

See a Social Security Number? Say Something! Report Privacy Problems to https://public.resource.org/privacy Or call the IRS Identity Theft Hotline at 1-800-908-4490



DLN: 93493137026260

Department of the Treasury

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

2008

OMB No 1545-0047

Open to Public

► The organization may have to use a copy of this return to satisfy state reporting requirements Internal Revenue Service Inspection A For the 2008 calendar year, or tax year beginning 07-01-2008 and ending 06-30-2009 D Employer identification number Name of organization **B** Check if applicable Midwest Research Institute use IRS 44-0545878 Address change label or E Telephone number Doing Business As print or type. See (816) 753-7600 ☐ Initial return Specific Number and street (or P O box if mail is not delivered to street address) Room/suite Instruc-**G Gross receipts** \$ 158,489,230 Termination tions. City or town, state or country, and ZIP + 4 Kansas City, MO 64110 Amended return Application pending Name and address of Principal Officer Is this a group return for James L Spigarelli Yes 🔽 No affiliates? 425 Volker Blvd kansas city, MO 64110 H(b) Are all affiliates included? **✓** 501(c) (3) **◄** (insert no) ☐ 4947(a)(1) or ☐ 527 Tax-exempt status (If "No," attach a list See instructions) Group Exemption Number 🕨 Web site: ► www mriresearch org **K** Type of organization

✓ Corporation

trust

association L Year of Formation 1943 M State of legal domicile Part I Summary Briefly describe the organization's mission or most significant activities NOT-FOR-PROFIT CORPORATION FOR THE PURPOSE OF PERFORMING SCIENTIFIC RESEARCH WHICH IS IN THE Activities & Governance **PUBLIC INTEREST** Check this box 🗂 if the organization discontinued its operations or disposed of more than 25% of its assets Number of voting members of the governing body (Part VI, line 1a) . Number of independent voting members of the governing body (Part VI, line 1b) $\,$. 14 7<u>83</u> Total number of employees (Part V, line 2a) . . Total number of volunteers (estimate if necessary) . 0 Total gross unrelated business revenue from Part VIII, line 12, column (C) . 0 Net unrelated business taxable income from Form 990-T, line 34 **7**b 0 **Prior Year Current Year** 242,209,909 Contributions and grants (Part VIII, line 1h) . . 68,621,830 Program service revenue (Part VIII, line 2g) . . 80,194,864 84,248,509 Investment income (Part VIII, column (A), lines 3, 4, and 7d) 943,052 298,053 10 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) 620,855 155,516 12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 323,968,680 153,323,908 13 Grants and similar amounts paid (Part IX, column (A), lines 1-3) 83,450 100,000 Benefits paid to or for members (Part IX, column (A), line 4) 0 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-15 53,455,322 53,830,051 EXD enses 16a Professional fundraising fees (Part IX, column (A), line 11e) 0 b (Total fundraising expenses, Part IX, column (D), line 25 $\frac{0}{2}$ 17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f) 270,199,776 103,477,650 18 Total expenses—add lines 13-17 (must equal Part IX, line 25, column (A)) 323,738,548 157,407,701 19 Revenue less expenses Subtract line 18 from line 12 230,132 -4,083,793 Met Assets or Fund Balances **Beginning of Year End of Year** 20 166,742,886 88,222,439 Total assets (Part X, line 16) 21 Total liabilities (Part X, line 26) 115,270,238 50,680,936 22 Net assets or fund balances Subtract line 21 from line 20 51,472,648 37,541,503 Part II Signature Block Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge Please 2010-05-17 Sign Signature of officer Here Richard T Fleener VP & CFO Type or print name and title Check if Preparer's PTIN (See Gen Inst) Preparer's signature Paid empolyed 🕨 🦵 Preparer's Firm's name (or yours EIN ▶ if self-employed), address, and ZIP + 4 **Use Only** 1000 Walnut St Suite 1000

Kansas City, MO 64106

May the IRS discuss this return with the preparer shown above? (See instructions) . .

Phone no (816) 802-5200

Part III Statement of Program Service Accomplishments (See the instructions.)

œ	Statement of Frogram Service Accompnishments (See the instructions.)
l	Briefly describe the organization's mission MRI solves challenging problems through science, engineering, and program management to benefit society and foster a safe, healthy, and sustainable environment We achieve our mission through a dedicated workforce committed to quality
2	Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?
1	If "Yes," describe these changes on Schedule O Describe the exempt purpose achievements for each of the organization's three largest program services by expenses Section 501(c)(3) and (4) organizations and 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported
l a	(Code) (Expenses \$ 67,066,855 including grants of \$ 0) (Revenue \$ 0) National Renewable Energy Laboratory (NREL) NREL is a federally funded research and development center which MRI has been contracted for management and operations NREL's distinctive R&D competencies are positioned to advance national energy goals by developing innovations to change the way we power our homes and businesses, and fuel our vehicles NREL's R&D competencies focus on developing and advancing renewable energy and energy efficiency technologies more effectively through the full R&D life-cycle-from basic scientific research through applied research and engineering, to testing, scale-up, and demonstration NREL's R&D competencies are Renewable electricity conversion and delivery systems, Renewable fuels formulation and delivery, Efficient and integrated energy systems, Strategic energy analysis Part of the laboratory's mission is the deployment of NREL-developed technologies into the marketplace. The Technology Transfer office works with private- and public-sector organizations to successfully transfer our technologies into commercially viable products and businesses. NREL is funded solely by a grant from the Department of Energy totaling \$68,579,006 for the tax year.
1b	(Code) (Expenses \$ 46,448,478 including grants of \$ 0) (Revenue \$ 60,351,008) Midwest Operations (MWO) MWO is MRI's largest laboratory division that focuses research into 5 focus areas. National Security and Defense, Life Sciences, Agriculture and Food Safety, Transportation Safety and Energy/Environment. The largest client sector is the US government for which more than 90% of project work is performed specifically in areas of applied research and development and test and evaluation. MRI's contract work is derived from the ability to work with highly regulated chemicals, biological pathogens and controlled materials in laboratories requiring high levels of oversight and certification. MRI conducts a number of systems engineering and integration programs that target the development of national security technologies. MRI is also conducting a number of specific studies that target the development of therapeutics and vaccines under federally mandated preparedness programs. The MWO programs in transportation safety are in direct support of the Federal Highway Administration.
łc	(Code) (Expenses \$ 13,851,730 including grants of \$ 0) (Revenue \$ 16,453,852) Mid-Atlantic Operations (MAO) The MAO division focuses on biosafety, biosurety and biosecurity programs and conducts monitoring and analysis programs for biological pathogens and supports test and evaluation of emerging biotechnologies. The programs are conducted (more than 95%) for federal customers. The MAO provides staff and facilities that meet a number of federal requirements for safety and security and require significant interaction with federal sponsors on a routine basis. The biosafety and biosecurity programs are conducted for the DOD (United States Department of Defense)/Defense Threat Reduction Agencies BioThreat Reduction Program and are focused on improving laboratories and relationships in the former Soviet Union. The monitoring and analysis and test and evaluation programs provide support to the DOD, the Department of Homeland Security (DHS), and other government agencies.
	(Code) (Expenses \$ including grants of \$) (Revenue \$) MRI conducts programs from our Florida Division
	(Code) (Expenses \$ including grants of \$) (Revenue \$) that complement skills and resources with MWO and
	(Code) (Expenses \$ including grants of \$) (Revenue \$) MAO The primary customer base for the Florida
	(Code) (Expenses \$ 2,713,524 including grants of \$ 100,000) (Revenue \$ 7,443,649) Division is DOD and DHS
ld	Other program services (Describe in Schedule O) (Expenses \$ 2,713,524 including grants of \$ 100,000) (Revenue \$ 7,443,649)
le	Total program service expenses \$ 130.080.587 Must equal Part IX, Line 25, column (B).

Part IV	Check	list of	Required	Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1	Yes	
2	Is the organization required to complete Schedule B, Schedule of Contributors? 💋	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3		Νο
4	Section 501(c)(3) organizations Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II	4		Νo
5	Section $501(c)(4)$, $501(c)(5)$, and $501(c)(6)$ organizations. Is the organization subject to the section $6033(e)$ notice and reporting requirement and proxy tax? If "Yes," complete Schedule C, Part III	5		
6	Did the organization maintain any donor advised funds or any accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," complete Schedule D, Part II"	7		Νο
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8		Νο
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9		No
10	Did the organization hold assets in term, permanent,or quasi-endowments? If "Yes," complete Schedule D, Part V	10		Νο
11	Did the organization report an amount in Part X, lines 10, 12, 13, 15, or 25? If "Yes," complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable	11	Yes	
12	Did the organization receive an audited financial statement for the year for which it is completing this return that was prepared in accordance with GAAP? If "Yes," complete Schedule D, Parts XI, XII, and XIII .	12		Νο
13	Is the organization a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		Νο
14a	Did the organization maintain an office, employees, or agents outside of the U.S.?	14a	Yes	
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the U S ? If "Yes," complete Schedule F, Part I	14b	Yes	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Part II	15		Νο
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Part III	16		Νο
17	Did the organization report more than \$15,000 on Part IX, column (A), line 11e? If "Yes," complete Schedule G, Part I	17		No
18	Did the organization report more than \$15,000 total on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18		Νο
19	Did the organization report more than \$15,000 on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19		No
20	Did the organization operate one or more hospitals? If "Yes," complete Schedule H	20		Νο
21	Did the organization report more than \$5,000 on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II \Box	21	Yes	
22	Did the organization report more than \$5,000 on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22		No
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5? If "Yes," complete Schedule J	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer questions 24b-24d and complete Schedule K. If "No," go to question 25	24a	Yes	
ь	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		Νο
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		Νο
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		Νο
25a	Section 501(c)(3) and 501(c)(4) organizations Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		No
b	Did the organization become aware that it had engaged in an excess benefit transaction with a disqualified person from a prior year? If "Yes," complete Schedule L, Part I	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II	26		Νο
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, or substantial contributor, or to a person related to such an individual? If "Yes," complete Schedule L, Part III	27		No

Part VI . . .

Part IV Checklist of Required Schedules (Continued)

			res	NO
8	During the tax year, did any person who is a current or former officer, director, trustee, or key employee			
а	Have a direct business relationship with the organization (other than as an officer, director, trustee, or employee), or an indirect business relationship through ownership of more than 35% in another entity (individually or collectively with other person(s) listed in Part VII, Section A)? If "Yes," complete Schedule L, Part IV	28a		No
b	Have a family member who had a direct or indirect business relationship with the organization? If "Yes," complete Schedule L, Part IV	28b		Νο
C	Serve as an officer, director, trustee, key employee, partner, or member of an entity (or a shareholder of a professional corporation) doing business with the organization? If "Yes," complete Schedule L, Part IV .	28c	Yes	
9	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29		Νo
0	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M	30		Νo
1	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		Νo
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If</i> "Yes," complete Schedule N, Part II	32		Νo
3	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations section 301 7701-2 and 301 7701-3? If "Yes," complete Schedule R, Part I	33	Yes	
4	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1	34	Yes	
5	Is any related organization a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35	Yes	
6	501(c)(3) organizations Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2	36		No
7	Did the organization conduct more than 5 percent of its activities through an entity that is not a related	37		No

Pai	rt V Statements Regarding Other IRS Filings and Tax Compliance	e				
					Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal					
	of U.S. Information Returns. Enter -0- if not applicable					
		1a	96			
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments t	to ven	dors and reportable			
Ī	gaming (gambling) winnings to prize winners?			1c	Yes	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax					
	Statements filed for the calendar year ending with or within the year covered by this return	2a	783			
h	If at least one is reported in 2a, did the organization file all required federal employr					
	Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file thi			2b	Yes	
3a	Did the organization have unrelated business gross income of \$1,000 or more during	g the	year covered by this	_		
	return?			3a		N o
	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Sch			3b		
4a	At any time during the calendar year, did the organization have an interest in, or a sover, a financial account in a foreign country (such as a bank account, securities acaccount)?			4a		No
ь	If "Yes," enter the name of the foreign country					
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 , <i>Re Financial Accounts</i> .	eport o	f Foreign Bank and			
5a	Was the organization a party to a prohibited tax shelter transaction at any time duri	ng the	tax year?	5a		Νο
ь	Did any taxable party notify the organization that it was or is a party to a prohibited	tax sh	nelter transaction?	5b		Νo
с	If "Yes," to 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exemp	t Entit	ty Regarding Prohibited			
	Tax Shelter Transaction?	•		5с		
6a	Did the organization solicit any contributions that were not tax deductible?			6a		Νο
b	If "Yes," did the organization include with every solicitation an express statement the were not tax deductible?	hat su	ch contributions or gifts	6b		
7	Organizations that may receive deductible contributions under section 170(c).					
а	Did the organization provide goods or services in exchange for any quid pro quo cormore?	itribut	ion of \$75 or	7a	Yes	
b	If "Yes," did the organization notify the donor of the value of the goods or services ${\bf p}$	rovide	:d?	7b	Yes	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal prope		-	_		
	file Form 8282?	1		7c		N o
a	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay	y prem	niums on a personal	7-		N a
	benefit contract?			7e 7f		No No
f 					V = =	N O
9	For all contributions of qualified intellectual property, did the organization file Form		•	7g	Yes	
n	For contributions of cars, boats, airplanes, and other vehicles, did the organization frequired?	nie a r	orm 1098-C as	7h	Yes	
8	Section $501(c)(3)$ and other sponsoring organizations maintaining donor advised funds a	and se	ction 509(a)(3)			
	supporting organizations. Did the supporting organization, or a fund maintained by a excess business holdings at any time during the	spons	oring organization, have	8		
9	year?					
a	Did the organization make any taxable distributions under section 4966?			9a		
ь	Did the organization make a distribution to a donor, donor advisor, or related person			9b		
10	Section 501(c)(7) organizations. Enter					
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
ь	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club	10b				
-	facilities					
11	Section 501(c)(12) organizations Enter					
а	Gross income from members or shareholders	 11a				
ь	Gross income from other sources (Do not net amounts due or paid to other sources	-				
=	against amounts due or received from them)	11b				
122	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 ii	n lieu <i>i</i>	of Form 1041?	12a		
	If "Yes," enter the amount of tax-exempt interest received or accrued during the					
_	year	12b				

6

Section A. Governing Body and Management

No

Νo

Νo

Νo

Νo

Nο

Νo

Yes

Yes

3

4

5

6

7a

7Ь

Part VI Governance, Management, and Disclosure (Sections A, B, and C request information about policies not required by the Internal Revenue Code.)

	For each "Yes" response to lines 2-7 below, and for a "No" response to lines 8 or 9b below processes, or changes in Schedule O. See instructions.	, desc	rıbe tl	ne cii	rcum	star	ıces,
1a	Enter the number of voting members of the governing body	1a					17
b	Enter the number of voting members that are independent	1b					14
2	Did any officer, director, trustee, or key employee have a family relationship or a bus other officer, director, trustee, or key employee?	siness	relat	ions	hip w	/ith	any •

3	Did the organization delegate control over management duties customarily performed by or under the direct	
	supervision of officers, directors or trustees, or key employees to a management company or other person?	
ļ	Did the organization make any significant changes to its organizational documents since the prior Form 990	was

filed?	,,,	was
Did the organization become aware during the year of a material diversion of the organization's assets?		
Door the everywhere have marshare as stockholders?		

Does the organization have members	of Stockholders.	
Does the organization have members	s, stockholders, or other	r persons who may elect one or more members of the
governing body?		

b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	•
	Did the organization contemporaneously document the meetings held or written actions undertaken during the	ıe

	Did the organization c	. 0111	remi	pore	illeo	usi	y uc	, c ui	11611	 C 1111	eetii	iiy s	neiu	O1	**!!	C11 C	CLIOI	15 U	iiuei	care	= II u	uiiii	y tii	_
	year by the following																							
a	the governing body?																							

а	the governing body?	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	
b	each committee with	aut	horı	ty t	oac	t or	n be	half	of	the	gov	ernı	ng b	ody	17											-

	Does the organization have local chapters, branches, or affiliates?	•	•	•	•	•	•	•	•	•	•	•	•	
b	If "Yes," does the organization have written policies and procedures	go	verr	nıng	the	act	tıvıt	ies	ofs	uch	cha	pte	ers,	
	affiliates, and branches to ensure their energians are consistent wi	+6 +	hoc	a a f	E +ha	0.50	1001	- -+-	an2					

	•	•		_					
10	Was a copy of the Form	990 provided to the organization	s governing body l	before it was filed?	Allo	rgan	ızat	ıon:	5
	must describe in Sched	ule O the process, if any, the orga	inization uses to re	eview the Form 99	ο.				

11	Is there any officer, director or trustee, or key employee listed in Part VII, Section A, who cannot be reached a	at
	the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	

8	la	Yes	
8	ь	Yes	
9	a		No
9	ь		
1	.0	Yes	
1	.1		Νο

Section B. Policies

			Yes	No
12a	Does the organization have a written conflict of interest policy? If "No", go to line 13 $$.	12a	Yes	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	Yes	
13	Does the organization have a written whistleblower policy?	13	Yes	
14	Does the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision			
а	The organization's CEO, Executive Director, or top management official?	15a	Yes	
b	Other officers or key employees of the organization?	15b	Yes	
	Describe the process in Schedule O			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		Νο
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable Federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

- 17 List the States with which a copy of this Form 990 is required to be filed CA, NC
- Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c) (3)s only) available for public inspection. Indicate how you make these available. Check all that apply own website. another's website. upon request
 - L9 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public See Additional Data Table
- 20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization RICHARD T FLEENER

MIDWEST RESEARCH INSTITUTE 425 VOL

KC,MO 64110

(816) 360-1903

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Section A Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Use Schedule J-2 if additional space is needed

Check this box if the organization did not compensate any officer, director, trustee or key employee

- * List all of the organization's **current** officers, directors, trustees (whether individuals or organizations) and key employees regardless of amount of compensation, and current key employees. Enter -0- in columns (D), (E), and (F) if no compensation was paid
- * List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- * List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- * List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

The check this box in the organization and i	(B) Average hours per week	(C) Position (check all that apply)							(E)	(F)
(A) Name and Title		Individual Trustee or Chrector	Institutional Trustee	Officei	Key employee	Highest compensated employee	Former	compensation from the organization (W-	Reportable compensation from related organizations (W- 2/1099- MISC)	Estimated amount of other compensation from the organization and related organizations
							-			

Part VII Continued

			(C) Position (check all that apply)					(E)	(F)	
(A) Name and Title	(B) A verage hours per week	Individual Trustee or Director	Institutional Trustee	Officei	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099MISC)	Reportable compensation from related organizations (W- 2/1099- MISC)	Estimated amount of other compensation from the organization and related organizations
			-							
							<u> </u>			
			+				\vdash			
			-				\vdash			
			1							
1b Total							►	4,983,476	6	306,878

2 Total number of individuals (including those in 1a) who received more than \$100,000 in reportable compensation from the organization ►89

			Yes	No	
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3		Νο	
4	For any individual listed online 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual				
		-	Yes		
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? If "Yes," complete Schedule J for such person	5		Νο	

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization

(A) Name and business address	(B) Description of services	(C) Compensation
BOSTON DYNAMICS 78 4TH AVE WALTHAM, MA 02451	R&D	1,489,361
BOOZ ALLEN HAMILTON INC 3190 FAIRVIEW PARK DR FALLS CHURCH, VA 22042	R&D	722,506
INDUSTRIAL CONSTRUCTORS 1432 STOCKYARD RD PUEBLO, CO 81001	R&D	690,002
SMITH CARTER CUH2A 1000 LENOX DR TRENTON, NJ 08648	R&D	534,417
JE DUNN CONSTRUCTION CO 929 HOLMES KANSAS CITY, MO 64106	CONSTRUCTION	4,281,427
Total number of independent contractors (including those in 1) who reconfrom the organization	. ,	▶ 17

Part VIII

Statement of Revenue

					(A) Total Revenue	(B) Related or Exempt	(C) Unrelated Business	(D) Revenue Excluded from
						Function Revenue	Revenue	Tax under IRC 512, 513, or 514
to in	1a	Federated can	npaigns 1a					
Contributions, gifts, grants and other similar amounts	ь	Membership d	ues					
₽ĕ	c	Fundraising ev	vents					
કેસ્		Dalated assess	1c izations1d					
2 <u>6</u>	d e		izations1d ints (contributions) 1e	68,579,006				
Si,	f	-	tions, gifts, grants, and	42,824				
돌	"	similar amounts i	not included above					
팔	g	Noncash cont	1f ributions included in					
<u>5</u> ₩								
_	h	Total (Add lin	es 1a-1f)		68,621,830			
a)				Business Code				
ELE.	2a	RESEARCH CONT	RACTS	541,700	84,248,509	84,248,509		
aye ye	b							
P.S.	С							
E.	d							
ું દ	e							
Program Serwce Revenue	f	All other prog	ram service revenue					
Š	g	Total. Add line ► \$ 84,248,50	es 2a-2f					
	3		come (ıncludıng dıvı	dends, ınterest				
		other sımılar a	amounts)		341,021			341,021
	4	Income from inve	estment of tax-exempt b	ond proceeds	84,707			84,707
	5	Rovalties -			80,400			80,400
		,	(ı) Real	(II) Personal				
	6a	Gross Rents		, ,				
	ь	Less rental expenses						
	с	Rental income						
	d	or (loss) Net rental inc	Lome or (loss)					
			(ı) Securities	(II) O ther				+
	7a	Gross amount	5,032,738	4,909				
		from sales of assets other						
	ь	than inventory Less cost or	5,001,478	163,844				
		other basis and sales expenses	-,,	,_				
	с	Gain or (loss)	31,260	-158,935				
	d	Net gaın or (lo		*	-127,675			-127,675
	8a		from fundraising	. <u>-</u>				-
		events (not in						
<u>Φ</u>		\$	 ns reported on line					
Other Revenue		1c) See Part	IV, line 18					
ě		Attach Schedul \$15,000	le G ıf total exceeds					
<u>.</u>	ь		xpensesb					
Ě	с		(loss) from fundrais		0			
0	9a	Gross income	from gaming	<u> </u>				
		activities See	part IV, line 19					
		Complete Schere exceeds \$15,00						
			а					
	ь		xpensesb					
	С	Net income or	(loss) from gaming a	activities F	0			
	10a	Gross sales o returns and al						
	L	1	a blocker					
	b c		goods sold b ·(loss) from sales of		0			
		Miscellaneou		Business Code	-			<u> </u>
	11a	MISCELLANE		900,099	75,116			75,116
	ь							
	с							
	d	All other reve	nue					
	e d		es 11a-11d	·				+
				\$ 75,116	452.222.000	04 340 500		450.500
	12	Total Revenue 8c,	e. Add lines 1h, 2g, 3	i, 4, 5, 6d, 7d,	153,323,908	84,248,509		453,569
			l1e	. ▶				

Part IX Statement of Functional Expenses

A	Section 501(c)(3) and 501(c)(4) orgonizations must complete column (A) but are not re		plete columns (
Do I	not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the U S See Part IV, line 21	100,000	100,000		·
2	Grants and other assistance to individuals in the U.S. See Part IV, line 22	0			
3	Grants and other assistance to governments, organizations and individuals outside the U.S. See Part IV, lines 15 and 16	0			
4	Benefits paid to or for members	0			
5	Compensation of current officers, directors, trustees, and key employees	4,837,826	2,161,795	2,676,031	
6	Compensation not included above, to disqualified persons (as defined under section $4958(f)(1)$) and persons described in section $4958(c)(3)(B)$	0			
7	Other salaries and wages	38,853,500	28,199,077		
8	Pension plan contributions (include section $401(k)$ and section $403(b)$ employer contributions)	3,435,372	2,421,435	1,013,937	
9	Other employee benefits	3,913,533	2,758,468	1,155,065	
10	Payroll taxes	2,789,820	1,966,415	823,405	
11	Fees for services (non-employees)				
а	Management	0			
b	Legal	151,135	5,000	146,135	
c	Accounting	234,396		234,396	
d	Lobbying	0			
e	Professional fundraising See Part IV, line 17	0			
f	Investment management fees	0			
g	Other	942,918	209,335	733,583	
12	Advertising and promotion	0			
13	Office expenses	1,671,224	809,159	862,065	
14	Information technology	1,840,791	1,045,433	795,358	
15	Royalties	0			
16	Occupancy	10,952,790	7,122,023	3,830,767	
17	Travel	638,315	302,002	336,313	
18	Payments of travel or entertainment expenses for any Federal, state or local public officials	0			
19	Conferences, conventions and meetings	179,476	85,793	93,683	
20	Interest	870,319	870,319		
21	Payments to affiliates	0			
22	Depreciation, depletion, and amortization	4,691,400	2,024,958	2,666,442	
23 24	Insurance	621,790		621,790	
_	total expenses shown on line 25 below)	63 600 655	63.600.655		
	PROJECT COSTS	63,608,655	63,608,655		
b	NREL OVERHEAD ALLOCATIONS	14,052,523	14,052,523		
q C	CORPORATE EXPENSES	1,061,164 723,896	1,061,164	120,494	
	OTHER ODC	630,261	386,106	244,155	
	All other expenses	630,261	287,525	319,072	
25	Total functional expenses. Add lines 1 through 24f	157,407,701		,	0
26	Joint Costs. Check If following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation	137,407,701	130,080,587	27,327,114	0

Part X	Balance	Shoot
	Balance	Sneer

-						(A) Beginning of year		(B) End of year
	1	Cash—non-interest-bearing				3,929,103	1	3,021,265
	2	Savings and temporary cash investments					2	
	3	Pledges and grants receivable, net	and grants receivable, net					
	4	Accounts receivable, net	eceivable, net					11,284,772
	5	Receivables from current and former officers, directors, trustees other related parties Complete Part II of Schedule L		empl •	oyees or		5	
	6	Receivables from other disqualified persons (as defined under sepersons described in section 4958(c)(3)(B) Complete Part II of S					6	
	7	Notes and loans receivable, net				1,489,304	7	1,226,162
	8	Inventories for sale or use				435,027	8	456,552
20	9	Prepaid expenses and deferred charges				868,367	9	754,291
Assets	10a	Land, buildings, and equipment cost basis	10a	I	88,058,216			
⋖	b	Laca page mulated depresention Complete Part VI of	IUa		00,030,210			
	ט	Less accumulated depreciation <i>Complete Part VI of Schedule D</i>	10ь		39,553,126	45,267,178	10c	48,505,090
	11	Investments—publicly traded securities				2,141,106	11	1,667,920
	12	Investments—other securities See Part IV, line 11 Complete Pa	ert VII	of		673,725	12	673,725
	13	Investments—program-related See Part IV, line 11 Complete Part IV, line I	art VII	I		0	13	936,392
	14	Intangible assets					14	
	15	Other assets See Part IV, line 11 Complete Part IX of Schedule D				95,326,638	15	17,919,163
	16	Total assets. Add lines 1 through 15 (must equal line 34)				166,742,886	16	88,222,439
	17	Accounts payable and accrued expenses .				43,061,765	17	11,222,219
	18	Grants payable					18	,,
	19	Deferred revenue					19	
	20	Tax-exempt bond liabilities				20,162,893	20	20,041,472
<u>ن</u> د	21	Escrow account liability Complete Part IV of Schedule D				20,102,000	21	20,0,2
Liabilities	22	Payable to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified	•	•				
Ē		persons Complete Part II of Schedule L					22	
	23	Secured mortgages and notes payable to unrelated third parties		•		4,372,822	23	3,935,891
	24	Unsecured notes and loans payable	•	•		1,072,022	24	0,000,001
	25	Other liabilities Complete Part X of Schedule D				47,672,758	25	15,481,354
	26	Total liabilities. Add lines 17 through 25				115,270,238	26	50,680,936
		Organizations that follow SFAS 117, check here ► and comp	lete li	nes i) 7	110,270,200	20	25,555,555
Š.		through 29, and lines 33 and 34.	.c.e II		••			
Balance	27	Unrestricted net assets				46,443,691	27	35,764,396
<u>ස</u> ල	28						28	1,777,107
<u> </u>	29	Permanently restricted net assets					29	
r Fund	Organizations that do not follow SFAS 117, check here F and complete lines 30 through 34.							
S O	30	Capital stock or trust principal, or current funds					30	
Assets	31	Paid-in or capital surplus, or land, building or equipment fund					31	
S.S.	32	Retained earnings, endowment, accumulated income, or other fu		-	-		32	
Net /	33	Total net assets or fund balances				51,472,648	33	37,541,503
Ž	34	Total liabilities and net assets/fund balances				166,742,886	34	88,222,439
Pa	rt XT	Financial Statements and Reporting						

Dowl VI	Financial	Ctatamanta	and Reporting
7.11.5	l Financiai	Statements	and Reporting

			Yes	No
1	Accounting method used to prepare the Form 990			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		Νo
b	Were the organization's financial statements audited by an independent accountant?	2b		Νο
c	If "Yes" to lines 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c		
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	Yes	
b	If "Yes," did the organization undergo the required audit or audits?	3b	Yes	

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93493137026260

Employer identification number

OMB No 1545-0047

OMB No 1545-0047

SCHEDULE A

(Form 990 or 990EZ)

Department of the Treasury Internal Revenue Service

Name of the organization

Public Charity Status and Public Support

To be completed by all section 501(c)(3) organizations and section 4947(a)(1)
nonexempt charitable trusts.

Attach to Form 990 or Form 990-EZ. See separate instructions.

2008

Open to Public
Inspection

Midwe	st Rese	earch Institute								_	
Do	T	Doncon	for Dublic C	harity Status (to be see	mpleted	hu all ar			-0545878		
	rt I			harity Status (to be col ation because it is (Please					111Sti uct	10115)	
1	/ ga			nurches, or association of ch					Δ \/ i \		
2	<u>'</u>	•		t ion 170(b)(1)(A)(ii). (Attac			Section 1	170(1)(1)(A)(1).		
3	<u>'</u>			e hospital service organizati		•	tion 170/k	-\/1\/A\/;	ii) // ++>c	h Cahadul	a U)
4	<u>'</u>	•	·				-				•
4	ļ		-	zation operated in conjuncti	on with a r	iospitai de	escribea ii	i Section .	170(B)(1)	(A)(III). =	nter the
_	_	· ·	name, city, and								
5	ı			or the benefit of a college or	university	y owned o	roperated	i by a gove	ernmentai	unit desci	ribea in
_	_			(Complete Part II)			-1: 170/	/I->/d>/A.>	(X		
6	<u> </u>	•	· -	overnment or governmental							
7	ı			ally receives a substantial p p)(1)(A)(vi) (Complete Par		support fro	om a govei	rnmentai t	init or fron	n the gene	rai public
	\vdash		-	oed in Section 170(b)(1)(A)	•	nlata Bari	+ T T \				
8 9	, ✓		•			•	-	antribution	s mamba	rchin food	and aross
9	14	An organization that normally receives (1) more than 331/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 331/3% of									
		•		estment income and unrelate	-		·	, ,	•		
			-				,			() IIOIII Du:	Sillesses
10	_	acquired by the organization after June 30, 1975 See Section 509(a)(2). (Complete Part III)									
11	<u>'</u>	An organization organized and operated exclusively to test for public safety. See Section 509(a)(4). (See instructions.)									
11	,	An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See Section 509(a)(3). Check									
		the box that describes the type of supporting organization and complete lines 11e through 11h						(-)(-)			
		аГ⊤	ype I b	Type II c	Type III	- Function	nally Integ	grated	d	∏ Туре	III - Other
e	Г	•		rtify that the organization is			•			•	•
				agers and other than one or	more publ	icly suppo	orted orga	nizations (described	ın section	1 509(a)(1) or
f		section 50		d a written determination fro	m the IRS	S that it is	a Type I	Type II o	r Type III	supportin	ng organization
-		check this				· tilat it is	ч . , рч . ,	.,,,,,,,,,,	, po 111	очрони	
g				as the organization accepted	d any gift o	or contribi	utıon from	any of the	:		
		following pe							٠ ١		
			•	r indirectly controls, either a		_	in persons	describe	a in (ii)	11	Yes No
				ng body of the the supported	_	tion				11g(
			•	erson described in (i) above						11g(
				ty of a person described in (nation about the organizatio						11g(<u>'''') </u>
h		Provide the	: lollowing illion	nation about the organizatio	iis the org	jailizatioii	supports				
	(i) N =	ame of	(ii) EIN	(iii) Type of organization	(iv) ī	s the	(v) Did v	ou notify	(vi) T	s the	(vii) A mount of
	(i) Name of (ii) EIN (iii) Type of organization (iv) Is the (v) Did you notify (vi) Is the Supported (described on lines 1-9 organization in the organization organization in						support?				
	O rgan	nization		above or IRC section	col (i) l			i) of your	col (i) o	rganızed	
		(See Instructions)) your governing support? in the U.S.?									
					docur			I	V	I	-
					Yes	No	Yes	No	Yes	No	
								-			

Total

Part II	Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
	(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Pι	ıblic Support		, ,	,				
Cale	endar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e)	2008	(f) Total
1	Gifts, grants, contributions, and							
	membership fees received (Do not							
	include any "unusual grants ")							
2	Tax revenues levied for the organization's							
	benefit and either paid to or expended on							
_	its behalf The value of services or facilities					 		
3	furnished by a governmental unit to the							
	organization without charge							
4	Total. Add line 1-3					1		
5	The portion of total contribution by each							
5	person (other than a government unit or							
	publicly supported organization) included							
	on line 1 that exceed 2% of the amount							
	shown on line 11, column							
	· (f)							
6	Public Support subtract line 5 from line							
	4							
	otal Support		1		T			
	endar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) :	2008	(f) Total
7	A mounts from line 4							
8	Gross income from interest, dividends,							
	payments received on securities loans,							
	rents, royalties and income from similar							
_	sources							
9	Net income from unrelated business							
	activities, whether or not the business is regularly carried on							
10	Other income Do not include gain or loss							
10	from the sale of capital assets (Explain in							
	Part IV)							
11	Total Support (Add lines 7 through 10)							
12	Gross receipts from related activities, etc	(See instructio	ns)		•	12		
13	First Five Years. If the Form 990 is for the	organization's f	irst second thu	d fourth or fifth	ntay vearas a F		3)	
	organization, check this box and stop here		mat, second, tim	u, rouren, or mer	rtax year as a s	/O1(C)(C	• •	▶ □
								•
Co	omputation of Public Support Perc	entage						
14	Public Support Percentage for 2008 (line 6	5 column (f) dıvı	ded by line 11 c	olumn (f))		14		
15	Public Support Percentage for 2007 School	dule A , Part IV -	A, line 26f			15		
16a	33 1/3% Test - 2008. If the organization di	d not check the	box on line 13.	and line 14 is 3	3 1/3% or more.		this box	
	and stop here. The organization qualifies a				,			▶ □
b	33 1/3% Test - 2007. If the organization d				15 is 33 1/3% d	r more,	check th	
	box and stop here. The organization qualifi	es as a publicly	supported orga	nızatıon				▶ □
17a	10% Facts and Circumstances Test - 2008.							
	more, and if the organization meets the "fa		•					· —
	organization meets the "facts and circums							► □
Ь	10% Facts and Circumstances Test - 2007.							
	more, and if the organization meets the "fa		•					_
4.0	the organization meets the "facts and circu							n ▶
18	Private Foundation. If the organization did	not check the b	oux on line 13, 1	oa, 160, 1/a or	1/D, check this	oox an	u see	▶ □
	ınstructions							F-1

Part III Support Schedule for Organizations Described in IRC 509(a)(2)

	(Complete only if you check	ced the box or	n line 9 of Part	t I.)				
Se	ction A. Public Support							
Cale	ndar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e)	2008	(f) Total
1	Gifts, grants, contributions, and	` '	, ,	` ,	ì			•
	membership fees received (Do not	221,526,078	205,989,508	197,076,948	242,209,909	6	8,621,830	935,424,273
	ınclude any "unusual grants ")							
2	Gross receipts from admissions,							
	merchandise sold or services performed,							
	or facilities furnished in any activity that	71,021,020	77,808,118	80,652,995	80,194,864	8	4,248,509	393,925,506
	is related to the organization's tax-							
	exempt purpose							
3	Gross receipts from activities that are							_
_	not an unrelated trade or business under							
	section 513							
4	Tax revenues levied for the							
•	organization's benefit and either paid to							
	or expended on its behalf							
5	The value of services or facilities							
•	furnished by a governmental unit to the							
	organization without charge							
6	Total Add lines 1-5	292,547,098	283,797,626	277,729,943	322,404,773	15	2,870,339	1,329,349,779
	Amounts included on lines 1, 2, and 3	252,511,656	200,77,020				2,0.0,000	
/a	• •			95,751	4,249			100,000
	received from disqualified persons Amounts included on lines 2 and 3							
b								
	received from other than disqualified	28,846,155	33,399,721	34,360,406	28,437,710	4	7,653,528	172,697,520
	persons that exceed the greater of 1% of	20,040,133	33,399,721	34,300,400	20,437,710	4	7,033,328	172,097,320
	the total of lines 9, 10c, 11, and 12 for							
	the year or \$5,000	20.046.155	22 200 721	24.456.157	20 441 050		7 (52 520	172 707 520
С	Total of lines 7a and 7b	28,846,155	33,399,721	34,456,157	28,441,959	4	7,653,528	172,797,520
8	Public Support (Substract line 7 c from							1,156,552,259
	line 6)							
	tal Support							
Cale	ndar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007		2008	(f) Total
9	A mounts from line 6	292,547,098	283,797,626	277,729,943	322,404,773	15	2,870,339	1,329,349,779
10a	Gross income from interest, dividends,							
	payments received on securities loans,	272 022	706 422	000 01 5	1 727 250		E06 130	4 200 056
	rents, royalties and income from similar	372,023	786,432	998,015	1,727,258		506,128	4,389,856
	sources							
ь	Unrelated business taxable income (less							
_	section 511 taxes) from businesses							
	acquired after 30 June, 1975							
c	Add lines 10a and 10b	372,023	786,432	998,015	1,727,258		506,128	4,389,856
11	Net income from unrelated business		·	·				· · · · · ·
	activities not included in line 10b,							
	whether or not the business is regularly							
	carried on							
12	Other income Do not include gain or							
12	loss from the sale of capital assets	2,180	8,210	11,200	16,242		75,116	112,948
	(Explain in Part IV)	·	·				·	•
13	Total Support (Add lines 9, 10c, 11 and							
13	12)							1,333,852,583
14	First Five Years If the Form 990 is for the o	rganization's fir	st. second. third	l. fourth. or fifth	tax vear as a 50)1(c)(:	3) organiza	ation.
	check this box and stop here		,,	.,	,	- (-)(-	.,g	▶ □
								• •
Co	mputation of Public Support Perce	entage						-
15	Public Support Percentage for 2008 (line 8		led by line 13 co	olumn (f))		15		86 708 %
			•	(177				
16	Public Support Percentage for 2007 Sched	uie M, Fail IV-A	v, iiile 2/g			16		90 208 %
		D						
	mputation of Investment Income Investment Income Percentage for 2008 (II		(f) duudad bu lii-	0 1 2 column (6\)				
17						17		0 329 %
18	Investment Income Percentage from 2007	Schedille A Par	TIV-A line 27h	1		10		0.210.0/

0 218 %

19a 33 1/3% Tests - 2008. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

33 1/3% Tests - 2007. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and

line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions



►V

Part IV	Supplemental Information. Complete this part to provide the information required by Part II, line 10; Part II, line 17a or 17b, or Part III, line 12. Provide and any other additional information. (see instructions)				
	Facts and Circumstances Test				

Schedule A (Form 990 or 990-EZ) 2008

OMB No 1545-0047

Open to Public Inspection

SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service

► Attach to Form 990. To be completed by organizations that answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.

Supplemental Financial Statements

Employer identification number Name of the organization Midwest Research Institute 44-0545878 Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6. (a) Donor advised funds (b) Funds and other accounts Total number at end of year Aggregate Contributions to (during year) 3 Aggregate Grants from (during year) Aggregate value at end of year Did the organization inform all donors and donor advisors in writing that the assets held in donor advised □ No funds are the organization's property, subject to the organization's exclusive legal control? Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor or other impermissible private benefit? Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7 Purpose(s) of conservation easements held by the organization (check all that apply) Preservation of land for public use (e.g., recreation or pleasure) Preservation of an historically importantly land area Preservation of certified historic structure Protection of natural habitat Preservation of open space Complete lines 2a-2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year Held at the End of the Year 2a Total number of conservation easements h 2b Total acreage restricted by conservation easements 2c c Number of conservation easements on a certified historic structure included in (a) 2d d Number of conservation easements included in (c) acquired after 8/17/06 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during 3 the taxable year 🕨 Number of states where property subject to conservation easement is located Does the organization have a written policy regarding the periodic monitoring, inspection, violations, and enforcement of the conservation easements it holds? Staff or volunteer hours devoted to monitoring, inspecting and enforcing easements during the year 🕨 7 A mount of expenses incurred in monitoring, inspecting, and enforcing easements during the year 🕨 \$ Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(ı) and 170(h)(4)(B)(ıı)? In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

- If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items
- If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items
 - (i) Revenues included in Form 990, Part VIII, line 1

For Paperwork Reduction Act Notice, see the Intructions for Form 990

(ii) Assets included in Form 990, Part X

- If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items
- Revenues included in Form 990, Part VIII, line 1

► \$

Assets included in Form 990, Part X

Cat No 52283D

Schedule D (Form 990) 2008

□ No □ No □ No □ No □ ars Back
No No
□ No
∏ No
ars Back
ars Back
ars back
No
k value
k value 641,507
641,507
641,507 ,286,294
641,507 ,286,294 298,660
I

Part VII Investments—Other Securities.	See Form 990, Part X, line 12.	
(a) Description of security or cateory (including name of security)	(b)Book value	(c) Method of valuation Cost or end-of-year market value
Financial derivatives and other financial products		
Closely-held equity interests		
Other INVESTMENT IN EVOGEN INC	673,725	F
Total. (Column (b) should equal Form 990, Part X, col (B) line 12) 🕨	
Part VIII Investments—Program Related.	See Form 990. Part X. line 13.	
(a) Description of investment type	(b) Book value	(c) Method of valuation
(a) Description of investment type		Cost or end-of-year market value
INVESTMENT IN ALLIANCE	936,392	F
Total. (Column (b) should equal Form 990, Part X, col (B) line 13 Part IX Other Assets. See Form 990, Part X		
· · · · · · · · · · · · · · · · · · ·	scription	(b) Book value
DESIGNATED FUNDS		6,367,813
REIMBURSABLE SUB COSTS		0
INVESTMENT IN SUB (MRIV)		268,640
MISCELLANEOUS		588,854
CONTRACTS IN PROGRESS		10,693,856
Total. (Column (b) should equal Form 990, Part X, col.(B)	ıne 15.)	▶ 17,919,163
Part X Other Liabilities. See Form 990, Pa		
(a) Description of Liability	(b) A mount	
Federal Income Taxes		
ACCRUED SUB CONTRACTS	0	
EXCESS BILLINGS	154,224	
LONG-TERM LIABILITIES	1,517,103	
PENSION BENEFIT LIABILITY	13,810,027	
	,	
Total. (Column (b) should equal Form 990, Part X, col (B) line 25) ▶ 15,481,354	

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	
4	Net unrealized gains (losses) on investments	4	
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	
9	Total adjustments (net) Add lines 4 - 8	9	
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	
Part	Reconciliation of Revenue per Audited Financial Statements With Revenue per	er Re	turn
1	Total revenue, gains, and other support per audited financial statements	1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
а	Net unrealized gains on investments		
b	Donated services and use of facilities		
c	Recoveries of prior year grants		
d	Other (Describe in Part XIV) 2d		
e	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
а	Investment expenses not included on Form 990, Part VIII, line 7b . 4a		
b	Other (Describe in Part XIV) 4b		
c	Add lines 4a and 4b	4c	
5	Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12)	5	
Part	Reconciliation of Expenses per Audited Financial Statements With Expenses	per F	leturn
1	Total expenses and losses per audited financial statements	1	
2	A mounts included on line 1 but not on Form 990, Part IX, line 25		
а	Donated services and use of facilities 2a		
b	Prior year adjustments		
С	Losses reported on Form 990, Part IX, line 25 2c		
d	Other (Describe in Part XIV) 2d		
e	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	
4	A mounts included on Form 990, Part IX, line 25, but not on line 1:		
а	Investment expenses not included on Form 990, Part VIII, line 7b 4a		
b	Other (Describe in Part XIV) 4b		
c	Add lines 4a and 4b	4с	
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18)	5	
Par	t XIV Supplemental Information		
	plete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Par V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b	rt XIV ,	lines 1b and 2b,

Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements

Ident if ier	Return Reference	Explanation
SCHEDULE D, PART X	FIN 48 FOOTNOTE	MIDWEST RESEARCH INSTITUTE adopted Financial Accounting Standards Board (FASB) Interpretation No 48, Accounting for Uncertainty in Income Taxes - an Interpretation of FASB Statement No 109 (FIN 48) FIN 48 provides specific guidance on how to address uncertainty in accounting for income tax assets and liabilities, prescribing recognition thresholds and measurement attributes. The adoption of FIN 48 by MIDWEST RESEARCH INSTITUTE did not have a material impact on the company's financial position, results of operations, or cash flows

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93493137026260

OMB No 1545-0047

2008

SCHEDULE F (Form 990)

Department of the Treasury Internal Revenue Service

▶ Attach to Form 990. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

Statement of Activities Outside the United States

Open to Public

IIII	ai Nevelide Selvice						znopoetion
	ne of the organization				Er	mployer ide	entification number
MId	west Research Institute				44	4-054587	8
Pa				de the United States	s. Complete if	the organ	nization answered
	"Yes" to Form S						
1				ecords to substantiate			
	· -		-	assistance, and the se			ward
2	For grant makers. Descri United States	ibe in Part IV the	organization's pr	ocedures for monitoring tl	ne use of grant fu	unds outsic	e the
3	Activites per Region (U	se Schedule F-1	(Form 990) if add	ditional space is needed)			
	<u> </u>			(d) Activities conducted in	(e) If activity I	ısted ın (d)	
	(a) Region	(b) Number of offices in the	(c) Number of employees or	region (by type) (i e , fundraising, program services,	ıs a program		(f) Total expenditures in
	()	region	agents in region	grants to recipients located in the region)	describe speci service(s) ir		region
	sıa and the Newly ependent States		4	Program Services	Research & Dev		3,569,956
	dle East and North Africa		6	Program Services	Research & Dev	/elopment	3,017,009
_							
					+		

10

Totals.

6,586,965

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) A mount of cash grant	(f) Manner of cash disbursement	(g) A mount of of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other
	_							
	_							
	_							
	_							-
	_							_
	_							
	_							
	_							
	_							
	_							
	_							
	_							
	_							

	F-1 (Form 990) if a			ted States. Complete	ii ule organization a	iliswered tes to form	990, Part IV, line 16.
(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) A mount of cash grant	(e) Manner of cash disbursement	(f) A mount of non- cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)

Schedule F (Form 990) 2008

Complete this part t	o provide the information required	ın Part I, line 2, and any other additional information.
Identifier	ReturnReference	Explanation

Software ID:

Software Version:

EIN: 44-0545878

Name: Midwest Research Institute

Form 990 Schedule F Part II - Grants and Other Assistance to Organizations or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) A mount of cash grant	(f) Manner of cash disbursement	(g) A mount of non- cash assistance	(h) Description of non-cash assistance	(ı) Method of valuatıon (book, FMV, appraısal, other)
--------------------------	--	------------	-------------------------	------------------------------	------------------------------------	---	--	--

DLN: 93493137026260

OMB No 1545-0047

Department of the Treasury Internal Revenue Service

Schedule I

(Form 990)

Complete if the organization answered "Yes," on Form 990, Part IV, lines 21 or 22. Attach to Form 990.

Grants and Other Assistance to Organizations,

Governments and Individuals in the U.S.

Open to Public **Inspection**

Maine of the organization						Employer identi	ilcation number
Midwest Research Institute						44-0545878	
Part I General Inforn	nation on Gran	its and Assistance	}			1	
Form 990, Part I Part IV and Sche	t to award the gran ganization's proce ner Assistance V, line 21 for an edule I-1 if addit	ts or assistance? dures for monitoring the to Governments a y recipient that rece ional space is	e use of grant funds in the and Organizations lived more than \$5,00	in the United States Oo. Check this box		rganızatıon answere eıved more than \$5,	d "Yes" on
1(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Science Pioneers 30 WPershing Ste 410 KC, MO 64108	44-0607132	501(c)(3)	45,000				Foster scientific education, innovation, and growth
KC Area Life Sciences Institute1055 Broadway Ste 130 KC,MO 64105	43-1889037	501(c)(3)	25,000				Foster scientific education, innovation, and growth
Smart Energy Living Alliance 12600 W Colfax Ave Lakewood, CO 80215	84-1510797	501(c)(3)	25,000				Foster scientific education, innovation, and growth
2 Enter total number of sect				_			3
organizations					• 		▶ 0

Part III	Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
	Use Schedule I-1 (Form 990) if additional space is needed.

(a)Type of grant or assistance	(b) Number of recipients	(c)A mount of cash grant	(d)A mount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance

Part IV Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information. See Additional Data Table

Ident if ier	Return Reference	Explanation
Part 1, Line 2		MIDWEST RESEARCH INSTITUTE ONLY GRANTS FUNDS TO IRC SECTION 501(C)(3) ORGANIZATIONS

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93493137026260

OMB No 1545-0047

2008

Inspection

Open to Public

Schedule J (Form 990)

Department of the Treasury Internal Revenue Service

For certain Officers, Directors, Trustees, Key Employees, and Highest **Compensated Employees**

Compensation Information

► Attach to Form 990. To be completed by organizations that answered "Yes" to Form 990, Part IV, line 23.

Name of the organization Midwest Research Institute

Employer identification number

44-0545878

Pa	rt I Questions Regarding Compensation	on				
					Yes	Νo
1a	Check the appropriate box(es) if the organization pr 990, Part VII, Section A, line 1a Complete Part II		ny of the following to or for a person listed in Form vide any relevant information regarding these items			
	First class or charter travel	Γ	Housing allowance or residence for personal use			
	▼ Travel for companions	Г	Payments for business use of personal residence			
	Tax idemnification and gross-up payments	<u> </u>				
	Discretionary spending account	Г	Personal services (e g , maid, chauffeur, chef)			
b	If line 1a is checked, did the organization follow a v provision of all the expenses described above? If "			1b		Νo
2	Did the organization require substantiation prior to					
	officers, directors, trustees, and the CEO/Executiv	e Direct	or, regarding the items checked in line 1a?	2	Yes	
3	Indicate which, if any, of the following the organization's CEO/Executive Director Check all		у			
	Compensation committee		Written employment contract			
	☑ Independent compensation consultant	<u> - - - - - - - - - -</u>	Compensation survey or study			
	Form 990 of other organizations	<u> - </u>	Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990	, Part VI	I, Section A, line 1a			
а	Receive a severance payment or change of control	payment	t?	4a	Yes	
b	Participate in, or receive payment from, a supplement	ental non	nqualified retirement plan?	4b		Νo
c	Participate in, or receive payment from, an equity-	based co	mpensation arrangement?	4c		Νo
	If "Yes" to any of lines 4a-c, list the persons and p	rovide th	he applicable amounts for each item in Part III			
	501(c)(3) and 501(c)(4) organizations only must c	omplete	lines 5-8.			
5	For persons listed in form 990, Part VII, Section A compensation contingent on the revenues of	, line 1a,	, did the organization pay or accrue any			
а	The organization?			5a		Νo
ь	Any related organization?			5b		Νo
	If "Yes," to line 5a or 5b, describe in Part III					
6	For persons listed in form 990, Part VII, Section A compensation contingent on the net earnings of	, line 1a,	, did the organization pay or accrue any			
а	The organization?			6a	Yes	
b	Any related organization?			6b		Νo
	If "Yes," to line 6a or 6b, describe in Part III					
7	For persons listed in form 990, Part VII, Section A payments not described in lines 5 and 6? If "Yes,"			7		No
8	Were any amounts reported in Form 990, Part VII, subject to the initial contract exception described in Part III	•	•	8		No

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(I)-(III) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name		(B) Breakdown of	W-2 and/or 1099-MI	SC compensation	(C) Deferred	(D) Nontaxable	(E) Total of columns	(F) Compensation
		(i) Base compensation	(ii) Bonus & ıncentive compensation	(iii) Other compensation	compensation	benefits	(B)(ı)-(D)	reported in prior Form 990 or Form 990-EZ
Jeanie S Latz	(I) (II)	118,430 0	5,000 0	92,323 0	3,433 0	15,522 0	234,708 0	(
Dan Arvizu	(ı) (ıı)	398,657 0	211,000 0	3,317 0	23,750 0	15,435 0	652,159 0	(
Fred V Cornwell	(ı) (ıı)	217,718 0	10,000 0	0	8,194 0	15,522 0	251,434 0	(
William P Glover	(ı) (ıı)	198,282 0	93,000 0	5,276 0	7,058 0	11,849 0	315,465 0	(
Michael F Helmstetter	(ı) (ıı)	322,088 0	35,000 0	1,916 0	14,816 0	15,522 0	389,342 0	(
Robert San Martin	(ı) (ıı)	243,282 0	27,000 0	4,542 0	5,750 0	3,240 0	283,814 0	(
James L Spigarelli	(ı) (ıı)	506,500 0	350,000 0	4,368 0	5,750 0	15,522 0	882,140 0	(
Dale A Gardner	(ı) (ıı)	215,771 0	102,255 0	0	5,750 0	11,849 0	335,625 0	(
Fraser P Ward	(ı) (ıı)	267,339 0	10,000	724 0	5,750 0	3,240 0	287,053 0	(
Joseph S Broz	(ı) (ıı)	217,585 0	4 5,000 0	0	5,750 0	15,522 0	283,857 0	(
Johnny D Callahan	(ı) (ıı)	188,989 0	0	73,560 0	0 0	20,733 0	283,282 0	(
Jerry L Bellows	(ı) (ıı)	186,478 0	39,135 0	309 0	3,850 0	0	229,772 0	(
Michael Ehret	(ı) (ıı)	197,951 0	12,000	0	5,320 0	11,849 0	227,120 0	(
Roger Harris	(ı) (ıı)	170,917 0	7,000 0	0	4,547 0	10,064 0	192,528 0	(
Giuliano Radolovich	(ı) (ıı)	170,792 0	8,751 0	0	4,618 0	15,522 0	199,683 0	(
Thomas M Sack	(ı) (ıı)	209,221 0	12,000	0	5,629 0	15,522	242,372	(

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8 Also complete this part for any additional information

Ident if ier	Return Reference	Explanation
Part I, Line 1a	Questions regarding compensation	MRI reimburses certain officers of the company for automobile and social club allowances, as well as spouse travel MRI does not have a specific policy regarding these types of reimbursements. However, these reimbursements are approved by the Board of Directors and written into the employee's offer letter
Part I, Line 4a	Questions regarding compensation	Officer, Jeanie Latz, received a severance payment of \$82,546
Part I, Line 6a	Questions regarding Compensation	The Annual Net Project Income objective is approved by the Board of Directors. Incentive compensation participants are categorized into groups each group containing a target incentive percentage range. Participants' target incentive is a percentage of their base pay. Participants are eligible to receive 100% of their target incentive compensation if the Annual Net Project Income objective is achieved. However, if the Annual Net Project Income objective is not achieved but Research Operations realizes a positive net project income for the year, the participants will receive a pro-rata portion of their targeted incentive. If the Annual Net Project Income objective is exceeded, participants are eligible to receive incentive payments ranging from 1 to 2 times their target incentive. The total amount of incentive compensation may not, in any case, exceed 25% of the actual Annual Net Project Income. Once senior management has approved the incentive compensation plan, the compensation committee of the Board of Directors reviews and approves the plan for all incentive eligible employees.

Software ID: Software Version:

EIN: 44-0545878

Name: Midwest Research Institute

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		of W-2 and/or 1099-MIS		(C) Deferred	(D) Nontaxable	(E) Total of columns	(F) Compensation
	(i) Base Compensation	(ii) Bonus & ıncentıve compensatıon	(iii) Other compensation	compensation	benefits	(B)(ı)-(D)	reported in prior Form 990 or Form 990-EZ
leanie S Latz	(i) 118,430 (i) 0	5,000	92,323 0	3,433 0	15,522 0	234,708 0	0
	(i) 398,657 (i) 0	211,000	3,317 0	23,750 0	15,435 0	652,159 0	0
	(i) 217,718 (i) C	10,000	0 0	8,194 0	15,522 0	251,434 0	0
	(i) 198,282 (i) 0	93,000	5,276 0	7,058 0	11,849 0	315,465 0	0
	(i) 322,088 (i) 0	35,000 0	1,916 0	14,816 0	15,522 0	389,342 0	0 0
	(i) 243,282 (i) 0	27,000	4,542 0	5,750 0	3,240 0	283,814 0	0
	(i) 506,500 (i) C	350,000 0	4,368 0	5,750 0	15,522 0	882,140 0	0 0
Dale A Galonei - I	(i) 215,771 (i) C	102,255	0	5,750 0	11,849 0	335,625 0	0 0
	(i) 267,339 (i) 0	10,000	724 0	5,750 0	3,240 0	287,053 0	0 0
	(i) 217,585 i) 0	45,000	0	5,750 0	15,522 0	283,857 0	0
	(i) 188,989 (i) 0	0 0	73,560 0	0	20,733 0	283,282 0	0 0
	(i) 186,478 (i) 0	39,135 0	30 <i>9</i> 0	3,850 0	0	229,772 0	0
	(i) 197,951 (i) 0	12,000	0	5,320 0	11,849 0	227,120 0	0
	(i) 170,917 (i) 0	7,000	0	4,547 0	10,064 0	192,528 0	0
	(i) 170,792 i) 0	8,751	0	4,618 0	15,522 0	199,683 0	0
	(i) 209,221 (i) 0	12,000	0	5,629 0	15,522 0	242,372 0	0

efile GRAPHIC print - DO NOT PROCESS | As Filed Data -

Schedule K

(Form 990)

Midwest Research Institute

ed Data -

Supplemental Information on Tax Exempt Bonds

To be completed by organizations that answered "Yes" to Form 990, Part IV, line 24a.

OMB No 1545-0047

2008

DLN: 93493137026260

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization

Provide descriptions, explanations, and any additional information in Schedule O.

Employer identification number

Pa	art I Bond Issues (Required	for 2008)												
	(a) Issuer Name	(b) Issuer EIN	(c) CUSIP #	(d) Date	Issued	(e) Issue	e Price	(f) Description of Purpose		Purpose	(g) Defeased			On alf of uer
										Yes		No	Yes	No
A	MISSOURI DEVELOPMENT FINANCE BOARD	43-1387649	60637MAF3	03-28-	2007	20,		RESEARCH BOND	FACILITY	REVENUE		x		×
Pa	rt II Proceeds (Optional for)	2008)	•			_								
1	Total Proceeds of Issue			,	Α	E	3			D			E	
2	Gross Proceeds in Reserve Funds													
3	Proceeds in Refunding or Defeasar	nce Escrows												
4	Other Unspent Proceeds													
5	Issuance Costs from Proceeds													
6	Working Capital Expenditures from	Proceeds												
7	Capital Expenditures from Proceed	ds												
8	Year of Substantial Completion						_					_		
				Yes	No	Yes	No	Yes	No	Yes	No	Yes		No
9	Were the bonds issued as part of a	current refunding iss	ue?		Х									
10	Were the bonds issued as part of a	n advance refunding i	ıssue [?]		X									
11	Has the final allocation of proceeds	s been made?			Х									
12	Does the organization maintain adequate books and records to support the final allocation of proceeds?				х									
Pa	TIIII Private Business Use	(Optional for 2008))											
					_	E		(D			E	
				Yes	No	Yes	No	Yes	No	Yes	No	Yes		No
1 —	Was the organization a partner in a which owned property financed by t		mber of an LLC,		Х									
2	Are there any lease arrangements which may result in private busines		nanced property		х									
For Paperwork Reduction Act Notice, see the Instructions for Form 990.						Cat No 50193E Schedule K (Form				Form 990	m 990) 2008			

Schedule K (Form 990) 2008

Part III Private Business Use (Continued)

			A	1	3	(С	l I	D		E
		Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts with respect to the financed property which may result in private business use?		х								
3b	Are there any research agreements with respect to the financed property which may result in private business use?		Х								
3с	Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property?		x								
4	Enter the percentage of financed property used in a private business use by entities other than a 501(c)(3) organization or a state or local government										
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another 501(c)(3) organization, or a state or local government										
6	Total of lines 4 and 5										
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?		х								
Pai	t IV Arbitrage (Optional for 2008)					_					
			<u> </u>	E	3		c	ı	<u> </u>		Ę
)	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
1	Has a Form 8038-T been filed wth respect to the bond issue?		Х								
2	Is the bond issue a variable rate issue?		X								
3a	Has the organization or the government issuer identified a hedge with respect to the bond issue on its books and records?		х								
b	Name of provider										
С	Term of hedge										
4a	Were gross proceeds invested in a GIC?		Х								
b	Name of provider										
С	Term of GIC										
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?										
5	Were any gross proceeds invested beyond an available temporary period?		Х								
6	Did the bond issue qualify for an exception to rebate?		Х		1						

DLN: 93493137026260

OMB No 1545-0047

Open to Public Inspection

Schedule L

(Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Transactions with Interested Persons

► Attach to Form 990 or Form 990-EZ. ► To be completed by organizations that answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V lines 38b or 40b.

Name of the organization **Employer identification number** Midwest Research Institute 44-0545878 Part I Excess Benefit Transactions (section 501(c)(3) and section 501 (c)(4) organizations only). To be completed by organizations that answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b (a) Name of disqualified person (b) Description of transaction Yes No 2 Enter the amount of tax imposed on the organization managers or disqualified persons during the year under 3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization . . . Loans to and/or From Interested Persons To be completed by organizations that answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a (f) (b) Loan to or (e) In Approved (g)Written from the (a) Name of interested person and (c)Original principal by board or (d)Balance due default? agreement? organization? purpose amount committee? From Yes No Yes Τо No Yes No Part III Grants or Assistance Benefitting Interested Persons To be completed by organizations that answered "Yes" on Form 990, Part IV, line 27. (b)Relationship between interested person (a) Name of interested person (c)A mount of grant or type of assistance and the organization

Part IV Business Transactions Involving Interested Persons

To be completed by organizations that answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the	(c) A mount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
	organization			Yes	No
See Schedule O	Directors of JE Dunn	4,281,427	Construction Services		Νο
Greg Graves Director	Pres of Burns & McDonnell	117,601	General Contract Services		Νο
Jonathan Kemper Director	Dır/CEO of Commerce Bank	269,307	Banking Services		Νο

efile GRAPHIC print - DO NOT PROCESS | As Filed Data -

SCHEDULE 0

(Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Information to Form 990

▶ Attach to Form 990. To be completed by organizations to provide additional information for

DLN: 93493137026260 OMB No 1545-0047

Inspection

Name of the organization Midwest Research Institute

responses to specific questions for the Form 990 or to provide any additional information.

Employer identification number

44-0545878

ldentifier	Return Reference	Explanation
, ,	STATEMENTS REGARDING OTHER IRS FILINGS AND TAX COMPLIANCE	DURING THE YEAR, MIDWEST RESEARCH INSTITUTE DID NOT RECEIVE ANY OF THE PROPERTY LISTED ON LINE 7G AND 7H, THEREFORE, NO FORMS WERE FILED

ldentifier	Return Reference	Explanation
FORM 990, PART IV,	FINANCIAL	MIDWEST RESEARCH INSTITUTE does not have a standalone audit, how ever, it's financial
Line 12 and PART XI,	STATEMENTS AND	statements are compiled, reviewed and audited on a consolidated basis along with its
LINES 2A-2C	REPORTING	w holly ow ned for-profit subsidiary. The audit committee oversees the audit process

ldentifier	Return Reference	Explanation
		Jonathan Kemper and Thomas McDonnell have a business relationship Michael Morrissey, Robert Long and William Hall have a business relationship

Identifier	Return Reference	Explanation
FORM 990, PART VI, LINE 10	REVIEW OF 990 PRIOR TO FILING	The 990 is reviewed by MRI staff, including the CFO, Controller, and Senior Counsel A final draft version is also reviewed by MRI's Audit Committee A final version is provided to each member of the Board of Directors prior to filing the 990

ldentifier	Return Reference	Explanation
FORM 990, PART VI, LINE 12C	MONITORING AND ENFORCEMENT OF BOARD INDEPENDENCE	The officers, directors, trustees and key employees of MRI are required to complete a disclosure statement on an annual basis. The disclosures set forth on the statements are presented to the Audit Commttee of the MRI Board of Directors by the MRI Compliance Officer. The Audit Commttee discusses the disclosure statements to determine if any potential conflict of interest situations exist with respect to the officers, directors, trustees and key employees of MRI At the following MRI Board Meeting, an executive summary of the disclosure statements is presented to the Directors and the Audit Committee reports the outcome of its discussion to the Directors. The Directors are presented the opportunity to discuss the Audit Committee's findings or any other potential conflict of interest issues presented in the executive summary. Ultimately, the Board of Directors will determine whether a conflict of interest issues presented in the executive summary. Ultimately, the Board of Directors will determine whether a conflict of interest situations are recorded in the minutes of the Board meeting, including determination of whether a conflict of interest exists, the names of the minute of the Board meeting, including determination of whether a conflict of interest exists, the names of the minute of the Board meeting, including determination of whether a conflict of interest is making the discussion of potential conflict, the action taken and the basis on which the Board reached its decision. MRI has certain procedures with respect to addressing a conflict of interest transaction. Any action to be taken by MRI involving or pertaining to an individual, entity or circumstance with respect to which an officer, director, trustee or key employee (each, a "Covered Person") has a conflicting interest will be discussed and voted on by the Board of Directors. The Covered Person will leave the meeting during the discussion of, and the vote on, the transaction or arrangement that may result in a conflict of interest that will be discuss

Identifier	Return Reference	Explanation
FORM 990, PART VI, LINE 15B	COMPENSATION OF OFFICERS, DIRECTORS, KEY EMPLOYEES	MRI's Compensation Committee (Committee) of the Board of Directors is responsible for reviewing compensation for Institute employees and approving executive compensation in all areas. On an annual basis the Committee reviews and approves compensation for executive officers of the Institute and other managers as directed by the Board. The Committee reviews and approves the CEO's performance evaluations and compensation recommendations for the executives. The Committee also monitors summary data on the Institute's employee population (e.g. total personnel costs, employee diversity, turnover, etc.) The Committee utilizes compensation survey data, provided by an outside party, to benchmark compensation against similarly- situated companies. The Committee's minutes document the decisions and basis used for MRI's executive compensation.

ldentifier	Return Reference	Explanation
FORM 990, PART VILINE 19	GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, FINANCIAL STATEMENTS	MRI's governing documents and financial statements are made available to the public upon request MRI's conflict of interest policy is not made available to the public

ldentifier	Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 1a		THE GOVERNING BODY HAS DELEGATED AUTHORITY TO ACT ON ITS BEHALF TO AN EXECUTIVE COMMITTEE THE EXECUTIVE COMMITTEE MAY EXERCISE ANY AND ALL POWERS OF THE BOARD OF DIRECTORS SUBJECT TO THE PARAMOUNT POWER OF THE BOARD THE EXECUTIVE COMMITTEE MAY CONVENE BETWEEN BOARD MEETINGS TO MAKE DECISIONS THAT CANNOT BE DELAYED UNTIL THE NEXT BOARD MEETING THE EXECUTIVE COMMITTEE MEMBERS ARE ALL VOTING DIRECTORS SPECIFICALLY, THE COMMITTEE IS COMPRISED OF THE CHAIR OF THE BOARD, VICE CHAIR OF THE BOARD, CHAIR OF THE INVESTMENT AND FINANCE COMMITTEE, CHAIR OF THE AUDIT COMMITTEE, CHAIR OF THE NOMINATING COMMITTEE AND CHAIR OF THE COMPENSATION COMMITTEE

ldentifier	Return Reference	Explanation
FORM 990, PART III, LINE 3		MRI managed and operated the National Renew able Energy Laboratory (NREL) under contract by the Department of Energy (DOE) as a division of MRI prior to the expiration of the contract on September 30, 2008. The revenues and expenses related to the NREL contract prior to October 1, 2008 are included in the accompanying 990. Subsequently, MRI is a partner of the Alliance for Sustainable Energy, LLC (Alliance) a 501(c)(3)organization that was formed to bid on the new NREL contract and for the sole purpose of managing and operating NREL. The DOE awarded Alliance the new NREL contract effective October 1, 2008. Revenue and expenses related to the NREL contract subsequent to September 30, 2008 are not included in the accompanying 990.

ldentifier	Return Reference	Explanation
Form 990, Schedule L, Part IV Line 1		Michael Morrissey, Director Director of JE DUNN William Hall, Director Director of JE DUNN Robert Long, Director Director of JE DUNN

For Paperwork Reduction Act Notice, see the Instructions for Form 990

Cat No 51056K

Schedule O (Form 990) 2008

DLN: 93493137026260

SCHEDULE R (Form 990)

Related Organizations and Unrelated Partnerships

OMB No 1545-0047 2008

Open to Public

Department of the Treasury Internal Revenue Service

▶ Attach to Form 990. To be completed by organizations that answerd "Yes" to Form 990, Part IV, lines 33, 34, 35, 36, or 37. ► See separate instructions.

Inspection

Name of the organization Midwest Research Institute				Employer identif	icat ion number
Thawest research distract				44-0545878	
Part I Identification of Disregarded Entities					
(A) Name, address, and EIN of disregarded entity	(B) Primary activity	(C) Legal domicile (state or foreign country)	(D) Total income	(E) End-of-year assets	(F) Direct controlling entity
MIDWEST RESEARCH INSTITUTE- KANSAS LLC 425 VOLKER BLVD KANSAS CITY, MO 64110 44-0545878	R&D	KS	0	0	NA
Part II Identification of Related Tax-Exempt Organizati	ions				
(A) Name, address, and EIN of related organization	(B) Primary activity	(C) Legal domicile (state or foreign country)	(D) Exempt Code section	(E) Public charity status (if section 501(c)(3)	(F) Direct controlling entity
For Domonius de Doduction Act Nation and the Treturations for Forms 000		C-t N- 50135	<u> </u>		Cahadula B (Farm 000) 2006

art III Identification of		_						(F	1)		()	<u></u>
(A) Name, address, and EIN of related organization	(A) Name, address, and EIN of related organization (B) Primary activity		(C) Legal domicile (state or foreign country)	(D) Direct controlling entity	(E) Predominant income(related, investment, unrelated)	(F) Share of total income	(G) Share of end-of- year assets	Disprop allocat	ortionate tions?	(I) Code V—UBI amount on Box 20 of K-1	Gener mana partr	ral o agıng ner?
								Yes	No		Yes	No
Part IV Identification of	Related Org	ganizations	Taxable a	s a Corporation	ı or Trust							
(A) Name, address, and EIN of related o	organization	(B) Primary activit	ty	(C) Legal domicile (state or foreign country)	(D) Direct controlling entity	(E) Type of entity (C corp, S corp, or trust)	(F) Share of total incom	ne	Sha end-	are of Perc	(H) centage nership	
MRI VENTURES 425 VOLKER BLVD KANSAS CITY, MO64110 43-1294503		Tech Developm	ent	МО	NA	C Corp	-205,5	511		330,811 100	%	
								_				

Part V	Transactions	with Related	Organizations
--------	--------------	--------------	---------------

Transactions with Related Organizations		Ye	s No
Note. Complete line 1 if any entity is listed in Parts II, III or IV	_	T e	5 140
During the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a Receipt of (i) interest (ii) annuities (iii) royalties (iv) rent from a controlled entity	1a	_	No
b Gift, grant, or capital contribution to other organization(s)	1b	•	No
c Gift, grant, or capital contribution from other organization(s)	10	:	No
d Loans or loan guarantees to or for other organization(s)	1d	l Ye	s
e Loans or loan guarantees by other organization(s)	1e	:	No
f Sale of assets to other organization(s)	1 f		No
g Purchase of assets from other organization(s)	1 g	ı	No
h Exchange of assets	1h	1	No
i Lease of facilities, equipment, or other assets to other organization(s)	11		No
j Lease of facilities, equipment, or other assets from other organization(s)			No
k Performance of services or membership or fundraising solicitations for other organization(s)	1k		No
l Performance of services or membership or fundraising solicitations by other organization(s)	11		No
m Sharing of facilities, equipment, mailing lists, or other assets	1n	n Ye	s
n Sharing of paid employees	1n	Ye	s
• Reimbursement paid to other organization for expenses	10	•	No
p Reimbursement paid by other organization for expenses	1 p		No
q O ther transfer of cash or property to other organization(s)	19		No
r Other transfer of cash or property from other organization(s)	1r		No

2 I	2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds										
	(A) Name of other organization(s)	(B) Transaction type(a-r)	(C) Amount Involved								
(1)	MRI VENTURES	D	208,489								
(2)	MRI VENTURES	М	12,621								
(3)	MRI VENTURES	N	148,345								
(4)											
(5)											
(6)											

Part VI Unrelated Organizations Taxable as a Partnership

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships

									_	
(A) Name, address, and EIN of entity	(B) Primary activity	(C) Legal domicile (state or foreign country)	(D) Are all partners section 501(c)(3) organizations?		(E) Share of end-of-year assets			(G) Code V—UBI amount on Box 20 of K-1	(H) General o managing partner?)
			Yes	No		Yes	No		Yes	No
			•	•		•		Cabadul	D / Form	

efile GRAPHIC print - DO NOT PROCESS | As Filed Data -

DLN: 93493137026260

OMB No 1545-0172

Department of the Treasury

Internal Revenue Service

Depreciation and Amortization (Including Information on Listed Property)

	▶	See separate instructions	s. 🕨 Attach	to your tax r	et urn.			Sequence No 67
Name(s) shown on return		Business or a	ctivity to which	this form re	ates	Iden	tifyin	g number
Midwest Research Institu	te	CENEDAL DE	DDECLATION					7.0
Part I Election	To Evnence	Certain Property Un	PRECIATION	170		44-0	5458	/8
	•	isted property, comple			nplete Pai	rt I.		
-		for a higher limit for cert					1	\$ 250,000
2 Total cost of section 1	179 property plac	ced in service (see instru	ctions) .				2	
		y before reduction in limit		uctions)			3	\$ 800,000
4 Reduction in limitation	n Subtract line 3	from line 2 If zero or les	s, enter - 0 -				4	,
		line 4 from line 1 If zero	·	O- If married	d filing			
separately, see instru							5	
							I	
(a) [escription of pro	pperty	' '	(busıness us	e (c) FI	ected	cost	
				only)	(-,			4
6								\dashv
7 Listed property Enter	the amount from	June 20		. 7	<u> </u>			-
		erty Add amounts in colu	ımn (c), iines 6	and / .		•	8	
9 Tentative deduction E							9	
10 Carryover of disallowe		•				• •	10	
11 Business income limitation		·		·		•	11	
12 Section 179 expense		•		n line 11		•	12	
13 Carryover of disallowe				.▶ 13				
Note: Do not use Part								
		Allowance and Othe					roperty 	y) (See instructions)
14 Special depreciation a tax year (see instruction)	·	ilfied property (other than	i iistea property) piaced in s	ervice durir	ng the	14	
15 Property subject to se	•	election					15	
16 Other depreciation (in							16	
· · · · · · · · · · · · · · · · · · ·	<u> </u>	Do not include listed p	property.) (Se	e instruction	ons.)			
		Se	ction A		•			_
$\boldsymbol{17}\ MACRS\ deductions\ fo$	r assets placed ı	n service in tax years be	gınnıng before 2	. 800		•	17	1,338,098
18 If you are electing			e during the t	ax year ınt				
general asset accou	unts, check he	re				<u>►</u>		
Section B—Ass	ets Placed in	Service During 200	08 Tax Year	Using the	General	Dep	<u>recia</u>	tion System
	(h) Month and	(c) Basis for						
(a) Classification of	(b) Month and year placed in	depreciation (business/investment	(d) Recovery	(e) Conven	tion (f)	Metho	od	(g)Depreciation
property	service	use	period	(-,	(1)			deduction
		only—see instructions)						
19a 3-year property								
b 5-year property c 7-year property	1							
d 10-year property								
e 15-year property								
f 20-year property								
g 25-year property			25 yrs			S/L		
h Residential rental			27 5 yrs	ММ		S/L		
property			27 5 yrs	ММ		S/L		
i Nonresıdentıal real			39 yrs	ММ		S/L		
property				MM		S/L		
	on C—Assets Pla	ced in Service During 2008	8 Tax Year Using	gthe Alterna			Syste	em
20a Class life	-					S/L		
b 12-year	1		12 yrs	24.4		S/L		
c 40-year Part IV Summa	<u> </u> r y (See instrud	tions)	40 yrs	MM		S/L		
21 Listed property Enter							21	
22 Total. Add amounts fro			and 20 in colur	n (a) and lu	 ne 21 Enta	r here		
		curn Partnerships and Sc					22	1,338,098
23 For assets shown above	ve and placed in	service during the curren	t year, enter the				•	
portion of the basis at	tributable to sec	tion 263A costs		23				

Form 4562 (2008) Page 2 Listed Property (Include automobiles, certain other vehicles, cellular telephones, certain computers, and Part V property used for entertainment, recreation, or amusement.) **Note:** For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable. Section A—Depreciation and Other Information (Caution: See the instructions for limits for passenger automobiles.) 24a Do you have evidence to support the business/investment use claimed? Yes No 24b If "Yes," is the evidence written?
☐ Yes
No (c) (i) (e) (b) Business/ (d) (h) (a) (g) Basis for depreciation Flected Type of property (list Date placed in investment Cost or other Recovery Method/ Depreciation/ section 179 (business/investment Convention deduction vehicles first) service basis period use use only) cost percentage 25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions) 25 26 Property used more than 50% in a qualified business use % % 27 Property used 50% or less in a qualified business use S/L -% S/L -28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1 28 29 Add amounts in column (i), line 26 Enter here and on line 7, page 1 Section B—Information on Use of Vehicles Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles (a) (b) (c) (d) (e) (f) 30 Total business/investment miles driven during the Vehicle 2 Vehicle 3 Vehicle 4 Vehicle 1 Vehicle 5 Vehicle 6 year (do not include commuting miles) 31 Total commuting miles driven during the year 32 Total other personal (noncommuting) miles driven 33 Total miles driven during the year Add lines 30 through 32 34 Was the vehicle available for personal use Yes No Yes No Yes No Yes No Yes No Yes No during off-duty hours? 35 Was the vehicle used primarily by a more than 5% owner or related person? **36** Is another vehicle available for personal use? Section C—Questions for Employers Who Provide Vehicles for Use by Their Employees Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons (see instructions) 37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your Yes No **38** Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners . . . 39 Do you treat all use of vehicles by employees as personal use? 40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received? _ 41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions) . . . Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles Part VI **Amortization** (b) (e) (d) (f) (c)

(a) Date A mortization A mortizable Code A mortization for Description of costs amortization period or amount section this year beains percentage 42 A mortization of costs that begins during your 2008 tax year (see instructions) 43 A mortization of costs that began before your 2008 tax year 43 44 Total. Add amounts in column (f) See the instructions for where to report 44

Software ID: Software Version:

EIN: 44-0545878

Name: Midwest Research Institute

Form 990, Part VII - Section Aaa

Form 990, Part VII - Section Aaa	4									
		(C) Position (check all that apply)							7-3	(F)
(A) Name and Title	(B) Average hours per week	Individual Trustee or Director	Institutional Trustee	Officei	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	Estimated amount of other compensation from the organization and related organizations
Charles W Battey , Director	1 0	Х						0	0	0
Barbara F Atkinson , Director	1 0	Х						0	0	0
Lawrence D Burns , Director	1 0	Х						0	0	0
David M Clarke , Director	1 0	Х						0	0	0
Richard C Green Jr , Chairman	1 0	Х						0	0	0
William A Hall , Director	1 0	Х						0	0	0
Thomas M Hoenig , Director	1 0	Х						0	0	0
A Drue Jennings , Director	1 0	Х						0	0	0
Jonathan Kemper , Director	1 0	Х						0	0	0
Robert A Kipp , Director	1 0	Х						0	0	0
Robert A Long , Director	1 0	Х						0	0	0
Thomas A McDonnell , Director	1 0	Х						0	0	0
Michael F Morrissey , Director	1 0	Х						0	0	0
William B Neaves , Vice-Chairman	1 0	Х						0	0	0
Louis W Smith , Director	1 0	Х						0	0	0
Greg M Graves , Director	1 0	Х						0	0	0
Jefferson W Tester , Director	1 0	Х						0	0	0
Jeanie S Latz , General Counsel & Corp Sec	40 0			х				215,753	0	18,955
Dan Arvızu , SR VP & Dırector, NREL	40 0			Х				612,974	0	39,185
Fred V Cornwell , VP & CFO	40 0			Х				227,718	0	23,716
William P Glover , VP, Lab Director, COO, NREL	40 0			х				296,558	0	18,907
Michael F Helmstetter , Sr VP & Director of Ops	40 0			х				359,004	0	30,338
Robert San Martin , VP & Director	40 0			Х				274,824	0	8,990
James L Spigarelli , President and CEO	40 0			Х				860,868	0	21,272
Michael Ehret , Regional VP & Director	40 0				Х			209,951	0	17,169
Roger Harris , Division Director	40 0				Х			177,917	0	14,611
Giuliano Radolovich , Division Director	40 0				Х			179,543	0	20,140
Thomas M Sack , Regional VP & Director	40 0				х			221,221	0	21,151
Dale A Gardner , VP & Assoc Director, NREL	40 0					х		318,026	0	,
Fraser P Ward , V P & Director	40 0				<u> </u>	X		278,063	0	8,990

Form 990, Part VII - Section Aaa

Torin 550/ Fart VII Section Au	-										
	(C) Position (check all that apply)								(E)	(F)	
(A) Name and Title	(B) Average hours per week	Individual Trustee or Director	Institutional Trustee	Officei	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099MISC)	Reportable compensation from related organizations (W- 2/1099-MISC)	Estimated amount of other compensation from the organization and related organizations	
Joseph S Broz , V P	40 0					Х		262,585	0	21,272	
Johnny D Callahan , Principal Scientist	40 0					Х		262,549	0	20,733	
Jerry L Bellows , Strategic Initiatives Manager	40 0					х		225,922	0	3,850	