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Form **990** 

Department of the Treasury
Internal Revenue Service

#### **Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

► The organization may have to use a copy of this return to satisfy state reporting requirements

2008

Open to Public

A Fo	r the 2	2008 cale	ndar yea	ır, or tax year beginning 07-0	01-2008 and ending 06-30-200	9		Inspection
<b>B</b> Che	eck if a	pplicable p	lease	C Name of organization			D Employer i	dentification number
_	ress ch	nange <b>u</b>	se IRS	The Trustees of Columbia Univ in the City of New York	ersity		13-55980	193
— <sub>Nan</sub>	ne chai		abel or rint or	Doing Business As			E Telephone	number
_	ıal retui	t	ype. See				(212) 851	-2770
_		I	pecific nstruc-	Number and street (or P O bot 615 West 131st Street MC 874	x if mail is not delivered to street addre 1	ess) Room/su	G Gross recei	<b>pts</b> \$ 10,435,434,569
_	mınatıo		ions.					
Ame	ended i	return		City or town, state or country, New York, NY 100277922	and ZIP + 4			
Арр	lication	pending		New York, 117 100277322				
			<b>F</b> Nan	ne and address of Principal	O fficer	H(a) Is	this a group retu	rn for
				Bollinger		1	liates?	⊤Yes <b>∨</b> No
				est 131st Street MC 8741 ork,NY 100277922		U/b) A		4-4 <b>2</b>
[ Tax	k-exem	npt status		)(3) <b>◄</b> (Insert no)	)(1) or	1 ' '	e all affiliates inclu	ded? Yes No st See instructions )
1 \\/.	ah cit	e: ► www.c	olumbia	adu		7	oup Exemption N	
, ,,	ED SIL	<b>e. F</b> www.c	.Olullibla	edu		11(0)		
<b>К</b> Туре	e of org	ganization 🔽	Corporat	tion trust association oth	er 🕨	L Year of	Formation 1754	M State of legal domicile NY
						'	<u>'</u>	
Pai	rt I	Summ	ary					
	1	Briefly des	scribe th	e organization's mission or i	most significant activities			
e l		SEE STAT	ГЕМЕПТ	1				
2								
<u> </u>								
soveniance	2	Check thi	s box $\Gamma$	if the organization discontin	ued its operations or disposed o	of more than	n 25% of its asse	ets
9			,		ody (Part VI, line 1a)			23
			-		governing body (Part VI, line 11			17
<u>0</u>				nployees (Part V, line 2a)		· ·		31,744
71				olunteers (estimate if necess			5	7,500
Activities &							7-	-5,892,316
•				iness taxable income from F	Part VIII, line 12, column (C) .	•	7a 7b	
	В	Net uniter	ateu busi	The same income nonin	om 990-1, me 34		rior Year	Current Year
		Cantribi	t.ono ono	d aronto (Dort VIII line 1h)				
ā	8 9			revenue (Part VIII, line 2g)			1,192,469,992	
Revenue	10	_			nes 3, 4, and 7d)		754,963,990	1,819,946,788
쮼	11			art VIII, column (A), lines 5			16,995,629	-152,784,487
			-		s, 8d, 8c, 9c, 10c, and 11e) equal Part VIII, column (A), lin		10,995,029	191,516,475
	12	12)	ende—a	ad iiiles o tiiioagii 11 (iiiast	equal Fait VIII, column (A), in		3,721,167,974	3,088,224,119
	13	Grants a	nd sımıla	ar amounts paıd (Part IX, co	lumn (A), lines 1-3)		227,744,282	406,542,219
	14	Benefits	paid to o	or for members (Part IX, colu	ımn (A), line 4)			C
	15	Salaries	, other co	ompensation, employee ben	efits (Part IX, column (A), lines !	5 –		
Expenses		10)					1,760,152,810	1,941,080,835
<del>≅</del>	16a	Profession	onal fund	Iraising fees (Part IX, colum	n (A), line 11e)			397,479
ੜੀ	ь	(Total fund	draising exp	penses, Part IX, column (D), line 2	<u>25</u> <u>86,067,929</u> )			
_	17	Otherex	penses (	(Part IX, column (A), lines 1	1a-11d, 11f-24f)		1,073,980,212	937,942,169
	18	Total exp	penses—	-add lines 13–17 (must equ	al Part IX, line 25, column (A))		3,061,877,304	3,285,962,702
	19	Revenue	less exp	penses Subtract line 18 froi	m line 12		659,290,670	-197,738,583
<u>8</u> 8						Begi	nning of Year	End of Year
ង មិន្ត្	20	Total as	sets (Par	rt X, line 16)			1,681,064,712	10,348,518,213
858 Ba	21			Part X, line 26)		<u> </u>	2,653,497,800	2,704,906,628
Net Assets or Fund Balances			•	•	I from line 20		, , ,	
	22			nd balances Subtract line 21	t from line 20		9,027,566,912	7,643,611,585
Par	t II		ture Blo					
					ned this return, including accompanying of preparer (other than officer) is base			
Plea	se	[k "	,		, , , , , , , , , , , , , , , , , , , ,	1	10-05-14	. ,
Sign		Signatu	re of office	er			ate	
Here	•	CHERY	LROSS CO	ONTROLLER				
				ne and title				
		Dropers de			Date	Check If	Preparer's PTI	V (See Gen Inst )
Paid		Preparer's signature				self-	`	. 1200 00 11100 /
	arer's	France /-	- /	- L EDNOT & VOUNG 112 112		empolyed 🕨		
Use (		Firm's nam	loyed),	P			EIN Þ	
, 3 <del>c</del> (	· i i y	address, ar		233 SOUTH WACKER DRIVE				(242) 072 222
				CHICAGO, IL 60606			Phone no 🕨	(312) 879-2000
√av t	he IR	S discuss	this retu	rn with the preparer shown a	bove? (See instructions)			□ Yes □ No

# Form 990 (2008) Part III Statement of Program Service Accomplishments (See the instructions.)

3 I	the prior Form 990 or 9 If "Yes," describe these Did the organization ce:	90-EZ? new services on Sc ase conducting or m	hedule O	ervices during the year wear wear wear wear wear wear wear w	ducts any program	Yes ✓ No
4		changes on Schedu irpose achievements	s for each of th		argest program services by e	xpenses
				trusts are required to re rogram service reported		d allocations to
4a	LOCATIONS IN NEW YORK ( AND PROFESSIONAL SCHOOL APPLIED RESEARCH, PATIEN	CITY BUT EXTEND ACROS DLS IT OPERATES A VARI NT CARE, AND PUBLIC SE	SS THE GLOBE TI ETY OF RESEARC ERVICE ACTIVITIE	HE UNIVERSITY PROVIDES IN: CH INSTITUTES AND A LIBRAR ES THE UNIVERSITY ENROLLS	309,200,328 ) (Revenue \$ JCATION WHOSE ACTIVITIES ARE CO STRUCTION THROUGH SIXTEEN UN RY SYSTEM TO SUPPORT ITS TEACHI S APPROXIMATELY 25,500 FULL-TIM 3 FULL-TIME FACULTY MEMBERS	DERGRADUATE, GRADUATE, ING AND LEARNING, BASIC ANI
4b					4,288,828 ) (Revenue \$ an, provides direct patient care and 5 The clinical faculty handled approx	
40	(Code	) (Evnences \$	422 528 000	including grapts of \$	90 938 863 ) (Payanua ¢	120 142 281 )
<b>4c</b>	(Code The University performs resorganizations	) (Expenses \$ search, training, and othe	432,538,000 er services under	including grants of \$ grants and contracts with age	90,938,863 ) (Revenue \$ encies of the federal government an	120,143,281 ) nd other sponsoring
<b>4c</b>	The University performs res					,
<b>4c</b>	The University performs resorganizations	search, training, and othe	er services under	grants and contracts with age	encies of the federal government an	nd other sponsoring
<b>4c</b>	(Code Library	earch, training, and other	61,098,000	grants and contracts with age	encies of the federal government an	od other sponsoring
4c	(Code Library  (Code Campus Operations	) (Expenses \$  ) (Expenses \$	61,098,000 177,375,000	including grants of \$  Including grants of \$	0 ) (Revenue \$	O )

art IV	Chec	klist of	Required	Schedules
		NIISL VI	IXC quii Cu	Schodules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1	Yes	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2		Νo
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3		Νο
4	Section 501(c)(3) organizations Did the organization engage in lobbying activities? If "Yes," complete Schedule C,	4	Yes	
5	Section $501(c)(4)$ , $501(c)(5)$ , and $501(c)(6)$ organizations. Is the organization subject to the section $6033(e)$ notice and reporting requirement and proxy tax? If "Yes," complete Schedule C, Part III	5		
6	Did the organization maintain any donor advised funds or any accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I.	6	Yes	
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," complete Schedule D, Part II	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8	Yes	
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV.	9		No
10	Did the organization hold assets in term, permanent,or quasi-endowments? If "Yes," complete Schedule D, Part V 🕏	10	Yes	
11	Did the organization report an amount in Part X, lines 10, 12, 13, 15, or 25? If "Yes," complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable	11	Yes	
12	Did the organization receive an audited financial statement for the year for which it is completing this return that was prepared in accordance with GAAP? If "Yes," complete Schedule D, Parts XI, XII, and XIII .	12		Νο
13	Is the organization a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	Yes	
14a	Did the organization maintain an office, employees, or agents outside of the U S ?	14a	Yes	
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the U S ? If "Yes," complete Schedule F, Part I	14b	Yes	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Part II	15	Yes	
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Part III	16	Yes	
17	Did the organization report more than \$15,000 on Part IX, column (A), line 11e? If "Yes," complete Schedule G, Part I	17	Yes	
18	Did the organization report more than \$15,000 total on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18	Yes	
19	Did the organization report more than \$15,000 on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19		Νo
20	Did the organization operate one or more hospitals? If "Yes," complete Schedule H	20		Νο
21	Did the organization report more than \$5,000 on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21	Yes	
22	Did the organization report more than \$5,000 on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5? If "Yes," complete Schedule  J	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer questions 24b-24d and complete Schedule K. If "No," go to question 25	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		Νo
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		Νο
25a	Section 501(c)(3) and 501(c)(4) organizations Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		No
b	Did the organization become aware that it had engaged in an excess benefit transaction with a disqualified person from a prior year? If "Yes," complete Schedule L, Part I	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II	26		Νο
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, or substantial contributor, or to a person related to such an individual? If "Yes," complete Schedule L, Part III	27		No

#### Part IV Checklist of Required Schedules (Continued)

			Yes	No
28	During the tax year, did any person who is a current or former officer, director, trustee, or key employee			
а	Have a direct business relationship with the organization (other than as an officer, director, trustee, or employee), or an indirect business relationship through ownership of more than 35% in another entity (individually or collectively with other person(s) listed in Part VII, Section A)? If "Yes," complete Schedule L, Part IV	28a		Νο
b	Have a family member who had a direct or indirect business relationship with the organization? If "Yes," complete Schedule L, Part IV	28b	Yes	
c	Serve as an officer, director, trustee, key employee, partner, or member of an entity (or a shareholder of a professional corporation) doing business with the organization? If "Yes," complete Schedule L, Part IV.	28c	Yes	
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M 🕏	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		Νο
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations section 301 7701-2 and 301 7701-3? If "Yes," complete Schedule R, Part I	33	Yes	
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1	34	Yes	
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35	Yes	
36	501(c)(3) organizations Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2	36	Yes	
37	Did the organization conduct more than 5 percent of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		No

Form	990 (2008)			Page <b>5</b>
Pa	rt V Statements Regarding Other IRS Filings and Tax Compliance			
			Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal			
	of U.S. Information Returns. Enter -0- if not applicable			
	1a 36,222			
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable  1b  0			
С	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable			
	gaming (gambling) winnings to prize winners?	1c	Yes	
2a	Enter the number of employees reported on Form W-3, <i>Transmittal of Wage and Tax</i> Statements filed for the calendar year ending with or within the year covered by this			
	return			
ь	If at least one is reported in 2a, did the organization file all required federal employment tax returns?			
_	Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return.	2b	Yes	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	3a	Yes	
ь	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O	3b	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority			
	over, a financial account in a foreign country (such as a bank account, securities account, or other financial	4a	Yes	
Ь	account)?		165	
	If "Yes," enter the name of the foreign country See the instructions for exceptions and filing requirements for <b>Form TD F 90-22.1,</b> <i>Report of Foreign Bank and</i>			
	Financial Accounts.			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		No
ь	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		No
c	If "Yes," to 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited			
	Tax Shelter Transaction?	5c		
6a	Did the organization solicit any contributions that were not tax deductible?	6a		No
Ь	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b		
7	Organizations that may receive deductible contributions under section 170(c).			
	Did the organization provide goods or services in exchange for any quid pro quo contribution of \$75 or	7a	Yes	
	more?			<u> </u>
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	Yes	
С	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	Yes	
d	If "Yes," indicate the number of Forms 8282 filed during the year		163	
_	The state of the manufactor of the state of			
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal	_		,
	benefit contract?	7e 7f		No No
	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?	71 7g		NO
_	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as	/y		
•	required?	7h		
8	Section 501(c)(3) and other sponsoring organizations maintaining donor advised funds and section 509(a)(3)			
	supporting organizations. Did the supporting organization, or a fund maintained by a sponsoring organization, have excess business holdings at any time during the			N
	year?	8		N o
9	Section $501(c)(3)$ and other sponsoring organizations maintaining donor advised funds.			
а	Did the organization make any taxable distributions under section 4966?	9a		No
Ь	Did the organization make a distribution to a donor, donor advisor, or related person?	9b		No
10	Section 501(c)(7) organizations. Enter			
	Initiation fees and capital contributions included on Part VIII, line 12 10a			
Ь	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club  facilities			
11	Section 501(c)(12) organizations Enter			
а	Gross income from members or shareholders			
b	Gross income from other sources (Do not net amounts due or paid to other sources			
	against amounts due or received from them )			
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
	If "Yes," enter the amount of tax-exempt interest received or accrued during the			
	year 12b			

6

7a

8

Section A. Governing Body and Management

No

Νo

Νo

Νo

Νo

Nο

Νo

Yes

Yes

2

3

4

5

6

7a

7Ь

#### Part VI Governance, Management, and Disclosure (Sections A, B, and C request information about policies not required by the Internal Revenue Code.)

	For each "Yes" response to lines 2-7 below, and for a "No" response to lines 8 or 9b below processes, or changes in Schedule O. See instructions.	ı, desc	ribe the circumstances,
а	Enter the number of voting members of the governing body	1a	23
h	Enter the number of voting members that are independent	1h	1.7

•	other officer, director, trustee, or key employee?	•	
3	Did the organization delegate control over management duties customarily performed by or under the direct		
	supervision of officers, directors or trustees, or key employees to a management company or other person?	•	•

4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?
5	Did the organization become aware during the year of a material diversion of the organization's assets?

Does the organization have members or stockholders?	. [	L
Does the organization have members, stockholders, or other persons who may elect one or more members of	the	

	Does the organiza	tior	n ha	ve	men	nbe	rs, s	too	kho	lder	s, o	r oth	her	per	sons	wh	o m	ау	elec	ton	e o	r m	ore	mer	mbe	rs of	the
	governing body?																										
_	A		<b>.</b>				L							L		L		1	احطاء	<b>.</b>		1					

Are any decisions of the governing body subject to approval by members, stockholders, of other persons.	•
Did the organization contemporaneously document the meetings held or written actions undertaken during	, the
year by the following	

the organization c	ontemporaneously	document the	: meetings h	held or written	actions und	dertaken during	the
by the following							

3	the governing body?						•															
h	each committee with	aut	hori	tv ti	าลก	t or	n he	half	of t	he d	1006	rnıı	na h	odv	2		_	_				

	Does the organization have local chapters, branches, or affiliates?													
b	If "Yes," does the organization have written policies and procedures	go	verr	nıng	the	act	ıvıtı	ıes	of s	uch	c ha	pte	rs,	

amiliates, and branches to ensure their operations are consistent with those of the organization?	
Was a copy of the Form 990 provided to the organization's governing body before it was filed? All organizations	
must describe in Schedule O the process of any the organization uses to review the Form 000	

11	Is there any officer, director or trustee, or key employee listed in Part VII, Section A, who cannot be reached at
	the organization's mailing address? If "Yes," provide the names and addresses in Schedule O

8a	Yes	
8b	Yes	
9a		Νο
9b		
10	Yes	
11		No

#### Section B. Policies

			Yes	No
12a	Does the organization have a written conflict of interest policy? If "No", go to line 13 $\cdot$ .	12a	Yes	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	Yes	
13	Does the organization have a written whistleblower policy?	13	Yes	
14	Does the organization have a written document retention and destruction policy?	14		No
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision			
а	The organization's CEO, Executive Director, or top management official?	15a	Yes	
b	Other officers or key employees of the organization?	15b	Yes	
	Describe the process in Schedule O			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable Federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

#### Section C. Disclosure

- 17 List the States with which a copy of this Form 990 is required to be filed CA
- Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c) (3)s only) available for public inspection. Indicate how you make these available. Check all that apply own website another's website upon request
- Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public See Additional Data Table
- State the name, physical address, and telephone number of the person who possesses the books and records of the organization RENOTTA YOUNG DEP CONTROLLER 615 W 131ST MC 8749 New York, NY 10027 (212) 854-4684

# Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

#### Section A Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Use Schedule J-2 if additional space is needed

Check this box if the organization did not compensate any officer, director, trustee or key employee

- \* List all of the organization's **current** officers, directors, trustees (whether individuals or organizations) and key employees regardless of amount of compensation, and current key employees. Enter -0- in columns (D), (E), and (F) if no compensation was paid
- \* List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- \* List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- \* List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

The check this box in the organization and i		Posit	(C	) chec	:k al			(E)	(F)
(A) Name and Title	(B) Average hours per week	Individual Trustee or Chrector	Institutional Trustee	Rep cor from mployee Key emp		(D) Reportable compensation from the organization (W- 2/1099MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	Estimated amount of other compensation from the organization and related organizations	
						-			

#### Part VII Continued

			tion that a			all			(E)	(F)
<b>(A)</b> Name and Title	(B) Average hours per week	Individual Trustee or Director	Institutional Trustee	Officei	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099MISC)	Reportable compensation from related organizations (W- 2/1099- MISC)	Estimated amount of other compensation from the organization and related organizations
			1							
			1							
			-	$\vdash$	$\vdash$					
			_				$\vdash$			
							$\vdash$			
1b Total							<b>&gt;</b>	24,015,178	3	4,297,548

2 Total number of individuals (including those in 1a) who received more than \$100,000 in reportable compensation from the organization ►3,689

			Yes	No	
3	Did the organization list any <b>former</b> officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If</i> "Yes," complete Schedule J for such individual	3	Yes		
4	For any individual listed online 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such				
	ındıvıdual	4	Yes		
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? If "Yes," complete Schedule J for such person	5		Νο	

#### **Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization

(A) Name and business address	( <b>B</b> ) Description of services	(C) Compensation
Turner Construction Company 375 Hudson Street NEW YORK, NY 10014	Construction	57,894,754
Cauldwell Wingate Company LLC 122 E 42nd Street NEW YORK, NY 10168	Construction	23,955,624
F J Sciame Construction Company 14 Wall Street NEW YORK, NY 10005	Construction	18,323,146
Hellman Construction Company Inc 79 Watermill Lane GREAT NECK, NY 11021	Construction	15,774,152
Davis Brody Bond LLP 315 Hudson Street NEW YORK, NY 10013	Architecture	14,506,898
Total number of independent contractors (including those in 1) who reconstruction the organization	. ,	<b>▶</b> 619

### Statement of Revenue

				<b>(A)</b> Total Revenue	(B) Related or Exempt Function Revenue	<b>(C)</b> Unrelated Business Revenue	(D)  Revenue Excluded from Tax under IRC
	1a	Federated campaigns 1a	1		Revenue		512, 513, or 514
nts nts	ь	Membership dues					
yra oun		1b	4,311,376				
s, (	С	Fundraising events 1c	' '				
gii Isr	d	Related organizations 1d	35,662,056				
Contributions, gifts, grants and other similar amounts	e	Government grants (contributions) <b>1e</b>	717,588,000				
ntion er s	f	All other contributions, gifts, grants, and similar amounts not included above	471,983,911		į	İ	į
ê <del>Ç</del>		1f					
ig ju	g	Noncash contributions included in					
ರ∺	h	Ines 1a-1f \$		1,229,545,343			
			<u>▶</u>				
en en	2a	Tuition & Fees	Business Code 611,600	840,647,000	840,647,000		
wen	ь	Other Education & Research	621,110	126,162,320	126,162,320		
æ	c	Patient Care Revenue	•	, ,	· · ·		
ACe	d	Auxiliary Enterprises	900,099	725,582,494	725,582,494	7,411,693	
Serv		OTHER SOURCES	·	111,934,000	104,522,307	7,411,693	
in !	e f		900,099	13,925,000	13,925,000		
Program Service Revenue	'	All other program service revenue		1,695,974	1,695,974		
Ğ	g	Total. Add lines 2a-2f					
	3	► \$ 1,819,946,788	danda interest				
		Investment income (including divionable other similar amounts)	· .	11,528,063			11,528,063
			▶	0			
	4	Income from investment of tax-exempt b	oond proceeds	U			
	5	Royalties		134,460,475			134,460,475
		(ı) Real	(II) Personal				
	6a	Gross Rents 134,574,565 Less rental 119,429,565					
	Ь	expenses					
	С	Rental income 15,145,000 or (loss)					
	d	Net rental income or (loss)		15,145,000		12,139	15,132,861
		(ı) Securities	(II) O ther				
	7a	Gross amount 7,062,506,622 from sales of					
		assets other					
	ь	than inventory Less cost or 7,226,819,172					
		other basis and sales expenses					
	С	Gain or (loss) -164,312,550					
	d	Net gain or (loss)		-164,312,550			-164,312,550
	8a	Gross income from fundraising	1				
		events (not including					
ne		\$961,713 of contributions reported on line					
듄		1c) See Part IV, line 18 Attach Schedule G if total exceeds					
Rev		\$15,000 a	4,311,376				
i e	ь	Less direct expensesb	1,311,370				
Other Revenue	с	Net income or (loss) from fundrais		0			o
,	9a	Gross income from gaming	<u> </u>				
		activities See part IV, line 19					
		Complete Schedule G If total exceeds \$15,000					
		а					
	ь	Less direct expensesb					
	С	Net income or (loss) from gaming	activities ►	0			
	10a	Gross sales of inventory, less	-				
		returns and allowances .					
	L	a land and a sold b					
	b c	Less cost of goods sold <b>b</b> Net income or (loss) from sales of		0			
	<u> </u>	Miscellaneous Revenue	Business Code		+		
	11a	Partnership Income	900,000	41,911,000		-13,316,148	55,227,148
	ь						
	c						
		All ather recognition	-		+		
	d e	All other revenue  Total. Add lines 11a-11d					
		. Stan Add IIICS IIG-IIG	\$ 41,911,000				
	12	<b>Total Revenue.</b> Add lines 1h, 2g, 3	3, 4, 5, 6d, 7d,	3,088,224,119	1,812,535,095	-5,892,316	52,035,997
		8c, 9c,10c, and 11e	. ▶				

### Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.  All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).									
Do r	not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	<b>(B)</b> Program service expenses	(C) Management and general expenses	( <b>D)</b> Fundraising expenses				
1	Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21	65,354,886	65,354,886						
2	Grants and other assistance to individuals in the U.S. See Part IV, line 22	308,743,000	308,743,000						
3	Grants and other assistance to governments, organizations and individuals outside the U.S. See Part IV, lines 15 and 16	32,444,333	32,444,333						
4	Benefits paid to or for members	0							
5	Compensation of current officers, directors, trustees, and key employees	14,045,133		14,045,133					
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	786,984	257,738	529,246					
7	Other salaries and wages	1,541,496,841	1,346,000,680	529,246	38,855,906				
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	90,800,437	75,870,042	12,106,914	2,823,481				
9	Other employee benefits	210,019,734	167,449,777	28,820,373	13,749,584				
10	Payroll taxes	83,931,706	69,881,623	11,450,047	2,600,036				
11	Fees for services (non-employees)								
а	Management	0							
b	Legal	31,161,868	16,737,760	14,311,722	112,386				
С	Accounting	2,507,726		2,507,726					
d	Lobbying	884,028		884,028					
e	Professional fundraising See Part IV, line 17	397,479			397,479				
f	Investment management fees	24,209,424		24,209,424	· · · · · ·				
g	Other	32,583,399	12,751,901	14,904,319	4,927,179				
12	Advertising and promotion	3,996,402	1,344,806	1,842,350	809,246				
13	Office expenses	232,037,780	174,871,273	47,259,741	9,906,766				
14	Information technology	15,113,424	9,572,371	5,320,378	220,675				
15	Royalties	0	, ,	, ,	,				
16	Occupancy	140,526,033	106,247,699	33,944,310	334,024				
17	Travel	48,891,381	46,504,265	863,669	1,523,447				
18	Payments of travel or entertainment expenses for any Federal, state or local public officials	0	,	200,000					
19	Conferences, conventions and meetings	27,305,190	20,962,070	348,202	5,994,918				
20	Interest	63,525,508	63,525,508						
21	Payments to affiliates	0							
22	Depreciation, depletion, and amortization	160,870,000	160,870,000						
23	Insurance	33,651,018	33,651,018						
24	Other expenses—Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below)								
а	Library	22,927,689	22,927,689						
b	Continuing Education	11,112,870	10,783,723	329,147					
c	Dues & Subscriptions	7,332,725	6,351,332	405,190	576,203				
	Bad Debt	1,261,952	1,261,952	-,	-,				
	UNRELATED BUS INCOME TAX EXP	1,221,556	,,	1,221,556					
f	All other expenses	76,822,196	72,952,943	632,654	3,236,599				
25	Total functional expenses. Add lines 1 through 24f	3,285,962,702	2,827,318,389	372,576,384	86,067,929				
26	Joint Costs. Check  fif following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation	5,255,756,776	2,027,020,000		rm <b>990</b> (2008)				

Part X	Balance	Sheet
--------	---------	-------

						(A)		(B)
	ا ا	Cook was interest because				Beginning of year		End of year
	1	Cash—non-interest-bearing		•		055 404 045	1	505 000 457
	2	Savings and temporary cash investments		•		655,184,215		535,206,457
	3	Pledges and grants receivable, net		•		393,967,000		392,467,000
	4	Accounts receivable, net	•			238,860,102	4	231,952,657
	5	Receivables from current and former officers, directors, truste other related parties <i>Complete Part II of Schedule L</i>					5	
	6	Receivables from other disqualified persons (as defined under and persons described in section 4958(c)(3)(B) Complete Par					6	
	7	Notes and loans receivable, net				89,556,000	7	112,155,402
	8	Inventories for sale or use				1,541,555	8	1,752,182
22	9	Prepaid expenses and deferred charges				28,666,022	9	49,522,835
Assets	10a	Land, buildings, and equipment cost basis	10a	ı	4,866,996,392			
•	ь	Lace page mulated depresention Complete Part VI of	104		4,000,000,002			
	"	Less accumulated depreciation Complete Part VI of Schedule D	10ь		1,690,578,392	2,786,078,913	10c	3,176,418,000
	11	Investments—publicly traded securities	<u> </u>			772,702,046	11	983,288,869
	12	Investments—other securities See Part IV, line 11 Complete Schedule D	Part V	/II o	f	5,795,293,000	12	4,476,893,436
	13	Investments—program-related See Part IV, line 11 Complete			13			
	14	of Schedule D .					14	
		Intangible assets				010 215 950	14	200 001 275
	15	Other assets See Part IV, line 11 Complete Part IX of Schedul	ie			919,215,859	15	388,861,375
	16	<b>Total assets.</b> Add lines 1 through 15 (must equal line 34)				11,681,064,712	16	10,348,518,213
	17	Accounts payable and accrued expenses .				493,236,421	17	493,507,662
	18	Grants payable			18			
	19	Deferred revenue		205,512,810	19	226,152,966		
	20	Tax-exempt bond liabilities				1,143,856,000	20	1,247,434,000
<u>. o</u>	21	Escrow account liability $\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \$					21	
Liabilities	22	Payable to current and former officers, directors, trustees, ke employees, highest compensated employees, and disqualified						
ä		persons Complete Part II of Schedule L			•		22	
	23	Secured mortgages and notes payable to unrelated third parti	es .			8,675,000	23	3,262,000
	24	Unsecured notes and loans payable				279,018,680	24	145,711,000
	25	Other liabilities Complete Part X of Schedule D				523,198,889	25	588,839,000
	26	Total liabilities. Add lines 17 through 25				2,653,497,800	26	2,704,906,628
		Organizations that follow SFAS 117, check here ▶ 🔽 and cor	nplete	e line	es 27			
ф		through 29, and lines 33 and 34.						
an	27	Unrestricted net assets				6,490,115,857	27	5,045,186,188
ထ	28	Temporarily restricted net assets				752,044,905	28	711,372,000
돧	29	Permanently restricted net assets				1,785,406,150	29	1,887,053,397
or Fund Balance		Organizations that do not follow SFAS 117, check here ► ☐ lines 30 through 34.	lete					
0	30	Capital stock or trust principal, or current funds			30			
sets	31	Paid-in or capital surplus, or land, building or equipment fund			31			
Ass	32	Retained earnings, endowment, accumulated income, or other	- <del>-</del>		32			
Net /	33	Total net assets or fund balances				9,027,566,912	33	7,643,611,585
ź	34	Total liabilities and net assets/fund balances				11,681,064,712		10,348,518,213
	1 - '		•			,,,,,		,
Da	rt VT	Financial Statements and Reporting						

Dowl VI	Financial	Ctatamanta	and Reporting
7.11.5	l Financiai	Statements	and Reporting

			Yes	No
1	Accounting method used to prepare the Form 990			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		No
b	Were the organization's financial statements audited by an independent accountant?	2b		Νο
c	If "Yes" to lines 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c		
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	Yes	
b	If "Yes" did the organization undergo the required audit or audits?	3b	Yes	

Employer identification number

#### **SCHEDULE A**

Name of the organization

(Form 990 or 990EZ)

Department of the Treasury Internal Revenue Service

### **Public Charity Status and Public Support**

To be completed by all section 501(c)(3) organizations and section 4947(a)(1)nonexempt charitable trusts. Attach to Form 990 or Form 990-EZ. See separate instructions.

OMB No 1545-0047

Open to Public Inspection

		of Columbia Ui New York	niversity					13	-559809:	3		
Pa	rt I	Reason	for Public C	harity Status (to be co	mpleted	by all or	ganızatıo					
				ation because it is (Please								
1	Γ	A church, c	onvention of ch	nurches, or association of ch	urches de	scribed in	Section 1	l70(b)(1)(	(A)(i).			
2	굣	A school de	escribed in <b>Sec</b> l	tion 170(b)(1)(A)(ii). (Attac	ch Schedu	ıle E )						
3	Г	A hospital	or a cooperativ	e hospital service organizati	on descril	bed in <b>Sec</b>	tion 170(Ł	o)(1)(A)(i	ii). (Attac	h Schedul	le H)	
4		A medical i	research organı	zation operated in conjuncti	on with a l	hospital de	escribed ii	Section :	170(b)(1)	(A)(iii). E	nter the	
		hospital's i	name, city, and	state		·						
5	Г	•		or the benefit of a college or	universit	y owned o	r operated	by a gove	rnmental	unıt desc	rıbed ın	
	·			(Complete Part II )			·					
6	Г			overnment or governmental	unıt descr	ıbed ın <b>Se</b>	ction 170	(b)(1)(A)	(v).			
7		-	-	ally receives a substantial p						n the gene	eral public	:
	•	_		)(1)(A)(vi) (Complete Par						J	•	
8	Г		-	ped in <b>Section 170(b)(1)(A)</b>	•	plete Par	tII)					
9	Ē		•	ally receives (1) more than			-	ntribution	ns, membe	rship fees	s, and gro	SS
		-		lated to its exempt functions					•	· ·		
		•		estment income and unrelate	-		·		•			
		acquired by	y the organization	on after June 30, 1975 See	Section 5	09(a)(2).	(Complete	e Part III	)	•		
10	Г	Anorganiz	ation organized	and operated exclusively to	test for p	ublic safe	ty See <b>Se</b>	ct ion 509(	( <b>a)(4).</b> (Se	e instruc	tions )	
11				and operated exclusively fo								ses of
	·			orted organizations describe						Section 5	09(a)(3).	Check
				type of supporting organiza					_			
	_	<b>a</b>		• • • • • • • • • • • • • • • • • • • •			nally Integ		d		III - Otl	
e	ı	•	-	rtify that the organization is agers and other than one or			•				•	
		section 50		agers and other than one or	more publ	icly suppo	orteu orga	IIIZations (	Jeschbeu	iii sectioi	1 509 (a)(	1)01
f				d a written determination fro	m the IRS	that it is	a Type I,	Type II o	r Type III	supportin	ng organiz	ation,
		check this										r
g				as the organization accepted	d any gift	or contrib	utıon from	any of the	!			
		following pe		r indirectly controls, either a	alone or to	nether wit	th nersons	describe	d in (ii)		Yes	No
			•	ng body of the the supported		_	ролооп		~ ( <i>)</i>	11g	_	110
			· -	erson described in (i) above	_					11g(		<del>                                     </del>
		. ,	•	ty of a person described in (		hove?				11g(		<del>                                     </del>
h				mation about the organizatio			supports			[3(	/	<u> </u>
						,						
	(i) Na	ame of	(ii) EIN	(iii) Type of organization	(iv) I	s the	(v) Did y	ou notify	(vi) I	s the	(vii) Am	nount of
		orted		(described on lines 1- 9	organiz	atıon ın	the orga	nızatıon	organiz	atıon ın	supp	
	Organ	nization		above or IRC section	col (i)			) of your	col (i) o			
				(See Instructions))	your go		supp	ort?	ın the	US?		
					docur		Var	Ne	Va-	No	-	
					Yes	No	Yes	No	Yes	No		

Total

Part II	Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
	(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Pι	ıblic Support		, ,	,				
Cale	endar year (or fiscal year beginning in)	(a) 2004	<b>(b)</b> 2005	(c) 2006	(d) 2007	(e)	2008	(f) Total
1	Gifts, grants, contributions, and							
	membership fees received (Do not							
	include any "unusual grants ")							
2	Tax revenues levied for the organization's							
	benefit and either paid to or expended on							
_	its behalf The value of services or facilities					<del> </del>		
3	furnished by a governmental unit to the							
	organization without charge							
4	Total. Add line 1-3					1		
5	The portion of total contribution by each							
5	person (other than a government unit or							
	publicly supported organization) included							
	on line 1 that exceed 2% of the amount							
	shown on line 11, column							
	· (f)							
6	Public Support subtract line 5 from line							
	4							
	otal Support		1		T			
	endar year (or fiscal year beginning in)	(a) 2004	<b>(b)</b> 2005	(c) 2006	(d) 2007	(e) :	2008	(f) Total
7	A mounts from line 4							
8	Gross income from interest, dividends,							
	payments received on securities loans,							
	rents, royalties and income from similar							
_	sources							
9	Net income from unrelated business							
	activities, whether or not the business is regularly carried on							
10	Other income Do not include gain or loss							
10	from the sale of capital assets (Explain in							
	Part IV )							
11	Total Support (Add lines 7 through 10)							
12	Gross receipts from related activities, etc	(See instructio	ns )		•	12		
13	First Five Years. If the Form 990 is for the	organization's f	irst second thu	d fourth or fifth	ntay vearas a F		3)	
	organization, check this box and <b>stop here</b>		mat, second, tim	u, rouren, or mer	rtax year as a s	/O1(C)(C	• •	<b>▶</b> □
								•
Co	omputation of Public Support Perc	entage						
14	Public Support Percentage for 2008 (line 6	5 column (f) dıvı	ded by line 11 c	olumn (f))		14		
15	Public Support Percentage for 2007 School	dule A , Part IV -	A, line 26f			15		
16a	33 1/3% Test - 2008. If the organization di	d not check the	box on line 13.	and line 14 is 3	3 1/3% or more.		this box	
	and <b>stop here.</b> The organization qualifies a				,			<b>▶</b> □
b	33 1/3% Test - 2007. If the organization d				15 is 33 1/3% d	r more,	check th	
	box and stop here. The organization qualifi	es as a publicly	supported orga	nızatıon				<b>▶</b> □
17a	10% Facts and Circumstances Test - 2008.							
	more, and if the organization meets the "fa		•					· —
	organization meets the "facts and circums							<b>►</b> □
Ь	10% Facts and Circumstances Test - 2007.							
	more, and if the organization meets the "fa		•					_
4.0	the organization meets the "facts and circu							n ▶
18	<b>Private Foundation.</b> If the organization did	not check the b	oux on line 13, 1	oa, 160, 1/a or	1/D, Check this	oox an	u see	<b>▶</b> □
	ınstructions							F-1

Pa	Support Schedule for On (Complete only if you ched				(2)		
	ction A. Public Support		_	,			
Cale	ndar year (or fiscal year beginning in)	(a) 2004	<b>(b)</b> 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
1	Gifts, grants, contributions, and						
	membership fees received (Do not						
2	include any "unusual grants ") Gross receipts from admissions,						
2	merchandise sold or services performed,						
	or facilities furnished in any activity that						
	is related to the organization's tax-						
	exempt purpose						
3	Gross receipts from activities that are						
	not an unrelated trade or business under						
	section 513						
4	Tax revenues levied for the						
	organization's benefit and either paid to						
	or expended on its behalf						
5	The value of services or facilities						
	furnished by a governmental unit to the						
_	organization without charge						
6	Total Add lines 1-5						
7a	A mounts included on lines 1, 2, and 3						
	received from disqualified persons Amounts included on lines 2 and 3						
D	received from other than disqualified						
	persons that exceed the greater of 1% of						
	the total of lines 9, 10c, 11, and 12 for						
	the year or \$5,000						
c	Total of lines 7a and 7b						
8	Public Support (Substract line 7c from						
_	line 6)						
То	tal Support						
Cale	ndar year (or fiscal year beginning in)	(a) 2004	<b>(b)</b> 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
9	A mounts from line 6						
10a	Gross income from interest, dividends,						
	payments received on securities loans,						
	rents, royalties and income from similar						
	sources						
b	Unrelated business taxable income (less						
	section 511 taxes) from businesses						
	acquired after 30 June, 1975		+				
С	Add lines 10a and 10b						
11	Net income from unrelated business						
	activities not included in line 10b, whether or not the business is regularly						
	carried on						
12	Other income Do not include gain or loss						
	from the sale of capital assets						
	(Explain in Part IV )						
13	Total Support (Add lines 9, 10c, 11 and						
	12)						
14	First Five Years If the Form 990 is for the	organızatıon's fı	rst, second, thir	d, fourth, or fifth	ntax year as a 5	01(c)(3) organı	zation,
	check this box and <b>stop here</b>						<b>▶</b> □
	manufaction of Dublic Compact Days						
15	mputation of Public Support Perc Public Support Percentage for 2008 (line		dod by line 12 o	olumn (fl)		T 4= T	
			•	.orumin (1))		15	
16	Public Support Percentage for 2007 Sche	dule A , Part IV -	A, line 27g			16	
		D					
Co	mputation of Investment Income Investment Income Percentage for 2008 (			ne 13 column /f	<u> </u>	17	
				-	"	17	
ΤQ	Investment Income Percentage from 2007	ocnequie A , Pa	TLIV-A, IINE 2/	H		18	

19a 33 1/3% Tests - 2008. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line

17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization **33 1/3% Tests - 2007.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization

Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions

Schedule A (Form 990 or 990-EZ) 2008

**▶**□

Part IV	<b>Supplemental Information.</b> Complete this part to provide the information required by Part II, line 10; Part II, line 17a or 17b, or Part III, line 12. Provide and any other additional information. (see instructions)								
	Facts and Circumstances Test								

Schedule A (Form 990 or 990-EZ) 2008

#### Software ID: **Software Version:**

**EIN:** 13-5598093

Name: The Trustees of Columbia University in the City of New York

#### Form 990. Part VII - Section Aaa

Form 990, Part VII - Section Aaa											
	(C) Position (check all that apply)								(E)	(F)	
(A) Name and Title	(B) Average hours per week	Individual Trustee or Director	Institutional Trustee	Officei	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099MISC)	Reportable compensation from related organizations (W- 2/1099-MISC)	Estimated amount of other compensation from the organization and related organizations	
Lee C Bollinger , President	60 0	Х		Х				891,402	0	862,582	
Kenneth A Forde MD , Trustee	3 0	Х						789,000	0	0	
Armen A Avanessians , Trustee	3 0	Х						0	0	0	
A'Leıla Bundles , Trustee	3 0	Х						0	0	0	
Jose A Cabranes , Trustee	3 0	Х						0	0	0	
William V Campbell , Trustee	3 0	Х						0	0	0	
Stephen H Case , Trustee	3 0	Х						0	0	0	
Ann F Kaplan , Trustee	3 0	Х						0	0	0	
Mark E Kıngdon , Trustee	3 0	Х						0	0	0	
Marilyn Laurie , Trustee	3 0	Х						0	0	0	
Gerry Lenfest , Trustee	3 0	Х						0	0	0	
Paul J Maddon , Trustee	3 0	Х						0	0	0	
Philip L Milstein , Trustee	3 0	Х						0	0	0	
Vıkram Pandıt , Trustee	3 0	Х						0	0	0	
Mıchael B Rothfeld , Trustee	3 0	Х						0	0	0	
Jonathan D Schiller , Trustee	3 0	Х						0	0	0	
Joan Edelman Spero , Trustee	3 0	Х						0	0	0	
Esta E Stecher , Trustee	3 0	Х						0	0	0	
Kyrıakos Y Tsakopoulos , Trustee	3 0	Х						0	0	0	
Savio W Tung , Trustee	3 0	Х						0	0	0	
Faye Wattleton , Trustee	3 0	Х						0	0	0	
Richard E Witten , Trustee	3 0	Х						0	0	0	
Dr Clyde YC Wu , Trustee	3 0	Х						0	0	0	
Jane E Booth , Interım General Counsel	60 0			Х				314,348	0	38,594	
Alan Brınkley , Provost	60 0			Х				533,991	0	35,710	
Robert Kasdın , Senior Executive VP	60 0			Χ				661,808	0	58,261	
Anne R Sullivan , Executive VP for Finance	60 0			х				405,362	0	23,816	
Jerome Davis , Secretary	60 0			Х				240,524	0	8,036	
Nicholas B Dirks , Exec VP - Arts & Sciences	60 0				х			379,228	0	50,218	
Susan K Feagin , Exec VP - Univ Dev & Alum Rel	60 0				Х			473,668	0	30,238	

Form 990, Part VII - Section Aaa

FORIII 990, Part VII - Section Ada										
		<b>(C)</b> Position (check all that apply)							(E)	(F)
(A) Name and Title	(B) Average hours per week	Individual Trustee or Director	Institutional Trustee	Officel	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099MISC)	Reportable compensation from related organizations (W- 2/1099-MISC)	Estimated amount of other compensation from the organization and related organizations
Lee Goldman MD , Exec VP for Health Sciences	60 0				х			993,524	0	35,338
Peter Holland , CIO OF INVESTMENT MGMT	60 0				х			1,966,603	0	1,313,938
Joseph A Ienuso , Exec VP of Facilities	60 0				Х			446,356	0	66,250
N P Narvekar , CEO OF INVESTMENT MGMT	60 0				х			1,926,451	0	1,464,532
David N Silvers MD , Clinical Professor	60 0					Х		5,339,485	0	34,754
Jeffrey W Moses MD , Professor of Medicine	60 0					х		3,018,544	0	78,091
Craig R Smith MD , Professor of Surgery	60 0					Х		1,789,181	0	67,945
Martin B Leon MD , Professor of Medicine	60 0					Х		1,540,589	0	60,407
Gregg WStone MD , Professor of Medicine	60 0					х		1,541,430	0	41,600
George E Rupp ,	0 0						Х	400,000	0	0
ELIZABETH KEEFER , GENERAL COUNSEL	60 0						х	363,684	0	27,238

Form 990, Part VIII - Statement of Revenue - 2a - 2g Program Service Revenue -

	Business Code	(A) Total Revenue	(B) Related or Exempt Function Revenue	(C) Unrelated Business Revenue	(D) Revenue Excluded from Tax under IRC 512, 513, or 514
a Tuition & Fees	611,600	840,647,000	840,647,000		
<b>b</b> Other Education & Research	621,110	126,162,320	126,162,320		
c Patient Care Revenue	541,700	725,582,494	725,582,494		
d Auxiliary Enterprises	900,099	111,934,000	104,522,307	7,411,693	
e OTHER SOURCES	900,099	13,925,000	13,925,000		

#### Form 990, Part III, Line 1 - Briefly describe the organization's mission:

Columbia University is one of the world's most important centers of research and at the same time a distinctive and distinguished learning environment for undergraduates and graduate students in many scholarly and professional fields. The University recognizes the importance of its location in New York City and seeks to link its research and teaching to the vast resources of a great metropolis. It seeks to attract a diverse and international faculty and student body, to support research and teaching on global issues, and to create academic relationships with many countries and regions. It expects all areas of the university to advance knowledge and learning at the highest level and to convey the products of its efforts to the world.

# **SCHEDULE C**

(Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

#### **Political Campaign and Lobbying Activities**

For Organizations Exempt From Income Tax Under section 501(c) and section 527

OMB No 1545-0047

Open to Public Inspection

To be completed by organizations described below. Attach to Form 990 or Form 990-EZ

If the organization answered "Yes," to Form 990, Part IV, Line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities)

- ◆ Section 501(c)(3) organizations complete Parts I-A and B Do not complete Part I-C
- ◆ Section 501(c) (other than section 501(c)(3)) organizations complete Parts I-A and C below Do not complete Part I-B
- ◆ Section 527 organizations complete Part I-A only

If the organization answered "Yes," to Form 990, Part IV, Line 4, or Form 990EZ, Part VI, line 47 (Lobbying Activities)

- ◆ Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) complete Part II-A Do not complete Part II-B
- ◆ Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

	e organization answered "Ye ection 501(c)(4), (5), or (6) organi	s," to Form 990, Part IV, Line 5 (Prozations complete Part III	oxy Tax)		·
N a	me of the organization e Trustees of Columbia University the City of New York	·			ntification number
	t I-A To be completed b	y all organizations exempt on the exempt of		13-5598093 1 <b>501(c) and section</b>	527
1		ganızatıon's dırect and ındırect politic	•	ıvıtıes ın Part IV	
2	Political expenditures				\$
3	Volunteer hours				
Par	<b>To be completed b</b> for Schedule C for de	y all organizations exempt (etails.)	under section	<b>501(c)(3).</b> (See the	instructions
1		e tax incurred by the organization und	der section 4955		\$
2	Enter the amount of any excise	e tax incurred by organization manage	ers under section	n 4955	\$
3	If the organization incurred in	a section 4955 tax, did it file Form 4	720 for this year	?	┌ Yes
4a	Was a correction made?				┌ Yes
Ь	If "Yes," describe in Part IV				
Par		y all organizations exempt of for Schedule C for details.)	under section	1 501(c), except sect	tion 501(c)(3).
1	Enter the amount directly expe	ended by the filing organization for se	ction 527 exemp	ot function activities	\$
2	Enter the amount of the filing of 527 exempt funtion activities	rganızatıon's ınternal funds contrıbut	ted to other orga	nizations for section	\$
3	Total of direct and indirect exe 1120-POL, line 17b	mpt function expenditures Add lines	1 and 2 and ent	er here and on Form	\$
4	Did the filing organization file <b>I</b>	Form 1120-POL for this year?			┌ Yes
5	were made Enter the amount p political contributions received	nd Employer Identification Number (E paid and indicate if the amount was pa d and promptly and directly delivered action committee (PAC) If additional	aid from the filing to a separate po	organization's own interna Hitical organization, such a	l funds or were s a separate
	(a) Name	( <b>b)</b> Address	(c) EIN	(d) A mount paid from filing organization's internal funds If none, enter - 0-	(e) A mount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-
For i	Paperwork Reduction Act Notice	, see the instructions for Form 990.	Cat No 50	00845 <b>Schedule C (</b>	Form 990 or 990-EZ) 2008

	(election under sec	organizations exempt under section 501( tion 501(h)). (See the instructions for Schedul belongs to an affiliated group		768
	Check   If the filing organization			
	Limits on Lo	bbying Expenditures— s" means amounts paid or incurred.)	(a) Filing Organization's Totals	<b>(b)</b> A ffiliated Group Totals
1a	Total lobbying expenditures to influe	nce public opinion (grass roots lobbying)		
b	Total lobbying expenditures to influe	nce a legislative body (direct lobbying)		
c	Total lobbying expenditures (add line	es 1a and 1b)		
d	Other exempt purpose expenditures			
е	Total exempt purpose expenditures	(add lines 1c and 1d)		
f	Lobbying nontaxable amount Enter to columns—  If the amount on line 1e, column (a) or (b) is:  Not over \$500,000  Over \$500,000 but not over \$1,000,000  Over \$1,000,000 but not over \$1,500,000  Over \$1,500,000 but not over \$17,000,000	The lobbying nontaxable amount is:  20% of the amount on line 1e  \$100,000 plus 15% of the excess over \$500,000  \$175,000 plus 10% of the excess over \$1,000,000  \$225,000 plus 5% of the excess over \$1,500,000		
	Over \$17,000,000	\$1,000,000		
g	Grassroots nontaxable amount (ente	r 25% of line 1f)		
h	Subtract line 1g from line 1a Enter -	0 - If line g is more than line a		
i	Subtract line 1f from line 1c Enter -	0- ıf lıne f ıs more than lıne c		
j 	If there is an amount other than zero section 4911 tax for this year?	on either line 1h or line 1i, did the organization file Form	m 4720 reporting	┌ Yes ┌ No

# 4-Year Averaging Period Under Section 501(h) (Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 1a through 1f of the instructions.)

	Lobbying Expendi	tures During	4-Year Avera	ging Period		
	Calendar year (or fiscal year beginning in)	(a) 2005	<b>(b)</b> 2006	(c) 2007	( <b>d)</b> 2008	<b>(e)</b> Total
2a	Lobbying non-taxable amount					
ь	Lobbying ceiling amount (150% of line 2a, column(e))					
С	Total lobbying expenditures					
d	Grassroots non-taxable amount					
e	Grassroots ceiling amount (150% of line d, column (e))					
f	Grassroots lobbying expenditures					

Part II-B

To be completed by organizations exempt under section 501(c)(3) that have NOT filed Form 5768 (election under section 501(h)). (See the instructions for Schedule C for details.)

		(a	1)	(b)	
		Yes	No	A mount	
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of				
а	Volunteers?		Νo		
b	Paid staff or management (include compensation in expenses reported on lines c through i)?	Yes			
c	Media advertisements?		Νo		
d	Mailings to members, legislators, or the public?		Νo		
e	Publications, or published or broadcast statements?		Νo		
f	Grants to other organizations for lobbying purposes?	Yes		4,800	
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		178,881	
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means?		Νo		
i	Other activities If "Yes," describe in Part IV	Yes		879,228	
j	Total lines 1c through			1,062,909	
	11				
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		Νo		
b	If "Yes" enter the amount of any tax incurred under section 4912		Ī		
c	If "Yes" enter the amount of any tax incurred by organization managers under section 4912				
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?				

Part III-A To be completed by all organizations exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6). (See the instructions for Schedule C for details.)

	<b>Section 501(c)(b).</b> (See the hist actions for Schedule C for details.)			
			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2		
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3		

Part III-B
To be completed by all organizations exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, questions 1 and 2 are answered "No" OR if Part III-A, question 3 is answered "Yes." (See the instructions for Schedule C for details.)

1 Dues, assessments and similar amounts from members

2	Section 162(e) non-deductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).	
а	Current Year	2a \$
b	Carryover from last year	2b \$
c	Total	2c \$
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3 \$
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political	4.4
	expenditure next year?	4 \$
5	Taxable amount of lobbying and political expenditures (line 2c total minus 3 and 4)	5 \$

Albo, complete this part for any additional information  Toddiffier  Return Reference  Internation  SCHEDULE C  LINE 1A - VOLUNTEERS NA LINE 18 - PAID STAFF OR MANAGEMENT THE UNIVERSITY HAS OFFICES OF GOVERNMENT AND COMMUNITY AFFAIRS AT BOTH ITS MORNINGSIDE AND MEDICAL CENTRE CARPYLES WHO SE PURCTION 15 TO KEEP THE UNIVERSITY COMMUNITY LEGISLATOR.  LEGISLATION AND POLICY THAT HAS AN IMPACT ON THE UNIVERSITY SHOW THAT HAS AN IMPACT ON THE UNIVERSITY AND, WHEN APPROPRIATE, TO ADVOCATE FOR THE UNIVERSITY SHOW THAT HAS AN IMPACT ON THE UNIVERSITY AND, WHEN APPROPRIATE, TO ADVOCATE FOR THE UNIVERSITY SHOW THAT HAS AN IMPACT ON THE UNIVERSITY AND, WHEN APPROPRIATE, TO ADVOCATE FOR THE PROPERTY OF GOVERNMENT AND AND POLICY CO. ROLLINATION PROJECT CO. ROLLINATOR FOR STATE AND PEDERAL RELATIONS DEPUTY VICE PRESIDENT AND ASSIGNATE DEARN FOR GOVERNMENT AND THE APPROPRIATE OF GOVERNMENT AND ASSIGNATE DEARN FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTRE LINE 1C - MEDIA ADVERTISEMENTS NOTH LINE 1D - MAILINGS TO MEMBERS, LEGISLATORS OR THE PUBLIC MORNING THE PUBLIC ATTORNS, OR PUBLISHED OF THE PUBLIC MORNING THE APPROPRIATE PUBLIC ATTORNS, OR PUBLISHED OF THE PUBLISH OR PUBLISHED OF THE PUBLISH OR PUBLISHED OF THE PUBLISH OR P			e 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i
UIPPLEMENTAL INFORMATION  SCHEDULE C  LIVE LA - VOLUNTEERS MALINE 18 - PAID STAFF OR MANAGEMENT THE UNIVERSITY HAS DECISED OF GOVERNMENT AND COMMUNITY AFFARS AT BOTH ITS MORNINGSIDE AND MEDICAL CENTER PURSES WHOSE FUNCTION IS TO KEPP THE UNIVERSITY COMMUNITY INFORMED ABOUT NATIONAL, STAFE AND LOCAL LEGISLATION AND POLICY THAT HAS AN IMPACT ON THE UNIVERSITY WE WISH THAT THAT HAS AN IMPACT ON THE UNIVERSITY WE USE IN THE STAFF OR THE WEIGHT OF THE WEIGHT WE			Explanation
INCLUDE AMOUNTS PAID TO SUCH OUTSIDE FIRMS FOR ALL ACTIVITIES CONDUCTED BY SUCH FIRMS, INCLUDING CERTAIN OF THE ACTIVITIES DESCRIBED ABOVE AND GENERAL ADVISORY SERVICES TO THE UNIVERSITY IN	<b>Ident if ier</b>	Return Reference	LINE 1A - VOLUNTEERS N/A LINE 1B - PAID STAFF OR MANAGEMENT THE UNIVERSITY HAS OFFICES OF GOVERNMENT AND COMMUNITY AFFAIRS AT BOTH ITS MORNINGSIDE AND MEDICAL CENTER CAMPUSES WHO SE FUNCTION IS TO KEEP THE UNIVERSITY COMMUNITY INFORMED ABOUT NATIONAL, STATE AND LOCAL LEGISLATION AND POLICY THAT HAS AN IMPACT ON THE UNIVERSITY AND, WHEN APPROPRIATE, TO ADVOCATE FOR THE UNIVERSITY AND, WHEN APPROPRIATE, TO ADVOCATE FOR THE UNIVERSITY SINTERESTS WITH RESPECT TO THIS POLICY EMPLOYEES REGULARLY INVOLVED IN THESE ACTIVITIES INCLUDE EXECUTIVE VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS DIRECTOR OF GOVERNMENT RELATIONS AND POLICY COORDINATOR FOR STATE AND FEDERAL RELATIONS DEPUTY VICE PRESIDENT AND ASSOCIATE DEAN FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER ASSISTANT VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER LINE 1C - MEDIA ADVERTISEMENTS NONE LINE 1D - MAILINGS TO MEMBERS, LEGISLATORS OR THE PUBLIC NONE LINE 1E - PUBLICATIONS, OR PUBLISHED OR BROADCAST STATEMENTS NONE LINE 1F - GRANTS TO OTHER ORGANIZATIONS FOR LOBBYING PURPOSES THE UNIVERSITY BELONGS TO CERTAIN HIGHER EDUCATION TRADE ASSOCIATIONS A SMALL PORTION OF THE MEMBERSHIP FEES PAID TO SOME OF THOSE ORGANIZATIONS GOES TO SUPPORT LOBBYING-RELATED ACTIVITIES THESE FEES ARE REGULARLY REPORTED ON THE UNIVERSITY'S LOBBYING DISCLOSURE FILINGS LINE 1G - DIRECT CONTACT WITH LEGISLATORS, THEIR STAFFS, GOVERNMENT OFFICIALS, OR A LEGISLATIVE BODY THE PRESIDENT, THE SENIOR EXECUTIVE VICE PRESIDENT FOR PLANNING AND POLICY STAFFS ON HIGHER EDUCATION ISSUES THE EXECUTIVE VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY BELOTH FOR STATE AND LOCAL LEGISLATORS AND THEIR POLICY STAFFS ON HIGHER EDUCATION ISSUES THE EXECUTIVE VICE PRESIDENT FOR PLANNING AND PROJECT COORDINATOR FOR STATE AND LOCAL LEGISLATORS AND THEIR POLICY STAFFS ON HIGHER EDUCATION ISSUES THE EXECUTIVE VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, CONTACTED FEDERA
			INCLUDE AMOUNTS PAID TO SUCH OUTSIDE FIRMS FOR ALL ACTIVITIES CONDUCTED BY SUCH FIRMS, INCLUDING CERTAIN OF THE ACTIVITIES DESCRIBED ABOVE AND GENERAL ADVISORY SERVICES TO THE UNIVERSITY IN
			CONNECTION WITH ITS LOBBYING ACTIVITIES

Part IV Supplemental Information							
Ident if ier	Return Reference	Explanation					

Schedule C (Form 990 or 990EZ) 2008

OMB No 1545-0047

2008

Open to Public Inspection

#### SCHEDULE D

(Form 990)

Department of the Treasury Internal Revenue Service

## **Supplemental Financial Statements**

► Attach to Form 990. To be completed by organizations that answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.

Name of the organization
The Trustees of Columbia University
In the City of New York

Employer identification number
13-5598093

ın t	ne City of New York		13-5598093
Pa	organizations Maintaining Donor A organization answered "Yes" to Form 9	90, Part IV, line 6.	nds or Accounts. Complete if the
		(a) Donor advised funds	(b) Funds and other accounts
L	Total number at end of year	4	
	Aggregate Contributions to (during year)	0	
	Aggregate Grants from (during year)	525,000	
ŀ	Aggregate value at end of year	8,694,893	
5	Did the organization inform all donors and donor adv funds are the organization's property, subject to the		radvised
5	Did the organization inform all grantees, donors, and used only for charitable purposes and not for the be impermissible private benefit?	2 2	nay be ✓ Yes
Рa	rt III Conservation Easements. Complete	if the organization answered "Yes" to	Form 990, Part IV, line 7.
L	Purpose(s) of conservation easements held by the of Preservation of land for public use (e.g., recreated Protection of natural habitat  Preservation of open space	cion or pleasure)	istorically importantly land area ified historic structure
2	Complete lines 2a-2d if the organization held a qua on the last day of the tax year	lified conservation contribution in the form o	fa conservation easement
			Held at the End of the Yea
а	Total number of conservation easements		2a
b	Total acreage restricted by conservation easemen	ts	2b
c	Number of conservation easements on a certified h	ustoric structure included in (a)	2c
d	Number of conservation easements included in (c)	, ,	2d
3	Number of conservation easements modified, transf	·	by the organization during
	the taxable year -	errea, rereasea, extingaistica, or terminatea	by the organization during
ŀ	Number of states where property subject to conserv	ation easement is located ►	
5	Does the organization have a written policy regarding enforcement of the conservation easements it holds		ons, and Yes No
5	Staff or volunteer hours devoted to monitoring, insp	ecting and enforcing easements during the y	ear ►
,	A mount of expenses incurred in monitoring, inspect	ing, and enforcing easements during the yea	r <b>&gt;</b> \$
3	Does each conservation easement reported on line 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)?	2(d) above satisfy the requirements of section	on Yes No
•	In Part XIV, describe how the organization reports of balance sheet, and include, if applicable, the text of the organization's accounting for conservation ease	the footnote to the organization's financial s ments	tatements that describes
ar	Complete if the organization answered		r Other Similar Assets.
La	If the organization elected, as permitted under SFAS art, historical treasures, or other similar assets held provide, in Part XIV, the text of the footnote to its fi	d for public exhibition, education or research	ın furtherance of public service,
b	If the organization elected, as permitted under SFAS historical treasures, or other similar assets held for provide the following amounts relating to these item	public exhibition, education, or research in f	•
	(i) Revenues included in Form 990, Part VIII, line	L	<b>►</b> \$
	(ii) Assets included in Form 990, Part X		<b>▶</b> -\$
2	If the organization received or held works of art, his following amounts required to be reported under SFA		'
а	Revenues included in Form 990. Part VIII. line 1		<b>▶</b> \$

**b** Assets included in Form 990, Part X

Par	Organizations Maintaining Co	llections of Art, I	Hist	tori	al Trea	sures, or C	the	r Similar Asse	ets (co	ntınued)
3	Using the organization's accession and othe items (check all that apply)	r records, check any c	ofthe	e foll	-	_			n	
а	Public exhibition		d	굣	Loan or e	xchange prog	rams			
b	Scholarly research		e	Γ	Other					
c	Preservation for future generations									
4	Provide a description of the organization's co Part XIV	ollections and explain	how	they	further th	e organızatıor	ı's ex	xempt purpose in		
5	During the year, did the organization solicit of assets to be sold to raise funds rather than t								Yes	√ No
Pai	Trust, Escrow and Custodial A Part IV, line 9, or reported an an					ganızatıon a	insw	ered "Yes" to F	orm 99	90,
1a	Is the organization an agent, trustee, custod included on Form 990, Part X?	ıan or other ıntermedı	ary	for co	ontribution	s or other ass	ets	not	Yes	┌ No
b	If "Yes," explain why in Part XIV and comple	te the following table				-		<u>,                                      </u>		
								A mo	unt	
С	Beginning balance						1c			
d	Additions during the year						1d			
е	Distributions during the year						1e			
f	Ending balance						1f			
2a	Did the organization include an amount on Fo	orm 990, Part X, line 2	21?					Γ	Yes	┌ No
b	If "Yes," explain the arrangement in Part XIV									
Pa	rt V Endowment Funds. Complete									
4 -	D	(a)Current Year 7,345,226,000	(b	)Prior	Year (c	:)Two Years Back	:  (d	Three Years Back (	e)Four Ye	ears Back
1a	Beginning of year balance	159,922,000								
Ь	Contributions	-1,138,085,412								
C _ı	Investment earnings or losses	81,367,000								
d	Grants or scholarships	365,444,000								
е	Other expenditures for facilities and programs	303,444,000								
f	Administrative expenses	27,453,588								
g	End of year balance	5,892,798,000								
2	Provide the estimated percentage of the yea	r end balance held as								
а	Board designated or quasi-endowment	45 %								
Ь	Permanent endowment ► 55 %									
	remanent endowment P									
c 3a	Term endowment	ssion of the organizati	on t	hat a	re held an	d administere	d for	the		
Ju	organization by	ssion of the organizati	011 6	nat a	re nela an	a aammistere	u 101	tile	Yes	No
	(i) unrelated organizations							3a(i)	Yes	
	(ii) related organizations							3a(ii)	Yes	
b	If "Yes" to 3a(II), are the related organizatio	•					•	3b		
4	Describe in Part XIV the intended uses of th									
Pai	t VI Investments—Land, Buildings	s, and Equipment			orm 990, ost or other	Part X, line	10.	Т		
	Description of investment			` '	basis estment)	( <b>b)</b> Cost or oth basıs (other)		(c) Depreciation	( <b>d</b> ) Boo	k value
1a	Land		L			302,898,	000	L	302	2,898,000
Ь	Buildings			9	42,171,392	3,348,024,	000	1,546,792,392	2,743	3,403,000
c	Leasehold improvements		· [							
d	Equipment					273,903,	000	143,786,000	130	0,117,000
e	Other									

3,176,418,000

Part VII Investments—Other Securities. See	Form 990, Part X, line 1	2.	
(a) Description of security or cateory (including name of security)	( <b>b)</b> Book value	(c) Method	d of valuation year market value
Financial derivatives and other financial products			
Closely-held equity interests			
Other GLOBAL EQUITIES	447,334,201		F
Other FIXED INCOME	246,917,791		F
Other ABSOLUTE RETURN STRATEGIES	1,445,975,079		F
Other PRIVATE EQUITY	1,418,044,352		F
Other REAL ASSETS	918,622,013		F
Total. (Column (b) should equal Form 990, Part X, col (B) line 12 )	4,476,893,436		
Part VIII Investments—Program Related. Se	e Form 990, Part X, line	13.	
(a) Description of investment type	(b) Book value		d of valuation year market value
Total. (Column (b) should equal Form 990, Part X, col (B) line 13 ) Part IX Other Assets. See Form 990, Part X, lii			
(a) Descrip	otion		(b) Book value
Investment Income Receivable			2,188,000
RECVBLS FROM SECURITIES SOLD			147,665,000
CASH/SECURITIES HELD IN TRUST			83,677,000
INTEREST IN PERPETUAL TRUSTS			129,818,000
Collateral for Securities Loan			11,876,000
Other Assets			13,637,375
Total. (Column (b) should equal Form 990, Part X, col.(B) line i	15.)		
Part X Other Liabilities. See Form 990, Part >	(, line 25.		
(a) Description of Liability	(b) A mount		
Federal Income Taxes	0		
Liabilities for Securities Purchased	18,759,000		
Securities Loan Agreement Payable	11,876,000		
Capital Lease Obligations	118,962,000		
CONDITL ASSET RETIREMENT OBLIGTIONS	98,791,000		
ACCRUED EMPLOYEE BENEFIT LIAB	220,558,000		
Federal Student Loan Funds	76,782,000		
ACTUARIAL LIAB - SPLIT INT AGREEMTS	43,111,000		

588,839,000

Total. (Column (b) should equal Form 990, Part X, col (B) line 25 )

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	
4	Net unrealized gains (losses) on investments	4	
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	
9	Total adjustments (net) Add lines 4 - 8	9	
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	
Par	t XII Reconciliation of Revenue per Audited Financial Statements With Revenue p	er Re	turn
1	Total revenue, gains, and other support per audited financial statements	1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
а	Net unrealized gains on investments		
b	Donated services and use of facilities		
c	Recoveries of prior year grants		
d	Other (Describe in Part XIV) 2d		
e	Add lines <b>2a</b> through <b>2d</b>	2e	
3	Subtract line <b>2e</b> from line <b>1</b>	3	
4	A mounts included on Form 990, Part VIII, line 12, but not on line 1		
а	Investment expenses not included on Form 990, Part VIII, line 7b . 4a		
b	Other (Describe in Part XIV)		
c	Add lines <b>4a</b> and <b>4b</b>	4c	
5	Total Revenue Add lines <b>3</b> and <b>4c.</b> (This should equal Form 990, Part I, line 12)	5	
_	Reconciliation of Expenses per Audited Financial Statements With Expenses	T	Return
1	Total expenses and losses per audited financial statements	1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
а	Donated services and use of facilities	-	
b	Prior year adjustments	-	
c	Losses reported on Form 990, Part IX, line 25	-	
d	Other (Describe in Part XIV)	┤ ╗ │	
e	Add lines <b>2a</b> through <b>2d</b>	2e 3	
3		3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:  Investment expenses not included on Form 990, Part VIII, line 7b 4a		
a b	Investment expenses not included on Form 990, Part VIII, line 7b 4a  Other (Describe in Part XIV) 4b	<del> </del>	
D C		-   4c	
5	Total expenses Add lines <b>3</b> and <b>4c.</b> (This should equal Form 990, Part I, line 18)	5	
	t XIV Supplemental Information		
للنهم	- of branching and a second se		

Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part XIV, lines 1b and 2b, Part V, line 4, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b

Ident if ier	Return Reference	Explanation
Schedule D, Part III, Lines 1a & 4		Collections at the University include works of art, literary works, historical treasures, and artifacts that are maintained in the University's galleries, libraries, and buildings. These collections are protected and preserved for public exhibition, education, research, and the furtherance of public service and, therefore, are not recognized as assets on the Consolidated Balance. Sheet Costs associated with purchasing additions and maintaining these collections are recorded as operating expenses in the period in which the items are acquired.
Intended Uses of Endowment Funds		Columbia Universitys endowment funds support a range of purposes in accordance with the Universitys mission, including financial aid, faculty salaries and support, research, capital projects, general support of the University, Schools, Departments, Institutes and Centers

#### **SCHEDULE E**

(Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

# **Schools**

Attach to Form 990 or Form 990-EZ. To be completed by organizations that answer "Yes" to Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48. OMB No 1545-0047

	e of the organization	Employer identification	on nur	nber	
	rustees of Columbia University City of New York	13-5598093			
				YES	NO
1	Does the organization have a racially nondiscriminatory policy toward students by statement in	ıts charter, bylaws,			
	other governing instrument, or in a resolution of its governing body?		1	Yes	
2	Does the organization include a statement of its racially nondiscriminatory policy toward studen				
	brochures, catalogues, and other written communications with the public dealing with student ad programs, and scholarships?	missions,	2	Yes	
3	Has the organization publicized its racially nondiscriminatory policy through newspaper or broad	cast media during		165	
	the period of solicitation for students, or during the registration period if it has no solicitation pro				
	that makes the policy known to all parts of the general community it serves? If "Yes," please de	scribe If"No,"			
	please explain		3	Yes	<u> </u>
	Columbia University customarily draws a substantial percentage of its STUDENTS FROM ACRO AND THE WORLD ITS ELEMENTARY AND SECONDARY SCHOOL PROGRAMS SEEK A BROAD OF STUDENTS FROM THE METROPOLITAN AREA IT FOLLOWS A RACIALLY NONDISCRIM OF ADMISSION AND INCLUDES A STATEMENT OF ITS RACIALLY NONDISCRIMINATOR PROGRAMS IN ALL SCHOOL AND PROGRAM BULLETINS IT ALSO REFERS TO THIS POLIC ADVERTISING IT THEREFORE MEETS CRITERIA UNDER REV PROC 75-50, SECTION 4 0 EXEMPTION FROM THE PUBLICITY REQUIREMENTS OF SECTION 4 03-1	D CROSS-SECTION INATORY POLICY OLICY TOWARDS Y IN ALL WRITTEN			
4	Does the organization maintain the following?				
ā	Records indicating the racial composition of the student body, faculty, and administrative staff?		4a	Yes	
ŀ	Records documenting that scholarships and other financial assistance are awarded on a racially basis?	nondiscriminatory	4b	Yes	
•	Copies of all catalogues, brochures, announcements, and other written communications to the pr	ublic dealing			
	with student admissions, programs, and scholarships?		4c	Yes	
•	Copies of all material used by the organization or on its behalf to solicit contributions?		4d	Yes	
	If you answered "No" to any of the above, please explain (If you need more space, attach a sepa				
5 a	Does the organization discriminate by race in any way with respect to Students' rights or privileges?		5a		No
ŀ	Admissions policies?		5b		No
ď	Employment of faculty or administrative staff?		5c		No
C	Scholarships or other financial assistance?		5d		No
•	Educational policies?		5e		Νo
f	Use of facilities?		5f		No
ç	Athletic programs?		5g		No
	Other extracurricular activities?		5h		No
	If you answered "Yes" to any of the above, please explain (If you need more space, attach a sep	arate statement )			
	Does the organization receive any financial aid or assistance from a governmental agency?		6a	Yes	
ŀ	Has the organization's right to such aid ever been revoked or suspended? هم		6b		Νo
	If you answered "Yes" to either 6a or b, please explain using an attached statement 🍠				
7	Does the organization certify that it has complied with the applicable requirements of sections 4				
	of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an ex	planation	7	Yes	1

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OMB No 1545-0047

2008

Open to Public Inspect ion

**SCHEDULE F** (Form 990)

Department of the Treasury

▶ Attach to Form 990. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

**Statement of Activities Outside the United States** 

Internal Revenue Service

	ne of the organization				Emp	loyer identification number
	Trustees of Columbia U ne City of New York	niversity			12	5598093
		ormation on A	stivities Outsi	ide the United States		ne organization answered
		n 990, Part IV, lir		ide the officed States	• Complete ii ti	ie organización answered
1		•		ecords to substantiate	the amount of th	ne grants or
				assistance, and the se		
	· -		<del>-</del>			
2	For grant makers. Des United States	cribe in Part IV the	organization's pr	rocedures for monitoring th	ne use of grant fund	ds outside the
3	Activites per Region	(Use Schedule F-1	(Form 990) ıf ad	ditional space is needed )		
				(d) Activities conducted in	(-) 76	
		( <b>b</b> ) Number of	(c) Number of	region (by type) (i.e.,	(e) If activity list	
	(a) Region	offices in the	employees or	fundraising, program services, grants to recipients located in	describe specific	
		region	agents in region	the region)	service(s) in r	
Se	e Add'l Data					

Totals .

57

1,266

122,227,112

1 (a) Name o organization		(c) Region	(d) Purpose of grant	(e) A mount of cash grant	(f) Manner of cash disbursement	( <b>g)</b> A mount of of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
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Part III	Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 99	0, Part IV,	line 16
	Use Schedule F-1 (Form 990) ıf addıtıonal space ıs needed.		

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) A mount of cash grant	(e) Manner of cash disbursement	(f) A mount of non- cash assistance	(g) Description of non-cash assistance	( <b>h)</b> Method of valuation (book, FMV, appraisal, other)
See Add'l Data							

Schedule F (Form 990) 2008

Schedule F (Form 990) 2008		Page <b>4</b>
Part IV Supplemental Information Complete this part to		in Part I, line 2, and any other additional information.
Identifier SUPPLEMENTAL INFORMATION	ReturnReference	Explanation
SUPPLEMENTAL INFORMATION		SCHEDULE F, PART I, LINE 2 ORGANIZATION'S PROCEDURES FOR MONITORING GRANT FUNDS THE
		UNIVERSITY MAINTAINS A WRITTEN POLICY THAT
		GOVERNS THE MONITORING OF ALL SPONSORED PROJECT GRANT FUNDING THIS POLICY IS CONTAINED IN THE
		"SPONSORED PROJECTS HANDBOOK" THE POLICY STATES
		THAT THE PRIMARY OBLIGATION FOR THE DAY-TO-DAY MANAGEMENT OF SPONSORED PROJECTS AND INSURING
		COMPLIANCE WITH FEDERAL AND OTHER SPONSOR
		REGULATIONS IS THE RESPONSIBILITY OF THE PRINCIPAL INVESTIGATOR SUPPORTED, AS NECESSARY, BY HIS OR
		HER ADMINISTRATIVE STAFF ADDITIONALLY, THE POLICY
		SPECIFIES THE FOLLOWING MONITORING PROCEDURES - MONTHLY ACCOUNT RECONCILIATION - REVIEW OF
		SALARY AND BENEFIT CHARGES - REVIEW OF VENDOR
		INVOICES - REVIEW OF CHARGES INITIATED BY SERVICE
		OR RECHARGE CENTERS - REVIEW OF FACILITIES AND ADMINISTRATIVE CHARGES - MONITORING OF SUB-
		AWARDS THROUGH REVIEW OF CHARGES AND TECHNICAL
		PERFORMANCE REPORTS, CONDUCTING PERIODIC ONSITE VISITS, AND INITIATING AUDITS/REVIEWS WHEN
		NECESSARY EXPENDITURES RELATED TO FOREIGN
		ACTIVITY EXPENDITURES RELATED TO FOREIGN ACTIVITY ARE GENERATED FROM A NUMBER OF SCHOOLS AND
		CENTERS AS WELL AS THROUGH CERTAIN CENTRAL
		ADMINISTRATIVE ACTIVITY MOST OF THE ACTIVITY RELATES TO U.S. GOVERNMENT FUNDED PROGRAM
		SERVICES OTHER ACTIVITY INCLUDES FACULTY AND
		STAFF TRAVEL FOR EDUCATIONAL, RESEARCH,
		FUNDRAISING AND OTHER PROGRAM SERVICES NOT ALL OF THE OVERSEAS EXPENDITURES ARE SEPARATELY
		TRACKED AS FOREIGN ACTIVITY THE AMOUNTS
		REPRESENTED ON SCHEDULE F-1 REPRESENT A COMBINATION OF SEPARATELY TRACKED EXPENDITURES
		(GENERALLY FOR THE LARGER AND GOVERNMENT
		SPONSORED PROGRAMS) AND THE UNIVERSITY'S GOOD FAITH EFFORT TO CAPTURE FOREIGN ACTIVITY WHERE IT
		HAS NOT BEEN SEPARATELY TRACKED SCHEDULE F, PART
		II Support for Clinical services in Europe of \$7,243,588 represents funds provided to the UNICEF Supply Division office
		In Copenhagen for the purchase of anti-retrovirals and other
		drugs to treat related infections in HIV patients in sub-Saharan African countries
		Amcan countries
	-	
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Software ID: **Software Version:** 

**EIN:** 13-5598093

Name: The Trustees of Columbia University in the City of New York

#### Form 990 Schedule F Part I - Activities Outside The United States

Form 990 Schedule F P	art 1 - Activit	ies Outside i	(d) Activities conducted		
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	in region (by type)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures in region
Central America and the Caribbean	1	2		OUTREACH, RSEARCH &	248,985
Central America and the Caribbean			Grantmakıng		40,973
Central America and the Caribbean			Fundraising		0
Central America and the Caribbean			Investments		
East Asia and the Pacific	9	29	Program Services	OUTREACH, RSEARCH & TR	4,631,862
East Asia and the Pacific		2	Grantmakıng		924,047
East Asia and the Pacific			Fundraising		78,000
East Asia and the Pacific			Investments		
Europe (Including Iceland and Greenland)	8	43	Program Services	EDU, RSEARCH & OUTRCH	4,300,042
Europe (Including Iceland and Greenland)			Grantmakıng		10,685,965
Europe (Including Iceland and Greenland)		1	Fundraising		9,081
Europe (Including Iceland and Greenland)			Investments		
Middle East and North Africa	1	17	Program Services	OUTREACH, RSEARCH & TR	2,369,811
Middle East and North Africa			Grantmakıng		124,803
Middle East and North Africa			Investments		
Middle East and North Africa			Fundraising		0
North America			Program Services	OUTREACH, RSRCH & EDUC	297,525
North America			Grantmakıng		186,242
North America			Fundraising		0
North America			Investments		
Russia and the Newly Independent States	2	21	Program Services	OUTREACH, RSRCH & EDUC	252,625
Russia and the Newly Independent States			Fundraising		0
Russia and the Newly Independent States			Investments		
South America			Program Services	OUTREACH, RSEARCH & TR	444,380
South America			Grantmakıng		718,782
South America			Investments		
South Asia	2	120	Program Services	OUTREACH, RSEARCH & TR	1,340,030
South Asia			Grantmaking		174,817
South Asia			Investments		
Sub-Saharan Africa	34	1,031	Program Services	OUTREACH, RSEARCH & TR	75,810,439
Sub-Saharan Africa			Grantmakıng		19,588,703
Sub-Saharan Africa			Investments		
			•		_

Form 990 Schedu	le F Part II -	Grants and Othe	r Assistance to O	rganizations or E	ntities Outside Th	e United States		
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) A mount of cash grant	(f) Manner of cash disbursement	(g) A mount of non- cash assistance	(h) Description of non-cash assistance	(ı) Method of valuatıon (book, FMV, appraısal, other)
	_	Sub-Saharan Africa	CLINIC SUPP	348,299	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	20,000	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	33,426	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	29,908	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	35,510	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	205,847	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	12,924	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	Program Support	64,020	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	39,620	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	26,928	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	35,692	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	59,680	WIRE TRANS		N/A	N/A
		East Asia/Pacific	Program Support	250,080	WIRE TRANS		N/A	N/A
		Europe	Program Support	5,204	CHECK		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	24,117	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	147,740	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	723,841	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	7,303	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	257,905	WIRE TRANS		N/A	N/A
		Middle East/North Africa	Research Support	15,000	СНЕСК		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	105,026	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	61,628	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	53,218	WIRE TRANS		N/A	N/A
		South Asia	Program Support	59,675	WIRE TRANS		N/A	N/A
		Europe	Program Support	2,353,229	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	12,840	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	54,840	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	27,000	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	27,000	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	17,079	WIRE TRANS		N/A	N/A
			ı		ı	ı	ı	1

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) A mount of cash grant	(f) Manner of cash disbursement	(g) A mount of non- cash assistance	(h) Description of non-cash assistance	(1) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	CLINIC SUPP	89,217	WIRE TRANS		N/A	N/A
		South Asia	Program Support	18,852	WIRE TRANS		N/A	N/A
		North America	Research Support	22,500	CHECK		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	37,033	WIRE TRANS		N/A	N/A
		Europe	CLINIC SUPP	275,498	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	18,858	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	22,757	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	25,273	WIRE TRANS		N/A	N/A
		Europe	Research Support	93,930	CHECK		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	8,808	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	444,705	WIRE TRANS		N/A	N/A
		South America	Program Support	131,072	WIRE TRANS		N/A	N/A
		South America	Research Support	33,359	CHECK		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	36,340	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	42,069	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	13,442	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	12,620	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	Program Support	45,000	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	379,925	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	67,671	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	92,036	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	55,937	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	55,587	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	18,651	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	19,452	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	24,439	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	Program Support	248,250	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	51,482	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	1,256,363	WIRE TRANS		N/A	N/A
		South Asia	Research Support	56,000	WIRE TRANS		N/A	N/A
			·					

Sub-Saharan A Rica   CLINIC SUPP   29,818   WARE TAANS   N/A   N/A   N/A     Suth Arrance   General Support   6,841   CHECK   N/A   N/A   N/A     Sub-Saharan A Rica   Program Support   3,716,875   CHECK   N/A   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   243,972   WARE TAANS   N/A   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   50,543   WARE TAANS   N/A   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   5,722   WARE TAANS   N/A   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   6,874   WARE TAANS   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   6,877   WARE TAANS   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   6,877   WARE TAANS   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   12,887   WARE TAANS   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   8,335   WARE TAANS   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   8,335   WARE TAANS   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   19,319   WARE TAANS   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   19,319   WARE TAANS   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   19,319   WARE TAANS   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   19,319   WARE TAANS   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   19,319   WARE TAANS   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   19,308   WARE TAANS   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   19,308   WARE TAANS   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   19,308   WARE TAANS   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   19,309   WARE TAANS   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   19,309   WARE TAANS   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   19,309   WARE TAANS   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   5,209   WARE TAANS   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   5,209   WARE TAANS   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   5,200   WARE TAANS   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   5,200   WARE TAANS   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   5,200   WARE TAANS   N/A   N/A     Sub-Saharan A Rica   CLINIC SUPP   5	(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) A mount of cash grant	(f) Manner of cash disbursement	(g) A mount of non- cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
Sub-Saharan Africa			Sub-Saharan Africa	CLINIC SUPP	29,818	WIRE TRANS		N/A	N/A
Europe			South America	General Support	65,441	CHECK		N/A	N/A
Sub-Saharan A frica         CLINIC SUPP         243,972 WIRE TRANS         N/A         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         60,843 WIRE TRANS         N/A         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         9,792 WIRE TRANS         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         36,870 WIRE TRANS         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         6,227 WIRE TRANS         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         12,657 WIRE TRANS         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         9,438 WIRE TRANS         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         35,981 WIRE TRANS         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         193,196 WIRE TRANS         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         135,982 WIRE TRANS         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         136,988 WIRE TRANS         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         136,988 WIRE TRANS         N/A         N/A           Sub-Saharan A frica <t< td=""><td></td><td></td><td>Sub-Saharan Africa</td><td>Program Support</td><td>3,716,675</td><td>CHECK/WIRE</td><td></td><td>N/A</td><td>N/A</td></t<>			Sub-Saharan Africa	Program Support	3,716,675	CHECK/WIRE		N/A	N/A
Sub-saharan Africa   CLINIC SUPP   60,543   WIRE TRANS   N/A   N			Europe	General Support	88,685	CHECK		N/A	N/A
Sub-Saharan Africa   CLINIC SUPP   3,792   WIRE TRANS   N/A   N/A			Sub-Saharan Africa	CLINIC SUPP	243,972	WIRE TRANS		N/A	N/A
Sub-Saharan Afficia         CLINIC SUPP         36,870         WIRE TRANS         N/A         N/A           Sub-Saharan Afficia         CLINIC SUPP         6,227         WIRE TRANS         N/A         N/A           Sub-Saharan Afficia         CLINIC SUPP         12,857         WIRE TRANS         N/A         N/A           Sub-Saharan Afficia         CLINIC SUPP         9,438         WIRE TRANS         N/A         N/A           Sub-Saharan Afficia         CLINIC SUPP         36,981         WIRE TRANS         N/A         N/A           Sub-Saharan Afficia         CLINIC SUPP         193,199         WIRE TRANS         N/A         N/A           Sub-Saharan Afficia         CLINIC SUPP         193,199         WIRE TRANS         N/A         N/A           Sub-Saharan Afficia         CLINIC SUPP         136,985         WIRE TRANS         N/A         N/A           Sub-Saharan Afficia         CLINIC SUPP         136,985         WIRE TRANS         N/A         N/A           Sub-Saharan Afficia         CLINIC SUPP         136,985         WIRE TRANS         N/A         N/A           Sub-Saharan Afficia         CLINIC SUPP         136,985         WIRE TRANS         N/A         N/A           Sub-Saharan Afficia         CLINIC SUPP </td <td></td> <td></td> <td>Sub-Saharan Africa</td> <td>CLINIC SUPP</td> <td>60,543</td> <td>WIRE TRANS</td> <td></td> <td>N/A</td> <td>N/A</td>			Sub-Saharan Africa	CLINIC SUPP	60,543	WIRE TRANS		N/A	N/A
Sub-Saharan Africa         CLINIC SUPP         6,227         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         12,857         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         9,438         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         36,981         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         193,196         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         193,196         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         193,695         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         30,383         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         193,588         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         12,470         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         37,568         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP <t< td=""><td></td><td></td><td>Sub-Saharan Africa</td><td>CLINIC SUPP</td><td>9,792</td><td>WIRE TRANS</td><td></td><td>N/A</td><td>N/A</td></t<>			Sub-Saharan Africa	CLINIC SUPP	9,792	WIRE TRANS		N/A	N/A
Sub-Saharan Africa         CLINIC SUPP         1.2,857         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         9,438         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         36,981         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         193,196         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         193,196         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         136,985         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         136,985         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         136,985         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         193,588         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         12,470         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         37,562         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP			Sub-Saharan Africa	CLINIC SUPP	36,870	WIRE TRANS		N/A	N/A
Sub-Saharan Africa         CLINIC SUPP         9,438         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         8,335         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         36,981         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         193,196         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         136,985         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         38,383         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         39,388         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         193,588         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         12,470         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         37,562         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         5,528         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP			Sub-Saharan Africa	CLINIC SUPP	6,227	WIRE TRANS		N/A	N/A
Sub-Saharan Africa         CLINIC SUPP         8,335         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         36,981         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         193,196         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         136,985         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         38,383         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         193,588         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         12,470         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         37,562         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         5,528         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         5,528         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         50,869         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP			Sub-Saharan Africa	CLINIC SUPP	12,857	WIRE TRANS		N/A	N/A
Sub-Saharan Africa         CLINIC SUPP         36,981         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         193,196         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         155,182         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         136,985         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         38,383         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         193,588         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         12,470         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         37,562         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         5,528         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         5,528         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         50,869         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP <t< td=""><td></td><td></td><td>Sub-Saharan Africa</td><td>CLINIC SUPP</td><td>9,438</td><td>WIRE TRANS</td><td></td><td>N/A</td><td>N/A</td></t<>			Sub-Saharan Africa	CLINIC SUPP	9,438	WIRE TRANS		N/A	N/A
Sub-Saharan Africa         CLINIC SUPP         193,196         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         155,182         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         136,985         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         38,383         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         193,588         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         12,470         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         37,562         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         67,546         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         5,528         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         50,869         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         6,206         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP <t< td=""><td></td><td></td><td>Sub-Saharan Africa</td><td>CLINIC SUPP</td><td>8,335</td><td>WIRE TRANS</td><td></td><td>N/A</td><td>N/A</td></t<>			Sub-Saharan Africa	CLINIC SUPP	8,335	WIRE TRANS		N/A	N/A
Sub-Saharan Africa         CLINIC SUPP         155,182         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         136,985         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         38,383         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         193,588         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         12,470         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         37,562         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         67,546         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         5,528         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         50,869         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         30,187         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         17,529         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP <t< td=""><td></td><td></td><td>Sub-Saharan Africa</td><td>CLINIC SUPP</td><td>36,981</td><td>WIRE TRANS</td><td></td><td>N/A</td><td>N/A</td></t<>			Sub-Saharan Africa	CLINIC SUPP	36,981	WIRE TRANS		N/A	N/A
Sub-Saharan Africa         CLINIC SUPP         136,985         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         38,383         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         193,588         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         12,470         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         37,562         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         67,546         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         5,528         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         50,869         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         30,187         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         6,206         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         17,529         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP			Sub-Saharan Africa	CLINIC SUPP	193,196	WIRE TRANS		N/A	N/A
Sub-Saharan Africa         CLINIC SUPP         38,383         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         193,588         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         12,470         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         37,562         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         67,546         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         5,528         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         5,528         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         50,869         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         30,187         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         6,206         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         17,529         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         20			Sub-Saharan Africa	CLINIC SUPP	155,182	WIRE TRANS		N/A	N/A
Sub-Saharan Africa         CLINIC SUPP         193,588         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         12,470         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         37,562         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         67,546         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         5,528         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         50,869         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         30,187         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         6,206         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         17,529         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         205,250         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         41,533         WIRE TRANS         N/A         N/A			Sub-Saharan Africa	CLINIC SUPP	136,985	WIRE TRANS		N/A	N/A
Sub-Saharan A frica         CLINIC SUPP         12,470         WIRE TRANS         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         37,562         WIRE TRANS         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         67,546         WIRE TRANS         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         5,528         WIRE TRANS         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         50,869         WIRE TRANS         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         30,187         WIRE TRANS         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         6,206         WIRE TRANS         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         17,529         WIRE TRANS         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         205,250         WIRE TRANS         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         205,250         WIRE TRANS         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         41,533         WIRE TRANS         N/A         N/A			Sub-Saharan Africa	CLINIC SUPP	38,383	WIRE TRANS		N/A	N/A
Sub-Saharan Africa         CLINIC SUPP         37,562         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         67,546         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         5,528         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         50,869         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         30,187         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         6,206         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         17,529         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         205,250         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         205,250         WIRE TRANS         N/A         N/A			Sub-Saharan Africa	CLINIC SUPP	193,588	WIRE TRANS		N/A	N/A
Sub-Saharan Africa         CLINIC SUPP         67,546         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         5,528         WIRE TRANS         N/A         N/A           East Asia/Pacific         Program Support         222,629         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         50,869         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         30,187         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         6,206         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         17,529         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         205,250         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         41,533         WIRE TRANS         N/A         N/A			Sub-Saharan Africa	CLINIC SUPP	12,470	WIRE TRANS		N/A	N/A
Sub-Saharan Africa CLINIC SUPP 5,528 WIRE TRANS N/A N/A  East Asia/Pacific Program Support 222,629 WIRE TRANS N/A N/A  Sub-Saharan Africa CLINIC SUPP 50,869 WIRE TRANS N/A N/A  Sub-Saharan Africa CLINIC SUPP 30,187 WIRE TRANS N/A N/A  Sub-Saharan Africa CLINIC SUPP 6,206 WIRE TRANS N/A N/A  Sub-Saharan Africa CLINIC SUPP 17,529 WIRE TRANS N/A N/A  Sub-Saharan Africa CLINIC SUPP 205,250 WIRE TRANS N/A N/A  Sub-Saharan Africa CLINIC SUPP 41,533 WIRE TRANS N/A N/A  Sub-Saharan Africa CLINIC SUPP 41,533 WIRE TRANS N/A N/A			Sub-Saharan Africa	CLINIC SUPP	37,562	WIRE TRANS		N/A	N/A
East Asia/Pacific Program Support 222,629 WIRE TRANS N/A N/A  Sub-Saharan Africa CLINIC SUPP 50,869 WIRE TRANS N/A N/A  Sub-Saharan Africa CLINIC SUPP 30,187 WIRE TRANS N/A N/A  Sub-Saharan Africa CLINIC SUPP 6,206 WIRE TRANS N/A N/A  Sub-Saharan Africa CLINIC SUPP 17,529 WIRE TRANS N/A N/A  Sub-Saharan Africa CLINIC SUPP 205,250 WIRE TRANS N/A N/A  Sub-Saharan Africa CLINIC SUPP 41,533 WIRE TRANS N/A N/A  Sub-Saharan Africa CLINIC SUPP 41,533 WIRE TRANS N/A N/A			Sub-Saharan Africa	CLINIC SUPP	67,546	WIRE TRANS		N/A	N/A
Sub-Saharan Africa CLINIC SUPP 50,869 WIRE TRANS N/A N/A Sub-Saharan Africa CLINIC SUPP 30,187 WIRE TRANS N/A N/A Sub-Saharan Africa CLINIC SUPP 6,206 WIRE TRANS N/A N/A Sub-Saharan Africa CLINIC SUPP 17,529 WIRE TRANS N/A N/A Sub-Saharan Africa CLINIC SUPP 205,250 WIRE TRANS N/A N/A Sub-Saharan Africa CLINIC SUPP 41,533 WIRE TRANS N/A N/A			Sub-Saharan Africa	CLINIC SUPP	5,528	WIRE TRANS		N/A	N/A
Sub-Saharan Africa CLINIC SUPP 30,187 WIRE TRANS N/A N/A Sub-Saharan Africa CLINIC SUPP 6,206 WIRE TRANS N/A N/A Sub-Saharan Africa CLINIC SUPP 17,529 WIRE TRANS N/A N/A Sub-Saharan Africa CLINIC SUPP 205,250 WIRE TRANS N/A N/A Sub-Saharan Africa CLINIC SUPP 41,533 WIRE TRANS N/A N/A			East Asia/Pacific	Program Support	222,629	WIRE TRANS		N/A	N/A
Sub-Saharan Africa CLINIC SUPP 6,206 WIRE TRANS N/A N/A Sub-Saharan Africa CLINIC SUPP 17,529 WIRE TRANS N/A N/A Sub-Saharan Africa CLINIC SUPP 205,250 WIRE TRANS N/A N/A Sub-Saharan Africa CLINIC SUPP 41,533 WIRE TRANS N/A N/A			Sub-Saharan Africa	CLINIC SUPP	50,869	WIRE TRANS		N/A	N/A
Sub-Saharan Africa CLINIC SUPP 17,529 WIRE TRANS N/A N/A Sub-Saharan Africa CLINIC SUPP 205,250 WIRE TRANS N/A N/A Sub-Saharan Africa CLINIC SUPP 41,533 WIRE TRANS N/A N/A			Sub-Saharan Africa	CLINIC SUPP	30,187	WIRE TRANS		N/A	N/A
Sub-Saharan Africa CLINIC SUPP 205,250 WIRE TRANS N/A N/A Sub-Saharan Africa CLINIC SUPP 41,533 WIRE TRANS N/A N/A			Sub-Saharan Africa	CLINIC SUPP	6,206	WIRE TRANS		N/A	N/A
Sub-Saharan Africa CLINIC SUPP 41,533 WIRE TRANS N/A N/A			Sub-Saharan Africa	CLINIC SUPP	17,529	WIRE TRANS		N/A	N/A
			Sub-Saharan Africa	CLINIC SUPP	205,250	WIRE TRANS		N/A	N/A
Sub-Saharan Africa CLINIC SUPP 46,731 WIRE TRANS N/A N/A			Sub-Saharan Africa	CLINIC SUPP	41,533	WIRE TRANS		N/A	N/A
			Sub-Saharan Africa	CLINIC SUPP	46,731	WIRE TRANS		N/A	N/A

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) A mount of cash grant	(f) Manner of cash disbursement	(g) A mount of non- cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	CLINIC SUPP	16,297	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	5,642	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	9,008	WIRE TRANS		N/A	N/A
		Europe	Research Support	21,844	CHECK		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	20,544	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	7,494	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	21,682	WIRE TRANS		N/A	N/A
		Europe	CLINIC SUPP	100,000	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	63,710	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	5,618	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	39,312	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	9,623	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	30,614	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	6,566	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	193,784	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	372,869	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	187,647	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	24,777	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	7,644	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	9,159	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	516,634	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	12,517	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	Clinic Supp	85,416	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	119,055	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	345,649	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	90,619	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	144,744	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	15,000	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	162,044	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	43,705	WIRE TRANS		N/A	N/A

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) A mount of cash grant	(f) Manner of cash disbursement	(g) A mount of non- cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
•		Sub-Saharan Africa	CLINIC SUPP	87,700	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	64,781	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	5,477	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	13,342	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	6,399	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	19,893	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	5,675	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	46,416	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	57,078	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	22,077	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	13,541	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	67,733	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	1,134,775	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	138,129	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	212,758	WIRE TRANS		N/A	N/A
		South America	Program Support	243,749	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	Clinic Supp	40,250	WIRE TRANS		N/A	N/A
		Cent A merica/Caribbean	General Support	23,974	CHECK		N/A	N/A
		Europe/Iceland/Greenland	Program Support			124,221	INTER-COM TR	BOOKVALUE
		Sub-Saharan Africa	CLINIC SUPP	11,835	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	14,885	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	16,100	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	20,688	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	75,894	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	28,178	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	14,317	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	Program Support	21,760	WIRE TRANS		N/A	N/A
		East Asia/Pacific	Training Support	13,900	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	101,820	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	31,883	WIRE TRANS		N/A	N/A
			·					

Sub-Saharan Africa         CLINIC SUPP         286,648         WIRE TRANS         NIA         NIA           Sub-Saharan Africa         CLINIC SUPP         294,489         WIRE TRANS         NIA         NIA         NIA           Sub-Saharan Africa         CLINIC SUPP         1,004,237         WIRE TRANS         NIA         NIA           Sub-Saharan Africa         CLINIC SUPP         6,158         WIRE TRANS         NIA         NIA           Sub-Saharan Africa         CLINIC SUPP         18,742         WIRE TRANS         NIA         NIA           Sub-Saharan Africa         CLINIC SUPP         271,466         WIRE TRANS         NIA         NIA           Sub-Saharan Africa         CLINIC SUPP         271,466         WIRE TRANS         NIA         NIA           Sub-Saharan Africa         CLINIC SUPP         10,520         WIRE TRANS         NIA         NIA           Sub-Saharan Africa         CLINIC SUPP         10,520         WIRE TRANS         NIA         NIA           Sub-Saharan Africa         CLINIC SUPP         237,160         WIRE TRANS         NIA         NIA           Sub-Saharan Africa         CLINIC SUPP         237,160         WIRE TRANS         NIA         NIA           Sub-Saharan Africa	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) A mount of cash grant	(f) Manner of cash disbursement	(g) A mount of non- cash assistance	(h) Description of non-cash assistance	(ı) Method of valuatıon (book, FMV, appraısal, other)
Sub-Saharan Africa			Sub-Saharan Africa	CLINIC SUPP	286,548	WIRE TRANS		N/A	N/A
Europe			Sub-Saharan Africa	CLINIC SUPP	294,489	WIRE TRANS		N/A	N/A
Sub-Saharan Africa         CLINIC SUPP         6,156         WIRE TRANS         N,IA         N/A           Sub-Saharan Africa         CLINIC SUPP         18,742         WIRE TRANS         N/A         N/A           Beast Asia/Pacific         CLINIC SUPP         271,466         WIRE TRANS         N/A         N/A           South Asia         General Support         17,421         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         10,520         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         9,737         WIRE TRANS         N/A         N/A           Nub-Saharan Africa         CLINIC SUPP         237,160         WIRE TRANS         N/A         N/A           Nub-Saharan Africa         CLINIC SUPP         237,160         WIRE TRANS         N/A         N/A           Nub-Saharan Africa         CLINIC SUPP         5,403         WIRE TRANS         N/A         N/A           Nub-Saharan Africa         CLINIC SUPP         5,403         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         16,738         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         133,			Sub-Saharan Africa	CLINIC SUPP	1,004,237	WIRE TRANS		N/A	N/A
Sub-Saharan Africa   CLINIC SUPP   18,742   WIRE TRANS   N/A   N/A   N/A			Europe	Publication Support	86,160	WIRE TRANS		N/A	N/A
East Asia/Pacific   CLINIC SUPP   271,466   WIRE TRANS   N/A   N			Sub-Saharan Africa	CLINIC SUPP	6,158	WIRE TRANS		N/A	N/A
South Asia   General Support   17,421   WIRE TRANS   N/A   N/A   N/A			Sub-Saharan Africa	CLINIC SUPP	18,742	WIRE TRANS		N/A	N/A
Europe   General Support   8,242   WIRE TRANS   N/A   N/A   N/A			East Asia/Pacific	CLINIC SUPP	271,466	WIRE TRANS		N/A	N/A
Sub-Saharan Africa         CLINIC SUPP         10,520         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         9,737         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         237,160         WIRE TRANS         N/A         N/A           North America         Research Support         82,247         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         5,403         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         16,738         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         52,093         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         7,243,588         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         7,243,588         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         16,513         WIRE TRANS         N/A         N/A           Nub-Saharan Africa         CLINIC SUPP         14,000         WIRE TRANS         N/A         N/A           Nub-Saharan Africa         Research Support			South Asia	General Support	17,421	WIRE TRANS		N/A	N/A
Sub-Saharan Africa         CLINIC SUPP         9,737         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         237,160         WIRE TRANS         N/A         N/A           North America         Research Support         82,247         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         5,403         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         16,738         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         52,093         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         Program Support         138,615         CHECK         N/A         N/A           Sub-Saharan Africa         Program Support         282,430         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         164,513         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         14,000         WIRE TRANS         N/A         N/A           North America         Research Support         48,039         CHECK         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP			Europe	General Support	8,242	WIRE TRANS		N/A	N/A
Sub-Saharan Africa         CLINIC SUPP         237,160         WIRE TRANS         N/A         N/A           North America         Research Support         82,247         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         5,403         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         16,738         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         52,093         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         Program Support         138,615         CHECK         N/A         N/A           Sub-Saharan Africa         Program Support         282,430         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         164,513         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         14,000         WIRE TRANS         N/A         N/A           North America         Research Support         48,039         CHECK         N/A         N/A           North America         Research Support         11,901         CHECK         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         183,			Sub-Saharan Africa	CLINIC SUPP	10,520	WIRE TRANS		N/A	N/A
North America         Research Support         82,247         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         5,403         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         16,738         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         52,093         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         Program Support         138,615         CHECK         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         7,243,588         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         164,513         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         14,000         WIRE TRANS         N/A         N/A           North America         Research Support         48,039         CHECK         N/A         N/A           North America         Research Support         11,901         CHECK         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         237,889         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         183,74			Sub-Saharan Africa	CLINIC SUPP	9,737	WIRE TRANS		N/A	N/A
Sub-Saharan Africa         CLINIC SUPP         5,403         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         16,738         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         52,093         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         Program Support         138,615         CHECK         N/A         N/A           Sub-Saharan Africa         Program Support         282,430         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         164,513         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         14,000         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         14,000         WIRE TRANS         N/A         N/A           North America         Research Support         11,901         CHECK         N/A         N/A           Nub-Saharan Africa         CLINIC SUPP         237,889         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         183,743         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP <t< td=""><td></td><td></td><td>Sub-Saharan Africa</td><td>CLINIC SUPP</td><td>237,160</td><td>WIRE TRANS</td><td></td><td>N/A</td><td>N/A</td></t<>			Sub-Saharan Africa	CLINIC SUPP	237,160	WIRE TRANS		N/A	N/A
Sub-Saharan Africa         CLINIC SUPP         16,738         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         52,093         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         Program Support         138,615         CHECK         N/A         N/A           Europe         CLINIC SUPP         7,243,588         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         Program Support         282,430         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         164,513         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         14,000         WIRE TRANS         N/A         N/A           North America         Research Support         48,039         CHECK         N/A         N/A           North America         Research Support         11,901         CHECK         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         237,889         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         183,743         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         18,606			North America	Research Support	82,247	WIRE TRANS		N/A	N/A
Sub-Saharan Africa         CLINIC SUPP         52,093         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         Program Support         138,615         CHECK         N/A         N/A           Europe         CLINIC SUPP         7,243,588         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         Program Support         282,430         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         164,513         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         14,000         WIRE TRANS         N/A         N/A           North America         Research Support         48,039         CHECK         N/A         N/A           North America         Research Support         11,901         CHECK         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         237,889         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         18,606         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         18,606         WIRE TRANS         N/A         N/A           Middle East/North Africa         CLINIC SUPP         18,606			Sub-Saharan Africa	CLINIC SUPP	5,403	WIRE TRANS		N/A	N/A
Sub-Saharan Africa         Program Support         138,615         CHECK         N/A         N/A           Europe         CLINIC SUPP         7,243,588         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         Program Support         282,430         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         164,513         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         14,000         WIRE TRANS         N/A         N/A           North America         Research Support         48,039         CHECK         N/A         N/A           North America         Research Support         11,901         CHECK         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         237,889         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         183,743         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         18,606         WIRE TRANS         N/A         N/A           Middle East/North         Research Support         99,626         CHECK         N/A         N/A			Sub-Saharan Africa	CLINIC SUPP	16,738	WIRE TRANS		N/A	N/A
Europe         CLINIC SUPP         7,243,588         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         Program Support         282,430         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         164,513         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         14,000         WIRE TRANS         N/A         N/A           North America         Research Support         48,039         CHECK         N/A         N/A           North America         Research Support         11,901         CHECK         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         237,889         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         183,743         WIRE TRANS         N/A         N/A           Middle East/North Africa         Research Support         99,626         CHECK         N/A         N/A			Sub-Saharan Africa	CLINIC SUPP	52,093	WIRE TRANS		N/A	N/A
Sub-Saharan A frica         Program Support         282,430         WIRE TRANS         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         164,513         WIRE TRANS         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         14,000         WIRE TRANS         N/A         N/A           Beast A sia/Pacific         Research Support         48,039         CHECK         N/A         N/A           North A merica         Research Support         11,901         CHECK         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         237,889         WIRE TRANS         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         183,743         WIRE TRANS         N/A         N/A           Sub-Saharan A frica         CLINIC SUPP         18,606         WIRE TRANS         N/A         N/A           Middle East/North Africa         Research Support         99,626         CHECK         N/A         N/A			Sub-Saharan Africa	Program Support	138,615	CHECK		N/A	N/A
Sub-Saharan Africa         CLINIC SUPP         164,513 WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         14,000 WIRE TRANS         N/A         N/A           East Asia/Pacific         Research Support         48,039 CHECK         N/A         N/A           North America         Research Support         11,901 CHECK         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         237,889 WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         183,743 WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         18,606 WIRE TRANS         N/A         N/A           Middle East/North         Research Support         99,626 CHECK         N/A         N/A         N/A			Europe	CLINIC SUPP	7,243,588	WIRE TRANS		N/A	N/A
Sub-Saharan Africa         CLINIC SUPP         14,000         WIRE TRANS         N/A         N/A           East Asia/Pacific         Research Support         48,039         CHECK         N/A         N/A           North America         Research Support         11,901         CHECK         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         237,889         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         183,743         WIRE TRANS         N/A         N/A           Sub-Saharan Africa         CLINIC SUPP         18,606         WIRE TRANS         N/A         N/A           Middle East/North         Research Support         99,626         CHECK         N/A         N/A			Sub-Saharan Africa	Program Support	282,430	WIRE TRANS		N/A	N/A
East Asia/Pacific Research Support 48,039 CHECK N/A N/A N/A  North America Research Support 11,901 CHECK N/A N/A N/A  Sub-Saharan Africa CLINIC SUPP 237,889 WIRE TRANS N/A N/A  Sub-Saharan Africa CLINIC SUPP 183,743 WIRE TRANS N/A N/A  Sub-Saharan Africa CLINIC SUPP 18,606 WIRE TRANS N/A N/A  Middle East/North Research Support 99,626 CHECK N/A N/A N/A			Sub-Saharan Africa	CLINIC SUPP	164,513	WIRE TRANS		N/A	N/A
North America Research Support 11,901 CHECK N/A N/A  Sub-Saharan Africa CLINIC SUPP 237,889 WIRE TRANS N/A N/A  Sub-Saharan Africa CLINIC SUPP 183,743 WIRE TRANS N/A N/A  Sub-Saharan Africa CLINIC SUPP 18,606 WIRE TRANS N/A N/A  Middle East/North Research Support 99,626 CHECK N/A N/A			Sub-Saharan Africa	CLINIC SUPP	14,000	WIRE TRANS		N/A	N/A
Sub-Saharan Africa CLINIC SUPP 237,889 WIRE TRANS N/A N/A Sub-Saharan Africa CLINIC SUPP 183,743 WIRE TRANS N/A N/A Sub-Saharan Africa CLINIC SUPP 18,606 WIRE TRANS N/A N/A Middle East/North Research Support 99,626 CHECK N/A N/A			East Asia/Pacific	Research Support	48,039	CHECK		N/A	N/A
Sub-Saharan Africa CLINIC SUPP 183,743 WIRE TRANS N/A N/A Sub-Saharan Africa CLINIC SUPP 18,606 WIRE TRANS N/A N/A Middle East/North Research Support 99,626 CHECK N/A N/A Africa			North America	Research Support	11,901	CHECK		N/A	N/A
Sub-Saharan Africa CLINIC SUPP 18,606 WIRE TRANS N/A N/A  Middle East/North Research Support 99,626 CHECK N/A N/A Africa			Sub-Saharan Africa	CLINIC SUPP	237,889	WIRE TRANS		N/A	N/A
Mıddle East/North Research Support 99,626 CHECK N/A N/A Africa			Sub-Saharan Africa	CLINIC SUPP	183,743	WIRE TRANS		N/A	N/A
A frica			Sub-Saharan Africa	CLINIC SUPP	18,606	WIRE TRANS		N/A	N/A
				Research Support	99,626	CHECK		N/A	N/A
				CLINIC SUPP	185,500	WIRE TRANS		N/A	N/A
Sub-Saharan Africa CLINIC SUPP 7,805 WIRE TRANS N/A N/A			Sub-Saharan Africa	CLINIC SUPP	7,805	WIRE TRANS		N/A	N/A
Sub-Saharan Africa CLINIC SUPP 50,742 WIRE TRANS N/A N/A			Sub-Saharan Africa	CLINIC SUPP	50,742	WIRE TRANS		N/A	N/A

(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) A mount of cash grant	(f) Manner of cash disbursement	(g) A mount of non- cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
	Sub-Saharan Africa	CLINIC SUPP	62,095	WIRE TRANS		N/A	N/A
	Sub-Saharan Africa	CLINIC SUPP	10,034	WIRE TRANS		N/A	N/A
	Sub-Saharan Africa	CLINIC SUPP	46,817	WIRE TRANS		N/A	N/A
	Sub-Saharan Africa	CLINIC SUPP	15,625	WIRE TRANS	1	N/A	N/A
	Sub-Saharan Africa	CLINIC SUPP	75,739	WIRE TRANS	1	N/A	N/A
	Sub-Saharan Africa	CLINIC SUPP	42,873	WIRE TRANS	1	N/A	N/A
	Sub-Saharan Africa	CLINIC SUPP	36,098	WIRE TRANS		N/A	N/A
	Sub-Saharan Africa	CLINIC SUPP	37,927	WIRE TRANS		N/A	N/A
	Sub-Saharan Africa	CLINIC SUPP	15,980	WIRE TRANS		N/A	N/A

(a) Name of organization

Form 990 Schedule F Part III - Grants and Other Assistance to Individuals Outside The United States (h) Method of (f) A mount of non-(g) Description of (c)Number of (d) A mount of (e) Manner of (a) Type of grant or valuation (b) Region cash non-cash cash disbursement (book, FMV, recipients cash grant assistance assistance assistance appraisal, other) Conservation Fellowship Aid 1 Check N/A N/A 10,000 Cent A merica/Caribbean 20,000 Conservation Fellowship Aid 2 Check N/A N/A North America N/A Conservation Fellowship Aid 8 80,000 Check N/A South America 3 N/A Consultant Fees 16,441 CHECK, WIRE N/A Europe (including Icelan Consultant Fees 4 76,693 CHECK, WIRE N/A N/A South America N/A Consultant Fees 1 WIRE TRANF N/A 529 South Asia Consultant Fees 13 196,942 WIRE TRANF N/A N/A Sub-Saharan Africa N/A 61,000 N/A Educational Assistance 6 WIRE TRANF Europe (including Icelan 2 8,753 CHECK, WIRE N/A Program Support N/A East Asia/Pacific Program Support 2 15,023 CHECK In/a N/A Europe (including Icelan 2 40,680 WIRE TRANSF N/A N/A Program Support North America Program Support 6 27,500 CHECK, WIRE N/A N/A South America Program Support 1 10,270 WIRE TRANSF N/A N/A South Asia Program Support 4 27,567 WIRE TRANSF N/A N/A Sub-Saharan Africa 1 5,000 CHECK N/A N/A Graduation Prize East Asia/Pacific Graduation Prize 1 5,000 CHECK N/A N/A Europe (including Icelan CHECK Honorarium 1 36,000 N/A N/A East Asia/Pacific N/A N/A Honorarium 6 43,439 CHECK, WIRE Europe (including Icelan Journalism Prize 1 5,000 CHECK N/A N/A North America Journalism Prize 1 5,000 CHECK N/A N/A South America 1 WIRE TRANSF N/A N/A Legal Fees 2,625 South Asia 1 308 CHECK N/A N/A Office Supply Expenses South America Office Supply Expenses 1 390 WIRE TRANSF N/A N/A Sub-Saharan Africa 1 10,010 WIRE TRANSF N/A N/A Publication expenses Europe (including Icelan 1 WIRE TRANSF N/A N/A Purchase of 6,900 Sub-Saharan Africa Equipment/furniture N/A Research Assistance 1 40,815 WIRE TRANSF N/A East Asia/Pacific 1 N/A N/A Research Assistance 10,000 WIRE TRANSF Middle East/North A frıc a 2 N/A N/A Research Assistance 25,100 WIRE TRANSF South America Research Assistance 1 10,000 WIRE TRANSF N/A N/A Sub-Saharan Africa 1 N/A N/A Travel Support 7,000 WIRE TRANSF Cent A merica/Caribbean Travel Support 6 23,866 WIRE TRANSF N/A N/A East Asia/Pacific Travel Support 9 20,327 WIRE TRANSF N/A N/A Europe (including Icelan 1 CHECK N/A Travel Support 177 N/A Middle East/North A fric a Travel Support 2 3,914 CHECK, WIRE N/A N/A North America 15,000 CHECK N/A N/A Travel Support 1 South America 5 9,444 CHECK, WIRE N/A N/A Travel Support South Asia 8 15,908 N/A N/A Travel Support CHECK, WIRE Sub-Saharan Africa Workshop & Travel Per Diem | East Asia/Pacific 3,500 N/A 23 CASH PAYMENT N/A 92 N/A N/A Workshop & Travel Per Diem 90,123 CASH PAYMENT Europe (including Icelan Workshop & Travel Per Diem 15,561 CASH PAYMENT 84 N/A N/A South America Workshop & Travel Per Diem 13,824 CASH PAYMENT N/A N/A 13 Sub-Saharan Africa

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As Filed Data -

DLN: 93493137052960

OMB No 1545-0047

2008

Open to Public Inspection

## SCHEDULE G (Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service Attach to Form 990 or Form 990-EZ. Must be completed by organizations that answer "Yes" to Form 990, Part IV, lines 17, 18, or 19, and by organizations that enter more than \$15,000 on Form 990-EZ, line 6a.

Supplemental Information Regarding

**Fundraising or Gaming Activities** 

Name of the organization
The Trustees of Columbia University
in the City of New York

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17.

- 1 Indicate whether the organization raised funds through any of the following activities. Check all that apply
- a 🔽 Mailsolicitations
- Email solicitations
- Phone solicitations
- d 🔽 In-person solicitations

- e Solicitation of non-government grants
- f 🔽 Solicitation of government grants
- 2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising activities?
  - · ' |Υ Υ

Employer identification number

13-5598093

- N
- **b** If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization. Form 990-EZ filers are not required to complete this table.

(i) Name of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions? Yes No		(iv) Gross receipts from activity	(v) A mount paid to (or retained by) fundraiser listed in col (i)	(vi) A mount paid to (or retained by) organization
RUFFALO CODY ASSOCIATES	CONSULTING		No	860,000	397,479	462,521
		1				
Total			<u> </u>			

List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing

Ca) Event #1	Pai	<b>Fundraising Events.</b> Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than \$5,000.								
ANNUAL DINNER   Mamilton Dinner   Gevent type   Gevent t							<b>(d)</b> Tot			
The contribution				ANNUAL DINNER	Hamilton Dinner	50			rough	
3 Gross revenue (line 1				(event type)	(event type)	(total number)				
3   Gross revenue (Inte 1   88,650   77,400   795,663   961,71	呈	1	Gross receipts	1,175,692	509,100	3,588,297		5,27	3,089	
## Cash Prizes	Reve	2		1,087,042	431,700	2,792,634		4,31	1,376	
Solution		3	•	88,650	77,400	795,663		96:	1,713	
B Direct expense summary Add lines 4 through 7 in column (d).  Part III  Gaming. Complete if the organization answered "Yes" to Form 990, Part IIV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.  (a) Bingo (b) Pull tabs/Instant bingo/progressive bingo (c) O ther gaming (add oil (a) through oil (c) at through oil (c) a		4	Cash Prizes							
B Direct expense summary Add lines 4 through 7 in column (d).  Part III  Gaming. Complete if the organization answered "Yes" to Form 990, Part IIV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.  (a) Bingo (b) Pull tabs/Instant bingo/progressive bingo (c) O ther gaming (add oil (a) through oil (c) at through oil (c) a	ses	5	Non-cash Prizes							
B Direct expense summary Add lines 4 through 7 in column (d).  Part III  Gaming. Complete if the organization answered "Yes" to Form 990, Part IIV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.  (a) Bingo (b) Pull tabs/Instant bingo/progressive bingo (c) O ther gaming (add oil (a) through oil (c) bingo (a) through oil (c) through	per	6	Rent/Facility costs							
Part III  Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.  (a) Bingo (b) Pull tabs/Instant bingo/progressive bingo  1 Gross revenue	ற் ந	7	Other direct expenses	88,650	77,400	795,663				
Saming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.  (a) Bingo (b) Pull tabs/Instant bingo/progressive bingo  1 Gross revenue (d) Total gaming (Add coil (a) through coil (c) t	ě	8	Direct expense summary Add line	es 4 through 7 in column	(d)			96:	1,713	
\$15,000 on Form 990-EZ, line 6a.  (a) Bingo (b) Pull tabs/Instant bingo/progressive bingo (c) Other gaming (Ad ol (a) through col (c) bingo (c) of the gaming (Ad ol (a) through col (c) bingo (c) of the gaming (Ad ol (a) through col (c) bingo (c) of the gaming (Ad ol (a) through col	_	9	Net income summary Combine lin	nes 3 and 8 in column (d)		🕨				
(a) Bingo (b) Pull tabs/Instant bingo/progressive bingo (d) Total gaming (Add col (a) through col (c) (a) through col (c) (b) Pull tabs/Instant bingo/progressive bingo (d) Total gaming (Add col (a) through col (c) (d) through col (c) (e) (e) (f) (f) (f) (f) (f) (f) (f) (f) (f) (f	Par	t II			"Yes" to Form 990, Pa	rt IV, line 19, or repo	rted mor	e than		
Topic texpense summary Add lines 2 through 5 in column (d).  Sheet the state(s) in which the organization operates gaming activities in each of these states?  Sheet any of the organization's gaming licenses revoked, suspended or terminated during the tax year?  If "Yes," Explain  Topic texpense summary and the organization operate gaming activities with nonmembers?  Topic texpense summary Add lines 2 through 5 in column (d).  Topic texpense summary Add lin	—— ⊈					(c) O ther gaming	(d) Total	(d) Total gaming (Ad		
Topic texpense summary Add lines 2 through 5 in column (d).  Sheet the state(s) in which the organization operates gaming activities in each of these states?  Sheet any of the organization's gaming licenses revoked, suspended or terminated during the tax year?  If "Yes," Explain  Topic texpense summary and the organization operate gaming activities with nonmembers?  Topic texpense summary Add lines 2 through 5 in column (d).  Topic texpense summary Add lin	M-A-€				- ' ' -			ough co	. (0)	
2 Cash prizes	æ		C							
3 Non-cash prizes										
6 Volunteer labor	Ses	2	Cash prizes							
6 Volunteer labor	Çpen	3	Non-cash prizes							
6 Volunteer labor	ញ ក្ត	4	Rent/facility costs							
7 Direct expense summary Add lines 2 through 5 in column (d)	ᅙ	5	Other direct expenses							
7 Direct expense summary Add lines 2 through 5 in column (d)		6	Volunteer labor		•	· · · · · · · · · · · · · · · · · · ·				
8 Net gaming income summary Combine lines 1 and 7 in column (d)			L	j No	j No	J NO				
P Enter the state(s) in which the organization operates gaming activities  a Is the organization licensed to operate gaming activities in each of these states?		7	Direct expense summary Add lines	s 2 through 5 in column (	d)					
9 Enter the state(s) in which the organization operates gaming activities  Is the organization licensed to operate gaming activities in each of these states?		8	Net gaming income summary Com	bine lines 1 and 7 in colu	ımn (d)	🛌				
a Is the organization licensed to operate gaming activities in each of these states?	٥			<b>.</b>				Yes	No	
b If "No," Explain  10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?  b If "Yes," Explain  11 Does the organization operate gaming activities with nonmembers?							. 92			
b If "Yes," Explain  11 Does the organization operate gaming activities with nonmembers?			- · · · · · · · · · · · · · · · · · · ·	3			- <del>3</del> a			
b If "Yes," Explain  11 Does the organization operate gaming activities with nonmembers?										
b If "Yes," Explain  11 Does the organization operate gaming activities with nonmembers?	102		re any of the organization's gaming li	icansas ravokad siisnan	ded or terminated during	the tay year?				
				icenses revokeu, suspen	ded of terminated during	the tax year.	10a			
<del>                                      </del>		_		- LL	2					
							11			

			 <del></del>
13	Indicate the percentage of gaming activity operated in		
а	The organization's facility		
b	An outside facility		
14	Provide the name and address of the person who prepares the organization's gaming/special events books and records		
	Name •		
	Address •		
15a	Does the organization have a contract with a third party from whom the organization receives gaming revenue?	15a	
Ь	If "Yes," enter the amount of gaming revenue received by the organization <b>&gt;</b> \$ and the amount of gaming revenue retained by the third party <b>&gt;</b> \$		
c	If "Yes," enter name and address		
	Name •		
	Address 🟲		
16	Gaming manager information		
	Name 🟲		
	Gaming manager compensation 🕨 \$		
	Description of services provided 🟲		
	Director/officer Employee Independent contractor		
17	Mandatory distributions		
а	Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?	17a	
b	Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year 📂 💲		

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Grants and Other Assistance to Organizations, Governments and Individuals in the U.S.

OMB No 1545-0047

DLN: 93493137052960

Schedule I

(Form 990)

Department of the Treasury Internal Revenue Service	Complete	if the organization ans	wered "Yes," on Form 99	00, Part IV, lines 21 or	22. Attach to Form 990.		Inspect ion
Name of the organization						Employer identif	ication number
The Trustees of Columbia Univ in the City of New York	ersity					13-5598093	
Part I General Inform	mation on Gra	nts and Assistance	2				
<ol> <li>Does the organization ma the selection criteria use</li> <li>Describe in Part IV the o</li> </ol>	d to award the grai	nts or assistance?					▼ Yes   □ I
Form 990, Part I Part IV and Sch	IV, line 21 for ar edule I-1 if addi	ny recipient that rece tional space is	eived more than \$5,0	00. Check this box	tes. Complete if the oil if no one recipient rec	eived more than \$5,0	
1(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							
2 Enter total number of sec	tion 501(c)(3) and	d government					253
organizations					•	_	

Part III	Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" on Form 990, Pa	art IV, line 22.
	Use Schedule I-1 (Form 990) if additional space is needed.	

(a)Type of grant or assistance	( <b>b)</b> Number of recipients	(c)A mount of cash grant	(d)A mount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
			•		

Part IV Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

See Additional Data Table

Ident if ier	Return Reference	Explanation
DESCRIPTION OF ORGANIZATION'S PROCEDURES FOR MONITORING THE USE OF GRANTS	FORM 990, SCHEDULE I	THE UNIVERSITY MAINTAINS A WRITTEN POLICY THAT GOVERNS THE MONITORING OF THE USE OF SPONSORED PROJECT GRANT FUNDING THE POLICY MANDATES TWO KINDS OF MONITORING (A) MAKING SURE THAT THE SUBRECIPIENT INSTITUTION COMPLIES WITH THE AUDITING REQUIREMENTS OF OMB CIRCULAR A-133, AND (B) MAKING SURE THAT THE SUBRECIPIENT UNIT AT THAT INSTITUTION IS MANAGING THE SUBAWARD CORRECTLY THE UNIVERSITY'S SPONSORED PROJECTS FINANCE GROUP COLLECTS A-133 REPORTS FROM SUBRECIPIENT INSTITUTIONS, AND THE PRINCIPAL INVESTIGATORS CARRY OUT THE SECOND PART OF THE POLICY, PRINCIPALLY BY - ROUTINELY GATHERING AND REVIEWING TECHNICAL PERFORMANCE REPORTS, - ROUTINELY REVIEWING INVOICES AND EXPENSES RELATIVE TO BUDGET, - CONDUCTING PERIODIC ON-SITE VISITS, WHEN NECESSARY, AND - INITIATING AUDITS, WHEN NECESSARY

Software ID: Software Version:

**EIN:** 13-5598093

Name: The Trustees of Columbia University

in the City of New York

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

Form 990,Schedule 1, Pai	<u>t II, Grants a</u>	<u>na Otner Assistanc</u>	e to Governments	<u>s and Organization</u>	<u>ns in the United Sta</u>	ates	
(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV , appraisal, other)		(h) Purpose of grant or assistance
National Museum of American Jewish History55 N 5th Street Philadelphia,PA 19106	23-7379280	501(c)(3)	250,000		N/A	N/A	GRANT FROM DONOR ADVISED FUNDS
Lincoln Center for the Performing Arts Annual Fund 70 Lincoln Center Plaza New York, NY 10023	13-1847137	501(c)(3)	25,000		N/A	N/A	Grant from Donor Advised Fund
New York University for the Steffi Berne Scholarsh25 W 4th Street 4th Floor New York, NY 10012	13-5562308	501(c)(3)	250,000		N/A	N/A	Grant from Donor Advised Fund
MASS INST OF TECH MASSACHUSETTS TECH LAB CAMBRIDGE, MA 02139	00-0137412	501(c)(3)	287,735		N/A	N/A	RESEARCH
LONG ISLAND UNIV BROOKLYN CAMPUS 77 MASSACHUSETTS AVE 39-321 BKLYN,NY 11201	00-0144930	501(c)(3)	8,431		N/A	N/A	RESEARCH
UNIV OF TX SOUTHWESTERNPO BOX 841765 OFFICE OF BURSAR DALLAS,TX 75284	00-0155944	501(c)(3)	93,824		N/A	N/A	RESEARCH
NY METHODIST HOSP506 SIXTH ST OFFICE OF BURSAR BKLYN,NY 11215	00-0160714	501(c)(3)	12,661		N/A	N/A	RESEARCH
UNIV OF NEW MONATE HALL 22 ALBUQUERQUE,NM 87131	00-0182296	501(c)(3)	119,800		N/A	N/A	RESEARCH
TREAS STATE OF MEDEPT OF CONSERVATION AUGUSTA, ME 04333	01-6000001	501(c)(3)	21,375		N/A	N/A	RESEARCH
UNIV OF ME5717 CORBETT HALL 22 STATE HOUSE STATION ORONO,ME 04469	01-6000769	501(c)(3)	176,398		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States (a) Name and address of **(b)** EIN (c) IRC Code (d) A mount of cash (e) A mount of non-(f) Method of (g) Description of (h) Purpose of grant organization section grant cash valuation (book, non-cash or assistance or government ıf applıcable assistance FMV, appraisal, assistance other) N/A TRUSTEES OF 02-0222111 501(c)(3) 22,232 N/A RESEARCH DARTMOUTH COLLEGE11 ROPE FERRY ROAD 6210 **ROOM 400** HANOVER, NH 03755 UNIV OF NEW HOFFICE 02-6000937 N/A N/A 501(c)(3) 106,611 RESEARCH OF SPONSORED RESEARCH **ROOM 400** DURHAM, NH 03824 UNIV OF VTPO BOX 1389 03-0179440 501(c)(3) 80,920 N/A N/A RESEARCH SERVICE BUILDING **ROOM 109** BURLINGTON, VA 05405 03-0219309 N/A N/A RESEARCH UNIV OF VT COLLEGE OF 501(c)(3) 35,929 MEDICINE89 BEAUMONT AVF SERVICE BUILDING **ROOM 109** BURLINGTON, VT 05405 04-1679980 NORTHEASTERN UNIV 501(c)(3) 6,548 N/A N/A RESEARCH 360 HUNTINGTON AVE GIVEN E-109 BOSTON, MA 02115 SMITH COLLEGE 04-1843040 501(c)(3) 10,137 N/A N/A RESEARCH COLLEGE HALL RM 204 ATTN-BURSAR NORTHAMPTON, MA 01063 **BOSTON UNIVGRANT** 04-2103547 501(c)(3) 812,262 N/A N/A RESEARCH AND CONTRACT ACCOUNTING 10 ELM STREET BOSTON, MA 02215 04-2103580 N/A HARVARD UNIVPO BOX 501(c)(3) 169,603 N/A RESEARCH 415649 25 BUICK STREET BOSTON, MA 02241 04-2103594 N/A N/A MA INST OF TECH77 501(c)(3) 449,583 RESEARCH MASSACHUSETTS AVENUE 25 BUICK STREET CAMBRIDGE, MA 02139 N/A TUFTS UNIV169 04-2103634 501(c)(3) 198,474 N/A RESEARCH HOLLAND STREET SOMERVILLE, MA 02144

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States (a) Name and address of **(b)** EIN (c) IRC Code (d) A mount of cash (e) A mount of non-(f) Method of (g) Description of (h) Purpose of grant organization section grant cash valuation (book, non-cash or assistance FMV, appraisal, or government ıfapplıcable assistance assistance other) 501(c)(3) N/A N/A BETH ISRAEL 04-2103881 12,051 RESEARCH DEACONESS MED CTR 330 BROOKLINE AVENUE BOSTON, MA 02215 N/A WOODS HOLE 04-2105850 501(c)(3) 378,334 N/A RESEARCH OCEANOGRAPHIC INST 569 WOODS HOLE ROAD **ROOM 262** WOODS HOLE, MA 02543 N/A 04-2241718 501(c)(3) 131,770 N/A RESEARCH ED DEV CTR INC55 **CHAPEL ST** ROOM 262 NEWTON, MA 02458 DANA FARBER CANCER 04-2263040 501(c)(3) 25,000 N/A N/A RESEARCH **INST44 BINNEY ST** BOSTON, MA 02115 BRIGHAM AND WOMENS 04-2312909 501(c)(3) 556,224 N/A N/A RESEARCH HOSPITAL75 FRANCIS STREET BOSTON, MA 02241 04-2697983 N/A N/A RESEARCH MA GEN HOSPITAL50 501(c)(3) 537,344 STANIFORD STREET 10TH FL BOSTON, MA 02241 501(c)(3) 12,832 N/A N/A BAYSTATE MED CTR759 04-2790311 RESEARCH CHESTNUT STREET SPRINGFIELD, MA 01199 MA GEN HOSPITALPO 04-2807148 501(c)(3) 32,314 N/A N/A RESEARCH BOX 414876 BOSTON, MA 02241 NEW ENGLAND RES INST 04-2919509 501(c)(3) 60,076 N/A N/A RESEARCH INC9 GALEN ST WATERTOWN, MA 02172 501(c)(3) 17,917 N/A N/A UMASS AMHERST140 04-3167352 RESEARCH HICKS WAY AMHERST, MA 01003

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States (a) Name and address of **(b)** EIN (c) IRC Code (d) A mount of cash (e) A mount of non-(f) Method of (a) Description of (h) Purpose of grant organization section grant cash valuation (book, non-cash assistance or assistance ıf applıcable FMV, appraisal, or government assistance other) 04-3314093 N/A N/A BOSTON MED CTR CORP 501(c)(3) 166,119 RESEARCH P O BOX 845700 405 GOODELL BUILDING BOSTON, MA 02284 16,370 05-0258804 501(c)(3) N/A N/A **BROWN UNIVBOX 1911** RESEARCH PROVIDENCE, RI 02912 18,335 N/A N/A BROWN UNIVPO BOX 05-0258809 501(c)(3) RESEARCH 1911 PROVIDENCE, RI 02912 CATHOLIC MED 05-1159436 501(c)(3) 61,628 N/A N/A RESEARCH MISSION BD10 WEST 17TH STREET NEW YORK, NY 10011 N/A YALE UNIVPO BOX 1873 06-0646973 501(c)(3) 524,274 N/A RESEARCH NEW HAVEN, CT 06508 N/A BERMUDA INST OF 06-0706038 N/A RESEARCH 501(c)(3) 124,411 OCEAN SCIENCESCD S FAIRFAX ST ALEXANDRIA, VA 22314 N/A SAVE THE CHILDREN 06-0726487 501(c)(3) 25,000 N/A RESEARCH FEDERATION54 WILTON ROAD WESTPORT.CT 06880 POLYTECHNIC UNIVSIX 11-1630820 501(c)(3) 82,282 N/A N/A RESEARCH METROTECH CENTER SUITE 8B BROOKLYN, NY 11201 N/A N/A ST JOHNS UNIV8000 11-1630830 501(c)(3) 23,053 RESEARCH UTOPIA PARKWAY SUITE 8B JAMAICA, NY 11439 NY COLLEGE OF 11-1788788 501(c)(3) 9,260 N/A N/A RESEARCH OSTEOPATHIC MED SECOND FLOOR SUITE 205 OLD WESTBURY, NY

11568

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States										
(a) Name and address of organization or government	<b>(b)</b> EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance			
COLD SPRING HARBOR LAB1 BUNGTOWN RD OLD WESTBURY COLD SPRING HAR, NY 11724	11-2013303	501(c)(3)	879,398		N/A	N/A	RESEARCH			
NY HALL OF SCI47-01 111TH STREET CORONA,NY 11368	11-2104059	501(c)(3)	120,719		N/A	N/A	RESEARCH			
ECONOMIC OPPORTUNITY COMM 134 JACKSON STREET HEMPSTEAD,NY 11550	11-2195458	501(c)(3)	234,210		N/A	N/A	RESEARCH			
FEINSTEIN INST FOR MED RES5 DAKOTA DRIVE SUITE 307 5TH FL LAKE SUCCESS, NY 11042	11-2673595	501(c)(3)	17,720		N/A	N/A	RESEARCH			
QUEENS COMPREHENSIVE106-46 GUY R BREWER BLVD 5TH FL JAMAICA,NY 11433	11-2870422	501(c)(3)	267,414		N/A	N/A	RESEARCH			
SUFFOLK COUNTY475 EAST MAIN STREET PATCHOGUE,NY 11772	11-2978393	501(c)(3)	265,052		N/A	N/A	RESEARCH			
MERIDIAN VISION66 WITHERSPOON STREET SUITE 207 PRINCETON,NJ 08542	11-3736911	501(c)(3)	118,857		N/A	N/A				
VOCATIONAL ED AND EXTEN899A JERUSALEM AVENUE PMB 100 UNIONDALE,NY 11553	11-6002404	501(c)(3)	39,690		N/A	N/A	RESEARCH			
UNIV OF PA3451 WALNUT STREET MSB I-538 PHILADELPHIA, PA 19104	12-3125268	501(c)(3)	252,467		N/A	N/A	RESEARCH			
NEW YORK MED COLLEGE 40 SUNSHINE COTTAGE RD P-221 FRANKLIN BUILDING VALHALLA,NY 10595	13-1099420	501(c)(3)	39,737		N/A	N/A	RESEARCH			

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States										
(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance			
WEILL MED COLLEGE 525 EAST 68TH ST P-221 FRANKLIN BUILDING NEW YORK,NY 10021	13-1623977	501(c)(3)	129,893		N/A	N/A	RESEARCH			
CORNELL UNIV MED COLLEGE100 BROADWAY F2008 NEW YORK, NY 10005	13-1623978	501(c)(3)	1,077,285		N/A	N/A	RESEARCH			
MOUNT SINAI MEDICAL CTRONE GUSTAVE L LEVY PLACE 8TH FLOOR NY,NY 10029	13-1624096	501(c)(3)	26,000		N/A	N/A	RESEARCH			
HOSPITAL FOR SPECIAL SURGERY535 EAST 70TH STREET BOX 3500 NEW YORK, NY 10021	13-1624135	501(c)(3)	254,298		N/A	N/A	RESEARCH			
ROCKEFELLER UNIV 1230 YORK AVE BOX 3500 NEW YORK, NY 10021	13-1624158	501(c)(3)	953,723		N/A	N/A	RESEARCH			
TEACHERS COLLEGE 525 W 120TH ST BOX 259 NEW YORK, NY 10027	13-1624202	501(c)(3)	330,883		N/A	N/A	RESEARCH			
ALBERT EINSTEIN COLLEGE OF MED1300 MORRIS PARK AVENUE BOX 21 BRONX,NY 10461	13-1624225	501(c)(3)	634,050		N/A	N/A	RESEARCH			
BARNARD COLLEGE 3009 BROADWAY BELFER BLGD ROOM 1108 NEW YORK, NY 10027	13-1628149	501(c)(3)	320,830		N/A	N/A	RESEARCH			
NATL BUREAU OF ECONOMIC RES IN365 FIFTH AVENUE 015 MILBANK NEW YORK, NY 10016	13-1641075	501(c)(3)	25,000		N/A	N/A	RESEARCH			
NEW YORK ACADEMY OF MEDICINE1216 FIFTH AVENUE 5TH FLOOR SUITE 5318 NEW YORK, NY 10029	13-1656674	501(c)(3)	298,976		N/A	N/A	RESEARCH			

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States										
(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance			
NEW YORK BOTANICAL GARDENS2900 SOUTHERN BLVD 5TH FLOOR SUITE 5318 BRONX,NY 10458	13-1693134	501(c)(3)	42,649		N/A	N/A	RESEARCH			
BURKE MED RES INST 785 MAMARONECK AVE WHITE PLAINS, NY 10605	13-1739937	501(c)(3)	14,730		N/A	N/A	RESEARCH			
HHAR5901 PALISADE AVE RM G-31A RIVERDALE,NY 10471	13-1739971	501(c)(3)	945,486		N/A	N/A	RESEARCH			
MONTEFIORE MED CNTRGPO 29581 RM G-31A NEW YORK, NY 10087	13-1740114	501(c)(3)	89,095		N/A	N/A	RESEARCH			
MEMORIAL SLOAN KETTERINGPO BOX 26338 NEW YORK, NY 10087	13-1924236	501(c)(3)	140,000		N/A	N/A	RESEARCH			
NY BLOOD CTR INCPO BOX 9674 NEW YORK, NY 10065	13-1949477	501(c)(3)	902,069		N/A	N/A	RESEARCH			
BRONX LEBANON HOSPITAL CTR1276 FULTON AVE BRONX,NY 10456	13-1974191	501(c)(3)	598,639		N/A	N/A	RESEARCH			
RES FOUND OF CUNY 230 WEST 41ST STREET NEW YORK, NY 10036	13-1988190	501(c)(3)	1,440,074		N/A	N/A	RESEARCH			
COMMUNITY HEALTHCARE535 EIGHT AVENUE 7TH FLOOR NEW YORK, NY 10018	13-2690296	501(c)(3)	49,493		N/A	N/A	RESEARCH			
ARC XVI FT WA4111 BROADWAY 8TH FLOOR NEW YORK, NY 10033	13-2745426	501(c)(3)	25,000		N/A	N/A	RESEARCH			

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(a) Name and address of organization or government	<b>(b)</b> EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance			
THE CV STARR RES FOUND41 COOPER SQUARE 8TH FLOOR NEW YORK, NY 10003	13-2879769	501(c)(3)	25,000		N/A	N/A	RESEARCH			
ST LUKES ROOSEVELT HOSPITAL555 WEST 57TH STREET 5 FL SUITE 205 NEW YORK, NY 10019	13-2914343	501(c)(3)	34,327		N/A	N/A	RESEARCH			
NORTHERN MANHATTAN IMPROVEMENT76 WADSWORTH AVENUE SUITE 205 NEW YORK, NY 10033	13-2972415	501(c)(3)	109,029		N/A	N/A	RESEARCH			
ST LUKES ROOSEVELT HOSPITAL555 W 57TH ST NEW YORK, NY 10019	13-2999730	501(c)(3)	542,723		N/A	N/A	RESEARCH			
CICATELLI ASSO INC 505 8TH AVE NY,NY 10018	13-3020576	501(c)(3)	458,550		N/A	N/A	RESEARCH			
NEW SCH UNIV80 FIFTH AVENUE 4TH FLOOR 16TH FLOOR NEW YORK, NY 10011	13-3297197	501(c)(3)	23,422		N/A	N/A	RESEARCH			
ALIANZA DOMINICANA INC2410 AMSTERDAM AVE 16TH FLOOR NEW YORK, NY 10033	13-3402057	501(c)(3)	155,378		N/A	N/A	RESEARCH			
BURKE REHAB HOSPITAL785 MAMARONECK AVENUE WHITE PLAINS,NY 10605	13-3434924	501(c)(3)	22,906		N/A	N/A	RESEARCH			
HARLEM UNITED COMMUN AIDS CTR123- 125 WEST 124TH STREET NEW YORK, NY 10027	13-3461695	501(c)(3)	120,000		N/A	N/A	RESEARCH			
ISABELLA GERIATRIC CTR515 AUDUBON AVENUE NEW YORK, NY 10040	13-3623808	501(c)(3)	37,747		N/A	N/A	RESEARCH			

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COMMUNITY RES INITIATIVE230 WEST 38TH STREET NEW YORK, NY 10018	13-3632234	501(c)(3)	25,000		N/A	N/A	RESEARCH			
NORTHERN MANHATTAN PERINATAL127 WEST 127TH STREET 17TH FLOOR NEW YORK, NY 10027	13-3782555	501(c)(3)	129,227		N/A	N/A	RESEARCH			
W HARLEM ENVIRONMENTAL ACTION1638 AMSTERDAM AVENUE 17TH FLOOR NY,NY 10031	13-3800068	501(c)(3)	124,410		N/A	N/A	RESEARCH			
NY PRESBYTERIAN HOSPITALP O BOX 6635 NEW YORK, NY 10249	13-3957095	501(c)(3)	23,710		N/A	N/A	RESEARCH			
SAINT LUKES ROOSEVELT HOSPITAL 1111 AMSTERDAM AVENUE CHURCH STREET STATION NEW YORK, NY 10025	13-3968250	501(c)(3)	25,000		N/A	N/A	RESEARCH			
NEW YORK MED ALLIANCE1400 PELHAM PARKWAY S BLD 1 CHURCH STREET STATION BRONX,NY 10461	13-4169311	501(c)(3)	66,202		N/A	N/A	RESEARCH			
NEW YORK UNIV838 BROADWAY 4TH FLOOR 3C15 ACP NEW YORK, NY 10003	13-5562308	501(c)(3)	390,562		N/A	N/A	RESEARCH			
NEW YORK UNIV SCH OF MEDPO BOX 415026 3C15 ACP BOSTON,MA 02240	13-5562309	501(c)(3)	716,225		N/A	N/A	RESEARCH			
	13-5562343	501(c)(3)	119,520		N/A	N/A	RESEARCH			
CATHOLIC RELIEF SERV USCC209 WEST FAYETTE STREET SUITE 1413 BALTIMORE, MD 21201	13-5563422	501(c)(3)	141,586		N/A	N/A	RESEARCH			

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States (a) Name and address of **(b)** EIN (c) IRC Code (d) A mount of cash (e) A mount of non-(f) Method of (a) Description of (h) Purpose of grant valuation (book, non-cash assistance organization section grant cash or assistance or government ıf applıcable assistance FMV, appraisal, other) N/A N/A 13-5564934 175,000 RESEARCH BETH ISRAEL MED CTR 501(c)(3) FIRST AVENUE AT 16TH STREET **SUITE 1413** NEW YORK, NY 10003 13-5598093 N/A N/A CONTINUUM HEALTH 501(c)(3) 304.760 RESEARCH 555 WEST 57TH STREET NEW YORK, NY 10019 N/A AMER MUSEUM OF 13-6162659 501(c)(3) 13.156 N/A RESEARCH NATURAL HISTORY 79TH ST AT CENTRAL PARK WEST NY, NY 10024 N/A MOUNT SINAI SCH OF 13-6171197 501(c)(3) 433.654 N/A RESEARCH MEDICINEONE GUSTAVE L LEVY PLACE NEW YORK, NY 10029 N/A N/A NYC DEPT HLTH & 13-6400434 501(c)(3) 123,542 RESEARCH MENTAL HYGIENE125 WORTH ST - BOX 48 NEW YORK, NY 10013 N/A N/A HENRY FORD HEALTH 13-8125702 501(c)(3) 137,498 RESEARCH SYSONE FORD PLACE 5EF DETROIT, MI 48202 ALBANY MED COLLEGE 14-1338310 501(c)(3) 579,988 N/A N/A RESEARCH 47 NEW SCOTLAND AVE ALBANY, NY 12208 14-1340095 N/A RENSSELAER 501(c)(3) 93,787 N/A RESEARCH POLYTECHNIC INSTP O BOX 33375 HARTFORD, CT 06150 N/A N/A RESEARCH RES FOUND OF STATE 14-1368361 501(c)(3) 2,853,113 UNIV OF NYPO BOX 9 BUFFALO, NY 14260 HEALTH RES INC150 14-1402155 501(c)(3) 754,831 N/A N/A RESEARCH BROADWAY MENANDS, NY 12204

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(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance			
RES FOUND FOR MENTAL HYGIENE150 BROADWAY SUITE 560 MENANDS,NY 12204	14-1410842	501(c)(3)	2,231,889		N/A	N/A	RESEARCH			
CLINICAL DIRECTORS NETWORK INC5 WEST 37TH STREET SUITE 301 NEW YORK, NY 10018	14-1717344	501(c)(3)	248,236		N/A	N/A	RESEARCH			
SUNY DOWNSTATE MED CNTR450 CLARKSON AVE 10TH FL BROOKLYN,NY 11203	14-6013200	501(c)(3)	100,847		N/A	N/A	RESEARCH			
CORNELL UNIVPO BOX 22 98 BSB 1-112 ITHACA,NY 14851	15-0532082	501(c)(3)	75,000		N/A	N/A	RESEARCH			
JOHNS HOPKINS UNIV 12529 COLLECTIONS CENTER DRIVE 98 BSB 1-112 CHICAGO,IL 60693	15-2059511	501(c)(3)	788,660		N/A	N/A	RESEARCH			
UNIV OF ROCHESTER 910 GENESEE ST ROCHESTER,NY 14620	16-0743209	501(c)(3)	250,270		N/A	N/A	RESEARCH			
WOMEN & CHILDRENS HOSPITAL OF726 EXCHANGE STREET BUFFALO,NY 14210	16-1332044	501(c)(3)	68,355		N/A	N/A	RESEARCH			
LA BIOMEDICAL RES INSTPO BOX 60637- TERMINAL ANNEX SUITE 755 LOS ANGELES, CA 90060	19-5213818	501(c)(3)	93,809		N/A	N/A	RESEARCH			
DM STAT MP INCONE SALEM STREET MALDEN,MA 02148	20-2981457	501(c)(3)	7,078		N/A	N/A	RESEARCH			
PARTNERSHIP FOR SUPP CHAIN M1616 N FORT MEYER DRIVE SUITE 300 ARLINGTON,VA 22209	20-3258654	501(c)(3)	517,626		N/A	N/A	RESEARCH			

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(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance		(g) Description of non-cash assistance	(h) Purpose of grant or assistance			
COOPER HEALTH SYS3 COOPER PLAZA 12TH FLOOR CAMDEN,NJ 08103	21-0634462	501(c)(3)	290,563		N/A	N/A	RESEARCH			
PRINCETON UNIV5 NEW SOUTH BUILDING SUITE 220 PRINCETON,NJ 08543	21-0634501	501(c)(3)	45,443		N/A	N/A	RESEARCH			
BRANDEIS UNIVPO BOX 549110 PO BOX 5292 WALTHAM, MA 02454	21-2103552	501(c)(3)	427,503		N/A	N/A	RESEARCH			
VALLEY HOSPITAL223 NORTH VAN DIEN AVENUE MS 144 RIDGEWOOD,NJ 07450	22-1487307	501(c)(3)	43,409		N/A	N/A	RESEARCH			
SAINT PETERS UNIV HOSPITAL254 EASTON AVENUE MS 144 NEW BRUNSWICK, NJ 08901	22-1487330	501(c)(3)	376,052		N/A	N/A	RESEARCH			
RARITAN BAY MED CTR 530 NEW BRUNSWICK AVENUE ROOM 225 PERT AMBOY, NJ 08861		501(c)(3)	49,000		N/A	N/A	RESEARCH			
UNIV OF MEDICINE & DENTISTRY 335 GEORGE ST ROOM 225 NEW BRUNSWICK, NJ 08903	22-1775306	501(c)(3)	971,176		N/A	N/A	RESEARCH			
LIBERTY SCI CTR222 JERSEY CITY BLVD JERSEY CITY,NJ 07305	22-2302253	501(c)(3)	25,000		N/A	N/A	RESEARCH			
ROWAN UNIV201 MULLICA HILL RD GLASSBORO,NJ 08028	22-2764819	501(c)(3)	102,402		N/A	N/A	RESEARCH			
MONTCLAIR STATE UNIVRM 218 COLLEGE HALL UPPER MONTCLAIR,NJ 07043	22-2912682	501(c)(3)	36,750		N/A	N/A	RESEARCH			

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RUTGERS THE STATE UNIV OF NJ3 RUTGERS PLAZA NEW BRUNSWICK, NJ 08901	22-6001086	501(c)(3)	130,002		N/A	N/A	RESEARCH			
CHILDRENS HOSPITAL OFPOBOX 8500 PHILADELPHIA,PA 19178	23-1352166	501(c)(3)	29,558		N/A	N/A	RESEARCH			
TRUSTEES OF THE UNIV OF PENN3451 WALNUT ST PHILADELPHIA, PA 19104	23-1352685	501(c)(3)	173,914		N/A	N/A	RESEARCH			
LEHIGH VALLEY HEALTH SERV1249 SOUTH CEDAR CREST BLVD ALLENTOWN,PA 18103	23-1689692	501(c)(3)	90,495		N/A	N/A	RESEARCH			
LANKENAU INST FOR MED100 LANCASTER AVENUE 3RD FLOOR WYNNEWOOD, PA 19096	23-2175659	501(c)(3)	9,759		N/A	N/A	RESEARCH			
DREXEL UNIV COLLEGE OFPO BOX 95000-1010 KORMAN SITE 100 PHILADELPHIA, PA 19195	23-2979433	501(c)(3)	178,837		N/A	N/A	RESEARCH			
NDRI71 WEST 23RD STREET NEW YORK, NY 10010	23-7009089	501(c)(3)	25,000		N/A	N/A	RESEARCH			
FRED HUTCHINSON CANCER RES CTR1100 FAIRVIEW AVE N 8TH FLOOR SEATTLE, WA 98109	23-7156071	501(c)(3)	201,407		N/A	N/A	RESEARCH			
PENN STATE MILTON HERSHEY MED227 W BEAVER AVE STE 401 PO BOX 19024 STATE COLLEGE, PA 16801	24-6000376	501(c)(3)	154,783		N/A	N/A	RESEARCH			
UNIV OF PITTSBURGH PO BOX 371220 PO BOX 19024 PITTSBURGH,PA 15251	25-0965591	501(c)(3)	246,281		N/A	N/A	RESEARCH			

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CARNEGIE MELLON UNIVP O BOX 371032M PITTSBURGH,PA 15250	25-0969449	501(c)(3)	29,989		N/A	N/A	RESEARCH			
DUQUESNE UNIV600 FORBES AVENUE PITTSBURGH,PA 15282	25-1035663	501(c)(3)	23,908		N/A	N/A	RESEARCH			
BATTELLEP O BOX 84262 SEATTLE,WA 98124	31-4379427	501(c)(3)	649,587		N/A	N/A	RESEARCH			
UNIV OF CINCINNATIPO BOX 691031 CINCINNATI,OH 45269		501(c)(3)	248,271		N/A	N/A	RESEARCH			
OH STATE UNIV1960 KENNY ROAD COLUMBUS,OH 43210	31-6025986	501(c)(3)	80,199		N/A	N/A	RESEARCH			
SCRIPPS RES INST 10550 NORTH TORREY PINES ROAD LA JOLLA, CA 92037	33-0435954	501(c)(3)	79,077		N/A	N/A	RESEARCH			
CASE WESTERN RESERVE UNIV10900 EUCLID AVE CLEVELAND,OH 44106	34-1018992	501(c)(3)	128,006		N/A	N/A	RESEARCH			
IN UNIV FOUND EASCP O BOX 66057 INDIANAPOLIS,IN 46266	35-6001673	501(c)(3)	390,244		N/A	N/A	RESEARCH			
PURDUE UNIV23510 NETWORK PLACE CHICAGO,IL 60673	35-6002041	501(c)(3)	106,804		N/A	N/A	RESEARCH			
LOYOLA UNIV CHICAGO 2160 FIRST AVE MAYWOOD,IL 60153	36-1408475	501(c)(3)	6,520		N/A	N/A	RESEARCH			

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States (a) Name and address of **(b)** EIN (c) IRC Code (d) A mount of cash (e) A mount of non-(f) Method of (g) Description of (h) Purpose of grant section or assistance organization grant cash valuation (book, non-cash or government ıf applıcable assistance FMV, appraisal, assistance other) N/A 36-2167808 32.826 N/A RESEARCH NATL OPINION RES CTR 501(c)(3) 55 EAST MONROE STREET CHICAGO, IL 60603 128,507 NORTHWESTERN UNIV 36-2167817 501(c)(3) N/A N/A RESEARCH 633 CLARK STREET 20TH FLOOR EVANSTON, IL 60208 N/A RUSH UNIV MED CTR 36-2174823 501(c)(3) 149.656 N/A RESEARCH 1700 WEST VAN BUREN 20TH FLOOR CHICAGO, IL 60612 N/A UNIV OF CHICAGO 6054 36-2177139 501(c)(3) 1,785,848 N/A RESEARCH SOUTH DREXEL AVENUE CHICAGO, IL 60637 NORTHERN IL UNIV 36-6008480 501(c)(3) 19,073 N/A N/A RESEARCH LOWDEN HALL 201 DEKALB, IL 60115 N/A 37-6000511 N/A UNIV OF IL AT CHICAGO 501(c)(3) 206,494 RESEARCH PO BOX 20787 SPRINGFIELD, IL 62708 244,227 MI STATE UNIV301 38-6005984 501(c)(3) N/A N/A RESEARCH ADMINISTRATION BLDG EAST LANSING, MI 48824 N/A REGENTS OF THE UNIV 38-6006309 501(c)(3) N/A RESEARCH 2,126,084 OF MICH3003 S STATE STREET ANN ARBOR, MI 48109 39-0806261 44,156 N/A N/A MED COLLEGE OF WI 501(c)(3) RESEARCH 8701 WATERTOWN PLANK ROAD 3089 WOLVERINE TOWER MILWAUKEE, WI 53226 N/A BD OF REGENTS OF THE 39-6006492 501(c)(3) 360,167 N/A RESEARCH U OF WI21 NORTH PARK STREET MADISON, WI 53715

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States (d) A mount of cash (e) A mount of non-(a) Name and address of **(b)** EIN (c) IRC Code (f) Method of (a) Description of (h) Purpose of grant grant organization section cash valuation (book. non-cash or assistance or government ıf applıcable assistance FMV, appraisal, assistance other) N/A MINNEAPOLIS MED RES 41-1677920 501(c)(3) 17,531 N/A RESEARCH FOUND825 S 8TH STREET STE 440 **SUITE 6401** MINNEAPOLIS, MN 55404 MAYO CLINIC200 1ST 41-6011702 501(c)(3) 752,288 N/A N/A RESEARCH STREET SW **SUITE 450** ROCHESTER, MN 55905 UNIV OF IAB5 JESSUP 42-6004813 501(c)(3) N/A N/A RESEARCH 333,237 HALL **SUITE 450** IOWA CITY, IA 52242 WA UNIV700 ROSEDALE 43-0653611 501(c)(3) 371,420 N/A N/A RESEARCH AVENUE ST LOUIS, MO 63112 NAZARENE 43-1550318 501(c)(3) 25,000 N/A N/A RESEARCH COMPASSIONATE12351 W 96TH TERRACE RESEARCH 151 LENEXA, KS 66215 43-6003859 N/A N/A RESEARCH UNIV OF MO COLUMBIA 501(c)(3) 11,304 ARP O BOX 807012 SUITE 100 KANSAS CITY, MO 64180 46-0450378 7,976 N/A N/A RESEARCH SANFORD RES USD1100 501(c)(3) E 21ST STREET SUITE 100 SIOUX FALLS, SD 57105 47-0376583 85,744 N/A N/A RESEARCH CREIGHTON UNIV2500 501(c)(3) CALIFORNIA PLAZA **STE 700 OMAHA, NE 68178** UNIV OF NE MED CTR 47-0491233 501(c)(3) 19,719 N/A N/A RESEARCH 985100 NEBRASKA MEDICAL CENTER **STE 700** OMAHA, NE 68198 UNIV OF KS MED CTR 48-1108830 501(c)(3) 428,512 N/A N/A RESEARCH RES INST3901 RAINBOW BLVD KANSAS CITY, KS 66160

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States (d) A mount of cash (e) A mount of non-(a) Name and address of **(b)** EIN (c) IRC Code (f) Method of (a) Description of (h) Purpose of grant organization section arant cash valuation (book. lnon-cash assistancel or assistance or government ıf applıcable assistance FMV, appraisal, other) N/A UNIV OF OR5219 48-1278531 501(c)(3) 19,544 N/A RESEARCH UNIVERSITY OF OREGON A/P MAIL STOP 1039 EUGENE, OR 97403 UNIV OF KS1010 N 48-6029925 501(c)(3) 20,882 N/A N/A RESEARCH KANSAS WICHITA, KS 67214 N/A CHRISTIANA CARE 51-0103684 501(c)(3) 286,569 N/A RESEARCH **HEALTH SERV4735** OGLETOWN-STANTON ROAD NEWARK, DE 19713 RETINA FOUND OF THE 51-0151514 501(c)(3) 121,452 N/A N/A RESEARCH SOUTHWEST9900 NCENTRAL EXPRESSWAY MAP 2 SUITE 3203 DALLAS, TX 75231 LOVELANCE 51-0154068 501(c)(3) 110,407 N/A N/A RESEARCH BIOMEDICAL AND ENV 2425 RIDGECREST DR SE SUITE 400 ALBUQUERQUE, NM 87108 MAGEE SCIENTIFIC2800 51-0620129 25,000 N/A N/A RESEARCH 501(c)(3) ADELINE STREET SUITE 400 BERKELEY, CA 94703 15,000 RESEARCH LOYOLA COLLEGE4501 52-0591623 501(c)(3) N/A N/A N CHARLES ST BALTIMORE, MD 21210 N/A N/A JOHNS HOPKINS UNIV 52-0595110 501(c)(3) 507,333 RESEARCH 1101 E 33RD STREET BALTIMORE, MD 21218 MD MED RES INST600 52-1002806 501(c)(3) 25,000 N/A N/A RESEARCH WYNDHURST AVENUE SUITE C200 BALTIMORE, MD 21210 52-1317896 501(c)(3) 13,900 N/A N/A RESEARCH HENRY JACKSON FOUND 1401 ROCKVILLE PIKE SUITE 600 SUITE C200 ROCKVILLE, MD 20852

Form 990, Schedule I, Pa	art II, Grant	<u>s and Other Ass</u>	sistance to Gove	rnments and Or	ganizations in t	he United States	<b>;</b>
(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	n <b>(e)</b> A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NACCHO ASTHO1100 17TH STREET WASHINGTON, WA 20036	52-1426663	501(c)(3)	25,000		N/A	N/A	RESEARCH
UNIV OF MD4101 CHESAPEAKE BLDG NW 2ND FLOOR COLLEGE PARK, MD 20742	52-6002033	501(c)(3)	737,182		N/A	N/A	RESEARCH
GEORGE WA UNIV44983 KNOLL SQUARE NW 2ND FLOOR ASHBURN, VA 20147	53-0196584	501(c)(3)	335,521		N/A	N/A	RESEARCH
GEORGETOWN UNIVBOX 571164 BUILDING II SECOND FLOOR WASHINGTON, DC 20057	53-0196603	501(c)(3)	779,684		N/A	N/A	RESEARCH
NATL AERONAUTICS AND SPACE4800 OAK GROVE DRIVE BUILDING II SECOND FLOOR PASADENA, CA 91109	53-0201509	501(c)(3)	25,000		N/A	N/A	RESEARCH
SMITHSONIAN INSTPO BOX 630412 BALTIMORE,MD 21263	53-0206027	501(c)(3)	74,956		N/A	N/A	RESEARCH
PATHFINDER INTL9 GALEN ST WATERTOWN, MA 02472	53-0235320	501(c)(3)	242,415		N/A	N/A	RESEARCH
UNIV OF VAPO BOX 400195 BOX 980599 CHARLOTTESVILLE,VA 22904	54-6001796	501(c)(3)	15,827		N/A	N/A	RESEARCH
DUKE UNIV2200 WEST MAIN STREET DURHAM,NC 27705	56-0532129	501(c)(3)	94,670		N/A	N/A	RESEARCH
WAKE FOREST UNIV MEDICAL CENTER BOULEVARD SUITE 300 WINSTON SALEM, NC 27157	56-0532138	501(c)(3)	39,116		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States **(b)** EIN (d) A mount of cash (e) A mount of non-(a) Name and address of (c) IRC Code (f) Method of (a) Description of (h) Purpose of grant organization section arant cash valuation (book. lnon-cash assistancel or assistance ıf applıcable FMV, appraisal, or government assistance other) 94,135 N/A N/A N CAROLINA A T STATE 56-6000007 501(c)(3) RESEARCH UNIV1601 E MARKET STREET SUITE 300 GREENSBORO.NC 27411 56-6000756 25,000 N/A N/A NC STATE UNIVBOX 501(c)(3) RESEARCH 7214 RALEIGH, NC 27695 N/A N/A UNIV OF N CAROLINA 56-6001393 501(c)(3) 205,537 RESEARCH 104 AIRPORT DRIVE CB 1350 CHAPEL HILL, NC 27599 THE UNIV OF NC AT 56-6001468 66,319 N/A N/A RESEARCH 501(c)(3) GREENSBOROP O BOX 26170 GREENSBORO, NC 27402 N/A EMORY UNIV1599 58-0566256 501(c)(3) 285,080 N/A RESEARCH CLIFTON ROAD NE ATLANTA, GA 30322 N/A N/A SKIDAWAY INST OF 58-1028005 501(c)(3) 20,657 RESEARCH OCEANOGR10 OCEAN SCIENCE CIRCLE 4TH FLOOR MS 1599-001-1BH SAVANNAH, GA 31411 MOREHOUSE SCH OF 58-1438873 501(c)(3) 9,800 N/A N/A RESEARCH MEDICINE720 WESTVIEW DRIVE SW ATLANTA, GA 30310 N/A GA STATE UNIVPO BOX 58-1845423 501(c)(3) 60,572 N/A RESEARCH 3999 ATLANTA, GA 30302 UNIV OF MIAMIPO BOX 59-0624458 501(c)(3) 681,344 N/A N/A RESEARCH 025405 MIAMI, FL 33102 MELBOURNE INTL MED 59-1224281 501(c)(3) 6,900 N/A N/A RESEARCH 200 EAST SHERIDAN ROAD MELBOURNE, FL 32901

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States										
(a) Name and address of organization or government	<b>(b)</b> EIN	(c) IRC Code section if applicable	( <b>d)</b> A mount of cash grant	(e) A mount of non- cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance			
UNIV OF S FL4202 EAST FOWLER AVENUE SUITE J TAMPA,FL 33620	59-3102112	501(c)(3)	87,789		N/A	N/A	RESEARCH			
MAYO CLINIC JACKSONVILLE4500 SAN PABLO ROAD ADM 147 JACKSONVILLE,FL 32224	59-3337028	501(c)(3)	243,788		N/A	N/A	RESEARCH			
UNIV OF FLPO BOX 113001 ADM 147 GAINESVILLE,FL 32611	59-6001874	501(c)(3)	284,464		N/A	N/A	RESEARCH			
CLINICAL RES FOUND INC800 ZORN AVENUE SUITE 310 LOUISVILLE, KY 40206	61-1144514	501(c)(3)	21,900		N/A	N/A	RESEARCH			
UNIV OF KY RES FOUND P O BOX 931113 SUITE 310 CLEVELAND,OH 44193	61-6033693	501(c)(3)	20,433		N/A	N/A	RESEARCH			
VANDERBILT UNIV3319 WEST END AVENUE NASHVILLE,TN 37203	62-0476822	501(c)(3)	288,469		N/A	N/A	RESEARCH			
UNIV OF TN855 MONROE AVE RM 415 SUITE 700 MEMPHIS,TN 38163	62-6001636	501(c)(3)	114,921		N/A	N/A	RESEARCH			
UNIV OF S ALAD 362 SUITE 700 MOBILE, AL 36688	63-0477348	501(c)(3)	7,246		N/A	N/A	RESEARCH			
UNIV OF ALAT BIRMINGHAM1530 3RD AVENUE S BIRMINGHAM,AL 35294	63-6005396	501(c)(3)	229,466		N/A	N/A	RESEARCH			
UNIV OF ARPO BOX 1404 ROOM 7E-114 FAYETTEVILLE, AR 72701	71-6003252	501(c)(3)	25,000		N/A	N/A	RESEARCH			

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States (a) Name and address of (b) EIN (c) IRC Code (d) A mount of cash (e) A mount of non-(f) Method of (a) Description of (h) Purpose of grant organization section grant cash valuation (book, non-cash assistance or assistance ıf applıcable FMV, appraisal, or government assistance other) N/A UNIV OF AR FOR MED 71-6046242 501(c)(3) 133,080 N/A RESEARCH SCI4301 W MARKHAM STREET ROOM 7E-114 LITTLE ROCK, AR 72205 TULANE UNIV800 EAST 72-0423889 501(c)(3) 104,587 N/A N/A RESEARCH **COMMERCE RD** HARAHAN, LA 70123 74-1070544 N/A N/A SOUTHWEST RESINST 501(c)(3) 185,550 RESEARCH PO BOX 841671 DALLAS, TX 75284 RICE UNIVPO BOX 74-1109620 501(c)(3) 25,000 N/A N/A RESEARCH 1892 HOUSTON, TX 77251 74-1586031 81,396 N/A N/A UNIV OF TX7703 501(c)(3) RESEARCH FLOYD CURL DR SAN ANTONIO, TX 78229 N/A WILLIAM S MIDDLETON 74-1612229 501(c)(3) 33.515 N/A RESEARCH MEM2500 OVERLOOK TERRACE MADISON, WI 53705 N/A N/A NATL JEWISH HEALTH 74-2044647 501(c)(3) 9,820 RESEARCH 1400 JACKSON ST ROOM M213 SUITE 600D DENVER, CO 80206 74-2095782 8,000 N/A N/A RESEARCH ASTDD CORP105 501(c)(3) WESTERLY ROAD SUITE 600D NEW BERN, NC 28560 501(c)(3) N/A UNIV OF TX AT AUSTIN 74-6000203 233,248 N/A RESEARCH POBOX 7159 AUSTIN, TX 78713 N/A N/A UNIV OF TX MED 74-6000949 501(c)(3) 44,990 RESEARCH BRANCHPO BOX 4786-750

HOUSTON, TX 77210

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States										
(a) Name and address of organization or government	<b>(b)</b> EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance			
SOUTHERN METHODIST UNIVP O BOX 750259 DALLAS,TX 75275	75-0806689	501(c)(3)	738,033		N/A	N/A	RESEARCH			
TRANSLATIONAL GENOMICS RES INS445 N FIFTH STREET PHOENIX,AZ 85004	75-3065445	501(c)(3)	399,036		N/A	N/A	RESEARCH			
UNIV OF TX AT ARLINGTONBOX 19136 SUITE 600 ARLINGTON,TX 76019	75-6000121	501(c)(3)	362,018		N/A	N/A	RESEARCH			
UNIV OF TX SOUTHWESTERN MEDPO BOX 841765 SUITE 600 DALLAS,TX 75284	75-6002868	501(c)(3)	110,360		N/A	N/A	RESEARCH			
BOISE STATE UNIV1910 UNIVERSITY DR BOISE,ID 83725	82-0290701	501(c)(3)	36,934		N/A	N/A	RESEARCH			
DENVER RES INST1055 CLERMONT STREET MS 1247 DENVER,CO 80200	84-1392442	501(c)(3)	11,860		N/A	N/A	RESEARCH			
CO STATE UNIVCAMPUS DELIVERY 2002 VAMC-151 FORT COLLINS, CO 80523	84-6000545	501(c)(3)	49,592		N/A	N/A	RESEARCH			
REGENTS OF THE UNIV OF CO4200 E 9TH AVENUE VAMC-151 DENVER,CO 80262	84-6000555	501(c)(3)	500,538		N/A	N/A	RESEARCH			
SANTA FE INST1399 HYDE PARK ROAD SANTA FE,NM 87501	85-0325494	501(c)(3)	39,955		N/A	N/A	RESEARCH			
UNIV OF NM1 UNIVERSITY OF NEW MEXICO ALBUQUERQUE, NM 87131	85-6000642	501(c)(3)	16,155		N/A	N/A	RESEARCH			

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States							
(a) Name and address of organization or government	<b>(b)</b> EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AZ STATE UNIVPO BOX 873503 TEMPE,AZ 85287	86-0196696	501(c)(3)	966,608		N/A	N/A	RESEARCH
PHOENIX CHILDRENS HOSPITAL1919 EAST THOMAS ROAD PHOENIX,AZ 85016	86-0422559	501(c)(3)	68,098		N/A	N/A	RESEARCH
UNIV OF AZPO BOX 3520 TUCSON,AZ 85722	86-6004791	501(c)(3)	67,260		N/A	N/A	RESEARCH
SIERRA BIOMEDICAL RES CORP1000 LOCUST STREET RENO,NV 89502	88-0250268	501(c)(3)	5,600		N/A	N/A	RESEARCH
CHILDRENS HOSPITAL REGIONAL ME4800 SAND POINT WAY SEATTLE, WA 98105	91-0564748	501(c)(3)	12,443		N/A	N/A	RESEARCH
SEATTLE INST1660 S COLUMBIAN WAY PO BOX 5371 SEATTLE, WA 98108	91-1452438	501(c)(3)	181,825		N/A	N/A	RESEARCH
UNIV OF WA3917 UNIVERSITY WAY NE S-151F SEATTLE, WA 98105	91-6001537	501(c)(3)	716,179		N/A	N/A	RESEARCH
DECISION RES1201 OAK STREET S-151F EUGENE, OR 97401	93-0927305	501(c)(3)	10,007		N/A	N/A	RESEARCH
OR HEALTH SCI UNIV 2525 SW FIRST AVE STUDENT SERVICES BLDG 206 PORTLAND,OR 97201	93-1176109	501(c)(3)	209,446		N/A	N/A	RESEARCH
CA PACIFIC MED CTR RES INST475 BRANNAN STREET SUITE 120 SAN FRANCISCO, CA 94107	94-0562680	501(c)(3)	9,757		N/A	N/A	RESEARCH

Form 990,Schedule I, I	Part II, Gran	ts and Other Ass	sistance to Gove	rnments and O	rganizations in t	he United States	
(a) Name and address of organization or government	<b>(b)</b> EIN	(c) IRC Code section if applicable	( <b>d)</b> A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
KAISER FOUND RES INST1800 HARRISON ST 16TH FLR SUITE 220 OAKLAND,CA 94612	94-1105628	501(c)(3)	647,769		N/A	N/A	RESEARCH
STANFORD UNIV300 PASTEUR DRIVE SUITE 220 STANFORD,CA 94305	94-1156365	501(c)(3)	63,709		N/A	N/A	RESEARCH
SRI INTLP O BOX 2767 MSLS P 204 MENLO PARK,CA 94025	94-1160950	501(c)(3)	60,566		N/A	N/A	RESEARCH
UNIV OF CA SANTA CRUZ1156 HIGH ST MSLS P 204 SANTA CRUZ,CA 95064	94-1539563	501(c)(3)	419,817		N/A	N/A	RESEARCH
PUB HEALTH INST555 12TH STREET OAKLAND,CA 94607	94-1646278	501(c)(3)	368,290		N/A	N/A	RESEARCH
NORTHERN CA INST 4150 CLEMENT STREET SAN FRANCISCO, CA 94121	94-3084159	501(c)(3)	196,599		N/A	N/A	RESEARCH
REGENTS OF THE UNIV OF CA2195 HEARST AVENUE BERKELEY, CA 94720	94-6002123	501(c)(3)	5,906		N/A	N/A	RESEARCH
UNIV OF CA BERKELEY 2195 HEARST AVENUE ROOM 130 MC 1103 BERKELEY, CA 94720	94-6022123	501(c)(3)	314,764		N/A	N/A	RESEARCH
REGENTS OF THE UNIV OF CA350 PARNASSUS AVE ROOM 130 SAN FRANCISCO, CA 94117	94-6036493	501(c)(3)	50,571		N/A	N/A	RESEARCH
REGENTS OF THE UNIV OF CA DAVISP O BOX 989062 SUITE 500 WEST SACRAMENTO, CA 95798	94-6036494	501(c)(3)	877,733		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States							
(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance		(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIV OF SOUTHERN CA FILE NO 52095 SUITE 500 LOS ANGELES, CA 90074	95-1642394	501(c)(3)	52,709		N/A	N/A	RESEARCH
CA INST OF TECH1200 E CALIFORNIA BLVD PASADENA,CA 91125	95-1643307	501(c)(3)	224,297		N/A	N/A	RESEARCH
CHILDRENS HOSPITAL 4650 SUNSET BOULEVARD MAIL CODE 117-15 LOS ANGELES, CA 90027	95-1690977	501(c)(3)	196,870		N/A	N/A	RESEARCH
RAND CORP1776 MAIN STREET MAIL CODE 117-15 SANTA MONICA,CA 90401	95-1958142	501(c)(3)	152,650		N/A	N/A	RESEARCH
UNIV OF CA IRVINE111 ACADEMY WAY IRVINE,CA 92697	95-2226406	501(c)(3)	1,263,964		N/A	N/A	RESEARCH
BRENTWOOD BIOMEDICAL RES INST 11301 WILSHIRE BLVD SUITE 210 LOS ANGELES, CA 90073	95-4183712	501(c)(3)	7,960		N/A	N/A	RESEARCH
UNIV OF CA LOS ANGELES10920 WILSHIRE BLVD BLDG 114 LOS ANGELES, CA 90024	95-6006143	501(c)(3)	122,506		N/A	N/A	RESEARCH
UNIV OF CA SAN DIEGO 9500 GILMAN DR BLDG 114 LA JOLLA,CA 92093	95-6006144	501(c)(3)	73,773		N/A	N/A	RESEARCH
UNIV OF HI2530 DOLE STREET SAKAMAKI D200 HONOLULU,HI 96822	99-6000354	501(c)(3)	19,342		N/A	N/A	RESEARCH
REID HALL INC205 LOW MEMORIAL LIBRARY NEW YORK, NY 10027	13-1611126	501(C)(3)		457,328	воок	INTERCO TXFR	GENERAL SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States								
(a) Name and address of organization or government	<b>(b)</b> EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance	
COLUMBIA UNIVERSITY PRESS61 WEST 62ND STREET NEW YORK, NY 10023	13-1623968	501(C)(3)		1,589,200	воок	INTERCO TXFR	GENERAL SUPPORT	
COLUMBIA OPHTHALMOLOGY CONSLTS635 W 165TH STREET RM 218 NEW YORK,NY 10032	13-3908637	501(C)(3)		1,075,583	воок	INTERCO TXFR	GENERAL SUPPORT	
COLUMBIA UNIVERSITY HEALTH CARE INC630 W 168TH ST NEW YORK, NY 10032	13-3948652	501(C)(3)		3,213,245	воок	INTERCO TXFR	GENERAL SUPPORT	

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**Schedule J** 

Department of the Treasury

(Form 990)

As Filed Data -

DLN: 93493137052960

OMB No 1545-0047

**Compensation Information** 

For certain Officers, Directors, Trustees, Key Employees, and Highest **Compensated Employees** 

► Attach to Form 990. To be completed by organizations that answered "Yes" to Form 990, Part IV, line 23.

2008

Open to Public Inspection

Internal Revenue Service	that answered "Yes" to Form 990, Part IV, line 23.		Insp	ectio	n
Name of the organi The Trustees of Columb		Employer ident	ification nui	mber	
in the City of New York		13-5598093			
Part I Questi	ons Regarding Compensation				
				V	Γ

			Yes	NO			
1a	Check the appropiate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items						
	First class or charter travel  Housing allowance or residence for personal use						
	▼ Travel for companions						
	▼ Tax idemnification and gross-up payments						
	Discretionary spending account  Personal services (e.g., maid, chauffeur, chef)						
ь	If line 1a is checked, did the organization follow a written policy regarding payment or reimbursement or						
	provision of all the expenses described above? If "No," complete Part III to explain	1b	Yes				
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?						
3	Indicate which, if any, of the following the organization uses to establish the compensation of the						
	organization's CEO/Executive Director Check all that apply						
	Compensation committee Written employment contract						
	✓ Independent compensation consultant ✓ Compensation survey or study						
	Form 990 of other organizations  Approval by the board or compensation committee						
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a						
а	Receive a severance payment or change of control payment?	4a		Νo			
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b	Yes				
c	Participate in, or receive payment from, an equity-based compensation arrangement?	4c		Νο			
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III						
	501(c)(3) and 501(c)(4) organizations only must complete lines 5-8.						
5	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of						
а	The organization?	5a	Yes				
Ь	Any related organization?	5b		Νο			
	If "Yes," to line 5a or 5b, describe in Part III						
6	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of						
а	The organization?	6a		Νo			
b	Any related organization?	6b		Νο			
	If "Yes," to line 6a or 6b, describe in Part III						
7	For persons listed in form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7	Yes				
8	Were any amounts reported in Form 990, Part VII, paid or accured pursuant to a contract that was	Ė					
J	subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III	8		Νo			

#### Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(I)-(III) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name	(B) Breakdown of	f W-2 and/or 1099-MI	SC compensation	(C) Deferred	(D) Nontaxable	(E) Total of columns	(F) Compensation	
	(i) Base compensation	(ii) Bonus & Incentive compensation	(iii) Other compensation	compensation	benefits	(B)(ı)-(D)	reported in prior Form 990 or Form 990-EZ	
See Addıtıonal Data Table (i)								
(ii)								
(i)								
(ii)								
(i)								
(ii)								
(i)								
(ii)								
(i)								
(ii)								
(i)								
(ii)								
(i)								
(ii)								
(i)								
(ii)								

### Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8 Also complete this part for any additional information

	•	
Ident if ier	Ret urn Ref erence	Explanation
SUPPLEMENTAL COMPENSATION INFORMATION		Notes to Part I, Line La Travel for Companions In certain circumstances, the spouse of the President or other key amployee may travel for University purpose Examples of such travel include attendance at indicating or all discovered the provided travel as a regular business expense of the spousal travel as a regular business expense if the spousal travel as a regular business expense if the spousal travel as a regular business expense if the spousal travel as a regular business expense if the spousal travel as a regular business expense if the spousal travel as a regular business expense if the spousal travel as a regular business expense if the spousal travel as a regular business expense if the spousal travel as a regular business expense if the spousal travel as a regular business expense if the spousal travel as a regular business expense if the spousal travel as a regular business expense if the spousal travel as a regular business expense if the spousal travel as a regular business expense if the spousal travel as a regular business expense if the spousal travel as a regular business expense if the spousal travel as a regular business expense in the spousal travel as a regular business expense in the spousal travel as a regular business expense in the spousal travel as a regular business expense in the spousal travel as a regular business expense in the spousal travel as a regular business expense in the spousal travel as a regular business expense in the spousal travel as a regular business expense in the spousal travel as a regular business expense in the spousal travel as a regular business expense in the spousal travel as a regular business expense in the spousal travel as a regular business expense in the spousal travel as a regular business expense in the spousal travel as a regular business expense in the spousal travel as a regular business expense in the spousal travel as a regular business expense in the spousal travel as a regular business expense in the spousal travel as a regular business expe
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Software ID: **Software Version:** 

**EIN:** 13-5598093

Name: The Trustees of Columbia University in the City of New York

Form 990, Schedule J, Pa	art:	II - Officers, Direc	tors, Trustees, Ke	y Employees, and	Highest Compen	sated Employees		
(A) Name		(B) Breakdown of	f W-2 and/or 1099-MIS	SC compensation	(C) Deferred	( <b>D)</b> Nontaxable	(E) Total of columns	(F) Compensation
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation	compensation	benefits	(B)(ı)-(D)	reported in prior Form 990 or Form 990-EZ
Lee C Bollinger	(1) (11)		0	12,993 0	518,650 0	343,932 0	1,753,984	0 0
Jane E Booth	(I) (II)	299,348	15,000	0	23,650 0	14,944 0	352,942 0	0. 0
Alan Brinkley	(I) (II)		15,000	40,000	23,650 0	12,060	569,701 0	0
Nicholas B Dirks	(1)		0	0	23,650 0	26,568 0	429,446 0	0
Susan K Feagin	(1) (11)		0	0	23,650 0	6,588 0	503,906 0	0
Kenneth A Forde MD	(1) (11)	0	0	789,000 0	0	0	789,000 0	0
Lee Goldman MD	(1) (11)		0	13,088	23,650 0	11,688	1,028,862	0
Peter Holland	(1) (11)		1,251,569	0	1,295,766 0	18,172 0	3,280,541	834,796 0
Joseph A Ienuso	(1) (11)		50,000	0	23,650 0	42,600 0	512,606 0	0
Robert Kasdın	(1) (11)		50,000	3,263 0	23,650 0	34,611 0	720,069 0	0
N P Narvekar	(1) (11)		1,132,597 0	0	1,444,040	20,492	3,390,983	674,576 0
Anne R Sullivan	(1) (11)		20,000	0	17,900 0	5,916 0	429,178 0	0 0
David N Silvers MD	(1) (11)		2,340,649	0	29,002 0	5,752 0	5,374,239 0	0 0
Jeffrey W Moses MD	(1) (11)		25,000 0	0	22,419 0	55,672 0	3,096,635 0	0
Craig R Smith MD	(I) (II)		0	0	32,292 0	35,653 0	1,857,126 0	0 0
Martın B Leon MD	(i) (ii)	1,526,011	14,578 0		22,419 0	37,988 0	1,600,996 0	0
Gregg WStone MD	(1) (11)	1,527,446 0	13,984	0	22,419 0	19,181 0	1,583,030	0 0
George E Rupp	(1) (11)	400,000	0	0	0	0	400,000	0
Jerome Davis	(I) (II)	225,414	15,000	110 0	0	8,036 0	248,560 0	0
ELIZABETH KEEFER	(1) (11)	326,607	0	1 ' 1	23,650 0	3,588 0	l e	0

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

DLN: 93493137052960

OMB No 1545-0047

Schedule K (Form 990)

Department of the Treasury Internal Revenue Service

# **Supplemental Information on Tax Exempt Bonds**

To be completed by organizations that answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Schedule O.

Open to Public Inspect ion

Schedule K (Form 990) 2008

Name of the organization **Employer identification number** The Trustees of Columbia University 13-5598093 in the City of New York Part I Bond Issues (Required for 2008) (h) O n (g) Defeased Behalf of (a) Issuer Name (b) Issuer EIN (c) CUSIP # (d) Date Issued (e) Issue Price (f) Description of Purpose Issuer Yes No Yes No Dormitory Authority of State of New Series 2003 A, FUND QUAL CAP Х York 14-6000293 649905DF0 03-05-2003 92,696,491 Χ PRO 1T Dormitory Authority of State of New Series 2003 B, FUND QUAL CAP 30,000,000 Х Х 14-6000293 64983QAU3 03-05-2003 PROJT Dormitory Authority of State of New Series 2004 A, FUND QUAL CAP 75.547.466 Х York 14-6000293 64983TSW4 06-08-2004 Χ Dormitory Authority of State of New Series 2004 B, FUND QUAL CAP 105,377,317 Χ D York 14-6000293 64983TZD8 06-08-2004 Χ PRO 1T Dormitory Authority of State of New Series 2004 C, FUND QUAL CAP 14-6000293 64983TZD8 06-08-2004 53,250,500 Х Χ York PROJT Proceeds (Optional for 2008) Part II Α В C D Ε Total Proceeds of Issue 2 Gross Proceeds in Reserve Funds Proceeds in Refunding or Defeasance Escrows 3 Other Unspent Proceeds 4 Issuance Costs from Proceeds 5 Working Capital Expenditures from Proceeds 6 Capital Expenditures from Proceeds 7 Year of Substantial Completion 8 Yes No Yes No Yes No Yes No Yes No Were the bonds issued as part of a current refunding issue? 9 Were the bonds issued as part of an advance refunding issue? 10 Has the final allocation of proceeds been made? 11 Does the organization maintain adequate books and records to support the 12 final allocation of proceeds? Private Business Use (Optional for 2008) Part III С Ε Α Yes No Yes No Yes No Yes No Yes No Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds? Are there any lease arrangements with respect to the financed property which may result in private business use?

Cat No 50193E

Schedule K	(Form 990) 20	800	
Part III	Private Bu	siness Use	(Continued)

		4	A	E	3	(	С	I	D	I	E
		Yes	No								
3a	Are there any management or service contracts with respect to the financed property which may result in private business use?										
3b	Are there any research agreements with respect to the financed property which may result in private business use?										
3с	Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property?										
4	Enter the percentage of financed property used in a private business use by entities other than a 501(c)(3) organization or a state or local government										
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another 501(c)(3) organization, or a state or local government										
6	Total of lines 4 and 5										
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?										
Pai	rt IV Arbitrage (Optional for 2008)										
		ı	Α	E	3	С		D		E	
		Yes	No								
1	Has a Form 8038-T been filed wth respect to the bond issue?										
2	Is the bond issue a variable rate issue?										
3a	Has the organization or the government issuer identified a hedge with respect to the bond issue on its books and records?										
ь	Name of provider										
С	Term of hedge										
4a	Were gross proceeds invested in a GIC?										
ь	Name of provider										
С	Term of GIC										
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?										
5											
	Were any gross proceeds invested beyond an available temporary period?										

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DLN: 93493137052960

OMB No 1545-0047

Open to Public Inspection

### Schedule L

(Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

► Attach to Form 990 or Form 990-EZ. ► To be completed by organizations that answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V lines 38b or 40b.

**Transactions with Interested Persons** 

Name of the organization **Employer identification number** The Trustees of Columbia University

in the City of New York				1:	3-559	809	3					
Part I Excess Benefit Transact					4) organi	zatıoı	ns on	ıly).				
		res" on I	es" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Pa (b) Description of transaction							(c) Correc		
1 (a) Name of disqualified			(b) Des	criptic	on of transa	ection			[	Yes	No	
2 Enter the amount of tax imposed on to section 4958	the organization ma	nagers c	or disqualified pers	sons d	uring the y	ear u	nder <b>&gt;</b>	\$				
3 Enter the amount of tax, if any, on lin	ie 2, above, reimbur	sed by t	he organization .				<b>•</b>	\$				
Part II Loans to and/or From			F 000 D+	T) /	. 26 - 5	0	00 5	7 0	V 1	- 20-		
To be completed by organiza		Yes_o	on Form 990, Part	10 , III 	ie 26, or Fo	orm 9	90-E.	Z, Part <b>(f</b>		e 38a		
(a) Name of interested person and purpose	( <b>b</b> ) Loan to or from the organization?	(c)	Original principal amount	( <b>d)</b> Ba	<b>(d)</b> Balance due		default? by		oved ard or ittee?	1 -		
	To From						No	Yes	No	Yes	No	
otal			<b>▶</b> \$									
Part III Grants or Assistance B To be completed by organ	enefitting Inter	rested	Persons	90, P	art IV, lın	ı ne 27						
(a) Name of interested person	1		veen interested pe	rson	( <b>c)</b> A m	ount o	of gra	nt or ty	pe of	assista	nce	
	a	na the o	rganization									
_												
Part IV Business Transactions				.00 D	T\ /	- 20	- 20	Na	20 -			
To be completed by organ	(b) Relations		Yes on Form 9	90, P	art IV, III	ie 28	a, 28	SD, OF		( <b>e)</b> Sha	rına o	
(a) Name of interested person	between intere	sted	(c) A mount of	f	(d) Descr	untion	oftra	ansacti	- 1	organıza	ation'	
(a) 1	person and t organizatio		transaction		(=, 5 000)					reven	ues? <b>No</b>	
See Additional Data Table												
	1			- 1								

#### **Additional Data**

Software ID: Software Version:

**EIN:** 13-5598093

Name: The Trustees of Columbia University

in the City of New York

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons

(a) Name of interested person	(b) Relationship between interested person and the	(c) A mount of transaction \$	(d) Description of transaction	(e) Sharing of organization's revenues?	
	organization			Yes	No
Evangeline Morphos	SPOUSE OF OFFICER	86,395	employment		Νo
Janakı Bakhle	SPOUSE OF KEY EMPLOYEE	88,869	employment		No
Jill S Goldman	SPOUSE OF KEY EMPLOYEE	75,474	employment		Νο
CITIGROUP	TRUSTEE IS OFFICER	2,890,163	CARD PAYMENTS / ACCOUNT FEES		No
CITIGROUP	TRUSTEE IS OFFICER	,	RENT PAYMENTS TO UNIVERSITY		No
APPLE COMPUTER	TRUSTEE IS DIRECTOR	3,897,748	PURCHASE OF GOODS		Νο
PROGENICS PHARMACEUTICALS INC	TRUSTEE IS OFFICER/DIR	,	LICENSE FEES TO UNIVERSITY		N o
TMG STRATEGIES LLC	FORMER OFFICER IS OFFICER	114,762	CONSULTING FEES		Νο
GOLDMAN SACHS	TRUSTEE IS OFFICER	4,164,817	Contracts, fees & commissions		Νο
GOLDMAN SACHS	TRUSTEE IS OFFICER	2,415,507	INV FUND DISTRIB TO UNIVERSITY		No

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DLN: 93493137052960

OMB No 1545-0047

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SCHEDULE M (Form 990)

Department of the Treasury

Internal Revenue Service

To be completed by organizations that answered "Yes" on Form 990, Part IV, lines 29 or 30. Attach to Form 990

**Non-Cash Contributions** 

Name of the organization The Trustees of Columbia University

**Employer identification number** 

n the	City of New York				13-5598093			
Pa	rt I Types of Property							
		(a) Check ıf applıcable	(b) Number of Contributions	(c) Revenues reported on Form 990, Part VIII, line 1g	<b>(d</b> ) Method of de reven	etermi	nıng	
1	Art—Works of art	Х	17	0				
2	Art—Historical treasures .							
3	Art—Fractional interests							
4	Books and publications							
5	Clothing and household goods							
6	Cars and other vehicles							
7	Boats and planes							
	Intellectual property							
	Securities—Publicly traded .	Х	224	36,743,854	COST/SALE PRICE			
10	Securities—Closely held stock $$ .							
11	Securities—Partnership, LLC, or trust interests							
12	Securities—Miscellaneous							
	Qualified conservation contribution (historic structures)							
14	Qualified conservation contribution (other)							
15	Real estate—Residential .	Х	5	4,251,179	EXPERT OPINIONS	5		
16	Real estate—Commercial							
17	Real estate—Other							
	Collectibles							
	Food inventory	Х	9	0				
	Drugs and medical supplies .							
	Taxidermy							
	Historical artifacts							
	Scientific specimens							
	Archeological artifacts	.,	_					
25	Other (describe Equipment )	X	5	0	0			
26	Personal Other (describe Papers )	x	7	0	0			
	Other (describe Software )	X	1	0				
	Other (describe Other )	X	5	35,432,570	COST/SALE PRICE			
29	Number of Forms 8283 received	, ,	3 ,		29			27
	which the organization complete  Acknowledgement		• •				l., I	
30a	During the year, did the organiza	tion receiv	e by contribution any prope	rty reported in Part I, lines	1-28 that it must		Yes	No
	least three years from the date of for the entire holding period? .			not required to be used for (	exempt purposes	30a		No
b	If "Yes", describe the arrangeme	ent in Part I	I					
31	Does the organization have a gif			·		31	Yes	
32a	Does the organization hire or use contributions?	third parti	es or related organizations	to solicit, process, or sell i	non-cash	32a		Νο
ь 33	If "Yes", describe in Part II If the organization did not report	revenues :	n Column (a) for a type of	roperty for which Column to	2) 16			
<b></b>	checked, describe in Part II	revenues I	in Columni (c) for a type of p	Toperty for which Column (a	1) 15			

Part II Supplemental Information. Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information. ReturnReference Explanation DESCRIPTION OF CONTRIBUTED SCHEDULE M, PART I LINE 33 UNDER FAS 116, CONTRIBUTIONS OF WORKS OF ART, PROPERTY FOR WHICH REVENUES HISTORICAL TREASURES, AND SIMILAR ASSETS NEED NOT ARE NOT REPORTED BE RECOGNIZED AS REVENUES AND CAPITALIZED IF THE DONATED ITEMS ARE ADDED TO COLLECTIONS HELD FOR PUBLIC EXHIBITION, EDUCATION, OR RESEARCH IN FURTHERANCE OF PUBLIC SERVICE RATHER THAN FINANCIAL GAIN BECAUSE THE UNIVERSITY UTILIZES CONTRIBUTIONS OF ART AND HISTORICAL TREASURES IN FURTHERANCE OF PUBLIC SERVICE, THESE CONTRIBUTIONS ARE NOT RECOGNIZED AS REVENUE FOR FINANCIAL STATEMENT PURPOSES IN ADDITION, MINOR GIFTS, SUCH AS FOOD OR SUPPLIES, ARE CONSIDERED DE MINIMUS NUMBER OF CONTRIBUTIONS SCHEDULE M, PART I, COLUMN (B) THE FIGURES IN COLUMN (B) REPRESENT THE NUMBER OF CONTRIBUTIONS RECEIVED

# OMB No 1545-0047

2008

Open to Public

# **SCHEDULE 0**

(Form 990)

Department of the Treasury
Internal Revenue Service

# Supplemental Information to Form 990

► Attach to Form 990. To be completed by organizations to provide additional information for responses to specific questions for the Form 990 or to provide any additional information.

Inspection Employer identification number

13-5598093

Name of the organization The Trustees of Columbia University in the City of New York

Return **Identifier** Explanation Reference THE UNIVERSITY'S PRESIDENT, A VOTING MEMBER OF THE BOARD, IS NOT COUNTED AS INDEPENDENT BECAUSE HE IS AN EMPLOYEE OF THE UNIVERSITY IN ACCORDANCE WITH THE FORM 990 REPORTING INSTRUCTIONS, THE UNIVERSITY HAS ALSO NOT COUNTED AS INDEPENDENT THE FOUR TRUSTEES WHO SERVE AS OFFICERS OR DIRECTORS OF ENTITIES LISTED AS INTERESTED PERSONS ON SCHEDULE L NOR THE TRUSTEE LISTED ON SCHEDULE J WHO IS RETIRED FROM THE UNIVERSITY AND RECEIVED PREVIOUSLY-ACCRUED COMPENSATION DURING THE REPORTING PERIOD BASED UPON HIS PRIOR UNIVERSITY EMPLOYMENT FORM 990, PART I, LINE 6 VOLUNTEERS THE NUMBER SHOWN IS AN ESTIMATE OF ALUMNI VOLUNTEERS WHO WORK WITH THE UNIVERSITY'S CENTRAL ALUMNI OFFICE ADDITIONAL VOLUNTEERS WORK IN MANY OF THE UNIVERSITY'S SCHOOLS, CENTERS AND OTHER PROGRAMS FORM 990, PART V, LINE 4B FOREIGN COUNTRY LIST BANGLADESH CHINA ETHIOPIA FRANCE GERMANY INDIA ITALY COTE D'IVOIRE (IVORY COAST) JORDAN KAZAKHSTAN KENYA LESOTHO MOZAMBIQUE NIGERIA RWANDA SOUTH AFRICA SWAZILAND TANZANIA UNITED KINGDOM (ENGLAND, NORTHERN IRELAND, SCOTLAND, AND WALES) FORM 990, PART V, LINES 7G AND 7H CONTRIBUTIONS OF CERTAIN TYPES OF PROPERTY DURING THE YEAR ENDED JUNE 30, 2009, THE UNIVERSITY DID NOT RECEIVE ANY CONTRIBUTIONS OF QUALIFIED INTELLECTUAL PROPERTY, CARS, BOATS, AIRPLANES, OR OTHER VEHICLES AS A RESULT, THE UNIVERSITY WAS NOT REQUIRED TO FILE FORMS 8899 OR 1098-C TO REPORT SUCH CONTRIBUTIONS FORM 990, PART VI, LINE 2 ARMEN AVANESSIANS AND ESTA STECHER -BUSINESS RELATIONSHIP ANN KAPLAN AND ESTA STECHER - BUSINESS RELATIONSHIP FORM 990, PART VI, QUESTION 10 DESCRIBE THE PROCESS USED BY MANAGEMENT &/OR GOVERNING BODY TO REVIEW 990 A SUBCOMMITTEE COMPRISED OF MEMBERS OF TRUSTEES AUDIT COMMITTEE REVIEWED THE FORM 990 FOLLOWING THAT REVIEW, THE FORM 990 WAS MADE AVAILABLE TO ALL TRUSTEES VIA A SECURE WEBSITE AND THEN PRESENTED TO THE FULL BOARD OF TRUSTEES AT MEETING ON APRIL 29, 2010 CHANGES MADE. SUBSEQUENT TO THE APRIL 29 MEETING WERE REPORTED TO THE SUBCOMMITTEE, AND ALL TRUSTEES WERE NOTIFIED OF THE CHANGES VIA ELECTRONIC MAIL THE REVISED FORM WAS POSTED ON THE SECURE WEBSITE FOR TRUSTEE REVIEW PRIOR TO FILING FORM 990, PART VI, QUESTION 12C DESCRIPTION OF PROCESS TO MONITOR TRANSACTIONS FOR CONFLICTS OF INTEREST ALL TRUSTEES ARE SUBJECT TO THE "STATEMENT OF CONFLICT OF INTEREST POLICY FOR THE TRUSTEES OF COLUMBIA UNIVERSITY," AND ARE REQUIRED TO DISCLOSE ANNUALLY INTERESTS THAT COULD GIVE RISE TO CONFLICTS THE DISCLOSURES ARE REVIEWED BY CHAIR OF THE BOARD OF TRUSTEES OR HIS OR HER DESIGNEE FOR APPROPRIATE ACTION IN ADDITION, WHEN A TRUSTEE BECOMES AWARE OF AN EXISTING OR POTENTIAL CONFLICT OF INTEREST, HE OR SHE HAS A DUTY TO (A) DISCLOSE THE CIRCUMSTANCES TO THE BOARD CHAIR, APPROPRIATE COMMITTEE CHAIR OR THE PRESIDENT, (B) REFRAIN FROM ACTING TO INFLUENCE ANY DECISION WHICH MIGHT IN IMPROPERLY BENEFIT THE TRUSTEE OR A FAMILY MEMBER, AND (C) RECUSE HIMSELF OR HERSELF FROM PARTICIPATION IN ANY DISCUSSIONS OR DECISIONS ABOUT THE POTENTIAL TRANSACTION OR ACTIVITY ALL OFFICERS AND KEY EMPLOYEES ARE SUBJECT TO THE "STATEMENT OF UNIVERSITY POLICY ON CONFLICTS OF INTEREST," AND ARE REQUIRED TO DISCLOSE ANNUALLY INTERESTS THAT COULD GIVE RISE TO CONFLICTS THESE DISCLOSURES ARE REVIEWED BY A COMMITTEE THROUGH A FORMAL ADMINISTRATIVE PROCESS ANY DISCLOSURES THAT COULD GIVE RISE TO CONFLICTS AS IDENTIFIED THROUGH SUCH REVIEW ARE REPORTED TO AN APPROPRIATE SENIOR OFFICER ANY SUBSTANTIVE CONCERNS ARE ALSO REPORTED TO THE CHAIR OF THE TRUSTEES AUDIT COMMITTEE AND/OR THE CHAIR OF THE BOARD OF TRUSTEES FORM 990, PART VI LINE 14 DOCUMENTATION RETENTION **FORM** POLICY THE UNIVERSITY'S BOOKS AND RECORDS RELEVANT TO ITS TAX EXEMPTION AND FILINGS WITH THE 990, PART INTERNAL REVENUE SERVICE AND OTHER FINANCIAL RECORDS ARE MAINTAINED IN THE UNIVERSITY'S I, LINE 4 CONTROLLER'S OFFICE CONSISTENT WITH APPLICABLE LAW OTHER BOOKS AND RECORDS ARE MAINTAINED BY AND PART THE APPROPRIATE UNIVERSITY OFFICE CONSISTENT WITH APPLICABLE LAW THE UNIVERSITY'S LONG-STANDING VI, LINE POLICIES AND PRACTICES WITH RESPECT TO DOCUMENT RETENTION AND DESTRUCTION ARE BEING REDUCED TO 1B WRITING FORM 990, PART VI, QUESTIONS 15A AND 15B THE UNIVERSITY FOLLOWS THE REVIEW PROCESS DESCRIBED BELOW FOR ALL OFFICERS AND KEY EMPLOYEES THIS PROCESS HAS BEEN IN PLACE SINCE AT LEAST 2000 THE COMPENSATION FOR THE ORGANIZATION'S PRESIDENT IS REVIEWED BY A COMPENSATION COMMITTEE OF INDEPENDENT TRUSTEES AND APPROVED BY THE INDEPENDENT TRUSTEES ON THE FULL BOARD THE COMPENSATION OF OTHER OFFICERS AND KEY EMPLOYEES IS REVIEWED AND APPROVED BY THE COMPENSATION COMMITTEE OF INDEPENDENT TRUSTEES IN CONNECTION WITH THE PRESIDENT'S COMPENSATION, THE COMPENSATION COMMITTEE AND THE BOARD REVIEW COMPARABILITY DATA AND MINUTES OF DELIBERATIONS ARE RECORDED CONTEMPORANEOUSLY WITH ANY ACTION WITH RESPECT TO OTHER OFFICERS AND KEY EMPLOYEES, THE COMMITTEE FOLLOWS THE SAME PROCESS FOR REVIEW OF COMPARABILITY DATA AND CONTEMPORANEOUS DOCUMENTATION OF ITS ACTIONS IN THE CASE OF THE ORGANIZATION'S PRESIDENT AND CERTAIN OTHER OFFICERS AND KEY EMPLOYEES, THE ORGANIZATION HAS ALSO CONSULTED WITH INDEPENDENT COMPENSATION CONSULTANTS IN DETERMINING COMPENSATION THE COMPENSATION OF THE PRESIDENT AND THE EXECUTIVE VICE PRESIDENT OF THE INVESTMENT MANAGEMENT COMPANY IS ALSO REVIEWED AND APPROVED BY A COMPENSATION COMMITTEE OF THE INVESTMENT MANAGEMENT COMPANY'S BOARD OF MANAGERS SUCH COMPENSATION COMMITTEE IS COMPRISED OF INDEPENDENT MEMBERS OF THE ORGANIZATION'S BOARD OF TRUSTEES FORM 990, PART VI, QUESTION 19 AVAIL OF GOV DOCS, CONFLICT OF INTEREST POLICY, & FIN STMTS TO GEN PUBLIC THE ORGANIZATION'S CHARTER AND STATUTES, FINANCIAL STATEMENTS AND THE "STATEMENT OF UNIVERSITY POLICY ON CONFLICTS OF INTEREST" ARE AVAILABLE ON THE ORGANIZATION'S WEBSITE AT WWW COLUMBIA EDU FORM 990 PART VIII ROYALTY INCOME/EXPENSE THE UNIVERSITY PERIODICALLY FUNDS AND DEVELOPS PATENTS FOR CERTAIN TECHNOLOGIES. THEN LICENSES THE USAGE OF THESE PATENTS TO COMPANIES OVER SEVERAL YEARS THE REVENUE, NET OF PAYMENTS DUE TO THIRD PARTIES, IS RECORDED IN "REVENUE FROM OTHER EDUCATIONAL AND RESEARCH ACTIVITIES" IN THE CONSOLIDATED STATEMENT OF ACTIVITIES COSTS INCURRED. WITH DEVELOPING AND MAINTAINING THESE PATENTS ARE EXPENSED AS INCURRED SO THAT WHILE ROYALTIES ARE PAID TO FACULTY AND OTHERS IN CERTAIN CIRCUMSTANCES THESE AMOUNTS ARE NOT REFLECTED IN THE STATEMENT OF FUNCTIONAL EXPENSES FORM 990, PART VIII, LINE 8 AND SCHEDULE G, PART II DESCRIPTION OF A CCOUNTING FOR FUNDRAISING EVENTS AMOUNTS REPORTED FOR FUNDRAISING EVENTS REPRESENT REVENUE AND EXPENSES THAT ARE SEPARATELY TRACKED THE REVENUE AND EXPENSES FROM ADDITIONAL FUNDRAISING ACTIVITIES ARE NOT SEPARATELY TRACKED AND ARE INCLUDED WITHIN CONTRIBUTION REVENUE AND FUNDRAISING EXPENSES FORM 990, PART IX, LINE 11F INVESTMENT MANAGEMENT FEES THE INVESTMENT MANAGEMENT FEES REPORTED ON THE STATEMENT OF FUNCTIONAL EXPENSES REPRESENT FEES TO THIRD PARTY INVESTMENT MANAGERS AND INCLUDE MANAGEMENT FEE AND PERFORMANCE FEE EXPENSES ASSOCIATED WITH SEPARATELY MANAGED ACCOUNTS INVESTMENT MANAGEMENT FEES ASSOCIATED WITH FUND INVESTMENTS ARE REPORTED NET AGAINST INVESTMENT INCOME AND THEREFORE ARE NOT INCLUDED IN THE STATEMENT OF FUNCTIONAL EXPENSES FORM 990, PART X, LINE 7 NOTES AND LOANS RECEIVABLE LOANS RECEIVABLE FROM STUDENTS TOTAL \$92,772,000 LOANS RECEIVABLE FROM NON-KEY EMPLOYEES TOTAL \$20,943,415 THE LOANS HAVE VARIOUS INTEREST RATES RANGING FROM 2 23% TO 6 5%, WITH MATURITY DATES RANGING FROM 2008 THROUGH 2039 THE OUTSTANDING BALANCES RANGE FROM \$18,600 TO \$2,118,500 AND ARE GENERALLY SECURED BY A PRINCIPAL RESIDENCE LOANS RECEIVABLE FROM OTHER 501 (C)(3) ORGANIZATIONS TOTAL \$1,943,987

Identifier	Return Reference	Explanation
FORM 990, PART XI, LINE 2	AUDIT OF THE UNIVERSITY'S FINANCIAL STATEMENTS	THE CONSOLIDATED FINANCIAL STATEMENTS OF THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEWY YORK ARE AUDITED BY PRICEWAT TERHOUSECOOPERS, AN INDEPENDENT AUDITOR THE UNIVERSITY OR NEW YORK ARE AUDITED STATES. AND AUDITED STATES. THE CONSOLIDATED GROUP THE UNIVERSITY'S BOARD OF TRUSTEES HAS AN AUDIT COMMITTEE. COMPRISED OF INDEPENDENT TRUSTEES, AND WILLIAM SESTITY'S BOARD OF TRUSTEES HAS AN AUDIT COMMITTEE, COMPRISED OF INDEPENDENT TRUSTEES, AND WHICH IS RESPONSIBLE FOR OVERSIGHT OF THE AUDIT, REVIEW, AND COMPILATION OF THE FINANCIAL STATEMENTS AND SELECTION OF THE INDEPENDENT AUDITOR NOTES TO SCHEDULE I UNLESS NOTICE, ALL AMOUNTS SHOWN ON SCHEDULE I REPRESENT APPROVED BY THE UNIVERSITY TO THE INTERSITED PERSON THE SPOUSES OF KEY EMPLOYEES/OFFICERS LISTED IN SCHEDULE I WERE EMPLOYES OF THE UNIVERSITY DURING THE REPORTING PERIOD THEIR COMPENSATION PRACTICES APPLICABLE TO SIMILARLY SITUATED EMPLOYEES, ALL OF THE BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS DESCRIBED IN SCHEDULE I, PART IN WEPE CONDUCTED IN THE ORDINARY COURSE OF BUSINESS AND AT ARMY LENGTH, AND NO UNIVERSITY OFFICIAL WHO WAS ALSO AN OFFICER OR DIRECTOR OF AN INTERESTED PERSONS DESCRIBED IN SCHEDULE I, PART IN WEPE CONDUCTED IN THE ORDINARY COURSE OF BUSINESS AND AT ARMY LENGTH, AND NO UNIVERSITY OFFICIAL WHO WAS ALSO AN OFFICER OR DIRECTOR OF AN INTERESTED PERSONS PARTICIPATED IN OR INFLUENCED THE UNIVERSITY SECSION ABOUT ANY TRANSACTIONS SCHEDULE R, PART I HAVE HOLD VERY AND ASSETS OFFICE REPORTING CERTAIN DISREGARDED ENTITIES LISTED IN SCHEDULE R, PART I HAVE HOLD VERY AND ASSETS OF THE UNIVERSITY SECSION AND ADDIT ANY TRANSACTIONS SCHEDULE R, PART I HAVE HOLD VERY AND ASSETS OFFICE REPORTING CERTAIN DISREGARDED ENTITIES LISTED IN SCHEDULE R, PART I HAVE HOLD VERY AND SCHEDULE R, PART I HAVE HOLD VERY AND SCHEDULE R. PART I OLD OWNESS HOURS AND SCHEDULE R. PART I NOW, SHARE OF TOTAL INC

**Identification of Disregarded Entities** 

(A) Name, address, and EIN of disregarded entity

DLN: 93493137052960

OMB No 1545-0047

Open to Public Inspection

# **Related Organizations and Unrelated Partnerships**

Department of the Treasury

in the City of New York

Part I

(Form 990)

**SCHEDULE R** 

Attach to Form 990. To be completed by organizations that answerd "Yes" to Form 990, Part IV, lines 33, 34, 35, 36, or 37. ► See separate instructions.

**(C)** Legal domicile (state

(D) Total income

(B)

Primary activity

Internal Revenue Service Name of the organization The Trustees of Columbia University

**Employer identification number** 

**(F)** Direct controlling

13-5598093

End-of-year assets

		or foreign country)			entity
See Additional Data Table					
Part II Identification of Related Tax-Exempt Organization	ions	_			
(A) Name, address, and EIN of related organization	<b>(B)</b> Primary activity	(C) Legal domicile (state or foreign country)	(D) Exempt Code section	(F) Public charity star (if section 501(c))	tus Direct controlling (3)) entity
See Additional Data Table					
For Paperwork Reduction Act Notice, see the Instructions for Form 990.	•	Cat No 5013	5 Y	•	Schedule R (Form 990) 2008

(A) Name, address, and EIN of related organization	<b>(B)</b> Primary activity	(C) Legal domicile (state or foreign country)	<b>(D)</b> Direct controlling entity	(E) Predominant income(related, investment, unrelated)	<b>(F)</b> Share of total income	<b>(G)</b> Share of end-of-year assets	(H) Disproprtionate allocations?		(I) Code V—UBI amount on Box 20 of K-1	Gen mar par	( <b>J)</b> eral or naging tner?
							Yes	No		Yes	No
AP MC Fund-I LP  Strategic Scenario Co BLDG 1F 2-39 Akasaka 5-Chome, Tokyo, Minato-Ku 107- 0052 JA 98-0504300	INVESTMENTS	JA	NA	INVESTMENTS	75	4,434,501		No			No
AP RX Fund-I LP  Strategic Scenario Co BLDG 1F 2-39 Akasaka 5-Chome, Tokyo, Minato-Ku 107- 0052 JA 98-0515907	INVESTMENTS	JA	NA	INVESTMENTS	280	12,380,912		No			No
Ment Energy Partners V LP 13727 Noel Road Suite 500 Dallas, TX75240 75-2411038	INVESTMENTS	тх	NA	INVESTMENTS	28,616,105	87,917,922		No			No
Universa Power Law Fund LP 1414 Second Street Suite 103 Santa Monica, CA90401 20-8371525	INVESTMENTS	CA	NA	INVESTMENTS	-1,787,632	0		No			No

## Part IV Identification of Related Organizations Taxable as a Corporation or Trust

(A) Name, address, and EIN of related organization	( <b>B)</b> Primary activity	(C) Legal domicile (state or foreign country)	<b>(D)</b> Direct controlling entity	(E) Type of entity (C corp, S corp, or trust)	(F) Share of total Income	<b>(G)</b> Share of end-of-year assets	<b>(H)</b> Percentage ownership
See Additional Data Table							

(6)

Part V	Transactions	with	Related	<b>Organizations</b>
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• Reimbursement paid to other organization for expenses

Reimbursement paid by other organization for expenses

**q** O ther transfer of cash or property to other organization(s)

 ${\bm r} \quad \hbox{O ther transfer of cash or property from other organization(s)} \\$ 

Note. Complete line 1 if any entity is listed in Parts II, III or IV		Yes	No
1 During the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a Receipt of (i) interest (ii) annuities (iii) royalties (iv) rent from a controlled entity	1a		No
<b>b</b> Gift, grant, or capital contribution to other organization(s)	1b	Yes	
c Gift, grant, or capital contribution from other organization(s)	1c		No
d Loans or loan guarantees to or for other organization(s)	1d		No
e Loans or loan guarantees by other organization(s)	1e		No
f Sale of assets to other organization(s)	1f		No
g Purchase of assets from other organization(s)	1g		No
h Exchange of assets	1h		No
i Lease of facilities, equipment, or other assets to other organization(s)	1i		No
j Lease of facilities, equipment, or other assets from other organization(s)	1j		No
k Performance of services or membership or fundraising solicitations for other organization(s)	1k		No
I Performance of services or membership or fundraising solicitations by other organization(s)	11		No
m Sharing of facilities, equipment, mailing lists, or other assets	1m		No
n Sharing of paid employees	1n		No

2 If the answer to any of the above is "Yes," see the instructions for information on w	no must complete this line, including covered relationship	s and transaction thresholds
(A) Name of other organization(s)	( <b>B</b> ) Transaction type(a-r)	<b>(C)</b> Amount Involved
(1) REID HALL	В	457,328
(2) COLUMBIA UNIVERSITY PRESS	В	1,589,200
(3) COLUMBIA OPHTHALMOLOGY CONSULTANTS	P	1,325,198
(4) COLUMBIA OPHTHALMOLOGY CONSULTANTS	В	1,075,583
(5) COLUMBIA UNIVERSITY HEALTHCARE	В	3,213,245
(6) CPMC FUND	R	35,432,570
(7) COL UNIV RETIREE MED & LIFE INS BENEFITS PL	Q	12,404,315
(1)		
See Additional Data Table (2)		
(-)		
(3)		
(4)		
(5)		

1p Yes

1r Yes

1q Yes

### Part VI Unrelated Organizations Taxable as a Partnership

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships

									_	
<b>(A)</b> Name, address, and EIN of entity	<b>(B)</b> Primary activity	<b>(C)</b> Legal domicile (state or foreign country)	(D) Are all partners section 501(c)(3) organizations?		<b>(E)</b> Share of end-of-year assets			20 of K-1		r J
			Yes	No		Yes	No		Yes	No
			•	•		•		Cabadul	D / Form	

**Additional Data Return to Form** Software ID: Software Version: **EIN:** 13-5598093 Name: The Trustees of Columbia University in the City of New York Form 990, Schedule R, Part I - Identification of Disregarded Entities (C) Legal Domicile (D) (F) (E) Name, address, and EIN of disregarded entity Primary Activity Total income Direct Controlling (State End-of-year assets or Foreign (\$) Entity (\$) Country) CIM I LLC 615 West 131st Street MC8741 INVEST HOLDNG 319,006,065 N/A DΕ 9,444,142 New York, NY 10027 13-3901851 CIM II LLC 615 West 131st Street MC8741 INVEST HOLDNG DΕ 0 O N/A New York, NY 10027 13-3901854 CIM III LLC 615 West 131st Street MC8741 INVEST HOLDNG DΕ 1,800,000 2,410,447 N/A New York, NY 10027 13-3901855 CIM IV LLC 615 West 131st Street MC8741 INVEST HOLDNG DΕ 139,093,422 N/A -15,533,427 New York, NY 10027 13-3901856 CIM V LLC 615 West 131st Street MC8741 14,276,124 16,142,034 N/A INVEST HOLDNG DΕ New York, NY 10027 13-3901861 CIM VI LLC 615 West 131st Street MC8741 INVEST HOLDNG DΕ 111,910 20,614,144 N/A New York, NY 10027 13-3901857 CIM VII LLC 615 West 131st Street MC8741 INVEST HOLDNG DΕ -22,515,532 O N/A New York, NY 10027 13-3901858 CIM VIII LLC 615 West 131st Street MC8741 INVEST HOLDNG DF 0 0 N/A New York, NY 10027 13-3901859 CIM IX LLC 615 West 131st Street MC8741 INVEST HOLDNG DΕ 0 0 N/A New York, NY 10027 13-3901860 CIM X LLC 615 West 131st Street MC8741 INVEST HOLDNG DΕ 0 0 N/A New York, NY 10027 13-3901862 CIM XI LLC 615 West 131st Street MC8741 INVEST HOLDNG DΕ O N/A -6,411,844 New York, NY 10027 13-3901863 CIM XII LLC 615 West 131st Street MC8741 4,890,337 N/A INVEST HOLDNG DΕ -12,309,537 New York, NY 10027 13-3901864 CIM XIII LLC 615 West 131st Street MC8741 INVEST HOLDNG DΕ 261,945 40,025,687 N/A New York, NY 10027 13-3901865 CIM XIV LLC 615 West 131st Street MC8741 INVEST HOLDNG DΕ 4,945,488 3,747,226 N/A New York, NY 10027 13-3901866 CIM XV LLC 615 West 131st Street MC8741 INVEST HOLDING 25,654,090 N/A DΕ 266,346 New York, NY 10027 13-3901868 CIM XVI LLC 615 West 131st Street MC8741 INVEST HOLDNG 67 N/A DΕ 224 New York, NY 10027 13-3951901 Columbia Digital Knowledge Ventures LLC 615 West 131st Street MC8741 NΥ 0 O N/A New Media New York, NY 10027 06-1576880 Columbia Investment Mgt Company Ilc 615 West 131st Street MC8741 INVESTMT MGT NY 0 O N/A New York, NY 10027 13-5598093 MSPH Ethiopia LLC 615 West 131st Street MC8741 HEALTHCARE PR NY0 805,974 N/A New York, NY 10027 13-5598093 MSPH Kenya LLC 615 West 131st Street MC8741 HEALTHCARE PR NY0 813,575 N/A New York, NY 10027 13-5598093 MSPH Lesotho LLC 615 West 131st Street MC8741 HEALTHCARE PR 114,480 N/A NY0 New York, NY 10027 13-5598093 MSPH Mozambique LLC 615 West 131st Street MC8741 HEALTHCARE PR 1,370,597 N/A NΥ 0 New York, NY 10027 13-5598093 MSPH Nigeria LLC 615 West 131st Street MC8741 HEALTHCARE PR NΥ 0 2,730,227 N/A New York, NY 10027 13-5598093 MSPH Rwanda LLC 615 West 131st Street MC8741 NY0 462,158 N/A HEALTHCARE PR New York, NY 10027 13-5598093 MSPH South Africa LLC 615 West 131st Street MC8741 HEALTHCARE RR ΝY 0 O N/A New York, NY 10027 13-5598093 MSPH Tanzania LLC 615 West 131st Street MC8741 811,063 N/A HEALTHCARE RR NΥ 0 New York, NY 10027 13-5598093 Columbia Global Centers LLC 412 Low Library 535 W 116th St MC Education NY0 238,056 N/A New York, NY 10027 13-5598093 Hither Property LLC 615 West 131st Street MC 8741 Real Estate DΕ 0 2,196,179 N/A New York, NY 10027 27-0201222 Columbia Aff Phys Indep Prctice Ass LLC 412 Low Memorial Library 535 W 116 PHYSICNS PRAC 85,360 N/A NΥ 85,420 New York, NY 10027 26-2162648 Col Univ Middle East Research CTR LLC 5 Mohd Al Sad Al-Batayneh St Education JO 655,506 N/A P O Box 144706, Amman 11814 885,939 JO Conquest Managed Futures Select Fund II 540 Madison Ave 20th Floor CIM V LLC 14,276,124 16,142,034 INVESTMENTS DΕ NEW YORK, NY 10022 000000000 Dynamo Brasil VI LLC Av Ataulfo de Paiva 1351-7 ANDAR INVESTMENTS 48,697,154 N/A So Paulo 3126 DE 0 BR 00000000 CIM XVII LLC 615 West 131st Street MC8741 INVEST HOLDNG DΕ 0 O N/A New York, NY 10027 14-1977498 CIM XVIII LLC 615 West 131st Street MC8741 INVEST HOLDNG 0 O N/A DE New York, NY 10027 14-1977500 CIM XIX LLC 615 West 131st Street MC8741 INVEST HOLDNG DΕ 0 O N/A New York, NY 10027 14-1977503 CIM XX LLC 615 West 131st Street MC8741 INVEST HOLDNG DΕ 0 O N/A New York, NY 10027 14-1977505 CIM XXI LLC 615 West 131st Street MC8741 INVEST HOLDNG DΕ 0 O N/A New York, NY 10027 14-1977507 BEIJING COLUMBIA INT'L CONSULTING CTR TOWERA TSINGHUA SCIENCEPARK CGC LLC EDUCATION 0 CHENGFURD, HAIDIAN 100084 СН 0 СН 000000000 COLUMBIA GLOBAL RESEARCH INITIATIVES LLC 412 LOW MEMORIAL LIBRARY **EDUCATION** NΥ 0 0 NEW YORK, NY 10027 00000000 MV RWANDA LLC PLOT 2106 RUE DU LAC MPANGA KIYOVU KIGAU NΥ 0 207,076 **HEALTH RESCH** RW 00000000 Hamiliton Forests LP CIM XIII LLC 15 PIEDMONT CTR STE 1250 INVESTMENTS DΕ 261,945 40,025,687 ATLANTA, GA 30305 000000000 Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations (C) (D) (E) Legal Domicile (F) (A) (B) Exempt Code Public charity Name, address, and EIN of related organization Primary Activity (State Direct Controlling section status or Foreign Entity (If 501(c)(3)) Country) ALLIANCE FOR COMMUNTY ENHANCEMENT AT CU NΑ 501(c)(3) 420 W 118th St Suite 1311 COMM OUTREACH NΥ 11A New York, NY10027 13-4159743 CIS I LLC NΑ TITLE HOLDING 615 West 131st Street MC8741 NΥ 501(c)(2) N/A NEW YORK, NY10027 13-3901821 CIS II LLC NΑ 615 West 131st Street MC8741 501(c)(2) N/A Title Holding NY NEW YORK, NY10027 13-3901826 COLUMBIA COMMUNITY SERVICE NΑ 301 Low Memorial Library 535 W 116 SUPPORT COMM NY501(c)(3) NEW YORK, NY10027 13-6168288 COLUMBIA OPHTHALMOLOGY CONSULTANTS INC NΑ 635 W 165th Street Room 218 Healthcare NY 501(c)(3) NEW YORK, NY10032 13-3908637 COL PRESBYTERIAN MEDICAL CTR FUND INC NΑ Fundraising 630 W 168th Street NY501(c)(3) 11A NEW YORK, NY10032 13-6162924 COLUMBIA UNIVERSITY HEALTHCARE INC NΑ 630 W 168th Street NΥ 501(c)(3) Healthcare NEW YORK, NY10032 13-3948652 COLUMBIA UNIVERSITY HONG KONG FUND NΑ GPO Box 5314 НΚ N/A N/A Fundraising Connaught Place НΚ 00000000 COLUMBIA UNIVERSITY PRESS NΑ 501(c)(3) ACADEMIC PUBL NY 11A 136 South Broadway Irvington, NY105332508 13-1623968 COLUNIV RETIREE MED&LIFE INS BNFITS TR NΑ 100 Low Memorial Library 535 W 116 BNFITS SUPPT NY501(c)(3) 11a NEW YORK, NY10027 13-3800106 MORNINGSIDE HEIGHTS LEGAL SERVICES INC NΑ CLINICAL EDU 435 W 116th St Box C16 Rm831 NY501(c)(3) 11a NEW YORK, NY100277201 51-0192355 REID HALL INC NΑ 205 Low Memorial Library 535 W116 EDU/CUL EXCH NΥ 501(c)(3) 11a NEW YORK, NY10027 13-1611126 REMEDCO INC NΑ 90 Morningside Dr COMM DEVLPMT NY 501(c)(4) N/A NEW YORK, NY10027 23-7152841 Centers for International Programs Inc NΑ 412 Low Memorial Library 535 W 116 EDU OUTREACH DΕ N/A N/A NEW YORK, NY10027 13-5598093 CENTERS FOR INTERNATIONAL PROJECTS TRUST APT 101 K-62 HAUZ KHAS ENCLAVE CTRS-INTL PR N/A N/A RESEARCH INNEW DELHI 110016 ΙN 00000000 COLUMBIA UK FUND LTD NΑ 615 West 131st Street MC8741 FUNDRAISING UK N/A N/A NEW YORK, NY10027 00000000 GLOBAL HEALTH RESEARCH CENTER OF ASIA NΑ 1255 AMSTERDAM AVE N/A RESEARCH DΕ N/A NEW YORK, NY10027 00000000 THE CLYDE AND HELEN WU FAMILY F

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1275 AUDOBON AVE

NEW YORK, NY10022

KABELE-03 H NO114

3225 GALOOWS RD

FAIRFAX, VA22037 54-1962639

1051 RIVERSIDE DR

13-3118570

13-6105775

22-3751732

NEW YORK, NY10032

350 PARK AVE 24TH FL

400 FORRESTAL ROAD

PRINCETON, NJ08540

NEW YORK, NY10022

38-3441481

13-6105414

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GROSSE POINTE PARK, MI48230

535 MODISON AVE 24TH FL

WILLIAM J MATHESON FOUNDATION INC

CENTER FOR NATL HLTH DEVELP IN ETHIOP

FOUNDATION FOR CTR FOR ENERGY MARINE

FRIENDS OF COLUMBIA PSYCHOANALYTIC CTR

COLUMBIA UNIVERSITY CLUB FOUNDATION INC

RESEARCH COLLECTIONS AND PRES CONSORTIUM

EDU SUPPORT

EDU SUPPORT

HEALTHCARE

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Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust									
(A) Name, address, and EIN of related organization	(B) Primary activity	<b>(C)</b> Legal Domicile (State or Foreign Country)	( <b>D</b> ) Direct Controlling Entity	(E) Type of entity (C corp, S corp, or trust)	(F) Share of total income (\$)	( <b>G)</b> Share of end-of-year assets (\$)	( <b>H)</b> Percentage ownership		
16 East 60th Street Corporation 615 West 131st Street MC 8741 New York, NY10027 13-3675222	Real Estate	NY	NA	C-Corp	0	0	100 %		
Columbia Pres Indepdt Practice Assoc 161 Fort Washington Ave Suite 132 New York, NY10032 13-3396165	Phys Practice	NY	NA	C-Corp	0	0	100 %		
Fathom Knowledge Network Inc 615 West 131st Street MC 8741 NEW YORK, NY10027 13-4054997	New Media	NY	NA	C-Corp	0	0	100 %		
Morningside Inc 311 Low Memorial Library NEW YORK, NY10027 06-1430120	Real Estate	NY	NA	C-Corp	0	0	100 %		
University Women's Realty Corp 408 Low Library MC 4336 NEW YORK, NY10027 13-2524803	Real Estate	NY	NA	C-Corp	0	0	100 %		
2301 12th Avenue Owners Corp c/o Columbia Univ 615 W 131st M NEW YORK, NY10027 99-1786950	Real Estate	NY	NA	C-Corp	0	0	100 %		
Freezer Box Inc c/o Columbia Univ 615 W 131st M NEW YORK, NY10027 99-1596696	Real Estate	NY	NA	C-Corp	0	0	100 %		
ASM Hudson River Fund (Asia Recovery FD) Walker House 87 Mary Street GEORGETOWN, GRAND CAYMAN KY1- 9002 CJ 00000000	INVESTMENTS	CJ	NA	REG MUTUAL FD	0	78,841,958	100 %		
FPCM Inflation-Linked Opportunities LTD 140 Broadway 18th Floor NEW YORK, NY10005 000000000	INVESTMENTS	CJ	CIM I LLC	FOREIGN CORP	9,444,142	319,006,065	100 %		
NCH Investors Fund (CU) Corp Ugland S Church St PO BOX 309 GEORGETOWN, GRAND CAYMAN KY1- 1104 CJ 000000000	INVESTMENTS	CJ	NA	FOREIGN CORP	0	1,590,589	100 %		
APIS GLOBAL DEEP VALUE OFFSHORE LTD A HOUSE 20 GENESIS H PO BOX 1344 GEORGETOWN, GRAND CAYMAN KY1- 1108 CJ 000000000	INVESTMENTS	CJ	N A	FOREIGN CORP	0	19,235,188	81 559 %		
COLUMBIA HEALTHCARE SERVICES INC	Healthcare	NY	NA	C-CORP	0	0	100 %		

	(A) Name of other organization	<b>(B)</b> Transaction type(a-r)	(C) A mount Involved (\$)
(1)	REID HALL	В	457,328
(2)	COLUMBIA UNIVERSITY PRESS	В	1,589,200
(3)	COLUMBIA OPHTHALMOLOGY CONSULTANTS	Р	1,325,198
(4)	COLUMBIA OPHTHALMOLOGY CONSULTANTS	В	1,075,583
(5)	COLUMBIA UNIVERSITY HEALTHCARE	В	3,213,245
(6)	CPMC FUND	R	35,432,570
(7)	COL UNIV RETIREE MED & LIFE INS BENEFITS PL	0	12 404 315