



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note: The organization may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

For calendar year 2001, or tax year beginning OCT 1, 1999, and ending SEP 30, 2000

G Check all that apply ☐ Initial return ☐ Final return ☐ Amended return ☐ Address change ☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of organization

JOHN D BUCKMAN CHARITABLE TRUST

Number and street (or P.O. box number if mail is not delivered to street address)

P.O. BOX 84

Room/suite

City or town, state, and ZIP code

MEMPHIS, TN 38101-0084

A Employer identification number

62-6155483

B Telephone number

901-681-2349

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test,
check here and attach computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

\$ 24,734,292. (Part I, column (d) must be on cash basis)

J Accounting method ☒ Cash ☐ Accrual☐ Other (specify)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b),
(c), and (d) may not necessarily equal
the amounts in column (a).)(a) Revenue and
expenses per
books(b) Net investment
income(c) Adjusted net
income
N/A(d) Disbursements
for charitable
purposes
(cash basis only)

1 Contributions, gifts, grants, etc., received

Check ☒ if the foundation is not required to attach Sch B

12,329,092.

2 Distributions from split-interest trusts

3 Interest on savings and temporary
cash investments

314,912.

314,912.

STATEMENT 1

4 Dividends and interest from securities

132,439.

132,439.

STATEMENT 2

5a Gross rents

b (Net rental income or (loss))

6a Net gain or (loss) from sale of assets not on
line 10

622,003.

b Gross sales price for all
assets on line 6a 3,799,279.

7 Capital gain net income (from Part IV, line 2)

622,003.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns
and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total. Add lines 1 through 11

13,398,446.

1,069,354.

13 Compensation of officers, directors, trustees, etc

101,188.

50,763.

50,763.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees STMT 3

766.

0.

766.

b Accounting fees STMT 4

1,250.

0.

0.

c Other professional fees STMT 5

4,064.

0.

0.

17 Interest

18 Taxes STMT 6

9,750.

9,750.

0.

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses STMT 7

61.

0.

0.

24 Total operating and administrative

expenses. Add lines 13 through 23

117,079.

60,513.

51,529.

25 Contributions, gifts, grants paid

295,000.

295,000.

26 Total expenses and disbursements.

Add lines 24 and 25

412,079.

60,513.

346,529.

27 Subtract line 26 from line 12

a Excess of revenue over expenses and disbursements

12,986,367.

b Net investment income (if negative, enter -0-)

1,008,841.

c Adjusted net income (if negative, enter -0-)

N/A

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

Assets

1	Cash - non-interest-bearing			
2	Savings and temporary cash investments	24,977.	52,624.	52,624.
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons			
7	Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments - U S and state government obligations STMT 8	310,922.	1,419,174.	1,557,036.
b	Investments - corporate stock STMT 9	998,193.	2,679,172.	7,813,075.
c	Investments - corporate bonds STMT 10	5,267,175.	4,564,957.	4,439,848.
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
12	Investments - mortgage loans			
13	Investments - other STMT 11	2.	10,871,709.	10,871,709.
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
15	Other assets (describe ▶)			
16	Total assets (to be completed by all filers)	6,601,269.	19,587,636.	24,734,292.

Liabilities

17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable			
22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)	0.	0.	

Net Assets or Fund Balances

Organizations that follow SFAS 117, check here ▶ ☐				
and complete lines 24 through 26 and lines 30 and 31.				
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
Organizations that do not follow SFAS 117, check here ▶ ☒				
and complete lines 27 through 31.				
27	Capital stock, trust principal, or current funds	5,558,665.	5,558,665.	
28	Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
29	Retained earnings, accumulated income, endowment, or other funds	1,042,604.	14,028,971.	
30	Total net assets or fund balances	6,601,269.	19,587,636.	
31	Total liabilities and net assets/fund balances	6,601,269.	19,587,636.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,601,269.
2	Enter amount from Part I, line 27a	2	12,986,367.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	19,587,636.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	19,587,636.

From 990-PF (2001)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED SCHEDULE		P	VARIOUS	VARIOUS
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,799,279.		3,177,276.	622,003.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			622,003.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	622,003.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the organization does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2000	325,054.	7,199,173.	.0451516
1999	388,583.	7,199,173.	.0539761
1998	374,334.	6,701,220.	.0558606
1997	323,053.	6,193,336.	.0521614
1996	366,898.	5,855,829.	.0626552

2 Total of line 1, column (d)	2	.2698049
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.0539610
4 Enter the net value of noncharitable-use assets for 2001 from Part X, line 5	4	14,436,240.
5 Multiply line 4 by line 3	5	778,994.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	10,088.
7 Add lines 5 and 6	7	789,082.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	346,529.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling letter _____ (attach copy of ruling letter if necessary-see instructions)		
b	Domestic organizations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	20,177.
c	All other domestic organizations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	20,177.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	20,177.
6	Credits/Payments		
a	2001 estimated tax payments and 2000 overpayment credited to 2001	6a	
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	0.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	1,217.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	21,394.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2002 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the organization attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the organization in connection with the activities.		X
1c Did the organization file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the organization ☐ \$ 0. (2) On organization managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the organization during the year for political expenditure tax imposed on organization managers ☐ \$ 0.		
2 Has the organization engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the organization made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the organization have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the organization have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ <u>N/A</u>		
b If the answer is "Yes" to line 7, has the organization furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the organization claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2001 or the taxable year beginning in 2001 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		X
11 Did the organization comply with the public inspection requirements for its annual returns and exemption application? Web site address ☐ <u>NONE</u>	X	
12 The books are in care of ☐ <u>FIRST TENNESSEE BANK NATIONAL ASSOC</u> Telephone no ☐ <u>(901) 681-2349</u> Located at ☐ <u>P.O. BOX 84, MEMPHIS, TN</u> ZIP+4 ☐ <u>38101-0084</u>		
13 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ☐ <u>N/A</u>		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the organization (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the organization agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 19 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	☐	1b X
c Did the organization engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2001?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the organization was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2001, did the organization have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2001?	☐ Yes ☒ No	
If "Yes," list the years		
b Are there any years listed in 2a for which the organization is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a Did the organization hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2001 as a result of (1) any purchase by the organization or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the organization had excess business holdings in 2001.)	N/A	3b
4a Did the organization invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the organization make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2001?		4b X
5a During the year did the organization pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No	
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	5b
c If the answer is "Yes" to question 5a(4), does the organization claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No	
If "Yes," attach the statement required by Regulations section 53.4945-5(d).		
6a Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	
b Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b X
If you answered "Yes" to 6b, also file Form 8870.		

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	N/A	
2		
All other program-related investments See instructions		
3		
Total. Add lines 1 through 3		0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	14,656,081.
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	14,656,081.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	14,656,081.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	219,841.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	14,436,240.
6	Minimum investment return. Enter 5% of line 5	6	721,812.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	721,812.
2a	Tax on investment income for 2001 from Part VI, line 5	2a	20,177.
b	Income tax for 2001 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	20,177.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	701,635.
4a	Recoveries of amounts treated as qualifying distributions	4a	0.
b	Income distributions from section 4947(a)(2) trusts	4b	0.
c	Add lines 4a and 4b	4c	0.
5	Add lines 3 and 4c	5	701,635.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	701,635.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	346,529.
b	Program-related investments - Total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	346,529.
5	Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	346,529.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2000	(c) 2000	(d) 2001
1 Distributable amount for 2001 from Part XI, line 7				701,635.
2 Undistributed income, if any, as of the end of 2000				
a Enter amount for 2000 only			0.	
b Total for prior years 19 __, 19 __, 19 __		0.		
3 Excess distributions carryover, if any, to 2001				
a From 1996	82,586.			
b From 1997	22,956.			
c From 1998	50,990.			
d From 1999	45,811.			
e From 2000				
f Total of lines 3a through e	202,343.			
4 Qualifying distributions for 2001 from Part XII, line 4 ▶ \$ 346,529.				
a Applied to 2000, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2001 distributable amount				346,529.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2001 (If an amount appears in column (d), the same amount must be shown in column (a).)	202,343.			202,343.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2000 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2001 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2002				152,763.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3)	0.			
8 Excess distributions carryover from 1996 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2002. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 1997				
b Excess from 1998				
c Excess from 1999				
d Excess from 2000				
e Excess from 2001				

N/A

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

Prior 3 years

(4) Gross investment income

2001.08000 JOHN D BUCKMAN CHARITABLE T 6379 8

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
SEE STATEMENT 14				
Total			3a	295,000.
b <i>Approved for future payment</i>				
NONE				
Total			3b	0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting organization to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other Transactions. | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization. If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
	N/A	

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

First Term: See Bank National Association
By: lin 7/1/01

11/23/11
Date

Via President: Hunt Office

Signature of Officer or Trustee _____

Date _____

Title

Sign Here

**Paid
Preparer's
Use Only**

Preparer's
signature

Firm's name (or yours
if self-employed),
address, and ZIP code

WATKINS UIBERALL, PLLC
1661 AARON BRENNER DR., STE 300
MEMPHIS, TENNESSEE 38120

Date _____

11/15/54.

☐ Check if self-employed

Preparer's SSN or PTIN

FIN ▶

Phone no ► (901) 761-2720

John D Buckman Charitable Trust

<u>Year</u>	<u>Tax</u>	<u> </u>	<u>Tax Due</u>
1992	(354)	768	414
1993	-		
1994	-		
1995	9,569	577	10,146
1996	8,867	534	9,401
1997	17,187	1,035	18,222
1998	15,102	909	16,011
1999	20,177	1,217	21,394
2000	34,861	1,828	36,689
2001	8,941	392	9,333
2002	16,500	559	17,059
2003	11,668	290	11,958
2004	11,899	567	12,466
2005	40,312	697	41,009
2006	50,265	2,181	52,446
2007	12,634	536	13,170
2008	18,904	387	19,291
2009	24,568	534	25,102
2010	21,114	549	21,663
Total	322,214	13,560	335,774

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
INTEREST	314,912.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	314,912.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS	128,461.	0.	128,461.
DIVIDENDS	3,978.	0.	3,978.
TOTAL TO FM 990-PF, PART I, LN 4	132,439.	0.	132,439.

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ATTORNEY	766.	0.		766.
TO FM 990-PF, PG 1, LN 16A	766.	0.		766.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	1,250.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	1,250.	0.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT FEE	4,064.	0.			0.
TO FORM 990-PF, PG 1, LN 16C	4,064.	0.			0.

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
TN TAX	9,750.	9,750.			0.
TO FORM 990-PF, PG 1, LN 18	9,750.	9,750.			0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
SHIPPING	61.	0.			0.
TO FORM 990-PF, PG 1, LN 23	61.	0.			0.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	8
-------------	--	-----------	---

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US GOVT MUTUAL FUND	X		1,419,174.	1,557,036.
TOTAL U.S. GOVERNMENT OBLIGATIONS			1,419,174.	1,557,036.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,419,174.	1,557,036.

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
-------------	-----------------	-----------	---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	2,679,172.	7,813,075.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,679,172.	7,813,075.

FORM 990-PF	CORPORATE BONDS	STATEMENT	10
-------------	-----------------	-----------	----

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
FIXED INCOME	4,564,957.	4,439,848.
TOTAL TO FORM 990-PF, PART II, LINE 10C	4,564,957.	4,439,848.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	11
-------------	-------------------	-----------	----

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MISC	2.	2.
BULAB HOLDINGS, INC PROM NOTE	10,871,707.	10,871,707.
TOTAL TO FORM 990-PF, PART II, LINE 13	10,871,709.	10,871,709.

FORM 990-PF

PART XV, LINE 2B
APPLICATION REQUIREMENTS

STATEMENT 12

DESCRIPTION

BRIEF STATEMENT OF THE PURPOSE OF THE ORGANIZATION AND INTENDED USE OF FUNDS

FORM 990-PF

PART XV, LINE 2D
AWARD RESTRICTIONS AND LIMITATIONS

STATEMENT 13

DESCRIPTION

ONE-HALF OF THE INCOME FROM THIS TRUST IS INTENDED FOR NEEDY CAUSES WHICH DO NOT RECEIVE EXTENSIVE NATIONAL PUBLICITY AND WHICH ARE OF BENEFIT TO CHILDREN.

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 14

RECIPIENT NAME AND ADDRESS	RECIPIENT STATUS	PURPOSE OF GRANT	RECIPIENT RELATIONSHIP	AMOUNT
ST. JUDE CHILDREN'S RESEARCH HOSPITAL, 262 DANNY THOMAS PLACE,	PUBLIC CHARITY	BENEFIT CHILDREN	NONE	80,000.
LEBONHEUR CHILDREN'S HOSPITAL, 50 N. DUNLAP STREET, MEMPHIS, TN 38103	PUBLIC CHARITY	BENEFIT CHILDREN	NONE	80,000.
LIFE CHOICES, 806 S COOPER ST, MEMPHIS, TN 38104	PUBLIC CHARITY	BENEFIT CHILDREN	NONE	20,000.
NEIGHBORHOOD CHRISTIAN CENTER, 785 JACKSON AVE, MEMPHIS, TN 38107	PUBLIC CHARITY	BENEFIT CHILDREN	NONE	20,000.
SECOND CHANCE MINISTRY, 4770 CROMWELL AVE # 1, MEMPHIS, TN 38118-6334	PUBLIC CHARITY	BENEFIT CHILDREN	NONE	20,000.
MISSIONARIES OF CHARITY, 700 N 7TH ST, MEMPHIS, TN 38107	PUBLIC CHARITY	BENEFIT CHILDREN	NONE	20,000.

JOHN D BUCKMAN CHARITABLE TRUST

62-6155483

MARRS, 4488 POPLAR AVE, MEMPHIS, TN 38117	PUBLIC CHARITY	BENEFIT CHILDREN	NONE	15,000.
THE NEIGHBORHOOD SCHOOL, 175 TILMAN ST, MEMPHIS, TN 38111	PUBLIC CHARITY	BENEFIT CHILDREN	NONE	30,000.
GIRLS, INC., 2670 UNION AVE EXTD, STE 606, MEMPHIS, TN 38112	PUBLIC CHARITY	BENEFIT CHILDREN	NONE	10,000.
TOTAL TO FORM 990-PF, PART XV, LINE 3A				295,000.

PROCEEDS FROM SALES

ARACRUZ CELULOSE SA-SPON ADR
 01/31/00 DONALDSON & CO, INC FINS 83684
 SALE 9,250 SHARES @ 22.1287
 ON 01/26/00 MISC 6.83 COMM 555.00

EMBRATEL PARTICIPACOES - ADR
 01/31/00 ROBINSON HUMPHREY
 SALE 2,000 SHARES @ 21.5625
 ON 01/26/00 MISC 1.44 COMM 120.00

13

S/P

204,128.65

-68,246.50

-68,246.50

43,003.56

-38,234.00

-38,234.00



All Things Financial

01-277

STATEMENT OF TRANSACTIONS

10/01/99 THROUGH 09/29/00

ACCOUNT
10-01-001-3000871

PAGE 22

JOHN BUCKMAN CHARITABLE TRUST

TRANSACTION DESCRIPTION	TOTAL CASH	ASSET CARRYING VALUE	COST
EXXON MOBIL CORPORATION 12/21/99 SALE .3 SHARES @ 82.035 ON 12/20/99	24.61	-4.24	-4.24
FIRST FUNDS U S GOVERNMENT PORTFOLIO MUTUAL FUNDS CL I 10/31/99 AUTOMATIC CASH INVESTMENT (ACI) SALE	150,551.15	-150,551.15	-150,551.15
03/31/00 AUTOMATIC CASH INVESTMENT (ACI) SALE	1,400,881.42	-1,400,881.42	-1,400,881.42
04/30/00 AUTOMATIC CASH INVESTMENT (ACI) SALE	95,817.88	-95,817.88	-95,817.88
07/31/00 AUTOMATIC CASH INVESTMENT (ACI) SALE	72,014.84	-72,014.84	-72,014.84
INPUT/OUTPUT INC 01/31/00 DONALDSON & CO, INC FINS 83684 SALE 7,000 SHARES @ 6.125 ON 01/26/00 MISC 1.43 COMM 420.00	42,453.57	-130,795.00	-130,795.00
INTEL CORP 01/31/00 SHERWOOD SECURITIES/BROADCOURT SALE 4,400 SHARES @ 99.50 ON 01/26/00 MISC 14.60	437,785.40	-7,585.11	-8,179.60
INTERNATIONAL FLAVORS & FRAGRANCES INC 01/31/00 ROBINSON HUMPHREY SALE 1,950 SHARES @ 35.1603 ON 01/26/00 MISC 2.29 COMM 117.00	68,443.30	-13,422.60	-13,422.60
MICROSOFT CORP 01/31/00 SHERWOOD SECURITIES/BROADCOURT SALE 2,200 SHARES @ 101.375 ON 01/26/00 MISC 7.44	223,017.56	-7,004.21	-16,449.60



All Things Financial

01-277

STATEMENT OF TRANSACTIONS

10/01/99 THROUGH 09/29/00

ACCOUNT
10-01-001-3000871

JOHN BUCKMAN CHARITABLE TRUST

PAGE 23

TRANSACTION DESCRIPTION	TOTAL CASH	ASSET CARRYING VALUE	COST
SPRINT CORP (FON GROUP) 09/29/00 MERRILL LYNCH, PIERCE, FENNER, SALE 2,000 SHARES @ 24.8125 ON 09/26/00 MISC 1.66 COMM 120.00	49,503.34	-25,032.25	-25,032.25
TELECENTRO SUL PARTICIPA - ADR DO NOT USE -SEE CUSIP# 105530109 01/31/00 ROBINSON HUMPHREY SALE 400 SHARES @ 87.875 ON 01/26/00 MISC 1.18 COMM 24.00	35,124.82	-21,693.60	-21,693.60
TELENORTE LESTE PARTICIP - ADR 01/31/00 ROBINSON HUMPHREY SALE 2,000 SHARES @ 25.625 ON 01/26/00 MISC 1.71 COMM 120.00	51,128.29	-29,558.00	-29,558.00
TELESP CELLULAR PARTICIPA - ADR 01/31/00 ROBINSON HUMPHREY SALE 800 SHARES @ 41.875 ON 01/26/00 MISC 1.12 COMM 48.00	33,450.88	-23,320.80	-23,320.80
U S TREAS NT 7.875% DTD 11/15/89 DUE 11/15/99 11/15/99 PROCEEDS MATURITY 300,000	300,000.00	-302,250.00	-302,250.00
U S TREAS NT 5.875% DTD 11/15/96 DUE 11/15/99 11/15/99 PROCEEDS MATURITY 400,000	400,000.00	-399,968.75	-399,968.75
GRUPO FINANCIERO BANAMEX 01/31/00 GOLDMAN SACHS & CO SALE 44,000 SHARES @ 4.3625 ON 01/26/00	191,950.00	-390,896.00	-390,896.00
TOTAL PROCEEDS FROM SALES	<u>3,799,279.27</u>	<u>-3,177,276.35</u>	<u>-3,187,316.23</u>