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Form **990-EZ**

Short Form

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code
(except black lung benefit trust or private foundation)

OMB No 1545-1150

2008**Open to Public Inspection**Department of the Treasury
Internal Revenue Service

- ◆ Sponsoring organizations of donor advised funds and controlling organizations as defined in section 512(b)(13) must file Form 990. All other organizations with gross receipts less than \$1,000,000 and total assets less than \$2,500,000 at the end of the year may use this form.
- ◆ The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2008 calendar year, or tax year beginning **7/01/08**, and ending **6/30/09****B** Check if applicable:

- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Termination
- ☐ Amended return
- ☐ Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization**UW-FOND DU LAC FOUNDATION, INC.**

Number and street (or P O box, if mail is not delivered to street address)

400 UNIVERSITY DR

Room/suite

City or town, state or country, and ZIP + 4

FOND DU LAC**WI 54935****D** Employer identification number**23-7349000****E** Telephone number**920-929-3600****F** Group Exemption

Number

◆

● Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).

G Accounting method ☐ Cash ☒ Accrual
Other (specify) ◆

I Website: ◆ **WWW.FDL.UWC.EDU/FOUNDATION/****J** Organization type (check only one) ☒ 501(c) (**3**) ◆ (insert no) ☐ 4947(a)(1) or ☐ 527

H Check ◆ ☐ if the organization is not required to attach Schedule B (Form 990, 990-EZ, or 990-PF)

K Check ◆ ☐ if the organization is not a section 509(a)(3) supporting organization and its gross receipts are normally not more than \$25,000. A return is not required, but if the organization chooses to file a return, be sure to file a complete return.

L Add lines 5b, 6b, and 7b, to line 9 to determine gross receipts, if \$1,000,000 or more, file Form 990 instead of Form 990-EZ **107,238****Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances** (See the instructions for Part I.)

Revenue	1	Contributions, gifts, grants, and similar amounts received	1	69,919
	2	Program service revenue including government fees and contracts	2	
	3	Membership dues and assessments	3	
	4	Investment income	4	4,854
	5a	Gross amount from sale of assets other than inventory	5a	32,465
	b	Less cost or other basis and sales expenses	5b	52,991
	c	Gain or (loss) from sale of assets other than inventory (Subtract line 5b from line 5a) (SEE STATEMENT 1)	5c	-20,526
	6	Special events and activities (complete applicable parts of Schedule G) If any amount is from gaming, check here		
	a	Gross revenue (not including \$ _____ of contributions reported on line 1)	6a	
	b	Less direct expenses other than fundraising expenses	6b	
	c	Net income or (loss) from special events and activities (Subtract line 6b from line 6a)	6c	
	7a	Gross sales of inventory, less returns and allowances	7a	
	b	Less cost of goods sold	7b	
	c	Gross profit or (loss) from sales of inventory (Subtract line 7b from line 7a)	7c	
	8	Other revenue (describe _____)	8	
	9	Total revenue. Add lines 1, 2, 3, 4, 5a, 6c, 7c, and 8	9	54,247
	Expenses	10	Grants and similar amounts paid (attach schedule)	10
11		Benefits paid to or for members	11	
12		Salaries, other compensation, and employee benefits	12	31,815
13		Professional fees and other payments to independent contractors	13	
14		Occupancy, rent, utilities, and maintenance	14	199
15		Printing, publications, postage, and shipping	15	
16		Other expenses (describe SEE STATEMENT 3)	16	33,059
17		Total expenses. Add lines 10 through 16	17	95,383
Net Assets	18	Excess or (deficit) for the year (Subtract line 17 from line 9)	18	-41,136
	19	Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)	19	245,935
	20	Other changes in net assets or fund balances (attach explanation) SEE STATEMENT 4	20	-7,646
	21	Net assets or fund balances at end of year. Combine lines 18 through 20	21	197,153

Part II Balance Sheets. If Total assets on line 25, column (B) are \$2,500,000 or more, file Form 990 instead of Form 990-EZ

(See the instructions for Part II.)

	(A) Beginning of year	(B) End of year
22 Cash, savings, and investments	245,651	223,969
23 Land and buildings	249	50
24 Other assets (describe SEE STATEMENT 5)	27,525	595
25 Total assets	273,425	224,614
26 Total liabilities (describe SEE STATEMENT 6)	27,490	27,461
27 Net assets or fund balances (line 27 of column (B) must agree with line 21)	245,935	197,153

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Form **990-EZ** (2008)

What is the organization's primary exempt purpose?

Expenses

(Required for 501(c)(3)
and (4) organizations
and 4947(a)(1) trusts,
optional for others)

SCHOLARSHIPS

Describe what was achieved in carrying out the organization's exempt purposes. In a clear and concise manner, describe the services provided, the number of persons benefited, or other relevant information for each program title.

28 AWARDING OF SCHOLARSHIPS, GRANTS AND AWARD TO STUDENTS

(Grants \$ **30,310**) If this amount includes foreign grants, check here

28a

61,552

29

(Grants \$ _____) If this amount includes foreign grants, check here

29a

30

(Grants \$ _____) If this amount includes foreign grants, check here

30a

31 Other program services (attach schedule)

(Grants \$ _____) If this amount includes foreign grants, check here

31a

32 Total program service expenses (add lines 28a through 31a)

32

61,552

Part IV List of Officers, Directors, Trustees, and Key Employees. List each one even if not compensated (See the instructions for Part IV)

[illegible]

Part V Other Information (Note the statement requirements in the instructions for Part VI.)

	Yes	No
33 Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity		X
34 Were any changes made to the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes		X
35 If the organization had income from business activities, such as those reported on lines 2, 6a, and 7a (among others), but not reported on Form 990-T, attach a statement explaining your reason for not reporting the income on Form 990-T		
a Did the organization have unrelated business gross income of \$1,000 or more or section 6033(e) notice, reporting, and proxy tax requirements?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
36 Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," complete applicable parts of Schedule N		X
37a Enter amount of political expenditures, direct or indirect, as described in the instr 37a		
b Did the organization file Form 1120-POL for this year?		X
38a Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still unpaid at the start of the period covered by this return?		X
b If "Yes," complete Schedule L, Part II and enter the total amount involved 38b		
39 Section 501(c)(7) organizations Enter		
a Initiation fees and capital contributions included on line 9 39a		
b Gross receipts, included on line 9, for public use of club facilities 39b		
40a Section 501(c)(3) organizations Enter amount of tax imposed on the organization during the year under section 491 40a ; section 491 40a ; section 495 40a		
b Section 501(c)(3) and (4) organizations Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," complete Schedule L, Part I 40b		X
c Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 40c		
d Enter amount of tax on line 40c reimbursed by the organization 40d		
e All organizations At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T 40e		X
41 List the states with which a copy of this return is filed WI		
42a The books are in care of CHRISTA WILLIAMS Telephone no 920-929-3600 400 UNIVERSITY DR Located at FOND DU LAC, WI ZIP + 4 54935		
b At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? 42b If "Yes," enter the name of the foreign country 42b See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts .		X
c At any time during the calendar year, did the organization maintain an office outside of the U S ? 42c If "Yes," enter the name of the foreign country 42c		X
43 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of Form 1041 —Check here 43 and enter the amount of tax-exempt interest received or accrued during the tax year		
44 Did the organization maintain any donor advised funds? If "Yes," Form 990 must be completed instead of Form 990-EZ 44		X
45 Is any related organization a controlled entity of the organization within the meaning of section 512(b)(13)? If "Yes," Form 990 must be completed instead of Form 990-EZ 45		X

Part VI Section 501(c)(3) organizations only. All section 501(c)(3) organizations must answer questions 46-49 and complete the tables for lines 50 and 51.

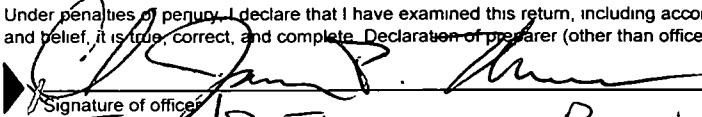
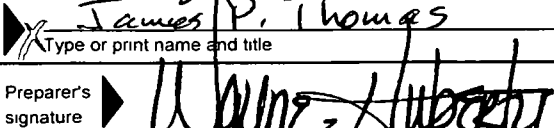
- 46** Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I
- 47** Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II
- 48** Is the organization operating a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E
- 49a** Did the organization make any transfers to an exempt non-charitable related organization?
- b** If "Yes," was the related organization(s) a section 527 organization?
- 50** Complete this table for the five highest compensated employees (other than officers, directors, trustees and key employees) who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

	Yes	No
46		X
47		X
48		X
49a		X
49b		

(a) Name and address of each employee paid more than \$100,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account and other allowances
NONE				
Total number of other employees paid over \$100,000 ▶				

- 51** Complete this table for the five highest compensated independent contractors who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and address of each independent contractor paid more than \$100,000	(b) Type of service	(c) Compensation
NONE		
Total number of other independent contractors each receiving over \$100,000 ▶		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.			
	 Signature of officer		Date 2/17/10	
Paid Preparer's Use Only	 Type or print name and title		Date 2/9/2010	
	Preparer's signature		Check if self-employed <input type="checkbox"/>	Preparer's Identifying Number (See instructions) P00249820
	Firm's name (or yours if self-employed), address, and ZIP + 4 HUBERTY & ASSOCIATES, S.C. 145 S MARR ST FOND DU LAC, WI 54935-4434		EIN 39-1392227	
			Phone no 920-923-8400	
May the IRS discuss this return with the preparer shown above? See instructions ▶ <input type="checkbox"/> Yes <input type="checkbox"/> No				

DAA

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")	95,986	87,227	97,235	105,015	72,035	457,498
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1-3	95,986	87,227	97,235	105,015	72,035	457,498
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						132,120
6 Public support. Subtract line 5 from line 4						325,378

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
7 Amounts from line 4	95,986	87,227	97,235	105,015	72,035	457,498
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	2,706	7,752	15,201	8,503	4,854	39,016
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 Total support. Add lines 7 through 10						496,514
12 Gross receipts from related activities, etc. (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

14 Public support percentage for 2008 (line 6, column (f) divided by line 11, column (f))	14	65.5325 %
15 Public support percentage from 2007 Schedule A, Part IV-A, line 26f	15	62.6257 %
16a 33 1/3 % support test—2008. If the organization did not check the box on line 13, and line 14 is 33 1/3 % or more, check this box and stop here. The organization qualifies as a publicly supported organization <input checked="" type="checkbox"/>		
b 33 1/3 % support test—2007. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3 % or more, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
17a 10%-facts-and-circumstances test—2008. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
b 10%-facts-and-circumstances test—2007. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions <input type="checkbox"/>		

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) (a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")					
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose					
3 Gross receipts from activities that are not an unrelated trade or business under section 513					
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf					
5 The value of services or facilities furnished by a governmental unit to the organization without charge					
6 Total. Add lines 1-5					
7a Amounts included on lines 1, 2, and 3 received from disqualified persons					
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of 1% of the total of lines 9, 10c, 11, and 12 for the year or \$5,000					
c Add lines 7a and 7b					
8 Public support. (Subtract line 7c from line 6.)					

Section B. Total Support

Calendar year (or fiscal year beginning in) (a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
9 Amounts from line 6					
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources					
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975					
c Add lines 10a and 10b					
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on					
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)					
13 Total support. (Add lines 9, 10c, 11, and 12.)					
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/>					

Section C. Computation of Public Support Percentage

15 Public support percentage for 2008 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2007 Schedule A, Part IV-A, line 27g	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2008 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2007 Schedule A, Part IV-A, line 27h	18	%

19a **33 1/3 % support tests—2008.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3 %, and line 17 is not more than 33 1/3 %, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐

b **33 1/3 % support tests—2007.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3 %, and line 18 is not more than 33 1/3 %, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions ☐

Part IV Supplemental Information. Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b, or Part III, line 12. Provide any other additional information. (see instructions)

Federal Statements

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**Statement 1 - Form 990-EZ, Part I, Line 5c - Sale of Assets Other than Inventory -
Securities**

Description		How Received	Whom Sold	Date Acquired	Date Sold	Sale Price	Cost & Expense	Depreciation	Gain / Loss
ATTACHEMENT A DONATION				VARIOUS	VARIOUS	\$ 32,465	\$ 52,991	\$	\$ -20,526
TOTAL						\$ 32,465	\$ 52,991	0	\$ -20,526

Statement 2 - Form 990-EZ, Part I, Line 10 - Grants and Similar Amounts Paid

Name and Address	Relationship to Organization		Class of Activity		Date of Gift		Purpose
	Cash Contribution	Noncash Contribution	Book Value	Book Value Explanation	FMV Explanation		
Description of Property							
SCHOLARSHIPS							
	30,310						
TOTAL							
	30,310						

23-7349000

Federal Statements

FYE: 6/30/2009

Statement 3 - Form 990-EZ, Part I, Line 16 - Other Expenses

Description	Amount
EXPENSES	\$
TRAVEL	91
CONFERENCES/MEETINGS	171
CONTINUING EDUCATION	3,516
PROFESSIONAL DEVELOPMENT	10,924
CAMPUS EVENTS	3,089
FINE ARTS	868
DUES AND SUBSCRIPTIONS	550
LICENSES AND PERMITS	25
PROMOTIONS	11,443
OFFICE EXPENSE	815
FOUNDATION EVENTS	1,567
TOTAL	\$ 33,059

Statement 4 - Form 990-EZ, Part I, Line 20 - Other Changes in Net Assets or Fund Balances

Description	Amount
UNREALIZED LOSS	\$ -7,646
TOTAL	\$ -7,646

Statement 5 - Form 990-EZ, Part II, Line 24 - Other Assets

Description	Beginning of Year	End of Year
PLEDGES RECEIVABLE	\$ 27,525	\$ 54
INCOME RECEIVABLE		541
	27,525	595

Statement 6 - Form 990-EZ, Part II, Line 26 - Total Liabilities

Description	Beginning of Year	End of Year
ACCOUNTS PAYABLE AND ACCRUED EXPENSES	\$ 27,340	\$ 20,150
PAYROLL LIABILITIES	150	281
ACCRUED PAYROLL		7,030
	27,490	27,461

Federal Statements

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FYE: 6/30/2009

Statement 7 - Form 990EZ, Part IV - List of Officers, Directors, Trustees and Key Employees

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
LYLE BIRSCHBACH 400 UNIVERSITY DRIVE FOND DU LAC, WI 54935	DIRECTOR	1	0	0	0
RICHARD BLAMEY 400 UNIVERSITY DRIVE FOND DU LAC, WI 54935	DIRECTOR	1	0	0	0
THOMAS BULLOCK 400 UNIVERSITY DRIVE FOND DU LAC, WI 54935	DIRECTOR	1	0	0	0
MICHAEL KRUEGER 400 UNIVERSITY DRIVE FOND DU LAC, WI 54935	TREASURER	1	0	0	0
WILLIAM MAUTHE 400 UNIVERSITY DRIVE FOND DU LAC, WI 54935	SECRETARY	1	0	0	0
MARY JO MERWIN 400 UNIVERSITY DRIVE FOND DU LAC, WI 54935	DIRECTOR	1	0	0	0
JIM NEUMANN 400 UNIVERSITY DRIVE FOND DU LAC, WI 54935	DIRECTOR	1	0	0	0
MARTY RYAN 400 UNIVERSITY DRIVE FOND DU LAC, WI 54935	DIRECTOR	1	0	0	0
LEO SANTINI 400 UNIVERSITY DRIVE FOND DU LAC, WI 54935	PRESIDENT	1	0	0	0

Federal Statements

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Statement 7 - Form 990EZ, Part IV - List of Officers, Directors, Trustees and Key Employees (continued)

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
JOHN SCOTTELLO 400 UNVIERSITY DRIVE FOND DU LAC, WI 54935	DIRECTOR	1	0	0	0
STACY SHEDIVY 400 UNIVERSITY DRIVE FOND DU LAC, WI 54935	DIRECTOR	1	0	0	0
CHRISTA WILLIAMS 400 UNIVERSITY DRIVE FOND DU LAC, WI 54935	EXEC DIR	30	14,060	0	0
SCOTT WITTCHOW 400 UNIVERSITY DRIVE FOND DU LAC, WI 54935	DIRECTOR	1	0	0	0
JAMES GILMORE 400 UNIVERSITY DRIVE FOND DU LAC, WI 54935	DIRECTOR	1	0	0	0
MARK HOPPER 400 UNIVERSITY DRIVE FOND DU LAC, WI 54935	DIRECTOR	1	0	0	0
MARILYN ZANGL 400 UNIVERSITY DRIVE FOND DU LAC, WI 54935	DIRECTOR	1	0	0	0
TONY BARTHULY 400 UNIVERISTY DRIVE FOND DU LAC, WI 54935	DIRECTOR	1	0	0	0
JOE BRAUN 400 UNIVERSITY DRIVE FOND DU LAC, WI 54935	DIRECTOR	1	0	0	0

Federal Statements

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Statement 7 - Form 990EZ, Part IV - List of Officers, Directors, Trustees and Key Employees (continued)

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
DEB SPRINGBORN 400 UNIVERSITY DRIVE FOND DU LAC, WI 54935	DIRECTOR	1	0	0	0
JAMES THOMAS 400 UNIVERSITY DRIVE FOND DU LAC, WI 54935	VICE PRESID	1	0	0	0
JACK TWOHIG 400 UNIVERSITY DRIVE FOND DU LAC, WI 54935	DIRECTOR	1	0	0	0

National Exchange

Bank & Trust

SCHEDULE G - SALES AND REDEMPTIONS
FOR PERIOD 07/01/08 THRU 06/30/09

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ACCOUNT NO. 41-0086-01-1

U W FOND DU LAC FOUNDATION
REVOCABLE TRUST DATED 01/06/2005
PERMANENTLY RESTRICTED FUNDS
NATIONAL EXCHANGE BANK & TRUST
TRUSTEE

STATEMENT 1
UW-FOND DU LAC FOUNDATION

DATE	DESCRIPTION	EQUITY	UNIT PRICE	BROKER COMMISSION	OTHER COSTS	PROCEEDS	COST	REALIZED GAIN/LOSS
12/16/08	JANUS MID CAP VALUE FUND - INV LONG TERM CAPITAL GAINS DIST AT \$.787 PER SHARE					697.33	.00	697.33
11/07/08	LONGLEAF PARTNERS SMALL CAP FUND LONG TERM CAPITAL GAINS DIST AT \$.440 PER SHARE					195.06	.00	195.06
12/29/08	MANAGERS SPECIAL EQUITY FD LONG TERM CAPITAL GAINS DIST AT \$ 5.744 PER SHARE					919.63	.00	919.63
12/29/08	SHORT TERM CAPITAL GAINS DIST AT \$.039 PER SHARE					6.32	.00	6.32
04/09/09	SOLD 192.005 SHS 04/08/09 @ 27.97		27.970			5,370.38	13,755.78-	8,385.66
							13,755.78-	7,459.66
04/09/09	DREYFUS PREMIER NEW LEADERS FUND, INC. CLASS A SOLD 842.094 SHS 04/08/09 @ 19.59		19.590			16,496.62	30,400.00-	13,903.38
							44,155.78-	20,470.66
	TOTAL EQUITY			.00	.00			
	FIXED INCOME							
03/20/09	VANGUARD SHORT-TERM FEDERAL FUND - INV #49 SOLD 551.471 SHS 03/19/09 @ 10.88		10.880			6,000.00	5,692.44-	307.56



Bank & Trust

SCHEDULE G - SALES AND REDEMPTIONS
FOR PERIOD 07/01/08 THRU 06/30/09

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ACCOUNT NO. 41-0086-01-1

U W FOND DU LAC FOUNDATION
REVOCABLE TRUST DATED 01/06/2005
PERMANENTLY RESTRICTED FUNDS
NATIONAL EXCHANGE BANK & TRUST
TRUSTEE

DATE	DESCRIPTION	UNIT PRICE	BROKER COMMISSION	OTHER COSTS	PROCEEDS	COST	REALIZED GAIN/LOSS
03/27/09	LONG TERM CAPITAL GAINS DIST AT \$.005 PER SHARE				10.87	.00	10.8
						5,692.44-	318.4
03/20/09	VANGUARD INTERMEDIATE-TERM INVESTMENT GRADE FUND - ADM SOLD 316.159 SHS 03/19/09 @ 8.54	8.540			2,700.00	3,142.62-	442.6
03/27/09	LONG TERM CAPITAL GAINS DIST AT \$.017 PER SHARE				46.93	.00	46.9
03/27/09	SHORT TERM CAPITAL GAINS DIST AT \$.008 PER SHARE				22.09	.00	22.0
						3,142.62-	373.6
	TOTAL FIXED INCOME		.00	.00	32,465	8,835.06-	55.1
	CASH EQUIVALENT					52,990.84	
06/30/09	BLACKROCK PROVIDENT TEMP FUND #24 SALES (3) 07/01/08 TO 06/30/09	1.000			44,300.00	44,300.00-	.0
	TOTAL CASH EQUIVALENT		.00	.00		44,300.00-	.0
	TOTAL SALES AND REDEMPTIONS		.00	.00		97,290.84-	20,525.0

Statement

UW-Fond du Lac Foundation

Tax Asset Detail 7/01/08 - 6/30/09

23-7349000

Page 1

FYE: 6/30/2009

<u>Asset</u>	<u>d</u> <u>t</u>	<u>Property Description</u>	<u>Date In</u> <u>Service</u>	<u>Tax</u> <u>Cost</u>	<u>Sec 179 Exp</u> <u>Current = c</u>	<u>Tax</u> <u>Bonus Amt</u>	<u>Tax Prior</u> <u>Depreciation</u>	<u>Tax Current</u> <u>Depreciation</u>	<u>Tax</u> <u>End Depr</u>	<u>Tax Net</u> <u>Book Value</u>	<u>Tax</u> <u>Method</u>	<u>Tax</u> <u>Period</u>
Group: OFFICE EQUIPMENT												
1		GIFTWORKS SOFTWARE	10/16/06	598	0	0	349	199	548	50	Amort	3 0
		OFFICE EQUIPMENT		598	0c	0	349	199	548	50		
Grand Total				598	0c	0	349	199	548	50		

Form **8868**
(Rev. April 2009)Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

◆ **File a separate application for each return.**

- ◆ If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- ◆ If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Type or print File by the due date for filing your return. See instructions.	Name of Exempt Organization UW-FOND DU LAC FOUNDATION, INC.	Employer identification number 23-7349000
	Number, street, and room or suite no. If a P.O. box, see instructions 400 UNIVERSITY DR	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions FOND DU LAC WI 54935	

Check type of return to be filed (file a separate application for each return).

- | | | |
|--|---|------------------------------------|
| <input checked="" type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

- ◆ The books are in the care of ◆ **CHRISTA WILLIAMS**

Telephone No. ◆ **920-929-3600**

FAX No. ◆

- ◆ If the organization does not have an office or place of business in the United States, check this box ☐
- ◆ If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **2/15/10**, to file the exempt organization return for the organization named above. The extension is for the organization's return for

- ◆ ☐ calendar year or
- ◆ ☒ tax year beginning **7/01/08**, and ending **6/30/09**

- 2 If this tax year is for less than 12 months, check reason. ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.Form **8868** (Rev. 4-2009)