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RETURN EXTENDED UNTIL FEBRUARY 15, 2010

Form 990

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

OMB No 1545-0047

2008

Open to Public Inspection

The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2008 calendar year, or tax year beginning JUL 1, 2008 and ending JUN 30, 2009

B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input checked="" type="checkbox"/> Initial return <input type="checkbox"/> Termination <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	Please use IRS label or print or type See Specific Instructions	C Name of organization NUCLEAR THREAT INITIATIVE, INC.		D Employer identification number 52-2289435
		Doing Business As		E Telephone number (202) 296-4810
		Number and street (or P O box if mail is not delivered to street address) Room/suite 1747 PENNSYLVANIA AVE. NW 700		G Gross receipts \$ 33,072,872.
		City or town, state or country, and ZIP + 4 WASHINGTON, DC 20006		H(a) Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions) H(c) Group exemption number ▶
F Name and address of principal officer: 1747 PENNSYLVANIA AVENUE, NW, WASHINGTON, DC				
I Tax-exempt status: <input checked="" type="checkbox"/> 501(c) (3) (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527				
J Website: ▶ WWW.NTI.ORG				
K Type of organization <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶				
L Year of formation: 2001 M State of legal domicile GA				

Part I Summary

Activities & Governance	1	Briefly describe the organization's mission or most significant activities: SEE STATEMENT 2	
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its assets.	
	3	Number of voting members of the governing body (Part VI, line 1a)	3
	4	Number of independent voting members of the governing body (Part VI, line 1b)	20
	5	Total number of employees (Part V, line 2a)	37
	6	Total number of volunteers (estimate if necessary)	0
	7a	Total gross unrelated business revenue from Part VIII, line 12, column (C)	0.
7b	Net unrelated business taxable income from Form 990-T, line 34	0.	
Revenue	8	Contributions and grants (Part VIII, line 1h)	31,181,375.
	9	Program service revenue (Part VIII, line 2g)	70,997.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	400.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	31,252,772.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	7,665,455.
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	4,883,921.
	14	Benefits paid to or for members (Part IX, column (A), line 4)	
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	5,017,124.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	17,566,500.
	16b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 458,043.	13,686,272.
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f)	
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	
	19	Revenue less expenses. Subtract line 18 from line 12	
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	17,953,667.
	21	Total liabilities (Part X, line 26)	80,319,447.
	22	Net assets or fund balances. Subtract line 21 from line 20	1,538,083.
		Beginning of Year	End of Year
		16,415,584.	26,440,800.

Part II Signature Block

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.			
	Signature of officer Charlotte S. Atkinson		Date 1/11/2010	
Paid Preparer's Use Only	Type or print name and title CHARLOTTE S. ATKINSON, TREASURER & C.F.O.			
	Preparer's signature Charlotte S. Atkinson	Date 1/11/2010	Check if self-employed <input type="checkbox"/>	Preparer's identifying number (see instructions) 00002137
Firm's name (or yours if self-employed), address, and ZIP + 4 AVALON FINANCIAL ADVISORS, LLC 133 LUCKIE STREET ATLANTA, GA 30303		EIN ▶ 58-1259406 Phone no. ▶ (404) 522-4200		

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes ☐ No

G15-19 14

Part III Statement of Program Service Accomplishments (see instructions)

1 Briefly describe the organization's mission:

SEE STATEMENT 2

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

☐ Yes ☒ No

If "Yes", describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?

☐ Yes ☒ No

If "Yes", describe these changes on Schedule O.

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 3,117,752. including grants of \$ 1,417,114.) (Revenue \$ 0.)
THE NUCLEAR SECURITY PROJECT - SEE STATEMENT 34b (Code:) (Expenses \$ 3,291,086. including grants of \$ 3,000,000.) (Revenue \$ 0.)
WORLD INSTITUTE FOR NUCLEAR SECURITY - SEE STATEMENT 34c (Code:) (Expenses \$ 3,191,878. including grants of \$ 2,011,341.) (Revenue \$ 0.)
GLOBAL HEALTH AND SECURITY INITIATIVE - SEE STATEMENT 3

4d Other program services. (Describe in Schedule O.)

(Expenses \$ 6,178,033. including grants of \$ 1,237,000.) (Revenue \$)

4e Total program service expenses ► \$ 15,778,749. (Must equal Part IX, Line 25, column (B).)

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Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	1 X	
2 Is the organization required to complete Schedule B, Schedule of Contributors?	2 X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>	3	X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities? <i>If "Yes," complete Schedule C, Part II</i>	4	X
5 Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations. Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? <i>If "Yes," complete Schedule C, Part III</i>	5	X
6 Did the organization maintain any donor advised funds or any accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>	6	X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>	7	X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>	8	X
9 Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>	9	X
10 Did the organization hold assets in term, permanent, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	10	X
11 Did the organization report an amount in Part X, lines 10, 12, 13, 15, or 25? <i>If "Yes," complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable</i>	11 X	
12 Did the organization receive an audited financial statement for the year for which it is completing this return that was prepared in accordance with GAAP? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII</i>	12 X	
13 Is the organization a school as described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>	13	X
14a Did the organization maintain an office, employees, or agents outside of the U.S.?	14a X	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the U.S.? <i>If "Yes," complete Schedule F, Part I</i>	14b X	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Part II</i>	15 X	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Part III</i>	16	X
17 Did the organization report more than \$15,000 on Part IX, column (A), line 11e? <i>If "Yes," complete Schedule G, Part I</i>	17	X
18 Did the organization report more than \$15,000 total on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	18	X
19 Did the organization report more than \$15,000 on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>	19	X
20 Did the organization operate one or more hospitals? <i>If "Yes," complete Schedule H</i>	20	X
21 Did the organization report more than \$5,000 on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21 X	
22 Did the organization report more than \$5,000 on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22	X
23 Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5? <i>If "Yes," complete Schedule J</i>	23 X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer questions 24b-24d and complete Schedule K. If "No," go to question 25</i>	24a	X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b	
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c	
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d	
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a	X
b Did the organization become aware that it had engaged in an excess benefit transaction with a disqualified person from a prior year? <i>If "Yes," complete Schedule L, Part I</i>	25b	X
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>	26	X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, or substantial contributor, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i>	27	X

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Part IV Checklist of Required Schedules (continued)

	Yes	No
28 During the tax year, did any person who is a current or former officer, director, trustee, or key employee:		
a Have a direct business relationship with the organization (other than as an officer, director, trustee, or employee), or an indirect business relationship through ownership of more than 35% in another entity (individually or collectively with other person(s) listed in Part VII, Section A)? <i>If "Yes," complete Schedule L, Part IV</i>	X	
b Have a family member who had a direct or indirect business relationship with the organization? <i>If "Yes," complete Schedule L, Part IV</i>	X	
c Serve as an officer, director, trustee, key employee, partner, or member of an entity (or a shareholder of a professional corporation) doing business with the organization? <i>If "Yes," complete Schedule L, Part IV</i>	X	
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	X	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		X
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>		X
35 Is any related organization a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>		X
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		X

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Part V Statements Regarding Other IRS Filings and Tax Compliance

		Yes	No
1a Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U.S. Information Returns. Enter -0- if not applicable	1a 35		
b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b 0		
c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		X	
2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a 37		
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return. (see instructions)		X	
3a Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?			X
b If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O			
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		X	
b If "Yes," enter the name of the foreign country: _____ See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.			
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			X
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			X
c If "Yes," to question 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?			
6a Did the organization solicit any contributions that were not tax deductible?			X
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			
7 Organizations that may receive deductible contributions under section 170(c).			
a Did the organization provide goods or services in exchange for any quid pro quo contribution of more than \$75?			X
b If "Yes," did the organization notify the donor of the value of the goods or services provided?			
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			X
d If "Yes," indicate the number of Forms 8282 filed during the year	7d		
e Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			X
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			X
g For all contributions of qualified intellectual property, did the organization file Form 8899 as required?	N/A		
h For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?	N/A		
8 Section 501(c)(3) and other sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	N/A		
9 Section 501(c)(3) and other sponsoring organizations maintaining donor advised funds.			
a Did the organization make any taxable distributions under section 4966?	N/A		
b Did the organization make a distribution to a donor, donor advisor, or related person?			
10 Section 501(c)(7) organizations. Enter: N/A			
a Initiation fees and capital contributions included on Part VIII, line 12	10a		
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b		
11 Section 501(c)(12) organizations. Enter: N/A			
a Gross income from members or shareholders	11a		
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b		
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?			
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year	N/A		

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Part VI Governance, Management, and Disclosure (Sections A, B, and C request information about policies not required by the Internal Revenue Code.)

Section A. Governing Body and Management

	Yes	No
For each "Yes" response to lines 2-7b below, and for a "No" response to lines 8 or 9b below, describe the circumstances, processes, or changes in Schedule O. See instructions.		
1a Enter the number of voting members of the governing body SEE STATEMENT 4	1a	20
b Enter the number of voting members that are independent	1b	20
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	X
4 Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?	4	X
5 Did the organization become aware during the year of a material diversion of the organization's assets?	5	X
6 Does the organization have members or stockholders?	6	X
7a Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a	X
b Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	7b	X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a The governing body?	8a	X
b Each committee with authority to act on behalf of the governing body?	8b	X
9a Does the organization have local chapters, branches, or affiliates?	9a	X
b If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	9b	
10 Was a copy of the Form 990 provided to the organization's governing body before it was filed? All organizations must describe in Schedule O the process, if any, the organization uses to review the Form 990 SEE STATEMENT 4	10	X
11 Is there any officer, director or trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	11	X

Section B. Policies

	Yes	No
12a Does the organization have a written conflict of interest policy? If "No," go to line 13	12a	X
b Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	X
c Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done SEE STATEMENT 4	12c	X
13 Does the organization have a written whistleblower policy?	13	X
14 Does the organization have a written document retention and destruction policy?	14	X
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision:		
a The organization's CEO, Executive Director, or top management official? SEE STATEMENT 4	15a	X
b Other officers or key employees of the organization? Describe the process in Schedule O. (see instructions)	15b	X
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	X
b If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed **SEE STATEMENT 1**

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.
☐ Own website ☒ Another's website ☒ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: **CHARLOTTE S. ATKINSON - 202.296.4810**
1747 PENNSYLVANIA AVE, NW STE 700, WASHINGTON, DC 20006

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors
Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Use Schedule J-2 if additional space is needed.

• List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

• List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

• List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

• List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if the organization did not compensate any officer, director, trustee, or key employee.

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
SENATOR SAM NUNN CO-CHAIRMAN & CEO	35.00	X		X				330,000.	0.	22,670.
CHARLES B. CURTIS PRESIDENT & COO	45.00	X		X				298,334.	0.	37,256.
R.E. TURNER CO-CHAIRMAN, BOARD OF DI	5.00	X						0.	0.	0.
DR. ALEXEI ARBATOV MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
PETE V. DOMINICI MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
SUSAN EISENHOWER MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
AMBASSADOR ROLF EKEUS MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
GEN EUGENE E. HABIGER MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
HRH PRINCE EL HASSAN BIN MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
IGOR S. IVANOV MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
PIERRE LELLOUCHE MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
SENATOR RICHARD G. LUGAR MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
DR. JESSICA T. MATHEWS MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
RONALD L. OLSON MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
JUDGE HISASHI OWADA MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
DR. WILLIAM PERRY MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
DR. NAFIS SADIK MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
PROFESSOR AMARTYA SEN MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
RT HON PROF S. WILLIAMS MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
PROFESSOR FUJIA YANG MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
JOAN ROHLFING SR. VP - PROGRAMS & OPER	45.00			X				219,020.	0.	40,606.
CHARLOTTE ATKINSON TREASURER & CFO	40.00			X				137,003.	0.	19,127.
BROOKE ANDERSON VP - COMMUNICATIONS	40.00			X				176,811.	0.	25,710.
LAURA HOLGATE VP - RUSSIA PROGRAM	40.00			X				206,417.	0.	36,640.
TERENCE TAYLOR VP - GLOBAL HEALTH & SEC	40.00			X				192,016.	0.	34,612.
KRAIG BUTRUM VP - DEVELOPMENT	40.00			X				195,970.	0.	35,443.
MARK KITCHENS VP - COMMUNICATIONS	40.00			X				0.	0.	0.
1b Total								2,556,187.	0.	402,067.

2 Total number of individuals (including those in 1a) who received more than \$100,000 in reportable compensation from the organization

16

- 3 Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual
- 4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual.
- 5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? If "Yes," complete Schedule J for such person

	Yes	No
3		X
4	X	
5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization.

(A) Name and business address	(B) Description of services	(C) Compensation
NATIONAL JOURNAL GROUP 600 NEW HAMPSHIRE AVENUE NW, WASHINGTON, DC	PROVIDE NEWS, REF. & EDITORIAL MATERIALS	1,011,627.
GC STRATEGIC ADVOCACY, 3001 DOUGLAS BLVD STE 225, ROSEVILLE, CA 95661	PROVIDE DOCUMENTARY FILM	484,066.
THE RAND CORPORATION 1776 MAIN STREET, SANTA MONICA, CA 90401	PUBLIC HEALTH SURVEILLANCE COOPERA	282,824.
WEST WING WRITERS, LLC, 1150 CONNECTICUT AVENUE NW STE 505, WASHINGTON, DC	WRITING SPEECHES, OP-EDS, COMMUNICATIO	180,000.
SAVVIS, 13322 COLLECTIONS CENTER DRIVE, CHICAGO, IL 60693-0133	WEBSITE HOSTING	166,864.

2 Total number of independent contractors (including those in 1) who received more than \$100,000 in compensation from the organization

7

Part VIII Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1 a Federated campaigns	1a	367.				
	b Membership dues	1b					
	c Fundraising events	1c					
	d Related organizations	1d					
	e Government grants (contributions)	1e	<553322.>				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	31,734,330.				
	g Noncash contributions included in lines 1a-1f \$		9,029,768.				
	h Total. Add lines 1a-1f			31,181,375.			
Program Service Revenue			Business Code				
	2 a						
	b						
	c						
	d						
	e						
	f All other program service revenue						
g Total. Add lines 2a-2f							
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)			57,097.	57,097.		
	4 Income from investment of tax-exempt bond proceeds						
	5 Royalties						
		(i) Real	(ii) Personal				
	6 a Gross Rents						
	b Less: rental expenses						
	c Rental income or (loss)						
	d Net rental income or (loss)						
	7 a Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other				
		1,834,000.					
	b Less: cost or other basis and sales expenses						
		1,820,100.					
	c Gain or (loss)			13,900.	13,900.		
	d Net gain or (loss)						
	8 a Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18						
	b Less: direct expenses						
	c Net income or (loss) from fundraising events						
	9 a Gross income from gaming activities. See Part IV, line 19						
	b Less: direct expenses						
	c Net income or (loss) from gaming activities						
10 a Gross sales of inventory, less returns and allowances							
b Less: cost of goods sold							
c Net income or (loss) from sales of inventory							
Miscellaneous Revenue			Business Code				
11 a CLASS ACTION SUIT PAYM		900099	400.	400.			
b							
c							
d All other revenue							
e Total. Add lines 11a-11d			400.				
12 Total Revenue. Add lines 1h, 2g, 3, 4, 5, 6d, 7d, 8c, 9c, 10c, and 11e				31,252,772.	71,397.		

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21	2,290,805.	2,290,805.		
2 Grants and other assistance to individuals in the U.S. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16	5,374,650.	5,374,650.		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	1,961,638.	1,364,494.	346,412.	250,732.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	2,257,355.	1,753,294.	456,857.	47,204.
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	235,811.	188,848.	42,923.	4,040.
9 Other employee benefits	275,402.	211,967.	57,564.	5,871.
10 Payroll taxes	153,715.	119,455.	30,995.	3,265.
11 Fees for services (non-employees):				
a Management				
b Legal	105,637.	80,574.	24,449.	614.
c Accounting	114,889.	39,510.	74,945.	434.
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other	2,405,718.	2,335,968.	37,397.	32,353.
12 Advertising and promotion	995.	995.		
13 Office expenses	240,005.	198,060.	27,475.	14,470.
14 Information technology	275,106.	232,193.	18,000.	24,913.
15 Royalties				
16 Occupancy	594,561.	469,026.	98,206.	27,329.
17 Travel	720,674.	667,422.	17,234.	36,018.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	361,489.	351,404.	6,367.	3,718.
20 Interest	335.		335.	
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	78,432.	59,553.	14,769.	4,110.
23 Insurance	57,942.	190.	57,752.	
24 Other expenses. Itemize expenses not covered above. (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below.)				
a				
b				
c				
d				
e				
f All other expenses	61,341.	40,341.	18,028.	2,972.
25 Total functional expenses. Add lines 1 through 24f	17,566,500.	15,778,749.	1,329,708.	458,043.
26 Joint Costs. Check here <input type="checkbox"/> if following SOP 98-2. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing	11,685.	1	6,509.
	2 Savings and temporary cash investments	7,490,889.	2	6,199,304.
	3 Pledges and grants receivable, net	3,660,000.	3	13,941,440.
	4 Accounts receivable, net	8,312.	4	14,164.
	5 Receivables from current and former officers, directors, trustees, key employees, or other related parties. Complete Part II of Schedule L		5	
	6 Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	287,529.	9	131,028.
	10a Land, buildings, and equipment: cost basis	10a 710,711.		
	b Less: accumulated depreciation. Complete Part VI of Schedule D	10b 587,106.		
		140,468.	10c	123,605.
	11 Investments - publicly traded securities	6,354,784.	11	9,903,397.
	12 Investments - other securities. See Part IV, line 11		12	
	13 Investments - program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
15 Other assets. See Part IV, line 11	0.	15	50,000,000.	
16 Total assets. Add lines 1 through 15 (must equal line 34)	17,953,667.	16	80,319,447.	
Liabilities	17 Accounts payable and accrued expenses	779,566.	17	940,466.
	18 Grants payable	661,645.	18	2,903,223.
	19 Deferred revenue	96,872.	19	34,958.
	20 Tax-exempt bond liabilities		20	
	21 Escrow account liability. Complete Part IV of Schedule D		21	
	22 Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable		24	
	25 Other liabilities. Complete Part X of Schedule D		25	50,000,000.
	26 Total liabilities. Add lines 17 through 25	1,538,083.	26	53,878,647.
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	9,180,890.	27	10,376,664.
	28 Temporarily restricted net assets	7,234,694.	28	16,064,136.
	29 Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	16,415,584.	33	26,440,800.
	34 Total liabilities and net assets/fund balances	17,953,667.	34	80,319,447.

Part XI Financial Statements and Reporting

- 1 Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other
- 2a Were the organization's financial statements compiled or reviewed by an independent accountant?
- b Were the organization's financial statements audited by an independent accountant?
- c If "Yes" to lines 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?
- 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?
- b If "Yes," did the organization undergo the required audit or audits?

	Yes	No
2a		X
2b	X	
2c	X	
3a		X
3b		

Department of the Treasury
Internal Revenue Service

To be completed by all section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2008

Open to Public Inspection

Name of the organization

NUCLEAR THREAT INITIATIVE, INC.

Employer identification number

52-2289435

Part I Reason for Public Charity Status (All organizations must complete this part.) (see instructions)

The organization is not a private foundation because it is: (Please check only **one** organization.)

- 1 ☐ A church, convention, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E.)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).** (Attach Schedule H.)

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: _____

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

8 ☐ A community trust described in **section 170(b)(1)(A)(vii).** (Complete Part II.)

9 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete the Part III.)

10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).** (see instructions)

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a ☐ Type I b ☐ Type II c ☐ Type III - Functionally integrated d ☐ Type III - Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

f ☐ If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box _____

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? _____

(ii) A family member of a person described in (i) above? _____

(iii) A 35% controlled entity of a person described in (i) or (ii) above? _____

h Provide the following information about the organizations the organization supports.

[illegible]

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule A (Form 990 or 990-EZ) 2008

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	18,395,343.	7,884,197.	12,421,109.	10,277,659.	31,227,474.	80,205,782.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 - 3.	18,395,343.	7,884,197.	12,421,109.	10,277,659.	31,227,474.	80,205,782.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						56,163,861.
6 Public Support. Subtract line 5 from line 4						24,041,921.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
7 Amounts from line 4	18,395,343.	7,884,197.	12,421,109.	10,277,659.	31,227,474.	80,205,782.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	539,319.	597,880.	551,523.	201,791.	57,097.	1,947,610.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)	119,435.	108,454.	5,926.		400.	234,215.
11 Total support. Add lines 7 through 10						82,387,607.

12 Gross receipts from related activities, etc. (see instructions) **12****13 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3)organization, check this box and stop here ☐**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2008 (line 6, column (f) divided by line 11, column (f))	14	29.18 %
15 Public support percentage from 2007 Schedule A, Part IV-A, line 26f	15	33.57 %

16a 33 1/3% support test - 2008. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ☐**b 33 1/3% support test - 2007.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ☒**17a 10% -facts-and-circumstances test - 2008.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ☐**b 10% -facts-and-circumstances test - 2007.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ☐**18 Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ☐

Schedule A (Form 990 or 990-EZ) 2008

Part IV**Supplemental Information.** Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Provide any other additional information. (see instructions)

PART II, LINE 10 - IN FISCAL YEARS 2004 THROUGH 2006, NTI SUB-LET A PORTION OF ITS OFFICE SPACE TO ANOTHER NON-PROFIT ORGANIZATION, AND RECEIVED RENTAL INCOME. THE SUB-LET WAS AT COST, SO NO GAIN/LOSS WAS RECOGNIZED. IN FISCAL YEAR 2008, NTI RECEIVED A PAYMENT OF \$400 AS A RESULT OF A CLASS ACTION SETTLEMENT.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a ☐ Public exhibition
 b ☐ Scholarly research
 c ☐ Preservation for future generations

- d ☐ Loan or exchange programs
 e ☐ Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets

to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes ☐ No

Part IV Trust, Escrow and Custodial Arrangements. Complete if organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table:

	Amount
1c	
1d	
1e	
1f	

c Beginning balance

d Additions during the year

e Distributions during the year

f Ending balance

2a Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV.

Part V Endowment Funds. Complete if organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Investment earnings or losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the year end balance held as:

- a Board designated or quasi-endowment ► _____ %
 b Permanent endowment ► _____ %
 c Term endowment ► _____ %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i) unrelated organizations
 (ii) related organizations

	Yes	No
3a(i)		
3a(ii)		
3b		

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIV the intended uses of the organization's endowment funds.

Part VI Investments - Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		216,860.	199,867.	16,993.
d Equipment		493,851.	387,239.	106,612.
e Other				
Total. Add lines 1a-1e. (Column (d) should equal Form 990, Part X, column (B), line 10(c).)				123,605.

Schedule D (Form 990) 2008

Part VII Investments - Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
Financial derivatives and other financial products		
Closely-held equity interests		
Other		
Total. (Col (b) should equal Form 990, Part X, col (B) line 12.) ►		

Part VIII Investments - Program Related. See Form 990, Part X, line 13.[illegible]

Part IX	Other Assets. See Form 990, Part X, line 15.
---------	--

(a) Description	(b) Book value
AGENCY RECEIVABLE - SEE STATEMENT 5	50,000,000.
Total. (Column (b) should equal Form 990, Part X, col (B) line 15.)	50,000,000.

Part X	Other Liabilities. See Form 990, Part X, line 25.
---------------	--

(a) Description of liability	(b) Amount
Federal income taxes	
AGENCY PAYABLE - SEE STATEMENT 5	50,000,000.
Total. (Column (b) should equal Form 990, Part X, col (B) line 25.)	50,000,000.

In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48.

Schedule F
(Form 990)Department of the Treasury
Internal Revenue Service**Statement of Activities Outside the United States**▶ Attach to Form 990. Complete if the organization answered "Yes" to
Form 990, Part IV, line 14b, line 15, or line 16.

OMB No 1545-0047

2008Open to Public
Inspection

Name of the organization

Employer identification number

NUCLEAR THREAT INITIATIVE, INC.

52-2289435

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes"
to Form 990, Part IV, line 14b.

1 For grantmakers. Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No

2 For grantmakers. Describe in Part IV the organization's procedures for monitoring the use of grant funds outside the United States.

SEE STATEMENT 6

3 Activities per Region. (Use Schedule F-1 (Form 990) if additional space is needed.)

SEE STATEMENT 7

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures in region
EAST ASIA AND THE PACIFIC	0	0	GRANTS TO RECIPIENTS	N/A	496632.
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	GRANTS TO RECIPIENTS	N/A	3,689,275.
MIDDLE EAST AND NORTH AFRICA	0	0	GRANTS TO RECIPIENTS	N/A	523118.
SOUTH ASIA	0	0	GRANTS TO RECIPIENTS	N/A	234095.
SUB-SAHARAN AFRICA	0	0	GRANTS TO RECIPIENTS	N/A	370000.
RUSSIA AND THE NEWLY INDEPENDENT STATES	1	2	PROGRAM SERVICES	SUPPORTING OFFICE	277930.
SOUTH AMERICA	0	0	GRANTS TO RECIPIENTS	N/A	61,530.
Totals	1	2			5,652,580.

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2008



Supplemental Information

Complete this part to provide the information required by Part I, line 2, and any other additional information.

SCHEDULE F, PART I, LINE 2: SEE STATEMENT 6

Area with horizontal lines for supplemental information.

**SCHEDULE I
(Form 990)**

Department of the Treasury
Internal Revenue Service

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the U.S.**

▶ **Complete if the organization answered "Yes," on Form 990, Part IV, lines 21 or 22.**
▶ **Attach to Form 990.**

OMB No. 1545-0047

2008

**Open to Public
Inspection**

Name of the organization

NUCLEAR THREAT INITIATIVE, INC.

Employer identification number
52-2289435

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? **SEE STATEMENT 9**

☒ **Yes** ☐ **No**

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any **Grants and Other Assistance to Governments and Organizations in the United States**. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed ▶ ☐

1 (a) Name and address of organization or government

(b) EIN

(c) IRC section if applicable

(d) Amount of cash grant

(e) Amount of non-cash assistance

(f) Method of valuation (book, FMV, appraisal, other)

(g) Description of non-cash assistance

(h) Purpose of grant or assistance

SEE STATEMENT 9

2 Enter total number of section 501(c)(3) and government organizations

12

3 Enter total number of other organizations

Schedule I (Form 990) 2008

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

**SCHEDULE J
(Form 990)**Department of the Treasury
Internal Revenue Service**Compensation Information**For certain Officers, Directors, Trustees, Key Employees, and Highest
Compensated Employees▶ Attach to Form 990. To be completed by organizations that
answered "Yes" to Form 990, Part IV, line 23.

OMB No 1545-0047

2008Open to Public
Inspection

Name of the organization

NUCLEAR THREAT INITIATIVE, INC.

Employer identification number

52-2289435

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- | | |
|--|---|
| <input checked="" type="checkbox"/> First-class or charter travel | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input checked="" type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input checked="" type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

b If line 1a is checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?

3 Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director. Check all that apply.

- | | |
|--|---|
| <input checked="" type="checkbox"/> Compensation committee | <input type="checkbox"/> Written employment contract |
| <input type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study |
| <input type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a:

- a** Receive a severance payment or change of control payment?
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
- c** Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only 501(c)(3) and 501(c)(4) organizations must complete lines 5-8.

5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

a The organization?

b Any related organization?

If "Yes," to line 5a or 5b, describe in Part III.

6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

a The organization?

b Any related organization?

If "Yes" to line 6a or 6b, describe in Part III.

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs. section 53.4958-4(a)(3)? If "Yes," describe in Part III

Yes No

1b X

2 X

4a X

4b X

4c X

5a X

5b X

6a X

6b X

7 X

8 X

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2008

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a.

(A) Name	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
SENATOR SAM NUNN	(i) 330,000.	0.	0.	22,670.	0.	352,670.	346,142.
	(ii) 0.	0.	0.	0.	0.	0.	0.
CHARLES B. CURTIS	(i) 298,334.	0.	0.	23,000.	14,256.	335,590.	334,373.
	(ii) 0.	0.	0.	0.	0.	0.	0.
JOAN ROHLFING	(i) 219,020.	0.	0.	22,349.	18,257.	259,626.	267,668.
	(ii) 0.	0.	0.	0.	0.	0.	0.
CHARLOTTE ATKINSON	(i) 137,003.	0.	0.	12,500.	6,627.	156,130.	73,762.
	(ii) 0.	0.	0.	0.	0.	0.	0.
BROOKE ANDERSON	(i) 176,811.	0.	0.	18,130.	7,580.	202,521.	249,151.
	(ii) 0.	0.	0.	0.	0.	0.	0.
LAURA HOLGATE	(i) 206,417.	0.	0.	21,108.	15,532.	243,057.	250,671.
	(ii) 0.	0.	0.	0.	0.	0.	0.
TERENCE TAYLOR	(i) 192,016.	0.	0.	19,163.	15,449.	226,628.	184,112.
	(ii) 0.	0.	0.	0.	0.	0.	0.
KRAIG BUTRUM	(i) 195,970.	0.	0.	19,837.	15,606.	231,413.	237,016.
	(ii) 0.	0.	0.	0.	0.	0.	0.
DR. LOUISE GRESHAM	(i) 185,929.	0.	22,651.	16,958.	7,705.	233,243.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
ROBERT E. BERLS	(i) 188,722.	0.	0.	18,996.	14,980.	222,698.	238,222.
	(ii) 0.	0.	0.	0.	0.	0.	0.
DIANE G. HAUSLEIN	(i) 142,702.	0.	0.	15,000.	13,948.	171,650.	184,915.
	(ii) 0.	0.	0.	0.	0.	0.	0.
CATHERINE O. GWIN	(i) 135,166.	0.	0.	14,586.	16,505.	166,257.	171,002.
	(ii) 0.	0.	0.	0.	0.	0.	0.
LISA K. CUTLER	(i) 125,446.	0.	0.	13,572.	17,753.	156,771.	206,056.
	(ii) 0.	0.	0.	0.	0.	0.	0.

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

PART I, LINE 1A: SEE STATEMENT 10

PART I, LINE 2: SEE STATEMENT 10

PART I, LINE 3: SEE STATEMENT 10

PART I, LINE 4: SEE STATEMENT 10

► **Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.**

OMB No 1545-0047

2008

Open to Public Inspection

NUCLEAR THREAT INITIATIVE, INC.

Employer Identification number
52-2289435

[illegible]

Schedule J-2 (Form 990) 2008

Department of the Treasury
Internal Revenue Service

▶ **Attach to Form 990 or Form 990-EZ.**
▶ **To be completed by organizations that answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, lines 38a or 40b.**

OMB No. 1545-0047

2008

Open To Public Inspection

Name of the organization

NUCLEAR THREAT INITIATIVE, INC.

Employer identification number
52-2289435

Part I Excess Benefit Transactions (section 501(c)(3) and section 501(c)(4) organizations only).

To be completed by organizations that answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

[illegible]

2 Enter the amount of tax imposed on the organization managers or disqualified persons during the year under section 4958

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization

Part II **Loans to and/or From Interested Persons.**

To be completed by organizations that answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a.

(a) Name of interested person and purpose	(b) Loan to or from the organization?		(c) Original principal amount	(d) Balance due	(e) In default?		(f) Approved by board or committee?		(g) Written agreement?	
	To	From			Yes	No	Yes	No	Yes	No
Total				\$						

Part III	Grants or Assistance Benefiting Interested Persons.
-----------------	--

To be completed by organizations that answered "Yes" on Form 990, Part IV, line 27.

[illegible]

Part IV	Business Transactions Involving Interested Persons.
----------------	--

To be completed by organizations that answered "Yes" on Form 990, Part IV, lines 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
SEE SCHEDULE O					

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule L (Form 990 or 990-EZ) 2008

SEE SCHEDULE O FOR SCHEDULE L CONTINUATIONS

SCHEDULE M
(Form 990)

Department of the Treasury
Internal Revenue Service

NonCash Contributions

► To be completed by organizations that answered
"Yes" on Form 990, Part IV, lines 29 or 30.

► Attach to Form 990.

OMB No 1545-0047

2008

Open to Public
Inspection

Name of the organization

NUCLEAR THREAT INITIATIVE, INC.

Employer identification number

52-2289435

Part I **Types of Property**

	(a) Check if applicable	(b) Number of contributions	(c) Revenues reported on Form 990, Part VIII, line 1g	(d) Method of determining revenues
1 Art - Works of art				
2 Art - Historical treasures				
3 Art - Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities - Publicly traded	X	2	9,029,768.	MARKET QUOTE
10 Securities - Closely held stock				
11 Securities - Partnership, LLC, or trust interests				
12 Securities - Miscellaneous				
13 Qualified conservation contribution (historic structures)				
14 Qualified conservation contribution (other)				
15 Real estate - Residential				
16 Real estate - Commercial				
17 Real estate - Other				
18 Collectibles				
19 Food inventory	X	24	5,207.	COST
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ► ()				
26 Other ► ()				
27 Other ► ()				
28 Other ► ()				

29 Number of Forms 8283 received by the organization during the tax year for contributions
for which the organization completed Form 8283, Part IV, Donee Acknowledgment

29

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for
at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for
the entire holding period?

	Yes	No
30a		X
31		X
32a		X
33		

b If "Yes," describe the arrangement in Part II.

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash
contributions?

b If "Yes," describe in Part II.

33 If the organization did not report revenues in column (c) for a type of property for which column (a) is checked,
describe in Part II.

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) 2008

Part II**Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33.
Also complete this part for any additional information.

SCHEDULE M, PART I, COLUMN (B): NTI IS REPORTING THE NUMBER OF
CONTRIBUTIONS, NOT THE NUMBER OF ITEMS.

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

▶ Attach to Form 990. To be completed by organizations to provide additional information for responses to specific questions for the Form 990 or to provide any additional information.

OMB No 1545-0047

2008

Open to Public
Inspection

Name of the organization

NUCLEAR THREAT INITIATIVE, INC.

Employer identification number

52-2289435

FORM 990, PART VI, SECTION A, LINE 1: SEE STATEMENT 4

FORM 990, PART VI, SECTION A, LINE 2: RUTHERFORD SEYDEL IS THE SON-IN-LAW OF R.E. TURNER. RUTHERFORD SEYDEL IS A PARTNER IN DAVIS, PICKREN, AND SEYDEL, WHICH PERFORMS LEGAL SERVICES FOR NTI.

FORM 990, PART VI, SECTION A, LINE 10: SEE STATEMENT 4

FORM 990, PART VI, SECTION B, LINE 12C: SEE STATEMENT 4

FORM 990, PART VI, SECTION B, LINE 15: SEE STATEMENT 4

FORM 990, PART VI, SECTION C, LINE 19: NTI STATES ON ITS WEBSITE THAT ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY AND FINANCIAL STATEMENTS ARE AVAILABLE TO THE PUBLIC UPON REQUEST, AND PROVIDES A LINK TO CONTACT INFORMATION.

[HTTP://WWW.NTI.ORG/B_ABOUTNTI/B_INDEX.HTML](http://WWW.NTI.ORG/B_ABOUTNTI/B_INDEX.HTML)

SCH L, PART IV, BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS:

(A) NAME OF PERSON: R.E. TURNER

(B) RELATIONSHIP BETWEEN INTERESTED PERSON AND ORGANIZATION:

CO-CHAIRMAN

(C) AMOUNT OF TRANSACTION \$ 38321.

(D) DESCRIPTION OF TRANSACTION: FATHER-IN-LAW TO RUTHERFORD SEYDEL,
NTI'S ATTORNEY

(E) SHARING OF ORGANIZATION REVENUES? = NO

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

▶ Attach to Form 990. To be completed by organizations to provide additional information for responses to specific questions for the Form 990 or to provide any additional information.

OMB No 1545-0047

2008

Open to Public
Inspection

Name of the organization

NUCLEAR THREAT INITIATIVE, INC.

Employer identification number
52-2289435

(A) NAME OF PERSON: RUTHERFORD SEYDEL

(B) RELATIONSHIP BETWEEN INTERESTED PERSON AND ORGANIZATION:

SECRETARY

(C) AMOUNT OF TRANSACTION \$ 38321.

(D) DESCRIPTION OF TRANSACTION: MR. SEYDEL IS A PARTNER IN THE LAW FIRM,
DAVIS, PICKREN & SEYDEL, WHICH IS PAID FOR LEGAL SERVICES IT PERFORMS TO
NTI.

(E) SHARING OF ORGANIZATION REVENUES? = NO

FORM 990, PART III, LINE 1: SEE STATEMENT 2

FORM 990, PART III, LINE 4: SEE STATEMENT 2

FORM 990

LIST OF STATES RECEIVING COPY OF RETURN
PART VI, LINE 17

STATEMENT 1

STATES

AL, AZ, AR, CA, CO, CT, DE, DC, FL, GA, IL, KS, LA, ME, MD, MA, MI, MN, MS, MO, NH, NJ, NM, NY, NC
ND, OH, OK, OR, PA, RI, SC, TN, TX, UT, VA, WA, WV, WI, KY, AK

Nuclear Threat Initiative, Inc.

Statement 2

EIN: 52-2289435

Form 990, Part I, Line 1 & Form 990, Part III, Line 1

For the year ended June 30, 2009

The Nuclear Threat Initiative's mission is to strengthen global security by reducing the risk of use and preventing the spread of nuclear, biological and chemical weapons and to work to build the trust, transparency and security which are preconditions to the ultimate fulfillment of the Non-Proliferation Treaty's goals and ambitions.

Nuclear Threat Initiative, Inc.

Statement 3

EIN: 52-2289435

Form 990, Part III, Line 4

Line 4a – Nuclear Security Project

The Nuclear Security Project is an effort to galvanize global support for and action on the vision of a world free of nuclear weapons and the urgent steps needed to reach that goal. Form Secretary of State, George P. Schultz, former Defense Secretary William J. Perry, former Secretary of State Henry A. Kissinger and former Senator Sam Nunn (“the NSP Principals”) joined together to form the Project in the wake of their Wall Street Journal op-ed in 2007 which has re-framed the terms of the global debate on nuclear issues. Through the project, NTI has partnered with organizations across five continents, and engaged officials at the highest levels of government internationally to promote dialogue, education and action on the Vision and the Steps toward a World Free of Nuclear Weapons. Through grant-making, conferences and meetings, we have enabled analytic studies and expanded support for the project by bringing together key subject experts, senior statesmen, and political and military leaders. In September 2009, the United Nations Security Council implicitly endorsed the agenda of the Nuclear Security Project through the adoption of UNSC Resolution 1887.

Specific accomplishments during tax year 2009 include:

Conferences & Meetings (highlights):

May 2009: the NSP Principals met with President Obama, at his request, to discuss non-proliferation and disarmament issues.

April, 2009: two day conference, co-hosted by the NSP, in Rome, Italy, led by Italian Foreign Minister, Franco Frattini, former US Secretary of State George Schultz, and former Soviet President, Mikhail Gorbachev. The event brought together more than 75 global leaders to engage in substantive dialogue on the steps that will need to be taken to reduce nuclear dangers.

November 2008: Experts meeting, co-hosted by the NSP, in New Delhi, brought together approximately two dozen Indian security experts. The event created an opportunity to hear India’s security concerns and their bearing on nuclear issues directly.

Analytic Studies:

The NSP is managing three analytic studies focusing on:

- 1) verification, monitoring and enforcement of a world free of nuclear weapons;
- 2) what would constitute an optimal fuel cycle in a nuclear free world and plausible paths to achieving such a fuel cycle;

- 3) defining and assessing alternative “base camps” that could serve as a point of departure for ascent to the nuclear free “mountaintop.” The studies are executed through grants and honoraria, and hosting of workshops, conferences and meetings.

Internationalizing the NSP

The NSP has formed partnerships with organizations around the world to help promote dialogue, education and action on the vision and steps toward a nuclear free world.

Developing Communication Strategies

The NSP is producing a documentary film about nuclear dangers which includes interviews with the NSP principals. The goal of the film is to deepen awareness of growing nuclear dangers and build support for the project goals. We expect that this will be launched in early 2010.

For more information, see our website at www.nuclearsecurityproject.org

Line 4b – World Institute for Nuclear Security

In September 2008, NTI launched, as a legally independent organization, the World Institute for Nuclear Security (WINS), a first-of-its-kind organization to strengthen the physical protection and security of nuclear and radiological materials and facilities worldwide. Vulnerable nuclear material anywhere is a threat to everyone, everywhere. WINS aims to bring together practitioners – the professionals responsible for on-the-ground security practices – to collect the world’s best practices in nuclear materials security and to share that information with facilities that are responsible for protecting the world’s most dangerous nuclear materials. NTI began developing the conceptual framework for WINS in 2005 and the concept now has broad international support from nuclear security experts, the nuclear industry, governments and international organizations. The WINS concept has been developed in close coordination with the IAEA, and will maintain a central objective of complementing and supplementing the activities of the IAEA’s Nuclear Security Program.

For more information, visit www.nti.org/b_aboutnti/b7_WINS.html and www.wins.org.

Line 4c – Global Health and Security Initiative

NTI’s Global Health and Security Initiative works around the world to prevent, detect and respond to biological threats. The threats arising from natural or deliberately induced disease outbreaks are both a health and a security issue. To find solutions to the extraordinarily complex biological threat, NTI is pioneering new approaches that cut across traditional boundaries and challenge conventional approaches toward health and security. GHSI focuses on two priority areas for action: 1) improving global capacity for the prevention of and preparedness for biological threats through enhanced disease surveillance, early detection and response; 2) promoting the safe and secure practice of the bio-sciences by safeguarding access to dangerous pathogens and preventing the misuse of technology and information.

Specific accomplishments during the tax year include:

- Disease Surveillance – GHSI is working in the Middle East, Southeast Asia and Southern Africa to improve regional capacity for infectious disease surveillance, and develop rapid response capability in the event of disease outbreaks. It is achieving this through the development of human resource and laboratory capacity that operates across borders, responds quickly to regional disease threats, and works to create equity in the national capacities to detect and response to local outbreaks, hence preventing regional and global emergencies. GHSI is also working to connect these networks through its project, Connecting Health Organizations for Regional Disease Surveillance (CHORDS). CHORDS brings together experts within the global disease surveillance community to share knowledge, experience and standards, in order to improve early detection of and respond to disease outbreaks. CHORDS aims to support regional disease surveillance networks through providing a forum for collaboration and practical problem solving, and access to advanced tools and technologies.
- Control of Drug Resistant Tuberculosis in North Korea – GHSI is working to develop professional exchanges with health specialists in North Korea and hence build capacity for detection and control of drug resistant tuberculosis.
- Support for the International Council for the Life Sciences (ICLS). ICLS is a non-profit organization that aims to enhance global biological security and safety by establishing international standards and sharing of best practices.

For more information, visit www.ghsi.org.

Line 4d – Other Program Services

NTI aims to create projects that catalyze further governmental and international action on nuclear issues. Through multi-year projects, all of which were active in this tax year, NTI has eliminated up to two dozen bombs' worth of Highly Enriched Uranium (HEU) in Kazakhstan, leading to Kazakhstan's commitment to eliminate all of its HEU; facilitated removal of 2 ½ bombs worth of HEU from a nuclear reactor near Belgrade; catalyzed efforts to create an IAEA-managed nuclear fuel bank, thereby re-energizing initiatives to develop alternative fuel supply arrangements; supported the creation of IAEA-led Research Reactor Coalitions to build support for the reduction in the civil use of HEU by increasing confidence in alternatives to national facilities for nuclear research around the world; published the "Securing the Bomb" report which has become the authoritative scorecard on the state of the nuclear threat and global efforts to address it; built and maintained an authoritative, factual and in-depth website with daily visitors from more than 200 countries, a news digest, and an on-line research library.

Nuclear Threat Initiative, Inc.

Statement 4

EIN: 52-2289435

Form 990, Part VI – Governance, Management and Disclosure

Line 1a – NTI's governing body, the Board of Directors, meets semi-annually. Between meetings, it delegates authority under NTI's bylaws to the Executive Committee, which is composed of not less than three and not more than five members who must have been or are to be upon election, directors of NTI, and includes the co-chairmen of the Board. The Executive Committee is appointed annually by the Board. During the year ended June 30, 2009, the Executive Committee was made up of the co-chairmen of the Board, and the president of NTI. During intervals between meetings of the Board of Directors, the Executive Committee possesses and may exercise all the powers and functions of the Board of Directors in the management and direction of the affairs of NTI in all cases in which specific direction has not been given by the Board of Directors. The Executive Committee can also resolve any matter in which the co-chairmen fail to agree. All actions of the Executive Committee are required to be reported to the Board of Directors at its next meeting. Contemporaneous minutes of the proceedings of the Executive Committee are kept. A majority of the voting members of the Executive Committee in office at the time are necessary to constitute a quorum and in every case an affirmative vote of a majority of the voting members of the committee present at a meeting is necessary for taking any action.

Line 10 – NTI's Form 990 is prepared by its Finance Department. It is initially reviewed by the Treasurer/CFO and then an independent review is provided by Avalon Financial Advisors, LLC. Next, the CFO reviews the Form 990 with management – the Senior Vice President for Programs and Operations, and the President. NTI's Board of Directors has delegated its review of the Form 990 to the Executive Committee. However, the final Form 990 is required to be sent to all members of the Board for informational purposes prior to being filed.

Line 12c – NTI's bylaws require that a copy of NTI's Conflict of Interest Policy, as adopted by the Board, be furnished to each director, officer and operational staff member serving at or associated with NTI. NTI's policy states that a conflict of interest exists

- When an officer or member of the staff takes part in a decision in which it appears that she/he may be unable to remain impartial in choosing between the interests of the Nuclear Threat Initiative and his/her personal interests or the personal interests of his/her related parties or the interests of his/her reportable affiliates, or
- When an officer or member of the staff uses privileged information gained in the course of service at NTI for personal benefit or gain, or for the personal benefit or gain of his/her related parties or the interests of his/her reportable affiliates, or
- When an officer or member of the staff, by virtue of his/her relationship to NTI, receives a benefit that represents a transfer of NTI's financial resources that is not related to the accomplishment of NTI's tax-exempt purposes.

Related parties are defined as spouses, parents, children, siblings, in-laws and any individuals who reside in an officer or member of the staff's household.

Reportable affiliate means an institution, corporation or business organization for which an officer or member of the staff or a related party (who to the knowledge of the officer or staff member) serves as an officer, director, partner, employee, or agent; holds more than 1% of the voting stock (if any); or has dealings from which he or she has materially benefitted (i.e. received more than \$1,000 exclusive of dividends and interest).

NTI prohibits participation by officers or staff in any matter or otherwise engage in any activity for which a conflict of interest exists. Board members are recused from decisions of the Board in which a conflict of interest might arise. Board members, officers and staff members are required to disclose to NTI any related party and any reportable affiliate which is likely to apply or otherwise be considered by NTI for a grant award or financial transaction. The information provided must be updated annually, or more frequently as appropriate. NTI requests annual updates from its Board members and staff through reissuance of the policy together with a standard form for them to complete.

Line 15 - Annually, the CEO presents a memorandum to NTI's Compensation Committee, in which he recommends compensation levels for NTI's CEO and Officers.

In 2005, NTI commissioned a compensation comparability study to assess its levels of compensation as compared to equivalent organizations and the market. NTI used this study in conjunction with analysis carried out in 2000 by PwC, adjusted for inflation, to set compensation levels in 2005.

Subsequently, NTI has proposed increases based on the 2005 compensation, adjusted for inflation as determined by the Washington area Consumer Price Index (CPI). In addition, the CEO also takes into account the importance of retaining a uniquely qualified team at NTI, and in certain circumstances has made a case for small merit increases.

In FY09, the Compensation committee was made up of three independent members of the Board of Directors. Per NTI's by-laws, the members of the Compensation Committee may not include current or former directors who are also salaried senior executives of the NTI. The Compensation Committee presents its recommendations to the Board of Directors in executive session. The recommendations include specific salary levels for the CEO, the President and all officers. Officer salaries are approved by majority vote of NTI's Board of Directors, as directed by NTI's by-laws.

Minutes of the Compensation Committee are maintained with NTI's corporate files at the office of NTI's Secretary.

Nuclear Threat Initiative, Inc.

Statement 5

EIN: 52-2289435

Form 990, Schedule D, Part IX and X

For the year ended June 30, 2009

Form 990, Schedule D, Part IX – Other Assets

Agency Receivable: During the year, NTI recognized a \$50,000,000 agency receivable from Warren Buffett to fund an "International Fuel Bank", a low enriched uranium stock pile, to be managed by the International Atomic Energy Agency (IAEA). The receivable is due within one year. These funds will be passed directly from NTI to the IAEA upon receipt. Accordingly, no revenue has been recognized by NTI, and an agency payable of \$50,000,000 has been recorded at June 30, 2009. The contribution is contingent upon the IAEA taking necessary actions to approve establishment of the International Fuel Bank.

Form 990, Schedule D, Part X – Other Liabilities

See Part IX – Other Assets explanation above.

Schedule F, Part I, Line 2: "Monitoring the use of grant funds outside the US"
Schedule I, Part I, Line 2: "Monitoring the use of grant funds in the US"

Grants are monitored through the following activities:

1. Pre-grant Inquiry

NTI program personnel confirm the suitability of the grantee by reviewing prior history and experience, considering references and complying with export control regulations. Results are documented in a "decision memo" which is presented to either the Board of Directors or the President for approval, depending on the size of the grant.

2. Written Grant Agreement

Once a grant proposal is approved, a grant agreement is drawn up between NTI and the grantee. This sets out the purpose of the grant, the budget and details the legal commitments, including compliance with US export control laws and regulations and limitations on use of funds being entered into by NTI and the grantee. All grant agreements are given a number for tracking purposes, and are signed by both parties.

3. Accounting for Grant Funds

The grant agreement specifies that grantees keep accurate and complete books and records of receipts and expenditures made in connection with the grant, maintaining such books and records in a manner that the receipts and expenditures of grant funds are shown separately.

4. Regular Reporting

The grant agreement requires that periodic (at least annual) narrative and financial reports are submitted to NTI detailing work accomplished and expenditure of grant funds against budget. Reports are reviewed by both NTI's program and financial personnel.

5. Project Oversight

NTI program personnel are in regular contact with grantee personnel and visit certain key projects to assess progress against grant goals.

6. Disbursement of Funds

NTI's grant are disbursed either up front, in tranches, or on an invoicing basis, dependent on the grant agreement. No funds are disbursed until a signed grant agreement has been received, export control checks have been reviewed and approved, and any other appropriate documentation received. For grants that are managed in tranches, or through invoicing, it is generally necessary that all reporting is up to date, and the appropriate stage of the grant project completed prior to subsequent funds being disbursed.

Nuclear Threat Initiative, Inc.
EIN: 52-2289435

Statement 7

Sch F, Part I, Line 3, column (f): "Accounting Method Used"

All accounting records are maintained on accrual basis and in accordance with generally accepted accounting principles in the United States of America (US GAAP). All unconditional grants are booked in full to the income statement at the point the grant agreement has been signed by both parties. In fiscal year, 2009, NTI did not make any conditional grants.

Grants to Foreign Organizations

1 (a) Name of Organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia & the Pacific	Strengthen the Mekong Basin Disease Surveillance (MBDS) sub-region's laboratory network and to improve field epidemiology capacity	\$423,630	Wire transfer	0	n/a	FMV
		East Asia & the Pacific	Project Partner working with NTI to internationalize the Nuclear Security Project	\$73,002	Wire transfer	0	n/a	FMV
		Europe (Including Iceland & Greenland)	Founding grant to the World Institute for Nuclear Security	\$3,000,000	Wire transfer	0	n/a	FMV
		Europe (Including Iceland & Greenland)	Conversion of Highly Enriched Uranium research reactors to Low Enriched Uranium fuel	\$280,000	Wire transfer	0	n/a	FMV
		Europe (Including Iceland & Greenland)	Build microbiology laboratory capacity at Battambang and Kampong Cham provincial hospitals in Cambodia, in connection with MBDS	\$213,254	Wire transfer	0	n/a	FMV
		Europe (Including Iceland & Greenland)	Training of health professionals to improve the health security of citizens of the countries of the MBDS	\$69,800	Wire transfer	0	n/a	FMV
		Europe (Including Iceland & Greenland)	Project Partner working with NTI to internationalize the Nuclear Security Project	\$57,200	Wire transfer	0	n/a	FMV
		Europe (Including Iceland & Greenland)	One day conference and plenary meeting on nuclear issues	\$25,000	Wire transfer	0	n/a	FMV
		Europe (Including Iceland & Greenland)	Study on nuclear capabilities in NATO	\$23,832	Wire transfer	0	n/a	FMV
		Europe (Including Iceland & Greenland)	Diagnostic assessment and capacity-building activities for MBDS	\$20,189	Wire transfer	0	n/a	FMV
		Middle East & North Africa	Secretariat for the Middle East Consortium on Infectious Disease Surveillance (MECIDS)	\$178,665	Wire transfer	0	n/a	FMV
		Middle East & North Africa	Improve early detection and response to pandemic influenza and food and water borne diseases by increasing laboratory and epidemiological capacity within the MECIDS countries	\$197,907	Wire transfer	0	n/a	FMV
		Middle East & North Africa	Secretariat for the Middle East Consortium on Infectious Disease Surveillance (MECIDS)	\$96,266	Wire transfer	0	n/a	FMV
		Middle East & North Africa	Improve early detection and response to pandemic influenza and food and water borne diseases by increasing laboratory and epidemiological capacity within the MECIDS countries	\$59,000	Wire transfer	0	n/a	FMV
		South Asia	Support track two dialogue between India-China-Pakistan to examine the possibility of eliminating nuclear weapons	\$234,095	Wire transfer	0	n/a	FMV
		Sub-Saharan Africa	Enhance disease surveillance capacity in the Southern Africa region (SACIDS)	\$370,000	Wire transfer	0	n/a	FMV
		South America	Project Partner working with NTI to internationalize the Nuclear Security Project	\$61,530	Wire transfer	0	n/a	FMV

1 (a) Name and Address of Organization or Government	(b) EIN	(c) IRS Section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Monterey Institute of International Studies 460 Pierce Street, Monterey CA 93940	94-1425570	501(c)(3)	\$649,000	0	FMV	n/a	Support research and analysis pertaining to nonproliferation issues, of which certain content is then displayed on NTI's website
President and Fellows of Harvard College Belfer Center for Science and International Affairs John F. Kennedy School of Government 1033 Massachusetts Avenue, 2nd Floor, Cambridge, MA 02138	04-2103580	501(c)(3)	\$601,105	0	FMV	n/a	Support US/Russian, non-governmental, non-proliferation working group and "Securing the Bomb" analysis and publications
Board of Trustees of the Leland Stanford Junior University Corte Madera Creek Bldg., Ste 142, 3145 Porte Drive, Palo Alto, CA 94304	94-1156365	501(c)(3)	\$230,000	0	FMV	n/a	Support fight against drug resistant tuberculosis in North Korea
Center for Policy Studies in Russia 177 Webster Street, Suite 263, Monterey, CA, 93940	77-0411864	501(c)(3)	\$194,810	0	FMV	n/a	Project Partner working with NTI to internationalize the Nuclear Security Project
Center for a New American Security, Inc 1301 Pennsylvania Ave., NW, Washington DC 20004	20-8084828	501(c)(3)	\$175,000	0	FMV	n/a	Analytic work to determine a "Base camp" which could serve as a starting point in moving towards a Nuclear Free World
International Council for Life Science 1747 Pennsylvania Ave., NW 7th Floor, Washington DC 20006	59-3828981	501(c)(3)	\$0	\$181,928	FMV	Staff time & Office Rent	Promoting the practice of safe and secure science in the biological sciences
Fourth Freedom Forum, Inc 803 N. Main Street, Goshen, IN 46528	35-1546655	501(c)(3)	\$131,540	0	FMV	n/a	Promotion of NTI's film and agenda in connection with the Nuclear Security Project
Center for Strategic and International Studies 1800 K Street NW, Suite 400, Washington DC 20006	52-1501082	501(c)(3)	\$90,000	0	FMV	n/a	Analytic work to address the issues associated with Verification under the Comprehensive Nuclear Test Ban Treaty (CTBT)
International Society for Infectious Diseases 1330 Beacon Street, Suite 228, Brookline, MA 02446	22-2473000	501(c)(3)	\$82,489	0	FMV	n/a	Create a three hour training module for disease surveillance systems
Foundation for Russian American Economic Cooperation 2601 4th Avenue, Suite 310, Seattle WA 98121	91-1473478	501(c)(3)	\$25,000	0	FMV	n/a	General support of a organization expanding economic and community ties with Russia
Atomic Heritage Foundation 910 17th Street, NW Ste 408, Washington DC 20006	03-0380408	501(c)(3)	\$15,000	0	FMV	n/a	Support of a one day symposium "Revisiting Reykjavik Nuclear Weapons Policies for the New Century"
FCNL Education Fund 245 Second Street, NE, Washington DC 20002	52-1254489	501(c)(3)	\$15,000	0	FMV	n/a	Publication of the "Nuclear Calendar" and Doomsday Clock

Line 1a – Charter flight provided to Senator Sam Nunn in September 2008 from Sanford, Maine to Washington/Dulles International Airport. Senator Nunn was leaving a conference in Maine on a Sunday and had agreed to speak at an International Atomic Energy (IAEA) Board meeting in Vienna on Monday. There were no scheduled flights from any surrounding airports that would get him to Vienna in time to speak. The charter flight enabled him to honor both important commitments. The charter was approved in advance in writing by Charles B. Curtis, NTI's President, and its necessity documented and saved with the payable file. This is the only time in NTI's nine years of existence that charter travel has been authorized. The flight was not treated as taxable compensation. NTI personnel do not travel first class except in exceptional circumstances. All such travel must be approved in advance.

Line 2 – NTI's policy states that "Spouses and accompanying assistants of Directors of NTI are specifically invited to and expected to attend meetings of the Board and to participate in Board dinner discussions to gain insight to the substantive programs of NTI to facilitate their ability to knowledgeably represent the work of the Initiative to government officials and society leaders in their communities." In fiscal year 2009, NTI paid business class airfares for the spouses of Board members, Fujia Yang, Eugene Habiger, William Perry and Rolf Ekeus, to allow them to accompany their husbands to the NTI Board meeting in accordance with policy. The expenses were not treated as taxable compensation.

Line 3 – NTI paid a portion of membership dues to the Metropolitan Club, Washington, DC for its CEO and for the President of NTI. The CEO stays at the club when in Washington, DC, thereby saving on hotel expenses. Both the CEO and the President use the club for business meetings.

Line 4 – During fiscal year 2009, NTI's DC-based Director of the Moscow Office spent a significant portion of his time in Moscow on business. Instead of paying for a hotel room by the night, which would have provided on-site gym facilities, NTI opted to rent an apartment and pay for a gym membership while he was in Moscow. Overall, this reduced the expense to NTI of the Moscow trips. The gym membership was not treated as taxable compensation.