORP CL A	07/05/2006	5,438.84	03/05/2009	4,689 49	-749 35	-13 78%	L
102 00	AMER TOWER CORP CL A	07/06/2006	3,305.21	03/05/2009	2,813 69	-491 52	-14 87%	L
48 00	AON CORP	12/09/2008	2,097 09	03/03/2009	1,785.73	-311 36	-14 85%	S
112 00	BLACKROCK INC	03/03/2008	21,295 81	03/03/2009	10,421 88	-10,873 93	-51 06%	S
18 00	BLACKROCK INC	03/20/2008	3,565.41	03/03/2009	1,674 94	-1,890 47	-53 02%	S
135 00	BROOKFIELD ASSET MGMT INC LTD VTG SHS CL A	08/12/2005	2,283.06	11/21/2008	1,643 60	-639 46	-28 01%	L
393 00	BROOKFIELD ASSET MGMT INC LTD VTG SHS CL A	10/24/2005	7,372.28	11/21/2008	4,784 71	-2,587 57	-35 10%	L
97.00	BROOKFIELD ASSET MGMT INC LTD VTG SHS CL A	07/06/2006	2,666 66	11/21/2008	1,180.96	-1,485.70	-55.71%	L
170 00	BROOKFIELD ASSET MGMT INC LTD VTG SHS CL A	08/20/2007	5,830.51	11/21/2008	2,069.72	-3,760 79	-64 50%	L
112 00	C H ROBINSON WORLDWIDE INC NEW	09/20/2007	5,968.55	11/19/2008	5,443.15	-525.40	-8.80%	L
56.00	C H ROBINSON WORLDWIDE INC NEW	11/08/2007	2,632 10	11/19/2008	2,721 57	89 47	3 40%	L
560.00	CISCO SYSTEMS INC	10/27/2008	9,501.02	11/20/2008	8,726 60	-774.42	-8.15%	S
222 00	COVANCE INC	02/20/2007	13,929.61	01/28/2009	8,132 48	-5,797 13	-41.62%	L
28 00	COVANCE INC	06/30/2008	2,423 41	01/28/2009	1,025.72	-1,397.69	-57.67%	S
272 00	COVANCE INC	11/03/2008	14,658 08	01/28/2009	9,964 12	-4,693 96	-32 02%	S
495 00	CROWN CASTLE INTL CORP	11/14/2007	19,777 82	11/24/2008	5,378.74	-14,399 08	-72 80%	L
380 00	CROWN CASTLE INTL CORP	12/06/2007	15,419 26	11/24/2008	4,129.13	-11,290.13	-73 22%	S
310.00	DENBURY RESOURCES INC NEW	06/21/2005	3,012 14	02/27/2009	4,132 06	1,119 92	37 18%	L
760 00	DENBURY RESOURCES INC NEW	08/20/2007	14,894 37	02/27/2009	10,130 21	-4,764 16	-31 99%	L
91 00	ECOLAB INC	12/15/2006	4,089 19	10/06/2008	3,783 98	-305 21	-7.46%	L
21.00	ECOLAB INC	03/02/2007	871.50	10/06/2008	873 23	1 73	0.20%	L
94 00	ECOLAB INC	03/02/2007	3,900 98	03/04/2009	2,914 93	-986 05	-25.28%	L
106 00	ECOLAB INC	03/05/2007	4,373 42	03/04/2009	3,287 05	-1,086 37	-24 84%	L
80 00	ENBRIDGE INC	02/15/2006	2,465 63	03/04/2009	2,291 39	-174.24	-7.07%	L
82.00	ENBRIDGE INC	02/16/2006	2,512 02	03/04/2009	2,348.68	-163 34	-6.50%	L
36 00	EXPEDITORS INTL WASH INC	11/08/2004	964 95	10/06/2008	1,126.14	161 19	16 70%	L

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Prepared for Nuebgr Allcap
VN 32085 • Nuebgr Allcap • Basic Retail
Risk profile. Moderate
Return objective Capital Appreciation

Realized gain/(loss) - from 10/01/2008 to 09/30/2009 (continued)

Quantity	Description	Purchase Date	Purchase Amount	Sale Date	Sale Amount	Gain or Loss Realized Gain/(Loss)	Gain or Loss Percent Gain/(Loss)	Term
148 00	EXPEDITORS INTL WASH INC	08/05/2005	3,982 07	10/06/2008	4,629 69	647 62	16 26%	L
32.00	EXPEDITORS INTL WASH INC	08/05/2005	860 99	11/20/2008	860.64	-0.35	-0 04%	L
110.00	EXPEDITORS INTL WASH INC	08/11/2006	4,308 57	11/20/2008	2,958.45	-1,350.12	-31 34%	L
90 00	EXPEDITORS INTL WASH INC	08/14/2006	3,578 02	11/20/2008	2,420.55	-1,157 47	-32 35%	L
42.00	EXPEDITORS INTL WASH INC	12/14/2006	1,832 60	11/20/2008	1,129 59	-703 01	-38.36%	L
37 00	FREEPORT-MCMORAN COPPER & GOLD INC	03/27/2007	2,392.75	10/22/2008	1,059.77	-1,332 98	-55 71%	L
70 00	FREEPORT-MCMORAN COPPER & GOLD INC	03/28/2007	4,524 35	10/22/2008	2,004.96	-2,519 39	-55.69%	L
50 00	FREEPORT-MCMORAN COPPER & GOLD INC	05/11/2007	3,640.81	10/22/2008	1,432 12	-2,208 69	-60 66%	L
196.00	FREEPORT-MCMORAN COPPER & GOLD INC	08/06/2008	17,371 73	10/22/2008	5,613 90	-11,757 83	-67 68%	S
332 00	HARSCO CORP	08/06/2008	17,551 84	10/21/2008	7,546 31	-10,005 53	-57 01%	S
206.00	JOHNSON & JOHNSON COM	10/16/2008	13,018 77	03/02/2009	9,932 51	-3,086 26	-23 71%	S
14 00	JOHNSON & JOHNSON COM	11/05/2008	843 91	03/02/2009	675.02	-168 89	-20 01%	S
265 00	KANSAS CITY STHN NEW	03/01/2007	8,476.37	03/04/2009	3,983 13	-4,493 24	-53 01%	L
113 00	KANSAS CITY STHN NEW	08/03/2007	3,799.72	03/04/2009	1,698 47	-2,101.25	-55.30%	L
94.00	LOCKHEED MARTIN CORP	02/09/2009	7,591 36	03/03/2009	5,672 55	-1,918 81	-25 28%	S
140 00	MEDCO HEALTH SOLUTIONS INC	11/05/2008	5,876 53	03/03/2009	5,417.19	-459 34	-7 82%	S
578 00	MICROSOFT CORP	10/27/2008	12,520 23	11/20/2008	10,649 65	-1,870 58	-14 94%	S
116.00	MONSANTO CO NEW	08/12/2008	13,079 22	03/03/2009	8,532.13	-4,547 09	-34 77%	S
62 00	MONSANTO CO NEW	01/07/2009	5,358 88	03/03/2009	4,560 28	-798 60	-14 90%	S
452.00	PHILIP MORRIS INTL INC	01/30/2008	24,309.41	10/06/2008	20,556 71	-3,752 70	-15 44%	S
240.00	PHILIP MORRIS INTL INC	04/02/2008	12,335.28	10/06/2008	10,915 06	-1,420 22	-11 51%	S
182 00	PROCTER & GAMBLE CO	07/16/2007	11,501 02	12/09/2008	10,994.06	-506.96	-4.41%	L
116.00	SUNCOR INC **MERGER EFF 07/2009**	08/05/2004	1,647.78	11/19/2008	2,081 43	433 65	26.32%	L
48 00	SUNCOR INC **MERGER EFF· 07/2009**	09/14/2006	1,641 34	11/19/2008	861 28	-780 06	-47 53%	L
93.00	TEREX CORP DELA NEW	08/09/2007	6,957.13	10/31/2008	1,562 31	-5,394.82	-77.54%	L
80 00	TEREX CORP DELA NEW	08/21/2007	5,983 15	10/31/2008	1,343 92	-4,639.23	-77.54%	L
84 00	TEREX CORP DELA NEW	12/04/2007	5,125 98	10/31/2008	1,411.12	-3,714.86	-72 47%	S
145 00	TEREX CORP DELA NEW	08/11/2008	7,050 93	10/31/2008	2,435.85	-4,615 08	-65.45%	S
132 00	TEREX CORP DELA NEW	08/12/2008	6,496 12	10/31/2008	2,217 47	-4,278.65	-65 86%	S
148 00	WAL MART STORES INC	10/20/2008	7,939.33	03/03/2009	7,170.68	-768.65	-9.68%	S
446 00	WESTERN UNION CO	03/05/2008	9,817 62	10/08/2008	8,139.14	-1,678 48	-17 10%	S
194 00	WESTERN UNION CO	03/19/2008	4,278.34	10/08/2008	3,540.34	-738 00	-17.25%	S
302 00	WESTERN UNION CO	06/20/2008	7,653 53	10/08/2008	5,511 26	-2,142.27	-27.99%	S
TOTAL GAINS:							2,844.35	

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Prepared for Nuebgr Allcap
VN 32085 • Nuebgr Allcap • Basic Retail
Risk profile: Moderate
Return objective Capital Appreciation

Realized gain/(loss) - from 10/01/2008 to 09/30/2009 (continued)

						Gain or Loss		
Quantity	Description	Purchase Date	Purchase Amount	Sale Date	Sale Amount	Realized Gain/(Loss)	Percent Gain/(Loss)	Term
TOTAL LOSS:						-160,819.12		
SHORT TERM - TOTAL REALIZED GAIN/(LOSS):						-94,623.01		S
LONG TERM - TOTAL REALIZED GAIN/(LOSS):						-63,351.76		L
TOTAL REALIZED GAIN/(LOSS):			455,240.40		297,265.63	-157,974.77	-34.70%	

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UBS

Prepared for Delaware Int'l
VN 32086 • Delaware Int'l • Basic Retail
Risk profile. Moderate
Return objective. Capital Appreciation

Realized gain/(loss)

from 10/01/2008 to 09/30/2009

						Gain or Loss		
Quantity	Description	Purchase Date	Purchase Amount	Sale Date	Sale Amount	Realized Gain/(Loss)	Percent Gain/(Loss)	Term
0 26	HBOS PLC **EXCHANGE EFF 1/2009 SPON ADR	11/09/2005	3 70	10/07/2008	0 75	-2.95	-79 73%	L
1 00	KAO CORP REPSTG 10 SHS COM SPON ADR	07/19/2005	242.56	11/14/2008	294 19	51 63	21 29%	L
7.00	KAO CORP REPSTG 10 SHS COM SPON ADR	10/07/2005	1,671 98	12/17/2008	2,108 79	436 81	26 13%	L
2 00	KAO CORP REPSTG 10 SHS COM SPON ADR	10/07/2005	477.71	11/14/2008	588 37	110 66	23 16%	L
3 00	KAO CORP REPSTG 10 SHS COM SPON ADR	04/03/2006	800 24	12/17/2008	903 77	103 53	12 94%	L
0.33	LLOYDS BANKING GROUP PLC SPON ADR	07/09/2007	36 25	01/22/2009	1 16	-35.09	-96 80%	L
45.00	NATIONAL GRID PLC NEW SPON ADR	08/05/2004	2,110 47	10/17/2008	2,539 87	429 40	20 35%	L
0 10	ROYAL BANK SCOTLAND SPON ADR	09/16/2008	6.35	11/07/2008	1 90	-4 45	-70.08%	S
TOTAL GAINS:						1,132.03		
TOTAL LOSS:						-42.49		
SHORT TERM - TOTAL REALIZED GAIN/(LOSS):						-4.45		S
LONG TERM - TOTAL REALIZED GAIN/(LOSS):						1,093.99		L
TOTAL REALIZED GAIN/(LOSS):			5,349.26		6,438.80	1,089.54	20.37%	

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Important information about this report

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Pricing All securities are priced using the closing price reported on the last business day preceding the date of this report. Every reasonable attempt has been made to accurately price securities, however, we make no warranty with respect to any security's price Please refer to the back of the first page of your UBS Financial Services Inc accounts statement for important information regarding the pricing used for certain types of securities, the sources of pricing data and other qualifications concerning the pricing of securities To determine the value of securities in your account, we generally rely on third party quotation services If a price is unavailable or believed to be unreliable, we may determine the price in good faith and may use other sources such as the last recorded transaction When securities are held at another custodian or if you hold illiquid or restricted securities for which there is no published price, we will generally rely on the value provided by the custodian or issuer of that security

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Custom Time Periods If represented on this report, the performance start date and the performance end date have been selected by your Financial Advisor in order to provide performance and account activity information for your account for the specified period of time only As a result only a portion of your account's activity and performance information is presented in the performance report, and, therefore, presents a distorted representation of your account's activity and performance

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UBS

Prepared for PimCo Bonds
VN 32088 • PimCo Bonds • Basic Retail
Risk profile Moderate
Return objective Capital Appreciation

Realized gain/(loss)

from 10/01/2008 to 09/30/2009

Quantity	Description	Purchase Date	Purchase Amount	Sale Date	Sale Amount	Gain or Loss Realized Gain/(Loss)	Gain or Loss Percent Gain/(Loss)	Term
135,000.00	FHLMC 05 125 % DUE 101508 DTD 101398 FC 04151999	02/21/2006	135,731 70	10/15/2008	135,000.00	-731 70	-0 54%	L
11,000 00	FHLMC 05 125 % DUE 101508 DTD 101398 FC 04151999	10/29/2007	11,086.13	10/15/2008	11,000 00	-86 13	-0 78%	S
160,000 00	FNMA NTS 03 875 % DUE 021510 DTD 011405 FC 02152005	10/16/2007	157,878.40	12/05/2008	163,632 00	5,753 60	3 64%	L
42,855 00	PIMCO FIXED INCOME SHARES SERIES C PORTFOLIO	08/09/2004	501,403 50	03/31/2009	477,404.70	-23,998 80	-4 79%	L
838 00	PIMCO FIXED INCOME SHARES SERIES C PORTFOLIO	04/07/2005	9,804.60	03/31/2009	9,335 32	-469 28	-4 79%	L
735.00	PIMCO FIXED INCOME SHARES SERIES C PORTFOLIO	11/09/2005	8,503 95	03/31/2009	8,187.90	-316 05	-3 72%	L
1,845 00	PIMCO FIXED INCOME SHARES SERIES C PORTFOLIO	04/18/2006	20,866 95	03/31/2009	20,553.30	-313 65	-1 50%	L
1,105.00	PIMCO FIXED INCOME SHARES SERIES C PORTFOLIO	02/06/2007	12,585 95	03/31/2009	12,309 70	-276 25	-2 19%	L
2,369 00	PIMCO FIXED INCOME SHARES SERIES C PORTFOLIO	01/21/2009	28,001.58	03/31/2009	26,390 66	-1,610.92	-5 75%	S
43,455 00	PIMCO FIXED INCOME SHARES SERIES M PORTFOLIO	08/09/2004	507,554.40	03/31/2009	368,063 85	-139,490.55	-27 48%	L
1,681 00	PIMCO FIXED INCOME SHARES SERIES M PORTFOLIO	02/02/2005	19,180.21	03/31/2009	14,238 07	-4,942 14	-25 77%	L
769 00	PIMCO FIXED INCOME SHARES SERIES M PORTFOLIO	04/07/2005	8,651.25	03/31/2009	6,513 43	-2,137 82	-24 71%	L
1,560 00	PIMCO FIXED INCOME SHARES SERIES M PORTFOLIO	11/08/2005	17,269.20	03/31/2009	13,213 20	-4,056 00	-23 49%	L
1,135 00	PIMCO FIXED INCOME SHARES SERIES M PORTFOLIO	04/18/2006	12,405 55	03/31/2009	9,613.45	-2,792 10	-22 51%	L
5,385 00	PIMCO FIXED INCOME SHARES SERIES M PORTFOLIO	04/03/2008	59,504 25	03/31/2009	45,610 95	-13,893.30	-23 35%	S
12,248.00	PIMCO FIXED INCOME SHARES SERIES M PORTFOLIO	01/21/2009	103,128 16	03/31/2009	103,740 56	612 40	0 59%	S
55,000.00	US TSY BOND 9 0000% DUE 11/15/18 DTD 11/15/88 FC 05/15/89	08/09/2004	79,200 00	10/23/2008	77,273 90	-1,926 10	-2 43%	L
10,000.00	US TSY BOND 9 0000% DUE 11/15/18 DTD 11/15/88 FC 05/15/89	08/01/2007	13,534 38	10/23/2008	14,049 80	515 42	3 81%	L

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Prepared for PimCo Bonds
VN 32088 • PimCo Bonds • Basic Retail
Risk profile. Moderate
Return objective. Capital Appreciation

Realized gain/(loss) - from 10/01/2008 to 09/30/2009 (continued)

						Gain or Loss		
Quantity	Description	Purchase Date	Purchase Amount	Sale Date	Sale Amount	Realized Gain/(Loss)	Percent Gain/(Loss)	Term
125,000 00	US TSY BOND 10.6250% DUE 08/15/15 DTD 08/15/85 FC 02/15/86	08/09/2004	192,851.56	11/04/2008	175,214.84	-17,636.72	-9 15%	L
6,000.00	US TSY BOND 10 6250% DUE 08/15/15 DTD 08/15/85 FC 02/15/86	08/16/2005	9,088.13	11/04/2008	8,410 31	-677.82	-7 46%	L
10,000 00	US TSY BOND 10 6250% DUE 08/15/15 DTD 08/15/85 FC 02/15/86	08/01/2007	13,889.84	11/04/2008	14,017 19	127.35	0 92%	L
175,000.00	US TSY INFL PROT NOTE 00.875 % DUE 04/15/10 FACTOR 1.143930000000	01/17/2007	184,618 35	03/25/2009	193,905 60	9,287 25	5 03%	L
145,000 00	US TSY NOTE 03 250 % DUE 01/15/09 DTD 01/15/04 FC 07/15/04	04/03/2007	141,465.63	10/21/2008	145,770.31	4,304 68	3.04%	L
145,000.00	US TSY NOTE 03.375 % DUE 12/15/08 DTD 12/15/03 FC 06/15/04	04/03/2007	141,901.76	10/21/2008	145,487 11	3,585 35	2 53%	L
TOTAL GAINS:						**24,186.05**		
TOTAL LOSS:						**-215,355.33**		
SHORT TERM - TOTAL REALIZED GAIN/(LOSS):						**-14,977.95**		**S**
LONG TERM - TOTAL REALIZED GAIN/(LOSS):						**-176,191.33**		**L**
TOTAL REALIZED GAIN/(LOSS):			**2,390,105.43**		**2,198,936.15**	**-191,169.28**	**-8.00%**	

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Prepared for Marsico Lgcap
VN 32089 • Marsico Lgecap • Basic Retail
Risk profile: Moderate
Return objective: Capital Appreciation

Realized gain/(loss)

from 10/01/2008 to 09/30/2009

						Gain or Loss		
Quantity	Description	Purchase Date	Purchase Amount	Sale Date	Sale Amount	Realized Gain/(Loss)	Percent Gain/(Loss)	Term
201 00	ABB LTD SPON ADR	12/18/2008	2,955 81	02/18/2009	2,447 18	-508 63	-17 21%	S
201 00	ABB LTD SPON ADR	12/29/2008	2,941 82	02/18/2009	2,447.18	-494 64	-16 81%	S
87.00	AMAZON COM INC	01/08/2009	4,936.82	01/30/2009	5,109 20	172.38	3 49%	S
62 00	AMERICA MOVIL S A B. DE C.V SER L SPON ADR	07/07/2006	2,154.50	10/28/2008	1,553 40	-601.10	-27 90%	L
85 00	AMERICA MOVIL S.A B. DE C.V SER L SPON ADR	07/07/2006	2,953 75	10/24/2008	2,066 50	-887 25	-30 04%	L
193 00	AMERICA MOVIL S A B. DE C V. SER L SPON ADR	08/03/2006	6,809.58	10/28/2008	4,835.59	-1,973.99	-28.99%	L
272 00	CAMERON INTL CORP	04/18/2007	8,909.93	11/13/2008	5,465 07	-3,444 86	-38 66%	L
77 00	CHINA MOBILE LTD SPON ADR	11/01/2006	3,159 51	10/23/2008	2,984.82	-174.69	-5.53%	L
11 00	CHINA MOBILE LTD SPON ADR	11/01/2006	451.36	10/24/2008	404 30	-47.06	-10 43%	L
60 00	CHINA MOBILE LTD SPON ADR	11/02/2006	2,468 64	10/24/2008	2,205 29	-263 35	-10 67%	L
71 00	CHINA MOBILE LTD SPON ADR	11/02/2006	2,921 22	11/10/2008	3,139 46	218 24	7 47%	L
128 00	CHINA MOBILE LTD SPON ADR	11/02/2006	5,266 43	10/28/2008	4,710.13	-556 30	-10 56%	L
318 00	CHINA MOBILE LTD SPON ADR	12/06/2006	13,114 99	11/10/2008	14,061.24	946 25	7.22%	L
23 00	CSX CORPORATION	04/29/2008	1,426.50	10/01/2008	1,201 88	-224 62	-15.75%	S
24 00	CSX CORPORATION	05/02/2008	1,544.92	10/23/2008	1,023 67	-521 25	-33 74%	S
40 00	CSX CORPORATION	05/02/2008	2,574 87	10/01/2008	2,090.22	-484.65	-18.82%	S
77.00	CSX CORPORATION	05/07/2008	4,857 85	10/23/2008	3,284 28	-1,573 57	-32 39%	S
38 00	CSX CORPORATION	07/30/2008	2,542 59	10/23/2008	1,620.81	-921 78	-36 25%	S
80 00	DEERE AND CO	12/09/2008	3,090 24	03/10/2009	2,159 83	-930 41	-30 11%	S
79 00	DEERE AND CO	12/10/2008	2,950 77	03/10/2009	2,132 84	-817 93	-27 72%	S
46.00	DEVON ENERGY CORP NEW	10/22/2008	3,259 93	02/24/2009	2,134 43	-1,125.50	-34 53%	S
196.00	GENENTECH INC **MERGER EFF 3/2009**	05/20/2005	14,797.72	03/26/2009	18,620 00	3,822 28	25 83%	L
492 00	GENENTECH INC **MERGER EFF 3/2009**	07/07/2006	42,046.32	03/26/2009	46,740 00	4,693 68	11.16%	L
74 00	GENL DYNAMICS CORP	07/05/2006	4,804.08	11/13/2008	4,161 20	-642 88	-13 38%	L
6.00	GENL DYNAMICS CORP	07/05/2006	389.52	11/12/2008	350 43	-39 09	-10 04%	L
7 00	GOLDMAN SACHS GROUP INC	07/07/2006	1,043.84	10/28/2008	604.94	-438 90	-42 05%	L
40 00	GOLDMAN SACHS GROUP INC	07/07/2006	5,964.80	10/24/2008	3,939 68	-2,025 12	-33 95%	L
64 00	GOLDMAN SACHS GROUP INC	07/07/2006	9,543.68	10/16/2008	6,799 66	-2,744 02	-28 75%	L
38 00	GOLDMAN SACHS GROUP INC	08/23/2006	5,853.45	10/28/2008	3,283 95	-2,569 50	-43 90%	L
50.00	GOLDMAN SACHS GROUP INC	08/23/2006	7,701 91	01/20/2009	3,256.36	-4,445 55	-57 72%	L
13.00	GOLDMAN SACHS GROUP INC	09/07/2007	2,351.47	01/20/2009	846.65	-1,504 82	-63 99%	L
4.00	GOLDMAN SACHS GROUP INC	09/24/2007	853 78	01/20/2009	260.51	-593 27	-69.49%	L

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Prepared for Marsico Lgecap
VN 32089 • Marsico Lgecap • Basic Retail
Risk profile Moderate
Return objective Capital Appreciation

Realized gain/(loss) - from 10/01/2008 to 09/30/2009 (continued)

Quantity	Description	Purchase Date	Purchase Amount	Sale Date	Sale Amount	Gain or Loss Realized Gain/(Loss)	Gain or Loss Percent Gain/(Loss)	Term
92 00	HESS CORP	03/19/2008	8,566 06	02/18/2009	4,759.00	-3,807 06	-44 44%	S
141.00	HESS CORP	05/20/2008	18,825 01	02/24/2009	7,373.98	-11,451.03	-60.83%	S
29 00	HESS CORP	05/20/2008	3,871 81	02/18/2009	1,500 12	-2,371 69	-61 26%	S
16 00	HESS CORP	05/21/2008	2,125 01	02/24/2009	836 76	-1,288 25	-60.62%	S
229 00	JPMORGAN CHASE & CO	10/02/2008	11,415.65	11/20/2008	5,971.66	-5,443.99	-47.69%	S
82.00	JPMORGAN CHASE & CO	10/02/2008	4,087.70	11/21/2008	1,747 89	-2,339 81	-57.24%	S
360.00	JPMORGAN CHASE & CO	10/14/2008	14,839.88	11/21/2008	7,673 68	-7,166 20	-48 29%	S
182 00	LAS VEGAS SANDS CORP	07/05/2006	13,671.84	10/27/2008	1,090 92	-12,580 92	-92.02%	L
144.00	LAS VEGAS SANDS CORP	07/05/2006	10,817 28	10/28/2008	728 95	-10,088 33	-93 26%	L
180 00	LAS VEGAS SANDS CORP	07/07/2006	13,383.00	10/28/2008	911 19	-12,471 81	-93 19%	L
151 00	LAS VEGAS SANDS CORP	10/19/2006	11,633 04	10/28/2008	764.39	-10,868 65	-93 43%	L
19 00	LAS VEGAS SANDS CORP	08/09/2007	1,988 46	10/28/2008	96 18	-1,892 28	-95 16%	L
58 00	LOCKHEED MARTIN CORP	07/05/2006	4,234 58	03/13/2009	3,554 58	-680 00	-16 06%	L
69.00	MONSANTO CO NEW	08/22/2006	3,243 79	02/24/2009	5,351.60	2,107 81	64 98%	L
62.00	NORFOLK STHN CORP	02/01/2008	3,429 28	03/10/2009	1,716 71	-1,712 57	-49 94%	L
148 00	ORACLE CORP	10/30/2008	2,735 57	03/05/2009	2,201 55	-534 02	-19 52%	S
207 00	ORACLE CORP	10/30/2008	3,826 11	02/02/2009	3,470 83	-355 28	-9 29%	S
189 00	ORACLE CORP	10/31/2008	3,474 42	03/05/2009	2,811 43	-662.99	-19 08%	S
135 00	PETROLEO BRASILEIRO SA SPON ADR	09/04/2007	4,291 89	10/23/2008	3,088 71	-1,203 18	-28 03%	L
34 00	PETROLEO BRASILEIRO SA SPON ADR	12/21/2007	1,914 75	10/23/2008	777 90	-1,136 85	-59 37%	S
182 00	PETROLEO BRASILEIRO SA SPON ADR	12/21/2007	10,249 55	11/21/2008	2,954 80	-7,294 75	-71 17%	S
48 00	PETROLEO BRASILEIRO SA SPON ADR	12/27/2007	2,808 53	11/21/2008	779 29	-2,029 24	-72 25%	S
42.00	PETROLEO BRASILEIRO SA SPON ADR	10/14/2008	1,363 25	11/21/2008	681.88	-681 37	-49 98%	S
352 00	PETROLEO BRASILEIRO SA SPON ADR	10/14/2008	11,425 32	11/26/2008	7,060 16	-4,365 16	-38.21%	S
46 00	POTASH CORP SASK INC CANADA	04/18/2008	9,253.68	10/15/2008	3,779 60	-5,474 08	-59 16%	S
41 00	POTASH CORP SASK INC CANADA	04/22/2008	8,559.04	10/15/2008	3,368 78	-5,190 26	-60 64%	S
54 00	POTASH CORP SASK INC CANADA	09/19/2008	9,530 49	10/15/2008	4,436.93	-5,093 56	-53 44%	S
198 00	QUALCOMM INC	11/20/2007	8,178 27	11/21/2008	5,800 55	-2,377 72	-29 07%	L
82 00	SCHLUMBERGER LTD NETHERLANDS ANTILLES	07/07/2006	5,152 49	11/12/2008	3,792 99	-1,359 50	-26.39%	L
166 00	SCHLUMBERGER LTD NETHERLANDS ANTILLES	07/07/2006	10,430 64	11/21/2008	6,700 90	-3,729.74	-35 76%	L
33.00	SCHLUMBERGER LTD NETHERLANDS ANTILLES	07/18/2007	2,997 59	11/21/2008	1,332 11	-1,665 48	-55 56%	L
65 00	SCHLUMBERGER LTD NETHERLANDS ANTILLES	09/07/2007	6,355.21	11/21/2008	2,623 85	-3,731.36	-58 71%	L
32 00	SCHLUMBERGER LTD NETHERLANDS ANTILLES	09/07/2007	3,128 72	02/17/2009	1,255 39	-1,873.33	-59 88%	L
138 00	SCHLUMBERGER LTD NETHERLANDS ANTILLES	10/09/2008	8,355 90	02/17/2009	5,339 91	-3,015 99	-36 09%	S

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Realized gain/(loss) - from 10/01/2008 to 09/30/2009 (continued)

Quantity	Description	Purchase Date	Purchase Amount	Sale Date	Sale Amount	Gain or Loss Realized Gain/(Loss)	Gain or Loss Percent Gain/(Loss)	Term
15 00	SCHLUMBERGER LTD NETHERLANDS ANTILLES	10/09/2008	908 25	02/17/2009	588.46	-319 79	-35 21%	S
79.00	SCHLUMBERGER LTD NETHERLANDS ANTILLES	10/13/2008	5,123 51	02/17/2009	3,056 90	-2,066 61	-40 34%	S
132 00	TARGET CORP	08/21/2008	6,650.48	02/02/2009	3,969 75	-2,680 73	-40 31%	S
38 00	TARGET CORP	08/21/2008	1,914 53	02/24/2009	1,081 18	-833 35	-43 53%	S
132.00	TARGET CORP	08/26/2008	6,872.87	02/24/2009	3,755 70	-3,117.17	-45 35%	S
3 00	TARGET CORP	08/26/2008	156.20	03/03/2009	77.82	-78 38	-50 18%	S
19.00	TARGET CORP	08/27/2008	988.00	03/03/2009	492 85	-495 15	-50 12%	S
365.00	TARGET CORP	10/16/2008	13,788 39	03/03/2009	9,467.86	-4,320 53	-31 33%	S
43 00	TRANSOCEAN INC NEW SHS **NAME CHANGE 2008** ORD	09/10/2007	4,543 75	11/21/2008	2,264.02	-2,279 73	-50 17%	L
23 00	TRANSOCEAN INC NEW SHS **NAME CHANGE 2008** ORD	12/06/2007	3,035 14	11/21/2008	1,210 98	-1,824 16	-60 10%	S
94.00	UNITEDHEALTH GROUP INC	01/28/2009	2,814 89	03/04/2009	1,692 00	-1,122 89	-39.89%	S
76.00	UNITEDHEALTH GROUP INC	02/02/2009	2,215 69	03/04/2009	1,368 00	-847 69	-38 26%	S
24.00	UNITEDHEALTH GROUP INC	02/02/2009	699.69	03/05/2009	391.46	-308 23	-44 05%	S
96 00	UNITEDHEALTH GROUP INC	02/04/2009	2,828 20	03/05/2009	1,565.84	-1,262 36	-44 63%	S
113 00	UNITEDHEALTH GROUP INC	02/06/2009	3,264 97	03/05/2009	1,843 12	-1,421 85	-43 55%	S
56.00	UNITEDHEALTH GROUP INC	02/18/2009	1,577.28	03/05/2009	913 40	-663 88	-42 09%	S
134 00	UNITEDHEALTH GROUP INC	02/18/2009	3,774 20	03/05/2009	2,338 35	-1,435 85	-38 04%	S
196.00	UNITEDHEALTH GROUP INC	02/19/2009	5,618.95	03/05/2009	3,420 28	-2,198 67	-39 13%	S
88 00	US BANCORP DEL (NEW)	07/21/2008	2,419.89	01/20/2009	1,521 17	-898 72	-37 14%	S
158 00	US BANCORP DEL (NEW)	07/21/2008	4,344 79	11/12/2008	4,002 55	-342 24	-7 88%	S
112.00	US BANCORP DEL (NEW)	07/23/2008	3,378 67	01/20/2009	1,936 03	-1,442 64	-42.70%	S
40.00	US BANCORP DEL (NEW)	07/28/2008	1,143.00	01/20/2009	691 44	-451.56	-39 51%	S
45.00	US BANCORP DEL (NEW)	07/30/2008	1,377.99	01/20/2009	777 87	-600 12	-43 55%	S
49 00	US BANCORP DEL (NEW)	07/30/2008	1,500 47	01/22/2009	690.51	-809 96	-53 98%	S
126.00	US BANCORP DEL (NEW)	07/30/2008	3,902 61	01/20/2009	2,178.04	-1,724 57	-44 19%	S
123 00	US BANCORP DEL (NEW)	08/05/2008	3,803.71	01/22/2009	1,733 31	-2,070 40	-54 43%	S
20 00	US BANCORP DEL (NEW)	08/18/2008	613.49	01/22/2009	281 84	-331.65	-54 06%	S
265.00	US BANCORP DEL (NEW)	08/18/2008	8,128 80	02/18/2009	2,777.74	-5,351 06	-65 83%	S
4 00	US BANCORP DEL (NEW)	08/18/2008	122.70	02/17/2009	44 19	-78 51	-63.99%	S
5.00	VISA INC CL A	04/30/2008	416.27	11/21/2008	229 96	-186.31	-44 76%	S
20.00	WALT DISNEY CO (HOLDING CO) DISNEY COM	12/08/2008	515.23	02/18/2009	352.74	-162 49	-31 54%	S
98 00	WALT DISNEY CO (HOLDING CO) DISNEY COM	12/08/2008	2,524.62	02/05/2009	1,822 18	-702.44	-27 82%	S

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Prepared for Marsico Lgecap
VN 32089 • Marsico Lgecap • Basic Retail
Risk profile Moderate
Return objective. Capital Appreciation

Realized gain/(loss) - from 10/01/2008 to 09/30/2009 (continued)

						Gain or Loss		
Quantity	Description	Purchase Date	Purchase Amount	Sale Date	Sale Amount	Realized Gain/(Loss)	Percent Gain/(Loss)	Term
130.00	WALT DISNEY CO (HOLDING CO) DISNEY COM	12/09/2008	3,077 78	02/18/2009	2,292 81	-784 97	-25.50%	S
42 00	WELLS FARGO & CO NEW	01/30/2008	1,371 10	11/20/2008	978.25	-392 85	-28.65%	S
46 00	WELLS FARGO & CO NEW	02/01/2008	1,539 54	01/20/2009	747 94	-791 60	-51 42%	S
112 00	WELLS FARGO & CO NEW	02/01/2008	3,748 44	11/21/2008	2,284 18	-1,464 26	-39.06%	S
71 00	WELLS FARGO & CO NEW	02/01/2008	2,376.24	11/20/2008	1,653 70	-722 54	-30.41%	S
494 00	WELLS FARGO & CO NEW	07/21/2008	13,733 10	01/20/2009	8,032 25	-5,700.85	-41 51%	S
292 00	WELLS FARGO & CO NEW	07/21/2008	8,073.42	02/17/2009	4,246 35	-3,827.07	-47 40%	S
188.00	WELLS FARGO & CO NEW	07/21/2008	5,197 96	01/21/2009	2,815.24	-2,382.72	-45 84%	S
102.00	WELLS FARGO & CO NEW	07/21/2008	2,835.58	01/21/2009	1,527.42	-1,308.16	-46 13%	S
204 00	WELLS FARGO & CO NEW	11/06/2008	5,804 29	02/17/2009	2,966.63	-2,837 66	-48 89%	S
363 00	WELLS FARGO & CO NEW	11/06/2008	10,328 22	02/20/2009	3,568 66	-6,759 56	-65.45%	S
138 00	WELLS FARGO & CO NEW	11/06/2008	3,926 43	02/19/2009	1,711.16	-2,215.27	-56 42%	S
2 00	WELLS FARGO & CO NEW	12/03/2008	51.28	02/20/2009	19.66	-31 62	-61.66%	S
82 00	WELLS FARGO & CO NEW	12/03/2008	2,182.78	02/20/2009	806.14	-1,376.64	-63.07%	S
TOTAL GAINS:						11,960.64		
TOTAL LOSS:						-239,516.64		
SHORT TERM - TOTAL REALIZED GAIN/(LOSS):						-147,877.91		S
LONG TERM - TOTAL REALIZED GAIN/(LOSS):						-79,678.09		L
TOTAL REALIZED GAIN/(LOSS):			582,019.80		354,463.80	-227,556.00	-39.10%	

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Custom Time Periods If represented on this report, the performance start date and the performance end date have been selected by your Financial Advisor in order to provide performance and account activity information for your account for the specified period of time only As a result only a portion of your account's activity and performance information is presented in the performance report, and, therefore, presents a distorted representation of your account's activity and performance

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Standard Capital Gain/Loss Summary Report

From 10-01-2008 to 09-30-2009
Multiple Accounts

count Numl	Account Name	Short Term Gain/ Loss	Long Term Gain/ Loss	Capital Gain Distribution Received	Total
0965699	AGT HAMPTON RDS SHIP #2-MAR LCG	(109 751 00)	(62 302 94)	0 00	(172 053 94)
0965707	AGT HAMPTON RDS SHIP #2-COL-LCV	(122 340 25)	(130,787 54)	0 00	(253,127 79)
1216464	AGT HAMPTON RDS SHIP #2-CFIM	3,375 44	39 103 75	0 00	42,479 19
6342133	AGT HAMPTON RDS SHIPPING ASSOC#2	(107 435 30)	(184,463 40)	0 00	(291 898 70)

Run Date 06-21-2010 12 33 PM, CDT

Please do not rely on Wealth Management Online reports to prepare tax returns This information may change after audits or corrections by Bank of America
Portions of the estimated annual income may not reflect actual income received or income for tax reporting purposes Income and cost-basis information should not be used to make investment decisions without first consulting your Bank of America profession

Assets for which the Bank has Limited or No Responsibility
This statement may include assets that are not held and not managed by Bank of America Assets that are not held and not managed by Bank of America are listed solely for the convenience of the client Bank of America has no responsibility to manage, maint
This statement may also include other assets for which Bank of America has limited or no management responsibility and/or no valuation responsibility pursuant to the terms of the governing document, or client agreements or directions
Oil, Gas and Mineral Interests
To holders of Oil, Gas and Mineral properties Market value for Oil, Gas and Mineral properties represents an estimate only, calculated from the most recent 12 months net income from producing properties and includes nominal value applied to non-producing

Standard Realized Capital Gains Report

From: 10-01-2008 to 09-30-2009

0965699 - AGT HAMPTON RDS SHIP #2-MAR-LCG

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Capital Gain/(Loss)	Term
ABB LTD	12-17-2008	02-19-2009	184.00	2,807.67	2,237.39	(570 28)	S
	12-22-2008	02-19-2009	119.00	1,599.63	1,447.01	(152 62)	S
	12-29-2008	02-19-2009	27 00	395.17	328.31	(66 86)	S
Total ABB LTD			330.00	4,802.47	4,012.71	(789.76)	
AMAZON COM INC	01-08-2009	01-30-2009	8.00	457 13	468.20	11 07	S
	01-09-2009	01-30-2009	63.00	3,515.02	3,687.04	172.02	S
Total AMAZON COM INC			71.00	3,972.15	4,155.24	183.09	
AMERICA MOVIL S A B DE C V		10-24-2008	74.00	2,493 06	1,845 26	(647.80)	L
		10-27-2008	209.00	7,041 21	5,064 31	(1,976.90)	L
Total AMERICA MOVIL S A B DE C V			283.00	9,534.27	6,909.57	(2,624.70)	
APPLE INC	04-02-2009	06-12-2009	21.00	2,373.61	2,860.09	486.48	S
	04-24-2008	07-20-2009	18.00	3,051.14	2,760.72	(290 42)	L
	04-25-2008	07-20-2009	50.00	8,454.28	7,668.65	(785.63)	L
	04-24-2008	07-22-2009	50 00	8,475 39	7,850 46	(624 93)	L
Total APPLE INC			139.00	22,354 42	21,139.92	(1,214 50)	
AT&T INC	07-02-2007	10-01-2008	371.00	15,451.41	10,397 73	(5,053 68)	L
	07-25-2007	10-01-2008	54.00	2,180.11	1,513 42	(666.69)	L
Total AT&T INC			425 00	17,631.52	11,911.15	(5,720 37)	
CAMERON INTL CORP		11-13-2008	236.00	7,889 22	4,613.82	(3,275.40)	L
CHINA MOBILE LTD		10-24-2008	6.00	243 12	215 37	(27 75)	L
		10-24-2008	61 00	2,515.77	2,189.61	(326.16)	L
		10-24-2008	69.00	2,845 70	2,489.63	(356.07)	L
		10-27-2008	82.00	3,381.85	2,955 11	(426 74)	L
		10-28-2008	17.00	701.12	656.77	(44.35)	L

		10-28-2008	66.00	2,722.19	2,549.83	(172 36)	L
		11-11-2008	262.00	10,806.27	11,026 52	220 25	L
Total CHINA MOBILE LTD			563 00	23,216.02	22,082.84	(1,133.18)	
COSTCO WHSL CORP NEW	07-23-2008	09-28-2009	30 00	1,898.26	1,693 77	(204 49)	L
	07-30-2008	09-28-2009	37.00	2,326.45	2,088.99	(237 46)	L
	07-30-2008	09-28-2009	59.00	3,722.11	3,331.09	(391 02)	L
	03-25-2008	09-30-2009	56 00	3,727 94	3,135.92	(592 02)	L
	03-26-2008	09-30-2009	29 00	1,930.48	1,623.96	(306 52)	L
	03-27-2008	09-30-2009	8.00	539.01	447.99	(91 02)	L
	04-24-2008	09-30-2009	17 00	1,200.21	951.97	(248 24)	L
	07-23-2008	09-30-2009	92 00	5,821 35	5,151 87	(669.48)	L
	08-08-2008	09-30-2009	51 00	3,406 55	2,855.92	(550.63)	L
	02-09-2009	09-30-2009	58 00	2,621 05	3,247 92	626 87	S
Total COSTCO WHSL CORP NEW			437.00	27,193 41	24,529 40	(2,664 01)	
CSX CORP	05-01-2008	10-01-2008	23 00	1,465.50	1,208.35	(257 15)	S
	05-07-2008	10-01-2008	24.00	1,547.48	1,260.89	(286 59)	S
	05-02-2008	11-12-2008	59.00	3,839.12	2,458 05	(1,381 07)	S
	05-06-2008	11-12-2008	28.00	1,820.06	1,166.53	(653 53)	S
	05-07-2008	11-12-2008	11.00	709.26	458.28	(250 98)	S
	07-30-2008	11-12-2008	24.00	1,608.46	999.88	(608 58)	S
Total CSX CORP			169.00	10,989.88	7,551.98	(3,437 90)	
CVS CAREMARK CORP	10-13-2008	05-05-2009	99.00	3,044.41	3,145.96	101.55	S
	10-14-2008	05-05-2009	68.00	2,126.32	2,160.86	34 54	S
	05-24-2007	09-18-2009	33.00	1,267.53	1,190.40	(77 13)	L
	01-22-2008	09-18-2009	43.00	1,607.66	1,551 13	(56.53)	L
	01-23-2008	09-18-2009	32.00	1,189.33	1,154 33	(35.00)	L
	05-24-2007	09-23-2009	122.00	4,686.01	4,425 67	(260.34)	L
	05-24-2007	09-24-2009	172.00	6,606 50	6,056 02	(550.48)	L
	05-24-2007	09-28-2009	78.00	2,995.97	2,751.75	(244.22)	L
Total CVS CAREMARK CORP			647 00	23,523 73	22,436.12	(1,087.61)	
DEERE & CO	12-10-2008	01-27-2009	60.00	2,216.88	2,149.87	(67 01)	S
	12-09-2008	03-10-2009	67.00	2,525.04	1,830 05	(694 99)	S
	12-10-2008	03-10-2009	6.00	221 69	163 89	(57 80)	S
Total DEERE & CO			133.00	4,963.61	4,143.81	(819.80)	

DEVON ENERGY CORP NEW	10-22-2008	02-24-2009	39.00	2,708 27	1,809.68	(898.59)	S
DISNEY WALT CO	12-10-2008	02-05-2009	88.00	2,101.08	1,645.72	(455.36)	S
	12-09-2008	02-18-2009	101.00	2,488.02	1,790.96	(697 06)	S
	12-10-2008	02-18-2009	21.00	501 40	372 38	(129.02)	S
Total DISNEY WALT CO			210.00	5,090.50	3,809 06	(1,281.44)	
GENENTECH INC	02-09-2006	03-26-2009	575.00	48,379.42	54,625.00	6,245 58	L
	10-08-2008	03-26-2009	83.00	6,398 84	7,885.00	1,486 16	S
	10-14-2008	03-26-2009	55.00	4,505.85	5,225.00	719 15	S
Total GENENTECH INC			713.00	59,284.11	67,735 00	8,450.89	
GENERAL DYNAMICS CORP		11-12-2008	38.00	2,223.19	2,205 91	(17.28)	L
		11-13-2008	60.00	3,510.30	3,396.56	(113 74)	L
Total GENERAL DYNAMICS CORP			98.00	5,733.49	5,602.47	(131 02)	
GENZYME CORP	01-28-2009	08-03-2009	33.00	2,307.44	1,651 29	(656.15)	S
GOLDMAN SACHS GROUP INC	09-19-2008	10-16-2008	96.00	12,140.15	10,572 45	(1,567.70)	S
	10-10-2008	10-16-2008	14.00	1,271 64	1,541.82	270.18	S
		10-24-2008	14.00	1,271.65	1,406.86	135 21	S
		10-24-2008	18.00	2,559.42	1,808.83	(750.59)	L
		10-28-2008	37.00	5,261.03	3,247.53	(2,013 50)	L
	02-09-2006	01-20-2009	8.00	1,137.52	502 33	(635.19)	L
	11-25-2008	01-20-2009	56.00	3,856 18	3,516.28	(339.90)	S
	02-09-2006	04-13-2009	34.00	4,834 46	4,363.54	(470.92)	L
	02-09-2006	06-01-2009	34.00	4,834 46	4,951.08	116.62	L
Total GOLDMAN SACHS GROUP INC			311.00	37,166.51	31,910 72	(5,255.79)	
HESS CORP	03-19-2008	02-19-2009	15.00	1,364.91	793.69	(571.22)	S
	03-20-2008	02-19-2009	64.00	5,577.10	3,386 41	(2,190 69)	S
	05-19-2008	02-19-2009	4.00	520.77	211.65	(309 12)	S
	05-20-2008	02-19-2009	36.00	4,747.87	1,904.86	(2,843.01)	S
	05-20-2008	02-25-2009	126.00	16,617.53	6,341.33	(10,276.20)	S
Total HESS CORP			245 00	28,828 18	12,637.94	(16,190.24)	

J P MORGAN CHASE & CO	10-10-2008	11-20-2008	54.00	2,161.71	1,316.00	(845.71)	S
	10-14-2008	11-20-2008	143.00	5,785.18	3,484.98	(2,300 20)	S
	10-03-2008	11-21-2008	242.00	11,978 03	5,037 20	(6,940 83)	S
	10-14-2008	11-21-2008	116.00	4,692.87	2,414 53	(2,278 34)	S
	03-26-2009	05-11-2009	31 00	894.19	1,151.25	257.06	S
	04-09-2009	05-11-2009	146.00	4,708.06	5,422.01	713 95	S
Total J P MORGAN CHASE & CO			732.00	30,220.04	18,825.97	(11,394 07)	
JOHNSON & JOHNSON	10-08-2008	04-27-2009	160.00	10,098.46	8,209.20	(1,889 26)	S
	10-08-2008	04-28-2009	194.00	12,244.39	9,876.52	(2,367.87)	S
Total JOHNSON & JOHNSON			354.00	22,342.85	18,085.72	(4,257.13)	
LAS VEGAS SANDS CORP		10-24-2008	224.00	11,248.50	1,481.26	(9,767.24)	L
	04-25-2008	10-28-2008	45.00	3,139.66	225.39	(2,914.27)	S
		10-28-2008	17.00	1,788.70	85 15	(1,703 55)	L
		10-28-2008	46.00	3,523.28	230.40	(3,292 88)	L
		10-28-2008	89.00	6,807 88	445 77	(6,362 11)	L
		10-28-2008	173 00	8,687.45	866 50	(7,820 95)	L
Total LAS VEGAS SANDS CORP			594.00	35,195.47	3,334 47	(31,861 00)	
LOCKHEED MARTIN CORP	02-09-2006	03-13-2009	71.00	4,997.51	4,331.15	(666.36)	L
	02-09-2006	04-08-2009	90 00	6,334.88	6,602.05	267.17	L
	08-10-2006	05-06-2009	20.00	1,640.37	1,605.08	(35 29)	L
	08-10-2006	07-16-2009	1 00	82.02	82.07	0.05	L
	09-07-2006	07-16-2009	36.00	3,001 85	2,954.68	(47.17)	L
	02-09-2006	07-21-2009	40.00	2,815.50	3,017.78	202.28	L
	02-09-2006	07-21-2009	66.00	4,645.58	4,944.54	298.96	L
	02-09-2006	08-06-2009	50.00	3,519 37	3,717.23	197.86	L
	09-01-2006	08-06-2009	1 00	84.02	74.34	(9.68)	L
	09-07-2006	08-06-2009	42.00	3,502.15	3,122.47	(379 68)	L
	09-01-2006	08-25-2009	84.00	7,057.99	6,215.84	(842.15)	L
	10-02-2007	08-25-2009	14.00	1,515.82	1,035.97	(479.85)	L
	09-19-2008	08-25-2009	93.00	10,456 57	6,881 83	(3,574.74)	S
Total LOCKHEED MARTIN CORP			608.00	49,653.63	44,585.03	(5,068.60)	
LOWES COS INC	09-19-2008	02-24-2009	43 00	1,105.38	669 96	(435 42)	S
	10-14-2008	02-24-2009	414.00	8,066.38	6,450.33	(1,616.05)	S

	02-09-2006	08-18-2009	229.00	7,165.12	4,571.66	(2,593 46)	L
	09-19-2008	08-18-2009	222 00	5,706.84	4,431.92	(1,274.92)	S
	02-09-2006	09-15-2009	137.00	4,286 56	2,878.04	(1,408 52)	L
	02-09-2006	09-17-2009	130 00	4,067 54	2,804.96	(1,262 58)	L
Total LOWES COS INC			1,175.00	30,397.82	21,806.87	(8,590.95)	
MASTERCARD INC	08-15-2007	06-11-2009	22.00	2,958.98	3,761.77	802.79	L
	10-08-2008	06-11-2009	16.00	2,710.90	2,735 83	24.93	S
	08-15-2007	07-06-2009	20.00	2,689.98	3,322.53	632.55	L
	02-14-2007	07-31-2009	33.00	3,571 55	6,441.23	2,869.68	L
	08-15-2007	07-31-2009	8.00	1,075.99	1,561 51	485 52	L
	01-28-2009	07-31-2009	13.00	1,734 93	2,537.46	802.53	S
Total MASTERCARD INC			112.00	14,742.33	20,360 33	5,618 00	
MCDONALDS CORP	05-22-2007	09-11-2009	146.00	7,648 75	7,946 33	297 58	L
	01-24-2008	09-11-2009	71.00	3,822.03	3,864.31	42.28	L
	10-10-2008	09-11-2009	38 00	2,038.08	2,068.22	30 14	S
	05-21-2007	09-14-2009	95.00	4,961.31	5,135 75	174.44	L
	05-22-2007	09-14-2009	6 00	314.33	324 36	10 03	L
Total MCDONALDS CORP			356 00	18,784.50	19,338 97	554.47	
MONSANTO CO	01-28-2009	02-25-2009	33.00	2,584.88	2,447 38	(137.50)	S
	08-04-2006	05-12-2009	16.00	739.35	1,423 83	684 48	L
	10-08-2008	05-12-2009	56 00	4,470.97	4,983.38	512 41	S
	01-28-2009	05-12-2009	19.00	1,488.26	1,690 79	202 53	S
	08-04-2006	05-19-2009	41.00	1,894.58	3,703.00	1,808.42	L
	10-13-2006	05-19-2009	37 00	1,691.17	3,341 74	1,650.57	L
	10-13-2006	07-06-2009	93.00	4,250 78	6,795.36	2,544 58	L
	08-07-2006	07-21-2009	47.00	2,146 59	3,746 16	1,599.57	L
	10-13-2006	07-21-2009	33.00	1,508.34	2,630.29	1,121 95	L
	08-07-2006	07-31-2009	18 00	822.10	1,514.58	692 48	L
	03-06-2006	08-03-2009	13.00	571.78	1,126.58	554 80	L
	08-07-2006	08-03-2009	12.00	548.07	1,039.92	491 85	L
Total MONSANTO CO			418 00	22,716 87	34,443.01	11,726 14	
MORGAN STANLEY	09-19-2008	10-01-2008	294 00	8,805.71	6,804 00	(2,001.71)	S
	09-19-2008	10-24-2008	267.00	7,997.02	4,239.86	(3,757.16)	S
	09-19-2008	10-27-2008	155 00	4,642.47	2,384.77	(2,257.70)	S

Total MORGAN STANLEY			716.00	21,445.20	13,428.63	(8,016.57)	
NORFOLK SOUTHERN CORP	01-09-2009	03-10-2009	57.00	2,671.10	1,596.46	(1,074.64)	S
	01-09-2009	05-12-2009	28.00	1,312.12	1,026.87	(285.25)	S
Total NORFOLK SOUTHERN CORP			85.00	3,983.22	2,623.33	(1,359.89)	
ORACLE CORP	10-29-2008	02-02-2009	178.00	3,206.10	3,016.28	(189.82)	S
	10-29-2008	03-04-2009	120.00	2,161 42	1,805.40	(356 02)	S
	10-31-2008	03-04-2009	153.00	2,787.52	2,301 89	(485.63)	S
Total ORACLE CORP			451.00	8,155.04	7,123.57	(1,031 47)	
PETROLEO BRASILEIRO SA PETROBR	10-08-2008	10-24-2008	1.00	30.65	21.44	(9.21)	S
	10-13-2008	10-24-2008	47.00	1,487.28	1,007 77	(479.51)	S
		10-24-2008	19.00	602 35	407.40	(194 95)	L
	09-05-2007	11-20-2008	177.00	5,611 32	2,860 41	(2,750.91)	L
	10-14-2008	11-20-2008	59.00	1,902 42	953 47	(948.95)	S
	07-16-2007	11-25-2008	109.00	3,734.79	2,126.84	(1,607.95)	L
	09-06-2007	11-25-2008	66 00	2,152.51	1,287.81	(864.70)	L
	10-14-2008	11-25-2008	186.00	5,997.46	3,629.29	(2,368 17)	S
Total PETROLEO BRASILEIRO SA PETROBR			664.00	21,518.78	12,294.43	(9,224.35)	
POTASH CORP SASK INC	04-18-2008	10-15-2008	39.00	7,779.99	3,080.30	(4,699.69)	S
	04-22-2008	10-15-2008	36.00	7,540.28	2,843.35	(4,696.93)	S
	09-19-2008	10-15-2008	43.00	7,554.95	3,396.22	(4,158.73)	S
	10-08-2008	10-15-2008	14.00	1,304.15	1,105.75	(198 40)	S
	10-08-2008	10-15-2008	49.00	4,564.51	4,121.70	(442.81)	S
	02-10-2009	07-31-2009	47.00	4,199.54	4,356.69	157 15	S
	02-10-2009	08-03-2009	9.00	804.17	855.89	51.72	S
	03-04-2009	08-03-2009	34.00	2,541.23	3,233 37	692 14	S
	05-19-2009	08-03-2009	17.00	1,931.47	1,616.69	(314.78)	S
Total POTASH CORP SASK INC			288.00	38,220 29	24,609.96	(13,610 33)	
QUALCOMM INC	03-25-2008	11-21-2008	54.00	2,203.12	1,579.55	(623 57)	S
	10-30-2008	11-21-2008	25.00	960.21	731.27	(228 94)	S
	10-31-2008	11-21-2008	87.00	3,405.41	2,544.84	(860.57)	S
Total QUALCOMM INC			166.00	6,568.74	4,855.66	(1,713.08)	
ROCHE HLDG LTD	03-12-2009	04-23-2009	70.00	2,129 52	1,964 03	(165 49)	S

	03-12-2009	04-23-2009	131.00	3,975.13	3,675 54	(299.59)	S
	03-19-2009	04-23-2009	209.00	6,681.92	5,864.04	(817 88)	S
Total ROCHE HLDG LTD			410.00	12,786.57	11,503.61	(1,282.96)	
SCHERING PLOUGH CORP	12-03-2008	04-22-2009	87 00	1,408.60	1,887.04	478.44	S
	12-03-2008	04-22-2009	128.00	2,072.42	2,788.01	715 59	S
	12-04-2008	04-22-2009	63.00	1,031.39	1,366.47	335.08	S
	12-10-2008	04-22-2009	80.00	1,275.51	1,742 50	466.99	S
	12-10-2008	04-23-2009	234.00	3,730.88	5,054.97	1,324 09	S
	11-25-2008	04-24-2009	186.00	2,900.26	4,090.28	1,190 02	S
	11-26-2008	04-24-2009	119.00	1,881.06	2,616.90	735 84	S
	12-10-2008	04-24-2009	29.00	462.37	637.73	175.36	S
Total SCHERING PLOUGH CORP			926.00	14,762.49	20,183 90	5,421 41	
SCHLUMBERGER LTD		11-12-2008	69.00	4,044 09	3,153 17	(890.92)	L
	02-09-2006	11-20-2008	228.00	13,363.08	9,912 15	(3,450.93)	L
	02-09-2006	02-12-2009	37 00	2,168 57	1,518 56	(650.01)	L
	02-09-2006	02-17-2009	3.00	175.83	115 36	(60 47)	L
	10-13-2008	02-17-2009	83 00	5,194 34	3,191 67	(2,002 67)	S
	10-08-2008	02-18-2009	13.00	883 68	496.50	(387.18)	S
	10-08-2008	02-18-2009	61.00	4,004.41	2,329.73	(1,674.68)	S
	10-13-2008	02-18-2009	11.00	688.41	420.11	(268.30)	S
Total SCHLUMBERGER LTD			505.00	30,522.41	21,137.25	(9,385 16)	
TAIWAN SEMICONDUCTOR MFG LTD	05-05-2009	07-31-2009	0.69	7.61	7 03	(0 58)	S
TARGET CORP	10-17-2008	02-02-2009	44.00	1,722 68	1,330.85	(391.83)	S
	10-13-2008	02-24-2009	104.00	4,183.59	2,943 42	(1,240.17)	S
	10-14-2008	02-24-2009	17.00	688.41	481 14	(207 27)	S
	10-14-2008	02-24-2009	49.00	1,958.51	1,386.81	(571.70)	S
	10-17-2008	02-24-2009	38.00	1,487.76	1,075.48	(412.28)	S
	08-21-2008	03-03-2009	48.00	2,441.76	1,262.49	(1,179 27)	S
	08-22-2008	03-03-2009	93.00	4,857.85	2,446 07	(2,411 78)	S
	10-14-2008	03-03-2009	175.00	7,086.61	4,602 83	(2,483.78)	S
Total TARGET CORP			568.00	24,427.17	15,529.09	(8,898.08)	
TRANSOCEAN INC	10-08-2008	11-20-2008	31.00	2,527.28	1,854.42	(672 86)	S

	10-13-2008	11-20-2008	63.00	5,019.76	3,768.65	(1,251 11)	S
Total TRANSOCEAN INC			94.00	7,547.04	5,623.07	(1,923.97)	
UNION PAC CORP	02-09-2006	11-21-2008	2.00	85 06	90.93	5.87	L
	03-30-2006	11-21-2008	76.00	3,513.69	3,455.41	(58 28)	L
	03-08-2006	02-25-2009	28.00	1,180.36	1,092.49	(87 87)	L
	02-09-2006	05-12-2009	15.00	637.93	722 24	84 31	L
	12-11-2008	05-12-2009	64.00	2,979.92	3,081.57	101 65	S
Total UNION PAC CORP			185.00	8,396.96	8,442.64	45.68	
UNITED STS STL CORP	04-17-2009	06-12-2009	104.00	3,121.92	4,068 75	946.83	S
	04-30-2009	06-12-2009	87.00	2,307 93	3,403 67	1,095 74	S
Total UNITED STS STL CORP			191.00	5,429.85	7,472 42	2,042 57	
UNITEDHEALTH GROUP INC	01-29-2009	03-03-2009	88.00	2,529.91	1,567.95	(961.96)	S
	02-06-2009	03-03-2009	3.00	86.86	53.45	(33.41)	S
	02-18-2009	03-03-2009	146.00	4,127.52	2,601.37	(1,526 15)	S
	01-28-2009	03-05-2009	77 00	2,304.91	1,304.95	(999.96)	S
	02-04-2009	03-05-2009	70 00	2,032.55	1,186 32	(846 23)	S
	02-06-2009	03-05-2009	93.00	2,692.58	1,576.11	(1,116.47)	S
Total UNITEDHEALTH GROUP INC			477.00	13,774 33	8,290.15	(5,484 18)	
US BANCORP DEL	07-18-2008	01-20-2009	61.00	1,728 74	990 11	(738 63)	S
	07-21-2008	01-20-2009	180 00	4,908.04	2,921.64	(1,986 40)	S
	07-22-2008	01-20-2009	93.00	2,507 33	1,509.51	(997.82)	S
	07-18-2008	01-22-2009	6.00	170 04	88 82	(81.22)	S
	07-18-2008	02-17-2009	61.00	1,728 75	675 19	(1,053.56)	S
	08-20-2008	02-17-2009	132 00	3,860 18	1,461 06	(2,399.12)	S
Total US BANCORP DEL			533 00	14,903 08	7,646 33	(7,256.75)	
VISA INC	10-08-2008	11-21-2008	14.00	706.70	656 13	(50.57)	S
	10-13-2008	11-21-2008	9 00	524 37	421 79	(102.58)	S
	10-13-2008	06-22-2009	59.00	3,437.57	3,668.10	230.53	S
	01-09-2009	06-22-2009	67 00	3,734.31	4,165 48	431.17	S
	09-19-2008	07-31-2009	63.00	4,431.70	4,139 25	(292 45)	S
Total VISA INC			212.00	12,834.65	13,050.75	216.10	
WAL-MART STORES INC	02-19-2009	05-05-2009	124.00	6,252.63	6,215.89	(36.74)	S

	10-13-2008	05-06-2009	77 00	4,183.06	3,885 17	(297 89)	S
	02-19-2009	05-06-2009	23 00	1,159 76	1,160.50	0.74	S
	10-08-2008	05-11-2009	86.00	4,806.14	4,340.53	(465 61)	S
	10-13-2008	05-11-2009	11 00	597.58	555.18	(42.40)	S
	10-08-2008	09-08-2009	153.00	8,550.47	7,864.55	(685 92)	S
	10-08-2008	09-09-2009	165.00	9,221.09	8,421.91	(799 18)	S
Total WAL-MART STORES INC			639.00	34,770.73	32,443.73	(2,327.00)	
WELLS FARGO & CO	11-07-2008	11-20-2008	90.00	2,461 50	2,132.21	(329.29)	S
	11-07-2008	11-20-2008	124.00	3,391.40	2,850.84	(540 56)	S
	11-07-2008	11-21-2008	184.00	5,032.40	4,052.32	(980.08)	S
	11-07-2008	01-20-2009	145.00	3,965.75	2,196.60	(1,769.15)	S
	12-03-2008	01-20-2009	82.00	2,172.26	1,242.21	(930.05)	S
	12-03-2008	01-20-2009	164.00	4,318.28	2,484.42	(1,833.86)	S
	02-04-2008	01-21-2009	13 00	412.89	190.70	(222.19)	S
	07-21-2008	01-21-2009	45.00	1,241.01	660.12	(580 89)	S
	11-07-2008	01-21-2009	15.00	410.25	220.04	(190.21)	S
	02-04-2008	01-22-2009	201.00	6,383.96	3,240.85	(3,143 11)	S
	09-01-2006	02-17-2009	17.00	591.07	240.34	(350.73)	L
	09-06-2006	02-17-2009	71.00	2,494.41	1,003 77	(1,490.64)	L
	01-30-2008	02-17-2009	260.00	8,586.21	3,675.78	(4,910.43)	L
	02-04-2008	02-17-2009	25.00	794.03	353.44	(440.59)	L
	02-04-2008	02-17-2009	44.00	1,404.40	622 06	(782.34)	L
	08-17-2006	02-19-2009	91.00	3,209.82	1,144 52	(2,065 30)	L
	09-06-2006	02-19-2009	34.00	1,194.50	427.62	(766 88)	L
	07-19-2006	02-20-2009	112.00	3,953.63	1,060 89	(2,892 74)	L
	08-17-2006	02-20-2009	31.00	1,093.46	293 64	(799.82)	L
	10-20-2006	02-20-2009	211 00	7,734.06	1,998.65	(5,735.41)	L
Total WELLS FARGO & CO			1,959.00	60,845.29	30,091.02	(30,754 27)	
YUM BRANDS INC	02-09-2006	07-16-2009	145 00	3,591.27	4,854.04	1,262.77	L
	02-09-2006	07-17-2009	62.00	1,535.58	2,086 17	550.59	L
	02-09-2006	07-30-2009	159.00	3,938.01	5,630 12	1,692 11	L
	02-09-2006	09-16-2009	89.00	2,204 29	3,007.38	803.09	L
Total YUM BRANDS INC			455.00	11,269.15	15,577 71	4,308.56	
Net Short Term Gain/(Loss)						(109,751.00)	
Net Long Term Gain/(Loss)						(62,302.94)	

Net Capital Gain Distribution Received	-
Total Capital Gain (Loss)	(172,053.94)

Run Date: 06-21-2010 12:29 PM, CDT

Please do not rely on Wealth Management Online reports to prepare tax returns. This information may change after audits or corrections by Bank of America.

Portions of the estimated annual income may not reflect actual income received or income for tax reporting purposes Income and cost-basis information should not be used to make investment decisions without first consulting your Bank of America professional, personal tax or legal advisor. This information is not intended as an offer to provide trust or investment services in states where Bank of America is not authorized to do so.

Assets for which the Bank has Limited or No Responsibility
This statement may include assets that are not held and not managed by Bank of America. Assets that are not held and not managed by Bank of America are listed solely for the convenience of the client. Bank of America has no responsibility to manage, maintain, safekeep, monitor or value such assets.
This statement may also include other assets for which Bank of America has limited or no management responsibility and/or no valuation responsibility pursuant to the terms of the governing document, or client agreements or directions.
Oil, Gas and Mineral Interests

To holders of Oil, Gas and Mineral properties: Market value for Oil, Gas and Mineral properties represents an estimate only, calculated from the most recent 12 months net income from producing properties and includes nominal value applied to non-producing properties.

Standard Realized Capital Gains Report

From: 10-01-2008 to 09-30-2009

6342133 - AGT HAMPTON RDS SHIPPING ASSOC#2

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Capital Gain/(Loss)	Term
ABBOTT LABS	09-22-2006	03-06-2009	65.00	3,112.04	3,036.25	(75 79)	L
ADOBE SYS INC	10-24-2008	12-16-2008	110 00	2,615 50	2,334 18	(281 32)	S
AGCO CORP	12-16-2008	03-11-2009	110.00	2,427 41	1,836 15	(591 26)	S
	09-02-2008	03-24-2009	190.00	11,671 55	3,810 35	(7,861 20)	S
	09-18-2008	03-24-2009	105 00	5,039 57	2,105.72	(2,933 85)	S
	12-16-2008	03-24-2009	35.00	772 36	701 91	(70 45)	S
Total AGCO CORP			440 00	19,910.89	8,454 13	(11,456 76)	
AMAZON COM INC	08-01-2007	05-01-2009	60 00	4,613.60	4,736 66	123 06	L
	09-28-2007	08-06-2009	115 00	10,669 31	9,632 35	(1,036 96)	L
Total AMAZON COM INC			175 00	15,282.91	14,369 01	(913 90)	
AMGEN INC	10-10-2008	05-19-2009	55 00	2,609.20	2,721 82	112 62	S
	11-19-2008	05-19-2009	15.00	832.39	742 32	(90.07)	S
	03-11-2009	05-19-2009	70.00	3,322.16	3,464 14	141 98	S
Total AMGEN INC			140 00	6,763 75	6,928 28	164 53	
ARTISAN INTERNATIONAL FUND		12-17-2008	-	-	2,132 07	2,132 07	L
AUTODESK INC	09-15-2006	06-19-2009	45 00	1,626 50	926 41	(700 09)	L
	12-16-2008	06-19-2009	180.00	3,328 38	3,705.66	377 28	S
	09-15-2006	09-03-2009	135.00	4,879 51	3,017 67	(1,861 84)	L
	05-09-2008	09-03-2009	90.00	3,516 79	2,011 78	(1,505 01)	L
Total AUTODESK INC			450.00	13,351.18	9,661 52	(3,689 66)	
AVON PRODS INC	08-16-2007	10-10-2008	155.00	5,220 31	4,413 23	(807 08)	L

	08-16-2007	09-03-2009	80 00	2,694 35	2,375 42	(318 93)	L
	01-31-2008	09-03-2009	220 00	7,676.77	6,532 40	(1,144 37)	L
	05-09-2008	09-03-2009	40 00	1,572.70	1,187 71	(384 99)	L
Total AVON PRODS INC			495 00	17,164 13	14,508.76	(2,655 37)	
BEST BUY INC	05-09-2008	01-27-2009	180 00	7,568 37	5,127 29	(2,441 08)	S
	05-15-2008	01-27-2009	40 00	1,800 78	1,139 40	(661 38)	S
	05-15-2008	04-02-2009	145 00	6,527 85	5,933 55	(594 30)	S
Total BEST BUY INC			365 00	15,897 00	12,200 24	(3,696 76)	
BOEING CO	10-24-2008	12-16-2008	145 00	6,653 38	5,821 49	(831 89)	S
BURLINGTON NORTHN SANTA FE CORP	09-26-2008	03-11-2009	45 00	4,387 44	2,489 45	(1,897 99)	S
	09-02-2008	04-08-2009	150 00	16,277.63	9,417.95	(6,859 68)	S
	09-26-2008	04-08-2009	15.00	1,462 48	941 79	(520 69)	S
Total BURLINGTON NORTHN SANTA FE CORP			210 00	22,127 55	12,849.19	(9,278 36)	
CARDINAL HEALTH INC		09-22-2009	-	-	945 71	945 71	L
CASH TRANSFERS		06-26-2009	-	-	347 17	347 17	L
CIGNA CORP	08-03-2007	11-19-2008	100.00	4,983.83	1,156.61	(3,827 22)	L
	09-28-2007	11-19-2008	115 00	6,148 94	1,330 11	(4,818 83)	L
	11-02-2007	11-19-2008	90.00	4,430 12	1,040 95	(3,389 17)	L
	03-11-2009	08-26-2009	285 00	4,355.97	8,513.33	4,157 36	S
Total CIGNA CORP			590 00	19,918.86	12,041 00	(7,877 86)	
CISCO SYS INC	03-13-2003	04-08-2009	260 00	3,458.00	4,455 61	997 61	L
	06-15-2006	04-08-2009	230.00	4,590 43	3,941.50	(648 93)	L
	03-13-2003	04-17-2009	545 00	7,248 50	9,753 29	2,504 79	L
Total CISCO SYS INC			1,035.00	15,296 93	18,150 40	2,853 47	
COCA COLA CO	09-27-2005	10-10-2008	85.00	3,622 21	3,551 61	(70 60)	L
	09-27-2005	12-16-2008	40 00	1,704 57	1,829 33	124.76	L
	05-09-2008	12-16-2008	70.00	3,931.00	3,201 33	(729 67)	S

	05-09-2008	01-13-2009	70.00	3,930.99	3,085 28	(845 71)	S
	01-31-2008	01-27-2009	100.00	5,839 42	4,335.11	(1,504 31)	S
	05-09-2008	01-27-2009	25 00	1,403 93	1,083 78	(320 15)	S
	01-16-2008	05-01-2009	55.00	3,459 37	2,322 94	(1,136.43)	L
	01-31-2008	05-01-2009	65 00	3,795.62	2,745 30	(1,050 32)	L
Total COCA COLA CO			510 00	27,687 11	22,154 68	(5,532 43)	
COLUMBIA ACORN FUND		12-10-2008	-	-	881 58	881 58	L
COLUMBIA MULTI-ADVISOR INTL	04-20-2005	07-21-2009	15,243.90	200,000 00	155,640 24	(44,359.76)	L
	08-19-2005	07-21-2009	9,992.86	140,000.00	102,027 12	(37,972 88)	L
	10-11-2005	07-21-2009	3,498 95	50,000 00	35,724 28	(14,275 72)	L
	11-21-2005	07-21-2009	2,638 37	38,757.65	26,937 76	(11,819 89)	L
	10-13-2006	07-21-2009	6,053 27	100,000 00	61,803 87	(38,196 13)	L
Total COLUMBIA MULTI-ADVISOR INTL			37,427.35	528,757 65	382,133 27	(146,624 38)	
CORNING INC	04-08-2009	09-25-2009	365.00	5,444 56	5,391 02	(53 54)	S
COVIDIEN LTD	05-15-2008	11-19-2008	100.00	4,987 82	3,576 13	(1,411 69)	S
CVS CAREMARK CORP	10-10-2008	01-13-2009	260.00	7,466 97	6,753 44	(713 53)	S
DEVON ENERGY CORP NEW	07-02-2008	03-04-2009	25 00	3,095 29	1,075 09	(2,020 20)	S
	11-19-2008	03-04-2009	60.00	4,191 86	2,580 21	(1,611 65)	S
	11-25-2008	03-04-2009	50 00	3,630 74	2,150 18	(1,480 56)	S
	07-02-2008	03-06-2009	85 00	10,523.98	3,396 70	(7,127 28)	S
Total DEVON ENERGY CORP NEW			220 00	21,441 87	9,202 18	(12,239 69)	
DISNEY WALT CO	08-30-2006	10-03-2008	335 00	9,761 13	10,243 61	482 48	L
	08-30-2006	03-24-2009	35.00	1,019 82	640 82	(379 00)	L
	08-14-2007	03-24-2009	145.00	4,706.96	2,654 84	(2,052 12)	L
Total DISNEY WALT CO			515 00	15,487 91	13,539 27	(1,948 64)	
DUN & BRADSTREET CORP DEL NEW	01-19-2007	10-10-2008	55 00	4,633 18	4,235 33	(397 85)	L

	02-15-2007	05-01-2009	60 00	5,377 49	4,817 29	(560 20)	L
	02-15-2007	05-19-2009	30 00	2,688 75	2,477.99	(210 76)	L
	05-15-2008	05-19-2009	5.00	458 64	413 00	(45 64)	L
	05-15-2008	09-25-2009	100.00	9,172.86	7,402.19	(1,770 67)	L
Total DUN & BRADSTREET CORP DEL NEW			250 00	22,330 92	19,345 80	(2,985 12)	
ENRON CORP		01-08-2009	-	-	2,262 82	2,262 82	L
ENTERGY CORP NEW	11-10-2006	05-19-2009	55 00	4,771 09	4,116 74	(654 35)	L
	11-10-2006	06-02-2009	55 00	4,771 08	4,152 06	(619 02)	L
	11-10-2006	06-19-2009	20 00	1,734 94	1,549 75	(185 19)	L
	11-29-2006	06-19-2009	45 00	4,093 52	3,486 95	(606 57)	L
	11-29-2006	06-29-2009	60 00	5,458.02	4,656 18	(801 84)	L
Total ENTERGY CORP NEW			235.00	20,828 65	17,961 68	(2,866 97)	
EXELON CORP	10-19-2004	09-03-2009	60.00	2,275 99	2,909 80	633 81	L
EXPRESS SCRIPTS INC	08-03-2007	08-26-2009	70 00	3,579 86	5,037 34	1,457 48	L
	04-03-2007	09-03-2009	75 00	3,126 06	5,412.86	2,286 80	L
	08-03-2007	09-03-2009	20 00	1,022 82	1,443 43	420 61	L
	04-03-2007	09-25-2009	85.00	3,542 86	6,478 04	2,935 18	L
Total EXPRESS SCRIPTS INC			250 00	11,271 60	18,371 67	7,100 07	
EXXON MOBIL CORP	10-08-2001	11-19-2008	5.00	206 25	374 75	168 50	L
	03-27-2002	11-19-2008	95 00	4,181 90	7,120 35	2,938 45	L
	03-17-2006	11-19-2008	5 00	305 18	374 76	69 58	L
	10-24-2008	11-19-2008	75 00	5,090 06	5,621 33	531 27	S
	10-08-2001	11-25-2008	175 00	7,218 75	13,666 11	6,447 36	L
	04-21-1997	03-20-2009	40.00	840 24	2,756 80	1,916 56	L
	10-08-2001	03-20-2009	90.00	3,712.50	6,202 81	2,490 31	L
	01-13-2009	03-20-2009	55 00	4,280.60	3,790 61	(489 99)	S
	04-21-1997	04-08-2009	110.00	2,310 66	7,589 60	5,278 94	L
	04-21-1997	05-11-2009	60 00	1,260 36	4,160 59	2,900 23	L
	04-21-1997	05-19-2009	75 00	1,575 45	5,315 14	3,739 69	L
	04-21-1997	06-02-2009	150.00	3,150 90	10,889 91	7,739 01	L
	04-21-1997	08-06-2009	50 00	1,050.30	3,477.21	2,426 91	L

	04-21-1997	09-03-2009	90 00	1,890 54	6,124 69	4,234 15	L
Total EXXON MOBIL CORP			1,075 00	37,073 69	77,464 66	40,390 97	
GENENTECH INC	09-22-2006	03-11-2009	135 00	10,513 66	12,530 66	2,017 00	L
	12-20-2007	03-11-2009	20 00	1,355 20	1,856 39	501 19	L
Total GENENTECH INC			155.00	11,868 86	14,387 05	2,518.19	
GENERAL ELEC CO	09-18-2008	10-24-2008	200 00	4,657 66	3,541 80	(1,115 86)	S
		10-24-2008	575 00	3,960 16	10,182 68	6,222 52	L
	04-21-1997	11-19-2008	150.00	1,033 08	2,258.67	1,225 59	L
	03-11-2009	07-31-2009	190 00	1,628 81	2,523 17	894 36	S
	03-24-2009	07-31-2009	445 00	4,795 68	5,909 54	1,113 86	S
	02-09-2006	08-06-2009	15 00	491 97	214.07	(277 90)	L
	06-17-2008	08-06-2009	375 00	10,924 46	5,351.67	(5,572.79)	L
	03-11-2009	08-06-2009	145.00	1,243 04	2,069 32	826 28	S
Total GENERAL ELEC CO			2,095.00	28,734 86	32,050 92	3,316 06	
GILEAD SCIENCES INC	11-19-2008	08-26-2009	40 00	1,767 57	1,847 61	80 04	S
	03-17-2009	08-26-2009	145.00	6,574.55	6,697 58	123 03	S
Total GILEAD SCIENCES INC			185.00	8,342.12	8,545 19	203 07	
GOLDMAN SACHS GROUP INC	05-09-2008	08-26-2009	15 00	2,817 38	2,485 54	(331 84)	L
	07-24-2008	08-26-2009	15 00	2,763.24	2,485 55	(277 69)	L
Total GOLDMAN SACHS GROUP INC			30 00	5,580 62	4,971 09	(609 53)	
HARTFORD FINL SVCS GROUP INC	08-29-2003	04-08-2009	200.00	10,531 24	1,955 49	(8,575 75)	L
	09-26-2008	04-08-2009	150 00	8,244 83	1,466 62	(6,778 21)	S
	10-03-2008	04-08-2009	530.00	16,751 97	5,182.04	(11,569 93)	S
	10-10-2008	04-08-2009	345 00	6,293 42	3,373.22	(2,920 20)	S
	05-19-2009	08-06-2009	525.00	8,600 34	9,409.81	809 47	S
	06-02-2009	08-06-2009	260.00	4,092 89	4,660 09	567.20	S
Total HARTFORD FINL SVCS GROUP INC			2,010 00	54,514.69	26,047.27	(28,467.42)	
HEINZ H J CO	10-10-2008	02-13-2009	135 00	5,502 95	4,659 20	(843 75)	S
	09-26-2008	03-17-2009	15 00	761 37	505 97	(255 40)	S
	10-10-2008	03-17-2009	80 00	3,261 01	2,698 48	(562 53)	S
	09-26-2008	03-24-2009	170.00	8,628 90	5,889 45	(2,739 45)	S
Total HEINZ H J CO			400 00	18,154 23	13,753 10	(4,401 13)	

HOME DEPOT INC	06-11-2008	03-06-2009	330 00	8,820 77	5,897 50	(2,923 27)	S
	07-24-2008	03-06-2009	120.00	2,943 76	2,144 54	(799 22)	S
	12-16-2008	03-06-2009	135.00	3,229 66	2,412 61	(817 05)	S
Total HOME DEPOT INC			585.00	14,994 19	10,454 65	(4,539 54)	
INTERNATIONAL BUSINESS MACHS	03-17-2006	05-19-2009	60 00	4,998 30	6,335 64	1,337 34	L
INVESCO LTD	05-09-2008	02-13-2009	440 00	12,108 14	5,832 17	(6,275 97)	S
	07-24-2008	02-13-2009	150 00	3,600 84	1,988 24	(1,612 60)	S
Total INVESCO LTD			590.00	15,708 98	7,820 41	(7,888 57)	
I2 TECHNOLOGIES INC		09-30-2009	-	-	73 32	73 32	L
J P MORGAN CHASE & CO	11-02-2007	09-03-2009	115.00	4,930 91	4,826 61	(104 30)	L
JOHNSON & JOHNSON	06-11-2008	05-11-2009	135.00	8,843 35	7,320 32	(1,523 03)	S
	02-26-2009	05-11-2009	40 00	2,148 28	2,168 99	20 71	S
	06-11-2008	08-26-2009	105.00	6,878 16	6,377 02	(501 14)	L
Total JOHNSON & JOHNSON			280 00	17,869 79	15,866 33	(2,003 46)	
KIMBERLY CLARK CORP	10-10-2008	05-19-2009	10 00	557.81	514 52	(43 29)	S
	01-27-2009	05-19-2009	45.00	2,334 87	2,315 35	(19.52)	S
Total KIMBERLY CLARK CORP			55 00	2,892 68	2,829 87	(62 81)	
KROGER CO	07-02-2008	10-10-2008	205 00	6,027 73	4,811 92	(1,215 81)	S
	07-24-2008	10-10-2008	170 00	4,727 11	3,990 37	(736 74)	S
Total KROGER CO			375 00	10,754 84	8,802 29	(1,952 55)	
LAM RESEARCH CORP	08-12-2008	10-24-2008	140.00	5,572.90	2,663 22	(2,909 68)	S
		10-24-2008	125 00	5,477 76	2,377 87	(3,099 89)	L
		10-24-2008	200 00	7,029 86	3,804 60	(3,225 26)	L
Total LAM RESEARCH CORP			465 00	18,080 52	8,845 69	(9,234 83)	
LOCKHEED MARTIN CORP	10-10-2008	05-19-2009	5 00	432 13	400 17	(31 96)	S

	10-24-2008	05-19-2009	45 00	3,501.87	3,601.56	99.69	S
Total LOCKHEED MARTIN CORP			50 00	3,934 00	4,001 73	67 73	
MCKESSON CORP	12-16-2008	06-19-2009	30.00	1,127 30	1,336 35	209 05	S
	01-13-2009	06-19-2009	120 00	5,023 40	5,345 38	321 98	S
Total MCKESSON CORP			150 00	6,150 70	6,681 73	531 03	
MERCK & CO INC	03-22-2007	11-25-2008	5 00	221 15	127 26	(93 89)	L
	07-24-2008	11-25-2008	145 00	4,756.33	3,690 64	(1,065 69)	S
	11-29-2006	12-16-2008	55.00	2,455 22	1,474 80	(980 42)	L
	03-22-2007	12-16-2008	190 00	8,403 56	5,094 76	(3,308.80)	L
	11-29-2006	02-26-2009	175 00	7,812 07	4,714 58	(3,097 49)	L
	11-29-2006	03-04-2009	80 00	3,571 23	1,834 81	(1,736.42)	L
	11-29-2006	03-17-2009	35 00	1,562 42	915 98	(646 44)	L
	04-03-2007	03-17-2009	140 00	6,358 73	3,663 90	(2,694 83)	L
	04-03-2007	05-19-2009	10.00	454 19	254.59	(199 60)	L
	01-31-2008	05-19-2009	105.00	4,828 59	2,673 22	(2,155 37)	L
	09-28-2007	08-26-2009	160.00	8,276 74	5,212.20	(3,064 54)	L
	01-31-2008	08-26-2009	85 00	3,908 86	2,768 98	(1,139 88)	L
Total MERCK & CO INC			1,185 00	52,609 09	32,425 72	(20,183 37)	
METLIFE INC	11-25-2008	05-19-2009	450.00	10,435 23	14,288 57	3,853 34	S
	04-17-2009	05-19-2009	135 00	3,806.04	4,286 57	480 53	S
Total METLIFE INC			585 00	14,241.27	18,575 14	4,333 87	
MONSANTO CO	09-15-2006	05-19-2009	40 00	1,762.86	3,608 46	1,845 60	L
NEWS CORP	01-16-2008	06-19-2009	70.00	1,368 30	666 09	(702 21)	L
	05-09-2008	06-19-2009	180 00	3,343 95	1,712 80	(1,631 15)	L
	05-15-2008	06-19-2009	270.00	5,160 29	2,569 20	(2,591 09)	L
	12-19-2007	06-29-2009	415 00	8,460 10	3,840 89	(4,619 21)	L
	01-16-2008	06-29-2009	145.00	2,834 35	1,342 00	(1,492 35)	L
Total NEWS CORP			1,080 00	21,166 99	10,130 98	(11,036 01)	
NIKE INC	09-02-2008	05-01-2009	165 00	10,071 19	8,756.36	(1,314 83)	S

NOBLE CORP	05-09-2008	01-13-2009	390 00	24,317 24	8,688 10	(15,629 14)	S
NOKIA CORP	12-20-2005	02-26-2009	255 00	4,693 22	2,449.77	(2,243 45)	L
	01-24-2006	02-26-2009	365 00	6,704 65	3,506 54	(3,198 11)	L
	09-26-2008	02-26-2009	245 00	4,757.92	2,353 70	(2,404 22)	S
Total NOKIA CORP			865.00	16,155.79	8,310 01	(7,845 78)	
OPENWAVE SYS INC		09-29-2009	-	-	9 00	9 00	L
PEPSICO INC	04-11-2000	10-10-2008	20.00	741 00	1,142 33	401 33	L
	05-09-2008	10-10-2008	50 00	3,371 01	2,855 84	(515 17)	S
	04-11-2000	01-27-2009	190 00	7,039 50	9,873 83	2,834 33	L
	04-11-2000	04-08-2009	130 00	4,816 50	6,762 70	1,946 20	L
Total PEPSICO INC			390 00	15,968 01	20,634 70	4,666 69	
POTASH CORP SASK INC	01-16-2008	09-25-2009	50.00	6,950 53	4,471 94	(2,478 59)	L
	12-16-2008	09-25-2009	40 00	2,961.55	3,577 55	616.00	S
Total POTASH CORP SASK INC			90 00	9,912 08	8,049 49	(1,862 59)	
PRAXAIR INC	08-12-2005	12-16-2008	75.00	3,847 84	4,223 31	375 47	L
	10-27-2005	12-16-2008	5.00	241.93	281 55	39 62	L
	10-27-2005	02-13-2009	5 00	241 93	338 79	96 86	L
	09-18-2008	02-13-2009	35 00	2,838 00	2,371 53	(466 47)	S
	08-12-2008	05-19-2009	45.00	3,981 77	3,291 33	(690 44)	S
Total PRAXAIR INC			165 00	11,151 47	10,506 51	(644 96)	
PRICE T ROWE GROUP INC	05-09-2008	10-03-2008	220 00	13,312 51	11,380 07	(1,932 44)	S
RIO TINTO PLC	02-09-2006	02-13-2009	20.00	3,959 38	2,275 04	(1,684 34)	L
	12-16-2008	02-13-2009	25.00	2,346 69	2,843 81	497 12	S
Total RIO TINTO PLC			45.00	6,306 07	5,118 85	(1,187 22)	
SCHLUMBERGER LTD	01-24-2006	01-27-2009	25 00	1,554 44	1,047 15	(507 29)	L
	02-09-2006	01-27-2009	47 00	2,796 83	1,968 65	(828 18)	L
	02-09-2006	01-27-2009	73 00	4,344.01	3,078 09	(1,265 92)	L

	01-24-2006	03-17-2009	85 00	5,285 10	3,553 39	(1,731 71)	L
	07-02-2008	03-17-2009	35 00	3,769.24	1,463 16	(2,306 08)	S
Total SCHLUMBERGER LTD			265.00	17,749 62	11,110 44	(6,639 18)	
SCHWAB CHARLES CORP NEW	11-27-2007	10-10-2008	92.00	2,082 81	1,700.02	(382 79)	S
	11-27-2007	10-10-2008	93 00	2,105 44	1,753 90	(351 54)	S
	11-27-2007	10-24-2008	335.00	7,584 13	5,449.08	(2,135 05)	S
Total SCHWAB CHARLES CORP NEW			520 00	11,772.38	8,903 00	(2,869 38)	
SOUTHERN CO	09-18-2008	05-01-2009	110 00	4,342.45	3,153 12	(1,189.33)	S
	11-19-2008	05-01-2009	60 00	2,110 51	1,719 89	(390 62)	S
Total SOUTHERN CO			170 00	6,452 96	4,873 01	(1,579 95)	
SOUTHWESTERN ENERGY CO	05-09-2008	09-25-2009	160.00	7,273 18	6,536 63	(736 55)	L
	03-17-2009	09-25-2009	20.00	598.80	817 08	218 28	S
Total SOUTHWESTERN ENERGY CO			180.00	7,871 98	7,353 71	(518 27)	
STAPLES INC	05-09-2008	06-29-2009	150 00	3,212 63	3,092 39	(120 24)	L
STATE STR CORP	05-21-2007	10-10-2008	145 00	9,949.74	5,983 83	(3,965 91)	L
		10-24-2008	75 00	5,146 42	2,738 85	(2,407 57)	L
	05-21-2007	08-26-2009	100 00	6,861 89	5,343.74	(1,518 15)	L
Total STATE STR CORP			320 00	21,958 05	14,066 42	(7,891 63)	
TEVA PHARMACEUTICAL INDS LTD	03-04-2009	06-19-2009	60 00	2,636.00	2,848 90	212 90	S
	03-11-2009	06-19-2009	105 00	4,523 97	4,985.58	461 61	S
Total TEVA PHARMACEUTICAL INDS LTD			165 00	7,159 97	7,834 48	674 51	
TIDEWATER INC		10-24-2008	60 00	3,821 95	2,110 87	(1,711 08)	L
		10-24-2008	170 00	8,237.86	5,980 81	(2,257 05)	L
Total TIDEWATER INC			230 00	12,059.81	8,091 68	(3,968 13)	
TJX COS INC NEW	06-19-2009	09-25-2009	210 00	6,482 34	7,726 98	1,244 64	S
	06-29-2009	09-25-2009	145 00	4,587 08	5,335 30	748 22	S
Total TJX COS INC NEW			355 00	11,069 42	13,062 28	1,992 86	
TYCO INTL LTD		03-12-2009	-	-	3,648 88	3,648 88	L

UNITED PARCEL SVC INC	04-08-2009	09-03-2009	85.00	4,425 24	4,482 67	57 43	S
US BANCORP DEL	08-12-2005	11-25-2008	220 00	6,631 42	5,445 61	(1,185 81)	L
	08-12-2005	08-06-2009	380 00	11,454.26	8,518.39	(2,935 87)	L
Total US BANCORP DEL			600.00	18,085 68	13,964 00	(4,121 68)	
VALERO ENERGY CORP NEW	07-02-2008	11-19-2008	115.00	4,523 68	1,934 39	(2,589 29)	S
	09-26-2008	11-19-2008	110 00	3,644.63	1,850 29	(1,794 34)	S
Total VALERO ENERGY CORP NEW			225 00	8,168 31	3,784 68	(4,383 63)	
VERITAS SOFTWARE CO		10-09-2008	-	-	3 19	3 19	L
VERIZON COMMUNICATIONS INC	11-27-2007	02-13-2009	110.00	4,551 76	3,272 45	(1,279 31)	L
	11-27-2007	06-02-2009	155 00	6,413 84	4,549 12	(1,864 72)	L
	11-27-2007	09-03-2009	125 00	5,172 46	3,758 07	(1,414 39)	L
Total VERIZON COMMUNICATIONS INC			390 00	16,138 06	11,579 64	(4,558 42)	
WAL-MART STORES INC	05-01-2009	07-31-2009	105 00	5,238 69	5,243 98	5 29	S
	05-15-2008	08-06-2009	80 00	4,566 42	3,924 92	(641.50)	L
	10-10-2008	08-06-2009	70 00	3,441 63	3,434 31	(7 32)	S
	05-15-2008	09-25-2009	150 00	8,562 05	7,422 29	(1,139 76)	L
	06-11-2008	09-25-2009	70 00	4,124 25	3,463 73	(660 52)	L
Total WAL-MART STORES INC			475 00	25,933 04	23,489 23	(2,443 81)	
WASTE MGMT INC DEL	11-13-2003	05-19-2009	140 00	3,941 27	3,995 79	54 52	L
	11-13-2003	06-02-2009	145.00	4,082 02	4,157 36	75 34	L
Total WASTE MGMT INC DEL			285 00	8,023 29	8,153 15	129 86	
WELLS FARGO & CO	05-09-2008	10-10-2008	180 00	5,326 99	4,802 25	(524 74)	S
		10-24-2008	120.00	3,673 75	3,701 20	27.45	S
	10-08-2001	11-25-2008	10 00	209 85	258 23	48 38	L
	01-25-2008	11-25-2008	105 00	3,214.54	2,711 47	(503 07)	S
	05-09-2008	11-25-2008	110 00	3,255 39	2,840.58	(414 81)	S
	10-08-2001	12-16-2008	95.00	1,993 57	2,567.98	574 41	L

	10-08-2001	01-13-2009	300 00	6,295 50	7,353 20	1,057 70	L
Total WELLS FARGO & CO			920 00	23,969 59	24,234 91	265 32	
YUM BRANDS INC	08-14-2007	12-16-2008	100.00	3,075 03	2,918 64	(156 39)	L
Net Short Term Gain/(Loss)						(107,435 30)	
Net Long Term Gain/(Loss)						(184,463 40)	
Net Capital Gain Distribution Received						-	
Total Capital Gain (Loss)						(291,898.70)	

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Please do not rely on Wealth Management Online reports to prepare tax returns. This information may change after audits or corrections by Bank of America.

Portions of the estimated annual income may not reflect actual income received or income for tax reporting purposes Income and cost-basis information should not be used to make investment decisions without first consulting your Bank of America professional, personal tax or legal advisor. This information is not intended as an offer to provide trust or investment services in states where Bank of America is not authorized to do so.

Assets for which the Bank has Limited or No Responsibility

This statement may include assets that are not held and not managed by Bank of America Assets that are not held and not managed by Bank of America are listed solely for the convenience of the client. Bank of America has no responsibility to manage, maintain, safekeep, monitor or value such assets.

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Oil, Gas and Mineral Interests

To holders of Oil, Gas and Mineral properties. Market value for Oil, Gas and Mineral properties represents an estimate only, calculated from the most recent 12 months net income from producing properties and includes nominal value applied to non-producing properties.

Standard Realized Capital Gains Report

From: 10-01-2008 to 09-30-2009

1216464 - AGT HAMPTON RDS SHIP #2-CFIM

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Capital Gain/(Loss)	Term
AMERICAN EXPRESS CO	06-06-2007	02-18-2009	75,000 00	72,360.00	70,112.25	(2,247 75)	L
ASSOCIATES CORP NORTH AMER	01-01-1951	11-01-2008	50,000 00	50,000.00	50,000.00	-	L
FEDERAL NATL MTG ASSN		10-31-2008	87 70	87 70	87 70	-	L
		11-30-2008	130 99	130 99	130 99	-	L
	01-01-1951	01-26-2009	70 83	70 83	70.83	-	L
	01-01-1951	01-31-2009	77 20	77.20	77 20	-	L
	01-01-1951	02-28-2009	102 47	102 47	102 47	-	L
	01-01-1951	03-31-2009	88 73	88 73	88 73	-	L
	01-01-1951	04-30-2009	69 04	69 04	69 04	-	L
	01-01-1951	05-31-2009	72 60	72 60	72 60	-	L
	01-01-1951	06-01-2009	100,000 00	100,000 00	101,826 00	1,826 00	L
	01-01-1951	06-30-2009	131.94	131 94	131 94	-	L
	01-01-1951	07-31-2009	69.37	69 37	69 37	-	L
	01-01-1951	08-31-2009	105 22	105 22	105 22	-	L
	01-01-1951	09-30-2009	63 70	63 70	63 70	-	L
Total FEDERAL NATL MTG ASSN			101,069.79	101,069 79	102,895 79	1,826 00	
FPL GROUP CAP INC	01-01-1951	06-01-2009	50,000.00	50,000.00	50,000 00	-	L
GOLDMAN SACHS GROUP INC		11-13-2008	100,000.00	101,975 00	96,001.00	(5,974 00)	L
GTE CALIF INC	04-01-2002	09-01-2009	100,000 00	100,442 00	100,000 00	(442 00)	L
HOUSEHOLD FIN CORP		10-22-2008	75,000 00	75,380 25	70,732 50	(4,647 75)	L

KIMBERLY CLARK CORP	05-27-2004	04-24-2009	100,000 00	100,154 00	105,397 00	5,243 00	L
PROCTER & GAMBLE CO	07-28-2000	09-15-2009	75,000 00	75,471 55	75,000 00	(471.55)	L
UNITED STATES TREAS NT	06-04-2008	11-19-2008	70,000 00	73,355 08	77,128 28	3,773 20	S
	08-16-2007	12-08-2008	65,000 00	64,601 37	73,142 56	8,541 19	L
	06-04-2008	12-08-2008	5,000 00	5,239 65	5,626 35	386 70	S
	10-23-2008	12-18-2008	20,000 00	20,260 22	20,294 48	34 26	S
	10-23-2008	12-18-2008	25,000 00	25,325 28	25,379 80	54 52	S
	05-21-2007	01-07-2009	30,000 00	29,281 64	33,831 93	4,550 29	L
	08-16-2007	01-07-2009	35,000 00	34,785 35	39,470 59	4,685 24	L
	05-01-2007	01-08-2009	75,000 00	77,765 63	81,263 42	3,497 79	L
	03-07-2008	01-22-2009	50,000 00	54,394 53	55,665 85	1,271 32	S
	05-03-2007	01-29-2009	60,000 00	59,775 00	68,802 89	9,027 89	L
	02-19-2008	02-15-2009	150,000 00	151,634 77	150,000.00	(1,634 77)	S
	11-04-2008	02-15-2009	50,000 00	50,314 62	50,000.00	(314 62)	S
	04-26-2007	03-05-2009	25,000 00	24,889 65	28,524 31	3,634 66	L
	05-03-2007	03-05-2009	35,000 00	34,868 75	39,934 04	5,065.29	L
	05-01-2007	04-28-2009	25,000 00	25,921.87	26,666 99	745 12	L
	10-05-2007	04-28-2009	25,000.00	25,270 51	25,582.03	311 52	L
	10-23-2008	05-15-2009	15,000.00	15,195 17	15,000 00	(195 17)	S
	03-07-2008	05-29-2009	25,000 00	27,197 27	27,165 93	(31 34)	L
	05-01-2007	06-01-2009	65,000.00	67,396 88	69,036 89	1,640 01	L
	04-26-2007	06-08-2009	25,000 00	24,889 65	26,784 18	1,894 53	L
Total UNITED STATES TREAS NT			875,000 00	892,362 89	939,300 52	46,937 63	
WAL MART STORES INC	02-16-2000	08-10-2009	50,000.00	47,744 39	50,000 00	2,255 61	L
Net Short Term Gain/(Loss)						3,375 44	
Net Long Term Gain/(Loss)						39,103 75	
Net Capital Gain Distribution Received						-	
Total Capital Gain (Loss)						42,479.19	

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Assets for which the Bank has Limited or No Responsibility

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Oil, Gas and Mineral Interests

To holders of Oil, Gas and Mineral properties: Market value for Oil, Gas and Mineral properties represents an estimate only, calculated from the most recent 12 months net income from producing properties and includes nominal value applied to non-producing properties.

Standard Realized Capital Gains Report

From: 10-01-2008 to 09-30-2009

0965707 - AGT HAMPTON RDS SHIP #2-COL-LCV

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Capital Gain/(Loss)	Term
ABBOTT LABS	10-09-2008	03-11-2009	6.00	317.95	269 91	(48 04)	S
	10-09-2008	03-11-2009	16.00	868.15	717.88	(150 27)	S
	10-09-2008	03-11-2009	23.00	1,218.82	1,031.94	(186.88)	S
	11-13-2008	03-11-2009	6.00	339.25	269.20	(70.05)	S
	09-11-2008	04-17-2009	2.00	117.85	86.66	(31.19)	S
	11-13-2008	04-17-2009	59.00	3,335 97	2,556.56	(779 41)	S
	09-11-2008	07-09-2009	27.00	1,598 60	1,236 60	(362.00)	S
	09-11-2008	07-09-2009	91.00	5,362.18	4,167.81	(1,194.37)	S
Total ABBOTT LABS			230.00	13,158.77	10,336.56	(2,822.21)	
ACE LTD	03-15-2007	10-09-2008	1.00	54.10	46.79	(7 31)	L
	03-15-2007	10-09-2008	4.00	216 40	184 73	(31.67)	L
	03-15-2007	10-09-2008	17.00	919.70	727.15	(192 55)	L
	03-15-2007	10-09-2008	20.00	1,082.00	940 21	(141.79)	L
	03-15-2007	10-09-2008	60.00	3,295.86	2,820.71	(475 15)	L
	03-15-2007	10-09-2008	61.00	3,350.79	2,867.65	(483.14)	L
	03-16-2007	10-09-2008	27.00	1,484.59	1,269.32	(215.27)	L
	03-19-2007	10-09-2008	6.00	333.63	282.07	(51.56)	L
	03-19-2007	10-09-2008	7.00	387.84	329 08	(58.76)	L
	03-19-2007	10-10-2008	2.00	111.21	83 94	(27 27)	L
	03-19-2007	10-13-2008	3.00	166.81	131.47	(35.34)	L
	03-20-2007	10-13-2008	1.00	56.13	43 82	(12 31)	L
	03-20-2007	10-13-2008	6.00	336.77	272 16	(64 61)	L
	03-20-2007	10-13-2008	8.00	446.40	350 57	(95.83)	L
	03-20-2007	05-08-2009	34.00	1,908.36	1,449 77	(458 59)	L
	03-20-2007	05-08-2009	48.00	2,694.15	2,075.27	(618.88)	L
	06-25-2007	05-08-2009	18.00	1,121.93	782.39	(339.54)	L
	08-07-2007	05-08-2009	10.00	599.29	432 35	(166 94)	L
	08-07-2007	05-08-2009	40.00	2,397.14	1,738.66	(658.48)	L
Total ACE LTD			373.00	20,963.10	16,828.11	(4,134 99)	

AMGEN INC	06-27-2008	02-06-2009	9.00	423.14	519.83	96.69	S
	07-09-2008	02-06-2009	91.00	4,666.10	5,256.04	589.94	S
	06-27-2008	03-06-2009	22.00	1,034.33	1,025.13	(9.20)	S
	06-27-2008	03-06-2009	153 00	7,193.29	7,095.72	(97.57)	S
	06-27-2008	07-08-2009	77.00	3,620 16	4,603.82	983 66	L
	06-27-2008	08-12-2009	53.00	2,491.79	3,302.73	810.94	L
Total AMGEN INC			405.00	19,428 81	21,803 27	2,374 46	
AON CORP	11-11-2008	03-11-2009	2.00	80.97	75.01	(5.96)	S
	11-11-2008	03-11-2009	133.00	5,333.79	4,988.30	(345 49)	S
Total AON CORP			135.00	5,414.76	5,063.31	(351 45)	
AT&T INC	02-09-2006	04-17-2009	149.00	4,045.35	3,912 22	(133.13)	L
	02-09-2006	05-08-2009	13.00	352.95	329.35	(23.60)	L
	02-09-2006	05-08-2009	192.00	5,212.80	4,846.90	(365.90)	L
	02-09-2006	09-18-2009	314.00	8,525.10	8,334.35	(190.75)	L
Total AT&T INC			668.00	18,136.20	17,422.82	(713 38)	
AVON PRODS INC	03-11-2009	04-23-2009	109.00	1,754.15	2,379.92	625 77	S
	03-11-2009	05-14-2009	61.00	981 68	1,385.67	403 99	S
	01-31-2008	05-15-2009	42.00	1,459.66	969.17	(490.49)	L
	03-11-2009	05-15-2009	2.00	32.19	45 96	13.77	S
	03-11-2009	05-15-2009	46 00	740.28	1,061 47	321 19	S
	01-31-2008	05-18-2009	68.00	2,363.26	1,596 97	(766 29)	L
Total AVON PRODS INC			328.00	7,331.22	7,439 16	107.94	
BED BATH & BEYOND INC	03-11-2009	04-09-2009	27.00	598.28	848.21	249.93	S
	03-11-2009	04-09-2009	30 00	664 76	927 81	263 05	S
	03-11-2009	04-09-2009	43.00	952 82	1,345.56	392.74	S
	03-11-2009	04-13-2009	89.00	1,972.10	2,806.55	834 45	S
Total BED BATH & BEYOND INC			189.00	4,187.96	5,928.13	1,740 17	
BMC SOFTWARE INC	09-19-2008	03-16-2009	5.00	167.31	145.50	(21 81)	S
	10-13-2008	03-16-2009	5.00	132.24	146.14	13.90	S
	10-13-2008	03-16-2009	15.00	395.78	436.50	40 72	S
	10-13-2008	03-16-2009	100.00	2,644 90	2,910.03	265 13	S
	09-19-2008	04-16-2009	72 00	2,409 21	2,379 70	(29.51)	S
Total BMC SOFTWARE INC			197.00	5,749 44	6,017 87	268.43	

CARNIVAL CORP	07-23-2008	11-20-2008	60 00	2,357.98	956.17	(1,401.81)	S
	08-15-2008	11-20-2008	82 00	3,251.38	1,306 77	(1,944.61)	S
	05-30-2008	11-21-2008	5.00	199.37	76 19	(123.18)	S
	08-15-2008	11-21-2008	40 00	1,586 04	609 50	(976 54)	S
	08-15-2008	11-21-2008	68 00	2,706.19	1,036 16	(1,670.03)	S
Total CARNIVAL CORP			255 00	10,100 96	3,984 79	(6,116 17)	
CHEVRON CORP	08-15-2008	01-06-2009	155 00	13,043 85	11,955 97	(1,087 88)	S
CIGNA CORP		11-13-2008	2.00	82.55	26.11	(56.44)	L
		11-13-2008	15.00	619 14	194.97	(424 17)	L
		11-13-2008	43.00	1,774.86	563.15	(1,211 71)	L
		11-13-2008	78.00	3,263.64	1,021.53	(2,242 11)	L
		11-13-2008	135.00	5,586.19	1,768 03	(3,818.16)	L
	12-05-2007	11-14-2008	35.00	1,936 86	464.50	(1,472 36)	S
		11-14-2008	72.00	3,012.60	955.54	(2,057 06)	L
Total CIGNA CORP			380 00	16,275.84	4,993 83	(11,282.01)	
CITIGROUP INC	05-02-2008	10-10-2008	50.00	1,335.67	693.60	(642.07)	S
	09-19-2008	10-10-2008	6.00	124.18	77.97	(46.21)	S
	09-19-2008	10-10-2008	114.00	2,359.45	1,581.41	(778.04)	S
	05-02-2008	11-11-2008	68.00	1,816.50	728.49	(1,088.01)	S
	05-02-2008	11-11-2008	142.00	3,793.29	1,516.72	(2,276.57)	S
	02-09-2006	01-14-2009	156.00	7,136 16	706.77	(6,429.39)	L
	03-23-2006	01-14-2009	490.00	23,447.09	2,219.99	(21,227.10)	L
	04-28-2006	01-14-2009	60.00	2,963.16	271.83	(2,691.33)	L
	05-02-2008	01-14-2009	270.00	7,212.59	1,223.25	(5,989 34)	S
Total CITIGROUP INC			1,356.00	50,188.09	9,020.03	(41,168.06)	
CONAGRA FOODS INC		11-11-2008	57.00	1,403.09	928.79	(474 30)	L
		11-11-2008	129.00	3,175.40	2,095.55	(1,079 85)	L
		11-12-2008	1 00	24.94	16 08	(8.86)	L
		11-12-2008	4.00	98.46	63 23	(35.23)	L
		11-12-2008	28.00	698.33	442.58	(255.75)	L
		11-13-2008	32.00	798 09	492.68	(305 41)	L
		11-14-2008	65.00	1,621.13	998.74	(622.39)	L

		11-17-2008	30.00	748.21	461.47	(286.74)	L
	04-18-2007	11-18-2008	32.00	802.08	494.02	(308.06)	L
	05-15-2007	11-18-2008	34.00	847.98	524.90	(323.08)	L
	04-18-2007	11-19-2008	37.00	927.41	574.60	(352.81)	L
	04-17-2007	11-20-2008	5.00	125.48	70.31	(55 17)	L
	04-18-2007	11-20-2008	41.00	1,027.66	576.52	(451.14)	L
	04-17-2007	01-06-2009	231.00	5,797.39	3,874.08	(1,923.31)	L
	05-22-2007	01-06-2009	9.00	226 88	150 94	(75 94)	L
	05-22-2007	01-06-2009	84 00	2,126.17	1,408 76	(717.41)	L
	05-23-2007	01-06-2009	9.00	231 29	150.94	(80.35)	L
	05-23-2007	01-06-2009	23.00	585.35	385.73	(199.62)	L
	05-23-2007	01-06-2009	45.00	1,156.16	754.69	(401.47)	L
	05-30-2007	01-06-2009	20.00	509.29	335.42	(173.87)	L
	07-06-2007	01-06-2009	70.00	1,901.50	1,173.96	(727.54)	L
	07-09-2007	01-06-2009	116.00	3,153.03	1,945.42	(1,207.61)	L
	07-10-2007	01-06-2009	44.00	1,191.08	737 92	(453.16)	L
Total CONAGRA FOODS INC			1,146.00	29,176.40	18,657.33	(10,519 07)	
CONOCOPHILLIPS	02-09-2006	01-06-2009	185.00	10,974.81	10,287.96	(686.85)	L
	02-09-2006	04-16-2009	16.00	949.17	628 78	(320.39)	L
	02-09-2006	04-16-2009	199.00	11,805.34	7,900.27	(3,905.07)	L
	06-29-2006	04-16-2009	19.00	1,243 02	754.30	(488.72)	L
	06-29-2006	04-23-2009	20.00	1,308.44	793.85	(514.59)	L
	06-29-2006	04-23-2009	22.00	1,450.60	873.23	(577.37)	L
	06-30-2006	04-23-2009	24.00	1,577.79	952 61	(625.18)	L
	06-30-2006	04-23-2009	59.00	3,882 76	2,341.85	(1,540.91)	L
	07-05-2006	04-23-2009	66.00	4,371 90	2,619.69	(1,752.21)	L
Total CONOCOPHILLIPS			610.00	37,563.83	27,152.54	(10,411.29)	
COOPER INDS LTD	03-13-2009	05-14-2009	30.00	714.87	999.28	284.41	S
	03-13-2009	05-15-2009	3.00	71.49	100.41	28.92	S
	03-13-2009	05-15-2009	6.00	142.97	194.78	51.81	S
	03-13-2009	05-18-2009	9.00	214 46	301.52	87 06	S
	03-13-2009	05-18-2009	9 00	214.46	301.40	86.94	S
	03-13-2009	05-19-2009	9.00	214.46	304.64	90.18	S
	03-13-2009	05-20-2009	5.00	119.15	171.82	52.67	S
	03-13-2009	05-21-2009	6.00	142 97	189.76	46.79	S
	03-13-2009	05-22-2009	2.00	47.66	64 35	16.69	S

	03-13-2009	05-26-2009	8.00	190.63	261.69	71.06	S
	03-11-2009	07-15-2009	17 00	377.86	512.45	134 59	S
	03-11-2009	07-15-2009	26.00	572 20	784.41	212 21	S
	03-11-2009	07-15-2009	134.00	2,978.42	4,042.75	1,064.33	S
	03-12-2009	07-15-2009	100.00	2,312 28	3,014 44	702 16	S
	03-13-2009	07-15-2009	31.00	738 71	934.47	195.76	S
Total COOPER INDS LTD			395.00	9,052.59	12,178.17	3,125.58	
DIAGEO PLC	02-09-2006	04-17-2009	100.00	5,990.00	4,707 00	(1,283 00)	L
	02-09-2006	04-23-2009	59.00	3,534 10	2,707.20	(826.90)	L
	02-09-2006	05-08-2009	156.00	9,344.40	8,193 77	(1,150 63)	L
Total DIAGEO PLC			315.00	18,868.50	15,607.97	(3,260 53)	
DU PONT E I DE NEMOURS & CO	01-09-2008	03-11-2009	28.00	1,263.62	513.69	(749.93)	L
	01-09-2008	03-11-2009	50.00	2,239 07	906 45	(1,332 62)	L
	01-09-2008	03-11-2009	85.00	3,806.42	1,559.42	(2,247 00)	L
	01-10-2008	03-11-2009	20.00	904.41	366 92	(537 49)	L
	01-10-2008	03-12-2009	82 00	3,708.07	1,509.28	(2,198.79)	L
Total DU PONT E I DE NEMOURS & CO			265.00	11,921 59	4,855.76	(7,065.83)	
EATON CORP	03-11-2009	05-14-2009	6.00	193.35	271 30	77.95	S
	03-11-2009	05-14-2009	28.00	902.31	1,263.83	361 52	S
	04-09-2009	05-14-2009	44.00	1,939.13	1,986.02	46 89	S
	03-11-2009	05-21-2009	21.00	676 74	933.83	257.09	S
	03-11-2009	05-22-2009	5 00	161.13	223.87	62.74	S
	03-11-2009	05-26-2009	27 00	870 09	1,185.38	315.29	S
Total EATON CORP			131.00	4,742.75	5,864.23	1,121 48	
EL PASO CORP	10-01-2008	11-20-2008	132.00	1,630.08	764.34	(865 74)	S
	09-19-2008	11-21-2008	16.00	230 24	92 05	(138.19)	S
	10-01-2008	11-21-2008	233.00	2,877.34	1,340 41	(1,536.93)	S
	09-19-2008	11-24-2008	189 00	2,719 67	1,260.77	(1,458 90)	S
	05-22-2008	04-23-2009	123 00	2,509.16	847 36	(1,661 80)	S
	09-19-2008	04-23-2009	150.00	2,158.47	1,033.37	(1,125.10)	S
	05-22-2008	04-24-2009	272.00	5,548.72	1,878.76	(3,669.96)	S
Total EL PASO CORP			1,115.00	17,673.68	7,217.06	(10,456.62)	
EXELON CORP	01-26-2009	07-24-2009	4.00	227 43	215.38	(12.05)	S

	01-26-2009	07-24-2009	23 00	1,307 72	1,222 06	(85 66)	S
	01-26-2009	07-24-2009	78.00	4,434.87	4,199 89	(234.98)	S
Total EXELON CORP			105.00	5,970.02	5,637.33	(332.69)	
EXXON MOBIL CORP	05-10-2007	01-06-2009	190.00	15,197.70	15,230.33	32.63	L
	02-09-2006	03-16-2009	66 00	3,962.14	4,455.86	493.72	L
Total EXXON MOBIL CORP			256 00	19,159.84	19,686.19	526.35	
FPL GROUP INC	01-26-2009	07-13-2009	97.00	4,763.92	5,240.16	476.24	S
FREEPORT-MCMORAN COPPER & GOLD	10-01-2008	04-08-2009	23.00	1,231.23	934.47	(296.76)	S
	10-09-2008	04-08-2009	1.00	38 21	40.63	2.42	S
	10-09-2008	04-08-2009	4.00	154.03	162.52	8.49	S
	10-09-2008	04-08-2009	13 00	548.39	528 18	(20.21)	S
	10-09-2008	04-08-2009	62.00	2,636 78	2,519 00	(117.78)	S
	09-19-2008	04-24-2009	14 00	997.15	569 30	(427.85)	S
	10-01-2008	04-24-2009	37 00	1,980.67	1,504.59	(476 08)	S
	08-20-2008	05-14-2009	4.00	351.96	186 50	(165.46)	S
	09-19-2008	05-14-2009	71.00	5,056.99	3,310.43	(1,746 56)	S
	08-20-2008	06-03-2009	9.00	796.10	479.52	(316.58)	S
	08-20-2008	06-03-2009	17.00	1,495.85	902.94	(592.91)	S
	08-20-2008	06-03-2009	18.00	1,592.19	956.05	(636.14)	S
	08-21-2008	06-03-2009	25.00	2,336.98	1,332.00	(1,004.98)	S
	08-22-2008	06-03-2009	40 00	3,661.11	2,131.19	(1,529.92)	S
Total FREEPORT-MCMORAN COPPER & GOLD			338.00	22,877.64	15,557 32	(7,320.32)	
GENERAL ELEC CO	02-09-2006	01-13-2009	570.00	18,758.70	8,521.17	(10,237.53)	L
	02-09-2006	01-14-2009	19.00	625.29	267.72	(357.57)	L
	06-15-2007	01-14-2009	135.00	5,137.29	1,902.22	(3,235.07)	L
	06-19-2007	01-14-2009	48 00	1,884.20	676.35	(1,207.85)	L
	06-19-2007	01-14-2009	117.00	4,592.73	1,649.95	(2,942.78)	L
Total GENERAL ELEC CO			889.00	30,998.21	13,017.41	(17,980.80)	
GENERAL MLS INC	01-06-2009	03-11-2009	21.00	1,250.23	1,040.42	(209.81)	S
	01-06-2009	03-11-2009	33.00	1,963.03	1,634.94	(328.09)	S
	11-21-2008	04-09-2009	105 00	6,663.27	5,321.76	(1,341.51)	S
	01-06-2009	04-09-2009	21.00	1,250.22	1,064.35	(185.87)	S

Total GENERAL MLS INC			180.00	11,126.75	9,061 47	(2,065.28)	
GOLDMAN SACHS GROUP INC	10-13-2008	03-27-2009	3.00	304.46	329 47	25.01	S
	10-13-2008	03-27-2009	8 00	811.90	876.97	65.07	S
Total GOLDMAN SACHS GROUP INC			11.00	1,116.36	1,206.44	90.08	
HALLIBURTON CO	07-26-2006	03-20-2009	148.00	4,730.66	2,510.55	(2,220 11)	L
	08-16-2007	03-20-2009	105.00	3,343.32	1,781.14	(1,562.18)	L
	10-09-2008	03-20-2009	27.00	554.28	458.01	(96.27)	S
	10-09-2008	03-20-2009	143 00	2,960.39	2,425.74	(534.65)	S
	10-13-2008	03-20-2009	75.00	1,533.96	1,272.24	(261.72)	S
Total HALLIBURTON CO			498.00	13,122.61	8,447.68	(4,674.93)	
HESS CORP	01-06-2009	05-21-2009	49.00	2,840.53	2,986.38	145.85	S
	01-06-2009	09-01-2009	47.00	2,715 30	2,342.83	(372 47)	S
	09-11-2008	09-24-2009	22.00	1,874.37	1,177.21	(697.16)	L
	09-11-2008	09-24-2009	36.00	3,067.14	1,933.15	(1,133 99)	L
	09-11-2008	09-24-2009	59.00	5,026.71	3,166.57	(1,860 14)	L
	09-17-2008	09-24-2009	45.00	3,727.50	2,407.93	(1,319 57)	L
	10-01-2008	09-24-2009	35.00	2,830.03	1,872 84	(957.19)	S
	01-06-2009	09-24-2009	39 00	2,253.12	2,086.87	(166.25)	S
	01-06-2009	09-24-2009	60.00	3,478 20	3,210 58	(267.62)	S
	09-11-2008	09-25-2009	8.00	681.59	426.82	(254.77)	L
	09-19-2008	09-25-2009	60 00	5,425.64	3,201.11	(2,224 53)	L
	09-22-2008	09-25-2009	35 00	3,560.28	1,867.32	(1,692.96)	L
Total HESS CORP			495.00	37,480.41	26,679.61	(10,800.80)	
HEWLETT PACKARD CO	02-09-2006	10-06-2008	223.00	7,051.26	9,128 54	2,077.28	L
	07-26-2006	10-06-2008	157.00	5,022.94	6,426.82	1,403.88	L
	02-09-2006	03-19-2009	310.00	9,802 20	9,019.62	(782 58)	L
Total HEWLETT PACKARD CO			690.00	21,876 40	24,574.98	2,698.58	
INTEL CORP	11-14-2007	11-20-2008	115.00	2,987.24	1,444.26	(1,542.98)	L
	10-01-2008	11-20-2008	250 00	4,619 95	3,139 68	(1,480.27)	S
Total INTEL CORP			365.00	7,607 19	4,583.94	(3,023.25)	
J P MORGAN CHASE & CO	01-23-2008	10-03-2008	36 00	1,649 21	1,668 28	19.07	S
	01-23-2008	10-03-2008	53.00	2,428.00	2,494 54	66 54	S

	03-31-2006	10-06-2008	25.00	1,045.56	1,074.96	29.40	L
	03-31-2006	10-06-2008	148.00	6,189.70	6,390.89	201.19	L
	03-30-2006	02-23-2009	55 00	2,276.05	1,096.58	(1,179.47)	L
	03-30-2006	02-23-2009	225.00	9,311.13	4,537.64	(4,773.49)	L
	03-31-2006	02-23-2009	21.00	878 27	423.51	(454 76)	L
	11-14-2007	02-23-2009	12.00	542 60	242.01	(300.59)	L
	01-28-2009	02-23-2009	5 00	136 94	99 69	(37.25)	S
Total J P MORGAN CHASE & CO			580.00	24,457.46	18,028 10	(6,429.36)	
JOHNSON & JOHNSON	01-03-2007	10-01-2008	6.00	401.32	405.73	4.41	L
	01-03-2007	10-01-2008	23.00	1,538 37	1,559 12	20 75	L
	01-04-2007	10-01-2008	21.00	1,402 42	1,423.54	21 12	L
	01-04-2007	10-01-2008	125.00	8,414 90	8,452 69	37 79	L
	11-14-2007	10-01-2008	70 00	4,695.24	4,733 51	38 27	S
	01-09-2007	07-09-2009	226.00	14,972.05	12,774 59	(2,197 46)	L
Total JOHNSON & JOHNSON			471.00	31,424 30	29,349 18	(2,075.12)	
KOHLS CORP	06-18-2008	10-06-2008	45.00	1,989.32	1,711 62	(277 70)	S
	07-23-2008	10-06-2008	6.00	263.77	228.22	(35.55)	S
	07-23-2008	10-06-2008	44.00	1,920.48	1,673 58	(246.90)	S
	06-18-2008	05-14-2009	92.00	4,067 06	3,867.55	(199.51)	S
	06-18-2008	05-15-2009	7 00	309.45	293.89	(15 56)	S
	06-18-2008	05-15-2009	14.00	618 90	578.60	(40.30)	S
	06-18-2008	05-18-2009	47.00	2,077.74	1,989 73	(88 01)	S
	10-01-2008	06-04-2009	59.00	2,635.36	2,684 35	48.99	S
	06-18-2008	06-19-2009	90.00	3,978.65	3,935.77	(42 88)	L
	10-01-2008	06-19-2009	26.00	1,161.35	1,137.00	(24.35)	S
Total KOHLS CORP			430 00	19,022 08	18,100 31	(921.77)	
L-3 COMMUNICATIONS HLDGS INC	07-30-2008	10-01-2008	4 00	391.05	388 16	(2 89)	S
	07-30-2008	10-02-2008	31.00	3,030.67	2,993.12	(37.55)	S
	07-30-2008	10-03-2008	10.00	977.64	948 36	(29 28)	S
	11-21-2008	03-11-2009	1 00	61.69	59.53	(2.16)	S
	11-21-2008	03-11-2009	17.00	1,048.74	1,001.39	(47 35)	S
	11-21-2008	03-12-2009	21.00	1,295 50	1,240.05	(55 45)	S
	10-23-2006	09-17-2009	70.00	5,428 75	5,560 78	132.03	L
	01-05-2009	09-17-2009	1.00	76 92	79 44	2 52	S
Total L-3 COMMUNICATIONS HLDGS INC			155.00	12,310 96	12,270.83	(40.13)	

LAM RESEARCH CORP	07-15-2009	07-30-2009	50.00	1,465.06	1,566.41	101.35	S
LINCOLN NATL CORP IND	09-16-2008	11-11-2008	1.00	47.77	16.62	(31.15)	S
	10-03-2008	11-11-2008	51.00	2,010.44	847.85	(1,162.59)	S
	10-03-2008	11-11-2008	89.00	3,608.83	1,479.59	(2,129.24)	S
	09-15-2008	11-12-2008	30.00	1,489.35	449.31	(1,040.04)	S
	09-16-2008	11-12-2008	1.00	47.76	14 98	(32.78)	S
	09-16-2008	11-12-2008	50.00	2,431.79	748 86	(1,682.93)	S
	09-11-2008	11-13-2008	9.00	451 95	127.38	(324.57)	S
	09-11-2008	11-13-2008	30.00	1,501.12	424.62	(1,076.50)	S
	09-12-2008	11-13-2008	15.00	769.56	212 31	(557.25)	S
	09-15-2008	11-13-2008	9.00	446.81	127 39	(319.42)	S
	09-19-2008	11-13-2008	3.00	165.63	42.46	(123.17)	S
	09-19-2008	11-13-2008	50.00	2,755.09	707 70	(2,047.39)	S
	09-19-2008	11-13-2008	62.00	3,525 49	877.54	(2,647 95)	S
Total LINCOLN NATL CORP IND			400.00	19,251.59	6,076.61	(13,174.98)	
LORILLARD INC	08-09-2007	10-01-2008	47.00	3,448.20	3,296 81	(151.39)	L
	08-09-2007	10-02-2008	15.00	1,100.49	1,020.30	(80.19)	L
	08-09-2007	10-03-2008	21.00	1,540 68	1,412 35	(128.33)	L
	08-09-2007	10-06-2008	10.00	733.66	623.31	(110.35)	L
	08-09-2007	10-07-2008	37.00	2,714.54	2,362.01	(352.53)	L
	08-09-2007	12-16-2008	25.00	1,834.15	1,377.62	(456 53)	L
	08-29-2007	12-16-2008	2.00	150.27	110.21	(40 06)	L
	02-22-2008	12-16-2008	67.00	4,966.61	3,692.03	(1,274.58)	S
	02-25-2008	12-16-2008	6.00	448.81	330.63	(118 18)	S
	02-26-2008	12-16-2008	10.00	764.05	551.05	(213 00)	S
	10-02-2007	01-05-2009	16.00	1,363.13	894.14	(468.99)	L
	10-02-2007	01-05-2009	17.00	1,448.32	948 15	(500 17)	L
	02-26-2008	01-05-2009	7.00	534.83	390.41	(144.42)	S
	10-02-2007	01-06-2009	7.00	596.37	378 78	(217.59)	L
	10-03-2007	01-06-2009	4.00	341.94	216.45	(125.49)	L
	10-03-2007	01-07-2009	7.00	598 39	376 21	(222.18)	L
	10-03-2007	01-08-2009	1.00	85.48	54.70	(30.78)	L
	10-03-2007	01-08-2009	28.00	2,396.78	1,531.64	(865.14)	L
Total LORILLARD INC			327.00	25,066.70	19,566.80	(5,499.90)	

MARSH & MCLENNAN COS INC	06-27-2008	03-11-2009	125.00	3,349.46	2,232.78	(1,116 68)	S
	06-27-2008	07-31-2009	11.00	294 75	223 93	(70.82)	L
	06-27-2008	07-31-2009	55.00	1,473.77	1,120.18	(353.59)	L
	06-27-2008	08-03-2009	12.00	321.55	247 66	(73.89)	L
	06-27-2008	08-03-2009	113.00	3,027.92	2,338 09	(689.83)	L
Total MARSH & MCLENNAN COS INC			316.00	8,467.45	6,162.64	(2,304.81)	
MCDERMOTT INTL INC	09-17-2008	11-20-2008	110.00	3,172.80	704.02	(2,468 78)	S
	09-19-2008	11-20-2008	70 00	2,241.25	448.01	(1,793 24)	S
	09-22-2008	11-20-2008	30.00	988.16	192.00	(796.16)	S
	10-01-2008	11-20-2008	155.00	3,896.27	992.03	(2,904.24)	S
	10-03-2008	11-20-2008	10 00	220 61	63 04	(157.57)	S
	10-03-2008	11-20-2008	365.00	8,052.38	2,336.06	(5,716.32)	S
	01-22-2008	11-21-2008	4.00	171.07	25.59	(145.48)	S
	01-22-2008	11-21-2008	126.00	5,404.79	806.13	(4,598.66)	S
	07-01-2008	11-21-2008	110.00	6,686.19	703.77	(5,982.42)	S
	09-22-2008	11-21-2008	230.00	7,575.85	1,471.51	(6,104.34)	S
Total MCDERMOTT INTL INC			1,210.00	38,409 37	7,742 16	(30,667.21)	
MCDONALDS CORP	02-09-2006	03-11-2009	103.00	3,746.11	5,252 22	1,506 11	L
	02-09-2006	03-16-2009	73 00	2,655.01	3,816.82	1,161.81	L
Total MCDONALDS CORP			176.00	6,401 12	9,069 04	2,667 92	
MEDCO HLTH SOLUTIONS INC	04-30-2008	08-19-2009	35.00	1,738.92	1,892 80	153.88	L
	06-09-2008	08-19-2009	2.00	97.99	108 16	10 17	L
Total MEDCO HLTH SOLUTIONS INC			37.00	1,836.91	2,000 96	164.05	
MERCK & CO INC	02-09-2007	01-06-2009	95.00	4,178.92	2,841.74	(1,337 18)	L
	02-12-2007	01-06-2009	100 00	4,402 77	2,991 30	(1,411 47)	L
	02-13-2007	01-06-2009	109 00	4,793.83	3,260 52	(1,533 31)	L
	02-14-2007	01-06-2009	81 00	3,570.50	2,422 96	(1,147.54)	L
	10-26-2007	01-06-2009	70 00	4,016.05	2,093 91	(1,922 14)	L
Total MERCK & CO INC			455.00	20,962.07	13,610 43	(7,351.64)	
MONSANTO CO	10-09-2008	07-01-2009	2.00	172 18	148 68	(23.50)	S
	10-09-2008	07-01-2009	15.00	1,286 22	1,115 08	(171.14)	S
	12-10-2008	07-01-2009	30.00	2,504 08	2,230 17	(273.91)	S

Total MONSANTO CO			47.00	3,962.48	3,493.93	(468.55)	
MORGAN STANLEY	03-11-2009	07-02-2009	121 00	2,777 87	3,288 80	510 93	S
	03-23-2009	07-02-2009	37.00	847 40	1,005.67	158.27	S
	03-23-2009	07-06-2009	54.00	1,202.49	1,435 27	232.78	S
	03-23-2009	07-06-2009	93 00	2,129.94	2,471 85	341 91	S
Total MORGAN STANLEY			305.00	6,957 70	8,201.59	1,243.89	
NAVISTAR INTL CORP NEW	04-16-2009	06-26-2009	11.00	401.81	524 98	123.17	S
	04-17-2009	06-26-2009	4.00	146 77	190.90	44 13	S
	04-17-2009	06-26-2009	5 00	183.46	238 63	55 17	S
	04-17-2009	06-26-2009	12.00	448 95	572 71	123 76	S
Total NAVISTAR INTL CORP NEW			32.00	1,180.99	1,527.22	346.23	
NORDSTROM INC	04-24-2009	08-12-2009	5.00	113.13	147 46	34.33	S
	04-24-2009	08-12-2009	28.00	633.35	825.76	192 41	S
	05-07-2009	08-12-2009	18.00	419.67	526.97	107 30	S
	05-07-2009	08-12-2009	100.00	2,331.52	2,929.70	598 18	S
	05-07-2009	08-12-2009	119.00	2,774.51	3,509.48	734.97	S
	05-08-2009	08-12-2009	34.00	762.58	1,002 71	240 13	S
Total NORDSTROM INC			304 00	7,034.76	8,942.08	1,907 32	
NUCOR CORP	08-17-2007	06-03-2009	15.00	730 35	671 50	(58.85)	L
	12-10-2008	06-03-2009	45.00	1,912.25	2,014.52	102.27	S
	08-17-2007	07-15-2009	90.00	4,382 08	3,897.29	(484.79)	L
	07-30-2008	07-15-2009	40.00	2,367.92	1,732.13	(635.79)	S
	10-03-2008	07-15-2009	125.00	4,624.95	5,412.89	787 94	S
	03-19-2009	07-15-2009	12.00	462 87	519.64	56 77	S
	03-19-2009	07-15-2009	35.00	1,337.69	1,515.61	177 92	S
Total NUCOR CORP			362.00	15,818.11	15,763.58	(54 53)	
PHILIP MORRIS INTL INC	02-09-2006	04-17-2009	77.00	2,936 08	2,877 30	(58.78)	L
PPL CORP	06-13-2006	01-26-2009	195.00	6,146.27	6,333 02	186.75	L
	06-13-2006	01-27-2009	7.00	220 63	224 53	3 90	L
	06-13-2006	01-27-2009	11 00	346.71	354.90	8 19	L
	06-13-2006	01-27-2009	174.00	5,484.36	5,530.61	46 25	L

	06-13-2006	01-28-2009	18.00	567.35	571.67	4.32	L
	06-14-2006	01-28-2009	12.00	373.80	381.11	7.31	L
	06-14-2006	01-28-2009	39.00	1,211.76	1,238.61	26.85	L
	06-14-2006	01-28-2009	49 00	1,531.54	1,556.20	24 66	L
	06-15-2006	01-28-2009	95 00	2,991.98	3,017.12	25.14	L
Total PPL CORP			600.00	18,874 40	19,207.77	333.37	
PROCTER & GAMBLE CO	04-30-2008	10-01-2008	35.00	2,371.15	2,480.99	109.84	S
	07-10-2008	08-26-2009	70.00	4,476 55	3,740 21	(736.34)	L
	11-21-2008	08-26-2009	75.00	4,622.47	4,007 36	(615.11)	S
Total PROCTER & GAMBLE CO			180.00	11,470 17	10,228 56	(1,241 61)	
PRUDENTIAL FINL INC	01-28-2009	05-20-2009	27 00	902.31	1,127.97	225 66	S
	01-28-2009	05-21-2009	13 00	434.44	524.80	90.36	S
	01-28-2009	05-22-2009	14.00	467.86	568 10	100.24	S
	01-28-2009	05-28-2009	11.00	367.61	429.17	61.56	S
	01-28-2009	05-29-2009	10.00	334 19	389.71	55 52	S
	01-28-2009	06-01-2009	6.00	200.51	233 03	32.52	S
	01-28-2009	06-02-2009	10.00	334.19	419 85	85 66	S
	01-28-2009	06-04-2009	4.00	133.68	167.83	34.15	S
	01-28-2009	06-04-2009	5 00	167.09	211.11	44.02	S
	01-28-2009	06-05-2009	3.00	100.26	128.22	27 96	S
Total PRUDENTIAL FINL INC			103.00	3,442.14	4,199.79	757 65	
PUBLIC SVC ENTERPRISE GROUP	11-07-2006	10-06-2008	260.00	8,088 30	7,746 76	(341.54)	L
	11-27-2006	10-06-2008	100.00	3,261.38	2,979 53	(281.85)	L
	11-28-2006	10-06-2008	73.00	2,385.37	2,175.05	(210.32)	L
	11-28-2006	10-07-2008	5.00	163 38	145.23	(18.15)	L
	11-28-2006	10-07-2008	140.00	4,597.43	4,066.34	(531.09)	L
	11-29-2006	10-07-2008	172.00	5,721.25	4,995.80	(725.45)	L
Total PUBLIC SVC ENTERPRISE GROUP			750.00	24,217.11	22,108.71	(2,108.40)	
RAYONIER INC	02-22-2008	07-06-2009	20 00	837.05	685.48	(151.57)	L
	02-29-2008	07-06-2009	41 00	1,752 73	1,405 22	(347 51)	L
	03-03-2008	07-06-2009	17.00	730.77	585.79	(144.98)	L
	03-03-2008	07-06-2009	34.00	1,461.53	1,165 31	(296 22)	L
Total RAYONIER INC			112.00	4,782.08	3,841.80	(940 28)	

SCHERING PLOUGH CORP	01-06-2009	07-09-2009	9.00	164.31	221.69	57.38	S
	01-06-2009	07-09-2009	285.00	5,203 01	7,011 56	1,808.55	S
	01-07-2009	07-09-2009	128.00	2,301.34	3,152.92	851 58	S
	01-07-2009	07-10-2009	49.00	880.98	1,188 69	307.71	S
	01-05-2009	07-13-2009	89.00	1,580.07	2,177.18	597.11	S
	01-07-2009	07-13-2009	17.00	305.65	413.48	107.83	S
	01-07-2009	07-13-2009	366.00	6,580.38	8,953.34	2,372 96	S
Total SCHERING PLOUGH CORP			943.00	17,015.74	23,118 86	6,103 12	
SMUCKER J M CO	11-21-2008	03-11-2009	25.00	1,025.58	861.94	(163 64)	S
	11-21-2008	03-11-2009	38.00	1,612.07	1,310.16	(301.91)	S
	11-21-2008	05-08-2009	42.00	1,781.77	1,707.78	(73.99)	S
	01-06-2009	05-08-2009	9.00	392.97	365.95	(27 02)	S
Total SMUCKER J M CO			114.00	4,812 39	4,245.83	(566.56)	
SOUTHERN CO	01-26-2009	05-08-2009	135.00	4,759.90	3,975.90	(784 00)	S
STATE STR CORP	10-14-2008	04-09-2009	110.00	6,198 11	3,716 19	(2,481 92)	S
	10-14-2008	04-16-2009	220.00	12,396.23	7,384.24	(5,011 99)	S
Total STATE STR CORP			330 00	18,594 34	11,100.43	(7,493.91)	
SUNTRUST BKS INC	07-24-2008	01-20-2009	7.00	288.99	123.87	(165 12)	S
	07-24-2008	01-20-2009	72.00	2,953 49	1,274.07	(1,679.42)	S
	07-24-2008	01-20-2009	160.00	6,563 31	2,612 55	(3,950.76)	S
	07-30-2008	01-20-2009	23.00	929 14	375.55	(553 59)	S
	07-30-2008	01-20-2009	82.00	3,333.19	1,338 93	(1,994.26)	S
	07-23-2008	01-21-2009	116.00	4,977.28	1,763.59	(3,213 69)	S
	07-24-2008	01-21-2009	70.00	2,889.94	1,064.23	(1,825 71)	S
Total SUNTRUST BKS INC			530.00	21,935.34	8,552.79	(13,382 55)	
TRANSOCEAN LTD	04-16-2009	06-03-2009	109.00	7,206.99	8,654.26	1,447.27	S
TYSON FOODS INC	03-12-2008	10-28-2008	6.00	99.00	46.90	(52.10)	S
	03-12-2008	10-28-2008	29.00	477 49	214 51	(262.98)	S
	03-13-2008	10-28-2008	20 00	329.93	147.94	(181.99)	S
	03-13-2008	10-28-2008	29.00	478.41	226 66	(251.75)	S

	03-12-2008	10-29-2008	12.00	198 85	94.87	(103.98)	S
	03-12-2008	10-29-2008	35.00	577.50	276.70	(300 80)	S
	03-12-2008	10-29-2008	39.00	646 25	306 07	(340 18)	S
	03-12-2008	10-30-2008	13.00	215.42	106 24	(109.18)	S
	03-12-2008	10-30-2008	96.00	1,590.78	780.30	(810.48)	S
	03-12-2008	10-31-2008	36.00	596.54	315.14	(281.40)	S
	05-23-2008	10-31-2008	74.00	1,324.42	647.79	(676.63)	S
	05-23-2008	11-04-2008	52 00	930 68	453 98	(476.70)	S
	05-23-2008	11-05-2008	72.00	1,288.63	626 22	(662 41)	S
	05-23-2008	11-06-2008	99.00	1,771 86	779.33	(992 53)	S
	05-23-2008	11-07-2008	15.00	268 46	109 34	(159.12)	S
	05-23-2008	11-07-2008	88.00	1,574.99	645.64	(929.35)	S
Total TYSON FOODS INC			715.00	12,369.21	5,777.63	(6,591 58)	
UNITED TECHNOLOGIES CORP	02-09-2006	01-05-2009	30.00	1,704.60	1,629.12	(75.48)	L
	02-09-2006	01-05-2009	39.00	2,215.98	2,114 41	(101.57)	L
	02-09-2006	01-05-2009	52.00	2,954.64	2,816.37	(138 27)	L
	02-09-2006	01-06-2009	69.00	3,920.58	3,787.34	(133 24)	L
Total UNITED TECHNOLOGIES CORP			190.00	10,795 80	10,347.24	(448 56)	
US BANCORP DEL	07-16-2008	08-12-2009	30.00	737.21	657 51	(79 70)	L
	05-21-2009	08-12-2009	51.00	918.82	1,117 77	198.95	S
	05-21-2009	08-12-2009	211.00	3,801.40	4,648 19	846 79	S
Total US BANCORP DEL			292.00	5,457.43	6,423 47	966 04	
V F CORP	04-25-2008	10-06-2008	2 00	152 19	136 06	(16 13)	S
	04-25-2008	10-06-2008	30.00	2,282.78	2,031 53	(251.25)	S
	04-25-2008	10-07-2008	78.00	5,935.22	5,204 18	(731 04)	S
	01-31-2008	10-08-2008	1 00	77.34	66 38	(10.96)	S
	01-31-2008	10-08-2008	41.00	3,170.96	2,634.74	(536.22)	S
	10-19-2007	10-09-2008	10.00	828.53	615 49	(213 04)	S
	10-23-2007	10-09-2008	5.00	415.15	307 74	(107.41)	S
	01-31-2008	10-09-2008	2.00	154.68	115.55	(39 13)	S
	01-31-2008	10-09-2008	6.00	464.04	369 29	(94.75)	S
	10-02-2007	05-07-2009	9.00	756.51	533.15	(223 36)	L
	10-22-2007	05-07-2009	18.00	1,509.27	1,066 31	(442.96)	L
	10-23-2007	05-07-2009	2.00	166.06	117.00	(49 06)	L
	10-23-2007	05-07-2009	2.00	166 06	117.22	(48 84)	L

	10-23-2007	05-07-2009	15.00	1,245.47	888.59	(356.88)	L
	10-02-2007	05-08-2009	9.00	756.68	522.19	(234.49)	L
	10-02-2007	05-08-2009	25.00	2,101.40	1,450.51	(650.89)	L
	10-02-2007	05-11-2009	1.00	84.08	56.53	(27.55)	L
	10-03-2007	05-11-2009	33.00	2,778.03	1,865 35	(912 68)	L
	10-03-2007	05-12-2009	20.00	1,683.65	1,105.69	(577.96)	L
	10-03-2007	05-13-2009	13.00	1,094.37	704 76	(389.61)	L
	10-31-2007	05-13-2009	8.00	689 29	433 70	(255.59)	L
	10-31-2007	05-14-2009	6.00	516 97	329 04	(187.93)	L
	10-31-2007	05-14-2009	10 00	861.61	553.39	(308.22)	L
	10-31-2007	05-14-2009	14.00	1,206.25	767 75	(438.50)	L
	10-31-2007	05-15-2009	2.00	172.32	110.09	(62.23)	L
	10-31-2007	05-18-2009	5.00	430 81	277.69	(153.12)	L
Total V F CORP			367.00	29,699.72	22,379.92	(7,319 80)	
VALERO ENERGY CORP NEW	01-29-2008	10-13-2008	175.00	10,578.03	3,292 75	(7,285 28)	S
	08-15-2008	10-13-2008	145.00	4,988.39	2,728 27	(2,260 12)	S
	09-19-2008	10-13-2008	25.00	832.57	470.39	(362.18)	S
	09-19-2008	10-13-2008	36 00	1,183.70	677.37	(506.33)	S
	09-19-2008	10-13-2008	49.00	1,622 34	921.97	(700 37)	S
Total VALERO ENERGY CORP NEW			430.00	19,205.03	8,090.75	(11,114 28)	
VERIZON COMMUNICATIONS INC	11-13-2008	09-18-2009	88.00	2,588.20	2,600.20	12.00	S
WAL-MART STORES INC	03-24-2008	03-11-2009	43.00	2,296.52	2,038.86	(257.66)	S
	01-08-2009	03-11-2009	50 00	2,567 71	2,370 77	(196.94)	S
	03-24-2008	04-16-2009	21.00	1,121.56	1,057.76	(63 80)	L
Total WAL-MART STORES INC			114 00	5,985.79	5,467 39	(518 40)	
WELLS FARGO & CO	02-09-2006	10-03-2008	30 00	919.20	1,110.36	191.16	L
	02-09-2006	10-03-2008	50 00	1,532.00	1,869 11	337 11	L
	02-09-2006	10-03-2008	395.00	12,102.80	14,675.23	2,572.43	L
Total WELLS FARGO & CO			475.00	14,554.00	17,654 70	3,100 70	
WEYERHAEUSER CO	09-21-2006	10-06-2008	150.00	8,939 31	7,965.55	(973 76)	L
	08-28-2008	10-06-2008	5 00	275.40	265.52	(9 88)	S
	08-28-2008	10-06-2008	37.00	2,080.91	1,964.83	(116 08)	S

	08-29-2008	10-06-2008	18.00	1,003.48	955 86	(47 62)	S
Total WEYERHAEUSER CO			210.00	12,299.10	11,151.76	(1,147.34)	
ZIONS BANCORPORATION	10-03-2008	11-04-2008	2.00	89.05	88.13	(0.92)	S
	10-03-2008	11-04-2008	5.00	222.73	220.32	(2.41)	S
		11-04-2008	42.00	1,870.92	1,871.79	0.87	S
	10-06-2008	01-15-2009	48.00	1,960.09	887.92	(1,072.17)	S
	10-14-2008	01-15-2009	5.00	190.03	91.23	(98.80)	S
	10-14-2008	01-15-2009	23.00	874 16	419.64	(454.52)	S
	10-14-2008	01-15-2009	32.00	1,216.22	591.95	(624.27)	S
	10-15-2008	01-15-2009	75.00	3,051.80	1,387.37	(1,664.43)	S
	10-03-2008	01-16-2009	3.00	133.64	49 11	(84 53)	S
	10-03-2008	01-16-2009	73.00	3,251.83	1,222 03	(2,029 80)	S
	10-06-2008	01-16-2009	2 00	83 02	33 48	(49.54)	S
	10-06-2008	01-16-2009	40.00	1,633 41	669 60	(963 81)	S
	04-17-2009	09-16-2009	21.00	321.39	406 19	84 80	S
	04-17-2009	09-16-2009	62 00	936.96	1,199.24	262 28	S
	05-08-2009	09-16-2009	126.00	2,246 30	2,437.16	190.86	S
	05-11-2009	09-16-2009	13.00	254.38	251 45	(2.93)	S
	05-11-2009	09-16-2009	61.00	1,193.63	1,186.55	(7 08)	S
Total ZIONS BANCORPORATION			633.00	19,529.56	13,013.16	(6,516 40)	

Net Short Term Gain/(Loss)	(122,340 25)
Net Long Term Gain/(Loss)	(130,787.54)
Net Capital Gain Distribution Received	-
Total Capital Gain (Loss)	(253,127.79)

Run Date: 06-21-2010 12:25 PM, CDT

Please do not rely on Wealth Management Online reports to prepare tax returns. This information may change after audits or corrections by Bank of America.

Portions of the estimated annual income may not reflect actual income received or income for tax reporting purposes. Income and cost-basis information should not be used to make investment decisions without first consulting your Bank of America profession

Assets for which the Bank has Limited or No Responsibility

This statement may include assets that are not held and not managed by Bank of America Assets that are not held and not managed by Bank of America are listed solely for the convenience of the client. Bank of America has no responsibility to manage, maint
This statement may also include other assets for which Bank of America has limited or no management responsibility and/or no valuation responsibility pursuant to the terms of the governing document, or client agreements or directions.
Oil, Gas and Mineral Interests

To holders of Oil, Gas and Mineral properties: Market value for Oil, Gas and Mineral properties represents an estimate only, calculated from the most recent 12 months net income from producing properties and includes nominal value applied to non-producing

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed)

Type or print. File by the extended due date for filing the return See instructions	Name of Exempt Organization HAMPTON ROADS SHIPPING ASSOCIATION	**Employer identification number** 23-7241557
	Number, street, and room or suite no. If a P.O. box, see instructions. 236 EAST PLUME STREET	For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NORFOLK, VA 23510	

Check type of return to be filed (File a separate application for each return):

[X] Form 990 [ ] Form 990-EZ [ ] Form 990-T (sec 401(a) or 408(a) trust) [ ] Form 1041-A [ ] Form 5227 [ ] Form 8870
[ ] Form 990-BL [ ] Form 990-PF [ ] Form 990-T (trust other than above) [ ] Form 4720 [ ] Form 6069

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ ARTHUR W. MOYE, JR. 236 EAST PLUME STREET - NORFOLK, VA 23510

Telephone No ▶ (757) 622-2639 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______. If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until AUGUST 15, 2010.

5 For calendar year ______, or other tax year beginning OCT 1, 2008, and ending SEP 30, 2009.

6 If this tax year is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
ADDITIONAL INFORMATION IS REQUIRED FROM THIRD PARTIES IN ORDER TO FILE A COMPLETE AND ACCURATE RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	$
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$
c	**Balance Due.** Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	$ N/A

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ [signature] Title ▶ EXECUTIVE VICE PRESIDENT Date ▶ 1/27/10

823832
05-26-09

15000426 781789 042516.000 2008.05060 HAMPTON ROADS SHIPPING ASSO 04251601

.042516.000

Form **8868**
(Rev. April 2009)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I **Automatic 3-Month Extension of Time.** Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T) However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868 For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Type or print	Name of Exempt Organization	Employer identification number
	HAMPTON ROADS SHIPPING ASSOCIATION	23-7241557
File by the due date for filing your return See instructions	Number, street, and room or suite no If a P O box, see instructions. 236 EAST PLUME STREET	
	City, town or post office, state, and ZIP code For a foreign address, see instructions NORFOLK, VA 23510	

Check type of return to be filed (file a separate application for each return):

[X] Form 990	[ ] Form 990-T (corporation)	[ ] Form 4720
[ ] Form 990-BL	[ ] Form 990-T (sec. 401(a) or 408(a) trust)	[ ] Form 5227
[ ] Form 990-EZ	[ ] Form 990-T (trust other than above)	[ ] Form 6069
[ ] Form 990-PF	[ ] Form 1041-A	[ ] Form 8870

- The books are in the care of ▶ ARTHUR W. MOYE, JR. 236 EAST PLUME STREET - NORFOLK, VA 23510
  Telephone No ▶ (757) 622-2639 FAX No ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ____ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until MAY 15, 2010 , to file the exempt organization return for the organization named above The extension is for the organization's return for
▶ [ ] calendar year ____ or
▶ [X] tax year beginning OCT 1, 2008 , and ending SEP 30, 2009

2 If this tax year is for less than 12 months, check reason. [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$
b	If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit.	3b	$
c	**Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ N/A

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.