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2008

Open to Public Inspection

Form 990-EZ

Short Form Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

Sponsoring organizations of donor advised funds and controlling organizations as defined in section 512(b)(13) must file Form 990. All other organizations with gross receipts less than \$1,000,000 and total assets less than \$2,500,000 at the end of the year may use this form.

Department of the Treasury Internal Revenue Service

The organization may have to use a copy of this return to satisfy state reporting requirements.

Header section A through F: For the 2008 calendar year, or tax year beginning September 1, 2008, and ending August 31, 2009. Organization: Chase Alumni Association, 500 West 43rd Street, New York, NY 10036.

Sections G through L: Accounting method (Cash), Website (www.chasealum.org), Organization type (501(c)(7)), and other organizational details.

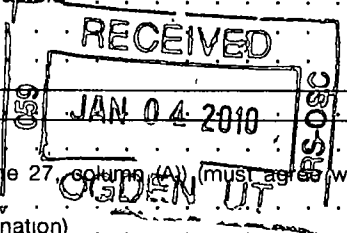
Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See the instructions for Part I.)

Table with 21 rows for Revenue (lines 1-9), Expenses (lines 10-17), and Net Assets (lines 18-21). Total revenue: 54855, Total expenses: 22443, Net assets at end of year: 100400.

Part II Balance Sheets. If Total assets on line 25, column (B) are \$2,500,000 or more, file Form 990 instead of Form 990-EZ

Table with 7 rows for Balance Sheets (lines 22-27). Total assets: 100400, Total liabilities: 0, Net assets or fund balances: 100400.

SCANNED JAN 3 2010



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**Part V Other Information** (Note the statement requirements in the instructions for Part VI.)

		Yes	No
<b>33</b>	Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity		✓
<b>34</b>	Were any changes made to the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes		✓
<b>35</b>	If the organization had income from business activities, such as those reported on lines 2, 6a, and 7a (among others), but not reported on Form 990-T, attach a statement explaining your reason for not reporting the income on Form 990-T.		
<b>a</b>	Did the organization have unrelated business gross income of \$1,000 or more or section 6033(e) notice, reporting, and proxy tax requirements?		✓
<b>b</b>	If "Yes," has it filed a tax return on Form 990-T for this year?		
<b>36</b>	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," complete applicable parts of Schedule N		✓
<b>37a</b>	Enter amount of political expenditures, direct or indirect, as described in the instructions ▶ <b>37a</b> 0		
<b>b</b>	Did the organization file Form 1120-POL for this year?		✓
<b>38a</b>	Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still unpaid at the start of the period covered by this return?		✓
<b>b</b>	If "Yes," complete Schedule L, Part II and enter the total amount involved <b>38b</b> 0		
<b>39</b>	Section 501(c)(7) organizations. Enter:		
<b>a</b>	Initiation fees and capital contributions included on line 9 <b>39a</b> 0		
<b>b</b>	Gross receipts, included on line 9, for public use of club facilities <b>39b</b> 0		
<b>40a</b>	Section 501(c)(3) organizations. Enter amount of tax imposed on the organization during the year under: section 4911 ▶ _____ ; section 4912 ▶ _____ ; section 4955 ▶ _____		
<b>b</b>	Section 501(c)(3) and (4) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," complete Schedule L, Part I		✓
<b>c</b>	Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ▶ _____		
<b>d</b>	Enter amount of tax on line 40c reimbursed by the organization ▶ _____		
<b>e</b>	All organizations At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T.		✓
<b>41</b>	List the states with which a copy of this return is filed. ▶ _____		
<b>42a</b>	The books are in care of ▶ <u>Otto Heldring</u> Telephone no. ▶ <u>(917) 519-2806</u> Located at ▶ <u>500 West 43rd Street, New York, NY</u> ZIP + 4 ▶ <u>10036</u>		
<b>b</b>	At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? If "Yes," enter the name of the foreign country: ▶ _____ See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.	<b>Yes</b>	<b>No</b>
<b>42b</b>			✓
<b>c</b>	At any time during the calendar year, did the organization maintain an office outside of the U.S ? If "Yes," enter the name of the foreign country: ▶ _____	<b>Yes</b>	<b>No</b>
<b>42c</b>			✓
<b>43</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the tax year . . . ▶ <b>43</b> <input type="checkbox"/>		
<b>44</b>	Did the organization maintain any donor advised funds? If "Yes," Form 990 must be completed instead of Form 990-EZ		✓
<b>45</b>	Is any related organization a controlled entity of the organization within the meaning of section 512(b)(13)? If "Yes," Form 990 must be completed instead of Form 990-EZ		✓

**Part VI Section 501(c)(3) organizations only.** All section 501(c)(3) organizations must answer questions 46-49 and complete the tables for lines 50 and 51.

- |   | Yes | No                                  |
|---|-----|-------------------------------------|
| 46 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I . . . . . | 46  | <input checked="" type="checkbox"/> |
| 47 Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II . . . . .   | 47  | <input checked="" type="checkbox"/> |
| 48 Is the organization operating a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E . . . . .   | 48  | <input checked="" type="checkbox"/> |
| 49a Did the organization make any transfers to an exempt non-charitable related organization? . . . . .   | 49a | <input checked="" type="checkbox"/> |
| b If "Yes," was the related organization(s) a section 527 organization? . . . . .   | 49b | <input checked="" type="checkbox"/> |
- 50 Complete this table for the five highest compensated employees (other than officers, directors, trustees and key employees) who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and address of each employee paid more than \$100,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
None				
Total number of other employees paid over \$100,000 ▶				

51 Complete this table for the five highest compensated independent contractors who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and address of each independent contractor paid more than \$100,000	(b) Type of service	(c) Compensation
None		
Total number of other independent contractors each receiving over \$100,000 ▶		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

**Sign Here**

Signature of officer: *Otto Heldring* Date: *Dec 29, 2009*

Type or print name and title: *Otto Heldring*

**Paid Preparer's Use Only**

Preparer's signature: \_\_\_\_\_ Date: \_\_\_\_\_ Check if self-employed:

Firm's name (or yours if self-employed), address, and ZIP + 4: \_\_\_\_\_ EIN: \_\_\_\_\_ Phone no: ( ) \_\_\_\_\_

Preparer's Identifying Number (See instructions): \_\_\_\_\_

May the IRS discuss this return with the preparer shown above? See instructions.  Yes  No

## Exhibit 1

### Organization's primary exempt purpose

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a. to provide for the enjoyment and entertainment of its members by encouraging social activity and planning meetings, dinners and other events;

b. to facilitate mutual assistance among its members by providing forums for questions and requests for advice or recommendations, either via gatherings or on its Web site;

c. to provide opportunities for members to stay in touch with colleagues who share a common past as employees of The Chase Manhattan Bank and later merged Banks.

d. to provide networking opportunities among members; and

e. to do any other act or thing related to or in advancement of the foregoing purposes, but not for the pecuniary profit or financial gain of its members, Board members or officers except as permitted under Article 5 of the Not-for-Profit Corporation Law.

From: Article II of By Laws of Chase Alumni Association, Inc.  
[www.chasealum.org/vault/CAA\\_Bylaws\\_2008.pdf](http://www.chasealum.org/vault/CAA_Bylaws_2008.pdf)

Exhibit 2

Welcome to the Chase Alumni Association

Now user, registration is FREE! login | Contact Us



A global gathering place and alumni network for former employees of Chase & its successor financial institutions

Home | About Us | Membership | Chapters | Events | Networking | News | CAA Archives | Photo Albums | accessBenefits

## Officers

**John A. Ward III**  
President

**Kenneth Arndt**  
Vice President

**Jean-Marc Bara**  
Vice President

**Leon Desbrow**  
Vice President

**Wolfgang Fenkart-Fröschl**  
Vice President

**Otto Heldring**  
Vice President & Treasurer

**Ken Jablon**  
Vice President

**Simon Mansfield**  
Vice President

**Mary Molloy**  
Vice President

**Joseph Scharfenberger**  
Vice President

**Anthony Walton**  
Vice President

**Hans van den Houten**  
Chairman Emeritus

*None  
received  
compensation*

