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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2008

For calendar year 2008, or tax year beginning **10/01, 2008**, and ending **09/30, 2009**

G Check all that apply: ☐ Initial return ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type See Specific Instructions.	Name of foundation IRMA L & ABRAM S CROLL CHARITABLE TRUST C/O LEHMAN BROTHERS TRUST COMPANY, N.A		A Employer identification number 13-7312974
	Number and street (or P.O. box number if mail is not delivered to street address) Room/suite P.O. BOX 396		B Telephone number (see page 10 of the instructions) (302) 830-4352
	City or town, state, and ZIP code WILMINGTON, DE 19899		C If exempt on application is pending, check here ☐
			D 1 Foreign organizations check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐

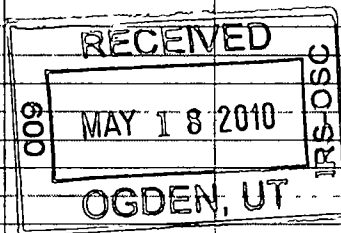
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$ 12,229,160.**

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____
 (Part I, column (d) must be on cash basis)

F If the foundation is in a 60 month termination under section 507(b)(1)(A), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc. (attach schedule) ☐ If the foundation is not required to attach Sch. B.				
	2 Check ☐				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	307,303.	307,303.		STMT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-1,474,677			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
Operating and Administrative Expenses	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	1,750			STMT 2
	12 Total Add lines 1 through 11	-1,165,624	307,303.		
	13 Compensation of officers, directors, trustees, etc.	103,556	93,200		10,356.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	2,993.	2,993.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) STMT 4	41,291.	36,075.		5,216.
	24 Total operating and administrative expenses Add lines 13 through 23	147,840	132,268.		15,572.
	25 Contributions, gifts, grants paid	3,476,834.			3,476,834.
	26 Total expenses and disbursements Add lines 24 and 25	3,624,674.	132,268.		3,492,406.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-4,790,298			
	b Net investment income (if negative, enter -0-)		175,035.		
	c Adjusted net income (if negative, enter -0-)				



For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions

Form 990-PF (2008)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	5,735,958.	1,937,655.	1,937,655.
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U.S. and state government obligations (attach schedule)	1,693,997.	1,565,460.	1,649,754.
	b	Investments - corporate stock (attach schedule)	6,736,454.	6,303,203.	6,983,552.
	c	Investments - corporate bonds (attach schedule)	1,856,279.	1,521,126.	1,624,009.
	Liabilities	11	Investments - land, buildings, and equipment basis		
		Less: accumulated depreciation (attach schedule)			
12		Investments - mortgage loans			
13		Investments - other (attach schedule)			
14		Land, buildings, and equipment basis			
		Less: accumulated depreciation (attach schedule)			
15		Other assets (describe)	19,972.	34,190.	34,190.
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	16,042,660	11,361,634	12,229,160.
17		Accounts payable and accrued expenses			
18		Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe)			
23	Total liabilities (add lines 17 through 22)				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒				
	27	Capital stock, trust principal, or current funds	-1		
	28	Paid-in or capital surplus, or land, bldg. and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	16,042,661	11,361,634.	
30	Total net assets or fund balances (see page 17 of the instructions)	16,042,660	11,361,634		
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	16,042,660	11,361,634		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	16,042,660.
2	Enter amount from Part I, line 27a	2	-4,790,298.
3	Other increases not included in line 2 (itemize) SEE STATEMENT 9	3	119,580.
4	Add lines 1, 2, and 3	4	11,371,942.
5	Decreases not included in line 2 (itemize) SEE STATEMENT 10	5	10,308.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	11,361,634.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV DETAIL				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than 0) or Losses (from col. (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8		3
				-1,474,677.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2007	3,463,364.	16,678,741	0.20765140486
2006	3,049,994.	19,833,071	0.15378324416
2005	2,334,212.	20,930,720.	0.11152086503
2004	2,133,185.	20,873,810.	0.10219432868
2003	531,115.	19,580,254.	0.02712503117
2 Total of line 1, column (d)			2 0.60227487390
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.12045497478
4 Enter the net value of noncharitable-use assets for 2008 from Part X, line 5			4 12,342,642
5 Multiply line 4 by line 3			5 1,486,733.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,750.
7 Add lines 5 and 6			7 1,488,483.
8 Enter qualifying distributions from Part XII, line 4			8 3,492,406.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling letter _____ (attach copy of ruling letter if necessary - see instructions)		1	1,750.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	1,750.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,750.
6 Credits/Payments:			
a 2008 estimated tax payments and 2007 overpayment credited to 2008	6a	17,488.	
b Exempt foreign organizations-tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	NONE	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	17,488.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	15,738.
11 Enter the amount of line 10 to be Credited to 2009 estimated tax		11	1,752. Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) STMT 11		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2008 or the taxable year beginning in 2008 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(3)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ☐ N/A				
14	The books are in care of ☐ LEHMAN BROTHERS TRUST COMPANY Telephone no ☐ (212) 526-7361			
	Located at ☐ 399 PARK AVENUE, NEW YORK, NY ZIP + 4 ☐ 10022			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ☐ 15			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? ☐ Yes ☒ No	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2008? ☐ Yes ☒ No	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2008, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2008? ☐ Yes ☒ No		
If "Yes," list the years ☐		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions) ☐ Yes ☒ No	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ☐		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2008 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15- or 20-year first phase holding period? (Use Schedule C, Form 4720 to determine if the foundation had excess business holdings in 2008) ☐ Yes ☒ No	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ☐ Yes ☒ No	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2008? ☐ Yes ☒ No	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1) (2) or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If you answered "Yes" to 6b, also file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		143,556	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services ▶ NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1 FOUNDATION DISTRIBUTES INCOME TO ASSIST IN THE MAINTENANCE OF CHARITABLE ORGANIZATIONS PURSUANT TO THE PROVISIONS OF THE TRUST AGREEMENT.

2

3

4

Expenses

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

1 NONE

2

All other program-related investments. See page 24 of the instructions.

3 NONE

Amount

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	8,859,147.
b	Average of monthly cash balances	1b	3,671,454.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	12,530,601.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	12,530,601
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	187,959.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,342,642.
6	Minimum investment return. Enter 5% of line 5	6	617,132.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	617,132.
2a	Tax on investment income for 2008 from Part VI, line 5	2a	1,750
b	Income tax for 2008 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,750
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	615,382.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	615,382.
6	Deduction from distributable amount (see page 25 of the instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	615,382

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,492,406.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,492,406.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	1,750.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,490,656

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2007	(c) 2007	(d) 2008
1 Distributable amount for 2008 from Part XI, line 7				615,382
2 Undistributed income, if any, as of the end of 2007				
a Enter amount for 2007 only			NONE	
b Total for prior years 20 <u>05</u> , 20 <u>20</u> 20 <u>20</u>		NONE		
3 Excess distributions carryover, if any, to 2008				
a From 2003				NONE
b From 2004				673,777
c From 2005				646,957
d From 2006				2,144,436
e From 2007				2,664,397
f Total of lines 3a through e	6,129,567			
4 Qualifying distributions for 2008 from Part XII, line 4 ▶ \$ <u>3,492,406</u>				
a Applied to 2007, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)		NONE		
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)	NONE			
d Applied to 2008 distributable amount				615,382
e Remaining amount distributed out of corpus	2,877,024			
5 Excess distributions carryover applied to 2008 (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 Enter the net total of each column as indicated below.				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	9,006,591			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions		NONE		
e Undistributed income for 2007 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions			NONE	
f Undistributed income for 2008 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2009				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	NONE			
8 Excess distributions carryover from 2003 not applied on line 5 or line 7 (see page 27 of the instructions)	NONE			
9 Excess distributions carryover to 2009. Subtract lines 7 and 8 from line 6a	9,006,591			
10 Analysis of line 9				
a Excess from 2004	673,777			
b Excess from 2005	646,957			
c Excess from 2006	2,144,436			
d Excess from 2007	2,664,397			
e Excess from 2008	2,877,024			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2008, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2008	(b) 2007	(c) 2006	(d) 2005	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test. enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)-B(iii)					
b "Endowment" alternative test. enter 2/3 of minimum investment return shown in Part X line 6 for each year listed					
c "Support" alternative test. enter:					
(1) Total support other than gross investment income, interest, dividends, rents, payments on securities loans (section 512(a)(1) or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(1)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 27 of the instructions.)**1 Information Regarding Foundation Managers.**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 13				
Total			▶ 3a	3,476,834
b Approved for future payment				
Total			▶ 3b	

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of (1) Cash (2) Other assets b Other transactions (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

2a Is the foundation directly or indirectly affiliated with or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b. If "Yes," complete the following schedule:

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee

Date _____

Title

**Paid
Preparer's
Use Only**

Preparer's
signature

Date _____

Check if self-employed

Preparer's identifying number
(See Signature on page 30 of the instructions)

P00364310

Firm's name (or yours if self-employed), address, and ZIP code

PRICEWATERHOUSECOOPERS, LLP
600 GRANT STREET, 51ST FLOOR
PITTSBURGH, PA

15219

Phone no. 412-355-6000

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ **Attach to Form 1041, Form 5227, or Form 990-T. See the separate instructions for Form 1041 (also for Form 5227 or Form 990-T, if applicable).**

OMB No. 1545-0092

2008

Name of estate or trust

IRMA L & ABRAM S CROLL CHARITABLE TRUST C/O

Employer identification number

13-7312974

Note Form 5227 filers need to complete only Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					
b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b				1b	-300,702
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824				2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts				3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2007 Capital Loss Carryover Worksheet				4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13 column (3) on the back				5	-300,702

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					
b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b				6b	-1,173,975
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824				7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts				8	
9 Capital gain distributions				9	
10 Gain from Form 4797, Part I				10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2007 Capital Loss Carryover Worksheet				11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back				12	-1,173,975

For Paperwork Reduction Act Notice, see the Instructions for Form 1041

Schedule D (Form 1041) 2008

Part III Summary of Parts I and II**Caution:** Read the instructions before completing this part

	(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13 Net short-term gain or (loss)	13		-300,702
14 Net long-term gain or (loss):			
a Total for year	14a		-1,173,975.
b Unrecaptured section 1250 gain (see line 18 of the wrksh)	14b		
c 28% rate gain	14c		
15 Total net gain or (loss). Combine lines 13 and 14a	15		-1,474,677.

Note If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of:	16	(3,000)
a The loss on line 15, column (3) or		
b \$3,000		

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 27 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution Skip this part and complete the worksheet on page 8 of the instructions if:

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18 Enter the smaller of line 14a or 15 in column (2) but not less than zero	18	
19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20 Add lines 18 and 19	20	
21 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-	21	
22 Subtract line 21 from line 20. If zero or less, enter -0-	22	
23 Subtract line 22 from line 17. If zero or less, enter -0-	23	
24 Enter the smaller of the amount on line 17 or \$2,200	24	
25 Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes Skip lines 25 and 26, go to line 27 and check the "No" box ☐ No Enter the amount from line 23	25	
26 Subtract line 25 from line 24	26	
27 Are the amounts on lines 22 and 26 the same? ☐ Yes Skip lines 27 thru 30, go to line 31 ☐ No Enter the smaller of line 17 or line 22	27	
28 Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29 Subtract line 28 from line 27	29	
30 Multiply line 29 by 15% (15)	30	
31 Figure the tax on the amount on line 23. Use the 2008 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions)	31	
32 Add lines 30 and 31	32	
33 Figure the tax on the amount on line 17. Use the 2008 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions)	33	
34 Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on line 1a of Schedule G, Form 1041 (or line 36 of Form 990-T)	34	

Schedule D (Form 1041) 2008

Employer identification number

13-7312974

[illegible]

6b Total Combine the amounts in column (f) Enter here and on Schedule D, line 6b	1,173,975 00
---	--------------

Schedule D-1 (Form 1041) 2008

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		. SEE ATTACHED PROPERTY TYPE: SECURITIES					-300702.00	12/31/2008
		. SEE ATTACHED PROPERTY TYPE: SECURITIES					-117397500	12/31/2008
TOTAL GAIN (LOSS)							----- -1474677 =====	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
INTEREST & DIVIDENDS OID	307,245. 58.	307,245. 58.
TOTAL	307,303. =====	307,303. =====

FORM 990PF, PART I - OTHER INCOME
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----
SECURITY LITIGATION	1,750.
TOTALS	----- 1,750. =====

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES	2,993	2,993
	-----	-----
TOTALS	2,993.	2,993
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
COTTEE FEES	40,000.	36,000.	4,000.
AGENCY PROCESSING	75.	75	
STATE AG FEES	750.		750.
MISC FEES	466.		466.
	-----	-----	-----
TOTALS	41,291.	36,075	5,216.
	=====	=====	=====

IRMA L & ABRAM S CROLL CHARITABLE TRUST C/O

13-7312974

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
US & STATE OBLIGATIONS	1,565,460.	1,649,754.
	-----	-----
TOTALS	1,565,460.	1,649,754.
	=====	=====

IRMA L & ABRAM S CROLL CHARITABLE TRUST C/O

13-7312974

FORM 990PF, PART II - CORPORATE STOCK

=====

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
-----	-----	----
CORPORATE STOCK	6,303,203.	6,983,552.
	-----	-----
TOTALS	6,303,203	6,983,552
	=====	=====

IRMA L & ABRAM S CROLL CHARITABLE TRUST C/O

13-7312974

FORM 990PF, PART II - CORPORATE BONDS

=====

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
-----	-----	----
CORPORATE BONDS	1,521,126.	1,624,009.
	-----	-----
TOTALS	1,521,126.	1,624,009.
	=====	=====

IRMA L & ABRAM S CROLL CHARITABLE TRUST C/C

13-7312974

FORM 990PF, PART II - OTHER ASSETS

=====

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
-----	-----	---
ACCRUED DIVIDENDS	3,768	3,768.
ACCRUED INTEREST	30,422	30,422.
	-----	-----
TOTALS	34,190.	34,190.
	=====	=====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION -----	AMOUNT -----
LIQUIDATION PROCEEDS	50,119.
2008 HOLDBACK PAYMENT	50,385.
PARTNERSHIP INCOME ADJUSTMENT	18,361.
ACCRETION	715.

TOTAL	119,580.
	=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

=====

DESCRIPTION	AMOUNT
-----	-----
BASIS ADJUSTMENT	3,574
AMORT	6,734.

TOTAL	10,308
	=====

IRMA L & ABRAM S CROLL CHARITABLE TRUST C/O

13-7312974

STATE(S) WHERE THE FOUNDATION IS REGISTERED
=====

NY

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

LEHMAN BROTHERS TRUST COMPANY, N.A.

ADDRESS:

605 THIRD AVENUE, 44TH FLOOR

NEW YORK, NY 10158-3698

TITLE:

CO-TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 15

COMPENSATION 103,556.

OFFICER NAME:

EDWARD S SCHLESINGER, ESQ.

ADDRESS:

530 FIFTH AVENUE

NEW YORK, NY 10036

TITLE:

CO-TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

COMPENSATION 40,000.

TOTAL COMPENSATION:

143,556.

=====

IRMA L & ABRAM S CROLL CHARITABLE TRUST C/O 13-7312974
FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

RECIPIENT NAME:
THE TEACHERS COLLEGE
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL PURPOSE
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID 3,476,834.

RECIPIENT NAME:
THE SALVATION ARMY
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL PURPOSE
FOUNDATION STATUS OF RECIPIENT:
N/A

TOTAL GRANTS PAID: 3,476,834.
=====

Form 990PF, Part XV, Line 3 - Contributions, Gifts, Grants Paid

Recipient Name	Address	Purpose of Grant	Date	Amount of Grant Paid
Jewish Museum	1109 5th Ave New York, NY 10128	General	5/22/2009	\$ 333,334.00
Alzheimer's Association	225 N Michigan Ave, Chicago, IL 60601	General	2/12/2009	\$ 10,000.00
Bridge Inc - Programming Grant	248 W 108th Street, New York, NY 10025	General	2/12/2009	\$ 100,000.00
Memorial Sloan Kettering	633 3rd Ave, New York, NY 10017	General	5/22/2009	\$ 212,500.00
Columbia Teacher's College	525 W 20th Street, New York, NY 10027	General	12/2/2008	\$ 421,000.00
Salvation Army	615 Slaters Lane, PO Box 269, Alexandria, VA 22313	General	10/1/2008	\$ 100,000.00
American Jewish Committee	165 E 56th Street, New York, NY 10022	General	11/4/2008	\$ 500,000.00
Lennox Hill Hospital	100 E 77th Street, New York, NY 10075	General	12/2/2008	\$ 700,000.00
Rockefeller University - Vaccine Center	1230 York Ave, New York, NY 10065	General	12/2/2008	\$ 250,000.00
Rockefeller University - Autism	1230 York Ave, New York, NY 10065	General	7/29/2009	\$ 600,000.00
Memorial Sloan Kettering	633 3rd Ave, New York, NY 10017	General	8/14/2009	\$ 250,000.00
				\$ 3,476,834.00

Client Statement

For the period 09/01/2009 to 09/30/2009

ROLL CHRT. TR. ALTERNATIVES &
NON-AFFILIATED - MUTUAL FUNDS
ED SCHLESINGER & LBTC TTEES

NEUBERGER BERMAN

Account Summary

	Opening Balance	Closing Balance	Cost Basis	Estimated Amount	Annual Income	% Yield
CASH EQUIVALENT	1,536,382.99	1,622,202.37	1,622,202.37	4,217.73		0.26
EQUITIES	397,662.40	436,884.80	299,419.35	3,213.60		0.74
EQUITY MUTUAL FUNDS	1,647,390.58	1,728,549.34	1,483,101.01	33,075.88		1.91
SUB-TOTAL	3,581,435.97	3,787,636.51	3,404,722.73	40,507.21		1.07
ALTERNATIVE INVESTMENTS	100,149.05	0.00				

TOTAL VALUE OF ACCOUNT

3,681,585.02

3,404,722.73

40,507.21

1.07

Account Composition

	% of Portfolio
CASH EQUIVALENT	42.83
EQUITIES	11.53
EQUITY MUTUAL FUNDS	45.64

Y-T-D Market Value Change Summary

PREVIOUS YEAR ENDING VALUE	3,997,754.04
NET DEPOSITS AND WITHDRAWALS	(612,504.79)
INCOME AND EXPENSE ITEMS (NET)	12,292.71
NET CHANGE IN ASSET VALUE	390,094.55
CURRENT PERIOD ENDING VALUE	3,787,636.51

Income Summary

	This Month	Year-To-Date
TAXABLE DIVIDENDS	3,498.18	9,890.61
MONEY MKT. FUND DIVIDENDS	68.17	4,412.13

Y-T-D Net Realized Gains/Losses

TOTAL LONG TERM	(533,163.01)
TOTAL SHORT TERM	N/A

Client Statement

For the period 09/01/2009 to 09/30/2009

CROLL CHARITABLE TRUST
(BOLTON)
LBTC & EDWARD SCHLESINGER TTEE

NEUBERGER BERMAN

Account Summary

	Opening Balance ⁽¹⁾	Closing Balance ⁽²⁾	Cost Basis ⁽³⁾	Estimated Annual Income ⁽⁴⁾ Amount	% Yield ⁽⁵⁾
CASH	0.00	386.50	386.50	0.00	0.00
CASH EQUIVALENT	81,724.93	117,588.28	117,588.28	305.73	0.26
EQUITIES	1,118,801.05	1,123,191.20	1,086,149.63	13,830.64	1.23
ACCRUED DIVIDEND	1,385.13	761.13	761.13	0.00	0.00
TOTAL VALUE OF ACCOUNT	1,201,911.11	1,241,927.11	1,204,885.54	14,136.37	1.14

Account Composition

	% of Portfolio
CASH	0.03
CASH EQUIVALENT	9.47
EQUITIES	90.49

Y-T-D Market Value Change Summary

PREVIOUS YEAR ENDING VALUE	1,186,046.36
NET DEPOSITS AND WITHDRAWALS	(149,968.12)
INCOME AND EXPENSE ITEMS (NET)	375.37
NET CHANGE IN ASSET VALUE	205,473.50
CURRENT PERIOD ENDING VALUE	1,241,927.11

Income Summary

	This Month	Year-To-Date
TAXABLE DIVIDENDS	1,633.50	13,388.64
MONEY MKT. FUND DIVIDENDS	3.42	159.29
FOREIGN TAX	0.00	(155.94)

Y-T-D Net Realized Gains/Losses

TOTAL LONG TERM	(107,586.90)
TOTAL SHORT TERM	(7,361.82)

Client Statement

For the period 09/01/2009 to 09/30/2009

CROLL CHARITABLE TRUST
HARDING LOEVNER - MAP
LBTC & ED SCHLESINGER TTEES

NEUBERGER BERMAN

Account Summary

	Opening Balance	Closing Balance	Cost Basis	Estimated Annual Income
CASH	0.00	78.88	78.88	0.00
CASH EQUIVALENT	14,651.80	14,941.97	14,941.97	38.85
EQUITIES	551,303.85	572,933.51	603,867.86	10,850.87
ACCRUED DIVIDEND	345.52	138.16	138.16	0.00
TOTAL VALUE OF ACCOUNT	566,301.17	588,092.52	619,026.87	10,889.72
				1.85

Account Composition

	% of Portfolio
CASH	0.01
CASH EQUIVALENT	2.54
EQUITIES	97.45

Y-T-D Market Value Change Summary

PREVIOUS YEAR ENDING VALUE	530,076.13
NET DEPOSITS AND WITHDRAWALS	(49,939.41)
INCOME AND EXPENSE ITEMS (NET)	3,400.80
NET CHANGE IN ASSET VALUE	104,555.00
CURRENT PERIOD ENDING VALUE	588,092.52

Income Summary

	This Month	Year-To-Date
TAXABLE DIVIDENDS	390.57	10,968.03
MONEY MKT. FUND DIVIDENDS	0.64	38.14
FOREIGN TAX	(18.48)	(1,579.85)

Y-T-D Net Realized Gains/Losses

TOTAL LONG TERM	(59,743.03)
TOTAL SHORT TERM	(4,770.75)

Client Statement

For the period 09/01/2009 to 09/30/2009

CROLL CHARITABLE TRUST
DOLAN CREDIT MAP
LBTC NA & ED SCHLESINGER TTEES

NEUBERGER BERMAN

Account Summary

	Opening Balance ^(a)	Closing Balance ^(b)	Cost Basis ^(c)	Estimated Annual Income ^(d) Amount	% Yield ^(e)
CASH EQUIVALENT	6,055.09	21,548.55	21,548.55	56.03	0.26
CORPORATE BONDS	531,199.75	529,937.25	490,228.20	33,653.75	5.62
ACCRUED INTEREST	10,499.03	10,391.48	10,391.48	0.00	0.00
TOTAL VALUE OF ACCOUNT	547,753.87	561,877.28	522,168.23	33,709.78	6.00

Account Composition

	% of Portfolio
CASH EQUIVALENT	3.91
CORPORATE BONDS	96.09

Y-T-D Market Value Change Summary

PREVIOUS YEAR ENDING VALUE	262,518.84
NET DEPOSITS AND WITHDRAWALS	310,572.99
INCOME AND EXPENSE ITEMS (NET)	8,446.40
NET CHANGE IN ASSET VALUE	(19,660.95)
CURRENT PERIOD ENDING VALUE	561,877.28

Income Summary

	This Month	Year-To-Date
TAXABLE DIVIDENDS	0.00	1,974.87
MONEY MKT. FUND DIVIDENDS	0.54	133.91
TAXABLE BOND INT.	3,181.72	9,974.44
ACCRUED INT PURCH.	(285.00)	(8,202.29)

Y-T-D Net Realized Gains/Losses

TOTAL LONG TERM	(89,591.80)
TOTAL SHORT TERM	(74,790.71)

0333324 NBNTNT 000000 016620



Client Statement

For the period 09/01/2009 to 09/30/2009

LEHMAN BROTHERS TR CO NA &
E SCHLESINGER TTEES I L CROLL
CHARITABLE TR - IRONWOOD

NEUBERGER BERMAN

Account Summary

Opening Balance

Closing Balance

Estimated Annual Income
Amount

% Yield

NOT AVAILABLE

Account Composition

% of Portfolio

NOT AVAILABLE

Y-T-D Market Value Change Summary

PREVIOUS YEAR ENDING VALUE	106,424.55
NET DEPOSITS AND WITHDRAWALS	(77,454.66)
INCOME AND EXPENSE ITEMS (NET)	(582.33)
NET CHANGE IN ASSET VALUE	(28,387.56)
CURRENT PERIOD ENDING VALUE	0.00

Income Summary

TAXABLE DIVIDENDS	This Month	Year-To-Date
MONEY MKT. FUND DIVIDENDS	0.00	109.76
	0.00	10.98

Y-T-D Net Realized Gains/Losses

TOTAL LONG TERM	N/A
TOTAL SHORT TERM	N/A

041009 NBTNT 000000 021948

Client Statement

For the period 09/01/2009 to 09/30/2009

LEHMAN BROTHERS TR CO NA &
E SCHLESINGER TTEES IL CROLL
CHARITABLE TR - PINNACLE

NEUBERGER BERMAN

Account Summary

	Opening Balance	Closing Balance	Cost Basis	Estimated Annual Income
CASH	0.00	50.43	50.43	0.00
CASH EQUIVALENT	11,811.24	6,522.48	6,522.48	0.26
EQUITIES	374,844.46	404,728.21	354,360.64	0.74
ACCRUED DIVIDEND	310.65	640.50	640.50	0.00
TOTAL VALUE OF ACCOUNT	386,966.35	411,941.62	361,574.05	0.73

Account Composition

	% of Portfolio
CASH	0.01
CASH EQUIVALENT	1.59
EQUITIES	98.40

Y-T-D Market Value Change Summary

PREVIOUS YEAR ENDING VALUE	289,215.68
NET DEPOSITS AND WITHDRAWALS	813.10
INCOME AND EXPENSE ITEMS (NET)	(1,314.72)
NET CHANGE IN ASSET VALUE	123,227.56
CURRENT PERIOD ENDING VALUE	411,941.62

Income Summary

	This Month	Year-To-Date
TAXABLE DIVIDENDS	340.11	2,202.38
MONEY MKT. FUND DIVIDENDS	0.21	16.44
FOREIGN TAX	(2.93)	(18.71)
MLP DISTRIBUTION	0.00	52.00

Y-T-D Net Realized Gains/Losses

TOTAL LONG TERM	(7,805.88)
TOTAL SHORT TERM	413.60

Client Statement

For the period 09/01/2009 to 09/30/2009

LEHMAN BROTHERS TR CO NA &
E SCHLESINGER TTEES IL CROLL
CHARITABLE - KAMINSKY

NEUBERGER BERMAN

--30 Accr

Account Summary

	Opening Balance	Closing Balance	Cost Basis	Estimated Annual Income Amount	% Yield
CASH	0.00	22.08	22.08	0.00	0.00
CASH EQUIVALENT	119,312.59	39,929.69	39,929.69	103.82	0.26
EQUITIES	1,001,006.52	1,137,376.00	1,001,484.36	23,589.89	2.07
ACCRUED DIVIDEND	1,998.63	1,280.74	1,280.74	0.00	0.00
TOTAL VALUE OF ACCOUNT	1,122,317.74	1,178,608.51	1,042,716.87	23,693.71	2.01

Account Composition

	% of Portfolio
CASH	N/A
CASH EQUIVALENT	3.39
EQUITIES	96.61

Y-T-D Market Value Change Summary

PREVIOUS YEAR ENDING VALUE	864,913.14
NET DEPOSITS AND WITHDRAWALS	150,657.25
INCOME AND EXPENSE ITEMS (NET)	1,451.86
NET CHANGE IN ASSET VALUE	161,586.26
CURRENT PERIOD ENDING VALUE	1,178,608.51

Income Summary

	This Month	Year-To-Date
TAXABLE DIVIDENDS	2,842.88	11,401.72
MONEY MKT. FUND DIVIDENDS	5.77	572.82
FOREIGN TAX	(51.35)	(576.97)

Y-T-D Net Realized Gains/Losses

TOTAL LONG TERM	817.14
TOTAL SHORT TERM	7,520.19

Client Statement

For the period 09/01/2009 to 09/30/2009

LEHMAN BROTHERS TR CO NA &
E SCHLESINGER TTEES I L CROLL
CHARITABLE TR - KSE VALUE

NEUBERGER BERMAN

10/1/2009

Account Summary

	Opening Balance (b)(4)	Closing Balance (b)(4)	Cost Basis (b)(4)	Estimated Annual Income Amount (a)	% Yield (b)(5)
CASH	0.00	120.00	120.00	0.00	0.00
CASH EQUIVALENT	12,627.70	36,855.03	36,855.03	95.82	0.26
EQUITIES	795,362.70	794,947.06	709,344.01	16,880.72	2.12
ACCURED DIVIDEND	1,556.20	947.70	947.70	0.00	0.00
TOTAL VALUE OF ACCOUNT	809,546.60	832,869.79	747,266.74	16,976.54	2.04

Account Composition

	% of Portfolio
CASH	0.01
CASH EQUIVALENT	4.43
EQUITIES	95.56

Y-T-D Market Value Change Summary

PREVIOUS YEAR ENDING VALUE	789,299.36
NET DEPOSITS AND WITHDRAWALS	20.88
INCOME AND EXPENSE ITEMS (NET)	5,856.14
NET CHANGE IN ASSET VALUE	37,693.41
CURRENT PERIOD ENDING VALUE	832,869.79

Income Summary

	This Month	Year-To-Date
TAXABLE DIVIDENDS	1,693.30	15,344.16
MONEY MKT. FUND DIVIDENDS	0.56	35.17
FOREIGN TAX	(2.57)	(565.91)

Y-T-D Net Realized Gains/Losses

TOTAL LONG TERM	(35,664.99)
TOTAL SHORT TERM	(82,000.59)

Client Statement

For the period 09/01/2009 to 09/30/2009

LEHMAN BROTHERS TR CO NA &
E SCHLESINGER TTEES I L CROLL
CHARITABLE TR - FIXED INCOME

NEUBERGER BERMAN

Account Summary

	Opening Balance ^(a)	Closing Balance ^(a)	Cost Basis ^{(b)(c)}	Estimated Annual Income ^(d) Amount	% Yield ^(e)
CASH	0.00	981.25	981.25	0.00	0.00
CASH EQUIVALENT	85,397.96	65,366.23	65,366.23	169.95	0.26
CORPORATE BONDS	1,099,652.15	1,094,071.80	1,030,897.54	61,685.00	4.50
US GOVERNMENT & AGENCY	1,594,913.76	1,649,754.14	1,565,459.51	71,333.72	2.86
ACCRUED INTEREST	21,421.80	20,030.90	20,030.90	0.00	0.00

TOTAL VALUE OF ACCOUNT

2,801,385.67

2,830,204.32

2,682,735.43

133,188.67

4.71

Account Composition

	% of Portfolio
CASH	0.03
CASH EQUIVALENT	2.33
CORPORATE BONDS	38.93
US GOVERNMENT & AGENCY	58.71

Y-T-D Market Value Change Summary

PREVIOUS YEAR ENDING VALUE	2,666,201.42
NET DEPOSITS AND WITHDRAWALS	12.04
INCOME AND EXPENSE ITEMS (NET)	77,001.37
NET CHANGE IN ASSET VALUE	86,989.49
CURRENT PERIOD ENDING VALUE	2,830,204.32

Income Summary

	This Month	Year-To-Date
MONEY MKT. FUND DIVIDENDS	3.72	323.84
TAXABLE BOND INT.	12,721.57	112,641.64
ACCRUED INT PURCH.	(455.33)	(9,812.58)

Y-T-D Net Realized Gains/Losses

TOTAL LONG TERM	14,619.37
TOTAL SHORT TERM	(2,655.55)

Client Statement

For the period 09/01/2009 to 09/30/2009

LEHMAN BROTHERS TR CO NA &
ESCHLESINGER TTEES IL CROLL
CHAR TR-AFFIL MUTUAL FDS

NEUBERGER BERMAN

Account Summary

	Opening Balance	Closing Balance	Cost Basis	Estimated Annual Income Amount	% Yield
CASH EQUIVALENT	8,974.24	11,060.85	11,060.85	28.76	0.26
EQUITIES	631,760.00	636,000.00	586,095.15	23,776.00	3.74
EQUITY MUTUAL FUNDS	141,681.10	148,942.32	179,381.39	404.89	0.27
TOTAL VALUE OF ACCOUNT	782,415.34	796,003.17	776,537.39	24,209.65	3.04

Account Composition

	% of Portfolio
CASH EQUIVALENT	1.39
EQUITIES	79.90
EQUITY MUTUAL FUNDS	18.71

Y-T-D Market Value Change Summary

PREVIOUS YEAR ENDING VALUE	1,779,913.32
NET DEPOSITS AND WITHDRAWALS	(1,006,446.33)
INCOME AND EXPENSE ITEMS (NET)	8,154.96
NET CHANGE IN ASSET VALUE	14,381.22
CURRENT PERIOD ENDING VALUE	796,003.17

Income Summary

	This Month	Year-To-Date
TAXABLE DIVIDENDS	2,086.21	20,243.81
MONEY MKT. FUND DIVIDENDS	0.40	793.81

Y-T-D Net Realized Gains/Losses

TOTAL LONG TERM	N/A
TOTAL SHORT TERM	16,389.63

CAPITAL GAINS SCHEDULE

PAGE: 1

FROM Oct 01, 2008 TO Sep 30, 2009

FISCAL YEAR END - 12/31
 TAX EXEMPT CODE - Y
 DENOMINATED IN - U.S. Dollars
 REPORT RUN DATE - Jan 21, 2010

DESCRIPTION	UNITS	ORIG	FACE	PURCHASE		SALE		PRICE	ADJUSTED BASIS		PROCEEDS	SECURITY IND	CURRENCY G/L
				DATE	FX RATE	DATE	FX RATE		DATE	FX RATE			
***** C A P I T A L G A I N S *****													
FPL GROUP INC	50.000	05/12/08		65.16	10/06/08		44.50		3,257.84		2,224.75	-1,033.09 ST	
FOG RES INC	50.000	06/24/06		132.57	10/08/08		71.24		6,628.50		3,561.74	-3,066.76 ST	
SAME AS ABOVE	50.000	07/09/08		115.77	10/08/08		71.24		10,419.72		6,411.14	-4,008.58 ST	
SAME AS ABOVE	170.000	11/16/07		85.13	10/08/08		71.24		14,472.02		12,109.93	-2,362.09 ST	
SAME AS ABOVE	370.000	10/30/07		84.57	10/08/08		71.24		31,292.60		26,356.91	-4,935.69 ST	
SAME AS ABOVE	60.000	10/07/08		77.91	10/08/08		71.24		4,674.57		4,274.10	-400.47 ST	
AT&T INC	60.000	11/20/07		37.92	10/24/08		24.52		2,275.48		1,471.26	-804.22 ST	
SAME AS ABOVE	360.000	07/09/08		33.07	10/24/08		24.52		11,905.72		8,827.54	-3,078.18 ST	
CALPINE CORPORAT	1.650	000 09/05/08		16.96	10/24/08		10.49		27,989.18		17,306.59	-10,682.59 ST	
DEVON ENERGY COR	10.000	08/20/08		99.90	10/24/08		67.81		998.98		678.06	-320.92 ST	
SAME AS ABOVE	100.000	02/11/08		91.63	10/24/08		67.81		9,163.41		6,780.65	-2,382.76 ST	
L-3 COMMUNICATIO	50.000	10/08/08		50.11	10/24/08		76.90		4,505.63		3,844.79	-660.84 ST	
INC													
MARSH & MCLENNAN	180.000	10/09/08		29.27	10/24/08		25.27		5,267.95		4,548.72	-719.23 ST	
SAME AS ABOVE	30.000	05/15/08		28.89	10/24/08		25.27		866.80		758.12	-108.68 ST	
POWERSHARES DB M	270.000	03/25/08		38.61	10/24/08		24.25		10,424.27		6,548.14	-3,876.13 ST	
COMMODITY TR POWER S													
AT&T INC	220.000	07/09/08		33.07	10/27/08		24.61		7,275.72		5,414.16	-1,861.56 ST	
DEVON ENERGY COR	50.000	02/11/08		91.63	10/27/08		67.28		4,581.71		3,363.98	-1,217.73 ST	
FLUOR CORP NEW	240.000	10/24/08		32.70	10/27/08		34.37		7,849.01		8,248.96	399.95 ST	
**GOLDSCORP INC	40.000	08/20/08		33.00	10/27/08		16.31		1,319.80		652.49	-667.31 ST	
NEW													
SAME AS ABOVE	240.000	10/07/08		26.32	10/27/08		16.31		6,315.80		3,914.92	-2,400.88 ST	
L-3 COMMUNICATIO	30.000	10/08/08		90.11	10/27/08		74.83		2,703.37		2,244.88	-458.49 ST	
INC													
MARSH & MCLENNAN	190.000	05/15/08		28.89	10/27/08		26.40		5,489.73		5,015.97	-473.76 ST	
POWERSHARES DB M	110.000	03/25/08		38.61	10/27/08		23.75		4,246.92		2,612.48	-1,634.44 ST	
COMMODITY TR POWER S													
**POTASH CORP O	120.000	10/24/08		64.40	10/27/08		68.22		1,727.53		8,185.91	458.38 ST	
INC													
SPDR GOLD TR	60.000	09/26/06		87.89	10/27/08		71.66		5,273.50		4,299.57	-973.93 ST	
GOLD SHS													
CALPINE CORPORAT	740.000	09/05/08		16.96	10/27/08		11.36		12,552.72		8,406.35	-4,146.37 ST	
*POTASH CORP O	70.000	10/24/08		64.40	11/05/08		91.20		4,507.73		6,383.70	1,875.97 ST	
INC													
DEVON ENERGY COR	60.000	02/11/08		91.63	11/11/08		75.45		5,498.05		4,527.00	-971.05 ST	
**SYNGENTA AG	40.000	05/13/08		60.48	11/11/08		35.83		2,419.07		1,433.04	-986.03 ST	

----- THIS REPORT IS INTENDED TO BE AN INTERNAL WORKSHEET AND SHOULD NOT BE RELIED UPON FOR ACCURACY -----
 UNLESS IT CONFORMS WITH THE OFFICIAL RECORDS OF THIS FIRM -----

CAPITAL GAINS SCHEDULE

PAGE 2

FROM Oct 01, 2002 to Sep 30, 2009

DESCRIPTION	UNITS ORIG FACE	-----PURCHASE-----		-----SALE-----		PRICE ADJUSTED BASIS		PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		UNITS DATE	PRICE DATE	FX RATE	FX RATE	PRICE	ADJUSTED BASIS			
SPONSORED ADR										
SAME AS ABOVE	200 000 07/09/08	50 14 11/11/08	35.63			11,627.31		7,165.21	-4,462.10 ST	
SAME AS ABOVE	320 000 02/21/08	55 08 11/11/08	35.83			17,626.14		11,464.34	-6,161.80 ST	
L-3 COMMUNICAT	30 000 10/08/08	90 11 11/20/08	62.66			2,703.36		1,880.34	-823.04 ST	
INC										
**PARTNERRE LTD	150 000 10/21/08	64 10 12/05/08	71.52			9,634.82		10,728.59	1,113.77 ST	
AGCO CORP	1,250 000 11/11/08	27.68 01/14/09	21.01			34,602.15		26,257.73	-8,343.40 ST	
SAME AS ABOVE	280 000 11/20/08	21 93 01/14/09	21.01			6,140.71		5,881.73	-258.98 ST	
**SCHLUMBERGER	10 000 07/09/08	98 90 01/21/09	37.20			989.01		372.03	-616.98 ST	
SAME AS ABOVE	170 000 10/08/08	68 14 01/21/09	37.20			11,583.16		6,324.58	-5,258.58 ST	
SAME AS ABOVE	220 000 01/14/09	40 66 01/21/09	37.20			8,945.44		8,184.75	-760.69 ST	
SAME AS ABOVE	90 000 11/21/08	40 50 01/21/09	37.20			3,644.69		3,348.30	-296.39 ST	
CATERPILLAR INC	190 000 08/06/08	69.92 02/05/09	31.70			13,284.33		6,022.66	-7,261.67 ST	
SPDR GOLD IR	100 000 09/26/08	57.89 02/05/09	90.15			8,789.16		9,014.80	225.64 ST	
GOLD SHS										
CALPINE CORPORAT	1,150 000 09/05/08	16.96 02/23/09	5.00			19,507.61		5,749.97	-13,757.64 ST	
SAME AS ABOVE	1,380 000 09/26/08	13.64 02/23/09	5.00			18,822.48		6,899.96	-11,922.52 ST	
SAME AS ABOVE	380 000 10/07/08	10.32 02/23/09	5.00			3,923.11		1,899.99	-2,023.12 ST	
SAME AS ABOVE	1,220 000 10/09/08	10.02 02/23/09	5.00			12,224.03		6,099.97	-6,124.06 ST	
SAME AS ABOVE	790 000 01/14/09	7.97 02/23/09	5.00			6,295.51		3,949.97	-2,345.54 ST	
FJCOR CORP NEW	1,040 000 10/24/08	32.70 02/23/09	33.16			34,012.37		34,488.36	476.01 ST	
**BCE INC	180 000 11/26/08	19.60 03/23/09	19.02			3,528.38		3,422.84	-105.54 ST	
NEW										
CATERPILLAR INC	250 000 08/06/08	69.92 03/23/09	29.08			17,479.37		7,270.45	-10,208.92 ST	
MARSH & MCLENNAN	410 000 05/15/08	26.89 03/23/09	19.76			11,846.25		8,100.69	-3,745.56 ST	
INTERNATIONAL BU	110 000 01/21/09	88.43 03/26/09	98.79			9,727.26		10,866.64	1,139.38 ST	
MACHINES CORP										
MARSH & MCLENNAN	180 000 05/25/08	26.89 03/26/09	20.69			5,200.80		3,723.65	-1,477.15 ST	
**PARTNERRE LTD	60 000 10/27/08	64 10 03/26/09	60.91			5,127.90		4,872.42	-255.48 ST	
**POTASH CORP O	200 000 10/24/08	64 40 03/26/09	86.58			12,879.22		17,316.78	4,437.56 ST	
INC										
CATERPILLAR INC	490 000 08/06/08	69.92 04/01/09	29.06			34,259.56		14,237.31	-20,022.27 ST	
SAME AS ABOVE	80 000 10/07/08	50 14 04/01/09	29.06			4,010.94		2,324.46	-1,686.48 ST	
SAME AS ABOVE	140 000 10/08/08	50.07 04/01/09	29.06			7,009.96		4,067.80	-2,942.16 ST	
SAME AS ABOVE	50 000 11/20/08	34.50 04/01/09	29.06			1,725.22		1,452.79	-272.43 ST	
SAME AS ABOVE	590 000 02/24/09	24.49 04/01/09	29.06			14,447.98		17,142.89	2,694.91 ST	
SPDR GOLD TR	120 000 04/02/09	88.63 06/09/09	93.71			10,635.62		11,245.37	609.55 ST	
GOLD SHS										
SAME AS ABOVE	390 000 09/26/08	87.89 06/09/09	93.71			34,277.72		36,547.44	2,269.72 ST	
**GOLDCORP INC	100 000 10/24/08	15 10 09/15/09	41.53			1,510.44		4,152.86	2,642.42 ST	
NEW										
**PARTNERRE LTD	60 000 10/27/08	64 10 09/15/09	74.03			3,845.93		4,441.60	595.67 ST	
SPECTRA ENERGY C	150 000 10/07/08	20 58 09/15/09	19.10			3,087.17		2,865.08	-222.09 ST	
SAME AS ABOVE	280 000 10/09/08	19 32 09/15/09	19.10			5,410.66		5,348.14	-62.52 ST	
**RCE INC	430 000 11/26/08	19.60 09/15/09	24.67			8,428.90		10,607.48	2,178.58 ST	
NEW										

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 =====

CAPITAL GAINS SCHEDULE

PAGE 3

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS ORIG FACE	-----PURCHASE-----		-----SALE-----		PRICE ADJUSTED BASIS		PROCEEDS	SECURITY INC GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		DATE	PRICE	DATE	PRICE	FX	ORIGINAL COST			
AT&T INC	70 000	04/02/09	26 63	09/23/09	26 93		1,863.98	1,884.95		20.97 ST
SAME AS ABOVE	300 000	10/08/08	24 66	09/23/09	26 93		7,399.21	8,078.38		679.17 ST
	19,340						649,940.41	506,109.17		-143,831.24 ST
FPL GROUP INC	690 000	12/20/05	42 67	10/06/08	44 50		29,479.97	30,701.51	1,221.54	LT
SAME AS ABOVE	325 000	17/28/05	41 64	10/06/08	44 50		13,548.08	14,460.86	912.78	LT
EXELON CORP	880 000	08/20/03	29 52	10/07/08	54 64		25,995.86	48,080.64	22,084.78	LT
NSTAR	320 000	08/23/07	33 17	10/24/08	29 80		10,614.91	9,536.00	-1,078.91	LT
SPECTRA ENERGY C	470 000	02/15/07	26 07	10/24/08	16 76		12,253.14	7,874.94	-4,378.20	LT
**GOLDCORP INC	140 000	11/02/06	25 99	10/27/08	16 31		3,638.87	2,283.70	-1,355.17	LT
NSTAR	30 000	08/23/07	33 17	10/27/08	29 55		995.15	886.49	-108.66	LT
SAME AS ABOVE	90 000	06/25/07	31 97	10/27/08	29 55		2,877.35	2,659.48	-217.87	LT
SAME AS ABOVE	20 000	08/07/06	31 47	10/27/08	29 55		630.37	591.00	-39.37	LT
SPECTRA ENERGY C	150 000	02/15/07	26 07	10/27/08	16 26		3,910.57	2,438.98	-1,471.59	LT
**GOLDCORP INC	690 000	11/02/06	25 99	11/11/08	21 07		17,934.41	14,536.82	-3,397.59	LT
L-3 COMMUNICAT	520 000	02/15/07	67 77	11/20/08	62 68		45,638.58	32,592.64	-13,045.94	LT
INC	410 000	11/02/06	25 99	11/25/08	25 44		10,656.68	10,432.23	-224.45	LT
**GOLDCORP INC	290 000	08/07/06	31 47	12/05/08	35 33		9,140.39	10,245.52	1,105.13	LT
NSTAR	50 000	12/03/07	93 17	01/21/09	37 20		4,668.33	1,860.17	-2,808.16	LT
SAME AS ABOVE	130 000	11/20/07	92 24	01/21/09	37 20		11,990.63	4,836.44	-7,154.19	LT
SAME AS ABOVE	590 000	01/12/07	57 50	01/21/09	37 20		33,923.88	21,950.00	-11,973.88	LT
**GOLDCORP INC	160 000	11/02/06	25 99	03/04/09	28 20		4,158.70	4,511.71	353.01	LT
NEW	170 000	06/25/07	23 69	03/04/09	28 20		4,061.50	4,793.70	732.20	LT
SAME AS ABOVE	460 000	02/21/08	55 08	03/04/09	42 16		25,337.58	19,392.80	-5,944.78	LT
SPONSORED ADR	760 000	06/25/07	23 89	03/23/09	34 71		6,211.71	9,025.31	2,813.60	LT
**GOLDCORP INC	270 000	02/15/07	26 07	03/23/09	14 35		7,039.04	3,874.48	-3,164.56	LT
SPECTRA ENERGY C	110 000	11/20/07	24 87	03/23/09	14 35		2,735.17	1,578.49	-1,156.68	LT
SAME AS ABOVE	110 000	11/29/07	24 69	03/23/09	14 35		2,716.31	1,578.50	-1,137.81	LT
SAME AS ABOVE	180 000	02/11/08	91 63	06/18/09	61 10		16,494.14	10,997.01	-5,497.13	LT
DEVON ENERGY COR	40 000	06/25/07	23 89	09/15/09	41 53		955.65	1,661.15	705.50	LT
**GOLDCORP INC	160 000	11/29/07	24 69	09/15/09	19 10		3,950.99	3,056.08	-894.91	LT
SPECTRA ENERGY C	90 000	07/09/08	33 07	09/23/09	26 93		2,976.43	2,423.51	-552.92	LT
AT&T INC	290 000	03/29/06	27 24	09/23/09	26 93		7,913.26	7,809.09	-104.17	LT
SAME AS ABOVE	950 000	06/02/06	26 75	09/23/09	26 93		25,461.62	25,581.51	119.89	LT
SAME AS ABOVE										

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CAPITAL GAINS SCHEDULE

PAGE: 4

FROM Oct 01, 2006 TO Sep 30, 2009

DESCRIPTION	UNITS ORIG FACE	PURCHASE		SALE		PRICE ADJUSTED BASIS ORIGINAL COST	PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		DATE	FX RATE	DATE	FX RATE				
	9.045					347,909.27	312,250.76	0.00 ST	
								-35,658.51 LT	
SECTION TOTAL	28.385					997,849.68	818,359.93	-143,831.24 ST	
								-35,658.51 LT	
== CAPITAL GAIN FROM LIQUIDATING DIVIDENDS ==									
POWERSHARPS DR M	760.000	03/25/08	38.61	12/30/08	0.00	342.00	342.00	0.00	
COMMODITY TR POWER S									
*SAME AS ABOVE	170.000	04/08/08	38.24	12/30/08	0.00	76.50	76.50	0.00	
*SAME AS ABOVE	200.000	05/12/08	37.14	12/30/08	0.00	90.00	90.00	0.00	
*SAME AS ABOVE	270.000	08/20/08	36.12	12/30/08	0.00	121.50	121.50	0.00	
*SAME AS ABOVE	70.000	10/07/08	26.94	12/30/08	0.00	31.50	31.50	0.00	
*SAME AS ABOVE	260.000	10/09/08	27.13	12/30/08	0.00	117.00	117.00	0.00	
	1,730					778.50	778.50	0.00 ST	
								0.00 LT	
SECTION TOTAL	1,730					778.50	778.50	0.00 ST	
								0.00 LT	

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CAPITAL GAINS SCHEDULE

PAGE 5

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS DATE	-----PURCHASE-----		-----SALE-----		PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		ORIG FACE	PRICE DATE	FX RATE MNY CURR	PRICE DATE			

==	IND LEGEND	==	==	ACCOUNT TOTALS	==			
WD	WRITTEN OPTION			SHORT TERM		506,109.17	-143,831.24	
SS	SHORT-TERM							
ST	SHORT TERM							
MT	MEDIUM TERM			LONG TERM		312,250.76	-35,658.51	
LT	LONG TERM							
P	PURCHASE INCLUDES			ZERO GAIN/LOSS ITEMS		778.50		
	OPTION PREMIUM			TOTALS		819,138.43	-179,489.75	
S	SALE INCLUDES			TOTAL CURRENCY GAINS			0.00	
	OPTION PREMIUM			TOTAL SHORT SALE P&L			0.00	
D	PURCHASE & SALE			TOTAL DISCOUNT INCOME			0.00	
	INCLUDES OPTION PREMIUM							

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CAPITAL GAINS SCHEDULE

PAGE 6

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	-----PURCHASE-----		-----SALE-----		PROCEEDS	SECURITY AND CURRENCY G/L GAIN/LOSS DISCOUNT INC
	UNITS DATE	PRICE DATE	FX RATE	FX RATE		
	ORIG FACE	PRICE ADJUSTED BASIS	FX RATE	FX RATE		

It is the designation for a gain or loss on a security held for more than twelve (12) months, which is subject to a maximum 15% tax rate. It is the designation for a gain or loss on a security held for twelve (12) months or less, which is subject to a maximum tax rate of 35% in 2005.

Note: All rates & brackets mentioned are federal income tax rates & brackets. This does not reflect alternative minimum tax ramifications, if any. There can be additional state income tax ramifications.

The long-term capital gains tax rate of 15% (or 5% for individuals in the 10% or 15% tax bracket) applies to most securities held more than one year.

THE TRANSACTIONS LISTED ABOVE ARE NOT ADJUSTED FOR THE RULES CONTAINED IN THE FOLLOWING SECTIONS OF THE INTERNAL REVENUE CODE (IF APPLICABLE):

SECTION 1091 - WASH SALES

SECTION 1092 - STRADDLES

SECTION 1259 - CONSTRUCTIVE SALES

ANY ADJUSTMENTS TO THE RESULT OF MUTUAL FUND (& CLOSED-END FUND) TRANSACTIONS REQUIRED BY SECTION 852 (b) (4) ARE NOT REFLECTED ABOVE

CLOSING TRANSACTIONS DUE TO TAXABLE MERGER INVOLVING CASH AND STOCK MAY BE REFLECTED DIFFERENTLY ON THE ABOVE CAPITAL GAINS/LOSSES STATEMENT AND ON THE FORM 1099 YOU WILL OR HAVE RECEIVED AT THE END OF THE YEAR. PLEASE CONSULT YOUR TAX ADVISOR

PLEASE NOTE THAT THE COST BASIS USED TO DETERMINE GAINS OR LOSSES AS LISTED IN THIS REPORT FOR MASTER LIMITED PARTNERSHIPS (MLP) LIQUIDATING TRUSTS, ORIGINAL ISSUE DISCOUNT (OID) AND CERTAIN MUNICIPAL BONDS MAY HAVE BEEN ADJUSTED FOR REALLOCATED INCOME, GAINS, LOSSES OR RETURN OF CAPITAL

This capital gain and loss summary is provided for information purposes only and does not conform to tax preparation standards. It is accordingly not a substitute 1099 form and should not be filed with your taxes. It is provided for your personal record keeping purposes only.

For securities not purchased through Neuberger Berman but purchased elsewhere and later transferred in, you will need to supply relevant information to your tax advisor. It is your responsibility to ensure the accuracy of this information since Neuberger Berman has no knowledge of such assets cost basis.

Exchange rates used to derive foreign exchange gains and losses may be provided from various outside sources and may not reflect realizable rates. Neuberger Berman does not warrant the accuracy of these sources and is not responsible for inaccuracies. Rates may also be subject to change without notice.

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CAPITAL GAINS SCHEDULE

PAGE: 1

FROM OCT 01, 2008 TO SEP 30, 2009

TAX EXEMPT CODE - Y
 DENOMINATED IN - U S Dollars
 REPORT RUN DATE - Jan 21, 2010

DESCRIPTION	UNITS DATE	PRICE DATE	PRICE ADJUSTED BASIS	PROCEEDS	SECURITY IND CURRENCY G/L
ORIG FACE	FX RATE	MY CURR	FX RATE	ORIGINAL COST	GAIN/LOSS DISCOUNT INC
UNITED STATES TR	10,000 000 01/28/08	104 52	10/28/08	105.14	
3.87500% 02/15/2013				10,451 53	62.89 ST
FNMA GTD PASS TH	34 440 12/06/07	99.98	10/25/08	100 00	
				34.43	0 01 ST
5.50000% 08/01/2037				34 43	
FNMA GTD PASS TH	1,054.790 05/21/08	102.50	10/25/08	100 00	
				1,081 16	-26.37 ST
6.00000% 03/01/2038				1,081.16	
FNMA GTD PASS TH	53 610 03/26/08	99 18	10/25/08	100 00	
				53 37	0.44 ST
5.00000% 04/01/2038				53.37	
SAME AS ABOVE					
FNMA GTD PASS TH	215.240 03/28/08	98 70	10/25/08	100 00	
				212.43	2 81 ST
5.50000% 08/01/2037				212 43	
FNMA GTD PASS TH	162.070 12/06/07	99 98	11/25/08	100 00	
				162 04	0.03 ST
6.00000% 03/01/2038				162 04	
FNMA GTD PASS TH	3,043 690 05/21/08	102.50	11/25/08	100 00	
				3,119 78	-76.09 ST
5.00000% 04/01/2038				3,119 78	
SAME AS ABOVE					
FNMA GTD PASS TH	1,185.110 03/26/08	99 18	11/25/08	100 00	
				1,175.39	9.72 ST
5.00000% 04/01/2038				1,175 39	
SAME AS ABOVE					
FNMA GTD PASS TH	4,740 440 03/28/08	98.70	11/25/08	100 00	
				4,678 59	61.85 ST
6.00000% 03/01/2038				4,678 59	
FNMA GTD PASS TH	1,184 750 05/21/08	102 50	12/25/08	100 00	
				1,214 37	-29.62 ST
5.00000% 03/01/2038				1,214 37	
FNMA GTD PASS TH	539.340 03/26/08	99.18	12/25/08	100 00	
				534.92	4.42 ST
5.00000% 04/01/2038				534.92	
SAME AS ABOVE					
UNITED STATES TR	2,157.380 03/28/08	96.70	12/25/08	100 00	
2 YR WHEN ISSUED				2,129.23	28.15 ST
2 12500% 01/31/2010				2,129.23	
UNITED STATES TR	30,000 000 08/29/08	99.89	12/31/08	101 80	
				29,967.29	571.67 ST
2 12500% 01/31/2010				29,967.29	
UNITED STATES TR	30,000.000 11/18/08	109 94	01/09/09	111 70	
				32,983.45	527.39 ST

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CAPITAL GAINS SCHEDULE

PAGE: 2

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS DATE ORIG FACE	-----PURCHASE-----		-----SALE-----		PRICE DATE FX RATE MNY CJRR	PRICE ADJUSTED BASIS FX RATE ORIGINAL COST	PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		UNITS DATE ORIG FACE	PRICE DATE FX RATE MNY CJRR	PRICE DATE FX RATE MNY CJRR	PRICE ADJUSTED BASIS FX RATE ORIGINAL COST					
4.35000% 09/30/2012 UNITED STATES TR	35,000.000	12/31/08	117.72	01/21/09	113.76		33,099.71	39,816.46	-1,385.10	ST
4.25000% 11/15/2017 FNM A GTD PASS TH	2,223.210	05/21/08	102.50	01/25/09	100.00		2,278.79	2,223.21	-55.58	ST
6.00000% 03/01/2038 FNM A GTD PASS TH	51,090.000	03/26/08	99.18	01/25/09	100.00		50.67	51.09	0.42	ST
5.00000% 04/01/2038 *SAME AS ABOVE*	204.360	03/28/08	98.70	01/25/09	100.00		201.69	204.36	2.67	ST
UNITED STATES TR	15,000.000	12/31/08	117.67	01/30/09	111.52		17,650.93	16,727.29	-923.64	ST
4.25000% 11/15/2017 *SAME AS ABOVE*	5,000.000	10/31/08	107.45	01/30/09	111.52		5,372.40	5,575.76	203.36	ST
SAME AS ABOVE	5,000.000	10/31/08	103.04	01/30/09	111.52		5,152.14	5,575.76	423.62	ST
SAME AS ABOVE	20,000.000	10/31/08	103.03	02/11/09	111.67		20,606.43	22,333.51	1,727.08	ST
FNM A GTD PASS TH	3,726.010	05/21/08	102.50	02/25/09	100.00		3,819.16	3,726.01	-93.15	ST
6.00000% 03/01/2038 FNM A GTD PASS TH	380.110	03/26/08	99.18	02/25/09	100.00		376.99	380.11	3.12	ST
5.00000% 04/01/2038 *SAME AS ABOVE*	1,520.420	03/28/08	98.70	02/25/09	100.00		1,500.58	1,520.42	19.84	ST
HARTFORD FUND SV	10,000.000	03/04/08	100.15	03/03/09	57.75		10,015.27	5,775.00	-4,240.27	ST
6.30000% 03/15/2018 FNM A GTD PASS TH	4,887.200	05/21/08	102.50	03/25/09	100.00		5,009.38	4,887.20	-122.18	ST
6.00000% 03/01/2038 FNM A GTD PASS TH	456.940	03/26/08	99.18	03/25/09	100.00		453.19	456.94	3.75	ST
5.00000% 04/01/2038 *SAME AS ABOVE*	1,827.750	03/28/08	98.70	03/25/09	100.00		1,803.90	1,827.75	23.85	ST
UNITED STATES TR	5,000.000	03/06/09	111.79	04/02/09	112.79		5,589.42	5,639.43	50.02	ST
4.25000% 11/15/2017 *SAME AS ABOVE*	5,000.000	03/03/09	111.14	04/02/09	112.79		5,556.84	5,639.43	82.59	ST

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CAPITAL GAINS SCHEDULE

PAGE 3

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS ORIG FACE	PURCHASE		SALE		PRICE FX RATE	DATE	PRICE FX RATE	ADJUSTED BASIS ORIGINAL COST	PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		DATE	DATE	DATE	DATE							
SAME AS ABOVE	40,000 000	02/27/09	109.81	04/02/09	112.79				43,925.72	45,115.47	1,189.75	ST
FNMA GTD PASS TH	3,073.640	05/21/08	102.50	04/25/09	100.00				3,150.48	3,073.64	-76.84	ST
6 00000 03/31/2038									3,150.48			
SAME AS ABOVE	125,966 060	05/21/08	102.50	04/30/09	104.47				129,053.72	131,532.49	2,478.77	ST
UNITED STATES TR	150000.00								129,053.72			
UNITED STATES TR	25,000 000	02/27/09	109.72	05/26/09	109.55				27,429.93	27,387.60	-42.33	ST
4 25000 11/15/2017									27,429.93			
UNITED STATES TR	30,000 000	04/30/09	98.91	05/07/09	98.23				29,674.43	29,467.87	-206.56	ST
1 75000 03/31/2014									29,673.15			
UNITED STATES TR	5,000 000	02/27/09	109.72	05/07/09	108.73				5,485.84	5,436.70	-49.14	ST
4 25000 11/15/2017									5,495.72			
SAME AS ABOVE	10,000 000	04/30/09	109.70	05/07/09	108.73				10,969.97	10,873.40	-96.57	ST
SAME AS ABOVE	25,000 000	04/30/09	109.64	05/27/09	105.64				10,971.91	26,411.03	-1,000.11	ST
SAME AS ABOVE	5,000.000	04/30/09	109.64	05/29/09	106.88				27,411.14	5,344.12	-137.84	ST
UNITED STATES TR	25,000 000	04/30/09	98.93	06/02/09	96.74				27,429.79	24,184.49	-547.85	ST
1 75000 02/31/2014									5,481.96			
UNITED STATES TR	10,000.000	04/30/09	109.62	06/04/09	105.48				5,485.96	10,547.62	-414.64	ST
4 25000 11/15/2017									24,732.34			
									24,727.62			
									10,962.26			
									10,971.91			
									532,715.10			
									533,122.34	530,669.44	-2,045.66	ST
G N V A PASS THR	1,119 430	03/18/03	103.91	10/15/08	100.00				1,163.16	1,119.43	-43.73	LT
6 00000 11/15/2032 3									1,163.16			
G N Y A PASS THR	7 850 099	18/02	103.22	10/15/08	100.00				8.13	7.85	-0.25	LT
6 00000 10/15/2032									8.10			
G N M A PASS THR	.630	11/19/02	103.39	10/15/08	100.00				0.65	0.63	-0.02	LT
6 00000 12/15/2032 3									0.65			
FNMA GTD PASS TH	491.390	03/08/07	97.25	10/25/08	100.00				477.88	491.39	13.51	LT
5 00000 11/01/2036									477.88			
FNMA GTD PASS TH	9.040	08/23/07	99.84	10/25/08	100.00				9.03	9.04	0.01	LT

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CAPITAL GAINS SCHEDULE

PAGE 4

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS DATE ORIG FACE	-----PURCHASE-----		-----SALE-----		PRICE ADJUSTED BASIS FX RATE MY CURR FX RATE ORIGINAL COST	PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		PRICE DATE	FX RATE	PRICE DATE	FX RATE				
6 000001 04/01/2036 FNMA GTD PASS TH	383.870 05/30/07	95.27	10/25/08	100.00		365.70 365.70	383.87	18.17	LT
5 000001 05/01/2037 FNMA GTD PASS TH	89.990 04/10/07	96.38	10/25/08	100.00		86.73 86.73	89.99	3.26	LT
5 000001 11/01/2037 31 *SAVE AS ABOVE*	221.360 04/10/07	96.38	10/25/08	100.00		213.34 213.34	221.36	8.02	LT
FNMA GTD PASS TH	707.500 03/27/07	99.14	10/25/08	100.00		701.42 701.42	707.50	6.08	LT
5 500001 04/01/2037 FNMA GTD PASS TH	256.220 06/04/07	97.41	10/25/08	100.00		249.59 249.59	256.22	6.63	LT
5 500001 05/01/2037 FNMA GTD PASS TH	56.140 06/01/07	97.37	10/25/08	100.00		54.66 54.66	56.14	1.48	LT
5 500001 06/01/2037 *SAME AS ABOVE*	182.650 08/20/07	97.16	10/25/08	100.00		177.46 177.46	182.65	5.19	LT
FNMA GTD PASS TH	435.680 08/24/07	97.52	10/25/08	100.00		424.86 424.86	435.68	10.82	LT
5 500001 09/01/2037 G N M A PASS THR	545.900 03/18/03	103.91	11/15/08	100.00		567.22 567.22	545.90	-21.32	LT
6 000001 11/15/2032 3 G N M A PASS THR	7.570 09/18/02	103.22	11/15/08	100.00		7.81 7.81	7.57	-0.24	LT
6 000001 10/15/2032 G N M A PASS THR	.630 11/19/02	103.39	11/15/08	100.00		0.65 0.65	0.63	-0.02	LT
6 000001 12/15/2032 3 HOUSEHOLD FINANC	55.000 000 01/30/06	102.90	11/18/08	95.13		56,594.58 57,924.35	52,322.60	-4,271.98	LT
6 375001 10/15/2011 4 SIMON PTY GROUP	10,000 000 08/23/06	99.69	11/19/08	65.50		9,968.50 9,968.50	6,550.00	-3,418.50	LT
5 750001 12/01/2015 CALLABLE \$ 100.00 09 FNMA GTD PASS TH	537.930 03/08/07	97.25	11/25/08	100.00		523.14 523.14	537.93	-14.79	LT
5 000001 11/01/2036 FNMA GTD PASS TH	9.060 08/23/07	99.84	11/25/08	100.00		9.05 9.05	9.06	0.01	LT
6 000001 04/01/2036									

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CAPITAL GAINS SCHEDULE

PAGE 5

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS ORIG FACE	PURCHASE		SALE		PRICE ADJUSTED BASIS FX RATE MNY CURR	PRICE FX RATE	ORIGINAL COST	PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY DISCOUNT	G/L INC
		DATE	FX RATE	DATE	FX RATE							
FNMA GTD PASS TH	538.270	05/30/07	95.27	11/25/08	100.00			512.79	538.27	25.48	LT	
5 00000% 03/01/2037								512.79				
FNMA GTD PASS TH	179.550	04/10/07	96.38	11/25/08	100.00			173.04	179.55	6.51	LT	
5 00000% 3/1/2037 31								173.04				
SAME AS ABOVE								425.61	441.62	16.01	LT	
FNMA GTD PASS TH	1.587.170	03/27/07	99.14	11/25/08	100.00			1.573.53	1.587.17	13.64	LT	
5 50000% 04/01/2037								348.74	358.00	9.26	LT	
FNMA GTD PASS TH	358.000	06/04/07	97.41	11/25/08	100.00			348.74				
5 50000% 05/01/2037								59.75	61.36	1.61	LT	
FNMA GTD PASS TH	61.360	06/01/07	97.37	11/25/08	100.00			59.75				
5 50000% 06/01/2037								226.14	232.76	6.62	LT	
SAME AS ABOVE								226.14				
FNMA GTD PASS TH	799.220	08/24/07	97.52	11/25/08	100.00			779.36	799.22	19.86	LT	
5 50000% 09/01/2037								70.39	67.74	-2.65	LT	
G N M A PASS TH	67.740	03/18/03	103.91	12/15/08	100.00			70.39				
6 00000% 11/15/2032 3								7.87	7.62	-0.25	LT	
G N M A PASS TH	7.620	09/18/02	103.22	12/15/08	100.00			7.87				
6 00000% 10/15/2032								0.66	0.64	-0.02	LT	
G N M A PASS TH	.640	11/19/02	103.39	12/15/08	100.00			0.66				
6 00000% 12/15/2032 3								404.90	416.35	11.45	LT	
FNMA GTD PASS TH	416.350	03/08/07	97.23	12/25/08	100.00			404.90				
5 00000% 11/01/2036								9.27	9.28	0.01	LT	
FNMA GTD PASS TH	9.280	08/23/07	99.84	12/25/08	100.00			9.27				
6 00000% 04/01/2036								482.08	506.04	23.96	LT	
FNMA GTD PASS TH	506.040	05/30/07	95.27	12/25/08	100.00			482.08				
5 00000% 03/01/2037								249.69	259.08	9.39	LT	
FNMA GTD PASS TH	259.080	04/10/07	96.38	12/25/08	100.00			249.69				
5 00000% 3/1/2037 31								614.15	637.25	23.10	LT	
SAME AS ABOVE								614.15				
FNMA GTD PASS TH	1.669.510	03/27/07	99.14	12/25/08	100.00			1.659.16	1.669.51	14.35	LT	

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CAPITAL GAINS SCHEDULE

PAGE 6

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS DATE	PURCHASE		SALE		PRICE ADJUSTED BASIS	PROCEEDS	SECURITY INC G/L	CURRENCY G/L
		ORIG FACE	PRICE DATE	FX RATE	PRICE DATE				
5 50000% 04/01/2037 FNMA GTD PASS TH	182.320	06/04/07	97.41	12/25/08	100.00	1,655.16	182.32	4.71	LT
5 50000% 05/01/2037 FNMA GTD PASS TH	58.380	06/01/07	97.37	12/25/08	100.00	56.85	58.38	1.53	LT
5 50000% 06/01/2037 *SAME AS ABOVE*	135.980	08/20/07	97.16	12/25/08	100.00	132.11	135.98	3.87	LT
FNMA GTD PASS TH	34.300	12/06/07	99.98	12/25/08	100.00	34.29	34.30	0.01	LT
5 50000% 09/01/2037 FNMA GTD PASS TH	280.170	05/24/07	97.52	12/25/08	100.00	273.21	280.17	6.96	LT
5 50000% 09/01/2037 UNITED STATES TR	35,000.000	02/19/04	101.04	01/07/09	113.03	35,362.32	39,559.45	4,197.13	LT
10 YEAR						35,680.86			
5 2500% 08/15/2013 9 *SAME AS ABOVE*	75,000.000	03/08/07	98.86	01/07/09	113.03	74,144.53	84,770.25	10,625.72	LT
G N M A PASS THR	74.070	03/18/03	103.91	01/15/09	100.00	76.96	74.07	-2.89	LT
6 0000% 11/15/2032 3 G N M A PASS THR	7.930	09/18/02	103.22	01/15/09	100.00	8.19	7.93	-0.26	LT
6 00000% 10/15/2032 G N M A PASS THR	.640	11/19/02	103.39	01/15/09	100.00	0.66	0.64	-0.02	LT
6 0000% 12/15/2032 3 FNMA GTD PASS TH	534.620	03/08/07	97.25	01/25/09	100.00	519.92	534.62	14.70	LT
5 00000% 11/01/2036 FNMA GTD PASS TH	9.180	08/23/07	99.84	01/25/09	100.00	9.17	9.18	0.01	LT
6 00000% 04/01/2036 FNMA GTD PASS TH	466.580	05/30/07	95.27	01/25/09	100.00	444.49	466.58	22.09	LT
5 00000% 03/01/2037 FNMA GTD PASS TH	576.490	04/10/07	96.38	01/25/09	100.00	555.59	576.49	20.90	LT
5 00000% 3/1/2037 31 *SAME AS ABOVE*	1,418.010	04/10/07	96.38	01/25/09	100.00	1,366.61	1,418.01	51.40	LT
FNMA GTD PASS TH	2,652.070	03/27/07	99.14	01/25/09	100.00	2,629.28	2,652.07	22.79	LT

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CAPITAL GAINS SCHEDULE

PAGE: 7

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS	ORIG	PURCHASE		SALE		PRICE ADJUSTED BASIS	PROCEEDS	SECURITY IND CURRENCY G/L
			DATE	FX RATE	DATE	FX RATE			
5 50000% 04/01/2037 FNMA GTD PASS TH	337 010	06/04/07	97.41	01/25/09	100.00	328.30	337 01	8 71 LT	
						328.30			
5 50000% 05/01/2037 FNMA GTD PASS TH	56 140	06/01/07	97.37	01/25/09	100.00	54.66	56.14	1 48 LT	
						54.66			
5 50000% 06/01/2037 *SAME AS ABOVE*	316 930	08/20/07	97.16	01/25/09	100.00	307.92	316.93	9.01 LT	
						307.92			
FNMA GTD PASS TH	34 710	12/06/07	99.98	01/25/09	100.00	34.70	34.71	0.01 LT	
						34.70			
5 50000% 08/01/2037 FNMA GTD PASS TH	711 050	08/24/07	97.52	01/25/09	100.00	693.38	711.05	17 67 LT	
						693.38			
5 50000% 09/01/2037 G N M A PASS TH	70.920	03/18/03	103.91	02/15/09	100.00	73.69	70.92	-2.77 LT	
						73.69			
6.0000% 11/15/2032 3 G N M A PASS TH	9 310	09/18/02	103.22	02/15/09	100.00	9.30	9.01	-0.29 LT	
						9.30			
6.00000% 10/15/2032 G N M A PASS TH	640	11/19/02	103.39	02/15/09	100.00	0.66	0.64	-0.02 LT	
						0.66			
6 0000% 12/15/2032 3 FNMA GTD PASS TH	1.165 860	03/08/07	97.25	02/25/09	100.00	1.133 80	1.165.86	32 06 LT	
						1.133 80			
5 00000% 11/01/2036 FNMA GTD PASS TH	11.390	06/23/07	99.84	02/25/09	100.00	11.37	11.39	0.02 LT	
						11.37			
6.00000% 04/01/2036 FNMA GTD PASS TH	886 500	05/30/07	95.77	02/25/09	100.00	844.53	886.50	41.97 LT	
						844.53			
5 00000% 03/01/2037 FNMA GTD PASS TH	1,483.420	04/10/07	96.38	02/25/09	100.00	1,429.65	1,483.42	53.77 LT	
						1,429.65			
5 00000% 2/1/2037 31 *SAME AS ABOVE*	3,648.770	04/10/07	96.38	02/25/09	100.00	3,516.50	3,648.77	132.27 LT	
						3,516.50			
FNMA GTD PASS TH	5,199 640	03/27/07	99.14	02/25/09	100.00	5,154.96	5,199.64	44 68 LT	
						5,154.96			
5 50000% 04/01/2037 FNMA GTD PASS TH	789.100	06/04/07	97.41	02/25/09	100.00	768.69	789.10	20.41 LT	
						768.69			
5 50000% 05/01/2037 FNMA GTD PASS TH	1,154 050	06/01/07	97.37	02/25/09	100.00	1,123.71	1,154.05	30.34 LT	
						1,123.71			

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CAPITAL GAINS SCHEDULE

PAGE 8

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS	PURCHASE		SALE		PRICE ADJUSTED BASIS	PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		DATE	PRICE	DATE	PRICE				
	ORIG FACE	FX RATE	MNY CURR	FX RATE	MNY CURR	ORIGINAL COST			
5 50000% 05/01/2037	1,187.600	08/20/07	97.16	02/25/09	100.00	1,153.83	1,187.60	33.77	LT
SAME AS ABOVE						1,153.83			
FNMA GTD PASS TH	35.520	12/06/07	99.98	07/25/09	100.00	35.01	35.02	0.01	LT
						35.01			
5 50000% 06/01/2037	871.080	08/24/07	97.52	02/25/09	100.00	849.44	871.08	21.64	LT
FNMA GTD PASS TH						849.44			
5 50000% 03/01/2037	10,000.000	03/04/08	100.15	03/06/09	55.25	10,015.26	5,525.00	-4,490.26	LT
HARTFORD FINE SV						10,016.50			
SR NT									
5 30000% 01/15/2018	509.150	03/18/03	103.91	03/15/09	100.00	529.04	509.15	-19.89	LT
G N M A PASS THR						529.04			
5 0000% 11/15/2032 3	8.370	09/18/02	103.22	03/15/09	100.00	8.64	8.37	-0.27	LT
G N M A PASS THR						8.64			
5 00000% 10/15/2032	650.110	11/19/02	103.39	03/15/09	100.00	0.67	0.65	-0.02	LT
G N M A PASS THR						0.67			
5 0000% 12/15/2032 3	1,812.410	03/08/07	97.25	03/25/09	100.00	1,762.57	1,812.41	49.84	LT
FNMA GTD PASS TH						1,762.57			
5 00000% 11/01/2036	30.580	08/23/07	99.84	03/25/09	100.00	30.53	30.58	0.05	LT
FNMA GTD PASS TH						30.53			
5 00000% 04/01/2036	1,266.000	05/30/07	95.27	03/25/09	100.00	1,206.06	1,266.00	59.94	LT
FNMA GTD PASS TH						1,206.06			
5 00000% 03/01/2037	2,094.740	04/10/07	96.38	03/25/09	100.00	2,018.80	2,094.74	75.94	LT
FNMA GTD PASS TH						2,018.80			
5 00000% 3/1/2037 31	5,152.450	04/10/07	96.38	03/25/09	100.00	4,965.67	5,152.45	186.78	LT
SAME AS ABOVE						4,965.67			
FNMA GTD PASS TH	4,449.350	03/27/07	99.14	03/25/09	100.00	4,411.11	4,449.35	38.24	LT
						4,411.11			
5 50000% 04/01/2037	789.430	06/04/07	97.41	03/25/09	100.00	769.02	789.43	20.41	LT
FNMA GTD PASS TH						769.02			
5 50000% 05/01/2037	1,064.280	06/01/07	97.37	03/25/09	100.00	1,036.30	1,064.28	27.98	LT
FNMA GTD PASS TH						1,036.30			
5 50000% 06/01/2037	1,795.650	08/20/07	97.16	03/25/09	100.00	1,744.59	1,795.65	51.06	LT
SAME AS ABOVE						1,744.59			

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CAPITAL GAINS SCHEDULE

PAGE: 9

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS DATE	-----PURCHASE-----		-----SALE-----		PRICE ADJUSTED BASIS	PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		ORIG FACE	PRICE DATE	FX RATE	MY CURR				
FNMA GTD PASS TH	35.650 12/06/07	59.98	03/25/09	100.00	35.64	35.64	35.65	0.01	LT
5.50000% 09/01/2037 FNMA GTD PASS TH	1,072.720 08/24/07	97.52	03/25/09	100.00	1,046.07	1,046.07	1,072.72	26.65	LT
5.50000% 09/01/2037 G N M A PASS TH	2,908.390 03/18/03	103.91	04/15/09	100.00	3,022.00	3,022.00	2,908.39	-113.61	LT
6.00000% 11/15/2032 3 G N M A PASS TH	8.660 09/18/02	103.22	04/15/09	100.00	8.94	8.94	8.66	-0.28	LT
6.00000% 10/15/2032 G N M A PASS TH	650 11/19/02	103.39	04/15/09	100.00	0.67	0.67	0.65	-0.02	LT
5.00000% 12/15/2032 3 FNMA GTD PASS TH	1,615.360 03/08/07	97.25	04/25/09	100.00	1,570.94	1,570.94	1,615.36	44.42	LT
5.00000% 11/01/2036 FNMA GTD PASS TH	1,449.120 08/23/07	99.84	04/25/09	100.00	1,446.80	1,446.80	1,449.12	2.32	LT
6.00000% 04/01/2036 FNMA GTD PASS TH	3,616.200 05/30/07	95.27	04/25/09	100.00	3,445.00	3,445.00	3,616.20	171.20	LT
5.00000% 03/01/2037 FNMA GTD PASS TH	1,152.110 04/10/07	96.38	04/25/09	100.00	1,110.35	1,110.35	1,152.11	41.76	LT
5.00000% 11/1/2037 31 *SAME AS ABOVE*	2,833.560 04/10/07	96.38	04/25/09	100.00	2,731.13	2,731.13	2,833.56	102.43	LT
FNMA GTD PASS TH	3,538.280 03/27/07	99.14	04/25/09	100.00	3,507.87	3,507.87	3,538.28	30.41	LT
5.50000% 04/01/2037 FNMA GTD PASS TH	866.570 06/04/07	97.41	04/25/09	100.00	844.16	844.16	866.57	22.41	LT
5.50000% 05/01/2037 FNMA GTD PASS TH	1,786.860 06/01/07	97.17	04/25/09	100.00	1,739.99	1,739.99	1,786.86	46.87	LT
5.50000% 06/01/2037 *SAME AS ABOVE*	1,591.740 08/20/07	97.16	04/25/09	100.00	1,546.48	1,546.48	1,591.74	45.26	LT
FNMA GTD PASS TH	2,268.250 12/06/07	99.98	04/25/09	100.00	2,267.90	2,267.90	2,268.25	0.35	LT
5.50000% 08/01/2037 FNMA GTD PASS TH	1,494.330 08/24/07	97.52	04/25/09	100.00	1,457.21	1,457.21	1,494.33	37.12	LT
5.50000% 09/01/2037									

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CAPITAL GAINS SCHEDULE

PAGE 10

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS ORIG FACC	PURCHASE-----		SALE-----		PRICE ADJUSTED BASIS ORIGINAL COST	PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		DATE	PRICE FX RATE	DATE	PRICE FX RATE				
FNMA GTD PASS TH	382 540	03/26/08	99.18	04/25/09	100.00	379 40 379 40	382.54	3 14	LT
5 00000% 04/01/2038 *SAME AS ABOVE*	1,530 180	03/28/08	98 70	04/25/09	100.00	1,510 22 1,510 22	1,530 18	19 96	LT
G N M A PASS THR	63,460	03/18/03	103.91	05/15/09	100 00	65.96 65.96	63 48	-2.48	LT
6 00000% 11/15/2032 3 G N M A PASS THR	9,030	09/18/02	103.22	05/15/09	100 00	9 32 9 32	9.03	-0.29	LT
6 00000% 10/15/2032 G N M A PASS THR	660	11/19/02	103 39	05/15/09	100.00	0.68 0 68	0.66	-0.02	LT
6 00000% 12/15/2032 3 FNMA GTD PASS TH	1,685,860	03/08/07	97.25	05/25/09	100 00	1,639 50 1,639.50	1,685.86	46 36	LT
5 00000% 11/01/2036 FNMA GTD PASS TH	889 100	06/23/07	99 84	05/25/09	100.00	887 68 887.68	889.10	1 42	LT
5 00000% 04/01/2036 FNMA GTD PASS TH	1,381,500	05/30/07	95 27	05/25/09	100 00	1,316.09 1,316.09	1,381.50	65 41	LT
5 00000% 03/01/2037 FNMA GTD PASS TH	1,407,780	04/10/07	96.38	05/25/09	100.00	1,356.75 1,356 75	1,407.78	51.03	LT
5 00000% 3/1/2037 31 *SAME AS ABOVE*	3,462,710	04/10/07	96 38	05/25/09	100 00	3,337.19 3,337.19	3,462.71	125 52	LT
FNMA GTD PASS TH	4,931,600	03/27/07	99.14	05/25/09	100.00	4,889.22 4,889.22	4,931.60	42.38	LT
5 50000% 04/01/2037 FNMA GTD PASS TH	648,790	06/04/07	97 41	05/25/09	100 00	632 01 632.01	648.79	16 78	LT
5 50000% 05/01/2037 FNMA GTD PASS TH	1,544 640	06/01/07	97 37	05/25/09	100 00	1,504 03 1,504 03	1,544.64	40.61	LT
5 50000% 06/01/2037 *SAME AS ABOVE*	1,823 870	08/20/07	97.16	05/25/09	100 00	1,772 00 1,772 00	1,823.87	51.87	LT
FNMA GTD PASS TH	1,165,030	12/06/07	99 98	05/25/09	100 00	1,164.85 1,164.85	1,165.03	0.18	LT
5 50000% 08/01/2037 FNMA GTD PASS TH	56 740	08/24/07	97 52	05/25/09	100 00	55 33 55 33	56 74	1 41	LT
5 50000% 09/01/2037 FNMA GTD PASS TH	1,356,240	03/26/08	99.18	05/25/09	100 00	1,345.11	1,356.24	11.13	LT

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CAPITAL GAINS SCHEDULE

PAGE 11

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS ORIG FACE	PURCHASE		SALE		PRICE ADJUSTED BASIS ORIGINAL COST	PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		DATE	PRICE	DATE	PRICE				
5 00000% 04/01/2038 *SAME AS ABOVE*	5,424 970	03/28/08	98.70	05/25/09	100.00	1,345.11	5,424 97	70.78 LT	
FNMA GTD PASS TH	3,161 190	05/21/08	102.50	05/25/09	100.00	5,354.19	3,161.19	-79.03 LT	
6 00000% 03/01/2038 HOUSEHOLD FINANC	15,000.000	01/30/06	102.36	06/12/09	101.85	5,354.19	15,277.35	-76.75 LT	
6 3750% 10/15/2011 4 G N M A PASS THR	2,101.820	03/18/03	103.91	06/15/09	100.00	3,240.22	2,101.82	-82.10 LT	
5 0000% 11/15/2032 3 G N M A PASS THR	9,083	09/18/02	103.22	06/15/09	100.00	3,240.22	9 08	-0 29 LT	
5 00000% 10/15/2032 G N M A PASS THR	660	11/19/02	103.39	06/15/09	100.00	15,797 55	0 66	-0.02 LT	
5 0000% 12/15/2032 3 FNMA GTD PASS TH	1,964.740	03/08/07	97 25	06/25/09	100 00	2,183.92	1,964.74	54 03 LT	
5 00000% 11/01/2036 FNMA GTD PASS TH	6 430	08/23/07	99 54	06/25/09	100 00	2,183.92	6.43	0 01 LT	
6 00000% 04/01/2036 FNMA GTD PASS TH	2,203.380	05/30/07	95.27	06/25/09	100.00	1,910.71	2,203.38	104.32 LT	
5 00000% 03/01/2037 FNMA GTD PASS TH	2,301.570	04/10/07	96 38	06/25/09	100.00	1,910.71	2,301.57	83.43 LT	
5 00000% 3/1/2037 31 *SAME AS ABOVE*	5,661 160	04/10/07	96.38	06/25/09	100.00	2 218 14	5,661 16	205 22 LT	
FNMA GTD PASS TH	6,950 510	03/27/07	99 14	06/25/09	100 00	5,455.94	6,950.51	59 73 LT	
5 50000% 04/01/2037 FNMA GTD PASS TH	958.470	06/04/07	97 41	06/25/09	100 00	5,455.94	958.47	24 79 LT	
5 50000% 05/01/2037 FNMA GTD PASS TH	1 799 940	06/01/07	97 37	06/25/09	100 00	1,752 62	1,799 94	47 32 LT	
5 50000% 06/01/2037 *SAME AS ABOVE*	1,690 580	08/20/07	97.16	06/25/09	100 00	1,752.62	1,690.58	48.08 LT	
FNMA GTD PASS TH	1,765.620	12/06/07	99.98	06/25/09	100.00	1,642.50	1,765.62	0.27 LT	

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CAPITAL GAINS SCHEDULE

PAGE. 12

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	-----PURCHASE-----		-----SALE-----		PRICE ADJUSTED BASIS	PROCEEDS	SECURITY IND CURRENCY G/L GAIN/LOSS DISCOUNT INC
	UNITS DATE	PRICE DATE	FX RATE MNY CURR	% RATE ORIGINAL COST			
5.50000% 08/01/2037 FNMA G/D PASS TH	874.100	08/24/07	97.52	06/25/09	100.00	852.38	21.72 LT
						852.38	
5.50000% 09/01/2037 ENVA G/D PASS TH	716.750	05/21/08	102.50	06/25/09	100.00	734.67	-17.92 LT
						734.67	
6.00000% 03/01/2038 FNMA G/D PASS TH	1.427	03/03/08	99.18	06/25/09	100.00	1.415.32	11.71 LT
						1.415.32	
5.00000% 04/01/2038 *SAME AS ABOVE*	5.708.110	03/28/08	98.70	06/25/09	100.00	5.633.64	74.47 LT
						5.633.64	
G N M A PASS THR	1.237	450 03/18/03	103.91	07/15/09	100.00	1.285.79	-48.34 LT
						1.285.79	
6.0000% 11/15/2032 2 G N M A PASS THR	9.320	09/18/02	103.22	07/15/09	100.00	9.62	-0.30 LT
						9.62	
6.0000% 10/15/2032 G N M A PASS THR	660	11/19/02	103.39	07/15/09	100.00	0.66	-0.02 LT
						0.66	
6.0000% 12/15/2032 3 FNMA G/D PASS TH	1.871	460 03/08/07	97.25	07/25/09	100.00	1.819.99	51.47 LT
						1.819.99	
5.00000% 11/01/2036 FNMA G/D PASS TH	346	020 08/23/07	99.84	07/25/09	100.00	345.47	0.55 LT
						345.47	
6.00000% 04/01/2036 FNMA G/D PASS TH	1.838	870 05/30/07	55.27	07/25/09	100.00	1.751.81	87.06 LT
						1.751.81	
5.00000% 03/01/2037 FNMA G/D PASS TH	485	870 04/10/07	96.38	07/25/09	100.00	468.26	17.61 LT
						468.26	
5.00000% 3/1/2037 31 *SAME AS ABOVE*	1.195	090 04/10/07	96.38	07/25/09	100.00	1.151.77	43.32 LT
						1.151.77	
FNMA G/D PASS TH	9.795	560 03/27/07	99.14	07/25/09	100.00	9.711.38	84.18 LT
						9.711.38	
5.50000% 04/01/2037 FNMA G/D PASS TH	956	670 06/04/07	97.41	07/25/09	100.00	931.93	24.74 LT
						931.93	
5.50000% 05/01/2037 FNMA G/D PASS TH	1.273	200 06/01/07	97.37	07/25/09	100.00	1.239.73	33.47 LT
						1.239.73	
5.50000% 06/01/2037 *SAME AS ABOVE*	1.695.750	08/20/07	97.16	07/25/09	100.00	1.647.53	48.22 LT
						1.647.53	

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CAPITAL GAINS SCHEDULE

PAGE 13

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS ORIG PAGE	PURCHASE		SALE		PRICE ADJUSTED BASIS FX RATE MNY CURR	PRICE FX RATE	PROCEEDS	SECURITY IND CURRENCY G/L GAIN/LOSS DISCOUNT INC
		DATE	FX RATE	DATE	FX RATE				
FNMA GTD PASS TH	531.630	12/06/07	99.98	07/25/09	100.00	531.55	531.55	531.63	0.08 LT
5.50000% 08/01/2037						531.55			
FNMA GTD PASS TH	1,204.080	09/24/07	97.52	07/25/09	100.00	1,174.17	1,174.17	1,204.08	29.91 LT
5.50000% 09/01/2037						1,174.17			
FNMA GTD PASS TH	1,316.580	05/21/08	102.50	07/25/09	100.00	1,349.49	1,349.49	1,316.58	-32.91 LT
6.00000% 03/01/2038						1,349.49			
FNMA GTD PASS TH	929.270	03/26/08	99.18	07/25/09	100.00	921.65	921.65	929.27	7.62 LT
5.00000% 04/01/2038						921.65			
SAME AS ABOVE						921.65			
G N M A PASS TH	3,717.080	03/28/08	98.70	07/25/09	100.00	3,668.58	3,668.58	3,717.08	48.50 LT
6.00000% 11/15/2032						3,668.58			
G N M A PASS TH	68.450	03/16/03	103.91	08/15/09	100.00	71.12	71.12	68.45	-2.67 LT
6.00000% 11/15/2032						71.12			
G N M A PASS TH	8.250	09/18/02	103.22	08/15/09	100.00	8.52	8.52	8.25	-0.27 LT
6.00000% 12/15/2032						8.52			
G N M A PASS TH	670.110	11/19/02	103.39	08/15/09	100.00	0.69	0.69	0.67	-0.02 LT
6.00000% 12/15/2032						0.69			
FNMA GTD PASS TH	1,424.550	03/08/07	97.25	08/25/09	100.00	1,385.37	1,385.37	1,424.55	39.18 LT
5.00000% 11/01/2036						1,385.37			
FNMA GTD PASS TH	383.140	08/23/07	99.84	08/25/09	100.00	382.82	382.82	383.14	0.32 LT
6.00000% 04/01/2036						382.82			
FNMA GTD PASS TH	1,389.820	05/30/07	95.27	08/25/09	100.00	1,324.02	1,324.02	1,389.82	65.80 LT
5.00000% 03/01/2037						1,324.02			
FNMA GTD PASS TH	1,360.940	04/10/07	96.38	08/25/09	100.00	1,311.61	1,311.61	1,360.94	49.33 LT
5.00000% 3/1/2037						1,311.61			
SAME AS ABOVE						1,311.61			
FNMA GTD PASS TH	3,347.500	04/10/07	96.38	08/25/09	100.00	3,226.15	3,226.15	3,347.50	121.35 LT
5.00000% 04/01/2037						3,226.15			
FNMA GTD PASS TH	5,232.380	03/27/07	99.14	08/25/09	100.00	5,187.41	5,187.41	5,232.38	44.97 LT
5.00000% 05/01/2037						5,187.41			
FNMA GTD PASS TH	637.120	06/04/07	97.41	08/25/09	100.00	620.64	620.64	637.12	16.48 LT
5.50000% 05/01/2037						620.64			
FNMA GTD PASS TH	1,222.560	06/01/07	97.37	08/25/09	100.00	1,190.42	1,190.42	1,222.56	32.14 LT
5.50000% 06/01/2037						1,190.42			

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CAPITAL GAINS SCHEDULE

PAGE. 14

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	-----PURCHASE-----		-----SALE-----		PRICE ADJUSTED BASIS FX RATE MNY CURR	PROCEEDS	SECURITY IND CURRENCY G/L GAIN/LOSS DISCOUNT INC
	UNITS DATE ORIG FACE	PRICE DATE FX RATE MNY CURR	UNITS DATE ORIG FACE	PRICE DATE FX RATE MNY CURR			
SAME AS ABOVE	1,451 190 08/20/07	97 16 08/25/09	100 00	1,409.92	1,451 19	41.27 LT	
FNVA GTD PASS TH	938 520 12/06/07	99.98 08/25/09	100 00	1,409 92	938 52	0 14 LT	
5 50000% 08/01/2037				938 38			
FNVA GTD PASS TH	734 610 08/24/07	97 52 08/25/09	100 00	716.36	734 61	18 25 LT	
5 50000% 09/01/2037				716.36			
FNVA GTD PASS TH	961.140 05/21/08	102.50 08/25/09	100.00	985 17	961.14	-24.03 LT	
6 00000% 03/01/2038				985 17			
FNVA GTD PASS TH	50 110 03/26/08	99.18 08/25/09	100.00	49 70	50 11	0.41 LT	
5 00000% 04/01/2038				49 70			
SAME AS ABOVE	200 450 03/28/08	98 70 08/25/09	100 00	197.83	200 45	2.62 LT	
G N M A PASS THR	59 690 03/16/03	103.91 09/15/09	100.00	197 83	59 69	-2.33 LT	
6 00000% 11/15/2032				62 02			
G N M A PASS THR	9 240 09/18/02	103.22 09/15/09	100.00	62.02	9.24	-0.30 LT	
6 00000% 10/15/2032				9 54			
G N M A PASS THR	.670 11/19/02	103.39 09/15/09	100.00	0.69	0.67	-0.02 LT	
6 00000% 12/15/2032				0.69			
NEWS AMERICA INC	15,000.000 04/06/06	93 71 09/18/09	97 82	14,056.95	14,672.65	615.90 LT	
R/MD G 20				14,056 95			
6 20000% 12/15/2034				1,034 98			
FNVA GTD PASS TH	1,064 250 03/08/07	97.25 09/25/09	100.00	1,034.98	1,064.25	29.27 LT	
5 00000% 11/01/2036				318 33			
FNVA GTD PASS TH	318 840 08/23/07	99 84 09/25/09	100.00	318.33	318.84	0 51 LT	
6 00000% 04/01/2036				318.33			
FNVA GTD PASS TH	2,744 110 05/30/07	95 27 09/25/09	100 00	2,614 19	2,744.11	129 92 LT	
5 00000% 03/01/2037				2,614.19			
FNVA GTD PASS TH	515 440 04/10/07	96.38 09/25/09	100 00	500 61	519 44	18.83 LT	
5 00000% 11/1/2037				500 61			
SAME AS ABOVE	1,277 660 04/10/07	96 38 09/25/09	100 00	1,231 34	1,277 66	46.32 LT	
FNVA GTD PASS TH	5,905 070 03/27/07	99 14 09/25/09	100.00	1,231 34	5,905 07	50 75 LT	
5 50000% 04/01/2037				5,854 32			
FNVA GTD PASS TH	585.490 06/04/07	97.41 09/25/09	100 00	570 35	585.49	15.14 LT	

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CAPITAL GAINS SCHEDULE

PAGE 15

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS ORIG PRICE	PURCHASE		SALE		PRICE ADJUSTED BASIS FX RATE VNY CURR FX RATE ORIGINAL COST	PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		DATE	PRICE	DATE	PRICE				
5 50000% 05/01/2037 FNMA LTD PASS TH	969.380	06/01/07	97.37	09/25/09	100.00	570.35 943.60 943.60	969.08	25.48	LT
5 50000% 06/01/2037 *SAME AS ABOVE*	776.240	08/20/07	97.16	09/25/09	100.00	754.17 754.17	776.24	22.07	LT
FNMA LTD PASS TH	1.118	08/24/07	97.52	09/25/09	100.00	1.090 1.090	1.118.43	27.79	LT
5 50000% 09/01/2037 FNMA LTD PASS TH	2.129.940	05/21/08	102.50	09/25/09	100.00	2.183.19 2.183.19	2.129.94	-53.25	LT
6 00000% 03/01/2038 FNMA LTD PASS TH	241.330	03/26/08	99.18	09/25/09	100.00	239.35 239.35	241.33	1.98	LT
5 00000% 04/01/2038 *SAME AS ABOVE*	965.300	03/28/08	98.70	09/25/09	100.00	952.71 952.71	965.30	12.59	LT
FNMA LTD PASS TH	31.530	12/06/07	99.98	09/25/09	100.00	31.53 31.53	31.53	0.00	
5 50000% 08/01/2037 SECTION TOTAL	934.672					427.543 429.636.40	434.690.09	0.00 7,146.69	ST LT
FEDEX CORP NTS	40.000	08/22/06	100.00	08/15/09	100.00	40.000.00 40.115.20	40.000.00	0.00	
5 50000% 08/15/2009 SECTION TOTAL	40.000					40.000.00 40.115.20	40.000.00	0.00 0.00	ST LT
UNITED STATES TR	85.000.000	09/30/08	99.93	10/30/08	100.00	84.941.83	84.941.83	0.00	

***** M A T U R I T I E S *****

***** D I S C O U N T I N C O M E *****

***** TREASURY BILLS HELD TO MATURITY *****

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CAPITAL GAINS SCHEDULE

FROM OCT 01, 2008 TO SEP 30, 2009

DESCRIPTION	UNITS	PURCHASE		SALE		PRICE	ADJUSTED BASIS	PROCEEDS	SECURITY IND	CURRENCY G/L
		DATE	FX RATE	DATE	FX RATE					
2 000001 10/30/2008							84,941.83			58 17 D
SECTION TOTAL	85.000						84,941.83	0.00 ST		
							84,941.83	0.00 LT		58 17 D

==	INC LEGEND	==	== ACCOUNT TOTALS ==	COST	PROCEEDS	GAIN/LOSS
WC	- WRITTEN OPTION		SHORT TERM	532,715 10	530,669 44	-2,045.66
SS	- SHORT-SALE					
ST	- SHORT TERM					
MT	- MEDIUM TERM					
LT	- LONG TERM		LONG TERM	427,543 40	434,690.05	7,146.69
P	- PURCHASE INCLUDES OPTION PREMIUM		ZERO GAIN/LOSS ITEMS	124,973 36	124,973.36	
S	- SALE INCLUDES OPTION PREMIUM		TOTALS	1,085,231 86	1,090,332.85	5,101 03
P	- PURCHASE & SALE INCLUDES OPTION PREMIUM		TOTAL CURRENCY GAINS			0.00
			TOTAL SHORT SALE P&L			0 00
			TOTAL DISCOUNT INCOME			58.17

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CAPITAL GAINS SCHEDULE

PAGE: 17

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	PURCHASE		SALE		PROCEEDS	SECURITY IND CURRENCY G/L GAIN/LOSS DISCOUNT INC
	UNITS DATE	PRICE DATE	FX RATE MNY CURR	FX RATE ORIGINAL COST		

If is the designation for a gain or loss on a security held for more than twelve (12) months, which is subject to a maximum 15% tax rate. If is the designation for a gain or loss on a security held for twelve (12) months or less, which is subject to a maximum tax rate of 35% in 2005.

Note: All rates & brackets mentioned are federal income tax rates & brackets. This does not reflect alternative minimum tax ramifications, if any. There can be additional state income tax ramifications.

The long-term capital gains tax rate of 15% (or 5% for individuals in the 10% or 15% tax bracket) applies to most securities held more than one year.

THE TRANSACTIONS LISTED ABOVE ARE NOT ADJUSTED FOR THE RULES CONTAINED IN THE FOLLOWING SECTIONS OF THE INTERNAL REVENUE CODE (IF APPLICABLE):

SECTION 1091 - WASH SALES

SECTION 1092 - STRADDLES

SECTION 1259 - CONSTRUCTIVE SALES

ANY ADJUSTMENTS TO THE RESULT OF MUTUAL FUND (& CLOSED-END FUND) TRANSACTIONS REQUIRED BY SECTION 852 (b) (4) ARE NOT REFLECTED ABOVE.

CLOSING TRANSACTIONS DUE TO TAXABLE MERGER INVOLVING CASH AND STOCK MAY BE REFLECTED DIFFERENTLY ON THE ABOVE CAPITAL GAINS/LOSSES STATEMENT AND ON THE FORM 1099 YOU WILL OR HAVE RECEIVED AT THE END OF THE YEAR. PLEASE CONSULT YOUR TAX ADVISOR.

PLEASE NOTE THAT THE COST BASIS USED TO DETERMINE GAINS OR LOSSES AS LISTED IN THIS REPORT FOR MASTER LIMITED PARTNERSHIPS (MLP) LIQUIDATING TRUSTS, ORIGINAL ISSUE DISCOUNT (OID) AND CERTAIN MUNICIPAL BONDS MAY HAVE BEEN ADJUSTED FOR REALLOCATED INCOME, GAINS, LOSSES OR RETURN OF CAPITAL.

This capital gain and loss summary is provided for information purposes only and does not conform to tax preparation standards. It is accordingly not a substitute 1099 form and should not be filed with your taxes. It is provided for your personal record keeping purposes only.

For securities not purchased through Neuberger Berman but purchased elsewhere and later transferred in, you will need to supply relevant information to your tax advisor. It is your responsibility to ensure the accuracy of this information since Neuberger Berman has no knowledge of such assets cost basis.

Exchange rates used to derive foreign exchange gains and losses may be provided from various outside sources and may not reflect realizable rates. Neuberger Berman does not warrant the accuracy of these sources and is not responsible for inaccuracies. Rates may also be subject to change without notice.

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CAPITAL GAINS SCHEDULE

PAGE: 1

FROM OCT 01, 2008 TO SEP 30, 2009

FISCAL YEAR END - 09/30

TAX EXEMPT CODE - Y

DENOMINATED IN - U.S. Dollars

REPORT RUN DATE - Jan 21, 2010

DESCRIPTION	ORIG	FACE	PURCHASE		SALE		PRICE ADJUSTED BASIS	PROCEEDS	SECURITY IND	CURRENCY G/L
			UNITS	DATE	PRICE	DATE				
			DATE	FX RATE	DATE	FX RATE				
EXPEDITORS INTL	247.000	10/12/07	49.55	10/03/08	34.47	12,239.42	8,515.18	-3,724.24	ST	
PRAXAIR INC	306.000	02/01/08	83.10	10/06/08	69.05	25,429.06	21,128.72	-4,300.34	ST	
WAL-MART STORES	618.000	06/17/08	58.70	10/06/08	55.20	36,279.63	34,114.20	-2,165.43	ST	
PHILIP MORRIS IN	594.000	01/29/08	52.42	10/06/08	45.29	31,137.02	26,902.17	-4,234.85	ST	
SAME AS ABOVE	302.000	04/03/08	50.95	10/06/08	45.29	15,387.93	13,677.53	-1,710.40	ST	
FRANKLIN RESOURC	283.000	09/19/08	136.39	10/08/08	68.65	30,107.21	19,428.15	-10,679.06	ST	
SEMPRA ENERGY	269.000	11/07/07	61.17	10/08/08	37.15	16,454.43	9,993.13	-6,461.30	ST	
WESTERN UNION CO	81.000	03/19/08	22.26	10/09/08	19.50	1,847.44	1,618.19	-229.25	ST	
SAME AS ABOVE	1,371.000	02/29/08	20.99	10/09/08	19.50	28,780.72	26,729.42	-2,051.30	ST	
***BROOKFIELD AS	824.000	12/06/07	36.19	10/15/08	20.15	29,824.02	16,600.78	-13,223.24	ST	
INC CLASS A LTD VTG	100.000	12/03/07	37.30	10/15/08	30.14	3,729.58	3,014.75	-715.33	ST	
***ENERIDGE INC	177.000	04/03/08	57.71	11/19/08	49.87	10,214.51	8,827.42	-1,387.09	ST	
C H ROBINSON WOR	988.000	10/21/08	18.20	11/20/08	15.13	17,983.38	14,949.44	-3,033.94	ST	
NEW	516.000	11/07/08	17.57	11/20/08	15.13	9,066.22	7,807.60	-1,258.62	ST	
CISCO SYSTEMS IN	809.000	10/24/08	21.89	11/20/08	18.21	17,709.90	14,730.75	-2,979.15	ST	
SAME AS ABOVE	430.000	11/07/08	21.35	11/20/08	18.21	9,180.46	7,829.70	-1,350.76	ST	
SAML AS ABOVE	86.000	12/06/07	36.19	11/21/08	12.39	3,112.70	1,065.10	-2,047.60	ST	
***BROOKFIELD AS	149.000	11/05/08	60.12	01/14/09	39.76	6,957.67	5,924.79	-3,033.08	ST	
INC CLASS A LTD VTG	312.000	10/27/08	53.97	01/14/09	39.76	16,837.39	12,406.26	-4,431.13	ST	
NORFOLK SOUTHERN	137.000	02/18/09	27.23	03/03/09	27.53	3,730.50	3,772.23	41.73	ST	
SAME AS ABOVE	42.000	02/17/09	86.83	03/03/09	78.28	3,646.94	3,287.94	-359.00	ST	
ABBOTT LABORATOR	191.000	05/29/08	55.83	03/03/09	46.44	10,664.28	8,869.42	-1,794.86	ST	
AMON CORP	21.000	11/14/08	42.39	03/03/09	37.00	890.15	776.99	-113.16	ST	
BLACKROCK INC	105.000	03/19/08	194.00	03/03/09	93.86	20,370.02	9,855.43	-10,514.59	ST	
CL A	46.000	04/03/08	57.71	03/03/09	39.82	2,654.62	1,831.86	-822.76	ST	
C H ROBINSON WOR	496.000	10/21/08	33.19	03/03/09	28.10	16,461.45	13,936.67	-2,524.78	ST	
NEW	104.000	10/20/08	62.99	03/03/09	48.17	6,550.44	5,009.13	-1,541.31	ST	
***ENERIDGE INC	129.000	02/06/09	78.15	03/03/09	60.29	10,080.71	7,776.64	-2,303.87	ST	
JOHNSON & JOHNSC	115.000	10/20/08	88.10	03/03/09	73.06	10,131.82	8,401.86	-1,729.96	ST	
LOCKHEED MARTIN	100.000	01/07/09	85.37	03/03/09	73.06	8,536.58	7,305.97	-1,230.61	ST	
SAME AS ABOVE	227.000	11/05/08	42.06	03/03/09	39.03	9,548.64	8,859.60	-689.04	ST	
MEDCO HEALTH SOL										

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CAPITAL GAINS SCHEDULE

PAGE 2

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS	PURCHASE		SALE		PRICE ADJUSTED BASIS		PROCEEDS	SECURITY IND	CURRENCY G/L
		DATE	PRICE	DATE	PRICE	FX	ORIGINAL COST			
ORIG FACE			FX RATE	MNY	CURR				GAIN/LOSS	DISCOUNT INC
FPL GROUP INC	149 000	02/04/09	53.29	03/04/09	45.02		7,939.97	6,707.78	-1,232.19	ST
PHILIP MORRIS IN	136 000	12/23/08	42.05	03/04/09	33.04		5,719.38	4,493.60	-1,225.78	ST
WAL-MART STORES	166 000	11/07/08	54.53	03/04/09	48.00		9,051.37	7,968.33	-1,083.04	ST
ACN CORP	414 000	11/14/08	42.39	04/16/09	39.71		17,548.72	16,439.34	-1,109.38	ST
ABBOTT LABORATOR	488 000	05/29/08	55.83	04/23/09	42.71		27,246.94	20,839.70	-6,407.24	ST
PEPSICO INC	376 000	11/24/08	53.85	04/23/09	47.70		20,249.10	17,935.52	-2,313.58	ST
114 000	04/16/09	51.63	04/23/09	47.70		5,885.59		5,437.90	-447.69	ST
MEDCO HEALTH SOL	19 000	04/29/09	42.68	05/07/09	45.72		810.92	868.61	57.69	ST
SAME AS ABOVE	207 000	11/05/08	42.06	05/07/09	45.72		8,707.35	9,463.24	755.89	ST
SAME AS ABOVE	191 000	11/25/08	40.06	05/07/09	45.72		7,651.80	8,731.77	1,079.97	ST
GENERAL ELECTRIC	1,838 000	03/12/09	9.24	05/08/09	14.39		16,982.57	26,440.42	9,457.85	ST
GOLDMAN SACHS GR	24 000	04/29/09	124.16	05/18/09	141.08		2,979.84	3,385.85	406.01	ST
SAME AS ABOVE	36 000	04/03/09	114.13	05/18/09	141.08		4,108.83	5,078.77	969.94	ST
CAPITAL ONE FINA	676 000	05/08/09	29.07	05/26/09	22.56		19,649.29	15,252.98	-4,396.31	ST
*ALCON INC	18 000	04/29/09	95.87	05/28/09	107.13		1,725.69	1,928.20	202.51	ST
SAME AS ABOVE	52 000	02/17/09	86.83	05/28/09	107.13		4,515.25	5,570.37	1,055.12	ST
*BHP BILLITON	141 000	05/06/09	53.25	06/02/09	60.11		7,506.29	8,475.50	967.21	ST
SPONSORED ADR										
SAME AS ABOVE	18 000	04/03/09	49.33	06/02/09	60.11		887.90	1,081.98	194.08	ST
*PETROLEO BRAS	184 000	04/03/09	34.67	06/02/09	44.85		6,379.17	8,251.28	1,872.11	ST
PETROBRAS										
SPONSORED ADR										
SAME AS ABOVE	106 000	04/29/09	33.87	06/02/09	44.85		3,590.33	4,753.46	1,163.13	ST
*BHP BILLITON	96 000	04/03/09	49.33	06/17/09	54.07		4,735.48	5,190.60	455.12	ST
SPONSORED ADR										
SAME AS ABOVE	49 000	04/29/09	47.13	06/17/09	54.07		2,309.37	2,649.37	340.00	ST
COLGATE PALMOLIV	233 000	12/23/08	65.88	06/29/09	71.77		15,345.92	16,722.42	1,372.50	ST
BLACKROCK INC	107 000	02/18/09	104.90	07/30/09	193.90		11,224.52	20,746.35	9,521.83	ST
C. A										
COLGATE PALMOLIV	150 000	12/23/08	65.88	07/31/09	72.65		9,881.93	10,896.71	1,014.78	ST
SAME AS ABOVE	173 000	05/06/09	61.05	07/31/09	72.65		10,562.08	12,567.54	2,005.46	ST
SAME AS ABOVE	19 000	02/18/09	60.79	07/31/09	72.65		1,155.01	1,380.25	225.24	ST
SAME AS ABOVE	97 000	04/29/09	59.89	07/31/09	72.65		5,809.33	7,046.54	1,237.21	ST
ECOLAB INC	17 000	04/29/09	38.80	08/20/09	42.40		659.64	720.82	61.18	ST
WAL-MART STORES	324 000	11/07/08	54.53	09/11/09	50.67		17,666.52	16,415.75	-1,250.77	ST
SAME AS ABOVE	178 000	04/16/09	50.35	09/11/09	50.67		8,961.94	9,018.53	56.59	ST
SAME AS ABOVE	110 000	04/29/09	49.32	09/11/09	50.67		5,425.19	5,573.25	148.06	ST
HEKLETT PACKARD	94 000	04/29/09	36.64	09/15/09	45.54		3,444.28	4,280.74	836.46	ST
SAME AS ABOVE	319 000	05/06/09	36.28	09/15/09	45.54		11,573.80	14,527.20	2,953.40	ST
SAME AS ABOVE	212 000	04/03/09	34.36	09/15/09	45.54		7,287.97	9,654.44	2,366.47	ST
SAME AS ABOVE	219 000	03/27/09	33.05	09/15/09	45.54		7,237.23	9,973.22	2,735.99	ST
SAME AS ABOVE	253 000	03/10/09	26.30	09/15/09	45.54		7,706.69	13,343.18	5,636.49	ST
QUALCOMM INC	116 000	04/29/09	42.93	09/15/09	45.80		4,960.17	5,312.45	332.28	ST
SAME AS ABOVE	159 000	04/03/09	41.00	09/15/09	45.80		6,518.36	7,281.72	763.36	ST
SAME AS ABOVE	32 000	03/19/09	37.85	09/15/09	45.80		1,211.21	1,465.51	254.30	ST
*ENBRIDGE INC	159 000	04/29/09	30.86	09/16/09	38.35		4,906.36	6,097.83	1,191.47	ST

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 UNLESS IT CONFORMS WITH THE OFFICIAL RECORDS OF THIS FIRM

CAPITAL GAINS SCHEDULE

PAGE. 3

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS ORIG FACE	PURCHASE		SALE		PRICE ADJUSTED BASIS		PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		DATE	FX RATE	DATE	FX RATE	DATE	DATE			
UUALCOMM INC	281,000	03/15/09	37.85	09/18/09	44.57	10,635.90		12,524.14	1,888.24	ST
SAME AS ABOVE	225,000	03/10/09	34.73	09/18/09	44.57	7,813.37		10,028.22	2,214.85	ST
	19,192					773,739.61		717,468.10	-56,271.51	ST

ECOLAB INC	198,000	08/01/07	42.39	10/03/08	46.50	8,393.79		9,207.71	813.92	LT
SEMPRA ENERGY	381,000	09/04/07	55.29	10/08/08	37.15	21,066.40		14,153.84	-6,912.56	LT
FREEMPORT MCMORAN	205,000	05/10/07	71.95	10/22/08	28.91	14,750.01		5,927.37	-8,822.64	LT
GOLD INC- COMMON SH										
CROWN CASTLE INT	1,174,000	10/04/07	39.26	10/29/08	19.78	46,092.88		23,218.35	-22,874.53	LT
EXPEDITORS INTL	268,000	10/12/07	49.55	11/19/08	29.20	13,280.02		7,825.20	-5,454.82	LT
*SUNCOR ENERGY	351,000	11/15/01	6.82	11/19/08	18.05	2,398.28		6,335.66	3,937.38	LT
*BROOKFIELD AS	1,090,000	08/04/05	17.07	11/21/08	12.39	18,628.68		13,499.57	-5,129.11	LT
INC CLASS A LTD VTG										
PROCTER & GAMBLE	218,000	08/17/07	64.59	12/09/08	61.82	14,081.08		13,476.35	-604.73	LT
AMERICAN TOWER C	538,000	09/26/05	24.18	03/03/09	27.53	13,036.28		14,813.58	1,777.30	LT
CL A										
BLACKROCK INC	49,000	08/01/07	156.35	03/03/09	93.86	7,661.03		4,599.20	-3,061.83	LT
CL A										
*ENBRIDGE INC	6,000	12/03/07	37.30	03/03/09	28.10	223.77		168.59	-55.18	LT
SAME AS ABOVE	85,000	08/17/07	32.77	03/03/09	28.10	2,785.11		2,388.34	-396.77	LT
ECOLAB INC	28,000	08/01/07	42.39	03/03/09	31.00	1,187.00		867.99	-319.01	LT
MONSANTO CO	39,000	09/17/07	76.05	03/03/09	73.06	2,966.03		2,849.33	-116.70	LT
*ENBRIDGE INC	226,000	08/17/07	32.77	07/20/09	36.23	7,405.11		8,186.72	781.61	LT
BLACKROCK INC	16,000	08/01/07	156.35	07/30/09	193.90	2,501.56		3,102.26	600.70	LT
CL A										
ECOLAB INC	495,000	08/01/07	42.39	08/20/09	42.40	20,984.49		20,988.72	4.23	LT
PROCTER & GAMBLE	172,000	08/17/07	64.59	09/15/09	54.98	11,109.84		9,456.52	-1,653.32	LT
*ENBRIDGE INC	583,000	08/17/07	32.77	09/16/09	38.35	19,102.58		22,358.69	3,256.11	LT
	6,122					227,653.94		183,423.99	0.00	ST
									-44,229.95	LT

SECTION TOTAL	25,314					1,001,393.55		900,892.09	-56,271.51	ST
									-44,229.95	LT

REAL ESTATE INVESTMENT TRUSTS

VORNADO REALTY T	4,575	06/15/09	48.10	08/27/09	56.96	220.04		260.10	40.06	ST
SAME AS ABOVE	35,000	04/29/09	47.68	08/27/09	56.86	1,668.80		1,989.95	321.15	ST
SAME AS ABOVE	167,425	04/23/09	45.64	08/27/09	56.86	7,640.47		9,519.06	1,878.59	ST

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CAPITAL GAINS SCHEDULE

PAGE: 4

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS DATE ORIG FACE	PURCHASE		SALE		PRICE ADJUSTED BASIS FX RATE MNY CURR	FX RATE ORIGINAL COST	PROCEEDS	SECURITY IND CURRENCY G/L GAIN/LOSS DISCOUNT INC
		DATE	PRICE	DATE	PRICE				
	207					9.529.31		11,769.11	2,239.80 ST 0.00 LT
SECTION TOTAL	207					9.529.31		11,769.11	2,239.80 ST 0.00 LT
CAPITAL GAIN DIVIDENDS									
VORNADO REALTY T	3.380			06/12/09	1.00	0.00		3.38	3.38 LT
SAME AS ABOVE	3.880			09/14/09	1.00	0.00		3.88	3.88 LT
	7					0.00		7.26	0.00 ST 7.26 LT
SECTION TOTAL	7					0.00		7.26	0.00 ST 7.26 LT
CAPITAL GAIN FROM LIQUIDATING DIVIDENDS									
VORNADO REALTY T	394.250	01/01/00		06/12/09	0.35	0.00		138.97	138.97 LT
SAME AS ABOVE	452.770	01/01/00		09/14/09	0.35	0.00		159.60	159.60 LT
	847					0.00		298.57	0.00 ST 298.57 LT
SECTION TOTAL	847					0.00		298.57	0.00 ST 298.57 LT

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PAGE: 5

FROM: Oct 01, 2008 TO Sep 30 2009

DESCRIPTION	PURCHASE		SALE		PROCEEDS	SECURITY AND CURRENCY G/L DISCOUNT INC
	UNITS DATE	PRICE DATE	PRICE DATE	ADJUSTED BASIS		
ORIG FACE	FX RATE	MNY CURR	FX RATE	ORIGINAL COST		
== ACCOUNT TOTALS ==						
NO - WRITTEN OPTION						
SS - SHORT- SALE						
ST - SHORT TERM						
MT - MEDIUM TERM						
LT - LONG TERM						
P - PURCHASE INCLUDES						
OPTION PREMIUM						
S - SALE INCLUDES						
OPTION PREMIUM						
P - PURCHASE & SALE						
INCLUDES OPTION PREMIUM						
TOTALS						
TOTAL CURRENCY GAINS						
TOTAL SHORT SALE P&L						
TOTAL DISCOUNT INCOME						

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CAPITAL GAINS SCHEDULE

PAGE: 6

FROM: Oct 01, 2006 TO Sep 30, 2009

DESCRIPTION	UNITS	DATE	PURCHASE		SALE		PRICE ADJUSTED BASIS	PROCEEDS	SECURITY IND CURRENCY G/L	
			ORIG	FACE	FX	RATE			FX	RATE
IT is the designation for a gain or loss on a security held for more than twelve (12) months, which is subject to a maximum 15% tax rate. ST is the designation for a gain or loss on a security held for twelve (12) months or less, which is subject to a maximum tax rate of 35% in 2005. Note: All rates & brackets mentioned are federal income tax rates & brackets. This does not reflect alternative minimum tax ramifications, if any. There can be additional state income tax ramifications. The long-term capital gains tax rate of 15% (or 5% for individuals in the 10% or 15% tax bracket) applies to most securities held more than one year. THE TRANSACTIONS LISTED ABOVE ARE NOT ADJUSTED FOR THE RULES CONTAINED IN THE FOLLOWING SECTIONS OF THE INTERNAL REVENUE CODE (IF APPLICABLE): SECTION 1091 - WASH SALES SECTION 1092 - STRADDLES SECTION 1259 - CONSTRUCTIVE SALES ANY ADJUSTMENTS TO THE RESULT OF MUTUAL FUND (& CLOSED-END FUND) TRANSACTIONS REQUIRED BY SECTION 852 (b) (4) ARE NOT REFLECTED ABOVE. CLOSING TRANSACTIONS DUE TO TAXABLE MERGER INVOLVING CASH AND STOCK MAY BE REFLECTED DIFFERENTLY ON THE ABOVE CAPITAL GAINS/LOSSES STATEMENT AND ON THE FORM 1099 YOU WILL OR HAVE RECEIVED AT THE END OF THE YEAR. PLEASE CONSULT YOUR TAX ADVISOR. PLEASE NOTE THAT THE COST BASIS USED TO DETERMINE GAINS OR LOSSES AS LISTED IN THIS REPORT FOR MASTER LIMITED PARTNERSHIPS (MLP), LIQUIDATING TRUSTS, ORIGINAL ISSUE DISCOUNT (OID), AND CERTAIN MUNICIPAL BONDS MAY HAVE BEEN ADJUSTED FOR REALLOCATED INCOME, GAINS, LOSSES OR RETURN OF CAPITAL. This capital gain and loss summary is provided for information purposes only and does not conform to tax preparation standards. It is accordingly not a substitute for 1099 form and should not be filed with your taxes. It is provided for your personal record keeping purposes only. For securities not purchased through Neuberger Berman but purchased elsewhere and later transferred in, you will need to supply relevant information to your tax advisor. It is your responsibility to ensure the accuracy of this information since Neuberger Berman has no knowledge of such assets cost basis. Exchange rates used to derive foreign exchange gains and losses may be provided from various outside sources and may not reflect realizable rates. Neuberger Berman does not warrant the accuracy of these sources and is not responsible for inaccuracies. Rates may also be subject to change without notice.										

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CAPITAL GAINS SCHEDULE

PAGE: 1

FROM Oct 01, 2008 TO Sep 30, 2009

TAX EXEMPT CODE - Y
DENOMINATED IN - U S Dollars
REPORT RUN DATE - Jan 21, 2010

DESCRIPTION	PURCHASE		SALE		UNITS	DATE	PRICE	ADJUSTED BASIS	PROCEEDS	SECURITY IND	CURRENCY G/L	
	ORIG	FACE	FX RATE	MY CURR								FX RATE
***** C A P I T A L G A I N S *****												
VANGUARD TOTAL B	5,225	000	10/17/08	73	35	03/05/09	76	49	383,250.09	399,639.72	16,389.63	ST
SECTION TOTAL	5,225								383,250.09	399,639.72	16,389.63	ST

*NEUBERGER BERM	422	641	05/02/08	9	72	10/13/08	8	82	4,108.07	3,727.69	-380.38	ST
STRATEGIC INCOME FD									4,108.07			
SAME AS ABOVE	1,568	633	04/01/08	9	66	10/13/08	8	82	15,152.99	13,835.34	-1,317.65	ST
SAME AS ABOVE	1,230	847	01/03/08	9	63	10/13/08	8	82	15,152.99	10,856.07	-986.99	ST
SAME AS ABOVE	4,026	540	01/03/08	9	63	10/13/08	8	82	11,853.06	35,514.08	-3,261.50	ST
SAME AS ABOVE	919	528	01/03/08	9	63	10/13/08	8	82	38,775.58	8,110.24	-744.81	ST
SAME AS ABOVE	421	381	06/03/08	9	56	10/13/08	8	82	8,855.05	3,716.58	-311.82	ST
SAME AS ABOVE	462	358	07/02/08	9	41	10/13/08	8	82	4,028.40	4,078.00	-272.79	ST
SAME AS ABOVE	469	021	09/03/08	9	37	10/13/08	8	82	4,350.79	4,136.77	-257.96	ST
SAME AS ABOVE	447	123	08/04/08	9	35	10/13/08	8	82	4,394.73	3,943.62	-236.98	ST
SAME AS ABOVE	466	159	10/02/08	9	18	10/13/08	8	82	4,180.60	4,111.53	-167.81	ST

	10,434								99,978.61	92,029.92	-7,948.69	ST

*NEUBERGER BERM	1,375	721	10/03/05	11	00	10/13/08	8	82	15,132.93	12,133.86	-2,999.07	LT
STRATEGIC INCOME FD									15,132.93			
SAME AS ABOVE	1,036	589	07/01/05	10	97	10/13/08	8	82	11,371.38	9,142.71	-2,228.67	LT
SAME AS ABOVE	653	688	01/04/05	10	85	10/13/08	8	82	11,371.38	5,765.53	-1,326.99	LT
									7,092.52			

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CAPITAL GAINS SCHEDULE

PAGE: 2

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	PURCHASE		SALE		PRICE ADJUSTED BASIS		PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
	UNITS ORIG FACE	DATE	PRICE FX RATE	DATE MNY CURR	PRICE FX RATE	ADJUSTED BASIS ORIGINAL COST			
SAME AS ABOVE	1,151 229	01/04/05	10.85	10/13/08	8.82	12,490.83	10,153.84	-2,336.99	LT
						12,490.83			
SAME AS ABOVE	955 129	04/01/05	10.59	10/13/08	8.82	10,114.62	8,424.24	-1,690.58	LT
						10,114.62			
SAME AS ABOVE	1,098 726	10/01/04	10.52	10/13/08	8.82	11,558.60	9,690.76	-1,867.84	LT
						11,558.60			
SAME AS ABOVE	1,090.691	04/01/04	10.52	10/13/08	8.82	11,474.07	9,619.89	-1,854.18	LT
						11,474.07			
SAME AS ABOVE	1,386.002	10/02/06	10.47	10/13/08	8.82	14,511.44	12,224.54	-2,286.90	LT
						14,511.44			
SAME AS ABOVE	1,025 026	04/02/07	10.37	10/13/08	8.82	10,629.52	9,040.73	-1,588.79	LT
						10,629.52			
SAME AS ABOVE	1,065.384	01/04/07	10.31	10/13/08	8.82	10,984.11	9,396.69	-1,587.42	LT
						10,984.11			
SAME AS ABOVE	3,493 636	01/04/07	10.31	10/13/08	8.82	36,019.39	30,813.87	-5,205.52	LT
						36,019.39			
SAME AS ABOVE	1,650.680	01/04/07	10.31	10/13/08	8.82	17,018.51	14,559.00	-2,459.51	LT
						17,018.51			
SAME AS ABOVE	1,148 305	01/05/04	10.31	10/13/08	8.82	11,839.02	10,128.05	-1,710.97	LT
						11,839.02			
SAME AS ABOVE	1,053.583	04/03/06	10.29	10/13/08	8.82	10,841.37	9,292.60	-1,548.77	LT
						10,841.37			
SAME AS ABOVE	1,398 700	10/01/07	10.25	10/13/08	8.82	14,336.67	12,336.53	-2,000.14	LT
						14,336.67			
SAME AS ABOVE	1,049 033	07/01/04	10.19	10/13/08	8.82	10,689.65	9,252.47	-1,437.18	LT
						10,689.65			
SAME AS ABOVE	1,405 134	07/02/07	10.16	10/13/08	8.82	14,276.16	12,393.26	-1,882.88	LT
						14,276.16			
SAME AS ABOVE	5,169.541	01/04/06	10.14	10/13/08	8.82	52,419.15	45,595.35	-6,823.80	LT
						52,419.15			
SAME AS ABOVE	1,739 875	01/04/06	10.14	10/13/08	8.82	17,642.33	15,345.70	-2,296.63	LT
						17,642.33			
SAME AS ABOVE	3,550 521	01/04/06	10.14	10/13/08	8.82	36,002.28	31,315.60	-4,686.68	LT
						36,002.28			
SAME AS ABOVE	1,375 618	07/03/06	10.13	10/13/08	8.82	13,935.01	12,132.95	-1,802.06	LT
						13,935.01			
SAME AS ABOVE	843 470	10/01/03	10.03	10/13/08	8.82	8,460.00	7,439.41	-1,020.59	LT
						8,460.00			
SAME AS ABOVE	64,555.556	07/10/03	10.00	10/13/08	8.82	645,555.56	569,380.00	-76,175.56	LT
						645,555.56			
	99,272					1,004,395.32	875,577.60	0.00	ST
						1,004,395.32		-128,817.72	LT

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CAPITAL GAINS SCHEDULE

PAGE. 4

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS	-----PURCHASE-----		-----SALE-----		PROCEEDS	SECURITY IND CURRENCY C/L GAIN/LOSS DISCOUNT INC
		DATE	PRICE	DATE	PRICE		
	FACE	FX RATE	MY CURR	FX RATE	ORIGINAL COST		

LT is the designation for a gain or loss on a security held for more than twelve (12) months, which is subject to a maximum 15% tax rate. ST is the designation for a gain or loss on a security held for twelve (12) months or less, which is subject to a maximum tax rate of 35% in 2005.

Note: All rates & brackets mentioned are federal income tax rates & brackets. This does not reflect alternative minimum tax ramifications, if any. There can be additional state income tax ramifications.

The long-term capital gains tax rate of 15% (or 5% for individuals in the 10% or 15% tax bracket) applies to most securities held more than one year.

THE TRANSACTIONS LISTED ABOVE ARE NOT ADJUSTED FOR THE RULES CONTAINED IN THE FOLLOWING SECTIONS OF THE INTERNAL REVENUE CODE (IF APPLICABLE):

SECTION 1091 - WASH SALES

SECTION 1092 - STRADDLES

SECTION 1259 - CONSTRUCTIVE SALES

ANY ADJUSTMENTS TO THE RESULT OF MUTUAL FUND (& CLOSED-END FUND) TRANSACTIONS REQUIRED BY SECTION 852 (b) (4) ARE NOT REFLECTED ABOVE

CLOSING TRANSACTIONS DUE TO TAXABLE MERGER INVOLVING CASH AND STOCK MAY BE REFLECTED DIFFERENTLY ON THE ABOVE CAPITAL GAINS/LOSSES STATEMENT AND ON THE FORM 1099 YOU WILL OR HAVE RECEIVED AT THE END OF THE YEAR. PLEASE CONSULT YOUR TAX ADVISOR

PLEASE NOTE THAT THE COST BASIS USED TO DETERMINE GAINS OR LOSSES AS LISTED IN THIS REPORT FOR MASTER LIMITED PARTNERSHIPS (MLP) LIQUIDATING TRUSTS, ORIGINAL ISSUE DISCOUNT (OID) AND CERTAIN MUNICIPAL BONDS MAY HAVE BEEN ADJUSTED FOR REALLOCATED INCOME, GAINS, LOSSES OR RETURN OF CAPITAL.

This capital gain and loss summary is provided for information purposes only and does not conform to tax preparation standards. It is accordingly not a substitute 1099 form and should not be filed with your taxes. It is provided for your personal record keeping purposes only.

For securities not purchased through Neuberger Berman but purchased elsewhere and later transferred in, you will need to supply relevant information to your tax advisor. It is your responsibility to ensure the accuracy of this information since Neuberger Berman has no knowledge of such assets cost basis.

Exchange rates used to derive foreign exchange gains and losses may be provided from various outside sources and may not reflect realizable rates. Neuberger Berman does not warrant the accuracy of these sources and is not responsible for inaccuracies. Rates may also be subject to change without notice.

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CAPITAL GAINS SCHEDULE

PAGE: 1

FROM Oct 01, 2008 TO Sep 30, 2009

FISCAL YEAR END - 09/30

TAX EXEMPT CODE - N

DENOMINATED IN - U.S. Dollars

REPORT RUN DATE - Jan 21, 2010

DESCRIPTION	UNITS	PURCHASE		SALE		PRICE	ADJUSTED BASIS	PROCEEDS	SECURITY IND	CURRENCY G/L
		DATE	FX RATE	DATE	FX RATE					
*****CAPITAL GAINS*****										
LONGS DRUG STORE	50 000	06/20/08	44.71	10/09/08	69.40	2,235.49	3,470.09	1,234.60	ST	
SAME AS ABOVE	80 000	05/05/08	42.44	10/09/08	69.40	3,395.18	5,552.14	2,156.96	ST	
RF MICRO DEVICES	70 000	10/17/08	2.17	11/03/08	1.97	151.90	138.11	-13.79	ST	
TERAVANCE INC	60 000	12/24/07	21.94	11/10/08	6.64	1,316.40	398.29	-918.11	ST	
SAME AS ABOVE	50 000	01/16/08	19.43	11/10/08	6.64	971.41	331.91	-639.50	ST	
SAME AS ABOVE	20 000	10/17/08	10.33	11/10/08	6.64	206.60	132.77	-73.83	ST	
MEDAREX INC	40 000	10/17/08	5.66	08/28/09	16.00	226.40	640.00	413.60	ST	
CONTRA CUSIP										
	370					8,503.38	10,663.31	2,159.93	ST	

ARROW ELECTRONIC	60 000	02/25/05	27.60	10/06/08	22.96	1,622.96	1,377.89	-245.18	LT	
KLA-TENCOR CORP	100 000	09/14/04	40.24	10/15/08	23.26	4,028.90	2,326.29	-1,702.61	LT	
MYRIAD GENETICS	40 000	12/05/05	19.44	10/16/08	55.57	779.43	2,222.97	1,443.54	LT	
SAME AS ABOVE	20 000	12/05/05	19.44	10/17/08	59.60	194.86	596.00	401.14	LT	
HUMAN GENOME SCI	100 000	11/14/06	12.38	10/31/08	3.12	1,242.98	311.81	-931.17	LT	
SAME AS ABOVE	250 000	09/15/06	11.59	10/31/08	3.12	2,908.75	779.52	-2,129.23	LT	
SAME AS ABOVE	140 000	09/24/07	10.32	10/31/08	3.12	1,445.12	436.53	-1,008.59	LT	
GRAY TELEVISION	250 000	05/09/06	7.57	10/31/08	0.69	1,903.10	171.79	-1,731.31	LT	
RF MICRO DEVICES	150 000	09/21/07	6.25	11/03/08	1.97	937.50	295.95	-641.55	LT	
SAME AS ABOVE	650 000	12/19/05	5.76	11/03/08	1.97	3,767.99	1,282.44	-2,485.55	LT	
TERAVANCE INC	150 000	02/22/06	28.50	11/10/08	6.64	4,282.46	995.73	-3,286.73	LT	
MYRIAD GENETICS	60 000	12/05/05	19.44	12/05/06	62.12	1,169.15	3,727.10	2,557.95	LT	
PALM INC	90 000	10/29/07	9.49	01/16/09	7.66	854.44	688.95	-165.49	LT	
PRECISION CASTPA	30 000	12/24/07	141.37	01/16/09	55.30	4,241.10	1,659.06	-2,582.04	LT	
MYRIAD GENETICS	20 000	12/05/05	19.44	02/20/09	84.16	389.72	1,683.26	1,293.54	LT	
SAME AS ABOVE	20 000	12/05/05	19.44	03/06/09	77.10	389.71	1,541.99	1,152.28	LT	
SERIOUS XM RADIO	920 000	11/16/06	3.30	04/13/09	C 35	3,034.06	324.94	-2,709.12	LT	
SAME AS ABOVE	920 000	11/03/06	2.49	04/13/09	C 35	2,285.18	324.93	-1,964.25	LT	
BJ SERVICES CO	60 000	09/14/04	24.83	05/05/09	15.07	1,491.30	903.97	-587.33	LT	
HELMERICH & PAYN	60 000	09/14/04	13.35	05/12/09	32.14	802.50	1,928.22	1,125.72	LT	
OSI PHARMACEUTIC	30 000	01/07/08	44.50	06/01/09	30.21	1,335.00	906.38	-428.62	LT	
SAME AS ABOVE	20 000	03/02/07	33.45	06/01/09	30.21	668.91	604.25	-64.66	LT	
PRECISION CASTPA	10 000	12/24/07	141.37	06/04/09	85.49	1,413.70	854.87	-558.83	LT	
SAME AS ABOVE	10 000	12/29/04	32.94	06/04/09	85.49	329.65	854.87	525.22	LT	

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 UNLESS IT CONFORMS WITH THE OFFICIAL RECORDS OF THIS FIRM

CAPITAL GAINS SCHEDULE

PAGE 2

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS ORIG FACE	-----PURCHASE-----		-----SALE-----		PRICE ADJUSTED BASIS FX RATE MNY CURR	PRICE FX RATE	ADJUSTED BASIS ORIGINAL COST	PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		DATE	DATE	DATE	DATE						
GOODRICH CORPORA	40 000	01/02/08	69 61	06/15/09	51.19			2,784.40	2,047.53	-736.87	LT
PRM S F GOODRICH CO											
BJ SERVICES CO	70.000	06/11/08	31 48	06/18/09	14 01			2,203.80	980.79	-1,223.01	LT
SAME AS ABOVE	40.000	09/14/04	24 83	06/18/09	14.01			994.20	560.45	-433.75	LT
XOMA LTD. (BERMUD	550 000	03/05/07	3 08	07/15/09	0.76			1,692.40	415.45	-1,276.95	LT
FACET BIOTECH CO	90.000	02/28/05	15 37	07/24/09	8.30			1,383.30	746.67	-636.63	LT
MYRIAD PHARMACEU	5.000	02/02/02	0.01	07/24/09	4.80			0.01	24.00	23.99	LT
SAME AS ABOVE	65 000	02/02/02	0.01	07/24/09	4.80			0.01	311.99	311.98	LT
XOMA LTD. (BERMUD	550 000	03/05/07	3 08	07/24/09	0.77			1,692.41	421.76	-1,270.63	LT
NECAPEX INC	150.000	03/02/07	12 80	08/28/09	16.00			1,919.48	2,400.00	480.52	LT
CONTRA CUSIP											
SAME AS ABOVE	350.000	09/06/06	10 47	08/28/09	16 00			3,680.95	5,600.00	1,919.05	LT
	6,060							57,873.45	40,308.28	0.00	ST
										-17,565.17	LT
SECTION TOTAL	6,430							66,376.83	50,971.59	2,159.93	ST
										-17,565.17	LT
== CAPITAL GAIN FROM LIQUIDATING DIVIDENDS ==											
SINCLAIR BROADCAST	250.000	03/13/06	6.59	10/14/08	0.20			50.00	50.00	0.00	
SAME AS ABOVE	110.000	03/28/08	9.00	10/14/08	0.20			22.00	22.00	0.00	
GRAY TELEVISION	250 000	05/09/06	7.60	10/15/08	0.03			7.50	7.50	0.00	
	610							79.50	79.50	0.00	ST
										0.00	LT
SECTION TOTAL	610							79.50	79.50	0.00	ST
										0.00	LT

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FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	PURCHASE		SALE		PROCEEDS	SECURITY IND G/L GAIN/LOSS	CURRENCY DISCOUNT INC
	UNITS DATE ORIG FACE	PRICE DATE FX RATE MNY CURR	PRICE DATE FX RATE ORIGINAL COST				
==	IND LEGEND	==	==	ACCOUNT TOTALS	==		
WO - WRITTEN OPTION				SHORT TERM			
SS - SHORT-SALE					8,503.38	10,663.31	2,159.93
ST - SHORT TERM							
MT - MEDIUM TERM							
LT - LONG TERM				LONG TERM	57,873.45	40,308.28	-17,565.17
P - PURCHASE INCLUDES OPTION PREMIUM				ZERO GAIN/LOSS ITEMS	79.50	79.50	
S - SALE INCLUDES OPTION PREMIUM				TOTALS	66,456.33	51,051.09	-15,405.24
B - PURCHASE & SALE INCLUDES OPTION PREMIUM				TOTAL CURRENCY GAINS			0.00
				TOTAL SHORT SALE P&L			0.00
				TOTAL DISCOUNT INCOME			0.00

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CAPITAL GAINS SCHEDULE

PAGE: 4

FROM OCT 01, 2006 TO SEP 30, 2009

DESCRIPTION	-----PURCHASE-----		-----SALE-----		PROCEEDS	SECURITY IND CURRENCY G/L GAIN/LOSS DISCOUNT INC
	UNITS DATE	PRICE DATE	PRICE DATE	PRICE DATE		
	ORIG FACE	FX RATE	FX RATE	FX RATE	ORIGINAL COST	

LT is the designation for a gain or loss on a security held for more than twelve (12) months, which is subject to a maximum 15% tax rate. ST is the designation for a gain or loss on a security held for twelve (12) months or less, which is subject to a maximum tax rate of 35% in 2005.

Note: All rates & brackets mentioned are federal income tax rates & brackets. This does not reflect alternative minimum tax ramifications, if any. There can be additional state income tax ramifications.

The long-term capital gains tax rate of 15% (or 5% for individuals in the 10% or 15% tax bracket) applies to most securities held more than one year.

THE TRANSACTIONS LISTED ABOVE ARE NOT ADJUSTED FOR THE RULES CONTAINED IN THE FOLLOWING SECTIONS OF THE INTERNAL REVENUE CODE (IF APPLICABLE):

SECTION 1091 - WASH SALES

SECTION 1259 - STRADDLES

SECTION 1259 - CONSTRUCTIVE SALES

ANY ADJUSTMENTS TO THE RESULT OF MUTUAL FUND (S CLOSED-END FUND) TRANSACTIONS REQUIRED BY SECTION 852 (b) (4) ARE NOT REFLECTED ABOVE

CLOSING TRANSACTIONS DUE TO TAXABLE MERGER INVOLVING CASH AND STOCK MAY BE REFLECTED DIFFERENTLY ON THE ABOVE CAPITAL GAINS/LOSSES STATEMENT AND ON THE FORM 1099 YOU WILL OR HAVE RECEIVED AT THE END OF THE YEAR. PLEASE CONSULT YOUR TAX ADVISOR.

PLEASE NOTE THAT THE COST BASIS USED TO DETERMINE GAINS OR LOSSES AS LISTED IN THIS REPORT FOR MASTER LIMITED PARTNERSHIPS (MLP) LIQUIDATING TRUSTS, ORIGINAL ISSUE DISCOUNT (OID) AND CERTAIN MUNICIPAL BONDS MAY HAVE BEEN ADJUSTED FOR REALLOCATED INCOME, GAINS, LOSSES OR RETURN OF CAPITAL.

This capital gain and loss summary is provided for information purposes only and does not conform to tax preparation standards. It is accordingly not a substitute 1099 form and should not be filed with your taxes. It is provided for your personal record keeping purposes only.

For securities not purchased through Neuberger Berman but purchased elsewhere and later transferred in, you will need to supply relevant information to your tax advisor. It is your responsibility to ensure the accuracy of this information since Neuberger Berman has no knowledge of such assets cost basis.

Exchange rates used to derive foreign exchange gains and losses may be provided from various outside sources and may not reflect realizable rates. Neuberger Berman does not warrant the accuracy of these sources and is not responsible for inaccuracies. Rates may also be subject to change without notice.

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CAPITAL GAINS SCHEDULE

PAGE - 1

FROM Oct 01, 2008 TO Sep 30, 2009

FISCAL YEAR END - 09/30
 TAX EXEMPT CODE - N
 DENOMINATED IN - U S Dollars
 REPORT RUN DATE - Jan 21, 2010

DESCRIPTION	UNITS ORIG FACE	PURCHASE		SALE		PRICE DATE	PRICE ADJUSTED BASIS	PROCEEDS	SECURITY INC GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		DATE	FX RATE	DATE	FX RATE					
		DATE	FX RATE	DATE	FX RATE					
FRANKLIN BANK CG	150 000	12/10/07	5.26	11/13/08	0.07	939 09	2.25		-936 84 ST	
SAME AS ABOVE	355 000	10/22/08	0.37	11/13/08	0.02	129 79	5.32		-124 47 ST	
AIRTRAN HOLDINGS	20 000	12/27/07	7.51	11/24/08	2.81	150 20	56.29		-93 91 ST	
NWS COMMUNICATIO	85 000	12/27/07	1.65	12/03/08	0.06	140.75	5.26		-134 99 ST	
INDEVUS PHARMACE	15 000	11/17/08	2.34	02/05/09	5.29	35.10	79.37		44.27 ST	
AIRTRAN HOLDINGS	165 000	10/17/08	3.37	03/13/09	3.28	555 24	541.19		-14.05 ST	
AKAMAI TECHNOLOG	35 000	01/02/09	15.79	03/10/09	16.90	552.65	591.49		38 84 ST	
AMERICAN COMMERC	150 000	08/18/08	10.68	03/10/09	2.58	1,601 69	387.45		-1,714.24 ST	
NEW										
SAME AS ABOVE	15 000	11/17/08	5.80	03/10/09	2.58	87.00	38.74		-48.26 ST	
ACCO BRANDS CORP	160 000	08/13/08	5.30	03/10/09	1.50	1,127 57	239.99		-1,087 58 ST	
ACTIVITY CO	107 000	07/29/08	2.69	03/10/09	1.85	288 27	198 20		-90 07 ST	
SAME AS ABOVE	98 000	07/30/08	2.66	03/10/09	1.85	260.38	181.52		-78.86 ST	
SAME AS ABOVE	170 000	01/23/09	2.16	03/10/09	1.85	366 62	314.89		-51.73 ST	
BERKSHIRE HILLS	45 000	11/17/08	26.98	03/10/09	20.46	1,214.10	920.86		-293 24 ST	
BRONCO DRILLING	15 000	11/17/08	5.55	03/10/09	3.79	83.25	56.84		-26 41 ST	
BTU INTERVATIONA	50 000	07/31/08	9.53	03/10/09	3.10	476.61	155 01		-321 60 ST	
SAME AS ABOVE	25 000	10/07/08	8.62	03/10/09	3.10	215.59	77.51		-138.08 ST	
SAME AS ABOVE	110 000	12/04/08	3.99	03/10/09	3.10	438.81	341.03		-97.78 ST	
JOSEPH A BANK CL	10 000	11/17/08	16.45	03/10/09	21.92	184.50	219.19		34 69 ST	
BOSTON PRIVATE F	55 000	10/23/08	7.03	03/10/09	2.79	386.67	153 45		-233.22 ST	
SAME AS ABOVE	70 000	12/02/08	6.12	03/10/09	2.79	428.21	195.30		-232.91 ST	
SAME AS ABOVE	45 000	12/06/08	6.00	03/10/09	2.79	269 97	125.54		-144.43 ST	
CITIZENS FIRST B	10 000	11/17/08	2.51	03/10/09	0.79	25 10	7.90		-17.20 ST	
DEL										
CHIOUITA BRANDS	20 000	11/17/08	9.26	03/10/09	5 10	185.20	102 00		-83.20 ST	
NEW										
CACHE INC NEW	180 000	10/15/08	3.75	03/10/09	1.77	674 39	318.60		-355 79 ST	
SAME AS ABOVE	35 000	11/17/08	2.35	03/10/09	1.77	82 25	61.94		-20 31 ST	
DURECT CORP	125 000	11/17/08	3.43	03/10/09	1.54	428 75	192 02		-236.73 ST	
DYNEGY INC DFL	35 000	11/17/08	2.29	03/10/09	1.07	80 15	37.45		-42.70 ST	
CL A										
SAME AS ABOVE	85 000	12/08/08	1.95	03/10/09	1.07	165 80	50.95		-74.85 ST	
DYCOM INDUSTRIES	40 000	07/17/08	15.00	03/10/09	3.88	600.06	155.20		-444.86 ST	
SAME AS ABOVE	90 000	04/03/08	12.91	03/10/09	3.88	1,161.68	349.19		-812.49 ST	
SAME AS ABOVE	10 000	11/17/08	6.62	03/10/09	3.88	66.20	38.80		-27.40 ST	
EMS TECHNOLOGIES	10 000	11/17/08	20.82	03/10/09	19 51	208 20	195 10		-13.10 ST	

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CAPITAL GAINS SCHEDULE

PAGE: 2

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS ORIG FACE	-----PURCHASE-----		-----SALE-----		PRICE ADJUSTED BASIS ORIGINAL COST	PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		DATE	PRICE RATE	DATE	PRICE RATE				
FLANDERS CORP	60.000	11/17/08	5.44	03/10/09	3.73	326.40	223.67	-102.73	ST
FRONTIER OIL COR	30.000	08/07/08	20.34	03/10/09	14.00	610.25	420.00	-190.25	ST
SAME AS ABOVE	50.000	08/12/08	19.97	03/10/09	14.00	998.74	699.99	-298.75	ST
SAME AS ABOVE	50.000	07/28/08	17.46	03/10/09	14.00	873.23	699.99	-173.24	ST
SAME AS ABOVE	10.000	11/17/08	11.31	03/10/09	14.00	113.10	140.00	26.90	ST
FIRST MERCURY FL	35.000	10/07/08	13.70	03/10/09	13.51	479.37	472.84	-6.53	ST
SAME AS ABOVE	10.000	11/17/08	11.24	03/10/09	13.51	112.40	135.10	22.70	ST
HOLLIS EDEN PHAR	215.000	10/23/08	1.34	03/10/09	0.53	287.48	113.97	-173.51	ST
ICO INC NEW	30.000	11/17/08	3.93	03/10/09	1.39	117.90	41.83	-76.07	ST
HUDSON HIGHLAND	15.000	11/17/08	4.22	03/10/09	0.93	63.30	13.98	-49.32	ST
INTERNATIONAL CO	25.000	11/17/08	2.41	03/10/09	1.16	60.25	29.00	-31.25	ST
NEW									
HANOVER INSURANC	35.000	10/09/08	16.41	03/10/09	29.12	1,274.43	1,019.19	-255.24	ST
(THE)									
KENUXA CORPORATION	105.000	12/09/08	6.68	03/10/09	4.10	701.90	430.49	-271.41	ST
LTX CREDENCE COR	170.000	10/23/08	0.74	03/10/09	0.20	125.46	33.99	-91.47	ST
LIVEMORE MOBILE	675.000	10/17/08	0.35	03/10/09	0.09	236.25	57.37	-178.88	ST
MERCURY COMPUTER	45.000	09/26/08	8.82	03/10/09	4.54	396.95	204.28	-192.67	ST
SAME AS ABOVE	120.000	10/07/08	8.43	03/10/09	4.54	1,012.03	544.75	-467.28	ST
SAME AS ABOVE	150.000	11/17/08	5.00	03/10/09	4.54	750.00	680.93	-69.07	ST
METALICO INC	125.000	09/26/08	5.62	03/10/09	1.67	702.38	208.75	-493.63	ST
SAME AS ABOVE	25.000	11/17/08	1.90	03/10/09	1.67	47.50	41.74	-5.76	ST
MODUSLINK GROUP	20.000	11/17/08	3.56	03/10/09	1.80	71.20	36.00	-35.20	ST
MAGNETEK INC	25.000	11/17/08	2.11	03/10/09	1.28	52.75	32.00	-20.75	ST
STEVEN MADDEN LT	10.000	11/17/08	17.77	03/10/09	14.25	177.70	142.48	-35.22	ST
MEASUREMENT SPEC	10.000	11/17/08	6.56	03/10/09	2.61	65.60	26.07	-39.53	ST
MENTOR GRAPHICS	30.000	11/17/08	5.63	03/10/09	3.64	168.90	109.20	-59.70	ST
NOVAVAX INC	50.000	11/17/08	2.09	03/10/09	0.81	104.50	40.30	-64.20	ST
**NOVAGOLD RESO	170.000	10/16/08	3.53	03/10/09	2.44	599.49	414.79	-184.70	ST
SAME AS ABOVE	10.000	11/17/08	2.21	03/10/09	2.44	22.10	24.40	2.30	ST
NAUTILUS GROUP I	140.000	10/15/08	2.97	03/10/09	0.53	416.11	74.19	-341.92	ST
NEWALLIANCE BANC	35.000	11/17/08	13.49	03/10/09	9.93	472.15	347.55	-124.60	ST
SAME AS ABOVE	90.000	04/16/08	13.15	03/10/09	9.93	1,183.75	893.69	-290.06	ST
PEGASYSYSTEMS INC	20.000	11/17/08	10.86	03/10/09	16.14	217.20	322.80	105.60	ST
PMA CAPITAL CORP	30.000	11/17/08	5.01	03/10/09	3.77	150.30	113.10	-37.20	ST
PERICIENT INC	105.000	08/14/08	8.24	03/10/09	4.32	865.10	453.59	-411.51	ST
SAME AS ABOVE	70.000	10/07/08	6.01	03/10/09	4.32	421.01	302.40	-118.61	ST
PARAJEL PETROLE	15.000	11/17/08	3.10	03/10/09	0.98	46.50	14.70	-31.80	ST
PROGRESS SOFTWARE	10.000	11/17/08	20.92	03/10/09	15.26	209.20	152.60	-56.60	ST
RTI INTERNATIONAL	85.000	10/07/08	14.67	03/10/09	10.40	1,247.37	883.99	-363.38	ST
SAME AS ABOVE	45.000	11/17/08	20.41	03/10/09	10.40	468.45	468.00	-0.45	ST
SYRIS SOLUTIONS	150.000	10/17/08	1.31	03/10/09	0.65	196.76	98.94	-97.82	ST
SYCAMORE NETWORK	55.000	11/17/08	2.68	03/10/09	2.48	147.40	136.40	-11.00	ST
COM									
**SUROPTA INC	30.000	11/17/08	2.03	03/10/09	0.94	60.90	28.09	-32.81	ST

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CAPITAL GAINS SCHEDULE

PAGE: 3

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS ORIG FACE	-----PURCHASE-----		-----SALE-----		PRICE ADJUSTED BASIS	PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		DATE	PRICE FX RATE	DATE FX RATE	PRICE FX RATE				
SEMITOOL INC	15.000	11/17/08	2.87	03/10/09	2.17	43.05	32.55	-10.50	ST
SHOE CARNIVAL IN	15.000	11/17/08	10.07	03/10/09	7.12	151.05	106.80	-44.25	ST
SHAW GROUP INC	25.000	10/31/08	16.08	03/10/09	24.00	401.91	600.00	198.09	ST
SOUTHWEST RANCOR	75.000	06/03/08	16.73	03/10/09	5.62	1,254.83	421.49	-833.34	ST
TEMPUR PEDIC INT	15.000	11/17/08	6.52	03/10/09	4.56	97.80	68.40	-29.40	ST
TEREX CORP NEW	30.000	12/08/08	15.73	03/10/09	9.08	471.97	272.40	-199.57	ST
U S PHYSICAL TRF	20.000	11/17/08	12.43	03/10/09	7.78	248.60	155.59	-93.01	ST
TERLX CORP NEW	50.000	12/02/08	13.20	03/10/09	9.08	660.06	453.99	-206.07	ST
VARIAN SEMICONDU	10.000	11/17/08	16.65	03/10/09	16.72	166.50	167.20	0.70	ST
ASSOC INC									
VICOR CORP	10.000	11/17/08	5.00	03/10/09	4.22	50.00	42.20	-7.80	ST
WAUSAU-MOSINEE P	90.000	05/20/08	8.89	03/10/09	4.08	800.42	367.20	-433.22	ST
SAME AS ABOVE	20.000	11/17/08	8.84	03/10/09	4.08	176.80	81.60	-95.20	ST
WHOLE FOODS MARK	95.000	10/14/08	15.99	03/10/09	12.55	1,518.67	1,192.24	-326.43	ST
WHITNEY HOLDING	10.000	11/17/08	16.09	03/10/09	9.34	160.90	93.40	-67.50	ST
ZORAN CORP	90.000	03/11/08	13.64	03/10/09	6.29	1,227.43	566.09	-661.34	ST
SAME AS ABOVE	70.000	07/18/08	10.10	03/10/09	6.29	706.81	440.30	-266.51	ST
SAME AS ABOVE	15.000	11/17/08	6.34	03/10/09	6.29	95.10	94.35	-0.75	ST
	6.430					38,695.00	22,918.78	-15,776.22	ST
FRANKLIN BANK CO	100.000	09/19/07	9.69	11/13/08	0.02	969.07	1.50	-967.57	LT
SAME AS ABOVE	105.000	09/25/07	9.25	11/13/08	0.02	971.36	1.57	-969.79	LT
BFACON ROOFING S	60.000	08/02/07	14.65	11/17/08	11.38	879.02	682.79	-196.23	LT
VIVUS INC	135.000	08/30/04	4.19	11/17/08	5.35	568.62	722.24	153.62	LT
CHEROKEE INTERNA	520.000	03/23/07	5.49	11/18/06	3.05	2,857.24	1,586.15	-1,271.09	LT
AIRTRAN HOLDINGS	145.000	08/27/04	12.01	11/24/08	2.81	1,748.70	408.14	-1,340.56	LT
*NOVAGOLD RESO	170.000	05/03/07	14.77	11/24/08	0.43	2,510.90	73.18	-2,437.72	LT
NYS COMMUNICATIO	590.000	04/13/05	2.81	12/03/08	0.06	1,673.07	36.57	-1,636.50	LT
HOLLIS-EDEN PHAR	102.000	08/27/04	10.25	12/05/09	0.54	1,050.55	55.52	-995.03	LT
INC									
SAME AS ABOVE	17.000	08/27/04	10.25	12/05/08	0.54	175.09	9.24	-165.85	LT
SAME AS ABOVE	13.000	08/27/04	10.25	12/08/08	0.69	133.89	8.96	-124.93	LT
INDEVUS PHARMACE	30.000	12/27/07	7.29	02/05/09	5.29	218.70	158.73	-59.97	LT
SAME AS ABOVE	170.000	12/30/04	5.99	02/05/09	5.29	1,027.48	899.48	-128.00	LT
AMERICAN COMMERC	50.000	02/04/08	16.25	03/10/09	2.58	912.34	129.15	-783.19	LT
NEW									
ACTIVITY CO	175.000	12/21/07	3.57	03/10/09	1.85	625.59	324.15	-301.44	LT
ANALOGIC CORP-NE	50.000	08/27/04	4.16	03/10/09	28.72	2,060.60	1,435.99	-624.61	LT
ARIAD PHARMACEUT	285.000	08/27/04	4.81	03/10/09	1.29	1,382.25	367.64	-1,014.61	LT
SAME AS ABOVE	50.000	12/27/07	4.29	03/10/09	1.29	214.50	64.50	-150.00	LT
BERKSHIRE HILLS	35.000	12/27/07	26.12	03/10/09	20.46	924.20	716.23	-197.97	LT
SAME AS ABOVE	15.000	01/03/08	25.89	03/10/09	20.46	388.16	306.96	-81.40	LT
BEACON ROOFING S	15.000	08/02/07	14.65	03/10/09	11.10	219.75	166.43	-53.32	LT

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CAPITAL GAINS SCHEDULE

PAGE 4

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS ORIG FACE	PURCHASE		SALE		PRICE ADJUSTED BASIS		PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		DATE	FX RATE	DATE	FX RATE	ADJUSTED ORIGINAL COST				
SAME AS ABOVE	50 000	08/17/07	12.26	03/10/09	11.10	613.14	554.78	-58.36	LT	
SAME AS ABOVE	65 000	10/01/07	10.37	03/10/09	11.10	674.05	721.21	47.16	LT	
SAME AS ABOVE	65 000	12/05/07	9.04	03/10/09	11.10	587.50	721.22	133.72	LT	
BRONCO DRILLING	65 000	08/09/07	15.07	03/10/09	3.79	979.62	246.35	-733.27	LT	
SAME AS ABOVE	60 000	08/27/07	14.70	03/10/09	3.79	881.81	227.40	-654.41	LT	
JOSEPH A BANK CL	30 000	02/27/08	23.72	03/10/09	21.92	711.59	657.60	-53.99	LT	
SAME AS ABOVE	80 000	06/27/06	23.01	03/10/09	21.92	1,842.77	1,753.59	-89.18	LT	
C&D TECHNOLOGIES	60 000	02/04/08	6.19	03/10/09	1.24	371.44	74.40	-297.04	LT	
SAME AS ABOVE	150 000	12/07/07	5.62	03/10/09	1.24	843.41	186.00	-657.41	LT	
SAME AS ABOVE	210 000	11/01/07	4.80	03/10/09	1.24	1,008.30	260.39	-747.61	LT	
CITIZENS FIRST B	80 000	10/05/05	20.67	03/10/09	0.79	1,653.28	63.19	-1,590.09	LT	
DEL										
SAME AS ABOVE	15 000	12/27/07	13.49	03/10/09	0.79	202.35	11.85	-190.50	LT	
CHARLOTTE RUSSE	25 000	08/03/07	17.00	03/10/09	6.31	424.96	157.75	-267.23	LT	
SAME AS ABOVE	115 000	03/28/05	12.02	03/10/09	6.31	1,385.18	725.64	-659.54	LT	
CHIOQUITA BRANDS	95 000	12/01/05	21.28	03/10/09	5.10	2,024.13	484.49	-1,539.64	LT	
NEW										
SAME AS ABOVE	15 000	12/27/07	19.04	03/10/09	5.10	285.60	76.50	-209.10	LT	
CARTER INC	105 000	01/19/07	26.69	03/10/09	16.76	2,805.56	1,759.79	-1,045.77	LT	
CHEMURA CORP	20 000	12/27/07	7.83	03/10/09	0.14	156.60	2.80	-153.80	LT	
SAME AS ABOVE	115 000	08/27/04	6.42	03/10/09	0.14	744.05	16.09	-727.96	LT	
CACHE INC NEW	110 000	05/18/07	16.11	03/10/09	1.77	1,772.20	194.70	-1,577.60	LT	
SAME AS ABOVE	70 000	06/29/07	13.49	03/10/09	1.77	944.13	123.90	-820.23	LT	
DURECT CORP	155 000	12/27/07	6.76	03/10/09	1.54	1,047.80	238.11	-809.69	LT	
SAME AS ABOVE	1,260 000	08/27/04	1.50	03/10/09	1.54	1,921.50	1,935.60	14.10	LT	
DYNEGY INC DEL	270 000	12/21/04	4.59	03/10/09	1.07	1,238.05	288.89	-949.16	LT	
CL A										
EMS TECHNOLOGIES	15 000	12/27/07	31.61	03/10/09	19.51	474.15	292.65	-181.50	LT	
SAME AS ABOVE	95 000	08/27/04	16.86	03/10/09	19.51	1,606.14	1,853.43	247.29	LT	
FLANDERS CORP	100 000	06/02/06	10.51	03/10/09	3.73	1,053.87	372.80	-681.07	LT	
SAME AS ABOVE	60 000	05/31/06	9.96	03/10/09	3.73	599.06	223.68	-375.38	LT	
SAME AS ABOVE	165 000	06/29/07	7.64	03/10/09	3.73	1,260.17	615.12	-645.05	LT	
FREIGHTCAR AMERI	40 000	01/25/08	35.27	03/10/09	15.33	1,410.75	613.19	-797.56	LT	
**GSI GROUP INC	115 000	08/27/04	11.28	03/10/09	0.51	1,303.06	58.87	-1,244.19	LT	
FORMERLY GSI LUMONIC										
SAME AS ABOVE	10 000	12/27/07	9.41	03/10/09	0.51	94.10	5.12	-88.98	LT	
GEVITY HR INC	100 000	12/15/04	18.40	03/10/09	3.82	1,842.89	381.99	-1,460.90	LT	
SAME AS ABOVE	10 000	12/27/07	7.95	03/10/09	3.82	79.50	38.20	-41.30	LT	
HOLLIS-EDEN PHAR	53 000	08/27/04	10.25	03/10/09	0.53	545.68	28.09	-517.79	LT	
INC										
SAME AS ABOVE	30 000	12/27/07	1.59	03/10/09	0.53	47.70	15.90	-31.80	LT	
ICO INC NEW	140 000	02/28/08	7.82	03/10/09	1.39	1,095.11	195.20	-899.91	LT	
SAME AS ABOVE	305 000	02/10/06	3.87	03/10/09	1.39	1,189.86	425.25	-764.61	LT	
I-MANY INC	215 000	12/27/07	3.29	03/10/09	0.17	797.35	36.96	-670.39	LT	
SAME AS ABOVE	1,810 000	08/27/04	0.78	03/10/09	0.17	1,457.05	311.13	-1,145.92	LT	
HUDSON HIGHLAND	180 000	07/31/06	9.79	03/10/09	0.93	1,765.62	167.75	-1,598.07	LT	

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CAPITAL GAINS SCHEDULE

PAGE 5

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS	DATE	PURCHASE		SALE		PRICE	ADJUSTED BASIS	PROCEEDS	SECURITY IND	CURRENCY G/L
			PRICE	DATE	PRICE	DATE					
ORIG	FACE	DATE	FX	RATE	DATE	FX	RATE	ORIGINAL COST		GAIN/LOSS	DISCOUNT INC
SAME AS ABOVE	20 000	12/27/07	8 70	03/10/09	0 93			174.00	18 64	-155.36	LT
INTERNATIONAL CO	380 000	12/28/06	5 56	03/10/09	1 16			2,123.02	440 79	-1,602.23	LT
NEW											
KONA GRILL INC	115 000	04/25/07	17 93	03/10/09	1 76			2,061.97	202.39	-1,859.58	LT
SAME AS ABOVE	10 000	12/27/07	14.63	03/10/09	1.76			146.30	17.60	-128.70	LT
LTX CREDENCE COR	150 000	08/27/04	5 35	03/10/09	0 20			810.00	30 00	-780.00	LT
SAME AS ABOVE	20 000	12/27/07	3 25	03/10/09	0.20			64.90	4.00	-60.90	LT
MAXWELL TECHNOLO	80 000	08/27/04	9.98	03/10/09	5.22			802.41	417.59	-384.82	LT
SAME AS ABOVE	10 000	12/27/07	8.12	03/10/09	5.22			81.20	52.20	-29.00	LT
METALICO INC	50 000	08/14/07	7 63	03/10/09	1.67			381.62	83.50	-298.12	LT
SAME AS ABOVE	160 000	09/21/07	7 50	03/10/09	1.67			1,199.97	267.20	-932.77	LT
MCDUSLINK GLOBAL	154 000	07/26/07	15 60	03/10/09	1 80			2,403.02	277 19	-2,125.83	LT
MAGNETEK INC	120 000	08/27/04	6.41	03/10/09	1 28			775.20	153.59	-621.61	LT
SAME AS ABOVE	20 000	12/27/07	4 02	03/10/09	1.28			80.40	25.60	-54.80	LT
STEVEN MADDEN LT	10 000	12/27/07	20.27	03/10/09	14.25			202.70	142.47	-60.23	LT
SAME AS ABOVE	30 000	02/04/08	17 41	03/10/09	14.25			522.22	427.42	-94.80	LT
SAME AS ABOVE	80 000	08/27/04	12.22	03/10/09	14.25			980.47	1,139.83	159.33	LT
MATERIAL SCIENCE	120 000	08/27/04	12 74	03/10/09	0 98			1,534.41	117.59	-1,416.82	LT
SAME AS ABOVE	20 000	12/27/07	7.48	03/10/09	0.98			149.60	19.60	-130.00	LT
MEASUREMENT SPEC	60 000	08/27/04	24.26	03/10/09	2 61			1,458.60	156.45	-1,302.15	LT
MENTOR GRAPHICS	130 000	08/27/04	11.13	03/10/09	3.64			1,453.81	473 19	-980.62	LT
SAME AS ABOVE	50 000	12/28/07	11 18	03/10/09	3.64			559.12	182.00	-377.12	LT
SAME AS ABOVE	20 000	12/27/07	11.02	03/10/09	3.64			220.40	72.80	-147.60	LT
NOVAVAX INC	220 000	07/31/06	3.84	03/10/09	0 81			849.93	177.29	-672.64	LT
SAME AS ABOVE	315 000	08/03/07	2.77	03/10/09	0 81			873.68	253.85	-619.83	LT
NAUTILUS GROUP I	115 000	04/10/06	16.64	03/10/09	0 53			1,917.67	60 95	-1,856.72	LT
SAME AS ABOVE	25 000	12/27/07	5.38	03/10/09	0 53			134.50	13.25	-121.25	LT
NEAPARK RESOURCE	55 000	12/27/07	5 57	03/10/09	2 42			306.35	133 02	-173.33	LT
SAME AS ABOVE	360 000	08/27/04	5 30	03/10/09	2 42			1,926.00	870.65	-1,055.35	LT
PEGASYS INC	25 000	12/27/07	12 15	03/10/09	16 14			303.75	403 50	99.75	LT
SAME AS ABOVE	170 000	08/27/04	6 57	03/10/09	16 14			1,126.10	2,743.80	1,617.70	LT
PMA CAPITAL CORP	50 000	12/27/07	9 10	03/10/09	3 77			455 00	188 50	-266.50	LT
SAME AS ABOVE	285 000	03/29/05	7 97	03/10/09	3 77			2,279.83	1,074.44	-1,205.39	LT
PLATO LEARNING I	185 000	07/13/05	8.31	03/10/09	1 60			1,542.44	295 20	-1,247.24	LT
SAME AS ABOVE	30 000	12/27/07	3.70	03/10/09	1 60			111.00	47 87	-63.13	LT
PETROHAWK ENERGY	45 000	03/19/07	12 14	03/10/09	16 53			546.30	743.84	197.54	LT
PARALLEL PETROLE	15 000	12/27/07	18 45	03/10/09	0 98			276.75	14 70	-262.05	LT
SAME AS ABOVE	135 000	03/29/05	6 89	03/10/09	0 98			934.15	132 31	-801.84	LT
PROGRESS SOFTWARE	20 000	12/27/07	33.91	03/10/09	15.26			678.20	305.20	-373.00	LT
SAME AS ABOVE	130 000	08/27/04	20 59	03/10/09	15.26			2,683.30	1,983.78	-699.52	LT
STRATEGIC DIAGNO	35 000	12/27/07	5 31	03/10/09	1 03			185.85	36 02	-149.83	LT
SAME AS ABOVE	250 000	08/27/04	2 83	03/10/09	1 03			712.96	257.26	-455.70	LT
SYRIS SOLUTIONS	135 000	08/27/04	13 00	03/10/09	0 66			1,761.75	89.04	-1,672.71	LT
SAME AS ABOVE	15 000	12/27/07	6 05	03/10/09	0 66			90.75	9.89	-80.86	LT
SYCAMORE NETWORK	65 000	12/27/07	3 90	03/10/09	2 48			253 50	161 20	-92.30	LT

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CAPITAL GAINS SCHEDULE

PAGE: 6

FROM Oct 01, 2008 TO Sep 30, 2009

DESCR:PTION	UNITS ORIG FACE	PURCHASE		SALE		PRICE FX RATE	ADJUSTED BASIS ORIGINAL COST	PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		DATE	PRICE FX RATE	DATE	PRICE FX RATE					
SAME AS ABOVE	420.000	08/27/04	3.61	03/10/09	2.48	1,610.70	1,041.59	-569.11	LT	
SONUS NETWORKS I	75.000	12/27/07	5.94	03/10/09	1.26	445.50	94.50	-351.00	LT	
SAME AS ABOVE	475.000	08/27/04	5.25	03/10/09	1.26	2,517.50	598.49	-1,919.01	LT	
*SINGOPTA INC	75.000	12/27/07	13.28	03/10/09	0.94	996.00	70.23	-925.77	LT	
SAME AS ABOVE	470.000	10/17/05	4.64	03/10/09	0.94	2,190.20	440.10	-1,750.10	LT	
SEMIPOOL INC	195.000	03/28/06	10.72	03/10/09	2.17	2,094.87	423.14	-1,671.73	LT	
SAME AS ABOVE	35.000	12/27/07	9.09	03/10/09	2.17	318.15	75.95	-242.20	LT	
SHOE CARNIVAL IN	80.000	12/27/07	14.97	03/10/09	7.12	1,197.60	569.59	-628.01	LT	
SHAW GROUP INC	80.000	08/27/04	10.55	03/10/09	24.00	848.00	1,919.98	1,071.98	LT	
TEMPUP PEDIC INT	45.000	02/27/08	18.55	03/10/09	4.56	834.96	205.20	-629.76	LT	
SAME AS ABOVE	140.000	02/21/06	11.58	03/10/09	4.56	1,626.36	638.39	-987.99	LT	
U S PHYSICAL THE	25.000	12/27/07	13.97	03/10/09	7.78	349.25	194.48	-154.77	LT	
SAME AS ABOVE	160.000	08/27/04	12.58	03/10/09	7.78	2,036.50	1,244.70	-791.80	LT	
UNIVERSAL FOREST	30.000	08/01/07	39.52	03/10/09	20.11	1,185.73	603.29	-582.44	LT	
VARIAN SEMICONDU	212.000	08/27/04	13.04	03/10/09	16.72	2,769.76	3,544.61	774.85	LT	
ASSOC INC	80.000	12/27/07	5.46	03/10/09	2.93	436.80	234.40	-202.40	LT	
VIVUS INC	330.000	08/30/04	4.19	03/10/09	2.93	1,389.96	966.89	-423.07	LT	
SAME AS ABOVE	10.000	12/27/07	15.28	03/10/09	4.22	152.80	42.20	-110.60	LT	
SAME AS ABOVE	145.000	09/29/04	10.14	03/10/09	4.22	1,476.10	611.89	-864.21	LT	
WATSAU-POSINEE P	155.000	01/25/08	8.37	03/10/09	4.08	1,297.29	632.39	-664.90	LT	
WATTS WATER TECH	25.000	02/04/08	30.27	03/10/09	16.87	756.64	421.75	-334.89	LT	
CL A	5.000	11/14/07	30.02	03/10/09	16.87	150.11	84.35	-65.76	LT	
SAME AS ABOVE	40.000	11/13/07	29.16	03/10/09	16.87	1,166.49	674.79	-491.70	LT	
SAME AS ABOVE	20.000	12/28/07	25.99	03/10/09	9.34	519.83	186.80	-333.03	LT	
SAME AS ABOVE	60.000	11/14/07	25.82	03/10/09	9.34	1,549.00	560.39	-988.61	LT	
	17.436					131,203.80	56,069.95	0.00	ST	
								-75,133.85	LT	
KONA GRILL INC	15.000	11/17/08	1.76	03/10/09	1.76	26.40	26.40	0.00		
SECTION TOTAL	23,981					169,925.20	79,015.13	-15,776.22	ST	
								-75,133.85	LT	

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CAPITAL GAINS SCHEDULE

PAGE. 7

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS DATE ORIG FACE	PRICE DATE FX RATE MNY CURR	PRICE ADJUSTED BASIS FX RATE ORIGINAL COST	PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
=====						
-----PURCHASE-----						
-----SALE-----						
== IND LEGEND ==	== ACCOUNT TOTALS ==					
WO - WRITTEN OPTION	SHORT TERM		38,695 00	22,918.78	-15,776 22	
SS - SHORT-SALE						
ST - SHORT TERM						
MT - MEDIUM TERM						
LT - LONG TERM	LONG TERM		131,203 60	56,069 95	-75,133 85	
P - PURCHASE INCLUDES OPTION PREMIUM	ZERO GAIN/LOSS ITEMS		26 40	26 40		
S - SALE INCLUDES OPTION PREMIUM	TOTALS		169,925.20	79,015.13	-90,910.07	
B - PURCHASE & SALE INCLUDES OPTION PREMIUM	TOTAL CURRENCY GAINS				0.00	
	TOTAL SHORT SALE P&L				0.00	
	TOTAL DISCOUNT INCOME				0.00	

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CAPITAL GAINS SCHEDULE

PAGE: 8

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	-----PURCHASE-----		-----SALE-----		PROCEEDS	SECURITY IND CURRENCY G/L GAIN/LOSS DISCOUNT INC
	UNITS DATE	PRICE DATE	FX RATE MNY CURR	FX RATE ORIGINAL COST		
	ORIG FACE					

LT is the designation for a gain or loss on a security held for more than twelve (12) months, which is subject to a maximum 15% tax rate. ST is the designation for a gain or loss on a security held for twelve (12) months or less, which is subject to a maximum tax rate of 35% in 2005.

Note All rates & brackets mentioned are federal income tax rates & brackets. This does not reflect alternative minimum tax ramifications, if any. There can be additional state income tax ramifications.

The long-term capital gains tax rate of 15% (or 5% for individuals in the 10% or 15% tax bracket) applies to most securities held more than one year.

THE TRANSACTIONS LISTED ABOVE ARE NOT ADJUSTED FOR THE RULES CONTAINED IN THE FOLLOWING SECTIONS OF THE INTERNAL REVENUE CODE (IF APPLICABLE):

SECTION 1091 - WASH SALES

SECTION 1092 - STRADDLES

SECTION 1259 - CONSTRUCTIVE SALES

ANY ADJUSTMENTS TO THE RESULT OF MUTUAL FUND (A CLOSED-END FUND) TRANSACTIONS REQUIRED BY SECTION 852 (b) (4) ARE NOT REFLECTED ABOVE.

CLOSING TRANSACTIONS DUE TO TAXABLE MERGER INVOLVING CASH AND STOCK MAY BE REFLECTED DIFFERENTLY ON THE ABOVE CAPITAL GAINS/LOSSES STATEMENT AND ON THE FORM 1099 YOU WILL OR HAVE RECEIVED AT THE END OF THE YEAR. PLEASE CONSULT YOUR TAX ADVISOR.

PLEASE NOTE THAT THE COST BASIS USED TO DETERMINE GAINS OR LOSSES AS LISTED IN THIS REPORT FOR MASTER LIMITED PARTNERSHIPS (MLP) LIQUIDATING TRUSTS, ORIGINAL ISSUE DISCOUNT (OID) AND CERTAIN MUNICIPAL BONDS MAY HAVE BEEN ADJUSTED FOR REALLOCATED INCOME, GAINS, LOSSES OR RETURN OF CAPITAL.

This capital gain and loss summary is provided for information purposes only and does not conform to tax preparation standards. It is accordingly not a substitute 1099 form and should not be filed with your taxes. It is provided for your personal record keeping purposes only.

For securities not purchased through Neuberger Berman but purchased elsewhere and later transferred in, you will need to supply relevant information to your tax advisor. It is your responsibility to ensure the accuracy of this information since Neuberger Berman has no knowledge of such assets cost basis.

Exchange rates used to derive foreign exchange gains and losses may be provided from various outside sources and may not reflect realizable rates. Neuberger Berman does not warrant the accuracy of these sources and is not responsible for inaccuracies. Rates may also be subject to change without notice.

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CAPITAL GAINS SCHEDULE

PAGE: 1

FROM Oct 01, 2008 TO Sep 30, 2009

FISCAL YEAR END - 09/30
 TAX EXEMPT CODE - Y
 DENOMINATED IN - U.S. Dollars
 REPORT RUN DATE - Jan 21, 2010

DESCRIPTION	UNITS	ORIG	PURCHASE		SALE		PRICE ADJUSTED BASIS	PROCEEDS	SECURITY IND	CURRENCY G/L
			DATE	FX RATE	DATE	FX RATE				
METLIFE INC	65.000	04/10/08	61.61	10/02/08	39.96	4,004.69	2,597.22	-1,407.47	ST	
SAME AS ABOVE	65.000	04/15/08	60.64	10/02/08	39.96	3,941.29	2,597.21	-1,344.08	ST	
JOHNSON & JOHNSON	25.000	03/25/08	65.10	11/12/08	58.52	1,627.50	1,463.09	-164.41	ST	
GENERAL ELECTRIC	50.000	03/06/08	33.15	01/29/09	12.96	1,657.50	648.01	-1,009.49	ST	
J C PENNEY CO IN	30.000	11/07/08	22.88	01/29/09	18.31	686.40	549.27	-137.13	ST	
SAME AS ABOVE	30.000	11/05/08	22.81	01/29/09	18.31	684.30	549.27	-135.03	ST	
SAME AS ABOVE	30.000	11/10/08	20.01	01/29/09	18.31	600.30	549.27	-51.03	ST	
SARA LEE CORP	265.000	05/01/08	14.67	02/20/09	7.87	3,885.53	2,085.54	-1,802.99	ST	
SAME AS ABOVE	150.000	04/29/08	14.53	02/20/09	7.87	2,179.50	1,180.49	-999.01	ST	
SAME AS ABOVE	135.000	04/29/08	14.53	02/24/09	8.22	1,961.55	1,109.69	-851.86	ST	
ALCOA INC	225.000	10/21/08	12.00	03/05/09	5.21	2,699.80	1,172.24	-1,527.56	ST	
SAME AS ABOVE	70.000	10/31/08	11.31	03/05/09	5.21	791.69	364.70	-426.99	ST	
SAME AS ABOVE	60.000	11/14/08	11.03	03/05/09	5.21	661.60	312.60	-349.00	ST	
SAME AS ABOVE	55.000	11/12/08	10.41	03/05/09	5.21	572.73	286.55	-286.18	ST	
SAME AS ABOVE	130.000	11/24/08	8.82	03/05/09	5.21	1,145.96	677.29	-468.67	ST	
AVNET INC	35.000	12/19/08	17.13	03/05/09	16.75	599.47	586.25	-13.22	ST	
SAME AS ABOVE	40.000	12/17/08	16.94	03/05/09	16.75	677.74	669.99	-7.75	ST	
SAME AS ABOVE	35.000	12/18/08	16.89	03/05/09	16.75	591.27	586.25	-5.02	ST	
SAME AS ABOVE	40.000	12/15/08	16.25	03/05/09	16.75	650.04	669.99	19.95	ST	
BURLINGTON NORTH	20.000	04/17/08	98.50	03/05/09	53.10	1,970.00	1,061.99	-908.01	ST	
SAME AS ABOVE	35.000	07/17/08	96.06	03/05/09	53.10	3,362.15	1,858.49	-1,503.66	ST	
SAME AS ABOVE	40.000	04/14/08	93.97	03/05/09	53.10	3,758.96	2,123.99	-1,634.97	ST	
BERKSHIRE HATHAW	1.000	03/27/08	4329.53	03/05/09	2301.00	4,329.53	2,300.99	-2,028.54	ST	
SAME AS ABOVE	2.000	07/17/08	3792.56	03/05/09	2301.00	7,585.11	4,601.97	-2,983.14	ST	
CHEVRON CORPORAT	45.000	04/15/08	89.92	03/05/09	56.95	4,046.48	2,562.73	-1,483.75	ST	
SAME AS ABOVE	40.000	04/10/08	89.17	03/05/09	56.95	3,566.72	2,277.98	-1,288.74	ST	
SAME AS ABOVE	45.000	03/27/08	85.11	03/05/09	56.95	3,829.98	2,562.74	-1,267.24	ST	
CENTERPOINT ENER	50.000	12/17/08	12.60	03/05/09	8.97	630.00	448.50	-181.50	ST	
SAME AS ABOVE	50.000	12/19/08	12.48	03/05/09	8.97	624.00	448.50	-175.50	ST	
SAME AS ABOVE	60.000	12/18/08	12.16	03/05/09	8.97	741.60	538.19	-203.41	ST	
SAME AS ABOVE	50.000	12/15/08	12.29	03/05/09	8.97	614.50	448.49	-166.01	ST	
COMCAST CORP	75.000	06/04/08	22.60	03/05/09	12.18	1,695.00	913.49	-781.51	ST	
NEW CL A	375.000	05/12/08	21.98	03/05/09	12.18	8,244.34	4,567.47	-3,676.87	ST	
SAME AS ABOVE	20.000	03/06/08	60.72	03/05/09	35.39	1,614.40	707.80	-906.60	ST	
CONOCOPHILLIPS	50.000	07/29/08	70.76	03/05/09	23.50	3,537.80	1,174.99	-2,362.81	ST	
CATERPILLAR INC	50.000	07/31/08	70.34	03/05/09	23.50	3,517.17	1,174.99	-2,342.18	ST	

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CAPITAL GAINS SCHEDULE

PAGE - 2

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS ORIG FACE	PURCHASE		SALE		PRICE ADJUSTED BASIS		PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		DATE	FX RATE	DATE	FX RATE	PRICE	ORIGINAL COST			
DENEURY RESOURCE	70 000	12/17/08	9.59	03/05/09	12.81	671.53		896.45	224.92 ST	
HOLDING COMPANY										
SAME AS ABOVE	90.000	12/19/08	9.50	03/05/09	12.81	760.00		1,024.52	264.52 ST	
SAME AS ABOVE	65 000	12/15/08	9.24	03/05/09	12.81	600.57		832.42	231.85 ST	
SAME AS ABOVE	75 000	12/18/08	8.68	03/05/09	12.81	650.98		960.49	309.51 ST	
EXELON CORP	20.000	06/11/08	82.35	03/05/09	44.16	1,767.10		883.19	-883.91 ST	
SAME AS ABOVE	20.000	04/16/08	84.50	03/05/09	44.16	1,690.02		883.19	-806.83 ST	
SAME AS ABOVE	95 000	04/09/08	82.81	03/05/09	44.16	7,867.09		4,195.18	-3,671.91 ST	
EATON CORP	50 000	07/31/08	71.51	03/05/09	31.63	3,575.71		1,581.49	-1,994.22 ST	
SAME AS ABOVE	50 000	07/29/08	69.52	03/05/09	31.63	3,476.04		1,581.49	-1,894.55 ST	
ILLINOIS TOOL WO	75 000	03/27/08	48.78	03/05/09	26.22	3,658.31		1,966.49	-1,691.82 ST	
J P MORGAN CHASE	90 000	04/09/08	44.18	03/05/09	16.68	3,975.98		1,501.19	-2,474.79 ST	
SAME AS ABOVE	85 000	03/27/08	43.78	03/05/09	16.68	3,721.05		1,417.79	-2,303.26 ST	
SAME AS ABOVE	15 000	07/17/08	39.46	03/05/09	16.68	591.87		250.20	-341.67 ST	
SAME AS ABOVE	85 000	06/11/08	38.00	03/05/09	16.68	3,229.86		1,417.79	-1,812.07 ST	
KROGER CO	25 000	11/14/08	26.00	03/05/09	20.10	700.00		502.50	-197.50 ST	
SAME AS ABOVE	30 000	11/25/08	27.67	03/05/09	20.10	829.98		603.00	-226.98 ST	
SAME AS ABOVE	25 000	11/06/08	27.29	03/05/09	20.10	682.25		502.50	-179.75 ST	
SAME AS ABOVE	25 000	11/10/08	27.29	03/05/09	20.10	682.25		502.50	-179.75 ST	
SAME AS ABOVE	20 000	11/07/08	27.21	03/05/09	20.10	544.20		402.00	-142.20 ST	
SAME AS ABOVE	25 000	11/05/08	27.09	03/05/09	20.10	677.25		502.50	-174.75 ST	
SAME AS ABOVE	10 000	11/24/08	26.84	03/05/09	20.10	268.40		201.00	-67.40 ST	
SAME AS ABOVE	20 000	11/12/08	26.58	03/05/09	20.10	531.60		402.00	-129.60 ST	
SAME AS ABOVE	15 000	12/30/08	25.98	03/05/09	20.10	369.70		301.47	-68.23 ST	
LIBERTY MEDIA CO	40.000	03/27/08	22.50	03/05/09	17.02	900.00		680.80	-219.20 ST	
SERIES A LIBERTY ENT										
LEUCADIA NATIONA	250.000	07/17/08	44.61	03/05/09	11.33	11,153.38		2,832.48	-8,320.90 ST	
SAME AS ABOVE	20.000	12/30/08	18.70	03/05/09	11.33	374.00		226.60	-147.40 ST	
LGES CO INC	275 000	09/05/08	25.46	03/05/09	13.41	7,001.78		3,687.72	-3,314.06 ST	
METROTRIC INC	20 000	02/17/09	34.88	03/05/09	25.72	697.60		514.40	-183.20 ST	
SAME AS ABOVE	75 000	02/25/09	31.90	03/05/09	25.72	2,542.50		1,928.98	-613.52 ST	
VERCK & CO INC	45.000	03/25/08	44.41	03/05/09	22.25	1,998.33		1,001.24	-997.09 ST	
MICROSOFT CORP	145 000	03/27/08	28.29	03/05/09	15.49	4,102.05		2,246.04	-1,856.01 ST	
PIONEER NATURAL	50 000	12/17/08	18.21	03/05/09	13.02	910.28		651.00	-259.28 ST	
SAME AS ABOVE	85 000	12/15/08	16.90	03/05/09	13.02	1,436.08		1,106.69	-329.39 ST	
SAME AS ABOVE	40 000	12/30/08	15.35	03/05/09	13.02	614.00		520.79	-93.21 ST	
ONEOK INC (NEW)	25 000	11/05/08	31.47	03/05/09	19.30	786.69		482.50	-304.19 ST	
SAME AS ABOVE	20 000	11/07/08	29.59	03/05/09	19.30	591.78		386.00	-205.78 ST	
SAME AS ABOVE	25 000	11/10/08	28.79	03/05/09	19.30	719.75		482.50	-237.25 ST	
SAME AS ABOVE	20 000	11/06/08	28.64	03/05/09	19.30	572.87		385.99	-186.88 ST	
***POTASH CORP O	8 000	01/29/09	77.82	03/05/09	69.85	622.56		558.80	-63.76 ST	
INC										
SAME AS ABOVE	17.000	02/03/09	75.36	03/05/09	69.85	1,281.19		1,167.44	-93.75 ST	
SAME AS ABOVE	8.000	02/02/09	73.95	03/05/09	69.85	591.60		558.79	-32.81 ST	
SIM CORPORATION	210 000	07/29/08	17.05	03/05/09	3.46	3,580.08		726.59	-2,853.49 ST	
SAME AS ABOVE	210.000	07/31/08	16.96	03/05/09	3.46	3,561.94		726.59	-2,835.35 ST	

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CAPITAL GAINS SCHEDULE

PAGE: 3

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS ORIG FACE	PURCHASE		SALE		PRICE ADJUSTED BASIS		PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		DATE	FX RATE	DATE	FX RATE	PRICE	ORIGINAL COST			
SAME AS ABOVE	285.000	08/27/08	15.73	03/05/09	3.46	4,483.88		986.10	-3,497.78	ST
SPECTRA ENERGY C	35.000	11/05/08	18.75	03/05/09	11.53	656.25		403.55	-252.70	ST
SAME AS ABOVE	40.000	11/07/08	17.69	03/05/09	11.53	707.55		461.20	-246.35	ST
SAME AS ABOVE	40.000	11/06/08	17.44	03/05/09	11.53	697.44		461.20	-236.24	ST
SAME AS ABOVE	35.000	11/10/08	17.20	03/05/09	11.53	601.92		403.54	-198.38	ST
UNITEDHEALTH GRO	95.000	12/29/08	25.13	03/05/09	16.47	2,387.35		1,564.64	-822.71	ST
VALERO ENERGY CO	20.000	12/30/08	21.03	03/05/09	16.41	420.60		328.20	-92.40	ST
SAME AS ABOVE	35.000	10/31/08	20.13	03/05/09	16.41	704.55		574.35	-130.20	ST
SAME AS ABOVE	140.000	10/21/08	20.00	03/05/09	16.41	2,799.33		2,297.19	-501.94	ST
SAME AS ABOVE	40.000	11/14/08	18.60	03/05/09	16.41	744.03		656.40	-87.63	ST
SAME AS ABOVE	25.000	11/12/08	18.05	03/05/09	16.41	451.25		410.25	-41.00	ST
SAME AS ABOVE	40.000	11/24/08	17.53	03/05/09	16.41	701.20		656.38	-44.82	ST
WALGREEN CO	225.000	06/09/08	35.57	03/05/09	21.88	6,004.13		4,922.97	-3,081.16	ST
SAME AS ABOVE	95.000	07/17/08	33.21	03/05/09	21.88	3,154.93		2,078.59	-1,076.34	ST
WEYERHAEUSER CO	25.000	03/06/08	61.81	03/05/09	19.41	1,545.17		485.24	-1,059.93	ST
	6.376					96,929.38		109,321.51	-87,607.87	ST
UNITED TECHNOLOG	20.000	03/02/07	64.62	11/12/08	49.34	1,292.34		986.79	-305.55	LT
FORTUNE BRANDS I	10.000	03/02/07	80.28	12/15/08	38.22	802.80		382.19	-420.61	LT
CBS CORP.	265.000	03/02/07	15.76	12/22/08	7.43	7,686.40		1,969.62	-5,916.75	LT
NEW CLASS B										
FORTUNE BRANDS I	40.000	03/02/07	80.28	12/23/08	38.73	3,211.20		1,549.19	-1,662.01	LT
CNX GAS CORPORAT	15.000	03/02/07	24.56	01/22/09	26.50	368.40		397.49	29.09	LT
LIBERTY MEDIA CO	75.000	03/02/07	23.02	03/22/09	16.88	1,726.77		1,266.10	-460.67	LT
SERIES A LIBERTY ENT										
GENERAL ELECTRIC	360.000	03/02/07	34.99	01/29/09	12.96	12,596.40		4,665.68	-7,930.72	LT
AMERIPRISE FINL	65.000	03/02/07	57.56	02/17/09	18.40	3,741.40		1,195.74	-2,545.66	LT
FORTUNE BRANDS I	85.000	03/02/07	80.28	02/20/09	27.45	6,823.80		2,333.23	-4,490.57	LT
SAME AS ABOVE	40.000	03/02/07	80.28	02/24/09	26.62	3,211.20		1,072.88	-2,138.32	LT
AMERIPRISE FINL	175.000	03/02/07	57.56	02/25/09	16.52	10,073.00		2,890.51	-7,182.49	LT
AT&T INC	155.000	11/14/07	39.78	03/05/09	22.50	6,166.35		3,487.48	-2,678.87	LT
SAME AS ABOVE	10.000	11/07/07	35.21	03/05/09	22.50	392.12		225.00	-167.12	LT
SAME AS ABOVE	160.000	11/08/07	39.08	03/05/09	22.50	6,252.78		3,599.97	-2,652.81	LT
CNX GAS CORPORAT	275.000	03/02/07	24.56	03/05/09	21.29	6,754.00		5,854.71	-899.29	LT
CONOCOPHILLIPS	160.000	03/02/07	65.19	03/05/09	35.39	10,430.40		5,662.36	-4,768.04	LT
HUDSON CITY BANC	335.000	03/02/07	13.40	03/05/09	8.99	4,489.00		3,011.63	-1,477.37	LT
SAME AS ABOVE	185.000	06/28/07	12.33	03/05/09	8.99	2,281.05		1,663.14	-617.91	LT
HEWLETT PACKARD	180.000	03/02/07	38.76	03/05/09	27.18	6,976.80		4,892.37	-2,084.43	LT
ILLINOIS TOOL WO	220.000	03/02/07	51.20	03/05/09	26.27	11,264.00		5,768.36	-5,495.64	LT
JOHNSON & JOHNSO	15.000	05/04/07	64.51	03/05/09	47.71	967.62		715.65	-251.97	LT
SAME AS ABOVE	160.000	06/06/07	62.74	03/05/09	47.71	10,038.32		7,633.55	-2,404.77	LT
SAME AS ABOVE	25.000	08/31/07	61.84	03/05/09	47.71	1,546.00		1,192.74	-353.26	LT
LIBERTY MEDIA CO	385.000	03/02/07	23.02	03/05/09	17.02	8,864.07		6,552.65	-2,311.42	LT

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CAPITAL GAINS SCHEDULE

PAGE: 4

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS	PURCHASE		SALE		PRICE ADJUSTED BASIS	PROCEEDS	SECURITY IND	CURRENCY G/L
		DATE	FX RATE	DATE	FX RATE				
SERIES A LIBERTY ENT									
VERCK & CO INC	225.000	03/02/07	44.11	03/05/09	22.25	9,924.75	5,006.22	-4,918.53	LT
MICROSOFT CORP	275.000	09/19/07	28.60	03/05/09	15.45	7,865.03	4,259.72	-3,605.31	LT
SAME AS ABOVE	10.000	02/11/08	28.09	03/05/09	15.49	280.90	154.90	-126.00	LT
PIONEER NATURAL	160.000	03/02/07	38.03	03/05/09	13.02	6,084.46	2,083.19	-4,001.27	LT
UNITED TECHNOLOG	155.000	03/02/07	64.62	03/05/09	37.95	10,015.64	5,882.21	-4,133.43	LT
**WHITE MTNS IN	20.000	03/02/07	575.00	03/05/09	165.55	11,500.00	3,310.98	-8,189.02	LT
COM									
SAME AS ABOVE	8.000	08/29/07	514.93	03/05/09	165.55	4,119.42	1,324.39	-2,795.03	LT
WEYERHAEUSER CO	140.000	03/02/07	86.89	03/05/09	19.41	12,164.60	2,717.38	-9,447.22	LT
SAME AS ABOVE	25.000	06/28/07	79.16	03/05/09	19.41	1,979.00	485.25	-1,493.75	LT
	4,433					192,090.02	94,193.27	0.00	ST
								-97,896.75	LT
SECTION TOTAL	10,809					389,019.40	203,514.78	-87,607.87	ST
								-97,896.75	LT
KRAFT FOODS INC	20,000.000	05/11/09	110.61	08/05/09	110.61	20,954.20	22,121.20	1,167.00	ST
SR NT						20,954.20			
6 50000% 08/11/2017									
DONNELLEY R R &	20,000.000	06/04/09	95.25	08/19/09	101.25	19,050.00	20,250.00	1,200.00	ST
NO:ES						19,050.00			
5.62500% 01/15/2012									
AMERICAN EXPRESS	20,000.000	05/04/09	56.38	08/20/09	104.08	19,276.40	20,815.60	1,539.20	ST
MEDIUM TERM NTS						19,276.40			
5.87500% 05/02/2013									
EASTMAN KODAK CO	7,000.000	05/11/09	62.25	09/11/09	78.75	4,357.50	5,512.50	1,155.00	ST
						4,357.50			
7.25000% 11/15/2013									
SAME AS ABOVE	8,000.000	05/11/09	62.25	09/14/09	78.75	4,980.00	6,300.00	1,320.00	ST
						4,980.00			
SAME AS ABOVE	4,000.000	05/11/09	62.25	09/15/09	78.75	2,490.00	3,150.00	660.00	ST
						2,490.00			
SAME AS ABOVE	13,000.000	05/11/09	62.25	09/16/09	79.00	8,092.50	10,270.00	2,177.50	ST
						8,092.50			
SAME AS ABOVE	3,000.000	05/11/09	62.25	09/17/09	85.00	1,867.50	2,550.00	682.50	ST
						1,867.50			

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 UNLESS IT CONFORMS WITH THE OFFICIAL RECORDS OF THIS FIRM

FROM OCL 01, 2008 TO SEP 30, 2009

DESCRIPTION	UNITS ORIG FACE	PURCHASE-----		SALE-----		PRICE ADJUSTED BASIS	PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		DATE	FX RATE	MTY	CURR				
	95,000					81,068.10	90,969.30	9,901.20 ST	
						81,068.10	0.00 LT		

==	IND	LEGEND	==	== ACCOUNT TOTALS ==	COST	PROCEEDS	GAIN/LOSS
AKO	-	WRITTEN OPTION		SHORT TERM	277,997.48	200,290.81	-77,706.67
SSS	-	SHORT SALE					
ST	-	SHORT TERM					
MT	-	MEDIUM TERM					
LT	-	LONG TERM		LONG TERM	192,090.02	94,193.27	-97,896.75
PP	-	PURCHASE INCLUDES OPTION PREMIUM		ZERO GAIN/LOSS ITEMS	0.00	0.00	
SS	-	SALE INCLUDES		TOTALS	470,087.50	294,484.08	-175,603.42
OP	-	OPTION PREMIUM		TOTAL CURRENCY GAINS			0.00
PS	-	PURCHASE & SALE INCLUDES OPTION PREMIUM		TOTAL SHORT SALE P&L			0.00
				TOTAL DISCOUNT INCOME			0.00

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CAPITAL GAINS SCHEDULE

PAGE - 6

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	PURCHASE		SALE		PROCEEDS	SECURITY IND CURRENCY G/L GAIN/LOSS DISCOUNT INC
	UNITS DATE	PRICE DATE	PRICE DATE	PRICE DATE		
	ORIG FACE	FX RATE	MY CURR	FX RATE	ORIGINAL COST	

LT is the designation for a gain or loss on a security held for more than twelve (12) months, which is subject to a maximum 15% tax rate. ST is the designation for a gain or loss on a security held for twelve (12) months or less, which is subject to a maximum tax rate of 35% in 2005.

Note: All rates & brackets mentioned are federal income tax rates & brackets. This does not reflect alternative minimum tax ramifications, if any. There can be additional state income tax ramifications.

The long-term capital gains tax rate of 15% (or 5% for individuals in the 10% or 15% tax bracket) applies to most securities held more than one year.

THE TRANSACTIONS LISTED ABOVE ARE NOT ADJUSTED FOR THE RULES CONTAINED IN THE FOLLOWING SECTIONS OF THE

INTERNAL REVENUE CODE (IF APPLICABLE):

SECTION 1091 - WASH SALES

SECTION 1092 - STRADDLES

SECTION 1259 - CONSTRUCTIVE SALES

ANY ADJUSTMENTS TO THE RESULT OF MUTUAL FUND (A CLOSED-END FUND) TRANSACTIONS REQUIRED BY SECTION 852 (b) (4) ARE NOT REFLECTED ABOVE

CLOSING TRANSACTIONS DUE TO TAXABLE MERGER INVOLVING CASH AND STOCK MAY BE REFLECTED DIFFERENTLY ON THE ABOVE CAPITAL GAINS/LOSSES STATEMENT AND ON THE FORM 1099 YOU WILL OR HAVE RECEIVED AT THE END OF THE YEAR. PLEASE CONSULT YOUR TAX ADVISOR.

PLEASE NOTE THAT THE COST BASIS USED TO DETERMINE GAINS OR LOSSES AS LISTED IN THIS REPORT FOR MASTER LIMITED PARTNERSHIPS (MLP) LIQUIDATING TRUSTS, ORIGINAL ISSUE DISCOUNT (OID) AND CERTAIN MUNICIPAL BONDS MAY HAVE BEEN ADJUSTED FOR REALLOCATED INCOME, GAINS, LOSSES OR RETURN OF CAPITAL.

This capital gain and loss summary is provided for information purposes only and does not conform to tax preparation standards. It is accordingly not a substitute 1099 form and should not be filed with your taxes. It is provided for your personal record keeping purposes only.

For securities not purchased through Neuberger Berman but purchased elsewhere and later transferred to, you will need to supply relevant information to your tax advisor. It is your responsibility to ensure the accuracy of this information since Neuberger Berman has no knowledge of such assets cost basis.

Exchange rates used to derive foreign exchange gains and losses may be provided from various outside sources and may not reflect realizable rates. Neuberger Berman does not warrant the accuracy of these sources and is not responsible for inaccuracies. Rates may also be subject to change without notice.

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CAPITAL GAINS SCHEDULE

PAGE 1

FROM Oct 01, 2008 TO Sep 30, 2009

FISCAL YEAR END - 07/30
 TAX EXEMPT CODE - Y
 DENOMINATED IN - U.S. Dollars
 REPORT RUN DATE - Jan 21, 2010

DESCRIPTION	UNITS ORIG FACE	PURCHASE		SALE		PRICE ADJUSTED BASIS ORIGINAL COST	PROCEEDS	SECURITY INC GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		DATE	FX RATE	DATE	FX RATE				
***NOVO NORDISK REPSTG 1/2 CL B SH	86 000	07/17/08	63.85	10/31/08	53.58	5,618.97	4,715.13	-903.84	ST
***FRIESENHUS MED & CO KGAA SPONSORED	47 000	03/05/08	52.58	03/05/09	39.46	2,471.36	1,854.72	-616.64	ST
***NOVO NORDISK REPSTG 1/2 CL B SH	6 000	07/17/08	63.85	03/05/09	45.05	510.82	360.41	-150.41	ST
***QIAGEN NV EUR	134 000	09/29/08	18.90	03/05/09	15.58	2,532.60	2,087.70	-444.90	ST
***SAP AKTIENGEN SPONSORED ADR	42 000	06/24/08	51.90	03/05/09	31.99	2,179.81	1,343.57	-836.24	ST
***UNILEVER PLC SPONSORED ADR NEW	90 000	09/29/08	26.34	03/05/09	17.66	2,370.85	1,589.39	-781.46	ST
***QIAGEN NV EUR	8 000	09/29/08	18.90	03/18/09	15.93	151.20	127.45	-23.75	ST
***UNILEVER PLC SPONSORED ADR NEW	32 000	09/29/08	26.34	03/19/09	18.21	842.97	582.76	-260.21	ST
***NOVARTIS AG-S	189 000	09/12/08	54.15	04/28/09	37.12	10,234.01	7,015.23	-3,218.78	ST
***SKF AB-SPONSO	171 000	10/31/08	9.27	05/29/09	11.76	1,585.17	2,010.16	424.99	ST
***HDFC BK LTD ADR REPSTG 1 SHS	48 000	09/29/08	77.84	06/05/09	101.53	3,736.42	4,873.07	1,136.65	ST
	857					32,234.18	26,559.59	-5,674.59	ST
***ERICSSON L M ADR CL B SEK 10 NEW	944 000	03/02/07	17.47	10/06/08	7.28	16,480.96	6,867.65	-9,619.31	LT
***NESTLE SA-SPO REPSTG REGD ORD (SF	155 000	03/02/07	38.52	10/31/08	39.00	5,970.60	6,044.96	74.36	LT
***MONEX BEANS H UNSPONSORED ADR	1,080 000	04/25/07	8.33	11/28/08	2.69	8,991.65	2,901.62	-6,090.03	LT
***SONY CORP-ADR	349 000	03/02/07	49.87	12/18/08	20.51	17,404.63	7,158.57	-10,246.06	LT
***ATLAS COPCO A ADR NEW REPSTG SER A	281 000	03/02/07	15.63	03/05/09	6.25	4,390.62	1,756.24	-2,634.38	LT
***AMERICA MOVI SPONSORED ADR REPSTG	55 000	03/02/07	43.53	03/05/09	24.29	2,394.15	1,335.94	-1,058.21	LT
***ALCON INC	16 000	03/02/07	123.39	03/05/09	83.05	1,974.24	1,328.79	-645.45	LT
BUNGE LTD	34 000	03/02/07	77.66	03/05/09	46.44	2,640.44	1,578.95	-1,061.49	LT
***EG GROUP PLC	20 000	03/02/07	66.40	03/05/09	64.66	1,328.09	1,293.19	-34.90	LT

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CAPITAL GAINS SCHEDULE

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS DATE ORIG FACE	-----PURCHASE-----		-----SALE-----		PRICE ADJUSTED BASIS	PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		PRICE DATE	FX RATE MY CURR	PRICE DATE	FX RATE				
INSTALLMENT NEW REPS									
SHS									
***DASSAULT SYST	67.000	03/30/07	53.83	03/05/09	32 27	3,606.80	2,162.07	-1,444.73	LT
SPONSORED ADR									
***GAPROM C A O	100.000	01/30/08	50.23	03/05/09	12 25	5,022.96	1,224.99	-3,797.97	LT
SPONSORED ADR REG S									
***HOYA CORP	91.000	03/02/07	34.55	03/05/09	17 72	3,144.05	1,612.51	-1,531.54	LT
SPONSORED ADR									
***HUTCHISON WHA	54.000	10/15/07	54.90	03/05/09	24.10	2,964.60	1,301.39	-1,663.21	LT
***IMPERIAL OIL	41.000	03/02/07	34.57	03/05/09	30.67	1,417.29	1,257.46	-159.83	LT
***KOMATSU LTD-S	42.000	03/02/07	88.30	03/05/09	41 56	3,706.60	1,745.51	-1,963.09	LT
NEW									
***KUBOTA CORP-A	51.000	03/02/07	48.62	03/05/09	24.36	2,479.62	1,242.35	-1,237.27	LT
***L OREAL CO-AD	129.000	10/15/07	25.95	03/05/09	11 69	3,347.55	1,508.40	-1,839.15	LT
***MITSUBISHI ES	13.000	03/02/07	315.50	03/05/09	97 01	4,101.50	1,361.12	-2,840.38	LT
ADR									
***NESTLE SA-SPO	67.000	03/02/07	38.52	03/05/09	30 03	2,580.84	2,012.18	-568.66	LT
REPSTG REGD ORD (SF									
***NOVO NORDISK	37.000	03/02/07	42.73	03/05/09	45.05	1,580.89	1,666.90	86.01	LT
REPSTG 1/2 CL B SH									
***SAME AS ABOVE*	8.000	03/02/07	42.73	03/05/09	45.05	341.81	360.40	18.59	LT
***PERUSAHAAN PE	70.000	04/25/07	46.69	03/05/09	20 66	3,268.46	1,447.59	-1,820.87	LT
(PERSERO) P T TELEKO									
INDONESIA-SPONSORED									
***PANASONIC COR	110.000	03/02/07	19.50	03/05/09	10 90	2,144.81	1,198.99	-945.82	LT
ADR									
***ROCKE HOLDING	74.000	03/03/08	49.09	03/05/09	27 75	3,632.67	2,053.12	-1,579.55	LT
SPONSORED ADR REPSTG									
***SCHLUMBERGER	31.000	02/13/08	85.22	03/05/09	35.65	2,641.88	1,105.14	-1,536.74	LT
***SASOL LTD-SPO	49.000	09/14/07	42.54	03/05/09	23.89	2,084.49	1,170.45	-914.04	LT
***SAKAWA SEMICO	286.000	03/02/07	10.63	03/05/09	8 10	3,038.87	2,316.58	-722.29	LT
LTD-SPONSORED ADR RE									
***TESCO PLC-SPO	123.000	03/02/07	25.50	03/05/09	12 70	3,136.50	1,562.09	-1,574.41	LT
***WAL-MART DE M	74.000	03/02/07	40.05	03/05/09	19.22	2,963.70	1,422.27	-1,541.43	LT
C V SPONS ADR REPSTG									
***WPP PLC	59.000	03/02/07	72.19	03/05/09	25 95	4,259.06	1,531.32	-2,727.74	LT
AMERICAN DEPOSITORY									
***FRESenius MED	30.000	03/05/08	52.58	03/13/09	38 40	1,577.46	1,152.01	-425.45	LT
& CO KGAA SPONSORED									
***SAME AS ABOVE*	142.000	03/02/07	46.79	03/13/09	38 40	6,644.18	5,452.85	-1,191.33	LT
***SAME AS ABOVE*	30.000	03/02/07	46.79	03/13/09	38 40	1,403.70	1,152.01	-251.69	LT
***NOVO NORDISK	172.000	03/02/07	42.73	03/13/09	46 15	7,349.01	7,937.80	588.79	LT
REPSTG 1/2 CL B SH									
***ROCHE HOLDING	50.000	03/03/08	49.09	03/16/09	30 99	2,454.50	1,549.28	-905.22	LT
SPONSORED ADR REPSTG									
***SAME AS ABOVE*	28.000	10/15/07	45.93	03/16/09	30.99	1,285.90	867.60	-418.30	LT

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CAPITAL GAINS SCHEDULE

PAGE 3

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS ORIG FACE	PURCHASE		SALE		PRICE ADJUSTED BASIS		PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		DATE	PRICE FX RATE	DATE	PRICE FX RATE	ORIGINAL	COST			
SAME AS ABOVE	50 000	10/15/07	45.93	03/16/09	30.99	2,296.25		1,549.29	-746.96	LT
***CIAGEN NV EUR	373.000	03/02/07	15.95	03/18/09	15.93	5,949.35		5,942.30	-7.05	LT
SAME AS ABOVE	8 000	03/02/07	15.95	03/18/09	15.93	127.60		127.44	-0.16	LT
***SWISS REINSUR	134 000	03/02/07	88.65	03/18/09	13.48	11,879.10		1,806.82	-10,072.28	LT
SPONSORED ADR										
***UNILEVER PLC	188 000	03/02/07	26.16	03/19/09	18.21	4,917.72		3,423.74	-1,493.98	LT
SPONSORED ADR NEW										
SAME AS ABOVE	32.000	03/02/07	26.16	03/19/09	18.21	837.06		582.76	-254.30	LT
***RTS HSEC HOLD	82 000	03/02/07	18.54	04/21/09	14.29	1,520.54		1,171.82	-348.72	LT
CONTRA CUSIP										
***SKF AB-SPONSO	468.000	03/02/07	19.10	04/30/09	11.19	8,938.80		5,235.05	-3,703.75	LT
SAME AS ABOVE	222.000	03/02/07	19.10	05/29/09	11.76	4,240.20		2,609.67	-1,630.53	LT
***SAGOL LTD-SPO	134.000	09/14/07	42.54	06/26/09	34.26	5,700.46		4,590.39	-1,110.07	LT
SAME AS ABOVE	37.000	09/14/07	42.54	06/26/09	34.26	1,574.01		1,267.49	-306.52	LT
***TESCO PLC-SPO	327 000	03/02/07	25.50	08/13/09	18.44	8,338.50		6,029.72	-2,308.78	LT
	7,017					200,082.67		113,876.76	0.00	ST
									-86,205.89	LT
SECTION TOTAL	7,874					232,316.85		140,436.37	-5,674.59	ST
									-86,205.89	LT
***DBS GROUP HOL	581.620			01/27/09	1.00	0.00		581.62	581.62	LT
SPONSORED ADR										
***TAIWAN SEMICO	200	12/31/99		08/20/09	1.00	0.00		0.20	0.20	LT
LTD-SPONSORED ADR RE										
	582					0.00		581.82	0.00	ST
									581.82	LT
SECTION TOTAL	582					0.00		581.82	0.00	ST
									581.82	LT

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PAGE: 4

FROM OCT 01, 2008 TO SEP 30, 2009

DESCRIPTION	PURCHASE		SALE		PROCEEDS	SECURITY IND	CURRENCY G/L
	UNITS DATE	PRICE DATE	PRICE DATE	ADJUSTED BASIS			
ORIG FACE	FX RATE	MNY CURR	FX RATE	ORIGINAL COST			
== ACCOUNT TOTALS ==							
SHORT TERM				32,234 18	26,559.59	-5,674.59	
LONG TERM				200,082 67	114,458.60	-85,624.07	
ZERO GAIN/LOSS ITEMS				0.00	0.00		
TOTALS				232,316 85	141,018.19	-91,298.66	
TOTAL CURRENCY GAINS						0.00	
TOTAL SHORT SALE P&L						0.00	
TOTAL DISCOUNT INCOME						0.00	

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CAPITAL GAINS SCHEDULE

PAGE: 5

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS DATE	-----PURCHASE-----		-----SALE-----		PROCEEDS	SECURITY IND CURRENCY G/L GAIN/LOSS DISCOUNT INC
		ORIG FACE	PRICE DATE	FX RATE MNY CURR	PRICE ADJUSTED BASIS FX RATE ORIGINAL COST		

LT is the designation for a gain or loss on a security held for more than twelve (12) months, which is subject to a maximum 15% tax rate. ST is the designation for a gain or loss on a security held for twelve (12) months or less, which is subject to a maximum tax rate of 35% in 2005.

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CAPITAL GAINS SCHEDULE

PAGE: 1

FROM Ccl 01, 2008 TO Sep 30, 2009

FISCAL YEAR END - 09/30
 TAX EXEMPT CODE - Y
 DENOMINATED IN - U S Dollars
 REPORT RUN DATE - Jan 21, 2010

DESCRIPTION	UNITS ORIG FACE	PURCHASE		SALE		PRICE ADJUSTED BASIS ORIGINAL COST	PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		DATE	PRICE	DATE	PRICE				
		FX RATE	MMY	CURR	FX RATE				
***** C A P I T A L G A I N S *****									
RALCORP HOLDINGS	300.000	01/14/08	54.67	10/02/08	68.88	16,400.25	20,663.82	4,263.57 ST	
SAME AS ABOVE	200.000	01/14/08	54.67	10/03/08	68.51	10,933.50	13,702.85	2,769.35 ST	
SAME AS ABOVE	100.000	01/14/08	54.67	10/06/08	67.38	5,466.75	6,737.46	1,270.71 ST	
GENENTECH INC	225.000	01/16/08	70.21	10/16/08	80.93	15,797.28	18,208.31	2,411.03 ST	
COM NEW	800.000	03/07/08	30.37	11/05/08	20.21	24,294.80	16,166.14	-8,128.66 ST	
VCA ANTECH INC	100.000	09/09/08	83.02	01/28/09	63.42	8,301.83	6,342.35	-1,959.48 ST	
PRAXAIR INC	300.000	03/31/08	34.35	02/05/09	25.40	10,304.04	7,620.25	-2,683.79 ST	
EQUIFAX INC	100.000	03/31/08	34.35	02/06/09	25.11	3,434.66	2,510.89	-923.79 ST	
SAME AS ABOVE	50.000	09/09/08	83.02	02/09/09	69.99	4,150.92	3,459.70	-651.22 ST	
PRAXAIR INC	525.000	10/14/08	48.25	03/18/09	32.57	25,332.20	17,100.25	-8,231.95 ST	
WATERS CORP	500.000	09/25/08	25.50	03/25/09	17.33	12,749.25	8,665.60	-4,083.65 ST	
COACH INC	400.000	09/25/08	25.50	03/26/09	17.49	10,199.40	6,996.04	-3,203.36 ST	
SAME AS ABOVE	225.000	08/20/08	23.27	04/01/09	14.06	5,235.55	3,163.67	-2,071.88 ST	
**ABB LTD									
SPONSORED ADR									
SAME AS ABOVE	100.000	09/19/08	21.31	04/01/09	14.06	2,130.54	2,406.07	-724.47 ST	
PRAXAIR INC	100.000	09/09/08	83.02	04/02/09	69.69	8,301.83	6,968.51	-1,333.32 ST	
CCCIDENTAL PETE	250.000	10/17/08	47.13	05/11/09	63.01	11,763.25	15,752.44	3,969.19 ST	
ORACLE CORP	250.000	09/19/08	20.34	06/24/09	21.30	5,086.03	5,325.39	239.36 ST	
MEDTRONIC INC	150.000	01/09/09	32.74	08/06/09	35.40	4,911.21	5,310.22	399.01 ST	
SAME AS ABOVE	200.000	01/09/09	32.74	08/19/09	37.01	6,548.27	7,401.11	852.84 ST	
RANGE RESOURCES	150.000	04/06/09	43.37	08/20/09	49.70	6,505.41	7,454.15	948.74 ST	
ITT INDUSTRIES I	250.000	10/23/08	40.53	08/21/09	50.68	10,133.00	12,668.45	2,535.45 ST	
MEDTRONIC INC	475.000	01/09/09	32.74	08/24/09	37.81	15,552.15	17,957.19	2,405.04 ST	
MEDNAX INC	350.000	11/24/08	25.66	09/16/09	51.71	8,982.29	18,097.60	9,115.31 ST	
GENERAL ELECTRIC	300.000	09/25/08	23.75	09/17/09	17.22	7,125.77	5,165.92	-1,959.85 ST	
	6.400					239,660.20	234,684.38	-4,775.82 ST	

**SUNCOR ENERGY	600.000	03/01/07	35.24	10/16/08	20.21	21,144.51	12,126.43	-9,018.08 LT	
NEWS CORPORATION	1,100.000	08/15/07	20.08	10/30/08	10.01	22,084.59	11,009.17	-11,075.42 LT	
CLASS A									
SAME AS ABOVE	350.000	08/16/07	19.58	10/30/08	10.01	6,852.76	3,502.92	-3,349.84 LT	
ROCKWELL AUTOMAT	200.000	11/16/07	67.30	12/08/08	29.52	13,460.74	5,904.84	-7,555.90 LT	
SAME AS ABOVE	400.000	03/07/07	60.90	12/08/08	29.52	24,359.92	11,809.67	-12,550.25 LT	

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CAPITAL GAINS SCHEDULE

PAGE 2

FROM Oct 01, 2008 to Sep 30, 2009

DESCRIPTION	UNITS ORIG FACE	-----PURCHASE-----		-----SALE-----		PRICE FX RATE	DATE MNY CURR	PRICE FX RATE	ADJUSTED BASIS ORIGINAL COST	PROCEEDS	SECURITY IND GAIN/LOSS	CURRENCY G/L DISCOUNT INC
		UNITS DATE	PRICE DATE	UNITS DATE	PRICE DATE							
SAME AS ABOVE	100.000	04/27/07	59.27	12/08/08	29.52			5.927	41	2,952.42	-2,974.99	LT
SAME AS ABOVE	250.000	04/27/07	59.27	12/09/08	30.09			14,818.53		7,522.94	-7,295.59	LT
MCDONALDS CORP	125.000	07/16/07	52.13	12/13/08	60.98			6,516.29		7,622.34	-1,106.05	LT
TIFFANY & CO NEW	600.000	10/17/07	54.63	01/12/09	22.17			32,775.72		13,302.94	-19,472.78	LT
COOPER INDUSTRIE	800.000	11/29/07	48.76	01/28/09	28.19			39,004.06		22,551.07	-16,453.81	LT
CL A												
ZIMMER HOLDINGS	150.000	06/26/07	84.54	01/29/09	37.20			12,680.39		5,580.63	-7,099.76	LT
SAME AS ABOVE	125.000	07/26/07	81.10	01/29/09	37.20			10,137.61		4,650.52	-5,487.09	LT
SAME AS ABOVE	200.000	10/25/07	57.71	01/29/09	37.20			13,541.82		7,440.84	-6,100.98	LT
ABBOTT LABORATOR	150.000	06/26/07	54.55	02/09/09	57.02			8,183.18		8,553.23	370.05	LT
SAME AS ABOVE	25.000	03/01/07	53.81	02/09/09	57.02			1,345.25		1,425.54	80.29	LT
*LUXOTTICA GRO	1,100.000	03/19/07	30.99	03/13/09	13.15			34,090.21		14,463.92	-19,626.29	LT
SPONSORED ADR												
**ABB LTD	200.000	02/13/08	22.97	04/01/09	14.06			4,593.00		2,812.15	-1,780.85	LT
SPONSORED ADR												
SAME AS ABOVE	525.000	03/01/07	16.62	04/01/09	14.06			8,723.14		7,381.89	-1,341.25	LT
CVS CORP	150.000	10/18/07	40.05	04/08/09	29.34			6,006.88		4,401.44	-1,605.44	LT
SAME AS ABOVE	150.000	10/18/07	40.05	04/09/09	29.92			6,006.89		4,488.35	-1,518.54	LT
SAME AS ABOVE	350.000	10/18/07	40.05	04/21/09	30.06			14,016.06		10,519.78	-3,496.28	LT
SAME AS ABOVE	225.000	10/18/07	40.05	05/20/09	30.48			9,010.33		6,857.12	-2,153.21	LT
PROCTER & GAMBLE	50.000	03/19/07	61.98	05/22/09	53.39			3,098.93		2,669.39	-429.54	LT
SAME AS ABOVE	25.000	06/26/07	61.45	05/22/09	53.35			1,536.25		1,334.70	-201.55	LT
XTO ENERGY INC	450.000	02/15/08	55.03	05/28/09	43.35			24,762.47		19,058.37	-5,704.10	LT
CVS CORP	200.000	11/29/07	40.07	06/01/09	30.49			8,214.70		6,097.68	-1,917.02	LT
SAME AS ABOVE	25.000	10/18/07	40.05	06/01/09	30.49			1,001.15		762.21	-238.94	LT
MICROSOFT CORP	500.000	03/01/07	28.09	06/08/09	22.18			14,045.00		11,087.71	-2,957.29	LT
ORACLE CORP	250.000	03/01/07	16.87	06/24/09	21.30			4,217.50		5,325.38	1,107.88	LT
SAME AS ABOVE	250.000	03/01/07	16.87	06/25/09	21.39			4,217.50		5,348.31	1,130.81	LT
PROCTER & GAMBLE	50.000	06/26/07	61.45	07/22/09	55.18			3,072.50		2,759.13	-313.37	LT
OCCIDENTAL PETE	500.000	03/01/07	46.61	07/23/09	70.38			27,330.50		3,518.94	1,188.44	LT
MICROSOFT CORP	500.000	03/01/07	28.09	07/29/09	23.53			14,045.00		11,763.64	-2,281.36	LT
ITT INDUSTRIES I	350.000	03/01/07	58.47	07/30/09	48.73			20,464.50		17,054.19	-3,410.31	LT
MICROSOFT CORP	500.000	03/01/07	28.09	07/30/09	23.91			14,045.00		11,954.61	-2,090.39	LT
CVS CORP	200.000	10/18/07	40.05	08/06/09	34.13			8,009.18		6,826.72	-1,182.46	LT
CISCO SYSTEMS IN	550.000	11/09/07	28.79	08/06/09	22.08			15,835.93		12,145.66	-3,690.27	LT
SAME AS ABOVE	75.000	05/09/07	26.87	08/06/09	22.08			2,015.12		1,656.23	-358.89	LT
ITT INDUSTRIES I	100.000	03/01/07	58.47	08/21/09	50.68			5,847.00		5,067.38	-779.62	LT
PROCTER & GAMBLE	425.000	06/26/07	61.45	08/21/09	53.53			26,116.25		22,748.82	-3,367.43	LT
**ABB LTD	325.000	03/01/07	16.62	08/24/09	19.37			5,400.04		6,295.77	895.73	LT
SPONSORED ADR												
SAME AS ABOVE	250.000	03/01/07	16.62	09/03/09	20.14			4,153.87		5,034.39	880.52	LT
ROPER INDUSTRIES	75.000	05/24/07	56.03	09/14/09	50.78			4,302.01		3,808.71	-393.30	LT
FMC TECHNOLOGIES	125.000	08/26/08	52.75	09/15/09	53.27			6,593.73		6,659.07	65.34	LT
OCCIDENTAL PETE	25.000	03/01/07	46.61	09/17/09	79.08			1,165.25		1,976.83	811.58	LT
INTERNATIONAL BU	125.000	11/13/07	103.00	09/21/09	121.56			12,874.62		15,194.91	2,320.29	LT
MACHINES CORP												

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 - - - - -
 UNLESS IT CONFORMS WITH THE OFFICIAL RECORDS OF THIS FIRM

CAPITAL GAINS SCHEDULE

PAGE 3

FROM OCT 01, 2008 TO SEP 30, 2009

DESCRIPTION	UNITS ORIG FACE	PURCHASE		SALE		PRICE FX RATE	ADJUSTED MNY CURR	BASIS ORIGINAL COST	PROCEEDS	SECURITY GAIN/LOSS	CURRENCY DISCOUNT INC
		DATE	PRICE	DATE	PRICE						
***SCHLUMBERGER	250.000	03/01/07	63.52	09/21/09	60.38		15,880.00		15,094.29	-785.71	LT
	13,600						538,224.11		378,123.19	0.00	ST
										-160,100.92	LT
SECTION TOTAL	20,000						777,884.31		613,007.57	-4,775.82	ST
										-160,100.92	LT
== CAPITAL GAIN FROM LIQUIDATING DIVIDENDS ==											
COOPER INDUSTRIE	800.000	11/29/07	49.26	10/01/08	0.25		200.00		200.00	0.00	
CL A											
SAME AS ABOVE	800.000	11/29/07	49.01	01/02/09	0.25		200.00		200.00	0.00	
	1,600						400.00		400.00	0.00	ST
										0.00	LT
SECTION TOTAL	1,600						400.00		400.00	0.00	ST
										0.00	LT

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CAPITAL GAINS SCHEDULE

PAGE: 4

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	-----PURCHASE-----		-----SALE-----		PROCEEDS	SECURITY IND CURRENCY G/L GAIN/LOSS DISCOUNT INC
	UNITS DATE ORIG FACE	PRICE DATE FX RATE MNY CURR	PRICE ADJUSTED BASIS FX RATE ORIGINAL COST			
== IND LEGEND ==						
WO - WRITTEN OPTION						
SS - SHORT-TERM						
ST - SHORT TERM						
MT - MEDIUM TERM						
LT - LONG TERM						
P - PURCHASE INCLUDES OPTION PREMIUM						
S - SALE INCLUDES OPTION PREMIUM						
D - PURCHASE & SALE INCLUDES OPTION PREMIUM						
== ACCOUNT TOTALS ==						
SHORT TERM			239,660 20		234,884.38	-4,775.82
LONG TERM			538,224 11		378,123.19	-160,100.92
ZERO GAIN/LOSS ITEMS			400 00		400 00	
TOTALS			778,284 31		613,407 57	-264,876.74
TOTAL CURRENCY GAINS						0.00
TOTAL SHORT SALE P&L						0.00
TOTAL DISCOUNT INCOME						0.00

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CAPITAL GAINS SCHEDULE

PAGE 5

FROM OCT 01, 2008 TO SEP 30, 2009

DESCRIPTION	UNITS	ORIG	FACE	-----PURCHASE-----		-----SALE-----		PROCEEDS	SECURITY INC	CURRENCY G/L
				DATE	PRICE	DATE	PRICE			
				FX RATE	MY CURR	FX RATE	ORIGINAL COST		GAIN/LOSS	DISCOUNT INC

LT is the designation for a gain or loss on a security held for more than twelve (12) months, which is subject to a maximum 15% tax rate. ST is the designation for a gain or loss on a security held for twelve (12) months or less, which is subject to a maximum tax rate of 35% in 2005.

Note: All rates & brackets mentioned are federal income tax rates & brackets. This does not reflect alternative minimum tax ramifications, if any. There can be additional state income tax ramifications.

The long-term capital gains tax rate of 15% for individuals in the 10% or 15% tax bracket applies to most securities held more than one year.

THE TRANSACTIONS LISTED ABOVE ARE NOT ADJUSTED FOR THE RULES CONTAINED IN THE FOLLOWING SECTIONS OF THE INTERNAL REVENUE CODE (IF APPLICABLE):

SECTION 1091 - WASH SALES

SECTION 1092 - STRADDLES

SECTION 1259 - CONSTRUCTIVE SALES

ANY ADJUSTMENTS TO THE RESULT OF MUTUAL FUND (A CLOSED-END FUND) TRANSACTIONS REQUIRED BY SECTION 852 (b) (4) ARE NOT REFLECTED ABOVE.

CLOSING TRANSACTIONS DUE TO TAXABLE MERGER INVOLVING CASH AND STOCK MAY BE REFLECTED DIFFERENTLY ON THE ABOVE CAPITAL GAINS/LOSSES STATEMENT AND ON THE FORM 1099 YOU WILL OR HAVE RECEIVED AT THE END OF THE YEAR. PLEASE CONSULT YOUR TAX ADVISOR.

PLEASE NOTE THAT THE COST BASIS USED TO DETERMINE GAINS OR LOSSES AS LISTED IN THIS REPORT FOR MASTER LIMITED PARTNERSHIPS (MLP) LIQUIDATING TRUSTS, ORIGINAL ISSUE DISCOUNT (OID) AND CERTAIN MUNICIPAL BONDS MAY HAVE BEEN ADJUSTED FOR REALLOCATED INCOME, GAINS, LOSSES OR RETURN OF CAPITAL.

This capital gain and loss summary is provided for information purposes only and does not conform to tax preparation standards. It is accordingly not a substitute for 1099 form and should not be filed with your taxes. It is provided for your personal record keeping purposes only.

For securities not purchased through Neuberger Berman but purchased elsewhere and later transferred in, you will need to supply relevant information to your tax advisor. It is your responsibility to ensure the accuracy of this information since Neuberger Berman has no knowledge of such assets cost basis.

Exchange rates used to derive foreign exchange gains and losses may be provided from various outside sources and may not reflect realizable rates. Neuberger Berman does not warrant the accuracy of these sources and is not responsible for inaccuracies. Rates may also be subject to change without notice.

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CAPITAL GAINS SCHEDULE

PAGE: 1

FROM Oct 01, 2008 TO Sep 30, 2009

FISCAL YEAR END - 03/30

TAX EXEMPT CODE - N
DENOMINATED IN - U S Dollars
REPORT RUN DATE - Jan 22, 2010

DESCRIPTION	UNITS	ORIG	FACE	PURCHASE		SALE		PRICE DATE	FX RATE	MNY CURR	PRICE ADJUSTED BASIS	PROCEEDS	SECURITY G/L	SECURITY INC	CURRENCY G/L	DISCOUNT INC
				DATE	DATE	DATE	DATE									
***** C A P I T A L G A I N S *****																
**ARTIO GLOBAL I	1,370	442	10/04/07	50	41	04/27/09	22.15	69.084	00		30,355.29	38,728.71	LT			
INTL EQUITY FD CL I	17,084	704	06/25/07	48	64	04/27/09	22.15	831.000	00		378,426.20	-452,573.80	LT			
SAME AS ABOVE	373,955	02/26/07	45	46	04/27/09	22.15	17,000	00			8,283.10	-8,716.90	LT			
SAME AS ABOVE	694	766	12/31/07	44	44	04/27/09	22.15	30,875.42			15,389.07	-15,486.35	LT			
SAME AS ABOVE	792	160	12/31/07	44	44	04/27/09	22.15	35,203.59			17,546.34	-17,657.25	LT			
	20,316							983,163.01			450,000.00	-533,163.01	LT			

SECTION TOTAL	20,316							983,163.01			450,000.00	-533,163.01	LT			

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PAGE: 2

FROM OCT 01, 2008 TO SEP 30, 2009

DESCRIPTION	UNITS	DATE	PRICE	ADJUSTED BASIS	PROCEEDS	SECURITY IND	CURRENCY G/L
	ORIG FACE		FX RATE	FX RATE		GAIN/LOSS	DISCOUNT INC
			MNY CURR	ORIGINAL COST			
-----PURCHASE-----							
-----SALE-----							

==	END LEGEND	==	== ACCOUNT TOTALS ==	COST	PROCEEDS	GAIN/LOSS
WC	- WRITTEN OPTION		SHORT TERM	0 00	0 00	0 00
SS	- SHORT SALE					
ST	- SHORT TERM					
MT	- MEDIUM TERM					
LT	- LONG TERM		LONG TERM	983,163 01	450,000 00	-533,163.01
p	- PURCHASE INCLUDES		ZERO GAIN/LOSS ITEMS	0 00	0.00	
s	- SALE INCLUDES		TOTALS	983,163 01	450,000.00	-533,163 01
b	- PURCHASE & SALE		TOTAL CURRENCY GAINS			0.00
	INCLUDES OPTION PREMIUM		TOTAL SHORT SALE P&L			0.00
			TOTAL DISCOUNT INCOME			0.00

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CAPITAL GAINS SCHEDULE

PAGE: 3

FROM Oct 01, 2008 TO Sep 30, 2009

DESCRIPTION	UNITS	FACE	PURCHASE		SALE		PRICE DATE	PRICE ADJUSTED BASIS	PROCEEDS	SECURITY IND	CURRENCY G/L
			DATE	RATE	DATE	RATE					
			FX	RATE	FX	RATE				GAIN/LOSS	DISCOUNT INC

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Form **8868**

(Rev. April 2009)

Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete **only Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete **only Part II** (on page 2 of this form).
Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns*

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Type or print File by the due date for filing your return. See instructions.	Name of Exempt Organization IRMA L & ABRAM S CROLL CHARITABLE		Employer identification number
	TRUST C/O LEHMAN BROTHERS TRUST COMP		13-7312974
	Number, street, and room or suite no. If a P.O. box, see instructions		
	P.O. BOX 396		
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.		
	WILMINGTON, DE 19899		

Check type of return to be filed (file a separate application for each return)

☐ Form 990	☐ Form 990-T (corporation)	☐ Form 4720
☐ Form 990-BL	☐ Form 990-T (sec. 401(a) or 408(a) trust)	☐ Form 5227
☐ Form 990-EZ	☐ Form 990-T (trust other than above)	☐ Form 6069
☒ Form 990-PF	☐ Form 1041-A	☐ Form 8870

- The books are in the care of ► **LEHMAN BROTHERS TRUST COMPANY**

Telephone No ► **(212) 526-7361**

FAX No ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **05/17, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ☐ calendar year _____ or
 ► ☒ tax year beginning **10/01, 2008**, and ending **09/30, 2009**.

- 2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$ 1,750.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$ 17,488.
c Balance Due Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$

Caution If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)