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| | | |
|--|--|----------------------------------|
| Form 990  Department of the Treasury Internal Revenue Service | Return of Organization Exempt From Income Tax Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation) | OMB No 1545-0047 2008 |
| | The organization may have to use a copy of this return to satisfy state reporting requirements | Open to Public Inspection |

A For the 2008 calendar year, or tax year beginning 07-01-2008 and ending 06-30-2009

| | | | | |
|---|--|---|---------------------------------|---|
| B Check if applicable <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Termination <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending | Please use IRS label or print or type. See Specific Instructions. | C Name of organization CORNELL UNIVERSITY | | D Employer identification number 15-0532082 |
| | | Doing Business As | | E Telephone number (607) 255-3581 |
| | | Number and street (or P.O. box if mail is not delivered to street address) 341 PINE TREE ROAD | Room/suite | G Gross receipts \$ 16,197,203,422 |
| | | City or town, state or country, and ZIP + 4 ITHACA, NY 14850 | | |
| F Name and address of Principal Officer David J Skorton President 341 PINE TREE ROAD ITHACA, NY 14850 | | H(a) Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| I Tax-exempt status <input checked="" type="checkbox"/> 501(c) (3) <input type="checkbox"/> (Insert no) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527 | | H(b) Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No (If "No," attach a list See instructions) | | |
| J Web site: <input checked="" type="checkbox"/> HTTP //WWW.CORNELL.EDU | | H(c) Group Exemption Number <input type="checkbox"/> | | |
| K Type of organization <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> trust <input type="checkbox"/> association <input type="checkbox"/> other <input type="checkbox"/> | | | L Year of formation 1865 | M State of legal domicile NY |

Part I Summary

| | | | | |
|-----------------------------|-----|--|-------------------|---------------|
| Activities & Governance | 1 | Briefly describe the organization's mission or most significant activities THE UNIVERSITY'S MOST SIGNIFICANT ACTIVITIES ARE EDUCATION, RESEARCH, MEDICAL SERVICES AND OTHER PUBLIC SERVICES | | |
| | 2 | Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its assets | | |
| | 3 | Number of voting members of the governing body (Part VI, line 1a) | 3 | 64 |
| | 4 | Number of independent voting members of the governing body (Part VI, line 1b) | 4 | 57 |
| | 5 | Total number of employees (Part V, line 2a) | 5 | 39,525 |
| | 6 | Total number of volunteers (estimate if necessary) | 6 | 2,000 |
| | 7a | Total gross unrelated business revenue from Part VIII, line 12, column (C) | 7a | 11,307,447 |
| | b | Net unrelated business taxable income from Form 990-T, line 34 | 7b | -12,473,893 |
| Revenue | 8 | Contributions and grants (Part VIII, line 1h) | Prior Year | Current Year |
| | 9 | Program service revenue (Part VIII, line 2g) | 640,240,000 | 234,351,440 |
| | 10 | Investment income (Part VIII, column (A), lines 3, 4, and 7d) | 1,405,012,544 | 2,170,685,420 |
| | 11 | Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) | 529,862,000 | -734,405,713 |
| | 12 | Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) | 699,457,597 | 340,777,992 |
| | | | 3,274,572,141 | 2,011,409,139 |
| Expenses | 13 | Grants and similar amounts paid (Part IX, column (A), lines 1–3) | 24,106,000 | 46,699,487 |
| | 14 | Benefits paid to or for members (Part IX, column (A), line 4) | | 0 |
| | 15 | Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10) | 1,746,496,000 | 1,838,163,485 |
| | 16a | Professional fundraising fees (Part IX, column (A), line 11e) | 0 | 170,417 |
| | b | (Total fundraising expenses, Part IX, column (D), line 25 <u>42,403,822</u>) | | |
| | 17 | Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f) | 899,204,563 | 897,798,605 |
| | 18 | Total expenses—add lines 13–17 (must equal Part IX, line 25, column (A)) | 2,669,806,563 | 2,782,831,994 |
| | 19 | Revenue less expenses Subtract line 18 from line 12 | 604,765,578 | -771,422,855 |
| | | | | |
| Net Assets or Fund Balances | | | Beginning of Year | End of Year |
| | 20 | Total assets (Part X, line 16) | 10,607,376,000 | 9,093,260,000 |
| | 21 | Total liabilities (Part X, line 26) | 2,568,721,000 | 3,020,750,000 |
| | 22 | Net assets or fund balances Subtract line 21 from line 20 | 8,038,655,000 | 6,072,510,000 |

Part II Signature Block

| | | | | | |
|--------------------------|---|--|---|---|---------------------------------|
| Please Sign Here | Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge | | | | |
| | ***** | | 2010-05-14 | | |
| | Signature of officer | | Date | | |
| | JOANNE DESTEFANO VP FINANCE & CFO | | | | |
| | Type or print name and title | | | | |
| Paid Preparer's Use Only | Preparer's signature | | Date | Check if self-employed <input type="checkbox"/> | Preparer's PTIN (See Gen Inst) |
| | Firm's name (or yours if self-employed), address, and ZIP + 4 | | KPMG LLP 345 Park Avenue New York, NY 101540102 | | EIN |
| | | | | | Phone no (212) 758-9700 |

May the IRS discuss this return with the preparer shown above? (See instructions) ☐ Yes ☐ No

Part IIIS

Statement of Program Service Accomplishments (See the instructions.)

1

Briefly describe the organization’s mission

See Additional Data Table

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

Yes

✓

No

If “Yes,” describe these new services on Schedule O

3

Did the organization cease conducting or make significant changes in how it conducts any program services?

Yes

✓

No

If “Yes,” describe these changes on Schedule O

4

Describe the exempt purpose achievements for each of the organization’s three largest program services by expenses

Section 501(c)(3) and (4) organizations and 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code) (Expenses \$ 845,903,001 including grants of \$ 46,699,488) (Revenue \$ 476,971,092)

The University is internationally renowned for its undergraduate, graduate, professional, post-doctoral and continuing education programs in the U S and abroad The primary campuses are in Ithaca, NY and New York City (i e the Weill Cornell Medical College) There is a degree granting campus in Qatar for the education and training of medical doctors in the region In fiscal year 2009, there were 21,138 enrolled students The following metncs on degrees granted highlight the University's accomplishments BA (3,456), MA (1,816), Ph D's (574), other doctoral degrees--J D , M D , D V M (361) Note Tuition revenues are reported net of the \$220,522,000 of financial aid the University provides to students for tuition and mandatory fees (i e the scholarship allowance) Grants in excess of this support are reported above in the amount of \$26,964,000

4b

(Code) (Expenses \$ 629,734,000 including grants of \$ 0) (Revenue \$ 504,091,559)

Medical services, including the education and training of physicians, is a major component of the University’s mission Weill Cornell Medical College was founded in 1898 and has been affiliated with New York Presbyterian Hospital since 1927 The Physician Organization is an integral part of the medical school and provides the patient base essential for teaching, research and clinical services

4c

(Code) (Expenses \$ 602,638,000 including grants of \$ 0) (Revenue \$ 557,724,167)

Research, both basic and applied, is a primary mission of the University Cornell's faculty and students are recognized world wide for their research in science, engineering, medicine, business, law and the arts Note The revenues above include \$430 million in direct revenues and \$127 million indirect cost recoveries Note Approximately 90% of the grant and contract revenues above support research with the balance of the support more closely identified to instruction and/or public service

4d

Other program services (Describe in Schedule O)



























(Expenses \$ 439,218,110 including grants of \$ 0) (Revenue \$ 631,898,602)

4e

Total program service expenses \$ 2,517,493,111

Must equal Part IX, Line 25, column (B).

Part IV Checklist of Required Schedules

| | | Yes | No | |
|------------|--|------------|-----|----|
| 1 | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>  | 1 | Yes | |
| 2 | Is the organization required to complete Schedule B, Schedule of Contributors?  | 2 | Yes | |
| 3 | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>  | 3 | | No |
| 4 | Section 501(c)(3) organizations Did the organization engage in lobbying activities? <i>If "Yes," complete Schedule C, Part II</i>  | 4 | Yes | |
| 5 | Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? <i>If "Yes," complete Schedule C, Part III</i> | 5 | | |
| 6 | Did the organization maintain any donor advised funds or any accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>  | 6 | | No |
| 7 | Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? <i>If "Yes," complete Schedule D, Part II</i>  | 7 | | No |
| 8 | Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>  | 8 | Yes | |
| 9 | Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>  | 9 | | No |
| 10 | Did the organization hold assets in term, permanent, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>  | 10 | Yes | |
| 11 | Did the organization report an amount in Part X, lines 10, 12, 13, 15, or 25? <i>If "Yes," complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable</i>  | 11 | Yes | |
| 12 | Did the organization receive an audited financial statement for the year for which it is completing this return that was prepared in accordance with GAAP? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII</i>  | 12 | | No |
| 13 | Is the organization a school as described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>  | 13 | Yes | |
| 14a | Did the organization maintain an office, employees, or agents outside of the U S ? | 14a | Yes | |
| b | Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the U S ? <i>If "Yes," complete Schedule F, Part I</i>  | 14b | Yes | |
| 15 | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Part II</i>  | 15 | Yes | |
| 16 | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Part III</i>  | 16 | Yes | |
| 17 | Did the organization report more than \$15,000 on Part IX, column (A), line 11e? <i>If "Yes," complete Schedule G, Part I</i>  | 17 | Yes | |
| 18 | Did the organization report more than \$15,000 total on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>  | 18 | Yes | |
| 19 | Did the organization report more than \$15,000 on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>  | 19 | | No |
| 20 | Did the organization operate one or more hospitals? <i>If "Yes," complete Schedule H</i> | 20 | | No |
| 21 | Did the organization report more than \$5,000 on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>  | 21 | Yes | |
| 22 | Did the organization report more than \$5,000 on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>  | 22 | Yes | |
| 23 | Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5? <i>If "Yes," complete Schedule J</i>  | 23 | Yes | |
| 24a | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer questions 24b–24d and complete Schedule K. If "No," go to question 25</i>  | 24a | Yes | |
| b | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | 24b | | No |
| c | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? | 24c | | No |
| d | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | 24d | | No |
| 25a | Section 501(c)(3) and 501(c)(4) organizations Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>  | 25a | | No |
| b | Did the organization become aware that it had engaged in an excess benefit transaction with a disqualified person from a prior year? <i>If "Yes," complete Schedule L, Part I</i>  | 25b | | No |
| 26 | Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>  | 26 | | No |
| 27 | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, or substantial contributor, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i>  | 27 | | No |

Part IV

Checklist of Required Schedules (Continued)

| | | Yes | No |
|----|---|---------|----|
| 28 | During the tax year, did any person who is a current or former officer, director, trustee, or key employee | | |
| a | Have a direct business relationship with the organization (other than as an officer, director, trustee, or employee), or an indirect business relationship through ownership of more than 35% in another entity (individually or collectively with other person(s) listed in Part VII, Section A)? If "Yes," complete Schedule L, Part IV | 28a Yes | |
| b | Have a family member who had a direct or indirect business relationship with the organization? If "Yes," complete Schedule L, Part IV | 28b | No |
| c | Serve as an officer, director, trustee, key employee, partner, or member of an entity (or a shareholder of a professional corporation) doing business with the organization? If "Yes," complete Schedule L, Part IV | 28c Yes | |
| 29 | Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M | 29 Yes | |
| 30 | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M | 30 Yes | |
| 31 | Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I | 31 | No |
| 32 | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II | 32 | No |
| 33 | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations section 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I | 33 | No |
| 34 | Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1 | 34 Yes | |
| 35 | Is any related organization a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 | 35 Yes | |
| 36 | 501(c)(3) organizations Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2 | 36 | No |
| 37 | Did the organization conduct more than 5 percent of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI | 37 | No |

Part V

Statements Regarding Other IRS Filings and Tax Compliance

| | | | | |
|-----|--|-----|--------|----|
| | | | Yes | No |
| 1a | Enter the number reported in Box 3 of Form 1096, <i>Annual Summary and Transmittal of U.S. Information Returns</i> . Enter -0- if not applicable | 1a | 30,356 | |
| b | Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable | 1b | 0 | |
| c | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? | 1c | Yes | |
| 2a | Enter the number of employees reported on Form W-3, <i>Transmittal of Wage and Tax Statements</i> filed for the calendar year ending with or within the year covered by this return | 2a | 39,525 | |
| b | If at least one is reported in 2a, did the organization file all required federal employment tax returns? . . . Note: <i>If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return.</i> | 2b | Yes | |
| 3a | Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return? | 3a | Yes | |
| b | If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O | 3b | Yes | |
| 4a | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? | 4a | Yes | |
| b | If "Yes," enter the name of the foreign country <u>AS , FR , IT , UK , NP , QA</u> See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts . | | | |
| 5a | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? | 5a | | No |
| b | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | 5b | | No |
| c | If "Yes," to 5a or 5b, did the organization file Form 8886-T, <i>Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction</i> ? | 5c | | |
| 6a | Did the organization solicit any contributions that were not tax deductible? | 6a | | No |
| b | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? | 6b | | |
| 7 | <i>Organizations that may receive deductible contributions under section 170(c).</i> | | | |
| a | Did the organization provide goods or services in exchange for any quid pro quo contribution of \$75 or more? | 7a | Yes | |
| b | If "Yes," did the organization notify the donor of the value of the goods or services provided? | 7b | Yes | |
| c | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? | 7c | | No |
| d | If "Yes," indicate the number of Forms 8282 filed during the year | 7d | | |
| e | Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | 7e | | No |
| f | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 7f | | No |
| g | For all contributions of qualified intellectual property, did the organization file Form 8899 as required? | 7g | Yes | |
| h | For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required? | 7h | Yes | |
| 8 | <i>Section 501(c)(3) and other sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.</i> Did the supporting organization, or a fund maintained by a sponsoring organization, have excess business holdings at any time during the year? | 8 | | |
| 9 | <i>Section 501(c)(3) and other sponsoring organizations maintaining donor advised funds.</i> | | | |
| a | Did the organization make any taxable distributions under section 4966? | 9a | | |
| b | Did the organization make a distribution to a donor, donor advisor, or related person? | 9b | | |
| 10 | <i>Section 501(c)(7) organizations.</i> Enter | | | |
| a | Initiation fees and capital contributions included on Part VIII, line 12 | 10a | | |
| b | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities | 10b | | |
| 11 | <i>Section 501(c)(12) organizations.</i> Enter | | | |
| a | Gross income from members or shareholders | 11a | | |
| b | Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them) | 11b | | |
| 12a | <i>Section 4947(a)(1) non-exempt charitable trusts.</i> Is the organization filing Form 990 in lieu of Form 1041? | 12a | | |
| b | If "Yes," enter the amount of tax-exempt interest received or accrued during the year | 12b | | |

Part VI

Governance, Management, and Disclosure (Sections A, B, and C request information about policies not required by the Internal Revenue Code.)

Section A. Governing Body and Management

For each "Yes" response to lines 2-7 below, and for a "No" response to lines 8 or 9b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

| | | | |
|----|---|-----|----|
| | | Yes | No |
| 1a | Enter the number of voting members of the governing body | | |
| 1b | Enter the number of voting members that are independent | | |
| 2 | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? | | No |
| 3 | Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? | | No |
| 4 | Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed? | | No |
| 5 | Did the organization become aware during the year of a material diversion of the organization's assets? | | No |
| 6 | Does the organization have members or stockholders? | | No |
| 7a | Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body? | Yes | |
| 7b | Are any decisions of the governing body subject to approval by members, stockholders, or other persons? | | No |
| 8 | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following | | |
| 8a | the governing body? | Yes | |
| 8b | each committee with authority to act on behalf of the governing body? | Yes | |
| 9a | Does the organization have local chapters, branches, or affiliates? | | No |
| 9b | If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization? | | |
| 10 | Was a copy of the Form 990 provided to the organization's governing body before it was filed? All organizations must describe in Schedule O the process, if any, the organization uses to review the Form 990 | Yes | |
| 11 | Is there any officer, director or trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O | | No |

Section B. Policies

| | | | |
|-----|--|-----|----|
| | | Yes | No |
| 12a | Does the organization have a written conflict of interest policy? If "No", go to line 13 | Yes | |
| 12b | Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts? | Yes | |
| 12c | Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done | Yes | |
| 13 | Does the organization have a written whistleblower policy? | Yes | |
| 14 | Does the organization have a written document retention and destruction policy? | Yes | |
| 15 | Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision | | |
| 15a | The organization's CEO, Executive Director, or top management official? | Yes | |
| 15b | Other officers or key employees of the organization? | Yes | |
| | Describe the process in Schedule O | | |
| 16a | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? | Yes | |
| 16b | If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable Federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements? | Yes | |

Section C. Disclosure

17

List the States with which a copy of this Form 990 is required to be filed _____

18

Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c) (3)s only) available for public inspection. Indicate how you make these available. Check all that apply.
☐ own website ☐ another's website ☒ upon request

19

Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public. See Additional Data Table.

20

State the name, physical address, and telephone number of the person who possesses the books and records of the organization.
UNIVERSITY CONTROLLER
341 PINE TREE ROAD
ITHACA, NY 148502820
(607) 255-3581

Section A Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

☐ Check this box if the organization did not compensate any officer, director, trustee or key employee

[illegible]

Part VII

| (A) Name and Title | (B) Average hours per week | (C) Position (check all that apply) | | | | | | | (D) Reportable compensation from the organization (W- 2/1099MISC) | (E) Reportable compensation from related organizations (W- 2/1099- MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|-----------------------|--|---|-----------------------|---------|--------------|---------------------------------|--------|--|--|--|---|
| | | Individual Trustee or Director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | | |
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2 Total number of individuals (including those in 1a) who received more than \$100,000 in reportable compensation from the organization **2,839**

| | | Yes | No |
|---|--|-------|----|
| 3 | Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> | 3 Yes | |
| 4 | For any individual listed online 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> | 4 Yes | |
| 5 | Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> | 5 | No |

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization

| (A) Name and business address | (B) Description of services | (C) Compensation |
|---|--------------------------------|---------------------|
| LECHASE CONSTRUCTION 72 CASCADE ROCHESTER, NY 14614 | CONSTRUCTION SVCS | 10,477,630 |
| SENECA CONSTRUCTION LLC C O WELLIVER MCGUIRE INC 250N GENES MONTAUR FALLS, NY 14865 | CONSTRUCTION SVCS | 4,328,657 |
| Media Logic LLC 1 Park Pl ALBANY, NY 12205 | Various Web Services | 2,281,972 |
| Clark Companies 41155 St Highway 10 DELHI, NY 13753 | Design and construct | 2,122,300 |
| CKH Architects LLC 3050 Post Oak Blvd Suite 1000 HOUSTON, TX 77056 | Architects | 3,029,136 |

| | | |
|---|---|---|
| 2 | Total number of independent contractors (including those in 1) who received more than \$100,000 in compensation from the organization | 0 |
|---|---|---|

Part VIII

Statement of Revenue

| | | | (A) Total Revenue | (B) Related or Exempt Function Revenue | (C) Unrelated Business Revenue | (D) Revenue Excluded from Tax under IRC 512, 513, or 514 |
|---|---|---|--|--|---|--|
| Contributions, gifts, grants and other similar amounts | 1a | Federated campaigns . . . | 1a | | | |
| | b | Membership dues | 2,284,143 | | | |
| | | | 1b | | | |
| | c | Fundraising events | 1,130,547 | | | |
| | | | 1c | | | |
| | d | Related organizations . . . | 5,311,386 | | | |
| | | | 1d | | | |
| | e | Government grants (contributions) | 26,896,676 | | | |
| | | | 1e | | | |
| f | All other contributions, gifts, grants, and similar amounts not included above | 198,728,688 | | | | |
| | | 1f | | | | |
| g | Noncash contributions included in lines 1a-1f \$ 25,540,326 | | | | | |
| h | Total (Add lines 1a-1f) | 234,351,440 | | | | |
| Program Service Revenue | 2a | EDUCATION TUITION | 900,099 | 476,971,092 | 476,971,092 | |
| | | Business Code | | | | |
| | b | RESEARCH | 900,099 | 557,724,167 | 557,724,167 | |
| | c | MEDICAL SERVICES | 900,099 | 504,091,559 | 504,091,559 | |
| | d | LAND GRANT GOVT APPROP | 900,099 | 182,370,892 | 182,370,892 | |
| | e | AUXILIARY ENT -DORM/MEAL PLAN) | 900,099 | 145,501,657 | 145,501,657 | |
| | f | All other program service revenue | | 304,026,053 | 304,026,053 | |
| | g | Total. Add lines 2a-2f | | | | |
| | | \$ 2,170,685,420 | | | | |
| Other Revenue | 3 | Investment income (including dividends, interest other similar amounts) | | 67,863,008 | 10,492,012 | 57,370,996 |
| | | | | | | |
| | 4 | Income from investment of tax-exempt bond proceeds | | 934,936 | | 934,936 |
| | | | | | | |
| | 5 | Royalties | | 1,918,056 | | 1,918,056 |
| | | | | | | |
| | 6a | Gross Rents | (i) Real 72,522 (ii) Personal | | | |
| | b | Less rental expenses | 3,188 | | | |
| | c | Rental income or (loss) | 69,334 | | | |
| | d | Net rental income or (loss) | | 69,334 | | 69,334 |
| | | | | | | |
| | 7a | Gross amount from sales of assets other than inventory | (i) Securities 13,362,173,000 (ii) Other | | | |
| | b | Less cost or other basis and sales expenses | 14,165,386,657 | | | |
| | c | Gain or (loss) | -803,213,657 | | | |
| | d | Net gain or (loss) | | -803,203,657 | | -803,203,657 |
| | | | | | | |
| | 8a | Gross income from fundraising events (not including \$ 53,450 of contributions reported on line 1c) See Part IV, line 18 Attach Schedule G if total exceeds \$15,000 | a 1,130,347 | | | |
| | b | Less direct expenses | b 496,521 | | | |
| | c | Net income or (loss) from fundraising events | | -443,071 | -443,071 | |
| | | | | | | |
| | 9a | Gross income from gaming activities See part IV, line 19 Complete Schedule G if total exceeds \$15,000 | a | | | |
| | b | Less direct expenses | b | | | |
| | c | Net income or (loss) from gaming activities | | 0 | | |
| | | | | | | |
| | 10a | Gross sales of inventory, less returns and allowances | a 29,169,191 | | | |
| | b | Less cost of goods sold | b 19,907,917 | | | |
| | c | Net income or (loss) from sales of inventory | | 9,261,274 | 3,123,696 | 34,363 |
| | Miscellaneous Revenue | Business Code | | | | |
| 11a | OTHER SALES SERVICES | 900,099 | 329,972,399 | 329,191,327 | 781,072 | |
| b | | | | | | |
| c | | | | | | |
| d | All other revenue | | | | | |
| e | Total. Add lines 11a-11d | | | | | |
| | \$ 329,972,399 | | | | | |
| 12 | Total Revenue. Add lines 1h, 2g, 3, 4, 5, 6d, 7d, 8c, 9c, 10c, and 11e | | 2,011,409,139 | 2,502,557,372 | 11,307,447 | -736,807,120 |

Part IX Statement of Functional Expenses

| Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D). | | | | | |
|--|--|-----------------------|---------------------------------|--|-----------------------------|
| Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII. | | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
| 1 | Grants and other assistance to governments and organizations in the U S See Part IV, line 21 | 16,596,492 | 16,596,492 | | |
| 2 | Grants and other assistance to individuals in the U S See Part IV, line 22 | 26,964,000 | 26,964,000 | | |
| 3 | Grants and other assistance to governments, organizations and individuals outside the U S See Part IV, lines 15 and 16 | 3,138,995 | 3,138,995 | | |
| 4 | Benefits paid to or for members | 0 | | | |
| 5 | Compensation of current officers, directors, trustees, and key employees | 4,964,967 | 1,737,738 | 1,985,987 | 1,241,242 |
| 6 | Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) | 0 | | | |
| 7 | Other salaries and wages | 1,475,912,518 | 1,334,401,517 | | 23,438,000 |
| 8 | Pension plan contributions (include section 401(k) and section 403(b) employer contributions) | 86,959,000 | 72,485,177 | 12,005,899 | 2,467,924 |
| 9 | Other employee benefits | 151,856,801 | 136,671,121 | 12,148,544 | 3,037,136 |
| 10 | Payroll taxes | 118,470,199 | 105,934,464 | 10,417,724 | 2,118,011 |
| 11 | Fees for services (non-employees) | | | | |
| a | Management | 0 | | | |
| b | Legal | 571,598 | 571,598 | | |
| c | Accounting | 611,860 | 611,860 | | |
| d | Lobbying | 0 | | | |
| e | Professional fundraising See Part IV, line 17 | 170,417 | | | 170,417 |
| f | Investment management fees | 9,133,210 | | 9,133,210 | |
| g | Other | 83,229,187 | 83,229,187 | | |
| 12 | Advertising and promotion | 350,000 | 332,500 | | 17,500 |
| 13 | Office expenses | 140,157,578 | 127,543,396 | 11,212,606 | 1,401,576 |
| 14 | Information technology | 13,578,000 | 12,355,980 | 1,086,240 | 135,780 |
| 15 | Royalties | 1,200,000 | 1,200,000 | | |
| 16 | Occupancy | 187,607,000 | 170,191,302 | 15,008,558 | 2,407,140 |
| 17 | Travel | 43,504,000 | 38,402,680 | 3,480,320 | 1,621,000 |
| 18 | Payments of travel or entertainment expenses for any Federal, state or local public officials | 0 | | | |
| 19 | Conferences, conventions and meetings | 14,015,758 | 12,894,497 | 700,788 | 420,473 |
| 20 | Interest | 26,008,950 | 26,008,950 | | |
| 21 | Payments to affiliates | 0 | | | |
| 22 | Depreciation, depletion, and amortization | 177,286,022 | 161,330,280 | 14,182,882 | 1,772,860 |
| 23 | Insurance | 14,633,000 | 13,316,030 | 1,170,640 | 146,330 |
| 24 | Other expenses—Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below) | | | | |
| a | COMMUNICATION | 20,808,000 | 18,935,280 | 1,664,640 | 208,080 |
| b | REPAIRS & MAINT | 77,603,000 | 70,618,730 | 6,208,240 | 776,030 |
| c | LAB SUPPLIES, RES MATL, | | | | |
| d | MISC SUPPL | 70,078,789 | 70,078,789 | | |
| e | ALL OTHER EXPENSES | 17,422,653 | 11,942,548 | 4,455,782 | 1,024,323 |
| f | All other expenses | | | | |
| 25 | Total functional expenses. Add lines 1 through 24f | 2,782,831,994 | 2,517,493,111 | 222,935,061 | 42,403,822 |
| 26 | Joint Costs. Check <input type="checkbox"/> if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation | | | | |

Part X **Balance Sheet**

| | | (A) Beginning of year | | (B) End of year | | |
|---|---|---|---------------|--------------------|---------------|--------------------------|
| Assets | 1 Cash—non-interest-bearing | 882,000 | 1 | 1,930,169 | | |
| | 2 Savings and temporary cash investments | 256,251,000 | 2 | 216,778,831 | | |
| | 3 Pledges and grants receivable, net | 722,645,000 | 3 | 425,375,000 | | |
| | 4 Accounts receivable, net | 221,063,000 | 4 | 314,494,000 | | |
| | 5 Receivables from current and former officers, directors, trustees, key employees or other related parties <i>Complete Part II of Schedule L</i> | | 5 | | | |
| | 6 Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) <i>Complete Part II of Schedule L</i> | | 6 | | | |
| | 7 Notes and loans receivable, net | 72,284,000 | 7 | 70,535,000 | | |
| | 8 Inventories for sale or use | 9,248,123 | 8 | 9,357,165 | | |
| | 9 Prepaid expenses and deferred charges | 53,580,877 | 9 | 42,571,835 | | |
| | 10a Land, buildings, and equipment—cost basis | <table><tr><td>10a</td><td>4,604,448,000</td></tr></table> | 10a | 4,604,448,000 | | |
| | 10a | 4,604,448,000 | | | | |
| | b Less accumulated depreciation <i>Complete Part VI of Schedule D</i> | <table><tr><td>10b</td><td>1,757,598,000</td></tr></table> | 10b | 1,757,598,000 | 2,616,230,000 | 10c 2,846,850,000 |
| | 10b | 1,757,598,000 | | | | |
| | 11 Investments—publicly traded securities | 2,008,148,980 | 11 | 1,357,153,000 | | |
| | 12 Investments—other securities <i>See Part IV, line 11 Complete Part VII of Schedule D</i> | 4,541,139,020 | 12 | 3,716,701,000 | | |
| | 13 Investments—program-related <i>See Part IV, line 11 Complete Part VIII of Schedule D</i> | | 13 | | | |
| 14 Intangible assets | | 14 | | | | |
| 15 Other assets <i>See Part IV, line 11 Complete Part IX of Schedule D</i> | 105,904,000 | 15 | 91,514,000 | | | |
| 16 Total assets. <i>Add lines 1 through 15 (must equal line 34)</i> | 10,607,376,000 | 16 | 9,093,260,000 | | | |
| Liabilities | 17 Accounts payable and accrued expenses | 306,654,000 | 17 | 370,099,000 | | |
| | 18 Grants payable | 47,146,000 | 18 | 46,536,000 | | |
| | 19 Deferred revenue | 299,283,000 | 19 | 242,409,000 | | |
| | 20 Tax-exempt bond liabilities | 918,615,000 | 20 | 1,060,220,000 | | |
| | 21 Escrow account liability <i>Complete Part IV of Schedule D</i> | | 21 | | | |
| | 22 Payable to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons <i>Complete Part II of Schedule L</i> | | 22 | | | |
| | 23 Secured mortgages and notes payable to unrelated third parties | 80,555,000 | 23 | 645,158,000 | | |
| | 24 Unsecured notes and loans payable | | 24 | | | |
| | 25 Other liabilities <i>Complete Part X of Schedule D</i> | 916,468,000 | 25 | 656,328,000 | | |
| | 26 Total liabilities. <i>Add lines 17 through 25</i> | 2,568,721,000 | 26 | 3,020,750,000 | | |
| Net Assets or Fund Balances | Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34. | | | | | |
| | 27 Unrestricted net assets | 5,129,765,000 | 27 | 3,186,340,000 | | |
| | 28 Temporarily restricted net assets | 919,960,000 | 28 | 856,515,000 | | |
| | 29 Permanently restricted net assets | 1,988,930,000 | 29 | 2,029,655,000 | | |
| | Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34. | | | | | |
| | 30 Capital stock or trust principal, or current funds | | 30 | | | |
| | 31 Paid-in or capital surplus, or land, building or equipment fund | | 31 | | | |
| | 32 Retained earnings, endowment, accumulated income, or other funds | | 32 | | | |
| | 33 Total net assets or fund balances | 8,038,655,000 | 33 | 6,072,510,000 | | |
| | 34 Total liabilities and net assets/fund balances | 10,607,376,000 | 34 | 9,093,260,000 | | |

Part XI **Financial Statements and Reporting**

| | | Yes | No |
|-----------|---|-----------|-----|
| 1 | Accounting method used to prepare the Form 990 <input type="checkbox"/> cash <input checked="" type="checkbox"/> accrual <input type="checkbox"/> other | | |
| 2a | Were the organization's financial statements compiled or reviewed by an independent accountant? | 2a | No |
| b | Were the organization's financial statements audited by an independent accountant? | 2b | No |
| c | If "Yes" to lines 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? | 2c | |
| 3a | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? | 3a | Yes |
| b | If "Yes," did the organization undergo the required audit or audits? | 3b | Yes |

SCHEDULE A

(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

To be completed by all section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts.
Attach to Form 990 or Form 990-EZ. See separate instructions.

OMB No 1545-0047

2008

Open to Public Inspection

| | |
|--|--|
| Name of the organization CORNELL UNIVERSITY | Employer identification number 15-0532082 |
|--|--|

Part I Reason for Public Charity Status (to be completed by all organizations) (See Instructions)

The organization is not a private foundation because it is (Please check only **one** organization)

| | | |
|----|-------------------------------------|--|
| 1 | <input type="checkbox"/> | A church, convention of churches, or association of churches described in Section 170(b)(1)(A)(i) . |
| 2 | <input checked="" type="checkbox"/> | A school described in Section 170(b)(1)(A)(ii) . (Attach Schedule E) |
| 3 | <input type="checkbox"/> | A hospital or a cooperative hospital service organization described in Section 170(b)(1)(A)(iii) . (Attach Schedule H) |
| 4 | <input type="checkbox"/> | A medical research organization operated in conjunction with a hospital described in Section 170(b)(1)(A)(iii) . Enter the hospital's name, city, and state |
| 5 | <input type="checkbox"/> | An organization operated for the benefit of a college or university owned or operated by a governmental unit described in Section 170(b)(1)(A)(iv) . (Complete Part II) |
| 6 | <input type="checkbox"/> | A federal, state, or local government or governmental unit described in Section 170(b)(1)(A)(v) . |
| 7 | <input type="checkbox"/> | An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in Section 170(b)(1)(A)(vi) (Complete Part II) |
| 8 | <input type="checkbox"/> | A community trust described in Section 170(b)(1)(A)(vi) (Complete Part II) |
| 9 | <input type="checkbox"/> | An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See Section 509(a)(2) . (Complete Part III) |
| 10 | <input type="checkbox"/> | An organization organized and operated exclusively to test for public safety See Section 509(a)(4) . (See instructions) |
| 11 | <input type="checkbox"/> | An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See Section 509(a)(3) . Check the box that describes the type of supporting organization and complete lines 11e through 11h a <input type="checkbox"/> Type I b <input type="checkbox"/> Type II c <input type="checkbox"/> Type III - Functionally Integrated d <input type="checkbox"/> Type III - Other |
| e | <input type="checkbox"/> | By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) |
| f | <input type="checkbox"/> | If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box |
| g | <input type="checkbox"/> | Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons? (i) a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization? (ii) a family member of a person described in (i) above? (iii) a 35% controlled entity of a person described in (i) or (ii) above? |
| h | <input type="checkbox"/> | Provide the following information about the organizations the organization supports |

| | Yes | No |
|----------|-----|----|
| 11g(i) | | No |
| 11g(ii) | | No |
| 11g(iii) | | No |

| (i) Name of Supported Organization | (ii) EIN | (iii) Type of organization (described on lines 1- 9 above or IRC section (See Instructions)) | (iv) Is the organization in col (i) listed in your governing document? | | (v) Did you notify the organization in col (i) of your support? | | (vi) Is the organization in col (i) organized in the U S ? | | (vii) Amount of support? |
|------------------------------------|----------|--|--|----|---|----|--|----|--------------------------|
| | | | Yes | No | Yes | No | Yes | No | |
| | | | | | | | | | |
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| Total | | | | | | | | | |

Part II

Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

| Public Support | | | | | | |
|---|----------|----------|----------|----------|----------|-----------|
| Calendar year (or fiscal year beginning in) | (a) 2004 | (b) 2005 | (c) 2006 | (d) 2007 | (e) 2008 | (f) Total |
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ") | | | | | | |
| 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 3 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 4 Total. Add line 1-3 | | | | | | |
| 5 The portion of total contribution by each person (other than a government unit or publicly supported organization) included on line 1 that exceed 2% of the amount shown on line 11, column (f) | | | | | | |
| 6 Public Support subtract line 5 from line 4 | | | | | | |

| Total Support | | | | | | |
|--|----------|----------|----------|----------|----------|--------------------------|
| Calendar year (or fiscal year beginning in) | (a) 2004 | (b) 2005 | (c) 2006 | (d) 2007 | (e) 2008 | (f) Total |
| 7 Amounts from line 4 | | | | | | |
| 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | | | | |
| 9 Net income from unrelated business activities, whether or not the business is regularly carried on | | | | | | |
| 10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV) | | | | | | |
| 11 Total Support (Add lines 7 through 10) | | | | | | |
| 12 Gross receipts from related activities, etc (See instructions) | | | | | 12 | |
| 13 First Five Years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here | | | | | | <input type="checkbox"/> |

| Computation of Public Support Percentage | | |
|--|----|--------------------------|
| 14 Public Support Percentage for 2008 (line 6 column (f) divided by line 11 column (f)) | 14 | |
| 15 Public Support Percentage for 2007 Schedule A, Part IV-A, line 26f | 15 | |
| 16a 33 1/3% Test - 2008. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization | | <input type="checkbox"/> |
| b 33 1/3% Test - 2007. If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization | | <input type="checkbox"/> |
| 17a 10% Facts and Circumstances Test - 2008. If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization | | <input type="checkbox"/> |
| b 10% Facts and Circumstances Test - 2007. If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization | | <input type="checkbox"/> |
| 18 Private Foundation. If the organization did not check the box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions | | <input type="checkbox"/> |

Part IIISupport Schedule for Organizations Described in IRC 509(a)(2)
(Complete only if you checked the box on line 9 of Part I.)

| Section A. Public Support | | | | | | |
|---|----------|----------|----------|----------|----------|-----------|
| Calendar year (or fiscal year beginning in) | (a) 2004 | (b) 2005 | (c) 2006 | (d) 2007 | (e) 2008 | (f) Total |
| 1Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ") | | | | | | |
| 2Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | | | | | | |
| 3Gross receipts from activities that are not an unrelated trade or business under section 513 | | | | | | |
| 4Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 5The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 6Total Add lines 1-5 | | | | | | |
| 7aAmounts included on lines 1, 2, and 3 received from disqualified persons | | | | | | |
| bAmounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of 1% of the total of lines 9, 10c, 11, and 12 for the year or \$5,000 | | | | | | |
| cTotal of lines 7a and 7b | | | | | | |
| 8Public Support (Subtract line 7c from line 6) | | | | | | |

| Total Support | | | | | | |
|--|----------|----------|----------|----------|----------|-----------|
| Calendar year (or fiscal year beginning in) | (a) 2004 | (b) 2005 | (c) 2006 | (d) 2007 | (e) 2008 | (f) Total |
| 9Amounts from line 6 | | | | | | |
| 10aGross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | | | | |
| bUnrelated business taxable income (less section 511 taxes) from businesses acquired after 30 June, 1975 | | | | | | |
| cAdd lines 10a and 10b | | | | | | |
| 11Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on | | | | | | |
| 12Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV) | | | | | | |
| 13Total Support (Add lines 9, 10c, 11 and 12) | | | | | | |
| 14First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here | | | | | | |

| Computation of Public Support Percentage | | | |
|--|--|----|--|
| 15 | Public Support Percentage for 2008 (line 8 column (f) divided by line 13 column (f)) | 15 | |
| 16 | Public Support Percentage for 2007 Schedule A, Part IV -A, line 27g | 16 | |

| Computation of Investment Income Percentage | | | |
|---|--|----|--|
| 17 | Investment Income Percentage for 2008 (line 10c column (f) divided by line 13 column (f)) | 17 | |
| 18 | Investment Income Percentage from 2007 Schedule A, Part IV -A, line 27h | 18 | |
| 19a | 33 1/3% Tests - 2008. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization | | |
| b | 33 1/3% Tests - 2007. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization | | |
| 20 | Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions | | |

Part IV

Supplemental Information. Complete this part to provide the information required by Part II, line 10; Part II, line 17a or 17b, or Part III, line 12. Provide and any other additional information. (see instructions)

| |
|------------------------------|
| Facts and Circumstances Test |
| |

SCHEDULE C

(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

To be completed by organizations described below. Attach to Form 990 or Form 990-EZ

OMB No 1545-0047

2008

Open to Public Inspection

If the organization answered “Yes,” to Form 990, Part IV, Line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities)

- Section 501(c)(3) organizations complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations complete Part I-A only

If the organization answered “Yes,” to Form 990, Part IV, Line 4, or Form 990EZ, Part VI, line 47 (Lobbying Activities)

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered “Yes,” to Form 990, Part IV, Line 5 (Proxy Tax)

- Section 501(c)(4), (5), or (6) organizations complete Part III

| | |
|--|--|
| Name of the organization CORNELL UNIVERSITY | Employer identification number 15-0532082 |
|--|--|

Part I-A To be completed by all organizations exempt under section 501(c) and section 527 organizations. (See the instructions for Schedule C for details.)

- 1

Provide a description of the organization's direct and indirect political campaign activities in Part IV
- 2

Political expenditures

\$
- 3

Volunteer hours

Part I-B To be completed by all organizations exempt under section 501(c)(3). (See the instructions for Schedule C for details.)

- 1

Enter the amount of any excise tax incurred by the organization under section 4955

\$
- 2

Enter the amount of any excise tax incurred by organization managers under section 4955

\$
- 3

If the organization incurred in a section 4955 tax, did it file Form 4720 for this year?

☐ Yes

☐ No
- 4a

Was a correction made?

☐ Yes

☐ No
- b

If "Yes," describe in Part IV

Part I-C To be completed by all organizations exempt under section 501(c), except section 501(c)(3). (See the instructions for Schedule C for details.)

- 1

Enter the amount directly expended by the filing organization for section 527 exempt function activities

\$
- 2

Enter the amount of the filing organization's internal funds contributed to other organizations for section 527 exempt funtion activities

\$
- 3

Total of direct and indirect exempt function expenditures Add lines 1 and 2 and enter here and on Form 1120-POL, line 17b

\$
- 4

Did the filing organization file **Form 1120-POL** for this year?

☐ Yes

☐ No
- 5

State the names, addresses and Employer Identification Number (EIN) of all section 527 political organizations to which payments were made Enter the amount paid and indicate if the amount was paid from the filing organization's own internal funds or were political contributions received and promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

| (a) Name | (b) Address | (c) EIN | (d) Amount paid from filing organization's internal funds. If none, enter -0- | (e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0- |
|----------|-------------|---------|---|---|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Part II-A

To be completed by organizations exempt under section 501(c)(3) that filed Form 5768 (election under section 501(h)). (See the instructions for Schedule C for details.)

A

Check

☐

if the filing organization belongs to an affiliated group

B

Check

☐

if the filing organization checked box A and "limited control" provisions apply

| Limits on Lobbying Expenditures— (The term "expenditures" means amounts paid or incurred.) | (a) Filing Organization's Totals | (b) Affiliated Group Totals |
|--|--|---|
| 1a Total lobbying expenditures to influence public opinion (grass roots lobbying) | | |
| b Total lobbying expenditures to influence a legislative body (direct lobbying) | | |
| c Total lobbying expenditures (add lines 1a and 1b) | | |
| d Other exempt purpose expenditures | | |
| e Total exempt purpose expenditures (add lines 1c and 1d) | | |
| f Lobbying nontaxable amount Enter the amount from the following table in both columns— If the amount on line 1e, column (a) or (b) is: Not over \$500,000 Over \$500,000 but not over \$1,000,000 Over \$1,000,000 but not over \$1,500,000 Over \$1,500,000 but not over \$17,000,000 Over \$17,000,000 The lobbying nontaxable amount is: 20% of the amount on line 1e \$100,000 plus 15% of the excess over \$500,000 \$175,000 plus 10% of the excess over \$1,000,000 \$225,000 plus 5% of the excess over \$1,500,000 \$1,000,000 | | |
| g Grassroots nontaxable amount (enter 25% of line 1f) | | |
| h Subtract line 1g from line 1a Enter -0- if line g is more than line a | | |
| i Subtract line 1f from line 1c Enter -0- if line f is more than line c | | |
| j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 1a through 1f of the instructions.)

| Lobbying Expenditures During 4-Year Averaging Period | | | | | |
|---|----------|----------|----------|----------|-----------|
| Calendar year (or fiscal year beginning in) | (a) 2005 | (b) 2006 | (c) 2007 | (d) 2008 | (e) Total |
| 2a Lobbying non-taxable amount | | | | | |
| b Lobbying ceiling amount (150% of line 2a, column(e)) | | | | | |
| c Total lobbying expenditures | | | | | |
| d Grassroots non-taxable amount | | | | | |
| e Grassroots ceiling amount (150% of line d, column (e)) | | | | | |
| f Grassroots lobbying expenditures | | | | | |

Part II-B

To be completed by organizations exempt under section 501(c)(3) that have NOT filed Form 5768 (election under section 501(h)). (See the instructions for Schedule C for details.)

| | | (a) | | (b) |
|----|--|-----|----|---------|
| | | Yes | No | Amount |
| 1 | During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of | | | |
| a | Volunteers? | | No | |
| b | Paid staff or management (include compensation in expenses reported on lines c through i)? | Yes | | |
| c | Media advertisements? | | No | |
| d | Mailings to members, legislators, or the public? | | No | |
| e | Publications, or published or broadcast statements? | | No | |
| f | Grants to other organizations for lobbying purposes? | | No | |
| g | Direct contact with legislators, their staffs, government officials, or a legislative body? | Yes | | 388,835 |
| h | Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means? | | No | |
| i | Other activities If "Yes," describe in Part IV | Yes | | |
| j | Total lines 1c through 1i | | | 388,835 |
| 2a | Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? | | No | |
| b | If "Yes" enter the amount of any tax incurred under section 4912 | | | |
| c | If "Yes" enter the amount of any tax incurred by organization managers under section 4912 | | | |
| d | If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year? | | | |

Part III-A

To be completed by all organizations exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6). (See the instructions for Schedule C for details.)

| | | Yes | No |
|---|--|-----|----|
| 1 | Were substantially all (90% or more) dues received nondeductible by members? | 1 | |
| 2 | Did the organization make only in-house lobbying expenditures of \$2,000 or less? | 2 | |
| 3 | Did the organization agree to carryover lobbying and political expenditures from the prior year? | 3 | |

Part III-B

To be completed by all organizations exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, questions 1 and 2 are answered "No" OR if Part III-A, question 3 is answered "Yes." (See the instructions for Schedule C for details.)

| | | |
|---|--|-------|
| 1 | Dues, assessments and similar amounts from members | 1 \$ |
| 2 | Section 162(e) non-deductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). | |
| a | Current Year | 2a \$ |
| b | Carryover from last year | 2b \$ |
| c | Total | 2c \$ |
| 3 | Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues | 3 \$ |
| 4 | If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year? | 4 \$ |
| 5 | Taxable amount of lobbying and political expenditures (line 2c total minus 3 and 4) | 5 \$ |

Part IV

Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i. Also, complete this part for any additional information.

| Identifier | Return Reference | Explanation |
|--------------------|------------------|---|
| Part 1-A-Line 1 | | The University does not participate directly or indirectly in any political campaign activities |
| Part II-B-Line 1 i | | The University, in addition to meeting with government officials to present positions important for higher education, is a member of key organizations that also "lobby" on behalf of higher education (e g NACUBO Nat'l Assn of College Business Officers, COGR Council on Govt Relations-Assn of Research Universities, etc) |
| | | |
| | | |
| | | |
| | | |

Supplemental Information

| Identifier | Return Reference | Explanation |
|------------|------------------|-------------|
|------------|------------------|-------------|

SCHEDULE D
(Form 990)

Supplemental Financial Statements

OMB No 1545-0047

2008

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

► Attach to Form 990. To be completed by organizations that answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.

| | |
|--|--|
| Name of the organization CORNELL UNIVERSITY | Employer identification number 15-0532082 |
|--|--|

Part I

Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

| | | |
|---|--|------------------------------|
| | (a) Donor advised funds | (b) Funds and other accounts |
| 1 | Total number at end of year | |
| 2 | Aggregate Contributions to (during year) | |
| 3 | Aggregate Grants from (during year) | |
| 4 | Aggregate value at end of year | |
| 5 | Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? <div><input type="checkbox"/> Yes<input type="checkbox"/> No</div> | |
| 6 | Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor or other impermissible private benefit? <div><input type="checkbox"/> Yes<input type="checkbox"/> No</div> | |

Part II

Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or pleasure)☐ Preservation of an historically importantly land area☐ Protection of natural habitat☐ Preservation of certified historic structure☐ Preservation of open space

2

Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

| | Held at the End of the Year |
|---|--|
| a | Total number of conservation easements |
| b | Total acreage restricted by conservation easements |
| c | Number of conservation easements on a certified historic structure included in (a) |
| d | Number of conservation easements included in (c) acquired after 8/17/06 |

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ►

4

Number of states where property subject to conservation easement is located ►

5

Does the organization have a written policy regarding the periodic monitoring, inspection, violations, and enforcement of the conservation easements it holds?

☐ Yes☐ No

6

Staff or volunteer hours devoted to monitoring, inspecting and enforcing easements during the year ►

7

Amount of expenses incurred in monitoring, inspecting, and enforcing easements during the year ► \$

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)?

☐ Yes☐ No

9

In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization’s financial statements that describes the organization’s accounting for conservation easements

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i)

Revenues included in Form 990, Part VIII, line 1

► \$9,069,560

(ii)

Assets included in Form 990, Part X

► \$68,558,924

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a

Revenues included in Form 990, Part VIII, line 1

► \$0

b

Assets included in Form 990, Part X

► \$0

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☒

Public exhibition

b

☒

Scholarly research

c

☒

Preservation for future generations

d

☒

Loan or exchange programs

e

☐

Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☒ No

Part IV

Trust, Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain why in Part XIV and complete the following table

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

| | |
|----|--------|
| | Amount |
| 1c | |
| 1d | |
| 1e | |
| 1f | |

2a

Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIV

Part V

Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

| | (a)Current Year | (b)Prior Year | (c)Two Years Back | (d)Three Years Back | (e)Four Years Back |
|----|--|----------------|-------------------|---------------------|--------------------|
| 1a | Beginning of year balance | 5,248,009,000 | | | |
| b | Contributions | 249,810,000 | | | |
| c | Investment earnings or losses | -1,369,893,000 | | | |
| d | Grants or scholarships | -30,545,000 | | | |
| e | Other expenditures for facilities and programs | -150,170,000 | | | |
| f | Administrative expenses | -46,142,000 | | | |
| g | End of year balance | 4,354,783,000 | | | |

2

Provide the estimated percentage of the year end balance held as

a

Board designated or quasi-endowment ▶ 48 %

b

Permanent endowment ▶ 44 %

c

Term endowment ▶ 8 %

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i)

unrelated organizations

(ii)

related organizations

3a(i)

No

3a(ii)

No

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

3b

4

Describe in Part XIV the intended uses of the organization's endowment funds

Part VI

Investments—Land, Buildings, and Equipment. See Form 990, Part X, line 10.

| Description of investment | (a) Cost or other basis (investment) | (b)Cost or other basis (other) | (c) Depreciation | (d) Book value |
|--|--------------------------------------|--------------------------------|------------------|----------------|
| 1a Land | | 22,855,964 | | 22,855,964 |
| b Buildings | | 3,184,961,036 | 1,109,577,557 | 2,075,383,479 |
| c Leasehold improvements | | | | |
| d Equipment | | 972,383,000 | 648,020,443 | 324,362,557 |
| e Other | | 424,248,000 | | 424,248,000 |
| Total. Add lines 1a-1e (Column (d) should equal Form 990, Part X, column (B), line 10(c).) | | | | 2,846,850,000 |

| (a) Description of security or category (including name of security) | (b) Book value | (c) Method of valuation Cost or end-of-year market value |
|--|----------------|---|
| Financial derivatives and other financial products | 3,716,701,000 | F |
| Closely-held equity interests | | |
| Other | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total. (Column (b) should equal Form 990, Part X, col (B) line 12) ▶ | 3,716,701,000 | |

| (a) Description of investment type | (b) Book value | (c) Method of valuation Cost or end-of-year market value |
|--|----------------|---|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total. (Column (b) should equal Form 990, Part X, col (B) line 13) ▶ | | |

| (a) Description | (b) Book value |
|--|----------------|
| FUNDS HELD IN TRUST BY OTHERS | 91,514,000 |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| Total. (Column (b) should equal Form 990, Part X, col.(B) line 15.) | 91,514,000 |

| (a) Description of Liability | (b) Amount |
|--|-------------|
| Federal Income Taxes | |
| PAYABLE UNDER SECURITIES AGMT | 27,408,000 |
| OBL UNDER SPLIT INTEREST | 105,476,000 |
| DEFERRED BENEFITS | 429,792,000 |
| FUNDS HELD IN TRUST FOR OTHERS | 93,652,000 |
| | |
| | |
| | |
| | |
| | |
| | |
| Total. (Column (b) should equal Form 990, Part X, col (B) line 25) | 656,328,000 |

Schedule D (Form 990) 2008

Part XI

Reconciliation of Change in Net Assets from Form 990 to Financial Statements

| | | | |
|----|---|----|----------------|
| 1 | Total revenue (Form 990, Part VIII, column (A), line 12) | 1 | 2,011,409,139 |
| 2 | Total expenses (Form 990, Part IX, column (A), line 25) | 2 | 2,782,831,994 |
| 3 | Excess or (deficit) for the year Subtract line 2 from line 1 | 3 | -771,422,855 |
| 4 | Net unrealized gains (losses) on investments | 4 | -1,079,119,000 |
| 5 | Donated services and use of facilities | 5 | |
| 6 | Investment expenses | 6 | |
| 7 | Prior period adjustments | 7 | |
| 8 | Other (Describe in Part XIV) | 8 | -115,603,748 |
| 9 | Total adjustments (net) Add lines 4 - 8 | 9 | -1,194,722,748 |
| 10 | Excess or (deficit) for the year per financial statements Combine lines 3 and 9 | 10 | -1,966,145,603 |

Part XII

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

| | | | |
|---|--|----|---------------|
| 1 | Total revenue, gains, and other support per audited financial statements | 1 | 982,259,507 |
| 2 | Amounts included on line 1 but not on Form 990, Part VIII, line 12 | | |
| a | Net unrealized gains on investments | 2a | |
| b | Donated services and use of facilities | 2b | |
| c | Recoveries of prior year grants | 2c | |
| d | Other (Describe in Part XIV) | 2d | 49,969,368 |
| e | Add lines 2a through 2d | 2e | 49,969,368 |
| 3 | Subtract line 2e from line 1 | 3 | 932,290,139 |
| 4 | Amounts included on Form 990, Part VIII, line 12, but not on line 1 | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | |
| b | Other (Describe in Part XIV) | 4b | 1,079,119,000 |
| c | Add lines 4a and 4b | 4c | 1,079,119,000 |
| 5 | Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12) | 5 | 2,011,409,139 |

Part XIII

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

| | | | |
|---|---|----|---------------|
| 1 | Total expenses and losses per audited financial statements | 1 | 2,948,405,164 |
| 2 | Amounts included on line 1 but not on Form 990, Part IX, line 25 | | |
| a | Donated services and use of facilities | 2a | |
| b | Prior year adjustments | 2b | |
| c | Losses reported on Form 990, Part IX, line 25 | 2c | |
| d | Other (Describe in Part XIV) | 2d | 165,573,170 |
| e | Add lines 2a through 2d | 2e | 165,573,170 |
| 3 | Subtract line 2e from line 1 | 3 | 2,782,831,994 |
| 4 | Amounts included on Form 990, Part IX, line 25, but not on line 1: | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | |
| b | Other (Describe in Part XIV) | 4b | |
| c | Add lines 4a and 4b | 4c | |
| 5 | Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18) | 5 | 2,782,831,994 |

Part XIV

Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part XIV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b

| Identifier | Return Reference | Explanation |
|------------|------------------|-------------|
|------------|------------------|-------------|

SCHEDULE E
(Form 990 or 990-EZ)

Schools

OMB No 1545-0047

2008

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Attach to Form 990 or Form 990-EZ. To be completed by organizations that answer "Yes" to Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.

Name of the organization
CORNELL UNIVERSITY

Employer identification number
15-0532082

| | | | |
|----|---|-----|----|
| | | YES | NO |
| 1 | Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body? | Yes | |
| 2 | Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships? | Yes | |
| 3 | Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain The University was organized in the 1860's, non-discrimination based on religion is included in its Charter From its earliest history, the University admitted a diverse student body in terms of race, gender, religion, etc The University's statistics, whether about its student population or employee base, demonstrate the strongest commitment to non-discrimination See additional information at http://www.cornell.edu/diversity/ | Yes | |
| 4 | Does the organization maintain the following? a Records indicating the racial composition of the student body, faculty, and administrative staff? b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis? c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships? d Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain (If you need more space, attach a separate statement) | Yes | |
| | | | |
| | | | |
| 5 | Does the organization discriminate by race in any way with respect to a Students' rights or privileges? b Admissions policies? c Employment of faculty or administrative staff? d Scholarships or other financial assistance? e Educational policies? f Use of facilities? g Athletic programs? h Other extracurricular activities? If you answered "Yes" to any of the above, please explain (If you need more space, attach a separate statement) | | No |
| | | | No |
| | | | No |
| | | | No |
| | | | No |
| | | | No |
| | | | No |
| | | | No |
| 6a | Does the organization receive any financial aid or assistance from a governmental agency? | Yes | |
| 6b | Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 6a or b, please explain using an attached statement | | No |
| 7 | Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an explanation | Yes | |

2008

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization
CORNELL UNIVERSITY

Employer identification number

15-0532082

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 For grantmakers. Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance ☒ **Yes** ☐ **No**

2 For grant makers. Describe in Part IV the organization's procedures for monitoring the use of grant funds outside the United States

3 Activities per Region (Use Schedule F-1 (Form 990) if additional space is needed)

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures in region |
|--|-------------------------------------|---|--|--|----------------------------------|
| Central America and the Caribbean | | 3 | Program Services | Med, Serv & Trng | 359,323 |
| East Asia and the Pacific | | 2 | Program Services | Cornell Abroad Ed | 585,359 |
| Europe (Including Iceland and Greenland) | 6 | 32 | Program Services | Education | 2,966,812 |
| Middle East and North Africa | 1 | 273 | Program Services | Education | 78,583,479 |
| South America | | 1 | Program Services | Research | 113,945 |
| South Asia | 1 | 10 | Program Services | Cornell Abroad Ed | 179,102 |
| Sub-Saharan Africa | | 5 | Program Services | Education & Res | 441,167 |
| | | | | | |
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| Totals ► | 8 | 326 | | | 83,229,187 |

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Check this box if no one recipient received more than \$5,000 ☐ ☐
Use Schedule F-1 if additional space is needed.

[illegible]

2 Enter total number of organizations that are recognized as charities by the foreign country or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter 23

3 Enter total number of other organizations or entities 0

Part IIIGrants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Use Schedule F-1 (Form 990) if additional space is needed.

| (a) Type of grant or assistance | (b) Region | (c) Number of recipients | (d) Amount of cash grant | (e) Manner of cash disbursement | (f) Amount of non-cash assistance | (g) Description of non-cash assistance | (h) Method of valuation (book, FMV, appraisal, other) |
|---------------------------------|--------------------------|--------------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| Travel Grant Graduate Students | Cent America/Caribbean | 5 | 5,215 | check | | air tickets | cost |
| Travel Grant Graduate Students | East Asia/Pacific | 25 | 26,075 | check | | air tickets | cost |
| Travel Grant Graduate Students | Europe/Iceland/Greenland | 20 | 20,860 | check | | air tickets | cost |
| Travel Grant Graduate Students | Middle East/North Africa | 20 | 20,860 | check | | air tickets | cost |
| Travel Grant Graduate Students | North America | 6 | 6,258 | check | | air tickets | cost |
| Travel Grant Graduate Students | Russia | 1 | 1,043 | check | | air tickets | cost |
| Travel Grant Graduate Students | South America | 14 | 14,602 | CHECK | | air tickets | cost |
| Travel Grant Graduate Students | South Asia | 9 | 9,387 | check | | air tickets | cost |
| Travel Grant Graduate Students | Sub-Saharan Africa | 16 | 16,700 | check | | air tickets | cost |
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Complete this part to provide the information required in Part I, line 2, and any other additional information.

Schedule F (Form 990) 2008

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding
Fundraising or Gaming Activities

OMB No 1545-0047

2008

Open to Public
Inspection

▶ Attach to Form 990 or Form 990-EZ. Must be completed by organizations that answer "Yes" to Form 990, Part IV, lines 17, 18, or 19, and by organizations that enter more than \$15,000 on Form 990-EZ, line 6a.

Name of the organization
CORNELL UNIVERSITY

Employer identification number

15-0532082

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17.

1

Indicate whether the organization raised funds through any of the following activities. Check all that apply.

a

☒

Mail solicitations

b

☐

Email solicitations

c

☒

Phone solicitations

d

☒

In-person solicitations

e

☒

Solicitation of non-government grants

f

☒

Solicitation of government grants

g

☒

Special fundraising events

2a

Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising activities?

☒ Yes ☐ No

b

If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization. Form 990-EZ filers are not required to complete this table.

| (i) Name of individual or entity (fundraiser) | (ii) Activity | (iii) Did fundraiser have custody or control of contributions? | | (iv) Gross receipts from activity | (v) Amount paid to (or retained by) fundraiser listed in col (i) | (vi) Amount paid to (or retained by) organization |
|---|---------------|--|----|-----------------------------------|--|---|
| | | Yes | No | | | |
| Grenzeback Glier and Associates | Consulting | | No | | 135,417 | |
| Grace Under Pressure dba Buckley | Coordina tion | | No | | 35,000 | |
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| Total | | | | ▶ | | |

3

List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing.

NY

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than \$5,000.

| Revenue | | | (a) Event #1 | (b) Event #2 | (c) Other Events | (d) Total Events |
|-----------------|---|--|--------------|--------------|------------------|-------------------------------|
| | | | dinner/dance | | 0 | (Add col (a) through col (c)) |
| | | | (event type) | (event type) | (total number) | |
| | 1 | Gross receipts | 1,183,797 | | | 1,183,797 |
| | 2 | Less Charitable contributions | 1,130,347 | | | 1,130,347 |
| 3 | Gross revenue (line 1 minus line 2) | | 53,450 | | | 53,450 |
| Direct Expenses | 4 | Cash Prizes | | | | |
| | 5 | Non-cash Prizes | | | | |
| | 6 | Rent/Facility costs | 24,522 | | | 24,522 |
| | 7 | Other direct expenses | 471,999 | | | 471,999 |
| | 8 | Direct expense summary Add lines 4 through 7 in column (d) ▶ | | | | 496,521 |
| | 9 | Net income summary Combine lines 3 and 8 in column (d). ▶ | | | | -443,071 |

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

| Revenue | | | (a) Bingo | (b) Pull tabs/Instant bingo/progressive bingo | (c) Other gaming | (d) Total gaming (Add col (a) through col (c)) |
|-----------------|-------------------------|---|---|---|---|--|
| | | | | | | |
| 1 | Gross revenue | | | | | |
| Direct Expenses | 2 | Cash prizes | | | | |
| | 3 | Non-cash prizes | | | | |
| | 4 | Rent/facility costs | | | | |
| | 5 | Other direct expenses | | | | |
| | 6 | Volunteer labor | <div><input type="checkbox"/> Yes _____ % <input type="checkbox"/> No</div> | <div><input type="checkbox"/> Yes _____ % <input type="checkbox"/> No</div> | <div><input type="checkbox"/> Yes _____ % <input type="checkbox"/> No</div> | |
| | 7 | Direct expense summary Add lines 2 through 5 in column (d) ▶ | | | | |
| | 8 | Net gaming income summary Combine lines 1 and 7 in column (d) ▶ | | | | |

| | | | |
|-----|---|-----|----|
| | | Yes | No |
| 9 | Enter the state(s) in which the organization operates gaming activities _____ | | |
| a | Is the organization licensed to operate gaming activities in each of these states? | 9a | |
| b | If "No," Explain _____ _____ | | |
| 10a | Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? | 10a | |
| b | If "Yes," Explain _____ _____ | | |
| 11 | Does the organization operate gaming activities with nonmembers? | 11 | |
| 12 | Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? | 12 | |

| | | Yes | No |
|---|--|------------|----|
| 13 | Indicate the percentage of gaming activity operated in | | |
| a | The organization's facility 13a | | |
| b | An outside facility 13b | | |
| 14 | Provide the name and address of the person who prepares the organization's gaming/special events books and records | | |
| Name ► | | | |
| Address ► | | | |
| 15a | Does the organization have a contract with a third party from whom the organization receives gaming revenue? | 15a | |
| b | If "Yes," enter the amount of gaming revenue received by the organization ► \$ _____ and the amount of gaming revenue retained by the third party ► \$ _____ | | |
| c | If "Yes," enter name and address | | |
| Name ► | | | |
| Address ► | | | |
| 16 | Gaming manager information | | |
| Name ► | | | |
| Gaming manager compensation ► \$ _____ | | | |
| Description of services provided ► | | | |
| <input type="checkbox"/> Director/officer <input type="checkbox"/> Employee <input type="checkbox"/> Independent contractor | | | |
| 17 | Mandatory distributions | | |
| a | Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? | 17a | |
| b | Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$ _____ | | |

Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
CORNELL UNIVERSITY

Grants and Other Assistance to Organizations,
Governments and Individuals in the U.S.

Complete if the organization answered "Yes," on Form 990, Part IV, lines 21 or 22. Attach to Form 990.

OMB No 1545-0047

2008

Open to Public
Inspection

Employer identification number
15-0532082

Part I General Information on Grants and Assistance

1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☐ Yes ☒ No

2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 if additional space is needed.

☐

| 1(a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|---------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| See Additional Data Table | | | | | | | |
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2

Enter total number of section 501(c)(3) and government organizations

262

3

Enter total number of other organizations

17

Part III

Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
Use Schedule I-1 (Form 990) if additional space is needed.

| (a)Type of grant or assistance | (b)Number of recipients | (c)Amount of cash grant | (d)Amount of non-cash assistance | (e) Method of valuation (book, FMV , appraisal, other) | (f)Description of non-cash assistance |
|--------------------------------|-------------------------|-------------------------|----------------------------------|--|---------------------------------------|
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Part IV

Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

| Identifier | Return Reference | Explanation |
|------------|------------------|-------------|
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Software ID:
Software Version:
EIN: 15-0532082
Name: CORNELL UNIVERSITY

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|----------------|---|---------------------------------|--|--|---|---|
| Community Arts Partnership 171 E State St Ste 107 Ithaca, NY 14850 | 16-1384455 | 501 (c) (3) | 7,000 | | | | support/local community |
| Hangar Theatre Partners in Education 171 E State St P536 Ithaca, NY 14850 | 16-0902355 | 501 (c) (3) | 15,000 | | | | support/local community |
| Day Care and Child Development Council 609 W Clinton St Ithaca, NY 14850 | 16-0918618 | 501 (c) (3) | 41,000 | | | | support/local community |
| Cayuga Lake Watershed Network 170 Main Street Ithaca, NY 14850 | 16-1556541 | 501 (c) (3) | 7,500 | | | | support/local community |
| Downtown Ithaca Alliance 312 East Seneca St Ithaca, NY 14850 | 16-1521626 | 501 (c) (3) | 6,000 | | | | support/local community |
| Tompkins County Area Development 200 E Buffalo St Ithaca, NY 14850 | 16-6058339 | 501 (c) (3) | 31,250 | | | | support/local community |
| Tompkins County Chamber of Commerce 904 E Shore Road Ithaca, NY 14850 | 15-0348795 | 501 (c) (3) | 7,737 | | | | support/local community |
| Discovery Trail Kids Discover the Trail PO Box 986 Trumansburg, NY 14886 | 26-1208633 | 501 (c) (3) | 10,000 | | | | support/local community |
| Tompkins County Public Library 101 E Green St Ithaca, NY 14850 | 16-1098211 | 501 (c) (3) | 10,000 | | | | support/local community |
| Family and Childrens Service of Ithaca 127 W State St Fl1 Ithaca, NY 14850 | 15-0589039 | 501 (c) (3) | 27,500 | | | | support/local community |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| Better Housing for Tompkins County Inc950 Danby Road Ithaca, NY 14850 | 22-2378089 | 501 (c) (3) | 10,000 | | | | support/local community |
| Ithaca Neighborhood Housing Services115 West Clinton St Ithaca, NY 14850 | 22-2141948 | 501 (c) (3) | 14,000 | | | | support/local community |
| Community Science Institute701 Spencer Road Ithaca, NY 14850 | 16-0910547 | 501 (c) (3) | 6,700 | | | | support/local community |
| United Way of Tompkins County313 N Aurora St Ithaca, NY 14850 | 15-0572883 | 501 (c) (3) | 8,500 | | | | support/local community |
| Local Ithaca government agenciesVarious Ithaca, NY 14850 | 95-6006145 | | 1,675,146 | | | | support/local community |
| REGENTS OF THE UNIVERSITY OF CALIFORNIAROOM 3227 CHEADLE HALL SANTA BARBARA, CA 931062050 | | 501 (c) (3) | 114,432 | | | | research grant |
| BOYCE THOMPSON INSTITUTE FOR PLANT RESEARCH100 BOYCE THOMPSON TOWER ROAD ITHACA, NY 14853 | 13-1739923 | 501 (c) (3) | 43,387 | | | | research grant |
| UNIVERSITY OF NEBRASKA312 N 14TH STREET PO BOX 880430 LINCOLN, NE 685880430 | 47-0049123 | 501 (c) (3) | 24,591 | | | | research grant |
| PENNSYLVANIA STATE UNIVERSITY500 UNIVERSITY DRIVE MC H138 HERSHEY, PA 17033 | 24-6000376 | 501 (c) (3) | 60,488 | | | | research grant |
| SOUTHERN RESEARCH INSTITUTE2000 NINTH AVENUE SOUTH PO BOX 553 BIRMINGHAM, AL 352055305 | 63-0288868 | 501 (c) (3) | 248,232 | | | | research grant |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| SOUTHERN RESEARCH INSTITUTE2000 NINTH AVENUE SOUTH PO BOX 553 BIRMINGHAM,AL 352055305 | 63-0288868 | 501 (c) (3) | 84,611 | | | | research grant |
| UNIVERSITY OF SOUTH FLORIDA140 SEVENTH AVENUE SOUTH KRC3113 ST PETERSBURG,FL 337015016 | 59-3102112 | 501 (c) (3) | 35,383 | | | | research grant |
| PURDUE UNIVERSITY 302 WOOD STREET SPS YOUNG HALL WEST LAFAYETTE,IN 479072108 | 35-6002041 | 501 (c) (3) | 6,175 | | | | research grant |
| THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER7703 FLOYD CURL DRIVE MSC 7828 SAN ANTONIO,TX 782293900 | 17-4158603 | 501 (c) (3) | 52,865 | | | | research grant |
| REGENTS OF THE UNIVERSITY OF CALIFORNIAOFFICE OF RESEARCH 1850 RESEARCH P DAVIS,CA 95618 | 94-6036494 | 501 (c) (3) | 6,382 | | | | research grant |
| UNIVERSITY OF MISSISSIPPI MEDICAL CENTER2500 NORTH STATE STREET JACKSON,MS 392164505 | 64-6000783 | 501 (c) (3) | 39,123 | | | | research grant |
| UNIVERSITY OF MARYLAND3112 LEE BUILDING COLLEGE PARK,MD 207425141 | 52-6002033 | 501 (c) (3) | 197,499 | | | | research grant |
| CHILD TRENDS INC4301 CONNECTICUT AVE NW SUITE 35 WASHINGTON,DC 20008 | 13-2982969 | 501 (c) (3) | 158,559 | | | | research grant |
| JOHNS HOPKINS UNIVERSITY615 N WOLFE STREET SUITE W1100 BALTIMORE,MD 21205 | 52-0595110 | 501 (c) (3) | 216,722 | | | | research grant |
| BOWLING GREEN STATE UNIVERSITYOFFICE OF SPONSORED PROGRAMS AND RE BOWLING GREEN,OH 434030230 | 34-6402018 | 501 (c) (3) | 57,067 | | | | research grant |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| GEORGIA TECH RESEARCH CORPORATION505 TENTH STREET NW ATLANTA,GA 303320420 | 58-0603146 | 501 (c) (3) | 118,812 | | | | research grant |
| REGENTS OF THE UNIVERSITY OF CALIFORNIAOFFICE OF CONTRACT GRANT ADMINIST LA JOLLA,CA 920930934 | 95-2872494 | 501 (c) (3) | 40,357 | | | | research grant |
| UNIVERSITY OF WISCONSINRESEARCH SPONSORED PROGRAMS 21 N MADISON,WI 53715 | 39-6028867 | 501 (c) (3) | 124,350 | | | | research grant |
| INFO USE2560 9TH STREET SUITE 320 BERKELEY,CA 949770544 | 68-0053526 | | 14,007 | | | | research grant |
| AMERICAN ASSOCIATION OF PEOPLE WITH DISABILITIES1629 K STREET NW SUITE 503 WASHINGTON,DC 20006 | 52-1930174 | 501 (c) (3) | 6,899 | | | | research grant |
| CENTER FOR AN ACCESSIBLE SOCIETY 2980 BEECH ST SAN DIEGO,CA 92102 | 33-0935721 | 501 (c) (3) | 5,500 | | | | research grant |
| MATHEMATICA POLICY RESEARCH INCPO BOX 2393 PRINCETON,NJ 08543 | 25-1551025 | | 308,026 | | | | research grant |
| ZEDX INC369 ROLLING RIDGE DRIVE BELLEFONTE,PA 16823 | | | 6,779 | | | | research grant |
| NEW JERSEY INSTITUTE OF TECHNOLOGYSUITE 340 EAST BUILDING 323 MARTI NEWARK,NJ 071021982 | 22-6000910 | 501 (c) (3) | 7,105 | | | | research grant |
| UNIVERSITY OF PITTSBURGHOFFICE OF RESEARCH 350 THACKERAY H PITTSBURGH,PA 15260 | 25-0965591 | 501 (c) (3) | 211,357 | | | | research grant |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| UNIVERSITY OF TENNESSEE1534 WHITE AVENUE KNOXVILLE, TN 379964506 | 62-6001636 | 501 (c) (3) | 24,219 | | | | research grant |
| UNIVERSITY OF VIRGINIAOFFICE OF SPONSORED PROGRAMS CARR CHARLOTTESVILLE, VA 229044195 | 54-6001796 | 501 (c) (3) | 137,371 | | | | research grant |
| MARINE BIOLOGICAL LABORATORY7 MARINE BIOLOGICAL LABORATORY STR WOODS HOLE, MA 025431015 | 04-2104690 | 501 (c) (3) | 72,530 | | | | research grant |
| RENSSELAER POLYTECHNIC INSTITUTE110 8TH STREET WEST HALL TROY, NY 12180 | 14-1340095 | 501 (c) (3) | 103,292 | | | | research grant |
| SCIENCENTER INC601 FIRST ST ITHACA, NY 14850 | 22-2470652 | 501 (c) (3) | 64,945 | | | | research grant |
| UNIVERSITY OF FLORIDA 219 GRINTER HALL RESEARCH GRADUA GAINESVILLE, FL 32611 | 59-6002052 | 501 (c) (3) | 98,003 | | | | research grant |
| CARY INSTITUTE OF ECOSYSTEM STUDIES BOX AB 2801 SHARON TURNPIKE MILLBROOK, NY 125450129 | 22-3232968 | 501 (c) (3) | 283,230 | | | | research grant |
| SYRACUSE UNIVERSITY 113 BOWNE HALL SYRACUSE, NY 13244 | 15-0532081 | 501 (c) (3) | 224,362 | | | | research grant |
| UNIVERSITY OF NEW HAMPSHIRE111 SERVICE BLDG 51 COLLEGE RD 2 DURHAM, NH 03824 | 02-6000937 | 501 (c) (3) | 31,257 | | | | research grant |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA2150 SHATTUCK AVE SUITE 313 BERKELEY, CA 947205940 | 94-6002123 | 501 (c) (3) | 42,738 | | | | research grant |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| REGENTS OF THE UNIVERSITY OF MICHIGANWOLVERINE TOWER ROOM 1056 3003 S ANN ARBOR, MI 481091274 | 38-6006309 | 501 (c) (3) | 73,436 | | | | research grant |
| WELLESLEY COLLEGE 106 CENTRAL STREET GRH139 WELLESLEY,MA 02481 | 04-2103637 | 501 (c) (3) | 29,039 | | | | research grant |
| HUBBARD BROOK RESEARCH FOUNDATION16 BUCK RD HANOVER,NH 03755 | 02-0474938 | 501 (c) (3) | 22,994 | | | | research grant |
| YALE UNIVERSITY214 WHITNEY AVENUE ROOM 230 NEW HAVEN,CT 065208337 | 06-0646973 | 501 (c) (3) | 8,051 | | | | research grant |
| BROWN UNIVERSITY BOX 1929 PROVIDENCE,RI 02912 | 05-0258809 | 501 (c) (3) | 32,907 | | | | research grant |
| RESEARCH FOUNDATION OF THE STATE UNIVERSITY OF NEWPO BOX 9 ALBANY,NY 122010009 | 14-1368361 | 501 (c) (3) | 90,298 | | | | research grant |
| MIAMI UNIVERSITY102 ROUDEBUSH HALL OXFORD,OH 450563653 | 31-6402089 | 501 (c) (3) | 52,363 | | | | research grant |
| HOSPITAL FOR SPECIAL SURGERY535 EAST 70TH STREET NEW YORK,NY 10021 | 13-1624135 | 501 (c) (3) | 9,381 | | | | research grant |
| TULANE UNIVERSITY 7029 FRERET STREET NEW ORLEANS,LA 701185549 | 72-0423889 | 501 (c) (3) | 17,139 | | | | research grant |
| REGENTS OF THE UNIVERSITY OF MICHIGANWOLVERINE TOWER ROOM 1056 3003 S ANN ARBOR, MI 481091274 | 38-6006309 | 501 (c) (3) | 84,254 | | | | research grant |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| DUKE UNIVERSITY OFFICE OF RESEARCH SUPPORT 2200 WE DURHAM, NC 27705 | 56-0532129 | 501 (c) (3) | 31,898 | | | | research grant |
| CARNEGIE MELLON UNIVERSITY5000 FORBES AVENUE PITTSBURGH, PA 15213 | 25-0969449 | 501 (c) (3) | 41,333 | | | | research grant |
| THE CONNECTICUT AGRICULTURAL EXPERIMENT STATION 123 HUNTINGTON STREET BOX 1106 NEW HAVEN, CT 065041106 | 06-6032987 | 501 (c) (3) | 9,500 | | | | research grant |
| UNIVERSITY OF MARYLAND3112 LEE BUILDING COLLEGE PARK, MD 207425141 | 52-6002033 | 501 (c) (3) | 18,280 | | | | research grant |
| UNIVERSITY OF MARYLAND3112 LEE BUILDING COLLEGE PARK, MD 207425141 | 52-6002033 | 501 (c) (3) | 17,381 | | | | research grant |
| UNIVERSITY OF MASSACHUSETTS RESEARCH ADMINISTRATION BUILDING 7 AMHERST, MA 010039242 | 04-3167352 | 501 (c) (3) | 9,875 | | | | research grant |
| UNIVERSITY OF PENNSYLVANIAP221 FRANKLIN BLDG 3451 WALNUT STR PHILADELPHIA, PA 191046205 | 23-1352685 | 501 (c) (3) | 458,057 | | | | research grant |
| UNIVERSITY OF PENNSYLVANIAP221 FRANKLIN BLDG 3451 WALNUT STR PHILADELPHIA, PA 191046205 | 23-1352685 | 501 (c) (3) | 24,930 | | | | research grant |
| DUKE UNIVERSITY OFFICE OF RESEARCH SUPPORT 2200 WE DURHAM, NC 27705 | 56-0532129 | 501 (c) (3) | 93,708 | | | | research grant |
| UNIVERSITY OF SOUTH FLORIDA140 SEVENTH AVENUE SOUTH KRC3113 ST PETERSBURG, FL 337015016 | 59-3102112 | 501 (c) (3) | 64,549 | | | | research grant |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| COLORADO STATE UNIVERSITY601 SOUTH HOWES ST 408 UNIVERSITY FORT COLLINS, CO 805232002 | 84-6000545 | 501 (c) (3) | 16,828 | | | | research grant |
| OREGON STATE UNIVERSITYPO BOX 1086 SILBERTON, OR 973811086 | 93-1070707 | 501 (c) (3) | 43,836 | | | | research grant |
| REGENTS OF THE UNIVERSITY OF CALIFORNIAOFFICE OF RESEARCH 1850 RESEARCH P DAVIS,CA 95618 | 94-6036494 | 501(c)(3) | 25,192 | | | | research grant |
| WEST VIRGINIA STATE UNIVERSITY129 HAMBLIN HALL INSTITUTE,WV 25112 | 55-0708567 | 501 (c) (3) | 9,672 | | | | research grant |
| ALCORN STATE UNIVERSITY1000 ASU DRIVE LORMAN,MS 39096 | 64-6000783 | 501 (c) (3) | 10,552 | | | | research grant |
| THE MARY IMOGENE BASSETT HOSPITALONE ATWELL ROAD COOPERSTOWN, NY 133261394 | 13-5596796 | 501(c)(3) | 66,236 | | | | research grant |
| UNIVERSITY OF PENNSYLVANIAP221 FRANKLIN BLDG 3451 WALNUT STR PHILADELPHIA, PA 191046205 | 23-1352685 | 501 (c) (3) | 21,124 | | | | research grant |
| AMERICAN ASSOCIATION OF PEOPLE WITH DISABILITIES1629 K STREET NW SUITE 503 WASHINGTON,DC 20006 | 52-1930174 | 501 (c) (3) | 49,820 | | | | research grant |
| RUTGERS UNIVERSITY ADMINISTRATION SERVICES BLDG III NEW BRUNSWICK, NJ 08901 | 22-6001086 | 501 (c) (3) | 45,466 | | | | research grant |
| WOODS HOLE OCEANOGRAPHIC INST GRANT CONTRACT SERVICES BELL HOU WOODS HOLE,MA 02543 | 04-2105850 | 501 (c) (3) | 8,653 | | | | research grant |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| UNIVERSITY OF VERMONT340 WATERMAN BLDG BURLINGTON, VT 05405 | 03-0179440 | 501 (c) (3) | 22,338 | | | | research grant |
| WEST VIRGINIA UNIVERSITY RESEARCH CORPORATION886 CHESTNUT RIDGE ROAD PO BOX 684 MORGANTOWN, WV 265066845 | 55-0665758 | 501 (c) (3) | 5,297 | | | | research grant |
| UNIVERSITY OF MASSACHUSETTS RESEARCH ADMINISTRATION BUILDING 7 AMHERST, MA 010039242 | 04-3167352 | 501 (c) (3) | 5,133 | | | | research grant |
| LOUISIANA STATE UNIVERSITY202 HIMES HALL BATON ROUGE, LA 708032701 | 72-6000720 | 501 (c) (3) | 11,688 | | | | research grant |
| MICHIGAN STATE UNIVERSITYCONTRACT GRANT ADMINISTRATION 30 EAST LANSING, MI 488241046 | 38-6005984 | 501 (c) (3) | 47,529 | | | | research grant |
| THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOI 1901 S FIRST STREET SUITE A CHAMPAIGN, IL 61820 | 37-6000511 | 501 (c) (3) | 35,275 | | | | research grant |
| UNIVERSITY OF NEW HAMPSHIRE111 SERVICE BLDG 51 COLLEGE RD 2 DURHAM, NH 03824 | 52-2088235 | 501 (c) (3) | 9,787 | | | | research grant |
| FOOD PRODUCTS ASSOCIATION RESEARCH FOUNDATION 1350 I STREET NW SUITE 300 WASHINGTON, DC 20005 | | 501 (c) (3) | 15,654 | | | | research grant |
| COLORADO STATE UNIVERSITY601 SOUTH HOWES ST 408 UNIVERSITY FORT COLLINS, CO 805232002 | 84-6000545 | 501 (c) (3) | 44,899 | | | | research grant |
| UNIVERSITY OF CENTRAL FLORIDA12201 RESEARCH PARKWAY SUITE 501 ORLANDO, FL 32826 | 59-3086453 | 501 (c) (3) | 19,083 | | | | research grant |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| UNIVERSITY OF FLORIDA 219 GRINTER HALL RESEARCH GRADUA GAINESVILLE, FL 32611 | 59-6002052 | 501 (c) (3) | 28,695 | | | | research grant |
| UNIVERSITY OF DELAWARE 210 HULLIHEN HALL NEWARK, DE 197161551 | 51-6000297 | 501 (c) (3) | 21,292 | | | | research grant |
| BARNARD COLLEGE 3009 BROADWAY NEW YORK, NY 100276598 | 13-1628149 | 501 (c) (3) | 10,182 | | | | research grant |
| RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW 230 WEST 41ST STREET NEW YORK, NY 10036 | 13-1988190 | 501 (c) (3) | 23,530 | | | | research grant |
| CLARKSON UNIVERSITY 8 CLARKSON AVENUE PO BOX 5630 POTSDAM, NY 136995630 | 15-0543659 | 501 (c) (3) | 24,557 | | | | research grant |
| COLGATE UNIVERSITY 6 JAMES B COLGATE HALL 13 OAK DR HAMILTON, NY 133461398 | 15-0532078 | 501 (c) (3) | 6,503 | | | | research grant |
| COLUMBIA UNIVERSITY RESEARCH ADMINISTRATION 1210 AMSTE NEW YORK, NY 10027 | 13-5598093 | 501 (c) (3) | 38,125 | | | | research grant |
| RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW 230 WEST 41ST STREET NEW YORK, NY 10036 | 11-3198819 | 501 (c) (3) | 22,712 | | | | research grant |
| POLYTECHNIC UNIVERSITY ACADEMIC AFFAIRS JB551B BROOKLYN, NY 11201 | 11-1630820 | 501(c)(3) | 6,763 | | | | research grant |
| RENSSELAER POLYTECHNIC INSTITUTE 110 8TH STREET WEST HALL TROY, NY 12180 | 14-1340095 | 501 (c) (3) | 17,487 | | | | research grant |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| SCIENCENTER INC601 FIRST ST ITHACA,NY 14850 | 22-2470652 | 501 (c) (3) | 20,500 | | | | research grant |
| RESEARCH FOUNDATION OF THE STATE UNIVERSITY OF NEW402 CROFTS HALL BUFFALO,NY 142607016 | 14-1368361 | 501(c)(3) | 16,953 | | | | research grant |
| RESEARCH FOUNDATION OF THE STATE UNIVERSITY OF NEWPO BOX 9 ALBANY,NY 122010009 | 14-1368361 | 501(c)(3) | 14,000 | | | | research grant |
| SYRACUSE UNIVERSITY 113 BOWNE HALL SYRACUSE,NY 13244 | 15-0532081 | 501 (c) (3) | 15,257 | | | | research grant |
| RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW230 WEST 41ST STREET NEW YORK,NY 10036 | 13-1988190 | 501(c)(3) | 37,089 | | | | research grant |
| RESEARCH FOUNDATION OF THE STATE UNIVERSITY OF NEW OFFICE OF SPONSORED PROGRAMS STONY BROOK,NY 117943362 | 14-1368361 | 501 (c) (3) | 20,983 | | | | research grant |
| UNIVERSITY OF ROCHESTER515 HYLAN BLDG RC BOX 270140 ROCHESTER,NY 146270140 | 16-0743209 | 501 (c) (3) | 8,667 | | | | research grant |
| UNION COLLEGE CARRIAGE HOUSE 202 807 UNION ST SCHENECTADY,NY 12308 | 14-1338580 | 501 (c) (3) | 9,000 | | | | research grant |
| MICHIGAN STATE UNIVERSITYCONTRACT GRANT ADMINISTRATION 30 EAST LANSING,MI 488241046 | 38-6005984 | 501 (c) (3) | 28,945 | | | | research grant |
| UNIVERSITY OF TENNESSEE1534 WHITE AVENUE KNOXVILLE,TN 379964506 | 62-6001636 | 501 (c) (3) | 25,143 | | | | research grant |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| UNIVERSITY OF DELAWAREG6 JAMES B COLGATE HALL 13 OAK DR HAMILTON, NY 133461398 | 15-0532078 | 501 (c) (3) | 86,302 | | | | research grant |
| COLORADO STATE UNIVERSITY601 SOUTH HOWES ST 408 UNIVERSITY FORT COLLINS, CO 805232002 | 84-6000545 | | 7,997 | | | | research grant |
| UNIVERSITY OF DELAWARE210 HULLIHEN HALL NEWARK, DE 197161551 | 51-6000297 | | 10,273 | | | | research grant |
| SMITHSONIAN INSTITUTION2011 CRYSTAL CITY DRIVE SUITE 352 ARLINGTON, VA 22202 | 53-0206027 | 501(c)(3) | 35,732 | | | | research grant |
| WAYNEFINGER LAKES BOCES131 DRUMLIN COURT NEWARK, NY 145131863 | 16-6007057 | 501 (c) (3) | 25,450 | | | | research grant |
| CORNELL COOPERATIVE EXTENSION1894 STATE HIGHWAY 68 CANTON, NY 136171477 | 84-6000555 | 501 (c) (3) | 17,730 | | | | research grant |
| UNIVERSITY OF COLORADO572 UCB BOULDER, CO 803090574 | | 501 (c) (3) | 26,714 | | | | research grant |
| TEXAS TECH UNIVERSITY203 HOLDEN HALL LUBBOCK, TX 794091035 | 75-6002622 | 501 (c) (3) | 114,072 | | | | research grant |
| POINT REYES BIRD OBSERVATORY3820 CYPRESS DRIVE 11 PETALUMA, CA 94954 | 94-1594250 | 501(c)(3) | 269,277 | | | | research grant |
| UNIVERSITY OF MEDICINE AND DENTISTRY OF NEW JERSEY65 BERGEN STREET NEWARK, NJ 07101 | 22-1775306 | 501 (c) (3) | 60,940 | | | | research grant |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| MOUNT SINAI SCHOOL OF MEDICINEONE GUSTAVE L LEVY PLACE BOX 1075 NEW YORK, NY 100296574 | 13-6171197 | 501 (c) (3) | 44,867 | | | | research grant |
| UNIVERSITY OF MARYLAND3112 LEE BUILDING COLLEGE PARK, MD 207425141 | 52-6002033 | 501 (c) (3) | 48,424 | | | | research grant |
| BOYCE THOMPSON INSTITUTE FOR PLANT RESEARCH100 BOYCE THOMPSON TOWER ROAD ITHACA, NY 14853 | 13-1739923 | 501(c)(3) | 297,916 | | | | research grant |
| PRINCETON UNIVERSITYNEW SOUTH BLDG ROEBLING, NJ 08544 | 21-0634501 | 501 (c) (3) | 127,780 | | | | research grant |
| SIMMONS COLLEGE300 THE FENWAY BOSTON, MA 021155898 | 04-2103629 | 501 (c) (3) | 55,471 | | | | research grant |
| UNIVERSITY OF ARKANSAS120 OZARK HALL FAYETTEVILLE, AR 72701 | 71-6003252 | 501 (c) (3) | 211,348 | | | | research grant |
| BOYCE THOMPSON INSTITUTE FOR PLANT RESEARCH100 BOYCE THOMPSON TOWER ROAD ITHACA, NY 14853 | 13-1739923 | 501 (c) (3) | 239,087 | | | | research grant |
| SYRACUSE UNIVERSITY 113 BOWNE HALL SYRACUSE, NY 13244 | 15-0532081 | 501 (c) (3) | 82,646 | | | | research grant |
| SYRACUSE UNIVERSITY 113 BOWNE HALL SYRACUSE, NY 13244 | 15-0532081 | 501 (c) (3) | 11,500 | | | | research grant |
| SYRACUSE UNIVERSITY 113 BOWNE HALL SYRACUSE, NY 13244 | 15-0532081 | 501 (c) (3) | 171,871 | | | | research grant |

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| PENNSYLVANIA STATE UNIVERSITYOFFICE OF SPONSORED PROGRAMS 110 T UNIVERSITY PARK, PA 168027000 | 24-6000376 | 501 (c) (3) | 5,499 | | | | research grant |
| UNIVERSITY OF TENNESSEE910 MADISON SUITE 823 MEMPHIS, TN 38163 | 62-6001636 | 501 (c) (3) | 127,073 | | | | research grant |
| CORNELL COOPERATIVE EXTENSION840 UPPER FRONT STREET BINGHAMTON, NY 139054500 | 14-6271874 | 501 (c) (3) | 30,876 | | | | research grant |
| BETH ISRAEL DEACONESS MEDICAL CENTER330 BROOKLINE AVENUE BR264 BOSTON, MA 02215 | 04-2103881 | 501 (c) (3) | 260,838 | | | | research grant |
| UNIVERSITY OF FLORIDA219 GRINTER HALL RESEARCH GRADUA GAINESVILLE, FL 32611 | 59-6002052 | 501 (c) (3) | 87,294 | | | | research grant |
| USDAARS950 COLLEGE STATION ROAD ATHENS, GA 30604 | 72-0564834 | | 37,877 | | | | research grant |
| UNIVERSITY OF NEBRASKA312 N 14TH STREET PO BOX 880430 LINCOLN, NE 685880430 | 47-0049123 | 501 (c) (3) | 71,424 | | | | research grant |
| PRINCETON UNIVERSITYNEW SOUTH BLDG PRINCETON, NJ 08544 | 21-0634501 | 501(c)(3) | 73,185 | | | | research grant |
| NORTH CAROLINA STATE UNIVERSITY2701 SULLIVAN DRIVE SUITE 240 CAM RALEIGH, NC 276957514 | 56-6000756 | 501 (c) (3) | 37,382 | | | | research grant |
| VIRGINIA POLYTECHNIC INSTITUTE and STATE UNIVERSIT460 TURNER STREET SUITE 306 BOX 2 BLACKSBURG, VA 24060 | 54-6001805 | 501(c)(3) | 33,566 | | | | research grant |

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| RESEARCH FOUNDATION OF THE STATE UNIVERSITY OF NEW COUPER ADMINISTRATION BLDG AD242 BINGHAMTON,NY 139026000 | 14-1368361 | 501 (c) (3) | 84,884 | | | | research grant |
| HEALTH RESEARCH INC RIVERVIEW CENTER 150 BROADWAY SUI MENANDS,NY 122042719 | 14-1402155 | 501 (c) (3) | 30,783 | | | | research grant |
| REGENTS OF THE UNIVERSITY OF CALIFORNIAOFFICE OF RESEARCH 1850 RESEARCH P DAVIS,CA 95618 | 94-6036494 | 501 (c) (3) | 79,140 | | | | research grant |
| REGENTS OF THE UNIVERSITY OF CALIFORNIAOFFICE OF RESEARCH 1850 RESEARCH P DAVIS,CA 95618 | 94-6036494 | 501 (c) (3) | 9,957 | | | | research grant |
| NORTH CAROLINA STATE UNIVERSITY2701 SULLIVAN DRIVE SUITE 240 CAM RALEIGH,NC 276957514 | 56-6000756 | 501 (c) (3) | 6,479 | | | | research grant |
| VIRGINIA POLYTECHNIC INSTITUTE and STATE UNIVERSIT460 TURNER STREET SUITE 306 BOX 2 BLACKSBURG,VA 24060 | 54-6001805 | 501 (c) (3) | 10,829 | | | | research grant |
| NATIONAL GRAPE and WINE INITIATIVE502 E DAVIS DRIVE DINUBA,CA 93618 | 15-0532081 | 501 (c) (3) | 17,053 | | | | research grant |
| SYRACUSE UNIVERSITY 113 BOWNE HALL SYRACUSE,NY 13244 | | 501 (c) (3) | 244,373 | | | | research grant |
| PENNSYLVANIA STATE UNIVERSITYOFFICE OF SPONSORED PROGRAMS 110 T UNIVERSITY PARK,PA 168027000 | 24-6000376 | | 11,459 | | | | research grant |
| REGENTS OF THE UNIVERSITY OF CALIFORNIAOFFICE OF CONTRACT GRANT ADMINIST LA JOLLA,CA 920930934 | 95-2872494 | 501(c)(3) | 8,987 | | | | research grant |

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| VIRGINIA COMMONWEALTH UNIVERSITYPO BOX 980568 RICHMOND,VA 232980568 | 54-6001758 | 501 (c) (3) | 14,761 | | | | research grant |
| DARTMOUTH COLLEGE OFFICE OF SPONSORED PROJECTS 11 RO HANOVER,NH 037551404 | 02-0222111 | 501 (c) (3) | 9,254 | | | | research grant |
| UNIVERSITY OF ROCHESTER515 HYLAN BLDG RC BOX 270140 ROCHESTER,NY 146270140 | 16-0743209 | 501 (c) (3) | 93,370 | | | | research grant |
| JOHNS HOPKINS UNIVERSITY615 N WOLFE STREET SUITE W1100 BALTIMORE,MD 21205 | 52-0595110 | 501 (c) (3) | 9,431 | | | | research grant |
| SYRACUSE UNIVERSITY 113 BOWNE HALL SYRACUSE,NY 13244 | 15-0532081 | 501 (c) (3) | 91,872 | | | | research grant |
| COLD SPRING HARBOR LABORATORY1 BUNGTOWN ROAD COLD SPRING HARBOR, NY 11724 | 11-2013303 | 501 (c) (3) | 92,876 | | | | research grant |
| IOWA STATE UNIVERSITY1138 PEARSON AMES,IA 500112207 | 42-6004224 | 501 (c) (3) | 28,939 | | | | research grant |
| COLD SPRING HARBOR LABORATORY1 BUNGTOWN ROAD COLD SPRING HARBOR, NY 11724 | 11-2013303 | 501 (c) (3) | 16,719 | | | | research grant |
| TRUMAN STATE UNIVERSITYDIRECTOR OF GRANTS TRUMAN STATE UN KIRKSVILLE,MO 63501 | 43-6005833 | 501(c)(3) | 33,652 | | | | research grant |
| COLUMBIA UNIVERSITY RESEARCH ADMINISTRATION 1210 AMSTE NEWYORK,NY 10027 | 13-5598093 | 501(c)(3) | 55,125 | | | | research grant |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| NORTHWEST RESEARCH ASSOCIATES INC4118 148TH AVENUE NE REDMOND, WA 98052 | 91-1291143 | 501 (c) (3) | 23,283 | | | | research grant |
| LEHIGH UNIVERSITY526 BROADHEAD AVENUE BETHLEHEM, PA 180153046 | 24-0795445 | 501(c)(3) | 48,624 | | | | research grant |
| COMMISSION ON QUALITY OF CARE AND ADVOCACY FOR PER401 STATE STREET SCHENECTADY, NY 123052397 | 14-6013200 | | 107,456 | | | | research grant |
| UNITED SPINAL ASSOCIATION75-20 ASTORIA BLVD JACKSON HEIGHTS, NY 113701177 | 13-5612621 | 501(c)(3) | 10,348 | | | | research grant |
| UNIVERSITY OF MASSACHUSETTS RESEARCH ADMINISTRATION BUILDING 7 AMHERST, MA 010039242 | 04-3167352 | 501 (c) (3) | 13,757 | | | | research grant |
| UNIVERSITY OF MAINE 5717 CORBETT HALL ORONO, ME 044695717 | 01-6000769 | 501(c)(3) | 63,179 | | | | research grant |
| RUTGERS UNIVERSITY ADMINISTRATION SERVICES BLDG III NEW BRUNSWICK, NJ 08901 | 22-6001086 | 501 (c) (3) | 14,963 | | | | research grant |
| PENNSYLVANIA STATE UNIVERSITYOFFICE OF SPONSORED PROGRAMS 110 T UNIVERSITY PARK, PA 168027000 | 24-6000376 | 501(c)(3) | 130,103 | | | | research grant |
| OHIO STATE UNIVERSITYOFFICE OF SPONSORED PROGRAMS 1960 COLUMBUS, OH 432101063 | 31-6025986 | 501 (c) (3) | 9,655 | | | | research grant |
| UNIVERSITY OF MASSACHUSETTS RESEARCH ADMINISTRATION BUILDING 7 AMHERST, MA 010039242 | 04-3167352 | 501 (c) (3) | 15,245 | | | | research grant |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| MICHIGAN STATE UNIVERSITYCONTRACT GRANT ADMINISTRATION 30 E LANSING, MI 488241046 | 38-6005984 | 501 (c) (3) | 26,342 | | | | research grant |
| PENNSYLVANIA STATE UNIVERSITYOFFICE OF SPONSORED PROGRAMS 110 T UNIVERSITY PARK, PA 168027000 | 24-6000376 | 501 (c) (3) | 9,707 | | | | research grant |
| RUTGERS UNIVERSITY ADMINISTRATION SERVICES BLDG III NEW BRUNSWICK, NJ 08901 | 22-6001086 | 501 (c) (3) | 9,208 | | | | research grant |
| PENNSYLVANIA STATE UNIVERSITYOFFICE OF SPONSORED PROGRAMS 110 T UNIVERSITY PARK, PA 168027000 | 24-6000376 | 501 (c) (3) | 36,854 | | | | research grant |
| PENNSYLVANIA STATE UNIVERSITYOFFICE OF SPONSORED PROGRAMS 110 T UNIVERSITY PARK, PA 168027000 | 24-6000376 | 501(c)(3) | 35,022 | | | | research grant |
| PENNSYLVANIA STATE UNIVERSITYOFFICE OF SPONSORED PROGRAMS 110 T UNIVERSITY PARK, PA 168027000 | 24-6000376 | 501 (c) (3) | 26,889 | | | | research grant |
| PRESIDENT AND FELLOWS OF HARVARD COLLEGEOFFICE FOR SPONSORED RESEARCH 1350 CAMBRIDGE, MA 02138 | 04-2103580 | 501 (c) (3) | 13,633 | | | | research grant |
| GEORGIA TECH RESEARCH CORPORATION505 TENTH STREET NW ATLANTA, GA 303320420 | 58-0603146 | 501 (c) (3) | 14,459 | | | | research grant |
| PENNSYLVANIA STATE UNIVERSITYOFFICE OF SPONSORED PROGRAMS 110 T UNIVERSITY PARK, PA 168027000 | 24-6000376 | 501 (c) (3) | 14,091 | | | | research grant |
| REGENTS OF THE UNIVERSITY OF CALIFORNIAROOM 3227 CHEADLE HALL SANTA BARBARA, CA 931062050 | 95-6006145 | 501 (c) (3) | 23,900 | | | | research grant |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| THE UNIVERSITY OF TEXASNORTH OFFICE BUILDING SUITE 4300 AUSTIN, TX 787137726 | 74-0230239 | 501 (c) (3) | 14,035 | | | | research grant |
| REGENTS OF THE UNIVERSITY OF MICHIGANWOLVERINE TOWER ROOM 1056 3003 S ANN ARBOR, MI 481091274 | 38-6006309 | 501 (c) (3) | 19,613 | | | | research grant |
| UNIVERSITY OF NEW MEXICOCONTRACT GRANT ACCOUNTING MSC 01 ALBUQUERQUE, NM 87131 | 85-6000642 | 501 (c) (3) | 25,267 | | | | research grant |
| STANFORD UNIVERSITY 340 PANAMA STREET STANFORD, CA 943056025 | 94-1156365 | 501 (c) (3) | 18,655 | | | | research grant |
| UNIVERSITY OF MINNESOTA200 OAK STREET SE SUITE 450 MINNEAPOLIS, MN 554552070 | 41-6007513 | 501 (c) (3) | 31,065 | | | | research grant |
| UNIVERSITY OF WASHINGTON4333 BROOKLYN AVE NE SEATTLE, WA 981959472 | 91-6001537 | 501 (c) (3) | 29,505 | | | | research grant |
| AMERICAN BIRD CONSERVANCY ABCPO BOX 249 THE PLAINS, VA 20198 | 52-1501259 | 501 (c) (3) | 7,000 | | | | research grant |
| ITHACA COLLEGE130 ALUMNI HALL ITHACA COLLEGE ITHACA, NY 148507006 | 15-0532204 | 501 (c) (3) | 10,000 | | | | research grant |
| UNIVERSITY OF TENNESSEE1534 WHITE AVENUE KNOXVILLE, TN 379964506 | 62-6001636 | 501 (c) (3) | 20,000 | | | | research grant |
| MICHIGAN TECHNOLOGICAL UNIVERSITYRESEARCH SPONSORED PROGRAMS 308 HOUGHTON, MI 499311295 | 38-6005955 | 501 (c) (3) | 13,212 | | | | research grant |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| INDIANA UNIVERSITY OF PENNSYLVANIA107E STRIGHT HALL 210 SOUTH TENTH INDIANA, PA 15701 | 25-1470695 | 501 (c) (3) | 10,000 | | | | research grant |
| INDIANA UNIVERSITY OF PENNSYLVANIA107E STRIGHT HALL 210 SOUTH TENTH INDIANA, PA 15701 | 25-1470695 | 501 (c) (3) | 10,000 | | | | research grant |
| LOS ALAMOS NATIONAL LABORATORY LANLPO BOX 1663 MAIL STOP C334 LOS ALAMOS, NM 87545 | 74-2853972 | 501 (c) (3) | 33,439 | | | | research grant |
| USDAARS1815 N UNIVERSITY ST PEORIA, IL 61604 | 72-0564834 | 501 (c) (3) | 70,000 | | | | research grant |
| PURDUE UNIVERSITY 302 WOOD STREET SPS YOUNG HALL WEST LAFAYETTE, IN 479072108 | 35-6002041 | 501 (c) (3) | 127,098 | | | | research grant |
| NORTHWESTERN UNIVERSITY633 CLARK STREET SUITE 2-502 EVANSTON, IL 602081110 | 36-2167817 | 501 (c) (3) | 9,089 | | | | research grant |
| VIRGINIA POLYTECHNIC INSTITUTE and STATE UNIVERSIT460 TURNER STREET SUITE 306 BOX 2 BLACKSBURG, VA 24060 | 54-6001805 | 501 (c) (3) | 19,404 | | | | research grant |
| FRANKLIN and MARSHALL COLLEGEPO BOX 3003 LANCASTER, PA 176043003 | 23-1352635 | 501 (c) (3) | 12,046 | | | | research grant |
| UNIVERSITY OF ROCHESTER515 HYLAN BLDG RC BOX 270140 ROCHESTER, NY 146270140 | 16-0743209 | 501 (c) (3) | 92,768 | | | | research grant |
| UNIVERSITY OF TEXAS 500 WEST UNIVERSITY AVENUE ADMIN EL PASO, TX 79968 | 74-6000813 | 501 (c) (3) | 20,562 | | | | research grant |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| MIAMI UNIVERSITY102 ROUDEBUSH HALL OXFORD, OH 450563653 | 31-6402089 | 501(c)(3) | 26,843 | | | | research grant |
| PALEONTOLOGICAL RESEARCH INSTITUTION 1259 TRUMANSBURG ROAD ITHACA, NY 14850 | 15-0554849 | 501 (c) (3) | 49,967 | | | | research grant |
| OFS LABORATORIES LLC 19 SCHOOLHOUSE ROAD SOMERSET, NJ 08873 | 16-1745377 | | 33,644 | | | | research grant |
| STANFORD UNIVERSITY 340 PANAMA STREET STANFORD, CA 943056025 | 94-1156365 | 501 (c) (3) | 46,578 | | | | research grant |
| REGENTS OF THE UNIVERSITY OF CALIFORNIAROOM 3227 CHEADLE HALL SANTA BARBARA, CA 931062050 | 95-6006145 | 501 (c) (3) | 129,935 | | | | research grant |
| RESEARCH FOUNDATION FOR MENTAL HYGIENE INC140 OLD ORANGEBURG ROAD BLDG 35 ORANGEBURG, NY 10962 | 14-1410842 | 501 (c) (3) | 131,485 | | | | research grant |
| RUSH UNIVERSITY MEDICAL CENTER707 S WOOD STREET 0 ANNEX CHICAGO, IL 60612 | 36-2174823 | 501 (c) (3) | 94,077 | | | | research grant |
| RESEARCH FOUNDATION OF THE STATE UNIVERSITY OF NEW COUPER ADMINISTRATION BLDG AD242 BINGHAMTON, NY 139026000 | 14-1368361 | 501 (c) (3) | 5,262 | | | | research grant |
| MARINE ACOUSTICS INC 809 AQUIDNECK AVE MIDDLETOWN, RI 02842 | 04-2993452 | | 39,791 | | | | research grant |
| POLISTES FOUNDATION INC133 WASHINGTON STREET BELMONT, MA 02478 | 02-0567125 | 501 (c) (3) | 46,832 | | | | research grant |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| INSTITUTE FOR LEARNING INNOVATION 3168 BRAVERTON STREET SUITE 280 EDGEWATER, MD 21037 | 51-1467051 | 501 (c) (3) | 18,028 | | | | research grant |
| UNIVERSITY OF PENNSYLVANIA P221 FRANKLIN BLDG 3451 WALNUT STR PHILADELPHIA, PA 191046205 | 23-1352685 | 501 (c) (3) | 63,547 | | | | research grant |
| RUTGERS UNIVERSITY ADMINISTRATION SERVICES BLDG III NEW BRUNSWICK, NJ 08901 | 22-6001086 | 501 (c) (3) | 45,533 | | | | research grant |
| RUTGERS UNIVERSITY ADMINISTRATION SERVICES BLDG III NEW BRUNSWICK, NJ 08901 | 22-6001086 | 501 (c) (3) | 6,267 | | | | research grant |
| CORNELL COOPERATIVE EXTENSION 423 GRIFFING AVENUE SUITE 100 RIVERHEAD, NY 119013071 | 11-6081424 | 501 (c) (3) | 7,250 | | | | research grant |
| UNIVERSITY OF MAINE 5717 CORBETT HALL ORONO, ME 044695717 | 01-6000769 | 501 (c) (3) | 8,300 | | | | research grant |
| RUTGERS UNIVERSITY ADMINISTRATION SERVICES BLDG III NEW BRUNSWICK, NJ 08901 | 22-6001086 | | 12,216 | | | | research grant |
| UNIVERSITY OF RHODE ISLAND 70 LOWER COLLEGE RD KINGSTON, RI 02881 | 05-6000522 | | 5,492 | | | | research grant |
| UNIVERSITY OF VERMONT 340 WATERMAN BLDG BURLINGTON, VT 05405 | 03-0179440 | 501 (c) (3) | 6,213 | | | | research grant |
| UNIVERSITY OF MASSACHUSETTS RESEARCH ADMINISTRATION BUILDING 7 AMHERST, MA 010039242 | 04-3167352 | 501 (c) (3) | 7,144 | | | | research grant |

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| UNIVERSITY OF MASSACHUSETTS RESEARCH ADMINISTRATION BUILDING 7 AMHERST, MA 010039242 | 04-3167352 | 501 (c) (3) | 8,724 | | | | research grant |
| PENNSYLVANIA STATE UNIVERSITYOFFICE OF SPONSORED PROGRAMS 110 T UNIVERSITY PARK, PA 168027000 | 24-6000376 | 501 (c) (3) | 16,148 | | | | research grant |
| UNIVERSITY OF DELAWARE210 HULLIHEN HALL NEWARK, DE 197161551 | 51-6000297 | 501 (c) (3) | 5,608 | | | | research grant |
| UNIVERSITY OF MARYLAND3112 LEE BUILDING COLLEGE PARK, MD 207425141 | 52-6002033 | 501 (c) (3) | 10,595 | | | | research grant |
| UNIVERSITY OF NEW HAMPSHIRE111 SERVICE BLDG 51 COLLEGE RD 2 DURHAM, NH 03824 | 02-6000937 | 501 (c) (3) | 20,194 | | | | research grant |
| REGENTS OF THE UNIVERSITY OF MICHIGANWOLVERINE TOWER ROOM 1056 3003 S ANN ARBOR, MI 481091274 | 38-6006309 | 501 (c) (3) | 40,000 | | | | research grant |
| UNIVERSITY OF VERMONT340 WATERMAN BLDG BURLINGTON, VT 05405 | 03-0179440 | 501 (c) (3) | 37,894 | | | | research grant |
| OREGON STATE UNIVERSITYPO BOX 1086 CORVALIS, OR 973811086 | 93-1070707 | 501 (c) (3) | 74,333 | | | | research grant |
| SYRACUSE UNIVERSITY 113 BOWNE HALL SYRACUSE, NY 13244 | 15-0532081 | 501 (c) (3) | 53,404 | | | | research grant |
| USDAARSNATURAL RESOURCES RESEARCH CENTER FORT COLLINS, CO 805268119 | 72-0564834 | 501 (c) (3) | 36,822 | | | | research grant |

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| RESEARCH FOUNDATION OF THE STATE UNIVERSITY OF NEW COUPER ADMINISTRATION BLDG AD242 BINGHAMTON, NY 139026000 | 14-1368361 | 501 (c) (3) | 15,197 | | | | research grant |
| EARTHSKY COMMUNICATIONS INC PO BOX 2203 AUSTIN, TX 787682203 | 13-5598093 | 501 (c) (3) | 10,000 | | | | research grant |
| COLUMBIA UNIVERSITY RESEARCH ADMINISTRATION 1210 AMSTE NEW YORK, NY 10027 | | 501 (c) (3) | 370,406 | | | | research grant |
| UNIVERSITY CORPORATION FOR ATMOSPHERIC RESEARCHPO BOX 3000 BOULDER, CO 80307 | | 501 (c) (3) | 151,301 | | | | research grant |
| GARIBAY GROUP5756 N RIDGE SUITE 11 CHICAGO, IL 60660 | | | 23,989 | | | | research grant |
| NOFANY INCPO BOX 880 COBBLESKILL, NY 12043 | 03-0259137 | 501 (c) (3) | 20,958 | | | | research grant |
| YALE UNIVERSITY214 WHITNEY AVENUE ROOM 230 NEW HAVEN, CT 065208337 | 06-0646973 | 501 (c) (3) | 42,292 | | | | research grant |
| PRINCETON UNIVERSITY NEW SOUTH BLDG PRINCETON, NJ 08544 | 21-0634501 | 501 (c) (3) | 129,107 | | | | research grant |
| COLUMBIA UNIVERSITY RESEARCH ADMINISTRATION 1210 AMSTE NEW YORK, NY 10027 | 13-5598093 | 501 (c) (3) | 204,450 | | | | research grant |
| FEI COMPANY5350 NE DAWSON CREEK DRIVE HILLSBORO, OR 97124 | | | 33,051 | | | | research grant |

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| MARQUETTE UNIVERSITYPO BOX 1881 MILWAUKEE, WI 532011181 | 39-0806251 | 501 (c) (3) | 37,543 | | | | research grant |
| UNIVERSITY OF MINNESOTA200 OAK STREET SE SUITE 450 MINNEAPOLIS, MN 554552070 | 41-6007513 | 501 (c) (3) | 92,455 | | | | research grant |
| REGENTS OF THE UNIVERSITY OF CALIFORNIAOFFICE OF RESEARCH 1850 RESEARCH P DAVIS,CA 95618 | 94-6036494 | 501 (c) (3) | 39,310 | | | | research grant |
| UNIVERSITY OF CHICAGOUNIV RESEARCH ADMIN 970 E 58TH CHICAGO,IL 60637 | 36-2177139 | 501 (c) (3) | 115,304 | | | | research grant |
| THE CONSERVATION FUND1655 NORTH FORT MYER DRIVE SUITE 1 ARLINGTON,VA 222093199 | 52-1388917 | 501 (c) (3) | 7,768 | | | | research grant |
| AMERICAN EVALUATION ASSOCIATION16 SCONTICUT NECK ROAD 290 FAIRHAVEN,MA 02719 | 52-1463557 | 501 (c) (3) | 20,000 | | | | research grant |
| UNIVERSITY OF WASHINGTON4333 BROOKLYN AVE NE SEATTLE, WA 981959472 | 91-6001537 | 501 (c) (3) | 217,163 | | | | research grant |
| COLD SPRING HARBOR LABORATORY1 BUNGTOWN ROAD COLD SPRING HARBOR, NY 11724 | 11-2013303 | 501 (c) (3) | 215,199 | | | | research grant |
| UNIVERSITY OF MINNESOTA200 OAK STREET SE SUITE 450 MINNEAPOLIS, MN 554552070 | 41-6007513 | 501 (c) (3) | 56,912 | | | | research grant |
| KANSAS STATE UNIVERSITY2 FAIRCHILD HALL MANHATTAN, KS 665061103 | | 501 (c) (3) | 11,059 | | | | research grant |

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|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| TRUMAN STATE UNIVERSITYDIRECTOR OF GRANTS TRUMAN STATE UN KIRKSVILLE,MO 63501 | 43-6005833 | 501 (c) (3) | 28,621 | | | | research grant |
| CASE WESTERN RESERVE UNIVERSITY10900 EUCLID AVE CLEVELAND,OH 44106 | 34-1018992 | 501 (c) (3) | 52,037 | | | | research grant |
| EARTH SKY COMMUNICATIONS INC PO BOX 2203 AUSTIN,TX 787682203 | 31-1604142 | | 60,000 | | | | research grant |
| ECO AGRICULTURE PARTNERS730 11TH ST NW 301 WASHINGTON,DC 20001 | | 501 (c) (3) | 81,530 | | | | research grant |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA2150 SHATTUCK AVE SUITE 313 BERKELEY,CA 947205940 | 94-6002123 | 501 (c) (3) | 8,256 | | | | research grant |
| BAARS CIENTIFIC LLC 6374 ROUTE 89 ROMULUS,NY 14541 | 11-6081424 | | 8,000 | | | | research grant |
| CORNELL COOPERATIVE EXTENSION423 GRIFFING AVENUE SUITE 100 RIVERHEAD,NY 119013071 | | 501 (c) (3) | 19,856 | | | | research grant |
| REALITY RESEARCH5916 SOUTH AVENUE WILLIAMSON,NY 14589 | 57-1142544 | | 14,250 | | | | research grant |
| UNIVERSITY OF MAINE 5717 CORBETT HALL ORONO,ME 044695717 | 01-6000769 | 501 (c) (3) | 10,124 | | | | research grant |
| UNIVERSITY OF MARYLAND3112 LEE BUILDING COLLEGE PARK,MD 207425141 | 52-6002033 | 501 (c) (3) | 65,056 | | | | research grant |

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| NORTH CAROLINA STATE UNIVERSITY2701 SULLIVAN DRIVE SUITE 240 CAM RALEIGH,NC 276957514 | 22-6001086 | 501 (c) (3) | 13,786 | | | | research grant |
| RUTGERS UNIVERSITY ADMINISTRATION SERVICES BLDG III NEW BRUNSWICK,NJ 08901 | | 501 (c) (3) | 10,671 | | | | research grant |
| CORNELL COOPERATIVE EXTENSION425 PENNSYLVANIA AVENUE ELMIRA,NY 149011766 | 16-6072875 | 501 (c) (3) | 8,226 | | | | research grant |
| UNIVERSITY OF GEORGIA RESEARCH FOUNDATION INC623 BOYD GRADUATE STUDIES RESEARCH ATHENS,GA 306027411 | 58-1353149 | 501 (c) (3) | 32,149 | | | | research grant |
| IOWA STATE UNIVERSITY1138 PEARSON AMES,IA 500112207 | 42-6004224 | 501 (c) (3) | 47,184 | | | | research grant |
| COLORADO STATE UNIVERSITY601 SOUTH HOWES ST 408 UNIVERSITY FORT COLLINS,CO 805232002 | 84-6000545 | 501 (c) (3) | 91,699 | | | | research grant |
| UNIVERSITY OF KENTUCKY109 KINKEAD HALL LEXINGTON,KY 405060057 | 61-6033693 | 501 (c) (3) | 38,358 | | | | research grant |
| PENNSYLVANIA STATE UNIVERSITYOFFICE OF SPONSORED PROGRAMS 110 T UNIVERSITY PARK,PA 168027000 | 24-6000376 | 501 (c) (3) | 11,023 | | | | research grant |
| PENNSYLVANIA STATE UNIVERSITYOFFICE OF SPONSORED PROGRAMS 110 T UNIVERSITY PARK,PA 168027000 | 24-6000376 | 501 (c) (3) | 11,295 | | | | research grant |
| UNIVERSITY OF SOUTHERN CALIFORNIA 837 W DOWNEY WAY STO 330 LOS ANGELES,CA 900891147 | 95-1642394 | 501 (c) (3) | 105,215 | | | | research grant |

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|---|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| CARNEGIE MELLON UNIVERSITY5000 FORBES AVENUE PITTSBURGH, PA 15213 | 25-0969449 | 501 (c) (3) | 65,327 | | | | research grant |
| MICHIGAN TECHNOLOGICAL UNIVERSITYRESEARCH SPONSORED PROGRAMS 308 HOUGHTON, MI 499311295 | 38-6005955 | | 5,212 | | | | research grant |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA2150 SHATTUCK AVE SUITE 313 BERKELEY, CA 947205940 | 94-6002123 | 501 (c) (3) | 13,683 | | | | research grant |
| mathematica policy research incpo box 2393 princeton princeton, NJ 08543 | 04-3633346 | | 111,221 | | | | |
| seavoss associates inc101 oxford place ithaca, NY 14850 | | | 6,366 | | | | |
| the creative advantage inc 620 union street schenectady, NY 12305 | 14-1605149 | | 11,300 | | | | research grant |
| dewine marketing resources 23 stone arabia drive troy, NY 12180 | 16-1745377 | | 14,404 | | | | research grant |
| ofs laboratories llc19 schoolhouse road somerset, NJ 08873 | | | 64,000 | | | | research grant |
| vermont center for ecostudies vcepo box 420 norwich, VT 05055 | 51-0639429 | | 19,599 | | | | research grant |
| south dakota state universityadmin 105 box 2201 brookings, SD 57007 | 46-6000364 | | 7,915 | | | | research grant |

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|------------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| wisconsin department of natural resourcespo box 7921 madison, WI 53707 | 39-6006436 | | 10,000 | | | | research grant |
| los alamos national laboratory lanl | 74-2853972 | | 33,439 | | | | research grant |
| us department of the interior us geological | | | 31,199 | | | | research grant |

Schedule J
(Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

► Attach to Form 990. To be completed by organizations that answered "Yes" to Form 990, Part IV, line 23.

OMB No 1545-0047

2008

Open to Public Inspection

Name of the organization
CORNELL UNIVERSITY

Employer identification number
15-0532082

Part I Questions Regarding Compensation

| | Yes | No |
|---|-------|----|
| <div><div>1a</div><div>Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items</div><div><div><div><input checked="" type="checkbox"/> First class or charter travel</div><div><input checked="" type="checkbox"/> Travel for companions</div><div><input checked="" type="checkbox"/> Tax idemnification and gross-up payments</div><div><input type="checkbox"/> Discretionary spending account</div></div><div><div><input checked="" type="checkbox"/> Housing allowance or residence for personal use</div><div><input type="checkbox"/> Payments for business use of personal residence</div><div><input checked="" type="checkbox"/> Health or social club dues or initiation fees</div><div><input checked="" type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div></div></div> | | |
| <div><div>b</div><div>If line 1a is checked, did the organization follow a written policy regarding payment or reimbursement or provision of all the expenses described above? If "No," complete Part III to explain</div></div> | 1bYes | |
| <div><div>2</div><div>Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?</div></div> | 2Yes | |
| <div><div>3</div><div>Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply</div><div><div><div><input checked="" type="checkbox"/> Compensation committee</div><div><input checked="" type="checkbox"/> Independent compensation consultant</div><div><input type="checkbox"/> Form 990 of other organizations</div></div><div><div><input checked="" type="checkbox"/> Written employment contract</div><div><input checked="" type="checkbox"/> Compensation survey or study</div><div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div></div></div></div> | | |
| <div><div>4</div><div>During the year, did any person listed in Form 990, Part VII, Section A, line 1a</div></div> | | |
| <div><div>a</div><div>Receive a severance payment or change of control payment?</div></div> | 4a | No |
| <div><div>b</div><div>Participate in, or receive payment from, a supplemental nonqualified retirement plan?</div></div> | 4bYes | |
| <div><div>c</div><div>Participate in, or receive payment from, an equity-based compensation arrangement?</div></div> <div>If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III</div> | 4c | No |
| <div><div>501(c)(3) and 501(c)(4) organizations only must complete lines 5-8.</div></div> | | |
| <div><div>5</div><div>For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of</div></div> | | |
| <div><div>a</div><div>The organization?</div></div> | 5a | No |
| <div><div>b</div><div>Any related organization?</div></div> <div>If "Yes," to line 5a or 5b, describe in Part III</div> | 5b | No |
| <div><div>6</div><div>For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of</div></div> | | |
| <div><div>a</div><div>The organization?</div></div> | 6a | No |
| <div><div>b</div><div>Any related organization?</div></div> <div>If "Yes," to line 6a or 6b, describe in Part III</div> | 6b | No |
| <div><div>7</div><div>For persons listed in form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III</div></div> | 7Yes | |
| <div><div>8</div><div>Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III</div></div> | 8 | No |

Part II **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

| (A) Name | | (B) Breakdown of W-2 and/or 1099-MISC compensation | | | (C) Deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation reported in prior Form 990 or Form 990-EZ |
|------------------------|------|--|-------------------------------------|--------------------------|---------------------------|-------------------------|---------------------------------|--|
| | | (i) Base compensation | (ii) Bonus & incentive compensation | (iii) Other compensation | | | | |
| David J Skorton | (i) | 620,180 | 0 | 33,365 | 158,500 | 103,868 | 915,913 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| W Kent Fuchs | (i) | 317,706 | 0 | 36,000 | 23,000 | 20,970 | 397,676 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| C Biddy Martin | (i) | 315,939 | 26,433 | 19,804 | 23,000 | 7,411 | 392,587 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ANTONIO M GOTTO JR | (i) | 377,097 | 0 | 20,544 | 1,026,646 | 60,511 | 1,484,798 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| STEPHEN T GOLDING | (i) | 449,865 | 24,862 | 42,980 | 23,000 | 17,007 | 557,714 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| JAMES J MINGLE | (i) | 354,667 | 15,467 | 41,522 | 23,000 | 13,864 | 448,520 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| HUNTER RAWLINGS III | (i) | 195,200 | 0 | 8,371 | 19,520 | 12,699 | 235,790 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| HAL D CRAFT | (i) | 168,047 | 0 | 36,000 | 20,513 | 7,068 | 231,628 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| JAMES H WALSH | (i) | 413,680 | 420,000 | 15,000 | 23,000 | 16,963 | 888,643 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| DANIEL M KNOWLES MD | (i) | 304,088 | 72,164 | 635,986 | 36,400 | 14,224 | 1,062,862 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| PAK H CHUNG MD | (i) | 43,236 | 0 | 2,139,534 | 26,025 | 25,992 | 2,234,787 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ISAAC KLIGMAN MD | (i) | 23,177 | 0 | 1,882,141 | 26,025 | 22,691 | 1,954,034 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ZEV ROSENWAKS MD | (i) | 280,519 | 0 | 3,193,917 | 36,400 | 14,078 | 3,524,914 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| STEVEN D SPANDORFER MD | (i) | 25,181 | 0 | 2,371,358 | 26,025 | 27,486 | 2,450,050 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| PETER N SCHLEGEL MD | (i) | 280,386 | 0 | 1,857,396 | 31,274 | 29,392 | 2,198,448 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | (ii) | | | | | | | |

| | | |
|--------------------------|--|---------------------------|
| Schedule K (Form 990) | Supplemental Information on Tax Exempt Bonds | OMB No 1545-0047 |
| | | 2008 |
| | | Open to Public Inspection |

Department of the Treasury
Internal Revenue Service

Name of the organization
CORNELL UNIVERSITY

To be completed by organizations that answered "Yes" to Form 990, Part IV, line 24a.
Provide descriptions, explanations, and any additional information in Schedule O.

Employer identification number
15-0532082

Part I Bond Issues (Required for 2008)

| (a) Issuer Name | (b) Issuer EIN | (c) CUSIP # | (d) Date Issued | (e) Issue Price | (f) Description of Purpose | (g) Defeased | | (h) On Behalf of Issuer | |
|---|----------------|-------------|-----------------|-----------------|------------------------------------|--------------|----|-------------------------|----|
| | | | | | | Yes | No | Yes | No |
| A Dorm Auth State of NY (DASNY) Rev Bond 2009 A | 14-6000293 | | 04-22-2009 | 312,100,133 | Phy Sci Bldg, other bldg & refundg | | X | | X |
| B DASNY Rev Bond 2008 B and C | 14-6000293 | | 04-10-2008 | 130,000,000 | Refunding | | X | | X |
| C Industrial Develop Agency (IDA) 2008 A and B | 16-1214039 | | 01-30-2008 | 70,000,000 | Heat and Power Plant & refunding | | X | | X |
| D DASNY 2006 | 14-6000293 | | 05-11-2006 | 258,479,025 | University bldgs and refunding | | X | | X |
| E DASNY 2004 A and B | 14-6000293 | | 05-27-2004 | 92,100,000 | University bldgs and refunding | | X | | X |

Part II Proceeds (Optional for 2008)

| | A | | B | | C | | D | | E | |
|---|-----|----|-----|----|-----|----|-----|----|-----|----|
| | Yes | No | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 Total Proceeds of Issue | | | | | | | | | | |
| 2 Gross Proceeds in Reserve Funds | | | | | | | | | | |
| 3 Proceeds in Refunding or Defeasance Escrows | | | | | | | | | | |
| 4 Other Unspent Proceeds | | | | | | | | | | |
| 5 Issuance Costs from Proceeds | | | | | | | | | | |
| 6 Working Capital Expenditures from Proceeds | | | | | | | | | | |
| 7 Capital Expenditures from Proceeds | | | | | | | | | | |
| 8 Year of Substantial Completion | | | | | | | | | | |
| 9 Were the bonds issued as part of a current refunding issue? | | | | | | | | | | |
| 10 Were the bonds issued as part of an advance refunding issue? | | | | | | | | | | |
| 11 Has the final allocation of proceeds been made? | | | | | | | | | | |
| 12 Does the organization maintain adequate books and records to support the final allocation of proceeds? | | | | | | | | | | |

Part III Private Business Use (Optional for 2008)

| | A | | B | | C | | D | | E | |
|--|-----|----|-----|----|-----|----|-----|----|-----|----|
| | Yes | No | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds? | | | | | | | | | | |
| 2 Are there any lease arrangements with respect to the financed property which may result in private business use? | | | | | | | | | | |

Part III

Private Business Use (Continued)

| | | A | | B | | C | | D | | E | |
|----|--|-----|----|-----|----|-----|----|-----|----|-----|----|
| | | Yes | No | Yes | No | Yes | No | Yes | No | Yes | No |
| 3a | Are there any management or service contracts with respect to the financed property which may result in private business use? | | | | | | | | | | |
| 3b | Are there any research agreements with respect to the financed property which may result in private business use? | | | | | | | | | | |
| 3c | Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property? | | | | | | | | | | |
| 4 | Enter the percentage of financed property used in a private business use by entities other than a 501(c)(3) organization or a state or local government | | | | | | | | | | |
| 5 | Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another 501(c)(3) organization, or a state or local government | | | | | | | | | | |
| 6 | Total of lines 4 and 5 | | | | | | | | | | |
| 7 | Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities? | | | | | | | | | | |

Part IV

Arbitrage (Optional for 2008)

| | | A | | B | | C | | D | | E | |
|----|---|-----|----|-----|----|-----|----|-----|----|-----|----|
| | | Yes | No | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 | Has a Form 8038-T been filed wth respect to the bond issue? | | | | | | | | | | |
| 2 | Is the bond issue a variable rate issue? | | | | | | | | | | |
| 3a | Has the organization or the government issuer identified a hedge with respect to the bond issue on its books and records? | | | | | | | | | | |
| b | Name of provider | | | | | | | | | | |
| c | Term of hedge | | | | | | | | | | |
| 4a | Were gross proceeds invested in a GIC? | | | | | | | | | | |
| b | Name of provider | | | | | | | | | | |
| c | Term of GIC | | | | | | | | | | |
| d | Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? | | | | | | | | | | |
| 5 | Were any gross proceeds invested beyond an available temporary period? | | | | | | | | | | |
| 6 | Did the bond issue qualify for an exception to rebate? | | | | | | | | | | |

Schedule L
(Form 990 or 990-EZ)

OMB No 1545-0047

2008

Open to Public Inspection

Transactions with Interested Persons

▶ Attach to Form 990 or Form 990-EZ.
▶ To be completed by organizations that answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V lines 38b or 40b.

Name of the organization
CORNELL UNIVERSITY

Employer identification number
15-0532082

Part I Excess Benefit Transactions (section 501(c)(3) and section 501 (c)(4) organizations only).

To be completed by organizations that answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

| 1 | (a) Name of disqualified person | (b) Description of transaction | (c) Corrected? | |
|---|---------------------------------|--------------------------------|----------------|----|
| | | | Yes | No |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2

Enter the amount of tax imposed on the organization managers or disqualified persons during the year under section 4958

▶ \$

3

Enter the amount of tax, if any, on line 2, above, reimbursed by the organization

▶ \$

Part II Loans to and/or From Interested Persons

To be completed by organizations that answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a

| (a) Name of interested person and purpose | (b) Loan to or from the organization? | | (c)Original principal amount | (d)Balance due | (e) In default? | | (f) Approved by board or committee? | | (g)Written agreement? | |
|---|---------------------------------------|------|------------------------------|----------------|-----------------|----|-------------------------------------|----|-----------------------|----|
| | To | From | | | Yes | No | Yes | No | Yes | No |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |

Total ▶ \$

Part III Grants or Assistance Benefitting Interested Persons

To be completed by organizations that answered "Yes" on Form 990, Part IV, line 27.

| (a) Name of interested person | (b)Relationship between interested person and the organization | (c)Amount of grant or type of assistance |
|-------------------------------|--|--|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Part IV Business Transactions Involving Interested Persons

To be completed by organizations that answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

| (a) Name of interested person | (b) Relationship between interested person and the organization | (c) Amount of transaction | (d) Description of transaction | (e) Sharing of organization's revenues? | |
|---------------------------------|---|---------------------------|--------------------------------|---|----|
| | | | | Yes | No |
| SB Ashley Mgm Co | Trustee ownership int | 262,632 | Real Estate Mgm | | No |
| MC Partners | Trustee Inv Mgr | 283,544 | Investment Distribution | | No |
| US Venture Partners VII VIII IX | Trustee Inv Mgr | 2,191,099 | Invest Funding net of Dist | | No |
| | | | | | |
| | | | | | |
| | | | | | |

SCHEDULE M
(Form 990)

Department of the Treasury
Internal Revenue Service

Non-Cash Contributions

To be completed by organizations that answered
"Yes" on Form 990, Part IV, lines 29 or 30.
Attach to Form 990

OMB No 1545-0047

2008

Open to Public
Inspection

Name of the organization
CORNELL UNIVERSITY

Employer identification number
15-0532082

Part I

Types of Property

| | (a) Check if applicable | (b) Number of Contributions | (c) Revenues reported on Form 990, Part VIII, line 1g | (d) Method of determining revenues |
|---|----------------------------------|--------------------------------|--|--|
| 1 Art—Works of art | X | 74 | 9,069,560 | donor's appraisal |
| 2 Art—Historical treasures | | | | |
| 3 Art—Fractional interests | | | | |
| 4 Books and publications | X | | 3,902,086 | donor's appraisal |
| 5 Clothing and household goods | | | | |
| 6 Cars and other vehicles | X | 1 | 700 | donor's appraisal |
| 7 Boats and planes | X | 1 | 299,000 | |
| 8 Intellectual property | | | | |
| 9 Securities—Publicly traded | X | 44 | 8,273,892 | sales price |
| 10 Securities—Closely held stock | X | 1 | 100 | cost |
| 11 Securities—Partnership, LLC, or trust interests | | | | |
| 12 Securities—Miscellaneous | | | | |
| 13 Qualified conservation contribution (historic structures) | | | | |
| 14 Qualified conservation contribution (other) | | | | |
| 15 Real estate—Residential | X | 3 | 617,000 | donor's appraisal |
| 16 Real estate—Commercial | X | 1 | 615,000 | donor's appraisal |
| 17 Real estate—Other | | | | |
| 18 Collectibles | | | | |
| 19 Food inventory | | | | |
| 20 Drugs and medical supplies | | | | |
| 21 Taxidermy | | | | |
| 22 Historical artifacts | | | | |
| 23 Scientific specimens | | | | |
| 24 Archeological artifacts | | | | |
| 25 Other (describe computers/equipetc) | X | 0 | 212,276 | University est value |
| misc other | | | | |
| 26 Other (describe equip) | X | 0 | 1,612,128 | University est value |
| 27 Other (describe horses) | X | 0 | 938,494 | donor's appraisal |
| 28 Other (describe) | | | | |
| 29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement | | | | 29 |

30a

During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?

30a

No

b

If "Yes", describe the arrangement in Part II

31

Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

31

Yes

32a

Does the organization hire or use third parties or related organizations to solicit, process, or sell non-cash contributions?

32a

Yes

b

If "Yes", describe in Part II

33

If the organization did not report revenues in Column (c) for a type of property for which Column (a) is checked, describe in Part II

Part II **Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

[illegible]

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

OMB No 1545-0047

2008

Open to Public Inspection

Name of the organization
CORNELL UNIVERSITY

Employer identification number
15-0532082

| Identifier | Return Reference | Explanation |
|--|------------------|---|
| Page 1-Part 1-Line 3(Additional information on independent voting members) | | Board members w ho are otherw ise employees or have a business relationship w ith the University that requires reporting on Schedule L are not deemed independent for purposes of 990 reporting |

| Identifier | Return Reference | Explanation |
|---|------------------|---|
| Page 1-Part I- line 5 (Additional information on number of employees) | | The University's regular workforce consists of approximately 15,000 employees We report employees on the 990 based on the number of W-2's issued including W-2's for students |

| Identifier | Return Reference | Explanation |
|--|------------------|---|
| Page 1-Part I- line 6 (Additional information on number of volunteers) | | Cornell's Office of Alumni Affairs and Development estimated the number of volunteers The volunteer base is extensive but is not formally tracked in a database |

| Identifier | Return Reference | Explanation |
|--|------------------|--|
| Page 2-Part III- Line 1 University Mission | | Cornell is a private, Ivy League University In addition to its education, research and other mssions, it is charged w ith carrying out the land grant mssion for New York State The mission of land grant colleges as set forth in the Morrill Act of 1862 is to focus on teaching of agriculture, science and engineering This mission w as further articulated by other legislation to include outreach missions that included "sending agents into rural areas to help bring the results of agricultural research to end users " Cornell fulfills its land grant mssion by providing education, outreach and applied research touching every aspect of life in New York |

| Identifier | Return Reference | Explanation |
|---|------------------|---|
| Page 2-Part III-Line 4d (Required information on Other Program Services) | | Other program services include public services and other mssion related activities such as academic conferences, publications, the provision of living space and social space for the student body, etc The revenues of \$631,896,602 include \$182,370,892 in federal and state appropriations to support the land grant mission |

| Identifier | Return Reference | Explanation |
|---|------------------|--|
| Page 6-Section A-Line 2- (Additional information on relationships) | | The University's Policies on Standards of Ethical Conduct and Conflicts of Interest and its annual disclosure statements are the primary procedures the University relies on for disclosure of relationships |

| Identifier | Return Reference | Explanation |
|---------------------------|------------------|---|
| Page 6-Section A-Line 7a- | | (Additional information on w ho may elect Board members) Based on the University's By-Law s, the faculty elect tw o members, employees other than faculty elect one member, students elect tw o members and alumni elect eight members for staggered terms of four years each |

| Identifier | Return Reference | Explanation |
|---------------------------|------------------|--|
| Page 6-Section A-Line 10- | | (Required information on process for review of 990) In advance of filing, the University presents a draft of the Form 990 to the Audit Commtttee of the Board of Trustees and also makes a copy available to the entire Board of Trustees on the Board's secure web site |

| Identifier | Return Reference | Explanation |
|----------------------------------|------------------|--|
| Page 6 Section B Line 12c- | | (Required information on Conflict of Interest Policy) Under the University's Conflict of Interest Policies officers, directors, and key employees, as w ell as certain other employees, must file an annual conflict of interest statement Any conflict identified on the statement is reported to the appropriate office that is responsible for managing the conflict (e g the real estate office for real estate matters) to help ensure an arms-length transaction that is subject to review and appropriate authorization |

| Identifier | Return Reference | Explanation |
|---|------------------|--|
| Page 6-Section B Line 15a and 15b- (Required information on Compensation) | | The University has clear procedures to authorize and manage compensation issues. The procedures identified below apply to a) all officers of the corporation, b) all former officers of the corporation who continue to provide services to the institution as a whole, c) all employees who occupy certain job titles such as Dean and Vice President, and d) all employees whose compensation exceeds a pre-established threshold (currently \$245,000 a year for the Ithaca campus). In addition, there is a contemporaneous written record of the process that provides a "rebuttable presumption" of reasonableness. * Vice President (VP) for the Division of Human Resources (HR) develops the list of individuals subject to review, * VP HR obtains comparative data from external, independent compensation consulting firms, * VP HR and her internal staff develop a formal presentation to present to the Compensation sub-committee of the Executive Committee of the Board for its spring meeting, * The sub-committee of the Board reviews, modifies if deemed appropriate, and recommends compensation for final review and approval to the Executive Committee of the Board of Trustees. * Compensation proposals that occur outside the spring timeline and require Executive Committee approval are presented for review and approval in private session to the Executive Committee of the Board. Note: if there is a discretionary component for compensation, it is limited by amount or percentage or other clearly specified criteria. Amounts in excess of these authorized guidelines require review by the Board. |

| Identifier | Return Reference | Explanation |
|--|------------------|--|
| Page 6-Section B Line 16b-(Additional information on "joint ventures") | | The University has numerous controls to help ensure that any investment in, contribution of assets to or participation in a joint venture or similar arrangement with a taxable entity, are in full compliance with all University policies, including but not limited to the policy on transaction authority and unrelated business income. Compliance with the University's policies serves to protect the organization's exempt status. |

| Identifier | Return Reference | Explanation |
|---------------------------|------------------|---|
| Page 6-Section C Line 19- | | (Required Information on making information available to the public) The University's charter, By-Laws, policies (including but not limited to the Conflict of Interest policy) and its audited financial statements are available to the public through the university's web site: http://www.cornell.edu . The University also makes these documents available if requested in person, by phone or mail. |

| Identifier | Return Reference | Explanation |
|---------------------|------------------|--|
| SCHEDULE E- Line 6a | | (Additional information on Financial Aid/Government Assistance) The University receives government funding/support for aid to its students such as Perkins Loan Funds, Work-Study funding, SEOG grants, TAP grants, NSF REU funding (research support for undergraduates), training grants such as NSF's NRSA grants, etc. |

| Identifier | Return Reference | Explanation |
|--|------------------|---|
| SCHEDULE K- Line 6a (Additional information on tax exempt bonds) | | DASNY 2009 A Cusip Numbers ----- The CUSIP Number is 649905 with the following unique identifying letters and numbers: CJ3, CK0, CL8, CM6, CN4, CP9, CQ7, CR5, CS3, CT1, CU8, CV6, CW4, CM6, CX2, CY0, CZ7, DA1, DB9, DC7. DASNY 2008 B and C Cusip Numbers ----- 649903 ZKO, 649903 ZJ3. IDA 2008 A and B Cusip Numbers ----- 890099 DD3, 890099 DE1. DASNY 2006 Cusip Numbers ----- The CUSIP Number is 64983 with the following unique identifying letters and numbers: QK32, QK40, QK57, QK65, QK73, QK81, QK99, QL23, QL31, QL49, QL56, QL64, QL72, QL80, QL98. DASNY 2004 Cusip Numbers ----- 64983MF37, 64983MF45. DASNY 2004 Cusip Numbers ----- 64983MF37, 64983MF45. IDA 2002 A and B Cusip Numbers ----- 890099 BTO, 89099BU7. |

| Identifier | Return Reference | Explanation |
|---|------------------------------|---|
| Page 3 - Part IV - Line 12 and Part XI- Line 2b | audited financial statements | CORNELL UNIVERSITY'S FINANCIAL STATEMENTS ARE prepared in accordance with GAAP and AUDITED BY AN INDEPENDENT ACCOUNTING FIRM, AND THE UNIVERSITY HAS AN AUDIT COMMITTEE TO OVERSEE THE SELECTION OF THE AUDITORS AND THE AUDIT PROCESS. HOWEVER, THE INTERNAL REVENUE SERVICE INSTRUCTIONS TO THE 2008 FORM 990, FOR PART IV, LINE 12, REQUIRE STATING THAT AN ORGANIZATION DOES NOT HAVE AUDITED FINANCIAL STATEMENTS IN ACCORDANCE WITH GENERALLY ACCEPTED ACCOUNTING PRINCIPLES IF THE FINANCIAL STATEMENTS INCLUDE OTHER ENTITIES THAT ARE CONSOLIDATED. THE UNIVERSITY'S FINANCIAL STATEMENTS INCLUDE ITS SUBSIDIARIES. ACCORDINGLY, THE UNIVERSITY HAS CHECKED NO TO PART IV, LINE 12 AND PART XI, LINE 2B. |

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

OMB No 1545-0047

2008

Open to Public Inspection

▶ Attach to Form 990. To be completed by organizations that answerd "Yes" to Form 990, Part IV, lines 33, 34, 35, 36, or 37.
▶ See separate instructions.

Name of the organization
CORNELL UNIVERSITY

Employer identification number
15-0532082

Part I

Identification of Disregarded Entities

| (A) Name, address, and EIN of disregarded entity | (B) Primary activity | (C) Legal domicile (state or foreign country) | (D) Total income | (E) End-of-year assets | (F) Direct controlling entity |
|---|-------------------------|--|---------------------|---------------------------|----------------------------------|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

Part II

Identification of Related Tax-Exempt Organizations

| (A) Name, address, and EIN of related organization | (B) Primary activity | (C) Legal domicile (state or foreign country) | (D) Exempt Code section | (E) Public charity status (if section 501(c)(3)) | (F) Direct controlling entity |
|---|-------------------------|--|----------------------------|---|----------------------------------|
| Cornell Center of New York 6 East 44th St New York, NY10017 | social club | NY | 501 (c) (7) | | |
| MRSI Management Inc Weill Cornell 425 E 61st St New York, NY10022 | medical imagi | NY | 501 (c) (3) | 11d | |
| Cornell Real Property Services Inc 15 Thornwood Ithaca, NY14850 | real estate | NY | 501 (c) (3) | 11d | |
| Cornell Research Foundation Inc Cornell Univ Day Hall Ithaca, NY14850 | intellectual | NY | 501 (c) (2) | | |
| Samuel Curtis Johnson Trust Cornell Univ Seneca Pl Ithaca, NY14850 | support Unive | NY | 501 (c) (3) | 11d | |
| Cornell University Foundation Cornell Univ Seneca Pl Ithaca, NY14850 | support Unive | NY | 501 (c) (3) | 11d | |
| | | | | | |

Part III

Identification of Related Organizations Taxable as a Partnership

| (A) Name, address, and EIN of related organization | (B) Primary activity | (C) Legal domicile (state or foreign country) | (D) Direct controlling entity | (E) Predominant income(related, investment, unrelated) | (F) Share of total income | (G) Share of end-of-year assets | (H) Disproportionate allocations? | | (I) Code V—UBI amount on Box 20 of K-1 | (J) General or managing partner? | |
|--|-------------------------|--|-------------------------------------|--|------------------------------|---------------------------------------|---|----|--|---|----|
| | | | | | | | Yes | No | | Yes | No |
| ITHACA LP | investments | XC | | related investment | | 25,955,081 | | No | | | No |
| | | | | | | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust

| (A) Name, address, and EIN of related organization | (B) Primary activity | (C) Legal domicile (state or foreign country) | (D) Direct controlling entity | (E) Type of entity (C corp, S corp, or trust) | (F) Share of total income | (G) Share of end-of-year assets | (H) Percentage ownership |
|--|-------------------------|---|-------------------------------------|--|------------------------------|--|--------------------------------|
| Tower Innovative 950 Danby Ithaca, NY14850 | dist learning | NY | na | C corp | 257,749 | 4,746,641 | 100 % |
| CU Transit Inc 120 Maple Ave Ithaca, NY14850 | transportation | NY | na | C corp | 0 | 65,435 | 100 % |
| Lenroc Real Estate Brokerage Inc 15 Thorwood ithaca, NY14850 | brokerage | NY | na | C corp | 17,137 | 0 | 100 % |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Form **4562**
Department of the Treasury
Internal Revenue Service

Depreciation and Amortization
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172
2008
Attachment
Sequence No **67**

| | | |
|---|---|----------------------------------|
| Name(s) shown on return CORNELL UNIVERSITY | Business or activity to which this form relates GENERAL DEPRECIATION | Identifying number 15-0532082 |
|---|---|----------------------------------|

Part I Election To Expense Certain Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I.

| | | |
|--|---|------------|
| 1 Maximum amount See the instructions for a higher limit for certain businesses | 1 | \$ 250,000 |
| 2 Total cost of section 179 property placed in service (see instructions) | 2 | |
| 3 Threshold cost of section 179 property before reduction in limitation (see instructions) | 3 | \$ 800,000 |
| 4 Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0- | 4 | |
| 5 Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions | 5 | |

| (a) Description of property | (b) Cost (business use only) | (c) Elected cost |
|--|------------------------------|------------------|
| 6 | | |
| 7 Listed property Enter the amount from line 29 | 7 | |
| 8 Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7 | 8 | |
| 9 Tentative deduction Enter the smaller of line 5 or line 8 | 9 | |
| 10 Carryover of disallowed deduction from line 13 of your 2007 Form 4562 | 10 | |
| 11 Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions) | 11 | |
| 12 Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11 | 12 | |
| 13 Carryover of disallowed deduction to 2009 Add lines 9 and 10, less line 12 .▶ | 13 | |

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property) (See instructions)

| | | |
|--|----|-------------|
| 14 Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions) | 14 | |
| 15 Property subject to section 168(f)(1) election | 15 | |
| 16 Other depreciation (including ACRS) | 16 | 648,020,443 |

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)

| Section A | | |
|--|----|---------------|
| 17 MACRS deductions for assets placed in service in tax years beginning before 2008 | 17 | 1,109,577,557 |
| 18 If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ | | |

Section B—Assets Placed in Service During 2008 Tax Year Using the General Depreciation System

| (a) Classification of property | (b) Month and year placed in service | (c) Basis for depreciation (business/investment use only—see instructions) | (d) Recovery period | (e) Convention | (f) Method | (g) Depreciation deduction |
|--------------------------------|--------------------------------------|--|---------------------|----------------|------------|----------------------------|
| 19a 3-year property | | | | | | |
| b 5-year property | | | | | | |
| c 7-year property | | | | | | |
| d 10-year property | | | | | | |
| e 15-year property | | | | | | |
| f 20-year property | | | | | | |
| g 25-year property | | | 25 yrs | | S/L | |
| h Residential rental property | | | 27 5 yrs | MM | S/L | |
| | | | 27 5 yrs | MM | S/L | |
| i Nonresidential real property | | | 39 yrs | MM | S/L | |
| | | | | MM | S/L | |

Section C—Assets Placed in Service During 2008 Tax Year Using the Alternative Depreciation System

| | | | | | | |
|----------------|--|--|--------|----|-----|--|
| 20a Class life | | | | | S/L | |
| b 12-year | | | 12 yrs | | S/L | |
| c 40-year | | | 40 yrs | MM | S/L | |

Part IV Summary (See instructions)

| | | |
|--|----|---------------|
| 21 Listed property Enter amount from line 28 | 21 | |
| 22 Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instr | 22 | 1,757,598,000 |
| 23 For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs | 23 | |

Part V

Listed Property (Include automobiles, certain other vehicles, cellular telephones, certain computers, and property used for entertainment, recreation, or amusement.)
Note: For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete **only** 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.

Section A—Depreciation and Other Information (Caution: See the instructions for limits for passenger automobiles.)

| | | | | | | | | |
|---|-------------------------------|--|----------------------------|--|------------------------|---|--------------------------------|---------------------------------|
| 24a Do you have evidence to support the business/investment use claimed? <input type="checkbox"/> Yes <input type="checkbox"/> No | | | | | | 24b If "Yes," is the evidence written? <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| (a) Type of property (list vehicles first) | (b) Date placed in service | (c) Business/ investment use percentage | (d) Cost or other basis | (e) Basis for depreciation (business/investment use only) | (f) Recovery period | (g) Method/ Convention | (h) Depreciation/ deduction | (i) Elected section 179 cost |
| 25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions) | | | | | | 25 | | |
| 26 Property used more than 50% in a qualified business use | | | | | | | | |
| | | % | | | | | | |
| | | % | | | | | | |
| | | % | | | | | | |
| 27 Property used 50% or less in a qualified business use | | | | | | | | |
| | | % | | | S/L - | | | |
| | | % | | | S/L - | | | |
| | | % | | | S/L - | | | |
| 28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1 | | | | | | 28 | | |
| 29 Add amounts in column (i), line 26 Enter here and on line 7, page 1 | | | | | | | 29 | |

Section B—Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person
If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

| | | | | | | | | | | | | |
|--|------------------|----|------------------|----|------------------|----|------------------|----|------------------|----|------------------|----|
| 30 Total business/investment miles driven during the year (do not include commuting miles) | (a) Vehicle 1 | | (b) Vehicle 2 | | (c) Vehicle 3 | | (d) Vehicle 4 | | (e) Vehicle 5 | | (f) Vehicle 6 | |
| 31 Total commuting miles driven during the year | | | | | | | | | | | | |
| 32 Total other personal(noncommuting) miles driven | | | | | | | | | | | | |
| 33 Total miles driven during the year Add lines 30 through 32 | | | | | | | | | | | | |
| 34 Was the vehicle available for personal use during off-duty hours? | Yes | No | Yes | No | Yes | No | Yes | No | Yes | No | Yes | No |
| 35 Was the vehicle used primarily by a more than 5% owner or related person? | | | | | | | | | | | | |
| 36 Is another vehicle available for personal use? | | | | | | | | | | | | |

Section C—Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons (see instructions)

| | | |
|---|-----|----|
| 37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees? | Yes | No |
| 38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners | | |
| 39 Do you treat all use of vehicles by employees as personal use? | | |
| 40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received? | | |
| 41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions) | | |
| Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles | | |

Part VI Amortization

| | | | | | |
|---|---------------------------------|---------------------------|---------------------|---|------------------------------------|
| (a) Description of costs | (b) Date amortization begins | (c) Amortizable amount | (d) Code section | (e) A mortization period or percentage | (f) A mortization for this year |
| 42 A mortization of costs that begins during your 2008 tax year (see instructions) | | | | | |
| | | | | | |
| 43 A mortization of costs that began before your 2008 tax year | | | | 43 | |
| 44 Total. Add amounts in column (f) See the instructions for where to report | | | | 44 | |

Additional Data

Software ID:
Software Version:
EIN: 15-0532082
Name: CORNELL UNIVERSITY

Form 990, Part VII - Section Aaa

| (A) Name and Title | (B) Average hours per week | (C) Position (check all that apply) | | | | | | (D) Reportable compensation from the organization (W- 2/1099MISC) | (E) Reportable compensation from related organizations (W- 2/1099- MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|-----------------------------------|--|---|-----------------------|---------|--------------|---------------------------------|--------|--|--|---|
| | | Individual Trustee or Director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| Elizabeth J Altman , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| Stephen B Ashley , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| Rosemary Jane Avery , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| Jeffrey F Berg , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| C Morton Bishop III , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| Franci J Blassberg , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| Richard L Booth , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| Kelly J Brown , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| Dwight L Bush Sr , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| Ronnie S Chernoff , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| Ezra Cornell , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| David D Croll , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| Diana M Daniels , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| Ira Drukier , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| Katherine H Duch , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| William V Eaton , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Ronald G Ehrenberg , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Stephen J Ettinger , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Miguel A Ferrer , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| David R Fischell , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Samuel C Fleming , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Cheryl A Francis , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Blanche S Goldenberg , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Kenneth A Goldman , trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| Paul A Gould , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| Robert S Harrison , vice chairman | 1 0 | X | | | | | | 0 | 0 | 0 |
| Denis M Hughes , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Robert J Katz , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Karen Rupert Keating , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Jill N Lerner , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |

Form 990, Part VII - Section Aaa

| (A) Name and Title | (B) Average hours per week | (C) Position (check all that apply) | | | | | | (D) Reportable compensation from the organization (W- 2/1099MISC) | (E) Reportable compensation from related organizations (W- 2/1099- MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|-------------------------------------|--|---|-----------------------|---------|--------------|---------------------------------|--------|--|--|---|
| | | Individual Trustee or Director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| Marcus H Loo , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Kevin M McGovern , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| Beth McKinney , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Peter C Meinig , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Howard P Milstein , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Elizabeth D Moore , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| N R Narayana Murthy , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Carolyn Chauncey Neuman , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| John A Noble , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| Peter J Nolan , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Lubna Suliman Olayan , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| Donald C Opatrny , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| David Paterson , ex officio TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Bruce S Raynor , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Philip R Reilly , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Irene B Rosenfeld , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Jerold R Ruderman , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Robert L Ryan , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Sheldon Silver , Ex Officio trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| Dean G Skelos , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| David J Skorton , Ex Officio | 1 0 | X | | | | | | 0 | 0 | 0 |
| Malcolm A Smith , Ex Officio | 1 0 | X | | | | | | 0 | 0 | 0 |
| Sherri K Stuewer , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Martin Y Tang , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Ratan N Tata , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Lisa Skeete Tatum , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| Andrew H Tisch , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| Michael J Walsh , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Barton J Winokur , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Sheryl WuDunn , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |

Form 990, Part VII - Section Aaa

| (A) Name and Title | (B) Average hours per week | (C) Position (check all that apply) | | | | | | (D) Reportable compensation from the organization (W- 2/1099MISC) | (E) Reportable compensation from related organizations (W- 2/1099- MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|--|---|-----------------------|---------|--------------|---------------------------------|--------|--|--|---|
| | | Individual Trustee or Director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| Philip M Young , TRUSTEE | 1 0 | X | | | | | | 0 | 0 | 0 |
| Craig Yunker , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| Michael J Zak , Trustee | 1 0 | X | | | | | | 0 | 0 | 0 |
| David W Zalaznick , Vice Chairman | 1 0 | X | | | | | | 0 | 0 | 0 |
| Jan Rock Zubrow , Vice Chairman | 1 0 | X | | | | | | 0 | 0 | 0 |
| David J Skorton , President | 55 0 | | | X | | | | 653,545 | 0 | 262,368 |
| W Kent Fuchs , Provost | 55 0 | | | X | | | | 353,706 | 0 | 43,970 |
| C Biddy Martin , Provost | 55 0 | | | X | | | | 362,176 | 0 | 30,411 |
| ANTONIO M GOTTO JR , DEAN AND PROVOST | 55 0 | | | X | | | | 397,641 | 0 | 1,087,157 |
| STEPHEN T GOLDING , EXECUTIVE VICE PRESIDENT | 55 0 | | | X | | | | 517,707 | 0 | 40,007 |
| JAMES J MINGLE , UNIVERSITY COUNSEL | 55 0 | | | X | | | | 411,656 | 0 | 36,864 |
| JAMES H WALSH , CHIEF INVESTMENT OFFICER | 55 0 | | | | X | | | 848,680 | 0 | 39,963 |
| DANIEL M KNOWLES MD , CHIEF MEDICAL OFFICER | 55 0 | | | | X | | | 1,012,238 | 0 | 50,624 |
| PAK H CHUNG MD , PROFESSOR OF REPRODUCTIVE MEDI | 55 0 | | | | | X | | 2,182,770 | 0 | 52,017 |
| ISAAC KLIGMAN MD , PROFESSOR OF REPRODUCTIVE MEDI | 55 0 | | | | | X | | 1,905,318 | 0 | 48,716 |
| ZEV ROSENWAKS MD , PROFESSOR OF REPRODUCTIVE MEDI | 55 0 | | | | | X | | 3,474,436 | 0 | 50,478 |
| STEVEN D SPANDORFER MD , PROFESSOR OF REPRODUCTIVE MEDI | 55 0 | | | | | X | | 2,396,539 | 0 | 53,511 |
| PETER N SCHLEGEL MD , CHAIR AND PROFESSOR OF UROLOGY | 55 0 | | | | | X | | 2,137,782 | 0 | 60,666 |
| HUNTER RAWLINGS III , PRESIDENT EMERITUS | 55 0 | | | | | | X | 203,571 | 0 | 32,219 |
| HAL D CRAFT , VICE PRESIDENT EMERITUS | 55 0 | | | | | | X | 204,047 | 0 | 27,581 |

Form 990, Part VIII - Statement of Revenue - 2a - 2g Program Service Revenue -

| | Business Code | (A) Total Revenue | (B) Related or Exempt Function Revenue | (C) Unrelated Business Revenue | (D) Revenue Excluded from Tax under IRC 512, 513, or 514 |
|----------------------------------|---------------|----------------------|--|---|--|
| a EDUCATION TUITION | 900,099 | 476,971,092 | 476,971,092 | | |
| b RESEARCH | 900,099 | 557,724,167 | 557,724,167 | | |
| c MEDICAL SERVICES | 900,099 | 504,091,559 | 504,091,559 | | |
| d LAND GRANT GOVT APPROP | 900,099 | 182,370,892 | 182,370,892 | | |
| e AUXILIARY ENT -DORM/MEAL PLAN) | 900,099 | 145,501,657 | 145,501,657 | | |

Form 990, Part III, Line 1 - Briefly describe the organization's mission:

The University's most significant activities are education, research, medical services and other public services. THE UNIVERSITY SEEKS "...TO DISCOVER, preserve and disseminate knowledge; produce creative work; and promote a culture of broad inquiry throughout and beyond the Cornell community. Cornell also aims, through public service, to enhance the lives and livelihoods of our students, the people of N.Y. and others around the world." Addtl information-See Schedule O.

Software ID:

Software Version:

EIN: 15-0532082

Name: CORNELL UNIVERSITY

Form 990 Schedule F Part II - Grants and Other Assistance to Organizations or Entities Outside The United States

| (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|--------------------------|--|--------------------------|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| | | East Asia/Pacific | Research | 323,623 | chk/wire | | | |
| | | Middle East/North Africa | Research | 354,563 | chk/wire | | | |
| | | North America | Research | 1,497,301 | chk/wire | | | |
| | | Russia | Research | 48,600 | chk/wire | | | |
| | | South America | Research | 307,506 | chk/wire | | | |
| | | South Asia | Research | 313,431 | chk/wire | | | |
| | | Sub-Saharan Africa | Research | 172,971 | chk/wire | | | |

Software ID:

Software Version:

EIN: 15-0532082

Name: CORNELL UNIVERSITY

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

| (A) Name | | (B) Breakdown of W-2 and/or 1099-MISC compensation | | | (C) Deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation reported in prior Form 990 or Form 990-EZ |
|------------------------|-------------|--|-------------------------------------|--------------------------|---------------------------|-------------------------|---------------------------------|--|
| | | (i) Base Compensation | (ii) Bonus & incentive compensation | (iii) Other compensation | | | | |
| David J Skorton | (i) (ii) | 620,180 0 | 0 0 | 33,365 0 | 158,500 0 | 103,868 0 | 915,913 0 | 0 0 |
| W Kent Fuchs | (i) (ii) | 317,706 0 | 0 0 | 36,000 0 | 23,000 0 | 20,970 0 | 397,676 0 | 0 0 |
| C Biddy Martin | (i) (ii) | 315,939 0 | 26,433 0 | 19,804 0 | 23,000 0 | 7,411 0 | 392,587 0 | 0 0 |
| ANTONIO M GOTTO JR | (i) (ii) | 377,097 0 | 0 0 | 20,544 0 | 1,026,646 0 | 60,511 0 | 1,484,798 0 | 0 0 |
| STEPHEN T GOLDING | (i) (ii) | 449,865 0 | 24,862 0 | 42,980 0 | 23,000 0 | 17,007 0 | 557,714 0 | 0 0 |
| JAMES J MINGLE | (i) (ii) | 354,667 0 | 15,467 0 | 41,522 0 | 23,000 0 | 13,864 0 | 448,520 0 | 0 0 |
| HUNTER RAWLINGS III | (i) (ii) | 195,200 0 | 0 0 | 8,371 0 | 19,520 0 | 12,699 0 | 235,790 0 | 0 0 |
| HAL D CRAFT | (i) (ii) | 168,047 0 | 0 0 | 36,000 0 | 20,513 0 | 7,068 0 | 231,628 0 | 0 0 |
| JAMES H WALSH | (i) (ii) | 413,680 0 | 420,000 0 | 15,000 0 | 23,000 0 | 16,963 0 | 888,643 0 | 0 0 |
| DANIEL M KNOWLES MD | (i) (ii) | 304,088 0 | 72,164 0 | 635,986 0 | 36,400 0 | 14,224 0 | 1,062,862 0 | 0 0 |
| PAK H CHUNG MD | (i) (ii) | 43,236 0 | 0 0 | 2,139,534 0 | 26,025 0 | 25,992 0 | 2,234,787 0 | 0 0 |
| ISAAC KLIGMAN MD | (i) (ii) | 23,177 0 | 0 0 | 1,882,141 0 | 26,025 0 | 22,691 0 | 1,954,034 0 | 0 0 |
| ZEVI ROSENWAKS MD | (i) (ii) | 280,519 0 | 0 0 | 3,193,917 0 | 36,400 0 | 14,078 0 | 3,524,914 0 | 0 0 |
| STEVEN D SPANDORFER MD | (i) (ii) | 25,181 0 | 0 0 | 2,371,358 0 | 26,025 0 | 27,486 0 | 2,450,050 0 | 0 0 |
| PETER N SCHLEGEL MD | (i) (ii) | 280,386 0 | 0 0 | 1,857,396 0 | 31,274 0 | 29,392 0 | 2,198,448 0 | 0 0 |

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Software Version:

EIN: 15-0532082

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Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

| (A) Name, address, and EIN of related organization | (B) Primary Activity | (C) Legal Domicile (State or Foreign Country) | (D) Exempt Code section | (E) Public charity status (if 501(c)(3)) | (F) Direct Controlling Entity |
|---|-------------------------|---|----------------------------|--|----------------------------------|
| Cornell Center of New York 6 East 44th St New York, NY10017 | social club | NY | 501 (c) (7) | | |
| MRSI Management Inc Weill Cornell 425 E 61st St New York, NY10022 | medical imagi | NY | 501 (c) (3) | 11d | |
| Cornell Real Property Services Inc 15 Thornwood Ithaca, NY14850 | real estate | NY | 501 (c) (3) | 11d | |
| Cornell Research Foundation Inc Cornell Univ Day Hall Ithaca, NY14850 | intellectual | NY | 501 (c) (2) | | |
| Samuel Curtis Johnson Trust Cornell Univ Seneca Pl Ithaca, NY14850 | support Unive | NY | 501 (c) (3) | 11d | |
| Cornell University Foundation Cornell Univ Seneca Pl Ithaca, NY14850 | support Unive | NY | 501 (c) (3) | 11d | |

Form 990, Schedule R, Part V - Transactions with Related Organizations

| (A) Name of other organization | | (B) Transaction type(a-r) | (C) Amount Involved (\$) |
|-----------------------------------|--|---------------------------------|--------------------------------|
| (1) | Cornell Center Of New York paid Cornell | | |
| (2) | University interest on an advance | | |
| (3) | for working capital | a | 26,685 |
| (4) | Cornell Center of New York paid Cornell | | |
| (5) | University 1 on use of fully depreciated | | |
| (6) | leasehold improvements | a | 1 |
| (7) | Cornell Research Foundation paid Cornell | | |
| (8) | University its required share of royalties | a | 1,740,158 |
| (9) | Tower Innovative Learning Solutions Inc | | |
| (10) | paid Cornell University royalty payments | 1 | 1,290 |
| (11) | CU Transit Lenroc make very | | |
| (12) | limited use of space | m | 10,000 |
| (13) | CU Transit Lenroc make very | | |
| (14) | limited use of space | n | 10,000 |