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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2008

For calendar year 2008, or tax year beginning 09/01, 2008, and ending 08/31, 2009

G Check all that apply ☐ Initial return ☐ Final return ☐ Amended return ☐ Address change ☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation

LEON J. SIMKINS CHARITABLE FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

C/O BERKOWITZ DICK POLLACK & BRANT

200 SOUTH BISCAYNE BLVD., SIXTH FLOOR

City or town, state, and ZIP code

MIAMI, FL 33131

A Employer identification number

59-2757208

B Telephone number (see page 10 of the instructions)

(305) 899-8184

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end

of year (from Part II, col. (c), line

16) \$ 10,762,543.

J Accounting method ☒ Cash ☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received (attach schedule)

2 Check ☐ if the foundation is not required to attach Sch B.

3 Interest on savings and temporary cash investments

154,734.

154,734.

STMT 1

4 Dividends and interest from securities

326,160.

326,160.

STMT 2

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

3,326,013.

b Gross sales price for all assets on line 6a

14,326,089.

7 Capital gain net income (from Part IV, line 2)

3,326,013.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

3,806,907.

3,806,907.

13 Compensation of officers, directors, trustees, etc.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach schedule)

c Other professional fees (attach schedule)

17 Interest

18 Taxes (attach schedule) (see page 14 of the instructions)*

2,163.

2,163.

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule) STMT 4

31,950.

31,950.

24 Total operating and administrative expenses.

Add lines 13 through 23

34,113.

34,113.

25 Contributions, gifts, grants paid

589,050.

589,050.

26 Total expenses and disbursements Add lines 24 and 25

623,163.

34,113.

589,050.

27 Subtract line 26 from line 12

a Excess of revenue over expenses and disbursements

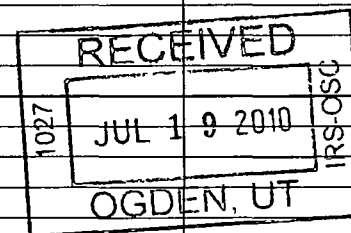
3,183,744.

b Net investment income (if negative, enter -0-)

3,772,794.

c Adjusted net income (if negative, enter -0-)

-0-



For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions. **STMT 3

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JSA
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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	5,296.	21,863.	21,863.
	2 Savings and temporary cash investments	192,000.	NONE	NONE
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) . STMT. 5 .	376,643.	NONE	NONE
	c Investments - corporate bonds (attach schedule),			
	11 Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule) STMT. 6 .	6,272,376.	10,008,196.	10,740,680.
	14 Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	6,846,315.	10,030,059.	10,762,543.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable	160,080.	160,080.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	160,080.	160,080.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds . .	6,686,235.	9,869,979.	
	30 Total net assets or fund balances (see page 17 of the instructions)	6,686,235.	9,869,979.	
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	6,846,315.	10,030,059.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,686,235.
2 Enter amount from Part I, line 27a	2	3,183,744.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	9,869,979.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	9,869,979.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	3,326,014.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8,				3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2007	533,712.	11,861,749.	0.044994
2006	61,038.	12,253,904.	0.004981
2005	80,600.	11,216,665.	0.007186
2004	44,550.	9,501,619.	0.004689
2003	22,525.	9,319,745.	0.002417
2 Total of line 1, column (d)			2 0.064267
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.012853
4 Enter the net value of noncharitable-use assets for 2008 from Part X, line 5			4 11,329,218.
5 Multiply line 4 by line 3			5 145,614.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 37,728.
7 Add lines 5 and 6			7 183,342.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.			8 589,050.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
	Date of ruling letter _____ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	37,728.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	37,728.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	NONE
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	37,728.
6	Credits/Payments		
a	2008 estimated tax payments and 2007 overpayment credited to 2008	6a	2,499.
b	Exempt foreign organizations-tax withheld at source	6b	NONE
c	Tax paid with application for extension of time to file (Form 8868)	6c	NONE
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	2,499.
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	99.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed. SEE STATEMENT 7	9	36,601.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2009 estimated tax	11	NONE Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) FL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2008 or the taxable year beginning in 2008 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(3)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ <u>N/A</u>				
14	The books are in care of ▶ <u>BERKOWITZ DICK POLLACK & BRANT</u> Telephone no ▶ <u>305-379-7000</u>			
	Located at ▶ <u>200 S. BISCAYNE BLVD., 6TH FL., MIAMI, FL</u> ZIP + 4 ▶ <u>33131</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here N/A			
	and enter the amount of tax-exempt interest received or accrued during the year	15		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b	N/A
Organizations relying on a current notice regarding disaster assistance check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2008?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2008, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2008? ☐ Yes ☒ No		
If "Yes," list the years ▶ _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2008 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2008)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2008?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** ☐ Yes ☒ No **N/A**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☐ Yes ☒ No **X**

If you answered "Yes" to 6b, also file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** ☐ Yes ☒ No **N/A**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		NONE	NONE	NONE

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **NONE**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See page 24 of the instructions.	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	11,027,994.
b	Average of monthly cash balances	1b	473,750.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	11,501,744.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	11,501,744.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	172,526.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,329,218.
6	Minimum investment return. Enter 5% of line 5	6	566,461.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	566,461.
2a	Tax on investment income for 2008 from Part VI, line 5	2a	37,728.
b	Income tax for 2008. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	37,728.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	528,733.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	528,733.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	528,733.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	589,050.
b	Program-related investments - total from Part IX-B	1b	NONE
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	589,050.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	37,728.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	551,322.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2007	(c) 2007	(d) 2008
1 Distributable amount for 2008 from Part XI, line 7				528,733.
2 Undistributed income, if any, as of the end of 2007				
a Enter amount for 2007 only			587,882.	
b Total for prior years 20, 20, 20		NONE		
3 Excess distributions carryover, if any, to 2008				
a From 2003	NONE			
b From 2004	NONE			
c From 2005	NONE			
d From 2006	NONE			
e From 2007	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2008 from Part XII, line 4 ▶ \$ 589,050.				
a Applied to 2007, but not more than line 2a			587,882.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2008 distributable amount				1,168.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2008 (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions		NONE		
e Undistributed income for 2007 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2008 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2009				527,565.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2003 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2009. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9				
a Excess from 2004				
b Excess from 2005	NONE			
c Excess from 2006	NONE			
d Excess from 2007	NONE			
e Excess from 2008	NONE			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SEE ATTACHED				589,050.
Total ▶ 3a				589,050.
b <i>Approved for future payment</i>				
Total ▶ 3b				

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | |
|----------|--|--------------|------------|-----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b If "Yes," complete the following schedule**

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee

7-14-10
Date

Title Cluster

**Paid
Preparer's
Use Only**

Preparer's signature  Day Best CPA

Date 7/1/0

Check if self-employed ☐

Preparer's identifying number
(See Signature on page 30 of the
instructions)
P00042372

Firm's name (or yours if self-employed), address, and ZIP code

BERKOWITZ DICK POLLACK & BRANT, LLP
200 S. BISCAYNE BLVD., SIXTH FLOOR
MIAMI, FL 33131

EIN ▶ 59-1851805

Phone no 305 379-7000

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868
- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing the return. See instructions.	Name of Exempt Organization	Employer identification number
	LEON J. SIMKINS CHARITABLE FOUNDATION	59-2757208
	Number, street, and room or suite no. If a P.O. box, see instructions.	For IRS use only
	C/O BERKOWITZ DICK POLLACK & BRANT	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	MIAMI, FL 33131	

Check type of return to be filed (File a separate application for each return):

☐ Form 990	☒ Form 990-PF	☐ Form 1041-A	☐ Form 6069
☐ Form 990-BL	☐ Form 990-T (sec. 401(a) or 408(a) trust)	☐ Form 4720	☐ Form 8870
☐ Form 990-EZ	☐ Form 990-T (trust other than above)	☐ Form 5227	

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ☒ **BERKOWITZ DICK POLLACK & BRANT**
Telephone No. ☒ 305 379-7000 FAX No. ☐
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- I request an additional 3-month extension of time until 07/15/2010
- For calendar year , or other tax year beginning 09/01/2008 and ending 08/31/2009
- If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period
- State in detail why you need the extension THE TAXPAYER IS AWAITING ADDITIONAL THIRD PARTY INFORMATION IN ORDER TO FILE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a \$	NONE
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b \$	NONE
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c \$	NONE

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature Adam Cohen Title CPA Date 3-30-2010
 BERKOWITZ DICK POLLACK & BRANT, LLP
 200 S. BISCAYNE BLVD., SIXTH FLOOR
 MIAMI, FL 33131

Form 8868 (Rev 4-2009)

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
9,027,006.		SEE ATTACHMENT A PROPERTY TYPE: SECURITIES 8,505,187.				P	VARIOUS 521,819.	VARIOUS
5,299,083.		SEE ATTACHMENT A PROPERTY TYPE: SECURITIES 2,494,888.				P	VARIOUS 2,804,195.	VARIOUS
TOTAL GAIN(LOSS)							----- 3,326,014. =====	

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
MERRILL LYNCH	86,398.	86,398.
UBS FINANCIAL SERVICES	55,642.	55,642.
PLUS ACCRUED INTEREST RECEIVED	12,694.	12,694.
-----	-----	-----
TOTAL	154,734.	154,734.
	=====	=====

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
UBS FINANCIAL	904.	904.
MERRILL LYNCH	311,115.	311,115.
AMERITRADE	640.	640.
VANGUARD	13,039.	13,039.
SALOMON SMITH BARNEY	462.	462.
-----	-----	-----
TOTAL	326,160.	326,160.
	=====	=====

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAX PAID	2,163.	2,163.
	-----	-----
TOTALS	2,163.	2,163.
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
INVESTMENT MANAGEMENT FEES	31,950.	31,950.
TOTALS	31,950.	31,950.
	=====	=====

LEON J. SIMKINS CHARITABLE FOUNDATION
FORM 990PF, PART II - CORPORATE STOCK
=====

59-2757208

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV -----
10000 IBM	NONE	NONE
4000 LENNAR	NONE	NONE
31400 ALTRIA GROUP	NONE	NONE
REGIONS FNL CORP	NONE	NONE
	-----	-----
TOTALS	NONE	NONE
	=====	=====

FORM 990PF, PART II - OTHER INVESTMENTS

=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ----
VANGUARD ADMIRAL	608,130.	461,743.
SALOMON SMITH BARNEY	NONE	NONE
PAINE WEBBER	NONE	NONE
MERRILL LYNCH	NONE	NONE
INTERBROKER TRANSFER	5,473.	5,473.
MERRILL LYNCH - 04046	199,168.	199,168.
MERRILL LYNCH - 04047	343,433.	403,414.
MERRILL LYNCH - 04048	360,504.	479,888.
MERRILL LYNCH - 04049	134,181.	154,216.
MERRILL LYNCH - 04050	310,940.	392,360.
MERRILL LYNCH - 04051	318,030.	382,676.
MERRILL LYNCH - 04052	1,968,234.	1,981,626.
MERRILL LYNCH - 04053	3,211,834.	3,420,640.
MERRILL LYNCH - 04054	549,999.	517,778.
MERRILL LYNCH - 04055	750,021.	794,258.
S. A. C. CAPITAL	1,500,000.	1,500,000.
AMERITRADE	NONE	47,440.
UNREALIZED LOSS ON INVESTMENT	-251,751.	NONE
	-----	-----
TOTALS	10,008,196.	10,740,680.
	=====	=====

FORM 990PF - COMPUTATION OF PENALTIES AND INTEREST

=====

END OF FISCAL/CALENDAR YEAR	08/31/2009
DATE RETURN IS DUE IF ON EXTENSION	07/15/2010
DATE RETURN WILL BE RECEIVED BY THE IRS	07/20/2010
NUMBER OF DAYS RETURN IS LATE	5
NUMBER OF MONTHS RETURN IS LATE	7
LATE FILING PENALTY	
LATE PAYMENT PENALTY	1,233.
INTEREST	40.
TOTAL PENALTIES AND INTEREST	1,273.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES
=====TITLE AND AVERAGE HOURS PER
WEEK DEVOTED TO POSITION
-----NAME AND ADDRESS
-----LEON J. SIMKINS
CO-TRUSTEE

1.

C/O BERKOWITZ DICK POLLACK & BRANT
200 SOUTH BISCAYNE BLVD., SIXTH FLO
MIAMI, FL 33131ALBERT SIMKINS
CO-TRUSTEE

1.

C/O BERKOWITZ DICK POLLACK & BRANT
200 SOUTH BISCAYNE BLVD., SIXTH FLO
MIAMI, FL 33131MICHELLE SIMKINS RUBELL
CO-TRUSTEE

1.

C/O BERKOWITZ DICK POLLACK & BRANT
200 SOUTH BISCAYNE BLVD., SIXTH FLO
MIAMI, FL 33131DAVID SIMKINS
CO-TRUSTEE

1.

C/O BERKOWITZ DICK POLLACK & BRANT
200 SOUTH BISCAYNE BLVD., SIXTH FLO
MIAMI, FL 33131MICHAEL SIMKINS
CO-TRUSTEE

1.

C/O BERKOWITZ DICK POLLACK & BRANT
200 SOUTH BISCAYNE BLVD., SIXTH FLO
MIAMI, FL 33131

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION
------------------	---

RONALD SIMKINS C/O BERKOWITZ DICK POLLACK & BRANT 200 SOUTH BISCAYNE BLVD., SIXTH FLO MIAMI, FL 33131	
--	--

CO-TRUSTEE	1.
------------	----

GRAND TOTALS

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► **Attach to Form 1041, Form 5227, or Form 990-T. See the separate instructions for Form 1041 (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

2008

Name of estate or trust

LEON J. SIMKINS CHARITABLE FOUNDATION

Employer identification number

59-2757208

Note: Form 5227 filers need to complete *only* Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	521,819.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2007 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back	5	521,819.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	2,804,195.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2007 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back	12	2,804,195.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2008

Part III Summary of Parts I and II		(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part.				
13	Net short-term gain or (loss)	13		521,819.
14	Net long-term gain or (loss):			
a	Total for year	14a		2,804,195.
b	Unrecaptured section 1250 gain (see line 18 of the wrksh)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a ▶	15		3,326,014.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation	
16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: a The loss on line 15, column (3) or b \$3,000
16	()

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates	
Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero. Caution: Skip this part and complete the worksheet on page 8 of the instructions if • Either line 14b, col (2) or line 14c, col (2) is more than zero, or • Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero	
Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col (2) is more than zero.	

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18		
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19		
20	Add lines 18 and 19	20		
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	21		
22	Subtract line 21 from line 20. If zero or less, enter -0-	22		
23	Subtract line 22 from line 17. If zero or less, enter -0-	23		
24	Enter the smaller of the amount on line 17 or \$2,200	24		
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26, go to line 27 and check the "No" box ☐ No. Enter the amount from line 23.	25		
26	Subtract line 25 from line 24.	26		
27	Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27		
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29	Subtract line 28 from line 27	29		
30	Multiply line 29 by 15% (15).	30		
31	Figure the tax on the amount on line 23. Use the 2008 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions)	31		
32	Add lines 30 and 31	32		
33	Figure the tax on the amount on line 17. Use the 2008 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions)	33		
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on line 1a of Schedule G, Form 1041 (or line 36 of Form 990-T)	34		

Schedule D (Form 1041) 2008

Department of the Treasury
Internal Revenue Service

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2008

Employer identification number

59-2757208

[illegible]

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b	521,819.
--	----------

For Paperwork Reduction Act Notice, see the Instructions for Form 1041

Schedule D-1 (Form 1041) 2008

JSA
8F1221 2 000

04M2WD 3307 07/13/2010 12:02:44 V08-8.3 4828

LEON J SIMKINS CHARITABLE FOUNDATION
EIN 59-2757208
FORM 990-PF PART IV
6/31/2009

BROKER ACCOUNT	DESCRIPTION	DATE SOLD	DATE ACQUIRED	PROCEEDS	BASIS	GAIN/LOSS	SHORT-TERM PROCEEDS	LONG-TERM PROCEEDS	SHORT-TERM BASIS	LONG-TERM BASIS	LONG-TERM SHORT-TERM
[SMITH BARNEY #06827]	BLACKROCK STRATEGIC MUN TR PFD	10/27/2008	2/6/2008	50,000.00	50,000.00	-	50,000.00		50,000.00		
[UBS FINANCIAL 68413]	KENTUCKY HIGHER STUD	1/2/2009	1/7/2008	650,000.00	650,000.00	-	650,000.00		650,000.00		
	KENTUCKY HIGHER STUD	1/2/2009	1/2/2008	2,750,000.00	2,750,000.00	-	2,750,000.00		2,750,000.00		
	COLLEGE UN CORP	1/2/2009	1/1/2007	1,525,000.00	1,525,000.00	-		1,525,000.00		1,525,000.00	
	PAST THE ASST AGY REV	1/2/2009	10/23/2007	200,000.00	200,000.00	-		200,000.00		200,000.00	
				5,125,000.00	5,125,000.00						
[MERRILL LYNCH #7PD-06048]	CO JPMORGAN CHSE BK	8/21/2008	8/11/2008	96,000.00	96,000.00	-		96,000.00		96,000.00	
	CO ZIONS FIRST NB	8/20/2008	8/11/2008	96,000.00	96,000.00	-		96,000.00		96,000.00	
				192,000.00	192,000.00						
[MERRILL LYNCH #7PD-06053]	LD ABB DEV LCL MKT CL A	5/20/2009	VAR	223,341.18	202,064.25	21,276.93	223,341.18		202,064.25		21,276.93
	LD ABB DEV LCL MKT CL A	7/27/2009	6/4/2009	284.88	273.18	11.00	284.88		273.18		11.00
	PRIN INV MORT SECS A	8/4/2009	VAR	465,237.12	461,790.22	3,446.90	465,237.12		461,790.22		3,446.90
	SUNAMER GNMA FLO CL A	8/4/2009	VAR	531,326.14	534,518.56	(3,192.42)	531,326.14		534,518.56		(3,192.42)
				1,220,189.42	1,180,646.21						39,543.21
[MERRILL LYNCH #7PD-06052]	FEDERAL NATL MTG ASSOC	12/5/2008	12/2/2008	102,270.00	102,265.22	4.78	102,270.00		102,265.22		4.78
	PHILIP MORRIS INTL INC	11/26/2008	4/5/2007	570,445.56	21,555.00	548,890.56		570,445.56		21,555.00	548,890.56
	PHILIP MORRIS INTL INC	11/26/2008	VAR	1,284,576.22	196,000.00	1,088,576.22		1,284,576.22		196,000.00	1,088,576.22
	RALCORP HLDGS INC	11/26/2008	8/1/2008	8,109.78	114.00	8,055.78	8,109.78		114.00		8,055.78
	US TRSY INFLATION NOTE	3/25/2009	12/2/2008	127,284.06	118,732.27	8,551.81	127,284.06		118,732.27		8,551.81
	US TRSY INFLATION NOTE	3/25/2009	12/2/2008	204,761.37	185,864.22	18,897.15	204,761.37		185,864.22		18,897.15
	FNMA P745275	5/21/2008	12/2/2008	15,888.40	10,023.96	5,864.44	15,888.40		10,023.96		5,864.44
	FNMA P88579	5/21/2008	12/2/2008	70,511.13	66,863.68	3,647.45	70,511.13		66,863.68		3,647.45
	FNMA P180391	5/21/2008	12/2/2008	74,807.53	74,852.21	(44.68)	74,807.53		74,852.21		(44.68)
	FNMA P88582	5/21/2008	12/2/2008	68,924.50	68,924.50		68,924.50		68,924.50		
	US TRSY INFLATION NOTE	5/21/2009	4/15/2009	20,509.38	20,532.96	(23.58)	20,509.38		20,532.96		(23.58)
	US TRSY INFLATION NOTE	5/21/2009	12/2/2008	62,836.37	57,032.40	5,803.97	62,836.37		57,032.40		5,803.97
	PIMCO FXD INC SHS SER M	5/21/2009	3/25/2009	59,289.81	59,117.86	171.95	59,289.81		59,117.86		171.95
	FNMA P88579	5/21/2009	12/2/2008	231,153.75	229,631.75	1,522.00	231,153.75		229,631.75		1,522.00
	PIMCO FXD INC SHS SER M	5/21/2009	12/2/2008	204,032.80	177,174.50	26,858.30	204,032.80		177,174.50		26,858.30
	FNMA P88579	6/22/2008	12/2/2008	591,376.00	579,719.26	11,656.74	591,376.00		579,719.26		11,656.74
	FNMA P88579	6/22/2008	1/14/2009	68,565.34	68,326.40	238.94	68,565.34		68,326.40		238.94
	US TRSY INFLATION NOTE	6/22/2008	12/2/2008	56,509.03	51,467.94	5,041.09	56,509.03		51,467.94		5,041.09
	US TRSY INFLATION NOTE	6/22/2009	1/13/2009	36,416.94	34,789.40	1,627.54	36,416.94		34,789.40		1,627.54
	US TRSY INFLATION NOTE	6/22/2009	3/25/2009	95,274.09	94,267.63	1,006.46	95,274.09		94,267.63		1,006.46
	PIMCO FXD INC SHS SER C	6/22/2009	12/2/2008	136,592.40	136,028.90	563.50	136,592.40		136,028.90		563.50
	PIMCO FXD INC SHS SER C	6/22/2009	1/13/2009	148,714.90	148,714.90		148,714.90		148,714.90		
	PIMCO FXD INC SHS SER M	6/22/2009	12/2/2008	216,913.85	191,108.25	25,805.60	216,913.85		191,108.25		25,805.60
	FNMA P88579	6/22/2009	1/13/2009	57,682.25	57,433.25	249.00	57,682.25		57,433.25		249.00
	US TRSY INFLATION NOTE	6/22/2009	1/14/2009	206,911.40	206,627.25	284.15	206,911.40		206,627.25		284.15
	US TRSY INFLATION NOTE	8/4/2009	3/25/2009	47,727.53	45,776.02	1,951.51	47,727.53		45,776.02		1,951.51
	PIMCO FXD INC SHS SER C	8/4/2009	3/25/2009	46,619.07	46,201.10	417.97	46,619.07		46,201.10		417.97
	PIMCO FXD INC SHS SER M	8/4/2009	1/13/2009	185,614.40	156,062.80	29,551.60	185,614.40		156,062.80		29,551.60
				153,633.50	150,057.64	3,575.86	153,633.50		150,057.64		3,575.86
				5,146,890.86	3,383,528.20						1,763,362.66
[MERRILL LYNCH #7PD-06061]	ALTRIA GROUP INC	11/24/2008	5/1/1997	485,742.71	108,254.00	377,488.71	485,742.71		108,254.00		377,488.71
	ALLIANT BE BRO ADR	11/25/2008	1/14/2008	822.07	808.43	13.64	822.07		808.43		13.64
	ALLIANT BE BRO ADR	12/19/2008	1/14/2008	1,060.65	721.62	339.03	1,060.65		721.62		339.03
	ADIDAS AG SPONSORED ADR	11/25/2008	1/14/2008	176.54	169.39	7.15	176.54		169.39		7.15
	BANCO SANTANDER SA ADR	11/25/2008	1/14/2008	400.39	397.86	2.53	400.39		397.86		2.53
	BRITISH AMN TOBACO SPADR	11/25/2008	1/14/2008	594.20	591.04	3.16	594.20		591.04		3.16
	BP PLC BRON ADR	11/25/2008	1/14/2008	340.12	328.57	11.55	340.12		328.57		11.55
	BAE SYS PLC SPN ADR	11/25/2008	1/14/2008	675.83	668.69	7.14	675.83		668.69		7.14
	CANON INC	11/25/2008	1/14/2008	368.51	364.07	4.44	368.51		364.07		4.44
	DIAGEO PLC BRD ADR NEW	11/25/2008	1/14/2008	260.99	277.09	(16.10)	260.99		277.09		(16.10)
	ENI SPA SPONSORED ADR	11/25/2008	1/14/2008	574.67	564.77	9.90	574.67		564.77		9.90
	ENI SPA SPONSORED ADR	12/19/2008	1/14/2008	1,430.21	1,317.61	1,112.60	1,430.21		1,317.61		1,112.60
	E ON AG	11/25/2008	1/14/2008	817.41	786.83	30.58	817.41		786.83		30.58
	ERICSSON LM TEL CL B	11/25/2008	1/14/2008	505.15	483.88	21.27	505.15		483.88		21.27
	ERICSSON LM TEL CL B	12/1/2008	1/14/2008	678.88	590.06	89.82	678.88		590.06		89.82
	GROUPE DANONE SPON	11/25/2008	1/14/2008	175.49	172.20	3.29	175.49		172.20		3.29
	GLAXOSMITHKLINE PLC	11/25/2008	1/14/2008	172.34	173.54	(1.20)	172.34		173.54		(1.20)
	GDF SUEZ	11/25/2008	1/14/2008	545.99	565.49	(19.50)	545.99		565.49		(19.50)
	IMPERIAL TOB GP'S	11/25/2008	1/14/2008	233.24	231.25	1.99	233.24		231.25		1.99
	NOVARTIS	11/25/2008	1/14/2008	182.23	186.54	(4.31)	182.23		186.54		(4.31)
	NESTLE S A REP RG SH	11/25/2008	1/14/2008	176.24	177.75	(1.51)	176.24		177.75		(1.51)
	NOKIA CORP	11/25/2008	1/14/2008	271.88	263.14	8.74	271.88		263.14		8.74

BROKER ACCOUNT	DESCRIPTION	DATE SOLD	DATE ACQUIRED	PROCEEDS	BASIS	GAIN/LOSS	SHORT-TERM PROCEEDS	LONG-TERM PROCEEDS	SHORT-TERM BASIS	LONG-TERM BASIS	SHORT-TERM	LONG-TERM
	PRUDENTIAL PLC	11/25/2008	11/24/2008	1,556.09	1,652.50	(96.47)	1,556.09		1,552.50			
	ROYAL DUTCH SHELL PLC	11/25/2008	11/24/2008	631.07	612.48	18.59	631.07		631.07			
	ROYAL DUTCH SHELL PLC	12/22/2008	11/24/2008	1,432.14	1,327.03	105.11	1,432.14		1,327.03			
	ROCHE HLGS LTD	11/25/2008	11/24/2008	472.88	475.20	(2.32)	472.88		475.20			
	SANOFI AVENTIS	11/25/2008	11/24/2008	371.00	371.00	0.00	371.00		371.00			
	SINGAPORE TELECOM LT	11/25/2008	11/24/2008	162.49	169.00	(6.51)	162.49		169.00			
	TOTAL S A	11/25/2008	11/24/2008	404.90	483.65	(78.75)	404.90		483.65			
	TESCO PLC	11/25/2008	11/24/2008	251.99	246.41	5.58	251.99		246.41			
	TELUK CORP	11/25/2008	11/24/2008	319.19	428.80	(109.61)	319.19		428.80			
	UNILEVER PLC NEW	11/25/2008	11/24/2008	686.61	316.50	370.11	686.61		316.50			
	VODAFONE GROUP	11/25/2008	11/24/2008	381.14	364.50	16.64	381.14		364.50			
	ZURICH FIN SVCS	11/25/2008	11/24/2008	2,616.02	2,273.74	342.28	2,616.02		2,273.74			
	ALLIANZ SE SPD ADR	11/25/2008	11/24/2008	3,477.01	3,630.23	(153.22)	3,477.01		3,630.23			
	BANCO SANTANDER SA ADR	11/25/2008	11/24/2008	2,933.51	3,658.49	(724.98)	2,933.51		3,658.49			
	BANCO SANTANDER SA ADR	11/25/2008	11/24/2008	1,338.73	1,600.00	(261.27)	1,338.73		1,600.00			
	H8SC HLDG	11/25/2008	11/24/2008	1,831.58	1,813.50	18.08	1,831.58		1,813.50			
	H8SC HLDG	11/25/2008	11/24/2008	680.07	616.71	63.36	680.07		616.71			
	VODAFONE GROUP	11/25/2008	11/24/2008	1,619.21	1,773.44	(154.23)	1,619.21		1,773.44			
	DIAGEO PLC SPSD ADR NEW	11/25/2008	11/24/2008	1,291.20	1,421.80	(130.60)	1,291.20		1,421.80			
	NESTLE S A REP RG SH	11/25/2008	11/24/2008	1,475.24	1,639.71	(164.47)	1,475.24		1,639.71			
	DIAGEO PLC SPSD ADR NEW	11/25/2008	11/24/2008	580.10	786.57	(206.47)	580.10		786.57			
	DIAGEO PLC SPSD ADR NEW	11/25/2008	11/24/2008	2,840.19	3,668.63	(828.44)	2,840.19		3,668.63			
	ENI SPA SPONSORED ADR	11/25/2008	11/24/2008	1,840.87	2,728.75	(887.88)	1,840.87		2,728.75			
	GRUPE DANONE SPON	11/25/2008	11/24/2008	1,162.36	1,462.40	(300.04)	1,162.36		1,462.40			
	NOVARTIS	11/25/2008	11/24/2008	1,373.59	1,728.95	(355.36)	1,373.59		1,728.95			
	PRUDENTIAL PLC	11/25/2008	11/24/2008	1,373.59	1,912.13	(538.54)	1,373.59		1,912.13			
	ROYAL DUTCH SHELL PLC	11/25/2008	11/24/2008	1,373.59	1,700.80	(327.21)	1,373.59		1,700.80			
	ROYAL DUTCH SHELL PLC	11/25/2008	11/24/2008	3,483.38	3,930.09	(446.71)	3,483.38		3,930.09			
	BNP PARIBAS	11/25/2008	11/24/2008	723.82	839.68	(115.86)	723.82		839.68			
	ERICSSON LM TEL CL B	11/25/2008	11/24/2008	1,833.17	1,703.85	129.32	1,833.17		1,703.85			
	NOVARTIS	11/25/2008	11/24/2008	3,594.14	2,651.86	942.28	3,594.14		2,651.86			
	VODAFONE GROUP	11/25/2008	11/24/2008	2,539.34	3,311.26	(771.92)	2,539.34		3,311.26			
	ADIDAS AG SPONSORED ADR	11/25/2008	11/24/2008	2,432.05	3,211.39	(779.34)	2,432.05		3,211.39			
	ADIDAS AG SPONSORED ADR	11/25/2008	11/24/2008	2,759.34	2,877.86	(118.52)	2,759.34		2,877.86			
	BARCLAYS PLC	11/25/2008	11/24/2008	1,580.77	1,483.81	96.96	1,580.77		1,483.81			
	ERICSSON LM TEL CL B	11/25/2008	11/24/2008	1,450.41	1,587.55	(137.14)	1,450.41		1,587.55			
	ERICSSON LM TEL CL B	11/25/2008	11/24/2008	868.28	537.87	330.41	868.28		537.87			
	ERICSSON LM TEL CL B	11/25/2008	11/24/2008	47.70	33.15	14.55	47.70		33.15			
	PRUDENTIAL PLC	11/25/2008	11/24/2008	1,498.03	1,142.15	355.88	1,498.03		1,142.15			
	ROYAL DUTCH SHELL PLC	11/25/2008	11/24/2008	2,271.86	1,565.58	706.28	2,271.86		1,565.58			
	TELUS CORP	11/25/2008	11/24/2008	2,600.77	3,366.24	(765.47)	2,600.77		3,366.24			
	TELUS CORP	11/25/2008	11/24/2008	3,265.84	3,668.25	(402.41)	3,265.84		3,668.25			
	BAE SYS PLC SPN ADR	11/25/2008	11/24/2008	1,173.65	1,267.48	(93.83)	1,173.65		1,267.48			
	ROCHE HLGS LTD	11/25/2008	11/24/2008	2,834.83	2,557.80	277.03	2,834.83		2,557.80			
	TOTAL S A	11/25/2008	11/24/2008	2,761.51	2,851.24	(89.73)	2,761.51		2,851.24			
	BAE SYS PLC SPN ADR	11/25/2008	11/24/2008	1,877.30	1,665.84	211.46	1,877.30		1,665.84			
	E ON AG	11/25/2008	11/24/2008	2,878.27	2,842.00	36.27	2,878.27		2,842.00			
	GDF SUEZ	11/25/2008	11/24/2008	4,992.05	5,234.13	(242.08)	4,992.05		5,234.13			
	IMPERIAL TOB GFS	11/25/2008	11/24/2008	261.02	288.80	(27.78)	261.02		288.80			
	NOKIA CORP	11/25/2008	11/24/2008	2,716.57	3,436.50	(719.93)	2,716.57		3,436.50			
	NOKIA CORP	11/25/2008	11/24/2008	1,694.37	1,526.24	168.13	1,694.37		1,526.24			
	NOKIA CORP	11/25/2008	11/24/2008	925.88	955.65	(29.77)	925.88		955.65			
	NOKIA CORP	11/25/2008	11/24/2008	2,709.06	2,797.11	(88.05)	2,709.06		2,797.11			
	TELUS CORP	11/25/2008	11/24/2008	925.69	993.48	(67.79)	925.69		993.48			
	NESTLE S A REP RG SH	11/25/2008	11/24/2008	3,362.33	3,582.02	(219.69)	3,362.33		3,582.02			
	RECKITT BENCKISER	11/25/2008	11/24/2008	2,024.42	1,777.60	246.82	2,024.42		1,777.60			
		8/5/2009	2/19/2009	3,205.52	2,728.87	476.65	3,205.52		2,728.87			
				896,894.81	224,281.72							
	INTL BUSINESS MACHINES	11/25/2008	11/24/2008	807,325.47	252,076.00	555,249.47	807,325.47		252,076.00			
	AGNICO EAGLE MINES LTD	11/25/2008	11/24/2008	428.21	428.21	0.00	428.21		428.21			
	AGNICO EAGLE MINES LTD	11/25/2008	11/24/2008	1,281.22	1,281.22	0.00	1,281.22		1,281.22			
	ISHARES MSCI JAPAN	11/25/2008	11/24/2008	6,086.16	6,086.16	0.00	6,086.16		6,086.16			
	VESTAS WIND SYSTEMS	11/25/2008	11/24/2008	903.21	925.10	(21.89)	903.21		925.10			
	BANCO SANTANDER	11/25/2008	11/24/2008	2,093.99	2,171.44	(77.45)	2,093.99		2,171.44			
	BANCO SANTANDER	11/25/2008	11/24/2008	80.25	100.07	(19.82)	80.25		100.07			
	BANCO SANTANDER	11/25/2008	11/24/2008	1,918.90	2,269.89	(350.99)	1,918.90		2,269.89			
	RYANAIR HLDG	11/25/2008	11/24/2008	840.93	688.87	152.06	840.93		688.87			
	AGNICO EAGLE MINES LTD	11/25/2008	11/24/2008	1,300.16	760.57	539.59	1,300.16		760.57			
	AGNICO EAGLE MINES LTD	11/25/2008	11/24/2008	54.16	47.18	6.98	54.16		47.18			
	AGNICO EAGLE MINES LTD	11/25/2008	11/24/2008	1,191.81	1,098.38	91.43	1,191.81		1,098.38			
	AGNICO EAGLE MINES LTD	11/25/2008	11/24/2008	270.86	273.86	(2.00)	270.86		273.86			
				807,325.47	252,076.00	555,249.47	807,325.47		252,076.00			
				428.21	428.21	0.00	428.21		428.21			
				1,281.22	1,281.22	0.00	1,281.22		1,281.22			
				6,086.16	6,086.16	0.00	6,086.16		6,086.16			
				903.21	925.10	(21.89)	903.21		925.10			
				2,093.99	2,171.44	(77.45)	2,093.99		2,171.44			
				80.25	100.07	(19.82)	80.25		100.07			
				1,918.90	2,269.89	(350.99)	1,918.90		2,269.89			
				840.93	688.87	152.06	840.93		688.87			
				1,300.16	760.57	539.59	1,300.16		760.57			
				54.16	47.18	6.98	54.16		47.18			
				1,191.81	1,098.38	91.43	1,191.81		1,098.38			
				270.86	273.86	(2.00)	270.86		273.86			
				807,325.47	252,076.00	555,249.47	807,325.47		252,076.00			
				428.21	428.21	0.00	428.21		428.21			
				1,281.22	1,281.22	0.00	1,281.22		1,281.22			
				6,086.16	6,086.16	0.00	6,086.16		6,086.16			
				903.21	925.10	(21.89)	903.21		925.10			
				2,093.99	2,171.44	(77.45)	2,093.99		2,171.44			
				80.25	100.07	(19.82)	80.25		100.07			
				1,918.90	2,269.89	(350.99)	1,918.90		2,269.89			
				840.93	688.87	152.06	840.93		688.87			
				1,300.16	760.57	539.59	1,300.16		760.57			
				54.16	47.18	6.98	54.16		47.18			
				1,191.81	1,098.38	91.43	1,191.81		1,098.38			
				270.86	273.86	(2.00)	270.86		273.86			
				807,325.47	252,076.00	555,249.47	807,325.47		252,076.00			
				428.21	428.21	0.00	428.21		428.21			
				1,281.22	1,281.22	0.00	1,281.22		1,281.22			
				6,086.16	6,086.16	0.00	6,086.16		6,086.16			
				903.21	925.10	(21.89)	903.21		925.10			
				2,093.99	2,171.44	(77.45)	2,093.99		2,171.44			
				80.25	100.07	(19.82)	80.25		100.07			

BROKER ACCOUNT	DATE SOLD	DATE ACQUIRED	PROCEEDS	BASIS	GAIN/(LOSS)	SHORT-TERM PROCEEDS	LONG-TERM PROCEEDS	SHORT-TERM BASIS	LONG-TERM BASIS	LONG-TERM SHORT-TERM
			2,780.53	4,410.56	(1,629.03)	2,780.53		4,410.56		(1,629.03)
AXA	2/20/2009	1/12/2009	83.71	184.06	(90.35)					(90.35)
BHP BILLITON LTD	2/20/2009	1/12/2009	2,961.46	4,715.82	(2,154.36)	2,961.46		4,715.82		(2,154.36)
BHP BILLITON LTD	2/20/2009	1/12/2009	1,139.84	1,215.87	(75.03)					(75.03)
BHP BILLITON LTD	2/20/2009	1/12/2009	1,396.46	1,223.57	172.89	1,396.46		1,223.57		172.89
CREDITCORP LTD	2/20/2009	1/12/2009	2,505.18	2,271.21	233.97	2,505.18		2,271.21		233.97
CREDITCORP LTD	2/20/2009	1/12/2009	2,405.41	2,459.40	(53.99)	2,405.41		2,459.40		(53.99)
CREDITCORP LTD	2/20/2009	1/12/2009	80.18	88.51	(8.33)					(8.33)
CREDITCORP LTD	2/20/2009	1/12/2009	1,002.26	1,248.74	(246.48)	1,002.26		1,248.74		(246.48)
CREDITCORP LTD	2/20/2009	1/12/2009	1,204.33	1,468.51	(264.18)	1,204.33		1,468.51		(264.18)
COPA HOLDINGS	2/20/2009	1/12/2009	65.96	42.22	23.74	65.96		42.22		23.74
COPA HOLDINGS	2/20/2009	1/12/2009	1,487.72	316.68	1,171.04	1,487.72		316.68		1,171.04
COPA HOLDINGS	2/20/2009	1/12/2009	1,597.95	1,533.27	64.68	1,597.95		1,533.27		64.68
DESARROLLADORA	2/20/2009	1/12/2009	58.39	81.33	(22.94)					(22.94)
DESARROLLADORA	2/20/2009	1/12/2009	226.39	230.00	(3.61)	226.39		230.00		(3.61)
DESARROLLADORA	2/20/2009	1/12/2009	80.37	90.07	(9.70)	80.37		90.07		(9.70)
DESARROLLADORA	2/20/2009	1/12/2009	1,645.11	2,290.66	(645.55)	1,645.11		2,290.66		(645.55)
DESARROLLADORA	2/20/2009	1/12/2009	1,488.80	1,303.93	184.87	1,488.80		1,303.93		184.87
HIMAX TECHNOLOGIES	3/20/2009	1/12/2009	2,658.50	2,519.81	138.69	2,658.50		2,519.81		138.69
TEVA PHARMACTCL	3/18/2009	1/12/2009	1,342.81	1,259.95	82.86	1,342.81		1,259.95		82.86
VODAFONE GROUP	3/18/2009	1/12/2009	2,820.86	3,404.42	(583.56)	2,820.86		3,404.42		(583.56)
ZURICH FINL SVCS	3/18/2009	1/12/2009	865.90	813.27	52.63	865.90		813.27		52.63
ZURICH FINL SVCS	3/18/2009	1/12/2009	347.00	645.71	(298.71)	347.00		645.71		(298.71)
ZURICH FINL SVCS	3/18/2009	1/12/2009	469.81	912.81	(443.00)	469.81		912.81		(443.00)
ZURICH FINL SVCS	3/18/2009	1/12/2009	68.76	128.40	(59.64)	68.76		128.40		(59.64)
ZURICH FINL SVCS	3/18/2009	1/12/2009	2,063.07	3,676.50	(1,613.43)	2,063.07		3,676.50		(1,613.43)
COPA HOLDINGS	4/23/2009	1/12/2009	722.90	484.48	238.42	722.90		484.48		238.42
HIMAX TECHNOLOGIES	4/23/2009	1/12/2009	428.64	209.48	219.15	428.64		209.48		219.15
HIMAX TECHNOLOGIES	4/23/2009	1/12/2009	59.23	59.23	0.00	59.23		59.23		0.00
HIMAX TECHNOLOGIES	4/23/2009	1/12/2009	26.11	13.00	13.11	26.11		13.00		13.11
NTT DOCOMO INC	4/23/2009	1/12/2009	1,959.39	2,404.21	(444.82)	1,959.39		2,404.21		(444.82)
NTT DOCOMO INC	4/23/2009	1/12/2009	831.98	1,254.04	(422.06)	831.98		1,254.04		(422.06)
NTT DOCOMO INC	4/23/2009	1/12/2009	631.06	1,232.65	(601.59)	631.06		1,232.65		(601.59)
NTT DOCOMO INC	4/23/2009	1/12/2009	168.75	209.71	(140.96)	168.75		209.71		(140.96)
NTT DOCOMO INC	4/23/2009	1/12/2009	1,737.07	2,241.36	(504.29)	1,737.07		2,241.36		(504.29)
NOVO NORDISK	4/23/2009	1/12/2009	3,163.22	3,450.46	(287.24)	3,163.22		3,450.46		(287.24)
POTASH CORP SACHACHEVAN	4/23/2009	1/12/2009	2,939.52	2,806.40	133.12	2,939.52		2,806.40		133.12
RESEARCH IN MOTION LTD	4/23/2009	1/12/2009	3,720.34	4,006.37	(286.03)	3,720.34		4,006.37		(286.03)
RESEARCH IN MOTION LTD	4/23/2009	1/12/2009	2,038.86	1,822.08	216.78	2,038.86		1,822.08		216.78
RESEARCH IN MOTION LTD	4/23/2009	1/12/2009	2,594.56	1,948.35	646.21	2,594.56		1,948.35		646.21
RESEARCH IN MOTION LTD	4/23/2009	1/12/2009	59.78	41.24	18.54	59.78		41.24		18.54
ROCHE HDGS LTD	4/23/2009	1/12/2009	68.68	47.24	21.44	68.68		47.24		21.44
TEVA PHARMACTCL	4/23/2009	1/12/2009	1,068.87	735.52	333.35	1,068.87		735.52		333.35
TEVA PHARMACTCL	4/23/2009	1/12/2009	3,151.67	3,356.48	(204.81)	3,151.67		3,356.48		(204.81)
TEVA PHARMACTCL	4/23/2009	1/12/2009	664.70	881.86	(217.16)	664.70		881.86		(217.16)
TEVA PHARMACTCL	4/23/2009	1/12/2009	1,472.26	1,432.00	40.26	1,472.26		1,432.00		40.26
TEVA PHARMACTCL	4/23/2009	1/12/2009	3,081.78	2,816.09	265.69	3,081.78		2,816.09		265.69
TEVA PHARMACTCL	4/23/2009	1/12/2009	2,594.72	2,791.20	(196.48)	2,594.72		2,791.20		(196.48)
TEVA PHARMACTCL	4/23/2009	1/12/2009	75.51	37.56	37.95	75.51		37.56		37.95
TEVA PHARMACTCL	4/23/2009	1/12/2009	2.85	1.55	1.30	2.85		1.55		1.30
TEVA PHARMACTCL	4/23/2009	1/12/2009	2,028.72	2,617.81	(589.09)	2,028.72		2,617.81		(589.09)
NTT DOCOMO INC	5/4/2009	1/12/2009	1,056.58	1,244.48	(187.90)	1,056.58		1,244.48		(187.90)
NTT DOCOMO INC	5/4/2009	1/12/2009	375.37	427.55	(52.18)	375.37		427.55		(52.18)
NTT DOCOMO INC	5/4/2009	1/12/2009	375.37	366.27	9.10	375.37		366.27		9.10
NTT DOCOMO INC	5/4/2009	1/12/2009	2,069.76	2,160.30	(90.54)	2,069.76		2,160.30		(90.54)
NTT DOCOMO INC	5/4/2009	1/12/2009	3,717.70	3,727.50	(9.80)	3,717.70		3,727.50		(9.80)
NESTLE S A REP RG SH	5/11/2009	1/12/2009	1,245.68	928.63	316.85	1,245.68		928.63		316.85
RICHE BROKS AUCTIONEERS	5/11/2009	1/12/2009	116.61	89.31	27.30	116.61		89.31		27.30
RICHE BROKS AUCTIONEERS	5/11/2009	1/12/2009	791.00	728.48	62.51	791.00		728.48		62.51
RICHE BROKS AUCTIONEERS	5/11/2009	1/12/2009	1,810.12	1,763.62	46.50	1,810.12		1,763.62		46.50
RICHE BROKS AUCTIONEERS	5/11/2009	1/12/2009	1,810.12	1,763.62	46.50	1,810.12		1,763.62		46.50
RICHE BROKS AUCTIONEERS	5/11/2009	1/12/2009	881.21	883.80	(2.59)	881.21		883.80		(2.59)
RICHE BROKS AUCTIONEERS	5/11/2009	1/12/2009	148.89	163.60	(13.71)	148.89		163.60		(13.71)
RICHE BROKS AUCTIONEERS	5/11/2009	1/12/2009	3,881.12	4,143.95	(262.83)	3,881.12		4,143.95		(262.83)
RICHE BROKS AUCTIONEERS	5/11/2009	1/12/2009	1,268.01	1,212.40	55.61	1,268.01		1,212.40		55.61
RICHE BROKS AUCTIONEERS	5/11/2009	1/12/2009	795.20	762.08	33.12	795.20		762.08		33.12
RICHE BROKS AUCTIONEERS	5/11/2009	1/12/2009	107.46	102.34	5.12	107.46		102.34		5.12
RICHE BROKS AUCTIONEERS	5/11/2009	1/12/2009	692.67	634.54	58.13	692.67		634.54		58.13
RICHE BROKS AUCTIONEERS	5/11/2009	1/12/2009	258.52	274.80	(16.28)	258.52		274.80		(16.28)
RICHE BROKS AUCTIONEERS	5/11/2009	1/12/2009	1,265.35	1,283.35	(18.00)	1,265.35		1,283.35		(18.00)
RICHE BROKS AUCTIONEERS	5/11/2009	1/12/2009	1,481.35	1,481.35	0.00	1,481.35		1,481.35		0.00
RICHE BROKS AUCTIONEERS	5/11/2009	1/12/2009	1,389.26	1,481.35	(92.09)	1,389.26		1,481.35		(92.09)
RICHE BROKS AUCTIONEERS	5/11/2009	1/12/2009	2,301.04	1,612.28	688.76	2,301.04		1,612.28		688.76
RICHE BROKS AUCTIONEERS	5/11/2009	1/12/2009	2,301.04	2,301.04	0.00	2,301.04		2,301.04		0.00
RICHE BROKS AUCTIONEERS	5/11/2009	1/12/2009	1,880.86	1,793.26	87.60	1,880.86		1,793.26		87.60
RICHE BROKS AUCTIONEERS	5/11/2009	1/12/2009	2,357.81	1,305.36	1,052.45	2,357.81		1,305.36		1,052.45

BROKER ACCOUNT	DESCRIPTION	DATE SOLD	DATE ACQUIRED	PROCEEDS	BASIS	GAIN/LOSS	SHORT-TERM PROCEEDS	LONG-TERM PROCEEDS	SHORT-TERM BASIS	LONG-TERM BASIS	LONG-TERM SHORT-TERM
	COPA HOLDINGS	6/30/2009	11/25/2008	1,175.87	612.27	563.60	1,175.87		612.27		563.60
	HIMAX TECHNOLOGIES	7/2/2009	11/25/2008	472.18	176.27	295.91	472.18				295.91
	ORACLE	7/2/2009	11/25/2008	672.05	575.89	96.16	672.05				96.16
	ORACLE	7/2/2009	11/25/2008	1,422.42	1,422.42	0.00	1,422.42				0.00
	ORACLE	7/2/2009	11/25/2008	889.43	757.87	131.56	889.43				131.56
	ORACLE	7/2/2009	11/25/2008	543.12	488.41	54.71	543.12				54.71
	TEVA PHARMACEUTICALS	4/29/2009	11/25/2008	214.09	190.33	23.76	214.09				23.76
	VESTAS WIND SYSTEMS	7/30/2009	11/25/2008	1,061.62	1,040.02	21.60	1,061.62				21.60
	VESTAS WIND SYSTEMS	7/30/2009	11/25/2008	838.11	764.81	73.30	838.11				73.30
	AGNICO EAGLE MINES LTD	7/30/2009	11/25/2008	3,587.40	2,762.58	824.82	3,587.40				824.82
	AGNICO EAGLE MINES LTD	7/30/2009	11/25/2008	2,984.60	2,203.87	780.73	2,984.60				780.73
	AGNICO EAGLE MINES LTD	7/30/2009	11/25/2008	1,962.34	1,962.34	0.00	1,962.34				0.00
	BG GROUP	8/3/2009	11/25/2008	903.69	560.32	343.37	903.69				343.37
	CHINA LIFE INS	8/3/2009	11/25/2008	2,252.47	1,118.51	1,133.96	2,252.47				1,133.96
	IE ON AG	8/3/2009	11/25/2008	5,403.10	2,871.63	2,531.47	5,403.10				2,531.47
	ITALI UNIBANCO MULT	8/3/2009	11/25/2008	2,871.63	3,346.81	(475.18)	2,871.63				(475.18)
	ITALI UNIBANCO MULT	8/3/2009	11/25/2008	193.80	181.37	12.43	193.80				12.43
	NINTENDO	8/3/2009	11/25/2008	193.80	275.17	(81.37)	193.80				(81.37)
	NINTENDO	8/3/2009	11/25/2008	4,877.36	7,127.20	(2,249.84)	4,877.36				(2,249.84)
	TEVA PHARMACEUTICALS	7/30/2009	11/25/2008	1,327.80	1,049.86	277.94	1,327.80				277.94
	VEOLIA ENVIRONNEMENT	8/3/2009	11/25/2008	2,051.25	1,645.60	405.65	2,051.25				405.65
	VEOLIA ENVIRONNEMENT	8/3/2009	11/25/2008	806.23	562.48	243.75	806.23				243.75
	VEOLIA ENVIRONNEMENT	8/3/2009	11/25/2008	210.33	169.61	40.72	210.33				40.72
	VESTAS WIND SYSTEMS	7/30/2009	11/25/2008	2,870.08	2,065.90	804.18	2,870.08				804.18
				968,984.53	415,227.62	553,756.91					553,756.91
	HANOVER INS GROUP	11/25/2008	10/16/1995	233,993.12	2,271.10	231,722.02	233,993.12				231,722.02
	PROSHARES ULTRA	12/1/2008	11/25/2008	2,509.50	2,059.20	450.30	2,509.50				450.30
	PROSHARES ULTRA	12/1/2008	11/25/2008	789.99	764.72	25.27	789.99				25.27
	GOLDMAN SACHS GROUP	11/25/2008	11/25/2008	870.00	651.19	218.81	870.00				218.81
	GOOGLE INC	11/25/2008	11/25/2008	176.95	176.95	0.00	176.95				0.00
	GILEAD SCIENCES INC	11/25/2008	11/25/2008	180.00	176.86	3.14	180.00				3.14
	GILEAD SCIENCES INC	11/25/2008	11/25/2008	44.69	44.23	0.46	44.69				0.46
	GILEAD SCIENCES INC	11/25/2008	11/25/2008	219.38	245.29	(25.91)	219.38				(25.91)
	GILEAD SCIENCES INC	11/25/2008	11/25/2008	424.56	490.00	(65.44)	424.56				(65.44)
	GILEAD SCIENCES INC	11/25/2008	11/25/2008	1,351.90	1,351.90	0.00	1,351.90				0.00
	HESS CORP	11/25/2008	11/25/2008	1,168.11	1,168.11	0.00	1,168.11				0.00
	HESS CORP	11/25/2008	11/25/2008	83.56	98.12	(14.56)	83.56				(14.56)
	HESS CORP	11/25/2008	11/25/2008	125.46	147.18	(21.72)	125.46				(21.72)
	HESS CORP	11/25/2008	11/25/2008	127.09	127.09	0.00	127.09				0.00
	HESS CORP	11/25/2008	11/25/2008	130.15	147.18	(17.03)	130.15				(17.03)
	HESS CORP	11/25/2008	11/25/2008	87.00	87.00	0.00	87.00				0.00
	HESS CORP	11/25/2008	11/25/2008	86.65	86.12	0.53	86.65				0.53
	HESS CORP	11/25/2008	11/25/2008	577.54	637.78	(60.24)	577.54				(60.24)
	HESS CORP	11/25/2008	11/25/2008	403.94	362.49	41.45	403.94				41.45
	HESS CORP	11/25/2008	11/25/2008	1,111.21	1,111.21	0.00	1,111.21				0.00
	HESS CORP	11/25/2008	11/25/2008	784.40	879.24	(94.84)	784.40				(94.84)
	HESS CORP	11/25/2008	11/25/2008	142.52	159.58	(17.06)	142.52				(17.06)
	MONSANTO CO	11/25/2008	11/25/2008	94.87	100.86	(5.99)	94.87				(5.99)
	MONSANTO CO	11/25/2008	11/25/2008	95.66	100.86	(5.20)	95.66				(5.20)
	MONSANTO CO	11/25/2008	11/25/2008	144.81	150.89	(6.08)	144.81				(6.08)
	MONSANTO CO	11/25/2008	11/25/2008	138.03	150.89	(12.86)	138.03				(12.86)
	MONSANTO CO	11/25/2008	11/25/2008	94.51	100.86	(6.35)	94.51				(6.35)
	MONSANTO CO	11/25/2008	11/25/2008	865.28	865.28	0.00	865.28				0.00
	MONSANTO CO	11/25/2008	11/25/2008	2,342.91	2,552.42	(209.51)	2,342.91				(209.51)
	AMERICA MOVIL SAB	11/25/2008	11/25/2008	523.97	563.90	(40.93)	523.97				(40.93)
	AMERICA MOVIL SAB	11/25/2008	11/25/2008	110.16	110.16	0.00	110.16				0.00
	AMERICA MOVIL SAB	11/25/2008	11/25/2008	375.81	375.81	0.00	375.81				0.00
	AMERICA MOVIL SAB	11/25/2008	11/25/2008	375.81	375.81	0.00	375.81				0.00
	AMERICA MOVIL SAB	11/25/2008	11/25/2008	884.26	1,015.41	(131.15)	884.26				(131.15)
	AMERICA MOVIL SAB	11/25/2008	11/25/2008	2,103.42	2,584.68	(481.26)	2,103.42				(481.26)
	AMERICA MOVIL SAB	11/25/2008	11/25/2008	829.31	1,015.40	(186.09)	829.31				(186.09)
	WELLS FARGO & CO	11/25/2008	11/25/2008	789.87	1,029.80	(239.93)	789.87				(239.93)
	WELLS FARGO & CO	11/25/2008	11/25/2008	197.50	311.77	(114.27)	197.50				(114.27)
	WELLS FARGO & CO	11/25/2008	11/25/2008	19.30	31.18	(11.88)	19.30				(11.88)
	WELLS FARGO & CO	11/25/2008	11/25/2008	113.80	175.34	(62.54)	113.80				(62.54)
	WELLS FARGO & CO	11/25/2008	11/25/2008	829.91	1,033.28	(203.37)	829.91				(203.37)
	WELLS FARGO & CO	11/25/2008	11/25/2008	523.15	606.88	(83.73)	523.15				(83.73)
	WELLS FARGO & CO	11/25/2008	11/25/2008	423.19	542.80	(119.61)	423.19				(119.61)
	BUNGE LIMITED	11/25/2008	11/25/2008	829.86	647.53	182.33	829.86				182.33
	BUNGE LIMITED	11/25/2008	11/25/2008	1,068.89	876.07	192.82	1,068.89				192.82
	BUNGE LIMITED	11/25/2008	11/25/2008	803.39	803.39	0.00	803.39				0.00
	BUNGE LIMITED	11/25/2008	11/25/2008	1,045.00	1,38.42	906.58	1,045.00				906.58
	BUNGE LIMITED	11/25/2008	11/25/2008	2,845.01	2,845.01	0.00	2,845.01				0.00
	BUNGE LIMITED	11/25/2008	11/25/2008	1,865.52	1,865.52	0.00	1,865.52				0.00
	BUNGE LIMITED	11/25/2008	11/25/2008	2,040.97	1,410.26	630.71	2,040.97				630.71
	BUNGE LIMITED	11/25/2008	11/25/2008	1,234.35	1,234.35	0.00	1,234.35				0.00
	BUNGE LIMITED	11/25/2008	11/25/2008	4,065.63	4,065.63	0.00	4,065.63				0.00
	BUNGE LIMITED	11/25/2008	11/25/2008	233.11	233.11	0.00	233.11				0.00
	BUNGE LIMITED	11/25/2008	11/25/2008	143.66	114.27	29.39	143.66				29.39
	BUNGE LIMITED	11/25/2008	11/25/2008	8,365.27	4,189.33	4,175.94	8,365.27				4,175.94
	BUNGE LIMITED	11/25/2008	11/25/2008	270.70	152.36	118.34	270.70				118.34
	BUNGE LIMITED	11/25/2008	11/25/2008	270.70	152.36	118.34	270.70				118.34

Statement A

BROKER ACCOUNT	DESCRIPTION	DATE SOLD	DATE ACQUIRED	PROCEEDS	BASIS	GAIN/LOSS	SHORT-TERM PROCEEDS	LONG-TERM PROCEEDS	SHORT-TERM BASIS	LONG-TERM BASIS	SHORT-TERM	LONG-TERM
	EDISON INTL	4/17/2009	1/14/2009	2,115.94	2,357.25	(241.31)	2,115.94		2,357.25			
	JPMORGAN CHASE & CO	4/8/2009	1/12/2009	2,723.85	2,699.80	24.05	2,723.85		2,699.80			
	JOHNSON AND JOHNSON	4/23/2009	1/12/2009	2,877.29	3,127.53	(250.24)	2,877.29		3,127.53			
	KIMBERLY CLARK	3/11/2009	1/12/2009	495.11	570.28	(75.17)	495.11		570.28			
	KIMBERLY CLARK	3/11/2009	1/12/2009	869.08	771.40	97.68	869.08		771.40			
	KIMBERLY CLARK	4/6/2009	1/14/2009	2,439.86	2,622.80	(185.94)	2,439.86		2,622.80			
	PHILIP MORRIS INTL	4/23/2009	1/12/2009	2,249.56	2,566.02	(316.46)	2,249.56		2,566.02			
	PHILIP MORRIS INTL	4/23/2009	1/14/2009	435.40	483.92	(48.52)	435.40		483.92			
	PHILIP MORRIS INTL	4/23/2009	1/14/2009	1,197.36	1,362.76	(165.40)	1,197.36		1,362.76			
	PUB SVC ENTERPRISE	4/23/2009	1/12/2009	2,016.96	2,130.18	(113.22)	2,016.96		2,130.18			
	SCHERING PLOUGH CORP	4/6/2009	1/12/2009	2,752.72	1,960.64	792.08	2,752.72		1,960.64			
	SCHERING PLOUGH CORP	4/6/2009	1/14/2009	1,365.21	1,455.96	(90.75)	1,365.21		1,455.96			
	SCHERING PLOUGH CORP	4/23/2009	1/14/2009	1,365.21	1,455.96	(90.75)	1,365.21		1,455.96			
	TIME WARNER CABLE INC	4/6/2009	1/12/2009	1,365.21	1,455.96	(90.75)	1,365.21		1,455.96			
	UNITED TECH CORP	4/23/2009	1/14/2009	853.12	853.12	0.00	853.12		853.12			
	AETNA INC	4/23/2009	1/12/2009	1,782.39	1,788.99	(6.60)	1,782.39		1,788.99			
	AT&T	5/15/2009	1/12/2009	1,531.15	1,747.83	(216.68)	1,531.15		1,747.83			
	COMCAST CORP	5/15/2009	1/12/2009	922.51	1,016.33	(93.82)	922.51		1,016.33			
	COMCAST CORP	5/15/2009	1/14/2009	1,353.77	1,425.59	(71.82)	1,353.77		1,425.59			
	CHUBB CORP	4/28/2009	1/12/2009	2,651.52	3,124.34	(472.82)	2,651.52		3,124.34			
	CHUBB CORP	4/28/2009	1/14/2009	3,334.49	3,664.33	(329.84)	3,334.49		3,664.33			
	CLOROX CO	5/15/2009	1/12/2009	883.85	933.18	(49.33)	883.85		933.18			
	EMERSON ELEC CO	5/15/2009	1/12/2009	48.48	1,440.95	(1,392.47)	48.48		1,440.95			
	METLIFE INC	5/15/2009	1/12/2009	1,073.12	1,171.81	(98.69)	1,073.12		1,171.81			
	PG&E CORP	5/15/2009	1/14/2009	1,440.95	1,355.34	85.61	1,440.95		1,355.34			
	PG&E CORP	5/15/2009	1/14/2009	743.33	736.47	6.86	743.33		736.47			
	PHILIP MORRIS INTL	5/15/2009	1/12/2009	1,802.83	1,871.16	(68.33)	1,802.83		1,871.16			
	SCHERING PLOUGH CORP	5/15/2009	1/14/2009	2,925.16	2,808.14	117.02	2,925.16		2,808.14			
	TRAVELERS COS INC	5/15/2009	1/14/2009	1,754.00	1,307.05	446.95	1,754.00		1,307.05			
	TRAVELERS COS INC	5/15/2009	1/12/2009	1,942.26	1,872.15	70.11	1,942.26		1,872.15			
	TRAVELERS COS INC	5/15/2009	1/12/2009	1,669.45	1,709.37	(42.92)	1,669.45		1,709.37			
	TRAVELERS COS INC	5/15/2009	1/14/2009	1,150.66	1,164.35	(13.69)	1,150.66		1,164.35			
	VERIZON COMMUNICATIONS	5/15/2009	1/12/2009	1,505.05	1,559.05	(53.99)	1,505.05		1,559.05			
	WELLS FARGO & CO	5/15/2009	1/12/2009	1,177.84	1,177.84	0.00	1,177.84		1,177.84			
	WELLS FARGO & CO	5/15/2009	1/12/2009	1,440.95	1,355.34	85.61	1,440.95		1,355.34			
	CAPITAL ONE FINL	5/15/2009	1/14/2009	1,353.80	1,307.04	46.76	1,353.80		1,307.04			
	EDISON INTL	5/15/2009	3/31/2009	1,469.99	1,469.99	0.00	1,469.99		1,469.99			
	EDISON INTL	5/15/2009	1/14/2009	614.31	614.31	0.00	614.31		614.31			
	JOHNSON AND JOHNSON	5/15/2009	1/12/2009	1,374.90	1,578.82	(203.92)	1,374.90		1,578.82			
	JOHNSON AND JOHNSON	5/15/2009	1/12/2009	609.62	649.11	(39.49)	609.62		649.11			
	PROCTER & GAMBLE	5/15/2009	1/12/2009	1,651.78	1,651.78	0.00	1,651.78		1,651.78			
	PROCTER & GAMBLE	5/15/2009	1/12/2009	2,345.85	2,345.85	0.00	2,345.85		2,345.85			
	AMGEN INC	5/15/2009	1/12/2009	1,103.74	1,053.80	49.94	1,103.74		1,053.80			
	CAPITAL ONE FINL	5/15/2009	1/12/2009	1,233.44	1,233.44	0.00	1,233.44		1,233.44			
	CAPITAL ONE FINL	5/15/2009	3/31/2009	467.86	467.86	0.00	467.86		467.86			
	DIAMOND OFFSHORE DRILLING	5/15/2009	4/29/2009	2,428.58	1,868.79	559.79	2,428.58		1,868.79			
	DEVON ENERGY CORP	5/15/2009	1/12/2009	548.39	397.41	150.98	548.39		397.41			
	DEVON ENERGY CORP	5/15/2009	1/14/2009	204.43	244.28	(39.85)	204.43		244.28			
	DEVON ENERGY CORP	5/15/2009	1/12/2009	869.83	1,091.10	(221.27)	869.83		1,091.10			
	DEVON ENERGY CORP	5/15/2009	1/12/2009	1,115.52	1,351.85	(236.33)	1,115.52		1,351.85			
	KROGER CO	5/15/2009	1/14/2009	1,211.00	1,211.00	0.00	1,211.00		1,211.00			
	KROGER CO	5/15/2009	1/12/2009	2,417.66	2,372.25	45.41	2,417.66		2,372.25			
	PROCTER & GAMBLE	5/15/2009	1/12/2009	2,152.82	2,152.82	0.00	2,152.82		2,152.82			
	TIME WARNER CABLE INC	5/15/2009	1/14/2009	2,303.20	2,030.31	272.89	2,303.20		2,030.31			
	TIME WARNER CABLE INC	5/15/2009	1/12/2009	1,046.19	1,219.25	(173.06)	1,046.19		1,219.25			
	ACE LIMITED	5/15/2009	1/14/2009	251.57	251.57	0.00	251.57		251.57			
	BIODER IDEC INC	5/15/2009	1/12/2009	1,861.81	1,861.81	0.00	1,861.81		1,861.81			
	BIODER IDEC INC	5/15/2009	1/14/2009	1,511.38	1,470.14	41.24	1,511.38		1,470.14			
	COMCAST CORP	5/15/2009	1/14/2009	1,855.65	1,855.65	0.00	1,855.65		1,855.65			
	COMCAST CORP	5/15/2009	1/12/2009	2,076.24	1,897.60	1,178.64	2,076.24		1,897.60			
	JOHNSON AND JOHNSON	5/15/2009	1/12/2009	1,441.87	1,441.87	0.00	1,441.87		1,441.87			
	JOHNSON AND JOHNSON	5/15/2009	1/14/2009	1,775.87	1,775.87	0.00	1,775.87		1,775.87			
	KROGER CO	5/15/2009	1/14/2009	2,229.84	2,229.84	0.00	2,229.84		2,229.84			
	KROGER CO	5/15/2009	1/14/2009	2,268.52	1,742.58	525.94	2,268.52		1,742.58			
	STAPLES INC	5/15/2009	1/12/2009	1,388.20	1,115.39	272.81	1,388.20		1,115.39			
	TUX COS INC	5/15/2009	1/12/2009	1,329.21	810.14	519.07	1,329.21		810.14			
	TRAVELERS COS INC	5/15/2009	1/14/2009	2,427.49	2,127.65	299.84	2,427.49		2,127.65			
				579,471.20	203,704.84	375,766.36						
	BIO RAD LABS	12/12/2008	12/25/2008	1,013.18	1,053.40	(42.22)	1,013.18		1,053.40			
	CARDIO CERAMICS INC	12/12/2008	12/25/2008	912.43	932.36	(19.93)	912.43		932.36			
	CHAIRMAN'S INC	12/12/2008	12/25/2008	1,115.55	1,115.55	0.00	1,115.55		1,115.55			
	FOSSIL INC	12/12/2008	12/25/2008	977.42	977.42	0.00	977.42		977.42			
	MARTEK BIOSCIENCES CORP	12/12/2008	12/25/2008	384.86	384.86	0.00	384.86		384.86			
	NTELOS HDGS CORP	12/12/2008	12/25/2008	229.38	229.38	0.00	229.38		229.38			
	SYNAPTICS INC	12/12/2008	12/25/2008	672.32	751.12	(78.80)	672.32		751.12			

BROKER ACCOUNT	DESCRIPTION	DATE SOLD	DATE ACQUIRED	PROCEEDS	BASIS	GAIN/LOSS	SHORT-TERM PROCEEDS	LONG-TERM PROCEEDS	SHORT-TERM BASIS	LONG-TERM BASIS	LONG-TERM SHORT-TERM
SKYWORKS SOLUTIONS INC	SKYWORKS SOLUTIONS INC	12/5/2008	12/5/2008	978.42	843.55	132.87	978.42	978.42	843.55		132.87
11/25/2008	CHARTER BANK SVCS INC	11/25/2008	11/25/2008	378.02	368.73	11.26	378.02	378.02	368.73		11.26
11/25/2008	CHARTER BANK SVCS INC	11/25/2008	11/25/2008	1,253.56	1,220.36	33.20	1,253.56	1,253.56	1,220.36		33.20
18/20/2008	COMED CORP	12/5/2008	12/5/2008	766.48	1,132.70	(366.22)	766.48	766.48	1,132.70		(366.22)
11/2/2009	EXCOR INC	12/5/2008	12/5/2008	1,044.98	1,144.50	(90.52)	1,044.98	1,044.98	1,144.50		(90.52)
12/2/2009	GLACIER BANCORP INC	12/5/2008	12/5/2008	659.34	817.00	(157.66)	659.34	659.34	817.00		(157.66)
11/3/2009	GLACIER BANCORP INC	12/5/2008	12/5/2008	250.56	289.50	(38.94)	250.56	250.56	289.50		(38.94)
12/5/2009	GRANITE CONST INC	12/5/2008	12/5/2008	660.51	1,072.08	(411.57)	660.51	660.51	1,072.08		(411.57)
11/3/2009	UMB FINANCIAL CORP	12/5/2008	12/5/2008	322.18	322.00	0.18	322.18	322.18	322.00		0.18
12/5/2009	ACORDA THERAPEUTICS INC	12/5/2008	12/5/2008	928.72	1,143.00	(214.28)	928.72	928.72	1,143.00		(214.28)
2/5/2009	COMPLET PROCDN SVCS INC	12/5/2008	12/5/2008	254.99	228.69	26.30	254.99	254.99	228.69		26.30
2/5/2009	COMPLET PROCDN SVCS INC	12/5/2008	12/5/2008	246.92	298.45	(52.53)	246.92	246.92	298.45		(52.53)
2/5/2009	COMPLET PROCDN SVCS INC	12/5/2008	12/5/2008	85.97	85.97	0.00	85.97	85.97	85.97		0.00
2/11/2009	COMSTOCK RES INC	12/5/2008	12/5/2008	926.21	829.48	106.73	926.21	926.21	829.48		106.73
2/23/2009	EMERGENT BIOSOLUTIONS	12/5/2008	12/5/2008	870.58	827.78	52.80	870.58	870.58	827.78		52.80
2/23/2009	EMERGENT BIOSOLUTIONS	12/5/2008	12/5/2008	310.93	348.75	(37.82)	310.93	310.93	348.75		(37.82)
2/5/2009	GARTNER INC	12/5/2008	12/5/2008	1,008.20	1,362.59	(354.39)	1,008.20	1,008.20	1,362.59		(354.39)
2/5/2009	GARTNER INC	12/5/2008	12/5/2008	328.52	478.88	(150.36)	328.52	328.52	478.88		(150.36)
2/11/2009	IDACORP INC	12/5/2008	12/5/2008	941.70	1,024.89	(83.19)	941.70	941.70	1,024.89		(83.19)
2/11/2009	IDACORP INC	12/5/2008	12/5/2008	26.90	26.36	0.54	26.90	26.90	26.36		0.54
2/11/2009	IDACORP INC	12/5/2008	12/5/2008	623.67	442.85	180.82	623.67	623.67	442.85		180.82
2/24/2009	MYRAD GENETICS INC	12/5/2008	12/5/2008	440.41	514.14	(73.73)	440.41	440.41	514.14		(73.73)
2/24/2009	MYRAD GENETICS INC	12/5/2008	12/5/2008	1,111.11	1,348.82	(237.71)	1,111.11	1,111.11	1,348.82		(237.71)
2/24/2009	ORBITAL SCIENCES CORP	12/5/2008	12/5/2008	256.84	346.50	(89.66)	256.84	256.84	346.50		(89.66)
2/24/2009	QUESTOR PHARMACEUTICALS	12/5/2008	12/5/2008	651.63	873.75	(222.12)	651.63	651.63	873.75		(222.12)
2/18/2009	SIMPSON MFG	12/5/2008	12/5/2008	768.12	1,067.44	(299.32)	768.12	768.12	1,067.44		(299.32)
2/18/2009	SIMPSON MFG	12/5/2008	12/5/2008	129.78	398.14	(268.36)	129.78	129.78	398.14		(268.36)
12/8/2009	STARTEC NETWORKS CORP	12/5/2008	12/5/2008	1,129.87	748.44	381.43	1,129.87	1,129.87	748.44		381.43
2/4/2009	TUPPERWARE BRANDS CORP	12/5/2008	12/5/2008	1,002.52	820.56	181.96	1,002.52	1,002.52	820.56		181.96
2/4/2009	TUPPERWARE BRANDS CORP	12/5/2008	12/5/2008	404.87	467.77	(62.90)	404.87	404.87	467.77		(62.90)
2/10/2009	TEKELEC INC	12/5/2008	12/5/2008	959.74	737.42	222.32	959.74	959.74	737.42		222.32
2/13/2009	THORATEC CORP	12/5/2008	12/5/2008	860.84	1,043.77	(182.93)	860.84	860.84	1,043.77		(182.93)
2/13/2009	THORATEC CORP	12/5/2008	12/5/2008	321.00	350.00	(29.00)	321.00	321.00	350.00		(29.00)
1/20/2009	VALMONT INDUSTRIES	12/5/2008	12/5/2008	1,111.11	1,348.82	(237.71)	1,111.11	1,111.11	1,348.82		(237.71)
1/20/2009	VALMONT INDUSTRIES	12/5/2008	12/5/2008	234.04	397.39	(163.35)	234.04	234.04	397.39		(163.35)
3/17/2009	AEROPOSTALE INC	12/5/2008	12/5/2008	837.71	745.35	92.36	837.71	837.71	745.35		92.36
3/17/2009	AEROPOSTALE INC	12/5/2008	12/5/2008	760.57	503.13	257.44	760.57	760.57	503.13		257.44
3/13/2009	AEROPOSTALE INC	12/5/2008	12/5/2008	657.75	997.35	(339.60)	657.75	657.75	997.35		(339.60)
3/13/2009	AEROPOSTALE INC	12/5/2008	12/5/2008	219.25	397.32	(178.07)	219.25	219.25	397.32		(178.07)
3/17/2009	COMPASS MINERALS INTL	12/5/2008	12/5/2008	690.03	597.60	92.43	690.03	690.03	597.60		92.43
3/17/2009	CHQIITA BRANDS INTL INC	12/5/2008	12/5/2008	213.50	628.83	(415.33)	213.50	213.50	628.83		(415.33)
2/22/2009	CHQIITA BRANDS INTL INC	12/5/2008	12/5/2008	260.96	763.99	(503.03)	260.96	260.96	763.99		(503.03)
2/22/2009	CHQIITA BRANDS INTL INC	12/5/2008	12/5/2008	1,401.85	1,384.76	17.09	1,401.85	1,401.85	1,384.76		17.09
2/22/2009	COLLECTIVE BRANDS INC	12/5/2008	12/5/2008	401.96	387.39	14.57	401.96	401.96	387.39		14.57
3/23/2009	DECKERS OUTDOORS CORP	12/5/2008	12/5/2008	913.82	1,181.77	(267.95)	913.82	913.82	1,181.77		(267.95)
3/23/2009	DECKERS OUTDOORS CORP	12/5/2008	12/5/2008	365.58	528.44	(162.86)	365.58	365.58	528.44		(162.86)
3/11/2009	ESTERLINE TECHNOLOGIES	12/5/2008	12/5/2008	608.34	1,019.40	(411.06)	608.34	608.34	1,019.40		(411.06)
3/11/2009	ESTERLINE TECHNOLOGIES	12/5/2008	12/5/2008	247.55	438.20	(190.65)	247.55	247.55	438.20		(190.65)
3/4/2009	ENERGY CONVERSION DEVICE	12/5/2008	12/5/2008	1,025.66	1,184.56	(158.90)	1,025.66	1,025.66	1,184.56		(158.90)
3/17/2009	FUEL SYSTEMS SOLUTIONS	12/5/2008	12/5/2008	256.42	334.00	(77.58)	256.42	256.42	334.00		(77.58)
3/17/2009	FUEL SYSTEMS SOLUTIONS	12/5/2008	12/5/2008	354.79	1,039.50	(684.71)	354.79	354.79	1,039.50		(684.71)
3/17/2009	FUEL SYSTEMS SOLUTIONS	12/5/2008	12/5/2008	101.37	300.80	(199.43)	101.37	101.37	300.80		(199.43)
3/17/2009	FUEL SYSTEMS SOLUTIONS	12/5/2008	12/5/2008	861.79	1,097.04	(235.25)	861.79	861.79	1,097.04		(235.25)
3/4/2009	GOMBORE CORP	12/5/2008	12/5/2008	1,016.65	1,074.81	(58.16)	1,016.65	1,016.65	1,074.81		(58.16)
3/4/2009	GOMBORE CORP	12/5/2008	12/5/2008	353.82	448.44	(84.62)	353.82	353.82	448.44		(84.62)
3/13/2009	MANTECH INTL CORP	12/5/2008	12/5/2008	719.48	478.21	241.27	719.48	719.48	478.21		241.27
3/13/2009	MANTECH INTL CORP	12/5/2008	12/5/2008	187.15	190.60	(3.45)	187.15	187.15	190.60		(3.45)
3/13/2009	ON SEMICONDUCTOR CORP	12/5/2008	12/5/2008	968.63	1,329.60	(360.97)	968.63	968.63	1,329.60		(360.97)
2/26/2009	ON SEMICONDUCTOR CORP	12/5/2008	12/5/2008	407.78	478.15	(70.37)	407.78	407.78	478.15		(70.37)
2/26/2009	PARAMETRIC TECH CORP	12/5/2008	12/5/2008	1,229.81	1,374.30	(144.49)	1,229.81	1,229.81	1,374.30		(144.49)
3/5/2009	REGIS CORP	12/5/2008	12/5/2008	881.49	951.33	(69.84)	881.49	881.49	951.33		(69.84)
3/25/2009	ROBBINS MYERS INC	12/5/2008	12/5/2008	380.63	437.75	(57.12)	380.63	380.63	437.75		(57.12)
3/18/2009	SYNES ENTERPRISES	12/5/2008	12/5/2008	1,064.48	1,203.12	(138.64)	1,064.48	1,064.48	1,203.12		(138.64)
3/18/2009	SYNES ENTERPRISES	12/5/2008	12/5/2008	311.86	349.19	(37.33)	311.86	311.86	349.19		(37.33)
3/2/2009	STARENT NETWORKS CORP	12/5/2008	12/5/2008	615.32	371.22	244.10	615.32	615.32	371.22		244.10
3/16/2009	SOLERA HOLDINGS INC	12/5/2008	12/5/2008	633.06	498.39	134.67	633.06	633.06	498.39		134.67
3/16/2009	SYBASE INC	12/5/2008	12/5/2008	719.41	108.94	610.47	719.41	719.41	108.94		610.47
3/24/2009	TITAN INTERNATIONAL INC	12/5/2008	12/5/2008	623.74	718.47	(94.73)	623.74	623.74	718.47		(94.73)
3/25/2009	TITAN INTERNATIONAL INC	12/5/2008	12/5/2008	180.61	190.61	(10.00)	180.61	180.61	190.61		(10.00)
3/25/2009	TITAN INTERNATIONAL INC	12/5/2008	12/5/2008	224.40	363.22	(138.82)	224.40	224.40	363.22		(138.82)
3/6/2009	WOLVERINE WORLD WIDE	12/5/2008	12/5/2008	921.78	1,315.80	(394.02)	921.78	921.78	1,315.80		(394.02)
3/6/2009	WOLVERINE WORLD WIDE	12/5/2008	12/5/2008	264.68	418.72	(154.04)	264.68	264.68	418.72		(154.04)
3/11/2009	WOODWARD GOVERNOR CO	12/5/2008	12/5/2008	417.33	908.04	(490.71)	417.33	417.33	908.04		(490.71)
3/31/2009	WOODWARD GOVERNOR CO	12/5/2008	12/5/2008	58.72	145.17	(86.45)	58.72	58.72	145.17		(86.45)
4/1/2009	WOODWARD GOVERNOR CO	12/5/2008	12/5/2008	425.65	581.16	(155.51)	425.65	425.65	581.16		(155.51)
4/1/2009	WOODWARD GOVERNOR CO	12/5/2008	12/5/2008	340.25	449.12	(108.87)	340.25	340.25	449.12		(108.87)
4/1/2009	WOODWARD GOVERNOR CO	12/5/2008	12/5/2008	287.35	397.56	(110.21)	287.35	287.35	397.56		(110.21)
4/7/2009	WOODWARD GOVERNOR CO	12/5/2008	12/5/2008	379.48	243.45	136.01	379.48	379.48	243.45		136.01
4/7/2009	WOODWARD GOVERNOR CO	12/5/2008	12/5/2008	363.12	453.39	(90.27)	363.12	363.12	453.39		(90.27)
4/7/2009	WOODWARD GOVERNOR CO	12/5/2008	12/5/2008	561.35	485.99	75.36	561.35	561.35	485.99		75.36

BROKER ACCOUNT	DESCRIPTION	DATE SOLD	DATE ACQUIRED	PROCEEDS	BASIS	GAIN/LOSS	SHORT-TERM PROCEEDS	LONG-TERM PROCEEDS	SHORT-TERM BASIS	LONG-TERM BASIS	LONG-TERM SHORT-TERM	SHORT-TERM
	CARBO CERAMICS INC	3/27/2009	3/29/2009	953.75	1,161.57	(207.82)	659.75		1,161.57			(201.62)
	COMTECH TELECOMS CORP	4/7/2009	12/5/2008	632.79	1,209.00	(576.21)	332.79		632.79			(576.21)
	COMTECH TELECOMS CORP	4/12/2009	12/5/2008	332.79	514.32	(181.53)	332.79		514.32			(181.53)
	CHARTER BANK SYS INC	4/15/2009	12/5/2008	268.40	268.40	(0.00)	268.40		268.40			(0.00)
	CHARTER BANK SYS INC	4/15/2009	12/5/2008	459.22	681.50	(222.28)	459.22		681.50			(222.28)
	DATA DOMAIN INC	4/14/2009	2/26/2009	79.27	660.73	(581.46)	79.27		660.73			(581.46)
	DATA DOMAIN INC	4/17/2009	2/26/2009	669.69	660.73	7.96	669.69		660.73			7.96
	IMMULCOR INC	4/7/2009	12/5/2008	462.17	436.39	25.78	462.17		436.39			25.78
	INFINITY PTFT & CASUALTY	4/23/2009	12/5/2008	773.58	655.92	117.66	773.58		655.92			117.66
	INFINITY PTFT & CASUALTY	4/23/2009	12/5/2008	257.87	356.16	(98.29)	257.87		356.16			(98.29)
	INFORMATICA CORP	4/22/2009	12/5/2008	1,313.03	969.48	343.55	1,313.03		969.48			343.55
	INFORMATICA CORP	4/22/2009	12/5/2008	252.42	273.43	(21.01)	252.42		273.43			(21.01)
	INTERMED GROUP	4/12/2009	12/5/2008	252.42	11.04	241.38	252.42		11.04			241.38
	INTERMED GROUP	4/12/2009	12/5/2008	261.76	262.33	(0.57)	261.76		262.33			(0.57)
	LUFKIN INDS INC	4/13/2009	11/3/2008	698.38	1,095.30	(396.92)	698.38		1,095.30			(396.92)
	MARTEK BIOSCIENCES CORP	4/3/2009	12/5/2008	698.38	347.75	350.63	698.38		347.75			350.63
	MARTEK BIOSCIENCES CORP	4/3/2009	11/3/2009	232.80	347.75	(114.95)	232.80		347.75			(114.95)
	MATTHEWS INTL INC	4/16/2009	2/2/2009	954.73	1,359.46	(404.73)	954.73		1,359.46			(404.73)
	MERIT MEDICAL SYS INC	4/23/2009	12/5/2008	418.44	455.40	(36.96)	418.44		455.40			(36.96)
	MERIT MEDICAL SYS INC	4/23/2009	11/3/2009	350.86	434.46	(83.60)	350.86		434.46			(83.60)
	NETLOS HDGS CORP	4/13/2009	12/5/2008	1,077.80	1,244.66	(166.86)	1,077.80		1,244.66			(166.86)
	NETLOS HDGS CORP	4/13/2009	11/3/2009	372.34	430.16	(57.82)	372.34		430.16			(57.82)
	OWENS & MINOR INC	3/31/2009	12/5/2008	668.71	730.79	(66.08)	668.71		730.79			(66.08)
	OWENS & MINOR INC	4/24/2009	12/5/2008	812.04	812.04	(0.00)	812.04		812.04			(0.00)
	OWENS & MINOR INC	4/24/2009	12/5/2008	530.35	530.35	(0.00)	530.35		530.35			(0.00)
	OWENS & MINOR INC	4/24/2009	12/5/2008	474.25	474.25	(0.00)	474.25		474.25			(0.00)
	OLIN CORP	4/21/2009	11/3/2009	371.66	609.50	(237.84)	371.66		609.50			(237.84)
	PEROT SYSTEMS	4/13/2009	12/5/2008	658.68	1,026.80	(368.12)	658.68		1,026.80			(368.12)
	PSS WORLD MEDICAL INC	4/23/2009	12/5/2008	797.92	1,026.80	(228.88)	797.92		1,026.80			(228.88)
	PSS WORLD MEDICAL INC	4/23/2009	11/3/2009	305.87	659.28	(353.41)	305.87		659.28			(353.41)
	RIVERBED TECHNOLOGY	4/14/2009	3/13/2009	779.15	1,284.50	(505.35)	779.15		1,284.50			(505.35)
	TRACTOR SUPPLY CO	4/22/2009	12/5/2008	1,029.13	1,284.50	(255.37)	1,029.13		1,284.50			(255.37)
	TELEDYNE TECH INC	4/2/2009	11/3/2009	441.06	937.90	(496.84)	441.06		937.90			(496.84)
	TELEDYNE TECH INC	4/2/2009	12/5/2008	771.43	937.90	(166.47)	771.43		937.90			(166.47)
	TELETYPE TECH INC	4/13/2009	12/5/2008	166.20	166.20	(0.00)	166.20		166.20			(0.00)
	WIND RIVER SYSTEMS	4/23/2009	11/3/2009	451.46	451.46	(0.00)	451.46		451.46			(0.00)
	WIND RIVER SYSTEMS	4/23/2009	12/5/2008	212.76	947.71	(734.95)	212.76		947.71			(734.95)
	AARONS INC	5/12/2009	11/3/2009	654.68	552.27	102.41	654.68		552.27			102.41
	BLACKBOARD INC	5/26/2009	11/3/2009	1,237.08	1,315.21	(78.13)	1,237.08		1,315.21			(78.13)
	BIG LOTS INC	5/15/2009	3/6/2009	1,570.17	1,290.80	279.37	1,570.17		1,290.80			279.37
	CARTER HOLDINGS	5/13/2009	12/5/2008	338.65	320.11	18.54	338.65		320.11			18.54
	CAPELLA ED CO	5/4/2009	3/10/2009	1,209.42	1,256.17	(46.75)	1,209.42		1,256.17			(46.75)
	FLOWERS FOCUS INC	5/4/2009	12/5/2008	955.34	969.24	(13.90)	955.34		969.24			(13.90)
	FLOWERS FOCUS INC	5/4/2009	12/5/2008	328.22	327.06	116.16	328.22		327.06			116.16
	FLOWERS FOCUS INC	5/4/2009	12/5/2008	345.51	345.51	(0.00)	345.51		345.51			(0.00)
	IMMULCOR INC	4/26/2009	12/5/2008	253.40	511.90	(258.50)	253.40		511.90			(258.50)
	IMMULCOR INC	4/26/2009	11/3/2009	320.86	607.19	(286.33)	320.86		607.19			(286.33)
	IMMULCOR INC	4/26/2009	11/3/2009	531.62	531.62	(0.00)	531.62		531.62			(0.00)
	ISIS PHARMACEUTICAL INC	5/22/2009	4/29/2009	186.75	186.75	(0.00)	186.75		186.75			(0.00)
	ICONIX BRAND GROUP INC	5/12/2009	12/5/2008	659.68	668.28	(8.60)	659.68		668.28			(8.60)
	MAGELAN HEALTH SERVICES	5/4/2009	11/3/2009	265.42	386.72	(121.30)	265.42		386.72			(121.30)
	MAGELAN HEALTH SERVICES	5/4/2009	11/3/2009	904.81	870.00	34.81	904.81		870.00			34.81
	MARVEL ENTMT INC	4/30/2009	12/5/2008	356.94	241.88	115.06	356.94		241.88			115.06
	NECLOGIC MICROSYSTEMS	5/1/2009	2/5/2009	108.40	228.84	(120.44)	108.40		228.84			(120.44)
	NECLOGIC MICROSYSTEMS	5/1/2009	12/5/2008	228.84	228.84	(0.00)	228.84		228.84			(0.00)
	QUESTOR PHARMACEUTICALS	4/29/2009	12/5/2008	1,348.60	1,348.60	(0.00)	1,348.60		1,348.60			(0.00)
	QUESTOR PHARMACEUTICALS	4/29/2009	12/5/2008	368.10	373.80	(5.70)	368.10		373.80			(5.70)
	STERIS CORP	5/7/2009	11/3/2009	423.77	283.82	139.95	423.77		283.82			139.95
	STERIS CORP	5/7/2009	12/5/2008	1,722.56	1,722.56	(0.00)	1,722.56		1,722.56			(0.00)
	TELECOM INC	5/5/2009	3/26/2009	622.25	849.20	(226.95)	622.25		849.20			(226.95)
	VOCUS INC	5/6/2009	12/5/2008	338.37	306.01	32.36	338.37		306.01			32.36
	VOCUS INC	5/6/2009	2/10/2009	654.07	571.97	82.10	654.07		571.97			82.10
	VISTAPRINT LTO	5/1/2009	2/4/2009	561.43	357.87	203.56	561.43		357.87			203.56
	WALLEN INVT MGMT CORP	5/4/2009	3/5/2009	187.96	154.82	33.14	187.96		154.82			33.14
	WALLEN INVT MGMT CORP	5/4/2009	4/22/2009	51.27	82.80	(31.53)	51.27		82.80			(31.53)
	WORLD ACCEPTANCE CORP	5/6/2009	11/3/2009	176.59	176.59	(0.00)	176.59		176.59			(0.00)
	WORLD ACCEPTANCE CORP	5/6/2009	12/5/2008	145.04	145.04	(0.00)	145.04		145.04			(0.00)
	WORLD ACCEPTANCE CORP	5/6/2009	11/3/2009	360.62	339.11	21.51	360.62		339.11			21.51
	WORLD ACCEPTANCE CORP	5/6/2009	12/5/2008	339.64	329.39	10.25	339.64		329.39			10.25
	WEBSENSE INC	5/6/2009	12/5/2008	653.65	324.60	329.05	653.65		324.60			329.05
	AEROSTALE INC	6/24/2009	12/5/2008	653.65	324.60	329.05	653.65		324.60			329.05
	AEROSTALE INC	6/24/2009	11/3/2009	784.64	473.72	310.92	784.64		473.72			310.92
	BLUE COAT SYS INC	5/26/2009	5/18/2009	625.22	652.71	(27.49)	625.22		652.71			(27.49)
	CAL MAINE FDS INC	6/18/2009	4/29/2009	1,357.15	1,407.57	(50.42)	1,357.15		1,407.57			(50.42)
	CONCUR TECHNOLOGIES INC	6/18/2009	12/5/2008	1,357.15	1,407.57	(50.42)	1,357.15		1,407.57			(50.42)
	CONCUR TECHNOLOGIES INC	6/18/2009	11/3/2009	965.51	940.05	25.46	965.51		940.05			25.46
	FRESH DEL MONTE PRODS INC	6/12/2009	11/3/2009	711.96	711.96	(0.00)	711.96		711.96			(0.00)
	FRESH DEL MONTE PRODS INC	6/12/2009	12/5/2008	623.42	788.21	(164.79)	623.42		788.21			(164.79)
	MYRIAD GENETICS INC	6/23/2009	11/3/2009	407.24	488.30	(81.06)	407.24		488.30			(81.06)
	MYRIAD GENETICS INC	6/23/2009	11/3/2009	807.87	560.00	247.87	807.87		560.00			247.87
	MARVEL ENTMT INC	6/25/2009	12/5/2008	862.88	553.55	309.33	862.88		553.55			309.33
	MARVEL ENTMT INC	6/25/2009	11/3/2009	990.96	777.89	213.07	990.96		777.89			213.07
	SYBASE INC	6/23/2009	12/5/2008	703.27	550.12	153.15	703.27		550.12			153.15

BROKER ACCOUNT	DESCRIPTION	DATE SOLD	DATE ACQUIRED	PROCEEDS	BASIS	GAIN/(LOSS)	SHORT-TERM PROCEEDS	LONG-TERM PROCEEDS	SHORT-TERM BASIS	LONG-TERM BASIS	LONG-TERM	SHORT-TERM
	TESSERA TECHNOLOGIES	8/26/2009	5/1/2009	1,867.60	580.03	277.77	1,867.60		580.03			277.77
	UMB FINANCIAL CORP	5/26/2009	12/5/2008	471.48	471.48		1,841.63		664.39			377.24
	UMB FINANCIAL CORP	5/26/2009	12/5/2008	507.67	571.87	(64.20)	1,841.63		664.39			(60.02)
	VISTAPRINT LTD	2/4/2009	2/4/2009	1,192.20	1,192.20		507.67		571.87			97.35
	WALTER INV MGMT CORP	8/12/2009	2/5/2009	1,733.44	662.17	771.27	216.67		119.29			771.27
	WORLD FUEL SERVICES CORP	8/12/2009	3/5/2009	1,108.97	478.48	630.49	1,733.44		662.17			687.49
	WATSON WYATT WORLDWIDE	8/12/2009	12/5/2008	664.73	440.30	224.43	1,108.97		478.48			224.43
	WATSON WYATT WORLDWIDE	8/12/2009	12/5/2008	1,238.59	1,237.12	(1.47)	664.73		440.30			(0.53)
	MYRANO PHARMACEUTICALS	7/1/2009	11/13/2009	483.73	542.28	(58.55)	1,238.59		1,237.12			(78.55)
	ALEXION PHARMS INC	7/1/2009	12/5/2008	1,309.37	38.58	1,270.79	483.73		542.28			38.58
	ATHEROS COMMUNICATNS	7/1/2009	11/13/2009	373.83	1,119.65	(745.82)	38.58		119.65			188.72
	COMPASS MINERALS INTL	7/14/2009	12/5/2008	776.00	372.60	403.40	1,309.37		372.60			1.23
	COMPASS MINERALS INTL	7/14/2009	12/5/2008	667.67	399.30	268.37	776.00		399.30			268.37
	CHEMED CORP	7/16/2009	11/13/2009	582.01	735.53	(153.52)	667.67		399.30			735.53
	IPC HOLDINGS LTD	7/22/2009	4/8/2009	553.89	607.44	(54.55)	582.01		722.52			(140.51)
	IPC HOLDINGS LTD	7/22/2009	4/8/2009	558.13	756.54	(198.41)	553.89		722.52			(198.41)
	IPC HOLDINGS LTD	7/22/2009	4/8/2009	502.33	560.40	(58.07)	558.13		722.52			(58.07)
	IPC HOLDINGS LTD	7/22/2009	4/8/2009	932.25	1,121.00	(188.75)	502.33		722.52			(188.75)
	IPC HOLDINGS LTD	7/22/2009	4/8/2009	1,181.39	1,181.39		932.25		1,121.00			(93.39)
	NETLIX COM INC	7/22/2009	3/25/2009	534.01	520.12	13.89	1,181.39		1,121.00			(107.14)
	ONYX PHARMACEUTICALS	7/22/2009	11/13/2009	890.03	808.67	81.36	534.01		520.12			81.36
	ONYX PHARMACEUTICALS	7/22/2009	11/13/2009	1,160.32	979.26	181.06	890.03		808.67			181.06
	ONYX PHARMACEUTICALS	7/22/2009	11/13/2009	305.35	288.15	17.20	1,160.32		979.26			17.20
	RALCORP HLDGS INC	7/22/2009	3/13/2009	465.51	262.84	202.67	305.35		288.15			202.67
	SYMPATICS INC	7/22/2009	3/13/2009	1,097.46	586.30	511.16	465.51		262.84			511.16
	SYMPATICS INC	7/22/2009	3/13/2009	1,317.67	1,437.53	(119.86)	1,097.46		586.30			(139.45)
	SYMPATICS INC	7/22/2009	3/13/2009	953.41	837.20	116.21	1,317.67		1,437.53			116.21
	TRIUMPH GROUP INC	7/22/2009	11/13/2009	369.71	387.71	(18.00)	953.41		837.20			(18.00)
	UNITED THERAPEUTICS CORP	7/22/2009	11/13/2009	767.78	648.99	118.79	369.71		387.71			118.79
	WEBSENSE INC	7/22/2009	12/5/2008	661.55	718.08	(56.53)	767.78		648.99			(56.53)
	WEBSENSE INC	7/22/2009	12/5/2008	192.35	195.65	(3.30)	661.55		718.08			(3.30)
	WEBSENSE INC	7/22/2009	12/5/2008	480.88	424.50	56.38	192.35		195.65			56.38
	WEBSENSE INC	7/22/2009	12/5/2008	650.74	661.84	(11.10)	480.88		424.50			(11.10)
	AUXILIUM PHARMACEUTICALS	8/10/2009	12/5/2008	1,112.12	964.25	147.87	650.74		661.84			147.87
	AUXILIUM PHARMACEUTICALS	8/10/2009	12/5/2008	71.11	73.46	(2.35)	1,112.12		964.25			(2.35)
	BLUE COAT SYS INC	8/10/2009	5/19/2009	633.29	726.90	(93.61)	71.11		73.46			(2.35)
	CEC ENTMT INC	8/10/2009	4/6/2009	1,215.97	1,355.08	(139.11)	633.29		726.90			(139.11)
	INTERDIGITAL INC	8/17/2009	7/15/2009	404.83	332.86	71.97	1,215.97		1,355.08			71.97
	MASTECH INC	8/17/2009	4/14/2009	979.99	1,355.73	(375.74)	404.83		332.86			(375.74)
	MICROSEM CORP	8/17/2009	2/20/2009	1,812.25	1,438.15	374.10	979.99		1,355.73			374.10
	NET11 UEPS TECH INC	8/17/2009	2/20/2009	718.07	620.11	97.96	1,812.25		1,438.15			97.96
	PEROT SYSTEMS	8/17/2009	12/5/2008	926.24	670.45	255.79	718.07		620.11			255.79
	PEROT SYSTEMS	8/17/2009	12/5/2008	1,211.90	1,188.94	22.96	926.24		670.45			22.96
	PEROT SYSTEMS	8/17/2009	12/5/2008	845.81	51.86	793.95	1,211.90		1,188.94			793.95
	PEROT SYSTEMS	8/17/2009	12/5/2008	1,181.82	1,459.85	(278.03)	845.81		51.86			(278.03)
	PHASE FORWARD	8/17/2009	3/13/2009	912.68	961.81	(49.13)	1,181.82		1,459.85			(49.13)
	SYMPATICS INC	7/31/2009	3/13/2009	944.53	927.67	16.86	912.68		961.81			16.86
	STARENT NETWORKS CORP	8/7/2009	12/5/2008	629.21	261.97	367.24	944.53		927.67			367.24
	STARENT NETWORKS CORP	8/7/2009	12/5/2008	1,213.48	670.99	542.49	629.21		261.97			542.49
	STIFEL FINANCIAL CORP	8/14/2009	4/13/2009	269.70	235.83	33.87	1,213.48		670.99			33.87
	TRANSDIGM GROUP INC	8/4/2009	2/6/2009	1,573.44	1,362.84	210.60	269.70		235.83			210.60
	TRANSDIGM GROUP INC	8/4/2009	2/6/2009	449.56	324.75	124.81	1,573.44		1,362.84			124.81
	WALTER ENERGY INC	8/3/2009	3/5/2009	511.56	145.26	366.30	449.56		324.75			366.30
				148,367.40	152,165.28	(3,797.88)	511.56		145.26			(3,797.88)
				14,326,089.61	11,006,075.43	3,320,014.18						
						8,027,065.53		5,299,083.08	8,585,187.43	2,494,888.00		
												2,804,195.08
												\$7,818.10

WE'VE MOVED

Please note that our address has changed as of 08/01/09

**SIMKINS INDUSTRIES, INC.
CORPORATE OFFICES**

**317 Foxon Road, Suite 3A
East Haven, CT. 06513**

Phone & Fax remain the same

**(203) 787-7171 Phone
(203) 787-7402 Fax**

Please update your records