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Form 990-EZ

Short Form Return of Organization Exempt From Income Tax

OMB No 1545-1150

2006

Open to Public
InspectionDepartment of the Treasury
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code
(except black lung benefit trust or private foundation)
 Sponsoring organizations, and controlling organizations as defined in section 512(b)(13) must file Form 990. All other organizations with gross receipts less than \$100,000 and total assets less than \$250,000 at the end of the year may use this form.
 The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2006 calendar year, or tax year beginning January 1, 2006, and ending December 31, 2006

B Check if applicable

- ☐ Address change
☐ Name change
☐ Initial return
☐ Final return
☐ Amended return
☐ Application pending

Please use IRS label or print or type. See Specific Instructions

C Name of organization

Women's Association of Venture & Equity, Inc

Number and street (or P O box, if mail is not delivered to street address) Room/suite

80 Hathaway Drive

City or town, state or country, and ZIP + 4

Stratford, CT 06615-7304

D Employer identification number

20-0554856

E Telephone number

1.877.928.3606

F Group Exemption Number

Number . . . ▶

Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).

G Accounting method. ☐ Cash ☒ Accrual
 Other (specify) ▶

Website: ▶ www.women-wave.org

Organization type (check only one)— ☒ 501(c)(6) (insert no) ☐ 4947(a)(1) or ☐ 527

Check ☐ if the organization is not a section 509(a)(3) supporting organization and its gross receipts are normally not more than \$25,000. A return is not required, but if the organization chooses to file a return, be sure to file a complete return.

Add lines 5b, 6b, and 7b, to line 9 to determine gross receipts. If \$100,000 or more, file Form 990 instead of Form 990-EZ ▶ \$ 68,355.00

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See page 47 of the instructions.)

		STATUTE UNIT RECEIVED JUN 12 2014 TPR BRANCH OGDEN	
1	Contributions, gifts, grants, and similar amounts received	1	32,500.00
2	Program service revenue including government fees and contracts	2	21,255.00
3	Membership dues and assessments	3	14,600.00
4	Investment income	4	0
5a	Gross amount from sale of assets other than inventory	5a	0
b	Less: cost or other basis and sales expenses	5b	0
c	Gain or (loss) from sale of assets other than inventory (line 5a less line 5b) (attach schedule)	5c	0.00
6	Special events and activities (attach schedule) If any amount is from gaming, check here <input type="checkbox"/>		
a	Gross revenue (not including \$ 0 of contributions reported on line 1)	6a	0
b	Less: direct expenses other than fundraising expenses	6b	0
c	Net income or (loss) from special events and activities (line 6a less line 6b)	6c	0.00
7a	Gross sales of inventory, less returns and allowances	7a	0
b	Less: cost of goods sold	7b	0
c	Gross profit or (loss) from sales of inventory (line 7a less line 7b)	7c	0.00
8	Other revenue (describe)	8	0
9	Total revenue (add lines 1, 2, 3, 4, 5c, 6c, 7c, and 8)	9	68,355.00
10	Grants and similar amounts paid (attach schedule)	10	0
11	Benefits paid to or for members	11	0
12	Salaries, other compensation, and employee benefits	12	0
13	Professional fees and other payments to independent contractors	13	4,000.00
14	Occupancy, rent, utilities, and maintenance	14	0
15	Printing, publications, postage, and shipping	15	2,201.40
16	Other expenses (describe) Meeting Expenses and General Administrative Costs	16	21,201.82
17	Total expenses (add lines 10 through 16)	17	27,403.22
18	Excess or (deficit) for the year (line 9 less line 17)	18	40,951.78
19	Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)	19	52,440.69
20	Other changes in net assets or fund balances (attach explanation)	20	0
21	Net assets or fund balances at end of year (combine lines 18 through 20)	21	93,392.47

Part II Balance Sheets—If Total assets on line 25, column (B) are \$250,000 or more, file Form 990 instead of Form 990-EZ

(See page 51 of the instructions.)

		(A) Beginning of year	(B) End of year
22	Cash, savings, and investments	52,440.69	93,392.47
23	Land and buildings	0	0
24	Other assets (describe)	0	0
25	Total assets	52,440.69	93,392.47
26	Total liabilities (describe)		
27	Net assets or fund balances (line 27 of column (B) must agree with line 21)	52,440.69	93,392.47

For Privacy Act and Paperwork Reduction Act Notice, see the separate instructions.

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Part III Statement of Program Service Accomplishments (See page 51 of the instructions.)**Expenses**

What is the organization's primary exempt purpose? To provide networking and mentorship for women in PE/V
 Describe what was achieved in carrying out the organization's exempt purposes. In a clear and concise manner, describe the services provided, the number of persons benefited, or other relevant information for each program title. (Required for 501(c)(3) and (4) organizations and 4947(a)(1) trusts, optional for others)

28	WAVE hosted four networking and informational events over the course of 2006. Over 220 women attended these events. In addition to providing information on venture capitalism, private equity and the experiences of women in these fields, these events allowed women to network with their peers. (Grants \$) If this amount includes foreign grants, check here <input type="checkbox"/>	28a
29	WAVE hosted five panel discussions and presentations where topics relevant to women in the private equity and venture capital industries were presented. Over 280 women attended the events. After the panels, attendees had the opportunity to network with their peers and discuss the program. (Grants \$) If this amount includes foreign grants, check here <input type="checkbox"/>	29a
30		
	(Grants \$) If this amount includes foreign grants, check here <input type="checkbox"/>	30a
31	Other program services (attach schedule) (Grants \$) If this amount includes foreign grants, check here <input type="checkbox"/>	31a
32	Total program service expenses (add lines 28a through 31a) <input type="checkbox"/>	32

Part IV List of Officers, Directors, Trustees, and Key Employees (List each one even if not compensated. See page 52 of the instructions.)

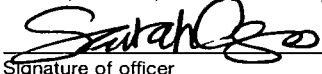
(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (If not paid, enter -0-.)	(D) Contributions to employee benefit plans & deferred compensation	(E) Expense account and other allowances
Susan A. Keller 2800 Financial Plaza, Providence, RI 02903	President, Director 1 hour	0	0	0
Hope Vaughn 20 West 22nd Street, 12th Floor, New York, NY 10010	Director 1 hour	0	0	0
Sarah N. Camougis 101 Federal Street, Boston, MA 02110	Director 1 hour	0	0	0

Part V Other Information (Note the statement requirement in General Instruction V.)

	Yes	No
33 Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity		X
34 Were any changes made to the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes		X
35 If the organization had income from business activities, such as those reported on lines 2, 6, and 7 (among others), but not reported on Form 990-T, attach a statement explaining your reason for not reporting the income on Form 990-T		
a Did the organization have unrelated business gross income of \$1,000 or more or 6033(e) notice, reporting, and proxy tax requirements?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
36 Was there a liquidation, dissolution, termination, or substantial contraction during the year? (If "Yes," attach a statement.)		X
37a Enter amount of political expenditures, direct or indirect, as described in the instructions. <input type="checkbox"/> 0		
Did the organization file Form 1120-POL for this year?		X
38a Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still unpaid at the start of the period covered by this return?		X
b If "Yes," attach the schedule specified in the line 38 instructions and enter the amount involved		
39 501(c)(7) organizations Enter:		
a Initiation fees and capital contributions included on line 9		
b Gross receipts, included on line 9, for public use of club facilities		

Part V Other Information (Note the statement requirement in General Instruction V.) (Continued)

- 40a** **501(c)(3) organizations.** Enter amount of tax imposed on the organization during the year under section 4911 ▶ _____; section 4912 ▶ _____; section 4955 ▶ _____
- b** **501(c)(3) and (4) organizations.** Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach an explanation . . .
- c** Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 . . . ▶ 0
- d** Enter amount of tax on line 40c reimbursed by the organization . . . ▶ 0
- e** **All organizations.** At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? . . .
- | | Yes | No |
|------------|-----|----|
| 40b | | |
| 40e | | X |
- 41** List the states with which a copy of this return is filed. ▶ Massachusetts
- 42a** The books are in care of ▶ Danielle Fugazy, Fugazy Group Telephone no. ▶ 516.801.8140
 Located at ▶ 22 Henry Cove Drive, Glen Cove, NY ZIP + 4 ▶ 11542
- b** At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? . . .
- | | Yes | No |
|------------|-----|----|
| 42b | | X |
| 42c | | X |
- If "Yes," enter the name of the foreign country: ▶ _____
 See the instructions for exceptions and filing requirements for **Form TD F 90-22.1**.
- c** At any time during the calendar year, did the organization maintain an office outside of the U.S.? . . .
- If "Yes," enter the name of the foreign country: ▶ _____
- 43** **Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of Form 1041**—Check here . . . ▶ ☐
 and enter the amount of tax-exempt interest received or accrued during the tax year . . . ▶ 43

Please Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.			
	Signature of officer 		Date <u>5/20/13</u>	
Paid Preparer's Use Only	Type or print name and title <u>Sarah N A Camougis, Esq.</u>			
	Preparer's signature	Date	Check if self-employed <input type="checkbox"/>	Preparer's SSN or PTIN (See Gen Inst X)
	Firm's name (or yours if self-employed), address, and ZIP + 4 ▶ _____		EIN ▶ _____	Phone no ▶ _____

Form **990-EZ** (2006)

Women's Association of Venture & Equity, Inc.
80 Hathaway Drive
Stratford, CT 06615-7304
EIN: 20-0554856

2006 990-EZ Short Form Return of Organization Exempt From Income Tax

Part I, Item 16- Other expenses

Women's Association of Venture and Equity, Inc. ("WAVE") incurred expenses for its member meetings, including catering, travel and venue expenses, and for general administrative purposes.

Part III- Exempt Purpose

WAVE is a voluntary professional organization with the primary purpose to provide networking and mentoring for women in venture capital, private equity and professions that serve venture capital and private equity, such as legal, accounting and executive recruiting.

Part III, Item 28:

Women's Association for Venture and Equity, Inc. (WAVE) hosted four networking events during 2006. The events were as follows:

Event Title	Description of Event	City/State	Number of Attendees
Breakfast with Nan Mooney	Breakfast and networking	New York, NY	70
Private Equity Predictions for 2006	Breakfast and networking	Boston, MA	79
Summer Cocktail Party	Drinks and networking	Boston, MA	45
Breakfast and Networking Event	Breakfast and networking	New York, NY	35

Part III, Item 29:

Women's Association for Venture and Equity, Inc. (WAVE) hosted two panel discussions during 2006. Speakers at these events were women leaders in the private equity and venture capital industries. The events were as follows:

Event Title	Description of Event	City/State	Number of Attendees
Convergence of PE &	Panel and networking	New York, NY	109

Hedge Funds			
Boston Fall Event	Panel and networking	Boston, MA	31