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2008

OMB No 1545-0047

Form 990

Department of the Treasury

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

Inspection

Internal Revenue Service A For the 2008 calendar year, or tax year beginning 07-01-2008 and ending 06-30-2009 D Employer identification number B Check if applicable Please William Marsh Rice University use IRS 74-1109620 Address change label or Doing Business As E Telephone number Name change print or type. See (713) 348-0000 Initial return Specific Number and street (or P O box if mail is not delivered to street address) Room/suite **G Gross receipts** \$ 1,391,112,892 Instruc-6100 Main Street Termination tions. City or town, state or country, and ZIP + 4 Houston, TX 770051892 Amended return Application pending Name and address of Principal Officer **H(a)** Is this a group return for David Leebron affiliates? 6100 Main Street Houston, TX 770051892 H(b) Are all affiliates included? 501(c) (3) **4** (insert no) (If "No." attach a list See instructions) Group Exemption Number 🕨 Web site: ► http://www.rice.edu L Year of Formation 1908 M State of legal domicile TX Summary Briefly describe the organization's mission or most significant activities Activities & Governance See Additional Data Table Check this box ┌ if the organization discontinued its operations or disposed of more than 25% of its assets Number of voting members of the governing body (Part VI, line 1a) . . 24 20 Number of independent voting members of the governing body (Part VI, line 1b) $\,$. 7,018 Total number of employees (Part V, line 2a) . 1,000 Total number of volunteers (estimate if necessary) . Total gross unrelated business revenue from Part VIII, line 12, column (C) . 7a -12,751,701 Net unrelated business taxable income from Form 990-T, line 34 . 7b 0 b **Current Year Prior Year** Contributions and grants (Part VIII, line 1h) . . 191,962,900 149,808,151 8 9 Program service revenue (Part VIII, line 2g) . . 133,978,241 208,621,686 10 Investment income (Part VIII, column (A), lines 3, 4, and 7d) . 380,838,224 -17,535,873 11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) 9,556,158 28,211,605 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12 716,335,523 369,105,569 81,473,294 13 Grants and similar amounts paid (Part IX, column (A), lines 1-3) 13,954,570 14 Benefits paid to or for members (Part IX, column (A), line 4) 0 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-15 247,124,486 Expenses 281,331,794 10) 16a Professional fundraising fees (Part IX, column (A), line 11e) 0 b (Total fundraising expenses, Part IX, column (D), line 25 $\frac{12,299,960}{}$ **17** Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f) 162,124,627 169,075,897 Total expenses—add lines 13-17 (must equal Part IX, line 25, column (A)) 423,203,683 531,880,985 18 Revenue less expenses Subtract line 18 from line 12 19 293,131,840 -162,775,416 8 % **End of Year** Beginning of Year Assets of Balance 20 Total assets (Part X, line 16) 6,092,313,393 5,102,247,006 21 Total liabilities (Part X, line 26) 884,574,547 912,625,537 22 Net assets or fund balances Subtract line 21 from line 20 5,207,738,846 4,189,621,469 Part II Signature Block Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge **Please** 2010-05-14 Sign Signature of officer Here Katherine E Collins Vice President for Finance Type or print name and title Date Check if Preparer's PTIN (See Gen Inst) Preparer's signature Paid empolyed 🕨 🦵 Preparer's Fırm's name (or yours if self-employed). **Use Only** address, and ZIP + 4 Phone no May the IRS discuss this return with the preparer shown above? (See instructions) . ┌Yes ┌No

Part III Statement of Program Service Accomplishments (See the instructions.)

1	Briefly describe the organization's mission As a leading research university with a distinction and contributions to the betterment of our work across the spectrum of human endeavor				
2	Did the organization undertake any si the prior Form 990 or 990-EZ? .	gnıfıcant program se	rvices during the year	which were not listed on	┌ Yes ┌ No
	If "Yes," describe these new services	on Schedule O			
3	Did the organization cease conductin services?		changes in how it coi	nducts any program	┌ Yes ┌ No
4	Describe the exempt purpose achieve Section 501(c)(3) and (4) organization others, the total expenses, and reven	ements for each of the ons and 4947(a)(1) t	rusts are required to i	report the amount of grants a	
4a	(Code) (Expenses \$ Department research and instruction for stud institutional activities		including grants of \$ iate and graduate degrees) (Revenue \$ in the arts and sciences as well a	224,639,000) s public service and other
4 b	(Code) (Expenses \$ Basic scientific research and training conduct		including grants of \$ id other sponsored educati) (Revenue \$ onal programs	83,880,654)
4 c	(Code) (Expenses \$ Operations of a library that serves students,		ıncludıng grants of \$ d friends of William Marsh) (Revenue \$ Rice University and is open to the	2,504,544) public by charter
	(Code) (Expenses \$		including grants of \$	81,473,294) (Revenue \$	14,742,216)
4d	Other program services (Describe i (Expenses \$	n Schedule O) including grants of	\$) (Revenue \$)
4e	Total program service expenses \$	483,049,453	B Must equal Part IX,	, Line 25, column (B).	

Part IV	Checkli	st of	Required	Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1	Yes	
2	Is the organization required to complete Schedule B, Schedule of Contributors? 🕏	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3		Νo
4	Section 501(c)(3) organizations Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II	4	Yes	
5	Section $501(c)(4)$, $501(c)(5)$, and $501(c)(6)$ organizations. Is the organization subject to the section $6033(e)$ notice and reporting requirement and proxy tax? If "Yes," complete Schedule C, Part III	5		
6	Did the organization maintain any donor advised funds or any accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I.	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," complete Schedule D, Part II"	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8	Yes	
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9		No
10	Did the organization hold assets in term, permanent,or quasi-endowments? If "Yes," complete Schedule D, Part $\sqrt[3]{2}$	10	Yes	
11	Did the organization report an amount in Part X, lines 10, 12, 13, 15, or 25? If "Yes," complete Schedule D,			
	Parts VI, VIII, VIII, IX, or X as applicable	11	Yes	
12	Did the organization receive an audited financial statement for the year for which it is completing this return that was prepared in accordance with GAAP? If "Yes," complete Schedule D, Parts XI, XII, and XIII .	12		No
13	Is the organization a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	Yes	
14a	Did the organization maintain an office, employees, or agents outside of the U S ?	14a	Yes	
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the U S ? If "Yes," complete Schedule F, Part I	14b	Yes	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Part II	15		No
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Part III	16		Νο
17	Did the organization report more than \$15,000 on Part IX, column (A), line 11e? If "Yes," complete Schedule G, Part I	17		No
18	Did the organization report more than \$15,000 total on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18	Yes	
19	Did the organization report more than \$15,000 on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19		Νo
20	Did the organization operate one or more hospitals? If "Yes," complete Schedule H	20		Νο
21	Did the organization report more than \$5,000 on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21		Νο
22	Did the organization report more than \$5,000 on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5? If "Yes," complete Schedule J	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer questions 24b-24d and complete Schedule K. If "No," go to question 25	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		Νo
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		Νο
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	Section 501(c)(3) and 501(c)(4) organizations Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		No
b	Did the organization become aware that it had engaged in an excess benefit transaction with a disqualified person from a prior year? If "Yes," complete Schedule L, Part I	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II	26	Yes	
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, or substantial contributor, or to a person related to such an individual? If "Yes," complete Schedule L, Part III	27	Yes	

Part IV Checklist of Required Schedules (Continued)

			res	NO
28	During the tax year, did any person who is a current or former officer, director, trustee, or key employee			
а	Have a direct business relationship with the organization (other than as an officer, director, trustee, or employee), or an indirect business relationship through ownership of more than 35% in another entity (individually or collectively with other person(s) listed in Part VII, Section A)? If "Yes," complete Schedule L, Part			
	IV	28a	Yes	
b	Have a family member who had a direct or indirect business relationship with the organization? If "Yes," complete Schedule L, Part IV	28b	Yes	
c	Serve as an officer, director, trustee, key employee, partner, or member of an entity (or a shareholder of a professional corporation) doing business with the organization? If "Yes," complete Schedule L, Part IV.	28c	Yes	
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M 🕏	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		Νo
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		Νo
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations section 301 7701-2 and 301 7701-3? If "Yes," complete Schedule R, Part I	33	Yes	
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1	34	Yes	
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35	Yes	
36	501(c)(3) organizations Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2	36	Yes	
37	Did the organization conduct more than 5 percent of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R,	37		Νο
	Part VI 📆			

	rt V Statements Regarding Other IRS Filings and Tax Compliance			Page
Ра	Statements Regarding Other 1R3 Fillings and Tax Compliance		Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal		165	140
	of U.S. Information Returns. Enter -0- if not applicable			
	1a 8,359			
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable 1b			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable			
	gaming (gambling) winnings to prize winners?	1c	Yes	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements filed for the calendar year ending with or within the year covered by this return			
b	If at least one is reported in 2a, did the organization file all required federal employment tax returns? Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return.	2b	Yes	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	3a	Yes	
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O	3b	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	Yes	
b	FR,CJ,JA,LU,AS,GM,UK,CH,BD,GK,DA,RS,VI,			
	If "Yes," enter the name of the foreign country NO , CA , XS , XE , IS , NL			
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		Νο
c	If "Yes," to 5a or 5b, did the organization file Form 8886-T, <i>Disclosure by Tax-Exempt Entity Regarding Prohibited</i> Tax Shelter Transaction?	5c		
6a	Did the organization solicit any contributions that were not tax deductible?	6a		Νο
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b		
7	Organizations that may receive deductible contributions under section 170(c).			
а	Did the organization provide goods or services in exchange for any quid pro quo contribution of \$75 or more?	7a	Yes	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	Yes	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c		No
d	If "Yes," indicate the number of Forms 8282 filed during the year			
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		Νο
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?	7g	Yes	
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?	7h	Yes	
8	Section $501(c)(3)$ and other sponsoring organizations maintaining donor advised funds and section $509(a)(3)$			
	supporting organizations. Did the supporting organization, or a fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8		
9	Section 501(c)(3) and other sponsoring organizations maintaining donor advised funds.			
а	Did the organization make any taxable distributions under section 4966?	9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b		
10	Section 501(c)(7) organizations. Enter			
	Initiation fees and capital contributions included on Part VIII, line 12 10a			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities			
11	Section 501(c)(12) organizations Enter			
а	Gross income from members or shareholders			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)			
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
	If "Yes," enter the amount of tax-exempt interest received or accrued during the			
	year ' 12b			

Section A. Governing Body and Management

Yes

11

Νo

Part VI Governance, Management, and Disclosure (Sections A, B, and C request information about policies not required by the Internal Revenue Code.)

For each "Yes" response to lines 2-7 below, and for a "No" response to lines 8 or 9b below, describe the circumstances,
processes, or changes in Schedule O. See instructions.

Is there any officer, director or trustee, or key employee listed in Part VII, Section A, who cannot be reached at

the organization's mailing address? If "Yes," provide the names and addresses in Schedule O

	For each "Yes" response to lines 2-7 below, and for a "No" response to lines 8 or 9b below, describe the circumst processes, or changes in Schedule O. See instructions.	ances,			
1a	Enter the number of voting members of the governing body 1a	24			
b	Enter the number of voting members that are independent 1b	20			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with other officer, director, trustee, or key employee?	•	2	Yes	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person		3		No
4	Did the organization make any significant changes to its organizational documents since the prior Form 99 filed?	0 was	4		No
5	Did the organization become aware during the year of a material diversion of the organization's assets? .		5		Νo
6	Does the organization have members or stockholders?		6		Νo
7a	Does the organization have members, stockholders, or other persons who may elect one or more members governing body?		7a		No
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?		7b		Νo
8	Did the organization contemporaneously document the meetings held or written actions undertaken during year by the following	the			
а	the governing body?		8a	Yes	
Ь	each committee with authority to act on behalf of the governing body?		8b	Yes	
9a	Does the organization have local chapters, branches, or affiliates?		9a		Νo
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapt affiliates, and branches to ensure their operations are consistent with those of the organization?		9b		
10	Was a copy of the Form 990 provided to the organization's governing body before it was filed? All organization		10	Yes	

Section B. Policies

		Yes	No
Does the organization have a written conflict of interest policy? If "No", go to line 13 \cdot .	12a	Yes	
Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	Yes	
Does the organization have a written whistleblower policy?	13	Yes	
Does the organization have a written document retention and destruction policy?	14	Yes	
Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision			
The organization's CEO, Executive Director, or top management official?	15a	Yes	
Other officers or key employees of the organization?	15b	Yes	
Describe the process in Schedule O			
Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		Νο
If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable Federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		
	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	Does the organization have a written conflict of interest policy? If "No", go to line 13 . Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?

Section C. Disclosure

- 17 List the States with which a copy of this Form 990 is required to be filed
- Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c) (3)s only) available for public inspection. Indicate how you make these available. Check all that apply own website another's website upon request
- Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public See Additional Data Table
- State the name, physical address, and telephone number of the person who possesses the books and records of the organization

Evelyn Stewart 6100 Main Street Houston, TX 770051892 (713) 348-4927

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Section A Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Use Schedule J-2 if additional space is needed

Check this box if the organization did not compensate any officer, director, trustee or key employee

- * List all of the organization's **current** officers, directors, trustees (whether individuals or organizations) and key employees regardless of amount of compensation, and current key employees. Enter -0- in columns (D), (E), and (F) if no compensation was paid
- * List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- * List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- * List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

The check this box in the organization and i	·	Posit	(C) chec	:k al				(E)	(F)
(A) Name and Title	(B) Average hours per week	Individual Trustee or Chrector	Institutional Trustee	Officei	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099MISC) (E) Reportable compensat from relate organizatio (W- 2/1099 MISC)		Estimated amount of other compensation from the organization and related organizations
							-			

Part VII Continued

(B) (E) (E) Estim Reportable Reportable amount of a mount of the contract of	fother
(A) Name and Title (B) A verage hours per week (B) A verage hours per week (B) A verage hours per week (C) A verage hours per week (C) Reportable compensation from the organization (W-2/1099-MISC) (W-2/1099-MISC) (W-2/1099-MISC) (D) Reportable compensation from related organizations (W-2/1099-MISC) (W-2/1099-MISC)	the ion and ed
b 1 0055 101	1 252 055
1b Total	1,352,055

2 Total number of individuals (including those in 1a) who received more than \$100,000 in reportable compensation from the organization ►483

			Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee			
	on line 1a? If "Yes," complete Schedule I for such individual	3	Yes	
4	For any individual listed online 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such			
	ındıvıdual	4	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? If "Yes," complete Schedule J for such person	5	Yes	

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization

(A) Name and business address	(B) Description of services	(C) Compensation
Hanbury Evans Wright Vlattas Company 570 West Main Street Ste B Wytheville, VA 24382	Architect	7,358,104
KieranTimerlake Assoc LLP 420 N 20th Street 2nd floor Philadelphia, PA 19130	Architect	3,915,612
Skidmore Owings & Merrill LLP 224 South Michigan Ave Ste 1000 Chicago, IL 60600	Architect	1,838,532
FKP Architects Inc 8 Greenway Suite 300 Houston, TX 77046	Architect	1,766,529
Ropes & Gray LLP 111 South Wacker Drive Chicago, IL 60606	Legal	1,084,678
2 Total number of independent contractors (including those in 1) who rec	eived more than \$100,000 in compensation	45

Statement of Revenue

				(A) Total Revenue	(B) Related or Exempt Function Revenue	(C) Unrelated Business Revenue	(D) Revenue Excluded from Tax under IRC 512, 513, or 514
	1a	Federated campaigns :	1a				, ,
nts ots	b	Membership dues					
Contributions, gifts, grants and other similar amounts	c	fundraising events	2,558,847				
		1	Lc				
<u> </u>	d	Related organizations					
ons. Sim	е		.e 67,813,926	ļ			
utíc Yer	f	All other contributions, gifts, grants, and similar amounts not included above	79,435,378				
きき	_	Noncash contributions included	Lf				
i p	g	lines 1a-1f \$					
O®	h	Total (Add lines 1a-1f) .		149,808,151			
			Business Code				
anı	2a	Tuition and fees	900,099	153,824,309	153,824,309		
еме	ь	Auxiliary operations	900,099	29,635,785	29,635,785		
e Fk	c	Departmental sales	541,900	17,543,110	17,524,024	19,086	
rwe	d	Research contracts	900,099	7,244,228	7,244,228		
33	e	Student loan interest	900,099	374,254	374,254		
ran.	f	All other program service revenu	ıe				
Program Service Revenue	g	Total. Add lines 2a-2f					
	3	Investment income (including di	vidends, interest				
		other similar amounts)	· •	33,394,873			33,394,873
	4	Income from investment of tax-exempt	bond proceeds				
		Page Harris		331,073			331,073
	5	Royalties (i) Real	(II) Personal	331,073			331,073
	6a	Gross Rents 67,54					
	b	Less rental					
	С	expenses Rental income 67,544	1				
	d	or (loss) Net rental income or (loss).		67,544		67,544	
			▶-				
	7a	(1) Securities Gross amount 970,375,000	(II) O ther 63,200				
	/a	from sales of assets other					
	ь	than inventory Less cost or 1,015,239,585	6,129,361				
		other basis and sales expenses	0,123,301				
	с	Gain or (loss) -44,864,585	-6,066,161				
	d	Net gain or (loss)		-50,930,746	-6,066,161		-44,864,585
	8a	Gross income from fundraising	 				
		events (not including					
e		\$924,828 of contributions reported on line					
듄		1c) See Part IV, line 18					
ev.		Attach Schedule G if total exceeds \$15,000	a 2,558,847				
<u> </u>	ь	Less direct expenses	b 638,377				
Other Revenue	с	Net income or (loss) from fundra		286,451	286,451		
_	9a	Gross income from gaming					
		activities See part IV, line 19 Complete Schedule G if total					
		exceeds \$15,000					
	١.		a				
	b c	Less direct expenses Net income or (loss) from gamin	b				
		· · ·	y detrivities				
	10a	Gross sales of inventory, less returns and allowances					
			a				
	b	Less cost of goods sold	ь				
	С	Net income or (loss) from sales	_				
		Miscellaneous Revenue	Business Code	27.462.453			27.462.453
	11a	O Il and gas	900,099	37,162,457 64,243		64,243	37,162,457
	b	A dvertising	900,099	-9,700,163	3,202,411	-12,902,574	
	С	O ther investment inc	900,099	-9,700,163	3,202,411	-12,502,574	
	d	All other revenue	- [
	е	Total. Add lines 11a-11d	\$ 27,526,537				
	12	Total Revenue. Add lines 1h, 2g		369,105,569	206,025,301	-12,751,701	26,023,818
		8c, 9c, 10c, and 11e	▶				
	-		.				Form 990 (2008)

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).									
Do r	not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses				
1	Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21								
2	Grants and other assistance to individuals in the U S See Part IV, line 22	81,473,294	81,473,294						
3	Grants and other assistance to governments, organizations and individuals outside the U.S. See Part IV, lines 15 and 16								
4	Benefits paid to or for members								
5	Compensation of current officers, directors, trustees, and key employees	7,788,884	3,375,047	4,052,107	361,730				
6	Compensation not included above, to disqualified persons (as defined under section $4958(f)(1)$) and persons described in section $4958(c)(3)(B)$	768,449	669,085	99,364					
7	Other salaries and wages	216,000,698	190,459,198	99,364	6,635,003				
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	17,479,922	15,372,155	1,583,488	524,279				
9	Other employee benefits	25,858,832	23,226,843	1,870,771	761,218				
10	Payroll taxes	13,435,009	11,814,987	1,217,063	402,959				
11	Fees for services (non-employees)								
а	Management								
b	Legal	2,425,591	38,997	2,386,594					
c	Accounting	655,418	13,570	641,848	_				
d	Lobbying	402,929		402,929					
e	Professional fundraising See Part IV, line 17								
f	Investment management fees	2,710,536		2,710,036	500				
g	Other	12,269,942	11,835,356	38,871	395,715				
12	Advertising and promotion	1,933,847	1,808,303	118,403	7,141				
13	Office expenses	7,722,755	6,493,613	135,677	1,093,465				
14	Information technology	11,348,956	10,646,258	471,704	230,994				
15	Royalties	161,999	161,999						
16	Occupancy	36,176,918	35,139,920	970,906	66,092				
17	Travel	13,534,789	13,041,347	145,161	348,281				
18	Payments of travel or entertainment expenses for any Federal, state or local public officials								
19	Conferences, conventions and meetings	9,272,947	7,470,248	335,116	1,467,583				
20	Interest	10,888,625	10,888,625						
21	Payments to affiliates								
22	Depreciation, depletion, and amortization	43,930,448	43,485,553	444,895					
23	Insurance	2,570,957	2,565,815	142	5,000				
24	Other expenses—Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below)								
а	Research Subcontracts	9,292,591	9,292,591						
b		-,===,===	-,,						
c									
d									
e									
f	All other expenses	3,776,649	3,776,649						
25	Total functional expenses. Add lines 1 through 24f	531,880,985	483,049,453	36,531,572	12,299,960				
26	Joint Costs. Check fiffollowing SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation								

Dart V	Balance	Shoot
Part X	Balance	Sheet

					(A)		(B)
	i				Beginning of year		End of year
	1	Cash—non-interest-bearing				1	
	2	Savings and temporary cash investments			2,539,924	2	3,973,652
	3	Pledges and grants receivable, net			165,560,917	3	168,783,233
	4	Accounts receivable, net		38,570,451	4	17,516,039	
	5	Receivables from current and former officers, directors, trusted other related parties Complete Part II of Schedule L		es or	32,162	5	22,764
	6	Receivables from other disqualified persons (as defined under persons described in section 4958(c)(3)(B) Complete Part II o				6	
	7	Notes and loans receivable, net			9,186,789	7	9,066,436
	8	Inventories for sale or use				8	840,977
2	9	Prepaid expenses and deferred charges			7,369,358	9	6,254,632
Assets	10a	Land, buildings, and equipment cost basis	 10a 1,482	2,192,561			
**	b	Less accumulated depreciation Complete Part VI of Schedule D		1,404,631	845,873,581	10c	1,070,787,930
	11	Investments—publicly traded securities		.,,	2,435,077,000		2,000,784,115
	12	Investments—other securities See Part IV, line 11 Complete Schedule D	Part VII of		2,587,308,989		1,614,776,000
	13	Investments—program-related See Part IV, line 11 Complete of Schedule D.	Part VIII			13	
	14	Intangible assets			14		
	15	Other assets See Part IV, line 11 Complete Part IX of Schedule		794,222		209,441,228	
	13	D		754,222	15	200,441,220	
	16	Total assets. Add lines 1 through 15 (must equal line 34)			6,092,313,393	16	5,102,247,006
	17	Accounts payable and accrued expenses .			95,396,933	17	83,805,457
	18	Grants payable			18		
	19	Deferred revenue			19	16,227,251	
	20	Tax-exempt bond liabilities			681,184,607	20	726,184,607
<u>ē</u>	21	Escrow account liability Complete Part IV of Schedule D				21	
Liabilities	22	Payable to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified					
ä		persons Complete Part II of Schedule L			22		
	23	Secured mortgages and notes payable to unrelated third partie	es			23	
	24	Unsecured notes and loans payable				24	
	25	Other liabilities Complete Part X of Schedule D	Other liabilities Complete Part X of Schedule D				
	26	Total liabilities. Add lines 17 through 25			884,574,547	26	912,625,537
ses		Organizations that follow SFAS 117, check here ▶ ✓ and comthrough 29, and lines 33 and 34.	plete lines 27				
anc	27	Unrestricted net assets			4,037,406,605	27	2,113,462,700
Balance	28	Temporarily restricted net assets			393,007,082	28	1,267,709,800
Ā	29	Permanently restricted net assets			777,325,159	29	808,448,969
r Fund		Organizations that do not follow SFAS 117, check here ► a lines 30 through 34.	Organizations that do not follow SFAS 117, check here 🕨 🦵 and complete				
S Of	30	Capital stock or trust principal, or current funds				30	
Assets	31	Paid-in or capital surplus, or land, building or equipment fund			31		
ASS	32	Retained earnings, endowment, accumulated income, or other			32		
Net /	33	Total net assets or fund balances			5,207,738,846		4,189,621,469
Z	34	Total liabilities and net assets/fund balances		6,092,313,393		5,102,247,006	
Pa	rt XI	Financial Statements and Reporting					

ParitXI	Financial	Statements	and Reporting

			Yes	No
1	Accounting method used to prepare the Form 990			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		Νo
b	Were the organization's financial statements audited by an independent accountant?	2b		Νο
c	If "Yes" to lines 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c		
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	Yes	
b	If "Yes," did the organization undergo the required audit or audits?	3b	Yes	

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As Filed Data -

DLN: 93493134041480

Employer identification number

OMB No 1545-0047

SCHEDULE A

(Form 990 or 990EZ)

Department of the Treasury Internal Revenue Service

Name of the organization

Public Charity Status and Public Support

To be completed by all section 501(c)(3) organizations and section 4947(a)(1)nonexempt charitable trusts. Attach to Form 990 or Form 990-EZ. See separate instructions.

Open to Public Inspection

Nıllıar	n Marsh	Rice Universit	У									
									-1109620			
	rt I			harity Status (to be co					Instruct	ions)		
Γhe	organı: —			ation because it is (Please								
1	<u> </u>	A church, convention of churches, or association of churches described in Section 170(b)(1)(A)(i).										
2	<u> </u>	A school described in Section 170(b)(1)(A)(ii). (Attach Schedule E)										
3	Γ	A hospital or a cooperative hospital service organization described in Section 170(b)(1)(A)(iii). (Attach Schedule H)										
4	Γ	A medical research organization operated in conjunction with a hospital described in Section 170(b)(1)(A)(iii). Enter the										
		hospital's name, city, and state										
5	Γ	An organization operated for the benefit of a college or university owned or operated by a governmental unit described in										
		Section 170)(b)(1)(A)(iv).	(Complete Part II)								
6	Γ	A federal, s	tate, or local go	overnment or governmental	unıt desci	ribed in Se	ction 170	(b)(1)(A)	(v).			
7	Γ	An organiza	ation that norma	ally receives a substantial p	art of its	support fro	m a gove	rnmental u	ınıt or fron	n the gene	ral public	
		described i	n Sect ion 170(E	o)(1)(A)(vi) (Complete Par	tII)							
8	Γ	A communi	ty trust describ	ed in Section 170(b)(1)(A)	(vi) (Com	nplete Par	tII)					
9	Γ	An organiza	ation that norma	ally receives (1) more than	331/3% 0	fits supp	ort from c	ontributior	ıs, membe	rship fees	, and gros	S
		receipts fro	m activities rel	ated to its exempt functions	s—subject	to certair	nexceptio	ns, and (2) no more	than 331/	3% of	
		ıts support	from gross inve	estment income and unrelate	ed busines	ss taxable	ıncome (less sectio	on 511 tax	x) from bu	sınesses	
		acquired by	the organization	on after June 30, 1975 See	Section 5	09(a)(2).	(Complet	e Part III)			
10	Γ	An organiza	ation organized	and operated exclusively to	test for p	ublic safe	ty See Se	ction 509((a)(4). (Se	ee instruc	tions)	
11	Γ	An organiza	ation organized	and operated exclusively fo	r the bene	fit of, to p	erform the	functions	of, or to o	arry out t	he purpos	es of
				orted organizations describe						Section 5	09(a)(3).	Check
				type of supporting organiza					_	⊢ +	TTT 0.45	
	_	а Г Т [.]				- Function			d		III - Oth	
е	ı	•	-	rtify that the organization is agers and other than one or			•			•	•	
		section 50		agers and other than one or	more pub	iiciy supp	orteu orga	IIIZations (aescribed	III Section	1303(4)(1	, 01
f				d a written determination fro	m the IRS	5 that it is	a Type I,	Type II o	r Type III	supportir	ig organiza	ation,
		check this										Γ
g		Since Augu following pe		as the organization accepted	d any gift	or contrib	ution from	any of the	!			
				r indirectly controls, either a	alone or to	aether wi	th persons	s describe	d in (ii)		Yes	No No
			·	ng body of the the supported		-				11g		
			· =	erson described in (i) above	_					11g(
			•	ty of a person described in (bove?				11g(
h				nation about the organizatio			supports			[5(/	
						,	- пр р г г г г					
	(i) Na	ame of	(ii) EIN	(iii) Type of organization	(iv) I	s the	(v) Did y	ou notify	(vi) I	s the	(vii) A m	ount of
	Supp	ported		(described on lines 1-9	organiz	ation in	the orga	nızatıon	organiz	ation in	suppo	rt?
	Organ	anization	above or IRC section		listed in		i) of your		rganized			
				(See Instructions))		verning ment?	sup	oort?	In the	US?		
	Yes No Yes No											
					162	140	162	140	162	140		
						 		1		 		
						 				 		
								ļ				

Total

Part II	Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
	(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Pι	ıblic Support		, ,	,				
Cale	endar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e)	2008	(f) Total
1	Gifts, grants, contributions, and							
	membership fees received (Do not							
	include any "unusual grants ")							
2	Tax revenues levied for the organization's							
	benefit and either paid to or expended on							
_	its behalf The value of services or facilities					 		
3	furnished by a governmental unit to the							
	organization without charge							
4	Total. Add line 1-3					1		
5	The portion of total contribution by each							
5	person (other than a government unit or							
	publicly supported organization) included							
	on line 1 that exceed 2% of the amount							
	shown on line 11, column							
	· (f)							
6	Public Support subtract line 5 from line							
	4							
	otal Support		1		T			
	endar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) :	2008	(f) Total
7	A mounts from line 4							
8	Gross income from interest, dividends,							
	payments received on securities loans,							
	rents, royalties and income from similar							
_	sources							
9	Net income from unrelated business							
	activities, whether or not the business is regularly carried on							
10	Other income Do not include gain or loss							
10	from the sale of capital assets (Explain in							
	Part IV)							
11	Total Support (Add lines 7 through 10)							
12	Gross receipts from related activities, etc	(See instructio	ns)		•	12		
13	First Five Years. If the Form 990 is for the	organization's f	irst second thu	d fourth or fifth	ntay vearas a F		3)	
	organization, check this box and stop here		mat, second, tim	u, rouren, or mer	rtax year as a s	/O1(C)(C	• •	▶ □
								•
Co	omputation of Public Support Perc	entage						
14	Public Support Percentage for 2008 (line 6	5 column (f) dıvı	ded by line 11 c	olumn (f))		14		
15	Public Support Percentage for 2007 School	dule A , Part IV -	A, line 26f			15		
16a	33 1/3% Test - 2008. If the organization di	d not check the	box on line 13.	and line 14 is 3	3 1/3% or more.		this box	
	and stop here. The organization qualifies a				,			▶ □
b	33 1/3% Test - 2007. If the organization d				15 is 33 1/3% d	r more,	check th	
	box and stop here. The organization qualifi	es as a publicly	supported orga	nızatıon				▶ □
17a	10% Facts and Circumstances Test - 2008.							
	more, and if the organization meets the "fa		•					· —
	organization meets the "facts and circums							► □
Ь	10% Facts and Circumstances Test - 2007.							
	more, and if the organization meets the "fa		•					_
4.0	the organization meets the "facts and circu							n ▶
18	Private Foundation. If the organization did	not check the b	oux on line 13, 1	oa, 160, 1/a or	1/D, check this	oox an	u see	▶ □
	ınstructions							F-1

Pa	Support Schedule for On (Complete only if you ched				(2)		
	ction A. Public Support		_	,			
Cale	ndar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
1	Gifts, grants, contributions, and						
	membership fees received (Do not						
2	include any "unusual grants ") Gross receipts from admissions,						
2	merchandise sold or services performed,						
	or facilities furnished in any activity that						
	is related to the organization's tax-						
	exempt purpose						
3	Gross receipts from activities that are						
	not an unrelated trade or business under						
	section 513						
4	Tax revenues levied for the						
	organization's benefit and either paid to						
	or expended on its behalf						
5	The value of services or facilities						
	furnished by a governmental unit to the						
_	organization without charge						
6	Total Add lines 1-5						
7a	A mounts included on lines 1, 2, and 3						
	received from disqualified persons Amounts included on lines 2 and 3						
D	received from other than disqualified						
	persons that exceed the greater of 1% of						
	the total of lines 9, 10c, 11, and 12 for						
	the year or \$5,000						
c	Total of lines 7a and 7b						
8	Public Support (Substract line 7c from						
_	line 6)						
То	tal Support						
Cale	ndar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
9	A mounts from line 6						
10a	Gross income from interest, dividends,						
	payments received on securities loans,						
	rents, royalties and income from similar						
	sources						
b	Unrelated business taxable income (less						
	section 511 taxes) from businesses						
	acquired after 30 June, 1975		+				
С	Add lines 10a and 10b						
11	Net income from unrelated business						
	activities not included in line 10b, whether or not the business is regularly						
	carried on						
12	Other income Do not include gain or loss						
	from the sale of capital assets						
	(Explain in Part IV)						
13	Total Support (Add lines 9, 10c, 11 and						
	12)						
14	First Five Years If the Form 990 is for the	organızatıon's fı	rst, second, thir	d, fourth, or fifth	ntax year as a 5	01(c)(3) organı	zation,
	check this box and stop here						▶ □
	manufaction of Dublic Compact Days						
15	mputation of Public Support Perc Public Support Percentage for 2008 (line		dod by line 12 o	olumn (fl)		T 4= T	
			•	.orumin (1))		15	
16	Public Support Percentage for 2007 Sche	dule A , Part IV -	A, line 27g			16	
		D					
Co	mputation of Investment Income Investment Income Percentage for 2008 (ne 13 column /f	<u> </u>	17	
				-	"	17	
ΤQ	Investment Income Percentage from 2007	ocnequie A , Pa	TLIV-A, IINE 2/	H		18	

19a 33 1/3% Tests - 2008. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line

17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization **33 1/3% Tests - 2007.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization

Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions

Schedule A (Form 990 or 990-EZ) 2008

▶□

Part IV	Supplemental Information. Complete this part to provide the information required by Part II, line 10; Part II, line 17a or 17b, or Part III, line 12. Provide and any other additional information. (see instructions)
	Facts and Circumstances Test

Schedule A (Form 990 or 990-EZ) 2008

SCHEDULE C

(Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

OMB No 1545-0047

Open to Public Inspection

To be completed by organizations described below. Attach to Form 990 or Form 990-EZ

If the organization answered "Yes," to Form 990, Part IV, Line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities)

- ◆ Section 501(c)(3) organizations complete Parts I-A and B Do not complete Part I-C
- ◆ Section 501(c) (other than section 501(c)(3)) organizations complete Parts I-A and C below Do not complete Part I-B
- ◆ Section 527 organizations complete Part I-A only

If the organization answered "Yes," to Form 990, Part IV, Line 4, or Form 990EZ, Part VI, line 47 (Lobbying Activities)

- ◆ Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) complete Part II-A Do not complete Part II-B
- ◆ Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

	ne organization answered "Ye ection 501(c)(4), (5), or (6) organi	s," to Form 990, Part IV, Line 5 (F	Proxy Tax)	(.,,,		
N a	ame of the organization Illiam Marsh Rice University	zationo complete i art iii		Employer iden	tification number	
Pai		oy all organizations exempt		74-1109620 n 501(c) and section	527	
	organizations. (Se	e the instructions for Schedule	C for details.)			
1	·	ganızatıon's dırect and ındırect polit	tıcal campaıgn act	civities in Part IV		
2	Political expenditures				\$	
3	V olunteer hours				-	
Pai	rt I-B To be completed b for Schedule C for d	oy all organizations exempt etails.)	under section	n 501(c)(3). (See the i	nstructions	
1	Enter the amount of any excise	e tax incurred by the organization ui	nder section 4955	;	\$	
2	Enter the amount of any excise	e tax incurred by organization mana	gers under sectioi	n 4955	\$	
3	If the organization incurred in	a section 4955 tax, did it file Form	4720 for this year	-7	┌ Yes	
4a	Was a correction made?				┌ Yes	
b	If "Yes," describe in Part IV					
Pa		by all organizations exempt for Schedule C for details.)	under section	n 501(c), except sect	ion 501(c)(3).	
1	Enter the amount directly expe	ended by the filing organization for s	ection 527 exemp	ot function activities	\$	
2	Enter the amount of the filing of 527 exempt funtion activities	organization's internal funds contrib	uted to other orga	nizations for section	\$	
3	Total of direct and indirect exe 1120-POL, line 17b	empt function expenditures Add line	es 1 and 2 and ent	er here and on Form	\$	
4	Did the filing organization file I	Form 1120-POL for this year?			┌ Yes ┌ No	
5 State the names, addresses and Employer Identification Number (EIN) of all section 527 political organizations to which pay were made Enter the amount paid and indicate if the amount was paid from the filing organization's own internal funds or were political contributions received and promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV						
	(a) Name	(b) Address	(c) EIN	(d) A mount paid from filing organization's internal funds If none, enter - 0-	(e) A mount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-	
_						

d Grassroots non-taxable amount

f Grassroots lobbying expenditures

e Grassroots ceiling amount (150% of line d, column (e))

P	art II-A To be completed by (election under sec						768
	Check If the filing organization	belongs to an affili	ated group				
<u>B</u>	Check If the filing organization Limits on Lo (The term "expenditure	bbying Expend	litures—		oly	(a) Filing Organization's Totals	(b) Affiliated Group Totals
1a	Total lobbying expenditures to influe	nce public opinion	(grass roots lob	bying)			
ь	Total lobbying expenditures to influe	nce a legislative b	ody (direct lobby	yıng)			
c	Total lobbying expenditures (add line	es 1a and 1b)					
d	Other exempt purpose expenditures						
e	Total exempt purpose expenditures	(add lines 1c and 1	Ld)				
f	Lobbying nontaxable amount Enters columns— If the amount on line 1e, column (a) or (b) is: Not over \$500,000		taxable amount				
	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% o	of the excess over \$	500,000			
	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% o	of the excess over \$	51,000,000			
	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of	f the excess over \$1	1,500,000			
	Over \$17,000,000	\$1,000,000					
	Grassroots nontaxable amount (ente	er 25% of line 1f)					
h	Subtract line 1g from line 1a Enter	0- ıflıne g ıs more	than line a				
i	Subtract line 1f from line 1c Enter-	0- ıflıne fıs more t	than line c				
j	If there is an amount other than zero section 4911 tax for this year?	on either line 1h c	or line 11, did the	organization file	Form 4720 rep	orting	┌ Yes ┌ No
	(Some organizations tha columns below.		on 501(h) el	ection do not	: have to cor		he five
	Lobb	ying Expendit	ures During	4-Year Avera	ging Period		
	Calendar year (or fisca beginning in)	l year	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) Total
_2a	Lobbying non-taxable amount						
	Lobbying ceiling amount (150% of line 2a, column(e))						
	: Total lobbying expenditures						

Schedule C (Form 990 or 990-EZ) 2008

,			_
Part II-B	To be completed by	organizations exempt under section 501(c)(3) that have NOT filed Form	Ī
	5768 (election und	er section 501(h)). (See the instructions for Schedule C for details.)	

		(a	a)	(b)
		Yes	No	A mount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
а	Volunteers?		No	
b	Paid staff or management (include compensation in expenses reported on lines c through i)?	Yes		
c	Media advertisements?		No	
d	Mailings to members, legislators, or the public?		Νo	
е	Publications, or published or broadcast statements?		Νo	
f	Grants to other organizations for lobbying purposes?		No	
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		400,329
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means?		No	
i	Other activities If "Yes," describe in Part IV	Yes		2,600
j	Total lines 1c through		ı	402,929
	11			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		Νo	
Ь	If "Yes" enter the amount of any tax incurred under section 4912			
c	If "Yes" enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			
Par	\mathbf{t} To be completed by all organizations exempt under section $501(c)(4)$ s	ection	501(c)(5) or

Part III-A To be completed by all organizations exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6). (See the instructions for Schedule C for details.)

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2		
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3		

Part III-B To be completed by all organizations exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, questions 1 and 2 are answered "No" OR if Part III-A, question 3 is answered "Yes." (See the instructions for Schedule C for details.)

2	Section 162(e) non-deductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
а	Current Year	2a \$	
b	Carryover from last year	2b \$	
c	Total	2c \$	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3 \$	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4 \$	
5	Taxable amount of lobbying and political expenditures (line 2c total minus 3 and 4)	5 \$	_

Part IV Supplemental Information

Dues, assessments and similar amounts from members

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i

Ident if ier	Return Reference	Explanation
Part II-B, Line 11	Explanation of Other Lobbying Activities	Dues paid to professional organizations who employ lobbyists
Part IV, Supplemental Information		An insubstantial portion of the university's total activities involved legislative and regulatory matters of direct concern to higher education or of compelling importance to Rice in particular. Institutional efforts to influence legislation are directed by the office of Public Affairs. The university does not have a lobbying office in Washington or Austin. During this period, the university employed a full-time person whose primary assignment included federal and state lobbying activity and an outside registered lobbyist in Washington for part-time work. Activities included contacts by letters, phone calls, and meetings with legislators, members of their staffs, or other government officials and meetings with local citizens regarding issues. The total amount spent by Rice in lobbying represents an insignificant part of the university's total expenditures, even making the most generous allowances for any activities that may be defined as lobbying by Rice employees outside the Public Affairs office working on behalf of the university. Rice also pays membership dues to associations of educational institutions and those organizations may in turn lobby on behalf of the interest of their members.

1 \$

Part IV Supplemental I	Part IV Supplemental Information				
Ident if ier	Return Reference	Explanation			

Schedule C (Form 990 or 990EZ) 2008

OMB No 1545-0047

Open to Public Inspection

SCHEDULE D

(Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

▶ Attach to Form 990. To be completed by organizations that answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.

Employer identification number Name of the organization William Marsh Rice University 74-1109620

Par	organizations Maintaining Donor A organization answered "Yes" to Form 9		r Funds or Account	s. Complete if the		
		(a) Donor advised funds	(b) Funds and	other accounts		
1	Total number at end of year					
2	Aggregate Contributions to (during year)					
3	Aggregate Grants from (during year)					
4	Aggregate value at end of year					
	Did the organization inform all donors and donor adfunds are the organization's property, subject to the			┌ Yes ┌ No		
	Did the organization inform all grantees, donors, an used only for charitable purposes and not for the be impermissible private benefit?		•	┌ Yes ┌ No		
Par	Conservation Easements. Complete	e if the organization answered "Ye	s" to Form 990, Part I	V, line 7.		
1	Purpose(s) of conservation easements held by the Preservation of land for public use (e g , recrea Protection of natural habitat Preservation of open space	tion or pleasure) Preservation	of an historically importar of certified historic struct	•		
	Complete lines 2a-2d if the organization held a qua on the last day of the tax year	alified conservation contribution in the	-			
			Held a	the End of the Year		
а	Total number of conservation easements		2a			
b	Total acreage restricted by conservation easemer	2b				
С	Number of conservation easements on a certified	historic structure included in (a)	2c			
d	Number of conservation easements included in (c) acquired after 8/17/06					
	Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year					
4	Number of states where property subject to conserv	vation easement is located ►				
	Does the organization have a written policy regarding enforcement of the conservation easements it holds		violations, and	┌ Yes ┌ No		
6	Staff or volunteer hours devoted to monitoring, insp	pecting and enforcing easements during	g the year ►			
7	A mount of expenses incurred in monitoring, inspec	ting, and enforcing easements during t	ne year ► \$			
8	Does each conservation easement reported on line $170(h)(4)(B)(i)$ and $170(h)(4)(B)(ii)$?	2(d) above satisfy the requirements o	fsection	┌ Yes ┌ No		
	In Part XIV, describe how the organization reports balance sheet, and include, if applicable, the text of the organization's accounting for conservation ease	f the footnote to the organization's final ements	ncial statements that des	cribes		
Part	Organizations Maintaining Collecti Complete if the organization answered			Assets.		
	If the organization elected, as permitted under SFA art, historical treasures, or other similar assets hel provide, in Part XIV, the text of the footnote to its f	d for public exhibition, education or res	search in furtherance of p			
_	If the organization elected, as permitted under SFA historical treasures, or other similar assets held for provide the following amounts relating to these item	r public exhibition, education, or resea				
	(i) Revenues included in Form 990, Part VIII, line	1	► \$	2		
	(ii) Assets included in Form 990, Part X		- \$	4,055,295		
2	If the organization received or held works of art, his following amounts required to be reported under SF,	· · · · · · · · · · · · · · · · · · ·	- 1	ıde the		
а	Revenues included in Form 990, Part VIII, line 1		► \$			
b	Assets included in Form 990. Part X					

ar	(1111) Organizations Maintaining Coll	ections of Art, H	istori	<u>ical Treasi</u>	ires, or Oth	<u>ner Similar As</u>	sets (co	ntınued)
3	Using the organization's accession and other in items (check all that apply)	records, check any of	the fol	lowing that ar	e a sıgnıfıcan	t use of its collect	ıon	
а	Public exhibition	d	ı 「	Loan or exc	hange prograr	ms		
b	Scholarly research	e		Other				
c	✓ Preservation for future generations							
1	Provide a description of the organization's coll Part XIV	lections and explain h	now the	y further the	organızatıon's	exempt purpose ı	n	
5	During the year, did the organization solicit or assets to be sold to raise funds rather than to						_ Yes	√ No
Pai	rt IV Trust, Escrow and Custodial A					swered "Yes" to	Form 9	90,
	Part IV, line 9, or reported an amo							•
la	Is the organization an agent, trustee, custodia included on Form 990, Part X?	in or other intermedia	ry for d	ontributions	or other asset		_ Yes	┌ No
b	If "Yes," explain why in Part XIV and complete	e the following table			_			
						Am	ount	
С	Beginning balance				10			
d	Additions during the year				10	d		
e	Distributions during the year				10	е		
f	Ending balance				11	F		
a	Did the organization include an amount on For	m 990, Part X, line 2:	1?			I	Yes	☐ No
b	If "Yes," explain the arrangement in Part XIV							
Pa	rt V Endowment Funds. Complete if							
	_	(a)Current Year	(b)Prio	r Year (c)T	wo Years Back	(d)Three Years Back	(e)Four Y	ears Back
a	Beginning of year balance	4,656,434,000						
b	Contributions	62,611,000						
С	Investment earnings or losses	-836,508,964						
d	Grants or scholarships	23,368,000						
e	Other expenditures for facilities and programs	191,291,000						
f	Administrative expenses	2,610,036						
g	End of year balance	3,665,267,000						
:	Provide the estimated percentage of the year	end balance held as						
а	Board designated or quasi-endowment 🕨 4	14 890 %						
ь	Permanent endowment ► 54 310 %							
c	Term endowment ▶ 0840 %							
la	Are there endowment funds not in the possess	ion of the organizatio	n that	are held and a	admınıstered f	or the	F	
	organization by (i) unrelated organizations					3a(Yes	No No
	(ii) related organizations					3a(i		No
ь	If "Yes" to 3a(II), are the related organizations					3Ł		
ļ	Describe in Part XIV the intended uses of the	•					<u> </u>	<u> </u>
a l	rt VI Investments—Land, Buildings,	and Equipment.	See I	orm 990, P	art X, line 1	0.		
	Description of investment			Cost or other (investment)	(b)Cost or other basis (other)	(c) Depreciation	(d) Boo	k value
.a	Land				·		2	3,785,000
b	Buildings			791,616,000		241,492,425		0,123,57
	Leasehold improvements					. ,		· ·
	Equipment			310,529,000		169,912,206	14	0,616,794
e	Other			380,047,561				0,047,561

1,094,572,930

Part VII Investments—Other Securities. See	Form 990, Part X, line 1	2.	
(a) Description of security or cateory (including name of security)	(b) Book value		d of valuation year market value
Financial derivatives and other financial products			
Closely-held equity interests	1,614,776,000		F
<u>O ther</u>			
Total. (Column (b) should equal Form 990, Part X, col (B) line 12)	1,614,776,000		
Part VIII Investments—Program Related. Se	e Form 990, Part X, line	13.	
(a) Description of investment type	(b) Book value	(c) Method	d of valuation
(a) Description of investment type	(b) book value	Cost or end-of-	year market value
Tabel (Caluma (b) should asked Faura COO Bart V and (B) line 12)			
Part IX Other Assets. See Form 990, Part X, col (B) line 13) Part IX	l		
(a) Descri			(b) Book value
Total. (Column (b) should equal Form 990, Part X, col.(B) line			
Part X Other Liabilities. See Form 990, Part X			
(a) Description of Liability Federal Income Taxes	(b) A mount		
Life income and annuity funds payable	79,433,388		
A mounts refundable and amounts held in trust	6,974,834		
Amounts retained ble and amounts need in trust	0,374,034		
Total. (Column (b) should equal Form 990, Part X, col (B) line 25)	86,408,222		

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	
4	Net unrealized gains (losses) on investments	4	
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	
9	Total adjustments (net) Add lines 4 - 8	9	
10	Excess or (deficit) for the year per financial statements. Combine lines 3 and 9	10	
Part	XII Reconciliation of Revenue per Audited Financial Statements With Revenue p	er Re	turn
1	Total revenue, gains, and other support per audited financial statements	1	
2	A mounts included on line 1 but not on Form 990, Part VIII, line 12		
а	Net unrealized gains on investments 2a		
b	Donated services and use of facilities		
c	Recoveries of prior year grants		
d	Other (Describe in Part XIV) 2d		
e	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
а	Investment expenses not included on Form 990, Part VIII, line 7b . 4a		
b	Other (Describe in Part XIV) 4b		
c	Add lines 4a and 4b	4c	
5	Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12)	5	
Part	XIII Reconciliation of Expenses per Audited Financial Statements With Expenses	per F	Return
1	Total expenses and losses per audited financial statements	1	
2	A mounts included on line 1 but not on Form 990, Part IX, line 25		
а	Donated services and use of facilities		
b	Prior year adjustments]	
c	Losses reported on Form 990, Part IX, line 25 2c		
d	Other (Describe in Part XIV)		
e	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	
4	A mounts included on Form 990, Part IX, line 25, but not on line 1:		
а	Investment expenses not included on Form 990, Part VIII, line 7b 4a		
b	Other (Describe in Part XIV) 4b	1	
c	Add lines 4a and 4b	4c	
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18)	5	
Par	t XIV Supplemental Information		
_	The state of the s		

Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part XIV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b

Ident if ier	Return Reference	Explanation
Part III, Line 4		The university accepts, from time to time, donations of art, historical treasures or similar assets. Such items are available for public exhibition and are available for scholarly research. The university is a steward of these assets, preserving them for future generations.
Part V , Line 4	Description of Intended Use of Endowment Funds	The university's endowment earnings distribution policy guides the rate at which funds are released from the endowment for current spending. The policy reflects the desire to balance the current resource needs of the university with the long-term objectives of preserving the purchasing power of the endowment for future generations and ensuring reasonable year-over-year stability of future endowment payouts. Under the policy, endowment spending is between 4 percent and 6.5 percent of the trailing three-year average endowment market value, with a targeted range of 4.5 percent to 5.5 percent in the intermediate term.

SCHEDULE E

(Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Schools

Attach to Form 990 or Form 990-EZ. To be completed by organizations that answer "Yes" to Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48. OMB No 1545-0047

	e of the organization m Marsh Rice University	Employer identification number			
williai	'	4-1109620			
	·			YES	NO
1	Does the organization have a racially nondiscriminatory policy toward students by statement in its other governing instrument, or in a resolution of its governing body?	s charter, bylaws,	1	Yes	
2	Does the organization include a statement of its racially nondiscriminatory policy toward students brochures, catalogues, and other written communications with the public dealing with student adm programs, and scholarships?		2	Yes	
3	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcasthe period of solicitation for students, or during the registration period if it has no solicitation progethat makes the policy known to all parts of the general community it serves? If "Yes," please descriptions of the general community it serves?	ram, ın a way			
	please explain The university includes a statement of its racially nondis- criminatory policy towards students in l catalogues, and other written communications with the public dealing with student admissions, pro scholarships		3_	Yes	
4	Does the organization maintain the following?				
a	Records indicating the racial composition of the student body, faculty, and administrative staff?		4a	Yes	
t	Records documenting that scholarships and other financial assistance are awarded on a racially no basis?	ondiscriminatory	4b	Yes	
c	Copies of all catalogues, brochures, announcements, and other written communications to the pub with student admissions, programs, and scholarships?	lic dealing	4c		Νo
c	Copies of all material used by the organization or on its behalf to solicit contributions?		4d	Yes	
	If you answered "No" to any of the above, please explain (If you need more space, attach a separ	ate statement)			
	We make every effort within the context of a large university to retain copies of all catalogues, broannouncements, and other written communications to the public dealing with student admissions, scholarships	,			
5 a	Does the organization discriminate by race in any way with respect to students' rights or privileges?		5a		Νo
ŀ	Admissions policies?		5b		Νo
c	Employment of faculty or administrative staff?		5c		Νo
c	Scholarships or other financial assistance?		5d		Νo
•	Educational policies?		5e		Νo
f	Use of facilities?		5f		Νo
g	Athletic programs?		5g		Νo
ŀ	Other extracurricular activities?	rate statement)	5h		Νo
	If you answered "Yes" to any of the above, please explain (If you need more space, attach a sepa	rate statement)			
	Does the organization receive any financial aid or assistance from a governmental agency?		6a	Yes	
ŀ	Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 6a or b, please explain using an attached statement.		6b		Νo
7	Does the organization certify that it has complied with the applicable requirements of sections 4.0	1 through 4 05			
-	of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an expla		7	Yes	

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93493134041480

OMB No 1545-0047

2008

Open to Public

SCHEDULE F (Form 990)

Department of the Treasury Internal Revenue Service

▶ Attach to Form 990. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

Statement of Activities Outside the United States

Inspection

Name of the organization William Marsh Rice University							Employer identification number		
VVIII	iam Marsh Rice University					74-110962	0		
P	General Infor			ide the United States	s. Complete	e if the organ	nization answered		
1				ecords to substantiate	the amount	of the grant	s or		
	_	_		assistance, and the se		_			
	the grants or assistar	nce					✓ Yes / No		
2	For grant makers. Descr United States	ibe in Part IV the	organization's pr	ocedures for monitoring th	ne use of grar	nt funds outsıd	e the		
3	Activites per Region (U	se Schedule F-1	(Form 990) ıf adı	ditional space is needed)					
	(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	ıs a progr describe sp	ty listed in (d) am service, pecific type of s) in region	(f) Total expenditures in		
A frı	ca	0	0	Program services	A nthropolgy A rchaeology research				
Car	rıbean	0	0	Program services	Rice 360 pi disease, imp reduce pove	rove health,			
Cen	tral and South America	0	0	Program services	Rice 360				
Sou	theast Asıa	0	0	Program services	Rice 360				
Eur	ope	1	1	Program services	Educational expose stud European ar	ents to			

Totals .

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) A mount of cash grant	(f) Manner of cash disbursement	(g) A mount of of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other
	_							
	_							
	_							
	_							-
	_							_
	_							
	_							
	_							
	_							
	_							
	_							
	_							
	_							

	F-1 (Form 990) if a			tea States. Complete	if the organization a	inswered "Yes" to Form	990, Part IV, line 16.
(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) A mount of cash grant	(e) Manner of cash disbursement	(f) A mount of non- cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
		+					
		1					
		+					
			•				

Schedule F (Form 990) 2008

Supplemental Info Complete this part t	ormation to provide the information regi	ured in Part I, line 2, and any other additional information.
Identifier	ReturnReference	Explanation
Procedure for Monitoring Grants		Schedule F, Part I, Line 2 The university does not make grants
Outside the U S		outside the United States
Method Used to Acccount for		Schedule F, Part I, Line 3 The accrual method is used to account
Expenditures		for expenditures which are carried on the books and records of the
		university
-		
_		

Software ID:

Software Version:

EIN: 74-1109620

Name: William Marsh Rice University

Form 990 Schedule F Part II - Grants and Other Assistance to Organizations or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) A mount of cash grant	(f) Manner of cash disbursement	(g) A mount of non- cash assistance	(h) Description of non-cash assistance	(ı) Method of valuation (book, FMV, appraisal, other)
--------------------------	---	------------	-------------------------	------------------------------	------------------------------------	---	--	--

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As Filed Data -

DLN: 93493134041480

OMB No 1545-0047

Department of the Treasury

(Form 990 or 990-EZ)

SCHEDULE G

Attach to Form 990 or Form 990-EZ. Must be completed by organizations that answer "Yes" to Form 990, Part IV, lines 17, 18, or 19, and by organizations that enter more than \$15,000 on Form 990-EZ, line 6a.

Supplemental Information Regarding

Fundraising or Gaming Activities

Open to Public

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization. Form 990-EZ filers are not required to complete this table (iii) Did [undraiser have]	Internal Re	evenue Service	lines 17, 18, or 19, and b	oy organizati	ons that en	ter more than \$15,000 on For	m 990-EZ, line 6a.	Inspection										
Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17. 1 Indicate whether the organization raised funds through any of the following activities. Check all that apply a		•	sitv				Employer ider	ntification number										
Indicate whether the organization raised funds through any of the following activities. Check all that apply A	willian	THUISTI RICC OTIVET	Sity				74-1109620											
a	Part	II Fundraising	Activities. Complete	ıf the or	ganızat	ion answered "Yes"	to Form 990, Part IV	, line 17.										
or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising activities? Yes If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization Form 990-EZ filers are not required to complete this table (i) Name of individual or entity (fundraiser) (ii) Activity (iii) Did fundraiser have custody or control of contributions? (iv) Gross receipts from activity fundraiser listed in col (i) (vi) A mount paid to (or retained by) fundraiser listed in organization	_	Mail solicitations Email solicitation Phone solicitation	s is	through ar	ny of the	e Solicitation of r	non-government grants government grants											
(i) Name of individual or entity (fundraiser) (ii) Activity (iii) Activity (iii) Activity (iii) Activity (iv) Gross receipts from activity (v) Amount paid to (or retained by) fundraiser listed in coll (i) (vi) Amount paid to (or retained by) fundraiser listed in coll (ii)	b I	or key employees list f "Yes," list the ten h	ted in Form 990, Part VII) nighest paid individuals or	or entity entities (f	ın connec undraisei	ction with professional f rs) pursuant to agreeme	fundraising activities? ents under which the fur	ndraiser is										
Yes No	-	•	I (III) A CTIVITY	fundraiser have custody or control of		fundraiser have custody or control of		fundraiser have custody or control of		fundraiser have custody or control of		fundraiser have custody or control of		fundraiser have custody or control of		1	(or retained by) fundraiser listed in	
				Yes	No													
Total •	Total		1	1	>													

List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or 3 licensing

			(-) F	events with gross rece				
			(a) Event #1 RDA Gala (event type)	(b) Event #2 Baker Ins Gala (event type)	(c) O ther Events 4 (total number)	(d) Tot (Add col col		
<u>₽</u>	1	Cross research	677,228	1,581,462	1,524,985		3,783	3,675
Kevelikue	2	Gross receipts	-325,716	-1,489,091	-744,040		-2,558	3,847
_	3	Gross revenue (line 1 minus line 2)	1,002,944	3,070,553	2,269,025		6,342	2,522
	4	Cash Prizes						
တ္က	5	Non-cash Prizes						
Expenses	6	Rent/Facility costs						
ភ្ ថ	7	Other direct expenses	316,564	99,019	527,965			3,548
다 드 드	8	Direct expense summary Add line	es 4 through 7 in column	(d)			943	3,548
	9	Net income summary Combine lin	es 3 and 8 in column (d)		🕨		5,398	3,974
Par	t III	Gaming. Complete if the or \$15,000 on Form 990-EZ, lin		"Yes" to Form 990, Par	rt IV, line 19, or repo	rted more	e than	1
Revenue			(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) O ther gaming	(d) Total col (a) thr		
ш.	1	Gross revenue						
မှာ မှာ	2	Cash prizes						
Expenses	3	Non-cash prizes						
១ ក្ល	4	Rent/facility costs						
Dlead	5	Other direct expenses						
	6	Volunteer labor	┌ Yes% ┌ No	┌ Yes	┌ Yes%			
	7	Direct expense summary Add lines	2 through 5 in column (d)				
	8	Net gaming income summary Com	bine lines 1 and 7 in colu	ımn (d)	🕨			
9 a		er the state(s) in which the organiza he organization licensed to operate			· · · · · · · · · · · · · · · · · · ·	· 9a	Yes	No
b		No," Explain university does not operate any gai	ming activities					
.0a		e any of the organization's gaming li	_	ded or terminated during	the tax vear?	10a		
b		Yes," Explain			,	104		
•	D = -	a the community of the		na 2		٦		
.1	υoe	s the organization operate gaming a	ictivities with nonmembe	rs/		11		

			
13	Indicate the percentage of gaming activity operated in		
а	The organization's facility		
b	An outside facility		
14	Provide the name and address of the person who prepares the organization's gaming/special events books and records		
	Name •		
	Address •		
15a	Does the organization have a contract with a third party from whom the organization receives gaming revenue?	15a	
Ь	If "Yes," enter the amount of gaming revenue received by the organization > \$ and the amount of gaming revenue retained by the third party > \$		
c	If "Yes," enter name and address		
	Name •		
	Address 🟲		
16	Gaming manager information		
	Name 🟲		
	Gaming manager compensation 🕨 \$		
	Description of services provided 🟲		
	Director/officer Employee Independent contractor		
17	Mandatory distributions		
а	Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?	17a	
b	Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year 📂 💲		

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Grants and Other Assistance to Organizations, Governments and Individuals in the U.S.

OMB No 1545-0047

2008

DLN: 93493134041480

Open to Public

Department of the Treasury Internal Revenue Service Name of the organization

Schedule I

(Form 990)

Complete if the organization answered "Yes," on Form 990, Part IV, lines 21 or 22. Attach to Form 990.

Inspect ion

Employer identification number

William Marsh Rice University						74-1109620	
Part I General Infor	mation on Gra	nts and Assistance	e			l	
	ed to award the grai	nts or assistance?			gibility for the grants or as		√ Yes
Part IV and Sch	IV, line 21 for ar nedule I-1 if addi	ny recipient that rece tional space is	eived more than \$5,0	00. Check this box	tes. Complete if the oral fino one recipient rece	eived more than \$5,0	
1(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
2 Enter total number of se			•				
organizations							•
For Paperwork Reduction Act No				Cat No 50055			nedule I (Form 990) 2008

Schedule I	(Form 990) 2008	
Part III	Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" on Form 990, Part IV	/, line 22
	Use Schedule I-1 (Form 990) if additional space is needed.	

(a)Type of grant or assistance	(b) Number of recipients	(c) A mount of cash grant	(d)A mount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
Scholarships	3843	17,874,699	63,598,595	FMV	

Part IV Supplem
See Additional Data Table **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

Ident if ier	Return Reference	Explanation
Procedure for Monitoring Grants in the U S	Part I, Line 2	Schedule I, Part I, Line 2 Awards to undergraduates are based primarily on financial need determined by documented financial aid eligibility. Institutional need and merit awards, government grants and outside scholarships provided aid to over 2,300 undergraduates during the year. Graduate students are admitted based on merit as determined by the department to which they are admitted for study and receive aid from that department or from external agencies. Loans are awarded to eligible students based on need. Over \$17 million was paid directly to students (Form 990, Part III, line C) with the remainder applied internally to tuition, fees, and room and board.

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As Filed Data -

DLN: 93493134041480

OMB No 1545-0047

Schedule J (Form 990)

Department of the Treasury

Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest **Compensated Employees**

► Attach to Form 990. To be completed by organizations that answered "Yes" to Form 990, Part IV, line 23.

2008

Open to Public Inspection

Name of the organization William Marsh Rice University

Employer identification number

74-1109620

Pa	rt I Questions Regarding Compensation	n	·			
					Yes	Νo
1a	Check the appropriate box(es) if the organization pro 990, Part VII, Section A, line 1a Complete Part III					
	First class or charter travel	굣	Housing allowance or residence for personal use			
	▼ Travel for companions	\vdash	Payments for business use of personal residence			
	▼ Tax idemnification and gross-up payments	<u> - </u>	Health or social club dues or initiation fees			
	Discretionary spending account	⊽	Personal services (e g , maid, chauffeur, chef)			
b	b If line 1a is checked, did the organization follow a written policy regarding payment or reimbursement or provision of all the expenses described above? If "No," complete Part III to explain					No
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?					
3	Indicate which, if any, of the following the organization organization's CEO/Executive Director Check all the		У			
	Compensation committee	<u> </u>	Written employment contract			
	Independent compensation consultant	V	Compensation survey or study			
	Form 990 of other organizations	<u>~</u>	Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990,	Part VII	I, Section A, line 1a			
а	Receive a severance payment or change of control payment?					Νo
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?				Yes	
c	Participate in, or receive payment from, an equity-be	ased co	mpensation arrangement?	4c		Νo
	If "Yes" to any of lines 4a-c, list the persons and pr	ovide th	ne applicable amounts for each item in Part III			
	501(c)(3) and 501(c)(4) organizations only must co	mplete	lines 5-8.			
5	For persons listed in form 990 , Part VII, Section A, line $1a$, did the organization pay or accrue any compensation contingent on the revenues of					
а	The organization?			5a		Νo
b	Any related organization?			5b		Νο
	If "Yes," to line 5a or 5b, describe in Part III					
6	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of					
а	The organization?			6a		Νo
b	Any related organization?			6b		Νo
	If "Yes," to line 6a or 6b, describe in Part III					
7	For persons listed in form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III					
8	Were any amounts reported in Form 990, Part VII, p subject to the initial contract exception described in in Part III					No

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(I)-(III) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name	(B) Breakdown of	f W-2 and/or 1099-MI	SC compensation	(C) Deferred	(D) Nontaxable	(E) Total of columns	(F) Compensation
	(i) Base compensation	(ii) Bonus & Incentive compensation	(iii) Other compensation	compensation	benefits	(B)(ı)-(D)	reported in prior Form 990 or Form 990-EZ
See Addıtıonal Data Table (i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8 Also complete this part for any additional information

Ident if ier	Ret urn Ref erence	Explanation
		The university's written travel policy permits payment only of necessary and reasonable expenses for travel on university business. The university's policies constitute an accountable plan and are designed to comply with applicable Internal Revenue Service rules. Coach class airfare is required except in certain limited circumstances. These include medical necessity and scheduled flight segments over 6 hours in length, when business class fares may be used with prior approval. In rare cases, when an exception applies and no business class fare is available, a first class fare may be used, again with prior approval and documentation. Charter travel is sometimes employed for athletics teams, including coaches, when such travel is the least costly method of transportation. Under the university's travel policy, the university does not pay the travel costs of companions, unless there is a valid university business purpose for the companion's travel. Written approval must be obtained in advance from the appropriate dean or vice president documenting the reason for incurring the expense. When the university uses chartered air or ground transportation, travel for companions may occasionally be provided if the university incurs no additional cost. The president of the university is required to occupy university-owned housing as a condition of employment. Housekeeping staff and groundskeepers are provided for such property. The cost of such services not related to the public areas of the house is included in compensation reported. The fair market value of the housing provided, based on the appraised value of the house, is reported in the president's compensation as a nontaxable benefit. Health or social club dues were paid by the university for David Leebron and Wayne Graham and included in compensation reported.
		Construction delays, followed by Hurricane Ike, delayed the planned opening of the university's child care center at the beginning of fiscal 2009. The university chose to reimburse employees who incurred incremental expenses as a result of the delay, in order to maintain enrollment at the expected level. These reimbursements were paid as taxable income and were grossed up for estimated taxes. One vice president received a grossed up reimbursement on the same basis as that applied to other employees in similar circumstances. This was a one time occurrence for which no written policy existed. The payment was reported to the CORD committee at its next meeting.
		The following officer(s), director(s), trustee(s), key employee(s) and/or highest compensated employees participated in or received payment from a supplemental non-qualified retirement plan in calendar 2008 David Leebron \$110,250 award Eugene Levy \$91,894 distribution, \$50,000 award Scott Wise \$94,759 distribution, \$50,000 award Edward Djerejian \$31,484 distribution
	Part I, Line 7	Contracts of certain individuals include provisions that allow them to receive additional compensation if specific criteria are met or performance thresholds are achieved

Software ID: Software Version:

EIN: 74-1109620

Name: William Marsh Rice University

Form 990, Schedule J, Par	t II - Officers, Dire	ctors, Trustees, Ke	ey Employees, and	l Highest Compens	sated Employees		
(A) Name	(B) Breakdown o	f W-2 and/or 1099-MI	SC compensation	(C) Deferred	(D) Nontaxable	(E) Total of columns	(F) Compensation
	(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation	compensation	benefits	(B)(ı)-(D)	reported in prior Form 990 or Form 990-EZ
	(ı) 736,987 ıı)		55,210	139,650	86,026	1,017,873	
	E Collins (1) 279,949 5,000		25,500	29,400	6,982	346,831	
	(ı) 201,630 ıı)	17,000	20,000	29,400	11,269	279,299	
	(ı) 258,959 ıı)	7,500	36,000	29,400	26,734	358,593	
	(ı) 398,513 ıı)		127,894	79,400	14,412	620,219	50,000
	(ı) 215,053 ıı)	20,000	36,000	29,400	18,242	318,695	
	(ı) 218,158 ıı)	3,000	42,665	29,400	16,634	309,857	
	(ı) 221,734 ıı)	19,000	15,000	22,500	21,213	299,447	
	(ı) 127,283 ıı)	15,000	15,492	15,000	22,364	195,139	
	(ı) 260,808 II)	2,500	36,000	29,400	8,402	337,110	
	(ı) 578,422 II)	56,250	94,759	79,400	31,476	840,307	50,000
	(ı) 287,247 ıı)		35,500	29,400	25,692	377,839	
	(ı) 269,082 ıı)		23,998	22,500	27,225	342,805	
	(ı) 407,066		59,084	29,400	3,384	498,934	25,000
	(ı) 387,293 ıı)		36,000	29,400	21,633	474,326	
Sallie Keller	(I) 309,664 II)		7,915	29,400	14,001	360,980	
	(I) 318,901 II)		5,000	29,400	25,600	378,901	
	(ı) 375,269 ıı)		20,500	29,400	4,388	429,557	
	(ı) 299,040 ıı)	125,167	43,944	29,400	11,194	508,745	
	(ı) 316,470 II)	112,895	7,940	29,400	20,635	487,340	
	(ı) 366,783 ıı)		35,500	29,400	11,747	443,430	
	(ı) 346,002 II)		33,125	29,400	26,062	434,589	
Brent Smith	(I) 275,040 II)			22,500	5,507	303,047	

OMB No 1545-0047

(Form 990)

Schedule K

Department of the Treasury Internal Revenue Service Name of the organization

William Marsh Rice University

To be completed by organizations that answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Schedule O.

Supplemental Information on Tax Exempt Bonds

Open to Public **Inspection** Employer identification number

74 1100620

VVII	main Maish Rice Offiversity						74-1109620							
P	art I Bond Issues (Required	for 2008)		_									_	
	(a) Issuer Name	(b) Issuer EIN	(c) CUSIP #	(d) Date	Issued	(e) Issue	e Price	(f) Des	scription of	Purpose	(g) De	feased	Beh	On alf of uer
											Yes	No	Yes	No
A	City of Houston Higher Education Finance Corporation	76-0387014	442378DW2	06-04-	2008	199,	373,000	Constructio	n - bond			x		×
В	City of Houston Higher Education Finance Corporation	76-0387014	442378DA0	06-12-	2007	314,	632,000	Constructio	n - bond			х		х
c	City of Houston Higher Education Finance Corporation	76-0387014	442378BZ7	03-01-	2006	147,	180,000	Refunding b 2/8/06)	ond (7/20/	99,2/3/06,		×		х
D	City of Houston Higher Education Finance Corporation	76-0387014	44237LLV6	04-06-	2009	Rollover commercial pap		aper		×		х		
E	City of Houston Higher Education Finance Corporation	76-0387014	44237LMA1	05-26-2009 10,000,000 Con		Construction - commercial paper				×		х		
P	art III Proceeds (Optional for	2008)												
					A B		(С	D			E		
1	Total Proceeds of Issue													
_2	Gross Proceeds in Reserve Funds													
3	Proceeds in Refunding or Defeasai	nce Escrows												
4	Other Unspent Proceeds													
5	Issuance Costs from Proceeds													
6	Working Capital Expenditures from	n Proceeds												
7	Capital Expenditures from Proceed	ds												
8	Year of Substantial Completion						_							
				Yes	No	Yes	No	Yes	No	Yes	No	Ye	s	No
9	Were the bonds issued as part of a													
10	Were the bonds issued as part of a	an advance refunding	ıssue?											
11	Has the final allocation of proceed	s been made?												
12	Does the organization maintain ad final allocation of proceeds?	equate books and rec	ords to support the											
Pa	rt III Private Business Use	(Optional for 2008)					·		<u> </u>		I .		
					4	E	3	'	¢	D			Ę	
				Yes	No	Yes	No	Yes	No	Yes	No	Ye	s	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?													
2	Are there any lease arrangements which may result in private busine	with respect to the fi	nanced property											
For	Paperwork Reduction Act Notice, see the		າ 990.	ı	ı	Cat No 501	L93E	1	ı	Sch	nedule K	 (Form 99	0) 2008	

Schedule K	(Form 990) 20	800	
Part III	Private Bu	siness Use	(Continued)

		Α		В		C		D		E	
		Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts with respect to the financed property which may result in private business use?										
3b	Are there any research agreements with respect to the financed property which may result in private business use?										
3с	Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property?										
4	Enter the percentage of financed property used in a private business use by entities other than a 501(c)(3) organization or a state or local government										
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another 501(c)(3) organization, or a state or local government										
6	Total of lines 4 and 5										
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?										
Pai	rt IV Arbitrage (Optional for 2008)										
		ı	Α	E	3	(<u>c</u>	ı	<u> </u>	ı	Ε
		Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
1	Has a Form 8038-T been filed wth respect to the bond issue?										
2	Is the bond issue a variable rate issue?										
3a	Has the organization or the government issuer identified a hedge with respect to the bond issue on its books and records?										
ь	Name of provider										
С	Term of hedge										
4a	Were gross proceeds invested in a GIC?										
ь	Name of provider										
С	Term of GIC										
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?										
5											
	Were any gross proceeds invested beyond an available temporary period?										

OMB No 1545-0047

Schedule K (Form 990)

Supplemental Information on Tax Exempt Bonds

Open to Public **Inspection**

Department of the Treasury Internal Revenue Service Name of the organization

To be completed by organizations that answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Schedule O.

Employer identification number

	ne or the organization									Employer	identificat	tion num	ber	
	liam Marsh Rice University									74-1109	620			
P	art I Bond Issues (Required	for 2008)						1						
	(a) Issuer Name	(b) Issuer EIN	(c) CUSIP #	(d) Date	Issued	(e) Issue	e Price	(f) Des	cription of	Purpose	(g) De	feased	Beh)On alfof suer
											Yes	No	Yes	No
A	City of Houston Higher Education Finance Corporation	76-0387014	44237LLW4	04-07-	-2009	10,	000,000	Rollover cor (2/12/09)	nmercial pa	per		x		x
В	City of Houston Higher Education Finance Corporation	76-0387014	44237LLX2	05-06-	-2009	5,	000,000	Rollover cor (2/9/09)	nmercial pa	per		х		х
c	City of Houston Higher Education Finance Corporation	76-0387014	4423711Z7	05-11-	-2009	5,	000,000	Rollover cor	nmercial pa	per		х		х
D	City of Houston Higher Education Finance Corporation	76-0387014	44237LMB9	06-01-	-2009	10,	000,000	Rollover cor (3/2/09)	nmercial pa	per		х		х
E	City of Houston Higher Education Finance Corporation	76-0387014	44237LMC7	06-02-	-2009	5,	000,000	Rollover cor (5/7/09)	nmercial pa	per		х		х
P	Proceeds (Optional for	2008)			l									
	T				A	E	3		2	D	<u> </u>		E	
1	Total Proceeds of Issue											_		
2	Gross Proceeds in Reserve Funds Proceeds in Refunding or Defeasa													
3 4	Other Unspent Proceeds	TICE ESCIOWS												
- 5	Issuance Costs from Proceeds							+				+		
6	Working Capital Expenditures fron	n Proceeds												
7	Capital Expenditures from Procee											+		
8	Year of Substantial Completion													
				Yes	No	Yes	No	Yes	No	Yes	No	Ye	s	No
9	Were the bonds issued as part of a	a current refunding is	sue?											
10	Were the bonds issued as part of a	an advance refunding	ıssue?											
11	Has the final allocation of proceed	Is been made?												
12	Does the organization maintain ad final allocation of proceeds?	lequate books and red	cords to support the								-			
Pa	rt III Private Business Use	(Optional for 2008	2)	<u> </u>	1		l							
		` '	•		Ą	E	3			D)		E	
				Yes	No	Yes	No	Yes	No	Yes	No	Ye	s	No
1	Was the organization a partner in a which owned property financed by		ember of an LLC,											
2	Are there any lease arrangements which may result in private busine	with respect to the f	inanced property											

Schedule K	(Form 990) 20	800	
Part III	Private Bu	siness Use	(Continued)

		Α		В		C		D		E	
		Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts with respect to the financed property which may result in private business use?										
3b	Are there any research agreements with respect to the financed property which may result in private business use?										
3с	Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property?										
4	Enter the percentage of financed property used in a private business use by entities other than a 501(c)(3) organization or a state or local government										
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another 501(c)(3) organization, or a state or local government										
6	Total of lines 4 and 5										
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?										
Pai	rt IV Arbitrage (Optional for 2008)										
		ı	Α	E	3	(<u>c</u>	ı	<u> </u>	ı	Ε
		Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
1	Has a Form 8038-T been filed wth respect to the bond issue?										
2	Is the bond issue a variable rate issue?										
3a	Has the organization or the government issuer identified a hedge with respect to the bond issue on its books and records?										
ь	Name of provider										
С	Term of hedge										
4a	Were gross proceeds invested in a GIC?										
ь	Name of provider										
С	Term of GIC										
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?										
5											
	Were any gross proceeds invested beyond an available temporary period?										

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Schedule K

(Form 990)

DLN: 93493134041480

OMB No 1545-0047

Supplemental Information on Tax Exempt Bonds To be completed by organizations that answered "Yes" to Form 990, Part IV, line 24a.

Open to Public Provide descriptions, explanations, and any additional information in Schedule O. Department of the Treasury Internal Revenue Service Inspection Name of the organization Employer identification number William Marsh Rice University 74-1109620 **Bond Issues** (Required for 2008) (h) O n (g) Defeased Behalf of (a) Issuer Name (b) Issuer EIN (c) CUSIP # (d) Date Issued (e) Issue Price (f) Description of Purpose Issuer Yes No Yes No City of Houston Higher Education Rollover commercial paper Finance Corporation 76-0387014 44237LMD5 06-10-2009 10.000.000 Х Χ (6/10/09) Part II Proceeds (Optional for 2008) В C D Ε Α Total Proceeds of Issue Gross Proceeds in Reserve Funds 2 Proceeds in Refunding or Defeasance Escrows 3 Other Unspent Proceeds Issuance Costs from Proceeds Working Capital Expenditures from Proceeds Capital Expenditures from Proceeds Year of Substantial Completion No Yes No Yes No Yes No Yes No Yes Were the bonds issued as part of a current refunding issue? Were the bonds issued as part of an advance refunding issue? 10 Has the final allocation of proceeds been made? 11 Does the organization maintain adequate books and records to support the final allocation of proceeds? Private Business Use (Optional for 2008) C Ε Α D Yes No Yes No Yes No Yes No Yes No Was the organization a partner in a partnership, or a member of an LLC, 1 which owned property financed by tax-exempt bonds? Are there any lease arrangements with respect to the financed property which may result in private business use?

Schedule K	(Form 990) 20	800	
Part III	Private Bu	siness Use	(Continued)

		Α		В		C		D		E	
		Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts with respect to the financed property which may result in private business use?										
3b	Are there any research agreements with respect to the financed property which may result in private business use?										
3с	Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property?										
4	Enter the percentage of financed property used in a private business use by entities other than a 501(c)(3) organization or a state or local government										
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another 501(c)(3) organization, or a state or local government										
6	Total of lines 4 and 5										
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?										
Pai	rt IV Arbitrage (Optional for 2008)										
		ı	Α	E	3	(<u>c</u>	ı	<u> </u>	ı	Ε
		Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
1	Has a Form 8038-T been filed wth respect to the bond issue?										
2	Is the bond issue a variable rate issue?										
3a	Has the organization or the government issuer identified a hedge with respect to the bond issue on its books and records?										
ь	Name of provider										
С	Term of hedge										
4a	Were gross proceeds invested in a GIC?										
ь	Name of provider										
С	Term of GIC										
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?										
5											
	Were any gross proceeds invested beyond an available temporary period?										

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Part I Excess Benefit Transactions (section 501(c)(3) and section 501 (c)(4) organizations only).

DLN: 93493134041480

OMB No 1545-0047

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Schedule L

(Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

► Attach to Form 990 or Form 990-EZ. ► To be completed by organizations that answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V lines 38b or 40b.

Transactions with Interested Persons

Name of the organization William Marsh Rice University

Employer identification number

74-1109620

To be completed by organization	ons that a	nswered "Yes" or I	n Form 990, Part IV	, line	25a or 25b	o, or F	orm 9	90-EZ			
1 (a) Name of disqualifie	d person		(b) Des	crıpt	ion of transa	ction			۴	c) Corr Yes	No.
									- 	res	ИО
2 Enter the amount of tax imposed on	_	•			,		nder				
section 4958								\$ ——			
3 Enter the amount of tax, If any, on III	ne 2, abov	e, reimbursed by	the organization .	•			<u> </u>	\$			
Part II Loans to and/or From											
To be completed by organiza	itions that	answered "Yes"	on Form 990, Part	IV,I	ine 26, or Fo	orm 9	90-E2	Z, Part	V, lın	e 38a	
	(b) Loai	n to or						(f		1	
(a) Name of interested person and	from		O riginal principal	/ -IX	D-1 d	1 ' 1	In	Appr		1	ritten
purpose	organiza	ation?	amount	(a)	Balance due	ueia	uit.	by board committe			illelit.
	То	From				Yes	No	Yes	No	Yes	No
Christopher Munoz											
Relocation		x	40,000		22,764		Νo		Νo	Yes	
Total			> \$		22,764					•	
Part IIII Grants or Assistance B					· ·	1					
To be completed by orgai				90,	Part IV, lin	ne 27					
(a) Name of interested person	(b) Relationship bet	ween interested pe	rson	/a\\ m	ount o	faro	at ar tu	na of	assista	nco
(a) Name of interested person		and the	organization		(C)AIII	ount c	n grai	it of ty	pe or	assista	iic e
Student					Scholarsh	пір					
Part IV Business Transactions											
To be completed by orgai	nızatıons	that answered	"Yes" on Form 9	90,	Part IV, lin	e 28	a, 28	b, or λ	28c.		
	(b)) Relationship								(e) Sha	-
(a) Name of interested person		veen interested	(c) A mount of		(d) Descr	iption	of tra	ansactı	on l	organiza	
,		erson and the organization	transaction		` ´	•			- ⊦	reven	
(P C				264	F1					Yes	No
/ Ping Sun		ent's spouse	<u> </u>		Employee						N o
Benchmark Capital Funds	Truste	e is r/officer	1,1/5,	3 U1	Inv fee						Νo
SCF Partners Funds	Truste	•	7/10	606	Inv fee				\dashv		N o
or ruithers ruinus	l l	r/officer	, , , ,	500	1114 166						
Double Black Diamond Ltd	i	e is Director	350.	000	Inv fee						No
				_					_		
Sterling Group Partners Funds	O ffice	r has family rel to	137,	545	Inv fee						Νο
	Partne	r									

OMB No 1545-0047

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SCHEDULE M (Form 990)

Department of the Treasury

To be completed by organizations that answered "Yes" on Form 990, Part IV, lines 29 or 30. Attach to Form 990

Non-Cash Contributions

Internal Revenue Service Name of the organization William Marsh Rice University

Employer identification number

					74-1109620			
Pa	rt I Types of Property			T	Т			
		(a) Check If applicable	(b) Number of Contributions	(c) Revenues reported on Form 990, Part VIII, line 1g	(d Method of d reven	etermı	ning	
1	Art—Works of art							
2	Art—Historical treasures .							
3	Art—Fractional interests							
4	Books and publications							
5	Clothing and household goods							
6	Cars and other vehicles							
7	Boats and planes							
8	Intellectual property							
	Securities—Publicly traded .	X	111	11,971,208	Share price on natl	exchg		
10	Securities—Closely held stock	•						
11	Securities—Partnership, LLC, or trust interests							
12	Securities—Miscellaneous							
13	Q ualified conservation contribution (historic structures)							
14	Qualified conservation contribution (other)							
15	Real estate—Residential .							
16	Real estate—Commercial							
17	Real estate—Other							
	Collectibles							
	Food inventory							
	Drugs and medical supplies .							
	Taxidermy							
	Historical artifacts	X	3	2	Nominal			
	Scientific specimens							
	Archeological artifacts			4.005				
25	Other (describe Event tickets)	X	1	1,395	F M V			
26	Scientific Other (describe equipment)	X	9	380,482	 FMV			
	Other (describe Equipment)	Х	3	2,670	FMV			
28	Other (describe Furniture)	Х	1	1	Nominal			
	Other (describe <u>Trees</u>)	Х	1	512	FM∨			
	Other (describe Meals)	Х	1	1	Nominal			
	Other (describe							
Musi	ıcal pment/supplies)	X	4	32,497	 FM\/			
29	Number of Forms 8283 receive			·				
	which the organization complete Acknowledgement	ed Form 828	=	ar for contributions for	29			1
30a	During the year, did the organiz	atıon receıv	e by contribution any prope	erty reported in Part I, lines	1-28 that it must		Yes	No
	least three years from the date for the entire holding period?			not required to be used for	exempt purposes	30a		No
b	If "Yes", describe the arrangem							
31	Does the organization have a gi			review of any non-standard	contributions?	31	Yes	
32a	Does the organization hire or us contributions?	e third part	es or related organizations	to solicit, process, or sell	non-cash	32a		No
	If "Yes", describe in Part II If the organization did not repor	t revenues ı	n Column (c) for a type of p	property for which Column (a	a)ıs			
	checked, describe in Part II		., , , , , , , , , , , , , , , , , , ,					

Supplemental Information. Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.				
Identifier	ReturnReference	Explanation		
240111111	Notal III Colored	Explanation		
	1	1		

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DLN: 93493134041480

SCHEDULE O (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Information to Form 990

► Attach to Form 990. To be completed by organizations to provide additional information for responses to specific questions for the Form 990 or to provide any additional information.

2008

Open to Public
Inspection

Name of the organization William Marsh Rice University Employer identification number

74-1109620

ldentifier	Return Reference	Explanation
Form 990, Part III, Iine 4d	Other Program Services	Student aid programs for approximately 5,000 undergraduate and graduate students enrolled in William Marsh Rice University Expenses \$ 82292699 including grants of \$ 81473294 Revenue \$ 0

ldentifie	Return Reference	Explanation
Form 990, P	Other Program Services	Services to undergraduate and graduate students including the registrar, commencement, multi-cultural affairs, admisions, academic records, student financial services, center for student professional development and the student and recreation centers among others Expenses \$ 42732153 including grants of \$ 0 Revenue \$ 14742216

ldentifier	Return Reference	Explanation
Form 990, Part VI, Section A, line 2		Please see Sch L and Sch O for discussion of family and business relationships among officers, directors, trustees and key employees

ldentifie	Return Reference	Explanation
Form 990, F VI, Section a line 10		A draft copy of Form 990 is discussed with the Audit Committee of the Board of Trustees and the final Form 990 is provided to all of the trustees before it is filed. It is also presented to the Compensation and Organizational Development (CORD) Committee of the Board of Trustees. The Form 990 is prepared by the Controller's Office, review ed within the Controller's Office, review ed by Pricew aterhouse Coopers as the paid preparer, review ed by the Vice President for Finance and the President together with other senior management before it is provided to the trustees.

ldentifier	Return Reference	Explanation
Form 990, Part VI, Section B, line 12c		A conflict of interest questionnaire is completed annually by officers, directors, trustees, key employees and other disqualified persons

ldentifier	Return Reference	Explanation
Form 990, Part VI, Section B, line 15		Compensation of the organization's officers is determined by the CORD committee of the Board of Trustees based on comparability data. The deliberations and decisions of the compensation committee of the Board of Trustees are contemporaneously documented as are all meetings of the Board and its committees.

ldentifier	Return Reference	Explanation
Form 990, Part VI, Section C, line 19		The financial statements are available to the public on the university's wiebsite and by request. The governing documents are filed with the state and are publicly available documents. Copies of the tax return are available to the public on request.

Identifier	Return Reference	Explanation
Sch L	I tructade caniar maint and	Kent Anderson (trustee) and Robert Clarke (trustee) have a family and business relationship. Kent Anderson (trustee) and L.E. Simmons (trustee) have a business relationship. Robert Brockman (trustee) and L.E. Simmons (trustee) have a business relationship. Robert Brockman (trustee) and Marc Shapiro (trustee) have a business relationship.

ldentifier	Return Reference	Explanation
Form 990 Part V Line 4b	Foreign financial accounts	The university participates in a number of alternative investment arrangements including partnerships based in foreign countries. The university does not have access to any bank accounts that may be maintained by the partnership nor direct control over any partnership assets. The university is in full compliance with reporting requirements and files IRS forms 926, 5471 and 8865 as required and files TDF form 90 22-1 timely. The university has a bank account and investments in France. All other accounts listed are alternative investments as described above.

ldentifier	Return Reference	Explanation
	Conflict of interest	Members of the university's board of trustees and senior management may, from time to time, be associated, either directly or through interlocking board memberships, with entities doing business with the university. The university employs a conflict of interest policy that requires any such associations be disclosed in writing on an annual basis. When such associations exist, measure are taken to mitigate any actual or perceived conflict, including recusal of the board member from any decisions involving the entity doing business with the university. The transactions with the entities associated with trustees or senior management are not considered to be significant and may include investment management, common participation in investment vehicles, or the purchase of goods or services. When the university enters into such transactions, the prices are set at fair market value and the terms are no less beneficial than those offered to others in the ordinary course of business.

Identifie	r Return Reference	Explanation
Schedule	K Bond Issue A	CHHEFC Rice University Series 2008A and 2008B have CUSIP numbers 442378DW2 and 442378DV4

Identifier	Return Reference	Explanation							
Schedule K	Bond Issue B	CHHEFC Rice University Series 2007A and 2007B have the following CUSIP numbers 442378CD5, 442378CE3, 442378CF0, 442378CG8, 442378CH6, 442378CJ2, 442378CK9, 442378CL7, 442378CM5, 442378CN3, 442378CP8, 442378CQ6, 442378CR4, 442378CS2, 442378CT0, 442378CU7, 442378CV5, 442378CW3, 442378CX1, 442378CY9, 442378CZ6, 442378DA0							

Identifier	Return Reference	Explanation
Schedule K	Bond Issue C	CHHEFC Rice University Series 2006A and 2006B have CUSIP numbers 442378BZ7 and 442378CA1

ldentifier	Return Reference	Explanation
990 Part IV line 12	Audited financial statements	The university and its subsidiary corporations are audited on a consolidated basis but reported separately for tax purposes

ldentifier	Return Reference	Explanation
Schedule J lines 15a and 15b	Compensation	The Compensation and Organizational Development Committee of the Board of Trustees of Rice University employs a process for reviewing the reasonableness of compensation for the President and other disqualified persons at Rice University, which includes some key employees, pursuant to Section 4958 of the Internal Revenue Code. The written process is annually reviewed prior to approval of changes to compensation for all disqualified persons at Rice, and is revised from time to time to add new disqualified persons as appropriate. The original roster of disqualified persons was most recently revised in March 2009. The Committee's process is intended to assure the Board of Trustees that compensation arrangements for all disqualified persons are reasonable and satisfy the requirements of the Code and regulations. The process is as follows 1) Ensuring that the terms of transaction are approved by organization's governing body, or a committee thereof, whose members have no conflict of interest with respect to the arrangement. a) Board of Trustees delegated authority to the Compensation Committee to act on behalf of the Board in these matters at its meeting on March 25, 1999. b) Total compensation package will be reviewed and approved by Compensation Committee prior to the beginning of the fiscal year, or before the effective date of specific pay increases, and usually at the May Compensation Committee meeting, via a later telephonic meeting or by written consent in lieu of a meeting. O) None of the members of the Compensation Committee has a conflict of interest with respect to this arrangement. d)Members will recuse themselves from any vote in which they have a potential conflict of interest 2) Ensuring that the governing body or committee obtains and relies upon appropriate, comparable data before making its determination. a) Rice participates in the Towers Perrin annual study of select university executive compensation, and appropriate additional and special surveys. These reports reflect compensation of universitie

ldentifier	Return Reference	Explanation
990 Part I lines 9 and 13	Tuition discount	For tax year 2008 the tuition discount (amount of aid awarded from university funds to its own students) has been grossed up in the tuition revenue and scholarship expense

ldentifier	Return Reference	Explanation					
Sch D Part V 1b	Contributions	Contributions amount includes \$21,500,000 of transfers and other additions for which there is no specific line on the schedule					

Identifier	Return Reference	Explanation						
Sch L Part	Loans To and From Interested Persons	The loan to Christopher Munoz was negotiated as part of the compensation package at the time of his employment in 2006 and was reported to the Compensation Committee of the Board of Trustees at its next meeting						

Identifier	Return Reference	Explanation
Sch L Part	Grant or Assistance Benfitting Interested Person	Need-based assistance of \$3,737 Per the answers to Frequently Asked Questions prepared by the IRS for Sch L, and in order to remain in compliance with FERPA, columns a and b have been completed in a manner that preserves the student's anononymity

ldentifier	Return Reference	Explanation
Sch D Part III	Art, historical treasures and other similar assets	Asset amount reported for art, historical treasures and other similar assets held for public exhibition, education or research includes the construction and installation costs for outdoor sculpture

OMB No 1545-0047 2008

Open to Public

Related Organizations and Unrelated Partnerships

Department of the Treasury Internal Revenue Service

(Form 990)

SCHEDULE R

▶ Attach to Form 990. To be completed by organizations that answerd "Yes" to Form 990, Part IV, lines 33, 34, 35, 36, or 37. ► See separate instructions.

Inspection

Name of the	e organization Rice University	Employer identification number										
	,				74-1109620							
Part I	Part I Identification of Disregarded Entities											
	(A) Name, address, and EIN of disregarded entity	(B) Primary activity	(C) Legal domicile (state or foreign country)	(D) Total income	(E) End-of-year assets	(F) Direct controlling entity						
Partnership - None Paris FR	Rice & JTVP	Architectural education	FR	0	8,550	Rice University						
Part II	Identification of Related Tax-Exempt Organizat	ions										
	(A) Name, address, and EIN of related organization	(B) Primary activity	(C) Legal domicile (state or foreign country)	(D) Exempt Code section	(E) Public chanty status (if section 501(c)(3))	(F) Direct controlling entity						
See Additional	Data Table											

(A) Name, address, and EIN of related organization	(B) Primary act	·	(C) Legal domicile (state or foreign country)	(D) Direct controlling entity	(E) Predominant income(related, investment, unrelated)	(F) Share of total income	(G) Share of end-of- year assets	(H) Disproprtionate allocations?		(I) Code V—UBI amour on Box 20 of K-1	t General	(J) General o managing partner?	
								Yes	No	-	Yes	No	
												+	
												\perp	
												T	
												T	
												+	
												<u></u>	
Part IV Identification of Re	elated Org	anizations	Taxable a	as a Corporation	or Trust								
(A) Name, address, and EIN of related or <u>c</u>	ganization	(B) Primary activ	rity	(C) Legal domicile (state or foreign country)	(D) Direct controlling entity	(E) Type of entity (C corp, S corp, or trust)	(F) Share of total inco	me	Sha end-c	are of Per	(H) centage nership		
RI Patents 6100 Main Street Houston, TX77005 74-6067201		Patent company	у	тх	Rice University	С				100	000 %		
WMR Technology 6100 Main Street Houston, TX77005 76-0255197		Patent company	у	ТХ	Rice University	С				100	000 %		
Peabody Inc 6100 Main Street Houston, TX77005 41-2049836		Real estate title holding	2	ТХ	Rice University	С				100	000 %		

(4)

(5)

(6)

Part V	Transactions with Related Organizations
--------	--

Part	Transactions with Related Organizations							
N	Note. Complete line 1 if any entity is listed in Parts II, III or IV		Yes	No				
1 Dur	ring the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?							
a F	Receipt of (i) interest (ii) annuities (iii) royalties (iv) rent from a controlled entity	1a		No				
Ь	Gift, grant, or capital contribution to other organization(s)	1b		No				
c (Gift, grant, or capital contribution from other organization(s)	1 c		No				
d l	Loans or loan guarantees to or for other organization(s)	1d		No				
e l	Loans or loan guarantees by other organization(s)	1e		No				
f S	Sale of assets to other organization(s)	1f		No				
g F	Purchase of assets from other organization(s)	1g		No				
h E	Exchange of assets	1h		No				
i L	i Lease of facilities, equipment, or other assets to other organization(s)							
j L	ease of facilities, equipment, or other assets from other organization(s)	1j		No				
k F	Performance of services or membership or fundraising solicitations for other organization(s)	1k	Yes					
I P	erformance of services or membership or fundraising solicitations by other organization(s)	11		No				
m S	Sharing of facilities, equipment, mailing lists, or other assets	1m	Yes					
n S	Sharing of paid employees	1 n	Yes					
o F	Reimbursement paid to other organization for expenses	10		No				
p F	Reimbursement paid by other organization for expenses	1р		No				
q (O ther transfer of cash or property to other organization(s)	1q	Yes					
r C	Other transfer of cash or property from other organization(s)	1r	Yes					
2 I	f the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds							
	(A) Name of other organization(s) (B) Transaction type(a-r) (C) Amount Involved							
(1)								
See Additi Data Table								
(2)								
(3)								

Part VI Unrelated Organizations Taxable as a Partnership

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships

									_	
(A) Name, address, and EIN of entity	(B) Primary activity	(C) Legal domicile (state or foreign country)	(D) Are all partners section 501(c)(3) organizations?		(E) Share of end-of-year assets	(F) Disproprtionate allocations?		(G) Code V—UBI amount on Box 20 of K-1	(H) General o managing partner?)
			Yes	No		Yes	No		Yes	No
			•	•		•		Cabadul	D / Form	

Software ID: Software Version:

EIN: 74-1109620

Name: William Marsh Rice University

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

Form 990, Schedule K, Part II - Identification of Related 1	ax-Exempt Organiza	ations	ı	I	ı
(A) Name, address, and EIN of related organization	(B) Primary Activity	(C) Legal Domicile (State or Foreign Country)	(D) Exempt Code section	(E) Public charity status (if 501(c)(3))	(F) Direct Controlling Entity
Village Real Property 6100 Main Street Houston, TX77005 76-0353959	Real estate title holding	тх	501(c)2		Rice University
Village Projects Inc 6100 Main Street Houston, TX77005 76-0371337	Real estate title holding	ТХ	501(c)2		Rice University
JTVP Corporation 6100 Main Street Houston, TX77005 76-0258573	Real estate title holding	тх	501(c)2		Rice University
Village Venturers Inc 6100 Main Street Houston, TX77005 76-0258561	Real estate title holding	ТХ	501(c)2		Rice University
Rice Land Lumber Co 6100 Main Street Houston, TX77005 74-6045400	Real estate title holding	ТХ	501(c)2		Rice University
RU Corporation 6100 Main Street Houston, TX77005 74-6081393	Real estate title holding	ТХ	501(c)2		Rice University
Rice Trust Inc 6100 Main Street Houston, TX77005 74-1698122	Trust company	ТХ	501(c)4		Rice University
CCR 6100 Main Street Houston, TX77005 38-3751177	Supporting organization Type I	тх	501(c)3	509(a)3	Rice University

Form 990.	Schedule R.	Part V -	Transactions	with Related	Organizations
	, ocnicació iy		a a c	mich Kolacoa	or garrie a croris

Form 990, Schedule R, Part V - Transactions with Related Organizations										
	(A) Name of other organization	(B) Transaction type(a-r)	(C) A mount Involved (\$)							
(1)	Rice Land Lumber Company	R	6,200,728							
(2)	Rice Trust Inc	R	243,254							
(3)	Rice Trust Inc	М	1							
(4)	Rice Trust Inc	N	188,579							
(5)	R U Corporation	R	53,667							
(6)	Village Projects Inc	R	845,140							
(7)	Village Real Property Inc	R	712,224							
(8)	Rice Trust Inc	К	1							
4										

Software ID: Software Version:

EIN: 74-1109620

Name: William Marsh Rice University

Form 990, Part VII - Section Aaa

Form 990, Part VII - Section Aaa	<u> </u>										
		Posit t	(C non () hat a	chec		I				(F)	
(A) Name and Title	(B) Average hours per week	Individual Trustee or Director	Institutional Trustee	Officei	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	Estimated amount of other compensation from the organization and related organizations	
James W Crownover , Chairman Board of Truste	15 00	Х						0	0	0	
JD Bucky Allshouse , Trustee	2 00	Х						0	0	0	
D Kent Anderson , Trustee	2 00	Х						0	0	0	
Teveia Rose Barnes , Trustee	2 00	Х						0	0	0	
A lfredo Brener , Trustee	2 00	Х						0	0	0	
Vıckı W Bretthauer , Trustee	2 00	Х						0	0	0	
RT Brockman , Trustee	2 00	Х						0	0	0	
Keith T Anderson , Trustee	2 00	Х						0	0	0	
Bruce Dunlevie , Trustee	2 00	Х						0	0	0	
Lynn Laverty Elsenhans , Trustee	2 00	Х						0	0	0	
Douglas L Foshee , Trustee	2 00	Х						0	0	0	
Susanne M Glasscock , Trustee	2 00	Х						0	0	0	
Nancy P Carlson , Trustee	2 00	Х						0	0	0	
Robert L Clarke , Trustee	2 00	Х						0	0	0	
Robert R Maxfield , Trustee	2 00	Х						0	0	0	
Judge Lee H Rosenthal , Trustee	2 00	Х						0	0	0	
M Kenneth Oshman , Trustee	2 00	Х						0	0	0	
Jeffery O Rose , Trustee	2 00	Х						0	0	0	
Hector de J Ruiz , Trustee	2 00	Х						0	0	0	
Marc J Shapıro , Trustee	0 00	Х						0	0	0	
LE Sımmons , Trustee	2 00	Х						0	0	0	
Robert B Tudor III , Trustee	2 00	Х						0	0	0	
James S Turley , Trustee	2 00	Х						0	0	0	
David W Leebron , President	40 00	Х		Х				792,197	0	225,676	
Renee A Block , Assistant Secretary	40 00			Х				90,905	0	19,443	
Susan Castanza , Assistant Secretary	40 00			Х				113,900	0	20,440	
Katherine E Collins , Vice President	40 00			Х				310,449	0	36,382	
Holly A Hardy , Associate Treasurer	40 00			Х				238,630	0	40,669	
Kevın E Kırby , Vıce President	40 00			Х				302,459	0	56,134	
Eugene H Levy , Provost	40 00			Х				526,407	0	93,812	

Form 990, Part VII - Section Aaa

Form 990, Part VII - Section Aaa										
		(C) Position (check all that apply)								(F)
(A) Name and Title	(B) Average hours per week	Individual Trustee or Director	Institutional Trustee	Officei	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	Estimated amount of other compensation from the organization and related organizations
Ronald E Long , Associate Treasurer	40 00			Х				271,053	0	47,642
Christopher Munoz , Vice President	40 00			Х				263,823	0	46,034
Claire Dwyer Nelson , Associate Treasurer	40 00			х				255,734	0	43,713
Larry Perez , Associate Treasurer	40 00			Х				157,775	0	37,364
Linda L Thrane , Vice President	40 00			Х				299,308	0	37,802
Scott W Wise , Vice President/Treasurer	40 00			Х				729,431	0	110,876
Richard A Zansitis , General Counsel	40 00			Х				322,747	0	55,092
Darrow Zeidenstein , Vice President	40 00			Х				293,080	0	49,725
Edward Djerejian , Director of Baker Instit	40 00					Х		466,150	0	32,784
William H Glick , Dean Jones Grad Sch of B	40 00				×			423,293	0	51,033
Sallie Keller , Dean of Engineering	40 00				Х			317,579	0	43,401
Kathleen Matthews , Dean of Natural Sciences	40 00				x			323,901	0	55,000
Neal F Lane , University Professor	40 00					Х		395,769	0	33,788
Wayne L Graham , Coach	40 00					Х		468,151	0	40,594
David E Bailiff , Coach	40 00					Х		437,305	0	50,035
George Kanatas , Professor	40 00					Х		402,283	0	41,147
Malcolm Gillis , University Professor	40 00						Х	379,127	0	55,462
Brent Smith , Associate Professor	40 00						X	275,040	0	28,007

Form 990, Part VIII - Statement of Revenue - 2a - 2g Program Service Revenue -

	Business Code	(A) Total Revenue	(B) Related or Exempt Function Revenue	(C) Unrelated Business Revenue	(D) Revenue Excluded from Tax under IRC 512, 513, or 514
a Tuition and fees	900,099	153,824,309	153,824,309		
b Auxiliary operations	900,099	29,635,785	29,635,785		
c Departmental sales	541,900	17,543,110	17,524,024	19,086	
d Research contracts	900,099	7,244,228	7,244,228		
e Student loan interest	900,099	374,254	374,254		

Form 990, Part I, Line 1 - Briefly describe the Organization's mission or most significant activities:

As a leading research university with a distinctive commitment to undergraduate education, Rice University aspires to pathbreaking research, unsurpassed teaching, and contributions to the betterment of our world. It seeks to fulfill this mission by cultivating a diverse community of learning and discovery that produces leaders across the spectrum of human endeavor. The university enrolls approximately 5,300 students. Over 2,300 undergraduates and 2,000 graduate students receive financial aid.