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Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung
benefit trust or private foundation)

OMB No 1545-0047

2007Open to Public
Inspection

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2007 calendar year, or tax year beginning **JUL 1, 2007** and ending **JUN 30, 2008****B** Check if
applicable

- ☐ Address
change
- ☐ Name
change
- ☐ Initial
return
- ☐ Termina-
tion
- ☒ Amended
return
- ☐ Application
pending

Please
use IRS
label or
print or
type
See
Specific
Instruc-
tions**C** Name of organization**PEN AMERICAN CENTER, INC.**

Number and street (or P.O. box if mail is not delivered to street address)

588 BROADWAY

City or town, state or country, and ZIP + 4

NEW YORK, NY 10012**D** Employer identification number**13-3447888****E** Telephone number**(212) 334-1660****F** Accounting method ☐ Cash ☒ Accrual
☐ Other
(Specify) ▶• Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts
must attach a completed Schedule A (Form 990 or 990-EZ).**H** and **I** are not applicable to section 527 organizations.**H(a)** Is this a group return for affiliates? ☐ Yes ☒ No**H(b)** If "Yes," enter number of affiliates ▶ **N/A****H(c)** Are all affiliates included? **N/A** ☐ Yes ☐ No
(If "No," attach a list.)**H(d)** Is this a separate return filed by an or-
ganization covered by a group ruling? ☐ Yes ☒ No**I** Group Exemption Number ▶ **N/A****M** Check ☐ if the organization is **not** required to attach
Sch. B (Form 990, 990-EZ, or 990-PF).**G** Website: **WWW.PEN.ORG****J** Organization type (check only one) ☒ 501(c) (**3**) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527**K** Check here ☐ if the organization is not a 509(a)(3) supporting organization and its gross
receipts are normally **not** more than \$25,000. A return is not required, but if the organization
chooses to file a return, be sure to file a complete return.**L** Gross receipts: Add lines 6b, 8b, 9b, and 10b to line 12 ▶ **4,740,599.****Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances**

Revenue	1	Contributions, gifts, grants, and similar amounts received:				
	a	Contributions to donor advised funds		1a		
	b	Direct public support (not included on line 1a)		1b	2,058,299.	
	c	Indirect public support (not included on line 1a)		1c		
	d	Government contributions (grants) (not included on line 1a)		1d	126,435.	
	e	Total (add lines 1a through 1d) (cash \$ 2,184,734. noncash \$)		1e	2,184,734.	
	2	Program service revenue including government fees and contracts (from Part VII, line 93)		2	110,582.	
	3	Membership dues and assessments		3	229,739.	
	4	Interest on savings and temporary cash investments		4	943.	
	5	Dividends and interest from securities		5	101,978.	
	6a	Gross rents				
	b	Less: rental expenses				
	c	Net rental income or (loss). Subtract line 6b from line 6a		6c		
	7	Other investment income (describe ▶)		7		
	8a	Gross amount from sales of assets other than inventory				
		(A) Securities		(B) Other		
		1,840,993.	8a			
	b	Less: cost or other basis and sales expenses				
		1,754,909.	8b			
	c	Gain or (loss) (attach schedule)			86,084.	8c
	d	Net gain or (loss). Combine line 8c, columns (A) and (B)		8d	86,084.	
	9	Special events and activities (attach schedule). If any amount is from gaming, check here ☐				
	a	Gross revenue (not including \$ 720,457. of contributions reported on line 1b)		9a	250,753.	
	b	Less: direct expenses other than fundraising expenses		9b	250,753.	
	c	Net income or (loss) from special events. Subtract line 9b from line 9a		9c	0.	
	10a	Gross sales of inventory, less returns and allowances		10a		
	b	Less: cost of goods sold		10b		
	c	Gross profit or (loss) from sales of inventory (attach schedule). Subtract line 10b from line 10a		10c		
	11	Other revenue (from Part VII, line 103)		11	20,877.	
	12	Total revenue. Add lines 1e, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11		12	2,734,937.	
Expenses	13	Program services (from line 44, column (B))		13	2,321,582.	
	14	Management and general (from line 44, column (C))		14	301,424.	
	15	Fundraising (from line 44, column (D))		15	407,558.	
	16	Payments to affiliates (attach schedule)		16		
	17	Total expenses. Add lines 16 and 44, column (A)		17	3,030,564.	
Net Assets	18	Excess or (deficit) for the year. Subtract line 17 from line 12		18	<295,627.>	
	19	Net assets or fund balances at beginning of year (from line 73, column (A))		19	3,599,106.	
	20	Other changes in net assets or fund balances (attach explanation)		20	<299,007.>	
	21	Net assets or fund balances at end of year. Combine lines 18, 19, and 20		21	3,004,47~	

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LHA For Privacy Act and Paperwork Reduction Act Notice, see the separate instructions.

Form 990 (21

SCANNED APR 29 2016

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Part II Statement of Functional Expenses

All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others.

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I.	(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22a Grants paid from donor advised funds (attach schedule) (cash \$ <u>0</u> . noncash \$ <u>0</u> . If this amount includes foreign grants, check here ☐ 22a			STATEMENT 5	STATEMENT 6
22b Other grants and allocations (attach schedule) (cash \$ <u>264,924</u> . noncash \$ <u>0</u> . If this amount includes foreign grants, check here ☒ 22b	<u>264,924.</u>	<u>264,924.</u>		
23 Specific assistance to individuals (attach schedule) 23				
24 Benefits paid to or for members (attach schedule) 24				
25a Compensation of current officers, directors, key employees, etc. listed in Part V-A 25a	<u>190,354.</u>	<u>64,721.</u>	<u>62,817.</u>	<u>62,816.</u>
b Compensation of former officers, directors, key employees, etc. listed in Part V-B 25b	<u>0.</u>	<u>0.</u>	<u>0.</u>	<u>0.</u>
c Compensation and other distributions, not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) 25c				
26 Salaries and wages of employees not included on lines 25a, b, and c 26	<u>943,406.</u>	<u>711,405.</u>	<u>110,320.</u>	<u>121,681.</u>
27 Pension plan contributions not included on lines 25a, b, and c 27	<u>73,616.</u>	<u>51,000.</u>	<u>10,996.</u>	<u>11,620.</u>
28 Employee benefits not included on lines 25a - 27 28	<u>94,566.</u>	<u>73,027.</u>	<u>6,831.</u>	<u>14,708.</u>
29 Payroll taxes 29	<u>83,550.</u>	<u>59,958.</u>	<u>10,917.</u>	<u>12,675.</u>
30 Professional fundraising fees 30				
31 Accounting fees 31	<u>30,000.</u>	<u>20,700.</u>	<u>4,800.</u>	<u>4,500.</u>
32 Legal fees 32				
33 Supplies 33	<u>47,141.</u>	<u>34,269.</u>	<u>6,547.</u>	<u>6,325.</u>
34 Telephone 34				
35 Postage and shipping 35				
36 Occupancy 36	<u>188,809.</u>	<u>131,347.</u>	<u>29,357.</u>	<u>28,105.</u>
37 Equipment rental and maintenance 37				
38 Printing and publications 38	<u>264,756.</u>	<u>214,942.</u>	<u>5,890.</u>	<u>43,924.</u>
39 Travel 39	<u>206,123.</u>	<u>200,723.</u>	<u>930.</u>	<u>4,470.</u>
40 Conferences, conventions, and meetings 40				
41 Interest 41				
42 Depreciation, depletion, etc. (attach schedule) 42	<u>78,020.</u>	<u>53,834.</u>	<u>11,703.</u>	<u>12,483.</u>
43 Other expenses not covered above (itemize). a 43a b 43b c 43c d 43d e 43e f 43f g 43g <u>SEE STATEMENT 4</u>	<u>565,299.</u>	<u>440,732.</u>	<u>40,316.</u>	<u>84,251.</u>
44 Total functional expenses. Add lines 22a through 43g. (Organizations completing columns (B)-(D), carry these totals to lines 13-15) 44	<u>3,030,564.</u>	<u>2,321,582.</u>	<u>301,424.</u>	<u>407,558.</u>

Joint Costs. Check ☐ if you are following SOP 98-2.Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? ☐ Yes ☒ NoIf "Yes," enter (i) the aggregate amount of these joint costs \$ N/A ; (ii) the amount allocated to Program services \$ N/A ;(iii) the amount allocated to Management and general \$ N/A ; and (iv) the amount allocated to Fundraising \$ N/A

Part III Statement of Program Service Accomplishments (See the instructions.)

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

What is the organization's primary exempt purpose? ► **SEE STATEMENT 8**

All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable. (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others.)

Program Service Expenses
(Required for 501(c)(3) and (4) orgs., and 4947(a)(1) trusts; but optional for others.)

a **SEE STATEMENT 7**

(Grants and allocations \$ **264,924.**) If this amount includes foreign grants, check here ► ☐

2,321,582.

b

(Grants and allocations \$) If this amount includes foreign grants, check here ► ☐

c

(Grants and allocations \$) If this amount includes foreign grants, check here ► ☐

d

(Grants and allocations \$) If this amount includes foreign grants, check here ► ☐

e Other program services (attach schedule)

(Grants and allocations \$) If this amount includes foreign grants, check here ► ☐

f **Total of Program Service Expenses** (should equal line 44, column (B), Program services) ► **2,321,582.**

Form 990 (2007)

Part IV Balance Sheets (See the instructions)**Note:** Where required, attached schedules and amounts within the description column should be for end-of-year amounts only

		(A) Beginning of year		(B) End of year
Assets	45 Cash - non-interest-bearing	330,236.	45	144,690.
	46 Savings and temporary cash investments	19,826.	46	54,551.
	47 a Accounts receivable	47a 2,007.		
	b Less: allowance for doubtful accounts	47b	47c	2,007.
	48 a Pledges receivable	48a	48c	
	b Less: allowance for doubtful accounts	48b		
	49 Grants receivable	985,423.	49	897,082.
	50 a Receivables from current and former officers, directors, trustees, and key employees		50a	
	b Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)		50b	
	51 a Other notes and loans receivable	51a	51c	
	b Less: allowance for doubtful accounts	51b		
	52 Inventories for sale or use		52	
	53 Prepaid expenses and deferred charges	28,937.	53	25,523.
	54 a Investments - publicly-traded securities STMT 10 ☐ Cost ☒ FMV	1,152,247.	54a	967,748.
	b Investments - other securities STMT 13 ☐ Cost ☒ FMV	1,111,826.	54b	867,780.
55 a Investments - land, buildings, and equipment, basis STMT 9	55a			
b Less: accumulated depreciation	55b	55c		
56 Investments - other		56		
57 a Land, buildings, and equipment, basis	57a 551,930.			
b Less: accumulated depreciation STMT 11	57b 250,045.	57c	301,885.	
58 Other assets, including program-related investments (describe ► SECURITY DEPOSITS)	43,070.	58	43,769.	
59 Total assets (must equal line 74) Add lines 45 through 58	3,981,258.	59	3,305,035.	
Liabilities	60 Accounts payable and accrued expenses	141,269.	60	146,158.
	61 Grants payable	141,063.	61	124,063.
	62 Deferred revenue		62	
	63 Loans from officers, directors, trustees, and key employees		63	
	64 a Tax-exempt bond liabilities		64a	
	b Mortgages and other notes payable		64b	
	65 Other liabilities (describe ► SEE STATEMENT 12)	99,820.	65	30,342.
66 Total liabilities. Add lines 60 through 65	382,152.	66	300,563.	
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ► ☒ and complete lines 67 through 69 and lines 73 and 74.			
	67 Unrestricted	921,208.	67	906,904.
	68 Temporarily restricted	1,681,468.	68	1,101,138.
	69 Permanently restricted	996,430.	69	996,430.
	Organizations that do not follow SFAS 117, check here ► ☐ and complete lines 70 through 74.			
	70 Capital stock, trust principal, or current funds		70	
	71 Paid-in or capital surplus, or land, building, and equipment fund		71	
	72 Retained earnings, endowment, accumulated income, or other funds		72	
	73 Total net assets or fund balances. Add lines 67 through 69 or lines 70 through 72. (Column (A) must equal line 19 and column (B) must equal line 21)	3,599,106.	73	3,004,472.
74 Total liabilities and net assets/fund balances. Add lines 66 and 73	3,981,258.	74	3,305,035.	

Form 990 (2007)

Part IV-A Reconciliation of Revenue per Audited Financial Statements With Revenue per Return (See the instructions.)

a	Total revenue, gains, and other support per audited financial statements	a	2,417,960.
b	Amounts included on line a but not on Part I, line 12:		
1	Net unrealized gains on investments	b1	<299,007.>
2	Donated services and use of facilities	b2	
3	Recoveries of prior year grants	b3	
4	Other (specify):	b4	
	Add lines b1 through b4	b	<299,007.>
c	Subtract line b from line a	c	2,716,967.
d	Amounts included on Part I, line 12, but not on line a :		
1	Investment expenses not included on Part I, line 6b	d1	17,970.
2	Other (specify):	d2	
	Add lines d1 and d2	d	17,970.
e	Total revenue (Part I, line 12) Add lines c and d	e	2,734,937.

Part IV-B Reconciliation of Expenses per Audited Financial Statements With Expenses per Return			
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a	Total expenses and losses per audited financial statements		a	3,012,594.
b	Amounts included on line a but not on Part I, line 17:			
1	Donated services and use of facilities	b1		
2	Prior year adjustments reported on Part I, line 20	b2		
3	Losses reported on Part I, line 20	b3		
4	Other (specify):	b4		
	Add lines b1 through b4		b	0.
c	Subtract line b from line a		c	3,012,594.
d	Amounts included on Part I, line 17, but not on line a :			
1	Investment expenses not included on Part I, line 6b	d1	17,970.	
2	Other (specify):	d2		
	Add lines d1 and d2		d	17,970.
e	Total expenses (Part I, line 17) Add lines c and d		e	3,030,564.

Part V-A **Current Officers, Directors, Trustees, and Key Employees** (List each person who was an officer, director, trustee, or key employee at any time during the year even if they were not compensated) (See the instructions.)

[illegible]

Part V-A	Current Officers, Directors, Trustees, and Key Employees <i>(continued)</i>
-----------------	--

	Yes	No
--	-----	----

- | | | | |
|---|------------|----------|----------|
| <p>75 a Enter the total number of officers, directors, and trustees permitted to vote on organization business at board meetings ▶ <u>31</u></p> | | | |
| <p>b Are any officers, directors, trustees, or key employees listed in Form 990, Part V-A, or highest compensated employees listed in Schedule A, Part I, or highest compensated professional and other independent contractors listed in Schedule A, Part II-A or II-B, related to each other through family or business relationships? If "Yes," attach a statement that identifies the individuals and explains the relationship(s) SEE STATEMENT 15</p> | 75b | X | |
| <p>c Do any officers, directors, trustees, or key employees listed in Form 990, Part V-A, or highest compensated employees listed in Schedule A, Part I, or highest compensated professional and other independent contractors listed in Schedule A, Part II-A or II-B, receive compensation from any other organizations, whether tax exempt or taxable, that are related to the organization? See the instructions for the definition of "related organization "</p> <p>If "Yes," attach a statement that includes the information described in the instructions</p> | 75c | | X |
| <p>d Does the organization have a written conflict of interest policy?</p> | 75d | X | |

Part V-B	Former Officers, Directors, Trustees, and Key Employees That Received Compensation or Other	100	22
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Benefits (If any former officer, director, trustee, or key employee received compensation or other benefits (described below) during the year, list that person below and enter the amount of compensation or other benefits in the appropriate column. See the instructions.)

[illegible]

Part VI	Other Information <i>(See the instructions)</i>
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Yes	No
-----	----

- | | | | | |
|------|---|-----|----|---|
| 76 | Did the organization make a change in its activities or methods of conducting activities? If "Yes," attach a detailed statement of each change | 76 | | X |
| 77 | Were any changes made in the organizing or governing documents but not reported to the IRS?
If "Yes," attach a conformed copy of the changes. | 77 | | X |
| 78 a | Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return? | 78a | | X |
| b | If "Yes," has it filed a tax return on Form 990-T for this year? N/A | 78b | | |
| 79 | Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement | 79 | | X |
| 80 a | Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization? | 80a | | X |
| b | If "Yes," enter the name of the organization N/A
_____ and check whether it is ☐ exempt or ☐ nonexempt | | | |
| 81 a | Enter direct and indirect political expenditures. (See line 81 instructions) | 81a | 0. | |
| b | Did the organization file Form 1120-POL for this year? | 81b | | X |

Form **990** (2007)

Part VI Other Information (continued)		Yes	No
82 a	Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?		X
b	If "Yes," you may indicate the value of these items here. Do not include this amount as revenue in Part I or as an expense in Part II. (See instructions in Part III.)		
82b	N/A		
83 a	Did the organization comply with the public inspection requirements for returns and exemption applications?	X	
b	Did the organization comply with the disclosure requirements relating to <i>quid pro quo</i> contributions?	X	
84 a	Did the organization solicit any contributions or gifts that were not tax deductible?		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
84b	N/A		
85 a	501(c)(4), (5), or (6). Were substantially all dues nondeductible by members?		
b	Did the organization make only in-house lobbying expenditures of \$2,000 or less?		
85b	N/A		
	If "Yes" was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year.		
c	Dues, assessments, and similar amounts from members		
85c	N/A		
d	Section 162(e) lobbying and political expenditures		
85d	N/A		
e	Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices		
85e	N/A		
f	Taxable amount of lobbying and political expenditures (line 85d less 85e)		
85f	N/A		
g	Does the organization elect to pay the section 6033(e) tax on the amount on line 85f?		
85g	N/A		
h	If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?		
85h	N/A		
86	501(c)(7) organizations. Enter: a Initiation fees and capital contributions included on line 12		
86a	N/A		
b	Gross receipts, included on line 12, for public use of club facilities		
86b	N/A		
87	501(c)(12) organizations. Enter: a Gross income from members or shareholders		
87a	N/A		
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)		
87b	N/A		
88 a	At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3?		
88a			X
b	At any time during the year, did the organization, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Part XI		
88b			X
89 a	501(c)(3) organizations. Enter: Amount of tax imposed on the organization during the year under: section 4911 <u>0.</u> ; section 4912 <u>0.</u> ; section 4955 <u>0.</u>		
b	501(c)(3) and 501(c)(4) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction		
89b			X
c	Enter: Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 <u>0.</u>		
d	Enter: Amount of tax on line 89c, above, reimbursed by the organization <u>0.</u>		
e	All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction?		X
89e			X
f	All organizations. Did the organization acquire a direct or indirect interest in any applicable insurance contract?		X
89f			X
g	For supporting organizations and sponsoring organizations maintaining donor advised funds. Did the supporting organization, or a fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		X
89g			X
90 a	List the states with which a copy of this return is filed <u>NY</u>		
b	Number of employees employed in the pay period that includes March 12, 2007	90b	20
91 a	The books are in care of <u>MICHAEL WELCH</u> Telephone no. <u>(212) 334-1660</u>		
	Located at <u>588 BROADWAY, SUITE 303, NEW YORK, NY</u> ZIP + 4 <u>10012</u>		
b	At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? If "Yes," enter the name of the foreign country <u>N/A</u>	91b	X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts		

Part VI Other Information (continued)

Yes No

c At any time during the calendar year, did the organization maintain an office outside of the United States?

91c

X

If "Yes," enter the name of the foreign country **N/A**

92 Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041- Check here

and enter the amount of tax-exempt interest received or accrued during the tax year

92

N/A

Part VII Analysis of Income-Producing Activities (See the instructions.)

Note: Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclu- sion code	(D) Amount	
93 Program service revenue:					
a SALES					110,582.
b					
c					
d					
e					
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
94 Membership dues and assessments					229,739.
95 Interest on savings and temporary cash investments			14	943.	
96 Dividends and interest from securities			14	101,978.	
97 Net rental income or (loss) from real estate:					
a debt-financed property					
b not debt-financed property					
98 Net rental income or (loss) from personal property					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory			18	86,084.	
101 Net income or (loss) from special events					
102 Gross profit or (loss) from sales of inventory					
103 Other revenue					
a MISCELLANEOUS					20,877.
b					
c					
d					
e					
104 Subtotal (add columns (B), (D), and (E))		0.		189,005.	361,198.
105 Total (add line 104, columns (B), (D), and (E))					550,203.

Note: Line 105 plus line 1e, Part I, should equal the amount on line 12, Part I.

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See the instructions.)

Line No. Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes).

SEE STATEMENT 16

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See the instructions.)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
N/A	%			
	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See the instructions.)

(a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

X No

(b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

Yes

X No

Note: If "Yes" to (b), file Form 8870 and Form 4720 (see instructions).

Part XI Information Regarding Transfers To and From Controlled Entities. Complete only if the organization is a
controlling organization as defined in section 512(b)(13). N/A

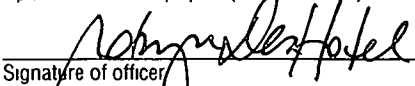
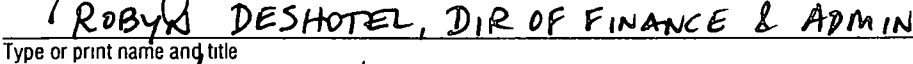
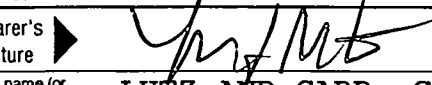
106 Did the reporting organization make any transfers to a controlled entity as defined in section 512(b)(13) of the Code? If "Yes," complete the schedule below for each controlled entity.

	(A) Name, address, of each controlled entity	(B) Employer Identification Number	(C) Description of transfer	(D) Amount of transfer	Yes	No
a	----- ----- -----					
b	----- ----- -----					
c	----- ----- -----					
Totals						

107 Did the reporting organization receive any transfers from a controlled entity as defined in section 512(b)(13) of the Code? If "Yes," complete the schedule below for each controlled entity.

	(A) Name, address, of each controlled entity	(B) Employer Identification Number	(C) Description of transfer	(D) Amount of transfer	Yes	No
a	----- ----- -----					
b	----- ----- -----					
c	----- ----- -----					
Totals						

108 Did the organization have a binding written contract in effect on August 17, 2006, covering the interest, rents, royalties, and annuities described in question 107 above?

Please Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.			
	 Signature of officer		3.24.10 Date	
	 Type or print name and title			
Paid Preparer's Use Only	Preparer's signature	Date	Check if self-employed	Preparer's SSN or PTIN (See Gen. Inst. X)
	 Firm's name (or yours if self-employed), address, and ZIP + 4	3/17/10 Date	☐ Check if self-employed	EIN Phone no. 212-697-2299
	LUTZ AND CARR, CPAS LLP 300 EAST 42ND STREET NEW YORK, NY 10017			

Form 990 (2007)

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k),
501(n), or 4947(a)(1) Nonexempt Charitable Trust

Supplementary Information-(See separate instructions.)

▶ **MUST be completed by the above organizations and attached to their Form 990 or 990-EZ**

OMB No 1545-0047

2007

Name of the organization

PEN AMERICAN CENTER, INC.

Employer identification number

13 3447888

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees

(See page 1 of the instructions. List each one. If there are none, enter "None.")

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
MICHAEL WELCH 588 BROADWAY, SUITE 303, NEW YORK, NY	DIR. OF FINANCE 40.00	112,000.	17,652.	0.
LAURENCE SIEMS 588 BROADWAY, SUITE 303, NEW YORK, NY	DIR FREEDOM TO WRITE 40.00	103,000.	11,401.	0.
LINDA MORGAN 588 BROADWAY, SUITE 303, NEW YORK, NY	DIR. OF DEVELOPEMENT 40.00	98,000.	24,634.	0.
STACY LEIGH 588 BROADWAY, SUITE 303, NEW YORK, NY	DIR. OF READERS 40.00	66,400.	14,541.	0.
CARO LLEWELLYN 588 BROADWAY, SUITE 303, NEW YORK, NY	DIR. PEN FESTIVAL 40.00	66,000.	13,011.	0.
Total number of other employees paid over \$50,000	▶ 1			

Part II-A Compensation of the Five Highest Paid Independent Contractors for Professional Services

(See page 2 of the instructions. List each one (whether individuals or firms). If there are none, enter "None.")

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
EMERSON STREET LLC	WEBSITE EDITORIAL, DESIGN & MANAGE	55,300.
Total number of others receiving over \$50,000 for professional services	▶ 0	

Part II-B Compensation of the Five Highest Paid Independent Contractors for Other Services

(List each contractor who performed services other than professional services, whether individuals or firms. If there are none, enter "None." See page 2 of the instructions.)

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of other contractors receiving over \$50,000 for other services	▶ 0	

Part III Statements About Activities (See page 2 of the instructions.)

	Yes	No
1 During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ► \$ <u>22,397.</u> (Must equal amounts on line 38, Part VI-A, or line i of Part VI-B.) VI-A, LINE 38B	1 X	
Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes" must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities.		
2 During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? (If the answer to any question is "Yes," attach a detailed statement explaining the transactions.)		
a Sale, exchange, or leasing of property?	2a	X
b Lending of money or other extension of credit?	2b	X
c Furnishing of goods, services, or facilities?	2c	X
d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)?	2d X	
e Transfer of any part of its income or assets?	2e	X
3 a Did the organization make grants for scholarships, fellowships, student loans, etc.? (If "Yes," attach an explanation of how the organization determines that recipients qualify to receive payments.)	3a X	
b Did the organization have a section 403(b) annuity plan for its employees?	3b X	
c Did the organization receive or hold an easement for conservation purposes, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," attach a detailed statement	3c	X
d Did the organization provide credit counseling, debt management, credit repair, or debt negotiation services?	3d	X
4 a Did the organization maintain any donor advised funds? If "Yes," complete lines 4b through 4g. If "No," complete lines 4f and 4g	4a	X
b Did the organization make any taxable distributions under section 4966?	4b	
c Did the organization make a distribution to a donor, donor advisor, or related person?	4c	
d Enter the total number of donor advised funds owned at the end of the tax year	► N/A	
e Enter the aggregate value of assets held in all donor advised funds owned at the end of the tax year	► N/A	
f Enter the total number of separate funds or accounts owned at the end of the year (excluding donor advised funds included on line 4d) where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts	► 0.	
g Enter the aggregate value of assets in all funds or accounts included on line 4f at the end of the tax year	► 0.	

Schedule A (Form 990 or 990-EZ) 2007

Part IV Reason for Non-Private Foundation Status (See pages 4 through 8 of the instructions.)I certify that the organization is not a private foundation because it is: (Please check only **ONE** applicable box.)

- 5 ☐ A church, convention of churches, or association of churches. Section 170(b)(1)(A)(i).
- 6 ☐ A school. Section 170(b)(1)(A)(ii). (Also complete Part V.)
- 7 ☐ A hospital or a cooperative hospital service organization. Section 170(b)(1)(A)(iii).
- 8 ☐ A federal, state, or local government or governmental unit. Section 170(b)(1)(A)(v).
- 9 ☐ A medical research organization operated in conjunction with a hospital. Section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state ►
- 10 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit. Section 170(b)(1)(A)(iv). (Also complete the **Support Schedule** in Part IV-A.)
- 11a ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public. Section 170(b)(1)(A)(vi). (Also complete the **Support Schedule** in Part IV-A.)
- 11b ☐ A community trust. Section 170(b)(1)(A)(vi). (Also complete the **Support Schedule** in Part IV-A.)
- 12 ☒ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Also complete the **Support Schedule** in Part IV-A.)
- 13 ☐ An organization that is not controlled by any disqualified persons (other than foundation managers) and otherwise meets the requirements of section 509(a)(3). Check the box that describes the type of supporting organization:
☐ Type I ☐ Type II ☐ Type III-Functionally Integrated ☐ Type III-Other

Provide the following information about the supported organizations. (See page 8 of the instructions.)

(a) Name(s) of supported organization(s)	(b) Employer identification number (EIN)	(c) Type of organization (described in lines 5 through 12 above or IRC section)	(d) Is the supported organization listed in the supporting organization's governing documents?		(e) Amount of support
			Yes	No	
Total ►					

- 14 ☐ An organization organized and operated to test for public safety. Section 509(a)(4). (See page 8 of the instructions.)

Schedule A (Form 990 or 990-EZ) 2007

Part IV-A **Support Schedule** (Complete only if you checked a box on line 10, 11, or 12) **Use cash method of accounting.**

Note: You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting.

Calendar year (or fiscal year beginning in)	(a) 2006	(b) 2005	(c) 2004	(d) 2003	(e) Total
15 Gifts, grants, and contributions received. (Do not include unusual grants. See line 28.)	2,413,356.	2,631,413.	2,257,630.	2,835,536.	10,137,935.
16 Membership fees received	196,173.	170,026.	150,159.	161,284.	677,642.
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose	55,514.	35,471.	40,940.	47,613.	179,538.
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, income from similar sources, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	110,091.	60,862.	53,111.	42,180.	266,244.
19 Net income from unrelated business activities not included in line 18					
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge					
22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets	6,617.	2,204.	SEE STATEMENT 19 4,357.	2,565.	15,743.
23 Total of lines 15 through 22	2,781,751.	2,899,976.	2,506,197.	3,089,178.	11,277,102.
24 Line 23 minus line 17	2,726,237.	2,864,505.	2,465,257.	3,041,565.	11,097,564.
25 Enter 1% of line 23	27,818.	29,000.	25,062.	30,892.	
26 Organizations described on lines 10 or 11: a Enter 2% of amount in column (e), line 24					26a N/A
b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 2003 through 2006 exceeded the amount shown in line 26a. Do not file this list with your return. Enter the total of all these excess amounts					26b N/A
c Total support for section 509(a)(1) test: Enter line 24, column (e)					26c N/A
d Add: Amounts from column (e) for lines: 18 _____ 19 _____ 22 _____ 26b _____					26d N/A
e Public support (line 26c minus line 26d total)					26e N/A
f Public support percentage (line 26e (numerator) divided by line 26c (denominator))					26f N/A %
27 Organizations described on line 12: a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person." Do not file this list with your return. Enter the sum of such amounts for each year: (2006) 351,753. (2005) 316,004. (2004) 90,000. (2003) 293,716.					
b For any amount included in line 17 that was received from each person (other than "disqualified persons"), prepare a list for your records to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000. (Include in the list organizations described in lines 5 through 11b, as well as individuals.) Do not file this list with your return. After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year: (2006) 0. (2005) 0. (2004) 0. (2003) 0.					
c Add: Amounts from column (e) for lines: 15 10,137,935. 16 677,642. 17 179,538. 20 _____ 21 _____					27c 10,995,115.
d Add: Line 27a total 1,051,473. and line 27b total 0.					27d 1,051,473.
e Public support (line 27c total minus line 27d total)					27e 9,943,642.
f Total support for section 509(a)(2) test: Enter amount on line 23, column (e)					27f 11,277,102.
g Public support percentage (line 27e (numerator) divided by line 27f (denominator))					27g 88.1755%
h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))					27h 2.3609%
28 Unusual Grants: For an organization described in line 10, 11, or 12 that received any unusual grants during 2003 through 2006, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. Do not file this list with your return. Do not include these grants in line 15.					NONE

Part V Private School Questionnaire (See page 9 of the instructions.)

N/A

(To be completed ONLY by schools that checked the box on line 6 in Part IV)

	Yes	No
29 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?		
30 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?		
31 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe; if "No," please explain. (If you need more space, attach a separate statement.)		
32 Does the organization maintain the following:		
a Records indicating the racial composition of the student body, faculty, and administrative staff?		
b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?		
c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?		
d Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain. (If you need more space, attach a separate statement.)		
33 Does the organization discriminate by race in any way with respect to:		
a Students' rights or privileges?		
b Admissions policies?		
c Employment of faculty or administrative staff?		
d Scholarships or other financial assistance?		
e Educational policies?		
f Use of facilities?		
g Athletic programs?		
h Other extracurricular activities? If you answered "Yes" to any of the above, please explain. (If you need more space, attach a separate statement.)		
34 a Does the organization receive any financial aid or assistance from a governmental agency?		
b Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 34a or b, please explain using an attached statement.		
35 Does the organization certify that it has complied with the applicable requirements of sections 4.01 through 4.05 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If "No," attach an explanation		

Schedule A (Form 990 or 990-EZ) 2007

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
1	FURNITURES, FIXTURES, EQUIPMENT	VARIABLE	SL	.000	16	104,514.			104,514.	41,345.		30,297.
2	LEASEHOLD IMPROVEMENTS	VARIABLE	SL	.000	16	352,629.			352,629.	75,266.		33,462.
3	WEBSITE	VARIABLE	SL	.000	16	94,787.			94,787.	55,414.		14,261.
	* TOTAL 990 PAGE 2 DEPR					551,930.		0.	551,930.	172,025.	0.	78,020.

FORM 990	GAIN (LOSS) FROM PUBLICLY TRADED SECURITIES	STATEMENT	1
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DESCRIPTION	GROSS SALES PRICE	COST OR OTHER BASIS	EXPENSE OF SALE	NET GAIN OR (LOSS)
SALE OF SECURITIES	1,840,993.	1,754,909.	0.	86,084.
TO FORM 990, PART I, LINE 8	1,840,993.	1,754,909.	0.	86,084.

FORM 990	SPECIAL EVENTS AND ACTIVITIES	STATEMENT	2
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DESCRIPTION OF EVENT	GROSS RECEIPTS	CONTRIBUT. INCLUDED	GROSS REVENUE	DIRECT EXPENSES	NET INCOME OR (LOSS)
PEN AWARD LITERARY GALA	755,593.	512,999.	242,594.	242,594.	0.
PEN AUTHORS EVENINGS	200,117.	191,958.	8,159.	8,159.	0.
CASHMAN DINNER	15,500.	15,500.		0.	0.
TO FM 990, PART I, LINE 9	971,210.	720,457.	250,753.	250,753.	0.

FORM 990	OTHER CHANGES IN NET ASSETS OR FUND BALANCES	STATEMENT	3
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DESCRIPTION	AMOUNT
UNREALIZED GAIN ON INVESTMENTS	<299,007.>
TOTAL TO FORM 990, PART I, LINE 20	<299,007.>

FORM 990	OTHER EXPENSES	STATEMENT	4
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DESCRIPTION	(A) TOTAL	(B) PROGRAM SERVICES	(C) MANAGEMENT AND GENERAL	(D) FUNDRAISING
PROGRAM CONSULTANTS	159,824.	148,682.	497.	10,645.
EVENT AND DEVELOPMENT CONSULTANTS	90,375.	38,375.		52,000.
OTHER PROFESSIONAL AND ADMINISTRATIVE FEES	16,764.	11,393.	3,228.	2,143.
EVENT AND CONFERENCE EXPENSE	171,732.	166,017.	1,054.	4,661.

PEN AMERICAN CENTER, INC.

13-3447888

BOOK PURCHASES AND DISTRIBUTIONS	10,199.	10,199.		
OTHER PROGRAM EXPENSES	23,421.	11,853.	6,114.	5,454.
OTHER ADMINISTRATIVE EXPENSES	13,974.	9,349.	3,106.	1,519.
TELECOMMUNICATIONS	61,040.	44,864.	8,347.	7,829.
INVESTMENT FEES	17,970.		17,970.	
TOTAL TO FM 990, LN 43	565,299.	440,732.	40,316.	84,251.

FORM 990	CASH GRANTS AND ALLOCATIONS TO OTHERS	STATEMENT 5
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CLASS OF ACTIVITY/DONEE'S NAME AND ADDRESS	AMOUNT
TRANSLATION FUND AWARD CENTER FOR LITERARY TRANSLATION COLUMBIA UNIVERSITY NEW YORK, NY	5,000.
INTERNATIONAL PROGRAM INTERNATIONAL PEN BROWNLOW HOUSE, 50-51 HIGH HOLBORN, LONDON WC1V6ER, UK	32,000.
BURKE SCHOLARSHIP WENTWORTH INSTITUTE C/O PEN NEW ENGLAND, 29 EVERETT ST., CAMBRIDGE, MA 02138	3,000.
TOTAL INCLUDED ON FORM 990, PART II, LINE 22B	40,000.

FORM 990

CASH GRANTS AND ALLOCATIONS
TO INDIVIDUALS

STATEMENT 6

CLASS OF ACTIVITY/DONEE'S NAME AND ADDRESS	DONEE'S RELATIONSHIP	AMOUNT
LITERARY AWARD ABANI, CHRIS. 422 S. OGDEN DRIVE LOS ANGELES, CA 90036	NONE	1,000.
TRANSLATION FUND AWARD ADAMS, BERNARD 8251 ZANKA FUREDI U. 20 HUNGARY	NONE	3,500.
TRANSLATION FUND AWARD ANGLES, JEFFREY SAGAING, 1318 HILLCREST AVENUE KALAMAZOO, MI 49008-1828	NONE	3,500.
PRISON WRITING AWARD AZUREGREEN 48 CHESTER RD. P.O. BOX 48 MIDDLEFIELD, MA 01243-0048	NONE	100.
PRISON WRITING AWARD BABB, EARL 208 TOBIAS DR. O'FALLON, IL 62269	NONE	50.
LITERARY AWARD BARAKA, AMIRI 808 S. 10TH ST. NEWARK, NJ 07108	NONE	1,000.
FREEDOM TO WRITE AWARD BERG, LAURA I. 2908 SAN JOAQUIN AVE. SE ALBUQUERQUE, NM 87106	NONE	10,000.
PRISON WRITING AWARD BERTHOFF, JESSICA ANN 1691 CRANFIELD TERRACE PALM BAY, FL 32909	NONE	25.
LITERARY AWARD BOYDEN RESIDENCY 233030 W. SHEFFLER RD. ELMIRA, OR 97437	NONE	4,500.

PRISON WRITING AWARD BREVARD, DONALD P.O. BOX 908 LANCASTER, SC 29721	NONE	100.
PRISON WRITING AWARD CAMERATO, JOSEPH 47 OLD T'PKE NORTHFORT, CT 06472	NONE	25.
TRANSLATION FUND AWARD COSTA, MARGARET JULL 86 WINTERSDALE ROAD LEICESTER LE52GL UK	NONE	3,000.
PRISON WRITING AWARD CURTIS, STEVE C/O DAVIS SMITH P.O. BOX 837 FAIRVIEW, NC 28730-0837	NONE	25.
PRISON WRITING AWARD DEY, EUGENE P.O. BOX 2210 (L2-116) SUSANVILLE, CA 96127-220	NONE	50.
PRISON WRITING AWARD DOBBIN, ELIZABETH 11475 AMERICANA LANE JACKSONVILLE, FL 32218	NONE	200.
PRISON WRITING AWARD DUPREE, DEBORAH 1435 VICHY L'N. CEDAR HILL, TX 75104	NONE	25.
FREEDOM TO WRITE GRANT EMERGENCY FUND GRANTS VARIOUS VARIOUS	NONE	16,054.
PRISON WRITING AWARD EVERLY, CHRIS H. ASPC FLORENCE, CENTRAL UNIT P.O. BOX 8200 FLORENCE, AZ 85232	NONE	200.
PRISON WRITING AWARD GALLEGOS, HECTOR POST OFFICE BOX 474701 DES MOINES, IA 50947-001	NONE	100.

PRISON WRITING AWARD GOODEN, DOROTHY 2757 DUNLAP ST. SHREVEPORT, LA 71103	NONE	25.
PRISON WRITING AWARD GRIMES, IRIS 306 PRIVATE RD. 4382 DECATUR, TX 76234-5198	NONE	25.
LITERARY AWARD HAHN, KIMIKO QUEENS COLLEGE, 65-30 KISSENA BLVD., FLUSHING NY	NONE	5,000.
PRISON WRITING AWARD HARRIS, SAMUEL E. #04659-000 PO BOX 474701 DES MOINES, IA 50947-0001	NONE	25.
PRISON WRITING AWARD HICKS, MARLINE 129 R. HALL LANE AUTRYVILLE, NC 28318	NONE	100.
PRISON WRITING AWARD HOPWOOD, REBECCA 1275 N. 6TH ST. DAVID CITY, NE 68632	NONE	25.
LITERARY AWARD HOWARD, RAVI C/O PEN NEW ENGLAND, 29 EVERETT ST., CAMBRIDGE, MA 02138	NONE	1,500.
LITERARY AWARD HWANG, FRANCES 423 W. LA SALLE AVE SOUTH BEND, IN 46601	NONE	1,000.
PRISON WRITING AWARD JACKSON, SPOON P.O. BOX 290066 REPRESA, CA 95671-0066	NONE	100.
PRISON WRITING AWARD JERZERICK, ROSE 212 PANTHER C'T. DESTIN, FL 32541	NONE	25.

LITERARY AWARD KILLOUGH, ANN C/O PEN NEW ENGLAND, 29 EVERETT ST., CAMBRIDGE, MA 02138	NONE	1,000.
PRISON WRITING AWARD KLUMP, MARCIA 210 W. WILLIAM ST. SUPERIOR, CO 80027	NONE	50.
PRISON WRITING AWARD KNIGHT, JOE RICKY KENTUCKY STATE PENITENTIARY P.O. BOX 5128	NONE	100.
TRANSLATION FUND AWARD LINGENFELTER, ANDREA. 2025 EAST NEWTON ST. SEATTLE, WA 98112	NONE	3,500.
PRISON WRITING AWARD LONGWORTH, ARTHUR STAFFORD CREEK CORRECTION CENTER 191 CONSTANTINE WAY ABERDEEN, WA 98520	NONE	25.
PRISON WRITING AWARD LOUISELL, YVETTE C/O MARY SAWYER 3425 WOODLAND ST. AMES, IA 50014	NONE	200.
LITERARY AWARD MALCOLM, JANET. 2 GRAMMERCY PARK NEW YORK, NY 10003	NONE	10,000.
LITERARY AWARD MARSHALL, JOSEPH M. III 551 WEST CORDOVA ROAD, SUITE 538 SANTA FE, NM 87505	NONE	1,000.
PRISON WRITING AWARD MCKENZIE, MIRIRAM 250 HARRIS RD. BEDFORD HILLS, NY 10507	NONE	100.
PRISON WRITING AWARD MCKOWN, ROBERT 285 E. OLIVE DECATUR, IL 62526	NONE	25.

PRISON WRITING AWARD MCNAUGHTON, CHRISTINA 7 N. ST. PO BOX 1534 LITCHFIELD, CT 06759	NONE	200.
TRANSLATION FUND AWARD MOORE, JESSICA 40-3675 RUE ST. FAMILLE MONTREAL, QC H2X 2L5 UK	NONE	3,500.
LITERARY AWARD MURR, NAEEM 7511 N. WINCHESTER AVE. #3 CHICAGO, IL 60626	NONE	1,000.
LITERARY AWARD NELSON, THERESA 360 SOUTH BURNSIDE AVE APT. 10A LOS ANGELES, CA 90036	NONE	5,000.
LITERARY AWARD OZICK, CYNTHIA. 34 SOUNDVIEW ST. NEW ROCHELLE, NY 10805	NONE	20,000.
PRISON WRITING AWARD PATEL, MANABHAI 13431 JUNIPER POINT HOUSTON, TX 77083	NONE	50.
PRISON WRITING AWARD POWELL'S BOOKS 33 N.W. PARK PORTLAND, OR 97209	NONE	100.
TRANSLATION FUND AWARD REDMOND, SEAN 364 WASHINGTON AVE. BROOKLYN, NY 11238	NONE	3,500.
LITERARY AWARD RISH, REDDI C/O PEN NEW ENGLAND, 29 EVERETT ST., CAMBRIDGE, MA 02138	NONE	1,000.
TRANSLATION FUND AWARD ROSENTHAL, MIRA 410 ELIZABETH STREET #2 SAN FRANCISCO, CA 94114	NONE	3,500.

PRISON WRITING AWARD ROTHWELL, MICHAEL C/O ROTHWELL 23732 VIA HIERRO MISSION VIEJO, CA 92692	NONE	200.
LITERARY AWARD RUHL, SARAH 511 EAST 20TH ST. APT. MC NEW YORK, NY 10010	NONE	7,500.
TRANSLATION FUND AWARD SANDROW, NAHMA 180 RIVERSIDE DR. #3-A NEW YORK, NY 10024	NONE	5,000.
TRANSLATION FUND AWARD SEARLS, DAMION 3538 17TH ST. APT. A SAN FRANCISCO, CA 94110	NONE	3,500.
PRISON WRITING AWARD SERINO, JODI L. LINCOLN CORRECTIONAL CENTER P.O. BOX 549 LINCOLN, IL 62656	NONE	200.
PRISON WRITING AWARD SMALL, JOSHUA 8890 SW 152ND PL. DUNNELLON, FL 34432	NONE	25.
LITERARY AWARD SOFER, DALIA 425 EAST 63RD ST. APR. E1K NEW YORK, NY 100065	NONE	35,000.
PRISON WRITING AWARD THOMAS, BRENDA 2005 BENNINGTON HOUSTON, TX 77093	NONE	25.
FREEDOM TO WRITE AWARD TONGYAN, YANG NANJING CITY JIANGSU CHINA	NONE	10,000.
PRISON WRITING AWARD TRABER, MARGOT 411 GARBERS CHURCH RD. HARRISONBURG, VA 22801	NONE	25.

PEN AMERICAN CENTER, INC.

13-3447888.

PRISON WRITING AWARD
VAN POYCK, LISA
5626 BRYCE LANE
RICHMOND, VA 23224-2806

NONE

50.

LITERARY AWARD
WALDROP, ROSMARIE
71 ELMGROVE AVE.
PROVIDENCE, RI 02906

NONE

3,000.

TRANSLATION FUND AWARD
WICKHAM-SMITH, SIMON J S
6 FAIRVIEW CLOSE
NORDEN ROCHDALE OL12 7SR UK

NONE

3,500.

PRISON WRITING AWARD
WILLIAMS, EUGENE
601 N. AVE A
KERMIT, TX 79745-1603

NONE

100.

PRISON WRITING AWARD
WOOD, CAROL
230 DEMING ST.
MANCHESTER, CT 06042

NONE

25.

WRITERS' FUND
WRITERS EMERGENCY SUPPORT

NONE

51,645.

TOTAL INCLUDED ON FORM 990, PART II, LINE 22B

224,924.

FORM 990

STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS

STATEMENT 7

DESCRIPTION OF PROGRAM SERVICE ONEFREEDOM TO WRITE PROGRAM

PEN'S FREEDOM TO WRITE PROGRAM COORDINATES PEN'S GLOBAL ADVOCACY EFFORTS TO DEFEND WRITERS WHO ARE IMPRISONED, ENDANGERED, OR PERSECUTED FOR THEIR WORK AND PROTECT THE FREEDOM OF THE WRITTEN WORD WHEREVER IT IS THREATENED. THE PROGRAM MOBILIZES PEN MEMBERS AND SUPPORTERS TO CONFRONT LOCAL, NATIONAL, AND REGIONAL THREATS TO FREEDOM OF EXPRESSION THROUGH A COMBINATION OF CASE-BASED ADVOCACY; A RAPID ACTION NETWORK (WWW.PEN.ORG/RAN); ISSUE-BASED CAMPAIGNS SUCH AS PEN'S "WE ARE READY FOR FREEDOM OF EXPRESSION" CAMPAIGN TO FREE IMPRISONED WRITERS IN CHINA (WWW.PEN.ORG/CHINA2008); AND A CAMPAIGN TO RESCUE IRAQI WRITERS, JOURNALISTS, AND TRANSLATORS TARGETED FOR DEATH FOR THEIR WORK (WWW.PEN.ORG/IRAQ).

WWW.PEN.ORG/FREEDOM

CAMPAIGN FOR CORE FREEDOMS

THE CAMPAIGN FOR CORE FREEDOMS SEEKS TO REVERSE THREATS TO FREE EXPRESSION AND HUMAN RIGHTS CONNECTED WITH POST-9/11 LEGISLATION AND POLICIES IN THE UNITED STATES. THE CAMPAIGN AIMS TO PROTECT PERSONAL PRIVACY, PRESERVE PUBLIC ACCESS TO INFORMATION AND IDEAS, AND DEFEND CORE HUMAN RIGHTS PRINCIPLES. MAJOR INITIATIVES INCLUDE MOBILIZING THE BOOK COMMUNITY TO CHALLENGE ILLEGAL SURVEILLANCE; PRESSING FOR FEDERAL SHIELD PROTECTION FOR JOURNALISTS; FILING LAWSUITS TO OVERTURN RESTRICTIONS ON PUBLISHING INFORMATION FROM EMBARGOED COUNTRIES AND CHALLENGE THE EXCLUSION OF INTERNATIONAL WRITERS AND SCHOLARS FROM THE UNITED STATES; AND WORKING TO BRING AN END TO FUNDAMENTAL DUE PROCESS VIOLATIONS INCLUDING TORTURE, ARBITRARY DETENTION, AND EXTRAORDINARY RENDITION.

WWW.PEN.ORG/COREFREEDOMS

LITERARY AWARDS

EACH YEAR, PEN CELEBRATES AND PROMOTES EXCELLENCE IN LITERATURE BY AWARDING OVER 20 LITERARY AWARDS. OUTSTANDING ACHIEVEMENT IS RECOGNIZED IN THE AREAS OF DRAMA, FICTION, CREATIVE NONFICTION, MEMOIR, TRANSLATION, POETRY, CHILDREN'S LITERATURE, AND EDITING AND PUBLISHING. WHILE PROVIDING MATERIAL SUPPORT FOR EXCEPTIONAL WRITERS, THESE AWARDS ALSO DRAW THE ATTENTION OF THE GENERAL PUBLIC TO THE FINEST EXAMPLES OF CONTEMPORARY LITERATURE.

WWW.PEN.ORG/AWARDS

PEN WORLD VOICES: THE NEW YORK FESTIVAL OF INTERNATIONAL LITERATURE

AS NEW YORK'S FIRST INTERNATIONAL WRITERS' FESTIVAL, PEN WORLD VOICES IS AN ANSWER TO AMERICAN CULTURAL INSULARITY AND AN ATTEMPT TO ENRICH AND SUSTAIN A GLOBAL DIALOGUE. MORE THAN 100 WRITERS FROM NEARLY 50 DIFFERENT COUNTRIES PARTICIPATE IN DOZENS OF EVENTS DURING THE FESTIVAL. THOUSANDS ATTENDED IN PERSON, WHILE HUNDREDS OF THOUSANDS MORE RECEIVED PROGRAM CONTENT VIA TELEVISION, RADIO, AND THE INTERNET. PAST FESTIVALS HAVE INCLUDED SUCH PROMINENT WRITERS AS SHERMAN ALEXIE, MARGARET ATWOOD, NADINE GORDIMER, MICHAEL ONDAATJE, ORHAN PAMUK, AND WOLE SOYINKA.
WWW.PEN.ORG/WORLDVOICES

PUBLIC PROGRAMS

HELD THROUGHOUT THE YEAR, PEN'S PUBLIC PROGRAMS OFFER OPPORTUNITIES TO SEE BOTH THE WORLD'S MOST DISTINGUISHED AUTHORS, AS WELL AS RISING LITERARY STARS, PARTICIPATE IN A VARIETY OF EVENTS-FROM INTIMATE CONVERSATIONS TO LARGE-SCALE TRIBUTES. THESE EVENTS PROVIDE A PUBLIC FACE FOR THE ORGANIZATION AND REFLECT PEN'S MISSION, CELEBRATING LITERATURE AND ITS DIVERSITY, PROMOTING FREE EXPRESSION, AND ENGAGING WITH TOPICAL ISSUES. RECENT PUBLIC PROGRAMS HAVE INCLUDED MAJOR TRIBUTES TO GRACE PALEY AND CHINUA ACHEBE, AS WELL AS THE NEWLY LAUNCHED PENULTIMATE LIT SERIES. AUDIO CLIPS FROM PAST PROGRAMS ARE AVAILABLE FOR DOWNLOAD ON THE PEN WEBSITE.

WWW.PEN.ORG/PUBLICPROGRAMS

OPEN BOOK PROGRAM

PEN'S OPEN BOOK PROGRAM WORKS TO ENSURE THAT AMERICAN LITERATURE REFLECTS THE DIVERSITY OF AMERICAN LIFE BY INCREASING THE PARTICIPATION OF AFRICAN, ARAB, ASIAN, CARIBBEAN, LATINO AND NATIVE AMERICAN WRITERS WITHIN PEN AND THE LITERARY CULTURE AT LARGE. THE PROGRAM SPONSORS PUBLIC EVENTS, INCLUDING A FREE BOOK FAIR FOR NEW YORK CITY MIDDLE SCHOOL STUDENTS AND ITS ANNUAL BEYOND MARGINS AWARDS CEREMONY, CELEBRATING GIFTED CUSTODIANS OF LANGUAGE AND CULTURE WHOSE EXCEPTIONAL WORKS OFTEN DO NOT RECEIVE THE EXPOSURE THEY DESERVE.

WWW.PEN.ORG/OPENBOOK

READERS & WRITERS PROGRAM

THE READERS & WRITERS PROGRAM BRINGS YOUNG PEOPLE INTO CONTACT WITH OUTSTANDING LITERATURE AND THE INDIVIDUALS WHO CREATE IT. WITH ITS WRITING INSTITUTE PROJECT, READERS &

WRITERS REACHES OUT TO PUBLIC HIGH SCHOOL STUDENTS DISTINGUISHED BY THEIR DETERMINATION, INTEREST, AND ABILITY TO WRITE CREATIVELY AND INVITES THEM TO INTERACT DIRECTLY WITH PROFESSIONAL WRITERS IN A SERIES OF WORKSHOPS. BY ALLOWING STUDENTS TO PARTICIPATE FULLY IN THEIR INSTRUCTION, THE WRITING INSTITUTE PROVIDES AN ESSENTIAL COUNTERPOINT TO HIGH SCHOOL EDUCATION.

WWW.PEN.ORG/READERSANDWRITERS

TRANSLATION

AS AN INTERNATIONAL ORGANIZATION, PEN HAS ALWAYS BEEN CONCERNED WITH THE PROMOTION OF LITERARY WORKS FROM OTHER LANGUAGES AND CULTURES. IN RECENT YEARS, THIS MISSION HAS TAKEN ON SPECIAL PROMINENCE AS THE INTELLECTUAL AND POLITICAL ISOLATION OF THE UNITED STATES HAS GROWN. THE TRANSLATION PROGRAM MAINTAINS AN ONLINE DIRECTORY OF TRANSLATORS AND A LIST OF OUT-OF-PRINT OR UNTRANSLATED WORKS AND ADMINISTERS THE PEN TRANSLATION FUND AWARDS, WHICH PROVIDE GRANTS FOR THE TRANSLATION OF BOOK-LENGTH WORKS.

WWW.PEN.ORG/TRANSLATION

WRITERS' FUND

THE NATURE OF THE LITERARY PROFESSION OFTEN LEAVES WRITERS EXCEPTIONALLY VULNERABLE TO UNANTICIPATED EXPENSES ARISING FROM EVENTS BEYOND THEIR CONTROL. THE PEN WRITERS' FUND ASSISTS PROFESSIONALLY PUBLISHED WRITERS WHO ARE FACING ACUTE FINANCIAL CRISIS BY PROVIDING TEMPORARY FINANCIAL RELIEF TO ALLEVIATE HARDSHIP THAT MIGHT OTHERWISE FORCE WRITERS TO ABANDON THEIR WORK. THE FUND ALSO MAINTAINS AN ONLINE DIRECTORY OF ASSISTANCE PROGRAMS RUN BY OTHER NONPROFITS AND GOVERNMENT AGENCIES, WHICH CAN HELP WRITERS AND EDITORS IN CRISIS WITH ADDITIONAL GRANTS, LEGAL ADVICE, MEDICAL ASSISTANCE, CRISIS COUNSELING, AND BASIC WELFARE AID.

WWW.PEN.ORG/WRITERSFUND

PRISON WRITING

THE PRISON WRITING PROGRAM INTRODUCES INMATES ACROSS THE COUNTRY TO WRITING AS A SKILL THAT CAN BE PRACTICED. THE PROGRAM OFFERS WRITING INSTRUCTION THROUGH ITS HANDBOOK FOR WRITERS IN PRISON AND THE COOPERATION OF PEN MEMBERS AND VOLUNTEERS, WHO WORK ONE-ON-ONE AND IN CLASSES WITH SELECTED INDIVIDUALS. WITH ITS FOCUS ON SELF-EXPRESSION AS A LEGITIMATE FORM OF POWER, PRISON WRITING ALSO PROVIDES INMATES WITH AN AUDIENCE FOR THEIR WORK THROUGH THE PEN PRISON WRITING AWARDS, WHICH DRAW THOUSANDS OF SUBMISSIONS EACH YEAR. WINNING MANUSCRIPTS ARE PUBLISHED ON THE PEN WEB SITE.

WWW.PEN.ORG/PRISONWRITING

INTERNATIONAL PROGRAM

THE INTERNATIONAL PROGRAM PROVIDES TECHNICAL SUPPORT AND FUNDS TO DEVELOPING PEN CENTERS AROUND THE WORLD TO CREATE INFRASTRUCTURE AND FUND PROJECTS LIKE YOUTH WRITING WORKSHOPS, CLUBS, AND COMPETITIONS; ADULT CREATIVE WRITING PROGRAMS, PUBLICATIONS, AND RADIO BROADCASTS.

PEN AMERICAN CENTER IS CURRENTLY HELPING TO IMPLEMENT A STRATEGIC PLAN FOR THE INTERNATIONAL PEN SECRETARIAT TO BUILD STAFF AND AUGMENT FINANCIAL RESOURCES. AMERICAN PEN HAS RAISED FUNDS TO HELP TRANSFER ITS CENTER DEVELOPMENT PROGRAMMING AND EQUIP INTERNATIONAL PEN TO NURTURE PROJECTS THAT PROMOTE READING AND LITERATURE, EXPAND THE FREEDOM TO WRITE, AND CONTRIBUTE TO MORE OPEN SOCIETIES AROUND THE WORLD.

PUBLICATIONS

PEN'S WEB SITE IS BOTH A CENTRAL SOURCE OF INFORMATION ABOUT PEN'S WORK AND A VIRTUAL FORUM WHERE VISITORS CAN LEARN ABOUT, DISCUSS, AND MAKE THEIR VOICES HEARD ON ISSUES FROM AROUND THE WORLD. PEN MEMBERS RECEIVE ELECTRONIC ALERTS AND CALLS TO ACTION CONCERNING DOMESTIC AND INTERNATIONAL THREATS TO FREE EXPRESSION; NOTIFICATION ABOUT EVENTS; AND ACCESS TO THE EXTENSIVE PEN AUDIO ARCHIVE. PEN'S WEB SITE ALSO FEATURES GRANTS & AWARDS, THE MOST COMPREHENSIVE DATABASE OF GRANTS, AWARDS, AND RESIDENCIES AVAILABLE FOR AMERICAN WRITERS.

WWW.PEN.ORG

PEN AMERICA: A JOURNAL FOR WRITERS & READERS BRINGS ATTENTION TO WORKS OF FICTION, NONFICTION, POETRY, AND DRAMA DESERVING OF RECOGNITION OR RENEWED INTEREST. A TYPICAL ISSUE INCLUDES TRANSCRIPTS OF PEN EVENTS, EXCERPTS FROM PEN'S AWARD-WINNING BOOKS, SHORT AND LONG RESPONSES TO THE ISSUE'S THEME BY PEN MEMBERS, AND OTHER SELECTIONS THAT BEST ROUND OUT THE DISCUSSION. ISSUE 8 HAS JUST BEEN PUBLISHED. ARCHIVES FROM PAST ISSUES ARE AVAILABLE ONLINE.

WWW.PEN.ORG/JOURNAL

	GRANTS	EXPENSES
TO FORM 990, PART III, LINE A	264,924.	2,321,582.

FORM 990 STATEMENT OF ORGANIZATION'S PRIMARY EXEMPT PURPOSE STATEMENT 8
PART III

EXPLANATION

PEN AMERICAN CENTER, INC. IS AN ASSOCIATION OF WRITERS AND EDITORS WORKING TO ADVANCE LITERATURE, DEFEND FREE EXPRESSION, AND FOSTER INTERNATIONAL LITERARY FELLOWSHIP.

FORM 990 NON-GOVERNMENT SECURITIES STATEMENT 9

SECURITY DESCRIPTION	COST/FMV	CORPORATE STOCKS	CORPORATE BONDS	OTHER PUBLICLY TRADED SECURITIES	TOTAL NON-GOV'T SECURITIES
CORPORATE STOCK	FMV	787,965.			787,965.
TOTAL TO FORM 990, LINE 54A, COL B		787,965.			787,965.

FORM 990 GOVERNMENT SECURITIES STATEMENT 10

DESCRIPTION	COST/FMV	U.S. GOVERNMENT	STATE AND LOCAL GOV'T	TOTAL GOV'T SECURITIES
U.S. GOVERNMENT BONDS	FMV	179,783.		179,783.
TOTAL TO FORM 990, LINE 54A, COL B		179,783.		179,783.

FORM 990 DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 11

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
FURNITURES, FIXTURES, EQUIPMENT	104,514.	71,642.	32,872.
LEASEHOLD IMPROVEMENTS	352,629.	108,728.	243,901.
WEBSITE	94,787.	69,675.	25,112.
TOTAL TO FORM 990, PART IV, LN 57	551,930.	250,045.	301,885.

FORM 990	OTHER LIABILITIES	STATEMENT 12
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DESCRIPTION	BEGINNING OF YEAR	END OF YEAR
SALARIES AND PAYROLL TAXES PAYABLE	65,706.	10,650.
ACCRUED VACATION	34,114.	19,692.
TOTAL TO FORM 990, PART IV, LINE 65	99,820.	30,342.

FORM 990	OTHER SECURITIES	STATEMENT 13
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SECURITY DESCRIPTION	COST/FMV	OTHER SECURITIES
CASH AND CASH EQUIVALENTS	FMV	30,591.
MUTUAL FUNDS - FIXED INCOME	FMV	558,443.
MUTUAL FUNDS - EQUITIES	FMV	278,746.
TO FORM 990, LINE 54B, COL B		867,780.

FORM 990 PART V-A - LIST OF CURRENT OFFICERS, DIRECTORS, STATEMENT 14
TRUSTEES AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MICHAEL ROBERTS 588 BROADWAY SUITE 303 NEW YORK, NY 10012	EXECUTIVE DIRECTOR 40.00	170,320.	20,034.	0.
KWAME ANTHONY APPIAH 588 BROADWAY SUITE 303 NEW YORK, NY 10012	PRESIDENT 1.00	0.	0.	0.
LAURENCE J. KIRSHBAUM 588 BROADWAY SUITE 303 NEW YORK, NY 10012	EXECUTIVE VICE PRESIDENT 1.00	0.	0.	0.
JESSICA HAGEDORN 588 BROADWAY SUITE 303 NEW YORK, NY 10012	VICE PRESIDENT 1.00	0.	0.	0.
A.M. HOMES 588 BROADWAY SUITE 303 NEW YORK, NY 10012	VICE PRESIDENT 1.00	0.	0.	0.
MARIA CAMPBELL 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TREASURER 1.00	0.	0.	0.
ROXANA ROBINSON 588 BROADWAY SUITE 303 NEW YORK, NY 10012	SECRETARY 1.00	0.	0.	0.
RON CHERNOW 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.
BARBARA THOMPSON DAVIS 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.
FRANCISCO GOLDMAN 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.
BETH GUTCHEON 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.

JHUMPA LAHIRI 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.
JOANNE LEEDOM-ACKERMAN 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.
JAIME MANRIQUE 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.
CLAUDIA MENZA 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.
DAVID MICHAELIS 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.
MICHAEL F. MOORE 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.
STEVEN PLESHETTE MURPHY 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.
JOHN OAKES 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.
WALTER POZEN 588 BROADWAY SUITE 303 NEW YORK, NY 10021	TRUSTEE 1.00	0.	0.	0.
VICTORIA REDEL 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.
SUSANNA REICH 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.
HAMILTON ROBINSON, JR. 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.
ESMERALDA SANTIAGO 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.

ELISSA SCHAPPELL 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.
TRACY K. SMITH 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.
SCOTT SPENCER 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.
ANNETTE TAPERT 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.
LYNNE TILLMAN 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.
MONIQUE TRUONG 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.
DANIELLE TRUSCOTT 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.
DOUG WRIGHT 588 BROADWAY SUITE 303 NEW YORK, NY 10012	TRUSTEE 1.00	0.	0.	0.

TOTALS INCLUDED ON FORM 990, PART V-A

170,320.	20,034.	0.
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FORM 990

EXPLANATION OF RELATIONSHIP
PART V-A, LINE 75B

STATEMENT 15

INDIVIDUAL'S NAME

TITLE OR ROLE

HAMILTON ROBINSON JR.

TRUSTEE

INDIVIDUAL'S NAME

TITLE OR ROLE

ROXANA ROBINSON

SECRETARY

EXPLANATION OF RELATIONSHIP

FAMILY RELATIONSHIP

FORM 990

PART VIII - RELATIONSHIP OF ACTIVITIES TO
ACCOMPLISHMENT OF EXEMPT PURPOSES

STATEMENT 16

LINE EXPLANATION OF RELATIONSHIP OF ACTIVITIES

93A SALE OF BOOKS AS A RESOURCE TO ASSIST WRITERS IN OBTAINING GRANTS
93A AND AWARDS AND PROMOTE EXCELLENCE IN CONTEMPORARY LITERATURE,
93A IN ACCORDANCE WITH THE ORGANIZATION'S EXEMPT PURPOSE, ALSO INCLUDES
93A TICKET SALES TO PEN WORLD VOICES, FESTIVAL OF INTERNATIONAL LITERATURE
94 MEMBERSHIP DUES ARE CHARGED TO EVERY MEMBER TO COVER THE COSTS
94 INVOLVED IN APPRISING THEM OF EXEMPT PROGRAMS THAT PEN
94 MAINTAINS. THE DUES COVER TRACKING THE MEMBERSHIP, COMMUNICATING
94 WITH THEM, TRANSPORTING THEM WHEN NECESSARY, AND SENDING THEM
94 LITERATURE FOR UPDATING PURPOSES.
103A MISCELLANEOUS INCOME - INCOME DERIVED FROM ACTIVITIES TO FURTHER THE
103A ORGANIZATION'S EXEMPT PURPOSE.

SCHEDULE A

EXPLANATION OF TRANSACTIONS
PART III, LINE 2D

STATEMENT 17

MICHAEL ROBERTS, EXECUTIVE DIRECTOR, RECEIVED COMPENSATION IN THE AMOUNT OF \$170,320, AND BENEFITS OF \$20,034. HIS COMPENSATION IS DETERMINED BY THE BOARD OF TRUSTEES AND IS COMMENSURATE WITH THE DUTIES AND RESPONSIBILITIES OF HIS POSITION.

SCHEDULE A	EXPLANATION OF QUALIFICATIONS TO RECEIVE PAYMENTS	STATEMENT	18
	PART III, LINE 3A		

INDIVIDUALS RECEIVING GRANTS AND AWARDS ARE SELECTED BY THOSE MEMBERS OF PEN PARTICIPATING IN THE LITERARY AWARDS PROGRAM.
SPECIFIC AID TO INDIVIDUALS ARE CONFIDENTIAL AWARDS GIVEN TO INDIVIDUALS IN NEED BY MEMBERS OF THE WRITER'S FUND.

SCHEDULE A	OTHER INCOME	STATEMENT	19
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DESCRIPTION	2006 AMOUNT	2005 AMOUNT	2004 AMOUNT	2003 AMOUNT
MISCELLANEOUS	6,617.	2,204.	4,357.	2,565.
TOTAL TO SCHEDULE A, LINE 22	6,617.	2,204.	4,357.	2,565.

Form **4562-FY**Department of the Treasury
Internal Revenue Service**Depreciation and Amortization** 990
(Including Information on Listed Property)

OMB No 1545-0172

2007Attachment
Sequence No 67

▶ See separate instructions.

▶ Attach to your tax return.

Name(s) shown on return

Business or activity to which this form relates

Identifying number

PEN AMERICAN CENTER, INC.**FORM 990 PAGE 2****13-3447888****Part I** Election To Expense Certain Property Under Section 179 Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount See the instructions for a higher limit for certain businesses	1	125,000.
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation	3	500,000.
4	Reduction in limitation Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1. If zero or less, enter -0- If married filing separately, see instructions	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property. Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2006 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2008 Add lines 9 and 10, less line 12	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	78,020.

Part III MACRS Depreciation (Do not include listed property) (See instructions)**Section A**

17	MACRS deductions for assets placed in service in tax years beginning before 2007	17	
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ☐		

Section B - Assets Placed in Service During 2007 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental property	/		27.5 yrs	MM	S/L	
	/		27.5 yrs	MM	S/L	
i Nonresidential real property	/		39 yrs	MM	S/L	
	/			MM	S/L	

Section C - Assets Placed in Service During 2007 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs.		S/L	
c 40-year	/		40 yrs.	MM	S/L	

Part IV Summary (see instructions)

21	Listed property Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations - see instr.	22	78,020.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V**Listed Property** (Include automobiles, certain other vehicles, cellular telephones, certain computers, and property used for entertainment, recreation, or amusement.)**Note:** For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.**Section A - Depreciation and Other Information (Caution: See the instructions for limits for passenger automobiles.)****24a** Do you have evidence to support the business/investment use claimed? ☐ Yes ☐ No **24b** If "Yes," is the evidence written? ☐ Yes ☐ No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use							25	
26 Property used more than 50% in a qualified business use								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use								
		%				S/L -		
		%				S/L -		
		%				S/L -		
28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1							28	
29 Add amounts in column (i), line 26. Enter here and on line 7, page 1								29

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person.

If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

	(a) Vehicle		(b) Vehicle		(c) Vehicle		(d) Vehicle		(e) Vehicle		(f) Vehicle	
30 Total business/investment miles driven during the year (do not include commuting miles)												
31 Total commuting miles driven during the year												
32 Total other personal (noncommuting) miles driven												
33 Total miles driven during the year. Add lines 30 through 32												
34 Was the vehicle available for personal use during off-duty hours?	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use?												

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons

	Yes	No
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use?		

Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles**Part VI Amortization**

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2007 tax year					
43 Amortization of costs that began before your 2007 tax year					43
44 Total. Add amounts in column (f). See the instructions for where to report					44