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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2008

Department of the Treasury
Internal Revenue Service (77)

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2008, or tax year beginning 6/01, 2008, and ending 5/31, 2009

G Check all that apply Initial return Final return Amended return Address change Name change

Use the
IRS label.
Otherwise,
print
or type
See Specific
InstructionsTHE JOHN, MARIE AND JOSEPH WHALEN
FOUNDATION, INC.
385 WATERVLIET SHAKER ROAD
LATHAM, NY 12208

A Employer identification number

52-2256192

B Telephone number (see the instructions)

518-269-9464

C If exemption application is pending, check here

D 1 Foreign organizations, check here

2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)

\$ 2,955,678.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify)

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc, received (att sch)

544,833.

2 Ck ☐ if the foundn is not req to att Sch B

3 Interest on savings and temporary cash investments

848.

848.

4 Dividends and interest from securities

103,379.

103,379.

5a Gross rents

b Net rental income or (loss)

6a Net gain/(loss) from sale of assets not on line 10

-1,140,028.

b Gross sales price for all assets on line 6a

3,195,155.

7 Capital gain net income (from Part IV, line 2).

0.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit/(loss) (att sch)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

-490,968.

104,227.

0.

13 Compensation of officers, directors, trustees, etc

32,170.

16,085.

16,085.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule) See St 1

6,004.

3,002.

3,002.

b Accounting fees (attach sch) See St 2

14,708.

13,237.

1,471.

c Other prof fees (attach sch) See St 3

19,888.

19,888.

17 Interest

18 Taxes (attach schedule) See Stmt 4

8,483.

8,483.

19 Depreciation (attach sch) and depletion

700.

20 Occupancy

1,000.

500.

500.

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

See Statement 5

7,144.

3,559.

3,569.

24 Total operating and administrative expenses. Add lines 13 through 23

90,097.

64,754.

24,627.

25 Contributions, gifts, grants paid Part XV

5,000.

5,000.

26 Total expenses and disbursements. Add lines 24 and 25

95,097.

64,754.

0.

29,627.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

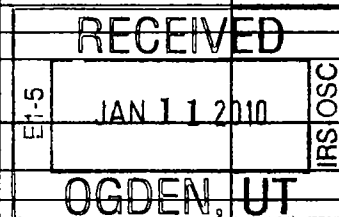
-586,065.

b Net investment income (if negative, enter -0-)

39,473.

c Adjusted net income (if negative, enter -0-)

0.



Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

ASSETS	1	Cash – non-interest-bearing	6,212.	6,698.	6,700.
	2	Savings and temporary cash investments	70,083.	449,158.	449,158.
	3	Accounts receivable			
		Less allowance for doubtful accounts			
	4	Pledges receivable			
		Less allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7	Other notes and loans receivable (attach sch)			
		Less allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U.S. and state government obligations (attach schedule) Statement 6		684,342.	676,357.
	b	Investments – corporate stock (attach schedule) Statement 7	2,203,387.	1,712,725.	1,686,384.
	c	Investments – corporate bonds (attach schedule) Statement 8	880,663.	138,237.	137,079.
	LIABILITIES	11	Investments – land, buildings, and equipment basis		
		Less accumulated depreciation (attach schedule)			
12		Investments – mortgage loans			
13		Investments – other (attach schedule)	435,905.		
14		Land, buildings, and equipment basis	2,187.		
		Less accumulated depreciation (attach schedule) See Stmt 9	1,137.		
15		Other assets (describe See Statement 10)		6,254.	
16		Total assets (to be completed by all filers – see instructions. Also, see page 1, item I)	3,598,000.	2,998,464.	2,955,678.
17		Accounts payable and accrued expenses			
18		Grants payable			
19	Deferred revenue				
20	Loans from officers, directors, trustees, & other disqualified persons				
21	Mortgages and other notes payable (attach schedule)				
22	Other liabilities (describe)	13,471.			
23	Total liabilities (add lines 17 through 22)	13,471.	0.		
NET ASSETS OR FUND BALANCES		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒			
	27	Capital stock, trust principal, or current funds	3,584,529.	2,998,464.	
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see the instructions)	3,584,529.	2,998,464.	
	31	Total liabilities and net assets/fund balances (see the instructions)	3,598,000.	2,998,464.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,584,529.
2	Enter amount from Part I, line 27a	2	-586,065.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	2,998,464.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	2,998,464.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a SEE ATTACHED BERNSTEIN INV ACCT NO 883-37219	P	Various	Various
b SEE ATTACHED MERRILL LYNCH ACCOUNT NO 808-02019	P	Various	Various
c SEE ATTACHED MERRILL LYNCH ACCOUNT NO 808-02019	D	Various	Various
d CASH IN LIEU	P	Various	Various
e SEE ATTACHED BERNSTEIN INV ACCT NO 883-37219	D	Various	Various

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,173,719.		3,150,217.	-976,498.
b 792,978.		865,145.	-72,167.
c 33,442.		48,868.	-15,426.
d 18.			18.
e 194,998.		267,472.	-72,474.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(j) Fair Market Value as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of column (i) over column (j), if any	
a			-976,498.
b			-72,167.
c			-15,426.
d			18.
e			-72,474.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-1,136,547.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2007	311,359.	3,414,984.	0.091174
2006	200,679.	2,918,039.	0.068772
2005	96,208.	2,117,225.	0.045441
2004	92,314.	1,698,471.	0.054351
2003	24,233.	1,199,557.	0.020202

2 Total of line 1, column (d)	2	0.279940
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.055988
4 Enter the net value of noncharitable-use assets for 2008 from Part X, line 5	4	2,734,889.
5 Multiply line 4 by line 3	5	153,121.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	395.
7 Add lines 5 and 6	7	153,516.
8 Enter qualifying distributions from Part XII, line 4	8	29,627.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1		
Date of ruling letter _____ (attach copy of ruling letter if necessary – see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1 789.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2 0.
3 Add lines 1 and 2		3 789.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5 789.
6 Credits/Payments		
a 2008 estimated tax pmts and 2007 overpayment credited to 2008	6a 2,520.	
b Exempt foreign organizations – tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c 7,480.	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments Add lines 6a through 6d		7 10,000.
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9 0.
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10 9,211.
11 Enter the amount of line 10 to be Credited to 2009 estimated tax 3,000. Refunded		11 6,211.

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either		
• By language in the governing instrument, or		
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XIV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) MD NY		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2008 or the taxable year beginning in 2008 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses.		X

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Part VII-A Statements Regarding Activities Continued

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>SISTER MARY ANN HAYES, CSJ</u> Telephone no <u>518-269-9464</u> Located at <u>369 WATERVLIET SHAKER ROAD LATHAM NY</u> ZIP + 4 <u>12208</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2008?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2008, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2008? ☐ Yes ☒ No If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If 'Yes,' did it have excess business holdings in 2008 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2008.)	3b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2008?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If you answered 'Yes' to 6b, also file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 11		32,170.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE'.

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	2,659,228.
b	Average of monthly cash balances	1 b	117,309.
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	2,776,537.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,776,537.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	41,648.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,734,889.
6	Minimum investment return. Enter 5% of line 5	6	136,744.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	136,744.
2a	Tax on investment income for 2008 from Part VI, line 5	2 a	789.
b	Income tax for 2008 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	789.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	135,955.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	135,955.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	135,955.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	29,627.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	29,627.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	29,627.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2007	(c) 2007	(d) 2008
1 Distributable amount for 2008 from Part XI, line 7				135,955.
2 Undistributed income, if any, as of the end of 2007				
a Enter amount for 2007 only			0.	
b Total for prior years 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2008				
a From 2003				
b From 2004				
c From 2005				
d From 2006	5,421.			
e From 2007	150,698.			
f Total of lines 3a through e	156,119.			
4 Qualifying distributions for 2008 from Part XII, line 4 ▶ \$ 29,627.				
a Applied to 2007, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2008 distributable amount				29,627.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2008 (If an amount appears in column (d), the same amount must be shown in column (a))	106,328.			106,328.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	49,791.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2007. Subtract line 4a from line 2a. Taxable amount — see instructions.			0.	
f Undistributed income for 2008 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2009				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2003 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2009. Subtract lines 7 and 8 from line 6a	49,791.			
10 Analysis of line 9				
a Excess from 2004				
b Excess from 2005				
c Excess from 2006				
d Excess from 2007	49,791.			
e Excess from 2008				

N/A

- (4) Gross investment income**

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> THE FOUNDATION AT ST. MARY'S HOSPITAL 442 GUY PARK AVENUE AMSTERDAM, NY 12010		N/A	RELIGIOUS	5,000.
Total			3a	5,000.
<i>b Approved for future payment</i>				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	848.	
4	Dividends and interest from securities			14	103,379.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	-1,140,028.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				-1,035,801.	
13	Total. Add line 12, columns (b), (d), and (e)				13	-1,035,801.

(See worksheet in the instructions for line 13 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, 990-EZ and 990-PF
► See separate instructions.

OMB No 1545-0047

2008

Name of the organization **THE JOHN, MARIE AND JOSEPH WHALEN
FOUNDATION, INC.**

Employer identification number
52-2256192

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)(____) (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule** (Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions)

General Rule –

- ☒ For organizations filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules –

- ☐ For a section 501(c)(3) organization filing Form 990, or Form 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on Form 990, Part VIII, line 1h or 2% of the amount on Form 990-EZ, line 1 Complete Parts I and II
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not aggregate to more than \$1,000 (If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose Do not complete any of the Parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of \$5,000 or more during the year) ► \$ _____

Caution: Organizations that are not covered by the General Rule and/or the Special Rules do not file Schedule B (Form 990, 990-EZ, or 990-PF) but they **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box in the heading of their Form 990-EZ, or on line 2 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

BAA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990. These instructions will be issued separately.

Schedule B (Form 990, 990-EZ, or 990-PF) (2008)

Name of organization

Employer identification number

THE JOHN, MARIE AND JOSEPH WHALEN

52-2256192

Part I Contributors (see instructions)

(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	FATHER JOHN P. WHALEN CLAT 22 HYATT ROAD BRIARCLIFF MANOR, NY 10510-2610	\$ 544,833.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization

THE JOHN, MARIE AND JOSEPH WHALEN

Employer identification number

52-2256192

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	N/A		
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2008)

Name of organization

THE JOHN, MARIE AND JOSEPH WHALEN

Employer identification number

52-2256192

Part III Exclusively religious, charitable, etc, individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. (Complete cols (a) through (e) and the following line entry.)

For organizations completing Part III, enter total of exclusively religious, charitable, etc, contributions of \$1,000 or less for the year (Enter this information once – see instructions.)

► \$ N/A

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

THE JOHN, MARIE AND JOSEPH

Account Number: 808-02013

24-Hour Assistance: (800) MERRILL

GOVERNMENT AND AGENCY SECURITIES¹

Description	Acquired	Quantity	Adjusted/ Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ U.S. TREASURY NOTE 4.000% APR 15 2010 CUSIP: 912828DR8 ORIGINAL UNIT/TOTAL COST: 103.1917/30,957.52	05/20/09	30,000	30,948	103.1090	30,932.70	(15)	144.26	1,200	3.87
Δ U.S. TREASURY NOTE 2.875% JUN 30 2010 CUSIP: 912828JC5 ORIGINAL UNIT/TOTAL COST: 102.6566/20,531.32	05/20/09	20,000	20,527	102.5350	20,507.00	(20)	236.67	575	2.80
Δ FEDERAL NATL MTG ASSOC NOTES 03.625% AUG 15 2011 CUSIP: 31398ATL6 ORIGINAL UNIT/TOTAL COST: 105.2270/5,261.35	05/20/09	5,000	5,260	105.1880	5,259.40		52.36	181	3.44
Δ U.S. TREASURY NOTE 4.500% APR 30 2012 CUSIP: 912828GQ7 ORIGINAL UNIT/TOTAL COST: 109.3832/5,469.16	05/20/09	5,000	5,467	109.0230	5,451.15	(16)	17.73	225	4.12

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The John, Marie and Joseph Johnson Foundation

Attachment to Form 990-PF

Part II, Lines 10a & 10c

52-2256192 (1/4)

TOTAL MERRILL

THE JOHN, MARIE AND JOSEPH

Account Number: 808-02013

YOUR EMA ASSETS

May 01, 2009 - May 29, 2009

GOVERNMENT AND AGENCY SECURITIES * (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ FEDERAL HOME LN MTG CORP GLOBAL NOTES 04.500% JUL 15 2013 CUSIP: 313444TZ7 ORIGINAL UNIT/TOTAL COST: 109.2367/38,232.85	05/20/09	35,000	38,226	107.8750	37,756.25	(470)	586.25	1,575	4.17
U.S. TREASURY NOTE 1.875% FEB 28 2014 CUSIP: 912828KF6	05/20/09	30,000	29,823	98.2190	29,465.70	(357)	137.57	562	1.90
Δ FEDERAL NATL MTG ASSOC NOTES 05.375% JUL 15 2016 CUSIP: 31359MS61 ORIGINAL UNIT/TOTAL COST: 113.3287/45,331.48	05/20/09	40,000	45,325	111.8130	44,725.20	(600)	800.28	2,150	4.80
Δ U.S. TREASURY NOTE 4.625% FEB 15 2017 CUSIP: 912828GH7 ORIGINAL UNIT/TOTAL COST: 112.3168/11,231.68	05/20/09	10,000	11,230	110.1880	11,018.80	(211)	131.60	462	4.19
Δ U.S. TREASURY NOTE 3.875% MAY 15 2018 CUSIP: 912828HZ6 ORIGINAL UNIT/TOTAL COST: 105.9574/10,595.74	05/20/09	10,000	10,595	103.7500	10,375.00	(220)	14.74	388	3.73
U.S. TREASURY NOTE 2.750% FEB 15 2019 CUSIP: 912828KD1	05/20/09	40,000	38,497	93.9770	37,590.80	(906)	312.98	1,100	2.92
FNMA P984075 04 50%2023 AMORTIZED FACTOR 0.879600050 AMORTIZED VALUE 52,776 CUSIP: 31415MFQ3	05/20/09	60,000	54,705	102.5401	54,116.56	(589)	184.80	2,375	4.38
Δ U.S. TREASURY BOND 06.250% AUG 15 2023 CUSIP: 912810EQ7 ORIGINAL UNIT/TOTAL COST: 125.1293/31,282.33	05/20/09	25,000	31,279	121.2970	30,324.25	(955)	444.58	1,562	5.15
Δ U.S. TRSY INFLATION NOTE 2.375% JAN 15 2025 INFL ADJ/PRIN 16.922 CUSIP: 912810FR4 ORIGINAL UNIT/TOTAL COST: 115.4007/17,310.11	05/20/09	15,000	17,315	101.2810	17,139.47	(175)	131.87	402	2.34

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THE JOHN, MARIE AND JOSEPH

Account Number: 808-02013

24-Hour Assistance: (800) MERRILL

YOUR EMA ASSETS

May 01, 2009 - May 29, 2009

GOVERNMENT AND AGENCY SECURITIES ¹ (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
FNMA P725027 05% 2033 AMORTIZED FACTOR 0.531681630 AMORTIZED VALUE 101,019 CUSIP: 31402CPLO	05/20/09	190,000	104,902	102.7822	103,830.07	(1,072)	393.30	5,051	4.86
FNMA P725690 06% 2034 AMORTIZED FACTOR 0.324988800 AMORTIZED VALUE 63,372 CUSIP: 31402DF70	05/20/09	195,000	67,294	105.3645	66,772.45	(521)	296.40	3,802	5.69
FNMA P745418 05 50% 2036 AMORTIZED FACTOR 0.682858620 AMORTIZED VALUE 105,843 CUSIP: 31403DDX4	05/20/09	155,000	110,341	103.6770	109,734.93	(606)	452.60	5,821	5.30
FNMA P888129 05 50% 2037 AMORTIZED FACTOR 0.729195740 AMORTIZED VALUE 29,167 CUSIP: 31410FWW2	05/20/09	40,000	30,434	103.5364	30,199.32	(235)	124.80	1,604	5.31
Δ U.S. TREASURY BOND 4.750% FEB 15 2037 04.750% FEB 15 2037 CUSIP: 912810PT9	05/20/09	10,000	10,980	106.2660	10,626.60	(353)	135.15	475	4.46
ORIGINAL UNIT/TOTAL COST: 109.8051/10,980.51									
Δ U.S. TREASURY BOND 4.500% MAY 15 2038 CUSIP: 912810PX0	05/20/09	20,000	21,185	102.6560	20,531.20	(654)	34.24	900	4.38
ORIGINAL UNIT/TOTAL COST: 105.9301/21,186.02									
TOTAL		935,000	684,342		676,356.85	(7,975)	4,632.18	30,412	4.50

CORPORATE BONDS	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ GENERAL ELECTRIC COMPANY GLB 05.000% FEB 01 2013 MOODY'S: AA2 S&P: AA+ CUSIP: 369604AY9	05/20/09	30,000	31,264	102.9520	30,885.60	(378)	491.67	1,500	4.85
ORIGINAL UNIT/TOTAL COST: 104.2230/31,266.90									
PEPSICO INC GLB 04.650% FEB 15 2013 MOODY'S: AA2 S&P: A+ CUSIP: 713448BG2	05/26/09	10,000	10,522	105.3190	10,531.90	9	134.33	465	4.41

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TOTAL MERRILL

THE JOHN, MARIE AND JOSEPH

Account Number: 808-02013

YOUR EMA ASSETS

May 01, 2009 - May 29, 2009

CORPORATE BONDS (continued)	Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ USD GOLDMAN SACHS 5.150% JAN 15 2014 MOODY'S: A1 S&P: A CUSIP: 38143UAB7 ORIGINAL UNIT/TOTAL COST: 100.8130/15,121.95		05/20/09	15,000	15,121	98.1280	14,719.20	(402)	287.54	772	5.24
Δ SHELL INTERNATIONAL FIN COMPANY GUARNT GLB 04.000% MAR 21 2014 MOODY'S: AA1 S&P: AA+ CUSIP: 822582AF9 ORIGINAL UNIT/TOTAL COST: 103.4080/20,681.60		05/20/09	20,000	20,680	103.3140	20,662.80	(17)	146.67	800	3.87
Δ JPMORGAN CHASE & CO SUBORDINATED GLB 05.125% SEP 15 2014 MOODY'S: A1 S&P: A CUSIP: 46625HBV1 ORIGINAL UNIT/TOTAL COST: 100.2860/30,085.80		05/21/09	30,000	30,085	99.0160	29,704.80	(380)	316.04	1,537	5.17
Δ CONOCOPHILLIPS COMPANY GUARNT 04.600% JAN 15 2015 MOODY'S: A1 S&P: A CUSIP: 20825CAT1 ORIGINAL UNIT/TOTAL COST: 102.8990/10,289.90		05/21/09	10,000	10,289	102.1810	10,218.10	(71)	10.22	460	4.50
Δ SBC COMMUNICATIONS GLB 05.625% JUN 15 2016 MOODY'S: A2 S&P: A CUSIP: 78387GAL7 ORIGINAL UNIT/TOTAL COST: 101.3650/20,273.00		05/22/09	20,000	20,272	101.7850	20,357.00	84	512.50	1,125	5.52
TOTAL			135,000	138,237		137,079.40	(1,155)	1,898.97	6,660	4.86

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

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THE JOHN, MARIE AND JOSEPH

Account Number: 808-02019

24-Hour Assistance: (800) MERRILL

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ABBOTT LABS	ABT	N/A	60 ✓	N/A	N/A	45.0600	2,703.60	N/A	96	3.55
		05/20/09	107	43.3600	4,639	45.0600	4,821.42	181	171	3.55
Subtotal			167		4,639		7,525.02	181	267	3.55
ACCENTURE LTD CL A	ACN	05/20/09	287 ✓	30.1681	8,658	29.9300	8,589.91	(68)	144	1.67
ACE LIMITED	ACE	N/A	135	N/A	N/A	43.9900	5,938.65	N/A	149	2.50
		05/20/09	133	44.4663	5,914	43.9900	5,850.67	(63)	146	2.50
Subtotal			268		5,914		11,789.32	(63)	295	2.50
† ACTIVISION BLIZZARD INC	ATVI	05/20/09	403	11.5999	4,674	12.0800	4,868.24	193		
AGNICO EAGLE MINES LTD	AEM	05/20/09	94	55.8200	5,247	61.8600	5,814.84	567	17	.29
ALCOA INC	AA	05/20/09	832	10.0568	8,367	9.2200	7,671.04	(696)	100	1.30
ALTERA CORP COM	ALTR	05/20/09	289	16.1973	4,681	17.0200	4,918.78	237	58	1.17
AMAZON COM INC COM	AMZN	05/20/09	57	79.0800	4,507	77.9900	4,445.43	(62)		
AMERICAN TOWER CORP CL A	AMT	05/20/09	212	30.5146	6,469	31.8700	6,756.44	287		
AMGEN INC COM PV \$0.0001	AMGN	N/A	130 ✓	N/A	N/A	49.9400	6,492.20	N/A		

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The John, Marie and Joseph Whalen Foundation

Attachment to Form 990-PF
Part II, Line 106

52-2256192 (11/16)



TOTAL MERRILL

THE JOHN, MARIE AND JOSEPH

Account Number: 808-02019

May 01, 2009 - May 29, 2009

YOUR EMA ASSETS

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
Description					Cost Basis	Market Price						
ANADARKO PETE CORP		APC	05/20/09	169	46.1800	27.5200	7,804	47.7800	8,074.82	270	61	.75
ANALOG DEVICES INC COM		ADI	05/20/09	287	23.5195	24.7900	6,750	24.4100	7,005.67	255	230	3.27
APOLLO GROUP INC CL A		APOL	05/20/09	142	62.9699	59.1000	8,941	59.1000	8,392.20	(549)		
APPLE INC		AAPL	N/A	63 ✓	N/A	135.8100	N/A	135.8100	8,556.03	N/A		
ARCELORMITTAL SA, LUXEMBOURG		MT	05/20/09	257	30.6282	33.1800	7,871	33.1800	8,527.26	655	328	3.84
ARCHER DANIELS MIDLD AT&T INC		ADM	N/A	331 ✓	N/A	27.5200	N/A	27.5200	9,109.12	N/A	185	2.03
↑ AUTOZONE INC NEVADA COM		T	N/A	664 ✓	N/A	24.7900	N/A	24.7900	16,460.56	N/A	1,089	6.61
BAE SYS PLC SPN ADR		AZO	05/20/09	59	162.8430	152.1500	9,607	152.1500	8,976.85	(630)		
BANCO B VIZ ARGST SA ADR		BAESY	05/20/09	326	23.5800	22.1500	7,687	22.1500	7,220.90	(466)	260	3.60
BANCO BRADESCO S A ADR		BBV	05/20/09	403	12.0073	12.2600	4,838	12.2600	4,940.78	101	234	4.73
BANCO SANTANDER SA ADR		BBD	05/20/09	282	14.1874	15.2700	4,000	15.2700	4,306.14	305	45	1.05
BANK NEW YORK MELLON CORP		STD	05/20/09	511	10.1300	10.7400	5,176	10.7400	5,488.14	311	364	6.63
		BK	N/A	105 ✓	N/A	27.7800	N/A	27.7800	2,916.90	N/A	38	1.29
			05/20/09	339	28.2400	27.7800	9,573	27.7800	9,417.42	(155)	122	1.29
Subtotal				444			9,573		12,334.32	(155)	160	1.29
↑ BASF SE SPONSORED ADR		BASFY	05/20/09	142	42.1100	42.1520	5,979	42.1520	5,985.58	5	269	4.48
BAXTER INTERNTL INC		BAX	N/A	151 ✓	N/A	51.1900	N/A	51.1900	7,729.69	N/A	157	2.03
BG GROUP PLC SPON ADR		BRGY	05/20/09	52	85.4700	90.7200	4,444	90.7200	4,717.44	273	49	1.02
BHP BILLITON PLC SP ADR		BBL	05/20/09	105	46.6300	48.1000	4,896	48.1000	5,050.50	154	172	3.40
BJ SERVICES CO		BJS	05/20/09	948	15.9279	15.6400	15,099	15.6400	14,826.72	(272)	190	1.27
BMC SOFTWARE INC		BMC	05/20/09	280	35.4573	34.1000	9,928	34.1000	9,548.00	(380)	432	7.04
BNP PARIBAS SPONSORD ADR		BNPQY	05/20/09	177	31.8300	34.6700	5,633	34.6700	6,136.59	502		
BOSTON SCIENTIFIC CORP		BSX	05/20/09	512	8.9581	9.4000	4,586	9.4000	4,812.80	226		
BRISTOL-MYERS SQUIBB CO		BMV	N/A	250 ✓	N/A	19.9200	N/A	19.9200	4,980.00	N/A	310	6.22
			05/20/09	759	20.5696	19.9200	15,612	19.9200	15,119.28	(493)	941	6.22
Subtotal				1,009			15,612		20,099.28	(493)	1,251	6.22
BRITISH AMN TOBACO SPADR		BTI	05/20/09	171	52.1197	54.7500	8,912	54.7500	9,362.25	449	449	4.80
BROADCOM CORP CALIF CL A		BRCM	05/20/09	180	22.5172	25.4800	4,053	25.4800	4,586.40	533		
CA INC		CA	05/20/09	532	18.0484	17.4500	9,601	17.4500	9,283.40	(318)	85	.91
CANON INC ADR REP5SH		CAJ	05/20/09	176	34.8496	33.1700	6,133	33.1700	5,837.92	(295)	173	2.96

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THE JOHN, MARIE AND JOSEPH

Account Number: 808-02019

24-Hour Assistance: (800) MERRILL

YOUR EMA ASSETS

May 01, 2009 - May 29, 2009

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
Description					Cost Basis							
CARMAX INC	COM	KMX	05/20/09	215	10.8373		2,330	11.2100	2,410.15	80		
CELGENE CORP	COM	CELG	N/A	64✓	N/A		N/A	42.2400	2,703.36	N/A		
CHECK POINT SOFTWARE TECH		CHKP	05/20/09	531	23.9781		12,732	23.3500	12,398.85	(333)		
CHEVRON CORP		CVX	N/A	377✓	N/A		N/A	66.6700	25,134.59	N/A	980	3.89
CHINA MOBILE LTD SPN ADR		CHL	05/20/09	70	47.9600		3,357	49.2100	3,444.70	87	60	1.74
CHUBB CORP		CB	05/20/09	206	38.9589		8,025	39.6500	8,167.90	142	288	3.53
CISCO SYSTEMS INC COM		CSCO	N/A	309✓	N/A		N/A	18.5000	5,716.50	N/A		
CLOROX CO DEL COM		CLX	05/20/09	154	52.7546		8,124	52.4400	8,075.76	(48)	283	3.50
CME GROUP INC		CME	N/A	10✓	N/A		N/A	321.6400	3,216.40	N/A	46	1.43
CNOOC LTD ADR		CEO	05/20/09	29	134.7000		3,906	134.0200	3,886.58	(19)	142	3.65
COCA COLA COM		KO	N/A	110✓	N/A		N/A	49.1600	5,407.60	N/A	180	3.33
			05/20/09	74	46.6300		3,450	49.1600	3,637.84	187	121	3.33
				184			3,450		9,045.44	187	302	3.33
Subtotal												
COMPUTER SCIENCE CRP		CSC	05/20/09	190	37.4018		7,106	42.4600	8,067.40	961	231	4.10
CONOCOPHILLIPS		COP	N/A	123✓	N/A		N/A	45.8400	5,638.32	N/A	158	3.04
COOPER INDUSTRS LTD CL A		CBE	N/A	158✓	N/A		N/A	32.8200	5,185.56	N/A	109	1.79
COVIDIEN LTD		COV	05/20/09	170	35.6990		6,068	35.7200	6,072.40	3	8	.13
CREDIT SUISSE GP SP ADR		CS	05/20/09	122	43.2100		5,271	44.8300	5,469.26	197	179	2.77
CSX CORP		CSX	05/20/09	203	29.6572		6,020	31.7600	6,447.28	426	111	2.15
CUMMINS INC COM		CMI	05/20/09	159	33.2064		5,279	32.4300	5,156.37	(123)	41	1.62
D R HORTON INC		DHI	05/20/09	271	10.1473		2,749	9.2100	2,495.91	(254)	115	2.16
DAIMLER A		DAI	05/20/09	145	36.5173		5,295	36.7100	5,322.95	27	13	.19
DANAHER CORP DEL COM		DHR	N/A	105✓	N/A		N/A	60.3500	6,336.75	N/A	3	.19
			05/20/09	29	60.5700		1,756	60.3500	1,750.15	(6)	16	.19
				134			1,756		8,086.90	(6)	102	2.21
Subtotal												
DARDEN RESTAURANTS INC		DRI	05/20/09	127	36.2695		4,606	36.1700	4,593.59	(12)	356	2.57
DEERE CO		DE	05/20/09	318	45.8568		14,582	43.4700	13,823.46	(759)	162	1.44
DELTA AIR LINES INC		DAL	05/20/09	537	6.8367		3,671	5.8100	3,119.97	(551)	427	5.50
DISNEY (WALT) CO COM STK		DIS	N/A	464✓	N/A		N/A	24.2200	11,238.08	N/A	176	3.18
† DOMINION RES INC NEW VA		D	05/20/09	244	31.4977		7,685	31.7900	7,756.76	71		
DOVER CORP		DOV	05/20/09	176	32.3900		5,700	31.4400	5,533.44	(167)		

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THE JOHN, MARIE AND JOSEPH

Account Number: 808-02019

TOTAL MERRILL

YOUR EMA ASSETS

EQUITIES (continued)										May 01, 2009 - May 29, 2009		
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%		
DU PONT E I DE NEMOURS	DD	N/A	180 ✓	N/A	N/A	28.4700	5,124.60	N/A	295	5.76		
Subtotal												
↑ E.ON AG ADR	EONGY	05/20/09	303	28.8364	8,737	28.4700	8,626.41	(111)	497	5.76		
ELI LILLY & CO	LLY	N/A	483		8,737		13,751.01	(111)	792	5.76		
↑ EMERSON ELEC CO	EMR	N/A	217 ✓	34.6900	7,527	35.4800	7,699.16	171	320	4.15		
EXPRESS SCRIPTS INC COM	ESRX	05/20/09	85 ✓	N/A	N/A	34.5700	3,698.99	N/A	210	5.66		
EXXON MOBIL CORP COM	XOM	N/A	182	60.1898	10,954	32.0900	2,727.65	N/A	112	4.11		
Subtotal												
FAMILY DOLLAR STORES	FDO	05/20/09	325 ✓	N/A	N/A	64.0500	11,657.10	702				
FLUOR CORP NEW DEL COM	FLR	N/A	564	71.2881	40,206	69.3500	22,538.75	N/A	546	2.42		
FOREST LABS INC	FRX	05/20/09	889		40,206	69.3500	39,113.40	(1,093)	948	2.42		
FREEMT-MCMRAN CPR & GLD	FCX	05/20/09	224 ✓	31.2045	6,989	30.2700	6,780.48	(209)	1,494	2.42		
FRESENIUS MEDICAL CARE	FRX	N/A	60 ✓	N/A	N/A	46.9800	2,818.80	N/A	121	1.78		
AG & CO SPON ADR	FCX	05/20/09	342	23.7573	8,125	23.6900	8,101.98	(23)	30	1.06		
GAP INC DELAWARE	FMS	05/20/09	74	51.4300	3,805	54.4300	4,027.82	222				
GENERAL MILLS	FMS	05/20/09	169	41.9091	7,082	42.2100	7,133.49	50	204	2.86		
Subtotal												
GILEAD SCIENCES INC COM	GPS	N/A	291 ✓	N/A	N/A	17.8500	5,194.35	N/A	99	1.90		
GLAXOSMITHKLINE PLC ADR	GIS	N/A	100 ✓	N/A	N/A	51.1800	5,118.00	N/A	172	3.36		
GOOGLE INC CL A		05/20/09	208	52.4751	10,914	51.1800	10,645.44	(269)	358	3.36		
HALLIBURTON COMPANY	GILD	N/A	308		10,914		15,763.44	(269)	530	3.36		
HEINZ H J CO PV 25CT	GSK	N/A	125 ✓	N/A	N/A	43.1000	5,387.50	N/A	283	5.62		
HEWLETT PACKARD CO DEL	GOOG	N/A	149 ✓	N/A	N/A	33.7100	5,022.79	N/A				
HOME DEPOT INC	HAL	05/20/09	20 ✓	N/A	N/A	417.2300	8,344.60	N/A				
HONEYWELL INTL INC DEL	HNZ	05/20/09	593	22.8153	13,529	22.9300	13,597.49	67	213	1.57		
Subtotal												
HUDSON CITY BANCORP INC	HPQ	N/A	146	35.7965	5,226	36.5800	5,340.68	114	245	4.59		
	HD	N/A	809 ✓	N/A	N/A	34.3500	27,789.15	N/A	259	.93		
	HON	N/A	105 ✓	N/A	N/A	23.1600	2,431.80	N/A	95	3.88		
		05/20/09	135 ✓	N/A	N/A	33.1600	4,476.60	N/A	163	3.64		
			382	33.4196	12,766	33.1600	12,667.12	(99)	462	3.64		
			517		12,766		17,143.72	(99)	626	3.64		
			341	12.4973	4,261	12.8300	4,375.03	113	205	4.67		

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THE JOHN, MARIE AND JOSEPH

Account Number: 808-02019

24-Hour Assistance: (800) MERRILL

YOUR EMA ASSETS

May 01, 2009 - May 29, 2009

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
INTEL CORP	INTC	N/A	350	N/A	N/A	15.7200	5,502.00	N/A	196	3.56
Subtotal		05/20/09	352	15.7473	5,543	15.7200	5,533.44	(9)	197	3.56
INTL BUSINESS MACHINES CORP IBM	IBM	05/20/09	702		5,543		11,035.44	(9)	393	3.56
Subtotal		05/26/09	244	105.5990	25,766	106.2800	25,932.32	166	537	2.07
↑ INTL PAPER CO	IP	05/20/09	13	104.5469	1,359	106.2800	1,381.64	22	29	2.07
J C PENNEY CO COM	JCP	N/A	257		27,125		27,313.96	188	565	2.07
JPMORGAN CHASE & CO	JPM	N/A	247	14.9295	3,687	14.3700	3,549.39	(138)	25	.69
Subtotal		N/A	159	N/A	N/A	26.0900	4,148.31	N/A	127	3.06
KIMBERLY CLARK	KMB	N/A	566	N/A	N/A	36.9000	20,885.40	N/A	113	.54
KOHL'S CORP WISC PV 1CT	KSS	N/A	330	N/A	N/A	36.9000	12,177.00	N/A	66	.54
KONINKLIJKE AHOLD NV ADR	AHONY	05/20/09	896				33,062.40		179	.54
KRAFT FOODS INC VA CL A	KFT	05/20/09	299	51.6746	15,450	51.8900	15,515.11	64	718	4.62
KROGER CO	KR	05/20/09	149	N/A	N/A	42.4700	6,328.03	N/A		
L-3 COMMUNICTNS HLDGS	LRL	05/20/09	346	11.8300	4,093	12.0600	4,172.76	79	62	1.47
LAM RESEARCH CORP COM	LRCX	05/20/09	696	25.1094	17,476	26.1100	18,172.56	696	807	4.44
LOCKHEED MARTIN CORP	LMT	N/A	222	21.8995	4,861	22.8000	5,061.60	199	80	1.57
Subtotal		05/20/09	118	74.5911	8,801	73.5100	8,674.18	(127)	165	1.90
LSI CORPORATION	LSI	05/20/09	153	26.8698	4,111	26.1900	4,007.07	(104)		
MASSEY ENERGY CO COM	MEE	05/20/09	30	N/A	N/A	83.6300	2,508.90	N/A	68	2.72
MCDONALDS CORP COM	MCD	05/20/09	52	81.8200	4,254	83.6300	4,348.76	94	119	2.72
MCKESSON CORPORATION COM	MCK	05/20/09	82		4,254		6,857.66	94	187	2.72
MEDCO HEALTH SOLUTIONS I	MHS	N/A	4,442	4.1492	18,430	4.4700	19,855.74	1,424		
METLIFE INC COM	MET	N/A	128	20.3875	2,609	22.8900	2,929.92	320	31	1.04
MICRON TECHNOLOGY INC	MU	05/20/09	286	N/A	N/A	58.9900	16,871.14	N/A	572	3.39
MICROSOFT CORP	MSFT	N/A	171	39.5194	6,757	41.1500	7,036.65	278	82	1.16
Subtotal		05/20/09	263	N/A	N/A	45.8900	12,069.07	N/A		
			426	N/A	N/A	31.5000	13,419.00	N/A	315	2.34
			2,528	5.0792	12,840	5.0600	12,791.68	(48)		
			200	N/A	N/A	20.8900	4,178.00	N/A	104	2.48
			370	20.3898	7,544	20.8900	7,729.30	185	192	2.48
			570		7,544		11,907.30	185	296	2.48

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TOTAL MERRILL

THE JOHN, MARIE AND JOSEPH

Account Number: 808-02019

YOUR EMA ASSETS

EQUITIES (continued)												May 01, 2009 - May 29, 2009	
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%			
MITSUBISHI UFJ FINL GRP INC	MTU	05/20/09	960	6.5490	6,287	6.3400	6,086.40	(200)	123	2.01			
↑ MORGAN STANLEY	MS	N/A	365✓	N/A	N/A	30.3200	11,066.80	N/A	97	.87			
		05/20/09	41	29.4300	1,206	30.3200	1,243.12	36	11	.87			
			406		1,206		12,309.92	36	108	.87			
NESTLE S A REP RG SH ADR	NSRGY	05/20/09	183	36.9500	6,761	36.4700	6,674.01	(87)	142	2.12			
NINTENDO LTD ADR	NTDOY	05/20/09	83	33.6200	2,790	33.6800	2,795.44	4	165	5.88			
NOKIA CORP SPON ADR	NOK	N/A	526✓	N/A	N/A	15.3000	8,047.80	N/A	207	2.56			
NOMURA HLDGS INC ADR	NMR	05/20/09	592	7.1300	4,220	7.5800	4,487.36	266	184	4.10			
NORTHROP GRUMMAN CORP	NOC	N/A	135✓	N/A	N/A	47.6200	6,428.70	N/A	232	3.61			
		05/20/09	75	49.1500	3,686	47.6200	3,571.50	(114)	129	3.61			
			210		3,686		10,000.20	(114)	361	3.61			
NRG ENERGY INC	NRG	05/20/09	167	20.1022	3,357	22.5000	3,757.50	400					
NUCOR CORPORATION	NUE	05/20/09	135	42.9420	5,797	43.9100	5,927.85	130	189	3.18			
NVIDIA	NVDA	N/A	234✓	N/A	N/A	10.4300	2,440.62	N/A					
OCCIDENTAL PETE CORP CAL	OXY	N/A	105✓	N/A	N/A	67.1100	7,046.55	N/A	139	1.96			
		05/20/09	14	63.7500	892	67.1100	939.54	47	18	1.96			
			119		892		7,986.09	47	157	1.96			
ORACLE CORP \$0.01 DEL	ORCL	05/20/09	326	19.3199	6,298	19.5900	6,386.34	88	65	1.02			
PEABODY ENERGY CORP COM	BTU	05/20/09	165	32.9946	5,444	33.9800	5,606.70	162	40	.70			
PETROLEO BRAS VTG SPD ADR	PBR	05/20/09	205	41.2591	8,458	44.0300	9,026.15	568	59	.64			
		05/22/09	26	40.6200	1,056	44.0300	1,144.78	88	7	.64			
			231		9,514		10,170.93	656	66	.64			
PETROHAWK ENERGY CORP	HK	05/20/09	248	24.2681	6,018	25.2000	6,249.60	231					
PFIZER INC DEL PV\$0.05	PFE	N/A	1,446✓	N/A	N/A	15.1900	21,964.74	N/A	925	4.21			
PHILIP MORRIS INTL INC	PM	N/A	155✓	N/A	N/A	42.6400	6,609.20	N/A	335	5.06			
PMC SIERRA INC	PMCS	05/20/09	628	7.4685	4,690	7.5900	4,766.52	76					
PROCTER & GAMBLE CO	PG	N/A	78✓	N/A	N/A	51.9400	4,051.32	N/A	137	3.38			
PRUDENTIAL FINANCIAL INC	PRU	05/20/09	131	43.9445	5,756	39.9100	5,228.21	(528)	76	1.45			
QUALCOMM INC	QCOM	N/A	296✓	N/A	N/A	43.5900	12,902.64	N/A	201	1.56			
QWEST COMM INTL INC COM	Q	05/20/09	6,114	4.2299	25,861	4.3600	26,657.04	795	1,956	7.33			

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THE JOHN, MARIE AND JOSEPH

Account Number: 808-02019

24-Hour Assistance: (800) MERRILL

YOUR EMA ASSETS

EQUITIES (continued)												May 01, 2009 - May 29, 2009	
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%			
RAYTHEON CO DELAWARE NEW	RTN	05/20/09	595	44.6961	26,594	44.6500	26,566.75	(27)	738	2.77			
REED ELSEVIER P L C	RUK	05/20/09	189	32.8633	6,211	32.5100	6,144.39	(66)	252	4.10			
SPONSORED ADR NEW													
ROCHE HLDG LTD SPN ADR	RHHBY	05/20/09	191	32.8300	6,270	34.2400	6,539.84	269	127	1.94			
ROSS STORES INC COM	ROST	05/20/09	231	37.1300	8,577	39.1600	9,045.96	468	102	1.12			
RWE AG SPONSORED ADR	RWEOY	05/20/09	118	83.0400	9,798	82.9500	9,788.10	(10)	506	5.16			
SAFEWAY INC NEW	SWY	N/A	215	N/A	N/A	20.2600	4,355.90	N/A	86	1.97			
SALESFORCE COM INC	CRM	05/20/09	91	41.7300	3,797	37.9500	3,453.45	(343)					
SANDVIK AB ADR	SDVKY	05/20/09	792	8.1200	6,431	8.1300	6,438.96	7	200	3.11			
SANOFI AVENTIS SPON ADR	SNY	N/A	215	N/A	N/A	31.6900	6,813.35	N/A	240	3.52			
SCHERING PLOUGH CORP	SGP	N/A	550	N/A	N/A	24.4000	13,420.00	N/A	143	1.06			
SCHLUMBERGER LTD	SLB	N/A	121	N/A	N/A	57.2300	6,924.83	N/A	102	1.46			
SEKISUI HSE LD SPONS ADR	SKHSY	05/20/09	482	9.6800	4,665	9.5900	4,622.38	(43)	91	1.97			
SOUTHERN COMPANY	SO	05/20/09	337	27.9972	9,435	28.4100	9,574.17	139	590	6.15			
SUMITOMO MITSU FINL ADR	SMFJY	05/20/09	1,507	4.0200	6,058	3.8000	5,726.60	(331)	152	2.65			
SUNOCO INC PV\$1 PA	SUN	05/20/09	94	29.7900	2,800	30.4300	2,860.42	60	113	3.94			
SYSCO CORPORATION	SYV	05/20/09	313	23.7181	7,423	23.9600	7,499.48	75	300	4.00			
TELEFONICA SA SPAIN ADR	TEF	05/20/09	120	61.7865	7,414	64.9200	7,790.40	376	378	4.84			
TESCO PLC SPNRD ADR	TSCDY	05/20/09	529	16.6500	8,807	17.6100	9,315.69	507	258	2.76			
TEVA PHARMACTCL INDS ADR	TEVA	N/A	89	N/A	N/A	46.3600	4,126.04	N/A	38	.92			
TIME WARNER CABLE INC SHS	TWC	N/A	217	N/A	N/A	30.7900	6,681.43	N/A					
TIME WARNER INC SHS	TWX	N/A	551	N/A	N/A	23.4200	12,904.42	N/A	413	3.20			
TOKIO MARINE HOLDINGS INC, TOKYO ADR	TKOMY	05/20/09	184	29.9000	5,501	29.1500	5,363.60	(138)	79	1.46			
TOTAL S.A. SP ADR	TOT	N/A	16	N/A	N/A	57.6500	922.40	N/A	41	4.42			
TRAVELERS COS INC	TRV	N/A	270	N/A	N/A	40.6600	10,978.20	N/A	324	2.95			
TYCO INTL LTD NAMEN-AKT	TYC	05/20/09	471	27.1291	12,777	27.6100	13,004.31	226	380	2.91			
UBS AG	UBS	05/20/09	421	15.0904	6,353	15.0300	6,327.63	(25)					
UNILEVER NV NY REG SHS	UN	05/20/09	874	24.0090	20,983	23.9400	20,923.56	(60)	760	3.63			
UNITEDHEALTH GROUP INC	UNH	05/20/09	186	27.3295	5,083	26.6000	4,947.60	(135)	6	.11			

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TOTAL MERRILL

THE JOHN, MARIE AND JOSEPH

Account Number: 808-02019

YOUR EMA ASSETS

May 01, 2009 - May 29, 2009

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
UNUM GROUP	UNUM	N/A	200 ✓	N/A	N/A	17.1100	3,422.00	N/A	60	1.75
US BANCORP (NEW)	USB	05/20/09	328	19.4772	6,388	19.2000	6,297.60	(90)	66	1.04
VALE SA	VALE	N/A	177	N/A 3.55	N/A	19.1500	3,389.55	N/A	50	1.48
VALERO ENERGY CORP NEW	VLO	05/20/09	227	22.3095	5,064	22.3700	5,077.99	13	136	2.68
VERIZON COMMUNICATIONS COM	VZ	05/20/09	507	29.9495	15,184	29.2600	14,834.82	(349)	933	6.28
VESTAS WIND SYTS AS ADR	VWDY	05/20/09	160	25.9800	4,156	24.3500	3,896.00	(260)		
VIACOM INC NEW CL B	VIAB	N/A	450 ✓	N/A	N/A	22.1700	9,976.50	N/A		
		05/20/09	179	21.3100	3,814	22.1700	3,968.43	153		
Subtotal			629		3,814		13,944.93	153		
VIVENDI SHS	VVDY	05/20/09	243	26.2300	6,373	25.8500	6,281.55	(92)	339	5.40
VODAFONE GROUP PLC NEW	VOD	N/A	350 ✓	N/A	N/A	18.8200	6,587.00	N/A	399	6.05
		05/20/09	58	18.7300	1,086	18.8200	1,091.56	5	66	6.05
Subtotal			408		1,086		7,678.56	5	465	6.05
WAL-MART STORES INC	WMT	N/A	195 ✓	N/A	N/A	49.7400	9,699.30	N/A	213	2.19
		05/20/09	81	49.9900	4,049	49.7400	4,028.94	(20)	88	2.19
Subtotal			276		4,049		13,728.24	(20)	307	2.19
WELLPOINT INC	WLP	05/20/09	170	46.9075	7,974	46.5700	7,916.90	(57)		
WELLS FARGO & CO NEW DEL	WFC	05/20/09	151	25.9794	3,922	25.5000	3,850.50	(72)	30	.78
WPP PLC ADR	WPPGY	05/20/09	129	36.6699	4,730	37.3900	4,823.31	92	143	2.96
WSTN DIGITAL CORP DEL	WDC	N/A	308 ✓	N/A	N/A	24.8500	7,653.80	N/A		
WYETH	WYE	N/A	55 ✓	N/A	N/A	44.8600	2,467.30	N/A	66	2.67
XEROX CORP	XRX	05/20/09	2,602	6.8992	17,951	6.8000	17,693.60	(258)	442	2.50
XILINX INC	XLNX	05/20/09	237	20.1599	4,777	20.7400	4,915.38	137	133	2.70
ZURICH FINL SVCS SPN ADR	ZFSVY	05/20/09	311	18.5000	5,753	18.7700	5,837.47	83	246	4.21
3M COMPANY	MMM	05/20/09	90	59.3300	5,339	57.1000	5,139.00	(200)	184	3.57
TOTAL					981,866		1,512,681.40	5,698	37,247	2.46

Value 3.55
985,381

Note: Securities listed with
cost basis of "N/A" were transferred
from Bernstein. A schedule of
those investments is attached

+

005

8047

557,828
1,543,209

27 of 75

(8/16)

THE JOHN, MARIE AND JOSEPH

Account Number: 808-02019

24-Hour Assistance: (800) MERRILL

YOUR EMA ASSETS

May 01, 2009 - May 29, 2009

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
GLOBAL SMALL CAP PORT MANAGED ACCT SERIES SYMBOL: MGCSX Initial Purchase: 05/20/09 Equity 100%	9,854	85,237	2,364	85,237	8.8900	87,602.06	2,364	936	1.06
MID CAP VALUE OPPS PORTFOLIO CLS SYMBOL: MMCVX Initial Purchase: 05/20/09 Equity 100%	12,144	84,279	1,821	84,279	7.0900	86,100.96	1,821	2,016	2.34
Subtotal (Equities)				169,516		173,703.02	4,185	2,951	1.70
TOTAL									

previous page

1,543,209

1,512,681.40

1,712,725

1,686,384

(9/16)

(15/16)

Whalen Foundation
Securities transferred from Bernstein held as of 5/31/09

*** Note - for donated securities, the purchase date is the carryover date, not the date securities were donated.**

Purchase Date	Qty. Description	Unit Cost	Total Cost
11/15/07	50.000 Abbott Labs	\$53.13	\$ 2,656.68
02/21/08	10.000 Abbott Labs	\$55.66	\$ 556.60
04/21/09	70.000 ACE LIMITED	\$44.58	\$3,120.80
01/06/09	65.000 ACE LIMITED	\$52.14	\$3,389.02
03/20/09	35.000 AMGEN INC	\$49.18	\$1,721.39
01/06/09	55.000 AMGEN INC	\$59.32	\$3,262.58
12/04/08	30.000 AMGEN INC	\$56.61	\$1,698.34
07/08/08	10.000 AMGEN INC	\$50.11	\$501.12
	21.000 Apple	\$133.27	\$ 2,798.67
10/21/08	25.000 APPLE INC	\$96.58	\$2,414.56
07/02/08	17.000 APPLE INC	\$174.71	\$2,970.02
01/14/09	331.000 ARCHER-DANIELS-MIDLAND CO	\$24.27	\$8,034.69
05/31/07	55.000 AT&T	\$25.27	\$ 1,389.99
10/19/07	100.000 AT&T	\$41.65	\$ 4,165.41
10/24/07	100.000 AT&T	\$41.17	\$ 4,116.54
10/30/07	100.000 AT&T	\$41.49	\$ 4,148.81
01/19/08	100.000 AT&T	\$38.20	\$ 3,819.74
08/17/07	8.000 AT&T	\$36.85	\$ 294.80
08/20/07	4.000 AT&T	\$36.85	\$ 147.40
08/22/07	4.000 AT&T	\$36.85	\$ 147.40
08/30/07	4.000 AT&T	\$36.85	\$ 147.40
09/17/07	4.000 AT&T	\$36.85	\$ 147.40
09/26/07	4.000 AT&T	\$36.85	\$ 147.40
10/03/07	4.000 AT&T	\$36.85	\$ 147.40
10/15/07	4.000 AT&T	\$36.85	\$ 147.40
10/24/07	4.000 AT&T	\$36.85	\$ 147.40
11/27/07	4.000 AT&T	\$36.85	\$ 147.40
02/21/08	65.000 AT&T	\$36.85	\$ 2,395.25
04/15/09	100.000 AT&T INC	\$25.49	\$2,548.58
04/20/09	105.000 BANK NEW YORK MELLON CORP	\$29.91	\$3,140.83
02/03/09	50.000 BAXTER INTERNATIONAL INC	\$59.90	\$2,994.80
10/09/08	95.000 BAXTER INTERNATIONAL INC	\$60.96	\$5,791.23
	6.000	\$65.05	\$390.30
12/03/08	250.000 BRISTOL-MYERS SQUIBB CO	\$21.02	\$5,254.00

Whalen Foundation
Securities transferred from Bernstein held as of 5/31/09

*** Note - for donated securities, the purchase date is the carryover date, not the date securities were donated.**

Purchase Date	Qty.	Description	Unit Cost	Total Cost
03/19/09	24.000	CELGENE CORP	\$46.65	\$1,119.60
01/13/09	40.000	CELGENE CORP	\$50.40	\$2,015.80
05/31/07	145.000	Chevron	\$39.95	\$5,792.10
05/04/07	22.000	Chevron	\$83.21	\$1,830.62
07/03/07	2.000	Chevron	\$83.21	\$166.42
02/21/08	9.000	Chevron	\$83.21	\$748.89
04/13/09	25.000	CHEVRON CORP	\$67.64	\$1,690.92
03/25/09	45.000	CHEVRON CORP	\$69.18	\$3,113.14
01/06/09	77.000	CHEVRON CORP	\$77.60	\$5,975.17
08/04/08	52.000	CHEVRON CORP	\$84.28	\$4,382.50
05/31/07	9.000	Cisco	\$30.27	\$272.47
03/23/09	100.000	CISCO SYSTEMS INC	\$16.52	\$1,651.81
01/06/09	200.000	CISCO SYSTEMS INC	\$17.69	\$3,537.02
10/21/08	10.000	CME GROUP INC	\$346.03	\$3,460.29
02/21/08	8.000	Coca Cola	\$61.41	\$491.24
01/06/09	102.000	COCA-COLA CO/THE	\$45.15	\$4,605.46
01/23/08	17.000	CONOCOPHILLIPS	\$71.21	\$1,210.52
01/06/09	60.000	CONOCOPHILLIPS	\$56.15	\$3,369.26
05/04/07	13.000	ConocoPhillips	\$74.83	\$972.79
08/06/07	4.000	ConocoPhillips	\$74.83	\$299.32
08/10/07	2.000	ConocoPhillips	\$74.83	\$149.66
09/13/07	2.000	ConocoPhillips	\$74.83	\$149.66
11/16/07	2.000	ConocoPhillips	\$74.83	\$149.66
01/23/08	7.000	ConocoPhillips	\$74.83	\$523.81
02/21/08	16.000	ConocoPhillips	\$74.83	\$1,197.28
03/20/09	158.000	COOPER INDUSTRIES LTD-CL A	\$24.37	\$3,849.79
03/31/09	40.000	DANAHER CORP	\$54.48	\$2,179.11
03/09/09	65.000	DANAHER CORP	\$49.00	\$3,184.81

Whalen Foundation
Securities transferred from Bernstein held as of 5/31/09

*** Note - for donated securities, the purchase date is the carryover date, not the date securities were donated.**

Purchase Date	Qty.	Description	Unit Cost	Total Cost
04/15/09	180.000	DU PONT (E.I.) DE NEMOURS	\$27.07	\$4,873.21
04/23/09	107.000	ELI LILLY & CO	\$32.50	\$3,477.65
01/06/09	85.000	EMERSON ELECTRIC CO	\$38.13	\$3,241.05
05/31/07	180.000	Exxon Mobil	\$39.34	\$7,081.35
08/17/07	25.000	Exxon Mobil	\$82.71	\$2,067.66
01/22/08	29.000	Exxon Mobil	\$85.00	\$2,465.00
05/04/07	9.000	Exxon Mobil	\$85.00	\$765.00
02/21/08	10.000	Exxon Mobil	\$85.00	\$850.00
03/05/08	27.000	Exxon Mobil	\$86.04	\$2,323.01
04/15/09	45.000	EXXON MOBIL CORP	\$67.71	\$3,046.73
11/19/07	20.000	Fluor	\$66.96	\$1,339.20
02/22/08	5.000	Fluor	\$65.57	\$327.85
01/06/09	35.000	FLUOR CORP	\$49.50	\$1,732.33
05/31/07	91.000	Gap	\$19.84	\$1,805.48
02/10/09	200.000	GAP INC/THE	\$11.63	\$2,326.26
03/09/09	30.000	GENERAL MILLS INC	\$50.08	\$1,502.50
01/21/09	30.000	GENERAL MILLS INC	\$60.06	\$1,801.68
11/05/08	40.000	GENERAL MILLS INC	\$67.37	\$2,694.60
01/09/09	100.000	GILEAD SCIENCES INC	\$48.31	\$4,830.52
10/15/08	25.000	GILEAD SCIENCES INC	\$41.06	\$1,026.53
01/06/09	149.000	GLAXOSMITHKLINE PLC-SPON ADR	\$37.05	\$5,521.15
01/06/09	20.000	GOOGLE INC-CL A	\$333.71	\$6,674.23
05/31/07	159.000	Hewlett Packard	\$22.21	\$3,530.65
01/18/08	50.000	Hewlett Packard	\$43.78	\$2,189.17

Whalen Foundation
Securities transferred from Bernstein held as of 5/31/09

*** Note - for donated securities, the purchase date is the carryover date, not the date securities were donated.**

Purchase Date	Qty.	Description	Unit Cost	Total Cost
05/04/07	36.000	Hewlett Packard	\$46.50	\$1,674.00
01/18/08	4.000	Hewlett Packard	\$46.50	\$186.00
02/21/08	27.000	Hewlett Packard	\$46.50	\$1,255.50
05/02/08	133.000	Hewlett Packard	\$48.04	\$6,389.03
01/06/09	200.000	HEWLETT-PACKARD CO	\$38.09	\$7,618.20
10/09/08	100.000	HEWLETT-PACKARD CO	\$40.34	\$4,033.92
06/06/08	100.000	HEWLETT-PACKARD CO	\$47.91	\$4,790.62
01/06/09	105.000	HOME DEPOT INC	\$25.32	\$2,658.87
01/20/09	65.000	HONEYWELL INTERNATIONAL INC	\$32.59	\$2,118.49
01/16/09	70.000	HONEYWELL INTERNATIONAL INC	\$32.86	\$2,300.38
04/21/09	175.000	INTEL CORP	\$15.24	\$2,666.74
09/24/08	175.000	INTEL CORP	\$18.73	\$3,277.70
01/06/09	159.000	J C PENNEY CO INC-W/RTS TO PUR	\$21.72	\$3,454.20
11/27/07	50.000	JP Morgan	\$42.05	\$2,102.37
01/03/08	40.000	JP Morgan	\$45.97	\$1,838.80
01/15/08	4.000	JP Morgan	\$45.97	\$183.88
03/05/08	12.000	JP Morgan	\$45.97	\$551.64
04/23/09	200.000	JPMORGAN CHASE & CO	\$32.24	\$6,448.00
03/31/09	175.000	JPMORGAN CHASE & CO	\$25.75	\$4,506.50
01/06/09	65.000	JPMORGAN CHASE & CO	\$29.78	\$1,935.97
09/26/08	300.000	JPMORGAN CHASE & CO	\$40.50	\$12,150.00
09/24/08	50.000	JPMORGAN CHASE & CO	\$41.09	\$2,054.64
01/06/09	149.000	KOHL'S CORP	\$37.99	\$5,660.91
01/06/09	30.000	LOCKHEED MARTIN CORP	\$84.25	\$2,527.53
02/03/09	50.000	MCDONALD'S CORP	\$58.35	\$2,917.55
01/06/09	50.000	MCDONALD'S CORP	\$62.84	\$3,141.96
11/13/08	25.000	MCDONALD'S CORP	\$53.63	\$1,340.87
10/09/08	135.000	MCDONALD'S CORP	\$54.60	\$7,371.35
08/22/08	26.000	MCDONALD'S CORP	\$62.99	\$1,637.74

Whalen Foundation
Securities transferred from Bernstein held as of 5/31/09

*** Note - for donated securities, the purchase date is the carryover date, not the date securities were donated.**

Purchase Date	Qty.	Description	Unit Cost	Total Cost
08/21/07	87.000	Medco	\$42.47	\$3,694.89
11/15/07	50.000	Medco	\$48.33	\$2,416.30
03/17/08	95.000	Medco	\$42.55	\$4,041.82
11/15/07	5.000	Medco	\$44.82	\$224.10
02/21/08	19.000	Medco	\$44.82	\$851.58
03/17/08	7.000	Medco	\$44.82	\$313.74
01/14/09	225.000	METLIFE INC	\$27.56	\$6,201.59
12/04/08	85.000	METLIFE INC	\$25.81	\$2,193.58
10/08/08	116.000	METLIFE INC	\$26.50	\$3,074.00
03/31/09	100.000	MICROSOFT CORP	\$18.46	\$1,845.50
03/23/09	100.000	MICROSOFT CORP	\$17.45	\$1,745.39
07/31/08	109.000	MORGAN STANLEY	\$39.55	\$4,310.96
08/20/07	40.000	Morgan Stanley	\$62.11	\$2,484.27
10/08/08	125.000	Morgan Stanley	\$68.24	\$8,529.70
02/15/08	50.000	Morgan Stanley	\$42.35	\$2,117.61
02/14/08	11.000	Morgan Stanley	\$49.67	\$546.37
02/15/08	9.000	Morgan Stanley	\$49.67	\$447.03
02/15/08	9.000	Morgan Stanley	\$49.67	\$447.03
03/05/08	12.000	Morgan Stanley	\$49.67	\$596.04
02/23/09	200.000	NOKIA CORP-SPON ADR	\$10.20	\$2,040.32
02/06/09	200.000	NOKIA CORP-SPON ADR	\$13.38	\$2,676.72
02/05/09	126.000	NOKIA CORP-SPON ADR	\$13.00	\$1,638.39
04/16/09	55.000	NORTHROP GRUMMAN CORP	\$47.14	\$2,592.61
03/24/09	80.000	NORTHROP GRUMMAN CORP	\$41.87	\$3,349.54
09/16/08	200.000	NVIDIA CORP	\$9.43	\$1,886.20
09/04/08	34.000	NVIDIA CORP	\$11.48	\$390.29
02/10/09	45.000	OCCIDENTAL PETROLEUM CORP	\$56.73	\$2,552.67
02/09/09	60.000	OCCIDENTAL PETROLEUM CORP	\$57.99	\$3,479.67
05/31/07	1,435.000	Pfizer	\$32.23	\$46,251.70
07/05/07	11.000	Pfizer	\$20.59	\$226.49

Whalen Foundation
Securities transferred from Bernstein held as of 5/31/09

*** Note - for donated securities, the purchase date is the carryover date, not the date securities were donated.**

Purchase Date	Qty.	Description	Unit Cost	Total Cost
12/04/07	155.000	Philip Morris	\$46.60	\$7,222.90
10/09/08	78.000	PROCTER & GAMBLE CO	\$65.68	\$5,122.70
03/31/09	50.000	QUALCOMM INC	\$39.14	\$1,956.80
03/19/09	100.000	QUALCOMM INC	\$37.82	\$3,782.31
01/06/09	125.000	QUALCOMM INC	\$36.91	\$4,613.35
11/12/08	21.000	QUALCOMM INC	\$34.11	\$716.30
12/03/08	215.000	SAFEWAY INC	\$21.24	\$4,566.33
04/23/09	75.000	SANOFI SYNTHELABO SA	\$25.86	\$1,939.82
12/18/08	140.000	SANOFI SYNTHELABO SA	\$32.87	\$4,602.41
02/21/08	38.000	Schering Plough	\$19.76	\$751.05
04/28/08	137.000	Schering Plough	\$18.73	\$2,566.42
04/30/09	100.000	SCHERING-PLOUGH CORP	\$23.05	\$2,305.19
04/15/09	75.000	SCHERING-PLOUGH CORP	\$23.24	\$1,742.98
03/24/09	200.000	SCHERING-PLOUGH CORP	\$24.30	\$4,860.84
08/20/07	121.000	Schlumberger	\$88.35	\$10,690.95
01/13/09	89.000	TEVA PHARMACEUTICAL-SP ADR	\$42.44	\$3,777.37
02/17/09	14.000	THE WALT DISNEY CO.	\$17.91	\$250.71
01/06/09	105.000	THE WALT DISNEY CO.	\$23.98	\$2,518.37
12/18/08	200.000	THE WALT DISNEY CO.	\$23.40	\$4,680.40
11/26/08	65.000	THE WALT DISNEY CO.	\$21.47	\$1,395.60
10/17/08	80.000	THE WALT DISNEY CO.	\$24.11	\$1,928.68
01/06/09	58.000	TIME WARNER CABLE INC	\$66.70	\$3,868.64
04/17/09	83.000	TIME WARNER CABLE INC	\$29.12	\$2,416.55
03/16/09	1.000		\$29.54	\$29.54
03/16/09	50.000		\$30.43	\$1,521.59
03/16/09	25.000		\$22.78	\$569.51
04/23/09	117.000	TIME WARNER INC	\$21.91	\$2,563.18
03/10/09	100.000	TIME WARNER INC	\$17.28	\$1,728.49
01/07/09	200.000	TIME WARNER INC	\$23.09	\$4,618.09
12/11/08	134.000	TIME WARNER INC	\$22.55	\$3,021.52

Whalen Foundation
Securities transferred from Bernstein held as of 5/31/09

*** Note - for donated securities, the purchase date is the carryover date, not the date securities were donated.**

Purchase Date	Qty.	Description	Unit Cost	Total Cost
02/21/08	16.000	Total	\$71.61	\$1,145.76
05/31/07	120.000	Travelers	\$43.09	\$5,170.80
11/20/07	50.000	Travelers	\$51.36	\$2,568.02
05/04/07	13.000	Travelers	\$47.79	\$621.27
06/18/07	2.000	Travelers	\$47.79	\$95.58
09/21/07	4.000	Travelers	\$47.79	\$191.16
11/26/07	4.000	Travelers	\$47.79	\$191.16
02/21/08	18.000	Travelers	\$47.79	\$860.22
04/01/08	59.000	Travelers	\$49.56	\$2,924.05
04/27/09	200.000	UNUM GROUP	\$15.28	\$3,055.22
03/20/09	225.000	VIACOM INC-CLASS B	\$16.37	\$3,682.13
12/18/08	225.000	VIACOM INC-CLASS B	\$17.05	\$3,835.26
04/30/09	350.000	VODAFONE GROUP PLC-SP ADR	\$18.42	\$6,447.39
03/05/09	50.000	WAL-MART STORES INC	\$49.74	\$2,487.23
01/06/09	50.000	WAL-MART STORES INC	\$56.40	\$2,819.82
10/09/08	95.000	WAL-MART STORES INC	\$54.58	\$5,185.29
05/20/08	58.000	Western Digital	\$34.36	\$1,993.02
08/25/08	75.000	WESTERN DIGITAL CORP	\$28.09	\$2,107.02
08/22/08	75.000	WESTERN DIGITAL CORP	\$28.86	\$2,164.34
06/02/08	100.000	WESTERN DIGITAL CORP	\$36.66	\$3,666.27
12/03/08	55.000	WYETH	\$33.56	\$1,845.71
		Total		\$ 557,828.05

The John, Marie and Joseph Whalen Foundation
Schedule of Capital Gains and Losses for Tax on Investment Income
Form 990-PF, Part IV

1 of 19

52-2256192

Capital Gains and Losses: Details

BERNSTEIN Personalized Account Analysis: Cap Gains
Global Wealth Management 06/01/2008 - 05/31/2009

Capital Gains and Losses

Account No.	Qty.	Description	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
038-59545	1,641.33	**ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT FD II CL I	Long-Term	05/04/07	05/04/09	\$ 6.00	\$ 10.51	\$ 9,847.97	\$ 17,250.37	\$ (7,402.40)
038-59545	20,077.65	**ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT FD II CL I	Long-Term	08/20/07	05/04/09	\$ 6.00	\$ 15.65	\$ 120,465.91	\$ 314,215.25	\$ (193,749.34)
038-59545	449.80	**ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT FD II CL I	Long-Term	12/19/07	05/04/09	\$ 6.00	\$ 10.51	\$ 2,698.78	\$ 4,727.36	\$ (2,028.58)
038-59545	6,660.24	**ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT FD II CL I	Long-Term	12/27/07	05/04/09	\$ 6.00	\$ 11.49	\$ 39,961.42	\$ 76,525.42	\$ (36,564.00)
038-59545	2,206.18	**ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT FD II CL I	Long-Term	02/21/08	05/04/09	\$ 6.00	\$ 10.51	\$ 13,237.09	\$ 23,186.97	\$ (9,949.88)
038-59545	14,820.03	**ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT FD II CL I	Long-Term	01/06/09	05/04/09	\$ 6.00	\$ 6.39	\$ 88,920.19	\$ 94,700.00	\$ (5,779.81)
038-59546	75.00	ABBOTT LABORATORIES	Long-Term	08/20/07	12/12/08	\$ 50.16	\$ 52.42	\$ 3,761.84	\$ 3,931.33	\$ (169.49)
038-59546	40.00	ABBOTT LABORATORIES	Long-Term	08/20/07	04/08/09	\$ 43.53	\$ 52.42	\$ 1,741.03	\$ 2,096.70	\$ (355.67)
038-59546	70.00	ABBOTT LABORATORIES	Long-Term	08/20/07	04/16/09	\$ 42.50	\$ 52.42	\$ 2,975.30	\$ 3,669.25	\$ (693.95)
038-59546	5.00	ABBOTT LABORATORIES	Long-Term	08/20/07	04/28/09	\$ 43.18	\$ 52.42	\$ 215.92	\$ 262.09	\$ (46.17)
038-59546	4.00	ABBOTT LABORATORIES	Long-Term	10/29/07	04/28/09	\$ 43.18	\$ 54.45	\$ 172.73	\$ 217.79	\$ (45.06)
038-59546	50.00	ABBOTT LABORATORIES	Long-Term	11/15/07	04/28/09	\$ 43.18	\$ 54.67	\$ 2,159.15	\$ 2,733.61	\$ (574.46)
038-59546	4.00	ABBOTT LABORATORIES	Long-Term	11/28/07	04/28/09	\$ 43.18	\$ 55.66	\$ 172.73	\$ 222.64	\$ (49.91)
038-59546	17.00	ABBOTT LABORATORIES	Long-Term	02/21/08	04/28/09	\$ 43.18	\$ 55.04	\$ 734.11	\$ 935.70	\$ (201.59)
038-59546	150.00	ACTIVISION BLIZZARD INC	Long-Term	10/21/08	02/17/09	\$ 9.53	\$ 13.20	\$ 1,428.93	\$ 1,980.33	\$ (551.40)
038-59546	150.00	ACTIVISION BLIZZARD INC	Long-Term	12/18/08	02/17/09	\$ 9.53	\$ 9.44	\$ 1,428.93	\$ 1,415.70	\$ 13.23
038-59546	50.00	AFLAC INC	Long-Term	01/02/09	02/03/09	\$ 24.67	\$ 45.66	\$ 1,233.45	\$ 2,283.07	\$ (1,049.62)
038-59546	2.00	AIR PRODUCTS & CHEMICALS INC	Long-Term	06/05/07	07/28/08	\$ 95.29	\$ 78.09	\$ 190.57	\$ 156.17	\$ 34.40
038-59546	2.00	AIR PRODUCTS & CHEMICALS INC	Long-Term	07/18/07	07/28/08	\$ 95.29	\$ 87.45	\$ 190.58	\$ 174.89	\$ 15.69

The John, Marie and Joseph Whalen Foundation
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Capital Gains and Losses: Details

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BERNSTEIN

Global Wealth Management

Personalized Account Analysis: Cap Gains
06/01/2008 - 05/31/2009

Capital Gains and Losses

Account No.	Qty.	Description	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
038-59546	5.00	AIR PRODUCTS & CHEMICALS INC	Long-Term	08/13/07	07/28/08	\$ 95.29	\$ 88.72	\$ 476.44	\$ 443.60	\$ 32.84
038-59546	27.00	AIR PRODUCTS & CHEMICALS INC	Long-Term	08/20/07	07/28/08	\$ 95.29	\$ 85.35	\$ 2,572.78	\$ 2,304.39	\$ 268.39
038-59546	83.00	AIR PRODUCTS & CHEMICALS INC	Long-Term	08/20/07	11/06/08	\$ 55.98	\$ 85.35	\$ 4,646.37	\$ 7,083.89	\$ (2,437.52)
038-59545	60.00	ALCOA INC	Long-Term	12/27/07	07/01/08	\$ 34.44	\$ 37.13	\$ 2,066.17	\$ 2,227.66	\$ (161.49)
038-59545	111.00	ALCOA INC	Long-Term	12/27/07	11/03/08	\$ 11.85	\$ 37.13	\$ 1,315.14	\$ 4,121.19	\$ (2,806.05)
038-59545	29.00	ALCOA INC	Long-Term	01/18/08	11/03/08	\$ 11.85	\$ 29.26	\$ 343.59	\$ 848.52	\$ (504.93)
038-59546	8.00	ALCON INC	Long-Term	05/04/07	06/04/08	\$ 158.60	\$ 135.24	\$ 1,268.76	\$ 1,081.93	\$ 186.83
038-59546	12.00	ALCON INC	Long-Term	08/20/07	06/04/08	\$ 158.60	\$ 135.06	\$ 1,903.15	\$ 1,620.66	\$ 282.49
038-59546	55.00	ALCON INC	Long-Term	08/20/07	01/16/09	\$ 83.47	\$ 135.06	\$ 4,590.66	\$ 7,428.04	\$ (2,837.38)
038-59546	6.00	AMERICA MOVIL-ADR SERIES L	Long-Term	05/07/07	11/21/08	\$ 27.87	\$ 55.50	\$ 167.19	\$ 332.97	\$ (165.78)
038-59546	1.00	AMERICA MOVIL-ADR SERIES L	Long-Term	07/18/07	11/21/08	\$ 27.87	\$ 59.30	\$ 27.87	\$ 59.30	\$ (31.43)
038-59546	70.00	AMERICA MOVIL-ADR SERIES L	Long-Term	08/20/07	11/21/08	\$ 27.87	\$ 56.45	\$ 1,950.59	\$ 3,951.50	\$ (2,000.91)
038-59546	68.00	AMERICA MOVIL-ADR SERIES L	Long-Term	06/24/08	11/21/08	\$ 27.87	\$ 52.57	\$ 1,894.86	\$ 3,574.63	\$ (1,679.77)
038-59545	350.00	AMERICAN INTERNATIONAL GROUP	Long-Term	08/20/07	03/03/09	\$ 0.45	\$ 65.76	\$ 156.60	\$ 23,016.91	\$ (22,860.31)
038-59545	75.00	AMERICAN INTERNATIONAL GROUP	Long-Term	12/26/07	03/03/09	\$ 0.45	\$ 54.97	\$ 33.55	\$ 4,122.47	\$ (4,088.92)
038-59545	4.00	AMERICAN INTERNATIONAL GROUP	Long-Term	02/07/08	03/03/09	\$ 0.45	\$ 45.00	\$ 1.79	\$ 180.00	\$ (178.21)
038-59545	54.00	AMERICAN INTERNATIONAL GROUP	Long-Term	02/11/08	03/03/09	\$ 0.45	\$ 45.06	\$ 24.16	\$ 2,433.16	\$ (2,409.00)
038-59545	50.00	AMERICAN INTERNATIONAL GROUP	Long-Term	02/13/08	03/03/09	\$ 0.45	\$ 45.75	\$ 22.37	\$ 2,287.46	\$ (2,265.09)
038-59545	104.00	AMERICAN INTERNATIONAL GROUP	Long-Term	02/14/08	03/03/09	\$ 0.45	\$ 45.78	\$ 46.53	\$ 4,761.29	\$ (4,714.76)
038-59545	22.00	AMERICAN INTERNATIONAL GROUP	Long-Term	02/21/08	03/03/09	\$ 0.45	\$ 45.00	\$ 9.84	\$ 990.00	\$ (980.16)

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Global Wealth Management
Capital Gains and Losses: Details
Personalized Account Analysis: Cap Gains
06/01/2008 - 05/31/2009

Capital Gains and Losses

Account No.	Qty	Description	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
038-59545	125.00	AMERICAN INTERNATIONAL GROUP	Long-Term	05/12/08	03/03/09	\$ 0.45	\$ 38.00	\$ 55.92	\$ 4,750.00	\$ (4,694.08)
038-59545	7.00	AMERISOURCEBERGEN CORP	Long-Term	05/04/07	09/04/08	\$ 41.16	\$ 41.43	\$ 288.11	\$ 290.01	\$ (1.90)
038-59545	75.00	AMERISOURCEBERGEN CORP	Long-Term	11/26/07	09/04/08	\$ 41.16	\$ 43.96	\$ 3,086.92	\$ 3,297.06	\$ (210.14)
038-59545	79.00	AMERISOURCEBERGEN CORP	Long-Term	11/27/07	09/04/08	\$ 41.16	\$ 42.81	\$ 3,251.55	\$ 3,381.65	\$ (130.10)
038-59545	18.00	AMERISOURCEBERGEN CORP	Long-Term	02/21/08	09/04/08	\$ 41.16	\$ 41.43	\$ 740.86	\$ 745.74	\$ (4.88)
038-59545	115.00	AMGEN INC	Long-Term	06/27/08	08/25/08	\$ 63.82	\$ 46.52	\$ 7,339.20	\$ 5,349.43	\$ 1,989.77
038-59545	30.00	AMGEN INC	Long-Term	06/27/08	08/28/08	\$ 63.92	\$ 46.52	\$ 1,917.55	\$ 1,395.50	\$ 522.05
038-59546	30.00	APPLE INC	Long-Term	11/04/05	01/15/09	\$ 82.85	\$ 57.46	\$ 2,485.60	\$ 1,723.80	\$ 761.80
038-59546	20.00	APPLE INC	Long-Term	11/04/05	03/12/09	\$ 95.67	\$ 57.46	\$ 1,913.34	\$ 1,149.20	\$ 764.14
038-59545	35.00	ARCELORMITTAL SA LUXEMBOURG	Long-Term	08/20/07	07/28/08	\$ 80.19	\$ 55.78	\$ 2,806.76	\$ 1,952.41	\$ 854.35
038-59545	90.00	ARCELORMITTAL SA LUXEMBOURG	Long-Term	08/20/07	11/20/08	\$ 16.65	\$ 55.78	\$ 1,498.18	\$ 5,020.51	\$ (3,522.33)
038-59545	96.00	ASHLAND INC	Long-Term	03/05/08	12/05/08	\$ 8.74	\$ 45.23	\$ 838.89	\$ 4,341.84	\$ (3,502.95)
038-59546	13.00	BAKER HUGHES INC	Long-Term	11/06/07	07/22/08	\$ 86.78	\$ 86.18	\$ 1,128.17	\$ 1,120.38	\$ 7.79
038-59546	2.00	BAKER HUGHES INC	Long-Term	11/13/07	07/22/08	\$ 86.78	\$ 81.45	\$ 173.57	\$ 162.89	\$ 10.68
038-59546	50.00	BAKER HUGHES INC	Long-Term	11/15/07	07/22/08	\$ 86.78	\$ 79.77	\$ 4,339.15	\$ 3,988.25	\$ 350.90
038-59545	88.00	BANK OF AMERICA CORP	Long-Term	08/27/07	07/31/08	\$ 32.68	\$ 51.10	\$ 2,875.88	\$ 4,496.38	\$ (1,620.50)
038-59545	316.00	BANK OF AMERICA CORP	Long-Term	08/27/07	02/24/09	\$ 4.53	\$ 51.10	\$ 1,431.35	\$ 16,146.13	\$ (14,714.78)
038-59545	100.00	BANK OF AMERICA CORP	Long-Term	12/26/07	02/24/09	\$ 4.53	\$ 42.02	\$ 452.96	\$ 4,202.22	\$ (3,749.26)
038-59545	4.00	BANK OF AMERICA CORP	Long-Term	02/12/08	02/24/09	\$ 4.53	\$ 41.86	\$ 18.12	\$ 167.44	\$ (149.32)
038-59545	9.00	BANK OF AMERICA CORP	Long-Term	02/13/08	02/24/09	\$ 4.53	\$ 41.86	\$ 40.77	\$ 376.74	\$ (335.97)
038-59545	71.00	BANK OF AMERICA CORP	Long-Term	02/21/08	02/24/09	\$ 4.53	\$ 41.86	\$ 321.60	\$ 2,972.06	\$ (2,650.46)
038-59545	100.00	BANK OF AMERICA CORP	Long-Term	09/23/08	02/24/09	\$ 4.53	\$ 34.65	\$ 452.96	\$ 3,465.32	\$ (3,012.36)
038-59545	200.00	BANK OF AMERICA CORP	Long-Term	12/31/08	02/24/09	\$ 4.53	\$ 13.30	\$ 905.92	\$ 2,660.38	\$ (1,754.46)
038-59545	100.00	BANK OF AMERICA CORP	Long-Term	12/31/08	03/13/09	\$ 5.88	\$ 13.30	\$ 588.44	\$ 1,330.19	\$ (741.75)
038-59545	125.00	BANK OF AMERICA CORP	Long-Term	01/07/09	03/13/09	\$ 5.88	\$ 13.98	\$ 735.55	\$ 1,747.82	\$ (1,012.27)
038-59545	375.00	BANK OF AMERICA CORP	Long-Term	01/07/09	03/24/09	\$ 7.77	\$ 13.98	\$ 2,914.69	\$ 5,243.48	\$ (2,328.79)

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Global Wealth Management
Capital Gains and Losses: Details
Personalized Account Analysis: Cap Gains
06/01/2008 - 05/31/2009

Capital Gains and Losses										
Account No.	Description	Qty.	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
038-59546	BECTON DICKINSON & CO	45.00	Long-Term	04/28/08	05/01/09	\$ 61.06	\$ 88.67	\$ 2,747.48	\$ 3,990.08	\$ (1,242.60)
038-59546	BECTON DICKINSON & CO	30.00	Long-Term	07/18/08	05/01/09	\$ 61.06	\$ 84.60	\$ 1,831.66	\$ 2,537.93	\$ (706.27)
038-59546	BECTON DICKINSON & CO	5.00	Long-Term	07/28/08	05/01/09	\$ 61.06	\$ 85.09	\$ 305.28	\$ 425.47	\$ (120.19)
038-59545	BERNSTEIN EMERGING MARKETS PORTFOLIO	141.12	Long-Term	05/04/07	05/04/09	\$ 19.80	\$ 35.03	\$ 2,766.36	\$ 4,943.57	\$ (2,177.21)
038-59545	BERNSTEIN EMERGING MARKETS PORTFOLIO	1,903.19	Long-Term	08/20/07	05/04/09	\$ 19.80	\$ 42.77	\$ 37,306.91	\$ 81,394.82	\$ (44,087.91)
038-59545	BERNSTEIN EMERGING MARKETS PORTFOLIO	548.51	Long-Term	12/11/07	05/04/09	\$ 19.80	\$ 40.55	\$ 10,752.07	\$ 22,242.41	\$ (11,490.34)
038-59545	BERNSTEIN EMERGING MARKETS PORTFOLIO	91.71	Long-Term	02/21/08	05/04/09	\$ 19.80	\$ 35.03	\$ 1,797.79	\$ 3,212.71	\$ (1,414.92)
038-59545	BERNSTEIN EMERGING MARKETS PORTFOLIO	343.77	Long-Term	12/09/08	05/04/09	\$ 19.80	\$ 14.72	\$ 6,738.76	\$ 5,060.34	\$ 1,678.42
038-59545	BERNSTEIN EMERGING MARKETS PORTFOLIO	2,069.70	Long-Term	01/06/09	05/04/09	\$ 19.80	\$ 17.15	\$ 40,570.91	\$ 35,498.42	\$ 5,072.49
038-59545	BERNSTEIN EMERGING MARKETS PORTFOLIO	0.09	Long-Term	01/06/09	05/04/09	\$ 19.80	\$ 17.17	\$ -	\$ 1.58	\$ (1.58)
038-59545	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	1,936.48	Long-Term	08/20/07	09/09/08	\$ 12.91	\$ 12.99	\$ 25,000.00	\$ 25,154.91	\$ (154.91)
038-59545	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	1,027.40	Long-Term	08/20/07	10/10/08	\$ 11.68	\$ 12.99	\$ 12,000.00	\$ 13,345.88	\$ (1,345.88)
038-59545	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	856.16	Long-Term	08/20/07	11/10/08	\$ 11.68	\$ 12.99	\$ 10,000.00	\$ 11,121.57	\$ (1,121.57)
038-59545	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	9,734.78	Long-Term	08/20/07	12/03/08	\$ 11.50	\$ 12.99	\$ 111,950.00	\$ 126,454.83	\$ (14,504.83)
038-59545	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	432.53	Long-Term	08/20/07	12/15/08	\$ 11.56	\$ 12.99	\$ 5,000.00	\$ 5,618.51	\$ (618.51)
038-59545	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	421.59	Long-Term	08/20/07	01/22/09	\$ 11.86	\$ 12.99	\$ 5,000.00	\$ 5,476.38	\$ (476.38)
038-59545	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	1,270.11	Long-Term	08/20/07	02/20/09	\$ 11.81	\$ 12.99	\$ 15,000.00	\$ 16,498.72	\$ (1,498.72)
038-59545	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	10,566.33	Long-Term	08/20/07	05/04/09	\$ 12.17	\$ 12.99	\$ 128,593.98	\$ 137,256.69	\$ (8,662.71)

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Personalized Account Analysis: Cap Gains
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Capital Gains and Losses										
Account No.	Qty	Description	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
038-59545	14,681.02	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	Long-Term	08/21/07	05/04/09	\$ 12.17	\$ 13.01	\$ 178,670.36	\$ 191,000.00	\$ (12,329.64)
038-59545	19,369.72	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	Long-Term	08/22/07	05/04/09	\$ 12.17	\$ 13.01	\$ 235,732.62	\$ 252,000.00	\$ (16,267.38)
038-59545	7,373.28	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	Long-Term	02/21/08	05/04/09	\$ 12.17	\$ 13.04	\$ 89,734.02	\$ 96,147.56	\$ (6,413.54)
038-59545	552.48	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	Long-Term	12/09/08	05/04/09	\$ 12.17	\$ 11.46	\$ 6,723.76	\$ 6,331.40	\$ 392.36
038-59545	6,436.18	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	Long-Term	01/06/09	05/04/09	\$ 12.17	\$ 11.90	\$ 78,329.37	\$ 76,590.53	\$ 1,738.84
038-59545	0.80	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	Long-Term	01/06/09	05/04/09	\$ 12.17	\$ 11.91	\$ -	\$ 9.47	\$ (9.47)
038-59545	338.27	BERNSTEIN INTERNATIONAL PORTFOLIO	Long-Term	05/04/07	06/02/08	\$ 23.65	\$ 21.49	\$ 8,000.00	\$ 7,269.42	\$ 730.58
038-59545	818.83	BERNSTEIN INTERNATIONAL PORTFOLIO	Long-Term	05/04/07	05/04/09	\$ 11.89	\$ 21.49	\$ 9,736.08	\$ 17,596.66	\$ (7,860.58)
038-59545	18,527.57	BERNSTEIN INTERNATIONAL PORTFOLIO	Long-Term	08/20/07	05/04/09	\$ 11.89	\$ 26.27	\$ 220,298.30	\$ 486,719.22	\$ (266,420.92)
038-59545	2,708.00	BERNSTEIN INTERNATIONAL PORTFOLIO	Long-Term	12/11/07	05/04/09	\$ 11.89	\$ 25.04	\$ 32,198.97	\$ 67,804.07	\$ (35,605.10)
038-59545	1,222.33	BERNSTEIN INTERNATIONAL PORTFOLIO	Long-Term	02/21/08	05/04/09	\$ 11.89	\$ 21.49	\$ 14,533.87	\$ 26,267.87	\$ (11,734.00)
038-59545	395.68	BERNSTEIN INTERNATIONAL PORTFOLIO	Long-Term	09/05/08	05/04/09	\$ 11.89	\$ 18.07	\$ 4,704.79	\$ 7,150.00	\$ (2,445.21)
038-59545	5,916.10	BERNSTEIN INTERNATIONAL PORTFOLIO	Long-Term	12/03/08	05/04/09	\$ 11.89	\$ 11.68	\$ 70,344.14	\$ 69,100.00	\$ 1,244.14

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B **BERNSTEIN** **Capital Gains and Losses: Details**
Global Wealth Management Personalized Account Analysis: Cap Gains
06/01/2008 - 05/31/2009

Capital Gains and Losses											
Account No.	Qty.	Description	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)	
038-59545	7,605.49	BERNSTEIN INTERNATIONAL PORTFOLIO	Long-Term	01/06/09	05/04/09	\$ 11.89	\$ 12.45	\$ 90,431.59	\$ 94,688.39	\$ (4,256.80)	
038-59545	0.93	BERNSTEIN INTERNATIONAL PORTFOLIO	Long-Term	01/06/09	05/04/09	\$ 11.89	\$ 12.46	\$ -	\$ 11.61	\$ (11.61)	
038-59545	7.00	BP PLC-SPONS ADR	Long-Term	05/04/07	12/05/08	\$ 43.09	\$ 72.13	\$ 301.64	\$ 504.91	\$ (203.27)	
038-59545	91.00	BP PLC-SPONS ADR	Long-Term	10/16/07	12/05/08	\$ 43.09	\$ 72.13	\$ 3,921.25	\$ 6,563.83	\$ (2,642.58)	
038-59545	111.00	BP PLC-SPONS ADR	Long-Term	10/16/07	02/03/09	\$ 42.02	\$ 72.13	\$ 4,664.28	\$ 8,006.43	\$ (3,342.15)	
038-59545	4.00	BP PLC-SPONS ADR	Long-Term	01/23/08	02/03/09	\$ 42.02	\$ 72.13	\$ 168.08	\$ 288.52	\$ (120.44)	
038-59546	20.00	BROADCOM CORP-CL A	Long-Term	05/04/07	06/06/08	\$ 27.56	\$ 27.56	\$ 551.25	\$ 551.25	\$ -	
038-59546	125.00	BROADCOM CORP-CL A	Long-Term	08/20/07	06/06/08	\$ 27.56	\$ 34.21	\$ 3,445.30	\$ 4,276.62	\$ (831.32)	
038-59546	175.00	BROADCOM CORP-CL A	Long-Term	08/20/07	10/08/08	\$ 15.20	\$ 34.21	\$ 2,659.49	\$ 5,987.29	\$ (3,327.80)	
038-59545	65.00	BUNGE LTD	Long-Term	11/07/08	04/13/09	\$ 57.30	\$ 43.36	\$ 3,724.22	\$ 2,818.63	\$ 905.59	
038-59545	28.00	CATERPILLAR INC	Long-Term	10/31/07	07/03/08	\$ 69.67	\$ 74.45	\$ 1,950.87	\$ 2,084.72	\$ (133.85)	
038-59545	22.00	CATERPILLAR INC	Long-Term	01/30/08	07/03/08	\$ 69.67	\$ 68.95	\$ 1,532.82	\$ 1,516.90	\$ 15.92	
038-59546	38.00	CATERPILLAR INC	Long-Term	01/30/08	03/03/09	\$ 22.76	\$ 68.95	\$ 864.96	\$ 2,620.12	\$ (1,755.16)	
038-59546	2.00	CATERPILLAR INC	Long-Term	02/11/08	03/03/09	\$ 22.76	\$ 68.67	\$ 45.52	\$ 137.33	\$ (91.81)	
038-59546	10.00	CATERPILLAR INC	Long-Term	02/21/08	03/03/09	\$ 22.76	\$ 71.32	\$ 227.62	\$ 713.21	\$ (485.59)	
038-59546	40.00	CATERPILLAR INC	Long-Term	01/16/09	03/03/09	\$ 22.76	\$ 39.53	\$ 910.48	\$ 1,581.28	\$ (670.80)	
038-59545	225.00	CENTEX CORP	Long-Term	05/30/08	12/17/08	\$ 12.80	\$ 19.26	\$ 2,880.95	\$ 4,332.71	\$ (1,451.76)	
038-59545	18.00	CHEVRON CORP	Long-Term	01/04/05	11/20/08	\$ 69.34	\$ 39.95	\$ 1,248.18	\$ 719.02	\$ 529.16	
038-59545	19.00	CHEVRON CORP	Long-Term	01/04/05	11/21/08	\$ 65.87	\$ 39.95	\$ 1,251.57	\$ 758.97	\$ 492.60	
038-59545	16.00	CHEVRON CORP	Long-Term	01/04/05	11/24/08	\$ 71.86	\$ 39.95	\$ 1,149.74	\$ 639.13	\$ 510.61	
038-59545	24.00	CHEVRON CORP	Long-Term	01/04/05	12/05/08	\$ 69.45	\$ 39.95	\$ 1,666.87	\$ 958.70	\$ 708.17	
038-59545	20.00	CHEVRON CORP	Long-Term	01/04/05	02/06/09	\$ 74.70	\$ 39.95	\$ 1,493.98	\$ 798.92	\$ 695.06	
038-59545	25.00	CITIGROUP INC	Long-Term	01/04/05	02/06/09	\$ 3.93	\$ 47.96	\$ 98.14	\$ 1,199.12	\$ (1,100.98)	
038-59545	282.00	CITIGROUP INC	Long-Term	02/01/08	02/06/09	\$ 3.93	\$ 28.84	\$ 1,106.91	\$ 8,132.12	\$ (7,025.21)	
038-59545	16.00	CITIGROUP INC	Long-Term	02/05/08	02/06/09	\$ 3.93	\$ 22.50	\$ 62.80	\$ 360.00	\$ (297.20)	
038-59545	20.00	CITIGROUP INC	Long-Term	02/07/08	02/06/09	\$ 3.93	\$ 22.50	\$ 78.50	\$ 450.00	\$ (371.50)	
038-59545	200.00	CITIGROUP INC	Long-Term	02/11/08	02/06/09	\$ 3.93	\$ 25.83	\$ 785.04	\$ 5,165.22	\$ (4,380.18)	
038-59545	36.00	CITIGROUP INC	Long-Term	02/21/08	02/06/09	\$ 3.93	\$ 22.50	\$ 141.31	\$ 810.00	\$ (668.69)	

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Personalized Account Analysis: Cap Gains
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Capital Gains and Losses										
Account No.	Qty.	Description	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
038-59545	222.00	CITIGROUP INC	Long-Term	02/27/08	02/06/09	\$ 3.93	\$ 25.17	\$ 871.39	\$ 5,587.40	\$ (4,716.01)
038-59545	99.00	CITIGROUP INC	Long-Term	09/22/08	02/06/09	\$ 3.93	\$ 20.01	\$ 388.59	\$ 1,980.51	\$ (1,591.92)
038-59546	22.00	CME GROUP INC	Long-Term	02/08/05	02/06/09	\$ 189.25	\$ 210.26	\$ 4,163.59	\$ 4,625.72	\$ (462.13)
038-59546	64.00	COCA-COLA CO/THE	Long-Term	01/18/08	05/01/09	\$ 42.34	\$ 61.08	\$ 2,709.88	\$ 3,909.36	\$ (1,199.48)
038-59546	1.00	COCA-COLA CO/THE	Long-Term	02/21/08	05/01/09	\$ 42.34	\$ 58.12	\$ 42.34	\$ 58.12	\$ (15.78)
038-59546	3.00	COLGATE-PALMOLIVE CO	Long-Term	07/18/07	04/08/09	\$ 60.23	\$ 66.18	\$ 180.68	\$ 198.54	\$ (17.86)
038-59546	52.00	COLGATE-PALMOLIVE CO	Long-Term	08/21/07	04/08/09	\$ 60.23	\$ 66.18	\$ 3,131.77	\$ 3,441.31	\$ (309.54)
038-59546	55.00	COLGATE-PALMOLIVE CO	Long-Term	08/21/07	04/16/09	\$ 59.11	\$ 66.18	\$ 3,250.84	\$ 3,639.85	\$ (389.01)
038-59545	36.00	CONOCOPHILLIPS	Long-Term	01/04/05	08/29/08	\$ 82.96	\$ 38.46	\$ 2,986.50	\$ 1,384.56	\$ 1,601.94
038-59545	20.00	CONOCOPHILLIPS	Long-Term	01/04/05	11/28/08	\$ 51.52	\$ 38.46	\$ 1,030.48	\$ 769.20	\$ 261.28
038-59545	145.00	CONOCOPHILLIPS	Long-Term	01/04/05	02/04/09	\$ 46.23	\$ 38.46	\$ 6,703.61	\$ 5,576.70	\$ 1,126.91
038-59546	55.00	COSTCO WHOLESALE CORP	Long-Term	01/30/08	07/23/08	\$ 63.31	\$ 66.42	\$ 3,482.17	\$ 3,653.34	\$ (171.17)
038-59546	5.00	COSTCO WHOLESALE CORP	Long-Term	02/06/08	07/23/08	\$ 63.31	\$ 63.42	\$ 316.56	\$ 317.10	\$ (0.54)
038-59546	96.00	DEERE & CO	Long-Term	08/20/07	10/13/08	\$ 41.76	\$ 62.85	\$ 4,009.07	\$ 6,033.42	\$ (2,024.35)
038-59546	3.00	DEERE & CO	Long-Term	10/17/07	10/13/08	\$ 41.76	\$ 75.74	\$ 125.28	\$ 227.22	\$ (101.94)
038-59546	26.00	DEERE & CO	Long-Term	10/22/07	10/13/08	\$ 41.76	\$ 71.84	\$ 1,085.79	\$ 1,867.85	\$ (782.06)
038-59546	42.00	DEERE & CO	Long-Term	10/22/07	03/04/09	\$ 26.64	\$ 71.84	\$ 1,118.83	\$ 3,017.36	\$ (1,898.53)
038-59546	8.00	DEERE & CO	Long-Term	02/21/08	03/04/09	\$ 26.64	\$ 79.23	\$ 213.11	\$ 633.84	\$ (420.73)
038-59545	450.00	DELL INC	Long-Term	01/22/09	02/09/09	\$ 9.62	\$ 9.93	\$ 4,331.12	\$ 4,469.00	\$ (137.88)
038-59545	2.00	DEUTSCHE BANK AG REGISTERED	Long-Term	08/10/07	04/13/09	\$ 51.09	\$ 125.76	\$ 102.19	\$ 251.52	\$ (149.33)
038-59545	1.00	DEUTSCHE BANK AG REGISTERED	Long-Term	08/14/07	04/13/09	\$ 51.09	\$ 125.76	\$ 51.09	\$ 125.76	\$ (74.67)
038-59545	37.00	DEUTSCHE BANK AG REGISTERED	Long-Term	08/20/07	04/13/09	\$ 51.09	\$ 125.76	\$ 1,890.39	\$ 4,653.06	\$ (2,762.67)
038-59545	5.00	DEUTSCHE BANK AG REGISTERED	Long-Term	08/20/07	04/13/09	\$ 51.09	\$ 125.76	\$ 255.46	\$ 628.79	\$ (373.33)
038-59545	114.00	DOW CHEMICAL	Long-Term	08/20/07	11/12/08	\$ 21.71	\$ 41.39	\$ 2,474.55	\$ 4,718.59	\$ (2,244.04)
038-59545	28.00	DOW CHEMICAL	Long-Term	08/20/07	11/20/08	\$ 18.64	\$ 41.39	\$ 521.84	\$ 1,158.96	\$ (637.12)
038-59545	82.00	DOW CHEMICAL	Long-Term	08/27/07	11/20/08	\$ 18.64	\$ 42.72	\$ 1,528.24	\$ 3,503.38	\$ (1,975.14)
038-59545	50.00	DOW CHEMICAL	Long-Term	11/20/07	11/20/08	\$ 18.64	\$ 40.10	\$ 931.86	\$ 2,005.05	\$ (1,073.19)

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Capital Gains and Losses

Account No.	Qty.	Description	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
038-59545	20.00	DOW CHEMICAL	Long-Term	02/21/08	11/20/08	\$ 18.64	\$ 36.48	\$ 372.74	\$ 729.60	\$ (356.86)
038-59546	50.00	ELECTRONIC ARTS INC	Long-Term	07/22/08	10/07/08	\$ 29.76	\$ 48.00	\$ 1,487.99	\$ 2,400.08	\$ (912.09)
038-59546	2.00	EOG RES INC	Long-Term	10/18/07	05/01/09	\$ 66.08	\$ 89.25	\$ 132.16	\$ 178.50	\$ (46.34)
038-59546	2.00	EOG RES INC	Long-Term	10/25/07	05/01/09	\$ 66.08	\$ 89.25	\$ 132.16	\$ 178.50	\$ (46.34)
038-59546	30.00	EOG RES INC	Long-Term	11/19/07	05/01/09	\$ 66.08	\$ 84.68	\$ 1,982.43	\$ 2,540.32	\$ (557.89)
038-59546	1.00	EOG RES INC	Long-Term	12/20/07	05/01/09	\$ 66.08	\$ 89.25	\$ 66.08	\$ 89.25	\$ (23.17)
038-59545	425.00	ERICSSON L M TEL CO	Long-Term	10/16/08	02/18/09	\$ 8.37	\$ 6.73	\$ 3,557.72	\$ 2,858.34	\$ 699.38
038-59545	25.00	ERICSSON L M TEL CO	Long-Term	11/06/08	02/18/09	\$ 8.37	\$ 6.73	\$ 209.28	\$ 168.15	\$ 41.13
038-59545	15.00	ERICSSON L M TEL CO	Long-Term	11/06/08	02/18/09	\$ 8.37	\$ 6.73	\$ 125.57	\$ 100.89	\$ 24.68
038-59545	5.00	ERICSSON L M TEL CO	Long-Term	11/06/08	02/18/09	\$ 8.37	\$ 6.73	\$ 41.86	\$ 33.63	\$ 8.23
038-59545	20.00	ERICSSON L M TEL CO	Long-Term	11/06/08	02/18/09	\$ 8.37	\$ 6.73	\$ 167.42	\$ 134.52	\$ 32.90
038-59545	15.00	ERICSSON L M TEL CO	Long-Term	11/06/08	02/18/09	\$ 8.37	\$ 6.73	\$ 125.57	\$ 100.89	\$ 24.68
038-59545	85.00	EXXON MOBIL CORP	Long-Term	02/25/03	08/04/08	\$ 77.86	\$ 39.35	\$ 6,618.30	\$ 3,344.75	\$ 3,273.55
038-59545	35.00	EXXON MOBIL CORP	Long-Term	02/25/03	08/29/08	\$ 81.18	\$ 39.35	\$ 2,841.22	\$ 1,377.25	\$ 1,463.97
038-59545	20.00	EXXON MOBIL CORP	Long-Term	02/17/04	08/29/08	\$ 81.18	\$ 39.35	\$ 1,623.56	\$ 787.00	\$ 836.56
038-59545	55.00	EXXON MOBIL CORP	Long-Term	02/17/04	09/18/08	\$ 76.21	\$ 39.35	\$ 4,191.70	\$ 2,164.25	\$ 2,027.45
038-59545	8.00	FANNIE MAE	Long-Term	05/04/07	09/17/08	\$ 0.45	\$ 34.30	\$ 3.59	\$ 274.40	\$ (270.81)
038-59545	125.00	FANNIE MAE	Long-Term	08/20/07	09/17/08	\$ 0.45	\$ 67.01	\$ 56.11	\$ 8,376.37	\$ (8,320.26)
038-59545	16.00	FANNIE MAE	Long-Term	12/21/07	09/17/08	\$ 0.45	\$ 34.30	\$ 7.18	\$ 548.80	\$ (541.62)
038-59545	4.00	FANNIE MAE	Long-Term	01/07/08	09/17/08	\$ 0.45	\$ 34.14	\$ 1.80	\$ 136.56	\$ (134.76)
038-59545	100.00	FANNIE MAE	Long-Term	03/14/08	09/17/08	\$ 0.45	\$ 22.52	\$ 44.89	\$ 2,251.60	\$ (2,206.71)
038-59546	150.00	FANNIE MAE	Long-Term	03/31/08	07/11/08	\$ 9.97	\$ 26.06	\$ 1,494.90	\$ 3,908.58	\$ (2,413.68)
038-59545	11.00	FIDELITY NATIONAL CL A	Long-Term	05/04/07	12/19/08	\$ 15.93	\$ 18.85	\$ 175.19	\$ 207.35	\$ (32.16)
038-59545	200.00	FIDELITY NATIONAL CL A	Long-Term	08/20/07	12/19/08	\$ 15.93	\$ 19.27	\$ 3,185.36	\$ 3,853.78	\$ (668.42)
038-59545	500.00	FIFTH THIRD BANCORP	Long-Term	12/19/08	03/17/09	\$ 1.86	\$ 7.68	\$ 931.05	\$ 3,837.95	\$ (2,906.90)
038-59545	200.00	FLEXTRONICS INTL LTD	Long-Term	12/20/07	08/15/08	\$ 9.44	\$ 12.05	\$ 1,888.46	\$ 2,409.44	\$ (520.98)
038-59545	106.00	FLEXTRONICS INTL LTD	Long-Term	12/20/07	01/02/09	\$ 2.71	\$ 12.05	\$ 287.60	\$ 1,277.01	\$ (989.41)
038-59545	247.00	FLEXTRONICS INTL LTD	Long-Term	01/16/08	01/02/09	\$ 2.71	\$ 10.14	\$ 670.16	\$ 2,504.44	\$ (1,834.28)
038-59545	47.00	FLEXTRONICS INTL LTD	Long-Term	02/21/08	01/02/09	\$ 2.71	\$ 9.62	\$ 127.52	\$ 452.14	\$ (324.62)
038-59546	20.00	FLUOR CORP	Long-Term	08/20/07	07/14/08	\$ 175.31	\$ 116.79	\$ 3,506.13	\$ 2,335.86	\$ 1,170.27
038-59546	48.00	FLUOR CORP	Long-Term	08/20/07	11/10/08	\$ 39.94	\$ 58.40	\$ 1,916.89	\$ 2,803.05	\$ (886.16)
038-59546	22.00	FLUOR CORP	Long-Term	11/19/07	11/10/08	\$ 39.94	\$ 66.83	\$ 878.57	\$ 1,470.33	\$ (591.76)

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Capital Gains and Losses										
Account No.	Qty.	Description	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
038-59546	25.00	FRANKLIN RESOURCES INC	Long-Term	08/20/07	06/30/08	\$ 91.53	\$ 128.29	\$ 2,288.27	\$ 3,207.25	\$ (918.98)
038-59546	70.00	FRANKLIN RESOURCES INC	Long-Term	08/20/07	11/20/08	\$ 52.66	\$ 128.29	\$ 3,686.17	\$ 8,980.30	\$ (5,294.13)
038-59545	265.00	FREDDIE MAC	Long-Term	08/20/07	09/18/08	\$ 0.35	\$ 63.20	\$ 91.62	\$ 16,749.20	\$ (16,657.58)
038-59545	25.00	FREDDIE MAC	Long-Term	02/27/08	09/18/08	\$ 0.35	\$ 25.82	\$ 8.64	\$ 645.52	\$ (636.88)
038-59545	13.00	FREDDIE MAC	Long-Term	03/14/08	09/18/08	\$ 0.35	\$ 21.11	\$ 4.49	\$ 274.37	\$ (269.88)
038-59545	275.00	GANNETT CO	Long-Term	05/23/08	04/09/09	\$ 3.48	\$ 28.97	\$ 956.07	\$ 7,967.80	\$ (7,011.73)
038-59545	100.00	GANNETT CO	Long-Term	07/03/08	04/09/09	\$ 3.48	\$ 19.65	\$ 347.66	\$ 1,964.69	\$ (1,617.03)
038-59546	5.00	GENENTECH INC	Long-Term	05/04/07	07/02/08	\$ 78.15	\$ 78.15	\$ 390.74	\$ 390.74	\$ -
038-59546	5.00	GENENTECH INC	Long-Term	06/18/07	07/02/08	\$ 78.15	\$ 76.85	\$ 390.75	\$ 384.24	\$ 6.51
038-59546	40.00	GENENTECH INC	Long-Term	08/20/07	07/02/08	\$ 78.15	\$ 72.36	\$ 3,125.98	\$ 2,894.22	\$ 231.76
038-59546	135.00	GENENTECH INC	Long-Term	08/20/07	03/17/09	\$ 93.80	\$ 72.36	\$ 12,663.47	\$ 9,768.00	\$ 2,895.47
038-59546	10.00	GENENTECH INC	Long-Term	03/05/08	03/17/09	\$ 93.80	\$ 80.42	\$ 938.04	\$ 804.24	\$ 133.80
038-59546	30.00	GENENTECH INC	Long-Term	04/28/08	03/17/09	\$ 93.80	\$ 72.87	\$ 2,814.11	\$ 2,186.15	\$ 627.96
038-59546	75.00	GENENTECH INC	Long-Term	10/15/08	03/17/09	\$ 93.80	\$ 82.10	\$ 7,035.26	\$ 6,157.37	\$ 877.89
038-59546	25.00	GENENTECH INC	Long-Term	11/12/08	03/26/09	\$ 95.00	\$ 80.30	\$ 2,375.00	\$ 2,007.44	\$ 367.56
038-59546	75.00	GENENTECH INC	Long-Term	01/06/09	03/26/09	\$ 95.00	\$ 83.91	\$ 7,125.00	\$ 6,293.61	\$ 831.39
038-59546	25.00	GENENTECH INC	Long-Term	01/14/09	03/26/09	\$ 95.00	\$ 86.38	\$ 2,375.00	\$ 2,159.38	\$ 215.62
038-59546	25.00	GENENTECH INC	Long-Term	02/03/09	03/26/09	\$ 95.00	\$ 82.47	\$ 2,375.00	\$ 2,061.74	\$ 313.26
038-59545	30.00	GENERAL ELECTRIC CO	Long-Term	01/15/04	06/24/08	\$ 27.40	\$ 32.08	\$ 821.99	\$ 962.40	\$ (140.41)
038-59545	172.00	GENERAL ELECTRIC CO	Long-Term	02/10/04	06/24/08	\$ 27.40	\$ 32.57	\$ 4,712.71	\$ 5,602.04	\$ (889.33)
038-59545	128.00	GENERAL ELECTRIC CO	Long-Term	02/10/04	01/14/09	\$ 14.28	\$ 32.57	\$ 1,828.42	\$ 4,168.96	\$ (2,340.54)
038-59545	120.00	GENERAL ELECTRIC CO	Long-Term	01/04/05	01/14/09	\$ 14.28	\$ 36.44	\$ 1,714.14	\$ 4,372.80	\$ (2,658.66)
038-59545	27.00	GENERAL ELECTRIC CO	Long-Term	05/04/07	01/14/09	\$ 14.28	\$ 37.09	\$ 385.68	\$ 1,001.45	\$ (615.77)
038-59545	130.00	GENERAL MOTORS CORP	Long-Term	09/14/07	09/26/08	\$ 9.88	\$ 33.46	\$ 1,283.75	\$ 4,350.05	\$ (3,066.30)
038-59545	70.00	GENERAL MOTORS CORP	Long-Term	10/18/07	09/26/08	\$ 9.88	\$ 39.21	\$ 691.25	\$ 2,744.47	\$ (2,053.22)
038-59545	75.00	GENERAL MOTORS CORP	Long-Term	11/09/07	09/26/08	\$ 9.88	\$ 32.03	\$ 740.63	\$ 2,402.42	\$ (1,661.79)
038-59545	40.00	GENERAL MOTORS CORP	Long-Term	02/21/08	09/26/08	\$ 9.88	\$ 19.49	\$ 395.00	\$ 779.60	\$ (384.60)
038-59545	100.00	GENERAL MOTORS CORP	Long-Term	02/27/08	09/26/08	\$ 9.88	\$ 24.87	\$ 987.50	\$ 2,486.79	\$ (1,499.29)
038-59546	36.00	GILEAD SCIENCES INC	Long-Term	05/04/07	06/04/08	\$ 56.66	\$ 41.57	\$ 2,039.80	\$ 1,496.34	\$ 543.46
038-59546	31.00	GILEAD SCIENCES INC	Long-Term	08/20/07	06/04/08	\$ 56.66	\$ 44.63	\$ 1,756.49	\$ 1,383.61	\$ 372.88

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Capital Gains and Losses: Details

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Capital Gains and Losses

Account No.	Qty.	Description	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
038-59546	50.00	GILEAD SCIENCES INC	Long-Term	08/20/07	02/06/09	\$ 52.57	\$ 37.63	\$ 2,628.69	\$ 1,881.50	\$ 747.19
038-59545	3.00	GOLDMAN SACHS GROUP INC	Long-Term	05/04/07	04/09/09	\$ 119.04	\$ 176.89	\$ 357.12	\$ 530.66	\$ (173.54)
038-59546	45.00	GOLDMAN SACHS GROUP INC	Long-Term	08/20/07	09/17/08	\$ 107.30	\$ 172.53	\$ 4,828.31	\$ 7,763.72	\$ (2,935.41)
038-59545	12.00	GOLDMAN SACHS GROUP INC	Long-Term	08/20/07	04/09/09	\$ 119.04	\$ 172.53	\$ 1,428.46	\$ 2,070.33	\$ (641.87)
038-59545	5.00	GOLDMAN SACHS GROUP INC	Long-Term	08/31/07	04/09/09	\$ 119.04	\$ 178.22	\$ 595.19	\$ 891.09	\$ (295.90)
038-59546	23.00	GOLDMAN SACHS GROUP INC	Long-Term	05/02/08	09/17/08	\$ 107.30	\$ 200.76	\$ 2,467.80	\$ 4,617.45	\$ (2,149.65)
038-59546	30.00	GOLDMAN SACHS GROUP INC	Long-Term	11/07/08	02/09/09	\$ 97.93	\$ 79.43	\$ 2,937.96	\$ 2,382.98	\$ 554.98
038-59546	8.00	GOOGLE INC-CL A	Long-Term	08/20/07	10/17/08	\$ 379.49	\$ 499.30	\$ 3,035.88	\$ 3,994.36	\$ (958.48)
038-59546	9.00	GOOGLE INC-CL A	Long-Term	08/20/07	01/26/09	\$ 326.88	\$ 499.30	\$ 2,941.94	\$ 4,493.66	\$ (1,551.72)
038-59546	8.00	GOOGLE INC-CL A	Long-Term	08/20/07	01/30/09	\$ 340.54	\$ 499.30	\$ 2,724.34	\$ 3,994.36	\$ (1,270.02)
038-59546	12.00	GOOGLE INC-CL A	Long-Term	08/20/07	04/20/09	\$ 380.06	\$ 499.30	\$ 4,560.76	\$ 5,991.54	\$ (1,430.78)
038-59545	90.00	HARTFORD FINANCIAL SVCS GRP	Long-Term	06/18/04	12/11/08	\$ 16.17	\$ 67.53	\$ 1,455.68	\$ 6,077.70	\$ (4,622.02)
038-59545	8.00	HARTFORD FINANCIAL SVCS GRP	Long-Term	02/27/08	12/11/08	\$ 16.17	\$ 74.40	\$ 129.39	\$ 595.20	\$ (465.81)
038-59545	52.00	HARTFORD FINANCIAL SVCS GRP	Long-Term	06/09/08	12/11/08	\$ 16.17	\$ 71.35	\$ 841.06	\$ 3,710.24	\$ (2,869.18)
038-59545	25.00	HARTFORD FINANCIAL SVCS GRP	Long-Term	06/09/08	02/05/09	\$ 15.03	\$ 71.35	\$ 375.68	\$ 1,783.78	\$ (1,408.10)
038-59545	150.00	HARTFORD FINANCIAL SVCS GRP	Long-Term	10/31/08	02/05/09	\$ 15.03	\$ 10.06	\$ 2,254.10	\$ 1,508.61	\$ 745.49
038-59546	10.00	HONEYWELL INTERNATIONAL INC	Long-Term	05/04/07	07/24/08	\$ 51.66	\$ 54.29	\$ 516.59	\$ 542.90	\$ (26.31)
038-59546	5.00	HONEYWELL INTERNATIONAL INC	Long-Term	07/06/07	07/24/08	\$ 51.66	\$ 54.29	\$ 258.29	\$ 271.45	\$ (13.16)
038-59546	54.00	HONEYWELL INTERNATIONAL INC	Long-Term	08/20/07	07/24/08	\$ 51.66	\$ 55.28	\$ 2,789.56	\$ 2,984.98	\$ (195.42)

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Personalized Account Analysis: Cap Gains
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Capital Gains and Losses

Account No.	Qty.	Description	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
038-59546	150.00	HONEYWELL INTERNATIONAL INC	Long-Term	08/20/07	10/06/08	\$ 35.56	\$ 55.28	\$ 5,333.25	\$ 8,291.62	\$ (2,958.37)
038-59546	121.00	HONEYWELL INTERNATIONAL INC	Long-Term	08/20/07	10/16/08	\$ 29.28	\$ 55.28	\$ 3,542.37	\$ 6,688.59	\$ (3,146.22)
038-59546	7.00	HONEYWELL INTERNATIONAL INC	Long-Term	08/27/07	10/16/08	\$ 29.28	\$ 54.29	\$ 204.93	\$ 380.03	\$ (175.10)
038-59546	22.00	HONEYWELL INTERNATIONAL INC	Long-Term	11/15/07	10/16/08	\$ 29.28	\$ 57.11	\$ 644.07	\$ 1,256.44	\$ (612.37)
038-59546	30.00	HONEYWELL INTERNATIONAL INC	Long-Term	11/15/07	11/25/08	\$ 26.03	\$ 57.39	\$ 780.89	\$ 1,721.79	\$ (940.90)
038-59546	16.00	HONEYWELL INTERNATIONAL INC	Long-Term	02/21/08	11/25/08	\$ 26.03	\$ 54.29	\$ 416.47	\$ 868.64	\$ (452.17)
038-59546	4.00	HONEYWELL INTERNATIONAL INC	Long-Term	03/04/08	11/25/08	\$ 26.03	\$ 54.29	\$ 104.12	\$ 217.16	\$ (113.04)
038-59545	275.00	INGRAM MICRO INC-CL A	Long-Term	04/28/08	12/03/08	\$ 10.96	\$ 16.49	\$ 3,013.42	\$ 4,534.28	\$ (1,520.86)
038-59545	75.00	J C PENNEY CO INC	Long-Term	02/05/08	03/16/09	\$ 16.38	\$ 46.33	\$ 1,228.70	\$ 3,474.63	\$ (2,245.93)
038-59545	7.00	J C PENNEY CO INC	Long-Term	02/11/08	03/16/09	\$ 16.38	\$ 42.12	\$ 114.68	\$ 294.84	\$ (180.16)
038-59545	50.00	J C PENNEY CO INC	Long-Term	02/15/08	03/16/09	\$ 16.38	\$ 46.25	\$ 819.13	\$ 2,312.38	\$ (1,493.25)
038-59545	7.00	J C PENNEY CO INC	Long-Term	02/21/08	03/16/09	\$ 16.38	\$ 42.12	\$ 114.68	\$ 294.84	\$ (180.16)
038-59545	86.00	J C PENNEY CO INC	Long-Term	11/14/08	03/16/09	\$ 16.38	\$ 17.54	\$ 1,408.91	\$ 1,508.27	\$ (99.36)
038-59545	110.00	J C PENNEY CO INC	Long-Term	12/15/08	03/16/09	\$ 16.38	\$ 19.35	\$ 1,802.10	\$ 2,128.92	\$ (326.82)
038-59545	5.00	J C PENNEY CO INC	Long-Term	12/15/08	03/16/09	\$ 16.38	\$ 19.35	\$ 81.91	\$ 96.77	\$ (14.86)
038-59545	5.00	J C PENNEY CO INC	Long-Term	12/15/08	03/16/09	\$ 16.38	\$ 19.35	\$ 81.91	\$ 96.77	\$ (14.86)
038-59545	5.00	J C PENNEY CO INC	Long-Term	12/15/08	03/16/09	\$ 16.38	\$ 19.35	\$ 81.91	\$ 96.77	\$ (14.86)
038-59545	65.00	J C PENNEY CO INC	Long-Term	12/15/08	04/13/09	\$ 26.41	\$ 19.35	\$ 1,716.89	\$ 1,258.01	\$ 458.88
038-59545	125.00	JPMORGAN CHASE & CO	Long-Term	08/20/07	09/19/08	\$ 45.21	\$ 45.49	\$ 5,651.39	\$ 5,686.01	\$ (34.62)
038-59545	80.00	JPMORGAN CHASE & CO	Long-Term	08/20/07	02/24/09	\$ 20.77	\$ 45.49	\$ 1,661.43	\$ 3,639.05	\$ (1,977.62)
038-59545	65.00	JPMORGAN CHASE & CO	Long-Term	08/20/07	03/23/09	\$ 26.53	\$ 45.49	\$ 1,724.57	\$ 2,956.73	\$ (1,232.16)
038-59545	20.00	JPMORGAN CHASE & CO	Long-Term	08/20/07	03/23/09	\$ 26.53	\$ 45.49	\$ 530.64	\$ 909.76	\$ (379.12)
038-59545	20.00	JPMORGAN CHASE & CO	Long-Term	08/20/07	03/23/09	\$ 26.53	\$ 45.49	\$ 530.64	\$ 909.77	\$ (379.13)
038-59545	5.00	JPMORGAN CHASE & CO	Long-Term	11/27/07	03/23/09	\$ 26.53	\$ 42.04	\$ 132.66	\$ 210.22	\$ (77.56)
038-59545	45.00	JPMORGAN CHASE & CO	Long-Term	11/27/07	04/13/09	\$ 33.29	\$ 42.04	\$ 1,498.14	\$ 1,891.80	\$ (393.66)
038-59545	7.00	KB HOME	Long-Term	05/04/07	12/17/08	\$ 15.36	\$ 25.26	\$ 107.54	\$ 176.82	\$ (69.28)

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Capital Gains and Losses										
Account No.	Qty.	Description	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
038-59545	150.00	KB HOME	Long-Term	08/20/07	12/17/08	\$ 15.36	\$ 31.68	\$ 2,304.31	\$ 4,751.39	\$ (2,447.08)
038-59545	7.00	KB HOME	Long-Term	01/17/08	12/17/08	\$ 15.36	\$ 18.03	\$ 107.53	\$ 126.24	\$ (18.71)
038-59546	45.00	KELLOGG CO	Long-Term	09/24/08	12/23/08	\$ 41.82	\$ 55.46	\$ 1,881.84	\$ 2,495.81	\$ (613.97)
038-59546	35.00	KELLOGG CO	Long-Term	10/15/08	12/23/08	\$ 41.82	\$ 50.73	\$ 1,463.66	\$ 1,775.61	\$ (311.95)
038-59546	50.00	KOHL'S CORP	Long-Term	08/20/07	10/09/08	\$ 36.58	\$ 60.75	\$ 1,829.07	\$ 3,037.35	\$ (1,208.28)
038-59546	2.00	KOHL'S CORP	Long-Term	09/05/07	10/09/08	\$ 36.58	\$ 45.55	\$ 73.16	\$ 91.10	\$ (17.94)
038-59546	48.00	KOHL'S CORP	Long-Term	06/30/08	10/09/08	\$ 36.58	\$ 41.15	\$ 1,755.91	\$ 1,975.32	\$ (219.41)
038-59546	25.00	KOHL'S CORP	Long-Term	08/25/08	10/09/08	\$ 36.58	\$ 48.67	\$ 914.54	\$ 1,216.80	\$ (302.26)
038-59546	20.00	LOCKHEED MARTIN CORP	Long-Term	09/24/08	03/27/09	\$ 71.22	\$ 108.38	\$ 1,424.49	\$ 2,167.55	\$ (743.06)
038-59546	25.00	LOCKHEED MARTIN CORP	Long-Term	10/21/08	03/27/09	\$ 71.22	\$ 86.69	\$ 1,780.61	\$ 2,167.20	\$ (386.59)
038-59546	5.00	LOCKHEED MARTIN CORP	Long-Term	01/06/09	03/27/09	\$ 71.22	\$ 84.25	\$ 356.12	\$ 421.25	\$ (65.13)
038-59546	40.00	LOCKHEED MARTIN CORP	Long-Term	01/06/09	04/20/09	\$ 75.83	\$ 84.25	\$ 3,033.01	\$ 3,370.04	\$ (337.03)
038-59545	150.00	MARATHON OIL CORP	Long-Term	08/20/07	06/12/08	\$ 51.34	\$ 51.70	\$ 7,700.58	\$ 7,754.78	\$ (54.20)
038-59545	132.00	MARATHON OIL CORP	Long-Term	08/20/07	06/12/08	\$ 51.34	\$ 51.70	\$ 6,776.51	\$ 6,824.21	\$ (47.70)
038-59545	18.00	MARATHON OIL CORP	Long-Term	02/27/08	06/12/08	\$ 51.34	\$ 51.34	\$ 924.07	\$ 924.07	\$ -
038-59546	13.00	MEDCO HEALTH SOLUTIONS INC	Long-Term	05/04/07	11/06/08	\$ 41.24	\$ 37.20	\$ 536.12	\$ 483.59	\$ 52.53
038-59546	81.00	MEDCO HEALTH SOLUTIONS INC	Long-Term	08/21/07	11/06/08	\$ 41.24	\$ 42.47	\$ 3,340.41	\$ 3,439.72	\$ (99.31)
038-59546	45.00	MEMC ELECTRONIC MATERIALS INC	Long-Term	02/06/08	09/12/08	\$ 32.85	\$ 72.83	\$ 1,478.16	\$ 3,277.26	\$ (1,799.10)
038-59546	3.00	MEMC ELECTRONIC MATERIALS INC	Long-Term	02/13/08	09/12/08	\$ 32.85	\$ 79.84	\$ 98.55	\$ 239.53	\$ (140.98)
038-59546	6.00	MEMC ELECTRONIC MATERIALS INC	Long-Term	02/21/08	09/12/08	\$ 32.85	\$ 79.61	\$ 197.09	\$ 477.67	\$ (280.58)
038-59546	3.00	MEMC ELECTRONIC MATERIALS INC	Long-Term	02/27/08	09/12/08	\$ 32.85	\$ 80.66	\$ 98.55	\$ 241.98	\$ (143.43)
038-59546	28.00	MEMC ELECTRONIC MATERIALS INC	Long-Term	04/04/08	09/12/08	\$ 32.85	\$ 75.17	\$ 919.76	\$ 2,104.84	\$ (1,185.08)
038-59546	15.00	MEMC ELECTRONIC MATERIALS INC	Long-Term	04/28/08	09/12/08	\$ 32.85	\$ 69.89	\$ 492.73	\$ 1,048.38	\$ (555.65)
038-59546	75.00	MEMC ELECTRONIC MATERIALS INC	Long-Term	04/28/08	09/15/08	\$ 31.41	\$ 69.89	\$ 2,355.85	\$ 5,241.95	\$ (2,886.10)

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Capital Gains and Losses										
Account No.	Qty.	Description	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
038-59546	25.00	MEMC ELECTRONIC MATERIALS INC	Long-Term	04/28/08	09/25/08	\$ 31.28	\$ 69.89	\$ 781.97	\$ 1,747.32	\$ (965.35)
038-59546	50.00	MEMC ELECTRONIC MATERIALS INC	Long-Term	07/02/08	09/25/08	\$ 31.28	\$ 59.94	\$ 1,563.93	\$ 2,996.91	\$ (1,432.98)
038-59546	110.00	MERRILL LYNCH & CO INC	Long-Term	08/20/07	09/15/08	\$ 21.15	\$ 74.89	\$ 2,326.38	\$ 8,237.81	\$ (5,911.43)
038-59546	80.00	MERRILL LYNCH & CO INC	Long-Term	05/05/08	09/15/08	\$ 21.15	\$ 51.84	\$ 1,691.91	\$ 4,147.06	\$ (2,455.15)
038-59545	55.00	METLIFE INC	Long-Term	08/25/05	07/23/08	\$ 53.88	\$ 49.00	\$ 2,963.57	\$ 2,694.98	\$ 268.59
038-59545	5.00	METLIFE INC	Long-Term	08/25/05	04/09/09	\$ 25.94	\$ 49.00	\$ 129.71	\$ 244.99	\$ (115.28)
038-59545	90.00	METLIFE INC	Long-Term	08/25/05	04/09/09	\$ 25.94	\$ 49.00	\$ 2,334.85	\$ 4,409.96	\$ (2,075.11)
038-59545	5.00	METLIFE INC	Long-Term	08/25/05	04/09/09	\$ 25.94	\$ 49.00	\$ 129.71	\$ 245.00	\$ (115.29)
038-59545	49.00	METLIFE INC	Long-Term	08/25/05	04/14/09	\$ 27.01	\$ 49.00	\$ 1,323.47	\$ 2,401.00	\$ (1,077.53)
038-59545	11.00	METLIFE INC	Long-Term	05/04/07	04/14/09	\$ 27.01	\$ 60.20	\$ 297.11	\$ 662.20	\$ (365.09)
038-59546	114.00	MICROSOFT CORP	Long-Term	01/28/04	06/25/08	\$ 28.38	\$ 27.63	\$ 3,235.01	\$ 3,149.92	\$ 85.09
038-59546	9.00	MICROSOFT CORP	Long-Term	05/04/07	06/25/08	\$ 28.38	\$ 29.18	\$ 255.40	\$ 262.62	\$ (7.22)
038-59546	7.00	MICROSOFT CORP	Long-Term	05/07/07	06/25/08	\$ 28.38	\$ 29.18	\$ 198.64	\$ 204.26	\$ (5.62)
038-59546	20.00	MICROSOFT CORP	Long-Term	11/28/07	06/25/08	\$ 28.38	\$ 33.42	\$ 567.55	\$ 668.34	\$ (100.79)
038-59546	64.00	MICROSOFT CORP	Long-Term	11/28/07	04/29/09	\$ 20.15	\$ 33.15	\$ 1,289.88	\$ 2,121.74	\$ (831.86)
038-59546	11.00	MICROSOFT CORP	Long-Term	03/17/08	04/29/09	\$ 20.15	\$ 27.93	\$ 221.70	\$ 307.26	\$ (85.56)
038-59546	125.00	MICROSOFT CORP	Long-Term	02/10/09	04/29/09	\$ 20.15	\$ 18.98	\$ 2,519.30	\$ 2,371.95	\$ 147.35
038-59546	100.00	MICROSOFT CORP	Long-Term	03/19/09	04/29/09	\$ 20.15	\$ 17.25	\$ 2,015.44	\$ 1,724.73	\$ 290.71
038-59546	125.00	MICROSOFT CORP	Long-Term	03/20/09	05/01/09	\$ 20.00	\$ 17.32	\$ 2,500.31	\$ 2,165.44	\$ 334.87
038-59546	18.00	MONSANTO CO	Long-Term	05/04/07	12/19/08	\$ 73.24	\$ 59.54	\$ 1,318.29	\$ 1,071.75	\$ 246.54
038-59546	7.00	MONSANTO CO	Long-Term	08/20/07	12/19/08	\$ 73.24	\$ 63.30	\$ 512.67	\$ 443.08	\$ 69.59
038-59546	25.00	MONSANTO CO	Long-Term	08/20/07	03/12/09	\$ 82.25	\$ 63.30	\$ 2,056.20	\$ 1,582.45	\$ 473.75
038-59545	70.00	MORGAN STANLEY	Long-Term	08/20/07	03/24/09	\$ 24.67	\$ 62.04	\$ 1,726.77	\$ 4,343.14	\$ (2,616.37)
038-59545	55.00	MORGAN STANLEY	Long-Term	08/20/07	04/13/09	\$ 26.38	\$ 62.04	\$ 1,450.68	\$ 3,412.47	\$ (1,961.79)
038-59545	10.00	MORGAN STANLEY	Long-Term	09/07/07	04/13/09	\$ 26.38	\$ 62.11	\$ 263.76	\$ 621.06	\$ (357.30)
038-59546	60.00	NATIONAL-OILWELL INC	Long-Term	04/28/08	11/14/08	\$ 26.55	\$ 71.81	\$ 1,593.13	\$ 4,308.50	\$ (2,715.37)
038-59546	150.00	NOKIA CORP-SPON ADR	Long-Term	10/22/07	06/30/08	\$ 24.32	\$ 37.02	\$ 3,647.96	\$ 5,553.26	\$ (1,905.30)
038-59546	75.00	NOKIA CORP-SPON ADR	Long-Term	10/29/07	07/18/08	\$ 27.53	\$ 39.56	\$ 2,065.06	\$ 2,966.77	\$ (901.71)
038-59546	13.00	NOKIA CORP-SPON ADR	Long-Term	11/16/07	07/18/08	\$ 27.53	\$ 29.30	\$ 357.94	\$ 380.90	\$ (22.96)
038-59546	4.00	NOKIA CORP-SPON ADR	Long-Term	11/19/07	07/18/08	\$ 27.53	\$ 29.30	\$ 110.14	\$ 117.20	\$ (7.06)

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Capital Gains and Losses

Account No.	Qty.	Description	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
038-59546	2.00	NOKIA CORP-SPON ADR	Long-Term	02/13/08	07/18/08	\$ 27.53	\$ 37.16	\$ 55.07	\$ 74.31	\$ (19.24)
038-59546	48.00	NOKIA CORP-SPON ADR	Long-Term	02/13/08	08/15/08	\$ 25.43	\$ 37.16	\$ 1,220.57	\$ 1,783.44	\$ (562.87)
038-59546	27.00	NOKIA CORP-SPON ADR	Long-Term	02/21/08	08/15/08	\$ 25.43	\$ 29.30	\$ 686.57	\$ 791.10	\$ (104.53)
038-59545	200.00	NOKIA CORP-SPON ADR	Long-Term	10/08/08	04/07/09	\$ 12.56	\$ 16.87	\$ 2,511.38	\$ 3,374.78	\$ (863.40)
038-59545	41.00	NORTHROP GRUMMAN CORP	Long-Term	08/20/07	07/07/08	\$ 65.81	\$ 77.39	\$ 2,698.14	\$ 3,172.94	\$ (474.80)
038-59545	55.00	NORTHROP GRUMMAN CORP	Long-Term	08/20/07	08/07/08	\$ 68.12	\$ 77.39	\$ 3,746.53	\$ 4,256.39	\$ (509.86)
038-59545	19.00	NORTHROP GRUMMAN CORP	Long-Term	08/20/07	11/04/08	\$ 48.03	\$ 77.39	\$ 912.63	\$ 1,470.39	\$ (557.76)
038-59545	2.00	NORTHROP GRUMMAN CORP	Long-Term	09/28/07	11/04/08	\$ 48.03	\$ 78.19	\$ 96.07	\$ 156.38	\$ (60.31)
038-59545	25.00	NORTHROP GRUMMAN CORP	Long-Term	10/18/07	11/04/08	\$ 48.03	\$ 80.40	\$ 1,200.84	\$ 2,010.02	\$ (809.18)
038-59545	2.00	NORTHROP GRUMMAN CORP	Long-Term	12/04/07	11/04/08	\$ 48.03	\$ 78.43	\$ 96.07	\$ 156.86	\$ (60.79)
038-59545	7.00	NORTHROP GRUMMAN CORP	Long-Term	02/21/08	11/04/08	\$ 48.03	\$ 78.43	\$ 336.23	\$ 549.01	\$ (212.78)
038-59546	23.00	NVIDIA CORP	Long-Term	05/04/07	07/10/08	\$ 11.83	\$ 18.52	\$ 272.10	\$ 425.96	\$ (153.86)
038-59546	112.00	NVIDIA CORP	Long-Term	08/27/07	07/10/08	\$ 11.83	\$ 33.02	\$ 1,324.99	\$ 3,697.95	\$ (2,372.96)
038-59546	129.00	NVIDIA CORP	Long-Term	10/22/07	07/10/08	\$ 11.83	\$ 38.61	\$ 1,526.11	\$ 4,980.70	\$ (3,454.59)
038-59546	40.00	NVIDIA CORP	Long-Term	10/22/07	07/15/08	\$ 11.20	\$ 35.60	\$ 447.81	\$ 1,423.86	\$ (976.05)
038-59546	229.00	NVIDIA CORP	Long-Term	10/29/07	07/15/08	\$ 11.20	\$ 33.04	\$ 2,563.70	\$ 7,566.87	\$ (5,003.17)
038-59546	31.00	NVIDIA CORP	Long-Term	02/21/08	07/15/08	\$ 11.20	\$ 18.52	\$ 347.05	\$ 574.12	\$ (227.07)
038-59545	225.00	NVIDIA CORP	Long-Term	09/04/08	03/23/09	\$ 9.86	\$ 11.48	\$ 2,218.64	\$ 2,584.12	\$ (365.48)
038-59546	6.00	NYSE EURONEXT	Long-Term	05/09/07	09/17/08	\$ 37.37	\$ 63.08	\$ 224.22	\$ 378.48	\$ (154.26)
038-59546	45.00	NYSE EURONEXT	Long-Term	08/20/07	09/17/08	\$ 37.37	\$ 72.77	\$ 1,681.59	\$ 3,274.77	\$ (1,593.18)
038-59546	50.00	NYSE EURONEXT	Long-Term	08/27/07	09/17/08	\$ 37.37	\$ 74.18	\$ 1,868.43	\$ 3,708.81	\$ (1,840.38)
038-59546	5.00	NYSE EURONEXT	Long-Term	02/21/08	09/17/08	\$ 37.37	\$ 63.08	\$ 186.84	\$ 315.40	\$ (128.56)
038-59545	25.00	PARKER-HANNIFIN CORP	Long-Term	08/21/07	07/01/08	\$ 70.48	\$ 65.29	\$ 1,761.98	\$ 1,632.26	\$ 129.72
038-59545	5.00	PARKER-HANNIFIN CORP	Long-Term	02/21/08	07/01/08	\$ 70.48	\$ 65.21	\$ 352.40	\$ 326.03	\$ 26.37
038-59546	10.00	PHILIP MORRIS INTERNATIONAL	Long-Term	05/04/07	05/01/09	\$ 36.89	\$ 48.14	\$ 368.93	\$ 481.36	\$ (112.43)

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Capital Gains and Losses

Account No.	Qty.	Description	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
038-59546	4.00	PHILIP MORRIS INTERNATIONAL	Long-Term	05/21/07	05/01/09	\$ 36.89	\$ 48.71	\$ 147.56	\$ 194.85	\$ (47.29)
038-59546	4.00	PHILIP MORRIS INTERNATIONAL	Long-Term	08/06/07	05/01/09	\$ 36.89	\$ 46.98	\$ 147.56	\$ 187.92	\$ (40.36)
038-59546	95.00	PHILIP MORRIS INTERNATIONAL	Long-Term	08/20/07	05/01/09	\$ 36.89	\$ 46.60	\$ 3,504.66	\$ 4,426.95	\$ (922.29)
038-59546	4.00	PHILIP MORRIS INTERNATIONAL	Long-Term	03/05/08	05/01/09	\$ 36.89	\$ 48.71	\$ 147.56	\$ 194.84	\$ (47.28)
038-59545	180.00	REPUBLIC SERVICES INC	Long-Term	04/11/08	12/18/08	\$ 23.20	\$ 24.89	\$ 4,176.81	\$ 4,479.68	\$ (302.87)
038-59546	29.00	RESEARCH IN MOTION	Long-Term	10/12/07	06/09/08	\$ 129.36	\$ 112.98	\$ 3,751.35	\$ 3,276.51	\$ 474.84
038-59546	25.00	RESEARCH IN MOTION	Long-Term	10/12/07	06/16/08	\$ 139.74	\$ 112.98	\$ 3,493.45	\$ 2,824.57	\$ 668.88
038-59546	20.00	RESEARCH IN MOTION	Long-Term	10/12/07	10/09/08	\$ 60.94	\$ 112.98	\$ 1,218.88	\$ 2,259.67	\$ (1,040.79)
038-59546	20.00	RESEARCH IN MOTION	Long-Term	11/15/07	10/09/08	\$ 60.94	\$ 104.28	\$ 1,218.89	\$ 2,085.50	\$ (866.61)
038-59546	4.00	RESEARCH IN MOTION	Long-Term	11/19/07	10/09/08	\$ 60.94	\$ 104.94	\$ 243.78	\$ 419.76	\$ (175.98)
038-59546	60.00	RESEARCH IN MOTION	Long-Term	12/04/07	10/09/08	\$ 60.94	\$ 104.25	\$ 3,656.66	\$ 6,255.02	\$ (2,598.36)
038-59546	3.00	RESEARCH IN MOTION	Long-Term	12/12/07	10/09/08	\$ 60.94	\$ 100.24	\$ 182.83	\$ 300.72	\$ (117.89)
038-59546	13.00	RESEARCH IN MOTION	Long-Term	01/07/08	10/09/08	\$ 60.94	\$ 101.66	\$ 792.28	\$ 1,321.55	\$ (529.27)
038-59546	42.00	RESEARCH IN MOTION	Long-Term	01/07/08	10/31/08	\$ 50.75	\$ 101.66	\$ 2,131.31	\$ 4,269.64	\$ (2,138.33)
038-59546	38.00	RESEARCH IN MOTION	Long-Term	02/06/08	10/31/08	\$ 50.75	\$ 88.66	\$ 1,928.32	\$ 3,369.02	\$ (1,440.70)
038-59546	7.00	RESEARCH IN MOTION	Long-Term	02/06/08	11/11/08	\$ 46.27	\$ 88.66	\$ 323.89	\$ 620.62	\$ (296.73)
038-59546	2.00	RESEARCH IN MOTION	Long-Term	02/20/08	11/11/08	\$ 46.27	\$ 94.00	\$ 92.54	\$ 187.99	\$ (95.45)
038-59546	16.00	RESEARCH IN MOTION	Long-Term	02/25/08	11/11/08	\$ 46.27	\$ 104.94	\$ 740.31	\$ 1,679.04	\$ (938.73)
038-59546	5.00	RIO TINTO PLC	Long-Term	05/19/08	08/08/08	\$ 356.96	\$ 551.55	\$ 1,784.78	\$ 2,757.73	\$ (972.95)
038-59546	8.00	RIO TINTO PLC	Long-Term	06/12/08	08/08/08	\$ 356.96	\$ 448.93	\$ 2,855.66	\$ 3,591.41	\$ (735.75)
038-59545	6.00	ROYAL DUTCH SHELL PLC-ADR	Long-Term	08/06/07	08/29/08	\$ 70.09	\$ 70.09	\$ 420.55	\$ 420.55	\$ -
038-59545	105.00	ROYAL DUTCH SHELL PLC-ADR	Long-Term	08/20/07	08/29/08	\$ 70.09	\$ 73.35	\$ 7,359.57	\$ 7,701.42	\$ (341.85)
038-59545	34.00	ROYAL DUTCH SHELL PLC-ADR	Long-Term	09/17/07	08/29/08	\$ 70.09	\$ 80.91	\$ 2,383.10	\$ 2,750.95	\$ (367.85)
038-59545	8.00	ROYAL DUTCH SHELL PLC-ADR	Long-Term	09/17/07	03/23/09	\$ 46.66	\$ 72.35	\$ 373.28	\$ 578.78	\$ (205.50)

The John, Marie and Joseph Whalen Foundation
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Capital Gains and Losses: Details

BERNSTEIN
Global Wealth Management
Personalized Account Analysis: Cap Gains
06/01/2008 - 05/31/2009

Capital Gains and Losses										
Account No.	Qty.	Description	Type	Open Date	Close Date	Sale Price	Unit Cost	Proceeds	Total Cost	Gain/(Loss)
038-59545	2.00	ROYAL DUTCH SHELL PLC-ADR	Long-Term	01/30/08	03/23/09	\$ 46.66	\$ 65.68	\$ 93.32	\$ 131.36	\$ (38.04)
038-59545	8.00	ROYAL DUTCH SHELL PLC-ADR	Long-Term	02/21/08	03/23/09	\$ 46.66	\$ 65.68	\$ 373.28	\$ 525.44	\$ (152.16)
038-59545	42.00	ROYAL DUTCH SHELL PLC-ADR	Long-Term	04/03/08	03/23/09	\$ 46.66	\$ 71.01	\$ 1,959.73	\$ 2,982.29	\$ (1,022.56)
038-59545	45.00	ROYAL DUTCH SHELL PLC-ADR	Long-Term	04/03/08	04/29/09	\$ 46.07	\$ 71.01	\$ 2,073.03	\$ 3,195.32	\$ (1,122.29)
038-59545	5.00	ROYAL DUTCH SHELL PLC-ADR	Long-Term	04/07/08	04/29/09	\$ 46.07	\$ 72.40	\$ 230.34	\$ 362.00	\$ (131.66)
038-59545	60.00	RYDER SYSTEM INC	Long-Term	05/15/08	12/16/08	\$ 33.41	\$ 74.63	\$ 2,004.79	\$ 4,477.81	\$ (2,473.02)
038-59546	70.00	SALESFORCE.COM INC	Long-Term	05/30/08	09/12/08	\$ 57.37	\$ 72.30	\$ 4,015.84	\$ 5,061.24	\$ (1,045.40)
038-59545	38.00	SCHERING-PLOUGH CORP	Long-Term	02/21/08	09/26/08	\$ 18.50	\$ 20.57	\$ 703.05	\$ 781.66	\$ (78.61)
038-59545	137.00	SCHERING-PLOUGH CORP	Long-Term	03/07/08	09/26/08	\$ 18.50	\$ 19.76	\$ 2,534.70	\$ 2,707.72	\$ (173.02)
038-59545	450.00	SPRINT NEXTEL CORP	Long-Term	08/20/07	02/24/09	\$ 3.50	\$ 18.13	\$ 1,575.54	\$ 8,160.57	\$ (6,585.03)
038-59546	225.00	STARBUCKS CORP	Long-Term	05/30/08	09/16/08	\$ 15.75	\$ 18.12	\$ 3,544.16	\$ 4,076.93	\$ (532.77)
038-59545	7.00	SUPERVALU INC	Long-Term	10/24/07	01/16/09	\$ 17.75	\$ 28.51	\$ 124.27	\$ 199.57	\$ (75.30)
038-59545	4.00	SUPERVALU INC	Long-Term	10/26/07	01/16/09	\$ 17.75	\$ 28.51	\$ 71.01	\$ 114.04	\$ (43.03)
038-59545	79.00	SUPERVALU INC	Long-Term	11/13/07	01/16/09	\$ 17.75	\$ 37.77	\$ 1,402.43	\$ 2,983.71	\$ (1,581.28)
038-59545	55.00	SUPERVALU INC	Long-Term	11/13/07	03/05/09	\$ 15.43	\$ 38.96	\$ 848.39	\$ 2,142.74	\$ (1,294.35)
038-59545	30.00	SUPERVALU INC	Long-Term	01/18/08	03/05/09	\$ 15.43	\$ 27.71	\$ 462.76	\$ 831.26	\$ (368.50)
038-59545	5.00	SUPERVALU INC	Long-Term	01/18/08	03/05/09	\$ 15.43	\$ 27.71	\$ 77.13	\$ 138.54	\$ (61.41)
038-59545	40.00	SUPERVALU INC	Long-Term	01/18/08	04/02/09	\$ 14.88	\$ 27.71	\$ 595.04	\$ 1,108.36	\$ (513.32)
038-59545	9.00	SUPERVALU INC	Long-Term	02/21/08	04/02/09	\$ 14.88	\$ 28.51	\$ 133.88	\$ 256.59	\$ (122.71)
038-59545	121.00	SUPERVALU INC	Long-Term	04/08/08	04/02/09	\$ 14.88	\$ 30.45	\$ 1,800.00	\$ 3,684.27	\$ (1,884.27)
038-59546	3.00	TARGET CORP	Long-Term	05/04/07	10/13/08	\$ 38.94	\$ 52.35	\$ 116.83	\$ 157.05	\$ (40.22)
038-59546	40.00	TARGET CORP	Long-Term	08/20/07	10/13/08	\$ 38.94	\$ 60.57	\$ 1,557.78	\$ 2,422.78	\$ (865.00)
038-59546	4.00	TEVA PHARMACEUTICAL-SP ADR	Long-Term	07/06/07	12/11/08	\$ 42.39	\$ 41.37	\$ 169.58	\$ 165.48	\$ 4.10
038-59546	50.00	TEVA PHARMACEUTICAL-SP ADR	Long-Term	08/27/07	12/11/08	\$ 42.39	\$ 41.82	\$ 2,119.68	\$ 2,091.00	\$ 28.68
038-59546	1.00	TEVA PHARMACEUTICAL-SP ADR	Long-Term	09/05/07	12/11/08	\$ 42.39	\$ 43.74	\$ 42.39	\$ 43.74	\$ (1.35)

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BERNSTEIN
Global Wealth Management
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Personalized Account Analysis: Cap Gains
06/01/2008 - 05/31/2009

Capital Gains and Losses										
Account No.	Qty.	Description	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
038-59546	49.00	TEVA PHARMACEUTICAL-SP ADR	Long-Term	09/05/07	04/30/09	\$ 43.66	\$ 43.74	\$ 2,139.29	\$ 2,143.44	\$ (4.15)
038-59546	4.00	TEVA PHARMACEUTICAL-SP ADR	Long-Term	11/19/07	04/30/09	\$ 43.66	\$ 44.30	\$ 174.64	\$ 177.19	\$ (2.55)
038-59546	37.00	TEXTRON INC	Long-Term	10/29/07	09/19/08	\$ 34.99	\$ 67.70	\$ 1,294.53	\$ 2,504.80	\$ (1,210.27)
038-59546	52.00	TEXTRON INC	Long-Term	11/23/07	09/19/08	\$ 34.99	\$ 65.27	\$ 1,819.34	\$ 3,393.85	\$ (1,574.51)
038-59546	40.00	TEXTRON INC	Long-Term	12/04/07	09/19/08	\$ 34.99	\$ 70.31	\$ 1,399.49	\$ 2,812.25	\$ (1,412.76)
038-59546	16.00	TEXTRON INC	Long-Term	02/21/08	09/19/08	\$ 34.99	\$ 53.56	\$ 559.80	\$ 856.96	\$ (297.16)
038-59545	175.00	TEXTRON INC	Long-Term	12/22/08	01/30/09	\$ 8.94	\$ 15.22	\$ 1,564.48	\$ 2,664.01	\$ (1,099.53)
038-59545	75.00	TJX COMPANIES INC	Long-Term	12/19/08	04/07/09	\$ 25.75	\$ 20.70	\$ 1,931.37	\$ 1,552.61	\$ 378.76
038-59545	65.00	TJX COMPANIES INC	Long-Term	12/19/08	04/29/09	\$ 28.20	\$ 20.70	\$ 1,832.93	\$ 1,345.60	\$ 487.33
038-59545	110.00	TJX COMPANIES INC	Long-Term	12/19/08	05/01/09	\$ 27.88	\$ 20.70	\$ 3,067.01	\$ 2,277.17	\$ 789.84
038-59545	40.00	TOYOTA MOTOR CORP - SPON ADR	Long-Term	06/30/08	04/24/09	\$ 80.40	\$ 94.25	\$ 3,216.05	\$ 3,769.95	\$ (553.90)
038-59545	35.00	TOYOTA MOTOR CORP - SPON ADR	Long-Term	07/08/08	04/24/09	\$ 80.40	\$ 92.74	\$ 2,814.04	\$ 3,246.05	\$ (432.01)
038-59546	25.00	TRANSCOCEAN INC	Long-Term	04/18/08	08/12/08	\$ 125.33	\$ 154.00	\$ 3,133.25	\$ 3,849.99	\$ (716.74)
038-59546	5.00	TRANSCOCEAN INC	Long-Term	05/19/08	08/12/08	\$ 125.33	\$ 161.82	\$ 626.65	\$ 809.08	\$ (182.43)
038-59546	25.00	TRANSCOCEAN INC	Long-Term	05/19/08	10/17/08	\$ 69.17	\$ 161.82	\$ 1,729.37	\$ 4,045.43	\$ (2,316.06)
038-59546	15.00	TRANSCOCEAN INC	Long-Term	06/30/08	10/17/08	\$ 69.17	\$ 154.13	\$ 1,037.63	\$ 2,311.90	\$ (1,274.27)
038-59545	75.00	TRAVELERS COS INC/THE	Long-Term	10/28/05	11/18/08	\$ 40.95	\$ 43.09	\$ 3,071.57	\$ 3,231.75	\$ (160.18)
038-59545	5.00	TYCO INTERNATIONAL LTD	Long-Term	05/04/07	12/18/08	\$ 21.56	\$ 43.48	\$ 107.80	\$ 217.40	\$ (109.60)
038-59546	38.00	UNION PACIFIC CORP	Long-Term	04/21/08	11/28/08	\$ 50.01	\$ 68.71	\$ 1,900.32	\$ 2,611.10	\$ (710.78)
038-59545	85.00	VALERO ENERGY CORP	Long-Term	04/07/08	02/06/09	\$ 23.70	\$ 50.43	\$ 2,014.64	\$ 4,286.44	\$ (2,271.80)
038-59545	18.00	VERIZON COMMUNICATIONS INC	Long-Term	05/04/07	09/15/08	\$ 33.83	\$ 36.12	\$ 608.86	\$ 650.16	\$ (41.30)
038-59545	115.00	VERIZON COMMUNICATIONS INC	Long-Term	08/20/07	09/15/08	\$ 33.83	\$ 40.96	\$ 3,889.93	\$ 4,710.78	\$ (820.85)
038-59545	95.00	VERIZON COMMUNICATIONS INC	Long-Term	08/20/07	02/13/09	\$ 29.94	\$ 40.96	\$ 2,843.87	\$ 3,891.51	\$ (1,047.64)
038-59545	65.00	VERIZON COMMUNICATIONS INC	Long-Term	08/20/07	04/29/09	\$ 30.89	\$ 40.96	\$ 2,008.08	\$ 2,662.62	\$ (654.54)

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Personalized Account Analysis: Cap Gains
06/01/2008 - 05/31/2009

Capital Gains and Losses

Account No.	Description	Qty	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
038-59545	VERIZON COMMUNICATIONS INC	40.00	Long-Term	02/21/08	04/29/09	\$ 30.89	\$ 35.52	\$ 1,235.74	\$ 1,420.87	\$ (185.13)
038-59546	VMWARE INC CL A	45.00	Long-Term	05/05/08	07/08/08	\$ 38.92	\$ 68.59	\$ 1,751.33	\$ 3,086.47	\$ (1,335.14)
038-59546	VMWARE INC CL A	35.00	Long-Term	05/05/08	07/10/08	\$ 38.09	\$ 68.59	\$ 1,333.16	\$ 2,400.59	\$ (1,067.43)
038-59545	VODAFONE GROUP PLC-SP ADR	18.00	Long-Term	05/16/07	06/24/08	\$ 28.50	\$ 28.49	\$ 513.06	\$ 512.77	\$ 0.29
038-59545	VODAFONE GROUP PLC-SP ADR	5.00	Long-Term	06/19/07	06/24/08	\$ 28.50	\$ 30.00	\$ 142.51	\$ 150.00	\$ (7.49)
038-59545	VODAFONE GROUP PLC-SP ADR	229.00	Long-Term	08/20/07	06/24/08	\$ 28.50	\$ 30.92	\$ 6,527.16	\$ 7,081.18	\$ (554.02)
038-59545	VODAFONE GROUP PLC-SP ADR	146.00	Long-Term	08/20/07	07/29/08	\$ 26.62	\$ 30.92	\$ 3,887.06	\$ 4,514.65	\$ (627.59)
038-59545	VODAFONE GROUP PLC-SP ADR	7.00	Long-Term	09/21/07	07/29/08	\$ 26.62	\$ 30.00	\$ 186.37	\$ 210.00	\$ (23.63)
038-59545	VODAFONE GROUP PLC-SP ADR	75.00	Long-Term	10/08/07	07/29/08	\$ 26.62	\$ 34.56	\$ 1,996.78	\$ 2,591.87	\$ (595.09)
038-59545	VODAFONE GROUP PLC-SP ADR	22.00	Long-Term	02/21/08	07/29/08	\$ 26.62	\$ 30.00	\$ 585.72	\$ 660.00	\$ (74.28)
038-59545	WACHOVIA CORP	100.00	Long-Term	03/31/08	09/26/08	\$ 8.78	\$ 26.97	\$ 877.60	\$ 2,696.62	\$ (1,819.02)
038-59545	WACHOVIA CORP	200.00	Long-Term	03/31/08	09/30/08	\$ 2.79	\$ 26.97	\$ 557.06	\$ 5,393.24	\$ (4,836.18)
038-59545	WACHOVIA CORP	125.00	Long-Term	04/22/08	09/30/08	\$ 2.79	\$ 26.07	\$ 348.16	\$ 3,258.43	\$ (2,910.27)
038-59546	WAL-MART STORES INC	79.00	Long-Term	02/13/08	04/28/09	\$ 48.75	\$ 50.91	\$ 3,851.31	\$ 4,021.59	\$ (170.28)
038-59546	WAL-MART STORES INC	9.00	Long-Term	02/21/08	04/28/09	\$ 48.75	\$ 49.88	\$ 438.76	\$ 448.96	\$ (10.20)
038-59546	WAL-MART STORES INC	32.00	Long-Term	07/22/08	04/28/09	\$ 48.75	\$ 57.71	\$ 1,560.02	\$ 1,846.80	\$ (286.78)
038-59546	WAL-MART STORES INC	35.00	Long-Term	10/09/08	04/28/09	\$ 48.75	\$ 54.58	\$ 1,706.27	\$ 1,910.36	\$ (204.09)
038-59545	WELLS FARGO & COMPANY	225.00	Long-Term	01/06/09	02/20/09	\$ 9.70	\$ 28.64	\$ 2,181.80	\$ 6,444.23	\$ (4,262.43)
038-59545	WISCONSIN ENERGY CORP	80.00	Long-Term	09/04/08	12/19/08	\$ 41.81	\$ 45.44	\$ 3,344.54	\$ 3,634.87	\$ (290.33)
038-59546	WRIGLEY WM JR CO	45.00	Long-Term	08/21/07	09/11/08	\$ 79.58	\$ 61.03	\$ 3,581.16	\$ 2,746.27	\$ 834.89
038-59546	WRIGLEY WM JR CO	30.00	Long-Term	08/21/07	09/25/08	\$ 79.58	\$ 61.03	\$ 2,387.50	\$ 1,830.86	\$ 556.64
038-59546	WRIGLEY WM JR CO	5.00	Long-Term	12/04/07	09/25/08	\$ 79.58	\$ 63.55	\$ 397.92	\$ 317.73	\$ 80.19
038-59546	WRIGLEY WM JR CO	65.00	Long-Term	12/04/07	09/30/08	\$ 79.50	\$ 63.55	\$ 5,167.60	\$ 4,130.49	\$ 1,037.11
038-59546	WRIGLEY WM JR CO	5.00	Long-Term	12/04/07	10/07/08	\$ 80.00	\$ 63.55	\$ 400.00	\$ 317.73	\$ 82.27
038-59546	WRIGLEY WM JR CO	2.00	Long-Term	01/18/08	10/07/08	\$ 80.00	\$ 57.63	\$ 160.00	\$ 115.25	\$ 44.75

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Capital Gains and Losses: Details

Personalized Account Analysis: Cap Gains

06/01/2008 - 05/31/2009

BERNSTEIN
Global Wealth Management

Capital Gains and Losses

Account No.	Qty	Description	Type	Open Date	Close Date	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
038-59546	8.00	WRIGLEY WM JR CO	Long-Term	01/25/08	10/07/08	\$ 80.00	\$ 57.78	\$ 640.00	\$ 462.24	\$ 177.76
038-59546	2.00	WRIGLEY WM JR CO	Long-Term	02/20/08	10/07/08	\$ 80.00	\$ 58.63	\$ 160.00	\$ 117.26	\$ 42.74
038-59546	13.00	WRIGLEY WM JR CO	Long-Term	02/21/08	10/07/08	\$ 80.00	\$ 59.04	\$ 1,040.00	\$ 767.50	\$ 272.50
038-59546	75.00	WRIGLEY WM JR CO	Long-Term	03/11/08	10/07/08	\$ 80.00	\$ 59.52	\$ 6,000.00	\$ 4,463.74	\$ 1,536.26
038-59546	25.00	WRIGLEY WM JR CO	Long-Term	07/22/08	10/07/08	\$ 80.00	\$ 78.39	\$ 2,000.00	\$ 1,959.63	\$ 40.37
038-59545	125.00	WYETH	Long-Term	08/27/08	04/09/09	\$ 42.30	\$ 42.68	\$ 5,287.19	\$ 5,335.09	\$ (47.90)
038-59545	45.00	WYETH	Long-Term	12/03/08	04/09/09	\$ 42.30	\$ 33.56	\$ 1,903.39	\$ 1,510.12	\$ 393.27
038-59546	4.00	YUM! BRANDS INC	Long-Term	12/06/07	07/21/08	\$ 34.92	\$ 37.40	\$ 139.68	\$ 149.60	\$ (9.92)
038-59546	11.00	YUM! BRANDS INC	Long-Term	02/26/08	07/21/08	\$ 34.92	\$ 36.85	\$ 384.10	\$ 405.34	\$ (21.24)
038-59546	75.00	YUM! BRANDS INC	Long-Term	03/04/08	07/21/08	\$ 34.92	\$ 34.45	\$ 2,618.87	\$ 2,583.77	\$ 35.10
Total									\$ 2,368,716.30	\$ (1,048,972.75)

(1) The selected PAA contains closed account(s):

Purchased

2,138,718.69 3,150,274.33 (916,555.64)

Disposed

194,997.61 267,471.62 (72,474.01)

2,368,716.30 3,417,689.05 (1,048,972.75)

The John, Marie and Joseph Whalen Foundation
 Schedule of Capital Gains and Losses
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 Account Number: 808-02019

(1/4)

TOTAL MERRILL

THE JOHN, MARIE AND JOSEPH

YOUR EMA TRANSACTIONS

May 01, 2009 - May 29, 2009

SECURITY TRANSACTIONS (continued)

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
05/29		VALE SA	Exchange	177				
		PAY DATE 05/29/2009						
		Subtotal (Other Security Transactions)				1,158,311.31	826,419.84	
		TOTAL						

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sales Price	Cost Basis	This Statement	Gains/(Losses) * Year to Date
ALLSTATE CORP DEL COM	400.0000	N/A	05/20/09	10,807.92	19,498.94		(8,691.02)
AIR PRODUCTS&CHEM	37.0000	N/A	05/20/09	2,364.23	3,536.23		(1,172.00)
ALTRIA GROUP INC	825.0000	N/A	05/20/09	13,860.05	6,796.82		(2,936.77)
AUTOLIV INC	175.0000	N/A	05/20/09	4,506.29	6,340.38		(1,834.09)
ALCON INC	70.0000	N/A	05/20/09	7,012.41	9,491.22		(2,478.81)
AT&T INC	236.0000	N/A	05/20/09	5,822.09	5,961.36		(139.27)
AMGEN INC COM PV \$0.0001	45.0000	N/A	05/20/09	2,285.94	2,236.19		49.75
APACHE CORP	135.0000	N/A	05/20/09	10,909.47	12,930.03		(2,020.56)
APACHE CORP	150.0000	N/A	05/20/09	12,121.64	14,916.21		(2,794.57)
APPLE INC	237.0000	N/A	05/20/09	30,286.92	23,792.52		6,494.40
ARCHER DANIELS MIDLD	144.0000	N/A	05/20/09	3,754.76	3,496.32		258.44
BUNGE LIMITED	110.0000	N/A	05/20/09	6,586.10	5,536.43		1,049.67
BP PLC	145.0000	N/A	05/20/09	6,974.32	9,826.41		(2,852.09)
BAXTER INTERNL INC	74.0000	N/A	05/20/09	3,659.94	4,626.50		(966.56)
BECTON DICKINSON CO	45.0000	N/A	05/20/09	2,933.92	3,859.94		(926.02)
COMCAST CORP NEW CL A	200.0000	N/A	05/20/09	3,021.94	3,374.14		(352.20)
CARDINAL HEALTH INC OHIO	375.0000	N/A	05/20/09	13,522.41	17,037.33		(3,514.92)
CAPITAL ONE FINL	350.0000	N/A	05/20/09	8,677.22	8,235.12		442.10
COSTCO WHOLESALE CRP DEL	325.0000	N/A	05/20/09	15,846.62	18,762.92		(2,916.30)
CHEVRON CORP	23.0000	N/A	05/20/09	1,532.68	918.85		613.83
				116,486.87	191,173.91		(24,687.04)

Subtotal

(2/4)

THE JOHN, MARIE AND JOSEPH

Account Number: 808-02019

24-Hour Assistance: (800) MERRILL

YOUR EMA TRANSACTIONS

May 01, 2009 - May 29, 2009

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sales Price	Cost Basis	This Statement	Gains/(Losses) * Year to Date
COOPER INDSTRS LTD CL A	67.0000	N/A	05/20/09	2,280.62	1,632.79		647.83
CONOCOPHILLIPS	207.0000	N/A	05/20/09	9,719.52	9,860.18		(140.66)
CELGENE CORP COM	361.0000	N/A	05/20/09	14,455.07	20,793.04		(6,337.97)
CBS CORP NEW CL B	800.0000	N/A	05/20/09	5,969.20	16,150.24		(10,211.04)
CAMERON INTL CORP	130.0000	N/A	05/20/09	4,080.72	5,910.86		(1,896.14)
CME GROUP INC	48.0000	N/A	05/20/09	13,566.17	23,592.12		(10,025.95)
CISCO SYSTEMS INC COM	1091.0000	N/A	05/20/09	20,575.73	22,971.16		(2,395.43)
COLGATE PALMOLIVE	115.0000	N/A	05/20/09	7,328.23	7,704.99		(376.76)
CORNING INC	425.0000	N/A	05/20/09	6,328.08	3,580.54		2,747.54
DEVON ENERGY CORP NEW	250.0000	N/A	05/20/09	15,958.43	17,422.93		(1,464.50)
DEUTSCHE BK AG REG SHS	155.0000	N/A	05/20/09	10,003.86	13,452.29		(3,448.43)
DISNEY (WALT) CO COM STK	136.0000	N/A	05/20/09	3,282.95	2,430.32		852.63
EASTMAN CHEMICAL CO COM	150.0000	N/A	05/20/09	6,344.95	5,035.28		1,309.67
EOG RESOURCES INC	265.0000	N/A	05/20/09	19,416.81	20,047.13		(630.92)
EOG RESOURCES INC	115.0000	N/A	05/20/09	8,426.17	8,846.29		(420.12)
ERICSSON LM TEL CL B ADR	695.0000	N/A	05/20/09	6,206.95	5,176.87		1,030.08
EMERSON ELEC CO	340.0000	N/A	05/20/09	11,478.27	13,587.22		(2,108.95)
→ FLUOR CORP NEW DEL COM	5.0000	N/A	05/20/09	229.04	321.04		(92.00)
FRANKLIN RES INC	140.0000	N/A	05/20/09	8,944.79	14,734.48		(5,789.69)
GAP INC DELAWARE	109.0000	N/A	05/20/09	1,795.29	2,143.65		(368.36)
GLAXOSMITHKLINE PLC ADR	26.0000	N/A	05/20/09	856.67	913.56		(106.89)
GOLDMAN SACHS GROUP INC	196.0000	N/A	05/20/09	27,623.52	27,860.43		12,447.37
GOLDMAN SACHS GROUP INC	90.0000	N/A	05/20/09	12,684.28			(944.90)
GENWORTH FINL INC COM	375.0000	N/A	05/20/09	2,160.95	11,105.85		(14,751.60)
GOOGLE INC CL A	91.0000	N/A	05/20/09	36,729.38	41,410.98		2,095.01
GILEAD SCIENCES INC COM	675.0000	N/A	05/20/09	29,018.72	26,923.71		943.43
HARTFORD FINL SVCS GROUP	200.0000	N/A	05/20/09	3,374.03	2,420.12		5,071.36
HEWLETT PACKARD CO DEL	391.0000	N/A	05/20/09	13,739.38	8,668.02		520.28
HOME DEPOT INC	370.0000	N/A	05/20/09	9,058.36	8,535.08		(658.12)
JACOBS ENGN GRP INC DELA	55.0000	N/A	05/20/09	2,196.09	2,894.21		(32,503.23)
				313,832.23	346,335.46		

See below

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TOTAL MERRILL

THE JOHN, MARIE AND JOSEPH

Account Number: 808-02019

YOUR EMA TRANSACTIONS

May 01, 2009 - May 29, 2009

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sales Price	Cost Basis	This Statement	Gains/(Losses) * Year to Date
JPMORGAN CHASE & CO	234.0000	N/A	05/20/09	8,550.77	9,315.54		(764.77)
KOHL'S CORP WISC PV 1CT	176.0000	N/A	05/20/09	7,910.99	8,294.23		(383.24)
LIBERTY MEDIA CORP ETMNT	145.0000	N/A	05/20/09	3,582.85	3,442.71		140.14
ELI LILLY & CO	118.0000	N/A	05/20/09	4,103.07	3,836.18		266.89
LIMITED BRANDS INC	475.0000	N/A	05/20/09	5,820.17	4,508.18		1,311.99
LINCOLN NTL CORP IND NPV	275.0000	N/A	05/20/09	5,038.34	4,745.51		292.83
LOWE'S COMPANIES INC	160.0000	N/A	05/20/09	3,239.91	3,165.14		74.77
LOWE'S COMPANIES INC	300.0000	N/A	05/20/09	6,074.85	5,040.29		1,034.56
METLIFE INC COM	114.0000	N/A	05/20/09	3,711.74	5,822.10		(2,110.36)
MONSANTO CO NEW DEL COM	275.0000	N/A	05/20/09	25,082.51	19,385.31		5,697.20
MEDCO HEALTH SOLUTIONS I	62.0000	N/A	05/20/09	2,822.16	2,633.14		189.02
MOLSON COOR BREW CO CL B	115.0000	N/A	05/20/09	5,011.69	4,950.16		61.53
MACYS INC	524.0000	N/A	05/20/09	6,576.08	16,095.75		(9,419.67)
MCDONALDS CORP COM	64.0000	N/A	05/20/09	3,552.70	2,378.74		1,173.96
MERCK&CO INC	800.0000	N/A	05/20/09	20,815.86	31,254.42		(10,440.56)
MOTOROLA INC COM	1800.0000	N/A	05/20/09	11,235.13	11,641.22		(406.09)
NVIDIA	41.0000	N/A	05/20/09	403.01	471.09		(68.08)
NEWS CORP CL A	2000.0000	N/A	05/20/09	18,613.32	14,951.73		3,661.59
NIKE INC CL B	60.0000	N/A	05/20/09	3,136.11	4,067.00		(930.89)
NOKIA CORP SPON ADR	674.0000	N/A	05/20/09	10,440.53	9,471.97		968.56
PACCAR INC	75.0000	N/A	05/20/09	2,265.69	2,120.64		145.05
PHILIP MORRIS INTL INC	95.0000	N/A	05/20/09	4,031.94	4,925.59		(893.65)
PHILIP MORRIS INTL INC	47.0000	N/A	05/20/09	1,994.76	2,190.20		(195.44)
J C PENNEY CO COM	26.0000	N/A	05/20/09	731.88	540.44		191.44
PEPSICO INC	375.0000	N/A	05/20/09	19,399.26	23,142.50		(3,743.24)
PFIZER INC DEL PV\$0.05	167.0000	N/A	05/20/09	2,514.95	3,438.53		(923.58)
PROCTER & GAMBLE CO	59.0000	N/A	05/20/09	3,176.63	6,863.75		(1,156.57)
PROCTER & GAMBLE CO	47.0000	N/A	05/20/09	2,530.55	2,692.47		(493.01)
PROCTER & GAMBLE CO	41.0000	N/A	05/26/09	2,199.46	20,757.51		(1,343.06)
QUALCOMM INC	454.0000	N/A	05/20/09	19,414.45	232,103.44		(18,122.08)
				2,000,321.36			

Sub-total

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(4/4)

THE JOHN, MARIE AND JOSEPH

Account Number: 808-02019

24-Hour Assistance: (800) MERRILL

YOUR EMA TRANSACTIONS

May 01, 2009 - May 29, 2009

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sales Price	Cost Basis	Gains/(Losses) *	
						This Statement	Year to Date
ROYAL DUTCH SHELL PLC	140.0000	N/A	05/20/09	7,240.61	8,527.65		(1,287.04)
RRI ENERGY INC	500.0000	N/A	05/20/09	2,629.98	2,616.30		13.68
SAFEWAY INC NEW	235.0000	N/A	05/20/09	4,676.39	5,980.48		(1,304.09)
SCHLUMBERGER LTD	479.0000	N/A	05/20/09	26,747.68	30,933.03		(4,185.35)
SCHWAB CHARLES CORP NEW	300.0000	N/A	05/20/09	5,409.64	5,149.93		259.71
SANOI AVENTIS SPON ADR	35.0000	N/A	05/20/09	1,077.27	1,133.45		(73.18)
SPRINT NEXTEL CORP	1750.0000	N/A	05/20/09	10,027.24	11,987.77		(1,960.53)
SYMANTEC CORP COM	600.0000	N/A	05/20/09	9,275.82	7,673.18		1,602.64
TEVA PHARMACTCL INDS ADR	458.0000	N/A	05/20/09	20,634.83	21,221.14		(586.31)
TARGET CORP COM	120.0000	N/A	05/20/09	5,212.66	4,918.06		294.60
TYCO ELECTRONICS LTD	350.0000	N/A	05/20/09	6,252.09	6,219.06		33.03
TIME WARNER INC SHS	299.0000	N/A	05/20/09	7,250.74	9,898.96		(2,648.22)
TIME WARNER CABLE INC	108.0000	N/A	05/20/09	3,553.52	4,227.58		(674.06)
TYSON FOODS INC CL A	500.0000	N/A	05/20/09	6,815.42	5,880.81		934.61
UNION PACIFIC CORP	70.0000	N/A	05/20/09	3,447.41	3,436.43		(39.02)
VISA INC CL A SHRS	55.0000	N/A	05/20/09	3,632.65	3,689.79		(57.14)
XL CAPITAL LTD CL A	325.0000	N/A	05/20/09	3,389.82	5,123.00		(1,733.18)
WSTN DIGITAL CORP DEL	67.0000	N/A	05/20/09	1,666.24	2,302.79		(636.55)
VALERO ENERGY CORP NEW	153.0000	05/20/09	05/22/09	3,179.37	3,413.35		(233.98)
Subtotal				137,119.38	141,399.76		(12,280.38)

* - Excludes transactions for which we have insufficient data

N/A - Results which cannot be calculated because of insufficient data are reflected by an N/A entry in the capital gain or (loss) and loss summary.

Grand total

826,419.84

914,012.57

(87,592.73)

Purchased

792,978.30

865,144.87

(72,166.57)

Directed

33,441.54

48,867.70

(15,426.16)

826,419.84

914,012.57

(87,592.73)

Statement 1
Form 990-PF, Part I, Line 16a
Legal Fees

	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ATTORNEYS FEES	\$ 6,004.	\$ 3,002.		\$ 3,002.
Total	<u>\$ 6,004.</u>	<u>\$ 3,002.</u>	<u>\$ 0.</u>	<u>\$ 3,002.</u>

Statement 2
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
	\$ 14,708.	\$ 13,237.		\$ 1,471.
Total	<u>\$ 14,708.</u>	<u>\$ 13,237.</u>	<u>\$ 0.</u>	<u>\$ 1,471.</u>

Statement 3
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
INVESTMENT MANAGEMENT FEES	\$ 19,888.	\$ 19,888.		
Total	<u>\$ 19,888.</u>	<u>\$ 19,888.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

Statement 4
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FEDERAL EXCISE TAX	\$ 7,623.	\$ 7,623.		
FOREIGN INCOME TAXES	860.	860.		
Total	<u>\$ 8,483.</u>	<u>\$ 8,483.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

Statement 5
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
BANK FEES	\$ 15.	\$ 8.		\$ 7.
BOARD EXPENSES	725.	363.		362.
BOND PREMIUM AMORTIZATION	31.			15.
CONSULTANTS	4,375.	2,188.		2,187.
INSURANCE	1,165.	583.		582.
OFFICE EXPENSE	833.	417.		416.
Total	\$ 7,144.	\$ 3,559.	\$ 0.	\$ 3,569.

Statement 6
Form 990-PF, Part II, Line 10a
Investments - U.S. and State Government Obligations

<u>U.S. Government Obligations</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
ASSORTED SECURITIES - SEE ATTACHED	Cost	\$ 684,342.	\$ 676,357.
		\$ 684,342.	\$ 676,357.
	Total	\$ 684,342.	\$ 676,357.

Statement 7
Form 990-PF, Part II, Line 10b
Investments - Corporate Stocks

<u>Corporate Stocks</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
ASSORTED SECURITIES - SEE ATTACHED	Cost	\$ 1,712,725.	\$ 1,686,384.
	Total	\$ 1,712,725.	\$ 1,686,384.

Statement 8
Form 990-PF, Part II, Line 10c
Investments - Corporate Bonds

<u>Corporate Bonds</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
ASSORTED SECURITIES - SEE ATTACHED	Cost	\$ 138,237.	\$ 137,079.
	Total	\$ 138,237.	\$ 137,079.

Federal Statements
THE JOHN, MARIE AND JOSEPH WHALEN
FOUNDATION, INC.

Statement 9
Form 990-PF, Part II, Line 14
Land, Buildings, and Equipment

<u>Category</u>	<u>Basis</u>	<u>Accum. Deprec.</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Miscellaneous	\$ 2,187.	\$ 1,137.	\$ 1,050.	\$ 0.
Total	<u>\$ 2,187.</u>	<u>\$ 1,137.</u>	<u>\$ 1,050.</u>	<u>\$ 0.</u>

Statement 10
Form 990-PF, Part II, Line 15
Other Assets

	<u>Book Value</u>	<u>Fair Market Value</u>
ACCRUED INTEREST PAID	\$ 6,254.	
Total	<u>\$ 6,254.</u>	<u>\$ 0.</u>

Statement 11
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

<u>Name and Address</u>	<u>Title and Average Hours Per Week Devoted</u>	<u>Compen- sation</u>	<u>Contri- bution to EBP & DC</u>	<u>Expense Account/ Other</u>
SISTER SERENA THOMPSON 385 WATERVLIET SHAKER ROAD LATHAM, NY 12208	Director 0	\$ 2,000.	\$ 0.	\$ 0.
DR. JOSEPH P. WHALEN, SR. 440 EAST 57TH STREET, APT. 5B NEW YORK, NY 10022	Chairperson 0	2,000.	0.	0.
SISTER MARY ANN HAYES 369 WATERVLIET-SHAKER ROAD LATHAM, NY 12208	Treasurer 0	2,000.	0.	0.
FATHER KENNETH DOYLE 40 COLLINS PLACE ALBANY, NY 12208	Vice Chairpersn 0	2,000.	0.	0.
BISHOP HOWARD HUBBARD 40 NORTH MAIN AVENUE ALBANY, NY 12203	Director 0	2,000.	0.	0.
SISTER LAUREN VANDERMARK 385 WATERVLIET-SHAKER ROAD LATHAM, NY 12208	Director 0	2,000.	0.	0.

Federal Statements
THE JOHN, MARIE AND JOSEPH WHALEN
FOUNDATION, INC.

Statement 11 (continued)
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

<u>Name and Address</u>	<u>Title and Average Hours Per Week Devoted</u>	<u>Compen- sation</u>	<u>Contri- bution to EBP & DC</u>	<u>Expense Account/ Other</u>
PHILIP J. WHALEN 22 HYATT ROAD BRIARCLIFF MANOR, NY 10510	Director 0	\$ 2,000.	\$ 0.	\$ 0.
ANGELA K. WARNER 719 WASENTHA WAY SLINGERLANDS, NY 12159	Executive Direc 0	16,170.	0.	0.
ELIZABETH SIMCOE 40 NORTH MAIN AVENUE ALBANY, NY 12203	Director 0	1,000.	0.	0.
MARY P. WHALEN 520 EAST 20TH STREET, APT #3E NEW YORK, NY 10009	Director 0	1,000.	0.	0.
Total		\$ 32,170.	\$ 0.	\$ 0.