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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No. 1545-0052

**2008**

For calendar year 2008, or tax year beginning

07/01, 2008, and ending

06/30, 2009

G Check all that apply:

☐ Initial return☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS  
label.  
Otherwise,  
print  
or type.  
See Specific  
Instructions.

Name of foundation

CARTER, ROOSEVELT 410036016

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

178 MAIN STREET, PO BOX 174

City or town, state, and ZIP code

NEW BRITAIN, CT 06050

A Employer identification number

03-6035624

B Telephone number (see page 10 of the instructions)

(860) 827-2515

C If exemption application is  
pending, check here ☐D 1 Foreign organizations, check here ☐  
2 Foreign organizations meeting the  
85% test, check here and attach  
computation ☐E If private foundation status was terminated  
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination  
under section 507(b)(1)(B), check here ☐H Check type of organization: ☐ Section 501(c)(3) exempt private foundation☒ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end  
of year (from Part II, col (c), line  
16) \$ 327,980.J Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis.)**Part I Analysis of Revenue and Expenses** (The  
total of amounts in columns (b), (c), and (d)  
may not necessarily equal the amounts in  
column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	11,305.	11,305.		STMT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-5,554.			
b Gross sales price for all assets on line 6a	109,779.			
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	5,751.	11,305.		
13 Compensation of officers, directors, trustees, etc.	4,599.	3,066.		1,533.
14 Other employee salaries and wages		NONE	NONE	
15 Pension plans, employee benefits		NONE	NONE	
16a Legal fees (attach schedule) STMT 2	30.	20.	NONE	10.
b Accounting fees (attach schedule) STMT 3	475.	317.	NONE	158.
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)	105.	105.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings		NONE	NONE	
22 Printing and publications		NONE	NONE	
23 Other expenses (attach schedule)				
24 Total operating and administrative expenses.				
Add lines 13 through 23	5,209.	3,508.	NONE	1,701.
25 Contributions, gifts, grants paid	8,118.			8,118.
26 Total expenses and disbursements. Add lines 24 and 25	13,327.	3,508.	NONE	9,819.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-7,576.			
b Net investment income (if negative, enter -0-)		7,797.		
c Adjusted net income (if negative, enter -0-)				
<b>Operating and Administrative Expenses</b>				

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Form **990-PF** (2008)JSA  
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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing . . . . .	NONE	NONE		
	2	Savings and temporary cash investments . . . . .	18,490.	12,294.	12,294.	
	3	Accounts receivable . . . . .				
		Less: allowance for doubtful accounts . . . . .				
	4	Pledges receivable . . . . .				
		Less: allowance for doubtful accounts . . . . .				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) . . . . .				
	7	Other notes and loans receivable (attach schedule) . . . . .				
		Less: allowance for doubtful accounts . . . . .	NONE			
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10 a	Investments - U S and state government obligations (attach schedule) . . . . .				
	b	Investments - corporate stock (attach schedule) . . . . .				
	c	Investments - corporate bonds (attach schedule) . . . . .				
	11	Investments - land, buildings, and equipment basis . . . . .				
	Less: accumulated depreciation (attach schedule) . . . . .					
12	Investments - mortgage loans . . . . .					
13	Investments - other (attach schedule) . . . . .	STMT 5	350,758.	349,465.	315,686.	
14	Land, buildings, and equipment basis . . . . .					
	Less: accumulated depreciation (attach schedule) . . . . .					
15	Other assets (describe . . . . .)					
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .	369,248.	361,759.	327,980.		
Liabilities	17	Accounts payable and accrued expenses . . . . .				
	18	Grants payable . . . . .				
	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .				
	21	Mortgages and other notes payable (attach schedule) . . . . .				
	22	Other liabilities (describe . . . . .)				
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .					
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted . . . . .				
	25	Temporarily restricted . . . . .				
	26	Permanently restricted . . . . .				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds . . . . .	369,248.	361,759.		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .				
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .				
	30	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	369,248.	361,759.		
	31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	369,248.	361,759.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	369,248.
2	Enter amount from Part I, line 27a . . . . .	2	-7,576.
3	Other increases not included in line 2 (itemize) . . . . .	3	89.
4	Add lines 1, 2, and 3 . . . . .	4	361,761.
5	Decreases not included in line 2 (itemize) . . . . .	5	2.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	361,759.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SEE PART IV DETAIL</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss) . . . . .			2	-5,554.	
<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div> </div>					
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			3		
<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;">           If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions).            If (loss), enter -0- in Part I, line 8.         </div> </div>					

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2007			
2006			
2005			
2004			
2003			

<b>2</b> Total of line 1, column (d) . . . . .	2	
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .	3	
<b>4</b> Enter the net value of noncharitable-use assets for 2008 from Part X, line 5 . . . . .	4	
<b>5</b> Multiply line 4 by line 3 . . . . .	5	
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b) . . . . .	6	
<b>7</b> Add lines 5 and 6 . . . . .	7	
<b>8</b> Enter qualifying distributions from Part XII, line 4 . . . . .	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
Date of ruling letter: _____ (attach copy of ruling letter if necessary - see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1 156.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2
3 Add lines 1 and 2		3 156.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4 NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 156.
6 Credits/Payments		
a 2008 estimated tax payments and 2007 overpayment credited to 2008	6a	
b Exempt foreign organizations-tax withheld at source	6b	NONE
c Tax paid with application for extension of time to file (Form 8868)	6c	NONE
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d		7 NONE
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9 156.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10
11 Enter the amount of line 10 to be Credited to 2009 estimated tax		11 NONERefunded

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities.		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions)		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2008 or the taxable year beginning in 2008 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(3)? If "Yes," attach schedule (see page 20 of the instructions) . . . . .	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? . . . . .	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	13		X
Website address <input type="checkbox"/> N/A				
14 The books are in care of <input type="checkbox"/> TD BANK NA Telephone no <input type="checkbox"/> (802) 860-5523				
Located at <input type="checkbox"/> 111 MAIN STREET, BURLINGTON, VT ZIP + 4 <input type="checkbox"/> 05401				
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <input type="checkbox"/> 15				

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? . . . . . <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2008? . . . . .	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2008, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2008? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <input type="checkbox"/>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions.) . . . . .	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <input type="checkbox"/>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2008 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2008.) . . . . .	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2008? . . . . .	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No  
Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No  
If "Yes," attach the statement required by Regulations section 53.4945-5(d)
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No  
If you answered "Yes" to 6b, also file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b

6b

7b

X

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		4,599.	-0-	-0-

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ NONE

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services . . . . . NONE

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 GROTON METHODIST CHURCH, BILLY GRAHAM CHURCH, GOSPEL ASSOC FOR THE BLIND, THE LUTHERAN HOUR, WINGS OF HEALING, REX HUMBARD CATHEDRAL OF TOMORROW, UNITED INDIAN MISSION,	8,118.
2 GROTON VILLIAGE CEMETERY ASSOC	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3 . . . . .	

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	302,345.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	12,973.
<b>c</b>	Fair market value of all other assets (see page 24 of the instructions)	<b>1c</b>	NONE
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	315,318.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	NONE
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	315,318.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	<b>4</b>	4,730.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	310,588.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	15,529.

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	15,529.
<b>2a</b>	Tax on investment income for 2008 from Part VI, line 5	<b>2a</b>	156.
<b>b</b>	Income tax for 2008. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	156.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	15,373.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	NONE
<b>5</b>	Add lines 3 and 4	<b>5</b>	15,373.
<b>6</b>	Deduction from distributable amount (see page 25 of the instructions)	<b>6</b>	NONE
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	15,373.

**Part XII Qualifying Distributions** (see page 25 of the instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	9,819.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	NONE
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	NONE
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	NONE
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	9,819.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	<b>5</b>	N/A
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	9,819.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2007	(c) 2007	(d) 2008
<b>1</b> Distributable amount for 2008 from Part XI, line 7 . . . . .				15,373.
<b>2</b> Undistributed income, if any, as of the end of 2007				
<b>a</b> Enter amount for 2007 only . . . . .			NONE	
<b>b</b> Total for prior years 20____, 20____, 20____		NONE		
<b>3</b> Excess distributions carryover, if any, to 2008				
<b>a</b> From 2003 . . . . .	NONE			
<b>b</b> From 2004 . . . . .	NONE			
<b>c</b> From 2005 . . . . .	NONE			
<b>d</b> From 2006 . . . . .	NONE			
<b>e</b> From 2007 . . . . .	NONE			
<b>f</b> Total of lines 3a through e . . . . .	NONE			
<b>4</b> Qualifying distributions for 2008 from Part XII, line 4 ► \$ 9,819.				
<b>a</b> Applied to 2007, but not more than line 2a . . .			NONE	
<b>b</b> Applied to undistributed income of prior years (Election required - see page 26 of the instructions) . . . . .		NONE		
<b>c</b> Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . . .	NONE			
<b>d</b> Applied to 2008 distributable amount . . . . .				9,819.
<b>e</b> Remaining amount distributed out of corpus . . .	NONE			
<b>5</b> Excess distributions carryover applied to 2008 . . . (If an amount appears in column (d), the same amount must be shown in column (a) )	NONE			NONE
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		NONE		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
<b>d</b> Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions . . . . .		NONE		
<b>e</b> Undistributed income for 2007. Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions . . . . .			NONE	
<b>f</b> Undistributed income for 2008. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2009 . . . . .				5,554.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .	NONE			
<b>8</b> Excess distributions carryover from 2003 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .	NONE			
<b>9</b> Excess distributions carryover to 2009. Subtract lines 7 and 8 from line 6a . . . . .	NONE			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2004 . . . . .	NONE			
<b>b</b> Excess from 2005 . . . . .	NONE			
<b>c</b> Excess from 2006 . . . . .	NONE			
<b>d</b> Excess from 2007 . . . . .	NONE			
<b>e</b> Excess from 2008 . . . . .	NONE			

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2008, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2008	(b) 2007	(c) 2006	(d) 2005	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test - enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i). . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 27 of the instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c** Any submission deadlines:

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year  SEE STATEMENT 11				
<b>Total</b> . . . . . ► <b>3a</b>				8,118.
<b>b</b> Approved for future payment				
<b>Total</b> . . . . . ► <b>3b</b>				

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions )
(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue				
a _____				
b _____				
c _____				
d _____				
e _____				
f _____				
g Fees and contracts from government agencies				
<b>2</b> Membership dues and assessments . . . . .				
<b>3</b> Interest on savings and temporary cash investments				
<b>4</b> Dividends and interest from securities . . . . .		14	11,305.	
<b>5</b> Net rental income or (loss) from real estate				
a Debt-financed property . . . . .				
b Not debt-financed property . . . . .				
<b>6</b> Net rental income or (loss) from personal property .				
<b>7</b> Other investment income . . . . .				
<b>8</b> Gain or (loss) from sales of assets other than inventory			-5,554.	
<b>9</b> Net income or (loss) from special events . . . . .				
<b>10</b> Gross profit or (loss) from sales of inventory . .				
<b>11</b> Other revenue a _____				
b _____				
c _____				
d _____				
e _____				
<b>12</b> Subtotal. Add columns (b), (d), and (e) . . . . .			5,751.	
<b>13</b> Total. Add line 12, columns (b), (d), and (e) . . . . .				5,751.

(See worksheet in line 13 instructions on page 28 to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS				1,190.	
383.00		12.287 BARON ASSET FD GROWTH & INC PROPERTY TYPE: SECURITIES 442.00				07/01/2003 -59.00	04/16/2009
776.00		26.004 COLUMBIA VALUE & RESTRUCTURING FU PROPERTY TYPE: SECURITIES 1,268.00				08/07/2006 -492.00	04/16/2009
444.00		18.925 DAVIS NY VENTURE FD INC CL Y FUND PROPERTY TYPE: SECURITIES 804.00				10/16/2007 -360.00	04/16/2009
6,682.00		1942.483 FEDERATED KAUFMANN FD CL A #66 PROPERTY TYPE: SECURITIES 9,893.00				09/01/2004 -3,211.00	01/16/2009
7,102.00		611.182 FEDERATED US GOVT SEC 2-5 YRS PROPERTY TYPE: SECURITIES 7,048.00				07/01/2003 54.00	09/16/2008
7,382.00		641.882 FEDERATED US GOVT SEC 2-5 YRS PROPERTY TYPE: SECURITIES 7,402.00				07/01/2003 -20.00	10/16/2008
2,133.00		175.999 FEDERATED US GOVT SEC 2-5 YRS PROPERTY TYPE: SECURITIES 2,031.00				05/16/2008 102.00	01/16/2009
39,786.00		3282.682 FEDERATED US GOVT SEC 2-5 YRS PROPERTY TYPE: SECURITIES 37,491.00				07/01/2003 2,295.00	01/16/2009
3,452.00		328.793 FEDERATED TOTAL RETURN BOND FUND PROPERTY TYPE: SECURITIES 3,539.00				06/28/2004 -87.00	09/16/2008
3,431.00		344.841 FEDERATED TOTAL RETURN BOND FUND PROPERTY TYPE: SECURITIES 3,712.00				06/28/2004 -281.00	10/16/2008

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj. basis	Gain or (loss)	
961.00		93.732 FEDERATED TOTAL RETURN BOND FUND PROPERTY TYPE: SECURITIES 1,004.00				06/28/2004 -43.00	01/16/2009
1,102.00		83.486 FIDELITY ADVISOR EMERGING MARKET PROPERTY TYPE: SECURITIES 2,555.00				10/16/2007 -1,453.00	04/16/2009
1,594.00		121.94 ARTIO TOTAL RETURN BOND FUND FUND PROPERTY TYPE: SECURITIES 1,586.00				08/07/2006 8.00	09/16/2008
1,607.00		130.192 ARTIO TOTAL RETURN BOND FUND FUN PROPERTY TYPE: SECURITIES 1,693.00				08/07/2006 -86.00	10/16/2008
321.00		25.479 ARTIO TOTAL RETURN BOND FUND FUND PROPERTY TYPE: SECURITIES 332.00				08/07/2006 -11.00	01/16/2009
5,185.00		489.136 PIMCO TOTAL RETURN FUND #35 PROPERTY TYPE: SECURITIES 5,300.00				07/01/2003 -115.00	09/16/2008
5,307.00		521.805 PIMCO TOTAL RETURN FUND #35 PROPERTY TYPE: SECURITIES 5,654.00				07/01/2003 -347.00	10/16/2008
2,399.00		116.522 T ROWE PRICE GROWTH STOCK FUND PROPERTY TYPE: SECURITIES 2,699.00				07/01/2003 -300.00	04/16/2009
2,061.00		197.814 VANGUARD GNMA FIXED INCOME PROPERTY TYPE: SECURITIES 2,087.00				03/17/2008 -26.00	09/16/2008
2,225.00		219.023 VANGUARD GNMA FIXED INCOME PROPERTY TYPE: SECURITIES 2,311.00				03/17/2008 -86.00	10/16/2008
4,276.00		374.799 VANGUARD MID-CAP INDEX FUND A PROPERTY TYPE: SECURITIES 5,650.00				10/16/2008 -1,374.00	01/16/2009



**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
9,980.00		874.684 VANGUARD MID-CAP INDEX FUND A PROPERTY TYPE: SECURITIES 10,832.00					07/01/2003  -852.00	01/16/2009
TOTAL GAIN(LOSS) .....		.....					----- -5,554. =====	

## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
ARTIO TOTAL RETURN BOND FD-I #1524	202.	202.
COLUMBIA VALUE & RESTRUCTURING FUND #205	601.	601.
DAVIS NY VENTURE FD INC CL Y FUND 909	261.	261.
FEDERATED US GOVT SEC 2-5 YRS	1,000.	1,000.
FEDERATED TOTAL RETURN BOND FUND INSTITU	1,696.	1,696.
FIDELITY ADVISOR EMERGING MARKET CLASS I	175.	175.
ARTIO TOTAL RETURN BOND FUND 1524 C	815.	815.
MFS RESEARCH INTERNATIONAL FUND I FUND #	391.	391.
PIMCO TOTAL RETURN FUND #35	4,293.	4,293.
PEOPLES HERITAGE DEPOSIT MMKT	19.	19.
T ROWE PRICE GROWTH STOCK FUND	223.	223.
TD ASSET MGMT US GOVT INSTL SVS #6	52.	52.
TD ASSET MGMT US GOVT PORT INSTL #2	104.	104.
TD ASSET MGMT SHORT TERM BOND #4	55.	55.
VANGUARD GNMA FIXED INCOME	1,136.	1,136.
VANGUARD MID-CAP INDEX FUND A	282.	282.
	-----	-----
TOTAL	11,305.	11,305.
	=====	=====

FORM 990PF, PART I - LEGAL FEES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
LEGAL ADS; COURT OR BOND FEES	30.	20.		10.
TOTALS	30.	20.	NONE	10.
	=====	=====	=====	=====

FORM 990PF, PART I - ACCOUNTING FEES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE (NON-ALLOC	475.	317.		158.
TOTALS	475.	317.	NONE	158.
	=====	=====	=====	=====

CARTER, ROOSEVELT 410036016

03-6035624

FORM 990PF, PART I - TAXES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES W/H	105.	105.
	-----	-----
TOTALS	105.	105.
	=====	=====

CARTER, ROOSEVELT 410036016

03-6035624

FORM 990PF, PART II - OTHER INVESTMENTS  
=====

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
-----	-----	-----	----
SEE ATTACHED INVESTMENT REVIEW	C		
TOTAL FIXED INCOME	C	146,771.	144,963.
TOTAL EQUITIES	C	202,694.	170,723.
		-----	-----
TOTALS		349,465.	315,686.
		=====	=====

# ***CARTER ROOSEVELT IRREV TR***

41-0036-01-6

Investment Review Settlement Date Positions

Frozen Date: 06/30/2009

---

<u>Par / Shares</u>	<u>Description</u> <u>CUSIP Number</u>	<u>Price</u> <u>Price Date</u>	<u>Tax Cost</u> <u>Carrying Value</u>	<u>Market Value</u> <u>Accrual</u>
<b>CASH AND EQUIVALENTS</b>				
<b>Cash</b>				
	INCOME CASH	0.000000	0.00 0 00	0 00 0.00000
	PRINCIPAL CASH	0.000000	0 00 0.00	0 00 0 00000
<b>Total Cash</b>			0.00 0 00	0 00 0 00000
<b>Cash Equivalents</b>				
3,822 130	TD ASSET MGMT US GOVT INSTL SVS #6 87237U-83-2	1 000000 12/18/2006	3,822.13 3,822 13	3,822 13 0 09000
8,471.960	TD ASSET MGMT US GOVT PORT INSTL #2 87237U-84-0	1 000000 12/12/2006	8,471 96 8,471.96	8,471 96 0.61000
<b>Total Cash Equivalents</b>			12,294 09 12,294.09	12,294.09 0 70000
<b>Total CASH AND EQUIVALENTS</b>			12,294 09 12,294 09	12,294.09 0.70000

# ***CARTER ROOSEVELT IRREV TR***

41-0036-01-6

Investment Review Settlement Date Positions

Frozen Date: 06/30/2009

---

<u>Par / Shares</u>	<u>Description</u> <u>CUSIP Number</u>	<u>Price</u> <u>Price Date</u>	<u>Tax Cost</u> <u>Carrying Value</u>	<u>Market Value</u> <u>Accrual</u>
<b>FIXED INCOME</b>				
<b>Mutual Funds - Fixed</b>				
1,139.109	ARTIO TOTAL RETURN BOND FD-I #1524 04315J-20-9	12.830000 06/30/2009	14,857.64 14,857.64	14,614.77 50.12000
237.078	FEDERATED US GOVT SEC 2-5 YRS #47 INST SHARES 31428P-10-3	11.810000 06/30/2009	2,716.72 2,716.72	2,799.89 5.75000
2,784.778	FEDERATED TOTAL RETURN BOND FD #328 31428Q-10-1	10.460000 06/30/2009	29,818.75 29,818.75	29,128.78 120.07000
5,607.755	PIMCO TOTAL RETURN INSTL #35 693390-70-0	10.450000 06/30/2009	59,650.10 59,650.10	58,601.04 291.53000
427.678	TD ASSET MGMT SHORT TERM BOND #4 87237U-87-3	10.130000 06/30/2009	4,323.18 4,323.18	4,332.38 10.94000
3,344.552	VANGUARD GNMA FUND #36 922031-30-7	10.610000 06/30/2009	35,405.03 35,405.03	35,485.70 124.69000
<b>Total Mutual Funds - Fixed</b>			146,771.42 146,771.42	144,962.56 603.10000
<b>Total FIXED INCOME</b>			146,771.42 146,771.42	144,962.56 603.10000



# CARTER ROOSEVELT IRREV TR

41-0036-01-6

Investment Review Settlement Date Positions

Frozen Date: 06/30/2009

Par / Shares	Description <u>CUSIP Number</u>	Price <u>Price Date</u>	Tax Cost <u>Carrying Value</u>	Market Value <u>Accrual</u>
<b>EQUITIES</b>				
<b>Mutual Funds - Equities</b>				
502.174	BARON GROWTH FUND #587 068278-20-9	34.410000 06/30/2009	18,236.71 18,236.71	17,279.81 0.00000
1,037.903	COLUMBIA VALUE & RESTRUCTURING FUND #2051 19765Y-51-4	33.110000 06/30/2009	45,394.27 45,394.27	34,364.97 0.00000
659.592	DAVIS NY VENTURE FD INC CL Y FUND 909 239080-40-1	25.410000 06/30/2009	24,939.28 24,939.28	16,760.23 0.00000
2,210.885	FEDERATED KAUFMANN FD CL A #66 314172-67-7	3.900000 06/30/2009	10,702.80 10,702.80	8,622.45 0.00000
583.456	FIDELITY ADVISOR EMERGING MARKET CLASS I FUND #1290 315920-24-9	15.960000 06/30/2009	12,582.81 12,582.81	9,311.96 0.00000
1,488.343	MFS RESEARCH INTERNATIONAL FUND I FUND #899 552983-47-0	11.850000 06/30/2009	23,591.61 23,591.61	17,636.86 0.00000
1,886.466	T ROWE PRICE GROWTH STOCK FUND #40 741479-10-9	22.150000 06/30/2009	44,457.85 44,457.85	41,785.22 0.00000
1,962.362	VANGUARD SELECTED VALUE FUND #934 921946-10-9	12.720000 06/30/2009	22,788.27 22,788.27	24,961.24 0.00000
<b>Total Mutual Funds - Equities</b>			202,693.60 202,693.60	170,722.74 0.00000
<b>Total EQUITIES</b>			202,693.60 202,693.60	170,722.74 0.00000
<b>Total Settlement Date Position</b>			361,759.11 361,759.11	327,979.39 603.80000

## FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

=====

DESCRIPTION

-----

AMOUNT

-----

CASH ACCRUAL ADJUSTING ENTRY

89.

TOTAL

-----

89.

=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES  
=====DESCRIPTION  
-----AMOUNT  
-----

ROUNDING ADJUSTMENT

2.

TOTAL

-----  
2.  
=====

CARTER, ROOSEVELT 410036016

03-6035624

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

TD WEALTH MANAGEMENT

ADDRESS:

111 MAIN STREET

BURLINGTON, VT 05401

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

COMPENSATION ..... 4,599.

TOTAL COMPENSATION:

4,599.

=====

STATEMENT 8

## AVERAGE MONTHLY FMV - 990PF, PART X, LINES 1a-1c

=====

MONTH -----	LINE 1a-FMV SECURITIES -----	LINE 1b-FMV CASH BALANCES -----	LINE 1c-FMV OTHER ASSETS -----
MARCH	334,102.	20,048.	
JUNE	290,299.	15,720.	
AUGUST	271,974.	9,674.	
SEPTEMBER	285,362.	9,616.	
OCTOBER	302,250.	11,592.	
NOVEMBER	316,740.	11,865.	
DECEMBER	315,685.	12,294.	
	-----	-----	-----
TOTAL	2,116,412.	90,809.	
	=====	=====	=====
AVERAGE FMV	302,345.	12,973.	
	=====	=====	=====

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID  
=====

## RECIPIENT NAME:

GROTON VILLAGE CEMETERY ASSN.

ATTN.:TREASURER

## ADDRESS:

1476 SCOTT HIGHWAY

GROTON, VT 05046

AMOUNT OF GRANT PAID ..... 5.

## RECIPIENT NAME:

GOSPEL ASSOC. FOR THE BLIND

## ADDRESS:

ATTN.: GEORGE MONTANUS

BUNNELL, FL 32110

AMOUNT OF GRANT PAID ..... 1,008.

## RECIPIENT NAME:

GROTON UNITED METHODIST CHURCH

## ADDRESS:

ATTN: M. ROSS MCLEOD, TREASURER

GROTON, VT 05046

AMOUNT OF GRANT PAID ..... 3,023.

## RECIPIENT NAME:

REX HUMBARD FOUNDATION

## ADDRESS:

ATTN.: DON HUMBARD

BOYNTON BEACH, FL 33424-3029

AMOUNT OF GRANT PAID ..... 1,008.

## RECIPIENT NAME:

B. GRAHAM EVANGELISTIC ASSN.

ATTN.: JUSTIN T. ARNOT, LEGAL DEPT.

## ADDRESS:

1 BILLY GRAHAM PARKWAY

CHARLOTTE, NC 28201-0001

AMOUNT OF GRANT PAID ..... 1,008.

CARTER, ROOSEVELT 410036016

03-6035624

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

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RECIPIENT NAME:

THE LUTHERAN HOUR

ADDRESS:

660 MASON RIDGE CENTER DRIVE

ST LOUIS, MO 63141-8557

AMOUNT OF GRANT PAID ..... 1,008.

RECIPIENT NAME:

UNITED INDIAN MISSION INC.

ADDRESS:

FINANCE OFFICE

GLENDALE, AZ 85312-6429

AMOUNT OF GRANT PAID ..... 50.

RECIPIENT NAME:

WINGS OF HEALING

ADDRESS:

ATTN.: THOMAS R. WYATT

SO EL MONTE, CA 91733

AMOUNT OF GRANT PAID ..... 1,008.

TOTAL GRANTS PAID:

8,118.

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STATEMENT 11





## Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
CAPITAL GAINS (LOSSES) HELD FOR INVESTMENT PURPOSES					
12.287 BARON ASSET FD	07/01/2003	04/16/2009	383.00	442.00	-59.00
26.004 COLUMBIA VALUE & RESTRUCTURING FUND #2051	08/07/2006	04/16/2009	776.00	1,268.00	-492.00
18.925 DAVIS NY VENTURE FD					
INC CL Y FUND 909	10/16/2007	04/16/2009	444.00	804.00	-360.00
1942.483 FEDERATED	09/01/2004	01/16/2009	6,682.00	9,893.00	-3,211.00
611.182 FEDERATED US GOVT	07/01/2003	09/16/2008	7,102.00	7,048.00	54.00
641.882 FEDERATED US GOVT	07/01/2003	10/16/2008	7,382.00	7,402.00	-20.00
3282.682 FEDERATED US GOVT	07/01/2003	01/16/2009	39,786.00	37,491.00	2,295.00
328.793 FEDERATED TOTAL					
RETURN BOND FUND INSTITUTIONAL SHARE#6/28/2004	09/16/2008	09/16/2008	3,452.00	3,539.00	-87.00
344.841 FEDERATED TOTAL					
RETURN BOND FUND INSTITUTIONAL SHARE#6/28/2004	10/16/2008	10/16/2008	3,431.00	3,712.00	-281.00
93.732 FEDERATED TOTAL					
RETURN BOND FUND INSTITUTIONAL SHARE#6/28/2004	01/16/2009	01/16/2009	961.00	1,004.00	-43.00
83.486 FIDELITY ADVISOR					
EMERGING MARKET CLASS I FUND #1290	10/16/2007	04/16/2009	1,102.00	2,555.00	-1,453.00
121.94 ARTIO TOTAL RETURN					
BOND FUND FUND 1524 CLASS I	08/07/2006	09/16/2008	1,594.00	1,586.00	8.00
130.192 ARTIO TOTAL RETURN					
BOND FUND FUND 1524 CLASS I	08/07/2006	10/16/2008	1,607.00	1,693.00	-86.00
25.479 ARTIO TOTAL RETURN					
BOND FUND FUND 1524 CLASS I	08/07/2006	01/16/2009	321.00	332.00	-11.00
489.136 PIMCO TOTAL RETURN	07/01/2003	09/16/2008	5,185.00	5,300.00	-115.00
521.805 PIMCO TOTAL RETURN	07/01/2003	10/16/2008	5,307.00	5,654.00	-347.00
116.522 T ROWE PRICE	07/01/2003	04/16/2009	2,399.00	2,699.00	-300.00
874.684 VANGUARD MID-CAP	07/01/2003	01/16/2009	9,980.00	10,832.00	-852.00
TOTAL CAPITAL GAINS (LOSSES) HELD FOR INVESTMENT PURPOSES			97,894.00	103,254.00	-5,360.00
<b>Totals</b>			97,894.00	103,254.00	-5,360.00

## FEDERAL CAPITAL GAIN DIVIDENDS

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## LONG-TERM CAPITAL GAIN DIVIDENDS

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## 15% RATE CAPITAL GAIN DIVIDENDS

BARON ASSET FD GROWTH & INC	25.00
ARTIO TOTAL RETURN BOND FUND FUND 1524 CLASS	6.00
MFS RESEARCH INTERNATIONAL FUND I FUND #899	281.00
PIMCO TOTAL RETURN FUND #35	746.00
T ROWE PRICE GROWTH STOCK FUND	132.00

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TOTAL 15% RATE CAPITAL GAIN DIVIDENDS

1,190.00

TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS

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1,190.00  
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