

See a Social Security Number? Say Something! Report Privacy Problems to https://public.resource.org/privacy Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990**

Department of the Treasury Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

► The organization may have to use a copy of this return to satisfy state reporting requirements

2008

Open to Public Inspection

A Fo	r the 2	2008 cal	endar yea	r, or tax year beginning	j 07-01-2008	and ending 06-30-20	09						
B Ch	eck ıf a _l	pplicable	Please	C Name of organization Roger Williams University	/				D Employer id	entification number			
☐ Add	dress ch	ange	use IRS label or	Doing Business As					05-027722 E Telephone n				
☐ Nai	me chai	nge	print or type. See	Doing business As					·				
Init	ıal retui	rn	Specific Instruc-		O box if mail is	not delivered to street add	ress) Room	/suite	(401) 254-	3886 ots \$ 218,165,000			
☐ Ter	mınatıo	n	tions.	One Old Ferry Road					d dioss receip	γ 218,103,000			
┌ Am	ended i	return		City or town, state or cou	untry, and ZIP +	4	 						
┌ App	olication	pending		Bristol, RI 02809									
		Ī	F Nam	ne and address of Princ	ipal Officer		H(a)	Is the	Ls a group returr	n for			
				C Noonan d Ferry Road				affilia		⊤Yes ▽ No			
				RI 02889			_ н(ы)	Δre all	affiliates includ	ed?			
I Ta	x-exem	pt status	▽ 501(c)	(3) ◀ (insert no)	947(a)(1) or 「	527				t See instructions)			
J W	eb sit	e: 🟲 www	rwu edu				H(c)	Grou	p Exemption Ni	umber ►			
К Тур	e of org	anızatıon	Corporati	ion trust association	other ►		L Yea	r of For	mation 1959 M	State of legal domicile RI			
Pa	rt I	Sumn	nary										
				e organization's missio	n or most sıg	nıfıcant actıvıtıes							
ጵ		Roger W	ıllıams Unı	iversity is a four year p	rıvate lıberal	arts University							
₩													
Governance	,	Charlet		.646			-6	h 2	T.O				
200			·	if the organization disc									
	1		_	nembers of the governi dent voting members o						20 16			
Activities &				nployees (Part V, line 2				•		3,247			
Ĭ	1			lunteers (estimate if ne					6	20			
Ş Ş	1			ted business revenue fi					7a	176,100			
				ness taxable income fr			7b	0					
								Prio	r Year	Current Year			
	8	Contrib	utions and	d grants (Part VIII, line			7,483,000	3,795,000					
흴	9	Progran	n service i	revenue (Part VIII, line	e 2g)	:	142,691,000	148,236,000					
Revent	10	Investr	ment incon	ne (Part VIII, column ((A), lines 3, 4	, and 7d)			8,421,000	-12,009,000			
—	11		•	art VIII, column (A), lı			2,741,000	1,701,000					
	12	Total re	evenue—a	dd lines 8 through 11 (i	must equal Pa	art VIII, column (A), lı	ne	:	161,336,000	141,723,000			
	13		and sımıla	ar amounts paid (Part I)	X, column (A)	, lines 1–3)			28,413,000	28,207,000			
	14	Benefit	s paid to o	r for members (Part IX	, column (A),	line 4)			0	0			
(6)	15		s, other co	mpensation, employee	benefits (Pai	t IX, column (A), lines	5 –		64 303 000	74 334 500			
Expenses	16-	10)			-l (A) l	- 11-)			64,283,000	74,324,500			
क्	16a			raising fees (Part IX, c					0				
五	17			penses, Part IX, column (D), Part IX, column (A), lır					53,952,000	F1 705 500			
	18			add lines 13–17 (must					146,648,000	51,795,500 154,327,000			
	19			enses Subtract line 18					14,688,000	-12,604,000			
88						<u>- </u>	В	eginni	ng of Year	End of Year			
Not Assets or Fund Balances	20	Totala	ssets (Par	t X, line 16)					276,576,000	282,968,000			
Ass Ba	21			Part X, line 26)					181,718,000	206,635,000			
<u> </u>	22		•	d balances Subtract III	ne 21 from lir	ne 20			94,858,000	76,333,000			
	1111		ture Blo						2 . , 2 2 2 , 2 2 2	, ,			
				rjury, I declare that I have e	examined this re	turn, including accompanyir	ng schedule:	s and s	tatements, and to	the best of my knowledge			
		and belie	f, it is true, o	correct, and complete Decla	ration of prepare	er (other than officer) is bas	sed on all in	format I	on of which prepa	rer has any knowledge			
Plea Sign		Signa	ture of office	er .				2010- Date	05-10				
Here		'						Dute					
			s C Noonan or print nam	Vice President for Finance e and title									
		Propagati	- k			Date	Check if		Preparer's PTIN	(See Gen Inst)			
Paid		Preparer's signature		e Warner		2010-04-30	self- empolyed	. —		,			
	arer's	Firm's nai	me (or yours	Batchelor Frechette Mc	<u> </u>	-							
Use (ıf self-em	ployed),)	EIN ▶								
	•	auuress, a	and ZIP + 4	40 Westminster Street		Phone no (401) 621-6200							
M	tha ID	C dia	o thio mater	Providence, RI 02903	own shares "	Coo instructions			1	✓ Yes No			
ויום אויו	riie TK:	uiscus:	s ums retui	rn with the preparer sho	owiiaDove′(S	see manuchons) .				1, 162 1 MO			

Part III Statement of Program Service Accomplishments (See the instructions.)

-	bliefly describe the of	ganization s mission			
See A	dditional Data Table				
2	the prior Form 990	or 990-EZ?		ch were not listed on	'es ✓ No
	If "Yes," describe th	nese new services on Sched	ule O		
3	Did the organization services?	n cease conducting or make	significant changes in how it conduc		res ✓ No
	If "Yes," describe th	nese changes on Schedule C			
4	Section 501(c)(3) a	and (4) organizations and 49	each of the organization's three larg 47(a)(1) trusts are required to repoi for each program service reported		
4a	(Code) (Expenses \$ 14	14,365,800 including grants of \$	28,267,563) (Revenue \$	149,496,737)
	Higher Education Pro for fiscal year 2009 wa	vide a comprehensive educational as approximately 3771 full-time ar	program divided into two undergraduate col d 574 part-time undergraduates plus 60 full-	leges(CAS and SCS) and five profes time and 186 part-time graduate s	ssional schools Enrollment tudents (4345 students)
4b	(Code) (Expenses \$	including grants of \$) (Revenue \$)
4c	(Code) (Expenses \$	ıncludıng grants of \$) (Revenue \$)
	Other programs	russes (December in Cabada)	20)		
4d	(Expenses \$	vices (Describe in Schedul O includir) (Revenue \$	0)
4e	Total program serv	vice expenses \$ 14	4,365,800 Must equal Part IX, Line	e 25, column (B).	

art IV	Checklist of	Required	Schedules
--------	--------------	----------	-----------

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1	Yes	
2	Is the organization required to complete Schedule B, Schedule of Contributors? 🕏	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3		No
4	Section 501(c)(3) organizations Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II	4	Yes	
5	Section $501(c)(4)$, $501(c)(5)$, and $501(c)(6)$ organizations. Is the organization subject to the section $6033(e)$ notice and reporting requirement and proxy tax? If "Yes," complete Schedule C, Part III	5		
6	Did the organization maintain any donor advised funds or any accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space,			
8	the environment, historic land areas or historic structures? If "Yes," complete Schedule D, Part II Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes,"	7		N o
•	complete Schedule D, Part III	8		Νο
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9		No
10	Did the organization hold assets in term, permanent,or quasi-endowments? If "Yes," complete Schedule D, Part 🕬	10	Yes	_
11	Did the organization report an amount in Part X, lines 10, 12, 13, 15, or 25? If "Yes," complete Schedule D,	11	Yes	
12	Parts VI, VIII, IX, or X as applicable	11		
	that was prepared in accordance with GAAP? If "Yes," complete Schedule D, Parts XI, XII, and XIII	12	Yes	
13	Is the organization a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	Yes	
14a	Did the organization maintain an office, employees, or agents outside of the U S ?	14a	Yes	
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the U S ? If "Yes," complete Schedule F, Part I	14b	Yes	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Part II	15		No
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Part III	16	Yes	
17	Did the organization report more than \$15,000 on Part IX, column (A), line 11e? If "Yes," complete Schedule G, Part I	17		No
18	Did the organization report more than \$15,000 total on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18		No
19	Did the organization report more than \$15,000 on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19		No
20	Did the organization operate one or more hospitals? If "Yes," complete Schedule H	20		Νο
21	Did the organization report more than \$5,000 on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21		No
22	Did the organization report more than \$5,000 on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5? If "Yes," complete Schedule J	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer questions 24b-24d and complete Schedule K. If "No," go to question 25	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		Νo
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		Νο
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		Νο
25a	Section $501(c)(3)$ and $501(c)(4)$ organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		No
b	Did the organization become aware that it had engaged in an excess benefit transaction with a disqualified person from a prior year? If "Yes," complete Schedule L, Part I	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, or substantial contributor, or to a person related to such an individual? If "Yes," complete Schedule L, Part III	27	Yes	

Part VI . . .

Part IV Checklist of Required Schedules (Continued)

			Yes	No
28	During the tax year, did any person who is a current or former officer, director, trustee, or key employee			
а	Have a direct business relationship with the organization (other than as an officer, director, trustee, or employee), or an indirect business relationship through ownership of more than 35% in another entity (individually or collectively with other person(s) listed in Part VII, Section A)? If "Yes," complete Schedule L, Part IV	28a	Yes	
b	Have a family member who had a direct or indirect business relationship with the organization? If "Yes," complete Schedule L, Part IV	28b	Yes	
c	Serve as an officer, director, trustee, key employee, partner, or member of an entity (or a shareholder of a professional corporation) doing business with the organization? If "Yes," complete Schedule L, Part IV.	28c	Yes	
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29		Νo
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M	30		Νο
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		Νο
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If</i> "Yes," complete Schedule N, Part II	32		Νo
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations section 301 7701-2 and 301 7701-3? If "Yes," complete Schedule R, Part I	33		Νo
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1	34	Yes	
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35		Νo
36	501(c)(3) organizations Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2	36		Νo
37	Did the organization conduct more than 5 percent of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R,	37		Νo

Pai	t V Statements Regarding Other IRS Filings and Tax Compliano	е				
					Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal					
	of U.S. Information Returns. Enter -0- if not applicable					
		1a	337			
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments t	o ven	dors and reportable	4-	V	
3 -	gaming (gambling) winnings to prize winners?			1c	Yes	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements filed for the calendar year ending with or within the year covered by this return	2a	3,247			
b	If at least one is reported in 2a, did the organization file all required federal employin Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this	nent t	ax returns?	2b	Yes	
3a	Did the organization have unrelated business gross income of \$1,000 or more durin return?			3a	Yes	
h	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Sch	• • edule i	· · · · · ·	3b	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a s			- 50	163	
Ta	over, a financial account in a foreign country (such as a bank account, securities ac account)?	_	•	4a	Yes	
b	If "Yes," enter the name of the foreign country UK					
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 , <i>Re Financial Accounts</i> .	port o	f Foreign Bank and			
5a	Was the organization a party to a prohibited tax shelter transaction at any time duri	ng the	tax year?	5a		Νο
ь	Did any taxable party notify the organization that it was or is a party to a prohibited	tax sh	nelter transaction?	5b		Νο
c	If "Yes," to 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exemp	t Entit	v Regarding Prohibited	35		
·	Tax Shelter Transaction?	•	· · ·	5с		
6a	Did the organization solicit any contributions that were not tax deductible? \cdot .			6a		Νο
b	If "Yes," did the organization include with every solicitation an express statement the were not tax deductible?	nat su	ch contributions or gifts	6b		
7	Organizations that may receive deductible contributions under section 170(c).					
а	Did the organization provide goods or services in exchange for any quid pro quo con more $^{\circ}$	trıbutı	on of \$75 or	7a	Yes	
b	If "Yes," did the organization notify the donor of the value of the goods or services p	rovide	d?	7b	Yes	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal prope	rty for	which it was required to			
_	file Form 8282?	•		7c		No
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay benefit contract?			7e		Νο
f	Did the organization, during the year, pay premiums, directly or indirectly, on a pers			7f		Νο
g	For all contributions of qualified intellectual property, did the organization file Form 8			7g		
_	For contributions of cars, boats, airplanes, and other vehicles, did the organization f					
	required?			7h		
8	Section $501(c)(3)$ and other sponsoring organizations maintaining donor advised funds a supporting organizations. Did the supporting organization, or a fund maintained by a sexcess business holdings at any time during the					
	year?			8		
9	Section $501(c)(3)$ and other sponsoring organizations maintaining donor advised funds.					
а	Did the organization make any taxable distributions under section 4966?			9a		
Ь	Did the organization make a distribution to a donor, donor advisor, or related person	· .		9b		
10	Section 501(c)(7) organizations. Enter					
а	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	Section 501(c)(12) organizations Enter					
	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b				
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in	ı lıeu d	of Form 1041?	12a		
	If "Yes," enter the amount of tax-exempt interest received or accrued during the	12b		· 		
	year		<u> </u>			

Section A. Governing Body and Management

No

Νo

Νo

Νo

Νo

Νo

Νo

Yes

Yes

3

4

5

6

7a

7Ь

Part VI Governance, Management, and Disclosure (Sections A, B, and C request information about policies not required by the Internal Revenue Code.)

	For each "Yes" response to lines 2-7 below, and for a "No" response to lines 8 or 9b below processes, or changes in Schedule O. See instructions.	, desc	ribe the ci	rcums	tances,	
1a	Enter the number of voting members of the governing body	1a			2	0
b	Enter the number of voting members that are independent	1b			1	6
2	Did any officer, director, trustee, or key employee have a family relationship or a but other officer, director, trustee, or key employee?			hip wi	th any	
3	Did the organization delegate control over management duties customarily performe	d by d	r under t	ne dire	ct	

ļ	Did the organization make any significant changes to its organizational documents since the prior Form filed?	990	was	
;	Did the organization become aware during the year of a material diversion of the organization's assets?			

supervision of officers, directors or trustees, or key employees to a management company or other person?

Does the organization have members or stockholders?	[
Does the organization have members, stockholders, or other persons who may elect one	or more members of the
governing body?	

D	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	•
	Did the organization contemporaneously document the meetings held or written actions undertaken during t	:he
	year by the following	

	year by the following													
а	the governing body?													

Ь	each committee with authority to act on behalf of the governing body?		•		•	•	•	•	•	•	•
ı	Does the organization have local chapters, branches, or affiliates? .			-							

b	If "Yes," does the organization have written policies and procedures governing the activities of such	ıch	пар	ters	5,
	affiliates, and branches to ensure their operations are consistent with those of the organization? .		•	•	•

Was a copy of the Form 990 provided to the organization's governing body before it was filed? A	ll o	rgan	ızat	tıon	s
must describe in Schedule O the process, if any, the organization uses to review the Form 990					

Is there any officer, director or trustee, or key employee listed in Part VII, Section A, who cannot	ot be	e re	acl	hed	lat
the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		•			

8a	Yes	
8b	Yes	
9a		Νo
9b		
10	Yes	
11		No

Section B. Policies

11

			Yes	No
12a	Does the organization have a written conflict of interest policy? If "No", go to line 13 \cdot .	12a	Yes	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	Yes	
13	Does the organization have a written whistleblower policy?	13	Yes	
14	Does the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision			
а	The organization's CEO, Executive Director, or top management official?	15a	Yes	
b	Other officers or key employees of the organization?	15b	Yes	
	Describe the process in Schedule O			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable Federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

- 17 List the States with which a copy of this Form 990 is required to be filed
- Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c) (3)s only) available for public inspection. Indicate how you make these available. Check all that apply own website. I another's website. I upon request
- Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public. See Additional Data Table
- 20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization

James C Noonan One Old Ferry Road Bristol, RI 02809 (401) 254-3323

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Section A Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Use Schedule J-2 if additional space is needed

Check this box if the organization did not compensate any officer, director, trustee or key employee

- * List all of the organization's **current** officers, directors, trustees (whether individuals or organizations) and key employees regardless of amount of compensation, and current key employees. Enter -0- in columns (D), (E), and (F) if no compensation was paid
- * List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- * List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- * List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

The check this box in the organization and i	(B) Average hours per week	(C) Position (check all that apply)							(E)	(F)
(A) Name and Title		Individual Trustee or Chrector	Institutional Trustee	Officei	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	Estimated amount of other compensation from the organization and related organizations
							-			

Part VII Continued

		(C) Position (check all that apply)					(E)	(F)		
(A) Name and Title	(B) Average hours per week	Institutional Trustee Individual Trustee or Prector		Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099MISC)	Reportable compensation from related organizations (W- 2/1099- MISC)	Estimated amount of other compensation from the organization and related organizations	
			-							
			-							
							\vdash			
								_		
1b Total			•				>	2,272,354	C	422,764

2 Total number of individuals (including those in 1a) who received more than \$100,000 in reportable compensation from the organization ►76

			Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee			
	on line 1a? If "Yes," complete Schedule J for such individual	3	Yes	
4	For any individual listed online 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such			
	ındıvıdual	4	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? If "Yes," complete Schedule J for such person			
	remarks to the organization 1, 765, complete seneage 5,6, such person 1	5		Νo

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization

(A) Name and business address	(B) Description of services	(C) Compensation
Bond Brothers 145 Spring Street Everett, MA 02149	Construction Contractors	13,338,583
Shawmut Design & Construction 560 Harrison Avenue Boston, MA 02118	Construction Contractor	7,664,217
Blakeslee Prestress Inc RTE 139 McDermott Drive Branford, CT 064500510	Concrete Contractor	3,383,360
Goody Clancy & Associates 420 Boylston Street Boston, MA 021163866	Architect	1,426,022
CB Utility Co Inc 99 Tupelo Street Bristol, RI 02809	Construction Contractor	1,385,814
Total number of independent contractors (including those if from the organization		34

VIII								
					(A) Total Revenue	(B) Related or Exempt Function Revenue	(C) Unrelated Business Revenue	(D) Revenue Excluded from Tax under IRC 512, 513, or 514
	1a	Federated can	npaigns 1a	0				
Contributions, gifts, grants and other similar amounts	ь	Membership d	ues	0				
gra	С	Fundraising ev	1b vents	0				
ig.			1 c					
ਭੂੰਛੂ	d		izations1d	0				
Sir. Siri	e	_	nts (contributions) 1e					
真更	f		tions, gifts, grants, and not included above	1,077,500				
	g	Noncash cont	1f rıbutıons ıncluded ın					
Sor			0					
	h	Total (Add line	es 1a-1f)	· · · · .	3,795,000			
ou.				Business Code				
eun	2a	Tuition		611,310	104,861,700	104,861,700	0	C
₽ĕ	Ь	Room & Board		611,310	33,625,800	33,625,800	0	0
16.6	c d	Student Fees		611,310	6,480,000	6,480,000	0	0
Şer	e	Conferences Summer Rentals		611,310 611,310	1,009,400	1,009,400	176,100	
Ē	f			·	2,083,000	1,972,300	178,100	110,700
Program Serwce Revenue		— Trother progr	ram service revenue		2,003,000	1,972,300		110,700
<u>*</u>	g 3	> \$ 148,236,0	es 2a-2f 000 come (including divid					
			amounts)		1,423,000	1,423,000	0	0
	4		estment of tax-exempt be	▶	269,000	269,000	0	0
				▶		·		
	5	Royalties .	() Dool		0	0	0	0
	6a	Gross Rents	(ı) Real	(II) Personal				
	ь	Less rental						
	С	expenses Rental income	0	0				
	d	or (loss) Net rental inco	ome or (loss)					
				▶				
	7a	Gross amount from sales of assets other	(1) Securities 62,741,000	(II) O ther 0				
	ь	than inventory Less cost or	76,442,000	0				
		other basis and sales expenses						
	С	Gain or (loss)	-13,701,000	0	12 701 000	12 701 000		
	d	Net gain or (lo	oss)	. ▶	-13,701,000	-13,701,000	0	0
	8a		from fundraising					
Other Revenue		of contribution 1c) See Part Attach Schedul	ns reported on line IV, line 18 le G if total exceeds	0				
<u>-</u>	b	, ,	xpensesb	0				
oth Oth	с		(loss) from fundraisi	ing events				
-	9a	Gross income activities See Complete Sched exceeds \$15,00	e part IV , line 19 dule G if total	,				
			а					
	b		xpensesb					
	С	Net income or	· (loss) from gaming a	activities 				
	10a	Gross sales o returns and al	f inventory, less lowances . a					
	b c	Net income or	goods sold b (loss) from sales of	inventory				
	11a	Miscellaneou		Business Code 900,099	1,099,400	0	0	1,099,400
	ь	Law School Re		812,930	223,700			223,700
	С	Student Service Student Service		451,211	377,900			377,900
				, -	0	0	0	0
	d e	All other rever	nue es 11a-11d	 \$ 1,701,000				
	12	8c,	a. Add lines 1h, 2g, 3	, 4, 5, 6d, 7d,	141,723,000	135,940,200	176,100	1,811,700
								Form 990 (2008

Page **9**

Part IX Statement of Functional Expenses

A	Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).								
Do r	not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses				
1	Grants and other assistance to governments and organizations in the U S See Part IV, line 21	0	0						
2	Grants and other assistance to individuals in the U.S. See Part IV, line 22	27,488,500	27,488,500						
3	Grants and other assistance to governments, organizations and individuals outside the U.S. See Part IV, lines 15 and 16	718,500	718,500						
4	Benefits paid to or for members	0	0						
5	Compensation of current officers, directors, trustees, and key employees	1,377,900	1,205,700	134,000	38,200				
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0	0	0	0				
7	Other salaries and wages	53,238,400	47,736,900	0	1,519,000				
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	3,945,000	3,535,200	297,300	112,500				
9	Other employee benefits	11,660,500	10,449,200	878,800	332,500				
10	Payroll taxes	4,102,700	3,676,500	309,200	117,000				
11	Fees for services (non-employees)								
а	Management	176,000	176,000	0	0				
b	Legal	288,200	288,200	0	0				
c	Accounting	103,700	103,700	0	0				
d	Lobbying	0	0	0	0				
e	Professional fundraising See Part IV, line 17	0			0				
f	Investment management fees	711,400	711,400	0	0				
g	Other	5,999,400	5,733,900	253,500	12,000				
12	Advertising and promotion	536,900	530,400	0	6,500				
13	Office expenses	2,831,700	2,280,100	195,300	356,300				
14	Information technology	1,935,600	1,458,700	457,600	19,300				
15	Royalties	3,400	3,400	0	0				
16	Occupancy	9,173,900	9,058,600	80,500	34,800				
17	Travel	1,489,300	1,342,500	111,000	35,800				
18	Payments of travel or entertainment expenses for any Federal, state or local public officials	0	0	0	0				
19	Conferences, conventions and meetings	711,200	657,800	49,400	4,000				
20	Interest	7,034,500	7,034,500	0	0				
21	Payments to affiliates	0	0	0	0				
22	Depreciation, depletion, and amortization	8,288,000	8,288,000	0	0				
23 24	Insurance	853,700	853,700	0	0				
а	Study Abroad	3,339,300	3,339,300	0	0				
ь	Student Expense	2,006,900	1,919,800	87,100	0				
c	Contract Services	1,321,900	1,321,900	0	0				
d	Library Materials	977,000	977,000	0	0				
e	Loss on Defeasance	704,500	704,500	0	0				
f	All other expenses	3,309,000	2,771,900	327,400	209,700				
25	Total functional expenses. Add lines 1 through 24f	154,327,000	144,365,800	7,163,600	2,797,600				
26	Joint Costs. Check if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				rm 990 (2008)				

Part X	Balance	Sheet

					(A) Beginning of year		(B End of	
	1	Cash—non-interest-bearing			1,263,000	1		1,232,000
	2	Savings and temporary cash investments				2		
	3	Pledges and grants receivable, net			3,783,000	3		1,874,000
	4	Accounts receivable, net			5,324,000	4	(6,554,000
	5	Receivables from current and former officers, directors, trustee other related parties Complete Part II of Schedule L				5		
	6	Receivables from other disqualified persons (as defined under spersons described in section 4958(c)(3)(B) Complete Part II of				6		
	7	Notes and loans receivable, net				7		
	8	Inventories for sale or use			111,000	8		88,000
22	9	Prepaid expenses and deferred charges			296,000	9		274,000
Assets	10a	Land, buildings, and equipment cost basis	10a	297,946,300				
4		Language Committee Committ	104	257,540,500	-			
	b	Less accumulated depreciation <i>Complete Part VI of</i> Schedule D	10b	93,835,300	131,420,000	10c	20-	4,111,000
	11	Investments—publicly traded securities			89,671,000	11	5	7,186,000
	12	Investments—other securities See Part IV, line 11 <i>Complete is Schedule D</i>	Part VI	I of		12		
	13	Investments—program-related See Part IV, line 11 $\it Complete of Schedule D$.	Part VI	II		13		
	14	Intangible assets			14			
	15	Other assets See Part IV, line 11 Complete Part IX of Schedule D		44,708,000	15	1	1,649,000	
	16	Total assets. Add lines 1 through 15 (must equal line 34)			276,576,000	16	28:	2,968,000
	17	Accounts payable and accrued expenses .			17,028,000	17	1;	3,192,000
	18	Grants payable		18				
	19	Deferred revenue	14,320,000	19	1;	3,825,000		
	20	Tax-exempt bond liabilities		117,222,000	20	150	0,103,000	
eS.	21	Escrow account liability Complete Part IV of Schedule D			21			
Liabilities	22	Payable to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified						
<u> </u>		persons Complete Part II of Schedule L				22		
	23	Secured mortgages and notes payable to unrelated third partie	s.		4,866,000	23		4,443,000
	24	Unsecured notes and loans payable				24		
	25	Other liabilities Complete Part X of Schedule D		28,282,000	25	2:	5,072,000	
	26	Total liabilities. Add lines 17 through 25			181,718,000	26	20	6,635,000
-se		Organizations that follow SFAS 117, check here ► 🔽 and com through 29, and lines 33 and 34.	lines 27					
anc	27	Unrestricted net assets			81,648,000	27	6:	9,399,000
Balance	28	Temporarily restricted net assets			8,477,000	28	;	3,207,000
<u> </u>	29	Permanently restricted net assets			4,733,000	29	;	3,727,000
Fund		Organizations that do not follow SFAS 117, check here 🕨 🗀 a	nplete					
<u> </u>		lines 30 through 34.						
	30	Capital stock or trust principal, or current funds			30			
Assets	31	Paid-in or capital surplus, or land, building or equipment fund			31			
	32	Retained earnings, endowment, accumulated income, or other f		32				
Ŋet	33	Total net assets or fund balances	94,858,000	33	7	6,333,000		
_	34	Total liabilities and net assets/fund balances	•		276,576,000	34	28:	2,968,000
Part XI Financial Statements and Reporting								
		F					Yes	No

Dart YT	Financial	Statements	and Reporting

1	Accounting method used to prepare the Form 990			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		Νο
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
c	If "Yes" to lines 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	Yes	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		Νο
b	If "Yes," did the organization undergo the required audit or audits?	3b		

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93493132000080

Employer identification number

OMB No 1545-0047

SCHEDULE A

(Form 990 or 990EZ)

Department of the Treasury Internal Revenue Service

Name of the organization

Public Charity Status and Public Support

To be completed by all section 501(c)(3) organizations and section 4947(a)(1)nonexempt charitable trusts. Attach to Form 990 or Form 990-EZ. See separate instructions.

Open to Public Inspection

Roger	William	ns University									
В-		D	fan Doblia C	barita Ctataa (ta ba aa		h a II. a			-027722		
	rt I			harity Status (to be con					Instruct	ions)	
	rgaili.			ation because it is (Please					(A) (:)		
1	<u> </u>	•		nurches, or association of ch			Section 1	./U(B)(1)((A)(I).		
2	<u> </u>			tion 170(b)(1)(A)(ii). (Attac							
3	<u> </u>	•	·	e hospital service organizati			-		• •		•
4	ļ		-	zation operated in conjuncti	on with a h	nospital de	escribed ii	n Section :	170(Ь)(1)	(A)(iii). E	nter the
	_	hospital's name, city, and state									
5	J	An organiza	An organization operated for the benefit of a college or university owned or operated by a governmental unit described in								
		Section 170	Section 170(b)(1)(A)(iv). (Complete Part II)								
6	Γ	A federal, s	state, or local go	overnment or governmental	unıt descr	ibed in Se	ct ion 170((b)(1)(A)	(v).		
7	Γ	An organiza	ation that norma	ally receives a substantial p	art of its s	support fro	m a govei	rnmental u	ınıt or fron	n the gene	ral public
		described i	n Section 170(b))(1)(A)(vi) (Complete Par	tII)						
8	Γ	A communi	ıty trust describ	oed in Section 170(b)(1)(A)	(vi) (Com	plete Par	tII)				
9	Γ	An organiza	ation that norma	ally receives (1) more than	331/3% o	fits supp	ort from co	ontribution	ıs, membe	rship fees	, and gross
		receipts fro	om activities rel	lated to its exempt functions	—subject	to certair	n exceptio	ns, and (2) no more	than 331/	3% of
		ıts support	from gross inve	estment income and unrelate	ed busines	s taxable	ıncome (l	ess sectio	on 511 tax	() from bu	sinesses
		acquired by	y the organization	on after June 30, 1975 See	Section 5	09(a)(2).	(Complete	e Part III)		
10	\sqcap	An organiza	atıon organızed	and operated exclusively to	test for p	ublic safe	ty See Se	ct ion 509((a)(4). (Se	ee instruc	tions)
11	Γ	An organiza	atıon organızed	and operated exclusively fo	r the bene	fit of, to p	erform the	functions	of, or to o	arry out t	he purposes of
				orted organizations describe						Section 5	09(a)(3). Check
		a T		type of supporting organiza			nes 11e t nally Integ		h d		III - Other
•	\vdash	·	• •	rtify that the organization is							
e	,	•		agers and other than one or			•			•	•
		section 50				,					
f		If the orgar	nızatıon receive	d a written determination fro	m the IRS	that it is	a Type I,	Type II o	r Type III	supportin	ig organizatio <u>n,</u>
		check this						6.11			Г
g		following pe		as the organization accepted	d any gift (or contrib	ution from	any of the	!		
				r indirectly controls, either a	alone or to	gether wi	th persons	describe	d ın (ıı)		Yes No
			·	ng body of the the supported		_	•			11g	-
			· -	erson described in (i) above	_					11g(
				ty of a person described in (oove?				11g(
h				mation about the organizatio			supports				
			3		3						
	(i) Na	ame of	(ii) EIN	(iii) Type of organization	(iv) I	s the	(v) Did y	ou notify	(vi) I	s the	(vii) A mount of
	Supported		` ´	(described on lines 1-9		atıon ın	l 1	nızatıon	l	atıon ın	support?
	0 rgan	nization		above or IRC section	col (i)) of your	col (i) o		
				(See Instructions))	your go	-	supp	ort?	In the	US?	
		document? Yes No Yes No Yes No				-					
					Yes	No	res	No	Yes	No	
											i

Total

Part II	Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
	(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Pι	ıblic Support		, ,	,						
Cale	endar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e)	2008	(f) Total		
1	Gifts, grants, contributions, and									
	membership fees received (Do not									
	include any "unusual grants ")									
2	Tax revenues levied for the organization's									
	benefit and either paid to or expended on									
_	its behalf The value of services or facilities					 				
3	furnished by a governmental unit to the									
	organization without charge									
4	Total. Add line 1-3					1				
5	The portion of total contribution by each									
5	person (other than a government unit or									
	publicly supported organization) included									
	on line 1 that exceed 2% of the amount									
	shown on line 11, column									
	· (f)									
6	Public Support subtract line 5 from line									
	4									
Total Support										
	endar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) :	2008	(f) Total		
7	A mounts from line 4									
8	Gross income from interest, dividends,									
	payments received on securities loans,									
	rents, royalties and income from similar									
_	sources									
9	Net income from unrelated business									
	activities, whether or not the business is regularly carried on									
10	Other income Do not include gain or loss									
10	from the sale of capital assets (Explain in									
	Part IV)									
11	Total Support (Add lines 7 through 10)									
12	Gross receipts from related activities, etc	(See instructio	ns)		•	12				
13	First Five Years. If the Form 990 is for the	organization's f	irst second thu	d fourth or fifth	ntay vearas a F		3)			
	organization, check this box and stop here		mat, second, tim	u, rouren, or mer	rtax year as a s	/O1(C)(C	• •	▶ □		
								•		
Co	omputation of Public Support Perc	entage								
14	Public Support Percentage for 2008 (line 6	5 column (f) dıvı	ded by line 11 c	olumn (f))		14				
15	Public Support Percentage for 2007 School	dule A , Part IV -	A, line 26f			15				
16a	33 1/3% Test - 2008. If the organization di	d not check the	box on line 13.	and line 14 is 3	3 1/3% or more.		this box			
	and stop here. The organization qualifies a				,			▶ □		
b	33 1/3% Test - 2007. If the organization d				15 is 33 1/3% d	r more,	check th			
	box and stop here. The organization qualifies as a publicly supported organization									
17a	10% Facts and Circumstances Test - 2008. If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or									
	more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the							· —		
	organization meets the "facts and circums							► □		
Ь	10% Facts and Circumstances Test - 2007.									
	more, and if the organization meets the "fa		•					_		
4.0	the organization meets the "facts and circu							n ▶		
18	Private Foundation. If the organization did	not check the b	oux on line 13, 1	oa, 160, 1/a or	1/D, check this	oox an	u see	▶ □		
	ınstructions							F-1		

Pa	Support Schedule for On (Complete only if you ched				(2)		
	ction A. Public Support		_	,			
Cale	ndar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
1	Gifts, grants, contributions, and						
	membership fees received (Do not						
2	include any "unusual grants ") Gross receipts from admissions,						
2	merchandise sold or services performed,						
	or facilities furnished in any activity that						
	is related to the organization's tax-						
	exempt purpose						
3	Gross receipts from activities that are						
	not an unrelated trade or business under						
	section 513						
4	Tax revenues levied for the						
	organization's benefit and either paid to						
	or expended on its behalf						
5	The value of services or facilities						
	furnished by a governmental unit to the						
_	organization without charge						
6	Total Add lines 1-5						
7a	A mounts included on lines 1, 2, and 3						
	received from disqualified persons Amounts included on lines 2 and 3						
D	received from other than disqualified						
	persons that exceed the greater of 1% of						
	the total of lines 9, 10c, 11, and 12 for						
	the year or \$5,000						
c	Total of lines 7a and 7b						
8	Public Support (Substract line 7c from						
_	line 6)						
То	tal Support						
Cale	ndar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
9	A mounts from line 6						
10a	Gross income from interest, dividends,						
	payments received on securities loans,						
	rents, royalties and income from similar						
	sources						
b	Unrelated business taxable income (less						
	section 511 taxes) from businesses						
	acquired after 30 June, 1975		+				
С	Add lines 10a and 10b						
11	Net income from unrelated business						
	activities not included in line 10b, whether or not the business is regularly						
	carried on						
12	Other income Do not include gain or loss						
	from the sale of capital assets						
	(Explain in Part IV)						
13	Total Support (Add lines 9, 10c, 11 and						
	12)						
14	First Five Years If the Form 990 is for the	organızatıon's fı	rst, second, thir	d, fourth, or fifth	ntax year as a 5	01(c)(3) organı	zation,
	check this box and stop here						▶ □
	monutation of Dublic Compant Days						
15	mputation of Public Support Perc Public Support Percentage for 2008 (line		dod by line 12 o	olumn (fl)		T 4= T	
			•	.orumin (1))		15	
16	Public Support Percentage for 2007 Schedule A, Part IV-A, line 27g						
		D					
Co	mputation of Investment Income Investment Income Percentage for 2008 (ne 13 column /f	<u> </u>	17	
				-	"	17	
ΤQ	Investment Income Percentage from 2007	ocnequie A , Pa	TLIV-A, IINE 2/	H		18	

19a 33 1/3% Tests - 2008. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line

17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization **33 1/3% Tests - 2007.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization

Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions

Schedule A (Form 990 or 990-EZ) 2008

▶□

Part IV	Supplemental Information. Complete this part to provide the information required by Part II, line 10; Part II, line 17a or 17b, or Part III, line 12. Provide and any other additional information. (see instructions)						
	Facts and Circumstances Test						

Schedule A (Form 990 or 990-EZ) 2008

OMB No 1545-0047

SCHEDULE C

(Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Political Campaign and Lobbving Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

To be completed by organizations described below. Attach to Form 990 or Form 990-EZ

Open to Public Inspection

If the organization answered "Yes," to Form 990, Part IV, Line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities) Section 501(c)(3) organizations complete Parts I-A and B Do not complete Part I-C ◆ Section 501(c) (other than section 501(c)(3)) organizations complete Parts I-A and C below Do not complete Part I-B ◆ Section 527 organizations complete Part I-A only If the organization answered "Yes," to Form 990, Part IV, Line 4, or Form 990EZ, Part VI, line 47 (Lobbying Activities) ◆ Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) complete Part II-A Do not complete Part II-B ◆ Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A If the organization answered "Yes," to Form 990, Part IV, Line 5 (Proxy Tax) ◆ Section 501(c)(4), (5), or (6) organizations complete Part III Name of the organization Employer identification number Roger Williams University 05-0277222 Part I-A To be completed by all organizations exempt under section 501(c) and section 527 organizations. (See the instructions for Schedule C for details.) Provide a description of the organization's direct and indirect political campaign activities in Part IV 2 Political expenditures Volunteer hours Part I-B To be completed by all organizations exempt under section 501(c)(3). (See the instructions for Schedule C for details.) 1 Enter the amount of any excise tax incurred by the organization under section 4955 2 Enter the amount of any excise tax incurred by organization managers under section 4955 □ No If the organization incurred in a section 4955 tax, did it file Form 4720 for this year? □ No Was a correction made? If "Yes," describe in Part IV Part I-C To be completed by all organizations exempt under section 501(c), except section 501(c)(3). (See the instructions for Schedule C for details.) Enter the amount directly expended by the filing organization for section 527 exempt function activities 1 2 Enter the amount of the filing organization's internal funds contributed to other organizations for section 527 exempt funtion activities Total of direct and indirect exempt function expenditures Add lines 1 and 2 and enter here and on Form 1120-POL, line 17b Did the filing organization file Form 1120-POL for this year?

(a) Name	(b) Address	(c) EIN	(d) A mount paid from filing organization's internal funds If none, enter - 0 -	(e) A mount of political contributions received and promptly and directly delivered to a separate political organization If none, enter - 0-

State the names, addresses and Employer Identification Number (EIN) of all section 527 political organizations to which payments were made Enter the amount paid and indicate if the amount was paid from the filing organization's own internal funds or were political contributions received and promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV

d Grassroots non-taxable amount

f Grassroots lobbying expenditures

e Grassroots ceiling amount (150% of line d, column (e))

P	art II-A To be completed by (election under sec						768	
	Check If the filing organization	belongs to an affili	ated group					
<u>B</u>	Check If the filing organization Limits on Lo (The term "expenditure	bbying Expend	litures—		oly	(a) Filing Organization's Totals	(b) Affiliated Group Totals	
1a	Total lobbying expenditures to influe	nce public opinion	(grass roots lob	bying)				
ь	Total lobbying expenditures to influe	nce a legislative b	ody (direct lobby	yıng)				
c	Total lobbying expenditures (add line	es 1a and 1b)						
d	Other exempt purpose expenditures							
e	Total exempt purpose expenditures	(add lines 1c and 1	Ld)					
f	Lobbying nontaxable amount Enters columns— If the amount on line 1e, column (a) or (b) is: Not over \$500,000		taxable amount					
	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% o	of the excess over \$	500,000				
	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% o	of the excess over \$					
	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of	f the excess over \$1	1,500,000				
	Over \$17,000,000	\$1,000,000						
	Grassroots nontaxable amount (ente	er 25% of line 1f)						
h	Subtract line 1g from line 1a Enter	0- ıflıne g ıs more	than line a					
i	Subtract line 1f from line 1c Enter-	0- ıflıne fıs more t	than line c					
j	If there is an amount other than zero section 4911 tax for this year?	on either line 1h c	or line 11, did the	organization file	Form 4720 rep	porting Yes No		
	(Some organizations tha columns below.		on 501(h) el	ection do not	: have to cor		he five	
	Lobb	ying Expendit	ures During	4-Year Avera	ging Period			
	Calendar year (or fisca beginning in)	l year	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) Total	
_2a	Lobbying non-taxable amount							
	Lobbying ceiling amount (150% of line 2a, column(e))							
	: Total lobbying expenditures							

Schedule C (Form 990 or 990-EZ) 2008

Part II-B	To be completed by organizations exempt under section 501(c)(3) that have NOT filed Form
	5768 (election under section 501(h)). (See the instructions for Schedule C for details.)

Publications, or published or broadcast statements? Grants to other organizations for lobbying purposes? Direct contact with legislators, their staffs, government officials, or a legislative body? Yes 86, Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means? No Other activities If "Yes," describe in Part IV Total lines 1c through 1 Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? No If "Yes" enter the amount of any tax incurred under section 4912 If "Yes" enter the amount of any tax incurred by organization managers under section 4912			(a)		(b)	
legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of a Volunteers? b Paid staff or management (include compensation in expenses reported on lines c through i)? c Media advertisements? d Mailings to members, legislators, or the public? f Publications, or published or broadcast statements? f Grants to other organizations for lobbying purposes? Direct contact with legislators, their staffs, government officials, or a legislative body? f Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means? No i Other activities If "Yes," describe in Part IV No j Total lines 1c through 1			Yes	s No Ar	A mount	
b Paid staff or management (include compensation in expenses reported on lines c through i)? c Media advertisements? d Mailings to members, legislators, or the public? Publications, or published or broadcast statements? Grants to other organizations for lobbying purposes? Direct contact with legislators, their staffs, government officials, or a legislative body? Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means? Other activities If "Yes," describe in Part IV Total lines 1c through 11 Para Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? If "Yes" enter the amount of any tax incurred under section 4912 c If "Yes" enter the amount of any tax incurred by organization managers under section 4912	L	legislation, including any attempt to influence public opinion on a legislative matter or				
Media advertisements? Mailings to members, legislators, or the public? Publications, or published or broadcast statements? Grants to other organizations for lobbying purposes? Direct contact with legislators, their staffs, government officials, or a legislative body? Pes 86, Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means? No Other activities If "Yes," describe in Part IV Total lines 1c through Il Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? No If "Yes" enter the amount of any tax incurred under section 4912 If "Yes" enter the amount of any tax incurred by organization managers under section 4912	а	Volunteers?		Νo		
Mailings to members, legislators, or the public? Publications, or published or broadcast statements? Grants to other organizations for lobbying purposes? Direct contact with legislators, their staffs, government officials, or a legislative body? Yes 86, Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means? No Other activities If "Yes," describe in Part IV No Total lines 1c through 1 Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? No If "Yes" enter the amount of any tax incurred under section 4912 If "Yes" enter the amount of any tax incurred by organization managers under section 4912	b	Paid staff or management (include compensation in expenses reported on lines c through i)?		Νο		
Publications, or published or broadcast statements? Grants to other organizations for lobbying purposes? Direct contact with legislators, their staffs, government officials, or a legislative body? Yes 86, Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means? No Other activities If "Yes," describe in Part IV Total lines 1c through 1 Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? No If "Yes" enter the amount of any tax incurred under section 4912 If "Yes" enter the amount of any tax incurred by organization managers under section 4912	c	Media advertisements?		Νo		
f Grants to other organizations for lobbying purposes? Direct contact with legislators, their staffs, government officials, or a legislative body? Yes 86, Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means? No Other activities If "Yes," describe in Part IV No Total lines 1c through I Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? No If "Yes" enter the amount of any tax incurred under section 4912 If "Yes" enter the amount of any tax incurred by organization managers under section 4912	d	Mailings to members, legislators, or the public?	Yes		5,500	
p Direct contact with legislators, their staffs, government officials, or a legislative body? Pallies, demonstrations, seminars, conventions, speeches, lectures, or any other means? No Other activities If "Yes," describe in Part IV No Total lines 1c through Il Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? No If "Yes" enter the amount of any tax incurred under section 4912 If "Yes" enter the amount of any tax incurred by organization managers under section 4912	e	Publications, or published or broadcast statements?		Νo		
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means? i Other activities If "Yes," describe in Part IV No j Total lines 1c through 11 Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? No b If "Yes" enter the amount of any tax incurred under section 4912 c If "Yes" enter the amount of any tax incurred by organization managers under section 4912	f	Grants to other organizations for lobbying purposes?		Νo		
i Other activities If "Yes," describe in Part IV j Total lines 1c through 11 Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? No b If "Yes" enter the amount of any tax incurred under section 4912 c If "Yes" enter the amount of any tax incurred by organization managers under section 4912	g	Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		86,715	
Total lines 1c through 1 Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? No If "Yes" enter the amount of any tax incurred under section 4912 If "Yes" enter the amount of any tax incurred by organization managers under section 4912	h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means?		Νo		
11 2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? 15 If "Yes" enter the amount of any tax incurred under section 4912 16 If "Yes" enter the amount of any tax incurred by organization managers under section 4912	i	Other activities If "Yes," describe in Part IV		Νo		
b If "Yes" enter the amount of any tax incurred under section 4912 c If "Yes" enter the amount of any tax incurred by organization managers under section 4912	j				92,215	
c If "Yes" enter the amount of any tax incurred by organization managers under section 4912	2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		Νo		
	Ь	If "Yes" enter the amount of any tax incurred under section 4912				
	c	If "Yes" enter the amount of any tax incurred by organization managers under section 4912		Ī		
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?	d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?				

Part III-A To be completed by all organizations exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6). (See the instructions for Schedule C for details.)

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2		
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3		

Part III-B To be completed by all organizations exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, questions 1 and 2 are answered "No" OR if Part III-A, question 3 is answered "Yes." (See the instructions for Schedule C for details.)

2	Section 162(e) non-deductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
а	Current Year	2a \$	
b	Carryover from last year	2b \$	
c	Total	2c \$	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3 \$	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4 \$	
_	·	· *	
5	Taxable amount of lobbying and political expenditures (line 2c total minus 3 and 4)	5 \$	

Part IV Supplemental Information

Dues, assessments and similar amounts from members

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1; Also, complete this part for any additional information

Ident if ier	Return Reference	Explanation
SchC_P2B_S00_L01	Schedule C, Part II-B, Line 1	d) PSW helped draft letters to Members of Congress and U S Senators in support of RWU appropriations requests and executive agency grant requests PSW also assisted in drafting meeting request letters to federal officials on behalf of RWU staff, as well as letters to Secretary Clinton, President Obama, and Congressional representatives on behalf of Kareem Amer g) PSW engaged in direct contact (emails, phone calls, meetings) with members of the Rhode Island Congressional Delegation and executive agency staff (including those at State Department, Department of Justice, Department of Commerce) in support of RWU programs and regarding appropriations requests PSW also contacted Congressional representatives and staff regarding Kareem Amer

1 \$

Part IV Supplemental I	nformation				
Ident if ier	Return Reference	Explanation			

Schedule C (Form 990 or 990EZ) 2008

Aggregate value at end of year

OMB No 1545-0047

Open to Public Inspection

SCHEDULE D

(Form 990)

d

Department of the Treasury Internal Revenue Service

► Attach to Form 990. To be completed by organizations that answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.

Supplemental Financial Statements

Employer identification number Name of the organization Roger Williams University 05-0277222 Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6. (a) Donor advised funds (b) Funds and other accounts Total number at end of year Aggregate Contributions to (during year) 3 Aggregate Grants from (during year)

Did the organization inform all donors and donor advisors in writing that the assets held in donor advised ┌ No funds are the organization's property, subject to the organization's exclusive legal control?

Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor or other impermissible private benefit?

|--|

Purpose(s) of conservation easements held by the organization (check all that apply)								
\sqcap	Preservation of land for public use (e g , recreation or pleasure)	\sqcap	Preservation of an historically importantly land area					
\sqcap	Protection of natural habitat	Γ	Preservation of certified historic structure					
Γ	Preservation of open space							

Complete lines 2a-2d if the organization held a qualified conservation contribution in the form of a conservation easement

on the last day of the tax year

2a Total number of conservation easements h 2b Total acreage restricted by conservation easements c Number of conservation easements on a certified historic structure included in (a)

Held at the End of the Year 2с 2d

Number of conservation easements included in (c) acquired after 8/17/06 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during 3 the taxable year 🕨

Number of states where property subject to conservation easement is located

Does the organization have a written policy regarding the periodic monitoring, inspection, violations, and enforcement of the conservation easements it holds?

Staff or volunteer hours devoted to monitoring, inspecting and enforcing easements during the year 🕨

7 A mount of expenses incurred in monitoring, inspecting, and enforcing easements during the year 🕨 \$

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(ı) and 170(h)(4)(B)(ıı)?

☐ Yes

In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1

For Paperwork Reduction Act Notice, see the Intructions for Form 990

(ii) Assets included in Form 990, Part X

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

Revenues included in Form 990, Part VIII, line 1

► \$

Assets included in Form 990, Part X

Schedule D (Form 990) 2008

Cat No 52283D

cli	Organizations Maintaining Collections of Art	<u>, His</u>	tori	cal Treas	ures, or Othe	r Similar .	<u>Asse</u>	ts (co	ntınued)
3	Using the organization's accession and other records, check any items (check all that apply)	of th	e foll	owing that a	re a sıgnıfıcant u	se of its coll	ection	า	
а	Public exhibition	d	Γ	Loan or exc	hange programs				
b	Scholarly research	e	Γ	Other					
С	Preservation for future generations								
1	Provide a description of the organization's collections and expla Part XIV	ın hov	v the y	further the	organızatıon's ex	empt purpos	se in		
5	During the year, did the organization solicit or receive donations assets to be sold to raise funds rather than to be maintained as					ular	Γ	Yes	┌ No
Pai	Trust, Escrow and Custodial Arrangements.				anızatıon answ	ered "Yes"	to Fo	rm 9	90,
	Part IV, line 9, or reported an amount on Form 99	0, Pa	art X	, lıne 21.					
la	Is the organization an agent, trustee, custodian or other interme included on Form 990, Part X?	diary	for c	ontributions	or other assets r	not	Γ	Yes	┌ No
b	If "Yes," explain why in Part XIV and complete the following tabl	е							
							A mou	ınt	
C	Beginning balance				1 c				
d	Additions during the year				1d				
e	Distributions during the year				1e				
f	Ending balance				1f				
a	Did the organization include an amount on Form 990, Part X, line	21?					Γ	Yes	┌ No
Ь	If "Yes," explain the arrangement in Part XIV								
' a	rt V Endowment Funds. Complete if the organization	ans	were						
	(a)Current Year	(b) Prior	Year (c)T	wo Years Back (d)	Three Years Ba	ck (e	Four Ye	ears Back
а	Beginning of year balance 88,760,000								
b	Contributions								
C	Investment earnings or losses22,048,000								
d	Grants or scholarships								
е	Other expenditures for facilities 13,958,000 and programs								
f	Administrative expenses 0								
g	End of year balance								
-	Provide the estimated percentage of the year end balance held a	ıs							
а	Board designated or quasi-endowment ► 92 %								
ь	Permanent endowment ► 7 %								
	1.0/								
c la	Term endowment Important Term endowment Important Term endowment funds not in the possession of the organization.	ation 1	thata	re held and	administered for	the			
_	organization by							Yes	No
	(i) unrelated organizations					[3a(i)		Νo
	(ii) related organizations					🖺	Ba(ii)		No
	If "Yes" to 3a(II), are the related organizations listed as required						3b		
_	Describe in Part XIV the intended uses of the organization's end								
'a i	t VI Investments—Land, Buildings, and Equipme	nt. S							
	Description of investment			Cost or other (investment)	(b) Cost or other basis (other)	(c) Depreciat	ion	(d) Boo	ok value
а	Land			0	2,094,200				2,094,200
b	Buildings			0	234,427,000	49,425,	100	18	5,001,900
c	Leasehold improvements			0	3,461,800	1,050,	500		2,411,300
d	Equipment			0	57,963,300	43,359,	700	1	4,603,600
e	Other			0	0		0		(

204,111,000

uncertain tax positions under FIN 48

	ee Form 990, Part X, line 12.	(c) Method of value	lation
(a) Description of security or cateory (including name of security)	(b)Book value	Cost or end-of-year m	
Financial derivatives and other financial products			
Closely-held equity interests			
Other			
Total. (Column (b) should equal Form 990, Part X, col (B) line 12)	, <u>p.</u>		
Part VIII Investments—Program Related.	See Form 990, Part X, line 13		
(a) Description of investment type	(b) Book value	(c) Method of valu Cost or end-of-year m	
		Cost of ella-of-year ill	arket value
Total. (Column (b) should equal Form 990, Part X, col (B) line 13)	· •		
Part IX Other Assets. See Form 990, Part X		(b) Book value
Part IX Other Assets. See Form 990, Part X (a) Des	, line 15.	(b	
Part IX Other Assets. See Form 990, Part X (a) Des	, line 15.	(b) Book value 3,272,000 15,683,000
Part IX Other Assets. See Form 990, Part X (a) Des Unamortized Bond Issue Costs Deposits with Bond Trustees	, line 15.	(b	3,272,000
Part IX Other Assets. See Form 990, Part X (a) Des Unamortized Bond Issue Costs Deposits with Bond Trustees	, line 15.	(b	3,272,000 15,683,000
Part IX Other Assets. See Form 990, Part X (a) Des Unamortized Bond Issue Costs Deposits with Bond Trustees	, line 15.	(b	3,272,000 15,683,000
Part IX Other Assets. See Form 990, Part X (a) Des Unamortized Bond Issue Costs Deposits with Bond Trustees	, line 15.	(b	3,272,000 15,683,000
Part IX Other Assets. See Form 990, Part X (a) Des Unamortized Bond Issue Costs Deposits with Bond Trustees	, line 15.	(b	3,272,000 15,683,000
Part IX Other Assets. See Form 990, Part X (a) Des Unamortized Bond Issue Costs Deposits with Bond Trustees	, line 15.	(b	3,272,000 15,683,000
Part IX Other Assets. See Form 990, Part X (a) Des Unamortized Bond Issue Costs Deposits with Bond Trustees	, line 15.	(b	3,272,000 15,683,000
Part IX Other Assets. See Form 990, Part X (a) Des Unamortized Bond Issue Costs Deposits with Bond Trustees Intercompany	I, line 15.		3,272,000 15,683,000 -7,306,000
Unamortized Bond Issue Costs Deposits with Bond Trustees Intercompany Total. (Column (b) should equal Form 990, Part X, col.(B) In	ne 15.)	(b	3,272,000 15,683,000 -7,306,000
Unamortized Bond Issue Costs Deposits with Bond Trustees Intercompany Total. (Column (b) should equal Form 990, Part X, col.(B) In Part X Other Liabilities. See Form 990, Part	ne 15.) rt X, line 25.		3,272,000 15,683,000
Unamortized Bond Issue Costs Deposits with Bond Trustees Intercompany Total. (Column (b) should equal Form 990, Part X, col.(B) In Part X Other Liabilities. See Form 990, Part (a) Description of Liability	ne 15.)		3,272,000 15,683,000 -7,306,000
Unamortized Bond Issue Costs Deposits with Bond Trustees Intercompany Total. (Column (b) should equal Form 990, Part X, col.(B) In Part X Other Liabilities. See Form 990, Part (a) Description of Liability Federal Income Taxes	ne 15.) rt X, line 25.		3,272,000 15,683,000 -7,306,000
Unamortized Bond Issue Costs Deposits with Bond Trustees Intercompany Total. (Column (b) should equal Form 990, Part X, col.(B) In Part X Other Liabilities. See Form 990, Part (a) Description of Liability Federal Income Taxes Short term borrowing	ne 15.) rt X, line 25.		3,272,000 15,683,000 -7,306,000
Unamortized Bond Issue Costs Deposits with Bond Trustees Intercompany Total. (Column (b) should equal Form 990, Part X, col.(B) In Part X Other Liabilities. See Form 990, Part (a) Description of Liability Federal Income Taxes Short term borrowing O bligations under capital leases	ne 15.) rt X, line 25. (b) A mount		3,272,000 15,683,000 -7,306,000
Unamortized Bond Issue Costs Deposits with Bond Trustees Intercompany Total. (Column (b) should equal Form 990, Part X, col.(B) In Part X Other Liabilities. See Form 990, Part (a) Description of Liability Federal Income Taxes Short term borrowing O bligations under capital leases	ne 15.) rt X, line 25. (b) Amount 13,500,000 2,550,000		3,272,000 15,683,000 -7,306,000
Unamortized Bond Issue Costs Deposits with Bond Trustees Intercompany Total. (Column (b) should equal Form 990, Part X, col.(B) In Part X Other Liabilities. See Form 990, Pa (a) Description of Liability Federal Income Taxes Short term borrowing Obligations under capital leases Other long term liabilities	ne 15.) rt X, line 25. (b) A mount 13,500,000 2,550,000 7,373,000		3,272,000 15,683,000 -7,306,000
Unamortized Bond Issue Costs Deposits with Bond Trustees Intercompany Total. (Column (b) should equal Form 990, Part X, col.(B) In Part X Other Liabilities. See Form 990, Pa (a) Description of Liability Federal Income Taxes Short term borrowing Obligations under capital leases Other long term liabilities	ne 15.) rt X, line 25. (b) A mount 13,500,000 2,550,000 7,373,000		3,272,000 15,683,000 -7,306,000
Unamortized Bond Issue Costs Deposits with Bond Trustees Intercompany Total. (Column (b) should equal Form 990, Part X, col.(B) In Part X Other Liabilities. See Form 990, Pa (a) Description of Liability Federal Income Taxes Short term borrowing Obligations under capital leases Other long term liabilities	ne 15.) rt X, line 25. (b) A mount 13,500,000 2,550,000 7,373,000		3,272,000 15,683,000 -7,306,000
Unamortized Bond Issue Costs Deposits with Bond Trustees Intercompany Total. (Column (b) should equal Form 990, Part X, col.(B) In Part X Other Liabilities. See Form 990, Pa (a) Description of Liability Federal Income Taxes Short term borrowing Obligations under capital leases Other long term liabilities	ne 15.) rt X, line 25. (b) A mount 13,500,000 2,550,000 7,373,000		3,272,000 15,683,000 -7,306,000
(a) Des Unamortized Bond Issue Costs Deposits with Bond Trustees Intercompany Total. (Column (b) should equal Form 990, Part X, col.(B) In Part X Other Liabilities. See Form 990, Pa (a) Description of Liability Federal Income Taxes Short term borrowing Obligations under capital leases Other long term liabilities	ne 15.) rt X, line 25. (b) A mount 13,500,000 2,550,000 7,373,000		3,272,000 15,683,000 -7,306,000
Part IX Other Assets. See Form 990, Part X (a) Des Unamortized Bond Issue Costs Deposits with Bond Trustees Intercompany Total. (Column (b) should equal Form 990, Part X, col.(B) In Part X Other Liabilities. See Form 990, Pa (a) Description of Liability Federal Income Taxes Short term borrowing Obligations under capital leases Other long term liabilities	ne 15.) rt X, line 25. (b) A mount 13,500,000 2,550,000 7,373,000		3,272,000 15,683,000 -7,306,000

Pai	rt XI Reconciliation of Change in Net Assets from Form 990 to Financial Stateme	nts	
1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	141,723,000
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	154,327,000
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	-12,604,000
4	Net unrealized gains (losses) on investments	4	-5,898,000
5	Donated services and use of facilities	5	0
6	Investment expenses	6	0
7	Prior period adjustments	7	0
8	Other (Describe in Part XIV)	8	-23,000
9	Total adjustments (net) Add lines 4 - 8	9	-5,921,000
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	-18,525,000
	t XII Reconciliation of Revenue per Audited Financial Statements With Revenue	er R	
1	Total revenue, gains, and other support per audited financial		130,281,000
	statements	1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
а	Net unrealized gains on investments		
b	Donated services and use of facilities		
С	Recoveries of prior year grants		
d	Other (Describe in Part XIV) 2d 0		
e	Add lines 2a through 2d	2e	0
3	Subtract line 2e from line 1	3	130,281,000
4	A mounts included on Form 990, Part VIII, line 12, but not on line 1		
а	Investment expenses not included on Form 990, Part VIII, line 7b . 4a 0		
b	Other (Describe in Part XIV)		
c	Add lines 4a and 4b	4c	11,442,000
5	Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12)	5	141,723,000
Par	XIIII Reconciliation of Expenses per Audited Financial Statements With Expenses	s per	Return
1	Total expenses and losses per audited financial statements	1	123,612,000
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
а	Donated services and use of facilities		
Ь	Prior year adjustments		
c	Losses reported on Form 990, Part IX, line 25 2c 0		
d	Other (Describe in Part XIV) 2d 23,000		
e	Add lines 2a through 2d	2e	23,000
3	Subtract line 2e from line 1	3	123,589,000
4	A mounts included on Form 990, Part IX, line 25, but not on line 1:		
а	Investment expenses not included on Form 990, Part VIII, line 7b 4a 0		
b	Other (Describe in Part XIV) 4b 30,738,000		
с	Add lines 4a and 4b	4c	30,738,000
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18)	5	154,327,000
5			

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part XIV, lines 1b and 2b, Part V, line 4, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b

Ident if ier	Return Reference	Explanation
SchD_P13_S00_L04b	Schedule D, Part XIII, Line 4b	Student Financial Aid of \$28,207 and Loss on interest rate swap \$1,827 loss on defeasance of bond issue related costs \$704
SchD_P13_S00_L02d	Schedule D, Part XIII, Line 2d	Other expenses- Perkins Loan expenses \$23
SchD_P12_S00_L04b	Schedule D, Part XII, Line 4b	Other Revenues- 1)Financial Aid \$28,207 2)Net assets released (\$677) 3)Investment activity Trustee (\$201) Income for operations (\$3,877) Realized losses (\$13,701) Interest \$1,423 Bond \$269 4)Rounding (\$1)
SchD_P05_S00_L04	Schedule D, Part V, Line 4	Schedule D Part V question 4 The intended use of the restricted endowment funds are dictated by the donor which are predominantly for instructional purposes and scholarships Earnings from unrestricted endowment funds are used for operations according to the spending policy established by the Board of Trustees
SchD_P11_S00_L08	Schedule D, Part XI, Line 8	Other Changes- Net Perkins Loan revenue and expenses
SchD_P10_S00_L00	Schedule D, Part X	none

OMB No 1545-0047

SCHEDULE E

(Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Attach to Form 990 or Form 990-EZ. To be completed by organizations that answer "Yes" to Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.

Schools

Name of the organization Roger Williams University

Employer identification number

05-0277222

	03-0277222		YES	NO
			1.20	
1	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	1	Yes	
2	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	2	Yes	
3	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way			
	that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain	_		
	The University customarily draws a substantial percentage of its students from large geographic sections of the United States and follows a racially nondiscriminatory policy as to students. The University regularly conducts promotional activities and recruiting efforts that are designed to inform students of all racial segments in its geographic area of the availability of the University. In addition, the University currently enrolls students of racial minority groups in meaningful numbers.	3		No
4	Does the organization maintain the following?			
а	Records indicating the racial composition of the student body, faculty, and administrative staff?	4a	Yes	
Ь	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	4b	Yes	
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	4c	Yes	
d	Copies of all material used by the organization or on its behalf to solicit contributions?	4d	Yes	
	If you answered "No" to any of the above, please explain (If you need more space, attach a separate statement)			
5 a	Does the organization discriminate by race in any way with respect to Students' rights or privileges?	5a		No
b	Admissions policies?	5b		No
c	Employment of faculty or administrative staff?	5c		No
d	Scholarships or other financial assistance?	5d		No
e	Educational policies?	5e		No
f	Use of facilities?	5f		No
g	Athletic programs?	5g		No
h	Other extracurricular activities?	5h		No
6a	If you answered "Yes" to any of the above, please explain (If you need more space, attach a separate statement) Does the organization receive any financial aid or assistance from a governmental agency?	6a	Yes	
	Has the organization's right to such aid ever been revoked or suspended?	6b		Νο
7	If you answered "Yes" to either 6a or b, please explain using an attached statement			
	Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an explanation	7	Yes	

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93493132000080

OMB No 1545-0047

2008

(Form 990)

Department of the Treasury

Internal Revenue Service

SCHEDULE F

▶ Attach to Form 990. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

Statement of Activities Outside the United States

Open to Public Inspect ion

Vame	of the	organ	ızatıon
Roger	Willian	ms Un	ıversıt

Employer identification number

05-0277222

Part I	General Information on Activities Outside the United States.	Complete if the organization answered
	"Yes" to Form 990, Part IV, line 14b.	

- For grantmakers. Does the organization maintain records to substantiate the amount of the grants or 1 assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award ▼ Yes
- For grant makers. Describe in Part IV the organization's procedures for monitoring the use of grant funds outside the United States
- Activites per Region (Use Schedule F-1 (Form 990) if additional space is needed)

Activites per Region (o:	se Schedule I - I	(1 Ollil 9 9 O) Il aut	illional space is needed)		
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	1	(f) Total expenditures in
Central America and the Caribbean	0	0	Program Services	Study Abroad programs & Business travel	91,211
East Asia and the Pacific	0	0	Program Services	Study Abroad programs & Business travel	18,075
Europe (including Iceland and Greenland)	0	0	Program Services	Study Abroad programs & Business travel	160,038
Middle East and North Africa	0	0	Program Services	Study Abroad programs & Business travel	54,064
North America (including Canada and Mexico, but not the United States)	0	0	Program Services	Study Abroad programs & Business travel	9,348
Russia and the newly independent States	0	0	Program Services	Study Abroad programs & Business travel	500
South America	0	0	Program Services	Study Abroad programs & Business travel	768
South Asia	0	0	Program Services	Study Abroad programs & Business travel	3,001
Sub-Saharan Africa	0	0	Program Services	Study Abroad programs & Business travel	0
Totals ▶	0				337,005
For Paperwork Reduction Act No	tice, see the instr	uctions for Form 9	90. Cat No !	50082W s	chedule F (Form 990) 2008

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) A mount of cash grant	(f) Manner of cash disbursement	(g) A mount of of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other
	_							
	_							
	_							-
	_							-
	_							_
	_							
	_							
	_							
	_							
	_							
	_							
	_							
	_							

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Use Schedule F-1 (Form 990) if additional space is needed.

000 00104410	1 1 (101111 330) 11 dd	arcionar opacc	io niceacar				
(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) A mount of cash grant	(e) Manner of cash disbursement	(f) A mount of non- cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Scholarship awards	Central America and the Caribbean	3	25,000	Post against student receivable charges for cost of attendance			
Scholarship awards	East Asia and the Pacific	24	252,022	Posted against student receivable charges for cost of attendance			
Scholarship awards	Europe (including Iceland and Greenland)	12	97,123	Posted against student receivable charges for cost of attendance			
Scholarship awards	Middle East and North Africa	8	46,750	Posted against student receivable charges for cost of attendance			
Scholarship awards	Russia and the newly independent States	3	23,000	Posted against student receivable charges for cost of attendance			
Scholarship awards	South America	7	57,500	Posted against student receivable charges for cost of attendance			
Scholarship awards	South Asia	14	193,039	Posted against student receivable charges for cost of attendance			
Scholarship awards	Sub-Saharan Africa	3	24,025	Posted against student receivable charges for cost of attendance			

Cor	oplemental Infor nplete this part to	provide the information required	ın Part I, line 2, and any other additional information.
Ide	entifier	ReturnReference	Explanation
SchF_P01_S00_	L02	Schedule F, Part I, Line 2	Grant funds outside the US-Scholarship awards to non US residents attending RWU Student financial aid is applied directly against tuition and room and board amounts billed on student accounts

Software ID: 08000095

Software Version: v1.00

EIN: 05-0277222

Name: Roger Williams University

Form 990 Schedule F Part II - Grants and Other Assistance to Organizations or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) A mount of cash grant	(f) Manner of cash disbursement	(g) A mount of non- cash assistance	(h) Description of non-cash assistance	(ı) Method of valuatıon (book, FMV, appraisal, other)
--------------------------	---	------------	-------------------------	------------------------------	------------------------------------	---	--	--

efile GRAPHIC print - DO NOT PROCESS | As Filed Data -

DLN: 93493132000080 OMB No 1545-0047

Schedule I (Form 990)

Grants and Other Assistance to Organizations, Governments and Individuals in the U.S.

Department of the Treasury Internal Revenue Service Name of the organization

Complete if the organization answered "Yes," on Form 990, Part IV, lines 21 or 22. Attach to Form 990.

Open to Public Inspect ion

Employer identification number

Roger Williams University						05-0277222	
1 Does the organization mathe selection criteria use 2 Describe in Part IV the of Part II Grants and Ot Form 990, Part Part IV and Sch	aintain records to sed to award the grain organization's proceed the control of t	nts or assistance? edures for monitoring the to Governments are receiptent that receiptent the receiptent that receiptent that receiptent the receiptent that receiptent the receiptent the re	t of the grants or assista 	he United States in the United States		organization answere	
1(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of	(h) Purpose of grant or assistance
2 Enter total number of seconganizations							•

Part III	Grants and Other Assistance to Individuals in the United States.	Complete if the organization	answered "Yes"	on Form 990,	Part IV,	lıne 22.
	Use Schedule I-1 (Form 990) if additional space is needed.	_				

(a)Type of grant or assistance	(b) Number of recipients	(c) A mount of cash grant	(d)A mount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
Student Aıd Programs	2823	28,207,000			

Part IV Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

See Additional Data Table

Ident if ier	Return Reference	Explanation
SchI_P01_S00_L02	Schedule I, Part I, Line 2	Student financial aid is applied directly against tuition and room and board amounts billed on student accounts

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93493132000080

OMB No 1545-0047

Schedule J (Form 990)

Department of the Treasury

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest **Compensated Employees**

► Attach to Form 990. To be completed by organizations that answered "Yes" to Form 990, Part IV, line 23.

2008

Open to Public Inspection

Internal Revenue Service	that answered "Yes" to Form 990, Part IV, line 23.		Inspection
Name of the organization Roger Williams University		Employer identif	ication number
		05-027722	

Pa	rt I Questions Regarding Compensatio	n				
					Yes	Νo
1a	Check the appropriate box(es) if the organization pro 990, Part VII, Section A, line 1a Complete Part III					
	First class or charter travel	굣	Housing allowance or residence for personal use			
	Travel for companions	Г	Payments for business use of personal residence			
	Tax idemnification and gross-up payments	<u> </u>				
	Discretionary spending account	⊽	Personal services (e g , maid, chauffeur, chef)			
b	If line 1a is checked, did the organization follow a w provision of all the expenses described above? If "N			1b	Yes	
2	Did the organization require substantiation prior to rofficers, directors, trustees, and the CEO/Executive		,	2	Yes	
3	Indicate which, if any, of the following the organizationganization's CEO/Executive Director Check all the					
	Compensation committee	<u> </u>	Written employment contract			
	Independent compensation consultant	고	Compensation survey or study			
	Form 990 of other organizations	<u> - </u>	Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990,	Part V I	I, Section A, line 1a			
а	Receive a severance payment or change of control p	paymen	t?	4a		Νo
b	Participate in, or receive payment from, a suppleme	ntal nor	nqualified retirement plan?	4b		Νo
С	Participate in, or receive payment from, an equity-b	ased co	ompensation arrangement?	4c		Νo
	If "Yes" to any of lines 4a-c, list the persons and pr	rovide tl	he applicable amounts for each item in Part III			
	501(c)(3) and 501(c)(4) organizations only must co	mplete	lines 5-8.			
5	For persons listed in form 990, Part VII, Section A, compensation contingent on the revenues of	line 1a	, did the organization pay or accrue any			
а	The organization?			5a		Νo
b	Any related organization?			5b		Νo
	If "Yes," to line 5a or 5b, describe in Part III					
6	For persons listed in form 990, Part VII, Section A, compensation contingent on the net earnings of	line 1a	, did the organization pay or accrue any			
а	The organization?			6a		Νo
b	Any related organization?			6b		Νo
	If "Yes," to line 6a or 6b, describe in Part III					
7	For persons listed in form 990, Part VII, Section A, payments not described in lines 5 and 6? If "Yes," of		•	7		No
8	Were any amounts reported in Form 990, Part VII, I subject to the initial contract exception described in Part III	•	•	8		No

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(I)-(III) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred	(D) Nontaxable	(E) Total of columns	(F) Compensation
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other compensation	compensation	benefits	(B)(ı)-(D)	reported in prior Form 990 or Form 990-EZ
See Addıtıonal Data Table (i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8 Also complete this part for any additional information

Ident if ier	Ret urn Ref erence	Explanation						
	Part I, Line 1a	Housing Allowance- A residence is used for both University business functions and as a personal residence by the President. Not treated as taxable compensation. Health or Social Club Dues- A key employee was reimbursed for club membership dues. Since the use of the club was for business purposes, this was not treated as taxable compensation. Personal Services- A university employee regularly cleans both public and private space in the President's residence. Not treated as taxable compensation.						

 Software ID:
 08000095

 Software Version:
 v1.00

 EIN:
 05-0277222

Name: Roger Williams University

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees								
(A) Name		(B) Breakdown of	fW-2 and/or 1099-MIS	SC compensation	(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(1)-(D)	(F) Compensation reported in prior Form
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation	Compania	De mones	(5)(1) (-)	990 or Form 990-EZ
Timothy E Baxter	(I) (II)		0 0	0	0	0	0	0
Richard L Bready	(I) (II)	0	0	0	0	0	0	0
Rodney A Butler	(I) (II)	0	0	0	0	0	0	0
Gary R Chapman	(ı) (ıı)		0	0	0	0	0	0
Marıo J Gabellı	(ı) (ıı)	0	0	0	0	0	0	0
Luis F Gomez	(ı) (ıı)	0	0	0	0	0	0	0
Karen Johnson	(I) (II)	0	0	0	0	0	0	0
Suzanne M Magaziner	(ı) (ıı)	0	0	0	0	0	0	0
John McConnell	(I) (II)	0	0	0	0	0	0	0
Samı Nacaroglu	(I) (II)	0	0	0	0	0	0	0
Helen Ostrowskı	(I) (II)	0	0	0	0	0	0	0
Scott Pray	(I) (II)	0	0	0	0	0	0	0 0
Frank Rainieri Jr	(ı) (ıı)	0	0	0	0	0	0	0
Lynn J Singleton	(I) (II)	0	0	0	0	0	0	0
Marc Spiegel	(ı) (ıı)		0	0	0	0	0	0
Robert Stoico	(I) (II)	0	0	0	0	0	0	0
Hala Taweel	(ı) (ıı)	0	0	0	0	0	0	0
Arlene Violet	(ı) (ıı)	0	0	0	0	0	0	0
Denise M Jenkins	(I) (II)	0	0	0	0	0	0	0
Jerrold L Lavine	(I) (II)	0	0	0	0	0	0	0
Robert H Avery	(ı) (ıı)	0	O	0	0	31,933 0	244,007 0	100,394 0
Roy J Nirschel	(ı) (ıı)	0	, o	1,616 0	0	115,293 0	537,201 0	159,650 0
James C Noonan	(ı) (ıı)	0	0	0	0	35,553 0	214,556 0	87,500 0
Laura DeAbruna	(I) (II)	0	5,000	1	0	36,639 0	259,033 0	107,500 0
Lynn Fawthrop	(ı) (ıı)	0	7,500	0	l l	33,805 0	201,616	80,000 0
Joseph Pangborn	(ı) (ıı)	0	10,000	0	0	19,471 0	194,202	80,625 0
Peter Deekle	(ı) (ıı)	0	0	0	0	25,038 0	165,209 0	0
Maling Ebrahimpour	(I) (II)	0	0	0	0	30,735 0	204,812	87,950 0
Stephanie Manzi	(I) (II)	0	0	0	0	16,001 0	157,285 0	71,211 0
Christopher Neronha	(ı) (ıı)	0	0	0	0	16,088 0	148,897 0	0
June Speakman	(ı) (ıı)	0	0	0	0	37,236 0	212,515 0	98,745 0
Peter Wilbur	(ı) (ıı)	130,813	0			24,972 0	155,785 0	65,192 0

DLN: 93493132000080

OMB No 1545-0047

Schedule K (Form 990)

Department of the Treasury

To be completed by organizations that answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Schedule O.

Supplemental Information on Tax Exempt Bonds

Open to Public Inspect ion

Internal Revenue Service Employer identification number Name of the organization Roger Williams University 05-0277222 **Bond Issues** (Required for 2008) (h) O n (g) Defeased Behalf of (a) Issuer Name (b) Issuer EIN (c) CUSIP # (d) Date Issued (e) Issue Price (f) Description of Purpose Issuer Yes No Yes No RIHEBC Refund 1992 issue and Χ 762242YD1 09-16-1998 39,745,000 Χ 1998 construction RIHEBC 762242589 12-13-2000 15,000,000 Χ Χ 2000 RIHBEC 762243DV2 11-01-2003 32,000,000 Construction Х Х 2003 RIHEBC 762197AY7 10-12-2006 Х Χ 17,705,000 Construction 2006B RIHEBC 762197CK5 07-03-2008 22,935,000 refund 2006A Χ Χ 2008A Part II Proceeds (Optional for 2008) В C D Ε Α Total Proceeds of Issue 1 Gross Proceeds in Reserve Funds 2 Proceeds in Refunding or Defeasance Escrows 3 Other Unspent Proceeds 4 Issuance Costs from Proceeds 5 Working Capital Expenditures from Proceeds 6 Capital Expenditures from Proceeds 7 Year of Substantial Completion 8 Yes Yes Yes Yes No Yes No No No No Were the bonds issued as part of a current refunding issue? 9 Were the bonds issued as part of an advance refunding issue? 10 Has the final allocation of proceeds been made? 11 Does the organization maintain adequate books and records to support the final allocation of proceeds? Private Business Use (Optional for 2008) С D Ε Yes No Yes No Yes No Yes No Yes No Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds? Are there any lease arrangements with respect to the financed property which may result in private business use?

Schedule K	(Form 990) 20	800	
Part III	Private Bu	siness Use	(Continued)

		4	A	E	3	(С	I	D	I	E
		Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts with respect to the financed property which may result in private business use?										
3b	Are there any research agreements with respect to the financed property which may result in private business use?										
3с	Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property?										
4	Enter the percentage of financed property used in a private business use by entities other than a 501(c)(3) organization or a state or local government										
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another 501(c)(3) organization, or a state or local government										
6	Total of lines 4 and 5										
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?										
Pai	rt IV Arbitrage (Optional for 2008)										
		ı	Α	E	3	(<u>c</u>	ı	<u> </u>	ı	Ε
		Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
1	Has a Form 8038-T been filed wth respect to the bond issue?										
2	Is the bond issue a variable rate issue?										
3a	Has the organization or the government issuer identified a hedge with respect to the bond issue on its books and records?										
ь	Name of provider										
С	Term of hedge										
4a	Were gross proceeds invested in a GIC?										
ь	Name of provider										
С	Term of GIC										
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?										
5											
	Were any gross proceeds invested beyond an available temporary period?										

efile GRAPHIC print - DO NOT PROCESS | As Filed Data -

DLN: 93493132000080

Schedule K (Form 990)

Supplemental Information on Tax Exempt Bonds

OMB No 1545-0047

2008

Department of the Treasury Internal Revenue Service To be completed by organizations that answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Schedule O.

Open to Public Inspection

	e of the organization									Employer	identificat	ion numb	oer	
код	er Williams University									05-027	7222			
P	art I Bond Issues (Required fo	or 2008)					-			•	_			
(a) Issuer Name		(b) Issuer EIN	(c) CUSIP #	(d) Date Issued (e) Is		(e) Issue	(e) Issue Price		(f) Description of Purpose			feased	(h) O n Behalf o Issuer	
											Yes	No	Yes	No
A	RIHEBC 2008B		762197CM1	08-28-	2008	38,0	000,000	Constructio	า			х		×
Pā	rt II Proceeds (Optional for 20	008)												
1	Total Proceeds of Issue				4	E	3		:	Γ)		E	
2	Gross Proceeds in Reserve Funds					1								
3	Proceeds in Refunding or Defeasance	e Escrows												
4	Other Unspent Proceeds													
5	5 Issuance Costs from Proceeds													
6	6 Working Capital Expenditures from Proceeds													
7	Capital Expenditures from Proceeds													
8	Year of Substantial Completion					•		•						
				Yes	No	Yes	No	Yes	No	Yes	No	Yes	<u> </u>	No
9	Were the bonds issued as part of a c	urrent refunding is:	sue?											
10	Were the bonds issued as part of an	advance refunding	ıssue?											
11	Has the final allocation of proceeds l	been made?												
12	Does the organization maintain adeq final allocation of proceeds?	quate books and rec	ords to support the											
Pa	rt III Private Business Use (C	Optional for 2008)	1						ı				
				Yes	No	Yes	No No	No Yes No		Yes	No	Yes	<u>E</u>	No
1	Was the organization a partner in a p which owned property financed by ta		ember of an LLC,	res	140	1 es	140	Yes	140	1 es	140	res	'	140
2	Are there any lease arrangements w which may result in private business	rith respect to the f	nanced property											
For	Paperwork Reduction Act Notice, see the I	Instructions for Forn	າ 990.			Cat No 501	.93E			S	chedule K	(Form 99	0) 2008	

Schedule K	(Form 990) 20	800	
Part III	Private Bu	siness Use	(Continued)

		4	A	E	3	(С	I	D	I	E
		Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts with respect to the financed property which may result in private business use?										
3b	Are there any research agreements with respect to the financed property which may result in private business use?										
3с	Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property?										
4	Enter the percentage of financed property used in a private business use by entities other than a 501(c)(3) organization or a state or local government										
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another 501(c)(3) organization, or a state or local government										
6	Total of lines 4 and 5										
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?										
Pai	rt IV Arbitrage (Optional for 2008)										
		ı	Α	E	3	(<u>c</u>	ı	<u> </u>	ı	Ε
		Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
1	Has a Form 8038-T been filed wth respect to the bond issue?										
2	Is the bond issue a variable rate issue?										
3a	Has the organization or the government issuer identified a hedge with respect to the bond issue on its books and records?										
ь	Name of provider										
С	Term of hedge										
4a	Were gross proceeds invested in a GIC?										
ь	Name of provider										
С	Term of GIC										
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?										
5											
	Were any gross proceeds invested beyond an available temporary period?										

efile GRAPHIC print - DO NOT PROCESS | As Filed Data -

DLN: 93493132000080

Schedule L

(Form 990 or 990-EZ)

Transactions with Interested Persons

OMB No 1545-0047 2008

Open to Public Inspection

Department of the Treasury

► Attach to Form 990 or Form 990-EZ. ▶ To be completed by organizations that answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V lines 38b or 40b.

, and the collection	
Name of the organization	Employer identification number
Roger Williams University	05-0277222
art I Excess Benefit Transactions (section 501(c)(3) and section 501 (c)(4) orga	

goa c				0.5	5-027	722	2			
Part I Excess Benefit Transac To be completed by organization				(4) organiz	zatıor	ns or	ıly).	, Par	t V , lıne	40b
1 (a) Name of disqualifie	d norcon	41.5		<u> </u>					(c) Cori	rected?
1 (a) Name of disqualifie	ed person	(b) Des	cripti	on of transaction				Yes	No	
2 Enter the amount of tax imposed on section 4958	-	ers or disqualified per			earum	nder F	\$			
3 Enter the amount of tax, If any, on II	ne 2, above, reimbursed	by the organization .					\$			
Part II Loans to and/or From To be completed by organiza			: IV, lı	ine 26, or Fo	orm 9	90-E	Z, Part	V, lır	ne 38a	
(a) Name of interested person and purpose	(b) Loan to or from the organization?	(c)Original principal amount	(d)B	alance due	(e) defa				r agree	/ritten ment?
	To From				Yes	No	Yes	No	Yes	No
			+					<u> </u>	+-	
	+		+					<u> </u>	+-	+
									_	
Total		🕨 \$								
Part III Grants or Assistance E To be completed by orga			990,	Part IV, lın	e 27					
(a) Name of Interested person		between interested pe the organization	erson	(c) A m	ount c	fgra	nt or ty	pe of	assista	nce
Director	Child of Director			Academic	scho	larsh	ір			
Part IV Business Transactions To be completed by orga			990,	Part IV, lın	e 28	a, 28	Bb, or 2	28c.		
(a) Name of interested person	(b) Relationship between interested person and the			(d) Descr			-		(e) Sha organız reven	ation's
	organization								Yes	No
Mario Gahelli	board member	1 294	.000 l	Investment	mana	ageme	ent fees	i I		Nο

(a) Name of interested person	(b) Relationship between interested person and the	(c) A mount of transaction	(d) Description of transaction	organı	arıng of zatıon's nues?
	organization			Yes	No
Marıo Gabellı	board member	294,000	Investment management fees paid to Gabelli Asset Management (GAMCO) The board member is the founder and President of GAMCO		No
Ralph Papitto	former board member	608,000	Rent for dormitory and conference center paid to Kentron Associates, L P The former board member has a controlling interest in Kentron		No
Marc Spiegel	board member	1,236,000	Payments to Academic Centers Abroad (ACA) for educational center in Florence RWU students attend for credit The board member is a majority owner of ACA		No
Hala Haweel	board member	114,000	RWU provided financial support to the Center for Higher Education in the Middle East, Inc (Center) The board member is the President of Center		No
Christopher Nirschel	Son of RWU President employed in A dvancement	28,000	payroll		N o
Scott Pray	board member	1,971,000	DF Pray is a general contracting firm that provided construction services The board member is the sole shareholder and President of DF Pray		No
See Additional Data Table					

SCHEDULE O (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

► Attach to Form 990. To be completed by organizations to provide additional information for responses to specific questions for the Form 990 or to provide any additional information.

2008

Open to Public Inspection

Name of the organization Roger Williams University Employer identification number 05-0277222

ldentifier	Return Reference	Explanation
F990_P06_S0A_L02		#2 Trustees Mario Gabelli and Karen Johnson have a business relationship #2 Trustees Mario Gabelli and Richard Bready have a business relationship

ldentifier	Return Reference	Explanation
F990_P06_S0A_L10	Form 990, Part VI, Section A, Line 10	#10 IRS Form 990 is prepared by the Controller and VP for Finance under the direction of the Senior Vice President for Finance & Administration and with assistance from the University's Office of General Counsel. Upon completion, the form is provided to the Audit Committee of the Board of Trustees for review, discussion and approval prior to being filed. The completed and approved Form 990 is then sent to all members of the Board of Trustees prior to filing with the service.

ldentifier	Return Reference	Explanation
F990_P06_S0B_L15	Form 990, Part VI, Section B, Line 15	#15a The compensation for the University's top management official, (President), was contractually set in August 2006 for a three year term. A renewal of that contract, including compensation provisions, was commenced in early 2009 by an ad hoc Compensation Committee of the Board of Trustees. The Compensation Committee's review included the use of comparability data and an outside consultant #15b. The process for determining compensation for the University's other officers or key employees currently includes, but is not limited to, a review of market comparability data.

ldentifier	Return Reference	Explanation
F990_P06_S0C_L19	Form 990, Part VI, Section C, Line 19	#19 Governing documents are available in part through the Rhode Island Secretary of State's Office The University's Conflict of Interest policy governing its employees and the corresponding disclosure form is available on the University's wiebsite

ldentifier	Return Reference	Explanation
F990_P06_S0B_L12	Form 990, Part VI, Section B, Line 12c	Directors, officers, key employees and managers are all required to annually disclose any potential conflicts of interest. These disclosures are collected by the Office of General Counsel, compiled into a report and provided for vetting to either (a) senior management for the officers, key employees and managers or (b) the full Board of Trustess for the Trustee's disclosures. Those disclosures that require further investigation or attention are addressed by their respective overseers on a case by case basis.

DLN: 93493132000080

2008

Open to Public Inspection

SCHEDULE R (Form 990)

Department of the Treasury Internal Revenue Service

Name of the organization

Roger Williams University

Attach to Form 990. To be completed by organizations that answerd "Yes" to Form 990, Part IV, lines 33, 34, 35, 36, or 37.
 See separate instructions.

Related Organizations and Unrelated Partnerships

Employer identification number

				05-0277222	
Part I Identification of Disregarded Entities					
(A) Name, address, and EIN of disregarded entity	(B) Primary activity	(C) Legal domicile (state or foreign country)	(D) Total income	(E) End-of-year assets	(F) Direct controlling entity
Part II Identification of Related Tax-Exempt Organizat	ions				
(A) Name, address, and EIN of related organization	(B) Primary activity	(C) Legal domicile (state or foreign country)	(D) Exempt Code section	(E) Public charity stat (if section 501(c)(tus Direct controlling 3)) entity
Roger Williams School of Law					
10 Metacom Road Bristol, R1028092921 05-0465628	Higher Legal Education	RI	501(c)(3)	2	N/A
For Demonstrate Deduction Act Notice and the Instructions for Form 200		C-+ N- F012F	• > /		C-k-d-l- D (F 000) 2000

(A) Name, address, and EIN of related organization	Prim	(B) nary activity	(C) Legal domicile (state or foreign country)	(D) Direct controlling entity	incom	(E) lominant le(related, lestment, related)	Share	(F) e of total income	(G) Share of end-of- year assets	(H Disprop allocat	l) ortionate tions?	(I) Code V—UBI amount on Box 20 of K-1	Gene mana part	agıng
										Yes	No		Yes	No
Part IV Identification of R	Related	l Organizations	Taxable as	a Corporation	ı or Tr	ust								
(A) Name, address, and EIN of related organ		(B) Primary activity		(C) Legal domicile (state or foreign country)		(D) Direct contro	olling	(E) Type of entity (C corp, S corp or trust)	(F) Share of total income	end	(G) hare of l-of-yea assets	(H) Percentage r ownership		

No

No No

No

No

No

No

No

No

1c

1d

1g

1h

	Part V	Transactions with Related Organizations	
-			

	
Note. Complete line 1 if any entity is listed in Parts II, III or IV	Ye
1 During the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?	
a Receipt of (i) interest (ii) annuities (iii) royalties (iv) rent from a controlled entity	1a
b Gift, grant, or capital contribution to other organization(s)	1b

- c Gift, grant, or capital contribution from other organization(s) **d** Loans or loan guarantees to or for other organization(s)
- **e** Loans or loan guarantees by other organization(s)
- **f** Sale of assets to other organization(s)
- **g** Purchase of assets from other organization(s)
- Exchange of assets
- i Lease of facilities, equipment, or other assets to other organization(s)
- j Lease of facilities, equipment, or other assets from othe
- Performance of services or membership or fundraising
- I Performance of services or membership or fundraising s
- m Sharing of facilities, equipment, mailing lists, or other as
- n Sharing of paid employees
- Reimbursement paid to other organization for expenses
- Reimbursement paid by other organization for expenses
- Other transfer of cash or property to other organization
- r Other transfer of cash or property from other organizati

5		L			
i Lease of facilities, equipment, or other assets to other organization(s)		[1	Li	Yes	
		<u> </u>	_		
j Lease of facilities, equipment, or other assets from other organization(s)		<u> </u>	1j		No
k Performance of services or membership or fundraising solicitations for other organizati	ion(s)	[1	Lk		No
l Performance of services or membership or fundraising solicitations by other organization	on(s)	Į	11		No
m Sharing of facilities, equipment, mailing lists, or other assets		1	lm		No
n Sharing of paid employees		1	Ln		No
• Reimbursement paid to other organization for expenses		1	Lo		No
p Reimbursement paid by other organization for expenses		1	lр		No
q O ther transfer of cash or property to other organization(s)		1	Lq		No
r Other transfer of cash or property from other organization(s)		[1	1r		No
2 If the answer to any of the above is "Yes," see the instructions for information on who n	must complete this line, including covered relationship	s and transaction thresholds			
(A) Name of other organization(s)	(B) Transaction type(a-r)	(C) Amount Involved			
(1) Roger Williams School of Law	1		1,0	99,419)
(2)					
(3)					
(4)					
(5)					

Part VI Unrelated Organizations Taxable as a Partnership

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships

									_			
(A) Name, address, and EIN of entity	(B) Primary activity	(C) Legal domicile (state or foreign country)	Organizations ¹		partners section 501(c)(3) organizations?		(E) Share of end-of-year assets			(G) Code V—UBI amount on Box 20 of K-1	(H) General o managing partner?)
			Yes	No		Yes	No		Yes	No		
			•	•		•		Cabadul	D / Form			

Software ID: Software Version:

EIN: 05-0277222

Name: Roger Williams University

Form 990, Part VII - Section Aaa

Form 990, Part VII - Section Aaa	form 990, Part VII - Section Aaa											
		Posit t	(C ion (hat a	chec		I			(E)	(F)		
(A) Name and Title	(B) Average hours per week	Individual Trustee or Director	Institutional Trustee	Officei	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	Estimated amount of other compensation from the organization and related organizations		
Timothy E Baxter , Director	0	X						0	0	0		
Richard L Bready , Chairman	0	X						0	0	0		
Rodney A Butler , Director	0	Х						0	0	0		
Gary R Chapman , Director	0	Х						0	0	0		
Marıo J Gabellı , Dırector	0	Х						0	0	0		
Luis F Gomez , Director	0	Х						0	0	0		
Karen Johnson , Director	0	Х						0	0	0		
Suzanne M Magazıner , Dırector	0	Х						0	0	0		
John McConnell , Director	0	Х						0	0	0		
Samı Nacaroglu , Dırector	0	Х						0	0	0		
Helen Ostrowski , Director	0	Х						0	0	0		
Scott Pray , Director	0	Х						0	0	0		
Frank Rainieri Jr , Director	0	Х						0	0	0		
Lynn J Singleton , Director	0	Х						0	0	0		
Marc Spiegel , Director	0	Х						0	0	0		
Robert Stoico , Director	0	Х						0	0	0		
Hala Taweel , Director	0	Х						0	0	0		
Arlene Violet , Director	0	Х						0	0	0		
Denise M Jenkins , Director & Secretary	0	Х		Х				0	0	0		
Jerrold L Lavine , Director &Treasurer	0	Х		Х				0	0	0		
Roy J Nirschel , Director & President	40	Х		Х				421,908	0	115,293		
Robert H Avery , Assistant Secretary / Sr VP & General Counsel	40			х	х			212,074	0	31,933		
James C Noonan , Assistant Treasurer / VP for Finance	40			х	x			179,003	0	35,553		
Laura DeAbruna , Provost and Sr Vice Pres for Academic Affairs	40				х			222,394	0	36,639		
Lynn Fawthrop , Vice President for Enrollment Management and Retention	40				х			167,811	0	33,805		
Joseph Pangborn , Vice President and Chief Information Officer	40				х			174,731	0	19,471		
Peter Deekle , Dean of University Library	40					Х		140,171	0	25,038		
Maling Ebrahimpour , Professor	40					Х		174,077	0	30,735		
Stephanie Manzi , Dean School of Justice Studies	40					Х		141,284	0	16,001		
Christopher Neronha , Associate General Counsel	40					x		132,809	0	16,088		

Form 990, Part VII - Section Aaa

orini ppo, rure ree	-									
		l	(C) Position (check all that apply)						(F)	(F)
(A) Name and Title	(B) Average hours per week	Individual Trustee or Director	Institutional Trustee	Officei	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	Estimated amount of other compensation from the organization and related organizations
June Speakman , Professor	40					Х		175,279	0	37,236
Peter Wilbur , VP Development, Alumni Affairs and Government Relations	40						х	130,813	0	24,972

Form 990, Part VIII - Statement of Revenue - 2a - 2g Program Service Revenue -

	Business Code	(A) Total Revenue	(B) Related or Exempt Function Revenue	(C) Unrelated Business Revenue	(D) Revenue Excluded from Tax under IRC 512, 513, or 514
a Tuition	611,310	104,861,700	104,861,700	0	0
b Room & Board	611,310	33,625,800	33,625,800	0	0
c Student Fees	611,310	6,480,000	6,480,000	0	0
d Conferences	611,310	1,009,400	1,009,400		
e Summer Rentals	611,310	176,100		176,100	

Form 990, Part III, Line 1 - Briefly describe the organization's mission:

Roger Williams University is an independent liberal arts University that combines the unique strengths of small liberal arts colleges and those of larger comprehensive universities and where liberal and professional education are enhanced by their integration and the recognition of their unity. At the foundation of the institution is a set of core values that play a central role in guiding a respectful, diverse and intellectually vibrant university community: Love of learning as an intrinsic value; Preparation for careers and future study; Collaboration of students and faculty in research; Commitment to community through service and sustainability; Appreciation of global perspectives; and Promotion of civil discourse.