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DLN: 93493196005030

Form **990**

Department of the Treasury Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047 2008

Open to Public Inspection

		applicable	Please	C Name of organization	and ending 00-31-200))	D Employer ide	ntification number	
☐ Add			use IRS	American Bar Association			36-072315		
┌ _{Nar}	ne cha	ange	label or print or	Doing Business As			E Telephone nu	mber	
┌ Inıt	ıal retu	ırn	type. See Specific	Number and street (or P O box if mai	l is not delivered to street addr	ess) Room/suite	(312) 988-5		
┌ Ter	mınatı	on	Instruc- tions.	321 N Clark Street	n io niot donvered to street dad.	ossy i troom, saite	G Gross receipt	s \$ 149,550,502	
┌ Am	ended	return		City or town, state or country, and ZIF	P + 4				
┌ App	olicatio	n pending		CHICAGO, IL 606547598					
			F Nan	ne and address of Principal Office	r	H(a) Is the	s a group return	for	
				ives Executive Director Clark Street		affilia		⊤Yes ▼ No	
				o,IL 606547598		H(b) Are all	affiliates include	d? Fyes Fno	
I Ta	x-exen	npt status	√ 501(c)) (6) ◀ (ınsert no)	□ 527	` '		See instructions)	
y W	eb sit	te: ► www	v abanet or	-g			p Exemption Nui		
<u>к</u> тур	e of or	ganızatıon	▽ Corporat	cion		L Year of Fo	mation 1878 M	State of legal domicile IL	
		•							
Pa	rt I								
	1			e organization's mission or most s he members of the legal profession		ling liberty and	delivering justi	ce as the national	
Governance				the legal profession	n and the public by delene	ing liberty and	delivering justi	ce as the national	
ĭell Kell	2	Checkt	hıs box 🗀	if the organization discontinued it:	s operations or disposed	of more than 2	5% of its assets	;	
ŝ	3		,	members of the governing body (Pa				37	
2 6	4	Number	ofindepen	ndent voting members of the gover	ning body (Part VI, line 1	b)	. 4 _	35	
Activities &	5	Total nu	ımber of en	nployees (Part V, line 2a)			5 _	1,228	
3	6	Total nu	ımber of vo	olunteers (estimate if necessary)			6 _	8,500	
4	7a	Total gr	oss unrela	ted business revenue from Part V	III, line 12, column (C)		7a _	4,104,902	
	ь	Net unre	elated busi	ness taxable income from Form 9	90-T, line 34		7b	-18,124	
						Prio	r Year	Current Year	
	8	Contril	butions and	d grants (Part VIII, line 1h)			6,760,284	6,287,572	
П	9	Progra	m service	revenue (Part VIII, line 2g) .			130,740,023	123,963,499	
Revenue	10	Invest	ment incor	me (Part VIII, column (A), lines 3	, 4 , and 7 d)		7,006,830	9,395,121	
Ť	11	Other	revenue (P	art VIII, column (A), lines 5, 6d,	8c, 9c, 10c, and 11e)		9,645,919	8,846,068	
	12		evenue—a	dd lines 8 through 11 (must equal	Part VIII, column (A), lır		154,153,056	148,492,260	
	13	12) Grants	and simila	ar amounts paid (Part IX, column ((A.) lines 1 = 3)		7,370,517	1,751,574	
	14			or for members (Part IX, column (A			0	1,731,374	
	15			ompensation, employee benefits (F		5-			
\$		10)	.5, 011101 00	ompensation, employee believes (are 1x, column (xy, mes		58,860,687	61,477,433	
Expenses	16a	Profes	sıonal fund	lraısıng fees (Part IX, column (A),	line 11e)		0	0	
.ਜ਼ੌ	ь	(Total fu	ındraısıng ex	penses, Part IX, column (D), line 25 0)				
_	17	Other	expenses ((Part IX, column (A), lines 11a–1:	1d, 11f-24f)		80,764,832	74,976,194	
	18	Totale	xpenses—	add lines 13–17 (must equal Part	t IX, line 25, column (A))		146,996,036	138,205,201	
	19	Reveni	ue less exp	penses Subtract line 18 from line	12		7,157,020	10,287,059	
Not Assets or Fund Balances						Beginni	ng of Year	End of Year	
Set	20	Totala	ssets (Par	rt X, line 16)			244,507,192	225,323,212	
t As	21	Totall	ıabılıtıes (F	Part X, line 26)			115,131,158	137,132,403	
\$ E	22	Netas	sets or fun	nd balances Subtract line 21 from	line 20		129,376,034	88,190,809	
Pai	t II	Sign	ature Blo	ock			•		
Plea	se		ef, it is true,	erjury, I declare that I have examined this correct, and complete Declaration of prep		ed on all informat I			
Sign Here	1	Signa	ature of office			Date	23 23		
			y White Exec	cutive Director ne and title					
		<u> </u>	<u>.</u>		Date	Check If	Preparer's PTIN (See Gen Inst \	
Daid		Preparer' signature			Duce	self-	T Topalet 5 PTIN (occ och mac)	
Paid Prepa	aror's		<u> </u>	A Crown Harmath U.S.		empolyed 🕨 🦳			
Use (ıf self-en	nme (or yours nployed),)	EIN 🕨	EIN Þ			
J 36 (y	address,	and ZIP + 4	70 WEST MADISON STREET SUITE 7	00		Phono no h /o	13) 900-7000	
				CHICAGO, IL 606024903			Phone no 🕨 (3)		
May t	he IR	RS discus	s this retu	rn with the preparer shown above?	' (See instructions)			✓ Yes No	

Form 990 (2008) Part III Statement of Program Service Accomplishments (See the instructions.)

1	Briefly describe the organization's mission To serve equally the members of the legal profession and the public by defending liberty and delivering justice as the national repre	sentative of the legal profession
2	Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?	└ Yes └ No
3	Did the organization cease conducting or make significant changes in how it conducts any program services?	┌ Yes ┌ No
4	If "Yes," describe these changes on Schedule O Describe the exempt purpose achievements for each of the organization's three largest program services b Section 501(c)(3) and (4) organizations and 4947(a)(1) trusts are required to report the amount of grants others, the total expenses, and revenue, if any, for each program service reported	
4a	(Code) (Expenses \$ including grants of \$) (Revenue \$ ABA Sections, Divisions, Forums The American Bar Association is the largest voluntary professional association in the world. Through demonstrated an ability to anticipate the needs of the legal profession and to respond to the needs of a changing society. The AB national organization to the analysis and development of policy on issues that are of concern to both the profession and the public through the entities of the Association, primarily Sections, Divisions, and Forums The ABA provides lawyers unparalleled opportuning service through numerous groups dedicated to specific areas of law. The Association currently has 23 sections, which range in size more than 60,000, 15 Divisions, and 5 Forums. All of these groups draw their membership from lawyers or judges with common include the following legal areas. Administrative law and regulatory practice, antitrust, business, criminal justice, dispute resolution resources, family law, general practice, solo & small firm, government and public sector law, health law, individual rights and resilier international law, labor and employment, law practice management, legal education, litigation, public contract law, public utility, transportation law, science and technology law, tort and insurance practice, tax, and state and local government law Divisions in their staff, senior lawyers, law students, public education, and bar services. The Division for Public Service has committees that for electoral process, support for the Law Library of Congress, bioethics, national security, mental and physical disability law, and environment and process, support for the Law Library of Congress, bioethics, national security, mental and physical disability law, and environment and sports law, the construction industry, and affordable housing Sections, Divisions and Forums within the ABA provide members myraid opportunities for professional development, leadership de contributions, and education within their own particular fields of	A brings the resources of a large to Much of this work is carried out ities for professional growth and from about 3,600 members to professional interests Sections in environment, energy and ponsibilities, intellectual property, communications and include young lawyers, judges and cus on the improvement of the ironmental law, the Public Service ance abuse. The Division for Public Forums explore new areas of grand community development velopment, networking, pro bono olicy-making on issues of its, and act as checks and balances.
4b	(Code) (Expenses \$ including grants of \$) (Revenue \$ The ABA provided over 400 separate continuing legal education (CLE) programs to over 46,000 lawyers in this fiscal year. These prostructes at multiple locations, teleconferences, video conferences, educational programs at meetings of ABA sections and other e on-demand technologies, such as MP3 downloads and webcasts. By ensuring the continuing education of the nation's lawyers, the system of justice and professionalism, as well as protecting clients, the public and the courts.	ntities, and new products involving
4c	(Code) (Expenses \$ including grants of \$) (Revenue \$ Legal Publishing ABA publishes and distributes legal content both as a member benefit and for sale to members and non-member more than 130 member-benefit magazines, newsletters, journals, and electronic newsletters that address a wide variety of legal distributed to 415,000 members, and to a variety of law school and law firm libraries Legal periodicals published by the ABA inclusions and offered substantive legal expertise in every legal discipline, information on legal ethics and professionalism, and career	topics. These periodicals were ded more than 250 individual guidance on the practice of law
	and related disciplines. The ABA also publishes both print and electronic versions of the ABA Journal, which is provided free to 415, numerous libraries interested in legal topics. ABA also published 125 books that were sold or distributed to more than 85,000 custor guidance and counsel in every legal discipline and every aspect of a legal career. Many of these excellent books would not have I publisher because their revenue potential is not great enough for a commercial model. However, these publications are of great vital information to keep lawyers up-to-date on developments in the law. Thus ABA publications serve clients, the public and the dand professionalism of the nations lawyers. Because ABAs mission is to serve the profession, many disciplines and authors are ablinformation that would not otherwise be available to the profession.	omers These books offered been published by a commercial alue to the profession, providing courts by improving the education
4d	Other program services (Describe in Schedule O) (Expenses \$ including grants of \$) (Revenue \$)
4e	Total program service expenses \$ Must equal Part IX, Line 25, column (B).	

Form **990** (2008)

Part IV	Checklist of	Required	Schedules
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			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1		No
2	Is the organization required to complete Schedule B, Schedule of Contributors? 💆	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3		No
4	Section 501(c)(3) organizations Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II	4		
5	Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? If "Yes," complete Schedule C, Part III	5	Yes	
6	Did the organization maintain any donor advised funds or any accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I.	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," complete Schedule D, Part II.	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8		No
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9		No
	· · · · · · · · · · · · · · · · · · ·			<u> </u>
10 11	Did the organization hold assets in term, permanent,or quasi-endowments? If "Yes," complete Schedule D, Part V Did the organization report an amount in Part X, lines 10, 12, 13, 15, or 25? If "Yes," complete Schedule D,	10	Yes	
	Parts VI, VII, VIII, IX, or X as applicable	11	Yes	
12	Did the organization receive an audited financial statement for the year for which it is completing this return that was prepared in accordance with GAAP? If "Yes," complete Schedule D, Parts XI, XII, and XIII .	12		No
13	Is the organization a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		No
14a	Did the organization maintain an office, employees, or agents outside of the U S ?	14a		No
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the U S ? If "Yes," complete Schedule F, Part I	14b		No
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Part II	15		No
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Part III</i>	16		Νο
17	Did the organization report more than \$15,000 on Part IX, column (A), line 11e? <i>If</i> "Yes," complete Schedule G, Part I	17		No
18	Did the organization report more than \$15,000 total on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18		Νο
19	Did the organization report more than \$15,000 on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19		No
20	Did the organization operate one or more hospitals? If "Yes," complete Schedule H	20		Νo
21	Did the organization report more than \$5,000 on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21	Yes	
22	Did the organization report more than \$5,000 on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5? If "Yes," complete Schedule J	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer questions 24b-24d and complete Schedule K. If "No," go to question 25	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section $501(c)(3)$ and $501(c)(4)$ organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	-		
b	Did the organization become aware that it had engaged in an excess benefit transaction with a disqualified person from a prior year? If "Yes," complete Schedule L, Part I	25b		
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, or substantial contributor, or to a person related to such an individual? If "Yes," complete Schedule L, Part III	27		No

Part VI . . .

Part IV Checklist of Required Schedules (Continued)

			Yes	No
28	During the tax year, did any person who is a current or former officer, director, trustee, or key employee			
а	Have a direct business relationship with the organization (other than as an officer, director, trustee, or employee), or an indirect business relationship through ownership of more than 35% in another entity (individually or collectively with other person(s) listed in Part VII, Section A)? If "Yes," complete Schedule L, Part			
		28a		No
Ь	Have a family member who had a direct or indirect business relationship with the organization? If "Yes," complete Schedule L, Part IV	28b		No
c	Serve as an officer, director, trustee, key employee, partner, or member of an entity (or a shareholder of a professional corporation) doing business with the organization? If "Yes," complete Schedule L, Part IV.	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		Νο
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If</i> "Yes," complete Schedule N, Part II	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations section 301 7701-2 and 301 7701-3? If "Yes," complete Schedule R, Part I	33		Νο
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1	34	Yes	
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35	Yes	
36	501(c)(3) organizations Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2	36		
37	Did the organization conduct more than 5 percent of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R,	37		No

Pa	rt V Statements Regarding Other IRS Filings and Tax Complian	ce				
					Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal					
	of U.S. Information Returns. Enter -0- if not applicable					
		1a	1,381			
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments	to ven	dors and reportable			
	gaming (gambling) winnings to prize winners?	; ·		1c	Yes	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements filed for the calendar year ending with or within the year covered by this return	2a	1,228			
h	If at least one is reported in 2a, did the organization file all required federal employi		·			
	Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file thi			2b	Yes	
3a	Did the organization have unrelated business gross income of \$1,000 or more during return?	ng the	year covered by this	3a	Yes	
ь	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Sch	nedule	0	3b	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a sover, a financial account in a foreign country (such as a bank account, securities account)?			4a		No
ь	If "Yes," enter the name of the foreign country					
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 , <i>Ri Financial Accounts</i> .	eport o	f Foreign Bank and			
5a	Was the organization a party to a prohibited tax shelter transaction at any time duri	ing the	tax year?	5a		Νο
Ь	Did any taxable party notify the organization that it was or is a party to a prohibited	tax sł	nelter transaction?	5b		Νο
c	If "Yes," to 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exemp	ot Entit	y Regarding Prohibited	5c		
6a	Did the organization solicit any contributions that were not tax deductible?	•		6a	Yes	
	If "Yes," did the organization include with every solicitation an express statement t				103	
	were not tax deductible?		· · · · · ·	6b	Yes	
7	Organizations that may receive deductible contributions under section 170(c).					
а	Did the organization provide goods or services in exchange for any quid pro quo cormore?	ntrıbut	ion of \$75 or	7a		
ь	If "Yes," did the organization notify the donor of the value of the goods or services p	rovide	d?	7b		
С	Did the organization sell, exchange, or otherwise dispose of tangible personal prope	rty for	which it was required to			
	file Form 8282?			7c		
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization, during the year, receive any funds, directly or indirectly, to pa benefit contract?	y prem	niums on a personal	7e		
f	Did the organization, during the year, pay premiums, directly or indirectly, on a pers	onal b	enefit contract?	7f		
g	For all contributions of qualified intellectual property, did the organization file Form			7g		
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization		•			
8	required?			7h		
	supporting organizations. Did the supporting organization, or a fund maintained by a excess business holdings at any time during the year?			8		
9	Section $501(c)(3)$ and other sponsoring organizations maintaining donor advised funds.					
а	Did the organization make any taxable distributions under section 4966?			9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person	n? .		9b		
10	Section 501(c)(7) organizations. Enter					
а	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	Section 501(c)(12) organizations Enter					
а	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b				
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 i	n lieu d	of Form 1041?	12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				

Part VI Governance, Management, and Disclosure (Sections A, B, and C request information about policies not required by the Internal Revenue Code.)

Section A. Governing Body and Manag	gement
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					res	NO			
	For each "Yes" response to lines 2-7 below, and for a "No" response to lines 8 or 9b below, describe the circumstances, processes, or changes in Schedule O. See instructions.								
1a	Enter the number of voting members of the governing body	37							
ь	Enter the number of voting members that are independent	1b	35						
2	Did any officer, director, trustee, or key employee have a family relationship or a bus other officer, director, trustee, or key employee?			2		Νo			
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?								
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?								
5	Did the organization become aware during the year of a material diversion of the organization	ion's assets?	5		Νo				
6	Does the organization have members or stockholders?	6	Yes						
7a	Does the organization have members, stockholders, or other persons who may elect governing body?	7a	Yes						
b	Are any decisions of the governing body subject to approval by members, stockhold	r other persons?	7b		Νo				
8	Did the organization contemporaneously document the meetings held or written actively ear by the following	ons ur	ndertaken during the						
а	the governing body?			8a	Yes				
ь	each committee with authority to act on behalf of the governing body?			8b	Yes				
9a	Does the organization have local chapters, branches, or affiliates?			9a		Νo			
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?								
10	Was a copy of the Form 990 provided to the organization's governing body before it was the describe in Schedule O the process, if any, the organization uses to review the	•	10	Yes					
11	Is there any officer, director or trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O								

Section B. Policies

			Yes	No
12a	Does the organization have a written conflict of interest policy? If "No", go to line 13	12a	Yes	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	Yes	
13	Does the organization have a written whistleblower policy?	13	Yes	
14	Does the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision			
а	The organization's CEO, Executive Director, or top management official?	15a	Yes	
b	Other officers or key employees of the organization?	15b		No
	Describe the process in Schedule O			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable Federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

- 17 List the States with which a copy of this Form 990 is required to be filed AR, CT, GA, IL, KY, ME, MA, MN, NH, NJ, NY, NC, OR, SC, UT
- Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c) (3)s only) available for public inspection. Indicate how you make these available. Check all that apply own website. I another's website.
- 19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public See Additional Data Table
- 20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization JACK RIVES EXECUTIVE DIRECTOR 321 N CLARK STREET

CHICAGO,IL 606547598 (312) 988-5000

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Section A Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Use Schedule J-2 if additional space is needed

Check this box if the organization did not compensate any officer, director, trustee or key employee

- * List all of the organization's **current** officers, directors, trustees (whether individuals or organizations) and key employees regardless of amount of compensation, and current key employees. Enter -0- in columns (D), (E), and (F) if no compensation was paid
- * List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- * List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- * List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

The check this box in the organization and i		(C) Position (check all that apply)							(E)	(F)
(A) Name and Title	(B) Average hours per week	Individual Trustee or Chrector		Reportable compensation from the organization (W-	compensation from related organizations (W- 2/1099-	Estimated amount of other compensation from the organization and related organizations				
							-			

Part VII Continued

		(C) Position (check all that apply)							(E)	(F)	
(A) Name and Title	(B) Average hours per week	Individual Trustee or Director	Institutional Trustee		Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099MISC)	Reportable compensation from related organizations (W- 2/1099- MISC)	Estimated amount of other compensation from the organization and related organizations	
							H				
1b Total							 	4,338,891	. 0	268,946	
2 Total number of individuals (including	those in 1	a) who r	ecer	ved	mor	e thar	n \$1	00,000 ın reportabl	e		

compensation from the organization▶74

			Yes	No			
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee						
	on line 1a? If "Yes," complete Schedule J for such individual	3	Yes				
4	For any individual listed online 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such						
	ındıvıdual	4	Yes				
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services						
	rendered to the organization? If "Yes," complete Schedule J for such person	5		Νo			

Section B. Independent Contractors

from the organization .

Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization

(A) Name and business address	(B) Description of services	(C) Compensation
Hayden Gregory 1728 S St NW WASHINGTON, DC 20009	government relations	133,237
2 Total number of independent contractors (including those in 1) who received more than \$	100,000 in compensation	1

Statement of Revenue

					(A) Total Revenue	(B) Related or Exempt Function Revenue	(C) Unrelated Business Revenue	(D) Revenue Excluded from Tax under IRC 512, 513, or 514
46.5	1a	Federated car	npaigns 1a					
ints Ints	b	Membership d	ues					
s, gra	с	Fundraising ev	1b vents 1c					
Other Revenue Contributions, gifts, grants and other similar amounts	d	Related organ	ızatıons .1d	5,000				
₹,Œ	e	Government grar	nts (contributions) 1e					
ution her s	f		tions, gifts, grants, and not included above	6,282,572				
# <u></u> = =	а	Noncash cont	1f ributions included in					
200			0					
	h	Total (Add lin	es 1a-1f)		6,287,572			
				Business Code				
ППE	2a	Meeting Fee			23,317,965	23,317,965	0	0
e Ke	b	Publication Rever	nue		12,736,682	12,736,682	0	0
ē. E	c	Advertising		511,120	3,953,720	0	3,953,720	0
Other Revenue Program Service Revenue and other similar amounts and ot	d	Membership Due	s		83,955,132	83,955,132	0	0
33	e							
(Iran)	f	All other prog	ram service revenue					
ዿ	g		es 2a-2f					
	3		come (including divi	dends, ınterest				
			amounts)	·	7,135,723	0	0	7,135,723
	4	Income from inve	estment of tax-exempt be	ond proceeds	0			
				•	0.622.047			0.622.017
	5	Royalties .			8,632,017	0	0	8,632,017
	63	Gross Pants	(ı) Real 62,869	(II) Personal				
	-		02,003					
		Fundraising e Related organ Government gra All other contribusimilar amounts Noncash continues 1a-1f \$ Total (Add line) Meeting Fee Publication Reversed Advertising Membership Due All other program Total. Add line \$ 123,963, Investment in other similar Income from inventer similar Income from inventer similar Income from inventer similar Gross Rents Less rental expenses Rental income or (loss) Net rental income or (loss) Net rental income events (not in \$ of contribution 1c) See Part Attach Schedu \$15,000 . Less directe on the income of the income of contribution of the income of contributi	62,869					
		or (loss)	ome or (loss)		62,869	0	0	62,869
				>				
	7a	Gross amount	(ı) Securities 3,317,640	(II) O ther				
	"	from sales of	_,,					
		than inventory	1 050 242					
	ь	other basis and	1,058,242					
	c	•	2,259,398					
	d	Net gain or (lo		.	2,259,398	0	0	2,259,398
	8a	Gross income	from fundraising					
an		\$ of contribution	ns reported on line					
even			le G ıf total exceeds					
ά			а					
hei			xpensesb		0			
ŏ	С	Net income or	(loss) from fundrais	ing events	0			
	9a	Gross income activities See Complete Sche exceeds \$15,00	e part IV , line 19 dule G if total					
			а					
			xpensesb	activities	0			
				► ►				
		returns and al	lowances .					
				inventory	0			
		Miscellaneou		Business Code				
	11a			900,004	151,182	0	151,182	o
	ь	- raineting ree	· •	· ·	·		,	
	c							
		A.D. (1)						
	d							
	e	rotal. Add line	es 11a-11G	 \$ 151,182				
	12		e. Add lines 1h, 2g, 3		148,492,260	120,009,779	4,104,902	18,090,007
			l1e	. ▶				

Part IX Statement of Functional Expenses

A	Section 501(c)(3) and 501(c)(4) orgall other organizations must complete column (A) but are not re).
Do ı	not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21	1,530,947			
2	Grants and other assistance to individuals in the U.S. See Part IV, line 22	212,000			
3	Grants and other assistance to governments, organizations and individuals outside the U.S. See Part IV, lines 15 and 16	8,627			
4	Benefits paid to or for members	0			
5	Compensation of current officers, directors, trustees, and key employees	4,253,196			
6	Compensation not included above, to disqualified persons (as defined under section $4958(f)(1)$) and persons described in section $4958(c)(3)(B)$	0			
7	Other salaries and wages	37,411,853			
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	1,968,348			
9	Other employee benefits	14,586,369			
10	Payroll taxes	3,257,667			
11	Fees for services (non-employees)				
а	Management	0			
ь	Legal	200,608			
c	Accounting	593,413			
d	Lobbying	1,395,563			
e	Professional fundraising See Part IV, line 17	0			
f	Investment management fees	0			
g	Other	4,912,307			
12	Advertising and promotion	14,015,695			
13	Office expenses	12,876,416			
14	Information technology	1,751,574			
15	Royalties	2,228,279			
16	Occupancy	8,337,000			
17	Travel	8,510,678			
18	Payments of travel or entertainment expenses for any Federal, state or local public officials	0			
19	Conferences, conventions and meetings	17,477,630			
20	Interest	127,736			
21	Payments to affiliates	0			
22	Depreciation, depletion, and amortization	2,159,917			
23 24	Insurance	361,679			
а	Sales Tax	27,699			
b		2.,533			
c					
d					
e					
f	All other expenses				
25	Total functional expenses. Add lines 1 through 24f	138,205,201			
26	Joint Costs. Check if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation	,,			

Part X	Balance	Sheet
PailA	Dalalice	Sileet

				(A) Beginning of year		(B End of	-
	1	Cash—non-interest-bearing		2,908,163	1		4,517,775
	2	Savings and temporary cash investments		33,980,817	2	2	3,215,652
	3	Pledges and grants receivable, net			3		
	4	Accounts receivable, net		4,581,538	4		4,289,647
	5	Receivables from current and former officers, directors, trustees, key employ other related parties Complete Part II of Schedule L	ees or		5		
	6	Receivables from other disqualified persons (as defined under section 4958 (persons described in section 4958 (c)(3)(B) Complete Part II of Schedule L .			6		
	7	Notes and loans receivable, net		19,634,773	7	1	6,509,140
	8	Inventories for sale or use		0	8		2,000,000
22	9	Prepaid expenses and deferred charges		3,172,567	9		2,477,935
Assets	10a	Land, buildings, and equipment cost basis	E0 071 7E0				
⋖		10a	58,871,759				
	Ь	Less accumulated depreciation Complete Part VI of Schedule D	41,249,362	19,181,115	10c	1	7,622,397
	11	Investments—publicly traded securities		160,919,941	11	14	8,825,677
	12	Investments—other securities See Part IV, line 11 Complete Part VII of Schedule D		· ,	12		<u>, , , , , , , , , , , , , , , , , , , </u>
	13	Investments—program-related See Part IV, line 11 Complete Part VIII of Schedule D.			13		
	14	Intangible assets			14		
	15	Other assets See Part IV, line 11 Complete Part IX of Schedule D		128,278	15		5,864,989
	16	Total assets. Add lines 1 through 15 (must equal line 34)		244,507,192	16	22	5,323,212
	17	Accounts payable and accrued expenses .		7,753,468	17		7,980,025
	18	Grants payable			18		
	19	Deferred revenue		50,540,734	19	4	8,404,396
	20	Tax-exempt bond liabilities			20		
es	21	Escrow account liability Complete Part IV of Schedule D			21		
Liabilities	22	Payable to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified					
Lia		persons Complete Part II of Schedule L			22		
	23	Secured mortgages and notes payable to unrelated third parties		18,729,485	23	1	5,905,021
	24	Unsecured notes and loans payable			24		
	25	Other liabilities Complete Part X of Schedule D		38,107,471	25	6	4,842,961
	26	Total liabilities. Add lines 17 through 25		115,131,158	26	13	7,132,403
ses		Organizations that follow SFAS 117, check here ▶					
ano	27	Unrestricted net assets		129,376,034	27	8	8,190,809
Balance	28	Temporarily restricted net assets			28		
74	29	Permanently restricted net assets			29		
r Fund		Organizations that do not follow SFAS 117, check here ► ☐ and complete lines 30 through 34.					
s or	30	Capital stock or trust principal, or current funds			30		
Assets	31	Paid-in or capital surplus, or land, building or equipment fund			31		
As	32	Retained earnings, endowment, accumulated income, or other funds			32		
Net	33	Total net assets or fund balances		129,376,034	33	8	8,190,809
z 	34	Total liabilities and net assets/fund balances		244,507,192	34	22	5,323,212
Pa	rt XI	Financial Statements and Reporting				Ves	No.

Dart YT	Financial	Statements	and Reporting

1	Accounting method used to prepare the Form 990		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a	Νo
b	Were the organization's financial statements audited by an independent accountant?	2b	Νο
c	If "Yes" to lines 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	Νo
b	If "Yes," did the organization undergo the required audit or audits?	3b	

OMB No 1545-0047

SCHEDULE C

(Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

Open to Public Inspection

To be completed by organizations described below. Attach to Form 990 or Form 990-EZ

If the organization answered "Yes," to Form 990, Part IV, Line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities)

- ◆ Section 501(c)(3) organizations complete Parts I-A and B Do not complete Part I-C
- ◆ Section 501(c) (other than section 501(c)(3)) organizations complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations complete Part I-A only

If the organization answered "Yes," to Form 990, Part IV, Line 4, or Form 990EZ, Part VI, line 47 (Lobbying Activities)

- ◆ Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) complete Part II-A Do not complete Part II-B

lf th	, ,, ,	it nave NOT flied Form 5768 (elections, "to Form 990, Part IV, Line 5 ((n)) Complete Part I-B Do n	ot complete Part II-A
N a	ame of the organization nerican Bar Association	zations complete rait iii			tification number
Pai		by all organizations exempee the instructions for Schedule		36-0723150 n 501(c) and section	527
1	Provide a description of the or	ganization's direct and indirect pol	ıtıcal campaıgn act	tivities in Part IV	
2	Political expenditures				\$
3	V olunteer hours				
Pai	rt I-B To be completed I for Schedule C for d	by all organizations exemp etails.)	t under section	n 501(c)(3). (See the	instructions
1	Enter the amount of any excis	e tax incurred by the organization u	ınder section 4955	5	\$
2	Enter the amount of any excis	e tax incurred by organization mana	agers under sectio	n 4955	\$
3	If the organization incurred in	a section 4955 tax, did it file Form	4720 for this year	٠٦	┌ Yes ┌ No
4a	Was a correction made?				┌ Yes ┌ No
ь					
Pai		by all organizations exemps for Schedule C for details.)	t under section	n 501(c), except sect	ion 501(c)(3).
1	Enter the amount directly exp	ended by the filing organization for	section 527 exemp	ot function activities	\$
2	Enter the amount of the filing of 527 exempt funtion activities	organızatıon's ınternal funds contrıb	outed to other orga	nızatıons for section	\$
3	Total of direct and indirect exe 1120-POL, line 17b	empt function expenditures Add lin	es 1 and 2 and ent	ter here and on Form	\$
4	Did the filing organization file	Form 1120-POL for this year?			┌ Yes
5	were made Enter the amount political contributions receive	nd Employer Identification Number paid and indicate if the amount was d and promptly and directly deliver action committee (PAC) If addition	paid from the filing ed to a separate po	g organization's own interna olitical organization, such a	l funds or were s a separate
	(a) Name	(b) Address	(c) EIN	(d) A mount paid from filing organization's internal funds If none, enter - 0-	(e) A mount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-
		1			

d Grassroots non-taxable amount

f Grassroots lobbying expenditures

e Grassroots ceiling amount (150% of line d, column (e))

P	Part II-A To be completed by organizations exempt under section 501(c)(3) that filed Form 5768 (election under section 501(h)). (See the instructions for Schedule C for details.)						768
	Check If the filing organization	belongs to an affili	ated group				
<u>B</u>	Check If the filing organization Limits on Lo (The term "expenditure	bbying Expend	litures—		oly	(a) Filing Organization's Totals	(b) Affiliated Group Totals
1a	Total lobbying expenditures to influe	nce public opinion	(grass roots lob	bying)			
ь	Total lobbying expenditures to influe	nce a legislative b	ody (direct lobby	yıng)			
c	Total lobbying expenditures (add line	es 1a and 1b)					
d	Other exempt purpose expenditures						
e	Total exempt purpose expenditures	(add lines 1c and 1	Ld)				
f	Lobbying nontaxable amount Enters columns— If the amount on line 1e, column (a) or (b) is: Not over \$500,000		taxable amount				
	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% o	of the excess over \$	500,000			
	Over \$1,000,000 but not over \$1,500,000 \$175,000 plus 10% of the excess over \$1,000,000						
	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of	f the excess over \$1	1,500,000			
	Over \$17,000,000	\$1,000,000					
	Grassroots nontaxable amount (ente	er 25% of line 1f)					
h	Subtract line 1g from line 1a Enter	0- ıflıne g ıs more	than line a				
i	Subtract line 1f from line 1c Enter-	0- ıflıne fıs more t	than line c				
j	If there is an amount other than zero section 4911 tax for this year?	Form 4720 rep	orting	┌ Yes ┌ No			
	(Some organizations tha columns below.		on 501(h) el	ection do not	: have to cor		he five
	Lobb	ying Expendit	ures During	4-Year Avera	ging Period		
	Calendar year (or fisca beginning in)	l year	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) Total
_2a	Lobbying non-taxable amount						
	Lobbying ceiling amount (150% of line 2a, column(e))						
	: Total lobbying expenditures						

Schedule C (Form 990 or 990-EZ) 2008

	5768 (election under s	ection 501(h)). (See the	instructions for Schedule C for d		•			
				(a	1)		(b)	
			ν	⁄es	No	Ar	noun	t
1	During the year, did the filing organization legislation, including any attempt to infloreferendum, through the use of		· · · · · · · · · · · · · · · · · · ·					
а	Volunteers?							
b	Paid staff or management (include comp	ensation in expenses reported	on lines c through i)?			1		
c	Media advertisements?							
d	Mailings to members, legislators, or the	public?						
е	Publications, or published or broadcast	statements?						
f	Grants to other organizations for lobbying	ng purposes?						
g	Direct contact with legislators, their sta	ffs, government officials, or a le	egislative body?					
h	Rallies, demonstrations, seminars, conv	entions, speeches, lectures, o	rany other means?					
i	Other activities If "Yes," describe in Pa	art IV						
j	Total lines 1c through							
2a	Did the activities in line 1 cause the org	janization to be not described i	n section 501(c)(3)?					
ь	If "Yes" enter the amount of any tax inc					•		
	If "Yes" enter the amount of any tax inc		s under section 4912					
	If the filing organization incurred a sect							
	t III-A To be completed by all	<u> </u>	-	tion	501(0	:)(5).	or	
		the instructions for Schedi			•			
1	Were substantially all (90% or more) du	ies received nondeductible by i	members?		Г	1	Yes	No No
2	Did the organization make only in-house	·				2		Νο
3	Did the organization agree to carryover				-		res	
Par	t IIII-B To be completed by all			tion	501(0	:)(5).	or	
	section 501(c)(6) if BO	TH Part III-A, questions	1 and 2 are answered "No" (ons for Schedule C for details.)					
1	Dues, assessments and similar amount	•	,		1 \$	8	3,95	5,13
2	Section 162(e) non-deductible lobbying expenses for which the section 527(f		not include amounts of political					
а	Current Year				2a \$		1,395	5,56
b	Carryover from last year				2b\$		-835	5,83
c	Total				2c \$		559	9,73
3	Aggregate amount reported in section 6	033(e)(1)(A) notices of nonde	ductible section 162(e) dues		3 \$		2,098	8,87
4	If notices were sent and the amount on does the organization agree to carryove expenditure next year?				4 \$			
5	Taxable amount of lobbying and politica	Leypenditures (line 2c total mi	nus 3 and 4)	<u> </u>	5 \$		1,539	9 14
	art IV Supplemental Informat		nus 5 unu +)		υ		1,55.	·, · ·
Со	mplete this part to provide the descriptions, complete this part for any additional in	ns required for Part I-A, line 1,	Part I-B, line 4, Part I-C, line 5, and P	art II	I-B, line	11		
IS	Identifier	Return Reference	Explanatio	on .				

Part IV Supplemental I	nformation	
Ident if ier	Return Reference	Explanation

Schedule C (Form 990 or 990EZ) 2008

OMB No 1545-0047

2008

Open to Public Inspection

SCHEDULE D

(Form 990)

Department of the Treasury
Internal Revenue Service

► Attach to Form 990. To be completed by organizations that

Supplemental Financial Statements

answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.

Employer identification number Name of the organization American Bar Association 36-0723150 Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6. (a) Donor advised funds (b) Funds and other accounts Total number at end of year Aggregate Contributions to (during year) 3 Aggregate Grants from (during year) Aggregate value at end of year Did the organization inform all donors and donor advisors in writing that the assets held in donor advised □ No funds are the organization's property, subject to the organization's exclusive legal control? Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor or other impermissible private benefit? Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7 Purpose(s) of conservation easements held by the organization (check all that apply) Preservation of land for public use (e.g., recreation or pleasure) Preservation of an historically importantly land area Preservation of certified historic structure Protection of natural habitat Preservation of open space Complete lines 2a-2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year Held at the End of the Year 2a Total number of conservation easements h 2b Total acreage restricted by conservation easements 2c c Number of conservation easements on a certified historic structure included in (a) 2d d Number of conservation easements included in (c) acquired after 8/17/06 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during 3 the taxable year 🕨 Number of states where property subject to conservation easement is located Does the organization have a written policy regarding the periodic monitoring, inspection, violations, and enforcement of the conservation easements it holds? Staff or volunteer hours devoted to monitoring, inspecting and enforcing easements during the year 🕨 7 A mount of expenses incurred in monitoring, inspecting, and enforcing easements during the year 🕨 \$ Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(ı) and 170(h)(4)(B)(ıı)? In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

- 1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items
- b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items
 - (i) Revenues included in Form 990, Part VIII, line 1

For Paperwork Reduction Act Notice, see the Intructions for Form 990

►\$

(ii) Assets included in Form 990, Part X

- \$

- If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items
- Revenues included in Form 990, Part VIII, line 1

- \$

Assets included in Form 990, Part X

Cat No 52283D

Schedule D (Form 990) 2008

Par	Organizations Maintaining Co	llections of Art, I	Histo	oric	<u>cal Treasu</u>	ires, or Othe	<u>r Similar Ass</u>	ets (co	ontinued)
3	Using the organization's accession and other items (check all that apply)	records, check any o	fthe	follo	owing that ar	e a sıgnıfıcant u	se of its collection	on	
а	Public exhibition		d [Loan or exc	hange programs			
b	Scholarly research		e [\Box	Other				
c	Preservation for future generations								
4	Provide a description of the organization's co	llections and explain	how t	:hey	further the o	organization's ex	empt purpose ın		
5	During the year, did the organization solicit of assets to be sold to raise funds rather than t							Yes	┌ No
Pai	t IV Trust, Escrow and Custodial A					nızatıon answ	ered "Yes" to F	orm 9	90,
	Part IV, line 9, or reported an am								
1a	Is the organization an agent, trustee, custod included on Form 990, Part X?		ary fo	rcc	ontributions	or other assets 1		Yes	┌ No
b	If "Yes," explain why in Part XIV and comple	te the following table							
							A mo	unt	
с	Beginning balance					1c			
d	Additions during the year					1d			
е	Distributions during the year					1e			
f	Ending balance					1f			
2a	Did the organization include an amount on Fo	orm 990, Part X, line 2	21?				Γ	Yes	☐ No
b	If "Yes," explain the arrangement in Part XIV								
Pa	Endowment Funds. Complete i	f the organization a	answ (b) Pi			Form 990, Par vo Years Back (d)		e) Four V	ears Back
1a	Beginning of year balance	7,879,895	(0)	1101 1	ear (c)	vo rears back [(u)	Tillee Tears back (eji our i	cars back
	Contributions	207,899							
c	Investment earnings or losses	-576,634							
d	Grants or scholarships	0							
e	Other expenditures for facilities	0							
	and programs								
f	Administrative expenses	210,320							
g	End of year balance	7,300,840							
2	Provide the estimated percentage of the year								
а	Board designated or quasi-endowment 🕨	1 355 %							
b	Permanent endowment ► 91 999 %								
c	Term endowment ► 6646 %								
3a	Are there endowment funds not in the posses organization by	ssion of the organizati	on the	at a	re held and a	dministered for	the	V	LNa
	(i) unrelated organizations		_	_			3a(i)	Yes	No No
	(ii) related organizations						3a(ii)		No
ь	If "Yes" to 3a(II), are the related organization						3b	Yes	İ
4	Describe in Part XIV the intended uses of the	e organization's endov	wmen	t fur	nds				
Pai	t VI Investments—Land, Buildings	, and Equipment	<u>. See</u>	e Fo	orm 990, P	art X, line 10.			
	Description of investment				Cost or other (investment)	(b)Cost or other basis (other)	(c) Depreciation	(d) Bo	ok value
1a	and				0	0			(
b	Buildings				0	0	0		(
c	_easehold improvements		. L		0	21,158,140	6,649,863	1	4,508,277
d	Equipment				0	37,517,592	34,599,499		2,958,093
e	Other				0	196,027	0		196,027

17,662,397

Part VII Investments—Other Securities. See	Form 990, Part X, line 1	2.	
(a) Description of security or cateory (including name of security)	(b) Book value		d of valuation year market value
Financial derivatives and other financial products			
Closely-held equity interests			
Other			
Total. (Column (b) should equal Form 990, Part X, col (B) line 12) ▶			
Part VIII Investments—Program Related. Se	e Form 990, Part X, line		
(a) Description of investment type	(b) Book value		d of valuation ·year market value
Total. (Column (b) should equal Form 990, Part X, col (B) line 13)			
Part IX Other Assets. See Form 990, Part X, II	ne 15.		
(a) Descri	ption		(b) Book value
Due from related parties			5,864,989
Total. (Column (b) should equal Form 990, Part X, col.(B) line	15.)		
Part X Other Liabilities. See Form 990, Part X	1		
(a) Description of Liability	(b) A mount		
Federal Income Taxes	6 730 003		
deferred rent abatement Pension Liability	6,729,992 45,390,854		
Other Liabilities	12,722,115		
Identities	12,722,113		
Total. (Column (b) should equal Form 990, Part X, col (B) line 25)	64,842,961		

-	lotal revenue (Form 990, Part VIII, column (A), line 12)		
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	
4	Net unrealized gains (losses) on investments	4	
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	
9	Total adjustments (net) Add lines 4 - 8	9	
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	
Par	XII Reconciliation of Revenue per Audited Financial Statements With Revenue p	er Re	turn
1	Total revenue, gains, and other support per audited financial statements	1	
2	A mounts included on line 1 but not on Form 990, Part VIII, line 12		
а	Net unrealized gains on investments		
b	Donated services and use of facilities		
c	Recoveries of prior year grants		
d	Other (Describe in Part XIV)		
е	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	
4	A mounts included on Form 990, Part VIII, line 12, but not on line 1		
а	Investment expenses not included on Form 990, Part VIII, line 7b . 4a		
Ь	Other (Describe in Part XIV)		
_ C	Add lines 4a and 4b	4c	
5 Dogs:	Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12)	5	
1	Reconciliation of Expenses per Audited Financial Statements With Expenses Total expenses and losses per audited financial statements	1	teturii
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities		
ь	Prior year adjustments	1	
с	Losses reported on Form 990, Part IX, line 25	1	
d	Other (Describe in Part XIV) 2d	1	
e	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
а	Investment expenses not included on Form 990, Part VIII, line 7b 4a]	
b	Other (Describe in Part XIV) 4b]	
c	Add lines 4a and 4b	4c	
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18)	5	_
	t XIV Supplemental Information		
Con	pplete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Pa	rt XIV,	lines 1b and 2b,

Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements

Ident if ier	Return Reference	Explanation
purpose of endowment funds	Schedule D, Part V, line 4	ABA uses the proceeds from the endowment funds to provide a predictable stream of funding for ABA programs
FIN 48 Footnote	Schedule D, Part X	In July 2006, the FASB issued FASB Interpretation No 48 (FIN 48), Accounting for Uncertainty in Income Taxes - an interpretation of FASB Statement No 109 This interpretation requires that realization of an uncertain income tax position must be more likely than not (i.e., greater than 50% likelihood of being realized) before it can be recognized in the consolidated financial statements. Furthermore, this interpretation prescribes the benefit to be recorded in the consolidated financial statements as the amount most likely to be realized assuming a review by tax authorities having all relevant information and applying current conventions. FIN 48 also clarifies the financial statement classification of tax-related penalties and interest and sets forth new disclosures regarding unrecognized tax benefits. The effective date for adopting FIN 48 was deferred for nonpublic entities until the annual reporting period beginning after December 15, 2008. Management does not expect the adoption of FIN 48 to have a material effect on the consolidated financial statements.

Schedule I (Form 990)

Grants and Other Assistance to Organizations, Governments and Individuals in the U.S.

OMB No 1545-0047

2008

DLN: 93493196005030

2000

Department of the Treasury Internal Revenue Service

Complete if the organization answered "Yes," on Form 990, Part IV, lines 21 or 22. Attach to Form 990.

Open to Public Inspection

Name of the organization						Employer identi	fication number
American Bar Association						36-0723150	
Part I General Inform	nation on Gran	ts and Assistance				<u>'</u>	
 Does the organization man the selection criteria used Describe in Part IV the or 	I to award the gran	ts or assistance?					
Form 990, Part I Part IV and Sche	V, line 21 for an edule I-1 if addit	y recipient that recei	ved more than \$5,00		es. Complete if the of no one recipient rec		
1(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)		(h) Purpose of grant or assistance
Public Justice Center1 North Charles St Ste 200 Baltimore, MD 21201	52-1412226	501(c)(3)	65,000	0	n/a	n/a	general support
nat'l conf of commissioner on uniform state law111 N Wabash A ve chicago, IL 60602	36-2307682	501(c)(3)	46,250	0	n/a	n/a	UNIFORM LAW
Prairie State Legal Services Inc350 S Schmale Rd Carol Stream, IL 60188	37-1030764	501(c)(3)	28,548	0	n/a	n/a	legal aıd
AIPLEF485 Kınderkamack Road Oradell, NJ 07649	54-2019670	501(c)(3)	17,500	0	n/a	n/a	
national institute for trial advocacy363 Centennial Pkwy Lousville, CO 80027	23-7302195	501(c)(3)	15,000	0	n/a	n/a	legal training
Legal Counsel for the Elderly 601 E Street NW Washington, DC 20049	52-1194741	501(c)(3)	14,260	0	N/A	N/A	GENERAL SUPPORT
UNIFORM LAW COMMISSION111 N WABASH AVENUE CHICAGO,IL 60602	36-3761561	501(C)(3)	10,000	0	N/A	N/A	UNIFORM LAW
UNITED NATIONS ASSOC OF THE USA Inc801 SECOND AVE NEW YORK, NY 10017	13-1323884	501(C)(3)	10,000	0	N/A	N/A	International Criminal Court
NATIONAL COLLEGE OF DISTRICT ATTORNEYS 1600 HAMPTON STREET COLUMBIA,SC 29223	74-1789386	501(C)(3)	6,900	0	N/A	N/A	
ABA Fund for Justice & Education321 N Clark Street Chicago, IL 60654	36-6110299	501(c)(3)	1,141,137	0	n/a	n/a	general support
2 Enter total number of sect	non 501(c)(3) and	government					10

Enter total number of other organizations

Part III	Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line	e 22.
	Use Schedule I-1 (Form 990) if additional space is needed.	

(a)Type of grant or assistance	(b) Number of recipients	(c)A mount of cash grant	(d)A mount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
Scholarships/A wards	111	212,000	0	n/a	n/a

Part IV Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information. See Additional Data Table

Ident if ier	Return Reference	Explanation
Procedures for Monitoring the use of grant funds		ABA has recipient monitoring procedures in place to ensure adequate control of funds that are awarded. These procedures include the review of grantee financial and narrative reports, periodic on-site monitoring of grantee programs and financial operation, review of cash management procedures to minimize the cash on hand by recipients, and the review and appropriate follow-up on recipient audits including any deficiencies noted. Grant recipients typically are selected in consultation with the funding agency. The majority of the scholarships are paid directly to the colleges and universities that the award winners are attending. The remaining awards are paid as stipends to under-represented law students pursuing unpaid clerkships with judges. The stipends for clerkships are taxable to the grantee.

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As Filed Data -

DLN: 93493196005030

OMB No 1545-0047

Schedule J (Form 990)

Department of the Treasury

Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

► Attach to Form 990. To be completed by organizations that answered "Yes" to Form 990, Part IV, line 23.

2008

Open to Public Inspection

Name of the organization
American Bar Association

Employer identification number

36-0723150

Рα	Questions Regarding Compensation			
			Yes	Νο
1a	Check the appropriate box(es) if the organization provided any of the following 990, Part VII, Section A, line 1a Complete Part III to provide any relevant is	·		
	▼ First class or charter travel	ce or residence for personal use		
	▼ Travel for companions	siness use of personal residence		
	▼ Tax idemnification and gross-up payments	club dues or initiation fees		
	☐ Discretionary spending account ☐ Personal service	s (e g , maid, chauffeur, chef)		
b	b If line 1a is checked, did the organization follow a written policy regarding pay provision of all the expenses described above? If "No," complete Part III to e			Νο
2	Did the organization require substantiation prior to reimbursing or allowing ex officers, directors, trustees, and the CEO/Executive Director, regarding the it	•	Yes	
3	Indicate which, if any, of the following the organization uses to establish the corganization's CEO/Executive Director Check all that apply	·		
	✓ Compensation committee ✓ Written employm			
	✓ Independent compensation consultant ✓ Compensation s			
	Form 990 of other organizations Approval by the	board or compensation committee		
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1	La		
а	a Receive a severance payment or change of control payment?	4a	Yes	
b	b Participate in, or receive payment from, a supplemental nonqualified retireme	nt plan? 4b	Yes	
c	c Participate in, or receive payment from, an equity-based compensation arrang	gement? 4c		Νο
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amou	unts for each item in Part III		
	501(c)(3) and 501(c)(4) organizations only must complete lines 5-8.			
5	For persons listed in form 990, Part VII, Section A, line 1a, did the organizati compensation contingent on the revenues of	on pay or accrue any		
а	a The organization?	5a		
ь	b Any related organization?	5b		
	If "Yes," to line 5a or 5b, describe in Part III			
6	For persons listed in form 990, Part VII, Section A, line 1a, did the organizati compensation contingent on the net earnings of	on pay or accrue any		
а	a The organization?	6a		
ь	b Any related organization?	6b		
	If "Yes," to line 6a or 6b, describe in Part III			
7	For persons listed in form 990, Part VII, Section A, line 1a, did the organizati payments not described in lines 5 and 6? If "Yes," describe in Part III	on provide any non-fixed 7		
8	Were any amounts reported in Form 990, Part VII, paid or accured pursuant t subject to the initial contract exception described in Regs section 53 4958- in Part III			

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(I)-(III) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name	(B) Breakdown of	f W-2 and/or 1099-MI	SC compensation	(C) Deferred	(D) Nontaxable	(E) Total of columns	(F) Compensation
	(i) Base compensation	(ii) Bonus & Incentive compensation	(iii) Other compensation	compensation	benefits	(B)(ı)-(D)	reported in prior Form 990 or Form 990-EZ
See Addıtıonal Data Table (i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8 Also complete this part for any additional information

Ident if ier	Return Reference	Explanation
First-class or charter travel/travel for companions	Schedule J, Part I, Line 1a	The president was provided first class & spousal travel for general business trips taken during the year First-class travel was not treated as taxable compensation due to the business nature of the trip Spousal travel was treated as taxable compensation
Tax indemnification and gross-up payments	Schedule J, Part I, Line 1a	The organization provided gross-up payments for spousal travel, annual medical exams for senior executives, and the SERP calculation and car allowance for the COO The gross-ups were treated as taxable compensation. Written Policy Regarding Payment of compensation in 1a Schedule J, Part I, Line 1b The organization has drafted a written policy regarding the payment, reimbursement or provision of all expenses described in Schedule J, Part I, Line 1a The policy is currently in review with management. The organization anticipates it to be implemented by August 31, 2010.
Severance or Change of Control Payment	Schedule J, Part I, Line 4a	The former CFO Janet Gibbs received a payment in the nature of severance pursuant to a written agreement
Supplemental nonqualified retirement plan payment	Schedule J, Part I, Line 4b	The COO was the recipient of a SERP contribution which was treated as taxable income and added to his W-2

Software ID: Software Version:

EIN: 36-0723150

Name: American Bar Association

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees							
(A) Name	(B) Breakdown o	f W-2 and/or 1099-MIS	C compensation	(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(ı)-(D)	(F) Compensation reported in prior Form
	(i) Base Compensation	incentive compensation	(iii) Other compensation	compensation	benefits	(5)(1) (5)	990 or Form 990-EZ
	(i) 493,767 (i) 0	40,000 0	46,695 0	7,890 0	12,319 0	600,671 0	0
) () ()	0	184,432 0	0	0	184,432 0	0
Robert Howell (1	289,492 i) 0	0	20,398 0	7,155 0	11,835	328,880 0	0
Hulett Askew (I	(i) 265,139 (i) 0	0	17,582 0	7,900 0	12,371 0	302,992 0	0
	253,403	0	22,331 0	3,372 0	11,194 0	290,300 0	0
	251,620 1) 0	0	374 0	5,249 0	11,891 0	269,134 0	0
Gordon Kerr ((221,837 i) 0	0	22,675 0	6,588 0	16,794 0	267,894 0	0
Marina Jacks (i	(i) 215,367 (i) 0	0	24,689 0	6,975 0	7,763 0	254,794 0	0
Diane Bruhl (i	174,161 1) 0	0	21,094 0	6,023 0	21,067	222,345 0	0
	181,128 1) 0	0	670 0	0	1,540	183,338 0	0
	157,702 1) 0	0	15,739 0	3,431 0	16,851 0	193,723 0	0
	199,347 1) 0	0	21,532 0	6,775 0	8,767 0	236,420 0	0
	135,774 1) 0	35,320 0	16,139 0	8,705 0	9,293	205,231	0
	157,394	200	15,574 0	2,812 0	22,080 0	198,060 0	0
Delores Gedge ((169,297	320 0	702 0	69 0	10,671 0	181,058 0	0
	145,650	500 0	21,421 0	4,880 0	3,142 0	175,593 0	0
Mary Cavallini ((206,002	0	16,931 0	3,924 0	8,849 0	235,706 0	0 0

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SCHEDULE 0 (Form 990)

Department of the Treasury Internal Revenue Service

Name of the organization American Bar Association

Supplemental Information to Form 990

DLN: 93493196005030 OMB No 1545-0047

Inspection

▶ Attach to Form 990. To be completed by organizations to provide additional information for responses to specific questions for the Form 990 or to provide any additional information.

Employer identification number

36-0723150

ldentifier	Return Reference	Explanation
Audited Financial Statements	Form 990, Part IV, Line 12 and Part XI, Line 2b-c	The organization's financial statements were audited in accordance with GAAP by an independent accountant on a consolidated basis. The organization also has a committee that assumes the responsibility for oversight of the audit of its financial statements and selection of an independent accountant. Classes of Members or Stockholders Form 990, Part VI, Section A, Line 6. The members of the Nominating Committee of the House of Delegates nominate the members of the Board of Governors, the elective offices of the Association (President, President-Elect, Chair of the House of Delegates, Secretary and Treasurer). Members who register for the Annual Meeting elect six members of the House of Delegates, the House elects the Board of Governors, the Chair of the House, and the Officers. Members may nominate Officers or Governors by presenting a nominating petition to the Nominating Committee with the number of member signatures specified in the by-law's for each elected position. Members may initiate a referendum to change or initiate ABA policy by filing a petition with the requisite number of signatures. The House by majority vote can direct a referendum on a question relating to the substance of the law, the administration of justice or the policy of the Association. In either case, the majority of members casting a ballot determine the result. All members may attend the meetings of the House of Delegates and may submit a Report and Recommendation for consideration by the House.

ldentifier	Return Reference	Explanation
Members or Stockholders electing members of governing body	Form 990, Part VI, Section A, Line 7a	The ABA House of Delegates elects the Board of Governors, the Officers, and the Chair of the House. The House is designed to be representative of the legal profession in the United States. Members of the Association who register for the Annual Meeting elect six Delegates—at-Large. ABA members of each state elect state. Delegates, ABA members who belong to state and qualifying local bar associations also elect Delegates, the number being dependent upon the number of ABA members and law yers in the respective state or local association. Association members of ABA sections, divisions, and judicial conferences elect section/division. Delegates. Each section has a minimum of two Delegates, with additional Delegates dependent on the number of members in each section. The U.S. Virgin Islands. Bar Association elects a Delegate, and the bar associations of Guam and the Commonw ealth of the Northern Mariana Islands share one elected rotating. Delegate. State, local and territorial bar associations elect. Delegates according to their own rules. Affiliated legal organizations, a certain percentage of whose membership must be members of the ABA, also elect. Delegates to the House according to their own rules. For purposes of election of the Board, the House is grouped into eighteen geographical Districts. Governors serve staggered three years terms, the House elects approximately one-third of the Board, and the President-elect at each Annual Meeting. The Board of Governors consists of one member from each of the 18 geographical Districts, six section members-at-large, one judicial member-at-large, two young law yer members-at-large, two women members-at-large, two minority members-at-large, and one non-voting law student member-at-large. The President, Chair of the House, President-Elect, immediate past-President, Secretary and Treasurer of the ABA are ex officio members of the Board of Governors. The House elects the Chair of the House for a two-year term in even-numbered years, every third year the House elects the Chair

	ldentifier	Return Reference	Explanation
ı	Review of Form 990 by Governing Body	Form 990, Part VI, Section A, Line 10	Prior to filing the return with the IRS the return is reviewed by the organization's management with its independent paid tax preparers and reviewed by the Audit Committee. The Final Form 990 is emailed to all members of the ABA Board prior to filing the return with the IRS, sufficiently in advance of the due date to allow Board members the opportunity to raise questions or concerns, if any

ldentifier	Return Reference	Explanation
Conflict of	Form 990. Part VI.	Staff assigned to the Board of Governors, distribute conflict of interest (COI) forms to Board members prior to each Board meeting and collect the completed COI forms. If a Board member discloses a conflict,
interest policy	l ' '	the Board member must recuse themselves from any matter involving the disclosed conflict, and the recusal is noted in the minutes

ldentifier	Return Reference	Explanation
Process used to establish compensation for top management official	Form 990, Part VI, Section B, Line 15a	The compensation committee of the board of governors reviewed comparability data and performance assessments of the COO and made a recommendation to the full board of governors which approved This process was last completed during 2009 for the 2008 tax year, and was documented in the compensation committee's minutes

ldentifier	Return Reference	Explanation
Process used to establish compensation for other officers/key employees	Form 990, Part VI, Section B, Line 15b	When other officer and key employee positions become vacant the compensation is reviewed by HR and the COO with review of comparables. In most cases, an independent recruiter is used to identify candidates and will include market data and comparability. This process was undertaken in 2009 for the CFO. The president receives \$100,000 in compensation and the president-elect receives \$50,000 in compensation. There has been a long-standing practice and written agreement that the compensation for the president and president-elect is paid directly to their respective law firms.

ldentifier	Return Reference	Explanation
document disclosure	Form 990, Part VI, Section C, Line 19	The ABA Constitution & By-Laws, the ABA Business Conduct Standards, and the audited financial statements are available upon request or on the ABA web site www abanet org

ldentifier	Return Reference	Explanation
Compensation of Officers, Directors, Trustees, Key Employees, Etc	Form 990, Part VII, Section A, Line 1a, Column (B)	All interested person listed on Part VII of the return devote time to the American Bar Association Fund for Justice and Education, a related tax-exempt entity. The following interested persons devoted time to the ABA Museum of Law, a related tax-exempt organization. Henry White Kenneth Widelka Janet Gibbs Carolyn B. Lamm The following interested persons devoted time to the James O. Broadhead Corporation, a related tax-exempt organization. Henry White Kenneth Widelka Janet Gibbs Carolyn B. Lamm H. Thomas Wells, Jr. Bernice Donald Alice Richmond The following interested persons devoted time to the American Bar Foundation, a related tax-exempt organization. H. Thomas Wells, Jr. Bernice Donald Alice Richmond William C. Hubbard Richard Pena.

<u>J</u>	l	
ldentifier	Return Reference	Explanation
Related Tax- Exempt Organizations	Schedule R, Part II	ABA CEELI is an illinois nonprofit corporation. It has registered to do business in a number of foreign countries and has been listed as the owner of bank accounts in several of these countries. ABA CEELI has the same board of directors as ABA FJE (a public charity affiliated with ABA). It has not U.S. staff. ABA CEELI has not applied for tax-exempt status and has not filed tax returns in the US because ABA CEELI is used only as a vehicle for registration abroad. ABA CEELI conducts no activities itself. All funds in the foreign bank accounts are held for use by FJE to conduct educational and other exempt activities. The foreign bank accounts do not generate revenue. FJE reports these accounts on its Form TD F.90-21.1. ABA has a good faith belief that ABA CEELI was not required to file as a taxable corporation. Since ABA CEELI's only "activity" has been to facilitate public service activities of FJE, ABA CEELI would qualify for exemption from tax as an organization described in section 501(c)(4). Such organizations are not required to apply to the IRS for recognition of tax-exempt status. Further, such organizations are not required to file Form 990 if they normally have gross receipts of \$25,000 or less. Because ABA CEELI does not receive income of \$25,000 annually, it would not be required to file Form 990 or Form 1120. Furthermore, for purposes of applying the \$25,000 test, FJE funds should not be considered because those funds were held by ABA CEELI as a nominee for FJE i.e., they were held exclusively for use in FJE programs. ABA plans to reorganize ABA CEELI as a single member LLC owned by ABA/FJE. This would allow it to operate as a separate entity while being treated as a program of FJE for federal tax purposes without any separate filing obligations.

DLN: 93493196005030

2008

OMB No 1545-0047

Open to Public Inspection

SCHEDULE R

Part I Identification of Disregarded Entities

Department of the Treasury

American Bar Association

(Form 990)

► Attach to Form 990. To be completed by organizations that answerd "Yes" to Form 990, Part IV, lines 33, 34, 35, 36, or 37.
 ► See separate instructions.

Related Organizations and Unrelated Partnerships

Internal Revenue Service

Name of the organization

Employer identification number

36-0723150

(A) Name, address, and EIN of disregarded entity	(B) Primary activity	(C) Legal domicile (state or foreign country)	(D) Total income	(E) End-of-year assets	(F) Direct controlling entity		
Part II Identification of Related Tax-Exempt Organizations							

Part 11 Identification of Related Tax-Exempt Organizations

Identification of Related Tax Exempt organizations						
(A) Name, address, and EIN of related organization	(B) Primary activity	(C) Legal domicile (state or foreign country)	(D) Exempt Code section	(E) Public charity status (if section 501(c)(3))	(F) Direct controlling entity	
American Bar Foundation						
321 N Clark Street Chicago, IL60654 36-6110271	Research	IL	501(c)(3)	7	na	
American Bar Endowment						
321 N Clark Street Chicago, IL60654 36-2384321	Grants	IL	501(c)(3)	7	na	
National Judicial College						
321 N Clark Street Chicago, IL60654 94-2427596	Education	NV	501(c)(3)	2	na	
ABA Musuem of Law						
321 N Clark Street Chicago, IL60654 36-4187570	Museum	IL	501(c)(3)	11a, Type 1	na	
ABA Fund for Justice and Education						
321 N Clark Street Chicago, IL60654 36-6110299	Public Serv	IL	501(c)(3)	11a, Type 1	na	
ABA Central European & Eurasian Law						
Initiative 321 N Clark Street Chicago, IL60654	Public Serv	IL			FJE	
The James O Broadhead Corporation						
321 N Clark Street Chicago, IL60654 52-1874598	Title Co	DC	501(c)(2)	n/a	na	
For Panerwork Reduction Act Notice, see the Instructions for Form 990		Cat No. 50135Y		G	chedule R (Form 990) 2008	

Part III Identification of Related Organizations Taxable as a Partnership

(A) Name, address, and EIN of related organization	(B) Primary activity		(C) Legal domicile (state or foreign country) (D) (D) (D) (D) (D)		(E) Predominant Income(related, Investment, Unrelated)	(F) Share of total incor	(G) Share of end-of- year assets	(H) Disproprtionate allocations?		Code V—UBI amount on Box 20 of K-1		(J) General o managing partner?	
								Yes	No	-		Yes	No
Part IV Identification of Re	elated Org	ganizations T							<u> </u>				
(A) Name, address, and EIN of related orga	nızatıon	(B) Primary activity	Lega (s	(C) I domicile tate or oreign untry)	(D) Direct controlling entity	(E) Type of entity (C corp, S corp, or trust)	(F) Share of total income		Sha end-c	G) ire of of-year sets	Perce	H) entage ership	
ABA Retirement Funds 321 N Clark Street Chicago, IL60654 36-2550367		benefit plans		IL	ABA	C Corp	1,675,51	.1		2,369,988	100 %	6	
ABA Retirement Funds 321 N Clark Street Chicago, IL60654	inization	Primary activity	(s	tate or oreign untry)	entity	(C corp, S corp, or trust)			end-d	of-year sets	owne	ership	

Yes No

Part V Transactions with Related Organizations

Note. Complete line 1 if any entity is	listed in Parts II, III or IV
--	-------------------------------

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

- a Receipt of (i) interest (ii) annuities (iii) royalties (iv) rent from a controlled entity
- **b** Gift, grant, or capital contribution to other organization(s)
- **c** Gift, grant, or capital contribution from other organization(s)
- Loans or loan guarantees to or for other organization(s)
- e Loans or loan guarantees by other organization(s)
- f Sale of assets to other organization(s)
- Purchase of assets from other organization(s)
- h Exchange of assets
- i Lease of facilities, equipment, or other assets to other organization(s)
- j Lease of facilities, equipment, or other assets from other organization(s)
- Performance of services or membership or fundraising solicitations for other organization(s)
- I Performance of services or membership or fundraising solicitations by other organization(s)
- m Sharing of facilities, equipment, mailing lists, or other assets
- n Sharing of paid employees
- Reimbursement paid to other organization for expenses
- Reimbursement paid by other organization for expenses
- Other transfer of cash or property to other organization(s)
- r Other transfer of cash or property from other organization(s)

າ If	the answer to any of the above is	"Yes " see th	a instructions for information on	who must complete this line	uncluding covered rela	tionships and transaction thresholds

	(A) Name of other organization(s)	(B) Transaction type(a-r)	(C) Amount Involved
(1)	American Bar Endowment	р	225,793
(2)	American Bar Foundation	р	144,642
(3)	ABA Retirement Funds	р	124,988
(4)	National Judicial College	р	52,662
(5)	Fund for Justice and Education of the ABA	b	1,141,137
(6)			

(B)

1a		No
1b	Yes	
1c		No
1d		No
1e		No
1f		No
1g		No
1h		No
1i	Yes	
1j		No
1k	Yes	
11		No
1m	Yes	
1n	Yes	
10		No
1р	Yes	
1q		No
1r		No

Part VI Unrelated Organizations Taxable as a Partnership

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships

									_	
(A) Name, address, and EIN of entity	(B) Primary activity	(C) Legal domicile (state or foreign country)	(D) Are all partners section 501(c)(3) organization	ection end-of-year allocations? amount on Bo 20 of K-1 anizations?		(G) Code V—UBI amount on Box 20 of K-1	(H) General or managing partner?			
			Yes	No		Yes	No		Yes	No
			•	•		•		Sabadula	D / Form	

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Software Version:

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Name: American Bar Association

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(A) Name, address, and EIN of related organization	(B) Primary Activity	(C) Legal Domicile (State or Foreign Country)	(D) Exempt Code section	(E) Public charity status (if 501(c)(3))	(F) Direct Controlling Entity
A merican Bar Foundation					na
321 N Clark Street Chicago, IL60654 36-6110271	Research	IL	501(c)(3)	7	
A merican Bar Endowment					
321 N Clark Street Chicago, IL60654 36-2384321	Grants	IL	501(c)(3)	7	na
National Judicial College					
321 N Clark Street Chicago, IL60654 94-2427596	Education	NV	501(c)(3)	2	na
ABA Musuem of Law					
321 N Clark Street Chicago, IL60654 36-4187570	Museum	IL	501(c)(3)	11a, Type 1	na
ABA Fund for Justice and Education					
321 N Clark Street Chicago, IL60654 36-6110299	Public Serv	IL	501(c)(3)	11a, Type 1	na
ABA Central European & Eurasıan Law					
Initiative 321 N Clark Street Chicago, IL60654	Public Serv	IL			FJE
The James O Broadhead Corporation					
321 N Clark Street Chicago, IL60654 52-1874598	Title Co	DC	501(c)(2)	n/a	na

Software ID: Software Version:

EIN: 36-0723150

Name: American Bar Association

Form 990, Part VII - Section Aaa

Form 990, Part VII - Section Aaa										
		Posit t	(C tion (hat a	chec		I			(E)	(F)
(A) Name and Title	(B) Average hours per week	Individual Trustee or Director	Institutional Trustee	Officei	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099MISC)	Reportable compensation from related organizations (W- 2/1099- MISC)	Estimated amount of other compensation from the organization and related organizations
H Thomas Wells Jr , Association President	20 0	X		X				100,000	0	0
Carolyn B Lamm , Association President-Elect	20 0	X		Х				50,000	0	0
William H Neukom , Immediate Past President	5 0	X		X				0	0	0
William C Hubbard , Chair, House of Delegates	5 0	×		х				0	0	0
Bernice B Donald , Secretary	5 0	Х		Х				0	0	0
Alice E Richmond , Treasurer	35 0	Х		Х				0	0	0
Stephen L Tober , Director	5 0	Х						0	0	0
W Anthony Jenkins , Director	5 0	Х						0	0	0
Wayne J Positan , Director	5 0	Х						0	0	0
Robert N Weiner , Director	5 0	X						0	0	0
Larry McDevitt , Director	5 0	Х						0	0	0
Howard H Vogel , Director	5 0	X						0	0	0
H Ritchey Hollenbaugh , Director	5 0	X						0	0	0
Richard Pena , Director	5 0	Х						0	0	0
David Ray Gienapp , Director	5 0	X						0	0	0
Don Bivens , Director	5 0	X						0	0	0
Craig Allen Orraj , Director	5 0	X						0	0	0
Katherine H O'Neil , Director	5 0	X						0	0	0
Laurie D Zelon , Director	5 0	Х						0	0	0
Bettina B Plevan , Director	5 0	Х						0	0	0
W Scott Welch III , Director	5 0	Х						0	0	0
William P Curran , Director	5 0	Х						0	0	0
Kathleen Joan Hopkins , Director	5 0	Х						0	0	0
Charles A Weiss , Director	5 0	Х						0	0	0
E Paul Herrington , Member-at-large	5 0	Х						0	0	0
Gary A Munneke , Member-at-large	5 0	X						0	0	0
Scott Francis Partidge , Member-at- large	5 0	×						0	0	0
John hardın Young , Member-at-large	5 0	X						0	0	0
Lee S Kolczum , Member-at-large	5 0	Х						0	0	0
Mitchell A Orpett , Member-at-large	5 0	Χ				<u> </u>		0	0	0

Form 990, Part VII - Section Aaa

Form 990, Part VII - Section Aaa	1										
		Posit t	(C tion (hat a	chec		I			(5)	(F)	
(A) Name and Title	(B) Average hours per week	Individual Trustee or Director	Institutional Trustee	Officei	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	Estimated amount of other compensation from the organization and related organizations	
Anthony Nolan Upshaw , Member-at- large	5 0	×						0	0	0	
Rıchard A Soden , Member-at-large	5 0	Х						0	0	0	
Paulette Brown , Member-at-large	5 0	Х						0	0	0	
Lauren Stiller Rikleen , Member-at-large	5 0	Х						0	0	0	
Matthew Lehmann Nelson , Member-at- large	5 0	×						0	0	0	
Jonathan W Wolfe , Member-at-large	5 0	Х						0	0	0	
Louraine C Arkfeld , Member-at-large	5 0	Х						0	0	0	
Henry White , Chief Operating Officer	50 0			Х				580,462	0	20,209	
Kenneth Widelka , Chief Financial Officer	40 0			Х				126,495	0	770	
Robert Howell , General Counsel	40 0				Х			309,890	0	18,990	
Hulett Askew , Consultant on Legal Education	40 0				х			282,721	0	20,271	
Sarına Butler , Assocate Executive Director	40 0				х			275,734	0	14,566	
Walter Boone , Director- ROLI	40 0				Х			251,994	0	17,140	
Gordon Kerr , Assoc Exec Director CIO	40 0				Х			244,512	0	23,382	
Marina Jacks , Assoc Executive Director	40 0				Х			240,056	0	14,738	
Diane Bruhl , Managing Director, Membership	40 0				х			195,255	0	27,090	
Thomas Susman , Director-GAO	40 0				Х			181,798	0	1,540	
Edward Adams , Senior Editor and publisher	40 0				х			173,441	0	20,282	
Mary Cavallini , Associate Executive Director	40 0				х			222,933	0	12,773	
Daniel Freehling , Deputy Consultant	40 0					Х		220,878	0	15,543	
Claudia Dumas , Dir World Justice Project	40 0					х		187,233	0	17,998	
Steven Austermiller , Resident Advisor	40 0					Х		173,168	0	24,892	
Delores Gedge , Director of Planning	40 0					Х		170,318	0	10,740	
Kay Geary , Controller	40 0					Х		167,571	0	8,022	
Janet Gibbs , Former Chief Financial Officer	0 0						×	184,432	0	0	