Form **990-PF** Department of the Treasury Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2008

For calendar year 2008, or tax year beginning 07/01 **, 2008, and ending** 06/30 **, 20**09

G Check all that apply [ ] Initial return [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: THE SAMUEL RUBIN FOUNDATION, INC.

A Employer identification number: 13-6164671

Number and street (or P O box number if mail is not delivered to street address): 777 UNITED NATIONS PLAZA — Room/suite: 10D

B Telephone number (see page 10 of the instructions): (212) 697-8945

City or town, state, and ZIP code: NEW YORK, NY 10017

C If exemption application is pending, check here ▶ [ ]

D 1 Foreign organizations, check here ▶ [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 10,401,463.

J Accounting method [X] Cash [ ] Accrual [ ] Other (specify) *(Part I, column (d) must be on cash basis)*

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc received (attach schedule)				
	2 Check ▶ [ ] if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	288,252.	288,252.		STMT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-1,126,465.			
	b Gross sales price for all assets on line 6a 4,448,286.				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	-838,213.	288,252.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	77,500.	3,875.		73,625.
	14 Other employee salaries and wages	65,890.	9,884.		56,006.
	15 Pension plans, employee benefits	19,576.	1,762.		17,814.
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	22,725.	17,044.	NONE	5,681.
	c Other professional fees (attach schedule) STMT 2	71,757.	71,695.		62.
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions) *	21,317.	4,064.		10,164.
	19 Depreciation (attach schedule) and depletion	286.	94.		
	20 Occupancy	25,777.	8,506.		17,271.
	21 Travel, conferences, and meetings	3,110.			3,110.
	22 Printing and publications				
	23 Other expenses (attach schedule) STMT 4	13,115.	3,278.		9,837.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	321,053.	120,202.	NONE	193,570.
	25 Contributions, gifts, grants paid	492,662.			492,662.
	26 **Total expenses and disbursements** Add lines 24 and 25	813,715.	120,202.	NONE	686,232.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-1,651,928.			
	b **Net investment income** (if negative, enter -0-)		168,050.		
	c **Adjusted net income** (if negative, enter -0-)			-0-	

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For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions. **STMT 3

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Form **990-PF** (2008)

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing	6,860.	29,499.	29,499.
	2 Savings and temporary cash investments	659,310.	245,828.	245,828.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule)**	3,200,916.	2,798,828.	2,849,985.
	b Investments - corporate stock (attach schedule) STMT 6	9,170,424.	8,325,174.	7,238,976.
	c Investments - corporate bonds (attach schedule) STMT 7	44,929.	31,468.	28,733.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment basis ▶ 22,565.			
	Less accumulated depreciation (attach schedule) ▶ 18,384.	4,467.	4,181.	4,181.
	15 Other assets (describe ▶ STMT 8)	4,261.	4,261.	4,261.
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	13,091,167.	11,439,239.	10,401,463.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	13,091,167.	11,439,239.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [ ]			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see page 17 of the instructions)	13,091,167.	11,439,239.	
	31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	13,091,167.	11,439,239.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	13,091,167.
2	Enter amount from Part I, line 27a	2	-1,651,928.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	11,439,239.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	11,439,239.

**STMT 5

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) . . . . . { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** -1,126,465.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions)
If (loss), enter -0- in Part I, line 8. . . . . **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2007	816,144.	13,644,504.	0.059815
2006	823,369.	13,740,197.	0.059924
2005	812,349.	12,946,509.	0.062747
2004	965,465.	12,149,565.	0.079465
2003	773,533.	11,497,248.	0.067280

2	**Total** of line 1, column (d)	2	0.329231
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.065846
4	Enter the net value of noncharitable-use assets for 2008 from Part X, line 5	4	10,797,701.
5	Multiply line 4 by line 3	5	710,985.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,681.
7	Add lines 5 and 6	7	712,666.
8	Enter qualifying distributions from Part XII, line 4	8	686,232.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling letter _________ (attach copy of ruling letter if necessary - see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	3,361.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3	Add lines 1 and 2		3	3,361.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	3,361.
6	Credits/Payments			
a	2008 estimated tax payments and 2007 overpayment credited to 2008	6a: 26,040.		
b	Exempt foreign organizations-tax withheld at source	6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868)	6c: NONE		
d	Backup withholding erroneously withheld	6d:		
7	Total credits and payments. Add lines 6a through 6d		7	26,040.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	22,679.
11	Enter the amount of line 10 to be **Credited to 2009 estimated tax** ▶ 5,000. **Refunded** ▶		11	17,679.

Part VII-A Statements Regarding Activities

		Line	Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2008 or the taxable year beginning in 2008 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(3)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ CORA WEISS Telephone no ▶ 212-697-8945

Located at ▶ 777 UNITED NATIONS PLAZA, NEW YORK, NY ZIP + 4 ▶ 10017

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here . . . N/A ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2008?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2008, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2008? ☐ Yes ☒ No If "Yes," list the years ▶			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2008 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2008)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2008?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If you answered "Yes" to 6b, also file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		77,500.	10,104.	NONE

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		65,890.	9,473.	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
SEE STATEMENT 12		71,509.
Total number of others receiving over $50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions 3 NONE	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see page 24 of the instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	10,573,827.
b	Average of monthly cash balances	1b	379,721.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	8,585.
d	**Total** (add lines 1a, b, and c)	1d	10,962,133.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e NONE		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	10,962,133.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	164,432.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	10,797,701.
6	**Minimum investment return.** Enter 5% of line 5	6	539,885.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	539,885.
2a	Tax on investment income for 2008 from Part VI, line 5 — 2a 3,361.		
b	Income tax for 2008 (This does not include the tax from Part VI) — 2b		
c	Add lines 2a and 2b	2c	3,361.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	536,524.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	536,524.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	536,524.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	686,232.
b	Program-related investments - total from Part IX-B	1b	NONE
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	686,232.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	686,232.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2007	(c) 2007	(d) 2008
1	Distributable amount for 2008 from Part XI, line 7				536,524.
2	Undistributed income, if any, as of the end of 2007				
a	Enter amount for 2007 only			NONE	
b	Total for prior years 20 06 ,20 ,20		NONE		
3	Excess distributions carryover, if any, to 2008				
a	From 2003 203,156.				
b	From 2004 371,796.				
c	From 2005 195,124.				
d	From 2006 175,198.				
e	From 2007 159,938.				
f	**Total** of lines 3a through e	1,105,212.			
4	Qualifying distributions for 2008 from Part XII, line 4 ▶ $ 686,232.				
a	Applied to 2007, but not more than line 2a			NONE	
b	Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c	Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d	Applied to 2008 distributable amount				536,524.
e	Remaining amount distributed out of corpus	149,708.			
5	Excess distributions carryover applied to 2008 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,254,920.			
b	Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions		NONE		
e	Undistributed income for 2007 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions			NONE	
f	Undistributed income for 2008 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2009				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8	Excess distributions carryover from 2003 not applied on line 5 or line 7 (see page 27 of the instructions)	203,156.			
9	**Excess distributions carryover to 2009.** Subtract lines 7 and 8 from line 6a	1,051,764.			
10	Analysis of line 9				
a	Excess from 2004 371,796.				
b	Excess from 2005 195,124.				
c	Excess from 2006 175,198.				
d	Excess from 2007 159,938.				
e	Excess from 2008 149,708.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2008, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2008	(b) 2007	(c) 2006	(d) 2005	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 27 of the instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

SEE STATEMENT 13

c Any submission deadlines

1ST FRIDAY OF JANUARY, MAY AND SEPTEMBER

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE STATEMENT 14

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 15				
Total . . . . ▶ **3a**				492,662.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

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Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See page 28 of the instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	288,252.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-1,126,465.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				-838,213.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 -838,213.

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

1/11/10 President

Signature of officer or trustee Date Title

Paid Preparer's Use Only

Preparer's signature Date 1/5/10 Check if self-employed ☐ Preparer's identifying number (See Signature on page 30 of the instructions) 070-36-7237

Firm's name (or yours if self-employed), address, and ZIP code: CORNICK, GARBER & SANDLER, LLP, 825 THIRD AVENUE, 4TH FL, NEW YORK, NY 10022-9524

EIN ▶ 13-2620561

Phone no 212-557-3900

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FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SEE ATTACHED SCHEDULE PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
4,448,286.		5,574,751.					-1126465.	
TOTAL GAIN(LOSS)							-1126465.	

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THE SAMUEL RUBIN FOUNDATION, INC 13-6164671
FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Security	Shares	Bought	Sold	Proceeds	Cost Basis	Gain (Loss)
COMMON STOCK						
AOL TIME WARNER INC	5,220	7/1/2002	7/10/2008	71,627	62,678	8,949
WESTERN UNION COMPANY	2,190	10/16/2006	7/10/2008	52,379	41,280	11,098
Amgen Inc	310	3/12/2007	7/14/2008	15,756	18,352	(2,596)
Amgen Inc	230	7/6/2007	7/14/2008	11,690	12,586	(896)
Amgen Inc	350	12/10/2007	7/14/2008	17,789	17,720	69
BANK OF AMERICA CORP	2,280	6/14/2006	7/15/2008	44,433	105,906	(61,473)
BANK OF AMERICA CORP	390	8/6/2007	7/15/2008	7,600	18,302	(10,702)
COOPER COS INC NEW	780	3/8/2006	7/21/2008	26,789	39,608	(12,818)
NOVARTIS AG ADR	980	3/1/2004	7/22/2008	57,603	43,475	14,129
Amgen Inc	540	12/10/2007	7/31/2008	33,846	27,340	6,506
ESTEE LAUDER COS INC CL A	1,510	11/15/2005	8/14/2008	72,428	49,197	23,231
HOME DEPOT INC	1,100	12/26/2007	9/9/2008	33,615	29,628	3,988
LOWES COMPANIES INC	1,860	12/28/2007	9/9/2008	50,272	42,331	7,941
PNC Financial Services Group	460	3/1/2005	9/19/2008	38,084	23,687	14,398
WACHOVIA CORP	1,020	4/1/2004	9/29/2008	1,787	47,662	(45,874)
WACHOVIA CORP	270	12/1/2006	9/29/2008	473	14,629	(14,156)
WACHOVIA CORP	3,410	8/1/2007	9/29/2008	5,976	158,825	(152,850)
WACHOVIA CORP	1,050	11/12/2007	9/29/2008	1,840	43,709	(41,869)
WACHOVIA CORP	1,180	2/29/2008	9/29/2008	2,068	37,115	(35,048)
Automatic Data Processing Inc	660	8/1/2006	10/7/2008	25,342	25,838	(496)
Genzyme Corp	500	2/22/2007	10/7/2008	36,252	31,925	4,327
Johnson & Johnson	400	6/25/2007	10/7/2008	26,142	24,538	1,603
Medtronic Inc	540	10/2/2006	10/7/2008	25,250	25,371	(121)
TIME WARNER INC	4,575	Short Sale	10/7/2008	51,136	68,965	(17,829)
CISCO SYS INC	2,145	12/28/2005	10/8/2008	39,063	37,455	1,608
CISCO SYS INC	620	8/28/2007	10/8/2008	11,291	18,878	(7,587)
CISCO SYS INC	770	12/7/2007	10/8/2008	14,023	21,390	(7,367)
CISCO SYS INC	175	1/17/2008	10/8/2008	3,187	4,366	(1,179)
DEAN FOODS CO NEW	750	8/24/2007	10/8/2008	15,766	20,769	(5,003)
HOME DEPOT INC	1,210	12/26/2007	10/8/2008	26,047	32,591	(6,543)
LOWES COMPANIES INC	380	12/28/2007	10/9/2008	7,267	8,648	(1,381)
LOWES COMPANIES INC	990	3/6/2008	10/9/2008	18,933	22,770	(3,836)
CONTINENTAL AIRLINES INC	2,810	5/20/2008	10/10/2008	28,243	46,006	(17,763)
DELTA AIR LINES INC	6,150	5/9/2008	10/14/2008	41,928	47,543	(5,615)
DELTA AIR LINES INC	1,120	6/6/2008	10/14/2008	7,636	7,419	217
TIBCO SOFTWARE INC	6,630	9/6/2007	10/20/2008	36,507	48,595	(12,088)
Automatic Data Processing Inc	1,850	8/1/2006	10/21/2008	62,972	72,426	(9,453)
Louisiana Pacific Corp	2,010	4/23/2007	10/23/2008	7,074	42,074	(35,000)
Amgen Inc	100	12/10/2007	10/29/2008	5,973	5,063	910
COOPER COS INC NEW	410	3/8/2006	11/3/2008	7,337	20,819	(13,482)
COOPER COS INC NEW	725	5/30/2006	11/3/2008	12,974	34,022	(21,048)
COOPER COS INC NEW	460	3/12/2007	11/3/2008	8,232	22,022	(13,790)
OFFICE DEPOT INC	2,140	5/20/2008	11/3/2008	7,712	28,688	(20,976)
OFFICE DEPOT INC	3,300	5/21/2008	11/3/2008	11,893	43,140	(31,247)
OFFICE DEPOT INC	4,450	7/30/2008	11/3/2008	16,037	30,300	(14,262)
OFFICE DEPOT INC	1,780	9/30/2008	11/3/2008	6,415	10,086	(3,671)
Amgen Inc	260	12/10/2007	11/12/2008	14,542	13,164	1,379
Amgen Inc	380	1/25/2008	11/12/2008	21,254	18,161	3,093
PNC Financial Services Group	180	3/1/2005	11/17/2008	10,815	9,269	1,546
US BANCORP	500	3/1/2004	11/17/2008	13,040	14,040	(1,000)
Medtronic Inc	600	10/2/2006	11/19/2008	19,077	28,190	(9,113)
JETBLUE AIRWAYS CORP	5,475	9/22/2005	11/25/2008	25,661	65,909	(40,247)
JETBLUE AIRWAYS CORP	1,060	9/22/2006	11/25/2008	4,968	10,547	(5,579)
JETBLUE AIRWAYS CORP	4,035	4/27/2007	11/25/2008	18,912	40,436	(21,524)
Johnson & Johnson	640	6/25/2007	11/26/2008	37,282	39,261	(1,978)
Medtronic Inc	2,540	10/2/2006	12/1/2008	74,620	119,336	(44,716)

THE SAMUEL RUBIN FOUNDATION, INC 13-6164671

FORM 990-PF - PART IV

CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Security	Shares	Bought	Sold	Proceeds	Cost Basis	Gain (Loss)
Medtronic Inc	840	4/9/2008	12/1/2008	24,677	41,918	(17,240)
Automatic Data Processing Inc	1,330	1/23/2008	12/16/2008	50,124	51,198	(1,074)
BECTON DICKINSON & CO	140	10/8/2008	12/16/2008	9,488	10,829	(1,341)
WALT DISNEY CO	510	10/6/2008	12/16/2008	12,112	14,166	(2,053)
HOME DEPOT INC	370	12/26/2007	12/16/2008	8,984	9,966	(982)
HOME DEPOT INC	220	3/3/2008	12/16/2008	5,342	5,878	(537)
Louisiana Pacific Corp	220	4/23/2007	12/16/2008	364	4,605	(4,242)
Louisiana Pacific Corp	5,220	11/7/2007	12/16/2008	8,625	83,375	(74,749)
LOWES COMPANIES INC	70	3/6/2008	12/16/2008	1,575	1,610	(35)
LOWES COMPANIES INC	710	4/17/2008	12/16/2008	15,975	17,394	(1,419)
PNC Financial Services Group	940	3/1/2005	12/16/2008	45,228	48,403	(3,175)
PNC Financial Services Group	770	6/9/2008	12/16/2008	37,048	46,807	(9,759)
QUEST DIAGNOSTICS INC	350	8/3/2007	12/16/2008	17,164	19,830	(2,666)
Salesforce com Inc	200	12/3/2008	12/16/2008	6,442	6,121	321
SAP AKLENGESELLSCHAFT	310	8/16/2007	12/16/2008	11,123	15,864	(4,741)
US BANCORP	2,530	3/1/2004	12/16/2008	65,638	71,041	(5,403)
US BANCORP	1,590	8/27/2008	12/16/2008	41,251	49,123	(7,872)
UNILEVER PLC SPONS ADR NEW	1,438	9/1/2004	12/16/2008	32,943	26,924	6,018
UNILEVER PLC SPONS ADR NEW	432	3/12/2007	12/16/2008	9,897	11,859	(1,962)
WEYERHAEUSER CO	880	8/1/2007	12/16/2008	35,058	62,276	(27,218)
CERNER CORP	960	1/15/2008	12/17/2008	37,341	52,154	(14,813)
CERNER CORP	110	2/7/2008	12/17/2008	4,279	5,228	(949)
L Oreal Ord-Eur	830	7/11/2008	1/29/2009	57,315	89,040	(31,725)
BECTON DICKINSON & CO	640	10/8/2008	1/29/2009	45,924	49,502	(3,578)
DEAN FOODS CO NEW	1,280	8/24/2007	1/29/2009	25,068	35,445	(10,377)
COMCAST CORP CL A	2,420	10/27/2005	2/6/2009	34,282	44,905	(10,624)
COMCAST CORP CL A	1,400	8/16/2007	2/6/2009	19,832	34,634	(14,802)
COMCAST CORP CL A	140	10/4/2007	2/6/2009	1,983	3,335	(1,352)
MILLIPORE CORP	130	3/5/2008	2/6/2009	7,626	9,295	(1,669)
EMC CORP-MASS	3,860	1/4/2008	2/9/2009	47,849	66,393	(18,543)
EMC CORP-MASS	2,830	2/7/2008	2/9/2009	35,081	43,153	(8,071)
JETBLUE AIRWAYS CORP	3,065	4/27/2007	3/11/2009	11,440	30,715	(19,275)
JETBLUE AIRWAYS CORP	2,480	10/22/2007	3/11/2009	9,256	23,102	(13,845)
JB HUNT TRANSPORT SERVICES INC	1,510	10/6/2008	3/25/2009	35,660	42,077	(6,417)
HOME DEPOT INC	720	3/3/2008	3/26/2009	17,354	19,238	(1,884)
HOME DEPOT INC	660	4/22/2008	3/26/2009	15,908	18,796	(2,888)
HOME DEPOT INC	140	5/22/2008	3/26/2009	3,374	3,774	(400)
CHARTER COMMUNICATIONS CL A	36,920	7/19/2007	4/1/2009	978	180,369	(179,391)
PACCAR INC -DEL	2,190	11/13/2008	4/2/2009	63,091	59,792	3,299
FEDEX CORP	560	2/8/2008	4/3/2009	27,256	49,537	(22,281)
FEDEX CORP	20	5/22/2008	4/3/2009	973	1,779	(805)
UNITED PARCEL SERVICE CL B	1,050	7/7/2005	4/3/2009	54,949	71,727	(16,778)
LOWES COMPANIES INC	1,100	4/17/2008	4/17/2009	22,609	26,949	(4,340)
LOWES COMPANIES INC	940	6/11/2008	4/17/2009	19,320	21,665	(2,345)
GOOGLE INC	140	8/17/2007	4/20/2009	53,533	69,987	(16,454)
GOOGLE INC	190	1/22/2008	4/20/2009	72,652	110,393	(37,740)
JUNIPER NETWORKS INC	1,600	7/14/2006	4/30/2009	35,556	22,656	12,900
JUNIPER NETWORKS INC	200	3/3/2008	4/30/2009	4,444	5,210	(766)
Johnson & Johnson	430	6/25/2007	5/5/2009	23,128	26,378	(3,251)
QUEST DIAGNOSTICS INC	790	8/3/2007	5/12/2009	41,485	44,758	(3,273)
SAP AKLENGESELLSCHAFT	1,230	8/16/2007	5/20/2009	50,949	62,945	(11,996)
CERNER CORP	650	2/7/2008	6/11/2009	38,049	30,892	7,157
3M COMPANY	1,310	4/9/2009	6/25/2009	76,486	70,027	6,458
TOTAL COMMON STOCKS				2,780,949	4,019,083	(1,238,133)

GOVERNMENT BONDS

THE SAMUEL RUBIN FOUNDATION, INC 13-6164671
FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Security	Shares	Bought	Sold	Proceeds	Cost Basis	Gain (Loss)
U S TREASURY BONDS 8/15/2028	850	5/1/2006	9/5/2008	98,813	86,753	12,059
U S TREASURY NOTES SER F-2013	2,950	3/13/2008	11/12/2008	306,662	300,750	5,912
U S TREASURY BONDS 8/15/2028	2,450	5/1/2006	11/24/2008	295,072	250,053	45,019
FEDERAL HOME LN BANK CONS	3,750	11/27/2007	12/2/2008	407,344	385,400	21,944
U S TREASURY BONDS 8/15/2028	800	5/1/2006	12/16/2008	105,425	81,650	23,775
U S TREASURY BONDS 8/15/2028	200	6/8/2007	12/16/2008	26,356	20,438	5,919
US TREASURY NOTES SER S-2011	2,040	12/9/2008	3/10/2009	205,913	207,188	(1,275)
US TREASURY NOTES SER S-2011	2,200	12/9/2008	6/24/2009	221,753	223,438	(1,684)
TOTAL GOVERNMENT BONDS				1,667,337	1,555,668	111,668
TOTAL GAINS (LOSSES)				**4,448,286**	**5,574,751**	**(1,126,465)**

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INTEREST INCOME		102,815.	102,815.
LESS - ACCRUED INTEREST PAID		-8,562.	-8,562.
DIVIDEND INCOME		193,999.	193,999.
	TOTAL	288,252.	288,252.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
CUSTODIAN AND INVESTMENT FEES	71,509.	71,509.	
CONSULTING FEES	248.	186.	62.
TOTALS	71,757.	71,695.	62.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
PAYROLL TAXES	11,169.	1,005.	10,164.
FEDERAL EXCISE TAX	7,089.		
FOREIGN WITHHOLDING TAX	3,059.	3,059.	
TOTALS	21,317.	4,064.	10,164.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
OFFICE EXPENSES	8,794.	2,198.	6,596.
INSURANCE	4,321.	1,080.	3,241.
TOTALS	13,115.	3,278.	9,837.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
U.S. TREASURY AND GOVERNMENT AGENCY OBLIGATIONS (SEE SCHEDULE ATTACHED)	3,200,916.	2,798,828.	2,849,985.
US OBLIGATIONS TOTAL	3,200,916.	2,798,828.	2,849,985.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
CORPORATE STOCK (SEE SCHEDULE ATTACHED)	6,112,664.	4,870,728.	4,257,023.
MUTUAL FUNDS (SEE SCHEDULE ATTACHED)	3,057,760.	3,454,446.	2,981,953.
TOTALS	9,170,424.	8,325,174.	7,238,976.

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
CORPORATE BONDS (SEE SCHEDULE ATTACHED)	44,929.	31,468.	28,733.
TOTALS	44,929.	31,468.	28,733.

SAMUEL RUBIN FOUNDATION, INC.

SCHEDULE OF INVESTMENTS

AS AT JUNE 30, 2009

	Description	Number of Shares or Face Amount	Cost	Market Value
Corporate Bonds and Notes:				
GNMA PL#02671M1	6 00% due 11/20/28	$ 34,000	$ 2	$ 2,240
GNMA PL#02766M2	6.00% due 6/20/29	46,000	(349)	3,246
GNMA PL#02972M4	7.5% due 9/20/30	55,000	803	510
GNMA PL#591923X	6.00% due 3/15/33	95,000	20,243	15,384
GNMA PL#608432X	5.50% due 5/15/33	66,000	10,769	7,353
Total corporate bonds and notes			31,468	28,733
Mutual Funds:				
Exeter Fund Inc - Core Plus Bond		135,257	$ 1,630,067	$ 1,675,507
Exeter Fund Inc - Financial Services		36,174	419,107	232,066
Exeter Fund Inc - International Fund		28,492	281,627	221,823
Exeter Fund Inc - Life Sciences		34,358	388,371	292,081
Exeter Fund Inc - Small Cap Fund - Class A		23,670	286,661	145,519
Exeter Fund Inc - Technology		26,006	204,046	207,081
Exeter Fund Inc - World Opportunity - Class A		26,322	244,567	207,876
Total mutual funds			3,454,446	2,981,953
Common Stocks:				
AMERICAN EXPRESS CO		6,290	$ 171,101	$ 146,180
AUTODESK INC		3,960	104,920	75,161
AUTOMATIC DATA PROCESSING INC		2,200	78,781	77,968
BAKER HUGHES INC		2,435	112,620	88,731
BECTON DICKINSON & CO		1,580	102,358	112,670
CARNIVAL CORP		6,015	216,397	155,007
CERNER CORP		1,300	50,027	80,977
CISCO SYS INC		8,215	207,077	153,210
COMCAST CORP CL A		4,840	101,061	69,986
DEAN FOODS CO NEW		3,230	66,941	61,984
ELECTRONIC ARTS		5,610	203,525	121,849
EMC CORP-MASS		12,180	154,636	159,558
FEDEX CORP		2,150	166,090	119,583
GENERAL MILLS INC		1,490	75,095	83,470
GENZYME CORP		660	41,581	36,742
GOOGLE INC		580	252,962	244,522
HESS CORP		1,330	71,296	71,488
HOME DEPOT INC		1,560	42,054	36,863
INTERNATIONAL GAME TECHNOLOGY		5,525	146,188	87,848
JOHNSON & JOHNSON		1,440	86,912	81,792

(Continued)

SAMUEL RUBIN FOUNDATION, INC.

SCHEDULE OF INVESTMENTS

AS AT JUNE 30, 2009

	Description	Number of Shares or Face Amount	Cost	Market Value
Common Stocks:				
JUNIPER NETWORKS INC		1,700	$ 43,917	$ 40,120
LONZA GROUP AG		380	29,193	37,240
LOWES COMPANIES INC		1,890	40,341	36,685
MICROSOFT CORP		7,700	235,974	183,029
MILLIPORE CORP		950	68,181	66,700
MONSANTO CO		1,040	78,233	77,314
NESTLE SA, CHAM UND		3,090	109,736	117,266
NOKIA CORP SPONSORED ADR		8,090	122,983	117,952
NOVARTIS AG ADR		2,990	136,917	121,962
PERKINELMER INC		5,340	109,259	92,916
QUEST DIAGNOSTICS INC		1,450	80,769	81,824
SALESFORCE COM INC		1,150	35,197	43,896
SAP AKLENGESELLSCHAFT		1,850	69,518	74,352
SEI INVESTMENTS CO		5,740	123,224	103,550
SHERWIN WILLIAMS CO		3,040	55,726	56,975
SOUTHWEST AIRLINES CO		27,615	257,179	185,849
UNILEVER PLC SPONS ADR NEW		8,858	212,666	208,162
UNITED PARCEL SERVICE CL B		2,150	134,938	107,478
WALT DISNEY CO		6,210	139,465	144,878
WEATHERFORD INTL INC		7,715	118,505	121,662
WEYERHAEUSER CO		5,640	217,185	171,624
Total common stocks			4,870,728	4,257,023
United States Government Obligations:				
FEDERAL HOME LN DUE 7/15/32	6.250% due 7/15/32	$ 42,000	$ 52,133	$ 49,901
FEDERAL HOME LN DUE 3/15/31	6.750% due 3/15/31	40,000	51,975	49,588
FEDERAL NATL MTG ASSN DUE 11/15/30	6.625% due 11/15/30	40,000	50,975	48,988
FEDERAL NATL MTG ASSN DUE 5/15/29	6.250% due 5/15/29	42,000	51,122	49,311
FEDERAL NATL MTG ASSN DUE 5/15/30	7.250% due 5/15/30	38,000	51,633	49,471
US TREASURY BONDS 8/15/2028	5.500% due 8/15/28	200,000	204,375	231,094
US TREASURY BONDS DUE 2/15/31	5.375% due 2/15/31	112,000	136,675	128,555
US TREASURY NOTES SER S-2011	1.750% due 11/15/11	61,000	61,953	61,648
US TREASURY NOTES SERT-2011	1.125% due 12/15/11	265,000	266,490	263,675
US TREASURY NOTES SER F-2013	2 875% due 1/31/13	1,855,000	1,871,497	1,917,754
Total United States Government Obligations			2,798,828	2,849,985
Total Investments			$ 11,155,470	$ 10,117,694

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SECURITY DEPOSITS	4,261.	4,261.	4,261.
TOTALS	4,261.	4,261.	4,261.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
CORA WEISS C/O SAMUEL RUBIN FOUNDATION 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	PRESIDENT 40.	77,500.	10,104.	NONE
PETER WEISS C/O SAMUEL RUBIN FOUNDATION 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	TREASURER 1.	NONE	NONE	NONE
JUDY WEISS C/O SAMUEL RUBIN FOUNDATION 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	VICE PRESIDENT 1.	NONE	NONE	NONE
TAMARA WEISS C/O SAMUEL RUBIN FOUNDATION 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	DIRECTOR 1.	NONE	NONE	NONE
DANIEL WEISS C/O SAMUEL RUBIN FOUNDATION	DIRECTOR 1.	NONE	NONE	NONE

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017				
ALISON BERNSTEIN C/O SAMUEL RUBIN FOUNDATION 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	DIRECTOR 1.	NONE	NONE	NONE
	GRAND TOTALS	77,500.	10,104.	NONE

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT. AND OTHER ALLOWANCES
LAURANNE JONES PAZHOOR 93 HAWTHORNE AVENUE BLOOMFIELD, NJ	GRANTS ADMINISTRATOR 40.	65,890.	9,473.	NONE
	TOTAL COMPENSATION	65,890.	9,473.	NONE

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

NAME AND ADDRESS	TYPE OF SERVICE	COMPENSATION
MANNING & NAPPIER 1100 CHASE SQUARE ROCHESTER, NY 14604	INVESTMENT ADVISOR	71,509.
TOTAL COMPENSATION		71,509.

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

A FULL STATEMENT AS TO THE PURPOSE OF THE REQUEST, INCLUDING A BUDGET AND TREASURY DEPARTMENT TAX EXEMPT DETERMINATION LETTER

990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

NO GRANTS TO INDIVIDUALS, FOR BUILDING FUNDS, ENDOWMENTS OR SCHOLARSHIPS.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
VARIOUS - SEE STATEMENT ATTACHED	NONE EXEMPT ORGANIZATIONS		341,500.
DISBURSEMENTS ON BEHALF OF CHARITABLE ORGANIZATION	NONE EXEMPT ORGANIZATIONS		1,162.
TRANSNATIONAL INSTITUTE (FOREIGN GRANT) PO BOX 14656 1001 LD AMSTERDAM NETHERLANDS	NONE EXEMPT ORGANIZATION		150,000.
		TOTAL CONTRIBUTIONS PAID	492,662.

SAMUEL RUBIN FOUNDATION, INC.

SCHEDULE OF GRANTS PAID

FOR THE YEAR ENDED JUNE 30, 2009

Direct Grants Paid:

A.J. Muste Memorial Institute (For: European Center for Constitutional and Human Rights)	$ 5,000
Americans for Peace Now	35,000
Auburn Theological Seminary	1,000
Brit Tzedek v'Shalom Jewish Alliance for Justice and Peace	5,000
Center for Constitutional Rights	40,000
Downtown Community Television Center	50,000
Empty the Shelters (For: Kensington Welfare Rights Union)	5,000
Global Kids	25,000
Global Policy Forum	3,500
Global Security Institute (For: The Middle Powers Initiative)	5,000
Grantmakers Without Boarders	1,000
Grassroots International	12,000
Hague Appeal for Peace	10,000
Interfaith Families Project	2,000
International Documentary Association (For: Bringing King to China)	5,000
International Women's Tribune Centre	5,000
Jane Addams Peace Association (For: Women's International League for Peace and Freedom)	3,500
Lawyers Committee on Nuclear Policy	50,000
Lawyers Committee on Nuclear Policy (For: International Association of Lawyers Against Nuclear Arms)	2,500
Long Island Alliance for Peaceful Alternatives	2,500
Military Families Speak Out Education Fund	2,500
New America Foundation (For: Arms & Security Initiative)	7,500
New Israel Fund (For: Coalition of Women for Peace)	1,000
Nonviolent Peaceforce	2,500
Peace Action Education Fund	3,500
Ploughshares Fund (For: Peace and Security Funders Group)	2,500
Progressive, Inc.	5,000
Public Interest Projects (For: International Human Rights Funders Group)	500
Shomrey Mishpat: Rabbis for Human Rights, North America	5,000
The Abortion Access Project	5,000
The Correctional Association of New York	2,500
The Employment Project (For: The Coffin Fund for Social Justice)	2,500
The Shalom Center for Workmen's Circle	1,000

SAMUEL RUBIN FOUNDATION, INC.

SCHEDULE OF GRANTS PAID

FOR THE YEAR ENDED JUNE 30, 2009

Direct Grants Paid (Continued):

Transnational Institute	$ 150,000
Tri-Valley Communities Against a Radioactive Environment	5,000
University of Massachusetts Foundation	20,000
Veterans for Peace (For: Iraq Veterans Against the War)	5,000
Women's International Network for Guatemalan Solutions	2,500
Total direct grants paid	491,500
Other grants paid	1,162
Total Grants Paid	$ 492,662

Form **8868**
(Rev April 2009)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

▶ File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ ☐
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I **Automatic 3-Month Extension of Time.** Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Electronic Filing *(e-file)* Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T) However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated From 990-T Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868 For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Type or print	Name of Exempt Organization	Employer identification number
File by the due date for filing your return See instructions	THE SAMUEL RUBIN FOUNDATION, INC.	13-6164671
	Number, street and room or suite no If a P O box, see instructions 777 UNITED NATIONS PLAZA, SUITE 10D	
	City, town or post office, state, and ZIP code For a foreign address, see instructions NEW YORK, NY 10017	

Check type of return to be filed (file a separate application for each return)

☐ Form 990	☐ Form 990-T (corporation)	☐ Form 4720
☐ Form 990-BL	☐ Form 990-T (sec 401(a) or 408(a) trust)	☐ Form 5227
☐ Form 990-EZ	☐ Form 990-T (trust other than above)	☐ Form 6069
☒ Form 990-PF	☐ Form 1041-A	☐ Form 8870

- The books are in the care of ▶ MS. LAURANNE JONES

Telephone No ▶ 212-697-8945 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ ☐ If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until FEBRUARY 15 , 2010 , to file the exempt organization return for the organization named above The extension is for the organization's return for

▶ ☐ calendar year ______ or
▶ ☒ tax year beginning JULY 1 , 2008 , and ending JUNE 30 , 2009

2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$
b	If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$
c	**Balance Due.** Subtract line 3b from line 3a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions