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Form 990  Department of the Treasury Internal Revenue Service	Return of Organization Exempt From Income Tax Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)	OMB No 1545-0047 2008
	The organization may have to use a copy of this return to satisfy state reporting requirements	Open to Public Inspection

A For the 2008 calendar year, or tax year beginning 07-01-2008 and ending 06-30-2009		B Check if applicable ☐ Address change ☐ Name change ☐ Initial return ☐ Termination ☐ Amended return ☐ Application pending		C Name of organization OUNCE OF PREVENTION FUND Doing Business As Number and street (or P O box if mail is not delivered to street address) Room/suite 33 W Monroe Suite 2400 City or town, state or country, and ZIP + 4 Chicago, IL 60603		D Employer identification number 36-3186328 E Telephone number (312) 922-3863 G Gross receipts \$ 55,747,639	
F Name and address of Principal Officer HARRIET HORWITZ MEYER 33 W MONROE CHICAGO, IL 60603		H(a) Is this a group return for affiliates? ☐ Yes ☒ No		H(b) Are all affiliates included? ☐ Yes ☐ No (If "No," attach a list See instructions)		H(c) Group Exemption Number	
I Tax-exempt status ☒ 501(c) (3) (insert no) ☐ 4947(a)(1) or ☐ 527		J Web site: www.ounceofpreventionfund.org		K Type of organization ☒ Corporation ☐ trust ☐ association ☐ other		L Year of Formation 1982 M State of legal domicile IL	

Part I Summary

Activities & Governance	1	Briefly describe the organization's mission or most significant activities THE OUNCE OF PREVENTION FUND IS DEDICATED TO ENSURING THAT, BEGINNING AT BIRTH, CHILDREN IN LOW-INCOME FAMILIES CAN OVERCOME THE CHALLENGES OF POVERTY AND enter kindergarden FULLY PREPARED TO ACHIEVE		
	2	Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its assets		
	3	Number of voting members of the governing body (Part VI, line 1a)	3	38
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	38
	5	Total number of employees (Part V, line 2a)	5	247
	6	Total number of volunteers (estimate if necessary)	6	200
	7a	Total gross unrelated business revenue from Part VIII, line 12, column (C)	7a	-15,147
	b	Net unrelated business taxable income from Form 990-T, line 34	7b	-15,147
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year 40,179,892	Current Year 50,117,837
	9	Program service revenue (Part VIII, line 2g)	0	0
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	1,378,363	-538,188
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	0	0
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	41,558,255	49,579,649
	Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	13,493,234
14		Benefits paid to or for members (Part IX, column (A), line 4)	0	0
15		Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	11,076,007	13,855,272
16a		Professional fundraising fees (Part IX, column (A), line 11e)	56,000	53,906
b		(Total fundraising expenses, Part IX, column (D), line 25 <u>904,337</u>)		
17		Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f)	8,925,270	10,761,412
18		Total expenses—add lines 13–17 (must equal Part IX, line 25, column (A))	33,550,511	38,359,542
19		Revenue less expenses Subtract line 18 from line 12	8,007,744	11,220,107
Net Assets or Fund Balances				Beginning of Year
	20	Total assets (Part X, line 16)	36,929,066	49,520,802
	21	Total liabilities (Part X, line 26)	3,136,492	6,243,690
	22	Net assets or fund balances Subtract line 21 from line 20	33,792,574	43,277,112

Part II Signature Block

Please Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.				
	*****		2010-04-01		
	Signature of officer		Date		
	MARK BECKER CFO Type or print name and title				
Paid Preparer's Use Only	Preparer's signature		Date	Check if self-employed ☒	Preparer's PTIN (See Gen Inst)
	Firm's name (or yours if self-employed), address, and ZIP + 4 GRANT THORNTON LLP 175 W JACKSON BLVD STE 2000 CHICAGO, IL 60604			EIN	
				Phone no (312) 856-0200	

May the IRS discuss this return with the preparer shown above? (See instructions) ☒ Yes ☐ No

Part III

Statement of Program Service Accomplishments (See the instructions.)

1

Briefly describe the organization’s mission

See Additional Data Table

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

☐ Yes

☒ No

If “Yes,” describe these new services on Schedule O

3

Did the organization cease conducting or make significant changes in how it conducts any program services?

☐ Yes

☒ No

If “Yes,” describe these changes on Schedule O

4

Describe the exempt purpose achievements for each of the organization’s three largest program services by expenses

Section 501(c)(3) and (4) organizations and 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code) (Expenses \$ 12,600,248 including grants of \$ 7,939,938) (Revenue \$ 0)

THE ILLINOIS BIRTH TO THREE INSTITUTE (IBTI) OF THE OUNCE OF PREVENTION FUND PROVIDES TRAINING FOR APPROXIMATELY 700 DIRECT SERVICE AND MANAGEMENT STAFF IN EARLY CHILDHOOD DEVELOPMENT AND RELATED TOPICS DURING THE PAST YEAR, 31 SERVICE SITES WERE FUNDED THROUGHOUT THE STATE OF ILLINOIS

4b

(Code) (Expenses \$ 12,773,541 including grants of \$ 5,595,554) (Revenue \$ 0)

THE OUNCE OF PREVENTION FUND PROVIDES COMPREHENSIVE SERVICES FOR CHILDREN AGES 3 TO 5 IN HEAD START PROGRAMS, AND FOR INFANTS, TODDLERS AND THEIR FAMILIES, MANY OF THEM IN HIGH RISK COMMUNITIES IN CHICAGO AND IN SURROUNDING SUBURBS THERE ARE SIX SUBCONTRACTING AGENCIES AND TWO PROGRAMS DIRECTLY OPERATED BY THE OUNCE OF PREVENTION FUND AT THE EDUCARE CENTER IN CHICAGO

4c

(Code) (Expenses \$ 4,497,764 including grants of \$ 153,460) (Revenue \$ 0)

THE NATIONAL GROUP AND THE BOUNCE LEARNING NETWORK (BLN) SHARE THE OUNCE'S KNOWLEDGE, EXPERTISE, AND APPROACH THROUGH CONSULTATION ON PROGRAM, PUBLIC POLICY AND SYSTEMS WORK, RESEARCH AND EVALUATION, ORGANIZATIONAL CAPACITY BUILDING, AND PHILANTHROPIC ENGAGEMENT STRATEGIES OUTSIDE OF ILLINOIS BLN IS A CONSORTIUM OF EDUCARE CENTERS THAT PROVIDES AND PROMOTES HIGH-QUALITY, OUTCOME BASED LEARNING ENVIRONMENTS FOR CHILDREN, BIRTH TO FIVE, AND THEIR FAMILIES

4d

Other program services (Describe in Schedule O)

(Expenses \$ 6,579,425 including grants of \$ 0) (Revenue \$ 0)

4e

Total program service expenses \$ 36,450,978

Must equal Part IX, Line 25, column (B).

Part IV

Checklist of Required Schedules

		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1	Yes
2	Is the organization required to complete Schedule B, Schedule of Contributors? 	2	Yes
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I 	3	No
4	Section 501(c)(3) organizations Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II 	4	Yes
5	Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? If "Yes," complete Schedule C, Part III 	5	No
6	Did the organization maintain any donor advised funds or any accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6	No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," complete Schedule D, Part II 	7	No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8	No
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9	No
10	Did the organization hold assets in term, permanent, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10	Yes
11	Did the organization report an amount in Part X, lines 10, 12, 13, 15, or 25? If "Yes," complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable 	11	Yes
12	Did the organization receive an audited financial statement for the year for which it is completing this return that was prepared in accordance with GAAP? If "Yes," complete Schedule D, Parts XI, XII, and XIII 	12	Yes
13	Is the organization a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	No
14a	Did the organization maintain an office, employees, or agents outside of the U S ?	14a	No
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the U S ? If "Yes," complete Schedule F, Part I	14b	No
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Part II	15	No
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Part III	16	No
17	Did the organization report more than \$15,000 on Part IX, column (A), line 11e? If "Yes," complete Schedule G, Part I 	17	Yes
18	Did the organization report more than \$15,000 total on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II 	18	No
19	Did the organization report more than \$15,000 on Part VIII, line 9a? If "Yes," complete Schedule G, Part III 	19	No
20	Did the organization operate one or more hospitals? If "Yes," complete Schedule H	20	No
21	Did the organization report more than \$5,000 on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II 	21	Yes
22	Did the organization report more than \$5,000 on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III 	22	No
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5? If "Yes," complete Schedule J 	23	Yes
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer questions 24b–24d and complete Schedule K. If "No," go to question 25	24a	No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b	
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c	
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d	
25a	Section 501(c)(3) and 501(c)(4) organizations Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a	No
b	Did the organization become aware that it had engaged in an excess benefit transaction with a disqualified person from a prior year? If "Yes," complete Schedule L, Part I	25b	No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II	26	No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, or substantial contributor, or to a person related to such an individual? If "Yes," complete Schedule L, Part III	27	No

Part IV **Checklist of Required Schedules** *(Continued)*

		Yes	No
28	During the tax year, did any person who is a current or former officer, director, trustee, or key employee		
a	Have a direct business relationship with the organization (other than as an officer, director, trustee, or employee), or an indirect business relationship through ownership of more than 35% in another entity (individually or collectively with other person(s) listed in Part VII, Section A)? <i>If "Yes," complete Schedule L, Part IV</i>	28a	No
b	Have a family member who had a direct or indirect business relationship with the organization? <i>If "Yes," complete Schedule L, Part IV</i>	28b	No
c	Serve as an officer, director, trustee, key employee, partner, or member of an entity (or a shareholder of a professional corporation) doing business with the organization? <i>If "Yes," complete Schedule L, Part IV</i>	28c	No
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29	No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30	No
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31	No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32	No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations section 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33	No
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>	34	No
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35	No
36	501(c)(3) organizations Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36	No
37	Did the organization conduct more than 5 percent of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37	No

Part V

Statements Regarding Other IRS Filings and Tax Compliance

			Yes	No
1a	Enter the number reported in Box 3 of Form 1096, <i>Annual Summary and Transmittal of U.S. Information Returns</i> . Enter -0- if not applicable	1a88		
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b0		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	Yes	
2a	Enter the number of employees reported on Form W-3, <i>Transmittal of Wage and Tax Statements</i> filed for the calendar year ending with or within the year covered by this return	2a247		
b	If at least one is reported in 2a, did the organization file all required federal employment tax returns? . . . Note: <i>If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return.</i>	2b		
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	3a	Yes	
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O	3b	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a		No
b	If "Yes," enter the name of the foreign country _____ See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts .			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . . .	5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		No
c	If "Yes," to 5a or 5b, did the organization file Form 8886-T, <i>Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction</i> ?	5c		
6a	Did the organization solicit any contributions that were not tax deductible?	6a		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b		
7	Organizations that may receive deductible contributions under section 170(c).			
a	Did the organization provide goods or services in exchange for any quid pro quo contribution of \$75 or more?	7a		No
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c		No
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d		
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . .	7f		No
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?	7h		
8	Section 501(c)(3) and other sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8		No
9	Section 501(c)(3) and other sponsoring organizations maintaining donor advised funds.			
a	Did the organization make any taxable distributions under section 4966?	9a		No
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b		No
10	Section 501(c)(7) organizations. Enter			
a	Initiation fees and capital contributions included on Part VIII, line 12	10a		
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b		
11	Section 501(c)(12) organizations. Enter			
a	Gross income from members or shareholders	11a		
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b		
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b		

Part VI

Governance, Management, and Disclosure (Sections A, B, and C request information about policies not required by the Internal Revenue Code.)

Section A. Governing Body and Management

For each "Yes" response to lines 2-7 below, and for a "No" response to lines 8 or 9b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

1a

Enter the number of voting members of the governing body . . .

1a

38

1b

Enter the number of voting members that are independent . . .

1b

38

2

Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?

2

No

3

Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? . . .

3

No

4

Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed? . . .

4

No

5

Did the organization become aware during the year of a material diversion of the organization's assets? . . .

5

No

6

Does the organization have members or stockholders?

6

No

7a

Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?

7a

No

7b

Are any decisions of the governing body subject to approval by members, stockholders, or other persons? . . .

7b

No

8

Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following

8a

the governing body?

8a

Yes

8b

each committee with authority to act on behalf of the governing body?

8b

Yes

9a

Does the organization have local chapters, branches, or affiliates?

9a

No

9b

If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?

9b

10

Was a copy of the Form 990 provided to the organization's governing body before it was filed? All organizations must describe in Schedule O the process, if any, the organization uses to review the Form 990

10

Yes

11

Is there any officer, director or trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O

11

No

Section B. Policies

12a

Does the organization have a written conflict of interest policy? If "No", go to line 13 . . .

12a

Yes

12b

Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?

12b

Yes

12c

Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done

12c

Yes

13

Does the organization have a written whistleblower policy?

13

Yes

14

Does the organization have a written document retention and destruction policy?

14

Yes

15

Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision

15a

The organization's CEO, Executive Director, or top management official?

15a

Yes

15b

Other officers or key employees of the organization?

15b

Yes

Describe the process in Schedule O

16a

Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?

16a

No

16b

If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable Federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?

16b

Section C. Disclosure

17

List the States with which a copy of this Form 990 is required to be filed IL

18

Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.
☒ own website ☒ another's website ☒ upon request

19

Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public. See Additional Data Table.

20

State the name, physical address, and telephone number of the person who possesses the books and records of the organization.
MARK BECKER
33 W MONROE
Chicago, IL 60603
(312) 922-3863

Section A Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

☐ Check this box if the organization did not compensate any officer, director, trustee or key employee

Form 990 (2008)

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)							(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual Trustee or Director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former				
1b Total									1,257,251	0	153,168

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3	No
4	For any individual listed online 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4	Yes
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5	No

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization

(A)	(B)	(C)
Name and business address	Description of services	Compensation
Lipman Hearn Inc 200 S Michigan Ave Suite 1600 CHICAGO, IL 60604	MARKETING	393,350
Linchpin - Metro Center 700 12th St NW Suite 700 WASHINGTON, DC 20005	STRATEGY&ADVOCACY	293,725
Nelson Mullins Riley 101 Constitution Ave NW Suite 900 WASHINGTON, DC 20001	STRATEGY&ADVOCACY	233,609
Von Weise and Associates 311 Superior Suite 313 CHICAGO, IL 60610	ARCHITECT/RENOVATION	208,185
Axiom Consulting Partners 161 N Clark 4700 CHICAGO, IL 60601	Strategic Planning	171,391

Part VIII

Statement of Revenue

				(A) Total Revenue	(B) Related or Exempt Function Revenue	(C) Unrelated Business Revenue	(D) Revenue Excluded from Tax under IRC 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns . . .	1a				
	b	Membership dues	1b				
	c	Fundraising events	1c				
	d	Related organizations	1d				
	e	Government grants (contributions)	1e	23,700,156			
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	26,417,681			
	g	Noncash contributions included in lines 1a-1f \$					
	h	Total (Add lines 1a-1f)		50,117,837			
Program Service Revenue	2a		Business Code				
	b						
	c						
	d						
	e						
	f	All other program service revenue					
	g	Total. Add lines 2a-2f		\$ 0			
	Other Revenue	3	Investment income (including dividends, interest other similar amounts)		606,589		-16,872
4		Income from investment of tax-exempt bond proceeds . .		0			
5		Royalties		0			
6a		Gross Rents	(i) Real (ii) Personal				
b		Less rental expenses					
c		Rental income or (loss)					
d		Net rental income or (loss)					
7a		Gross amount from sales of assets other than inventory	(i) Securities (ii) Other	5,023,213			
b		Less cost or other basis and sales expenses		6,167,990			
c		Gain or (loss)		-1,144,777			
d		Net gain or (loss)		-1,144,777		1,725	-1,146,502
8a		Gross income from fundraising events (not including \$ of contributions reported on line 1c) See Part IV, line 18 Attach Schedule G if total exceeds \$15,000	a				
b		Less direct expenses	b				
c		Net income or (loss) from fundraising events . .		0			
9a		Gross income from gaming activities See part IV, line 19 Complete Schedule G if total exceeds \$15,000	a				
b		Less direct expenses	b				
c	Net income or (loss) from gaming activities . .		0				
10a	Gross sales of inventory, less returns and allowances	a					
b	Less cost of goods sold	b					
c	Net income or (loss) from sales of inventory . .		0				
	Miscellaneous Revenue		Business Code				
11a							
b							
c							
d	All other revenue						
e	Total. Add lines 11a-11d		\$ 0				
12	Total Revenue. Add lines 1h, 2g, 3, 4, 5, 6d, 7d, 8c, 9c, 10c, and 11e			49,579,649		-15,147	-523,041

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).					
Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the U S See Part IV, line 21	13,688,952	13,688,952		
2	Grants and other assistance to individuals in the U S See Part IV, line 22	0			
3	Grants and other assistance to governments, organizations and individuals outside the U S See Part IV, lines 15 and 16	0			
4	Benefits paid to or for members	0			
5	Compensation of current officers, directors, trustees, and key employees	836,217	663,229	172,988	
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0			
7	Other salaries and wages	10,647,783	8,348,870		381,832
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	240,629	199,936	34,305	6,388
9	Other employee benefits	1,340,117	1,028,201	262,948	48,968
10	Payroll taxes	790,526	656,838	112,700	20,988
11	Fees for services (non-employees)				
a	Management	121,999	121,999		
b	Legal	71,530	29,869	41,661	0
c	Accounting	85,800		85,800	
d	Lobbying	63,249	63,249		
e	Professional fundraising See Part IV, line 17	53,906			53,906
f	Investment management fees	0			
g	Other	4,194,765	3,901,068	206,879	86,818
12	Advertising and promotion	826,429	826,429		
13	Office expenses	950,346	1,024,608	-173,451	99,189
14	Information technology	30,289	501,462	-482,848	11,675
15	Royalties	0			
16	Occupancy	1,348,457	287,749	1,028,720	31,988
17	Travel	516,990	505,239	10,115	1,636
18	Payments of travel or entertainment expenses for any Federal, state or local public officials	0			
19	Conferences, conventions and meetings	614,204	487,237	40,535	86,432
20	Interest	0			
21	Payments to affiliates	0			
22	Depreciation, depletion, and amortization	437,062	437,062		
23	Insurance	76,129	29,799	46,330	
24	Other expenses—Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below)				
a	T&TA	768,988	768,988		
b	EMPLOYEE RECOGNITON & RECRUITM	125,293	65,815	58,675	803
c	MISCELLANEOUS	529,882	404,881	110,158	14,843
d	CENTRAL ADMINISTRATIVE SERVICE		75	-77	2
e	HUMAN RESOURCES		507,734	-520,139	12,405
f	All other expenses				
25	Total functional expenses. Add lines 1 through 24f	38,359,542	36,450,978	1,004,227	904,337
26	Joint Costs. Check ☐ if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X **Balance Sheet**

		(A)		(B)
		Beginning of year		End of year
Assets	1 Cash—non-interest-bearing	3,156	1	3,855
	2 Savings and temporary cash investments	5,206,914	2	9,689,187
	3 Pledges and grants receivable, net	11,494,008	3	18,059,453
	4 Accounts receivable, net	18,975	4	7,892,891
	5 Receivables from current and former officers, directors, trustees, key employees or other related parties <i>Complete Part II of Schedule L</i>		5	
	6 Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) <i>Complete Part II of Schedule L</i>		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	107,144	9	212,229
	10a Land, buildings, and equipment cost basis			
		10a 9,367,250		
	b Less accumulated depreciation <i>Complete Part VI of Schedule D</i>			
		10b 4,699,737	4,683,815	10c 4,667,513
	11 Investments—publicly traded securities	9,841,682	11	7,215,501
	12 Investments—other securities See Part IV, line 11 <i>Complete Part VII of Schedule D</i>	5,544,812	12	1,760,000
	13 Investments—program-related See Part IV, line 11 <i>Complete Part VIII of Schedule D</i>		13	
14 Intangible assets		14		
15 Other assets See Part IV, line 11 <i>Complete Part IX of Schedule D</i>	28,560	15	20,173	
16 Total assets. Add lines 1 through 15 (must equal line 34)	36,929,066	16	49,520,802	
Liabilities	17 Accounts payable and accrued expenses	1,826,295	17	3,091,108
	18 Grants payable	1,113,401	18	2,653,942
	19 Deferred revenue	1,750	19	1,750
	20 Tax-exempt bond liabilities		20	
	21 Escrow account liability <i>Complete Part IV of Schedule D</i>		21	
	22 Payable to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons <i>Complete Part II of Schedule L</i>		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable		24	
	25 Other liabilities <i>Complete Part X of Schedule D</i>	195,046	25	496,890
	26 Total liabilities. Add lines 17 through 25	3,136,492	26	6,243,690
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ☒ and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	15,949,839	27	12,070,569
	28 Temporarily restricted net assets	4,016,981	28	16,710,869
	29 Permanently restricted net assets	13,825,754	29	14,495,674
	Organizations that do not follow SFAS 117, check here ☐ and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	33,792,574	33	43,277,112
	34 Total liabilities and net assets/fund balances	36,929,066	34	49,520,802

Part XI **Financial Statements and Reporting**

		Yes	No
1	Accounting method used to prepare the Form 990 ☐ cash ☒ accrual ☐ other		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a	No
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes
c	If "Yes" to lines 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	Yes
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	Yes
b	If "Yes," did the organization undergo the required audit or audits?	3b	Yes

SCHEDULE A

(Form 990 or 990EZ)

Department of the Treasury

Internal Revenue Service

Public Charity Status and Public Support

To be completed by all section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts.

Attach to Form 990 or Form 990-EZ. See separate instructions.

OMB No 1545-0047

2008

Open to Public Inspection

Name of the organization OUNCE OF PREVENTION FUND	Employer identification number 36-3186328
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Part I	Reason for Public Charity Status (to be completed by all organizations) (See Instructions)												
The organization is not a private foundation because it is (Please check only one organization)													
1	☐ A church, convention of churches, or association of churches described in Section 170(b)(1)(A)(i) .												
2	☐ A school described in Section 170(b)(1)(A)(ii) . (Attach Schedule E)												
3	☐ A hospital or a cooperative hospital service organization described in Section 170(b)(1)(A)(iii) . (Attach Schedule H)												
4	☐ A medical research organization operated in conjunction with a hospital described in Section 170(b)(1)(A)(iii) . Enter the hospital's name, city, and state												
5	☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in Section 170(b)(1)(A)(iv) . (Complete Part II)												
6	☐ A federal, state, or local government or governmental unit described in Section 170(b)(1)(A)(v) .												
7	☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in Section 170(b)(1)(A)(vi) (Complete Part II)												
8	☐ A community trust described in Section 170(b)(1)(A)(vi) (Complete Part II)												
9	☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See Section 509(a)(2) . (Complete Part III)												
10	☐ An organization organized and operated exclusively to test for public safety See Section 509(a)(4) . (See instructions)												
11	☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See Section 509(a)(3) . Check the box that describes the type of supporting organization and complete lines 11e through 11h ☐ Type I ☐ Type II ☐ Type III - Functionally Integrated ☐ Type III - Other												
e	☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)												
f	If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box ☐												
g	Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons? (i) a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization? <table><tr><td></td><td>Yes</td><td>No</td></tr><tr><td>11g(i)</td><td></td><td>No</td></tr><tr><td>11g(ii)</td><td></td><td>No</td></tr><tr><td>11g(iii)</td><td></td><td>No</td></tr></table> (ii) a family member of a person described in (i) above? (iii) a 35% controlled entity of a person described in (i) or (ii) above?		Yes	No	11g(i)		No	11g(ii)		No	11g(iii)		No
	Yes	No											
11g(i)		No											
11g(ii)		No											
11g(iii)		No											
h	Provide the following information about the organizations the organization supports												

(i) Name of Supported Organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (See Instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of support?
			Yes	No	Yes	No	Yes	No	
Total									

Part II

Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Public Support						
Calendar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")	27,841,801	31,194,823	31,550,825	40,179,892	50,117,837	180,885,178
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add line 1-3	27,841,801	31,194,823	31,550,825	40,179,892	50,117,837	180,885,178
5 The portion of total contribution by each person (other than a government unit or publicly supported organization) included on line 1 that exceed 2% of the amount shown on line 11, column (f)						
6 Public Support subtract line 5 from line 4						180,885,178

Total Support						
Calendar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
7 Amounts from line 4	27,841,801	413,938	31,550,825	40,179,892	50,117,837	180,885,178
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	345,125	413,938	833,308	1,151,328	606,589	3,350,288
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
11 Total Support (Add lines 7 through 10)						184,235,466
12 Gross receipts from related activities, etc (See instructions)					12	
13 First Five Years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here						☐

Computation of Public Support Percentage		
14 Public Support Percentage for 2008 (line 6 column (f) divided by line 11 column (f))	14	98.181 %
15 Public Support Percentage for 2007 Schedule A, Part IV-A, line 26f	15	96.838 %
16a 33 1/3% Test - 2008. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	☒	
b 33 1/3% Test - 2007. If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	☐	
17a 10% Facts and Circumstances Test - 2008. If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization	☐	
b 10% Facts and Circumstances Test - 2007. If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization	☐	
18 Private Foundation. If the organization did not check the box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions	☐	

Part IIISupport Schedule for Organizations Described in IRC 509(a)(2)
(Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support						
Calendar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
1Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3Gross receipts from activities that are not an unrelated trade or business under section 513						
4Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5The value of services or facilities furnished by a governmental unit to the organization without charge						
6Total Add lines 1-5						
7aAmounts included on lines 1, 2, and 3 received from disqualified persons						
bAmounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of 1% of the total of lines 9, 10c, 11, and 12 for the year or \$5,000						
cTotal of lines 7a and 7b						
8Public Support (Subtract line 7c from line 6)						

Total Support						
Calendar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
9Amounts from line 6						
10aGross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
bUnrelated business taxable income (less section 511 taxes) from businesses acquired after 30 June, 1975						
cAdd lines 10a and 10b						
11Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13Total Support (Add lines 9, 10c, 11 and 12)						
14First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here						

Computation of Public Support Percentage		
15Public Support Percentage for 2008 (line 8 column (f) divided by line 13 column (f))	15	
16Public Support Percentage for 2007 Schedule A, Part IV -A, line 27g	16	

Computation of Investment Income Percentage		
17Investment Income Percentage for 2008 (line 10c column (f) divided by line 13 column (f))	17	
18Investment Income Percentage from 2007 Schedule A, Part IV -A, line 27h	18	
19a33 1/3% Tests - 2008. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization		
b33 1/3% Tests - 2007. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization		
20Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions		

Part IV

Supplemental Information. Complete this part to provide the information required by Part II, line 10; Part II, line 17a or 17b, or Part III, line 12. Provide and any other additional information. (see instructions)

Facts and Circumstances Test

SCHEDULE C

(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

To be completed by organizations described below. Attach to Form 990 or Form 990-EZ

OMB No 1545-0047

2008

Open to Public Inspection

If the organization answered “Yes,” to Form 990, Part IV, Line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities)

- Section 501(c)(3) organizations complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations complete Part I-A only

If the organization answered “Yes,” to Form 990, Part IV, Line 4, or Form 990EZ, Part VI, line 47 (Lobbying Activities)

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) complete Part II-A Do not complete Part II-B
 - Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A
- If the organization answered “Yes,” to Form 990, Part IV, Line 5 (Proxy Tax)
- Section 501(c)(4), (5), or (6) organizations complete Part III

Name of the organization OUNCE OF PREVENTION FUND	Employer identification number 36-3186328
--	--

Part I-A To be completed by all organizations exempt under section 501(c) and section 527 organizations. (See the instructions for Schedule C for details.)

- 1

Provide a description of the organization's direct and indirect political campaign activities in Part IV
- 2

Political expenditures

\$
- 3

Volunteer hours

Part I-B To be completed by all organizations exempt under section 501(c)(3). (See the instructions for Schedule C for details.)

- 1

Enter the amount of any excise tax incurred by the organization under section 4955

\$
- 2

Enter the amount of any excise tax incurred by organization managers under section 4955

\$
- 3

If the organization incurred in a section 4955 tax, did it file Form 4720 for this year?

☐ Yes

☐ No
- 4a

Was a correction made?

☐ Yes

☐ No
- b

If "Yes," describe in Part IV

Part I-C To be completed by all organizations exempt under section 501(c), except section 501(c)(3). (See the instructions for Schedule C for details.)

- 1

Enter the amount directly expended by the filing organization for section 527 exempt function activities

\$
- 2

Enter the amount of the filing organization's internal funds contributed to other organizations for section 527 exempt funtion activities

\$
- 3

Total of direct and indirect exempt function expenditures Add lines 1 and 2 and enter here and on Form 1120-POL, line 17b

\$
- 4

Did the filing organization file **Form 1120-POL** for this year?

☐ Yes

☐ No
- 5

State the names, addresses and Employer Identification Number (EIN) of all section 527 political organizations to which payments were made Enter the amount paid and indicate if the amount was paid from the filing organization's own internal funds or were political contributions received and promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's internal funds. If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-

Part II-A

To be completed by organizations exempt under section 501(c)(3) that filed Form 5768 (election under section 501(h)). (See the instructions for Schedule C for details.)

A

Check

☐

if the filing organization belongs to an affiliated group

B

Check

☐

if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures— (The term "expenditures" means amounts paid or incurred.)		(a) Filing Organization's Totals	(b) Affiliated Group Totals
1a	Total lobbying expenditures to influence public opinion (grass roots lobbying)	49,769	0
b	Total lobbying expenditures to influence a legislative body (direct lobbying)	163,472	0
c	Total lobbying expenditures (add lines 1a and 1b)	213,241	0
d	Other exempt purpose expenditures	38,146,301	0
e	Total exempt purpose expenditures (add lines 1c and 1d)	38,359,542	0
f	Lobbying nontaxable amount Enter the amount from the following table in both columns— If the amount on line 1e, column (a) or (b) is: Not over \$500,000 Over \$500,000 but not over \$1,000,000 Over \$1,000,000 but not over \$1,500,000 Over \$1,500,000 but not over \$17,000,000 Over \$17,000,000 The lobbying nontaxable amount is: 20% of the amount on line 1e \$100,000 plus 15% of the excess over \$500,000 \$175,000 plus 10% of the excess over \$1,000,000 \$225,000 plus 5% of the excess over \$1,500,000 \$1,000,000	1,000,000	0
g	Grassroots nontaxable amount (enter 25% of line 1f)	250,000	0
h	Subtract line 1g from line 1a Enter -0- if line g is more than line a		0
i	Subtract line 1f from line 1c Enter -0- if line f is more than line c		0
j	If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		☐ Yes ☒ No

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 1a through 1f of the instructions.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) Total
2a Lobbying non-taxable amount			1,000,000	1,000,000	2,000,000
b Lobbying ceiling amount (150% of line 2a, column(e))					3,000,000
c Total lobbying expenditures			143,393	213,241	356,634
d Grassroots non-taxable amount			250,000	250,000	500,000
e Grassroots ceiling amount (150% of line d, column (e))					750,000
f Grassroots lobbying expenditures			29,361	49,769	79,130

Part II-B To be completed by organizations exempt under section 501(c)(3) that have NOT filed Form 5768 (election under section 501(h)). (See the instructions for Schedule C for details.)

		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines c through i)?			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means?			
i	Other activities If "Yes," describe in Part IV			
j	Total lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes" enter the amount of any tax incurred under section 4912			
c	If "Yes" enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A To be completed by all organizations exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6). (See the instructions for Schedule C for details.)

		Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1	
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3	

Part III-B To be completed by all organizations exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, questions 1 and 2 are answered "No" OR if Part III-A, question 3 is answered "Yes." (See the instructions for Schedule C for details.)

1	Dues, assessments and similar amounts from members	1 \$
2	Section 162(e) non-deductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).	
a	Current Year	2a \$
b	Carryover from last year	2b \$
c	Total	2c \$
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3 \$
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4 \$
5	Taxable amount of lobbying and political expenditures (line 2c total minus 3 and 4)	5 \$

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i. Also, complete this part for any additional information.

Identifier	Return Reference	Explanation

Supplemental Information

Identifier	Return Reference	Explanation
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SCHEDULE D
(Form 990)

Supplemental Financial Statements

Department of the Treasury
Internal Revenue Service

OMB No 1545-0047

2008

Open to Public Inspection

Name of the organization
OUNCE OF PREVENTION FUND

Employer identification number
36-3186328

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	
2	Aggregate Contributions to (during year)	
3	Aggregate Grants from (during year)	
4	Aggregate value at end of year	
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes☐ No	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor or other impermissible private benefit? ☐ Yes☐ No	

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or pleasure)

☐ Preservation of an historically importantly land area

☐ Protection of natural habitat

☐ Preservation of certified historic structure

☐ Preservation of open space

2

Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a	Total number of conservation easements
b	Total acreage restricted by conservation easements
c	Number of conservation easements on a certified historic structure included in (a)
d	Number of conservation easements included in (c) acquired after 8/17/06

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year

4

Number of states where property subject to conservation easement is located

5

Does the organization have a written policy regarding the periodic monitoring, inspection, violations, and enforcement of the conservation easements it holds?

☐ Yes☐ No

6

Staff or volunteer hours devoted to monitoring, inspecting and enforcing easements during the year

7

Amount of expenses incurred in monitoring, inspecting, and enforcing easements during the year \$

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)?

☐ Yes☐ No

9

In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization’s financial statements that describes the organization’s accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1

\$

(ii) Assets included in Form 990, Part X

\$

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a

Revenues included in Form 990, Part VIII, line 1

\$

b

Assets included in Form 990, Part X

\$

For Paperwork Reduction Act Notice, see the Intructions for Form 990

Cat No 52283D

Schedule D (Form 990) 2008

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☐ Public exhibition

d

☐ Loan or exchange programs

b

☐ Scholarly research

e

☐ Other

c

☐ Preservation for future generations

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☐ No

Part IV

Trust, Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain why in Part XIV and complete the following table

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

	Amount
1c	
1d	
1e	
1f	

2a

Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIV

Part V

Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current Year	(b)Prior Year	(c)Two Years Back	(d)Three Years Back	(e)Four Years Back
1a Beginning of year balance	14,682,392				
b Contributions	1,456,721				
c Investment earnings or losses	-2,452,310				
d Grants or scholarships					
e Other expenditures for facilities and programs	552,467				
f Administrative expenses					
g End of year balance	13,134,336				

2

Provide the estimated percentage of the year end balance held as

a

Board designated or quasi-endowment ▶ 31 74 %

b

Permanent endowment ▶ 68 26 %

c

Term endowment ▶

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i) unrelated organizations

(ii) related organizations

3a(i)

No

3a(ii)

No

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

3b

4

Describe in Part XIV the intended uses of the organization's endowment funds

Part VI

Investments—Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (Investment)	(b)Cost or other basis (other)	(c) Depreciation	(d) Book value
1a Land				
b Buildings		4,403,187	1,008,167	3,395,020
c Leasehold improvements		636,329	314,549	321,780
d Equipment		4,327,734	3,377,021	950,713
e Other				
Total. Add lines 1a-1e (Column (d) should equal Form 990, Part X, column (B), line 10(c).) ▶				4,667,513

Schedule D (Form 990) 2008

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Financial derivatives and other financial products		
Closely-held equity interests		
Other		
Total. (Column (b) should equal Form 990, Part X, col (B) line 12)		

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. (Column (b) should equal Form 990, Part X, col (B) line 13)		

(a) Description	(b) Book value
ADVANCES - EMPLOYEE/VENDOR	19,486
DEPOSITS	687
Total. (Column (b) should equal Form 990, Part X, col.(B) line 15.)	

(a) Description of Liability	(b) Amount
Federal Income Taxes	
SERP PLAN	214,117
DEFERRED BUILDING RENT	282,773
Total. (Column (b) should equal Form 990, Part X, col (B) line 25)	496,890

Schedule D (Form 990) 2008

Part XI

Reconciliation of Change in Net Assets from Form 990 to Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	49,579,649
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	38,359,542
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	11,220,107
4	Net unrealized gains (losses) on investments	4	-1,735,569
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	
9	Total adjustments (net) Add lines 4 - 8	9	-1,735,569
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	9,484,538

Part XII

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	47,844,080
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains on investments	2a	-1,735,569
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV)	2d	
e	Add lines 2a through 2d	2e	-1,735,569
3	Subtract line 2e from line 1	3	49,579,649
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	
c	Add lines 4a and 4b	4c	
5	Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12)	5	49,579,649

Part XIII

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	38,359,542
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Losses reported on Form 990, Part IX, line 25	2c	
d	Other (Describe in Part XIV)	2d	
e	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	38,359,542
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	
c	Add lines 4a and 4b	4c	
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18)	5	38,359,542

Part XIV

Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part XIV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b

Identifier	Return Reference	Explanation
SCHEDULE D, PART V, LINE 4		The primary purpose of the endowment is to provide general operating funding to our operations

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding
Fundraising or Gaming Activities

▶ **Attach to Form 990 or Form 990-EZ. Must be completed by organizations that answer "Yes" to Form 990, Part IV, lines 17, 18, or 19, and by organizations that enter more than \$15,000 on Form 990-EZ, line 6a.**

OMB No 1545-0047

2008

Open to Public Inspection

Name of the organization
OUNCE OF PREVENTION FUND

Employer identification number
36-3186328

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17.

1

Indicate whether the organization raised funds through any of the following activities. Check all that apply.

a

☒

Mail solicitations

b

☐

Email solicitations

c

☐

Phone solicitations

d

☒

In-person solicitations

e

☒

Solicitation of non-government grants

f

☒

Solicitation of government grants

g

☒

Special fundraising events

2a

Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising activities?

☒ Yes ☐ No

b

If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization. Form 990-EZ filers are not required to complete this table.

(i) Name of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
Katherine RavenelASSOCIATES	CONSULTING SERVICES		No	0	48,000	0
Total ▶						

3

List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing.

IL

Part II

Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other Events	(d) Total Events (Add col (a) through col (c))
		(event type)	(event type)	(total number)	
Revenue	1	Gross receipts			
	2	Less Charitable contributions			
	3	Gross revenue (line 1 minus line 2)			
Direct Expenses	4	Cash Prizes			
	5	Non-cash Prizes			
	6	Rent/Facility costs			
	7	Other direct expenses			
	8	Direct expense summary Add lines 4 through 7 in column (d) ▶			
	9	Net income summary Combine lines 3 and 8 in column (d). ▶			

Part III

Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (Add col (a) through col (c))
Revenue	1	Gross revenue			
	2	Cash prizes			
	3	Non-cash prizes			
	4	Rent/facility costs			
	5	Other direct expenses			
Direct Expenses	6	Volunteer labor ☐ Yes _____ % ☐ No	☐ Yes _____ % ☐ No	☐ Yes _____ % ☐ No	
	7	Direct expense summary Add lines 2 through 5 in column (d) ▶			
	8	Net gaming income summary Combine lines 1 and 7 in column (d) ▶			

		Yes	No
9	Enter the state(s) in which the organization operates gaming activities _____		
a	Is the organization licensed to operate gaming activities in each of these states?	9a	
b	If "No," Explain _____ _____		
10a	Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?	10a	
b	If "Yes," Explain _____ _____		
11	Does the organization operate gaming activities with nonmembers?	11	
12	Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?	12	

		Yes	No
13	Indicate the percentage of gaming activity operated in		
a	The organization's facility 13a		
b	An outside facility 13b		
14	Provide the name and address of the person who prepares the organization's gaming/special events books and records		
Name ►			
Address ►			
15a	Does the organization have a contract with a third party from whom the organization receives gaming revenue?	15a	
b	If "Yes," enter the amount of gaming revenue received by the organization ► \$ _____ and the amount of gaming revenue retained by the third party ► \$ _____		
c	If "Yes," enter name and address		
Name ►			
Address ►			
16	Gaming manager information		
Name ►			
Gaming manager compensation ► \$ _____			
Description of services provided ►			
☐ Director/officer ☐ Employee ☐ Independent contractor			
17	Mandatory distributions		
a	Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?	17a	
b	Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$ _____		

Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
OUNCE OF PREVENTION FUND

Grants and Other Assistance to Organizations,
Governments and Individuals in the U.S.

Complete if the organization answered "Yes," on Form 990, Part IV, lines 21 or 22. Attach to Form 990.

OMB No 1545-0047

2008

Open to Public
Inspection

Employer identification number
36-3186328

Part I

General Information on Grants and Assistance

- 1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No
- 2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 if additional space is needed ☐

1(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

- 2

Enter total number of section 501(c)(3) and government organizations
- 3

Enter total number of other organizations

Part III

Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
Use Schedule I-1 (Form 990) if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e) Method of valuation (book, FMV , appraisal, other)	(f)Description of non-cash assistance

Part IV

Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

Identifier	Return Reference	Explanation

Software ID:
Software Version:
EIN: 36-3186328
Name: OUNCE OF PREVENTION FUND

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Alivio Medical Center2355 S Western Ave Chicago, IL 60608	36-3661051	501(C)(3)	219,127	0	N/A	N/A	Comm Based Family Services
Aunt Martha's YSP233 W Joe Orr Rd Chicago Hts, IL 60411	23-7188150	501(C)(3)	399,481	0	N/A	N/A	Comm Based Family Services
Bond County Health Department503 South Prarie Greenville, IL 62246	37-6000405	COUNTY GOV	50,000	0	N/A	N/A	Comm Based Family Services
CHESIRual Route 1 - PO Box 11 Cario, IL 62914	37-1100482	501(C)(3)	162,546	0	N/A	N/A	Comm Based Family Services
Catholic Charities641 West Lake St Suite 306 Chicago, IL 60661	36-2170821	501(C)(3)	440,443	0	N/A	N/A	Comm Based Family Services
Center for Children's Services702 North Logan Avenue Danville, IL 61832	37-0716057	501(C)(3)	254,876	0	N/A	N/A	Comm Based Family Services
Champaign County MH Center1801 Fox Drive Champaign, IL 61820	37-0913985	501(C)(3)	267,020	0	N/A	N/A	Comm Based Family Services
Child Abuse Council525 West 16th St Moline, IL 61265	36-2937848	501(C)(3)	75,000	0	N/A	N/A	Comm Based Family Services
Children's Development Center650 North Main Street Rockford, IL 61103	36-2643791	501(C)(3)	526,715	0	N/A	N/A	Comm Based Family Services
Christopher House2507 N Greenview Chicago, IL 60614	23-7316001	501(C)(3)	372,711	0	N/A	N/A	Comm Based Family Services

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Comprehensive MH Ctr 3911 State Street East St Louis, IL 62205	37-0760015	501(C)(3)	317,100	0	N/A	N/A	Comm Based Family Services
Family Focus Inc 310 S Peoria St Ste 401 Chicago, IL 60607	36-2884042	501(C)(3)	850,916	0	N/A	N/A	Comm Based Family Services
Family Service Center of Sangamon 1308 South 7th Street Springfield, IL 62703	37-0681513	501(C)(3)	316,153	0	N/A	N/A	Comm Based Family Services
Fayette County Health Dept 509 West Edwards Street Vandalia, IL 62471	36-2179765	COUNTY GOV	91,571	0	N/A	N/A	Comm Based Family Services
Kankakee Community College 100 College Dr Campus 1 Kankakee, IL 60901	36-2612340	501(C)(3)	396,081	0	N/A	N/A	Comm Based Family Services
La Voz Latina 412 Market Street Rockford, IL 61107	36-2810675	501(C)(3)	341,925	0	N/A	N/A	Comm Based Family Services
Lydia Home 4300 W Irving Park Road Chicago, IL 60641	36-1412810	501(C)(3)	70,491	0	N/A	N/A	Comm Based Family Services
Marillac Social Center 212 South Francisco Chicago, IL 60612	36-2109717	501(C)(3)	285,188	0	N/A	N/A	Comm Based Family Services
New Moms 2825 West McLean Chicago, IL 60647	36-3265804	501(C)(3)	230,406	0	N/A	N/A	Comm Based Family Services
Pilsen-Little Village 2319 South Damen Avenue Chicago, IL 60608	36-2836998	501(C)(3)	369,214	0	N/A	N/A	Comm Based Family Services

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Scholarship and Guidance Assoc11 East Adams 15th Fl Chicago, IL 60603	36-2167916	501(C)(3)	240,355	0	N/A	N/A	Comm Based Family Services
The Children's Home 2130 N Knoxville Ave Peoria, IL 61603	37-0662601	501(C)(3)	468,058	0	N/A	N/A	Comm Based Family Services
United Methodist Children's Home2023 Richview Road Mt Vernon, IL 62864	37-0673515	501(C)(3)	415,194	0	N/A	N/A	Comm Based Family Services
Visiting Nurses Association1245 Corporate Boulevard Aurora, IL 60504	36-2182095	501(C)(3)	78,887	0	N/A	N/A	Comm Based Family Services
Will Cty Health Department501 Ella Avenue Joliet, IL 60433	36-6006672	501(C)(3)	64,000	0	N/A	N/A	Comm Based Family Services
YWCA360 N Wabash AveSte 800 Chicago, IL 60601	36-2179765	501(C)(3)	223,361	0	N/A	N/A	Comm Based Family Services
Life Link231 South York Road Bensenville, IL 60106	36-2166970	501(C)(3)	95,327	0	N/A	N/A	Comm Based Family Services
Stephenson County10 West Linden Street Freeport, IL 61032	36-6006654	501(C)(3)	84,247	0	N/A	N/A	Comm Based Family Services
Illinois Masonic2025 Windsor Drive Oak Brook, IL 60523	36-3196629	501(C)(3)	50,000	0	N/A	N/A	Comm Based Family Services
Teen Parent Connection 739 Roosevelt Rd 8-306 Glen Ellyn, IL 60137	36-3387034	501(C)(3)	95,256	0	N/A	N/A	Comm Based Family Services

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Children's Home Aid Children's Foundation403 S State Street Bloomington,IL 61701	36-2167743	501(C)(3)	88,289	0	N/A	N/A	Comm Based Family Services
Aunt Martha's233 W Joe Orr Rd - North Building Chicago Hts,IL 60411	23-7188150	501(C)(3)	1,338,158	0	N/A	N/A	Head Start Program
Casa Central1343 North California Chicago,IL 60622	36-2728618	501(C)(3)	1,942,533	0	N/A	N/A	Head Start Program
Children's Home Aid125 S Wacker Dr14th Fl Chicago,IL 60606	36-2167743	501(C)(3)	969,161	0	N/A	N/A	Head Start Program
KIDS Hope United215 N Milwaukee Ave Lake Villa,IL 60046	36-2181967	501(C)(3)	929,512	0	N/A	N/A	Head Start Program
The Children's Place 3059 W Augusta Blvd Chicago,IL 60622	36-3641017	501(C)(3)	195,982	0	N/A	N/A	Head Start Program
Centers for New Horizons 4150 S King Dr Chicago,IL 60653	36-2729721	501(C)(3)	220,208	0	N/A	N/A	Early Head Start Program
Educare Miami350 Southwest 32nd Rd Miami,FL 331292712	85-8012672	501(C)(3)	11,200	0	N/A	N/A	Program support
Oklahoma City Educare 500 SE Grand Blvd Oklahoma City,OK 73129	73-0590119	501(C)(3)	8,624	0	N/A	N/A	Program support
Tulsa Educare2511 E 5th Place Tulsa,OK 74115	73-1019247	501(C)(3)	8,402	0	N/A	N/A	Program support

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
KANSAS ACTION FOR CHILDREN720 SW Jackson St 207 TOPEKA, KS 66603	48-0879502	501(c)(3)	75,000	0	N/A	N/A	PROGRAM SUPPORT
Clayton Foundation DBA Clayton Early Learning 3801 Martin Luther King Blvd Denver, CO 80205	84-0432238	501(c)(3)	15,300	0	N/A	N/A	PROGRAM SUPPORT
Next Door Foundation 2545 N 29th Street MILWAUKEE, WI 53210	39-1162969	501(c)(3)	10,342	0	N/A	N/A	PROGRAM SUPPORT
Educare Early Learning Center625 100th Avenue SW SEATTLE, WA 98146	91-0851413	501(c)(3)	11,200	0	N/A	N/A	PROGRAM SUPPORT
Educare of West DuPage 3916 Sarazen Ct Woodridge, IL 60517	26-2259307	501(c)(3)	11,200	0	N/A	N/A	PROGRAM SUPPORT

Schedule J
(Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

► Attach to Form 990. To be completed by organizations that answered "Yes" to Form 990, Part IV, line 23.

OMB No 1545-0047

2008

Open to Public Inspection

Name of the organization
OUNCE OF PREVENTION FUND

Employer identification number
36-3186328

Part I	Questions Regarding Compensation		Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items			
	☐ First class or charter travel ☐ Housing allowance or residence for personal use			
	☐ Travel for companions ☐ Payments for business use of personal residence			
	☐ Tax idemnification and gross-up payments ☐ Health or social club dues or initiation fees			
	☐ Discretionary spending account ☐ Personal services (e g , maid, chauffeur, chef)			
b	If line 1a is checked, did the organization follow a written policy regarding payment or reimbursement or provision of all the expenses described above? If "No," complete Part III to explain	1b		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	2		
3	Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply			
	☒ Compensation committee ☐ Written employment contract			
	☒ Independent compensation consultant ☒ Compensation survey or study			
	☒ Form 990 of other organizations ☒ Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a			
a	Receive a severance payment or change of control payment?	4a		No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b		No
c	Participate in, or receive payment from, an equity-based compensation arrangement?	4c		No
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III			
	501(c)(3) and 501(c)(4) organizations only must complete lines 5-8.			
5	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of			
a	The organization?	5a		No
b	Any related organization?	5b		No
	If "Yes," to line 5a or 5b, describe in Part III			
6	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of			
a	The organization?	6a		No
b	Any related organization?	6b		No
	If "Yes," to line 6a or 6b, describe in Part III			
7	For persons listed in form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7		No
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs section 53.4958-4(a)(3)? If "Yes," describe in Part III	8		No

Part II

Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations described in the instructions on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a.

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
Sarah Bradley	(i)	139,508	0	20,500	4,965	9,445	174,418	100,006
	(ii)	0	0	0	0	0	0	0
Harriet Horwitz	(i)	154,961	0	3,296	4,944	15,617	178,818	90,890
	(ii)	0	0	0	0	0	0	0
Cornelia Grumman	(i)	146,222	0	12,375	0	6,530	165,127	42,863
	(ii)	0	0	0	0	0	0	0
Claire Dunham	(i)	127,449	0	15,500	4,438	7,830	155,217	77,097
	(ii)	0	0	0	0	0	0	0
Portia Kennel	(i)	149,286	0	17,448	5,254	12,326	184,314	91,652
	(ii)	0	0	0	0	0	0	0
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

OMB No 1545-0047

2008

Open to Public Inspection

Name of the organization
OUNCE OF PREVENTION FUND

Employer identification number
36-3186328

Identifier	Return Reference	Explanation
FORM 990, PART III, LINE 4D	OTHER PROGRAM SERVICES	THE KIDS PEPP (PUBLIC EDUCATION & POLICY PROJECT) PROGRAM WORKS TOWARD DEVELOPING A COMPREHENSIVE EARLY CHILDHOOD SYSTEM THAT MEETS THE NEEDS OF CHILDREN, BIRTH TO FIVE, AND THEIR FAMILIS IN ILLINOIS EXPENSES = \$989,385 REVENUES = NONE GRANTS = NONE THE OUNCE'S RESEARCH PROGRAM EVALUATES AND DOCUMENTS THE EFFECTIVENESS OF STRATEGIES FOR IMPROVING THE LIFE TRAJECTORIES OF A-T-RISK CHILDREN AND TO INFORM THE EARLY CHILDHOOD FIELD EXPENSES = \$1,301,203 REVENUES = NONE GRANTS = NONE THROUGH THE FIRST FIVE YEARS FUND, THE OUNCE IS MOBILIZING SUPPORT ON THE NATIONAL LEVEL FOR THE EXPANSION OF HIGH-QUALITY EARLY CHILDHOOD PROGRAMS EXPENSES = \$3,386,766 REVENUES = NONE GRANTS = NONE MISCELLANEOUS OTHER EXPENSES = \$902,071 MISCELLANEOUS OTHER REVENUES = NONE MISCELLANEOUS OTHER GRANTS = NONE

Identifier	Return Reference	Explanation
FORM 990, PART VI, SECTOIN A, LINE 10	PROCESS THE ORGANIZATION USES TO REVIEW FORM 990	To begin the review process, the Finance Commttee members of the board are given the draft Form 990 for review and comment The full board then reviews and approves the completed Form 990 before it is filed The Form 990 is reviewed once more by Finance staff to ensure any appropriate changes have been completed Form 990 is then filed

Identifier	Return Reference	Explanation
FORM 990, PART VI, SECTOIN B, LINE 12C	ORGANIZATION'S PRACTICES FOR MONITORING CONFLICT OF INTEREST	The director or key employee is obligated to disclose any conflict of interest The Executive Committee reviews and votes on recommendations to the board regarding the conflict of interest The full board takes action on the recommendations The minutes of the meeting are disclosed to the full board membership

Identifier	Return Reference	Explanation
FORM 990, PART VI, SECTOIN B, LINE 15A&15B	PROCESS FOR DETERMINING COMPENSATION OF TOP MANAGEMENT OFFICIAL	In preparation for the budget each year, the performance and compensation committee of the board reviews and approves the proposed compensation for the Ounce's CEO, Executive Director and all other key employees measured against comparable data from the market

Additional Data

Software ID:
Software Version:
EIN: 36-3186328
Name: OUNCE OF PREVENTION FUND

Form 990, Part VII - Section Aaa

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W- 2/1099MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual Trustee or Director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Ms Billie Wright Adams MD , Director, Secretary	1 0	X						0	0	0
Mr Curt R Bailey , Director	1 0	X						0	0	0
Ms Susan Baird , Director	1 0	X						0	0	0
Mr Frank Beidler III , Director	1 0	X						0	0	0
Ms Cheryl Berman , Director	1 0	X						0	0	0
Ms Jacolyn Bucksbaum , Director	1 0	X						0	0	0
Ms Susan Buffett , Director	1 0	X						0	0	0
Ms Mawiyah Coates , Director	1 0	X						0	0	0
Ms Eloise Cornelius , Director	1 0	X						0	0	0
Pastor Thomas Cross Sr , Director	1 0	X						0	0	0
Ms Deborah Daro Ph D , Director	1 0	X						0	0	0
Ms Kelly King Dibble , Director	1 0	X						0	0	0
Ms Yvette Evans , Director	1 0	X						0	0	0
Ms Marilyn Fields , Director	1 0	X						0	0	0
Mr Bill Friend , Director, Treasurer	2 0	X						0	0	0
Ms Keith Goldstein , Director	1 0	X						0	0	0
Ms Patti Gustafson , Director	1 0	X						0	0	0
Mr Robert Heaton , Director	1 0	X						0	0	0
Ms Rusty Hellman , Director	1 0	X						0	0	0
Mr Abe Toms Hughes , Director	1 0	X						0	0	0
Mr Burt Kaplan , Director	1 0	X						0	0	0
Mr Norm Katz , Director	1 0	X						0	0	0
Mr Alan King , Director	1 0	X						0	0	0
Mr Timothy J Landon , Director	1 0	X						0	0	0
Ms Judy Langford , Director	1 0	X						0	0	0
Pastor B Herbert Martin , Director	1 0	X						0	0	0
Ms Charles Polsky , Director	1 0	X						0	0	0
Mr J B Pritzker , Director	1 0	X						0	0	0
Mr Richard E Rothkopf, Director, Board Chair	2 0	X						0	0	0
Ms Jean Schlemmer , Director	1 0	X						0	0	0

Form 990, Part VII - Section Aaa

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual Trustee or Director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Ms Kate Siegel , Director	1 0	X						0	0	0
Ms Joyce Skoog , Director	1 0	X						0	0	0
Mr Harrison Steans , Director	1 0	X						0	0	0
Ms Anne L Tuohy , Director	1 0	X						0	0	0
Ms Angela Walker , Director	1 0	X						0	0	0
Mr Kevin White , Director	1 0	X						0	0	0
Ms Helen Zell , Director	1 0	X						0	0	0
MR RAUL I RAYMUNDO ,	1 0	X						0	0	0
Sarah Bradley , COO	38 0				X			160,008	0	14,410
Harriet Horwitz , President	38 0				X			158,257	0	20,561
Claire Dunham , Senior VP of Programs	38 0				X			142,949	0	12,268
Portia Kennel , Senior VP of Bounce LN	38 0				X			166,734	0	17,580
Cornelia Grumman , VP/FFYF	38 0					X		158,597	0	6,530
Ann Kirwan , VP of Public Affairs	38 0					X		120,395	0	21,806
Toyia Rudd , VP of Org Development	38 0					X		119,386	0	19,674
Karen Freel , VP of Research	38 0					X		126,639	0	13,235
MARK BECKER , CFO	38 0					X		104,286	0	27,104

Form 990, Part III, Line 1 - Briefly describe the organization's mission:

The Ounce of Prevention Fund is dedicated to ensuring that, beginning at birth, children in low-income families can overcome the challenges of poverty and enter kindergarden fully prepared to achieve. We believe it far more cost-effective, and caring, to help at risk children and their parents build healthy foundations than to treat problems later in life. We work in multiple fields: direct services, training, research and advocacy to help improve the life prospects of young children in poverty. We leverage private sector funds to test innovative ideas, and we partner with the public sector to design high-quality programs and sustain them throughout Illinois. Our work is grounded in the developmental needs of children, and in science which demonstrates that investments in childrens earliest years yield the greatest return for families and society.